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2008 Catalog of Federal Domestic Assistance

Executive Office of the President

Office of Management and Budget

Washington, DC 20503

U.S. General Services Administration

Washington, DC 20405

Vol. 2

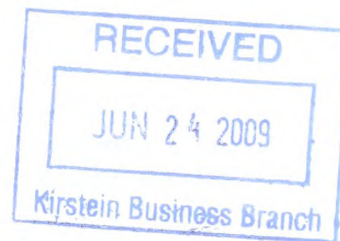


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2008

Catalog of Federal Domestic Assistance: Volume 1 and 2



EXECUTIVE OFFICE OF THE PRESIDENT
OFFICE OF MANAGEMENT AND BUDGET

WASHINGTON, DC 20503

U.S. GENERAL SERVICES ADMINISTRATION
Washington, DC 20405

2008

**Catalog of Federal Domestic
Assistance: Volume 1 and 2**

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ENVIRONMENTAL PROTECTION AGENCY

66.001 AIR POLLUTION CONTROL PROGRAM SUPPORT

FEDERAL AGENCY:

OFFICE OF AIR AND RADIATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Air Act of 1990, Section 105, as amended; Public Law 101-549.

OBJECTIVES:

Section 105 program are to assist State, Tribal, Municipal, Intermunicipal, and Interstate agencies in planning, developing, establishing, improving, and maintaining adequate programs for the continuing prevention and control of air pollution and/or in the implementation of national primary and secondary air quality standards. Funding Priority - Fiscal Year 2008: A major portion of continuing program funds are being devoted by recipients to attain the National Ambient Air Quality Standards (NAAQS) for 8-hour ozone and fine particulates (PM_{2.5}). This continues to be a multi-year, multi-pollutant effort and reflects the fact that the NAAQS may be periodically revised. Funded activities include the development and submission of re-designation requests including maintenance plans for non-attainment areas meeting the NAAQS, as appropriate. Recipients are also required to review the annual air quality reports for criteria air pollutants and take appropriate actions dealing with any areas that are newly discovered to be violating the NAAQS. For attainment of the 8-hour ozone standard, section 105 grants will continue to support the shift of State, Local and Tribal work to implementation of control plans including updates of stationary sources, mobile source emissions testing and trip reduction measures, transportation conformity, participation in the NOx/CAIR interstate emissions trading program, and other innovative approaches including early ozone reduction programs and voluntary measures at the local level. States were to have submitted their 1997 PM_{2.5} state implementation plans (SIPs) by April 5, 2008 that included the following elements: attainment demonstration, emission inventory, reasonably available control technology and control measures, a reasonable further progress plan, and contingency measures. Recipients were to have recommended designation status by December 2007 for areas not attaining the new (2006) 24-hour PM_{2.5} standard. Recipients may also be working with local areas to support innovative, voluntary, early action initiatives such as the 8-hour Ozone Flex. States with Early Action Compact Areas (EACs) which had designation deferrals and were determined not to meet attainment by 12/31/2007 under the EAC program were to have begun working on non-attainment area SIPs. For the other criteria pollutants (i.e., CO, SO₂, PM₁₀, Pb) funds continue to assist States, Tribes and Local agencies in: maintaining compliance with existing standards; or in implementing strategies to reduce these pollutants, review data for their designation to attainment, and assist in developing attainment plans, as necessary. Funds are also being used by State, Local and Tribal governments to bolster their individual roles in regional efforts to reduce visibility-impairing regional haze. Regional haze SIPs were to have been submitted by December 17, 2007. EPA and its partners continue to devote significant grant resources to ambient air monitoring as restructuring of the overall national ambient air monitoring network continues. Reassessment is occurring in light recent revisions in the national ambient air quality standards, monitoring regulations, and the continuing needs of State, local and tribal governments. Monitors are operated for NAAQS pollutants, PM_{2.5} speciation, and PAMS according to monitoring regulations, approved monitoring plans, and/or grant agreements including quality assurance provisions. Recipients quality-assure, submit and certify air quality data on a regular basis. Recipients were also to have begun or continued a 5-year-cycle network assessment (required by the amended national monitoring rule) and complete it by July 1, 2010. Funds for air toxics purposes are provided to: expand existing air toxics monitoring networks operated by State, Tribal and Local agencies in order to better characterize air toxics risks and assess the effectiveness of control strategies; update and review HAP emission inventory and test and review new NEI process and EIS components and provide feedback to EPA; help State and other agencies implement maximum achievable control technology (MACT) standards and other air toxics standards affecting the emissions of specific categories of sources (e.g., Section 112, 111(d) and 129); and help carry out national, regional and community-based initiatives that focus on the identification and reduction of residual, multi-media and cumulative air toxics risks. Additional priorities include implementation of air toxics reduction programs through technology-based and delegated residual risk standards. For FY 08-09, Section 105 funds support agency-specific air pollution prevention and control activities in the form of direct grants to recipients and associated program support to help EPA address three major priorities in air pollution control: (a) attain and maintain national ambient air quality standards for criteria pollutants (ozone, particulate matter, visibility, carbon monoxide, lead, sulfur dioxide and nitrogen dioxide) which endanger human health (particularly sensitive populations) and the environment; (b) eliminate unacceptable risks of cancer and other health

problems from air toxics emissions; and (c) reduce the destructive effects of acid rain deposition on land and water systems formed by ambient nitrates and sulfates. Funds also address air pollution concerns that are multi-pollutant, cross-media, interstate, or trans-boundary in nature. Funds for acid deposition enable States to conduct analyses of the impacts of acid rain on land and water bodies, assess the effectiveness of control strategies, and monitor the market-based acid rain trading program emissions from electric utilities. Funding Priority - Fiscal Year 2009: By nature, much of the work occurring in FY 2008 will continue into FY 2009. However, several new developments will alter the priorities for the program across the country in FY 2009 - the response to the new NAAQS in ozone and PM_{2.5}, and the shift of the PM_{2.5} ambient monitoring program from Section 103 (100 percent federal funding) to Section 105 authority (recipient cost shared). Recipients will continue to review air quality reports and take appropriate actions dealing with new violating attainment areas with any of the NAAQS; submit re-designation requests including maintenance plans for areas with clean air quality data as appropriate; work with local area stakeholders to support innovative, voluntary, early action initiatives such as the 8-hour Ozone Flex; continue to implement 8-hr ozone SIPs; and submit any outstanding ozone SIP elements (including prior commitments). However, recipients will also begin preparing recommendations on designations for the potential revised ozone NAAQS. For PM_{2.5}, in addition to implementing their PM_{2.5} SIP for 1997 NAAQS, recipients will begin SIP planning for 2006 PM_{2.5} NAAQS and coordinate with EPA regarding recommendations and comments for 2006 PM_{2.5} NAAQS designations. Some states will work with local agencies to implement woodstove change-out programs in areas where change-outs could significantly reduce ambient particle concentrations. Areas may also explore feasibility of changing out existing outdoor wood-fired boilers to significantly reduce PM_{2.5} concentrations. For both ozone and PM_{2.5}, recipients will continue to implement their NOx requirements under the Clean Air Interstate Rule (CAIR). As in FY 2008, for ambient monitoring all state/local primary quality assurance organizations operate monitors and submit NAAQS pollutant data, PAMS, and QA data to AQS directly or indirectly through another organization according to schedule in 40 CFR Part 58. Data must also meet quality assurance requirements. These organizations will also submit their required annual (monitoring) network plans by July 1 unless another schedule has been approved. They must also certify their 2008 NAAQS pollutant data in AQS and provide supporting documentation by July 1, 2009 (State/local only, unless tribal work plan requirement). Additionally monitoring organizations will report real time ozone and PM_{2.5} data to AirNOW for cities required to report the AQI (State/local only); will continue the 5-year-cycle network assessment required by July 1, 2010; (State/local only, unless tribal work plan requirement), and include final NCore siting plan in Annual Monitoring Network Plan due July 1, 2009. Air quality reporting, including particle pollution forecasting, will be expanded to additional cities. For regional haze, States and other stakeholders will continue to work with EPA on issues related to submitted regional haze SIPs and will continue to implement Best Available Retrofit Technology (BART) requirements. As in FY 2008, funds for air toxics purposes are provided to: expand existing air toxics monitoring networks operated by State, Tribal and Local agencies in order to better characterize air toxics risks and assess the effectiveness of control strategies. Recipients will collect data for the integrated 2008 hazardous air pollutant (HAP) emissions inventory; implement delegated or approved section 112, 111(d) and 129 standards, as appropriate, for major sources and area sources; implement delegated residual risk standards; and work with communities to develop and implement voluntary air toxics programs that address outdoor, indoor, and mobile sources with emphasis on areas with potential environmental justice concerns. For FY 2009, the President has again proposed that the PM_{2.5} air monitoring program be moved under Section 105 authority. PM_{2.5} Fine Particulate Monitoring. State and local air pollution control agencies are working with EPA to operate a national fine particulate (PM_{2.5}) monitoring network to accurately assess and characterize the nature and extent of fine particulates (PM_{2.5}) across the country. This assessment is necessary to determine the air quality status of an area in relation to the national PM_{2.5} ambient air quality standard. At least three years of quality-assured data are required in order to determine an area's attainment status. The air quality status of an area will determine the nature and complexity of its attainment plan, its associated control strategies, and its implementation schedule. The immediate goals of this multi-year program are: appropriate spatial characterization of PM_{2.5} NAAQS; public reporting of PM_{2.5} in the Air Quality Index; characterization of PM_{2.5} chemical speciation data for long term trends; development and accountability of emission control programs and tracking of regional haze, and limited support of health studies; implementation of NCore CO, SO₂, NO₂/NOy trace-level monitoring to support characterization of PM precursors; assessment of PM_{2.5} data quality; and procurement and testing of PM_{2.5} filters. Grant funds are used to provide support to eligible State and local air agencies for continued operation of high value federal reference method (FRM) and speciation monitoring sites; additional investments in PM_{2.5}

continuous monitoring and associated data management systems for timely reporting of high quality data; and continued investments in precursor gas analyzers, data analyses, and quality assurance activities that will support better understanding of particle formation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used for costs specifically incurred in the conduct of a State/Local/Tribal Air Pollution Control Program in accordance with the purposes enumerated in the approved application. These include personnel costs, supplies, equipment, training of personnel, travel, and other necessary expenditures during the approved project period. Funds may not be used for construction of facilities, nor for expenses incurred other than during each approved award period. Grant funds may not be used to subsidize the costs of Title V operating permit programs. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Municipal, Intermunicipal, State, Federally Recognized Indian Tribe, or Interstate or Intertribal with legal responsibility for appropriate air pollution planning, development, establishment, implementation, and maintenance of Clean Air Act air pollution control activities, including management of grant support for those activities, provided such organization furnishes funds for the current year that are equal to or in excess of its recurrent expenditures for the previous year for its approved section 105 air pollution program. The determination of expenditures is subject to decisions based on provisions of the Clean Air Act and applicable grant regulations. This program is available to each State, territory and possession of the U.S., including the District of Columbia. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Municipalities (local governments), Intermunicipalities, States, Federally Recognized Indian Tribes, and Interstate and Intertribal agencies.

Credentials/Documentation:

The application must supply evidence of legal authority for air pollution control; evidence of the availability of nonfederal matching funds; assurance that federal funds do not supplant available recipient funds, evidence that the Governor or his designated State agency has been given the opportunity to comment on the relationship of the program to be funded to the State plan; and a workable program officially adopted for the agency. Principles for determining allowable costs are set forth in applicable Federal management circulars described in the general grant regulations and procedures 40 CFR Part 31 of the Federal Regulations. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Discussion with the applicable EPA Regional Office is advised for air program grant applications. The standard application forms as furnished by the Environmental Protection Agency and required by OMB Circular No. A-102 must be used for this program. Applications are subject to the State's review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

Application Procedure:

Requests for application forms and completed applications must be submitted to the appropriate EPA Regional Grants Administration Branch. Application must meet the requirements of the grant regulations and will be reviewed to determine merit and relevancy of the proposed project. This program is excluded from coverage under OMB Circular No. A-110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Procedures for awards are outlined in General Grant Regulations 40 CFR Part 31. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

None.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

The Clean Air Act does not prescribe a specific statutory funding formula but does direct that the factors of population, the extent and severity of the air pollution problem, and financial need, be considered in the allocation of available resources by the Agency. The current allocation approach is currently under review for updating by the Agency. EPA is undertaking the review in consultation with eligible recipients. State, Interstate, and Local programs may receive up to 60 percent Federal funding for the total approved program costs. The amount of Federal share of grant costs should be determined by reference to the criteria set forth in Section 105 of the Clean Air Act and in 40 CFR 35.145, 40 CFR 35.146, and 40 CFR 147. Not more than 10 percent of the funds available shall be granted for air pollution control programs in any one State. Not less than one-half of one percent of the funds are to be made available as a minimum to a State (overall) for application. However, award of these levels of funds will be made only if the agencies within the State meet the requirements of the Act and grant regulations. For Indian Tribes establishing eligibility pursuant to Section 35.573, the Regional Administrator may provide financial assistance in an amount up to 95 percent of the approved costs of planning, developing, establishing, or improving an air pollution control program, and up to 95 percent of the approved costs of maintaining that program. After 2 years from the date of each Tribe's initial grant award, the Regional Administrator will reduce the maximum federal share to 90 percent, as long as the Regional Administrator determines that the Tribes meet certain economic indicators that would provide an objective assessment of the tribe's ability to increase its share.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Grant and administrative reporting requirements are also identified in the Grant Regulations Part 30 and Part 32. Programmatic reporting requirements are outlined in Part 31 and in the applicable national program manager annual guidance. Requirements for the evaluation of recipient performance are discussed in 40 CFR 35.115.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

FY 07 \$154,747,260; FY 08 \$165,700,000; FY 09 \$175,730,000 (estimated and includes \$25.5M for PM2.5 air monitoring. Note: The President's Budget request proposes to fund the PM 2.5 Air Monitoring grant program under Section 105, but Congress has proposed to continue funding the program under Section 103.

Range and Average of Financial Assistance:

In FY 2008 - From approximately \$64,000 to \$6,850,000 per recipient; average approximately \$1,500,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, approximately 110 applications were received from State, Local and Tribal agencies to help support their continuing air quality programs were funded. This same level is expected to be received and funded in FY 2009. These programs plan and implement measures to improve, protect, monitor and

report air quality in their communities. The plans integrate state and local measures with federal measures - including advances in environmental technologies (e.g., cleaner fuels, vehicle and industrial technology), in addressing and reducing air pollution and in meeting National Ambient Air Quality Standards (NAAQS). The NAAQS are regularly reassessed to assure that public health and welfare is being adequately protected. The most recent designations for ozone occurred in 2004 and 89 of the original 126 ozone areas are now meeting that NAAQS based on data collected during 2004-2006. Thirteen of the 14 Early Action Compact areas will have been designated attainment by April 15, 2008. For PM, the Agency designated 86 areas, with a total population of 35.8 million people, as nonattainment for PM10 in 1992 and 46 of those areas remain in nonattainment. In 4/05, EPA designated 39 areas as nonattainment for the PM2.5 based on air quality data collected during 2001-2003. Some PM2.5 design values in designated nonattainment areas are improving slightly, especially in the western U.S. violated the annual and not the 24-hour NAAQS. As the new standards for ozone and PM2.5 take affect, updates on designations and progress in air quality status will be provided. States have achieved widespread attainment of standards for several of the criteria pollutants: CO, SO2, NO2 and Lead. Specifically: for carbon monoxide EPA originally designated 78 areas, affecting 69.8 million people, as nonattainment for CO in 1992 with 3 nonattainment areas, affecting 719 thousand people remaining. For sulfur dioxide (SO2), EPA designated 54 areas, with a total population of 9.8 million people, as nonattainment for SO2 in 1992 with 10 nonattainment areas remaining affecting a total population of 1.1 million. All 10 areas have monitoring data measuring attainment of the SO2 NAAQS. For nitrogen Dioxide (NO2), there are no designated NO2 nonattainment areas and all areas continue to meet the NAAQS. For lead (Pb), EPA designated 13 areas, with a total population of 1.8 million people as nonattainment for Pb in 1992 and 2 nonattainment areas remain. There are no new areas violating the current lead NAAQS. The lead NAAQS is currently being examined for revision.

REGULATIONS, GUIDELINES, AND LITERATURE:

Air Pollution Control Program Grants, EPA, 40 CFR Part 35 (revised January 9, 2001); Interim Rule published January 4, 1995 (FR V.60 No.2, pp.366-372); General Grant Regulations and Procedures, EPA, 40 CFR Part 31.

Regional or Local Office:

Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

For program information: William Houck, National Air Grant Coordinator, State/local (202) 564-1234, Fax: (202) 564-1352, houck.william@epa.gov; and Darryl Harmon, Tribal Air Coordinator (202) 564-7416, Fax: (202) 564-1352, harmon.darryl@epa.gov; Office of Air and Radiation, Environmental Protection Agency, 1200 Pennsylvania Ave., N.W., Washington, DC 20460. Mail Code 6102A.

Web Site Address:

<http://www.epa.gov/air/>.

RELATED PROGRAMS:

66.600, Environmental Protection Consolidated Grants for the Insular Areas - Program Support.

EXAMPLES OF FUNDED PROJECTS:

Continuing program grants are provided to State, Local and Tribal air pollution control agencies for the purpose of operating programs that plan and implement activities to achieve ambient air quality standards. These programs cover: planning for attainment of updated national air quality standards including fine particulates and ozone; state implementation plan development; ambient monitoring; emission inventories; air and emissions modeling; source permitting; certain compliance and enforcement activities; public outreach and education activities; development and implementation of air quality regulations; implementation of market-based, early action (ozone) compacts and other innovative air pollution reduction strategies; technical training; risk and data analyses; information management; and program evaluation, progress reporting and other program operation and maintenance activities.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for awarding air pollution control program assistance grants are provided in the 1990 Clean Air Act, Section 105, and set forth in State and local assistance grant regulations (40 CFR 35). Some of the criteria considered for award include the following: 1) No grant may be awarded unless the program contained in the grant application meets the requirements of Section 35.140 (program purposes and associated regulations) and has been approved by the Regional Administrator. Sections 35.104 and 35.107 describe what an agency needs to do to prepare an adequate application. This usually includes the use of annual program and grant guidance from EPA and is generally done in consultation with the EPA Regional Office. 2) No grant may be awarded until the Regional Administrator has consulted with the official designated by the Governor(s) of the State(s) affected by such award pursuant to Section 105(b) of the Clean Air Act. Such consultation should consider the role of the applicant in the enforcement of any applicable implementation plan and confirm that the applicant's program will be generally compatible with the objectives of the applicable implementation plan. 3) The requirements of this Section shall not apply to Indian Tribes that have established eligibility pursuant to Section

35.573 and intertribal agencies made up of such Tribes. 4) No grant may be awarded unless the Regional Administrator has determined that the agency has adequate air pollution control authority and necessary regulations to implement such authority. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.032 STATE INDOOR RADON GRANTS

(SIRG)

FEDERAL AGENCY:

OFFICE OF AIR AND RADIATION, INDOOR ENVIRONMENTS DIVISION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Indoor Radon Abatement Act, Section 306, 15 U.S.C. 2661; Toxic Substances Control Act, Title I, Section 10(a).

OBJECTIVES:

The Indoor Radon Abatement Act (IRAA), Section 306, and the Toxic Substances Control Act (TSCA), Section 10(a), authorizes EPA to assist States and Federally Recognized Indian Tribes in the development and implementation of programs for the assessment and mitigation of radon related health risks. Funding Priority - Fiscal Year 2008: In FY 2008 this program aims to: (1) maintain, enhance and improve the effectiveness of existing state and federally recognized indian tribal radon programs; (2) increase the number of homes and schools tested and mitigated; (3) increase the number of builders voluntarily including radon-reducing features in new homes; (4) increase the number of state and local jurisdictions adopting radon-reducing features in residential building codes; (5) focus activities under (2), (3) and (4) in high radon potential areas; (6) encourage radon disclosure, testing and mitigation in residential property transfers; and (7) encourage grant recipients to be more strategic in their risk reduction efforts, e.g., by improving their results reporting and sharing and replicating best practices.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The following activities are eligible for funding under SIRG: radon surveys, public information and educational materials, radon control programs, purchase of radon measurement equipment or devices, purchase and maintenance of analytic equipment, training, program overhead and administration, data storage and management, mitigation demonstrations, and toll-free hotlines. Funding for these eligible activities may be used to develop State radon response programs. States may, at the Governor's direction, provide assistance to local governments for public information and educational materials, radon control programs and training. RESTRICTIONS: The statute places the following restrictions on the use of Federal funds: 1) SIRG recipients must perform satisfactorily in the preceding budget period to be eligible to receive additional funding; 2) State expenditures for measurement equipment/devices and mitigation demonstrations cannot exceed 50 percent of the grant amount in a budget period; 3) State expenditures for general overhead and program administration cannot exceed 25 percent in a budget period; and 4) SIRG applicants may use grant funds for financial assistance to persons only to the extent that such assistance is related to demonstration projects or the purchase and analysis of radon measurement devices.

Applicant Eligibility:

Eligible entities include States (including District of Columbia (DC)), Puerto Rico, the Virgin Islands, Guam, the Canal Zone, American Samoa, the Northern Mariana Islands, Federally recognized Indian Tribes and Tribal consortia, or any other U.S. Territory or possession. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. The Agency may also limit eligibility to compete to a number or subset of eligible applicants from a particular regional or geographical area (e.g. tribes). EPA may limit competition to a sovereign nation when TSCA 10(a) is the grant authority.

Beneficiary Eligibility:

State agencies: local, municipal, district, or area wide governments and organizations; U.S. territories or possessions, Federally Recognized Indian Tribes, colleges, universities, multi-state agencies, nonprofit organizations, low-income individuals, homeowners, and the general public.

Credentials/Documentation:

An applicant's application must: (1) describe the seriousness and extent of radon exposure; (2) identify the lead State agency with primary responsibility for the radon program that will receive the grant, with a description of the lead agency's role and responsibilities, and those of any other State agencies, and any municipal, district or area wide organizations involved in the radon program; (3) submit a work plan describing the activities and programs to be funded; (4) provide a budget specifying the Federal and applicant share of the funding for each work plan element. First time applicants must have a 3-year work plan outlining long range program goals and objectives, the tasks necessary to

achieve them, the resource requirements including anticipated SIRG applicant funding levels and the desired Federal funding level. Costs are determined in accordance with OMB Circular A-87, "Cost Principles for State, Local and Tribal Governments."

Preapplication Coordination:

Application assistance is available through Regional radon coordinators and their Regional grants specialist counterparts. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description (TSCA 10(a) only), EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Visit www.epa.gov/iaq/wheretheyoulive.html for state specific information on the state radon programs and how to contact them.

Application Procedure:

EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency and the appropriate EPA Regional Office listed in Appendix IV of the Catalog, or through the Grants and Interagency Agreements Management Division, 3903F, Washington, DC 20460. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each application shall be subjected to administrative evaluation to determine adequacy of application in relation to grant regulations and to technical and program evaluation to determine the merit and relevancy of the project or program. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Completed deadlines are set by the appropriate Regional Office. Contact the Regional Office for application deadlines. Visit www.epa.gov/iaq/wheretheyoulive.html for details.

Range of Approval/Disapproval Time:

About 90 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not applicable for 40 CFR or TSCA 10(a).

Formula and Matching Requirements:

This program has no statutory formula. EPA may provide States with up to 60 percent of allowable costs of approved SIRG Program activities. TSCA Section 306 requires a progressive match for States and Tribes in the first, second and third year of participation in the SIRG program. (25 percent, 40 percent, and 50 percent respectively). However, in FY 2005 Congress changed the recipient match requirement to be a minimum of 40 percent for participants with three or more years in the program. Under TSCA 10(a) authority, Federally Recognized Indian Tribes are not required to provide a cost share match, but competition is required.

Length and Time Phasing of Assistance:

Under TSCA Section 306, EPA normally funds grants and cooperative agreements for a period of 12-36 months. EPA negotiates project periods appropriate to the specific project, and encourages 3 year grant periods. However, EPA project periods are limited to a maximum of 5 years. Grants and cooperative agreements are fully funded or incrementally (partially) funded. Successful applicants under a competitive funding announcement are notified via U.S. mail or electronic mail.

Reports:

Required reports from grant recipients include: performance reports, no more often than quarterly; Financial Status Reports, (Standard Form 269); Federal Cash Transactions Reports (for grants paid by letter of credit); and if applicable, Request for Advance or Reimbursement (Standard Form 270). To monitor the performance of each grant recipient, the EPA Project Officer and other Agency personnel may conduct mid-year (or more frequent) site visits to inspect program activities first hand.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government.

Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$7,439,000; FY 08 \$7,948,000; and FY 09 est \$7,948,000.

Range and Average of Financial Assistance:

Federal funding can range from \$15,000 to a maximum of about \$700,000 per State applicant and \$10,000 to \$80,000 per Tribal applicant. The average Federal funding per applicant is \$150,000 for States and \$30,000 per Tribe. Minimum awards are \$10,000.

PROGRAM ACCOMPLISHMENTS:

In FY07, SIRG awarded 44 assistance agreements (39 states, 5 tribes) totaling \$7,432,000. An estimated 213,000 additional homes included radon-reducing features in calendar 2006 (latest data; 139,000 new homes and 74,500 existing homes). Much of this progress resulted from the work of coalitions in partnership with local governments, national and local nonprofit organizations, and other leaders. The SIRG program continues to stress the importance of achieving and measuring results in the number of homes, schools, and other buildings tested and mitigated for radon; in the number of homes built with radon-reducing features through real estate disclosure and property transfers. Recipients are now required to include the results measures template in their work plans. Projects that yield results are preferred over those with inconclusive results.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA regulations that govern State activities under the SIRG Program are contained in the following Parts of 40 CFR: (1) Part 4, "Implementation of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1980"; (2) Part 7, "Nondiscrimination in Programs Receiving Federal Assistance from the Environmental Protection Agency"; (3) Part 29, "Intergovernmental Review of Environmental Protection Agency Programs and Activities"; (4) Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments"; (5) Part 32, "Debarment and Suspension under EPA Assistance Programs", and (6) Part 35, "State and Local Assistance". Applicable OMB Circulars include (1) Circular A- 87, "Cost Principles for State and Local Governments," and (2) Circular A-102, "Uniform Requirements for Assistance to State and Local Governments". Other materials applicable to SIRG include: (1) Administrative Guidance for the State Indoor Radon Grants Program under Section 306, Indoor Radon Abatement Act (January 2005); (2) Indoor Radon and Radon Decay Product Measurement Device Protocols (EPA 402-R-92-004); 3) Protocols for Radon and Radon Decay Products Measurements in Homes EPA 420-R-93-003; and (4) Guidance for the Indian Radon Pilot Projects (March 1991). Visit <http://www.epa.gov/radon/sirgprogram.html> for more information.

Regional or Local Office:

For questions of a programmatic/technical nature, contact the appropriate Regional Radon Office representative listed in Appendix IV of the Catalog. The individual Regional radon SIRG project officers can be found at <http://www.epa.gov/radon/sirgprogram.html>.

Headquarters Office:

For program information, contact: Philip Jalbert, Office of Radiation and Indoor Air, Environmental Protection Agency, 1310 L Street, NW., Mail Code 6609J, Washington, DC 20005. Phone: (202) 343-9431; fax (202) 343-2393; e-mail: jalbert.philip@epa.gov.

Web Site Address:

www.epa.gov/radon/sirgprogram.html

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The State and Tribal Indoor Radon Grant (SIRG) program has awarded grants to States and Tribes to: (1) establish effective radon programs among states, territories, and federally recognized Indian tribes; (2) increase the number of homes, schools, and other buildings tested and mitigated from radon; (3) implement radon resistant construction standards in high risk areas; (4) encourage radon testing and disclosure in connection with real estate transfers; and (5) promote environmental justice through programs and outreach efforts

directed at low-income and/or culturally-diverse populations. The Agency encourages recipients to partner with other public health and environmental organizations to increase program effectiveness.

CRITERIA FOR SELECTING PROPOSALS:

The EPA will base its review of SIRG applications on whether they reflect the program's goals and promote integrity in States' use of grant funds. Pursuant to TSCA Section 306(e), if State applications exceed the funds appropriated for award in a fiscal year, EPA will prioritize State activities based on the following criteria: (1) the seriousness and extent of the radon contamination problem to be addressed; (2) the potential for the activity or project to bring about reduction in radon levels; (3) the potential for the development of innovative radon assessment techniques, mitigation measures as approved by the Administrator, or program management approaches which may be of use to other States; (4) the potential for the activity to implement EPA's model radon-resistant building standards; (5) the potential for the development of radon programs in high-risk areas; and (6) the potential for the activity to improve the effectiveness and viability of the State program. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Under the TSCA 10(a) authority, Tribes are authorized to receive grants for demonstration projects. However, under this authority, SIRG funding is awarded competitively. EPA may establish additional criteria related to demonstration activities or other aspects of the SIRG program. All other requirements of the SIRG program are applicable to the States and Tribes unless otherwise stated in TSCA 306 or TSCA 10(a).

66.033 OZONE TRANSPORT COMMISSION

FEDERAL AGENCY:

OFFICE OF AIR AND RADIATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Air Act of 1990, Sections 106 and 111, as amended; Public Law 101-549, 42 U.S.C. 1406.

OBJECTIVES:

To develop or recommend air quality implementation plans for air quality control regions designated pursuant to Section 106 (interstate pollution) or Section 111 (interstate ozone pollution) of the Clean Air Act of 1990. Funding Priority-Fiscal Year 2008: The Ozone Transport Commission (OTC) will continue to provide a forum for the states within the Ozone Transport Region (New England and Middle Atlantic states) to develop and adopt air quality implementation plans for reducing ozone pollution pursuant to Section 106 of Section 111 of the Clean Air Act of 1990.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance funds may be used for costs specifically incurred in the conduct of interstate pollution projects in accordance with the purposes enumerated in the approved application. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

An agency or commission designated by the Governors of the affected States, which is capable of recommending to those Governors' plans for implementation of national primary and secondary ambient air quality standards and which includes representation from the States and the appropriate political subdivisions within the affected air quality control region. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Municipalities, intermunicipalities, States, interstate agencies or commissions, and Federally recognized Indian tribes.

Credentials/Documentation:

The application must supply evidence of legal authority for air pollution control; evidence of the availability of nonfederal matching funds, evidence that the Governor or his designated State agency has been given the opportunity to comment on the relationship of the program to be funded to the State plan and a workable program officially adopted for the agency. Principles for determining allowable costs are set forth in applicable Federal management circulars described in the general grant regulations and procedures 40 CFR Part 30 of the Federal Regulations. Costs will be determined in accordance with OMB Circular No. A-87 for State and Local governments.

Preapplication Coordination:

Discussions of informal meetings with the Headquarters Program Office concerning program preparation are advisable. The standard application forms as furnished by the Federal Agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or

her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

Application Procedure:

Requests for application forms and completed applications should be submitted to the appropriate EPA Office (See Appendix IV of the Catalog). Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Applications are due 60 days prior to the beginning of the budget period.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Applicant must reapply.

Formula and Matching Requirements:

Upon initial designation by the Governors of the affected States, pursuant to Sections 106 or 111 of the Clean Air Act of 1990, the Administrator is authorized to pay for up to 2 years, and up to 100 percent of the air quality planning program costs of an designated commission or interstate agency. After the initial 2-year period, the Administrator is authorized to make grants to such agency or such commission in amounts up to 3/5 of its air quality management plan costs. This program has no statutory formula.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of grant award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial and equipment reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0200-0-1-304.

Obligations:

FY 07 \$639,000; FY 08 \$635,000; and FY 09 est \$635,000.

Range and Average of Financial Assistance:

There is only one cooperative agreement awarded under this program. The cooperative agreement will range from \$600,00 to \$650,000/fiscal year with an average award of \$635,000.

PROGRAM ACCOMPLISHMENTS:

The Ozone Transport Commission provides policy guidance to its member states

and the District of Columbia on actions needed to reduce ozone pollution within the ozone transport region. They provide air pollution assessment, technical support and forums through which their member states can harmonize their pollution reduction strategies. This is accomplished through the development of multi-state agreements such as the NOx emissions reductions, the Clean Energy Initiative, education and outreach efforts and similar activities. OTC also participates in national forums such as the Clean Air Act advisory Committee and the Air Quality Management workgroup representing its member states. This CFDA issues one grant annually in response to the Clean Air Act Amendments to establish an Ozone Transport Commission which perform the activities stated herein annually. In FY 2007, one grant was awarded for \$639,000 and one grant in FY 2008 was awarded for \$635,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administration Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31).

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Office of Air and Radiation, Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Mail Code 6102A, Washington, DC 20460.
Program Contact: Pat Childers at childers.pat@epa.gov, Telephone: (202) 564-1082, Fax: (202) 564-1352.

Web Site Address:

<http://www.otcair.org/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Operation of the Ozone Transport Commission for the past 15 years.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.034 SURVEYS, STUDIES, RESEARCH, INVESTIGATIONS, DEMONSTRATIONS, AND SPECIAL PURPOSE ACTIVITIES RELATING TO THE CLEAN AIR ACT

FEDERAL AGENCY:

OFFICE OF AIR AND RADIATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Air Act of 1963, Section 103, as amended, Public Law 95-95, 42 U.S.C. 7401 et seq.; National Environmental Policy Act of 1969, Section 102(2)(F), Public Law 91-190, 42 U.S.C. 4332.

OBJECTIVES:

To support Surveys, Studies, Research, Investigations, Demonstrations and Special Purpose assistance relating to the causes, effects (including health and welfare effects), extent, prevention, and control of air pollution to include such topics as air quality, acid deposition, climate change, global programs, indoor environments, radiation, mobile source technology and community-driven approaches to transportation and emissions reduction. Funding Priority - Fiscal Year 2008: (1) Indoor Environments. This activity will support surveys, studies, research, and/or investigations or demonstrations, performed by concerned, national, non-profit organizations that lead to effective outreach strategies to educate key audiences about indoor air pollutants and their associated health risks, and convince them to adopt effective mitigation and control strategies. Currently, the Program focuses on several critical aspects of indoor air quality that pose significant risks to public health, and in particular, to children and to other disproportionately impacted segments of society. These include: reducing the exposure of children and others with asthma to indoor triggers that worsen their condition; promoting the adoption of operation and maintenance practices in schools throughout the nation to reduce the harmful effects of poor indoor air quality on the health of students and staff; promoting voluntary radon testing by homeowners to identify elevated levels and fix them when they are found, as well as working with homebuilders to incorporate radon resistant construction features into new homes; and encouraging adult smokers to protect their children from the adverse health effects of environmental exposure to secondhand smoke by making a conscious decision to smoke outside and keep their homes and cars smokefree. (2) Radiation. This activity currently manages a national environmental radiation monitoring program, is prepared for and responds to incidents involving nuclear or radiological material, oversees the safe disposal of radioactive waste, maintains two laboratories that allow for radiological sampling and analyses, and provides standards for protecting human health and the environment from radioactive material. Activities could include performance testing and evaluation, analytical procedures, radiation laboratory equipment. This demonstration pilot activity supports and enhances state radiological laboratory capability and capacity to reduce the gap in capability to analyze

environmental samples following a significant national radiological incident. Key activities include audits and proficiency testing, provision of radiological instrumentation, supplies, training, and limited facilities upgrades. (3) Community-Scale Air Toxics Ambient Monitoring (CSATAM). The CSATAM Grant Program results in discreet two-year projects designed to assist state, local and tribal communities in identifying and profiling air toxics sources, characterizing the degree and extent of local air toxics problems, and tracking progress of air toxics reduction activities in specific local areas without reliance on often uncertain estimates of emissions and air dispersion models. Further, these grants typically allow the recipient state, local, and tribal air pollution control agencies to establish or enhance hazardous air pollutant monitoring networks, thus providing long term capability to investigate and assess specific local air quality scenarios of concern. Note that from year to year, this program may establish categories of projects and funding targets within those categories. (4) Mobile Sources Technologies. Grants will encompass studies and investigations utilizing state-of-the-art experimental techniques in advanced engine development technology, including hydraulic hybrid drivetrains, to optimize fuel economy, reduce exhaust emissions (including PM and NOx), and improve performance. Additional grants will support studies identifying barriers to technological innovation, analyzing innovative strategies for overcoming these barriers, and encouraging the development and adoption of new vehicle and fuel technologies for the control of emissions. (5) Heavy Duty Truck Fuel Consumption and Emissions Reductions. This program will study and analyze fuel consumption and emissions reductions associated with the use of innovative technologies for heavy duty diesel trucks. Studies and evaluations will involve truck fleets that operate under varying conditions, so as to evaluate the effectiveness of the various technologies. (6) National Internet-based On-Board Diagnostic (OBD) Information Exchange. This program will support activities that maintain, update, and improve the Internet-based information clearinghouse to facilitate the accurate and timely exchange of technical information related to on-board diagnostic (OBD) between state inspection/maintenance programs, the automotive industry, and the general public. (7) Climate Change. This is a voluntary program that supports activities relating to improving economic, technical and programmatic understanding of climate change. The Climate Change Division supports projects relating to technical, outreach, and education about climate change impacts, mitigation and adaptation options so that the private and public sectors may more effectively and comprehensively address their climate goals. The Climate Change Division supports projects that break down market barriers that limit investment in technologies that reduce methane and non-CO2 greenhouse gases; projects that address the technical issues surrounding sequestration and carbon storage; projects that address collection and analyses of economic data relating to climate change; and programs such as Methane to Markets that support climate technology transfer in developing and transition countries. (8) Climate Protection Partnerships. This is a voluntary government/industry partnership program designed to capitalize on the opportunities that consumers, businesses, and organizations have for making sound investments in efficient equipment, policies, and practices. During FY 2008, the Global Climate Change program managed a number of efforts to remove barriers in the marketplace and to deploy technology faster in the residential, commercial, and industrial sectors of the economy. Our programs work by overcoming widely acknowledged barriers to energy efficiency: lack of clear, reliable information on technology opportunities; lack of awareness of energy efficient products and services; lack of financing options to turn lifecycle energy savings into initial cost savings for consumers; low incentives to manufacturers for efficiency research and development. In addition, our Global Climate Change programs provided technical assistance, training, information exchange and other forms of cooperation to enhance the capabilities of governments and other stakeholders to protect human health and the environment regionally and globally.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved Surveys, Studies, Research, Investigations, Demonstrations and Special Purpose plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, international organizations, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Air and Radiation's mission. Eligibility for projects awarded or competed exclusively with State and Tribal Assistance Grant (STAG) funds is limited to air pollution control agencies, as defined in section 302(b) of the Clean Air Act that are also eligible to receive grants under section 105 of the Clean Air Act, and/or federally

recognized tribes. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

None, unless applications are submitted in response to calls for proposals or requests for applications which include deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for Surveys, Studies, Research, and Investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Grants are generally fully funded or on an incremental funding basis. Successful applicants will be notified either via U.S. mail or electronically. Such notification is contingent upon information contained in the resulting solicitation.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in

Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-1810-0-1-304. Resulting awards are funded using the following accounts: Environmental Program & Management (EPM), Science & Technology (S&T), and State & Tribal Air Grants (STAG).

Obligations:

FY 07 \$45,783,177; FY 08 \$18,001,650; and FY 09 est \$21,305,000.

Range and Average of Financial Assistance:

EPA generally award grants ranging in value from \$5,000 to \$750,000 per fiscal year. The average value of each grant is \$150,000 per fiscal year.

PROGRAM ACCOMPLISHMENTS:

Data Not Available. This CFDA is utilized by EPA Headquarters and the regions. Specific program accomplishments are unavailable. Prospective applicants may contact the individual listed in "Headquarters Office," below for more information. Conference is devoted to the sharing of information about current and ongoing research into alternatives to methyl bromide for the agricultural sector, for both pre-plant and post-harvest uses. Conference proceedings are available at <http://www.mbao.org>. The 2004 conference took place in Orlando, Florida, and had about 400 attendees. MBAO is jointly sponsored in cooperation with EPA, the Department of Agriculture, and the Crop Protection Coalition.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, Studies, Research, and Investigations grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31).

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mail Code 3903R, Washington, DC 20460. For program information contact: Office of Air and Radiation, 1200 Pennsylvania Avenue, N.W., Washington, DC 20460, Mail Code: 6102A. Courtney Hyde, Telephone: (202) 564-1227, hyde.courtney@epa.gov, Fax: (202) 564-1327.

Web Site Address:

<http://www.epa.gov/air/>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded projects are: (1) Conducting training courses for environmental health professionals on indoor air quality topics including asthma triggers, schools, radon, indoor air quality in large buildings, and community outreach; (2) Climate change tribal impacts, communications, and outreach; (3) Activities that advance methane recovery and use as a clean energy source; (4) Integrated assessment of multiple greenhouse gases, climate impacts, and pollution; (5) National Education Association Health Information Network; (6) Implementation of energy-efficient standards and labeling programs in developing countries; (7) Market transformation projects that will increase public awareness of the health benefits and cost savings associated with the use of energy efficient products and services; (8) Projects that involve regional outreach and education benefits of renewable energy, encouraging state and local governments to make green power purchases; and (9) Demonstrating the effectiveness and viability of retrofit technologies in reducing harmful air emissions from existing diesel highway, heavy-duty vehicles and to achieve actual local emission reductions.

CRITERIA FOR SELECTING PROPOSALS:

EPA selects proposed Surveys, Studies, Research, and Investigations projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. If EPA issues a solicitation for applications for a particular project or group of projects, the solicitation will identify specific criteria. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.035 COMMUNITY ACTION FOR A RENEWED ENVIRONMENT (CARE) PROGRAM

FEDERAL AGENCY:

OFFICE OF PREVENTION, PESTICIDES AND TOXIC SUBSTANCES,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Air Act, Section 103(b)(3) as amended; Clean Water Act, Section 104(b)(3), as amended; Solid Waste Disposal Act, Section 8001, as amended; Toxics Substances Control Act, Section 10, as supplemented by Public Law 106-74 (1999); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20 (a), as supplemented by Public Law 106-74 (1999); Marine Protection, Research, and Sanctuaries Act, Section 203, as amended; and National Environmental Policy Act, Section 102(2)(F).

OBJECTIVES:

To support analyses, studies, evaluations, surveys, investigations, conferences, demonstrations and special purpose projects which empower communities to reduce risks from exposures to toxic pollutants in the air, in the water, and on the land through collaborative action at the local level. Funding Priority - Fiscal Year 2008: The CARE program aims to: (1) Reduce exposures to toxic pollutants through collaborative action at the local level; (2) Help communities gain an understanding of all potential sources of exposure to toxic pollutants; (3) Work with communities to set priorities for risk reduction activities; and, (4) Create self sustaining, community based partnerships that will continue to improve the local environment. The following program strategies will be used: (1) Provide information and a variety of tools to help communities understand and assess toxic risks; (2) Collaborate with community stakeholder groups to prioritize plans for risk reduction; (3) Mobilize local resources and utilize EPA voluntary programs to implement risk reduction activities; (4) Link EPA programs together to efficiently support communities; (5) Create a positive environment that encourages all members of the community including businesses and colleges and universities to join the collaborative partnership; (6) Facilitate networking among CARE communities to share experiences and lessons learned; and, (7) Build long term community capacity to continue improving the local environment.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Cooperative Agreements are available to support recipients' allowable direct costs incident to approved surveys, analyses, studies, evaluations, conferences, investigations, demonstrations and special purpose projects plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Local, Public non-profit institution/organizations, Federally Recognized Indian Tribal Government, Native American Organizations, Private nonprofit institution/organization, Quasi-public nonprofit institution/organization, local government, colleges, universities, interstate and intrastate. Proposals to renew or supplement existing projects are eligible to compete. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Local, Public nonprofit institution/organizations, Federally Recognized Indian Tribal Government, Native American Organizations, Private nonprofit institution/organization, Quasi-public nonprofit institution/organization, local government, colleges, universities, interstate and intrastate.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For competitive awards, EPA will review and evaluate applications, proposals and/or submissions in accordance with the terms, conditions, and criteria stated in the

announcement of the competitive funding opportunity. Competition will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in Request for Applications or Request for Initial Proposals.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the projects to EPA's priorities, availability of funds, and Agency policy on the cooperative agreement process.

Formula and Matching Requirements:

CERCLA 311(b)(3) requires that "to the maximum extent possible," EPA enter into an appropriate cost sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the 311(b)(3) cost sharing requirement in appropriate cases. Matching requirements may be established in program guidance or the terms of competitive solicitations. This program has no statutory formula.

Length and Time Phasing of Assistance:

EPA normally funds cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements and most CARE awards will be for 18 to 36 months. EPA limits project periods to 5 years. Any resulting cooperative agreement awards will normally be awarded on a quarterly basis. Applicants will be notified of an award in accordance with the information specified in any resulting solicitation.

Reports:

EPA includes reporting requirements for cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofit and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in cooperative agreements available to personnel authorized to examine EPA recipients cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304, Environmental Program Management (EPM).

Obligations:

FY 07 \$1,900,000; FY 08 \$3,400,000; and FY 09 est \$2,400,000.

Range and Average of Financial Assistance:

There is no minimum amount of assistance. Cooperative agreements awarded based on Level I agreements will range from \$75,000 to \$100,000 with an average Level I award of \$90,000. Level II agreements will range from \$150,000 to \$300,00 with an average Level II award of \$275,000.

PROGRAM ACCOMPLISHMENTS:

The CARE program is a relatively new initiative. It uses two sets of cooperative agreements. In the smaller Level I agreements, the community, working with EPA, creates a collaborative problem solving group made up of the various stakeholders in the community. That group assesses the community's toxic exposure problems and begins to identify potential solutions. In the larger Level II agreements, the community, working with EPA selects and funds projects that reduce risk and improve the environment in the community. In FY07 we received 30 applications and funded 22 projects, including two of the FY 05 Level I projects who successfully completed their project and competed for and received a Level II grant. CARE communities have implemented a variety of EPA Partnership Programs and reduced citizen's exposure to toxics including lead, diesel emissions, mercury and environmental tobacco smoke. The communities have also reduced emissions of green house gases.

REGULATIONS, GUIDELINES, AND LITERATURE:

Environmental Policy and Innovation grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions and FAR Part 31 for profit makers.

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. For Program Information contact: Larry Weinstock, (202) 564-9226 weinstock.larry@epa.gov, Fax: (202) 564-7739.

Web Site Address:

<http://www.epa.gov/care/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

CARE is a unique community-based, community-driven, multimedia demonstration program designed to help communities understand and reduce risks due to toxic and environmental pollutants from all sources. Projects funded under the CARE program include: (1) the development of an assessment of toxins and pollution sources used to educate residents about their impact on local health and the environment; (2) assisting owners of homes with lead-based paint in obtaining remediation funds and development of a neighborhood toxic education program; (3) the study of all potential local sources of toxic risk in order to develop a long-term voluntary toxics management system to reduce the generation of toxic pollutants; (4) the creation of a Community Partnership that is a multi-stakeholder group - that includes industries, communities, small business owners - to conduct a community capacity building program to identify the concerns of the communities and to discuss how to address them; and (5) a collaborative project with community agencies to address the negative environmental and public health impact that small automotive repair and body shops have on their employees and neighbors, while avoiding putting shops out of business by regulatory enforcement.

CRITERIA FOR SELECTING PROPOSALS:

Competitive applications and proposals will be selected in accordance with announced criteria. Non-competitive proposals are judged for (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives and; for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.036 CLEAN SCHOOL BUS USA

(CSBUSA)

FEDERAL AGENCY:

OFFICE OF AIR AND RADIATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

FY 2006 Interior, Environment and Related Agencies Appropriations Act, H.R. 2361, Energy Policy Act of 2005.

OBJECTIVES:

To support projects relating to necessary upgrades to diesel school bus fleets. CSBUSA is a national initiative to minimize children's exposure to diesel exhaust by reducing pollution from school buses. The program has three key elements: (1) reduce school bus idling; (2) retrofit 1991-2006 model year buses with devices that reduce pollution, and (3) replace pre-1990 buses with new, clean-technology buses. Projects categorized under this CFDA are now funded under CFDA 66.039 and/or CFDA 66.040. Funding Priority - Fiscal Year 2008: Projects categorized under this CFDA are now funded under CFDA 66.039 and/or CFDA 66.040.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to necessary upgrades to diesel school bus fleets plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Local and Federally Recognized Indian Tribal Governments, local and state governments, school districts, non-profit organizations. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Local, Anyone/General Public.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. EPA awards grants and cooperative agreements supporting the mission of the Office of Air and Radiation, based on competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of success of proposed projects.

Application Procedure:

EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog or downloaded from <http://www.epa.gov/ogd/forms/forms.htm>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA will administratively evaluate each application to determine the adequacy of the application in relation to EPA's general grant regulations. EPA's Office of Air and Radiation and/or the 10 EPA regions will evaluate applications to determine the merit and relevance of proposed projects. Applications/proposals will also be reviewed in accordance with the terms, conditions and criteria in the solicitation/announcement of the competitive funding opportunity. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

As stated in the Request for Applicants.

Range of Approval/Disapproval Time:

Approximately 180 days after the request for applications.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal

Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. All projects will be fully funded.

Formula and Matching Requirements:

This program does not have a statutory formula. The match required for this program is set at a minimum of 5%.

Length and Time Phasing of Assistance:

Projects categorized under this CFDA are now funded under CFDA 66.039 and/or CFDA 66.040.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial and equipment reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved

Account Identification:

68-0103-0-1-304.

Obligations:

FY 07 \$6,900,000; FY 08 \$0; and FY 09 \$0. Projects categorized under this CFDA are now funded under CFDA 66.039 and/or CFDA 66.040.

Range and Average of Financial Assistance:

There is no minimum or maximum amount of assistance. The general range of the amount of assistance is \$200,000 - \$400,000. The average is \$250,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, EPA awarded 45 grants for an estimated \$6,900,000. Projects categorized under this CFDA are now funded under CFDA 66.039 and/or CFDA 66.040.

REGULATIONS, GUIDELINES, AND LITERATURE:

Clean School Bus USA grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and Part 31).

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or Jennifer Keller of the Clean School Bus USA program.

Headquarters Office:

For program information, Contact: Jennifer Keller, Ariel Rios Building, 1200 Pennsylvania Avenue, N.W., Mail Code: 6405J, Washington, DC 20460. Telephone: (202) 343-9541; Fax: (202) 343-2804; E-mail: keller.jennifer@epa.gov.

Web Site Address:

<http://www.epa.gov/cleanschoolbus>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects chosen in the Clean School Bus assistance agreement competition include both the retrofitting of newer school buses and the purchase of new buses to replace some of the very oldest in the nation's fleet. Examples of funded projects include: a new hybrid-electric school bus; use of diesel oxidation catalysts and biodiesel blended fuel (B20) for buses in three counties; retrofitting of 11 school buses with advanced emission control technology to eliminate particulate matter and volatile organic compounds which contribute to poor air quality; school bus retrofit project to reduce diesel emissions generated by school buses used by special-needs children; and the installation of diesel particulate filters or diesel oxidation catalysts and crankcase emission control systems on school buses initiating the use of ultra low sulfur diesel fuel early and in the interim support using biodiesel.

CRITERIA FOR SELECTING PROPOSALS:

EPA selects proposed Clean School Bus USA projects for funding based on criteria specified in the solicitation, and the likelihood of success, as well as factors such as relevancy to EPA's mission, and technical merit. If EPA issues a solicitation for applications for a particular project or group of projects, the solicitation will identify specific criteria. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.037 INTERNSHIPS, TRAINING AND WORKSHOPS FOR THE OFFICE OF AIR AND RADIATION

FEDERAL AGENCY:

OFFICE OF AIR AND RADIATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Air Act, Section 103(b)(3), as amended 42 U.S.C. 7403; National Environmental Policy Act of 1969, Section 102(2)(F), Public Law 91-190, 42 U.S.C. 4332.

OBJECTIVES:

To provide, Internships, Training, Workshops, and Technical Monitoring in support of the Clean Air Act. These activities aim to: (1) support the development of career-oriented personnel qualified to work in occupations involving environmental protection and air pollution abatement and control; (2) provide technical training for State, local, territorial, and Indian Tribal environmental control agencies; (3) enhance the capability of state and local agencies responsible for environmental pollution control or other agencies with similar pollution control responsibilities; (4) provide educational renewal for career oriented personnel to achieve additional knowledge through academic professional training; (5) provide both undergraduate and graduate students in science, engineering, and other relevant fields with education and training opportunities to enhance their understanding of air quality-related topics; and, 6) to increase the quality and number of people into the environmental control field. Funding Priority - Fiscal Year 2008: This program will continue to provide training to tribes in all aspects of air quality, develop workshops in air quality based on identified needs, and provide technical support to ensure adequate information is provided to actually support programs and use knowledge developed in training workshops. In FY 2008, this program will also support education and training for undergraduate and graduate students interested in acquiring science, engineering, and other knowledge necessary to help address air quality and other environmental issues affecting communities along the US-Mexico Border.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved projects for internships, training and workshops related to environmental issues plus allowable indirect costs, in accordance with established EPA policies and regulations. This requirement does not involve geospatial information.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S., including the Federally Recognized Indian Tribal Government, District of Columbia and possessions of the U.S., international organizations, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Air and Radiation's mission. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, and Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for non-profit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is excluded from coverage under E.O. 12372.

Application Procedure:

EPA requires final applications to be made on Standard Form 424, Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog or downloaded from <http://www.epa.gov/ogd/forms/forms.htm>. Applicants must submit their requests for training assistance on EPA Standard Form 424 and the fellowship assistance on EPA Standard Form 5770-2. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

As stated in the Request for Applications or Request for Initial Proposals.

Range of Approval/Disapproval Time:

Approximately 180 days after the deadline for the Request for Applications.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. All projects will be fully funded.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

If the grant is not fully funded at time of award, EPA normally funds grants on a 12-month incremental basis. Each grant is limited to a three (3) year duration.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial and equipment reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure report. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304. Funds funded using the following accounts: Environmental Program and Management (EPM), and State and Tribal Air Grants (STAG).

Obligations:

FY 07 \$0; FY 08 \$109,000; and FY 09 est \$126,000.

Range and Average of Financial Assistance:

EPA generally awards grants ranging in value from \$50,000 to \$500,000 per fiscal year. The average amount is \$250,000.

PROGRAM ACCOMPLISHMENTS:

There are no program accomplishment to report at this time. This is a new program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Training grants are subject to EPA's General Grant Regulations 40 CFR Part 30 and Part 31; 40 CFR Part 45; and EPA Annual Program Guidance provided to State and Local agencies.

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

For Program Information, contact: Linda Zarow, Office of Air and Radiation, Ariel Rios North Building, 1200 Pennsylvania Avenue, N.W., Mail Code 6101A, Washington, DC 20460; Telephone: (202) 564-7431; E-mail: zarow.linda@epa.gov.

Web Site Address:

www.epa.gov/air.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

No examples of funded projects are available at this time. This is a new program.

66.038 TRAINING, INVESTIGATIONS, AND SPECIAL PURPOSE ACTIVITIES OF FEDERALLY-RECOGNIZED INDIAN TRIBES CONSISTENT WITH THE CLEAN AIR ACT (CAA), TRIBAL SOVEREIGNTY AND THE PROTECTION AND MANAGEMENT OF AIR QUALITY

FEDERAL AGENCY:

OFFICE OF AIR AND RADIATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Air Act of 1963, Section 103, as amended, Public Law 95-95, 42 U.S.C. 7403.

OBJECTIVES:

To support Federally-recognized Indian Tribes' efforts to understand, assess and characterize air quality, and design methods and plans to protect and improve air quality on tribal lands through surveys, studies, research, training, investigations, and special purpose activities. To ensure tribes have appropriate levels of support and opportunity to understand their air quality and take proactive measures to preserve, restore and protect air quality for their reservations and other lands over which they have jurisdiction through tribal program implementation, CAA implementation, radiation protection, mobile source controls, and voluntary programs to address outdoor and indoor air and other concerns. To ensure that all tribes have the tools they need to understand and participate in local, regional and national issues, regulatory and policy developments, and to protect their air quality from activities off the reservation that may affect or impact them. To improve the ability of tribes to understand, define, design and participate in activities that affect their air quality. Funding Priority-Fiscal Year 2008: EPA and Tribes, in partnership, will protect public health, the environment, and unique Tribal air resources, consistent with the CAA, its Amendments, and EPA's trust responsibilities. This program will ensure Tribes have appropriate levels of support and opportunity to understand their own air quality and take proactive measures to preserve, restore, and protect air quality for their reservations and other lands over which they have jurisdiction through CAA implementation, radiation protection, mobile source controls, and voluntary programs to address indoor and outdoor air and other concerns. Activities will ensure that all Tribes have the tools they need to understand and participate in local, regional, and national issues and to protect their air quality from changes off reservation that may impact them. Key activities include: (1) Training and Program Development - this area includes technical, administrative, and policy related training conducted in-person, on-line, and/or by any remote technological method supporting the enhancement of skills that contribute to development of tribal air quality management activities; (2) Assessment and Monitoring - activities related to assessment may include short or long term efforts to define, design, understand, and assess in a qualitative or quantitative manner (such as through an emission or source inventory) the impacts of air pollutants to human health, the environment, and items of cultural importance. Monitoring includes numerous activities that gather data about the state of the environment and measuring the concentrations and potential effects of criteria and/or toxic air pollutants; (3) Developing capacity or a plan for eligibility under the Tribal Authority Rule - developing capacity includes activities that increase the experience and expertise of tribes to understand and assess air quality, including technical, administrative, policy, regulatory, education and outreach, communication skills, training, and building contacts, networks and experience. Capacity includes carrying-out these programs and activities, interacting with the national air program and providing input, guidance, and perspective to efforts to develop policies, regulations, and guidance at the local, regional, and national level including reading, report writing, grant writing and reporting, budgeting, public speaking, developing presentations, preparing comments, editing documents, operating and maintaining equipment, and participating in meetings. Developing a plan for eligibility under the Tribal Authority Rule (TAR) includes report reading and writing, grant writing and reporting, budgeting, public speaking, presentation development, comment preparation, document editing, equipment operation and maintenance, and meeting participation; (4) Delegation or Ongoing Capability Development - This activity includes developing technical, administrative, and management skills, knowledge, and abilities through experience, training, and education to enable activities such as

reading and interpreting federal regulations, operating equipment, preparing and presenting technical information and reports, interacting with tribal communities and governments, federal bureaucrats and bureaucracy, providing outreach and education, public speaking, conducting public hearings, collecting and analyzing data, developing plans and innovative approaches to pollution control in a culturally sensitive manner; and (5) Tribal Implementation Plan development - tribes may conduct all aspects of air quality management at an advanced level, including data analysis, analyzing, interpreting and commenting on administrative and regulatory requirements and activities at local, state, regional and national levels, developing advanced technical, policy and planning document preparation, and tribal government representation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipient's allowable direct costs incident to approved Tribal air resources activities that will protect public health and the environment, plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Assistance under this program is generally available to Federally-recognized Indian Tribes and Intertribal Consortia, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Air and Radiation's mission. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Federally-recognized Indian Tribes and Intertribal Consortia.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is excluded from coverage under E.O. 12372.

Application Procedure:

EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreement Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

As stated in the Request for Applications (RFA) or Request for Proposals (RFP).

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for Training, Investigations, and Special Purpose. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Grants and

cooperative agreements are generally fully funded or on an incremental funding basis. Successful applicants will be notified either via U.S. mail or electronically. Such notification is contingent upon information contained in the resulting solicitation.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations found at 40 CFR Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Recipients are subject to the retention and access requirements for records under 40 CFR Part 31.42. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients' grant and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-1810-0-1-304. Resulting awards are funded using the following accounts: Environmental Program & Management (EPM), Science & Technology (S&T), and State & Tribal Air Grants (STAG).

Obligations:

FY 07 \$0; FY 08 \$9,589,688; FY 09 est \$9,590,000.

Range and Average of Financial Assistance:

There is no minimum amount of assistance; the maximum is \$7,750,000. The general range of the amount of assistance is \$25,000 to \$500,000. The average is \$75,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Training, Investigations, and Special Purpose grants and cooperative agreements are subject to EPA General Grant Regulations 40 CFR Part 31. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes.

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreement Management Division, 3903R, Washington, DC 20460. For program information contact: Darrel Harmon, Telephone: (202) 564-7416, E-mail: harmon.darrel@epa.gov, Fax: (202) 501-1153.

Web Site Address:

<http://www.epa.gov/air/tribal>.

RELATED PROGRAMS:

66.001, Air Pollution Control Program Support; 66.034, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Competitive applications and proposals are selected for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Tribal Governments and inter-tribal consortia are exempted from competition under EPA Order 5700.5A1.

66.039 NATIONAL CLEAN DIESEL FUNDING ASSISTANCE PROGRAM

(DERA National Program)

FEDERAL AGENCY:

AUTHORIZATION:

FY 2008 Interior, Environment and Related Agencies Appropriations Act, H.R. 2764; The Energy Policy Act of 2005, Public Law 109-58.

OBJECTIVES:

Sections 792 of the Energy Policy Act of 2005 authorize EPA to award grants and low-cost revolving loans to eligible entities to fund the costs of a retrofit technology that significantly reduces emissions through development and implementation of a certified engine configuration, verified technology, or emerging technology for buses (including school buses), medium-duty or heavy-duty trucks, marine engines, locomotives, or nonroad engines or vehicles used in construction, handling of cargo (including at port or airport), agriculture, mining, or energy production. In addition, eligible entities may also use funds awarded for programs or projects to reduce long-duration idling using verified technology involving a vehicle or equipment described above, or the creation of low-cost revolving loan programs to finance diesel emissions reduction projects. The objective of the assistance under this program is to achieve significant reductions in diesel emissions in terms of tons of pollution produced and reductions in diesel emissions exposure, particularly from fleets operating in areas designated by the Administrator as poor air quality areas. Funding Priority-Fiscal Year 2008: This program aims to work aggressively to reduce the pollution emitted from diesel engines across the country through the implementation of varied control strategies and aggressive involvement of national, state, and local partners. Priority shall be given to projects which: Maximize public health benefits; Are the most cost-effective; Serve areas with the highest population density, that are poor air quality areas (including nonattainment or maintenance of national ambient air quality standards for a criteria pollutant; Federal Class I areas; or areas with toxic air pollutant concerns); Serve areas that receive a disproportionate quantity of air pollution from diesel fleets, including truck stops, ports, rail yards, terminals, and distribution centers or that use a community-based multi-stakeholder collaborative process to reduce toxic emissions; Include a certified engine configuration, verified technology, or emerging technology that has a long expected useful life; Will maximize the useful life of any certified engine configuration, verified technology, or emerging technology used or funded by the eligible entity; Conserve diesel fuel; and Utilize ultra low sulfur diesel fuel (15 parts per million of sulfur content) ahead of EPA's mandate (for nonroad projects).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and Cooperative agreements are available to support recipient's allowable costs incident to supporting projects to reduce emissions from diesel engines, plus allowable indirect costs, in accordance with established EPA policies and regulations. No grant or loan provided under this program shall be used to fund the costs of emissions reductions that are mandated under Federal, State or local law. Voluntary or elective emission reduction measures shall not be considered "mandated", regardless of whether the reductions are included in the State implementation plan of a State. Distribution of Funds: 1) not less than 50 percent of funds available for a fiscal year under the DERA National Program shall be provided to eligible entities for the benefit of public fleets; 2) not less than 90 percent of funds available for a fiscal year under the DERA National Program shall be provided to eligible entities for projects using a certified engine configuration; or a verified technology; 3) not more than 10 percent of funds available for a fiscal year under the DERA National Program shall be provided to eligible entities for the development and commercialization of emerging technologies.

Applicant Eligibility:

A regional, State, local or tribal agency or port authority with jurisdiction over transportation or air quality; and a nonprofit organization or institution that represents or provides pollution reduction or educational services to persons or organizations that own or operate diesel fleets; or has, as its principal purpose, the promotion of transportation or air quality are eligible for assistance under this program. City, county, or municipal agencies, school districts, and metropolitan planning organizations (MPOs) that have jurisdiction over transportation or air quality are all eligible entities under this program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, Local, Public Nonprofit Institution/Organization, Anyone/General Public.

Credentials/Documentation:

Costs will be determined in accordance with Office of Management and Budget (OMB) Circular A-87, found at 2 CFR 225, for State and local governments and Indian Tribes, OMB Circular No. A-21, found at 2 CFR 220, for educational institutions, and OMB Circular No. A-122, found at 2 CFR 230, for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment

to carry out projects.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

By law Section 792 includes application requirements. In addition, EPA requires final applications to be made on Standard Form 424, Application for Federal Assistance. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. Standard Form 424 is also available for download at EPA's Office of Grants and Debarment (OGD) web site, <http://www.epa.gov/ogd/AppKit/application.htm>, from www.grants.gov, or from EPA Regional Collaborative assistance agreement websites. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA's Office of Air and Radiation and/or the 10 EPA Regions will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in the Request for Applications or Request for Proposals.

Range of Approval/Disapproval Time:

Approximately 180 days after the request for applications.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Permitted. Generally, EPA incrementally funds cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the projects to EPA's priorities, availability of funds, and Agency policy on the cooperative agreement process.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

The grants and cooperative agreements funded under the National Clean Diesel Funding Assistance Program will have up to a five-year project period. FY 2008 is the first year of funding for this Program.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations 40 CFR Part 30 and 40 CFR Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

FY 07 \$0; FY 08 \$34,400,000; and FY 09 est \$35,000,000.

Range and Average of Financial Assistance:

There is no minimum amount of assistance. Smaller grants typically range from \$100,000 - \$300,000 with an average award of \$125,000. Larger grants typically range from \$500,000 - \$2 million with an average award of \$650,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Diesel Emissions Reduction program grants and cooperative agreements are subject to EPA's general grant regulations (40 CFR Part 30 and Part 31).

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or Jennifer Keller.

Headquarters Office:

For program information, contact: Jennifer Keller, Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Mail Code: 6405J, Washington, DC 20460; Telephone: (202) 343-9541; Fax: (202) 343-2803; E-mail: keller.jennifer@epa.gov.

Web Site Address:

<http://www.epa.gov/cleandiesel>.

RELATED PROGRAMS:

66.034, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.306, Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program; 66.039, National Clean Diesel Funding Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Projects that may be eligible include a variety of diesel emissions reductions solutions such as: add-on emission control retrofit technologies; idle reduction technologies; cleaner fuel use; engine repowers; engine upgrades; and/or vehicle or equipment replacement; and the creation of low-cost revolving loan programs to finance diesel emissions reduction projects. Eligible vehicles, engines and equipment may include: buses (including school buses); medium-duty or heavy-duty trucks; marine engines; locomotives; and nonroad engines or vehicles used in: (i) construction; (ii) handling or cargo (including at a port or airport); (iii) agriculture; (iv) mining; or v) energy production (including stationary engines such as generators or pumps).

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.040 STATE CLEAN DIESEL GRANT PROGRAM

(DERA State Program)

FEDERAL AGENCY:

OFFICE OF AIR AND RADIATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

FY 2008 Interior, Environment and Related Agencies Appropriations Act, H.R. 2764; The Energy Policy Act of 2005, Public Law 109-58.

OBJECTIVES:

Section 793 of the Energy Policy Act of 2005 authorizes EPA to award assistance agreements to States to develop and implement such grant and low-cost revolving loan programs in the State as are appropriate to meet State needs and goals relating to the reduction of diesel emissions. Funding Priority-Fiscal Year 2008: To the extent practicable, States receiving assistance under this program shall give priority to projects which: Maximize public health benefits; Are the most cost-effective; Serve areas with the highest population density, that are poor air quality areas (including nonattainment or maintenance of national ambient air quality standards for a criteria pollutant; Federal Class I areas; or areas with toxic air pollutant concerns); Serve areas that receive a disproportionate quantity of air pollution from diesel fleets, including truck stops, ports, rail yards, terminals, and distribution centers or that use a community-based multi-stakeholder collaborative process to reduce toxic emissions; Include a certified engine configuration, verified technology, or emerging technology that has a long expected useful life; Maximize the useful life of any certified engine configuration, verified technology, or emerging technology used or funded by the eligible entity; Conserve diesel fuel; and Utilize ultra low sulfur diesel fuel (15 parts per million of sulfur content) ahead of EPA's mandate (for nonroad projects).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support the development and implementation of such grant and low-cost revolving loan programs in a State as are appropriate to meet State needs and goals relating to the reduction of diesel emissions. A grant or loan funded by the State using funds from the DERA State Program may be used for a project relating to a certified engine configuration or a verified technology. Funds received under Subtitle G of the Energy Policy Act

(Diesel Emissions Reduction) may not be used to pay a matching share under this program. Funds may be used for projects involving EPA-verified and/or California Air Resources Board (CARB) -listed diesel emissions reduction technologies and/or EPA certified engines, or emerging diesel emissions reduction technologies or strategies.

Applicant Eligibility:

Assistance under this program is available to the 50 States.

Beneficiary Eligibility:

State, Local, Public Nonprofit Institution/Organization, Anyone/General Public.

Credentials/Documentation:

Costs for State governments will be determined in accordance with Office of Management and Budget (OMB) Circular A-87, found at 2 CFR 225.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

EPA requires final applications to be made on Standard Form 424, Application for Federal Assistance. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. Standard Form 424 is also available for download at EPA's Office of Grants and Debarment (OGD) website, <http://www.epa.gov/ogd/AppKit/application.htm>, from www.grants.gov, or from EPA Regional Collaborative assistance agreement websites. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA's Office of Air and Radiation and/or the 10 EPA Regions will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the Federal Register Notice of Availability of Funds for this program.

Deadlines:

Deadlines will be specified in the Federal Register Notice of Availability of Funds for this program.

Range of Approval/Disapproval Time:

Approximately 180 days after the request for applications.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Permitted. Generally, EPA incrementally funds cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the projects to EPA's priorities, availability of funds, and Agency policy on the cooperative agreement process as outlined in the Federal Register Notice of Availability of Funds.

Formula and Matching Requirements:

This program has a statutory allocation formula (Subtitle G - Diesel Emissions Reduction, Section 793(c)(2)). There is no match requirement for this program. However, there is an incentive match provision (Subtitle G - Diesel Emissions Reduction, Section 793(c)(3)).

Length and Time Phasing of Assistance:

Grants and cooperative agreements funded under this program may have up to a seven-year project period. Applicants will be notified of an award in accordance with the information specified in the Federal Register Notice of Availability of Funds.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Recipients must keep financial records, including all documents supporting

entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

FY 07 \$0; FY 08 \$14,800,000; and FY 09 est \$15,000,000.

Range and Average of Financial Assistance:

There is no minimum or maximum amount of assistance. The range is estimated from \$300,000 to \$3,000,000 with an average award of \$300,000, depending on the number of States participating.

PROGRAM ACCOMPLISHMENTS:

There are no program accomplishments to report at this time. This is a new program.

REGULATIONS, GUIDELINES, AND LITERATURE:

State Clean Diesel Grant Program grants and cooperative agreements are subject to EPA's general grant regulations (40 CFR Part 31). Guidelines for applying for funds under this program will be published in the Federal Register.

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or Jennifer Keller.

Headquarters Office:

For program information, contact: Jennifer Keller, US Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Mail Code: 6405J, Washington, DC. 20460; Telephone: (202) 343-9541; Fax: (202) 343-2803; E-mail: keller.jennifer@epa.gov.

Web Site Address:

<http://www.epa.gov/cleandiesel>.

RELATED PROGRAMS:

66.034, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act.

EXAMPLES OF FUNDED PROJECTS:

States may use funds under this program to develop and implement grant and loan programs that are designed to achieve significant reductions in diesel emissions and are appropriate to meet State needs and goals relating to the reduction of diesel emissions. State grant and loan programs may fund projects relating to a certified engine configuration or a verified technology for vehicles, engines and equipment, including: buses; medium-duty or heavy-duty trucks; marine engines; locomotives; and nonroad engines or vehicles used in: i) construction; ii) handling or cargo (including at a port or airport); iii) agriculture; iv) mining; or v) energy production. Projects under the State's grant program may include, but are not limited to, a variety of diesel emissions reductions solutions such as: add-on emission control retrofit technologies; idle reduction technologies; cleaner fuel use; engine repowers; engine upgrades; and/or vehicle or equipment replacement; and the creation of low-cost revolving loan programs to finance diesel emissions reduction projects.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for awards under this CFDA description will be described in the Federal Register Notice of Availability of Funds.

66.110 HEALTHY COMMUNITIES GRANT PROGRAM

(Healthy Communities)

FEDERAL AGENCY:

REGION I, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Air Act, Section 103; Clean Water Act, Section 104(b)(3); Safe Drinking Water Act of 1974, Section 1442(a)(b)(c); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; National Environmental Education Act, Section 6; Pollution Prevention Act, Section 6605; Solid Waste Disposal Act, Section 8001; Resource Conservation and Recovery Act of 1976, as amended; Toxic Substances Control Act, Section 10, as amended; and Indian Environmental General Assistance Program Act.

OBJECTIVES:

Grants are awarded to support projects that meet two criteria: (1) They must be located in and directly benefit one or more Target Investment Areas (Environmental Justice Areas of Potential Concern, Places with High Risks from Toxic Air Pollution, Sensitive Populations, and/or Urban Areas); and (2) They must achieve measurable environmental and public health results in one or more of the Target Program Areas (generally defined in the annual competitive funding announcement). Funds for all projects should support activities to restore or revitalize the environment, provide education, outreach, training, organize, or conduct community planning activities in the Target Program Areas. The Regional Office will only accept submissions for projects that affect the States, Tribes, and Territories within the six New England States: Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, and

Vermont. Projects that are National in scope are not eligible for funding under this Regional Program. Funding Priority - Fiscal Year 2008: Asthma: Projects that increase the number of people with asthma who take actions to reduce their exposure to environmental triggers (improved self-management of asthma). Projects should identify, disseminate and promote the use of existing or innovative education and outreach products and services with proven effectiveness. Projects can target geographic areas and various settings (e.g., communities, schools, homes/housing, etc.) and address indoor air quality issues. Healthy homes approaches should include interventions to reduce environmental triggers such as integrated pest management and reduction of indoor air pollutants (e.g. particulate matter, dust, mold, environmental tobacco smoke, etc.). Applicants are encouraged to integrate environmental trigger avoidance into comprehensive asthma management programs, as recommended by the National Asthma Education Prevention Program (NAEPP) <http://www.nhlbi.nih.gov/about/naepp/>. Applicants are further encouraged to integrate comprehensive asthma management programs into holistic "Healthy Homes" approaches to environmental diseases as well as partnerships with managed care organizations and health care payers to incorporate the environmental aspects of asthma into provider referral and reimbursement systems. While it is appropriate to place environmental trigger avoidance into the broader context of medical management in EPA-funded activities, where non-environmental asthma management (e.g., medical management) activities are proposed, applicants must be prepared to document alternative funding sources for such activities. Capacity Building on Environmental and Public Health Issues: Projects that increase regional, state, tribal, community, and neighborhood access to information, dialogue, collection and use of data (e.g., GIS mapping, risk evaluation, risk mitigation, collecting emissions data, etc.), and/or improve methods of risk characterization; organize and sponsor community training events, or other forums that increase citizen involvement in understanding or addressing environmental and public health issues (topics may include, but are not limited to: environmental justice, indoor/ambient air quality, lead, asthma, pesticides, transportation, urban rivers/wetlands, water quality, open/green space, and/or energy); build new or strengthen existing coalitions to address schools, States, or regional programs and one or more other environmental and public health issue(s) are encouraged. Research projects, surveys, trainings and/or studies that address tribal compliance assistance, tribal compliance monitoring, and/or tribal enforcement issues associated with waste management, schools and public water systems on federally recognized tribal lands in New England are also encouraged. Clean Energy: Projects that promote clean energy, through energy efficiency measures that reduce energy consumption, or through the generation of energy from renewable resources, including solar, wind, biomass, and geothermal sources are priorities for EPA. Priority sectors for energy efficiency and renewables include municipalities, schools, and colleges and universities. Municipal projects should focus on reducing energy consumption within municipal buildings, including offices, schools and wastewater treatment plants. In general, these projects should focus on more efficient use of energy, and water, as well as the potential use of renewable energy to supply electricity or heat to a facility in place of power provided by the local utility. Proposed projects in this Target Program Area require a 5% match. Please see Section III B. Matching for additional information. Healthy Indoor/Outdoor Environments: Projects that focus on reducing and/or preventing childhood lead poisoning, reducing asthma triggers, promoting integrated pest management; reducing childhood exposure to one or more toxins (PCBs, dioxin, mercury, lead, pesticides, etc.) and promoting comprehensive healthy homes and other indoor environments are encouraged. Projects that reduce indoor or ambient air toxics in a city, community or county, including those to create and implement risk management plans, conduct risk screening, build technical training capacity to help reduce community exposure to indoor and/or outdoor air toxics are also encouraged under this category. Healthy Schools: Projects that train K-12 school teams to implement EPA's Indoor Air Quality Tools for Schools program (<http://www.epa.gov/iaq/schools/toolkit.html>) through state-wide, regional, or local workshops; develop or support a systems approach to improving environmental conditions in schools including customization and implementation of EPA's Healthy School Environments Assessment Tool (Healthy SEAT); train K-12 school teams to address asthma triggers, deploy integrated pest management techniques particularly in urban areas and/or tribal schools (www.epa.gov/iaq/schools/asthma); or efforts which combine several project areas described in this grant solicitation are encouraged. For more information on creating healthy school environments, please visit www.epa.gov/schools. Pollution Prevention and Recycling: Projects that prevent pollution at the source of production through toxic use reduction and the more efficient use of materials, energy, water, and natural resources; and projects that reduce environmental impacts by promoting recycling, composting, waste minimization, beneficial reuse, market development, and sustainable purchasing practices. Priority sectors for pollution prevention and recycling include K-12 schools, hospitals and healthcare facilities, marinas, and colleges and universities. K-12 schools projects should focus on more efficient use of energy, water, reduction of waste generation and/or natural resources including

recycling, composting, and/or chemical management (www.epa.gov/sc3). Smart Growth: Projects that encourage compact, mixed-use, transit-oriented, pedestrian-friendly development in urban areas. Smart growth can improve air quality by replacing some motor vehicle trips with walking, biking, and other clean forms of transportation, reducing exposure to airborne pollutants and risk of respiratory illnesses. Smart growth also can improve water quality by reducing impervious surfaces and preserving green spaces both of which can reduce exposure to waterborne pollutants. Examples of smart growth projects include community involvement in development and redevelopment projects; environmentally-sound reuse of vacant lots; improved transportation choices, including transit, pedestrian, and bicycle facilities; and projects that improve public health through improvements to the built environment. Urban Natural Resources and Open/Green Space: Projects that train and educate the general public on ways to identify, prevent and/or reduce or eliminate toxic substances and contamination on vacant lots and open/green space; train and educate the general public or other community stakeholders on ways to increase community access to urban rivers and other urban natural resources if such access is related to the causes, effects, extent, reduction, prevention and/or elimination of pollution; train and educate groups of urban community stakeholders on methods to identify, reduce, prevent or eliminate exposures to pollution in soil, air or water; train groups, community/neighborhood stakeholders, and/or residents in holistic and comprehensive approaches for promoting pollution prevention efforts in a sustainable manner that improves, protects and/or enhances the ecological health of urban natural resources. Water Quality Monitoring or Analyses: Projects that focus on determining the quality of a particular water body or watershed, identifying water quality problem(s), and/or determining the cause of pollution through water quality monitoring and/or analyses of water bodies. Projects should involve community groups, educational institutions, watershed groups and/or other organizations. Projects can provide and/or support educational opportunities for students, interns or citizens to learn more about science, biology and water quality monitoring. Projects focusing on urban and/or environmental justice areas are encouraged. Other Topics and Issues: On an annual basis, the competitive announcement may identify additional funding priorities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Assistance under this program is available to State, Local, public nonprofit institutions/organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, Federally Recognized Indian Tribal Governments, K-12 schools or school districts; and non-profit organizations (e.g. grassroots and/or community-based organizations). Funding will be considered for a college or university to support a project with substantial community involvement. Private businesses, federal agencies, and individuals are not eligible to be grant recipients; however, they are encouraged to work in partnership with eligible applicants on projects. Applicants need not be located within the boundaries of the EPA regional office to be eligible to apply for funding but must propose projects that affect the States, Tribes, and Territories within their Region. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, Local, Federally Recognized Indian Tribal Governments, public nonprofit institutions/organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, anyone/general public.

Creditentials/Documentation:

Tribes may be asked to demonstrate that they are federally recognized. Interstate organizations may be asked to provide a citation to the statutory authority, which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 40 CFR Part 35.504. Non-profit applicants are not required to have a formal Internal Revenue Service (IRS) nonprofit designation, such as 501(c)(3) or 501(c)(4); however they must present their letter of incorporation or other documentation demonstrating their non-profit or not-for-profit status. This requirement does not apply to public agencies or Federally Recognized Indian Tribes. Failure to enclose the letter of incorporation or other documentation demonstrating non-profit or not-for-profit status will render full proposal submissions incomplete and they will not be reviewed. Applicants who have an IRS 501(c)(4) designation are not eligible for grants if they engage in lobbying, no matter what the source of funding for the lobbying activities. For-profit enterprises are not eligible to receive sub-grants from eligible recipients, although they may receive contracts, subject to EPA's regulations on procurement under assistance agreements, 40 CFR 30.40 (for non-governmental recipients) and 40 CFR 31.36 (for governments).

Preapplication Coordination:

For competitive awards, a one page summary format is provided in the

competitive announcement. Applicants invited to submit a full proposal are required to submit the SF 424, 424A, 424B, and the pre-award compliance report. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Interested applicants should review information on the Internet at: <http://www.epa.gov/ne/eco/uep/hcgp.html>. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The Healthy Communities Grant Program has a two step process for evaluating competitive applications which is described in the annual Request for Initial Proposals. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Application forms are available at <http://www.epa.gov/ogd/AppKit/index.htm> and by mail upon request to the Grants and Interagency Agreements Management Division at (202) 564-5305. Completed applications should be submitted to the Region I Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadlines for competitive awards will be specified in the annual competitive funding announcement.

Range of Approval/Disapproval Time:

Applicants will be notified within 60 days of receipt of submission for funding.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

All competitive grant awards should be prepared and submitted as new projects, which will be evaluated based on criteria specified in annual competitive funding announcement. Renewals or extensions of existing projects may be available.

Formula and Matching Requirements:

The grant program generally allows a match of up to 5 percent of the total budget but it is not required. Any exceptions will be identified in the competitive funding announcement. Award recipients can use contributions from entities other than themselves as a match. However, other Federal money cannot be used as the match for this grant program. Matching funds are considered grant funds. They must be used for the reasonable and necessary expenses of carrying out the assistance agreement work plan. Any restrictions on the use of grant funds (e.g., prohibition of land acquisition with grant funds) also apply to the matching funds. This program has no statutory formula.

Length and Time Phasing of Assistance:

Assistance agreements are normally funded on a 12 (annual) or 24 month basis, at the discretion of the applicant. There is no restriction placed on the time permitted to spend the money awarded as long as the money is spent within the budget and project periods of the award specified in the workplan and the budget worksheet in the SF 424.

Reports:

EPA includes reporting requirements for cooperative agreements in the terms and conditions of the agreements. Cooperative agreements require quarterly and final progress and expenditure reports; program evaluations and other reports as detailed by the specific terms and conditions of the agreements.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$592,410; FY 08 est not available; and FY 09 est not available. The Healthy Communities Grant Program combines resources across contributing regional and national programs and varies annually.

Range and Average of Financial Assistance:

\$10,789 to \$35,000/fiscal year; \$29,621/fiscal year.

PROGRAM ACCOMPLISHMENTS:

All projects funded through the Healthy Communities Program reduce environmental risks, and protect and improve human health and the quality of life to create healthy communities and ecosystems. Specific outputs, services and results vary based on each project and Target Program Area(s) identified. Overall program accomplishments include, but are not limited to: reduction in childhood lead poisoning, increased education and outreach related to environment and public health issues, reduction in asthma emergency room visits, increased water quality data and information on urban rivers and wetlands, and increased number of school teams trained or provided technical assistance. In 2007, 91 initial submissions were received and of those, 57 applicants were invited to submit a full proposal. Fifty-four applicants submitted full proposals and of those, 20 awards were granted in fiscal year 2007. It is anticipated that 10-20 awards will be granted in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants and cooperative agreements awarded under the Healthy Communities Grant Program are subject to EPA's General Grant Regulations, and Procedures (40 CFR Parts 30 and 40 CFR Parts 31). Costs will be determined on accordance with OMB Circular A-87 for State and Local governments and Indian Tribes, OMB Circular A-21 for educational institutions and OMB Circular A-122 for nonprofit institutions.

Regional or Local Office:

Sandra Brownell, EPA Region I, 1 Congress Street, CPT, Suite 1100, Boston, MA 02114. Telephone: (617) 918-1797. Toll Free: (888) 372-7341. TTY: (617) 918-2028. Fax: (617) 918-0797. E-Mail: Brownell.Sandra@epa.gov.

Headquarters Office:

None.

Web Site Address:

<http://www.epa.gov/region01/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded projects include such activities as: (1) Assisting school teams to reduce the prevalence of asthma triggers in urban school districts; (2) Conducting an education and outreach campaign in low-income diverse urban neighborhoods on vehicle idling, asthma, and ambient air quality; (3) Identifying neighborhood environmental and/or public health concerns to local residents and developing strategies to measurably improve the local environment and/or public health; (4) Developing an integrated pest management program which focuses on reducing pesticide exposures for elderly populations; and (5) Developing and implementing a volunteer water monitoring program to measure and track the water quality of a water body or watershed in a community. There are many other types of eligible projects. This grant program is designed to allow applicants the flexibility to propose a project that fits community needs and funding priorities. Additional examples of funded projects can be found at <http://www.epa.gov/ne/eco/uep/hcgp.html>.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.111 REGIONAL ENVIRONMENTAL PRIORITY PROJECTS

FEDERAL AGENCY:

REGION 7, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Air Act, Section 103; Solid Waste Disposal Act, Section 8001; Safe Drinking Water Act, Section 1442; Toxic Substances Control Act, Section 10.

OBJECTIVES:

To support investigations, experiments, training, demonstrations, surveys, studies, and special purpose assistance to protect public health and prevent, reduce, and eliminate pollution in Iowa, Kansas, Missouri, and Nebraska.

Projects may be single media or multimedia which support the regional environmental priorities. Single media grants support pollution prevention efforts for individual environmental law statutes. Multimedia grants are assistance agreements that are awarded citing two or more environmental law statutes, appropriation legislation, or applicable legislative history as the statutory authority. Funding Priority 2008 - Funded projects will focus on the regional environmental priorities and include unique, geographically-based projects that support the Agency's ability to protect human health and the environment. These projects may address places, sectors, or innovative projects, and focus on prevention, agriculture, climate change and energy, and/or collaborative efforts to protect human health and the environment and achieve environmental results. These projects may also demonstrate state, local, and/or other stakeholder participation; identify opportunities for leveraging other sources of funding; or support smart growth planning in communities. Smart growth projects are those focused on improving the quality of life in communities by integrating the tenets of ecology, equity and management by citizens.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved investigations, experiments, training, demonstrations, surveys, studies, and special purpose assistance plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. "Geospatial Information" includes: Information that identifies the geographic location and characteristics of natural or constructed features or boundaries on the Earth, or applications, tools, and hardware associated with the generation, maintenance, or distribution of such information. This information may be derived from, among other things, GPS, remote sensing, mapping, charting, and surveying technologies, or statistical data.

Applicant Eligibility:

Assistance under this program is generally available to States, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia; public and private universities and colleges; hospitals; laboratories; other public or private nonprofit institutions; for-profit groups; and individuals which submit applications proposing projects with significant technical merit and relevance to EPA's mission. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Nonprofit organizations must be able to demonstrate that they are eligible through documentation of nonprofit status provided the U.S. Internal Revenue Service or their state of incorporation. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, and individuals.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for profit makers. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. Nonprofit organizations must be able to demonstrate that they are eligible through documentation of nonprofit status provided the U.S. Internal Revenue Service or their state of incorporation.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. If an applicant submits an unsolicited proposal it will be reviewed and evaluated in accordance with Agency policy and procedures. For more information regarding the procedures for submitting unsolicited proposals, applicants may contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

EPA requires eligible applicants to submit Standard Form 424, Application for Federal Assistance. Application kits should be requested from and submitted to the Environmental Protection Agency, Grants Administration Office, 901 N. 5th Street, Kansas City, Kansas 66101. Detailed information and assistance, including Standard Form 424 are available at <http://www.epa.gov/region07/economics/index.htm>. For competitive awards, Requests for Initial Proposals, Requests for Proposals, or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. While applicants may submit unsolicited proposals, such proposals are funded only under very limited circumstances and in accordance with Agency procedures. Unsolicited proposals will be reviewed and evaluated based on criteria including but not limited to (i) the extent the proposal is unique or innovative, (ii) whether the proposal was independently originated and developed by the applicant, (iii) and the extent the proposal supports regional priorities and/or efforts to protect the environment and public health.

Deadlines:

For competitive awards, deadlines will be specified in Request for Applications or Request for Initial Proposals. Unsolicited proposals may be submitted at any time.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. EPA can incrementally fund grants and cooperative agreements for investigations, experiments, training, demonstrations, surveys, studies, and special purpose assistance. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are normally funded on a 12-month basis (annual) and will be paid electronically utilizing automated funds transfer. The total approved project period may not exceed 5 years for competitive awards.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304 and 68-0103-0-1-304.

Obligations:

Funds awarded for: FY 07 \$392,100; FY 08 \$114,900 and FY 09, \$100,000.

Range and Average of Financial Assistance:

In fiscal year 2007, projects funded from Regional Geographic Initiative and Environmental Priority Program funding ranged from a low of \$14,900 to a high of \$100,000, with an average of \$57,450 per project. No project have been funded thus far for FY 08.

PROGRAM ACCOMPLISHMENTS:

In recent years, Regional Geographic Initiative and Environmental Priority Program funding has primarily supported work on water quality issues involving small communities to ensure community systems provide water that meets all applicable health-based drinking water standards through effective treatment and source water protection; nitrate levels are reduced and community awareness is increased to prevent water pollution. Funding has been provided for air quality issues including reduction of truck congestion, idling and safety concerns caused by the large number of grain trucks in Cedar Rapids, Iowa. Funding supported the Blue Skyways Collaboratives work for Cargill and other area industries to provide alternatives to truck idling in a main area of downtown Cedar Rapids. On-farm assistance has also been provided to address energy efficiency and air pollution reductions in the central plains and to fund and support an Environmental Finance Center to assist small communities, businesses and organizations with the environmental protection and regulatory compliance activity funding challenges. Thirteen applications have been received with funding provided to nine projects (FY 07 3 proposals were funded and FY 08 6 proposals have been funded).

REGULATIONS, GUIDELINES, AND LITERATURE:

Investigations, experiments, training, demonstrations, surveys, studies, and special purpose grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31).

Regional or Local Office:

EPA encourages potential applicants to communicate with the EPA Region 7 located at 901 N. 5th Street, Kansas City, KS 66101, Wendy Lubbe, Telephone: (913) 551-7551; Fax: (913) 551-9551, E-mail: lubbe.wendy@epa.gov.

Headquarters Office:

Not applicable.

Web Site Address:

<http://www.epa.gov/region07/economics/index.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of Projects funded include: Study to evaluate best management practices for removal of atrazine from agricultural run-off in the amount of \$95,023; Watershed Council and Technical Assistance project for Watershed management in the amount of \$99,841; Satellite Environmental Finance Center to assist small communities, businesses, and organizations with development of environmental protection activities in the amount of \$100,000; Mercury Thermometer Exchange project in the amount of \$10,000; Blue Skyways project to develop green energy templates for schools in the amount of \$30,000; Watershed, Wetland and Stream Corridor Restoration projects in the amount of \$15,000; Long Term Control Plan review project for 2 cities with combined storm sewer overflows in the amount of \$12,349; Environmental Finance Center to assist small communities, businesses, and organizations with the environmental protection and regulatory compliance activities funding challenges in the amount of \$100,000.

CRITERIA FOR SELECTING PROPOSALS:

EPA selects proposed projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.112 SURVEYS, STUDIES, INVESTIGATIONS, TRAINING, DEMONSTRATIONS, AND SPECIAL PURPOSE GRANTS FOR REGIONAL GEOGRAPHIC INITIATIVES

(Region 8 Regional Priorities Grant Program)

FEDERAL AGENCY:

REGION 8, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Air Act, Section 103; Solid Waste Act, Section 8001; Safe Drinking Water Act, Section 1442; Toxic Substances Control Act, Section 10; Marine Protection, Research and Sanctuaries Act, Section 203; Comprehensive Environmental Response, Compensation and Liability Act, Section 311; National Environmental Policy Act, Section 102(2)(F) for international awards.

OBJECTIVES:

Regional Geographic Initiatives (RGI) is a unique funding source that supports Regional strategic priorities and environmental risks that are not addressed wholly or in part by existing national environmental programs. Funding Priority - Fiscal Year 2008: To support (Region 8 states only) surveys, studies, training, demonstrations, and/or investigations, and special purpose assistance associated with air quality, acid deposition, drinking water, water quality, hazardous waste,

toxic substances, and pesticides; identify, develop, and demonstrate necessary pollution control techniques; to prevent, reduce, and eliminate pollution; to evaluate the economic and social consequences of alternative strategies and mechanisms for use by those in economic, social, governmental, and environmental management positions; to support training demonstrations or educational outreach that leads to effective outreach strategies to educate key/targeted audiences associated with specific public health and environmental risks; projects that addresses places, sectors, or innovative projects; and/or are based on a regional, state, tribal or other strategic plan; and/or address multi-media environmental issues; and/or demonstrate state, local or other stakeholder participation; and/or identify opportunities for leveraging other sources of funding. Funding Priority - Fiscal Year 2009: To support (Region 8 states only) surveys, studies, training, demonstrations, and/or investigations, and special purpose assistance associated with air quality, acid deposition, drinking water, water quality, hazardous waste, toxic substances, and pesticides; identify, develop, and demonstrate necessary pollution control techniques; to prevent, reduce, and eliminate pollution; to evaluate the economic and social consequences of alternative strategies and mechanisms for use by those in economic, social, governmental, and environmental management positions; to support training demonstrations or educational outreach that leads to effective outreach strategies to educate key/targeted audiences associated with specific public health and environmental risks; projects that addresses places, sectors, or innovative projects; and/or are based on a regional, state, tribal or other strategic plan; and/or address multi-media environmental issues; and/or demonstrate state, local or other stakeholder participation; and/or identify opportunities for leveraging other sources of funding.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations and special purpose assistance, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Only submissions for project to be performed within the States, Tribes, and/or Territories of Region 8 will be considered. Projects that are national in scope are not eligible for funding under this CFDA.

Applicant Eligibility:

Assistance under this program is generally available to State agencies, territories, the District of Columbia, Indian Tribes, and possessions of the US. Assistance is also available to public and private universities and colleges, hospitals, laboratories, and other public or private non profit institutions. Nonprofit organizations described in Section 501(c)(4) of other Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are generally not eligible for funding. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Applicants need not be located within the boundaries of the EPA Region 8 office to be eligible to apply for funding but must propose a project that affects the States, Tribes, and Territories within Region 8.

Beneficiary Eligibility:

State agencies and local governments, US territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field and necessary equipment to carry out projects. Applicants may be requested to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted through the appropriate EPA Regional Office

listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, the competitive funding announcement will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and policies, and to a technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

For competitive awards, deadlines will be specified in the competitive announcement.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. EPA can incrementally fund grants and cooperative agreements for investigations, experiments, training, demonstrations, surveys, studies and special purpose assistance. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Grants and cooperative agreement are normally funded on a 12-month basis (annual) and will be paid electronically utilizing automated funds transfer. The total approved project period may not exceed 5 years for competitive awards.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in EPA's grant regulations Part 30 and 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and institutions of higher education) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records which support substantial changes to the grant, available to personnel authorized to examine EPA recipients' grants and cooperative agreement records. Recipient must maintain all records for a period of three (3) years from the date of submission of final expenditures reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is fully resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$376,740; FY 08 \$0; and FY 09 est \$376,740.

Range and Average of Financial Assistance:

Range of award \$25,000 to \$100,000/fiscal year. Average award in fiscal year 2007 - \$60,000.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007 this program awarded 6 grants. Awards ranged from \$25,000 to \$107,000. To date, accomplishments include: Garfield County - Amt:

\$107,000 Garfield County met with EPA to tour some of the areas in Garfield County where oil and gas development is occurring. Garfield County began a literature search and review of existing data on emissions associated with oil and gas development activities. They developed a QAPP which includes the methodology for identifying monitor locations, number and types of stations and what they will be monitoring. Garfield County Environmental Health created and launched a new website to serve as a means to report project data to the general public. They have received interest from 2 additional oil and operators, to participate in the project. All of the sampling equipment has been received which is needed to perform the air monitoring portion of the project. They are working with the oil and gas operators to begin a sampling schedule and anticipate completion of this in the summer. Groundwork is being laid with the Special Projects Coordinator, project collaborators and industry to determine the best approach to presenting their findings, making recommendations and creating the Citizens Guide to Air Quality. Lake Helena Watershed Riparian Ag Project - \$48,583. Preliminary monitoring has occurred, landowner outreach efforts have been initiated, scoping for the constructed wetland technology was completed, constructed wetland installed, effectiveness monitoring has been initiated, and other BMP installation has begun. Anticipated results of this project include: the implementation of reasonable land, soil, and water-conservation practices or BMPs on agricultural land that will incrementally improve riparian and in-stream physical, chemical, and biological health within the watershed. Physical measurements of implemented project BMPs will be reported and estimates of pollutant loading reductions will be calculated. Muddy Creek - \$83,950 - One mile riparian fencing installed on tributary to Muddy Creek. Scheduled to install fencing along three more miles of Muddy Creek this fall. Bank work to reduce erosion along two miles of Muddy Creek. Scheduled to place more barbs later this summer as soon as flows go down. Roll of matting laid on 100 feet of sloped bank. Matting will be placed in conjunction with this summer stream work when flows go down. GID has acquired bids for pumps and is working on finalizing electrical hookup for pumps. Electric company will install line to pump site later this summer and pipe and pumps will be installed this fall. USGS and MSU continues to monitor flows and water quality on Muddy Creek and Sun River. One USGS gauge was discontinued on Muddy Creek due to need to monitor more flows on tributaries so additional gauges were installed by MSU. Continue monitoring this fall by USGS and MSU. Report will be compiled this winter from data acquired this summer. SRWG continues to monitor project progress and report monthly to partners. Continue with monthly updates to partners.

REGULATIONS, GUIDELINES, AND LITERATURE:

Investigations, experiments, training, demonstrations, surveys, studies and special purpose grants and cooperative agreements are subject to EPA's General grant Regulations (40 CFR Part 30 and 40 CFR Part 31.)

Regional or Local Office:

EPA encourages potential applicants to communicate with EPA, Region 8 located at 1595 Wynkoop Street, Denver, CO 80202, Cynthia Gonzales, Telephone: (303) 312-6569, Fax: (303) 312-6339, and E-mail: gonzales.cynthia@epa.gov.

Headquarters Office:

Not applicable.

Web Site Address:

<http://www.epa.gov/regional/rgi.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Please NOTE: The focus of the funds available under this CFDA change from funding year to funding year. Applicants are encouraged to review the Region 8 solicitation to determine the focus for the current fiscal year. Examples of project funded under this CFDA: Get into the Zone, Clean Air Zone Montana - outreach and education to promote the program, with program partners, toolkits purchased, program staff developed webpage; Big Hole Watershed Feedlot Restoration - designed and installed feedlot BMPs, Schindler feedlot restoration project completed - removal and abandonment of a streamside feedlot on the mainstem Big Hole River and construction of a new, state-of-the-art winter livestock feeding facility on higher ground; Improving Capacity for Montana Watershed Efforts - completion of the Watershed Symposium, Dec, 2006 and completed watershed coordinators annual meeting/training, Sept. 2007; Campaign to Reduce Exposure to Environmental Tobacco Smoke: Don't Smoke with Kids in the Car - information has been distributed to the public via radio, television and print media; Sun River Watershed Water Quality Restoration Plan Implementation - Sediment loads will be reduced by 2,500 tons; 100 rock barbs on highly erosive banks will reduce sediments loads annually by over 15,00 tons to Muddy Creek and Sun River; end result will be another 4-miles of stream meeting all Water Quality Restoration Plan conditions.

CRITERIA FOR SELECTING PROPOSALS:

None.

66.113 REGION 3 ENVIRONMENTAL PRIORITY PROJECTS

FEDERAL AGENCY:

REGION 3, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Air Act, Section 103; Solid Waste Disposal Act, Section 8001; Safe Drinking Water Act, Section 1442; Toxic Substances Control Act, Section 10.

OBJECTIVES:

Assistance agreements awarded under this program must support, surveys, studies, investigations, training, demonstrations and special purpose assistance associated with air quality, acid deposition, drinking water, water quality, hazardous waste, toxic substances, or pesticides: (a) to identify, develop, and demonstrate necessary pollution control techniques; (b) to prevent, reduce, and eliminate pollution; (c) to evaluate the economic and social consequences of alternative strategies and mechanisms for use by those in economic, social, governmental, and environmental management positions; and (d) to promote collaboration on projects and activities within the states and enable wider and more coordinated state input on national environmental issues. Awards under this program must be performed within the Region III area of Delaware, Maryland, Pennsylvania, Virginia, West Virginia and/or District of Columbia. Funding Priority - Fiscal Year 2008. Funding will be used to support projects that address places, sectors, or innovative projects; and/or are based on Regional, state, tribal or other strategic plan; and/or addresses stakeholder participation; and/or identify opportunities for leveraging other sources of funding.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance awards in the form of grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations, training, demonstrations and special purpose assistance, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Assistance under this program is generally available to state and local governments and the District of Columbia. Assistance is also available to public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions (Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply.) For profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applicants. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions within Region III's geographical area.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. Region III representatives may ask applicants or principal investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

EPA, Region 3 awards grants and cooperative agreements supporting surveys, studies, investigations, training, demonstrations and special purpose assistance under this program description in response to an EPA competitive solicitation. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA Region 3 requires final applications to be made on Standard Form 424, "Application for Federal Assistance." Requests for application kits must be submitted to the Environmental Protection Agency, Region 3, 1650 Arch

Streets, Philadelphia, PA 19103, Grants and Audit Management Branch (3PM70) Additional information on the EPA assistance package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. For competitive awards the funding announcement will specify application procedures.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in the competitive funding announcement.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. EPA can incrementally fund grants and cooperative agreements for surveys, studies, and investigations, training, demonstrations and special purpose assistance. The approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to Region 3 priorities, and the availability of funds.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are normally funded on a 12-month basis. However, EPA Region III can negotiate the project period with each applicant based on project requirements. The total approved project period may not exceed 2 years for competitive awards. Grants and cooperative agreements will be paid utilizing automated funds transfer. Grants and cooperative agreements may be partially or fully funded. This determination is made by the EPA.

Reports:

In addition to the general reporting requirements under 40 CFR, EPA includes specific reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in EPA's grant regulations 40 CFR Parts 30 and 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and institutions of higher education) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records which support substantial changes to the grant, available to personnel authorized to examine EPA recipients' grants and cooperative agreement records. Recipient must maintain all records for a period of three (3) years from the date of submission of final expenditures reports. If questions, such as those raised as a result of audits or litigation remain following the 3-year period, recipients must retain records until the matter is fully resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$411,625; FY 08 est \$250,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Range - \$25,000 to \$150,000 per grant. Average of Financial Assistance is \$100,000 per grant.

PROGRAM ACCOMPLISHMENTS:

In FY 2008 Region 3 had at least eight awards ranging from \$10,000 to \$125,000 each. It is anticipated that FY 2009 funding will be used to support

Region 3 strategic initiatives.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants and cooperative agreements are subject to EPA general grant regulations (40 CFR Parts 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," and 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments"). Costs will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for "for profit" entities.

Regional or Local Office:

EPA, Region 3 located at 1650 Arch Street, Philadelphia, PA 19103, Barbara L. Latsios, telephone 215-814-5384, FAX (215) 814-5261, email: Latsios.barbara@epa.gov.

Headquarters Office:

Not applicable.

Web Site Address:

<http://www.epa.gov/region03/grants/index.htm>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In the past, Region III funded grants to eligible recipients to educate migrant farm workers on indoor air quality and asthma and ways to minimize indoor air pollutants, address childhood lead poisoning in urban areas and prevention techniques, restore and maintain water quality in Region 3 streams and waterbodies, develop an inventory of abandoned mines in Region 3 states in order to prioritize remediation activities and preserve land and drinking water by acquiring easements in riparian areas.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.115 SURVEYS, STUDIES, INVESTIGATIONS, TRAINING, DEMONSTRATIONS, AND SPECIAL PURPOSE GRANTS FOR EPA REGION 10 REGIONAL GEOGRAPHIC INITIATIVE

FEDERAL AGENCY:

REGION 10, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Air Act, Section 103; Solid Waste Disposal Act, Section 8001; Safe Drinking Water Act, Section 1442; Toxic Substances Control Act, Section 10.

OBJECTIVES:

To support investigations, experiments, training, demonstrations, surveys, studies, research and special purpose assistance to protect public health and prevent, reduce, and eliminate pollution in Alaska, Idaho, Oregon, and/or Washington. Funding Priority - Fiscal Year 2008: This program is not being funded in 2008.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved investigations, experiments, training, demonstrations, surveys, studies, research and special purpose assistance plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information. The Regional Office will accept submissions only for projects to be performed in States, Tribal Lands, and Territories within Alaska, Idaho, Oregon and/or Washington. Projects that are national in scope are not eligible for funding under this CFDA.

Applicant Eligibility:

Subject to any restrictions in the authorizing statutes, assistance under this program is generally available to States, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia; public and private universities and colleges; hospitals; laboratories; local governments; and other public or private nonprofit institutions. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Nonprofit organizations must be able to demonstrate that they are eligible through documentation of nonprofit status provided the U.S. Internal Revenue Service or their state of incorporation. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, and individuals.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for profit makers. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. Nonprofit organizations must be able to demonstrate that they are eligible through documentation of nonprofit status provided the U.S. Internal Revenue Service or their state of incorporation.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting investigations, experiments, training, demonstrations, surveys, studies, research and special purpose projects, considering relevance and likelihood of success of proposed projects and other appropriate criteria. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the provisions of OMB Circulars No. A-102 and No. A-110. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Application kits should be requested from and submitted to the Environmental Protection Agency, Grants Administration Office, 1200 6th Avenue, Suite 900, Seattle, WA 98101. Detailed information and assistance, including an application kit, required forms, and a check list, are available at: <http://www.epa.gov/region07/economics/index.htm>. For competitive awards, the competitive funding announcement will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in the competitive funding announcement.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. EPA can incrementally fund grants and cooperative agreements for investigations, experiments, training, demonstrations, surveys, studies, research and special purpose assistance. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are normally funded on a 12-month basis (annual) and will be paid electronically utilizing automated funds transfer. The total approved project period may not exceed 5 years for competitive awards.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Estimated funds available will vary based on regional allocation. The funding level for FY 07 was \$350,000; FY 08 was \$0; and FY 09 will be determined in the EPA appropriations bill.

Range and Average of Financial Assistance:

The range of awards for 2007 was \$50,000 to \$100,000 and the average was \$87,500.

PROGRAM ACCOMPLISHMENTS:

Regional Geographic Initiative (RGI) funding supports EPA Region 10's ability to protect human health and the environment. RGI projects have enabled recipients to identify environmental concerns at the local level that are best addressed using innovative, collaborative approaches that further both local and regional environmental objectives. In FY 07 4 awards were made out of 43 applications received (9 percent).

REGULATIONS, GUIDELINES, AND LITERATURE:

Investigations, experiments, training, demonstrations, surveys, studies, research, and special purpose grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31).

Regional or Local Office:

EPA encourages potential applicants to communicate with the EPA Region 10 located at 1200 6th Avenue, Suite 900, Seattle, WA 98101, Daniel Steinborn, Telephone: (206) 553-2728, Fax: (206) 553-6984, E-mail: Steinborn.daniel@epa.gov.

Headquarters Office:

Not applicable.

Web Site Address:

<http://yosemite.epa.gov/R10/HOMEPAGE.NSF/webpage/Grants>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

These three projects (of 9 total) were funded in 2006: 1- Neighborhood Air Pollution Assessment; 2- Implementation of Biological Weed Control in Western Washington; 3- Smart Growth in a High Growth, Small Community. These three projects (out of 4 total) were funded in 2007: 1- Reducing Alaska's Carbon Footprint through Utility-Scale Wind Energy Development, Business Energy Efficiency and Public Engagement; 2- Pacific Northwest Carbon Neutral Building Initiative; 3- Maximizing the Environmental and Energy Benefits from Washington State's Renewable Energy Tax Credit.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the announcement of the competitive funding opportunity. EPA selects proposed projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success and other relevant factors.

66.116 REGIONAL GEOGRAPHIC INITIATIVE/ENVIRONMENTAL PRIORITY PROJECTS

FEDERAL AGENCY:

REGION VI, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, Clean Air Act, Section 103; Solid Waste Disposal Act, Section 8001; Safe Drinking Water Act, Section 1442; Toxic Substances Control Act, Section 10.

OBJECTIVES:

To support investigations, surveys, studies, and special purpose assistance to protect public health and prevent, reduce, and eliminate pollution within the

Region VI area of Arkansas, Louisiana, New Mexico, Oklahoma and Texas. Funding Priority - In Fiscal Year 2008 the RGI program did not receive any funding. Funding Priority - Fiscal Year 2009 include support surveys, studies and investigations associated with air quality, acid deposition, drinking water, water quality, hazardous waste, toxic substances, and pesticides: (1) to identify, develop and demonstrate necessary pollution control techniques; (2) to prevent, reduce and eliminate pollution; (3) to support environmental education; and (4) to promote collaboration with states to reduce environmental hazards that may affect health and the environment.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Assistance awards in the form of grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations, training, demonstrations and special purpose assistance, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. "Geospatial Information" includes: Information that identifies the geographic location and characteristics of natural or constructed features or boundaries on the Earth, or applications, tools, and hardware associated with the generation, maintenance, or distribution of such information. This information may be derived from, among other things, GPS, remote sensing, mapping, charting, and surveying technologies, or statistical data.

Applicant Eligibility:

Assistance under this program is generally available to States, territories, Indian Tribes, and possessions of the United States. Assistance is also available to public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are not eligible for funding.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, and individuals.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field and necessary equipment to carry out projects. Applicants may be requested to provide curriculum vitae and relevant publications.

Preapplication Coordination:

EPA Region VI awards grants and cooperative agreements to support surveys, studies, investigations, training, demonstrations and special purpose projects under this program description. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. For competitive awards, the competitive announcement will specify application procedures.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in the competitive announcement.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also

be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. EPA can incrementally fund grants and cooperative agreements for surveys, studies, investigations, training, demonstrations and special purpose assistance. The approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to Region VI priorities, and the availability of funds.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are normally funded on a 12-month basis. The total approved project period may not exceed 2 years for competitive awards. Grants and cooperative agreements will be paid electronically utilizing automated funds transfer.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial and equipment reports. Reporting requirements are also identified in EPA's grant regulations 40 CFR Parts 30 and 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and institutions of higher education) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records which support substantial changes to the grant, available to personnel authorized to examine EPA recipients' grants and cooperative agreement records. Recipient must maintain all records for a period of three (3) years from the date of submission of final expenditures reports. If questions, such as those raised as a result of audits or litigation remain following the 3-year period, recipients must retain records until the matter is fully resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$557,000; FY 08 \$0; and est FY 09 \$300,000. Estimated funds available will vary based on regional allocation.

Range and Average of Financial Assistance:

Range of assistance: \$10,000 to \$75,000; average \$50,000.

PROGRAM ACCOMPLISHMENTS:

Region VI funded grants to eligible recipients for unique, geographically-based projects that support the Agency's ability to protect human health and the environment and further regional strategic initiatives. FY 2007 (\$557,000) projects included: Development of On-line Toll for Redevelopers, Pesticide Risks E-filing, Joint Compliance Assistance Storm Water Training; Roads as Pollution Controls, Blue Skyways Collaborative, Alternative method for Asbestos Demolition, Beyond Translation, Environmental Health Education Outreach, and Dallas Urban Heat Island/Stormwater Mitigation. Due to the lack of funding for the RGI program in FY 2008, no projects will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants and cooperative agreements are subject to EPA general grant regulations (40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations" and 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments"). Costs will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular No. A-21 for educational institutions; and OMB Circular No. A-122 for nonprofit institutions.

Regional or Local Office:

EPA encourages potential applicants to communicate with EPA, Region VI located at 1445 Ross Avenue, Suite 1200, Dallas, TX 75202-2733, Margie Floyd, Telephone: (214) 665-8461, Fax: (214) 665-7284, and E-mail: floyd.margie@epa.gov.

Headquarters Office:

Not applicable.

Web Site Address:

<http://www.epa.gov/docs/earth1r6///gandf/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Development of On-line Toll for Redevelopers, Pesticide Risks E-filing, Joint Compliance Assistance Storm Water Training; Roads as Pollution Controls, Blue Skyways Collaborative, Alternative method for Asbestos Demolition, Beyond Translation, Environmental Health Education Outreach, and Dallas Urban Heat Island/Stormwater Mitigation.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.117 REGION 9 MULTI-MEDIA AND GEOGRAPHIC PRIORITY PROJECTS

(R9 RGI and EPP grants)

FEDERAL AGENCY:

REGION 9, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Statutory authority includes but is not limited to: Clean Air Act, Section 103; Clean Water Act, Section 104; Safe Drinking Water Act, Sections 1442; Solid Waste Disposal Act, Section 8001; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Toxic Substances Control Act, Section 10; Pollution Prevention Act, Section 6605; Resource Conservation and Recovery Act, Section 8001; Comprehensive Environmental Response, Compensation, and Liability Act, Sections 104 and 311; Marine Protection, Research, and Sanctuaries Act, Section 203; National Environmental Education Act, Section 6.

OBJECTIVES:

To fund projects, including surveys, studies, investigations, demonstrations, training, and outreach, that address more than one medium or vector of human health or ecological risk and that seek to (1) prevent, reduce, and eliminate pollution; (2) identify, develop, and demonstrate pollution control techniques and technology; (3) evaluate the economic, social, and environmental effects of alternative mechanisms and strategies; and (4) promote coordination and collaboration between and among federal, State, and local governmental and non-governmental organizations. Projects will address regional environmental and geographic priorities, possibly including air basins and watersheds, economic sectors such as agriculture, critical ecosystems, and sensitive populations. Projects may demonstrate innovative methods and involve stakeholder collaboration, leveraged funding, or socially equitable and ecologically sensitive land-use and transportation planning ("smart growth"). Projects funded under this program must be carried out within the area of EPA's Region 9, comprised of the states and tribal lands of Arizona, California, Hawaii, or Nevada, and of the Pacific islands associated with the United States. Multi-media assistance agreements cite two or more environmental statutes, appropriations legislation, or applicable legislative history as statutory authority. Multi-media priorities for FY 2007 include agriculture in the Central Valley, Pacific Island infrastructure, and information management support. Funding Priority - Fiscal Year 2008: The Regional Administrator and Deputy Regional Administrator, with advice from the Senior Management Team, determine regional multi-media and geographic priorities. Multi-media priorities for grant funding in FY 2008 include: climate change, environmental justice, infrastructure and capacity-building for the Pacific islands, and agriculture issues in the San Joaquin Valley of California.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Assistance awards, in the form of grants and cooperative agreements, to fund recipients' allowable direct costs plus allowable indirect costs, in accordance with established EPA policies and regulations, may be available for projects that use a whole-systems approach to address multi-media human health and ecological risks. Project activities may include surveys, studies, investigations, demonstrations, training, education, limited research, outreach, and/or special-purpose activities. However, the Region will not fund research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information and computer information system applications, tools, and hardware used to generate, maintain, or distribute such information. "Geospatial information" identifies the geographic location and characteristics of natural or constructed features or boundaries on the Earth, and may be derived from technologies such as geographic positioning systems (GPS), remote sensing, mapping, charting, and surveying, and from statistical data. Further information regarding geospatial information is available from the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Funding is available only for projects conducted within the geographic boundaries of EPA's Region 9, which includes states and tribal lands of Arizona, California, Hawaii, Nevada, Navajo Nations land in New Mexico, and the

Pacific islands associated with the United States. Interstate, State, tribal, intrastate, and local government agencies, districts, and councils; and public and private universities and colleges, hospitals, laboratories, and research facilities; and public or private non-governmental, nonprofit institutions are eligible to apply, unless restricted by the authorizing statutes. Nonprofit organizations must have documentation of nonprofit status from the Internal Revenue Service or their state of incorporation, except that nonprofit organizations as defined in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying as defined in Section 3 of the Lobbying Disclosure Act 1995 or superseding legislation are ineligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Interstate, State, tribal, intrastate, and local government agencies, districts, and councils; public and private universities and colleges, hospitals, laboratories, and research facilities; and public or private non-governmental, nonprofit institutions are eligible to apply, unless restricted by the authorizing statutes.

Credentials/Documentation:

EPA may require applicants and principle investigators to demonstrate appropriate background, academic training, experience in the field, and necessary equipment to carry out projects, and to provide curriculum vitae and relevant publications. EPA may require nonprofit organizations to provide documentation of nonprofit status accorded by the Internal Revenue Service or their state of incorporation.

Preapplication Coordination:

Potential applicants should direct preapplication communications to the Office of the Regional Administrator (ORA-1), Region 9, Environmental Protection Agency. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

For competitive awards, the competitive funding announcement will provide specific application procedures. Prospective applicants must submit requests for application packages through the EPA Regional Office listed in Appendix IV of the Catalog. This program requires applicants to use standard application forms furnished by the Agency and required by OMB Circular No. A-102 and A-110, including Standard Form 424 for final applications. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive funding opportunities, the competitive funding announcement will specify application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days from receipt of final application, through selection and notification, and development and approval of work plan, to award of funds.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

EPA can fund grants and cooperative agreements for surveys, studies, investigations, demonstrations, training, education, limited research, outreach, and/or special-purpose activities incrementally. Approval of incremental funding depends on satisfactory project progress as well as continued relevance of the project and availability of funds. The application procedure is identical to applying for a new grant, with the same funds source, selection criteria, and review procedure.

Formula and Matching Requirements:

This program has no statutory formula for funds distribution and no requirement for matching funds, unless specifically stated in a request for proposals.

Length and Time Phasing of Assistance:

Assistance agreements generally fund projects for one or two years, with a maximum project period of five years. Funds are issued electronically through

automated funds transfer.

Reports:

EPA may include reporting requirements in the terms and conditions of the assistance agreements, which may require quarterly, interim, and final performance reports, and financial, equipment, and inventory reports.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Recipients must demonstrate financial management capability, keep financial records including documentation for entries on accounting and for any changes in grant requirements, and make records available to personnel authorized to examine assistance recipients' records. Recipients must maintain all records for a minimum of three years from the date of submission of the final report and until all questions regarding the assistance agreement, such as those arising from audits, are resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$124,000; FY 08 \$463,000; and FY 09 \$200,000.

Range and Average of Financial Assistance:

Projects funded from Regional Geographic Initiative and Environmental Priority Program funding have ranged from a low of \$1,500 to a high of \$160,000, with an average of approximately \$60,000 per project.

PROGRAM ACCOMPLISHMENTS:

In recent years, Regional Geographic Initiative and Environmental Priority Program funding has primarily supported work on diesel emission reduction under the West Coast Collaborative process and on dairy manure treatment through the San Joaquin Valley Dairy Manure Collaborative. The West Coast Collaborative has funded projects to address diesel emissions in eight sectors including agriculture, fuels, freight movement, and fleet operations, concentrating on the San Joaquin Valley and the major ports in Region 9. The Dairy Manure Collaborative has produced and distributed information on treatment technologies, funding opportunities, and geographic distribution of dairy manure through publications, conferences and maps. Each EPA Region has now replicated the West Coast Collaborative process, and entities associated with the Dairy Manure Collaborative have constructed 200 pilot treatment plants with \$21 million from outside sources. In FY 2007 and FY 2008, 24 applications resulted in 9 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Assistance agreements under this program are subject to EPA's General Grant Regulations, 40 CFR Part 30 and 40 CFR Part 31.

Regional or Local Office:

Lynn Kuo, Special Assistant, Office of the Regional Administrator, Region 9, Environmental Protection Agency, 75 Hawthorne Street (ORA-1), San Francisco, CA 94105; Telephone: (415) 972-3501, Fax: (415) 947-3588, E-mail: Kuo.Lynn@epa.gov.

Headquarters Office:

None.

Web Site Address:

<http://www.epa.gov/region09/funding/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

This CFDA number was established in FY 2007. Previously, grant funding for this purpose was listed under CFDA number 66.606, Surveys, Studies, Investigations, and Special Purpose Grants. Multi-media grants under 66.606 often addressed environmental effects of agriculture. The report, "USEPA Regional Geographic Initiative: Agricultural Sustainability Projects in California's San Joaquin Valley, 2001-2005," (<http://www.epa.gov/docs/region09/ag/docs/rgi-report-for-r9-ag-program-july-2006.pdf>) describes these projects. Regional priority funding has supported conferences, world-wide web content development, and geographic information systems work. Multi-media issues addressed including food safety, Pacific island environmental issues, ocean issues, land use and transportation issues, and more.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.119 WEST COAST ESTUARIES INITIATIVE

FEDERAL AGENCY:

Region 10, Environmental Protection Agency

AUTHORIZATION:

Consolidated Appropriations Act for Fiscal Year 2008, Public Law 110-161

OBJECTIVES:

The West Coast Estuaries Initiative is a focused effort that evolved from the Environmental Protection Agency's Targeted Watersheds Grants Program. The initiative is designed to promote and support the protection and restoration of high value coastal aquatic resources in geographic areas that are subject to the pressures of population and economic growth through comprehensive watershed protection and management practices at the local level. The projects receiving assistance will support the EPA's goals for Region 10's coastal watersheds from Alaska to Oregon's southern border. These goals include: (1) clean and safe water through the protection of water quality and (2) healthy communities and ecosystems through the restoration and protection of ecosystems. Funding Priority 6 Fiscal Year 2009: EPA Region 10 recognizes the impact of growth and development on the health of the region's coastal watersheds and the diverse marine flora and fauna that they support. Extensive research shows that where development is located, how much development occurs and what practices are used when developing greatly affect the chemical, physical and biological integrity of marine and fresh waters. For Federal Fiscal Year 2009, the Region expects to offer assistance in watersheds west of the Cascade Mountain divide from the southern border of Oregon to the northern border of Washington, including those watersheds entering the Columbia River below Bonneville Dam. Additionally, in Alaska, the Region expects to offer assistance in three watersheds draining into the northern portion of Cook Inlet. These watersheds are the Lower Susitna, Matanuska and Anchorage Borough watersheds. Local and tribal governments are faced with implementing multiple planning processes and mandates. Watershed planning decisions regarding where protection and restoration occurs, how much protection and restoration is necessary, and what practices ensure effectiveness are frequently the focus of watershed plans. Often missing from these processes is an effort to connect watershed-based decisions with land development techniques and storm water controls. Linking protection and restoration decisions with future land use decisions can prevent pollution and greatly enhance the potential to maintain the region's coastal resources.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Local and tribal governments are at various stages of developing and applying effective and robust watershed programs. Some need to improve the scientific understanding of their watersheds. Others understand how their watersheds work and need assistance implementing a plan. Therefore, a wide range of activities will be eligible including, but not limited to, activities that: (1) Enhance and implement watershed protection and restoration plans, land use and transportation plans, basin plans, storm water controls and/or land development standards to maintain native vegetation and natural hydrology by protecting and restoring wetland, riparian, upland and near shore habitats and ecological processes. (2) Implement watershed protection by enhancing local planning processes under the state's Coastal Zone Management Program through the development of watershed land use designations, development standards and other regulations or incentive programs that protect and restore coastal watersheds. (3) Refine and implement watershed land use plans based on watershed models predicting hydrological impacts of alternative future land cover conditions, development scenarios and resulting aquatic resource conditions. (4) Develop and carry out laws, ordinances and incentive programs to implement watershed programs such as systematic implementation of low impact development in sensitive basins, land acquisition or transfer of development rights approaches and techniques. More details on the restrictions for this program will be provided in the request for proposals which will be published on the Region 10 web site. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Certain projects or activities are ineligible for West Coast Estuaries funding. These include activities required or regulated under the CWA. For example, activities for the development of Total Maximum Daily Loads (TMDLs) and required activities under Phase I and II Stormwater permits will not be funded. Activities implementing the non-regulatory component of TMDLs are eligible (e.g., the elements of a watershed plan that address non-point source pollution).

Applicant Eligibility:

Federally recognized Indian Tribal governments located within or governing territory within the coastal watersheds west of the Cascade Mountain divide of Washington and Oregon, including those watersheds entering the Columbia River below Bonneville Dam, are eligible to apply. Local governments located within or governing territory within the coastal watersheds west of the Cascade Mountain divide of Washington and Oregon, including those watersheds entering the Columbia River below Bonneville Dam, are also eligible to apply. Also eligible to apply are special purpose districts including, but not limited to, irrigation districts, conservation district and water & sewer districts located within the coastal watersheds west of the Cascade Mountain divide of

Washington and Oregon, including those watersheds entering the Columbia River below Bonneville Dam. Similar entities in three specific coastal watersheds in Alaska will also be eligible to apply. Those watersheds are the Lower Susitna, Matanuska and Anchorage Borough watersheds. State agencies, institutions of higher education and nongovernmental entities are not eligible to receive a direct award under this announcement. However, they are encouraged to work as collaborators (subrecipients or subcontractors) with eligible applicants. Federal agencies are ineligible for subaward or subcontract work under this announcement. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Anyone/General Public

Credentials/Documentation:

None.

Preapplication Coordination:

In accordance with EPA's Assistance Agreement Competition Policy (EPA Order 5700.5A1), EPA staff will not meet with individual applicants to discuss draft proposals, provide informal comments on draft proposals, or provide advice to applicants on how to respond to ranking criteria. Applicants are responsible for the contents of their respective applications and proposals. The EPA will respond to questions from individual applicants regarding threshold eligibility criteria, administrative issues related to the submission of a proposal and requests for clarification about the request for proposals. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants will be required to submit a formal grant application. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-110 and A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants Administration Division, 1200 Pennsylvania Avenue, N.W., Mail Code 3903R, Washington, DC 20460 or through the EPA Region 10 Grants Administration web site at: <http://yosemite.epa.gov/r10/omp.nsf/webpage/>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. EPA reserves the right to reject all proposals or applications and make no awards under any RFP issued under this program.

Deadlines:

Contact the Region 10 office of the EPA for information on applications deadlines.

Range of Approval/Disapproval Time:

The region expects that its review of the applications received will be completed within 120 to 150 days following the deadline for the submission of applications.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula requirement. Under the Targeted Watershed Grants program, of which this initiative is a component, it is the Agency's policy to require applicants to demonstrate a minimum non-federal match of 25% of the total cost of the project or projects. Match may be cash or in-kind consistent with the regulation governing match requirements (40 C.F.R. §31.24 or 40 C.F.R. §30.23, as applicable). Tribes may be exempt from this match requirement if they are constrained to such an extent that fulfilling the match requirement would impose an undue economic hardship on the Tribe.

Length and Time Phasing of Assistance:

The term of the cooperative agreement will be determined at the time the financial assistance is awarded.

Reports:

Progress report requirements will be a part of each assistance agreement. A schedule showing interim milestones and the outputs that will be completed by the end of the project period will also be included. Other specific reporting

requirements will be defined in the Assistance Agreement based on the statement of work described in the application.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised by an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

FY 2007 obligations: \$2,500. The total expected awards (obligations) of Federal Fiscal Year 2008 funds is expected to be about \$2.8 million. These obligations would be made in Federal Fiscal Year 2009.

Range and Average of Financial Assistance:

Individual awards are expected to range in size from \$400,000 to \$600,000. If the EPA receives proposals for seven (7) approvable projects, the mean award size would be \$400,000.

PROGRAM ACCOMPLISHMENTS:

The first awards within the Puget Sound Estuary for the Targeted Watershed Grants program were made in Federal Fiscal Year 2008. No progress reports from these assisted projects were available, yet, at the time that this CFDA listing was being written.

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and cooperative agreements with local governments, tribal governments and special purpose districts, the procedures and requirements should be in conformance with 40 C.F.R. Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments", 40 C.F.R. Part 35 "Environmental Program Grants-State, Interstate and Local Government Agencies", and OMB Circular Nos. A-87 "Cost Principles for State and Local Governments" and A-102 "Grants and Cooperative Agreements for States and Local Governments."

Regional or Local Office:

Daniel Steinborn, Puget Sound Estuary Program Financial Assistance Manager
Ecosystems and Community Health Unit U.S. Environmental Protection Agency, Region 10 1200 Sixth Avenue, Suite 900, ETPA-086 Seattle, WA 98101 (206) 553-2728 1-800 424-4EPA, extension 3-2728 e-mail: steinborn.daniel@epa.gov

Headquarters Office:

Not applicable.

Web Site Address:

<http://yosemite.epa.gov/r10/water.nsf/Office+of+Water/WEI08RFP>

RELATED PROGRAMS:

CFDA 66.439 - Targeted Watersheds Grants Program

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be published in the announcement of the competitive funding opportunity (the Request for Proposals or RFP). Projects will be selected based on a competitive process which may include factors such as relevancy to the EPA's mission, technical merit, the geographic diversity represented by the array of possible projects and the likelihood of success. In making the final funding decisions, the Approving Official may also consider national and regional programmatic priorities, the Region 10 strategy and the balance of funds available. The actual selection criteria to be used will be identified in the RFP.

66.202 CONGRESSIONALLY MANDATED PROJECTS

(CONGRESSIONAL EARMARKS)

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Annual Department of the Interior, Environment, and related Agencies Appropriations Act; Clean Air Act; Clean Water Act; Safe Drinking Water Act; Marine Protection, Research, and Sanctuaries Act; Toxic Substances Control

Act; Federal Insecticide, Fungicide, and Rodenticide Act; Pollution Prevention Act of 1990; Solid Waste Disposal Act - Resource Conservation and Recovery Act; Comprehensive Environmental Response, Compensation and Liability Act; Superfund Amendments and Reauthorization Act; Emergency Planning and Right to Know Act; Oil Pollution Act; National Environmental Policy Act; Indian Environmental General Assistance Program Act; and National Environmental Education Act. NEPA Section 102(2)(F) for all existing and new grants done internationally.

OBJECTIVES:

To implement Congressionally directed projects or programs for special purposes identified in EPA's annual appropriations act or Conference Report. These assistance agreements support surveys, studies and investigations; research and demonstrations; and special projects which are not requested by EPA in their annual budget to Congress. They are sometimes identified for specifically designated organizations. The projects may be associated with: (1) various environmental requirements (e.g. wastewater treatment, drinking water treatment); (2) identifying, developing, and/or demonstrating necessary pollution control technologies and techniques to prevent, reduce, and eliminate pollution; and/or (3) evaluating the economic and social consequences of alternative strategies, technologies, or mechanisms for use by those in economic, social, governmental, and environmental management positions. Funding priorities are to award these assistance agreements to the designated organizations as quickly as possible within statutory and regulatory requirements.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support specific purposes or perform specific actions by Congressionally designated recipients. Allowable direct and indirect costs are included, in accordance with established Assistance agreement awards under this program may involve or relate to geospatial information. "Geospatial Information" includes: Information that identifies the geographic location and characteristics of natural or constructed features or boundaries on the Earth, or applications, tools, and hardware associated with the generation, maintenance, or distribution of such information. This information may be derived from, among other things, GPS, remote sensing, mapping, charting, and surveying technologies, or statistical data. EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Examples of recipients that may receive assistance under this program include interstate, intrastate, state, local, public nonprofit institutions/organizations, other public institutions/organizations, international organizations, Federally Recognized Indian Tribal Governments, U.S. territories or possessions, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, Native American Organizations, and public/private institutions of higher education. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Interstate, intrastate, state, local, public nonprofit institutions/organizations, other public institutions/organizations, Federally Recognized Indian Tribal Governments, U.S. territories or possessions, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, Native American Organizations, and public/private institutions of higher education.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for state and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out specific Congressionally designated projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants may be able to use <http://www.grants.gov> to electronically apply for

certain grant opportunities under this CFDA.

Award Procedure:

Although this program is generally not subject to EPA's Policy on Competition for Assistance Agreements, EPA will administratively evaluate each application to determine (a) the adequacy of the proposed project to meet the specified purpose of the Congressionally mandated project and (b) if EPA has existing authority to expend appropriated funds for the mandated project. EPA's program offices will evaluate applications and applicants to determine the merit and relevance of proposed projects. EPA's R&D Office conducts a peer review of all applications by outside experts. EPA will also review the application in relation to EPA's general grant regulations. Any competed projects are subject to EPA's policy on Competition for Assistance Agreements. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters (or regional office, as appropriate) for application deadlines. Application forms must be submitted according to dates established by the individual EPA Headquarters or Regional Office.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new application which will be reviewed in the same manner as the original application. EPA may incrementally fund grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress and availability of funds.

Formula and Matching Requirements:

Recipients must match Federal funds as required by EPA's appropriation acts, authorizing statutes, regulations, program guidance, or as negotiated and agreed to between the applicant and the program office. This program has no statutory formula for distribution, however, matching requirements are defined in each appropriations act.

Length and Time Phasing of Assistance:

The terms of the assistance shall be determined at time of award. The length and time phasing of the assistance will be determined by the duration of the availability of appropriated funds.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require annual, quarterly, and/or interim and final progress reports, final results, expenditure/financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations - 40 CFR Part 30 and 40 CFR Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits, remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; 68-0107-0-1-304; 68-0108-0-1-304.

Obligations:

FY 07 \$0; FY 08 \$202,246,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Range: \$79,000 to \$18,704,000 per project; Average: \$665,283.

PROGRAM ACCOMPLISHMENTS:

EPA has many Congressionally designated mandated projects and programs

appropriated each year. To award assistance agreements for these specially Congressionally designated projects and programs, EPA works with the designated organizations to help them develop and submit grant applications to EPA. EPA then reviews each grant application to ensure the work proposed is a authorized activity for EPA and comports with the guidance provided by Congress. Then EPA assures that the organization meets EPA's grant and financial management requirements to administer the grant. Examples of the 304 specially designated Congressional projects and programs in FY 2008 include funding to the San Joaquin CA Valley Air Pollution Control District to complete their Central California Ozone Study, funding to the Puget Sound 2020 Action Committee for development of their future environmental agenda; funding to Metro North Georgia Water Planning District for their drinking water and wastewater improvement project; funding to the City of Baltimore for improvements to their sanitary and combined sewer systems; and funding to Brockton, MA for improvements to their wastewater treatment system.

REGULATIONS, GUIDELINES, AND LITERATURE:

Congressional mandated earmarks are subject to EPA's General Grant Regulations -40 CFR Part 30 and 40 CFR Part 31.

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate Headquarters program contact listed below.

Headquarters Office:

For program information, contact the following designated Program Office official: Office of Air and Radiation - Katherine Moore, (202) 564-1514, Katherine.Moore@epa.gov; Office of Water - Kimberley Roy, (202) 564-4633; Kimberley.Roy-Davis@epa.gov; Office of Wastewater Management - Barry Benroth, (202) 564-0672; Barry.Benroth@epa.gov; Office of Ground Water and Drinking Water - Deborah McCray, (202) 564-3843 ; Deborah.McCray@epa.gov; Office of Prevention, Pesticides and Toxic Substances - Elaine Lyon-Johnson, (202) 564-0547; Elaine.Lyon-Johnson@epa.gov; Office of Solid Waste and Emergency Response - Elisia Kanipe, (202) 566-1893; Elisia.Kanipe@epa.gov; Office of Enforcement and Compliance Assurance - Joseph Hall, (202) 564-2271; Joseph.Hall@epa.gov; Office of Research and Development - Mark Thomas, (202) 564-4763; Mark.Thomas or Alice Sabatini, (202) 564-1023; Alice.Sabatini@epa.gov; Office of Environmental Information - Chad Littleton, (202) 564-6064; Chad.Littleton@epa.gov; Office of International Affairs - Teresa Ruppe, (202) 564-6619; Teresa.Ruppe@epa.gov; Office of the Administrator - Frank Rusincovitch, (202) 564-0418; Frank.Rusincovitch@epa.gov and; Office of the Inspector General - Ed Maddox, (202) 564-2648; E-mail: E-d.Maddox@epa.gov, may be reached by mail at the following common address and fax number: EPA Headquarters 1200 Pennsylvania Avenue, N.W., Washington, DC 20460, (202) 564-1838 (Fax)

Web Site Address:

<http://www.epa.gov/ocfo/budget/index.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Congressional mandated projects/programs vary, for example below are listed a small sample of such projects/programs. Waste to Energy project in Stamford, Connecticut; Bacteria detection program in Mohawk Valley, New York Water Authority; Restoration of Lake Pontchartrain Basin by the Lake Pontchartrain Foundation; Poultry science project at Stephen F. Austin State University; Water treatment facility in the City of Alamosa, Colorado; Perchlorate treatment program in the City of Pasadena, California; Drinking water and wastewater facilities planning and construction projects, U.S. - Mexico Border Program.

CRITERIA FOR SELECTING PROPOSALS:

Addresses the specific purpose designated by the Congressional earmark in EPA's annual Appropriations Act or annual Appropriation Committee Report and other relevant legislative history and is consistent with EPA's authorities to support or conduct the Congressionally specified action. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. All Congressionally mandated earmarks must meet the following criteria: (a) address the specified Congressionally directed support or action described in the annual Appropriations Act or Appropriations Conference Report; (b) have the work statement for the proposed assistance agreement clearly relate to current statutory authorities and the strategic priorities for the Agency; and (c) have an approved workplan and processed assistance agreement before the appropriated funds expire.

66.203 ENVIRONMENTAL FINANCE CENTER GRANTS

(EFC Grant Program)

FEDERAL AGENCY:

OFFICE OF THE CHIEF FINANCIAL OFFICER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104.(b)(3), as amended; Safe Drinking Water Act, Section 1442(b)(3) and Section 1420(g), as amended; Solid Waste Disposal Act,

Section 8001(a); Clean Air Act, Section 103(b)(3); Toxics Substances Control Act, Section 10, as amended; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, as amended; Marine Protection, Research and Sanctuaries Act, Section 203.

OBJECTIVES:

The Environmental Finance Center Grant Program provides funding to support Environmental Finance Centers (EFCs) that provide multi-media environmental finance expertise and outreach to the regulated communities. The EFCs work with States, local governments and the private sector to address the growing costs of environmental protection. The EFCs provide finance-related training, education, and analytical studies to help these regulated parties develop solutions to the difficult "how-to-pay" issues associated with meeting environmental standards. The EFCs educate state and local governments and businesses on lowering environmental costs, increasing environmental investments, improving financial capacity, encouraging full cost pricing, and identifying and evaluating environmental financing options. A central goal of the EFCs is to create sustainable systems. Sustainable systems have the financial, technical, and institutional resources and capabilities to operate in compliance with environmental requirements and in conformance with accepted environmental practices over the long term. EFCs focus on helping these smaller parties find ways to obtain financing for their environmental protection responsibilities. Funding Priority \hat{c} Fiscal Year 2008: providing education, technical assistance, and analytic support to state and local officials to: (1) build capacity of state and local governments to develop and support sustainable water systems; (2) provide computer-based financial outreach tools to communities (particularly small ones) to help them more effectively manage watersheds and water systems; (3) support cleaner/greener businesses through source reduction, pollution prevention, and energy conservation; (4) promote environmentally sustainable redevelopment efforts by state and local governments; and (5) assist state and local government in integrating environmental protection in growth management and land use planning. Funding Priority \hat{c} Fiscal Year 2009: providing education, technical assistance, and analytic support to state and local officials and businesses on: (1) environmentally sustainable growth management and land use planning; (2) financing wetlands programs and protection; (3) water and wastewater utility sustainability, energy efficiency and capital planning; (4) financing source reduction, pollution prevention and energy conservation, and encouraging green business products and services; and (5) promoting innovative air pollution reduction financing efforts.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to recipients to support their allowable direct costs incident to approved environmental financial technical assistance and outreach work plus allowable indirect costs, in accordance with established EPA policies and regulations. EPA provides incremental funding for these grants or cooperative agreements on an annual basis. As part of EPA's substantial involvement, the appropriate EPA regional and/or headquarters office reviews and approves each annual project phase consistent with the scope of work for the grant or cooperative agreement. As provided in 2 CFR Part 220, recipients of grants or cooperative agreements under this program may not charge fundraising costs to their grants or cooperative agreements. Funding for research under this program does not include research within the purview of EPA's Office of Research and Development. Under this program, EPA does not fund research, training, and technical assistance to facilitate the inventory of brownfields sites, site assessments, remediation of brownfields sites, community involvement, and site preparation. These activities are covered by the EPA grant program established at CFDA 66.814.

Applicant Eligibility:

Assistance under this program is available to public and private non-profit universities and colleges and to nonprofit organizations. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, tribes, local governments, businesses and community organizations and the general public are the beneficiaries of this program.

Credentials/Documentation:

Applicants must demonstrate that they meet eligibility requirements. In addition, EPA may request applicants to demonstrate that they have the appropriate background, academic training, experience in the field, and necessary staff and equipment to carry out the project work. The Agency may also ask principal analysts named in applicants' proposals to provide curriculum vitae and other documentation of relevant experience.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O.

12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. If the applicant does not know who their Single Point of Contact is, the applicant may call the appropriate EPA regional contact listed in the Information Contacts section, or call the EPA Headquarters Grant Policy Information and Training Branch at (202) 564-5325.

Application Procedure:

EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or to the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, announcements for competitive funding opportunities will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadlines for competitive awards will be specified in the competitive announcement.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Annually subject to the budget and project periods specified in the awards.

Formula and Matching Requirements:

This program does not have a statutory or regulatory formula or matching requirement. The Agency may, as a matter of policy, require cost sharing when such a requirement is specified in the announcement of the competitive funding opportunity.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. The project period for the grants or cooperative agreements may range up to seven years. EPA will provide incremental funding for the cooperative agreements each fiscal year (on a 12-month basis) over the duration of the grants or cooperative agreements.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations Part 30 and Part 31. Organizations awarded EFC grants will be required to submit quarterly or semi annual progress reports to the Project Officer for the duration of the grant period. Final reports must be submitted to the Project Officer upon completion of the project. The Project Officers will determine if additional reports are appropriate and necessary.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and universities) apply. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those brought up in an audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$2,000,000; FY 08 \$2,000,000; FY 09 est \$2,000,000.

Range and Average of Financial Assistance:

Approximately \$222,000 per award per fiscal year

PROGRAM ACCOMPLISHMENTS:

EPA made nine non-competitive awards to the existing Environmental Finance Centers in FY 2008 and expects to make nine non-competitive awards to the existing Centers in FY 2009. In FY 2010, EPA plans to open the program up to competition. Environmental Finance Centers are innovative, neutral and multi-disciplinary technical assistance and outreach agents with proven track records of working at the local, state, regional and national levels. They have specialized expertise in finance, information technology transfer, and all environmental media. They have developed numerous successful partnerships and connections with regulated parties, regulators, other technical assistance providers, and non-profit organizations throughout the U.S. and beyond. The EFCs have developed many innovative financing/outreach tools and training courses, and have implemented successful projects in 48 states in all ten EPA regions. The EFCs have pioneered the development and use of charrettes (intensive workshops) with local governments to discover what is needed to pay for environmental services. To date, EFCs have held 32 charrettes in 11 States. The charrettes bring together local officials with finance, planning, and other technical expertise to discuss financing difficulties experienced by the communities. Each charrette seeks to create pertinent, realistic and achievable solutions to the finance challenge. From the charrettes, on-line case studies have been developed to help other communities facing similar challenges. EFCs assist tribal water systems in improving public health protection. EFCs also focus on developing and testing a variety of methods by which water system viability can be determined and maintained.

REGULATIONS, GUIDELINES, AND LITERATURE:

These grants and cooperative agreements are subject to EPA's General Grant regulations (40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education"). Costs for educational institutions will be determined in accordance with 2 CFR Part 220 (OMB Circular No. A-21). Information pertinent to the EFC program can be found at www.epa.gov/efinpage/efcn.htm. Written materials on the program can be obtained by calling the EFC National Coordinator at (202) 564-5001.

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog and the Headquarters program contacts listed below.

Headquarters Office:

For information on grants applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, 1200 Pennsylvania Avenue, N.W., Washington, DC 20460. For program information, contact: Vera Hannigan, Telephone: (202) 564-5001; Fax: (202) 564-2587; hannigan.vera@epa.gov.

Web Site Address:

<http://www.epa.gov/efinpage>.

RELATED PROGRAMS:

66.814, Brownfields Research, Training and Technical Assistance Grants and Cooperative Agreements Program; 66.611, Environmental Policy and Innovation Grants Program.

EXAMPLES OF FUNDED PROJECTS:

In FY 07 and FY 08, EPA funded nine university-based Environmental Finance Centers (EFCs) located across the nation in eight EPA Regions. Each participating university operated an EFC that provided technical assistance and outreach services to the regulated communities (States, tribal, local governments and businesses).

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the announcement of the competitive funding opportunity.

66.305 COMPLIANCE ASSISTANCE SUPPORT FOR SERVICES TO THE REGULATED COMMUNITY AND OTHER ASSISTANCE PROVIDERS

(Compliance Assistance Centers)

FEDERAL AGENCY:

OFFICE OF ENFORCEMENT AND COMPLIANCE ASSURANCE,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act of 1972, as amended, Section 104, Public Law 92-500, 33 U.S.C.A. 1254; Clean Air Act of 1970, as amended, Section 103, Public Law 91-604, 42 U.S.C.A. 7403; Resource Conservation and Recovery Act of 1976, as amended, Section 8001, Public Law 94-580 42 U.S.C.A. 6981.

OBJECTIVES:

To provide financial assistance to private nonprofit institutions, universities, and public agencies to develop projects to improve environmental compliance within

an identified industrial/government sector or environmental topic. In FY 2008, the funds are supporting web-based compliance assistance tools utilizing industry and commercial communication channels to deliver the assistance tools.

Funding Priority - Fiscal Year 2008: An estimated \$780,000 will be used to support the Centers Programs. With this investment, the EPA plans to continue supporting 12 Centers and to expand and improve the Compliance Assistance Center Platform (Platform). Platform activities will include (1) improving or expanding Platform tools and services; (2) supporting Platform-based Centers; and (3) developing and coordinating state regulatory compliance assistance material.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are to be used to develop and communicate environmental compliance information applicable to the specific industrial/government sector or topic for which financial assistance is provided. It is expected that common communications channels used by the industry/government would be used to quickly distribute information to the targeted audience. EPA funding must be used only for activities specified in the approved scope of work; discretionary funding will not be provided.

Applicant Eligibility:

Applicants must be nonprofit organizations as that term is defined in Section 4(6) of the Federal Financial Assistance Management Improvement Act of 1999, Public Law 96-107, 31 U.S.C. 6101 Note. Colleges, universities, and community colleges are eligible to apply. EPA will also accept applications from state, tribal and local governments. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Additionally, for certain funding opportunities under this CFDA, applicants may have to demonstrate how they meet the following factors for threshold eligibility purposes: That they have an indirect cost rate that has been approved or is pending approval by a federal agency or has a cost allocation system that meets the requirements in the applicable OMB Circular; That they have had a previous working relationship(s) with experts and national organizations devoted to the relevant industrial, commercial or governmental sector, or intends to partner with an organization with such expertise; An understanding of the environmental needs of the relevant industrial, commercial or governmental sector through past activities, or intends to partner with an organization with such expertise; That they, or a partnering organization, has familiarity with applicable federal, State and local environmental regulations and experience in developing tools (e.g., training, plain-language guides, fact-sheets, Agency contact locators, etc.) to improve the audiences' understanding of their regulatory obligations; Previous experience (or intends to partner with an organization with experience) in developing and maintaining web-based services.

Beneficiary Eligibility:

The primary beneficiaries are small businesses, local governments and colleges/universities that are being serviced by the grantees. Other beneficiaries include State, local, general public, and the regulated business community.

Credentials/Documentation:

EPA may request that applicants document their nonprofit status. The Agency may also request that applicants demonstrate they have appropriate background, experience in the field, organizational capacity and necessary equipment to carry out projects.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in Request for Applications or Request for Initial Proposals. Please contact Headquarters for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days from the date of submission of the final proposal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, cooperative agreements will be awarded for a five year project period. EPA will partially fund each budget period and will consider funding the balance of budget requests contingent upon satisfactory progress as certified by the EPA Project Officer, the availability of funds, and EPA priorities.

Formula and Matching Requirements:

EPA's Appropriation Act requires that applicants submitting unsolicited research grant proposals share in the cost of conducting research. The amount of the cost share will be based on the mutuality of interest between the Government and the applicant. Applicants will be advised of other matching requirements, if any, in Requests for Applications or Requests for Initial Proposals. Although EPA may not require matching funds, applicants may be encouraged to provide information regarding resources (cash/in-kind services) that they would commit to manage a Compliance Assistance Center. This program has no statutory formula.

Length and Time Phasing of Assistance:

EPA generally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Incremental funding may be available. EPA generally limits project periods to 5 years. EPA will partially fund each budget period and will consider funding the balance of budget requests contingent upon satisfactory progress as certified by the EPA Project Officer, the availability of funds, and EPA priorities.

Reports:

Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$1,280,240; FY 08 \$780,000; and FY 09 \$0.

Range and Average of Financial Assistance:

\$35,000/year - \$140,000/year. An approximate average of the financial assistance awards that was made in the past and current fiscal years is \$65,000.

PROGRAM ACCOMPLISHMENTS:

Center site visit activity for FY 2007 increased 4 percent from FY 2006. In FY 2007, the Centers Websites were visited 1,958,000 times by their target audiences and the public, and experienced over 6.5 million requests for web pages and compliance assistance documents. Center survey respondents expressed a high degree of satisfaction with Center services: (1) 83 percent of the regulated community strongly agreed or agreed that the Centers helped them understand regulations that apply to a sector, local government, or federal facility (2) 81 percent of the regulated entity respondents took one or more actions as a result of Center use. Actions ranged from contacting a regulatory agency, changing a process or practice to conducting a self audit; (3) 73 percent of the assistance provider community respondents took one or more actions as a result of Center use. Actions included: providing fact sheets or compliance guides; helping clients identify pollution prevention opportunities; and helping clients change processes or practices; (4) 53 percent of the regulated community

respondents and 46% of the assistance provider community respondents indicated an environmental improvement from actions taken as a result of using a Center. The EPA funded 12 Centers and Center Services under 10 cooperative agreements in FY 2007 and FY 2008. Each Center addresses real world issues in language that speaks to the regulated entities. Through internet Websites, telephone assistance lines, fax-back systems, and e-mail discussion groups, the Centers are helping businesses, local governments, and federal facilities understand and comply with federal environmental requirements and save money through pollution prevention techniques. In FY 2008, EPA awarded funding for the development of a Center for the food processing industry.

REGULATIONS, GUIDELINES, AND LITERATURE:

Compliance Assistance Centers are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for non-profit institutions, and FAR Part 31 for profit makers. Additional information pertinent to the Centers program can be found at www.assistancecenters.net. Centers brochures and/or fact-sheets can be obtained by calling the EPA Compliance Assistance Centers Team Leader at (202) 564-7076.

Regional or Local Office:

Not applicable.

Headquarters Office:

Tracy Back, Compliance Assistance Centers Team Lead, 1200 Pennsylvania Ave., NW, Washington, DC 20460, Tele: (202) 564-7076, Fax: (202) 564-0037, email: back.tracy@epa.gov. Hans Scheifele, Transportation Center Lead, 1200 Pennsylvania Ave., NW, Washington, DC 20460, Tele: (202) 564-1459, Fax: (202) 564-0009, email: scheifele.hans@epa.gov. Hans Scheifele, Printed Wiring Board Center Lead, 1200 Pennsylvania Ave., NW, Washington, DC 20460, Tele: (202) 564-1459, Fax: (202) 564-0009, email: scheifele.hans@epa.gov. Hans Scheifele, Chemical Manufacturing Center Lead, 1200 Pennsylvania Ave., NW, Washington, DC 20460, Tele: (202) 564-1459, Fax: (202) 564-0009, email: scheifele.hans@epa.gov. Kenneth Harmon, Local Government Center Lead, 1200 Pennsylvania Ave., NW, Washington, DC 20460, Tele: (202) 564-7049, Fax: (202) 564-0037, email: harmon.kenneth@epa.gov. Kenneth Harmon, Education Sector Lead, 1200 Pennsylvania Ave., NW, Washington, DC 20460, Tele: (202) 564-7049, Fax: (202) 564-0037, email: harmon.kenneth@epa.gov. Hans Scheifele, Metal Finishing Center Lead, 1200 Pennsylvania Ave., NW, Washington, DC 20460, Tele: (202) 564-1459, Fax: (202) 564-0009, email: scheifele.hans@epa.gov. Hans Scheifele, Paints & Coatings Center Lead, 1200 Pennsylvania Ave., NW, Washington, DC 20460, Tele: (202) 564-1459, Fax: (202) 564-0009, email: scheifele.hans@epa.gov. Hans Scheifele, Printing Industry Center Lead, 1200 Pennsylvania Ave., NW, Washington, DC 20460, Tele: (202) 564-1459, Fax: (202) 564-0009, email: scheifele.hans@epa.gov. Hans Scheifele, Automotive Recycling Center Lead, 1200 Pennsylvania Ave., NW, Washington, DC 20460, Tele: (202) 564-1459, Fax: (202) 564-0009, email: scheifele.hans@epa.gov. Hans Scheifele, Construction Center Lead, 1200 Pennsylvania Ave., NW, Washington, DC 20460, Tele: (202) 564-1459, Fax: (202) 564-0009, email: scheifele.hans@epa.gov. John Mason, US/Mexico Border Environmental Issues Lead, 1200 Pennsylvania Ave., NW, Washington, DC 20460, Tele: (202) 564-7036, Fax: (202) 564-0037, email: mason.john@epa.gov. Tracy Back, Platform Development Lead, 1200 Pennsylvania Ave., NW, Washington, DC 20460, Tele: (202) 564-7076, Fax: (202) 564-0037, email: back.tracy@epa.gov. Joanne Berman, Food Processign Center Lead, 1200 Pennsylvania Ave., NW, Washington, DC 20460, Tele: (202) 564-7064, Fax: (202) 564-0037, email: berman.joanne@epa.gov.

Web Site Address:

<http://epa.gov/compliance/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Past projects include the development of sector-specific websites providing a wide range of compliance assistance tools and resources. Tools developed include web sites, toll-free telephone assistance services, e-mail discussion groups, other interactive technologies, video/workbooks, satellite training, compliance audit checklists and guidebooks, and compliance fact sheets assistance in implementing pollution prevention activities. The existing Center websites can be accessed at www.assistancecenters.net or www.epa.gov/compliance/assistance/centers/index.html.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive proposals are judged for (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives.

66.306 ENVIRONMENTAL JUSTICE COLLABORATIVE PROBLEM-SOLVING COOPERATIVE AGREEMENT PROGRAM

(EJCPS)

FEDERAL AGENCY:

OFFICE OF ENVIRONMENTAL JUSTICE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104(b)(3); Safe Drinking Water Act, Section 1442(b)(3); Solid Waste Disposal Act, Section 8001(a); Clean Air Act, Section 103(b)(3); Toxic Substances Control Act, Section 10(a); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a); Marine Protection, Research, and Sanctuaries Act, Section 203.

OBJECTIVES:

The program's mission/objective is to award cooperative agreements to eligible non-profit organizations in order for those organizations to develop projects which demonstrate the utility of the Environmental Justice Collaborative Problem-Solving Model. Funding Priority - Fiscal Year 2008: None.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. The recipient cannot use these funds for the following activities under this program: litigation against the Federal government or any other government entity; construction; lobbying; matching funds for other Federal grants; travel for Federal employees; and human health studies (taking blood or other information from humans).

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. An eligible applicant MUST BE: (1) a 501(c)(3) nonprofit organization as designated by the Internal Revenue Service OR a nonprofit organization, recognized by the State, territory, commonwealth, or tribe in which it is located, which addresses local environmental and public health issues; AND (2) located within the same State, territory, commonwealth, or tribe that the proposed project will be located. The following entities are INELIGIBLE: colleges and universities; hospitals; State and local governments and federally-recognized Indian tribal governments; quasi-governmental entities (e.g., water districts, utilities)*; national-, multi-state-, or state-wide- organizations with chapters; and nonprofit organizations that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995. In addition, an eligible applicant must be able to demonstrate that it has worked directly with, or provided services to, the affected community. An "affected community," for the purposes of this assistance agreement program, is a community that is disproportionately impacted by environmental harms and risks and has a local environmental and/or public health issue that is identified in the proposal. *Generally, a quasi-governmental entity is one that: (1) has a close association with the government agency, but is not considered a part of the government agency; (2) was created by the government agency but is exempt from certain legal and administrative requirements imposed on government agencies; or (3) was not created by the government agency but performs a public purpose and is significantly supported financially by the government agency.

Beneficiary Eligibility:

Nonprofit Community Groups as described in "Applicant Eligibility," above.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-122 for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants may use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in Request for Applications or Request for Initial Proposals.

Range of Approval/Disapproval Time:

About 150 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements for training, research, surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

All cooperative agreements will be awarded for a 3 year project period. Activities must be completed within the project timeframe, and all cooperative agreements will be fully funded at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY07 \$1,000,000; FY08 \$0; and FY09 \$0.

Range and Average of Financial Assistance:

All individual awards are \$100,000 each.

PROGRAM ACCOMPLISHMENTS:

42 applications were received and 10 awards were granted in fiscal year 2007. No grants were made in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 30.

Regional or Local Office:

Not Applicable.

Headquarters Office:

Environmental Protection Agency, Grants and Interagency Management Division, 1200 Pennsylvania Avenue, N.W., Mail Code 3903R, Washington, DC 20460. For program information contact: Office of Environmental Justice, Sheila Lewis, 1200 Pennsylvania Avenue, N.W., Mail Code 2201A, Washington DC 20460. Telephone: (202) 564-0152. Fax: (202) 501-1107. E-mail: lewis.sheila@epa.gov.

Web Site Address:

www.epa.gov/compliance/environmentaljustice/grants/ej-cps-grants.html.

RELATED PROGRAMS:

66.950, Environmental Education and Training Program; 66.951, Environmental Education Grants; 66.604, Environmental Justice Small Grant Program; 66.307,

Environmental Justice Training and Fellowship Assistance; 66.308, Environmental Justice Research Assistance; 66.309, Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice.

EXAMPLES OF FUNDED PROJECTS:

(1) Working with partners to identify and characterize the environmental and public health issues associated with straight pipe sewage and acid mine drainage, educating community residents about the environmental and health effects from these impacts, and working to develop and implement the strategies necessary to successfully address these issues. (2) Engaging communities in the cleanup and improvement of vacant lots into green spaces and sustain their upkeep through a recycling program to help reduce pollutants that contribute to communities' high asthma rates. This collaboration is expected to improve Philadelphia's recycling rate and air quality. (3) Developing a collaborative process that encourages measures for the installation of safe water and sewer services, the clean up of toxic substance sites, and the improvement of air quality in the area's low-income communities and other comparable communities that do not have access to basic amenities. Grassroots training will assist residents in working collaboratively with local, State, and federal government officials. (4) Working with partners to incorporate environmental and health considerations, and to involve disadvantaged communities into the decision-making process of the city's Master Plan for housing redevelopment and revitalization. The project also encourages use of natural, non-toxic cleaning products and practices to reduce exposure to lead paint and decrease the incidence of asthma. (5) Creating an Environmental Council with partners to conduct air sampling tests in schools, apartments, and community locations to determine the extent of indoor and outdoor air pollution in the community. The Council will help the community educate itself about asthma, asthma triggers and allergens, and what can be done to minimize or eliminate indoor air asthma triggers.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.309 SURVEYS, STUDIES, INVESTIGATIONS AND SPECIAL PURPOSE ACTIVITIES RELATING TO ENVIRONMENTAL JUSTICE

(EJSS)

FEDERAL AGENCY:

OFFICE OF ENVIRONMENTAL JUSTICE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104(b)(3); Safe Drinking Water Act, Section 1442(c)(3); Solid Waste Disposal Act, Section 8001(a); Clean Air Act, Section 103(b)(3); Toxic Substances Control Act, Section 10(a); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a); Marine Protection, Research, and Sanctuaries Act, Section 203; Comprehensive Environmental Response, Compensation and Liability Act of 1980 (CERCLA), Section 311(c).

OBJECTIVES:

The program's mission/objective is to provide funding in support of surveys, studies and investigations, and special purpose assistance programs as they relate to environmental and/or public health issues, with a particular emphasis on environmental justice. Funding Priority - Fiscal Year 2008: There are no funding priorities anticipated for FY 2008. Funding Priority-Fiscal Year 2009. Activities that support surveys, studies and investigations, and special purpose assistance for the award of grants and cooperative agreements that address environmental justice concerns. EPA defines "environmental justice" as the fair treatment and meaningful involvement of all people regardless of race, color, national origin or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies. Fair treatment means that no one group of people, including racial, ethnic, or socioeconomic groups, should bear a disproportionate share of the negative environmental consequences resulting from industrial, municipal, and commercial operations or the execution of federal, state, local, and tribal environmental programs and policies. Meaningful involvement means that: (1) potentially affected community residents have an appropriate opportunity to participate in decisions about a proposed activity that will affect their environment and/or health; (2) the public's contribution can influence the regulatory agency's decision; (3) the concerns of all participants involved will be considered in the decision-making process; and (4) the decision-makers seek out and facilitate the involvement of those potentially affected. Assistance agreements may be associated with air quality, acid deposition, drinking water, water quality, hazardous waste, toxic substances, and/or pesticides.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information

may be obtained by viewing the following website: <http://geodata.epa.gov>. The recipient cannot use these funds for the following activities under this program: litigation against the Federal government or any other government entity; construction; lobbying; matching funds for other Federal grants; travel for Federal employees; and human health studies (taking blood or other information from humans).

Applicant Eligibility:

Assistance under this program is generally available to States, territories, Indian Tribes, intertribal consortia, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions which submit applications proposing projects concerning environmental justice issues with significant technical merit and relevance to EPA's mission. Some of EPA's statutes may limit assistance to specific types of interested applications. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for profit makers. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants may use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in Request for Applications or Request for Initial Proposals.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are normally funded on a 12-month basis (annual). Most of OEJ awarded grants and cooperative agreements have project periods ranging from one year to five years, and generally, grants and cooperative agreements are fully funded at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations Part

30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; 68-8145-0-7-304; 68-0107-0-1-304; 68-0103-0-1-304.

Obligations:

FY 07 \$0; FY 08 \$0; and FY 09 \$40,000.

Range and Average of Financial Assistance:

\$20,000 to \$150,000/fiscal year; \$25,000.

PROGRAM ACCOMPLISHMENTS:

No awards were made in FY 07 and FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, Studies, and Investigations grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31).

Regional or Local Office:

Region 1 Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont: Primary Contact: Kwabena Kyei-Aboagye (617) 918-1609, Kyei-Aboagye.Kwabena@epa.gov, USEPA Region 1 (RAA), One Congress Street - Suite 1100, Boston, MA 02203-0001; Secondary Contact: Sires Rivers (617) 918-1343, rivers.sires@epa.gov. Region 2 New Jersey, New York, Puerto Rico, U.S. Virgin Islands: Primary Contact: Terry Wesley (212) 637-5027, wesley.terry@epa.gov, USEPA Region 2, 290 Broadway, 26th Floor, New York, NY 10007; Secondary: Tasha Frazier (212) 637-3861, frazier.tasha@epa.gov. Region 3 Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia: Primary Contact: Reginald Harris (215) 814-2988, harris.reggie@epa.gov, USEPA Region 3 (3DA00), 1650 Arch Street, Philadelphia, PA 19103-2029. Region 4 Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee: Primary Contact: Elvie Barlow (404) 562-9650, barlow.elvie@epa.gov, USEPA Region 4 Main Office (404) 562-8407, 61 Forsyth Street, Atlanta, GA 30303-8960; Secondary: Ella Mclendon (404) 562- 8316, mclendon.ella@epa.gov. Region 5 Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin: Primary Contact: Margaret Millard (312) 353-1440, millard.margaret@epa.gov, USEPA Region 5 (DM7J), 77 West Jackson Boulevard, Chicago, IL 60604-3507. Region 6 Arkansas, Louisiana, New Mexico, Oklahoma, Texas: Primary Contact: Shirley Quinones (214) 665-2713, quinones.shirley@epa.gov, USEPA Region 6, Fountain Place, 13th Floor, 1445 Ross Avenue (RA-D), Dallas, Texas 75202-2733; Secondary Contact: Nelda Perez (214) 665-2209, perez.nelda@epa.gov. Region 7 Iowa, Kansas, Missouri, Nebraska: Primary Contact: Althea Moses (913) 551-7649, mooses.althea@epa.gov, USEPA Region 7, 901 North 5th Street (RGAD/ECO), Kansas City, KS 66101. Region 8 Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming: Primary Contact: Nancy Reish (303) 312-6040, reish.nancy@epa.gov, USEPA Region 8 (8ENF-EJ), 999 18th Street, Suite 300, Denver, CO 80202-2466; Secondary: Jean Belille (303) 312-6556 belille.jean@epa.gov. Region 9 Arizona, California, Hawaii, Nevada, American Samoa, Guam: Primary Contact: Karen Henry (415) 972-3844, henry.karen@epa.gov, USEPA Region 9 CMD-1, 75 Hawthorne Street, San Francisco, CA 94105. Region 10 Alaska, Idaho, Oregon, Washington: Primary Contact: Running Grass (206) 553-2899, grass.running@epa.gov, USEPA Region 10 (OMP-143), 1200 Sixth Avenue, Seattle, WA 98101.

Headquarters Office:

Environmental Protection Agency, Grants and Interagency Management Division, 1200 Pennsylvania Avenue, N.W., Mail Code 3903R, Washington, DC 20460. For program information contact: Office of Environmental Justice, Sheila Lewis, 1200 Pennsylvania Avenue, N.W., Mail Code 2201A, Washington DC 20460. Telephone: (202)564-0152. Fax: (202) 501-1107. E-mail: lewis.sheila@epa.gov.

Web Site Address:

<http://www.epa.gov/compliance/environmentaljustice/index.html>.

RELATED PROGRAMS:

66.305, Compliance Assistance Support for Services to the Regulated

Community and Other Assistance Providers; 66.604, Environmental Justice Small Grant Program.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded projects include: (1) A project to form collaborative partnerships with impacted and traditionally excluded community residents, organizations, regulatory agencies, and academic institutions to identify, prioritize, and develop plans to address environmental problems and perceived health impacts resulting from Hurricanes Rita and Katrina. The grantee will include residents on an Environmental Justice Steering Committee to ensure meaningful community involvement in the development and implementation of environmental laws, regulations, and policies related to Hurricanes Rita and Katrina. (2) A project to educate the public, particularly economically-disadvantaged households, affected by Hurricanes Katrina and Rita by providing them hands-on-training related to energy conservation and health benefits for the citizens directly impacted by the local environmental and/or public health issues stemming from the hurricanes. (3) A project designed to protect the health of those who are doing the physical labor of gutting the flood damaged houses in the greater New Orleans area after Hurricanes Katrina and Rita. The project will interrupt the pathways of exposure to mold and other hazardous substances found in the homes and buildings which are being reclaimed by providing workers with protective gear and education materials.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.310 CAPACITY BUILDING GRANTS AND COOPERATIVE AGREEMENTS FOR COMPLIANCE ASSURANCE AND ENFORCEMENT ACTIVITIES IN INDIAN COUNTRY AND OTHER TRIBAL AREAS

FEDERAL AGENCY:

OFFICE OF ENFORCEMENT AND COMPLIANCE ASSURANCE,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Air Act, Section 103; Solid Waste Disposal Act, Section 8001; Safe Drinking Water Act, Section 1442; Toxic Substances Control Act, Section 10; Marine Protection, Research and Sanctuaries Act, Section 203; National Environmental Policy Act, Section 102(2)(F) (for international awards); and Indian Environmental General Assistance Program Act.

OBJECTIVES:

Providing financial resources to build and improve the compliance assurance and enforcement capacity of federally-recognized Indian tribal governments (tribes), inter-tribal consortia, or tribal organizations by providing financial resources and to improve compliance with environmental laws. As required by statute, such capacity building efforts may include economic, social science, statistical research, development, studies, surveys, demonstrations, investigations, public education, training, and fellowships to the extent authorized under the federal environmental laws listed above. This Catalog of Federal Domestic Assistance (CFDA) number covers EPA's Environmental Program Management (EPM) resources targeted for compliance assurance and enforcement in Indian country and other tribal areas, including those in Alaska. Funding Priority - Fiscal Year 2008: Building tribal compliance assurance and enforcement capacity to support activities related to the National Indian Country Enforcement and Compliance Assurance Priority (Indian Country Priority) is the funding priority for fiscal year 2008. To that end, these funds are targeted to address compliance assistance, compliance monitoring, and enforcement issues associated with waste management, schools, and public water systems. Activity examples include: funding the training of tribal compliance monitoring inspectors and conducting compliance monitoring inspections; demonstrating solid waste management techniques and operation and/or maintenance of public water systems and schools; training of personnel involved in the operation and/or maintenance of public water systems, schools, and solid waste operations; improving understanding of the potential hazards associated with school laboratory chemicals; hiring "circuit riders" to provide compliance assistance to tribal public water systems, solid waste programs, and schools; creating tribal environmental codes with enforcement components; creating administrative procedures to facilitate regulatory compliance and enforcement; and developing data systems and assessments to better understand the number of regulated entities in Indian country and their compliance status. OECA's tribal funds should be used to support activities directly related to the Indian Country Priority. creating tribal environmental codes with enforcement components; creating administrative procedures to facilitate regulatory compliance and enforcement; and developing data systems and assessments to better understand the number of regulated entities in Indian country and their compliance status. OECA's tribal funds should be used to support activities directly related to the Indian Country Priority.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The funding is for fixed or known periods associated with specific projects. Project grants can include fellowships, scholarships, research grants, training grants, traineeships, experimental and demonstration grants, evaluation grants, planning grants, technical assistance grants, survey grants, and construction grants. OECA identifies special focus areas each funding cycle (annually). Previous years have included calls for proposals focusing on conducting sanitary surveys at tribal public water systems, compliance assistance to tribal solid waste programs, and innovative enforcement and compliance assurance projects, including performance measurement, data quality, and public access to information. Consistent with existing statutory authority, the funds are used to support compliance assistance, compliance monitoring, and enforcement activities.

Applicant Eligibility:

Tribal Organizations, Inter-tribal Consortia, Federal, and State Organizations, Colleges and Universities, and non-for-profit organizations; eligible applicants must also have enforcement and compliance assurance responsibilities in Indian country and/or other tribal areas or provide support for enforcement and compliance assurance projects in Indian country and/or other tribal areas. EPA may also limit eligibility for certain competitive funding opportunities under this CFDA to: (1) tribes and intertribal consortia located in the Region where a project is going to be performed; and/or (2) applicants that have access to Indian country or other tribal areas.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Inter-tribal Consortia and Tribal Organizations; Federal, State, and Multi-jurisdictional State Organizations; colleges and universities; and non-for-profit organizations.

Credentials/Documentation:

None.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

The solicitation or other announcement of availability of funds provides the complete schedule for application due dates.

Range of Approval/Disapproval Time:

Approximately 60 days from the date of submission of the final proposal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable. e resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Assistance is normally provided in one lump sum. Projects may span multiple years. There is no restriction on the time permitted to spend the money awarded, although money must be spent prior to expiration associated with the specified federal fiscal year.

Reports:

Semi-annual reports must be submitted to the Project Officer for the duration of the project period. Final reports must be submitted to the Project Officer upon completion of the project. The EPA Project Officer will determine whether additional reports are appropriate and necessary.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in

Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each cooperative agreement, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0103-0-1-304.

Obligations:

FY 07 \$1,445,000; FY 08 \$1,095,000; and FY 09 \$300,000.

Range and Average of Financial Assistance:

\$3000 to \$100,000/fiscal year; \$50,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, EPA funded multiple activities in Indian country. For example, EPA funded compliance assistance activities (training and demonstration) designed to improve understanding of how schools and public water systems can operate in compliance with applicable federal environmental laws. Similarly, EPA funded compliance assistance activities (training and demonstration) designed to improve understanding of how tribes can effectively manage solid waste. In addition, EPA funded circuit riders who provide on-site compliance and technical assistance to tribes. The funded circuit riders provided on-site assistance to the owners and operators of public water systems and the managers and staff engaged in the collection, handling, and disposal of solid and household hazardous waste. EPA-funded drinking water circuit riders provided training and assistance related to drinking water system operation, monitoring, data collection, and reporting. Solid waste circuit riders helped with waste assessments and waste investigations. EPA funds were also used for public service announcements and printed educational documents. EPA also funded the drafting of environmental codes associated with drinking water, solid waste, and regulations impacting schools and other environmental situations.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable EPA Uniform Administrative Requirements covered in the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-Profit Organizations (40 CFR Part 30), February 15, 1996; Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (including Indian and Tribal Governments) (40 CFR Part 31), March 11, 1988; and State and Local Assistance: Provides program-specific requirements for State, tribal, and local grants (40 CFR Part 35, Subparts A and B), October 12, 1982.

Regional or Local Office:

For EPA Region 1 contact Kristi Rea at (617) 918-1595 or rea.kristi@epa.gov; for EPA Region 2 contact Rebecca Jamison at (212) 637-3949 or jamison.rebecca@epa.gov; for EPA Region 4 contact Serdar Ertaş at (404) 562-9683 or ertap.serdar@epa.gov; for EPA Region 5 contact Andrew Anderson at (312) 353-9681 or anderson.andrew@epa.gov; for EPA Region 6 contact Connie Overbay at (214) 665-7274 or overbay.connie@epa.gov; for EPA Region 7 Wolfgang Brandner contact at (913) 551-7381 or brandner.wolfgang@epa.gov; for EPA Region 8 contact Liz Rogers at (303) 312-6974 or rogers.liz@epa.gov; for EPA Region 9 contact Angela Baranco at (415) 947-4262 or baranco.angela@epa.gov, and for EPA Region 10 contact Donald Dossett at (206) 553-8257 or dossett.donald@epa.gov.

Headquarters Office:

Contact Jonathan Binder, The Office of Compliance, Environmental Protection Agency, Ariel Rios Building, 1200 Pennsylvania Ave., N.W., Washington, DC 20460. Telephone: (202) 564-2516, Fax: (202) 564-7083, and E-mail: binder.jonathan@epa.gov.

Web Site Address:

<http://www.epa.gov/compliance/state/grants/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In FY 2005 and 2006, EPA funded solid waste and drinking water system circuit riders to provide compliance and technical assistance to tribes in the area of solid waste management. Circuit riders conduct on-site visits at multiple facilities and provide detailed information and training to tribes, tribal environmental professionals, and facility operators on how to ensure compliance and improve environmental performance. In addition, EPA funded training and conferences for tribal environmental professionals, including how to effectively conduct compliance monitoring inspections under federal and tribal environmental laws, to facilitate the development of compliance assurance and enforcement programs. EPA funded the development of tribal environmental codes, including the creation of solid waste management plans designed to ensure the proper handling and disposal of solid waste. Finally, EPA funded tribal data collection and analysis efforts designed to assess the compliance status of regulated facilities and develop tribal plans to respond to the identified

compliance issues.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.312 STATE ENVIRONMENTAL JUSTICE COOPERATIVE AGREEMENT PROGRAM

(EJCA)

FEDERAL AGENCY:

OFFICE OF ENVIRONMENTAL JUSTICE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104(b)(3); Safe Drinking Water Act, Section 1442(b)(3); Solid Waste Disposal Act, Section 8001(a); Clean Air Act, Section 103(b)(3); Toxic Substances Control Act, Section 10(a); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a); Marine Protection, Research, and Sanctuaries Act, Section 203.

OBJECTIVES:

To provide funding to State governments to support their efforts to understand, promote and integrate approaches and activities that result in meaningful and measurable environmental and/or public health improvements in communities disproportionately exposed to environmental harms and risks. The goals of this program include, but are not limited to, the following: Achieve significant measurable environmental and/or public health results; Build broad, robust, results-oriented partnerships, particularly with community organizations within the affected area(s); Demonstrate a connection to EPA Regional EJ Action Plans and the State's participation in the design and implementation of EPA EJ Action Plan activities; and Implement a strategy to integrate EJ considerations pertinent to the project within the programs, policies, and activities of the appropriate State agency or agencies. Funding Priority Fiscal Year 2009: To provide funding to State governments to support their efforts to understand, promote and integrate approaches and activities that result in meaningful and measurable environmental and/or public health improvements in communities disproportionately exposed to environmental harms and risks. To support of activities which are robust, results oriented, collaborative partnerships, and which can be linked to Regional EJ Action Plan activities. By linking to the Government Performance Results Act (GPRA) strategic plans and goals, the Agency's EJ Action Plans are using various activities to address goals which will lead to measurable environmental and public health outcomes.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. The EJCA Program seeks projects fostering activities such as the following: Public awareness programs addressing child lead poisoning and asthma in impacted minority or low-income communities. This type of initiative could entail blood testing and research activities about effective ways of outreach and educating the public about causes and prevention of (lead poisoning and asthma); follow-up for further lead testing and effectiveness determinations of preventive efforts; Performance of community assessments and risk reduction projects to develop tools that could be used quickly for assessing air quality problems in a community; Projects to address multiple health threats posed by chemical and biological related industries/organizations located close to communities living in state public housing projects; Creation of communication networks and partnerships for sharing information and/or conducting focus groups studies on EJ related issues, between advocacy groups, local communities and state environmental agencies; Development of survey and response plans for targeted area; Establishment of collaborative and coordinated efforts, process or procedures between state agencies and ongoing projects at local levels to maximize use of local resources and reduce duplication of efforts. The recipient cannot use these funds for the following activities under this program: litigation against the Federal government or any other government entity; construction; lobbying; matching funds for other Federal grants; travel for Federal employees; and human health studies (taking blood or other information from humans).

Applicant Eligibility:

State agencies, the District of Columbia, and multi-jurisdictional State organizations and public universities and colleges. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State agencies, U.S. territories and commonwealths, the District of Columbia, and state universities and colleges. The following entities are INELIGIBLE: private colleges and universities; City, township, county government and their entities; Federally recognized Native America Tribal governments; State designate Native American Tribal governments.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 Cost Principles for State, Local and Indian Tribal Governments and OMB Circular No. A-102 for Grants and Cooperative Agreements with State and Local Governments. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in Request for Applications or Request for Initial Proposals.

Range of Approval/Disapproval Time:

About 150 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements for training, research, surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

All cooperative agreements will be awarded for a 3 year project period. Activities must be completed within the project timeframe, and all cooperative agreements will be fully funded at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133. 40 CFR Part 31- Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, 40 CFR Part 35 - State and Local Assistance.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients' grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY07 \$0; FY08 \$0; FY09 \$800,000.

Range and Average of Financial Assistance:

All individual awards are \$160,000 each.

PROGRAM ACCOMPLISHMENTS:

No content available. This is a new federal assistance program.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to and Local Governments (40 CFR Part 35 Subpart A), October 12, 1982.

Regional or Local Office:

Not applicable.

Headquarters Office:

Environmental Protection Agency, Grants and Interagency Management Division, 1200 Pennsylvania Avenue, NW., Mail Code 3903R, Washington, DC 20460. For program information contact: Office of Environmental Justice, Sheila Lewis, 1200 Pennsylvania Avenue, NW, Mail Code 2201A, Washington DC 20460. Phone: (202)564-0152. Fax: 202-501-1107. Email: lewis.sheila@epa.gov.

Web Site Address:

<http://www.epa.gov/compliance/environmentaljustice/grants/>.

RELATED PROGRAMS:

66.604 Environmental Justice Small Grants Program; 66.309, Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.418 CONSTRUCTION GRANTS FOR WASTEWATER TREATMENT WORKS

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, as amended, Sections 101(e), 109(b), 201 through 205, 207, 208(d), 210 through 212, 215 through 219, 304(d)(3), 313, 319, 320, 501, 502, 511, and 516(b), Public Law 92-500; Clean Water Act, Public Laws 95-217, 96-483, 97-117, 100-4, and 101-144.

OBJECTIVES:

To assist and serve as an incentive in construction of municipal wastewater treatment works which are required to meet State and/or Federal water quality standards and improve the water quality in the waters of the United States. From fiscal year (FY) 2004 onwards, American Samoa, Commonwealth of Northern Mariana Islands, Guam, Virgin Islands, and the District of Columbia may use up to four percent of the funds appropriated under Title VI for administration and closeout of their construction grant program. Funding Priority - Fiscal Year 2008: Annual funding priorities are determined by the State in which the grantee is located. The project must be given priority by the State water pollution control agency through its project priority system and recommended for funding for approval by the EPA Regional Office. Funding Priority - Fiscal Year 2009: Annual funding priorities are determined by the State in which the grantee is located. The project must be given priority by the State water pollution control agency through its project priority system and recommended for funding for approval by the EPA Regional Office.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

For construction of municipal wastewater treatment works including privately owned individual treatment systems, if a municipality applies on behalf of a number of such systems. Such works may serve all or portions of individual communities, metropolitan areas, or regions. A project may include, but may not be limited to, treatment of industrial wastes. The grantee must require pretreatment of any industrial wastes which would otherwise be detrimental to efficient operation and maintenance, or the grantee must prevent the entry of such waste into the treatment plant. The grantee must have or initiate an acceptable system of user charges. From FY 2004 onwards, American Samoa, Commonwealth of Northern Mariana Islands, Guam, Virgin Islands, and the District of Columbia may use up to four percent of the funds appropriated under Title VI for administration and closeout of their construction grant program. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Any state, local, intrastate agency, or interstate agency, or Federally-Recognized Indian tribal government, having jurisdiction over waste disposal. At present, new funding for the construction grants program exists only in American Samoa,

Commonwealth of Northern Mariana Islands, Guam, Virgin Islands, and the District of Columbia because these territories were exempt by the U.S. Congress from establishing a State Revolving Fund (SRF). Grants are awarded to only these territories from the SRF funds, which are received as Title II grants. Funding requests for construction of wastewater treatment facilities are now to be made to the SRF representative of the State in which the construction is proposed.

Beneficiary Eligibility:

Anyone/General Public. Anyone to be served by a wastewater treatment works assisted by this program.

Credentials/Documentation:

(1) Certified copy of authorization and assurances from the applicant's governing body. Authorization must be current, and must designate by name and title the individual who will represent the applicant; (2) current description of the project; (3) statement explaining exact nature of local, State, and any other Federal funds which may be used to finance the project; (4) applicant assurance (which is acceptable to the Regional Administrator) that any necessary discharge permit has been or will be obtained in accordance with Section 401, of Public Law 92-500, as amended; (5) conformance with requirements set forth in 40 CFR Part 35; Subpart I. Application may be made for grant assistance for building and erection of the treatment works with an allowance for planning and design costs at the time that the grant for construction is made. Costs will be determined in accordance with OMB Circular No. A-87, "Costs Principles for State, Local, and Indian Tribal Governments."

Preapplication Coordination:

This is an existing program which is winding down as the funding is now being provided under State Revolving Fund Program for construction of wastewater treatment facilities. An environmental assessment is required for this program, which may require the development of an environmental impact statement by EPA. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications must be submitted through the State water pollution control agency to the appropriate EPA Regional Office. The standard application forms as furnished by the Federal agency must be used for this program. EPA requires final applications to be made on the Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Projects considered for award must be approved by the State water pollution control agency and also certified by such agency as to priority over any other eligible projects. Award is made following such certification and approval by a Regional Office of EPA. Funds authorized by the Congress are allotted to the States in accordance with The Clean Water Act, as amended. Grant awards within individual States are limited by the funds which have been allotted to such States.

Deadlines:

Contact the appropriate Regional Office for application deadlines.

Range of Approval/Disapproval Time:

Up to 45 days, for EPA Regional Office action after certification by delegated State.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

This program operates under Section 202 of the Clean Water Act. This program has no statutory formula. The Federal grant may be for up to 55 percent for grants awarded after September 30, 1984 or for 75 percent of eligible project costs for grant assistance awarded before October 1, 1984. The States may lower these percentages with the concurrence of the Regional Administrator pursuant to Section 202(a)(1) of the Clean Water Act as amended by Public Law 100-4. For innovative or alternative treatment processes and techniques referred to in section 201(g)(5) the funding shall be 20 per centum greater than the above approved percentage, but in no event greater than 85 per centum of the cost of construction of the project being funded with the grant. The grantee must assure that they will provide funds for all remaining costs. Some States assist grantees in providing all or part of the non-Federal share. The American Samoa, Guam, Northern Marianas Islands, and Virgin Islands will receive the funds allocated up to 100 percent of the cost of construction.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. Grant payments are based on work completed, equipment delivered, or sometimes off-site manufacturing or services rendered. Final grant payment is made after construction is completed and satisfactory final inspection and performance evaluation are carried out.

Reports:

Annual inspection by the State agency for compliance with permit requirements including a written report concerning operation and maintenance of waste treatment facility, and certification one year after beginning operation that the works are meeting project performance standards.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years after project closeout, and if questions still remain, such as those raised in a court appeal, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; STAG.

Obligations:

Title II obligations available for construction grants to District of Columbia, American Samoa, Guam, Northern Marianas Islands, and the Virgin Islands were: FY 07 \$7,994,000; FY 08 \$5,083,000; and FY 09 est. \$7,000,000.

Range and Average of Financial Assistance:

\$100,000 to \$15,000,000/fiscal year; \$7,550,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, four grants were awarded for a total amount of \$5.5 million. In FY 2008, four grants totaling approximately \$8.5 million are expected to be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants for Construction of Treatment Works, Environmental Protection Agency (40 CFR Part 35, Subpart E (and Subpart I, applicable to grants awarded after May 12, 1982); General Grant Regulations and Procedures, EPA (40 CFR Part 31); "Construction Grants 1985 (Guidelines for applicants and grantees)"; and Construction Grants Handbook of Procedures (Guidelines for Project Officers). For these and other publications, please contact EPA's Office of Wastewater Management, Municipal Support Division, MC-4204M, 1200 Pennsylvania Ave., NW, Washington, DC 20460.

Regional or Local Office:

Information regarding appropriate waste treatment requirements and State and Federal assistance may be obtained from the State water pollution control agency. Individuals may also communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Also, contact your State agency concerning possible assistance under a State revolving fund (Title VI) of the 1987 Amendments of the Clean Water Act.

Headquarters Office:

Kellie Kubena, Acting Branch Chief, Municipal Assistance Branch, MC-4204M, Municipal Support Division, Office of Wastewater Management, Environmental Protection Agency, 1200 Pennsylvania Ave., N.W., Washington, DC 20460. Telephone: (202) 566-0448, Fax: (202) 501-2396, E-mail: kubena.kellie@epa.gov.

Web Site Address:

<http://www.epa.gov/owm/cwfinance/index.htm>.

RELATED PROGRAMS:

66.458, Capitalization Grants for Clean Water State Revolving Funds.

EXAMPLES OF FUNDED PROJECTS:

New and expanded wastewater treatment plants, infiltration-inflow correction, new interceptors, new collector sewers, and correction of combined sewer overflows (CSO) projects.

CRITERIA FOR SELECTING PROPOSALS:

Annual funding priorities are determined by the State in which the grantee is located. The project must be given priority by the State water pollution control agency through its project priority system and recommended for funding for approval by the EPA Regional Office.

66.419 WATER POLLUTION CONTROL STATE, INTERSTATE, AND TRIBAL PROGRAM SUPPORT

(106 Grants)

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act (CWA), Section 106, as amended, Public Law 95-217, 33 U.S.C. 1251 et seq.

OBJECTIVES:

To assist States (including territories, the District of Columbia, and Indian Tribes qualified under CWA Section 518(e)), and interstate agencies in establishing and maintaining adequate measures for prevention and control of surface and ground water pollution from both point and nonpoint sources. Funding Priority - Fiscal Year 2008: States and Tribes will continue to focus on fulfilling their basic responsibilities under the CWA and, based upon a shared understanding with EPA of the environmental progress expected, will identify program activities that will best support attaining targeted environmental improvements. State priority efforts will include: (1) adoption of new comprehensive monitoring strategies and the development of statistically-valid monitoring networks to target activities and determine water quality status and trends; (2) support of cost-effective water quality management decisions (including total maximum daily loads (TMDLs) and watershed plans designed to meet water quality standards); and (3) implementing concentrated animal feeding operations (CAFOs) and storm water permitting programs. States and Tribes will work toward adoption of nutrient criteria for fresh waters, adoption of the 1986 bacteria criteria, and adoption of fish tissue criteria for mercury in their water quality standards. States will support EPA in implementing the "Permitting for Environmental Results Strategy (PERS)," including: (1) developing and strengthening systems to ensure the integrity of the program; (2) achieving and measuring environmental results; and (3) incorporating efficiencies in permitting program operations. States will also conduct source water protection actions to protect both ground water and surface waters used for drinking water. Tribes will continue to conduct watershed assessments and will maintain and improve their capacity to implement water quality programs through monitoring, assessments, planning, and standards development. Funding Priority - Fiscal Year 2009: States and Tribes will continue to focus on fulfilling their basic responsibilities under the CWA and, based upon a shared understanding with EPA of the environmental progress expected, will identify program activities that will best support attaining targeted environmental improvements. State priority efforts will include: (1) adoption of new comprehensive monitoring strategies and the development of statistically-valid monitoring networks to target activities and determine water quality status and trends; (2) support of cost-effective water quality management decisions (including total maximum daily loads (TMDLs) and watershed plans designed to meet water quality standards); and (3) implementing concentrated animal feeding operations (CAFOs) and storm water permitting programs. States and Tribes will work toward adoption of nutrient criteria for fresh waters, adoption of the 1986 bacteria criteria, and adoption of fish tissue criteria for mercury in their water quality standards. States will support EPA in implementing the "Permitting for Environmental Results Strategy (PERS)," including: (1) developing and strengthening systems to ensure the integrity of the program; (2) achieving and measuring environmental results; and (3) incorporating efficiencies in permitting program operations. States will also conduct source water protection actions to protect both ground water and surface waters used for drinking water. Tribes will continue to conduct watershed assessments and will maintain and improve their capacity to implement water quality programs through monitoring, assessments, planning, and standards development.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Water pollution control grants are intended to provide continuing support for the prevention and abatement of surface and ground water pollution from point and nonpoint sources. Continuing and recurrent water quality management program activities funded include water quality planning and standards; monitoring and assessments; inspections and enforcement; permitting; training; advice and assistance to local agencies; and public information. Funds cannot be used for construction, operation, or maintenance of waste treatment plants, nor can they be used for costs financed by other Federal grants. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Eligible entities include State and interstate water pollution control agencies as defined in the Federal Water Pollution Control Act, and Indian tribes qualified under CWA Section 518(e). Agencies making application for funds must annually submit their pollution-control program to the appropriate EPA Regional Administrator for approval. Requirements of the program are based on Section 106 of the Act, and 40 CFR Parts 31, 35, and 130. For certain competitive

funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and interstate water pollution control agencies and Indian tribes qualified under Section 518(e) of the Clean Water Act (CWA).

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, tribal, and local governments. State and interstate agencies and Indian tribes qualified under Section 518(e) of the Clean Water Act must show compliance with 40 CFR 35, Subpart A and Subpart B.

Preapplication Coordination:

Informal meetings are held between regional office, State, territorial, and Indian tribe applicant agencies concerning program preparation. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. The grant agreement must adequately reflect the priorities identified in the State/EPA Agreement. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The grants application must be submitted to the appropriate EPA Regional Office, Grants Administration Branch (see Appendix IV of the Catalog). The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Applications are reviewed by the appropriate EPA Regional Office and if approved, are signed by the Regional Administrator. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Application forms must be submitted according to dates established by the Regional Administrator. Contact the Regional Office for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

The regulation that implements the formula can be found at CFR 35.162. As stated in the Clean Water Act, appropriated funds are allotted among the State and Interstate Water Pollution Control Agencies on the basis of the extent of the pollution problems in the respective States. The six components in the revised Section 106 State allotment formula selected to reflect the extent of the water pollution control problems in the United States are: (1) surface water area; (2) ground water use; (3) water quality impairment; (4) point sources; (5) non-point sources; and (6) population of urbanized areas. The set-aside for Interstate Water Pollution Control Agencies is 2.6 percent of the total State monies appropriated under Section 106. The interstate allotment formula consists of two parts: (1) a funding floor, and (2) a variable portion. To receive a Section 106 Water Pollution Control grant, a State or interstate agency must expend annually for recurrent Section 106 program expenditures an amount of non-federal funds at least equal to expenditures during the fiscal year ending June 30, 1971. A portion of the annual Section 106 appropriation is set-aside for eligible Indian Tribes qualified under CWA Section 518(e), and an allocation formula is used to distribute these funds to the EPA Regions. The Tribal allocation formula

consists of both a base portion (which is currently equal to \$65,000 times the total number of Tribes with Treatment as a State (TAS) approval for Section 106 grants in each EPA Region), plus a weighted variable portion. The variable portion is based upon the total tribal water area (50 percent), land area (25 percent), and reservation population (25 percent) in each EPA Region. Tribal work plan costs include the costs of planning, developing, establishing, improving, and maintaining a water pollution control program. The Regional Administrator may provide up to 95 percent of the approved work plan costs for Tribes or intertribal consortia establishing a Section 106 water pollution control program. The Regional Administrator may increase the maximum Federal share if the tribe or intertribal consortium can demonstrate in writing to the satisfaction of the Regional Administrator that fiscal circumstances within the Tribe or within each Tribe that is a member of an intertribal consortium are constrained to such an extent that fulfilling the 5 percent match requirement would impose undue hardship. Since 2005, additional funds have been allocated for the purposes of strengthening State and Territorial water quality monitoring programs.

Length and Time Phasing of Assistance:

One year; payments are approved quarterly and disbursed monthly.

Reports:

Annual expenditure reports; semiannual program evaluations; revised budget following reallocation of funds; and other reports as Grant Agreement requires.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

FY 07 \$211,210,800; FY 08 est. 218,206,000; and FY 09 est. \$221,664,000.

Range and Average of Financial Assistance:

\$10,000 to \$11,215,000/fiscal year; \$5,610,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

In each fiscal year, grants are awarded to encourage and support the conduct of Water Pollution Control programs by the 50 States, 6 Territories, the District of Columbia, 6 Interstate Commissions, and Indian Tribes qualifying under CWA Section 518(e). In FY 07, 180 grant awards were made. FY 07 funds were used to continue to support State and Tribes' efforts to fulfill their basic responsibilities under the CWA and identify program activities that best support attaining targeted environmental improvements. Accomplishments included: the adoption of new comprehensive monitoring strategies and the development of statistically-valid monitoring networks to target activities and determine water quality status and trends; the support of cost-effective water quality management decisions (including total maximum daily loads (TMDLs)) and the development of watershed plans designed to meeting water quality standards; and the implementation of concentrated animal feeding operations (CAFOs) and storm water permitting programs. EPA, States, interstates, and Tribes continue to work together to define tailored strategies that align programs to deliver results on a watershed basis. At a minimum, these Regional watershed based strategies give priority to: (1) fostering the development of watershed plans under State nonpoint source programs; (2) assuring that high priority permits are current; (3) tracking permitting for environmental results program integrity follow-up actions; (4) developing TMDLs for impaired waters; and (5) organizing restoration plans on a waterbody basis. In FY 08, an anticipated 180-200 grant awards will be made. FY 08 funds will continue these efforts, and States and Tribes will work toward adoption of nutrient criteria for fresh waters, adoption of the 1986 bacteria criteria, and adoption of fish tissue criteria for mercury in their water quality standards. States will also conduct source water protection actions to protect both ground water and surface waters used for drinking water.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 31); Handbook of Procedures, State and Interstate Program Grants; Environmental Protection Agency, State and Local Assistance Programs, Grants for Water Quality Planning, Management and Implementation (40 CFR Part 130 and 40 CFR Part 35 Subpart A and B); Office of Water National Program

Guidance for FY 2005.

Regional or Local Office:

Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Robyn Delchanty, Section 106 State and Interstate Program Coordinator, Office of Wastewater Management (4201M), Environmental Protection Agency, EPA East Building, 1201 Constitution Avenue, N.W. Washington, DC 20460. Telephone: (202) 564-3880; Fax: (202) 501-2399; E-mail: delchanty.robyn@epa.gov.

Web Site Address:

<http://www.epa.gov/owm/cwfinance/pollutioncontrol.htm>.

RELATED PROGRAMS:

66.454, Water Quality Management Planning; 66.600, Environmental Protection Consolidated Grants for the Insular Areas - Program Support.

EXAMPLES OF FUNDED PROJECTS:

Grants are made to States, interstate agencies, and tribes qualified under CWA Section 518(e), for the administration of State and tribal programs for the prevention, reduction, and control of water pollution. Activities funded include administration of State and tribal water quality planning programs; water quality standards programs; water quality monitoring and assessments; National Pollutant Discharge and Elimination System (NPDES) permitting programs; compliance and enforcement programs, and ground water protection programs. More specifically, adoption and implementation of new comprehensive monitoring strategies, as stated in the March 2003 Elements of a State Water Monitoring and Assessment Guidance, and the development of statistically valid monitoring networks to help target activities and determine water quality status and trends; enhancement of the quality and timeliness of state/tribal water quality standards triennial reviews so that standards are based on sound science and EPA guidance; adoption of nutrient criteria for fresh waters, adoption of the 1986 bacteria criteria, and adoption of fish tissue criteria for mercury into state water quality standards; effective management of the permit program through State participation in implementing EPA's "Permitting for Environmental Results Strategy (PERS)," focusing on (1) developing and strengthening systems to ensure the integrity of the program; (2) achieving and measuring environmental results; and (3) incorporating efficiencies in permitting program operations; implementation of program enhancements identified in the FY 2004 comprehensive assessment of NPDES program integrity; and State storm water and concentrated animal feeding operations (CAFO) permitting programs, wet weather issues and combined sewer overflows (CSOs).

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Section 106 funds are awarded to State, territory, interstate, and Indian tribal agencies in accordance with overall water quality management needs, the requirements of programs covered by these agreements, and EPA Program guidance.

66.424 SURVEYS, STUDIES, INVESTIGATIONS, DEMONSTRATIONS, AND TRAINING GRANTS - SECTION 1442 OF THE SAFE DRINKING WATER ACT

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Safe Drinking Water Act (SDWA), Section 1442, as amended; Public Law 104-182; National Environmental Policy Act (NEPA), Section 102(2)(F).

OBJECTIVES:

(1) To support surveys, studies, investigations, demonstrations, and training associated with source water and drinking water; (2) To develop and expand capabilities of programs to carry out the purposes of the Safe Drinking Water Act (SDWA). Funding Priority - Fiscal Year 2008: Funding priorities include providing assistance for the following: (1) research on the occurrence of contaminants in drinking water; (2) source water protection and treatment methods; measures to protect water quality in the distribution system and at the tap; (3) tribal source water protection program support; tribal operator certification program support; (4) tribal capacity development program support; and (5) assistance to tribes in administration of the Drinking Water Infrastructure Grants to identify health effects associated with drinking water contaminants. Funding priorities also include providing assistance for the following training and technical assistance activities: (1) training personnel who manage or operate public water systems; (2) training personnel for occupations involving the public health aspects of providing safe drinking water; (3) training to develop and expand the capabilities of states and municipalities to carry out the SDWA; and (4) technical assistance to enable small public water systems to meet the requirements of SDWA. Funding Priority - Fiscal Year 2009: Funding priorities include providing assistance for the following: (1) research on the occurrence of contaminants in drinking water; (2) source water protection and treatment methods; measures to protect water quality in the distribution system and at the tap; (3) tribal source water protection program support; tribal operator certification program support; (4) tribal capacity development program support;

and (5) assistance to tribes in administration of the Drinking Water Infrastructure Grants to identify health effects associated with drinking water contaminants. Funding priorities also include providing assistance for the following training and technical assistance activities: (1) training personnel who manage or operate public water systems; (2) training personnel for occupations involving the public health aspects of providing safe drinking water; (3) training to develop and expand the capabilities of states and municipalities to carry out the SDWA; and (4) technical assistance to enable small public water systems to meet the requirements of SDWA.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The funds will support research, investigations, studies, demonstrations, and training associated with source water and drinking water. Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved scopes of work, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Assistance under this program is generally available to States, territories, Indian Tribes, and possessions of the U.S. (including the District of Columbia); public and private universities and colleges; hospitals; laboratories; public or private nonprofit institutions; and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions and individuals.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for profit makers. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the provisions of OMB Circulars No. A-102 and No. A-110.

Application Procedure:

The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, announcements for competitive funding opportunities will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in the announcement for the competitive funding opportunity

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for water surveys, studies, demonstrations, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA may negotiate the project period with each applicant based on project requirements. The term of the grant shall be determined at the time of grant award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; 68-0107-0-1-304; EPM and S&T.

Obligations:

FY 07 \$1,383,418; FY 08 \$9,098,778; and FY 09 est. \$1,000,000.

Range and Average of Financial Assistance:

\$10,000 to \$600,000/fiscal year; \$120,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Competitions are held throughout the year at Headquarters and in the Regions based on available funds and Agency priorities. Competitive announcements typically include the number of anticipated awards. Seven grants were awarded in FY 07 and four grants were awarded in FY 08 in support of drinking water and source water initiatives associated with developing and expanding capabilities of programs to carry out the purposes of the SDWA. In FY 09, it is anticipated that additional support for drinking water and source water initiatives will be provided.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Training grants and cooperative agreements are subject to EPA's Regulations for Training Assistance (40 CFR Part 45).

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, Mailcode (3903R), Washington, DC 20460. For program information contact: Office of Ground Water and Drinking Water, Joe

A. Jackson, Telephone: (202) 564-3817; Fax: (202) 564-3754; E-mail: jackson.joe-a@epa.gov. Immediate Office - Kimberley Davis, Telephone: (202) 564-4633; Fax: (202) 564-0348; E-mail: roy-davis.kimberley@epa.gov.

Web Site Address:

<http://www.epa.gov/safewater>.

RELATED PROGRAMS:

66.034, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.436, Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.716, Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies; 66.510, Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development; 66.610, Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator.

EXAMPLES OF FUNDED PROJECTS:

Assisting states in effective implementation of SDWA requirements; assistance to states in ground water protection and conservation; developing utility planning tools; capacity development support; and source water protection through prevention.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Competitive applications and proposals will be selected in accordance with announced criteria.

66.432 STATE PUBLIC WATER SYSTEM SUPERVISION

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Public Health Service Act, as amended; Safe Drinking Water Act of 1974, Public Law 93-523, as amended; Public Law 95-190; Public Law 96-16; Public Law 96-502; Safe Drinking Water Act Amendments of 1986; Safe Drinking Water Act Amendments of 1996, Public Law 104-182.

OBJECTIVES:

The objective of these grants is to provide financial assistance to eligible States and Tribes (those that have Primary Enforcement Responsibility for the Public Water System Supervision Program, or are developing such a program), for the conduct of their Public Water Systems Supervision (PWSS) Program. In cases where a State or a Tribe do not have, or are not developing, a Primary Enforcement Responsibility program, EPA is authorized to use funds that would have otherwise been made available to the State or the Tribe to assist it in direct implementation of the PWSS program. The fundamental goal of the PWSS Program, and the grants, is to ensure that water systems comply with the National Primary Drinking Water Regulations listed in 40 CFR 141 (http://www.access.gpo.gov/nara/cfr/waisidx_02/40cfr141_02.html). States, territories, and Indian Tribes that receive PWSS grants are to use them to ensure that drinking water systems, of all types, and of all sizes: (1) that are currently in compliance with the drinking water regulations, remain in compliance, (2) that are not currently in compliance, achieve compliance, and (3) are preparing to comply with any new drinking water regulation that will be taking effect in FY 06. Funding Priority - Fiscal Year 2008: The priority for the PWSS grants is to ensure that the population served by community water systems will receive drinking water that meets all applicable health-based drinking water standards. The primary components of a State or Tribal PWSS program are: the adoption of State drinking water regulations as stringent as the Federal regulations; the development and maintenance of an inventory of public water systems throughout the State; the development and maintenance of a database housing compliance information on public water systems; the conduct of sanitary surveys on the public water systems; the review of public water system plans and specifications; the provision of technical assistance to system managers and operators; a program to ensure that the public water systems keep their consumers informed about the quality of the water they are providing; the certification of laboratories that are allowed to perform the analysis of drinking water that will be used to determine compliance with the drinking water regulations; and the conduct of an enforcement program to ensure that the public water systems comply with all of the requirements. Funding Priority - Fiscal Year 2009: The priority for the PWSS grants is to ensure that the population served by community water systems will receive drinking water that meets all applicable health-based drinking water standards. The primary components of a State or Tribal PWSS program are: the adoption of State drinking water regulations as stringent as the Federal regulations; the development and maintenance of an inventory of public water systems throughout the State; the development and maintenance of a database housing compliance information on public water systems; the conduct of sanitary surveys on the public water systems; the review of public water system plans and specifications; the provision of technical assistance to system managers and operators; a program to ensure that the public water systems keep their consumers informed about the quality of the water they are providing; the certification of laboratories that are

allowed to perform the analysis of drinking water that will be used to determine compliance with the drinking water regulations; and the conduct of an enforcement program to ensure that the public water systems comply with all of the requirements.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

The funds are to be used to develop and implement a public water system supervision program adequate to enforce the requirements of the Safe Drinking Water Act. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Eligibility is limited to the governments of the fifty States; the District of Columbia; the Commonwealth of Puerto Rico; the Northern Mariana Islands; the Virgin Islands; Guam; American Samoa; and federally recognized Tribes, that have either assumed primary enforcement responsibility for the PWSS Program or that want to develop a program that will allow them to seek delegation for a PWSS Program. EPA may also use funds allotted for a State or Tribal program, if the State or Tribe does not have, or is not developing, primary enforcement responsibility. Eligibility is also limited to a single agency within each State, Territory, or Tribe - an agency that has been designated by the jurisdiction's Governor or Chief Executive Officer. Primary Enforcement Responsibility for the Public Water System Supervision Program is provided for in 40 CFR 142 (http://www.access.gpo.gov/nara/cfr/waisidx_02/40cfr142_02.html).

Beneficiary Eligibility:

The beneficiaries are the agencies within the fifty States; the District of Columbia; the Commonwealth of Puerto Rico; the Northern Mariana Islands; the Virgin Islands; Guam; American Samoa; and federally recognized Tribes, that have been designated by the jurisdiction's Governor or Chief Executive Officer as being responsible for the supervision of water supplies within the State, Territory, or Tribe.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local, and Tribal Governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The grant application, including a Program Plan, must be submitted to the appropriate EPA Regional Administrator. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm.

Award Procedure:

The grant application and Program Plan are reviewed by the appropriate EPA Regional Office, and if approved, are signed by the Regional Administrator, who then awards the funds to the recipient.

Deadlines:

Applications must be submitted 60 days before the beginning of the agreed upon budget period.

Range of Approval/Disapproval Time:

Maximum of 60 days.

Appeals:

Disputes will be resolved under 40 CFR Parts 30.63 or 40 CFR Part 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

State allotments are computed through use of a formula, as prescribed in Public Law 93-523 Section 1443 (a)(3) and (a)(4), 40 CFR 35.172. Funds appropriated each year are allotted on the basis of: (a) State, Territory, or Tribal population (20 percent) as contained in the most currently available (published) U.S. Census Bureau data; (b) State, Territory, or Tribal geographical area (10 percent) as contained in the most currently available (published) U.S. Census Bureau data; (c) the number of active community and non-transient non-community water systems being regulated by the State, Territory, or Tribe that are on record

in EPA's data system (56 percent); and (d) the number of active transient non-community water systems being regulated by the State, Territory, or Tribe that are on record in EPA's data system (14 percent). All States, the District of Columbia, and Puerto Rico are eligible for a minimum of \$334,500 (which is equal to 1 percent of the FY 1989 PWSS Program appropriation). The American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands are eligible for a minimum of \$111,500 (which is equal to 1/3 percent of the FY 1989 PWSS Program appropriation). Up to seven percent of the amount appropriated for the PWSS Program is set-aside to support Public Water System Supervision programs on Indian lands (including grants to Tribes) in accordance with EPA Grant Regulations. The formula factors of population and land area are statutory. The other factors, and all of the weightings, are Agency imposed. Federal assistance is limited to 75 percent of total, eligible program costs.

Length and Time Phasing of Assistance:

Annual grants. There is no restriction placed upon the time permitted to spend the award. Awards are released through letters of credit or reimbursement

Reports:

Annual onsite evaluation, and final financial and progress reports, are required, as set forth in the grant agreement.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report or until any audit exceptions have been resolved.

Account Identification:

68-0103-0-1-304; STAG.

Obligations:

FY 07 \$75,277,707; FY 08 est. \$97,554,000; and FY 09 est. \$97,595,000.

Range and Average of Financial Assistance:

\$123,000 to \$6,055,900/fiscal year; \$1,642,027/fiscal year.

PROGRAM ACCOMPLISHMENTS:

During FY 07 and FY 08, 30 States, one territory, and four Indian Tribe were awarded primacy or primacy development grants under the PWSS Program. Similar award statistics are anticipated for FY 08. The majority of the grant funds were used for State, territorial, or Tribal governmental salaries to allow the governments to: develop and maintain State drinking water regulations; develop and maintain an inventory of public water systems throughout the State; develop and maintain a database housing compliance information on public water systems; conduct sanitary surveys on the public water systems; review public water system plans and specifications to ensure systems meet State design standards; provide technical assistance and training to water system managers and operators to ensure they are knowledgeable of the State requirements and best treatment and operation practices; conduct of a program to ensure that the public water systems keep their consumers informed about the quality of the water they are providing; inspect and certify laboratories that are allowed to perform the analysis of drinking water that will be used to determine compliance with the drinking water regulations; and conduct an enforcement program to ensure that the public water systems comply with all of the requirements. States, territories, and Tribes also use the grant funds to upgrade and maintain the data systems that are used to store and track information on public water systems. In FY 09, grants will continue to focus on supporting projects to achieve the objective of protecting human health by reducing exposure to contaminants in drinking water, including protecting source waters.

REGULATIONS, GUIDELINES, AND LITERATURE:

Financial Assistance for Continuing Environmental Programs (40 CFR 35, Subpart A); General Grant Regulations and Procedures (40 CFR Part 30).

Regional or Local Office:

Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Renee Morris, Office of Ground Water and Drinking Water (4606M), Office of Water, Environmental Protection Agency, Washington, DC 20460. Telephone: (202) 564-8037; Fax: (202) 564-3755; E-mail: morris.renee@epa.gov; Alternate: Will Bowman, Office of Ground Water and Drinking Water (4604M), Office of Water, Environmental Protection Agency, Washington, DC 20460. Telephone: (202) 564-3782; Fax: (202) 564-3755; E-mail: bowman.will@epa.gov.

Web Site Address:

<http://www.epa.gov/safewater/pws/pwsgrant.html>.

RELATED PROGRAMS:

66.433, State Underground Water Source Protection; 66.468, Capitalization Grants for Drinking Water State Revolving Funds.

EXAMPLES OF FUNDED PROJECTS:

Funding has been awarded to each of the 49 States, five territories, and one Indian Tribe that has primary enforcement responsibility for the PWSS Program. The grants were used by these governments, primarily for governmental salaries to: develop State drinking water regulations, inventory drinking water systems, manage information on public water systems, ensure public participation regarding drinking water systems, provide technical assistance to public water systems, and enforce drinking water quality standards.

CRITERIA FOR SELECTING PROPOSALS:

(1) Adequacy of State's annual program plan for the adoption and enforcement of drinking water regulations which are no less stringent than the national primary drinking regulations. (2) Designation of a State agency to receive the grant and be responsible for conducting the public water system supervision program. (3) Compliance with requirements of 40 CFR 30 and 40 CFR 35.

66.433 STATE UNDERGROUND WATER SOURCE PROTECTION

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Safe Drinking Water Act of 1974, Public Law 93-523, as amended; Public Law 96-63, Public Law 96-502, as amended; Safe Drinking Water Act Amendments of 1986, Public Law 99-339; Safe Drinking Water Act Amendments of 1996, Public Law 104-182, 42 U.S.C. 300.

OBJECTIVES:

To foster development and implementation of underground injection control (UIC) programs under the Safe Drinking Water Act (SDWA). The objective of the grant program is to provide financial assistance, to eligible States and Tribes, for the implementation of their UIC Program. The fundamental goal of the program, and the grants, is to ensure that underground sources of drinking water are protected from endangering injection activities. Funding Priority - Fiscal Year 2008: The program will fund the major components of State UIC programs which include: the development and maintenance of UIC regulations; the development and maintenance of inventories of injection systems; the development and maintenance of databases housing compliance information on underground injection well activities; the implementation of UIC permitting activities; and the implementation of enforcement programs to ensure that underground sources of drinking water are protected from endangering injection activities. Funding Priority - Fiscal Year 2009: The program will fund the major components of State UIC programs which include: the development and maintenance of UIC regulations; the development and maintenance of inventories of injection systems; the development and maintenance of databases housing compliance information on underground injection well activities; the implementation of UIC permitting activities; and the implementation of enforcement programs to ensure that underground sources of drinking water are protected from endangering injection activities.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

The funds are to be used to develop and implement an underground injection control program adequate to enforce the requirements of the Safe Drinking Water Act. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

States and Indian Tribes that qualify as Programs that have delegated primary Enforcement Authority pursuant to SDWA amendments of 1986.

Beneficiary Eligibility:

States and Indian Tribes.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Applications are subject to State and area wide clearinghouses review pursuant to procedures in the proposed 40 CFR Part 19. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The grant application must be submitted to the appropriate EPA Regional Administrator. This program is excluded from coverage under OMB Circular

No. A-110. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm.

Award Procedure:

Applications are reviewed by the appropriate EPA Regional Office and, if approved, the funds are awarded by the Regional Administrator.

Deadlines:

State program plans should be submitted to the appropriate Regional Administrator by the deadline specified (typically June or July). Contact the regional office for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

This program operates under a formula which uses criteria set forth in the SDWA, Section 1443(b)(4). Except for Indian Tribes, Federal assistance is limited to 75 percent of eligible costs, not to exceed the State allotment. The statistical factors used for allocation include: (1) population of State, based on the most recent Census Bureau data; (2) State land area, based on the most recent Census Bureau data; and (3) injection practices by State, based on data reported by States and maintained by EPA as of the most recent fiscal year prior to allocation development. For Indian Tribes, Federal assistance is limited to 90 percent of eligible costs.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award.

Reports:

Annual onsite evaluation (or, if travel funds do not permit, annual phone interview or self-assessment); final financial and progress report as set forth in the grant agreement.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents which support entries on accounting records and substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report, or until any audit exceptions have been resolved.

Account Identification:

68-0103-0-1-304; STAG.

Obligations:

FY 07 \$10,838,000; FY 08 \$10,727,000; and FY 09 est. \$10,676,000.

Range and Average of Financial Assistance:

\$34,000 to \$958,000/fiscal year; \$176,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

This program provides funding support to states, territories, and tribes that have delegated primary enforcement authority pursuant to the SDWA amendments of 1986. In FY 07 and FY 08, 43 States, Territories, and Tribes had primacy for 36 full and seven partial programs. The grant funds are used by the States, Territories, and Tribes to support operation of their ongoing UIC programs including: the development and maintenance of UIC regulations; the development and maintenance of inventories of injection systems; the development and maintenance of databases housing compliance information on underground injection wells activities; the implementation of UIC permitting activities; and the implementation of enforcement programs to ensure that underground sources of drinking water are protected from endangering injection activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants for State Underground Water Source Protection Programs (40 CFR 35); General Grant Regulations and Procedures (40 CFR Part 30).

Regional or Local Office:

Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Ann Codrington, Prevention Branch, Drinking Water Protection Division, Office of Ground Water and Drinking Water, Office of Water, (4606M) Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Washington, DC 20460. Telephone: (202) 564-4688; Fax: (202) 564-3756; E-mail: codrington.ann@epa.gov.

Web Site Address:

<http://www.epa.gov/OGWDW/Safewater/UIC.html>

RELATED PROGRAMS:

66.432, State Public Water System Supervision.

EXAMPLES OF FUNDED PROJECTS:

Grant funds are used by the States for such purposes as State regulation review, program plan developments, data management, inventory of injection facilities, identification of aquifers, public participation, technical assistance and review, permit approval and enforcement, and surveillance and investigation.

CRITERIA FOR SELECTING PROPOSALS:

(1) Adequacy of State's annual program plan for an enforceable underground injection control program; (2) compliance with requirements of 40 CFR 30 and 35, and Executive Order No. 12367 (Revised); (3) designation of a State agency to receive the grant and be responsible for coordinating the underground water source protection program; and (4) evidence that Federal grant funds will not supplant the State's nonfederal funding committed to underground water source protection efforts. For Indian Tribes: (1) demonstration of Tribal adequacy; and (2) demonstration of the tribe's intent, adequate legal authority, and resources to implement specific program elements outlined in 40 CFR 144, 145, 146, and 148.

66.436 SURVEYS, STUDIES, INVESTIGATIONS, DEMONSTRATIONS, AND TRAINING GRANTS AND COOPERATIVE AGREEMENTS - SECTION 104(B)(3) OF THE CLEAN WATER ACT

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), as amended; Public law 95-217, 33 U.S.C. 1251 et seq; National Environmental Policy Act (NEPA), Section 102(2)(F).

OBJECTIVES:

To support the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, reduction, and elimination of water pollution. Funding Priority - Fiscal Year 2008: Funding priorities include, but are not limited to, water quality improvement, watersheds management, aquatic ecosystem restoration, pollutant trading, fish contamination and consumption, nonpoint source management, wetlands protection, coastal and estuarine management, treatment technologies, water efficiency, and environmental management systems. Funding Priority - Fiscal Year 2009: Funding priorities include, but are not limited to, water quality improvement, watersheds management, aquatic ecosystem restoration, pollutant trading, fish contamination and consumption, nonpoint source management, wetlands protection, coastal and estuarine management, treatment technologies, water efficiency, and environmental management systems.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs in approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. The funds will support conducting and promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, reduction, and elimination of water pollution. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S. (including the District of Columbia); public and private universities and colleges; hospitals; laboratories; public or private nonprofit institutions; intertribal consortia; and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. An intertribal consortium must meet the definition of eligibility in the Environmental Program

Grants for Tribes Final Rule, at 40 CFR 35.504 (66 FR 3782, January 16, 2001) (FRL-6929-5) and be a non-profit organization within the meaning of OMB Circular A-122. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, and individuals.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting surveys, studies, and investigations based on competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of success of proposed projects. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadlines will be specified in the competitive announcement

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA may negotiate the project period with each applicant based on project requirements. The term of the grant shall be determined at the time of grant award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each

year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; 68-0107-0-1-304; EPM and S&T.

Obligations:

FY 07 \$23,584,634; FY 08 \$1,178,402; and FY 09 est. \$15,000,000.

Range and Average of Financial Assistance:

\$10,000 to \$3,552,460/fiscal year; \$1,781,230/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Competitions are held throughout the year at Headquarters and in the Regions based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will typically include the number of anticipated awards. In FY 07 and FY 08, EPA funded 194 projects that supported water quality improvement, watershed management, aquatic ecosystem restoration, fish contamination and consumption, nonpoint source management, wetlands protection, coastal and estuarine management, treatment technologies, and environmental management systems. In FY 09, EPA will continue support projects to protect and improve water quality on a watershed basis.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, investigations, demonstrations, and training grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreement Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460. For program information contact: Office of Wetlands, Oceans and Watersheds, Connie Cahanap, Telephone: (202) 566-1382; Fax: (202) 566-1147; E-mail: cahanap.concepcion@epa.gov. Office of Groundwater and Drinking Water, Joe Jackson, Telephone: (202) 564-3817; Fax: (202) 564-3754; E-mail: jackson.joe-a@epa.gov; Office of Wastewater Management, Barry Benroth, Telephone: (202) 564-0672; Fax: (202) 501-2397; E-mail: benroth.barry@epa.gov. Office of Science and Technology, Agnes Ortiz, Telephone: (202) 566-1303; Fax: (202) 566-0441; E-mail: ortiz.agnes@epa.gov. Immediate Office, Kimberley Davis, Telephone: (202) 564-4633; Fax: (202) 564-0348; E-mail: roy-davis.kimberley@epa.gov or Tracey Miller, Telephone: (202) 564-0783; Fax: (202) 564-0348; E-mail: miller.tracey@epa.gov.

Web Site Address:

www.epa.gov/ow.

RELATED PROGRAMS:

66.424, Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act.

EXAMPLES OF FUNDED PROJECTS:

Development of innovative watershed education tools, development of water quality standards, development of wetland protection and restoration guides for local communities, national demonstration project for watershed management, and environmental management systems.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Competitive applications and proposals will be selected in accordance with announced criteria.

66.437 LONG ISLAND SOUND PROGRAM

FEDERAL AGENCY:

AUTHORIZATION:

Clean Water Act, Section 119(d).

OBJECTIVES:

To (1) implement the Long Island Sound Study (LISS) Comprehensive Conservation and Management Plan (CCMP) and (2) assist the states of Connecticut and New York, and other public or nonprofit entities in conducting research, experiments, investigations, training, demonstration, surveys, or studies related to reducing pollution and improving the quality of the environment to sustain living resources in Long Island Sound. Funding Priority - Fiscal Year 2008: EPA's funding priority is to award grants that support the Long Island Sound Study (LISS) National Estuary Program. Section 119(d) of the Clean Water Act as amended by the Long Island Sound Restoration Act of 2000, Public Law 106-457 authorizes the Administrator to award grants to eligible entities to implement the LISS Comprehensive Conservation and Management Plan (CCMP), with special emphasis on implementation, research and planning, enforcement, and citizen involvement and education projects. The LISS management conference, with participants from state, interstate and regional environmental agencies, local governments and other public or nonprofit private agencies, research institutions and individuals, develops programs to protect and restore Long Island Sound. The LISS program characterizes the problems in the estuary, determines relationships between pollutant loading and impacts on living resources, maintains a comprehensive plan recommending solutions to priority problems, and implements actions addressing priority problem areas. Activities in FY 08 will focus on six areas identified in the plan as requiring special attention, including: the impact of hypoxia on aquatic life, effects of toxic contamination, pathogen contamination and floatable debris pollution on the living resources and environment of the Sound, the impact of habitat degradation on the health of living resources, land use and development policies and their effect on the ecology, and scientific research in support of these areas as appropriate. Funding Priority - Fiscal Year 2009: EPA's funding priority is to award grants that support the Long Island Sound Study (LISS) National Estuary Program. Section 119(d) of the Clean Water Act as amended by the Long Island Sound Restoration Act of 2000, Public Law 106-457 authorizes the Administrator to award grants to eligible entities to implement the LISS Comprehensive Conservation and Management Plan (CCMP), with special emphasis on implementation, research and planning, enforcement, and citizen involvement and education projects. The LISS management conference, with participants from state, interstate and regional environmental agencies, local governments and other public or nonprofit private agencies, research institutions and individuals, develops programs to protect and restore Long Island Sound. The LISS program characterizes the problems in the estuary, determines relationships between pollutant loading and impacts on living resources, maintains a comprehensive plan recommending solutions to priority problems, and implements actions addressing priority problem areas. Activities in FY 09 will focus on six areas identified in the plan as requiring special attention, including: the impact of hypoxia on aquatic life, effects of toxic contamination, pathogen contamination and floatable debris pollution on the living resources and environment of the Sound, the impact of habitat degradation on the health of living resources, land use and development policies and their effect on the ecology, and scientific research in support of these areas as appropriate.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used to implement elements of the LISS CCMP. Special emphasis shall be given to CCMP implementation projects, research and planning, enforcement, and citizen involvement and education projects. The Administrator may give priority to a distressed community as defined in 33 USC 1269(e)(1). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

State, interstate, and regional water pollution control agencies, and other public or nonprofit private agencies, institutions, and organizations are eligible. Private profit-making entities, and individuals, are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Assistance under this program generally benefits State, interstate, and regional water pollution control agencies and other public or nonprofit private agencies, institutions, and organizations. The general public and Long Island Sound user groups such as swimmers, beach goers, sport and commercial fishermen, boaters, and shellfishes, benefit from the results of the program through cleaner water, restored and protected habitat, and preserved and enhanced ecosystems.

Credentials/Documentation:

Nonprofit private agencies, institutions and organizations may be required to provide documentation of their organizational status upon application.

Preapplication Coordination:

The EPA Long Island Sound Office (LISO) issues annual guidance on the form and content of pre-proposals. All pre-proposals or work statements should be consistent with LISO's guidance. Consultation or technical assistance may be available upon request from the LISO to aid applicants in preparing their pre-proposal. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement or environmental impact assessment is not required for this program.

Application Procedure:

The LISS management conference annually releases a request for pre-proposals in the Fall through the LISO. Pre-proposals are due to the LISO generally by early January or as specified in guidance. The LISS management committee reviews pre-proposals in late January to identify areas of concern and to request additional information or clarification on proposals through the LISO. The LISO coordinates review of pre-proposals among the several LISS technical work groups and teams to ensure consistency with program goals and objectives as outlined in the CCMP and other priorities as directed by the management committee. If necessary, applicants are contacted by the LISO to provide the additional information or clarification. The LISO coordinates review of the revised proposals and develops funding recommendations for the management committee's consideration in April. The management committee makes final recommendations on funding pre-proposals at that time, or as otherwise specified. Applicants are advised of the status of their request by the LISO within 30 days of the management committee's final decisions. Successful applicants are required to submit formal grant applications using the standard federal grant application forms. The deadline for submitting applications may vary by EPA Region, but is generally July 1. Applications not received by the deadline are not further considered for funding. Unallocated funds are carried over or otherwise used to further the purposes of this program at the discretion of the management committee. Federal grant application forms may be obtained on the EPA website, <http://www.epa.gov/ogd/AppKit/index.htm> or requested from either the EPA Region I or II grants management office as follows: for Connecticut, EPA Region I, Grants Management Office, One Congress Street, Suite 1100, Boston, MA 02111; for New York, EPA Region II, Grants and Contracts Management Branch, 290 Broadway, New York, NY 10007-1866. The standard federal grant application forms as required by OMB Circular No. A-102 must be used for this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. The completed grant application should be submitted to the appropriate EPA Regional grants management office, with a copy to the LISO to assist with processing.

Award Procedure:

Each federal assistance application and final work plan will be evaluated by the LISO to determine the adequacy of the application under LISS priorities and objectives, including technical merit and relevance of the project to the implementation of the CCMP and for consistency with the pre-application proposal. Each federal assistance award application will also be subject to an administrative review by the EPA Region I or II grants management office as appropriate. Funds are awarded by the EPA Region I or II Administrator as appropriate. Grantees will receive a final assistance award package from the appropriate EPA Regional grants management office that must be accepted and signed by an authorized official of the recipient organization and returned to the GMO as specified in the EPA award document. Awards may be made on an advance or reimbursement basis. Awards that are not signed and returned by the deadline specified in the assistance award document may be subject to cancellation. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Requests For Proposals (RFPs) may be announced for several different themes in support of Long Island Sound Study Program's goals at different times during the year. Contact the EPA LISO for specific annual application deadlines.

Range of Approval/Disapproval Time:

Notification of action on pre-proposals is provided approximately 120 days after deadline for pre-proposal submission. Final grantees are notified of approval approximately 90 days after the submission of the application for federal assistance.

Appeals:

Assistance agreement competition-related disputes will be resolved in

accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Renewals are subject to approval of EPA.

Formula and Matching Requirements:

This program has no statutory formula (33 U.S.C. 1269(d)(3)). Federal costs for citizen involvement and education grants shall not exceed 95 percent of the costs of such work; for all other grants and cooperative agreements, federal costs shall not exceed 50 percent of the research, studies, or work. EPA has determined that annual matching requirements for LISS grant awards shall be met in the aggregate for all funds awarded as specified in the approved annual work plan.

Length and Time Phasing of Assistance:

Assistance agreements are generally made for a one-year period corresponding to the federal fiscal year, October-September. Awards may be made for longer periods upon justification and with the approval of EPA. Awards may be made by advance or reimbursement at the discretion of EPA.

Reports:

Semi-annual and final progress reports; program evaluation and other reports (such as equipment and invention reports, MBE/WBE reports, Financial Status Report) as required by the specific terms of the assistance agreement. Financial Status Reports on the standard federal form must be submitted.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; 68-0103-0-1-304; EPM and STAG.

Obligations:

(Grants; Cooperative Agreements) FY 07 \$1,362,800; FY 08 \$4,122,000; and FY 09 est. \$467,000.

Range and Average of Financial Assistance:

\$20,000 to \$500,000/fiscal year; \$50,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

The Long Island Sound Office (LISO) holds competitions throughout the year based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the announcement issued. The announcement includes the number of anticipated awards. In FY 07, the program reduced point source nitrogen loadings to Long Island Sound from baseline level of 211,724 lbs/day to 153,932 lbs/day and reduced the areal extent of the hypoxic event in Long Island Sound from 20 year average of 203 square miles to 162 square miles; restored or protected 197 acres of coastal habitat; reopened 22 river miles to anadromous fish passage. FY 2008 accomplishments will not be available until October 2008. Three two-year competitive awards were made in FY 07 totaling \$400,000 and averaging \$66,666 per year. In FY 08 six awards were made totaling \$4,489,134 averaging \$748,189.

REGULATIONS, GUIDELINES, AND LITERATURE:

LISS grants and cooperative agreements are subject to EPA's General Grant Regulations, 40 CFR Part 35, 40 CFR Part 30, or 40 CFR Part 31; annual EPA Office of Water National Estuaries Program guidance; the LISS CCMP, and Long Island Sound 2003 or successor Agreement.

Regional or Local Office:

USEPA Long Island Sound Office, Government Center, 888 Washington Boulevard, Suite 6-5, Stamford, Connecticut 06904; Telephone: (203) 977-1541; Fax: (203) 977-1546. Attention: Mark Tedesco. E-mail: tedesco.mark@epa.gov.

Headquarters Office:

EPA Office of Water; Office of Wetlands, Oceans and Watersheds; Oceans and Coastal Protection Division; EPA West, 1301 Pennsylvania Avenue, N.W., Washington, DC 20004. Contact: Darrell Brown, Telephone: (202) 566-1256; Fax: (202) 566-1334; E-mail: brown.darrell@epa.gov.

Web Site Address:

<http://www.epa.gov/region01/eco/lis>.

RELATED PROGRAMS:

10.904, Watershed Protection and Flood Prevention; 10.906, Watershed Surveys and Planning; 10.914, Wildlife Habitat Incentive Program; 11.419, Coastal Zone Management Administration Awards; 11.420, Coastal Zone Management Estuarine Research Reserves; 15.608, Fish and Wildlife Management Assistance; 15.611, Wildlife Restoration; 15.614, Coastal Wetlands Planning, Protection and Restoration Act; 15.615, Cooperative Endangered Species Conservation Fund; 15.616, Clean Vessel Act; 15.630, Coastal Program; 66.419, Water Pollution Control State, Interstate, and Tribal Program Support; 66.454, Water Quality Management Planning; 66.456, National Estuary Program; 66.460, Nonpoint Source Implementation Grants; 66.463, Water Quality Cooperative Agreements.

EXAMPLES OF FUNDED PROJECTS:

Grants and cooperative agreements awarded to states and local governments, colleges, universities, interstate agencies and nonprofit organizations are for nonpoint source implementation, scientific research, water quality monitoring and modeling, public education and outreach, living resources management, habitat restoration and program support. Examples are listed as follows: (1) Hypoxia: sustain and accelerate efforts to meet the LISS nutrient reduction goals in the nitrogen Total Maximum Daily Load to attain water quality conditions necessary to support the living resources of the Sound; (2) Habitat Restoration: restore and protect living resources, their habitats and ecological relationships; (3) Water Quality Monitoring: collect water quality samples at monitoring stations in Long Island Sound and interpret data on Long Island Sound and embayments. (4) Communication/Outreach: Provide communication, outreach and education components of the LISS partnership; (5) Modeling: develop, calibrate, and manage the application of linked airshed-watershed-estuarine hydrodynamic-water quality-living resources models to support the LISS for understanding the nutrient and sediment affects in the Long Island Sound estuary system and (6) Research: investigate causes and effects of eutrophication on water quality and living marine resources; study factors affecting abundance and distribution of submerged aquatic vegetation, food web dynamics, and tidal wetland loss.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.439 TARGETED WATERSHEDS GRANTS

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Consolidated Appropriations 2005, Public Law 108-447; Dept. of Interior, Environmental and Related Agencies Appropriations Act, 2006, Public Law 109-54. Revised Continuing Appropriations Resolution 2007, H.J. Res. 20 Public Law 110-5.

OBJECTIVES:

To support innovative, community-based watershed approaches aimed at preventing, reducing, or eliminating water pollution. The Targeted Watersheds Grant Program provides resources in the form of grants or cooperative agreements to support watershed organizations in their efforts to expand and improve existing water protection measures. In separate competitive announcements funds are awarded to assist watershed partnerships comprised of State, Tribal, local, and interstate agencies, and public or nonprofit organizations in developing, implementing, and demonstrating: 1) on-the-ground projects to improve or maintain water quality; and 2) organizational and technical capacity building projects to prime organizations to be in a position to implement on-the-ground watershed projects. Funding Priority - Fiscal Year 2008: To build upon the existing activities of watershed organizations to support innovative, wide-reaching plans to restore, preserve, or protect the nation's waters. The cornerstone of the implementation portion of the program is diverse partnerships that are ready to implement projects to improve water quality and produce tangible, measurable, environmental results in a short time frame. Approximately \$4 million will be set aside to focus on trading projects in the Mississippi River Basin that will potentially reduce the size and duration of the hypoxic zone in the Northern Gulf of Mexico. Finally, approximately \$10 million will be set aside for the Western Estuaries Initiative that will support projects that conserve, restore and protect water quality, habitat and environment of western coastal waters, estuaries, surrounding basins, bays and near shore waters through comprehensive approaches to water quality management.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds are used to support both on-the-ground and educational activities relating to the prevention, reduction, and elimination of water pollution. Funds are awarded to eligible entities that best meet the selection criteria described in the Request for Proposals. Successful candidates will have a thorough knowledge of their watershed, have a watershed management plan in place, propose a specific project to address identified problems or barriers to water quality, demonstrate broad-based support from a number of public and private entities, and have a

demonstrated record of managing watershed project(s). Eligible activities should be able to show tangible outputs and outcomes within a relatively short time period of two to five years. For implementation grants, candidates must also have a specific water quality monitoring and evaluation plan demonstrating measurable environmental outputs and outcomes, and a strong peer and/or public outreach and education component. EPA has chosen to declare certain projects or activities ineligible for funding. These include activities required or regulated under the CWA. For example, activities for the development of Total Maximum Daily Loads (TMDLs) and Phase II Stormwater projects will not be funded. Activities implementing the non-regulatory component of TMDLs (e.g., the elements of a watershed plan that address non-point source pollution), however, are eligible. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

States, local governments, public and private nonprofit institutions/organizations, federally recognized Indian tribal governments, U.S. territories or possessions, and interstate agencies are eligible. For-profit commercial entities and all federal agencies are ineligible. Nonprofit organizations described in Section 501 (c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

None.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants will be required to submit a formal grant application. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-110 and A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mail Code 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Prospective grantees may contact the EPA Regional or Headquarters Office for information and to confirm deadlines. Information on deadlines may also be found at <http://www.epa.gov/twg>.

Range of Approval/Disapproval Time:

Regional or Headquarters review will typically be completed within approximately 120 - 150 days following the deadline for submissions.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula. Applicants are required to demonstrate a minimum non-federal match of 25% of the total cost of the project or projects. Match may be cash or in-kind consistent with the regulation governing match

requirements (40 CFR 31.24 or 40 CFR 30.23). Tribes and tribal watershed groups may be exempt from this match requirement if they are constrained to such an extent that fulfilling the match requirement would impose undue economic hardship.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award.

Reports:

Progress report requirements will be a part of each assistance agreement. Headquarters issued agreements will normally require quarterly and annual reports. A schedule showing interim milestones and the outputs that will be completed by the end of the project period will also be included, as will any specific requirements included in the assistance agreement. Regionally issued agreements will have reporting requirements specific to each region.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; STAG.

Obligations:

FY 07 \$4,000,000; FY 08 \$10,000,000; and FY 09 est. \$0; National Targeted Watersheds Grants Program: FY 08 est. \$0; Water Quality Trading: FY 07 est. \$4,000,000; Western Estuaries Initiative: FY 08 est. \$10,000,000.

Range and Average of Financial Assistance:

Water Quality Trading: \$100,000 to \$200,000/fiscal year; \$130,000 (Priority I); \$400,000 to \$1,000,000/fiscal year; \$600,000 (Priority II); Western Estuaries Initiative: \$250,000 to \$625,000/fiscal year; \$437,500/fiscal year (Region 10); \$500,000 to \$1,000,000/fiscal year; \$750,000/fiscal year (Region 9).

PROGRAM ACCOMPLISHMENTS:

The Targeted Watersheds Grant program builds on the successes of existing partnerships that are ready to implement actions to protect critical watersheds. In FY 06 and FY 07, EPA received over 130 nominations nation-wide for proposals (i.e., those outside the Chesapeake Bay watershed), and selected 15 watershed organizations and/or coalitions to make on-the-ground improvements to water quality. In its first four years, a total of 62 grants for restoration or protection activities and 11 cooperative agreements for capacity building activities have been awarded. In the next few years, EPA will see environmental results such as the return of native fish species, clearer lakes, and increased recreational opportunities. In FY 08, EPA is issuing a competitive announcement for trading projects located within the six Mississippi River Sub-basins to support market-based water quality programs that will potentially reduce the size and duration of the hypoxic zone in the Northern Gulf of Mexico. In FY 08, EPA is issuing competitive announcements for watershed projects as part of the Western Estuaries Initiative to support projects that conserve, restore and protect the water quality, habitat and environment of coastal waters, estuaries, surrounding basins, bays and near shore waters through comprehensive approaches to water quality management.

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and agreements with States, local governments and Indian tribal governments, the procedures and requirements should be in conformance with 40 CFR Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments", 40 CFR Part 35, "Environmental Program Grants-State, Interstate, and Local Governments Agencies" and OMB Circular Nos. A-87 "Cost Principles for State and Local Governments" and A-102, "Grants and Cooperative Agreements for States and Local Governments." For grants and agreements with other entities the procedures should be in conformance with 40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Regional or Local Office:

Contact the appropriate EPA Regional Office listed below. Region I: Connecticut, Maine, Massachusetts, Rhode Island, Vermont, New Hampshire; Contact: Rob Adler or Gerry Potamis, Telephones: (617) 918-1396 and (617) 918-1651; E-mails: adler.robert@epa.gov and potamis.gerald@epa.gov

respectively. Region II: New Jersey, New York, Puerto Rico, U.S. Virgin Islands; Contact: Cyndy Kopitsky, Telephone: (212) 637-3844; E-mail: kopitsky.cyndy@epa.gov. Region III: Delaware, Maryland, Pennsylvania, Virginia, West Virginia, Washington, DC; Contact: Ralph Spagnolo, Telephone: (215 814-2718); E-mail: spagnolo.ralph@epa.gov. Region IV: Alabama, Florida, Georgia, Mississippi, North Carolina, South Carolina, Kentucky, Tennessee; Contact: William L. Cox, Telephone: (404) 562-9351; E-mail: cox.williaml@epa.gov. Region V: Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin; Contact: Paul Thomas, telephone (312) 886-7742; E-mail: thomas.paul@epa.gov. Region VI: Louisiana, Texas, Oklahoma, Arkansas, New Mexico; Contact: Brad Lamb, Telephone: (214) 665-6683; E-mail: lamb.brad@epa.gov. Region VII: Iowa, Kansas, Missouri, Nebraska; Contact: Jaci Ferguson, Telephone: (417) 575-8028; E-mail: ferguson.jaci@epa.gov. Region VIII: Colorado, Montana, North Dakota, South Dakota, Utah; Contact: Eric Steinhaus, Telephone: (303) 312-6837, E-mail: steinhaus.eric@epa.gov. Region IX: Arizona, California, Hawaii, Nevada, American Samoa, Mariana Islands, Guam; Contact: Sam Ziegler, Telephone: (415)972-3399; E-mail: ziegler.sam@epa.gov. Region X: Alaska, Idaho, Oregon, Washington; Contact: Bevin Reid, Telephone (206) 553-1566; E-mail: reid.bevin@epa.gov.

Headquarters Office:

Erin Collard, Office of Wetlands, Oceans, and Watersheds, EPA, Room 7136G, EPA West, 1200 Pennsylvania Avenue N.W., Washington DC, 20460.
Telephone: (202) 566-2655. Fax: (202) 566-1326. E-mail: Collard.Erin@epa.gov.

Web Site Address:

<http://www.epa.gov/twg>.

RELATED PROGRAMS:

66.419, Water Pollution Control State, Interstate, and Tribal Program Support; 66.454, Water Quality Management Planning.

EXAMPLES OF FUNDED PROJECTS:

Grants and cooperative agreements have been awarded to watershed organizations for watershed restoration and protection projects, such as implementing agricultural best practices, conducting streambank restoration, establishing pollutant credits, and demonstrating innovative nutrient management schemes. All proposals that have been funded are available at <http://www.epa.gov/twg>.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.454 WATER QUALITY MANAGEMENT PLANNING

(205(j)(2))

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Sections 205(j) and 604(b), as amended, Public Law 97-117; Water Quality Act of 1987, Public Law 100-4.

OBJECTIVES:

To assist States (including territories and the District of Columbia), Regional Public Comprehensive Planning Organizations (RPCPOs), and Interstate Organizations (IOs) in carrying out water quality management (WQM) planning. Funding Priority - Fiscal Year 2008: Funds were allotted by State in accordance with Section 604(b) of the Clean Water Act. Grant funds are used to determine the nature and extent of point and nonpoint source pollution and to develop management plans to solve them. States are encouraged to give priority to watershed restoration planning. EPA is requesting States to emphasize a watershed approach in developing their State workplans. This will complement the Agency's overall watershed effort as stated in the Agency's Strategic Plan. Funding Priority - Fiscal Year 2009: Funds are allotted by State in accordance with Section 604(b) of the Clean Water Act. Grant funds are used to determine the nature and extent of point and non-point source pollution and to develop water quality management plans to solve them. States are encouraged to give priority to watershed restoration planning. EPA is requesting States to emphasize a watershed approach in EPA is requesting States to emphasize a watershed approach in developing their State workplans. This will complement the Agency's overall watershed effort as stated in the Agency's Strategic Plan.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Section 604(b) funds are awarded under Section 205(j)(2) to State water quality management agencies to carry out WQM planning. Pursuant to Section 205(j)(3) of the CWA, as amended, States are required to allocate at least 40 percent of funds awarded under 205(j)(2) to eligible RPCPOs and IOs. EPA may approve a State's request to pass through less than 40 percent if, after consultation with its RPCPOs and IOs, the Governor determines that pass through of at least 40 percent will not: (1) result in significant participation by RPCPOs and IOs in WQM planning; and (2) significantly assist in development and implementation of the state's WQM plan. Assistance agreement awards under this program may

involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

State Water Quality Management Agencies.

Beneficiary Eligibility:

State Water Quality Management Agencies.

Credentials/Documentation:

Compliance with 40 CFR 31. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Neither environmental impact statements nor environmental impact assessments are required for activities funded under Section 205(j) and 604(b) since these funds are used for water quality planning and not implementation.

Application Procedure:

The grant application must be submitted to the appropriate EPA Regional Administrator's Office. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm.

Award Procedure:

Grant applications are reviewed by the appropriate EPA Regional Office and, if approved, the funds are awarded by the Regional Administrator.

Deadlines:

Grant application forms must be submitted according to dates established by the Regional Administrators. Contact the appropriate EPA Regional Office for application deadlines.

Range of Approval/Disapproval Time:

Approximately 45 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Each fiscal year, the Administrator shall reserve under Section 604(b) of the CWA for each State an amount not to exceed one percent of the amount allotted and available for obligation for construction grants or \$100,000, whichever is greater, for the purposes of making grants to the States to carry out water quality management planning. Under Section 205(j)(3), at least 40 percent of a State's annual award under 205(j)(2) must be allocated to eligible RPCPOs and IOs, unless EPA approves a lesser amount. The program has no matching requirements.

Length and Time Phasing of Assistance:

As determined in accordance with Section 604 (c)(1) of the Clean Water Act (CWA). Sums allotted to a State under this section for a fiscal year shall be available for obligation by the State during the fiscal year for which sums are authorized and during the following fiscal year.

Reports:

Annual expenditure reports are required.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records kept for 3

years from date of submission of the annual financial status report. If questions remain, records retained until matter is completely resolved.

Account Identification:

68-0103-0-1-304; STAG.

Obligations:

FY 07 11,080,000; FY 08 \$8,607,444; and FY 09 est. \$7,665,955.

Range and Average of Financial Assistance:

\$100,000 to \$1,500,000/fiscal year; \$800,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Section 604(b) funding provides the financial source for States and territories to pursue water quality planning under Section 205(j) of the Clean Water Act accomplishes under this funding program are not quantifiable, but, are used to provide a planned framework for watershed restoration and other water-related implementation activities. In FY 08, 57 State and territorial grants were awarded to support water quality planning activities. Outputs included consistency reviews of NPDES Permits, development of Watershed Management plans, river planning projects and Total Maximum Daily Loads (TMDL) plans. Similar types of planning activities are anticipated for FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA General Grant Regulations and Procedures, 40 CFR Part 31 and 40 CFR Part 35, Subpart A.

Regional or Local Office:

Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Don Kunkoski, Assessment and Watershed Protection Division (4503T), Office of Water, EPA, 1200 Pennsylvania Avenue, NW., Washington, DC 20460. Telephone: (301) 694-7329; Fax: (202) 566-1545; E-mail: kunkoski.don@epa.gov.

Web Site Address:

<http://www.epa.gov/ow/owow.htm>.

RELATED PROGRAMS:

66.419, Water Pollution Control State, Interstate, and Tribal Program Support.

EXAMPLES OF FUNDED PROJECTS:

Grants are allocated to States for pesticide/toxic control studies, watershed management plans, basin groundwater management quality standards, urban stormwater management plans, mine water quality management studies, and the development of waste load allocations/total maximum daily loads. Regional Comprehensive Planning Organizations (RCPOs) conduct consistency reviews of NPDES Permits, facility plans, and construction operating permits.

CRITERIA FOR SELECTING PROPOSALS:

Funds are awarded to State agencies in accordance with Section 205(j) of the Clean Water Act and EPA program guidance.

66.456 NATIONAL ESTUARY PROGRAM

(NEP)

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Title III, Section 320, as amended, Public Law 94-117 and Public Law 106-457, 33 U.S.C. 466 et seq.

OBJECTIVES:

The National Estuary Program (NEP) objective is to protect and restore estuaries and estuarine watersheds designated by the EPA Administrator as estuaries of national significance. Each NEP characterizes the priority problems in its estuary and surrounding watershed, develops a Comprehensive Conservation and Management Plan listing and describing actions to address those problems, identifies lead entities to carry out each action, and implements the actions. Actions include: restoration of habitat, direct support for TMDL plan implementation, reducing stormwater and other non-point source impacts, and implementing nutrient reduction strategies. Funding Priority - Fiscal Year 2008: EPA's funding priority is to award assistance agreements that support the 28 National Estuary Programs' implementation of their Comprehensive Conservation and Management Plans (CCMPs) to protect and restore estuarine resources. EPA will provide focused support for priority problems identified by NEP management conferences, including problems of nutrient over-enrichment and hypoxic conditions; habitat loss/degradation; stormwater, climate change, toxics, pathogens, and aquatic invasive species impacts; and the need for increased sustainable and green infrastructure best management practices. Funding Priority - Fiscal Year 2009: EPA's funding priority is to award assistance agreements that support the 28 National Estuary Programs' implementation of their Comprehensive Conservation and Management Plans (CCMPs) to protect and restore estuarine resources. EPA will provide focused support for priority problems identified by NEP management conferences, including problems of nutrient over-enrichment and hypoxic conditions; habitat loss/degradation; stormwater, climate change, and aquatic invasive species impacts; toxics and pathogen impacts; need for outreach and education about priority problems facing the 28 estuaries and their associated watersheds; and the need for increased sustainable and green infrastructure best management

practices.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Section 320 authorizes issuance of assistance agreements used to meet Section 320 requirements to develop and implement CCMPs. Annual workplans, which address priority actions in the CCMPs, lay out projects to be undertaken during the fiscal year. They are developed and approved by each management conference. Twenty-eight estuaries have been designated as estuaries of national significance, including: Long Island Sound, Puget Sound, Buzzards Bay, Narragansett Bay, New York-New Jersey Harbor, Delaware Bay, Delaware Inland Bays, Sarasota Bay, Galveston Bay, Santa Monica Bay, Albemarle-Pamlico Sounds, San Francisco Estuary, Casco Bay, Massachusetts Bays, Indian River Lagoon, Tampa Bay, Peconic Bay, San Juan Bay, Coastal Bend Bays, Tillamook Bay, the Barataria-Terrebonne Estuarine Complex, New Hampshire Estuaries, Barnegat Bay, Maryland Coastal Bays, Charlotte Harbor, Mobile Bay, Morro Bay, and Columbia River Estuary. NEPs carry out such tasks as: (1) identifying research needs and conducting research as needed to obtain new data to address priority problems; (2) monitoring estuarine conditions to detect changes in water quality; and (3) promoting public input into NEP decision-making and management processes. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Assistance agreements are issued only to those estuaries designated by the Administrator. The Administrator is authorized to make grants to State, interstate, and regional water pollution control agencies and entities; State coastal zone management agencies; interstate agencies; and other public and private nonprofit agencies, institutions, organizations, and individuals (Section 320(g)(1)). Profit making organizations are not eligible for grants. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit organizations.

Preapplication Coordination:

Preapplication assistance may be obtained from the appropriate EPA Regional Office. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. All work plans should be developed in response to the priority tasks identified in the management conference annual work plan. Work plans should also be developed in response to negotiated schedules for commitments to meet the purpose of a management conference outlined in the Act for the estuary. Each work plan will be considered by the appropriate management conference and the EPA Regional program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. The completed application, including the final proposal approved by the estuary management committee, should be submitted to the appropriate Regional program office for processing. This program is subject to the provisions of OMB circular No. A-110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each application is reviewed by EPA Regional Offices to determine the adequacy of the application under grant regulations and National Estuary Program objectives, including technical merit and relevance of the project. Awards are issued by the EPA Regional Offices after approval by the appropriate Division Director. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the

terms, conditions, and criteria as stated in the announcement of the competitive funding opportunity. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Complete applications must reach the appropriate Regional program office no later than June 1 to be considered for fiscal year funding. Earlier schedules may be established by estuary program management or organizations. Such information is available from the Regional program office contact listed in Appendix IV of the Catalog.

Range of Approval/Disapproval Time:

Approximately 90 days after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Renewals are subject to approval of the individual estuary management organization. Contact the appropriate EPA Regional office for guidance.

Formula and Matching Requirements:

This program has no statutory formula. Public Law 106-457, which amended Section 320(g) of the Water Quality Act of 1987, limits the amount of grants to 75 percent of the aggregate CCMP development project costs for each estuary program and to 50 percent of the aggregate CCMP implementation project costs for each estuary program, and requires that the nonfederal share be provided from nonfederal sources. The nonfederal share can be contributed by any of the participants in the management conference. Contact the appropriate management conference program office or EPA Regional Office for more information.

Length and Time Phasing of Assistance:

Assistance agreements are typically funded on a 12-month basis (yearly). Project period may cover up to 36 months, with continuations possible for certain types of projects. Payments will be on a letter of credit or advance basis.

Reports:

Quarterly interim and final progress and expenditure reports; program evaluations; and other reports as required by the specific terms of the Agreement. Financial Status Reports must be submitted for each project at the end of each fiscal year.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; EPM.

Obligations:

FY 07 \$12,257,200; FY 08 \$16,162,000; and FY 09 est. \$7,222,000.

Range and Average of Financial Assistance:

For FY 07, each of the 28 NEPs received base funding in the amount of \$418,000; For FY08, each of the NEPs received base funding in the amount of \$591,750.

PROGRAM ACCOMPLISHMENTS:

Maintaining high-quality habitat is one way of ensuring the health of the nation's estuaries. Since 2000, the NEPs and their partners have protected and restored over 1,100,000 acres of habitat within the 28 NEP estuarine watersheds. The acreage protected and restored by the NEPs and their partners enhances the 28 NEP estuaries' capacity to support healthy populations of wildlife and marine organisms, including many commercially-valuable fisheries. That acreage also enhances the 28 estuarine watersheds' capacity to support the economic, environmental, and aesthetic functions on which coastal populations depend for their livelihood. In FY 07, the NEPs and their partners protected and restored over 102,000 acres of estuarine habitat within their estuarine watersheds. In FY 08, grants will continue to support CCMP implementation, providing support for NEP efforts targeting priority problems like nutrient over-enrichment; stormwater, climate change and aquatic invasive species impacts; habitat

loss/degradation; and need for sustainable and green infrastructure.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (40 CFR 30 and 40 CFR 31). Federal Register, Vol. 59, 61124, November 29, 1994, Technical Amendment Financial Assistance Requirements for the National Estuary Program (40 CFR Part 35). Saving Bays and Estuaries, a Primer for Establishing and Managing Estuary Projects, EPA/503/8-89-001, is available from the Oceans and Coastal Protection Division, Office of Wetlands, Oceans, and Watersheds (4504T) EPA, 1200 Pennsylvania Ave., NW., Washington, DC 20460. Forms and instructions for filing are included in an application kit available from the Grants and Interagency Agreements Management Division(3903R), Office of Grants and Debarment, EPA, 1200 Pennsylvania Ave., N.W., Washington, DC. 20460.

Regional or Local Office:

Office of Ecosystem Protection, EPA, Region I, 1 Congress Street, Boston, MA 02114-2023; Telephone: (617) 918-1511. Division of Environmental Planning and Protection, EPA, Region II, 290 Broadway, New York, NY 10007-1866; Telephone: (212) 637-3724. Water Protection Division, EPA, Region III, 1650 Arch Street, Philadelphia, PA 191103-2029; Telephone: (215) 814-2989. Water Management Division, EPA, Region IV, 61 Forsyth St., S.W., Atlanta, GA 30303; Telephone: (404) 562-9345. Water Quality Protection Division, EPA, Region VI, 1445 Ross Ave., Dallas, TX 75202-2733; Telephone: (214) 665-7101. Water Division, EPA, Region IX, 75 Hawthorne Street, San Francisco, CA 94105; Telephone: (415) 744-1860. Office of Ecosystems, Tribal, and Public Affairs; and Office of Water and Watersheds, EPA Region X, 1200 Sixth Ave., Seattle, WA 98101; Telephone: (206) 553-4181.

Headquarters Office:

Darrell Brown, Chief, Coastal Management Branch, Oceans and Coastal Protection Division, Office of Wetlands, Oceans, and Watersheds (4504T), EPA, Washington, DC 20460. Telephone: (202) 566-1256; Fax: (202) 566-1336; E-mail: brown.darrell@epa.gov.

Web Site Address:

<http://www.epa.gov/owow/estuaries/>.

RELATED PROGRAMS:

66.419, Water Pollution Control State, Interstate, and Tribal Program Support; 66.454, Water Quality Management Planning; 66.458, Capitalization Grants for Clean Water State Revolving Funds; 66.461, Regional Wetland Program Development Grants; 66.463, Water Quality Cooperative Agreements.

EXAMPLES OF FUNDED PROJECTS:

Assistance agreements awarded to States, interstate agencies, academic institutions and other nonprofit organizations to: (1) conduct water quality monitoring and assessment, (2) protect and restore habitat, (3) develop and implement climate change adaptation strategies, (4) conduct applied research on problems like habitat loss and seasonal algal blooms, (5) implement public education and outreach programs, (6) revise CCMPs to reflect new priorities, (7) develop annual action plans, design and maintain CCMP implementation tracking and reporting systems, and (8) evaluate and report on CCMP implementation progress and environmental results.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the announcement of the competitive funding opportunity. Grants are awarded to the NEPs that satisfy the requirements outlined in the application procedure section.

66.458 CAPITALIZATION GRANTS FOR CLEAN WATER STATE REVOLVING FUNDS

(CW State Revolving Fund)

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act (CWA), as amended, Public Law 95-217; Water Quality Act of 1987, Sections 601 through 607, 205(m), Public Law 100-4.

OBJECTIVES:

To create State Revolving Funds (SRFs) through a program of capitalization grants to States which will provide a long term source of State financing for construction of wastewater treatment facilities and implementation of other water quality management activities (see CFDA 66.418). Funding Priority - Fiscal Year 2008: Capitalization grants are available to each State for the purpose of establishing a Clean Water SRF for providing assistance for: (1) construction of publicly owned wastewater treatment works; (2) implementing nonpoint source management activities included in State Plans developed pursuant to Section 319; and (3) developing and implementing an estuary comprehensive conservation and management plan under Section 320. The capitalization grant is deposited in the State's SRF, which is used to provide loans and other types of financial assistance, but not grants, to local communities and intermunicipal and interstate agencies. Up to 4 percent of the grant amounts may be used by the States for the cost of administering the SRF. States determine priorities for funding within their State in accordance with the CWA. The program supports the Agency's strategic goal of ensuring Clean and

Safe Water. Funding Priority - Fiscal Year 2009: Capitalization grants are available to each State for the purpose of establishing a Clean Water SRF for providing assistance for: (1) construction of publicly owned wastewater treatment works; (2) implementing nonpoint source management activities included in State Plans developed pursuant to Section 319; and (3) developing and implementing an estuary comprehensive conservation and management plan under Section 320. The capitalization grant is deposited in the State's SRF, which is used to provide loans and other types of financial assistance, but not grants, to local communities and intermunicipal and interstate agencies. Up to 4 percent of the grant amounts may be used by the States for the cost of administering the SRF. States determine priorities for funding within their State in accordance with the CWA. The program supports the Agency's strategic goal of ensuring Clean and Safe Water.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Capitalization grants are available to each State for the purpose of establishing a Clean Water SRF for providing assistance for: (1) construction of publicly owned wastewater treatment works; (2) implementing nonpoint source management activities included in State Plans developed pursuant to Section 319; and (3) developing and implementing an estuary conservation and management plan under Section 320. The capitalization grant is deposited in the state's SRF, which is used to provide loans and other types of financial assistance, but not grants, to local communities and intermunicipal and interstate agencies. Assistance may be provided to persons for implementing nonpoint source and estuary management activities provided for in State Plans developed under Sections 319 and 320. Up to 4 percent of the grant amounts may be used by the states for the cost of administering the SRF. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

States and Puerto Rico are eligible to receive capitalization grants under Title VI. The District of Columbia, territories, possessions of the U.S., and Indian tribes are eligible to receive grants from Title VI for the construction of municipal wastewater facilities. (see CFDA 66.418)

Beneficiary Eligibility:

For loans and other financial assistance (but not grants) for wastewater treatment facilities: local communities, intermunicipal, State, interstate agencies, and Indian tribes. For nonpoint source management programs and estuary activities in approved State Nonpoint Source Management Programs and Comprehensive Conservation and Management Plans: the public agencies listed above, individuals, and programs.

Credentials/Documentation:

To receive a capitalization grant, a State shall enter into an agreement with the EPA Regional Administrator which shall include, but not be limited to, the 10 specifications set forth in Section 602(b) of the CWA. OMB Circular No. A-87, "Cost Principles Applicable to Grants and Contracts with State and Local Governments" applies to State grantees.

Preapplication Coordination:

An applicant (State) should seek preapplication assistance from the appropriate EPA Regional Office. The State is required to prepare and provide for public comment a plan identifying the intended uses of the funds in the SRF (an intended use plan) and how those uses support the goals of the SRF. The plan is to be submitted no later than the application. An environmental impact statement is not required prior to grant award; however, a State environmental review process must be applied to all subsequent state assistance for wastewater facilities. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedure:

The standard application for EPA non-construction grant assistance (SF424-A and B) is submitted to the appropriate EPA Regional Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. The State must certify in its application that it has the legal, managerial, technical, and operational capabilities to administer the SRF program competently and that it will comply with Federal anti-discrimination laws and other applicable Federal statutes. Regulation 40 CFR Part 31 applies to the State. Establishment of the SRF is a prerequisite for a grant award.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office and, if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA.

Deadlines:

Applications should be submitted to the appropriate Regional Office no later than July 3 of the year following the year of appropriation to allow sufficient time for review and processing prior to the September 30 reallocation deadline.

Range of Approval/Disapproval Time:

Approval time averages 45 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

For those portions of the State program that do not change from year to year, a subsequent grant application may incorporate by reference relevant portions of the previous year's application which have not changed or an operating agreement approved by the region.

Formula and Matching Requirements:

The Regional Administrator may award capitalization grants from funds appropriated for this purpose. Allotments to the States are determined by a table of decimal fractions ("formula") in Section 205(c)(3) of the CWA. The required State match is 20 percent of the amount of each Federal grant payment.

Length and Time Phasing of Assistance:

Funds are available for EPA's obligation to the State during the fiscal year in which they are allotted and during the following year. The State must agree to enter into binding commitments with recipients to provide financial assistance from the SRF in an amount equal to 120 percent of each quarterly grant payment. The State is also required to agree to expend all funds in the SRF in an expeditious and timely manner.

Reports:

Beginning the first fiscal year after receiving payments, the State shall provide an annual report to the Regional Administrator in accordance with the schedule established in the grant agreement (generally not later than 90 days after the end of the fiscal year in which the payments were received). The annual report shall describe how the State has met the goals and objectives for the preceding fiscal year, as identified in its intended use plan, including identification of loan recipients, loan amounts, and loan terms and similar details on other forms of financial assistance provided from the SRF.

Audits:

A State must, at a minimum, comply with the provisions of the Single Audit Act Amendments of 1996, and the OMB Circular No. A-133 and Compliance Supplement. States are also encouraged to conduct annual independent audits. State-conducted audits may be performed in conjunction with the Single Audit Act. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

As part of the annual review conducted by the agency to assess the State's performance against activities identified in the intended use plan and annual report, and to determine compliance with the terms of the capitalization grant agreement, the State or loan recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the CWA.

Account Identification:

68-0103-0-1-304; STAG.

Obligations:

FY 07 \$1,017,073,535; FY 08 est. \$689,070,000; and FY 09 est. \$555,000,000.

Range and Average of Financial Assistance:

\$6,500,000 to \$147,000,000/fiscal year; \$26,000,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Each year, 51 grants are awarded to states and Puerto Rico. The program funds an estimated 10 to 20 percent of the nation's annual wastewater capital projects. The program has provided over \$63 billion in cumulative assistance since 1988. Assistance has included funding to prevent polluted runoff, upgrade wastewater treatment plants from secondary level treatment to advanced (tertiary) treatment to reduce pollutant loads, and improve water quality on a watershed basis. In FY 07, the program provided \$5.3 billion in assistance. This included \$2.0 billion for secondary treatment to reduce pollutant loads, \$889 million to upgrade wastewater treatment plants from secondary level treatment to advanced (tertiary) treatment, and \$243 million to prevent polluted runoff. Similar types of activities are anticipated for FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program guidance was issued January 28, 1988. A State Revolving Fund Management Manual was distributed in November, 1988. Regulations include 40 CFR Part 31 and SRF regulations 40 CFR Part 35, Subpart K which were issued in March 1990.

Regional or Local Office:

EPA Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Sheila Platt, State Revolving Fund Branch, Municipal Support Division,

(4204M), Office of Wastewater Management, Environmental Protection Agency, Washington, DC 20460. Telephone: (202) 564-0686; Fax: (202) 501-2403; E-mail: platt.sheila@epa.gov.

Web Site Address:

<http://www.epa.gov/owm/cwfinance/index.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

State revolving loan fund; wastewater projects involving advanced treatment, secondary treatment, infiltration/inflow correction, replacement/upgrade of pipe and equipment and expansion of existing wastewater treatment plants; nonpoint source and estuary protection projects (for example, septic system rehabilitation, leaking underground storage tank removal, contaminated soil removal, agricultural BMPs, conservation easements, wetlands protection/rehabilitation, and prevention of agricultural runoff).

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to States that satisfy the requirements outlined in the application procedure section.

66.460 NONPOINT SOURCE IMPLEMENTATION GRANTS

(319 Program)

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Title III, Section 319(h).

OBJECTIVES:

To assist States, the District of Columbia, American Samoa, Guam, Northern Marianas, Puerto Rico, Pacific Trust Territories, Virgin Islands (hereinafter referred to as States), and qualified Indian Tribes and intertribal consortia in implementing EPA-approved Section 319 nonpoint source management programs. Funding Priority - Fiscal Year 2008: EPA's funding priority is to award grants that promote the development and implementation of watershed-based plans, focusing on watersheds with water quality impairments caused by nonpoint sources, which result in improved water quality in impaired waters. These watershed plans are a mechanism to coordinate monitoring and planning on a watershed basis and will build a foundation for effective implementation actions using federal and other funding. Nonpoint Source implementation projects include best management practice (BMP) installation for animal wastes, sediment, pesticide and fertilizer control, a variety of other structural and non-structural practices, watershed planning, monitoring, watershed coordinators, technology demonstration, and a variety of education/outreach programs, among others. Funding Priority - Fiscal Year 2009: EPA's funding priority is to award grants that promote the development and implementation of watershed-based plans, focusing on watersheds with water quality impairments caused by nonpoint sources, which result in improved water quality in impaired waters. These watershed plans are a mechanism to coordinate monitoring and planning on a watershed basis and will build a foundation for effective implementation actions using federal and other funding. Nonpoint Source implementation projects include best management practice (BMP) installation for animal wastes, sediment, pesticide and fertilizer control, a variety of other structural and non-structural practices, watershed planning, monitoring, watershed coordinators, technology demonstration, and a variety of education/outreach programs, among others.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds are provided to eligible applicants to carry out nonpoint source projects and programs pursuant to Section 319 of the Clean Water Act as amended by the Water Quality Act of 1987. Requirements under Section 319(h) include: nonfederal matching funds of at least 40 percent of project cost (match is an overall grant requirement in a few cases, the match requirement for an individual project may be waived. In-kind services may be used as match); maintenance of effort; grants may be used for financial assistance to individual persons in the case of demonstration projects only; annual reporting; and EPA determinations of adequate recipient progress before additional funding. Currently applicable EPA guidelines issued on October 23, 2003, identify the basic process and restrictions for funding. These guidelines are available on the Web at: <http://www.epa.gov/fedrgstr/EPA-WATER/2003/October/Day-23/w26755.htm>. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

States and qualified Indian Tribes and intertribal consortia. Grants to States are awarded by formula. The State allotment is awarded to a single agency in each State designated by the chief executive as the lead nonpoint source agency. The lead nonpoint source agency may distribute grant funds to other organizations in accordance with its work program, which is approved by EPA. Eligible Tribes are allocated a base allotment by formula; the remaining Tribal portion of the

319(h) appropriation is competed among qualified Indian Tribes and intertribal consortia. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments; interstate and intrastate agencies; federally recognized Indian tribal governments; intertribal consortia; the following U.S. territory or possessions: the District of Columbia, American Samoa, Guam, Northern Marianas, Puerto Rico, Pacific Trust Territories, Virgin Islands; public and private nonprofit organizations and institutions. The lead nonpoint source agency may distribute grant funds to other organizations in accordance with a work program which is approved by EPA.

Credentials/Documentation:

The provisions of OMB Circular No. A-87 "Cost Principles for State, Local and Indian Tribal Governments" apply.

Preapplication Coordination:

Preapplication coordination with the appropriate EPA Regional Office, listed in Appendix IV of the Catalog, is recommended. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant application by States must be submitted to the appropriate EPA Regional Office (refer to Appendix IV of the Catalog for a list of Regional Offices). Grant applications by subgrantees within a State (after EPA has made a grant award to the State) must be submitted to the State agency that administers Section 319 grants. The list of State nonpoint source contacts for subgrantees is available on the Web at <http://www.epa.gov/owow/nps/contacts.html>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Grant applications must be submitted by the State to the appropriate EPA Regional Office to determine the overall adequacy of the application to meet the statutory objectives. Funds are awarded to States by formula. Award procedure by States to subgrantees varies by State. The list of State nonpoint source contacts is available on the Web at <http://www.epa.gov/owow/nps/contacts.html>. Eligible Tribes get a base grant; Tribes compete for the rest of the Tribal portion of the total 319(h) appropriation. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

State grant applications must be submitted according to the dates established by the EPA Regional Offices. Consult the appropriate EPA Regional Office for details. Subgrantee deadlines for applications to state agencies varies by state.

Range of Approval/Disapproval Time:

EPA generally awards grants to States within 60 days after the application is submitted.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Nonfederal match of at least 40 percent of project or program costs is required except for grants to Indian Tribes, where following demonstration of financial hardship, the nonfederal match may be reduced to as low as 10 percent of project or program costs. States must also meet maintenance of effort requirements contained in statute. EPA awards grants to all eligible States and Tribes which apply for funding based on an allocation formula that the Agency developed. The allocation formula is described in current operational grant guidelines published in a Federal Register notice (68 FR 75776) issued on October 23, 2003. The guidelines can be found at <http://www.epa.gov/fedrgstr/EPA-WATER/2003/October/Day-23/w26755.htm>.

Length and Time Phasing of Assistance:

As determined in accordance with Section 319 (h).

Reports:

Annual expenditure reports are requested. Progress reports are generally required at least semi-annually.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be kept for three years from date of submission of the annual financial status report. If questions remain, records must be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; STAG.

Obligations:

FY 07 \$199,300,000; FY 08 \$200,857,000; and FY 09 est. \$184,540,000.

Range and Average of Financial Assistance:

States/Territories: \$521,100 to 10,302,300 (FY 08); \$3,443,900 (FY 08). Indian Tribes: base grants \$30,000 to 50,000; competitive grants up to \$150,000 (FY 08). Ranges vary year-to-year based on size of appropriation (and also varies depending on number of applicants for grants to Indian Tribes or intertribal consortia).

PROGRAM ACCOMPLISHMENTS:

Grants have been awarded annually to all States and selected Tribes. Grant funds were used by States and Tribes to implement portions of their NPS management programs. In FY 07 and FY 08, 56 grant awards were made to States and Territories. Additional information regarding selected projects is available in Section 319 Success Stories, available online at <http://www.epa.gov/owow/nps/Success319/>. An estimate of load reductions resulting from CWA Section 319(h) funded projects from the time period February 2007 - February 2008 is as follows: nitrogen: 19,079,455 pounds; phosphorus: 7,482,668 pounds; sediment: 3,949,938 tons. These load reduction totals are mostly from FY 07 grant funded projects. Data for FY 08 funded projects is not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Current operational grant guidelines are Nonpoint Source Program and Grants Guidelines for States and Territories (Oct. 23, 2003). The guidelines can be found at <http://www.epa.gov/fedrgstr/EPA-WATER/2003/October/Day-23/w26755.htm>.

Regional or Local Office:

Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog. A list of specific EPA Regional nonpoint source contacts is available on the Web at: <http://www.epa.gov/owow/nps/contacts.html>. The list of specific EPA Tribal nonpoint source contacts is available on the Web at: <http://www.epa.gov/owow/nps/tribal>.

Headquarters Office:

Andrea Matzke, Nonpoint Source Control Branch, Assessment and Watershed Protection Division, Office of Wetlands, Oceans and Watersheds, (4503T), EPA, Ariel Rios Building, 1200 Pennsylvania Avenue, N.W., Washington, DC 20460. Telephone: (202) 566-1150; Fax: (202) 566-1545; E-mail: matzke.andrea@epa.gov.

Web Site Address:

<http://www.epa.gov/owow/nps>.

RELATED PROGRAMS:

66.419, Water Pollution Control State, Interstate, and Tribal Program Support.

EXAMPLES OF FUNDED PROJECTS:

Nonpoint Source implementation projects funded include best management practice (BMP) installation for animal wastes, sediment, pesticide and fertilizer control, urban runoff, and a variety of other structural and non-structural practices; watershed planning, monitoring, watershed coordinators, technology demonstration, and a variety of education/outreach programs, among others.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Funds are awarded to States in accordance with Section 319 (h). For selection processes within individual States, contact the appropriate State NPS coordinators. A list of these coordinators is available at: <http://www.epa.gov/owow/nps/contacts.html>.

66.461 REGIONAL WETLAND PROGRAM DEVELOPMENT GRANTS

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), as amended, Public Law 92-500, 33 U.S.C. 1254(b)(3).

OBJECTIVES:

To assist State, Tribal, local government (S/T/LG) agencies, and interstate/intertribal entities in building programs which protect, manage, and restore wetlands. The primary focus of the grants is to build state and tribal wetland programs. A secondary focus is to build local (e.g. county or municipal) programs. Funding Priority - Fiscal Year 2008: Under the Regional Wetland Program Development Grant (WPDG) Competition, EPA has identified the following two national program priority areas for improving State/Tribe/local governments (S/T/LGs) ability to protect and restore their wetlands: (1) Regulation (Enhancing Wetlands Protection), with an emphasis on increasing the effectiveness of compensatory mitigation and refining the protection of vulnerable waters (this includes promoting a number of different approaches for S/T/LGs to use in the development of wetlands protection measures); and (2) Monitoring and Assessment, with an emphasis on probabilistic wetland condition surveys and wetland mapping, and an overall goal of building the capacity of States/Tribes to report on the condition and quantity of their wetlands. Regions may add additional Region specific priorities or clarify the two national program priorities. Funding Priority - Fiscal Year 2009: Under the Regional Wetland Program Development Grant (WPDG) Competition, EPA has identified the following two national program priority areas for improving State/Tribe/local governments (S/T/LGs) ability to protect and restore their wetlands: (1) Regulation (Enhancing Wetlands Protection), with an emphasis on increasing the effectiveness of compensatory mitigation and refining the protection of vulnerable waters (this includes promoting a number of different approaches for S/T/LGs to use in the development of wetlands protection measures); and (2) Monitoring and Assessment, with an emphasis on probabilistic wetland condition surveys and wetland mapping, and an overall goal of building the capacity of States/Tribes to report on the condition and quantity of their wetlands. Regions may add additional Region specific priorities or clarify the two national program priorities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

These grants are intended to encourage comprehensive wetlands program development by promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Projects build the capacity of States, Tribes, and local governments to effectively protect wetland and riparian resources. Projects funded under this program support the initial development of a wetlands protection, restoration, or management program or support enhancement/refinement of an existing program. Interstate agency and intertribal consortia projects must be broad in scope and encompass more than one State, Tribe, or local government. The Regional Office will accept submissions only for projects that affect the States, Tribes, and Territories within their Region. Funds cannot be used for implementation of individual mitigation projects, mitigation banks, or in-lieu-fee mitigation programs. Additional uses and restrictions on funds will be described in detail in the competitive announcements. Projects that are national in scope are not eligible for funding under this Regional program but may be eligible for funding under EPA's National Wetland Program Development Grants program (CFDA 66.462). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

States, Tribes, local government agencies, interstate agencies, and intertribal consortia are eligible to apply to the Regions. Past recipients include, but are not limited to, wetland regulatory agencies, water quality agencies (Section 401 water quality certification), planning offices, wild and scenic rivers agencies, departments of transportation, fish and wildlife or natural resources agencies, agriculture departments, forestry agencies, coastal zone management agencies, park and recreation agencies, non-point source or storm water agencies, and city or county and other S/T/LG governmental agencies that conduct wetland-related activities. In order to be eligible for WPDG funds, Tribes must be federally recognized, although "Treatment as a State" status is not a requirement. The term "interstate agency" is defined in CWA Section 502 as "an agency of two or more States established by or pursuant to an agreement or compact approved by the Congress, or any other agency of two or more States, having substantial powers or duties pertaining to the control of pollution as determined and approved by the Administrator." Intertribal consortia that meet the requirements of 40 CFR Part 35.504 are eligible for direct funding. Intertribal consortia projects must be broad in scope and encompass more than one State, Tribe, or

local government. Universities that are agencies of State government are eligible to receive funds through these competitions. Universities must include documentation showing that they are chartered as a part of State government in their proposal. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Applicants need not be located within the boundaries of the EPA regional office to be eligible to apply for funding but must propose projects that affect the States, Tribes, and Territories within the Region.

Beneficiary Eligibility:

State, Tribal, and local governments involved in administering wetlands protection, restoration and/or management programs, or programs related to or complement wetlands protection programs.

Credentials/Documentation:

Tribes may be asked to demonstrate that they are Federally recognized. Interstate organizations may be asked to provide a citation to the statutory authority which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 40 CFR Part 35.504. Universities must include documentation showing that they are chartered as a part of State government in their proposal.

Preapplication Coordination:

Each EPA Regional Office will set up its own competitive process for awarding WPDGs. Interested applicants should review information on the WPDG at: <http://www.epa.gov/owow/wetlands/grantguidelines/>. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Each EPA Regional Office will establish a process for awarding WPDGs. Regional Offices will accept applications only for projects selected through the competitive process that affect the States, Tribes, and Territories within their Region. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Applicants can also receive a copy of the current announcement by calling the EPA Regional Coordinator. Application forms are available at <http://www.epa.gov/ogd/AppKit/index.htm> and by mail upon request to the Grants and Interagency Agreements Management Division at (202) 564-5305. Completed applications should be submitted to the appropriate EPA Regional Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Grant applications are reviewed by the appropriate EPA Regional Office and, if approved, the grant is awarded by the Regional Administrator. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the EPA Regional office for information and to confirm deadlines.

Range of Approval/Disapproval Time:

Grants are usually approved within 4 months of receipt of completed application.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. The applicant must provide a minimum of 25 percent of the total cost of the work plan to the extent allowed by 40 CFR 31.24, 35.385, and 35.615. To determine if the minimum match is met, EPA will use the following formula: amount (in dollars) of federal funds requested from EPA divided by 3 = minimum match (in dollars) or Total project costs divided by 4 = minimum match (in dollars). For example, if the applicant requests \$75,000 of federal funds or a Total project cost of \$100,000 for the three-year project period, it must be able to provide \$25,000 in matching funds or services. A reduced match may be available for Tribal grantees that place the Wetland Program Development Grant funds in a Performance Partnership Grant. (See regulations at 40 CFR 536(c).) Please contact your EPA Regional contact

person listed in Section VII if you have any questions about calculating the match requirement. We encourage States and Tribes to provide a larger share of the project's cost whenever possible (i.e., in excess of the required 25 percent of total project costs). Award recipients can meet the match requirement with in-kind or monetary contributions from entities other than themselves. However, other Federal money cannot be used as the match for this grant program unless authorized by the statute governing the award of the other Federal funds. Matching funds are considered grant funds. They must be used for the reasonable and necessary expenses of carrying out the assistance agreement work plan. Any restrictions on the use of grant funds (i.e., prohibition of land acquisition with grant funds) also apply to the matching funds.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of grant award.

Reports:

At a minimum annual interim and final progress and expenditure reports and at a maximum quarterly interim and final progress and expenditure reports; program evaluations; and other reports as required by the specific terms of the agreement.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; STAG.

Obligations:

FY 07 \$9,300,000; FY 08 \$15,829,000; and FY 09 est. \$15,800,000.

Range and Average of Financial Assistance:

\$25,000 to \$500,000/fiscal year; \$253,500/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Each of the ten EPA Regional Offices issues a competitive announcement based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcements include the number of anticipated awards. In FY 07, approximately 5 to 20 proposals were received by each Region under the ten Regional competitive announcements with approximately 3 to 11 cooperative agreements or grants to be awarded under each Regional competitive announcements. No awards were made non-competitively in FY 07. In FY 07, projects were funded to support activities such as development of plans and management tools for protection of wetland resources; advancing the science and technical tools for evaluating, protecting, and restoring wetland health; facilitating development of watershed stakeholder partnerships; and improving public access to and education about wetlands information. Until this date, no awards were made non-competitively in FY 08. In FY08, competitions and awards are yet to be determined.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (40 CFR Parts 30, 31 and 35.610 through 35.615); OMB Circulars A-87 and A-122, as applicable.

Regional or Local Office:

Region 1 - CT, ME, MA, NH, RI, VT: Jeanne Voorhees, US EPA Region 1, 1 Congress Street, MC CSP, Suite 100, Boston, MA, 02114. Phone: 617-918-1686. voorhees.jeanne@epa.gov. Region 2 - NJ, NY, PR, VI: Kathleen Drake, US EPA Region 2, 290 Broadway, NY, NY, 10007. Phone: 212-637-3817. drake.kathleen@epa.gov. Region 3 - DE, MD, PA, VA, WV, DC: Anita Provenzano, US EPA Region 3, 1650 Arch Street, MC 3EA30, Philadelphia, PA, 19103. Phone: 215-814-5180. provenzano.anita@epa.gov. Region 4 - AL, FL, GA, KY, MS, NC, SC, TN: Morgan Jackson, US EPA Region 4, 61 Forsyth Street, SW, Atlanta, GA, 30303. Phone: 404-562-9393. jackson.morgan@epa.gov. Region 5 - IL, IN, MI, MN, OH, WI: Cathy Garra, US EPA Region 5, 77 West Jackson Blvd., MC WW16J, Chicago, IL, 60604. Phone: 312-886-0241. garra.catherine@epa.gov. Region 6 - AR, LA, NM, OK, TX: Mariama Dover, US EPA Region 6, 1445 Ross Avenue, MC 6WQ-AT, Dallas, TX, 75202. Phone: 214-665-6778. dover.mariama@epa.gov. Region 7 - IA, KS, MO, NE: Mandy Whitsitt, US EPA Region 7, 901 North Fifth Street, Kansas City, KS, 66101. Phone: 913-551-7311. whitsitt.mandy@epa.gov. Region 8 - CO, MT, ND, SD, UT, WY: Brent Truskowski, US EPA Region 8,

999 18th Street, Suite 300, Denver, CO, 80202. Phone: 303-312-6235.
truskowski.brent@epa.gov. Region 9 - AZ, CA, HI, NV, AS, GU: Suzanne
Marr, US EPA Region 9, 75 Hawthorne Street, San Francisco, CA 94105.
Phone: 415-972-3468. marr.suzanne@epa.gov. Region 10 - AK, ID, OR, WA:
David Kulman, US EPA Region 10, 1200 Sixth Avenue, Seattle, WA, 98101.
Phone: 206-553-6219. kulman.david@epa.gov.

Headquarters Office:

Myra Price, EPA Wetlands Division, 1200 Pennsylvania Avenue, N.W., MC
(4502T), Washington, DC 20460. Telephone: (202) 566-1225; Fax: (202) 566-
1349; E-mail: price.myra@epa.gov.

Web Site Address:

<http://www.epa.gov/owow/wetlands/initiative/#financial/>.

RELATED PROGRAMS:

66.462, National Wetland Program Development Grants and Five-Star
Restoration Training Grant; 66.479, Wetland Program Grants - State/Tribal
Environmental Outcome Wetland Demonstration Program; 66.419, Water
Pollution Control State, Interstate, and Tribal Program Support; 66.454, Water
Quality Management Planning; 66.456, National Estuary Program; 66.458,
Capitalization Grants for Clean Water State Revolving Funds.

EXAMPLES OF FUNDED PROJECTS:

The WPDG database provides project information for grants funded through this
program since it began in 1990. <http://yosemite.epa.gov/water/grant.nsf>.
Examples of funded projects include: wetland and stream mitigation studies;
development of wetland or watershed conservation plans; wetland management
training workshops; developing a monitoring and assessment program;
development of wetland monitoring and assessment methods and programs;
training for State/Tribal/local government staff in monitoring techniques or
wetland science such as delineation; wetland mapping to build 404 permit
enforcement programs, wetland inventory and classification projects to
determine restoration sites; and database development to track 404 permit action
and compliance.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA
description will be described in the competitive announcement.

66.462 NATIONAL WETLAND PROGRAM DEVELOPMENT GRANTS AND FIVE-STAR RESTORATION TRAINING GRANT

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), as amended, Public Law 92-500, 33 U.S.C.
1254(b)(3).

OBJECTIVES:

To assist State, Tribal, local government (S/T/LG) agencies, and
interstate/intertribal entities in building programs which protect, manage, and
restore wetlands. The primary focus of the grants is to build state and tribal
wetland programs. A secondary focus is to build local (e.g., county or municipal)
programs. Funding Priority - Fiscal Year 2008: For the National WPDGs: EPA
has identified the following three of the five core elements of a comprehensive
wetland program: 1) Regulation; 2) Monitoring, Assessment, and Mapping; and
3) Restoration as National Priorities for this competition. Further explanation of
all of the core areas can be found at the following Web address:

<http://www.epa.gov/owow/wetlands/initiative/fy02elements.html>. The Wetlands
Program Development Grants (WPDG) program strives to develop
State/Tribal/local government programs that will incorporate each of these
elements. For the Five Star Restoration Training Grant: EPA is soliciting
proposals from eligible applicants to: 1) prepare and advertise a Request for
Proposals (RFP), establish review criteria for evaluating subgrantee proposals,
select subgrantees, and make subgrants to support environmental training
through voluntary, community/watershed-based wetland restoration projects that
provide ecological and socioeconomic benefits to the surrounding communities
and their citizens; 2) oversee and monitor subgrantees for completion of the
restoration training projects; 3) provide technical support to subgrantees through
peer-to-peer outreach to broaden participation in restoration training projects,
and 4) qualitatively and quantitatively measure and report on the environmental
results of the restoration training projects accomplished through the subgrants.
Funding Priority - Fiscal Year 2009: For the National WPDGs: EPA has
identified the following three of the five core elements of a comprehensive
wetland program: 1) Regulation; 2) Monitoring, Assessment, and Mapping; and
3) Restoration as National Priorities for this competition. Further explanation of
all of the core areas can be found at the following Web address:
<http://www.epa.gov/owow/wetlands/initiative/fy02elements.html>. The Wetlands
Program Development Grants (WPDG) program strives to develop
State/Tribal/local government programs that will incorporate each of these
elements. For the Five Star Restoration Training Grant: EPA is soliciting
proposals from eligible applicants to: 1) prepare and advertise a Request for
Proposals (RFP), establish review criteria for evaluating subgrantee proposals,

select subgrantees, and make subgrants to support environmental training
through voluntary, community/watershed-based wetland restoration projects that
provide ecological and socioeconomic benefits to the surrounding communities
and their citizens; 2) oversee and monitor subgrantees for completion of the
restoration training projects; 3) provide technical support to subgrantees through
peer-to-peer outreach to broaden participation in restoration training projects,
and 4) qualitatively and quantitatively measure and report on the environmental
results of the restoration training projects accomplished through the subgrants.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The National WPDGs are intended to encourage comprehensive, national
wetlands program development by promoting the coordination and acceleration
of research, investigations, experiments, training, demonstrations, surveys, and
studies relating to the causes, effects, extent, prevention, reduction, and
elimination of water pollution. Projects build the capacity of States, Tribes, and
local governments programs to effectively protect wetland and riparian
resources. Activities must help S/T/LGs develop and refine wetland programs.
Projects funded under this program support the initial development of a national
wetlands protection, restoration or management program, or support
enhancement/refinement of an existing national program. Projects must be
broad in scope and encompass more than one State and/or Tribe and cannot be
limited to activities that affect such entities in only one EPA region. For
example, projects and tasks can involve advancing science or collecting and
making available through publications and other appropriate means, such as
training on how information about how various wetland programs across the
nation protect, manage, and restore their wetland resources and about initiatives
to improve S/T/LG wetland programs. Projects that are local or encompass only
one EPA Region in scope are not eligible for funding under this program but
may be eligible for funding under EPA's Regional Wetland Program
Development Grants program (CFDA 66.461). Funds cannot be used for the
purchase of land or conservation easements. Funds cannot be used for
implementation of individual mitigation projects, mitigation banks, or in-lieu-
fee mitigation programs. Assistance agreement awards under this program may
involve or relate to geospatial information. Further information regarding
geospatial information may be obtained by viewing the following website:
<http://geodata.epa.gov>. EPA's Five Star Restoration Training Grant is anticipated
to fund one cooperative agreement to an organization to manage the Five Star
Restoration Training Grant subaward process that will award subgrants to
achieve environmental training through voluntary, community/watershed-based
wetland restoration projects. Projects funded under the subgrant process must
be limited to activities that conduct or promote the coordination and acceleration
of training relating to the causes, effects, extent, prevention, reduction, and
elimination of water pollution. Other activities under section 104(b)(3) will not
be considered for funding. Funds cannot be used for the purchase of land or
conservation easements. Funds cannot be used for implementation of individual
mitigation projects, mitigation banks, or in-lieu-fee mitigation programs.
Wetland restoration training projects cannot include wetlands constructed for the
purposes of treating wastewater or stormwater only. The assistance agreement
award under this program may involve or relate to geospatial information.
Further information regarding geospatial information may be obtained by
viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Nonprofit, non-governmental organizations, Interstate agencies, and Intertribal
consortia which are capable of undertaking activities that advance wetland
programs on a national basis are eligible to compete in this program. The term
"interstate agency" is defined in CWA Section 502 as "an agency of two or more
States established by or pursuant to an agreement or compact approved by the
Congress, or any other agency of two or more States, having substantial powers
or duties pertaining to the control of pollution as determined and approved by
the Administrator." Intertribal consortia must meet the requirements of 40 CFR
Part 35.504. For certain competitive funding opportunities under this CFDA
description, the Agency may limit eligibility to compete to a number or subset of
eligible applicants consistent with the Agency's Assistance Agreement
Competition Policy.

Beneficiary Eligibility:

State, Tribal, and local governments involved in administering wetlands
protection, restoration, and/or management programs or programs related to or
complement wetlands protection programs.

Credentials/Documentation:

Non-profit applicants may be asked to provide documentation that they meet the
definition of a non-profit organization in OMB Circular A-122. Interstate
organizations may be asked to provide a citation to the statutory authority, which
establishes their status. Intertribal consortia may be asked to provide
documentation that they meet the requirements of 40 CFR Part 35.504.

Preapplication Coordination:

The standard application forms, as furnished by the Federal agency and required
by OMB Circular A-102, must be used for this program. Regarding pre-
application/pre-proposal assistance with respect to competitive funding
opportunities under this program description, EPA will generally specify the

nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The EPA Headquarters (HQ) Office will conduct the competitive process for awarding National Wetland Program Development Grants. Interested applicants should review information on the National Wetland Program Development Grants at: <http://www.epa.gov/owow/wetlands/grantguidelines/>. Submittal instructions can be found in the competitive announcement. The EPA Headquarters (HQ) Office will conduct the competitive process for awarding the Five-Star Restoration Training Grant. Interested applicants should review information on the Five-Star Restoration Training Grant at: <http://www.epa.gov/owow/wetlands/grantguidelines/>. Submittal instructions can be found in the competitive announcement. All applicants will be notified by the HQ Office on whether or not the applicant has been selected for funding. The notification is not an authorization to begin performance. The Grant Award document signed by the appropriate grant official in the EPA HQ Office is the authorizing document. Applicants selected for funding must submit a final workplan and grant application forms. Application forms are available at <http://www.epa.gov/ogd/AppKit/index.htm> and by mail upon request to the Grants and Interagency Agreements Management Division at (202) 564-5305. Completed applications should be submitted to HQ. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the HQ contact for information and to confirm deadlines.

Range of Approval/Disapproval Time:

Grants are usually approved within 4 months of receipt of completed application.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. The applicant must provide a minimum of 25 percent of the total cost of the workplan to the extent allowed by 40 CFR 31.24, 35.385, and 35.615. To determine if the minimum match is met, EPA will use the following formula: amount (in dollars) of federal funds requested from EPA divided by 3 = minimum match (in dollars) or Total project amount (in dollars) divided by 4 = minimum match (in dollars). For example, if the applicant requests \$75,000 of federal funds or has a total project cost of \$100,000 for a three-year project period, it must be able to provide \$25,000 in matching funds or services. A reduced match may be available for Tribal grantees that place the Wetland Program Development Grant funds in a Performance Partnership Grant. (See regulations at 40 CFR 536(c)). States and Tribes can provide a larger share of the project's cost whenever possible (i.e., in excess of the required 25 percent of total project costs). Award recipients can meet the match requirements with in-kind or monetary contributions from entities other than themselves. However, other Federal money cannot be used as the match for this grant program unless authorized by the statute governing the award of the other Federal funds. Matching funds are considered grant funds. They must be used for the reasonable and necessary expenses of carrying out the assistance agreement workplan. Any restrictions on the use of grant funds (i.e., prohibition of land acquisition with grant funds) also apply to the matching funds.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of grant award. The EPA Five Star Restoration Training Grant will be no more than four years in length.

Awards under the Five Star Restoration Training Grant Program are made as a single large award with multiple smaller sub-awards.

Reports:

Quarterly interim, final progress, and expenditure reports; program evaluations; and other reports as required by the specific terms of the Agreement.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the

Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304, STAG.

Obligations:

National Wetlands Program Development Grants: FY 07 \$500,000; FY 08 \$600,000; and FY 09 est. \$700,000; Five-Star Restoration Training Grant: FY 08 est. \$1,200,000.

Range and Average of Financial Assistance:

National Wetlands Program Development Grants: \$25,000 to \$225,000/fiscal year; \$100,000/fiscal year; Five-Star Restoration Training Grant: \$1,200,000 every four years; \$1,200,000/ every four years.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 29 proposals were received in response to the National Wetlands Program Development Grants competitive announcement released June 25, 2007 and approximately five (5) grants were awarded to pilot the addition of a restoration data layer to the National Wetlands Inventory for two states; provide information on vulnerable waters to local governments; build the capacity to strengthen and expand state/partner wetland programs; provide support toward training on natural resource enterprise development for non-industrial private landowners to promote wetland restoration, and to assess the current practices of avoidance and minimization practices across the country. The FY08 competitive process is still ongoing. In FY 08, EPA issued a competitive announcement for the Five-Star Restoration Training Grant to award one cooperative agreement for \$1,200,000 to organize and manage the Five Star Restoration Training Grant subaward process that will undertake projects that achieve environmental training through voluntary, community/watershed-based wetland restoration projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, 40 CFR Parts 30 and 31, 40 CFR 35.610, OMB Circular A-122, or OMB Circular A-87, as applicable.

Regional or Local Office:

None.

Headquarters Office:

Myra Price, US EPA Wetlands Division, 1200 Pennsylvania Avenue, NW, MC (4502T), Washington, DC 20460. Phone: (202) 566-1225; FAX: (202) 566-1349; e-mail: price.myra@epa.gov.

Web Site Address:

<http://www.epa.gov/owow/wetlands/>

RELATED PROGRAMS:

66.461, Regional Wetland Program Development Grants; 66.479, Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program; 66.419, Water Pollution Control State, Interstate, and Tribal Program Support; 66.454, Water Quality Management Planning; 66.456, National Estuary Program; 66.458, Capitalization Grants for Clean Water State Revolving Funds.

EXAMPLES OF FUNDED PROJECTS:

The Wetland Program Development Grant database will provide project information for the National Wetland Program Development Grants (<http://yosemite.epa.gov/water/grant.nsf>). Examples of funded projects include: wetland and stream mitigation studies; development of market-based wetland protection tools; wetland management training workshops; development of wetland modeling tools; studies on the functions and values of wetland ecosystems; planning and holding national meetings on CWA Section 404 mitigation issues; and development of education information on wetlands for state/tribal/local government officials and/or the public. For the cooperative agreement to be awarded under the Five-Star Restoration Training Grant, EPA anticipates funding to organize and manage the Five Star Restoration Training Grant subaward process that will bring together citizen groups, corporations, youth conservation corps, students, landowners, and government agencies to undertake projects that achieve environmental training through voluntary, community/watershed-based wetland restoration projects.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA

description will be described in the competitive announcement.

66.463 WATER QUALITY COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 92- 500, as amended, 33 U.S.C. 1254(b)(3).

OBJECTIVES:

To assist States, Indian Tribes, interstate agencies, and other public or nonprofit organizations in developing, implementing, and demonstrating innovative approaches relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution through both permitted and non-permitted areas. Funding Priority - Fiscal Year 2008: Funding priorities include, but are not limited to: watershed approaches for solutions to wet weather activities (i.e., combined sewer overflow, sanitary sewer overflows, storm water discharge, and animal feeding operations); pretreatment and biosolids (sludge) program activities, decentralized systems; and alternative ways to enhance or measure the effectiveness of point source programs. Trading, water efficiency, asset management, and sustainable infrastructure are also areas of consideration. No appropriation authority was provided for FY 2006 or FY 2007. However the above funding priorities will be used if unobligated carryover funds are available in FY 2008. Any awards made with carryover funds will be in accordance with the Agency's competition policy. Funding Priority - Fiscal Year 2009: Funding priorities include, but are not limited to: watershed approaches for solutions to wet weather activities (i.e., combined sewer overflow, sanitary sewer overflows, storm water discharge, and animal feeding operations); pretreatment and biosolids (sludge) program activities, decentralized systems; and alternative ways to enhance or measure the effectiveness of point source programs. Trading, water efficiency, asset management, and sustainable infrastructure are also areas of consideration. No appropriation authority was provided for FY 2006 or later. However the above funding priorities will be used if unobligated carryover funds are available in FY 2009. Any awards made with carryover funds will be in accordance with the Agency's competition policy.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

These grants are intended to encourage efforts to improve water quality by promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Projects must attain tangible results. EPA may award grants to fund internships for high school students to work at wastewater treatment plants under the Youth and the Environment sub-program in EPA Regions 1, 2, 3, 5, 6, 7, 8, 9 and 10. In the case of Regional competitions, eligible projects may be limited in geographic scope to benefit the citizens of States, Tribes, or Territories within those Regions. Other specific uses of funds will be described in Requests for Proposals for this program. EPA will not award grants to fund ongoing State, Tribal, or local program activities, construction, or to purchase land. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

State water pollution control agencies, interstate agencies, Tribes, colleges and universities, individuals, and other public or nonprofit organizations. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Individuals and organizations affected by water pollution, including State Water Pollution Control Agencies, interstate agencies, Tribes, colleges and universities, and other public or nonprofit organizations.

Credentials/Documentation:

Nonprofit applicants may be asked to provide documentation that they meet the definition of a non-profit organization in OMB Circular A-122. Interstate organizations may be asked to provide a citation to the statutory authority which establishes their status. Intertribal consortia must meet the requirements of 40 CFR Part 35.504.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Competition procedures vary by Region and Headquarters Office. EPA will generally publish a Request for Proposals (RFP), evaluate the proposals under the criteria contained in the RFP, and requests that selected applicants submit a full application. RFPs are synopsisized at www.fedgrants.gov, and may be posted on Agency websites or in the Federal Register. All competitions will be conducted in accordance with EPA policy for competing assistance agreements. For Headquarters competitions, applicants submit a proposal, and EPA evaluates and scores the proposals and invites selected applicants to submit a formal application. Regional procedures may vary, in accordance with the terms of Regional Request for Proposals. Application forms and completed applications may be requested from and submitted to the appropriate EPA Headquarters or Regional Office. The standard Federal application forms must be used for this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific deadlines are established by the terms of the Request for Proposals.

Range of Approval/Disapproval Time:

Regional or Headquarters review will normally be done within approximately 60 days following the deadline for submissions.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

The terms of the assistance shall be determined at the time of award.

Reports:

Progress report requirements will be a part of each assistance agreement. Headquarters issued agreements will normally require quarterly and annual reports. A schedule showing interim milestones and the outputs and outcomes that will be completed by the end of the project period will also be included, as will any specific requirements included in the assistance agreement.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Record keeping requirements are specified 40 CFR Parts 30 or 31 as applicable. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; STAG.

Obligations:

FY 07 \$1,258,100; FY 08 \$500,000; and FY 09 \$0.

Range and Average of Financial Assistance:

Projects typically range from \$15,000 to \$270,000/fiscal year. The average grant awarded by Headquarters is approximately \$62,000/fiscal year. The average Regional award is approximately \$86,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

There were a total of eight awards in FY 2007, and two awards pending for FY 08 to develop methods for utilities to use to quantify environmental benefits and costs, to develop a tool to help communities apply the principles and best practices of asset management to maintain, upgrade, and operate physical assets cost-effectively while protecting public health and safety. Funding was also provided to develop nutrient management plans that will eliminate or reduce the

quantity of polluted runoff from the land application of animal residuals.

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and agreements with States, local governments, and Indian tribal governments, the procedures and requirements shall be in conformance with 40 CFR Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," 40 CFR Part 35, "Environmental Program Grants-State, Interstate, and Local Governments Agencies," OMB Circular Nos. A-87 "Cost Principles for State and Local Governments," and A-102 "Grants and Cooperative Agreements for States and Local Governments."

For grants and agreements with other entities the procedures shall be in conformance with 40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," and OMB Circular Nos. A-122 for Non-Profit Organizations and A-21 for Educational Institutions.

Regional or Local Office:

Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog for information on the grants administration process or the Regional WQCA Coordinator shown at <http://epa.gov/owm/mab/indian/104coord.htm>.

Headquarters Office:

Barron Benroth, Office of Wastewater Management (4201M), EPA, Room 7126, EPA East, 1200 Pennsylvania Avenue N.W., Washington DC, 20460.

Telephone: (202) 564-0672; Fax: (202) 501-2399; E-mail:

Benroth.Barry@epa.gov.

Web Site Address:

<http://www.epa.gov/owm/cwfinance/index.htm>

RELATED PROGRAMS:

66.419, Water Pollution Control State, Interstate, and Tribal Program Support;

66.454, Water Quality Management Planning.

EXAMPLES OF FUNDED PROJECTS:

Development of software tool for preparation of industrial stormwater pollution prevention plan; calculating utility avoided cost and environmental values of urban water efficiency programs; characterizing the quality of effluent and other contributory sources during peak wet weather events; Concentrated Animal Feeding Operations producer outreach and development of a system for improved poultry manure management; and nonpoint source pollution reduction through water quality trading.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.466 CHESAPEAKE BAY PROGRAM

FEDERAL AGENCY:

OFFICE OF WATER, CHESAPEAKE BAY PROGRAM OFFICE (CBPO),
REGION III, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 117.

OBJECTIVES:

To expand and strengthen cooperative efforts to restore and protect the Chesapeake Bay. EPA's funding priority is to achieve the goals and commitments established in the Chesapeake 2000 agreement. As a means to achieve the goals and commitments of the historic 1983 Chesapeake Bay Agreement to the current Chesapeake 2000 Agreement, assistance agreements are awarded to: Nonprofit organizations, State and local governments, colleges, universities, and interstate agencies. The type of projects that are awarded range from monitoring of bay toxins to environmental education. Funding Priority - Fiscal Year 2008: The five overarching commitments laid out in the Chesapeake 2000 agreement define the funding priorities for the Chesapeake Bay Program. These commitments are: (1) Restore, enhance, and protect the finfish, shellfish, and other living resources; their habitats; and ecological relationships to sustain all fisheries and provide for a balanced ecosystem; (2) Preserve, protect, and restore those habitats and natural areas that are vital to the survival and diversity of the living resources of the Bay and its rivers; (3) Achieve and maintain the water quality necessary to support the aquatic living resources of the Bay and its tributaries and to protect human health; (4) Develop, promote, and achieve sound land use practices which protect and restore watershed resources and water quality; maintain reduced pollutant loadings for the Bay and its tributaries; and restore and preserve aquatic living resources; and (5) Promote individual stewardship and assist individuals, community-based organizations, businesses, local governments, and schools to undertake initiatives to achieve the goals and commitments of this agreement. Funding Priority - Fiscal Year 2009: The five overarching commitments laid out in the Chesapeake 2000 agreement define the funding priorities for the Chesapeake Bay Program. These commitments are: (1) Restore, enhance, and protect the finfish, shellfish, and other living resources; their habitats; and ecological relationships to sustain all fisheries and provide for a balanced ecosystem; (2) Preserve, protect, and restore those habitats and natural areas that are vital to the survival and diversity of the living resources of the Bay and its rivers; (3) Achieve and maintain the water quality necessary to support the aquatic living resources of the Bay and its tributaries and to protect human health; (4) Develop, promote, and achieve sound land use practices

which protect and restore watershed resources and water quality; maintain reduced pollutant loadings for the Bay and its tributaries; and restore and preserve aquatic living resources; and (5) Promote individual stewardship and assist individuals, community-based organizations, businesses, local governments, and schools to undertake initiatives to achieve the goals and commitments of this agreement.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Section 117 authorizes the Agency to award grants or cooperative agreements to: (1) Nonprofit organizations, State and local governments, colleges, universities, and interstate agencies as authorized under and in accordance with Section 117(d)(1), to implement the goals of the Chesapeake Bay Agreement, such as activities to support living resources, vital habitat, water quality, sound land use protection, restoration, and stewardship community engagement. State agencies applying for grants under Section 117(d)(1) must commit to a cost share ranging from 5 percent to 50 percent, as determined at the sole discretion of EPA. This determination will be made on a grant-by-grant basis and EPA will promptly inform the applicant of the selected cost share requirement. All other applicants applying for grants under Section 117 must commit to a cost share of 5 percent of the total project costs; (2) signatory jurisdictions of the Chesapeake Bay Agreement for purposes of implementing the management mechanisms established under the Chesapeake Bay Agreement and for the purpose of monitoring the Chesapeake Bay ecosystem, as authorized under and in accordance with Section 117(e). This section requires a non-federal matching funds of at least fifty percent. The Small Watershed Grants Program provides small grants to organizations working on a local level to protect and improve watersheds in the Chesapeake Bay basin while building citizen based resource stewardship, as authorized and in accordance with Section 117(g)(2). This section requires a non-federal matching funds of 25 percent. The purpose of the Small Watershed Grants Program is to address the water quality and living resource needs of the Chesapeake Bay ecosystem. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Under section 117(d)(1), funds are available for technical assistance and assistance grants to nonprofit organizations, State and local governments, colleges, universities, and interstate agencies; under section 117(e), funds are available for implementation and monitoring grants to signatory jurisdictions; and under section 117(g)(2), funds are available for technical assistance and assistance grants under the Small Watershed Grants Program to local governments and nonprofit organizations and individuals in the Chesapeake Bay region. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Under section 117(d)(1), funds are available for technical assistance and assistance grants to nonprofit organizations, State and local governments, colleges, universities, and interstate agencies; under section 117(e), funds are available for implementation and monitoring grants to signatory jurisdictions; and under Section 117(g)(2), funds are available for technical assistance and assistance grants under the Small Watershed Grants Program to local governments and nonprofit organizations and individuals in the Chesapeake Bay region.

Credentials/Documentation:

Compliance with 40 CFR Parts 30 and 31, and with Program Grant Guidance to matching funds. Costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal Governments, OMB Circular No. A-122 for nonprofit organizations, and OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Preapplication assistance is recommended and should be obtained from the EPA Region III Grants Management Office. All proposals or work statements should be consistent with CBPO's Grant Guidance. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

RFPs will be announced to request initial proposals to implement specific outcomes that will further goals of the Chesapeake 2000 Agreement. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant

opportunities under this CFDA. Interested parties who would like to receive a copy of issued RFPs and be added to the Environmental Protection Agency's CBPO RFP database should submit their name, address, phone number, and e-mail address (optional) to Veronica Kuczynski at kuczynski.veronica@epa.gov. Mailing address is Environmental Protection Agency, CBPO, Grants Office, 410 Severn Ave., Suite 109, Annapolis, MD 21403. EPA will only accept addresses provided in writing (e-mail or letter); no phone calls. Interested parties applying for a grant under the Small Watershed Grants Program should submit their name and address to the National Fish and Wildlife Foundation 1120 Connecticut Avenue, NW, Suite 900, Washington, DC 20026, for purpose of establishing a database to receive RFPs. National Fish and Wildlife Foundation will list the RFP on their website: www.NFWF.org. Application kits may be requested from EPA CBPO, 410 Severn Avenue, Suite 109, Annapolis, MD 21403. The completed application, including the final proposal, should be submitted to EPA Region III Grants Management Office, 1650 Arch Street, Philadelphia, Pennsylvania 19103 with a copy to CBPO in Annapolis for processing. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Proposals are received when an Request for Proposal (RFP) is issued, usually in the spring/summer time frame. CBPO will post the RFP on its website <http://www.epa.gov/region03/Chesapeake/grants.htm>. Application deadlines will be provided in the RFP.

Range of Approval/Disapproval Time:

Approximately 7 months after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Renewals are subject to approval of EPA.

Formula and Matching Requirements:

This program has no statutory formula. Under Section 117 (e), State agencies applying for implementation and monitoring grants must identify 50 percent cost share of total project costs (equal match/dollar for dollar). State agencies applying for grants under Section 117 (d)(1), must commit to a cost share ranging from 5 percent to 50 percent as determined at the sole discretion of EPA. This determination will be made on a grant-by-grant basis and EPA will promptly inform the applicant of the selected cost share requirement. All other applicants applying for grants under Section 117 must commit to a cost share of 5 percent of the total project costs. Applicants applying for Small Watershed Grants Program under Section 117(g)(2) must commit to a cost share of 25 percent of the total project cost. State and local governments receiving assistance under any of the provisions of Section 117 must comply with 40 CFR 31.24 and all other applicants must comply with 40 CFR 30.23. In addition to the cost share requirement, applicants must adhere to the requirement in the Clean Water Act, Section 117 - "Administrative Costs." This section requires a 10 percent cap for administrative costs. The cost of salaries and fringe benefits incurred in administering the grant cannot exceed 10 percent of the Federal grant amount.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12-month basis. The term of the grant shall be determined at the time of grant award.

Reports:

Quarterly, semi-annual, and final progress and expenditure reports; program evaluation; and other reports as required by the specific terms of the Agreement and EPA Order 5700.7, Environmental Results under EPA Assistance Agreements. Financial Status Reports must be submitted.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to

personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; EPM.

Obligations:

FY 07 \$14,154,594; FY 08 \$24,844,000; and FY 09 \$29,001,000.

Range and Average of Financial Assistance:

\$5,000 to \$7,800,000/fiscal year; \$3,902,500/fiscal year.

PROGRAM ACCOMPLISHMENTS:

In FYs 07 and 08, CBPO issued two RFPs. CBPO received 4 proposals and two awards are pending to date. FY 07 and 08 activities included: communications, outreach, and education activities to support the staffing and operations of the Bay Program Communication Office; implementation of state tributary strategies to reduce nutrients and sediments to improve water quality; continued technical support and outreach to address water quality restoration goals and maintain public awareness of Bay restoration; investigate the nitrogen reduction efficiency and cost effectiveness of nitrogen reducing septic systems within the mid-Atlantic region; evaluation of the impact of phosphorus species on water quality and plant growth; NPDES data synthesis and field monitoring to better estimate loadings of toxics (metals, organics) to Chesapeake Bay through storm water; Chesapeake Bay modeling, GIS and data analyses support, WQ data analysis/integration support, and nonpoint and point source data management/analysis. In FY 07, CBPO issued one RFP for Submerged Aquatic Vegetation (SAV) Annual Aerial Survey. CBPO received one proposal and one award is pending. In FY 08, CBPO issued one RFP for the Development and Implementation of a Chesapeake Bay Innovative Nutrient and Sediment Reduction Program CBPO received three proposals and one award is pending.

REGULATIONS, GUIDELINES, AND LITERATURE:

Chesapeake Bay Program Office's Annual Grant Guidance and general regulations (40 CFR Parts 30 and 31) for assistance programs available from EPA.

Regional or Local Office:

Veronica Kuczynski, EPA Chesapeake Bay Program Office, 410 Severn Avenue, Suite 109, Annapolis, MD 21403. Telephone: (410) 267-5743; Fax: (410) 267-5777; E-mail: kuczynski.veronica@epa.gov.

Headquarters Office:

None.

Web Site Address:

<http://www.epa.gov/region03/chesapeake>.

RELATED PROGRAMS:

66.419, Water Pollution Control State, Interstate, and Tribal Program Support; 66.454, Water Quality Management Planning; 66.460, Nonpoint Source Implementation Grants; 66.439 Targeted Watersheds Grants.

EXAMPLES OF FUNDED PROJECTS:

Grants and cooperative agreements awarded to States and local governments, colleges, universities interstate agencies, and nonprofit organizations are for nonpoint source implementation, water quality monitoring, education and outreach, living resources and habitat restoration, and program support. Examples of funded projects include: (1) enhanced storm water monitoring; (2) communications, outreach, and public education efforts; (3) computer modeling of different population levels of menhaden for multi-species management and potential impact on water quality; (4) nonpoint source pollution programs; and (5) Chesapeake Bay point source data management.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.467 WASTEWATER OPERATOR TRAINING GRANT PROGRAM (TECHNICAL ASSISTANCE)

(Wastewater O&M Onsite Technical Assistance Grants)

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Federal Water Pollution Control Act, Section 104(g)(1), as amended, 33 U.S.C. 1251 et seq.

OBJECTIVES:

To substantially enhance the proficiency of personnel engaged in the operations and maintenance of treatment works and related activities by financing pilot programs. Funding Priority - Fiscal Year(FY) 2008: No funds were appropriated in FY 2008. Available FY 2007 grant funds will primarily be used for on-site technical assistance to address the unique performance limiting conditions of the plants. Classroom training may also be provided, on a limited basis when cost effective, to improve the skills of wastewater treatment plant operator. Funding Priority - Fiscal Year 2009: Grant funds, if available, will primarily be used for on-site technical assistance to address the unique performance limiting conditions of the plants. Classroom training may also be provided, on a limited

basis when cost effective, to improve the skills of wastewater treatment plant operator.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance is available to support recipients' allowable direct costs incidental to approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations.

Applicant Eligibility:

State agencies or the designated organizations of the States, the District of Columbia, Puerto Rico, Virgin Islands, Guam, Northern Marianas, America Samoa, the Trust Territories, and Native American Indian Tribes. Additionally, institutions of higher education and "not for profit institutions" may also apply for funding if acting as a designated organization of the State.

Beneficiary Eligibility:

State, Tribal, and local governments and publicly owned treatment works. Activities which contribute to Operation and Maintenance (O&M) improvements at publicly owned treatment works, including pollution prevention activities, may be funded. Legislative history supports using appropriations for onsite O&M technical assistance to the small sized publicly owned treatment work (POTWs) of less than 5 million gallons per day (MGD) of wastewater flow, since many of these POTWs are exhibiting performance problems and do not have readily available consultant's technical assistance like those of the larger POTWs.

Credentials/Documentation:

Costs will be determined in accordance with applicable OMB Circular No. A-87, Cost Principles for State and local governments. Non-profit applicants may be asked to provide documentation that they meet the definition of a non-profit organization in OMB Circular A-122. Tribes may be asked to demonstrate that they are Federally recognized.

Preapplication Coordination:

The standard EPA application assistance forms must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

This program is subject to the provisions of OMB Circular No. A-102 Uniform Administration Requirements for Grant-in-Aid to State and Local Government. Request for application forms and completed applications must be submitted to the applicable Regional or Headquarters Grants Administration office. Applications must meet the requirements of the grant regulations and must be reviewed and approved by the appropriate Regional or Headquarters' waste management program office prior to approval by the Grants office. The review must include an administrative and technical evaluation to determine adequacy, merit, and relevance of the proposal. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

An application is reviewed by the appropriate EPA Regional Office and, if approved, is signed by the Regional Administrator or designated Division Director.

Deadlines:

Historically, annual fiscal year funds have been appropriated by Congress, and are generally available in January to the Headquarters wastewater management program office so that the program office can fund applicants before the end of the fiscal year. Applicants should ensure that its application and reviews meet this funding deadline. Contact the appropriate Regional Office for application deadlines.

Range of Approval/Disapproval Time:

Generally, 90 days after the grant application is received by EPA.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Generally 25 percent in kind service/goods or matching funds is required; however, this provision may be waived in certain cases.

Length and Time Phasing of Assistance:

Grants may be awarded for a project period not to exceed 3 years. The term of the grant shall be determined at the time of grant award.

Reports:

Quarterly progress and final reports, annual expenditure reports, and other reports as specified by the Assistance Agreement must be provided to the appropriate Regional or Headquarters wastewater management program office. Headquarters receives semi-annual status reports, and an annual data submission of the program's progress for the current fiscal year, from all Regional Offices.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records and other records which substantiate the reasonable and allocable charges must be available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status reports. If questions arise, related records must be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; STAG.

Obligations:

FY 07 \$1828,100; FY 08 \$0; and FY 09 est. \$0.

Range and Average of Financial Assistance:

A State receives approximately \$30,000/fiscal year to provide funds to onsite technical assistance providers. The range and average of financial assistance provided is: \$12,000 to \$40,000/fiscal year; \$25,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Approximately 46 states and state environmental training centers receive grants to provide on-site technical assistance to needy small POTWs (capacity less than 5 MGD) to assist them in achieving or maintaining compliance, or improving plant performance. There are over 15,000 POTWs in the nation of which about 14,000 have capacity less than 5 MGD. In 2007, with a funding level of \$1.182 million, 586 small wastewater treatment facilities received technical assistance which resulted in the prevention of more than four million pounds of pollutants from entering into the nation's surface waters. Results for 2008 are not currently available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants for technical assistance should review 40 CFR Part 30, the General Grant Regulations and Procedures, and the annual guidance produced by the Headquarters wastewater program office.

Regional or Local Office:

See EPA Regional Offices listed in Appendix IV of the Catalog or online for additional information.

Headquarters Office:

Kellie Kubena, Acting Branch Chief, Municipal Assistance Branch (4204-M), Office of Wastewater Management, EPA East, 1200 Pennsylvania Avenue, N.W., Washington, DC 20460. Telephone: (202) 566-0448; Fax: (202) 501-2396; E-mail: kubena.kellie@epa.gov.

Web Site Address:

<http://www.epa.gov/owm/mab/smcomm/104g/index.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded projects include: diagnostic and energy/water use evaluations at small POTWs; POTW-State technical assistance plans, agreements, and schedules for achieving improvements; technical assistance to communities on plant operations, maintenance, laboratory management, solids handling and disposal, facility management, financial management, pollution prevention, energy saving, and water use; follow-up visits to monitor improvements; and on-site performance summaries.

CRITERIA FOR SELECTING PROPOSALS:

On-site wastewater O&M technical applications managed by the Regions are evaluated based on the Headquarters wastewater program office's annual guidance, as well as EPA's grants management-related guidance. Other pilot program proposals, with similar objectives, will be considered by the Regional and Headquarters wastewater program offices based on the merits of the proposal, and prior designation by the applicable State agency(s) to receive these funds. Project objectives and requirements for applicants for other pilot project funding will be negotiated on a case-by-case basis.

66.468 CAPITALIZATION GRANTS FOR DRINKING WATER STATE REVOLVING FUNDS

(Drinking Water State Revolving Fund)

FEDERAL AGENCY:

OFFICE OF GROUND WATER AND DRINKING WATER,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Safe Drinking Water Act (SDWA) Amendments of 1996, Section 130, Public Law 104-182.

OBJECTIVES:

Grants are made to States and Puerto Rico to capitalize their Drinking Water State Revolving Funds (DWSRFs) which will provide a long-term source of financing for the costs of drinking water infrastructure. Grants are also made to the District of Columbia, U.S. Territories (Virgin Islands, Mariana Islands American Samoa, and Guam), and Indian Tribes. Funding Priority - Fiscal Year 2008: The funding priority established by the SDWA are for infrastructure improvement projects that are needed to achieve or maintain compliance with SDWA requirements, protect public health, and assist systems with economic need. A State may use a portion of the grant funds for programs that emphasize preventing contamination problems through source water protection and enhancing water system management. States and EPA Regions determine priorities for funding in accordance with SDWA. The program supports the Agency's strategic goal of ensuring clean and safe water. Funding Priority - Fiscal Year 2009: The funding priority established by the SDWA are for infrastructure improvement projects that are needed to achieve or maintain compliance with SDWA requirements, protect public health, and assist systems with economic need. A State may use a portion of the grant funds for programs that emphasize preventing contamination problems through source water protection and enhancing water system management. States and EPA Regions determine priorities for funding in accordance with SDWA. The program supports the Agency's strategic goal of ensuring clean and safe water.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Capitalization grants are made available to each State and Puerto Rico for the purpose of establishing a DWSRF for providing assistance to drinking water systems for infrastructure improvements. The capitalization grant is deposited in the State's DWSRF, and is used to provide loans and other types of financial assistance to eligible public water systems. A State may elect to use up to 31% of the capitalization grant for other eligible activities, including 4% for administration of the program. States may also elect to transfer up to one-third of the DWSRF capitalization grant to the Clean Water State Revolving Fund (CWSRF) or an equivalent amount from the CWSRF to the DWSRF program. Grants are also made available to Federally Recognized Indian Tribal Governments, U.S. Territories, and the District of Columbia. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

States, the District of Columbia, U.S. Territories or Possessions (the Commonwealth of Puerto Rico, Virgin Islands, Mariana Islands American Samoa, and Guam), and Federally Recognized Indian Tribal Governments are eligible for grants from the program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, U.S. Territories or Possessions (the Commonwealth of Puerto Rico, Virgin Islands, Mariana Islands American Samoa, and Guam), Federally Recognized Indian Tribal Governments, local, and intrastate.

Credentials/Documentation:

To receive a grant, an applicant enters into an agreement with the EPA Regional Administrator which shall include, but are not limited to, the requirements set forth in Section 130 of the SDWA. Recipients must follow OMB Circular No. A-87, "Cost Principles for State, Local and Indian Tribal Governments."

Preapplication Coordination:

States are required to prepare and provide for public comment on a plan identifying the intended uses (Intended Use Plan, or IUP) of the funds in the DWSRF and how those uses support the goals of the DWSRF. The IUP is to be submitted no later than the application. An environmental impact statement is not required prior to grant award; however, a State environmental review process must be applied to all subsequent State assistance for drinking water systems. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode 3903R, Washington, DC 20460 or through the appropriate

Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at:

http://www.epa.gov/ogd/grants/how_to_apply.htm. Grants for Tribes, U.S.

Territories, and the District of Columbia are selected based on funding priorities established by EPA Regional Offices. States must certify that they have the legal authority to receive a capitalization grant and that they have the legal authority to operate the program. States must provide assurance in their applications that they have the legal, managerial, technical and operational capabilities to administer the DWSRF program competently and that they will comply with all applicable Federal cross-cutting authorities and Federal statutes. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office, and if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA. EPA Headquarters retains the authority to review certain applications or parts thereof. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Applications should be submitted to the appropriate Regional Office no later than June 30 of the year following the year of appropriation to allow sufficient time for review and processing prior to the September 30 reallocation deadline.

Range of Approval/Disapproval Time:

Approval time averages 45 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

For those portions of the applicant's program that do not change from year to year, a subsequent grant application may incorporate by reference relevant portions of the previous year's application which have not changed.

Formula and Matching Requirements:

The funds available for allotment to State DWSRF programs are those funds appropriated by Congress under the Safe Drinking Water Act (SDWA 1452; 40 CFR 35.3540-35.3585). EPA allots funds to each State based on the State's proportional share of total eligible needs reported for the most recent Drinking Water Infrastructure Needs Survey (which is conducted every four years). The minimum proportional share that each State can receive is 1% of total funds available to States. EPA reserves up to 0.33 percent of the funds available for allotment to the States to provide grants to the U.S. Territories (Virgin Islands, Mariana Islands American Samoa, and Guam). The SDWA also requires the Administrator to reserve 1 percent of the funds available for allotment to the States to provide grants to the District of Columbia. The SDWA also allows EPA to reserve funds for national set-asides that include: up to 1.5 percent of the national appropriation for grants to Indian Tribes, and funding for health effects studies, small system technical assistance, and monitoring of unregulated contaminants. The required State match is 20 percent of the amount of the capitalization made to the State. States must also provide a match or demonstrate a credit for state funded eligible activities to receive Federal funds for certain program support activities. For additional information go to <http://www.epa.gov/safewater/dwsrf/allotments/index.html>.

Length and Time Phasing of Assistance:

Funds are available for obligation during the fiscal year in which they are allotted and during the following year. The term of the grant shall be determined at the time of award. States must agree to enter into binding commitments with loan recipients to provide financial assistance from the DWSRF in an amount equal to the sum of Federal assistance, less amounts used by the State for eligible set-aside purposes, and the State match. States are also required to agree to commit and expend all funds in the DWSRF as efficiently as possible, and in a timely manner.

Reports:

States shall provide biennial reports to the Regional Administrator in accordance with the schedule established in the grant agreement (generally not later than 90 days after the end of the second fiscal year during which the payments were received). The biennial report shall describe how the State has met the goals and objectives for the preceding two fiscal years as identified in its intended use plans for those periods, including identification of loan recipients, loan amounts, and loan terms and similar details on other forms of financial assistance provided from the DWSRF. For grants to Tribes and U.S. Territories, procedures for accounting, auditing, evaluating, and reviewing any program for activities in whole or in part shall be governed by regulations at 40 CFR Parts 31 and 35, "Environmental Program Grants for Tribes," with reports as required by the specific terms of the agreement.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133. States must comply with the provisions of the Single Audit Act Amendments of 1996, and the OMB Circular No. A-133 and Compliance Supplement. States are also encouraged to conduct annual independent audits. The audit of the fund to be prepared by the State or an independent auditor must be in accordance with the standards of the Government Accountability Office (known as the Generally Accepted Government Auditing Standards). To the extent that the set-asides are used for project purposes that must be repaid, or are directly related to the DWSRF (e.g., administration) or are revolving funds themselves, they must be part of an audited opinion(s). The audits must provide an auditor's opinion on the DWSRF financial statements, a report on internal controls and a report on compliance with laws and regulations. Those set-aside funds that are not loaned out may be audited in conjunction with audits conducted under the Single Audit Act, as described in OMB Circular No. A-133 and OMB's Compliance Supplement for Single Audits of State and Local Governments.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved. As part of the annual review conducted by the Agency to assess a State's performance against activities identified in the intended use plan and biennial report, and to determine compliance with the terms of the capitalization grant agreement, the State or assistance recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the SDWA.

Account Identification:

68-0103-0-1-304; STAG.

Obligations:

FY 07 \$788,194,200; FY 08 \$829,029,000; and FY 09 est. \$838,167,000.

Range and Average of Financial Assistance:

States: \$8,146,000 to \$66,424,000/fiscal year; \$16,105,000/fiscal year. Tribes: \$4,000 to \$1,600,000/fiscal year; \$320,000/fiscal year. Territories: \$249,200 to \$8,229,300/fiscal year; \$2,189,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

All 50 States and Puerto Rico have established and are implementing DWSRF programs through receipt of a capitalization grant. In FY 07, States made 578 loans to systems for a total of \$1.6 billion dollars to conduct infrastructure improvement projects. Of the total number of loans, 72 percent went to small water systems that serve 10,000 persons or fewer. States also used funds to prevent drinking water contamination through source water protection and enhanced system management. In FY 07 and in FY 08, four awards were made to Territories and 38 awards were made to Tribes to conduct infrastructure improvement projects that are needed to achieve or maintain compliance with SDWA requirements. In FY 09, States, Tribes, and Territories will continue to receive funding to support infrastructure improvement projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31) and Drinking Water State Revolving Funds (40 CFR Part 35, Subpart L). Additional program information, including contact information for State program managers, is available online at <http://www.epa.gov/safewater/dwsrf.html>.

Regional or Local Office:

See EPA Regional Offices listed in Appendix IV of the Catalog, or online.

Headquarters Office:

Peter Shanaghan, Infrastructure Branch (4606M), Drinking Water Protection Division, Office of Groundwater and Drinking Water, Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Washington, DC 20460. Telephone: (202) 564-3848; Fax: (202) 564-1836; E-mail: shanaghan.peter@epa.gov.

Web Site Address:

<http://www.epa.gov/safewater/dwsrf.html>.

RELATED PROGRAMS:

66.458, Capitalization Grants for Clean Water State Revolving Funds.

EXAMPLES OF FUNDED PROJECTS:

Drinking water projects addressing treatment, storage, source, transmission, distribution and consolidation. Some examples of projects include: construction of a ultra-violet treatment facility; construction of pumping stations; upgrades of

water treatment plant; line replacement; and new wells to replace wells that have been contaminated.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.469 GREAT LAKES PROGRAM**FEDERAL AGENCY:**

OFFICE OF WATER, GREAT LAKES NATIONAL PROGRAM OFFICE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Sections 104 and 118, 33 U.S.C.1254; National Environmental Policy Act, Section 102(2)(F).

OBJECTIVES:

To restore and maintain the chemical, physical, and biological integrity of the Great Lakes Basin Ecosystem. The Great Lakes National Program Office (GLNPO), in concert with EPA Regions 2, 3, and 5, leads a consortium of programs, agencies, and public and private institutions in attaining specific objectives and actions that will reduce contaminants, restore habitat, and protect the living resources of the basin. EPA and its partners will use the Great Lakes Regional Collaboration's strategy as a guide in activities supporting the Great Lakes Water Quality Agreement goal of restoring the chemical, physical, and biological integrity of the waters of the Great Lakes Basin Ecosystem. See <http://www.glrc.us/strategy.html>. Funding Priority - Fiscal Year 2008: (1) Remediation of contaminated sediments, including (a) sediment assessments; (b) evaluations of remedial alternatives; and (c) source identification/source control. (2) Pollution prevention, reduction, or elimination with an emphasis on substances which are persistent and toxic, especially those which bioaccumulate, in the Great Lakes basin. (3) Habitat (Ecological) Protection and Restoration, including demonstration of practices and tools for protecting and restoring aquatic, terrestrial, and wetland ecosystems. (4) Invasive (non-indigenous) aquatic and terrestrial species in the Great Lakes Basin, with an emphasis on prevention. (5) Strategic or emerging issues of basin-wide importance, including projects which: address assessment, causes, and/or effects of chemical or biological pollutants not in the regulatory mainstream; cut across or overlap two or more of the foregoing areas; support conferences regarding the Great Lakes Water Quality Agreement; address issues pursuant to the Great Lakes Executive Order or the Regional Collaboration; or address some other unanticipated area. (6) Lakewide Management Plan and Remedial Action Plan implementation and development, including de-listing of Areas of Concern and Beneficial Use Impairments. (7) Environmental protections related to Lake St. Clair. (8) Strategic Human Health issues. (9) Various aspects of Great Lakes monitoring including fish contaminants, atmospheric deposition, biology, and open water toxics. (10) Projects addressing contaminated sediments in Areas of Concern pursuant to the Great Lakes Legacy Act. Under the Legacy Act, EPA typically funds large projects in Areas of Concern using Project Agreements (not grants) and requiring a minimum 35 percent non-federal match. Funding Priority - Fiscal Year 2009: (1) Remediation of contaminated sediments, including (a) sediment assessments; (b) evaluations of remedial alternatives; and (c) source identification/source control. (2) Pollution prevention, reduction, or elimination with an emphasis on substances which are persistent and toxic, especially those which bioaccumulate, in the Great Lakes basin. (3) Habitat (Ecological) Protection and Restoration, including demonstration of practices and tools for protecting and restoring aquatic, terrestrial, and wetland ecosystems. (4) Invasive (non-indigenous) aquatic and terrestrial species in the Great Lakes Basin, with an emphasis on prevention. (5) Strategic or emerging issues of basin-wide importance, including projects which: address assessment, causes, and/or effects of chemical or biological pollutants not in the regulatory mainstream; cut across or overlap two or more of the foregoing areas; support conferences regarding the Great Lakes Water Quality Agreement; address issues pursuant to the Great Lakes Executive Order or the Regional Collaboration; or address some other unanticipated area. (6) Lakewide Management Plan and Remedial Action Plan implementation and development, including de-listing of Areas of Concern and Beneficial Use Impairments. (7) Environmental protection related to Lake St. Clair. (8) Strategic Human Health issues. (9) Various aspects of Great Lakes monitoring including fish contaminants, atmospheric deposition, biology, and open water toxics. (10) Projects addressing contaminated sediments in Areas of Concern pursuant to the Great Lakes Legacy Act. Under the Legacy Act, EPA typically funds large projects in Areas of Concern using Project Agreements (not grants) and requiring a minimum 35 percent nonfederal match.

TYPES OF ASSISTANCE:

Project Grants; Use of Property, Facilities, and Equipment; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Section 104(b)(3) of the Clean Water Act, 33 U.S.C. Section 1254(b)(3), authorizes the awarding of assistance for programs established under the Clean Water Act. The Great Lakes National Program Manager is delegated authority to award assistance (for research, investigations, experiments, training,

demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of pollution) affecting the Great Lakes Basin and/or in support of the U.S.-Canada Great Lakes Water Quality Agreement. Such activities include surveillance and monitoring of Great Lakes water quality and land use activities. Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved activities plus allowable indirect costs, in accordance with established EPA policies and regulations. Quality System Documentation is required for projects involving measurements or information that describe environmental processes, location, or conditions; ecological or health effects and consequences; or the performance of environmental technology. Section 118 (c)(12) authorizes contaminated sediment remediation in Areas of Concern pursuant to eligible projects under the Great Lakes Legacy Act; however, these will be done through project cooperation agreements, rather than assistance. Eligibility and other requirements for Legacy Act projects is being developed. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

State water pollution control agencies, interstate agencies, other public or nonprofit private agencies, institutions, and organizations for the purposes stated in Section 104 (a)(1) of the Clean Water Act, 33 U.S.C. Section 1254(a)(1). "For profit" organizations are not eligible. Colleges and universities which are subject to 40 CFR 30 or 31 are eligible. Since other federal agencies are public agencies or institutions, they are generally eligible to compete and would receive an interagency agreement if selected for an award. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, interstate agencies, public and private nonprofit organizations, institutions, and Indian tribes are eligible; "for profit" organizations are not. Colleges and universities which are subject to 40 CFR 30 or 31 are eligible.

Credentials/Documentation:

The provisions of OMB Circular No. A-87 "Cost Principles for State, Local and Indian Tribal Governments," OMB Circular No. A-21 "Cost Principles for Educational Institutions," and of OMB Circular No. A-122 "Cost Principles for Nonprofit Organizations" apply.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Preapplication assistance is available. Requests for Initial Proposals and Proposals will be accessible on the Internet from GLNPO's home page (<http://www.epa.gov/glnpo/>) and an announcement will be mailed to organizations which have registered with GLNPO. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

As specified in applicable requests for proposals. Application kits may be requested from USEPA - GLNPO (G-17J), 77 West Jackson Boulevard, Chicago, Illinois 60604-3590. Application Packages should be submitted to the same address. Standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Proposals are evaluated by EPA reviewers to determine the adequacy of the application under Great Lakes objectives, including technical merit, relevance of the project, environmental outcomes, and cost. Administrative review is done by the Regional Assistance Branch. Funds are awarded by the Great Lakes National Program Office or Region 2, 3, or 5, as applicable. GLNPO assistance is approved by the GLNPO Director and awarded by the Great Lakes National Program Manager. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Schedules are established in Requests for Proposals or Applications and published on the program's web site. A general funding guidance is expected to be issued annually, with proposals due two months later. Specific Requests for Proposals or Applications may be issued throughout the year.

Range of Approval/Disapproval Time:

Approximately 60 days after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Contact the Great Lakes National Program Office for information.

Formula and Matching Requirements:

This program has no statutory formula. Leveraging, including an applicant's match percentage, will be considered as part of the project selection process for grants and cooperative agreements; however, the program does not expect to require any minimum match requirement. Any such requirement will be specified in the individual Request for Proposals. A minimum 35 percent matching requirement will apply to Legacy Act projects (Section 103 of the Great Lakes Legacy Act of 2002).

Length and Time Phasing of Assistance:

Generally 1-2 years; however, some incremental awards are made up to 5 years. The term of the grant shall be determined at the time of grant award.

Reports:

Quarterly or semi-annual, interim, and final progress and financial status reports; program evaluation and other reports (such as equipment and invention reports) as required by the specific terms of the Agreement. Financial Status Reports must be submitted.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; EPM.

Obligations:

Grants and Cooperative Agreements - FY 07 \$7,165,148; FY 08 est. \$6,200,000; and FY 09 est. \$6,200,000; Project Agreements - FY 07 \$30,000,000 allocated; \$9,218,370 used, \$20,781,630 expected to be used. FY 08 est. \$34,356,000; and FY 09 est. \$35,000,000.

Range and Average of Financial Assistance:

Grants and Cooperative Agreements: \$4,000 to \$575,000/fiscal year; \$110,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

The Great Lakes National Program Office (GLNPO) holds competitions throughout the year based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement typically includes the number of anticipated awards. EPA's Great Lakes Program has been leading Federal, State, Tribal, local, and industry Great Lakes protection and restoration efforts. Accomplishments include: atmospheric deposition monitoring and open lake monitoring in each Great Lake for toxicant and nutrient loadings and concentrations (using EPA's research vessels); trend and baseline data to support and target remedial efforts and measure environmental progress; publishing results from monitoring the Lake Michigan air, water, sediments, and biota through the Lake Michigan Mass Balance Study; study of Lake Erie "dead zone;" facilitating access to Great Lakes information; contaminated sediment assessments and remediation demonstrations to assist clean-up in Great Lakes Areas of Concern; protection and restoration of important Great Lakes habitats; promoting toxics reduction through the Great Lakes Binational Toxics Strategy; advancing prevention and control of invasive species; and development and implementation of Lakewide Management Plans (LaMPs) and Remedial Action Plans (RAPs). In FY 07, GLNPO announced proposal processes in the priority areas of: Habitat Protection and Restoration (38 submitted totaling \$2.7 million, 22 projects selected totaling \$1.1 million in federal funds); Emerging or Strategic Issues (Disappearing Diporeia - 9 submitted, 2 selected totaling \$200 thousand); and Contaminated Sediments (Legacy Act projects - projects still being developed). In addition, in FY 07 GLNPO completed a general Great

Lakes solicitation through a "funding guidance" addressing various Great Lakes priorities which was announced in FY06 (82 proposals submitted, 32 selected totaling \$5.17M). Non-competitive grants were awarded for contaminated sediments, LaMPs, and RAPs. An FY 08 request for applications for Pollution Prevention and Toxics Reduction (pharmaceuticals and electronic waste) resulted in 28 submittals totaling almost \$600,000 and 17 selections totaling \$350,000. In FY 08, proposal or application processes have been announced and are still under way for: Habitat Protection and Restoration; LaMP/RAP priorities; and Monitoring. Non-competitive grants will also be awarded for LaMPs and RAPs. In FY 09, GLNPO expects to issue competitive requests for proposals or projects in areas supporting the Great Lakes Regional Collaboration Strategy, including the priority areas of: Pollution Prevention and Toxics Reduction; Contaminated sediments; Habitat Protection and Restoration; Emerging or Strategic Issues (including Invasive Species); LaMP and RAP priorities; and Monitoring. GLNPO also will issue non-competitive grants to States and Tribes for LaMP and RAP activities. Additional information is available from links at <http://www.epa.gov/grtlakes/fund/glef.html>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Requests for proposals, examples, and other Great Lakes funding information is available from <http://www.epa.gov/glnpo/fund/>. General regulations for EPA assistance programs are contained in 40 CFR Parts 30 through 47.

Regional or Local Office:

Mike Russ, EPA, Great Lakes National Program Office (G-17J), 77 West Jackson Boulevard, Chicago, IL 60604-3590. Telephone: (312) 886-4013; Fax: (312) 353-2018; E-mail: russ.michael@epa.gov.

Headquarters Office:

Contact the Regional Office listed above.

Web Site Address:

<http://www.epa.gov/glnpo/fund/>

RELATED PROGRAMS:

66.419, Water Pollution Control State, Interstate, and Tribal Program Support; 66.436, Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.454, Water Quality Management Planning; 66.460, Nonpoint Source Implementation Grants; 66.500, Environmental Protection Consolidated Research.

EXAMPLES OF FUNDED PROJECTS:

Grants and cooperative agreements awarded to States, local governments, and nonprofit organizations for contaminated sediment assessment and remediation, pollution prevention and reduction, ecological (habitat) protection and restoration, invasive species, indicator development, strategic and emerging issues, assessment pursuant to the Lake Michigan Mass Balance Study, contaminant monitoring of Lake and tributary waters and biota, and information management projects. Individual project summaries are available from <http://www.epa.gov/glnpo/fund/>.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Proposals are approved by EPA according to technical merit and relevance to the Great Lakes Water Quality Agreement, Section 118 of the Clean Water Act, and the criteria established in the applicable request for proposals.

66.471 STATE GRANTS TO REIMBURSE OPERATORS OF SMALL WATER SYSTEMS FOR TRAINING AND CERTIFICATION COSTS

(Operator Certification Expense Reimbursement Grants)

FEDERAL AGENCY:

OFFICE OF GROUND WATER AND DRINKING WATER,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Safe Drinking Water Act (SDWA) Amendments of 1996, Section 301, Public Law 104-182.

OBJECTIVES:

This grant program was established as a one-time grant to states and U.S. territories to train and certify small drinking water system operators. Funding Priority - Fiscal Year 2008: EPA's funding priority has been to provide funds to states to reimburse the costs of training, including an appropriate per diem for unsalaried operators, and certification for persons operating community and nontransient noncommunity public water systems serving 3,300 persons or fewer that are required to undergo training pursuant to EPA's operator certification guidelines. All 50 states, Puerto Rico, the Commonwealth of the Northern Mariana Islands, and Guam have received grant funds. Funding Priority - Fiscal Year 2009: EPA's funding priority has been to provide funds to states to reimburse the costs of training, including an appropriate per diem for unsalaried operators, and certification for persons operating community and nontransient noncommunity public water systems serving 3,300 persons or fewer that are required to undergo training pursuant to EPA's operator certification guidelines.

All 50 states, Puerto Rico, the Commonwealth of the Northern Mariana Islands, and Guam have received grant funds.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Grants may be used by states to reimburse operators of community and nontransient noncommunity water systems serving 3,300 persons or fewer for the costs of training and certification needed to meet EPA guidelines. Unsalaried operators may also be reimbursed for per diem costs. States are allowed to use a portion of their grant to administer the program. If a state has reimbursed all such costs, the state may, after notice to the Administrator of EPA, use any remaining funds from the grant for any of the other purposes authorized for Drinking Water State Revolving Fund (DWSRF) capitalization grants under section 1452 of the 1996 SDWA Amendments (CFDA 66.468).

Applicant Eligibility:

States, Puerto Rico, and U.S. Territories are eligible to receive grants.

Beneficiary Eligibility:

States and U.S. Territories are the primary beneficiaries. States and U.S. Territories use funds awarded to them to provide reimbursement to operators of community and nontransient noncommunity public water systems serving 3,300 persons or fewer that are publicly- or privately-owned. Indian tribes and Alaska Native Villages may be eligible to receive funding through state programs if they are subject to state operator certification program requirements.

Credentials/Documentation:

To receive a grant, a State or territory enters into an agreement with the EPA Regional Administrator which shall include, but not be limited to, the requirements set forth in Section 1419(d) of the 1996 SDWA Amendments. OMB Circular No. A-87, "Cost Principles Applicable to Grants and Contracts with State and Local Governments" applies to state grantees.

Preapplication Coordination:

An applicant (State and U.S. territory) should seek preapplication assistance from the appropriate EPA Regional Office as listed in Appendix IV of the Catalog. An environmental impact statement is not required prior to grant award. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application for EPA non-construction grant assistance (EPA Form SF-424) is submitted to the appropriate Regional Office. The state must receive EPA approval of its operator certification program before it can apply for and receive a grant. The state must provide the assurance in its application that it will comply with all applicable Federal cross-cutting authorities and Federal statutes. EPA grant regulations (40 CFR Part 31) apply to states receiving grants. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office, and if approved, the grant is awarded by the Regional Administrator under a delegation of authority (Delegation of Authority 9-68) from the Administrator of EPA. EPA Headquarters retains the authority to review certain applications or parts thereof.

Deadlines:

Funding is no longer available for reimbursement to states for certification and training cost for drinking water operators of small water systems serving populations of 3,300 or less.

Range of Approval/Disapproval Time:

Approval time averages 45 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

The Regional Administrator may award expense reimbursement grants from funds appropriated for this purpose. Allotments to the States and U.S. territories are based on a formula, approved by the Assistant Administrator for Water, that allocates the funds based on several factors, including the number of operators of small systems within a State. The allocation methodology was published in the Federal Register on April 18, 2001 (66 FR 19939). There are no matching requirements on the initial grant award. However, if a state reimburses all eligible costs and chooses to use the remaining funds for purposes eligible under the DWSRF program (CFDA 66.468), it will be required to provide a 20 percent match on the remaining amount. The allotment of funds to the States is determined by a formula that reflects the costs to train and certify operators of system serving 3,300 persons or fewer in each state.

Length and Time Phasing of Assistance:

During the two year reimbursement period, 54 awards were made to states for reimbursement of certification and training cost for drinking water operators of small water systems serving populations of 3,300 or less.

Reports:

Grantees will be required to submit an annual performance report in accordance with EPA general grant regulations.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records and other records which substantiate the reasonable and allocable charges must be available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status reports. If questions arise, related records must be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; STAG.

Obligations:

FY 07 \$0; FY 08 \$0 and FY 09 est. \$0.

Range and Average of Financial Assistance:

\$14,420 to \$9,642,860; avg. \$4,828,640.

PROGRAM ACCOMPLISHMENTS:

All states, Puerto Rico, the Commonwealth of the Northern Mariana Islands, and Guam have received grant funds for this program to date. In FY 07, awarded funds were used to reimburse operators of community and non-transient non-community water systems serving 3,300 persons or fewer for the costs of certification (initial certification fees, certification renewal fees, exam fees) and training (training course fees and materials). In FY 08, awarded funds were used to reimburse operators for certification and training costs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants for assistance should review 40 CFR Part 31, the General Grant Regulations and Procedures and guidance produced by the Headquarters drinking water program office.

Regional or Local Office:

See EPA Regional Offices listed in Appendix IV of the Catalog, or online.

Headquarters Office:

Jenny Bielanski, Protection Branch (4606M), Drinking Water Protection Division, Office of Groundwater and Drinking Water, U.S. Environmental Protection Agency, Washington, DC 20460. Telephone: (202) 564-3836; FAX: (202) 564-3755. E-mail: bielanski.jenny@epa.gov.

Web Site Address:

<http://www.epa.gov/safewater/opcert/opcert.htm>.

RELATED PROGRAMS:

66.468, Capitalization Grants for Drinking Water State Revolving Funds.

EXAMPLES OF FUNDED PROJECTS:

All States, Puerto Rico, the Commonwealth of the Northern Mariana Islands, and Guam have received grant funds for this program. Funds for this grants program are used to reimburse operators of community and nontransient noncommunity water systems serving 3,300 persons or fewer for the costs of training and certification. Examples of eligible reimbursement costs include: initial certification fees, certification renewal fees, examination fees, costs of training courses, and mileage reimbursements.

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to States that satisfy the requirements outlined in the application procedure section.

66.472 BEACH MONITORING AND NOTIFICATION PROGRAM IMPLEMENTATION GRANTS

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 406, as amended; Beaches Environmental Assessment and Coastal Health Act of 2000, Public Law 106-284.

OBJECTIVES:

To assist Coastal and Great Lakes States, territories, and Indian Tribes eligible under Section 518(e) of the Clean Water Act, as amended, in developing and implementing programs for monitoring and notification for coastal recreation waters adjacent to beaches or similar points of access that are used by the public. Funding Priority - Fiscal Year 2008: EPA's funding priority is to award grants to those applicants whose proposals clearly demonstrate a State's, Tribe's, or local government's ability to monitor recreational waters; notify the public of risks; manage programs; and communicate among environmental and public health agencies and the public. Funding Priority - Fiscal Year 2009: EPA's funding priority is to award grants to those applicants whose proposals clearly

demonstrate a State's, Tribe's, or local government's ability to monitor recreational waters; notify the public of risks; manage programs; and communicate among environmental and public health agencies and the public.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

These grants are intended to support the initial development and implementation of recreational water monitoring and notification programs or support enhancement of an existing program. Grant funds can be used for operational support or implementation of recreational water monitoring and notification programs. EPA encourages grantees to use Implementation Grant funds to test various approaches for meeting BEACH Act program requirements. For development grants, programs must clearly demonstrate an increase in a State's, Tribe's, or local government's ability to monitor recreational waters and notify the public of risks; manage programs; and communicate among environmental and public health agencies and the public. To be eligible for implementation grants, programs must also demonstrate that they meet the program performance criteria listed in the National Beach Guidance and Required Performance Criteria for Grants (EPA-823-B-02-004). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Coastal and Great Lakes States, territories (the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands), and Tribes eligible under Section 518(e) of the Clean Water Act, as amended. The Administrator may make a grant to a local government under this subsection for implementation of a monitoring and notification program only if, after the one-year period beginning on the date of publication of performance criteria under Section 406(a)(1), the Administrator determines that the State is not implementing a program that meets the requirements of Section 406(a)(1), regardless of whether the State has received a grant under Section 406(a)(1). Interstate agencies and intertribal consortia are not eligible for Beach grants.

Beneficiary Eligibility:

States, U.S. territories, Federally recognized Indian Tribal Governments, environmental and public health agencies, and local governments involved in implementing monitoring and notification programs.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, Tribal, and local governments. Recipients must show compliance with 40 CFR Part 31.

Preapplication Coordination:

Preapplication coordination with appropriate EPA Regional Office is encouraged. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Requests for application forms should be made to the appropriate EPA Regional Grants Management Office identified in Appendix IV of the Catalog. Completed applications should be sent to the appropriate Regional or Headquarters Contact. All proposals or work statements should be developed in response to the criteria identified in CRITERIA FOR SELECTING PROPOSALS. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Grant applications are reviewed by the appropriate EPA Regional Office and EPA Headquarters Office, and if approved, is awarded by the Regional Administrator.

Deadlines:

The deadline to submit a complete application is 45 days from the date of the program announcement in the Federal Register. Please contact the Headquarters Contact for more information.

Range of Approval/Disapproval Time:

Grants are usually approved within five months of receipt of complete application.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Renewals are subject to approval by the appropriate EPA Regional or Headquarters Contact. Please contact the appropriate EPA Regional or Headquarters Contact for guidance.

Formula and Matching Requirements:

EPA awards grants to all eligible States and territories who apply for funding based on an allocation formula that the Agency developed for allocating BEACH Act grant funds in 2002. The allocation formula uses three factors that are

readily available and verifiable: (1) Beach season length, (2) beach miles, and (3) beach use. The grants allocation formula sums three parts. The first part is a base amount for all States and Territories that varies with the length of the beach season. The second part distributes 50 percent of the total remaining funds based on the ratio of shoreline miles in a State or territory to the total length of shoreline miles. The third part distributes the remaining 50 percent based on the ratio of coastal population in a State or territory to the total coastal population. This program has no matching requirements.

Length and Time Phasing of Assistance:

Beach grants are normally funded on a 12-month basis (yearly). However, EPA may negotiate the project period, grant continuations, and grant amendments with each applicant based on project requirements.

Reports:

Semi-annual or annual reports, as required by the specific terms of the Agreement. Financial Status Reports must be submitted for each project at the end of each fiscal year.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; STAG.

Obligations:

FY 07 \$10,573,400; FY 08 \$9,746,000; and FY 09 est. \$9,900,000.

Range and Average of Financial Assistance:

\$150,000 to \$528,200/fiscal year; \$280,100/fiscal year.

PROGRAM ACCOMPLISHMENTS:

All 35 states and territories that qualify for the BEACH Act Grant program were awarded grants in FY 07. In FY 08, EPA awarded grants to all 35 coastal and Great Lakes states and territories, and eligible tribes that qualified for the BEACH Act Grant program. Program benefits from funding in FY 07 and FY 08 include: development and implementation of recreational water quality monitoring protocol (sampling design, indicator organism); decreasing swimmer exposure by improving communication outreach and education to public on swimming advisories; establishing more efficient and timely management decision process for posting swimming advisories; and constructing databases to provide government and public access to data and information.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31).

Regional or Local Office:

Region I (Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island) Matt Liebman, EPA Region 1, One Congress St. Ste. 1110 - CWQ, Boston, MA 02114-2023; Telephone: (617) 918-1626; Fax: (617) 918-1505; E-mail: liebman.matt@epa.gov; Region II (New Jersey, New York, Puerto Rico, U.S. Virgin Islands) Helen Grebe, EPA Region 2, 2890 Woodbridge Ave. MS220, Edison, NJ 08837-3679; Telephone: (732) 321-6797; Fax: (732) 321-6616; E-mail: grebe.helen@epa.gov; Region III (Delaware, Maryland, Pennsylvania, Virginia) Nancy Grundahl, USEPA Region 3, 1650 Arch Street 3E10, Philadelphia, PA 19103-2029; Telephone: (215) 814-2729; Fax: (215) 814-2318; E-mail: grundahl.nancy@epa.gov; Region IV (Alabama, Florida, Georgia, Mississippi, North Carolina, South Carolina) Joel Hansel, EPA Region 4, 61 Forsyth St. 15th Floor, Atlanta, GA 30303-3415; Telephone: (404) 562-9274; Fax: (404) 562-9224; E-mail: hansel.joel@epa.gov; Region V (Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin) Holly Wirick, EPA Region 5, 77 West Jackson Blvd. WT-16J, Chicago, IL 60604-3507; Telephone: (312) 353-6704; Fax: (312) 886-0168; E-mail: wirick.holiday@epa.gov; Region VI (Louisiana, Texas) Mike Schaub, EPA Region 6, 1445 Ross Ave. 6WQ-EW, Dallas, TX 75202-2733; Telephone: (214) 665-7314; Fax: (214) 665-6689; E-mail: schaub.mike@epa.gov; Region IX (American Samoa, Commonwealth of the Northern Mariana Islands, California, Guam, Hawaii) Terry Fleming, EPA Region 9, 75 Hawthorne St. WtR-2, San Francisco, CA 94105; Telephone: (415) 744-1939; Fax: (415) 744-1078; E-mail: fleming.terrence@epa.gov; Region X (Alaska, Oregon, Washington) Rob Pedersen, EPA Region 10, 120 Sixth Ave. OW-134, Seattle, WA 98101; Telephone: (206) 553-1646; Fax: (206) 553-0165;

E-mail: pedersen.rob@epa.gov.

Headquarters Office:

Richard Healy EPA, 1200 Pennsylvania Ave. N.W., (4305T), Washington DC 20460; Telephone: (202) 566-0405; Fax: (202) 566-0409; E-mail: healy.richard@epa.gov.

Web Site Address:

http://www.epa.gov/waterscience/beaches

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Development and implementation of beach monitoring programs; development of databases and websites; purchase of lab equipment for water quality monitoring; construction and posting of signs on beaches; and purchase of vehicles for monitoring.

CRITERIA FOR SELECTING PROPOSALS:

To be eligible for program implementation grants, applicants must meet program performance criteria outlined in National Beach Guidance and Required Performance Criteria for Grants (EPA-823-B-02-004). The performance criteria set forth implementation grant requirements for monitoring and assessing recreation waters and promptly notifying the public of exceedances of water quality for pathogens. As a condition of receipt of a program Implementation Grant under Section 406(b) of the Clean Water Act, a State or local government program for monitoring and notification under this section shall identify: (1) lists of coastal recreation waters in the State, including coastal recreation waters adjacent to beaches or similar points of access that are used by the public; (2) in the case of a State program for monitoring and notification, the process by which the State may delegate to local governments responsibility for implementing the monitoring and notification program; (3) the frequency and location of monitoring and assessment of coastal recreation waters based on: (a) the periods of recreational use of the waters; (b) the nature and extent of use during certain periods; (c) the proximity of the waters to known point sources and nonpoint sources of pollution; and (d) any effect of storm events on the waters; (4)(a) the methods to be used for detecting levels of pathogens and pathogen indicators that are harmful to human health; and (b) the assessment procedures for identifying short-term increases in pathogens and pathogen indicators that are harmful to human health in coastal recreation waters (including increases in relation to storm events); (5) measures for prompt communication of the occurrence, nature, location, pollutants involved, and extent of any exceeding of, or likelihood of exceeding, applicable water quality standards for pathogens and pathogen indicators to: (a) the Administrator, in such form as the Administrator determines to be appropriate; and (b) a designated official of a local government having jurisdiction over land adjoining the coastal recreation waters for which the failure to meet applicable standards is identified; (6) measures for the posting of signs at beaches or similar points of access, or functionally equivalent communication measures that are sufficient to give notice to the public that the coastal recreation waters are not meeting or are not expected to meet applicable water quality standards for pathogens and pathogen indicators; and (7) measures that inform the public of the potential risks associated with water contact activities in the coastal recreation waters that do not meet applicable water quality standards.

66.473 DIRECT IMPLEMENTATION TRIBAL COOPERATIVE AGREEMENTS

(DITCA)

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Consolidated Appropriations Act, 2006, Public Law 109-54 (2006).

OBJECTIVES:

Direct Implementation Tribal Cooperative Agreements (DITCAs) enable EPA to award cooperative agreements to federally recognized Indian tribes and eligible intertribal consortia to help carry out the Agency's function to implement directly, Federal environmental programs required or authorized by law in the absence of an acceptable tribal program, notwithstanding the Federal Grant and Cooperative Agreement Act. DITCAs are an increasingly important avenue for EPA and the tribes to realize meaningful environmental protection in Indian Country. DITCAs enable EPA to partner with tribes help fulfill EPA's direct implementation authorities, yield environmental results, and assist the Agency in meeting its Strategic Plan goals and targets. DITCAs also provide tribes with the flexibility and opportunity by allowing tribes, through a workplan with EPA and under federal authority, to choose aspects of a program that address their tribal environmental needs and priorities, to determine the scope and pace of tribal involvement, and to build tribal capacity to implement environmental programs. Funding Priority - Fiscal Year 2008: The program provides another avenue for tribes and EPA to partner in implementing meaningful environmental protection in Indian Country. DITCAs provide tribes with the flexibility and opportunity to develop staff capacity to manage environmental programs, to address specific tribal environmental needs and priorities that are within EPA's authority for

direct implementation, and to determine the scope and pace of tribal involvement. EPA retains final decision-making authority and ultimate responsibility for the environmental programs including all regulatory activities. EPA may award DITCAs to fund activities for environmental programs that meet either one of the following criteria: 1) federal programs under environmental laws that clearly require EPA to directly implement in the Tribal context; or 2) federal programs under environmental laws that in the state context EPA is required to directly implement in the absence of an acceptable state program. Because DITCAs can address a wide range of activities, and the need for implementation of these activities in Indian Country is great, the funding priority is for any eligible activity that addresses a tribal environmental need or priority, and yields meaningful environmental results for the tribe and the Agency. Funding Priority - Fiscal Year 2009: The program provides another avenue for tribes and EPA to partner in implementing meaningful environmental protection in Indian Country. DITCAs provide tribes with the flexibility and opportunity to develop staff capacity to manage environmental programs, to address specific tribal environmental needs and priorities that are within EPA's authority for direct implementation, and to determine the scope and pace of tribal involvement. EPA retains final decision-making authority and ultimate responsibility for the environmental programs including all regulatory activities. EPA may award DITCAs to fund activities for environmental programs that meet either one of the following criteria: (1) federal programs under environmental laws that clearly require EPA to directly implement in the Tribal context; or (2) federal programs under environmental laws that in the state context EPA is required to directly implement in the absence of an acceptable state program. Because DITCAs can address a wide range of activities, and the need for implementation of these activities in Indian Country is great, the funding priority is for any eligible activity that addresses a tribal environmental need or priority, and yields meaningful environmental results for the tribe and the Agency.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

DITCAs assist Tribes in helping EPA directly implement Federal environmental programs required or authorized by law in the absence of an acceptable Tribal program, and may only be awarded to Tribes to assist the Administrator in directly implementing Federal environmental programs for Indian Tribes required or authorized by law. EPA may award DITCAs to fund activities for environmental programs that meet either one of the following criteria: (1) federal programs under environmental laws that clearly require EPA to directly implement in the Tribal context; or (2) federal programs under environmental laws that in the state context EPA is required to directly implement in the absence of an acceptable state program. There are several limitations on DITCA awards. Among them are: (1) The statutory authority for DITCA is found in appropriations acts. In the event the appropriation authority is extended by continuing resolution(s), the DITCA authority will also be extended. (2) The project period of the DITCA may extend beyond the period of the appropriations act under which it was created, but all funds must be awarded prior to the expiration of the appropriations act authorizing the DITCA. (3) DITCA funded personnel may not perform inherently Federal functions. (4) EPA personnel can provide assistance to DITCA representatives based on the written DITCA work plan which may include daily direction. EPA cannot treat DITCA representatives as EPA employees by participating in hiring, disciplining, or firing decisions. (5) DITCA funded personnel cannot operate vehicles which are either owned or leased by the Federal government. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

DITCAs may be awarded to: (1) Federally Recognized Indian Tribal Government, and (2) intertribal consortia consistent with applicable provisions. In order for an intertribal consortium to be eligible to receive cooperative agreements under this authority, an intertribal consortium should be consistent with the provisions in 40 CFR Part 35. See Notice of Guidance Issuance: Direct Implementation Tribal Cooperative Agreements (DITCAs) Guidance, 70 Federal Register 1440 (2005). For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Government and intertribal consortia consistent with applicable provisions.

Credentials/Documentation:

The provisions of OMB Circular No. A-87 "Cost Principles for State, Local and Indian Tribal Governments" apply. In order for intertribal consortium to be eligible to receive cooperative agreements under this authority, an intertribal consortium should be consistent with the provisions in 40 CFR Part 35. For additional information see also the Notice of Guidance Issuance, 70 Federal Register 1440 (2005).

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. EPA will work with Tribes and Intertribal Consortia to develop work plans consistent with program guidance and any regulations that govern the implementation of the relevant Federal environmental program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The preapplication must be submitted to EPA for review. Successful applicants will be contacted by EPA and will be required to submit "Application for Federal Assistance," SF 424; "Budget Information: Non-Construction Programs," SF 424A, "Assurances-Non-Construction Programs, Certification Regarding Debarment, Suspension and Other Responsibility Matters," SF 424B; "Certification Regarding Lobbying;" "Pre-Award Compliance Review," EPA 4700-4; and other required forms to complete the application process. Eligible applicants should contact the appropriate Regional Office Contact identified in Appendix IV of the Catalog of information regarding preapplications. The forms are available at: <http://www.epa.gov/ogd/AppKit/application.htm>. Completed applications should be submitted to the appropriate Regional Office Contact identified in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA will review each application to determine the adequacy of the application in relation to EPA's grant regulations (40 CFR Part 31) and applicable program regulations and guidance. If the application is approved, EPA will award a cooperative agreement up to the reasonable and necessary cost of the approved work plan. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications are generally approved and cooperative agreements awarded within 60 to 120 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

Cooperative agreement amounts awarded will be determined based on the total amount available for awards and the amounts requested by Tribes and Intertribal Consortia. This program has no matching requirements. This program has no statutory formula.

Length and Time Phasing of Assistance:

EPA normally awards cooperative agreements for periods of 12 to 36 months. The term of the grant shall be determined at the time of award.

Reports:

EPA and Tribes will negotiate the frequency and content of performance and financial status reports. Performance reports shall be required at least annually, but not more frequently than quarterly (see 40 CFR Part 31.40 and 31.41).

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual Financial Status Report (SF-269). If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; EPM, STAG.

Obligations:

FY 07 \$604,024; FY 08 est \$700,000; and FY 09 est \$700,000.

Range and Average of Financial Assistance:

EPA expects cooperative agreement amounts to range between \$10,000 and \$100,000/fiscal year; \$55,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Each EPA Regional Office works with their tribal partners to develop cooperative agreements. The number of DITCAs awarded on an annual basis depends on the amount of available funding from Program Offices. In FY 07, 9 new DITCAs were awarded and 8 DITCAs were continued with additional funding. The increase in the number and funding of DITCAs is partially attributable to an initiative by EPA's American Indian Environmental Office (AIEO) to provide up to \$200,000 per year in matching funds from FY 06 to FY 08 for eligible DITCAs with initial funding commitments from sponsoring offices. Under this matching initiative, DITCAs were awarded in FY 07 and FY 08 for the following activities: Establishing a tribal pesticide circuit rider program in a region to undertake core field program and enforcement activities in the pesticide program, such as inspections, training, compliance assistance across many tribes in that region, where a lack of such programs and authorities currently exists. ∙ Developing the capacity of Region 10 tribes to implement, educate, and support activities relating to air quality compliance assistance, education and public outreach, and pollution source inspection activities on their reservations, relating to any applicable tribal rules, as well as Region 10's Federal Air Rules for Reservations in ID, WA, and OR (FARR) and Title V. ∙ Assisting EPA in implementing the federal Lead-Based Paint Program under Toxic Substances Control Act (TSCA) on reservations in an EPA region. Specific tasks include lead-based paint abatement, renovation and remodeling and the sale and leasing of Pre-1978 housing occurring throughout Indian Country in that region. ∙ Assisting EPA in the implementation of activities in support of Public Water System Supervision (PWSS) drinking water activities in Indian Country. Conducting and completing inventories of Class V wells at two reservations under EPA's Underground Injection Control (UIC) Program. Class V UIC wells are the type of well most commonly found in Indian Country, and include shallow disposal systems such as dry wells, septic systems, and leach fields. The inventories require regulated facilities to comply with certain requirements to protect underground sources of drinking water.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31); Environmental Protection Agency and applicable EPA guidance. DITCA guidance is available at <http://www.epa.gov/indian/pdfs/ditca-guidance-2004.pdf>.

Regional or Local Office:

EPA's Regional Indian Coordinators at <http://www.epa.gov/indian/region.htm>; George Frantz, Regional Indian Program Manager EPA Region 1 (CSP), 1 Congress Street, Suite 1100, Boston, MA 02114-2023 Telephone: (617) 918-1883/Fax: (617) 918-1505/frantz.george@epa.gov; Christine Yost, Regional Indian Program Coordinator, EPA Region 2, 290 Broadway, New York, New York 10007-1866, Telephone: (212) 637-3564/Fax (212) 637-3772/yost.christine@epa.gov; W. J. (Bill) Patton, Tribal Relations Program Coordinator, EPA Region 4, Atlanta Federal Center, 61 Forsyth Street, Atlanta, GA 30303, Telephone: (404) 562-8632/Fax: (404) 562-9961/patton.bill@epa.gov; Luke Jones, Director, Indian Environmental Office, USEPA Region 5, 77 W. Jackson Boulevard, Chicago, IL 60604-3507, Telephone: (312) 353-2087/Fax: (312) 353-9533/jones.luke@epa.gov; Jonathan Hook, Director, Office of Environmental Justice and Tribal Affairs, EPA Region 6, 1445 Ross Ave., Dallas, TX 75202, hook.jonathan@epa.gov; Wolfgang Brandner, Region 7 Tribal Program Coordinator, EPA Region 7, PLMG/POIS, 901 N. 5th Street, Kansas City, KS 66101, Telephone: (913) 551-7381/Fax: (913) 551-7053/brandner.wolfgang@epa.gov; Connally Mears, Tribal Assistance Program Director, USEPA Region 8 (8P-TA), 999 18th St. Suite 300, Denver, CO 80202-2466, Telephone: (303) 312-6343/Fax: (303) 312-6116/mears.connally@epa.gov; Clancy Tenley, Tribal Program Manager, EPA Region 9 (CMD-3), 75 Hawthorne Street, San Francisco, CA 94105, Telephone: (415) 972-3785/Fax: (415) 947-3562/tenley.clancy@epa.gov; Mary Beth Clark, Senior Tribal Policy Advisor, EPA Region 10, 1200 Sixth Ave. RA-140, Seattle, WA 98101, Telephone: (206) 553-6358/Fax: (206) 553-1809.

Headquarters Office:

Glen Langlois, Grants and Interagency Agreements Management Division, EPA, Ariel Rios Building, 1200 Pennsylvania Ave., N.W., MC (3903R), Washington, DC 20460. Telephone: (202) 564-5084; Fax: (202) 565-2467; E-mail: langlois.glen@epa.gov; Jose Aguto, American Indian Environmental Office (4104 M), EPA, Ariel Rios Building, 1200 Pennsylvania Ave., N.W., Washington, DC 20460. Telephone: (202) 564-0289; Fax: (202) 564-0298; E-mail: aguto.jose@epa.gov.

Web Site Address:

<http://www.epa.gov/indian/index.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

EPA may award DITCAs to fund activities for environmental programs that meet either one of the following criteria: (1) federal programs under environmental laws that clearly require EPA to directly implement in the Tribal context; or (2) federal programs under environmental laws that in the state context EPA is required to directly implement in the absence of an acceptable state program. Examples of DITCAs activities include: water quality standards promulgation and review; implementation of the National Pollutant Discharge Elimination System permit program under the Clean Water Act; implementation plan development and the Title V permit program under the Clean Air Act; implementation of the Public Water System Supervision program and the Underground Injection Control program under the Safe Drinking Water Act; implementation of the Underground Storage Tank program and the Subtitle C permit program under the Resource Conservation and Recovery Act; certification and training program for pesticide applicators under the Federal Insecticide, Fungicide, and Rodenticide Act; inventory of underground storage tanks; and creation and implementation of a smoke management plan under the Clean Air Act.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Cooperative agreements may be awarded to Tribes which submit applications consistent with EPA regulations and guidance. Potential applicants should work with Regional contacts listed as "Information Contacts" identified in Appendix IV of the Catalog. EPA will work with Tribes and Intertribal Consortia to develop work plans consistent with program guidance and any regulations that govern the implementation of the relevant Federal environmental program.

66.474 WATER PROTECTION GRANTS TO THE STATES**FEDERAL AGENCY:**

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Safe Drinking Water Act, Section 1442, as amended; Department of Defense and Emergency Supplemental Appropriations for Recovery from and Response to Terrorist Attacks on the United States Act, 2002, Public Law 107-117; Consolidated Appropriations Resolution of 2003, Public Law 108-7; Consolidated Appropriations Resolution of 2004, Public Law 108-199.

OBJECTIVES:

To assist States, Territories, and possessions of the United States with critical water infrastructure protection. Funding Priority - Fiscal Year 2008: EPA's funding priority is to provide grant assistance to the States, Territories, and possessions of the United States to support technical assistance, training, and communication activities on critical water infrastructure protection efforts that include work with drinking water systems as well as with Federal, State, and local agencies. States, Territories, and possessions of the United States will be able to continue their efforts to coordinate critical water infrastructure protection activities with other homeland security responsibilities. Grant awards support Goal 2, "Clean and Safe Water," of EPA's 2006-2011 Strategic Plan. Specifically, awards will enhance public health protection by securing critical infrastructure through support for counter-terrorism preparedness; communicating vision, mission, and goals of the sector specific plan and the key features of an active and effective security program; helping to ensure security best practices for small systems; promoting outreach and education at small systems; promoting mutual aid compacts development; supporting the development of system redundancy, a national laboratory system and disaster mitigation plans; and developing and overseeing emergency response and recovery plans, including those related to pandemic flu concerns. Funding Priority - Fiscal Year 2009: EPA's funding priority is to provide grant assistance to the States, Territories, and possessions of the United States to support technical assistance, training, and communication activities on critical water infrastructure protection efforts that include work with drinking water systems as well as with Federal, State, and local agencies. States, Territories, and possessions of the United States will be able to continue their efforts to coordinate critical water infrastructure protection activities with other homeland security responsibilities. Grant awards support Goal 2, "Clean and Safe Water," of EPA's 2006-2011 Strategic Plan. Specifically, awards will enhance public health protection by securing critical infrastructure through support for counter-terrorism preparedness; communicating vision, mission, and goals of the sector specific plan and the key features of an active and effective security program; helping to ensure security best practices for small systems; promoting outreach and education at small systems; promoting mutual aid compacts development; supporting the development of system redundancy, a national laboratory system and disaster mitigation plans; and developing and overseeing emergency response and recovery plans, including those related to pandemic flu concerns.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funded activities include providing: (1) technical assistance, training, or distribution of tools that would lead to satisfactory vulnerability assessments of

drinking water systems; (2) technical assistance, training, or distribution of tools that would lead to drinking water systems creating, amending or updating emergency response plans to meet new requirements or security threats; (3) assistance to improve communications with or between drinking water systems and other agencies or organizations involved with security or emergency response; and (4) other activities focusing on training to enhance security and improving the readiness of individuals and groups involved in first response at drinking water systems. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Assistance under this program is available to States, Tribes, Territories, and possessions of the United States.

Beneficiary Eligibility:

Water programs of States, Territories, and possessions of the United States.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87.

Preapplication Coordination:

Pre-application assistance is recommended and should be obtained from the Grants Management Office of the pertinent EPA Regional Office listed in Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms, furnished by EPA and required by OMB Circular No. A-102, must be used for this program. Requests for application kits may be directed to the Grants Management Office of the applicable EPA Regional Office listed in Appendix IV of the Catalog. Kits may also be obtained online at <http://www.epa.gov/ogd/AppKit/application.htm>. The completed application, including the final proposal, should be submitted to the appropriate EPA Regional Grants Management Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Application is reviewed by the appropriate EPA Regional Office and, if approved, is signed by the Regional Administrator or designated Division Director.

Deadlines:

Application forms must be submitted according to dates established by the individual EPA Regional Offices. Contact the individual EPA Regional Offices for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30 to 90 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

As was the case in FY 02 through FY 07, for FY 08 a modification of the basic PWSS formula was chosen to allocate the funds, with each State, the District of Columbia, and Puerto Rico receiving a minimum of \$50,000 and each Territory at least \$16,700. This program has no matching requirements.

Length and Time Phasing of Assistance:

The project/budget period on these grants may exceed one year. The term of the grant shall be determined at the time of grant award.

Reports:

Recipients must submit the reports required in 40 CFR 31 and in accordance with Regional post-award monitoring plans.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; STAG.

Obligations:

FY 07 \$3,730,200; FY 08 \$4,873,000; and FY 09 est \$4,950,000.

Range and Average of Financial Assistance:

Funds are awarded by each Regional Office. The range of financial assistance available to States varies according to program and fiscal year. Contact the Grants Management Office of the pertinent EPA Regional Office, listed in Appendix IV of the Catalog, to determine the amount for which applicants are eligible. The range and average of financial assistance provided is: FY 07 - \$16,700 to \$299,900/fiscal year; \$158,300/fiscal year; FY 08 - \$16,700 to \$294,900/fiscal year; \$155,800/fiscal year.

PROGRAM ACCOMPLISHMENTS:

In FY 07 and FY 08, awards were made to 50 states, the District of Columbia, and 5 Territories. In FY 07 and FY 08, States and Territories developed materials (i.e., documents, training manuals, etc.) focused on improving security and emergency response, ensuring the quality of drinking water vulnerability assessments, and associated security enhancements. Additionally, States and Territories conducted a number of trainings, workshops, and/or conferences to improve infrastructure protection, increase preparedness, promote mutual aid compacts and/or provide information to drinking water utilities. Outcomes included changed management and operation of drinking water utilities based on training, current vulnerability assessments of the utilities, establishment of mutual aid compacts, and improved emergency response coordination and communications. In FY 09, States and Territories will continue to provide similar security activities to achieve the overall outcome of improving critical water infrastructure protection with an emphasis on smaller systems, mutual aid compacts between systems and states, and better understanding and application of an active and effective security system.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 31, General Regulation for Assistance Programs; EPA Annual Program Guidance provided to States.

Regional or Local Office:

Contact the Grants Management Office of the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

For general information on the program, contact Nancy Muzzy, Water Security Division, EPA. (Mail Code 140), 26 W. Martin Luther King Drive, Cincinnati, OH 45268. Telephone: (513) 569-7864; Fax: (513) 569-7191; E-mail: muzzy.nancy@epa.gov. For specific information on the program, contact the appropriate Regional Office.

Web Site Address:

<http://cfpub.epa.gov/safewater/watersecurity/financeassist.cfm>.

RELATED PROGRAMS:

66.432, State Public Water System Supervision.

EXAMPLES OF FUNDED PROJECTS:

EPA has supported the following types of drinking water security activities: (1) improving communications to drinking water systems by updating drinking water system contact information and utilizing a mass faxing system; (2) providing onsite assistance for small drinking water systems on security issues; (3) developing and mailing security information kits to drinking water systems; (4) maintaining a duty officer program and toll free number to enable operators to reach state drinking water agency after hours; (5) assisting in the formation of neighborhood watch programs to increase citizen awareness of drinking water security; (6) developing guidance for water utilities to use alternative water supplies; (7) incorporating security considerations into state design standards and State Revolving Fund (SRF) criteria; (8) creating websites to provide easy access to state drinking water security information; (9) designing and conducting on-line training, videos, and materials for the law enforcement community to outline water utility security basics; (10) conducting emergency response exercises and drills; (11) creating ready-to-go complete sampling kits to eliminate delays in obtaining bottles and preparing expedited sampling and transport protocols in preparation for emergency water supply collection needs; (12) assisting in preparation of state plans to implement measures for safe drinking water under emergency circumstances; and (13) facilitating mutual aid compacts in instances of emergency need, between states and water systems.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are approved by EPA according to technical merit and relevance, and availability of funds.

66.475 GULF OF MEXICO PROGRAM

FEDERAL AGENCY:

OFFICE OF WATER, GULF OF MEXICO PROGRAM OFFICE (GMPO), REGION IV, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), 33 U.S.C. 1254.

OBJECTIVES:

(1) To assist States, Indian Tribes, interstate agencies, and other public or nonprofit organizations in developing, implementing, and demonstrating

innovative approaches relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution; and (2) To expand and strengthen cooperative efforts to restore and protect the health and productivity of the Gulf of Mexico in ways consistent with the economic well-being of the region.

Funding Priority - Fiscal Year 2008: Projects that improve the health of the Gulf of Mexico by addressing improved water quality and public health with an emphasis on healthy beaches and shellfish beds, priority coastal wetland and habitat protection/recovery, more effective coastal environment education, improved habitat identification/characterization data and decision support systems, and strategic nutrient reductions. Projects must actively involve stakeholders and focus on short-term support and implementation of the actions and activities in the Gulf of Mexico Alliance Governors' Action Plan for Healthy and Resilient Coasts.

Funding Priority - Fiscal Year 2009: Projects that improve the health of the Gulf of Mexico by addressing improved water quality and public health with an emphasis on healthy beaches and shellfish beds, priority coastal wetland and habitat protection/recovery, more effective coastal environment education, improved habitat identification/characterization data and decision support systems, and strategic nutrient reductions. Projects must actively involve stakeholders and focus on short-term support and implementation of the actions and activities in the Gulf of Mexico Alliance Governors' Action Plan for Healthy and Resilient Coasts.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Section 104 (b)(3) of the Clean Water Act, 33 U.S.C. Section 1254 (b)(3) authorizes the awarding of assistance for programs established under the Clean Water Act. Assistance will be targeted to States, Tribes, interstate agencies, and other public or nonprofit organizations that commit to specific activities. Eligible activities should be of a relatively short time frame (6 months to 3 years) and all projects should achieve and demonstrate results. Assistance agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations, research, and demonstrations plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:
<http://geodata.epa.gov>.

Applicant Eligibility:

Funds are available to State and local governments, interstate agencies, Tribes, colleges and universities, and other public or nonprofit organizations. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, interstate agencies, Tribes, colleges and universities, individuals, and other public or nonprofit organizations.

Credentials/Documentation:

Compliance with 40 CFR Parts 30 and 31 and with Program Funding Guidance. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular No. A-122 for nonprofit organizations, and OMB No. A-21 for educational institutions.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants should follow the proposal format and instructions provided in the Funding Announcement. The proposal should be transmitted electronically to the email address and by the date and time published in the Funding Announcement. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

All Proposals must be received on or before the closing date and time published in Section IV of the funding announcement. Proposals received after the published closing date and time will be returned to the sender without further consideration.

Range of Approval/Disapproval Time:

Approximately 90-120 days following the application deadline as addressed in

the competitive funding announcement.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Contact the Gulf of Mexico Program Office for information.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The terms of the assistance shall be determined at time of award.

Reports:

Quarterly progress and financial status report requirements will be a part of each assistance agreement. A schedule showing interim milestones and the outputs/outcomes or results that will be completed by the end of the project period will also be included, as will any specific requirements included in the assistance agreement.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; EPM.

Obligations:

FY 07 \$2,258,800; FY 08 \$3,325,000; and FY 09 est. \$2,092,000.

Range and Average of Financial Assistance:

\$50,000 to \$333,000/fiscal year; \$147,592/fiscal year.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 41 proposals were received in response to a competition to support projects to accomplish short-term actions toward implementation of the 11 actions and 73 activities in the Gulf of Mexico Alliance Governors' Action Plan for Healthy and Resilient Coasts, and 13 assistance agreements were awarded. Accomplishments include: development of a Public Awareness Campaign for the Gulf of Mexico; implementation of the Coastal and Marine Ecological Classification Standard in the Gulf of Mexico to support development of the Priority Habitat Information System; implementation of a Spatial Catalog to Characterize and Identify Habitats in the Gulf of Mexico; Enhancing Local Capacity to Reach Underserved and Underrepresented Populations; validation and testing of Microbial Source Tracking Methodologies; coordination of Water Quality Monitoring across the Gulf of Mexico; a Gulf of Mexico Ocean Literacy Project; and a Binational HAB Risk Assessment and Communications Partnership. In FY 08, 31 proposals were received in response to a competition to continue support for projects to accomplish implementation of the Gulf of Mexico Alliance Governors' Action Plan. It is estimated that approximately 12-15 assistance agreements will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

For assistance agreements with States, local governments, and Indian tribal governments, the procedures and requirements should be in conformance with 40 CFR Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and OMB Circular Nos. A-87 "Cost Principles for State and Local Governments" and A-102, "Grants and Cooperative Agreements for States and Local Governments." For assistance agreements with other entities the procedures should be in conformance with 40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Regional or Local Office:

Contact the EPA Region 4 Office listed in Appendix IV of the Catalog for information on the grants administration process. Local Office: EPA/Gulf of Mexico Program Office, Mail Code EPA/GMPO, Stennis Space Center, MS 39529, Attention: Esther Coblenz. Telephone: (228) 688-1281. Fax: (228) 688-2709. E-Mail: coblenz.esther@epa.gov.

Headquarters Office:

None.

Web Site Address:<http://www.epa.gov/gmpo/>.**RELATED PROGRAMS:**

66.419, Water Pollution Control State, Interstate, and Tribal Program Support; 66.463, Water Quality Cooperative Agreements; 66.606, Surveys, Studies, Investigations and Special Purpose Grants.

EXAMPLES OF FUNDED PROJECTS:

Water quality modeling support, wetlands resource management baseline, harmful algal bloom information exchange, seagrass restoration, nutrient control/monitoring of eutrophication, stormwater biofilter demonstration, marshland upwelling system, point source locations, wastewater treatment feasibility study, nutrient modeling, waterbird rookery management, outreach and communications strategy targeted at high-risk consumers of shellfish, invasive species education exhibit, inventory of marine science research, assessment of introduction of nonindigenous aquatic species from ballast water, septic tank maintenance, response plan for harmful algal blooms, assessment of groundwater discharge and sewage impacts, reducing onsite sewage treatment system impacts, nutrient flux from sediments, monitoring and assessment of stormwater diversion, assessment status of invasive species, bacterial source tracking, smart growth planning, wetland inventory, acute pollution event response plan, synoptic survey of total mercury in recreational finfish, ecological assessment and calibration of water quality, mercury levels in harvested fishes, remote sensing of harmful algal blooms, shoreline protection, hydrological modifications impact study.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Projects will be selected based on the criteria and ranking factors established in the GMPO Competitive Funding Announcement.

66.478 WATER SECURITY TRAINING AND TECHNICAL ASSISTANCE AND WATER SECURITY INITIATIVE CONTAMINATION WARNING SYSTEM PILOTS

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Safe Drinking Water Act, Sections 1433 and 1434, as added by The Public Health Security and Bioterrorism Preparedness and Response Act of 2002, Title IV, Public Law 107-188; Department of Defense and Emergency Supplemental Appropriations for Recovery from and Response to Terrorist Attacks on the United States Act of 2002, Public Law 107-117.

OBJECTIVES:

The objective of these grant programs are (1) for financial assistance that is awarded under SDWA Section 1433, to improve water infrastructure security through both training and technical assistance for water utilities and (2) for cooperative agreements that are awarded under SDWA Section 1434, to address the risk of intentional contamination of drinking water distribution systems. Funding Priority - Fiscal Year 2008: For Section 1433 financial assistance for Fiscal Year 2008, EPA's funding priority is to provide assistance to eligible non-profit organizations that provide training, technical support or other assistance to water utilities. Specifically, EPA is seeking to utilize the ability of non-profit organizations to provide water security technical support and training. For Section 1434 cooperative agreements for Fiscal Year 2008, EPA's funding priority is to provide assistance to community water systems for drinking water contamination warning system pilot projects. Financial assistance awarded under both of these programs support Goal 2, Clean and Safe Water, of EPA's 2006 - 2011 Strategic Plan. Specifically, awards will enhance public health protection by securing critical infrastructure through support for counter-terrorism preparedness. Funding Priority - Fiscal Year 2009: For Section 1433 financial assistance for Fiscal Year 2009, EPA's funding priority is to provide assistance to eligible nonprofit organizations that provide training, technical support or other assistance to water utilities. Specifically, EPA is seeking to utilize the ability of nonprofit organizations to provide water security technical support and training. For Section 1434 cooperative agreements for Fiscal Year 2009, EPA's funding priority is to provide assistance to community water systems for drinking water contamination warning system pilot projects. Financial assistance awarded under both of these programs support Goal 2, Clean and Safe Water, of EPA's 2006 - 2011 Strategic Plan. Specifically, awards will enhance public health protection by securing critical infrastructure through support for counter-terrorism preparedness.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funded activities for financial assistance awarded under Section 1433 of the SDWA include: (1) training to gain competence and expertise in the process of developing or completing vulnerability assessments; (2) training to gain competence and expertise in the process of developing, amending, or updating

emergency response plans; (3) training to gain competence and expertise in various security enhancements; and (4) providing competent training and technical assistance in the aforementioned areas to help states, tribes, and local authorities in their water infrastructure security efforts. Funded activities for cooperative agreements awarded under Section 1434 of the SDWA may include: (1) demonstration of contamination and warning system monitoring and surveillance components; (2) contamination warning system consequence management plan; and (3) evaluation and transfer of results. Specific uses and restrictions on funds will be described in detail in the competitive announcements for each program. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Financial assistance awarded under Section 1433 of the SDWA is available to public nonprofit institutions/organizations and private nonprofit institution/organizations, capable of providing training, technical support and/or assistance to drinking water systems. Cooperative agreements awarded under Section 1434 of the SDWA will be limited to local governments or institutions (either public or private nonprofit organizations) that operate community water systems (as defined in 40 CFR Section 141.2). Note that 501(c)(4) nonprofit organizations that lobby are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

For financial assistance awarded under both Sections 1433 and 1434 of the SDWA, the beneficiaries are the drinking water systems, the water programs of the State or Tribe, and the public which will benefit from these programs through safer and more secure drinking water.

Credentials/Documentation:

EPA may request that applicants demonstrate their eligibility.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is excluded from coverage under E.O. 12372. Section 1434 cooperative agreements are a new grant program that has not been included in the agency's list of programs subject to Intergovernmental review. However, EPA recommends that applicants for these cooperative agreements should consult the office or official designated as the single point of contact in its State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program also does not require an environmental impact statement or assessment.

Application Procedure:

Applicants must submit an "Application for Federal Assistance," SF 424, "Budget Information: Non-Construction Programs," SF 424A, "Assurances: Non-Construction Programs," SF 424B, "Certification Regarding Debarment, Suspension and Other Responsibility Matters," "Certification Regarding Lobbying," "Pre-Award Compliance Review Report," EPA 4700-4, and other required forms to complete the application process. Application kits should be requested from the U.S. Environmental Protection Agency, Grants and Interagency Agreements Management Division, Mail Code 3903R, 1200 Pennsylvania Avenue, N.W., Washington, DC 20460 or the appropriate EPA Regional Office identified in Appendix IV of the Catalog. Detailed information and assistance, including an application kit, required forms, and a check list, may also be found at <http://www.epa.gov/ogd/AppKit/index.htm>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Applications should be submitted in accordance with the procedures stated in the competitive announcement.

Award Procedure:

Applications are reviewed by EPA, where subsequent grant awards are made to successful applicants. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

EPA will consider all grant proposals/applications received on or before the due date stipulated in the announcement of a competitive funding opportunity.

Range of Approval/Disapproval Time:

Approximately 30 to 90 days after deadline for application submittal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts."

Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Any continuation of funding will be described in the terms of the assistance agreement.

Formula and Matching Requirements:

Matching requirements, if any, will be described in the competitive announcement. This program has no statutory formula.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award.

Reports:

A final financial status report is due at the end of the grant period.

Terms/Conditions will be negotiated in the final grant award that will outline the procedures that grant recipients must follow to demonstrate to EPA that the project(s) performed under this grant program were satisfactorily completed.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304; 68-0108-0-1-304.

Obligations:

FY 07 \$0; FY 08 \$0; and FY 09 \$0 for financial assistance awarded under Section 1433 of the SDWA; For cooperative agreements awarded under Section 1434 of the SDWA, FY 07 \$0; FY 08 \$20,119,149; and FY 09 est. \$19,000,000 depending on funding available.

Range and Average of Financial Assistance:

For financial assistance awarded under Section 1433 of the SDWA, no funding was provided in FY 07 and FY 08, and none is anticipated for FY 09; For cooperative agreements awarded under Section 1434 of the SDWA, the range was estimated as \$3,000,000 to \$12,000,000 per agreement. For Fiscal Year 08 the actual range was a low of \$8,119,150 and a high of \$11,999,999 for an average of \$10,059,574. Estimate for FY 09 is a low and high of \$9,500,000 for an average of \$9,500,000.

PROGRAM ACCOMPLISHMENTS:

Competitions are held at Headquarters and in the Regions based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will include the number of anticipated awards. In FY 07, for Section 1433 awards, no competitive announcements were issued and no new awards were made. Grantees with existing awards continued to provide direct technical assistance to drinking water systems nationwide for the completion of vulnerability assessments and emergency response plans. The immediate outcome was that drinking water systems were able to address federal requirements for the completion and submission of vulnerability assessments and the creation or revision of emergency response plans. The long-term outcome is that drinking water systems have improved their security through an analysis of their vulnerabilities and preparation to address these vulnerabilities. Currently for FY 08, EPA has no funds available; however, should funding become available, projects that will improve the security of drinking water systems will be the focus of the awards. For Section 1434 awards, this is a new financial assistance pilot program. In FY 07, EPA issued a competitive announcement (for FY 07 and FY 08 funds) to award up to four cooperative agreements to support drinking water contamination warning system demonstration pilots. These pilots will be part of the Agency's Water Security Initiative, which seeks to develop a warning system that achieves timely detection of and appropriate response to drinking water contamination incidents. It is anticipated that the pilots will include specified monitoring and surveillance components, a consequence management plan, and an evaluation plan. The anticipated outcome is that these pilots will generate information that allows community water systems nationally to practice effective techniques to detect and respond to possible contamination events. Drinking water consumers will experience a reduction in risk associated with drinking water contamination and improved water quality. In FY 08, cooperative agreements were awarded to two pilots with the expectation that the project will demonstrate and review the

effectiveness of drinking water contamination warning systems for community water systems, through reviewing multiple monitoring and surveillance components designed to provide timely detection of contamination in the drinking water distribution systems. In FY 2009 (using FY 08 and FY 09 funds) the Agency anticipates awarding the final two cooperative agreements for the pilot systems.

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe Drinking Water Act, Sections 1433 and 1434; "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations," 40 CFR Part 30, and, "Uniform Administrative Requirements for Grants and Cooperative Agreements to States and Local Governments," 40 CFR Part 31.

Regional or Local Office:

Contact the Grants Management Office of the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

For general information only on the Section 1433 program, contact Nancy Muzzy at EPA; Water Security Division, Mail Code 140; 26 W. Martin Luther King Drive; Cincinnati, OH 45268; Telephone: (513) 569-7864. Fax: (513) 569-7191. E-mail: muzzy.nancy@epa.gov. For general information only on the Section 1434 program, contact Dan Schmelling at EPA; Water Security Division, Mail Code 4608T; 1200 Pennsylvania Ave., N.W.; Washington, DC 20460; Telephone: (202) 564-5281; Fax: 202-566-0055. E-mail: Schmelling.dan@epa.gov.

Web Site Address:

<http://cfpub.epa.gov/safewater/watersecurity.cfm>.

RELATED PROGRAMS:

66.474, Water Protection Grants to the States.

EXAMPLES OF FUNDED PROJECTS:

EPA has supported the following types of projects under financial assistance awarded under Section 1433 of the SDWA: 1. A private non-profit organization obtains training for approximately 120 of its members to become security trainers who will assist state and local agencies with drinking water security; 2. A private non-profit organization obtains training on the use of vulnerability assessment software and provides additional training for non-profit groups and state, tribal, and local agencies; 3. A private non-profit organization provides security training on the completion of vulnerability assessments and emergency response plans to drinking water systems serving populations between 50,000-100,000 people; 4. A private non-profit organization provides follow-up technical support to drinking water systems serving populations between 50,000-100,000 people on the completion of vulnerability assessments and emergency response plans; and 5. A private non-profit organization provides direct technical assistance to drinking water systems nationwide serving populations between 3,300 and 10,000 people for the completion of vulnerability assessments and emergency response plans. For cooperative agreements to be awarded under Section 1434 of the SDWA, EPA anticipates funding contamination warning system demonstration pilots (i.e., designing, installing, and operating) that will include monitoring and surveillance components of the Water Security design, a consequence management plan, and an evaluation plan.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.479 WETLAND PROGRAM GRANTS - STATE/TRIBAL ENVIRONMENTAL OUTCOME WETLAND DEMONSTRATION PROGRAM

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), as amended, Public Law 92-500, 33 U.S.C. 1254(b)(3).

OBJECTIVES:

To assist State and Tribal government agencies protect, manage, and restore wetlands. Funding Priority - Fiscal Year 2008: This one-time pilot program funded FY 05 through FY07 funded assistance agreements for demonstration projects that proposed to demonstrate the extent to which the implementation of wetland programs (both regulatory and nonregulatory) resulted in positive environmental outcomes, in particular no net loss, net gain, and protection of vulnerable wetlands. The work plans contained at a minimum three basic elements: establishment of baseline data, implementation of wetland programs, and documentation of the environmental outcomes of the implementation of the wetland programs. This program was designed to be a one-time pilot effort; as a result, EPA does not plan on funding any new projects. Funding Priority - Fiscal Year 2009: This one-time pilot program, funded FY 05 through FY07, provided grants for states and tribes to implement regulatory and nonregulatory activities to assess whether financial assistance for wetland program implementation yields positive environmental outcomes. Grantees annually report the

programmatic and environmental outcomes resulting from their grants. This program represents a one-time pilot effort; EPA does not plan on funding any new projects.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

State/Tribal Environmental Outcome Wetland Demonstration Grants provide eligible applicants an opportunity to conduct projects that promote the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. This pilot program will demonstrate that supporting the implementation of State and Tribal wetland programs will have positive environmental results and will support the no net loss/net gain of wetland acreage goal and support increased involvement of State and Tribal protection of vulnerable waters. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

States and Tribal wetland agencies are eligible for the State/Tribal Environmental Outcome Wetland Demonstration Program competition pilot. Local agencies and non-for-profits are not eligible for participation. Tribes must be federally recognized, although "Treatment as a State" status is not a requirement. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and tribal governments involved in administering wetlands protection, restoration, and/or management programs or programs related to or complementing wetlands protection programs.

Credentials/Documentation

Costs will be determined in accordance with OMB Circular No. A-87 for State, Tribal, and local governments.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Information is available on the EPA website at: <http://www.epa.gov/owow/wetlands/grantpilot/>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

The EPA Headquarters (HQ) Office established criteria against which State and Tribal wetland programs were evaluated and ranked. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadlines were posted on the following EPA website (<http://www.epa.gov/owow/wetlands/grantpilot/>). You can contact the EPA Regional or Headquarters Office for information and to confirm deadlines. For general information contact the EPA Wetlands Helpline at: (800) 832-7828.

Range of Approval/Disapproval Time:

Grants are usually approved within 120 days of receipt of completed application.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. State and Tribal government agencies must provide a minimum of 25 percent of each award's total project costs in accordance with 40 CFR 31.24, 35.385, and 35.615. We encourage States and Tribes to provide a larger share of the project's cost whenever possible (i.e., in excess of the required 25 percent of total project costs). 40 CFR 35.536(c) (the Environmental Program Grants for Tribes Regulation), States that "the required

cost share shall be five percent of the allowable cost of the work plan budget for that program" if the Tribal applicant puts the funds into a PPG. Tribal applicants can submit budgets with a 5 percent match if the Tribe is going to put the funds into a PPG. The following term and condition will be included in the assistance agreement awarded to the Tribe: If the Wetlands Program Grant Funds are not or could not be included in a PPG, then the Tribe must provide a 25 percent match. The match requirement can be met with contributions from entities other than the award recipient. Other Federal money cannot be used as the match for this grant program unless authorized by the statute governing the award of the other Federal funds. However, Indian tribes can use funds provided under the Indian Self-Determination and Education Act (25 U.S.C. Section 450 et seq.) to provide the required matching funds to the extent authorized by that Act and implementing regulations. Matching funds are considered grant funds. They may be used for the reasonable and necessary expenses of carrying out the work plan. Any restrictions on the use of grant funds (i.e., prohibition of land acquisition with grant funds) also apply to the use of matching funds.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of grant award.

Reports:

Quarterly interim and final progress and expenditure reports; program evaluations; and other reports as required by the specific terms of the Agreement.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; STAG.

Obligations:

FY 07 \$6,157,723; FY 08 est. \$0 and FY 09 est. \$0.

Range and Average of Financial Assistance:

\$200,000 to \$300,000/fiscal year; \$250,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Funding is for projects designed to investigate and demonstrate whether wetland program implementation results in positive environmental and programmatic outcomes. In FY 05, EPA received 51 proposals in response to the competitive announcement released November 05, 2004. EPA awarded 26 one-time pilot grants to 22 states and one tribe. Projects include: activities to track no net loss of wetland acres in the 404 regulatory program and net gain in wetland acres and functions; monitoring and assessment; compliance inspections and enforcement of state regulatory programs; state permit reviews; inventory and mapping of wetland resources; compensatory mitigation; and development of rules, policies, and procedures to restore and protect vulnerable wetlands. At the end of each year of funding, projects report the outcomes resulting from activities funded by the pilot grants. To date, pilot grant recipients have submitted two annual reports; they will submit the third and final report in January 2009. The following represents a sample of results reported to date; these numbers will increase when the pilot projects are complete: -Restoration: 3 grantees have restored 183 wetland acres and 1,542 stream feet; - Inventory and Mapping: 8 grantees have inventoried or mapped 6.7 million acres of wetland resources and surrounding lands; -Data Systems: Because of updated and reconfigured data tracking systems, 44 more programs, agencies, and organizations in 9 states are using wetland data systems; - Monitoring and Assessment: 5 grantees have monitored and/or assessed almost 724,000 wetland acres; and - Regulation: 8 grantees have adopted and implemented 3 new rules and 12 policies or procedures to better protect wetlands resources through State programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations, and Procedures (40 CFR Parts 31 and 35).

Regional or Local Office:

Region 1 - CT, ME, MA, NH, RI, VT: Jeanne Voorhees. US EPA Region 1, 1 Congress Street, MC CSP, Suite 1100, Boston, MA, 02114. Telephone: (617) 918-1686. voorhees.jeanne@epa.gov. Region 2 - NJ, NY, PR, VI: Kathleen Drake. EPA Region 2, 290 Broadway, NY, NY, 10007. Telephone: (212) 637-3817. drake.kathleen@epa.gov. Region 3 - DE, MD, PA, VA, WV, DC: Anita

Provenzano, EPA Region 3, 1650 Arch Street, MC 3EA30, Philadelphia, PA, 19103. Telephone: 215-814-5180. provenzano.anita@epa.gov. Region 4 - AL, FL, GA, KY, MS, NC, SC, TN: Morgan Jackson and Rhonda Evans, EPA Region 4, 61 Forsyth Street, S.W., Atlanta, GA, 30303. Telephone: (404) 562-9393 (M. Jackson); (404) 562-9369 (R. Evans). jackson.morgan@epa.gov; evans.rhonda@epa.gov. Region 5 - IL, IN, MI, MN, OH, WI: Sue Elston, EPA Region 5, 77 West Jackson Blvd., MC WW16J, Chicago, IL, 60604. Telephone: (312) 886-6115. elston.sue@epa.gov. Region 6 - AR, LA, NM, OK, TX: Mariama Dover, EPA Region 6, 1445 Ross Avenue, MC 6WQ-AT, Dallas, TX, 75202. Telephone: (214) 665-6778. dover.mariama@epa.gov. Region 8 - CO, MT, ND, SD, UT, WY: Toney Ott, EPA Region 8, 1595 Wynkoop Street, 8EPR-EP, Denver, CO, 80202. Telephone: (303) 312-6909. ott.toney@epa.gov. Region 9 - AZ, CA, HI, NV, AS, GU: Suzanne Marr and Paul Jones, EPA Region 9, 75 Hawthorne Street, WTR-3, San Francisco, CA 94105. Telephone: (415) 972-3468 (S. Marr); (415) 972-3470 (P. Jones). marr.suzanne@epa.gov; jones.paul@epa.gov. Region 10 - AK, ID, OR, WA: Yvonne Vallette, EPA Region 10, Oregon Operations Office, 811 S.W. 6th Ave, 3rd Floor, OOO, Portland, OR, 97204. Telephone: (503) 326-2716. vallette.yvonne@epa.gov.

Headquarters Office:

Katherine Antos, EPA Wetlands Division, 1200 Pennsylvania Avenue, N.W., MC (4502T), Washington, DC 20460. Telephone: (202) 566-0620; Fax: (202) 566-1349; E-mail: antos.katherine@epa.gov.

Web Site Address:

<http://www.epa.gov/owow/wetlands/grantpilot/>.

RELATED PROGRAMS:

66.419, Water Pollution Control State, Interstate, and Tribal Program Support; 66.454, Water Quality Management Planning; 66.456, National Estuary Program; 66.458, Capitalization Grants for Clean Water State Revolving Funds; 66.461, Regional Wetland Program Development Grants.

EXAMPLES OF FUNDED PROJECTS:

This is a one-time pilot grant program. Examples of funded projects include: monitoring/assessment for vernal wetlands, enforcement activities, state permit review, mapping, program assessments, compensatory mitigation assessment and tracking, and protection of vulnerable wetlands.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Decisions of which States and Tribes will receive funding under this pilot will be determined by how the State or Tribe's program rank using established criteria and factors. These criteria will be published on the HQ Office's website (www.epa.gov/owow/wetlands/grantpilot/). States and Tribes will be evaluated and ranked according to these criteria and factors. Funding under this pilot program will be provided to the States and Tribes that rank the highest.

66.480 ASSESSMENT AND WATERSHED PROTECTION PROGRAM GRANTS

(AWPPG)

FEDERAL AGENCY:

OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104(b)(3).

OBJECTIVES:

To support the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, reduction, and elimination of water pollution. The two main goals of the Assessment and Watershed Protection Program Grants (AWPPGs) include supporting a watershed approach to better address water quality problems in the U.S. and building the capacity of all levels of government to develop and implement effective, comprehensive programs for watershed protection, restoration, and management. Funding Priority - Fiscal Year 2008: Funding under this program area includes: Watershed/Total Maximum Daily Load (TMDL) Program - (1) Assessment and TMDL Workshops; (2) Impaired Waters Recovery and Results Analysis; (3) Tools and Products Training for the Watershed Approach; and (4) Tools, Incentives and Trainings that Foster Financing of Water Quality, Habitat Restoration/Protection on a Watershed Scale. Nonpoint Source Program - (1) National Conference and Outreach Program to Promote Clean Lakes.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incidental to approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Specific uses and restrictions on funds will be described in detail in the competitive announcements.

Applicant Eligibility:

Assistance under this program is available to States and local governments, Federally recognized Indian Tribes, territories and possessions of the U.S. (including the District of Columbia), interstate associations or intertribal consortia, public or private nonprofit, nongovernmental institutions, and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States and local governments, Federally recognized Indian Tribes, territories and possessions of the U.S. (including the District of Columbia), interstate associations or intertribal consortia, public or private nonprofit, nongovernmental institutions, and individuals.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. Costs will be determined in accordance with OMB Circular no. A-21 for educational institutions, OMB Circular Nos. A-122 for nonprofit organizations, and A-87 for State and local governments.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting research, investigations, experiments, training, demonstrations, surveys, and studies and based on competitive applications submitted in response to EPA solicitations. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms, as furnished by the Federal agency and required by OMB Circular Nos. A-102 and A-110, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), 1200 Pennsylvania Ave., NW, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. The Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadlines will be specified in the announcement of the competitive funding opportunity.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for water surveys, studies, demonstrations, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly,

interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and universities) or 40 CFR Part 31 (governmental units) are applicable. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients' grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; EPM.

Obligations:

FY 07 \$1,100,000; FY 08 \$500,000; and FY 09 est. \$0.

Range and Average of Financial Assistance:

\$10,000 to \$100,000/fiscal year; \$50,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

For FY 07 funds, approximately 130 proposals were received, of which 18 were funded. Awards ranged from \$15,000 to \$200,000. Project outputs for FY 07 included workshops on innovative assessment and modeling techniques, training handbook(s) on low impact development practices, case studies on agricultural drainage practices, and volunteer monitoring newsletters. For FY 08, it is anticipated that five to eight awards may be made under a Request for Proposals. Specifically, EPA is soliciting proposals from eligible applicants under two National Priorities: (1) Watershed/Total Maximum Daily Load (TMDL)/National Priority and (2) Nonpoint Source National Priority.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, Studies, and Investigations grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31).

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, 1200 Pennsylvania Ave., N.W., Washington, DC 20460. For program information contact: Diane Edwards, EPA Office of Wetlands, Oceans and Watersheds, 1200 Pennsylvania Ave., NW (MC 4501T), Washington, DC 20460. Telephone: (202) 566-1254; Fax: (202) 566-1147; E-mail: edwards.diane@epa.gov, or Tim Icke, EPA Office of Wetlands, Oceans and Watersheds, Assessment and Watershed Protection Division, 1200 Pennsylvania Ave., N.W. (MC 4501T), Washington, DC 20460. Telephone: (202) 566-1211; Fax: (202) 566-1333; E-mail: ickle.tim@epa.gov.

Web Site Address:

<http://www.epa.gov/owow/funding.html>.

RELATED PROGRAMS:

66.436, Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act.

EXAMPLES OF FUNDED PROJECTS:

EPA awards grants to support the following types of watershed planning activities: workshops on innovative TMDL assessment; workshops on innovative TMDL modeling techniques; training handbook(s) on low impact development; demonstration studies on new techniques to control agricultural drainage; production of volunteer monitoring newsletter(s).

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.481 LAKE CHAMPLAIN BASIN PROGRAM

(Lake Champlain Program)

FEDERAL AGENCY:

REGION 1 AND REGION 2, ENVIRONMENTAL PROTECTION AGENCY AUTHORIZATION:

Section 120 of the Clean Water Act (CWA), as amended by Public Law 107-303.

OBJECTIVES:

To implement the Lake Champlain Basin Management Plan Opportunities for Action: An Evolving Plan for the Future of the Lake Champlain Basin, and to assist the states of New York and Vermont in protecting and preserving the Lake Champlain ecosystem. Funding Priority - Fiscal Year 2008: Efforts in Lake Champlain will continue to support the successful interstate, interagency, and international partnership undertaking the implementation of "Opportunities for Action," a plan designed to address various threats to the Lake's water quality, including phosphorus loadings, invasive species, and toxic substances. Grants under this program shall be made for assisting research, surveys, studies, modeling, technical, field, restoration, education, outreach, and other supporting work necessary for the development and implementation of the Lake Champlain Basin Management Plan. Funding priorities include phosphorus control, environmental education and outreach, and support for cultural and recreational resources. Funding Priority - Fiscal Year 2009: Efforts in Lake Champlain will continue to support the successful interstate, interagency, and international partnership undertaking the implementation of "Opportunities for Action," a plan designed to address various threats to the Lake's water quality, including phosphorus loadings, invasive species, and toxic substances. Grants under this program shall be made for assisting research, surveys, studies, modeling, technical, field, restoration, education, outreach, and other supporting work necessary for the development and implementation of the Lake Champlain Basin Management Plan. Funding priorities include phosphorus control, environmental education and outreach, and support for cultural and recreational resources.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

As described in Section 120 of the CWA, funds may be used to implement elements of the Lake Champlain Basin Management Plan, Opportunities for Action. Projects outside of the Lake Champlain Basin are not eligible for funding under this program. Grant awards must be made in consultation with the Lake Champlain Basin Program Steering Committee, and must support activities consistent with the goals of Opportunities for Action. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

State, interstate, and regional water pollution control agencies, and public or nonprofit agencies, institutions, and organizations are eligible to receive grants from EPA through this program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Assistance under this program generally benefits State environmental, health, and agriculture agencies; interstate water pollution control agencies; public nonprofit institutions and organizations; sponsored organizations; Federal agencies; local agencies; intrastate agencies; public and private nonprofit institutions and organizations; private organizations; small businesses; and quasi-public nonprofit institutions.

Credentials/Documentation:

Nonprofit private agencies, institutions, and organizations may be required to provide documentation of their organizational status upon application.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. A pre-application form is not required. Informal pre-application discussions or conferences with the Lake Champlain Basin Program Steering Committee, and EPA Regions 1 and 2 are required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The Lake Champlain Basin Program Steering Committee, in conjunction with EPA Regions 1 and 2, develops the annual budget and task list. This budget and task list is then divided between the States of Vermont and New York and the New England Interstate Water Pollution Control Commission. From this information, these three organizations then prepare grant applications and workplans for submittal to EPA Region 2 or Region 1. The standards application forms, as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110, must be used for this program. EPA requires final applications

to be made on Standard Form 424. Application kits should be requested from the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), 1200 Pennsylvania Avenue, N. W., Washington, DC 20460 or the appropriate EPA Regional Office identified in "Regional or Local Office" section for information contacts. Detailed information and assistance, including and application kit, required forms, and a checklist may also be found at: <http://www.epa.gov/ogd/AppKit/>. Applications must be submitted to the appropriate EPA Regional Office identified in "Regional or Local Office" section for information contacts. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each federal assistance application and final work plan will be evaluated by EPA Region 1 or Region 2 to determine the adequacy of the application under the established EPA grants program requirements and guidelines, including, but not limited to, the requirements set forth in 40 CFR Parts 30 and 31. Technical merit and the relevance of the project to the priorities and objectives established in Opportunities for Action will also be considered. Each application will also be subject to an administrative review by the EPA Region 1 or 2 grants management office as appropriate to determine whether all administrative requirements have been met. The workplan is submitted to the EPA Region 1 and 2 Regional Administrators for approval prior to the award of any assistance agreement. Once a Regional Office approves the annual workplan, funds are awarded by the EPA Region 1 or 2 Regional Administrator as appropriate. Grantees will receive an award package from the appropriate EPA Regional grants management office that must be accepted and signed by an authorized official of the recipient organization and returned to the grants management office within 30 days. Awards may be made on an advance or reimbursement basis. Awards that are not signed and returned by the deadline may be subject to cancellation. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadlines for annual funding for the Lake Champlain Basin Program will vary from year to year, but will generally be in late winter or early spring. Please contact the EPA officials listed in the "Regional or Local Office" section below for further information.

Range of Approval/Disapproval Time:

Notification of action on proposals varies depending on the timing of funds release to the EPA Regional Offices from Headquarters. Interval from submission to notification may vary from two months to eight months.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

No cost extensions and amendments may be available subject to the approval of EPA. Approval of subsequent funding is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds, and the Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has a statutory matching requirement; see CWA section 120 (f)(3). For all assistance (grants and cooperative agreements) agreements made under this program, federal costs shall not exceed 75 percent of the total cost of the agreement. This program has no statutory funding formula.

Length and Time Phasing of Assistance:

Assistance agreements are generally made for a multi-year period at the discretion of EPA. Start dates for assistance agreements are variable and are established at the discretion of EPA. Generally however, it is intended that start dates closely follow award dates of the assistance agreement. Awards are fully funded, recipients draw down funding as cost are incurred, typically monthly.

Reports:

Quarterly, interim, and final progress and/or financial status reports; program evaluation and other reports (such as equipment and invention reports) as required by the specific terms of the Agreement. Financial Status Reports on the standard federal form must be submitted. MBE/WBE quarterly reports are also required. Quality Management Plans and Quality Assistance Project Plans may also be required.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those that arose as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; EPM.

Obligations:

FY 07 \$997,000; FY 08 \$2,707,000 and FY 09 \$934,000.

Range and Average of Financial Assistance:

\$75,000 to \$1,199,300/fiscal year; \$637,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Since 1991, EPA's funding of the Lake Champlain Basin Program has led protection and restoration of the Lake Champlain basin through coordination with federal, provincial, state, and municipal agencies and local citizens. The main program goals, as laid out in the Lake Champlain Management Plan Opportunities for Action, are as follows: reducing phosphorus inputs to Lake Champlain; decreasing toxic contamination; minimizing the risks to humans from water-related health hazards; and controlling the introduction, spread, and impact of nonnative nuisance species. EPA works with the Lake Champlain Basin Program Steering Committee to determine funding priorities and distributes funds accordingly. The following four entities receive funding on an annual basis: New England Interstate Water Pollution Control Commission (NEIWPCC), Vermont Department of Environmental Conservation, the New York Department of Environmental Conservation, and the Lake Champlain Science Center. They may choose to compete all or part of the funds. Competitive announcements typically include the number of anticipated awards. In FY 07, funds were used to support protection and restoration of the Lake Champlain Basin. Specific examples of accomplishments in FY 07 include: Developed 17 new wayside interpretive exhibits, three new bikeways guides, and one new water trail guide; awarded 11 new local subgrants to watershed organizations; obtained and processed 3,836 water chemistry samples as part of a long-term water quality monitoring program; trained teachers and educated students through 26 classroom visits and field days; provided information about Lake Champlain to 14,000 resource room visitors; serviced 31,000 website visits; developed 12 new resource room exhibits; prepared 12,000 brochures on using lawn fertilizer without phosphorus; distributed 127,315 newspaper inserts about Lake Champlain restoration successes and challenges; and hired four seasonal boat stewards to reduce the spread of invasive species. In FY08, a key expected accomplishment includes creation and distribution of a "State of the Lake" report detailing current water quality and other ecosystem conditions. Further accomplishments planned include: conducting water quality monitoring programs; a boat inspection and education program to reduce the spread of invasive species, extensive watershed mapping to better plan for BMP installation, removal of invasive water chestnuts, and other tasks.

REGULATIONS, GUIDELINES, AND LITERATURE:

Lake Champlain program grants and cooperative agreements are subject to EPA's general grant regulations, 40 CFR Part 30 or 40 CFR Part 31. All assistance agreements must support the goals and objectives of the Lake Champlain Management Plan, Opportunities for Action. A copy of this document is available on request from the Lake Champlain Basin Program, 54 West Shore Road, Grand Isle, VT 05458, Phone 802-372-3213 and is also available online at <http://www.lcbp.org>.

Regional or Local Office:

Erik Beck, EPA New England, 1 Congress Street, Suite 1100-CWN, Boston, MA 02114-2023. Telephone: (617) 918-1606; Fax: (617) 918-0606; E-mail: beck.erik@epa.gov. Mario Paula, EPA Region 2, 290 Broadway, 24th Floor, New York, NY 10007-1866. Telephone: (212) 637-3819; Fax: (212) 637-3889; E-mail: paula.mario@epa.gov.

Headquarters Office:

None. Contact one of the regional offices listed above.

Web Site Address:

<http://www.epa.gov/NE/eco/lakechamplain/index.html>.

RELATED PROGRAMS:

12.100, Aquatic Plant Control; 66.419, Water Pollution Control State, Interstate, and Tribal Program Support; 66.436, Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.454, Water Quality Management Planning; 66.460, Nonpoint Source Implementation Grants; 66.463, Water Quality Cooperative Agreements.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. The Steering Committee recommends projects to EPA for funding based on the technical merit of the proposal and its relevance to the Lake Champlain Basin Management Plan. EPA approves applications after Steering Committee recommendation based on the technical merit of the application and its relevance to the Lake Champlain Basin Management Plan.

66.508 SENIOR ENVIRONMENTAL EMPLOYMENT PROGRAM

(SEE)

FEDERAL AGENCY:

OFFICE OF ADMINISTRATION AND RESOURCES MANAGEMENT,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Environmental Programs Assistance Act of 1984, Public Law 98-313, 42 U.S.C. 4368a.

OBJECTIVES:

To use the talents of Americans 55 years of age or older to provide technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control to achieve the Agency's goals of Clean Air; Clean and Safe Water; Land Preservation and Restoration; Healthy Communities and Ecosystems; and Compliance and Environmental Stewardship. Funding Priority - Fiscal Year 2008: The Environmental Programs Assistance Act of 1984 (Public Law 98-313) authorized the Administrator of the EPA "to make grants to, or enter into cooperative agreements with private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965." These cooperative agreements are to utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control." "Technical assistance" may include any activity performed for the EPA in support of its projects ranging from inspections of schools for asbestos, to support for a Headquarters staff office. This allows for the coverage of all types of SEE program positions from professional to clerical. Annual funding priorities are not established at the SEE program level. Rather, Senior Resource Officials from the participating 65 program offices establish priorities requiring SEE program support.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The SEE Act states that only nonprofit organizations which are designated by the Secretary of Labor under Title V of the Older Americans Act can participate. These cooperative agreements are to utilize the talents of older Americans in temporary full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control."

Applicant Eligibility:

Private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965.

Beneficiary Eligibility:

Federal, State, and local environmental agencies and individuals 55 years old or older.

Credentials/Documentation:

The Environmental Programs Assistance Act of 1984 requires a certification by the Federal, State, or local agency that is to receive the SEE workers that the grant/cooperative agreement will not displace any Federal or State workers, prevent the rehiring of any laid-off Federal/State workers, or affect existing service contracts. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Requests for application forms must be submitted to: Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460. Completed applications must be sent to the same address, and a copy of the completed application should also be sent to: Susan Street, Director, SEE Program, Environmental Protection Agency, Office of Administration and Resources Management, Office of Human Resources, Customer Services Support Center (3605A), Washington, DC 20460. This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102. Basic steps of the application procedure are outlined in the application package containing the application forms.

Award Procedure:

Each application shall be subjected to administrative evaluation to determine adequacy of application in relation to assistance regulations, and to program objectives.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Assistance covers a period of one to three years and is awarded in increments during that period.

Reports:

Recipients must furnish quarterly reports on expenditures by cooperative agreement.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents which support entries on accounting records and which substantiate changes to each assistance agreement must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until expiration of three years from the date of submission of the final financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Grants) FY 07 \$53,057,698; FY 08 est. \$53,000,000; and FY 09 est. \$53,000,000.

Range and Average of Financial Assistance:

New awards and amendments: \$1,100 to \$2,500,000 for FY 2007, Average \$274,910; \$35,000 to \$672,500 for FY 2008, Average \$227,015 to date; Estimated \$12,100 to 12,116,244, FY 2009 Average \$277,659.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, 193 cooperative agreements/amendments were awarded. To date, in Fiscal Year 2008, 337 cooperative agreements/amendments were awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Assistance Administration Manual, available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis; 40 CFR Part 30, General Regulation for Assistance Programs; Office of Administration and Resources Management for the Management of the Senior Environmental Employment Program.

Regional or Local Office:

Susan Street, Director SEE Program, (3605A) Environmental Protection Agency, 1200 Pennsylvania Ave., N.W., Washington, DC 20460. Telephone: (202) 564-0410, Fax: (202) 564-0735; E-mail: street.susan@epa.gov.

Headquarters Office:

Susan Street, Director SEE Program, Office of Administration and Resources Management, Office of Human Resources, Customer Service Support Center (3605A), Environmental Protection Agency, 1200 Pennsylvania Ave, N.W., Washington, DC 20460. Telephone: (202) 564-0410 Fax: (202) 564-0735; E-mail: street.susan@epa.gov. Web Site: <http://epa.gov/epahrist/see/brochure>.

Web Site Address:

<http://epa.gov/epahrist/see/brochure>.

RELATED PROGRAMS:

66.518, State Senior Environmental Employment Program.

EXAMPLES OF FUNDED PROJECTS:

Provide funding to eligible Grantee organizations to provide senior citizens who conduct national non-agricultural pesticide surveys, monitor for asbestos compliance in schools, provide review and monitoring support for the Import Car Program, monitor the anti-fuel switching program, conduct research, and work on other general administrative and clerical tasks.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must demonstrate that the Organizations have the capability of operating nationwide; can manage the program within the prescribed administrative funding limits; and can provide a quality fringe benefit package to enrollees under the proposal (including health insurance).

66.509 SCIENCE TO ACHIEVE RESULTS (STAR) RESEARCH PROGRAM

FEDERAL AGENCY:

OFFICE OF RESEARCH AND DEVELOPMENT, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

National Environmental Policy Act of 1969, Section 102(2)(F); Clean Air Act, Section 103, 42 U.S.C. 7403; Clean Water Act, Section 104, 33 U.S.C.; Solid Waste Disposal Act, Section 8001, 42 U.S.C. 6981; Safe Drinking Water Act, Section 1442, 42 U.S.C. 300j-1; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C. 136r; Toxic Substances Control Act, Section 10, 15 U.S.C. 2609.

OBJECTIVES:

(1) To support research to determine the environmental and human health effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; (2) identify, develop, and demonstrate effective pollution control techniques; and (3) support research to explore and develop strategies and mechanisms for those in the social, governmental, and environmental arenas to use in environmental management decisions. Funding Priority - Fiscal Year 2008: The STAR Program will fund the highest quality academic research in the following priority areas: (1) Air Quality: Health Effects of Near-Roadway Exposures to Air Pollution; Dynamic Air Quality Management. (2) Human Health: Children's Environmental Health and Disease Prevention Research (with NIEHS); Exposure Assessment: Research for Understanding and Assessing Human Exposure in Longitudinal Studies; Community-based Cumulative Risk Assessment Research; Research for Outcomes and Accountability: Development of Novel Environmental Health Outcome Indicators. (3) Ecosystem Protection/Water Quality: Enhancing Ecosystem Services from Agricultural Lands: Developing Tools for Quantification and Decision Support; Forecasting from Wetland Condition Indicators to Ecosystem Services; Ecology and Oceanography of Harmful Algal Blooms. (4) Global Change: Consequences of Global Change for Water Quality; Global Change and Impact on Allergic Disease; Adaptation, Mitigation Impacts, and Air Quality Planning in a Changing Global Environment; Potential Environmental Impacts from the Geologic Sequestration of Carbon Dioxide. (5) Drinking Water: Innovative and Integrative Approaches for Advancing Public Health Protection Through Water Infrastructure Sustainability. (6) Endocrine Disruptors/Biotechnology/Computational Toxicology: Exploratory Investigations in Food Allergy (R21) through NIH-NIAID. (7) Nanotechnology: Nanotechnology Research Grants: Investigating Environmental Effects of Manufactured Nanomaterials: a Joint Research Solicitation - EPA, NSF & DOE; Center for the Environmental Implications of Nanotechnology (CEIN) through NSF. In addition to the solicitations identified above, other solicitations may be announced in the coming year. Please check the NCER website: <http://www.epa.gov/ncer> for an updated listing of all solicitations. search Grants: Investigating Environmental Effects of Manufactured Nanomaterials: a Joint Research Solicitation - EPA, NSF and DOE. In addition to the solicitations identified above, other solicitations may be announced in the coming year. Please check the NCER website: <http://www.epa.gov/ncer> for an updated listing of all solicitations.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The National Center for Environmental Research (NCER) generally does not accept unsolicited proposals for the STAR program. All STAR awards are made in response to competitive requests for applications (RFAs) or requests for initial proposals (RFIPs). Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to the performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Public nonprofit institutions/organizations (includes public institutions of higher education and hospitals) and private nonprofit institutions/organizations (includes private institutions of higher education and hospitals) located in the U.S.; state and local governments; Federally Recognized Indian Tribal Governments; and U.S. territories or possessions are eligible to apply. National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its

grant from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism. Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on a grant, and may not receive salaries or augment their Agency's appropriations in other ways through grants made by this program. Not-for-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. Profit-making firms are not eligible to receive grants from EPA under this program. See RFAs at: <http://www.epa.gov/ncer> for additional information pertaining to eligibility requirements.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations located in the U.S.; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; Anyone/General Public, Education Professional, Student/Trainee, Graduate Student, Scientists/Researchers.

Credentials/Documentation:

The EPA may request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out the research. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. EPA may also ask the lead principal investigator/contact principal investigator for information documenting past performance.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Protection Act. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-102 and No. A-110 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Competitive RFAs or RFIPs for grants/cooperative agreements are announced widely through the Internet, university and scientific organizations, among other mechanisms. Applicants must propose EPA mission relevant research based on excellent science as determined through peer review by experts drawn from the national and international scientific community. Other more specialized scientific areas may be solicited via joint RFAs with other Federal agencies. The STAR program may fund research centers that focus on long-term, multi-disciplinary research. For regular mail, completed paper applications must be submitted to the following address: Environmental Protection Agency, Peer Review Division (8725F, Funding Opportunity; Number: (include appropriate number as shown in the solicitation; 1200 Pennsylvania Avenue, N.W., Washington, DC 20460. For express mail and couriers, completed paper applications must be submitted to the following address: Environmental Protection Agency Peer Review Division (8725F), Funding Opportunity Number: (include appropriate number as shown in the solicitation; 1025 F Street, N.W., (Room 3500), Washington, DC 20004, Telephone: (202) 233-0686. This program is subject to the provisions of OMB Circulars No. A-102 and No. A-110. Specific details on the application process are described in the solicitations which are located at: <http://www.epa.gov/ncer>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified of award decisions within six months of the close of the RFA. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate proposal revisions. EPA Project Officers will contact the Lead Principal Investigator/Contact Principal Investigator to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreement Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

As shown in the specific solicitations, which are accessible through the

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Grants are normally funded for a minimum of 2 years. Total approved project period may not exceed 5 years. Grants are generally fully funded or incrementally funded (on a yearly basis).

Reports:

A grant recipient must agree to provide annual progress reports, with associated summaries for posting on NCER's web site, and a final report with an executive summary for web posting. A grant recipient must agree to provide copies of any peer reviewed journal article(s) resulting from the research during the project period. The recipient also agrees to provide expenditure and invention reports as appropriate.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

FY 07 \$61,300,000; FY 08 est \$52,500,000; and FY 09 est \$55,300,000.

Range and Average of Financial Assistance:

New awards range from \$185,000 to \$5,000,000 total per grant and average \$500,000.

PROGRAM ACCOMPLISHMENTS:

Applications received for the STAR Program - FY 07: 537; FY 08: 177. New awards for the STAR Program - FY 07: 79; FY 08: 28. Abstracts of current grant awards, and annual interim and final summary results of the research are available on the homepage: <http://www.epa.gov/ncer>.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 30 and 40 CFR Part 31); Research and Demonstration Grant Regulations, Environmental Protection Agency (40 CFR Part 40). Costs will be determined in accordance with OMB Circular No. A-87 (relocated to 2 CFR Part 225) for State and local governments, OMB Circular No. A-21 (relocated to 2 CFR Part 220) for educational institutions, and OMB Circular No. A-122 (relocated to 2 CFR Part 230) for nonprofit organizations.

Regional or Local Office:

Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contacts listed in the solicitations. Solicitations are located at: <http://www.epa.gov/ncer>.

Headquarters Office:

To obtain further information via the Internet for the STAR program, click on "Grants and Funding" at <http://www.epa.gov/ncer>. Funding opportunities will be posted as available. Eligibility questions should be forwarded to William Stelz: 1025 F Street, N.W., Washington, DC 20004; Telephone: (202) 343-9802; Fax: (202) 233-0680; E-mail: stelz.william@epa.gov.

Web Site Address:

RELATED PROGRAMS:

66.511, Office of Research and Development Consolidated Research/Training/Fellowships.

EXAMPLES OF FUNDED PROJECTS:

(1) Develop a direct health indicator of pediatric asthma morbidity resulting from exposure to ambient air pollutants using an epidemiological approach that merges existing datasets and incorporates population susceptibility and exposure patterns. (2) Evaluate the secondary organic aerosol forming potential of classes of volatile organic compounds (VOCs). (3) Investigate the effect of climate change, expressed as drought induced stressors, on key ecological processes and functions within tidal salt marshes and ecosystem services that are derived from these processes and functions. (4) Concentrate and detect waterborne pathogens at low levels by a series of complementary and non-destructive techniques. (5) Characterize the spatial variability of coarse particles from natural and anthropogenic sources and examine their associations with cardiovascular and respiratory disease. See Web Page (<http://www.epa.gov/ncer>) for additional information on previously funded grants under the STAR program.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. See the web page (<http://www.epa.gov/ncer>) for additional information on the evaluative criteria for the RFAs.

66.510 SURVEYS, STUDIES, INVESTIGATIONS AND SPECIAL PURPOSE GRANTS WITHIN THE OFFICE OF RESEARCH AND DEVELOPMENT

FEDERAL AGENCY:

OFFICE OF RESEARCH AND DEVELOPMENT, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

National Environmental Policy Act of 1969, Section 102(2)(F); Clean Air Act, Sections 103, as amended, Public Law 95-95, 42 U.S.C. 7401 et seq.; Clean Water Act, Section 104, as amended, Public Law 95-217, 33 U.S.C. 1251 et seq.; Solid Waste Disposal Act, Section 8001, 42 U.S.C. 6981; Resource Conservation and Recovery Act of 1976, as amended, Public Law 94-580, 42 U.S.C. 6981 et seq.; Safe Drinking Water Act, Section 1442, as amended, Public Law 93-523; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 92-516, 7 U.S.C. Sections 136r and 136 u; Public Laws 94-140 and 95-396, 7 U.S.C. 136 et seq.; Toxic Substances Control Act, Section 10, as amended, Public Law 94-469, 15 U.S.C. 2601 et seq.; Marine Protection, Research, and Sanctuaries Act, Section 203; Comprehensive Environmental Response, Compensation, and Liability Act of 1980 Section 311.

OBJECTIVES:

To (1) support surveys, studies and investigations and special purpose assistance to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides (2) identify, develop, and demonstrate effective pollution control techniques; and (3) fund innovative regional projects that address a stated problem or opportunity relating to sustainability and use science to inform design, planning and decision-making at the local, state and industrial levels. Funding Priority - Fiscal Year 2008: FY 2008 Priorities include conducting air pollutants research, risk assessment, water quality research, drinking water research, research to improve human health (including children's) research related to Homeland Security. Surveys, studies, and investigations to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides will also be funded under this category. This funding priority also provides support for conferences relating to the areas above.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to performance plus allocable portions of allowable indirect costs of the institutions, in accordance with the established policies of EPA. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. These programs are available to each State, territory and possession, and Tribal nation of the U.S., including the District of Columbia, for public and private State universities and colleges, hospitals, laboratories, State and local government departments, other public or private nonprofit institutions, and in some cases, individuals or foreign entities who have demonstrated unusually high scientific ability.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; anyone/general public; education professionals; students/trainees; graduate students; scientists/researchers; hospitals; foreign entities; and individuals.

Credentials/Documentation:

The Agency may request that applicants demonstrate they have appropriate background, academic training experience in the field, and the necessary equipment to carry out the research. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. EPA may ask principal investigators for information documenting past performance. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit organizations.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Protection Act. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-102 and No. A-110 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Competitive RFAs (requests for applications) for grants in these areas are announced widely through the Federal Register, Internet, university and scientific organizations, among other mechanisms. Although the programs identified are the formal assistance programs that ORD funds, there are periodic RFAs disseminated on other topics that are not part of the formal program. These new programs are also part of 66.510 but cannot be presently identified. Funds may be available to support activities including but not limited to experiments, surveys, studies, investigations, public education programs, and monitoring where authorized by specific statutes, in both science and engineering disciplines. Please check the Federal Register or the EPA/ORD website <http://www.epa.gov/ord/htm/grantopportunity.htm> for an updated listing of these programs. Completed applications must be submitted to the appropriate Grants Management Office at the Environmental Protection Agency or as shown in the specific RFAs, which are accessible through the EPA/ORD website at <http://www.epa.gov/ord/htm/grantopportunity.htm>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified about award decisions within six months of the solicitation deadline. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters office for application deadlines or review the RFAs for specific deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12-month basis (annual). Total approved project period may not exceed 5 years.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

FY 07 \$4,300,000; FY 08 est \$6,700,000; and FY 09 est \$6,700,000.

Range and Average of Financial Assistance:

New grants/cooperative agreements range from \$5,000 to \$500,000 and average \$100,000.

PROGRAM ACCOMPLISHMENTS:

An estimated 50 grants/cooperative agreements are made each year. Data of the number of applications received and the exact number of awards made in the last three fiscal years is not available. Summary results of the research are available on <http://www.epa.gov/research/accomplishments/>.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 30 and 40 CFR Part 31). "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis and "EPA and the Academic Community, Solicitation for Grant Proposals."

Regional or Local Office:

Individuals are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed on the RFA.

Headquarters Office:

For administrative questions on grant applications and procedures, contact Mark J. Thomas, at the following address: Environmental Protection Agency, 1200 Pennsylvania Ave., N.W., Washington, DC 20460. Telephone: (202) 564-4763, Fax: (202) 565-2903, E-mail: Thomas.Mark@epa.gov.

Web Site Address:

<http://www.epa.gov/ord/htm/grantopportunity.htm>.

RELATED PROGRAMS:

66.509, Science To Achieve Results (STAR) Research Program.

EXAMPLES OF FUNDED PROJECTS:

(1) To investigate methods to manage large floodplain rivers in ways that achieve multiple, sustained benefits by restoring hydrogeomorphic river channel processes in carefully selected locations. (2) This assistance agreement will support a meeting to foster collaborative research among researchers in different States on the effects of ozone on plants, and to find ways to reach out to the public to inform them of the results of this research. (3) This project, through distribution of Pollution Prevention and linked life cycle cost accounting tools, will enable small and medium size businesses located in greater New England to reduce their emission of toxic substances, which will in turn lead to reduced environmental health risks. (4) The goals of this project are to develop and apply a methodology for benchmarking curricula in sustainability engineering and to identify individuals active in sustainability engineering education. The information collected in this project will be available as a resource for faculty at institutions of higher education to enhance the engineering curricula with lessons focused on the environmental impacts associated with the design of products, processes, or systems. (5) This grant studies environmental sustainability issues concerning the need to balance the use of water to meet both human and environmental demands. Funding will support a decision support system (DDS) that will integrate scientific models of ecological water

flow needs, water demand management, and water supply planning into a decision-oriented optimization framework that promotes preventive approaches.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. A proposal is judged for (A) scientific merit in terms of quality and originality of the proposed work, the qualifications of the investigator(s), the adequacy of the quality assurance plan, the responsiveness of the proposed needs identified in the RFA, the appropriateness of the proposed budget, and the probability that the project will accomplish stated objectives, and (B) program interest in terms of the need for the proposed work and a balanced ORD research portfolio. See the Web page for specific criteria.

66.511 OFFICE OF RESEARCH AND DEVELOPMENT CONSOLIDATED RESEARCH/TRAINING/FELLOWSHIPS

FEDERAL AGENCY:

OFFICE OF RESEARCH AND DEVELOPMENT, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

National Environmental Policy Act of 1969, Section 102(2)(F); Clean Air Act, Sections 103 and 104, as amended, Public Law 95-95, 42 U.S.C. 7401 et seq.; Clean Water Act, Section 104, as amended, Public Law 95-217, 33 U.S.C. 1251 et seq.; Solid Waste Disposal Act, Section 8001, as amended; Resource Conservation and Recovery Act of 1976, as amended, Public Law 94-580, 42 U.S.C. 6901 et seq.; Safe Drinking Water Act, Section 1442, as amended, Public Law 93-523; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 92-516, 7 U.S.C. Sections 136f and 136u; Public Laws 94-140 and 95-396, 7 U.S.C. 136 et seq.; Toxic Substances Control Act, Section 10, as amended, Public Law 94-469, 15 U.S.C. 2601 et seq.; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Section 311, Public Law 95-510, as amended, Public Law 99-499; Marine Protection, Research, and Sanctuaries Act, Section 203.

OBJECTIVES:

The Office of Research and Development (ORD) supports research and development to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; (2) identify, develop, and demonstrate effective pollution control techniques; and (3) perform risk assessments to characterize the potential adverse health effects of human exposures to environmental hazards, and (4) the facilitation of training and fellowships in these areas. Investigator initiated grants/cooperative agreements may be funded in broad areas such as environmental chemistry and physics, environmental engineering; and health and ecological effects of pollution. The ORD conducts leading-edge research to provide a solid underpinning of science and technology for the Agency. Applied research builds our understanding of how to protect and enhance the relationship between humans and the ecosystems of earth. ORD conducts a comprehensive research program to protect air, water, land, and human health and ecosystems, to improve environmental compliance and stewardship, and to conduct and promote coordination and acceleration of training for individuals relating the protection and enhancement of the relationship between humans and the ecosystems of earth as well as to improve environmental compliance and stewardship. Funding Priority - Fiscal Year 2008: 1. Clean air research investigates risks associated with exposure to hazardous air pollutants (HAPs) and criteria pollutants, such as particulate matter (PM). Our goal is to work with other Federal and state agencies, major universities and institutions, and the private sector to develop a better understanding of the causes and effects of air pollution, and provide the scientific underpinnings to improve air quality in the U.S. 2. ORD provides the science needed to ensure drinking water is safe and to restore and maintain oceans, watersheds, and aquatic ecosystems to protect human health, support economic and recreational activities, and provide healthy habitats for fish, plants, and wildlife. Our water research program provides the science needed for effectively implementing the Safe Drinking Water Act (SDWA) and Amendments and the Clean Water Act (CWA). 3. Preserving land quality and remediating contaminated land is another of ORD's major goals. Left uncontrolled, hazardous and non-hazardous wastes on land can migrate to the air, ground water, and surface water, contaminating drinking water supplies, and threatening healthy ecosystems in urban, rural, and suburban areas. Efforts to preserve and restore land are supported through contaminated sediments and groundwater research. 4. ORD also continues to better understand environmental risks and develop tools and management options for improving environmental conditions. To promote healthy communities and ecosystems, EPA brings together a variety of programs, including human health research, endocrine disrupters research, computational toxicology research, global change research, pesticides and toxic substances research, and research on homeland security, as well as promoting and facilitating training in these areas to stimulate interest among students in careers involving environmental research and to provide hands-on experience. 5. Finally, ORD EPA has developed and evaluated tools and technologies to monitor, prevent, control, and clean up pollution throughout

its history. Since the Pollution Prevention Act of 1990, the Agency has increasingly focused on preventative and sustainable approaches to health and environmental problems. EPA's efforts in this area support research specifically designed to address the issue of advancing sustainability goals - EPA's Science and Technology for Sustainability (STS) program. Our goal is to work with other Federal and state agencies, major universities and institutions, and the private sector to develop a better understanding of the causes and effects of air pollution, and provide the scientific underpinnings to improve air quality in the U.S. 2. ORD provides the science needed to ensure drinking water is safe and to restore and maintain oceans, watersheds, and aquatic ecosystems to protect human health, support economic and recreational activities, and provide healthy habitats for fish, plants, and wildlife. Our water research program provides the science needed for effectively implementing the Safe Drinking Water Act (SDWA) and Amendments and the Clean Water Act (CWA). 3. Preserving land quality and remediating contaminated land is another of ORD's major goals. Left uncontrolled, hazardous and non-hazardous wastes on land can migrate to the air, ground water, and surface water, contaminating drinking water supplies, and threatening healthy ecosystems in urban, rural, and suburban areas. Efforts to preserve and restore land are supported through contaminated sediments and groundwater research. 4. ORD also continues to better understand environmental risks and develop tools and management options for improving environmental conditions. To promote healthy communities and ecosystems, EPA brings together a variety of programs, including human health research, endocrine disrupters research, computational toxicology research, global change research, pesticides and toxic substances research, and research on homeland security, as well as promoting and facilitating training in these areas to stimulate interest among students in careers involving environmental research and to provide hands-on experience. 5. Finally, ORD EPA has developed and evaluated tools and technologies to monitor, prevent, control, and clean up pollution throughout its history. Since the Pollution Prevention Act of 1990, the Agency has increasingly focused on preventative and sustainable approaches to health and environmental problems. EPA's efforts in this area support research specifically designed to address the issue of advancing sustainability goals - EPA's Science and Technology for Sustainability (STS) program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with the established policies of EPA. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. These programs are available to each State, territory and possession, and Tribal nation of the U.S., including the District of Columbia, for public and private State universities and colleges, hospitals, laboratories, State and local government departments, other public or private nonprofit institutions, and in some cases, individuals or foreign entities who have demonstrated unusually high scientific ability.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; anyone/general public; education professionals; students/trainees; graduate students; scientists/researchers; hospitals; foreign entities; and individuals.

Creditals/Documentation:

None.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Protection Act. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-102 and No. A-110 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Competitive RFAs (requests for applications) for grants in these areas are

announced widely through the Federal Register, Internet, university and scientific organizations, among other mechanisms. Although the programs identified are the formal assistance programs that ORD funds, there are periodic RFAs disseminated on other topics that are not part of the formal program. These new programs are also part of 66.510 but cannot be presently identified. Funds may be available to support activities including but not limited to experiments, surveys, studies, investigations, public education programs, and monitoring where authorized by specific statutes, in both science and engineering disciplines. Please check the Federal Register or the EPA/ORD website <http://www.epa.gov/ord/htm/grantopportunity.htm> for an updated listing of these programs. Completed applications must be submitted to the appropriate Grants Management Office at the Environmental Protection Agency or as shown in the specific RFAs, which are accessible through the EPA/ORD website at <http://www.epa.gov/ord/htm/grantopportunity.htm>. Please refer to Appendix IV for the complete mailing address(es) for the Grants Management Office(s). Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified about award decisions within six months of the solicitation deadline. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters office for application deadlines or review the RFAs for specific deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants/cooperative agreements are normally funded on a 12-month basis (annual). Total approved project period may not exceed 5 years.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and 31. Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

FY 07 \$33,200,000; FY 08 est \$33,600,000; and FY 09 est \$31,000,000.

Range and Average of Financial Assistance:

Awards range for new grants/cooperative agreements from \$75,000 to \$950,000, and average \$250,000.

PROGRAM ACCOMPLISHMENTS:

An estimated 125 grants/cooperative agreements are made each year. Data of the number of applications received and the exact number of awards made in the last three fiscal years is not available. Summary results of the research are available on <http://www.epa.gov/researchaccomplishments>.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 30 and 40 CFR Part 31); Interim Regulations, Research and Demonstration Grants, Environmental Protection Agency (40 CFR Part 40). "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis and "EPA and the Academic Community, Solicitation for Grant Proposals."

Regional or Local Office:

Individuals are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed on the RFA.

Headquarters Office:

For administrative questions on grant applications and procedures, contact Mark J. Thomas, at the following address: Environmental Protection Agency, 1200 Pennsylvania Ave., N.W., Washington, DC 20460. Telephone: (202) 564-4763; Fax: (202) 565-2903. E-mail: Thomas.Mark@epa.gov.

Web Site Address:

<http://www.epa.gov/ord/htm/grantopportunity.htm>.

RELATED PROGRAMS:

66.510, Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development.

EXAMPLES OF FUNDED PROJECTS:

1) To improve understanding of the relationship between complex mixtures of environmental air pollutants and human health through the creation and analysis of a database developed by conducting identically designed laboratory studies of a small number of highly complex atmospheres having overlapping, but different, compositions. 2) To address critical questions concerning health risks, toxic metal transformations and pathways, sampling and measurement of toxic metal emissions, predictive modeling, and related toxic metal control technologies. 3) To conduct research and development on hazardous substance waste minimization alternative treatment technologies, and techniques to enhance applications of technologies and technology transfer. 4) This research will (1) evaluate the efficacy of alternative management strategies for the control of insects, nematodes, and weeds that reduce the use of pesticides; (2) characterize the environmental fate of nitrogen and synthetic pesticides using current and alternative pest management strategies; and (3) develop decision aid models that allow utilization of precise control strategies for weeds, insects and diseases that are environmentally safe. 5) To develop a predictive map of ground level ozone concentrations in the Washington/Baltimore non-attainment area by incorporating landscape characteristics and other remote sensing data into existing predictive models for ozone impacted urban areas. 6) To provide the scientific information, understanding, analyses and methods and protocols needed by states and local governments and various regulatory and management agencies to effectively protect the aquatic and terrestrial environment, the EPA seeks to establish a long term Training Cooperative Partnership with a leading research and teaching institution capable of managing an environmental training program. The purpose of this agreement is to stimulate interest among students in careers involving environmental research and to provide hands-on experience.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. A proposal is judged for (A) scientific merit in terms of quality and originality of the proposed work, the qualifications of the investigator(s), the adequacy of the quality assurance plan, the responsiveness of the proposed research to needs identified in the RFA, the appropriateness of the proposed budget, and the probability that the project will accomplish stated objectives, and (B) program interest in terms of the need for the proposed research and a balanced ORD research portfolio. See the Web page for specific criteria.

66.512 REGIONAL ENVIRONMENTAL MONITORING AND ASSESSMENT PROGRAM (REMAP) RESEARCH PROJECTS

FEDERAL AGENCY:

OFFICE OF RESEARCH AND DEVELOPMENT, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104, as amended.

OBJECTIVES:

The Regional Environmental Monitoring and Assessment Program (REMAP) is

a partnership between the EPA Regional Offices and the Office of Research and Development (ORD) Environmental Monitoring and Assessment Program (EMAP), with the primary goal to build state and tribal capacity for using statistically valid monitoring data for reporting on the condition of their aquatic resources. The goals for REMAP are to transfer EMAP's latest scientific techniques for ecological monitoring to EPA Regions, States, Tribes and local decision makers. ORD works with the EPA Regional Offices to support projects meeting EMAP criteria and that are of importance to needs within the Regions. EMAP support for these projects includes: contributing to development of the scientific design of projects; assistance with the selection and evaluation of appropriate indicators and methods for measurement; application of information management approaches; analysis and interpretation of data; and providing a source of funding. EPA funds projects in each of its 10 Regions. Projects are normally funded for up to 2 years, but longer projects are occasionally supported. Annual announcements reflect priority needs in those Regions where funding will be allocated for new projects. The primary objectives of the solicited research are to provide States and Tribes with methods and approaches for incorporating statistically valid ecological monitoring data into their environmental decision-making process. Project goals are to be consistent with EMAP's probabilistic approach (www.epa.gov/emap) to answer questions about ecological conditions at regional and local levels. Information produced from projects is to be transferable to Regional, State and Tribal water quality monitoring programs and regulatory agencies. Research proposals must address one or more of the following monitoring needs for State and tribal aquatic (headwater streams, lakes, near shore coastal areas, wetlands, wadeable streams or large rivers) resources: State and Tribal monitoring needs for water quality reporting (CWA Section 305b). Information needed for identifying impaired waters (CWA Section 303d). Using probability information to identify the effectiveness of restoration/remediation efforts. Advancing the science of biological reference condition for establishing biocriteria. Improved tools and approaches for the assessment of aquatic ecosystems. Funding Priority - Fiscal Year 2008: At this time, it is not anticipated that there will be a new funding opportunity for 2008. Funding Priority-Fiscal Year 2009. It is anticipated that there will be a new funding opportunity for 2009. Priorities will be identified in a later update to this CFDA. Detailed background information is provided in the 2006 solicitation. Proposals for probabilistic assessments of headwater streams, lakes, near shore coastal areas, wetlands, wadable streams or large rivers that answer questions of State and Tribal importance in Regions 3, 4, 6 and 7 will be considered. However, selection criteria will be weighted towards the above 5 priority areas. Funding Priority - Fiscal Year 2007: For the FY 07 solicitation, funding will be available for projects in EPA Regions 2, 5, 8, 9, and 10. Funding priorities for these Regions will be identified and described in the annual announcement.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Information produced from projects is to be transferable to US EPA Regions, and State and Tribal water quality monitoring programs and regulatory agencies.

Data from research results may also be incorporated into larger scale objectives within ORD's EMAP research program. Research projects usually result in geospatial information. In compliance with Executive Order 12906, REMAP data is made available for use throughout all levels of government, the private and non-profit sectors, and the academic community. The goal of this infrastructure is to reduce duplication of effort among agencies, improve quality and reduce costs related to geographic information, to make geographic data more accessible to the public, to increase the benefits of using available data, and to establish key partnerships with states, counties, cities, tribal nations, academia and the private sector to increase data availability. Awards are made in response to announced requests for applications. Funds are awarded via cooperative agreements for allowable direct cost expenditures incident to the performance of research plus allocable portions of allowable indirect costs of the institution, in accordance with the established policies and regulations of EPA. No construction costs are allowed in this agreement. Foreign travel is not allowed unless specifically agreed upon. No large capital equipment items, such as vehicles or boats, will be allowed for purchase under these agreements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Entities that are eligible to receive federal assistance under the Clean Water Act which includes the States, their territories and possessions, local governments and federally recognized U.S. Tribal Nations (40 CFR part 31); institutions of higher education, hospitals, and other non-profit organizations (40 CFR part 30). Eligible nonprofit organizations include any organizations that meet the definition of nonprofit in OMB Circular A-122. Nonprofit applicants that are recommended for funding will be subject to pre-award administrative capability reviews consistent with Sections 8.b, 8.c, and 9.d of EPA Order

5700.8. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities, as defined in Section 3 of the Lobbying Disclosure Act of 1995, are not eligible to apply. Universities and educational institutions must be subject to OMB Circular A-21.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations located in the U.S.; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; Anyone/General Public, Education Professional, Student/Trainee, Graduate Student, Scientists/Researchers.

Credentials/Documentation:

Applicants are required to provide a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number when applying for Federal grants or cooperative agreements. Organizations can receive a DUNS number, at no cost, by calling the dedicated toll-free DUNS Number request line at 1-866-705-5711 or through <http://www.dnb.com>. Applicants are required to submit an Application for Federal assistance and Budget Information (SF-424 AND SF-424A), Assurances for Non-Construction Programs (SF-424B), Certificate regarding lobbying, EPA forms 5700-54 and 4700-4, A project and budget narrative including documents of Environmental Results Past Performance and Programmatic Capability. Other pertinent documentation may be required. A complete description and instructions for application submission will be provided in the funding announcement.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Electronic application must be made through <http://www.grants.gov/> using the application package available at that web site for electronic submissions. Complete application information is provided in the annual RFA. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

The deadline for applications will be stated in the Requests for Applications and will not be less than 45 days from the date that it is posted on grants.gov.

Range of Approval/Disapproval Time:

Approximately 60 days for the application to be approved or disapproved.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Projects periods and funding is normally on a 2 year basis. Longer projects are occasional supported. Expected project and funding periods will vary between funding priorities and will be identified in the annual funding announcement. EPA reserves the right to fully, incrementally or partially fund a project.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in

Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Parts 30 and 31 are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records, and substantiate changes in grants available to personnel authorized to examine EPA recipients' grants and cooperative agreements records. Award recipients must agree to make methods, models, and data resulting from this agreement accessible to the public and to EPA. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions remain, such as those raised as a result of an audit, following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

FY 07 \$2,210,173; FY 08 est. \$767,500; FY 09 est. \$900,000. FY 08 Funding was placed on awards made in response to FY 07 Funding Priorities.

Range and Average of Financial Assistance:

The average financial assistance for 2006 awards on new projects was \$409,000 with a range of \$192,000 to \$575,000. The financial assistance for awards resulting from the 2007 RFA competition are pending and are expected to be funded in FY 2008.

PROGRAM ACCOMPLISHMENTS:

The REMAP program has made significant advances and contributions in the development of monitoring and assessment tools and protocols. The technical support and collaborative expertise with ORD has enabled regions to integrate these scientific advances into stakeholder programs. With this assistance and partnership the regions have been able to provide the agency with defensible credible data on environmental condition in a more cost effective and timely manner. REMAP emphasizes that project designs employ the EMAP probabilistic approach. This allows for a statistically-valid and defensible framework to report on the condition of resources which is consistent with EPA's long term goal 1 "Developing statistically-valid and defensible frameworks to assess status and trends of ecosystem condition at national scales". In 2007, 12 eligible applications were received and 4 projects were funded. In FY 2008 no RFA was issued and thus no new applications were received or funded. FY 2008 obligations were placed against those projects approved and funded initially in FY 2007. Current awards, projects, progress reports and any outputs are available on REMAP's home page (www.epa.gov/emap/remap). Currently resulted in the posting of fish consumption health advisories across the region. A current project in New England will enable researchers to revisit the distribution of mercury contamination and thus be able to provide trend information. Probability-based Monitoring Within Nebraska's Rotating Basin Assessment: EPA Region 7, EPA-ORD, and the Nebraska Department of Environmental Quality initiated a REMAP effort in 1997 that is still ongoing today. The State of Nebraska realized it had an assessment problem because it was negatively biasing its sampling results by targeting a large portion of its monitoring resources to waters with suspected problems. Because of this targeted monitoring, it was impossible for the State to make an unbiased assessment of the status and/or trends of its stream resources for the State's bi-annual water quality ("305b") assessment report. To solve this problem, the State decided that a new monitoring approach was needed and in 1997 began to use a "EMAP-type" probability based sampling design within its rotating basin assessment framework. By employing both the probability-based design and its associated data analyses methods, the state is able to produce unbiased estimates of its stream resources with a known level of confidence. Current projects, progress reports and any outputs are available on REMAP's home page(www.epa.gov/emap/remap).

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA's General Grant Regulations, 40 CFR Part 31; EPA's Interim Regulations, Research and Demonstration Grants, 40 CFR Part 40. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes and OMB Circular No. A-21 for educational institutions.

Regional or Local Office:

Individuals are encouraged to communicate with the appropriate ORD or Regional Office listed on REMAP's website at www.epa.gov/emap/remap, and listed in the RFA for this program.

Headquarters Office:

For technical information on grant applications and procedures, contact Jo Thompson, at the following address: Environmental Protection Agency, Mid-Continent Ecology Division, 6201 Congdon Boulevard, Duluth, MN 55804. Commercial Telephone: (218) 529-5198, Fax: (218) 529-5015. Government E-mail: Thompson.jo@epa.gov. For administrative questions contact Larry Hodgson, Telephone: (740)-654-2346, Government E-mail: Hodgson.Larry@epa.gov.

Web Site Address:

<http://www.epa.gov/emap/remap/>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Designing and Implementing a Program to Evaluate New England's Lakes and Ponds: Currently, there is not a statistically-valid design in place to perform a comprehensive and unbiased assessment of New England lakes and ponds. This study is addressing that need in order to meet 305b reporting requirements and provide information on status and trends of these ecosystems. (2) Validation and Sensitivity Analysis for Rapid Wetland Assessment using Comprehensive Wetland Assessment Data: This project is addressing the need for methods to assess and report on the ecological condition of wetlands in the State of Delaware. (3) A Biological Assessment of the Large Rivers of the Upper Mississippi and Ohio River Basins in Region 5: There is currently no statistically valid method to assess large rivers. This study is developing calibrated biological assessment tools and designing a systematic and standardized approach for the assessment of these large river systems. (4) Developing rigorous assessments for large Pacific Northwest rivers. The purpose of this project is to document the feasibility, logistics, and costs to states of using EMAP indicators and designs to assess the ecological condition, major stressors, and likely human disturbances of entire main-stem rivers in the Pacific Northwest in Region 10. (5) Development of Benthic Indicators for Nearshore Coastal Waters of New Jersey. This study is Developing of an indicator of ecosystem health for the benthic community in the estuarine and nearshore waters of New Jersey in Region 2.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Competitive applications and proposals will be selected in accordance with announced criteria. Generally, the proposals will be judged for (A) Extent to which projects addresses Regional priority areas identified in the announcement, partnerships and transferability of project results to Regional, State and Tribal stakeholders, and consistency with REMAP objectives and EPA's strategic goals; and (B) Technical issues including the identification of plan for tracking outputs and environmental outcomes and evaluating the applicant's past performance in reporting on outputs and outcomes. Specific criteria and weight of criteria are listed in the annual announcement.

66.513 GREATER RESEARCH OPPORTUNITIES (GRO) FELLOWSHIPS FOR UNDERGRADUATE/GRADUATE ENVIRONMENTAL STUDY

FEDERAL AGENCY:

OFFICE OF RESEARCH AND DEVELOPMENT, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

National Environmental Policy Act of 1969, Section 102(2)(F); Clean Air Act, Section 103, 42 U.S.C. 7403; Clean Water Act, Section 104, 33 U.S.C.; Solid Waste Disposal Act, Section 8001, 42 U.S.C. 6981; Safe Drinking Water Act, Section 1442, 42 U.S.C. 300j-1; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C. 136r; Toxic Substances Control Act, Section 10, 15 U.S.C. 2609.

OBJECTIVES:

The National Center for Environmental Research (NCER) offers graduate and undergraduate fellowships to students in environmentally related fields of study. This program is intended to strengthen the environmental research capacity of institutions of higher education that receive limited funding to build such capacity. Funding Priority - Fiscal Year 2008: The Greater Research Opportunities Fellowship Program will support graduate fellowships in the following areas: Environmental Engineering (limited to pollution prevention; includes sustainability); Environmental Engineering (limited to treatment and remediation); Atmospheric Sciences; Environmental Chemistry and Environmental Materials Science; Hydrogeology; Economics (includes market incentives and health and ecosystem valuation); Environmental Decision-Making Research (limited to projects in community-based participatory research, intervention strategies, environmental behavior modification, environmental education, and environmental justice); Urban/Regional Planning and Geography (includes GIS, demography); Microbiology; Molecular Biology (includes genomics, proteomics, toxicodynamics, toxicology, and bioinformatics); Public Health Sciences (includes environmental epidemiology, biostatistics, and health physics); Human Health Risk Assessment; Aquatic Systems Ecology focusing on freshwater endpoints; Coastal and Estuarine Processes (limited to pollution research such as harmful algal blooms); Terrestrial Systems Ecology (limited to soils and plants that can serve as a medium for contaminant transport); Terrestrial Systems Ecology (work should relate to pollutant effects on animals and/or habitat). All projects must describe the relationship of these topic areas to the statutorily required purpose of pollution prevention and/or control. The Greater Research Opportunities Fellowship Program will support undergraduate fellowships in the following

areas: Natural and Life Sciences; Environmental Science; Engineering; Social Sciences; Physical Sciences; Mathematics and Computer Science; and Economics. describe the relationship of these topic areas to the statutorily required purpose of pollution prevention and/or control. The Greater Research Opportunities Fellowship Program will support undergraduate fellowships in the following areas: Natural and Life Sciences; Environmental Science; Engineering; Social Sciences; Physical Sciences; Mathematics and Computer Science; and Economics.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Fellowship awards are made in response to competitive solicitations. Funds are to provide resources to allow for fellowships related to environmental issues. No matching funds are required. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Graduate Fellowships: Applicants must attend a fully accredited U.S. college or university (located in the U.S. or its territories). Individuals must be citizens of the United States or its territories or possessions, or be lawfully admitted to the United States for permanent residence. Resident aliens must include their green card number in their pre-application. Resident aliens must have their green card at the time of application to be eligible for this Fellowship opportunity. EPA may verify this number with the U.S. Citizenship and Immigration Service of the Department of Homeland Security. Applicants attending fully accredited four year U.S. institutions of higher education are eligible to apply. These schools must be among those that are not highly funded for development of environmental research capacity. For the purposes of this CFDA, EPA considers such institutions to be those listed in the National Science Foundation's publication, "Federal Science and Engineering Support to Universities, Colleges, and Nonprofit Institutions: FY 2004," Table 11. Institutions identified as receiving \$35 million or less in annual federal research and development funding, as designated in column 2 of Table 11, are eligible.

These data can be found at:

<http://www.nsf.gov/statistics/nsf07316/pdf/tab11.pdf>. Table 11 is a broad representation of institutions, and includes schools that also receive more than \$35 million annually in Federal research assistance. Applicants attending eligible institutions with substantial minority enrollment are particularly encouraged to apply. Examples of such schools are those that the U.S. Department of Education lists as "Accredited Postsecondary Minority Institutions" consistent with Executive Orders 13125 (Increasing Participation of Asian Americans and Pacific Islanders), 13230 (Educational Excellence for Hispanic Americans), 13256 (Historically Black Colleges and Universities), and 13270 (Tribal Colleges and Universities), which encourage building of the capacity of minority serving institutions to provide high quality education. Note however, that not all of these schools receive less than \$35 million annually in Federal Research and Development expenditures. For specific information regarding Federal funding to minority-serving institutions, see The Extent of Federal S&E Funding to Minority-Serving Institutions, at <http://www.nsf.gov/statistics/infbrief/nsf04325/nsf04325.pdf>. Applicants who are in a graduate program at the time of application and meet either of the following two criteria as of October 23, 2007 ARE NOT eligible to receive a fellowship: (1) have completed more than one year in your current master's program; or (2) have completed more than four years in your current doctoral program. However, applicants enrolled in a master's program who intend to pursue a doctoral degree beginning in the fall of 2008 may apply for a doctoral fellowship. Acceptance of this fellowship does not necessarily preclude acceptance of another scholarship, fellowship, traineeship, or grant aid. However, this fellowship does preclude receiving other federal fellowships. Employees of the U.S. government may apply, but must be able to prove separation from Federal service before accepting this fellowship. Undergraduate Fellowships: Applicants must attend a fully accredited U.S. college or university (located in the U.S. or its territories). Individuals must be citizens of the U.S. or its territories or possessions, or be lawfully admitted to the United States for permanent residence. Resident aliens must include their green card number in their pre-application. Resident aliens must have their green card at the time of application.

to be eligible for this Fellowship opportunity. EPA may verify this number with the U.S. Citizenship and Immigration Service of the Department of Homeland Security. Applicants attending fully accredited four year U.S. institutions of higher education are eligible to apply. These schools must be among those that are not highly funded for development of environmental research capacity. For the purposes of this solicitation, EPA considers such institutions to be those listed in the National Science Foundation's publication "Federal Science and Engineering Support to Universities, Colleges, and Nonprofit Institutions: FY 2004," Table 11. Institutions identified as receiving \$35 million or less in annual

federal research and development funding as designated in column 2 of Table 11, are eligible. These data can be found at:

<http://www.nsf.gov/statistics/nsf07316/pdf/tab11.pdf>. Table 11 is a broad representation of institutions, and includes schools that also receive more than \$35 million annually in Federal research assistance. Applicants attending eligible institutions with substantial minority enrollment are particularly encouraged to apply. Examples of such schools are those that the U.S. Department of Education lists as "Accredited Postsecondary Minority Institutions" consistent with Executive Orders 13125 (Increasing Participation of Asian Americans and Pacific Islanders), 13230 (Educational Excellence for Hispanic Americans), 13256 (Historically Black Colleges and Universities), and 13270 (Tribal Colleges and Universities), which encourage building of the capacity of minority serving institutions to provide high quality education. Note however, that not all of these schools receive less than \$35 million annually in Federal Research and Development expenditures. For specific information regarding Federal funding to minority-serving institutions, see The Extent of Federal S&E Funding to Minority-Serving Institutions, at <http://www.nsf.gov/statistics/infbrief/nsf04325/nsf04325.pdf>. Regarding students who are attending community college, note that only those that are either four-year baccalaureate-granting institutions with degree programs in one or more eligible academic fields, or two year institutions that grant associate degrees and participate in Articulation Agreements with four-year institutions, are eligible to participate in this fellowship program. An Articulation Agreement is a signed contract between a community or technical college and a four-year college or university that guarantees that a student who earns an associate degree at a participating two-year institution can transfer all of the general education core credits to the four-year institution toward the completion of baccalaureate degree requirements. Students must be pursuing a bachelor's degree in an environmentally related field of specialization. Students must have two academic years remaining as of Fall 2008 before receiving a bachelor's degree. Students must have at least a "B" average overall. Acceptance of this fellowship does not necessarily preclude acceptance of another scholarship, fellowship, traineeship, or grant aid. However, this fellowship does preclude receiving other federal fellowships. The term of the undergraduate fellowship is negotiated with the student and covers a period of nine months for each fellowship year. Students seeking a bachelor's degree may be supported for a maximum of two years. Employees of the U.S. government must be able to prove separation from Federal service before accepting this fellowship. a bachelor's degree may be supported for a maximum of two years. Employees of the U.S. government must be able to prove separation from Federal service before accepting this fellowship. See solicitations at <http://www.epa.gov/ncer> for additional information pertaining to eligibility requirements.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; and Graduate Student.

Credentials/Documentation:

EPA may request that fellows document their affiliation with an eligible institution. The EPA may also request that applicants demonstrate they have appropriate background, academic training, and experience in the field to carry out the fellowship.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. NCER invites pre-applications for graduate and undergraduate fellowships in academic disciplines relating to environmental research including engineering, public health, and ecological sciences. A pre-application provides the information needed for the review of its merit. Following the merit review, top rated applicants will be required to submit a brief formal application. This program is excluded from coverage under E.O. 12372.

Application Procedure:

For regular mail, completed paper pre-applications must be submitted to the following address: Environmental Protection Agency, Peer Review Division (8725F), Funding Opportunity Number: (include appropriate number as shown in the solicitation), 1200 Pennsylvania Avenue, N.W., Washington, DC 20460. For express mail and couriers, completed paper pre-applications must be submitted to the following address: Environmental Protection Agency, Peer Review Division (8725F), Funding Opportunity Number: (include appropriate number as shown in the solicitation); 1025 F Street, N.W., (Room 3500), Washington, DC 20004, Telephone: (202) 233-0686. Specific details on the application process are described in the solicitations which are located at: <http://www.epa.gov/ncer>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

As shown in the specific solicitations, which are accessible through the EPA/ORD/NCER website at <http://www.epa.gov/ncer>.

Range of Approval/Disapproval Time:

Approximately 210 days from the close of the solicitation.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

For undergraduate fellowships, the term of the fellowship is negotiated with the student and covers a period of nine months for each fellowship year. Students seeking a bachelor's degree may be supported for a maximum of two years. The term of the graduate fellowship is also negotiated with the student and customarily covers a period of 9 to 12 months for each fellowship year. Students seeking a master's degree may be supported for a maximum of two years. Students seeking doctoral degrees may receive support for a maximum of three years, available over a four-year period. If selected to receive a fellowship, you will be contacted no later than seven months after the close of the solicitation and should subsequently receive your official notification of award by no later than 9 months after the close of the solicitation for the fall term. Please note that this schedule may be changed without notification due to factors that were not anticipated at the time of announcement. The official notification of an award will be made by the EPA's Grants and Interagency Agreement Management Division.

Reports:

EPA includes reporting requirements for fellowships in the terms and conditions of the agreements. Agreements may require annual reports, final progress reports, expenditure/financial, equipment, and invention reports. Reporting requirements are also identified in the fellowship regulations, 40 CFR Part 46.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 46 are applicable. All records related to a fellowship agreement must be maintained for three years after the completion date inserted on the "Completion of Studies Notice" (EPA Form 5770-9). EPA, the Inspector General, Comptroller General of the United States, or any of their duly authorized representatives, has the right of timely and unrestricted access to documents, papers, or other records related to a fellowship, in order to make audits, examinations, excerpts, transcripts and copies of such documents. The rights of access in this paragraph are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

68-0107-0-1-304.

Obligations:

FY 07 \$1,520,700; FY 08 est \$1,529,000; and FY 09 est \$2,066,000.

Range and Average of Financial Assistance:

Graduate- A maximum of \$74,000 will be provided for master's fellows (two years) and up to \$111,000 (three years) for doctoral fellows. Awards range from \$55,000 to \$111,000 total per fellowship and average \$33,386 per year. Undergraduate- The fellowship provides up to \$17,000 per year of academic support and \$7,500 for internship support for a combined total of up to \$41,500 over the life of the fellowship. Awards range from \$30,000 to \$41,500 total per fellowship and average \$35,000 total.

PROGRAM ACCOMPLISHMENTS:

Applications received for the Greater Research Opportunities Fellowship Program (Graduate)- FY 07: 107; FY 08: 116. New awards for the Greater Research Opportunities Fellowship Program (Graduate)- FY 07: 14. Applications received for the Greater Research Opportunities Fellowship Program (Undergraduate)- FY 07: 28; FY 08: 41. New awards for the Greater Research Opportunities Fellowship Program (Undergraduate)- FY 07: 15. Abstracts of awards and summary results of the research are available on the NCER homepage: <http://www.epa.gov/ncer>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fellowship Regulations, Environmental Protection Agency (40 CFR Part 46).

Regional or Local Office:

Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contacts listed in the solicitations. Solicitations are located at: <http://www.epa.gov/ncer>.

Headquarters Office:

To obtain further information via the Internet for the Greater Research Opportunities Fellowship Program, click on "Fellowships" at <http://www.epa.gov/ncer>. For additional information pertaining to graduate fellowships, please contact: Stephanie Willett; Telephone: (202) 343-9737; Fax: (202) 233-0680; 1025 F Street, N.W., Washington, DC 20004; E-mail: willett.stephanie@epa.gov. E-mail inquiries are preferred. For additional information pertaining to undergraduate fellowships, please contact: Georgette Boddie; Telephone: (202) 343-9741; Fax: (202) 233-0680; E-mail: boddie.georgette@epa.gov; 1025 F Street, N.W., Washington, DC 20004. E-mail inquiries are preferred.

Web Site Address:

<http://www.epa.gov/ncer>.

RELATED PROGRAMS:

66.514, Science To Achieve Results (STAR) Fellowship Program.

EXAMPLES OF FUNDED PROJECTS:

(1) Examine acute and chronic effects of propanil and its metabolites on T cell function and activation. Propanil is a widely used herbicide with over 7 million pounds applied per year and its effects on T cell immune function have not been established. (2) Utilize an approach called chemical toxicity distributions (CTDs) to assess the sensitivity of common indicators of endocrine toxicity. (3) Test whether the incidence of gastrointestinal disease in bathers in recreational marine waters of Puerto Rico is associated with exposure to microbiological contaminants. (4) Determine whether the degree of urbanization affects communities of aquatic organisms and how environmental factors related to urbanization (land cover, water quality, aquatic habitat) mechanistically relate to aquatic community composition. (5) Evaluate the biogeochemical processes in the proximity of plant roots that can support degradation of chlorinated ethenes in the shallow subsurface environment of a constructed wetland. See Web Page (<http://www.epa.gov/ncer>) for information on previously funded projects under the Greater Research Opportunities Fellowship Program.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. See the Web page (<http://www.epa.gov/ncer>) for additional information on the evaluative criteria for the RFAs.

66.514 SCIENCE TO ACHIEVE RESULTS (STAR) FELLOWSHIP PROGRAM

FEDERAL AGENCY:

OFFICE OF RESEARCH AND DEVELOPMENT, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

National Environmental Policy Act of 1969, Section 102(2)(F); Clean Air Act, Section 103, 42 U.S.C. 7403; Clean Water Act, Section 104, 33 U.S.C.; Solid Waste Disposal Act, Section 8001, 42 U.S.C. 6981; Safe Drinking Water Act, Section 1442, 42 U.S.C. 300j-1; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C. 136r; Toxic Substances Control Act, Section 10, 15 U.S.C. 2609.

OBJECTIVES:

The National Center for Environmental Research (NCER), as part of its Science to Achieve Results (STAR) program, offers graduate fellowships for master's and doctoral level students in environmentally related fields of study. The purpose of the fellowship program is to encourage promising students to obtain advanced degrees and pursue careers in environmentally related fields. This goal is consistent with the mission of EPA, which is to provide leadership in the nation's environmental science, research, education, assessment, restoration, and preservation efforts. This program will benefit both the public and private sectors which will need a steady stream of well-trained environmental specialists if society is to meet the environmental challenges of the future. These fellowships are intended to help defray costs associated with advanced environmentally-oriented study leading to a master's or doctoral degree. Funding Priority - Fiscal Year 2008: The STAR Fellowship Program will support graduate fellowships in the following areas: Environmental Engineering (limited to pollution prevention; includes sustainability); Environmental Engineering (limited to treatment and remediation); Atmospheric Sciences; Environmental Chemistry and Environmental Materials Science; Hydrogeology; Economics (includes market incentives and health and ecosystem valuation); Environmental Decision-Making Research (limited to projects in community-based participatory research, intervention strategies, environmental behavior modification, environmental education, and environmental justice); Urban/Regional Planning and Geography (includes GIS, demography); Microbiology; Molecular Biology (includes genomics, proteomics,

toxicodynamics, toxicology, and bioinformatics); Public Health Sciences (includes environmental epidemiology, biostatistics, and health physics); Human Health Risk Assessment; Aquatic Systems Ecology focusing on freshwater endpoints; Coastal and Estuarine Processes (limited to pollution research such as harmful algal blooms); Terrestrial Systems Ecology (limited to soils and plants that can serve as a medium for contaminant transport); Terrestrial Systems Ecology (work should relate to pollutant effects on animals and/or habitat). All projects must describe the relationship of these topic areas to the statutorily required purpose of pollution prevention and/or control. I and Estuarine Processes (limited to pollution research such as harmful algal blooms); Terrestrial Systems Ecology (limited to soils and plants that can serve as a medium for contaminant transport); Terrestrial Systems Ecology (work should relate to pollutant effects on animals and/or habitat). All projects must describe the relationship of these topic areas to the statutorily required purpose of pollution prevention and/or control.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

All STAR fellowship awards are made in response to a competitive solicitation. Funds are to provide resources to allow for fellowships related to environmental issues. No matching funds are required. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Applicants must attend a fully accredited U.S. college or university (located in the U.S. or its territories). Individuals must be citizens of the United States or its territories or possessions, or be lawfully admitted to the United States for permanent residence. Resident aliens must include their green card number in their pre-application. Resident alien applicants must have their green card at the time of application to be eligible for this Fellowship opportunity. EPA may verify this number with the U.S. Citizenship and Immigration Service of the Department of Homeland Security. Applicants do not need to be enrolled in or formally accepted in a full-time graduate program at the time they apply for a fellowship, but proof of enrollment or acceptance must be produced prior to the award of the fellowship. Applicants must be pursuing a master's or doctoral degree in an environmental field of specialization. Applicants who are in a graduate program at the time of application and meet either of the following two criteria as of October 23, 2007 ARE NOT eligible to receive a fellowship: 1) have completed more than one year in your current master's program; or 2) have completed more than four years in your current doctoral program. However, applicants enrolled in a master's program who intend to pursue a doctoral degree beginning in the fall of 2008 may apply for a doctoral fellowship. Acceptance of this fellowship does not necessarily preclude acceptance of another scholarship, fellowship, traineeship, or grant aid. However, this fellowship does preclude receiving other federal fellowships. Employees of the U.S. government may apply, but must be able to prove separation from Federal service before accepting this fellowship.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; and Graduate Student.

Credentials/Documentation:

EPA may request that fellows document their affiliation with an eligible institution. The EPA may also request that applicants demonstrate they have appropriate background, academic training, and experience in the field to carry out the fellowship.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. NCER invites pre-applications for graduate fellowships in academic disciplines relating to environmental research including engineering, public health, and ecological sciences. A pre-application provides the information needed for the review of its merit. Following the merit review, top rated applicants will be required to submit a brief formal application. This program is excluded from coverage under E.O. 12372.

Application Procedure:

For regular mail, completed paper pre-applications must be submitted to the following address: Environmental Protection Agency, Peer Review Division (8725F), Funding Opportunity Number: (include appropriate number as shown in the solicitation), 1200 Pennsylvania Avenue, N.W., Washington, DC 20460. For express mail and couriers, completed paper pre-applications must be submitted to the following address: Environmental Protection Agency, Peer Review Division (8725F), Funding Opportunity Number: (include appropriate number as shown in the solicitation), 1025 F Street, N.W., (Room 3500), Washington, DC 20004. Phone: (202) 233-0686. Specific details on the application process is

described in the solicitation which is located at: <http://www.epa.gov/ncer>.

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

As shown in the solicitation, which is accessible through the EPA/ORD/NCER website at <http://www.epa.gov/ncer>.

Range of Approval/Disapproval Time:

Approximately 210 days from the close of the solicitation.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

The term of a graduate fellowship is negotiated with students and customarily covers a period of 9 to 12 months for each fellowship year. Students seeking a master's degree may be supported for a maximum of two years. Students seeking doctoral degrees may receive support for a maximum of three years, available over a period of four years. If selected to receive a fellowship, you will be contacted no later than seven months after the close of the solicitation and should subsequently receive your official notification of award by no later than 9 months after the close of the solicitation for the fall term. Please note that this schedule may be changed without notification due to factors that were not anticipated at the time of announcement. The official notification of an award will be made by the EPA's Grants and Interagency Agreement Management Division.

Reports:

EPA includes reporting requirements for fellowships in the terms and conditions of the agreements. Agreements may require annual reports, final progress reports, expenditure/financial, equipment, and invention reports. Reporting requirements are also identified in the fellowship regulations, 40 CFR Part 46.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 46 are applicable. All records related to a fellowship agreement must be maintained for three years after the completion date inserted on the "Completion of Studies Notice" (EPA Form 5770-9). EPA, the Inspector General, Comptroller General of the United States, or any of their duly authorized representatives, has the right of timely and unrestricted access to documents, papers, or other records related to a fellowship, in order to make audits, examinations, excerpts, transcripts and copies of such documents. The rights of access in this paragraph are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

68-0107-0-1-304.

Obligations:

FY 07 \$9,100,000; FY 08 est. \$7,200,000; and FY 09 est. \$5,875,000.

Range and Average of Financial Assistance:

A maximum of \$74,000 will be provided for master's fellows (two years) and up to \$111,000 (three years) for doctoral fellows. Awards range from \$55,000 to \$111,000 total per fellowship and average \$80,000.

PROGRAM ACCOMPLISHMENTS:

Applications received for the STAR Fellowship Program - FY 07: 989; FY 08: 878. New Awards for the STAR Fellowship Program - FY 07: 68. Abstracts of awards and summary results of the research are available on the NCER homepage: <http://www.epa.gov/ncer>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fellowship Regulations, Environmental Protection Agency (40 CFR Part 46).

Regional or Local Office:

Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed in the solicitation. The solicitation is located at: <http://www.epa.gov/ncer>.

Headquarters Office:

To obtain further information via the Internet for the STAR Fellowship Program, click on "Fellowships" at <http://www.epa.gov/ncer>. Further information, if needed, may also be obtained from Stephanie Willett; Telephone: (202) 343-9737; fax: (202) 233-0680; E-mail: willett.stephanie@epa.gov; 1025 F Street, N.W. Washington, DC 20004. E-Mail inquiries are preferred.

Web Site Address:

<http://www.epa.gov/ncer>.

RELATED PROGRAMS:

66.513, Greater Research Opportunities (GRO) Fellowships For Undergraduate/Graduate Environmental Study.

EXAMPLES OF FUNDED PROJECTS:

(1) Study how cropping patterns on local and regional scales affect insect outbreaks in agriculture. The research will combine field studies and environmental modeling to anticipate insecticide usage where cropping patterns are changing. (2) Investigate the ecological outcomes of discharging wastewater effluent into streams with varying hydrologic regimes and analyze the policy frameworks driving this current practice. (3) Develop wastewater testing and treatment methods for the detection and removal of pharmaceuticals from wastewater. (4) Examine the link between extreme temperatures and elevated ozone pollution as a cause of increased risk of death. (5) Study the impacts of different urban land use types on mercury pollution in stormwater runoff. See Web Page (<http://www.epa.gov/ncer>) for additional information on previously funded projects under the STAR Fellowship Program.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. See the Web page (<http://www.epa.gov/ncer>) for additional information on the evaluative criteria for this RFA.

66.515 GREATER RESEARCH OPPORTUNITIES (GRO) RESEARCH PROGRAM

FEDERAL AGENCY:

OFFICE OF RESEARCH AND DEVELOPMENT, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

National Environmental Policy Act of 1969, Section 102(2)(F); Clean Air Act, Section 103, 42 U.S.C. 7403; Clean Water Act, Section 104, 33 U.S.C.; Solid Waste Disposal Act, Section 8001, 42 U.S.C. 6981; Safe Drinking Water Act, Section 1442, 42 U.S.C. 300j-1; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C. 136r; Toxic Substances Control Act, Section 10, 15 U.S.C. 2609.

OBJECTIVES:

EPA's National Center for Environmental Research (NCER) is interested in stimulating and nurturing environmental research and educational opportunities at educational institutions that are not highly funded for development of environmental research capacity. Increased resources not only will enable such institutions to better serve their student populations, but also use the strategic positions in their communities to provide critical information and to develop and implement risk communication strategies. Funding Priority - Fiscal Year 2008: At this time, it is not anticipated that there will be a new funding opportunity for FY 2008.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Awards are made in response to an announced competitive request for applications (RFA). Funds awarded via grant agreements are available for allowable direct cost expenditures incident to the performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Fully accredited four year U.S. institutions of higher education are eligible to apply. These schools must be among those that are not highly funded for development of environmental research capacity. For the purposes of this CFDA, EPA considers such institutions to be those listed in the National Science Foundation's publication, "Federal Science and Engineering Support to Universities, Colleges, and Nonprofit Institutions: FY 2004," Table 11. Institutions identified as receiving \$35 million or less in annual federal research and development funding, as designated in column 2 of Table 11, are eligible. These data can be found at:

<http://www.nsf.gov/statistics/nsf07316/pdf/tab11.pdf>. Table 11 is a broad representation of institutions, and includes schools that also receive more than \$35 million annually in Federal research assistance. Letter of Institute GRO Eligibility: Applicants must submit a letter stating that their institution is GRO eligible. Data to be used in determining eligibility can be accessed at <http://www.nsf.gov/statistics/nsf07316/pdf/tab11.pdf>. Multiply the figure in the second column by 1000. Then submit a statement according to the following guidelines: "According to the National Science Foundation's report Federal Science and Engineering Support to Universities, Colleges, and Nonprofit Institutions: Fiscal Year 2004, Table 11, column two, this institution received \$xx (place amount from Table 11, column 2 here) million in Federal Research and Development expenditures in fiscal year 2004. Since this is \$35 million or less, this institution is GRO eligible." The full NSF report is available at <http://www.nsf.gov/statistics/nsf07316/pdf/nsf07316.pdf>. EPA will verify institution eligibility prior to scientific review. Proposals submitted by ineligible institutions will be rejected. Eligible institutions with substantial minority enrollment are particularly encouraged to apply. Examples of such schools are those that the Department of Education lists as "Accredited Postsecondary Minority Institutions" consistent with Executive Orders 13125 (Increasing Participation of Asian Americans and Pacific Islanders), 13230 (Educational Excellence for Hispanic Americans), 13256 (Historically Black Colleges and Universities), and 13270 (Tribal Colleges and Universities), which encourage building of the capacity of minority serving institutions to provide high quality education. Note however, that not all of these schools receive less than \$35 million annually in Federal Research and Development expenditures. See "The Extent of Federal S&E Funding to Minority-Serving Institutions", at <http://www.nsf.gov/statistics/infbrief/nsf04325/>. Eligible nonprofit organizations include research institutes, corporations or foundations that are part of a fully accredited four year U.S. institution of higher education that receive \$35 million or less in annual federal research and development funding, as designated in column 2 of Table 11 (see <http://www.nsf.gov/statistics/nsf07316/pdf/tab11.pdf>). However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that lobby are not eligible to apply. National laboratories funded by federal agencies (Federally-funded Research and Development Centers, "FFRDC's") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the principal investigator but may not direct projects on behalf of the applicant organization or principal investigator. The principal investigator's institution, organization or governance may provide funds through its grant from EPA to a FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism. Federal agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on a grant, and may not receive salaries or in other ways augment their agency's appropriations through grants made by this program. Profit-making firms are not eligible to receive assistance agreements from the EPA under this program. The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector. Examples are purchase of satellite data, census data tapes, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included. See RFA at: <http://www.epa.gov/ncer> for additional information pertaining to eligibility requirements.

Beneficiary Eligibility:

Public Nonprofit Institutions/Organizations, Private Nonprofit Institutions/Organizations, Anyone/General Public, Education Professional, Student/Trainee, Graduate Student, Scientists/Researchers.

Credentials/Documentation:

EPA may request that principal investigators document their affiliation with an eligible institution. The EPA may also request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out the research. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. EPA may ask principal investigators for information documenting past performance.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Protection Act. The standard application forms as

furnished by the EPA and required by OMB Circulars No. A-102 and No. A-110 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

For regular mail, completed paper applications must be submitted to the following address: Environmental Protection Agency, Peer Review Division (8725F), Funding Opportunity Number: (include appropriate number as shown in the solicitation), 1200 Pennsylvania Avenue, N.W., Washington, DC 20460. For express mail and couriers, completed paper applications must be submitted to the following address: Environmental Protection Agency, Peer Review Division (8725F), Funding Opportunity Number: (include appropriate number as shown in the solicitation), 1025 F Street, NW (Room 3500), Washington, DC 20004. Phone: (202) 233-0686. Specific details on the application process is described in the solicitation which is located at: <http://www.epa.gov/ncer>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified of award decisions within six months of the close of the RFA. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate proposal revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreement Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

As shown in the solicitation, which is accessible through the EPA/ORD/NCER Web site at <http://www.epa.gov/ncer>.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Grants are normally funded for a minimum of 2 years. Total approved project period may not exceed 5 years. Grants are generally fully funded or incrementally funded (on a yearly basis).

Reports:

A grant recipient must agree to provide annual progress reports, with associated summaries for posting on NCER's web site, and a final report with an executive summary for web posting. A grant recipient must agree to provide copies of any peer reviewed journal article(s) resulting from the research during the project period. The recipient also agrees to provide expenditure and invention reports as appropriate.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 are applicable. Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel

authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

FY 07 \$1,152,700; FY 08 est \$1,152,700; and FY 09 est. \$0. FY 08 funding was placed on awards made in response to FY 07 funding priorities.

Range and Average of Financial Assistance:

New awards range from \$300,000 to \$400,000 total per grant and average \$335,000.

PROGRAM ACCOMPLISHMENTS:

Applications received for the Greater Research Opportunities (GRO): Research Program- FY 07: 5; FY 08: 0. New awards for the Greater Research Opportunities (GRO): Research Program- FY 07: 3. Abstracts of current grant awards, and annual interim and final summary results of the research are available on the EPA/ORD/NCER homepage: <http://www.epa.gov/ncer>.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 30) and Research and Demonstration Grant Regulations, Environmental Protection Agency (40 CFR Part 40).

Regional or Local Office:

Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed in the solicitation. The solicitation may be found at: <http://www.epa.gov/ncer>.

Headquarters Office:

To obtain further information via the Internet for the Greater Research Opportunities (GRO) Research Program, click on "Grants & Funding" at <http://www.epa.gov/ncer>. RFAs will be posted as available. Further information, if needed, may also be obtained from Nora Savage; Telephone: (202) 343-9858; Fax: 202-233-0680; E-mail: savage.nora@epa.gov; 1025 F Street, N.W. Washington, DC 20004. E-mail inquiries are preferred.

Web Site Address:

<http://www.epa.gov/ncer>.

RELATED PROGRAMS:

66.509, Science To Achieve Results (STAR) Research Program; 66.511, Office of Research and Development Consolidated Research/Training/Fellowships.

EXAMPLES OF FUNDED PROJECTS:

1. Develop nanofiber chitosan membranes using an electro-spinning process with the capacity to treat aqueous and gaseous environments. 2. Support the development of fluorescent detectors for a common seafood poison- saxitoxin. 3. Demonstrate the feasibility of using an internally-cooled machining tool that can perform at the same level as a conventional externally-cooled machine tool and thus eliminate the use of metalworking fluids. 4. Develop the technology, commercial applications, and life cycle assessments for high-performance microcellular (nano-) composites that use sustainable biobased materials via an environmentally benign manufacturing process. 5. Develop unit operations for the leather processing industry based on the use of liquid carbon dioxide. See Web Page (<http://www.epa.gov/ncer>) for additional information on previously funded grants.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. See the Web page (<http://www.epa.gov/ncer>) for additional information on the evaluative criteria for this RFA.

66.516 P3 AWARD: NATIONAL STUDENT DESIGN COMPETITION FOR SUSTAINABILITY

FEDERAL AGENCY:

OFFICE OF RESEARCH AND DEVELOPMENT, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

National Environmental Policy Act of 1969, Section 102(2)(F); Clean Air Act, Section 103, 42 U.S.C. 7403; Clean Water Act, Section 104, 33 U.S.C.; Solid Waste Disposal Act, Section 8001, 42 U.S.C. 6981; Safe Drinking Water Act, Section 1442, 42 U.S.C. 300j-1; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C. 136r; Toxic Substances Control Act, Section 10, 15 U.S.C. 2609.

OBJECTIVES:

EPA offers this competition to respond to the technical needs of the developed and developing world in moving towards the goal of sustainability. P3 highlights people, prosperity, and the planet - the three pillars of sustainability - as the next step beyond P2 or pollution prevention. The P3 Award program is a partnership between the public and private sectors to progress toward sustainability by achieving the mutual goals of economic prosperity, protection of the natural systems of the planet, and providing a higher quality of life for its people. Funding Priority - Fiscal Year 2008: The United States Environmental Protection Agency (EPA) invites submissions to the P3 Award: A National

Student Design Competition for Sustainability. The P3 competition will provide grants to teams of college students to research, develop, and design solutions to challenges to sustainability. The Phase I competition is designed to provide flexibility for creativity, allowing interdisciplinary teams of students to: (1) define a technical challenge to sustainability; (2) discuss the relationship of the challenge to people, prosperity, and the planet; and (3) develop a design approach to address the challenge. Challenges from a wide range of categories will be considered. These include: agriculture (e.g., irrigation practices, reduction or elimination of pesticides); materials and chemicals (e.g., materials conservation; renewable, bio-based feedstocks; inherently benign materials and chemicals through green engineering and green chemistry, biotechnology; recovery and reuse of materials through product, process, or system design); energy (e.g., reduction in air emissions through innovative strategies for energy production and energy distribution; energy conservation; inherently benign energy through green chemistry, green engineering, biotechnology); information technology (e.g., delivery of and access to environmental performance, technical, educational, or public health information related to environmental decision making); water (e.g., water quality, quantity, conservation, availability, and access); built environment (e.g., environmental benefits through innovative green buildings, transportation and mobility strategies, and smart growth as it results in reduced vehicle miles traveled or reduces storm water runoff). Winners of Phase I will be eligible for Phase II awards. Phase II awards will be made for further development and demonstration of the designs created by Phase I winners. Phase II will begin in the Spring when the student teams and their faculty advisor (the Principal Investigator on the grant) will be invited to submit their completed Phase I design to compete for the P3 Award. reduction in air emissions through innovative strategies for energy production and energy distribution; energy conservation; inherently benign energy through green chemistry, green engineering, biotechnology); information technology (e.g., delivery of and access to environmental performance, technical, educational, or public health information related to environmental decision making); water (e.g., water quality, quantity, conservation, availability, and access); built environment (e.g., environmental benefits through innovative green buildings, transportation and mobility strategies, and smart growth as it results in reduced vehicle miles traveled or reduces storm water runoff). Winners of Phase I will be eligible for Phase II awards. Phase II awards will be made for further development and demonstration of the designs created by Phase I winners. Phase II will begin in the Spring when the student teams and their faculty advisor (the Principal Investigator on the grant) will be invited to submit their completed Phase I design to compete for the P3 Award.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Awards are made in response to an announced competitive request for applications (RFA). Funds awarded via grant agreements are available for allowable direct cost expenditures incident to the performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Public nonprofit institutions/organizations (limited to degree-granting public institutions of higher education) and private nonprofit institutions/organizations (limited to degree-granting private institutions of higher education) located in the U.S. are eligible to apply to be the recipient of a grant to support teams of undergraduate and/or graduate students. The students on the teams supported by the institution receiving the grant must be enrolled in the college, university, or post-secondary educational institution they will be representing at the time the proposal is submitted. Institutions are allowed to submit more than one proposal where each proposal represents a unique design concept and student team. For the purposes of grant administration, the team's faculty advisor will be designated the principal investigator throughout the P3 Award grant and competition process. In addition to the principal investigator, each team selected for award will also be asked to provide contact information for a student lead. Universities and educational institutions must be subject to OMB Circular A-21. Profit-making firms are not eligible to receive grants from the EPA under this program. Eligible nonprofit organizations include research institutes, corporations, or foundations that are part of a U.S. degree-granting institution of higher education. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that lobby are not eligible to apply. Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement, and may not receive salaries or augment their Agency's appropriations in other ways through awards made under this program. National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers) may not apply. Interdisciplinary teams, including representatives from multiple

engineering departments and/or departments of chemistry, architecture, industrial design, business, economics, policy, social science, and others, are strongly encouraged to submit an application through their institution. Collaboration with colleges and universities outside the United States is permitted, but only U.S. institutions will be eligible for awards. Up to 40% of the total grant may be sub-contracted to another educational institution (domestic or foreign) as described in instructions for submitting to this RFA. If foreign work is performed, in the process of funding the grant, EPA's Office of Research and Development is required to obtain clearance from EPA's Office of International Activities and the U.S. Department of State. See RFA at: <http://es.epa.gov/ncer/p3/> for additional information pertaining to eligibility requirements.

Beneficiary Eligibility:

Public Nonprofit Institutions/Organizations, Private Nonprofit Institutions/Organizations, Anyone/General Public, Education Professional, Student/Trainee, Graduate Student, Scientists/Researchers.

Credentials/Documentation:

EPA may request that students document their affiliation with an eligible institution. The EPA may also request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out the research. EPA may ask principal investigators for information documenting past performance.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Protection Act. The standard application forms as furnished by the EPA and required by OMB Circular No. A-110 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

For regular mail, completed paper applications must be submitted to the following address: Environmental Protection Agency, Peer Review Division (8725F), Funding Opportunity Number: (include appropriate number as shown in the solicitation), 1200 Pennsylvania Avenue, N.W., Washington, DC 20460. For express mail and couriers, completed paper applications must be submitted to the following address: Environmental Protection Agency, Peer Review Division (8725F, Funding Opportunity Number: (include appropriate number as shown in the solicitation), 1025 F Street, N.W., (Room 3500), Washington, DC 20004. Telephone: (202) 233-0686. This program is subject to the provisions of OMB Circular No. A-110. Specific details on the application process is described in the solicitation which is located at: <http://es.epa.gov/ncer/p3/>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified of award decisions within six months of the close of the RFA. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate proposal revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreement Management Division.

Deadlines:

As shown in the solicitation, which is accessible through the EPA/ORD/NCER P3 Web site at <http://es.epa.gov/ncer/p3/>.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

P3 Awards will be fully funded. Project period for Phase I will normally not exceed 1 year. Project period for Phase II will normally not exceed 2 years.

Reports:

Phase I Report, Phase II report, expenditure, equipment and invention reports as appropriate.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 are applicable. Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

FY 07 \$1,067,000; FY 08 est. \$1,077,000; FY 09 est. \$1,095,000.

Range and Average of Financial Assistance:

Phase I Awards range from \$7,000 to \$10,000. Average awards total \$9,800.

Phase II Awards range from \$35,000 to \$75,000. Average awards total \$69,000.

PROGRAM ACCOMPLISHMENTS:

Applications received for the P3 Phase I Award- FY 07: 116; FY 08: 110. New awards for the P3 Phase I Award- FY 07: 58. Applications received for the P3 Phase II Award- FY 07: 42; FY 08: 56. New awards for the P3 Phase II Award- FY 07: 6. Abstracts of grant awards, and final summary results of the research will be available on the NCEP P3 homepage: <http://es.epa.gov/ncer/p3/past/index.html>.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 30) and Research and Demonstration Grant Regulations, Environmental Protection Agency (40 CFR Part 40).

Regional or Local Office:

Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed on the solicitation. The solicitation may be found at: <http://es.epa.gov/ncer/p3>.

Headquarters Office:

To obtain further information via the Internet for the P3 Award, click on "P3" at <http://www.epa.gov/ncer>. Further information, if needed, may also be obtained from Cynthia Nolt-Helms; phone: 202-343-9693; fax: 202-233-0680; e-mail: nolt-helms.cynthia@epa.gov; 1025 F Street, N.W. Washington, DC 20004. E-mail inquiries are preferred.

Web Site Address:

<http://www.epa.gov/ncer>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Propose a unique approach to blend green and animal wastes into a product that will be a nutrient-rich soil amendment and/or fuel source. This product represents a new approach toward waste use that combines two problem wastes to form a usable product. (2) Develop a novel solid acid catalyst (NSAC) for the esterification of fatty acids to remove them from feedstocks that would be utilized in biodiesel production. The removal of these fatty acids would make the processing of waste oil feedstock less difficult, helping minimize the costliness of biofuels. (3) A novel scrubber will be designed for the selective capture of trace elements such as mercury, selenium, and arsenic from the flue gases of coal combustion. The novel sorbent material will prevent trace element contamination in flue gas desulfurization (FGD) byproducts and thereby permit safer handling and recycling of the products, making coal combustion more sustainable. (4) Use virgin plant oils, animal fats and waste cooking oil as sustainable resources that can reduce the use of petroleum derived fuels. (5) Design and demonstrate a sustainable point-of-use water treatment facility consisting of biosand filtration and UV sterilization systems. See Web Page (<http://es.epa.gov/ncer/p3/past/index.html>) for additional information on previously funded grants.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA

description will be described in the competitive announcement. See the Web page (<http://es.epa.gov/ncer/p3>) for additional information on the evaluative criteria for this RFA.

66.517 REGIONAL APPLIED RESEARCH EFFORTS (RARE)

FEDERAL AGENCY:

OFFICE OF RESEARCH AND DEVELOPMENT, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

National Environmental Policy Act of 1969, Section 102(2)(F); Clean Air Act, Sections 103 and 104, as amended, Public Law 95-95, 42 U.S.C. 7401 et seq.; Clean Water Act, Section 104, as amended, Public Law 95-217, 33 U.S.C. 1251 et seq.; Solid Waste Disposal Act, Section 8001, as amended; Resource Conservation and Recovery Act of 1976, as amended, Public Law 94-580, 42 U.S.C. 6981 et seq.; Safe Drinking Water Act, Section 1442, as amended, Public Law 93-523; Federal Insecticide, Fungicide, and Rodenticide Act, Sections 20 and 23, as amended, Public Law 92-516, 7 U.S.C. 136r and 136 u; Public Laws 94-140 and 95-396, 7 U.S.C. 136 et seq.; Toxic Substances Control Act, Section 10, as amended, Public Law 94-469, 15 U.S.C. 2601 et seq.; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311, Public Law 95-510, as amended, Public Law 99-499; Marine Protection, Research, and Sanctuaries Act, Section 203.

OBJECTIVES:

To (1) support surveys, studies and investigations and special purpose assistance to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; (2) identify, develop, and demonstrate effective pollution control techniques; and (3) fund innovative regional projects that address a stated problem or opportunity relating to sustainability and use science to inform design, planning and decision-making at the local, state and industrial levels. Funding Priority - Fiscal Year 2008: FY 2008 Priorities include conducting high priority air pollutants research, ecological risk assessment, water quality, watersheds and drinking water research, research to improve human health (including children's) risk assessment, pollution prevention and new technologies/Economic and Social Science research. Support surveys, studies and investigations and special purpose assistance actions to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; and identify, develop, and demonstrate effective pollution control techniques will all be funded under this category. Funding Priority - Fiscal Year 2009: FY 2009 Priorities include conducting high priority air pollutants research, ecological risk assessment, water quality, watersheds and drinking water research, research to improve human health (including children's) risk assessment, pollution prevention and new technologies/Economic and Social Science research. Support surveys, studies and investigations and special purpose assistance actions to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; and identify, develop, and demonstrate effective pollution control techniques will all be funded under this category.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to the performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

These programs are available to each State, territory and possession, and Tribal nation of the U.S., including the District of Columbia, for public and private State universities and colleges, hospitals, laboratories, State and local government departments, other public or private nonprofit institutions, and in some cases, individuals who have demonstrated unusually high scientific ability.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; Scientists/Researchers, Hospitals, and Individuals.

Credentials/Documentation:

None.

Preapplication Coordination:

For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When, applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Protection Act. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-102 and No. A-110 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review

of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Funds may be available to support activities including but not limited to experiments, surveys, studies, investigations, public education programs, and monitoring where authorized by specific statutes, in both science and engineering disciplines. Please check the Federal Register or the EPA/ORD website <http://www.epa.gov/ord/html/grantopportunity.htm> for an updated listing of these programs. Completed applications must be submitted to the appropriate laboratory, center or office within ORD specified in the announcement.

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Customarily, applicants are notified about award decisions within six months of the solicitation deadline. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the appropriate laboratory, center or office within ORD for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Renewals and extensions are available under the discretion of the appropriate Regional Science Liaison (RSL) to ORD.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12-month basis (annual). Total approved project period may not exceed 5 years.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

FY 07 \$823,265; FY 08 est. \$511,000; and FY 09 est. \$600,000.

Range and Average of Financial Assistance:

New grants/cooperative agreements range from \$50,000 to \$200,000 and average \$125,000.

PROGRAM ACCOMPLISHMENTS:

Eight grants/cooperative agreements were made in FY 2007. An estimated six grant/cooperative agreements will be made in FY 2008. An estimated eight grant/cooperative agreements will be made in FY 2009. Contact the Regional Science Liaison of the specific region for the results of the research.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 30 and 40 CFR Part 31). "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis and "EPA and the Academic Community, Solicitation for Grant Proposals."

Regional or Local Office:

Individuals are encouraged to communicate with the appropriate EPA Regional office listed in Appendix IV of the Catalog.

Headquarters Office:

For administrative questions on grant applications and procedures, contact Mark J. Thomas, at the following address: Environmental Protection Agency, 1200 Pennsylvania Ave. Washington, DC 20460. Telephone: (202) 564-4763, Fax: (202) 565-2903. E-mail: Thomas.Mark@epa.gov.

Web Site Address:

<http://www.epa.gov/ord/html/grantopportunity.htm>.

RELATED PROGRAMS:

66.510, Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development.

EXAMPLES OF FUNDED PROJECTS:

(1) This project will consist in evaluating commercially available, rapid, economical immunoassays for detection of PCBs in caulking and ventilation system dust. A quantitative PCB immunoassay developed by ORD's Human Exposure and Atmospheric Sciences Division will also be evaluated. Assays performing favorably would be further tested in buildings testing positive for PCBs in caulk to assess whether ventilation dust can be correlated with air sampling methodologies. (2) This project will include conducting a side-by-side comparison between an integrated network approach and the conventional horizontal Flow Gravel Pre-filtration/Slow Sand Filtration approach and examine behavior and costs associated with such water filtration technologies in natural watershed conditions. The project could contribute to finding a solution to the problem of filtration for small rural communities who receive water from surface sources in a tropical climate. The goal would be to reduce their risks for waterborne diseases and help them comply with the Surface Water Treatment Rule (SWTR). (3) This project is intended to help MS4s develop, select and implement a storm water management plan that effectively addresses TMDL requirements and achieves water quality improvement. The project relies upon existing watershed improvement initiatives and will simply augment what is already being accomplished at the project site in order to better enable EPA to demonstrate environmental results. Due to the great length of time anticipated to achieve TMDL WLAs and water quality standards, interim measures or indices may be used in order to detect improvement in the first two years of the project. This project will ultimately incorporate outreach to MS4s and States to educate them about methods and techniques that can be employed to reach TMDL goals and water quality standards. (4) The use of infrared technology for the discovery of hydrocarbon leaks along pipeline routes has been used for many years. EPA has partnered with LDEQ to test this proven technology to discern if it can determine the amount of leaking organic vapors from the barges and railcars traversing along the Mississippi River industrial corridor. With the use of the HAWK surveying instrumentation from a helicopter flying low over the pipelines, barges and railcars, and tying that information into LDEQ's existing GIS databases, we hope to be able to immediately identify the leakers and have them repaired. This information will also be invaluable in determining if the existing regulations are sufficient to protect the environment and the public from unauthorized releases of hazardous chemicals. (5) This project will focus on (a) reviewing available databases and literature to compare species assemblages and invasion histories across Pacific estuaries relative to shipping patterns; (b) determining patterns of genetic diversity across invasive populations of Smooth Cordgrass and European Green Crab sampled from California, Oregon, and/or Washington to determine whether populations originated from San Francisco Bay; and (c) developing molecular genetic screening tools to determine whether European Green Crabs and Chinese mitten crabs are being carried in ballast originating from San Francisco Bay.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

66.518 STATE SENIOR ENVIRONMENTAL EMPLOYMENT PROGRAM

(SSEE)

FEDERAL AGENCY:

OFFICE OF ADMINISTRATION AND RESOURCES MANAGEMENT,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Environmental Programs Assistance Act of 1984, Public Law 98-313, 42 U.S.C. 4368a.

OBJECTIVES:

To use the talents of Americans 55 years of age or older to provide technical assistance to State environmental agencies for projects of pollution prevention, abatement, and control to achieve the Agency's goals of Clean Air; Clean and

Safe Water; Land Preservation and Restoration; Healthy Communities and Ecosystems; and Compliance and Environmental Stewardship. Funding Priority - Fiscal Year 2008: The Environmental Programs Assistance Act of 1984 (Public Law 98-131) authorized the Administrator of the EPA "to make grants to, or enter into cooperative agreements with private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965." These cooperative agreements are to utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control." "Technical assistance" may include any activity performed for the State in support of its projects ranging from inspections of large capacity cesspools, to support for a State Environmental staff office. This allows for coverage of all types of SEE program positions from professional to clerical. Annual funding priorities are established by EPA program officials and the participating state program office requiring SEE Program support.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The SEE Act states that only nonprofit organizations which are designated by the Secretary of Labor under Title V of the Older Americans Act can participate. These cooperative agreements are to utilize the talents of older Americans in temporary full time or part time positions "providing technical assistance to Federal, State and local environmental agencies for projects of pollution prevention, abatement, and control."

Applicant Eligibility:

Private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965.

Beneficiary Eligibility:

State environmental agencies and individuals 55 years old or older.

Credentials/Documentation:

The Environmental Programs Assistance Act of 1984 requires a certification by the Federal, State, or local agency that is to receive the SEE workers that the grant/cooperative agreement will not displace any Federal or State workers, prevent the rehiring of any laid-off Federal/State workers, or affect existing service contracts. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Requests for application forms must be submitted to: Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460. Completed applications must be sent to the same address, and a copy of the completed application should also be sent to: Susan Street, Director SEE Program, Office of Human Resources, Customer Services Support Center (3605A), Washington, DC 20460. This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102. Basic steps of the application process are outlined in the application package.

Award Procedure:

Each application shall be subjected to administrative evaluation to determine adequacy of application in relation to assistance regulations and to program objectives.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Assistance covers a period of one to three years and is awarded in increments during that period.

Reports:

Recipients must furnish quarterly reports on expenditures by cooperative agreement.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted

in OMB Circular No. A-133.

Records:

Financial records, including all documents which support entries on accounting records and which substantiate changes to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until expiration of three years from the date of submission of the final financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Grants) FY 07 \$270,000; FY 08 \$350,000; and FY 09 est. \$58,000.

Range and Average of Financial Assistance:

New awards and amendments: FY 2008 Range \$35,000 - \$672,000; Average \$260,000.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, two cooperative agreements were continued. In Fiscal Year 2008, two cooperative agreements will continue to be administered.

REGULATIONS, GUIDELINES, AND LITERATURE:

Assistance Administration Manual, available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis; 40 CFR Part 30, General Regulation for Assistance Programs; Office of Administration and Resources Management for the Management of the Senior Environmental Employment Program.

Regional or Local Office:

Susan Street, Director SEE Program (3661A), Environmental Protection Agency, Office of Administration and Resources Management, Office of Human Resources, 1200 Pennsylvania Ave., N.W., Washington DC 20460. Telephone: (202) 564-0410 Fax: (202) 564-0735; E-mail: street.susan@epa.gov.

Headquarters Office:

Susan Street, Director SEE Program (3661A), Environmental Protection Agency, Office of Administration and Resources Management, Office of Human Resources, 1200 Pennsylvania Ave., N.W., Washington DC 20460. Telephone: (202) 564-0410 Fax: (202) 564-0735; E-mail: street.susan@epa.gov.

Web Site Address:

<http://epa.gov/epahrist/see/brochure>.

RELATED PROGRAMS:

66.508, Senior Environmental Employment Program.

EXAMPLES OF FUNDED PROJECTS:

Focus is on State level programs. Similar programs conducted at the Federal level provided to senior citizens to do national non-agricultural pesticide surveys, monitor for asbestos compliance in schools, provide review and monitoring support for the Import Car Program, monitor the anti-fuel switching program, conduct research, and general administrative and clerical tasks.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must demonstrate that the Organizations have the capability of operating nationwide; can manage the program within the prescribed administrative funding limits; and can provide a quality fringe benefit package to enrollees under the proposal (including health insurance).

66.600 ENVIRONMENTAL PROTECTION CONSOLIDATED GRANTS FOR THE INSULAR AREAS - PROGRAM SUPPORT

(Consolidated Program Support Grants)

FEDERAL AGENCY:

REGION 9, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

EPA grant programs eligible for consolidation include but are not limited to programs authorized under: Clean Water Act, Sections 104(b)(3), 106, 205(g), 205(j)(2), and 319(h); Safe Drinking Water Act, Sections 1443(a) and 1443(b); Solid Waste Disposal Act, 42 U.S.C. 6908a; Federal Insecticide, Fungicide, and Rodenticide Act, Sections 23(a)(1) and 23(a)(2); Toxic Substances Control Act, Sections 28(a), 404(g), and 306; Pollution Prevention Act, Section 6605; NEPA 102(2)(f). Consolidated grants to Insular areas under these programs are authorized by the Omnibus Territories Act are applicable: 48 U.S.C 1469a et seq.

OBJECTIVES:

The consolidated program support grant is an alternative assistance delivery mechanism which allows an Insular Territory responsible for continuing pollution control programs to develop an integrated approach to pollution control. An agency eligible for assistance for two or more pollution control programs may consolidate its assistance requests into a single application and receive a single consolidated award in an effort to reduce administrative and application costs. The consolidated grants program does not represent funding in addition to grants provided under individual authorities, but merely represents the amount of consolidation taking place within the Agency's total grant appropriations. Funding Priority - Fiscal Year 2008: To provide technical and

managerial support in relation to our consolidated environmental program grants for the protection of public health and welfare in the Territories of Guam, American Samoa, and the Virgin Islands, and the Commonwealth of the Northern Mariana Islands (hereinafter referred to as "Insular Areas.") Funding priorities for the fiscal year include: (1) Clean and Safe Water: (a) Safe Drinking Water - To provide on-site technical assistance, training, funding towards building capacity at water utilities and environmental protection agencies, and addressing funding shortages for drinking water infrastructure improvements. We will work with DOI's Office of Insular Affairs and other federal agencies to explore ways of addressing the growing gap between available federal funding and the capital needs for sound infrastructure (b) Clean Water - To provide on-site technical assistance, training, funding, capacity building to utilities and environmental agencies for construction of wastewater treatment facilities; to assist government agencies in the development of surveillance, monitoring, and enforcement programs for streams, lakes, marine water, marine sediment, and coral reef protection through our comprehensive Watershed Management program that protects human health and the environment. (2) Preserve Land: To provide on-site technical assistance, training, and information exchange with other governments and international agencies to establish a regional recycling program that would reduce waste and the need for landfill area and eliminate possible incineration; to continue to inspections and establish training to prevent petroleum releases by working with environmental agencies, utilities, and Department of Defense by instituting comprehensive training, inspection, and on scene coordination concerning emergency responses. (3) Health Communities and Ecosystems: Reduce chemical and biological risks - To provide on-site technical assistance, training, capacity building, funding to eliminate the exposure to hazardous and nonhazardous chemicals, toxic waste, and pesticides for the protection of public health and the environment. Assist government with the removal and remediation of toxic and pesticide wastes; eliminate the illegal importation and improper use of pesticides; promote sustainable development; provide an information exchange and partnership for the utilization of international treaties regarding the transport and disposal of hazardous materials and waste. (4) Compliance and Environmental Stewardship: To provide on-site technical assistance, training, capacity building, and funding to continue to establish and develop key partnerships with territorial government agencies and federal agencies for enforcement issues concerning land based pollution, illegal toxic releases, and protection of the marine environment. As a result of EPA's continuing leadership and on-site assistance, the territorial governments look to EPA as a leader for all issues concerning environmental management and protection.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are awarded to Insular Area agencies under the above referenced authorities to provide technical and managerial support in relation to continuing environmental program grants that serve to protect the public health and welfare of the Insular Areas.

Applicant Eligibility:

The Territories of Guam, American Samoa, and the Virgin Islands, and the Commonwealth of the Northern Mariana Islands are eligible to receive and administer funds for more than one environmental program. For certain competitive funding opportunities under this description, the Agency may limit eligibility to a particular subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

The Territories of Guam, American Samoa, the Virgin Islands, and the Commonwealth of the Northern Mariana Islands.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Preapplication communications should be directed to the appropriate EPA Regional office. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and 40 CFR 31.10 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the Regional office or official designated as the single point of contact for their Insular Area for more information on the process the Insular Area needs to follow when applying for assistance.

Application Procedure:

U.S. Insular area agencies will be notified to submit grant application packages identifying required forms including a work plan. The application package should be submitted to the appropriate EPA Regional Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

U.S. Insular area agencies will be notified of Federal assistance awards through the appropriate EPA Regional Office. For competitive awards, EPA will review and evaluate applications, proposals and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement.

Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the appropriate EPA Regional Office for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts". Disputes relating to matters other than competitive selection of recipients will be resolved under 40 CFR 30.63 and 40 CFR 31.70.

Renewals:

None.

Formula and Matching Requirements:

Matching requirements may be waived by the Agency pursuant to the Omnibus Territories Act.

Length and Time Phasing of Assistance:

Assistance is usually awarded for a 1-year or longer period. The Automated Standard Application for Payments (ASAP) is the preferred payment method.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly and final performance reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments - 40 CFR Part 31. Additionally, progress reporting by the Insular Area agency and performance monitoring by EPA will be the minimum necessary for sound program management. Reporting requirements may vary with each Insular Area agency depending upon the specific agency's demonstrated management abilities. A financial status report is required within 90 days of the end of the budget period.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

All records including documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If any litigation, claim or audit is started before the end of the 3-year period, the records must be retained until the litigations, claims or audit findings have been resolved.

Account Identification:

68-0108-0-1-304; 68-0103-0-1-304; 20-8145-0-7-304; 20-8153-0-7-304.

Obligations:

Grants obligated for American Samoa, Commonwealth of the Northern Mariana Islands, and Guam were the following: FY 07 \$8,459,838; and FY 08 \$6,603,700; FY 09 est. \$8,500,000.

Range and Average of Financial Assistance:

In general, financial assistance has increased annually depending on program funding that is consolidated into the grant. Financial assistance has generally ranged from \$2,000,000 to \$4,000,000/territory/fiscal year. The total estimated average is \$8,500,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Island environmental agencies have significantly bolstered water, air, RCRA, superfund, and pesticides programs through the consolidated grants. The grants have allowed island governments to focus on environmental results without being overly burdened by administering multiple smaller grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 31, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; 40 CFR Part 35, Subpart A, Environmental Program Grants; EPA annual Program Guidance.

Regional or Local Office:

EPA Region 2 Contact: Victor M. Trinidad, Chief, Environmental Management Branch, EPA Region 2, Caribbean Environmental Protection Division, Centro Europa Building, Suite 417, 1492 Ponce de Leon Avenue, San Juan, Puerto Rico 00907-4127; Telephone: (787) 977-5870; Fax: (787) 729-7747; EPA Region 9 Contacts: Guam: Alba Espitia, Grants Management Specialist, Grants Management Office (PMD-7), U.S. EPA Region 9, 75 Hawthorne St., San

Francisco, CA 94105; Telephone: (415) 972-3667; Fax: (415) 947-3556; E-mail: espitia.alba@epa.gov; CNMI and American Samoa: Renee Chan, Grants Management Specialist, Grants Management Office (PMD-7), U.S. EPA Region 9, 75 Hawthorne St., San Francisco, CA 94105; Telephone: (415) 972-3675; Fax: 415-947-3556; E-mail: chan.renee@epa.gov.

Headquarters Office:

See the "Information Contacts" listed for the specific programs referred to in the "Uses and Use Restrictions" section.

Web Site Address:

<http://www.epa.gov/region9/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects have been funded which combined as few as two to several eligible programs. Environmental program grants are awarded annually to Insular Area agencies which consolidate a number of different program funds. In FY04 a grant was awarded to a territorial utility agency which consolidated grant funds to support a water resources master plan project.

CRITERIA FOR SELECTING PROPOSALS:

Applications may be approved if: (1) funds are available; (2) if the application satisfies the requirements of 40 CFR Parts 31 and 35 and applicable statutes; and (3) if the proposed work program is feasible and compatible with the program priorities in EPA annual guidance. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.604 ENVIRONMENTAL JUSTICE SMALL GRANT PROGRAM

(EJSG)

FEDERAL AGENCY:

OFFICE OF ENVIRONMENTAL JUSTICE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104(b)(3); Safe Drinking Water Act, Section 1442(b)(3); Solid Waste Disposal Act, Section 8001(a); Clean Air Act, Section 103(b)(3); Toxic Substances Control Act, Section 10(a); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a); Marine Protection, Research, and Sanctuaries Act, Section 203.

OBJECTIVES:

The primary purposes of proposed projects should be to develop a comprehensive understanding of environmental and public health issues, identify ways to address these issues at the local level, and educate and empower the community. The long-term goals of the program are to help build the capacity of the affected community and create self-sustaining, community-based partnerships that will continue to improve local environments in the future. Funding Priority - Fiscal Year 2008: To provide financial assistance to eligible non-profit organizations. The purposes of proposed projects should be to educate the community, develop a comprehensive understanding of environmental and/or public health issues, and identify ways to address these issues at the local level and create and develop collaborative partnerships. The long-term goals of the EJSG Program are to help build the capacity of the affected community and create self-sustaining, community-based partnerships that will continue to improve local environments in the future.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, and investigations plus allowable direct costs in accordance with established EPA policies and procedures. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. The recipient cannot use these funds for the following activities under this program: litigation against the Federal government or any other government entity; construction; lobbying; matching funds for other Federal grants; travel for Federal employees; and human health studies (taking blood or other information from humans) for use in lobbying or underwriting legal actions, including the preparation of court testimony and hiring of expert witnesses. Assistance agreement awards under this program may involve or relate to geospatial information.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. An eligible applicant MUST BE: (1) a 501(c)(3) nonprofit organization as designated by the Internal Revenue Service; (2) a nonprofit organization, recognized by the state, territory, commonwealth, or tribe in which it is located; (3) a city, township, county government; OR (4) a Native American tribal

government (Federally recognized)(AND)(5) located within the same state, territory, commonwealth, or tribe that the proposed project will be located. In addition, an eligible applicant must be able to demonstrate that it has worked directly with, or provided services to, the affected community. An "affected community" for the purposes of this assistance agreement program, is a community that is disproportionately impacted by environmental harms and risks and has a local environmental and public health issue that is identified in the proposal. The following entities are INELIGIBLE: colleges and universities; hospitals; state governments; quasi-governmental entities (e.g., water districts, utilities)*; national, multi-state, or state-wide organizations with chapters; and nonprofit organizations that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995. Generally, a quasi-governmental entity is one that: (1) has a close association with the government agency, but is not considered a part of the government agency; (2) was created by the government agency, but is exempt from certain legal and administrative requirements imposed on government agencies; or (3) was not created by the government agency but performs a public purpose and is significantly supported financially by the government agency.

Beneficiary Eligibility:

Nonprofit organizations and local government organizations as described in "Applicant Eligibility," above.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-122 for nonprofit organizations and OMB Circular A-102 for local governments. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Application kits should be requested from and submitted to the Environmental Protection Agency, Office of Environmental Justice, Mail Code 2201A, 1200 Pennsylvania Avenue, N.W., Washington, DC 20460 or the appropriate EPA Regional Office identified under Information Contacts. Detailed information and assistance including an application may also be found at <http://www.epa.gov/compliance/environmentaljustice/grants/index.html>. For grant awards, Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in the Request for Application.

Range of Approval/Disapproval Time:

About 150 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements for training, research, surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds. bility of funds.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

The project grant will be awarded for a 1 year project period. Activities must be completed within the timeframe of the project's budget period and all grants will be fully funded at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports and financial reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until the expiration of three years from the date of submission of the Financial Status Report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$1,000,000; FY 08 \$800,000; and FY 09 \$0.

Range and Average of Financial Assistance:

\$20,000 to \$50,000/fiscal year; FY 08 average \$20,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 74 applications were received and 20 grants were awarded. At the end of these projects, OEJ anticipates the following accomplishments, outputs, results achieved and services rendered from the diverse group of projects: in-home trainings on Integrated Pest Management, which focus on using safer pest elimination methods and reducing the number of pesticides used in the homes; indoor air quality management programs to improve the indoor air quality of the schools in Chicago, IL; and an analysis of the numerous environmental and public health issues that confront the Hyde Park community in Richmond, GA and potential remedies to resolve these issues. It is anticipated that approximately 150 applications will be received in fiscal year 2008 and 40 awards will be granted.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 30.

Regional or Local Office:

Region 1 Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont: Primary Contact: Kwabena Kyei-Aboagye (617) 918-1609, Kyei-Aboagye.Kwabena@epa.gov, USEPA Region 1 (RAA), One Congress Street - Suite 1100, Boston, MA 02203-0001; Secondary Contact: Sires Rivers (617) 918-1343, rivers.sires@epa.gov. Region 2 New Jersey, New York, Puerto Rico, U.S. Virgin Islands: Primary Contact: Terry Wesley (212) 637-5027, wesley.terry@epa.gov, USEPA Region 2, 290 Broadway, 26th Floor, New York, NY 10007; Secondary: Tasha Frazier (212) 637-3861, frazier.tasha@epa.gov. Region 3 Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia: Primary Contact: Reginald Harris (215) 814-2988, harris.reggie@epa.gov, USEPA Region 3 (3DA00), 1650 Arch Street, Philadelphia, PA 19103-2029. Region 4 Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee: Primary Contact: Elvie Barlow (404) 562-9650, barlow.elvie@epa.gov, USEPA Region 4 Main Office (404) 562-8407, 61 Forsyth Street, Atlanta, GA 30303-8960; Secondary: Ella McLendon (404) 562- 8316, mclendon.ella@epa.gov. Region 5 Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin: Primary Contact: Margaret Millard (312) 353-1440, millard.margaret@epa.gov, USEPA Region 5 (DM7J), 77 West Jackson Boulevard, Chicago, IL 60604-3507. Region 6 Arkansas, Louisiana, New Mexico, Oklahoma, Texas: Primary Contact: Shirley Quinones (214) 665-2713, quinones.shirley@epa.gov, USEPA Region 6, Fountain Place, 13th Floor, 1445 Ross Avenue (RA-D), Dallas, Texas 75202-2733; Secondary Contact: Nelda Perez (214) 665-2209, perez.nelda@epa.gov. Region 7 Iowa, Kansas, Missouri, Nebraska: Primary Contact: Althea Moses (913) 551-7649, mooses.althea@epa.gov, USEPA Region 7, 901 North 5th Street (RGAD/ECO), Kansas City, KS 66101. Region 8 Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming: Primary Contact: Nancy Reish (303) 312-6040, reish.nancy@epa.gov, USEPA Region 8 (8ENF-EJ), 999 18th Street, Suite 300, Denver, CO 80202-2466; Secondary: Jean Belille (303) 312-6556 belille.jean@epa.gov. Region 9 Arizona, California, Hawaii, Nevada, American Samoa, Guam: Primary Contact: Karen Henry (415) 972-3844,

henry.karen@epa.gov, USEPA Region 9 CMD-1, 75 Hawthorne Street, San Francisco, CA 94105. Region 10 Alaska, Idaho, Oregon, Washington: Primary Contact: Running Grass (206) 553-2899, grass.running@epa.gov, USEPA Region 10 (OMP-143), 1200 Sixth Avenue, Seattle, WA 98101.

Headquarters Office:

Environmental Protection Agency, Office of Environmental Justice, 1200 Pennsylvania Avenue, N.W., Mail Code 2201A Washington, DC 20460. For program information contact: Sheila Lewis, Office of Environmental Justice, (2201A), Environmental Protection Agency, 1200 Pennsylvania Ave., N.W., Washington, DC 20460. Telephone: (202) 564-0152. Fax: (202) 501-1107. E-mail: lewis.sheila@epa.gov. Or call toll free (1-800) 962-6215).

Web Site Address:

<http://www.epa.gov/compliance/environmentaljustice/grants/>.

RELATED PROGRAMS:

66.306, Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program; 66.309, Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice.

EXAMPLES OF FUNDED PROJECTS:

(1) Training of two environmental youth organizations to identify and categorize contamination levels at key sites along the creek using available site histories and local knowledge. Based on the type and level of contamination, the groups will research and identify best practices for remediation of those sites as well as determine possible liability issues. Students will research policy barriers preventing the transfer of control over the identified sites to partners wishing to create public open space on the site. (2) Development of a health communication model which will provide information about ways to prevent exposure and reduce risk from the harm associated with the organic, chemical and heavy metal compounds/ substances found in fish and seafood from an Estuary System. The goals of the research will be to gather the necessary cultural data to: (1) increase awareness of fish contamination and prevention measures; (2) isolate data gaps in the information about the complex socio-cultural environment of fish consumption among the resident population; (3) develop a collaborative relationship between public health researchers, community advocates, and health care professionals serving the affected communities, and (4) acquire the information needed to foster behavior changes among the vulnerable populations at risk from potential exposure(s); (5) Creation of a one-hour documentary and two 20-minute documentaries on the environmental concerns associated with three copper mines including the history of the mines and the combined work of citizens and the EPA to clean up the mines; (6) Construction of a safe, affordable off-ground garden structure and share its designs and curriculum with local educators and community members wanting safe alternatives to deal with environmental and public health issues at the site.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.605 PERFORMANCE PARTNERSHIP GRANTS

(PPGs)

FEDERAL AGENCY:

OFFICE OF CONGRESSIONAL AND INTERGOVERNMENTAL RELATIONS, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Omnibus Consolidated Rescissions and Appropriations Act of 1996, Public Law 104-134; Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies Appropriations Act of 1998, Public Law 105-65.

OBJECTIVES:

Improving EPA's partnership with the states and tribes is critical to accelerating environmental outcomes. Performance Partnership Grants (PPGs) are the cornerstone of the National Environmental Performance Partnership System (NEPPS)- EPA's strategy to strengthen partnerships and build a results-based management system. PPGs are innovative grant delivery tools that allow states and tribes to combine up to 20 eligible program grants into a single grant with a single budget. PPGs can reduce administrative transaction costs, provide the flexibility to direct resources toward the highest priority environmental problems, and support cross-media approaches and initiatives. EPA's overarching goal is to optimize the leveraging power of PPGs to strategically focus on the joint priorities of EPA, states and Tribes. PPGs do not include any funding in addition to the state and tribal assistance grants provided under other statutory authorities. Funding Priority - Fiscal Year 2008: The Agency's ongoing goals for the PPG program are to: (1) strengthen partnerships between EPA, the state, tribes, and interstate agencies through joint planning and priority setting to more effectively deploy resources; (2) provide states, tribes, and interstate agencies with the flexibility to direct resources where they are needed most to address environmental and public health priorities; (3) link program activities more effectively with environmental and public health goals and environmental outcomes; (4) foster implementation of innovative approaches such as pollution prevention, ecosystem management, and community-based environmental protection strategies; and (5) provide savings by streamlining

administrative requirements. To help advance these goals, the Agency has initiated a Maximizing PPGs initiative for FY 2008. EPA and state leaders will identify specific issues the initiative might address, the benefits the PPGs already offer, and possible new incentives that would make PPGs more attractive to states. We hope states representing a range of current PPG usage will participate and explore ways they can get more value from their PPGs, and that states not now combining their grants in PPGs will consider doing so as part of this effort. The Maximizing PPGs initiative puts an unprecedented spotlight on PPGs and presents a unique opportunity for states interested in trying new approaches. EPA's top leadership has made a firm commitment to ensuring the success of this effort. Through this initiative, EPA will work with volunteer states to: Address real or perceived barriers to PPGs that are within EPA's purview; Promptly elevate and carefully consider policy issues and, wherever possible, resolve them in favor of providing flexibility; Test ways to accommodate multi-media projects and alternative priorities and approaches in accountability and management systems; Create "safe havens" if needed to test promising new approaches; Develop other incentives to make PPGs more valuable to states; and use the lessons learned to inform EPA's policy decisions, make adjustments as needed to management and accountability systems, and develop practical "how-to" information that will help other states in the future.

Funding Priority - Fiscal Year 2009: The Agency's ongoing goals for the PPG program are to: (1) strengthen partnerships between EPA, the state, tribes, and interstate agencies through joint planning and priority setting to more effectively deploy resources; (2) provide states, tribes, and interstate agencies with the flexibility to direct resources where they are needed most to address environmental and public health priorities; (3) link program activities more effectively with environmental and public health goals and environmental outcomes; (4) foster implementation of innovative approaches such as pollution prevention, ecosystem management, and community-based environmental protection strategies; and (5) provide savings by streamlining administrative requirements. To help advance these goals, the Agency will continue its Maximizing PPGs initiative for FY 2009. EPA and state leaders will identify specific issues the initiative might address, the benefits the PPGs already offer, and possible new incentives that would make PPGs more attractive to states. We hope states representing a range of current PPG usage will participate and explore ways they can get more value from their PPGs, and that states not now combining their grants in PPGs will consider doing so as part of this effort. The Maximizing PPGs initiative puts an unprecedented spotlight on PPGs and presents a unique opportunity for states interested in trying new approaches. EPA's top leadership has made a firm commitment to ensuring the success of this effort. Through this initiative, EPA will work with volunteer states to: Address real or perceived barriers to PPGs that are within EPA's purview; Promptly elevate and carefully consider policy issues and, wherever possible, resolve them in favor of providing flexibility; Test ways to accommodate multi-media projects and alternative priorities and approaches in accountability and management systems; Create "safe havens" if needed to test promising new approaches; Develop other incentives to make PPGs more valuable to states; and use the lessons learned to inform EPA's policy decisions, make adjustments as needed to management and accountability systems, and develop practical "how-to" information that will help other states in the future.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

PPGs are a type of modified block grant, where recipients may combine funds from categorical grants to accomplish their joint and several purposes, so long as recipients meet program requirements for each categorical grant combined into the PPG. The 20 categorical grants eligible for PPGs are a mixture of continuing program grants and competitive project grants. Eligible recipients can combine two or more of the following 20 categorical grants programs identified in EPA's FY 2007 state and Tribal Assistance Grants (STAG) appropriation into a PPG: (1) Air Pollution Control (Clean Air Act (CAA) Section 105); (2) Water Pollution Control (Clean Water Act (CWA) Section 106); (3) Nonpoint Source Management (CWA Section 319); (4) Water Quality Cooperative Agreements (CWA Section 104(b)(3)); (5) Wetlands Program Development (CWA Section 104(b)(3)); (6) Public Water System Supervision (Safe Drinking Water Act (SDWA) Sections 1443(a) and 1451(a)(3)); (7) Underground Water Source Protection (SDWA Section 1443(b)); (8) Hazardous Waste Management (Solid Waste Disposal Act, Section 3011(a)); (9) Underground Storage Tank (Solid Waste Disposal Act, Section 2007(f)(2)); (10) Radon Assessment and Mitigation (Toxic Substances Control Act (TSCA) Section 306); (11) Lead-based Paint Activities (TSCA Section 404(g)); (12) Toxic Substances compliance monitoring (TSCA Section 28); (13) Pollution Prevention Incentives for states (Pollution Prevention Act (PPA) Section 6605); (14) Pesticide Cooperative Enforcement (Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) Section 23(a)(1)); (15) Pesticides and program implementation (FIFRA Section 23(a)(1)); (16) Pesticide Applicator Certification and Training (FIFRA Section 23(a)(2)); (17) Environmental Information Network Exchange Grants (Consolidated Appropriations Resolution for the Departments of Veterans

Affairs, Housing and Urban Development, and Independent Agencies of 2003, Public Law 108-7; Consolidated Appropriations Act of 2004, Public Law 108-199); (18) Brownfields state and Tribal Response Program Grants (Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), Section 128(a), as amended; Public Law 107-118; Small Business Liability Relief and Brownfields Revitalization Act); (19) Capacity Building Grants and Cooperative Agreements for states and Tribes (CWA, Section 104; FIFRA, Section 20; CAA, Section 103; Solid Waste Disposal Act, Section 8001; SDWA, Section 1442; TSCA, Section 10; Marine Protection, Research and Sanctuaries Act, Section 203; CERCLA, Section 311; National Environmental Policy Act (NEPA), Section 102(2)(F) (for international awards); and the Indian Environmental General Assistance Program Act); and (20) General Assistance Grants to Indian Tribes (Indian Environmental General Assistance Program Act of 1992) - only eligible tribes can propose to include these funds in a PPG application. Recipients may use PPGs to fund activities that are within the cumulative eligibilities of the 20 categorical grants programs listed above for which they are eligible. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

All states, interstate agencies, U.S. territories, and federally recognized Indian tribes eligible to receive more than one of the 20 categorical grants program referred to in "Uses and Use Restrictions" above are eligible to apply for PPGs. Any duly authorized state or tribal entity that currently receives or is eligible to receive EPA categorical program grants may request a PPG for the funds it administers. This may include agencies other than environmental agencies (for example, agricultural and health agencies), where authorized by state or tribal law. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, U.S. territories, federally recognized Indian tribal governments, and interstate agencies.

Credentials/Documentation:

All recipients are agencies of State, local, tribal, or territorial governments. All documentation requirements for recipients are presented at 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and 40 CFR Part 35, "State and Local Assistance."

Preapplication Coordination:

Applicants interested in pursuing PPGs should coordinate with their appropriate EPA Regional Office to develop a PPG through joint negotiations. (See Appendix IV of the Catalog.) Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Requests for applications should be made to the appropriate EPA Regional Grants Management Office. Applicants will negotiate the content of their PPG with their EPA Regional Office and submit an application for the PPG based on these negotiations. Applicants for PPGs must submit an "Application for Federal Assistance: State and Local Non-Construction Programs," (Standard Form 424), including the required supporting documents. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Grant applications are reviewed by the appropriate EPA Regional Office, and if approved, awarded by the Regional Administrator. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. After the applicant is chosen for the competitive award, the applicant will work with the EPA regional office to add those competitive grant funds to the PPG. The workplan and supporting documentation that were the basis of the competitive award also will be added to the PPG.

Deadlines:

Applications are due 60 days prior to the beginning of the budget period.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

Major portions of the PPGs are by statutory formula. The allocation for a PPG consists of the cumulative allocations for the categorical grants included in the PPG. Recipients should calculate a single, composite minimum cost share (based on the match or maintenance of effort requirements of the categorical grants included in the PPG) for each of their PPGs. To calculate the minimum cost share for a PPG, start with the amount of Federal dollars from each program (source of funds) going into the PPG. The minimum required cost share for each portion is determined by identifying the cost share requirements of the relevant categorical grant program (based on the source of funds). The minimum recipient cost share for the PPG is the sum of the minimum cost shares of the contributed categorical grants. Tribal governments and intertribal consortia may be eligible for match requirement waivers based on demonstrated hardship. The determination of eligibility will be completed by the Regional Administrator and will be based on socio-economic criteria.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined during pre-application negotiations and at the time of the award. Applicants may choose to submit annual or multi-year work plans and project periods. Budget periods will be for 12 months with the applicant having the flexibility to select, in consultation with the Regional Administrator, the specific start and end date for the budget period. Grant awards are made after review and approval of the application. Grantees are reimbursed for actual expenses based on submissions in financial status reports.

Reports:

Applicants must provide reports and conduct joint evaluations in accordance with 40 CFR Part 31 and 40 CFR Part 35, Subparts A and B.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

FY 07 \$372,688,500; FY 08 est. \$392,000,000; and FY 09 est \$411,000,000.

Range and Average of Financial Assistance:

There is no low-end limit for PPG awards to tribes. EPA may make awards as small as two programs and thousands of dollars to tribes. EPA may award PPGs that combine up to 19 categorical grants to states. PPGs for larger states exceed \$10 million. In FY 2007, state PPGs generally contained six or seven categorical grants, with award amounts averaging around \$5 million.

PROGRAM ACCOMPLISHMENTS:

PPGs have allowed states to take advantage of administrative and program efficiencies and innovations to achieve greater environmental and program results. States and tribes have used PPGs to address critical emergencies and unplanned events (for example, responses to hurricanes Rita and Katrina). They have used PPG flexibility to overcome periodic budget needs or high program demands in specific programs. States and tribes have used PPGs to implement multi-program initiatives and innovations that impact many environmental programs. They also have taken advantage of streamlined administrative procedures to make grant processes more efficient. PPGs have allowed tribes to develop and implement environmental programs in situations where it would have been more difficult using categorical grants. Agencies may request to receive their categorical grant funds in a PPG. Nearly all PPG applicants receive a grant, since they generally fund ongoing environmental programs. The total number of PPGs in effect FY 2007 was 172, including 43 to state environmental agencies; 24 to state agricultural agencies; and 105 to tribal agencies.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 35 Subparts A and B; 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Regional or Local Office:

See Appendix IV of the Catalog for EPA Regional Office contacts.

Headquarters Office:

States - Michael Osinski, Program Manager, National Environmental Performance Partnership System, (202) 564-3792; Fax: (202) 501-1545; E-mail: osinski.michael@epa.gov. Tribes - Office of Water, American Indian Environmental Office; Carol Jorgenson, Director; (202) 564-0303; Fax: (202) 564-0298; E-mail: jorgenson.carol@epa.gov. States - Office of the Administrator, Office of Congressional and Intergovernmental Relations, Mail Code: 1301A, 1200 Pennsylvania Avenue, N.W., Washington, DC 20460. Tribes - Office of Water, Mail Code: 4104M, 1200 Pennsylvania Avenue, N.W., Washington, DC 20460.

Web Site Address:

<http://www.epa.gov/ocir>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Performance Partnership Grant combines funds from ten categorical grant programs to implement the Commonwealth's major operating environmental programs for clean air, clean water, drinking water, hazardous waste, and many others; (2) Grant taps funding from media programs combined in the PPG to fund pollution prevention activities; (3) Performance Partnership Grant combines funds from three pesticides programs to improve state implementation; (4) Grant combines four grants to develop and implement a variety of environmental programs; and (5) Grant negotiates with the regional office to use unexpended prior year funds for special projects to meet state priorities.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must meet selection criteria for the categorical grants to be combined in the PPG. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.608 ENVIRONMENTAL INFORMATION EXCHANGE NETWORK GRANT PROGRAM AND RELATED ASSISTANCE

FEDERAL AGENCY:

OFFICE OF ENVIRONMENTAL INFORMATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Authorization for program implementation cooperative agreements with states and tribes, under the Exchange Network Grant Program, is provided in the State and Tribal Assistance Grant (STAG) appropriation, contained in EPA's annual appropriation Acts. Authorization for multi-media assistance agreements for training, studies, research, demonstrations and similar activities that facilitate state and tribal participation in the Exchange Network and are funded by EPA's Environmental Programs and Management (EPM) account (not the STAG account) is provided by the following statutes: Clean Water Act, Section 104(b)(3); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Air Act, Section 103; Solid Waste Disposal Act, Section 8001; Comprehensive Environmental Response, Compensation, and Liability Act, Section 104, as amended; Safe Drinking Water Act, Section 1442; Toxic Substances Control Act, Section 10; Marine Protection, Research and Sanctuaries Act, Section 203; and National Environmental Policy Act, Section 102(2)(F) for international awards.

OBJECTIVES:

EPA and its State, territorial, and tribal partners are building a nationwide Environmental Information Exchange Network. The Exchange Network is an Internet and standards-based information network that facilitates electronic reporting and the exchange and integration of environmental data and information from many different sources. The Exchange Network makes it easier for EPA and its partners to obtain the timely and accurate information needed when making decisions concerning the natural environment and related human health issues. The Exchange Network Grant Program provides financial assistance to states, territories, federally recognized Indian tribes, and intertribal consortia of federally recognized tribes to support the development of information technology and information management (IT/IM) infrastructure and capabilities needed to participate in the Exchange Network; the development of dataflows using the Exchange Network, including geospatial dataflows; planning, mentoring, and training activities; and a challenge group of activities that advance the development and use of the Exchange Network. Exchange Network Grants also provide strategic program support for the Exchange Network through non-competitive awards to State- and tribal-supporting co-regulator/co-implementor organizations. Funding Priority - Fiscal Year 2008: Projects supported by the FY 2008 Exchange Network grant program and funded through EPA's STAG appropriation must address one or more of the

following priorities: developing or upgrading IT/IM capabilities and tools to participate in the Exchange Network; developing the capabilities to exchange environmental, human health, or related data flows using the Exchange Network, and developing related data analysis and integration tools; planning and implementing collaborative, innovative projects that use the Exchange Network to help address the business needs of government agencies at all levels; providing mentoring and training to other Exchange Network partners; providing outreach, communications, technical assistance, and other support to states that are participating in, or may wish to participate in, the Exchange Network; and providing support for state participation in the Network Operations Board and related workgroups. FY 2008 Exchange Network-related awards that focus on expanding tribal participation in the Exchange Network and are funded through EPA's EPM account must address the following priorities: providing programmatic support to federally recognized Indian tribes and intertribal consortia of federally recognized tribes that are participating in or may wish to participate in the Exchange Network; providing outreach, communications, training/mentoring, and technical assistance to tribes to increase their knowledge about the Exchange Network, enhance their IM/IT capabilities, and encourage their development of Exchange Network nodes and dataflows; providing support for tribal participation in the Exchange Network governance structure; and providing periodic reports to the Exchange Network management organization on the status of tribal participation in the Exchange Network. FY 2008 Exchange Network-related awards that focus on enhancing state participation in the state/EPA information management governance structure and are funded through EPA's EPM account must address the following priorities: providing support for state participation in the state/EPA Information Management Work Group, which addresses overarching information management issues; and providing support for state participation in the Exchange Network Leadership Council, which is responsible for developing the strategic direction and overall policies for the Exchange Network. Funding Priority - Fiscal Year 2008: Projects supported by the FY 2008 Exchange Network Grant Program, which are funded through EPA's STAG account, must address at least one of the following priorities: developing or upgrading IM/IT capabilities and tools to participate in the Exchange Network; developing the capabilities to exchange environmental, human health, or related data flows using the Exchange Network, and developing related data analysis and integration tools; planning and implementing collaborative, innovative projects that use the Exchange Network to help address the business needs of government agencies at all levels; providing mentoring and training to other Exchange Network partners; providing outreach, communications, technical assistance, and other support to states that are participating in, or may wish to participate in, the Exchange Network; and providing support for state participation in the Network Operations Board and related workgroups. Other FY 2008 Exchange Network-related awards that focus on providing support to all tribes - not just those that are currently participating in the Exchange Network - and that are funded through EPA's EPM account must address the following priorities: providing programmatic support to federally recognized Indian tribes and intertribal consortia of federally recognized tribes that are participating in or may wish to participate in the Exchange Network; providing outreach, communications, training/mentoring, and technical assistance to tribes to increase their knowledge about the Exchange Network, enhance their IM/IT capabilities, and encourage their development of Exchange Network nodes and dataflows; providing support for tribal participation in the Exchange Network governance structure; and providing periodic reports to the Exchange Network management organization on the status of tribal participation in the Exchange Network. Other FY 2008 Exchange Network-related awards that focus on enhancing state participation in the state/EPA information management governance structure and that are funded through EPA's EPM account must address the following priorities: providing support for State participation in the State/EPA Information Management Work Group, which addresses overarching information management issues; and providing support for State participation in the Exchange Network Leadership Council, which is responsible for developing the strategic direction and overall policies for the Exchange Network.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funding is available for direct costs associated with carrying out grant-supported projects and for allocations of allowable indirect costs, in accordance with established EPA policies. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Eligible applicants for the Exchange Network Grant Program include states, the District of Columbia, U.S. territories (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands), federally recognized Indian tribes, and intertribal consortia of federally recognized tribes. Intertribal consortium are eligible to apply for assistance from the Exchange Network Grant Program by meeting the following criteria: (a) the majority of the consortium members are federally recognized

Indian tribes; (b) all consortium federally recognized tribal members have authorized the consortium to apply for and receive assistance (if awarded) from the Exchange Network Grant Program; and (c) the intertribal consortium has adequate accounting controls to manage awarded funds while ensuring that only federally recognized tribal members will benefit directly from the award. Regional air pollution control districts may apply for assistance if they are legally considered to be agencies or instrumentalities of the state under applicable State laws. The following entities are not eligible to apply for funding from the competitive Exchange Network Grant Program: state/territorial/tribal universities; city, town, county, or regional governments; nonprofit organizations, including organizations that represent the interests of co-regulators/co-implementors in executing environmental programs. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. In addition to issuing competitive awards to states/territories/tribes and intertribal consortia, EPA may use a portion of the Exchange Network Grant Program funds to issue one or more non-competitive awards for associated program support to a co-regulator/co-implementor organization. A co-regulator/co-implementor organization is one that represents the interests of governmental units (for example, state or regional governments) in executing a national or regional environmental program. The membership of such a national or regional organization is composed of officials of the co-regulator or co-implementor entities (for example, state environmental commissioners). Eligible applicants for non-competitive awards that relate to the Exchange Network and are funded through EPA's EPM account include non-profit organizations that are co-regulator/co-implementor organizations.

Beneficiary Eligibility:

Federal, state, territory, city, town, county, and regional governments; federally recognized Indian tribes and intertribal consortia of federally recognized tribes; public institutions and industries subject to EPA regulatory reporting requirements; and the public.

Credentials/Documentation:

Costs will be evaluated in accordance with OMB Circular No. A-87, "Cost Principles for State, Local, and Indian Tribal Governments," or OMB Circular No. A-122, "Cost Principles for Non-Profit Organizations," as appropriate.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. Please contact Marla Sheppard ((202) 564-5954) for questions involving Intergovernmental Review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

Application Procedure:

Applicants for the FY 2009 Exchange Network Grant Program must submit application packages to EPA by November 19, 2008. Applicants have the option to submit application packages in hard copy through the Postal Service or an overnight mail or courier delivery service. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Electronic applications submitted through Grants.gov have to be received no later than 11:59 pm Eastern Time on November 19, 2008. EPA will not review or fund any applications that are postmarked or received by Grants.gov after (November 19, 2008, or any applications that are received from ineligible applicants. The application package included the following materials: Overview of Application Package 1. Cover letter that outlines the following information: A. Contact information for the Project Lead B. Number of copies of the application (one original plus two copies) C. One sentence description of the project's goal D. Data exchanges (and data standards) for which the applicant is requesting funding E. Any additional partner on the grant F. The amount of funds requested G. Coordination efforts between the IM/IT and Environmental/Health Programs H. Signature of executive level official; 2. A list of no more than five (5) Federally or non-Federally funded assistance agreements performed in the last three (3) years of similar size and scope to the current proposal; 3. Project Narrative - Work Plan, including a Quality Assurance Narrative Statement (Work Plans must address the Evaluation Results (Section I-1) and Evaluation Criteria (Section V) in the work plan; 4. Budget Narrative and Detailed Itemized Budget; 5. Copy of applicant organization's Negotiated Indirect Cost Rate Agreement, if indirect costs are included in the budget or a copy of the submitted application to the cognizant fiduciary agency; and 6. Resume(s) of the Project Manager(s) or Project Lead(s). Inter-tribal consortia applying for the Exchange Network Grant Program must include written documentation in the application package that demonstrates the following: A formal partnership exists among the Indian tribal governments that are members of the inter-tribal consortium, and the majority of the members and federally recognized Indian tribes; and the consortium's Federally recognized tribal members have authorized the consortium to apply for and receive assistance (if awarded) from the Exchange Network Grant Program. Please note that after a potential grantee is notified of their selection for a recommendation

for an award, additional documents will be needed to complete the funding package. Annual solicitation notices outline the format and page limitations for the work plan and provide more detailed application instructions. EPA will not review work plan pages in excess of the stipulated page limitation. If the proposal is funded, the recipients would be required to submit a separate, tailored Quality Assurance Project Plan based on guidance in the applicable solicitation notice. EPA plans to post the solicitation notice for the FY 2009 Exchange Network Grant Program on the Web site in September 2008 (<http://www.epa.gov/exchangenetwork/grants>). Please see the solicitation notice for complete application instructions.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. EPA will evaluate the project proposal based on how well and clearly the applicant has articulated project outputs, outcomes and environmental results, and whether they are tied to the intermediate outcomes as defined in Section 1 of this Solicitation Notice (i.e., burden reduction, cost savings, improved quality of data, etc.). EPA will also evaluate the applicant's work plan for tracking and measuring their progress towards achieving the expected outputs, outcomes, and environmental results of the project proposal.

Deadlines:

Applications for the FY 2009 Exchange Network Grant must be postmarked on or before November 19, 2008, or received electronically within Grants.gov at or before 11:59 PM Eastern Standard Time on November 19, 2008.

Range of Approval/Disapproval Time:

Applicants whose applications are denied for funding will be notified approximately five months after the application deadline. Applicants whose applications are recommended for funding will be notified, initially to request additional documentation to finalize the application, and, later, when the assistance agreements are issued and mailed by EPA's Grants and Interagency Agreements Management Division, which is expected to be approximately eight to nine months after the application deadline.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Assistance will be provided through grants and cooperative agreements. EPA may provide support in the form of direct funding and/or in-kind assistance, in lieu of direct funding. EPA plans to issue awards for applications that are recommended for funding approximately seven months after the application deadline. The standard period of performance for projects funded by the Exchange Network Grant Program is two years. EPA may consider requests from award recipients for project/budget period extensions; and if deemed appropriate by EPA, the Grants and Interagency Agreements Management Division may issue amendments extending the project/budget periods.

Reports:

Award recipients must submit semi-annual progress reports and a final project report that covers the entire project period. The semi-annual progress reports must be submitted within one month of the end of each semi-annual reporting period. The reporting periods are from October through March and April through September of each fiscal year. Award recipients must submit the final project report and a final Financial Status Report (Standard Form 269) to EPA within 90 days after the end of the project/budget period.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all accounting records that substantiate the charges to each grant, must be maintained and accessible to personnel authorized to examine EPA grant accounts. If certain financial records are part of an audit investigation, then they must be retained until the matter under investigation has

been completely resolved. Award recipients must retain complete records documenting their projects for a period of seven years after the close-out of the agreement. Such records include, but are not limited to, financial records, technical reports, periodic performance progress reports, project management files, correspondence with other project partners, and correspondence with EPA. Final products and deliverables that result from the project are considered to be permanent records and should be retained indefinitely.

Account Identification:

STAG Account: 68-0103-0-1-304; EPM Account: 68-0108-0-1-304.

Obligations:

STAG Account: FY 07 \$14,708,146; FY 08 \$14,700,000; and FY 09 est \$11,000,000. EPM Account: FY 07 \$597,000; FY 08 \$520,000; and FY 09 est \$500,000. EPA will set aside approximately ten percent of appropriated funding for tribal assistance agreements to further facilitate expanded tribal participation in the Exchange Network.

Range and Average of Financial Assistance:

STAG Account: Award amounts will range from \$75,000 to \$500,000 for the entire two-year project period. The average award amount will be \$300,000 for the entire two-year project period. EPM Account: The average award amount will be \$250,000 for the entire two-year project period.

PROGRAM ACCOMPLISHMENTS:

FY 2009 will be the eighth year of the Exchange Network Grant program. As of 2008 EPA provided an estimated total of \$135,000,000 for State/territorial/tribal awards and associated program support awards through the Exchange Network Grant program. EPA's FY 2009 appropriations requests include an additional \$11.0 million for the Exchange Network Grant program. In previous fiscal years, EPA received applications and made awards to state/territorial/tribal entities and associated program support awards as follows: FY 2007, 77 applications, 57 awards. FY 2008, 70 applications, 55 awards (estimated). As of early November 2007, all states, the District of Columbia, Guam, the Commonwealth of the Northern Mariana Islands, Puerto Rico, the U.S. Virgin Islands, and more than 50 tribes have received awards and been involved in the development of the Exchange Network. As of April 2008, the Exchange Network Grant Program had contributed to the development of 50 State, one territorial and 8 Tribal Exchange Network nodes. A number of additional nodes are currently under development. EPA and its partners are currently using or planning to use the Exchange Network to exchange data that relate to the following: Beaches (beaches water quality and closure data), Air Facility System (AFS), Air Quality System (AQS), Arsenic and Radon, Asbestos, Biodiversity Data Exchange, Drinking Water Laboratory Results, electronic Discharge Monitoring Reports (e-DMR), Facility Registry System (FRS), Geospatial, Institutional Controls Tracking System (ICTS), Integrated Compliance Information System/National Pollutant Discharge Elimination System (ICIS-NPDES), Methamphetamine, National Emissions Inventory (NEI), National Pollution Prevention (P2) Results System, Resource Conservation and Recovery Act Information System (RCRA Info), Safe Drinking Water Information System (SDWIS), Source Water Protection (SWP), Toxics Release Inventory System (TRIS), Underground Injection Control (UIC), and Water Quality Monitoring.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Exchange Network Grant Program assistance agreements issued to state, territorial, and tribal governments are governed by EPA's regulations at 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." The assistance agreements issued to nonprofit organizations (i.e., co-regulator/co-implementer organizations) for associated program support for the Exchange Network Grant Program or other Exchange Network activities are governed by EPA's regulations at 40 CFR Part 30, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations." General information and guidance documents regarding the Exchange Network are available at <http://www.epa.gov/exchangenetwork>. Information about the Exchange Network Grant Program and previously funded activities is available at <http://www.epa.gov/exchangenetwork/grants>.

Regional or Local Office:

Not applicable.

Headquarters Office:

Jonathan Jacobson, Chief, Information Exchange Partnership Branch, Office of Information Collection, Office of Environmental Information, Environmental Protection Agency, 1200 Pennsylvania Ave., N.W., Mail Code (2823-T), Washington, DC 20460; Telephone: (202) 566-1984; Fax: (202) 566-1684; E-mail: Jacobson.jonathan@epa.gov. Edward Mixon, Exchange Network Project Manager, Office of Information Collection, Office of Environmental Information, Environmental Protection Agency, 1200 Pennsylvania Ave., N.W., Mail Code (2823-T), Washington, DC 20460; Telephone: (202) 566-2142; Fax: (202) 566-1624; E-mail: Mixon.Edward@epa.gov.

Web Site Address:

<http://www.epa.gov/oei>.

RELATED PROGRAMS:

66.608, Environmental Information Exchange Network Grant Program and Related Assistance.

EXAMPLES OF FUNDED PROJECTS:

Examples of some projects funded by the Exchange Network Grant Program are described below: A State environmental agency is using grant funds to advance the use of the State's Exchange Network node and to promote the efficient and effective use of data to improve environmental conditions across the state. In particular, the state EPA is using the Exchange Network to exchange data associated with the Facility Registry System (FRS), the National Emissions Inventory (NEI), and the Integrated Compliance Information System - National Pollutant Discharge Elimination System (ICIS-NPDES). A State's Office of Technology is using federal assistance to enhance the use of watershed modeling in the state's environmental decision-making. In addition to the Office of Technology, project partners include the State's Department for Environmental Protection, the Office of Geographic Information, the Open GIS Consortium, and the Geological Survey. The project involves developing a web-based watershed modeling information portal to provide timely watershed modeling data to support state environmental decision-making. Project partners will also work toward making the watershed modeling data available to other Exchange Network partners through the state's Exchange Network node, which is currently under development. A state's Department of the Environment is continuing to develop an Exchange Network node to facilitate the exchange of information from the state's Enterprise Environmental Management System (EEMS) with EPA and other Exchange Network partners. The primary purpose of the project is to advance the electronic exchange of data with EPA. The project focuses on submitting data associated with the Resource Conservation and Recovery Act Information System (RCRAInfo) and ICIS-NPDES from MDE's node to EPA's node on the Central Data Exchange. A State Department of Environmental Protection is working in partnership with other regional state and watershed partners to use the Exchange Network to share data on Best Management Practices (BMP) for addressing non-point source pollution. This effort involves developing and registering XML schema for exchanging BMP data. The watershed office receives BMP data from jurisdictions that participate in the program and then enters the data in a regional watershed module and various presentation tools for regional use. Four State environmental agencies collaborated to develop an application that would provide a range of critical information to field personnel responding to emergencies such as flooding at facilities that store toxic chemicals or an explosion at a chemical plant. By using the Exchange Network technology, the project team created the Heartland Emergency Response Exchange which can reach across organizational lines to retrieve integrated environmental and natural resource data from agencies in partner states. Emergency planners can quickly identify potential dangers posed by chemical inventories or hazardous waste storage. In minutes, response teams can assess threats to drinking water infrastructure or other environmental interests. One of the key features of this system is its ability to visualize the data using popular web-based mapping applications such as Google Earth or Microsoft Virtual Earth. In short, better information will offer opportunities for more comprehensive planning and more informed responses.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation criteria for the FY 2008 Exchange Network Grant program was described in the FY 2008 solicitation notice. The quantitative evaluation criteria included such factors as expected project outputs/outcomes, the feasibility and approach of the work plan, the relevance and significance of the proposed project to the Exchange Network, the adequacy of resources and key personnel, and past performance. EPA may also consider various qualitative criteria when determining which applications to recommend for funding. Examples of such qualitative criteria may include, but are not limited to, factors such as achieving a balance among projects, distributing funds among different states/territories/tribes, and having EPA programmatic support for the project. Applications for non-competitive awards to co-regulator/co-implementor organizations, funded by the Exchange Network Grant program through EPA's STAG appropriation, will be evaluated based on the ability of the applicant to provide the following: outreach, communications, technical assistance, and other support to states/tribes that are participating in, or may wish to participate in, the Exchange Network; and support for state/territorial/tribal participation in the Network Operations Board and related workgroups. Applications for non-competitive awards to a co-regulator/co-implementor organization, funded through EPA's EPM appropriation, will be evaluated based on the applicant's ability to implement the types of activities described in the Objectives section of this description.

66.609 PROTECTION OF CHILDREN AND OLDER ADULTS (ELDERLY) FROM ENVIRONMENTAL HEALTH RISKS

(Children's Environmental Health and the Aging Initiative)

FEDERAL AGENCY:

CHILD AND AGING HEALTH PROTECTION DIVISION, OFFICE OF CHILDREN'S HEALTH PROTECTION AND ENVIRONMENTAL EDUCATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Air Act, Section 103; Solid Waste Disposal Act, Section 8001; Safe Drinking Water Act, Section 1442; Toxic Substances Control Act, Section 10; Marine Protection, Research, and Sanctuaries Act, Section 203; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311; and National Environmental Policy Act, Section 102(2)(F) for international awards.

OBJECTIVES:

To support efforts by organizations; educational institutions; and/or State, local, and tribal governmental agencies to establish or enhance their ability to take actions that will reduce environmental risks to the health of children or the aging (elderly) population. Funding Priority - Fiscal Year 2008: Support efforts to: (1) Create healthy school environments; (2) Build state, local, and tribal government capacity to address environmental health risks to children and older adults; (3) Educate health practitioners on environmental risks to children; (4) Implement a National Agenda for the Environment and the Aging to protect the health of older persons, prepare for an aging society, and encourage civic engagement among older adults; (5) Protect children and older adults from environmental hazards in local communities through civic engagement, demonstration projects, and other community capacity building activities; (6) Conduct environmental health tracking as it relates to older persons at the state level; (7) Protect children and older adults internationally from environmental health risks; (8) Demonstrate how smart growth activities can promote healthy communities for older adults and children; (9) Reduce environmental risks to children and older adults through intergenerational activities; (10) Educate women of childbearing age and their health care providers about preventing and reducing environmental health risks; (11) reduce environmental health disparities; (12) Educate older adults to be environmental leaders in their community and train other elders to be leaders and educate children and older adults about the importance of environmental stewardship and health.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations, and special purpose assistance, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Assistance under this program is generally available to States or state agencies, territories, the District of Columbia, American Indian Tribes (federally recognized), and possessions of the U.S. It is also available to public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and 501(c)(3) organizations. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applications. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State agencies and local governments, U.S. territories and possessions, American Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions and organizations.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. The Child and Aging Health Protection Division within the Office of Children's Health Protection and Environmental Education may ask applicants or principal investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in

applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424, "Application for Federal Assistance." Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, the Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadlines for competitive awards will be specified in the announcement of the competitive funding opportunity.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to the Child and Aging Health Protection Division's program priorities, and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. EPA's Appropriation Act requires that applicants submitting unsolicited research grant proposals share in the cost of conducting research. The amount of the cost share will be based on the mutuality of interest between the Government and the applicant. This requirement cannot be waived by EPA. In addition, CERCLA Section 311(b)(3) requires that "to the maximum extent possible," EPA enter into an appropriate cost sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the Section 311(b)(3) cost-sharing requirement in appropriate cases. Matching funds requirements may be established in program guidance or in the terms of competitive solicitations.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, the Child and Aging Health Protection Division of the Office of Children's Health Protection and Environmental Education can negotiate the project period with each applicant based on project requirements. EPA limits project periods to five (5) years. Grants and cooperative agreements may be incrementally funded or fully funded. This determination is made by EPA.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports. Reporting requirements are also identified in EPA's grants regulations at 40 CFR Parts 30 and 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and institutions of higher education) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records which support substantial changes to the grant, available to personnel authorized to examine EPA recipients' grants and cooperative agreement records. Recipient

must maintain all records for a period of three years from the date of submission of final expenditures reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is fully resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$897,420; FY 08 est \$600,000; and FY 09 estimate \$250,000.

Range and Average of Financial Assistance:

Range - \$10,000 to \$150,000 per grant. Average - \$100,000 per grant (2 year grants)

PROGRAM ACCOMPLISHMENTS:

Developed tools for organizations to work across generations to protect children's health from environmental risks; supported international effort to develop children's environmental health indicators; increased the capacity of health care providers to identify, prevent and manage environmentally-related illnesses in children in United States and around the world; increased state capacity to measure environmentally-related illnesses in older adults; increased state, local and tribal capacity to address environmental toxicants that can affect the health of older adults; developed multicultural leaders in the field of environment and aging; and successfully piloted environmental intergenerational projects in urban and rural settings (including projects that taught youth and elders about acid mine drainage and water quality monitoring; organic farming, solar energy and agribusiness; indoor air quality including mold and toxic cleaning products; e-cycling business; integrated pest management and the growth and migration of monarch butterflies). Data on the number and ratio of applications for FY 07: Building Capacity to Address Environmental Health Issues During Pregnancy - 40+ applications received, 4 awards made and 1 award pending.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to EPA general grant regulations (40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," and 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments"). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and federally recognized tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for "for profit" entities.

Regional or Local Office:

Not applicable.

Headquarters Office:

Child and Aging Health Protection Division, Office of Children's Health Protection and Environmental Education; EPA, Mail Code: 1107A; 1200 Pennsylvania Avenue, N.W., Washington, DC 20460. Fax: (202) 564-2733. Children's Health, Elizabeth Blackburn, Telephone: (202) 564-2192; E-mail: blackburn.elizabeth@epa.gov. Aging Initiative (or health of older adults), Kathy Sykes, Telephone: (202) 564-3651; E-mail: sykes.kathy@epa.gov.

Web Site Address:

<http://www.epa.gov/children>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

State environmental and health agency process to develop an action agenda to reduce environmental triggers of childhood asthma and education of health care providers on environmental risks to children. Develop training tools to educate older Americans about environmental hazards in their communities. Training, innovation, outreach, and educational projects to protect the health of older adults and the environment. Public education materials to inform older persons about environmental hazards that affect health and the environment. Increase capacity of state legislators to deal effectively with children's environmental health issues at the state level. Build health professional capacity to address pediatric environmental health issues. Increase intergenerational cooperation to improve environmental health in urban and rural communities. Support the ability of schools to manage chemicals in a safe and effective manner. Demonstration projects to dispose of unwanted medications in a prudent manner. Build capacity with women of childbearing age and health professionals to address environmental health issues during pregnancy.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive proposals are judged for: (a) technical merit in terms of: (1) strengths and weaknesses of the project, (2) adequacy of overall project design, (3) competency of proposed staff, (4) suitability of applicant's available resources, (5) appropriateness of the proposed project period and budget, and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project, and (2) relationship to program objectives.

66.610 SURVEYS, STUDIES, INVESTIGATIONS AND SPECIAL PURPOSE GRANTS WITHIN THE OFFICE OF THE ADMINISTRATOR

FEDERAL AGENCY:

OFFICE OF THE ADMINISTRATOR, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Air Act, Section 103; Solid Waste Disposal Act, Section 8001; Safe Drinking Water Act, Section 1442; Toxic Substances Control Act, Section 10; Marine Protection, Research, and Sanctuaries Act, Section 203; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311; National Environmental Policy Act, Section 102(2)(F), for international awards.

OBJECTIVES:

(1) To support surveys, studies, investigations, and special purpose assistance associated with air quality, acid deposition, drinking water, water quality, hazardous waste, toxic substances, and pesticides; (2) to identify, develop, and demonstrate necessary pollution control techniques; (3) to prevent, reduce, and eliminate pollution; (4) to evaluate the economic and social consequences of alternative strategies and mechanisms for use by those in economic, social, governmental, and environmental management positions; and (5) to promote collaboration on projects and activities within the states and enable wider and more coordinated state input on national environmental issues. Funding Priority - Fiscal Year 2008: Priorities include support for environmental health information and analysis to state legislatures; support to build state capacity to reduce environmental hazards that may affect health and the environment; support of environmental education training; support to promote and enhance state collaboration by focusing on communication and the sharing of environmental information among states; support to detail environmental outcome oriented indicators, measures, and goals that promote innovative environmental protection; and support to increase efficiency in the state environmental protection programs that results in cost-effective, sustainable environmental protection. Funding Priority - Fiscal Year 2009: Priorities continue to include support for environmental health information and analysis to state legislatures; support to build state capacity to reduce environmental hazards that may affect health and the environment; support of environmental education training; support to promote and enhance state collaboration by focusing on communication and the sharing of environmental information among states; support to detail environmental outcome oriented indicators, measures, and goals that promote innovative environmental protection; and support to increase efficiency in the state environmental protection programs that results in cost-effective, sustainable environmental protection.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations and special purpose assistance, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Assistance under this program is generally available to State agencies, territories, the District of Columbia, Indian Tribes, and possessions of the U.S. Assistance is also available to public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applications. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State agencies and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. Office of

the Administrator may ask applicants or principal investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424, "Application for Federal Assistance." Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive award, the Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadlines for competitive awards will be specified in Request for Applications or Request for Initial Proposals.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to the Office of the Administrator's program priorities, and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. EPA's Appropriation Act requires that applicants submitting unsolicited research grant proposals share in the cost of conducting research. The amount of the cost share will be based on the mutuality of interest between the Government and the applicant. This requirement cannot be waived by EPA. In addition, CERCLA Section 311(b)(3) requires that "to the maximum extent possible," EPA enter into an appropriate cost-sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the Section 311(b)(3) cost-sharing requirement in appropriate cases. Matching fund requirements may be established in program guidance or in the terms of competitive solicitations.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, the Office of the Administrator can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Grants and cooperative agreements may be incrementally funded or fully funded. This determination is made by the EPA.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in EPA's grant regulations 40 CFR Parts 30 and 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and institutions of higher education) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records which support substantial changes to the grant, available to personnel authorized to examine EPA recipients' grants and cooperative agreement records. Recipient must maintain all records for a period of three (3) years from the date of submission of final expenditures reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is fully resolved.

Account Identification:

68-1810-0-1-304.

Obligations:

FY 07 \$472,992; FY 08 est. \$500,000; and FY 09 est. \$500,000.

Range and Average of Financial Assistance:

Range - \$500 to \$500,000 per grant. Average amount of award - approximately \$150,000 per grant.

PROGRAM ACCOMPLISHMENTS:

The Agency anticipates making one new award under this CFDA in Fiscal Year 2008. Although there were no new applications received and no new awards made during Fiscal Year 2007, the program accomplishments of ongoing grants were: (1) "Building of state capacity to improve results based management" -- The grant recipient developed 15 pilot projects to help States refine and expand their strategic planning efforts to focus on lessons learned with an eye towards better integration of multi-media approaches that achieve quantifiable environmental outcomes. Some states focused their pilots on the development of state priorities, multi-state priorities, and/or joint planning with EPA, while others worked on the alignment of State, regional and national planning processes. Information and experiences from the pilots will be used to evaluate progress and identify additional improvements. These pilots are ongoing. (2) Mercury Abatement helped States to educate and engage key public and private sector leaders on the actions taken to reduce and manage mercury in the environment. Grantee developed and published a mercury compendium of State Mercury Activities and worked with States and EPA to implement a partnership to reduce mercury in the environment. Developed and published an information document on "Mercury Product Labeling." Also helped develop a National Voluntary Mercury Switch Removal Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to EPA general grant regulations (40 CFR Parts 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," and 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments"). Costs will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for "for profit" entities.

Regional or Local Office:

None.

Headquarters Office:

For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), 1200 Pennsylvania Ave., N.W., Washington, DC 20460. For program information contact: Matthew Peters, Office of the Administrator, E-mail: Peters.Matthew@epamail.epa.gov; Telephone: (202) 564-0222; Fax: (202) 501-1534.

Web Site Address:

<http://www.epa.gov/adminweb/>.

RELATED PROGRAMS:

66.611, Environmental Policy and Innovation Grants; 66.609, Protection of Children and Older Adults (Elderly) from Environmental Health Risks.

EXAMPLES OF FUNDED PROJECTS:

EPA has funded projects that: (1) Strengthen State Capacity to Reduce and Manage Mercury in the Environment; (2) build State capacity to improve results-based environmental management and help States share information on best practices; (3) support State efforts to deliver comprehensive environmental compliance assistance to small local governments; (4) assist State efforts to more accurately manage expenditures for water quality programs; (5) To utilize a broad scope of work to include research, studies, demonstrations, training and professional development in order to promote active state involvement in the coordination of Federal/State efforts to reduce and eliminate pollution.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive

proposals are judged for: (a) technical merit in terms of: (1) strengths and weaknesses of the project, (2) adequacy of overall project design, (3) competency of proposed staff, (4) suitability of applicant's available resources, (5) appropriateness of the proposed project period and budget, and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project, and (2) relationship to program objectives.

66.611 ENVIRONMENTAL POLICY AND INNOVATION GRANTS

FEDERAL AGENCY:

OFFICE OF THE ADMINISTRATOR, OFFICE OF POLICY, ECONOMICS AND INNOVATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Air Act, Section 103; Clean Water Act, Section 104; Solid Waste Disposal Act, Section 8001; Safe Drinking Water Act, Section 1441; Toxics Substances Control Act, Section 10; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 104(k)(6) and 311, as amended; and National Environmental Policy Act (NEPA), Section 102(2)(F)

OBJECTIVES:

(1) To support analyses, studies, evaluations, workshops, conferences, and demonstration projects that lead to reduced pollutants generated and conservation of natural resources; (2) to improve economic information and analytic methods to support studies, surveys, analyses, evaluations, conferences, workshops, and demonstration projects on the benefits, costs, and impacts of environmental programs; and (3) to improve economic information and analytic methods to support studies, surveys, analyses, evaluations, conferences, workshops, and demonstration projects of incentive-based and voluntary environmental management strategies and mechanisms; and (4) to support research to explore and develop strategies and mechanisms for those in the economic, social, governmental, and environmental arenas to use in environmental management decisions. Funding Priority - Fiscal Year 2008: Pending budget decisions, the funding priorities for this program may include continued support for innovative projects related to exploring, testing, or implementing smart growth policies and other environmentally preferable approaches to development. In the area of economic research, pending budget decisions, there will be a solicitation focused on economic workshops and an economic research solicitation which may focus on methods to improve capacity to measure economic value of health and ecological benefits. The latter will be supported under the Economics and Decision Sciences Program that prior to FY 2008 had been administered by EPA's Office of Research and Development, National Center for Environmental Research. Effective in FY 2008, responsibility and resources for this program were transferred within EPA to the Office of Policy, Economics and Innovation. Funding Priority - Fiscal Year 2009: Pending budget decisions, the funding priorities for this program may include continued support for innovative projects related to exploring, testing, or implementing smart growth policies and other environmentally preferable approaches to development. In the area of economic research, pending budget decisions, there will be one or two solicitations focused on (1) methods to improve capacity to measure economic value of health and ecological benefits, or (2) use of market-based approaches to accomplish environmental management objectives. These solicitations will be supported under the Economics and Decision Sciences Program that prior to FY 2008 had been administered by EPA's Office of Research and Development, National Center for Environmental Research. Effective in FY 2008, responsibility and resources for this program were transferred within EPA to the Office of Policy, Economics and Innovation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved Environmental Policy and Innovation plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Assistance under this program is generally available to States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, interstate organizations, intrastate organizations, and possessions of the U.S., including the District of Columbia, public and

private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For-profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applicants. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its grant from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism. Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on a grant, and may not receive salaries or augment their Agency's appropriations in other ways through grants made by this program.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, and individuals.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424, "Application for Federal Assistance." Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For competitions administered as part of the Economic and Decision Sciences program, it is planned for OPEI to adhere to the policies and procedures followed previously by ORD, NCER when their office was responsible for administering the program. The details of this process are to be settled upon during FY2008 in conjunction with the transfer of personnel and resources associated with the EDS program as they move from ORD to OPEI.

Deadlines:

Deadlines will be specified in Request for Applications or Request for Initial Proposals.

Range of Approval/Disapproval Time:

Approximately 180 days for most competitive solicitations. For competitive solicitations administered under the Economic and Decision Sciences program, the time to notification is approximately 240 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for Environmental Policy and Innovation. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula. CERCLA 311(b)(3) requires that "to the maximum extent possible," EPA enter into an appropriate cost sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the 311(b)(3) cost sharing requirement in appropriate cases. Matching requirements may be established in program guidance or the terms of competitive solicitations.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Grants and cooperative agreements may be incrementally or fully funded. This determination will be made by the EPA.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations 40 CFR Parts 30 and 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-1810-0-1-304.

Obligations:

OBLIGATIONS: For awards directed at smart growth issues, the following distributions and projections are - FY 07 total awarded through new awards and incremental funding: \$618,500; FY 08 total expected to be awarded through new awards and incremental funding: \$922,000; FY 09 projected new awards and incremental funding: \$958,000. For awards directed at economic analysis and research topics, including funding provided under the Economic and Decision Sciences program, the following distributions and projections are - FY 07 total awarded through new awards and incremental funding: \$2,179,000 (majority of funds supporting Economic and Decision Sciences program, in response to solicitations initiated when program managed by ORD, NCER during FY 2006-2007). FY 08 total expected to be awarded through new awards and incremental funding: \$1,200,000 (of which \$600,000 supporting Economics and Decision Sciences program). FY 09 projected new awards and incremental funding: \$1,500,000 (of which \$1,000,000 supporting Economics and Decision Sciences program)

Range and Average of Financial Assistance:

For awards related to smart growth, the range is from \$30,000 to \$250,000, with an average of \$90,000. For awards in the area of economics - related to Workshops: range is \$20,000 - \$200,000, with an average award of \$75,000. Related to Research: range is \$50,000-\$1,000,000, with an average of \$300,000. There were no earmarks included in FY 2007 or FY 2008. Do not anticipate any earmarks in FY 2009.

PROGRAM ACCOMPLISHMENTS:

Through managed competitions awarded in FY 05, assistance agreements were awarded to organizations to support the Agency's Smart Growth Program. Although there were no competitions in FY 06, examples of activities funded through incremental funding include development of guidelines for green, dense urban redevelopment, identification of barriers to smart growth and development of options to eliminate them, support for smart growth visioning and recognition programs, and co-sponsorship of a smart growth conference. In FY 07, examples of funded activities include identification of barriers to smart growth and development of options to eliminate them, support for smart growth visioning and recognition programs, and co-sponsorship of a smart growth conference. The ratio of applications received to those awarded is about 10:1 for the smart growth program. As in past years, grants supporting workshops and conferences related to economic research and analysis are expected to be awarded in FY 2008. The FY 2008 solicitation for workshops is expected to receive 20-30 applications, of which it is expected that 8-10 will be awarded funds in FY2008. The Economics and Decision Sciences research program in FY2007 completed selection of awards for two solicitations "Market Mechanisms and Incentives: Case Studies and Experimental Testbeds for New Environmental Trading Programs (2006)" totaling awards of \$1,336,000 and "Methodological Advances in Benefit Transfer Methods (2006)" totaling awards of \$592,000. There were three grants awarded for each of the two solicitations. The ratio of applications received to those awarded in the area of economic analysis and research is about 4:1. The research supported by this program helps to strengthen the quality and completeness of the scientific information used in preparing economic analyses. The program works to fill gaps in the quantification of the costs and benefits associated with environmental regulations and policies. As an example, one grant recipients listed the following accomplishments associated with a series of economic workshops funded under this program: For the 2007 Workshop, 324 researchers were invited, and 106 participated. We will continue to obtain feedback on the program and workshop in our effort to improve quality. We will also track the papers afterwards to gauge their success in publication. We have some data on successful progress toward publication of papers that were presented at prior workshops for years 2005 and 2006. Eleven papers were presented each year. Any given paper can easily take more than two years to get published, even if it is revised for the very first journal to which it was submitted. Of the eleven papers from the summer of 2006, three are already forthcoming or published in peer reviewed journals. In addition, three are being revised for resubmission. Of eleven papers presented at the 2005 Workshop, one is being revised for resubmission, and nine are forthcoming or published.

REGULATIONS, GUIDELINES, AND LITERATURE:

Environmental Policy and Innovation grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for profit makers.

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mail Code: 3903R, Washington, DC 20460. For program information contact Pamela Stirling; Telephone: (202) 566-0949; Fax: (202) 566-3001; E-mail: stirling.pamela@epa.gov.

Web Site Address:

<http://www.epa.gov/opei>.

RELATED PROGRAMS:

66.034, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.436, Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.813, Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants; 66.940, Environmental Policy and State Innovation Grants.

EXAMPLES OF FUNDED PROJECTS:

Under 66.611, EPA has funded projects such as reviewing a city's municipal code; identifying barriers to smart growth and developing options to eliminate the specific barriers; integrating redevelopment solutions for brownfields for a particular State; sponsoring economic policy or economic methodology development workshops and conferences; supporting applied dissertations in environmental economics; and supporting high priority environmental economic research topics. Details on economic projects funded under prior solicitations can be found at the webaddress:

<http://yosemite.epa.gov/ee/epa/eed.nsf/Webpages/Grants.html>.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive

proposals are judged for: (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives.

66.612 SURVEYS, STUDIES, INVESTIGATIONS, TRAINING DEMONSTRATIONS AND EDUCATIONAL OUTREACH RELATED TO ENVIRONMENTAL INFORMATION AND THE RELEASE OF TOXIC CHEMICALS

FEDERAL AGENCY:

OFFICE OF ENVIRONMENTAL INFORMATION, OFFICE OF INFORMATION ANALYSIS AND ACCESS, TOXICS RELEASE INVENTORY PROGRAM DIVISION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Air Act, Section 103; Solid Waste Disposal Act, Section 8001; Safe Drinking Water Act, Section 1442; Toxic Substances Control Act, Section 10.

OBJECTIVES:

To provide funding in support of surveys, studies, investigations, training/demonstrations, educational outreach and special purpose assistance as they relate to environmental information and the release of toxic chemicals. The purpose of this program is to educate the public on the how to obtain access to and effectively use environmental information, including information about toxic chemical releases and other waste management activities. Through this grant program EPA intends to enhance the public understanding of environmental information generally and the potential risks and hazards of releases of toxic chemicals to their communities as they relate to facilities covered under the Toxic Release Inventory Program; support efforts to assist State, tribal and local governments improve management of environmental information; identify priorities and opportunities for the public and state, tribal and local governments to work with industry to reduce toxic chemical disposal or other releases and potential risks associated with them; and establish reduction targets and measure progress toward reduction goals. Funding Priority - Fiscal Year 2008: Topics include, but are not limited to the following: promotion of pollution prevention and the public's right to know about chemical risks, evaluation of chemicals to safeguard all Americans, including children and other vulnerable members of the population, as well as, our most threatened species and ecosystems from environmental harm. Types of activities include but not limited to the following: Capacity Building - identifying and developing relationships with key audiences for Toxics Release Inventory (TRI) information to ensure more effective presentation, dissemination, understanding, and better use of TRI data and information; Education and Outreach - creating a forum for all interested stakeholders to stay up to date on the latest TRI regulatory developments, electronic reporting advances, and new data access and analytical tools, as well as providing opportunities for all interested TRI stakeholders and data users to exchange information and expertise regarding the use of TRI data; and Community Issues - developing a clearinghouse where all interested stakeholders can access information about the TRI program and realize how the information and resources can support their individual program goals and objectives. Funding Priority - Fiscal Year 2009 (optional): Topics include, but are not limited to the following: promotion of pollution prevention and the public's right to know about chemical risks, evaluation of chemicals to safeguard all Americans, including children and other vulnerable members of the population, as well as, our most threatened species and ecosystems from environmental harm. Types of activities include but not limited to the following: Capacity Building - identifying and developing relationships with key audiences for TRI information to ensure more effective presentation, dissemination, understanding, and better use of TRI data and information; Education and Outreach - creating a forum for all interested stakeholders to stay up to date on the latest TRI regulatory developments, electronic reporting advances, and new data access and analytical tools, as well as providing opportunities for all interested TRI stakeholders and data users to exchange information and expertise regarding the use of TRI data; and Community Issues - developing a clearinghouse where all interested stakeholders can access information about the TRI program and realize how the information and resources can support their individual program goals and objectives.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of

Research and Development. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions.

Applicant Eligibility:

Assistance under this program is generally available to states, the District of Columbia, US territories (for example, American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, Puerto Rico, and the US Virgin Islands), federally recognized Indian tribes, intertribal consortia of federally recognized tribes, public and private colleges and universities, and other public or private nonprofit organizations. Nonprofit organizations exempt from taxation under Section 501(c)(4) of the Internal Revenue Code that lobby are not eligible for financial assistance. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, territory, city, town, county, and regional governments; federally recognized Indian tribes and intertribal consortia of federally recognized tribes; public institutions and industries subject to EPA regulatory reporting requirements; and the public.

Credentials/Documentation:

Applicants must demonstrate that they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA will ask applicants or principle investigators to provide curricula vitae and relevant publications. EPA may ask for documentation of nonprofit status.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting surveys, studies and investigations, demonstrations, educational outreach and special purpose projects that consider the relevance to EPA's mission and the likelihood of the success of proposed projects. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. The standards application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Application kits should be requested from and submitted to the Environmental Protection Agency, Grants Interagency Agreements Management Division, 3903R, 1200 Pennsylvania Avenue, N. W., Washington, DC 20460 or the appropriate EPA Regional Office identified in Appendix IV of the Catalog. Detailed information and assistance, including and application kit, required forms, and a check list may also be found at <http://www.epa.gov/ogd/AppKit/>. For competitive awards, the competitive announcement will specify application procedures.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in the competitive announcement.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds, and the Agency policy on the competitive grant process.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Parts 30 and 31 are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$0; FY 08 \$225,000; and FY 09 est. \$200,000.

Range and Average of Financial Assistance:

Estimated \$15,000 to \$350,000 with an average of \$200,000 annually.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined on accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for non-profit institutions. Research and demonstration projects are subject to 40 CFR Part 40. Training grants are subject to 40 CFR Part 45.

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in http://www.epa.gov/tri/contacts_regional.htm or in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. For program information contact: Peggy Bagnoli, Telephone: (202) 566-1230, E-Mail: Bagnoli.Peggy@epa.gov, Fax: (202) 566-0727.

Web Site Address:

<http://www.epa.gov/oei>.

RELATED PROGRAMS:

66.034, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.424, Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act; 66.436, Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.510, Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development.

EXAMPLES OF FUNDED PROJECTS:

We currently have one cooperative agreement - with ECOS to "support TRI Capacity Building, Education and Outreach efforts, and development of a Clearinghouse. This is the only project under this CFDA and it is a five year project period not to exceed \$1,000,000. This CFDA was originated in September 2007 and approved in November 2007.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. EPA selects proposed Surveys, Studies, and Investigations projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. If EPA issues a solicitation for applications for a particular project or

group of projects, the solicitation will identify specific criteria.

66.700 CONSOLIDATED PESTICIDE ENFORCEMENT COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

OFFICE OF ENFORCEMENT AND COMPLIANCE ASSURANCE,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 23, as Amended, Public Law 92-516, as amended; Public Laws 94-140 and 95-396, 7 U.S.C. 136 et seq.

OBJECTIVES:

To (a) Assist States, territories and possessions of the U.S., including the District of Columbia and Indian Tribes, in developing and maintaining comprehensive pesticide programs that address all aspects of pesticide enforcement, and special pesticide initiatives; (b) sponsor cooperative surveillance, monitoring and analytical procedures; and (c) encourage regulatory activities within the States. Funding Priority: State, Territorial, and Tribal agencies participating in this cooperative agreement program receive funds to support and strengthen their pesticide compliance programs, including pesticide compliance monitoring, inspection and enforcement activities. Funding Priority -- Fiscal Year 2008. Two specific compliance monitoring and enforcement activities have been identified as major priorities for this program: the pesticide workers' protection program and pesticides used to protect public health. Other typical program activities include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices, inspections of pesticide products at producing facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements, and initiation of appropriate enforcement action when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls and warning notices. Funding Priority -- Fiscal Year 2009. Two specific compliance monitoring and enforcement activities have been identified as major priorities for this program: the pesticide workers' protection program and pesticides used to protect public health. Other typical program activities include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices, inspections of pesticide products at producing facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements, and initiation of appropriate enforcement action when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls, and warning notices.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Available for costs specifically incurred in purchasing inspectional supplies and equipment; reimbursing State travel and per diem expenses associated with the performance of grant outputs; purchasing essential laboratory equipment and supplies; paying salaries for personnel performing inspectional, analytical and/or managerial functions related to grant activities, and for administrative costs associated with the performance of grant outputs. There are no specific restrictions on the use of this assistance.

Applicant Eligibility:

State agencies having pesticide compliance program responsibilities in each State, territory and possession of the U.S., including the District of Columbia, and Indian Tribes.

Beneficiary Eligibility:

States, Federally Recognized Indian Tribal Governments, U.S. Territories and the District of Columbia.

Credentials/Documentation:

The application must supply evidence of legal authority to conduct pesticide compliance activities contemplated under the grant and a workable program officially adopted for the agency. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Discussions or informal meetings with Regional program offices concerning program preparation are advisable. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Requests for application form and completed applications should be submitted to the appropriate EPA Regional Office (see Appendix IV of the Catalog). The enforcement program is excluded from coverage under OMB Circular No. A-110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. States will be notified of Federal Assistance Awards through the Federal Assistance Awards Data

System (FAADS). Applicants may use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Deadlines:

Applications are due 60 days prior to the beginning of the budget period, normally August 1

Range of Approval/Disapproval Time:

About 60 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Applicants must reapply.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Projects are normally funded for a 12-month period. Payments will be on an advance letter of credit or reimbursement basis; recipient must request the initial advance payment on SF 270, Request for Advance or Reimbursement.

Reports:

As requested in the Annual Program Guidance for inclusion in the grant award; quarterly with mid-year and year-end evaluations.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records including all documents to support entries on accounting records and to substantiate charges to each cooperative agreement must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of the submission of the annual financial status report or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0103-0-1-304.

Obligations:

FY 07 \$19,063,600; FY 08 \$ 18,419,000; and FY 09 18,711,000.

Range and Average of Financial Assistance:

Enforcement/States: \$31,100(territory) to \$676,400; \$264,000.

PROGRAM ACCOMPLISHMENTS:

The Consolidated Pesticide Enforcement Cooperative Agreements are continuing environmental program grants. In fiscal years 2007 and 2008, 85 applications for pesticide enforcement grants were received and 85 grants awarded. Agencies participating in the Federal cooperative agreement program receive funds to support and strengthen pesticide compliance monitoring, inspection, and enforcement activities. Typical program activities include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices, inspections of pesticide products at producing facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements, and initiation of appropriate enforcement action when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls, and warning notices. The States will also develop implementation plans for special pesticide initiatives. States will be responsible for dissemination of information and materials related to these pesticide initiatives. States, Territories, and Tribes that are participating in the pesticide enforcement cooperative agreements program conducted 99,750 compliance monitoring inspections in FY 2007. These inspections were conducted at agricultural establishments, pesticide producers and retailers, ports of entry, at pesticide applicators, as well as at other site where pesticides were distributed, sold, or used. As a result of these inspections, 18,015 enforcement actions were initiated in FY 2007 (civil and criminal actions, license suspensions or revocation, warning letters,). For FY 2008, the number of inspections is expected to be between 90,000 and 105,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31); Environmental Protection Agency, State and Local Assistance (40 CFR Part 35 Subpart A), October 12, 1982.

Regional or Local Office:

Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Al Havinga, Office Of Compliance, Office of Enforcement and Compliance Assurance (2225A), EPA, Washington, DC 20460. Telephone: (202) 564-4147. Fax: 202-564-0085. E-mail: havinga.al@epa.gov.

Web Site Address:

<http://www.epa.gov/compliance>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

State agencies participating in the Federal/State cooperative agreement program receive funds to support and strengthen their pesticide compliance programs, including pesticide compliance monitoring, inspection and enforcement activities as well as special pesticide initiatives activities. Typical program activities, include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices, inspections of pesticide products at producing facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements, inspections and investigations at ports of entry to assess compliance with import and export requirements, and initiation of appropriate enforcement action when violations are detected, including civil and criminal prosecution, stop sale orders, seizures, recalls and notices. The special pesticide initiatives are new projects, which include the development of State management plans for the protection of groundwater and endangered species from pesticides and development of worker protection programs.

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed by the Environmental Protection Agency according to the following criteria: (1) Need: The need for the development, improvement and/or maintenance of a comprehensive pesticides enforcement program within the State that includes enforcement, new initiative programs, and programs that address existing environmental problems, potential problems, and/or existing exposed populations related to the use of pesticides. (2) State Pesticide Activity: The relative amount of pesticide production, formulation and use in a State, and the potential risk to human health and the environment from pesticide misuse or abuse. (3) Long-Term Impact: The potential of the cooperative agreement to have a long-term beneficial impact on human health and the environment resulting from the comprehensive pesticide program. (4) Effectiveness of program: The past level and effectiveness of the State pesticide program. (5) level of activity as indicated by such factors as numbers of farms and numbers of applicators. (6) Magnitude of effort needed to accomplish expected outputs and products; and (7) Ability to accomplish output goals.

66.701 TOXIC SUBSTANCES COMPLIANCE MONITORING COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

OFFICE OF ENFORCEMENT AND COMPLIANCE ASSURANCE,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Toxic Substances Control Act (TSCA), as amended, Section 28, and 404(g)
Public Law 102-550, 15 U.S.C. 2601 et seq.

OBJECTIVES:

To (1) assist States, territories and possessions of the U.S. including the District of Columbia, the commonwealth of Puerto Rico, and Indian Tribes in developing and maintaining compliance monitoring programs to prevent or eliminate unreasonable risks to health or the environment associated with chemical substances or mixtures within the States, specifically asbestos, PCBs, and lead-based paint; (2) encourage regulatory activities within the States to establish their own programs for lead-based paint and asbestos (waiver programs); and (3) for asbestos waiver state programs and lead-based paint programs fund enforcement activities. EPA provides funding for cooperative compliance monitoring grants to States and Tribes under TSCA to conduct inspections to ensure compliance with the PCB regulations, the asbestos in schools requirements (inspections at charter schools, public schools, private schools and religious schools), the Model Accreditation Plan (MAP), and TSCA Asbestos Worker Protection Standards, and to conduct lead-based paint activities. Authorized States also conduct enforcement for the lead-based program. States that have obtained an EPA waiver from the asbestos regulation (Subpart E of Part 763) also have enforcement responsibilities. Funding Priority - Fiscal Year 2008: For the Fiscal Year 2008 TSCA asbestos grant programs, asbestos waiver states have higher funding priority. For the TSCA PCB, Lead and asbestos programs compliance monitoring activities are a funding priority, specifically ensuring uniform coverage of regulated community. The activities in priority order are tracking and responding to tips, complaints, and situations involving non-compliance. In addition, funding to ensure that state inspectors who conduct inspections using EPA credentials meet the minimum training standards will also be a priority. The standards are found in the September 30, 2004 "Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA." It is a priority for states to conduct inspections and respond to tips and complaints (including taking enforcement action where appropriate). Special attention should be given to tips alleging asbestos contamination at schools. Any person may file a complaint with the Administrator or with the Governor of the State in which the school building is located with respect to asbestos-containing material in a school building. Inspections should address charter schools, public

schools, private schools and religious schools. Inspections may be conducted for the Model Accreditation Plan (MAP), and the TSCA Asbestos Worker Protection Standards. In non-waiver states with grants, the regions will follow-up on violations referred by the states, and develop appropriate enforcement responses. States must forward to the regional office inspection reports from inspections conducted with EPA credentials for appropriate follow-up. Where applicable, the regions should encourage states to apply for the "waiver" program. The PCB program is part of the Agency's Persistent Bioaccumulative Toxics (PBT) program. Due to their persistence, PCBs present major environmental/public health problems, especially when they reach food or water. Priorities for PCBs should be determined based on EPA's National Program Guidance, Regional priorities, and State priorities. Also for PCBs, EPA headquarters encourages the purchase and use of tablet personal computers with EPA developed template to automate the PCB inspection process and funding for travel related with training in the use of the table and PCB template. States with authorized lead-based paint programs will focus compliance monitoring activities on individuals and firms which conduct lead abatement with the training and certification, and work practice standards requirements. States with authorized renovation notice rules will also monitor those requirements. States with

authorized lead-based paint programs will focus compliance monitoring activities on individuals and firms which conduct lead abatement with the training and certification, and work practice standards requirements. States with authorized renovation notice rules will also monitor those requirements. Funding priorities in FY 2008, assuming the same funding provided in FY 2007, will be: (1) existing programs to ensure their continuance provided they continue to meet certain standards, ensuring inspection coverage of regulated community; (2) activities leading to waiver status for asbestos programs; (3) training activities as necessary for meeting training requirements necessary for obtaining/continuing EPA credentials in those programs which require the use of EPA credentials (the PCB compliance monitoring programs and asbestos programs in non-waiver states); (4) new programs that provide inspection activity where there is an identified need; and (5) activities that further the use of new technology for conducting field inspections, e.g., use of personal computer Tablets to improve the efficiency of inspections, including travel and training. States with authorized lead-based paint programs will focus compliance monitoring activities on individuals and firms which conduct lead abatement with the training and certification, and work practice standards requirements. States with authorized renovation notice rules will also monitor those requirements. Funding priorities in FY2009: Assuming the same funding provided in FY 2008, will be: (1) existing compliance monitoring programs to ensure their continuance provided they meet certain standards, specifically ensuring uniform inspection coverage of regulated community; (2) activities leading to waiver status for asbestos programs; (3) training activities as necessary for meeting training requirements necessary for obtaining/continuing EPA credentials in those programs which require the use of EPA credentials (the PCB compliance monitoring programs and asbestos programs in non-waiver states); (4) new programs that provide inspection activity where there is an identified need; and (5) activities that further the use of new technology for conducting field inspections, e.g., use of personal computer Tablets and PCB template to improve the efficiency of inspections. States with authorized lead-based paint programs will focus compliance monitoring activities on individuals and firms which conduct lead abatement and their continuance with the training and certification, and work practice standards requirements. here is an identified need; and (6) activities that further the use of new technology for conducting field inspections, e.g., use of personal computer Tablets to improve the efficiency of inspections. States with authorized lead-based paint programs will focus compliance monitoring activities on individuals and firms which conduct lead abatement with the training and certification, and work practice standards requirements. States with authorized renovation notice rules will also monitor those requirements.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grant funds are available for costs specifically incurred by States, tribes, and tribal consortiums in developing, enhancing and maintaining comprehensive compliance monitoring/enforcement programs under TSCA, including PCBs, asbestos, and lead-based paint. Funded activities may include inspector training, inspection supplies, and laboratory costs, including personnel and equipment; reimbursement for salaries, travel, training, and per diem expenses for inspectors, analysts, and program managers; and other functions related to grant activities. For those programs where inspections are conducted using EPA credentials, prior to conducting inspections under the Grant, the State/Tribe must have an authorization agreement and the inspectors must complete the minimum inspector training, including health and safety training, and conduct inspections according to the September 2004 Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA. They must also have a signed authorization agreement with EPA.

Applicant Eligibility:

In order to be eligible to apply for STAG TSCA Compliance Monitoring Agreements State Agencies and Indian Tribes should have toxic substance compliance responsibilities and have the authority to enter into these cooperative agreements. For Lead, State Agencies, Indian Tribes, and tribal consortiums that have toxic substance compliance responsibilities, who have the authority to enter into these cooperative agreements, and who have their own lead laws in place are eligible to apply for assistance under STAG TSCA Compliance Monitoring Grants.

Beneficiary Eligibility:

States, the District of Columbia, the U.S. Virgin Islands, the Commonwealth of Puerto Rico, Indian Tribes and Intertribal Consortia, and any territory or possession of the United States.

Credentials/Documentation:

The applicant must supply evidence of legal authority to conduct toxic substance compliance program activities contemplated under the grant and a workable program officially adopted for the agency. Costs will be determined in accordance with OMB Circular No.A-87 for State and local governments.

Preapplication Coordination:

Discussions or informal meetings with Regional program office concerning program preparation are advisable. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Requests for application forms and completed applications should be submitted to the appropriate EPA Regional Office (see Appendix IV of the Catalog). Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. This program is excluded from coverage under OMB Circular No.A-110.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. States will be notified of Federal Assistance awards through the Federal Assistance Awards Data System (FAADS). "Applicants may use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA."

Deadlines:

Applications are due 60 days prior to the beginning of the budget period, normally August 1.

Range of Approval/Disapproval Time:

About 60 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Applicant must reapply.

Formula and Matching Requirements:

Under TSCA Section 28, no grant may exceed 75 percent of the establishment and operation costs of such a program during the period for which the grant is made. Thus, recipients must contribute 25% of the total costs for activities conducted under Section 28 of TSCA (i.e., the PCB and Asbestos grants). There are no cost-sharing or matching fund requirements for lead-based paint enforcement grants under Section 404(g). There is no statutory formula aside from the required 25 percent contribution of the States for the PCB and asbestos grants.

Length and Time Phasing of Assistance:

Cooperative Agreements are normally funded for a 12-month period. Payments will be on an advance letter of credit or reimbursement basis; recipients must request the initial advance payment on the form SF 270, Request for Advance or Reimbursement.

Reports:

As required in the Annual Program Guidance for inclusion in the grant award, grantees must submit mid-year and year-end evaluations. For inspections conducted using credentials issued by EPA to the state or tribal employee, the inspection report must be submitted to the regional office for review pursuant to the authorization agreements.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each cooperative agreement, must be kept available to personnel authorized to examine EPA grant accounts. All records

must be maintained for 3 years from the date of the submission of the annual financial status report or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$5,710,300; FY 08 \$5,019,000; and FY 09 \$5,099,000.

Range and Average of Financial Assistance:

\$18,900 to \$236,000/year; \$90,000/year.

PROGRAM ACCOMPLISHMENTS:

Twenty-three Asbestos, nine PCB, and thirty six Lead State cooperative agreements were awarded in FY 07 and FY 08. A similar number are expected to be awarded in FY 09. Agencies participating in the Federal enforcement cooperative agreement program receive funds to support and enhance toxic substance compliance monitoring/enforcement activities. Two additional states, Missouri and North Dakota, purchased and will use PC Tablets and the PCB Template to conduct PCB inspections. Typical program activities for asbestos include compliance inspections at facilities regulated under TSCA, and initiation of appropriate enforcement action waiver states or referral to EPA for case review and appropriate enforcement action when violations are detected. Based on regional data, 1043 compliance monitoring inspections were conducted in FY 07 and 1008 compliance monitoring inspections will be conducted by the end of FY 08 (per Regional and state commitments). These numbers include the non-waiver states. Typical program activities for PCB include compliance inspections at facilities regulated under TSCA and initiation of appropriate enforcement action or referral to EPA for case review and appropriate enforcement action when violations are detected. Based on regional data, 302 compliance monitoring inspections were conducted in FY 07, 351 compliance monitoring inspections will be conducted by the end of FY 08, and a similar number of inspections will be conducted in FY 09 if funding levels are the same. Typical program activities for Lead include compliance inspections at facilities regulated under TSCA and, initiation of appropriate enforcement action waiver states or referral to EPA for case review and appropriate enforcement action when violations are detected. Based on the data inputted into the National Compliance Data Base (NCDB) system and regional data, 171 compliance monitoring inspections were conducted in FY 07 and a similar number of compliance monitoring inspections is anticipated in FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31); Environmental Protection Agency, State and Local Assistance (40 CFR Part 35 Subpart A), October 12, 1982; "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis, Order No.: NTIS UB/031.

Regional or Local Office:

Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

For PCB and asbestos program information: Phyllis Flaherty, Chief, National Compliance Monitoring Policy Branch, Compliance Assessment and Media Programs Division, Office of Enforcement and Compliance Assurance, (2223A), U.S. EPA, 1200 Pennsylvania Ave. N.W., Washington, DC 20460; Telephone: (202) 564-4131; Fax: (202)-564-0050; E-mail: Flaherty.Phyllis@epa.gov. For Lead-based paint program information: John Mason, Sector Analysis and Implementation Branch, Compliance Assistance and Sector Programs Division, Office of Enforcement and Compliance Assurance (2224A), EPA, 1200 Pennsylvania Ave. N.W., Washington, DC 20460; Telephone: (202) 564-7037; Fax: (202) 564-0009; E-mail: Mason.John@epa.gov.

Web Site Address:

<http://www.epa.gov/compliance/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

State agencies participating in the Federal/State enforcement cooperative agreement program receive funds to support and enhance compliance monitoring (inspection) and enforcement activities, as appropriate for the specific program. Examples of program activities that may be funded include development of State enabling legislation for enforcement, inspections/investigations to evaluate compliance, follow-up inspections, initiation of appropriate enforcement action when violations are detected, equipment for inspections, development of compliance monitoring strategies/targeting approaches, development of software or e-forms capable of automating inspection data collection activities in the field, and training/workshops. In addition, EPA is encouraging states/tribes to purchase Personal Portable Computers, i.e., Tablet PCs, that are compatible for use with PCB inspection software developed by EPA for conducting inspections and writing inspection reports. The National Compliance Monitoring Branch (NCMPB) developed a PCB Inspection Template to make inspections more efficient and consistent by allowing the inspector to use handwriting recognition software to collect data electronically. The software prints EPA notification forms; collects inspection data for opening and closing conferences, records

reviews, and walkthroughs; makes available necessary reference materials; and assists in inspection report writing. For Template software and training in the use of the Tablet PCs and PCB Template, state/tribes may request the PCB software and training on its use through their regional project officer. It is anticipated software for asbestos inspections will be available in the near future. Once developed, states are encouraged to purchase Tablet PCs compatible with the software developed and to use the Tablets to conduct the inspection and prepare the inspection report.

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed by the Environmental Protection Agency according to criteria including the following: (1) Need: The need for the development, improvement, and/or maintenance of a comprehensive compliance monitoring/enforcement program within the State; (2) Level of Activity: The amount of regulated chemicals and facilities, and the potential risk to human health and the environment; (3) Long-Term Impact: The potential of the cooperative agreement to have a long-term beneficial impact on human health and the environment resulting from the compliance program; and (4) Effectiveness of program: The past level and effectiveness of the State regulatory program, number of inspections conducted by state and number of trained inspectors that have completed the minimum training set forth in the September 30, 2004 "Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA."

66.707 TSCA TITLE IV STATE LEAD GRANTS CERTIFICATION OF LEAD-BASED PAINT PROFESSIONALS

(State Lead Certification Grants)

FEDERAL AGENCY:

OFFICE OF PREVENTION, PESTICIDES AND TOXIC SUBSTANCES,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Toxic Substances Control Act, as amended, Section 404(g).

OBJECTIVES:

The goal of EPA's lead-based paint program is to eliminate childhood lead poisoning by 2010. The program is comprised of four strategies designed to achieve the following goals: (1) Establish standards to define where lead hazards are present in paint, dust and soil; (2) Give the public information about lead hazards and steps to protect themselves; (3) Ensure that information about known lead-based paint hazards is disclosed to individuals buying or renting pre-1978 housing, and that owners and occupants of pre-1978 housing are provided information on lead-based paint hazards before renovation activities take place; (4) Establish lead-safe work practice standards and require lead-based paint professionals to be trained and certified. The objective of this grant program is to assist States, Tribes, and Territories in developing and carrying out authorized programs that: (a) certify contractors engaged in lead-based paint activities and accredit lead-based paint activities training programs; (b) certify contractors engaged in renovation, repair and painting activities that disturb painted surfaces in most target housing; and/or(c) require distribution of lead-hazard information prior to renovation (pre-renovation education program. Funding Priority - Fiscal Year 2008: The priority for FY 2008 is to continue to provide assistance to authorized State, Tribal and Territorial lead-based paint activities training and certification programs and pre-renovation education programs. If appropriate, the Agency will use grant funding to provide assistance to a State, Tribal or Territorial program that is looking to develop an authorized Renovation, Repair and Painting Program. Funding Priority - Fiscal Year 09: The priority for FY 09 is to continue to provide assistance to authorized State, Tribal and Territorial lead-based paint activities training and certification programs and pre-renovation education programs. If appropriate, the Agency will use grant funding to provide assistance to a State, Tribal or Territorial program that is looking to develop an authorized Renovation, Repair and Painting Program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance may be available for activities which relate to authorization under TSCA Title IV. Supported activities must contribute or lead to the attainment or maintenance of authorization pursuant to TSCA Title IV. Funds cannot be used for general program activities not related to the attainment or maintenance of authorized programs.

Applicant Eligibility:

States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Canal Zone, American Samoa, the Northern Mariana Islands, and any other territory or possession of the United States may receive assistance under Section 404(g) of TSCA. Federally recognized Indian governing bodies are also eligible to apply for and receive assistance. For certain competitive funding opportunities under this CFDA description, the Agency may limit

eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State governments, Federally Recognized Indian governing bodies, U.S. Territories and possessions.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Discussions or informal meetings with the appropriate EPA Regional Office concerning program preparation are advisable. The standard application form as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Requests for application forms and completed applications should be submitted to the appropriate EPA Regional Office. The following forms and certifications must be included in all final grant applications: Standard Form 424 (Application for Federal Assistance); Standard Form 424A (Budget Information - Non-Construction Programs); Standard Form 424B (Assurances -- Non-Construction Programs); Certification Regarding Lobbying and SF LLL, if applicable; Certification Regarding Debarment and Suspension; EPA Form 4700-4 (Compliance Review Report Form); and Quality Assurance Narrative Statement, if applicable. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the appropriate EPA Regional Office for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Applicant must reapply for grant funding each fiscal year.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Cooperative agreements are normally funded for a 12-month period. Payments will be on an advance letter of credit or reimbursement basis.

Reports:

As requested in the annual program guidance for inclusion in the award; usually quarterly with mid-year and year-end evaluations.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to cooperative agreements, must be kept available to personnel authorized to examine EPA accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report, or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

Obligations:

FY 07 \$22,472,953; FY 08 \$13,564,000, and FY 09 est. \$13,564,000.

Range and Average of Financial Assistance:

\$16,000 to \$350,000; average of \$200,000.

PROGRAM ACCOMPLISHMENTS:

As of November 2007 39 States, 3 Tribes, Puerto Rico, and DC have received EPA approval to administer a lead-based paint activities training and certification program and 2 States have received authorization to administer and enforce a pre-renovation education program. Award data for FY 2008 is not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31); Environmental Protection Agency. "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis; Order No. NTIS UB/031.

Regional or Local Office:

Contact the Regional Lead Contact Person in the appropriate EPA regional office listed in Appendix IV of the Catalog.

Headquarters Office:

For program information: Michelle Price, National Program Chemicals Division (7404T), Office of Pollution Prevention and Toxics, Environmental Protection Agency, 1200 Pennsylvania Ave. N.W., Washington, DC 20460. Telephone: (202) 566-0744; Fax: (202) 566-0471; E-mail: price.michelle@epa.gov.

Web Site Address:

<http://www.epa.gov/lead/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Over the past twelve years, EPA has provided financial assistance to the States, Territories and Indian Tribes aimed at the development, implementation and enforcement of approved programs under the final EPA lead-based paint activities training and certification rule (40 CFR Part 745, Subpart L). The following is a list of previously funded activities: (a) core lead-based paint activities and enforcement capacity development; (b) developing the appropriate infrastructure to administer and enforce a lead-based paint activities program successfully; (c) overseeing the conduct of contractors engaged in lead-based paint activities; (d) overseeing and controlling training program activities; (e) ensuring compliance with requirements for post-abatement clearance sampling; (f) monitoring compliance with the work practice standards of regulations for the conduct of abatement; (g) implementing lead-based paint compliance assistance; (h) implementing the lead-based paint activities sampling techniques; and (i) implementing lead-based paint activities tracking tips and complaints efforts.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection for competitive awards under this CFDA description will be described in the competitive announcement.

66.708 POLLUTION PREVENTION GRANTS PROGRAM

(P2 Grant Program)

FEDERAL AGENCY:

OFFICE OF PREVENTION, PESTICIDES AND TOXIC SUBSTANCES,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Pollution Prevention Act of 1990, Section 6605, Public Law 101-508.

OBJECTIVES:

The Pollution Prevention (P2) Grant Program and the Pollution Prevention Information Network (PPIN) Grant Program are supported by the Pollution Prevention Act of 1990 to provide pollution prevention technical assistance to businesses. Under these grant programs, EPA supports projects that utilize pollution prevention techniques to reduce and/or eliminate pollution from air, water and/or land. Funding Priority - Fiscal Year 2008: To help business and industry incorporate pollution prevention techniques into their day-to-day business operations as a way to reduce and/or eliminate harmful pollutants that may enter the air, water or land. For purposes of these grant programs, pollution prevention refers to practices that reduce or eliminate the creation of pollutants through increased efficiency in the use of raw materials, energy, water or other resources, and/or protection of natural resources through conservation. EPA achieves these priorities by awarding grants and cooperative agreements to States, Federally-recognized Tribes, Inter-Tribal Consortia's and colleges and universities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds awarded under the P2 and PPIN Grant Programs support innovative activities that promote preventive approaches to environmental performance and address the transfer of potentially harmful pollutants across all environmental

media: air, land, and water. These two grant programs support the establishment and expansion of State and Tribal pollution prevention programs and address various sectors of concern such as industrial toxics, agriculture, energy, transportation, etc. Specifically, the P2 Grant Program is intended to build and support State and Tribal pollution prevention approaches and methodologies. The P2 Grant Program is focused on institutionalizing multimedia pollution prevention as an environmental management priority, establishing prevention goals, providing direct technical assistance to businesses, conducting outreach, and collecting and analyzing data. Under the PPIN Grant Program, EPA supports projects that help to coordinate work among technical assistance providers in order to minimize duplication of effort in information collection and synthesis, dissemination and training for the promotion of pollution prevention technologies. The P2 and PPIN grant programs will not support projects which focus on recycling, waste removal or any activity which alters the physical, chemical, or biological characteristics or the volume of a hazardous substance, pollutant, or contaminant through a process or activity which is not integral to and necessary for the production of a product or essential to providing a service. For more information, refer to PPA Section 6603 and 40 CFR Sections 35.343 and 35.662.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. In accordance with the Pollution Prevention Act of 1990, eligible applicants for purposes of funding under these grant programs include the 50 States, the District of Columbia, the U.S. Virgin Islands, the Commonwealth of Puerto Rico, and territory or possession of the United States, any agency or instrumentality of a State including State universities, and all Federally recognized-tribes. For convenience, the term "State" in this notice refers to all eligible applicants. Local governments, private universities, private nonprofit entities, private businesses, and individuals are not eligible.

Beneficiary Eligibility:

State applicants are encouraged to establish partnerships with business and other environmental assistance providers to deliver seamless pollution prevention assistance. The most successful applications will be those that make the most efficient use of government funding. In many cases, this has been accomplished through partnerships. As a result, those who are eligible can provide pollution prevention benefits to the following entities: any State, interstate, and local agency/organization/university, Federally Recognized Indian Tribes, public nonprofit organizations/institutions, profit organizations, businesses, private organizations, and quasi public nonprofit organizations.

Credentials/Documentation:

In cases where applicants are not clear, by given name, the applicant must provide proof that the applicant is indeed a State or interstate agency/organization.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. If the applicant does not know who their Single Point of Contact is, they may call the appropriate EPA regional coordinator listed in the Information Contacts section, or call the EPA Headquarters Grant Policy Information and Training Branch at 202-564-5325. Federally-recognized Tribal governments are not required to comply with this procedure.

Application Procedure:

Requests for information should be made to EPA Headquarters or the appropriate EPA Regional Office listed in the Appendix of the Catalog. Under the P2 grants program, completed applications must be submitted to the appropriate Regional Pollution Prevention Program office in order to be considered for an award. Under the PPIN grants program, completed applications must be submitted to EPA Headquarters in order to be considered for an award. Applications must meet the requirements of the grant regulations and will be reviewed to determine relevancy of the proposed project. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

P2 Grant program - grant proposals were due to the applicable regional office on April 16, 2008. PPIN Grant program - grant proposals were due to headquarters

on March 17, 2008.

Range of Approval/Disapproval Time:

About 120 to 150 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

The statutory formula for P2 and PPIN grants is authorized by the Pollution Prevention Act of 1990. Organizations receiving grant funds through the P2 and PPIN Grant Programs are required to match Federal funds by at least 50 percent. For example, the Federal government will provide half of the total allowable cost of the project, and the State will provide the other half. A grant request for \$100,000 would support a total allowable project cost of \$200,000, with the State providing \$100,000. State contributions may include: dollars, in kind goods and services, and/or third party contributions. With concern to P2 Grants only: If a Tribe or Intertribal Consortium is selected for an award, and the Tribe includes the funds from a performance partnership grant (PPG) awarded under 40 CFR Part 35, Subpart B, the required Tribal match for the Pollution Prevention portion of the PPG will be reduced to 5% of the allowable Pollution Prevention project cost for the first two years of the PPG grant. After the first two years in which a Tribe or Intertribal Consortium receives a Performance Partnership Grant, the Regional Administrator must determine through objective assessment whether the Tribe or the members of an Intertribal Consortium meet socioeconomic indicators that demonstrate the ability of the Tribe or the Intertribal Consortium to provide a cost share greater than five percent.

Length and Time Phasing of Assistance:

Assistance agreements are awarded for a project period not to exceed 3 years. Funds are released by a letter of credit.

Reports:

Organizations that are awarded grants will be required to submit quarterly or semi annual progress reports, during the life of the project, to the EPA Project Officer. Each report will summarize funds expended, tasks accomplished, and results achieved to date. A summary final report will also be required at the end of the project period. This final report should include a discussion of the prospects for continuation, further development of the pollution prevention effort, project evaluation and future direction.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Grants) FY 07 \$4,773,975; FY 08 \$4,863,000; and FY 09 est. \$4,940,000.

Range and Average of Financial Assistance:

Range in FY 2008: \$20,000 - \$140,000; Average in FY 2008: \$80,000.

PROGRAM ACCOMPLISHMENTS:

In FY '07, EPA awarded 46 P2 Grants totaling \$3,954,541. P2 Grants were issued to State institutions to develop projects to provide pollution prevention technical assistance. In FY '07, EPA awarded 8 PPIN Grants totaling \$819,434. PPIN Grants were issued to State institutions to strengthen the efficiency and effectiveness of retrieving electronic pollution prevention information and/or resources nationwide. In FY '08, EPA plans to award between 40 - 50 P2 Grants totaling \$4,043,000. P2 Grants are made available to State institutions and Federally-recognized tribes to develop projects to provide pollution prevention technical assistance. In FY '08, EPA plans to award 7 PPIN Grants totaling \$820,000. PPIN Grants will be issued to State institutions to strengthen the efficiency and effectiveness of retrieving electronic pollution prevention information and/or resources nationwide. EPA anticipates similar

accomplishments under both programs in FY '09.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 29, 30, 31, 32; and "EPA Assistance Administration Manual," available from the National Technical Information Services, Department of Commerce, Springfield, VA 22161 on a subscription basis, Order No.: NTIS UB 031

Regional or Local Office:

U.S. EPA Region 1 (CT, ME, MA, NH, RI, VT), 1 Congress Street, Suite 1100 - Room 2203, Mail Code: SPP, Boston, MA 02114-2023; Contact: Robert Guillemin, phone: (617) 918-1814; fax: (617) 918-1810 email: guillemin.robert@epa.gov. U.S. EPA Region 2 (NJ, NY, PR, U.S. Virgin Islands), Mail Code: SPMMB, 290 Broadway 25th Floor, New York, NY 10007-1866, Contact: Alex Peck, phone: (212) 637-3758; fax: (212) 637-3771; email: peck.alex@epa.gov. U.S. EPA Region 3 (DE, MD, PA, VA, WV, D.C.), Mail Code: 3E100, 1650 Arch Street, Philadelphia, PA 19103-2029, Contact: Evelyn Velazquez, phone: (215) 814-5412; fax: (215) 814-3274, email: velazquez.evelyn@epa.gov. U.S. EPA Region 4 (AL, FL, GA, KY, MS, NC, SC, TN), Atlanta Federal Center, 61 Forsyth Street S.W. Atlanta, GA 30303, Contact: Pamela Swingle, phone: (404) 562-8482; fax: (404) 562-9066, email: swingle.pamela@epa.gov. U.S. EPA Region (IL, IN, MI, MN, OH, WI), Mail Code, DW-8J, 77 West Jackson Blvd. Chicago, IL 60604-3590; Contacts: Phil Kaplan, phone: (312) 866-4669; fax: (312) 353-4788, email: kaplan.phil@epa.gov. U.S. EPA Region 6 (AR, LA, NM, OK, TX), Mail Code: 6EN-XP, 1445 Ross Ave. Suite 1200, Dallas, TX 75202, David Bond, phone: (214) 665-6431; fax: (214) 665-7446, email: bond.david@epa.gov. U.S. EPA Region 7 (IA, KS, MO, NE), Mail Code: ARTD/TSPP, 901 N. 5th Street, Kansas City, KS 66101, Contacts: Chilton (Chet) McLaughlin, phone: (913) 551-7666; fax: (913) 551-7065, email: mclaughlin.chilton@epa.gov. U.S. EPA Region 8 (CO, MT, ND, SD, UT, WY), Mail Code: 8P-P3T, 999 18th Street, Suite 300, Denver, CO 80202-2466, Contact: Linda Walters, phone: (303) 312-6385; fax: (303) 312-6044, email: walters.linda@epa.gov. U.S. EPA Region 9 (AZ, CA, HI, NV, and Pacific Islands and Tribal Nations subject to U.S. law), Mail Code WST-7, 75 Hawthorne Street, San Francisco, CA 94105, Contacts: Jessica Counts, phone: 415-972-3288; email: counts.jessica@epa.gov. John Katz, phone: (415) 972-3283; email: katz.john@epa.gov, fax: (415) 947-3530. U.S. EPA Region 10 (AK, ID, OR, WA), Mail Code: 01-085, 1200 Sixth Ave. Seattle, WA 98101, Contacts: Carolyn Gangmark, phone: (206) 553-4072; email: gangmark.carolyn@epa.gov. Robert Drake, phone: (206) 553-4803; email: drake.robert@epa.gov, fax: (206) 553-8338.

Headquarters Office:

Office of Pollution Prevention and Toxics, Pollution Prevention Division, Environmental Protection Agency, 1200 Pennsylvania, Ave., N.W., (MC 7409-M), Washington, DC 20460. Contact: Michele Amhaz, Telephone: (202) 564 8857; Fax: (202) 564-8899; E-mail: amhaz.michele@epa.gov.

Web Site Address:

<http://www.epa.gov/p2/pubs/grants/index.htm>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The following text provides examples of P2 Grants that were funded in FY 07: EPA funding: \$83,000. Recipient will provide technical assistance to small businesses and State agencies to reduce the use of toxic materials and conserve energy. Proposed projects include a "green" procurement program for State agencies, self-certification programs for the auto-body and stormwater sectors that include pollution prevention, a "green" certification program for the lodging industry, and a "Governor's Carbon Challenge" that provides energy conservation audits and recommendations. EPA funding: \$92,669. Recipient will incorporate sustainability into businesses by utilizing the P3 (Partners in Pollution Prevention) Program, which is an intern program where students are trained in pollution prevention and then assigned to businesses to help them identify pollution prevention opportunities. EPA funding: \$43,500. Recipient will encourage businesses to change operating procedures to ensure that polluting chemicals, including many toxic materials are reduced or eliminated from the manufacturing process. EPA funding: \$79,000. Recipient will assist businesses and municipalities reduce pollution and conserve energy by providing pollution prevention technical assistances. Proposed projects include a Green Hospitals Program, a State business environmental partnership, workshops for conditionally exempt generators, small business energy audits and recognizing area businesses that have demonstrated environmental excellence in preventing pollution. EPA funding: \$113,942. Recipient will train college students to perform pollution prevention assessments at hospitality businesses under the supervision of a State county pollution prevention office. A separator program at various clinics in Albuquerque, and Participate in national and regional P2 roundtables, organizing the 2006 International Conference on Environmental and Human Health.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.709 MULTI-MEDIA CAPACITY BUILDING GRANTS FOR STATES AND TRIBES

FEDERAL AGENCY:

OFFICE OF ENFORCEMENT AND COMPLIANCE ASSURANCE,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Air Act, Section 103; Solid Waste Disposal Act, Section 8001; Safe Drinking Water Act, Section 1442; Toxic Substances Control Act, Section 10; Marine Protection, Research and Sanctuaries Act, Section 203; National Environmental Policy Act, Section 102(2)(F) (for international awards; Indian Environmental General Assistance Program Act.

OBJECTIVES:

The general objectives of this program are to build and improve the capacity of States, multijurisdictional State organizations and federally recognized tribes by providing assistance agreements to foster environmental enforcement and compliance assurance activities and to improve compliance with environmental laws. Such capacity building efforts may include economic, social science, statistical research, development, studies, surveys, demonstrations, investigations, public education, training and fellowships to the extent authorized under the Acts listed above. These funds cannot be used for implementation of compliance monitoring and enforcement programs. Funding Priority - Fiscal Year 2008: The Office of Compliance (OC), within EPA's Office of Enforcement and Compliance Assurance (OECA) will be soliciting proposals and partial grant applications for states and tribes to strengthen their ability to address environmental and public health threats, while furthering the art and science of environmental compliance. For FY 2008, EPA is soliciting pre-proposals for the following focus areas: (1) Permit Compliance System (PCS) Modernization and ICIS-NPDES, (2) Tribal Compliance Assurance Training and Workshops, (3) Air Facility System (AFS), (4) Development of a State-to-State New Source Review and Prevention of Significant Deterioration (NSR/PSD) Mentoring Program and Permit and Enforcement Training Support, (5) State Environmental Compliance and Enforcement Training, and (6) Demonstration of Better Use of Technology in Compliance Monitoring Activities. Funding Priority - Fiscal Year 2009: The Office of Compliance (OC), within EPA's Office of Enforcement and Compliance Assurance (OECA) will be soliciting proposals and partial grant applications for states and tribes to strengthen their ability to address environmental and public health threats, while furthering the art and science of environmental compliance. In previous years, areas of emphasis have been: (1) tribal and state inspection training, (2) program planning and performance measurement, (3) outcome measurement, (4) public access, (5) data management, and (6) permit compliance system modernization.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The Office of Enforcement and Compliance Assurance identifies special focus areas each funding cycle (annually). Work under grants and agreements must address those focus areas. Previous years have included calls for proposals for innovative enforcement and compliance assurance projects including training, performance measurement, data quality, and public access to information.

Applicant Eligibility:

State agencies, U.S. territories, the District of Columbia, federally recognized Indian Tribes and Intertribal Consortia, and multi-jurisdictional State organizations and associations of environmental regulatory professionals, with enforcement and compliance assurance responsibilities or responsibilities that support enforcement and compliance assurance including but not limited to data management or research. In addition, public universities and colleges with expertise in compliance assurance and enforcement issues, including compliance assistance and training to tribal environmental professionals. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State agencies, U.S. territories, the District of Columbia, federally recognized tribes, and local governments.

Credentials/Documentation:

None.

Preapplication Coordination:

The Office of Enforcement and Compliance Assurance typically requests proposals and partial grant applications (424 and 424A) from applicants detailing the substantive information asked for in the solicitation. Initial decisions are made based on headquarters and regional review of the proposals. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished by the Federal Agency and required by the OMB Circular No. A-102 must be used for this program. Requests for application forms and completed applications should be submitted to the appropriate EPA Regional Office (See Appendix IV of the Catalog). Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. EPA reserves the right to partially fund proposals/applications by funding discrete activities, portions, or phases of proposed projects. If EPA decides to partially fund a proposal/application, it will do so in a manner that does not prejudice any applicants or affect the basis upon which the proposal/application, or portion thereof, was evaluated and selected for award, and that maintains the integrity of the competition and selection process.

Deadlines:

The solicitation (announcement of availability of funds) provides the complete schedule for application due dates.

Range of Approval/Disapproval Time:

About 90 days from the date of submission of the final proposal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Assistance is normally provided in one lump sum to the applicant for projects spanning 2 to 3 years. There is no restriction on the time permitted to spend the money awarded, although it is recommended that the money be spent within 5 years of receipt.

Reports:

Semi-annual reports must be submitted to the Project Officer for the duration of the project period. Final reports must be submitted upon completion of the project. Final reports must contain interim outcomes and/or final outcomes achieved as a result of the funding. A format for these reports is usually provided in the solicitation.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records including all documents to support entries on accounting records and to substantiate charges to each cooperative agreement must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0103-0-1-304.

Obligations:

FY 07 \$1,360,900; FY 08 \$1,209,000 and FY 09 \$1,828,000. The actual obligations towards assistance agreements for a given fiscal year may be higher due to carryover from previous fiscal years.

Range and Average of Financial Assistance:

The range for the smallest and largest awards in the past fiscal year is \$45,000 to \$250,000. The average amount of financial assistance over the past and current fiscal years is \$156,000.

PROGRAM ACCOMPLISHMENTS:

The solicitation in fiscal year 2007 resulted in 8 projects being selected for funding from a total of 17 proposals (47%). The FY2008 solicitation is currently open therefore no selections or awards have been made yet. Previous years have included calls for proposals for innovative enforcement and compliance assurance capacity building projects including inspector training, performance measurement, data quality, public access to information, data management, and performance measurement/program planning. The Office of Enforcement and Compliance Assurance has posted the previous and current grant project results and outcomes on their OECA website at <http://www.epa.gov/compliance/state/grants/stag/index.html>.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to and Local Governments (40 CFR Part 35 Subpart A), October 12, 1982.

Regional or Local Office:

See EPA Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Ginger Gottliffe, (202) 564-7072, Fax: (202) 564-0034. The Office of Compliance, Environmental Protection Agency, Ariel Rios Bldg., 1200 Pennsylvania Ave., N.W., Washington, DC 20460. E-mail: gottliffe.ginger@epa.gov.

Web Site Address:

<http://www.epa.gov/compliance/state/grants/stag/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Feasibility study on migration of state/tribal data from the legacy Permit Compliance System (PCS) system to the new modernized Integrated Compliance Information System-National Pollutant Discharge Elimination System, (ICIS-NPDES) (e.g., conversion of General Permit data currently in legacy PCS to correspond with the General Permit data requirements of the modernized system). (2) Improved tribal access to on-site compliance assistance and compliance monitoring services and/or improved tribal capacity for compliance monitoring and enforcement. (3) Establish a training program to support the creation of an inspection force for regulated underground storage tank facilities (UST), and design and implement a continuing education module for UST inspectors. (4) Development of a sector-specific model for outcome-based performance measures. (5) Provide technical assistance, including training, to build capacity to provide compliance assistance to Municipal Separate Storm Sewer Systems (MS4) program. Development of MS4 demonstration projects that show how states will build capacity to operate and maintain a high quality MS4 program.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.714 PESTICIDE ENVIRONMENTAL STEWARDSHIP REGIONAL GRANTS

(PESP Regional Grants)

FEDERAL AGENCY:

OFFICE OF PREVENTION, PESTICIDES AND TOXIC SUBSTANCES, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, Research and Monitoring, as amended.

OBJECTIVES:

To provide risk reduction from the use of pesticides in agricultural and non-agricultural settings in the United States. The objective is to provide risk reduction from the use of pesticides in agricultural and non-agricultural settings in the United States. This program is competed and the grants are awarded by EPA's Regional grant offices. Funding Priority - Fiscal Year 2008: The Pesticide Environmental Stewardship Program (PESP) Regional Grants - projects to provide risk reduction from the use of pesticides in agricultural and non-agricultural settings in the U.S. Types of activities: pesticide risk reduction, pesticide pollution prevention, Integrated Pest Management (IPM) implementation, and children's health issues related to pesticides.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants may be used to fund projects that further the goals of the Pesticide Environmental Stewardship Program (PESP). The type of projects that will be considered include research, monitoring, demonstration, and related activities. Projects must address the risk reduction goals of PESP, pesticide pollution prevention or Integrated Pest Management (IPM), or children's health issues related to pesticides. There are no discretionary funds available to fund projects.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the

Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Eligible applicants include the 50 States, the District of Columbia, the U.S. Virgin Islands, the Commonwealth of Puerto Rico, any Territory or Possession of the United States, any agency or instrumentality of a State including State Universities, and all Federally recognized Native American Tribes. Local governments, private universities, private nonprofit entities, private businesses, and individuals are not eligible. The organizations excluded from applying directly are encouraged to work with eligible applicants in developing proposals that include them as participants in the projects.

Beneficiary Eligibility:

Any State, U.S. Territory/Possession, Native American Organization, or other entities working in conjunction with these eligible applicants.

Credentials/Documentation:

Application should present a well thought out work plan with established infrastructure to execute the work plan. Key officials should have and document appropriate work experience or education to effectively carry out the proposed work plan or research. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Grants are awarded on the basis of merit. The call for proposals is announced on the Office of Pesticide Programs' website and by other established mechanisms early in the fiscal year. The proposal format is specified in the call. Proposals not using the published format will not be considered. National criteria are established in the call and the Regional Offices may add additional criteria that may tailor the project proposals to specific environmental projects within the Region. Once the grant process is announced nationally, interested applicants are urged to contact the appropriate EPA Regional Office for detailed instructions. Proposals are submitted to an EPA Regional Office. All proposals received are rated using the published criteria and compete for funding against all acceptable proposals received. Consultation or assistance in filling out a project proposal is available from any of the EPA Regional Offices either by phone, mail, fax or electronic mail. This program is excluded from coverage under E.O. 12372. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

Application Procedure:

Successful applicants will be notified and invited to complete and sign a Standard Form 424, (an application form) which will be supplied upon notification. Questions about this form will be answered by the Regional representative who contacts the applicant. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Varies from year to year. The call for proposals is published during the first or second quarter of the fiscal year and project proposals are due 60 days after publication (generally late in the second quarter). Contact your regional office for application deadlines.

Range of Approval/Disapproval Time:

About 60 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Grants are awarded for a project period not to exceed 2 years. Funds are released by a letter of credit.

Reports:

Organizations that are awarded grant/cooperative agreement funds will be required to submit at least annual progress reports, during the life of the project, to the EPA Project Officer. Each report will summarize funds expended, tasks accomplished, and results achieved to date. A summary final report will also be required at the end of the project period. This final report should include a discussion of the prospects of continuation, further development of the effort, project evaluation and future direction.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-2-1-304 - STAG.

Obligations:

(Grants) The following funding was dedicated to these projects: FY 07 \$502,600; FY 08 \$497,200; and FY 09 est. \$497,200 (based on FY 09 President's Budget)

Range and Average of Financial Assistance:

\$20,000 to \$50,500. Average: \$50,000.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2007, and 2008, an announcement was posted to include both FY's 2007 (carryover) and 2008 funding. 48 proposals were received. 22 awards were made (two per Region) to Tribal or State entities to conduct research and/or demonstration projects that promoted Integrated pest Management (IPM) or other practices that reduce the risks associated with the use of pesticide products.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 29, 30, 31, 32 and "EPA Assistance Administration Manual," available from the National Technical Information Services, Department of Commerce, Springfield, VA 22161 on a subscription basis, Order No. NTIS UB/031.

Regional or Local Office:

The applicant may contact the appropriate EPA Regional PESP Coordinator to obtain clarification and guidance. EPA Regional PESP Coordinators are: Region I (Connecticut, Massachusetts, Maine, New Hampshire, Rhode Island, Vermont), Andrea Szylvian, 1 Congress St Ste 1100 (CPT), Boston, MA 02114-2023. Telephone: (617) 918-1198, szylvian.andrea@epa.gov. Region II (New York, New Jersey, Puerto Rico, Virgin Islands), Audry Moore, Raritan Depot, 2890 Woodbridge Ave (MS-500), Edison, NJ 08837-3679. Telephone: (732) 906-6809, moore.audry@epa.gov. Region III (Delaware, Maryland, Pennsylvania, Virginia, West Virginia, District of Columbia), John Butler, 1650 Arch St (3WC32), Philadelphia, PA 19103-2029. Telephone: (215) 814-2127, butler.john@epa.gov. Region IV (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee), Patricia Livingston, 61 Forsyth St SW, Atlanta, GA 30303-8960. Telephone: (404) 562-9171, livingston.patricia@epa.gov. Region V (Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin), Heather Anhalt, 77 W Jackson Blvd (DT-8J), Chicago, IL 60604-3507. Telephone: (312) 886-3572, anhalt.heather@epa.gov. Region VI (Arkansas, Louisiana, New Mexico, Oklahoma, Texas), Eugene Thilsted, 1445 Ross Ave Ste 1200 (6PD-P), Dallas, TX 75202-2733. Telephone: (214) 665-2782, thilsted.eugene@epa.gov. Region VII (Iowa, Kansas, Missouri, Nebraska), Heather Duncan, 901 N Fifth St. (WWPDPEST), Kansas City, KS 66101. Telephone: (913) 551-7640, duncan.heather@epa.gov. Region VIII (Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming), Jaslyn Dobrahner, 999 18th St Ste 300 (8P-P3T), Denver, CO 80202-2466. Telephone: (303) 312-6252, dobrahner.jaslyn@epa.gov. Region IX (Arizona, California, Hawaii, Nevada, American Samoa, Guam), Karen Heisler, 75 Hawthorne St (CMD-1), San Francisco, CA 94105. Telephone: (415) 947-4240, heisler.karen@epa.gov. Region X (Alaska, Idaho, Oregon, Washington), Sandra Halstead, WSU-IAREC, 24106 N. Bunn Road, Prosser, WA 99350. Telephone: (509) 786-9225, halstead.sandra@epa.gov.

Headquarters Office:

For program information contact Frank Ellis, of the Pesticide Environmental Stewardship Program, Biopesticides and Pollution Prevention Division (7511-P), Office of Pesticide Programs, Environmental Protection Agency, 1200 Pennsylvania Ave. N.W., Washington, DC 20460. Telephone: (703) 308-8107 or (1-800) 972-7717, E-mail: ellis.frank@epa.gov, Fax: (703) 308-7026.

Web Site Address:

http://www.epa.gov/pest/regional_grants.htm.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Integrated Pest Management (IPM) education programs; (2) research on safer methods for controlling agricultural pests including non-chemical control methods; (3) urban IPM projects; (4) implementation of IPM in schools; and (5) pesticide risk reduction utilizing decision-support systems.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.715 LEAD EDUCATIONAL OUTREACH AND BASELINE ASSESSMENT OF TRIBAL CHILDREN'S EXPOSURE AND RISKS ASSOCIATED WITH LEAD

(Tribal Lead Grants)

FEDERAL AGENCY:

OFFICE OF PREVENTION, PESTICIDES AND TOXIC SUBSTANCES.
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Toxic Substances Control Act, as amended, Title 10.

OBJECTIVES:

The Tribal Lead Grant Program for outreach and education is supported by EPA's goal to reduce childhood lead poisoning. The grant projects should support this goal by providing lead poisoning prevention education and blood-lead screening of Tribal children. Funding Priority-Fiscal Year 2008: To support Tribal lead outreach and baseline assessment activities to identify children's risks to lead hazards.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance may be available for activities which relate to blood-lead screening of tribal children and outreach efforts to educate Indian families about the dangers of exposure to lead-based paint hazards among children.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Eligible recipients are Federally recognized Indian Tribes or Tribal consortiums (an association or partnership with one or more Federally recognized Indian Tribes) only.

Beneficiary Eligibility:

Federally recognized Indian Tribes.

Credentials/Documentation:

Tribal consortiums must submit letters of interest and support from Tribal Chair that is being represented in the proposal.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Such applications must also include standard forms as listed in the grants.gov instructions. Proposals may also be submitted to EPA via regular or overnight mail. Proposals must address all elements in the evaluation criteria listed in the Request for Proposals. Proposals submitted by mail are not required to include any standard forms as in the grants.gov submittals.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadlines will be specified in the Request for Proposals. Contact the EPA Headquarters for deadline information.

Range of Approval/Disapproval Time:

Approximately 90 days after deadline for proposal submittal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Projects are expected to be completed within 2 years from award of grant. Assistance is awarded in lump sum amounts.

Reports:

Quarterly reports are due at the end of each quarter. A financial status report is due at the end of the grant period.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to cooperative agreements, must be kept available to personnel authorized to examine EPA accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report, or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$970,668; FY 08 est \$500,000; and FY 09 est \$500,000.

Range and Average of Financial Assistance:

The overall range for outreach awards in FY 07 was between \$40,837 and \$79,896.64. The average outreach award was \$70,060.73 in FY 07. The overall range for baseline assessment awards in FY 07 was between \$50,000 and \$50,000.15. The average baseline assessment award in FY 07 was \$50,000.03.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, 40 grants were received and 11 grants were awarded. In Fiscal Year 2008 and 2009, 30 grants are anticipated to be received and 8 grants are estimated to be awarded. Anticipated accomplishments include testing Native American children and homes for lead and development and/or distribution of lead awareness materials to the Native American communities.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR part 31); Environmental Protection Agency. "EPA Assistance Administration Manual," available from the National Technical Information Service (NTIS), Springfield, VA 22161 on a subscription basis; Order No. NTIS UB/031.

Regional or Local Office:

See EPA Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

For general information: Darlene Watford, National Program Chemicals Division, Office of Pollution Prevention and Toxics (7404T), Environmental Protection Agency, Ariel Rios Bldg., 1200 Pennsylvania Ave., N.W., Washington, DC 20460. Telephone: (202) 566-0516; Fax: (202) 566-0469; E-mail: watford.darlene@epa.gov.

Web Site Address:

<http://www.epa.gov/lead/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded projects include the development of a customized lead poisoning prevention brochure and poster for Native Americans, development of a series of lead poisoning prevention coloring books sheets with Native American themes, setting up a blood-lead testing booth during a Little League baseball sign-up session, arranging in-home lead inspections, and blood-lead testing at a Head Start facility.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.716 RESEARCH, DEVELOPMENT, MONITORING, PUBLIC EDUCATION, TRAINING, DEMONSTRATIONS, AND STUDIES

FEDERAL AGENCY:

OFFICE OF PREVENTION, PESTICIDES AND TOXIC SUBSTANCES,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), Section 20; Toxic Substances Control Act, Section 10, as supplemented by Public Law 106-74; Public Law 105-174, which granted EPA permanent authority to use STAG funds to award grants (other than PPGs) to entities eligible to receive grants under the Agency's organic statutes; and NEPA Section 102(2)(F).

OBJECTIVES:

Grants are awarded to support Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies assistance relating to the protection of public health and the environment from pesticides and potential risk from toxic chemicals. Funding Priority - Fiscal Year 2008: Topics include, but are not limited to, promotion of pollution prevention and the public's right to know about chemical risks, evaluation of pesticides and chemicals to safeguard all Americans, including children and other vulnerable members of the population, as well as, our most threatened species and ecosystems from environmental harm and emerging issues like biotechnology, endocrine disruptors and lead poisoning prevention. Projects for toxic chemical risk reduction include existing chemical data development and dissemination; risk assessment, peer consultation, and data utilization, training in use of EPA chemical risk screening tool; promotion and training in development use of safer chemicals, processes and technologies; reduction in use of toxic chemicals; targeted education and outreach aimed at reducing childhood lead poisoning. OPP: projects for safer use of pesticides, including worker protection, certification and training of pesticide applicators, protection of endangered species, tribal pesticide programs, integrated pest management; environmental stewardship, Pesticide Registration Renewal Act (PRIA 2) Partnership grants; and Pesticide Regulatory Education Program (PREP). Types of activities: developed and implemented programs to reduce pesticide risks; developed pesticide drift mitigation education program; analysis and development of pilot pesticide safety programs and materials; Water Quality and Pesticide Management Planning for Federal Recognized Tribes; Tribal Pesticide Program Council (TPPC); national Train-the-Trainer program to educate farmworkers on how to reduce risks from pesticides; develop and distribute innovative materials for integrating the National pesticide Competency for Medical & Nursing Education into curricular of medical and nursing programs; continue the National Pesticide Information Center (NPIC); and continue the National Pesticide Medical Monitoring Program (NPMMP) - provide clinical toxicology information and laboratory service; PRIA partnership grants; and PREP education program for states and tribes.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development.

Applicant Eligibility:

Assistance under this program is generally available to States, U.S. territories or possession, federally recognized Indian tribal governments and Native American Organizations, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, local governments, and individuals and international entities. For profit organizations are not eligible. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. EPA may also limit eligibility for certain competitive funding opportunities under this CFDA to a subset of eligible applicants and/or to tribes, Alaska native villages, and intertribal consortia located in the Region where a project is going to be performed. For Tribal funding opportunities to be funded with the State and Tribal Grant (STAG) appropriation, eligibility will be limited to Tribes, Alaska native villages, and intertribal consortia to support the Office of Pesticide Programs Tribal Program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Also, for certain competitive opportunities offices may limit eligibility to tribes in a region.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, federally recognized Indian tribal governments and Native American Organizations, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, general public, and other Non-Governmental Organizations.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87, 2 CFR Part 225 for State and local governments and Indian Tribes, OMB Circular No. A-21, 2 CFR Part 220 for educational institutions, and OMB Circular No. A-122, 2 CFR Part 230 for nonprofit institutions. Applicants may be requested to demonstrate that they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may

ask applicants or principle investigators to provide curriculum vitae and relevant publications. Non-profits required to demonstrate nonprofit status.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting research, development, monitoring, public education, training, demonstrations, and studies based on competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of success of proposed projects. When making competitive awards, EPA will specify the nature of the pre-application assistance, if any, that will be available to applicants in the Requests for Initial Proposals or Requests for Applications. For this reason, we recommend that potential applicants discuss proposed projects with or submit pre-applications to staff in the appropriate EPA technical program offices. See Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Application kits should be requested from and submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, 1200 Pennsylvania Avenue, N. W., Washington, DC 20460 or the appropriate EPA Regional Office identified in Appendix IV of the Catalog. Detailed information and assistance, including an application kit, required forms, and a check list may also be found at: <http://www.epa.gov/ogd/AppKit/>. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in Request for Applications or Request for Initial Proposals. Contact your HQ or Regional Office for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for research, development, monitoring, public education, training, demonstrations and studies. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds, and the Agency policy on the competitive grant process.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Funds are released by a letter of credit.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Parts 30 and 31 are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; 68-0103-2-1-304.

Obligations:

OPP - FY 07 \$3,817,100; FY 08 est. \$5,249,300; and FY 09 est. \$5,249,300. OPP's obligations for each FY includes, Regional Tribal STAG funds. OPPT - FY 07 \$1,021,727; FY 08 \$300,000; FY 09 est. \$300,000.

Range and Average of Financial Assistance:

\$1,000 to \$1,500,000. Average: \$500,000.

PROGRAM ACCOMPLISHMENTS:

The Office of Pesticide Programs (OPP) made 6 awards in 2007 and 11 awards in 2008 to conduct projects to protect human health and the environment. The Office of Pollution Prevention and Toxics (OPPT) made one award in FY 2007 and six awards in FY 2008 for P2 information network, Pollution Prevention roundtable, mercury in hospital and lead-based paint programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Research, development, monitoring, public education, training, demonstrations and studies grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for non-profit institutions.

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. For program information contact: Office of Prevention, Pesticides, and Toxic Substances Immediate Office Lenee Morina-Dickerson (202) 564-5304, morina.lenee@epa.gov, Fax: (202) 564-0550; Office of Pollution Prevention and Toxics - Sharon Clark (202) 564- 3810, clark.sharon@epa.gov, Fax: (202) 564-0575, Darlene Williams (202) 564-7031, williams.darlene@epa.gov, Fax: (202) 564-8251; Office of Pesticide Programs - Cathy Stewart (703) 305-7711, Stewart.Cathy@EPA.gov, Fax: (702) 305-5512; and Office of Science Coordination Policy - Inza Graves (202) 564-8430, Graves.Inza@EPA.gov, Fax: (202) 564-8452.

Web Site Address:

<http://www.epa.gov/oppts.html>

RELATED PROGRAMS:

66.034, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.424, Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act; 66.436, Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.510, Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development; 66.610, Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator.

EXAMPLES OF FUNDED PROJECTS:

The Office of Pesticide Programs funded the following projects: developed and implemented programs to reduce pesticide risks; developed an education/outreach program targeted at aerial applicators to reduce pesticide drift incidents; commercialization of pesticides reducing biotechnologies; analysis and development of pilot pesticide safety programs and materials; Developed an integrative modeling framework for use in assessing the benefits and costs of pesticide use; Water Quality and Pesticide Management Planning for Federally Recognized Tribes; Formed a council that works to strengthen tribal pesticide programs; Provide a toll-free number that serves the US, Puerto Rico, and the

Virgin Islands seeking impartial information about pesticides; Provide clinical toxicology information and laboratory services regarding pesticides; Pesticide Regulatory Education Program (PREP) Education Program for States and Tribes; and Pesticide Registration Renewal Act (PRIA 2) Partnership Grants. The Office of Pollution Prevention and Toxics funded the following: Increased scientific and technical support for Children's Environmental Health Network; researched and developed methods of microbial identification; education materials development and outreach for green engineering in chemical curriculum; development of computational methods for visualization of taxonomic relationships; and public outreach and education for green chemistry and the evaluation of chemicals to safeguard vulnerable populations.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.717 SOURCE REDUCTION ASSISTANCE

FEDERAL AGENCY:

OFFICE OF PREVENTION, PESTICIDES AND TOXIC SUBSTANCES,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Air Act, Section 103 (b), as amended; Clean Water Act, Section 104 (b) (3), as amended; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, as amended; Safe Drinking Water Act, Section 1442 (b) (3) (c), as amended; Solid Waste Disposal Act, Section 8001(a), as amended; Toxic Substances Control Act, Section 10, as amended; Executive Order 13148 - Greening the Government Through Leadership in Environmental Management, April 21, 2000; Executive Order 13101 - Greening the Government Through Waste Prevention, Recycling, and Federal Acquisition, September 14, 1998; and Executive Order 12873 Federal Acquisition, Recycling and Waste Prevention, October 20, 1993.

OBJECTIVES:

The goal of the Source Reduction Assistance (SRA) Grant Program is to provide grants that will support pollution prevention/source reduction and/or resource conservation activities. For purposes of this grant program, pollution prevention is defined as any practice which reduces or eliminates the creation of pollutants through: increased efficiency in the use of raw materials, energy, water, or other resources, or protection of natural resources by conservation activities. EPA is interested in funding projects which assist in reducing hazardous substances, pollutants, or contaminants entering waste streams or otherwise released into the environment (including fugitive emissions) prior to recycling, treatment, or disposal. Grant activities may involve, but are not limited to the following: equipment or technology modifications, process or procedure modifications, reformulation or redesign of products, substitution of raw materials, and improvements in housekeeping, maintenance, training, or inventory control. Funding Priority - Fiscal Year 2008: To support source reduction, pollution prevention and resource conservation activities that comply with the statutory authorities of the Clean Air Act, Section 103(b), as amended; Clean Water Act, Section 104(b)(3), as amended; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, as amended; Safe Drinking Water Act, Section 1442 (a)(1) and (c), as amended; Solid Waste Disposal Act, Section 8001(a), as amended; and Toxic Substances Control Act, Section 10, as amended. Please Note: Projects must consist of activities within the statutory terms of these EPA grant authorities. The statutes authorize grants for the following activities: "research, investigations, experiments, training, demonstrations of new or innovative techniques, surveys and studies." These activities relate generally to the gathering or transferring of information or advancing awareness. Applicants should emphasize in their grant proposal's this "learning" concept, as opposed to "fixing" an environmental problem using a well-established method. For example, a proposal to install a more energy efficient heating system in the applicant's facility in order to conserve energy, would not, in itself fall within research, studies, demonstrations, etc. All activities in the proposal must be eligible under one or more of the statutory authorities. erring of information or advancing awareness. Applicants should emphasize in their grant proposal's this "learning" concept, as opposed to "fixing" an environmental problem using a well-established method. For example, a proposal to install a more energy efficient heating system in the applicant's facility in order to conserve energy, would not, in itself fall within research, studies, demonstrations, etc. All activities in the proposal must be eligible under one or more of the statutory authorities. The term pollution prevention/source reduction, for purposes of the SRA grant program, refers to any practice that reduces the amount of any hazardous substance, pollutant, or contaminant entering any waste stream or otherwise released into the environment (including fugitive emissions) prior to recycling, treatment, or disposal; and reduces the hazards to public health and the environment associated with the release of such substances, pollutants, or contaminants. The term "resource conservation," for purposes of the Source Reduction Assistance notice, is defined as preventing pollution, promoting reuse of materials, reducing the use of toxic chemicals, and/or conserving energy and materials.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

EPA is interested in supporting pollution prevention, source reduction and resource conservation projects that will provide an overall benefit to the environment by preventing pollutants at the source. Source reduction projects can include but are not limited to: 1) improving facility and institutional operations that reduce pollutant use and exposure, 2) reformulating and procuring products to reduce toxic constituents, 3) providing direct technical assistance to businesses and other organizations, 4) encouraging green product design and manufacturing, 5) conducting outreach, 6) collecting and analyzing data, and 7) integrating pollution prevention concepts into state, regional and tribal environmental multimedia programs. Grant activities must be consistent with the grant guidelines of the statutory authorities listed for this program. The statutory authorities are listed in this CFDA announcement and in the SRA grant solicitation. The statutes authorize grants for the following activities: "research, investigations, experiments, training, demonstrations of new or innovative techniques, surveys and studies." These activities relate generally to the gathering or transferring of information or advancing the state of knowledge. Grant proposals should emphasize this "learning" concept, as opposed to "fixing" an environmental problem via a well-established method. For example, a proposal to install a more energy efficient heating system in the applicant's facility in order to conserve energy, would not, in itself fall within research, studies, demonstrations, etc. All activities in the proposal must be eligible under one or more of the statutory authorities listed.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Assistance under this program is available to the fifty States, the District of Columbia, the United States Virgin Islands, the Commonwealth of Puerto Rico, any territory or possession of the United States, local governments, city or township governments, independent school district governments, state controlled institutions of higher education, Federally-recognized tribal governments, non-profits other than institutions of higher education private institutions of higher education, and community-based grassroots organizations. Nonprofit organizations must be able to demonstrate that they are eligible through documentation of nonprofit status provided the U.S. Internal Revenue Service or their state of incorporation. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply.

Beneficiary Eligibility:

Any State, Federally-recognized Tribal government, Intertribal Consortia, college/university, non-profit organization, local government or independent school district.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A 87 for State and local governments and federally recognized tribal governments, OMB Circular No. A 21 for educational institutions, OMB Circular No. A 122 for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Standard grant forms are required, as provided by the EPA and must be used for this program. Forms may be obtained via the Internet by visiting: <http://www.epa.gov/ogd/AppKit/application.htm>. Please consult the Source Reduction Assistance program notice, posted to EPA's Pollution Prevention Grant web site at <http://www.epa.gov/oppt/p2home/grants/index.htm> for more information. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. If the applicant does not know who their Single Point of Contact is, they should contact the appropriate EPA Regional Pollution Prevention Program office listed in the Information Contacts section, or call the EPA Headquarters Grant Policy Information and Training Branch at 202-564-5325. Federally-recognized Tribal governments are not required to comply with this procedure.

Application Procedure:

This CFDA program description is designed to benefit national and regional source reduction, pollution prevention, and resource conservation activities. Grant proposals must meet the requirements outlined in the national and regional Source Reduction Assistance solicitation notices. EPA will conduct the grant

competition by posting a Request for Proposals (RFP) on-line. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Source Reduction Assistance grants and cooperative agreements are subject to the availability of funds. EPA will issue awards contingent upon the criteria specified in this CFDA program description and within the corresponding solicitations. EPA reserves the right to reject any or all proposals and issue no awards. Procedures for awards are outlined in the General Grant Regulation 40 CFR Part 30.

Deadlines:

Grant proposals are due to the applicable regional office by June 9, 2008.

Headquarters will not conduct a grant competition in FY '08.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

This program does not have a statutory formula. However, EPA requires a minimum 5% match of the total allowable project cost. For example, the Federal government will provide 95% of the total allowable cost of the project and the recipient will provide the remaining 5%. The match may be issued in the form of cash and/or in-kind contributions, e.g., donated services, charges for real property and equipment or the value of goods and services directly benefiting the EPA funded project.

Length and Time Phasing of Assistance:

Assistance agreements are awarded for a project period not to exceed 2 years. Funds are released by a letter of credit.

Reports:

Uniform reporting requirements for institutions of higher education and other non-profit organizations. The recipient and the Grant Project Officer will develop a process for jointly evaluating and reporting progress and accomplishments under the work plan. The work plans and reporting must be consistent with the requirements of 40 CFR Part 30, Subpart C. A description of the evaluation process and a reporting schedule must be included in the work plan (see sections 30.51 through 30.53). The reporting schedule requires the recipient to submit, at a minimum, annual progress reports, during the life of the project, to the Grant Project Officer. Recipients should provide discussion of accomplishments as measured against work plan commitments; a discussion of cumulative effectiveness of the work performed under all work plan components; a discussion of existing and potential problem areas; and suggestions for improvement, including where feasible, schedules for making improvements. If evaluation reveals the recipient has not made sufficient progress under the work plan, the Grant Project Officer and the recipient will negotiate a resolution. The recipient may request a review of the Grant Project Officer's decision under the dispute resolution process under (40 CFR 30.63). Uniform reporting requirement for state, local and tribal governments. The recipient and the Grant Project Officer will develop a process for jointly evaluating and reporting progress and accomplishments under the work plan. The work plans and reporting must be consistent with the requirements of 40 CFR 31, subpart C. A description of the evaluation process and a reporting schedule must be included in the work plan (see sections 31.40 through 30.42). The reporting schedule requires the recipient to submit, at a minimum, annual progress reports, during the life of the project, to the Grant Project Officer. Recipients should provide discussion of accomplishments as measured against work plan commitments; a discussion of cumulative effectiveness of the work performed under all work plan components; a discussion of existing and potential problem areas; and suggestions for improvement, including where feasible, schedules for making improvements. If evaluation reveals the recipient has not made sufficient progress under the work plan, the Grant Project Officer and the recipient will negotiate a resolution that addresses the issues. If issues cannot be resolved through negotiation, the Grant Project Officer may take appropriate action (see sections 31.43 through 31.44.) The recipient may request a review of the Grant Project Officer's decision under the dispute resolution process under 40 CFR, Part 31, subpart F.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government.

Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records to substantiate charges to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance agreement accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304 and 68-0103-0-1-304.

Obligations:

FY 07 \$1,249,076; FY 08 est. \$1,600,000; and FY 09: est. \$1,600,000. The FY '07 grant totals provided above represent those of seven of EPA's ten Regional P2 Program Offices (i.e., Regions 1, 2, 3, 5, 6, 7, and 8). The FY 08 grant total provided above represents an estimate of the total anticipated funding available. As of this posting, EPA has not yet issued award funding.

Range and Average of Financial Assistance:

Range - FY 07: \$10,000 - \$74,000. Average - FY 07: \$60,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, EPA's Regional P2 Program offices received approximately 80 proposals and issued approximately 31 awards to support pollution prevention/source reduction/resource conservation activities. EPA anticipates similar results in FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 29, 30, 31, 32; and "EPA Assistance Administration Manual," are available from the National Technical Information Services, Department of Commerce, Springfield, VA 22161 on a subscription basis, Order No.: NTIS UB/031.

Regional or Local Office:

U.S. EPA Region 1 (CT, ME, MA, NH, RI, VT), 1 Congress Street, Suite 1100 - Room 2203, Mail Code: SPP, Boston, MA 02114-2023; Contact: Robert Guillemain, phone: (617) 918-1814; fax: (617) 918-1810 email: guillemain.robert@epa.gov. U.S. EPA Region 2 (NJ, NY, PR, U.S. Virgin Islands), Mail Code: SPMMB, 290 Broadway 25th Floor, New York, NY 10007-1866, Contact: Alex Peck, phone: (212) 637-3758; fax: (212) 637-3771; email: peck.alex@epa.gov. U.S. EPA Region 3 (DE, MD, PA, VA, WV, D.C.), Mail Code: 3E100, 1650 Arch Street, Philadelphia, PA 19103-2029, Contact: Evelyn Velazquez, phone: (215) 814-5412; fax: (215) 814-3274, email: velazquez.evelyn@epa.gov. U.S. EPA Region 4 (AL, FL, GA, KY, MS, NC, SC, TN), Atlanta Federal Center, 61 Forsyth Street S.W. Atlanta, GA 30303, Contact: Pamela Swingle, phone: (404) 562-8482; fax: (404) 562-9066, email: swingle.pamela@epa.gov. U.S. EPA Region (IL, IN, MI, MN, OH, WI), Mail Code, DW-8J, 77 West Jackson Blvd. Chicago, IL 60604-3590; Contacts: Phil Kaplan, phone: (312) 866-4669; fax: (312) 353-4788, email: kaplan.phil@epa.gov. U.S. EPA Region 6 (AR, LA, NM, OK, TX), Mail Code: 6EN-XP, 1445 Ross Ave. Suite 1200, Dallas, TX 75202, David Bond, phone: (214) 665-6431; fax: (214) 665-7446, email: bond.david@epa.gov. U.S. EPA Region 7 (IA, KS, MO, NE), Mail Code: ARTD/TSPP, 901 N. 5th Street, Kansas City, KS 66101, Contacts: Chilton (Chet) McLaughlin, phone: (913) 551-7666; fax: (913) 551-7065, email: mclaughlin.chilton@epa.gov. U.S. EPA Region 8 (CO, MT, ND, SD, UT, WY), Mail Code: 8P-P3T, 999 18th Street, Suite 300, Denver, CO 80202-2466, Contact: Linda Walters, phone: (303) 312-6385; fax: (303) 312-6044, email: walters.linda@epa.gov. U.S. EPA Region 9 (AZ, CA, HI, NV, and Pacific Islands and Tribal Nations subject to U.S. law), Mail Code WST-7, 75 Hawthorne Street, San Francisco, CA 94105, Contacts: Jessica Counts, phone: 415-972-3288; email: counts.jessica@epa.gov. John Katz, phone: (415) 972-3283; email: katz.john@epa.gov, fax: (415) 947-3530. U.S. EPA Region 10 (AK, ID, OR, WA), Mail Code: 01-085, 1200 Sixth Ave., Seattle, WA 98101, Contacts: Carolyn Gangmark, phone: (206) 553-4072; email: gangmark.carolyn@epa.gov. Robert Drake, phone: (206) 553-4803; email: drake.robert@epa.gov, fax: (206) 553-8338.

Headquarters Office:

Michele Amhaz, Office of Pollution Prevention and Toxics, Pollution Prevention Division, Environmental Protection Agency, 1200 Pennsylvania Ave., N.W., (7409-M), Washington, DC 20460. Telephone: (202) 564-8857; Fax: (202) 564-8899; E-mail: amhaz.michele@epa.gov.

Web Site Address:

<http://www.epa.gov/oppt/p2home/grants/index.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The following text provides examples of SRA awards funded in FY '07: EPA

Funding: \$40,000. The recipient will provide technical assistance on less-toxic cleaning practices to K-12 schools in Connecticut, Maine, Massachusetts, New Hampshire and Vermont. EPA Funding: \$84,860. The recipient will work to increase methane recovery from natural gas production and reduce fugitive emissions in the states of West Virginia and Virginia. EPA Funding: \$42,553. The recipient will develop a P2 student internship program to assist businesses implement P2 projects. EPA Funding: \$39,804. The recipient will document, calculate and publicly recognize the environmental benefits of reducing the use of fertilizers and pesticides. EPA Funding: \$51,782. The recipient will provide P2 technical assistance to manufacturers in Minnesota. EPA Funding: \$30,000. The recipient will provide technical assistance to high schools to produce biodiesel to be used in school vehicles.

CRITERIA FOR SELECTING PROPOSALS:

The exact evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. rest to states, regions and/or Federally-recognized Tribal governments. Proposals should implement or support a source reduction/pollution prevention project that will provide an overall benefit to the environment by preventing the generation of pollutants at the source, as well as, provide a method to characterize the actual or potential environmental effects of the activity. In addition, state, regional and tribal proposals must demonstrate that projects will promote pollution prevention by addressing an environmental medium (i.e., air, water, and land) and promote sustainable business/facility/institutional practices by addressing state, regional, and/or tribal environmental concerns. Please review the Source Reduction Assistance solicitation notice to EPA's Pollution Prevention Grant web page at <http://www.epa.gov/oppt/p2home/grants/index.htm> for further instruction.

66.718 NATIONAL COMMUNITY-BASED LEAD OUTREACH AND TRAINING GRANT PROGRAM

FEDERAL AGENCY:

OFFICE OF PREVENTION, PESTICIDES, AND TOXIC SUBSTANCES,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

The statutory authority for this action is Section 10 of the Toxic Substances Control Act (TSCA), as supplemented by Public Law No. 106-74 and Public Law 105-174 which granted EPA permanent authority to use STAG funds to award grants (other than PPGs) to entities eligible to receive grants under the Agency's organic statutes.

OBJECTIVES:

This lead outreach grant program is supported by EPA's goal to reduce childhood lead poisoning. The projects support this goal by providing lead poisoning outreach to low income communities. Funding Priority-Fiscal Year 2008: To support lead outreach activities to identify children's risks to lead hazards.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance may be available for activities which relate to outreach efforts to educate communities about the dangers of children's exposure to lead hazards.

Applicant Eligibility:

Eligible recipients include national organizations, local governments, community-based organizations, nonprofit organizations, faith-based organizations, community action agencies, institutions of higher learning, school groups, trainers, and environmental consultants. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Local, Public Nonprofit Institution/Organization, Health Professional, Education Professional, Builder/Contractor/Developer, Homeowner, Land/Property Owner, Preschool, Low Income.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. The following forms must be provided if proposal is submitted via [grants.gov](http://www.grants.gov): Standard Form 424 (Application for Federal Assistance); Standard Form 424A (Budget Information - Non-Construction Programs). No forms are required to be provided if submitting via mail to the EPA Headquarters contact.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadline specified in the Request for Proposals. Contact EPA Headquarters for deadline information.

Range of Approval/Disapproval Time:

About 90 days after the deadline for the proposal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Projects are expected to be completed within 1 year. Funds are drawn down.

Reports:

Quarterly reports are due at the end of each quarter. Financial status reports are due at the end of the grant period.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to cooperative agreements, must be kept available to personnel authorized to examine EPA accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report, or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$3,100,000; FY 08 est. \$2,000,000; and FY 09 est. \$2,000,000.

Range and Average of Financial Assistance:

For FY 07: Lowest Award: \$76,925; Highest Award: \$250,000; Average Award: \$207,802. Applicants may be awarded up to \$250,000.

PROGRAM ACCOMPLISHMENTS:

A total of 80 proposals were received and 15 awards were granted to the top 15 applicants in fiscal year 2007. For Fiscal Years 2008 and 2009, it is anticipated that \$2 million will be obligated for this program each year. A total of 50-80 proposals are expected to be received and 6-8 grants are expected to be awarded in Fiscal Years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31); Environmental Protection Agency. "EPA Assistance Administration Manual," available from the National Technical Information Service (NTIS), Springfield, VA 22161 on a subscription basis; Order No. NTIS UB/031.

Regional or Local Office:

See EPA Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Darlene Watford, National Program Chemical Division (7404T), Office of Pollution Prevention and Toxics (OPPT), Environmental Protection Agency, 1200 Pennsylvania Ave., N.W., Washington, DC 20460-0001; Telephone: (202) 566-0516; Fax: (202) 566-0469; E-mail: watford.darlene@epa.gov.

Web Site Address:

<http://www.epa.gov/lead>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded grants: (1) Lead outreach and training will be delivered to an economically disadvantaged urban neighborhood that has been heavily impacted by lead poisoning. Formal training and seminars, door-to-door home visits, and community events will be provided. (2) Four low income communities will receive lead awareness, training and infrastructure

development. Lead safe work practices will be taught to painters and remodelers. Lead awareness will be offered to tenants, homeowners, and service delivery networks. (3) Three counties will provide outreach materials to educate rental property owners, public health and code officials, realtors, landlords, and others in low income communities. Training will be offered to rental property owners, public health and code officials, realtors, landlords on lead-safe work practices. An extensive curriculum on training as well as a model guidebook for local housing lead poisoning prevention ordinances will be developed. (4) Specialized outreach will be conducted in border communities. Lead safe work practices training will be provided to workers. Realtors will receive outreach on lead-related laws and regulations and local requirements. Specialized training will be provided to local youth and paint apprenticeship programs. (5) Approximately 280 contractors will attend a one-day joint EPA/HUD Lead Safety for Remodeling, Repair and Painting course in rural communities. Media outreach on lead poisoning and lead-safe work practices will be provided to more than 4000 consumers and do-it-yourselfers in rural communities at risk for lead poisoning. More than 50 extension service agents and program specialists will be trained at national conferences.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.801 HAZARDOUS WASTE MANAGEMENT STATE PROGRAM SUPPORT

FEDERAL AGENCY:

OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Solid Waste Disposal Act, Sections 3011 (a) and (c); Public Law 94-580; 42 U.S.C. 6901 et seq.

OBJECTIVES:

To assist State governments in the development and implementation of an authorized hazardous waste management program for the purpose of controlling the generation, transportation, treatment, storage and disposal of hazardous wastes. Funding Priority - Fiscal Year 2008: Assistance to State governments in the development and implementation of an authorized hazardous waste management program for the purpose of controlling the generation, transportation, treatment, storage and disposal of hazardous waste. Funding Priority - Fiscal Year 2009: Assistance to State governments in the development and implementation of an authorized hazardous waste management program for the purpose of controlling the generation, transportation, treatment, storage and disposal of hazardous waste.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Under Section 3011, funds may be used for development and implementation of authorized State hazardous waste programs.

Applicant Eligibility:

State agencies responsible for hazardous waste management within the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and interstate agencies established by the appropriate states and approved by the EPA Administrator under Section 1005 of the Solid Waste Disposal Act are eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to a particular subset of eligible applicants consistent with the Agency's competition policy.

Beneficiary Eligibility:

State agencies responsible for hazardous waste management within the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and interstate agencies established by the appropriate states and approved by the EPA Administrator under Section 1005 of the Solid Waste Disposal Act.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A 87 for State and local governments. State work plan is to be sent to the appropriate EPA Regional Office by the date 60 days before the beginning of the budget period (typically by August 1 of each year, if the budget period is the Federal fiscal year).

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A 102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Requests for application forms and completed applications must be submitted to

the appropriate EPA Regional Grants Administration Office. The hazardous waste management staff of the appropriate EPA Regional Office (listed in the address appendix of the Catalog) is available to assist in preparation of the application. This program is excluded from coverage under OMB Circular No. A 110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA reviews each application. They shall be subjected to administrative evaluation to determine adequacy of application in relation to grant regulations and to technical and program evaluation to determine the merit and relevancy of the project or program. For competitive awards, EPA will review applications, proposals or submissions in accordance with the terms, conditions, and criteria in the solicitation/announcement of the competitive funding opportunity. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For States, application with approvable work program should be submitted by the date 60 days before the beginning of the budget period (usually by August 1) for grants to be awarded the following budget year. (Applicant may choose budget period, e.g., State or Federal fiscal year, subject to Regional Administrator's approval).

Range of Approval/Disapproval Time:

For applicants under Section 3011, 60 days.

Appeals:

As described in 40 CFR Part 31, Subpart F. Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

For applicants under Section 3011, grants and cooperative agreements are renewed annually, provided all requirements are met.

Formula and Matching Requirements:

This program operates under a formula. Statistical factors used for allocation include: (1) State population, as determined by the most recent Census; (2) number of hazardous waste management facilities in States, based on studies conducted by EPA, Office Of Solid Waste; (3) number of Large Quantity Generators (LQGs), based on studies conducted by EPA, Office of Solid Waste; and (4) the number of facilities requiring corrective action, based on studies conducted by EPA, Office of Solid Waste. By law, all States and trust territories are eligible for funding. The formula is based on each State's population (17 percent); (LQG) number of facilities proceeding amount of waste produced (16 percent); number of hazardous waste management facilities (52 percent); and the number of facilities requiring corrective action (15 percent). Matching Requirement: Maximum Federal share of approved costs is 75 percent; State must provide at least 25 percent of approved costs. (Regional Administrators will determine each State allotment.)

Length and Time Phasing of Assistance:

Grants are normally funded on a 12 month basis (Federal or State fiscal year). The total project period varies according to program requirements. Assistance is awarded by advance payment method.

Reports:

Interim and final progress reports, expenditures and equipment reports.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial reports, including all documents to support entries on the accounting records and to substantiate charges to each grant or cooperative agreement must be kept available to personnel authorized to examine EPA grant accounts in an institution. All records must be maintained for 3 years from the date of the submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$101,944,000; FY 08 \$101,429,000; and FY 09 \$103,036,000.

Range and Average of Financial Assistance:

Most fiscal years range \$250,000 to \$8,500,000; average: \$1,960,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal years 2007 and 2008, States continued to develop and implement programs providing cradle to grave control of hazardous wastes (under Section 3011).

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency, 40 CFR Part 31; State and Local Assistance, EPA, 40 CFR Part 35: "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis.

Regional or Local Office:

State agencies are encouraged to communicate with the appropriate EPA Regional Administrator listed in Appendix IV of the Catalog.

Headquarters Office:

For information concerning preapplication coordination and application procedures, contact Grants and Interagency Agreements Management Division (3903R), Environmental Protection Agency, Washington, DC 20460. For State program information on Section 3011 of RCRA, contact Wayne Roepe, Office of Solid Waste, (5303W), EPA, Washington, DC 20460. Telephone: (703) 308-8630, Fax: (703) 308-8609, E-mail: roepe.wayne@epa.gov.

Web Site Address:

<http://www.epa.gov/epaoswer/osw/>.

RELATED PROGRAMS:

66.500, Environmental Protection Consolidated Research; 66.600, Environmental Protection Consolidated Grants for the Insular Areas - Program Support.

EXAMPLES OF FUNDED PROJECTS:

State projects to develop a hazardous waste program designed to meet the substantive and procedural requirements of an "authorized" program. (Section 3006). Specific types of activities conducted by states include (1) issuance of hazardous waste management permits, (2) oversight of corrective action at hazardous waste management facilities, (3) inspections of hazardous waste management facilities, (4) inspections of hazardous waste generators, and (5) waste minimization activities.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.802 SUPERFUND STATE, POLITICAL SUBDIVISION, AND INDIAN TRIBE SITE-SPECIFIC COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

OFFICE OF SUPERFUND REMEDIATION AND TECHNOLOGY INNOVATION, OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Section 104(d), as amended; 42 U.S.C. 9604(d) et seq.

OBJECTIVES:

To (1) conduct site characterization activities at potential or confirmed hazardous waste sites; (2) undertake response planning and implementation actions at sites on the National Priorities List (NPL) to clean up the hazardous waste sites that are found to pose hazards to human health; and (3) effectively implement the statutory requirements of CERCLA 121(f) which mandates substantial and meaningful State involvement. Funding Priority - Fiscal Year 2008: Funding must be used at specific hazardous waste sites to: (1) conduct non time critical removal actions; (2) perform site characterization activities such as preliminary assessments, site inspections, remedial investigations, feasibility studies, and remedial design activities at potential or confirmed hazardous waste sites; (3) conduct remedial actions (i.e., clean up) at uncontrolled hazardous waste sites as listed on the National Priorities List (40 CFR 300); (4) support CERCLA implementation activities; (5) identify Potentially Responsible Parties (PRPs); (6) conduct settlement negotiations; (7) take enforcement actions against PRPs; and (8) oversee PRP cleanups. Funding Priority - Fiscal Year 2009: Funding must be used at specific hazardous waste sites to: (1) conduct non time critical removal actions; (2) perform site characterization activities such as preliminary assessments, site inspections, remedial investigations, feasibility studies, and remedial design activities at potential or confirmed hazardous waste sites; (3) conduct remedial actions (i.e., clean up) at uncontrolled hazardous waste sites as listed on the National Priorities List (40 CFR 300); (4) support CERCLA implementation activities; (5) identify Potentially Responsible Parties (PRPs); (6) conduct settlement negotiations; (7) take enforcement actions against PRPs; and (8) oversee PRP cleanups.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funding may be used to: (1) conduct non time critical removal actions; (2) perform site characterization activities such as preliminary assessments, site inspections, remedial investigations, feasibility studies, and remedial design activities at potential or confirmed hazardous waste sites; (3) conduct remedial actions (i.e., clean up) at uncontrolled hazardous waste sites listed on the National Priorities List (40 CFR 300); (4) support CERCLA implementation activities; (5) identify Potentially Responsible Parties (PRPs); (6) conduct

settlement negotiations; (7) take enforcement actions against PRPs; and, (8) oversee PRP cleanups. Funding may not be used to conduct tasks or activities not authorized by CERCLA. Funds may not be used for non-site-specific Core Program activities (see 66.809). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

States (and political subdivisions thereof), Commonwealths, U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments, including intertribal consortia.

Beneficiary Eligibility:

States (and political subdivisions thereof), Commonwealths, U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments, including intertribal consortia.

Credentials/Documentation:

Costs will be determined in accordance with 40 CFR 35, Subpart O and OMB Circular No. A 87, "Cost Principles for State, Local, and Indian Tribal Governments," and other supporting documentation provided by the Agency.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A 102 must be used for this program. Consultation and preapplication conference(s) are recommended. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants may use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA regional Superfund program offices will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. Final approval of application and supporting documentation and offer of award is made by the EPA Regional Administrator unless redelegated to the Regional Division Director.

Deadlines:

None.

Range of Approval/Disapproval Time:

Approximately ninety (90) days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Extensions are available but not guaranteed. The original cooperative agreement is amended by using EPA Standard Form 424 and submitting it to the Regional Administrator.

Formula and Matching Requirements:

None required for pre NPL activities or for remedial planning at any site if the site was privately owned and operated at the time of disposal of hazardous wastes. Matching Requirements: 10 percent State, 90 percent Federal, for remedial action if the site is privately owned and operated at the time of disposal of hazardous wastes. Minimum 50 percent State, 50 percent Federal, of all response costs if the site was State/locally operated at time of any disposal of hazardous waste (Note: percentage may vary). CERCLA section 104(c)(3). Tribal governments are not required to share in the costs of Superfund actions. This program has no statutory formula.

Length and Time Phasing of Assistance:

Funds awarded for duration of project, subject to time constraints imposed by EPA. Money is released on a draw down or a letter of credit basis.

Reports:

Progress reports, notification of significant developments, property inventory reports, procurement reports, financial reports, and final reports are required pursuant to 40 CFR 35, Subpart O.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 31 and Part 35, Subpart O are applicable. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. The recipient must maintain all records for 10 years following submission of the final Financial Status Report unless otherwise directed by the EPA award official, and must obtain written approval from the EPA award official before destroying any records. If any litigation, claim, negotiation, audit, cost recovery, or other action involving the records has been started before the expiration of the ten year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular ten year period, whichever is later.

Account Identification:

20-8145-0-7-304.

Obligations:

FY 07 \$50,000,000; FY 08 est. \$50,000,000; and FY 09 est. \$50,000,000.

Range and Average of Financial Assistance:

\$30 thousand to \$12.8 million; average amount \$380 thousand.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007 and 2008, all 10 EPA Regional Offices awarded or continued to fund cooperative agreements to lead cleanup actions, or to support EPA-lead cleanup actions, at hazardous waste sites. Cooperative agreements were awarded to lead the evaluation of newly discovered sites, to assess and investigate sites that have been identified as needing further action, to select, in partnership with EPA, the appropriate technologies and cleanup actions for these sites, to design the selected technologies and cleanup actions, and to construct the designed remedy. Funding was used to start or continue long term remedial actions to treat ground water where remediation goals have not yet been reached. Finally, funding was provided to meaningfully and substantially participate in cleanup actions where EPA led the cleanup.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR 30; 40 CFR 31; 40 CFR 35, Subpart O; 25 CFR 20; and Program Guidance Documents.

Regional or Local Office:

Regional Administrators: See Appendix IV of the Catalog.

Headquarters Office:

Angelo Carasea, State, Tribe and Site Identification Branch, Assessment and Remediation Division, Office of Superfund Remediation and Technology Innovation, Office of Solid Waste and Emergency Response, Mail Code 5204P, EPA, Washington, DC 20460. Telephone: (703)603-8828. E-mail: carasea.angelo@epa.gov. Fax: (703) 603-9104.

Web Site Address:

<http://www.epa.gov/superfund>

RELATED PROGRAMS:

66.809, Superfund State and Indian Tribe Core Program Cooperative Agreements.

EXAMPLES OF FUNDED PROJECTS:

Preliminary Assessments/Site Inspections; Remedial Investigation; Feasibility Study; Remedial Design; Remedial Action (i.e., clean up); PRP searches; RI/FS negotiations; RD/RA Negotiations; PRP oversight; Non Time Critical Removal Actions; State participation in federal lead projects.

CRITERIA FOR SELECTING PROPOSALS:

For fund-lead remedial action, the site must appear on the National Priorities List of the National Oil and Hazardous Substances Contingency Plan (NCP) (40 CFR 300). Removal actions require a planning period of six months or more. Each project is examined and selected on a case-by-case basis based upon site ranking, availability of matching funds from the State, availability of Trust funds, receipt of application and other criteria as determined by EPA.

66.804 STATE AND TRIBAL UNDERGROUND STORAGE TANKS PROGRAM

(UST Prevention Program)

FEDERAL AGENCY:

OFFICE OF UNDERGROUND STORAGE TANKS, OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Solid Waste Disposal Act (SWDA) of 1976, as amended; Superfund Reauthorization Amendments of 1986, Subtitle I, Section 2007(f); Section 9011 and other applicable provisions of Subtitle I of the SWDA as amended for States and Territories Energy Policy Act of 2005; 42 U.S.C. 6916(f)(2); Energy Policy Act of 2005, Title XV, Ethanol And Motor Fuels, Subtitle B, Sections 1521 - 1533, Public Law 109-58, 42 U.S.C. 15801; Public Law 105-276.

OBJECTIVES:

To assist States, Territories, Federally-recognized Indian Tribes and Intertribal Consortia that meet the requirements at 40 CFR 35.504, in the development and implementation of underground storage tank (UST) programs and for leak prevention, compliance and other activities authorized by the Energy Policy Act

(EPAct) of 2005 and EPA's annual appropriations acts. Funding Priority - Fiscal Year 2008: High priority tasks include providing financial assistance: (1) to states and Tribes in implementing the UST program; (2) to states to help them meet their new responsibilities authorized under the Energy Policy Act (EPAct) (e.g., conducting more frequent inspections, prohibiting delivery to noncompliant tanks, and requiring either secondary containment for tank systems or financial responsibility for manufacturers and installers); (3) to Tribes to conduct on-site inspections in Indian Country every three years in accordance with EPAct requirements; (4) to states and Tribes to encourage owners and operators to properly operate and maintain their USTs; (5) to states and Tribes to ensure owners and operators routinely and correctly monitor all regulated tanks and piping in accordance with the regulations; and (6) to states to develop State programs with sufficient authority and enforcement capabilities to operate in lieu of the Federal program. LUST prevention funds may be used by States for inspections and other release prevention and compliance assurance activities for federally-regulated underground storage tanks (USTs), as well as for enforcement activities related to release prevention. Priority will be given to providing funds to enable the States to meet their responsibilities under Title XV, Subtitle B of the Energy Policy Act of 2005. States that have entered into cooperative agreements with EPA must have the authority to inspect and take other compliance and related enforcement actions to prevent releases from USTs. The Agency provides financial assistance to Tribes to develop and implement programs to manage USTs.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

States and Tribes may use financial assistance under this program for eligible and allowable costs incurred under cooperative agreements for leak prevention, as described in the Section 54 Funding Priority. Unlike State and Tribal Assistance Grant funding under Section 2007(f) of the Solid Waste Disposal Act (SWDA), the LUST prevention funding under Section 9011 and other applicable provisions of Subtitle I of the SWDA is not eligible for inclusion in Performance Partnership Grants under 40 CFR 35.133. EPA may award combined STAG funded UST grants authorized by Section 2007(f) with LUST prevention cooperative agreements authorized by Subtitle I if the combined agreement is not included in a PPG. Grants are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable indirect costs, in accordance with established EPA policies and regulations. Funds awarded under this program may be used by states and Tribes for the following types of activities: (1) Encouraging owners and operators to properly operate and maintain their USTs. (2) Ensuring owners and operators routinely and correctly monitor all regulated tanks and piping in accordance with the regulations. (3) Developing State programs with sufficient authority and enforcement capabilities to operate in lieu of the Federal program. (4) Conducting more frequent inspections (including those in Indian Country). (5) Prohibiting delivery to noncompliance tanks. (6) Requiring either secondary containment for new tank systems or financial responsibility for manufacturers and installers. These grants may also be used for EPA to help States, who request it, and for the Agency, to obtain SEE enrollees through a SEE grant to work on the State's underground storage tanks and to support direct UST implementation programs. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. "Geospatial Information" includes: information that identifies the geographic location and characteristics of natural or constructed features or boundaries on the Earth, or applications, tools, and hardware associated with the generation, maintenance, or distribution of such information. This information may be derived from, among other things, GPS, remote sensing, mapping, charting, and surveying technologies, or statistical data.

Applicant Eligibility:

LUST Prevention cooperative agreements are only available to States and Territories. Additionally, these cooperative agreements are only available to Federally-recognized Tribes and Intertribal Consortia that must meet the requirements, as described in the Federal Register Notice, Vol. 67, No. 213, pp. 67181-67183, "Update to EPA Policy on Certain Grants to Intertribal Consortia." UST grants authorized by Section 2007(f) of the SWDA are only available to States and Territories, as well as federally-recognized Tribes and Intertribal Consortia that meet the requirements at 40 CFR 35.504. These grants may also be used for EPA to help States, who request it, to obtain SEE enrollees through a SEE grant to work on the State's underground storage tanks and to support direct UST implementation programs.

Beneficiary Eligibility:

States, Territories, Tribes and Intertribal Consortia.

Credentials/Documentation:

States and Territories must either submit certification indicating the State or Territory meets the applicable Energy Policy Act provisions, or submit documentation describing the State or Territory's efforts to meet the requirements, in accordance with EPA's Energy Policy Act grant guidelines (http://www.epa.gov/oust/fedlaws/epact_05.htm). The EPA Regional Offices maintain the credentials/documentation for State and Territorial programs and

federally-recognized Tribes and Intertribal Consortia.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Tribes and Intertribal Consortia are not required to follow intergovernmental review procedures.

Application Procedure:

States and Tribes apply for funds through EPA regional offices. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. EPA may award combined or separate cooperative agreements/grants for leak prevention.

Award Procedure:

These funds are awarded non-competitively. Applications will be subjected to administrative evaluation to determine the adequacy of application in relation to assistance agreement regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will advise the applicant if funding is being considered. A final work plan will be negotiated with the applicant. A cooperative agreement or grant must be signed between EPA and the State or Territory or EPA and the Tribe or Intertribal Consortia. EPA awards the cooperative agreement or grant to states through a previously established allocation process, issued under national guidance rather than through competition (see 40 CFR 35.332 and EPA Order 5700.5, Section 6(c)(1)).

Deadlines:

Proposals generally must be received prior to May 15 for funding in the applicable fiscal year.

Range of Approval/Disapproval Time:

Generally, from 3 to 4 months.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Contact the appropriate Regional Office. See <http://www.epa.gov/oust/regions/index.htm>.

Formula and Matching Requirements:

The UST Solid Waste Disposal Act 2007(f) grant program has no statutory formula or matching requirement. However, there is a 25 percent matching requirement (cost share) for States under 40 CFR 35.335. State matches (cost shares) may include in-kind contributions. There is no matching (cost share) requirement for grants to Tribes or Intertribal Consortia under Public Law 105-276. Under 40 CFR 35.332 and 40 CFR 35.732, EPA regions allocate funds to states and tribes based on their programmatic needs and applicable EPA guidance. Factors include the number of active federally regulated underground storage tanks. Each fiscal year through FY 2006 states generally received approximately \$187,000 each and the territories received approximately \$80,000 each. Tribes receive lower amounts based on need and the number of underground storage tanks. LUST prevention funding is awarded under Section 9011 and other applicable provisions of Subtitle I of the Solid Waste Disposal Act subject to an allocation process developed by the Agency. The Agency distributes funds based on the number of federally-regulated USTs in a State and other indicators of State needs. States will provide a 25 percent match (cost share) for cooperative agreements awarded under Section 9011 and other applicable provisions of Subtitle I. If EPA awards a State a combined UST grant and a LUST prevention cooperative agreement, the 25 percent cost share will apply to total project costs for the combined agreement. There is no matching requirement (cost share) for LUST prevention cooperative agreements for Tribes or Intertribal Consortia awarded pursuant to annual appropriation acts.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of the grant award by the specific EPA Regional Office. Grants are generally awarded on either single-year or two-year basis.

Reports:

Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA Regional Offices may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 31 (governmental units) are

applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; 20-8153-0-7-304.

Obligations:

FY 07 \$30,717,000; FY 08 est. \$2,500,000; and FY 09 est. \$22,800,000. LUST Prevention: FY 08 est. \$31,000,000.

Range and Average of Financial Assistance:

Range for States and Territories: For Fiscal Year 07, Core Funding Range: States received approximately \$288,000 and Territories received approximately \$123,000; Energy Policy Act Funding Range: between \$304,000 and \$1,555,000. In FY 2007, there was no average for the Energy Policy Act funding as it was based on states' general needs. For all Fiscal Years, Range for Tribes and Intertribal Consortia: between \$20,000 and \$150,000; Average: \$62,000. In FY 2008, STAG financial assistance is based on states' needs. LUST Prevention: FY 08 is the first year for the LUST prevention funding, Range for States and Territories: (1) Core Funding: \$123,000 to \$288,000; Average: \$288,000/Fiscal Year 08; (2) Energy Policy Act Funding: \$76,000 to \$1,776,000. In FY 2008, there is no average for the Energy Policy Act funding as it is based on states' general needs. For FY08, Range for Tribes and Intertribal Consortia: \$3,000 - \$440,000; Average: \$144,000.

PROGRAM ACCOMPLISHMENTS:

For FY 2007, 49 states, six territories and approximately 10 Indian Tribes submitted assistance agreement applications and were awarded assistance agreements. In FY 07, results achieved were measured by the annual percent increase (+1 percent) of UST facilities that were in significant operational compliance with both release detection and release prevention (spill, overflow, and corrosion protection requirements). At the end of FY 2007, EPA did meet its goal of a one percent increase of UST facilities in operational compliance with both release detection and release prevention (spill, overflow, and corrosion protection) requirements. In FY 2007, EPA met and exceeded its goal of reducing the number of confirmed UST releases nationally to less than 10,000. FY 2008 is the first year LUST funds are available for release prevention purposes.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (40 CFR Parts 30 or 31, as applicable), State and Local Assistance (40 CFR Part 35.330 et. seq. for States and 40 CFR 35.730 et. seq. for Tribes and Intertribal Consortia). Costs will be determined in accordance with OMB Circular No. A-87 (2 CFR Part 225) for State and local governments and Indian Tribes and OMB Circular A-122 (2 CFR Part 230) for Intertribal Consortia that follow the assistance regulations for nonprofit organizations. Contact Regional UST Program Manager for documents.

Regional or Local Office:

For state-specific opportunities, EPA encourages potential applications to communicate with the appropriate EPA Regional Underground Storage Tanks Regional Program Managers (see <http://www.epa.gov/oust/regions/index.htm>).

Headquarters Office:

<http://www.epa.gov/oust/oustcont.htm>; Fax: (703) 603-0175; E-mail: deport.lynn@epa.gov.

Web Site Address:

<http://www.epa.gov/oust/cmplastc/index.htm>.

RELATED PROGRAMS:

66.805, Leaking Underground Storage Tank Trust Fund Program.

EXAMPLES OF FUNDED PROJECTS:

State and/or Tribal Governments' Grants funded projects include functions necessary for the development and/or implementation of a State or Indian underground storage tank (UST) program. Those functions that may be accepted for funding include the development and/or implementation of enforcement and inspection programs, outreach, and training related to the underground storage tanks program. Specific examples of funded projects in FY 2007 were: (1) Corrosion testing and training for field inspectors; (2) Red Tag Enforcement Tools for states to obtain UST compliance from owners/operators; (3) Web-based training for UST owners/operators; (4) Photograph records of USTs in large states, such as Montana; and (5) Third-party inspection programs to more efficiently conduct compliance inspections at UST facilities. These grants may also be used for EPA to help states, who request it, to obtain SEE enrollees through a SEE grant to work on the state's underground storage tanks (UST) and to support direct UST implementation programs. This is a new authority for use of LUST funds for prevention. Specific examples of funded projects will be: inspections, enforcement, development of regulations and other program infrastructure.

CRITERIA FOR SELECTING PROPOSALS:

This program allocates funding to States and Tribes non-competitively under the allocation system referenced in Section 101. This program allocates funding to

tribes non-competitively based on need and the number of federally regulated underground storage tank systems. Funding provided to states for release prevention must be expended in accordance with grant guidelines EPA issued to implement the Title XV, Subtitle B of the Energy Policy Act of 2005, http://www.epa.gov/swerst1/fedlaws/publ_109-058.pdf

66.805 LEAKING UNDERGROUND STORAGE TANK TRUST FUND PROGRAM

FEDERAL AGENCY:

OFFICE OF UNDERGROUND STORAGE TANKS, OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Solid Waste Disposal Act of 1976 (SWDA), as amended, Section 9003(h), Public Law 105-276; Emergency Supplemental Appropriations Act for Defense, the Global War on Terror, and Hurricane Recovery, Public Law 109-234.

OBJECTIVES:

To support State (including Territories that are included in the definition of "State" in the Solid Waste Disposal Act) and Tribal corrective action programs that address releases from underground storage tanks. Funding Priority - Fiscal Year 2008: Program funds from the Leaking Underground Storage Tanks (LUST) Trust are used to provide resources to States and Tribes for their underground storage tank (UST) programs. Corrective Action: Eighty percent of the funds appropriated to the Agency for corrective action must be distributed to the states under cooperative agreements. Program funds are to be used to provide resources for the oversight and corrective action for petroleum releases from federally-regulated underground storage tanks (USTs), as well as for enforcement activities related to such corrective action. States that have entered into cooperative agreements with EPA have the authority to respond to petroleum releases from USTs using Leaking Underground Storage Tanks (LUST) Trust funds where owners and operators are unknown, unwilling or unable to take corrective actions themselves, and in certain other circumstances. States may also oversee responsible party cleanups. When the LUST Trust Fund is used, tank owners/operators are liable to the State for costs incurred and are subject to cost recovery actions. LUST Trust funds provide assistance to the States and Tribal governments in developing and implementing corrective action programs. LUST Trust Funds will not be used to implement any provision of the Energy Policy Act (EPA) of 2005, Title XV, Subtitle B, that is not also a leaking underground storage tank activity authorized by Section 205 of the Superfund Reauthorization Amendments.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

States and Tribes may use financial assistance under this program for eligible and allowable costs incurred under cooperative agreements for corrective action, as described in the Section 54 Funding Priority. This financial assistance program is not eligible for inclusion in Performance Partnership Grants under 40 CFR 35.133. EPA will award cooperative agreements for corrective action activities. Corrective Action: The applicable provisions in OSWER Directive 9650.10A, "LUST Trust Fund Cooperative Agreement Guidelines" apply to states receiving funds under this program. See <http://www.epa.gov/swerst1/directiv/d965010a.htm>. FY 2006 Emergency Supplemental funding for the 2005 Gulf of Mexico Hurricanes was available only for Louisiana, Mississippi and Alabama (Texas and Florida declined the funding) for activities that were related to the consequences of hurricanes, such as addressing the most immediate underground storage tank needs in areas affected by the 2005 Gulf of Mexico hurricanes, including site assessments of leaking underground storage tanks to identify problems and initiate appropriate corrective action. See the Office of Underground Storage Tanks Program Guidance for FY 2006 Gulf of Mexico Hurricane Supplemental Funding for Cooperative Agreements Awarded under the Leaking Underground Storage Tank Trust Fund Program.

Applicant Eligibility:

Cooperative agreements are only available to States and Territories that have UST programs. Additionally, these cooperative agreements are only available to Federally-recognized Tribes and Intertribal Consortia that must meet the requirements, as described in the Federal Register Notice, Vol. 67, No. 213, pp. 67181-67183, "Update to EPA Policy on Certain Grants to Intertribal Consortia."

Beneficiary Eligibility:

States, Territories, Tribes and Intertribal Consortia and the communities and industries affected by leaks from underground storage tanks.

Credentials/Documentation:

The EPA Regional Offices maintain the credentials/documentation for State and Territorial programs and federally-recognized Tribes and Intertribal Consortia. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental

Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Tribes and Intertribal Consortia are not required to follow intergovernmental review procedures.

Application Procedure:

States and Tribes apply for funds through EPA regional offices under this CFDA.

Award Procedure:

These funds are awarded non-competitively. Applications will be subjected to administrative evaluation to determine the adequacy of application in relation to assistance agreement regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will advise the applicant if funding is being considered. A final work plan will be negotiated with the applicant. A cooperative agreement must be signed between EPA and the State or Territory or EPA and the Tribe or Intertribal Consortia. These grants are non-discretionary. EPA awards the cooperative agreement to states through a previously established allocation process, issued under national guidance rather than through competition. For the Emergency Supplemental funding for the 2005 Gulf of Mexico Hurricanes, EPA used a separate allocation process to distribute the funds to Louisiana, Mississippi and Alabama. See EPA Order 5700.5, Section 6(c)(1).

Deadlines:

Proposals generally must be received prior to May 15 for funding in the applicable fiscal year.

Range of Approval/Disapproval Time:

From 3 to 4 months.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Contact the appropriate Regional Office. See <http://www.epa.gov/OUST/regions/index.htm>.

Formula and Matching Requirements:

Corrective Action: LUST corrective action funding awarded under Section 9003(h)(7) of the Solid Waste Disposal Act is subject to an allocation process developed by the Agency. By guidance, the Agency has established a process for allocating funds to states under Section 9003(h)(7) based on the cumulative numbers of confirmed UST releases, cleanups initiated, cleanups completed, the percentage of the population using groundwater for drinking water, and the number of states with approved UST programs. This program allocates funding to Tribes and Intertribal Consortia non-competitively based on their programmatic needs and national guidance. States must provide a 10 percent cost share for cooperative agreements awarded under Section 9003(h)(7). There is no matching requirement for corrective action cooperative agreements for Tribes or Intertribal Consortia awarded pursuant to Public Law 105-276. Cooperative agreements EPA awards under Emergency Supplemental Appropriations Act to Address Hurricanes in the Gulf of Mexico and Pandemic Influenza, 2006, Section 402 of the H. Con. Res. 95 (109th Congress) (the Gulf of Mexico Hurricanes Supplemental) and the Emergency Supplemental Appropriations Act for Defense, the Global War on Terror, and Hurricane Recovery, 2006, H.R. 4939 (Public Law 109-234) (the Gulf of Mexico 2nd Hurricanes Supplemental) to Louisiana, Mississippi and Alabama were subject to a separate allocation formula for EPA Regions 4 and 6. Activities related to the consequences of hurricanes included addressing the most immediate underground storage tank needs in areas affected by the 2005 Gulf of Mexico hurricanes, such as site assessments of leaking underground storage tanks to identify problems and initiate appropriate corrective action. See the Office of Underground Storage Tanks Program Guidance for FY 2006 Gulf of Mexico Hurricane Supplemental Funding for Cooperative Agreements Awarded under the Leaking Underground Storage Tank Trust Fund Program.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of the grant award by the specific EPA Regional Office. For the Gulf of Mexico 1st Hurricanes Supplemental, grants were awarded on either single-year or two-year bases. The Gulf of Mexico 2nd Hurricanes Supplemental is for "necessary expenses related to the consequences of Hurricane Katrina and other hurricanes of the 2005 season." Congress intended for these funds "to remain available (for award) until September 30, 2007."

Reports:

Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA Regional Offices may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. For the Emergency Supplemental funding for the 2005 Gulf of Mexico Hurricanes cooperative agreements, Louisiana, Mississippi and Alabama shall provide EPA with specific storm-damage related information, as specified in the terms and conditions of the agreements.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government.

Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

20-8153-0-7-304.

Obligations:

FY 07 \$57,661,000; FY 08 est. \$62,207,000 and FY 09 est. \$58,207,000.

Range and Average of Financial Assistance:

For the LUST corrective action funding, in FY 07, and FY08, Range for Territories and States: \$43,000 - \$3,400,000; Territory Average \$45,000; State Average: \$910,000. Range for Tribes and Intertribal Consortia: \$22,000 - \$140,000; Average: \$48,000.

PROGRAM ACCOMPLISHMENTS:

For FY 2007, 49 States, six territories and approximately 10 Indian Tribes submitted assistance agreement applications and the same number of awards were granted in FY 2007. In FY 2007, EPA met and exceeded its FY 2007 national goal of 13,000 LUST cleanups completed. States, Territories, Tribes and Intertribal Consortia completed 13,862 LUST cleanups completed.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 31; Guidelines: Leaking Underground Storage Tanks Trust Fund. Cooperative Agreement Guidelines. Office of Underground Storage Tanks Program Guidance for FY 2006 Gulf of Mexico Hurricane Supplemental Funding for Cooperative Agreements Awarded under the Leaking Underground Storage Tank Trust Fund Program. Energy Policy Act of 2005 Grant Guidelines, <http://www.epa.gov/swerstl/index.htm>. Costs will be determined in accordance with OMB Circular No. A-87 (2 CFR Part 225) for State and local governments and Indian Tribes and OMB Circular A-122 (2 CFR Part 230) for Intertribal Consortia that follow the assistance regulations for nonprofit organizations. Contact Regional LUST Program Manager for documents.

Regional or Local Office:

See Appendix IV Of the Catalog for list of Underground Storage Tanks Regional Program Manager addresses.

Headquarters Office:

<http://www.epa.gov/oust/oustcont.htm>; Fax: (703) 603-0175; E-mail: deport.lynn@epa.gov.

Web Site Address:

<http://www.epa.gov/oust/cat/index.htm>.

RELATED PROGRAMS:

66.804, State and Tribal Underground Storage Tanks Program.

EXAMPLES OF FUNDED PROJECTS:

Corrective Action: Specific examples of funded projects are: (1) Review of site characterization reports; (2) Review of corrective action plans; (3) Conduct enforcement actions; (4) Provide assistance to Tribes to help develop and implement the LUST program; and (5) Conduct cleanups and provide oversight of responsible party cleanups.

CRITERIA FOR SELECTING PROPOSALS:

This program allocates funding to States and Tribes non-competitively under the allocation system referenced in Section 101, under a separate system for supplemental funding for damage caused by the 2005 Gulf of Mexico Hurricanes. Guidelines for LUST cooperative agreements for corrective action are found in OSWER Directive 9650-10A, and at OUST's website <http://www.epa.gov/swerstl/directiv/d965010a.htm>.

66.806 SUPERFUND TECHNICAL ASSISTANCE GRANTS (TAG) FOR COMMUNITY GROUPS AT NATIONAL PRIORITY LIST (NPL) SITES

(TAG)

FEDERAL AGENCY:

OFFICE OF SUPERFUND REMEDIATION AND TECHNOLOGY
INNOVATION, OFFICE OF SOLID WASTE AND EMERGENCY
RESPONSE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, Section 117(e), Public Law 96-510; Superfund

Amendments and Reauthorization Act of 1986, as amended, Public Law 99-499, 42 U.S.C. 9617.

OBJECTIVES:

The statutory authority for this project is CERCLA 117(e) as amended by 42 U.S.C. 9617(e); it authorizes Technical Assistance Grants to be awarded to groups of individuals affected by or threatened by a release at a Superfund site so that they can obtain independent technical assistance to interpret site-related documents and share this information to the rest of the community. The objective of each TAG is to procure an independent technical advisor(s) to review and inform the community on EPA documents related to cleaning up the Superfund site that is affecting them. The goal of each TAG is that the technical advisor's information aids the community in the preparation of public comments and assists the community to more meaningfully participate in the cleanup decision-making process. Funding Priority - Fiscal Year 2008: Only one grant is available per site, at any time. EPA does not prioritize among eligible sites. All TAG applications are given equal priority as long as the site and group are eligible. The applicant group must meet minimum administrative and management capability requirements by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to TAG management. In addition, all applications must address: plans for using a technical advisor's services, plans and ability to inform other members of the community, and the group's membership (representative of the different individuals/groups affected by the site). Funding must be used to provide resources so that community groups can obtain technical assistance to better understand technical issues related to the cleanup of the Superfund site affecting them and to provide comments on technical, cleanup-related documents. Technical issues for which groups obtain technical assistance may include: the nature of the environmental and public health hazards at the site; the various stages of health and environmental investigations; cleanup and operation and maintenance activities for a site, including exposure investigation, health study, surveillance program, health promotion activities (for example, medical monitoring and pediatric health units), remedial investigation, and feasibility study, record of decision, remedial design, selection and construction of remedial action, operation and maintenance, and removal.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

All or most of the Federal funds must be used, at sites listed on the National Priorities List (NPL) or proposed for listing under the National Contingency Plan (NCP) where a response action has begun under CERCLA, to procure a technical advisor(s) to help in understanding the nature of the environmental and public health hazards at the site, the various stages of health and environmental investigations and activities, cleanup, and operation and maintenance of a site, including exposure investigation, health study, surveillance program, health promotion activities (for example, medical monitoring and pediatric health units), remedial investigation, and feasibility study, record of decision, remedial design, selection and construction of remedial action, operation and maintenance, and removal. Limitations: (a) Federal funds cannot be used to develop new primary data, such as conducting independent testing and monitoring activities at a site. (b) Under CERCLA, as amended, grant funds cannot be used for lawsuits or other legal actions, or attorney fees for services connected to any legal action or that could result in a relationship to which attorney/client privileges applies. (c) Grant funds cannot be applied towards a technical advisor's time spent assisting an attorney in preparing a legal action or preparing and serving as an expert witness at any legal proceedings. (d) Grant funds cannot be used for any activities or expenditures for recipient group members' travel. (e) Grant funds cannot be used for political activity and lobbying. (f) Grant funds cannot be used for generation of new health data through biomedical testing (for example, blood or urine testing), clinical evaluations, health studies, surveillance, registries, and/or public health investigations. (g) Grant funds cannot be used for activities that are unallowable under the cost principles stated in Office of Management and Budget (OMB) Circular No. A-122. (h) Grant funds cannot be used for tuition or other training expenses for recipient group's members. (i) Grant funds cannot be used to reopen or challenge final EPA decisions.

Applicant Eligibility:

A technical assistance grant (TAG) is available to any qualified group of individuals which: may be "affected" by a release or threatened release at any facility listed on the NPL or proposed for listing under the NCP where a "response action" under CERCLA has begun; meets minimum administrative and management capability requirements found in 40 CFR 30.12 by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to TAG management; and incorporates as a nonprofit for the specific purpose of the representing "affected" individuals at the site. "Affected" means subject to actual or potential health, economic or environmental threat. A group is ineligible if: (a) The group is a "potentially responsible party" (PRP), receives money or services from a PRP, or represents a PRP; (b) The group is affiliated with a national organization; (c) The group is an academic institution; (d) The group is a political subdivision; (e) The group was established or is presently sustained by any of the ineligible entities listed above;

or (f) The group is not incorporated as a nonprofit organization for the specific purpose of representing affected people except as provided in 40 CFR 35.4045.

Beneficiary Eligibility:

This program will benefit groups of individuals affected by Superfund hazardous waste sites. This may include homeowners, land/property owners, as well as any other individuals in the general public who live near a site or are otherwise affected by a site.

Credentials/Documentation:

At the time of the award, a recipient must either be incorporated or demonstrate that they have taken all necessary and appropriate actions to do so. Recipients must show proof of incorporation no later than the time of the group's first request for reimbursement for costs incurred. This program is excluded from coverage under OMB Circular No. A-87. This program is subject to the provisions of OMB Circular No. A-122.

Reapplication Coordination:

(1) Groups wishing to apply for a TAG must first submit to EPA a letter of intent (LOI). Groups interested in applying for a TAG are encouraged to contact their regional TAG coordinator(s), listed in Appendix IV of the Catalog. (2) If site work is already underway or scheduled to begin, EPA will publish a notice in the local newspaper to formally notify other interested parties that they may contact the first group that sent the LOI to form a coalition or they may submit a separate LOI. (3) Competing groups are encouraged to consolidate and submit a single application. (4) Prior to submitting their application to EPA (or concurrently), the applicant must submit the application package for their State's intergovernmental review process. This intergovernmental review may take up to 60 days. This program is excluded from coverage under OMB Circular No. A-102. This program is subject to the provisions of OMB Circular No. A-110. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information regarding the procedures for submitting a LOI or for application assistance, contact the TAG coordinator(s) for your region (in Appendix IV of the Catalog) or the individuals listed under "Information Contacts."

Application Procedure:

An applicant for a TAG must submit the following materials in accordance with 40 CFR 35.4125 of the Final Rule: (1) An original EPA Form 424, Application for Federal Assistance. The application must have the original signature of the project manager. (2) A budget showing the proposed expenditure of funds, how the funds and other resources, including the required 20 percent match, will be used to complete the project, and how the budget figures were derived. (3) A scope of work which states how the group will organize, use procured personnel, and share and disseminate information to the larger affected community. In addition, the scope of work must explain project milestones and how the group's board of directors, technical advisors(s) and "project manager" will interact with each other. (4) Assurances, certifications and other preaward paperwork as 40 CFR part 30 requires. Currently, opportunities under this CFDA are not available and electronic submissions are not accepted through www.grants.gov.

Award Procedure:

(1) A TAG review team in the EPA Regional Office to which the application is submitted reviews the application and sends a letter containing written comments telling the applicant what, if any, changes need to be made to the application to make it complete. (2) An applicant group has 90 days from the date of the EPA letter to make the changes to their application and resubmit it to EPA. If the changes are not submitted within the 90-day period, the application will be returned unprocessed. (3) EPA determines the applicant's eligibility under 40 CFR 35.4020 and considers whether and how successfully the group meets three equally weighted criteria in 40 CFR 35.4155 (see "Criteria for Selecting Proposals," below). When multiple groups apply, EPA will rank each applicant relative to other applicants using the three equally weighted criteria. (4) If an applicant is not going to be awarded a TAG, the EPA will send a letter of rejection to the applicant. (5) If an application is approved for an award, a grant agreement document (EPA Form 5700-20A) is signed by the EPA award official. This document becomes an offer from EPA to the applicant. Award offers are mailed to the recipient five working days after the award official signs the agreement. (6) The applicant must sign and return the agreement to EPA within three weeks of the date the agreement is postmarked or request an extension. EPA will review applications in accordance to terms, conditions and criteria in the TAG regulations and EPA's policy for competing assistance agreements.

Deadlines:

There are no fixed deadlines until EPA receives a LOI from an interested group, for a site listed on the NPL or is proposed for listing on the NPL where a response action is underway. Once a LOI is received, EPA will publish a newspaper announcement saying an LOI has been received for a TAG at a specific site; other interested applicants have 30 days from the date of the announcement to submit their own LOIs or to join the original group in applying for a TAG. After this initial 30-day period, the groups who submitted LOIs have 30 days to submit a completed application to EPA.

Range of Approval/Disapproval Time:

From 4 to 9 weeks.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable. Before a group obtains judicial review of the dispute, they must have requested the Regional Administrator to review the dispute decision official's determination under 40 CFR 31.70(c), and if the group still has a dispute, they must request the Assistant Administrator for the Office of Solid Waste and Emergency Response to review the Regional Administrator's decision under 40 CFR 31.70(h).

Renewals:

Grant waiver requests are made at the end of the budget period and are based on the requirements of 40 CFR 35.4065, which lists criteria which must be met.

Formula and Matching Requirements:

As directed by statute (Section 117(e) of CERCLA, as amended by 42 USC 9617(e)) there may be only one grant per site, there is a \$50,000 award limit unless waived, and recipient must contribute a 20 percent match (including in-kind contributions).

Length and Time Phasing of Assistance:

A grant may be available from the time a site is proposed for listing under the NCP (with a response action underway) until the site is delisted from the NPL - this time span varies based on the complexity of the site cleanup; a group may submit an LOI to apply for a TAG at any eligible site at any point in the site cleanup. For each award, the grant period is determined by the time needed to complete the scope of work stated in the grant application and through negotiations with EPA (this is generally a period of three years or greater). Grants under this CFDA are reimbursement-based; a grantee may draw down funds to cover grant-related expenses they have incurred (such as a technical advisor's invoice for services/expenses).

Reports:

(1) Progress reports. Each recipient shall submit quarterly progress reports to EPA for the technical assistance grant project 45 days after the end of each calendar quarter. Progress reports shall fully describe in chart or narrative format the progress achieved in relationship to the approved schedule, budget, and the technical assistance grant project milestones. Special problems encountered must be explained. (2) Financial status report. Each recipient shall submit to EPA a financial status report annually, within 90 days of the anniversary date of the start of the TAG project. These reports shall include the status of project's funds through identification of project transactions and within 90 days after the end of the TAG funding period. (3) Final report. Each recipient shall submit to EPA the final report within 90 days after the end of the project. The report shall describe project goals and objectives, activities undertaken to achieve goals and objectives, difficulties encountered, technical advisors' work products and funds spent. (4) Requests for Reimbursement or Federal Cash Transactions Reports. Depending on the method of payment, each recipient shall submit periodic requests for reimbursement as funds are needed, or shall submit to EPA a federal cash transaction report semiannually, within 15 working days following the end of the semiannual period which ends June 30 and December 31 of each year. These reports shall include the amount of funds advanced to the TAG recipient or electronically transferred to the TAG recipient's bank account, and a description of how the funds were spent. (5) Minority-Owned Business Enterprise/Women-Owned Enterprise (MBE/WBE) Utilization. Each recipient shall submit to EPA a MBE/WBE Utilization report annually, even if no contracts have been signed. Each recipient is required to disclose whether they have contracted with a MBE/WBE in the past Federal fiscal year, the value of the contract, if any, and the percentage of the total project dollars on MBE/WBEs. (6) Each recipient shall comply with any reporting requirements in the terms and conditions of the "grant agreement". (7) Each recipient shall comply with any reporting and record keeping requirements in OMB Circular A-122 and 40 CFR Part 30.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

(1) Each recipient shall keep complete financial records accurately showing how the Federal funds were used and the match, whether it is in the form of cash or in-kind assistance. (2) Each recipient shall comply with any reporting and record keeping requirements of OMB Circular A-122 and 40 CFR Part 30. (3) Each recipient must keep TAG financial records for ten years from the date of the final Financial Status Report, or until any audit, litigation, cost recovery, and/or disputes initiated before the end of the ten-year retention period are settled,

whichever is longer. At the ten-year mark, the TAG financial records may be disposed of after first getting written approval to do so from the EPA. Alternately, a recipient may choose to submit financial records to the EPA for safekeeping when the final Financial Status Report is submitted. (4) Each recipient must send to EPA a copy of each final written product prepared by their technical advisor as part of the TAG. EPA will send the final written products to the local Superfund site information repository(ies) where all site-related documents are available to the public.

Account Identification:

68-0108-0-1-304.

Obligations:

(Includes initial awards and additional funds) FY 07 \$926,952; FY 08 est \$600,000 (\$50,000 awarded as of 5/1/2008); and FY 09 est \$500,000.

Range and Average of Financial Assistance:

Initial awards for assistance agreements awarded under this CFDA number will not exceed \$50,000. After the initial award, additional funding may be awarded based on the criteria detailed under 40 CFR 35.4065, and subject to the availability of funds. The average additional award is \$40,000 (per agreement). Since 1988, 307 TAGs have been awarded. Of these, 114 grantees were awarded \$50,000 or less; 160 grantees have received awards with a cumulative value between \$51,000 and \$150,000; 33 grantees have received awards with a cumulative value ranging between \$151,000 and \$250,000; and 9 grantees have received awards whose cumulative value totals of more than \$250,000. The cumulative award value includes the initial award and any supplemental funding awards over the life of the agreement.

PROGRAM ACCOMPLISHMENTS:

Since the program began on March 24, 1988, 307 awards have been issued by EPA, totaling more than \$25,900,000 in TAG awards (including new awards, additional funds, waivers and deviation). In most cases, EPA receives only one application for a site and in most cases an award is made. In FY 07 ten new grants were awarded (\$375,000) and 12 established grantees were awarded additional funds (\$552,000). As of May 1, 2008, one new grant has been awarded (\$25,000) and one established grantee was awarded additional funds (\$25,000) for FY 08: it is anticipated that five more new awards (\$250,000) and five more existing grantees will be awarded supplemental funding (\$250,000) by the end of FY 08. The grant includes an outreach component wherein the grantee helps to educate the broader community on issues related to the site cleanup. Under this grant, grantees have developed community newsletters, developed web sites, conducted community meetings to help other community members better understand technical information related to the site cleanup and to better respond to site-related technical documents during public comment periods. Technical comments provided to EPA under this grant assist EPA in meeting Superfund strategic targets of identifying and controlling unacceptable human exposures from site contamination; monitoring and controlling migration of contaminated ground water; and identifying a final remedy suitable for stated reuse (under Goal 3.2.2 of EPA's Strategic Plan).

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR 31; 40 CFR Part 30; 40 CFR Part 35, (Subpart M); and TAG Program Guidance Documents.

Regional or Local Office:

Questions may be directed to the EPA Regional Office serving a particular state. All TAG paperwork should be submitted directly to the EPA Regional Office. A list of the Regions and their States, plus the name(s) and telephone number(s) for the Regional TAG contact, is presented below and can be found in Appendix IV of the CFDA. U.S. EPA, Region I (CT, MA, NH, RI, ME) - Robert Shewack, Mail Code: HBS, U.S. EPA Region I, Office of Site Remediation and Restoration, One Congress Street, Boston, MA 02114-2023, (617) 918-1428 (Robert), (617) 918-1347 (Art), Toll Free: (1-888) 372-7341, (617) 918-1291 Fax; U.S. EPA, Region II (NY, NH, PR, VI) - Frank Barone, Mail Code: 2 OPM-GCMB, U.S. EPA Region II, Grants & Contracts Management Branch, Office of Policy and Management, 290 Broadway, 27th Floor, New York, NY 10007-1866, (212) 637-3379, (212) 637-3518 Fax; U.S. EPA, Region III (DC, DE, MD, PA, VA, WV) - Amelia Libertz, Mail Code: (3H52), U.S. EPA Region III, 1650 Arch Street, Philadelphia, PA 19103, (215) 814-5522, Toll Free: (1-800) 553-2509, (215) 814-3015 Fax; U.S. EPA, Region IV (AL, FL, GA, KY, MS, NC, SC, TN) - Denise Bland, Jackie Dendy, Rhonda Foucher, Paula Walraven, Carol Jackson, Mail Code: WDCSB, U.S. EPA Region IV, Waste Management Division, Program Services Branch, Atlanta Federal Center, 61 Forsyth Street, Atlanta, GA 30303, (404) 562-8880 (Denise), (404) 562-8876 (Jackie), (404) 562-8867 (Rhonda), (404) 562-8858 (Paula), (404) 562-8856 (Carol), Toll Free: (1-800) 564-7577, (404) 562-8842 Fax; U.S. EPA, Region V (IL, IN, MI, NM, OH, WI) - Susan Pastor (TAG Coordinator), Mail Code: P-19J, U.S. EPA Region V, Office of Public Affairs, Community Involvement Section, 77 W. Jackson Blvd., Chicago, IL 60604-3507, (312) 353-1325, Toll Free: (1-800) 621-8431, (312) 353-1155 Fax; Glynis Landers (TAG Project Officer), Mail Code: SM-5J, U.S. EPA Region, 77 W. Jackson Blvd., Chicago, IL 60604-3507, (312) 886-1816, (312) 886- Fax; U.S. EPA, Region VI (AR, LA, NM, OK, TX) - Beverly Negri, Zana Halliday, Mail Code: 6SF-TS, U.S. EPA Region VI, Tower at Fountain Place, 1445 Ross Avenue, Suite 1200, Dallas, TX 75202-2733, (214) 665-8157 (David), (214) 665-8363 (Zana), Toll Free: (1-800) 533-

3508, (214) 655-6660 Fax; U.S. EPA, Region VII (IA, KS, MO, NE) - Hattie Thomas, Mail Code: PBAF, U.S. EPA Region VII, Office of External Programs, 901 North 5th Street, Kansas City, KS 66101, (913) 551-7762, Toll Free: (1-800) 223-0425, (913) 551-7066 Fax; U.S. EPA, Region VIII (CO, MT, ND, SD, UT, WY) - Linda Armer, Mail Code: 8EPR-PS, U.S. EPA Region VIII, Office of Communications & Public Involvement, 1595 Wynkoop Street, Denver, CO 80202-1129, (303) 312-6696, Toll Free: (1-800) 227-8917, (303) 312-6065 Fax; U.S. EPA, Region IX (AZ, CA, HI, NV) - Jackie Lane, Mail Code: SFD-3, U.S. EPA Region IX, Office of Community Involvement, 75 Hawthorne Street, San Francisco, CA 94105, (415) 972-3236, Toll Free: (1-800) 231-3075, (415) 947-3528 Fax; U.S. EPA, Region X (AK, ID, OR, WA) - Sally Hanft, Mail Code: ECO-086, U.S. EPA Region X, Ecosystem and Community Health Unit, 1200 6th Avenue, Seattle, WA 98101, (206) 553-1207 (Sally), Toll Free: (1-800) 424-4372, (206) 553-2955 Fax.

Headquarters Office:

Freya Margand; Community Involvement and Outreach Branch, Office of Superfund Remediation and Technology Innovation, Mail code: 5204P), Environmental Protection Agency; Ariel Rios Bldg., 1200 Pennsylvania Ave.; N.W., Washington, DC 20460. Telephone: (703) 603-8889. Fax: (703) 603-9102. E-mail: margand.freya@epa.gov Center web site address: <http://www.epa.gov/superfund/community/>.

Web Site Address:

<http://www.epa.gov/superfund>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

A TAG allows a group to procure independent technical advisors to help in interpreting and commenting on site-related information and decisions. Examples of how a technical advisor can help a group include, but are not limited to: (a) Reviewing preliminary site assessment/site investigation data; (b) Participating in public meetings to help interpret information about site conditions, proposed remedies, and the implementation of a remedy; (c) Visiting the site vicinity periodically during cleanup, if possible, to observe progress and provide technical updates to the group; (d) Interpreting information related to redevelopment; and (e) Evaluating future land use options based on land use assumptions found in the remedial investigation/feasibility study.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description are described in the programmatic regulations (40 CFR Part 35) as follow. In selecting a proposal: 1) EPA will determine if a group meets the following eligibility criteria: (a) The community group is a group of people who may be "affected" by a release or a threatened release at any facility listed on the NPL or proposed for listing under the NCP where a response action is underway; and (b) The group meets the minimum administrative and management capability requirements found in 40 CFR 30.21 by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to managing the TAG. 2) EPA will also determine if there are factors which make the group ineligible. A group is ineligible if: (a) The group is a "potentially responsible party" (PRP), receives money or services from a PRP, or represent a PRP; (b) The group is "affiliated" with a national organization; (c) The group is an academic institution; (d) The group is a political subdivision; (e) The group was established or is presently sustained by any of the ineligible entities listed above; or (f) The group is not incorporated as a nonprofit organization for the specific purpose of representing affected people except as provided in 40 CFR 35.4045. 3) Once EPA determines if eligibility requirements have been met, the Agency considers whether and how successfully a group meets the following, equally weighted, criteria: (a) Representation of groups and individuals affected by the site; (b) The group's plans to use the services of a technical advisor throughout the Superfund response action; and (c) The group's ability and plan to inform others in the community of the information provided by the technical advisor. 4) Additionally, a group must incorporate as a nonprofit for the purpose of participating in decision making at the Superfund site for which the TAG was granted (for exception see 40 CFR 35.4045). Applicant groups should consult the Superfund TAG Handbooks, "Applying for a Grant" and "The Application Forms with Instructions," for detailed instructions on applying for a TAG.

66.808 SOLID WASTE MANAGEMENT ASSISTANCE GRANTS

FEDERAL AGENCY:

OFFICE OF SOLID WASTE, OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Solid Waste Disposal Act, Section 8001, as amended; Resource Conservation and Recovery Act of 1976, as amended; Public Law 94-580, 42 U.S.C. 6901 et seq. Amending laws for SWDA and RCRA: Public Law 98-616, Public Law 99-339, Public Law 99-499.

OBJECTIVES:

To promote use of integrated solid waste management systems to solve solid

waste generation and management problems at the local, regional and national levels. Funding Priority - Fiscal Year 2008: Partnerships - Establishing and developing partnerships with states, local governments and other non profit organizations to assist them in advancing their waste management programs, including recycling and reduction of wastes, appropriate management of wastes that are generated, and developing integrated waste management plans. Education and Outreach - Developing and providing education, training, and outreach materials to educate and inform Americans about waste's impact on resource and energy use and pollution. Fostering a better understanding of the environmental ramifications of collective actions including how individual purchasing and manufacturing decisions are connected to the environment and to enable Americans to make better choices resulting in increased recycling, less waste and greater energy savings.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Available for the allowable direct cost expenditures incident to program performance plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policies. Projects can include the following types of activities: training, surveys, education materials and programs, studies, and demonstrations; assistance to such projects shall not include any element of construction, or any acquisition of land or interest in land, or any subsidy for the price of recovered resources. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:

<http://geodata.epa.gov>.

Applicant Eligibility:

State (including the District of Columbia, Puerto Rico, Virgin Islands, Guam, American Samoa, and Northern Mariana Islands), local, Tribal, interstate, and intrastate government agencies and instrumentalities; and nonprofit organizations that are not 501(c)(4) organizations that lobby, including nonprofit educational institutions and nonprofit hospitals. Individuals and for-profit organizations are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Additionally, EPA may limit competition under this CFDA to Tribes and Inter-Tribal Consortia.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, the public, and interstate agencies.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular No. A-87.

Nonprofits need to provide proof of their status.

Preapplication Coordination:

Discussion with EPA Headquarters or the appropriate EPA Regional Office is advisable. (See Appendix IV of the Catalog for list of Regional Contacts). This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

Application Procedure:

The standard application form as furnished by the Federal agency and required by OMB Circular No. A 102 must be used for this program. Requests for application forms and submission of completed applications should be directed to EPA Grants and Interagency Agreements Management Division (3903R), Washington, DC 20460, or the appropriate EPA Regional Office listed in Appendix IV of the Catalog. This program is subject to the provisions of OMB Circulars No. A 110 and No. A102. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA reviews each application. They shall be subjected to administrative evaluation to determine the adequacy of the application in relation to grant regulations and program evaluation criteria to determine the merit and relevance of the project. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Varies from region to region. Contact appropriate Regional Office for details.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted, which will be reviewed in the same manner as a new application.

Formula and Matching Requirements:

This program does not have a statutory formula for distributing funds or statutory cost share requirement. As a matter of policy, EPA requires a minimum 5 percent cost share when the Agency funds grantees for more than one year.

This requirement does not apply to the first year's funding. The Agency may waive the requirement in subsequent years on a case by case basis.

Length and Time Phasing of Assistance:

Grants will normally be funded on a 12 month basis (yearly). The total approved project period is generally no longer than 3 years.

Reports:

Quarterly interim and final progress, expenditure, equipment and invention reports.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised during the three year project period as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-999.

Obligations:

FY 07 \$2,700,601; FY 08 \$3,000,000; and FY 09 est \$3,000,000.

Range and Average of Financial Assistance:

(Fiscal year 2007) \$4,000 to \$940,000; \$67,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 45 projects were awarded. The estimate of projects for fiscal year 2008 is about the same. Quantifiable data on the number and ratio of past applications not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Parts 30, 31 and 33); "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161, on a subscription basis.

Regional or Local Office:

Individuals are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

For information concerning grant applications and procedures, contact the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903F, Washington, DC 20460. Telephone: (202) 260-9266. For general information on Solid Waste Management Assistance grants, please contact Nick Vizzzone, at EPA/OSWER/OSW/CIRMD Mail Code 5305W, Washington, DC 20460. Telephone: (703) 308-8460. Fax: (703) 308-7904. E-mail: vizzzone.nick@epa.gov.

Web Site Address:

<http://www.epa.gov/epaoswer/osw>.

RELATED PROGRAMS:

66.500, Environmental Protection Consolidated Research.

EXAMPLES OF FUNDED PROJECTS:

- (1) Conference to provide a national forum for tribal governments to disseminate environmental program training and to interact with federal partners;
- (2) Conduct outreach to state purchasers, educate them about the guidelines established in January 2002, and encourage them to formally adopt product stewardship purchasing criteria into their state purchasing contracts for carpet;
- (3) Challenge the electronics industry to design products that meet new, peer reviewed design principles and recycling strategies;
- (4) Develop and implement a mercury containing lamp recycling outreach program;
- (5) Increase public

awareness of mercury containing lamp recycling and access to resource information; (6) Foster information sharing among State regulatory programs with varying regulatory approaches and experiences and in differing stages of maturity.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.809 SUPERFUND STATE AND INDIAN TRIBE CORE PROGRAM COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

OFFICE OF SUPERFUND REMEDIATION AND TECHNOLOGY
INNOVATION, OFFICE OF SOLID WASTE AND EMERGENCY
RESPONSE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 104(d), as amended, 42 U.S.C. 9604(d) et seq.

OBJECTIVES:

To effectively implement the statutory requirements of CERCLA Section 121(f) for State involvement. To provide funds to conduct CERCLA activities which are not assignable to specific sites, but support a recipient's site-specific response program. Funding Priority - Fiscal Year 2008: Funding may be used for a variety of activities that include: (1) procedures for emergency response actions and remediation of environmental and health risks; (2) provisions for satisfying all requirements and assurances; (3) development of legal authorities and enforcement support; (4) hiring and training staff; and, (5) activities that support EPA/recipient interaction. Funding Priority - Fiscal Year 2009: Funding may be used for a variety of activities that include: (1) procedures for emergency response actions and remediation of environmental and health risks; (2) provisions for satisfying all requirements and assurances; (3) development of legal authorities and enforcement support; (4) hiring and training staff; and, (5) activities that support EPA/recipient interaction.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Core Program Cooperative Agreements are offered to ensure that each State, or Federally Recognized Indian Tribe or intertribal consortia can substantially participate in CERCLA response actions. Recipients negotiate with EPA Regions to receive funding for a variety of activities that include: (1) Procedures for emergency response actions and remediation of environmental and health risks; (2) provisions for satisfying all requirements and assurances; (3) development of legal authorities and enforcement support; (4) hiring and training staff; and (5) activities that support EPA/Recipient interaction. Recipients with Regional approval may receive awards for multi year budget and project periods at the Region's discretion. Funding for the entire project may be made as a lump sum or incrementally over time. Recipients must demonstrate progress towards the goals stated in the Core Program Cooperative Agreement in order to be eligible for continued funding. Only one Core Program Cooperative Agreement will be awarded to each eligible State or Tribe or intertribal consortia through the Single Point of Contact. Funds must be used for non-site-specific activities as described above.

Applicant Eligibility:

States, Commonwealths, U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments and intertribal consortia.

Beneficiary Eligibility:

States, Commonwealths, U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments and intertribal consortia.

Credentials/Documentation:

Costs will be determined in accordance with 40 CFR Part 35 Subpart O and OMB Circular No. A 87, "Cost Principles for State, Local, and Indian Tribal Governments," and other supporting documentation required by the Agency.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Consultation and pre-application conference are recommended. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA regional Superfund program offices review the applications for cooperative agreements. Final approval of application and supporting documentation and offer of award is made by the EPA Regional Administrator unless re-delegated to the Regional Division Director.

Deadlines:

None.

Range of Approval/Disapproval Time:

Approximately ninety (90) days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Applications for additional funding need to include only the revised pages from EPA Form 424.

Formula and Matching Requirements:

As required by 40 CFR Part 35 Subpart O, State recipients of Core Program Cooperative Agreements must provide a 10 percent cost share of the total project cost on all awards. This match may be made from either direct, non-federal funds, non reimbursed fund, or with in kind contributions. CERCLA credits earned at other Superfund sites cannot be used to meet the 10 percent match. This program has no statutory formula.

Length and Time Phasing of Assistance:

Assistance is awarded as a lump sum, or may be incrementally funded. Recipients with Regional approval may receive cooperative agreement awards for multi year budget and project periods at the Region's discretion. Continued funding of tasks in subsequent years is based on an evaluation of demonstrated progress towards the goals in the Core Program Cooperative Agreement Statement of Work.

Reports:

The recipients must submit progress reports no more frequently than quarterly, but at a minimum annually. The recipients must submit financial status reports annually, and a final report.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 31 and Part 35, Subpart O are applicable. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. The recipient must maintain all records for 10 years following submission of the final Financial Status Report unless otherwise directed by the EPA award official, and must obtain written approval from the EPA award official before destroying any records. If any litigation, claim, negotiation, audit, cost recovery, or other action involving the records has been started before the expiration of the ten year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular ten-year period, whichever is later.

Account Identification:

68-8145-0-1-304.

Obligations:

(Core Cooperative Agreements) FY 07 \$8,800,000; FY 08 est. \$8,000,000, FY 09 est. \$8,000,000.

Range and Average of Financial Assistance:

\$26,000 to \$571,000; average award amount: \$232,000.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, the types of activities that are permitted to be funded include: hiring staff, administrative salaries, clerical help, financial accounting, data management, program management, medical monitoring, health and safety training for field employees, computer systems purchases, training, legal assistance and legislative development. Outputs include reports, accounting and tracking systems, hired and trained staff, cost recovery procedures and techniques, laws and regulations for hazardous waste control. In Fiscal Year 2008, the types of activities that are permitted to be funded include: hiring staff, administrative salaries, clerical help, financial accounting, data management, program management, medical monitoring, health and safety training for field employees, computer systems purchases, training, legal assistance and legislative development. Outputs include reports, accounting and tracking systems, hired and trained staff, cost recovery procedures and techniques, laws and regulations for hazardous waste control.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR 31, 40 CFR Part 35, Subpart O, Program Guidance Documents.

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Angelo Carasea, State, Tribe and Site Identification Branch, Assessment and Remediation Division, Office of Superfund Remediation and Technology

Innovation, Mail Code 5204P, EPA, Washington, DC 20460. Telephone: (703) 603-8828. E-mail: carasea.angelo@epa.gov. Fax: (703)603-8828.

Web Site Address:

<http://www.epa.gov/superfund>

RELATED PROGRAMS:

66.802, Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements.

EXAMPLES OF FUNDED PROJECTS:

Administrative and program development, accounting systems development, legal authorities and enforcement support, hiring and training of State response staff, procedures for emergency response actions.

CRITERIA FOR SELECTING PROPOSALS:

For Core Program Cooperative Agreements, recipients must demonstrate a need for non-site specific funds to support activities not covered by other site-specific awards. Each project is examined and selected on a case-by-case basis based upon availability of funds, receipt of application, and other criteria as determined by EPA.

66.810 CHEMICAL EMERGENCY PREPAREDNESS AND PREVENTION (CEPP) TECHNICAL ASSISTANCE GRANTS PROGRAM

(CAA 112 and SARA Title III State Grants Program)

FEDERAL AGENCY:

OFFICE OF EMERGENCY MANAGEMENT, OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Air Act Section 103 (b)(3) and Section 112 (l)(4); Toxic Substances Control Act, Sections 10(a), and 28(a), Public Law 94-469, 15 U.S.C. 2601 et seq.

OBJECTIVES:

To provide financial assistance to States, Local agencies, and Indian Tribes for chemical accident prevention activities that relate to the Risk Management Program under the Clean Air Act Section 112(r). To provide financial assistance to Tribes for chemical emergency planning, and community right-to-know programs which are established to prevent or eliminate unreasonable risk to the health and environment of communities within the State. Funding Priority - Fiscal Year 2008: (1)Capacity Building: Increase capacity at the State, Tribe, or local level to implement and enforce the Chemical Accident Prevention provisions of the Clean Air Act Section 112(r) and to integrate chemical accident prevention activities; chemical emergency planning efforts, and community right-to-know programs. (2) Community Issues: Development of model technical assistance materials for use by States/Tribes or Local Emergency Planning Committees (LEPCs) to evaluate potential chemical risks to their communities and to take appropriate prevention and preparedness steps to protect the community. (3) Partnerships: Strengthening partnerships among States/Tribes and Local Emergency Planning Committees (LEPCs), industry, emergency responders, and the general public to foster collaboration and build credibility for chemical prevention and preparedness activities. Funding Priority - Fiscal Year 2009: (1)Capacity Building: Increase capacity at the State, Tribe, or local level to implement and enforce the Chemical Accident Prevention provisions of the Clean Air Act Section 112(r) and to integrate chemical accident prevention activities; chemical emergency planning efforts, and community right-to-know programs. (2) Community Issues: Development of model technical assistance materials for use by States/Tribes or Local Emergency Planning Committees (LEPCs) to evaluate potential chemical risks to their communities and to take appropriate prevention and preparedness steps to protect the community. (3) Partnerships: Strengthening partnerships among States/Tribes and Local Emergency Planning Committees (LEPCs), industry, emergency responders, and the general public to foster collaboration and build credibility for chemical prevention and preparedness activities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Innovative Technical Assistance Grants are offered to improve the ability of States, Tribes and local communities to protect public health and safety and involve the development of technical assistance or similar materials that could be used directly or adapted by other States/Tribes or Local Emergency Planning Committees (LEPCs). Projects which address implementing the Risk Management Program under the Clean Air Act Section 112(r) are especially of interest. The grant money may not supplant State or Tribal funds already being spent. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

States, Local agencies District of Columbia, Puerto Rico, U.S. Virgin Island, Northern Mariana Islands, and Federally Recognized Indian Tribes. For certain

competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, Tribes, Local Emergency Planning Committees, and local emergency responders.

Credentials/Documentation:

OMB Circular No. A-87 is required for this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Potential applicants should contact the CEPP Regional contact listed below to determine if grants are available in a specific Region. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

States, Local agencies, and Indian Tribes must submit the original completed application package and one copy to the appropriate EPA Regional Office. The Regional office will review the application package to ensure completeness. States must comply with all applicable requirements. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Grant applications will undergo the following review process: EPA Regional Office staff will evaluate each application and, based on the criteria established will select proposal(s) for funding. EPA Regional offices will be awarding the grants. The review process will concentrate on choosing the best project concepts. Once the grant is awarded to the State Emergency Response Commission (SERC), Tribal Emergency Response Commission (TERC), directly to the local agencies such as the Local Emergency Planning Committee (LEPC), or other State agency with SERC coordination as appropriate, the recipient will be notified by phone or email. EPA will monitor the work in progress and provide project guidance and oversight to the State, Local agencies, and Tribes.

Deadlines:

Contact the Regional Office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. There is a 25 percent match requirement for assistance agreements awarded to States under the Toxic Substances Control Act, Section 28.

Length and Time Phasing of Assistance:

Grants will normally be funded on a 12 to 24 month basis. Total approved project period should not exceed 2 years for a particular project. A call for letters of intent and applications will be advertised annually via the Regions notifying States, Local agencies, and Tribes. Recipients will be notified by phone call or email that their proposal will be funded. The grants may be awarded in a lump sum.

Reports:

Reports will be required on a quarterly basis to describe applicant's progress.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial reports, including all documents to support entries on the accounting records and to substantiate charges to each grant or cooperative agreement must be kept available to personnel authorized to examine EPA grant recipient accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

EPM 68-0108-0-1-304.

Obligations:

FY 07 \$100,000; FY 08 est. \$90,000; and FY 09 est. \$90,000.

Range and Average of Financial Assistance:

\$25,000 to \$75,000; an average of approximately \$50,000 for a one year award.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2007, 4 grant applications were received and 2 awards were made to a State and to a Tribe for chemical accident prevention and preparedness. The State will focus on compliance assistance site visits to RMP facilities and maintain tracking database. The tribe will perform an inventory of facilities and hazardous chemicals, identify and document location of materials and facilities, and design and implement outreach programs to focus on safe storage and use of chemicals. For FY 2008, it is anticipated that no more than 2 grants will be awarded for conducting and promoting activities associated with the Clean Air Act program in the area of Chemical Accident Prevention, Preparedness and Response. Activities may include RMP facility inspections, data analysis community safety outreach, and hazardous materials exercises. For FY 2008, we anticipate 3 to 4 applications. These grants are in support of Goal 4: Healthy Communities and Ecosystems, and the outcomes for these projects are defined and include Chemical Organism and Pesticide Risk reduction at facilities. For FY 2009, again we estimate 2 awards and 3 - 4 applications.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements for Grants and Cooperative Agreements to States and Local Government (40 CFR Part 31).

Regional or Local Office:

Any inquiries, contact the CEPP Coordinators as listed in Appendix IV of the Catalog.

Headquarters Office:

Sherry Fielding, Grant Program Manager, OSWER/OEM/POCD (5104A), EPA HQ, Ariel Rios Building, 1200 Pennsylvania Ave., N.W., Washington, DC 20460. Telephone: (202) 564-6174, Fax: (202) 564-8211
fielding.sherry@epa.gov.

Web Site Address:

<http://www.epa.gov/emergencies>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Development of a comprehensive implementation and enforcement strategy for an accidental release prevention program which includes how the 112(r) program will be integrated with other State and Local programs; Development of Legislative authority, regulations, and/or documentation needed for approval of a 112(r) program for State or Local accident prevention for all sources or for some sources; Educational Videos: Each video describes to the viewer where the specific hazardous substance may be found in the community and potential business types that would and would not need to comply; Hazardous Vulnerability Analysis Plan: Describes the geographic area of the Tribe, the potential hazards facing the Tribe, and the emergency response capabilities/services available; Educational or Outreach materials that promotes 112(r) program integration with other State and Local programs.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.812 HAZARDOUS WASTE MANAGEMENT GRANT PROGRAM FOR TRIBES

FEDERAL AGENCY:

OFFICE OF SOLID WASTE, OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Department of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriation Act, Public Law 105-276; 112 Stat. 2461, 2499 (1998).

OBJECTIVES:

The purpose of the Hazardous Waste Management Grant Program for Tribes is to provide financial assistance to Tribal governments and Tribal Consortia for

the development and implementation of hazardous waste programs; for building capacity to improve and maintain regulatory compliance; and for developing solutions to address hazardous waste impacting Tribal lands. Funding Priority - Fiscal Year 2008: Develop and implement hazardous waste management programs that improve the applicant's ability to properly identify, manage, or dispose of hazardous waste. Build capacity to improve and maintain regulatory compliance and develop solutions to address hazardous waste mismanagement impacting Tribal lands. Development and institutionalization of hazardous waste program capacity for Tribal governments and the communities they serve. Funding Priority - Fiscal Year 2009: Develop and implement hazardous waste management programs that improve the applicant's ability to properly identify, manage, or dispose of hazardous waste. Build capacity to improve and maintain regulatory compliance and develop solutions to address hazardous waste mismanagement impacting Tribal lands. Development and institutionalization of hazardous waste program capacity for Tribal governments and the communities they serve.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

This grant program will support projects designed to develop and implement hazardous waste management programs. These programs must improve the applicant's ability to properly identify, manage, or dispose of hazardous waste. All hazardous waste management activities that address the Resource Conservation and Recovery Act (RCRA) Subtitle C "cradle to grave" approach are eligible. Each of the following hazardous waste management program activities are considered central to a "cradle to grave" program: Hazardous Waste Identification; Hazardous Waste Generator Siting, Monitoring, & Compliance (Large Quantity, Small Quantity, and Conditionally Exempt Small Quantity Generators); Hazardous Waste Minimization, Recycling, Used Oil, and Universal Wastes; Hazardous Waste Transportation; Treatment, Storage, and Disposal Facility Siting, Permitting, Monitoring, Corrective Action, & Enforcement; Land Disposal Restrictions; Combustion. Assistance will be provided under this program only for activities which EPA determines are appropriate to carry out the purposes of RCRA. Assistance under this program does not include any element of municipal solid waste management, chemical emergency planning and preparedness or superfund program cleanup.

Applicant Eligibility:

The following are eligible to receive financial assistance: (a) an Indian tribal government; and (b) an intertribal consortium or consortia. An Indian tribal government is any tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation (as defined in or established pursuant to the Alaska Native Claims Settlement Act, 43 U.S.C. 1601, et seq.), which is recognized by the U.S. Department of the Interior as eligible for the special services provided by the United States to Indians because of their status as Indians. A consortium is a partnership between two or more Indian tribal governments authorized by the governing bodies of those tribes to apply for and receive assistance under this program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Government.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular No.A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Potential applicants should consult the designated Regional Tribal hazardous waste program coordinator. It is strongly suggested that potential applicants consult with the designated Regional point of contact. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The annual competitive announcement outlines specific procedures for submitting proposals. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the Regional Tribal Hazardous Waste Program Coordinator for specific application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

In order to receive renewals, significant progress on previously awarded grant must be demonstrated.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of the grant award. Grants may be awarded in a lump sum.

Reports:

Annual Regional Review. Grantees must provide financial and performance activity reports according to the terms negotiated with the Regional program. Copies of the reports should also be sent to the EPA Office of Solid Waste headquarters representative.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Records and accounts must be maintained to reflect the operations of the project. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

FY 07 \$288,000; FY 08 \$305,000; and FY 09 est. \$305,000. In addition, OSW may supplement these funds with Environmental Program Management extramural funds.

Range and Average of Financial Assistance:

Range = \$18,000 to \$100,000; Average = \$56,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, OSW distributed funds to Tribes and Inter-Tribal Consortia in support of activities that facilitate the development of comprehensive management programs to properly identify, manage, or dispose of hazardous waste; build capacity to improve and maintain regulatory compliance; and identify and/or implement solutions that will address hazardous waste mismanagement. In FY 2007, OSW received 25 proposals. To assist Tribes through the FY 2007 Hazardous Waste Management Grant Program, \$288,000 in STAG funding and \$30,000 in EPM (supplemental funding) for a total of \$318,000 was distributed to Regions 2, 7, 9, and 10. A total of 5 awards were made through these Regions. In FY 2008, it is anticipated that 4 new awards will be made through the Hazardous Waste Management Grant Program for Tribes.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (40 CFR Part 30), "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA. Contact designated Regional program coordinator for other documents, including the "Hazardous Waste Management Grant Program for Tribes: Guidance for Applicants."

Regional or Local Office:

Designated Regional Tribal Hazardous Waste Management Program Contacts:
Region, Address, Name, E-mail Address, Phone, Fax. Region I, U.S. EPA, 1 Congress Street, Boston, MA 02114-2023, Chuck Franks, Franks.Chuck@epa.gov, (617) 918-1554, (617) 918-1505. Region II, U.S. EPA, 290 Broadway, 22nd Floor, New York, NY 10007-1886, Lorraine Graves, Graves.Lorraine@epa.gov, (212) 637-4099, (212) 637-4437. Region IV, U.S. EPA, Atlanta Federal Center, 61 Forsyth Street, Atlanta, GA 30303, Davy Simonson, Simonson.Davy@epa.gov, (404) 562-8457, (404) 562-8439. Region V, U.S. EPA, 77 W. Jackson Blvd. Chicago, IL 60604, Margaret Millard, Millard.Margaret@epa.gov, (312) 353-1440, (312) 353-6519. Region VI, U.S.

EPA, 1445 Ross Avenue, Dallas, TX 75202-2733, Nick Stone (Technical), Stone.Nick@epa.gov, ChesTeena Hullum (Grants), Hullum.ChesTeena@epa.gov, (214) 665-7226, (214) 665-7216, (214) 665-7263, (214) 665-6762. Region VII, U.S. EPA, 901 N. 5th Street, Kansas City, KS 66101, Gayle Hubert, Hubert.Gayle@epa.gov, (913) 551-7439, (913) 551-9669. Region VIII, U.S. EPA, 1595 Wynkoop Street, Mail Code: 8P-HW, Denver, CO 80202-1129, Susanna Trujillo, Trujillo.Susanna@epa.gov, (303) 312-7008, (303) 312-6341. Region IX, U.S. EPA, 75 Hawthorne Street, Mail Code: WST-7, San Francisco, CA 94105, Heather White, White.Heather@epa.gov, (415) 972-3384, (415) 947-3530. Region X, U.S. EPA, 1200 6th Avenue, Seattle, WA 98101, Maria Tartaglia, Tartaglia.Maria@epa.gov, (206) 553-1128, (206) 553-8509.

Headquarters Office:

Denise Roy, Office of Solid Waste, Environmental Protection Agency, 1200 Pennsylvania Ave., N.W., (5303P) Washington, DC 20460. Telephone: (703) 308 8458 E-Mail: Roy.Denise@epa.gov.

Web Site Address:

http://www.epa.gov/tribalmsw/.

RELATED PROGRAMS:

66.473, Direct Implementation Tribal Cooperative Agreements; 66.605, Performance Partnership Grants; 66.701, Toxic Substances Compliance Monitoring Cooperative Agreements; 66.708, Pollution Prevention Grants Program; 66.709, Multi-Media Capacity Building Grants for States and Tribes; 66.711, Environmental Justice through Pollution Prevention Grants; 66.801, Hazardous Waste Management State Program Support; 66.808, Solid Waste Management Assistance Grants; 66.810, Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program; 66.926, Indian Environmental General Assistance Program (GAP).

EXAMPLES OF FUNDED PROJECTS:

The following activities are suggestive and do not limit the range of activities considered eligible under this grant program. These activities are eligible when they are performed in support of developing and implementing a tribal program to manage hazardous waste: Develop and implement codes, regulations, and ordinances, policies, and/or guidance to regulate hazardous waste management activities and facilities, such as generators, transporters, and treatment, storage and disposal facilities. Develop and implement hazardous waste program enforcement policies and procedures to ensure regulatory compliance, including monitoring and inspection schemes. Develop legal and administrative infrastructure adequate to implement and maintain regulatory and/or non-regulatory hazardous waste management programs. Includes support for Tribal Emergency Response Committees (TERC). Identify and assess hazardous waste generation and ongoing management of concern to the community, including: inventory active and inactive dump/disposal sites and assess contamination potential; characterize hazardous waste stream, including illegal dump-sites; and identify sensitive sites with potential or actual impacts on water bodies, soil, air, cultural/historic resources, threatened or endangered species, etc. Develop and implement tribal integrated hazardous waste management plans (including, but not limited to, source reduction/recycling, household hazardous waste collection programs, pesticide container collection,). Implement used oil collection/re-use and other programs to reduce the mismanagement of hazardous waste in the community. Implement household hazardous waste collection events or programs that provide sustainable or long-term solutions for disposal of household hazardous waste for the community. Develop outreach/education documents and other resource materials to plan and conduct hazardous waste management seminars for tribal leaders, community members, business and civic organizations, etc. Establish qualifications for environmental management personnel relative to the tribe's needs and plan for program implementation. Establish a communications plan for hazardous waste management program activities. Identify multi-jurisdictional opportunities for hazardous waste management, including feasibility for intergovernmental (Tribal, Federal, State, local) cooperative efforts.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.813 ALTERNATIVE OR INNOVATIVE TREATMENT TECHNOLOGY RESEARCH, DEMONSTRATION, TRAINING, AND HAZARDOUS SUBSTANCE RESEARCH GRANTS

FEDERAL AGENCY:

OFFICE OF SUPERFUND REMEDIATION AND TECHNOLOGY INNOVATION, OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Sections 311(b) and (c), as amended, Public Laws 95-510, and 99-499, 42 U.S.C. 9660.

OBJECTIVES:

To support grants and cooperative agreements for (1) a program of research, evaluation, testing, development, and demonstration of alternative or innovative treatment technologies which may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment; (2) a technology transfer program including the development, collection, evaluation, coordination, and dissemination of information relating to the utilization of alternative or innovative treatment technologies for response actions; (3) a program of training and evaluation of training needs in the procedures for the handling and removal of hazardous substances for employees who handle hazardous substances and training in the management of facilities at which hazardous substances are located and in the evaluation of the hazards to human health presented by such facilities for State and local health and environmental agency personnel, and (4) a program of research with respect to the detection, assessment, and evaluation of the effects on and risks to human health of hazardous substances and detection of hazardous substances in the environment. Funding Priority - Fiscal Year 2008: Assistance to (1) support The State Coalition For The Remediation of Drycleaners; (2) to conduct research with respect to the detection, assessment, and evaluation of the effects on, and risks to, human health from hazardous substances and detection of hazardous substances in the environment, as related to states and territories; (3) to increase the county officials' capacity to identify, assess, finance, revitalize and manage over time contaminated or potentially contaminated properties; (4) to host technical conferences and trainings for non federal personnel on innovative and alternative treatment technologies; and, (5) to support interstate teams that evaluate innovative and alternative treatment and characterization technologies for hazardous site remediation. Funding Priority - Fiscal year 2009: 1)To support the Removal Action Focus Group with research on resources, capabilities and successes of State removal and environmental emergency response programs to participate in Superfund large-scale emergencies. 2)To produce information that States will use to improve and enhance the practices relative to the assessment, remediation, and long-term stewardship activities conducted at Superfund sites. 3)The recipient will host technical conferences and training events for non federal personnel on innovative and alternative treatment technologies.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable indirect costs, in accordance with established EPA policies and regulations. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Types of discrete projects may include: land use controls research; health effects in construction workers; studies of above ground storage tank inspection practices; remediation technologies and strategies; and support for State forums to share information on research on hazardous substances waste remediation. Funding awarded for research under CERCLA 311(c) does not include research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Assistance under this program is generally available to States, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and individuals. In some instances, EPA will consider applications from profit makers, proposing projects with significant technical merit and relevance to EPA's Office of Solid Waste and Emergency Response. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, industry, and other public and private institutions and individuals.

Credentials/Documentation:

EPA may request that applicants document their nonprofit status. The Agency may also request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Applicants considering submitting proposals are advised to discuss proposed projects with or submit pre-applications to staff in the appropriate EPA technical program offices. When making competitive awards, EPA will specify the nature

of the pre-application assistance, if any, that will be available to applicants in the Requests for Initial Proposals or Requests for Applications. For information contacts, see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants Administration Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in the Request for Applications or Request for Initial Proposals.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

For non-competitive awards: Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable. For competitive awards: Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

EPA's Appropriation Act requires that applicants submitting unsolicited research grant and cooperative agreement proposals share in the cost of conducting research. The amount of the cost share will be based on the mutuality of interest between the Government and the applicant. This requirement cannot be waived by EPA. In addition, CERCLA 311(b)(3) requires that EPA, "to the maximum extent possible", enter into an appropriate cost sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies EPA may waive the 311(b)(3) cost sharing requirement in appropriate cases. This program has no statutory formula.

Length and Time Phasing of Assistance:

EPA generally funds grants and cooperative agreements in a lump sum on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Incremental funding may be available. EPA generally limits project periods to 5 years. The Office of Congressional Liaison is notified of the award. They in turn notify the appropriate House and Senate members that the assistance agreement has been awarded. This notification period lasts 5. After the 5 Day Congressional notification period, the original award document will be sent to the recipient. A copy of the award document will be sent to the Project Officer and the Las Vegas Financial Management Center or the Regions Financial Management Office.

Reports:

Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General,

other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. EPA may require that for profit recipients follow 40 CFR Part 30. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

20-8145-0-7-304.

Obligations:

FY 07 \$1,711,400; FY 08 \$315,190; and FY 09 est. \$2,000,000.

Range and Average of Financial Assistance:

For each fiscal year it is \$20,000 - \$450,000, Average \$320,000.

PROGRAM ACCOMPLISHMENTS:

Technical conferences and training events for nonfederal personnel on innovative and alternative treatment technologies; to conduct research with respect to the detection, assessment, and evaluation of the effects on, and risks to, human health from hazardous substances and detection of hazardous substances in the environment, as related to states and territories; and, to increase the county official's capacity to identify, assess, finance, revitalize and manage over time contaminated or potentially contaminated properties. In FY 07 there were five awards, and in FY 08 three.

REGULATIONS, GUIDELINES, AND LITERATURE:

Alternative or innovative treatment technology and hazardous substance research grants are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for profit makers.

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

For information on grant applications and procedures, contact: Environmental Protection Agency, Grants Administration Division, 3903R, Washington, DC 20460. For program information contact: Barbara McDonough, Contracts Management Branch, Resources Management Division Office of Superfund Remediation and Technology Innovation, USEPA (5202-P), 1200 Pennsylvania Ave., N.W., Washington, DC 20460. E-mail: mcdonough.barbara@epa.gov, Telephone: (703) 603-9042, Fax: (703) 603-9133.

Web Site Address:

<http://www.epa.gov/superfund/index.htm>.

RELATED PROGRAMS:

66.808, Solid Waste Management Assistance Grants; 66.814, Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements; 66.815, Brownfield Job Training Cooperative Agreements.

EXAMPLES OF FUNDED PROJECTS:

Land use controls research; estimating soil ingestion in construction workers; study of state and territorial above ground storage tank inspection practices; State support for forums to discuss and share experiences on remediation technologies and strategies; and support for State forums to share information on research on waste remediation.

CRITERIA FOR SELECTING PROPOSALS:

Competitive applications and proposals will be selected in accordance with announced criteria. Non-competitive proposals are judged for (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.814 BROWNFIELDS TRAINING, RESEARCH, AND TECHNICAL ASSISTANCE GRANTS AND COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

OFFICE OF BROWNFIELDS AND LAND REVITALIZATION, OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act, Sections 101(39) and 104(k)(6), as amended, 42 U.S.C. 9604(k)(6).

OBJECTIVES:

CERCLA 104(k)(6) provides EPA with authority for a program of training, research, and technical assistance to individuals and organizations to facilitate the inventory of brownfields properties, assessments, cleanup of brownfields properties, community involvement, or site preparation. Brownfield sites are real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant. Funding Priority - Fiscal Year 2008: EPA may solicit proposals for training, research, and technical assistance and will incrementally fund projects with satisfactory project progress selected in previous 104(k)(6) training, research, and technical assistance competition. The Agency gives preference to the ten statutory ranking criteria, as applicable, found at CERCLA 104(k)(5)(C) (see CRITERIA FOR SELECTING PROPOSALS, below) when evaluating applications for research, training and technical assistance funding. EPA, in addition to the statutory criteria, also evaluates applicants based on their ability to manage grants and other policy based factors intended to promote effective stewardship of Federal funds. Annual Funding Priorities: By statute, 25% of the funding for CERCLA 104(k), must be used for characterization, assessment, and remediation of Brownfields sites contaminated by petroleum or petroleum products. No more than 15 percent of the funding appropriated for CERCLA 104(k) grants may be used to fund training, research, and technical assistance grants authorized by CERCLA 104(k)(6). EPA expects to support brownfields training, research, and technical assistance related to the following categories: (1) community involvement, (2) health impacts of brownfields sites, (3) science and technology relating to brownfields assessment, remediation, and site preparation, (4) integrated approaches to brownfields cleanup and redevelopment, (5) economics of brownfields cleanup and redevelopment, (6) results analysis, and (7) state, local and tribal government brownfields programs. For certain competitive funding opportunities, the Agency may limit eligibility to a particular subset of eligible applicants. The amount of financial assistance will vary, with the funding amount expected to be between \$50,000 and \$300,000 per grant. Competitive funding opportunities, the Agency may limit eligibility to a particular subset of eligible applicants. The amount of financial assistance will vary, with the funding amount expected to be between \$50,000 and \$300,000 per grant.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds awarded under Section 104(k)(6) of CERCLA must be used for training, research, and technical assistance to individuals and organizations, to facilitate the inventory of brownfields properties, site assessments, cleanup of brownfields properties, community involvement, or site preparation. Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable programmatic costs, in accordance with established EPA policies and regulations. Costs incurred under CERCLA 104(k)(6) grants or cooperative agreements may not be used for an administrative cost, penalty or fine, a Federal cost-share requirement, a response cost for which the recipient of the grant or cooperative agreement is potentially liable under CERCLA 107, or the cost of complying with a Federal law, with the exception of the costs of laws applicable to cleanup of Brownfields sites.

Applicant Eligibility:

A general purpose unit of local government; a land clearance authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of local government; a government entity created by a State legislature; a regional council or group of general purpose units of local government; a redevelopment agency that is chartered or otherwise sanctioned by a State; a State; an Indian Tribe other than in Alaska; an Alaska Native Regional Corporation, Alaska Native Village Corporation and the Metlakatla Indian Community. Nonprofit organizations are also eligible for training, research, and technical assistance grants. Nonprofit organizations must meet the definition of that term in Section 4(6) of the Federal Financial Assistance Management Improvement Act of 1999, Public Law 96-107, 31 U.S.C. 6101 Note. Under this definition, colleges, universities, and community colleges are eligible to apply. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency

may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, Tribal - an Indian Tribe other than in Alaska; an Alaska Native Regional Corporation, Alaska Native Village Corporation and the Metlakatla Indian Community, and local governments, communities with Brownfields sites and their residents, community groups, universities and colleges, industry, and other public and private institutions and individuals.

Credentials/Documentation:

EPA may request that applicants document their non-profit status. The Agency may also request that applicants demonstrate they have appropriate background, academic training, and experience in the field to carry out projects. EPA may ask applicants for research projects to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. See Appendix 1 for additional information regarding SPOC's. If there is no single point of contact for the state, or the state has not selected this program for review, applicants must contact directly affected state area-wide regional and local entities prior to award. (See 40 CFR 29.7(b)).

Application Procedure:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. This is a competitive grant program. Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA is required by statute to conduct this assistance program competitively. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadlines will be specified in Request for Applications or Request for Initial Proposals. Contact: Office of Brownfields and Land Revitalization, OSWER, EPA, Washington, DC 20460, David Lloyd, Director. Telephone: (202) 566-2777.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds. Incremental funding is subject to review and approval by the Office of Brownfields and Land Revitalization, Office of Solid Waste and Emergency Response. The funded grants and cooperative agreements are not eligible for renewal.

Formula and Matching Requirements:

For training, research and technical assistance grants, EPA will not require that applicants include a match or cost share unless required by the terms of a competitive announcement. Even if EPA decides not to require matching funds, a statutory factor in ranking applications under Section 104(k)(6) is the extent to which EPA financial assistance will stimulate the availability of other funds for environmental assessment or remediation, and subsequent reuse of Brownfields sites. Applicants may be encouraged to provide information regarding resources (cash/in-kind services) that they, or a project partner, would commit to efforts receiving EPA financial assistance. This program has no statutory formula.

Length and Time Phasing of Assistance:

EPA generally funds grants and cooperative agreements on a 12-month basis.

However, EPA can negotiate the project period with each applicant based on project requirements. Incremental funding may be available. EPA generally limits project periods for training, research and technical assistance grants to 5 years.

Reports:

Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-G103-0-1-304.

Obligations:

FY 07 \$2,669,376; FY 08 est. \$3,000,000; and FY 09 est. \$3,000,000.

Range and Average of Financial Assistance:

(FY 07) \$94,701 to \$625,000; Average \$190,669.71. The performance period for these grants ranges from two to five years.

PROGRAM ACCOMPLISHMENTS:

In FY 07, EPA solicited proposals for training, research, and technical assistance focused on tribal issues, particularly providing assistance to tribal communities with abandoned drug labs. EPA selected a proposal for funding with an award of \$100,000 per year for up to 3 years. In FY 07, EPA provided incremental funding to projects awarded in previous years. The ongoing grants provided training, community education and technical assistance to support brownfields assessment and cleanup efforts by State, local and tribal governments, communities, nonprofit organizations and private sector entities. The grants also resulted in research reports, case studies, and documents assisting communities with brownfields projects. In FY 08, EPA solicited proposals for training, research, and technical assistance to support geographically based technical assistance centers. EPA selected four proposals with an award of \$200,000 per year for up to 5 years each. In FY 08, EPA solicited proposals for training, research, and technical assistance focused on protecting health and the environment, sustainable development, and equitable development. EPA anticipates selecting 5-10 proposals with an award of up to \$300,000 per year for up to 5 years each. In FY 08, EPA provided incremental funding to projects awarded in previous years. The ongoing grants provided training, community education and technical assistance to support brownfields assessment and cleanup efforts by State, local and tribal governments, communities, nonprofit organizations and private sector entities. The grants also resulted in research reports, case studies, and documents assisting communities with brownfields projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Brownfields training, research, and technical assistance grants are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for non-profit institutions. EPA will provide applicants with guidance on statutory prohibitions on the use of grant and cooperative agreement funds in Requests for Initial Proposals, Requests for Applications, or by other means. EPA will provide assistance regarding clarification of the grant proposal guidelines. Potential applicants may submit written requests to OBCR for clarification. Significant clarifications to the guidelines will be posted on the brownfields website <http://www.epa.gov/brownfields>. This is the only form of pre-application assistance available. EPA will not meet with applicants to discuss draft proposals or provide informal comments on proposals.

Regional or Local Office:

None.

Headquarters Office:

For program information contact: Office of Brownfields and Land

Revitalization, OSWER, EPA, Washington, DC 20460, David Lloyd, Director.
Telephone: (202) 566-2777; Fax: (202) 566-1476; E-mail:
lloyd.davidr@epa.gov.

Web Site Address:

<http://www.epa.gov/brownfields>.

RELATED PROGRAMS:

66.815, Brownfield Job Training Cooperative Agreements; 66.817, State and Tribal Response Program Grants; 66.818, Brownfields Assessment and Cleanup Cooperative Agreements.

EXAMPLES OF FUNDED PROJECTS:

EPA has funded cooperative agreements for a variety of training, research, and technical assistance activities including: introductory training for communities new to brownfields activities; a brownfields resource guide for rural and small communities; research into brownfields-related job creation and job training; technical assistance linking brownfields redevelopment to improved health care access; case studies on state program best practices; reports on the use of insurance in brownfields projects; and national conference calls providing training and technical assistance to brownfields communities.

CRITERIA FOR SELECTING PROPOSALS:

This is a competitive grant program. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.815 BROWNFIELD JOB TRAINING COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

OFFICE OF BROWNFIELDS AND LAND REVITALIZATION, OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

The Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, 42 U.S.C. 9604(k)(6), Sections 101(39) and 104(k)(6).

OBJECTIVES:

To recruit, train, and place unemployed and under-employed residents of brownfields-impacted communities, and by doing so, providing individuals of these communities with the skills needed to obtain sustainable employment in brownfields redevelopment and environmental related careers. By doing so, the Brownfields Job Training Program promotes the facilitation of assessment, remediation, or preparation of brownfield sites. A brownfield site is "real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant," as defined in Section 101(39) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended (CERCLA, or Superfund). The law further defines the term "brownfield site" to include a site that "is contaminated by a controlled substance...; is contaminated by petroleum or a petroleum product excluded from the definition of 'hazardous substance'...; or is mine-scarred land." Funding Priority - Fiscal Year 2008: EPA expects to solicit proposals on an annual basis. Greater weight will be given to those applicants that exhibit comprehensive plans to leverage funding to develop an integrated job training program, those who demonstrate experience in providing job training and other job training services, and applicants who meet the ranking criteria outlined in Section "Criteria For Selecting Proposals" of this CFDA. Annual Funding Priorities: No more than 15 percent of the funding appropriated for CERCLA 104(k) grants may be used to fund research, training and technical assistance grants authorized by CERCLA 104(k)(6). By statute, 25 percent of the funding appropriated for CERCLA 104(k) grants must be used for characterization, assessment, and remediation of Brownfields sites contaminated by petroleum or petroleum products. The Agency must also give preference to the ten statutory ranking criteria found at CERCLA 104(k)(5)(C) when evaluating applications for funding. Funding Priority - Fiscal Year 2009: EPA expects to solicit proposals on an annual basis. Greater weight will be given to those applicants who meet the ranking criteria outlined in "Criteria For Selecting Proposals" of this CFDA including those who demonstrate experience in providing job training recruitment and placement services and environmental remediation knowledge, community involvement and employer partnerships, an ability to leverage nongovernmental funding, prior experience in managing and reporting upon federal grants, a demonstration of community need and environmental justice issues, and performance measurement strategies. Annual Funding Priorities: No more than 15 percent of the funding appropriated for CERCLA 104(k) grants may be used to fund research, training and technical assistance grants authorized by CERCLA 104(k)(6). By statute, 25 percent of the funding appropriated for CERCLA 104(k) grants must be used for characterization, assessment, and remediation of Brownfields sites contaminated by petroleum or petroleum products. The Agency must also give preference to the ten statutory ranking criteria found at CERCLA 104(k)(5)(C) when evaluating applications for funding.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds awarded under Section 104(k)(6) of CERCLA must be used for training, research, and technical assistance to individuals and organizations, to facilitate the inventory of brownfields properties, site assessments, cleanup of brownfields properties, community involvement, or site preparation. However, for brownfields job training grants, individuals are not eligible to apply. (See "Eligibility Requirements"). Grants and cooperative agreements are available to eligible entities throughout the United States. However, eligible applicants must propose to serve a community that currently receives, or has received, financial assistance for brownfields assessment, revolving loan fund, cleanup, State or tribal site-specific response program work, and/or targeted brownfield assessment grants. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable programmatic costs, in accordance with established EPA policies and regulations. Costs incurred under CERCLA 104(k)(6) grant or cooperative agreements may not be used for an administrative cost, penalty or fine, a Federal cost-share requirement, a response cost for which the recipient of the grant or cooperative agreement is potentially liable under CERCLA 107, or the cost of complying with a Federal law, with the exception of the costs of laws applicable to cleanup of Brownfields sites.

Applicant Eligibility:

Proposals will be accepted from either eligible governmental entities as defined in CERCLA Section 104(k)(1) or eligible nonprofit organizations as defined in Public Law 106-107, the Federal Financial Assistance Management Improvement Act. Eligible governmental entities include a general purpose local unit of government; a land clearance authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of government; a governmental entity created by a State legislature; a regional council or group of general purpose units of local government; a redevelopment agency that is chartered or otherwise sanctioned by a State; an Indian Tribe (other than in Alaska), or an Alaskan Native Regional Corporation and an Alaska Native Village Corporation as those terms are defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601 and following); and the Metlakatla Indian Community. Intertribal consortia, except consortia comprised of ineligible Alaskan tribes, are eligible to apply as well. Eligible nonprofit organizations include any corporation, trust, association, cooperative, or other organization that is operated mainly for scientific, educational, service, charitable, or similar purpose in the public interest; is not organized primarily for profit; and uses net proceeds to maintain, improve, or expand the operation of the organization. Workforce Investment Boards that meet these criteria may be eligible nonprofit organizations. Public and nonprofit private educational institutions are eligible to apply. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For-profit or proprietary training organizations or trade schools are not eligible to apply. Evidence of current nonprofit status under Federal, state or tribal law must be provided at the time the proposal is submitted. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Applicants that received a Brownfields Job Training grant from EPA in Fiscal Year 2008 (announced March 2008) are not eligible to apply in FY 09. A list of brownfields job training grants awarded in Fiscal Year 2008 can be found on the Brownfields Website, <http://www.epa.gov/brownfields/pilot.htm#previous>. However, applicants who received a brownfields job training grant in or before FY 07 are eligible to apply for this competition. Applicants must also demonstrate that their proposed project will not duplicate other federally-funded job training programs in their target area. For example, applicants must demonstrate that the proposed training project does not duplicate National Institute of Environmental Health Sciences hazardous waste worker training programs in their target community. If an applicant is listed as a recipient of an NIEHS job training grant, the applicant must demonstrate how services under this proposed project will complement but not duplicate existing federal job training activities in the target community and area. All applicants must also include OSHA 29 CFR 1910.120 40-hour Hazardous Waste Operations and Emergency Response (HAZWOPER) training as part of their proposed training curriculums. All curriculums must include this training and be provided to all individuals entering training. Please visit the following website for more details: <http://www.osha.gov/pls/oshaweb/>.

Beneficiary Eligibility:

Job training grants will provide environmental job training and help individuals of brownfields neighborhoods take advantage of job opportunities created as a result of the assessment and cleanup of brownfields properties. In addition, this program benefits industry by increasing the supply of skilled labor for firms that engage in environmental assessment and cleanup.

Credentials/Documentation:

EPA requires that applicants document their nonprofit status at the time of

Application. The Agency may also request that applicants demonstrate that they have appropriate background, academic training, experience in fields, and necessary equipment to carry out training projects.

Preapplication Coordination:

This is a competitive grant program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" in Section 7 of the Application Guidelines for Brownfields Job Training Grants. This program is excluded from coverage under E.O. 12372. (See EPA's Federal Register Notice from November 26, 1986, to exempt hazardous waste training programs from intergovernmental review.)

Application Procedure:

By statute, EPA must award Brownfields Job Training grants competitively. EPA will specify application procedures in Requests for Initial Proposals or Request for Applications. As stipulated in the Requests for Applications, successful grant applicants must provide, in addition to the SF 424, a Dun and Bradstreet Data Universal Numbering System (DUNS) number, which is now required when applying for federal grants or cooperative agreements on or after October 1, 2003. For more information go to: <http://www.grants.gov>. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Final approval of applications for job training grants and supporting documentation is made by EPA. Award of grant funds are made by EPA Regional Award Officials.

Deadlines:

Proposal deadlines for selection of job training grant projects are announced in Requests for Initial Proposals and/or Requests for Applications. The FY 09 Request for Applications is anticipated to be issued in June 2008 and the closing date will follow approximately 45-60 days following the issuing date.

Range of Approval/Disapproval Time:

For job training grants, the range of approval/disapproval time will be approximately ninety days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities and availability of funds. Renewals and extensions of funding are not available.

Formula and Matching Requirements:

For the Brownfields Job Training Grant, EPA does not require that applicants include a match or cost share. However, evidence of leveraged funds is encouraged. Furthermore, a statutory factor in ranking applications under Section 104(k)(6) is the extent to which EPA financial assistance will stimulate the availability of other funds for environmental assessment or remediation, and subsequent reuse of Brownfields sites. Applicants may be encouraged to provide information regarding resources (cash/in-kind services) that they, or a project partner, would commit to efforts receiving EPA financial assistance. EPA may take these commitments into account in ranking proposals. This program has no statutory formula.

Length and Time Phasing of Assistance:

The performance period for job training grant funds is generally two years. Brownfield grant cooperative agreements may be amended to provide additional funding and additional time for grant recipients demonstrating significant success in brownfields training and redevelopment efforts depending upon the availability of funding. Proposal funding is not guaranteed at any stage of the proposal process until the Cooperative Agreement, including workplan, is completed and the final award is made. All awards are made in a lump sum.

Reports:

Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the

Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

FY 07 \$2,342,813; FY 08 \$2,597,050; and FY 09 est \$2,500,000.

Range and Average of Financial Assistance:

(FY 07) \$171,250 to \$200,000; average \$195,234. (FY 08) \$197,051 to \$200,000; average \$199,773. For job training grants, an eligible entity may apply up to \$200,000. The performance period for these grants is generally 2 years.

PROGRAM ACCOMPLISHMENTS:

To date, EPA has funded 131 brownfields job training grants totaling approximately \$25 million. In FY 07, EPA received thirty-eight proposals and awarded twelve new cooperative agreements. In FY 08, EPA received 38 proposals and awarded thirteen new cooperative agreements. It is anticipated that 12 to 13 cooperative agreements will be awarded for FY 09. As of spring 2008, more than 4,200 people had completed training; and more than 2,700 obtained employment in the environmental field with an average starting hourly wage of \$13.97.

REGULATIONS, GUIDELINES, AND LITERATURE:

For job training grants, costs must be in accordance with OMB Circulars Nos. A-87 (State, tribal and local governments), A-21 (universities) and A-122 (nonprofit organizations), depending on the recipient. Recipients must comply with 40 CFR Part 30 for universities and nonprofit organizations, and Part 31 for states, tribes, and local governments. In addition, recipients must comply with applicable provisions of EPA training grant regulations at 40 CFR Part 45. EPA will also provide applicants with guidance on statutory prohibitions on the use of grant and cooperative agreement funds in Requests for Initial Proposals, Requests for Applications, or by other means.

Regional or Local Office:

EPA Regional Offices are listed in Section 7 in the Application Guidelines for Brownfields Job Training Grants.

Headquarters Office:

For program information contact: Office of Brownfields and Land Revitalization, OSWER, EPA, Washington, DC 20460, Joseph Bruss, Telephone: (202) 566-2772, Fax: (202) 566-1476, E-mail: bruss.joseph@epa.gov.

Web Site Address:

<http://www.epa.gov/brownfields>.

RELATED PROGRAMS:

66.814, Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements; 66.817, State and Tribal Response Program Grants; 66.818, Brownfields Assessment and Cleanup Cooperative Agreements.

EXAMPLES OF FUNDED PROJECTS:

In Fiscal Years 2007 and 2008, the EPA Brownfields Program awarded cooperative agreements to a variety of eligible entities and nonprofit organizations to provide local residents with the skills needed to gain employment in careers related to the assessment and cleanup of brownfields sites. These cooperative agreements included training and instruction in a variety of subjects, including: OSHA health and safety training, HAZWOPER and HAZMAT certification, lead and asbestos abatement, forklift operations, phytoremediation, mold remediation, site assessment, sampling, field investigation, instrumentation, and treatment technologies. Furthermore, these cooperative agreements provided brownfields job training programs the funds needed to perform recruitment and community outreach to residents in low-income and minority neighborhoods impacted by brownfields sites.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.816 HEADQUARTERS AND REGIONAL UNDERGROUND STORAGE TANKS PROGRAM

FEDERAL AGENCY:

OFFICE OF UNDERGROUND STORAGE TANKS, OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Solid Waste Disposal Act of 1976, Section 8001(a) and (b) as amended by the Hazardous and Solid Waste Amendments of 1984 (Public Law 98-616); the Safe Drinking Water Act Amendments of 1986 (Public Law 99-339); and the Superfund Amendments and Reauthorization Act of 1986 (Public Law 99-499), 42 U.S.C. 6991; Resource Conservation and Recovery Act of 1976.

OBJECTIVES:

To support activities that promote the prevention, compliance, and identification of underground storage tanks, and to support activities that promote corrective action, enforcement and management of releases from underground storage tank systems. Funding Priority - Fiscal Year 2008: High priority tasks include: (1) Training Support: Provide State and tribal underground storage tanks (UST) and leaking underground storage tanks (LUST) programs and the regulated community mechanisms by which state and federal communication, assistance and training on UST/LUST program implementation can occur on both a regional and national scale; (2) Electronic Newsletter: Provide state and tribal regulators, the general public and the regulated community with technical information on underground storage tank (UST) systems; and (3) State/Federal Partnerships: Provide technical assistance and forums for information exchange to assist States in developing their program capabilities to manage their UST/LUST programs in the most efficient and effective manner possible. Funding Priority - Fiscal Year 2009: High priority tasks include: (1) Training Support: Provide State and tribal underground storage tanks (UST) and leaking underground storage tanks (LUST) programs and the regulated community mechanisms by which state and federal communication, assistance and training on UST/LUST program implementation can occur on both a regional and national scale; (2) Electronic Newsletter: Provide state and tribal regulators, the general public and the regulated community with technical information on underground storage tank (UST) systems; and (3) State/Federal Partnerships: Provide technical assistance and forums for information exchange to assist States in developing their program capabilities to manage their UST/LUST programs in the most efficient and effective manner possible.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable indirect costs, in accordance with established EPA policies and regulations. Use Restrictions are that funds awarded under Section 8001(a) and (b) of RCRA must be used for projects that may include the following types of activities: educational materials, surveys, training, studies, demonstrations, investigations, special projects and the development or use of methods to improve UST systems management and performance to reduce the actual and potential risks to human health and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

These assistance agreements are only available to public authorities (State, interstate, intrastate, agencies designated by States or Territorial Governors to receive UST notifications, federally-recognized Tribes and Intertribal Consortia, and local), public agencies and institutions; private non-profit organizations and agencies that meet the requirements of Section 8001(a) and (b) of the Solid Waste Disposal Act. Profit-making organizations and the general public are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, territories and possessions, interstate agencies, Tribes, Intertribal Consortia, members of the regulated community and residents in areas impacted by federally regulated underground storage tanks.

Credentials/Documentation:

EPA may request that applicants document their nonprofit status.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or

her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Non-competitive proposals generally must be received prior to May 15 for funding in applicable fiscal year. For competitive awards, deadlines will be specified in the Requests for Initial Proposals or the Requests for Applications.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

The program has no statutory formula. Cost sharing is not required, unless noted in request for applications or initial proposals. Unsolicited applications for research funding may require cost sharing under the general provisions of EPA's Appropriations legislation.

Length and Time Phasing of Assistance:

The length and time phasing of the assistance agreement shall be determined prior to the award. Assistance agreements are awarded either as non-competitive or competitive, subject to EPA Order 5700.5A1. Assistance agreements are awarded on a single-year basis, three-year basis, or five-year basis. The funding is released to the recipient according to the recipient's accounting requests, e.g., quarterly, monthly, etc.

Reports:

Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

EPM 68-0108-0-1-304; LUST 20-8153-0-7-304.

Obligations:

EPM: FY 07 \$267,794; FY 08 est. \$746,000; and FY 09 est. \$750,000; LUST: FY 07 \$600,000; FY 08 est. \$947,000; and FY 09 est. \$700,000.

Range and Average of Financial Assistance:

FY 07 Range \$268,000 - \$600,000/year; Average \$434,000/year. FY 08 Estimated Range \$200,000 - \$750,000/year; Average \$475,000/year. FY 09 Estimated Range \$200,000 - \$750,000/year; Average \$475,000/year.

PROGRAM ACCOMPLISHMENTS:

For the two non-competitive FY 07 assistance agreements awarded, program outcomes included assisting the states in ensuring fewer confirmed UST releases and completing LUST cleanups. For the three FY 08 assistance agreements already awarded, two of which were awarded competitively, one was awarded

non-competitively, and two non-competitive assistance agreements yet to be awarded, program outcomes will include assisting the states in ensuring fewer confirmed UST releases and completing LUST cleanups.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (40 CFR Part 30, 31, and 34); "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA, on a subscription basis. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for State and local governments and Indian Tribes, and OMB Circular No. A-122 for nonprofit institutions.

Regional or Local Office:

For state-specific opportunities, EPA encourages potential applications to communicate with the appropriate EPA Regional Underground Storage Tanks Regional Program Managers, see <http://www.epa.gov/OUST/regions/index.htm>.

Headquarters Office:

Lynn DePont, 1200 Pennsylvania Avenue, N.W., Mail Code 5401P, Washington, DC 20460, Telephone: (703) 603-7148, Fax: (703) 603-0175; E-mail: depont.lynn@epa.gov.

Web Site Address:

<http://www.epa.gov/OUST/>.

RELATED PROGRAMS:

66.804, State and Tribal Underground Storage Tanks Program; 66.805, Leaking Underground Storage Tank Trust Fund Program.

EXAMPLES OF FUNDED PROJECTS:

(1) Training Support: (A) Provide underground storage tanks (UST) and leaking underground storage tanks training activities for states and tribes, e.g., the Annual Tanks Conference, and training opportunities for states and tribes on effective implementation strategies and the tools utilized among the states with regulatory programs underway, program initiatives, compliance with UST systems, and UST releases. (B) Provide cross-media assistance in sponsoring workshops to states for source water issues, and coordinate activities directly with states and tribes related to groundwater and source water impacts resultant from underground storage tanks. (2) Electronic Newsletter: Provide state and tribal regulators, the general public and the regulated community with technical information on underground storage tank (UST) systems. (3) State/Federal Partnerships: Provide technical assistance and forums for information exchanges to assist States in developing their program capabilities to manage their UST/LUST programs in the most efficient and effective manner possible. (4) Studies: Gather information and provide a summary on technical issues, e.g., study on the impact of using on-site measurement technologies for site assessment and contaminant conceptualization at petroleum release sites. (5) Disseminate Technical Information to states, tribes and Intertribal Consortia on UST/LUST program implementation.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.817 STATE AND TRIBAL RESPONSE PROGRAM GRANTS

FEDERAL AGENCY:

OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act, Section 128(a), as amended, Public Law 107-118; Small Business Liability Relief and Brownfields Revitalization Act.

OBJECTIVES:

EPA's CERCLA Section 128(a) grant program funds activities that establish or enhance state and tribal response program capacity, capitalize revolving loan funds (RLFs), and support insurance mechanisms. The goals of this funding are to provide financial support for elements of an effective state or tribal response program as specified in CERCLA Section 128 and to ensure that states and tribes maintain a public record of sites included in their programs. Funding Priority - Fiscal Year 2008: In FY 2008, funding will be prioritized as follows: (1) Funding for program development activities to establish or enhance the four elements of a state or tribal response program and to enable states and tribes to comply with the public record requirement, including activities related to institutional controls. (States and tribes that have established one or more of the four elements will not be prejudiced in funding distributions if their work plan includes activities that enhance the four elements. States with Voluntary Cleanup Program Memorandum of Agreement will not be prejudiced in funding distributions if their work plan does not include tasks related to establishing or enhancing the four elements.) (2) Funding for program development activities to enhance the response program or the cleanup capacity of a state or tribal response program. (3) Funding for site-specific activities at eligible brownfields sites. (4) Funding for environmental insurance mechanisms. (5) Funding to capitalize brownfields cleanup RLFs.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

States and tribes can use Section 128 funding to (1) establish or enhance four statutory elements of a response program, as per CERCLA Section 128(a)(2), (2) capitalize a Revolving Loan Fund program for brownfields cleanup, pursuant to CERCLA Section 104(k)(3), (3) purchase environmental insurance or develop a risk sharing pool, an indemnity pool, or insurance mechanism to provide financing for response actions under their programs, and (4) maintain and update, at least annually, a public record of sites, pursuant to CERCLA Section 128(b), that includes the name and location of sites at which response actions have been completed during the previous year and the name and location of sites at which response actions are planned to be addressed in the next year; (5) conduct limited site-specific activities; "Establish" includes activities necessary to build the foundation for the four elements of a state or tribal response program or may also include activities that keep their program at a level that meets the four elements. "Enhance" is related to activities that add to or improve a state or tribal response program or increase the number of sites at which response actions are conducted under a state or tribal response program. States and tribes may use Section 128(a) funds for activities that establish and enhance their response programs, even if their response programs address petroleum-contamination. Restrictions apply to site-specific activities, such as assessment and cleanup. Assessments and cleanups must be conducted at eligible brownfields sites, as defined in CERCLA Section 101(39).

Applicant Eligibility:

States (as defined in CERCLA Section 101(27)) and tribes (as defined in CERCLA Section 101(36)) are eligible for funding under Section 128(a). To be eligible to receive funding under CERCLA Section 128(a), a state or tribe must demonstrate that its response program includes, or is taking reasonable steps to include, the four elements of a response program. States or tribes that are parties to voluntary response program memoranda of agreement (MOAs) are automatically eligible for Section 128(a) funding. Additionally, states and tribes, including those with MOAs, must maintain and make available to the public a record of sites at which response actions have been completed in the previous year and are planned to be addressed in the upcoming year in order to qualify for Section 128(a) funding.

Beneficiary Eligibility:

Beneficiaries include individuals living in recipient states', territories', and tribes' jurisdiction.

Credentials/Documentation:

States and tribes must provide documentation that they have met or are making reasonable progress towards meeting the four statutory elements, or have a Voluntary Cleanup Program Memorandum of Agreement with EPA, and have established and are maintaining the public record. States and tribes must define their "Section 128(a) response program", and may designate a component of the state or tribe that will be EPA's primary point of contact for negotiations on their proposed work plan.

Preapplication Coordination:

Annually, states and tribes will work with the EPA regional offices to develop their work plans and funding requests for the upcoming year. Each cooperative agreement must have an annual budget period tied to an annual work plan. Annual funding guidance provides the requirements for submitting requests. No specific forms are required. Informal assistance is available through regional offices. States are subject to requirements under E.O. 12372, "Intergovernmental Review of Federal Programs" as implemented in 40 CFR Part 29. Tribes are exempt. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

States and tribes submit requests to EPA regional offices for funding on an annual basis by submitting a proposed work plan. Annual funding guidance provides the information that should be submitted to the regional office. Once initial requests are submitted, EPA headquarters consolidates requests and provides a final allocation based on factors provided in the funding guidance. No specific form is required for submission of request. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA regional offices will negotiate and enter into a single cooperative agreement with interested states or tribes. States and tribes may distribute these funds among the appropriate state and tribal agencies to meet their specific needs within their state or tribal agency structures. At least annually, the regional offices must verify that a public record as described above exists for each of the state or tribal response programs that are receiving funding.

Deadlines:

EPA will accept requests for funding from December 1, 2007 through January 31, 2008.

Range of Approval/Disapproval Time:

120 days from completed negotiation of cooperative agreement.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Each State and tribal cooperative agreement will be evaluated on an annual basis to ensure that the requirements to receive funding are being met. If funding is not used, it may be carried over into the next budget period.

Formula and Matching Requirements:

States and tribes are not required to provide matching funds for grants awarded under Section 128(a). However, if the state or tribe uses Section 128(a) funds to capitalize a Brownfields RLF under CERCLA 104(k)(3), a 20% cost share is required on the amount of Section 128(a) funds used to capitalize an RLF. This program has no statutory formula, but does take into account use of prior years' funding in determining future awards.

Length and Time Phasing of Assistance:

EPA regions will evaluate cooperative agreements annually to ensure that requirements to receive funding are being met. EPA regional offices will determine the project period for each cooperative agreement. These may be for multiple years depending on the regional office's grants policies. Each cooperative agreement must have an annual budget period tied to an annual work plan. Funds are awarded on an annual basis and may be drawn down according to regional financial processes.

Reports:

States and tribes will provide progress reports under 40 CFR 31.40, in accordance with terms and conditions of the cooperative agreement negotiated with EPA regional offices. As a minimum, state or tribal progress reports must include both a narrative discussion and performance data relating to the state's or tribe's accomplishments with Section 128(a) funding. Depending upon the activities included in the state's or tribe's scope of work, an EPA regional office may request that a progress report include other elements as described in the Grant Funding Guidance for State and Tribal Response Programs. The regional offices may also request other information be added to the progress reports, as appropriate, to properly document activities described by the cooperative agreement work plan.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

In addition to the statutory public record requirement, states and tribes must comply with record keeping requirements of 40 CFR Part 31 and any applicable requirements under state and tribal laws. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions remain following the three-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

FY 07 \$49,300,000; FY 08 \$49,800,000; and FY 09 est. \$49,300,000.

Range and Average of Financial Assistance:

Most fiscal years range from \$50,000 to \$1,500,000; average approximately \$500,000. For FY 08, funding request are limited to a maximum of \$1,500,000 per State or tribe.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 109 requests for funding were received. In FY 08, 110 requests for funding were received. In FY 07, 104 states and tribes were able to establish and enhance their response programs as a result of funding support from this program. In FY 08, 107 states and tribes were able to establish and enhance their response programs as a result of funding support from this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 31; OMB Circular A-87 applies to the program. EPA anticipates that it will annually publish national Grant Funding Guidance for State and Tribal Response Programs. Fiscal Year 2008 guidance may be found at www.epa.gov/brownfields.

Regional or Local Office:

EPA Regional State and Tribal Response Program Coordinators: Region 1: Diane Kelley, Phone: (617) 918-1424, Fax: (617) 918-1291, kelley.diane@epa.gov; Region 2: Ramon Torres, Phone: (212) 637-4309, Fax: (212) 637-4360, dandrea.larry@epa.gov; Region 3: Tom Stolle, Phone: (215) 814-3129, Fax: (215) 814-5518, stolle.tom@epa.gov; Region 4: Rosemary

Patton, Phone: (404) 562-8866, Fax: (404) 562-8628, patton.rosemary@epa.gov; Region 5: Joseph Dufficy, Phone: 312-886-1960, Fax: (312) 886-7190, dufficy.joseph@epa.gov; Region 6: Monica Smith, Phone: (214) 665-6780, Fax: (214) 665-6660, smith.monica@epa.gov; Region 7: Susan Klein, Phone: (913) 551-7786, Fax: (913) 551-8688, klein.susan@epa.gov; Region 8: Daniel Heffernan, Phone: (303) 312-7074, Fax: (303) 312-6955, russo.rebecca@epa.gov; Region 9: Carolyn Douglass, Phone: 415-972-3092, Fax: (415) 947-3528, douglas.carolyn@epa.gov; Region 10: Susan Morales, Phone: (206) 553-7299, Fax: (206) 553-0124, morales.susan@epa.gov.

Headquarters Office:

David R. Lloyd, Director, Office of Brownfields and Land Revitalization, OSWER, EPA, Washington, DC 20460. Telephone: (202) 566-2777. E-mail: lloyd.davidr@epa.gov. Fax: (202) 566-2757.

Web Site Address:

<http://www.epa.gov/brownfields>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Program development activities to establish the four elements of a state or tribal response program, including conducting an inventory of brownfields sites; developing or enhancing oversight and enforcement mechanisms; establishing mechanisms to approve cleanup plans; and providing opportunities and resources for public involvement. Establishing and maintaining a public record of sites, including making information available on the Internet and maintaining and monitoring institutional controls; capitalizing an RLF for brownfields cleanup; purchasing environmental insurance; developing legislation, regulations, procedures, and guidance that would establish or enhance the administrative and legal structure of their response programs; and undertaking site specific related activities, such as conducting assessments at selected brownfields sites and auditing completed site cleanups in states that administer a licensed site professional program.

CRITERIA FOR SELECTING PROPOSALS:

EPA will not fund this program through competitive grant solicitations. Section 128(a) funds will be allocated to eligible states and tribes in accordance with the national Grant Funding Guidance for State and Tribal Response Programs.

66.818 BROWNFIELDS ASSESSMENT AND CLEANUP COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

OFFICE OF BROWNFIELDS AND LAND REVITALIZATION, OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

The Small Business Liability Relief and Brownfields Revitalization Act of 2002, (Pub. L. No. 107-118, 115 Stat. 2356, "the Brownfields Law") Sections 101(39) and 104(k) of the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended by, 42 U.S.C. 9601(39) and 42 U.S.C. 9604(k).

OBJECTIVES:

Brownfield sites are real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant. The objectives of the brownfield assessment, revolving loan fund and cleanup cooperative agreements (project grants) are to provide funding: (1) to inventory, characterize, assess, and conduct planning and community involvement related to brownfield sites; (2) to capitalize a revolving loan fund (RLF) and provide subgrants to carry out cleanup activities at brownfield sites; and (3) to carry out cleanup activities at brownfield sites that are owned by the grant recipient. Funding Priority - Fiscal Year 2008: By statute, 25 percent of the funding for this program must be used for characterization, assessment, and cleanup of Brownfields sites contaminated by petroleum or petroleum products. The Agency must also give preference to statutory ranking criteria found at CERCLA 104(k)(5)(C) (see CRITERIA FOR SELECTING PROPOSALS) when evaluating applications for funding.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

For site specific projects, the site must meet the definition of a brownfields site found at CERCLA 101(39). As part of the application process, EPA provides guidance to assist grant applicants in determining whether sites meet this definition. (1) The brownfields grants may be used to address sites contaminated by petroleum and hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum). (2) Brownfields assessment grant funds may be used to inventory, characterize, assess, and conduct planning and community involvement related to brownfield sites. (3) An RLF project grant recipient must use at least 60 percent of the awarded funds to capitalize and implement a revolving loan fund; an RLF project grant recipient may use no more than 40 percent of the awarded funds for cleanup subgrants and may not subgrant to itself. Revolving loan fund project grants generally are used to provide no-interest or low-interest loans for

brownfields cleanups. (4) An RLF project grant recipient may use its funds to award subgrants to other eligible entities, including nonprofit organizations, for brownfields cleanups on sites owned by the subgrantee; (5) Brownfields cleanup grant funds must be used to carry out cleanup activities at brownfield sites that are owned by the grant recipient. (6) Costs incurred under CERCLA 104(k) grants or cooperative agreements may not be used for an administrative cost, penalty or fine, a Federal cost-share requirement, a response cost for which the recipient of the grant or cooperative agreement is potentially liable under CERCLA 107, or the cost of complying with a Federal law, with the exception of the costs of laws applicable to cleanup of Brownfields sites. All grants under 66.818 are awarded on a discretionary basis. For information on statutory limits on the amount of funding, see Range and Average of Financial Assistance, below. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Eligibility for Assessment, Revolving Loan Fund, and Cleanup Grants: a general purpose unit of local government; a land clearance authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of local government; a government entity created by a State legislature; a regional council or group of general purpose units of local government; a redevelopment agency that is chartered or otherwise sanctioned by a State; a State; an Indian Tribe other than in Alaska; an Alaska Native Regional Corporation, Alaska Native Village Corporation and the Metlakatla Indian Community. Nonprofit organizations that own the property are also eligible for cleanup grants. Nonprofit organizations must meet the definition of that term in Section 4(6) of the Federal Financial Assistance Management Improvement Act of 1999, Public Law 96-107, 31 U.S.C. 6101 (Note: Under this definition, colleges, universities, and community colleges are eligible to apply.) However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are not eligible to apply for direct funding from EPA. However, for profit organizations may apply for loans made by eligible entities with RLF capitalization grants. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Generally, those eligible entities identified above will benefit from the brownfields grant actions. Specifically, individuals and commercial organizations in brownfields grant communities will benefit from brownfields assessment, cleanup, and revitalization funding. New strategies for promoting environmental cleanup lessons from these grants will provide a growing base of information and knowledge for other communities across the country seeking partnerships with stakeholders to coordinate issues related to brownfields and leverage additional opportunities for redevelopment.

Credentials/Documentation:

EPA may require that nonprofit organizations or eligible entities other than states, tribes, or general purpose units of local government provide documentation of eligibility. EPA may also require that applicants provide site specific information to determine whether a site qualifies as a Brownfields site under CERCLA 101(39).

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

This is a competitive grant program. EPA guidelines for Requests for Proposals or Requests for Applications will specify application procedures. For EPA Regional Office contacts, see Appendix IV of the Catalog. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

This is a competitive grant program. EPA guidelines for Requests for Proposals or Requests for Applications will specify award procedures. For EPA Regional Office contacts, see Appendix IV of the Catalog. For competitive awards, EPA

will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

EPA guidelines for Requests for Proposals or Requests for Applications will specify application deadlines. Alternately, contact the headquarters or individual regional office for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Recipients of RLF grants may apply for additional funding on a non-competitive basis during any year after the first year the recipient receives an RLF grant. To seek additional funding, RLF grant recipients should contact their EPA Regional Office. In awarding this additional funding the Agency will consider: (I) the number of sites and number of communities that are addressed by the revolving loan fund; (II) the demand for funding by eligible entities that have not previously received an RLF grant; (III) the demonstrated ability of the eligible entity to use the revolving loan fund to enhance remediation and provide funds on a continuing basis; and (IV) Other similar factors, including the availability of funds and the recipient's performance history. Recipients of assessment and cleanup grants generally do not receive additional funding on a non-competitive basis.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable for brownfield assessment grants. Under CERCLA 104(k)(9)(B)(iii) revolving loan fund and cleanup grants require a 20 percent cost share, which may be in the form of a contribution of money, labor, material, or services, and must be for eligible and allowable costs. An RLF or cleanup grant applicant may request a waiver of the 20 percent cost share requirement based on financial hardship.

Length and Time Phasing of Assistance:

The performance period for brownfields assessment and cleanup grant funds is three years. The performance period for brownfields revolving loan fund grants is generally five years. Grants are generally announced nationally and awarded by EPA Regional Offices. The Regional Offices work with the applicants to negotiate a workplan and award the cooperative agreement.

Reports:

Quarterly progress reports, property profiles, notification of significant development, property inventory reports, procurement reports, a final report and financial reports may be required if authorized by 40 CFR Parts 30 and 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Record Retention Requirements of 40 CFR Part 30 (non-profits and universities) and 40 CFR Part 31 (governmental units) are applicable, depending upon the identity of the recipient and the program funded.

Account Identification:

68-0103-0-1-304.

Obligations:

In FY 2007, EPA announced 294 grants totaling \$70.7 million. These included 189 assessment grants, 92 cleanup grants, and 13 Revolving Loan Fund (RLF) grants. In FY 2008, EPA announced the selection of 314 grants totaling over \$74 million. These include 194 assessment grants, 108 cleanup grants, and 12 revolving loan fund grants and FY 09 est not available.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance: (1) For assessment grants, an eligible entity may apply for up to \$200,000 to address sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum) and up to \$200,000 to address sites contaminated by petroleum; most applicants receive this amount. Applicants may request a waiver of the \$200,000 limit up to \$350,000 for sites contaminated by hazardous substances, pollutants, or contaminants (including

hazardous substances co-mingled with petroleum) and up to \$350,000 to address sites contaminated by petroleum. Waiver requests must be based on the anticipated level of contamination, size, or ownership status of the site. These limits are mandatory under CERCLA 104(k)(4)(A). (2) For revolving loan fund grants, an eligible entity may apply for up to \$1,000,000 for an initial RLF grant. This limit is mandatory under CERCLA 104(k)(4)(A). In addition, coalitions of eligible entities may apply together under one recipient for up to \$1,000,000 per eligible entity. (3) For cleanup grants, an eligible entity may apply for up to \$200,000 per site. The \$200,000 per site limit is mandatory under CERCLA 104(k)(3)(A). Approximate average financial assistance is \$200,000 for cleanup grants, \$200,000 for assessment grants and \$1 million per entity for revolving loan fund grants.

PROGRAM ACCOMPLISHMENTS:

This grant program replaced CFDA 66.811 in FY2003. EPA's brownfields assistance has led to more than \$11.3 billion in public and private investment in cleanup and redevelopment, helped create more than 48,000 jobs, and resulted in the assessment of more than 11,000 properties and the cleanup of more than 200 properties. In Fiscal Year 2007, EPA announced 294 grants, totaling \$70.7 million. These included: (a) 189 assessment grants totaling \$36.8 million, to assess and plan for eventual cleanup at one or more brownfield sites; (b) 92 cleanup grants totaling \$17.9 million, for recipients to clean up brownfield sites they own; and (c) 13 revolving loan fund grants totaling \$16 million, which communities use to make low interest loans for the cleanup of brownfield sites. In Fiscal Year 2008, EPA announced 314 grants, totaling over \$74 million. These included: (a) 194 assessment grants totaling \$38.7 million to assess and plan for cleanup at one or more brownfield sites; (b) 108 cleanup grants totaling \$19.6 million for recipients to clean up brownfield sites they own; and (c) 12 revolving loan fund grants totaling \$15.7 million that communities can use to make low interest loans for the cleanup of brownfield sites.

REGULATIONS, GUIDELINES, AND LITERATURE:

For brownfields assessment, revolving loan fund, and cleanup grants, costs will be determined in accordance with OMB Circular No. A-87 for State, tribal, and local governments or A-122 for nonprofit organizations, and OMB Circular A-21 for universities. Recipients must comply with 40 CFR Part 30 (nonprofit organizations) or 40 CFR Part 31 (governmental entities). The Agency will periodically publish guidance for brownfields grant proposals.

Regional or Local Office:

Regional Brownfields Coordinators: EPA Region 1, New England, Diane Kelley, One Congress Street (HBT), Boston, MA 02114-2023, Phone: (617) 918-1424, Fax: (617) 918-1291, Kelley.Diane@epa.gov; EPA Region 2, Ramon Torres, 290 Broadway, 18th Floor, New York, NY 10007, Phone: (212) 637-4309, Fax: (212) 637-4360, torres.ramon@epa.gov; EPA Region 3, Tom Stolle, 1650 Arch Street, Philadelphia, Pennsylvania 19103, Phone: (215) 814-3129, Fax: (215) 814-5518, stolle.tom@epa.gov; EPA Region 4, Mike Norman, Sam Nunn Atlanta Federal Center, 61 Forsyth Street, Waste Management Division, Brownfields/State Support Section, Atlanta, GA 30303, Phone: (404) 562-8792, Fax: (404) 562-8628, norman.michael@epa.gov; EPA Region 5, Deborah Orr, 77 West Jackson Boulevard, Chicago, Illinois 60604-3507, Phone: (312) 886-7576, Fax: (312) 886-7190, orr.deborah@epa.gov; EPA Region 6, Monica Chapa Smith, First Interstate Bank Tower at Fountain Place, 1445 Ross Avenue, Suite 1200 (6SF-PB), Dallas, Texas 75202-2733, Phone: (214) 665-6780, Fax: (214) 665-6660, smith.monica@epa.gov; EPA Region 7, Susan Klein, 901 N. 5th Street, Kansas City, Kansas 66101, Phone: (913) 551-7786, Fax: (913) 551-8688, klein.susan@epa.gov; EPA Region 8, Dan Heffernan, 1595 Wynkoop Street (EPR-B), Denver, Colorado 80202-1129, Phone: (303) 312-7074, Fax: (303) 312-6067, heffernan.daniel@epa.gov; EPA Region 9, Debbie Schechter, 75 Hawthorne Street, SFD 1-1, San Francisco, California 94105, Phone: (415) 972-3093, Fax: (415) 947-3520, schechter.debbie@epa.gov; EPA Region 10, Susan Morales, 1200 Sixth Avenue, Seattle, Washington 98101, Phone: (206) 553-7299, Fax: (206) 553-0124, morales.susan@epa.gov.

Headquarters Office:

David Lloyd, Director, Office of Brownfields and Land Revitalization, OSWER, EPA, Washington, DC 20460, Telephone: (202) 566-2777, Fax: (202) 566-1476, Email: Lloyd.DavidR@epa.gov. Staff contact, Becky Brooks (202) 566-2762, E-mail: Brooks.Becky@epa.gov.

Web Site Address:

<http://www.epa.gov/brownfields>.

RELATED PROGRAMS:

66.814, Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements; 66.817, State and Tribal Response Program Grants; 66.815, Brownfield Job Training Cooperative Agreements.

EXAMPLES OF FUNDED PROJECTS:

This competitive grant program provides funding for three different kinds of grants: assessment grants, revolving loan fund grants, and cleanup grants. Assessment grants provide funding for a grant recipient to inventory, characterize, assess, and conduct cleanup and redevelopment planning and community involvement related to brownfield sites. Revolving Loan Fund grants provide funding for a grant recipient to capitalize a revolving loan fund and to provide subgrants to carry out cleanup activities at brownfield sites. Cleanup grants provide funding for a grant recipient to carry out cleanup activities at

brownfield sites. Below are some examples of projects that were selected for funding in the past: In Fiscal Year 2004, EPA provided a brownfields assessment grant totalling \$200,000 in hazardous substances funding. Hazardous substances grant funds will be used to assess mine-scarred properties in 4,000 acres of a watershed, in order to prioritize properties for public acquisition and cleanup. Funds will be used for Phase I site assessments, Phase II site assessments on three to five high-priority sites, community outreach, environmental liability analysis, and GIS mapping. One significant mine will be assessed for possible acquisition, cleanup, and reuse as a historic mining museum and visitor center. In Fiscal Year 2004, EPA provided a brownfields assessment grant totalling \$200,000 in petroleum funding. Petroleum grant funds will be used to identify and assess petroleum-contaminated sites and underground storage tanks. Grant funds will also be used to perform approximately four Phase I assessments and to develop redevelopment plans. In Fiscal Year 2003, EPA provided a brownfields revolving loan fund grant, which included \$700,000 in hazardous substances funding and \$300,000 in petroleum funding. The grant will be used to capitalize a revolving loan fund from which the recipient will provide loans and subgrants to conduct cleanup activities. The recipient's jurisdiction contains over 3,600 brownfields. They will use a community-based process to select sites. Sites throughout the county contaminated by hazardous substances and petroleum will be considered. In Fiscal Year 2004, EPA selected an Indian Community for a brownfields cleanup grant totalling \$200,000 in petroleum funding. The grant will be used to clean up petroleum contamination from leaking underground storage tanks. Plans for site redevelopment include a Diabetes Education and Resource Center. In Fiscal Year 2004, EPA provided a brownfields assessment grant totalling \$200,000 in hazardous substances funding. Grant funds will be used to complete an assessment of hazardous substances co-mingled with petroleum contamination at a 39-acre sawmill adjacent to the recipient city's central business district. Funds also will be used for community involvement and development of a cleanup plan.

CRITERIA FOR SELECTING PROPOSALS:

This is a competitive grant program. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Selection criteria will be outlined in the proposal guidelines and will be based on a system that includes the following ten statutory ranking criteria: (i) The extent to which a grant will stimulate the availability of other funds for environmental assessment or remediation, and subsequent reuse, of an area in which one or more brownfield sites are located. (ii) The potential of the proposed project or the development plan for an area in which one or more brownfield sites are located to stimulate economic development of the area on completion of the cleanup. (iii) The extent to which a grant would address or facilitate the identification and reduction of threats to human health and the environment, including threats in areas in which there is a greater-than-normal incidence of diseases or conditions (including cancer, asthma, or birth defects) that may be associated with exposure to hazardous substances, pollutants, or contaminants. (iv) The extent to which a grant would facilitate the use or reuse of existing infrastructure. (v) The extent to which a grant would facilitate the creation of, preservation of, or addition to a park, a greenway, undeveloped property, recreational property, or other property used for nonprofit purposes. (vi) The extent to which a grant would meet the needs of a community that has an inability to draw on other sources of funding for environmental remediation and subsequent redevelopment of the area in which a brownfield site is located because of the small population or low income of the community. (vii) The extent to which the applicant is eligible for funding from other sources. (viii) The extent to which a grant will further the fair distribution of funding between urban and nonurban areas. (ix) The extent to which the grant provides for involvement of the local community in the process of making decisions relating to cleanup and future use of a brownfield site. (x) The extent to which a grant would address or facilitate the identification and reduction of threats to the health or welfare of children, pregnant women, minority or low-income communities, or other sensitive populations. In addition, applicants will be required to demonstrate that site specific activities are carried out at sites that meet the definition of a Brownfields site at CERCLA 101(39).

66.926 INDIAN ENVIRONMENTAL GENERAL ASSISTANCE PROGRAM (GAP)

(GAP for Tribes)

FEDERAL AGENCY:

AMERICAN INDIAN ENVIRONMENTAL OFFICE, OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Indian Environmental General Assistance Program Act of 1992; Public Law 102-497, Section 11, 42 U.S.C. 4368b, as amended; Public Law 103-155.

OBJECTIVES:

To provide general assistance program (GAP) grants to Indian tribal governments and intertribal consortia to build capacity to administer

environmental regulatory programs on Indian lands, and provide technical assistance from EPA to Indian tribal governments and intertribal consortia in the development of multimedia programs to address environmental issues on Indian lands. Funding Priority - Fiscal Year 2008: Funding is for the purposes of planning, developing, and establishing the administrative, technical, legal, enforcement, communications, and environmental education and outreach structure of a tribal environmental program. In FY 08, the goal is to provide funding and technical assistance so that approximately 500 or 90 percent of federally-recognized tribal governments and intertribal consortia have at least one person working in their community to build a strong, sustainable environment for the future. The vital work performed includes locally assessing the status of a tribe's environmental condition, utilizing available federal information, building an environmental program tailored to the tribe's needs, developing environmental education programs, developing solid waste management plans, assisting in the building of tribal environmental capacity, and alerting EPA to serious conditions involving immediate public health and ecological health. Funding Priority - Fiscal Year 2009: Funding is for the purposes of planning, developing, and establishing the administrative, technical, legal, enforcement, communications, and environmental education and outreach structure of a tribal environmental program. In FY 08, the goal is to provide funding and technical assistance so that approximately 500 or 90 percent of federally-recognized tribal governments and intertribal consortia have at least one person working in their community to build a strong, sustainable environment for the future. The vital work performed includes locally assessing the status of a tribe's environmental condition, utilizing available federal information, building an environmental program tailored to the tribe's needs, developing environmental education programs, developing solid waste management plans, assisting in the building of tribal environmental capacity, and alerting EPA to serious conditions involving immediate public health and ecological health.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Activities eligible for funding under this program are those for planning, developing, and establishing capability to implement environmental protection programs including development of solid and hazardous waste programs. General assistance agreements offer the opportunity for a tribe to develop an integrated environmental program, develop the capability to manage specific programs and establish a core program for environmental protection. These assistance agreements provide the opportunity for the tribes to define and develop administrative and legal infrastructures; to conduct assessments, monitoring, planning, and other actions; and to undertake additional activities within a simplified administrative framework. The primary purpose of these assistance agreements is to support the development of elements of a core environmental program, such as: providing for tribal capacity-building to assure an environmental presence for identifying programs and projects, including developing proposals for environmental program grants and managing environmental work; fostering compliance with Federal environmental statutes by developing appropriate tribal environmental programs, ordinances, and services; and establishing a communications capability to work with Federal, State, local and other tribal environmental officials. Restrictions: The principal focus of this program is on the development of general tribal environmental capability. Assistance will be provided under this program only for activities which the agency determines are appropriate to carry out the purposes of the Act. No single grant awarded under this program may be for an amount exceeding ten percent of the total annual funds appropriated under Section (h) of the Act. As with many of EPA's grants to States and Tribes, GAP grants may now be combined with one or more other EPA grants into a Performance Partnership Grant for administrative savings and, with EPA concurrence, programmatic flexibility. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

The following are eligible to receive financial assistance: (a) An Indian tribal government; and (b) an intertribal consortium or consortia. An Indian tribal government is any tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation (as defined in or established pursuant to the Alaska Native Claims Settlement Act, 43 U.S.C. 1601, et seq.), which is recognized by the U.S. Department of the Interior as eligible for the special services provided by the United States to Indians because of their status as Indians. A intertribal consortium is a partnership between two or more Indian tribal governments authorized by the governing bodies of those tribes to apply for and receive assistance under this program. An intertribal consortium is eligible to receive a GAP grant if the consortium demonstrates that: (1) A majority of its members meets the eligibility requirements for GAP grants; (2) all members that meet the eligibility requirements authorize the consortium to apply for and receive the grant; and (3) only members that meet the eligibility requirements will benefit directly from the grant project and the consortium agrees to a grant condition to that effect. An

intertribal consortium must have adequate documentation of the existence of the partnership and the authorization of the member Tribes to apply for and receive assistance. Documentation that demonstrates the existence of the partnership of Indian Tribal governments may consist of Tribal council resolutions, intertribal consortia resolutions in conjunction with a Tribal council resolution from each member Tribe, or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that a partnership of Indian Tribal governments exists. Documentation that demonstrates that member Tribes authorize the consortium to apply for and receive assistance may consist of a Tribal council resolution from each Tribe or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that the Tribe authorizes the consortium to apply for and receive the grant on behalf of the Tribe. An intertribal consortium resolution is not adequate documentation of the member Tribes authorization of the consortium unless it includes a written certification from a duly authorized representative of each Tribal government. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and eligible Intertribal Consortia.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must use the "Application for Federal Assistance: State and Local Non-Construction Programs" (Standard Form 424). Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Applications are reviewed by the appropriate Regional Office and, if approved, financial assistance is awarded by the Regional Administrator or his/her delegated official. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the Regional Office for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

In order to receive renewals, significant progress must be demonstrated.

Renewals are subject to approval of EPA. Contact the EPA Regional contact identified in Appendix IV of the Catalog for additional information.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Award amount remains available until expended in accordance with the term of the award. The term of an award may exceed one year, but may not exceed four years. The term of the grant shall be determined at the time of the grant award.

Reports:

Procedures for accounting, auditing, evaluating, and reviewing any program for activities in whole or in part for general assistance grant under this section shall be governed by regulations at 40 CFR Parts 31 and 35, "Environmental Program Grants for Tribes," with reports as required by the specific terms of the agreement.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in

Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; STAG.

Obligations:

FY 07 \$56,654,000 FY 08 \$55,586,970; and FY 09 est. \$57,925,000.

Range and Average of Financial Assistance:

The minimum award for the first year of the grant is \$75,000/fiscal year, max. \$400,000/fiscal year; avg. \$110,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Awarded activities in FY 07 approximately 350 awards, and FY 08 approximately 100 awards, enable over 450 tribal governments and intertribal consortia to continue to develop and expand their environmental management programs. EPA has implemented a new work plan reporting system to assist in tracking progress and accomplishments. In FY 08, GAP funding was available to allow up to 90 percent of the 572 eligible Tribes and Intertribal Consortia to continue the work initiated in FY 07 and prior years.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 102-497, Section 11, 42 U.S.C.4368(b), as amended by Public Law 103-155, November 24, 1993. The Agency published a notice for the Final Guidelines on the Award and Management of General Assistance Agreements for Indian Tribes at <http://www.epa.gov/indian/tgrant.htm>.

Regional or Local Office:

Applicants are encouraged to communicate with their Regional Indian Program Coordinator listed in Appendix IV of the Catalog.

Headquarters Office:

Rodges Ankrah, American Indian Environmental Office (4104 M) Environmental Protection Agency, Ariel Rios Building, 1200 Pennsylvania Ave., N.W., Washington, DC 20460. Telephone: (202) 564-0280; Fax: (202) 564-0298; E-mail: ankrah.rodges@epa.gov.

Web Site Address:

<http://www.epa.gov/indian/tgrant.htm>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Purpose: The general assistance program is intended to provide general assistance grants for planning, developing, and establishing the capability to implement programs administered by EPA in Indian country. Examples of past funded projects include: establishment of a water quality assessment program; an environmental assessment inventory; establishment of an environmental code; establishment of a multi-media program to address water quality, air quality, safe drinking water, solid waste, hazardous waste and pesticides; establishment of a management plan with emphasis on wastewater sludge reclamation and tire recovery; and establishment of a program to address underground storage tanks, SARA Title III, and radon.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Proposals are approved by the individual EPA Regional offices according to the applicant's ability to achieve objectives outlined in Public Law 102-497, Section 11, the interim final regulation for programs implementation in the Federal Register on December 2, 1993, 40 CFR Part 35, Indian Tribes; and the Final Guidelines on the Award and Management of General Assistance Agreements for Indian Tribes. Proposals should contain schedules for progress reports that will contribute towards development of environmental management capacity building; provide for an on-going coordination and problem resolution; and show an adequate ability to meet the proposed objectives, record keeping, and reporting requirements.

66.931 INTERNATIONAL FINANCIAL ASSISTANCE PROJECTS SPONSORED BY THE OFFICE OF INTERNATIONAL AFFAIRS

FEDERAL AGENCY:

OFFICE OF INTERNATIONAL AFFAIRS, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 104, as amended; Public Law 95-217,33 U.S.C. 1251 et seq.; Solid Waste Disposal Act, as amended, Section 8001; Clean Air Act, Section 103, as amended; Public Law 95-95; 42 U.S.C. 7401 et seq.; Toxic Substances Control Act, Section 10, as amended; Public Law 94-469; 15 U.S. C.

2601 et seq.; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, as amended; Public Laws 92-516; Public Laws 94-140 and 95-396, 7; U.S.C. 136 et seq.; Safe Drinking Water Act, Section 1442(a) and (c), as amended; Public Law 93-523; National Environmental Policy Act, Section 102 (f); and Marine Protection, Research and Sanctuaries Act of 1972, Section 203.

OBJECTIVES:

To protect human health and the environment while advancing U.S. national interests through international environmental collaboration. This mission is supported by four strategic objectives: (1) Reduce Transboundary Pollution; (2) Advance U.S. Interests Abroad; (3) Promote Good Environmental Governance; and (4) Ensure Effective Management. Funding Priority - Fiscal Year 2008: Clean Air: (a) International Capacity Building (more people cleaner air)- To provide technical assistance, training, information exchange and other forms of cooperation to enhance the capabilities of governments and other stakeholders to protect human health and the environment regionally and globally; (2) Clean and Safe Water: (a) International Capacity Building (water safe to drink) - To provide technical assistance, training, information exchange and other forms of cooperation to enhance the capabilities of governments and other stakeholders to protect human health and the environment regionally and globally.; (3) Healthy Communities and Ecosystems: (a) International Capacity Building (reduce chemical and biological risks) - To provide technical assistance, training information exchange and other forms of cooperation to enhance the capabilities of governments and other stakeholders to protect human health and the environment regionally and globally; (b) persistent organic pollutants (POPs) Implementation (reduce exposure to toxic pesticides and reduce chemical and biological risk)- To address toxics, including POPs and selected metals that circulate in the environment at global and regional scales, by working with other countries to control the production or phase-out from the use of such chemicals; (c) Environment and Trade (sustain community health) - Initiative relating to trade, investment, undertaken bilaterally or in connection with the World Trade Organization (WTO), World Bank, European Union (EU), or Asia-Pacific Economic Cooperation Forum (APEC); (d) U.S. Mexico Border (sustain and restore community health, and preserve the ecological systems that support them) - The program is implemented in partnership with the ten Border States, U.S. Tribal government and the government of Mexico with its corresponding states and tribes. It addresses the Agency's major themes of clean air, clean water, and clean land. order (sustain and restore community health, and preserve the ecological systems that support them) - The program is implemented in partnership with the ten Border States, U.S. Tribal government and the government of Mexico with its corresponding states and tribes. It addresses the Agency's major themes of clean air, clean water, and clean land.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Discretionary funds are available for the awards under this program. Grants and cooperative agreements are available to support recipients' allowable direct cost expenditures incident to approved project plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policy, 40 CFR parts 30 and 31. Funding awarded for international research under these projects does not include research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Assistance under this program is generally available to States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of International Affairs' mission. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States and local governments, territories, foreign governments, international organizations, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions.

Credentials/Documentation:

Office of International Affairs (OIA) may require applicants to submit documentation of non-profit status. OIA may request applicants to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. OIA may also ask applicants or principal investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information

on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Under EPA Order No. 5700.5, Policy for Competition in Assistance Agreements (9/12/02), Assistance awards to Foreign Governments and to United Nations agencies and similar International Organizations, such as the Organization of American States and Organization for Economic Cooperation and Development (OECD) may be exempted from competition at the discretion of OIA. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For non-competitive awards, OIA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

For competitive awards, deadlines will be specified in Request for Applications or Request for Initial Proposals.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to OIA's priorities, and availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Payments will be on an advance letter of credit or reimbursement basis; recipient must request the initial advance payment on SF 270, Request for Advance or Reimbursement.

Reports:

Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of

submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$4,300,000; FY 08 est. \$3,700,000 and FY 09 est. \$3,700,000.

Range and Average of Financial Assistance:

Range: \$15,000 - \$325,000; Average: FY 2007 \$45,000 and FY 2008 \$50,000 est.

PROGRAM ACCOMPLISHMENTS:

In FY 07 OIA received an estimated 8 applications and awarded 3 new grants. In FY 08 it's anticipated that OIA will receive about 16 applications and award 6 new grants. Some examples of OIA accomplishments: OIA funded several international cooperative/grant projects during FY 07 and FY 08, for the protection of human health and the environment with the following titles and objectives: (1) "Mobile Source Air Pollution" - By 2011, eliminate the use of lead in gasoline in the remaining countries benefiting over 700 million people in developing countries and economies in transition. (2) "Global Persistent Organic Pollutants" - By 2011, place into safe storage 4,600 tons of obsolete and prohibited pesticides stockpiles and prohibited PCB-containing liquids impacting the Arctic. (3) "Arctic Contaminants Action Program" - "Indigenous Peoples Community Action Initiative" - Estimated up to 6 Million drums with toxic and hazardous waste scattered throughout tundra and coastal line - The objective is to train local populations to collect the drums, destroy the contents and recycle the drums. Training has been completed for 40 resident-volunteers. (4) "Global Mercury" - By 2011, reduce mercury consumption and releases by 30.5 tons from chlor-alkali plants, artisanal gold mining, and coal combustion at pilot demonstration sites in China, Brazil, India, Mexico, Russia and West Africa.

REGULATIONS, GUIDELINES, AND LITERATURE:

These grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular 110. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions.

Regional or Local Office:

Not applicable.

Headquarters Office:

1200 Pennsylvania Ave., N.W., Washington, DC 20460. For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. For program information contact: Office of International Affairs at this web site address: <http://www.epa.gov/oia/> or contact by E-mail, Iris Zeigler, zeigler.iris@epa.gov; Telephone: (202) 564-6614; Fax: (202) 565-2408; Teresa Ruppe, teresa.teresa@epa.gov; Telephone: (202) 564-6619; Fax: (202) 565-2408; and Cherie Barry, barry.cherie@epa.gov; Telephone: (202) 564-1139; Fax: (202) 565-2408.

Web Site Address:

<http://www.epa.gov/oia/>.

RELATED PROGRAMS:

66.469, Great Lakes Program; 66.609, Protection of Children and Older Adults (Elderly) from Environmental Health Risks; 66.808, Solid Waste Management Assistance Grants; 66.034, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act.

EXAMPLES OF FUNDED PROJECTS:

Examples of OIA awarded international projects were to assist in: (1) "Managing Pollution from POPs and Other Toxic Substances in China"; (2) "Reduction of Risks from Obsolete Pesticide Stockpiles"; (3) "Mercury Emissions Monitoring and Management for Waste Incinerators"; (4) "Support for Partnership for Clean Fuels and Vehicles"; (5) "Program on Empowerment of Rural Communities of Alaska (USA) and Kamchatka (Russia) in Environmental Health Control".

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.940 ENVIRONMENTAL POLICY AND STATE INNOVATION GRANTS

(State Innovation Grants)

FEDERAL AGENCY:

OFFICE OF POLICY, ECONOMICS AND INNOVATION, OFFICE OF THE ADMINISTRATOR, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Air Act, Section 103, as amended; Clean Water Act, Section 104 as amended; Solid Waste Disposal Act, Section 8001 of as amended; Safe Drinking Water Act, Section 1442; Toxic Substances Control Act, Section 10 as amended; Federal, Insecticide, Fungicide and Rodenticide Act, Section 20 as amended;

Resource Conservation and Recovery Act, Section 8001; Comprehensive Environmental, Response, Compensation and Liability Act, Sections 104(k)(6) 311 as amended.

OBJECTIVES:

(1) To support analyses, studies, evaluations, and conferences that lead to improved environmental compliance, reduction in pollutants generated or released to the environment, and conservation of natural resources; (2) To promote comprehensive, cross-media approaches to environmental protection; (3) To encourage and promote stewardship programs that reflect "beyond compliance" behaviors and offer incentives or rewards for superior environmental performance; (4) To encourage and promote change that is "systems-oriented" and enables better results (e.g., environmental, efficiency, and/or cost savings) from a program, process, or sector-wide innovation; and (5) To incorporate outcome measurement and evaluation essential to transferability of the innovation. Funding Priority-Fiscal Year 2008: EPA's National Center for Environmental Innovation (NCEI) has completed its solicitation and competition for FY 2008, and is currently developing plans for one or more solicitations in FY 2009. The 2008 competition was targeted to address the first priority for this program. At least one competition addressing the first priority area is anticipated in FY 2009 and one or more additional solicitations addressing the other two priority areas are possible. Funding under this competition (Part 1) will support states striving to create performance-oriented regulatory systems that allow flexible strategies to achieve measurable results, environmental stewardship in all parts of society that support sustainable development and pollution prevention, and a culture of creative environmental problem solving that has a high capacity for collaborative, results-driven work and the organizational systems to support it. Depending upon the availability of funding, NCEI may also initiate additional competitions to address the second (Part 2) and third (Part 3) priority areas of this program. Part 2 would be intended to provide independent evaluation of State projects funded previous under this competition for the principal benefit of the states to help them in assessing the applicability of innovations tested in projects funded previously under this competition, or supported otherwise by EPA's collaboration focused at informing other states and American Indian Tribes with delegated authority for environmental programs about the feasibility and practicality of implementing similar innovations. The principal beneficiaries of these evaluations will be States and Tribes that are assessing the applicability of an environmental innovation tested by another state, for their own use. Part 3 would provide assistance for the development of a center to provide technical assistance to the states and tribes for the transfer of policy innovation. To fulfill this role, the center would also support the state and tribal environmental agencies by convening meetings for the purpose of exchanging information on state experience with testing and measuring the results or benefits of innovation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved Environmental Policy and Innovation plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:

<http://geodata.epa.gov>.

Applicant Eligibility:

Assistance under Part 1 of this program is available to principal environmental regulatory agencies for States, territories, and possessions of the U.S., including the District of Columbia. EPA may make a separate competition available under Part 1 of this program to federally-certified Native American Tribes. Assistance under Parts 2 or 3 of this program is available to not-for-profit institutions, foundations and institutes to provide independent evaluation of projects or classes of projects that will be of use to other States and Tribes in implementing innovations; or, to provide assistance to states testing policy innovation and for the transfer among the states and tribes of information useful for the implementation of innovations. EPA would issue separate solicitations for Part 1, Part 2, and Part 3 of this program. Some of EPA's statutes may limit assistance to specific types of interested applicants. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Under Part 1 of this announcement, State and local governments, U.S. territories and possessions. In a separate solicitation that could be issued under Part 1, Federally-certified Native American Tribes. For Parts 2 and 3, non profit institutions, foundations and institutes may apply.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program and those forms are available on the EPA web site (http://www.epa.gov/ogd/grants/how_to_apply.htm). EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Requests for Initial Proposals or Requests for Applications will specify application procedures. For information on the current or prior solicitations, please see the EPA State Innovation Grants website at <http://www.epa.gov/innovation/stategrants>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadlines will be specified in Request for Applications or Request for Initial Proposals.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for Environmental Policy and Innovation. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Most projects have been of a 1-3 year duration. For purposes of this program, EPA limits the project periods to no more than 4 years.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each

year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The record retention requirements of 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$1,611,000; FY 08 \$885,000; and FY 09 est. \$1,000,000. Assistance agreements under this CFDA generally take the form of Cooperative Agreements and rarely Grants.

Range and Average of Financial Assistance:

\$50,000 to \$350,000 per grant. The average for awards is approximately \$225,000 for a 1-4 year assistance agreement.

PROGRAM ACCOMPLISHMENTS:

In the FY 2007 competition, the Agency receive 17 pre-proposals for a total of \$3.8M. Seven projects were selected totaling \$1,611M in funding. In the FY 2008 competition, the Agency received 12 pre-proposals totaling over \$3M. Three projects were selected totaling \$0.885M in funding. Since the beginning of the State Innovation Grant Program, 35 awards have been made (the 3 selections made in the 2008 competition have awards pending at the date of this announcement). The 38 projects cover the following areas: 19 Environmental Results Program (ERP) projects, 11 Environmental Management Systems (EMS) and other permitting integration approach projects, 2 watershed permitting projects, 1 project for a streamlined and enhanced permitting through application of innovative information technology (IT) Systems, 1 project for integrated regional air quality management across state and regional boundaries, and 8 Performance Track (PT) projects. Please note that several of these projects incorporate multiple elements (e.g., projects that are both ERP and EMS, or projects that are both ERP and Performance Track)so, this breakdown of projects identifies projects that appear in multiple categories. Eight of the initial projects from the FY 2002 and 2004 solicitations are now complete. These state agencies have submitted their final project reports. For additional information, including access to the Program's 2008 progress report executive summary, see: <http://www.epa.gov/innovation/stategrants>. The results of these assistance agreements will enable the Agency to meet performance measures noted in the Agency Strategic Plan.

REGULATIONS, GUIDELINES, AND LITERATURE:

Environmental Policy and State Innovation grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). State governments costs will be determined in accordance with OMB Circular No. A-87.

Regional or Local Office:

EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, 1200 Pennsylvania Avenue, NW, Washington, DC 20460. For program information contact Pamela Stirling; Phone: (202) 566-0949; FAX: (202) 566-3001; e-mail: stirling.pamela@epa.gov.

Web Site Address:

<http://www.epa.gov/innovation/stategrants>.

RELATED PROGRAMS:

66.034, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.436, Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.813, Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants; 66.611, Environmental Policy and Innovation Grants.

EXAMPLES OF FUNDED PROJECTS:

This is the third year for this CFDA entry. Under this announcement and previous announcements under 66.611, Environmental Policy and Innovation Grants, EPA funded projects such as the "Implementation of a Voluntary Automotive Body and Automotive Repair Environmental Results Program." This project supported a state undertaking an automotive body and automotive

repair Environmental Results Program (ERP) to administer targeted multi-media assistance to auto body and auto repair facilities, to implement a self-certification program, and to measure the compliance results. Another example of a project funded is the "Auto Salvage Environmental Results Program: Improved Compliance and Performance through Innovation." This project supported a state applying the ERP program to the auto salvage sector. The three part program included: (1) facility certification; (2) statistically-based performance measurement; and (3) on-site compliance, pollution prevention, and technical assistance. A third example of a project funded is the "Retail Gasoline Sector Environmental Results Project," which enabled a state to apply the ERP model to the retail gasoline sales sector as well as to other facilities regulated by the state's Underground Storage Tank program. Other examples of funded projects include: (a) the development of a voluntary Community EMS model under their Comprehensive Local Environmental Action Network (CLEAN) to encourage comprehensive environmental planning and continuous improvement; (b) the development of a watershed-based permitting program to address integrated management of water quality in a Basin impacted by coal-bed methane (CBM) extraction; (c) the implementation of an Environmental Leadership Program; A Vertical Start-up Model with Multi-State Applicability - designed to expand development of the state environmental leadership program, develop partnerships with other States in the Region and on the State borders to develop membership criteria and support for common business sectors, and work to align previously separate leadership projects such as OSHA's Voluntary Partnership Program, Energy Star, Green Buildings, and Smart Growth; and (d) the encouragement of Superior Environmental Performance Through Management Systems, Recognition, and Rewards. This program establishes a State-based Environmental Leadership Program that will complement (through a MOU/PPA) their participation in the National Performance Track Program. Planned project tasks include: building a "virtual EMS" tutorial through the college/university system; "greening the supply chain" mentoring projects; and implementing Performance Track incentives for applicable member facilities. New projects are testing the use of the Environmental Results Program across several business sectors applied at improving stormwater management, and applying Environmental Management Systems in permitting to improving compliance and environmental performance in the dairy AFO sector.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.950 ENVIRONMENTAL EDUCATION AND TRAINING PROGRAM

(EETP; Training Program)

FEDERAL AGENCY:

ENVIRONMENTAL EDUCATION DIVISION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

National Environmental Education Act; Section 5, Public Law 101-619.

OBJECTIVES:

To train educational professionals in the development and delivery of environmental education programs. As required by Public Law 101-619, funds are provided to universities, non-profit organizations, or a consortia of such institutions to deliver environmental education training and support for education professionals. Funding Priority-Fiscal Year 2008: EPA awards only cooperative agreements to a university, non-profit organization, or a consortia to operate the program on a multi-year basis. The training and support must be provided for both formal and non-formal educators, occur in both pre-service and in-service settings, and reach geographically and culturally diverse audiences across the U.S. The outputs should include: delivering in-service and pre-service educator training, promoting the national environmental education guidelines, supporting state capacity building, developing and institutionalizing a materials review process, supporting the accreditation of teachers, supporting state educator certification efforts, and supporting internet access to information and materials. The training program to be operated from October 1, 2005 through September 30, 2010 must provide training and support for both formal and non-formal educators, occur in both pre-service and in-service settings, and reach geographically and culturally diverse audiences across the U.S. The outputs should include: delivering in-service and pre-service educator training, promoting the national environmental education guidelines, supporting state capacity building, developing and institutionalizing a materials review process, supporting the accreditation of teachers, supporting state educator certification efforts, and supporting internet access to information and materials. Funding Priority-Fiscal Year 2009: EPA awards only cooperative agreements to a university, nonprofit organization, or a consortia to operate the program on a multi-year basis. The training and support must be provided for both formal and non-formal educators, occur in both pre-service and in-service settings, and reach geographically and culturally diverse audiences across the U.S. The outputs should include: delivering in-service and pre-service educator training,

promoting the national environmental education guidelines, supporting state capacity building, developing and institutionalizing a materials review process, supporting the accreditation of teachers, supporting state educator certification efforts, and supporting internet access to information and materials. The training program to be operated from October 1, 2005 through September 30, 2010 must provide training and support for both formal and non-formal educators, occur in both pre-service and in-service settings, and reach geographically and culturally diverse audiences across the U.S. The outputs should include: delivering in-service and pre-service educator training, promoting the national environmental education guidelines, supporting state capacity building, developing and institutionalizing a materials review process, supporting the accreditation of teachers, supporting state educator certification efforts, and supporting internet access to information and materials.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds shall be used to establish an education and training program which shall include, at a minimum: (1) classroom training in environmental education and studies including environmental sciences and theory, educational methods and practices, environmental career or occupational education, and topical environmental issues and problems; (2) demonstrations of the design and conduct of environmental field studies and assessments; (3) development of environmental education programs and curricula, including programs and curricula to meet the needs of diverse ethnic and cultural groups; (4) sponsorship and management of international exchanges of teachers and other educational professionals between the United States, Canada, and Mexico involved in environmental programs and issues; (5) maintenance or support of a library of environmental education materials, information, literature, and technologies, with electronic as well as hard copy accessibility; (6) evaluation and dissemination of environmental education materials, training methods, and related programs; (7) sponsorship of conferences, seminars, and related forums for the advancement and development of environmental education and training curricula and materials, including international conferences, seminars, and forums; (8) supporting effective partnerships, networks, and the use of distant learning technologies; and (9) such other activities as the Administrator determines to be consistent with the policies of the Act. No funds made available for this program shall be used for the acquisition of real property (including buildings) or the construction or substantial modification of any building. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Assistance under this program is available to universities, non-profit organizations, or a consortia of such institutions to deliver environmental education training and support for education professionals. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Education (0-8), education (9-12), education (13+), nonprofit institutions.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. Office of Environmental Education may ask applicants or principal investigators to provide curriculum vitae and relevant publications.

Preapplication Coordination:

A solicitation notice is issued for this program once every five years. The notice identifies the application process. This program is excluded from coverage under E.O. 12372. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

Application Procedure:

The next solicitation notice which describes the application procedure for this program will be issued in the Federal Register in 2010. The last solicitation notice was issued March 18, 2005. The solicitation process for this program is conducted every five years. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

This program is subject to the provisions of OMB Circular No. A-110. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

The deadline for submitting proposals for this program will be specified in the next solicitation notice in 2010.

Range of Approval/Disapproval Time:

The next award will not be made until 2010.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to the Environmental Education Division's program priorities, and the availability of funds.

Formula and Matching Requirements:

In accordance with the National Environmental Education Act (Public Law 101-619), federal funds for any project under this section shall not exceed 75 percent of the total cost of such project. The project has a 25 percent non-federal match required by statutory formula. For the purposes of this section, the non-Federal share of project costs may be provided by cash or by in-kind contributions and other non-cash support.

Length and Time Phasing of Assistance:

The training program consists of an initial one year budget and project period subject to annual supplemental funding agreements for up to five years.

Reports:

The grantee must submit bi-annual and final progress reports.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until the expiration of 3 years from the date of submission of the final expenditure report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$837,000; FY 08 \$2,209,000; FY 09 est \$17,000,000.

Range and Average of Financial Assistance:

This is a 5-year program, one recipient is selected every 5 years. The project is funded on an annual basis and the amount of the annual funding depends on Congressional appropriation. The range and average financial assistance for the full 5-year project period is approximately \$8.5 million.

PROGRAM ACCOMPLISHMENTS:

(1) Trained over 80,000 teachers and other education professionals in scientifically-sound and pedagogically-effective environmental education; (2) published a series of national environmental education guidelines for: environmental education materials, what students need to know about the environment to become environmentally literate, what educators need to know to teach effectively about the environment, and what constitutes effective non-formal programs; (3) provided leadership and strategic planning training and support to more than 25 states to ensure coordinated and effective statewide environmental education programs; (4) supported state environmental education certification efforts in four states; (5) developed three university accredited on-line environmental education courses that introduce educators to environmental education, teach educators how to evaluate environmental education programs, and help EE programs reach culturally diverse audiences; and (6) developed and maintained a state-of-the-art Internet site to enable educators to access quality information and resources about environmental education. More information on program accomplishments is available at <http://www.eetap.org>.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 30, 31, 32, 33, 45, 47; program guidance; Federal Register (Invitation for Pre-proposal, July 2, 1991); Public Law 101-619.

Regional or Local Office:

Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Kathleen MacKinnon, Environmental Education Specialist, Environmental Education Division, (1704A), Environmental Protection Agency, 1200 Pennsylvania Ave., NW, Washington, DC 20460. Telephone: (202) 564-0454. Fax: (202) 564-2754. E-mail: mackinnon.kathleen@epa.gov.

Web Site Address:

<http://www.epa.gov/enviroed>.

RELATED PROGRAMS:

66.951, Environmental Education Grants.

EXAMPLES OF FUNDED PROJECTS:

Environmental education activities such as training for in-service and pre-service educators; promotion of the national environmental education guidelines; support for state capacity building; the development of a materials review process; support for accreditation efforts at universities; support for state educator certification programs; and support for internet access to information and materials. More information is available at <http://www.eetap.org>.

CRITERIA FOR SELECTING PROPOSALS:

Priorities for selecting proposals are listed in Section 5 of Public Law 101-619, National Environmental Education Act. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.951 ENVIRONMENTAL EDUCATION GRANTS

FEDERAL AGENCY:

OFFICE OF ENVIRONMENTAL EDUCATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

National Environmental Education Act, Section 6; Public Law 101-619.

OBJECTIVES:

To support projects to design, demonstrate, or disseminate practices, methods, or techniques related to environmental education and teacher training. As required by Public Law 101-619, this grant program provides financial support for environmental education projects implemented by schools, universities, state and local government environmental and educational agencies, tribal education agencies, and nonprofit 501 (c)(3) organizations. Funding Priority - Fiscal Year 2008: All grant projects must address one of the following educational priorities: (1) Capacity Building: Increasing capacity to develop and deliver coordinated environmental education programs across a state or across multiple states. Steps include developing effective leaders and organizations which create strategic plans to implement and link environmental education programs to promote long term programs and to decrease fragmentation of effort and duplication across programs; (2) Education Reform: Utilizing environmental education as a catalyst to advance state or local education reform goals; (3) Community Stewardship: Designing and implementing model projects to educate the public about environmental issues in their communities through state and local government and community based organizations or through print, film, broadcast, or other media; (4) Health: Educating teachers, students, community leaders, or the public about human-health threats from environmental pollution, especially as it affects children, and how to minimize human exposure to preserve good health; (5) Teaching Skills: Providing professional development for teachers, faculty, or non-formal educators about environmental issues and content, such as sustainability, to improve environmental education skills; or (6) Career Development: Educating students in formal or non-formal settings about environmental issues to encourage environmental careers. Funding Priority - Fiscal Year 2009: It is anticipated that the 2009 funding priorities will be very similar, if not the same as the previous priorities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds shall be used to establish an education program which shall include, at a minimum: (1) design, demonstration, or dissemination of environmental curricula and materials on specific topics for which there are no existing materials; (2) design and demonstration of field methods, practices, and techniques, including review of environmental and ecological conditions and analysis of environmental and pollution problems; (3) projects to understand and evaluate a specific environmental issue or a specific environmental problem; (4) provision of training or related education for teachers, faculty, or related personnel in a specific geographic area or region; and (5) design and demonstration of projects to foster international cooperation in addressing environmental issues and problems involving the United States and Canada or Mexico. Priority will be given to those projects which will develop: (a) a new or significantly improved environmental education practice, method, or technique; (b) an environmental education method which may have wide application; (c) an environmental education method which addresses skills or scientific fields identified as a priority in the report developed by the National Environmental Education Advisory Council; and (d) an environmental education project which addresses an environmental issue which, in the judgment of the Administrator, is of a high priority. More specific priorities are listed above under Objectives and are specified in the annual Solicitation Notice. Restrictions: No funds made available for this program shall be used for technical training of environmental

management professionals; for advocacy or lobbying; for acquisition of real property (including buildings); or the construction or substantial modification of any building. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Assistance under this program is generally available to local education agencies, colleges and universities, state education and environmental agencies, nonprofit organizations described in Section 501(c)(3) of the Internal Revenue Service, and noncommercial educational broadcasting entities as defined and licensed by the Federal Communications Commission. Applicant organizations must be located in the United States or territories and the majority of the educational activities must take place in the United States, Canada, or Mexico. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Education (0-8), education (9-12), education (13+), nonprofit institutions.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants must demonstrate that they have appropriate background, academic training, and experience in the field, and may be asked to demonstrate the necessary equipment or facilities to carry out the project.

Preapplication Coordination:

The annual solicitation notice for proposals and grant writing tips are available on the Agency's website listed below. This program is excluded from coverage under E.O. 12372. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

Application Procedure:

Applicants are accepted on an annual grant cycle and are due at the deadline stated below. Applicants submit an application package in the format required in the annual Solicitation Notice. Applicants submit an application package to their respective EPA Regional Grants Management Offices for grants requesting \$50,000 or less in Federal funds; and to the EPA Headquarters for larger grants. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. However, where possible it is preferable to submit applications and attachments on paper via mail or delivery services.

Award Procedure:

Applications for a Federal share of \$50,000 or less are to be submitted to and evaluated by the respective EPA Regional Offices. Applications for a larger Federal dollar amount are to be submitted to and evaluated by the Office of Environmental Education, (1704A), 1200 Pennsylvania Ave., N.W., Washington, DC 20460. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. All awards are competed through the annual announcement and no proposals are awarded non-competitively.

Deadlines:

The grant application funding for the 2009 grant cycle is uncertain because Congress will determine if there will or will not be an appropriation for this grant program. If there is an appropriation for these grants, it is anticipated that the application deadline will be in December of 2008. Applications are evaluated and scored by internal EPA and external peer reviewers during the winter months and grants are awarded in the summer months.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Grants proposals are evaluated annually on a competitive basis. Therefore, applicants should not plan for renewals.

Formula and Matching Requirements:

In accordance with the National Environmental Education Act (Public Law 101-619), federal funds for any project under this section shall not exceed 75 percent of the total cost of such project. The project has a 25 percent non-federal match required by statutory formula. For the purposes of this section, the non-federal

share of project costs may be provided by cash or by in-kind contributions and other non-cash support.

Length and Time Phasing of Assistance:

The majority of the grants are completed in a one-year project period which is the preferred length of time. However, some flexibility up to two year project periods is possible depending upon the nature and complexity of the project. Activities must be completed within the time frame of the budget period. Concurrent grants to the same organization during the second year are not allowed. Grants may be incrementally or fully funded. This determination is made by the EPA.

Reports:

Recipients of grants are expected to submit progress reports on at least a semi-annual basis. Final reports and two copies of all grants products are due within 90 days of the close of the budget period.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until the expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$1,340,000; FY 08 \$3,400,000; and FY 09 est. \$3,300,000 (subject to Congressional appropriation)

Range and Average of Financial Assistance:

Range \$7,000 to \$125,000 per grant. Average financial assistance: Regional grants \$12,000 to \$15,000 per grant and Headquarters \$90,000 per grant.

PROGRAM ACCOMPLISHMENTS:

Each year, Headquarters awards approximately 10 grants and the ten EPA Regional Offices award 10 to 15 each for a total of about 135 grants nationwide. In a typical annual grant cycle, these Environmental Education Grants educate approximately 6,000 teachers, 20,000 students, and 12,000 members of the general public. In Fiscal Year 2006, EPA Headquarters awarded 10 grants from a pool of 105 grant applications; and the ten EPA Regional Offices awarded almost 135 grants from a pool of over 850 grant applications. In 2007 less funding was available and less than half that number of grants were awarded. In 2008, the issuance of grants repeated the typical grant pattern as stated above for 2006. For the 2009 grant cycle, assuming Congress makes an appropriation for this program, it is anticipated that the ratio of applications to awards will follow past patterns and approximately 10 percent of the headquarters applications and 30 percent of the regional office proposals will be funded. This grant program is expected to reach a target audience of about 6,000 teachers, 20,000 students and 12,000 members of the public in the next fiscal year.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 7, 12, 30, 31, 32 Subpart F, 33, 47; Public Law 101-619, and the Office of Environmental Education 2008 Grant Program Solicitation Notice to be issued in the fall of 2007.

Regional or Local Office:

Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog and on the website www.epa.gov/enviroed/grants.

Headquarters Office:

Diane Berger, Environmental Education Grant Program, (1704A), Environmental Protection Agency, 1200 Pennsylvania Ave., N.W., Washington, DC 20460. Telephone: (202) 564-0451. E-mail: berger.diane@epa.gov. Fax: (202) 564-2753.

Web Site Address:

<http://www.epa.gov/enviroed>.

RELATED PROGRAMS:

66.950, Environmental Education and Training Program.

EXAMPLES OF FUNDED PROJECTS:

Workshops to train high school teachers about the integration of environmental issues, such as water conservation and habitat preservation, into their lesson plans; development of a cross-disciplinary graduate school course of study for pre-service teachers; development of field trips supported by an Internet-based science curriculum for students in grades 6 through 12 that raises awareness of

environmental and health hazards posed by fine particle pollution; building state capacity for environmental education; and establishment of a four State committee to organize and implement a regional environmental education strategic plan that supports State education reform goals. Also see the Office of Environmental Education website at: www.epa.gov/enviroed for a complete list with descriptions by State.

CRITERIA FOR SELECTING PROPOSALS:

Basis and priorities for selecting proposals are listed in Section 6 of Public Law 101-619, National Environmental Education Act, and in the annual Solicitation Notice which can be accessed at www.epa.gov/enviroed on the internet. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.952 NATIONAL NETWORK FOR ENVIRONMENTAL MANAGEMENT STUDIES FELLOWSHIP PROGRAM

(NNEMS Fellowship Program)

FEDERAL AGENCY:

OFFICE OF ENVIRONMENTAL EDUCATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

National Environmental Education Act, Section 7; Public Law 101-619; Clean Air Act, as amended, Section 103(b)(5), (Section 42 of the United States Code (U.S.C.) 7403(b)(5)); Clean Water Act, as amended, Section 104(b)(5) and (g)(3)(B), (33 U.S.C. 1254(b)(5) and (g)(3)(B)); Safe Drinking Water Act, as amended, Section 1442, (42 U.S.C. 300j-1); Solid Waste Disposal Act, as amended, Section 8001 (42 U.S.C. 6981); Toxic Substances Control Act, as amended, Section 10 (15 U.S.C. 2609); Federal Insecticide, Fungicide, and Rodenticide Act, as amended, Section 20 (7 U.S.C. 136r); Comprehensive Environmental Response, Compensation, and Liability Act, Section 311 (42 U.S.C. 9660).

OBJECTIVES:

To provide students an opportunity to participate in a research project that is directly related to their field of study. To foster a growing interest among higher education students in environmental careers. To help defray the costs associated with the pursuit of academic programs related to the field of environmental protection, such as pollution control, science, engineering, technology, social science, and specialty areas. Funding Priority - Fiscal Year 2008: Includes research projects in the following categories: (1) Environmental Policy, Regulation, and Law, (2) Environmental Management and Administration, (3) Environmental Science, (4) Public Relations and Communication, and (5) Computer Programming and Development. Funding Priority - Fiscal Year 2009: It is anticipated that the funding priorities will remain the same in the next annual cycle.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The funds are to provide resources to allow for training and research fellowships relating to environmental issues. No matching funds are required.

Applicant Eligibility:

Applicant Eligibility: A NNEMS Fellowship is available to any associate, undergraduate, graduate, or advanced student who meets the general criteria listed below, as well as those identified for associate, undergraduate, graduate and advanced students. At a minimum, all applicants must be: 1) A citizen of the United States, its territories or possessions, or lawfully admitted to the United States for permanent residency. 2) Enrolled for academic credit at a college or university, or distance-learning institution accredited by a regional or national accrediting organization recognized by the United States Department of Education or the Council for Higher Education Accreditation. Associate and Undergraduate Students: Students attending 2- or 4-year institutions or distance learning institutions must meet the following requirements: 1) 3.0 cumulative grade point average (GPA) based on a scale of 4.0 at the time that the application is due. 2) Completion of at least four courses related to the field of environmental studies. Graduate and Advanced Students: Students enrolled in graduate or doctoral programs must meet the following requirements: 1) Currently enrolled in a graduate or Ph.D. program or can provide proof of acceptance and enrollment to a graduate or Ph.D. program at the time of fellowship award. 2) Completion of at least one semester of graduate or Ph.D. work, or at least four undergraduate courses related to the field of environmental studies. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Students.

Credentials/Documentation:

Students are required to submit an official transcript from each 2- or 4-year college or university, or distance learning institution attended. A student must submit verification of acceptance and/or enrollment in a graduate or Ph.D.

program if the applicant is a graduating senior.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Students who are interested in applying for a NNEMS fellowship must submit four complete application packages (one original and three copies) that include: (1) A completed NNEMS application, (2) SF 424, (3) A resume, (4) A completed NNEMS reference form, (5) A completed NNEMS Disclosure and Waiver Statement, (6) Verification of acceptance and/or enrollment in a graduate or Ph.D. program if the applicant is a graduating senior, and (7) An official transcript for each 2-or 4-year college or university, or distance learning institution attended. Official transcripts should be opened and photocopied as three copies. NNEMS application forms can be obtained on our web site at: www.epa.gov/enviroed. Applicants must submit their completed application package to: NNEMS Fellowship Program, Tetra Tech EM Inc., 1881 Campus Commons Drive, Suite 200, Reston, VA 20191. Students selected for a NNEMS Fellowship must fill out a Fellowship Application (EPA Form 5770-2), a Fellowship Facilities and Commitment Statement (5770-3), and a NNEMS Fellowship Application. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Completed application packages are generally due in late January. The exact date is specified annually in the catalog that lists the fellowship projects available for the current year. That catalog is available at www.epa.gov/enviroed and on many college campuses where reference documents for financial opportunities are located.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Grants are solicited through competitions and are not renewed.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

NNEMS Fellowships are awarded for three months full-time and up to three years part-time. Students receive payment in the form of a stipend typically paid in equal monthly payments throughout the duration of the fellowship period.

Reports:

Reporting requirements will be stated in the Terms and Conditions of the official grant award documents. Recipients must submit original final reports to both the EPA Project Officer and to the Office of Environmental Education NNEMS Coordinator. The final report should be submitted on the last day of the fellowship. Unless directed otherwise by the EPA Project Officer, the final report should summarize the specific project undertaken by the student and the project results.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Pursuant to 40 CFR Part 46- Fellowships, Subpart E-46.230, Closeout Procedures: NNEMS Fellows must retain all records related to the fellowship for 3 years following the completion date identified on the Completion of Studies Notice. The EPA, the Inspector General, and the Comptroller General of the United States, and any duly authorized representatives have the right of timely

and unrestricted access to a student's documents, papers, or other records related to a fellowship in order to make audits, examinations, excerpts, transcripts, and copies of such documents. The rights of access in this paragraph are not limited to the required retention period but shall last as long as those records are retained.

Account Identification:

68-0108-0-1-304.

Obligations:

FY 07 \$221,335; FY 08 \$217,859; and FY 09 est \$235,000.

Range and Average of Financial Assistance:

Recipients of NNEMS fellowships receive a grant award in the form of a stipend, based on the student's level of education and the duration and location of the project. Grant awards range from approximately \$7,400.00 to \$12,600.00 per award for a 3-month, full-time period. The average award is for approximately \$10,000.00.

PROGRAM ACCOMPLISHMENTS:

Each year, the NNEMS program offers approximately 20 to 25 research projects, developed and sponsored by EPA Headquarters in Washington, DC and in EPA's 10 regional offices and laboratories throughout the EPA has awarded nearly 1,400 fellowships under the NNEMS program since its inception in 1986. Each year, EPA announces the available projects offered by EPA offices and students from colleges and universities nationwide make application to do the research or other environmental studies and tasks that are educational and promote environmental careers. In 2007, 138 applications were received and 16 applicants were awarded a NNEMS fellowship of which the average stipend amount was about \$14,000. In 2008, 142 applications were received and 18 applicants were awarded a NNEMS fellowship with the average amount of the stipend being about \$13,000. In 2009 EPA anticipates approximately 20 applicants will be awarded a fellowship, with \$235,000.00 as the estimated total amount of awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 46-Fellowships and 40 CFR Part 30-General Regulations for Assistance Programs.

Regional or Local Office:

None.

Headquarters Office:

For additional information on the NNEMS program, please contact: Michael Baker, Office of Environmental Education (MC1704A), Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Washington DC 20460. Telephone: (202) 564-0443. Fax: (202) 564-2754. E-mail: baker.michael@epa.gov.

Web Site Address:

<http://www.epa.gov/enviroed/>.

RELATED PROGRAMS:

66.509, Science To Achieve Results (STAR) Research Program.

EXAMPLES OF FUNDED PROJECTS:

NNEMS fellows are placed in EPA Headquarters and Regional offices and laboratories nationwide. They work on a variety of EPA developed research projects and environmental topics relating to the following five categories: Environmental Policy, Regulation, and Law; Environmental Management and Administration; Environmental Science; Public Relations and Communications; and Computer Programming and Development. Examples of projects offered by the NNEMS fellowship program relating to the above categories are as follows: 1) Environmental Policy, Regulation and Law: Fellowships offered in this category may provide students an opportunity to review and evaluate existing policies and regulations, or perhaps conduct research related to the development of new policies. A recently funded project involved a student researching and assessing a variety of air pollution control strategies designed to reduce particulate matter and ozone in order to reach the nation's air quality goals. 2) Environmental Management and Administration: The fellowships offered in this category may focus on environmental management goals. A recently funded project involved a student conducting research to identify contaminated superfund sites that have the potential for beneficial reuse. 3) Environmental Science: Research projects in this category typically include direct participation in field studies and laboratory research. A recently funded project involved a student researching, compiling, and analyzing information on laboratory and field research and demonstration projects using bioremediation to address dense non-aqueous phase liquid contamination at hazardous waste sites. 4) Public Relations and Communications: These types of projects may include the review and analysis of public response to EPA policies and regulations, as well as general public opinion of environmental issues. These projects may also include assisting with the development of communication tools. A recently funded project involved researching and analyzing environmental information of interest to the public, researching and recommending information to include in press releases, and gaining knowledge and experience in how the Agency prepares for special press events. 5) Computer Programming and Development: These research projects may include the development of computer software, the development and querying of databases, or the design of programming functions required in laboratory work. A past funded project involved researching and collecting specific data about children's health, risks of exposure, and possible

sources, incorporating this information into a children's health database, and conducting analysis using a variety of spatial tools. Analysis procedures investigated the impacts of environmental pollution on children's health and identified where children are at the greatest risk. For a complete listing of NNEMS 2008 project descriptions, see: <http://www.epa.gov/enviroed/NNEMS>.

CRITERIA FOR SELECTING PROPOSALS:

None.

NATIONAL GALLERY OF ART

68.001 NATIONAL GALLERY OF ART EXTENSION SERVICE

FEDERAL AGENCY:

NATIONAL GALLERY OF ART

AUTHORIZATION:

20 U.S.C. 71-75.

OBJECTIVES:

To provide educational material (slide programs, teaching packets, videocassettes, videodiscs, CD-ROMs, and DVDs) on the Gallery's collections and exhibitions, free of charge, except for return mailing, to individuals, schools, colleges, and libraries across the Nation.

TYPES OF ASSISTANCE:

Use of Property, Facilities, and Equipment.

USES AND USE RESTRICTIONS:

Audio-visual materials for the use in art education programs and humanities curricula.

Applicant Eligibility:

Schools, colleges, libraries, clubs, museums, community organizations, individuals, and non-commercial educational television stations.

Beneficiary Eligibility:

Public and private nonprofit institutions and organizations.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Write to the headquarters office for list of available materials; order forms provided. Catalogue listings also available on NGA website:
<http://www.nga.gov/education/classroom/loanfinder>.

Award Procedure:

None.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Data on use of materials.

Audits:

None.

Records:

None.

Account Identification:

33-0200-0-1-503.

Obligations:

(Salaries and Expenses) FY 07 \$480,150; FY 08 est \$450,000; and FY 09 est \$515,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, audiovisual art education materials relating to the Nation's collection of paintings and sculptures and to the Gallery's special exhibitions were shown to a diverse number of audiences across the country 121,174 times.

In fiscal years 2008 and 2009, the number of showings and audiences are estimated to remain in this range. The use of these resource materials in the educational community and for continuing and lifelong learning will remain high. In fiscal year 2007, Extension Programs on the Gallery's collections and exhibitions were seen by a reported 24,360,693 persons.

REGULATIONS, GUIDELINES, AND LITERATURE:

Catalogue of available programs. Entries are also listed on the Gallery web site
<http://www.nga.gov/resources/index.shtml>.

Regional or Local Office:

None.

Headquarters Office:

Department of Education Resources, National Gallery of Art, Washington, DC 20565. Telephone: (202) 737-4215. Contact: Department Office, Telephone: (202) 842-6273 (FTS 8-737-6600). E-mail: EdResources@nga.gov.

Web Site Address:

<http://www.nga.gov/education/classroom/loanfinder/>

RELATED PROGRAMS:

89.003, National Historical Publications and Records Grants.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

OVERSEAS PRIVATE INVESTMENT CORPORATION

70.002 FOREIGN INVESTMENT FINANCING

FEDERAL AGENCY:

OVERSEAS PRIVATE INVESTMENT CORPORATION

AUTHORIZATION:

Foreign Assistance Act of 1969, Title IV, Section 234(b) and 234(c), Public Law 91-175, 22 U.S.C. 2191, et seq.

OBJECTIVES:

To provide financing for projects sponsored by private U.S. businesses in developing countries and emerging economies throughout the world, thereby assisting development goals and improving U.S. competitiveness, creating American jobs and increasing United States exports.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

To provide financing for investments in developing countries in projects that contribute to the economic and social development of the host country and have a positive impact on the U.S. economy. OPIC screens out projects that might have a negative effect on U.S. employment or the host country's development or the environment, or would contribute to the violation of internationally recognized worker rights. Direct loans can only be made to private sector projects in which there is significant involvement by an U.S. small business.

Applicant Eligibility:

Guaranteed investor must be citizen of the United States, a corporation, partnership, or other association created under the laws of the United States or any State or territory, and more than 50 percent beneficially owned by U.S. Citizens; a foreign corporation at least 95 percent owned by such entities; or a 100 percent United States-owned foreign entity. Direct loans are reserved for projects sponsored by or significantly involving United States small businesses or cooperatives. Applicants also must meet OPIC's credit underwriting criteria.

Beneficiary Eligibility:

Project must have significant participation by a United States sponsor, generally in the form of at least 25 percent equity ownership. OPIC does not support projects that will result in the loss of U.S. jobs, that would contribute to the violation of internationally recognized worker rights or involve illicit payments. OPIC only supports projects that contribute to the economic and social development of the host country.

Credentials/Documentation:

OPIC Finance Application; Financial statements; OPIC sponsor disclosure report; other applicable information on operations of U.S. investor, and project business plan. A bilateral agreement between the United States and the host country government must be in place before OPIC can provide loan guaranties in a country. In some cases, special foreign government approval is required before financing can be provided.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application for Financing is required.

Award Procedure:

OPIC conducts extensive due diligence and project analysis. Project must be approved internally and meets OPIC's credit, policy and legal criteria. Loan guaranty is issued directly to the lender by OPIC, in conjunction with OPIC entering into financing documentation with the borrower, U.S. sponsor and other parties. Direct loan is made directly to the project company.

Deadlines:

None.

Range of Approval/Disapproval Time:

Variable, depending on status and complexity of the project.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Significant equity investment in the project company by qualified U.S. sponsor.

Length and Time Phasing of Assistance:

Variable, depending on characteristics of the project. OPIC financing is usually in the range of 5-15 years.

Reports:

Projects submit quarterly and annual reports on operations and capital spending, and such other reports as OPIC may call for.

Audits:

OPIC representatives monitor projects closely and may visit project and interview management. Financial statements generally in accordance with the United States generally accepted accounting practices must be submitted for OPIC monitoring.

Records:

Certain accounting records, drawdowns of all funds, and other information must be available during term of financing and beyond.

Account Identification:

71-4030-0-3-151; 71-0100-0-1-151; 71-4075-0-3-151; 71-4074-0-3-151; 71-4184-0-3-151.

Obligations:

(Total Loan Commitments) FY 07 \$1,950,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$100,000 to \$320,000,000; small business loans, \$100,000 to \$46,300,000; \$7,143,245; non-small business loans, \$1,350,000 to \$250,000,000; \$45,641,379

PROGRAM ACCOMPLISHMENTS:

Since 1971, OPIC, a self-sustaining agency, has supported more than \$164 billion in U.S. investment overseas that will generate over \$69 billion in U.S. exports and support more than 264,000 American jobs. Additionally, OPIC has benefited the more than 150 countries in which it has operated or is currently operating, supporting sustainable development and international trade while solidifying free markets.

REGULATIONS, GUIDELINES, AND LITERATURE:

"OPIC Program Handbook," free. Web site address is <http://www.opic.gov>.

Regional or Local Office:

None.

Headquarters Office:

Information Officer Overseas Private Investment Corporation 1100 New York Ave., NW, Washington, DC 20527 Telephone: (202) 336-8799. For program information by electronic mail: info@opic.gov.

Web Site Address:

<http://www.opic.gov>.

RELATED PROGRAMS:

70.003, Foreign Investment Insurance.

EXAMPLES OF FUNDED PROJECTS:

Examples of financed projects include cellular telephone networks, retail petroleum projects, electric power generation projects, small business transactions, and manufacturing and agricultural ventures.

CRITERIA FOR SELECTING PROPOSALS:

See USES AND USE RESTRICTIONS and ELIGIBILITY REQUIREMENTS.

70.003 FOREIGN INVESTMENT INSURANCE

(Political Risk Insurance)

FEDERAL AGENCY:

OVERSEAS PRIVATE INVESTMENT CORPORATION

AUTHORIZATION:

Foreign Assistance Act of 1969, Title IV, Section 234(a), Public Law 91-175, 22 U.S.C. 2191, et seq.

OBJECTIVES:

To insure investments of eligible U.S. investors in developing countries and emerging markets, against the political risks of inconvertibility, expropriation, and political violence. Special programs include insuring (1) contractors and exporters against arbitrary drawings of letters of credit posted as bid, performance or advance payment guaranties, (2) petroleum exploration, development and production (3) leasing operations, and (4) debt financials, including securities.

TYPES OF ASSISTANCE:

Insurance.

USES AND USE RESTRICTIONS:

To encourage private U.S. investment in developing countries and emerging economies by protecting against certain political risks. Investments may be eligible for insurance if they benefit the social and economic development of the host country. OPIC will not support projects that could result in the loss of U.S. jobs, adversely affect the U.S. economy, have a negative impact on the host country's development or environment, or contribute to violations of internationally recognized worker rights.

Applicant Eligibility:

Citizen of the United States; a corporation, partnership, or other association created under the laws of the United States or any State or territory, of which more than 50 percent is beneficially owned by U.S. citizens; a foreign corporation at least 95 percent owned by such entities; or any other 100 percent U.S.-owned foreign entity.

Beneficiary Eligibility:

OPIC does not support projects that will result in the loss of U.S. jobs, that have a negative impact on the host country's economy or environment, or contribute to violations of internationally recognized worker rights. OPIC only supports projects that contribute to the economic and social development of the host country.

Credentials/Documentation:

A bilateral agreement between the United States and the host country government must be in place before OPIC can provide insurance for a given

country. Additionally, special project approval by the foreign government is required in some countries before insurance can be provided.

Preapplication Coordination:

Registration letter. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The insurance program has a two-step application process. Investors must first register their projects with OPIC before making an irrevocable commitment to invest. Once the details of the investment have been established, an application for insurance coverage is filed. OPIC charges a retainer fee after the submission of an application for insurance in order to proceed with review of the project.

Registration and application forms are available on request from the Insurance Applications Officer, Overseas Private Investment Corporation, 1100 New York Avenue, NW., Washington, DC 20527, or on OPIC's website: www.opic.gov.

Award Procedure:

Insurance is issued directly to the applicant by OPIC, or through a broker if the investor so chooses.

Deadlines:

Registration must be made prior to making an irrevocable commitment to invest.

The registration remains active at OPIC for 2 years.

Range of Approval/Disapproval Time:

Will vary depending on size and complexity of project.

Appeals:

Not applicable.

Renewals:

OPIC insurance contracts can be offered at fixed rates for up to 20 years.

Investor has the opportunity to change insurance elections annually or semi-annually. Policies are non-cancelable by OPIC, provided that investor is paying premiums and is not in material breach of the contract.

Formula and Matching Requirements:

Not applicable. In most cases, insured must retain at least 10 percent of the risk as self-insurance.

Length and Time Phasing of Assistance:

Maximum insurance term is 20 years for equity investment; for loans, the term of the loan; for contracts, the term of the contract.

Reports:

Annual or semi-annual election of current coverage, annual or semi-annual payment of premiums, and such information as may be requested by OPIC to monitor the project or to make a determination in the case of a claim for compensation.

Audits:

Not applicable.

Records:

When claim for compensation is pending and after such payment is made, the investor has a duty to cooperate with OPIC in supplying all information requested pertaining to the claim. These responsibilities are clearly spelled out in each insurance contract.

Account Identification:

71-4184-0-3-151.

Obligations:

(Insurance Issued) (Aggregate Maximum Insured Amount) FY 07 \$1,200,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Up to \$250,000,000; \$12,244,695.

PROGRAM ACCOMPLISHMENTS:

Since 1971, OPIC, a self-sustaining agency, has supported more than \$164 billion in U.S. investment overseas that will generate \$69 billion in U.S. exports and support more than 264,000 American jobs. Additionally, OPIC has benefited the more than 150 countries in which it has operated or is currently operating, supporting sustainable development and international trade while solidifying free markets.

REGULATIONS, GUIDELINES, AND LITERATURE:

"OPIC Program Handbook," free.

Regional or Local Office:

None.

Headquarters Office:

Information Officer Overseas Private Investment Corporation 1100 New York Ave., NW. Washington, DC 20527. Telephone: (202) 336-8799. For program information by electronic mail: info@opic.gov.

Web Site Address:

<http://www.opic.gov>.

RELATED PROGRAMS:

70.002, Foreign Investment Financing.

EXAMPLES OF FUNDED PROJECTS:

Examples of insured projects include: private power generation, mining, petroleum, telecommunications, commercial bank, oil and gas processing equipment, construction, food processing, equipment leasing, etc.

CRITERIA FOR SELECTING PROPOSALS:

See USES AND USE RESTRICTIONS and ELIGIBILITY REQUIREMENTS.

NUCLEAR REGULATORY COMMISSION

77.006 U. S. NUCLEAR REGULATORY COMMISSION NUCLEAR EDUCATION GRANT PROGRAM

FEDERAL AGENCY:

NUCLEAR REGULATORY COMMISSION

AUTHORIZATION:

Energy Policy Act of 2005, Section 651,c,1, Public Law 109-58.

OBJECTIVES:

To provide assistance to colleges and universities in support of courses, studies, training, curricula, and disciplines relevant to nuclear safety, security, environmental protection, or any other field that the Commission determines to be critical to its regulatory mission.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Financial support in whole or part may be provided for such purposes as the salaries, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training, and related activities. Restrictions on use of funds depend on grant provisions. Funding is provided for the purpose of improving or supporting curricula that does not necessarily duplicate work already in process or contemplated by NRC, is not already known to NRC, or has previously unrecognized merit.

Applicant Eligibility:

Applicants can be U.S. public and private institutions of higher education.

Beneficiary Eligibility:

U.S. public and private institutions of higher education will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education. Costs will be determined in accordance with OMB Circular No. A-87 for state and local governments.

Preapplication Coordination:

Letters of Intent are required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals should be submitted as specified in the funding opportunity announcement that will be posted at www.grants.gov.

Award Procedure:

Proposals will be screened for relevance and then reviewed for scientific and technical merit by qualified individuals. Recommendations for award are made by the review panel via the U. S. Nuclear Regulatory Commission Office of Human Resources Training Division, and the selected proposals will be forwarded to the U.S. Nuclear Regulatory Commission, Office of Administration, Division of Contracts, for grant development and award.

Deadlines:

Deadlines are stated in the solicitations.

Range of Approval/Disapproval Time:

Approximately 90-180 days.

Appeals:

None.

Renewals:

Proposals for renewal are subject to review and acceptance by the U.S. Nuclear Regulatory Commission Office of Human Resources Training Division.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

The schedule of NRC payments is arranged at the time of award.

Reports:

The following reports are required: Progress Reports, Financial Status Reports, and Final Report. These requirements are also specified in the solicitation.

Audits:

Costs incurred are subject to audit throughout the grant period and/or before the final payment, with the extent and frequency of audit depending on the size of the grant and on the particular grant provisions.

Records:

Recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

31-0200-0-1-276.

Obligations:

FY 07 est \$4,700,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$200,000 to \$4,700,000.

PROGRAM ACCOMPLISHMENTS:

The assistance supported helps to provide assistance to colleges and universities to support courses, studies, training, curricula and disciplines relevant to nuclear safety, security and environmental protection.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

U.S. Nuclear Regulatory Commission, Two White Flint North, 11545 Rockville Pike, Rockville, MD 20852. Mail Stop T-7-1-2, Washington, DC 20555-0001. Telephone: (301) 415-7856.

Web Site Address:

www.nrc.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Soundness of the proposed approach including objectives, methodology, plan of operation, timetable, expected products and results, evaluation, and dissemination plans. The capability to perform the project to the degree to which the project will strengthen its teaching or research capacity. Qualifications of the applicant's personnel. The total budget adequately supports the project and is effective.

77.007 U.S. NUCLEAR REGULATORY COMMISSION MINORITY SERVING INSTITUTIONS PROGRAM (MSIP)

FEDERAL AGENCY:

NUCLEAR REGULATORY COMMISSION

AUTHORIZATION:

Energy Policy Act of 2005, Sections 651,c,4 and 622, Public Law 109-58; Executive Orders 13256, 13270 and 13230.

OBJECTIVES:

To fund minority serving programs and activities, projects, symposiums, and training for the exchange and transfer of knowledge and skills relevant to nuclear safety, security, environmental protection, or any other fields the Commission deems critical to its mission. To provide assistance for minority serving programs and activities to include, but not limited to, mentoring, leadership development, training, instruction, developmental learning, research and development, program evaluation, and technical assistance. To provide assistance to include direct institutional subsidies, facilities and equipment acquisition, internships, fellowships, scholarships, and tuition and housing assistance.

TYPES OF ASSISTANCE:

Project Grants; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Financial support in whole or in part may be provided for such purposes as salaries, stipends, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training and related activities. Restrictions on use of funds depend on grant provisions, the nature of assistance provided, and the purpose(s) for which the programs and activities were funded to achieve.

Applicant Eligibility:

Minority Serving Institutions and organizations that provide services to Minority Serving Institutions and their students and faculty.

Beneficiary Eligibility:

U.S. public and private Minority Serving Institutions and their students and faculty will benefit.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-21 for institutions of higher education. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Letters of Intent are required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals should be submitted as specified in the funding opportunity announcement that will be posted at www.grants.gov.

Award Procedure:

Proposals will be screened for relevance, and, where required, reviewed for scientific and technical merit by qualified individuals. Recommendations for award are made by the review panel via U.S. Nuclear Regulatory Commission Office of Small Business and Civil Rights (SBCR), and the selected proposals will be forwarded to U.S. Nuclear Regulatory Commission, Office of Administration, Division of Contracts for development and award.

Deadlines:

Deadlines are stated in the solicitations.

Range of Approval/Disapproval Time:

Approximately 90-180 days.

Appeals:

None.

Renewals:

Proposals for renewal are subject to review and acceptance by the Nuclear Regulatory Commission Office of Small Business and Civil Rights.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

The schedule of NRC payments is arranged at the time of award.

Reports:

Upon receipt of an award, the following reports are required: Progress Reports, Financial Status Reports, Final Report and Compliance Reports as required by applicable civil rights statutes and regulations. These requirements will be specified in the solicitation, and as a condition of funding.

Audits:

Costs incurred are subject to audit throughout the grant/cooperative agreement period and/or before final payment, with the extent and frequency of audit depending on the size and nature of the grant/cooperative agreement and on the particular grant/cooperative agreement provisions.

Records:

Recipient is expected to maintain accurate auditable records to substantiate total cost incurred under the grant/cooperative agreement.

Account Identification:

31-0200-0-1-276.

Obligations:

FY 07 \$900,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Undetermined.

PROGRAM ACCOMPLISHMENTS:

The assistance provided helps to establish partnership programs, stimulate renewed academic interest, strengthen the educational infrastructure and educate and train the next generation nuclear workforce.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

U.S. Nuclear Regulatory Commission, Two White Flint North, 11545 Rockville Pike, Rockville, MD 20852. Mailing Address: U.S. Nuclear Regulatory Commission, Mail Stop T-7-L-2, Washington, DC 20555-001. MSIP Contact Telephone: (301) 415-7380.

Web Site Address:

www.nrc.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Soundness of the proposed approach including objectives, methodology, plan of operation, timetable, expected products and results, evaluation and dissemination plans. The capability to perform the project. Qualifications of applicant's personnel. The total budget adequately supports the project and is effective.

77.008 U.S. NUCLEAR REGULATORY COMMISSION SCHOLARSHIP AND FELLOWSHIP PROGRAM

FEDERAL AGENCY:

NUCLEAR REGULATORY COMMISSION

AUTHORIZATION:

Energy Policy Act of 2005 (Section 243).

OBJECTIVES:

This Scholarship and Fellowship program allows students to pursue an education in science, engineering, or another field of study that the Commission determines is in a critical skill area related to its regulatory mission. Recipients need to be enrolled in a professional degree or graduate program offered by a four year institution of higher education in the United States. Recipients of scholarships and fellowships must commit to employment by the Commission.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

This program provides funding to four year institutions of higher education to provide scholarships, fellowships and stipends to develop a cadre of nuclear professionals. Funds are managed by the awardee institution. Primary responsibility for general supervision of all grant activities rests with the grantee institution. Funds may not be used for purposes other than those specified in the award. Fellowships, Scholarship and stipends to be paid to the awardee directly through his/her institution. Recipients are required to enter in an agreement for employment with the NRC for a period equal to the level of support received.

Applicant Eligibility:

Applicants must be four year U.S. public and private institutions of higher education.

Beneficiary Eligibility:

Undergraduate and graduate students. Four year public and private institutions of higher education.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular No. A-21 for colleges and universities. Applicants for scholarship and fellowship support must show evidence of ability such as academic records, and grade point average.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals should be submitted as specified in the funding opportunity announcement posted at www.grants.gov.

Award Procedure:

Applications are processed by the assigned staff and approved by the Commission based on a set of criteria established by the NRC.

Deadlines:

Deadlines are stated in the solicitations.

Range of Approval/Disapproval Time:

Approximately 90-180 days.

Appeals:

None.

Renewals:

Proposals for renewal are subject to review and acceptance by the U.S. Nuclear Regulatory Commission office of Human Resources Training Division.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

The schedule of NRC payments is arranged at the time of award.

Reports:

The schedule of reports is arranged at the time of negotiation.

Audits:

The extent and frequency of audits depend on the size of the grant and the particular grant provisions.

Records:

Awardee is expected to maintain auditable records to substantiate total costs incurred under the agreement.

Account Identification:

31-0200-0-1-276.

Obligations:

FY 07 \$400,000; FY 08 \$400,000; and FY 09 est not reported. Funds are subject to annual appropriations.

Range and Average of Financial Assistance:

\$50,000.

PROGRAM ACCOMPLISHMENTS:

It is anticipated that approximately 8-10 awards will be made resulting in approximately 40-50 fellowships and or scholarships.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

Not applicable.

Headquarters Office:

U.S. Nuclear Regulatory Commission, One White Flint North, 11555 Rockville Pike, Rockville, Maryland 20852 and Two White Flint North, 11545 Rockville Pike, Rockville, Maryland 20852. Mailing Address: U.S. Nuclear Regulatory Commission Washington, D.C. 20555-0001. Telephone (301) 415-7000.

Web Site Address:

www.nrc.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable. New authority.

CRITERIA FOR SELECTING PROPOSALS:

Capacity and ability of the institution to effectively conduct the program. Number and quality of students that will be served by the program. Justification for number of students served and amount of stipend and scholarship support. Quality and feasibility of recruitment and marketing strategies. Quality of technical programs for scholarship/fellowship programs. Type of student support, i.e. mentoring or advisor assistance. Feasibility and completeness of an evaluation plan that will measure the effectiveness of the scholarship/fellowship program. Institutional support for the program and the extent to which the institution is committed to making the program an organizational focus. Plans for sustainability. Additional merit review considerations apply.

COMMODITY FUTURES TRADING COMMISSION

78.004 COMMODITY FUTURES REPARATIONS CLAIMS

FEDERAL AGENCY:

COMMODITY FUTURES TRADING COMMISSION

AUTHORIZATION:

Commodity Exchange Act, as amended; Commodity Futures Trading Commission Act, Public Law 93-463; Futures Trading Act of 1978, Public Law 95-405; Futures Trading Act of 1982, Public Law 97- 444; Futures Trading Act of 1986, Public Law 99-641; Futures Trading Practices Act of 1992, Public Law 102-546; Commodity Futures Modernization Act of 2000, Public Law 106-554.

OBJECTIVES:

To respond to customer complaints and inquiries and to provide a forum for hearing and ruling on reparations complaints against registrants regarding monetary damages resulting from violation of the Commodity Exchange Act or regulations.

TYPES OF ASSISTANCE:

Investigation of Complaints.

USES AND USE RESTRICTIONS:

Customer complaints are an important source of information about possible violations and assist the Commodity Futures Trading Commission in its enforcement and regulatory functions. Persons who believe they may have been cheated or defrauded in their trading transactions should advise the Commission so that it may take appropriate action. The Commission also provides a customer reparations forum where monetary awards may be directed to any person who can prove damage received as a result of violation of the Commodity Exchange Act in dealing with persons or companies registered with the Commission. Information on future trading is also available to the general public upon request.

Applicant Eligibility:

Market users and the general public can submit customer complaints or inquiries. Reparations complaints may be received from market users stating a claim for damages as a result of violations of the Commodity Exchange Act or regulation thereunder by a person or firm registered under the Act.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Reparations complaints must be proved by the complainant and must meet requirements for filing specified by Commission regulations.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Customer complaints and inquiries may be made by letter or telephone, to the Office of Proceedings-Complaints Section. Reparations complaints must be written verified statements as specified by Commission regulations and must be submitted to the Washington, DC office of the Commission.

Award Procedure:

Not applicable.

Deadlines:

Reparations claims must be filed within 2 years after the cause of action accrues.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Under certain circumstances appeals may be filed.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

95-1400-0-1-376.

Obligations:

(Salaries and Expenses) FY 07 \$70,400,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Commodity Exchange Act as amended 7 U.S.C. - Regulations under the Commodity Exchange Act (CFR Title 17, Chapter 1), Reparations Literature (no charge).

Regional or Local Office:

See address Appendix IV of the Catalog.

Headquarters Office:

Office of the Executive Director, Office of Proceedings, Commodity Futures Trading Commission, 3 Lafayette Center, 1155 21st Street, NW., Washington, DC 20581. Telephone: (202) 418-5250.

Web Site Address:

<http://www.cftc.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

DEPARTMENT OF ENERGY

81.003 GRANTING OF PATENT LICENSES

(DOE Patents Available for Licensing)

FEDERAL AGENCY:

OFFICE OF GENERAL COUNSEL, DEPARTMENT OF ENERGY

AUTHORIZATION:

Public Law 96-517, 35 U.S.C. 207, 208, 209; Atomic Energy Act of 1954, Sections 156 and 161(g), as amended, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2186 and 2201; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

To encourage widespread utilization of inventions covered by DOE owned patents.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Nonexclusive, revocable licenses are granted by Department of Energy (DOE) to responsible applicants with plans for development and/or marketing on approximately 1,500 DOE owned United State patents. Exclusive and partially exclusive licenses may also be granted. Similar licenses on approximately 200 DOE owned foreign patents may be accorded to United State citizens and corporations, and to others under terms and conditions which depend upon particular facts. Licenses for use or sale in the United State may normally be granted only to licensees that agree to manufacture in the United State Copies of U.S. patents may be obtained from the United State Patent and Trademark Office, Department of Commerce, Washington, DC 20231, for a modest fee.

Applicant Eligibility:

Individuals, firms, or corporations with satisfactory plans and intentions to commercialize the invention may apply.

Beneficiary Eligibility:

Individuals, firms, and corporations will benefit.

Credentials/Documentation:

Satisfactory plans for development and/or marketing of the invention. In the case of corporations, the State of incorporation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

By letter to the Assistant General Counsel for Technology Transfer and Intellectual Property, DOE, Washington, DC 20585, identifying the patent by its number, together with information as to: (1) The nature and type of the applicant's business; (2) the purpose for which the license is desired together with documentation as to the applicant's plan for development and/or marketing of the invention to achieve that purpose; (3) the geographical areas in which the applicant will practice the invention; (4) the applicant's willingness to render periodic reports on the use of the licensed subject matter; (5) the applicant's status as a small business firm, minority business, or other; and (6) remittance of a \$25 processing fee for each license requested. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Patent licenses are issued by the Office of the Assistant General Counsel for Technology Transfer and Intellectual Property, DOE.

Deadlines:

None.

Range of Approval/Disapproval Time:

Normally three months for nonexclusive licenses; somewhat longer for exclusive licenses.

Appeals:

Applicants denied a license and revoked licensees have the right to appeal in accordance with 10 CFR 781 by filing notice of appeal within 30 days. Appeals board is designated upon filing of notice of appeal.

Renewals:

Licenses may be renewed upon reapplication, contingent on satisfactory performance.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Annual reports are required to be submitted as to the extent of utilization and royalties due, if applicable.

Audits:

If license is royalty bearing, DOE has the right to inspect the books of account as necessary to determine accuracy of statement.

Records:

None except where the specific license provides for payment of royalty.

Account Identification:

89-0228-0-1-276.

Obligations:

(Salaries) FY 07 \$0; FY 08 est \$0 and FY 09 est \$0.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

2 licenses were granted in FY 2007; 8 (estimates) are expected to be granted in FY 2008 and 8 (estimates) are expected to be granted in FY 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Licensing of government owned inventions (37 CFR 404). 10 CFR 781, DOE Patent Licensing Regulations; "DOE Invention Licensing Program" describes the program and is available at <http://www.osti.gov/gencoun/>.

Regional or Local Office:

None.

Headquarters Office:

John T. Lucas, Office of the Assistant General Counsel for Technology Transfer and Intellectual Property, DOE, Washington, DC 20585. Telephone: (202) 586-2802.

Web Site Address:

<http://www.doe.gov>.

RELATED PROGRAMS:

11.900, Patent and Trademark Technical Information Dissemination.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Nonexclusive licenses - satisfactory plan for development and/or marketing of the invention. Exclusive licenses in addition, a determination in accordance with 35 U.S.C. 209(c) that exclusivity is reasonable and necessary for commercialization.

81.022 USED ENERGY-RELATED LABORATORY EQUIPMENT GRANTS

(Used Equipment Grants)

FEDERAL AGENCY:

OFFICE OF SCIENCE, DEPARTMENT OF ENERGY

AUTHORIZATION:

Atomic Energy Act of 1954, Section 31(a) and (b), as amended, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

To assist United States institutions of higher education in equipping their science and engineering laboratories for energy-related research and/or instructional purposes.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Used energy-related laboratory equipment is granted to nonprofit educational institutions of higher learning for use in energy-oriented research or instructional programs in the life, physical, and environmental sciences and engineering.

Applicant Eligibility:

Nonprofit educational institutions of higher learning, such as universities, colleges, junior colleges, hospitals, and technical institutes or museums located in the United States and interested in establishing or upgrading energy oriented educational and research programs in the life, physical, and environmental sciences and engineering may apply.

Beneficiary Eligibility:

Nonprofit institutions of higher education benefit.

Credentials/Documentation:

Institutions complete the on-line application. This application includes: (1) Identification of institution and school and/or department where equipment would be used; (2) the objectives to be met through use of the equipment; (3) a detailed listing of the equipment requested; (4) a brief description of energy-oriented course offerings and numbers of graduate and undergraduate students enrolled; (5) data on qualifications of faculty members to make effective use of the equipment; (6) reference to any prior grant for equipment (financial or otherwise) to the same or to a related department; (7) the name and title of the person preparing the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Representatives of eligible institutions should contact Department of Energy (DOE) Used Energy-Related Laboratory Equipment (ERLE) web site located at <http://erle.osti.gov/erle>. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

The guideline and application are on the website. The application will be automatically submitted to the appropriate DOE field office via electronic mail. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Award is on basis of first-received, first-qualified proposal.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 30 days.

Appeals:

A written appeal must be submitted within 15 days after a freeze is placed on an item.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

At the end of the first year of use, institution must report on the manner in which equipment was used in establishment, expansion, or enhancement of energy research and/or energy related courses.

Audits:

Audits are performed on a selective basis.

Records:

None.

Account Identification:

89-0224-0-1-271.

Obligations:

(Grants) FY 07 \$0; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

The number of awards depends on the amount and type of equipment excised during the year.

REGULATIONS, GUIDELINES, AND LITERATURE:

The "Energy-Related Laboratory Equipment (ERLE) Guidelines" are available via the Internet at <http://erle.osti.gov/erle>.

Regional or Local Office:

For DOE Operations Offices, see the "List Equipment." On "List Equipment" enter the item control number and hit "submit." This will bring up the individual to contact at the site where the specific piece of equipment is located.

Headquarters Office:

Office of Science, U.S. Department of Energy, 1000 Independence Avenue, SW., Washington, DC 20585. Telephone: (202) 586-7231.

Web Site Address:

<http://erle.osti.gov/erle>.

RELATED PROGRAMS:

39.003, Donation of Federal Surplus Personal Property.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

81.036 INVENTIONS AND INNOVATIONS

(I&I)

FEDERAL AGENCY:

OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY,
DEPARTMENT OF ENERGY

AUTHORIZATION:

Federal Nonnuclear Energy Research and Development Act of 1974, Section 14, Public Law 93-577, 88 Stat. 1894, 42 U.S.C. 5913; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

To encourage the development and commercialization of energy-saving inventions by providing financial and technical assistance to projects that have a potential for significant energy savings and future commercialization markets through a competitive solicitation process.

TYPES OF ASSISTANCE:

Project Grants; Advisory Services and Counseling; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

¹ The assistance provides financial assistance for research and development of innovative, energy-saving ideas and invention. Inventions and Innovations support energy efficiency and renewable energy technology development in focus areas that align with the Office of Energy Efficiency and Renewable Energy programs. Further Program information can be found at <http://www.eere.energy.gov/inventions>.

Applicant Eligibility:

Individuals that are U.S. citizens, either native-born or naturalized, small businesses that are U.S. owned as defined in 10 CFR 600.501.

Beneficiary Eligibility:

Individual inventors and small businesses will benefit.

Credentials/Documentation:

This Program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This Program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Only proposals prepared in response to the requirements contained in the annual competitive solicitation will be accepted. The application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/>.

Award Procedure:

Awards range from \$50,000 in the early-stage of development to \$250,000 for technologies approaching the point of prototype. Proposals will be reviewed, evaluated, and selected for grant awards by a panel of knowledgeable program and technical personnel. In addition to financial assistance, this Program offers technical guidance and commercialization support to successful applicants.

Deadlines:

Deadlines are identified in the solicitation.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Unsuccessful applicants are entitled to a debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

None.

Formula and Matching Requirements:

Cost-sharing is not required, but highly encouraged. Level of cost-share is one of the programmatic selection considerations used by the selection official.

Length and Time Phasing of Assistance:

Award project periods range from 1 to 2 years.

Reports:

Quarterly project and financial status reports are required. A final report is required at the end of the contract period. A 10-year tracking and follow-up reporting mechanism may be required.

Audits:

DOE may perform closing audits.

Records:

Access to process implementation and efficiency data must be available for 10 years after project completion to measure performance against expectation and to support commercialization of the technology.

Account Identification:

89-0203-0-1-270.

Obligations:

FY 07 \$2,753,990; FY 08 est \$144,893; and FY 09 est not available.

Range and Average of Financial Assistance:

Awards range from \$50,000 to \$250,000.

PROGRAM ACCOMPLISHMENTS:

Since the start of the Inventions and Innovation Program in 1977 more than five hundred inventions have received financial support from DOE, with nearly 25 percent reaching the marketplace.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: DOE Financial Assistance Regulations 10 CFR 600. Guidelines and Literature: at <http://www.eere.energy.gov/inventions>.

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Office of Weatherization and Intergovernmental Program, EE-2K, Office of Energy Efficiency and Renewable Energy, U.S. Department of Energy, 1000 Independence Avenue, SW., Washington, DC 20585. Telephone: (877) 337-3463.

Web Site Address:

<http://www.eere.energy.gov/inventions/> and <http://www1.eere.energy.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded projects may be accessed at <http://www.eere.energy.gov/inventions> under the I&I Funded Projects section.

CRITERIA FOR SELECTING PROPOSALS:

Uniqueness, technical feasibility, marketability, energy relationship (including energy savings).

81.041 STATE ENERGY PROGRAM**FEDERAL AGENCY:**

OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY,
DEPARTMENT OF ENERGY

AUTHORIZATION:

Energy Policy and Conservation Act, Title III, Sections 361-366, Part C, Public Law 94-163, 42 U.S.C. 6321-6326; Department of Energy Organization Act of 1977, as amended, Title VI, Section 621, Public Law 95-91, 42 U.S.C. 7101; National Energy Conservation Policy Act of 1978, Public Law 95-619, Public Law 101-440; Balanced Budget Down Payment Act II of 1996, Public Law 104-134.

OBJECTIVES:

Provide leadership to maximize the benefits of energy efficiency and renewable energy through communications and outreach activities, technology deployment, and accessing new partnerships and resources across the geographic panorama of the United States and its territories.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Grants are to be used by States in the development, implementation, or modification of State plans submitted to and approved by DOE. To be eligible for Federal assistance, each State plan must contain the five program activities required by the Energy Policy and Conservation Act, Public Law 94-163; and may also include a wide range of optional activities. Funds cannot be used for the purchase of land, buildings or any interest therein; construction of buildings or structures; research, development or demonstration of non-commercially available technology; or subsidies of public transportation, fares, utility rate demonstrations or State tax credits for energy conservation.

Applicant Eligibility:

All States plus the District of Columbia, the U.S. Virgin Islands, Puerto Rico, Guam, Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

The ultimate potential beneficiaries will be the people affected by the plan each State develops. This is anticipated to be the State's population.

Credentials/Documentation:

Only one application from each State; compliance with State and local environmental statutes and regulations. Costs will be determined in accordance with 10 CFR Part 600 for State and local governments.

Preapplication Coordination:

No preapplication form or conference is required by DOE. Consultation and assistance will be available from DOE in the preparation of the application. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

States submit applications and plans (or amendment to plans) in response to 10 CFR 420. The Department of Energy will select a number of States to pilot the application process through the Grants.gov system in 2006. The application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/>.

Award Procedure:

Grants will be awarded incorporating State proposals.

Deadlines:

Deadlines are identified in the solicitation.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

As designated in 10 CFR 420.

Renewals:

Renewals are subject review by the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

Grant allocations for State Energy Program appropriations up to \$25.5 million is based on a formula reflecting and incorporating the historical allocation of funding for the two major Programs the State Energy Conservation Program and the Institutional Conservation Program, allocation of funding for appropriations in excess of \$25.5 million is based on the following factors: 33 1/3 percent divided equally among states; 33 1/3 percent divided on the basis of the population of the participating states; and 33 1/3 percent divided on the basis of the energy consumption of the participating States.

Length and Time Phasing of Assistance:

Funds awarded annually subject to Congressional appropriation, with no restriction on spending period. Funds released through the Electronic Transfer System. Annual budget periods are set by each grantee within parameters established by DOE.

Reports:

Standard 10 CFR 600 reporting and auditing requirements and DOE specific reports cleared with OMB must be submitted.

Audits:

In accordance with the provisions of OMB Circular No. A-102. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations,"

nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements that year, except as noted in Circular No. A-133.

Records:

In accordance with 10 CFR 600.

Account Identification:

89-0215-0-1-270.

Obligations:

FY 07 \$45,381,613; FY 08 est \$47,000,000; and FY 09 est \$49,000,000.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Fifty-six States and territories participate in the development and implementation of their State plans.

REGULATIONS, GUIDELINES, AND LITERATURE:

10 CFR 420.

Regional or Local Office:

See Appendix IV of the Catalog for DOE Regional Offices.

Headquarters Office:

Office of Weatherization and Intergovernmental Program, EE-2K, Office of Energy Efficiency and Renewable Energy, U.S. Department of Energy, 1000 Independence Avenue, SW., Washington, DC 20585. Telephone: (877) 337-3463.

Web Site Address:

http://www.eere.energy.gov/state_energy_program/ and <http://www1.eere.energy.gov>.

RELATED PROGRAMS:

81.042, Weatherization Assistance for Low-Income Persons.

EXAMPLES OF FUNDED PROJECTS:

Grants are used by States in the development, implementation, or modification of State plans submitted to and approved by DOE.

CRITERIA FOR SELECTING PROPOSALS:

Each State energy conservation plan must contain the five program activities required by the Energy Policy and Conservation Act, Public Law 94-163, and may also contain a wide range of optional activities.

81.042 WEATHERIZATION ASSISTANCE FOR LOW-INCOME PERSONS

FEDERAL AGENCY:

OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY,
DEPARTMENT OF ENERGY

AUTHORIZATION:

Energy Conservation and Production Act, Title IV, Part A, Public Law 94-385, 42 U.S.C. 6851-6872; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101; National Energy Conservation Policy Act of 1978, Title II, Part 2, Public Law 95-619, 92 Stat. 3206; Energy Security Act of 1980, Title V, Subtitle E, Public Law 96-294; Human Services Reauthorization Act of 1984, Public Law 98-558, 98 Stat. 2888; State Energy Efficiency Programs Improvement Act of 1990, Public Law 101-440.

OBJECTIVES:

To improve home energy efficiency for low-income families through the most cost-effective measures possible.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Improvement of the thermal efficiency of dwellings by the installation of weatherization materials such as attic insulation, caulking, weather-stripping, furnace efficiency modifications, certain mechanical measures to heating and cooling systems, and replacement furnaces, boilers, and air-conditioners. States may average expenditures per dwelling unit for materials, program support and labor costs not to exceed \$2,500 adjusted annually for inflation. Up to 10 percent of each grant may be spent by a State and its subgrantees for administrative expenses (a State may not use more than 5 percent of the total State grant for such purposes).

Applicant Eligibility:

States, including the District of Columbia, and in certain instances, Native American tribal organizations. In the event a State does not apply, a unit of general purpose local government, or community action agencies and/or other nonprofit agencies within that State becomes eligible to apply.

Beneficiary Eligibility:

All low-income households are eligible to receive weatherization assistance. A low-income household is one whose combined income falls at or below 125 percent of the poverty level determined by the Office of Management and Budget's poverty income guidelines or the basis on which Federal, State, or local cash assistance payments have been made. A State may also elect to make all homes eligible under the HHS Low-income Home Energy Assistance Program (LIHEAP) eligible for weatherization assistance and may use either 150 percent

of poverty or 60 percent of State median income.

Credentials/Documentation:

The Department of Energy (DOE) requires that grantees meet certain statutory and administrative requirements which can be found in the Weatherization Program Guidelines available from DOE offices. Governors or their designated agencies must apply for grants to the appropriate DOE office and submit State Plans and applications pursuant to E.O. 12372, "Intergovernmental Review of Federal Programs." Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

No preapplication form is required by the DOE. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." A copy of the final State plan must accompany the grant application from the State. Consultation and assistance will be available from the DOE in the preparation of the application. Applicants for sub-awards from the states should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance.

Application Procedure:

For applications from states, it is expected that Grants.gov will be utilized from FY 2006 forward. The application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web-site: <http://www.grants.gov/> Applicants for sub-awards from the states should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance.

Award Procedure:

Grant applications will be reviewed by DOE office staff. Grant procedures will be accomplished in accordance with Federal government regulations. Notification of grant awards will be made by the DOE Office Director serving the applicant State.

Deadlines:

State applications must be received within 60 days from the date the State receives notification of eligibility to apply for funding.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

If an application is disapproved, the DOE office Director shall issue a written notice which will be sent to the applicant by registered mail. A public hearing will be held no later than 15 days after receipt of such notice. The DOE office Director will issue a final determination not later than 5 working days after the public hearing. In the event of an adverse final determination by the DOE office Director, the applicant may file a written appeal to the Secretary not later than 10 working days after receipt of the DOE office Director's determination. The Secretary will have 21 working days to consider the appeal and if no action is taken the decision of the DOE office Director will stand.

Renewals:

Financial assistance will be provided from sums appropriated for any fiscal year only upon annual application.

Formula and Matching Requirements:

The statistical factors used for fund allocation are: (1) Heating degree days and the source is "State Level Heating and Cooling Degree Days," over a 30-year period (1951 to 1980), NOAA; (2) cooling degree days and the source is "State Level Heating and Cooling Degree Days" over a 30-year period (1951 to 1980), NOAA; (3) the number of low-income owner occupied dwelling units and the source is 1990 Decennial Census, from the Bureau of the Census; (4) number of low-income renter occupied dwelling units and the source is the 1990 Decennial Census from the Bureau of the Census; (5) the percentage of total residential heating used for space heating and the source is the "1987 Residential Energy Consumption Survey" published by DOE, Energy Information Administration; and (6) the percentage of total residential energy used for space cooling and the source is the "1987 Residential Energy Consumption Survey" published by DOE, Energy Information Administration. The statistical factor used for eligibility is the dwelling units occupied by families with incomes at or below 125 percent or if the State elects, 150 percent or 60 percent of State median income as defined under the HHS LIHEAP Program of the "OMB Poverty Guidelines."

Length and Time Phasing of Assistance:

Authorized funding is established by ECPA, NECPA, and ESA.

Reports:

Quarterly program and financial reports. Annual monitoring, leveraging, training, and technical assistance reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements that year, except as noted in Circular No. A-133.

Records:

Will be required in accordance with 10 CFR 600.

Account Identification:

89-0215-0-1-999.

Obligations:

FY 07 \$204,356,661; FY 08 est \$122,090,951; and FY 09 est \$150,000,000.

Range and Average of Financial Assistance:

The average State grant is \$2,744 per household.

PROGRAM ACCOMPLISHMENTS:

Over 5.5 million homes have been weatherized with DOE funds since 1976.

REGULATIONS, GUIDELINES, AND LITERATURE:

Weatherization Assistance guidelines published June 1, 1977. Amendments to guidelines published January 2, 1979, August 29, 1979, February 27, 1980, June 1, 1981, March 1, 1982, January 27, 1984, January 4, 1985, December 5, 1985, March 4 1993, June 5, 1995, and December 8, 2000.

Regional or Local Office:

None.

Headquarters Office:

Office of Weatherization and Intergovernmental Program, EE-2K, Office of Energy Efficiency and Renewable Energy, U.S. Department of Energy, 1000 Independence Ave., SW, Washington, DC 20585. Telephone: (877) 337-3463.

Web Site Address:

<http://www.eere.energy.gov/weatherization/> and <http://www1.eere.energy.gov>

RELATED PROGRAMS:

81.041, State Energy Program.

EXAMPLES OF FUNDED PROJECTS:

State funded local action agencies install energy conservation measures in the homes of low-income persons.

CRITERIA FOR SELECTING PROPOSALS:

DOE requires that grantees meet certain statutory and administrative requirements which can be found in the Weatherization Program Guidelines available from DOE Regional Offices.

81.049 OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM

(Basic Energy Sciences; High Energy Physics; Nuclear Physics; Fusion Energy Sciences; Biological and Environmental Research; Advanced Scientific Computing Research, and Workforce Development for Teachers and Students)

FEDERAL AGENCY:

OFFICE OF SCIENCE, DEPARTMENT OF ENERGY

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

To provide financial support for fundamental research in the basic sciences and advanced technology concepts and assessments in fields related to energy.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for such purposes as the salaries, materials and supplies, equipment, travel, publication costs, and services required for conducting research, related activities, and advanced technology projects or assessments. Restrictions on use of funds depend on grant provisions.

Applicant Eligibility:

Colleges and universities, nonprofit organizations, for-profit commercial organizations, State and local governments, and unaffiliated individuals.

Beneficiary Eligibility:

Colleges and universities, nonprofit organizations, for-profit commercial organizations, State and local governments, and unaffiliated individuals will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education; OMB Circular No. A-122 for nonprofit organizations; and OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Informal communication addressed to the appropriate Headquarters Office listed below is desirable before preparing a detailed formal application. This program is excluded from requirements under E.O. 12372.

Application Procedure:

The application forms must be downloaded from the specific funding opportunity announcement at the Grants.gov web site: <http://www.grants.gov>.

Award Procedure:

Decisions to approve are made by a Headquarters program official. A DOE procurement office is then authorized to negotiate and prepare an appropriate award document.

Deadlines:

Applications may be submitted at any time while a solicitation is open. Specific grant solicitation notices contain due dates.

Range of Approval/Disapproval Time:

Normally, 6 months from receipt of grant application. Maximum, 12 months.

Appeals:

None.

Renewals:

Applications for renewal are subject to review and acceptance by the headquarters Office of Science program offices. For ongoing grant projects, renewal applications must be submitted six months prior to the grant's project period expiration date.

Formula and Matching Requirements:

Usually, cost sharing is encouraged but not required. In some cases, however, cost sharing may be required by specific grant solicitation notices.

Length and Time Phasing of Assistance:

Generally, grants are approved for three-year project periods and funded one year at a time. Depending upon specific circumstances, grant project periods may range from one year to five years, at the discretion of the program office.

Reports:

Typically for research projects, grantees are required to submit an annual progress report and a final report at the end of the project. The schedule of reports is arranged at the time of the negotiation.

Audits:

Costs incurred are subject to audit throughout the grant period and/or before final payment, with the extent and frequency of audit depending on the size of the grant and on the particular grant provisions.

Records:

Recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0224-0-1-271; 89-0222-0-1-251.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$830,000,000; FY 08 \$830,000,000; and FY 09 est \$830,000,000.

Range and Average of Financial Assistance:

\$10,000 to \$2,000,000; \$200,000.

PROGRAM ACCOMPLISHMENTS:

Office of Science research programs, which complement DOE's applied missions in energy resources, environmental quality, and national security, have been at the forefront of modern scientific advances and have expanded the frontiers of basic scientific knowledge. Results and accomplishments of basic research performed under these programs are reported in open scientific literature. University research programs often support graduate students who assist principal investigators with research projects. Student support on basic science research projects has helped to train the future scientific talent in DOE supported science areas such as materials science, chemistry, mathematics, general life sciences, geosciences, genetics, radiation biology, ecology, nuclear medicine, and high energy and nuclear physics. Nobel prizes, Fermi awards, and Lawrence awards have been awarded to DOE supported researchers.

REGULATIONS, GUIDELINES, AND LITERATURE:

10 CFR 605; 10 CFR 600; Application Guide for the Office of Science Financial Assistance Program.

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Martin R. Rubinstein, Office of Grants and Contracts Support, Office of Science, Department of Energy, 19901 Germantown Road, Germantown, MD 20874-1290. Telephone: (301) 903-5212.

Web Site Address:

<http://www.science.doe.gov/production/grants/grants.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Results and accomplishments of basic research performed under these programs are reported in open scientific literature.

CRITERIA FOR SELECTING PROPOSALS:

Scientific and/or technical merit of the project; appropriateness of the proposed method or approach; competency of the applicant's personnel and the adequacy of proposed resources; and reasonableness and appropriateness of the proposed budget.

81.057 UNIVERSITY COAL RESEARCH

FEDERAL AGENCY:

OFFICE OF FOSSIL ENERGY, DEPARTMENT OF ENERGY

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Public Law 83-703; Energy

Reorganization Act of 1974, Public Law 93-438; Research and Marketing Act of 1946, as amended, Public Law 85-934; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93- 577; Department of Interior and Related Agencies Appropriations for 1980, Public Law 96-126; Department of Interior and Related Agencies Appropriations for 2004, Public Law 108-108.

OBJECTIVES:

To improve scientific and technical understanding of the chemistry and physics involved in the conversion and utilization of coal. The primary objective is to fund long-term, high-risk, meritorious fundamental research for the advancement of science related to all Fossil Energy's coal-related programs. Through an annual solicitation, applications are sought with the potential to overcome barriers in technology development and accelerate the identification of solutions for energy and environmental problems. Solicited research should contemplate nearly total carbon closure by the year 2015 along with substantial increases in energy conversion efficiency for utilizing our nation's abundant coal resources.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Work must be related to program objectives.

Applicant Eligibility:

U.S. colleges and universities may apply.

Beneficiary Eligibility:

U.S. colleges and universities will benefit. The principal investigator must be a teaching professor and the grant must support at least one student enrolled at the college/university.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

This program is subject to the provisions of 10 CFR 600. Proposals should be submitted through <http://www.grants.gov>.

Award Procedure:

Proposals reviewed by qualified experts in accordance with the factors listed in 10 CFR Chapter II, Part 600 with specific emphasis on the scientific and technical merit of the proposed research and the factors listed in the formal solicitation for proposals.

Deadlines:

Deadlines are stated in solicitations issued annually. Currently, solicitations are issued between April and July with proposals due 6 weeks later. Interested parties should check the NETL Webpage at <http://www.netl.doe.gov> or www.grants.gov for announcements on solicitation release.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Limited university/industrial collaboration is encouraged but not required for applications submitted by one or two institutions. For larger size grants that require industrial participation a minimum of 25% cost sharing is required in these University/Industry partnership applications. Details are described in the solicitation. This program has no statutory formula.

Length and Time Phasing of Assistance:

Varies, but it is no longer than 36 months. Usually funded by the Electronic Transfer System.

Reports:

Reports are currently required on a quarterly basis on progress and expenditures.

Audits:

In accordance with the provisions of OMB Circular No. A- 110, in conjunction with OMB Circular No. A-21, "Cost Principles for Education Institutions".

Records:

Retention of records will be required pursuant to guidelines set forth in 10 CFR 600.

Account Identification:

89-0213-0-1-271.

Obligations:

(Grants) FY 07 \$2,755,000; FY 08 \$2,376,000; and FY 09 est \$2,413,000.

Range and Average of Financial Assistance:

Funding varies; however, projected fund in the future could range from \$200,000 to \$600,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2008, eight applications were received and two awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

A formal competitive solicitation for proposals was issued in April, 2008 for the fiscal year 2009 program.

Regional or Local Office:

Project Office - National Energy Technology Laboratory, P.O. Box 10940, Pittsburgh, PA 15236. Contact: Ms. Paula Flenory, Telephone (412) 386-4781.

Headquarters Office:

Office of Advanced Research, Assistant Secretary of Fossil Energy, Washington, DC 20585. Contact: Fred Glaser. Telephone: (301) 903-2786.

Web Site Address:

<http://www.netl.doe.gov/technologies/coalpower/advresearch/>.

RELATED PROGRAMS:

81.089, Fossil Energy Research and Development.

EXAMPLES OF FUNDED PROJECTS:

In 2008, within the board area of Enabling Advanced Modeling and Simulation for Fuel-Flexible Combustors, projects were awarded in two the subtopic areas that follows: 1) Quantify Current Prediction Capability for Flashback and Lean Blowout, and Identify The Sensitivity to Physical Sub-Models and 2) Conduct Numeric Investigations of Boundary Condition Effects On CFD Simulations of Thermo-Acoustic Instabilities.

CRITERIA FOR SELECTING PROPOSALS:

Emphasis is on the scientific and technical merit of the proposed research and the factors listed as generic goals in the formal solicitation for proposals.

81.064 OFFICE OF SCIENTIFIC AND TECHNICAL INFORMATION

(OSTI)

FEDERAL AGENCY:

OFFICE OF SCIENCE, DEPARTMENT OF ENERGY

AUTHORIZATION:

42 U.S.C. 7151 (A); 42 U.S.C. 7112 (5).

OBJECTIVES:

To advance science and sustain technological creativity by making R&D findings available and useful to the Department of Energy (DOE) researchers and the American people. To collect, preserve, disseminate, and leverage the scientific and technical information (STI) resources of DOE in order to provide access to national and global STI for use by DOE, the scientific research community, academia, US industry, and the public to expand the knowledge base of science and technology. To acquire domestic and international information of interest to DOE and the scientific community through partnerships with U.S. agencies and over 120 countries and international organizations.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

The Scientific and Technical Information (STI) produced through DOE and its predecessor agencies includes information from the broad range of science disciplines of interest to the Energy Department mission. OSTI is the sole source of specialized collections containing results of closed-out DOE research and development projects. OSTI provides information services to DOE and DOE contractor organizations and makes Energy Department information publicly available through a suite of OSTI Web tools and services. Most information services are provided electronically through databases and full-text compilations at no cost. Some collections result from partnerships with other national and international governmental agencies.

Applicant Eligibility:

State and local governments and universities that are DOE contractors are provided electronic access to Energy Department information in specific areas of concern. Energy information exchange agreements may be made with non-DOE affiliates, universities and other organizations involved in energy-related research. Public access to R&D documents is also available through the National Technical Information Service (NTIS) and the Government Printing Office (GPO).

Beneficiary Eligibility:

DOE federal employees and contractors are primary beneficiaries of DOE STI collections in their specific areas of concern. Although most Web-based collections are available to the general public through official government outlets, limited-access databases contain information restricted by international exchange agreements or other access restrictions.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

By written or telephone inquiry to the information contacts shown below. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

89-0230-0-1-270.

Obligations:

(Salaries and Expenses); FY 07 \$8,600,000; FY 08 est \$8,700,000 and FY 09 est \$8,900,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In 2007, OSTI developed and launched the DOE Science Accelerator (<http://www.ScienceAccelerator.gov>) which searches across key DOE databases with one query. The Energy Citations Database (<http://www.osti.gov/energycitations>) contains over 2.2 million references to research results. Over 1.5 million full-text reports of research results reside in a centralized repository, and harvested citations link to thousands more at DOE and DOE contractor sites. The Information Bridge: DOE Scientific and Technical Information (full-text DOE research and development reports, (<http://www.osti.gov/bridge>) contains more than 170,000 full-text reports. The E-print Network: Research Communications for Scientists and Engineers (<http://www.osti.gov/eprints>) provides full-text searching of more than 5 million e-prints from scientific Web sites and databases.. Also included is a searchable compendium of over 3,000 scientific societies. A relevancy tool was added in FY 04 to rank search results. DOE Research and Development (R&D) Project Summaries (<http://www.osti.gov/rdprojects>) contains information on approximately 30,000 active DOE-sponsored research projects. Energy Files (<http://www.osti.gov/energyfiles>), a virtual library of information and resources pertaining to energy science and technology, provides single- query searching across more than 500 information resources. Science Conference Proceedings (<http://www.osti.gov/scienceconferences>) provides access to science and technology conference papers and proceedings from a number of authoritative sites whose areas of interest in the physical sciences and technology intersect with those of DOE. DOepatents (<http://www.osti.gov/docpatents>) is DOE's central collection of patent information, and demonstrates the Department's considerable contribution to scientific progress from the 1940s to the present. The Energy Science and Technology Software Center searches and distributes federally funded scientific and technical software developed by the national laboratories, other facilities and DOE contractors. Science.gov (<http://www.science.gov>), the USA.gov portal for science information, provides integrated searching of and access to government-sponsored research results and science information with a single query. Search enhancements, including relevancy ranking, have been implemented, along with a user-customized Alert Service to deliver relevant information about the most current science developments to desktops each Monday. OSTI hosts and provides deep Web search capability to Science.gov, a collaboration of 17 information organizations from 13 R&D federal agencies. The deep Web search allows a user to search government databases, regardless of which agency has the data or how that data is stored. In 2007, OSTI developed WorldWideScience.org, a global science gateway to over 200 million pages of science information from science portals residing on every inhabited continent. Science.gov is the U.S. contribution to WorldWideScience.org. FY 07 data show more than 74 million user transactions for Web products maintained on OSTI servers.

REGULATIONS, GUIDELINES, AND LITERATURE:

OSTI announces and makes available research and development reports and current awareness publications which are available on the World Wide Web via the OSTI Home Page at <http://www.osti.gov>. Policy and practices related to DOE's Scientific and Technical Information Program are available at <http://www.osti.gov/stip>.

Regional or Local Office:

None.

Headquarters Office:

DOE Office of Science, Office of Scientific and Technical Information, Office of Program Integration, 1 Science.gov Way, Oak Ridge, TN 37831. Contact: Sharon Jordan, Assistant Director, Program Integration (865) 576-1194, jordans@osti.gov.

Web Site Address:

<http://www.osti.gov>

RELATED PROGRAMS:

11.650, National Technical Information Service; 40.001, Depository Libraries for Government Publications.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

81.065 NUCLEAR WASTE DISPOSAL SITING

(Consultation and Cooperation Financial Assistance)

FEDERAL AGENCY:

CIVILIAN RADIOACTIVE WASTE MANAGEMENT, DEPARTMENT OF ENERGY

AUTHORIZATION:

Nuclear Waste Policy Act (NWPA), Sections 116, 118, 219, 302, Public Law 97-425; Nuclear Waste Policy Amendments Act of 1987, Public Law 100-159.

OBJECTIVES:

To provide for the development of repositories for the disposal of high-level radioactive waste and spent nuclear fuel; to establish a program of research, development, and demonstration regarding the disposal of high-level radioactive waste and spent nuclear fuel; and for other purposes.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Financial and technical assistance is provided by Direct Payments for Specified Uses or Project Grants (cooperative agreements) to review potential economic, social, public health and safety, and environmental impacts; develop requests for impact and mitigation assistance; engage in any monitoring, testing, or evaluation activities; provide information to the public; and request information and make comments, recommendations. Any salary or travel expense that would ordinarily be incurred by such State, political subdivision of such State, or an Indian tribe may not be considered eligible for funding under this program.

Applicant Eligibility:

The State of Nevada, affected units of local government, and affected Indian tribes in which DOE is conducting, or interested in conducting, nuclear waste disposal activities. Those units of local government which are contiguous to the situs unit of local government may be designated as affected, at the discretion of the Secretary, and would then be eligible for financial assistance. Designation of a unit of local government or tribe as an 'Affected Unit of Local Government' or 'Affected Tribe' is done pursuant to the NWPA.

Beneficiary Eligibility:

States, affected units of local government and affected American Indian tribes will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

A letter of inquiry describing the proposed work and eligibility of the proposer to do the work under a project grant (cooperative agreement) is desirable before preparation of a detailed formal proposal. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372. Neither an environmental assessment nor an environmental impact statement is required.

Application Procedure:

Applicant may omit the letter of inquiry and submit a proposal instead. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

The proposal may be forwarded for internal and external review and then, if approved, to a DOE procurement office for grant development.

Deadlines:

None.

Range of Approval/Disapproval Time:

Normally 3 to 6 months for Project Grants (cooperative agreements).

Appeals:

None.

Renewals:

Proposals for renewal are subject to both internal and external evaluation.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. However, cost-sharing may be appropriate under certain conditions as set forth in DOE financial assistance rules (10 CFR Part 600) governing cost-sharing.

Length and Time Phasing of Assistance:

The schedule of DOE payment is arranged at the time of grant negotiations. Length and time phasing of assistance will vary with DOE program phase and activity.

Reports:

The schedule of reports is arranged at the time of grant negotiations.

Audits:

Annual appropriation language provides, in the heading "Nuclear Waste Disposal", that notwithstanding the provisions of chapters 65 and 75 of title 31, United States Code, the Department shall have no monitoring, auditing or other oversight rights or responsibilities over amounts provided to affected units of local government in this or any previous year under this heading.

Records:

Grantee is expected to maintain auditable records to substantiate the total costs incurred under the agreement or contract.

Account Identification:

89-5227-0-2-271.

Obligations:

FY 07 \$29,000,000; FY 08 est \$29,000,000; and FY 09 est \$29,000,000.

Range and Average of Financial Assistance:

\$40,000 to \$5,000,000 depending on program phase.

PROGRAM ACCOMPLISHMENTS:

The following groups received grants (short titles are provided): Clark County (Emergency Response Planning System and Advanced Transportation Technologies); Inyo County (Death Valley Regional Groundwater Monitoring Program); National Academy of Science (NAS)(Transportation of Radioactive Waste); National Association of Regulatory Utility Commissioners (NARUC)(Support review and activities with DOE/OCRWM); National Conference of State Legislatures (NCSL)(Collection & Distribution of Nuclear Waste & Spent Fuel Policy information to State Legislatures); Nye County (Early Warning Drilling Program); Nye County (Independent assessment of the Yucca Mountain Trans. issues and concerns. Unique to the Destination County); University & Community College System of Nevada (UCCSN) (Scientific and Engineering Activities); and Western Interstate Energy Board (WIEB) (Regional Coordination and analysis of the OCRWM Transportation Program).

REGULATIONS, GUIDELINES, AND LITERATURE:

General Guidelines for Implementing Financial Assistance Programs under Section 116 and 118 of the Nuclear Waste Policy Act of 1982, as amended.

Regional or Local Office:

Tanya N. Montgomery, Office of Civilian Radioactive Waste Management, Office of External Affairs, Las Vegas, NV 89134. Telephone: (702) 794-5449.

Headquarters Office:

None.

Web Site Address:

<http://ocrwm.doe.gov>.

RELATED PROGRAMS:

81.049, Office of Science Financial Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Review of key site characterization documents; State of Nevada information office developing technical capability to review DOE actions as part of consultation and cooperation process; socioeconomic environmental, hydrogeological, geological, and transportation assessments.

CRITERIA FOR SELECTING PROPOSALS:

Statutory Eligibility.

81.079 REGIONAL BIOMASS ENERGY PROGRAMS**FEDERAL AGENCY:**

OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY, DEPARTMENT OF ENERGY

AUTHORIZATION:

Department of Energy Organization Act of 1977, as amended, Public Law 95-91; Energy and Water Development Appropriations Act, Public Law 99-500.

OBJECTIVES:

Help meet the President's goal of significantly increasing America's use of fuels, chemicals, materials, and power made from domestic biomass on a sustainable basis. This is a Congressionally-directed project. Funding is not available to the general public.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance may be used to develop and transfer any of several biomass energy technologies to the scientific and industrial communities. For regional programs, such technologies will be appropriate for the needs and resources of particular regions of the United States.

Applicant Eligibility:

Profit organizations; private nonprofit institutions/organizations; intrastate, interstate, State and local government agencies, and universities may apply.

Beneficiary Eligibility:

Profit organizations; private nonprofit institutions/organizations; intrastate, interstate, State and local government agencies, universities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

This is a Congressionally-directed program. Financial assistance application forms can be downloaded from <http://www.grants.gov>.

Award Procedure:

Varies.

Deadlines:

Varies.

Range of Approval/Disapproval Time:

Varies.

Appeals:

None.

Renewals:

Renewals are subject to review by the headquarters program office and subject to the availability for funds.

Formula and Matching Requirements:

This program has no statutory formula, but cost sharing is encouraged and is a factor in the award decision process.

Length and Time Phasing of Assistance:

Varies.

Reports:

Quarterly progress and expenditure reports. Final report at conclusion.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

89-0224-0-1-271.

Obligations:

FY 07 \$395,000; FY 08 est \$75,131; and FY 09 est \$90,000.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

The development of biomass energy technologies have been advanced on a regional basis.

REGULATIONS, GUIDELINES, AND LITERATURE:

To determine how to submit an application and find out about other government procurement rules and regulations, please visit the Office of Energy Efficiency and Renewable Energy Project Management Center website at <https://www.eere-pmc.energy.gov>.

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Office of Biomass Program, EE-2E, Office of Energy Efficiency and Renewable Energy, Department of Energy, 1000 Independence Ave., SW, Washington, DC 20585. Telephone: (202) 586-8798.

Web Site Address:

<http://www.eere.energy.gov> and <http://www1.eere.energy.gov>.

RELATED PROGRAMS:

81.087, Renewable Energy Research and Development.

EXAMPLES OF FUNDED PROJECTS:

Northeast, Northwest, Southeast, Great Lakes, and Western Regional Biomass Energy Programs received funding.

CRITERIA FOR SELECTING PROPOSALS:

This is a Congressionally-directed program. Minimal funding is available to the general public. Merit of the proposal is determined by: (1) Technical relevance to program objectives; (2) potential contribution of the feedstock or conversion process to the energy needs of the region; (3) environmental and employment considerations; and (4) amount of cost-sharing by recipient.

81.086 CONSERVATION RESEARCH AND DEVELOPMENT

(Conservation)

FEDERAL AGENCY:

OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY,
DEPARTMENT OF ENERGY

AUTHORIZATION:

Department of Energy Organization Act of 1977, as amended, Public Laws 95-911 and 97-377; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577.

OBJECTIVES:

To conduct a balanced, long-term research effort in Buildings Technologies, Industrial Technologies, Freedom Car and Transportation Technologies and Hydrogen, Fuel Cells and Infrastructure Technologies. Grants will be offered on a competitive basis to develop and transfer various energy conservation

technologies to the nonfederal sector.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Assistance may be used in the form of cooperative agreements, or grants to nonprofit institutions and organizations to develop and transfer energy conservation technologies to the scientific and industrial communities, States, and local governments.

Applicant Eligibility:

Profit organizations, private nonprofit institutions/organizations, State and local governments may apply.

Beneficiary Eligibility:

Profit organizations, private nonprofit institutions/organizations, State and local governments benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

The application forms must be downloaded from the specific funding opportunity announcement posted on the [grants.gov](http://www.grants.gov) website at <http://www.grants.gov/>.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation or criteria set forth in 10 CFR Part 600 for Unsolicited Proposals. Evaluations will be conducted by Renewable Energy Technology program personnel at DOE Headquarters in Washington, DC. This responsibility may also be delegated to DOE Field Offices. Final decisions will be made by the EERE Assistant Secretary, Deputy Assistant Secretaries, and the Director of the Federal Energy Management Program.

Deadlines:

Deadlines are identified in the solicitation.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

None.

Renewals:

Grants may be modified or extended.

Formula and Matching Requirements:

This program has no statutory formula, but cost sharing is encouraged.

Length and Time Phasing of Assistance:

Award project periods range from 2 months to 2 years.

Reports:

Quarterly progress and expenditure reports, and a final report at the conclusion of the grant time period.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

89-0215-0-1-999; 89-0224-0-1-271; 89-0125-0-1-272.

Obligations:

FY 07 \$71,883,456; FY 08 est \$35,000,000 and FY 09 est \$39,000,000.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Numerous awards for ongoing research and development projects are made.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: EERE Federal Register notices; EERE Solicitations, which may be accessed through the EERE homepage at: <http://www.eere.energy.gov>; DOE Financial Assistance Regulations (10 CFR 600); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at www.management.energy.gov/policy_guidance/financial_assistance.htm.

Regional or Local Office:

None.

Headquarters Office:

Office of Building Technologies (EE-2J); Office of Industrial Technologies (EE-2F); Freedom Car and Transportation Technologies (EE-2G) and Hydrogen, Fuel Cells and Infrastructure Technologies (EE-2H), Office of Energy Efficiency and Renewable Energy, U.S. Department of Energy, 1000 Independence Ave., SW, Washington, DC, 20585. Telephone: EE-2J - (202) 586-8038; EE-2F - (202)

Web Site Address:

<http://www.eere.energy.gov/> and <http://www1.eere.energy.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Grants have been awarded to perform: (1) Research on high performance heat pumps; (2) research on thermally efficient commercial buildings; (3) research on vehicle technologies and systems (4) research on high temperature materials; and (5) research on industrial separation processes.

CRITERIA FOR SELECTING PROPOSALS:

Selection will be based on an objective merit review, program policy factors, the availability of funds, provisions of appropriation law and reports and as otherwise specified in specific solicitation document(s).

81.087 RENEWABLE ENERGY RESEARCH AND DEVELOPMENT

(Renewable Energy)

FEDERAL AGENCY:

OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY,
DEPARTMENT OF ENERGY

AUTHORIZATION:

Department of Energy Organization Act of 1977, Public Law 95-238, Section 207; Public Law 101-218.

OBJECTIVES:

To conduct balanced research and development efforts in the following energy technologies: solar, biomass, hydrogen, fuel cells and infrastructure, wind and hydropower, hydrogen, and geothermal. Grants will be offered to develop and transfer to the nonfederal sector various renewable energy technologies on a competitive basis.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Assistance may be used to develop and transfer renewable energy technologies to the scientific and industrial communities, State, and local governments.

Applicant Eligibility:

Profit organizations, private nonprofit institutions/organizations, intrastate, interstate, and local agencies and universities may apply.

Beneficiary Eligibility:

Profit organizations, private nonprofit institutions/organizations, intrastate, interstate, State and local agencies and universities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

The application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/>.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation(s) or criteria set forth in 10 CFR Part 600 for Unsolicited Proposals. Evaluations will be conducted by Renewable Energy Technology program personnel at DOE Headquarters in Washington, DC. This responsibility may also be delegated to DOE Field Offices. Final decisions will be made by the EERE Assistant Secretary, Deputy Assistant Secretaries, and the Director of the Federal Energy Management Program.

Deadlines:

Deadlines are identified in the solicitation.

Range of Approval/Disapproval Time:

From 60 to 180 days.

Appeals:

None.

Renewals:

Renewals are subject to review by the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula, but cost-sharing is encouraged.

Length and Time Phasing of Assistance:

Award project periods range from 2 months to 1 year.

Reports:

Quarterly progress and expenditure reports, and a final report at the conclusion of the grant time period.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

89-0224-0-1-271.

Obligations:

FY 07 \$226,168,574; FY 08 est \$120,073,230 and FY 09 est \$235,000,000.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Under the State Cooperative Reservoir Analysis Program, a broad range of research, technical, and resource studies were carried out.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: EERE Federal Register notices; EERE Solicitations, which may be accessed through the EERE homepage at: <http://www.eere.energy.gov>; DOE Financial Assistance Regulations (10 CFR 600); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at www.management.energy.gov/policy_guidance/financial_assistance.htm.

Regional or Local Office:

None.

Headquarters Office:

Office of Solar Energy Technologies (EE-2A); Office of Wind and Hydropower (EE-2B); Geothermal Technologies (EE-2C) and Biomass (EE-2E), Office of Energy Efficiency and Renewable Energy, U.S. Department of Energy, 1000 Independence Ave., SW, Washington, DC, 20585. Telephone: EE-2A - (202) 586-7055; EE-2B - (202) 586-7950; EE-2C - (202) 586-6054; EE-2E - (202) 586-8798.

Web Site Address:

<http://www.eere.doe.gov> and <http://www1.eere.energy.gov>

RELATED PROGRAMS:

81.079, Regional Biomass Energy Programs.

EXAMPLES OF FUNDED PROJECTS:

American Institute of Architects Foundation-Determination of solar building technology research and development needs.

CRITERIA FOR SELECTING PROPOSALS:

Selection will be based on an objective merit review, program policy factors, the availability of funds, provisions of appropriation laws, reports, and as otherwise specified in specific solicitation document(s).

81.089 FOSSIL ENERGY RESEARCH AND DEVELOPMENT

FEDERAL AGENCY:

OFFICE OF FOSSIL ENERGY, DEPARTMENT OF ENERGY

AUTHORIZATION:

Public Law 95-91 DOE Organization Act of 1977, as amended by Public Law 109-58 Energy Policy Act 2005. Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-557; Energy Policy and Conservation Act, Public Law 94163.

OBJECTIVES:

The mission of the Fossil Energy (FE) Research and Development program is to promote the development and use of environmentally and economically superior technologies for supply, conversion, delivery, utilization and reliability constraints of producing and using fossil fuels. These activities will involve cooperation with industry, DOE Laboratories, universities and States. Success in this mission will benefit the Nation through lower energy costs, reduced environmental impact, increased technology exports, and reduced dependence on insecure energy sources.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Emphasis is on applied research and technology development.

Applicant Eligibility:

States, local governments, universities, governmental entities, consortia, nonprofit institutions, commercial corporations, joint Federal/Industry corporations, U.S. Territories, and individuals are eligible to apply.

Beneficiary Eligibility:

Federal, State, local governments, universities, consortia, nonprofit institutions, commercial corporations, joint Federal/Industry corporations, and individuals will benefit.

Credentials/Documentation:

Prior successful research and development experience in the fossil energy field and related areas.

Preapplication Coordination:

For competitive announcements, the applicant should follow the procedures listed in the specific funding opportunity announcement posted on the

Grants.gov web-site. In the case of Unsolicited Proposals, DOE encourages potential submitters to consult with program personnel before an extensive effort is expended in preparing a detailed proposal. For construction under demonstration projects, an environmental impact statement/assessment is required in accordance with the National Environmental Policy Act (NEPA). This program is excluded from coverage under E.O. 12372.

Application Procedure:

The application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web-site: <http://www.grants.gov>. Unsolicited Proposals (USP) should be submitted in accordance with the instructions posted at the following web site: <http://www.netl.doe.gov/business/usp/USPGuide.pdf>. This program is subject to the provisions of 10 CFR 600 and FAR 15.6.

Award Procedure:

DOE solicitations sets forth award procedures. Unsolicited proposals will be evaluated by DOE personnel assigned to the specific area with peer reviews as required. This program is excluded from coverage under E.O. 12372.

Deadlines:

None for USP. For others the deadline is stated in the financial assistance opportunity announcement (FOA) posted at <http://www.grants.gov>.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

None.

Renewals:

Awards may be amended or extended as required.

Formula and Matching Requirements:

Varies with each grant/cooperative agreement.

Length and Time Phasing of Assistance:

The time period for a grant or cooperative agreement is dependent upon the individual project submitted.

Reports:

Quarterly progress and financial reports are required. The final scientific/technical report is due within 90 calendar days after the grant or cooperative agreement project period ends.

Audits:

Possible project audit, overhead rate audit, final closeout audit. In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations." Non-federal entities that expend \$500,000 or more of federal funds within their fiscal year shall have an audit made for that year in accordance with this Circular. For commercial (for-profit) organizations, audits should be performed in accordance with 10 CFR 600.126(c) and/or 10 CFR 600.316.

Records:

Required by grant/assistance award document.

Account Identification:

89-0224-0-1-271.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$470,065,442; FY 08 est \$400,976,553; and FY 09 est \$568,130,000.

Range and Average of Financial Assistance:

\$10,000 to \$10,000,000/Average: \$2,000,000

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 869 financial assistance actions were processed including new awards and amendments.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE Assistance Regulations, 10 CFR 600; Federal Acquisition Regulation 15.6, Unsolicited Proposals; Guide to Unsolicited Proposals: <http://www.netl.doe.gov/business/usp/unsol.html>.

Regional or Local Office:

National Energy Technology Laboratory, Acquisition & Assistance Division; P.O. Box 10940, Mail Stop 921-107, 626 Cochran Mill Road, Pittsburgh, PA; 15236-0940. For Unsolicited Proposals, E-mail John Augustine at john.augustine@netl.doe.gov.

Headquarters Office:

Department of Energy, Fossil Energy Program, Maria Jones, 1000 Independence Avenue, S.W., Mail Stop FE-3, Washington, D.C. Contact: Telephone: (202) 586-1890 or Maria.Jones@hq.doe.gov.

Web Site Address:

<http://www.netl.doe.gov> and <http://www.fossil.energy.gov>.

RELATED PROGRAMS:

81.057, University Coal Research.

EXAMPLES OF FUNDED PROJECTS:

Membrane Process to Sequester CO₂ From Power Plant Flue Gas; Demonstration of Mer-Cure Technology for Enhanced Mercury Control; Investigation of Hydrogen Fueled Internal Combustion Engine; Promote Increase Use of U.S. Clean Coal and Energy Technologies Collaboration and Transfer; Critical Energy Issues in the Asia-Pacific, Middle East and Other Regions; and Clean Energy Collaboration to Further Global Energy Security and Emissions Reduction.

CRITERIA FOR SELECTING PROPOSALS:

(1) Technical merit; (2) priority of technical work relating to proposal; (3) relevance to current program objectives; (4) cost-benefit judgment; and (5) amount of cost-sharing by potential recipient.

81.104 OFFICE OF ENVIRONMENTAL WASTE PROCESSING

FEDERAL AGENCY:

OFFICE OF ENVIRONMENTAL MANAGEMENT (EM), DEPARTMENT OF ENERGY

AUTHORIZATION:

Atomic Energy Act of 1954, Section 31, as amended, Public Law 83-703; Energy Reorganization Act of 1974, Public Law 93-438; Department of Energy Organization Act of 1977, as amended; Public Law 96-573; Uranium Mill Tailings Radiation Control Act of 1978; Low-Level Radioactive Waste Policy Act, Public Law 99-240; Low-Level Radioactive Waste Policy Amendments Act, Public Law 96-368; Hazardous Materials Transportation Uniform Safety Act of 1990, Public Law 101-615.

OBJECTIVES:

In an effort to more directly support opportunities identified in the Office of Environmental Management (EM) cleanup acceleration initiatives, the Office of Processing will be "projectizing" its Technology Development and Deployment Program. This represents a transition from the current approach, which is to develop technologies that are alternatives to existing site baseline technologies, to provide short-term technical assistance, and to provide support for near-term closure needs. The projectized approach will address the technology needs being identified by the EM sites, enabling them to accelerate their cleanup schedules, treat orphaned wastes, and provide technical foundations for the sites' End States visions. The Technology Development and Deployment Project is focused on a limited number of critical, high-risk and high-payback activities where step improvements can be gained. By realigning the project in this manner, the Department is ensuring that the activities support EMs primary goal of accelerating risk reduction and environmental cleanup. The highest priority needs requiring technical solutions fall into five major areas: High-Level Waste, Groundwater and Soils, Decontamination and Decommissioning (D&D), Spent Nuclear Fuel, and Transuranic Waste.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives. Restrictions on the use of funds depend on the provisions of the specific project agreement. Applicants must meet the guidelines established by DOE or contained in the specific Congressional authorization for a particular program being supported.

Applicant Eligibility:

Public, quasi-public, private industry, individuals, groups, educational institutions, organizations, and nonprofit organizations may apply including State or local level governments, federally recognized Indian tribal governments, and institutions in U.S. Territories and possessions may apply. Determinations are made by DOE Headquarters, the field, or both

Beneficiary Eligibility:

States, affected Indian tribes, regional organizations, (including U.S. Territories) local governments, and the public will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Cost will also be determined in accordance with OMB Circular No. A-21 for institutions of higher education or as otherwise defined in the financial assistance instrument.

Preapplication Coordination:

These determinations will be made by DOE Headquarters, or assisted by the field as appropriate. This program is excluded from coverage under E.O. 12372.

Application Procedure:

After informal communication with DOE Headquarters Office, a formal proposal by the organization interested in doing the work must be submitted through an appropriate official of the corporate entity, organization, or institution. This program is subject to the provisions of OMB Circular No. A-110. Financial assistance requests will be reviewed pursuant to the Office of Environmental Management Merit Review System (May 5, 1991) and the DOE Financial Assistance Manual, as amended. Note that in fiscal years 2008 and beyond the vast majority of awards will be made in response to competitive procurement and financial assistance solicitations. There will be little to no funding available for unsolicited proposals.

Award Procedure:

Award decisions are made by DOE Headquarters throughout the fiscal year.

Deadlines:

Whatever is stated in the program announcements; unsolicited proposals may be submitted at any time. Proposals received after April 1, of any year, may be held up to 1 year and may be supported from the next fiscal year funding, if available.

Range of Approval/Disapproval Time:

The approximate approval/disapproval time is from 2 months to 1 year.

Appeals:

None.

Renewals:

Proposals for renewal are subject to review and acceptance by DOE Headquarters, the field, or both.

Formula and Matching Requirements:

There are no specific cost-sharing requirements for financial assistance. It may be appropriate under certain conditions to invoke the appropriate provisions for cost-sharing as set forth in DOE procurement regulations governing cost-sharing or other guidelines. Certain financial assistance requests leading to transfers of technology and/or requiring protection of intellectual property rights may wish to provide cost-sharing as part of its organization's commitments and capabilities.

Length and Time Phasing of Assistance:

Length and time phasing of assistance will vary with the program phase and activity. The maximum term of an award is 5 years.

Reports:

The schedule for reports are arranged at the time of negotiations. Applicants will typically submit a quarterly and an annual report as specified in the grant award, although in certain instances more frequent reporting may be required.

Audits:

Costs incurred are subject to audit throughout the contract or grant period and before final close-out. The extent and frequency of audits depend on the size of the contract/grant and the specific contract/grant provisions. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements that year, except as noted in Circular No. A-133.

Records:

A grantee is expected to maintain auditable records to substantiate the total costs incurred under the grant or cooperative agreement.

Account Identification:

89-0240-0-1-053; 89-0242-0-1-053.

Obligations:

FY 07 \$13,000,000; FY 08 est \$18,000,000; and FY 09 est \$13,000,000.

Range and Average of Financial Assistance:

From \$200,000 to \$7,000,000 per year. Funding may be provided at a higher value for financial cost assistance leading to tangible products.

PROGRAM ACCOMPLISHMENTS:

Technology Development and Deployment activities for Environmental Management strive to ensure the health and safety of the public and environment by decreasing worker exposure, reducing overall risk, reducing cleanup costs, and shortening cleanup schedules, through the development and use of new technologies, and novel applications of existing technologies. Project accomplishments include the development and deployment of technologies relating to high-level waste characterization, processing, and disposition; decontamination and decommissioning (D&D); instrumentation and monitors; robotics; groundwater and soil remediation; and university curriculum development. These accomplishments represent significant advances in obtaining these goals.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature associated with OPTED requirements, objectives and goals: RCRA, CERCLA, NEPA, CAA, CQA, TSCA; Federal Register notices; "Guide for the Submission of Unsolicited Proposals" available at <http://www.netl.doe.gov/business/usp/index.html>; "Doing Business with the Department of Energy;" "Environmental Restoration and Waste Management Merit Review System."

Regional or Local Office:

None.

Headquarters Office:

Office of Waste Processing, Office of Environmental Management, DOE, Washington, DC 20585.

Web Site Address:

<http://www.em.doe.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Cooperative Agreements: (1) develop a remote instrumentation system capable of providing quantitative estimates of the amount, chemical composition, and location of residual waste; (2) conduct basic and applied research in robotics and subsidence concerns; (3) develop new grout formulations that will minimize waste canisters, shipments, and costs; (4) develop conceptual model for mercury bio-availability in soil and water; and (5) develop prototype design for tank inspection tool. Grants: (1) develop alternatives for retrieval, processing, and disposition of high-level salt waste; and (2) develop alternative D&D technologies for solving multiple material handling and size reduction tasks; (3)

develop mercury remediation strategies; (4) develop methods to enhance phytoremediation of metals and radionuclides in soil; and (5) develop innovative separations technologies for waste treatment.

CRITERIA FOR SELECTING PROPOSALS:

Work must be beneficial to the overall accomplishment of the program objectives and consistent with the criteria of the EM Merit Review System (i.e., technically meritorious, qualified principal investigators, adequate facilities for conduct of the work, past experience and performance history).

81.105 NATIONAL INDUSTRIAL COMPETITIVENESS THROUGH ENERGY, ENVIRONMENT, AND ECONOMICS

(NICE3)

FEDERAL AGENCY:

OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY,
DEPARTMENT OF ENERGY

AUTHORIZATION:

Department of Energy Organization Act of 1977, as amended, Public Law 95-91; Energy Policy Act of 1992, as amended, Public Law 102-486.

OBJECTIVES:

This program is in close-out. Financial assistance will not be provided in the future.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

None.

Beneficiary Eligibility:

Both state and local governments benefited from these grants. Commercial firms with expertise in waste reduction and pollution prevention, large and small businesses, and others in the business of preventing pollution and energy conserving technologies also benefited.

Credentials/Documentation:

None.

Preapplication Coordination:

This Program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

NICE3 is in close-out mode. There is no appropriation for grant project funding.

Award Procedure:

None.

Deadlines:

This program is in close-out. Financial assistance will not be provided in the future.

Range of Approval/Disapproval Time:

None.

Appeals:

Unsuccessful applicants from the competitive solicitation were entitled to a written debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

None.

Formula and Matching Requirements:

Federal funds were awarded to States and industry applicants that can match DOE Federal funds at 50 percent. DOE field offices made the grant awards and served as grant managers. DOE support offices worked with State energy and environmental offices to actively seek out State developmental energy, and industry organizations that might be interested in this Program.

Length and Time Phasing of Assistance:

Projects covered a period of up to 3 years with release of funding subject to work progress. Access to process implementation and efficiency data is to be available for 10 years after project completion to measure performance against expectation and to support commercialization of the technology.

Reports:

Quarterly project and financial status reports are required. A final report is required at the end of the contract period. A 10-year tracking and follow-up reporting mechanism may be required.

Audits:

DOE may perform closing audits.

Records:

Access to process implementation and efficiency data must be available for 10 years after project completion to measure performance against expectation and to support commercialization of the technology.

Account Identification:

89-0215-0-1-250.

Obligations:

FY 07 \$1,633,941; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

NICE3 met its original goals to save energy, improve the environment, and provide an economic boost to U.S. industry. NICE3 originated in 1991 as a U.S. Department of Energy (DOE) and Environmental Protection Agency (EPA) joint initiative to promote Global competitiveness of U.S. industries through the development and commercialization of energy-efficient goods, produced with state-of-the-art energy-efficient production technologies and practices. It has resulted in the commercialization of 32 technologies of which 27 are still on the market today. These commercial technologies have resulted in a cumulative U.S. energy savings of 34.5 trillion Btu. In 2000 the 27 NICE3 technologies still in the marketplace saved 10.6 trillion Btu.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

See appendix IV of Catalog.

Headquarters Office:

Office of Weatherization and Intergovernmental Program, EE-2K, Office of Energy Efficiency and Renewable Energy, U.S. Department of Energy, 1000 Independence Avenue, SW., Washington, DC 20585. Telephone: (877) 337-3463.

Web Site Address:

<http://www.eere.energy.gov>; <http://www1.eere.energy.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

81.106 TRANSPORT OF TRANSURANIC WASTES TO THE WASTE ISOLATION PILOT PLANT: STATES AND TRIBAL CONCERNS, PROPOSED SOLUTIONS

FEDERAL AGENCY:

OFFICE OF ENVIRONMENTAL MANAGEMENT, DEPARTMENT OF ENERGY

AUTHORIZATION:

National Security and Military Application of Nuclear Energy Authorization Act, Public Law 96-164; Waste Isolation Pilot Plant Land Withdrawal Act, Public Law 102-579, as amended.

OBJECTIVES:

To enlist cooperation among the Tribal and the Southern, Western, Northeast, and Midwest state governments along the Waste Isolation Pilot Plant (WIPP) shipping corridors in developing plans and procedures for the safe and uneventful transportation of transuranic waste from current temporary storage facilities to the WIPP.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The participating States, tribes, and the DOE will work to develop, coordinate, and implement activities in accident prevention, emergency preparedness, and public information programs to help ensure the safe transportation of transuranic waste to the WIPP site and safe disposal operations. Financial assistance is provided to support cooperation among the tribes, the Southern, Western, Northeastern, and Midwestern states along the WIPP shipping corridors, in developing plans and procedures for the safe uneventful transportation of transuranic waste from current temporary storage facilities to WIPP. Restrictions on the use of these funds depend on the specific collaborative agreement. Applicants must meet the guidelines established by DOE.

Applicant Eligibility:

Eligibility is restricted by action of the Western Governors' Association, Southern States Energy Board, the State of New Mexico, Council of State Governments (midwest and eastern regions), and tribal governments along WIPP transportation routes. DOE administers agreements with 10 southern State governments, two Midwest state governments, and two northeast state governments. The Western Governors' Association is the negotiating body for 12 western states on the WIPP transportation route. Each tribal government on the WIPP transportation route elected to represent themselves. Participation in this program is restricted to the above list of organizations and to the 8 affected tribal governments.

Beneficiary Eligibility:

Benefits from this program will go to DOE and the State and tribal governments located on the WIPP disposal phase shipping corridor.

Credentials/Documentation:

¹None.

Preapplication Coordination:

Agreements are noncompetitive among Department of Energy (DOE), the Western Governors' Association, Southern states, tribal governments, and the State of New Mexico. No other organizations may participate in this program. This program is excluded from coverage under E.O. 12372. Midwest and

Northeast states are funded by WIPP through an Agreement between these regions and the DOE Environmental Management Consolidated Business Center (EMCBC).

Application Procedure:

After informal communication, a formal proposal by the applicants is submitted to the DOE Carlsbad Field Office. Note: All eligible parties are participating in the program and applications from others will not be accepted.

Award Procedure:

Decisions are made by DOE Carlsbad Field Office. Award documents are then prepared.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Schedule for DOE payments will be established during negotiations.

Reports:

A reporting schedule will be established during negotiations.

Audits:

Audits will be conducted to ensure that funding is properly used. Audits will be performed at appropriate intervals depending on the duration of the project.

Records:

The grantee is expected to maintain records to fulfill auditing needs.

Account Identification:

89-0242-0-1-053.

Obligations:

(Cooperative Agreements) FY 07 \$5,631,556; FY 08 \$3,610,000; and FY 09 \$6,569,000.

Range and Average of Financial Assistance:

\$50,000 to \$2,015,000.

PROGRAM ACCOMPLISHMENTS:

Funds were awarded to program participants for emergency training, equipment, and public information to support the shipment of transuranic waste to WIPP. As new transportation corridors are scheduled to open, the affected States and Indian Tribes will be funded accordingly.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE Financial Assistance Rules and 10 CFR 600 applies.

Regional or Local Office:

Department of Energy, Carlsbad Field Office, P.O. Box 3090, Carlsbad, NM 88221-3090. Contact: Bill Mackie, Institutional Affairs Manager, Telephone: (575) 234-7335.

Headquarters Office:

Office of Environmental Management, Federal Disposition Options, Department of Energy, Washington, DC 20585. Contact: Mary Bisesi., Telephone: (301) 903-7416.

Web Site Address:

<http://www.em.doe.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Details on funded projects for transportation and emergency response planning activities are contained in State and tribal work plans submitted to the Carlsbad Field Office.

CRITERIA FOR SELECTING PROPOSALS:

The awards are restricted to the entities listed in the "Applicant Eligibility" portion of this program listing. Eligibility is restricted by action of the Western Governors' Association, Southern States Energy Board, the State of New Mexico, and tribal governments along WIPP transportation routes. DOE administers agreements with 10 southern State governments, two mid-west State governments, and zero northeast state governments (at the current time). The Western Governors' Association is the negotiating body for 12 western states on the WIPP transportation route. Each tribal government on the WIPP transportation route elected to represent themselves. Participation in this program is restricted to the above list of organizations and to the 8 affected tribal governments.

81.108 EPIDEMIOLOGY AND OTHER HEALTH STUDIES FINANCIAL ASSISTANCE PROGRAM

FEDERAL AGENCY:

OFFICE OF HEALTH, SAFETY AND SECURITY, DEPARTMENT OF ENERGY

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title I, Section

107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

To provide financial support for research, education, conferences, communication, and other activities relating to the health of Department of Energy workers, as well as other populations potentially exposed to health hazards associated with energy production, transmission, and use.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for such purposes as the salaries, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training, related activities, and advanced technology projects or assessments. Restrictions on use of funds depend on award provisions. Support is provided for work in such areas as the health experience of DOE and DOE contractor workers; health experience of populations living near DOE facilities; health experience of workers exposed to toxic substances; use of biomarkers to recognize exposure to toxic substances; conduct of epidemiologic and other health studies relating to energy production, transmission, and use (including electromagnetic fields) in the United States and abroad; compilation, documentation, management, use, and analysis of data for the DOE Comprehensive Epidemiologic Data Resource; and other systems or activities enhancing these areas, as well as other program areas as may be described by notice published in the Federal Register.

Applicant Eligibility:

Colleges and universities, businesses, and nonprofit institutions may apply.

Beneficiary Eligibility:

Colleges and universities, businesses, and nonprofit institutions will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Informal communication is encouraged before preparing a formal application. This program is generally not subject to the intergovernmental review requirements of E.O. 12372 as implemented by 10 CFR 1005. However, certain applications for financial assistance awards may require this review. Such applications, including those from governmental or nongovernmental entities that involve research, development, or demonstration activities are subject to the provisions of the Executive Order and 10 CFR Part 1005 when such activities: (1) Have a unique geographic focus and are directly relevant to the governmental responsibilities of a State or local government within the geographic area; (2) necessitate preparation of an Environmental Impact Statement under NEPA; or (3) are to be initiated at a particular site or location and require unusual measures to limit the possibility of adverse exposure or hazard to the general public. Entities planning to submit such applications should coordinate with the information contact listed below for further information.

Application Procedure:

A formal application by the principal investigator interested in doing the work is required. If submitted on behalf of an institution, the application should be sent through the appropriate administrative official. In the case of any competitive solicitations issued under this program, the application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web-site at <http://www.grants.gov/>.

Award Procedure:

Decisions to approve are made by the Headquarters Program Director. DOE procurement offices are then instructed to negotiate and prepare an appropriate award document.

Deadlines:

None.

Range of Approval/Disapproval Time:

Normally 6 months.

Appeals:

None.

Renewals:

Proposals for renewal are subject to review and acceptance by the Headquarters Office.

Formula and Matching Requirements:

Matching is not required.

Length and Time Phasing of Assistance:

The schedule of DOE payments is arranged at the time of award.

Reports:

The schedule of reports is arranged at the time of the negotiation.

Audits:

Costs incurred are subject to audit throughout the award period and before final payment, with the extent and frequency of audit depending on the size of the grant and on the particular award provisions. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend

financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is expected to maintain records required to audit or otherwise substantiate costs incurred under the grant.

Account Identification:

89-0224-0-1-271.

Obligations:

(Cooperative Agreements) FY 07 \$21,000,000; FY 08 \$17,500,000; and FY 09 est \$17,500,000.

Range and Average of Financial Assistance:

\$50,000 to \$5,000,000; \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Results and accomplishments of basic research and training performed under these programs are reported in open scientific literature. The research and training supported by these awards will help train future scientific talent in epidemiology and other health-related areas.

REGULATIONS, GUIDELINES, AND LITERATURE:

10 CFR 602. Guidelines and current program announcements are included in application kits. See also doing business website located at www.pr.doe.gov/.

Regional or Local Office:

Not applicable.

Headquarters Office:

Ron Barnes, Office of Business Operations, DOE, Mail Stop HS-1.21/GTN, Germantown, MD 20874-1290. Telephone: (301) 903-1244.

Web Site Address:

<http://www.hss.energy.gov/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Several cooperative agreements were awarded for work on DOE's Former Worker Medical Screening Program, as required by the 1993 Defense Authorization Act. This program consumes the majority of any funding available for grants as described by CFDA 81.108.

CRITERIA FOR SELECTING PROPOSALS:

The scientific and technical merit of the proposed research, appropriateness of the proposed method or approach, competency of research personnel and adequacy of proposed resources, reasonableness and appropriateness of the proposed budget, and other appropriate factors set forth in a Notice of Availability or in a specific solicitation.

81.112 STEWARDSHIP SCIENCE GRANT PROGRAM

(Defense Science)

FEDERAL AGENCY:

NATIONAL NUCLEAR SECURITY ADMINISTRATION, DEPARTMENT OF ENERGY

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title 1, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

(1) To grow the U.S. scientific community through university involvement in areas of fundamental science and technology relevant to stockpile stewardship; (2) to promote and sustain scientific interactions between the academic community and scientists at the NNSA laboratories; (3) to train scientists in specific areas of long-term research relevant to stockpile stewardship; (4) to increase the availability of unique experimental facilities sited at NNSA's laboratories to the academic community, particularly for collaborations in areas of relevance to stockpile stewardship; and (5) to develop and maintain a long-term recruiting pipeline to NNSA's laboratories by increasing the visibility of NNSA's Defense Program's scientific activities to the U.S. faculty and student communities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Financial support in whole or in part may be provided for such purposes as the salaries, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training, related activities, and advanced technology projects or assessments. Funding is provided for basic and applied research in the field of physical science in support of the DOE stockpile stewardship program. Restrictions on use of funds depend on grant provisions. Funding is provided for the purpose of exploring an idea that does not unnecessarily duplicate work already in progress or contemplated by DOE, is

not already known to DOE, or has previously unrecognized merit.

Applicant Eligibility:

New applicants can be U.S. public and private institutions of higher education.

Beneficiary Eligibility:

U.S. public and private institutions of higher education will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

No preapplication is required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals should be submitted as specified in the funding opportunity announcement posted at <http://www.grants.gov/>.

Award Procedure:

Proposals will be screened for stewardship relevance and then reviewed for scientific and technical merit by qualified individuals. Recommendations for award are made by the Office of Research and Development for National Security Science and Technology, Defense Science Division, Defense Programs, NNSA, and selected proposals will be forwarded to the NNSA Albuquerque Service Center for grant development and award.

Deadlines:

Deadlines are stated in the solicitations.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

None.

Renewals:

Proposals for renewal are subject to review and acceptance by the Office of Research and Development for National Security Science and Technology, Defense Science Division.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

The schedule of NNSA payments is arranged at the time of award.

Reports:

As specified in the "DOE Federal Assistance Reporting Checklist (DOE F 4600.2)," to be issued to the recipient upon receipt of an award the following reports are required: progress report, special reports, financial status reports (FSR SF 269), and final report. These requirements are also specified in the solicitation.

Audits:

Costs incurred are subject to audit throughout the grant period and/or before final payment, with the extent and frequency of audit depending on the size of the grant and on the particular grant provisions.

Records:

Recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0240-0-1-053.

Obligations:

(Grants): FY 07 \$23,440,299; FY 08 \$25,272,875; and FY 09 est \$29,369,125.

Range and Average of Financial Assistance:

\$100,000 to \$3,000,000; \$380,000.

PROGRAM ACCOMPLISHMENTS:

The research supported helps to increase U.S. efforts in physical science relevant to stockpile stewardship and will assist in training future scientific talent in these areas.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Dr. Chris Deeney, Director, Defense Science Division, NA-121.1/Forrestal, 1000 Independence Avenue, Washington, DC 20585. Telephone: (202) 586-7416. Fax: (202) 586-8005.

Web Site Address:

<http://www.nnsa.energy.gov/dsup>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

"Center for the Study of Pulsed-Power Driven High Energy Density Plasmas"; "Electron Interactions in Actinides and Related Systems under Extreme Conditions"; "Dense Plasma Studies with Ultra-Bright Soft X-Ray Probes"; and "Measurement of Neutron-Induced Reaction Cross-Sections."

CRITERIA FOR SELECTING PROPOSALS:

(1) Alignment with the areas of technical scope; (2) Scientific/technical merit of the project, including innovativeness and originality; (3) Expected impact on the area of technical scope addressed; (4) Consistency with the objective of this academic alliances program to focus on advanced experimental investigations;

(5) Qualifications of the Applicant's personnel and adequacy of proposed resources; (6) Feasibility of plans for carrying out the proposed research, considering such factors as: appropriateness of the technical method and approach, facility compatibility, other commitments, competition and timing; (7) For projects currently receiving funding under this program, the quality and scientific impact of recent results and accomplishments; (8) Level of interaction with NNSA/DP laboratory personnel and the potential to train students in scientific areas defined by the technical scope in order to build a long-term recruiting pool for the NNSA/DP laboratory complex. Generally, a higher degree of interaction both quantitatively and qualitatively is considered desirable; (9) Leverage provided by cost sharing with other funding sources.

81.113 DEFENSE NUCLEAR NONPROLIFERATION RESEARCH

FEDERAL AGENCY:

NUCLEAR SECURITY ADMINISTRATION, DEPARTMENT OF ENERGY

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 3157, Public Law 101-189, 103 Stat. 1684, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438; 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy (DOE) Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101; Public Law 106-65; National Defense Authorization Act of 2000.

OBJECTIVES:

To conduct basic and applied research and development that enhances U.S. national security and reduces the global danger from the proliferation of weapons of mass destruction and special nuclear materials through needs-driven research and development. The emphasis is on developing the requisite technologies to detect and deter nuclear proliferation and to meet U.S. nuclear detonation detection goals. Research focuses on advanced detection systems and concepts to support current and future USG policies.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for salaries, materials, supplies, equipment, travel, publication costs, services required for conducting nonproliferation research and development to reduce the global danger from the proliferation of weapons of mass destruction. Construction or related costs are not allowed under these project grants. All work must comply with export control regulations.

Applicant Eligibility:

Universities (public and private), institutions of higher education with postdoctoral programs, and nonprofit non-government organizations (public and private) whose activities benefit the general public through results which are available to the National Nuclear Security Administration (NNSA), other U.S. government agencies, and universities and institutions of higher learning may apply.

Beneficiary Eligibility:

The NNSA, other U.S. government agencies, universities and institutions of higher learning will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher learning. Non-government organizations will have nonprofit status certified by the IRS.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The NNSA Service Center in Albuquerque, New Mexico issues Broad Agency Announcements (BAAs) under which formal applications may be submitted by a scientist or principal investigator who will conduct or lead the research team. The BAAs will be posted to DOE's IIPS system which can be accessed at: <http://e-center.doe.gov>.

Award Procedure:

Evaluations will be conducted by technical staff assigned to the Office of Nonproliferation Research and Development or at the DOE national laboratories. Final decisions for approval are made by the cognizant program director. DOE/NNSA Procurement is then instructed to negotiate and prepare the grant award documents. Program management responsibilities may be delegated as a whole or in part to the NNSA Service Center or NNSA Site Offices.

Deadlines:

None.

Range of Approval/Disapproval Time:

The range is from 60 to 90 days.

Appeals:

None.

Renewals:

Grants will be modified and extended as required. Renewals are subject to an annual review by the Headquarters and/or NNSA Service Center.

Formula and Matching Requirements:

There is no mandatory cost-sharing requirement.

Length and Time Phasing of Assistance:

The grant period is for up to 3 years depending upon available appropriations.

The schedule of payments is arranged at the time of award.

Reports:

Quarterly Progress status reports and an end of project report are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None

Account Identification:

89-0243-0-1-053.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$12,210,324; FY 08 est \$11,500,000; and FY 09 est \$11,500,000.

Range and Average of Financial Assistance:

The typical range is from \$100,000 to \$250,000 per year; however, some grants may be greater.

PROGRAM ACCOMPLISHMENTS:

The results and accomplishments from basic and applied research and development performed under these grants are reported in the open scientific literature. University research may be integrated with ongoing research and development programs at the DOE national laboratories which will facilitate access to and use of special facilities and equipment. The objective of these grants is to advance the state of knowledge on science and technology. The final product may lead to improved or new commercial products to ensure availability to the U.S. arms control and nonproliferation community. It is expected that less than 20 grants will be awarded per year.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Paul Morrison, Office of Nonproliferation Research and Development (NA-22), Office of Defense Nuclear Nonproliferation, NNSA, Department of Energy, Washington, DC 20585. Telephone: (202) 586-5751.

Web Site Address:

<http://www.nnsa.doe.gov/na-20>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Grants have been awarded to conduct research on: (1) Hyperspectral data analysis techniques (2) improved materials and methods for gamma-ray and neutron detection (3) and (4) seismic research to improve detection, location, and discrimination of nuclear detonations.

CRITERIA FOR SELECTING PROPOSALS:

The selection process will be determined on the following criteria: 1) Potential impact and relevance of proposed work; 2) technical merit; 3) qualifications of the proposed investigators; 2) quality of the proposed research plan, and 3) proposed schedule, budget and available resources.

81.114 UNIVERSITY REACTOR INFRASTRUCTURE AND EDUCATION SUPPORT

FEDERAL AGENCY:

OFFICE OF NUCLEAR ENERGY, SCIENCE AND TECHNOLOGY,
DEPARTMENT OF ENERGY

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 32, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438; 88 Stat. 1240, 42 U.S.C. 5817; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

To provide financial support for research design, equipment upgrades, student support, analysis, and assessments in science and technology in fields related to nuclear energy.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

In support of nuclear energy related research and development, equipment upgrades, and student support, financial support, in whole or in part, may be provided for: salaries, materials, supplies, equipment, travel, publication costs, supporting costs required for technical activities, market analyses, financing

plans, and other activities necessary to achieve the objective.

Applicant Eligibility:

Any individual, partnership, corporation, association, joint venture, institution of higher education, or nonprofit organization may apply. Certain programs under this CFDA have specific eligibility requirements related to university nuclear engineering degree programs or operating university research reactor.

Beneficiary Eligibility:

Any individual, partnership, corporation, association, joint venture, institution of higher education, or nonprofit organization will benefit. Certain programs under this CFDA have specific eligibility requirements related to university nuclear engineering degree programs or operating university research reactor.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Informal communication addressed to the appropriate Headquarters Office listed below is desirable before preparing a detailed formal proposal. This program is excluded from coverage under E.O. 12372. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure:

A detailed formal proposal by the organization interested in doing the work must be submitted. This program is subject to the provisions of OMB Circular No. A-110

Award Procedure:

Applications are processed by the assigned staff and approved by the Program Director.

Deadlines:

None.

Range of Approval/Disapproval Time:

The range is 12 months.

Appeals:

None.

Renewals:

Proposals for renewal are subject to review and acceptance by the Headquarters Office.

Formula and Matching Requirements:

There are no formal requirements; cost-sharing is encouraged. Under the Matching Grants program, eligible universities must provide documentation of a match equal to that provided by DOE.

Length and Time Phasing of Assistance:

The period of performance is determined through negotiation.

Reports:

The schedule of reports is arranged at the time of negotiation.

Audits:

Costs incurred are subject to audit throughout the period and/or before final payment. The extend and frequency of audit depend on the size of the grant and the particular grant provisions.

Records:

Awardee is expected to maintain auditable records to substantiate total costs incurred under the agreement.

Account Identification:

89-0224-0-1-271.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$12,131,633; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Approximately \$50K-\$300K per award, with a maximum of \$12,000,000 for awards involving multiple institutions (approximately seven).

PROGRAM ACCOMPLISHMENTS:

Grants have been awarded to individuals pursuing a graduate or undergraduate degree in nuclear engineering and nuclear science related areas; departments for instrumentation upgrades and nuclear energy-related research; and university research reactors for maintenance, operation and student outreach.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Energy Regulation 10 CFR 600 apply.

Regional or Local Office:

Idaho Operations Office. Contact: Nancy Elizondo, Program Manager.
Telephone: (208) 526-4169.

Headquarters Office:

Office of Nuclear Energy, Germantown Building, 1000 Independence Avenue, SW., Washington, DC 20585-1290. Office of the Deputy Assistant Secretary for Nuclear Power Development (NE- 3), Contact: Suibel Schuppner. Telephone: (301) 903-1652.

Web Site Address:

<http://nuclear.energy.gov/universityPrograms/neUniversity2c.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Grants have been awarded for university research reactors, fuel conversion,

reactor sharing, matching programs, fellowships and scholarships to nuclear engineering students, nuclear engineering education research, radiochemistry, and recruitment activities.

CRITERIA FOR SELECTING PROPOSALS:

Technical merit of the proposal, appropriateness of the proposal method or approach, relevance to nuclear energy research and development, potential contribution to knowledge, and the background and experience of applicants are considered.

81.117 ENERGY EFFICIENCY AND RENEWABLE ENERGY INFORMATION DISSEMINATION, OUTREACH, TRAINING AND TECHNICAL ANALYSIS/ASSISTANCE

FEDERAL AGENCY:

OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY,
DEPARTMENT OF ENERGY

AUTHORIZATION:

Department of Energy Organization Act of 1977, as amended, 42 U.S.C. 712, Energy Reorganization Act of 1974, 42 U.S.C. 5813(6)(7)(8); Energy Policy Act 1992 42 U.S.C. 13231.

OBJECTIVES:

The Department of Energy (DOE) seeks to provide financial assistance for information dissemination, outreach, training and related technical analysis/assistance which will: (1) stimulate increased energy efficiency in transportation, buildings, industry and the Federal sector and encourage increased use of renewable and alternative energy; and (2) accelerate the adoption of new technologies to increase energy efficiency and the use of renewable and alternative energy through the competitive solicitation of applications.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives as described in a single competitive solicitation or multiple competitive solicitations. The solicitation(s) will provide funding in various program areas within the Office of Energy Efficiency and Renewable Energy: Solar, Wind and Hydropower, Geothermal, Biomass, Industrial Technologies, FreedomCAR, Building Technologies, Weatherization and Intergovernmental, Federal Energy Management Program, and the Office of the Assistant Secretary for Energy Efficiency and Renewable Energy. Restrictions on use of funds depend on the provisions of the specific project agreement.

Applicant Eligibility:

Profit organizations, individuals, private nonprofit institutions/organizations, public nonprofit institutions/organizations, State and local governments, Native American organizations, Alaskan Native corporations and universities may apply. DOE Laboratories are not eligible.

Beneficiary Eligibility:

Profit organizations, individuals, private nonprofit institutions/organizations, public nonprofit institutions/organizations, State and local governments, Native American organizations, Alaskan Native corporations and universities benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

The application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/>. A separate application must be submitted for each DOE Energy Efficiency and Renewable Energy (EERE) Program Area of Interest.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. Objective Merit Review Committees will evaluate applications based on the evaluation criteria set forth in the competitive solicitation. After the Merit Review Committee has completed its evaluations, an EERE Office Director or other Program Official will evaluate the applications against program policy factors set forth in the competitive solicitation. Final decisions will be made by the EERE Assistant Secretary, Deputy Assistant Secretaries, and the Director of the Federal Energy Management Program.

Deadlines:

Deadlines are identified in the solicitation.

Range of Approval/Disapproval Time:

From 4 to 6 months.

Appeals:

None.

Renewals:

Renewals are subject to review by the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

Cost sharing is a mandatory factor for award in some EERE Program Areas of Interest. For those Program Areas of Interest where cost sharing is not a mandatory factor, cost-sharing is encouraged and will be considered in the selection process.

Length and Time Phasing of Assistance:

Length and time phasing of assistance will vary with the program phase and activity. The maximum term of awards varies among the Program Areas of Interest and ranges from 6 months to 5 years.

Reports:

The schedule for reports are arranged at the time of negotiations. Applicants will typically submit a semi-annual report, as specified in the award.

Audits:

Costs incurred are subject to audit throughout the grant period and before final close-out. The extent and frequency of audits depends on the size of the award and the specific award provisions. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

A grantee is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0224-0-1-271; 89-0215-0-1-272.

Obligations:

FY 07 \$30,170,137; FY 08 est \$1,598,000; and FY 09 est \$3,000,000.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Over 300 awards have been executed to date.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: EERE Federal Register notices; EERE Solicitations, which may be accessed through the EERE homepage at: <http://www.eere.energy.gov/>; DOE Financial Assistance Regulations (10 CFR 600); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at http://management.energy.gov/policy_guidance.htm.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Business Administration, EE-3A, Office of Energy Efficiency and Renewable Energy, U.S. Department of Energy, 1000 Independence Avenue, SW, Washington, DC. Contact: Polly Perando via e-mail at polly.perando@ee.doe.gov.

Web Site Address:

<http://www.eere.energy.gov/>; <http://www1.eere.energy.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects that are scheduled to be funded: Information dissemination, public outreach, and related technical analysis activities involving specific renewable technologies; training to promote the use of alternative fuel vehicles; information dissemination, technical analysis, and outreach activities designed to facilitate the adoption of energy efficiency and renewable energy in residential and commercial buildings and communities; and technical assistance to spur increased energy efficiency and renewable energy in developing countries.

CRITERIA FOR SELECTING PROPOSALS:

Selection will be based on an objective merit review recommendation, program policy factors, the amount of funds available, provisions of appropriation law and reports and as otherwise specified in the solicitation document.

81.119 STATE ENERGY PROGRAM SPECIAL PROJECTS

FEDERAL AGENCY:

OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY,
DEPARTMENT OF ENERGY

AUTHORIZATION:

Energy Policy and Conservation Act, Title III, Sections 361-366, Part C, Public Law 94-163, 42 U.S.C. 6321-6326; Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101; National Energy Conservation Policy Act of 1978, Public Law 95-619, Public Law 101-440, Balanced Budget Down Payment Act II of 1996, Public Law 104-134.

OBJECTIVES:

To allow States to submit proposals to implement specific Department of Energy (DOE) Office of Energy Efficiency and Renewable Energy deployment activities and initiatives as Special Projects under the State Energy Program. States will compete for funding to implement activities relating to a number of programmatic areas such as building codes and standards, alternative fuels,

industrial efficiency, building efficiency, and solar and renewable technologies

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for salaries, materials, and supplies, equipment, travel, publication costs, and services required for implementing the activities described in the application.

Applicant Eligibility:

All States plus the District of Columbia, the U.S. Virgin Islands, Puerto Rico, Guam, Samoa, and the Commonwealth of the Northern Mariana Islands may apply.

Beneficiary Eligibility:

States and their project partners will benefit.

Credentials/Documentation:

Costs will be determined in accordance with 10 CFR 600 for State and local Governments.

Preapplication Coordination:

No preapplication form is required by the DOE. This program is not eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." Consultation and assistance will be available from the DOE in the preparation of the application. Applicants for sub-awards from the states should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance.

Application Procedure:

The application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web-site: at <http://www.grants.gov>. Applicants for sub-awards from the states should consult the office or official designated as the single point of contact within the State for more information on the process the State requires to be followed in applying for assistance.

Award Procedure:

Evaluations will be conducted by staff of the Office of Energy Efficiency and Renewable Energy end-use sectors participating in the annual solicitation. Final decisions to approve are made by Assistant Secretary Office of Energy Efficiency and Renewable Energy. DOE Field Offices are then instructed to negotiate and prepare the grant award documents.

Deadlines:

Deadlines are identified in the solicitation.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Renewals are subject to review by the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

Cost-sharing, if required, is specified by each program sector participating in the solicitation and is included as part of the solicitation.

Length and Time Phasing of Assistance:

Vary.

Reports:

Quantity reports are required while work is in progress. An end of project report is also required.

Audits:

Costs will be verified and subject to audit according to standard government practice and in accordance with OMB Circular Nos. A-102 and A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with 10 CFR 600.

Account Identification:

89-0215-0-1-270.

Obligations:

FY 07 \$7,951,906; FY 08 est \$5,000,000; and FY 09 est \$7,000,000.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Multiple grants will be awarded annually. Successful projects will assist in the increased deployment of energy efficiency and renewable energy technologies and practices.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program requirements issued in Federal Register prior to actual invitation and solicitation to the States.

Regional or Local Office:

See Appendix IV of the Catalog for DOE Regional Offices.

Headquarters Office:

Office of Weatherization and Intergovernmental Program, EE-2K, Office of Energy Efficiency and Renewable Energy, U.S. Department of Energy, 1000 Independence Avenue, SW., Washington, DC 20585. Telephone: (877) 337-3463.

Web Site Address:

http://www.eere.energy.gov/state_energy_program/ and
<http://www1.eere.energy.gov/>.

RELATED PROGRAMS:

81.041, State Energy Program.

EXAMPLES OF FUNDED PROJECTS:

Grants have been awarded to develop energy efficient building codes, deploy solar and renewable technologies, promote the use of alternative fuels, promote industrial, and building efficiency.

CRITERIA FOR SELECTING PROPOSALS:

The selection process will be determined by the criteria announced in the annual solicitation.

81.121 NUCLEAR ENERGY RESEARCH, DEVELOPMENT AND DEMONSTRATION

(NE RD&D)

FEDERAL AGENCY:

OFFICE OF NUCLEAR ENERGY, DEPARTMENT OF ENERGY

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 32, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051 Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817, Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101. Section 31 of the Atomic Energy Act, 42 USC 2051.

OBJECTIVES:

Provide financial assistance to address key issues affecting the worldwide use of nuclear energy through the research, development, and demonstration of science and technology fields related to nuclear energy and its underlying sciences.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Financial assistance, in whole or in part, may be provided for the following in support of nuclear energy related research, development and demonstration activities: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical activities, market analyses, financing plans and other activities necessary to achieve the objective. Restrictions on use of funds depend on solicitation and award provisions. Notices published in the Federal Register define research, development, and demonstration areas that the Department intends to support such as: advanced reactors, proliferation resistant fuel and reactor concepts, nuclear fuel and fuel cycles, advanced instrumentation, controls, simulation, modeling and analyses, nuclear waste management, construction, manufacturing and fabrication technologies, and related fundamental nuclear science areas in materials, reactor physics, chemistry and thermal hydraulics and fluid dynamics. Notices published in the Federal Register define development or demonstration projects and study areas activities that the Department intends to support such as: advanced designs, technologies or techniques, new regulatory or licensing requirements, and economic analyses.

Applicant Eligibility:

Federal, State, local governments, universities, consortia, nonprofit institutions, commercial corporations, and individuals may apply.

Beneficiary Eligibility:

Any individual, partnership, corporation, association, joint venture, institution of higher education, or nonprofit organization will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education. Costs will be determined in accordance with OMB Circular No. A-122 for non-profit organizations.

Preapplication Coordination:

The standard application forms as furnished by DOE and required by 10 CFR 600 must be used to apply for financial assistance, as further specified in competitive solicitation(s). The application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web-site at <http://www.grants.gov/>. This program is excluded from coverage under E.O.12372.

Application Procedure:

DOE solicitations set forth award procedures. Applications will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation. Evaluations will be coordinated by a Merit Review Chair and conducted by a review board. This responsibility will be performed by the Office of Nuclear Energy or delegated to a DOE Operations Office. Final decisions will be made by the approved Program

Officer or the delegated Source Selection Official.

Award Procedure:

Not applicable.

Deadlines:

As stated in each solicitation.

Range of Approval/Disapproval Time:

Normally 6 to 9 months.

Appeals:

None.

Renewals:

Proposals for renewal are subject to review and acceptance by the Program Director or Source Selection Official.

Formula and Matching Requirements:

Cost-sharing requirements may vary and will be specified in competitive solicitations. Where there are no formal requirements, cost-sharing is encouraged.

Length and Time Phasing of Assistance:

The period of performance and schedule of DOE payments is arranged at the time of award.

Reports:

The schedule of reports is arranged at the time of negotiation.

Audits:

Costs incurred are subject to audit throughout the period and/or before final payment, with the extent and frequency of audit depending on the size of the grant and on the particular award provisions. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements that year, except as noted in Circular No. A-133. Any for-profit recipient that expends \$500,000 or more in a year under Federal awards must have an audit made for that year by an independent auditor, in accordance with paragraph (b) of this section. If a recipient is currently performing under a Federal award that requires an audit by its Federal cognizant agency, that auditor must perform the independent audit. The audit generally should be made a part of the regularly scheduled, annual audit of the recipient's financial statements. However, it may be more economical in some cases to have Federal awards separately audited, and a recipient may elect to do so, unless that option is precluded by award terms and conditions or by Federal laws or regulations applicable to the program(s) under which the awards were made.

Records:

Award recipient is expected to maintain auditable records to substantiate total costs incurred under the agreement and as required by the financial assistance award.

Account Identification:

89-0224-0-1-271.

Obligations:

Grants (Cooperative Agreements) FY 07 \$143,074,820; FY 08 est \$125,000,000; and FY 09 est \$150,000,000.

Range and Average of Financial Assistance:

The general range of annual funding for a grant or cooperative agreement to a single organization is, \$100,000 to \$500,000, with an approximate average of \$350,000. Grants or cooperative agreements involving multiple research participants or large projects can be funded at higher annual amounts.

PROGRAM ACCOMPLISHMENTS:

Results and accomplishments have been made in nuclear energy research and development under these programs and are reported to the greater nuclear community in open scientific literature and at related nuclear conferences.

REGULATIONS, GUIDELINES, AND LITERATURE:

NE Federal Register notices; NE Solicitations; DOE Financial Assistance Regulations (10 CFR 600); and the DOE Guide to Financial Assistance, which may be accessed through the DOE Office of Procurement and Assistance Management webpage at: http://www.management.energy.gov/policy_guidance.htm.

Regional or Local Office:

Idaho Operations Office, 1955 Fremont Avenue, Idaho Falls, ID 83415. Nuclear Programs Support Division, Contact: Kenny Osborne, Telephone: (208) 526-0805.

Headquarters Office:

Office of Nuclear Energy, Germantown Building, 1000 Independence Avenue, SW., Washington, DC 20585-1290. Office of the Deputy Assistant Secretary for Nuclear Power Development (NE-3), Contact: Suibel Schuppner. Telephone: (301) 903-1652.

Web Site Address:

<http://www.nuclear.gov>.

RELATED PROGRAMS:

81.114, University Reactor Infrastructure and Education Support.

EXAMPLES OF FUNDED PROJECTS:

Examples of projects funded with financial assistance can be found on the DOE

Office of Nuclear Energy Web Site under the program of interest.

CRITERIA FOR SELECTING PROPOSALS:

Each proposal will be evaluated based on an objective merit review in accordance with the requirement of "DOE Financial Assistance Regulations" (10 CFR 600) and the evaluation criteria set forth in the competitive solicitations. These criteria will include: appropriateness of the proposal method or approach, competency of research personnel and adequacy of proposed resources, reasonableness and appropriateness of the proposed budget, and other appropriate factors.

81.122 ELECTRICITY DELIVERY AND ENERGY RELIABILITY, RESEARCH, DEVELOPMENT AND ANALYSIS

FEDERAL AGENCY:

OFFICE OF ELECTRICITY DELIVERY AND ENERGY RELIABILITY,
DEPARTMENT OF ENERGY

AUTHORIZATION:

Department of Energy Organization Act of 1977, as amended, Public Law 95-91; Energy Tax Act of 1978, Public Law 95-618; Energy Security Act of 1980, Public Law 96-294; Superconductivity and Competitiveness Act of 1988, Public Law 100-697; Energy Policy Act of 1992 (EPACT), Public Law 102-486; Energy Policy Act of 2005 (EPACT), Public Law 109-58.

OBJECTIVES:

Through research, development, demonstration, technology transfer, and education and outreach activities, modernize and expand America's electric delivery system to ensure a more reliable and robust electricity supply, and enhance economic and national security. Develop cost-effective technology that enhances the reliability, efficiency, and resiliency of the electric grid, while enabling the effective utilization of emerging generation and demand-side management technologies and practices. Assure the security of the nation's energy infrastructure through research and programs to assess the critical functions of the nation's energy infrastructure and reduce its vulnerability, and to develop an effective emergency response system to protect and restore its capabilities in case of disruption or attack.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Assistance may be used in the form of cost-shared cooperative agreements or grants to nonprofit and profit institutions and organizations to develop and transfer technologies or information developed through analysis and research to utilities, energy companies, energy regulators, state and local governments and other stakeholders.

Applicant Eligibility:

All types of domestic entities are eligible to apply, such as profit organizations, private nonprofit institutions/organizations, universities, research organizations, and state and local governments. Not eligible are Federal agencies, Federally Funded Research and Development Center (FFRDC) Contractors, and nonprofit organizations described in section 501(c)(4) of the Internal Revenue Code of 1986 that engaged in lobbying activities after December 31, 1995.

Beneficiary Eligibility:

Profit organizations, private nonprofit institutions/organizations, universities, research organizations, and state and local governments benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms as furnished by DOE and required by 10 CFR Part 600 must be used to apply for financial assistance, as further specified in competitive solicitation(s). The application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web site: <http://www.grants.gov/>.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation(s) or criteria set forth in 10 CFR Part 600 for Unsolicited Proposals. Evaluations will be conducted by the Office of Electricity Delivery and Energy Reliability personnel at DOE Headquarters in Washington, DC. This responsibility may also be delegated to DOE Operations Offices and technical experts. Final decisions will be made by the Assistant Secretary, Office of Electricity Delivery and Energy Reliability.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

None

Renewals:

Grants and cooperative agreements may be modified or extended.

Formula and Matching Requirements:

As Specified under Section 988 of the Energy Policy Act of 2005, with exceptions, a minimum of 20% cost share is required for research and development. A minimum of 50% is required for demonstration. Higher cost share may be requested.

Length and Time Phasing of Assistance:

Assistance is available for up to five years, as specified in the solicitation.

Reports:

As specified in the grant or cooperative agreement. Typically these reports consist of quarterly progress and expenditure reports and a final report at the conclusion of the grant or cooperative agreement time period.

Audits:

"Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

89-0224-0-1-999.

Obligations:

(Project Grants, Cooperative Agreements); FY 07 \$14,454,137; FY 08 \$20,938,266; and FY 09 est \$20,000,000.

Range and Average of Financial Assistance:

Individual awards in FY 07 ranged from \$84,975 to \$12,806,881 with the average award at \$4,430,000. FY 08 new awards have just been made.

PROGRAM ACCOMPLISHMENTS:

In FY 2006 projects were solicited in the area of High Temperature Superconductivity (HTS) and five awards were made in FY 2007. Two of the research projects will help increase reliability and efficiency of HTS power delivery cables, and the remaining three projects will place an emphasis on HTS fault current limiters. These projects are in progress, and many will complete their first phases in mid-2009. In FY 2007 projects were solicited in the area of Visualization and Controls and Renewable and Distributed Systems Integration (RDSI). Five awards were made at the end of the fiscal year for Visualization and Controls to develop and integrate technologically-advanced controls and cyber-security devices into our electric grid and energy infrastructure. In FY 2008 nine cooperative agreements were competitively selected to increase efficiency in the nation's electricity grid under the Renewable and Distributed Systems Integration.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: Office of Electricity Delivery and Reliability homepage, at <http://www.oe.energy.gov>; DOE Financial Assistance Regulations (10 CFR 600); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at http://www.management.energy.gov/policy_guidance.htm.

Regional or Local Office:

Chicago Operations Office: <http://www.ch.doe.gov>. National Energy Technology Laboratory: <http://www.netl.doe.gov>.

Headquarters Office:

Office of Electricity Delivery and Energy Reliability, 202-586-1411, <http://www.oe.energy.gov> under Contact Us for e-mail addresses.

Web Site Address:

<http://www.oe.energy.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In FY 2007 projects were awarded for research that will advance the development and application of High Temperature Superconductors, which have the potential to alleviate congestion on an electricity grid that is experiencing increased demand from consumers (<http://www.energy.gov/news/5180.htm>). Projects awarded in FY 2007 for Visualization and Controls aim to protect our Nation's energy infrastructure from cyber attacks and seek to modernize our electricity grid (<http://www.energy.gov/news/5643.htm>). Projects awarded in FY 2008 under Renewable and Distributed Systems Integration include research and development activities to improve the security of controls systems for energy delivery and increase the use of distributed generation during peak load periods (<http://www.energy.gov/news/6170.htm>).

CRITERIA FOR SELECTING PROPOSALS:

Selection is based on an objective merit review, program policy factors, the availability of funds, provisions of appropriation law and reports and as otherwise specified in specific solicitation document(s).

81.123 NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) MINORITY SERVING INSTITUTIONS (MSI) PROGRAM

(NNSA)

FEDERAL AGENCY:

NATIONAL NUCLEAR SECURITY ADMINISTRATION

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 3157, Public Law 101-189; 103 Stat. 1684, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438; 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy (DOE) Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101; National Nuclear Security Administration Act, Public Law 106-65, as amended; Consolidated Appropriations Act, 2005, Public Law 108-447; Energy and Water Development Appropriations Act, 2006, Public Law 109-103; Revised Continuing Appropriations Resolution, 2007, Public Law 110-5, as amended; Executive Order 13230, President's Advisory Commission on Educational Excellence for Hispanic Americans, October 12, 2001, as amended; Executive Order 13256, White House Initiative on Historically Black Colleges and Universities, February 12, 2002, as amended; Executive Order 13270, Tribal Colleges and Universities, July 3, 2002, as amended.

OBJECTIVES:

The program's primary focus is to engage minority serving institutions (MSIs) in NNSA mission activities, collaborative research projects throughout the NNSA complex, and future workforce development.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for salaries, stipends, materials and supplies, equipment, travel, publication and training costs, and services required for conducting research, training, and related activities. Funding is provided for the purpose of exploring an idea that does not duplicate work already in progress or contemplated by DOE, is not already known to DOE, or has previously unrecognized merit. Restrictions on the use of funds depend on grant provisions.

Applicant Eligibility:

Historically Black Colleges and Universities (HBCUs), Hispanic Serving Institutions (HSIs), Tribal Colleges and Universities (TCUs) and non-profit institutions servicing HBCUs, HSIs and TCUs.

Beneficiary Eligibility:

The general public, scientists, researchers, student/trainee, graduate students, institutions of higher learning, NNSA, and other US government agencies will benefit. Middle and high school will also benefit due to the institutions of higher learning's programs supported by NNSA.

Credentials/Documentation:

Documentation for indirect cost rates and any other documentation required by OMB Circular A-21 must be provided.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application forms and instructions are available upon request from the Associate Administrator for Management and Administration Office; phone: (202) 586-6174.

Award Procedure:

NNSA technical staff conduct evaluations in accordance with the Code of Federal Regulations (CFR) Part 2. If the proposal meets the pre-established CFR criteria, then a grant is awarded.

Deadlines:

Deadlines are established by the Associate Administrator for Management and Administration Office.

Range of Approval/Disapproval Time:

The range is from 60 to 90 days.

Appeals:

None.

Renewals:

Grants will be modified or extended as required. Renewals are subject to an annual review by the NNSA Headquarters and/or Operations' program offices.

Formula and Matching Requirements:

This program has no statutory formula or matching funds requirement.

Length and Time Phasing of Assistance:

The grant period ranges from 1 to 5 years depending upon available appropriations. Expenditure of funds is allowed during the budget period specified in the grant document. The payment type and schedule are arranged at the time of award. Funding is provided to the grantee on a reimbursable basis.

Reports:

The DOE Federal Assistance Reporting Checklist (DOE F 4600.2) is incorporated into the grant document at the time of award. This checklist specifies the programmatic and financial reports that are required as well as the timeframe for report submission.

Audits:

Costs incurred are subject to audit throughout the grant period and/or before

final payment in accordance with grant provisions and OMB Circular-133.

Records:

The grantee is required to maintain auditable records in accordance with CFR Part 2 Subpart 215.53.

Account Identification:

89-0299-0-1-050.

Obligations:

FY 07 \$36,357,377; FY 08 est \$25,000,000; and FY 09 est \$25,000,000.

Range and Average of Financial Assistance:

The awards range from \$100,000 to \$4,000,000.

PROGRAM ACCOMPLISHMENTS:

Minority institutions' faculty and students are 1) conducting valuable research in support of the NNSA mission requirements, 2) collaborating with Lawrence Livermore National Laboratory on research projects, 3) educating students to become nuclear engineers, 4) participating in summer internships around the NNSA complex and 5) forming collaborative partnerships among the participating institutions and other majority of institutions of higher education. From FY 05 to present, NNSA has awarded 43 financial assistance awards to 25 Minority Serving Institutions (MSIs) totaling over \$72,000,000 (includes \$25M to be awarded by 9/30/08).

REGULATIONS, GUIDELINES, AND LITERATURE:

CFR Part 2 and Part 6 and OMB Circular A-21.

Regional or Local Office:

None.

Headquarters Office:

Office of the Associate Administrator for Management and Administration (NA-60), National Nuclear Security Administration (NNSA), US Department of Energy (DOE), 1000 Independence Avenue, SW, Washington, DC 20585; Telephone: 202-586-6174.

Web Site Address:

<http://www.nnsa.doe.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The selection process is based on the following criteria: 1) Potential impact and relevance of proposed work; 2) technical merit; 3) qualifications of the proposed investigators; 4) quality of the proposed research plan; 5) proposed schedule, budget and available resources.

81.124 PREDICTIVE SCIENCE ACADEMIC ALLIANCE PROGRAM

(Office of Advanced Simulation and Computing) (ASC)

FEDERAL AGENCY:

NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA),
DEPARTMENT OF ENERGY

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title 1, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

(1) To foster the science of building integrated, multi-scale, and multi-physics codes with the primary focus on code validation and verification to help advance the newly emergent predictive science in academia; (2) to promote and sustain scientific interactions between the academic community and scientists at the NNSA laboratories; (3) and to train scientists in specific areas of long-term research relevant to DOE stockpile stewardship.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Financial support in whole or in part may be provided for such purposes as salaries, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training, related activities, and advanced technology projects or assessments. Funding is provided for applied research in the field of computational science in support of the DOE NNSA Stockpile Stewardship program. Restrictions on use of funds depend on grant provisions. Funding is provided for the purpose of exploring a research idea that does not unnecessarily duplicate work already in progress or contemplated by DOE, is not already known to DOE, or has previously unrecognized merit.

Applicant Eligibility:

U.S. Public and Private Education Institutions with Ph.D. granting programs can apply.

Beneficiary Eligibility:

U.S. Public and Private Education Institutions with Ph.D. granting programs can apply.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O.12372.

Application Procedure:

Applications should be submitted as specified in the funding opportunity announcement posted at <http://www.grants.gov>.

Award Procedure:

Proposals will be screened for ASC programmatic relevance and then reviewed for scientific and technical merit by qualified individuals. Recommendations for award are made by the ASC office, Defense Programs, NNSA, and selected proposals will be forwarded to the NNSA Service Center in Albuquerque, NM, for grant development and award.

Deadlines:

Deadlines are stated in the solicitations.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

None.

Renewals:

Applications for renewal are subject to review and acceptance by the Office of Advanced Simulation and Computing.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

The schedule of NNSA payments is arranged at the time of award.

Reports:

As specified in the "DOE Federal Assistance Reporting Checklist (DOE F 4600.2)" to be issued to the recipient upon receipt of an award, the following reports are required: progress report, special reports, financial status reports (FSR SF 269), and final report. These requirements are also specified in the solicitation.

Audits:

Costs incurred are subject to audit throughout the grant period and/or before final payment, with the extent and frequency of audit depending on the size of the grant and on the particular grant provisions.

Records:

Recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0240-0-1-053.

Obligations:

Project Grants (Cooperative Agreements): FY 07 not available; FY 08 est \$7,500,000; and FY 09 est \$15,500,000.

Range and Average of Financial Assistance:

\$1.6M-\$3.4M per PSAAP Center per Fiscal Year.

PROGRAM ACCOMPLISHMENTS:

This is a new program. The funded R&D activity will help to increase U.S. efforts in computational science relevant to DOE stockpile stewardship and will assist in training future scientific talent in these areas.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Thuc T. Hoang, Office of Advanced Simulation and Computing, NA-114/Forrestal, 1000 Independence Avenue, Washington, DC 20585. Telephone: (202) 586-7050. Fax: (202) 586-0405.

Web Site Address:

<http://www.sandia.gov/NNSA/ASC/univ/psaap.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

(1) Alignment with the areas of technical scope called forth in the call for application; (2) Scientific/technical merit of the project; (3) Expected impact on the proposed area of research activity; (4) Consistency with the objective of this academic alliances program to focus on advanced computational science investigations; (5) Qualifications of the Applicant's personnel and adequacy of proposed resources; (6) Feasibility of plans for carrying out the proposed research, considering such factors as: appropriateness of the technical method and approach, facility compatibility, other commitments, competition and timing; (7) Level of interaction with NNSA/DP laboratory personnel and the potential to train students in scientific areas defined by the technical scope in order to build a long-term recruiting pool for the NNSA/DP laboratory complex; (8) Leverage provided by cost sharing by applicant and with other funding sources.

81.126 FEDERAL LOAN GUARANTEES FOR INNOVATIVE ENERGY TECHNOLOGIES

FEDERAL AGENCY:

LOAN GUARANTEE PROGRAM OFFICE, DEPARTMENT OF ENERGY

AUTHORIZATION:

Energy Policy Act of 2005, Public Law 109-58, 119 Stat. 1117-1122, Title XVII, 42 U.S.C. 15801, August 8, 2005.

OBJECTIVES:

To encourage, through the use of Federal loan guarantees, early commercial use in the United States of new or significantly improved technologies in energy projects that: (1) avoid, reduce, or sequester air pollutants or anthropogenic emissions of greenhouse gases; and (2) employ new or significantly improved technologies as compared to commercial technologies in service in the United States at the time the guarantee is issued. (42 U.S.C. 16513(a)).

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

The assistance provides Federal loan guarantees for commercial technologies that avoid, reduce, or sequester air pollutants or anthropogenic emissions of greenhouse gases; and employ new or significantly improved technologies as compared to commercial technologies in service in the United States at the time the guarantee is issued and which provide a reasonable assurance of repayment. Further Program information may be found at <http://www.lgprogram.energy.gov>.

Applicant Eligibility:

Any corporation, company, partnership, association, society, trust, joint venture, joint stock company, or governmental nonfederal entity, that has the authority to enter into, and is seeking, a loan guarantee for a loan or other debt obligation of an Eligible Project.

Beneficiary Eligibility:

Small businesses, profit organizations, quasi-public nonprofits, public institutions and interstate, intrastate, State and local governments will benefit from the loan guarantee program.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application procedures for a Department of Energy Title XVII loan guarantee are unique to each solicitation issued by the Department. Potential applicants should only apply for a loan guarantee under the specific funding opportunity announcement posted on the Federal Government website at <http://www.fedconnect.net>. For additional information, see final regulations, 10 CFR Part 609, Loan Guarantees for Projects That Employ Innovative Technologies; Final Rule, published on October 23, 2007.

Award Procedure:

Award Procedures are identified in the individual solicitations.

Deadlines:

Deadlines are identified in the solicitation.

Range of Approval/Disapproval Time:

The Range of approval/disapproval times are identified in individual solicitations.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Except for division C of Public Law 108-324, the Secretary shall make guarantees under this or any other Act for projects on such terms and conditions as the Secretary determines, after consultation with the Secretary of the Treasury. This program has no statutory formula. This is a "self-pay" program. Applicants will pay the subsidy costs as determined by the credit subsidy calculation. Also, fees will be charged to recover DOE's administrative costs in managing the Loan Guarantee Program. A fee schedule will be determined. No guarantee shall be made unless: (1) an appropriation for the cost has been made; or (2) the Secretary has received from the borrower a payment in full for the cost of the obligation and deposited the payment into the Treasury. (42 U.S.C. 16512.)

Length and Time Phasing of Assistance:

The term of an obligation shall require full repayment of principle and interest on the Guaranteed Obligations and other project debt over a period of up to the lesser of 30 years or 90 percent of the projected useful life of the project's major physical assets as calculated in accordance with generally accepted accounting principles and practices.

Reports:

None.

Audits:

Costs incurred are subject to audit throughout the guarantee period and before final close-out. The extent and frequency of audits depend on the size of the guarantee and the specific guarantee provisions.

Records:

A recipient of a guarantee shall keep such records and other pertinent documents as the Secretary shall prescribe by regulation, including such records as the Secretary may require to facilitate an effective audit. The Secretary and the Comptroller General of the United States, or their duly authorized representatives, shall have access, for the purpose of audit, to the records and other pertinent documents.

Account Identification:

89-0228-0-0-662.

Obligations:

(Federal Loan Guarantee Authority) FY 07: 4,000,000,000; FY 08: \$38,000,000,500; and FY 09 est not available.

Range and Average of Financial Assistance:

The value of the loan guarantee will be determined on a project by project basis.

PROGRAM ACCOMPLISHMENTS:

Final regulations have been published for the Loan Guarantee Program in the Federal Register: 10 CFR Part 609, Loan Guarantees for Projects That Employ Innovative Technologies; Final Rule, October 23, 2007. Sixteen project sponsors, who submitted preapplications, have been invited to submit full applications for loan guarantees.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature associated with the loan guarantee program. Solicitation Number: DE-PS01-06LG00001, - Loan Guarantee Solicitation Announcement, - Federal Loan Guarantees for Projects That Employ Innovative Technologies in Support of the Advanced Energy Initiative, Available at: <http://www.lgprogram.energy.gov/Solicitationfinal.pdf> Guidelines for Proposals Submitted in Response to the first Solicitation under Title XVII of the Energy Policy Act of 2005, Available at: <http://www.lgprogram.energy.gov/FinalGuidelines.pdf>. - Final Regulations - Final regulations were published in the Federal Register, 10 CFR 609, Loan Guarantees for Projects That Employ Innovative Technologies; Final Rule, on October 23, 2007.

Regional or Local Office:

None.

Headquarters Office:

Loan Guarantee Program Office, U.S. Department of Energy, 1000 Independence Ave, SW, Washington, DC 20585; lgprogram@hq.doe.gov. Telephone: (202) 586-8336.

Web Site Address:

<http://www.lgprogram.energy.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects that may be eligible for a loan guarantee include: Renewable energy systems including wind, photovoltaics, biomass and hydropower projects; Advanced fossil energy technology including gasification, integrated gasification combined cycle, industrial gasification, petroleum coke gasification and coal to oil liquefaction projects; Efficient end use technologies; Hydrogen fuel cell technology for residential, industrial, or transportation applications; Advanced nuclear energy facilities; Carbon capture and sequestration practices and technologies, including agricultural and forestry practices that store and sequester carbon; Efficient electrical generation, transmission, and distribution technologies; Efficient end-use energy technologies; Production facilities for fuel efficient vehicles, including hybrid and advanced diesel vehicles; Pollution control equipment; and Refineries, meaning facilities at which crude oil is refined into gasoline.

CRITERIA FOR SELECTING PROPOSALS:

Refer to solicitation and guidelines for the criteria to be utilized.

DEPARTMENT OF EDUCATION

84.002 ADULT EDUCATION - BASIC GRANTS TO STATES

FEDERAL AGENCY:

OFFICE OF VOCATIONAL AND ADULT EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Adult Education and Family Literacy Act; 20 U.S.C. 1209 et seq.

OBJECTIVES:

To fund local programs of adult education and literacy services, including workplace literacy services, family literacy services, and English literacy and civics education programs. Participation in these programs is limited to adults and out-of-school youths aged 16 and older.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

The Act lists specific performance measures by which States are assessed. These include: demonstrated improvements in literacy skill levels in reading, writing, and speaking in the English language, numeracy, problem-solving, English language acquisition and other literacy skills; placement, retention, or completion of postsecondary education, training, unsubsidized employment, or career advancement; receipt of a high school diploma or its equivalent; other objective, quantifiable measures, as identified by the State agency. Not more than 12.5 percent of the State allotment may be used for State leadership activities, including professional development; 82.5 percent of the State allotment shall be used to support local programs and corrections education and other institutionalized individuals. Not more than 8.25 percent of the State allotment may be used for correctional education and other institutionalized individuals; not more than 5 percent (or \$65,000, whichever is greater) of the State allotment may be used for State administrative costs. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Formula grants are made to designated eligible State agencies. State agencies shall provide direct and equitable access to: Local educational agencies, public or private nonprofit agencies, community-based organizations of demonstrated effectiveness; institutions of higher education, volunteer literacy organizations of demonstrated effectiveness; libraries, public housing authorities; nonprofit institutions not described above that have the ability to provide literacy services to adults and families, and consortia of the entities described above.

Beneficiary Eligibility:

Out-of-school adults who are 16 years of age and older, who are not enrolled or required to be enrolled in secondary school under State law, and who lack sufficient mastery of basic educational skills to enable them to function effectively in society or do not have a secondary school diploma or its recognized equivalent, and have not achieved an equivalent level of education, or are unable to speak, read, or write the English language.

Credentials/Documentation:

A 5-year State plan as provided for in Section 224 of the Adult Education and Family Literacy Act, Education Department General Administrative Regulations, 34 CFR 74, 76, 77, 79, 80, 81, 82, 85, and 86. The State plan shall include a certification from a State official that all provisions and amendments thereto are consistent with the Act. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The Director of Adult Education and Literacy will provide guidance on specific problems and technical assistance in the preparation of State Plans. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Each eligible educational agency must submit to the Secretary of Education a 5-year State plan that sets forth the manner and procedures under which the State will carry out activities to achieve its program objectives and priorities and fulfill the purposes of the Act. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Department approves the 5-year State plan and any amendment thereto and makes allocations to the State.

Deadlines:

July 1.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

States are afforded an opportunity for hearings.

Renewals:

None.

Formula and Matching Requirements:

\$100,000 initial allotment to Guam, American Samoa, Northern Mariana Islands, U.S. Virgin Islands, Micronesia, Marshall Islands, and Palau.

Allotments reserved for Marshall Islands, Micronesia and Palau are awarded competitively pursuant to recommendations by the Pacific Region Educational Laboratory to Guam, American Samoa, Northern Mariana Islands, Marshall Islands, Micronesia, or Palau. No funds may be provided to Marshall Islands, Micronesia, and Palau as of fiscal year 2002. States and the District of Columbia and Puerto Rico receive a initial allotment of \$250,000. The remainder is allotted to all eligible agencies on the basis of the ratio of adults age 16 and older who are not enrolled or required to be enrolled in secondary school under State law. No agency may receive less than 90 percent of its allotment for the preceding fiscal year. The data source is a special tabulation by the Bureau of the Census. To receive a grant, States, the District of Columbia, and Puerto Rico must provide a nonfederal contribution in an amount equal to 25 percent of the total amount of funds expended for adult education and literacy activities in the State. To receive a grant, eligible outlying agencies must provide a nonfederal contribution in an amount equal to 12 percent of the total amount of funds expended for adult education and literacy activities. This program has maintenance of effort (MOE) requirements.

Length and Time Phasing of Assistance:

Funds must be expended within 27 months of their date of availability. States draw funds as needed under the Electronic Transfer System.

Reports:

Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years.

Account Identification:

91-0400-0-1-501.

Obligations:

(Grants) FY 07 \$563,975,000; FY 08 est \$544,122,000; and FY 09 est \$554,122,000.

Range and Average of Financial Assistance:

Average: \$10,440,129; Range: \$891,681 - \$78,009,256.

PROGRAM ACCOMPLISHMENTS:

There were approximately 2.8 million participants in fiscal year 2005.

REGULATIONS, GUIDELINES, AND LITERATURE:

Adult Education General Provisions and State-Administered Basic Grant Program.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Education, OVAE Division of Adult Education and Literacy 400 Maryland Ave., S.W., Washington, DC 20202-7100. Contact: Joyce Campbell. E-mail: joyce.campbell@ed.gov. Telephone: (202) 205-5698.

Web Site Address:

<http://www.ed.gov/fund/grant/find//AdultEd/f-ogrant.html>.

RELATED PROGRAMS:

97.010, Citizenship Education and Training; 84.191, Adult Education_National Leadership Activities; 84.255, Literacy Programs for Prisoners.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

84.004 CIVIL RIGHTS TRAINING AND ADVISORY SERVICES

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Civil Rights Act of 1964, Title IV, as amended.

OBJECTIVES:

To provide technical assistance and training services to school districts to cope with educational problems occasioned by race, gender, and national origin desegregation.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds support Equity Assistance Centers to provide technical assistance and training, upon request, in the areas of race, sex, and national origin to public school districts and other responsible governmental agencies to promote equitable education opportunities. The centers work in the areas of civil rights, equity, and school reform. This assistance helps schools and communities ensure that equitable education opportunities are available and accessible for all children.

Applicant Eligibility:

Any private, nonprofit organization or any public agency may apply.

Beneficiary Eligibility:

Educational personnel and elementary and secondary students in local school districts benefit.

Credentials/Documentation:

Submission of a proposal for one or more of the sections of Title IV, Civil Rights Act of 1964. More detailed information may be found in the regulations. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-122 for nonprofit organizations also applies.

Preapplication Coordination:

Applicants may contact the Department of Education. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure:

This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Final recommendations are made following advice from a panel of peer reviewers.

Deadlines:

Published in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 30 to 90 Days.

Appeals:

None.

Renewals:

Equity assistance centers receive three-year awards if they meet the criteria for annual continuation in the regulations. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Funds are appropriated on an annual basis.

Reports:

In accordance with OMB Circular No. A-102 (Attachments H and I) and ED General Administrative Regulations for Direct Project Grant and Contract Programs, 34 CFR 74, 75.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of 500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than 500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for three years.

Account Identification:

91-1000-0-1-501.

Obligations:

(Grants) FY 07 \$7,113,000; FY 08 est \$6,989,000; and FY 09 est \$6,989,000.

Range and Average of Financial Assistance:

The average fiscal year 2007 continuation award was \$718,506.

PROGRAM ACCOMPLISHMENTS:

Awards were made to ten equity assistance centers in FY 05. Each center is responsible for providing technical assistance on the basis of race, gender, and national origin. New awards will be made in FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 270, 271, and 272 apply.

Regional or Local Office:

None.

Headquarters Office:

School Support and Technology Programs, Office of Elementary and Secondary Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Contact:

Sandra Brown. Telephone: (202) 260-2638. E-mail: Sandra.brown@ed.gov.

Web Site Address:

<http://www.ed.gov/programs/equitycenters/index.html> or

<http://www.ed.gov/about/contacts/gen/othersites/equity.html>

RELATED PROGRAMS:

84.165, Magnet Schools Assistance.

EXAMPLES OF FUNDED PROJECTS:

Equity assistance centers provide training and technical assistance to public school districts in the States in their geographical service area. Typical activities include the dissemination of general information on such topics as successful educational practices and legal requirements related to non-discrimination on the basis of race, gender, and national origin in educational programs; training designed to develop educators' skills in specific areas, such as the identification of race and sex bias in instructional materials; and technical assistance in the identification and selection of appropriate educational programs to meet the needs of limited English speaking students. In all instances, the assistance provided is at the request of the local educational agency.

CRITERIA FOR SELECTING PROPOSALS:

New equity assistance center applications are evaluated on the basis of selection criteria, in Section 272.30(a)-(g), addressing mission and strategy, organizational capability, plan of operation, quality of key personnel, budget and cost-effectiveness, evaluation plan, and adequacy of resources. The highest ranking application for each geographical service area is selected for an award.

84.007 FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANTS

(FSEOG)

FEDERAL AGENCY:

FEDERAL STUDENT AID, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 3, 20 U.S.C. 1070b-1070b-3.

OBJECTIVES:

To provide eligible undergraduate postsecondary students with demonstrated financial need with grant assistance to help meet educational expenses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Grants are for undergraduate study, and range from \$100 to \$4,000 per academic year. However, if reasonable study abroad costs exceed the cost of attendance at the home institution, the amount of the grant may exceed the \$4,000 maximum by as much as \$400. A student is eligible to receive a FSEOG for the period of time needed to complete the first undergraduate baccalaureate course of study being pursued by that student. If it awards grants to students in that year an institution is entitled to an administrative cost allowance for an award year of 5 percent of the first \$2,750,000, expended plus 4 percent of expenditures greater than \$2,750,000 but less than \$5,500,000, plus 3 percent of expenditures in excess of \$5,500,000.

Applicant Eligibility:

Higher education institutions (public, private nonprofit, postsecondary vocational, and proprietary) meeting eligibility requirements may apply.

Beneficiary Eligibility:

Undergraduate students enrolled or accepted for enrollment as regular students; are maintaining satisfactory academic progress in accordance with the standards and practices of the institution, have financial need, do not owe a refund on a Title IV grant, are not in default on a Title IV loan, file a statement of educational purpose, file a statement of registration compliance (Selective Service) and meet citizen/resident requirements may benefit.

Credentials/Documentation:

Institutions must document their eligibility and students must demonstrate financial need. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Representatives of the appropriate ED Regional Office are available for consultation (see listing of ED Regional Offices in Appendix IV). Students should contact the institution(s) they attend or wish to attend. This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Participating institutions submit the Fiscal Operations Report/Application to Participate in Federal Student Financial Aid Programs (ED Form 646-1) which is mailed to institutions of postsecondary education each summer by the Federal Student Aid office. New institutions submit only the application form. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

The Federal Student Aid office determines final awards and notifies participating institutions.

Deadlines:

Specified on the application (usually October 1). Contact the program office for additional information.

Range of Approval/Disapproval Time:

Notices of tentative awards sent by February 1, and final award notifications sent by April 1.

Appeals:

None.

Renewals:

There are annual renewals.

Formula and Matching Requirements:

Funds are allocated to institutions on the basis of the institution's base guarantee and pro rata share and then on demonstrated need for funding. Institutions award funds to students on the basis of financial need as determined by the Federal Needs Analysis Methodology specified in statute. This program requires institutional matching funds. The Federal share may not exceed 75 percent unless waived based on objective criteria established in regulations.

Length and Time Phasing of Assistance:

Funds are awarded for use by participating institutions for one award year at a time following the year of application.

Reports:

A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (ED 646-1) is submitted by each institution at the close of each award year (June 30).

Audits:

Institutions must have a nonfederal audit done on at least an annual basis at its own expense. Audits are performed at Government expense by the Department of Education's Office of the Inspector General as often as its time schedule will allow or when deemed necessary by the Secretary.

Records:

All records pertaining to the activities of a given fiscal year must be retained for a period of three years following the institution's submission of its Fiscal Operations Report for an award year or until resolution of an applicable audit, whichever is later.

Account Identification:

91-0200-0-1-502.

Obligations:

(Grants) FY 07 \$770,933,000; FY 08 est \$757,465,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

The estimated average award to students was approximately \$764.

PROGRAM ACCOMPLISHMENTS:

Institutions will award \$958,816,000 in aid to 1,254,995 students.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 676, Student Guide fact sheet, no charge; Student Financial Aid Handbook, no charge; Notification to Members of Congress, list of grantees, published annually or as required, no charge; Federal Needs Analysis Methodology Booklet, no charge; Verification Handbook, no charge; training materials, no charge.

Regional or Local Office:

Students should contact the educational institution(s) they attend or plan to attend. Educational institutions should contact the Regional Administrator for Student Financial Assistance in the appropriate ED Regional Office (see Appendix IV of the Catalog).

Headquarters Office:

Office of Federal Student Aid, Department of Education, 400 Maryland Avenue, SW., Washington, DC 20202-5446. Federal Student Aid Information Center Telephone: 1-800-4FED-AID (800-433-3243).

Web Site Address:

<http://ifap.ed.gov>.

RELATED PROGRAMS:

64.117, Survivors and Dependents Educational Assistance; 84.031, Higher Education Institutional Aid; 84.032, Federal Family Education Loans; 84.033, Federal Work-Study Program; 84.038, Federal Perkins Loan Program Federal Capital Contributions; 84.042, TRIO Student Support Services; 84.044, TRIO Talent Search; 84.047, TRIO Upward Bound; 84.063, Federal Pell Grant Program; 84.066, TRIO Educational Opportunity Centers; 84.069, Leveraging Educational Assistance Partnership.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.010 TITLE I GRANTS TO LOCAL EDUCATIONAL AGENCIES

(Title I Basic, Concentration, Targeted and Education Finance Incentive Grants)

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, Title I, Part A, 20 U.S.C. 6301 et seq.

OBJECTIVES:

To help local education agencies (LEAs) and schools improve the teaching and learning of children failing, or most at-risk of failing, to meet challenging State academic standards.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Use of funds varies, depending on whether a school is operating a schoolwide program under Section 1114 of Title I or a targeted assistance program under Section 1115. A school with at least a 40 percent poverty rate may choose to operate a schoolwide program under Section 1114 that allows Title I funds to be combined with other Federal, State, and local funds to upgrade the school's overall instructional program. All other participating schools must operate targeted assistance programs, which provide extra instruction to those children failing, or most at risk of failing, to meet challenging State academic standards. Targeted assistance programs must ensure that Title I services supplement, not supplant the regular education programs normally provided by State and local educational agencies. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies (SEAs) and the Secretary of the Interior. Local educational agencies (LEAs) and Indian tribal schools are subgrantees.

Beneficiary Eligibility:

Children who are failing, or most at risk of failing, to meet challenging State academic standards.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

SEAs apply for funds as part of Title I, Part A, State plans submitted to the Department of Education in accordance with Section 1111 of ESEA or as part of a consolidated State plan submitted under Section 9302 of ESEA. The plan remains in effect for the duration of the State's participation in Title I, Part A, but must be updated to reflect substantive changes. Participating LEA's must file an approved plan with their SEAs.

Award Procedure:

The Department of Education (ED) makes grants through SEAs to LEAs using statutory formulas based primarily on Census Bureau estimates of the number of children from low-income families in each LEA.

Deadlines:

Deadlines are established by each SEA.

Range of Approval/Disapproval Time:

Varies with each State.

Appeals:

If an LEA's plan is disapproved by the SEA it may appeal to the SEA and then to the Department of Education under Section 432 of the General Education Provision Act (GEPA).

Renewals:

None.

Formula and Matching Requirements:

The statute includes four separate formulas for allocating Title I Grants to LEAs. Under the statute, Basic and Concentration Grants are funded at the 2001 appropriation level. Basic Grants are allocated to almost all local educational agencies based on each State's per-pupil expenditure for education and the number of school-aged children from low-income families. Concentration Grants are allocated to LEAs having more than 6,500 children from low-income families or a poverty rate of more than 15 percent. In addition, funds appropriated in excess of the fiscal year 2001 level are to be distributed as Targeted Grants, which make higher payments to LEAs with higher numbers or percentages of poor children. The law also includes a separately authorized Education Finance Incentive Grants formula, which incorporates factors designed to measure a State's commitment to provide sufficient education funding, as well as how equitably that funding is distributed across districts. Allocations from all four formulas are combined into a single award to eligible LEAs. Within LEAs, funds are targeted to schools with the greatest percentages of poor children. The Bureau of Indian Affairs, Outlying Areas, and the Pacific Regional Education Laboratory also receive funding.

Length and Time Phasing of Assistance:

Generally, awards to States are made from July 1 to September 30 of the following year. In addition, funds remain available to States for an additional fiscal year for obligation and expenditure.

Reports:

States submit annual performance reports.

Audits:

In accordance with the Education Department General Administrative Regulations (EDGAR) in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

In accordance with the General Education Provisions Act, and the Education Department General Administrative Regulations, States must maintain certain program records for three years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Grants) FY 07 est \$12,838,123,000; FY 08 est \$13,898,875,000; and FY 09 est \$14,304,901,000.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$31.2 million to \$1.7 billion; the average award is \$264.6 million.

PROGRAM ACCOMPLISHMENTS:

More than 51,000 schools receive services. Over 15.8 million children a year are affected by Title I services in all 50 SEAs, DC, Puerto Rico, and the outlying areas.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 200. For Title I guidance and other information, contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, SW., Washington, DC 20202-6132. Contact: Susan Wilhelm E-mail: susan.wilhelm@ed.gov Telephone: (202) 260-0826.

Web Site Address:

<http://www.ed.gov/programs/titleiparta/index.html>.

RELATED PROGRAMS:

84.011, Migrant Education State Grant Program; 84.013, Title I Program for Neglected and Delinquent Children.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.011 MIGRANT EDUCATION-STATE GRANT PROGRAM

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, Title I, Part C, as amended. 20 U.S.C. 6391 et seq.

OBJECTIVES:

To assist States to ensure that migratory children have the opportunity to meet the same challenging State content and performance standards that all children are expected to meet.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Program funds are used to support high quality and comprehensive educational programs that address the needs of migratory children. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76-564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies or consortia of State educational agencies and other appropriate entities may apply.

Beneficiary Eligibility:

Children, ages 0 through 21, of migratory agricultural workers or of migratory fishers, including children (i.e. persons under age 21) who are workers themselves and the spouses of such workers, who have moved across school district lines during the past 36 months to obtain temporary or seasonal employment in agriculture, fishing, or related food processing activities.

Credentials/Documentation:

States are responsible for ensuring that information documenting a child's eligibility is recorded on a certificate of eligibility.

Preapplication Coordination:

The State educational agency works closely with local educational agencies, IHEs, and public and nonprofit private agencies that serve migratory children. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information

on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

State educational agencies submit applications to the Department of Education that meet the requirements of the Elementary and Secondary Education Act and applicable regulations.

Award Procedure:

The Department of Education notifies an approved State educational agency of its award.

Deadlines:

Announced annually.

Range of Approval/Disapproval Time:

Approximately three months.

Appeals:

None.

Renewals:

None. Applications can be submitted by State educational agencies for a multi-year period. However, the application must be updated to reflect significant changes. Funds are awarded on an annual basis.

Formula and Matching Requirements:

Funds are allocated through a statutory formula based on each State's per pupil expenditure and the number of eligible full-time and part-time equivalent migrant students aged three through twenty-one residing within the State. In determining the full-time and part-time equivalent number of migratory children who are in a State during the summer months, the Secretary adjusts the number to take into account the special needs of those children for summer and/or intersession programs and the additional costs of operating such programs during the summer. Beginning in 2003, each State will receive at least 100 percent of its fiscal year 2002 allocation and any amount in excess of that appropriation will be distributed by the formula included above.

Length and Time Phasing of Assistance:

Twelve months. Unspent funds may be carried over into the next fiscal year.

Reports:

Each SEA receiving funds is required to submit an annual performance report that provides information on the number of children identified as eligible for the program, characteristics of children served the services provided, and staff.

Audits:

In accordance with the Education Department General Administrative Regulations in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations, certain program records must be maintained for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Grants) FY 07 \$376,524,000; FY 08 est \$369,771,000; and FY 09 est \$399,771,000.

Range and Average of Financial Assistance:

Average State award was \$7,382,000 in fiscal year 2006. The awards ranged from \$82,000 to \$130,152,000.

PROGRAM ACCOMPLISHMENTS:

For the 2005 school year, approximately 737,700 full-time equivalent students received services.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 200. For more information, contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Office of Migrant Education, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-6135. Contact: Sam Harris. E-mail: sam.harris@ed.gov. Telephone: (202) 260-1334 or (800) 872-5327.

Web Site Address:

<http://www.ed.gov/programs/mep/index.html>.

RELATED PROGRAMS:

84.141, Migrant Education_High School Equivalency Program; 84.144, Migrant Education_Coordination Program; 84.149, Migrant Education_College Assistance Migrant Program; 84.213, Even Start_State Educational Agencies; 93.246, Health Centers Grants for Migrant and Seasonal Farmworkers; 93.600, Head Start.

EXAMPLES OF FUNDED PROJECTS:

Program funds are used to establish or improve programs to meet the special educational needs of migratory children in such areas as academic instruction; remedial and compensatory instruction; bilingual and multicultural instruction;

vocational instruction and career education services; special guidance, counseling, and testing services; health services; and preschool services.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

84.013 TITLE I PROGRAM FOR NEGLECTED AND DELINQUENT CHILDREN

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act; Title I, Part D, Subpart 1.

OBJECTIVES:

To help provide education continuity for children and youth in State-run institutions for juveniles and in adult correctional institutions, so that these youth can make successful transitions to school or employment once they are released from State institutions.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds are used for educational or educationally related services. State juvenile institutions are eligible to use funds for institutionwide programs that integrate activities with other Federal, State, and local education programs in the institution. In facilities not operating institutionwide programs, services must be used to supplement, not supplant, those normally provided with State funds. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies (SEAs). State agencies responsible for providing free public education for those children in institutions who are neglected or delinquent, responsible for children who are enrolled in education programs in adult correctional facilities, and responsible for those children in community day programs who are neglected or delinquent may apply to their state education agencies for subgrants.

Beneficiary Eligibility:

Children in a State institution for neglected or delinquent children, or in an adult correctional institution, or a community day school operated by a State agency.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A SEA may apply for State Agency Neglected and Delinquent funds as (1) part of its Title I, Part D, State plan submitted to ED under Section 1414 of ESEA or (2) as part of a consolidated State plan under Section 14302 of ESEA. To receive funds State agencies must apply to the SEA and provide information and assurance required in Section 1414 of ESEA.

Award Procedure:

The Department of Education's Office of Elementary and Secondary Education awards grants to State educational agencies, that in turn, award subgrants to the State agencies that have submitted applications.

Deadlines:

Established by the SEAs.

Range of Approval/Disapproval Time:

Varies with each State.

Appeals:

None.

Renewals:

Although State plans are effective for the duration of Title I's authorization, SEAs are required to update plans to reflect changes. State agencies must update their applications annually to receive funds.

Formula and Matching Requirements:

Funds are allocated through a formula based on the number of children in State-operated institutions and per-pupil education expenditures for the State. Each State's allocation is generated by child counts in State institutions that provide at least 20 hours of instruction from nonfederal funds; adult correctional institutions must provide 15 hours a week.

Length and Time Phasing of Assistance:

Generally, awards to States are made from July 1 to September 30 of the following year. In addition, funds remain available to the State for an additional fiscal year for obligation and expenditure.

Reports:

Each State agency conducting a Part D program is required to evaluate the program's impact on students served at least once every three years.

Audits:

In accordance with the Education Department General Administrative Regulations in the Appendix to 34 CFR 80, State and local governments that

receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

In accordance with the General Educational Provisions Act and the Education Department General Administrative Regulations, certain project records must be maintained for three years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Grants) FY 07 \$49,797,000; FY 08 \$48,927,000; and FY 09 est \$51,927.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$88,313 to \$3,240,075; the average award is expected to be \$917,382.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, approximately 1104 institutions are expected to participate, in 50 States and the District of Columbia and Puerto Rico.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 200. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OESE Student Achievement and School Accountability Programs 400 Maryland Ave., S.W., Rm. 3W214, FB-6 Washington DC 20202-6132. Contact: Gary Rutkin. E-mail: gary.rutkin@ed.gov. Telephone: (202) 260-4412.

Web Site Address:

<http://www.ed.gov/programs/titleipartd/index.html>.

RELATED PROGRAMS:

84.010, Title I Grants to Local Educational Agencies; 84.011, Migrant Education State Grant Program; 93.645, Child Welfare Services State Grants.

EXAMPLES OF FUNDED PROJECTS:

Funds generally provide supplemental instruction in core academic subjects, such as reading and mathematics. In addition, funds support counseling, tutoring, and projects to facilitate the transition of children from State institutions back to locally operated schools.

CRITERIA FOR SELECTING PROPOSALS:

State agencies are eligible to receive assistance if they are providing free public education for children who are (1) in institutions for neglected or delinquent children; (2) attending community day programs for neglected or delinquent children; or (3) in adult correctional institutions.

84.015 NATIONAL RESOURCE CENTERS PROGRAM FOR FOREIGN LANGUAGE AND AREA STUDIES OR FOREIGN LANGUAGE AND INTERNATIONAL STUDIES PROGRAM AND FOREIGN LANGUAGE AND AREA STUDIES FELLOWSHIP PROGRAM

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title VI, Part A, Section 602, as amended.

OBJECTIVES:

Centers: To promote instruction in those modern foreign languages and area and international studies that are critical to national needs by supporting the establishment, strengthening and operation of such programs at colleges and universities. Centers may focus on undergraduate training only or may be comprehensive, including undergraduate, graduate, and professional training components. Fellowships: To meet the needs of the United States for experts in modern foreign languages combined with area studies and world affairs by supporting fellowships for graduate study at institutions for higher education.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Centers: Funds may be used for instructional costs of language and area and international studies programs, administration, lectures, conferences, library resources and staff, outreach, and travel. Fellowships: Funds may be used for academic year and summer fellowships to institutions of higher education (IHEs) in order to assist graduate students in acquiring foreign language and either area or international studies competencies.

Applicant Eligibility:

Centers: Accredited American colleges and universities may apply. Applying institutions provide evidence of existing resources and institutional commitment to language and area and international studies through a curriculum that provides instruction dealing with a particular world area and its languages, with comparative world area studies, or with the international aspects of professional

or other fields of study. Fellowships: Accredited U.S. institutions of higher education offering comprehensive graduate area or international studies programs combined with language study are eligible to apply for allocations of fellowships.

Beneficiary Eligibility:

Centers: Accredited American colleges and universities will benefit.

Fellowships: Graduate students enrolled in funded centers and programs will benefit. Student candidates must be U.S. citizens or nationals or permanent residents training in area or international studies and in modern foreign languages programs that have or are developing performance-based language instruction. Training must be undertaken through an institution that has an allocation of Foreign Language and Area Studies (FLAS) Fellowships.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Eligible institutions may submit proposals for the funding of centers only, fellowships only, or both when the announcement of a new competition is issued in the Federal Register. Application forms and guidelines for the submission of proposals are available from the Advanced Training and Research Team of the International Education and Programs Service (IEPS). This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Centers: Final recommendations are made to the Secretary following evaluations from panels of experts that evaluate applications according to published selection criteria. Fellowships: The student should contact his/her sponsoring institution for information concerning application forms, deadlines, and related matters. Institutional proposals are approved or disapproved by the Secretary, following the same procedures as for Center applications.

Deadlines:

Will be announced in the Federal Register and are usually in November of the year preceding the grant period. Contact the program office for more information.

Range of Approval/Disapproval Time:

About 120 days.

Appeals:

None.

Renewals:

Higher education institutions receiving funds for three year phased program support must submit annual performance reports for the second and third year for continuation of such support. Students seeking fellowships apply to their institutions for each summer or academic year award period. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Centers: Grants are awarded for 12-month budget periods, subject to renewals of 1 or 2 years. Payments are made as stipulated in the grant. Renewals are subject to the availability of funds. Fellowships: Academic year second summer fellowships payments to student recipients are made by the institutions on a competitive basis. If summer fellowships are issued, they will be for a summer session in which the equivalent of an academic year's foreign language study is completed. Payments are made by the grantee institution.

Reports:

Centers: All programs must provide performance reports upon completion of each year's budget period and a final report of project accomplishments at the end of the grant. Financial reports are also required. Fellowships: Performance reports from fellowship award recipients at the end of each award period. Institutional final reports (awards lists) and financial reports are required annually.

Audits:

Centers: All fiscal records will be subject to audit by the Education Department at any time within 3 years after expiration of the grants. Fellowships: Fiscal records of institutions administering fellowship funds will be subject to audit by the Department at any time within 5 years after the close of the fiscal year in which grant funds are all spent.

Records:

All records supporting claims under grant or relating to the accountability for awarded funds must be available upon request: (1) For 3 years after close of the fiscal year in which the grant expired or (2) until the grantee is notified of completion of Federal financial audit, whichever is later. Fellowships: All records bearing on the receipt and expenditure of funds under the program must be available for inspection by the Department: (1) For 3 years after the fiscal year in which grant funds are spent, (2) until audit or for 5 years after grant, or (3) until resolution of any audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) Centers: FY 07 \$28,812,463; FY 08 est \$29,634,500 and FY 09 est \$29,040,000. Fellowships: FY 07 \$29,359,000; FY 08 est \$29,934,000 and FY 09 est \$29,995,000.

Range and Average of Financial Assistance:

Centers: For FY 2008, the range of awards for Centers is expected to be \$175,000 to \$270,000; the average award is expected to be \$237,000. For FY 2008, the range of Fellowship awards is expected to be \$94,000; the average award is expected to be \$242,000.

PROGRAM ACCOMPLISHMENTS:

Centers and Fellowships: In fiscal year 2008, 125 National Resource Centers are expected to be funded at institutions of higher education and 125 Foreign Language and Area Studies Fellowship grants are expected to be awarded to institutions of higher education.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655, 656, and 657, and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

International Education Programs Services, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-5331. Contact: (Centers) Carla White E-mail: carla.white@ed.gov. Telephone: (202) 502-7631; Cheryl Gibbs Telephone: 202-502-7630. E-mail: cheryl.gibbs@ed.gov. Telephone: (202) 502-7634. Contact (Fellowships) Peter Baker; E-mail: peter.baker@ed.gov. Telephone: (202) 219-7060.

Web Site Address:

<http://www.ed.gov/programs/iegpsnrc/>; or
<http://www.ed.gov/programs/iegpsflaf/>.

RELATED PROGRAMS:

84.016, Undergraduate International Studies and Foreign Language Programs; 84.017, International Research and Studies; 84.021, Overseas Programs - Group Projects Abroad; 84.022, Overseas Programs - Doctoral Dissertation Research Abroad; 84.220, Centers for International Business Education; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROGRAMS:

The University of Washington/East Asia Studies Center offers training programs in East Asian languages and in a wide variety of disciplines at both the undergraduate and graduate levels and in professional schools. The Center for Advanced Study of International Development and the Women and International Development programs at Michigan State University offer courses and activities focused on development studies in the new era of globalization. University of Wisconsin/Latin American Studies Center offers courses in languages and in many disciplines at the undergraduate level in all participating campuses. The Madison and Milwaukee campuses offer extensive graduate and some professional training programs in Latin American studies as well.

CRITERIA FOR SELECTING PROPOSALS:

34 CFR 655, 656, and 657 apply to the Centers and Fellowships Programs. Selection criteria for evaluating applications and selecting centers are listed in the Title VI, International Education Program Regulations in 34 CFR 655.31, 656.21 or 656.22. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register 656.21 or 656.22. Part 657.21 gives the criteria for selection of institutional awards for fellowship allocations. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register.

84.016 UNDERGRADUATE INTERNATIONAL STUDIES AND FOREIGN LANGUAGE PROGRAMS

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title VI, Part A, Section 604, as amended.

OBJECTIVES:

The Undergraduate International Studies and Foreign Language Program issues awards to institutions of higher education and public and nonprofit private agencies and organizations. The purpose of the awards is to: (1) Assist institutions of higher education to plan, develop, and carry out a program to strengthen and improve undergraduate instruction in international studies and foreign languages; and (2) assist associations and organizations to develop projects that will make an especially significant contribution to strengthening and improving undergraduate instruction in international studies and foreign languages.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Each program assisted with federal funds must primarily enhance the

international academic program of the institution. Eligible activities may include but are not limited to: development of a global or international studies program that is interdisciplinary in design; development of a program that focuses on issues or topics, such as international business or international health; development of an area studies program and its corresponding foreign languages; creation of innovative curricula that combine the teaching of international studies with professional and preprofessional studies, such as engineering; research for and development of specialized teaching materials, including language instruction, i.e., business French; establishment of internship opportunities for faculty and students in domestic and overseas settings; and development of study abroad programs. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Accredited colleges and universities, and public and nonprofit private agencies and organizations.

Beneficiary Eligibility:

Accredited colleges and universities, and public and nonprofit private agencies and organizations.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

When funds are available for the support of new programs, announcements are issued in the Federal Register. Application forms and guidelines for submission of proposals are available from the Undergraduate International Studies and Foreign Language Program. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Final recommendations are made to the Secretary of Education following advice from a panel of consultants in accordance with the published criteria.

Deadlines:

A closing date generally appears in Federal Register in August. Contact the program office for more information.

Range of Approval/Disapproval Time:

About 150 days.

Appeals:

None.

Renewals:

Institutions of higher education and associations and organizations currently receiving funds for two or three year phased program support must submit performance reports for continuation funding according to instructions established by the Department. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

The non-Federal share of the cost of the programs assisted may be provided in cash from the private sector corporations or foundations in an amount equal to one-third of the total cost of the programs or may be provided as an in-cash or in-kind contribution from institutional and noninstitutional funds, including State and private sector corporation or foundation contributions, equal to one-half of the total cost of the programs assisted herein. The Secretary may waive or reduce the matching requirement for institutions that are eligible to receive assistance under part A or B of Title III or under Title V of the Higher Education Act of 1965, as amended.

Length and Time Phasing of Assistance:

Grants are awarded for 12-month periods, subject to renewals of one or two years. Payments are made as stipulated in the grant. Renewals are subjects to the availability of funds.

Reports:

All programs must provide final reports upon completion of the program.

Audits:

All fiscal records will be subject to audit any time within three years after expiration of the grant.

Records:

All records supporting claims under a grant or relating to the accountability for awarded funds must be available upon request: (1) For three years after close of the fiscal year in which contract was liquidated; or (2) until the grantee is notified of completion of Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$4,300,000; FY 08 \$4,296,000; and FY 09 est \$4,311,000.

Range and Average of Financial Assistance:

For FY 2008, the range of awards for a single institution is expected to be

\$50,000 to \$90,000; the average award is expected to be \$75,000. For FY 2008, the range of awards for consortia/organizations/associations is \$80,000 to \$140,000; the average award is expected to be \$110,000.

PROGRAM ACCOMPLISHMENTS:

Twenty-five new grants and 30 continuation grants are expected to be awarded in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655 and 658, and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

International Education Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, DC 20202-5331. Contact: Christine Corey. Telephone: (202) 502-7629. Email: Christine.corey@ed.gov.

Web Site Address:

<http://www.ed.gov/programs/iegpsugisf/>.

RELATED PROGRAMS:

84.015, National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.018, Overseas Programs, Special Bilateral Projects; 84.019, Overseas Programs - Faculty Research Abroad; 84.021, Overseas Programs - Group Projects Abroad; 84.022, Overseas Programs - Doctoral Dissertation Research Abroad; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS:

The Consortium for Inter-Institutional Collaboration in African and Latin-American Studies, situated at Michigan State University, is characterized by a series of on-campus and in-country intensive language and area studies institutes, organized through cooperation with 20 or more Historically Black Colleges and Universities (HBCU), 12 liberal arts colleges in the Great Lakes Colleges Association (GLCA), and several institutions in the Associated Colleges of the Midwest (ACM). Wayne State University will develop an interdisciplinary program in Eastern Europe, representing a collaborative effort between the School of Business, the Department of German and Slavic Studies and Wayne State University.

CRITERIA FOR SELECTING PROPOSALS:

In addition to evaluation on the basis of criteria found in the Education Division General Administrative Regulations (EDGAR) in 34 CFR 74, 75, 77, and 78 the Secretary will further evaluate applications for Federal support for international studies programs in accordance with the criteria published in 34 CFR 655, 658, and 45 CFR 86872.

84.017 INTERNATIONAL RESEARCH AND STUDIES

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title VI, Part A, Section 605, as amended.

OBJECTIVES:

To improve foreign language, area, and other international studies training through support of research, studies, experimentation, development of specialized instructional materials, and the publication of specialized materials developed as a result of research conducted under this program.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

The program supports research and studies that may include but are not limited to: (1) Studies and surveys to determine needs for increased or improved instruction in foreign language, area studies, or other international fields including the demand for foreign language, area, and other international specialists in government, education, and the private sector; (2) studies and surveys to assess the utilization of graduates of programs supported under this title by governmental, educational, and private sector organizations and other studies assessing the outcomes and effectiveness of programs so supported; (3) comparative studies of the effectiveness of strategies to provide international capabilities at institutions of higher education; (4) research on more effective methods of providing instruction and achieving competency in foreign languages; (5) the development and publication of specialized materials for use in foreign language, area studies, and other international fields, or for training foreign language, area and other international specialists; (6) the application of performance tests and standards across all areas of foreign language instruction and classroom use, and (7) other research or materials development projects that further the purposes of the International Education Programs authorized by Part A of Title VI of the HEA. Funds awarded under this program may not be used for the training of students and teachers.

Applicant Eligibility:

Public and private agencies, organizations, institutions, and individuals may

apply.

Beneficiary Eligibility:

Public and private agencies, organizations, institutions, and individuals will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Formal applications should be prepared in response to announcements of mandatory program requirements and closing dates in the Federal Register. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications are reviewed by Department of Education specialists and review panels of scholars.

Deadlines:

Will be announced in the Federal Register and are normally in October/November of the year preceding the grant period. Contact the program office for more information.

Range of Approval/Disapproval Time:

From six to seven months.

Appeals:

None.

Renewals:

Projects conducted under a grant may be phased, with the phases following the first phase subject to renewal on a non-competitive basis. Renewals of grants, which were not included in the original negotiations, will be considered in competition with all other new applications and are dependent on the submission of reports that demonstrate that substantial progress has been made.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Depends on project, but is generally from 12 to 36 months. Phasing of projects is determined during the negotiation process.

Reports:

A final report or subject data as established through negotiation. Interim progress reports and a financial report are required.

Audits:

All fiscal records will be subject to audit by the Department at any time within three years after expiration of the contract or grant.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available on request: (1) For three years after close of the fiscal year of grant expiration or (2) until the grantee is notified of completion of the Federal audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$5,822,840; FY 08 \$4,288,000; and FY 09 est \$6,837,000

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$50,000 to \$200,000; the average award is expected to be \$114,000.

PROGRAM ACCOMPLISHMENTS:

For FY 2008, 14 new awards and 32 continuation awards are expected to be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are available at 34 CFR 655, 660, and the Education Department General Administrative Regulations. Contact the program office for information.

Regional or Local Office:

None.

Headquarters Office:

International Education Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, DC 20202-5331. Contact: Ed McDermott. Telephone: (202) 502-7636. E-mail: Ed.mcdermott@ed.gov.

Web Site Address:

<http://www.ed.gov/programs/iegpsirs/>.

RELATED PROGRAMS:

84.015, National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016, Undergraduate International Studies and Foreign Language Programs; 84.018, Overseas Programs, Special Bilateral Projects; 84.019, Overseas Programs - Faculty Research Abroad; 84.021, Overseas Programs - Group Projects Abroad; 84.022, Overseas Programs - Doctoral Dissertation Research Abroad; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS:

"HEA Title VI, Part A, Section 606: An Assessment Study"; "National Proficiency Tests in Ukrainian and Croatian"; "Teaching about the Americas: An Electronic Curriculum"; "Instructional Materials on China and Japan"; "Proficiency-based Business Arabic"; "A CD-ROM-based Video in Japanese Language and Culture"; "Middle East Curriculum and Dissemination Project"; "Teaching Materials for Russian Language Instruction at the Secondary Schools Level"; "Africa: Media and Education Project"; "Survey of Foreign Language Entrance and Degree Requirements in U.S. Colleges and Universities." International Law - Video Course and Learner's Guide, Hausa Language and Culture Video Project, The Muslim Middle East: Curriculum Development and Dissemination Project.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals is stated in 34 CFR 655.31, 660.31, 660.32, and 660.33. The Secretary will evaluate applications in accordance with the published criteria. An applicant may receive as many as five or ten points or as few as zero points under each criterion. (20 U.S.C. 1121-1127, 3063- 3065.)

84.018 OVERSEAS PROGRAMS-SPECIAL BILATERAL PROJECTS

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, Section 102(b)(6), as amended, Public Law 87-256.

OBJECTIVES:

To increase mutual understanding and knowledge between the people of the United States and those in other countries by offering qualified U.S. educators opportunities to participate in short-term study seminars abroad on topics in the social sciences and the humanities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Program funds provide round-trip economy airfare, room and board, tuition and fees for seminars. Actual locations may vary from year-to-year. No dependents are to accompany awardees participating in seminars.

Applicant Eligibility:

(1) Applicants must be U.S. citizens or have permanent resident status; (2) must hold a bachelor's degree; (3) must have at least 3 years full-time in teaching, administering or supervising in the humanities, the social sciences or social studies subjects; (4) applicants must be currently employed full-time in teaching, administering or supervising in the aforementioned areas; (5) applicants also must meet any language requirements if applicable (see the application booklet for details). If selected, awardees must furnish evidence of good health and emotional maturity.

Beneficiary Eligibility:

(1) Undergraduate faculty members of four year colleges/universities and 2-year community colleges in the fields of the humanities and social sciences; (2) supervisors and secondary (grades 9 through 12) and elementary and junior high school (grades K through 8) teachers of social studies and humanities; and (3) curriculum development specialists, administrators of local and State education agencies who have direct responsibility for developing curriculum in the subject areas encompassed by the social sciences and the humanities.

Credentials/Documentation:

Applicants' basic data; education and professional preparation; present and previous employment; specific statement of objectives; plans to utilize the knowledge and experience gained from the seminar; involvement in educational/professional/civic groups, associations/organizations; personal traits; honors/awards/publications, etc. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

International Education Graduate Programs Service, Department of Education, publishes an annual announcement of opportunities and conducts open competition to select participants. Binational commissions or foundations or other appropriate agencies receive funding to conduct the seminars. The standard application forms as furnished by the Federal agency and as required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Eligible applicants may submit applications for a position in one of the seminars when the announcement of a new competition is made through journals, newsletters, educational associations, individuals, etc. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Recommendations are made to the Secretary of Education following advice from a panel of consultants. All recommended selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board.

Deadlines:

Generally in late October of the year preceding the award to attend a seminar overseas.

Range of Approval/Disapproval Time:

Applicants notified in mid March of selection for seminars.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

From 4 to 6 weeks.

Reports:

Individual curriculum projects and program evaluations from participants are submitted.

Audits:

None.

Records:

Retained for one year.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$2,670,325; FY 08 \$2,149,000; and FY 09 est \$2,420,000.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$70,000 to \$313,000; the average award is expected to be \$317,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, approximately 144 participants were enrolled in nine projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations. Program announcements and related information are available from the Program Officer for International Education, Department of Education.

Regional or Local Office:

None.

Headquarters Office:

International Education Programs Service, Department of Education, 400 Maryland Ave., S.W., Washington, DC 20202-5331. Contact Gail Holdren, E-mail: gale.holdren@ed.gov, Telephone: (202) 502-7691.

Web Site Address:

<http://www.ed.gov/programs/iegpsap/>.

RELATED PROGRAMS:

84.015, National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016, Undergraduate International Studies and Foreign Language Programs; 84.017, International Research and Studies; 84.019, Overseas Programs - Faculty Research Abroad; 84.021, Overseas Programs - Group Projects Abroad; 84.022, Overseas Programs - Doctoral Dissertation Research Abroad; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS:

Seminars have been conducted in Chile, China, Egypt, Hungary, Poland, Malaysia, Mexico, and Zimbabwe. Annual language training programs are offered in Japan for teachers of Japanese.

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for information.

84.019 OVERSEAS PROGRAMS - FACULTY RESEARCH ABROAD

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, as amended, Section 102(b)(6), Public Law 87-256.

OBJECTIVES:

To help universities and colleges strengthen their language and area studies programs by enabling faculty members to conduct research abroad in order to improve their skills in languages and their knowledge of the cultures on which their academic interests focus.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Generally, awards will not be available for projects focusing primarily on Western Europe or on countries where the United States has no diplomatic representation. This program funds fellowships through institutions of higher education to faculty members who propose to conduct research abroad in modern foreign languages and area studies to improve their skill in languages and knowledge of the culture of the people of these countries. Funds support: travel expenses to and from the residence of the fellow and the country or

countries of research, a maintenance stipend for the fellow related to his or her academic year salary, and an allowance for research-related expenses overseas such as books and photocopying, tuition, affiliation fees, local travel, and other incidental expenses. Fellowships are adjusted for duplicating support.

Applicant Eligibility:

Institutions of higher education may apply.

Beneficiary Eligibility:

Those benefiting will: (1a) Be a citizen or national of the United States; (1b) be a permanent resident of the United States; or (1c) provide evidence from the Immigration and Naturalization Service that he or she is in the United States for other than a temporary purpose with the intention of becoming a citizen or permanent resident; (2) be employed by an institution of higher education; (3) have been engaged in teaching relevant to his or her foreign language or area specialization for the 2 years immediately preceding the date of the award; (4a) have proposed research relevant to a modern foreign language or area specialization that cannot be conducted in the United States, or for which a foreign country or region provides superior research facilities; (4b) contribute to the development or improvement of the study of modern foreign languages or area studies in those fields needed for a full understanding of the area, regions, or countries in which the modern foreign languages are commonly used; (4c) not be doing dissertation research for a Ph.D.; and (5) possess adequate skills in the language or languages necessary to successfully carry out the project.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Eligible institutions will be responsible for accepting, screening, and forwarding through the Department of Education's Application Control Center to the International Education Programs Service (IEPS) those individual applications that meet the institution's technical and academic criteria and the program's eligibility requirements. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Faculty members may address requests for general information to the Advanced Training and Research Team, International Education Programs Service. Completed applications should be submitted directly to the faculty members employing institution, which will forward them to the Application Control Center. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The IEPS will make the initial selection and recommendation of fellowship recipients with the advice of a panel of academic specialists in modern foreign language and area studies and comments by U.S. embassies and binational commissions in the proposed countries of research. The selections are subject to review and final approval by the J. William Fulbright Foreign Scholarship Board. The grant covering successful scholars is made to the institutions which employ the faculty members.

Deadlines:

Generally the last week in October or the first week in November of the year preceding the year of research. Exact date is announced in the Federal Register. Contact the program office for more information.

Range of Approval/Disapproval Time:

Approximately 200 days.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

From 3 to 12 months.

Reports:

Award recipient: final program report submitted through the grantee institution no later than 90 days after the termination date of the award. Institution: fiscal year report.

Audits:

Fiscal records of institutions subject to the Department audit at any time within 5 years after close of the fiscal year in which expenditures are made.

Records:

Records bearing on receipt and expenditure of grant funds must be made available for the Department inspection: (1) For 3 years after the close of the fiscal year in which expenditures are made if departmental audit has occurred by that time; (2) until audit or for 5 years following end of budget period in which grant expired, whichever is later; or (3) until resolution of outstanding audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$1,395,000; FY 08 est \$1,600,000; and FY 09 est \$1,395,000.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$20,000 to \$100,000; the

average award is expected to be \$70,000.

PROGRAM ACCOMPLISHMENTS:

Twenty-three new grants are expected to be awarded in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 663, and the Education Department General Administrative Regulations.

Regional or Local Office:

Not applicable.

Headquarters Office:

Advanced Training and Research Team, International Education Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, SW., Washington, DC 20202-5331. Contact: Cynthia Dudzinski. E-mail: cynthia.dudzinski@ed.gov. Telephone: (202) 502-7589.

Web Site Address:

<http://www.ed.gov/programs/iegpsfra/>.

RELATED PROGRAMS:

19.400, Educational Exchange Graduate Students; 19.401, Educational Exchange University Lecturers (Professors) and Research Scholars; 84.015, National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016, Undergraduate International Studies and Foreign Language Programs; 84.017, International Research and Studies; 84.018, Overseas Programs Special Bilateral Projects; 84.021, Overseas Programs - Group Projects Abroad; 84.022, Overseas Programs - Doctoral Dissertation Research Abroad; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS:

The Effects of the Zimbabwe Revolution on the Southern African Regional System; Refugee Integration and Repatriation in Eastern Sudan; Women in Politics in Ecuador; Health Problems and the Accessibility and Utilization of Health Care in Guanacaste, Costa Rica; Worker Cooperatives in Japan and Culture of Cooperation; Technology and Nationalism in Late Colonial Netherlands East Indies; Proficiency-Based Business Arabic; Nations, Nationalism and Ethnicity: The Sri Lankan Model and its Transformations; Race, Ethnicity, Social Class and Power in the Hispanic Caribbean; The Historical Development and Contemporary Expression of Relations; The Americans in Prague: A History of US-Czechoslovak Relations, 1918-1993; Central and East European Countries and the European Union: Admission, Terms, Impacts; Turkey's Encounter with Nationalism and Modernity's Race, Class, and Gender in Black Political Movements in South Africa, 1925- 1960: A Biography of Jose Mpama.

CRITERIA FOR SELECTING PROPOSALS:

The following selection criteria for this program are from 34 CFR 663.21 and are as follows: The maximum score for all of the criteria, including the competitive preference priority is 105 points. The maximum score for each criterion is indicated in parentheses. Quality of proposed project (60 points): In determining the quality of the research project proposed by the applicant, the Secretary considers (1) the statement of the major hypotheses to be tested or questions to be examined, and the description and justification of the research methods to be used (10 points); (2) the relationship of the research to the literature on the topic and to major theoretical issues in the field, and the project's originality and importance in terms of the concerns of the discipline (10 points); (3) the preliminary research already completed or plans for research prior to going overseas, and the kinds, quality and availability of data for the research in the host country or countries (10 points); (4) the justification for overseas field research and preparations to establish appropriate and sufficient research contacts and affiliations abroad (10 points); (5) the applicant's plans to share the results of the research in progress with scholars and officials of the host country or countries and the American scholarly community (10 points); and (6) the objectives of the project regarding the sponsoring institution's plans for developing or strengthening, or both, curricula in modern foreign languages and area studies (10 points). Qualifications of the applicant (40 points): In determining the qualifications of the applicant, the Secretary considers (1) the overall strength of the applicant's academic record (teaching, research, contributions, professional association activities (10 points); (2) the applicant's excellence as a teacher or researcher, or both, in his or her area or areas of specialization (10 points); (3) the applicant's proficiency in one or more of the languages (other than English and the applicant's native language) of the country or countries of research, and the specific measures to be taken to overcome any anticipated language barriers (15 points); and (4) the applicant's ability to conduct research in a foreign cultural context, as evidenced by the applicant's previous overseas experience, or documentation provided by the sponsoring institution, or both (5 points). Subject to change. Contact program officer for further information.

84.021 OVERSEAS PROGRAMS - GROUP PROJECTS ABROAD

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, as amended, Public Law 87-256; Section 102(b)(6).

OBJECTIVES:

To contribute to the development and improvement of the study of modern foreign languages and area studies in the United States by providing opportunities for teachers, faculty, and upperclassmen and/or graduate students to study, travel, and research in foreign countries.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

The grant does not provide funds for project-related expenses within the United States. The grant funds may be used in the country of study for the following: maintenance stipend; round-trip international travel; project-related local travel; artifacts; books and other teaching materials; rent for instructional facilities; and clerical and professional services.

Applicant Eligibility:

Institutions of higher education, State departments of education, private nonprofit educational organizations, and a consortium of institutions, departments, and organizations.

Beneficiary Eligibility:

A participant must be: a citizen, or permanent resident of the United States, faculty member and teachers at all levels; a graduate or upperclassman who plans a teaching career in modern foreign languages or area studies.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An institution or organization interested in the program should plan and propose a group project that would significantly improve its program in foreign language and area studies. Specific guidelines for preparation of proposals as published in the Federal Register are available upon request from the International Education Programs Service (IEPS). The institution or organization must give assurance that the individuals it nominates to undertake the projects are appropriately qualified. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

With the advice of a panel of academic consultants and an assessment of the feasibility and suitability of each proposal by U.S. Embassies and Binational Commissions in the proposed countries of study, the IEPS will make initial selections, and preliminary recommendations of grant recipients to the Secretary. All recommended selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board.

Deadlines:

Generally, in late October or of the year preceding the year of overseas activity.

Range of Approval/Disapproval Time:

About 120 days.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

For short-term seminar, curriculum development, and group research or study projects ranging from 5 weeks, generally, to 12 months; up to 48 months for advanced intensive language training projects.

Reports:

Terminal program reports and financial reports from the grantee.

Audits:

All fiscal records subject to audit at any time within five years after close of the fiscal year in which expenditures are made.

Records:

Documents bearing on receipt and expenditure of grant funds must be made available for inspection by the Department: (1) For three years after the close of the fiscal year in which expenditures are made if departmental audit has occurred by that time; (2) until audit or for five years following the end of budget period in which grant expired, whichever is later; or (3) until resolution of outstanding audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$3,877,534; FY 08 est \$4,613,000; and FY 09 est \$4,327,000.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$50,000 to \$375,000; the average award is expected to be \$105,000.

PROGRAM ACCOMPLISHMENTS:

Projects have had an effect on the curriculum through new and expanded courses and faculty training in the use of new materials. In fiscal year 2008, 44 new grants are expected to be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 664, and the Education Department General Administrative Regulations.

Regional or Local Office:

Not applicable.

Headquarters Office:

International Education Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, DC 20202-5331. Contact: Michelle Guilfoil. E-mail: michelle.guilfoil@ed.gov. Telephone: (202) 502-7525.

Web Site Address:

<http://www.ed.gov/programs/iepgspga/>.

RELATED PROGRAMS:

19.400, Educational Exchange_Graduate Students; 19.401, Educational Exchange_University Lecturers (Professors) and Research Scholars; 84.016, Undergraduate International Studies and Foreign Language Programs; 84.017, International Research and Studies; 84.018, Overseas Programs_Special Bilateral Projects; 84.019, Overseas Programs - Faculty Research Abroad; 84.022, Overseas Programs - Doctoral Dissertation Research Abroad; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS:

A School-University Collaborative Project for Curriculum Development About the People's Republic of China: Choosing Modernization; Seminar on Cultural Diversity and Development in Malaysia and Singapore - A curriculum development program for 15 Andrew and other college faculty and pre-college teachers in Georgia; Seminar on Mexican Perspective of the North American Free Trade Agreement; Russia: In search of Democracy and Economic Reform A Curriculum Development Team Project; The Walls Come Tumbling Down: A 5-week travel-study seminar for 14 Maryland community college faculty to study the Polish people, country, and culture; and a 5-week seminar for consortia faculty on Post-Revolutionary Prospects in Ethiopia and Eritrea. In addition nine advanced foreign language programs in Egypt, China, the Philippines, India, Nigeria, Indonesia, Japan, Russia, and Tanzania involving the instruction of Arabic, Chinese, Filipino, Hindi, Marathi, Bengoli, Hausa, Yoruba, Indonesian, Japanese, Russian, and Kiswahili.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for this program are from 34 CFR 664.31 and are as follows: (a) Plan of operation (20 points), (b) quality of key personnel (10 points), (c) budget and cost effectiveness (10 points), (d) evaluation plan (20 points), (e) adequacy of resources (5 points), (f) potential impact of the project on the development of the study of modern foreign languages and area studies in American education (15 points), (g) relevance to the institution's educational goals and its relationship to its program development in modern foreign languages and area studies (5 points), (h) the extent to which direct experience abroad is necessary to achieve the project's objectives and the effectiveness with which relevant host country resources will be utilized (10 points), and (i) the extent to which the proposed project addresses the competitive preference priorities (10 points). Subject to change. Contact program officer for further information.

84.022 OVERSEAS PROGRAMS - DOCTORAL DISSERTATION RESEARCH ABROAD

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, as amended, Section 102(b)(6), Public Law 87-256.

OBJECTIVES:

To provide opportunities for graduate students to engage in full-time dissertation research abroad in modern foreign language and area studies with the exception of Western Europe. This program is designed to develop research knowledge and capability in world areas not widely included in American curricula.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Funds will be available to enable doctoral candidates to complete field research for their dissertations. Generally awards will not be available for projects focusing primarily on Western Europe or countries where the United States has no diplomatic representation. Financial provisions include basic stipend computed on the cost-of-living in the country where research is to be conducted; cost of round trip jet economy air fare for award recipient only; baggage allowance, if justified; dependents allowance for accompanying dependents based on cost-of-living in host country; project allowance to be utilized for the purchase of expendable materials, services, and supplies, tuition payments to foreign institutions, and local travel expenditures; funds to secure health and

accident insurance.

Applicant Eligibility:

Institutions of higher education may apply.

Beneficiary Eligibility:

Candidate for Doctoral Dissertation Research Abroad Fellowship must: (1a) Be a citizen or national of the United States; (1b) be a permanent resident of the United States; or (1c) provide evidence from the Immigration and Naturalization Service that he or she is in the United States for other than a temporary purpose with the intention of becoming a citizen or permanent resident; (2) be a graduate student in good standing at an institution of higher education who, when the fellowship period begins, has been admitted to candidacy in a doctoral degree program in modern foreign languages and area studies at that institution; (3) plan a teaching career in the United States upon graduation; (4) possess adequate skills in the foreign language(s) necessary to carry out the dissertation research project.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The graduate dean of a college or university or his or her representative will be responsible for accepting, screening, and forwarding through the Department of Education's Application Control Center to the Advanced Training and Research Team those individual applications which meet the institution's technical and academic criteria and the program's eligibility requirements. Candidates for dissertation research fellowships apply directly to the institutions at which they are enrolled in a Ph.D. program, not to the Department of Education. This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Institutions should request application materials from the Advanced Training and Research Team. Students should address requests for application forms to the office of the graduate dean or his or her representative at the graduate schools at which they are enrolled in a Ph.D. program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Advanced Training and Research Team will make initial selection and recommendation of fellowship recipients with the advice of a panel of specialists in foreign language and area studies and comments by U.S. embassies and binational commissions in the proposed countries of research. Selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board. The grant covering successful graduate students is made to the applicant, i.e., institutions of higher education.

Deadlines:

Generally, the last week in October or first week in November of the year preceding the year of research. Exact date is announced in the Federal Register.

Range of Approval/Disapproval Time:

About 200 days.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made for at least 6 but not more than 12 months.

Reports:

Fellows: Terminal reports through the grantee institution no later than 90 days after the termination date of the award. Institutions: fiscal reports.

Audits:

Fiscal records of institutions subject to Education Department audit at any time within 5 years after close of the fiscal year in which expenditures are made.

Records:

Records bearing on receipt and expenditure of funds to be made available for inspection by the Department for 3 years after close of fiscal year in which expenditures are made if departmental audit has occurred by that time, until audit or for five years following the end of the budget period in which the grant expired (whichever is later) or until resolution of any outstanding audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$4,444,896; FY 08 est \$4,666,000; and FY 09 est \$4,721,000.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$15,000 to \$60,000 for fellowships; the average fellowship is expected to be \$29,330.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, 150 new fellowships are expected to be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Final regulations published in 34 CFR 662, and in the Education Department General Administrative Regulations.

Regional or Local Office:

Not applicable.

Headquarters Office:

International Education Programs Service, Office of Postsecondary Education,
Department of Education, 400 Maryland Avenue, S.W., Washington, DC
20202-5331. Contact: Sara Starke. E-mail: Sara.Starke@ed.gov. Telephone:
(202) 502-7688.

Web Site Address:

<http://www.ed.gov/programs/iegpsddrap/>

RELATED PROGRAMS:

19.400, Educational Exchange Graduate Students; 19.401, Educational Exchange University Lecturers (Professors) and Research Scholars; 84.015, National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016, Undergraduate International Studies and Foreign Language Programs; 84.017, International Research and Studies; 84.018, Overseas Programs Special Bilateral Projects; 84.019, Overseas Programs - Faculty Research Abroad; 84.021, Overseas Programs - Group Projects Abroad; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS:

Imagined Democracies, Nocturnal Communities: The Production of Political Space in Cote d'Ivoire; Strategies for Survival: Women, Power, and HIV Risk in Uganda; Spirit Possession in Northern Brazil; The Exus of Umbanda Forest Conservation and Common Property Management in Oaxaca, Mexico; Continuity and Change: Cooperatives in Contemporary Rural China; Strangers in their Homeland: The Ethnic Adaptation of Japanese-Brazilian Return Migrants and the Japanese Sociopolitical Response Towards Co-management; Conservation, Indigenous Communities and the Philippine State Disease; Social Change and Gender Among the Huli of Papua New Guinea; Language and Identity in Ukraine: The Politics of Interaction Propaganda vs. "Stalinization" of the Soviet Press, 1922-1930; Commemoration of Nation and Class in Two Palestinian Villages; Educating Ethnicity: Cypriot Conflict and the Revision of History; Education and Youth Culture in Kerala, South India, Trekking Tourism, Traditionalism and Development in a Nepali Village; Women's Political NGOs in the Emerging Democracy of Ghana; and Community Building among Indonesian Transmigrants: The Challenges of Ecological Sustainability and Social Harmony.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for this program are from 34 CFR 662.21 and are listed in the following paragraphs. The maximum score for all of the criteria, including the competitive preference priority, is 105 points. The maximum score for each criterion is indicated in parentheses. Quality of proposed project (60 points): In determining the quality of the research project proposed by the applicant, the Secretary considers: (1) The statement of the major hypotheses to be tested or questions to be examined, and the description and justification of the research methods to be used (10 points); (2) the relationship of the research to the literature on the topic and to major theoretical issues in the field, and the project's originality and importance in terms of the concerns of the discipline (10 points); (3) the preliminary research already completed in the United States and overseas or plans for such research prior to going overseas, and the kinds, quality and availability of data for the research in the host country or countries (10 points); (4) the justification for overseas field research and preparations to establish appropriate and sufficient research contacts and affiliations abroad (10 points); (5) the applicant's plans to share the results of the research in progress and a copy of the dissertation with scholars and officials of the host country or countries (10 points); and (6) the guidance and supervision of the dissertation advisor or committee at all stages of the project, including guidance in developing the project, understanding research conditions abroad, and acquainting the applicant with research in the field (10 points). Qualifications of the applicant (40 points): In determining the qualifications of the applicant, the Secretary considers (1) the overall strength of the applicant's graduate academic record (10 points); (2) the extent to which the applicant's academic record demonstrates strength in area studies relevant to the proposed project (10 points); (3) the applicant's proficiency in one or more of the languages (other than English and the applicant's native language) of the country or countries of research, and the specific measures to be taken to overcome any anticipated language barriers (15 points); and (4) the applicant's ability to conduct research in a foreign cultural context, as evidenced by the applicant's references or previous overseas experience, or both (5 points). Subject to change. Contact program officer for further information.

84.027 SPECIAL EDUCATION-GRANTS TO STATES**FEDERAL AGENCY:**

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), Part B, Sections 611-618, as amended, 20 U.S.C. 1411-1418.

OBJECTIVES:

To provide grants to States to assist them in providing a free appropriate public education to all children with disabilities.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds are used by State and local educational agencies, in accordance with the IDEA, to help provide the special education and related services needed to make a free appropriate public education available to all eligible children and, in some cases, early intervening services.

Applicant Eligibility:

State educational agencies in the 50 States, District of Columbia, Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Guam and Virgin Islands, the Department of the Interior, and freely associated States. Local educational agencies apply to their State educational agency for subgrants.

Beneficiary Eligibility:

Children with disabilities will benefit.

Credentials/Documentation:

The designated plan review agencies must be given an opportunity to review the State's policies and procedures. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

States must meet the conditions of Section 612 of the Individuals with Disabilities Education Act. Public hearings must be held prior to a State's adoption of policies and procedures to comply with that section. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure:

This program is excluded from coverage under OMB Circular No. A-110. States are eligible to receive funds under this program if the State establishes eligibility for funds under Section 612 of Part B of the IDEA. Local education agencies establish eligibility by meeting local application eligibility requirements of Section 613 of Part B of the IDEA.

Award Procedure:

Once the State application has been approved, a grant award is forwarded to the State department of education. Notification of the award is made to Chief State School Officer.

Deadlines:

The submission date for State applications is April 1 to expedite funding, but plans or amendments are accepted throughout the fiscal year.

Range of Approval/Disapproval Time:

Within 90 days.

Appeals:

The Department must give a State educational agency an opportunity for a hearing before taking any action involving the proposed disapproval of a State plan and the withholding of payments. If a State disagrees with a final action, it may, within 60 days after notice of such action, file a petition for review of that action with the U.S. Circuit Court of Appeals. A State may also engage in a hearing with the Department if the State disagrees with the action taken regarding a request for a waiver of the Part B supplementing and supplanting requirements.

Renewals:

None.

Formula and Matching Requirements:

Funds are awarded based on a statutory formula. In general, subject to minimum and maximum funding requirements, if the funds available to States increase from one year to the next, each of the states first received what it received in 1999. Eighty-five percent of the remaining funds are distributed based on the relative number of children with disabilities in the age range for which States mandate services; 15 percent of the remaining funds are distributed based on the relative numbers of those children living in poverty. If the amount available to these entities decreases from one year to the next, each entity receives what it did in 1999. Remaining funds are distributed in proportion to the increased these entities received between 1999 and the present year. Most of the funds must be distributed to local education agencies directly serving children. States may retain the remaining funds for state-level activities including administration of, support of, and direct services to children with disabilities. There are non-supplanting and excess cost requirements. Contact the program office for further details.

Length and Time Phasing of Assistance:

Grants are issued each fiscal year. The Federal obligation period is a 15-month period from July 1 through September 30. States and local education agencies have an additional 12-month period to obligate funds they receive.

Reports:

Annual data report and performance reports are submitted to the Department of Education from the State departments of education.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), Audits of States, Local Governments, and NonProfit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for State administered programs (34 CFR 76.730-731). Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review.

Account Identification:

91-0300-0-1-501.

Obligations:

(Grants) FY 07 \$10,782,961,000; FY 08 est \$10,947,511,571; and FY 09 est \$11,284,511,000. (Includes \$15,000,000 in each year for funding CFDA 373.)

Range and Average of Financial Assistance:

For 2008, the range of awards to States, the District of Columbia, and Puerto Rico, is \$929,040 to \$1,165,972,611.

PROGRAM ACCOMPLISHMENTS:

Approximately 6.8 million children with disabilities are expected to be served by this program for 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are at 34 CFR 300.

Regional or Local Office:

None.

Headquarters Office:

Office of the Assistant Secretary for Special Education Programs, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Contact: Ruth Ryder. E-mail: Ruth.Ryder@ed.gov Telephone: (202) 245-7629.

Web Site Address:

<http://www.ed.gov/about/offices/list/osep/index.html>.

RELATED PROGRAMS:

84.173, Special Education_Preschool Grants; 84.181, Special Education-Grants for Infants and Families; 84.323, Special Education - State Personnel Development; 84.324, Research in Special Education; 84.326, Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327, Special Education_Technology and Media Services for Individuals with Disabilities; 84.328, Special Education_Parent Information Centers; 84.329, Special Education_Studies and Evaluations; 84.373, Special Education_Technical Assistance on State Data Collection.

EXAMPLES OF FUNDED PROJECTS:

Federal funds are combined with State and local funds to provide free appropriate public education to children with disabilities. This includes special education and related services. Funds are used for salaries of teachers and other personnel, education materials, related services--such as special transportation or occupational therapy that allow children with disabilities to access education services--and other education-related needs.

CRITERIA FOR SELECTING PROPOSALS:

States and other eligible entities qualify for funding based upon applications approved under IDEA, Section 612.

84.031 HIGHER EDUCATION-INSTITUTIONAL AID

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title III and Title V, as amended. Higher Education Act of 1965, Title IV, Part J, Section 499A, as amended by section 802 of the College Cost Reduction and Access Act (CCRAA) of 2007.

OBJECTIVES:

To help eligible colleges and universities to strengthen their management and fiscal operations and to assist such institutions to plan, develop, or implement activities including endowment building that promise to strengthen the academic quality of their institutions.

TYPES OF ASSISTANCE:

Project Grants (Discretionary); Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Eligible institutions may apply under Part A or B of Title III. Part A includes Strengthening Institutions Program (SIP), the American Indian Tribally Controlled Colleges and Universities (TCCUs), and Alaska Native and Native Hawaiian serving (ANNH) institutions. Part B includes the Historically Black Colleges and Universities (HBCUs) program and the Historically Black Graduate Institutions (HBGI) program. Part A provides funds to eligible institutions to plan, develop, and implement activities for development of faculty, funds, and administrative management, and improvement of academic programs, acquisition of equipment for use in strengthening academic programs and institutional management, and joint use of facilities such as libraries,

laboratories, and student services. Part B provides funds to undergraduate HBCUs and graduate HBGI. Funds may be used for purchase, rental, or lease of scientific equipment; construction, maintenance, renovation, improvement in classroom, library and other instructional facilities; support of faculty exchanges and fellowships; academic instruction in disciplines in which Blacks are underrepresented, purchase of library books, periodicals, and other educational materials; tutoring, counseling, and student services; funds and administrative management; acquisition of equipment for use in funds management; endowment funds; and joint use of facilities. Title V Developing Hispanic Serving Institutions (HSIs) program provides funds to eligible HSIs for activities such as: faculty development; funds and administrative management; development and improvement of academic programs; endowment funds; curriculum development; scientific or laboratory equipment for teaching; renovation of instructional facilities; joint use of facilities; academic tutoring; counseling programs; and student support services. The CCRAA authorizes funds for Hispanic Serving Institutions STEM and Articulation Programs to support the kinds of activities being supported under the existing Title V program, except that priority is given for applications that propose to increase the number of Hispanic and other low income students attaining degrees in fields of science, technology, engineering, and mathematics (STEM) and to applications that propose to develop model transfer and articulation agreements between the 2-year and 4-year HSIs in such fields. Funds provided for Title III and Title V may not be used for activities other than those in an approved application, activities that are inconsistent with any applicable State plan of higher education or State plan for desegregation, a school or department of divinity or any religious worship or sectarian activity; general operating and maintenance expenses; indirect costs; or supplanting of other funds available to the institution. These programs are subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

An institution of higher education that qualifies as eligible using criteria as specified in the regulations. Under Part A, specific and basic requirements as stated in the program regulations must be met. Eligible applicants include institutions that have: (1) A low average per FTE educational and general expenditure; (2) a substantial percentage of students having Pell Grants or other Federal need-based financial aid. However, a waiver of the low educational and general expenditure requirement and the needy student enrollment requirement may be granted to institutions meeting the criteria specified in the existing regulations. Under Part B HBCUs, certain historically Black institutions that were established prior to 1964, whose principal mission was, and is, the education of Black Americans are eligible. A listing of those institutions is published in program regulations; these HBCUs are also eligible under the Endowment Challenge Grant Program. Institutions eligible for HBGI program grants are specified in Title III Section 326(e)(1) of the HEA. Under the Title V HSIs program, an HSI is defined as an institution that has an enrollment of undergraduate full-time equivalent students that is at least 25 percent.

Beneficiary Eligibility:

Applicant institutions of higher education, including those in the territories and possessions that meet statutory eligibility requirements will benefit.

Credentials/Documentation:

Institutions must document that they are accredited a nationally recognized accrediting agency or that they are making satisfactory progress toward such accreditation. A 4-year institution must have authority to award a bachelor's degree. A 2-year institution must offer a program acceptable for full credit towards a bachelor's degree, or a two year program in engineering, mathematics, or the physical or biological sciences designed to prepare the student to work as a technician at a semiprofessional level. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications for funding are available from the program office. Application deadlines are published in the Federal Register.

Award Procedure:

Title III Part A applications and Title V Developing HSIs program applications are evaluated by external reviewers who are experts in postsecondary education. The Department makes final awards based primarily on the scores assigned to the applications by the external reviewers and the recommendations submitted by the staff of Institutional Development and Undergraduate Education Service, Office of Postsecondary Education.

Deadlines:

Deadline dates for each program are published in the Federal Register for both eligibility and project grants.

Range of Approval/Disapproval Time:

About six months.

Appeals:

None.

Renewals:

Under the Strengthening Institutions Program, priority is given to applicants who are not already receiving a grant. Institutions that received a 5-year development grant are not eligible to receive an additional grant until 2 years after the date on which the 5-year terminates. After fulfilling the wait-out requirement, institutions applying for another grant under the Strengthening Institutions Programs must reapply for eligibility.

Formula and Matching Requirements:

Under the Strengthening Institutions Program, Tribally Controlled Colleges and Universities, Historically Black Colleges and Universities, and Hispanic Serving Institutions, institutions of higher education may use no more than 20 percent of grant funds to establish or increase an institution's endowment fund. The endowment funds must be matched at a rate of one non-Federal dollar for each Federal dollar. Under the HBGI's program, eligible institutions that have project grants over \$1,000,000 must match the entire Federal share on a dollar-for-dollar basis.

Length and Time Phasing of Assistance:

Under the Strengthening Institutions Program, TCCUs, ANNH, and HSI's, planning grants are for a period of up to 1 year, development grants are for a period of 5 years.

Reports:

As required by the Special Grant Terms and Conditions.

Audits:

Subject to a Federal audit any time during the term of the grant and within a period of 3 years after the termination of Federal support.

Records:

Must be retained for 5 years after the end of the fiscal year during which the expenditures were made or until the grantee is notified that the records are no longer needed.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 SIP \$79,534,620; TCCUs \$23,569,920; TCCUs (CCRAA) \$0; ANNH \$11,785,000; ANNH (CCRAA) \$0; HBCUs \$238,095,000; HBCUs (CCRAA) \$0; HBGI's \$57,915,000; HSI's \$94,914,270; HSI's STEM \$0; FY 08 est SIP \$78,145,523; TCCUs \$23,158,232; TCCUs (CCRAA) \$30,000,000; ANNH \$11,579,116; ANNH (CCRAA) \$15,000,000; HBCUs \$238,094,530; HBCUs (CCRAA) \$85,000,000; HBGI's \$56,903,225; HSI's \$93,255,852; HSI's STEM \$100,000,000; and FY 09 est SIP \$78,145,523; TCCUs \$0; TCCUs (CCRAA) \$30,000,000; ANNH \$0; ANNH (CCRAA) \$15,000,000; HBCUs \$153,095,000; HBCUs (CCRAA) \$85,000,000; HBGI's \$56,903,225; HSI's \$74,442,000; HSI's STEM \$100,000,000.

Range and Average of Financial Assistance:

Not applicable because the range and average varies greatly by competition. Under the HBCU Program, grants may not be less than \$500,000 and range according to formula allotments.

PROGRAM ACCOMPLISHMENTS:

Approximately 800 grants are expected to be made in fiscal year 2008 in the Title III, Title V, and CCRAA minority serving institutions programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 607, 608, 609, 628, the Education Department General Administrative Regulations, and the College Cost Reduction and Access Act (P.L. 110-84).

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Office of Postsecondary Education, Office of Higher Education Programs, 1990 K St., N.W. Washington, DC 20006-8500. Contact: James Laws Telephone: 202-502-7719. E-mail: james.laws@ed.gov.

Web Site Address:

<http://www.ed.gov/about/offices/list/ope/ides>.

RELATED PROGRAMS:

84.033, Federal Work-Study Program.

EXAMPLES OF FUNDED PROJECTS:

The Education Department awarded grants: (1) To develop a management information system at the applicant institution; (2) to develop curriculum; (3) to develop management capabilities among administrators; (4) to develop computer-assisted instruction; and (5) to develop funds management procedures.

CRITERIA FOR SELECTING PROPOSALS:

(a) Under Title III, Title V and CCRAA programs, the Department appoints review panels to provide comments and recommend ratings for the applications. The reviewers numerically rate each application assigned and provide the Secretary with comments on each. Criteria are published in the Federal Register along with the point value for each criterion. (b) Under HBCUs and HBGI's, funds are allocated based on a statute-driven formula. To be eligible to receive a grant under the Strengthening HBCUs program, an institution must be designated by the Secretary of Education as a Historically Black College or

University. HBGI's receiving discretionary grants are specified in the program statute.

84.032 FEDERAL FAMILY EDUCATION LOANS**FEDERAL AGENCY:**

FEDERAL STUDENT AID, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part B, as amended.

OBJECTIVES:

To encourage lenders such as banks, credit unions, savings and loan associations, pension funds, insurance companies, and schools to make loans to vocational, undergraduate, and graduate students enrolled at eligible postsecondary institutions to help pay for educational expenses. The loans are insured by a State or private nonprofit guaranty agency and reinsured by the Federal government.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

To help defray costs of education at a participating school. Repayment on Federal Stafford Loans begins six months after the student ceases to carry at least one-half the normal full-time academic workload. Repayment of principal and interest on PLUS Loans generally begins within 60 days of disbursement of the last installment, repayment of a consolidation loan begins within 60 days after the proceeds of the loan have been used to discharge the liability of the borrower on the loans selected for consolidation. Deferments and forbearance of payment may be granted for certain authorized periods.

Applicant Eligibility:

Under the Federal Stafford Loan Program, any U.S. citizen, national, or person in the United States for other than a temporary purpose, who is enrolled or accepted for enrollment in a degree or certificate program on at least a half-time basis as an undergraduate, graduate, or professional student at a participating postsecondary school may apply. An otherwise eligible student is eligible for loans during a single 12-month period in which the student is enrolled in a non-degree/non-certificate course of study that the school has determined is necessary in order for the student to enroll in a program leading to a degree or certificate. Under the Federal PLUS Loan program, parents may borrow for dependent students, and beginning July 1, 2006, graduate students may borrow to support their own education. Under the Federal Unsubsidized Stafford Loan program, graduate or professional students, and independent undergraduate students may apply; under certain circumstances, a dependent undergraduate student may apply for an Federal Unsubsidized Stafford Loan. Students who are enrolled at an eligible institution on at least a half time basis in a program that is required by a State for elementary or secondary teacher certification are also eligible for Federal Family Education Loan Program (FFEL) aid (Stafford, Unsubsidized Stafford and PLUS). Under the Federal Consolidation Loan program, a borrower may have his or her Stafford, Unsubsidized Stafford, PLUS, Perkins (formerly NDSL), and Health Professions Student loans consolidated, provided that the borrower is in a grace period or repayment status on all loans being consolidated, or is a delinquent or defaulted borrower who will reenter repayment through consolidation, and does not have another application for a consolidation loan pending. Only U.S., citizens or nationals may receive a loan to attend eligible foreign postsecondary schools. A student presently enrolled at a participating institution must maintain satisfactory academic progress in the course of study he/she is pursuing. Also, the borrower may not owe a refund on any Title IV grant or be in default on any Title IV loan received for attendance at any school. The borrower must also file a statement of registration compliance (Selective Service). To receive a subsidized Stafford Loan, all borrowers must undergo a financial need analysis to determine eligibility for Federal interest and special allowance benefits. Unsubsidized Federal Stafford, PLUS, or Consolidation loans are non-need based programs. A Stafford Loan applicant who is an undergraduate student who attends a school that participates in the Pell Grant program must receive a determination of his or her Pell Grant eligibility or ineligibility before the school may certify an application for a loan. If the applicant is determined to be eligible for a Pell Grant, the applicant must apply for a Pell Grant for the enrollment period before the institution may certify an application for a FFEL loan. An Unsubsidized Stafford Loan applicant must also receive a determination of need for a loan under the Stafford Loan program and, if eligible, must apply for a Stafford Loan prior to the school's certification of the Unsubsidized Stafford Loan application.

Beneficiary Eligibility:

Generally, for the Federal Stafford Loan, Unsubsidized Stafford Loan, and PLUS Loan programs, any U.S. citizen, national, or person in the United States for other than a temporary purpose, who is enrolled or accepted for enrollment in a degree or certificate program on at least a half-time basis as an undergraduate, graduate, professional, or vocational student at a participating postsecondary school benefits. If a student is enrolled in a non-degree/noncertificate course of study, the student may be eligible for Stafford or Unsubsidized Stafford loans for one consecutive twelve-month period, if the school determines that the course of study is necessary in order for the student to enroll in a program leading to a

degree or certificate. A student enrolled on at least a half-time basis in a program required by a State for a professional credential or certificate for employment as a teacher in an elementary or secondary school located in that State is eligible to receive aid under the Stafford Loan, Unsubsidized Stafford Loan, and PLUS Loan programs. Consolidation Loan borrowers need not be enrolled in any school to be eligible.

Credentials/Documentation:

For the Federal Stafford Loan, Unsubsidized Stafford Loan, and PLUS Loan programs, the student will need certification of eligibility from the school, and may be required to supply documentation to verify the accuracy of data used in the Stafford Loan need analysis. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Information regarding application procedures may be obtained from lenders, schools, the Department of Education, States, or guaranty agencies. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications for the Federal Stafford Loan, Unsubsidized Stafford Loan, and PLUS Loan programs are obtained from a participating lender, guaranty agency, or school. Consolidation Loan applications are obtained from lenders. The borrower completes his or her portion of a Stafford, Unsubsidized Stafford, or PLUS loan application and submits it to the school. The school must certify the student meets eligibility requirements for the loan, including a certification that the student is enrolled, or accepted for enrollment as at least a half-time student, and that the student is making satisfactory progress. The school must also certify the type and amount of loan the student is eligible to receive. The lender then completes its portion of the application and forwards it to the guarantor for commitment. In some States, the guarantor issues the notice of guarantee prior to sending the application to the lender. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

If the lender agrees to make the loan, the application is forwarded to the guarantor for insurance. The lender disburses the proceeds of a Stafford, Unsubsidized Stafford, or PLUS loan to the school or, if the student is attending a foreign school, directly to the borrower. Consolidation Loan proceeds are disbursed directly to the holders of the loans selected for consolidation. In most cases, a loan must be disbursed in more than one installment over the length of the period of enrollment for which the loan was intended, based on a disbursement schedule provided to the lender by the school on behalf of the borrower. This multiple disbursement requirement does not apply to Consolidation Loans. The borrower is required to pay an insurance premium of one percent of the principal amount of a subsidized Stafford Loan, Unsubsidized Stafford Loan, or PLUS Loan. The charging of the insurance premium and the amount is determined by the guarantor. In addition, for a Stafford, Unsubsidized Stafford, or PLUS loan, the borrower is required to pay a three percent "origination fee" (on loans disbursed on or after July 1, 1994) which is used to help defray program costs. All of these fees are normally deducted proportionately from each disbursement of the loan proceeds. Under the Higher Education Reconciliation Act, these fees would be reduced to 2 percent on July 1, 2006; 1.5 percent on July 1, 2007; 1 percent on July 1, 2008; and 0.5 percent on July 1, 2009. The fees would be eliminated as of July 1, 2010.

Deadlines:

None.

Range of Approval/Disapproval Time:

Varies.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Applicants may apply for a loan for any year of school. The College Cost Reduction and access Act (CCRAA) of 2007 authorized phased reductions to the interest rates for Subsidized Stafford loans borrowed by undergraduates with the rate cut in half to 3.4 percent on July 1, 2011. Repayment generally is made over a period of 10 years for Federal Stafford, and PLUS Loans, excluding periods of authorized deferment and forbearance, but extended repayment plans are available. The repayment period for a Consolidation Loan depends on the amount of the loan and may be up to 30 years, exclusive of periods of authorized deferment and forbearance. CCRAA created a new income-based repayment plan for those borrowers meeting a standard of "partial financial hardship" based on their annual repayment amount in relation to their adjusted gross income. Generally a borrower would not have to repay on a monthly basis more than 15 percent of their discretionary income. Any outstanding balance would be forgiven after 25 years of repayment in the income-based repayment option.

Reports:

Lenders submit quarterly reports of loans outstanding in order to receive interest benefits and special allowance payments. Guaranty agencies submit reports in

order to receive reinsurance and administrative payments. Additional operational reports are required for specific purposes.

Audits:

Lenders, schools (except foreign schools), and guaranty agencies are subject to examination and program review by the Department of Education. Generally, program reviews are performed on a fiscal year basis. Participating schools must have an independent audit of all Stafford Loan Program transactions at least annually. Guaranty agencies must have independent financial and compliance audits annually. Guaranty agencies must conduct annual reviews of the ten largest loan volume lenders in their areas as well as schools with default rates above 20 percent that do not have a default management plan approved by the Secretary.

Records:

Lenders must maintain records to support and identify loan transactions, interest billings, and/or special allowance. Guaranty agencies must maintain complete records to support and identify their activities. Federal regulations specify administrative and fiscal records required to be maintained by schools.

Account Identification:

91-4251-0-1-502.

Obligations:

Aid available (Loan volume net of consolidations) FY 07 \$51,320,000,000; FY 08 est \$56,242,000,000; and FY 09 est \$59,308,000,000. FFEL Consolidation volume: FY 07 \$46,941,000,000; FY 08 est \$33,940,000,000; and FY 09 est \$38,863,000,000.

Range and Average of Financial Assistance:

Varies depending on the type of loan.

PROGRAM ACCOMPLISHMENTS:

Over 13.1 million loans were made in fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 682.

Regional or Local Office:

See list of Regional Offices listed in Appendix IV of the Catalog. Each State with an operating guaranty agency maintains an office in its respective State. A list of guaranty agency addresses is available from the address below.

Headquarters Office:

Office of Postsecondary Education, Department of Education, Washington, DC 20202. Telephone: (800) 433-3243.

Web Site Address:

<http://www.ifap.ed.gov>.

RELATED PROGRAMS:

64.117, Survivors and Dependents Educational Assistance; 84.007, Federal Supplemental Educational Opportunity Grants; 84.033, Federal Work-Study Program; 84.038, Federal Perkins Loan Program_Federal Capital Contributions; 84.042, TRIO_Student Support Services; 84.044, TRIO_Talent Search; 84.047, TRIO_Upward Bound; 84.063, Federal Pell Grant Program; 84.066, TRIO_Educational Opportunity Centers; 84.069, Leveraging Educational Assistance Partnership.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.033 FEDERAL WORK-STUDY PROGRAM

FEDERAL AGENCY:

FEDERAL STUDENT AID, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part C; 42 U.S.C. 2751-2756a.

OBJECTIVES:

To provide part-time employment to eligible postsecondary students to help meet educational expenses and encourage students receiving program assistance to participate in community service activities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

++The Federal share of compensation paid to students does not exceed 75 percent for work on campus, or work in a public agency or private nonprofit organization, unless waived by the Secretary. The Federal share of compensation paid to students does not exceed 50 percent for jobs with a private-for-profit organization. No more than 25 percent of an institution's work-study allocation may be used for private-for-profit organizations. If it provides FWS employment to its students in that year, an institution is entitled to an administrative cost allowance for an award year of 5 percent of the first \$2,750,000 of its program expenditures, plus up to 4 percent for expenditures greater than \$2,750,000 but less than \$5,500,000 plus up to 3 percent of expenditures in excess of \$5,500,000. Effective with the 1994-95 award year, an institution is required to use at least 5 percent of its total FWS allocation to pay the Federal share of wages to students employed in community service jobs unless it receives a waiver.

Applicant Eligibility:

Higher education institutions (public, private nonprofit, postsecondary vocational, and proprietary) meeting eligibility requirements may apply.

Beneficiary Eligibility:

Undergraduate, graduate, or professional students enrolled or accepted for enrollment as regular students; are maintaining satisfactory academic progress in accordance with the standards and practices of the institution, have financial need, do not owe a refund on a Title IV grant, are not in default on a Title IV loan, file a statement of educational purpose, file a statement of registration compliance (Selective Service) and meet citizen/resident requirements may benefit.

Credentials/Documentation:

Institutions must document their eligibility and students must demonstrate financial need. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Representatives of the appropriate ED Regional Office are available for consultation. See listing of ED Regional Offices in Appendix IV of the Catalog. Students should contact the institution(s) they attend or plan to attend. This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Participating institutions submit a Fiscal Operations Report/Application to Participate in Federal Student Financial Aid programs (ED Form 646-1) which is mailed to institutions of postsecondary education each summer by the Office of Federal Student Aide. Assistance. New institutions submit only the application form. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

The Office of Student Financial Aid determines final awards and notifies participating institutions.

Deadlines:

Specified on the application (usually October 1).

Range of Approval/Disapproval Time:

Notices of tentative awards sent by February 1 and final award notifications sent by April 1.

Appeals:

None.

Renewals:

Annual.

Formula and Matching Requirements:

Under the funding formula, funds are distributed to institutions, first, on the basis of the institution's base guarantee plus the pro rata share received during the 1999-2000 award year under the FWS Program and, then, on the basis of the aggregate need of the eligible students in attendance. Employers of FWS recipients must contribute 25 percent of the funding, (except in the case of private, for-profit organizations, which must match 50 percent) and in the case of established criteria for which the matching requirement is waived.

Length and Time Phasing of Assistance:

Funds are awarded for use by participating institutions for one award year at a time following the year of application.

Reports:

A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (DE 646-1) is submitted by each institution at the close of each award year (June 30).

Audits:

Institutions must have a nonfederal audit done on at least an annual basis at its own expense. Audits may also be performed at Government expense by the Department of Education's Office of the Inspector General as often as its time schedule will allow or when deemed necessary by the Secretary.

Records:

All records pertaining to activities of a given fiscal year must be retained for a period of three years following the institution's submission of its Fiscal Operations Report for an award year or until final resolution of an applicable audit, whichever is later.

Account Identification:

91-0200-0-1-502.

Obligations:

(Federal share) FY 07 \$980,354,000; FY 08 est \$980,492,000; and FY 09 est \$980,492,000.

Range and Average of Financial Assistance:

The average award was \$1,478.

PROGRAM ACCOMPLISHMENTS:

Institutions will award \$1,171,395 to about 792,554 recipients.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 675. Notification to Members of Congress, list of grantees, published annually or as required, no charge; Student Guide, no charge; Student Financial Aid Handbook, no charge; Federal Needs Analysis Methodology, no charge; Verification Handbook, no charge; Training Materials, no charge.

Regional or Local Office:

Students should contact the educational institution(s) they attend or plan to

attend. Public and private nonprofit organizations should contact grantee educational institutions in their own State or multi-State areas. Educational institutions should contact the Regional Administrator for Student Financial Assistance in the appropriate ED Regional Office (see Appendix IV of the Catalog).

Headquarters Office:

Federal Student Aid (FSA), Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-5446. Contact: Federal Student Aid Information Center Telephone: Call 1-800-4FED-AID (800-433-3243).

Web Site Address:

<http://ifap@ed.gov>.

RELATED PROGRAMS:

64.117, Survivors and Dependents Educational Assistance; 84.007, Federal Supplemental Educational Opportunity Grants; 84.031, Higher Education Institutional Aid; 84.032, Federal Family Education Loans; 84.038, Federal Perkins Loan Program; Federal Capital Contributions; 84.042, TRIO Student Support Services; 84.044, TRIO Talent Search; 84.047, TRIO Upward Bound; 84.063, Federal Pell Grant Program; 84.066, TRIO Educational Opportunity Centers; 84.069, Leveraging Educational Assistance Partnership.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.037 PERKINS LOAN CANCELLATIONS

FEDERAL AGENCY:

FEDERAL STUDENT AID (FSA), DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part E, as amended, Public Law 103-268, 20 U.S.C. 421-429.

OBJECTIVES:

To reimburse institutions for their share of loans canceled for Perkins Loan recipients who become teachers or who perform full-time active military service in the U.S. Armed Forces, and to restore to institutional revolving funds the full amounts of Direct and Federal Perkins Loans canceled for certain types of teaching service, Head Start service, military service in areas of hostility, law enforcement or corrections officer service, service as a nurse or medical technician, service to certain types of high risk children, and (for Federal Perkins Loans only) volunteer service.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

None for reimbursements but restorations may be used only for loan fund purposes.

Applicant Eligibility:

Higher education institutions (public, other nonprofit, postsecondary vocational and proprietary) meeting the eligibility requirements may apply.

Beneficiary Eligibility:

For Federal Perkins and National Direct Loan borrowers with loans made on or after July 23, 1992, and for Federal Perkins National Direct and Defense loan borrowers with an outstanding balance as of October 7, 1998, on loans made before 7/23/92. Loan cancellation is available for service as a full-time teacher in a designated elementary or secondary school having a high enrollment of children from low-income families; as a full-time staff member in a Head Start Program; as a member of the Armed Forces (in an area of hostilities); as a volunteer in the Peace Corps or ACTION programs as a full-time law enforcement officer or corrections officer serving local, State, or Federal agencies; as a full-time special education teacher, including teachers of infants, toddlers, children, or youth with disabilities in a public or other nonprofit elementary or secondary school system, or as a full-time qualified professional provider of early intervention services in a public or other nonprofit program under public supervision; as a full-time teacher of mathematics, science, foreign languages, bilingual education, or any other field of expertise that is determined by the State education agency to have a shortage of qualified teachers; as a full-time nurse or medical technician; or as a full-time employee of a public or private nonprofit child or family service agency that is providing or supervising the provision of services to high risk children and their families from low-income communities. Cancellation provisions are different for loans made before July 23, 1992.

Credentials/Documentation:

Cancellation certificates require documenting public service. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

In case of loans canceled under provisions of the National Defense Education Act, the institution receives funds from the Department of Education equal to

the institutional contribution of the amounts canceled; for loans canceled pursuant to Title IV, Part E, of the Higher Education Act, the institution receives funds from the Department of Education to restore the full amount canceled. No application form is required. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Reimbursements are made annually upon acceptance of the institution's Fiscal Operations Report.

Deadlines:

None.

Range of Approval/Disapproval Time:

Reimbursements are made upon acceptance of the Fiscal Operations Reports.

Appeals:

None.

Renewals:

Not applicable. Payments are based on entitlement.

Formula and Matching Requirements:

Under the National Defense Education Act, as amended, payments are based on ratio of institutional matching monies to total loan amount. The payment replaces institutional funds (not less than one-tenth of loan) which were canceled for teaching and/or military service. For loans canceled pursuant to the Higher Education Act, the full amount canceled for teaching, Head Start, military, volunteer, law enforcement or corrections officer, nurse or medical technician, and child or family service agency is restored to the fund at the institution.

Length and Time Phasing of Assistance:

Reimbursement takes place upon acceptance of an annual fiscal operations report.

Reports:

A fiscal operations report is submitted by each institution at the close of each award year (June 30).

Audits:

Periodic audits of loan cancellation at participating institutions will be made in conformance with rules applicable to the Federal Perkins Loan Program.

Records:

Records pertaining to the eligibility and repayment history of each loan recipient must be retained by the institutions for three years after the final repayment or cancellation occurs or until the loan is assigned. An institution must keep other records for a period of three years following the institution's submission of its fiscal operations report for an award year or until final resolution of an applicable audit, whichever is later.

Account Identification:

91-0200-0-1-502.

Obligations:

(Direct payments) FY 07 \$65,471,000; FY 08 est \$64,327,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

Not currently available.

PROGRAM ACCOMPLISHMENTS:

Not currently available.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 674; Student Guide, no charge; Student Financial Aid Handbook, no charge; notification to Members of Congress, list of grantees published annually or as required, no charge; Federal Needs Analysis Methodology Booklet, no charge; Verification Handbook, no charge; training materials, no charge.

Regional or Local Office:

Regional Administrator for Student Financial Assistance in the appropriate Regional Office. See Appendix IV of the Catalog.

Headquarters Office:

Federal Student Aid Office, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-5347. Telephone: (800) 433-3243.

Web Site Address:

<http://ifap.ed.gov>.

RELATED PROGRAMS:

64.117, Survivors and Dependents Educational Assistance; 84.007, Federal Supplemental Educational Opportunity Grants; 84.031, Higher Education Institutional Aid; 84.032, Federal Family Education Loans; 84.033, Federal Work-Study Program; 84.042, TRIO_Student Support Services; 84.044, TRIO_Talent Search; 84.047, TRIO_Upward Bound; 84.063, Federal Pell Grant Program; 84.066, TRIO_Educational Opportunity Centers; 84.069, Leveraging Educational Assistance Partnership.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.038 FEDERAL PERKINS LOAN PROGRAM-FEDERAL CAPITAL CONTRIBUTIONS

FEDERAL AGENCY:

FEDERAL STUDENT AID, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part E, as amended, Public Law 103-394, 20 U.S.C. 1087aa-1087hh.

OBJECTIVES:

To provide low interest loans to eligible postsecondary students with demonstrated financial need to help meet educational expenses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Institutions use funds to make loans to needy, eligible students for meeting educational expenses. The maximum annual loan amount limit for an eligible student attending an institution is \$6,000 for a graduate or professional student or \$4,000 for undergraduates. The maximum aggregate loan amount for an eligible student attending an institution is \$40,000 for a graduate or professional student, including loans borrowed as an undergraduate student, or \$20,000 for a student who has successfully completed 2 years of a program of undergraduate study and \$8,000 for any other student. For a program of study abroad that has reasonable costs in excess of the home costs, the annual and aggregate limits may be exceeded by 20 percent. An institution is entitled to an administrative cost allowance for an award year if it advances funds to students in that year under the Federal Perkins Loans Program, in an amount equal to five percent of the first \$2,750,000 in expenditures, plus 4 percent for expenditures greater than \$2,750,000 but less than \$5,500,000, plus 3 percent for expenditures in excess of \$5,500,000.

Applicant Eligibility:

Higher education institutions (public, private nonprofit, postsecondary vocational, and proprietary) meeting eligibility requirements.

Beneficiary Eligibility:

Undergraduate, graduate, or professional students enrolled or accepted for enrollment as regular students in an eligible program, are maintaining satisfactory academic progress in accordance with the standards and practices of the institution, have financial need, do not owe a refund on a Title IV grant, are not in default on a Title IV loan, file a statement of educational purpose, file a statement of registration compliance (Selective Service) and meet citizen/resident requirements.

Credentials/Documentation:

Institutions must document their eligibility and students must demonstrate financial need. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Representatives of the appropriate ED Regional Office are available for consultation. See listing of ED Regional Offices in Appendix IV. Students should contact the institution(s) they attend or plan to attend. This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Participating institutions submit a Fiscal Operations Report/Application to Participate in Federal Student Financial Aid Programs (ED Form 646-1) which is mailed to institutions of postsecondary education each summer by the Office Federal Student Aid. New institutions submit only the application form. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

The Office Federal Student Aid determines final awards and notifies participating institutions.

Deadlines:

Specified on the application (usually around October 1).

Range of Approval/Disapproval Time:

Notices of tentative awards sent by February 1 and final award notification sent by April 1.

Appeals:

None.

Renewals:

Annual.

Formula and Matching Requirements:

Funds are allocated to institutions on the basis of the institution's guarantee allocations and demonstrated need for funding. Institutions award funds to students on the basis of financial need as determined by the Federal Needs Analysis Methodology specified in statute. The institutional capital contribution (ICC) for institutions is one-third of the FCC (or 25 percent of the combined FCC and ICC).

Length and Time Phasing of Assistance:

Funds are awarded for use by participating institutions for one award year at a time following the year of application.

Reports:

A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (ED Form 646-1) is submitted by each institution at the close of each award year of operation (June 30).

Audits:

Institutions must have a nonfederal audit done on at least an annual basis at its own expense. Audits may also be performed at Government expense by the

Department of Education's Office of the Inspector General as often as its time schedule will allow or when deemed necessary by the Secretary.

Records:

Except for loan records and records of expenditures questioned in audits or Departmental program reviews, an institution must keep records for an award year for three years after submitting its Fiscal Operations Report for an award year, or until final resolution of an applicable audit or program review, whichever is later. An institution must keep loan repayment records for at least 3 years from the date of satisfaction of the loan or assignment of the loan to the United States, or it is canceled.

Account Identification:

91-0200-0-1-502.

Obligations:

(Federal capital contribution) FY 07 \$0; FY 08 est \$0; and FY 09 \$0.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 674; Student Guide, no charge; Student Financial Aid Handbook, no charge; notification to Members of Congress, list of grantees published annually or as required, no charge; Federal Needs Analysis Methodology Booklet, no charge; Verification Handbook, no charge; training materials, no charge.

Regional or Local Office:

Students should contact the educational institution(s) they attend or plan to attend. Educational institutions should contact the Regional Administrator for Student Financial Assistance in appropriate ED Regional Office. See Appendix IV of the Catalog.

Headquarters Office:

Office Federal Student Aid, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-5446. Telephone: (800) 433-3243.

Web Site Address:

<http://ifap.ed.gov>.

RELATED PROGRAMS:

64.117, Survivors and Dependents Educational Assistance; 84.007, Federal Supplemental Educational Opportunity Grants; 84.032, Federal Family Education Loans; 84.033, Federal Work-Study Program; 84.042, TRIO_Student Support Services; 84.044, TRIO_Talent Search; 84.047, TRIO_Upward Bound; 84.063, Federal Pell Grant Program; 84.066, TRIO_Educational Opportunity Centers; 84.069, Leveraging Educational Assistance Partnership.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.040 IMPACT AID-FACILITIES MAINTENANCE

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, Title VIII, Section 8008, as amended.

OBJECTIVES:

To maintain school facilities owned by the Department of Education and operated by local educational agencies (LEAs), and to transfer those facilities to the LEAs, where appropriate.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To maintain federally owned school facilities and restore or improve them where appropriate to enable an LEA to accept ownership. The Department directly oversees construction projects, unless the LEA has agreed to accept transfer of the facility, in which case funds may be provided to the LEA to complete the project after the transfer. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

LEAs that operate school facilities owned by the Department of Education.

Beneficiary Eligibility:

Public elementary and secondary school children benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Contact the Program Office for information. This program is excluded from coverage under E.O. 12372.

Application Procedure:

There is no application form for Section 8008 grants. Contact the program office for information.

Award Procedure:

The Department of Education makes final decisions to fund projects.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Approved construction projects may be revised during construction, subject to appropriate approvals.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The length and terms of an award will be established prior to the award.

Reports:

In accordance with OMB Circular No. A-102 (Attachments H and I) and Education Department General Administrative Regulations (EDGAR) for direct project grant and contract programs, Part 100a, Subpart F. See 34 CFR 74, Subpart H, Standards for Grantees Financial Management Systems.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with OMB Circular No. A-102 (Attachment C) and Education Department General Administrative Regulations, for direct project grant and contract programs, Part 100a, Subpart F. See 34 CFR 74, Subpart H, Standards for Grantees Financial Management Systems.

Account Identification:

91-0102-0-1-501.

Obligations:

(Grants) FY 07 \$4,950,000; FY 08 est \$4,864,000; and FY 09 est \$4,864,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Emergency repairs are made to schools with funds from this program. Some facilities are improved to the extent that LEAs accept ownership.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published at 34 CFR 222.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OESE Impact Aid Programs 400 Maryland Ave., S.W., Rm. 3E105, FB-6 Washington DC 20202-6244. Contact: Catherine Schagh. E-mail: impact.aid@ed.gov Telephone: (202) 260-3858.

Web Site Address:

<http://www.ed.gov/about/offices/list/oese/impactaid/index.html>.

RELATED PROGRAMS:

84.041, Impact Aid.

EXAMPLES OF FUNDED PROJECTS:

Additions of classrooms to existing buildings. Repairs of schools to provide access for the disabled and to ensure the safety of building users. Construction of a new school to replace three outdated facilities on a military base.

CRITERIA FOR SELECTING PROPOSALS:

Emergency repairs are funded as needed. Priority for more extensive projects is given to LEAs that agree to accept transfer of the school facility.

84.041 IMPACT AID

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act, Title VIII, as amended.

OBJECTIVES:

To provide financial assistance to local educational agencies (LEAs) where affected by Federal activities, i.e., where the tax base of a district is reduced through the Federal acquisition of real property (Section 8002, ESEA) and; where the presence of certain children living on Federal property places a financial burden on the LEAs that educate them (Sections 8003 and 8007(b), ESEA).

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Most assistance becomes part of the general operating fund of the LEAs. Districts usually deposit the Federal payment in the general operating funds

account in accordance with State laws, together with all State and local funds available for current operating expenses and use this combined account to finance the school program in the district. Special additional payments made to LEAs related to enrollments of federally connected children with disabilities must be used for programs and projects designed to meet the special educational and related needs of these children. Payments for construction may be used for construction and renovation, debt service, or other capital fund activities. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Local educational agencies that provide free public elementary or secondary education may apply. Under Section 8002, generally, assistance is provided to districts where an aggregate of 10 percent or more of the assessed valuation of all real property in the school district as of the time(s) of acquisition) has been acquired by the Federal Government since 1938 and the district is not being substantially compensated by revenue from activities on the eligible Federal property. Under Section 8003, assistance is provided to districts where at least three percent or 400 of the total number of eligible federally connected children in average daily attendance (ADA) live on Federal property including Indian lands and/or have a parent who works on Federal property or is on active duty in the uniformed services. Under Section 8007(b), LEAs that enroll a high proportion (at least 40 percent) of federally connected children, have little or no funding capacity, or one "heavily impacted" districts may be eligible for a competitive construction award.

Beneficiary Eligibility:

Public elementary and secondary school children benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372, Intergovernmental Review of Federal Programs.

Application Procedure:

Applications are submitted to the Secretary of Education and a copy is sent to the State educational agency (SEA). A local educational agency may secure advice and an application form (ED Form 4019) from its SEA. Applications must show the membership of federally connected children on the survey date chosen by the school district within the period prescribed by the Secretary.

Award Procedure:

Funds are generally made available, or when an appropriation is made.

Deadlines:

Contact the Program Office for deadlines.

Range of Approval/Disapproval Time:

Contact the Program Office for this information.

Appeals:

An administrative law hearing is available for any LEA or State adversely affected by any action of the Secretary. The hearing must be requested under the time frame included under the program regulations.

Renewals:

None.

Formula and Matching Requirements:

Under Section 8002, payments are determined under statutory formula that consider the assessed valuation of the property acquired by the Federal government and the LEA's local tax rate for current expenditures. Under Section 8003, payments are determined under a statutory formula that considers the average daily attendance of federally connected students for the previous year, the per pupil expenditure average from the third preceding year, the weight in the statute attached to certain categories of federally connected students, the total current expenditures of the LEA, and the total membership of the LEA. Under Section 8007(b), payments are awarded on a competitive basis to certain eligible LEAs that receive Impact Aid.

Length and Time Phasing of Assistance:

Payments are made annually to eligible LEAs.

Reports:

None.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The program staff conducts mail-in or on-site reviews to verify application data and collect additional required information.

Records:

In accordance with the General Education Provision Act and program regulations, an LEA generally must retain records supporting its payment amount for 3 years after the last payment for a fiscal year.

Account Identification:

91-0102-0-1-501.

Obligations:

(Grants) FY 07 \$1,223,503,000; FY 08 est \$1,235,853,000; and FY 09 est \$1,235,853,000.

Range and Average of Financial Assistance:

For 2008, the range of awards is expected to be \$500 - \$6,000,000 for Section 8002, \$100 - \$46,000,000 for Section 803, and \$40,000 - \$3,000,000 for Section 8007(b); the average award is expected to be \$291,856 for Section 8002, \$884,000 for Section 8003, or \$1,170,000 for Section 8007(b).

PROGRAM ACCOMPLISHMENTS:

For 2008, grants on behalf of an estimated 955,000 children were awarded to approximately 1,244 LEAs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are included in 34 CFR 222.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OESE Impact Aid Programs 400 Maryland Ave., S.W., Washington DC 20202-6244. Contact: Catherine Schagh; E-mail: impact.aid@ed.gov. Telephone: (202) 260-3858.

Web Site Address:

<http://www.ed.gov/about/offices/list/oease/impactaid/index.html>.

RELATED PROGRAMS:

84.040, Impact Aid Facilities Maintenance.

EXAMPLES OF FUNDED PROJECTS:

Under Section 8002 and 8003, formula payments are generally deposited in eligible LEA, general fund accounts and are used for basic operating costs within the LEA, including teacher salaries, utilities, books, and supplies. For Section 8007(b), the most recent competitive construction grant were awarded in the Summer of 2006. Funds were used by LEAs to replace failing alarm systems, install waste water treatment facilities, repair lighting, electrical and air exchange systems, repair roofs, floors, and windows, and other emergency repairs.

CRITERIA FOR SELECTING PROPOSALS:

Payments are computed on the basis of the statutory formula. Payments are prorated if appropriations are insufficient.

84.042 TRIO-STUDENT SUPPORT SERVICES

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 2, Chapter 1, Section 402D, as amended, 20 U.S.C. 1070a-14.

OBJECTIVES:

To provide supportive services to disadvantaged college students to enhance their potential for successfully completing the postsecondary education program in which they are enrolled and increase their transfer rates from 2-year to 4-year institutions. To foster an institutional climate supportive of the success of disadvantaged college students.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used to provide eligible project participants personal and academic counseling, career guidance, instruction, mentoring, and tutoring and to facilitate the entrance of project participants into 4-year programs of study or graduate and professional programs. Projects may provide exposure to cultural events and academic activities not usually available to disadvantaged students. Projects may also provide services that are specially designed for students of limited English proficiency. A grantee may use the project to provide services to students enrolled or accepted for enrollment during the next enrollment period at the institution. Funds may also be used for grant awards to students under certain conditions.

Applicant Eligibility:

Institutions of higher education and combinations of institutions of higher education may apply.

Beneficiary Eligibility:

Low-income, first generation college students or disabled students who are enrolled or accepted for enrollment at the institution which is the recipient of the grant and who are in need of academic support in order to successfully pursue a program of postsecondary education. At least two-thirds of the project participants must be disabled or must be low-income individuals who are first generation college students. The remaining participants must be disabled, low-income individuals, or first generation college students. One-third of the disabled participants must be low-income. Required low-income criteria for participants are stated in application materials.

Credentials/Documentation:

Costs will be determined in accordance with Education Department General

Administrative Regulations (EDGAR). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Develop a proposal in accordance with regulations, applications, and timetables issued by the Secretary of Education; no State Plan is required. Requests for program applications should be made to the Office of Federal TRIO Programs, College and University Support Team. This program is subject to the provisions of EDGAR.

Award Procedure:

Selected nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 646.21 and 646.22. Grants are awarded through the Federal TRIO Programs office.

Deadlines:

Deadlines are published in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

None.

Renewals:

Continuation awards for four or five years are approved on an annual basis; subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Four or five year projects, annual awards. Continuation awards are subject to the availability of funds.

Reports:

Performance reports are submitted on an annual basis.

Audits:

In accordance with Education Department General Administrative Regulations, 34 CFR 75.702 and 74.61.

Records:

In accordance with Education Department General Administrative Regulations, 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$271,566,777; FY 08 est. \$281,000,000; and FY 09 est. \$282,275,000.

Range and Average of Financial Assistance:

The estimated average award in 2008 is \$297,400. The minimum grant award is \$220,000 per year.

PROGRAM ACCOMPLISHMENTS:

The program supported 949 projects serving nearly 200,000 students in 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, 34 CFR 646 and Education Department General Administrative Regulations (EDGAR).

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Office of Postsecondary Education, Federal TRIO Programs, 400 Maryland Ave. S.W., Washington, DC 20202-5249. Contact: Deborah Walsh, Telephone: (202) 502-7694. E-mail: trio@ed.gov.

Web Site Address:

<http://www.ed.gov/programs/triostudsupp/>.

RELATED PROGRAMS:

84.044, TRIO_Talent Search; 84.047, TRIO_Upward Bound; 84.066, TRIO_Educational Opportunity Centers; 84.103, TRIO Staff Training Program; 84.217, TRIO_McNair Post-Baccalaureate Achievement.

EXAMPLES OF FUNDED PROJECTS:

Projects at colleges, universities, and community and junior colleges provide support (counseling, tutoring, instruction, and other special services) for low-income, first-generation college students and for disabled students. Some projects also provide supportive services especially designed for students of limited English proficiency.

CRITERIA FOR SELECTING PROPOSALS:

Continuation awards are made on the basis of 34 CFR 75.253. For new awards, applicants will be selected under this part on the basis of the criteria set forth in 34 CFR 646.21. Contact the program office for additional information.

84.044 TRIO-TALENT SEARCH

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF

EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 2, Sections 402B, as amended, 20 U.S.C. 1070a-12.

OBJECTIVES:

To identify disadvantaged youths with potential for postsecondary education; to encourage them in continuing in and graduating from secondary school and in enrolling in programs of postsecondary education; to publicize the availability of student financial aid; and to increase the number of secondary and postsecondary school dropouts who reenter an educational program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are awarded to institutions and agencies to identify disadvantaged youths with potential for postsecondary education, encourage them to complete secondary school and undertake postsecondary educational training, and publicize existing forms of student aid. Projects may also provide tutorial services for youths being encouraged to undertake or reenter programs of postsecondary education. A grantee may not use the project as a part of its recruitment program.

Applicant Eligibility:

Institutions of higher education, public and private agencies and organizations, a combination of the above and, in exceptional cases, secondary schools may apply.

Beneficiary Eligibility:

Individuals residing in the target area or attending a target school who have potential for education at the postsecondary level and who can benefit from one or more of the services provided by the project. Two-thirds must be low-income individuals who are also potential first generation college students. Project participants must be between 11 and 27 years old (exceptions allowed). Required low-income criteria for participants are stated in application materials.

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Develop a proposal in accordance with regulations, applications, and timetables issued by the Secretary of Education; no State plan is required. Requests for program applications should be made to the Federal TRIO Programs. This program is subject to the provisions of the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 643.21 and 34 CFR 643.22. Grantees will be selected on the basis of satisfactory proposals and availability of funds.

Deadlines:

Deadlines are published in the Federal Register.

Range of Approval/Disapproval Time:

About 90 to 180 days.

Appeals:

None.

Renewals:

Continuation awards are approved for up to 5 years on an annual basis, subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Four or 5-year projects, annual awards. Continuations are subject to the availability of funds.

Reports:

Performance reports are required on an annual basis.

Audits:

In accordance with the Education Department General Administrative Regulations (EDGAR), 34 CFR (75.702, 74.61.)

Records:

In accordance with 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$142,884,182; FY 08 est \$142,900,000; and FY 09 est \$142,884,000.

Range and Average of Financial Assistance:

The average 2008 award is expected to be approximately \$303,000. The minimum grant award is \$220,000.

PROGRAM ACCOMPLISHMENTS:

The program supported 471 projects serving approximately 364,000 students in 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and 34 CFR 643.

Regional or Local Office:

None.

Headquarters Office:

Federal TRIO Programs, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202- 5249.

Contact: Loretta Brown, E-mail: trio@ed.gov; Telephone: (202) 502-7539.

Web Site Address:

<http://www.ed.gov/programs/triotalent/>.

RELATED PROGRAMS:

84.007, Federal Supplemental Educational Opportunity Grants; 84.032, Federal Family Education Loans; 84.033, Federal Work-Study Program; 84.038, Federal Perkins Loan Program_Federal Capital Contributions; 84.042, TRIO_Student Support Services; 84.047, TRIO_Upward Bound; 84.063, Federal Pell Grant Program; 84.066, TRIO_Educational Opportunity Centers; 84.103, TRIO Staff Training Program; 84.217, TRIO_McNair Post-Baccalaureate Achievement.

EXAMPLES OF FUNDED PROJECTS:

Projects operated by institutions of higher education and public and private agencies and organizations to provide low-income, potential first generation college students with information on existing forms of financial aid. Encourage qualified students to return to or continue in and graduate from secondary school and enroll in programs of postsecondary education.

CRITERIA FOR SELECTING PROPOSALS:

For new awards, applicants will be selected on the basis of the criteria set forth in 34 CFR 643.21.

84.047 TRIO-UPWARD BOUND**FEDERAL AGENCY:**

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 2, Sections 402C, as amended, 20 U.S.C. 1070a-13.

OBJECTIVES:

To generate skills and motivation necessary for success in education beyond high school among low-income and potential first-generation college students and veterans. The goal of the program is to increase the academic performance and motivational levels of eligible enrollees so that such persons may complete secondary school and successfully pursue postsecondary educational programs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are awarded to eligible grantees to provide academic instructional programs, personal and academic counseling, career guidance and special instruction to prepare project participants for careers in which persons from disadvantaged backgrounds are particularly underrepresented, tutoring, and exposure to cultural events and academic programs not usually available to disadvantaged youths. Funds are used to support a residential summer program and academic year program, and to pay stipends to students, not to exceed \$40 a month during the academic year and \$60 a month during the summer. A grantee may not use the project as part of its recruitment program.

Applicant Eligibility:

Institutions of higher education, public or private not-for-profit agencies, a combination of the above, and in exceptional cases, secondary schools may apply.

Beneficiary Eligibility:

Low-income individuals and potential first generation college students who have a need for academic support in order to successfully pursue a program of postsecondary education. Two-thirds of the participants must be low-income individuals who are also potential first generation college students. The remaining participants must be either low-income individuals or potential first generation college students. Required low-income criteria are stated in application materials. Except for veterans, who can be served regardless of age, project participants must be between 13 and 19 years old and have completed the eighth grade but have not entered the twelfth grade (exceptions allowed).

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from

coverage under OMB Circular No. A-102.

Application Procedure:

Develop a proposal in accordance with regulations, application and timetables issued by the Secretary of Education. No State plan is required. Requests for program applications should be made to the Federal TRIO Programs, College and University Preparation and Support Team. This program is subject to the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected nonfederal field readers who are not employees of the Federal Government evaluate new proposals on the basis of the selection criteria specified in 34 CFR 645.31 and 34 CFR 645.32. Grantees will be selected on the basis of rank order of total scores and availability of funds.

Deadlines:

Deadlines are published in the Federal Register.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

None.

Renewals:

Continuation awards for up to five years approved on an annual basis; subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Four or five year projects; annual awards. Continuations are subject to the availability of appropriations.

Reports:

Performance reports are submitted on an annual basis.

Audits:

In accordance with the Education Department General Administrative Regulations (EDGAR), 34 CFR 75.702, 74.61.

Records:

In accordance with 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$314,169,000; FY 08 est \$358,449,000; and FY 09 est \$358,181,000.

Range and Average of Financial Assistance:

In fiscal year 2008, the average expected awards is \$318,000. The minimum grant award is \$250,000.

PROGRAM ACCOMPLISHMENTS:

In 2008, the program will support 1,127 projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and regulations 34 CFR 645.

Regional or Local Office:

Department of Education regional offices. See Appendix IV in the Catalog.

Headquarters Office:

Federal TRIO Programs, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-5249. Contact: Gaby Watts, E-mail: trio@ed.gov; Telephone: (202) 502-7545.

Web Site Address:

<http://www.ed.gov/programs/trioupbound/>.

RELATED PROGRAMS:

84.007, Federal Supplemental Educational Opportunity Grants; 84.032, Federal Family Education Loans; 84.033, Federal Work-Study Program; 84.038, Federal Perkins Loan Program_Federal Capital Contributions; 84.042, TRIO_Student Support Services; 84.044, TRIO_Talent Search; 84.063, Federal Pell Grant Program; 84.066, TRIO_Educational Opportunity Centers; 84.103, TRIO Staff Training Program; 84.217, TRIO_McNair Post-Baccalaureate Achievement.

EXAMPLES OF FUNDED PROJECTS:

Grants to colleges, universities and other institutions to generate skills and motivation necessary for low-income, potential first generation college students to be successful in postsecondary institutions. Regular Upward Bound projects provide high school students with both summer and academic year services. Veterans Upward Bound projects provide short-term services, designed to prepare eligible veterans for acceptance into a postsecondary program. Upward Bound Math and Science Regional Centers provide high school students with summer academic services for interested math and science students.

CRITERIA FOR SELECTING PROPOSALS:

For new awards, applicants will be selected on the basis of the criteria set forth in 34 CFR 645.31.

84.048 CAREER AND TECHNICAL EDUCATION -- BASIC GRANTS TO STATES**FEDERAL AGENCY:**

OFFICE OF ASSISTANT SECRETARY FOR VOCATIONAL AND ADULT EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Carl D. Perkins Career Technical Education Act of 2006, Title I.

OBJECTIVES:

To develop more fully the academic, career, and technical skills of secondary and postsecondary students who elect to enroll in career and technical education programs.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Each State may keep up to 5 percent of its award for state administration and up to 10 percent of its award to fund leadership activities (and from that amount, it must reserve an amount equivalent to 1 percent of its award to assist individuals in state institutions and between \$60,000 and \$150,000 to fund services to prepare individuals for non-traditional fields). Each State must distribute at least 85 percent of its award to local agencies to fund secondary and postsecondary institutions that provide career and technical education services, from which the state may choose to award an amount equivalent to 10% of its award to make awards to local agencies in rural areas, areas with high percentages of career and technical education students, and areas with high numbers of career and technical education students. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76-564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

The Department of Education makes formula grants to States. Eligible recipients for subgrants are local educational agencies and postsecondary institutions.

Beneficiary Eligibility:

A wide range of individuals pursuing vocational education and training will benefit.

Credentials/Documentation:

The establishment of a State Board for Vocational Education is required. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

A 5-year State plan for Vocational Education must be prepared with the active participation of the State Council. State plans are subject to public hearings. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

States submit 5-year State plans for vocational education to the Department of Education. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Department of Education approves the 5-year State plan and makes allocations to the States.

Deadlines:

States should contact the Program Office for deadlines.

Range of Approval/Disapproval Time:

The range is approximately 30 to 120 days.

Appeals:

A State board which is dissatisfied with the final action of the Assistant Secretary in disapproving a State Plan is afforded reasonable notice and opportunity for a hearing.

Renewals:

None.

Formula and Matching Requirements:

The funding formula is based on State per-capita income and population in three age cohorts (15-19, 20-24, and 25-65). The formula provides for a minimum State allocation of at least 0.5 percent of the total, and a "hold-harmless" provision in the formula ensures that no State's share of the appropriation is less than its share of the fiscal year 1998 appropriation. A special provision limits the increase a State with an initial allocation of the 0.5 percent minimum may receive, resulting in a number of States that receive an allocation of less than 0.5 percent of the total. If appropriations result in the amount of funds for allocation to States exceeding the amount of funds allocated to States from the FY 2006 appropriation, up to one-third of the additional funds are allotted to States with FY 2006 grants that are less than the minimum 0.5 percent grant amount and the remainder would flow to the other States. In addition, the Pacific territories receive 0.13 percent of the total appropriated for State Grants to operate the same kinds of career and technical education programs as the States. Also, 1.25 percent of the total appropriation for State Grants is set aside for grants to federally recognized Indian tribes and tribal organizations, and 0.25 percent is set aside for competitive grants to organizations that primarily serve and represent Hawaiian Natives. The Bureau of the Census supplies the population data; the Department of Labor supplies the per-capita income data. Matching. Section 102 of the Perkins Act requires 50/50 matching for State administration. No match is required for American Samoa, the Northern Mariana Islands, the Virgin Islands, Guam, or the Republic of Palau. Section

311(b) of the Perkins Act describes maintenance of effort requirements.

Length and Time Phasing of Assistance:

Funds must be expended within 27 months after their first date of availability. States draw funds as needed under the Electronic Funds Transfer System.

Reports:

Annual financial report, performance report, and Office for Civil Rights Annual Compliance Report must be submitted.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with section 443 of the General Education Provisions Act, as amended by the Improving America's Schools Act, grantees must maintain records for 3 years.

Account Identification:

91-0400-0-1-501.

Obligations:

(Grants and Contracts) FY 07 \$1,162,294,000; FY 08 est \$1,141,988; and FY 09 est \$0.

Range and Average of Financial Assistance:

For FY 2008, awards range from \$613,000 to \$128,504,000; the average award is approximately \$21,547,000.

PROGRAM ACCOMPLISHMENTS:

Grants are made to the States and Outlying Areas to support career and technical education programs at the secondary, postsecondary, and adult levels. In school year 2004-05 over 14.8 million students enrolled in secondary and postsecondary career and technical education programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Rules and Regulations, Title 34 CFR 400 and 403; EDGAR 74, 76 (except 76.103), 77, 79, 80, 81, 82, and 85; OCR Guidelines for Vocational Education.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OVAE Division of High School, Postsecondary and Career Education, 400 Maryland Ave., S.W., Washington, DC 20202-7241. Contact: Sharon Lee Miller. E-mail: sharon.miller@ed.gov. Telephone: (202) 245-7846.

Web Site Address:

<http://www.ed.gov/about/offices/list/ovae/pi/cte/index.html>.

RELATED PROGRAMS:

17.201, Registered Apprenticeship and Other Training; 17.246, Employment and Training Assistance - Dislocated Workers; 17.249, Employment Services and Job Training Pilots - Demonstrations and Research; 23.002, Appalachian Area Development; 84.002, Adult Education - Basic Grants to States; 84.051, Career and Technical Education -- National Programs; 84.101, Career and Technical Education - Indian Set-aside; 84.243, Tech-Prep Education; 84.245, Tribally Controlled Postsecondary Career and Technical Institutions.

EXAMPLES OF FUNDED PROJECTS:

Local recipients must operate a career and technical education program that: Strengthen the academic, career, and technical skills of students participating in vocational and technical education programs, achieved by integrating core academic subjects into career and technical education programs through a coherent sequence of courses; provide students with strong experience in and understanding of all aspects of an industry; develop, improve, or expand the use of technology in career and technical education; provide professional development programs to teachers, counselors, and administrators; develop and implement evaluations of the career and technical education programs carried out with funds under the Perkins Act, including an assessment of how the needs of special populations are being met; initiate, improve, expand, and modernize quality career and technical education programs; provide services and activities that are of sufficient size, scope, and quality to be effective; and link secondary career and technical education, including Tech-Prep programs, with postsecondary career and technical education programs.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.051 CAREER AND TECHNICAL EDUCATION -- NATIONAL PROGRAMS**FEDERAL AGENCY:**

OFFICE OF VOCATIONAL AND ADULT EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, Section 114, as amended.

OBJECTIVES:

To provide support research, development, demonstration, dissemination, evaluation, and assessment activities aimed at improving the quality and effectiveness of career and technical education.

TYPES OF ASSISTANCE:

Project Grants (Contracts).

USES AND USE RESTRICTIONS:

Awards may be made only for activities designed to improve the practice of career and technical education. Announcements for grants are made in the Federal Register. Contract competitions are announced in the Commerce Business Daily.

Applicant Eligibility:

Eligible applicants include universities or a consortium of universities and a public or private nonprofit organization.

Beneficiary Eligibility:

Education professionals as well as the general public will benefit.

Credentials/Documentation:

Legal signature by a designated official on the grant application or contract proposal. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular A-110 for institutions of higher education, hospitals and other nonprofit organizations.

Preapplication Coordination:

The standard application forms as furnished by the Department of Education and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372. Application notices are published in the Federal Register.

Application Procedure:

Applications for grants must be prepared in accordance with the regulations in 34 CFR Part 75. A proposal for a contract must be prepared in accordance with program announcements in the Commerce Business Daily. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications are reviewed in accordance with regulations applicable to the Carl D. Perkins Career and Technical Education Act of 2006 found in 34 CFR Part 75 and with requirements published in the Federal Register.

Deadlines:

A request for applications is published in the Federal Register. Requests for Proposals (RFPs) are released at various times throughout the fiscal year. A synopsis of each procurement request will appear in the Commerce Business Daily. This also contains information about where copies of the RFP can be obtained.

Range of Approval/Disapproval Time:

The Range is approximately 90 to 120 days.

Appeals:

For grants, not applicable; for contracts, as specified in Federal Procurement Regulations, 41 CFR 1, 2, 407, and 408.

Renewals:

Awards are made annually, for a maximum award period of 5 years. Renewals subject to the availability of appropriations and are contingent on recipient performance.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants or contract are awarded annually with a maximum award period of 5 years. Renewals are subject to the availability of appropriations and contingent on recipient performance.

Reports:

Specific reporting requirements are contained in the Request for Proposal and contract document. Reporting requirements for grants are required in the regulations for Carl D. Perkins Career and Technical Education Act of 2006 for 34 Part 75.

Audits:

Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet the terms and conditions of contract and grants.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years.

Account Identification:

91-0400-0-1-501.

Obligations:

(Grants and Contracts) FY 07 \$10,000,000; FY 08 est \$7,860,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

1 None.

PROGRAM ACCOMPLISHMENTS:

Program funds support the National Career and Technical Education Research Center, the National Assessment of Career Technical Education, and other career and technical education dissemination activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations 34 CFR 401 and 411-413.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OVAE Policy, Research and Evaluation Staff 400 Maryland Ave., S.W., Washington, DC 20202-7242. Contact: Ricardo Hernandez. Telephone: (202) 245-7818. Internet: Ricardo.Hernandez@ed.gov.

Web Site Address:

<http://www.ed.gov/about/offices/list/ovae/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funded Projects include activities that help States align their career and technical education accountability systems with the provisions of the Perkins legislation, showcase and replicate successful community college programs, and support a National Research Center for Career and Technical Education and a National Assessment of Career and Technical Education.

CRITERIA FOR SELECTING PROPOSALS:

See 34 CFR Part 75 for selection criteria. However, each request for proposal contains weighted evaluation criteria which are unique to the particular requirements of the individual procurement.

84.060 INDIAN EDUCATION-GRANTS TO LOCAL EDUCATIONAL AGENCIES**FEDERAL AGENCY:**

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title VII, Part A, Subpart 1, 20 U.S.C. 7421-7429, 7491-7492.

OBJECTIVES:

To address the unique education and culturally related academic needs of Indian students, including preschool children, so that these students can achieve to the same challenging state performance standards expected of all students. This program is the Department's principal vehicle for addressing the particular needs of Indian children.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Grants supplement the regular school program, helping Indian children sharpen their academic skills, assisting students in becoming proficient in the core content areas, and providing students an opportunity to participate in enrichment programs that would otherwise be unavailable. Funds support such activities as after-school programs, tutoring, and dropout prevention. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Local educational agencies (LEAs) that enroll at least 10 Indian children or in which Indians constitute at least 25 percent of the total enrollment. These requirements do not apply to LEAs serving Indian children in Alaska, California, and Oklahoma or located on, or in proximity to, an Indian reservation. Schools that receive funding from the DOI Bureau of Indian Education (BIE) under Section 1130 of the Education Amendments of 1978, 25 U.S.C. 2001, are deemed to be eligible to participate in this program.

Beneficiary Eligibility:

Eligible Indian children enrolled in eligible local educational agencies, and BIE-funded schools.

Credentials/Documentation:

The applicant must certify the number of eligible Indian children enrolled. The grant award is computed based on this information. An applicant must also assure that it has carried out a number of other required activities, including: holding a public hearing, establishing an Indian parent committee, and making arrangements for an evaluation of the effectiveness of the project. No grant will be made unless the applicant has the written approval of the applicant's Indian parent committee. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency must be used for this program. This program is excluded from coverage under OMB Circular No. A-102. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The LEA annually submits to the Department of Education an application describing its proposed project. Application forms are available from the headquarters office. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Awards are issued annually directly to the LEA. Awards are made to all applicants that meet the applicable requirements of the Act and that have designed a project that the Secretary of Education determines will substantially increase the educational opportunities of the Indian children served by the applicant.

Deadlines:

Deadlines are established annually. Notice of closing date is published in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 160 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The Department calculates the amount of a grant that an LEA receives by multiplying the number of Indian children enrolled in the LEA's schools by the average per-pupil expenditure for the State in which the applicant is located, or 80 percent of the national average per pupil expenditure, whichever is greater. In setting the actual amount of a grant, an applicant's formula amount is adjusted on the basis of available appropriations. In order for an LEA to receive a grant, the appropriate State educational agency (SEA) must certify that the combined fiscal effort of that LEA and the State with respect to the provision of free public education by that LEA for the preceding fiscal year was not less than 90 percent of the combined fiscal effort for that purpose for the second preceding fiscal year. If an LEA does not meet this requirement and the Secretary does not grant a waiver for unusual circumstances, the LEA's grant award is reduced in the proportion by which the LEA failed to meet the combined fiscal effort requirement. Bureau of Indian Education are exempt from the combined fiscal effort requirement. This program has no matching requirements.

Length and Time Phasing of Assistance:

Grants are awarded for up to 60 months and funds must be spent or obligated within the project period. Payments are made by direct deposit upon request by LEAs.

Reports:

Financial and performance reports are due within 90 days of the termination of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained three years after the completion of the activity for which grant funds were used.

Account Identification:

91-0101-0-1-501.

Obligations:

(Grants) FY 07 \$95,331,000; FY 08 est \$96,613,000; and FY 09 est \$96,613,000.

Range and Average of Financial Assistance:

Awards range from \$4,000 to \$2,073,333.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2008, awards were made to approximately 1,112 LEAs, 74 BIE grant/contract schools, and 51 BIE operated schools. The projects that were funded served approximately 474,445 Indian students in elementary and secondary schools. The average per student payment was \$204.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 74, 75, 77, 78, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Office of Indian Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Contact: Cathie Carothers. E-mail: cathie.carothers@ed.gov. Telephone: (202) 260-1683.

Web Site Address:

<http://www.ed.gov/about/offices/list/oese/oie/programs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Instruction in reading and mathematics; counseling and guidance; native language and cultural education instruction; early childhood and family programs emphasizing school readiness; and school-to-work transition programs.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in EDGAR; contact the program office for additional information.

84.063 FEDERAL PELL GRANT PROGRAM

(Pell Grants)

FEDERAL AGENCY:

FEDERAL STUDENT AID, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 1, as amended.

OBJECTIVES:

To provide eligible undergraduate postsecondary students who have demonstrated financial need with grant assistance to help meet educational expenses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

The student must be a U.S. citizen or eligible noncitizen who has been accepted for enrollment in, and is making satisfactory academic progress at an eligible institution of higher education. Eligible schools may be public or private nonprofit institutions of higher education, (such as colleges, universities, vocational-technical schools, hospital schools of nursing), and for-profit institutions (proprietary). Eligible males who are at least 18 years or older and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. Graduate students and students who have already earned a bachelor's degree are not eligible for assistance, except those enrolled in an eligible program leading to a professional certification in teaching. Applicants must demonstrate need according to Part F of the Higher Education Act of 1965, as amended.

Applicant Eligibility:

Undergraduate students and students pursuing a teaching certificate enrolled as regular students in an eligible program at an eligible institution of higher education and making satisfactory academic progress. The applicants must be U.S. citizens or eligible noncitizens and have a high school diploma, a GED, or demonstrate the ability to benefit from the program offered.

Beneficiary Eligibility:

Undergraduate students and students pursuing a teaching certificate that are U.S. citizens or eligible noncitizens and meet financial need criteria. Students must be: regular students in an eligible program and enrolled in institutions of higher education, making satisfactory academic progress. Incarcerated students, except those incarcerated in local penal facilities, are ineligible. Students must sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service.

Credentials Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Student completes a "Free Application for Federal Student Aid" and submits it to the agency specified on the form. Students may apply using a paper application, an electronic application, or via the Internet. The U.S. Department of Education calculates the student's financial eligibility for assistance and the agency to which the student sent the application returns a notification to the student of his or her eligibility for assistance. The student submits this notification to the institution of his or her choice in order to have his or her award calculated. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends calculates and disburses the Federal Pell Grant, using a payment schedule developed by the Department of Education that determines the amount of the award based on the student's expected family contribution, cost of attendance, and enrollment status.

Deadlines:

Contact the program office for deadlines.

Range of Approval/Disapproval Time:

Approximately 2 to 4 weeks.

Appeals:

An institution, on the basis of supplemental documentation, may rule that an applicant is self-supporting, even though under the standard criteria, the applicant would normally be considered financially dependent on his or her parents. An institution may adjust on a case-by-case basis a student's data elements used to calculate his or her EFC and cost of attendance due to unusual circumstances documented by the institution.

Renewals:

Students who have applied in the previous award year may be eligible to complete a paper or electronic renewal application which contains preprinted student data and requires students only to update certain information. However, eligibility is still annually determined.

Formula and Matching Requirements:

Federal Pell Grant eligibility determinations are based on Part F of the HEA, as amended. This national need analysis formula determines financial eligibility for Federal Pell grants and other Federal student aid and is applied uniformly to all applicants. This formula determines a student's "expected family contribution" (EFC). The fundamental elements of this need analysis formula are the parents' and/or the student's income and assets (excluding home), the family's household size, and the number of family members attending postsecondary institutions. The EFC is determined as the sum of: (1) A percentage assessment of net income (remaining income after subtracting allowances for basic living expenses) and (2) a percentage assessment of net assets, other than a home, (remaining assets after subtracting an asset protection allowance).

Length and Time Phasing of Assistance:

Students are currently limited to one Federal Pell Grant during any award year (July 1 through June 30). There is no funding for students to receive a second Federal Pell Grant during a single award year. Funds for one Federal Pell Grant are usually disbursed at least twice during an award year. Students may only receive a Federal Pell Grant until they have received a bachelor's degree.

Reports:

Institutions will be required to furnish reports, periodically, on the disbursement of funds, as well as to furnish any other reports the Secretary requires. No reports are required of students.

Audits:

Annual audits will be made.

Records:

All records pertaining to the eligibility of each Federal Pell Grant recipient and all fiscal management records must be maintained by the institutions for a period of 3 years or until an acceptable audit has been completed, whichever is later. Selected students will have the information on their applications verified.

Account Identification:

91-0200-0-1-502.

Obligations:

(Grants) FY 07 \$13,660,771,000; FY 08 est \$16,256,000,000; and FY 09 est \$18,941,059,000.

Range and Average of Financial Assistance:

For FY 2008, grants will range from \$400 to \$4,731; the average grant is expected to be about \$2,945.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, approximately 5,600,000 recipients are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Federal Pell Grant Expected Family Contribution formula is set forth in Part F of the Higher Education Act of 1965 as amended. Regulations governing administration of the Pell Grant Program are found in 34 CFR 600, 668, and 690. The Student Guide; Free Application for Federal Student Aid (no charge); "The Expected Family Contribution Formula"; "The Student Financial Aid Handbook."

Regional or Local Office:

Federal Student Aid Information Center. Telephone: (1-800) 433-3243.
Regional Director, Federal Student Aid, the Director of Student Financial Aid at the institution the student wishes to attend, high school guidance counselors, or directors of State agencies.

Headquarters Office:

Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Telephone: (800) 433-3243.

Web Site Address:

<http://www.ifap.ed.gov>.

RELATED PROGRAMS:

64.117, Survivors and Dependents Educational Assistance; 84.007, Federal Supplemental Educational Opportunity Grants; 84.032, Federal Family Education Loans; 84.033, Federal Work-Study Program; 84.037, Perkins Loan Cancellations; 84.038, Federal Perkins Loan Program; Federal Capital Contributions; 84.042, TRIO_Student Support Services; 84.044, TRIO_Talent Search; 84.047, TRIO_Upward Bound; 84.066, TRIO_Educational Opportunity Centers; 84.069, Leveraging Educational Assistance Partnership.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The Expected Family Contribution formula is set forth in Part F of the Higher Education Act of 1965, as amended.

84.066 TRIO-EDUCATIONAL OPPORTUNITY CENTERS

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF
EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 2, Chapter 1, Section 402F, as amended, 20 U.S.C. 1070a-16.

OBJECTIVES:

To provide information on financial and academic assistance available for

qualified adults desiring to pursue a program of postsecondary education and to assist them in applying for admission to institutions of postsecondary education.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are awarded to institutions of higher education or agencies for establishment and operation of centers to collect and disseminate information concerning financial aid and academic programs available to participants seeking to pursue postsecondary education and to assist participants in preparing admission and financial aid applications. Centers may also provide tutoring and counseling for project participants who are not enrolled in an Upward Bound or a Student Support Services project. A grantee may not use the center as a part of its recruitment program.

Applicant Eligibility:

Institutions of higher education, public and private not-for-profit agencies and organizations, a combination of the above and, in exceptional cases, secondary schools may apply.

Beneficiary Eligibility:

Persons residing in the target area who need one or more of the services provided by the project in order to pursue a program of postsecondary education and who desire to pursue or who are pursuing a program of postsecondary education. Two-thirds of the participants must be low-income individuals who are also potential first-generation college students. Project participants must be at least nineteen years old (exceptions allowed). Required low-income criteria for participants are stated in application materials.

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Develop a proposal in accordance with regulations, applications, and timetables issued by the Secretary of Education. No State plan is required. Requests for program applications should be made to the Federal TRIO Programs, College and University Preparation and Support Team. This program is subject to the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected non-Federal field readers evaluate new proposals based on the selection criteria established in program regulations 34 CFR 644.21 and 34 CFR 644.22. Grantees will be selected on the basis of satisfactory proposals and availability of funds. Grants are awarded through Federal TRIO Programs office.

Deadlines:

Deadlines are published in the Federal Register.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

None.

Renewals:

Continuation awards for up to five years approved annually. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

For or five-year projects, annual awards. Renewals are subject to the availability of funds.

Reports:

Performance reports are required on an annual basis.

Audits:

In accordance with the Education Department General Administrative Regulations (EDGAR), 34 CFR 75.702 and 74.61.

Records:

In accordance with 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$47,006,891; FY 08 est \$47,100,000; and FY 09 est \$47,057,000.

Range and Average of Financial Assistance:

The estimated average 2008 award is \$377,000. The minimum grant award is \$220,000.

PROGRAM ACCOMPLISHMENTS:

The program support 125 projects serving approximately 196,000 students in 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and regulation 34 CFR 644.

Regional or Local Office:

None.

Headquarters Office:

Federal TRIO Programs, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, DC 20202. Contact: Margaret Wingfield, E-mail: ope.trio@ed.gov; Telephone (202) 502-7547.

Web Site Address:

<http://www.ed.gov/programs/trioeoc/>.

RELATED PROGRAMS:

84.007, Federal Supplemental Educational Opportunity Grants; 84.032, Federal Family Education Loans; 84.033, Federal Work-Study Program; 84.038, Federal Perkins Loan Program_Federal Capital Contributions; 84.042, TRIO_Student Support Services; 84.044, TRIO_Talent Search; 84.047, TRIO_Upward Bound; 84.063, Federal Pell Grant Program; 84.103, TRIO Staff Training Program; 84.217, TRIO_McNair Post-Baccalaureate Achievement.

EXAMPLES OF FUNDED PROJECTS:

Projects to universities and agencies to collect and disseminate information on financial and other assistance available to low-income, first-generation college-bound persons seeking to pursue postsecondary education and to assist participants in preparing admission and financial aid applications. Some centers also provide tutoring and counseling for project participants that are not enrolled in an Upward Bound or Student Support Services project. Centers are funded in metropolitan, urban, and rural areas. Applicants define target area to be served.

CRITERIA FOR SELECTING PROPOSALS:

For new awards the Selection Criteria in 34 CFR 644.21 are considered. Contact the program office for additional information.

84.069 LEVERAGING EDUCATIONAL ASSISTANCE PARTNERSHIP

(LEAP-formerly SSIG)

FEDERAL AGENCY:

FEDERAL STUDENT AID, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 4, as amended, 20 U.S.C. 1070c-1070c-4.

OBJECTIVES:

To provide grants to the States for use in programs of financial assistance to eligible postsecondary students.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

To make grants of up to \$5,000 for full-time (reduced for less than full-time) attendance to students with substantial financial need who are enrolled at postsecondary institutions. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate that is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at 202-708-7770.

Applicant Eligibility:

The agency responsible for administering each State's need-based scholarship/grant program. U.S. Territories are also eligible to apply.

Beneficiary Eligibility:

Postsecondary education students with substantial financial need will benefit.

Credentials/Documentation:

A State application reviewed and approved annually by the Secretary of Education qualifies the State for student award funds. States pay administrative costs. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For technical assistance for States regarding the State application, refer to ED Form 1288. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The designated State student scholarship or grant assistance agency in each State annually applies for State allotments/reallotments of funds; refer to ED Form 1288. Eligible students annually apply to their State of residence for a grant under this program, if the State has received an allotment. Student applicants must follow the application procedures as directed by each State and must demonstrate substantial financial need to receive a grant. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Federal Student Aid office awards grants to State student scholarship or grant assistance agencies for making grants or providing work-study financial assistance to students.

Deadlines:

Application forms are mailed annually to eligible State agencies at least a month before the closing date which is published annually in the Federal Register. Students must submit an application according to deadlines established by the participating States.

Range of Approval/Disapproval Time:

From 30 to 45 days.

Appeals:

Appeals of final disapprovals can be made to the Secretary and may be subject to judicial review.

Renewals:

New State applications are required annually.

Formula and Matching Requirements:

Each State receives an allotment and possibly a reallotment of funds based upon the number of students it deems eligible to participate in the program relative to the total number of such students in all States; no State receives less than its fiscal year 1979 allotment (Section 415B(a)(1), HEA). Maintenance of effort (MOE) requirements provide that annual State-appropriated expenditures in the program must not be less than the average for the previous three fiscal years (Section 415C(b)(8), HEA). States match Federal funds on at least their MOE level, but not less than a one-to-one basis, out of resources appropriated by the State which represent additional State grant expenditures over an established base year, defined as the second year before the State entered the LEAP Program (Section 415C(b)(6), HEA).

Length and Time Phasing of Assistance:

Funds are awarded for use by participating States for one award year at a time following the year of application.

Reports:

Annual fiscal performance report (ED Form 1288-1) and other reports as required by the Secretary.

Audits:

Records are examined by State or Federal auditors.

Records:

States must maintain records sufficient to identify student grants awarded in compliance with the program statute and requirements concerning the expenditure of Federal funds and matching State appropriated funds. Records must be retained for three years or until completion of Department audit, whichever is later.

Account Identification:

91-0200-0-1-502.

Obligations:

(Grants) FY 07 \$64,987,000; FY 08 est \$63,852,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

Maximum grant is \$5,000; average grant is \$1,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 692 (Program Regulations) reissued July 14, 1981, amended December 1, 1986, November 27, 1987, and January 28, 1994. Directory of State Agency Official Contacts (current).

Regional or Local Office:

Program description and a List of State student scholarship or assistance agencies available in the Education Department regional offices. Program is administered from the Department's headquarters.

Headquarters Office:

Office Federal Student Aid, Department of Education, 400 Maryland Ave., S.W., Washington, DC 20202-5447. Telephone: (800) 433-3243.

Web Site Address:

<http://www.ifap.ed.gov>.

RELATED PROGRAMS:

84.007, Federal Supplemental Educational Opportunity Grants; 84.032, Federal Family Education Loans; 84.033, Federal Work-Study Program; 84.038, Federal Perkins Loan Program_Federal Capital Contributions; 84.063, Federal Pell Grant Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.083 WOMEN'S EDUCATIONAL EQUITY ACT PROGRAM

FEDERAL AGENCY:

OFFICE OF INNOVATION AND IMPROVEMENT, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title V, Part D, Subpart 21. 20 U.S.C. 7231-7238.

OBJECTIVES:

(1) To promote gender equity in education in the United States; (2) to promote equity in education for women and girls who suffer from multiple forms of discrimination based on sex, race, ethnic origin, limited-English proficiency, disability, or age; and (3) to provide financial assistance to enable educational agencies and institutions to meet the requirements of Title IX of the Education Amendments of 1972.

TYPES OF ASSISTANCE:

Project Grants (Contracts).

USES AND USE RESTRICTIONS:

The Department may award two types of grants: (1) Implementation grants for gender equity programs in schools, and (2) research and development grants to develop model equity programs. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Through a nationwide competition, public agencies, private nonprofit agencies, institutions, organizations, student groups community groups, and individuals may apply.

Beneficiary Eligibility:

Public agencies, private nonprofit agencies, institutions, organizations, student groups, community groups, and individuals will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-110 for nonprofits.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State selected the program for review.

Application Procedure:

The Department publishes grant application forms and instructions in the Federal Register. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications and proposals are reviewed by a panel of experts in women's programs that represent various geographical areas, racial and ethnic groups, and levels of education. Final decisions on grants are made by the Department on the basis of the selection criteria published in the regulations and statutory considerations, and to the extent feasible, on the basis of geographical distributions. The Department may give special consideration to applications submitted by applicants that have not received assistance under this part.

Deadlines:

Established for grants each year by notice published in the Federal Register. Established for contracts in solicitation announcements in the Commerce Business Daily.

Range of Approval/Disapproval Time:

Approximately four months from receipt of applications.

Appeals:

None.

Renewals:

The project period for a WEEA award may not exceed four years. To receive continuation funding, grantees must demonstrate substantial progress toward meeting their goals and objectives. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Up to four years, subject to the availability of funds.

Reports:

As part of the continuation funding process, grantees must submit annual performance reports. The program office will establish a due date. A final performance report must also be submitted.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, as amended by the Improving America's Schools Act, grantees must maintain records for three years.

Account Identification:

91-0204-0-1-501.

Obligations:

(Grants) FY 07 \$1,879,000; FY 08 est \$1,846,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

For fiscal year 2007 the average award was \$197,077. Range: \$59,028 - \$247,500.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, the Department made 15 implementation grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Educational Department General Administrative Regulations (EDGAR) in 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OII Improvement Programs, 400 Maryland Avenue, S.W., Rm 4W242, Washington, DC 20202. Contact: Beverly A. Farrar; E-mail: beverly.a.farrar@ed.gov. Telephone: (202) 205-3145.

Web Site Address:

<http://www.ed.gov/programs/equity/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects funded by this program have been used for: Training for teachers and other school personnel to encourage gender equity in the classroom; Evaluating programs designed to advance gender equity; School-to-work transition programs; Guidance and counseling activities to increase opportunities for women in technologically demanding workplaces; and, Developing strategies to assist local educational agencies in evaluating, disseminating, and replicating gender-equity programs.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are outlined in the Education Department General Administrative Regulations. Contact the program office for more information.

84.101 CAREER AND TECHNICAL EDUCATION - INDIAN SET-ASIDE

FEDERAL AGENCY:

OFFICE OF VOCATIONAL AND ADULT EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, Title I, Section 116(b), Public Law 109-270, 20 U.S.C. 2326(b).

OBJECTIVES:

To make grants to or enter into contracts with Indian tribes, tribal organizations and Alaska Native entities to plan, conduct, and administer programs or portions of programs authorized by and consistent with the Carl D. Perkins Career and Technical Education Act of 2006.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Project Grants (Contracts).

USES AND USE RESTRICTIONS:

To provide career and technical education opportunities for Indians and Alaskan Natives. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Indian tribes, tribal organizations, and Alaska Native entities are eligible for assistance under this program.

Beneficiary Eligibility:

Federally recognized Indian tribes, tribal organizations, and Alaskan Natives.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, Local and Indian Tribal Governments and OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms as furnished by the Department of Education and required by OMB Circular No. A-102 must be used for this program. Application notices are published in the Federal Register. Applications must be prepared and submitted in accordance with program announcements which are published in the Federal Register.

Award Procedure:

Application are approved using the selection criteria found in 34 CFR 75.200.

Deadlines:

Notice of application deadlines are published in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 120 to 150 days after deadline for transmitting applications.

Appeals:

After receiving written notice that the Department of Education will not award a grant, the eligible applicant, has 30 calendar days to request, in writing, a hearing to review the Department's decision.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Up to 5 years.

Reports:

Specific reporting requirements are contained in the regulations and award documents.

Audits:

An audit must be conducted annually and should be a part of the recipients financial management and internal control.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years.

Account Identification:

91-0400-0-1-501.

Obligations:

(Grants and Contracts) FY 07 \$14,769,000; FY 08 est \$14,511,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$300,000 to \$600,000; the average award is expected to be \$450,000.

PROGRAM ACCOMPLISHMENTS:

Thirty projects were funded in 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Parts 74.75, 77.80, 81.82, 84.85, 86.97, 98 and 99.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OVAE Division of High School, Postsecondary and Career Education 400 Maryland Ave., S.W., Washington, DC 20202-7242.
Contact: Linda Mayo. E-mail: linda.mayo@ed.gov. Telephone: (202) 205-9353.

Web Site Address:

<http://www.ed.gov/programs/cteivp/index.html>.

RELATED PROGRAMS:

84.048, Career and Technical Education -- Basic Grants to States.

EXAMPLES OF FUNDED PROJECTS:

The Mississippi Band of Choctaw Indians is conducting a 2-year program for tribal manufacturing, nursing, child development, electrical and carpentry technology and hotel/restaurant management. The project is housed and operated out of Choctaw Vocational Center, Philadelphia, Mississippi. The Salish Kootenai College is conducting a 2-year program in building trades, secretarial science, computer science, and forest technology. The College also conducts a State licensed associate degree nursing program. The project is located at Polson, Montana.

CRITERIA FOR SELECTING PROPOSALS:

The following criteria are utilized in reviewing applications. A segment or segments of an application should address each criterion. Points are awarded to the extent that evidence in the application satisfies each criterion: (a) Need for the project; (b) significance; (c) quality of the project design; (d) quality of project services; (e) quality of personnel services; (f) adequacy of resources; (g) quality of the management plan; and (h) quality of the project evaluation.

84.103 TRIO STAFF TRAINING PROGRAM

(TRIO Staff training)

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 2, Chapter 1, Section 402G, as amended, 20 U.S.C. 1070a-18.

OBJECTIVES:

To provide training for staff and leadership personnel employed in, or preparing for employment in, projects funded under the Federal TRIO Programs (program numbers 84.042, 84.044, 84.047, 84.066, and 84.217).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are awarded to eligible grantees to provide training for project directors and staff of funded Federal TRIO Programs projects. The grants may provide support for conferences, seminars, internships, workshops, and the publication of manuals designed to improve the operation of Federal TRIO Programs projects.

Applicant Eligibility:

Institutions of higher education, public and nonprofit private agencies and organizations may apply.

Beneficiary Eligibility:

Leadership personnel, full-time and part-time staff members of projects under the Federal TRIO Programs, and individuals preparing for employment as staff or leadership personnel in projects under the Federal TRIO Special Programs will benefit.

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Develop a proposal in accordance with program regulations, applications, and timetables issued by the Department of Education. No State plan is required. Requests for program applications should be made to the Federal TRIO Program, College and University Support Team. This program is subject to the provisions of the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 642.31 and 34 CFR 642.32.

Deadlines:

Deadlines are published in the Federal Register.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

None.

Renewals:

Continuation awards of one year is approved on an annual basis, subject to the availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

One or two year-projects, annual awards.

Reports:

Performance reports are submitted on an annual basis.

Audits:

In accordance with the Education Department General Administrative Regulations (EDGAR and 34 CFR 75.702, 74.61.)

Records:

In accordance with 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$3,331,000; FY 08 est \$4,250,000; and FY 09 est \$4,250,000.

Range and Average of Financial Assistance:

The estimated average FY 2008 award is \$425,000. The estimated range of awards is \$350,000 to \$450,000.

PROGRAM ACCOMPLISHMENTS:

The program anticipates supporting 9 to 13 awards in 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and regulations 34 CFR 642.

Regional or Local Office:

None.

Headquarters Office:

Federal Trio Programs, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Contact: Jane Wrenn; E-mail: trio@ed.gov. Telephone: (202) 502-7614.

Web Site Address:

<http://www.ed.gov/programs/triotrain/>.

RELATED PROGRAMS:

84.042, TRIO Student Support Services; 84.044, TRIO Talent Search; 84.047, TRIO Upward Bound; 84.066, TRIO Educational Opportunity Centers; 84.217, TRIO McNair Post-Baccalaureate Achievement.

EXAMPLES OF FUNDED PROJECTS:

Training has been offered in the following areas: (1) Student financial aid; (2) general project management for new directors; (3) legislative and regulatory requirements for the operation of Federal TRIO programs; (4) design and operation of model programs for projects funded under the Federal TRIO programs; (5) retention and graduation strategies; (6) counseling; (7) reporting student and project performance; and (8) coordinating project activities with other available resources and activities.

CRITERIA FOR SELECTING PROPOSALS:

The Department will select applicants to be funded for new awards under this part on the basis of criteria set forth in 34 CFR 642.31. Final program regulations were published in CFR Chapter VI(7-1-95).

84.116 FUND FOR THE IMPROVEMENT OF POSTSECONDARY EDUCATION

(FIPSE)

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VII Part B, Public Law 105-277, 20 U.S.C. 1135-1135a-3.

OBJECTIVES:

To provide assistance for innovative programs that improve access to and the quality of postsecondary education.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The Fund provides project grants for activities sponsored by institutions and agencies that develop and demonstrate more effective approaches to the postsecondary teaching and learning. Each year, the Department establishes funding competitions and priorities. Funded projects are action-oriented, focusing on improvements in practice rather than support for basic research.

Applicant Eligibility:

Eligible applicants include a full range of providers of postsecondary educational services including but not limited to: 2 and 4-year colleges and universities, community organizations, libraries, museums, consortia, student groups, and local government agencies.

Beneficiary Eligibility:

Postsecondary educational institutions and their students will benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

Applications, consultation and assistance are available from the Fund staff. Application materials and further information is also available on the WEB. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

In any fiscal year in which funds are available for new awards. The Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Proposals are reviewed by field readers, by staff, by the Board of the Fund, by the Fund Director, and by appropriate Department officials. State Postsecondary Education Commissions must be given an opportunity to comment on projects funded in their States.

Deadlines:

Deadlines are published in the Federal Register or may be obtained by contacting the program office.

Range of Approval/Disapproval Time:

From 4 to 8 months.

Appeals:

None.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

The Fund suggests that some institutional funds be included as part of program support, but this is not required by current legislation or regulation.

Length and Time Phasing of Assistance:

The Fund will support multi-year projects; grants are awarded on a 1-to 4-year basis. Funds are released as required. Renewals are subject to the availability of appropriations.

Reports:

The Fund require periodic progress evaluation reports from grant recipients, as well as a final report upon termination of funding. Reporting requirements are found in EDGAR.

Audits:

Awardees must comply with standard Education Department audit requirements.

Records:

Grant recipients are required to maintain standard financial records.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$21,988,890; FY 08 est \$120,333,397; and FY 09 est \$37,433,000.

Range and Average of Financial Assistance:

In FY 08, the average FIPSE comprehensive program grant was \$200,000.

PROGRAM ACCOMPLISHMENTS:

In FY 08, the Fund awarded approximately 68 comprehensive program grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are contained in 34 CFR 630. In addition, the Education Department General Administrative Regulations (EDGAR) in 34 CFR 74, 75, 77, 79, 80, 81, and 85 also apply. The official Application Notice is published each year in the Federal Register. The Fund also published "Lessons Learned," a compilation of FIPSE projects. Contact the program office for more

information.

Regional or Local Office:

None.

Headquarters Office:

Fund for the Improvement of Postsecondary Education, Office of Postsecondary Education, Department of Education, Washington, DC 20202-5175. Contact: Cassandra Courtney; E-mail: cassandra.courtney@ed.gov. Telephone: (202) 502-7506.

Web Site Address:

<http://www.ed.gov/programs/fipsecomp/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Improved undergraduate liberal arts curriculum; (2) improved undergraduate teaching; (3) improved graduate education; (4) improved access for Blacks, Hispanics, and other minorities; (5) cooperation between colleges and businesses; (6) teacher education; (7) uses of technology; and (8) improved study abroad programs.

CRITERIA FOR SELECTING PROPOSALS:

Grantees are selected based on the project's significance in improving postsecondary education; feasibility; and appropriateness.

84.120 MINORITY SCIENCE AND ENGINEERING IMPROVEMENT

(MSEIP)

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title III, Part E, Subpart 1, as amended.

OBJECTIVES:

To (1) Effect long-range improvement in science and engineering education at predominantly minority institutions and (2) increase the participation of underrepresented ethnic minorities, particularly minority women, in scientific and technological careers.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

The program funds are generally used to implement design projects, institutional projects, and cooperative projects. The program also supports special projects designed to provide or improve support to accredited nonprofit colleges, universities, and professional scientific organizations for a broad range of activities that address specific barriers that eliminate or reduce the entry of minorities into science and technology fields. Grant funds may be used for paying costs necessary for improving and maintaining high quality science and engineering education programs in minority postsecondary institutions, including salaries and wages, equipment and instructional materials and supplies, travel related to the project activities, faculty development, and other direct and indirect costs. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Private and public nonprofit accredited institutions of higher education that award baccalaureate degrees; and are minority institutions; public or private nonprofit institutions of higher education that award associate degrees, and are minority institutions that have a curriculum that includes science or engineering subjects; and enters into a partnership with public or private nonprofit institutions of higher education that award baccalaureate degrees in science or engineering. Applications may also be submitted by nonprofit science-oriented organizations, professional scientific societies, and institutions of higher education that award baccalaureate degrees, that provide a needed service to a group of minority institutions; or provide in-service training for project directors, scientists, and engineers from minority institutions; or consortia of organizations, that provide needed services to one or more minority institutions, the membership of which may include: institutions of higher education which have a curriculum in science and engineering; institutions of higher education that have graduate or professional programs in science or engineering; research laboratories of, or under contract with the Department of Energy; private organizations that have science and engineering facilities; or quasi-governmental entities that have a significant scientific or engineering mission.

Beneficiary Eligibility:

Private or public accredited 4-year institutions of higher education whose total enrollments are predominantly (50 percent or more) American Indian; Alaskan Native; Black (not of Hispanic origin); Hispanic (including persons of Mexican, Puerto Rican, Cuban, and Central or South American origin); Pacific Islander; or any combination of these or other ethnic minorities who are underrepresented in science and engineering. Also, 2-year private or public accredited minority (see

description of minority above) institutions that have curricula in science or engineering and have formed a partnership with a 4-year institution.

Credentials Documentation:

Institutions must provide the information necessary to establish their eligibility for participation in MSEIP. The data on enrollment furnished to the Office for Civil Rights to satisfy requirements for the "Fall Enrollment and Compliance Report of Institutions of Higher Education" are acceptable. Applications must be signed by the project director(s), the relevant department head(s), and by an authorized organizational official. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

By submission of a formal application describing the planned project and proposed amount of the grant. See 34 CFR 637 and the specific program guidelines. Application forms are provided by MSEIP. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Panels of outside experts with knowledge of the fields covered by the application review all applications. Grants awards are recommended to the Secretary by the program office, in the order of merit.

Deadlines:

Deadlines are published in the Federal Register.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

None.

Renewals:

Awards may be renewed for up to 2 years, renewals subject to the availability of appropriations.

Formula and Matching Requirements:

The program suggests that some institutional contribution be included as part of program support. However, by law, this program has no matching requirements.

Length and Time Phasing of Assistance:

One to three years. Funds are awarded annually and disbursed as required.

Renewals are subject to the availability of appropriations.

Reports:

The program requires interim performance reports from directors of projects having duration of more than one year at the end of each budget year's activities.

A substantive performance and financial report is required within 90 days upon completion of the project for all funded projects.

Audits:

Compliance with standard Department of Education requirements.

Records:

Grantees are required to maintain standard programmatic and financial records. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$8,730,820; FY 08 \$8,577,487; and FY 09 est \$8,577,487.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$25,000 to \$300,000; the average award is expected to be \$127,500.

PROGRAM ACCOMPLISHMENTS:

For FY 2008, approximately 23 new awards and 50 continuation awards are expected to be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 637 and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Office of Postsecondary Education, Institutional Development and Undergraduate Education Service, Department of Education, Washington, DC 20202. Contact: Bernadette Hence. E-mail Address: bernadette.hence@ed.gov. Telephone: 202-219-7038.

Web Site Address:

<http://www.ed.gov/programs/idesmsi/>.

RELATED PROGRAMS:

84.031, Higher Education Institutional Aid; 84.116, Fund for the Improvement of Postsecondary Education.

EXAMPLES OF FUNDED PROJECTS:

(1) A project is providing state-of-the-art training to minority students in field and laboratory studies dealing with water quality, and educating students on the benefits of using an interdisciplinary approach to solving environmental

problems. (2) A project has a summer science program designed to improve access of pre-college (8th and 9th grade) minority students to careers in science and engineering. Integrated, hands-on curriculum in biology, physical science, mathematics, and computer technology is offered. (3) A project is a consortium of five minority serving community colleges across the nation and two engineering schools whose purpose is to share resources and strategies in order to increase the number of bilingual engineers and strengthen the educational pipeline from pre-college through engineering school. (4) One project is to establish a computer laboratory in science and mathematics.

CRITERIA FOR SELECTING PROPOSALS:

Decisions are based primarily on the scientific and educational merits of described activities and conformance with the objectives of the program. Priority is given to applicants which have not previously received funding from the Minority Science Improvement Program and to previous grantees with a proven record of success, as well as to proposals that contribute to achieving balance among projects with respect to geographic region, academic discipline and project type.

84.126 REHABILITATION SERVICES-VOCATIONAL REHABILITATION GRANTS TO STATES

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, Title I, Parts A and B, Sections 100-111.

OBJECTIVES:

To assist States in operating comprehensive, coordinated, effective, efficient and accountable programs of vocational rehabilitation; to assess, plan, develop, and provide vocational rehabilitation services for individuals with disabilities, consistent with their strengths, resources, priorities, concerns, abilities, and capabilities so they may prepare for and engage in competitive employment.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Federal and State funds are used to cover the costs of providing vocational rehabilitation services which include: assessment, counseling, vocational and other training, job placement, reader services for the blind, interpreter services for the deaf, medical and related services and prosthetic and orthotic devices, rehabilitation technology, transportation to secure vocational rehabilitation services, maintenance during rehabilitation, and other goods and services necessary for an individual with a disability to achieve an employment outcome. Services are provided to families of disabled individuals when such services will contribute substantially to the rehabilitation of such individuals who are being provided vocational rehabilitation services. Funds can also be used to provide Vocational Rehabilitation services for the benefit of groups of individuals with disabilities including the construction and establishment of community programs.

Applicant Eligibility:

State agencies in all States (including territories/possessions) designated as the sole State agency to administer the vocational rehabilitation program may apply.

Beneficiary Eligibility:

Eligibility for vocational rehabilitation services is based on the presence of a physical and/or mental impairment, which for such an individual constitutes or results in a substantial impediment to employment, and the need for vocational rehabilitation services that may be expected to benefit the individual in terms of an employment outcome.

Credentials/Documentation:

The State agency must certify the availability of State funds for matching purposes. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

State Vocational Rehabilitation agencies are required to submit a State Plan describing the manner in which they will administer the VR and Supported Employment programs in their States. The State is not required to submit any policies, procedures or descriptions related to its State Plan that have been previously approved and demonstrate that the State currently meets the requirements of the Act. However, State agencies are required to annually update and submit State Plan attachments related to: (1) the comprehensive system of personnel development; State assessments, estimates, goals and priorities, and reports of progress; (2) innovation and expansion activities, and (3) a description of the State's plans with respect to the distribution of State Supported Employment Title VI, Part B funds.

Award Procedure:

Notice of annual allotment to the State; grant award notices and funds are withdrawn under the Electronic Transfer System.

Deadlines:

Changes to the State Plan and annual updates must be submitted to the Rehabilitation Services Administration (RSA) by July 1 of each year. The State Plan must be approved before the State agency can receive its annual allotment of funds.

Range of Approval/Disapproval Time:

If a State plan is disapproved, and after reasonable effort has been made to resolve a dispute, the State agency may request a hearing. If dissatisfied with the hearing officer's initial decision, the State agency may seek the Secretary's review of the decision.

Appeals:

A State may appeal the Secretary's decision to disapprove the State plan by filing a petition for review with the United States Court of Appeals for the circuit in which the State is located.

Renewals:

None.

Formula and Matching Requirements:

Under the Rehabilitation Act of 1973, as amended, Title I, Part A, Section 110, Federal funds are distributed (78.7 percent Federal and 21.3 percent State) based on population weighted by per capita income. Funds become available for obligations for the fiscal year for which they are appropriated and may remain available for an additional year if the matching requirement is met in the year of the appropriation. The statistical factors for fund allocation are: 1) The per capita income 3-year average by State and the source is the Survey of Current Business, Bureau of Economic Analysis; 2) the U.S. total population and State population and the source is the Population Estimates Annual, Bureau of the Census; Bureau of Labor Statistics. Statistical factors used for eligibility do not apply to this program. This program has a maintenance of effort (MOE) requirement. The MOE level is based on the amount of State expenditures for the Federal fiscal year two years earlier. See funding agency for further details.

Length and Time Phasing of Assistance:

Notice of annual allotment to the State; grant awards are issued biannually (1st and 4th quarter) with funds released through the Electronic Transfer System.

Reports:

Annual and quarterly progress reports; annual budget and case service reports; quarterly financial reports.

Audits:

Conducted by ED Office of Inspector General and by site visits of regional staff. Both are periodic but no set schedule. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Case service records for individual consumers. Financial and programmatic records for a prescribed period of three years (34 CFR 80.42).

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants) FY 07 \$2,802,716,000; FY 08 est \$2,839,151,000; and FY 09 est \$2,839,151,000.

Range and Average of Financial Assistance:

In FY 2008, the range of awards is expected to be \$928,801 to \$275,593,209.

PROGRAM ACCOMPLISHMENTS:

As a group, persons who achieve employment as a result of vocational rehabilitation services each year show notable gains in their ability to function in economic terms, regardless of their employment status when they apply for services. In fiscal year 2007, approximately 981,050 persons received services. Approximately 59.6 percent of those individuals who exited the program after receiving services achieved an employment outcome.

REGULATIONS, GUIDELINES, AND LITERATURE:

Vocational Rehabilitation Regulations (34 CFR 361). Vocational Rehabilitation Manual. Rehabilitation Services Policy Directives and Technical Assistance Circulars.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Education, OSERS Rehabilitation Services Administration 400 Maryland Ave., S.W., Washington, DC 20202-2500. Contact: Carol Dobak E-mail: Carol.Dobak@ed.gov. Telephone: (202) 245-7325.

Web Site Address:

<http://www.ed.gov/programs/rsabvrs/index.html>.

RELATED PROGRAMS:

53.001, Employment Promotion of People with Disabilities; 64.007, Blind Rehabilitation Centers; 64.013, Veterans Prosthetic Appliances; 64.116, Vocational Rehabilitation for Disabled Veterans; 84.160, Training Interpreters

for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.161, Rehabilitation Services Client Assistance Program; 84.169, Independent Living State Grants; 84.187, Supported Employment Services for Individuals with Significant Disabilities; 84.234, Projects with Industry; 84.235, Rehabilitation Services Demonstration and Training Programs; 84.250, Rehabilitation Services American Indians with Disabilities.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.128 REHABILITATION SERVICES-SERVICE PROJECTS

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Sections 304 and 305, 29 U.S.C. 775 and 777b.

OBJECTIVES:

To provide discretionary grant funds to State vocational rehabilitation agencies and public nonprofit organizations for special projects and demonstrations which hold promise of expanding and otherwise improving services to individuals with disabilities over and above those provided by the Basic Support Program administered by States.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Migrant and seasonal farm workers and recreational programs. Grants support vocational rehabilitation services to migrant and seasonal farm workers and projects that establish and operate recreation programs for individuals with disabilities.

Applicant Eligibility:

Recreational projects are awarded to States, public agencies and nonprofit organizations. Grants cannot be made directly to individuals. Migrant and seasonal farm worker projects are funded by grants to a State designated agency (including territories/possessions) and to nonprofit and local agencies in collaboration with the State agencies.

Beneficiary Eligibility:

Individuals with disabilities and individuals with significant disabilities as defined in Sections 7(9)(A)(B) and 7(20)(A), respectively, of the Rehabilitation Act of 1973, as amended.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will also be determined in accordance with OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure:

Applications should be submitted to the Department of Education, Application Control Center, 600 Independence Ave., S.W., Washington, DC 20202-4725, or in certain cases to the appropriate RSA Regional Commissioner, OSERS. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Awards are made on approval of the Commissioner of Rehabilitation Services and the Assistant Secretary, OSERS for new projects.

Deadlines:

Contact the headquarters or regional offices for application deadlines. Deadlines are published in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 120 to 150 days.

Appeals:

None.

Renewals:

Projects with an approved multi-year project period may be continued based on the availability of adequate funds and an annual review of accomplishments to determine satisfactory performance. Renewals are available only through a new application process.

Formula and Matching Requirements:

Generally matching is required; the Migratory Worker program requires 10 percent matching. The Federal share of the costs of the Recreation program shall be 100 percent for the first year, 75 percent for the second year, and 50 percent

for the third year with corresponding matching requirements for the second and third years.

Length and Time Phasing of Assistance:

Project support may be available for up to three to five years depending upon satisfactory performance and availability of funds. Funds are granted on a 12-month basis.

Reports:

Annual financial reports and progress reports must be submitted as prescribed by grant award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Fiscal records must be maintained for the periods of time specified in the grant award.

Account Identification:

91-0301-0-1-506.

Obligations:

FY 07 est \$2,279,000 (migrant and seasonal farm workers) \$2,518,000 (recreational); FY 08 est \$2,239,000 (migrant and seasonal farm workers) \$2,474,000 (recreational) and FY 09 est \$0.

Range and Average of Financial Assistance:

None; they vary by program.

PROGRAM ACCOMPLISHMENTS:

There were 33 recreational projects and 11 migrant and seasonal farm workers projects in FY 07.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 369. Specific guidelines and literature may be obtained from the RSA headquarters offices.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OSERS Rehabilitation Services Administration 400 Maryland Ave., S.W., Washington, DC 20202-2647. Contact: Sonja Turner E-mail: sonja.turner@ed.gov. Telephone: (202) 245-7557 (migrant and seasonal farmworkers program); or Ed Hofler E-mail: ed.hofler@ed.gov. Telephone: (202) 245-7377 (recreational program).

Web Site Address:

<http://www.ed.gov/programs/rsamigrant/index.html>; or
<http://www.ed.gov/programs/rsarecreation/index.html>.

RELATED PROGRAMS:

84.126, Rehabilitation Services Vocational Rehabilitation Grants to States.

EXAMPLES OF FUNDED PROJECTS:

An outreach effort to identify and provide necessary vocational rehabilitation services for migrant and seasonal farm workers with disabilities. Assist persons with significant disabilities returning to the community to achieve the optimal vocational adjustment of which they are capable. Provide recreational services to individuals with disabilities a priority to integrate these individuals into regular recreation programs.

CRITERIA FOR SELECTING PROPOSALS:

Funding priorities are announced in the Federal Register. Grants for migrant and seasonal farmworker projects are made to a designated State agency and to nonprofit and local agencies working in collaboration with the State agencies. Applications for discretionary grants are subjected to peer review procedures leading to recommendations for approval or disapproval by Federal and nonfederal experts. Criteria for evaluating applications are published in program regulations 34 CFR 75.210.

84.129 REHABILITATION LONG-TERM TRAINING

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302, 29 U.S.C. 774.

OBJECTIVES:

To support projects to provide academic training in areas of personnel shortages identified by the Secretary to increase the number of personnel trained in providing vocational rehabilitation services to individuals with disabilities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Training grants are provided in fields directly related to the vocational and independent living rehabilitation of individuals with disabilities, such as rehabilitation counseling, independent living, rehabilitation medicine, physical

and occupational therapy, prosthetics-orthotics, speech-language, pathology and audiology, rehabilitation of individuals who are blind and individuals who are deaf, and rehabilitation technology. A grantee must use at least 75 percent of the total award for scholarships.

Applicant Eligibility:

Institutions of Higher Education, State vocational rehabilitation agencies (including territories), and other public or nonprofit agencies and organizations.

Beneficiary Eligibility:

Individuals preparing for employment in the field of rehabilitation of individuals with disabilities.

Credentials/Documentation:

An application should show evidence of current accreditation by or seeking of accreditation from the designated accreditation agency in the respective professional field. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions.

Reapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

New applications are made to Department of Education, Application Control Center, 400 Maryland Ave., S.W., Washington, DC 20202-4725. Attn: 84.129. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

New and continuation grants are awarded by the Rehabilitation Services Administration. All new applications are reviewed by a panel of at least three individuals. Each panel consists of nonfederal consultants with experience and training in the related field.

Deadlines:

Deadlines are published in the Federal Register; contact the program office for application deadlines and other information.

Range of Approval/Disapproval Time:

From 120 to 160 days.

Appeals:

None.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of adequate funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

The Federal share may not be more than 90 percent of the total cost of a project under this program.

Length and Time Phasing of Assistance:

Projects are generally supported for a maximum of 5 years; with budget periods of 12 months. Renewals are subject to the availability of funds.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper records must be maintained for a period of time equal to the time required to fulfill the obligations of each grantee to determine whether a scholar has met the work-or-repay provision in 34 CFR 386.34, or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$19,267,000; FY 08 est \$19,524,000; and FY 09 \$19,549,000.

Range and Average of Financial Assistance:

\$70,000 to \$100,000. Average: \$100,000 in FY 08.

PROGRAM ACCOMPLISHMENTS:

Approximately 1505 awards are supported annually.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR 385 and 386

Regional or Local Office:

Not applicable.

Headquarters Office:

Rehabilitation Services Administration, Office of Special Education and Rehabilitative Services, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-2649. Contact: Ruth Brannon. Telephone: (202) 245-7278.

Web Site Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Residency scholarships in physical medicine and rehabilitation; (2) graduate scholarships in rehabilitation counseling; (3) graduate scholarships in rehabilitation administration; and (4) undergraduate scholarships in rehabilitation service.

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the purpose of the content and the training project to the administratively established mission of the public rehabilitation program and the objectives of the Rehabilitation Services Administration. The methodology to be employed in implementing the project and its feasibility for the achievement of the established educational objectives. The existence of a working relationship with the State vocational rehabilitation agency and other agencies and rehabilitation facilities providing vocational rehabilitation services. Criteria for evaluating applications are published in program regulations 34 CFR 385 and 386.

84.132 CENTERS FOR INDEPENDENT LIVING**FEDERAL AGENCY:**

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part C, 29 U.S.C. 796f-796f-6.

OBJECTIVES:

To provide independent living services to individuals with significant disabilities to assist them to function more independently in family and community settings, by developing and supporting a statewide network of centers for independent living.

TYPES OF ASSISTANCE:

Project Grants (Contracts).

USES AND USE RESTRICTIONS:

Federal funds are used for the establishment and operation of centers for independent living which offer a combination of services. Services must include independent living core services which mean information and referral services, training in independent living skills, peer counseling, individual and systems advocacy, and as appropriate, a combination of any other independent living services specified in Title II of the Rehabilitation Act. Each center must have a governing board composed of a majority of persons with significant disabilities. The majority of the staff and individuals in decision making positions must be individuals with disabilities. Not less than 1.8 percent and not more than two percent of Federal funds appropriated for the program must be reserved to provide training and technical assistance under contracts with entities experienced in the operation of centers for independent living.

Applicant Eligibility:

The principal eligible applicants are the private nonprofit agencies that received funding directly or through subgrants or contracts under the Centers for Independent Living program in fiscal year 1992. If funds remain available after all principal eligible applicants have been funded, other centers for independent living (as defined in Section 702 of the Rehabilitation Act) and State agencies may receive funding based on satisfactory applications (including territories and outlying areas).

Beneficiary Eligibility:

Individuals with significant physical, mental, cognitive, or sensory impairments whose ability to function independently in the family or community or whose ability to obtain, maintain, or advance in employment is substantially limited. Eligibility shall not be based on the presence of any one or more specific significant disabilities.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program.

Application Procedure:

This program is subject to the provisions of OMB Circular No. A-110.

Applications for new awards from eligible agencies should be submitted to the Department of Education, 400 Maryland Ave., S.W., Washington, DC 20202-4725, unless otherwise directed in the Federal Register Announcement.

Award Procedure:

New awards are made on approval of the Commissioner of Rehabilitation Services Administration, and the Assistant Secretary of OSERS, based on the findings of Federal and nonfederal reviews of applications to ensure the applicants satisfactorily demonstrate that they have met the standards and assurances described in Section 725 (b) and (c) the Rehabilitation Act.

Deadlines:

Contact headquarters office for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None.

Renewals:

Projects may be continued based on a self-evaluation report activities, and accomplishments as described in the Center's self-evaluation and annual report requirements under Section 725 (c)(8) of the Act, submittal of a continuation application, and the availability of funds. Renewals are available through the regular continuation application process. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Existing projects are approved on an annual basis with funding on a twelve month basis. New projects are funded for five years with funding approved on a 12-month basis. Renewals are subject to the availability of funds.

Reports:

Annual and fiscal progress reports and self-evaluations (A-F Reports) must be submitted as prescribed by Section 725 (c)(8) of the Rehabilitation Act, and grant award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Fiscal records must be maintained for 3 years after the grant ends and the final financial report is submitted or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants) FY 07 \$74,638,000; FY 08 est \$73,334,000; and FY 09 \$73,334,000.

Range and Average of Financial Assistance:

Range: \$785,000 to \$7,350,000. Average: \$1,381,000 in FY 2007.

PROGRAM ACCOMPLISHMENTS:

Grants supported the operation of approximately 340 centers in FY 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Regulations at 34 CFR 364 and 366.

Regional or Local Office:

None.

Headquarters Office:

Rehabilitation Services Administration, 400 Maryland Avenue, S.W., Washington, DC 20202. Contact: Veronica Hogan. E-mail: veronica.hogan@ed.gov. Telephone: (202) 245-7378.

Web Site Address:

<http://www.ed.gov/programs/cil/index.html>.

RELATED PROGRAMS:

84.169, Independent Living State Grants; 84.177, Rehabilitation Services Independent Living Services for Older Individuals Who are Blind.

EXAMPLES OF FUNDED PROJECTS:

Provided services vary from one center to another. Centers provide a combination of services, including: information and referral; independent living skills training; individual and systems advocacy; counseling services; housing; rehabilitation technology; mobility training; life skills training; interpreter and reader services; personal assistance services; accessible transportation; supported living; therapeutic treatment; provision of prostheses and other devices; and other services described in Section 7 (30)(B) of the Rehabilitation Act.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria for this program include extent of need for the project, past performance, satisfaction of the standards and assurances set forth in Section 725, quality of key personnel, budget and cost-effectiveness, evaluation plan, plan of operation, and involvement of individuals with significant disabilities. Criteria for evaluating applications are published in program regulations at 34 CFR 366.

84.133 NATIONAL INSTITUTE ON DISABILITY AND REHABILITATION RESEARCH

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, Title II.

OBJECTIVES:

To support and coordinate research and its utilization to improve the lives of people of all ages with physical and mental disabilities, especially persons with severe disabilities, through: (1) identifying and eliminating causes and consequences of disability; (2) maximizing the health, physical, and emotional status of persons with disabilities and their functional ability, self-sufficiency, self-development and personal autonomy; (3) preventing or minimizing personal and family, physical, mental, social, educational, vocational, and economic effects of disability; and (4) reducing and eliminating physical, social, educational, vocational, and environmental barriers to permit access to services and assistance and to use their abilities in daily life.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS:

Grants, cooperative agreements, and contracts are awarded for research, demonstration, dissemination/utilization projects of national significance, and career training projects. All applications must meet standards of excellence in research and evaluation design. Fellowships support individual investigators in pursuing research in rehabilitation.

Applicant Eligibility:

States, public, private, or nonprofit agencies and organizations, institutions of higher education, and Indian tribes and tribal organizations are eligible for research projects and specialized research activities related to the rehabilitation of individuals with disabilities; fellowships may be awarded to individuals.

Beneficiary Eligibility:

Individuals with disabilities may benefit directly or indirectly from research and other activities conducted by grantees, such as technical assistance and dissemination.

Credentials/Documentation:

Applicants should present written evidence of other agencies' willingness to cooperate when the project involves their acceptance or the utilization of their facilities or services. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Nonprofit organizations must show proof of such status.

Preapplication Coordination:

The NIDRR long-range research plan serves as the basis for program announcements that are published in the Federal Register and Requests for Proposals that are published in the Commerce Business Daily. The standard application forms as furnished by the Federal agency or published in the Federal Register and required by OMB Circular No. A-102 must be used in this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Official notice of approved applications is made through issuance of a Notice of Grant Award or an official letter of fellowship award. Grants and fellowships are awarded by the Secretary, Department of Education.

Deadlines:

Grant application deadlines are established by individual notices inviting applications. Contract deadlines are established in individual Requests for Proposals. Announcements are published throughout the year.

Range of Approval/Disapproval Time:

Ranges from 30 to 120 days. Generally, solicited grants, fellowships, and contracts will be acted upon within 120 days.

Appeals:

No formal appeal procedures. If an application is disapproved, the reasons for disapproval will be fully stated. Applicants will be sent copies of the reviewers' comments appraising their applications.

Renewals:

Grantees must submit annual progress reports in order to receive continuation funding. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

Grantees funded under Sections 204(a) and 202(k) of the Rehabilitation Act, as amended, are required to share in the cost of projects. The amount of cost-sharing is negotiable. Cost-sharing for other grantees is at the Secretary's option.

Length and Time Phasing of Assistance:

Funds are awarded in 12 month budget periods. Support beyond the first 12 month budget period is contingent upon evidence that the grantee has made substantial progress toward achieving the objectives and outcomes for a project, and availability of funds. Awards may be made for a maximum of five years.

Reports:

Annual reports of progress and annual expenditures are required on all projects.

Comprehensive final reports are due 90 days after the end of the project.

Audits:

All fiscal transactions identifiable to Federal financial assistance are subject to audit by ED Audit Agency.

Records:

Proper accounting records, identifiable by grant or contract number including all receipts and expenditures, must be maintained for three years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$106,705,000; FY 08 est \$105,741,000; and FY 09 est \$105,741,000.

Range and Average of Financial Assistance:

Not applicable because the range and average varies greatly by competition.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grant administrative policies for programs and fellowships may be obtained from the program office. NIDRR program regulations are contained in 34 CFR 350-359.

Regional or Local Office:

Contact Headquarters Office.

Headquarters Office:

Department of Education, OSERS National Institute on Disability and Rehabilitation Research 400 Maryland Ave., S.W., Washington, DC 20202-2500. Donna Nagle. E-mail Address: donna.nangle@ed.gov. Telephone: (202) 245-7462.

Web Site Address:

<http://www.ed.gov/about/offices/list/osers/nidrr/index.html>.

RELATED PROGRAMS:

61.007, Blind Rehabilitation Centers; 84.129, Rehabilitation Long-Term Training; 84.224, Assistive Technology.

EXAMPLES OF FUNDED PROJECTS:

(1) Research and Training Centers with emphasis on vocational rehabilitation, medical rehabilitation, rehabilitation of persons with mental retardation, deafness rehabilitation, rehabilitation of persons with mental illness, and rehabilitation of those with severe visual disabilities; (2) Rehabilitation Engineering Centers with the application of engineering advances as applied to rehabilitation of persons with physical disabilities; special activities in prosthetics, orthotics, and rehabilitation aids and devices for various handicapping conditions; (3) specific research and demonstration projects concerned with medical, psychosocial, sensory, psychiatric, and vocational rehabilitation; (4) research utilization and dissemination of research findings; (5) field initiated projects in rehabilitation research; (6) innovation grants for conferences, testing of devices and curriculum development; (7) grants for advanced training in research related to disability; and (8) grants for projects to facilitate implementation of the Americans with Disabilities Act.

CRITERIA FOR SELECTING PROPOSALS:

Objectives of projects and programs must be in consonance with and should contribute to achievement of the goals and objectives of the Institute. Adequate facilities must be available or planned to carry out the specific project or program. Personnel must be trained and qualified to carry out the proposed programs, and staffing patterns must be appropriate. Demonstrations of adequate plans and procedures for ensuring the relevance to current needs in rehabilitation and the potential for project results must be effectively utilized. Commitment of available resources on the part of the applicant and evidence that the applicant has knowledge of rehabilitation issues as well as knowledge of past and present related research activities. A statement showing that the estimated cost to the government is reasonable in relation to anticipated project results. Demonstrate the ability and capacity to achieve stated goals. Demonstrate, coordinate and cooperate with regional programs, State agency programs, and private rehabilitation facilities. Specific weighted selection criteria are contained in program regulations. For fellowships, criteria are specified in program regulations, 34 CFR 356. These criteria include the education and experience of the individual and the quality of the fellowship proposal.

84.141 MIGRANT EDUCATION-HIGH SCHOOL EQUIVALENCY PROGRAM

(HEP)

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 5, Section 418A, 20 U.S.C. 1070d-2.

OBJECTIVES:

To assist students who are engaged, or whose parents are engaged, in migrant

and other seasonal farm work to obtain the equivalent of a secondary school diploma and subsequently to gain employment or be placed in an institution of higher education or other postsecondary education or training.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Project funds may be used to recruit and provide academic and support services (including counseling, health services, stipends, and placement) to migrant students to obtain the equivalent of a secondary school diploma and to subsequently gain employment or be placed in an institution of higher education or other postsecondary education or training.

Applicant Eligibility:

Institutions of higher education or private nonprofit agencies in cooperation with institutions of higher education may apply.

Beneficiary Eligibility:

Persons who are engaged or whose parents are engaged in migrant and other seasonal farmwork or who have participated or have been eligible to participate in the Title I, MEP. Eligible beneficiaries are 16 and older or beyond the age of compulsory school attendance, and lacking a high school diploma.

Credentials/Documentation:

To be eligible to participate in HEP: the applicant or their parents must: (1) Have worked a minimum of 75 days during the past 24 months in migrant or seasonal farmwork, or have been eligible to participate or have participated within the past 2 years in Title I, Migrant Education Program; (2) not have earned a secondary school diploma or its equivalent; (3) not be currently enrolled in an elementary or secondary school; (4) be 16 years of age or older, or above the age of compulsory school attendance in the State where the project is located; and (5) be determined by the grantee to need the academic and supporting services and financial assistance provided by the project.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application forms are available from the Department of Education. An applicant submits its application to the Department of Education no later than the date announced by the Department in the Federal Register. An application must be prepared and submitted in accordance with the regulations, instructions, and forms included in the grant application package. Applications are reviewed and evaluated by a panel for selection of possible funding.

Award Procedure:

The Department of Education notifies a successful applicant of its award. Actual negotiation and awarding of grants is done by the Department of Education's Office of Migrant Education staff.

Deadlines:

Contact the Department of Education for application deadlines.

Range of Approval/Disapproval Time:

Approximately three months.

Appeals:

None.

Renewals:

Grants are awarded for up to 5 years. Renewals are subject to the availability of funds and recipient performance.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The project period is up to 60 months. Funds are awarded for 12-month budget periods. Renewals are subject to the availability of funds and recipient performance.

Reports:

Annual continuation applications showing satisfactory performance are required each year and a final performance report is required 90 days after completion of a project.

Audits:

In accordance with the Education Department General Administration Regulations in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (34 CFR 74, 75, and 80), grantees must maintain certain project records for three years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Grants) FY 07 \$18,550,000; FY 08 est \$18,226,000; and FY 09 est \$18,226,000.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$310,000 to \$475,000; the average award is expected to be \$417,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, the program will serve approximately 7,400 students in 44 projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 74, 75, 77, 79, 82, 85, 86, and 206.

Regional or Local Office:

None.

Headquarters Office:

Office of Migrant Education, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Room 3E227, Washington, DC 20202. Contact: David De Soto. E-mail: david.de.soto@ed.gov Telephone: (202) 260-8103. Toll-Free Telephone: (800) 872-5327.

Web Site Address:

<http://www.ed.gov/programs/hep/index.html>.

RELATED PROGRAMS:

84.002, Adult Education - Basic Grants to States; 84.047, TRIO Upward Bound; 84.144, Migrant Education Coordination Program; 84.149, Migrant Education College Assistance Migrant Program; 84.213, Even Start State Educational Agencies; 84.214, Even Start Migrant Education; 93.246, Health Centers Grants for Migrant and Seasonal Farmworkers.

EXAMPLES OF FUNDED PROJECTS:

Project funds are used for recruitment, instruction designed to help participants pass an examination and obtain a high school equivalency certificate, counseling, health services, housing for on-campus residential programs, exposure to academic programs, cultural events, and other activities not usually available to migrant youth, and appropriate in-service training activities for project staff members.

CRITERIA FOR SELECTING PROPOSALS:

Migrant Education High School Equivalency Program regulations (34 CFR 206) include the following criteria for selecting proposals, as follows: need for project (15 points); quality of project design (20 points); quality of management plan (20 points); quality of project services (15 points); quality of project personnel (10 points); adequacy of resources (10 points); project evaluation (10 points); and prior experience (15 points).

84.144 MIGRANT EDUCATION-COORDINATION PROGRAM

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, Title I, Part C, Sec. 1308. 20 U.S.C 6398.

OBJECTIVES:

To encourage the interstate and intrastate coordination of migrant education including consortium arrangements in order to reduce the administrative costs of state educational agencies (SEAs) receiving Title I Migrant Education Program funds under 84.011.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are used to: (A) Support activities to improve interstate and intrastate coordination of migrant education by State and local educational agencies; and (B) provide incentive grants to SEAs that participate in an approved consortium under the program. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

State educational agencies, local educational agencies, institutions of higher education and other public or nonprofit private entities may apply for funds to improve interstate and intrastate coordination of migrant education (specific eligibility varies by activity). SEAs participating in MEP consortium may apply for incentive grant awards.

Beneficiary Eligibility:

Migratory children of migratory agricultural workers or migratory fishers benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

This program does not use a preapplication form. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs."

An applicant should consult the office or official designated as the single point of contact in his/her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications must be prepared and submitted in accordance with the regulations, instructions, and forms included in the grant application package. The applications are reviewed and evaluated by a panel for funding selection.

Award Procedure:

The Department of Education notifies successful applicants of awards. Actual negotiation and awarding of grants is done by the Department of Education's Office of Migrant Education staff. The Department's Contracts Service negotiates contracts.

Deadlines:

Deadlines are announced in the Federal Register.

Range of Approval/Disapproval Time:

Approximately three months.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

Interstate and intrastate coordination grants have no statutory formula or matching requirements. Consortium incentive grants are awarded by formula, based on the amount of MEP funds an SEA is able to redirect from administrative activities into direct services to migrant children as a result of participating in a consortium.

Length and Time Phasing of Assistance:

Grants and contracts for interstate and intrastate coordination activities may be awarded for not more than five years, subject to the availability of funds.

Consortium incentive grants are annual awards made for a 12-month period.

Reports:

Annual performance reports are required from SEAs participating in consortium incentive grants and from other grant recipients. Reports for activities funded through contracts depend on the specific terms of the contract.

Audits:

In accordance with the Education Department General Administration Regulations in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations, grantees must maintain certain project records for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Grants) FY 07 \$10,000,000; FY 08 est \$10,000,000; and FY 09 est \$12,500,000.

Range and Average of Financial Assistance:

For FY 2008, the range of awards for incentive grants is expected to be \$60,000 to \$250,000.

PROGRAM ACCOMPLISHMENTS:

Approximately 23 consortium incentive grants were awarded in FY 2006. Consortium Incentive Grants fund high-quality consortia to improve the intrastate and interstate coordination of migrant education programs by addressing any of eight key needs of migratory children who have their education interrupted. FY 2006 recipients implemented activities designed to improve the proper and timely identification and proficiency of migratory children. In addition, development of the Migrant Student Information Exchange (MSIX) was completed in 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 75, 76, and 80.

Regional or Local Office:

None.

Headquarters Office:

Office of Migrant Education, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., FOB6, Room 3E331, Washington, DC 20202. Contact: Lori Ahmady. Telephone: (202) 260-1391.

Web Site Address:

<http://www.ed.gov/about/offices/list/oese/ome/index.html>.

RELATED PROGRAMS:

84.011, Migrant Education_State Grant Program; 84.141, Migrant Education_High School Equivalency Program; 84.149, Migrant Education_College Assistance Migrant Program; 84.213, Even Start_State Educational Agencies; 93.246, Health Centers Grants for Migrant and Seasonal Farmworkers; 93.600, Head Start.

EXAMPLES OF FUNDED PROJECTS:

Funded programs include: (1) Consortium Incentive Grants, which support multistate consortia for improving coordination in seven areas of need (e.g., improving the identification and recruitment of eligible migrant children; using scientifically based research to improve school readiness; improving reading and math proficiency; decreasing the dropout rate; improving high school completion rates; strengthening the involvement of parents; and expanding access to innovative technologies), and separately by contract (2) the Migrant Education Hotline, a toll-free number (800) 234-8848 that migrant farmworkers and their families can call to obtain migrant education program services and for referrals to supportive services; (3) the Migrant Education Coordination Support Center, a logistical support contract to organize and implement effective meetings in support of national interstate coordination initiatives; and (4) the Migrant Student Information Exchange (MSIX) System, a records transfer system to facilitate the transfer of health and academic records of migrant children across states.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for interstate/intrastate coordination grants are consistent with 34 CFR 75. Incentive grant awards are made under a formula established in a Notice of Final Criteria published April 8, 1996, in the Federal Register at FR 15670.

84.145 FEDERAL REAL PROPERTY ASSISTANCE PROGRAM

FEDERAL AGENCY:

OFFICE OF THE DEPUTY UNDER SECRETARY FOR HUMAN RESOURCES AND ADMINISTRATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Federal Property and Administrative Services Act of 1949, Section 203(k), as amended, Public Law 81-152, 63 Stat. 377, 40 U.S.C. 484(k); Department of Education Organization Act of 1979, Public Law 96-88, 93 Stat. 668, 20 U.S.C. 3401 et seq.

OBJECTIVES:

To convey surplus Federal Real Property for educational purposes at fair market value.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

Must be used for education purposes including but not limited to higher education, elementary and secondary education, libraries, central administrative facilities, educational television and radio, rehabilitation and training, vocational education and research, correctional education centers.

Applicant Eligibility:

Those groups, organizations, entities, or institutions providing educational programs including: States; their political subdivisions and instrumentalities; and tax supported organizations or private nonprofit institutions held exempt from taxation under Section 501(C)(3) of the Internal Revenue Code of 1954 may apply.

Beneficiary Eligibility:

Program participants receiving educational opportunities will benefit.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All potentially eligible entities are notified of the availability of property including State and local officials or any known educational entity authorized to operate within the general geographical area. Upon receipt of a notice of intent to file an application, a preapplication conference is possible. This program is excluded from coverage under OMB Circular No. A-102. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program.

Application Procedure:

Application guides and deadlines for filing applications are provided on a case by case basis. All applicants must demonstrate the legal, financial, and technical ability to conduct the educational programs proposed. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Written notification with follow-up issuance of formal transfer of title.

Deadlines:

Twenty days from notice of availability to express interest in acquiring the property; 25 days from close of the expression of interest period to develop and submit applications.

Range of Approval/Disapproval Time:

Thirty to 90 days for tentative decisions, after receipt of the application, and 90 to 360 days to the formal transfer of a title.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Recipient must cover all expenses for improvement, renovation, repair, maintenance, and operation of the program and facilities.

Length and Time Phasing of Assistance:

Up to 30 years. The fair market value (FMV) of the property is amortized over a period of time established based on the type of property acquired. Public benefit discount of the FMV is granted when public educational programs are being conducted.

Reports:

An annual written report of activities by the recipient.

Audits:

On-site audits are conducted during the period of restriction to assure operation as specified in the application and deed of transfer.

Records:

None.

Account Identification:

91-0800-0-1-503.

Obligations:

Funds are not separately identifiable.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Current active cases number about 800 with approximately ten transfers per year. Historically, the program has transferred over 2,000 parcels of various sizes with an acquisition value of \$1.17 billion.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 34 CFR 12; Pamphlet: How to Acquire Surplus Federal Real Property for Educational Purposes; Guidelines: Application outline and preparation assistance can be obtained at the zone office level.

Regional or Local Office:

See Appendix IV of the Catalog for a list of regional offices.

Headquarters Office:

Federal Real Property Assistance Program, Office of the Administrator for Management Services, Department of Education, 400 Maryland Ave., S.W., Washington, DC 20202. Contact: David Hakola. Telephone: (202) 401-0500.

Web Site Address:

<http://www.ed.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Type of surplus facilities available range from improved or unimproved land in rural and urban settings (e.g., former Nike missile sites to total military bases such as former Air Force stations).

CRITERIA FOR SELECTING PROPOSALS:

All applications must establish eligibility of the institution and its program needs. A public benefit allowance formula, uniformly applied, determines the respective benefits of each program. Basic allowance of 50 percent is allowed to institutions meeting the following: (1) Proof of current need; (2) ability to operate and maintain; (3) suitability of facilities or adaptability for conversion; (4) requirement for utilization through period of restrictions; and (5) nondiscrimination because of race, color, sex, handicap, or national origin. Additional allowances are made for tax support, accreditation, hardship, not met needs, integrated research, public services, and training programs. For competing programs, the one showing the greatest public benefit is selected. Where property can be divided, as many compatible programs as possible are accommodated.

84.149 MIGRANT EDUCATION-COLLEGE ASSISTANCE MIGRANT PROGRAM

(CAMP)

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 5, Section 418A, 20 U.S.C. 1070d-2.

OBJECTIVES:

To assist students that are engaged, or whose parents are engaged in migrant and other seasonal farmwork, and are enrolled or are admitted for enrollment on a full-time basis in the first academic year at an institution of higher education.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Project funds may be used to provide supportive and instructional services, including tutoring and counseling services and assistance in obtaining student

financial aid (including stipends, tuition, and room and board) to first-year college students, assist those students in obtaining financial aid for their remaining undergraduate years, and provide follow-up services, such as monitoring and reporting students first year and subsequent year academic progress, and referrals to counseling services, academic assistance or financial aid.

Applicant Eligibility:

Institutions of higher education or private nonprofit agencies in cooperation with institutions of higher education may apply.

Beneficiary Eligibility:

First-year college students that are engaged, or whose parents are engaged, in migrant and other seasonal farmwork or who have participated or been eligible to participate in the Title I, Migrant Education Program.

Credentials/Documentation:

To be eligible to participate in a CAMP project, the applicant must: (1) be enrolled or admitted for enrollment as a full-time student at a participating institution of higher education; (2) not be beyond the first academic year of a program of study at the institution of higher education, as determined under the standards of the institution; and (3) be determined by the grantee to need the academic and supporting services and financial assistance provided by the project in order to complete an academic program of study at the institution of higher education.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application forms are available from the Department of Education. An applicant submits its application to the Department of Education no later than the date announced by the Department in the Federal Register. An application must be prepared and submitted in accordance with the regulations, instructions, and forms included in the grant application package. The applications are reviewed and evaluated by a panel for possible selection for funding.

Award Procedure:

The Department of Education notifies successful applicants of awards. Actual negotiation and awarding of grants is done by the Department of Education's Office of Migrant Education staff.

Deadlines:

Contact the Department of Education for application deadlines.

Range of Approval/Disapproval Time:

Approximately three months.

Appeals:

None.

Renewals:

Grants are awarded for 5 years, subject to the availability of funds and recipient performance.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The project period is up to 60 months; funds are awarded for a 12-month budget period. Renewals are subject to the availability of funds and recipient performance.

Reports:

Annual continuation applications showing satisfactory performance are required each year, and a final performance report is required 90 days after project ends.

Audits:

In accordance with the Education Department General Administration Regulations in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate. If such entities are excluded, audits of these entities shall be made in accordance with statutory requirements and the provisions of 34 CFR 74.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (34 CFR 74, 75, and 80), grantees must maintain certain project records for five years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Grants) FY 07 \$15,377,000; FY 08 est \$15,108,000; and FY 09 est \$15,108,000.

Range and Average of Financial Assistance:

The estimated average Federal contribution per student for FY 2008 is \$7,914. For FY 2008 the range of awards is expected to be \$200,000 to \$425,000; the average is expected to be \$410,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2008 the program will serve approximately 1,943 students in 38 projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 206.

Regional or Local Office:

None.

Headquarters Office:

Office of Migrant Education, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Contact: David De Soto. E-mail: david.de.soto@ed.gov. Telephone: (202) 260-8103; Toll-Free Telephone: (800) 872-5327.

Web Site Address:

<http://www.ed.gov/programs/camp/index.html>.

RELATED PROGRAMS:

84.011, Migrant Education State Grant Program; 84.042, TRIO_Student Support Services; 84.047, TRIO_Upward Bound; 84.141, Migrant Education_High School Equivalency Program; 84.144, Migrant Education_Coordination Program; 93.246, Health Centers Grants for Migrant and Seasonal Farmworkers.

EXAMPLES OF FUNDED PROJECTS:

Project funds are used to recruit potential participants and to provide services to students in such areas as: tutoring; academic, career, and personal counseling; health services; housing support; exposure to academic programs, cultural events, and other activities not usually available to migrant youth; and appropriate in-service training activities for project staff members.

CRITERIA FOR SELECTING PROPOSALS:

Program regulations (34 CFR 206) include the criteria for selecting proposals, as follows: Need for Project (15 points); quality of project design (20 points); quality of management plan (20 points); quality of project services (15 points); quality of project personnel (10 points); adequacy of resources (10 points); evaluation (10 points); prior experience (15 points).

84.153 BUSINESS AND INTERNATIONAL EDUCATION PROJECTS**FEDERAL AGENCY:**

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title VI, Part B, Section 613, as amended.

OBJECTIVES:

To promote innovation and improvement in international business education curricula at institutions of higher education (IHEs) and promote linkages between IHEs and the business community.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Institutions eligible for discretionary grant funding must enter into an agreement with a business enterprise, trade organization or association engaged in international economic activity, or a combination or consortium of the named entities. Eligible activities include but are not limited to: Improving the business and international education curriculum of institutions to serve the needs of the business community, including the development of new programs for mid-career or part-time students; Developing programs to inform the public of increasing international economic interdependence and the role of U.S. businesses within the international economic system; Internationalizing curricula at the junior and community college level and at undergraduate and graduate schools of business; Developing area studies and interdisciplinary international programs; Establishing export education programs; Conducting research and developing specialized teaching materials appropriate to business-oriented students; Establishing student and faculty fellowships and internships or other training or research opportunities; Creating opportunities for business and professional faculty to strengthen international skills; Developing research programs on issues of common interest to IHEs and private sector organizations and associations engaged in or promoting international economic activity; Establishing internships overseas to enable foreign language students to develop their foreign language skills and knowledge of foreign cultures and societies; Establishing links overseas with IHEs and organizations that contribute to the education objectives of this program; and Establishing summer institutes in international business, foreign areas, and other international studies designed to carry out the purposes of this program. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Accredited institutions of higher education may apply. Institutions must enhance their own international academic programs and provide appropriate services to the business community to expand U.S. exports abroad.

Beneficiary Eligibility:

Students and faculty at accredited institutions will benefit.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Eligible institutions may submit proposals for funding of projects when the announcement of a new competition appears in the Federal Register. Application forms and guidelines are available from the International Education Graduate Program Service. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Final recommendations are made to the Secretary of Education following advice from a panel of consultants, developed according to procedures in regulations.

Deadlines:

Deadline for transmittal of proposals is announced in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

None.

Renewals:

Project period may last up to 24 months. Applicants submit performance reports for continuation funding according to instructions established by the Department. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

The Federal share for each fiscal year shall not exceed 50 percent of the cost of each project.

Length and Time Phasing of Assistance:

Grants are awarded for a 12 or 24 month period. Renewals are subject to the availability of appropriations.

Reports:

Institutions must provide final reports upon completion of the program. Financial reports are also required.

Audits:

None.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available upon request: (1) For three years after close of the fiscal year in which the grant was closed out; or (2) until the grantee is notified of the completion of a Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

FY 07 \$4,340,000; FY 08 \$4,141,000; and FY 09 est \$4,350,000.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$50,000 to \$110,000; the average award is \$82,000.

PROGRAM ACCOMPLISHMENTS:

Twenty-three new grants and 26 continuation grants are expected to be awarded in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655, 661, Education Department General Administrative Regulations. Contact the program office for more information.

Regional or Local Office:

None.

Headquarters Office:

International Education Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-5331. Contact: Tanyelle Richardson. Telephone: (202) 502-7626. E-mail: tanyelle.richardson@ed.gov.

Web Site Address:

<http://www.ed.gov/programs/iegpsbie/>.

RELATED PROGRAMS:

84.220, Centers for International Business Education.

EXAMPLES OF FUNDED PROJECTS:

Projects to improve the teaching of international business and support linkages between institutions of higher education and the business community engaged in international commerce. Howard Community College's International Business and Education Center developed a one-stop shopping International Trade Assistance Center (ITAC) which promotes economic development in Howard County and the State of Maryland with Title VI funding. ITAC provides services to businesses in all phases of exporting. Furthermore, ITAC has partnered with several public entities, including Maryland's International Division, World Trade Center Institute and Howard County's Economic Development Authority and others. These partnerships enable ITAC to serve businesses and promote their capacity to sell its goods and services outside the United States. Moreover, the International Business and Educational Center

(IBEC) has launched major initiatives to internationalize both credit and non-credit courses at Howard Community College. These initiatives include international faculty exchanges. The first-hand experience enables faculty to enrich both the curriculum and the student awareness of international business issues. Purdue University's School of Agriculture trained twenty undergraduate students in agribusiness, Russian language and area studies. The students studied Russian language, area studies, agriculture and business practices in both Indiana and Novgorod, Russia. Each student collected and compiled market research for Indiana businesses and were involved in several trade workshops in Indiana to increase the capacity of Indiana agribusiness to conduct trade activities in Russia.

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively under the selection criteria which includes: 35 CFR 661.31 and (a) Neef for the project (25 points); (b) plan of operation (20 points); (c) qualifications of the key personnel (10 points); (d) budget and cost effectiveness (15 points); (e) evaluation plan (25 points); and (f) adequacy of resources (5 points).

84.160 TRAINING INTERPRETERS FOR INDIVIDUALS WHO ARE DEAF AND INDIVIDUALS WHO ARE DEAF-BLIND

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302(f), 29 U.S.C. 774 (d).

OBJECTIVES:

To support projects that increase the numbers and improve the skills of manual, oral, and cued speech interpreters providing services to individuals who are deaf and individuals who are deaf-blind

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are awarded to eligible grantees to provide training for manual, tactile, oral and cued speech interpreters. This training may include classroom instruction, workshops, seminars, and field placement. Curriculum may include specialty areas such as interpreting in legal, medical, or rehabilitation settings or for deaf-blind, speech-impaired or developmentally disabled persons.

Applicant Eligibility:

Public or private nonprofit agencies and organizations, including institutions of higher education are eligible for assistance.

Beneficiary Eligibility:

Individuals preparing for employment as interpreters for individuals who are deaf and individuals who are deaf-blind who are presently serving as interpreters and wish to maintain or raise the level of their skills, and the persons who will receive the services of interpreters are beneficiaries of this program.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-21 for educational institutions applies.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State; for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application is made to Department of Education, Application Control Center, 400 Maryland Ave., S.W., Washington, DC 20202-4725. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

All new applications are reviewed by a panel of at least three individuals. Each panel will include one or more nonfederal consultants with experience and training with interpreting for individuals who are deaf and individuals who are deaf-blind.

Deadlines:

Contact the headquarters office for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 160 days.

Appeals:

None.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of adequate funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

Grantees are required to share in the cost of projects with a portion subject to individual negotiations.

Length and Time Phasing of Assistance:

Projects may be supported for a maximum of 5 years, with budget periods of 12 months.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions.

Audits:

Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper records must be maintained for three years subsequent to audit or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$2,093,000; FY 08 est \$2,084,000; and FY 09 \$2,084,000.

Range and Average of Financial Assistance:

Project grants range from \$120,000 to \$160,000 in FY 08.

PROGRAM ACCOMPLISHMENTS:

Six awards were made in FY 07.

REGULATIONS, GUIDELINES, AND LITERATURE:

Training of Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind Regulations (34 CFR 385); (34 CFR 396); the Education Department General Administrative Regulations (EDGAR) in 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OSERS Rehabilitation Services Administration 400 Maryland Ave., S.W., Washington, DC 20202-2736. Contact: Ruth Brannon, E-mail Address: ruth.brannon@ed.gov. Telephone: (202) 245-7278.

Web Site Address:

<http://www.ed.gov/students/college/aid/rehab/catinter.html>.

RELATED PROGRAMS:

84.129, Rehabilitation Long-Term Training.

EXAMPLES OF FUNDED PROJECTS:

The program supports regional interpreter training projects to provide training to interpreters. The national interpreter training projects are also supported to address the training of interpreters via distance education and the training of interpreter educators and mentors.

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the project to the stated objectives of the interpreter training program. The number of trainees expected to be involved; demonstrated capacity or potential for providing interpreter training, the past record of existing training programs; and the geographical area expected to be served.

84.161 REHABILITATION SERVICES-CLIENT ASSISTANCE PROGRAM

(CAP)

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title I, Part B, Section 112, 29 U.S.C. 732.

OBJECTIVES:

(1) To provide assistance in informing and advising clients and client applicants of available benefits under the Rehabilitation Act; (2) to assist and advocate for clients and client applicants in their relationships with projects, programs and services provided under this Act, including assistance and advocacy in pursuing legal, administrative and other appropriate remedies; (3) to provide information on available services under the Act and Title I of the Americans with Disabilities Act to any individual with disabilities in the State.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used for services to help clients and applicants understand the rehabilitation services available under the Rehabilitation Act, and to provide information to individuals with disabilities in the State. No class action suits are allowed.

Applicant Eligibility:

States and Territories (through the Governor) are eligible for awards. The Governor shall designate a public or private agency to conduct the State's program.

Beneficiary Eligibility:

Clients and client applicants receiving services or interested in seeking assistance under the Rehabilitation Act of 1973, as amended, will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency with approval of OMB under the Paperwork Reduction Act of 1980. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The Governor submits assurances that meet the requirements established under the Rehabilitation Act, as amended, and Federal regulations. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Notice of annual allotment to States, quarterly grant award notices and funds are withdrawn under the Electronic Transfer System or by Treasury check.

Deadlines:

Contact the headquarters office, RSA, for application deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

The State may appeal to the Office of Administrative Law Judges (34 CFR 81).

Renewals:

None.

Formula and Matching Requirements:

In accordance with the Act Federal funds are distributed on the basis of the relative population of each State, except no State shall receive less than \$50,000, Territories \$30,000. When total funds available for this program exceed \$7,500,000, the minimum allotment is \$100,000 for States and \$45,000 for Territories. This program has no matching requirements.

Length and Time Phasing of Assistance:

Formula grants.

Reports:

Annual progress reports, expenditure reports, and quarterly cash reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Information on clients and client applicants served as cases should be maintained.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants) FY 07 \$11,782,000; FY 08 est \$11,576,000; and FY 09 est \$11,576,000.

Range and Average of Financial Assistance:

The awards ranged from \$119,554 to \$1,210,950 in FY 07.

PROGRAM ACCOMPLISHMENTS:

An estimated 56,800 clients were served in FY 07. The majority (or more than three-fourths) of individuals served were persons with disabilities who requested routine information or referral services.

REGULATIONS, GUIDELINES, AND LITERATURE:

Client Assistance Program Regulations (34 CFR 370).

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, DC 20202-2500 Contact: James Billy. E-mail: james.billy@ed.gov. Telephone: (202) 245-7273.

Web Site Address:

<http://www.ed.gov/programs/rsacap/index.html>.

RELATED PROGRAMS:

84.126, Rehabilitation Services_Vocational Rehabilitation Grants to States;

84.128, Rehabilitation Services_Service Projects; 84.132, Centers for Independent Living; 84.160, Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.177, Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind; 84.187, Supported Employment Services for Individuals with Significant Disabilities; 84.234, Projects with Industry; 84.240, Program of Protection and Advocacy of Individual Rights.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.165 MAGNET SCHOOLS ASSISTANCE

FEDERAL AGENCY:

OFFICE OF INNOVATION AND IMPROVEMENT, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, Title V, Part C, as amended, 20 U.S.C. 7231-7231j.

OBJECTIVES:

To provide grants to eligible local educational agencies (LEAs) or consortia of LEAs for use in magnet schools that are part of approved desegregation plans and that are designed to bring together students from different social, economic, racial, and ethnic backgrounds.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for planning and promotional activities directly related to the development, expansion, continuation, or enhancement of academic programs offered at magnet schools; for purchasing books, materials, equipment; and for paying or subsidizing the salaries of teachers and instructional staff in magnet schools. Funds may not be used for transportation or activities that do not augment academic improvement. No more than 50 percent of the funds an applicant receives in the first year of a grant may be used for planning. No more than 15 percent of the funds received for the second year may be used for planning, and no more than 10 percent in the third year. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Local educational agencies (LEA) or consortia of LEAs that are implementing court-ordered or federally approved voluntary desegregation plans that include magnet schools are eligible to apply.

Beneficiary Eligibility:

Local educational agencies and participating students will benefit.

Credentials/Documentation:

Applicants must submit a copy of the desegregation plan they are implementing, or will implement, if assistance is made available. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application notices are published in the Federal Register and applications must be sent to the Education Department on or before the closing date. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Recommendations for the approval of applications are made by the program staff on the basis of published criteria, statutory priorities, State comments received under the E.O. 12372 process, and with the advice and assistance of a panel of reviewers. In addition, no application may be approved unless the Assistant Secretary for Civil Rights determines that all required assurance will be met.

Deadlines:

The closing date for the Magnet Schools Assistance Program is published in the Federal Register.

Range of Approval/Disapproval Time:

The range is anticipated to be 90 to 120 days from the closing date.

Appeals:

None.

Renewals:

The project period for a Magnet School award may not exceed 36 months. To receive continuation funding, grantees must demonstrate substantial progress toward meeting their goals and objectives. Renewals are subject to the

availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The length of grants will be up to 36 months, subject to the availability of funds.

Reports:

As part of the continuation funding process, grantees must submit performance reports annually. The program office will establish a due date.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofits Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Section 443 of the General Education Provisions Act, as amended by the Improving America's Schools Act, grantees must maintain records for 3 years.

Account Identification:

91-0204-0-1-501.

Obligations:

(Grants) FY 07 \$106,693,000; FY 08 est \$104,829,000; and FY 09 est \$104,829,000.

Range and Average of Financial Assistance:

The range of awards is approximately \$350,000 - \$4,000,000.

PROGRAM ACCOMPLISHMENTS:

Forty-one new awards were made in FY 2007. The next grant competition will be held in FY 2010, subject to the availability of funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for information on regulations (34 CFR 280).

Regional or Local Office:

None.

Headquarters Office:

Magnet Schools Assistance Program, School Improvement Programs, Office of Elementary and Secondary Education, 400 Maryland Avenue, S.W., Washington, DC 20202-6140. Contact: Steve Brockhouse. Telephone: (202) 260-2476. Internet: Steve.Brockhouse@ed.gov.

Web Site Address:

<http://www.ed.gov/programs/magnet/index.html>.

RELATED PROGRAMS:

84.004, Civil Rights Training and Advisory Services.

EXAMPLES OF FUNDED PROJECTS:

The following are examples of the types of projects that have been funded: (1) Implementation of science and math magnet projects; (2) projects to support performing arts magnet programs at the elementary and secondary level; and (3) projects to support Montessori programs.

CRITERIA FOR SELECTING PROPOSALS:

Quality criteria are used to evaluate the applicant's plan of operation; personnel; project design; budget and resources; evaluation plan; and commitment and capacity. Competitive priority is given to applicants that demonstrate the greatest need for assistance; that carry out new or significantly revised magnet schools; that select students to attend magnet schools through methods such as lottery, rather than academic examination; that implement innovative educational approaches consistent with approved State and local system reform plans; and that draw on comprehensive community involvement plans. For funds appropriated for the program in excess of \$75 million, competitive priority is given to those applicants that did not receive funds under the program in the last fiscal year of the previous funding cycle.

84.169 INDEPENDENT LIVING-STATE GRANTS

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part B, 29 U.S.C. 796a-796e-3.

OBJECTIVES:

To assist States in maximizing the leadership, empowerment, independence, and productivity of individuals with disabilities, and the integration and full inclusion of individuals with disabilities into the mainstream American society, by providing financial assistance for providing, expanding, and improving the provision of independent living services.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds received under this Part may be used to support the operation of the Statewide Independent Living Councils (SILC). States may also use funds received under this Part for one or more of the following purposes: to provide independent living services to individuals with significant disabilities; to

demonstrate ways to expand and improve independent living services; to support the operations of centers for independent living; to increase the capacities of public or nonprofit agencies and organizations and other entities to develop comprehensive approaches or systems for providing independent living services; to conduct studies and analyses, gather information, develop model policies and procedures, and present information, approaches, strategies, findings, conclusions, and recommendations to Federal, State, and local policymakers in order to enhance independent living services for individuals with disabilities; to train individuals with disabilities and individuals providing services to individuals with disabilities and other persons regarding the independent living philosophy; and to provide outreach to populations that are unserved or underserved by programs under this title, including minority groups and urban and rural populations.

Applicant Eligibility:

State agencies (including Territories) designated as the State unit to administer the State's independent living rehabilitation services program may apply.

Beneficiary Eligibility:

Individuals with significant physical, mental, cognitive, or sensory impairments.

Credentials/Documentation:

The State agency must certify the availability of State funds for matching purposes. The match for this program may be in-kind. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure:

In order to be eligible for an allocation under this program, States must submit a State plan for independent living rehabilitation services (meeting requirements established under the Rehabilitation Act of 1973, as amended) no later than July 1, of the year preceding the year for which the plan is submitted. Beginning in fiscal year 1996, State applicants must submit a 3-year State plan. This plan must be submitted not later than July 1, of the year preceding the first year of the forth coming 3-year period. The plan is updated whenever necessary to reflect a material change in the administration of the plan. The State may choose to submit a consolidated 3-year rehabilitation plan that includes independent living rehabilitation services and either vocational rehabilitation services or both the State's rehabilitation plans and the State's plan for services for persons with developmental disabilities developed under the Developmental Disabilities Act. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

A notice of annual allotment to the State is issued. Initial distributions are subject to reallocation at the end of the fiscal year. States receive annual grant award notices and funds may be withdrawn under the Electronic Transfer System.

Deadlines:

Three-year State plans for independent living rehabilitation services are submitted for approval no later than July 1, of the third year of each 3-year cycle.

Range of Approval/Disapproval Time:

The range has not been specified.

Appeals:

After reasonable effort has been made to resolve the questions involved, the State may appeal to the United States Court of Appeals for the circuit in which the State is located.

Renewals:

Awards are made on an annual basis and may be renewed for up to two additional years, for a total of three years under an approved State Plan for Independent Living.

Formula and Matching Requirements:

Federal funds are distributed based on the percentage each State's population constitutes of the total population of the United States. The source is the Population Estimates Annual, Bureau of the Census. The Federal share for any fiscal year is one State dollar for every Federal dollar. The State contribution may be cash or in-kind.

Length and Time Phasing of Assistance:

Awards are made on an annual basis.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for State-administered programs (34 CFR 76). Performance reports are required annually.

Audits:

The Education Department periodically conducts audits and site visits of designated State unit programs funded under Part B. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 30, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities

that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for State-administered programs (34 CFR 76). Generally, States and subgrantees must retain records related to grant funds and compliance for a period of 5 years.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants) FY 07 \$22,588,000; FY 08 est \$22,193,000; and FY 09 est \$22,193,000.

Range and Average of Financial Assistance:

The average award was \$428,000 in FY 2007.

PROGRAM ACCOMPLISHMENTS:

Funds were awarded to 78 designated State units.

REGULATIONS, GUIDELINES, AND LITERATURE:

Independent Living Regulations 34 CFR 364 and 365.

Regional or Local Office:

None.

Headquarters Office:

Office of Special Education and Rehabilitative Services, Department of Education, Rehabilitation Services Administration, 400 Maryland Avenue, S.W., Washington, DC 20202. Contact: Thomas Kelley. E-mail: thomas.kelley@ed.gov. Telephone: (202) 245-7404.

Web Site Address:

<http://www.ed.gov/programs/rsailstate/index.html>.

RELATED PROGRAMS:

84.132, Centers for Independent Living; 84.177, Rehabilitation Services Independent Living Services for Older Individuals Who are Blind.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

An approved State plan is a condition for receipt of funds under Title VII, Part B of the Rehabilitation Act.

84.170 JAVITS FELLOWSHIPS

FEDERAL AGENCY:

OFFICE FOR POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title VII, Part A, Subpart 1, as amended, 20 U.S.C. 1134-1134d.

OBJECTIVES:

To provide fellowships for graduate study in the arts, humanities, and social sciences to individuals of superior ability selected on the basis of demonstrated achievement, financial need, and exceptional promise. Fellowships are awarded to students intending to pursue a doctoral degree and may be awarded to students pursuing a master's degree in those fields in which the master's degree is commonly accepted as the terminal degree.

TYPES OF ASSISTANCE:

Project Grants (Fellowships).

USES AND USE RESTRICTIONS:

A recipient is entitled to use the fellowship in a graduate program at any accredited institution of higher education in which the recipient may decide to enroll. For each fellowship awarded, the institution receives an institutional allowance determined in accordance with the statutory formula in Section 703 of the Higher Education Act of 1965, as amended. The student receives a stipend set at the level of support based on that provided by the National Science Foundation graduate fellowships. No stipend may exceed the Fellow's demonstrated level of need according to measurements of need set forth in Part F of Title IV of the Higher Education Act. An individual receives payments only during the periods that he or she maintains satisfactory progress in his or her program of study and devotes essentially full time to study or research in the field in which the fellowship was awarded.

Applicant Eligibility:

Eligibility is limited to U.S. citizens or nationals, permanent residents of the United States, and citizens of any one of the Freely Associated States. Applicants must be enrolled or eligible to be enrolled in a graduate program in an approved field of study at an accredited institution of higher education. A minimum of sixty percent of these new fellowships shall be awarded to applicants in the humanities, twenty percent to applicants in the social sciences, and twenty percent to applicants in the arts.

Beneficiary Eligibility:

Citizens and nationals of the United States, permanent residents of the United States, and citizens of any one of the Freely Associated States.

Credentials/Documentation:

Regulations were published in the Federal Register on January 19, 1998. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be submitted in accordance with the notice of closing date published in the Federal Register. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Education Department will make awards in accordance with the criteria established by the Javits Fellowship Board. Contact the program office for additional information.

Deadlines:

Published in the Federal Register. Contact the program office for more information.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

None.

Renewals:

Requests for continuation of support are made on an annual basis by the institution at which the fellow is pursuing graduate study, but may not exceed 48 months. Continuation awards are subject to the availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The length of the grant is one year, but renewable for up to a total of 48 months, subject to the availability of appropriations.

Reports:

Certification from an appropriate official at an institution of higher education approved by the Secretary, stating that the fellow is making satisfactory progress and has devoted essentially full time to study or research in the field in which the fellowship was awarded.

Audits:

Fiscal records of institutions administering funds are subject to audit by the Education Department at any time within 5 years after the close of the fiscal year in which expenditures are liquidated.

Records:

All records bearing on the receipt and expenditure of funds under this program must be available for inspection by the Education Department. Records must be held for three years after the fiscal year in which expenditures are liquidated, or five years after the grant, or until resolution of any audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$9,699,030; FY 08 est \$9,529,558; and FY 09 est \$9,844,000.

Range and Average of Financial Assistance:

In FY 08, the fellowship stipend awards averaged \$30,000. The new institutional allowance covering tuition and fees was \$13,000.

PROGRAM ACCOMPLISHMENTS:

In FY 08, 220 fellowships were awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Javits Fellowship Program regulations are found at 34 CFR 650. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

International Education and Graduate Programs, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, DC 20202. Contact: Carmen Gordon. E-mail Address: Carmen.Gordon@ed.gov. Telephone: (202) 219-7138.

Web Site Address:

<http://www.ed.gov/programs/iegpsjavits/>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Fellowships are made in the following areas: arts, humanities, and social sciences.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Fellowship Board. Fellowship applications are evaluated based on scholarly achievements, including Graduate Record Examination (GRE) scores on the general test only if applying in the humanities, social sciences, multi- or interdisciplinary fields of art history or creative writing; awards; honors; narratives describing personal goals; and three letters of recommendation.

84.173 SPECIAL EDUCATION-PRESCHOOL GRANTS

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), Part B, Section 619, as amended, 20 U.S.C. 1419.

OBJECTIVES:

To provide grants to States to assist them to make available special education and related services for children with disabilities ages 3 through 5 years, and at a State's discretion, to 2 year old children with disabilities who will reach age three during the school year.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds are used by State education agencies: (1) to provide a free appropriate public education (FAPE) to preschool children with disabilities ages 3 through 5, and at the State's discretion, to 2-year old children with disabilities who will reach age 3 during the next school year; (2) to administer section 619; and (3) for support services, direct services, activities to meet the State's performance goals, to supplement other funds used for a Statewide coordinated service system designed to improve results for children and families, to provide early intervention services in accordance with Part C to children ages 3 through 5 who would otherwise be eligible under the Preschool Grants program, and to continue service coordination or case management for families who receive services under Part C.

Applicant Eligibility:

State education agencies in the 50 States, the District of Columbia, and Puerto Rico.

Beneficiary Eligibility:

Children aged 3 through 5 with disabilities, and (at the State's option) 2-year old children that will reach age 3 during the school year, that require special education and related services will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

There is no separate application required for this program. States are eligible to receive Preschool Grant funds if the State education agency establishes eligibility under section 612 of the IDEA and if the State makes free appropriate public education available to all children ages 3 through 5 with disabilities. This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

This program is excluded from coverage under OMB Circular No. A-110. States are eligible to receive funds under this program if the Secretary has approved the application and statement of assurances for that State as provided under Section 612 of Part B of the IDEA and if the State makes a free appropriate public education available to all children ages 3 through 5 year with disabilities residing in the State. Local education agencies establish eligibility for Preschool Grant funds by meeting local application requirements in section 613 of Part B of the IDEA. A single application is required for programs funded under Part B of the IDEA, including this program.

Award Procedure:

Once the State application is approved, a grant award representing the total preschool grant amount for that fiscal year is forwarded to the State's department of education. A State must serve all 3 through 5- year old children with disabilities if the State wants to continue participating in this program, as well as to receive funds for children ages 3 through 5 served under the Grants to States program.

Deadlines:

To expedite funding, the submission date is set for April 1. However, applications or amendments are accepted throughout the period of availability of Federal funds (15 months following July 1st of the fiscal year).

Range of Approval/Disapproval Time:

Within 90 days.

Appeals:

The Department shall not take any final action until the State is provided reasonable notice and an opportunity to submit written objections and opportunity for a hearing.

Renewals:

None.

Formula and Matching Requirements:

Preschool Grants are awarded to States based on a statutory formula. A State first receives the amount it received under this program in federal fiscal year 1997. If the appropriation for this program in any subsequent fiscal year exceeds the amount for the preceding fiscal year, 85 percent of the excess appropriation over the fiscal year 1997 appropriation is awarded to States based on their relative populations of children aged 3 through 5, and 15 percent of the excess appropriation is awarded based on the State's relative population of all children aged 3 through 5 living in poverty. The formula also provides several floors and ceilings regarding the amount a State can receive in a year. The Act

also specifies how funds are allocated when the appropriation is less the amount provided in the prior fiscal year.

Length and Time Phasing of Assistance:

Grants are issued each fiscal year. The Federal obligation period is a 15-month period from July 1 through September 30. State and local education agencies have an additional 12-month period to obligate their funds.

Reports:

Annual State Performance Plans (SPPs) and Annual Performance Reports (APRs) are submitted to the Department of Education from the State department of education, including information required by section 618 of the IDEA.

Audits:

In accordance with the provisions of OMB Circular No A-133 (Revised, June 27, 2003), audits of States, local governments, and non-profit organization, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

As required by EDGAR for State administered programs (34 CFR 76.730-731). Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review.

Account Identification:

91-0300-0-1-501.

Obligations:

(Grants) FY 07 \$380,751,000; FY 08 est \$374,099,280; and FY 09 est \$374,099,000.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$240,249 to \$37,840,710; the average award is expected to be \$7,194,217.

PROGRAM ACCOMPLISHMENTS:

All State agencies, Puerto Rico, and the District of Columbia received funding under this program. In FY 2008, 728,700 children with disabilities ages 3 through 5 are expected to be served.

REGULATIONS, GUIDELINES, AND LITERATURE:

Final regulations were published in the Federal Register on August 14, 2006 at 34 CFR 300, to implement the Individuals with Disabilities Education Improvement Act of 2004. Also, a notice of proposed rulemaking was published on May 13, 2008 proposing additional amendments.

Regional or Local Office:

None.

Headquarters Office:

Office of Special Education Programs, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Contact: Nancy Treusch, E-mail: nancy.treusch@ed.gov. Telephone (202) 245-7553.

Web Site Address:

<http://www.ed.gov/about/offices/list/osep/osep/programs.html>.

RELATED PROGRAMS:

84.027, Special Education_Grants to States; 84.181, Special Education-Grants for Infants and Families.

EXAMPLES OF FUNDED PROJECTS:

Federal funds are combined with State and local funds to provide a free appropriate public education to children with disabilities. This includes special education and related services. Funds are used for salaries of teachers and other personnel, educational materials, related services -- such as speech or occupational therapy -- and other education-related needs.

CRITERIA FOR SELECTING PROPOSALS:

The applicants eligible to participate in the program qualify for funding based upon a approved application under section 612 of the Individuals with Disabilities Education Act and that they provide a free appropriate public education to all children with disabilities ages three through five. The criteria for approving an application appear in the regulations implementing Part B of the Individuals with Disabilities Education Act.

84.177 REHABILITATION SERVICES-INDEPENDENT LIVING SERVICES FOR OLDER INDIVIDUALS WHO ARE BLIND

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 2, 29 U.S.C. 796j-7961.

OBJECTIVES:

To provide independent living services to individuals aged 55 or older who are blind, whose severe visual impairments make competitive employment extremely difficult to obtain, but for whom independent living goals are feasible.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Federal funds are used to improve or expand independent living services. Among the services provided are those to help correct or modify visual disabilities, provide eyeglasses and other visual aids, provide services and equipment to enhance mobility and self-care, provide training in Braille and other services to help older individuals who are blind adjust to blindness, provide teaching services in daily living activities, and other supportive services that enable individuals to live more independently. Funds can also be used to improve public understanding of the problems faced by visual older individuals who are blind.

Applicant Eligibility:

Any State agency (including territories/possessions designated by the State as the State agency) authorized to provide rehabilitation services to blind individuals may apply.

Beneficiary Eligibility:

Individuals aged 55 or older whose severe visual impairments make gainful employment extremely difficult to obtain but for whom independent living goals are feasible and beneficial.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Any application for a grant must contain assurances that the designated State unit will seek to incorporate any new methods and approaches relating to services for older individuals who are blind into the State plan for Independent Living Services. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Awards are made on approval of the Commissioner of Rehabilitation Services and the Assistant Secretary, OSERS, based on a review of findings of Federal and nonfederal reviewers.

Deadlines:

Contact the headquarters office for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

Awards are made on an annual basis. Projects may be continued for up to 4 additional years based on annual review of accomplishments, submittal of a continuation application, and the availability of funds. Renewals are available only through the new application process.

Formula and Matching Requirements:

Cost-sharing of \$1 for each \$9 of Federal grant funding is required.

Length and Time Phasing of Assistance:

Projects in fiscal year are generally approved for a period of up to five years with funding approved on a 12 month basis. Renewals are subject to availability of appropriations.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual performance and financial reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for direct grant programs (34 CFR 75). Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants) FY 07 \$32,895,000; FY 08 est \$32,320,000; and FY 09 est \$32,320,000.

Range and Average of Financial Assistance:

The average State award was \$623,000 in FY 07.

PROGRAM ACCOMPLISHMENTS:

Funding was received by 56 projects in FY 07.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 752 of the Rehabilitation Act, as amended, and 34 CFR 367, as amended.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OSERS 400 Maryland Ave., S.W., Rm. 5057, PCP, Washington, DC 20202. Contact: Thomas Kelley. E-mail: thomas.kelley@ed.gov. Telephone: (202) 245-7404.

Web Site Address:

<http://www.ed.gov/programs/rsailob/index.html>.

RELATED PROGRAMS:

84.132, Centers for Independent Living; 84.169, Independent Living State Grants; 84.240.

EXAMPLES OF FUNDED PROJECTS:

Projects have extended services to subgroups of the older blind population that are vulnerable to premature or unnecessary institutionalization as well as to older deaf-blind persons. These projects provide services in both rural and urban areas and about half of the grantees make subgrants to community nonprofit agencies to deliver services. In addition to in-home and/or facility-based service provisions, mobile units and community minicenters are available near the residences of persons being served. All projects provide comprehensive independent living services.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria for this program are extent of need for the project, plan of operation, quality of key personnel, budget and cost-effectiveness, evaluation plan, adequacy of resources, service comprehensiveness, and likelihood of sustaining program. Criteria for selecting projects are published in program regulation at 34 CFR 367.

84.181 SPECIAL EDUCATION-GRANTS FOR INFANTS AND FAMILIES

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), Part C, as amended, 20 U.S.C. 1431-1444.

OBJECTIVES:

To provide grants to States to assist them to implement and maintain a Statewide, comprehensive, coordinated, multidisciplinary, interagency system to make available early intervention services to infants and toddlers with disabilities and their families.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds are used to assist States in implementing and maintaining their Statewide systems of early intervention services. Funding may also be used to provide direct services for eligible infants and toddlers with disabilities aged birth through 2 and their families that are not otherwise provided by other public or private sources, to expand and improve services for infants and toddlers with disabilities that are otherwise available and to provide a free appropriate public education, in accordance with Part B of IDEA, to children with disabilities from their third birthday to the beginning of the following school year. States also have the discretion to provide services to infants and toddlers who are at risk of having substantial developmental delays if they do not receive appropriate early intervention services. The Individuals with Disabilities Education Improvement Act of 2004 amended IDEA to allow states, at their discretion, to continue to serve children with disabilities under this program beyond age 2 until the children enter or are eligible to enter kindergarten or elementary school, if the children are otherwise eligible for services under the Preschool Grants Program (84.173), and previously received services under this program.

Applicant Eligibility:

The 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Secretary of the Interior and the following jurisdictions: Guam, American Samoa, the Virgin Islands, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

Infants and toddlers with disabilities aged birth through 2 and their families will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local, or Indian tribal governments. No State or jurisdiction may receive a grant unless the Secretary has approved the application and statement of assurances for that State.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required

by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Specific application procedures are provided in the application form for the program. This program is excluded from coverage under OMB Circular No. A-110. The State lead agency, the applicants for this program, are designated by the Governor.

Award Procedure:

Once the State application is approved, a grant award representing the total State allocation is forwarded to the State lead agency.

Deadlines:

To expedite funding, the submission date for applications is set for April 1. However, applications or amendments are accepted throughout the period of availability of Federal funds (15 months following July 1st of the fiscal year).

Range of Approval/Disapproval Time:

Within 90 days.

Appeals:

The Department may not disapprove such an application unless the Department determines, after notice and opportunity for a hearing, that the application, including the statement of assurances, fails to comply with the requirements of Section 637.

Renewals:

Not applicable.

Formula and Matching Requirements:

Awards are based on each applicant's proportionate share of children, aged birth through two years, in the general population, based on the most recent satisfactory data available from the United States Bureau of the Census. No State receives less than 0.5 percent of the funds available for all States. The Department of Interior receives 1.25 percent of the amount available to States. The Outlying Areas receive up to 1 percent of the funds appropriated.

Length and Time Phasing of Assistance:

Grants are issued each year. The Federal obligation period is a 15-month period from July 1 through September 30 of the following fiscal year. State and local education agencies have an additional 12-month period to obligate their funds.

Reports:

An annual report to the Governor and to the Secretary by the State Interagency Coordinating Council on the status of early intervention programs operated within the State as required under Section 641(e)(1)(D) of the Act, and other information that the Secretary may require, including information required under Section 618 of the Act. Annual State Performance Plans and Annual Performance Reports are submitted to the Department of Education by the lead agency on an annual basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for State administered programs (34 CFR 76.730-731). Generally, the State and its contractors must retain records related to grant funds and compliance for a period of 5-years.

Account Identification:

91-0300-0-1-501.

Obligations:

(Grants) FY 07 \$436,400,000; FY 08 est \$435,653,802; and FY 09 est \$435,654,000.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$2,135,000 to \$53,978,000; the average award is expected to be \$8,213,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, approximately 313,600 infants and toddlers with disabilities are expected to be served.

REGULATIONS, GUIDELINES, AND LITERATURE:

Final regulations were published in the Federal Register on June 1, 1998, at CFR Part 303. A notice of proposed rulemaking was published on May 9, 2007, to implement Part C of the Individuals with Disabilities Education Improvement Act of 2004.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Special Education Programs, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Contact: Ruth Ryder, E-mail: ruth.ryder@ed.gov. Telephone: (202) 202-245-7629.

Web Site Address:

<http://www.ed.gov/about/offices/list/osep/programs.html>.

RELATED PROGRAMS:

84.027, Special Education Grants to States; 93.600, Head Start.

EXAMPLES OF FUNDED PROJECTS:

Funds are used to implement and maintain statewide systems of early intervention services, provide direct services that are not otherwise provided by other public or private sources, and to expand or improve services that are otherwise available.

CRITERIA FOR SELECTING PROPOSALS:

The applicants eligible to participate in the program qualify based on an approved application under Part C of the IDEA.

84.184 SAFE AND DRUG-FREE SCHOOLS AND COMMUNITIES-NATIONAL PROGRAMS

FEDERAL AGENCY:

OFFICE OF SAFE AND DRUG-FREE SCHOOLS, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act, Title IV, Part A, Subpart 2, as amended, Sections 4121, 4122, 4129, and 4130, 20 U.S.C. 7131, 7132, 7139, and 7140.

OBJECTIVES:

To enhance the Nation's efforts to prevent the illegal use of drugs and violence among, and promote safety and discipline for, students at all educational levels; and to support mentoring programs for at-risk children.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Projects funded under this program support alcohol abuse reduction, mentoring drug and violence prevention and education, and school emergency preparedness activities. The Secretary may fund applications that address specific priorities and requirements published in the Federal Register. Maintenance of effort provisions apply to local educational agencies (LEAs); an LEA may receive a grant only if its State educational agency finds that either the combined fiscal effort per student or the aggregate expenditures of the LEA and the State with respect to the provision of free public education by the LEA for the preceding fiscal year was not less than 90 percent of the combined fiscal effort or aggregate expenditures for the second preceding fiscal year. All grantees must use an indirect cost rate that is consistent with the provisions in 34 CFR 75.560 through 75.564.

Applicant Eligibility:

Public and private organizations, and individuals.

Beneficiary Eligibility:

Higher education institutions, State educational agencies (SEAs), Local educational agencies (LEAs), and nonprofits will benefit, as will students, teachers, and parents.

Credentials/Documentation:

Contact the headquarters for credential/documentation requirements.

Preapplication Coordination:

Preapplications are not required under this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications or proposals must be prepared and submitted in accordance with applicable program announcements.

Award Procedure:

After completion of competitive peer review of applications, the Department of Education makes the final decision on the approval and funding of applications.

Deadlines:

Deadlines are published in the Federal Register; contact the headquarters office for application deadlines and instructions.

Range of Approval/Disapproval Time:

The estimated amount of time needed to approve/disapprove an application is five months following the application deadline date.

Appeals:

None.

Renewals:

Contingent upon the availability of funding and satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula and no matching requirements.

Length and Time Phasing of Assistance:

Grants will be made for a period of 12 to 48 months. Renewals are subject to the availability of funds.

Reports:

Specified reporting requirements are included in the grant, cooperative

agreement or contract document.

Audits:

Audit requirements are found in Part 74 of EDGAR.

Records:

As required by EDGAR, 34 CFR 75.730-734.

Account Identification:

91-0203-0-1-501.

Obligations:

(Grants) FY 07 \$230,929,000; FY 08 est \$218,632,000; and FY 09 est \$181,963,000.

Range and Average of Financial Assistance:

Not available -- varies by competition.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007 the Department made 655 new or continuation grant awards to local educational agencies, institutions of higher education, and private organizations. In FY 2008, approximately 647 awards are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations applicable to this program are in the Department of Education's General Administrative Regulations (34 CFR 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, 99, and 299).

Regional or Local Office:

None.

Headquarters Office:

Office of Safe and Drug-Free Schools, Department of Education, 400 Maryland Avenue, SW., Washington, DC 20202-6123. Contact: William Modzeleski. E-mail: William.Modzeleski@ed.gov. Telephone: (202) 260-3954.

Web Site Address:

<http://www.ed.gov/about/offices/list/osdfs/programs.html#state>.

RELATED PROGRAMS:

84.186, Safe and Drug-Free Schools and Communities_State Grants.

EXAMPLES OF FUNDED PROJECTS:

Projects that develop and implement a "Safe Schools/Healthy Students" model designed to comprehensively address multiple factors that predispose youth to drug use and violence. Project that support local educational agencies and higher education institutions in improving and refining their emergency management plans. Projects to reduce alcohol use by high school students. Mentoring programs for children who are at risk of educational failure, dropping out of school, or involvement in criminal or delinquent activities, or who lack strong role models. Projects that develop, improve, expand, or enhance the collection of data related to youth drug use and violence, and develop and implement processes to ensure that high-quality data are used to inform policy, assess needs, select interventions, and assess success of drug and violence prevention activities funded under the SDFSCA State Grants Program. Drug and violence prevention programs for college students.

CRITERIA FOR SELECTING PROPOSALS:

Criteria include: (1) Need for the project; (2) significance; (3) quality of project design; (4) adequacy of resources; (5) quality of the management plan; and (6) quality of project evaluation.

84.185 BYRD HONORS SCHOLARSHIPS

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 6, as amended, 20 U.S.C. 1070d-31-1070d-41.

OBJECTIVES:

To provide scholarships to support postsecondary education to outstanding high school seniors who show promise of continued academic achievement in an effort to recognize and promote student excellence and achievement.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Scholarships of \$1,500 are awarded to support a maximum of 4 years of study at any eligible institution of higher education.

Applicant Eligibility:

The State educational agencies (SEA), which supervise public elementary and secondary schools in the States, are responsible for administering the program. For the purposes of this program, the States include the fifty States, the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, the Northern Mariana Islands, Guam, and Palau.

Beneficiary Eligibility:

A student must meet residency and citizenship requirements, be a graduate of a public or private secondary school or have been accepted for enrollment at an institution of higher education, have demonstrated outstanding academic achievement, and show promise of continued academic achievement to be eligible to receive a scholarship.

Credentials/Documentation:

To receive allotments for scholarships, a State must submit a participation agreement to the Department of Education as required by Section 419E of the

program statute. A State is not required to provide further application information for funding unless the State wants to modify an approved agreement. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Annual allotments are provided through grant awards to the State educational agencies for making scholarships to qualifying students. Students apply to their State of legal residence for a scholarship under this program. Student applicants must follow the application procedures as directed by each State. Each participating State awards scholarships to eligible students in accordance with selection procedures developed by the State.

Deadlines:

Grant awards to States for scholarships are made in time for the States to make scholarship payments before the end of the secondary school academic year. Students must submit an application according to the deadlines established by each participating State.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

To be eligible for allotments for any year, States must enter into an agreement with the Department of Education in accordance with Section 419E of the program statute and the program requirements for the year as published in the Federal Register by the Secretary. To be eligible for renewal of scholarships, students must continue to meet residency and citizenship requirements, be enrolled as a full-time student, and maintain satisfactory academic progress. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Each participating State is allotted funds based on the ratio of the State's population ages five through seventeen years to the population ages five through seventeen in all participating States, except that no State shall receive less than 10 scholarships of \$1,500 each.

Length and Time Phasing of Assistance:

Awards may be made for up to four years. Renewals are subject to the availability of appropriations.

Reports:

An annual performance report of the expenditure of Scholarship funds and other reports as required by the Secretary.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 5 years after the activity for which the funds were awarded or until completion of the Department Audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$40,590,000; FY 08 est \$40,283,730; and FY 09 est \$0.

Range and Average of Financial Assistance:

In FY 08, scholarships to individuals were \$1,500.

PROGRAM ACCOMPLISHMENTS:

In FY 08, all 50 States, the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, the Northern Mariana Islands, Guam, Palau, the Federated States of Micronesia, and the Republic of the Marshall Islands are eligible to participate in this program. In FY 08, approximately 27,000 students received \$1,500 in scholarships.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 654 (Program Regulations) published in the Federal Register on August 11, 1993, fact sheet, program description, and Directory of State Agency Official Contacts (current). Contact the program office for more information.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Office of Postsecondary Education, Higher Education Programs, 400 Maryland Avenue, S.W., Washington, DC 20024-5251. Contact: Darryl Davis. E-mail: darryl.davis@ed.gov. Telephone: (202) 502-7657.

Web Site Address:

<http://www.ed.gov/programs/idesbyrd/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.186 SAFE AND DRUG-FREE SCHOOLS AND COMMUNITIES-STATE GRANTS

FEDERAL AGENCY:

OFFICE OF SAFE AND DRUG-FREE SCHOOLS, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act, Title IV, Part A, Subpart 1, as amended. 20 U.S.C. 7111-7117.

OBJECTIVES:

To offer a disciplined environment conducive to learning, by preventing violence in and around schools and strengthen programs that prevent the illegal use of alcohol, tobacco, and drugs, involve parents, and are coordinated with related Federal, State, and community efforts and resources.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Drug prevention programs must convey a clear and consistent message that the illegal use of alcohol and other drugs is wrong and harmful. Generally, funds under the program may not be used for construction, medical services, or drug treatment or rehabilitation. This program is subject to maintenance of effort requirements. In addition, grantees and subgrantees must use an indirect cost rate that is consistent with the provisions in 34 CFR 75.560 through 75.564.

Applicant Eligibility:

State Departments of Education; State Governors; State educational agencies (SEAs), Guam, American Samoa, the Virgin Islands, and the Commonwealth of the Northern, Mariana Islands may apply. Organizations primarily serving and representing Native Hawaiians for the benefit of Native Hawaiians may also apply under a designated grant competition.

Beneficiary Eligibility:

Governors' offices, SEAs, LEAs, Insular Areas, Tribal governments, and other public and private nonprofit organizations (including parent groups, community action agencies, and other community-based organizations); American Indians and Native Hawaiians; and students and teachers in public and private schools in each State.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information or the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Each State must submit to the Department of Education an application covering a 5-year period which is amended as needed.

Award Procedure:

Applications from States are reviewed for compliance with the statute. If it is determined that the application meets the statutory requirements, the Assistant Deputy Secretary awards the State its allotment. For Native Hawaiians program, peer reviewers recommend grantees based on the quality of applications submitted.

Deadlines:

Contact the Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

The estimated amount of time needed to approve/disapprove a State's application is approximately sixty days. For Native Hawaiians Program, approximately sixty days are needed to review and award grants after receipt of application.

Appeals:

Not applicable.

Renewals:

State applications are amended as needed. Awards are generally made effective July 1 of each calendar year. For Native Hawaiians Program, continuation grants are negotiated at the time of initial award.

Formula and Matching Requirements:

The statutory formula used to determine each State's allotment is authorized by Title IV, Part A, Subpart 1, Elementary and Secondary Education Act, as amended (ESEA). This program has maintenance of effort requirements; see ifunding agency for further details. From the total appropriation, 1 percent or \$4.75 million (whichever is greater) is reserved for the Outlying Areas, 1 percent or \$4.75 million (whichever is greater) is reserved for the Bureau of Indian Affairs for programs for Indian youth, and 0.2 percent is reserved for programs for Native Hawaiians. The Department allocates the remaining funds by formula to States, half on the basis of school-aged population and half on the basis of

State shares of ESEA Title I Concentration Grants funding for the previous year, provided that no State receives less than the greater of: (1) one-half of 1 percent of the total, or (2) the amount it received under the program in fiscal year 2001. Of each State's allocation, the Governor may elect to administer up to 20 percent of the funds; the remainder is administered by the State educational agency. The SEA must subgrant at least 93 percent to LEAs. LEA allocations are based 60 percent on ESEA Title I Concentration Grant funding for the preceding year and 40 percent on enrollment.

Length and Time Phasing of Assistance:

Generally, State awards are made from July 1 of one year to September 30 of the following year. In addition, funds remain available to States for an additional fiscal year for obligation and expenditure. Grantees draw down funds electronically as needed.

Reports:

A report on the programs funded by the State, is required by Section 4117 of the Act. Financial and performance reports are required in accordance with 34 CFR 76.720, 80.40, and 80.41. For Native Hawaiians programs, financial and performance reports are required in accordance with 34 CFR 74.51, 74.52, and 75.720.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with 34 CFR 76.730, grantees must maintain appropriate records related to grant funds, compliance, and project performance for three years after the completion of activity for which the grant or subgrant funds were used that are sufficient to establish fiscal accountability and to facilitate an effective audit. For Native Hawaiians program, records retention and access requirements are in accordance with 34 CFR 74.53 and 75.730-732.

Account Identification:

91-0203-0-1-501.

Obligations:

(Grants) FY 07 \$346,500,000; FY 08 est \$294,759,000; and FY 09 est \$100,000,000.

Range and Average of Financial Assistance:

Awards ranged from \$1,423,000 to \$35,162,000; \$5,473,000 (FY 08).

PROGRAM ACCOMPLISHMENTS:

Awards have been made for programs that help create safe and drug-free learning environments for children to all 50 States, the outlying areas, and the BIA, and to Native Hawaiian organizations.

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of the formula grants program is governed by the Statute, EDGAR (34 CFR 76, 77, 79, 80, 81, 82, and 85) and non-regulatory guidance. In addition, 34 CFR 98 and 99 apply to this program. The administration of the Native Hawaiians program is governed by the Statute and EDGAR (34 CFR 74, 75, and 77).

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Office of Safe and Drug-Free Schools, 400 Maryland Avenue, S.W., Room 3E314, Washington, DC 20202-6123. Contact: Paul Kesner, Telephone: (202) 205-8134. E-mail: paul.kesner@ed.gov.

Web Site Address:

<http://www.ed.gov/about/offices/list/osdfs/programs.html#state>.

RELATED PROGRAMS:

84.184, Safe and Drug-Free Schools and Communities _National Programs.

EXAMPLES OF FUNDED PROJECTS:

Drug and violence prevention activities such as developing instructional materials; professional development programs for school personnel; community service projects; conflict resolution, peer mediation, mentoring, and character education; establishing safe zones of passage for students to and from school; acquiring and installing metal detectors; hiring security personnel; student instruction; parent education and involvement; student assistance programs, including counseling, identification, and referral services; and strengthening readiness and emergency management planning efforts.

CRITERIA FOR SELECTING PROPOSALS:

States receive formula grants based upon the submission of an approvable application. For Native Hawaiians program direct grant awards are made through a peer review process where applications are selected based on quality.

84.187 SUPPORTED EMPLOYMENT SERVICES FOR INDIVIDUALS WITH SIGNIFICANT DISABILITIES

(Supported Employment State Grants)

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VI, Part B.

OBJECTIVES:

To provide grants for time limited services leading to supported employment for individuals with the most severe disabilities to enable such individuals to achieve the employment outcome of supported employment.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Federal funds are used to: (1) complement services under Title I of this Act; (2) provide skilled job trainers who accompany the worker for intensive on-the-job training; (3) provide systematic training; (4) provide job development; (5) provide follow-up services; (6) provide regular observation or supervision at training sites; and (7) provide other services needed to support an individual in employment. Funds may not be used to provide the required extended services once an individual transitions from the time limited services provided under VI- (

Applicant Eligibility:

State vocational rehabilitation agencies (including territories and possessions) designated in the State plan to administer the Vocational Rehabilitation Program.

Beneficiary Eligibility:

Individuals with the most severe disabilities whose ability or potential to engage in a training program leading to supported employment has been determined by evaluating rehabilitation potential. In addition, individuals must need extended services in order to perform competitive work and have the ability to work in a supported employment setting.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure:

Each State agency must submit a State plan supplement as part of the State plan under Title I of the Rehabilitation Act. Vocational rehabilitation agencies submit the State Plan and supplement to RSA. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Notice of annual allotment to the State, annual grant award notice, and funds are withdrawn under the Electronic Transfer System.

Deadlines:

A State plan supplement to the State plan for vocational rehabilitation services is submitted to the RSA for approval by July 1 of each year.

Range of Approval/Disapproval Time:

State plans must be approved within 90 days of being submitted to RSA.

Appeals:

A State may appeal the Secretary's decision to disapprove the State plan by filing a petition for review with the United States Court of Appeals for the circuit in which the State is located.

Renewals:

Not applicable.

Formula and Matching Requirements:

Federal funds are distributed based on the U.S. total populations and State population and the source is the Population Estimates Annual, Bureau of the Census. No State shall receive less than \$300,000 or one-third of one percent of the sums made available for the fiscal year, whichever is greater. This program has no matching requirements.

Length and Time Phasing of Assistance:

Notice of annual allotments to the State, annual grant awards are made and funds are withdrawn under the Electronic Transfer System.

Reports:

Annual and quarterly progress reports and annual budget and quarterly financial status reports (Standard Form 269) are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Case records on individual consumers, fiscal records for a prescribed period for audit purposes and retention of records for three years are required.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants) FY 07 \$29,700,000; FY 08 est \$29,181,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

In FY 2008, formula grant awards ranged from \$36,476 to \$2,996,357.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Supported Employment Services for Individuals with the most Significant Disabilities (34 CFR 363).

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, DC 20202-2500. Contact: Carol Dobak, E-mail: carol.dobak@ed.gov. Telephone: (202) 245-7325.

Web Site Address:

<http://www.ed.gov/programs/rsasupemp/index.html>.

RELATED PROGRAMS:

53.001, Employment Promotion of People with Disabilities; 64.007, Blind Rehabilitation Centers; 64.013, Veterans Prosthetic Appliances; 64.116, Vocational Rehabilitation for Disabled Veterans; 84.126, Rehabilitation Services Vocational Rehabilitation Grants to States; 84.128, Rehabilitation Services Service Projects; 84.132, Centers for Independent Living; 84.160, Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.161, Rehabilitation Services Client Assistance Program; 84.169, Independent Living State Grants; 84.177, Rehabilitation Services Independent Living Services for Older Individuals Who are Blind.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable (Catalog users should contact the State Vocational Rehabilitation agency for criteria).

84.191 ADULT EDUCATION-NATIONAL LEADERSHIP ACTIVITIES**FEDERAL AGENCY:**

OFFICE OF VOCATIONAL AND ADULT EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Adult Education and Family Literacy Act, Section 243, as amended, Public Law 105-220, 20 U.S.C. 1213-1213d.

OBJECTIVES:

To support applied research, development, demonstration, dissemination, evaluation, and related activities that contribute to the improvement and expansion of adult basic education nationally.

TYPES OF ASSISTANCE:

Project Grants (Contracts).

USES AND USE RESTRICTIONS:

To support projects for the improvement and expansion of adult basic education nationwide. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Postsecondary education institutions, public or private agencies or organizations, or consortia of these institutions, agencies, or organizations are eligible.

Beneficiary Eligibility:

Basic education and literacy programs for adults seeking to obtain an education at the primary or secondary levels will benefit through national evaluation, leadership and/or technical assistance efforts.

Credentials/Documentation:

Legal signature by a designated official on the application or proposal. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will also be determined in accordance with OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for nonprofit institutions.

Preapplication Coordination:

The standard application forms as furnished by the Department of Education and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed when applying for a competitive grant.

Application Procedure:

Applications must be prepared and submitted to the Department of Education in accordance with program announcements and established deadlines which are published in the Federal Register. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Proposals or applications are reviewed in accordance with regulations for the Adult Education Act. After negotiation, a notification for award is sent to the successful recipient.

Deadlines:

Requests for proposals for contract competitions are published in the Commerce Business Daily. Grant proposals deadlines are published in the Federal Register. Contact the program office for more information.

Range of Approval/Disapproval Time:

Approximately 90 to 120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Funding will usually cover a 12 to 18-month period.

Reports:

Financial status and performance reports required by the Education Department General Administrative Regulations, 34 CFR 75.720. Specific reporting requirements are contained in the award document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Nonprofit organizations shall have an audit made in accordance with OMB Circular No. A-110.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for three years.

Account Identification:

91-0400-0-1-501.

Obligations:

FY 07 \$9,005,000; FY 08 est \$6,878,000; and FY 09 est \$14,000,000.

Range and Average of Financial Assistance:

Varies considerably by project.

PROGRAM ACCOMPLISHMENTS:

Projects supported by this program will generate reports of use to practitioners, researchers, and policy makers and will provide technical assistance to adult education programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are found in 34 CFR 460 and 34 CFR 75.730-75.734.

Regional or Local Office:

Not applicable.

Headquarters Office:

U.S. Department of Education, OVAE Division of Adult Education and Literacy 400 Maryland Ave., S.W., Washington, DC 20202-7240. Contact: Christopher Coro, E-mail: christopher.coro@ed.gov. Telephone: (202) 245-7717.

Web Site Address:

<http://www.ed.gov/about/offices/list/ovae/pi/AdultEd/index.html>.

RELATED PROGRAMS:

84.002, Adult Education - Basic Grants to States.

EXAMPLES OF FUNDED PROJECTS:

Funds have been provided for: (1) Evaluations of "what works" for adult basic education and English as a second language programs; (2) conducting an evaluation of Section 353 State set-aside program; (3) conducting an evaluation of the AEFLA set-aside for corrections education; and (4) an evaluation of a distance learning initiative, Crossroads Cafe.

CRITERIA FOR SELECTING PROPOSALS:

Applications should address each criterion: (1) Plan of operation; (2) quality of key personnel; (3) budget and cost-effectiveness; (4) evaluation plan; (5) adequacy of resources; (6) national need; and (7) adequacy of resources. Contact the program office for additional information.

84.196 EDUCATION FOR HOMELESS CHILDREN AND YOUTH

FEDERAL AGENCY:

OFFICE ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act, Title VII, Subtitle B.

OBJECTIVES:

To ensure that all homeless children and youth have equal access to the same free, appropriate public education available to other children, the Education for Homeless Children and Youth program provides assistance to States, Outlying Areas, and the Bureau of Indian Education (BIE) to: (1) establish or designate an Office of Coordinator of Education of Homeless Children and Youths; (2) develop and carry out a State plan for the education of homeless children; and (3) make subgrants to local educational agencies to support the education of those children.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

SEAs and LEAs may use funds for a wide variety of activities that facilitate the educational success of homeless children and youth. Such activities include: tutoring summer enrichment programs, the provision of school supplies, and professional development designed to heighten educators' understanding of and sensitivity to the needs of homeless children and youth. Services provided with these funds cannot replace the regular academic program and must expand upon or improve services provided as part of the regular academic program. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Departments of Education in the 50 States, the District of Columbia, Puerto Rico, the Outlying Areas, and schools serving Indian students that are funded by the Secretary of the Interior may apply. Only LEAs are eligible for State subgrants.

Beneficiary Eligibility:

Homeless children and youth in elementary and secondary schools (and homeless preschool children and the parents of homeless children) of the applicant agency will benefit.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Preapplication forms are not required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure:

A State that desires to receive a grant under this program may submit to the Department either an individual State plan or an ESEA consolidated State plan.

Award Procedure:

Grant awards to the State educational agencies will be issued when applications are approved.

Deadlines:

Deadlines will be published in the Federal Register.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Biennial updates of State plans are required.

Formula and Matching Requirements:

Each State educational agency receives an amount that bears the same ratio to the amount appropriated for this program as the amount allocated under Section 1122 of the Elementary and Secondary Education Act bears to the total allocations made under Section 1122. States shall not receive less than \$150,000, 0.25 percent of the total, or the amount of the State's fiscal year 2001 award.

Length and Time Phasing of Assistance:

Assistance is provided for one fiscal year. Sums appropriated in each fiscal year shall remain available for the succeeding fiscal year.

Reports:

States must report an estimate of the number of homeless children and youth in the State and the number being served by this program. They must also report on the nature and extent of problems relative to access of homeless children and youth to public preschool, elementary, and secondary schools and the difficulties in identifying the special needs of such children.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted

in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years.

Account Identification:

91-1000-0-1-501.

Obligations:

(Grants) FY 07 \$61,871,000; FY 08 est \$64,067,000; and FY 09 est \$64,067,000.

Range and Average of Financial Assistance:

The average State award was \$1,208,000; the range was \$160,000 to \$7,619,000.

PROGRAM ACCOMPLISHMENTS:

Grants have been awarded to 50 States, Puerto Rico, the District of Columbia, the Virgin Islands, American Samoa, Guam, Palau, the Northern Marianas, and the DOI Bureau of Indian Education. The Department continues to monitor States and the outlying areas.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance for The Education for Homeless Children and Youth program may be obtained through the office of Elementary and Secondary Education.

Regional or Local Office:

None.

Headquarters Office:

Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-6132. Contact: John McLaughlin; E-mail: john.mclaughlin@ed.gov. Telephone: (202) 401-0962.

Web Site Address:

<http://www.ed.gov/programs/homeless/index.html>.

RELATED PROGRAMS:

10.550, Food Donation; 10.553, School Breakfast Program; 10.555, National School Lunch Program; 10.556, Special Milk Program for Children; 84.010, Title I Grants to Local Educational Agencies; 84.011, Migrant Education State Grant Program; 84.013, Title I Program for Neglected and Delinquent Children.

EXAMPLES OF FUNDED PROJECTS:

McKinney-Vento subgrants are made available by SEAs to LEAs on a competitive basis. LEAs must provide an application that describes the needs of homeless students in the school district, including the number of homeless students, the types of services available, and how the LEA will use subgrant funds to serve these students. Typically, subgrants are used for after-school tutoring, purchasing necessary supplies like backpacks, hygiene packs, etc., as well as offsetting the costs of transportation for homeless students wishing to remain in the school last attended prior to becoming homeless.

CRITERIA FOR SELECTING PROPOSALS:

This is a formula grant program. LEA grants are awarded by States on the basis of need.

84.200 GRADUATE ASSISTANCE IN AREAS OF NATIONAL NEED

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title VII, Part A, Subpart 2, as amended, Public Law 102-325, 20 U.S.C. 1135-1135ee.

OBJECTIVES:

To provide fellowships through graduate academic departments, programs, and units of institutions of higher education to graduate students of superior ability who demonstrate financial need for the purpose of sustaining and enhancing the capacity for teaching and research in academic areas of national need, as designated by the Secretary.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Awards made to institutions under this part shall be used exclusively to provide direct fellowship aid that will include need-based stipends, based on the support level of National Science Foundation fellowships and an institutional allowance for each individual awarded a fellowship to be adjusted annually in accordance with the Department of Labor's Consumer Price Index. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Accredited institutions of higher education may apply or submit joint proposals involving a nondegree granting institution having formal arrangements for support of doctoral dissertation research.

Beneficiary Eligibility:

Graduate students receiving fellowships must demonstrate financial need, have excellent academic records, plan to pursue the highest degree in the field, and be a U.S. citizen or a National, or a permanent resident of the U.S., or intend to

become a U.S. citizen, be a permanent resident of the Trust Territory of the Pacific Islands, or a citizen of any one of the Freely Associated States.

Credentials/Documentation:

A properly formatted application must be filed with the Secretary. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Instructions and forms concerning application procedures will be mailed to eligible institutions of higher education following publication of the Notice Inviting Application funding criteria in the Federal Register. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Panels of experts from outside the Government will appraise all applications. The program office will recommend funding to the Secretary, who will make the final decisions.

Deadlines:

Contact the program office for application deadlines.

Range of Approval/Disapproval Time:

The range is approximately three months.

Appeals:

None.

Renewals:

Noncompeting continuation applications must be given funding preference subject to the availability of funds.

Formula and Matching Requirements:

A grantee must match the award by 25 percent. Federal funds and matching funds must be used for stipends, tuition fees, and other educational costs of students.

Length and Time Phasing of Assistance:

The length of the award is three years, subject to yearly appropriations. However students may receive support for up to five years.

Reports:

Annual fiscal and performance reports are required.

Audits:

Audits are performed as required by regulations.

Records:

None.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$30,067,290; FY 08 est \$29,541,730; and FY 09 \$32,517,000.

Range and Average of Financial Assistance:

In FY 08, the average continuation grant was \$169,000; the average continuation fellowship was \$43,000.

PROGRAM ACCOMPLISHMENTS:

In FY 08, 178 grants were awarded; 702 fellowships were provided.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations were published in the Federal Register on December 16, 1993. The application package including forms and regulations will be mailed to eligible institutions and other interested parties. Contact the Program Office for information.

Regional or Local Office:

None.

Headquarters Office:

International Education and Graduate Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, DC 20202-5247. Contact: Gary Thomas. E-mail: ope.gaann.program@ed.gov. Telephone: (202) 502-7767.

Web Site Address:

<http://www.ed.gov/programs/iegpsgaann/>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Awards are made to institutions of higher education to be used exclusively to provide fellowship aid to assist graduate students in designated academic areas of national need.

CRITERIA FOR SELECTING PROPOSALS:

This program will use the selection criteria contained in program regulations made available in the application package. Contact the program office for additional information.

84.203 STAR SCHOOLS

FEDERAL AGENCY:

OFFICE OF INNOVATION AND IMPROVEMENT, DEPARTMENT OF

EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act, Title V, Part D, Subpart 7.

OBJECTIVES:

To encourage improved instruction in mathematics, science, and foreign languages as well as other subjects, such as vocational education. To serve underserved populations, including the disadvantaged, limited-English proficient, and individuals with disabilities. Grants are made to develop and support educational and instructional programming, and to provide technical assistance in the use of such instructional programming.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Eligible telecommunications partnerships must be organized on a statewide or multi-state basis. Partnerships must assure that a significant portion of the facilities and equipment, technical assistance and programming for which assistance is sought will be made available to elementary and secondary schools of local education agencies that have a high percentage of children counted for purposes of Part A of Title I of the Elementary Secondary Education Act. Priority is given to those partnerships that provide services to meet the needs of traditionally underserved populations, individuals traditionally excluded from careers in mathematics and science because of discrimination or economically disadvantaged backgrounds, areas with scarce resources, and areas with limited access to courses in mathematics, science and foreign languages. Funds through this grant may not supplant funds otherwise available for these purposes. Not less than 25 percent of the funds appropriated during any fiscal year shall be used for the cost of instructional programming. Not less than 50 percent of the funds appropriated during any fiscal year shall be used for the cost of facilities, equipment, teacher training or retraining, technical assistance or programming for school districts which are eligible to receive assistance under Part A of Title I. No grant may exceed \$10,000,000 in any single fiscal year. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Eligible telecommunications partnerships must be organized on a statewide or multistate basis. Two types of partnerships are eligible: (1) A public agency or corporation established for the purpose of developing and operating telecommunications networks to enhance educational opportunities provided by educational institutions, teacher training centers, and other entities, provided that the agency or corporation represents the interests of elementary and secondary schools eligible to participate under Title I of the Elementary and Secondary Education Act of 1965; or (2) a partnership that will provide telecommunications services and includes three or more of the following entities, at least one of which shall be State or local educational agency: (a) A local educational agency, that serves a significant number of elementary and secondary schools that are eligible for assistance under Part A, of Title I or elementary and secondary schools operated or funded for Indian children by the Department of the Interior; (b) A State educational agency; (c) adult and family education programs; (d) an institution of higher education or a State higher education agency; (e) a teacher training center or academy; (f) a public or private entity with experience and expertise in the planning and operation of a telecommunications network, including entities involved in telecommunications through satellite, cable, telephone, or computer; or a public broadcasting entity with such experience; or (g) a public or private elementary or secondary school.

Beneficiary Eligibility:

Elementary and secondary school students and teachers.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure:

Described in the application notice published in the Federal Register. Contact the headquarters office listed below for application packages containing the application notice and application assurance forms.

Award Procedure:

The selection of applications is competitive, based on staff and nonfederal review using selection criteria contained in the Education Department General Administrative Regulations (EDGAR) at 34 CFR 74.210 and the application notice published in the Federal Register.

Deadlines:

Announced in the application notice published in the Federal Register. Contact the headquarters office listed below for further information

Range of Approval/Disapproval Time:

Approximately 120 days following the application deadline.

Appeals:

None.

Renewals:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (see 34 CFR 75.253). Generally, for multiple-year awards, continuation awards after the first budget period are made if sufficient funds have been appropriated; the recipient has either made substantial progress in meeting the goals of the project or obtained approval for changes in the project; the recipient has submitted all required reports; and continuation is in the best interest of the government.

Formula and Matching Requirements:

The Federal share for the first and second fiscal years shall be 75 percent; for the third and fourth years 60 percent; and for the fifth year 50 percent. All resources must be used to supplement and not supplant resources otherwise available for the purposes of the grant. Other regulations in 34 CFR 74, Subpart G (cost-sharing or matching), apply. The Secretary of Education will consider requests to reduce or waive matching requirements if financial hardship is demonstrated.

Length and Time Phasing of Assistance:

Following a competitively selected award of up to twelve months, awards may be made for up to four subsequent years; subject to the availability of funds.

Reports:

As required by the Education Department General Administrative Relations (EDGAR) for direct grant programs (see 34 CFR 75). Generally, annual performance and financial reports are required.

Audits:

See 34 CFR 74.26. Institutions of higher education and nonprofit organizations are subject to the audit requirement of OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for direct grant programs (34 CFR 75). Generally, records related to grant funds, compliance and performance must be maintained for a period of five years after completion.

Account Identification:

91-0204-0-1-501.

Obligations:

(Grants) FY 07 \$11,513,000; FY 08 est \$0; and FY 09 est \$0.

Range and Average of Financial Assistance:

For FY 2007, the range of awards was from \$420,000 to \$2,980,864; the average award was \$1,877,148.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, six continuation awards were granted.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of Education General Administrative Regulations (EDGAR) 34 CFR 74, 75, 77, 78, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Office of Innovation and Improvement, Technology in Education Programs, 400 Maryland Ave., S.W., Rm. 4W219, FB-6, Washington, DC 20208-5900. Contact: Brian Lekander. E-mail: Brian.lekander@ed.gov. Telephone: (202) 205-5633.

Web Site Address:

<http://www.ed.gov/programs/starschools/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In FY 2005, the most recent year that new awards were made in this program, the Department funded a grant to the University of Oklahoma's K-20 Center for Educational and Community Renewal to: (1) develop an interactive educational game that will allow students to experience a wide array of real-world challenges that require a combination of team collaboration and the constant use of a series of process and content standards; and (2) introduce teachers to a form of professional development, Lesson Study, that promotes a cross-curricular team to collaboratively plan, teach, observe, and reflect on student engagement and interaction during the research lesson.

CRITERIA FOR SELECTING PROPOSALS:

Contained in EDGAR (34 CFR 75.210). Contact the program office for more information.

84.206 JAVITS GIFTED AND TALENTED STUDENTS EDUCATION GRANT PROGRAM

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title V, Part D, Subpart 6, 115 Stat. 1826; 20 U.S.C. 7253.

OBJECTIVES:

To provide financial assistance to State and local educational agencies, institutions of higher education, and other public and private agencies and organizations, to stimulate research, development, training, and similar activities designed to build a nationwide capability in elementary and secondary schools to meet the special educational needs of gifted and talented students. To supplement the use of State and local funds for the education of gifted and talented students.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for: (1) The professional development of personnel involved in the education of gifted and talented students, including training in equitable teaching methods; (2) the establishment or identification of exemplary programs serving gifted and talented students, including those for identifying and educating students who might not be served by a traditional program; (3) implementing innovative learning strategies; (4) encouraging the adaptation of model gifted and talented programs for use by all students; (5) strengthening the capability of State educational agencies to provide leadership and assistance to local entities in the planning, operation, and improvement of programs; and (6) research, technical assistance, and information dissemination.

Applicant Eligibility:

State and local education agencies, institutions of higher education, other public and private agencies and organizations (including Indian tribes and organizations as defined by the Indian Self-Determination and Education Assistance Act and Native Hawaiian organizations) may apply.

Beneficiary Eligibility:

State and local education agencies, institutions of higher education, other public and private agencies, organizations, teachers and students benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for private nonprofit organizations are applicable.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Described in an application notice published in the Federal Register. Contact the headquarters office listed below for application packages containing the announcement, application, and other forms.

Award Procedure:

The selection is competitive, based on staff and nonfederal review according to the selection criteria contained in 34 CFR 700, Evaluation of Applications for Grants and Cooperative Agreements.

Deadlines:

Will be announced in an application notice published in the Federal Register. Contact the headquarters office for further information.

Range of Approval/Disapproval Time:

Approximately two to four months from the closing date.

Appeals:

None.

Renewals:

Awards are made annually. Following an initial, competitively selected award of up to 12 months, 2-4 additional 1-year non-competing continuation awards may be made, for a total maximum term of 3-5 years; subject to the availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made annually. Following an initial, competitively selected award of up to 12 months, two to four additional 1-year non-competing continuation awards may be made for a total of 3 - 5 years; subject to the availability of funds.

Reports:

Annual progress and financial reports as required by the Education Department General Administrative Regulations (EDGAR) 34 CFR 75 unless otherwise required in the award document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that

year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR, for direct grant program. Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion.

Account Identification:

91-1000-0-1-503.

Obligations:

(Grants) FY 07 \$7,596,000; FY 08 est \$7,463,000; and FY 09 \$0.

Range and Average of Financial Assistance:

In FY 2008, the average new award is expected to be \$441,000 and the average continuation award is expected to be \$500,000. The range of new awards in FY 2008 is expected to be \$300,000 to \$450,000.

PROGRAM ACCOMPLISHMENTS:

The National Center for Research and Development in the Education of Gifted and Talented Children and Youth continued to receive funding. In addition, in FY 2008, 6 new grants and 6 continuation grants are expected to be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of Education's General Administrative Regulations (EDGAR) 34 CFR 74, 75, 77, 78, 79, and 80.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OESE, Jacob K. Javits Gifted and Talented Students Education 400 Maryland Ave., S.W., Washington, DC 20202. Contact: Patricia Johnson, E-mail: patricia.johnson@ed.gov. Telephone: (202) 260-7813.

Web Site Address:

<http://www.ed.gov/programs/javits/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In 2005, the program began funding a joint effort of the Iowa Department of Education and the University of Iowa's Belin-Blank Center for Gifted Education to address gifted and talented students who have learning difficulties. Also in 2005, the program began funding the Fremont County School District, in Arapaho, WY, in collaboration with the Arapahoe Native American tribe to assist students in developing achievement methods and provide interventions that specifically target self-regulatory processes and artistic creative expression.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for the approval of proposals under this program are contained in 34 CFR 700, Evaluation of Applications for Grants and Cooperative Agreements. The specific criteria to be used for a particular grant competition are selected from among those in 34 CFR 700, and announced in the application notice published in the Federal Register.

84.213 EVEN START-STATE EDUCATIONAL AGENCIES

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act, as amended; Title I, Part B, Subpart 3, 20 U.S.C. 6362.

OBJECTIVES:

To help break the cycle of poverty and illiteracy and improve the educational opportunities of low-income families, by integrating early childhood education, adult literacy or adult basic education, and parenting education into a unified family literacy program.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

Subgrants are used for activities such as recruitment and screening of children and parents, design of programs, instruction of children and parents, support services, staff training, evaluation, and coordination with other programs. Funds may not be used for indirect costs.

Applicant Eligibility:

State educational agencies (SEAs). The subgrantees are partnerships of a local educational agency (LEA) and a nonprofit community-based organization, a public agency other than an LEA, an institution of higher education or other public or private nonprofit organizations. Any of the latter, with demonstrated quality, may apply in collaboration with a LEA.

Beneficiary Eligibility:

Parents eligible for participation under the Adult Education Act and their children aged birth through seven. Families must be in need of Even Start services, as indicated by a low income level and low level of adult literacy or English language proficiency, or other need-related indicators.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

State educational agencies submit State plans to the Department of Education in accordance with requirements in the Elementary and Secondary Education Act and guidance by the Department.

Award Procedure:

The Department of Education awards grants to SEAs based on their relative shares of ESEA Title I, Part A funds. SEAs award subgrants giving priority to proposals that target areas designated as empowerment zones or enterprise communities or that propose to serve families in other high-poverty areas.

Deadlines:

Deadlines for subgrantees are set by each SEA.

Range of Approval/Disapproval Time:

Varies with each State.

Appeals:

None.

Renewals:

Federal formula funds are awarded to SEAs annually. Subgrants are for up to four years with annual renewals subject to approval of the SEA and the availability of funds. After the subgrant ends, an applicant may reapply for a new subgrant, but may not receive funds for longer than eight years.

Formula and Matching Requirements:

The Federal share of the cost of a subgrant project is not more than 90 percent in the first year, 80 percent in the second year, 70 percent in the third year, 60 percent in the fourth year, and 50 percent in any subsequent year. Federal funds are distributed to SEAs based on their relative shares of Title I, Part A of ESEA.

Length and Time Phasing of Assistance:

Formula grants to SEAs are awarded on July 1. Funds are available for obligation and expenditure for a total of 27 months. Subgrants may not exceed 4 years in duration.

Reports:

Annual performance reports are required.

Audits:

In accordance with the Education Department General Administrative Regulations in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations, certain project records must be retained for three years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Grants) FY 07 \$82,282,760; FY 08 est \$66,454,399; and FY 09 est \$0.

Range and Average of Financial Assistance:

The estimated range of award is \$305,690 - \$7,213,753. The estimated average is \$1,175,732.

PROGRAM ACCOMPLISHMENTS:

An estimated 330 projects will be funded by States in 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 76 (except for 76.600-76.677), 77, 80-82, and 85; Education Department General Administrative Regulations (EDGAR). For more information, contact the program office.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Education, OESE Student Achievement and School Accountability 400 Maryland Ave., S.W., Washington DC 20202-6132.
Contact: Patricia McKee, E-mail: patricia.mckee@ed.gov. Telephone: (202) 260-0991.

Web Site Address:

<http://www.ed.gov/programs/evenstartformula/index.html>.

RELATED PROGRAMS:

84.002, Adult Education - Basic Grants to States; 84.010, Title I Grants to Local Educational Agencies; 84.011, Migrant Education_State Grant Program; 93.600, Head Start.

EXAMPLES OF FUNDED PROJECTS:

Projects have included Project Even Start SPARK Plus in Accomack County, Virginia, jointly operated by the Accomack County Public Schools and Eastern Shore Community College. This project is designed to improve the literacy levels of disadvantaged families through the provision of adult education, parenting education, interactive literacy activities, and early childhood education for children ages 4-8. Time is provided for separate early childhood and adult instruction, as well as joint family learning experiences. While the adults are working toward career and education goals, the children are engaged in uses a research-based curriculum. Parent-Child activities are hands-on activities delivered through Eastern Shore College's acclaimed "Shore People Advancing

Readiness for Knowledge," also known as SPARKS.

CRITERIA FOR SELECTING PROPOSALS:

SEAs apply their own criteria to select subgrantees most likely to succeed in meeting the purpose of Even Start and in effectively implementing the program elements in Section 1205 and the requirements in Section 1208(a)(1) and (2), Part B, Title I of ESEA. SEAs must give priority to projects that target services to the neediest families or to projects that are located in areas designated as Empowerment Zones or Enterprise Communities under Public Law 103.66.

84.214 EVEN START-MIGRANT EDUCATION

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, Title I, Part B, 20 U.S.C. 6362 et seq.

OBJECTIVES:

To improve the educational opportunities of migrant families through family literacy programs that integrate early childhood education, adult literacy or adult basic education, and parenting education.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Funds are used for activities such as recruitment and screening of children and parents, design of programs, instruction for children and parents, staff training, support services, evaluation, and coordination with other programs.

Applicant Eligibility:

Any entity may apply. The Secretary specifically invites application from State educational agencies (SEAs) that administer migrant programs; local educational agencies (LEAs) that have a high percentage of migrant students; and nonprofit community-based organizations that work with migrant families.

Beneficiary Eligibility:

Parents who are migratory agricultural workers or fishers and their children, from birth through age 7. Parents must also be eligible for participation under the Adult Education Act or be within the State's compulsory school attendance age range.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure:

Application forms are available from the Department of Education, Office of Migrant Education. Applications must be prepared and submitted in accordance with the regulations, instructions, and forms included in the application package. The applications are reviewed and evaluated by a panel.

Award Procedure:

A panel appointed by the Secretary reviews each State educational agency's application for the financial information required and evaluates the application on the basis of selection criteria published in the Federal Register. The Secretary makes awards based on applicant scores and migrant streams.

Deadlines:

Deadlines are published in the Federal Register. Contact the Department of Education for application deadlines.

Range of Approval/Disapproval Time:

Approximately three months.

Appeals:

None.

Renewals:

Grants are awarded for four years, with annual renewals subject to satisfactory performance reports and the availability of funds.

Formula and Matching Requirements:

There is no statutory formula. The Federal share of the cost of a project is not more than 90 percent in the first year, 80 percent in the second, 70 percent in the third, and 60 percent in the fourth and final year.

Length and Time Phasing of Assistance:

Grants may be made for up to 48 months. Funds are awarded for a 12-month period from October 1 to September 30 of the following year. Renewals are subject to the availability of appropriations.

Reports:

Annual performance report is required.

Audits:

In accordance with the Education Department General Administrative Regulations in the Appendix to 34 CFR 80, State and local governments that

receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 a year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

In accordance with the General Education Provision Act and the Education Department General Administration Regulations, certain project records must be maintained for three years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Grants) FY 07 \$2,378,483; FY 08 \$1,903,632; and FY 09 est \$0.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Approximately 10 projects are currently operating.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 200. For more information contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OESE Office of Migrant Education 400 Maryland Ave., S.W., Rm. 3E313, FB-6 Washington DC 20202-6134. Contact: DonnaMarie Marlow. E-mail: donnamarie.marlow@ed.gov. Telephone: (202) 205-9533.

Web Site Address:

<http://www.ed.gov/programs/mep/index.html>.

RELATED PROGRAMS:

84.011, Migrant Education State Grant Program; 84.141, Migrant Education High School Equivalency Program; 84.149, Migrant Education College Assistance Migrant Program; 84.213, Even Start State Educational Agencies; 93.246, Health Centers Grants for Migrant and Seasonal Farmworkers; 93.600, Head Start.

EXAMPLES OF FUNDED PROJECTS:

The Illinois Migrant Council, Chicago, IL implements the Harvesting Opportunities for Literacy and Achievement (HOLA) Migrant Education Even Start (MEES) Project. HOLA MEES provides year-round services for families whose homes are located in the Rio Grande Valley of Texas and Guanajuato, Mexico as well as in Illinois, where they travel to perform seasonal agricultural work. Collaborating partners include four Illinois LEAs (Cobden, Kankakee, Rantoul, and Mendota), the Illinois Migrant Education Program, Illinois Department of Human Services, Illinois Migrant and Seasonal Head Start Program, Illinois State Board of Education, Community Health Partnership of Illinois, LEA partners across project sites in Illinois and Texas, as well as cooperating with the Ministry of Education in Mexico and its community education sites. The project works with 55 - 65 families that participate in all Even Start educational components (ESL/ABE-GED, ECE, Interactive Literacy Activities, and Parenting Education) throughout the cycle of their migratory agricultural work.

CRITERIA FOR SELECTING PROPOSALS:

Likelihood of success in meeting the purpose of the authorizing statute; extent of need for the project; plan of operation; quality of key personnel; budget and cost-effectiveness; evaluation plan; and adequacy of resources.

84.215 FUND FOR THE IMPROVEMENT OF EDUCATION

(FIE)

FEDERAL AGENCY:

OFFICE OF INNOVATION AND IMPROVEMENT; OFFICE OF SAFE AND DRUG-FREE SCHOOLS; OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), Title V, Part D, as amended; ESEA, Title II, Part C, subpart 4 and the American History and Civics Education Act of 2004.

OBJECTIVES:

To conduct nationally significant programs to improve the quality of education, assist all students to meet challenging State content standards, and contribute to the achievement of elementary and secondary students.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used to support a wide range of projects under the FIE general authority for programs, and additional specific program authorities.

Competitions are announced in the Federal Register. Specific limitations and restrictions for each competition are provided in the Federal Register notice.

Applicant Eligibility:

State educational agencies, local educational agencies, institutions of higher

education, public and private organizations and institutions may apply.

Beneficiary Eligibility:

State educational agencies, local educational agencies, institutions of higher education, public and private organizations and institutions will benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process that State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Described in application notices published annually in the Federal Register. Contact the headquarters offices listed below for application packages containing the announcement, application, and assurance forms and for further information about the specific programs.

Award Procedure:

Awards are competitively selected following review by nonfederal experts in accordance with Subpart D of Part 75 of the Education Department General Administrative Regulations (EDGAR).

Deadlines:

Announced in notices published in the Federal Register. Contact the appropriate headquarters office for further information.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

None.

Renewals:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant program (see 34 CFR 75.253). Generally, for multiple-year awards, continuation awards after the first budget period are made if: sufficient funds have been appropriated; the recipient has either made substantial progress in the meeting of the goals of the project or obtained approval for changes in the project; the recipient has submitted all required reports; and continuation is in the best interest of the government.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made annually. Following an initial, competitively selected award, additional non-competing continuation awards may be made. The possible length of the project is announced in the application notice in the Federal Register. Renewals are subject to the availability of appropriations.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual performance and financial reports are required.

Audits:

See 34 CFR 74.26. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133. State and local governments are subject to the requirements in the Single Audit Act and the ED regulations implementing OMB Circular No. A-133.

Records:

As required by EDGAR for direct grant programs (34 CFR part 75). Generally, records related to grant funds, compliance, and performance must be maintained for a period of 5 years after completion.

Account Identification:

91-0204-0-1-501; 91-0203-0-1-501; 91-0400-0-1-501.

Obligations:

(Grants) FY 07 \$379,199,000; FY 08 est \$486,065,000; and FY 09 est \$102,300,000.

Range and Average of Financial Assistance:

Varies by competition.

PROGRAM ACCOMPLISHMENTS:

Varies by program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations, 34 CFR 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99. OMB Circulars A-21, A-87, A-110, A-112, and A-113.

Regional or Local Office:

None.

Headquarters Office:

Office of Innovation and Improvement (OII), 400 Maryland Avenue S.W., Washington, DC 20202. Contact: Pat Knight, E-mail: margo.anderson@ed.gov. Telephone: (202) 205-4746. Office of Safe and Drug-Free Schools, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-6123. Contact: William Modzeleski, E-mail: william.modzeleski@ed.gov. Telephone: (202) 260-3954. Office of Elementary and Secondary Education, 400 Maryland Avenue S.W., Washington, DC 20202. Contact: Braden Goetz, E-mail: braden.goetz@ed.gov. Telephone: (202) 260-0982.

Web Site Address:

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The programs funded in FY 2009 were: FIE Programs of National Significance (OII, CFDA 84.215K and U), Elementary and Secondary School Counseling (OSDFS, CFDA 84.215E), Character Education (OSDFS, CFDA 84.215S and V, Smaller Learning Communities (OESE, CFDA 84.215L), Physical Education Program (OSDFS, CFDA 84.215F), Education Through Cultural and Historical Organizations (OII, CFDA 84.215Y), Excellence in Economic Education (OII, CFDA 84.215B), Foundations for Learning Grants (OSDFS, CFDA 84.215H), Mental Health Integration in Schools (OSDFS, CFDA 84.215M), Academies for American History and Civics (OII, CFDA 84.215A and D) and Teaching American History (OII, CFDA 84.215X).

CRITERIA FOR SELECTING PROPOSALS:

The selection for these programs are contained in Section 75.200 of the Education Department General Administrative Regulations (EDGAR). The specific criteria to be used for particular grant competitions are announced in the application package or application notice in the Federal Register.

84.217 TRIO-MCNAIR POST-BACCALAUREATE ACHIEVEMENT

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 2, Chapter 1 Section 402E, as amended, 106 Stat. 448, 20 U.S.C. 1070a-15.

OBJECTIVES:

To provide grants for institutions of higher education to prepare participants for doctoral studies through involvement in research and other scholarly activities. Participants are from disadvantaged backgrounds and have demonstrated strong academic potential. Institutions work closely with participants as they complete their undergraduate requirements. Institutions encourage participants to enroll in graduate programs and then track their progress through to the successful completion of advanced degrees. The goal is to increase the attainment of Ph.D. degrees by students from underrepresented segments of society.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used by the participating institution to provide eligible participants with services such as: opportunities for research and other scholarly activities; summer internships; seminars; tutoring; academic counseling; securing admission and financial assistance for graduate study; mentoring and exposure to cultural events. At least two-thirds of the project participants must be low-income individuals who are first-generation college students. The remaining participants must be from a group that is underrepresented in graduate education.

Applicant Eligibility:

Institutions of higher education or combinations of institutions of higher education may apply.

Beneficiary Eligibility:

Low-income first generation college students or students from groups underrepresented in graduate education that are enrolled in a degree program at an eligible institution of higher education will benefit.

Credentials/Documentation:

Costs will be determined in accordance with Education Department General Administrative Regulations and the program regulations, Section 647 of the Code of Federal Regulations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Develop a proposal in accordance with legislation, regulations, applications, and timetables issued by the Secretary of Education. No State plan is required. Requests for applications should be made to the Federal TRIO Program. This program is subject to the provisions of the Education Department General Administrative Regulations.

Award Procedure:

Nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in the program regulations, 647.21. Grants are awarded by the Federal TRIO Programs office.

Deadlines:

Deadlines are published in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

None.

Renewals:

Continuation awards for up to five years are approved on an annual basis, subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Four to 5-year projects, subject to the availability of funds.

Reports:

Performance reports are submitted on an annual basis.

Audits:

In accordance with Education Department General Administrative Regulations, 34 CFR 61, 74, 75, and 702.

Records:

In accordance with the Education Department General Administrative Regulations, 34 CFR 75, 730, 75.731, and 75.732 and 647.32 of the program regulations.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$45,604,173; FY 08 est \$43,900,000; and FY 09 est \$43,636,000.

Range and Average of Financial Assistance:

In FY 2008, the average project award is expected to be approximately \$240,000. The minimum grant award is \$220,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2008 the program supports 181 projects serving nearly 5,000 students.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 647 and Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Federal TRIO Programs, Office of Postsecondary Education, 400 Maryland Avenue, S.W., Washington, DC 20202-5249. Contact: Virginia Pinkney. E-mail: trio@ed.gov; Telephone: (202) 502-7735.

Web Site Address:

<http://www.ed.gov/programs/triomcnair/>.

RELATED PROGRAMS:

84.042, TRIO_Student Support Services; 84.044, TRIO_Talent Search; 84.047, TRIO_Upward Bound; 84.066, TRIO_Educational Opportunity Centers; 84.103, TRIO_Staff Training Program.

EXAMPLES OF FUNDED PROJECTS:

Projects ensuring that eligible students are provided opportunities for research or other scholarly activities which effectively prepare them for doctoral study, including summer internships and seminars, have been developed. Also, projects which provide tutoring, academic counseling, and activities designed to assist eligible participants in the project in securing admission to and financial assistance for enrollment in graduate programs have been established.

CRITERIA FOR SELECTING PROPOSALS:

For new awards, the Department will select applicants under the criteria that were set forth in 647.21 of the program regulations. Final program regulations were published on August 25, 1994. Contact the program office for additional information.

84.220 CENTERS FOR INTERNATIONAL BUSINESS EDUCATION

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title VI, Part B, Section 612, as amended.

OBJECTIVES:

To provide a comprehensive university approach to improve the teaching of international business by bringing together faculty from numerous disciplines and to engage in research to promote the international competitiveness of U.S. business.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

The centers funded will: be national resources for the teaching of improved business techniques, strategies, and methodologies that emphasize the international context in which business is transacted; provide instruction in critical foreign languages and international fields needed to provide an understanding of the cultures and customs of U.S. trading partners; provide research and training in the international aspects of trade, commerce, and other fields of study; provide training to students enrolled in the institution or institutions in which a center is located; serve as regional resources to local

businesses by offering programs and providing research designed to meet the international training needs of such businesses; and serve other faculty, students, and institutions of higher education located within their respective regions. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Accredited public and nonprofit private institutions of higher education, or combinations of such institutions, that establish a center advisory council before the date Federal assistance is received may apply. This council will conduct extensive planning concerning the scope of the center's activities and the design of its program prior to establishing the center.

Beneficiary Eligibility:

Students and faculty of accredited institutions of higher education will benefit.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Eligible institutions may submit proposals for funding of projects when the announcement of a new competition appears in the Federal Register. Application forms and guidelines are available from the Center for International Education. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Final recommendations are made to the Secretary of Education following advice from a panel of consultants developed according to procedures defined by the Education Department General Administrative Regulations.

Deadlines:

Deadlines for transmitting proposals are announced in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 150 days.

Appeals:

None.

Renewals:

Project period may last up to 48 months. Applicants submit performance reports for continuation funding according to instructions published by the Department. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

The Federal share of the cost of centers is: (a) Not more than 90 percent for the first year in which Federal funds are furnished; (b) not more than 70 percent for the second year; and (c) not more than 50 percent for the third year and succeeding years. The nonfederal share for planning, establishing and operating the center may be provided by in-cash or in-kind assistance.

Length and Time Phasing of Assistance:

Grants are awarded for a up to 48 month period, subject to the availability of funds.

Reports:

Institutions must provide final reports upon completion of the program. Financial reports are also required.

Audits:

None.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available upon request for three years after close of the fiscal year in which the grant was closed out or until the grantee is notified of the completion of a Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$10,650,000; FY 08 \$10,960,000; and FY 09 est \$10,669,000.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$330,000 to \$370,000; the average award is \$354,000.

PROGRAM ACCOMPLISHMENTS:

Thirty-one continuation grants are expected to be awarded in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 74, 75, 77, and 85 (Education Department General Administrative Regulations).

Regional or Local Office:

None.

Headquarters Office:

International Education Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, DC 20202-5331. Contact: Susanna C. Easton. Telephone: (202) 502-7628. E-Mail: Susanna.easton@ed.gov.

Web Site Address:

<http://www.ed.gov/programs/iegpnsce/>.

RELATED PROGRAMS:

84.015, National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.153, Business and International Education Projects.

EXAMPLES OF FUNDED PROJECTS:

Projects that support linkages among international business education, foreign language, and area studies programs at institutions of higher education perform research on issues to improve U.S. competitiveness and provide assistance to the business community on matters of international commerce. San Diego State University (SDSU) developed a very successful interdisciplinary international business undergraduate degree program. The SDSU CIBER enhanced this program through implementing the following activities: dual, transnational undergraduate degrees with Mexico, France, and Canada, developed internships, both abroad and domestic, developed business courses taught in target languages, enhanced study abroad programs, and developed courses in area studies. The CIBER also provides business training for liberal arts faculty as well as language training for the business community. The breadth and depth of the SDSU CIBER collaborative activities guarantee timely and wide dissemination of its programs and research. The University of Texas at Austin (UT-Austin) CIBER initiated several activities to globalize business education and research. UT-Austin CIBER developed comprehensive exchange academic programs in Latin America, created double degree programs, within UT-Austin and with a foreign university, created an MBA/MA degree in collaboration with the National Resource Centers on the UT-Austin campus. The Export Video Series for the business community created an International Trade Analysis Program and conducted several academic outreach programs for other institutions of higher education. UT-Austin CIBER collaborative activities demonstrates how the CIBER acts as a national and regional resource.

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively under selection criteria that includes: EDGAR (34 CFR 75.209 and 75.210) and (a) meeting the purpose of the authorizing statute (20 points), (b) significance (18 points), (c) quality of the project design (10 points), (d) quality of the management plan (10 points), (e) quality of project personnel (10 points), (f) quality of project services (2 points), (g) adequacy of resources (10 points), and (h) quality of the project evaluation (20 points).

84.224 ASSISTIVE TECHNOLOGY

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Assistive Technology Act of 1998, as amended, Public Law 105-394.

OBJECTIVES:

To provide States with financial assistance that supports programs designed to maximize the ability of individuals of all ages with disabilities and their family members, guardians, advocates, and authorized representatives to obtain assistive technology devices and assistive technology services.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants (Discretionary); Project Grants (Contracts); Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Grants are awarded to carry out the functions authorized under the Act. States may provide assistance to Statewide community-based organizations or directly to individuals with disabilities of all ages. Technical assistance contracts support States and other entities. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

States, including the District of Columbia, Puerto Rico, and outlying areas may apply. Applicants are designated by Governors.

Beneficiary Eligibility:

Individuals with disabilities, States, and community-based organizations providing services to individuals with disabilities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-21 for educational institutions also applies.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

This program is subject to the provisions of OMB Circular No. A-110. Unless otherwise directed in the Federal Register Announcement, applications should be submitted to the Department of Education, Application Control Center, 400 Maryland Avenue, SW., Washington, DC 20202.

Award Procedure:

State plans are reviewed by Department of Education staff.

Deadlines:

Contact the Headquarters Office for further information.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Annual formula grants.

Reports:

Annual progress reports, expenditure reports, and quarterly cash reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recordkeeping requirements and regulations are included in the statute.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants) FY 07 \$26,111,000; FY 08 est \$25,655,000; and FY 09 est \$25,655,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

All States and outlying areas participate in the program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for information.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, DC 20202-2500. Contact: Rob Groenendaal. Telephone: 202-245-7393. E-mail Address: rob.groenendaal@ed.gov.

Web Site Address:

<http://www.ed.gov/about/offices/list/osers/nidrr/index.html>.

RELATED PROGRAMS:

84.126, Rehabilitation Services_Vocational Rehabilitation Grants to States.

EXAMPLES OF FUNDED PROJECTS:

The Assistive Technology State grants supports state efforts to improve the provision of assistive technology to individuals with disabilities of all ages through comprehensive, statewide programs that are consumer responsive. The purpose of these programs is to make assistive technology devices and services more available and accessible to individuals with disabilities and their families.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are included in the regulations. Contact the Program Office for more information.

84.229 LANGUAGE RESOURCE CENTERS

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act, Title VI, Part A, Section 603, as amended.

OBJECTIVES:

To improve the Nation's capacity to teach and learn foreign languages effectively by supporting the establishment, strengthening, and operation of language resource centers at institutions of higher education.

TYPES OF ASSISTANCE:

Project Grants; Project Grants (Contracts).

USES AND USE RESTRICTIONS:

Funds may be used to carry out activities to improve the teaching and learning of foreign languages. These activities may include: (a) The conduct and dissemination of research on new and improved methods for teaching foreign languages, including the use of advanced educational technology; (b) the development and dissemination of new materials for teaching foreign languages

to reflect the results of research on effective teaching strategies; (c) the development, application and dissemination of performance testing that is appropriate for use in an educational setting to be used as a standard measurement of skill levels in foreign language; (d) the training of teachers in the administration and interpretation of foreign language performance tests, the use of effective teaching strategies and the use of new technologies; (e) the publication of instructional materials in the less commonly taught foreign languages; and (f) the widespread dissemination of research results, teaching materials, and improved pedagogical strategies to the postsecondary education community. Equipment costs may not exceed 15 percent of the grant total.

Applicant Eligibility:

Accredited American institutions of higher education or combinations of such institutions may apply.

Beneficiary Eligibility:

Institutions of higher education or combinations of such institutions and individuals will benefit.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Eligible institutions may submit applications for funding when the announcement of a new competition is issued in the Federal Register. Application forms and guidelines for the submission of applications are available from the Advanced Training and Research Team. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Final recommendations are made to the Secretary following advice from a panel of consultants.

Deadlines:

Official deadline will be announced in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

None.

Renewals:

Higher education institutions selected to receive funds for the four year phased program may submit annual performance reports for the continuation of support. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are awarded for up to a 48-month period. Continuation awards are subject to the submission of satisfactory progress reports and are subject to the availability of funds.

Reports:

Funded centers must provide final reports upon completion of the 48 month program.

Audits:

All fiscal records will be subject to audit by the Department of Education at any time within three years after expiration of the grants.

Records:

All records supporting claims under grants or relating to the accountability for awarded funds must be available upon request: (1) For 3 years after close of the fiscal year in which the grant expired or (2) until the grantee is notified of the completion of the Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$4,893,816; FY 08 est \$4,875,000; and FY 09 est \$5,950,000.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$300,000 to \$400,000; the average award is expected to be \$353,000.

PROGRAM ACCOMPLISHMENTS:

Fifteen continuation grants are expected to be awarded in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655 and 669, and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

International Education Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-5331. Contact: G. Edward McDermott, E-mail: ed.mcdermott@ed.gov. Telephone: (202) 502-7636.

Web Site Address:

<http://www.ed.gov/programs/iegpslrc/>.

RELATED PROGRAMS:

84.015, National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign

Language and Area Studies Fellowship Program; 84.016, Undergraduate International Studies and Foreign Language Programs; 84.017, International Research and Studies; 84.018, Overseas Programs - Special Bilateral Projects; 84.019, Overseas Programs - Faculty Research Abroad; 84.021, Overseas Programs - Group Projects Abroad; 84.022, Overseas Programs - Doctoral Dissertation Research Abroad; 84.153, Business and International Education Projects; 84.220, Centers for International Business Education.

EXAMPLES OF FUNDED PROJECTS:

(1) The Georgetown University/Center for Applied Linguistics, George Washington University National Capital Foreign Language Resource Center, is continuing its research and training activities, building upon achievements made during the last funding cycle. The School of Languages and Linguistics at Georgetown serves as a field site for some research activities, as do local school systems in Maryland and Virginia. The Center is training teachers in the administration and interpretation of foreign language performance tests; training teachers in foreign language teaching and learning strategies; training teachers in the use of technology in foreign language teaching; conducting foreign language learning strategy research; and developing foreign language tests. (2) San Diego State University's Language Acquisition Resource Center (LARC) is building upon the foundation laid in the first cycle of Federal funding by continuing research and development in the areas of language testing, strategies for teaching and learning languages, and advanced uses of technology. LARC has shifted its focus during the second funding cycle to the development and implementation of a national training model that incorporates previous research and development. The training is designed to impact language instruction in K-12 and postsecondary institutions. During this cycle, LARC is: Developing a national model of language teacher training; conducting research, development and training in alternative language testing; developing and training educators in effective strategies for teaching and learning languages; and training educators in strategies for integrating technology into language curricula. (3) The University of Hawaii is also building on the existing structure and accomplishments of the currently funded Center. Although the Center focuses particularly on languages of Asia and the Pacific in its training, curriculum, and research activities, it also includes German, Russian, and other European languages in its efforts. The Center is organized around six component areas: pedagogy and psycholinguistics; sociolinguistics and cross-cultural pragmatics; materials and methods development; language testing; technology and telecommunications; and resource provision for foreign language researchers. Projects at the Center are carried out by a project team and generally cut across areas of emphasis, including several of the components listed above. The following types of programs are currently underway: National Foreign Language Fellows; A program of National Foreign Language Interns; Dissemination of materials and research results, including print, video/audio, and electronic media; A series of summer institutes in teacher training and materials preparation; and Research and materials development projects.

CRITERIA FOR SELECTING PROPOSALS:

34 CFR 655.31(a)-(e), 669.21 and 669.22 apply to the Language Resource Centers Program. Contact the program office for additional information.

84.234 PROJECTS WITH INDUSTRY

(PWI)

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, Title VI, Part A.

OBJECTIVES:

To create and expand job and career opportunities for individuals with disabilities in the competitive labor market by partnering with private industry to provide job training and placement, and career advancement services.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used to support projects to prepare persons with disabilities for employment in the competitive labor market. Any industrial, business, or commercial enterprise; labor union employer; community rehabilitation program provider; trade association; Indian tribe or tribal organization; designated State unit; or other agency or organization with the capacity to create and expand job opportunities for individuals with disabilities.

Applicant Eligibility:

Projects with Industry grants are awarded to employers, labor unions, profit making and nonprofit organizations, institutions, and State vocational rehabilitation agencies. Grants cannot be made directly to individuals. Only applicants that propose to serve a geographic area that is currently unserved or underserved by the PWI program can receive new awards under this program.

Beneficiary Eligibility:

An individual is eligible for services under this program if the individual to be served is an individual with a disability or an individual with a significant

disability, as defined in Sections 7 (20)(A) and 7(21)(A), respectively, of the Rehabilitation Act of 1973, as amended. In making this determination, the State vocational rehabilitation unit shall rely on the determination made by the recipient of the grant under which the services are provided, to the extent that the determination is appropriate, available, and consistent with the requirements of the Act.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions. Cost will be determined in accordance with OMB Circular No. A-122 for nonprofit organizations.

Preapplication Coordination:

This program is subject to the provisions of OMB Circular No. A-102. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications should be submitted to the Department of Education, Application Control Center, 400 Maryland Avenue, S.W., Washington, DC 20202. The standard application kit as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Department of Education No. 80-0013 must also be submitted.

Award Procedure:

Awards are made on approval of the Commissioner, RSA, and the Assistant Secretary, OSERS, for new projects, and the Commissioner, RSA, for continuation projects.

Deadlines:

Contact the program manager at the headquarters office for application deadlines.

Range of Approval/Disapproval Time:

The range is approximately 150 to 180 days.

Appeals:

None.

Renewals:

Projects with multi-year project periods may be continued based on annual review of accomplishments, compliance indicators and availability of adequate funds. Renewals are available only through the continuation application process.

Formula and Matching Requirements:

The Federal share may not exceed 80 percent of total project cost.

Length and Time Phasing of Assistance:

Project support may be available up to 60 months.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 76). Annual financial reports, compliance indicator data and reports of progress must be submitted as required by the grant award terms and conditions.

Audits:

Audits must be conducted on a continuing basis or at scheduled intervals. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for direct grant programs (34 CFR 75). Generally, records related to grant fund, compliance, and performance must be maintained for a period of five years after completion.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants) FY 07 \$17,293,000; FY 08 est \$19,197,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

In fiscal year 2008, the estimated range of awards is \$250,000 to \$350,000, with an average award of \$300,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2008, an estimated 63 projects will be funded. In FY 2007, PWI projects placed over 5,000 individuals with disabilities in competitive employment.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: (A) Education Department General Administrative Regulations (EDGAR) in 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, and 86; and (B) the regulations for this program in 34 CFR 369 and 379 apply.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OSERS 400 Maryland Ave., S.W., Washington, DC 20202-2740. Contact: Kerrie Clark. Telephone: (202) 245-7281. E-mail: kerrie.clark@ed.gov.

Web Site Address:

<http://www.ed.gov/programs/rsapwi/index.html>.

RELATED PROGRAMS:

84.126, Rehabilitation Services Vocational Rehabilitation Grants to States;
84.235, Rehabilitation Services Demonstration and Training Programs.

EXAMPLES OF FUNDED PROJECTS:

Projects funded in the past include: projects focusing on the training and placement of older individuals with disabilities; projects to train and place individuals with disabilities in specific industries (e.g., the electronics industry); projects to promote the school-to-work transition of youth with disabilities.

CRITERIA FOR SELECTING PROPOSALS:

Funding priorities are announced in the Federal Register. Applications for discretionary grants are subject to peer review procedures leading to recommendations for approval or disapproval by Federal and nonfederal experts. Criteria for evaluating applications are published in program and Department regulations 34 CFR 369 and 379.

84.235 REHABILITATION SERVICES DEMONSTRATION AND TRAINING PROGRAMS

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 303(b)-(d). 20 U.S.C. 777a and 797a.

OBJECTIVES:

To provide financial assistance to projects and demonstrations for expanding and improving the provision of rehabilitation and other services authorized under the Act or that further the purposes of the Act, including related research and evaluation activities.

TYPES OF ASSISTANCE:

Project Grants (Contracts).

USES AND USE RESTRICTIONS:

Authorized activities under this program include carrying out special demonstrations for expanding and improving the provision of rehabilitation and other services authorized under this Act and that further the purposes of the Act. Projects may include demonstrations to increase client choice; technical assistance; systems change; special studies and evaluations; demonstrations of service delivery; model demonstrations; transition services; supportive employment; services to underserved populations and/or unserved or underserved areas; services to low-incidence disabilities; transportation; and other projects designated by the Secretary. Other authorized programs include Parent Information and Training and Braille training projects.

Applicant Eligibility:

Eligible applicants are States and public or nonprofit organizations. Grants cannot be made directly to individuals.

Beneficiary Eligibility:

Individuals with disabilities.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local Governments. Costs will also be determined in accordance with OMB Circular No. A-21 for educational institutions, and A-122 for nonprofit organizations.

Preapplication Coordination:

This program is subject to the provisions of OMB Circular No. A-102. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications should be submitted to the Department of Education, Application Control Center. Attention: (CFDA 84-235), 400 Maryland Avenue, S.W., Washington, DC 20202. The standard application kit as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. ED No. 80-0013 must also be submitted.

Award Procedure:

Awards are made on approval of the Commissioner, RSA and the Assistant Secretary, OSERS, for new projects and the Commissioner, RSA, for continuation projects.

Deadlines:

Contact the headquarters office, RSA, for application deadlines. Deadlines are published in the Federal Register.

Range of Approval/Disapproval Time:

The range is approximately 150 to 180 days.

Appeals:

None.

Renewals:

Projects with multi-year periods may be continued based on annual review of accomplishments and availability of adequate funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are awarded for up to 60 months. Renewals are subject to the availability of funds.

Reports:

As required by the Education Department General Administrative Regulations (34 CFR 75). Annual performance reports are required.

Audits:

See 34 CFR 74.26. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133. State and local government are subject to the requirements in the Single Audit Act and the ED regulations.

Records:

As required by EDGAR for direct grant programs (34 CFR 75). Generally records related to grant funds, compliance, and performance must be maintained for a period of 5 years after completion.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$8,756,000; FY 08 est \$10,151,000; and FY 09 \$8,826,000.

Range and Average of Financial Assistance:

Not applicable because the range and average varies greatly by competition.

PROGRAM ACCOMPLISHMENTS:

Approximately 25 projects were funded in FY 07.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: (A) The Education Department General Administrative Regulations (EDGAR) in 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, and 86; and (B) the regulations for this program in 34 CFR 373 apply.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OSERS Rehabilitation Services Administration 400 Maryland Ave., S.W., Washington, DC 20202-2500. Contact: Ruth Brannon, E-mail: ruth.brannon@ed.gov. Telephone: (202) 245-7278.

Web Site Address:

<http://www.ed.gov/offices/OSERS/RSA/Programs/Discretionary/demotrain.html>.

RELATED PROGRAMS:

84.126, Rehabilitation Services Vocational Rehabilitation Grants to States;
84.128, Rehabilitation Services Service Projects.

EXAMPLES OF FUNDED PROJECTS:

Funded projects have included: projects to increase client choice; projects providing services to people with severe mental illness; projects servicing low-functioning adults that are deaf or hard of hearing disorders; projects to provide services to individuals with disabilities, and projects to provide services to youths with disabilities.

CRITERIA FOR SELECTING PROPOSALS:

Funding priorities are published in the Federal Register. Applications for discretionary grants are subject to peer review procedures leading to recommendations for approval or disapproval by Federal and nonfederal experts. Criteria for evaluating applications are published in program and department regulations (34 CFR 369).

84.240 PROGRAM OF PROTECTION AND ADVOCACY OF INDIVIDUAL RIGHTS

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, as amended Title V, Section 509, 29 U.S.C. 796g; 42 U.S.C. 10801 et seq.

OBJECTIVES:

To provide grants for States to support systems for protection and advocacy for the rights of individuals with disabilities who are ineligible for advocacy services from the other protection and advocacy programs or whose problems fall outside the scope of services available from the Client Assistance Program (CAP). This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at 202-708-7770.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Federal funds are used to support a system in each State to protect the legal and human rights of individuals with disabilities who need services that are beyond the scope of the Client Assistance Program (CAP) under Section 112 of the Rehabilitation Act of 1973 (the Act) and are ineligible for the protection and advocacy programs under Part C of the Developmental Disabilities Assistance and Bill of Rights Act (DDA) and the Protection and Advocacy for Individuals with Mental Illness Act of 1986 (PAIMI) or who need services that are not available from CAP, DDA, or PAIMI.

Applicant Eligibility:

Only designated protection and advocacy agencies in each State and Territory may apply. The Governor designates the protection and advocacy agency.

Beneficiary Eligibility:

Individuals with disabilities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected this program for review.

Application Procedure:

Any application for a grant must contain assurances that the eligible protection and advocacy system will: (1) have in effect a system to protect and advocate for the rights of eligible individuals with disabilities; (2) have the same general authorities as outlined in Part C of the DDA Act; (3) have the authority to pursue legal, administrative, and other appropriate remedies to ensure the protection of the rights of individuals with disabilities; (4) provide information and referral services to individuals with disabilities in the State; (5) develop a priorities and objectives statement each year; (6) seek public comment about their proposed priorities and objectives statement; (7) establish a grievance procedure for clients and prospective clients of the eligible protection and advocacy system; (8) use the funds available for this program to supplement nonfederal funds that would otherwise be available for this purpose; and (9) utilize, to the maximum extent possible, mediation and other alternative dispute resolution procedures before resorting to formal administrative or legal remedies.

Award Procedure:

Once the applications have been approved, awards are made on the basis of the relative population of each State. The minimum allotment is \$100,000 for States and \$50,000 for Territories.

Deadlines:

Contact the headquarters office, RSA, for application deadlines.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

The State may appeal to the Office of Administrative Law Judges.

Renewals:

Not applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Annual formula grants.

Reports:

A report describing the types of services and activities being undertaken by programs funded under the program, the total number of individuals served, the types of disabilities represented by such individuals, and the types of issues being addressed on behalf of such individuals.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Final records must be maintained for 3 years after the grant ends or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants) FY 07 \$16,489,000; FY 08 est \$16,201,000; and FY 09 est \$16,201,000.

Range and Average of Financial Assistance:

The range of awards is approximately \$160,311 - \$1,663,983 in FY 08.

PROGRAM ACCOMPLISHMENTS:

About 71,300 individuals were served during FY 07.

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations were published at 34 CFR 381.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OSERS Rehabilitation Services Administration 400 Maryland Ave., S.W., Washington, DC 20202-2500. Contact: James Billy, E-mail: james.billy@ed.gov. Telephone: (202) 245-7273.

Web Site Address:

<http://www.ed.gov/programs/rsapair/index.html>.

RELATED PROGRAMS:

84.161, Rehabilitation Services Client Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Eligible systems have pursued legal, administrative, and other appropriate remedies or approaches to protect and advocate for the rights of individuals with disabilities. Protection and advocacy systems may be housed in public or private entities.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.243 TECH-PREP EDUCATION

FEDERAL AGENCY:

OFFICE OF VOCATIONAL AND ADULT EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, Title II, Public Law 109-270, 20 U.S.C. 2373.

OBJECTIVES:

This program provides assistance to states to award grants to consortia of local agencies and postsecondary education institutions for the development and operation of programs consisting of the last two years of secondary education and at least two years of postsecondary education, designed to provide Tech Prep education to the student leading to an associate degree or a two-year certificate. The program also is designed to strengthen links between secondary and postsecondary schools.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants (Contracts).

USES AND USE RESTRICTIONS:

States must award program funds to consortia of local agencies and postsecondary education institutions. Consortia must implement tech-prep programs that have seven elements: (1) an articulation agreement between secondary and postsecondary consortium participants; (2) a two-plus-two or a four-plus-two (only four- or six-year programs are authorized) design with a common core of proficiency in math, science, communication, and technology; (3) a specifically developed Tech Prep curriculum; (4) joint in-service training of secondary and postsecondary teachers to implement the Tech Prep curriculum effectively; (5) training of counselors to recruit students and to ensure program completion and appropriate employment; (6) equal access for special populations to the full range of Tech Prep programs; and (7) preparatory services. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76-564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Costs Group on (202) 708-7770.

Applicant Eligibility:

The Department of Education makes formula grants to State agencies. Eligible recipients for subgrants are consortia of local educational agencies, intermediate education agencies or area vocational education schools serving secondary school students, or secondary schools funded by the Bureau of Indian Affairs; and nonprofit and proprietary institutions of higher education which offer a 2-year associate degree program, and which are qualified as institutions of higher education pursuant to Section 481(a) of the Higher Education Act of 1965, including institutions receiving assistance under the Tribally Controlled Community College Assistance Act of 1978, or a two-year apprenticeship program that follows secondary instruction, if such institutions are not subject to a default management plan required by the Secretary of Education.

Beneficiary Eligibility:

Individuals desiring to participate in a combined secondary/postsecondary program leading to a postsecondary degree or 2-year certificate with technical preparation in at least one field of engineering, applied science, mechanical, industrial, or practical art or trade, or agriculture, health, or business.

Credentials/Documentation:

The establishment of a State Board for vocational education, and a State occupational information coordinating committee is required. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

A 5-year State plan for vocational education must be prepared with active participation of the State Council on Vocational Education. Annual revisions may be submitted as necessary. State plans are subject to public hearings. This

program is eligible for cover under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the provisions of OMB Circular No. A-102.

Application Procedure:

A State board submits its application along with a 5-year State plan for career and technical education to the Secretary of Education. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Department of Education approve the 5-year State plan and make allocations to the States.

Deadlines:

States should contact the Program Office for deadlines.

Range of Approval/Disapproval Time:

The range is approximately 30 to 120 days.

Appeals:

A State board that is dissatisfied with the final action of the Assistant Secretary in disapproving a State plan is afforded reasonable notice and opportunity for a hearing.

Renewals:

None.

Formula and Matching Requirements:

The funding formula is based on State per-capita income and population in three age cohorts (15-19, 20-24, and 25-65). The formula provides for a minimum State allocation of at least 0.5 percent of the total, and a "hold-harmless" provision in the formula ensures that no State's share of the appropriation is less than its share of the fiscal year 1998 appropriation. A special provision limits the increase a State with an initial allocation of the 0.5 percent minimum may receive, resulting in a number of States that receive an allocation of less than 0.5 percent of the total. If appropriations result in the amount of funds for allocation to States exceeding the amount of funds allocated to States from the FY 2006 appropriation, up to one-third of the additional funds are allotted to States with FY 2006 grants that are less than the minimum 0.5 percent grant amount and the remainder would flow to the other States. In addition, the Pacific territories receive 0.13 percent of the total appropriated for State Grants to operate the same kinds of career and technical education programs as the States. Also, 1.25 percent of the total appropriation for State Grants is set aside for grants to federally recognized Indian tribes and tribal organizations, and 0.25 percent is set aside for competitive grants to organizations that primarily serve and represent Hawaiian Natives. The Bureau of the Census supplies the population data; the Department of Labor supplies the per capita income data.

Length and Time Phasing of Assistance:

Funds must be expended within 27 months after the first date of availability. States draw funds as needed under the electronic transfer system.

Reports:

An annual financial report, performance report, and Office for Civil Rights Annual Compliance Report must be submitted. A quarterly Federal Cash Transaction Report is required under the electronic transfer system.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act grantees must maintain records for 3 years.

Account Identification:

91-0400-0-1-501.

Obligations:

(Grants and Contracts) FY 07 \$104,753,000; FY 08 est \$102,923,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

The range of State grants was \$56,154 to \$11,260,243.

PROGRAM ACCOMPLISHMENTS:

There are Tech Prep Consortia in all 50 States, DC, Puerto Rico and the Virgin Islands. In school year 204-05, approximately 2.3 million students were enrolled in Tech Prep programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Rules and regulations, Title 34 CFR 400 and 406; EDGAR, Parts 74, 76, 77, 79, 80, 81, 82, 85, and 86; OCR guidelines for vocational education.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OVAE Division of High School, Postsecondary and Career Education 400 Maryland Ave., S.W., Washington, DC 20202-7241.

Contact: Sharon Lee Miller. E-mail: sharon.miller@ed.gov. Telephone: (202) 245-7846.

Web Site Address:

<http://www.ed.gov/about/offices/list/ovae/pi/cte/tphome.html>.

RELATED PROGRAMS:

84.048, Career and Technical Education -- Basic Grants to States; 84.051, Career and Technical Education -- National Programs.

EXAMPLES OF FUNDED PROJECTS:

Some projects promote a rigorous high school curriculum in order to prepare participants for the postsecondary portion of the program.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.245 TRIBALLY CONTROLLED POSTSECONDARY CAREER AND TECHNICAL INSTITUTIONS

FEDERAL AGENCY:

OFFICE OF VOCATIONAL AND ADULT EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, Section 117, Public Law 109-270, 20 U.S.C. 2327.

OBJECTIVES:

To make grants to tribally controlled postsecondary vocational and technical institutions to provide career and technical education services and basic support for the education and training of Indian students.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used to train teachers, purchase equipment, provide instructional services, and provide institutional support. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under CFD 76.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group 202-708-7770.

Applicant Eligibility:

A tribally controlled postsecondary career and technical institution that: (1) Is formally controlled, or has been formally sanctioned or chartered, by the governing body of an Indian tribe or Indian tribes; (2) offers a technical degree or certificate granting program; (3) is governed by a board of directors or trustees, a majority of whom are Indians; (4) demonstrates adherence to stated goals, a philosophy, or a plan of operation, that fosters individual Indian economic and self-sufficient opportunity, including programs that are appropriate to stated tribal goals of developing individual entrepreneurship and self-sustaining economic infrastructures on reservations; (5) has been in operation for at least 3 years; (6) holds accreditation with or is a candidate for accreditation by a nationally recognized accrediting authority for postsecondary vocational and technical education; (7) enrolls the full-time equivalent of not less than 100 students, of whom a majority are Indians; and (8) receives no funds under the Tribally Controlled College or University Act of 1978 or the Navajo Community College Act.

Beneficiary Eligibility:

American Indians and Native Alaskans will benefit

Credentials/Documentation:

Legal signature by a designated official on the application is required. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. The standard application forms as furnished by the Department of Education and required by OMB Circular No. A-102 must be used for this program. Application notices are published in the Federal Register.

Application Procedure:

Applications must be prepared and submitted to the Department of Education in accordance with program announcements and established deadlines as published in the Federal Register.

Award Procedure:

Applications are reviewed in accordance with regulations in 34 CFR Part 75.

Deadlines:

Notice of application deadlines are published in the Federal Register. Contact the program office for more information.

Range of Approval/Disapproval Time:

Approximately 120 to 150 days after deadline for transmitting applications.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

The total project period may not exceed five years.

Reports:

Financial status and performance reports as required by the Department of Education General Administrative Regulations, 34 CFR 75.720 must be maintained. Specific reporting requirements are contained in the award document.

Audits:

Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet the terms and conditions of grants.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for three years.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$7,366,000; FY 08 est \$7,546,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

In FY 08, awards ranged from \$2,879,000 to \$4,483,000.

PROGRAM ACCOMPLISHMENTS:

Two awards were made in FY 06. The recipients served the full time equivalent of 2,300 Indian students in school year 2006-07.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Education, OVAE Division of High School, Postsecondary and Career Education 400 Maryland Ave., S.W., Washington, DC 20202-7242; Contact: Lois Davis. E-mail: Lois.davis@ed.gov. Telephone: (202) 245-7784.

Web Site Address:

<http://www.ed.gov/programs/tcpvi/index.html>.

RELATED PROGRAMS:

84.048, Career and Technical Education -- Basic Grants to States.

EXAMPLES OF FUNDED PROJECTS:

Navajo Technical College, Crownpoint, New Mexico, and United Tribes Technical College, Bismarck, North Dakota, have received grants. Funds have been used by grantees to train teachers; to purchase equipment; to provide instructional services, child care, and other family support services; to provide student stipends; and to provide institutional support.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are published in the Federal Register.

84.246 REHABILITATION SHORT-TERM TRAINING**FEDERAL AGENCY:**

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302, 29 U.S.C. 774.

OBJECTIVES:

To support special seminars, institutes, workshops, and other short-term courses in technical matters relating to the delivery of vocational, medical, social, and psychological services.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Training grants are provided in fields directly related to the vocational and independent living rehabilitation of individuals with disabilities, such as rehabilitation disciplines and program areas supported under the Rehabilitation Training program. Conferences and meetings where training is not the primary focus may not be supported under this program.

Applicant Eligibility:

State vocational rehabilitation agencies (including territories), and other public or nonprofit agencies and organizations, including institutions of higher education may apply.

Beneficiary Eligibility:

Individuals preparing for or employed in positions relating to the rehabilitation of individuals with disabilities will benefit. Projects under this program are designed to provide short-term training and technical instruction in areas of special significance to the delivery of vocational, medical, social, and psychological rehabilitation services.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will also be determined in accordance with OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected

the program for review.

Application Procedure:

New applications are made to the Department of Education, Application Control Center, 400 Maryland Avenue, S.W., Washington, DC 20202-4725. Attention: 84.246. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications are reviewed by panels of field readers. Each panel includes two or more nonfederal members with experience and training in the proposed area of training.

Deadlines:

Deadlines are published in the Federal Register; contact the program office for application deadlines and other information.

Range of Approval/Disapproval Time:

The range is from 120 to 160 days.

Appeals:

None.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of adequate funds and an annual review of accomplishments to determine adequate performance.

Formula and Matching Requirements:

A grantee must contribute to the cost of a project under this program in an amount that satisfies the Secretary. The part of the costs to be borne by the grantee is determined by the Secretary at the time of the award.

Length and Time Phasing of Assistance:

Projects are generally supported for one to five years. Funds are granted on a twelve month basis. Renewals are subject to the availability of funds.

Reports:

Annual financial and progress reports must be submitted as required by the grant award terms and conditions.

Audits:

Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by the Education Department General Administrative Regulations (EDGAR).

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants) FY 07 \$750,000; FY 08 est \$750,000; and FY 09 est \$750,000.

Range and Average of Financial Assistance:

\$100,000 to \$250,000 in FY 07.

PROGRAM ACCOMPLISHMENTS:

Funds have been used to conduct training activities for personnel of Client Assistance programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR 385 and 34 CFR 390.

Regional or Local Office:

None.

Headquarters Office:

Rehabilitation Services Administration, Office of Special Education and Rehabilitative Services, Department of Education, 400 Maryland Ave., S.W., Washington, DC 20202-2649. Contact: Ruth Brannon. E-mail: ruth.brannon@ed.gov. Telephone: (202) 245-7278.

Web Site Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.129, Rehabilitation Long-Term Training.

EXAMPLES OF FUNDED PROJECTS:

Funded projects have provided curriculum materials and training for Client Assistance programs.

CRITERIA FOR SELECTING PROPOSALS:

Quality of key personnel, plan of operation, budget and cost-effectiveness, adequacy of resources, evidence of need, relevance to the State/Federal rehabilitation service program, and nature and scope of training program content are included in the selection criteria.

84.250 REHABILITATION SERVICES-AMERICAN INDIANS WITH DISABILITIES**FEDERAL AGENCY:**

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, Title I, Part C.

OBJECTIVES:

To provide vocational rehabilitation services to American Indians with disabilities that reside on Federal or State reservations in order to prepare them for suitable employment.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Projects provide financial assistance for the establishment and operation of tribal vocational rehabilitation service projects for American Indians with disabilities residing on reservations.

Applicant Eligibility:

Governing bodies of Indian tribes or consortia of those governing bodies on Federal and State reservations may apply.

Beneficiary Eligibility:

American Indians with disabilities residing on or near a Federal or State reservation (including Native Alaskans) and meet the definition of an individual with a disability in Section 7 (8) (A) of the Rehabilitation Act.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. The standard application form as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Application Procedure:

Applications should be submitted to the Department of Education, 400 Maryland Avenue, SW., Washington, DC 20202. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Awards are made on approval of the Commissioner of Rehabilitation Services and the Assistant Secretary, OSERS, for new projects and the Commissioner of Rehabilitation Services for continuation projects.

Deadlines:

Contact headquarters or regional offices for application deadlines.

Range of Approval/Disapproval Time:

The range is approximately 90 to 120 days.

Appeals:

None.

Renewals:

Projects with multi-year project periods may be continued based on an annual review of accomplishments and availability of adequate funds.

Formula and Matching Requirements:

Projects generally require ten percent matching in cash or in-kind.

Length and Time Phasing of Assistance:

Project support is available for up to 5 years.

Reports:

Annual financial reports and progress reports must be submitted as prescribed by grant award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Case records are for individual clients. Fiscal records are prescribed for audit purposes. Retention of records are required for three years.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants) FY 07 \$33,444,000; FY 08 est \$34,892,000; and FY 09 est \$34,892,000.

Range and Average of Financial Assistance:

In FY 2008, the range of awards is expected to be \$280,000 to \$1,959,086, with an average award of about \$467,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, about 1,500 American Indians with disabilities achieved an employment outcome.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR 75, 77, 80, 81, 82, and 85. Vocational Rehabilitation Service Projects for American Indians with Disabilities regulations 34 CFR 371.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, DC 20202-2500. Contact: Alfreda Reeves,

E-mail: alfreda.reeves@ed.gov. Telephone: (202) 245-7485.

Web Site Address:

<http://www.ed.gov/about/offices/list/osers/rsa/index.html>.

RELATED PROGRAMS:

84.126, Rehabilitation Services Vocational Rehabilitation Grants to States

EXAMPLES OF FUNDED PROJECTS:

Projects provide a range of vocational rehabilitation service comparable to those provided by the State Vocational Rehabilitation Services program, such as counseling, vocational training, mental and physical restoration and job placement. Projects have demonstrated improvements in the provision of services by implementing management systems, data collection models, and the utilization of service codes comparable to those by State rehabilitation agencies.

CRITERIA FOR SELECTING PROPOSALS:

The availability of funds is published in the Federal Register. Applications for discretionary grants are subject to peer review procedures by Federal and nonfederal experts that lead to recommendations for approval or disapproval. Criteria include plan of operation, quality of key personnel, budget and cost-effectiveness, evaluation plan, adequacy of resources, and evidence of need. These criteria are published in the program regulations at 34 CFR 371.

84.256 FREELY ASSOCIATED STATES-EDUCATION GRANT PROGRAM**FEDERAL AGENCY:**

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title I, Part A, Section 1121 (a), (b) and (c), 20 U.S.C. 6331.

OBJECTIVES:

To support activities consistent with the purposes of the Elementary and Secondary Education Act, including teacher training, curriculum development, instructional materials or general school improvement and school reform, and direct educational services..

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for activities consistent with the purposes of the Elementary and Secondary Education Act.

Applicant Eligibility:

Local educational agencies (LEA) in the Republic of Palau and in the outlying areas (American Samoa, Guam, the commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands).

Beneficiary Eligibility:

Local educational agencies in the Republic of Palau and in the outlying areas (American Samoa, Guam, the commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands).

Credentials/Documentation:

None.

Preapplication Coordination:

Provided by the Pacific Resources for Education and Learning (PREL). This program is excluded from coverage under E.O. 12372.

Application Procedure:

Section 1121 of Title I requires that the Department reserve up to \$5 million from Title I Grants to LEA funds each fiscal year for competitive grants to local educational agencies in the outlying areas (American Samoa, Guam, the commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands), and the Republic of Palau. Of this amount, the Secretary may reserve 5 percent to pay the administrative costs of the Pacific Resources for Education and Learning (PREL) regarding activities assisted under this program. PREL conducts the award competition and makes recommendations to the Secretary regarding the award of grants.

Award Procedure:

Applications for continuations are submitted to the Department of Education, Office of Elementary and Secondary Education, School Support and Technology Programs, Washington, DC. PREL conducts the competition for grants and submits recommendations of applications for funding to the Secretary of Education. The Secretary awards grants taking into consideration the recommendations of PREL.

Deadlines:

Determined according to grant competition schedule. Contact the program office for information.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

None.

Renewals:

Continuation awards of 12 months each may be made for a total of 36 months. Continuations are contingent upon evidence of satisfactory progress toward goals and objectives and subject to the availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Up to 36 months with annual continuations. Renewals are subject to the availability of funds.

Reports:

Annual performance reports are required.

Audits:

The Single Audit Act applies.

Records:

Records must be maintained as necessary for audit and for three years after the program ends.

Account Identification:

91-0900-0-1-501.

Obligations:

(Grants) FY 07 \$3,817,913; FY 08 est \$3,811,099; and FY 09 est \$3,811,099.

Range and Average of Financial Assistance:

For 2008, awards are expected to range between \$250,000 and \$1,126,000.

PROGRAM ACCOMPLISHMENTS:

Five awards are made annually.

REGULATIONS, GUIDELINES, AND LITERATURE:

For information, contact the program office.

Regional or Local Office:

Contact the PREL office.

Headquarters Office:

U.S. Department of Education, OESE School Support and Technology Programs 400 Maryland Ave., S.W., Rm. 3E245, FB-6 Washington DC 20202-6140 Contact: Valerie Rogers E-mail Address valerie.rogers@ed.gov. Telephone (202) 260-2543.

Web Site Address:

<http://www.ed.gov/programs/tfasegp/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Overall school improvements and reform, and other activities such as preschool programs, staff development academics, and programs to improve teaching and learning in core academic subjects, including mathematics, science, foreign languages, and other subjects.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed in accordance with criteria in the application package.

84.257 NATIONAL INSTITUTE FOR LITERACY

(NIL)

FEDERAL AGENCY:

NATIONAL INSTITUTE FOR LITERACY, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Adult Education and Family Literacy Act, Section 242.

OBJECTIVES:

To provide national leadership regarding literacy; to coordinate literacy services and policy; and to serve as a national resource for adult education and literacy programs through dissemination.

TYPES OF ASSISTANCE:

Project Grants; Project Grants (Contracts); Project Grants (Fellowships).

USES AND USE RESTRICTIONS:

Projects cannot duplicate activities being performed by the Secretary of Education. This program is governed by an interagency group made up of the Secretaries of Education, Health and Human Services, and Labor.

Applicant Eligibility:

Public and private nonprofit institutions may apply.

Beneficiary Eligibility:

State literacy resource centers, individuals, universities, and education organizations benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application procedures are set forth in the announcements in the Federal Register or FedBizops.

Award Procedure:

Announcements are made in the Federal Register or the Commerce Business Daily. Contact the headquarters office for details.

Deadlines:

Dates are published in the Federal Register. Contact the headquarters office for particular project deadlines.

Range of Approval/Disapproval Time:

The range is approximately 60-90 days.

Appeals:

Appeals can be made directly to the Director of the National Institute for

Literacy.

Renewals:

If funding is available, renewals are possible for multi-year grants.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The length of grants vary depending upon the type of project.

Reports:

Reporting requirements are included in grant documents. Contact the program office for additional information.

Audits:

Audits as required by EDGAR.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for three years.

Account Identification:

91-0400-0-1-501.

Obligations:

(Grants and Contracts) FY 07 \$3,989,000; FY 08 est \$3,906,000; and FY 09 \$3,906,000.

Range and Average of Financial Assistance:

Varies considerable depending on the project.

PROGRAM ACCOMPLISHMENTS:

The Institute has created the Literacy Information and Communications System (LINCS), an information and communication network on the Internet that provides information on effective literacy practices.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Acquisition Regulations and EDGAR apply.

Regional or Local Office:

None.

Headquarters Office:

National Institute for Literacy, 1775 I Street, N.W., Washington, DC 20006. Contact: Director, NIL. Telephone: (202) 233-2025. E-mail address: www.nifl.gov.

Web Site Address:

<http://www.nifl.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Institute has funded development of research-based publications on adult reading instruction: Applying Research in Reading Instruction for Adults: First Steps for Teachers and Teaching Adults to Read: A Summary of Scientifically Based Research Principles. It has contributed \$10 million to a national research program to build understanding of how adults learn to read and how to teach reading to adults effectively. Through a national survey, the Institute is exploring the relationships between adult literacy policies and student outcomes to learn more about the contributions policy can make. It also has convened regional summits on health literacy and a national summit on strengthening instruction and improving performance management in community literacy initiatives. The Institute is working with nationally recognized experts and stakeholders to plan new initiatives to fill gaps in knowledge and services in the areas of adult English language acquisition, adult literacy, workforce basic skills development, and education and employment outcomes for youth with learning disabilities.

CRITERIA FOR SELECTING PROPOSALS:

For specific criteria on individual grants, the Institute should be contacted.

84.258 EVEN START-INDIAN TRIBES AND TRIBAL ORGANIZATIONS**FEDERAL AGENCY:**

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended; Title I, Part B, Subpart 3, 20 U.S.C. 6381-6381k.

OBJECTIVES:

To help break the cycle of poverty and illiteracy and improve the educational opportunities of low-income families through family literacy programs that integrate early childhood education, adult basic education, and parenting education.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Funds are used for activities such as recruitment and screening of children and parents, design of programs, instruction for children and parents, staff training, support services, evaluation, and coordination with other programs. Indirect costs are not an allowable project cost unless a waiver is obtained from the Secretary of Education

Applicant Eligibility:

Federally recognized Indian tribes and tribal organizations as defined in the

Indian Self-Determination and Education Assistance Act, Section 4, may apply.

Beneficiary Eligibility:

Parents eligible for participation under the Adult Education Act, and their children from birth to seven years old will benefit. Families must be in need of Even Start Services, as indicated by a low income level and a low level of adult literacy or English language proficiency, or other need-related indicators.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications from Federally recognized Indian tribes and tribal organizations must be submitted in accordance with the regulations, instructions, and forms included in the grant application package.

Award Procedure:

The Department of Education notifies successful applicants of awards. Actual negotiation and awarding of grants is done by the Department's Grant and Contracts Service in cooperation with program staff.

Deadlines:

Deadlines are announced in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 60 to 120 days.

Appeals:

None.

Renewals:

Grants are for up to 4 years with annual renewals subject to the approval of the Department of Education and the availability of funds. Applicants may reapply at the end of the grant period, but may not receive funds for more than 8 years.

Formula and Matching Requirements:

The Federal share of the cost of a subgrant project is not more than 90 percent in the first year, 80 percent in the second year, 70 percent in the third year, 60 percent in the fourth year, and 50 percent in any subsequent year.

Length and Time Phasing of Assistance:

Grants may not exceed four years.

Reports:

Annual performance reports are required.

Audits:

In accordance with the Education Department General Administration Regulations in 34 CFR 80, State and local Governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80. For this purpose, the term "State" includes any Indian tribe.

Records:

In accordance with the Education Department General Administrative Regulations (EDGAR), certain project records must be retained for three years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Grants) FY 07 \$1,189,241; FY 08 est \$951,816; and FY 09 est \$0.

Range and Average of Financial Assistance:

Grants range from \$100,000 to \$200,000.

PROGRAM ACCOMPLISHMENTS:

An estimated 5 grants will be funded in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 75, 77, 80, 82, and 85. For more information contact the program office.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Education, Office of Elementary and Secondary Education, 400 Maryland Avenue, SW., Washington, DC 20202-6132. Contact: Patricia McKee. E-mail Address patricia.mckee@ed.gov Telephone: (202) 260-0991.

Web Site Address:

<http://www.ed.gov/programs/evenstartindian/index.html>.

RELATED PROGRAMS:

84.002, Adult Education - Basic Grants to States; 84.010, Title I Grants to Local Educational Agencies; 84.011, Migrant Education State Grant Program; 84.213, Even Start State Educational Agencies; 93.600, Head Start.

EXAMPLES OF FUNDED PROJECTS:

Tribal Projects include the grant to San Pasqual of Mission Indians in Valley Center, California. This project is based on economic self-sufficiency and promoting healthy families including scientifically based resources and parent support. The comprehensive program integrates literacy, academics, culture, parenting and self-sufficiency through the four Even Start components.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria in the Education Department General Administrative Regulations (EDGAR), 34 CFR 75.209 and 75.210 are used to evaluate applications.

84.259 NATIVE HAWAIIAN CAREER AND TECHNICAL EDUCATION

FEDERAL AGENCY:

OFFICE OF VOCATIONAL AND ADULT EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, Title I, Section 116b(h), Public Law 109-270, 20 U.S.C. 2326(h).

OBJECTIVES:

To make grants to organizations primarily serving and representing Native Hawaiians for programs or portions of programs authorized by, and consistent with, the Carl D. Perkins Career and Technical Education Act.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To provide career and technical education opportunities for Native Hawaiians. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

Applicant Eligibility:

Any organization primarily serving and representing Native Hawaiians and recognized by the Governor of Hawaii may apply.

Beneficiary Eligibility:

Native Hawaiians will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-21 applies in the case of university grantees and OMB Circular No. A-122 applies to nonprofit grantees.

Preapplication Coordination:

The standard application form as furnished by the Department of Education and required by OMB Circular No. A-102 must be used for this program. Application notices are published in the Federal Register. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be prepared and submitted in accordance with program announcements which are published in the Federal Register. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Secretary of Education approves the application using the selection criteria in 34 CFR Part 75 and in the Federal Register notice, and after negotiation is completed, the Department of Education Grants Officer sends the Notification of Grant Award to the recipient.

Deadlines:

Notice of application deadlines are published in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 120 to 150 days after deadline for transmitting applications.

Appeals:

None.

Renewals:

Awards are made for 1 year and may be renewed for one additional year, subject to acceptable performance reports and the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The total project period may not exceed 2 years. Renewals are subject to the availability of funds and grantee performance.

Reports:

Specific reporting requirements are contained in the grant award documents.

Audits:

Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years.

Account Identification:

91-0400-0-1-501.

Obligations:

(Grants) FY 07 \$2,954,000; FY 08 est \$2,902,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be between \$250,000 to \$500,000; the average will be approximately \$295,600.

PROGRAM ACCOMPLISHMENTS:

Native Hawaiian access to high-quality, state-of-the-art career education has improved with the initiation of several entrepreneurship projects (forestry trade, mushroom growing, aquaculture, small business management) related to the changing Hawaiian economy, environmentally-focused projects, and assistance with guidance, counseling and education supplies for collegiate Native Hawaiians.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 75.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OVAE Division of High School, Postsecondary and Career Education 400 Maryland Ave., S.W., Washington, DC 20202-7242.
Contact: Nancy Essey. E-mail: nancy.essey@ed.gov. Telephone: (202) 245-7789.

Web Site Address:

<http://www.ed.gov/programs/ctenhvpe/index.html>.

RELATED PROGRAMS:

84.048, Career and Technical Education -- Basic Grants to States.

EXAMPLES OF FUNDED PROJECTS:

In the past, program funds have supported a forestry and wood trades project on the island of Hawaii, an aquaculture (fish growing in ancient, reclaimed ponds) project on the Island of Molokai, a small business ownership project for adults and a mushroom growing project in a high school on the Island of Oahu, community college guidance and counseling throughout the Islands, and an entrepreneurship project for learning disabled and emotionally challenged teenagers have been funded with one grant awarded to ALU LIKE, Inc.

CRITERIA FOR SELECTING PROPOSALS:

The following criteria are used in reviewing applications. A segment or segments of an application should address each criterion. Points are awarded to the extent that evidence in the application satisfies each criterion: (A) Program design; (B) management plan; (C) key personnel; (D) evaluation plan; and (E) budget and cost-effectiveness.

84.263 REHABILITATION TRAINING-EXPERIMENTAL AND INNOVATIVE TRAINING**FEDERAL AGENCY:**

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302, 29 U.S.C. 774.

OBJECTIVES:

This program is designed to: (a) Develop new types of training programs for rehabilitation personnel and to demonstrate the effectiveness of these new types of training programs for rehabilitation personnel in providing rehabilitation services to individuals with disabilities; and (b) develop new and improved methods of training rehabilitation personnel so that there may be a more effective delivery of rehabilitation services by State and other rehabilitation agencies.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Training grants are provided in fields directly related to the vocational and independent living rehabilitation of individuals with disabilities.

Applicant Eligibility:

State vocational rehabilitation agencies, including Territories and other public or nonprofit agencies and organizations (including institutions of higher education) may apply.

Beneficiary Eligibility:

Individuals preparing for or employed in positions relating to the rehabilitation of individuals with disabilities will benefit.

Credentials/Documentation:

An application should show evidence of current accreditation by or seeking of accreditation from the designated accreditation agency in the respective professional field. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

New applications are made to the Department of Education, Application Control Center, 400 Maryland Avenue, S.W., Washington, DC 20202-4725. Attention: 84.263. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

New and continuation grants are awarded by the Rehabilitation Services Administration. All new applications are reviewed by a panel of at least three individuals. Each panel will include nonfederal consultants with experience and training in the proposed area of training.

Deadlines:

Deadlines are published in the Federal Register or you may contact the program office for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 160 days.

Appeals:

None.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of adequate funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

Grantees are required to share in the costs of projects with the proportion subject to individual negotiation.

Length and Time Phasing of Assistance:

Projects may generally be supported for a maximum of 5 years. Funds are granted on a 12-month basis. Renewals are subject to the availability of funds.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions.

Audits:

Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper records must be maintained as required by the Education Department General Administrative Regulations (EDGAR) or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$0; FY 08 est \$500,000; and FY 09 est \$500,000.

Range and Average of Financial Assistance:

Average \$125,000 in FY 08.

PROGRAM ACCOMPLISHMENTS:

A wide variety of strategies to develop new and improved methods of training rehabilitation personnel to support the effective delivery of rehabilitation services are being funded. Curriculum development efforts address adaptive technology training, computer-based learning, use of natural supports at the work site, and interdisciplinary instruction for dentistry professionals on rehabilitation and the needs of individuals with disabilities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR 385 and 387.

Regional or Local Office:

None.

Headquarters Office:

Rehabilitation Services Administration, Office of Special Education and Rehabilitative Services, Department of Education, Washington, DC 20202-2649. Contact: Ruth Brannon. E-mail: ruth.brannon@ed.gov. Telephone: (202) 245-7278.

Web Site Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.126, Rehabilitation Services Vocational Rehabilitation Grants to States.

EXAMPLES OF FUNDED PROJECTS:

(1) An innovative model for rehabilitation engineering technology training; (2) a competency-based individualized training project using interactive videodisc technology training; and (3) a computer-based training program for rehabilitation professionals in the areas of head injury rehabilitation and supported employment.

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the content of the training to the mission of the public rehabilitation program and priorities for projects are in the application notice. Criteria for evaluating applications are published in program regulations 34 CFR 385 and 387.

84.264 REHABILITATION TRAINING-CONTINUING EDUCATION**FEDERAL AGENCY:**

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, Title III, Section 302, as amended, 29 U.S.C. 774.

OBJECTIVES:

To support training centers that serve either a Federal region or another geographical area and provide for a broad integrated sequence of training activities that focus on meeting recurrent and common training needs of employed rehabilitation personnel throughout a multi-State geographical area.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Training grants are limited to support of continuing education programs for personnel such as rehabilitation counselors, administrators, independent living specialists, audiologists, rehabilitation teachers for individuals who are blind, rehabilitation technology specialists who provide vocational, independent living, and client assistance services to individuals with disabilities.

Applicant Eligibility:

Institutions of higher education, State vocational rehabilitation agencies (including territories), and other public or nonprofit agencies and organizations.

Beneficiary Eligibility:

Individuals employed in positions related to the rehabilitation of individuals with disabilities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

New applications are made to the Department of Education, Application Control Center, 400 Maryland Avenue, S.W., Washington, DC 20202-4725. Attn: 84.264. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

New and continuation grants are awarded by the Rehabilitation Services Administration. All new applications are reviewed by a panel of at least three individuals. Each panel will include nonfederal consultants with experience and training in the proposed area of training.

Deadlines:

Deadlines are published in the Federal Register. Contact the program office for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 160 days.

Appeals:

None.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of adequate funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

Grantees are required to share in the cost of projects with the proportion subject to individual negotiation.

Length and Time Phasing of Assistance:

Projects may be supported for a maximum of 5 years, 12-month budget periods. Renewals are subject to the availability of funds.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions.

Audits:

Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program- specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper records must be maintained as required by the Education Department General Administrative Regulations (EDGAR) or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants) FY 07 \$10,071,000; FY 08 est \$8,090,000; and FY 09 est \$8,090,000.

Range and Average of Financial Assistance:

Competition in FY 08 ranges from \$711,000 to \$969,000 for each 12 month period.

PROGRAM ACCOMPLISHMENTS:

Training by the projects addressed rehabilitation staff training needs in human resource development, independent living rehabilitation, management of rehabilitation facilities, and supported employment.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR 385 and 389.

Regional or Local Office:

None.

Headquarters Office:

Rehabilitation Services Administration, Office of Special Education and Rehabilitative Services, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-2649. Contact: Ruth Brannon, Telephone: (202) 245-7278.

Web Site Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.126, Rehabilitation Services Vocational Rehabilitation Grants to States.

EXAMPLES OF FUNDED PROJECTS:

RCEPs provide training for State agency staff and for the staffs of related public and private nonprofit rehabilitation agencies.

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the content of the training to the mission of the public rehabilitation program and priorities for projects in the application notice are considered. Criteria for evaluating applications are published in program regulations 34 CFR 385 and 389.

84.265 REHABILITATION TRAINING-STATE VOCATIONAL REHABILITATION UNIT IN-SERVICE TRAINING

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302(g)(3), 29 U.S.C. 774.

OBJECTIVES:

This program is designed to support special projects for training State vocational rehabilitation unit personnel in program areas essential to the effective management of the unit's program of vocational rehabilitation services or in skill areas that enable staff personnel to improve their abilities to provide vocational rehabilitation services to individuals with disabilities. Projects are designed to: (1) address recruitment and retention of qualified rehabilitation professionals; (2) provide for successful planning; (3) provide for leadership development and capacity building; and (4) provide training on the Rehabilitation Act of 1973, as amended.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Training grants may only be made to agencies designated to administer the State vocational rehabilitation program. Fifteen percent of the funds appropriated for the Rehabilitation Services Administration Training program must be allocated for In-Service Training.

Applicant Eligibility:

State vocational rehabilitation agencies, including territories, may apply.

Beneficiary Eligibility:

Individuals employed by designated State rehabilitation agencies will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

New applications are made to the Department of Education, Application Control center, 400 Maryland Avenue, S.W., Washington, DC 20200-4725. Attn: 84.265. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Grants are awarded by the Rehabilitation Services Administration. All new applications are reviewed by a panel of at least three individuals. Each panel will include nonfederal consultants with experience and training in the proposed area of training.

Deadlines:

Deadlines are published in the Federal Register; contact the program office for application deadlines and other information.

Range of Approval/Disapproval Time:

From 120 to 160 days.

Appeals:

None.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of adequate funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

Grantees are required to share ten percent of the cost of projects.

Length and Time Phasing of Assistance:

Projects may generally be supported for a maximum of 5 years, with 12-month budget periods. Renewals are subject to the availability of funds.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions

Audits:

Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by the Education Department General Administrative Regulations (EDGAR).

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$5,766,000; FY 08 est \$5,766,000; FY 09 est \$5,766,000.

Range and Average of Financial Assistance:

\$18,883 to \$301,553 for basic awards; \$20,881 to \$109,784 for quality awards in FY 08.

PROGRAM ACCOMPLISHMENTS:

State vocational rehabilitation agencies employ more than 25,000 individuals. It is estimated that more than 13,000 individuals participated in one or more activities sponsored under the State VR Unit In-Service Training Program in FY 07. Agencies reported that special emphasis was given to training staff on human resource development and on providing rehabilitation services to underserved populations.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR 385 and 388.

Regional or Local Office:

None.

Headquarters Office:

Rehabilitation Services Administration, Office of Special Education and Rehabilitative Services, Department of Education, Washington, DC 20202-2649. Contact: Ruth Brannon, Telephone: (202) 245-7278.

Web Site Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.126, Rehabilitation Services Vocational Rehabilitation Grants to States.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the content of the training to the mission of the public rehabilitation program is considered. Priorities for certain projects are stated in the application notice. Criteria for evaluating applications are published in program regulations 34 CFR 385 and 388.

84.268 FEDERAL DIRECT STUDENT LOANS

FEDERAL AGENCY:

FEDERAL STUDENT AID, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part D, as amended.

OBJECTIVES:

To provide loan capital directly from the Federal government (rather than through private lenders) to vocational, undergraduate, and graduate postsecondary school students and their parents.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

To help defray costs of education at a participating school. Repayment on Federal Stafford loans begins six months after the student ceases to carry at least

one-half the normal full-time academic workload. Repayment of principal and interest on PLUS loans generally begins within 60 days of disbursement of the last installment, repayment of a consolidation loan begins within 60 days after the proceeds of the loan have been used to discharge the liability of the borrower on the loans selected for consolidation. Deferments and forbearance of payment may be granted for certain authorized periods.

Applicant Eligibility:

The applicant must be a U.S. citizen, national, or person in the United States for other than a temporary purpose. A student borrower must be enrolled or accepted for enrollment in a degree or certificate program on at least a half-time basis as an undergraduate, graduate, or professional student at a participating postsecondary school. An otherwise eligible student is eligible for loans during a single twelve-month period in which the student is enrolled in a non-degree/non-certificate course of study that the school has determined is necessary in order for the student to enroll in a program leading to a degree or certificate. Under the Direct PLUS program, parents may borrow for dependent students. Also, graduate and professional students are eligible to borrow PLUS Loans. Direct Unsubsidized and PLUS loans are non-need based programs. In order to receive a Direct Subsidized Loan, all borrowers must undergo a financial need analysis to determine eligibility for Federal interest. A student that is presently enrolled at a participating institution must be maintaining satisfactory academic progress in the course of study that they are pursuing. The borrower may not owe a refund on any Title IV grant or be in default on any Title IV loan received for attendance at any school. The borrower must also file a Statement of Registration compliance (Selective Service). Borrowers that are unable to obtain consolidation loans from lenders under FFEL or who are unable to obtain a consolidation loan with income sensitive repayment terms acceptable to the borrower from a lender, shall be eligible for a Direct Consolidation Loan. Married couples may consolidate eligible loans.

Beneficiary Eligibility:

Vocational, undergraduate, and graduate postsecondary school students and their parents.

Credentials/Documentation:

The borrower will need certification of eligibility from the school and may be required to supply documentation to verify the accuracy of data used in the Direct Subsidized loan need analysis.

Preapplication Coordination:

Information regarding application procedures may be obtained from the school and the Department of Education. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications for the Direct Subsidized and Unsubsidized loans are obtained from the school. Direct consolidation loan applications are obtained from the Department of Education. To obtain a Direct Subsidized or Unsubsidized loan, a student shall complete and submit a Free Application for Federal Student Aid (FAFSA). All applications (with the exception of PLUS) for Direct Loans are processed by the Central Processor System (CPS) in the initial step to determine a student's Title IV eligibility. Schools will receive official output from CPS. With the school will be able to assemble a financial aid award package. A school participating in the Direct Loan program may award Direct Loans as part of the financial aid package. If a Direct Loan is not included in the aid package, a student may contact the school to request loan information.

Award Procedure:

Schools participate in the Direct Loan program either by originating loans or by using an alternative originator to originate loans. The school must certify the borrower's eligibility to receive the funds. To obtain a Direct PLUS Loan, the parent shall complete an application and authorize a credit check. If the parent does not have an adverse credit history the loan may be disbursed. Schools may disburse funds by crediting them directly to the student's account at the school, issuing a check or cash, or by initiating an electronic fund transfer.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Applicants may apply for a loan for any year of school. The interest rate on new Stafford and Unsubsidized Stafford Loans starting July 1, 2006 is fixed at 6.8 percent. The College Cost Reduction and Access Act (CCRAA) of 2007 authorized phased reductions to the interest rates for Subsidized Stafford loans borrowed by undergraduates with the rate cut in half to 3.4 percent on July 1, 2011. There are four repayment plans that are available for Direct Loan borrowers: (1) A standard repayment plan with a fixed monthly payment amount over a period of up to 10 years; (2) an extended repayment plan with a fixed

monthly payment amount paid over a period of 12 to 30 years, depending on the amount owed; (3) a graduated repayment plan with a monthly payment that will increase generally every 2 years. The repayment period varies from 12 to 30 years, depending on the amount owed; and (4) except for Direct PLUS Loan borrowers, an income contingent repayment (ICR) plan with a monthly payment that is adjusted annually based on the income of the borrower and paid over a extended period of time not to exceed 25 years. Any unpaid balance remaining after 25 years in the ICR plan is forgiven by the Secretary of Education. However, under current IRS rules, the amount written off is considered taxable income to the borrower in the year it is written off. A legislative change removing this taxable treatment has been sought. CCRAA created a new income-based repayment plan for those borrowers meeting a standard of "partial financial hardship" based on their annual repayment amount in relation to their adjusted gross income. Generally a borrower would not have to repay on a monthly basis more than 15 percent of their discretionary income. Any outstanding balance would be forgiven after 25 years of repayment in the income-based repayment option. CCRAA also created a new loan forgiveness program for Direct Loan borrowers who work in public service during a 10-year period while they are repaying their loans. If these borrowers during repayment have an outstanding loan balance after 10 years of working in public service, the Federal Government will forgive the remaining balance on behalf of the borrower. Public service may include a variety of public disciplines such as education, health, law, military service and public safety.

Reports:

The Department will produce a variety of management information system accounting, and financial management reports. Schools must submit all reports required by 34 CFR 685 and 34 CFR 668.

Audits:

Schools are subject to examination and program review by the Department of Education. Program reviews are performed on a fiscal year basis.

Records:

Schools must maintain proper administrative and fiscal procedures and all necessary records as set forth in the regulations in 34 CFR 685 and 34 CFR 668. Schools must keep all records involved in any loan, claim, or expenditure questioned by a Federal audit until resolution of any audit questions. In addition to records required by 34 CFR 668, for each Direct Loan received under 34 CFR 685 by or on behalf of this student, a school shall maintain a copy of the loan application and data electronically submitted to the Secretary.

Account Identification:

91-0243-0-1-502.

Obligations:

(Loan volume, net of consolidations) FY 07 \$13,022,000,000; FY 08 est \$14,103,000,000; and FY 09 est \$14,867,000,000. DL Consolidation volume: FY 07 \$3,484,000,000; FY 08 est \$4,116,000,000; and FY 09 est \$4,426,000,000.

Range and Average of Financial Assistance:

Varies depending on the type of loan.

PROGRAM ACCOMPLISHMENTS:

In 2007, over 2.9 million loans had been made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Student Loan Reform Act of 1993, Public Law 103-66, 34 CFR 685.

Regional or Local Office:

See the list of Regional Offices listed in Appendix 5 of the FFEL Catalog.

Headquarters Office:

Federal Direct Loans, Office of Postsecondary Education, Department of Education, Washington, DC 20202. Telephone: (800) 433-3243.

Web Site Address:

<http://www.ifap.ed.gov/>.

RELATED PROGRAMS:

64.117, Survivors and Dependents Educational Assistance; 84.007, Federal Supplemental Educational Opportunity Grants; 84.032, Federal Family Education Loans; 84.042, TRIO Student Support Services; 84.044, TRIO Talent Search; 84.047, TRIO Upward Bound; 84.063, Federal Pell Grant Program; 84.069, Leveraging Educational Assistance Partnership.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.269 INSTITUTE FOR INTERNATIONAL PUBLIC POLICY

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title VI, Part C, as amended.

OBJECTIVES:

To establish an institute for international public policy. This institute shall conduct a program to significantly increase the numbers of African Americans

and other under represented minorities in the international service, including private international voluntary organizations and the foreign service of the United States.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Funds may be used to support: (1) a sophomore-year summer policy institute; (2) a junior-year abroad program; (3) a junior-year summer policy institute; (4) internships--junior year, senior year, and postbaccalaureate; (5) a senior language institute; (6) a master's degree program in international affairs; and (7) institutional grants to strengthen undergraduate international affairs programs at selected campuses.

Applicant Eligibility:

A consortium consisting of one or more of the following entities: An institution eligible for assistance under Part B of Title III of the Higher Education Act of 1965, as amended; an institution of higher education that serves substantial numbers of African Americans or other underrepresented minority students and an institution of higher education with programs in training foreign service professionals.

Beneficiary Eligibility:

Institutions of higher education and minority students will benefit.

Credentials/Documentation:

None. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Technical assistance in preparing applications is available from the agency information contact listed below. An informal preapplication conference with the agency information contact is recommended. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Eligible consortia may submit an application for funding when a new competition is announced in the Federal Register. Application forms and guidelines for the submission of applications are available from the International Studies Team of the International Education and Graduate Programs Service (IEGPS).

Award Procedure:

Final recommendations are made to the Secretary following advice from a panel of consultants.

Deadlines:

Deadlines will be announced in the Federal Register.

Range of Approval/Disapproval Time:

The range is approximately 90 days.

Appeals:

There are no appeals.

Renewals:

The project period may last up to 60 months. Applicants must receive annual approval for renewals.

Formula and Matching Requirements:

The Federal share for each fiscal year shall not exceed 50 percent of the cost of each project.

Length and Time Phasing of Assistance:

A grant is awarded for a 60 month period; subject to the availability of funds.

Reports:

The Institute shall annually prepare a report on the activities of the Institute and shall submit it to the Secretary of Education and the Secretary of State.

Audits:

All fiscal records will be subject to audit by the Department of Education at any time within 3 years after expiration of the grant.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available on request: (1) For 3 years after the close of the fiscal year that the grant expired; or (2) until grantee is notified that the fiscal audit has closed, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$1,599,840; FY 08 \$1,670,301; and FY 09 est \$1,670,301.

Range and Average of Financial Assistance:

One award is made for the entire amount available.

PROGRAM ACCOMPLISHMENTS:

One award was made in fiscal year 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

International Education Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington DC

20202-5331. Contact: Tanyelle Richardson. E-mail: tanyelle.richardson@ed.gov Telephone: (202) 502-7626.

Web Site Address:

<http://www.ed.gov/programs/iegpsiipp/>.

RELATED PROGRAMS:

84.015, National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016, Undergraduate International Studies and Foreign Language Programs; 84.017, International Research and Studies; 84.018, Overseas Programs - Special Bilateral Projects; 84.019, Overseas Programs - Faculty Research Abroad; 84.021, Overseas Programs - Group Projects Abroad; 84.022, Overseas Programs - Doctoral Dissertation Research Abroad; 84.153, Business and International Education Projects; 84.220, Centers for International Business Education; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS:

Included among the activities implemented by the grantee are: (1) a sophomore-year summer policy institute; (2) a junior-year abroad program; (3) a junior-year summer policy institute; (4) internships--junior year, senior year, and postbaccalaureate; (5) a senior language institute; (6) a master's degree program in international affairs; and (7) institutional grants to strengthen undergraduate international affairs programs at selected campuses.

CRITERIA FOR SELECTING PROPOSALS:

Selection Criteria: The selection criteria are from 34 CFR sections 655.31, 669.20, 669.21, and 669.22 are as follows--Plan of operation (15 points); Quality of key personnel (10 points); Adequacy of resources (5 points); Need and potential impact (20 points); Likelihood of achieving results (10 points); Description of final form of result (10 points); Evaluation plan (20 points); Budget and cost-effectiveness (10 points); and Priorities (20 points). Criteria are subject to change. Contact program office for further information.

84.274 AMERICAN OVERSEAS RESEARCH CENTERS

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act, Title VI, Part A, Section 609, as amended.

OBJECTIVES:

To enable American overseas research centers, that are consortia of higher education institutions, to promote postgraduate research, exchanges, and area studies.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Grants may be used to pay all or a portion of the cost of establishing or operating a center or program, including the cost of faculty and staff stipends and salaries; faculty, staff, and student travel; the operation and maintenance of overseas facilities; the cost of teaching and research materials; the cost of bringing visiting scholars and faculty to a center to teach or to conduct research; the cost of organizing and managing conferences; and the cost of publishing and disseminating materials for the scholarly and general public.

Applicant Eligibility:

The Secretary shall only award grants to centers that: (1) Receive more than 50 percent of their funding from public or private United States sources; (2) have a permanent presence in the country in which the center is located; and (3) are organizations described in Section 501(c)(3) of the Internal Revenue Code of 1986 which are exempt from taxation under Section 501(a) of the Code.

Beneficiary Eligibility:

Consortia of institutions of higher education that (1) Receive more than 50 percent of their funding from public or private United States sources; (2) have a permanent presence in the country in which the center is located; and (3) are organizations described in Section 501(c)(3) of the Internal Revenue Code of 1986 which are exempt from taxation under Section 501(a) of the Code.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Eligible centers may submit an application for funding when a new competition is announced in the Federal Register. Application forms and guidelines for the submission of applications are available from the program.

Award Procedure:

Final recommendations are made to the Secretary following advice from a panel of consultants.

Deadlines:

Deadlines will be announced in the Federal Register.

Range of Approval/Disapproval Time:

The range is approximately 90 days.

Appeals:

None.

Renewals:

Centers selected to receive funds for the four year program must submit performance reports for continuation of support. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are awarded for 12-month periods for a total project period of up to 48 months.

Reports:

Funded projects must provide reports within 90 days of termination of each of the three twelve month grant periods and a final report within 90 days of termination of the last twelve month grant period.

Audits:

All fiscal records will be subject to audit by the Department of Education any time within three years after expiration of the grant.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available on request: (1) For three years after the close of the fiscal year that the grant expired; or (2) until the grantee is notified that the fiscal audit has closed, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$1,000,000; FY 08 \$1,200,000; and FY 09 est \$1,000,000.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$84,000 to \$129,000; the average award is expected to be \$109,000.

PROGRAM ACCOMPLISHMENTS:

Eleven continuation grants are expected to be awarded in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is governed by the authorizing legislation and the Education Department General Administrative Regulations, 34 CFR 74 through 77, 79 through 82, 85, and 86. Application guidelines will be announced in the Federal Register. Contact the program office for more information.

Regional or Local Office:

None.

Headquarters Office:

International Education Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-5331. Contact: Cheryl Gibbs, E-mail: Cheryl.Gibbs@ed.gov, Telephone: (202) 502-7634.

Web Site Address:

<http://www.ed.gov/programs/iegpsaorc/>.

RELATED PROGRAMS:

84.015, National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016, Undergraduate International Studies and Foreign Language Programs; 84.017, International Research and Studies; 84.019, Overseas Programs - Faculty Research Abroad; 84.022, Overseas Programs - Doctoral Dissertation Research Abroad; 84.153, Business and International Education Projects; 84.220, Centers for International Business Education; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS:

American Institute of Indian Studies (AIIS), founded in 1961, serves American scholars overseas, as a base for research and a liaison with scholars and research targets in India. Specific activities funded include library expansion, overseas director and staff salaries as well as operations and communications. American Research Institute in Turkey (ARIT) directly supports programs of fellowship for research and for language study in Turkey for American researchers and graduate students. Its programs, services, and facilities are available to all U.S. scholars at its Centers in Istanbul and Ankara. Specific activities funded include administrator and librarian salaries and overseas operations. American Center of Oriental Research ACOR serves American scholars of archaeology providing fellowship and publication programs, coordinating American archaeological work and interest in Jordan, and undertaking cooperative efforts on behalf of the Jordanian and American scholarly communities. Specific activities funded include administrative salaries, library acquisitions, and overseas operations.

CRITERIA FOR SELECTING PROPOSALS:

Selection Criteria: The selection criteria for this program are from 34 CFR 75.209 (a) and 75.210, and are as follows--Meets the purposes of the authorizing statute (20 points); Need for project (15 points); Significance (10 points); Quality of the project design (15 points); Quality of project services (10 points); Quality of project personnel (10 points); Adequacy of resources (10 points); and Quality of the project evaluation (10 points). Additional information regarding these

criteria is in the application package for this competition. Criteria subject to change. Contact program office for further information.

84.275 REHABILITATION TRAINING-GENERAL TRAINING

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302, 29 U.S.C. 774.

OBJECTIVES:

To ensure that skilled personnel are available to provide rehabilitation services to individuals with disabilities through: (1) Vocational, medical, social, and psychological rehabilitation programs; (2) supported employment programs; and (3) independent living client assistance programs. To support projects that: (1) Maintain and upgrade basic skills and knowledge of personnel employed; and (2) increase the numbers of qualified personnel trained in providing rehabilitation services.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Training grants are limited to support of education programs for personnel such as rehabilitation counselors, administrators, independent living specialists, audiologists, rehabilitation teachers for individuals who are blind, rehabilitation technology specialists who provide vocational, independent living, and client assistance services to individuals with disabilities.

Applicant Eligibility:

State vocational rehabilitation agencies, and other public or nonprofit agencies and organizations, including institutions of higher education may apply.

Beneficiary Eligibility:

Individuals employed in positions related to the rehabilitation of individuals with disabilities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and with OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be prepared and submitted in accordance with the notice published in the Federal Register. Contact the program office for more information. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications are reviewed by panels of field readers. Each panel will generally include two or more nonfederal members with experience and training in the proposed area of training.

Deadlines:

Deadlines will be published in the Federal Register; contact the program office for application deadlines and information.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 120 to 160 days following the application deadline.

Appeals:

None.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of adequate funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 5 years; with 12-month budget period. Renewals are subject to the availability of funds.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions.

Audits:

- 1 Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities

that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by Education Department General Administrative Regulations (EDGAR).

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$300,000; FY 08 est \$300,000; and FY 09 est \$300,000.

Range and Average of Financial Assistance:

None because only one award is supported.

PROGRAM ACCOMPLISHMENTS:

Clearinghouse of rehabilitation training materials.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR 385.

Regional or Local Office:

None.

Headquarters Office:

Rehabilitation Services Administration, Office of Special Education and Rehabilitation Services, Department of Education, 400 Maryland Ave., S.W., Washington, DC 20202. Contact: Ruth Brannon. Telephone: (202) 245-7278.

Web Site Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.129, Rehabilitation Long-Term Training.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are included in application notices. Contact the Program Office for this information.

84.282 CHARTER SCHOOLS

FEDERAL AGENCY:

OFFICE OF INNOVATION AND IMPROVEMENT, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title V Part B, Subpart 1. 20 U.S.C. 8061-8067.

OBJECTIVES:

The program supports the planning, development, and initial implementation of charter schools and the dissemination of information on charter schools. Charter schools provide enhanced parental choice and are exempt from many statutory and regulatory requirements. In exchange for this increased flexibility, charter schools establish plans to improve student academic achievement and to stimulate the creativity and commitment of teachers, parents, and the public.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Each State educational agency (SEA) receiving a grant should award subgrants to one or more eligible applicants in their State, enabling them to plan and implement a charter school. Each eligible applicant, other than an SEA, receiving funds from the Secretary or an SEA shall use the funds to plan and implement a charter school. Grantees receive up to 3 years of assistance, of which they may not use more than 18 months for planning and program design and not more than 2 years for the initial implementation of a charter school. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202)708-7770.

Applicant Eligibility:

State educational agencies (SEAs) with specific statutes authorizing charter schools are eligible. If an eligible SEA elects not to participate in this program or does not have an approved application, authorized public chartering agencies participating in partnerships with charter school developers may apply, directly to the Secretary.

Beneficiary Eligibility:

School administrators, teachers, and students and their parents are beneficiaries.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the program office for application information. Application notices are published in the Federal Register.

Award Procedure:

Contact the program office for this information.

Deadlines:

Deadlines are published in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

None.

Renewals:

To receive continuation funding, grantees must demonstrate substantial progress toward meeting their goals and objectives. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are awarded for a period of not more than 3 years, subject to the availability of funds.

Reports:

As part of the continuation funding process, grantees must submit performance reports annually. The programs office will establish a due date. Final performance reports must be submitted within 90 days after the end of the grant award period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for three years after the fiscal year in which the expenditure was made by the grantee, or until the grantee is notified of the completion of the Federal fiscal audit. In all cases of audit questions, records must be maintained until resolution has occurred.

Account Identification:

91-0204-0-1-501.

Obligations:

(Grants) FY 07 \$214,783,000; FY 08 est \$211,031,000; and FY 09 est \$236,031,000. Note: The FY 2008 appropriation permits the Secretary to use, from the amount appropriated for the Charter School Program grants, up to \$21,031,000 for the State Charter School Facilities Incentive Grants program and Credit Enhancement for Charter School Facilities Program. From the \$211,031,000 available, the Department will use approximately \$190,000,000 for the Charter School Program grants (including national activities), \$12,731,000 for Charter School Facilities Incentive Grants program, and \$8,300,000 for the Credit Enhancement for Charter Schools Facilities program.

Range and Average of Financial Assistance:

Range of New Awards: \$1,690,000 - \$16,850,000 for SEAs; \$10,000 - \$15,000 (non-SEAs).

PROGRAM ACCOMPLISHMENTS:

Grants will support approximately 1,200 schools.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Education, OII Parental Options and Information 400 Maryland Ave., SW., 400 Maryland Ave., S.W., Washington, DC 20202. Contact: Dean Kern. E-mail: dean.kern@ed.gov. Telephone: (202) 260-1882.

Web Site Address:

<http://www.ed.gov/programs/charter/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects focus on: increasing the number of high-quality charter schools; improving student achievement in math and reading; strengthening the fiscal management and operations of charter schools; and promoting awareness of charter schools.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in program statute. Contact the program office for more information.

84.283 COMPREHENSIVE CENTERS

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Education Technical Assistance Act of 2002, Section 203.

OBJECTIVES:

This program supports 21 comprehensive centers that provide training and technical assistance, and professional development in reading, mathematics, and technology, particularly to school districts and schools that fail to meet their state's definition of adequate yearly progress (AYP). By statute, the Department is required to establish at least one center in each of the 10 geographic regions served by the Department's regional education laboratories.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The Comprehensive Centers consist of 21 centers, including 16 regional centers and 5 content centers. Grantees are required to develop five-year plans for carrying out authorized activities that address state and regional needs. The 16 regional centers provide services primarily to State educational agencies to enable them to assist school districts, regional education agencies, and schools, especially low-performing schools. Each regional center provides training and technical assistance in: the implementation and administration of programs authorized under the Elementary and Secondary Education Act and the use of scientifically valid teaching methods and assessment tools in mathematics, science, reading and language arts. The 5 content centers focus on specific areas, with one center in each of five areas: accountability, instruction, teacher quality, innovation and improvement, and high schools. These centers supply much of the research-based information and products in the specific area that regional centers use when working with states.

Applicant Eligibility:

Research organizations, institutions, agencies, institutions of higher education (IHEs), or partnerships among such entities, or individuals that have the expertise to provide training to States, school districts, and schools may apply.

Beneficiary Eligibility:

Agencies supporting or providing elementary and secondary education will benefit, including state and local educational agencies, schools, Indian tribes, community-based organizations, and other recipients of funds under ESEA.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications or proposals must be prepared and submitted in accordance with applicable program announcements.

Award Procedure:

After completion of competitive review of applications, the Department makes the final decision on the approval and funding of applications.

Deadlines:

Contact the headquarters for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 to 120 days.

Appeals:

None.

Renewals:

Awards are made for up to five years, subject to the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants may be awarded for up to five years subject to the availability of funds and performance by the grantee.

Reports:

Specified reporting requirements are included in the grant or cooperative agreement document.

Audits:

As required by EDGAR, 34 CFR 74.

Records:

Records must be kept for three years after the completion of the activity that grant funds were used.

Account Identification:

91-1000-0-1-501.

Obligations:

(Grants) FY 07 \$56,257,000; FY 08 est \$57,114,000; and FY 09 est \$57,114,000.

Range and Average of Financial Assistance:

For fiscal year 2007, the average award was \$2,678,893. Range \$860,000 - \$6,039,909.

PROGRAM ACCOMPLISHMENTS:

Awards were made to 21 centers.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations applicable to this program are in The Department of Education's General Administrative Regulations (34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 85, and 86).

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OESE, School Support and Technology Programs, 400 Maryland Ave. S.W., Washington DC 20202-6140. Contact: Fran Walter. E-mail: fran.walter@ed.gov. Telephone: (202) 205-9198.

Web Site Address:

<http://www.ed.gov/programs/newccp/index.html>.

RELATED PROGRAMS:

84.004, Civil Rights Training and Advisory Services; 84.168, Eisenhower

EXAMPLES OF FUNDED PROJECTS:

One comprehensive regional assistance center has set as its goal the implementation of a system of sustained and comprehensive support that improves teaching and learning in schools in the region, resulting in high academic achievement for all students, particularly those at risk of educational failure. The center provides support and professional development and assistance to schools; operates a coordinated system of technical assistance with partners at the local, State and national levels; and provides technical assistance and research-based information supported by technology. In working with client educational agencies, the center uses awareness of the need for change, greater responsibility for student achievement, requirements of recent legislation and the promise of technology as openings to help move clients from token or tentative reform measures to more comprehensive ones. Consistent with statutory priorities, the center concentrates on assisting school-wide programs and those who serve children from high-poverty schools.

CRITERIA FOR SELECTING PROPOSALS:

Criteria include: (1) Meeting the purpose of the authorizing statute; (2) extent of need for the center; (3) plan of operation; (4) quality of key personnel; (5) budget and cost-effectiveness; (6) evaluation plan; and (7) adequacy of resources.

84.286 READY TO TEACH

FEDERAL AGENCY:

OFFICE OF INNOVATION AND IMPROVEMENT, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act, Title V, Part D, Subpart 8.

OBJECTIVES:

To carry out a national telecommunications-based program to improve teaching, and prepare students to achieve challenging academic standards, in core curriculum areas. The Ready to Teach program also supports digital educational programming grants that enable eligible entities to develop, produce, and distribute educational and instructional video programming.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Not more than five percent of the amount received under the grant may be used for administrative costs.

Applicant Eligibility:

A local public telecommunications entity or partnership of such entities, as defined in section 397(12) of the Communications Act of 1934, that is able to demonstrate a capacity for the development and distribution of educational instructional television programming of high quality.

Beneficiary Eligibility:

Elementary and secondary students, and school teachers who provide instruction in core curriculum areas (in the United States).

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application forms and instructions are available from the program office. Application deadlines and other information for applicants are published in the Federal Register.

Award Procedure:

Awards are competitively selected following review by nonfederal experts and by the program staff. The Assistant Secretary for the Office of Educational Research and Improvement approves the selection.

Deadlines:

Application deadlines and other information for applicants are published in the Federal Register.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 days following the application deadline.

Appeals:

None.

Renewals:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (see 34 CFR 75.253). Generally, for multiple-year awards, continuation awards after the first budget period are made if: sufficient funds have been appropriated; the recipient has either made substantial progress in meeting the goals of the project or obtained approval for changes in the project; the recipient has submitted all required reports; and continuation is in the best interest of the government.

Formula and Matching Requirements:

Digital educational programming grants amended under ESEA Sec. 5484, require a match of not less than 100 percent from federal applicants. Grants awarded under ESEA Sec. 5481, to carry out a national telecommunications-based program have no formula or matching regulations. Such matching funds may include funds provided for the transition to digital broadcasting, as well as in-kind contributions.

Length and Time Phasing of Assistance:

Following a competitively selected 12-month award, up to two additional years may be awarded. Renewals are subject to the availability of appropriations.

Reports:

A s required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual performance and financial reports are required.

Audits:

See 34 CFR 74.26. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133. State and local governments are subject to the requirements in the Single Audit Act and the ED regulations implementing OMB Circular No. A-128 (see 34 CFR 80).

Records:

As required by EDGAR for direct grant programs (34 CFR 75). Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion.

Account Identification:

91-0204-0-1-501.

Obligations:

(Grants) FY 07 \$10,890,000; FY 08 est \$10,700,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

Fory FY 2008, the range is \$4.2 million to \$6.5 million; the average award is \$5.35 million.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, two continuation awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OII Technology in Education Programs, 400 Maryland Ave. S.W., Washington, DC 20202. Contact: Sharon Harris, Telephone: (202) 205-5880. E-mail: sharon.harris@ed.gov.

Web Site Address:

<http://www.ed.gov/programs/readyteach/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In FY 2005, the most recent year that new awards were made in this program, the Department funded a grant to Alabama Public Television and the Alabama State Department of Education to lead a multi-state collaborative effort to provide online staff development programs for educators. Eight state departments of education and their public broadcast station partners have joined together in this partnership to address the need for accessible professional development opportunities. Partners in the Alabama Public Television e-Learning for Educators project include the following States: Alabama, Delaware, Kentucky, Mississippi, Missouri, New Hampshire, Pennsylvania, and West Virginia. Boston College, Education Development Corporation (EDC) and Southern Regional Education Board (SREB) are providing technical assistance, consultation, and evaluation. Alabama Public Television is administering the grant.

CRITERIA FOR SELECTING PROPOSALS:

The Department uses the selection criteria contained in the Education Department General Administrative Regulations (EDGAR) to evaluate applications to this program.

84.287 TWENTY-FIRST CENTURY COMMUNITY LEARNING CENTERS

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title IV, Part B.

OBJECTIVES:

To create community learning centers that provide academic enrichment opportunities for children, particularly students who attend high-poverty and low-performing schools. The program is intended to help students meet state and local student standards in core academic subjects, such as reading and math; offers students a broad array of enrichment activities that should complement their regular academic programs; and offers literacy and other educational services to the families of participating children.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Projects funded under this program must be for the purpose of meeting the needs of the residents of rural and inner city communities, through the creation or expansion of community learning centers. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State Departments of Education are eligible for funds under this program.

Beneficiary Eligibility:

Residents of all ages within the communities served by the learning centers will benefit, with a focus on school-aged children.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

States must apply for funds either through a program-specific application or by including the program in a consolidated State plan authorized under Title IX of the ESEA. Contact the headquarters office listed below for more information.

Award Procedure:

None.

Deadlines:

Deadlines will be announced in application notices published in the Federal Register. Contact the headquarters office listed below for application deadlines.

Range of Approval/Disapproval Time:

The approval time is approximately two to four months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Funds are allocated to States in proportion to each state's share of funds in the previous fiscal year under Part A of Title I of the ESEA, except that no state may receive less than 0.5 of 1 percent of the amount available to states.

Length and Time Phasing of Assistance:

Awards are made annually.

Reports:

Annual progress and financial reports as required by EDGAR.

Audits:

In accordance with the EDGAR, Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

As required by the provisions in EDGAR for direct grant programs. Records related to grant funds, compliance, and performance must be maintained for a period of five years after completion, subject to the exceptions listed in EDGAR 34 CFR 74.

Account Identification:

91-1000-0-1-501.

Obligations:

(Grants) FY 07 \$981,166,230; FY 08 \$1,081,166,187; and FY 09 est \$800,000,000.

Range and Average of Financial Assistance:

In 2008, the estimated range of awards is \$286,421 - \$131,999,576.

PROGRAM ACCOMPLISHMENTS:

Approximately 753,000 students attended 21st CCLC programs for 30 days or more during the 2006-07 school year.

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations applicable to this program are in the Education Department General Administrative Regulations, 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OESE 21st-Century Community Learning Centers 400 Maryland Ave., S.W., Washington, DC 20202-6100. Contact: Peter Eldridge, E-mail: peter.eldridge@ed.gov. Telephone: (202) 260-2514.

Web Site Address:

<http://www.ed.gov/programs/21stcclc/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The program aims to support local communities in providing students, particularly students who attend schools that have been identified as in need of improvement, under Title I, with after-school care and academic enrichment that reinforces classroom learning.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals under this program include the extent of need for the project, quality of project design, management plan, project and evaluation plan, and adequacy of the resources. (See 34 CFR 75.210 for details.)

84.293 FOREIGN LANGUAGE ASSISTANCE**FEDERAL AGENCY:**

OFFICE OF ENGLISH LANGUAGE ACQUISITION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title V, Part D, Subpart 9. 20 U.S.C. 7259 - 7259(b).

OBJECTIVES:

To support innovative model programs of foreign language study in public elementary and secondary schools.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Projects must be designed to support innovative model programs of foreign language study in elementary and secondary schools. Projects must show the promise of being continued beyond the grant period. Not less than three-fourths of the appropriation must be used for elementary level projects. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564- 76.569. For assistance call the Office of the Chief Financial Officer/ Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

State and local educational agencies may apply.

Beneficiary Eligibility:

Elementary and secondary school children benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

To participate, an organization must have on file with the Secretary of Education, by the designated deadline, an application that complies with the statutory requirements. Contact the program office for specific application information.

Award Procedure:

Applications are approved for awards by the Director, English Language Acquisition, Language Enhancement, and Academic Achievement For Limited English Proficient Students based on evaluations and recommendations of outside experts and an administrative review of the applications.

Deadlines:

Deadline will be announced in application notice published in the Federal Register. Contact the program office for more information.

Range of Approval/Disapproval Time:

Approximately 90-120 days.

Appeals:

None.

Renewals:

Renewals are based on an annual review of the performance data and the availability of funds.

Formula and Matching Requirements:

The Federal share of the program may not exceed 50 percent of the project cost.

Length and Time Phasing of Assistance:

Awards are made for 3 years.

Reports:

Financial status and performance reports, as required by EDGAR, 34 CFR 75.720. Specific reporting requirements are contained in the grant documents.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain appropriate records related to grant funds, as

required by EDGAR.

Account Identification:
91-1000-0-1-501.

Obligations:
(Grants) FY 07 \$23,780,000; FY 08 est \$25,655,000; and FY 09 est \$25,655,000.

Range and Average of Financial Assistance:

The range of awards was \$46,435 - \$300,000. FY 07 \$172,865 average.

PROGRAM ACCOMPLISHMENTS:

The program made 50 new awards to local educational agencies in FY 07.

REGULATIONS, GUIDELINES, AND LITERATURE:

No specific regulations have been developed. EDGAR, 34 CFR 75, 77, 80, 81, 85, 86, 97, 98, 99, and 299.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OELA 400 Maryland Ave., S.W., Washington, DC 20202. Contact: Rebecca Richey. E-mail: Rebecca.richey@ed.gov Telephone: (202) 245-7133.

Web Site Address:

<http://www.ed.gov/programs/flap/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The program supports foreign language instruction in elementary schools, immersion programs, curriculum development, professional development, and distance learning. One school district is designing a new plan for dual language immersion that will improve the program and serve as a model for other districts.

The new plan will outline how administrators, teachers, university researchers, students, and parents can collaborate to increase student academic success and English and Spanish language proficiency while developing an appreciation for multiculturalism. The new plan will address all significant gaps and needs in the current immersion program, offering improvements that are based on state and national standards, research on language acquisition, best practices of instruction, and rigorous evaluation.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria include: need for the project, significance, and quality of project design. The FY 08 competition includes an absolute priority for projects to establish or expand articulated programs of study in foreign language learning that exclusively teach one or more critical languages. Critical languages are defined as languages critical to United States national security and include Arabic, Chinese, Korean, Japanese, Russian, and languages in the Indic, Iranian, and Turkic language families.

84.295 READY-TO-LEARN TELEVISION

FEDERAL AGENCY:

OFFICE OF INNOVATION AND IMPROVEMENT, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education, Title II, Part D, Subpart 3.

OBJECTIVES:

To facilitate student academic achievement by developing educational programming, with accompanying educational support materials, for preschool and early elementary school children and their families.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS:

Administrative costs are limited by the authorizing statute. Entities receiving a grant, contract, or cooperative agreement from the Secretary may not use more than five percent of the amounts received for the normal and customary expenses of administering the grant.

Applicant Eligibility:

To be eligible to receive a grant, contract, or cooperative agreement under Subsection (a) an entity shall be a public telecommunications entity that can demonstrate a capacity to: (1) develop and disseminate educational and instructional television programming nationwide; (2) contract with the producers of children's television programming; (3) negotiate such contracts in a manner that returns an appropriate share of ancillary income from sales of program-related products; and (4) localize programming and materials to meet specific State and local needs.

Beneficiary Eligibility:

Programming is to be made widely available, with support materials as appropriate, to young children, their parents, child care workers, and Head Start providers.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or the official designated as the single point of contact in his or her State for more

information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

This procedure is described in the application notice published annually in the Federal Register. Contact the headquarters office listed below for application packages containing the announcement, application, and forms.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Evaluation of Applications for Grants and Cooperative Agreements (34 CFR 700).

Deadlines:

Application deadlines and other information for applicants are published in the Federal Register.

Range of Approval/Disapproval Time:

Approximately two months.

Appeals:

None.

Renewals:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant program (see 34 CFR 75.253). Generally, for multiple-year awards, continuation awards after the first budget period are made if: sufficient funds have been appropriated; the recipient has either made substantial progress in meeting the goals of the project or obtaining approval for changes in the project; the recipient has submitted all required reports; and continuation is in the best interest of the government.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Following an initial award of 12 months, four additional 1-year, non-competing continuation awards may be made, for a total term of 5 years. Renewals are subject to the availability of funds.

Reports:

The recipient must submit an annual report. At a minimum, the report must describe the program activities including: (1) Programming that has been developed directly or indirectly and the target population of the programs developed; (2) supporting materials that have been developed to accompany the programming and the method by which materials are distributed to consumers and users of the programming; (3) the means by which programming developed under this Section has been distributed, including the distinct learning technologies that have been utilized to make programming available and the geographic distribution achieved through such technologies; and (4) the initiatives undertaken to develop public or private partnerships to secure nonfederal support to develop and distribute the broadcasting of educational and instructional programming.

Audits:

See 34 CFR 74.26. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133. State and local governments are subject to the requirements in the Single Audit Act and ED regulations implementing OMB Circular No. A-133 (see 34 CFR 80). In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for direct grant programs (34 CFR 75). Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion.

Account Identification:

91-0204-0-1-501.

Obligations:

(Grants) FY 07 \$24,255,000; FY 08 est \$23,831,000; and FY 09 est \$23,831,000.

Range and Average of Financial Assistance:

In FY 2008, the range of awards is expected to be \$400 to \$11.2 million; the average award is expected to be \$8,084,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, three continuation awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations applicable to this program are in the Education Department General Administrative Regulations 34 CFR, 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OII Technology in Education Programs 400 Maryland Ave., Washington, DC 20202. Contact: Joe Caliguro. E-mail: joseph.caliguro@ed.gov Telephone: (202) 205-5449.

Web Site Address:

<http://www.ed.gov/programs/rtltv/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Under the current Ready to Learn 5-year awards, which began in fiscal year 2005, WTTW-Channel 11 (Chicago public television) and the Corporation for Public Broadcasting (CPB) received awards to develop, produce, and distribute age-appropriate educational programming and curricula that utilize scientifically based reading research for children ages 2 through 8 years old, along with their parents and caregivers. WTTW-Channel 11 will develop four new literacy-based children's series, including Word World, Everyday Alphabet, and R U There. CPB is also developing several new literacy-based children's programs, including Super Why, the New Electric Company, and Martha Speaks. In addition to these new series, CPB will also support additional episodes of current series, such as Sesame Street and Between the Lions.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications under this program are contained in 34 CFR 700, Evaluation of Applications for Grants and Cooperative Agreements. The specific criteria to be used for a particular grant competition are selected from among those in 34 CFR 700, and announced in the application package or the application notice in the Federal Register.

84.298 STATE GRANTS FOR INNOVATIVE PROGRAMS**FEDERAL AGENCY:**

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, Title V, Part A.

OBJECTIVES:

To assist State and local educational agencies in the reform of elementary and secondary education.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funding may be used for the following purposes: to support local education reform efforts; to provide funding to enable state educational agencies and local educational agencies to implement promising educational reform programs and school improvement programs that rely on scientifically based research; to provide a continuing source of innovation and educational improvement, including support programs that provide library services and instructional and media materials; to meet the educational needs of all students, including at-risk youths; and to develop and implement education programs to improve school, student, and teacher performance, including professional development activities and class-size reduction programs. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

All States including the District of Columbia, Puerto Rico, and the Outlying areas are eligible to apply.

Beneficiary Eligibility:

Public and private elementary and secondary students in each State benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

An application that complies with the statutory requirements and approval of the State formula for distribution of funds to LEAs must be submitted every 3 years and updated annually if necessary.

Award Procedure:

Grant awards are issued after July 1 of each year if requirements are met. SEAs will issue grant awards to LEAs for the school year beginning subsequent to the award to the State.

Deadlines:

Applications and the formula for distribution must be submitted sufficiently in advance of July 1 to permit review and approval. All application amendments or changes to the formula must be on file to reflect any changes.

Range of Approval/Disapproval Time:

The Department allocates funds to any State with an approved application.

Appeals:

See 34 CFR 80.

Renewals:

None.

Formula and Matching Requirements:

The Department is authorized to reserve up to one percent of the funds for the Outlying areas. The balance is allotted to SEAs based on the State's relative share of the Nation's school-aged population. The SEA is authorized to reserve up to 15 percent of the funds for State administration and technical assistance, of which not more than 15 percent may be used for administrative expenses. The balance of the funds the State receives is distributed to the LEAs on the basis of the formula developed by the State and approved by the Department.

Length and Time Phasing of Assistance:

From July 1 to September 30 of the following year. In addition, funds remain available to States for an additional fiscal year for obligation and expenditure. Grantees draw down funds electronically as needed.

Reports:

In accordance with the provisions of EDGAR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

SEAs and LEAs are required to keep such records as may be required for Federal audit, monitoring, and program evaluation.

Account Identification:

91-1000-0-1-501.

Obligations:

(Grants) FY 07 \$99,000,000; FY 08 est \$0; and FY 09 est \$0.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Not applicable - no funding in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

The requirements of the statute and the Education Department General Administrative Regulations apply to this program. The Secretary has developed non-regulatory guidance to assist program personnel in the implementation and administration of projects assisted under this program. This guidance can be obtained from the Program Office.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OESE, School Support and Technology Programs, 400 Maryland Ave., S.W., Washington, DC 20202-6140. Contact: Lynette Kee
E-mail: lynette.kee@ed.gov. Telephone: (202) 260-2540.

Web Site Address:

<http://www.ed.gov/programs/innovative/index.html> or
<http://www.ed.gov/policy/elsec/leg/esea02/pg57.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable - no funding in FY 2008.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.304 CIVIC EDUCATION - COOPERATIVE EDUCATION EXCHANGE PROGRAM**FEDERAL AGENCY:**

OFFICE OF SAFE AND DRUG-FREE SCHOOLS, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education, Title II, Part C, Subpart 3.

OBJECTIVES:

(1) To make available to educators from eligible countries exemplary curricula and teacher training programs in civics, government, and economics education, developed in the United States; (2) to assist eligible countries in the adaptation, implementation, and institutionalization of such programs; (3) to create and implement civics, government, and economic education programs for students that draw upon the experiences of participating eligible countries; (4) to provide a means for the exchange of ideas and experiences in civics, government, and economic education among political, educational, governmental, and private sector leaders of participating eligible countries; and (5) to provide support for—(a) independent research and evaluation to determine the effects of educational programs on students' development of the knowledge, skills, and traits of character essential for the preservation and improvement of constitutional democracy; and (b) effective participation in, and the preservation and improvement of, an efficient market economy.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Of the funds available for this program, by statute, 37.5 percent must be used for a grant or contract with the Center for Civic Education and 37.5 percent must be used for a grant or contract for the National Council on Economic Education. The remaining 25 percent of the funds are for one to three competitive grants to eligible entities described below. Countries eligible to participate, in addition to the United States, include Central European countries, Eastern European countries, Lithuania, Latvia, Estonia, the independent states of the former Soviet Union as defined in Section 3 of the FREEDOM Support Act (22 U.S.C. 5801), the Republic of Ireland, the province of Northern Ireland in the United Kingdom, and any developing country (as such term is defined in section 209(d) of the Education for the Deaf Act) if the Secretary of Education, with the concurrence of the Secretary of State, determines that such developing country has a democratic form of government.

Applicant Eligibility:

By statute, the Center for Civic Education and the National Council on Economic Education each receive program funds through a grant or contract. In addition, a competition is held for organizations experienced in the development of curricula and programs in civics and government education and economic education for students in elementary schools and secondary schools in countries other than the United States.

Beneficiary Eligibility:

Educational leaders in the areas of civics and government education, and economic education, including teachers, curriculum and teacher training specialists, and scholars in relevant disciplines

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Contact the Headquarters Office listed below for application packages containing the announcement, application, and assurance forms.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff annually, in accordance with EDGAR and 34 CFR 700, Evaluation of Applications for Grants and Cooperative Agreements. The Assistant Secretary for Educational Research and Improvement approves the selection of applications.

Deadlines:

Application deadlines and other information for applicants are published as a notice in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made for twelve months. One award is made to the Center for Civic Education and one award to the National Council on Economic Education. One to three awards are competitively selected.

Reports:

As required by the Education Department General Administrative Regulations for direct grants (34 CFR 75). A final performance report is due ninety days after termination of the grant.

Audits:

See 34 CFR 74.26. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133. State and local governments are subject to the requirements in the Single Audit Act and the ED regulations implementing OMB Circular No. A-128 (see 34 CFR 80).

Records:

As required by EDGAR for direct grant programs (34 CFR 75). Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion.

Account Identification:

91-0203-0-1-501.

Obligations:

(Grants) FY 07 \$12,072,000; FY 08 est \$11,861,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

Not applicable because the range and average vary greatly by competition.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, five continuation awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations applicable to this program are in the Education Department General Administrative Regulations, 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, 86,

and 97.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OSD/FS, 400 Maryland Ave, S.W., Washington, DC 20202. Contact: Rita Foy Moss E-mail: rita.foy.moss@ed.gov. Telephone (202) 205-8061.

Web Site Address:

<http://www.ed.gov/programs/coopedexchange/index.html>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Sage Colleges received an award to administer the Civic Mosaic, and exchange program that will help prepare young people in the United States and Russia to be more responsible citizens. Through this program 12 American regions will be paired with 12 Russian regions, and each region will exchange 2 teachers (exchange fellows) annually. Exchange fellows will engage in joint research projects, seminars, present research at international conferences, and develop lesson plans and classroom resources. The Center for Civic Education will administer Civitas Latin America, an exchange program that will: 1) assist Latin American educators in creating, adapting, implementing, and institutionalizing civic education programs in their own countries; 2) create and implement civic education programs for students in the United States that will help them better understand the history and experiences of emerging and advanced democracies in Latin America; and 3) facilitate the exchange of ideas related to civic education between educational, governmental, and private sector leaders in the United States and Latin America.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications under this program are contained in 34 CFR 700, Evaluation of Applications for Grants and Cooperative Agreements. The specific criteria to be used for a particular grant competition are selected from among those in 34 CFR 700, and announced in the application package or the application notice in the Federal Register.

84.305 EDUCATION RESEARCH, DEVELOPMENT AND DISSEMINATION

FEDERAL AGENCY:

INSTITUTE OF EDUCATION SCIENCES, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Education Sciences Reform Act of 2002, Title I, Parts A, B and D, Secs. 133 and 172, 20 U.S.C. 9533, 9562.

OBJECTIVES:

To support the development and distribution of scientifically valid research, evaluation, and data collection that support learning and improve academic achievement.

TYPES OF ASSISTANCE:

Project Grants (Contracts).

USES AND USE RESTRICTIONS:

Grants will support basic and applied research, development, dissemination, evaluations, and demonstrations in education.

Applicant Eligibility:

State education agencies, local education agencies, institutions of higher education, public and private agencies and organizations, institutions, and individuals or a consortium thereof may apply.

Beneficiary Eligibility:

Institutions and individuals involved with education will benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Standard Form 424, "Application for Federal Assistance," an application narrative, and required certifications must be submitted by the deadline specified in the Federal Register.

Award Procedure:

Applications are reviewed by panels of field readers in accordance with 34 CFR 700, "Evaluation of Applications for Grants and Cooperative Agreements."

Deadlines:

Contact the Program Office for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 90 to 120 days following the application deadline.

Appeals:

None.

Renewals:

Renewals are based on the review of annual performance reports and the recommendation of the project officer. All renewals are subject to the availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 5 years. Funds are granted on a 12 month basis. All grantee obligations shall be liquidated within 90 days following the end of the award period. Payments may be made according to a predetermined schedule or by way of reimbursement.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions.

Audits:

All expenditures by State and local governments will be audited by the grantee or at the grantee's direction with reasonable frequency, usually once a year but not less than once every 2 years. Other recipients must also have audits conducted at least once every 2 years. The Secretary of Education, the Assistant Secretary, IES, and the Comptroller General of the United States, or any of their duly authorized representatives shall have access for the purpose of audit and examinations, to all applicable records and to any other pertinent books, documents, papers, and records of the grantee.

Records:

Each grantee will keep intact, and accessible, records relating to the receipt and expenditure of Federal funds and the expenditures of the grantee's contribution to the cost of the project, if any. Records will normally be retained for 3 years after the date of the submission of the final expenditure report, or for grants that are reviewed annually, 3 years after the date of the award.

Account Identification:

91-1100-0-1-503.

Obligations:

(Grants) FY 07 \$162,552,000; FY 08 est \$159,696,000; and FY 09 est \$167,196,000.

Range and Average of Financial Assistance:

Not applicable because the range and average varies by competition.

PROGRAM ACCOMPLISHMENTS:

The Institute collaborates with other universities and research organizations and works with public schools.

REGULATIONS, GUIDELINES, AND LITERATURE:

For more information contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Institute of Education Sciences, Department of Education, 555 New Jersey Ave. N.W., Washington, DC 20202. Telephone: (202) 219-2079.

Web Site Address:

<http://ies.ed.gov/ncer>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications under this program are contained in 34 CFR 700, Evaluation of Applications for Grants and Cooperative Agreements. The specific criteria to be used for a particular grant competition are selected from among those in 34 CFR 700, and announced in the application package or the application notice in the Federal Register.

84.310 PARENTAL INFORMATION AND RESOURCE CENTERS

FEDERAL AGENCY:

OFFICE OF INNOVATION AND IMPROVEMENT, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act; Title V, Part D, Subpart 16.

OBJECTIVES:

To provide grants to nonprofit organizations and nonprofit organizations in consortia with local education agencies (LEAs) to assist them in establishing parental information and resource centers. These centers strive to: (1) assist parents in helping their children to meet State and local standards; (2) obtain information about the range of programs, services, and resources available nationally and locally for parents and school personnel who work with parents; (3) help parents use the technology applied in their children's education; (4) plan, implement, and fund activities for parents that coordinate the education of their children with other programs that serve their children and families; and (5) coordinate and integrate early childhood programs with school-age programs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Each grantee receiving funds under this program must use at least 50 percent of the funds provided to serve areas with high concentrations of low-income families in order to serve parents that are severely educationally or economically disadvantaged. At least 30 percent of the funds must also be used to establish,

expand, or operate a Parents As Teachers Program or a Home Instruction Program for Preschool youngsters. Other requirements include the establishment of a special advisory committee and design requirements. Grantees must serve both urban and rural areas. For funding in subsequent years, grantees must demonstrate that a portion of the services provided under this project is supported through nonfederal contributions.

Applicant Eligibility:

Nonprofit organizations and nonprofit organizations in consortia with LEAs may apply.

Beneficiary Eligibility:

Preschool and school-aged children and their parents will benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications must be prepared and submitted in accordance with the notice published in the Federal Register. Contact the program office for more information.

Award Procedure:

Applications are reviewed by panels of field readers. Points are awarded according to the selection criteria for discretionary grants contained in the Education Department General Administrative Regulations (EDGAR). Applications are ranked based on the number of points awarded and are selected for funding based on their rank order and geographic location in order to satisfy statutory provisions. Grants are distributed, to the greatest extent possible, to all geographic regions of the country.

Deadlines:

Contact the program office for application deadlines and information.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 through 90 days following the application deadline.

Appeals:

None.

Renewals:

Renewals are based on the review of annual performance reports and the recommendation of the project officer. All renewals are subject to the availability of funds.

Formula and Matching Requirements:

Grantees are required to contribute, from nonfederal sources, a portion of the services provided under the project after the first year.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 4 years. Funds are granted on a 12-month basis. Renewals are subject to the availability of appropriations.

Reports:

Annual progress reports must be submitted as required by grant award terms and conditions.

Audits:

In accordance with the Education Department General Administration Regulations (EDGAR), 34 CFR 74, nonprofit organizations are subject to the audit requirements in OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations." In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by the Education Department General Administrative Regulations.

Account Identification:

91-0204-0-1-501.

Obligations:

(Grants) FY 07 \$39,600,000; FY 08 \$38,908,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$406,294 to \$943,386; the average award is expected to be \$608,197.

PROGRAM ACCOMPLISHMENTS:

Approximately 62 awards are expected to be in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR). For more information contact the program office.

Regional or Local Office:

None.

Headquarters Office:

U.S. Department of Education, OIE Parental Options and Information 400 Maryland Ave., S.W., Rm. 3E209, FB-6 Washington DC 20202. Contact:

Steven L. Brockhouse. Telephone: 202-260-2476. E-mail Address: steve.brockhouse@ed.gov.

Web Site Address:

<http://www.ed.gov/programs/pirc/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Centers typically use funds to: (1) coordinate with existing programs that support parents in understanding state and local standards and measures of student and school academic achievement; (2) develop resource materials and provide information about high-quality family involvement programs to families, schools, school districts, and others through conferences, workshops, and dissemination of materials; and (3) support a variety of promising models of family involvement programs such as the Parents as Teachers (PAT), the Home Instruction Program for Preschool Youngsters (HIPPY), and other early childhood parenting programs. Projects generally include a focus on serving parents of low-income, minority, and limited English proficient children enrolled in elementary and secondary schools.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in the Education Department General Administrative Regulations are used to evaluate this program. For more information contact the Program Office.

84.315 CAPACITY BUILDING FOR TRADITIONALLY UNDERSERVED POPULATIONS

(Capacity Building)

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Section 21, 29 U.S.C. 718b.

OBJECTIVES:

To enhance the capacity and increase the participation of historically Black colleges and universities, Hispanic serving institutions of higher education, and other institutions of higher education where minority enrollment is at least 50 percent, in competitions for grants, contracts, and cooperative agreements under the Rehabilitation Act, as amended.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are limited to: awards to minority entities and Indian tribes to carry out activities under the programs authorized under titles II, III, VI, and VII of the Rehabilitation Act; awards to minority entities and Indian tribes to conduct research, training, technical assistance, or related activities, to improve services provided under the Rehabilitation Act, especially services provided to individuals from minority backgrounds; and awards to eligible entities to provide outreach and technical assistance to minority entities and Indian tribes to promote their participation in activities funded under the Rehabilitation Act.

Applicant Eligibility:

States and public and nonprofit agencies and organizations may apply.

Beneficiary Eligibility:

Historically Black colleges and universities; (2) Hispanics serving institutions of higher education; (3) Indian tribal colleges and universities (4) other institutions of higher education whose minority student enrollment is at least 50 percent; and (5) Indian Tribes.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

The standard application forms as furnished by the Department of Education and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process that the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications must be prepared and submitted in accordance with the notice published in the Federal Register. Contact the program office for more information.

Award Procedure:

All new applications are reviewed by a panel of at least three individuals. Each panel will generally include two or more nonfederal consultants with experience and training in the proposed area of training. Each panel will include a person with a disability and an individual from a minority background.

Deadlines:

Deadlines will be published in the Federal Register. Contact the program office

for application deadlines and information.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 120 to 150 days following the application deadline.

Appeals:

None.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of adequate funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 5 years, with budget periods so 12-months. Renewals are subject to the availability of appropriations.

Reports:

Annual financial and progress reports must be submitted as required by the grant award's terms and conditions.

Audits:

Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$2,291,000; FY 08 est \$2,900,000; and FY 09 est \$2,802,000.

Range and Average of Financial Assistance:

The range of awards is \$225,000 - \$250,000 in FY 2007.

PROGRAM ACCOMPLISHMENTS:

Some program accomplishments include the following: (1) Extensive training on the Rehabilitation Act of 1973, as amended, the Americans With Disabilities Act, and other key disability legislation; (2) the establishment of State-wide consortia of public agencies and organizations to better coordinate the delivery of vocational rehabilitation and other related services to persons with disabilities from minority backgrounds; (3) sponsoring and co-sponsoring of national and regional conferences of rehabilitation providers such as the Consortia of Administrators for Native American Rehabilitation (CANAR) and others; (4) establishment of rehabilitation training programs at colleges and universities; (5) increase in the number of applications and funding of projects from minority institutions of higher education; (6) implementation of national satellite broadcasts to discuss rehabilitation with participants from institutions of higher education, rehabilitation State agencies, independent living centers, supported employment programs and other agencies and organizations involved in the administration of rehabilitation programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations. For more information contact the program office.

Regional or Local Office:

None

Headquarters Office:

Department of Education, OSERS Rehabilitation Services Administration 400 Maryland Ave., S.W., Washington, DC 20202-2649. Contact: Ellen Chesley. E-mail: ellen.chesley@ed.gov. Telephone: (202) 245-7300

Web Site Address:

<http://www.ed.gov/about/offices/list/osers/rsa/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects have supported training, technical assistance, and related activities provided by minority institutions of higher education and Indian tribes and to improve services provided under the Rehabilitation Act, especially services provided to individuals with disabilities from minority backgrounds.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in the Education Department General Administrative Regulations are used to evaluate this program.

84.318 EDUCATION TECHNOLOGY STATE GRANTS

(Enhancing Education through Technology Program)

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title II, Part D, Subparts 1 and 2.

OBJECTIVES:

To provide grants to State educational agencies (SEAs) on a formula basis to (a) improve student academic achievement through the use of technology in schools; (b) assist all students in becoming technologically literate by the end of eighth grade; and (c) encourage the effective integration of technology with teacher training and curriculum development to establish successful research-based instructional methods.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

At least 95 percent of awards to SEAs must be used to make subgrants to LEAs. Half of the subgrant funds (the 95 percent) must be used for formula awards to eligible local educational agencies (LEAs) that receive funds under Title I, Part A. The remaining one-half provides competitive awards to eligible local entities (see beneficiary eligibility). In the U.S. Department of Education fiscal year (FY) 2006 appropriations bill, Congress also included language overriding this statutory provision and included language that provides SEAs with the flexibility to reserve up to 100 percent of their allocations for competitive awards to eligible entities. These provisions continue to apply under the FY 2008 appropriation. Each LEA and eligible entity receiving funds under this program must use at least 25 percent of its funds to provide professional development; the remaining funds must be used carry out other activities consistent with the purposes of the program and the district's local technology plan. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564- 76.569. For assistance call the Office of the Chief Financial Officer/ Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies (SEA).

Beneficiary Eligibility:

Elementary and secondary schools, students, and teachers will benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

Applications are distributed to the Chief State School Officer for all eligible SEAs. This program is excluded from coverage under E.O. 12372.

Application Procedure:

States have two options for applying for funds under this program. Option 1: An SEA may submit an individual program application that includes a new or updated statewide long-range strategic educational technology plan that considers the educational technology needs of LEAs in the State, and an application that addresses the following topics: (1) strategies for improving academic achievement; (2) goals using advanced technology to improve student academic achievement, aligned with challenging State standards; (3) steps to increase accessibility to technology; (4) accountability measures; (5) innovative instruction delivery strategies; (6) an assurance that financial resources provided under the Educational Technology program will supplement, and not supplant, State and local funds; (7) professional and curricular development; (8) a description of how the SEA will provide technical assistance to applicants seeking Ed Tech funds; (9) a description of technology resources and systems that the State will provide; (10) strategies for financing technology; (11) strategies for parental involvement; (12) competitive grant process description; (13) integration of technology with curricula and instruction; (14) teacher incentives; and (14) a description of how public and private entities will participate in the implementation and support of the plan. Option 2: States may apply for funds through a consolidated application, as provided for in ESEA Sec. 9301.

Through a consolidated application, a State will not submit all of the information required for individual Educational Technology applications, but will submit only "descriptions, information, assurances, . . . and other materials that are absolutely necessary for the consideration of the consolidated State plan or consolidated State application" (ESEA Section 9302(b)(3)). The specific information that an SEA must include in a consolidated application that incorporates the Educational Technology program was detailed in the consolidated State application documents the Department published in the Federal Register.

Award Procedure:

None.

Deadlines:

Contact the program office for information on deadlines.

Range of Approval/Disapproval Time:

120 days from receipt of application.

Appeals:

The Secretary shall not disapprove a State technology plan or State application, before offering the State an opportunity to revise the plan and a hearing. (See 34

CFR 76.202.)

Renewals:

SEAs shall annually report to the Secretary regarding progress in meeting State goals and plans. Items such as: (1) a proposal of State activities for the succeeding year; (2) a summary of the progress of LEAs in meeting local goals; and (3) a plan to increase student learning are required. Renewals are subject to the availability of resources.

Formula and Matching Requirements:

Funds are allocated to States proportionate to their share under Part A of Title I of ESEA but with a minimum allocation to any State of one-half of one percent of the amount appropriated.

Length and Time Phasing of Assistance:

None.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for State-administered programs (34 CFR part 76). Financial and performance reports are required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for State-Administered programs (34 CFR part 76). Generally, States and subgrantees must retain records related to grant funds and compliance for a period of five years.

Account Identification:

91-1000-0-1-501.

Obligations:

(Grants) FY 07 \$272,250,000; FY 08 est \$267,494,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

State awards range from the minimum \$1,294,000 to \$30,544,000.

PROGRAM ACCOMPLISHMENTS:

This program is designed to improve student academic achievement through the use of technology in elementary and secondary schools. It is also designed to assist every student - regardless of race, ethnicity, income, geographical location, or disability - in becoming technologically literate by the end of eighth grade. The program will facilitate the effective integration of technology resources and systems with professional development and curriculum development, resulting in research-based instructional methods that can be widely replicated.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 76, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

School Support and Technology Programs, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., FB6, Room 3E307, Washington, DC 20202. Contact: Enid Marshall. E-mail: enid.marshall@ed.gov. Telephone: (202) 708-9499.

Web Site Address:

<http://www.ed.gov/programs/edtech/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Local activities supported by these grants include continuing professional development programs and public-private partnerships focused on the integration of technology in schools. Activities also include: the use of new or existing technologies to improve academic achievement; the acquisition of curricula that integrate technology and are designed to meet challenging State academic standards; the use of technology to increase parental involvement in schools and the use of technology to collect, manage, and analyze data to enhance teaching and school improvement efforts.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.323 SPECIAL EDUCATION - STATE PERSONNEL DEVELOPMENT

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), Part D, Subpart 1.

OBJECTIVES:

To assist State educational agencies in reforming and improving their systems for personnel preparation and professional development of individuals providing early intervention, educational and transition services, to improve results for

children with disabilities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds must be used to address the objectives of the program.

Applicant Eligibility:

State educational agencies.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities and their families benefit from this program.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is covered under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as a single point of contact in his or her State to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished by the Office of Special Education and Rehabilitative Services and required by OMB Circular No. A-102 must be used for this program.

Award Procedure:

Applications are peer and made on approval of the Assistant Secretary, OSERS.

Deadlines:

Deadlines are published in application notices in the Federal Register and may be accessed through the Department of Education website at <http://www.ed.gov>.

Range of Approval/Disapproval Time:

Range is from 90 to 120 days.

Appeals:

None.

Renewals:

Awards may be renewed annually for up to 5 years throughout the project period of the award, depending upon the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Typically from one to five years, subject to the availability of appropriations.

Reports:

Progress reports are made on an annual basis. Final reports are made at the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its grant, as stated in award terms and conditions.

Account Identification:

91-0300-0-1-500.

Obligations:

(Grants) FY 07 \$50,146,000; FY 08 est \$22,598,000; and FY 09 est \$48,000,000.

Range and Average of Financial Assistance:

In fiscal year 2007, awards ranged from \$500,000 to \$2,200,000 with an average award of about \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Approximately 47 projects received funding under this program in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OSERS Office of Special Education Programs 400 Maryland Ave., S.W., Rm 4019 PCP, Washington, DC 20202-2600. Contact: Larry Wexler. E-mail: larry.wexler@ed.gov; Telephone: (202) 245-7571.

Web Site Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.027, Special Education_Grants to States; 84.173, Special Education_Preschool Grants; 84.181, Special Education-Grants for Infants and Families; 84.324, Research in Special Education; 84.325, Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.327, Special Education_Technology and Media Services for Individuals with Disabilities; 84.328, Special Education_Parent Information Centers; 84.329, Special Education_Studies and Evaluations; 84.326, Special Education_Technical Assistance and Dissemination to Improve Services and

Results for Children with Disabilities; 84.373, Special Education_Technical Assistance on State Data Collection.

EXAMPLES OF FUNDED PROJECTS:

The Department awarded new grants in fiscal years 2006 and 2007 to applicants that proposed projects to prepare teachers, para-professionals, administrators, related services personnel, and principals to deliver scientifically based instruction or evidence-based instructional practices; and to recruit and retain highly qualified teachers who are prepared to deliver scientifically based instruction or evidence-based instruction in order to improve results for children with disabilities.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

84.324 RESEARCH IN SPECIAL EDUCATION

FEDERAL AGENCY:

INSTITUTE OF EDUCATION SCIENCES, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Education Sciences Reform Act of 2002, Part E, 20 U.S.C. 9567.

OBJECTIVES:

To support scientifically rigorous research contributing to the solution of specific early intervention and education problems associated with children with disabilities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS:

Funds may be used for the production of new knowledge, integration of research and practice, and improving professional knowledge related to the objectives of the program.

Applicant Eligibility:

A State educational agency, a local educational agency, an institution of higher education, any other public agency, a private non-profit organization, an outlying area, an Indian tribe or tribal organization (as defined under section 4 of the Indian Self-Determination and Education Assistance Act), and a for-profit organization, if the Department finds it appropriate in light of the purposes of a particular competition for a grant, contract, or cooperative agreement. The Department may limit the entities eligible for an award to categories of these eligible entities. The Republic of the Marshall Islands and the Federated States of Micronesia are also eligible through September 30, 2023. The Republic of Palau is eligible through September 30, 2007.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities and other individuals with disabilities, and their families benefit from this program.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is not covered under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as a single point of contact in his or her State to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Standard Form 424, "Application for Federal Assistance," an application narrative, and required certificates must be submitted by the date specified in the Federal Register.

Award Procedure:

Applications will be reviewed by panels of field readers in accordance with 34 CFR 700, "Evaluation of Applications for Grants and Cooperative Agreements.

Deadlines:

Contact the Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

Range is from 90 to 120 days.

Appeals:

Not applicable.

Renewals:

Renewals are based on the review of annual performance reports and the recommendation of the project officer. All renewals are subject to the availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Typically from 1 to 5 years. Renewals are subject to the availability of appropriations.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions.

Audits:

In accordance with the provision of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in

Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

As stated in grant or contract terms and conditions, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under this grant.

Account Identification:

91-1100-0-1-500.

Obligations:

(Grants) FY 07 \$71,840,000; FY 08 est \$70,585,000; and FY 09 est \$70,585,000.

Range and Average of Financial Assistance:

Not applicable because the range and number varies by competition.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR 34 CFR 74, 77, 80, 81, 82, 84, 85, 86 (Part 86 applies only to IHEs), 97, 98, and 99. In addition 34 CFR 75 is applicable, except for the provisions in 34 CFR 75.100, 75.101(b), 75.102, 75.103, 75.105, 75.109(a), 75.200, 75.201, 75.209, 75.210, 75.211, 75.217, 75.219, 75.220, 75.221, 75.222, and 75.230.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Institute of Education Science, National Center for Special Education Research, Office of the Commissioner, 400 Maryland Ave, S.W., Washington, DC 20202-2500. Contact: David Malouf; E-mail: david.malouf@ed.gov; Telephone: (202) 219-1309 or Kristen Lauer; E-mail: kristen.lauer@ed.gov; Telephone: (202) 219-0377.

Web Site Address:

<http://ies.ed.gov/ncser>.

RELATED PROGRAMS:

84.027, Special Education_Grants to States; 84.173, Special Education_Preschool Grants; 84.325, Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326, Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327, Special Education_Technology and Media Services for Individuals with Disabilities; 84.328, Special Education_Parent Information Centers; 84.329, Special Education_Studies and Evaluations.

EXAMPLES OF FUNDED PROJECTS:

Projects address such topics as providing access to the general curriculum for children with severe cognitive disabilities, pre-literacy skills for young children with visual impairments and early childhood mental health. Additionally several research institutes and centers receive support as do field-initiated research.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

84.325 SPECIAL EDUCATION - PERSONNEL DEVELOPMENT TO IMPROVE SERVICES AND RESULTS FOR CHILDREN WITH DISABILITIES

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Individuals with Disabilities Education Act, Part D, Subpart 2, Section 662.

OBJECTIVES:

(1) To help address State-identified needs for qualified personnel in special education, related services, early intervention, and regular education, to work with children with disabilities; and (2) ensure that those personnel have the skills and knowledge that are needed to serve children with disabilities and that such skills and knowledge are derived from practices determined to be successful through research and experience.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS:

Funds must be used to prepare personnel to serve children with both low and high-incidence disabilities, leadership personnel, and for special projects. Awards are made only to eligible applicants that meet State and professionally recognized standards for the preparation of special education and related services personnel, if the purpose of the project is to assist personnel in obtaining degrees.

Applicant Eligibility:

State educational agencies, local education agencies, public charter schools that are LEAs under State law, institutions of higher education, other public agencies, private nonprofit organizations, outlying areas, Indian tribes or tribal

organizations, and for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities are the primary beneficiaries under this program.

Credentials Documentation:

None.

Preapplication Coordination:

This program is covered under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as a single point of contact in his or her State to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished by and required by OMB Circular No. A-102 must be used for this program. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications are reviewed by a panel of experts.

Deadlines:

Deadlines are published in application notices in the Federal Register or you may contact the Headquarters Office for application deadlines. Application notices may also be accessed through the Department of Education Web site at <http://www.ed.gov>.

Range of Approval/Disapproval Time:

Range is from 90 to 120 days.

Appeals:

None.

Renewals:

Awards may be renewed annually for up to five years throughout the project period of the award, subject to the availability of appropriations.

Formula and Matching Requirements:

A recipient of a grant, contract, or cooperative agreement may be required to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A).)

Length and Time Phasing of Assistance:

Typically from one to five years. Renewals are subject to the availability of appropriations.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award, as stated in grant or contract terms and conditions.

Account Identification:

91-0300-0-1-501.

Obligations:

(Grants) FY 07 \$89,720,000; FY 08 est \$88,153,000; and FY 09 est \$88,153,000.

Range and Average of Financial Assistance:

Not applicable because the range and average varies greatly by competition.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, approximately 400 awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 304.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OSERS Office of Special Education Programs 400 Maryland Ave, S.W., Washington, DC 20202-2500 Contact: Bonnie Jones. E-mail: bonnie.jones@ed.gov. Telephone: (202) 245-7395.

Web Site Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.027, Special Education_Grants to States; 84.173, Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323, Special Education - State Personnel Development; 84.324, Research in Special Education; 84.326, Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327, Special Education_Technology and Media Services for Individuals with Disabilities; 84.328, Special Education_Parent Information Centers; 84.329, Special Education_Studies and Evaluations.

EXAMPLES OF FUNDED PROJECTS:

Program funds support projects in a variety of areas, including training grants for: leadership personnel; personnel to serve infants, toddlers, and pre-school

age children with disabilities; personnel to serve school age children with low incidence disabilities; personnel to serve school age children with high incidence disabilities; and personnel to provide related services, speech/language services, and adapted physical education to infants, toddlers, children, and youth with disabilities.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

84.326 SPECIAL EDUCATION-TECHNICAL ASSISTANCE AND DISSEMINATION TO IMPROVE SERVICES AND RESULTS FOR CHILDREN WITH DISABILITIES

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), Part D, Subpart 2, Section 663, as amended, 20 U.S.C. 1463.

OBJECTIVES:

To promote academic achievement and improve results for children with disabilities by supporting technical assistance, supporting model demonstration projects, dissemination useful information, and implementing activities that are supported by scientifically based research.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

State educational agencies (SEAs), local educational agencies (LEAs), public charter schools that are LEAs under State law, institutions of higher education (IHEs), other public agencies, private nonprofit organizations, outlying areas, freely associated States, Indian tribes or tribal organizations, and for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers and other individuals with disabilities, and their families benefit from this program.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is covered under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as a single point of contact in his or her State to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished and required by OMB Circular No. A-102 must be used for this program. The program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications are reviewed by a panel of experts.

Deadlines:

Deadlines are published in application notices in the Federal Register, and may be accessed through the Department of Education Website at <http://www.ed.gov>.

Range of Approval/Disapproval Time:

Range is from 90 to 120 days.

Appeals:

None.

Renewals:

Awards may be renewed annually for up to five years throughout the project period of the award, depending upon the availability of appropriations.

Formula and Matching Requirements:

The Department may require a recipient of a grant, contract, or cooperative agreement to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A).)

Length and Time Phasing of Assistance:

Typically from one to five years; subject to the availability of appropriations.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award

as stated in grant or contract terms and conditions.

Account Identification:

91-0300-0-1-501.

Obligations:

(Grants) FY 07 \$48,903,000; FY 08 est \$48,049,664; and FY 09 est \$48,049,000.

Range and Average of Financial Assistance:

Not applicable because the range and average vary by competition.

PROGRAM ACCOMPLISHMENTS:

Approximately 91 new and continuation awards are expected to be made for 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OSERS Office of Special Education Programs, 400 Maryland Ave., S.W., Rm 4098 PCP, Washington, DC 20202-2600. Contact: Debra Price-Ellingstad, E-mail: debra.price-ellingstad@ed.gov. Telephone: (202) (202) 245-7481.

Web Site Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.027, Special Education_Grants to States; 84.173, Special Education_Preschool Grants; 84.181, Special Education-Grants for Infants and Families; 84.323, Special Education - State Personnel Development; 84.324, Research in Special Education; 84.325, Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.327, Special Education_Technology and Media Services for Individuals with Disabilities; 84.328, Special Education_Parent Information Centers; 84.329, Special Education_Studies and Evaluations; 84.373, Special Education_Technical Assistance on State Data Collection.

EXAMPLES OF FUNDED PROJECTS:

The National Dissemination Center for Children with Disabilities, the National Clearinghouse on Deaf-Blindness, the Federal Resource Center for Special Education, Regional Resource Centers, the Center for Positive Behavioral Interventions and Supports, and Center on Achieving Results in Education for Children with Disabilities have been supported by this program.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

84.327 SPECIAL EDUCATION-TECHNOLOGY AND MEDIA SERVICES FOR INDIVIDUALS WITH DISABILITIES

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), Part D, Subpart 3, Section 674, as amended, 20 U.S.C. 1474.

OBJECTIVES:

To (1) improve results for children with disabilities promoting: the development, demonstration and use of technology; (2) support educational media service activities designed to be of educational value in the classroom setting to children with disabilities; and (3) provide support for captioning and video description that is appropriate for use in the classroom setting.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

State educational agencies (SEAs), local educational agencies (LEAs), public charter schools that are LEAs under State law, institutions of higher education (IHEs), other public agencies, private nonprofit organizations, outlying areas, freely associated States, Indian tribes or tribal organizations, and for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities and their families benefit from this program.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is covered under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as a single point of contact in his or her State to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished by and required by OMB Circular

No. A-102 must be used for this program. The program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications are reviewed by a panel of experts.

Deadlines:

Deadlines are published in application notices in the Federal Register and may be accessed through the Department of Education Website at <http://www.ed.gov>.

Range of Approval/Disapproval Time:

Range is from 90 to 120 days.

Appeals:

None.

Renewals:

Awards may be renewed annually for up to five years throughout the project period of the award, depending upon the availability of appropriations.

Formula and Matching Requirements:

A recipient of a grant, contract, or cooperative agreement may be required to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A).)

Length and Time Phasing of Assistance:

Typically from one to five years, subject to the availability of appropriations.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions.

Account Identification:

91-0300-0-1-501.

Obligations:

(Grants) FY 07 \$38,428,000; FY 08 est \$39,301,200; and FY 09 est \$30,949,000.

Range and Average of Financial Assistance:

Not applicable because the range and average vary by competition.

PROGRAM ACCOMPLISHMENTS:

Approximately 70 new and continuation awards are expected to be made for 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OSERS Office of Special Education Programs 400 Maryland Ave., S.W., Rm 4098 PCP, Washington, DC 20202-2600. Contact: Jane Hauser. E-mail: jane.hauser@ed.gov Telephone: (202) 245-7373.

Web Site Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.027, Special Education_Grants to States; 84.173, Special Education_Preschool Grants; 84.181, Special Education-Grants for Infants and Families; 84.323, Special Education - State Personnel Development; 84.324, Research in Special Education; 84.325, Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326, Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.328, Special Education_Parent Information Centers; 84.329, Special Education_Studies and Evaluations; 84.373, Special Education_Technical Assistance on State Data Collection.

EXAMPLES OF FUNDED PROJECTS:

This program supports technology research, development, and dissemination. Media activities, such as providing closed-captioning for television and accessible textbooks for students with disabilities, are also supported.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 24 CFR Part 75.210.

84.328 SPECIAL EDUCATION-PARENT INFORMATION CENTERS

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), Part D, Subpart 3, Sections 671-673, as amended, 20 U.S.C. 1471-1473.

OBJECTIVES:

To ensure that parents of children with disabilities receive training and information to help improve results for their children.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

Parent organizations, as defined in Section 671(a)(2) of the Individuals with Disabilities Education Act are eligible for parent center awards under IDEA sections 671 and 672. For section 672 funding, these parent centers must meet additional conditions set forth in section 672. For IDEA section 673 State educational agencies (SEAs) local educational agencies (LEAs), public charter schools that are LEAs under State law, institutions of higher education (IHEs), other public agencies, private nonprofit organizations, outlying areas, freely associated States, Indian tribes or tribal organizations, and for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers and other individuals with disabilities, and their families benefit from this program.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is covered under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as a single point of contact in his or her State to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished and required by OMB Circular No. A-102 must be used for this program. The program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications are reviewed by a panel of experts.

Deadlines:

Deadlines are published in application notices in the Federal Register and may be accessed through the Department of Education Website at <http://www.ed.gov>.

Range of Approval/Disapproval Time:

Range is from 90 to 120 days.

Appeals:

None.

Renewals:

Awards may be renewed annually for up to five years throughout the project period of the award, depending upon the availability of appropriations.

Formula and Matching Requirements:

The Department may require a recipient of a grant, contract, or cooperative agreement to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A).)

Length and Time Phasing of Assistance:

Typically from one to five years; subject to the availability of funds.

Reports:

Progress reports shall be made on annual basis. Final reports shall be made at the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions.

Account Identification:

91-0300-0-1-501.

Obligations:

(Grants) FY 07 \$25,704,000; FY 08 est \$26,528,310; and FY 09 est \$26,528,000.

Range and Average of Financial Assistance:

Not applicable because the range and average vary by competition.

PROGRAM ACCOMPLISHMENTS:

Approximately 110 new and continuation awards are expected to be made for 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OSERS Office of Special Education Programs 400 Maryland Ave., S.W., Rm 4065 PCP, Washington, DC 20202-2600. Contact: Lisa Gorove, Telephone: (202) 245-7357. E-mail: lisa.gorove@ed.gov.

Web Site Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>

RELATED PROGRAMS:

84.027, Special Education_Grants to States; 84.173, Special Education_Preschool Grants; 84.181, Special Education-Grants for Infants and Families; 84.323, Special Education - State Personnel Development; 84.324, Research in Special Education; 84.325, Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326, Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327, Special Education_Technology and Media Services for Individuals with Disabilities; 84.329, Special Education_Studies and Evaluations; 84.373, Special Education_Technical Assistance on State Data Collection.

EXAMPLES OF FUNDED PROJECTS:

Parent information centers covering large States or large areas in States, and community resource centers.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

84.329 SPECIAL EDUCATION-STUDIES AND EVALUATIONS

FEDERAL AGENCY:

INSTITUTE OF EDUCATION SCIENCES, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), Section 664, as amended, 20 U.S.C. 1464.

OBJECTIVES:

To assess the progress in implementing the Individuals with Disabilities Education Act, including State and local efforts to provide (1) Free appropriate public education to children with disabilities; and (2) early intervention services to infants and toddlers with disabilities who would be at risk of having substantial developmental delays if early intervention services were not provided.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

A State educational agency, a local educational agency, an institution of higher education, any other public agency, a private non-profit organization, an outlying area, an Indian tribe or tribal organization (as defined under section 4 of the Indian Self-Determination and Education Assistance Act), and a for-profit organization, if the Secretary finds it appropriate in light of the purposes of a particular competition for a grant, contract, or cooperative agreement. The Department may limit the entities eligible for an award to categories of these eligible entities.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities, and other individuals with disabilities, and their families benefit from this program.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is covered under E.O. 12372, "Intergovernment Review of Federal programs." An applicant should consult the office or official designated as a single point of contact in his or her State to be followed in applying for assistance if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished and required by OMB Circular No. A-102 must be used for this program. The program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications will be reviewed by a panel of experts.

Deadlines:

Contact the Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

Range is from 90 to 120 days.

Appeals:

None.

Renewals:

Awards may be renewed annually for up to five years throughout the project period of the award, depending upon the availability of appropriations. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Typically from one to five years. Renewals are subject to the availability of appropriations.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the project period. Cash balance reports will be required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As stated in grant or contract terms and conditions, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under this grant.

Account Identification:

91-1100-0-1-500.

Obligations:

(Grants) FY 07 \$9,900,000; FY 08 est \$9,460,000; and FY 09 est \$9,460,000.

Range and Average of Financial Assistance:

Not applicable because the range and number varies by topic.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, 400 Maryland Ave., S.W., Washington, DC 20202-2500. Contact: David Malouf, E-mail: david.malouf@ed.gov Telephone: (202) 219-1309.

Web Site Address:

<http://ies.ed.gov/ncee>

RELATED PROGRAMS:

84.027, Special Education_Grants to States; 84.173, Special Education_Preschool Grants; 84.184, Safe and Drug-Free Schools and Communities_National Programs; 84.324, Research in Special Education; 84.325, Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326, Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327, Special Education_Technology and Media Services for Individuals with Disabilities; 84.328, Special Education_Parent Information Centers.

EXAMPLES OF FUNDED PROJECTS:

The studies supported by this program include the National Study of Alternate Achievement Standards and the National Assessment of Special Education.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

84.330 ADVANCED PLACEMENT PROGRAM (ADVANCED PLACEMENT TEST FEE; ADVANCED PLACEMENT INCENTIVE PROGRAM GRANTS)

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title I, Part G.

OBJECTIVES:

Award grants to States support State and local efforts to increase access to advance placement classes and tests for low-income students and to cover part or all of the cost of test fees for low-income students enrolled in advanced placement courses.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

The Advanced Placement Incentive Grants program provides grants to eligible entities to enable them to increase the participation of low-income students in both pre-advanced placement and advanced placement courses and tests. The Advanced Placement Test Fee program provides awards to enable States to cover all or part of the cost of test fees for low-income students who are enrolled in advanced placement classes. The ESEA requires the Secretary to give priority to funding the Advanced Placement Test Fee program, with remaining funds allocated to Advanced Placement Incentive Grants.

Applicant Eligibility:

Local educational agencies, nonprofit organizations, and State educational agencies may apply for AP Incentive grants. State educational agencies may apply for the AP Test Fee program.

Beneficiary Eligibility:

Low-income high school students who (1) are enrolled in an advanced placement class; and (2) plan to take an advanced placement test.

Credentials/Documentation:

None.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State selected the program for review.

Application Procedure:

Secure grant application forms and instructions from the Federal Register. Prepare and address submission according to published directions. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications are reviewed by Education Department staff. Final decisions on grants are made by the Secretary on the basis of statutory considerations.

Deadlines:

Established for grants each year by notice published in the Federal Register.

Range of Approval/Disapproval Time:

Up to 120 days of receipt of applications.

Appeals:

None.

Renewals:

Awards are made annually based on an applicant's eligibility and contingent on the availability of funds and project performance.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made for one year for the AP Test Fee program, and for up to 3 years for the AP Incentive Grant program.

Reports:

A final report must be submitted. Reporting requirements are included in the final grant documents. Grantees also may be subject to national evaluation performed by the Department of Education.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records are to be maintained as indicated in the grant or contract award document.

Account Identification:

91-0204-0-1-501.

Obligations:

(Grants) FY 07 \$37,026,000; FY 08 est \$43,539,834 and FY 09 est \$70,000,000.

Range and Average of Financial Assistance:

Average new AP Test Fee award in 2008: \$266,830. Average API continuation award in 2008: \$571,776.

PROGRAM ACCOMPLISHMENTS:

In 2007, 47 States participated in the AP Test Fee program; and 46 AP Incentive grants were awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR; 34 CFR 75, 77, 79, 80, 81, 82, 85, 86, and 99. Contact the Program Office for this information.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OESE Academic Improvement and Teacher Quality Programs, 400 Maryland Ave., S.W., Washington, DC 20202-6140 Contact: Ivonne Jaime, E-mail: ivonne.jaime@ed.gov, Telephone: (202) 260-1519.

Web Site Address:

<http://www.ed.gov/programs/apfee/index.html>,
<http://www.ed.gov/programs/apincent/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Awards support activities to increase the participation of low-income students in both pre-advanced placement and advanced placement courses. Grants support teacher training, distance learning, and training for "vertical teams" or teachers

from different grade levels in a given subject. Grants also help procure books and supplies and support other activities directly related to expanding access to, and participation in, advanced placement courses and tests for low-income students.

CRITERIA FOR SELECTING PROPOSALS:

To receive funds under the AP Test Fee program, an SEA must submit information to the Department describing the Advanced Placement test fees the State will pay on behalf of individual students along with assurances that (1) the requested funds will supplement and not supplant existing fee payment efforts; (2) there will be a method by which low-income individuals will be identified and that funds will be used to serve this population; and (3) there will be a description of the applicant's plan to disseminate information on the availability of test fee payments to eligible individuals through secondary school teachers and guidance counselors. To receive an AP Incentive grant, eligible entities must expand access for low-income individuals to advanced placement programs. Eligible activities include teacher training, development of pre-advanced placement courses, coordination and articulation between grade levels to prepare students for academic achievement in AP or IB courses, books and supplies, and participation in online AP or IB course. In awarding grants, the Secretary gives priority to applications that: (1) demonstrate a pervasive need for access to AP incentive programs; (2) provide for the involvement of business and community organizations in the activities to be assisted; (3) assure the availability of matching funds from State, local, or other sources; (4) demonstrate a focus on developing or expanding AP programs and participation in the core academic areas of English, mathematics, and science; (5) demonstrate an intent to carry out activities that target LEAs serving schools with a high concentration of low-income students, or schools with a high concentration of low-income students; and (6) in the case of applications submitted by LEAs, assure that the LEA serves schools with a high concentration of low-income students; or (7) demonstrates an intent to carry out activities to increase the availability of, and participation in, on-line AP courses.

84.331 GRANTS TO STATES FOR INCARCERATED YOUTH OFFENDERS

FEDERAL AGENCY:

OFFICE OF VOCATIONAL AND ADULT EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act Amendments of 1998, Title VIII, Part D.

OBJECTIVES:

To assist and encourage incarcerated youths to acquire functional literacy, life, and job skills through the pursuit of postsecondary education certificates, associate in arts degrees, and bachelor of arts degrees.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds can be used to provide grants to designated State Correctional Education Agencies to: (1) Assist and encourage incarcerated youths to acquire functional literacy, life and job skills, through the pursuit of a postsecondary education certificate, or an associates or bachelors degree; and (2) provide employment counseling and other related services that start during the period of incarceration and continue through pre-release and while on parole or during release.

Applicant Eligibility:

The State Correctional Education Agency, as designated by the Governor of any of the 50 States, the Commonwealth of Puerto Rico, District of Columbia, Guam, American Samoa, the Virgin Islands, and the Northern Mariana Islands, may apply.

Beneficiary Eligibility:

Individuals who are: (1) incarcerated in a State prison, including a pre-release facility or an alternative program such as boot camp; (2) eligible to be released or paroled within 5 years; (4) 25 years of age or younger; and who (5) have obtained a secondary school diploma or its equivalent.

Credentials/Documentation:

A State plan is required. The State plan must include a certification for a State official that all provisions and amendments thereto are consistent with the Act.

Preapplication Coordination:

The Director of the Office of Correctional Education will provide guidance on specific issues and technical assistance in the preparation of State Plans. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Each designated State Correctional Education Agency must submit to the Secretary of Education a State plan, which sets forth the procedures under which the State will carry out activities to achieve its program objectives and priorities and fulfill the purposes of the Act. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Secretary approves the State Plan and any amendment thereto and makes allocations to the State.

Deadlines:

Deadlines are published in the Federal Register. Contact the program office for additional information.

Range of Approval/Disapproval Time:

Range is approximately 4 weeks from the date of application receipt.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

From the amount appropriated, the Secretary allots to each State an amount that bears the same relationship to the amount appropriated as the total number of eligible students in each State bears to the total number of eligible students in all States. There is no matching requirement.

Length and Time Phasing of Assistance:

Awards are made for a 12-month period. Funds must be expended within 24 months of their date of availability.

Reports:

Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, as amended by the Improving America's Schools Act, grantees must maintain records for 3 years.

Account Identification:

91-0400-0-1-501.

Obligations:

FY 07 \$22,770,000; FY 08 \$22,372,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

In FY 2008, the range of awards is expected to be \$30,593 to \$2,533,691; the average is expected to be \$447,444.

PROGRAM ACCOMPLISHMENTS:

50 grants are expected to be awarded in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

The provisions of Title VIII, Part D of the Higher Education Act, the requirements of the General Education Provisions Act (GEPA), and the Education Department General Administrative Regulations (EDGAR), Parts 67, 77, 79, 80, 81, 82, 85, and, 86 apply.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OVAE 400 Maryland Ave., S.W., Washington, DC 20202-7242 Contact: John Linton. E-mail: john.linton@ed.gov. Telephone: (202) 219-1743.

Web Site Address:

<http://www.ed.gov/programs/transitiontraining/index.html>.

RELATED PROGRAMS:

84.002, Adult Education - Basic Grants to States; 84.048, Career and Technical Education -- Basic Grants to States.

EXAMPLES OF FUNDED PROJECTS:

The Maryland Department of Education received a grant to develop a postsecondary liberal arts and vocational training program. The educational programming offered is a mix of various postsecondary occupational training programs, non-traditional careers training for women, and a standard liberal arts curriculum. Services will be provided in the boot camp, the women's institution and two medium security prisons.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

84.332 COMPREHENSIVE SCHOOL REFORM DEMONSTRATION

(CSR)

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title I, Part F.

OBJECTIVES:

The Comprehensive School Reform (CSR) program provides schools with funding to develop or adopt, and implement, comprehensive school reforms, grounded in scientifically based research and effective practices, that will help

enable children in participating schools to meet challenging State standards. These reforms must be structured to address 11 critical elements included in the Elementary and Secondary Education Act of 1965 (ESEA). The elements establish, among other things, that each participating school must base its proposed reforms on a comprehensive design that employs innovative strategies and methods based on reliable research and practice, aligns reforms with the school's regular program and a school needs assessment, and uses high-quality external technical support and assistance from entities with experience and expertise in schoolwide reform and improvement.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

All participating schools must use program funds to adopt and implement a comprehensive school reform program that: (1) employs proven methods and strategies based on scientifically-based research; (2) integrates a comprehensive design with aligned components; (3) provides high-quality professional development for teachers and staff; (4) includes measurable goals and benchmarks for student achievement; (5) is supported within the school by teachers, administrators, and staff; (6) provides support for teachers, administrators, and staff; (7) provides for meaningful parent and community involvement in planning, implementing, and evaluating school improvement activities; (8) uses high-quality external technical support and assistance from an external partner with experience and expertise in schoolwide reform and improvement; (9) plans for the evaluation of strategies for the implementation of school reforms and for student results achieved annually; (10) identifies resources to support and sustain the school's comprehensive reform effort; and (11) has been found to significantly improve the academic achievement of students or demonstrates strong evidence that it will improve the academic achievement of students. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies (SEAs). The subgrantees are local education agencies (LEAs) applying to their SEAs in collaboration with eligible schools within the LEAs.

Beneficiary Eligibility:

State educational agencies, local educational agencies, and public schools

Credentials/Documentation:

Applications should demonstrate the existence of a State-wide plan for supporting research-based comprehensive school reform that describes the process and selection criteria by which competitive subgrants will be made; how the SEA will ensure that only high-quality comprehensive school reform programs are funded; how the SEA will provide information and assistance to school districts and schools; and how the SEA will evaluate results achieved in schools districts receiving assistance.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372, "Intergovernmental Review of Federal Programs."

Application Procedure:

The Department allocates funds to any State with an approved application.

Award Procedure:

The Department awards grants to States by formula. States then award grants to LEAs.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Grants are awarded to SEAs annually. Subgrants are for up to three years with annual renewals subject to the approval of the SEA and availability of funds.

Formula and Matching Requirements:

Funds are available under Title I - Demonstrations of Innovative Practices Program. Then funds are appropriated for formula grants. Title I funds are allocated to SEAs based on Title I basic grant formula. There are no matching requirements.

Length and Time Phasing of Assistance:

Funds awarded to SEAs must be obligated not later than September 30, 2001.

Reports:

No annual reports are required as part of this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Educational Provisions Act and the Education Department General Administrative Regulations, certain project records must be maintained for 5 years.

Account Identification:

91-0900-2-1-501.

Obligations:

(Grants) FY 07 \$2,352,000; FY 08 est \$1,605,000; and FY 09 est \$0. Beginning in FY 06, funds no longer supported State grants. Funds have supported only the final years of the program's Quality Initiatives Grants and the Clearinghouse contract.

Range and Average of Financial Assistance:

Funds support the Clearinghouse in 2008 - \$1,605,000.

PROGRAM ACCOMPLISHMENTS:

Not applicable - 2008 funds support the final year of the contract for the CSR Clearinghouse.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 76, regarding the program application package for SEAs. Contact the Program Office for more information.

Regional or Local Office:

None.

Headquarters Office:

Comprehensive School Reform Demonstration Program, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Contact: Braden Goetz. E-mail: braden.goetz@ed.gov. Telephone: (202) 260-0982.

Web Site Address:

<http://www.ed.gov/programs/compreform/index.html>.

RELATED PROGRAMS:

84.010, Title I Grants to Local Educational Agencies; 84.215, Fund for the Improvement of Education; 84.276, Goals 2000 State and Local Education Systemic Improvement Grants.

EXAMPLES OF FUNDED PROJECTS:

Not applicable - FY 2008 funds support the final year of the CSR Clearinghouse contract.

CRITERIA FOR SELECTING PROPOSALS:

The criteria are contained in EDGAR. Contact the program office for additional information.

84.333 DEMONSTRATION PROJECTS TO ENSURE STUDENTS WITH DISABILITIES RECEIVE A HIGHER EDUCATION

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act, Title VII, Part D, as amended, 20 U.S.C. 1140-1140d.

OBJECTIVES:

To support model demonstration projects to provide technical assistance or professional development for faculty in higher education, to provide students with disabilities a quality postsecondary education.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Awards may be used to support innovative, effective, and efficient teaching methods and other strategies to enhance the skills and abilities of postsecondary faculty and administrators in working with disabled students. Activities include, but are not limited to: in-service training; professional development; customized and general technical assistance workshops; summer institutes; distance learning; training in the use of assistive and educational technology; and research related to postsecondary students with disabilities.

Applicant Eligibility:

Institutions of higher education.

Beneficiary Eligibility:

Faculty and administration in postsecondary education and students with disabilities.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date. An application package is available from the Office of Higher Education Programs. Copies will be mailed upon request. This

program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Peer review panels composed of representatives from public and private higher education and individuals having expertise in effective training strategies, knowledge of the needs of postsecondary students with disability and learning characteristics of students with disabilities and programs for students with disabilities in postsecondary education.

Deadlines:

The deadline date is published in the Federal Register.

Range of Approval/Disapproval Time:

Notification usually is made within 4 months of the deadline for submission of applications.

Appeals:

None.

Renewals:

Grants may last for up to 3 years, subject to the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are to be made for 3 years, subject to the availability of appropriations.

Reports:

A final performance report is required. Reporting requirements are specified in the grant award documents.

Audits:

In accordance with provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records supporting claims under a grant must be maintained for 5 years after termination of the award.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$6,874,560; FY 08 est \$6,754,894; and FY 09 est \$0.

Range and Average of Financial Assistance:

In FY 08, the average award was \$292,000.

PROGRAM ACCOMPLISHMENTS:

In FY 08, twenty-three grants were awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 75, published in the Federal Register on March 6, 1997.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Office of Postsecondary Education, 400 Maryland Ave., S.W., Washington, DC 20202-5131. Contact: Shedita Alston. E-mail: shedita.alston@ed.gov. Telephone: (202)502-7808.

Web Site Address:

<http://www.ed.gov/programs/disabilities/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for information on criteria for individual competitors.

84.334 GAINING EARLY AWARENESS AND READINESS FOR UNDERGRADUATE PROGRAMS

(GEAR-UP)

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act, Title IV, Part A, Subpart 2, Chapter 2, 20 U.S.C. 1070a-21-1070a-28.

OBJECTIVES:

Supports eligible entities in providing or maintaining a guarantee to eligible low-income students who obtain a secondary diploma (or its recognized equivalent), of the financial assistance necessary to permit the students to attend an institution of higher education; and supports eligible entities in providing additional counseling, mentoring, academic support, outreach, and supportive services to elementary and middle schools, and secondary school students who are at risk of dropping out of school; and information to students and their parents about the advantages of obtaining a postsecondary education and the college financing options for the students and their parents.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

There are two components of GEAR-UP: Early Intervention and Scholarship Components. Under the Early Intervention component awards may be used to allow States and partnership of entities such as community-based organizations, schools, institutions of higher education, public and private agencies, nonprofit and philanthropic organizations, and businesses to provide early intervention activities such as comprehensive mentoring, counseling, outreach, and supportive services programs to eligible students beginning no later than seventh grade through grade twelve. Under the Scholarship Component awards may be used for the purpose of awarding Federal grant assistance to a student who is less than 22 years old at the time of first scholarship; receives a secondary school diploma or its recognized equivalent on or after January 1, 1993; is enrolled or accepted for enrollment in a program of undergraduate instruction at an institution of higher education and who participated in the early intervention component or in a TRIO program. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76-569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

A State, or a partnership consisting of one or more local education agencies acting on behalf of one or more elementary schools or secondary schools; and the secondary schools that students from the schools (elementary/secondary) would normally attend; one or more degree granting institutions of higher education; and at least two community organizations or entities, such as businesses, professional associations, community-based organizations, philanthropic organizations, State agencies, parent groups, and/or nonprofit organizations. States are required to conduct both components.

Beneficiary Eligibility:

Low-income students and students in high-poverty schools.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his/her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date. An application package is available from the Office of Higher Education Programs. Copies are available on the web page and will also be mailed upon request. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Peer review panels composed of representatives from public and private higher education, State, and local education agencies having expertise in elementary, secondary, and higher education are designated to review applications.

Deadlines:

The deadline date is published in the Federal Register.

Range of Approval/Disapproval Time:

Notification usually is made within 4 months of the deadline for submission of applications.

Appeals:

None.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has a statutory nonfederal matching requirement of not less than 50 percent of the program cost. States are required to match at 50 percent of the grant. The Secretary may, by regulation, modify the percentage match for partnerships. The nonfederal contribution may be in cash or in-kind.

Length and Time Phasing of Assistance:

Grants are made for up to 5 years, subject to the availability of funds.

Reports:

A biennial evaluation report is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records supporting claims under a grant must be maintained for 5 years after termination of the awards.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$303,423,120; FY 08 est \$303,422,950; and FY 09 est \$303,423,000.

Range and Average of Financial Assistance:

In FY 08, the average State grant was \$3,460,000. The average new partnership grant was \$951,000; the average continuation partnership grant was \$1,216,000.

PROGRAM ACCOMPLISHMENTS:

In FY 08, 40 State grants were awarded and 152 partnership grants were awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR; 34 CFR 694. Contact the program office for information.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Office of Postsecondary Education, GEAR-UP, 400 Maryland Avenue, S.W., Washington, DC 20202-5131. Contact: James Davis or Sylvia Ross E-mail: gearup@ed.gov. Telephone (202) 502-7676.

Web Site Address:

<http://www.ed.gov/programs/gearup/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

GEAR UP provides five-year grants to states and partnerships to provide services at high-poverty middle and high schools. GEAR UP grantees serve an entire cohort of students beginning no later than the seventh grade and follow the cohort through high school. GEAR UP funds are also used to provide college scholarships to low-income students.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR). Contact the Program Office for more information.

84.335 CHILD CARE ACCESS MEANS PARENTS IN SCHOOL**FEDERAL AGENCY:**

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act, Title IV, Part A, Subpart 7, as amended.

OBJECTIVES:

To support the participation of low-income parents in postsecondary education through the provision of campus-based child care services.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Awards shall be used to support or establish child care programs serving low-income students enrolled in college. Funds may be used to provide for before or after school services to the extent necessary to allow students to pursue a postsecondary career. No funds from grants can be used for construction other than minor renovations to meet State or local health or safety needs.

Applicant Eligibility:

An institution of higher education is eligible to apply if the total amount of all Federal Pell Grant Funds awarded to students enrolled at the institution of higher education for the preceding fiscal year equals or exceeds \$350,000.

Beneficiary Eligibility:

Benefiting are low-income students enrolled in postsecondary programs.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date. An application package is available from the Office of Higher Education Programs. Copies will be mailed upon request. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Peer review panels composed of representatives from public and private higher education and child care providers having expertise in child care service are designated to review application.

Deadlines:

The deadline date is published in the Federal Register.

Range of Approval/Disapproval Time:

Notification usually is made within 4 months of the deadline for submission of applications.

Appeals:

None.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

The grant amount awarded to an institution shall not exceed one percent of the total amount of all Federal Pell Grant funds awarded to students enrolled at the institution of higher education for the preceding fiscal year.

Length and Time Phasing of Assistance:

Grants shall be awarded for a period of 4 years, subject to the availability of funds.

Reports:

Reports are required 18 months and 36 months after receiving the first grant payment.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records supporting claims under a grant must be maintained for 5 years after termination of the award.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$15,810,300; FY 08 \$15,463,735; and FY 09 est \$15,463,735.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$10,000 to \$300,000; the average award is expected to be \$91,375.

PROGRAM ACCOMPLISHMENTS:

Approximately 170 awards are expected to be made in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for information.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Office of Postsecondary Education, Higher Education Programs, 400 Maryland Avenue, S.W., Washington, DC 20202-5131. Contact: Dorothy Marshall. E-mail: dorothea.marshall@ed.gov. Telephone: (202) 502-7634.

Web Site Address:

<http://www.ed.gov/programs/campisp/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funds are used to support or establish campus-based child care programs primarily serving the needs of low-income students enrolled in institutions of higher education. Grants may be used for before- and after-school services. In addition, grants may be used to serve the child care needs of the community served by the institution.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will be selected from those in the Education Department General Administrative Regulations (EDGAR). Contact the Program Office for more information.

84.336 TEACHER QUALITY ENHANCEMENT GRANTS

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title II, Part A, Public Law 105-244.

OBJECTIVES:

To improve student achievement; improve the quality of the current and future teaching force by improving the preparation of prospective teachers and enhancing professional development activities; hold institutions of higher education accountable for preparing teachers who have the necessary teaching skills and are highly competent in the academic content areas in which the teachers plan to teach; train pre-service teachers in the effective uses of technology in the classroom; and recruit highly qualified individuals, including individuals from other occupations, into the teaching force.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Awards may be used to reform teacher preparation programs, reform

certification and licensure requirements, provide alternatives to traditional preparation for teaching, create alternative routes to State certification, develop and implement effective mechanisms for recruitment, pay, and removal of teachers, address the problem of social promotion, and to recruit teachers.

Applicant Eligibility:

States and partnerships that consist of at least one institution of higher education with a teacher preparation program, one school of arts and sciences, and one high-need local educational agency. A high-need local educational agency; is an agency that serves an elementary school or secondary school located in an area containing: (1) A high percentage of individuals or families with incomes below the poverty line, (2) a high percentage of secondary teachers not teaching in the content area that they were trained to teach, or (3) a high teacher turnover rate. An accredited institution of higher education, with a teacher training program must demonstrate the following: (A) A graduate from the teacher training program exhibits strong performance on State-determined qualifying assessments for new teachers through demonstrating that 80 percent or more of the graduates of the program intending to enter the field of teaching have passed all of the applicable State qualification assessments for new teachers including an assessment of each prospective teacher's subject matter knowledge in the content area or areas in which the teacher intends to teach; (B) be ranked among the highest-performing teacher preparation programs in the State as determined by the State; (C) the teacher training program requires all the students of the program to participate in intensive clinical experience that meets high academic standards in the case of secondary candidates to successfully complete an academic major in the subject area in which the candidate intends to teach; (D) competence through a high level of performance in relevant content area; (F) successful completion of an academic major in the arts and sciences in the case of elementary school candidates, or (G) competence through a high level of performance in core academic subjects areas. (Note: Entities that may constitute a partnership are specifically listed in the Law.)

Beneficiary Eligibility:

Benefiting are students and school communities in high-need schools and school districts.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible under Executive Order 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact their State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Peer review panels composed of representatives from public and private higher education, State and local educational agencies with expertise in teacher education are designated to review applications.

Deadlines:

The deadline date is published in the Federal Register.

Range of Approval/Disapproval Time:

Notification usually is made within four months of the deadline for submission of applicants.

Appeals:

None.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has statutory requirements as follows: State applicants are required to match 50 percent of the grant. Partnership applicants are required to match at 25 percent the first year of the grants, 35 percent in the second year of grant, and 50 percent in the third through fifth years of the grant.

Length and Time Phasing of Assistance:

Grants may be awarded for up to 3 years for State applicants and for up to five years for partnership applicants, subject to the availability of funds.

Reports:

A final performance report is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal Audit requirements for that year, except as noted in circular No. A-133.

Records:

Records supporting claims under a grant must be maintained for five years after

termination of the awards.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$59,896,960; FY 08 est \$33,662,460; and FY 09 est \$0.

Range and Average of Financial Assistance:

In FY 2008, the average continuation award: for partnership grants \$1,124,000, and for teacher recruitment grants \$800,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, approximately 58 awards were made -- 49 partnerships, and 9 teacher recruitment grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Office of Postsecondary Education, Teacher Quality Programs, 400 Maryland Avenue, S.W., Washington, DC 20202. Contact: Kathy Price. E-mail: kathy.price@ed.gov. Telephone: (202) 502-7878.

Web Site Address:

<http://www.ed.gov/programs/heatqp/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funds are used to carry out reforms in the way teachers are recruited and prepared with a view toward developing improved clinical experiences; adopting reforms in licensing and certification requirements; developing improved recruitment and hiring procedures for new teachers; and conducting professional development in the use of technology to improve education.

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for this information.

84.337 INTERNATIONAL EDUCATION-TECHNOLOGICAL INNOVATION AND COOPERATION FOR FOREIGN INFORMATION ACCESS

FEDERAL AGENCY:

OFFICE OF THE ASSISTANT SECRETARY FOR POSTSECONDARY EDUCATION, HIGHER EDUCATION PROGRAMS, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title VI, Part A, Section 606, as amended.

OBJECTIVES:

Grants are awarded to institutions of higher education, public or nonprofit private libraries, or consortia of such institutions or libraries, to develop innovative techniques or programs using new electronic technologies to collect, organize, and preserve, and widely disseminate information on world regions and countries other than the United States that address our Nation's teaching and research needs in international education and foreign languages.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Awards may be used to support projects that facilitate access to or preserve foreign information resources in print or electronic forms; develop new means of immediate, full-text document delivery for information and scholarship from abroad; develop new means of shared electronic access to international data; support collaborative projects of indexing, cataloging, and other means of bibliographic access for scholars to important research materials published or distributed outside the United States; develop methods for the wide dissemination of resources written in non-Roman language alphabets; assist teacher of less commonly taught languages in acquiring, via electronic and other means, materials suitable for classroom use; and promote collaborative technology based projects in foreign languages, area studies, and international studies among grant recipients under this title.

Applicant Eligibility:

Institutions of higher education, and public or nonprofit private libraries or consortia of such institutions or libraries.

Beneficiary Eligibility:

Institutions of higher education, and public or nonprofit private libraries or consortia of such institutions or libraries.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

In any fiscal year in which funds are available for new awards, the Department

of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Peer review panels composed of representatives from public and private higher education and libraries having expertise in the utilization of technology for accessing and disseminating information on world regions other than the United States that address one nation's teaching and research needs in international education and foreign languages.

Deadlines:

The deadline date is published in the Federal Register.

Range of Approval/Disapproval Time:

Notification usually is made within four months of the deadline for submission of applications.

Appeals:

None.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula to determine the amount of a grant. The grantee is required to contribute to the project of an amount from nonfederal sources equal to at least 33 1/3 percent the amount of the grants. The nonfederal contribution may be in cash or in-kind.

Length and Time Phasing of Assistance:

Grants may be made on a multi-year basis.

Reports:

A final performance report is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records supporting claims under a grant must be maintained for four years after termination of the award.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$1,700,000; FY 08 \$1,700,000; and FY 09 est \$1,700,000.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$100,000 to \$200,000; the average award is expected to be \$170,000.

PROGRAM ACCOMPLISHMENTS:

Ten continuation grants are expected to be awarded in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations. Contact the Program Office for information.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Office of Postsecondary Education, International Education Programs Service, 400 Maryland Avenue, S.W., Washington, DC 20202-5331. Contact: Susanna Easton. E-mail: susanna.easton@ed.gov. Telephone: (202) 502-7628.

Web Site Address:

<http://www.ed.gov/programs/iegpsticfia/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

(1) Meeting the purpose of the authorizing statute (20 points); (2) need for project (10 points); (3) significance (12 points); (4) quality of the project design (12 points); (5) quality of project personnel (8 points); (6) quality of project services (6 points); (7) adequacy of resources (12 points); (8) quality of the management plan (10 points); and (9) quality of the project evaluation (10 points).

84.343 ASSISTIVE TECHNOLOGY-STATE GRANTS FOR PROTECTION AND ADVOCACY

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Assistive Technology Act of 1998, Title I, Section 5, Public Law 105-394.

OBJECTIVES:

To support protection and advocacy services through the systems established to provide protection and advocacy services under the Developmental Disabilities Assistance and Bill of Rights Act for the purpose of assisting the acquisition, utilization, or maintenance of assistive technology or assistive technology services for individuals with disabilities.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds may be used to support protection and advocacy services related to assistive technology services and devices. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate that is referenced under 34 CFR 75-564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

Applicant Eligibility:

Designated protection and advocacy agencies in States and outlying areas only.

Beneficiary Eligibility:

Persons with disabilities who may benefit from assistive technology services and devices.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is covered under E.O. 12372, "Intergovernmental Review of Programs." An applicant should consult the office or official designated as point of contact in his or her State to be followed in applying for assistance has selected the program for review.

Application Procedure:

The standard application forms as furnished by the Office of Special Education and Rehabilitative Services, Rehabilitation Services Administration and required by OMB Circular No. A-102. Contact the Program Office for more information.

Award Procedure:

Applications are reviewed by Department of Education staff.

Deadlines:

Contact the program office for deadline information.

Range of Approval/Disapproval Time:

None.

Appeals:

The State may appeal to the Office of Administrative Law/Judges (34 CFR 81).

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Annual formula grants.

Reports:

Annual progress reports, expenditure reports, and quarterly cash reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As stated in grant or contract terms and conditions, the awardee shall mail accounts, records, and other evidence pertaining to all costs incurred, review other applicable credits acquired under this grant.

Account Identification:

91-0301-0-1-506.

Obligations:

(Grants) FY 07 \$4,341,000; and FY 08 est \$4,265,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

The range of awards was approximately \$50,000 - \$415,188 in FY 07.

PROGRAM ACCOMPLISHMENTS:

The Department made 56 grant awards in FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for this information.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, DC 20202-2500. Contact: Fred Isbister. E-mail Address: fred.isbister@ed.gov. Telephone: (202) 245-7385.

Web Site Address:

<http://www.ed.gov/programs/rsapair/awards.html>.

RELATED PROGRAMS:

84.240, Program of Protection and Advocacy of Individual Rights.

EXAMPLES OF FUNDED PROJECTS:

Projects support information, advocacy and representation, training, technical assistance, and general guidance for protection and advocacy entities to increase access to and provision of assistive technology devices and services. The

emphasis is on consumer advocacy and capacity building through protection and advocacy agencies in the States.

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for information

84.345 UNDERGROUND RAILROAD EDUCATIONAL AND CULTURAL PROGRAM

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Amendments of 1998; Title VIII, Part H, Public Law 105-244.

OBJECTIVES:

To provide grants to nonprofit education organizations that are established to research, display, interpret and collect artifacts relating to the history of the underground railroad.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

In accordance with eligibility requirements. Organizations receiving funds must: demonstrate substantial private support through a public-private partnership; create an endowment that provides for the operations of the facility; and establish a network of satellite centers throughout the United States to help disseminate information regarding the Underground Railroad.

Applicant Eligibility:

An application submitted for funding under this program must include: (1) The name, address, and web site address, if any, of the nonprofit educational institution seeking to participate, and the name, title, mailing and e-mail address, and telephone number of a contact person for the institution. (2) A description of the facility that will be used to house the artifacts related to the history of the Underground Railroad and to make the interpretive efforts available to institutions of higher education that award a baccalaureate or graduate degree. (3) A description of the substantial private support for the facility through the implementation of a public private partnership between a State or local public entity and a private entity. for the support of the facility as required in section 841 (b) (2) of the Higher Education Amendments of 1998. An agreement documenting the commitment of the private entity to provide matching funds for the support of the facility in an amount equal to 4 times the amount of the contribution of the State or local public entity. (4) An explanation of the proposed contribution of the State or local public entity and documentation showing that not more than 20 percent of the matching funds will be provided by the Federal government. (5) A description of how the endowment will be created to fund any and all shortfalls in the costs of the on-going operations of the facility and expected sources of these funds. (6) A statement as to whether the applicant institution intends to establish a network of satellite centers throughout the United States to help disseminate information regarding the underground railroad. If the applicants institution does intend to establish a network, documentation showing how the satellite centers will raise 80 percent of the funds required from nonfederal public and private sources. (7) A detailed description of how the applicant intends to electronically link the facility with other local and regional facilities that have collections and programs which interpret the history of the underground railroad, including a listing of the facilities the applicant intends to include.

Beneficiary Eligibility:

Nonprofit educational organizations that are established to research, display, interpret and collect artifacts relating to the history of the underground railroad.

Credentials/Documentation:

Nonprofit certification including a description of the purpose for which the organization was established.

Preapplication Coordination:

Contact the program office for application information. This program is eligible for coverage under E.O. 12372. "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

All applications should clearly designate a contact person, telephone number, and the e-mail address of the contact person. Applicants should submit the original application. Copies are not necessary. Applications must be postmarked on or before June 30.

Award Procedure:

None.

Deadlines:

Deadlines will be published in the Federal Register; contact the Program Office for more information.

Range of Approval/Disapproval Time:

One month.

Appeals:

None.

Renewals:

Dependent upon the availability of appropriations.

Formula and Matching Requirements:

An agreement documenting the commitment of the private entity to provide matching funds for the support of the facility in an amount equal to 4 times the amount of the contribution of the State or local public entity is required. An explanation of the proposed contribution of the State or local public entity and documentation showing that not more than 20 percent of the matching funds will be provided by the Federal government is also required.

Length and Time Phasing of Assistance:

One year.

Reports:

For each fiscal year for which the organization receives funding under this section, a report must be submitted to the Secretary of Education that contains a description of the programs and activities supported by the funding, the audited financial statement of the organization for the preceding fiscal year, and a plan for the programs and activities to be supported. The Secretary may require an evaluation of the programs and activities supported by the funding.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

91-0201-0-1-502.

Obligations:

(Grants) FY 07 \$1,980,000; FY 08 \$1,945,410; and FY 09 est \$1,945,410.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$500,000 to \$1,000,000; the average award is expected to be \$973,000.

PROGRAM ACCOMPLISHMENTS:

Two awards are expected to be made in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Office of Postsecondary Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Contact: Claire Cornell. E-mail: claire.cornell@ed.gov; Telephone: (202) 502-7609.

Web Site Address:

<http://www.ed.gov/programs/ugroundrr/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

National Underground Railroad Freedom Center (FC) (XE "NATIONAL UNDERGROUND RAILROAD FREEDOM CENTER"("f")("Cincinnati, Ohio) - The 2007 grant award will be used to further and support the FC's mission to reveal stories about the Underground Railroad and freedom's heroes, past and present, challenging and inspiring everyone to take courageous steps for freedom today. The grant will implement a robust schedule of special speakers, events, programs, community forums, and changing exhibits. FC exhibits consist of six permanent experiences and two changing galleries. Grant funds will also be used to manage the Center's highly-visited Web site, which serves an important role in itself to inform and educate our virtual visitors. Grant funds will also be used toward services specifically for FC's annual base of 50,000 visiting school children coming from cities all across the country. entitled "Revolution!" which continues the efforts of our landmark exhibitions "Slavery in New York" (2005) and "New York Divided: Slavery and the Civil War" (2006) to examine the "contagion of freedom" that buttressed the Underground Railroad. The project shows how revolutions in America, France, and Haiti uniquely defined notions of freedom, equality, and human rights, and in fact played a key role in the abolition of slavery in the United States by serving as a foundation for the rhetoric and activism of abolitionists such as Frederick Douglass, William Lloyd Garrison, David Walker, David Ruggles, Henry Highland Garnet, James McCune Smith, and many other key figures in the Underground Railroad. A comprehensive exhibition Web site with links to likeminded institutions, as well as a traveling version of the show, will extend the life of the exhibition and reach an enormous and diverse local, national, and international audience.

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for information.

84.349 EARLY CHILDHOOD EDUCATOR PROFESSIONAL DEVELOPMENT

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title II, Part A, Subpart 5, section 2151 (e).

OBJECTIVES:

To promote school readiness and improved learning outcomes of young children by providing high-quality professional development programs to improve the knowledge and skills of early childhood educators and caregivers who work in early childhood programs located in high-poverty communities and who serve primarily children from low-income families. This professional development must primarily provide training that will improve early childhood pedagogy and will further children's language and literacy skills to prevent them from encountering reading difficulties when they enter school.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Successful applicants must use these funds to carry out the activities described in their approved applications. Grant recipients, must, for example, use funds for reasonable costs needed to provide high-quality, research-based professional development opportunities for early childhood educators working in urban and rural communities with high concentrations of young children living in poverty, enrolled in programs such as: Title I preschools, Head Start, Even Start Family Literacy programs, and publicly funded or subsidized child care. The indirect cost rate is limited to the maximum of eight percent or the amount permitted by its negotiated indirect cost rate agreement, whichever is less.

Applicant Eligibility:

Institutions of higher education, State agencies for higher education, local educational agencies, State educational agencies, and other public and private agencies, organizations, and institutions such as child care consortiums and Head Start programs. Specifically, partnerships of: (a) a professional development provider; (b) public agencies, Head Start agencies, or private organizations; and (c), if feasible, an entity with experience in training early childhood educators about identifying and preventing behavioral problems or with experience in working with children who are victims of abuse.

Beneficiary Eligibility:

Early childhood educators who work in early childhood programs located in urban or rural high-poverty communities and who primarily serve children from low-income families.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications must be prepared and submitted in accordance with the notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education, Application Control Center, a complete application that addresses the purposes of the program, the selection criteria, the absolute priority included in the application notice, and includes all required assurances and signatures. Required assurances and certifications include: Group Application Certification (if applicable); Assurances for Non-Construction Programs (SF 422B); Certification regarding Lobbying, Debarment; Suspension and Other Responsibility Matter, and Drug-Free Workplace Requirements (ED Form 80-0013); Disclosure of Lobbying Activities (SF LLL) (if applicable); Response to Notice to All Applicants (Section 427, GEPA). Applications should also include a Cover Page (SF424); the required Budget Form (ED Form 524); an itemized budget and other budget information; proof of eligibility; a project abstract; and a program narrative that includes responses to selection criteria. Applicants are encouraged to submit one original and two copies of their application.

Award Procedure:

The Department of Education notifies applicants of award results. Contact the Program Office for more information.

Deadlines:

Deadlines will be published in the Federal Register. You may also contact the Program Office for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

None.

Renewals:

Applicants may apply for a project period of up to four years. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Projects are funded for a period of up to four years. Renewals are subject to the availability of appropriations.

Reports:

Progress reports must be submitted at the end of each budget period in order to receive continuation awards. Performance reports must be submitted at the end of the grant period.

Audits:

In accordance with the Education Department General Administrative Regulations (EDGAR) in 34 CFR 80, State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to EDGAR, Part 80.

Records:

In accordance with the General Education Provisions Act (GEPA) and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for three years.

Account Identification:

91-1000-0-1-501.

Obligations:

(Grants) FY 07 \$14,549,000; FY 08 \$0; and FY 09 est \$0.

Range and Average of Financial Assistance:

The average award was \$3,366,746.

PROGRAM ACCOMPLISHMENTS:

4 awards were made in 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OESE Early Childhood Educator Professional Development 400 Maryland Ave., S.W., Washington, DC 20202-6132. Contact: Rosemary Fennell, E-mail: ecprofdev@ed.gov. Telephone: (202) 260-0792.

Web Site Address:

<http://www.ed.gov/programs/eceducator/index.html>.

RELATED PROGRAMS:

84.010, Title I Grants to Local Educational Agencies; 84.359, Early Reading First; 84.213, Even Start, State Educational Agencies; 84.281, Eisenhower Professional Development State Grants.

EXAMPLES OF FUNDED PROJECTS:

These professional development programs are partnerships between Institutions of Higher Education and providers of early childhood education that provide research-based training that will improve early childhood pedagogy and will further children's language and literacy skills to prevent them from encountering reading difficulties when they enter school.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications.

84.350 TRANSITION TO TEACHING

FEDERAL AGENCY:

OFFICE OF INNOVATION AND IMPROVEMENT, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act; Title II, Part C, Subpart 1, Chapter B.

OBJECTIVES:

To recruit and retrain highly qualified midcareer professionals (including highly qualified paraprofessionals) and recent graduates of institutions of higher education, as teachers in high-need schools, including recruiting teachers through alternative routes to teacher certification; and to encourage the development and expansion of alternative routes to certification under state-approved programs that enable individuals to be eligible for teacher certification within a reduced period of time, relying on the experience, expertise, and academic qualifications of an individual or other factors in lieu of traditional course work in the field of education.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To develop a program to recruit, select, screen, train and place individuals (mid-career individuals and qualified recent college graduates) to become licensed and successful teachers in high-need areas to help reduce the teacher shortage in local educational agencies. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies; high-need local educational agencies; for profit and

nonprofit organizations with proven records of effectively recruiting and retaining highly qualified teachers, in partnerships with high-need local educational agencies or State educational agencies; institutions of higher education, in partnerships with high-need local educational agencies or State educational agencies; regional consortia of State educational agencies; and consortia of high-need local educational agencies.

Beneficiary Eligibility:

Mid-career professionals from various fields who possess strong subject-matter skills to become teachers; recent college graduates with baccalaureate degrees in the academic subjects that the individuals will teach; LEA's with critical teacher shortages, particularly in the fields of mathematics, science, foreign languages, bilingual education, reading, and special education.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicant must submit the following by the designated deadline: (1) The applicant must provide a written narrative which addresses the selection criteria (and the required application content) that reviewers use in evaluating their applications. This Section must be limited to no more than 50 double-spaced pages. (2) Required forms to be submitted with the application include SF-424; Sections A, B, and C of ED Form 524; Assurance - Non Construction Programs; Certifications Regarding Lobbying, Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Disclosure of Lobbying Activity; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions.

Award Procedure:

Applications are reviewed by the agency for eligibility and completeness. Applications are reviewed and scored by a panel of experts. Applications are ranked according to score by funding category; i.e., national, State, or local applicant. A funding slate is prepared and the highest funded applications are recommended for funding. Successful and unsuccessful applicants are notified of outcome. Grant awards are prepared and mailed to successful grantees.

Deadlines:

Deadlines will be published in the Federal Register. Contact the program office for more information.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Part 86 - Subpart E of the Education Department General Administrative Regulations (EDGAR) outlines procedures governing appeals of decisions by a designated Department official to demand the repayment of Federal financial assistance or terminate the eligibility of an institution of higher education, State educational agency or local educational agency.

Renewals:

Applicants may apply for a project period of up to 3 years. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Projects may be funded for a period of 36 months. Renewals are subject to the availability of appropriations.

Reports:

Performance reports are due 90 days after expiration or termination of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain financial records must be maintained for a period of three years.

Account Identification:

91-0204-0-1-501.

Obligations:

(Grants) FY 07 \$44,484,000; FY 08 \$43,707,000; and FY 09 est \$43,707.

Range and Average of Financial Assistance:

In FY 2008, the range of awards is expected to be \$98,852 to \$3,074,773; the average award is expected to be \$426,324.

PROGRAM ACCOMPLISHMENTS:

Approximately 102 continuation awards are expected in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations and guidelines were published in the Federal Register in April 2001. Contact the Program Office for more information.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OII Teacher Quality Programs 400 Maryland Ave., S.W., Washington, DC 20202-6100; Contact: Thelma Leenhouts, E-mail Address thelma.leenhouts@ed.gov; Telephone (202) 260-0223.

Web Site Address:

<http://www.ed.gov/programs/transitionteach/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Florida Department of Education has received grants under this program in 2002 and 2006, to recruit, train, and place mid-career professionals, including highly-qualified paraprofessionals, and recent college graduates in teaching positions through partnerships with several high-need school districts. The 2002 cohort has placed 487 out of 679 participants, and the 2006 cohort has placed all of its 37 participants, as teachers at record in high-need schools in high-need districts.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are contained in the Education Department General Administrative Regulations (EDGAR) 34 CFR 75.210. Contact the program office for more information.

84.351 ARTS IN EDUCATION

FEDERAL AGENCY:

OFFICE OF INNOVATION AND IMPROVEMENT, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Title V, Part D, Subpart 15.

OBJECTIVES:

To provide competitive grants that support the integration of the arts into the elementary and secondary school curriculum, with particular focus on improving the academic achievement of low-income students.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Grants are awarded on a competitive basis to support the following types of activities: (1)research on arts education; (2)development and dissemination of model school-based arts education programs; (3)development of model State arts education assessments based on State academic achievement standards; (4)development of curriculum frameworks in the arts; (5)development of model professional development programs in the arts for teachers and administrators; (6)support collaborative activities with Federal agencies or institutions involved in arts education, arts educators, and organizations representing the arts; and (7)support of model programs to integrate arts education into the regular elementary and secondary school curriculum. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

State educational agencies; local educational agencies; institutions of higher education; museums and other cultural institutions; and any other public or private agencies, institutions, or organizations.

Beneficiary Eligibility:

Arts educators and administrators, preservice and inservice programs for teachers.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the official or official designated as the single point of contact in his/her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The applicant must submit the following by the designated deadline: Written narrative addressing the selection criteria and application content; readers read and evaluate how well an applicant has addressed the criteria; all required forms: SF 424; A,B,C,D of Form 525; Assurances Non-Construction programs; Certification Regarding Lobbying, Debarment and Suspension; Drug-Free Workplace Requirements; Disclosure of Lobbying Activity; Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion - Lower Tiered Covered Transactions.

Award Procedure:

Applications are reviewed by the agency for eligibility and completeness;

applications are reviewed and scored by a panel of experts; and applications are ranked according to raw scores. A funding slate is prepared and the highest ranked applications are recommended for funding. Successful and unsuccessful applicants are notified of the outcome, and grant awards are prepared and mailed to successful grantees.

Deadlines:

Deadlines are published in the Federal Register; contact the Program Office for more information.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 10 120 days following the application deadline.

Appeals:

Part 86, Subpart E, of the Education Department General Administrative Regulations (EDGAR) outlines procedures governing appeals of decisions by a designated Department official to demand the repayment of Federal financial assistance or terminate the eligibility of an Institution of Higher Education, State educational agency or local educational agency.

Renewals:

Applicants may apply for a project period of up to three years. Continuation awards are subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grants under Subpart A may be awarded for up to 36 months; grants under Subpart B may be awarded for up to 60 months. Continuation awards are subject to the availability of funds and substantial progress by the grantee.

Reports:

Performance Reports are due within six to ten months of the initial award date; these reports are used to determine whether the grantee has made substantial progress toward meeting the project objectives and should or should not receive continuation funding.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised June 27, 2003), "Audits of States, Local Governments, and Non-profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain financial records must be maintained for a period of three years.

Account Identification:

91-0204-0-1-501.

Obligations:

(Grants) FY 07 \$35,277,000; FY 08 est \$37,533,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

Range of new awards: \$100,000 to \$350,000.

PROGRAM ACCOMPLISHMENTS:

Approximately 85 new and continuation awards were made in FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of Education General Administrative Regulations (EDGAR). Contact the Program Office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Office of Elementary and Secondary Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Contact: (Model Development and Dissemination Grants)-Diane Austin, E-mail: diane.austin@ed.gov. Telephone (202)260-1280; (Professional Development for Arts Educators Grants)-Isadora Binder, E-mail: isadora.binder@ed.gov. Telephone: (202) 260-3778.

Web Site Address:

<http://www.ed.gov/programs/artsed/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Model Development and Dissemination Grants Program, supports the development, documentation, evaluation and dissemination of innovative models that seek to integrate and strengthen arts instruction in elementary and middle schools and improve students' academic in the arts. The Professional Development for Arts Educators grants achievement, support the development of model professional development programs for music, dance, drama, and visual arts educators.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in the Education Department General Administrative Regulations (EDGAR) 34 CFR 75.210.

84.354 CREDIT ENHANCEMENT FOR CHARTER SCHOOL FACILITIES

FEDERAL AGENCY:

OFFICE OF INNOVATION AND IMPROVEMENT, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title V, Part B, Subpart 2.

OBJECTIVES:

To provide grants to eligible entities to leverage funds through credit enhancement initiatives in order to assist charter schools in using private-sector capital to acquire, construct, renovate, or lease academic facilities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Grant recipients shall deposit the funds received under this program (other than funds used for administrative costs) in a reserve account established and maintained by the entity for this purpose. Amounts deposited in such an account shall be used by the entity to help charter schools access private-sector capital for acquiring, renovating, or constructing facilities. Reserve accounts must be used for one or more of the following purposes: (1) Guaranteeing, insuring, and reinsuring bonds, notes, evidences of debt, loans, and interests therein; (2) Guaranteeing and insuring leases of personal and real property; (3) Facilitating financing by identifying potential lending sources and encouraging private lending and other similar activities that directly promote lending to, or are conducted for the benefit of, charter schools; and (4) Facilitating the issuance of bonds by charter schools or by public entities for the benefit of charter schools. This may be accomplished by providing technical, administrative, and other appropriate assistance, including the recruitment of bond counsel, underwriters, and potential investors and the consolidation of multiple charter school projects within a single bond issue.

Applicant Eligibility:

A public entity, such as a State or local government entity, a private nonprofit entity, or a consortium of such entities may apply.

Beneficiary Eligibility:

Public charter schools as defined in Section 10310, ESEA.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, and any priorities included in the application notice, and includes all required assurances and signatures. "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

The Department of Education awards these grants on a competitive basis. The program office notifies successful applicants of awards and negotiates and awards grants. Contact the program office for more information.

Deadlines:

Contact the program office for application deadlines and information.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

The General Education Provisions Act hearing procedures regarding recovery of funds are applicable to this program.

Renewals:

Continuation awards are subject to the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

FY 2008, funds under this program are available for the Department of Education to obligate by September 30, 2008. Once these funds are obligated, they must be expended from the Federal account by September 30, 2013. In addition, two years after the grantee's receipt of grant funds, the Secretary may collect any or all funds in a reserve account if the grantee has not made substantial progress or is no longer using the funds for their specified purpose.

Reports:

Each eligible entity receiving a grant under this program annually shall submit to

the Secretary a report of its operations and activities. Each such annual report shall include-- (1) a copy of the most recent financial statements, and any accompanying opinion on such statements, prepared by the independent public accountant reviewing the financial records of the eligible entity; (2) a copy of any report made on an audit of the financial records of the eligible entity that was conducted during the reporting period; (3) an evaluation by the eligible entity of the effectiveness of its use of the Federal funds in leveraging private funds; (4) a listing and description of the charter schools served during the reporting period; (5) a description of the activities carried out by the eligible entity to assist charter schools; and (6) a description of the characteristics of lenders and other financial institutions participating in the activities undertaken by the eligible entity during the reporting period.

Audits:

The financial records of each eligible entity receiving a grant under this subpart shall be maintained in accordance with generally accepted accounting principles and shall be subject to an annual audit by an independent public accountant.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for three years after the end of the grant.

Account Identification:

91-0204-0-1-501.

Obligations:

(Grants) FY 07 \$36,611,000; FY 08 est \$0; and FY 09 est \$36,611,000. The Department will use \$8,300,000 from the FY 2008 appropriation for Charter School grants for the Credit Enhancement for Charter School Facilities.

Range and Average of Financial Assistance:

Estimated award: \$8,300,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2008, one new award was made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OII Parental Options and Information, 400 Maryland Ave., S.W., Rm. 3C140, FB-6 Washington, DC 20202-6140. Contact Jim Houser, E-mail: jim.houser@ed.gov. Telephone: (202) 401-0307.

Web Site Address:

<http://www.ed.gov/programs/charterfacilities/index.html>

RELATED PROGRAMS:

84.282, Charter Schools.

EXAMPLES OF FUNDED PROJECTS:

With a focus on leveraging funds for charter school facilities, projects guarantee and insure debt to finance charter school facilities; guarantee and insure leases for property; facilitate charter schools facilities financing by identifying potential lending services; and establish temporary charter school facilities that new charter schools can use until they are able to acquire their own facility.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. For additional information contact the program office.

84.356 ALASKA NATIVE EDUCATIONAL PROGRAMS

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Title VII, Part C.

OBJECTIVES:

To support projects that recognize and address the unique educational needs of Alaska Native students and to support supplemental education programs to benefit Alaska Natives.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Allowable activities include, but are not limited to: the development of curricula and education programs that address the educational needs of Alaska Native students; the development and operation of student enrichment programs in science and mathematics; professional development activities for educators; activities carried out through Even Start programs and Head Start programs; family literacy services; and dropout prevention programs. An eligible entity may use not more than 10 percent of the funds received under this subpart for the administrative costs of carrying out its responsibilities under this Subpart.

Applicant Eligibility:

Alaska Native organizations, education entities with experience in developing or operating Alaska Native programs or programs of instruction conducted in Alaska Native languages, cultural and community-based organizations with

experience in developing or operating programs to benefit Alaska Natives, and consortia of organizations may apply. A State educational agency (SEA) or local educational agency (LEA) may apply as part of a consortium involving an Alaska Native organization. The consortia may include other eligible applicants.

Beneficiary Eligibility:

Alaska Natives as defined in Public Law 103-382.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, and any priorities included in the application notice, and includes all required assurances and signatures.

Award Procedure:

The Department of Education awards these grants on a competitive basis. The Program Office notifies successful applicants of awards and negotiates and awards grants. Contact the Program Office for more information.

Deadlines:

Contact the Program Office for application deadlines and information.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

The General Education Provisions Act hearing procedures regarding recovery of funds are applicable to this program.

Renewals:

Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Funds under this program are available for the Department of Education to obligate by September 30, of the fiscal year for which they are appropriated. Once these funds are obligated, they must be expended from the Federal account by September 30, of the subsequent fiscal year. In addition, two years after the grantee's receipt of grant funds, the Secretary may collect any or all funds in a reserve account if the grantee has not made substantial progress or is no longer using the funds for their specified purpose. Continuation awards are subject to the availability of appropriations.

Reports:

Each eligible entity receiving a grant under this program annually shall submit to the Secretary a report of its operations and activities. Each annual report shall include: (1) An executive summary; (2) a project status; (3) budget information; and (4) supplemental information.

Audits:

In accordance with the provisions of OMB Circular No A-133 (Revised June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years after the end of the grant.

Account Identification:

91-1000-0-1-501.

Obligations:

(Grants) FY 07 \$33,907,000; FY 08 est \$33,315,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

Range of new awards: \$105,000 - \$1,052,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, 14 new awards and 38 continuation awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

U.S. Department of Education, OESE School Improvement Programs 400 Maryland Ave., S.W., Washington, DC 20202-6140. Contact: Alexis Fisher. Telephone: (202) 401-0281. E-mail: alexis.fisher@ed.gov.

Web Site Address:

<http://www.ed.gov/programs/alaskanative/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Early childhood and family programs emphasizing school readiness; enrichment programs in reading, math, and science; native language and cultural education instruction; and school-to-work transition programs.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. Contact the program office for additional information.

84.357 READING FIRST STATE GRANTS

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part B, Subpart 1.

OBJECTIVES:

To ensure that every student can read at grade level or above by the end of third grade. The Reading First program provides assistance to States and districts in establishing reading programs for students in kindergarten through third grade that are based on scientifically based reading research. Reading First also focuses on teacher development and ensuring that all teachers, including special education teachers, have the tools they need to effectively help their students learn to read. The program provides assistance to States and districts in preparing teachers to identify specific reading barriers facing their students.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Each State educational agency (SEA) receiving a grant shall use the funds to establish reading programs that are based on scientifically based reading research. This program is subject to non-supplanting requirements and grantees must use a restricted indirect cost rate that is referenced under 34 CFR 76-564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at 202-708-7770.

Applicant Eligibility:

State Education Agencies from the 50 States, Puerto Rico, the District of Columbia, the DOI Bureau of Indian Education, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

Kindergarten through third-grade students, their teachers, and their parents are benefit from this program.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

In their Reading First State grant applications, States describe their plans to provide competitive subgrants to targeted schools and districts and to use significant State leadership funds in order to improve reading instruction and student achievement. Applications must be prepared and submitted in accordance with the notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education, Office of Elementary and Secondary Education, a complete application that addresses the purposes of the program and includes all required assurances and signatures. Required assurances and certifications include: Assurances for Non-Construction Programs (SF-422B); Certification regarding Lobbying, Debarment; Suspension and Other Responsibility Matter, and Drug-Free Workplace Requirements (ED Form 80-0013); Disclosure of Lobbying Activities (SF LLL) (if applicable); Response to Notice to All Applicants (Section 427, GEPA), and Section 9306 of the Elementary and Secondary Education Act (Other General Assurances). Applications should also include a Cover Page (SF-424); the required Budget Form (ED Form 524); an itemized budget and other budget information; proof of eligibility; a project abstract; and a program narrative that includes responses to selection criteria. Applicants are encouraged to submit (1) one original and (2) copies of their application.

Award Procedure:

Grant applications are reviewed by outside, expert panels. The expert panels recommend to the Secretary of Education those applications that meet the standards of the review criteria. Based on the panels' recommendations, the Department makes the grant awards. The Department of Education will notify successful applicants of their awards. Contact the Program Office for more information.

Deadlines:

Deadlines are published in the Federal Register.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Continuation funding depends upon future program appropriations and demonstrated substantial progress toward meeting the grant's goals and objectives.

Formula and Matching Requirements:

The Department awards grants through a formula based on the State's share of children aged 5-17 whose families have incomes below the poverty line, after first reserving 1/2 of 1 percent for the Outlying Areas and 1/2 of 1 percent for Bureau of Indian Education schools.

Length and Time Phasing of Assistance:

A Reading First grant to a State educational agency is awarded for up to 6 years, subject to sufficient appropriations and submission and review of progress reports.

Reports:

Grantees must submit performance reports annually. The program office establishes a due date. Final performance reports must be submitted within 90 days after the end of the grant award period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expand financial assistance of \$500,000 or more in Federal awards will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years after the fiscal year in which the expenditure was made by the grantee, or until the grantee is notified of the completion of the Federal fiscal audit. In all cases of audit questions, records must be maintained until resolution has occurred.

Account Identification:

91-0900-0-1-501.

Obligations:

(Grants) FY 07 \$1,029,234,000; FY 08 est \$393,012,000; and FY 09 est \$1,000,000,000.

Range and Average of Financial Assistance:

For FY 2008, the estimated range of awards to SEAs is \$935,641 to \$48,953,409 with an average award of \$7,197,242.

PROGRAM ACCOMPLISHMENTS:

Grants support Reading First programs in all 50 States, the District of Columbia, Puerto Rico, Bureau of Indian Education schools, and the outlying areas.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 76, 77, 79, 80, 82, 85, 97, 98, 99.

Regional or Local Office:

None.

Headquarters Office:

Reading First Program, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-6100. Contact: Joseph Conaty. E-mail: Joseph.conaty@ed.gov. Telephone: (202) 401-0113.

Web Site Address:

<http://www.ed.gov/programs/readingfirst/index.html>.

RELATED PROGRAMS:

84.359, Early Reading First.

EXAMPLES OF FUNDED PROJECTS:

Funds support increased professional development to ensure that all teachers have the skills they need to teach reading effectively. The program also supports the use of screening and diagnostic tools and classroom-based instructional reading assessments to measure how well students are reading and to monitor their progress.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in the program guidelines. Contact the Program Office for more information.

84.358 RURAL EDUCATION**FEDERAL AGENCY:**

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act, Title VI, Part B.

OBJECTIVES:

To provide financial assistance to rural districts to carry out activities to help improve the quality of teaching and learning in their schools.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Grantees under the Small, Rural School Achievement Program component may use program funds to carry out activities authorized under: Title I-Part A (Grants to LEAs); Title II-Part A (Improving Teacher Quality State Grants); Title II-Part D (Educational Technology State Grants); Title III (Language Instruction for Limited English Proficient and Immigrant Students); Title IV-Part A (Safe and Drug-Free Schools and Communities State Grants); Title IV-Part B (21st Century Community Learning Centers); and Title V-Part A (State Grants for Innovative Programs). Grantees under the Rural and Low-Income School Program component may use program funds for: teacher recruitment and retention; teacher professional development; educational technology; parental involvement activities; activities authorized under ESEA Title IV-Part A (Safe and Drug-Free Schools and Communities); activities authorized under ESEA Title I-Part A (Grants to LEAs); and activities authorized under ESEA Title III (Language Instruction for Limited English Proficient and Immigrant Students). This program is subject to non-supplanting requirements and must use a restricted indirect cost rate that is referenced under 34 CFR 75-564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

For the Small, Rural School Achievement Program eligible recipients are local educational agencies (LEAs) in which (1) the total number of students in average daily attendance at all of the schools served by the LEA is less than 600 or where each school in the LEA is located in a county with a total population density of less than 10 persons per square mile; and (2) all of the schools served by the LEA are designated as rural under the U.S. Department of Education's National Center for Education Statistics (NCES) using the NCES school locale methodology in place at the time of NCLB's enactment or the LEA is located in an area of the State defined as a rural by a State governmental agency. For the Rural and Low-Income School Program eligible recipients are State educational agencies. States then must distribute funds to local educational agencies (LEAs) through a formula or competitive basis. An LEA is eligible to receive a grant under this program only if (1) it is not eligible for funds under the Small, Rural School Achievement Program; (2) at least 20% of the school children ages 5-17 in the LEA come from families with incomes below the poverty line; and (3) all of the schools served by the LEA are designated with a School Locale Code of 6, 7 or 8 under the Department of Education's National Center for Education Statistics (NCES) locator system using the NCES school locale methodology in place at the time of NCLB's enactment. If an SEA chooses not to participate in the program, the Secretary uses the State's allocation to make direct grants to eligible LEAs in the State.

Beneficiary Eligibility:

Elementary and secondary schools, students, and teachers in rural schools will benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

For the Small, Rural School Achievement Program component, the Department considers the application requirement to be met though, if the LEA submitted an application in any prior year and has drawn down payments LEAs annually submit applications to the Department of Education. The Department considers the application requirement to be met through, if the LEA submitted an application in any prior year and has drawn down payments from the Department's Grant Administration and payment system in subsequent years. Application forms are available from the headquarters office. For the Program component, an SEA must submit an application that includes specific, measurable goals and objectives for the activities to be carried out through the grant. If an SEA does not participate in the program, eligible LEAs in the State may submit an application directly to the Department.

Award Procedure:

For the Small, Rural School Achievement Program component, awards are issued annually directly to eligible LEAs on a formula basis. Awards are made to all that apply and meet the applicable requirements of the Act. Contact the program office for more information. For the Rural and Low-Income School Program component, awards are issued annually to SEAs, which make subgrants to LEAs. Awards are made to all SEAs that apply and meet the applicable requirements of the Act. If an SEA does not participate, awards are issued to eligible LEAs in the State either competitively or by formula.

Deadlines:

Application submissions deadlines are established annually and published in the Federal Register.

Range of Approval/Disapproval Time:

120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

For the Small, Rural School Achievement Program component, LEA allocations are based on a statutory formula which weighs ADA numbers and the LEA's prior year's allocations under ESEA Title II-subpart 2, Title II-section 2412(a)(2)(A), Title IV- section 4114, and Title V-Part A. Contact the program office for more specific information. For the Rural and Low-Income School Program component, SEA allocations are based on the ADA numbers of eligible LEA recipients. SEAs may make subgrants through formula or by competition.

Length and Time Phasing of Assistance:

Grants are awarded for one year.

Reports:

Annual financial and performance reports are due within 90 days of the termination of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained three years after the completion of the activity for which grant funds were used.

Account Identification:

91-1000-0-1-501.

Obligations:

(Grants) FY 07 \$168,918,000; FY 08 est \$171,854,000; and FY 09 est \$171,854.

Range and Average of Financial Assistance:

For FY 2008, the range of awards for the Small Rural School Achievement program is expected to be \$38 to \$60,000; the average award is expected to be \$20,740. The range of awards for the Rural and Low Income School program is expected to be \$21,436 to \$7,642,838; the average is expected to be \$68,907.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, approximately 4,080 LEAs are expected to receive Small, rural school achievement grants and 1,247 LEAs are expected to receive Rural and low-income schools grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 76, 77, 79, 80, 81, 82, 85, 97, 98 and 99.

Regional or Local Office:

None.

Headquarters Office:

Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Contact: Eric Schulz, E-mail: reap@ed.gov. Telephone: (202) 260-7349.

Web Site Address:

<http://www.ed.gov/programs/reapsrsa/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Rural districts receive grants, either directly or through State educational agencies, to assist them in meeting their State's definition of adequate yearly progress.

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for information.

84.359 EARLY READING FIRST

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 as amended, Title I, Part B, Subpart 2.

OBJECTIVES:

To support local efforts to enhance the early language, literacy, and prereading development of preschool age children, particularly those from low-income families, through instructional strategies and professional development based on scientifically based reading research. Early Reading First programs must have a high-quality oral language and print-rich environment, and must monitor children's progress toward language, literacy, and cognitive goals using screening reading assessments and other appropriate measures.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Program funds support projects designed to help early childhood centers improve their programs by creating centers of excellence that provide preschool-age children with language and cognitive skills, and an early reading foundation. Funds must be used to: enhance children's language, cognitive, and early reading skills through professional development for teachers; provide early language and reading development and instructional materials as developed from

scientifically based reading research; provide preschool-age children with cognitive learning opportunities in high-quality language and literature-rich environments; use screening assessments to effectively identify preschool children who may be at risk for reading failure; and improve existing early childhood programs by integrating scientifically based reading research into all aspects of the program (including instructional materials, teaching strategies, curricula, parent engagement, and professional development).

Applicant Eligibility:

The following are eligible to apply for an Early Reading First grant: (1) One or more local educational agencies that are eligible to receive a Reading First State grant subgrant; (2) One or more public or private organizations of agencies, acting on behalf of one or more programs that serve preschool age children (such as a Head Start program, a child care program, an Even Start Family literacy program, a lab school at a university), located in a community that is eligible for a Reading First grant; (3) One or more local educational agencies described in (1), in collaboration with one or more organizations or agencies described in (2).

Beneficiary Eligibility:

Early childhood staff and children in early childhood programs will benefit from this program.

Credentials/Documentation:

None.

Preapplication Coordination:

Eligible applicants who wish to receive an Early Reading First grant must submit a pre-application of no more than ten pages which addresses four criteria: the program's vision, program plan, continuity of services, and how they will measure the program's success. The pre-application must also include an estimated budget. Further information on preparing the pre-application can be found in the Federal Register and the Application package. Only those submissions rated highly in this competitive peer review process and deemed to have the potential to become successful projects will be invited to submit full Early Reading First applications. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Those applicants who are invited to submit a full application must prepare and submit them in accordance with the notice published in the Federal Register. By the due date, eligible applicants must submit to the U.S. Department of Education, Application Control Center, a complete application that addresses the purposes of the program, the selection criteria, the competitive priority included in the application notice, and includes all required assurances and signatures. Required assurances and certifications include: Assurances for Non-Construction Programs (SF 422B); Certification regarding Lobbying, Debarment; Suspension and Other Responsibility Matter, and Drug-Free Workplace Requirements (ED Form 80-0013); Disclosure of Lobbying Activities (SF LLL) (if applicable); Response to Notice to All Applicants (Section 427, GEPA). Applications should also include a Cover Page (SF-424); the required Budget Form (ED Form 524); an itemized budget and other budget information; proof of eligibility; a project abstract; and a program narrative that includes responses to selection criteria. Applicants are encouraged to submit (1) one original and (2) copies of their application.

Award Procedure:

The Department of Education notifies successful applicants of awards. Actual negotiations and awarding of grants is done by the Department's Grants and Contracts Service, in cooperation with the program staff. Contact the program office for more information.

Deadlines:

Deadlines will be published in the Federal Register. Contact the program office for application deadlines and information.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the full application deadline.

Appeals:

None.

Renewals:

Applicants may apply for a project period of up to six years. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Projects are funded for a period of up to six years. Renewals are subject to the availability of appropriations.

Reports:

Progress reports must be submitted at the end of each budget period in order to receive continuation awards. Performance reports must be submitted at the end of the grant period.

Audits:

In accordance with the Education Department General Administrative

Regulations (EDGAR) in 34 CFR 80. State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to EDGAR, Part 80.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Grants) FY 07 \$117,666,280; FY 08 \$112,548,812; and FY 09 est \$112,549,000.

Range and Average of Financial Assistance:

The average award was \$3,593,000.

PROGRAM ACCOMPLISHMENTS:

Approximately 17,881 children and 2,283 teachers were served by this program in 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OESE Early Reading First Program 400 Maryland Ave., S.W., Washington, DC 20202 Contact: Rebecca Marek, E-mail: rebecca.marek@ed.gov. Telephone: (202) 260-0968 or Pilla Parker, E-mail: pilla.parker@ed.gov. Telephone (202) 260-3710.

Web Site Address:

<http://www.ed.gov/programs/earlyreading/index.html>.

RELATED PROGRAMS:

84.349, Early Childhood Educator Professional Development; 84.213, Even Start State Educational Agencies; 84.357, Reading First State Grants.

EXAMPLES OF FUNDED PROJECTS:

Grants are designed to help early childhood centers improve their programs by creating centers of excellence that provide young children with language and cognitive skills, and an early reading foundation.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria will be included in the full application package and published in the Federal Register notice. For further information, contact the program office.

84.360 SCHOOL DROPOUT PREVENTION PROGRAM

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended; Title I, Part H.

OBJECTIVES:

To support effective, sustainable and coordinated dropout prevention and reentry programs in high schools with annual dropout rates that exceed their state average annual dropout rate. Middle schools that have students who continue on to these high schools are also supported.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Grant recipients must implement proven strategies for reducing the number of students who drop out before completing secondary school and for assisting youth to reenter school after they have dropped out. These strategies may include: (1) identify students at risk of dropping out; (2) providing at-risk students with services designed to prevent them from dropping out; (3) identifying and encouraging youth who already have dropped out to reenter school; and (4) implementing other comprehensive approach. Specifically authorized activities include: professional development; reduction in pupil-teacher ratios; counseling and mentoring for at-risk students; and implementing comprehensive school reform models.

Applicant Eligibility:

State educational agencies (SEAs) and local educational agencies (LEAs) serving communities with dropout rates above the State's average annual dropout rate are eligible to apply for funding.

Beneficiary Eligibility:

School administrators, teachers, students, and parents are beneficiaries.

Credentials/Documentation:

Information to support annual dropout rate calculations.

Preapplication Coordination:

None. This program is eligible for coverage under E.O. 12372. "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying

for assistance, if the State has selected the program for review.

Application Procedure:

Applications must be prepared and submitted in accordance with the notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education, Application Control Center, a complete application that addresses the purposes of the program, the selection criteria, and includes all required assurances and signatures. Required assurances and certifications include: Group Application Certification (if applicable); Assurances for Non-Construction Programs (SF 422B); Certification regarding Lobbying, Debarment; Suspension and Other Responsibility Matter, and Drug-Free Workplace Requirements (ED Form 80- 0013); Disclosure of Lobbying Activities (SF LLL) (if applicable); Response to Notice to All Applicants (Section 427, GEPA). Applications should also include a Cover Page (SF424); the required Budget Form (ED Form 524); an itemized budget and other budget information; proof of eligibility; a project abstract; and a program narrative that includes responses to the selection criteria. Applicants are encouraged to submit (1) one original and (2) copies of their application.

Award Procedure:

The Department of Education notifies successful applicants of awards. Actual negotiations and awarding of grants is done by the Department's Grants and Contracts Service, in cooperation with the program staff. Contact the program office for more information.

Deadlines:

Deadlines are published in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 8-12 weeks.

Appeals:

None.

Renewals:

Continuation funding is dependent upon future program appropriations, and demonstrated substantial progress toward meeting their goals and objectives.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are awarded for a period of not more than three years. Continuation awards are dependent on the availability of funding.

Reports:

Grantees must submit performance reports annually. The program office will establish a due date. Final performance reports must be submitted within 90 days after the end of the grant award period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for three years after the fiscal year in which the expenditure was made by the grantee, or until the grantee is notified of the completion of the Federal fiscal audit. In all cases of audit questions, records must be maintained until resolution has occurred.

Account Identification:

91-0204-0-1-501.

Obligations:

(Grants) FY 07 \$0; FY 08 est. \$0; and FY 09 est. \$0.

Range and Average of Financial Assistance:

In 2006, the average award was \$2,400,000.

PROGRAM ACCOMPLISHMENTS:

Approximately 2 grants were made with fiscal year 2006 funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99. For further guidance and other information, contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OESE, 400 Maryland Ave., S.W., Washington, DC 20202-6200. Contact: Theda Zawaiza; E-mail: theda.zawaiza@ed.gov. Telephone: (202)205-3783.

Web Site Address:

<http://www.ed.gov/programs/dropout/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Arizona Department of Education (ADE) collaborates with reservation-based public school districts; tribal leaders, you and community members; colleges and state and tribal social service and behavioral health agencies to develop and implement a culturally appropriate dropout prevention model program for two school districts with among the highest dropout rates in the

State. Key strategies include: (a) Native American community involvement in program development; (b) establishment of a Native American Dropout Prevention Workgroup within ADE; (c) Native American youth leadership development with a Popular Opinion Leader model; (d) establishment of teams to encourage school attendance; (e) intensive tutoring to increase AIMS test scores; (f) mentoring of re-entered students by Tribal employees; (g) culturally competent training for teachers in engaging Native American youth; (h) social marketing promoting education through tribal media and tribal sporting events; and (i) behavioral health, substance abuse prevention, teen parent support groups, and other supports for staying in school. The Texas School Dropout Prevention and Reentry Program has four major objectives: (1) to expand current personal graduation plans by replicating comprehensive modes; (2) to increase partnerships between high schools and other organizations to leverage dropout prevention and reentry resources; (3) to develop statewide capacity for implementing specific intervention strategies; and (4) to evaluate the effectiveness of State's school dropout prevention program and inform state dropout prevention policy. Offering a comprehensive set of support services through partnerships with government and community-based organizations may allow schools to address more effectively the academic and social pressures shown to influence dropping out.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in the program guidelines. Contact the program office for more information.

84.361 VOLUNTARY PUBLIC SCHOOL CHOICE

FEDERAL AGENCY:

OFFICE OF INNOVATION AND IMPROVEMENT, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act, as amended; Title V, Part B, Subpart 3; 20 U.S.C. 7225-7225g.

OBJECTIVES:

To support efforts to establish or expand intradistrict, interdistrict, and open enrollment public school choice programs to provide parents, particularly parents whose children attend low-performing public schools, with expanded education options and with greater choice for their children's education.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

An eligible entity that receives a grant under this subpart may use the grant funds for: (1) planning or designing a program (for not more than 1 year); (2) the cost of making tuition transfer payments to public elementary schools or secondary schools to which students transfer under the program; (3) the cost of capacity-enhancing activities that enable high-demand public elementary schools or secondary schools to accommodate transfer requests under the program; (4) the cost of carrying out public education campaigns to inform students and parents about the program; and (5) other costs reasonably necessary to implement the program. An eligible entity that receives a grant under this subpart may not use the grant funds for school construction. The eligible entity may use not more than 5 percent of the funds made available through the grant for any fiscal year for administrative expenses.

Applicant Eligibility:

State educational agencies (SEAs); local educational agencies (LEAs); or a partnership of either 1(a) one or more SEAs; (b) one or more LEAs or other public, for-profit, or nonprofit entities; and 2(a) one or more LEAs and (b) one or more public, for-profit, or nonprofit entities may apply.

Beneficiary Eligibility:

Public school students.

Credentials/Documentation:

None.

Preapplication Coordination:

Not applicable. This program is eligible for coverage under E.O. 12372. "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, and any priorities included in the application notice, and includes all required assurances and signatures.

Award Procedure:

The Department of Education awards these grants on a competitive basis. The program office notifies successful applicants of awards, negotiates final budgets, and awards grants. Contact the program office for more information.

Deadlines:

Deadlines will be published in the Federal Register. Contact the program office for application deadlines and information.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

The General Education Provisions Act hearing procedures regarding recovery of funds are applicable to this program.

Renewals:

Continuation awards are subject to the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards may be made for up to five years. Continuation awards are subject to the availability of appropriations.

Reports:

Each eligible entity receiving a grant under this program annually shall submit to the Secretary a report of its operations and activities.

Audits:

The financial records of each eligible entity receiving a grant under this subpart shall be maintained in accordance with generally accepted accounting principles and shall be subject to an annual audit by an independent public accountant.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for three years after the end of the grant.

Account Identification:

91-0204-0-1-501.

Obligations:

(Grants) FY 07 \$26,278,000; FY 08 est \$25,819,000; and FY 09 est \$25,819,000.

Range and Average of Financial Assistance:

The average award in fiscal year 2007 was \$1,844,000. Range of new awards: \$95,600 - \$2,700,000.

PROGRAM ACCOMPLISHMENTS:

Fourteen new awards were made in fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Off Parental Options and Information 400 Maryland Ave., S.W., Washington DC 20202-6140. Contact: Iris Lane, E-mail: iris.lane@ed.gov Telephone (202) 260-1999.

Web Site Address:

<http://www.ed.gov/programs/choice/index.html>.

RELATED PROGRAMS:

84.282, Charter Schools.

EXAMPLES OF FUNDED PROJECTS:

Grant funds support: planning and implementation costs associated with new programs; tuition transfer payments to public schools that students choose to attend; and efforts to strengthen the capacity and quality of schools to meet the demand for choice and provide equitable access to such programs.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications.

84.362 NATIVE HAWAIIAN EDUCATION

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title VII, Part B.

OBJECTIVES:

To develop innovative educational programs to assist Native Hawaiians and to supplement and expand programs and authorities in the area of education.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Program funds may be used to carry a variety of authorized activities including, but not limited to: early education and care programs, family-based education centers, beginning reading and literacy programs, activities to address the needs of gifted and talented Native Hawaiian students, professional development for educators, and activities to enable Native Hawaiian students to enter and complete postsecondary education programs.

Applicant Eligibility:

Eligible applicants include: Native Hawaiian educational organizations and community-based organizations; public and private nonprofit organizations, agencies, and institutions with experience in developing or operating Native Hawaiian programs or programs of instruction in the Native Hawaiian language; or consortia of the organizations, agencies, and institutions described above.

Beneficiary Eligibility:

Native Hawaiian children and adults.

Credentials/Documentation:

None.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, and any priorities included in the application notice, and includes all required assurances and signatures.

Award Procedure:

The Department of Education awards these grants on a competitive basis. Applications are reviewed and ranked by a panel of outside reviewers. The program office notifies successful applicants of awards and negotiates and awards grants. Contact the program office for more information.

Deadlines:

Deadlines will be published in the Federal Register. Contact the program office for application deadlines and information.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

None.

Renewals:

Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made for a period of up to five years, continuation awards are based on the availability of appropriations.

Reports:

Each eligible entity receiving a grant under this program annually shall submit to the Department a report of its operations and activities. Each such annual report shall include: (1) executive summary; (2) project status; (3) budget information; and (4) supplemental information.

Audits:

The financial records of each eligible entity receiving a grant under this subpart shall be maintained in accordance with generally accepted accounting principles and shall be subject to an annual audit by an independent public accountant.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for three years after the end of the grant.

Account Identification:

91-1000-0-1-501.

Obligations:

(Grants) FY 07 \$33,907,000; FY 08 est \$33,315,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

Average New Award: \$421,000.

PROGRAM ACCOMPLISHMENTS:

Approximately 23 new awards and 32 continuation awards were made in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OESE Academic Improvement and Teacher Quality Programs, 400 Maryland Ave., S.W., Rm. 3W215, FB-6, Washington, DC 20202-6140. Contact: Joanne Osborne, E-mail: joanne.osborne@ed.gov. Telephone: (202) 260-1265.

Web Site Address:

<http://www.ed.gov/programs/nathawaiian/index.html>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Early education programs; family-based education centers; beginning reading and literacy programs; activities to address the education and cultural needs of Native Hawaiian students; special education programs; professional development for Native Hawaiian educators; and activities to enable Native Hawaiian students to enter and complete postsecondary education programs.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. Contact the program office for additional information.

84.363 SCHOOL LEADERSHIP**FEDERAL AGENCY:**

OFFICE OF INNOVATION AND IMPROVEMENT, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act; Title II, Part B, Subpart 5, Section 2151(b).

OBJECTIVES:

To provide support to eligible entities in the development of innovative programs that recruit, train and mentor principals and assistant principals.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Grantees under this program may use funds for the following purposes: (1) providing financial incentives to aspiring new principals; (2) providing stipends to principals who mentor new principals; (3) carrying out professional development programs in instructional leadership and management; and (4) providing incentives that are appropriate for teachers or individuals from other fields who want to become principals and that are effective in retaining new principals.

Applicant Eligibility:

Eligible entities include: (1) high-need local educational agencies; (2) consortia of high-need local educational agencies; and (3) partnerships of high-need local educational agencies, nonprofit organizations, and institutions of higher education.

Beneficiary Eligibility:

Local education agencies, school administrators, teachers, students and their parents are beneficiaries.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is covered from coverage under E.O. 12372.

Application Procedure:

Applications must be prepared and submitted in accordance with the regulations, instructions, forms and deadlines published in the Federal Register. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Award Procedure:

The Department of Education notifies successful applicants of awards. Negotiation and awarding of grants will be completed by the Department of Education's, School Improvement Programs staff.

Deadlines:

The closing date for the School Leadership Program is published in the Federal Register. Contact the program office for additional information.

Range of Approval/Disapproval Time:

The range is anticipated to be 90 to 120 days from the closing date.

Appeals:

None.

Renewals:

Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Funds are awarded for a 12-month period. Renewals are subject to the availability of appropriations.

Reports:

Annual progress and financial reports are required in accordance with the Education Department General Administrative Regulations. Final performance reports must be submitted within 90 days following the end of the grant award period.

Audits:

In accordance with the Education Department General Administrative Regulations in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 a year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations, certain records must be retained for three years after the end of the grant.

Account Identification:

91-0204-0-1-501.

Obligations:

(Grants) FY 07 \$14,731,000; FY 08 \$14,474,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

In FY 2008, the range of awards is expected to be \$250,000 to \$750,000; the

average award is expected to be \$548,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, approximately 26 new awards are expected to be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OII Teacher Quality Programs 400 Maryland Ave., S.W., Washington, DC 20202-6140 Contact: Beatriz Ceja E-mail: beatriz.ceja@ed.gov. Telephone: (202) 205-5009.

Web Site Address:

<http://www.ed.gov/programs/leadership/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Hawaii Department of Education in partnership with the University of Hawaii, received a grant to review and revise screening criteria, core curricula, performance assessments, develop an early leadership training program for teacher leaders, and redesign a training program for entry-level school administrators that emphasis practical, technical knowledge and skills.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. Contact the program office for additional information.

84.364 LITERACY THROUGH SCHOOL LIBRARIES

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, Title I, Part B, Subpart 4.

OBJECTIVES:

To provide students with increased access to up-to-date school library materials, a well-equipped technologically advanced school library media center, and well-trained, professionally certified school library media specialists to improve literacy skills and achievement of students.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

A recipient local educational agency (LEA) receiving a grant may use program funds to: acquire school library media resources; acquire and use technology that can help to develop the information retrieval and critical thinking skills of students; facilitate Internet links and other resource-sharing networks; provide professional development and collaborative activities for school library media specialists; and provide students with access to school libraries during non-school hours. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Local educational agencies (LEAs) with a child poverty rate of at least 20 percent are eligible.

Beneficiary Eligibility:

School library media center specialists, teachers, students, parents and the community are beneficiaries.

Credentials/Documentation:

Information to document the need for and impact of school library improvement.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be prepared and submitted in accordance with the notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education, Application Control Center, a complete application that addresses the purposes of the program, the selection criteria, the absolute priority included in the application notice, and includes all required assurances and signatures. Required assurances and certifications include: Group Application Certification (if applicable); Assurances for Non-Construction Programs (SF 422B); Certification regarding Lobbying, Debarment; Suspension and Other Responsibility Matter, and Drug-Free Workplace Requirements (ED Form 80- 0013); Disclosure of Lobbying Activities (SF LLL) (if applicable); Response to Notice to All Applicants (Section 427, GEPA). Applications should also include a Cover Page (SF424); the required Budget Form (ED Form 524); an itemized budget and other budget information; proof of eligibility; a project abstract; and a program narrative that includes responses to selection criteria. Applicants are encouraged to submit (1) one original and (2) copies of their application. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point

of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

Applications are reviewed and ranked by a panel of outside readers. The Department of Education notifies successful applicants of awards and negotiates the final award documents.

Deadlines:

Deadlines are published in the Federal Register.

Range of Approval/Disapproval Time:

Generally 60 to 120 days after the deadline for applications.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are awarded for a period of not more than 12 months.

Reports:

Grantees must submit a final performance reports within 90 days after the end of the grant award period documenting how the funds were used and the how school library media centers were improved.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for three years after the fiscal year in which the expenditure was made by the grantee, or until the grantee is notified of the completion of the Federal fiscal audit. In all cases of audit questions, records must be maintained until resolution has occurred.

Account Identification:

91-0900-2-1-501.

Obligations:

(Grants) FY 07 \$19,485,000; FY 08 est \$19,145,000; and FY 09 est \$19,145,000.

Range and Average of Financial Assistance:

Approximately 60 awards were made; the average award was \$310,000; the range was \$138,000 to \$507,000.

PROGRAM ACCOMPLISHMENTS:

2008: Approximately 60 awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99. For further guidance and other information, contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OESE Academic Improvement and Teacher Quality Programs, 400 Maryland, Ave. S.W., Washington, DC 20202-6100. Contact: Irene B. Harwarth, E-mail: irene.harwarth@ed.gov Telephone: (202) 401-3751.

Web Site Address:

<http://www.ed.gov/programs/lsl/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Enhancing Libraries in Rural South Dakota, administered by the Mid Central Educational Cooperative, serves ten rural schools in South Dakota. All ten schools are adding high-quality contemporary titles to their library collections for grades K-12. Three of the most rural schools purchased research and content-oriented software, and six of the schools are using the funds to develop the literacy skills in children grades K-3. Seven of the schools are requesting to use up to one-third of the grant for expanding library hours to be open before and after school and on Saturdays. Consistent with the competitive priority, all grant activities have been tied to district school improvement plans. The Chicago Public School District's "Improving Literacy through School Libraries: The ABC's of Library Literacy Programming" demonstration project is seeking to improve student literacy in ten urban elementary schools. Serving 4,729 students, the program targets 1,099 first and second graders. The program's objectives are as follows: expanding intellectual access to the library by adding up-to-date library media resources including technologically advanced, networked resources, as well as expanding physical access to the library by increasing the amount of time students in lower grades can spend in the library. The program also focuses on providing professional development designed to assist librarians in learning how to more effectively enhance student reading achievement, and furthering collaboration among the librarians, Lead Literacy

Teachers, and classroom teachers through the use of a successful collaboration model.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in the program guidelines. Contact the program office for more information.

84.365 ENGLISH LANGUAGE ACQUISITION GRANTS

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Title III, Part A, Sections 3101-3129.

OBJECTIVES:

To ensure that limited English proficient children (LEP) and youth, including immigrant children and youth, attain English proficiency and meet the same challenging State academic content and student academic achievement standards as all children and youth are expected to meet; to provide assistance to Native American, Native Hawaiian, Native American Pacific Islander, and Alaska Native children with certain modifications relative to the unique status of native American language under Federal Law.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

The Department makes awards to States using a formula based on their numbers of limited English proficient (LEP) children and immigrant children. States must use at least 95 percent of their allotments to award local educational agencies sub-grants to assist limited English proficient students learn English and meet challenging State academic content and student achievement standards and to provide immigrant students enhanced instructional opportunities. States may reserve up to 5 percent of their allotments for administrative costs and technical assistance to sub-grantees. The Department awards funds to Outlying Areas for such activities in developing instructional programs for LEP students by hiring tutors, conducting professional development for paraprofessionals, training teachers, hiring teachers for "new-comer" programs, and purchasing and developing materials to be used in the classroom for the instruction of LEP students. Grants for Native American projects are used to increase English proficiency and student academic achievement for LEP students and provide high-quality professional development training for teachers and support personnel. Training must improve teacher instruction and assessment capabilities, and enhance their ability to understand and use curricula, assessment measures, and instructional strategies for LEP students. Training must also be based upon scientifically based research and be of sufficient intensity and duration to have a positive and lasting impact. Funds may also be used for identifying, acquiring, and upgrading curricula, instructional materials, educational software, and assessment procedures. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

States and outlying areas with approved State plans are eligible to receive funds.

For the project grant component, eligibility is limited to a tribally sanctioned educational authority, or an elementary or secondary school that is operated or funded by the Bureau of Indian Affairs or a nonprofit, Native Hawaiian or Native American Pacific Islander language organization.

Beneficiary Eligibility:

Children with limited English proficiency benefit.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

States apply for grants by submitting a plan to the Department that describes the process they will use in making sub-grants, holding sub-grantees accountable for making adequate yearly progress for LEP students, and establishing standards and objectives for raising the level of English proficiency in alignment with State academic content and student academic achievement standards. The Department uses a peer review process in approving State plans. Outlying area applicants must decide if they will apply under the Consolidated Application Process for outlying areas through which they may combine various funds. An application must be developed in which the proposal addresses the purpose of the grant, priorities, goals and objectives, activities, evaluation, needs, and a budget indicating how the funds will be used. Eligible entities applying for funding under the Native American and Alaska Native Children in School Program submit a competitive discretionary grant that describes: the programs and activities that will be developed, implemented and administered; how the funds will be used to meet all the annual measurable achievement objectives; and other criteria included in the application notice and program statute.

Award Procedure:

The Department allocates funds to any State or outlying area with an approved plan. States must expend at least 95 percent of their Title III allotments to award subgrants to local educational agencies and other eligible entities to meet the educational needs of LEP and immigrant children and youth. The project grant applications for Native American institutions are approved for awards by the Director, Office of English Language Acquisition, Language Enhancement, and Academic Achievement based on evaluations and recommendations from outside experts and an administrative review of the applications.

Deadlines:

Deadlines will be announced in the application notice published in the Federal Register. Contact the Program Office for more information.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

In programs administered by the Department, Section 432 of the General Education Provisions Act provides local educational agencies with a right of appeal in disagreements between State and local educational agencies, including disagreements over funding decisions. Where a local educational agency alleges that the denial of funding is "a violation of State or Federal law, rules, regulations, or guidelines governing the applicable program," it may, within 30 days, request a hearing from the State educational agency. Once the hearing is held and the State educational agency issues its written ruling, the local educational agency may appeal a negative ruling to the Secretary.

Renewals:

Not applicable for Formula Grants. For the Project Grant component, renewals are based on an annual review of the performance data and the availability of funds.

Formula and Matching Requirements:

State grants will be issued on a formula basis based on the count of LEP students and the count of immigrant children. Eighty percent of the funds are allocated based on the State's portion of the LEP count as compared to the total LEP count for all States, and twenty percent are allocated based on the state's portion of the immigrant children count as compared to the count for all States. Outlying area grants are issued on a formula basis based on the number of LEP students in the particular outlying area as compared to the LEP count for all of the outlying areas. The amount of Native American institutions discretionary grants based is based on budget request.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance Funds under the State Formula Grant and the Outlying Area Grant programs are 1-year grants; the discretionary grants under the Native American program are for up to 5 years, subject to the availability of appropriations.

Reports:

Grantees are subject to the reporting requirements contained in Parts 76 and 80 of the Education Department General Administrative Regulations (EDGAR). Specific for formula grants: States prepare and submit reports every second year on the programs and activities carried out by the SEA and the effectiveness of such programs and activities in improving the education of children who are limited English proficient. Local educational agencies that receive sub-grants from SEAs must provide States with an evaluation every second year. The evaluation must include: A description of the programs and activities conducted; a description of the progress made by children in learning English and meeting challenging State academic content and achievement standards; the number and percentage of children in the programs attaining English proficiency by the end of each year; and a description of the progress made by children in meeting State academic content and student achievement standards for each of the 2 years after such children are no longer receiving services.

Audits:

All grantees are subject to the provision of the Single Audit Act.

Records:

All grantees must maintain and complete records as provided in EDGAR.

Account Identification:

91-1300-0-1-501.

Obligations:

(Grants) FY 07 \$617,177,000; FY 08 est \$649,869,000; and FY 09 est \$673,900,000.

Range and Average of Financial Assistance:

For formula grants the range was \$500,000 to \$169,057,667. FY 07 average \$11,868,785.

PROGRAM ACCOMPLISHMENTS:

Grants are made to all state education agencies annually.

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR; 34 CFR 76. For more information contact the Program Office.

Regional or Local Office:

None.

Headquarters Office:

Office of English Language Acquisition, 400 Maryland Avenue S.W., Washington, DC 20202-6510. Contact: Harpreet Sandhu E-mail: harpreet.sandhu@ed.gov. Telephone (202)-245-7149.

Web Site Address:

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Schools use the funds to implement language instruction educational programs designed to help LEP students achieve these standards. Approaches and methodologies used must be based on scientifically based research. LEAs may develop and implement new language instruction programs and expand or enhance existing programs. LEAs also may implement schoolwide programs within individual schools or implement systemwide programs to restructure, reform, or upgrade all programs, activities, or operations related to the education of their LEP students.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.366 MATHEMATICS AND SCIENCE PARTNERSHIPS

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, Title II, Part B.

OBJECTIVES:

To improve the academic achievement of students in mathematics and science by encouraging states, IHEs, LEAs, and elementary and secondary schools to participate in programs that: improve and upgrade the status and stature of mathematics and science teaching by encouraging IHEs to improve mathematics and science teacher education; focus on the education of mathematics and science teachers as a career-long process; bring mathematics and science teachers together with scientists, mathematicians, and engineers to improve their teaching skills; and develop more rigorous mathematics and science curricula that are aligned with state and local academic achievement standards expected for postsecondary study in engineering, mathematics, and science.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

This program supports State and local efforts to improve students' academic achievement in mathematics and science by promoting strong teaching skills for elementary and secondary school teachers, including by integrating teaching methods based on scientifically based research and technology into the curriculum. Grantees may also use program funds to develop more rigorous mathematics and science curricula that are aligned with challenging State and local content standards; establish distance learning programs for mathematics and science teachers; and recruit individuals with mathematics, science, and engineering majors into teaching profession through the use of signing and performance incentives, stipends, and scholarships. Professional development can include summer workshops, or institutes and programs that bring mathematics and science teachers into contact with working scientists, mathematicians, and engineers in order to expand teachers' subject-matter knowledge.

Applicant Eligibility:

The Department awards 3-year grants directly to partnerships on a competitive basis when the appropriation for the program is less than \$100 million. If the appropriation reaches or exceeds \$100 million, as has been the case since fiscal year 2003, the Department provides grants to States by formula based on the number of children aged 5 to 17 who are from families with incomes below the poverty line; States then award the funds competitively to partnerships. Eligible partnerships must include the State educational agency (if the Department is awarding the grants directly to partnerships); an engineering, mathematics, or science department of an institution of higher education (IHE); and a high-need local educational agency (LEA). In addition, partnerships may include another engineering, mathematics, science, or teacher training department of an IHE; additional LEAs, public charter schools, public or private elementary or secondary schools; a business; or a nonprofit or for-profit organization of demonstrated effectiveness in improving the quality of mathematics and science teachers.

Beneficiary Eligibility:

University staff, school administrators, teachers, students, parents and the community.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

1. Eligible partnerships submit an application directly to the Department when the program's appropriation is less than \$100 million. Partnerships submit an application to the State if the appropriation is \$100 million or greater.

Award Procedure:

The Department awards grants to States by formula. States then award grants to eligible partnerships.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are awarded for a period of not more than three years. Renewals are subject to the availability of funds.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) of State-administered programs (34 CFR Part 76).

Audits:

The State will correct deficiencies in program operations that are identified through audits, monitoring, or evaluation. In accordance with the Education Department General Administrative Regulations (EDGAR) in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$300,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$300,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

Records must be maintained for three years. In all cases of audit questions, records must be maintained until resolution has occurred.

Account Identification:

91-1000-0-1-501.

Obligations:

(Grants) FY 07 \$182,160,000; FY 08 est \$178,978,000; and FY 09 est \$178,978,000.

Range and Average of Financial Assistance:

The range of awards was \$890,000 - \$21,906,000; the average award was \$3,180,000.

PROGRAM ACCOMPLISHMENTS:

Grants supported programs in all States in FY 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99. For further guidance and other information, contact the Program Office.

Regional or Local Office:

None.

Headquarters Office:

Headcap Improvement and Teacher Quality Programs, Office of Elementary and Secondary Education, 400 Maryland Avenue, S.W., Washington, DC 20202-6254. Contact: Pat O'Connell Johnson, E-mail: patricia.johnson@ed.gov. Telephone: (202) 260-7813.

Web Site Address:

<http://www.ed.gov/programs/mathsci/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Vermont Mathematics Partnership is a partnership of the University of Vermont, numerous school districts, and the Vermont Mathematics Initiative--a state organization that supports improvements in mathematics learning. MSP funds support an 80-hour (6 graduate credit) course of content-rich professional development for elementary and middle school teachers taught by math professors over the summer. This professional development is followed up with mentoring and intensive support by highly trained practitioners who have received a masters degree in mathematics. These mentors assist the teachers transfer their knowledge into their classroom practice. In 2006-07 67 teachers were enrolled.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in the program guidelines. Contact the Program Office for more information.

84.367 IMPROVING TEACHER QUALITY STATE GRANTS

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, Title II, Part A.

OBJECTIVES:

To provide grants to State Educational Agencies (SEAs) on a formula basis to increase student academic achievement through strategies such as improving teacher and principal quality and increasing the number of highly qualified teachers in the classroom and highly qualified principals and assistant principals

in schools and hold local educational agencies and schools accountable for improvements in student academic achievement.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

At least 95 percent of awards to SEAs must be used to make subgrants to LEAs for the following purposes: to assist schools in effectively recruiting and retaining highly qualified teachers; to afford to LEAs the means of recruiting, hiring, and retaining teachers; to make available professional development activities that address subject matter knowledge; and other activities as set forth in Section 2123. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.563-76.569. for assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

States with approved ESEA Consolidated State Plans.

Beneficiary Eligibility:

Elementary and secondary schools, principals, students, parents, pupil services personnel and teachers will benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An SEA is eligible for funding if it submits an application that includes statewide activities based on scientifically based research and an explanation of why the activities will improve student academic achievement. The plan must: (1) assure compliance by LEA; (2) assure that the activities are aligned with challenging State academic content, student academic achievement standards, State assessments and State and local curricula; and (3) describe the use of the funds to improve the quality of the State's teachers and principals. The State Education Agency for Higher Education makes grants, on a competitive basis, to eligible partnerships to carry out as activities listed above.

Award Procedure:

The Department allocates funds to any State whose application the Secretary has "deemed approved" under conditions set forth in Section 2112 (c).

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

The procedure of an appeal is described in ESEA section: 2112 (c), (d), and (e). Contact the program office for more information.

Renewals:

None.

Formula and Matching Requirements:

Improving Teacher Quality State Grants funds are distributed by formula. Each State receives the amount of funds that it received from the antecedent Eisenhower Professional Development State Grants and Class Size Reduction programs in fiscal year 2001. Remaining funds are then allocated to States by formula based 35 percent on States' relative share of the population aged 5 to 17 and 65 percent on States' relative share of poor children aged 5 to 17, with each State receiving at least one-half of 1 percent of these remaining funds.

Length and Time Phasing of Assistance:

Generally, awards to States are made from July 1 to September 30 of the following year. In addition, funds remain available to States for an additional fiscal year for obligation and expenditure.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) of State-administered programs (34 CFR part 76). Generally, SEAs are subject to the reporting requirements contained in Title IX of the ESEA, Consolidated State Performance Report Section 9303 (b).

Audits:

The State will correct deficiencies in program operations that are identified through audits, monitoring, or evaluation. In accordance with the Education Department General Administrative Regulations (EDGAR) in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$300,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$300,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

The State will maintain such records, provide such information to the Secretary, and afford such access to the records as the Secretary may find necessary to carry out the Secretary's duties. In accordance with the General Education Provisions Act, and the Education Department General Administrative Regulations, States must maintain certain program records for 3 years.

Account Identification:

91-1000-0-1-501.

Obligations:

(Grants) FY 07 \$2,887,439,000; FY 08 est \$2,935,248,000; and FY 09 est \$2,835,248,000.

Range and Average of Financial Assistance:

The average award for FY 08 was \$55,603,000. The range was \$13,987 to \$133,937,000.

PROGRAM ACCOMPLISHMENTS:

Awards are made to the State educational agency in every State, and the Department is currently conducting the second round of monitoring in every State.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Office of Elementary and Secondary Education, Academic Improvement and Teacher Quality Programs, Department of Education, 400 Maryland Ave., S.W., Washington, DC 20202, Elizabeth Witt, E-mail: elizabeth.witt@ed.gov. Telephone: (202) 260-5585.

Web Site Address:

<http://www.ed.gov/programs/teacherqual/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Philadelphia public schools received about \$21 million in Title II, Part A funds in FY 2006. They spent about \$12.5 million on salaries for 126 teachers paid for the purpose of class size reduction and about \$7 million on various professional development projects to improve the skills of teachers and principals in the district; a portion of these professional development funds went to providing equitable services to teachers in private schools located in the LEA. The district spent the remaining program funds for administrative purposes and on indirect costs.

CRITERIA FOR SELECTING PROPOSALS:

After consultation with the governor, an SEA submits a consolidated application and is not required to submit separate applications. The Secretary shall require only descriptions, information, assurances (including assurances of compliance with applicable provisions regarding participation by private school children and teachers), and other materials that are absolutely necessary for the consideration of a consolidated State application. Contact the Program Office for additional information.

84.369 GRANTS FOR STATE ASSESSMENTS AND RELATED ACTIVITIES

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title VI, Part A, Subpart I.

OBJECTIVES:

(1) To support the development of the additional State assessments and standards required by Section 1111(b) of the Elementary and Secondary Education Act, as amended (ESEA); (2) if a State has developed the assessments and standards required by Section 1111(b), then to support the administration of those assessments or to carry out other activities related to ensuring that the State's schools and local education agencies are held accountable for results.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

State educational agencies must use these funds to carry out the responsibilities described in Section 6111 of the ESEA. Funds may be used to support the development of the additional State assessments and standards required by Section 1111(b) of the Elementary and Secondary Education Act, as amended (ESEA); or if a State has developed the assessments and standards required by Section 1111(b), then to support the administration of those assessments or to carry out other activities related to ensuring that the State's schools and local education agencies are held accountable for results.

Applicant Eligibility:

State educational agencies with either: (1) An approved consolidated application that includes application for funds under Section 6111 or (2) an approved Title I Part A application that includes application for funds under Section 6111.

Beneficiary Eligibility:

States and local educational agencies.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

States applying for Title I, Part A, funds under the consolidated application

procedure must initiate Section 6111 on their list of "ESEA Programs included in the consolidated application" and must respond adequately to the single question asking them to identify how they will use Section 6111 funds. States applying for Title I, Part A, funds under an individual program application procedure must initiate Section 6111 on their cover sheet for this application and must respond adequately to the single question asking them to identify how they will use Section 6111 funds.

Award Procedure:

The Department will allocate funds to any State whose State application submission demonstrates that funds will be used for covered activities and that costs associated with those activities are reasonable.

Deadlines:

Contact the program office for deadlines.

Range of Approval/Disapproval Time:

Approximately 30-90 days.

Appeals:

If the Department disapproves a State's application for funds authorized by Section 6111, the State has the right to a hearing in accordance with Section 76.202 of the Education Department General Administrative Regulations (EDGAR).

Renewals:

States with approved applications will automatically receive grant awards for any year for which there is an appropriation for the program.

Formula and Matching Requirements:

Under the funding formula, one-half of 1 percent of the appropriation is reserved for the DOI Bureau of Indian Education and one-half of 1 percent goes to the Outlying Areas. From the remaining funds, each State receives \$3 million and then a share of any remaining funds based on its proportion of students ages 5 through 17.

Length and Time Phasing of Assistance:

Awards of funds are available for obligation for a maximum of 27 months. They become available to the Department on July 1, and are awarded to States on or about that date. They remain available for obligation under the initial period of availability for 15 months (until September 30, of the following fiscal year). Under section 421(b) of the General Education Provisions Act, any funds that remain unobligated may be carried over for obligation for an additional 12 months. Funds for succeeding fiscal years, if appropriated, will follow a similar timeline.

Reports:

Grantees are subject to the reporting requirements contained in Parts 76 and 80 of the Education Department General Administrative Regulations (EDGAR).

Audits:

In accordance with the Education Department General Administrative Regulations (EDGAR) in 34 CFR 80, State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to EDGAR, Part 80.

Records:

All grantees must maintain and complete records in accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR).

Account Identification:

91-1000-0-1-501.

Obligations:

(Grants) FY 07 \$407,563,000; FY 08 est \$408,732,000; and FY 09 est \$408,732,000.

Range and Average of Financial Assistance:

For FY 2008, the range of awards is expected to be \$3,355,000 to \$33,417; the average award is expected to be \$7,615,000.

PROGRAM ACCOMPLISHMENTS:

In 2007, 51 out of 52 State educational agencies had implemented reading/language arts and mathematics assessments that align with the State's academic content standards for all students in grades three through eight and in high school, and 5 had implemented science assessments that align with the State's academic content standards for all students in each grade span (grades 3 through 5, 6 through 8, and high school).

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 76, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99. 34 CFR Parts 200 and 300.

Nonregulatory guidance is available at

<http://www.ed.gov/admins/lead/account/saa.html>.

Regional or Local Office:

None.

Headquarters Office:

Office of Elementary and Secondary Education, Student Achievement and School Accountability Programs, 400 Maryland Avenue, S.W., Washington, DC 20202-6132. Contact: Zollie Stevenson, E-mail: zollie.stevenson@ed.gov. Telephone: (202)260-1824.

RELATED PROGRAMS:

84.010, Title I Grants to Local Educational Agencies.

EXAMPLES OF FUNDED PROJECTS:

Projects include development or subsequent implementation of standards-based state academic assessments in reading or language arts, mathematics, and science as required by the authorizing statute.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable; awards through a formula to the States.

84.370 DC SCHOOL CHOICE INCENTIVE PROGRAM

FEDERAL AGENCY:

OFFICE OF INNOVATION AND IMPROVEMENT, DEPARTMENT OF EDUCATION

AUTHORIZATION:

DC School Choice Incentive Act of 2003, (Title III of Division C of the Consolidated Appropriations Act, 2004).

OBJECTIVES:

To provide low-income parents residing in the District of Columbia (District) with expanded options for the education of their children. This program is part of a broader school improvement effort in the District that is funded on the belief that all education sectors (public schools, public charter schools, and nonpublic schools) can offer quality education experiences for the District's students, economically disadvantaged have the least access to such experiences.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

One or more grants are awarded on a competitive basis to eligible applicants to establish a scholarship program to provide eligible students with expanded school choice options. Students who are residents of the District and who come from households whose income does not exceed 185 percent of the poverty line are eligible to apply for scholarships from a grantee under this program. These scholarships may be used to pay the tuition and fees and transportation expenses, if any, to enable students to attend the participating District non-public elementary or secondary school of their choice.

Applicant Eligibility:

An educational entity of the District of Columbia Government; a nonprofit organization; or a consortium of nonprofit organizations.

Beneficiary Eligibility:

To receive an award under this program, an applicant must ensure that a majority of the members of its voting board or governing organization are residents of the District of Columbia. The Secretary gives priority to applications from eligible entities that will most effectively provide students and families with the widest range of educational options; to applications from eligible entities that will most effectively target resources to students and families who lack the financial resources to take advantage of available educational options; and to applications from eligible entities that will most effectively give priority to eligible students who, in the school year preceding the school year in which the student would use the scholarship, attend an elementary or secondary school identified for improvement, corrective action, or restructuring under section 1116 of the Elementary and Secondary Education Act of 1965, as amended (ESEA) (20 U.S.C. 6316).

Credentials/Documentation:

None.

Preapplication Coordination:

This program is subject to Executive Order 12372 and the regulations in 34 CFR part 79. Information about Intergovernmental Review of Federal Programs under Executive Order 12372 is in the application package for this program.

Application Procedure:

Applicant must submit the following by the designated deadline: (1)The applicant must provide a written narrative, which addresses the selection criteria (and the required application content) that reviewers use in evaluating their applications. This Section must be limited to no more than 50 double-spaced pages. (2)Required forms to be submitted with the application include SF424; Sections A, B, and C of ED Form 524; Assurance - Non Construction Programs; Certifications Regarding Lobbying, Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Disclosure of Lobbying Activity; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions. (3)Applicants for grants under this program are required to submit applications electronically using the Electronic Grant Application System (e-Application) available through the Department's e-GRANTS system. Specific instructions for submitting applications electronically are included in the application notice.

Award Procedure:

Applications are reviewed by the Department for eligibility and completeness. Applications are reviewed and scored by a panel of experts. A funding slate is prepared and the highest ranked applications are recommended for funding. Successful and unsuccessful applicants are notified of outcome. Grant awards are prepared and mailed to successful grantees.

Deadlines:

Deadlines will be published in the Federal Register. Contact the program office

for more information.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Not applicable.

Renewals:

Applicants may apply for a project period of up to 60 months. Continuation awards are subject to the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Applicants may apply for a project period of up to 60 months. Renewals are subject to the availability of appropriations.

Reports:

Annual performance are required for each budget period. Specific due dates are determined by the Department and forwarded to applicants accordingly. Final performance reports are due 90 days after expiration or termination of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain financial records must be maintained for a period of three years.

Account Identification:

20-1817-0-1-501 .

Obligations:

(Project Grants) FY 07 \$13,860,000; FY 08 est \$14,800,000; and FY 09 est \$18,000,000.

Range and Average of Financial Assistance:

Average Continuation award: \$12,594,200.

PROGRAM ACCOMPLISHMENTS:

One continuation award was made in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Closing Date Notice will be published in the Federal Register. Contact the Program Office for more information.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Office of Innovation and Improvement, 400 Maryland Avenue, S.W., Washington, DC 20202-5961. Contact: Michelle Armstrong, E-mail: michelle.armstrong@ed.gov; Telephone: (202) 205-1729.

Web Site Address:

<http://www.ed.gov/programs/dcchoice/index.html> .

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Washington Scholarship Fund, the grantee under the program, has established the Opportunity Scholarship Programs (OSP) to provide eligible students with expanded school choice options. These scholarships of up to \$7,500 per student per school year enable students from low-income families to attend a participating nonpublic District elementary or secondary school of their choice.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are contained in the closing date notice.

84.371 STRIVING READERS

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION.

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended; Title I, Part E, Section 1502.

OBJECTIVES:

To raise student achievement by improving the reading skills of middle and high school students who are reading below grade level. Striving Readers supports the implementation and evaluation of research-based reading interventions for struggling middle and high school readers in Title I-eligible schools at risk of not meeting or not meeting annual yearly progress requirements under the ESEA and/or that have significant percentages or numbers of students reading below grade level.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Successful applicants must use these funds to carry out the activities described

in their approved applications. Striving Reader programs include supplemental literacy intervention strategies targeted to students who are reading significantly below grade level; cross-disciplinary strategies for improving student literacy that may include professional development for subject matter teachers and use of research-based reading strategies in classrooms across subject areas; and a strong experimental evaluation component. Grant recipients, must, for example, use funds for reasonable costs needed to implement a supplemental literacy intervention, which may include additional staff time for extra periods of instruction, age-appropriate reading materials for struggling adolescent readers, assessment instruments, professional development opportunities for staff focused on literacy, and evaluation. Funds must be used in Title I-eligible public schools serving grades 6-12 with significant numbers of students reading below grade level.

Applicant Eligibility:

The following are eligible to apply for a Striving Readers grant: (1) One or more local educational agencies (LEAs) that receive Title I funds and have one or more high schools or middle schools with significant numbers of students reading below grade level and are at risk of not meeting or are not meeting Title I annual yearly progress requirements; (2) Intermediate service agencies on behalf of one or more local educational agencies that receive Title I funds and have one or more high schools or middle schools with significant numbers of students reading below grade level and are at risk of not meeting or are not meeting Title I annual yearly progress requirements; or (3) Partnerships that may include public or private institutions of higher education, eligible nonprofit or for-profit organizations (or consortia of such institutions or organizations), and LEAs that have one or more high schools or middle schools with significant numbers of students reading below grade level and are at risk of not meeting or are not meeting Title I adequate yearly progress requirements.

Beneficiary Eligibility:

Adolescent students (in grades 6-12) who are reading below grade level. LEAs that have one or more high schools or middle schools with significant numbers of students reading below grade level or that are at risk of not meeting or are not meeting Title I adequate yearly progress requirements.

Credentials/Documentation:

None.

Preapplication Coordination:

Eligible applicants that wish to receive a Striving Readers grant must submit a pre-application of no more than ten pages that addresses four criteria: the supplemental literacy intervention targeted to students who are reading significantly below grade level; a description of the cross disciplinary plan for improving student literacy, and the experimental evaluation design (including identification and qualifications of the researchers who will carry out the evaluation). The pre-application must also include an estimated budget. Only those submissions rated highly in this competitive peer review process and deemed to have the potential to become successful projects are invited to submit full Striving Readers applications. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Those applicants that are invited to submit a full application must prepare and submit one in accordance with the notice that will be published in the Federal Register. By the due date, eligible applicants must submit to the U.S. Department of Education, Application Control Center, a complete application that addresses the purposes of the program, the selection criteria, the competitive priority included in the application notice, and includes all required assurances and signatures. Required assurances and certifications include: Assurances for Non-Construction Programs (SF 422B); Certification regarding Lobbying, Debarment; Suspension and Other Responsibility Matter, and Drug-Free Workplace Requirements (ED Form 80-0013); Disclosure of Lobbying Activities (SF LLL) (if applicable); Response to Notice to All Applicants (Section 427, GEPA). Applications should also include a Cover Page (SF424); the required Budget Form (ED Form 524); an itemized budget and other budget information; proof of eligibility; a project abstract; and a program narrative that includes responses to selection criteria. Applicants are encouraged to submit (1) one original and (2) copies of their application. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

The Department of Education notifies successful applicants of awards. Contact the Office of Elementary and Secondary Education at StrivingReaders@ed.gov for more information.

Deadlines:

Deadlines will be published in the Federal Register. Contact the program office for application deadlines and information.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the full application deadline.

Appeals:

None.

Renewals:

Applicants may apply for a project period of up to five years. Renewals are subject to the availability of appropriations and satisfactory progress.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Projects are funded for a period of up to five years. Renewals are subject to the availability of appropriations and satisfactory progress.

Reports:

Semi-annual progress reports must be submitted during each budget period in order to receive continuation awards. Final performance reports and a final evaluation report must be submitted after the end of the grant period.

Audits:

In accordance with the Education Department General Administrative Regulations (EDGAR) in 34 CFR 80. State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to EDGAR, Part 80.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for three years.

Account Identification:

91-0900-0-1-501.

Obligations:

FY 07 \$31,870,000; FY 08 \$35,371,080; and FY 09 est \$100,000,000.

Range and Average of Financial Assistance:

The average continuation award in 2007 was \$3,952,500.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, 8 awards were made. In the first year of its implementation, the 2006-07 school year, the program served over 36,000 secondary school students.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., S.W., Room 3C118, Washington DC 20202. Contact: Marcia J. Kingman, E-mail: marcia.kingman@ed.gov; Telephone: (202) 401-0003.

Web Site Address:

<http://www.ed.gov/programs/strivingreaders/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The program provides funds mainly to local school districts to implement and evaluate reading intervention programs for secondary school students who currently read below grade level.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria will be included in the full application package and published in the federal register notice. For additional information e-mail StrivingReaders@ed.gov.

84.372 STATEWIDE DATA SYSTEMS

FEDERAL AGENCY:

INSTITUTE OF EDUCATION SCIENCES, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Educational Technical Assistance Act of 2002, Title II, Public Law 107-79, Section 208, 20 U.S.C. 9607.

OBJECTIVES:

These grants are intended to enable State educational agencies to design, develop, and implement statewide, longitudinal data systems to efficiently and accurately manage, analyze, disaggregate, and use individual student data, consistent with the Elementary and Secondary Education Act of 1965.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants may support salaries, travel, equipment, and supplies as required to carry out the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the development of data systems. Grant funds shall be used to supplement and not supplant other State or local funds used for developing State data systems.

Applicant Eligibility:

State educational agencies.

Beneficiary Eligibility:

State educational agencies, local educational agencies, non-profit and for-profit

organizations, and individuals involved with education will benefit.

Credentials/Documentation:

Applicants for these data systems projects must present a plan and furnish evidence that the scientific competence, facilities, equipment, and supplies are appropriate to carry out the project. Applicants must ensure technical quality; provide for the generation of accurate data, and the timely use of such data needed by State and local educational agencies both to comply with the Elementary and Secondary Education Act of 1965 and to facilitate research to improve student academic achievement and close achievement gaps; and, meet voluntary standards and guidelines for linking individual student data.

Preapplication Coordination:

This program is excluded coverage under E.O. 12372.

Application Procedure:

Applications must include: ED Form 424, "Application for Federal Assistance" and ED 524, "Budget Information Non-Construction Programs." Specific application and submission procedures, as well as additional information about the specific contents, page limits, and page formatting of applications, are provided in the individual Request for Applications found under "Funding Opportunities" at the Institute of Education Sciences web site: <http://www.ed.gov/programs/edresearch/applicant.html>.

Award Procedure:

All accepted applications competing for grants are reviewed for technical merit by panels of peer reviewers. Official notice of an approved application is made through issuance of a Notice of Grant Award.

Deadlines:

See the Institute's web site (<http://www.ed.gov/programs/edresearch/applicant.html>) or contact the Program Office for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately four to six months following the application deadline.

Appeals:

None.

Renewals:

Recipients of multi-year grants must submit an annual performance report for each year funding has been approved. A review of the annual performance report is used to determine whether substantial progress has been made toward meeting the project objectives. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Projects generally may be supported for one to three years. All grantee obligations shall be liquidated within 90 days following the end of the project period. Payments may be made according to a predetermined schedule or by way of reimbursement. Renewals are subject to the availability of appropriations.

Reports:

Financial reports and program reports must be submitted as required by grant award terms and conditions.

Audits:

All expenditures by state governments will be audited by the grantee or at the grantee's direction with reasonable frequency, usually once a year but not less than once every two years. The Secretary of Education, the Director of the Institute, and the Comptroller General of the United States, or any of their duly authorized representatives, shall have access, for the purpose of audit and examinations, to all applicable records and to any other pertinent books, documents, papers, and records of the grantee.

Records:

Each grantee will keep intact, and accessible, records relating to the receipt and expenditure of Federal funds and the expenditures of the grantee's contribution to the cost of the project, if any. Records will normally be retained for three years after the date of the submission of the final expenditure report, or for grants that are reviewed annually, three years after the date of the award.

Account Identification:

91-1100-0-1-503.

Obligations:

FY 07 \$24,552,000; FY 08 est \$48,293,000; and FY 09 est \$100,000,000.

Range and Average of Financial Assistance:

Estimated range of new 2009 awards: \$2,000,000 to \$4,000,000 per year.

PROGRAM ACCOMPLISHMENTS:

Varies by year and competition.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of the Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 77, 80, 81, 82, 84, 85, 86 (part 86 applies only to institutions of higher education), 97, 98, and 99. In addition 34 CFR part 75 is applicable, except for the provisions in 34 CFR 75.100, 75.101(b), 75.102, 75.103, 75.105, 75.109(a), 75.200, 75.201, 75.209, 75.210, 75.211, 75.217, 75.219, 75.220, 75.221, 75.222, and 75.230. For more information, see specific Request for Applications available on Institute website <http://www.ed.gov/programs/edresearch/applicant.html>.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, IES, National Center for Education Statistics 400 Maryland Ave. S.W., Washington, DC 20202. Contact: Kashka Kubzdela, E-mail: kashka.kubzdela@ed.gov; Telephone: (202) 502-7411.

Web Site Address:

<http://www.ed.gov/programs/slds/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications are contained in the Request for Applications that announces the competition. The Request for Applications is posted on the Funding Opportunities home page of the Institute web site: <http://www.ed.gov/programs/edresearch/applicant.htm>.

84.373 SPECIAL EDUCATION-TECHNICAL ASSISTANCE ON STATE DATA COLLECTION

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Individuals with Disabilities Education Improvement Act, Part B, Sections 611(c) and 616(i), as amended. U.S.C. 1411 and U.S.C. 1416.

OBJECTIVES:

To provide technical assistance, where needed, to improve the capacity of States to meet the data collection requirements of the IDEA.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

Public and private agencies and organizations, including for profit and non-profit agencies and organizations.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities, and other individuals with disabilities, and their families benefit from this program.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as a single point of contact in his or her State to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished by the Department and required by OMB Circular No. A-102 must be used for this program. The program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications are reviewed by a panel of experts.

Deadlines:

Deadlines are published in application notices in the Federal Register, and may be accessed through the Department's Website at <http://www.ed.gov>.

Range of Approval/Disapproval Time:

90-120 days.

Appeals:

None.

Renewals:

Awards may be renewed annually for up to five years throughout the project period of the award, depending upon the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Typically from 1 to 5 years. Renewals subject to the availability of appropriations.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the grant award period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The awardee shall maintain accounts, records, and other evidence pertaining to

all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions.

Account Identification:

91-0300-0-1-501.

Obligations:

FY 07 \$14,015,000,000; FY 08 est \$15,000,000; and FY 09 est \$15,000,000.

Range and Average of Financial Assistance:

Not applicable because the range and average vary by competition.

PROGRAM ACCOMPLISHMENTS:

About 25 new and continuation awards are expected to be made from 2008 funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Division of Research to Practice, Office of Special Education and Rehabilitative Services, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-2600. Contact: Larry Wexler. E-mail: larry.wexler@ed.gov. Telephone: (202) 245-7571.

Web Site Address:

<http://www.ed.gov/about/offices/list/osep/index.html>.

RELATED PROGRAMS:

84.027, Special Education_Grants to States; 84.173, Special Education_Preschool Grants; 84.181, Special Education-Grants for Infants and Families; 84.323, Special Education - State Personnel Development; 84.324, Research in Special Education, 84.326, Special Education-Technical Assistance to Improve Services and Results for Children with Disabilities; 84.327, Special Education_Technology and Media Services for Individuals with Disabilities; 84.328, Special Education_Parent Information Centers, 84.329, Special Education-Studies and Evaluations.

EXAMPLES OF FUNDED PROJECTS:

Projects to help States develop modified achievement standards for children with disabilities and projects to help States develop alternate assessments for children with disabilities.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

84.374 TEACHER INCENTIVE FUND

(TIF)

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Elementary and Secondary Education Act, Title V, Part D, Subpart 1.

OBJECTIVES:

To support programs that develop and implement performance-based teacher and principal compensation systems in high-need schools that must include gains in student academic achievement as well as classroom evaluations conducted multiple times during each school year, among other factors. The compensation systems may also provide educators with incentives to take on additional responsibilities and leadership roles.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Successful applicants must use these funds to carry out the activities described in their approved applications. Grantees may use the funds to develop and implement performance based compensation systems, including incentives to take on individual responsibilities and leadership roles.

Applicant Eligibility:

Eligible applicants are local educational agencies (LEAs), including charter schools that are LEAs in their State, State educational agencies (SEAs), or partnerships of (1) an LEA, an SEA, or both, and (2) at least one non-profit organization. The fiscal agent must be the SEA or LEA.

Beneficiary Eligibility:

State educational agencies, local educational agencies, non-profit organizations, and students, teachers and/or principals benefit from the program.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded coverage under E.O. 12372.

Application Procedure:

Applications must prepare and submit applications in accordance with the notice published in the Federal Register. By the due date, eligible applicants must submit to the U.S. Department of Education, Application Control Center, a complete application that addresses the purposes of the program, the selection criteria, the competitive priority (if applicable) included in the application

notice, and includes all required assurances and signatures. Required assurances and certifications include: Assurances for Non- Construction programs (SF 422B); Certification regarding Lobbying, Disbarment; Suspension and other Responsibility Matter, and Drug-Free Workplace Requirements (ED Form 80-00013); Disclosure of Lobbying Activities (SF LLL) (if applicable); Response to Notice to All Applicants (Section 427, GEPA). Applications should also include a Cover Page (SF 424); the required Budget Form (ED Form 524); an itemized budget and other budget information; a project abstract; and a program narrative that includes responses to selection criteria. Applicants are encouraged to submit (1) original and (2) copies of the application.

Award Procedure:

If an applicant is successful, the Department of Education notifies the applicant's U.S. Representative and U.S. Senators and will send the successful applicant a Grant Award Notification (GAN). The Department of Education may also notify the successful applicant informally.

Deadlines:

Contact the Office of Elementary and Secondary Education at TIF@ed.gov for application deadlines and information.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline

Appeals:

None.

Renewals:

Applicants may apply for a project period of up to five years. Renewals are subject to the availability of funds and a grantee's satisfactory progress.

Formula and Matching Requirements:

Each applicant must demonstrate a significant investment in, and ensure the sustainability of, its project by committing to pay for an increasing share of the project, for each year of the grant, with State, local, or other non- Federal funds. The applicant will annually increase its share of the project. In the final year of the grant, the grantee must ensure that at least 75 percent of the compensation costs are not paid by the grant.

Length and Time Phasing of Assistance:

Applicants may apply for a project period of up to five years. Renewals are subject to the availability of funds and a grantee's satisfactory progress.

Reports:

Annual performance reports must be submitted during each yearly budget period in order to receive continuation awards. Final performance reports and the final evaluation report must be submitted after the end of the grant period.

Audits:

In accordance with the Education Department General Administrative Regulations (EDGAR) in 34 CFR 80 and OMB Circular A-133, Nonfederal entities that expend \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part.

Records:

In accordance with the General Education Provisions Act and The Education Department General Administrative Regulations (EDGAR), certain records must be retained for three years.

Account Identification:

91-0204-0-1-501.

Obligations:

(Grants) FY 07 \$200,000; FY 08 est \$97,270,000; and FY 09 est \$102,730,000.

Range and Average of Financial Assistance:

Estimated range of awards: \$239,000 to \$10,366,000. Average award is \$3,305,000.

PROGRAM ACCOMPLISHMENTS:

The Department oversees 34 grants awarded in 2006, provides technical assistance to grantees, and has begun an evaluation of the program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 81, 82, 84, 85, 97, 98, and 99. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, DC 20202. Contact: April Lee, E-mail: april.lee@ed.gov; Telephone: (202) 401-2266.

Web Site Address:

<http://www.ed.gov/programs/teacherincentive>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Among the grants awarded in 2006 were the following: \$34 million over 5 years to the South Carolina Department of Education, which has been working with the Teacher Advancement Program (TAP) to implement a performance-based compensation system to address problems with recruitment and retention in 23

high-need schools in 6 districts. The project has the potential to affect more than 60,000 children and 5,000 teachers and principals. Strategies include higher and varied teacher bonuses, the introduction of principal and assistant principal bonuses, raising the value-added percentage in performance pay from 50 percent to 60 percent, and using tests to give K-3 teachers an individual value-added score. \$6.8 million to the Eagle County (CO) School District, which, in the 5 years before receiving the grant, had already invested over \$4.5 million (not including performance awards) to implement a performance-based compensation system for teachers and principals. The TIF grant is allowing the district to expand the program and improve the quality of Master and Mentor teachers through increased salary augmentations and training. The project affects 13 high-need schools. \$1.1 million over 5 years to the Edward W. Brooke Charter School, a charter school for students in kindergarten through grade 8 in Boston, Massachusetts. The school is using its grant to offer teachers and principals annual bonuses tied to student performance during the previous academic school year. The compensation plan also offers differentiated base salaries for teachers who have proven expertise in high-need subject areas, such as mathematics and science. In addition, the school also will use TIF funds as a retention tool by awarding incentives only to teachers who commit to returning to the school the following year.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria will be included in the full application package and published in the Federal Register notice. Contact the program office for more information.

84.375 ACADEMIC COMPETITIVENESS GRANTS

FEDERAL AGENCY:

FEDERAL STUDENT AID, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 1, Section 401A, as amended.

OBJECTIVES:

To provide eligible first and second-year full-time undergraduates who have completed a rigorous course of study in high school with need-based grant assistance to help meet educational expenses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

The student must be a U.S. citizen who has been accepted for enrollment in, and is making satisfactory progress at an eligible institution of higher education. Eligible schools may be public or private non-profit institutions of higher education (such as colleges, universities, vocational technical schools, hospital schools of nursing), and for-profit institutions (proprietary). Eligible males who are at least 18 years or older and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. Graduate students and students who have already earned a bachelor's degree are not eligible for assistance. Recipients must demonstrate need according to Part F of the Higher Education Act of 1965, as amended, and must be eligible for a Federal Pell Grant.

Applicant Eligibility:

Undergraduate students enrolled as full-time regular students in an eligible program at an eligible institution of higher education and making satisfactory academic progress. Applicants must be U.S. citizens and have a high school diploma, a GED, or demonstrate the ability to benefit from the program of study offered.

Beneficiary Eligibility:

First- and second- year undergraduate students who are U.S. citizens and are eligible to receive a Federal Pell Grant. Students must be full-time regular students in an eligible program at an eligible institution of higher education and making satisfactory academic progress. A first-year undergraduate must also be a first-time undergraduate, enrolled or accepted for enrollment in a 2- or 4-year degree-granting institution, and have completed, after January 1, 2006, a rigorous secondary school program. A second-year undergraduate at such an institution must have completed such a rigorous program after January 1, 2005, and have obtained a cumulative grade-point-average (GPA) of at least 3.0 in the first academic year as an undergraduate. In addition, students must sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1950, can receive aid only if they have registered with the Selective Service.

Credentials/Documentation:

This program is exempted from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Student completes a "Free Application for Federal Student Aid" and submits it to the agency specified on the form. Students may apply using a paper application, an electronic application, or via the Internet. The U. S. Department

of Education calculates the student's eligibility for assistance and the agency to which the student sent the application returns a notification to the student of his or her eligibility for assistance. The student submits this notification to the institution of his or her choice in order to have his or her award calculated. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends calculates and disburses the Academic Competitiveness Grants, using a payment schedule developed by the Department of Education that determines the amount of the award based on the amount of available funding and the estimated number of recipients.

Deadlines:

Contact the program office for deadlines.

Range of Approval/Disapproval Time:

Approximately 2 to 4 weeks.

Appeals:

An institution, on the basis of supplemental documentation, may rule that an applicant is self-supporting, even though under the standard criteria, the applicant would normally be considered financially dependent on his or her parents. An institution may adjust on a case-by-case basis a student's data elements used to calculate his or her EFC and cost of attendance due to unusual circumstances documented by the institution.

Renewals:

Students who have applied in the previous award year may be eligible to complete a paper or electronic renewal application that contains preprinted student data and requires students only to update certain information.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Students are limited to one Academic Competitiveness Grant during any award year (July 1 through June 30). Funds for these grants are usually disbursed at least twice during an award year. Students may only receive an Academic Competitiveness Grant until they have received a bachelor's degree.

Reports:

Institutions will be required to furnish reports, periodically, on the disbursement of funds, as well as furnish any other reports the Secretary requires. No reports are required of students.

Audits:

Annual audits will be made.

Records:

All records pertaining to the eligibility of each Academic Competitiveness Grant recipient and all fiscal management records must be maintained by the institution for a period of 3 years or until an acceptable audit has been completed, whichever is later. Selected students will have the information on their applications verified.

Account Identification:

91-0205-0-1-502.

Obligations:

(Grants) FY 07 \$350,000,000; FY 08 est \$440,000,000; and FY 09 est \$643,000,000.

Range and Average of Financial Assistance:

Grants are up to \$750 for first-year students and up to \$1,300 for second-year students. In combination with other Federal student aid, awards may not exceed a student's cost of education; in addition, award levels may be reduced if available funding is insufficient to support estimated program costs. Average award: est \$787.

PROGRAM ACCOMPLISHMENTS:

This program was created by the Higher Education Reconciliation Act of 2006; it began operation on July 1, 2006. The Department of Education estimates that awards will support 559,000 students.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program was created by the Higher Education Reconciliation Act of 2006; it began operation on July 1, 2006. Contact the program office for more information.

Regional or Local Office:

Federal Student Aid Information Center. Telephone: (800) 433-3243. Regional Director, Federal Student Aid, the Director of Financial Aid at the institution the student wishes to attend, high school guidance counselors, or directors of State agencies.

Headquarters Office:

Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Telephone: (800) 433-2343.

Web Site Address:

<http://www.ifap.ed.gov>.

RELATED PROGRAMS:

84.007, Federal Supplemental Educational Opportunity Grants; 84.032, Federal Family Education Loans; 84.033, Federal Work-Study Program; 84.037, Perkins Loan Cancellations; 84.038, Federal Perkins Loan Program_Federal Capital Contributions; 84.042, TRIO_Student Support Services; 84.044, TRIO_Talent Search; 84.047, TRIO_Upward Bound; 84.063, Federal Pell Grant Program;

84.066, TRIO_Educational Opportunity Centers; 84.069, Leveraging Educational Assistance Partnership; 84.376, National Science and Mathematics Access to Retain Talent (SMART) Grants.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.376 NATIONAL SCIENCE AND MATHEMATICS ACCESS TO RETAIN TALENT (SMART) GRANTS

(SMART Grants)

FEDERAL AGENCY:

FEDERAL STUDENT AID, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 1, Section 401A, as amended.

OBJECTIVES:

To provide eligible third- and fourth-year full-time undergraduates who major in certain designated technical fields or foreign languages with need-based grant assistance to help meet educational expenses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

The student must be a U.S. citizen who has been accepted for enrollment in, and is making satisfactory progress at an eligible institution of higher education. Eligible schools may be public or private nonprofit institutions of higher education (such as colleges, universities, vocational technical schools, hospital schools of nursing), and for-profit institutions (proprietary). Eligible males who are at least 18 years or older and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. Graduate students and students who have already earned a bachelor's degree are not eligible for assistance. Recipients must demonstrate need according to Part F of the Higher Education Act of 1965, as amended, and must be eligible for a Federal Pell Grant.

Applicant Eligibility:

Undergraduate students enrolled as full-time regular students in an eligible program at an eligible institution of higher education and making satisfactory academic progress. Applicants must be U.S. citizens and have a high school diploma, a GED, or demonstrate the ability to benefit from the program of study offered.

Beneficiary Eligibility:

Third- and fourth-year undergraduate students who are U.S. citizens and are eligible to receive a Federal Pell Grant. Students must be full-time regular students in an eligible program at an eligible institution of higher education and making satisfactory academic progress. Students are required to pursue a major in physical, life, or computer sciences, mathematics, technology, engineering or a critical foreign language, and obtain a cumulative GPA of at least 3.0 in the coursework required for the major being pursued. In addition, students must sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service.

Credentials/Documentation:

This program is exempted from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Student completes a "Free Application for Federal Student Aid" and submits it to the agency specified on the form. Students may apply using a paper application, an electronic application, or via the Internet. The Department of Education calculates the student's eligibility for assistance and the agency to which the student sent the application returns a notification to the student of his or her eligibility for assistance. The student submits this notification to the institution of his or her choice in order to have his or her award calculated. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends calculates and disburses the SMART Grants, using a payment schedule developed by the Department of Education that determines the amount of the award based on the amount of available funding and the estimated number of recipients.

Deadlines:

Contact the program office for deadlines.

Range of Approval/Disapproval Time:

Approximately 2 to 4 weeks.

Appeals:

An institution, on the basis of supplemental documentation, may rule that an

applicant is self-supporting, even though under the standard criteria, the applicant would normally be considered financially dependent on his or her parents. An institution may adjust on a case-by-case basis a student's data elements used to calculate his or her EFC and cost of attendance due to unusual circumstances documented by the institution.

Renewals:

Students who have applied in the previous award year may be eligible to complete a paper or electronic renewal application that contains preprinted student data and requires students only to update certain information.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Students are limited to one SMART Grant during any award year (July 1 through June 30). Funds for these grants are usually disbursed at least twice during an award year. Students may only receive a SMART Grant until they have received a bachelor's degree.

Reports:

Institutions will be required to furnish reports, periodically, on the disbursement of funds, as well as furnish any other reports the Department requires. No reports are required of students.

Audits:

Annual audits will be made.

Records:

All records pertaining to the eligibility of each SMART Grant recipient and all fiscal management records must be maintained by the institution for a period of 3 years or until an acceptable audit has been completed, whichever is later. Selected students will have the information on their applications verified.

Account Identification:

91-0205-0-1-502.

Obligations:

(Grants) FY 07 \$230,000,000; FY 08 est \$260,000,000; and FY 09 est \$270,000,000.

Range and Average of Financial Assistance:

Grants are up to \$4,000 for third- and fourth-year students. In combination with other Federal student aid, awards may not exceed a student's cost of education; in addition, award levels may be reduced if available funding is insufficient to support estimated program costs. Average award: est \$3,291.

PROGRAM ACCOMPLISHMENTS:

This program was created by the Higher Education Reconciliation Act of 2006; it began operation on July 1, 2006. The Department of Education estimates that awards will support 79,000 students.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program was created by the Higher Education Reconciliation Act of 2006; it began operation on July 1, 2006. Contact the program office for more information.

Regional or Local Office:

Federal Student Aid Information Center. Telephone: (1-800) 433-3243. Regional Director, Federal Student Aid, the Director of Financial Aid at the institution the student wishes to attend, high school guidance counselors, or directors of State agencies.

Headquarters Office:

Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Telephone: (800) 433-2343.

Web Site Address:

<http://www.ifap.ed.gov>.

RELATED PROGRAMS:

84.007, Federal Supplemental Educational Opportunity Grants; 84.032, Federal Family Education Loans; 84.033, Federal Work-Study Program; 84.037, Perkins Loan Cancellations; 84.038, Federal Perkins Loan Program; Federal Capital Contributions; 84.042, TRIO Student Support Services; 84.044, TRIO Talent Search; 84.047, TRIO Upward Bound; 84.063, Federal Pell Grant Program; 84.066, TRIO Educational Opportunity Centers; 84.069, Leveraging Educational Assistance Partnership; 84.375, Academic Competitiveness Grants.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.377 SCHOOL IMPROVEMENT GRANTS

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Section 1003(g) of the Elementary and Secondary Education Act (ESEA), as amended (Public Law 107-110) (115 Stat. 1442, 20 U.S.C. 6303(g)).

OBJECTIVES:

To strengthen the capacity of States to carry out their program improvement responsibilities required under Sections 1116 and 1117 of Title I of the ESEA by (1) building State capacity to provide leadership in implementing effective

school improvement strategies for local educational agencies (LEAs) and schools that have been identified for improvement, are in corrective action, and are in the restructuring process and (2) providing resources to LEAs to support school improvement activities, including the development and implementation of effective restructuring plans.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

A State educational agency (SEA) must allocate at least 95 percent of the amount of the funds it receives directly to LEAs for schools that have been identified for improvement, corrective action, or restructuring to carry out activities under Section 1116(b). An SEA may retain up to 5 percent of the grant amount received for administration, evaluation, and technical assistance expenses. At the LEA level, these funds may be used for any reasonable costs associated with carrying out school improvement, corrective action, or restructuring activities described in Section 1116(b).

Applicant Eligibility:

State Educational Agencies with approved State plan amendments are eligible to receive funds.

Beneficiary Eligibility:

To be eligible to receive Title I School Improvement funds, an LEA must have one or more schools identified for school improvement, corrective action, or restructuring under Section 1116(b) of Title I.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Pursuant to Section 76.140 of the Education Department General Administrative Regulations, the Department is requiring States to amend their State plans in order to receive Title I accountability funds. The amendment may be brief (less than 10 pages) and must describe: (1) the criteria the State will use to determine which LEAs, among those eligible, will receive funds; (2) the criteria the State will use to determine how much each LEA will receive; and (3) the criteria the State will use to ensure that the funds awarded to LEAs will be used to address one or more short-term outcome measures to improve student academic achievement.

Award Procedure:

The Department will allocate funds to any State whose submission demonstrates that the criteria the State uses to determine which LEA will receive funds and how much clearly serve the purpose of carrying out Section 1003(g) of Title I.

Deadlines:

The Department is in the process of establishing specific guidelines and deadlines for States to use in applying for School Improvement Grants.

Range of Approval/Disapproval Time:

The Department will ensure that amended State plans will be reviewed in sufficient time to ensure that fiscal year 2007 funds for School Improvement Grants are awarded prior to September 30, 2008.

Appeals:

In programs administered by the Department, Section 432 of the General Education Provisions Act provides LEAs with a right of appeal when there are disagreements between State and local educational agencies, including disagreements over funding decisions. Where an LEA alleges that the denial of funding is a violation of State or Federal law, rules, regulations, or guidelines governing the applicable program, it may, within 30 days, request a hearing from the SEA. Once the hearing is held and the SEA issues its written ruling, the LEA may appeal a negative ruling to the Secretary.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Title I School Improvement funds are available for obligation by local educational agencies for a maximum of 27 months after funds become available on July 1. Funds become available to the Department on July 1, and will be allocated to States on or after that date. They remain available for obligation by LEA under the initial period of availability for 15 months. Under section 421(b) of the General Education Provisions Act, any funds that remain unobligated may be carried over for obligation for an additional 12 months.

Reports:

Grantees are subject to the reporting requirements contained in Parts 76 and 80 of the Education Department General Administrative Regulations (EDGAR).

Audits:

All grantees are subject to the provision of the Single Audit Act.

Records:

All grantees must maintain and complete records as provided in EDGAR.

Account Identification:

91-0900-0-1-501.

Obligations:

FY 07 \$125,000,000; FY 08 est. \$500,000,000; and FY 09 est. not available.

Range and Average of Financial Assistance:

The Department will allocate Title I School Improvement funds among States in the same proportion as funds are allocated among the States under Title I, Part A, Part C, and Part D, subpart 1. The SEA determines the amount of funds allocated to school districts.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department is in the process of issuing guidance and instructions for States to use in applying for these funds.

Regional or Local Office:

None.

Headquarters Office:

Gary Rutkin, Office of Elementary and Secondary Education/Compensatory Education Programs, Department of Education, 400 Maryland Avenue, S.W., 3W214, Washington, DC 20202-6132. Contact: Gary Rutkin. E-mail: Gary.rutkin@ed.gov. Telephone: (202) 260-4412.

Web Site Address:

<http://www.ed.gov/oese>.

RELATED PROGRAMS:

84.010, Title I Grants to Local Educational Agencies.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable; the Department awards funds to States through a formula.

84.378 COLLEGE ACCESS CHALLENGE GRANT PROGRAM

(CACGP)

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, HIGHER EDUCATION PROGRAMS, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Title VII, Part E of Higher Education Act of 1965, as amended, 20 U.S.C. Section 1141.

OBJECTIVES:

To foster partnerships among federal, State and local government entities and philanthropic organizations through matching challenge grants aimed at increasing the number of underrepresented students who enter and remain in postsecondary education.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

States or philanthropic organizations (if a State does not meet program requirements) use funds to implement services and activities needed to achieve the goals and objectives of the program. The services and activities authorized in the statute include: providing information to students and families on postsecondary education planning, benefits, opportunities, financing options and career preparation; assisting students in completing FAFSA; engaging outreach activities; offering professional development for middle and secondary guidance counselors and college SFA and admissions administrators; providing need based grant aid; repaying student loans or lower interest rates; and offering tutoring/mentoring services, and other support services. A grantee may use not more than 6 percent of the total amount of the sum of the federal share provided and nonfederal share required for administrative purposes.

Applicant Eligibility:

An eligible applicant is the State agency with jurisdiction over higher education, or another agency designated by the Governor of the State. The Secretary may award funds to philanthropic organizations in cases where the State fails to meet program requirements.

Beneficiary Eligibility:

The authorized beneficiaries are underrepresented students and families.

Credentials/Documentation:

States or philanthropic organizations (if a State does not meet program requirements) must submit an application containing a description of the capacity to administer grant activities and services, a plan for using grant funds to meet the requirements and special efforts to benefit underrepresented students, and how the nonfederal share will be provided, and the structure the state has in place to administer the activities and services. The governor of a state must designate in writing which State agency is authorized to administer the grant. A letter from the governor (on letterhead, signed and dated) must be included in the application.

Preapplication Coordination:

The program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs." An applicant should consult with the office or official designated as the single point of contact in his/her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Each State must submit an application covering a 2-year period to the Department of Education.

Award Procedure:

Applications are reviewed for quality and compliance with statutory and regulatory requirements. An allotment is awarded to States or philanthropic organizations if the Assistant Secretary determines the application meets necessary requirements.

Deadlines:

Contact the headquarters office for deadlines.

Range of Approval/Disapproval Time:

The estimated range of time need to approve/disapprove an application is usually three months after the deadline for submission.

Appeals:

None.

Renewals:

State applications are amended as needed. Awards are generally made around June 30 of each year.

Formula and Matching Requirements:

Funds are awarded on a formula basis to States (or philanthropic organizations if a State does not meet program requirements). Allotments are based on the relative number of persons between the ages of 5 and 17 and 15 and 44 living below the poverty line with no State receiving less than a half of one percent of the funds appropriated for a fiscal year. Poverty status data for participating States will be obtained from the Census Bureau. The minimum allotment for each State for a fiscal year cannot be an amount that is less than 0.5 percent of the total amount appropriated for the program. The statute requires a nonfederal matching contribution of not less than 1/3 of the costs of the project activities and services. This may be provided through in-kind and/or cash contributions.

Length and Time Phasing of Assistance:

Grants are made for up to two years, subject to the availability of funds.

Reports:

States or philanthropic organizations (if a State does not meet program requirements) administering the grant must submit an annual performance report. The report must include: each activity or service that was provided to students and families over the course of the year; the cost of providing each activity or service; and the total contributions from private organizations included as nonfederal share for the fiscal year.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees must maintain appropriate records pertaining to grant funds, compliance and project performance, for three years after the completion of activities for which the grant or subgrant funds were used. This documentation should be sufficient to establish fiscal accountability and facilitate an effective audit.

Account Identification:

91-0201-0-1-502.

Obligations:

FY 07 \$0; FY 08 est. \$66,000,000 and FY 09 est. not available.

Range and Average of Financial Assistance:

This is a new program. Data on range and average is not yet available.

PROGRAM ACCOMPLISHMENTS:

This is a new program; no accomplishments are available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of the formula grant program is governed by the statute and EDGAR (34 CFR 76, 77, 79, 80, 81, 82 and 85).

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Office of Postsecondary Education, College Access Challenge Grant Program, 400 Maryland Avenue, S.W., Washington, DC 20202. Contact: Karmon Simms-Coates, Telephone: (202) 502-7807.

Web Site Address:

<http://www.ed.gov/programs/cacg/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

There are no examples of projects that were funded based upon a grant competition since this is a new program.

CRITERIA FOR SELECTING PROPOSALS:

State will receive funding based on the submission of an approvable application. The application must present a viable plan to achieve goals and objectives and also comply with statutory and regulatory requirements.

84.379 TEACHER EDUCATION ASSISTANCE FOR COLLEGE AND HIGHER EDUCATION GRANTS (TEACH GRANTS)

FEDERAL AGENCY:

FEDERAL STUDENT AID, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 9, as amended

OBJECTIVES:

To provide annual grants of up to \$4,000 to eligible undergraduate and graduate students who agree to teach specified high-need subjects at schools serving primarily disadvantaged populations for four years within eight years of graduation. For students who do not fulfill their service requirements, grants convert to Direct Unsubsidized Stafford Loans with interest accrued from the date of award.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

See institutional and student eligibility requirements listed below.

Applicant Eligibility:

Undergraduate and graduate students completing coursework or other requirements necessary to begin a career in teaching. Students must attend an institution of higher education that provides high-quality teacher preparation and professional development services; is financially sound; provides, or assists in the provision, of pedagogical coursework; and provides, or assists in the provision, of supervision and support services to teachers.

Beneficiary Eligibility:

This program was created by the College Cost Reduction and Access Act of 2007, Public Law 110-84; it will begin operation on July 1, 2008. Students must have a grade-point-average (GPA) comparable to a 3.25 on a scale of zero to 4.0, except that, if the student is in the first year of undergraduate education, the grade point average is determined based on the student's cumulative secondary school grade point average. A student applying for a grant to obtain a graduate degree must be a teacher or a retiree from another occupation with expertise in a field in which there is a shortage of teachers; grants for graduate education may also be obtained by a student who is or was a teacher who is pursuing high-quality alternative certification. In addition, students must sign an agreement to serve under which they agree to serve as a full-time teacher for not less than four years within eight years of completing the course of study for which they received a TEACH Grant. Service must be performed at a school serving a primarily disadvantaged population and must be in one of the following fields: mathematics, science, a foreign language, bilingual education, special education, as a reading specialist, or in another field documented as high-need. Students must also agree to provide evidence of qualifying employment upon completion of each year of service. Failure to complete these service requirements results in the student's TEACH Grants being treated as Federal Direct Unsubsidized Stafford Loans, with interest accrued from the date of award. Students must also sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service.

Credentials/Documentation:

This program is exempted from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Students must complete a FAFSA, complete an agreement to serve and submit it to the Department of Education. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends disburses the TEACH Grants based on the enrollment status of the student.

Deadlines:

Contact the program office for deadlines.

Range of Approval/Disapproval Time:

Approximately 2 to 4 weeks.

Appeals:

To be determined in regulation.

Renewals:

Students who have applied in the previous award year must continue to meet all eligibility requirements and reaffirm their intention to fulfill the service requirements before obtaining subsequent grants.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Students are limited to one TEACH Grant during an academic year. Funds for these grants will likely be disbursed at least twice during an academic year.

Reports:

Institutions will be required to furnish reports, periodically, on the disbursement of funds, as well as furnish any other reports the Secretary requires. Students must affirm each year that they intend to fulfill their service requirements and submit a certification for each year of required service.

Audits:

Annual audits will be made.

Records:

All records pertaining to the eligibility of each TEACH Grant recipient and all fiscal management records must be maintained by the institution for a period of three years or until an acceptable audit has been completed, whichever is later. Selected students will have the information on their applications verified.

Account Identification:

91-0206-0-1-502.

Obligations:

FY 07 \$0; FY 08 est \$86,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Grants are up to a maximum of \$4,000, and are reduced in proportion to the degree to which a student is in attendance less than full-time. In combination with other Federal student aid, awards may not exceed a student's cost of education. Average award: est \$2,774 in FY 2008.

PROGRAM ACCOMPLISHMENTS:

This program was created by the College Cost Reduction and Access Act of 2007; it will begin operation on July 1, 2008. The Department of Education estimates that FY 2008 funding will support awards to 31,000 students.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program was created by the College Cost Reduction and Access Act of 2007; it will begin operation on July 1, 2008. Regulations and guidance are under development.

Regional or Local Office:

Federal Student Aid Information Center. Telephone: (800) 433-3243. Regional Director, Federal Student Aid, the Director of Financial Aid at the institution the student wishes to attend, high school guidance counselors, or directors of State agencies.

Headquarters Office:

Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Telephone: (800) 433-2343.

Web Site Address:

<http://www.ifap.ed.gov>.

RELATED PROGRAMS:

84.063, Federal Pell Grant Program; 84.007, Federal Supplemental Educational Opportunity Grants; 84.032, Federal Family Education Loans; 84.033, Federal Work-Study Program; 84.037, Perkins Loan Cancellations; 84.038, Federal Perkins Loan Program, Federal Capital Contributions; 84.042, TRIO Student Support Services; 84.044, TRIO Talent Search; 84.047, TRIO Upward Bound; 84.063, Federal Pell Grant Program; 84.066, TRIO Educational Opportunity Centers; 84.069, Leveraging Educational Assistance Partnership; 84.375, Academic Competitiveness Grants; 84.376, National Science and Mathematics Access to Retain Talent (SMART) Grants.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

84.380 SPECIAL EDUCATION -- OLYMPIC EDUCATION PROGRAMS

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
DEPARTMENT OF EDUCATION

AUTHORIZATION:

Special Olympic Sports and Empowerment Act, Section 3(a), 42 U.S.C. 15001.

OBJECTIVES:

This program promotes the expansion of Special Olympics and the design and implementation of Special Olympics education programs.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

Special Olympics is the only eligible recipients of funds.

Beneficiary Eligibility:

Individuals with intellectual disabilities.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is not covered under E.O. 12372, "Intergovernmental Review of Federal Programs".

Application Procedure:

Special Olympics shall submit on application at such time, in such manner, and containing such information as the Department may require.

Award Procedure:

Applications will be reviewed by the Department.

Deadlines:

Deadlines will be announced by the Department.

Range of Approval/Disapproval Time:

Range is from 90 to 120 days.

Appeals:

None.

Renewals:

Awards may be renewed annually for up to five years throughout the project period of the award depending on the availability of appropriations.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Up to 5 years subject to availability of appropriations.

Reports:

Progress reports must be submitted on an annual basis. Final reports must be submitted at the end of the award period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, "nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal Audit requirements for that year, except as noted in Circular No. A-133.

Records:

The awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in the grant or contract terms and conditions.

Account Identification:

91-0300-0-1-501.

Obligations:

FY 07 \$0; FY 08 est \$11,790,360 and FY 09 est \$0.

Range and Average of Financial Assistance:

All appropriated funds must be awarded to Special Olympics.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, OSERS, Office of Special Education Programs, 400 Maryland Ave., S.W., Room 4103-PCP, Washington, DC 20202-2600. Contact Ingrid Oxaal. E-mail: ingrid.oxaal@ed.gov; Telephone: (202) 245-7471.

Web Site Address:

www.ed.gov/about/overview/budget/budget08/08action.pdf.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

84.381 BACCALAUREATE DEGREES IN SCIENCE, TECHNOLOGY, ENGINEERING, MATHEMATICS, AND CRITICAL FOREIGN LANGUAGES AND MASTER'S DEGREES IN SCIENCE, TECHNOLOGY, ENGINEERING, MATHEMATICS, AND CRITICAL FOREIGN LANGUAGES

(BD-STEM-CFL MD-STEM-CFL)

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Science Act, 2007, Sections 6113 and 6114.

OBJECTIVES:

To award grants to develop and implement programs that provide courses of study in science, technology, engineering, mathematics or critical foreign languages that are integrated with teacher education and lead to a baccalaureate or master's degree with concurrent teacher certification in one of the specified fields.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

To plan, develop, and carry out allowable activities that will increase the number of teachers receiving baccalaureate or master's degrees and being certified in the areas of science, technology, engineering, mathematics and critical foreign

languages.

Applicant Eligibility:

An institution of higher education that receives a grant on behalf of a department of science, technology, engineering, mathematics, or a critical foreign language, or on behalf of a department or school with a competency-based degree program (in science, technology, engineering, mathematics, or a critical foreign language) that includes teacher certification.

Beneficiary Eligibility:

An institution of higher education that receives a grant on behalf of a department of science, technology, engineering, mathematics, or a critical foreign language, or on behalf of a department or school with a competency-based degree program (in science, technology, engineering, mathematics, or a critical foreign language) that includes teacher certification.

Credentials/Documentation:

Potential grantees must electronically submit an application responding to the selection criteria for the program adhering to specific formatting requirements. Applicants must describe in detail how the grant will be administered as well as how the grant activities will produce highly qualified teachers in the specified content areas.

Preapplication Coordination:

The program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs." An applicant should consult with the office or official designated as the single point of contact in his/her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedure:

Each institution must submit an application covering up to five years of program implementation.

Award Procedure:

Applications are reviewed for quality and compliance with statutory and regulatory requirements. Awards will be made in rank order to those institutions scored highest by a panel of reviewers.

Deadlines:

Deadlines will be determined and published in a Federal Register Notice as well as in the application booklet.

Range of Approval/Disapproval Time:

An applicant is notified of award status within 60 days of the competition.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Matching requirement 50 percent for each year of the grant.

Length and Time Phasing of Assistance:

None.

Reports:

Grantees are required to submit an annual performance report on or about May 15 of each fiscal year so that the Department may determine whether substantial progress was made and a continuation award is warranted. A final report is required upon termination of funding.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 23, 2003), "Audits of States, Local Governments and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in federal awards will have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees must maintain appropriate records pertaining to grant funds, compliance and project performance, for three years after the completion of activities for which the grant or subgrant funds were used. This documentation should be sufficient to establish fiscal accountability and facilitate an effective audit.

Account Identification:

91-0201-0-1-502.

Obligations:

FY 07 \$0; FY 08 est \$1,965,060; and FY 09 \$0.

Range and Average of Financial Assistance:

\$225,000 per year in FY 2008.

PROGRAM ACCOMPLISHMENTS:

These are new programs; data on program accomplishments are not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Title VI of the America COMPETES Act governs the administration of the discretionary grant program.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Office of Postsecondary Education, Higher Education Programs, Teacher and Student Development Services Program, 1990 K Street

N.W., Washington, DC 20006. Contact: Kathy Price, Telephone: (202) 502-7774.

Web Site Address:

www.ed.gov/programs/tct.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria can be found in EDGAR CFR Part 75.210.

84.382 STRENGTHENING MINORITY-SERVING INSTITUTIONS

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, HIGHER EDUCATION PROGRAMS, DEPARTMENT OF EDUCATION.

AUTHORIZATION:

Title IV, Part J, Section 499A of the Higher Education Act of 1965, as amended by section 802 of the College Cost Reduction and Access Act (CCRAA) of 2007.

OBJECTIVES:

To strengthen Predominantly Black Institutions (PBI); Asian American and Native American Pacific Islander-Serving Institutions (AANAPISI); and Native American-Serving Non-tribal Institutions (NASNTI) that propose to carry out activities to improve and expand such institution's capacity to serve minority students.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Applicants under the PBI program may use funds to carry out programs in science, technology, engineering, or mathematics (STEM); health education; internationalization or globalization; teacher preparation; or improving educational outcomes of African American males. Applicants under the AANAPISI and NASNTI programs may use funds to assist such institutions with planning, developing, undertaking and carrying out activities to improve and expand the institution's capacity to serve Asian American and Native American Pacific Islanders and Native Americans. More specifically, AANAPISI grantees may use funds to carry out activities described in section 311(c). NASNTI grantees may use funds for the purchase, rental, or lease of scientific laboratory equipment for educational purposes, including instructional and research purposes; renovation and improvement in classroom, library, laboratory, and other instructional facilities; support of faculty exchanges, faculty development, and faculty fellowships to assist faculty in attaining advanced degrees in the faculty's field of instruction; curriculum development and academic instruction; the purchase of library books, periodicals, microfilm, and other educational materials, funds and administrative management, and acquisition of equipment for use in strengthening funds management; the joint use of facilities such as laboratories and libraries; and academic tutoring and counseling programs and student support services. Uses of funds under each program are restricted to the allowable activities described under the CCRAA.

Applicant Eligibility:

At the time of application, PBIs must have an enrollment of undergraduate students that is at least 40 percent Black American students. AANAPISI and NASNTI applicants must have, at the time of application, an enrollment of undergraduate students not less than 10 percent Asian American and Native American Pacific Islanders and Native Americans, respectively.

Beneficiary Eligibility:

The authorized beneficiaries are underrepresented; low-income; first-generation; minority; undergraduate students.

Credentials/Documentation:

Institutions of Higher Education must submit an application responding to the established selection criteria. Applicants must describe in detail, the need for the project and how the proposed activities will address the goals and objectives of the program.

Preapplication Coordination:

This program is subject to the requirements of Executive Order 12372 and the regulations in 34 CFR Part 79. Information about Intergovernmental Review of Federal Programs under Executive Order 12372 is in the application package for this program.

Application Procedure:

Each applicant must submit an application covering a period not to exceed 24-months to the Department of Education.

Award Procedure:

Applications are reviewed for quality and compliance with statutory and regulatory requirements. A discretionary grant is awarded to institutions of higher education if they score within funding range and contingent upon funds being available.

Deadlines:

Please refer to the Federal Register Notice or the application booklet for

deadlines.

Range of Approval/Disapproval Time:

An applicant is notified of award status within 30 days of the end of the competition.

Appeals:

None.

Renewals:

Discretionary grant applications are amended as needed. Awards are generally made around June 30 of each year.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are made for up to 24 months, subject to the availability of funds.

Reports:

Institutions of higher education administering these grants must submit an annual performance report at the completion of the each 12-month cycle. IHEs are also required to submit final reports ninety (90) days after the end of the grant.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees must maintain appropriate records pertaining to grant funds, compliance and project performance, for three years after the completion of activities for which the grant or subgrant funds were used. This documentation should be sufficient to establish fiscal accountability and facilitate an effective audit.

Account Identification:

91-0201-0-1-502.

Obligations:

FY 07 \$0; FY 08 est \$25,000,000; and FY 09 est \$25,000,000.

Range and Average of Financial Assistance:

PBI, \$600,000 per year; AANAPISI \$1,000,000 to \$1,500,000 per year; NASNTI \$1,000,000 to \$1,500,000 per year.

PROGRAM ACCOMPLISHMENTS:

No accomplishments are available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of discretionary grant programs is governed by the College Cost Reduction and Access Act (CCRAA) of 2007 and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Department of Education, Office of Postsecondary Education, Institutional Development and Undergraduate Education Service, 1990 K Street, N.W., 6TH Floor, Washington, DC 20006. Contact: James E. Laws, Jr., Telephone: (202) 502-7777.

Web Site Address:

<http://www.ed.gov/programs/pbi/> for the Predominantly Black Institutions Program <http://www.ed.gov/programs/aanapicraa/> for the Asian American and Native American Pacific Islander-Serving Institutions Program <http://www.ed.gov/programs/nasnticraa/> for the Native American-Serving Non-Tribal Institutions Program.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria can be found in EDGAR 34 CFR Part 75.210.

HARRY S TRUMAN SCHOLARSHIP FOUNDATION

85.001 HARRY S TRUMAN SCHOLARSHIP PROGRAM

FEDERAL AGENCY:

HARRY S. TRUMAN SCHOLARSHIP FOUNDATION

AUTHORIZATION:

Harry S Truman Memorial Scholarship Act, Public Law 93-642, 88 Stat. 2276-2280, 20 U.S.C. 2001-2013.

OBJECTIVES:

To honor former President Harry S Truman through the operation of an education scholarship program, financed by a permanent trust fund endowment, to develop increased opportunities for young Americans to prepare for and pursue careers in public service.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Assistance is intended for the use of Scholars only.

Applicant Eligibility:

Undergraduate junior level students at four year colleges and universities who are properly nominated by accredited colleges or universities recognized by the Department of Education.

Beneficiary Eligibility:

U.S. citizens or nationals, college juniors.

Credentials/Documentation:

Enrolled, matriculated in a degree program at an accredited U.S. college; Pursuing a bachelor's degree as a full-time student during the forthcoming academic year; ranked in the upper quarter of her or his class; U.S. citizen or national; selected field of study that will permit admission to a graduate or professional program allowing better preparation for a career in public service.

Preapplication Coordination:

Each July the chief executive officers of all accredited U.S. colleges and universities are invited to appoint a Truman Scholarship Faculty Representative to manage the selection and nomination of up to four students for the forthcoming year's Truman Scholarships. The standard online nomination forms with instructions will be available on the Foundation's website (<http://www.truman.gov>) in September to the designated faculty representatives of all such institutions whose presidents or chancellors inform the Foundation of their intent to participate. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The faculty representative of an institution is the key person in the Truman Scholarship selection process and serves as liaison between her or his institution and the Foundation. The faculty representative is responsible for publicizing the Truman Scholarship on campus, soliciting recommendations on students with significant potential for leadership in public service from members of the faculty, conducting a competition on campus in order to determine the candidates best qualified to be nominated by the institution, helping prepare the nominees for the competition, and insuring that the institution's official nomination or nominations are forwarded to the Truman Scholarship Review Committee by the stated deadline. The following information, on the official nominating materials, must be included with each Scholarship nomination be submitted online and include: 1. The nomination and supporting information for the Harry S Truman Scholarship Program must include a certification that the student is a candidate for a Truman Scholarship; a statement that the student plans to pursue a career in public service; a list of the student's public service activities such as those associated with government agencies, community groups, and political campaigns; a list of leadership positions the student has held during high school and during the first two and one-half years of undergraduate study; a statement of interest in a career in public service that specifies how the student's educational plans will provide preparation for that career; and a statement that the student is willing to participate in a week long seminar sponsored by the Foundation. 2. It must also contain an essay of 500 words written by the student that analyzes a public policy issue chosen by the student; transcripts of the student's college grades; and four letters of recommendation. One letter must be from the Truman Faculty Representative; one of the letters must be written by a faculty member in the student's field of study; one by another person who can discuss the student's leadership potential and abilities, and, the fourth letter from a person who can discuss the student's commitment to public service.

Award Procedure:

1. Initial evaluation: Students nominated for consideration as Harry S Truman Scholars will be evaluated on the basis of: a. the extent to which the student has shown an outstanding potential for leadership in public service, b. the extent of participation in community and public service activities, c. the appropriateness of the candidate's intended plan of study to prepare him/her for a career in public service, d. the student's academic performance. 2. Interviews: Finalists selected as a result of the initial evaluation of the credentials of all nominees are interviewed by Regional Review Panels, composed primarily of prominent public officials and previous recipients of Truman Scholarships. Each Review

Panel will recommend from among the qualified applicants one person from each State or district for appointment by the Board of Trustees as a Truman Scholar, plus up to two additional persons for Scholarships at large from each region. The Review Panels will concentrate their evaluation on the nominees' demonstrated interest in the public service, their relative potential for leadership in government, their analytical abilities, their commitment to careers in public service, and the quality of their submitted materials. Among the characteristics they will assess in making this judgment are the following: analytic ability, communication skills, integrity, self-confidence, sensitivity to others, ability to explain with clarity a complex situation, a demonstrated interest in public service, and a commitment to future public service. 3. Final Selection: From among the candidates recommended by the Review Panels, the Board of Trustees will name up to 75 winners of Harry S Truman Scholarships.

Deadlines:

All materials must be submitted by the student's faculty representative via the online application system. Please visit <http://www.truman.gov> for application procedure and application deadline.

Range of Approval/Disapproval Time:

1. February, each year - Scholarship nominees are evaluated and Finalists selected. 2. February- March, each year - Truman Scholarship Finalists are interviewed. 3. April, each year - The Truman Scholars are selected by the Board of Trustees of the Harry S Truman Scholarship Foundation.

Appeals:

None.

Renewals:

1. It is the intent of the Foundation to renew Scholarship awards made to college juniors for a period not to exceed three academic years of their graduate study program, in accordance with the regulations established by its Board of Trustees, and subject to an annual review for compliance with these requirements. 2. The Foundation may terminate a Scholarship: a. when a student has not maintained, satisfactory proficiency, or b. when the student is no longer enrolled in a program preparing her or him specifically for public service as specified by the Foundation. 3. A Scholar may seek postponement of her or his award because of ill health or other mitigating circumstances, upon application to the Executive Secretary.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

To be eligible to receive payments, a Truman Scholar must submit in writing: 1. an acceptance of the Scholarship award, 2. An annual report, and 3. at the beginning of each academic year, a statement of eligible expenses certified by the authorized financial officer of the enrolling institution, including those of tuition, fees, books, and room and board, an additional certification regarding the Scholar's academic program, an annual report, and other certifications as required by law or regulation. The number of payments to be made via Treasury check during the academic year will correspond to the number of semesters, trimesters, or quarters in the institution's academic year and the amount of funds to be provided, the total not to exceed \$30,000.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

95-8296-0-7-502.

Obligations:

(Grants) FY 07 \$2,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$2,000 to \$15,000; \$12,000.

PROGRAM ACCOMPLISHMENTS:

Since the Program's inception, 2405 (of a total of approximately 22,100 nominees) college students studying for careers in public service have received scholarship assistance. The first classes of Truman Scholars are progressing well toward their goals of preparation for public service by gaining acceptance to high quality postgraduate programs, by pursuing Foundation-assisted or self-initiated internship opportunities, and by securing positions in government at the Federal, State, and local levels.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1801.

Regional or Local Office:

Not applicable.

Headquarters Office:

Louis Blair, Executive Secretary, 712 Jackson Place, NW., Washington, DC 20006. Telephone: (202) 395-4831. Use the same number for FTS.

Web Site Address:

<http://www.truman.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

85.100 CHRISTOPHER COLUMBUS FELLOWSHIP PROGRAM

FEDERAL AGENCY:

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

AUTHORIZATION:

Christopher Columbus Quincentenary Coins and Fellowship Foundation, Public Law 102-281, Title IV, 106 Stat. 139-145, 20 U.S.C. 5701-5708.

OBJECTIVES:

To encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind.

TYPES OF ASSISTANCE:

Project Grants; Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Assistance is intended for the advancement of programs and individuals that pursue new discoveries.

Applicant Eligibility:

United States citizens may apply.

Beneficiary Eligibility:

United States citizens will benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

In order to fulfill its mission, the Foundation has developed Frontiers of Discovery programs. WORK IN PROGRESS - The Work in Progress program is accomplished through two separate programs. 1) Homeland Security Award - A single \$25,000 award will be presented to an American individual/company that is making measurable and constructive contributions related to basic and/or advanced research in the area of homeland security which will result in a significant and positive benefit to society. The Award will be sponsored by the public-private partnership of the Foundation and presented by AgustaWestland North America, one of the world's largest helicopter manufacturers. The mission of the Award is to promote and encourage "cutting edge" innovation in the vast arena of homeland security including but not limited to preventing, detecting or responding to threats from biological, radiological, nuclear, chemical and explosive attacks; border and transportation security; emergency responses to natural and man-made disasters; and cyber security and information sharing. All nominations are received online at: www.ccolumbusfoundationawards.org. 2) Life Sciences Awards - In partnership with the U.S. Chamber of Commerce, the Christopher Columbus Fellowship Foundation will present four monetary awards as follows: one \$25,000 award to an adult scientist or researcher; one \$10,000 award to a current secondary school education; and \$5,000 awards to two current secondary school students in biology and chemistry who are judged to exemplify excellence in life sciences. The new Life Sciences Awards are designed to encourage and promote "cutting edge" innovation in the field of life sciences. The awards will provide incentive for continuing the research. All nominations are received online at: www.ccolumbusfoundationawards.org. DISCOVER THE FUTURE - The Future program is accomplished through two separate programs. 1) Christopher Columbus Awards - The Christopher Columbus Awards program is a national competition which asks sixth through eighth grade students to identify a problem in their community and then solve it using the scientific method. The program is designed to foster curiosity, creativity and critical thinking skills in youth with diverse backgrounds, interests and abilities. The students work in teams of three or four. The teams' entries are reviewed by a regional panel of judges who select 30 semi-finalist teams. The Finalist judging selects eight finalist teams to compete in the National finals. The National judging is held at Walt Disney World (R) Resorts, Orlando, FL. In addition, the finalists attend the Christopher Columbus Academy, a custom-designed program that takes the students through a one-of-a-kind educational experience. One of the teams wins the \$25,000 Columbus Foundation Community Grant to take back to their community to make their idea a reality. The program is managed by MMS Education, 105 Terry Drive, Newtown, PA 18940, Attn: Stephanie Hallman. Visit: www.christophercolumbusawards.com. This program is excluded from coverage under E.O. 12372. 2) \$10,000 Freida J. Riley Teacher Award - The purpose of the Freida J. Riley Teacher Award is to identify and reward an American teacher who "has overcome tremendous adversity or made an enormous sacrifice in order to positively impact students." The award is open to any certified classroom teacher who is presently teaching full-time (grades K-12), in an accredited U.S. public, private, or charter school. The award will be presented to a teacher who is working with a physical disability, is dealing with an especially challenging educational environment, or has performed a heroic act for his/her students. The award will not be given posthumously. The program is managed by the Christopher Columbus Fellowship Foundation, 110 Genesee Street, Suite 390, Auburn, NY 13021.

Visit: <http://www.columbusfdn.org/freidajriley/default.asp>.

Application Procedure:

"WORK IN PROGRESS" 1) Homeland Security Award - The winner of the Homeland Security Award must be an American individual/company that is making measurable and constructive contributions related to basic and/or advanced research in the area of homeland security which will result in a significant and positive benefit to society. All nominations are received online at www.ccolumbusfoundationawards.org. Innovations in all homeland security areas will be accepted. The deadline is May 23, 2008. 2) Life Sciences Awards - The four winners must be U.S. citizens and be permitted by their employer or any other relevant authority to accept a monetary award bestowed by the Christopher Columbus Fellowship Foundation, a Federal government agency. All nominations are received online at www.ccolumbusfoundationawards.org. DISCOVER THE FUTURE - Christopher Columbus Awards - Entries will be accepted from teams of three or four students in grades six to eight from all 50 States, territories and possessions. All team entries must consist of a Christopher Columbus Awards Entry form (signed by the team members and the coach), a team-written section and the visual component. To enter, mail an original and two copies of the teams' community solution to: Christopher Columbus Awards, 105 Terry Drive, Suite 120, Newtown, PA 18940. Visit www.christophercolumbusawards.com. The deadline for entries is March 17, 2008. Freida J. Riley Teacher Award - The Freida J. Riley Teacher Award is limited to any certified classroom teacher who is presently teaching full-time (grades K-12), in an accredited U.S. public, private, or charter school. The award will be presented to a teacher "working with a physical disability, dealing with an especially challenging educational environment, or who has performed a heroic act for his/her students." The award will not be given posthumously. The deadline for entries is February 4, 2008. Application information is available from the Christopher Columbus Fellowship Foundation, 110 Genesee Street, Suite 390, Auburn, NY 13021. Visit: <http://www.columbusfdn.org/freidajriley/default.asp>.

Award Procedure:

WORK IN PROGRESS - Homeland Security Award - All entries are read by the Board of Trustees of the Christopher Columbus Fellowship Foundation and a national Evaluation Committee. The Board of Trustees and AgustaWestland ultimately select the winner. The Award will be presented in Washington, D.C. on September 11, 2008. Life Sciences Award - All entries are read by the Board of Trustees of the Christopher Columbus Fellowship Foundation and a national Evaluation Committee. The Board of Trustees and the U.S. Chamber of Commerce ultimately select the winner. The Award will be presented in Washington, D.C. on a date in June 9, 2008. DISCOVER THE FUTURE - The Christopher Columbus Awards have three judging phases. The finals and the Christopher Columbus Academy are held at the Walt Disney World Resorts, Orlando, FL from June 16 - June 20, 2008. Freida J. Riley Teacher Award - Applications are evaluated by a panel of national judges. The Board of Trustees selects the winner. The award will be presented on May 1, 2008 in Bridgeport, West Virginia.

Deadlines:

Contact the Christopher Columbus Fellowship Foundation for deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

76-8187-0-7-502.

Obligations:

(Grants) FY 07 \$484,909; FY 08 est \$290,000; and FY 09 est \$300,000.

Range and Average of Financial Assistance:

\$10,000 to \$25,000.

PROGRAM ACCOMPLISHMENTS:

In 2007, the \$25,000 Homeland Security Award was presented to Douglas L. McMakin, Staff Engineer in the Applied Physics Group at Pacific Northwest National Laboratory, operated by Battelle for the U.S. Department of Energy, Richland, WA for the development of the first-of-its-kind personnel security system that safely detects non-metallic and metallic objects, including explosives that might be worn by a suicide bomber. The system provides a safe, fast and effective alternative to metal detectors, X-ray machines and pat-down searches at security checkpoints. As Project Manager and Technical Lead at the Radar

Imaging Laboratory, where he has worked since 1987, Mr. McMakin and his team developed and tested innovative real-time microwave and millimeter-wave holographic-imaging systems for airport personnel screening for the U.S. Federal Aviation Administration (now the TSA) and body-measurements for biometrics and clothing applications using various planar and cylindrical imaging techniques. Mr. McMakin was very active in the commercialization of these technologies with two licensed partners L-3/SafeView (www.safeviewinc.com) and Intellifit (www.intellifit.com). In 2007, the \$25,000 Columbus Foundation Community Grant went to a team of students from West Branch Middle School, West Branch, IA, for their Unrecognized Hazard: Used Oil Filters project. Concerned about the environmental hazards caused by used oil filters being disposed of in household trash and ultimately landfills, the students founded a public awareness campaign to educate the community about this environmental hazard. The Frank Annunzio Chairman's Award, named for the Foundation's Founder, the Honorable Frank Annunzio, went to the Saltwater Marshals team from Ripley's Aquarium, Myrtle Beach, SC, for their solution to an alternative energy problem. The team developed a tidal mill using the ocean's tides to generate energy and an environmental education program to address the problem of disappearing salt marshes and the need for alternative energy sources. Team members each received a \$1,000 U.S. Savings Bond. A \$5,000 donation is presented to a non-profit organization of their choice to help implement their project. The 2007 Freida J. Riley Teacher Award was presented to Joanne B. Tindall, Teaching Principal at the W.F. Tallman School, Nashville Transition Center, for the Tennessee Department of Children's Services, Nashville, TN. Doctors told Joanne's parents that she would most likely be mentally retarded, would never walk or talk, and should possibly be institutionalized due to her premature birth and diagnosis of cerebral palsy at age two. Defying the doctors' dire predictions, Joanne quickly learned to walk and talk and has worked hard to remain ambulatory. Far exceeding the school system's expectations, she was quickly mainstreamed from self-contained special education classrooms into inclusion classrooms not only by graduating from high school as a National Honor Society student, but graduating as a member of Phi Beta Kappa from Tennessee Technological University with a teaching degree in special education, followed by a Master's Degree in Administration and Supervision. Building on the lessons learned through her own experiences as a disabled student, Joanne was determined to become a teacher and make a difference in her students' lives. She has dedicated her career to working with students with all types of special needs. After teaching for a few years, she began working as a crisis hotline phone counselor on weekends at a mental health center. This soon led to teaching GED classes to adult clients in the alcohol drug treatment facility and then the lead teacher and principal position of the adolescent day-treatment program. This eventually led to her current position as a teaching principal in a State-operated juvenile justice facility, where many of her students have earned regular diplomas, GEDs, or special education diplomas in this very difficult teaching and learning environment.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Judith M. Shellenberger, Executive Director, 110 Genesee Street, Suite 390, Auburn, New York 13021. Telephone: (315) 258-0090. Fax: (315) 258-0093.

Web Site Address:

<http://www.columbusfdn.org>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

85.200 BARRY M. GOLDWATER SCHOLARSHIP PROGRAM

FEDERAL AGENCY:

BARRY M. GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

AUTHORIZATION:

Public Law 99-661, 20 U.S.C. 4701-4711.

OBJECTIVES:

To honor former Senator Barry Goldwater through the operation of an education scholarship program, financed by a permanent trust fund endowment, designed to encourage outstanding students to pursue careers in mathematics, the natural sciences, and engineering.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Assistance is intended solely for the use of scholars.

Applicant Eligibility:

Undergraduate sophomore and junior level students, at two and four year

colleges and universities accredited by the Department of Education, may be nominated by the institution's Goldwater Faculty Representative.

Beneficiary Eligibility:

U.S. citizens or nationals, resident aliens (must provide additional documentation), college sophomores and juniors will benefit.

Credentials/Documentation:

Applicants must be enrolled, matriculated in a degree program at an accredited U.S. college, pursuing a Bachelor's degree as a full-time student during the forthcoming academic year, maintaining a "B" average, ranked in the upper fourth of his or her class, be a U.S. citizen, national or resident alien (resident aliens must include a letter of the nominee's intent to become a U.S. citizen and a photocopy of the Alien Registration Card) and have selected a field of study that will permit admission to a graduate or professional program in preparation for a career in mathematics, the natural sciences, or engineering.

Preapplication Coordination:

Each August the chief executive officer from all 2 and 4-year colleges and universities is invited to appoint a Goldwater Scholarship Faculty Representative to manage the selection and nomination of up to four (two for two year schools) students for the forthcoming year's Goldwater Scholarship competition. In September, the standard nomination forms will be provided to the designated faculty representatives of all institutions whose President or Chancellor has advised the Foundation of their intent to participate in the competition. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The faculty representative of an institution is the key person in the Goldwater selection process and serves as liaison between his or her institution and the Foundation. The faculty representative is responsible for publicizing the Goldwater Scholarship on campus, soliciting recommendations on outstanding students with proven capability and potential in the fields of mathematics, science and engineering from members of the faculty, and conducting a competition on campus in order to determine the best qualified nominees from the institution. The faculty representative assists the nominees in the preparation of the nomination package and ensures that they are accurate, complete, and forwarded to the Goldwater Scholarship Review Panel by the stated deadline for the competition. The following information must be included on the official nomination materials with each scholarship nomination: 1. An institution nomination form signed by the faculty representative stating that the nominee meets the qualifications for the scholarship. 2. Biographical data and educational background information regarding past and future academic plans and career goals must be submitted. 3. A 600 word essay by the nominee discussing a significant issue or problem in his or her field of study of interest must be submitted. 4. Three independent letters of evaluation from faculty members or others qualified to assess the nominee's performance and potential for a career in the nominee's chosen field of study must be submitted. 5. All transcripts from secondary schools and colleges attended must be submitted.

Award Procedure:

Each nominee will be evaluated by an independent review panel of academicians and college administrators from colleges and universities throughout the United States. In awarding scholarships, the Foundation Board of Trustees will consider the nominee's field of study and career objectives and the extent to which that individual has the commitment and potential to make a significant contribution to his or her chosen field of study. It is expected that students selected as scholars will pursue advanced degrees. Final selection of candidates is recommended by the independent review panel. The Board of Trustees will name up to 300 Goldwater Scholars.

Deadlines:

February 2 is the deadline for receipt of nomination materials. All materials must be submitted by the student's faculty representative. Nominations from individuals other than the faculty representative will not be considered.

Range of Approval/Disapproval Time:

February, of each year scholarship nominees are evaluated by Independent Review Panel and ranked by relative merit. March of each year a Review Panel's results are presented to Board of Trustees. On April 1 of each year, Goldwater Board of Trustees announces the winners of the Goldwater Scholarships for the forthcoming academic year.

Appeals:

None.

Renewals:

It is the intent of the Foundation to support junior- level scholarships for a maximum of 2 academic years and senior-level scholarships for a maximum of 1 academic year. Renewal for junior- level awards will be in accordance with the regulations established by the Foundation Board of Trustees and will be subject to an annual review by an authorized official of the institution. The scholar must be a full- time student and taking a course of study, training, or other educational activities to prepare for a career in mathematics, the natural sciences, or engineering. The scholar must not be engaged in gainful employment that interferes with the scholar's studies. The scholar must be in good academic standing. The scholar may seek postponement of his or her award because of ill health or other mitigating circumstances, upon application to the President.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

To be eligible to receive payments, a Goldwater Scholar must submit in writing:

(1) An acceptance of the scholarship award, (2) an EFT form for payment by direct deposit and (3) at the beginning of each academic year one payment request form outlining the costs of tuition, fees, books, room and board, additional expenses and any other scholarships the student is receiving for that academic year. This form must be certified by a financial aid official and an academic official certifying that the student is in good academic standing. Two payments via treasury EFT will be made to the scholar's account during the academic year in an amount equal to half of the amount for which the scholar qualifies on the payment request, not to exceed \$7,500 per academic year.

Reports:

Each Goldwater Scholar is required to send a report each June for four years following graduation outlining his or her progress toward his or her career goals. They must also provide a current address when changes occur.

Audits:

Not required.

Records:

Not required.

Account Identification:

95-8281-0-7-502.

Obligations:

(Grants) FY 07 \$300,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$0 to \$7,500; \$6,650.

PROGRAM ACCOMPLISHMENTS:

The Foundation, in its fifteen year history, has selected a total of 3,962 Goldwater Scholars. The vast majority are currently pursuing the Ph.D., while most of the members of the last two classes are still at the undergraduate level. As a matter of interest, 50 Goldwater Scholars have been selected as Rhodes Scholars and 57 have received The Marshall Award. Numerous Goldwater Scholars have received other highly prestigious postgraduate fellowships including the Churchill, Truman Fulbright, Hughes, Hertz, National Science Foundation and many others.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 2600.

Regional or Local Office:

Not applicable.

Headquarters Office:

Gerald J. Smith, President, 6225 Brandon Avenue, Suite 315, Springfield, VA 22150-2519. Telephone: (703) 756-6012. Fax (703) 756-6015. E-Mail: goldh2o@erols.com.

Web Site Address:

<http://www.act.org/goldwater>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

85.300 WOODROW WILSON CENTER FELLOWSHIPS IN THE HUMANITIES AND SOCIAL SCIENCES

FEDERAL AGENCY:

THE WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS

AUTHORIZATION:

Woodrow Wilson Memorial Act of 1968, Public Law 90-637, 82 Stat. 1356; 82 Stat. 1357, 20 U.S.C. 80c-80j.

OBJECTIVES:

The Woodrow Wilson International Center for Scholars was established by Congress to symbolize and strengthen "the fruitful relationship between the world of learning and the world of public affairs." It aims, in a strictly nonpartisan fashion, to foster scholarship and to promote the exchange of views between scholars and decision makers. The Center sponsors research, meetings, and publications on history, economics, politics, international relations, the environment, and many other areas. Fellows from around the world are brought to Washington, DC, to encourage discourse among disciplines and professions. The Center enriches the quality of knowledge and debate in the nation's capital and throughout the world.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance is intended for the use of scholars only. In order to foster a true community of scholars, the Woodrow Wilson Center prefers its fellows to be in residence for the entire U.S. academic year from September through May. A few fellowships are available for shorter periods with a minimum of 4 months.

Applicant Eligibility:

Individuals from any country are welcome to apply. Men and women with outstanding capabilities and experience from a wide variety of backgrounds (including government, the corporate world, and the professions, as well as academia) are eligible for appointment. Successful fellowship applicants submit outstanding proposals in a broad range of the social sciences and humanities on national and or international issues--topics and scholarship that relate to key public policy challenges or provide the historical and/or cultural framework to illumine policy issues of contemporary importance. Proposals that represent essentially advocacy are not eligible. The Center normally does not consider projects that represent essentially the rewriting of doctoral dissertations; the editing of papers and documents; the preparation of textbooks or miscellaneous papers and reviews; anthologies, memoirs or translations.

Beneficiary Eligibility:

Citizens from all countries will benefit.

Credentials/Documentation:

For academic participants, eligibility is limited to the postdoctoral level, and normally it is expected that academic candidates will have demonstrated their scholarly development by publication beyond the Ph.D. dissertation. For other applicants, an equivalent degree of professional achievement is expected. An applicant working on a degree at the time of application (even if it is to be completed prior to the proposed fellowship year) is not eligible. All applicants should have a very good command of spoken English, since the Center is designed to encourage the exchange of ideas among its fellows.

Preapplication Coordination:

There is no official preapplication process. Individuals who have questions about their eligibility or the focus of their proposed research should contact the Scholar Selection and Services Office. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications may be obtained from the Scholar Selection and Services Office and may be downloaded from www.wilsoncenter.org. A complete application must include the following: 1. a completed two-page fellowship application form; 2. a list of the applicant's publications (not to exceed three pages); 3. two letters of references sent directly to the Center by the application deadline; 4. a project proposal not to exceed five single-spaced pages, and 5. a completed financial information form (included with the application form).

Award Procedure:

Applications are evaluated by peer review panels.

Deadlines:

The Center holds one round of competitive selection per year. The annual application deadline is October 1.

Range of Approval/Disapproval Time:

Decisions on appointments are announced by early April.

Appeals:

There is no official appeals process.

Renewals:

There is no official renewal process.

Formula and Matching Requirements:

The Woodrow Wilson Center seeks to follow the principle of no gain/no loss in terms of a fellow's previous year's salary. However, limited funds make it essential for most applicants to seek supplementary sources of funding: sabbatical support, other fellowships, or foundation grants. Each fellow is assigned a furnished office available every day around the clock. The Center's main offices are located in the heart of Washington, DC. Professional librarians provide access to the Library of Congress, university and special libraries in the area, and other research facilities. Windows-based personal computers or manuscript-typing services are available, and each fellow is offered a part-time research assistant. Publishing services are available through the Woodrow Wilson Center Press, which co-publishes with Cambridge University Press, the Johns Hopkins University Press, Indiana University Press, and the Stanford University Press.

Length and Time Phasing of Assistance:

Stipends cover the length of the residential fellowship.

Reports:

Fellows are asked to provide the Wilson Center with two copies of any publications that result from research undertaken during the fellowship period.

Audits:

None.

Records:

None required.

Account Identification:

33-0400-0-1-503.

Obligations:

(Grants) FY 07 \$1,405,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

In 2006-2007, the fellowship stipend, which included travel expenses for Fellows, their spouses, and their dependent children, ranged from \$7,000 to \$85,000. The Center also covered 3/4 of the cost of health insurance for scholars and their accompanying families who elected to take the insurance offered.

PROGRAM ACCOMPLISHMENTS:

The Wilson Center has hosted hundreds of scholars working in fields spanning the humanities and the social sciences. Some distinguished scholars of recent years have included Bruce Ackerman, Anatoli Dobrynin, John Lewis Gaddis, Juan Williams, and Gordon Wood. Books written by Fellows at the Center have included David Levering Lewis' *W.W. Dubois, Biography of a Race, 1868-1919*, and Thomas Friedman's *From Beirut to Jerusalem*.

REGULATIONS, GUIDELINES, AND LITERATURE:

Annual reports, current Fellows' listing, and application forms are available.

Regional or Local Office:

None.

Headquarters Office:

Woodrow Wilson International Center for Scholars, One Woodrow Wilson Plaza, 1300 Pennsylvania Avenue, NW, Washington, DC 20004. Contact: Scholar Selection and Services Office. Telephone: (202) 691-4000. E-mail: fellowships@wilsoncenter.org.

Web Site Address:

<http://www.wilsoncenter.org>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Listed below are some of the 23 fellows chosen for the academic year 2006-2007: 1) Adams, Gordon. Professor of the Practice of International Affairs, Elliott School of International Affairs, George Washington University. "Buying National Security: Transforming the U.S. Resource Planning Process"; 2) Bilgin, Pinar. Assistant Professor of International Relations, Bilkent University, Turkey. "Globalization and Security in the Developing World: The Case of Turkey"; 3) Connelly, Matthew. Associate Professor of History, Columbia University. "The Problem of Prevision"; 4) Dettke, Dieter. Executive Director, Washington Office, Friedrich Ebert Foundation. "In Search of Normalcy: Germany's Defense and Security Policy Between Realpolitik and the Civilian Power Paradigm."

CRITERIA FOR SELECTING PROPOSALS:

1) The significance of the proposed research (the importance and originality of the project); 2) the quality of the proposal in definition, organization, clarity, and scope; 3) the capabilities and achievements of the applicant (the likelihood that the applicant will accomplish the proposed project); 4) the relevance of the project to contemporary policy issues. The Center devotes special attention to the exploration of three prominent themes: governance; the U.S. role in the world and issues of partnership and leadership; key long-term future challenges confronting the United States and the world. Projects should involve fresh, critical research, both in terms of the overall field and of the author's previously published work. The Center welcomes projects that transcend narrow specialties and do not represent essentially technical, methodological issues of interest only within a specific academic discipline. Above all, projects should have relevance to the world of public policy, and fellows should want, and be prepared, to interact with policymakers in Washington and with Wilson Center staff who are working on similar issues.

85.400 MORRIS K. UDALL SCHOLARSHIP PROGRAM

FEDERAL AGENCY:

THE MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

AUTHORIZATION:

Morris K. Udall Scholarship and Excellence in National Environmental and Native American Public Policy Act of 1992, Public Law 102-259, 106 Stat. 78, 20 U.S.C. 5601-5609.

OBJECTIVES:

To honor former Arizona Congressman Morris K. Udall through the operation of internship, scholarship, and fellowship programs, financed by a permanent trust fund endowment, to develop increased opportunities for young Americans to prepare for and pursue careers related to the environment and to Native Americans and Alaska Natives who intend to pursue careers in health care and tribal public policy. Assistance is intended for the use of scholars only.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Assistance is intended for the use of scholars only.

Applicant Eligibility:

(1) College sophomores or juniors in the current academic year having outstanding potential and intending to pursue careers in the environment; and (2) Native American and Alaska Native students who are college sophomores or juniors in the current academic year and have outstanding potential and intend to pursue careers in health care or tribal public policy may apply. Students must be properly nominated by accredited colleges or universities recognized by the Department of Education.

Beneficiary Eligibility:

U.S. citizens or nationals, college sophomores, or juniors will benefit.

Credentials/Documentation:

Applicants must be a matriculated sophomore or junior pursuing a degree at an

accredited institution of higher education at the time of nomination.

"Sophomore" is defined as a student planning two more years of full-time undergraduate study beginning the next academic year. Students in two-year colleges planning to transfer to a baccalaureate program at another institution may be nominated. "Junior" is defined as a student who plans one more year of full-time under-graduate study beginning the next academic year. Student must have a college grade-point average of at least "B" (or the equivalent). Students must be a United States citizen or a permanent resident alien.

Preapplication Coordination:

Each August, the presidents of two and four year institutions are invited to appoint a Udall Scholarship faculty representative to manage the selection and nomination of up to six students for the forthcoming year's Udall Scholarships. The standard nomination forms with instructions will be provided to the designated faculty representatives of all such institutions whose presidents or chancellors inform the Foundation of their intent to participate. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The faculty representative of an institution is the key person in the Udall Scholarship selection process and serves as liaison between his/her institution and the Foundation. The faculty representative is responsible for publicizing the Udall Scholarships on campus, soliciting recommendations of students with significant commitment to the environment and outstanding Native American and Alaska Native students that demonstrate a commitment to pursue a career related to health care or tribal public policy from members of the faculty; establishing the process to select the best qualified candidates to be nominated; helping nominees prepare for the competition; and ensuring that the institutions' nominations are complete and are forwarded to the Udall Scholarship Review Committee by the stated deadline. The following information on the official nominating materials, must be included with each scholarship nomination: (1) Institutional Nomination Form that verifies the nominee's eligibility and formally transmits the nomination to the Review Committee. This document must be completed and signed by the faculty representative or the nomination cannot be considered; (2) Nominee Information Form that affirms the nominee's wish to be considered for the scholarship; provides biographical information about the nominee's background, interests and plans, and provides a statement about the nominee's intent to enter an eligible career and specifies how the student's educational plans will provide preparation for that career; (3) nominee's essay of 800 words or less analyzing a speech or piece of legislation of Congressman Udall's and how it relates to the nominee's interests and career goals; (4) three Independent Evaluation of Nominee forms. The nominee must secure letters of recommendation from a current or recent faculty member that can discuss the nominee's potential for a career in the environment, health care, or tribal public policy; a current or recent faculty member in the nominee's field of study; and another individual that can attest to the nominee's potential; and (5) transcript of the nominee's college grades.

Award Procedure:

The Morris K. Udall Foundation will select individuals from the academic and professional community to serve as the Udall Review Committee. The Morris K. Udall Foundation staff will initially verify the contents of the nomination packets for completeness and then assemble the information for review by the Committee, that will meet at a designated location for one weekend to review and select 80 scholars and 50 honorable mention recipients. Students will be evaluated on the basis of: (a) Outstanding leadership capabilities, (b) academic excellence, (c) the appropriateness of student's plan of study to prepare for a career in the environment, health care, or tribal public policy, and (d) dedication to the values exemplified by Morris K. Udall during his career, i.e., civility, integrity, and consensus. The Review Committee has sole discretion in the selection of the 80 scholars.

Deadlines:

March 4 of each year for all nominations to be received.

Range of Approval/Disapproval Time:

March 4, of each year for receipt of nominations; March of each year for selection of scholars by Udall Review Committee; April of each year for announcement of Udall scholars.

Appeals:

None.

Renewals:

Sophomore recipients of Morris K. Udall Scholarships may apply for another scholarship by going through the application process described above.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

To be eligible to receive payments, a Udall scholar must matriculate full-time and remain in good academic standing. Scholar's institution must confirm in writing the cost of attendance and that the Scholar is enrolled full-time and in good academic standing. The Foundation will send each institution a form containing the student's name and social security number. This form will be completed and returned to the Foundation at least 30 days prior to the beginning of the fall semester. The Foundation will review forms for completeness of information and appropriate signatures from school officials. Scholars are

required to submit a payment request form containing information regarding living expenses and additional costs that may be covered. Scholars may receive up to \$5,000 at the beginning of the fall semester or may receive a partial payment in the fall semester and another at the beginning of the spring semester.

Reports:

Not required.

Audits:

Not required.

Records:

Not applicable.

Account Identification:

95-0900-0-1-502.

Obligations:

(Grants) FY 07 \$400,000; FY 08 est \$400,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$5,000.

PROGRAM ACCOMPLISHMENTS:

As of fiscal year 2007, 914 scholarships were awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

None. Informational bulletins are available upon request.

Regional or Local Office:

Contact: Christopher L. Helms, Executive Director, 130 S. Scott Avenue, Tucson, AZ 85701. Telephone: (520) 901-8500. FAX (520) 670-5530; or Melissa Millage, Program Manager. Telephone: (520) 901-8562. FAX (520) 670-5530.

Headquarters Office:

Contact: Christopher L. Helms, Executive Director, 130 S. Scott Avenue, Tucson, AZ 85701. Telephone: (520) 901-8500. FAX (520) 670-5530; or Melissa Millage, Program Manager. Telephone: (520) 901-8562. FAX (520) 670-5530.

Web Site Address:

<http://www.udall.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

85.401 MORRIS K. UDALL FELLOWSHIP PROGRAM

FEDERAL AGENCY:

THE MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

AUTHORIZATION:

Morris K. Udall Scholarship and Excellence in National Environmental and Native American Public Policy Act of 1992, Public Law 102-259. 106 Stat. 78, 20 U.S.C. 5601-5609.

OBJECTIVES:

To honor former Arizona Congressman Morris K. Udall through the operation of internship, scholarship, and fellowship programs, financed by a permanent trust fund endowment, to develop increased opportunities for young Americans to prepare for and pursue careers related to the environment and to Native Americans and Alaska Natives who intend to pursue careers in health care and tribal public policy.

TYPES OF ASSISTANCE:

Project Grants (Fellowships).

USES AND USE RESTRICTIONS:

Assistance is intended for the use of Fellows only.

Applicant Eligibility:

Applicants must be full-time candidates about to enter the final year of writing their dissertation in the area of environmental public policy and environmental conflict resolution and anticipate receiving their doctoral degree at the end of the fellowship year. The competition is open to United States citizens and permanent residents of the United States.

Beneficiary Eligibility:

United States citizens and permanent residents of the United States.

Credentials/Documentation:

Applicants must have completed all course work, passed all preliminary exams and had the dissertation research proposal or plan approved. Dissertation fellowships are open to scholars in the area of environmental public policy and environmental conflict resolution. Applicants must be full-time candidates in the final year of writing their dissertation and anticipate receiving their degree at the end of the fellowship year. Applicants attending any accredited institution of higher education in the United States will be eligible to apply.

Preapplication Coordination:

Each September, the Udall Foundation will distribute the program announcement to advertise the Udall Dissertation Fellowship Program. This mailing will be sent to deans and directors of 600 graduate schools and departments throughout the United States. The program announcement will include a telephone number, website, and mailing address for students to

download an application or call to request an application. Application forms will be sent directly to the doctoral student who will be responsible for completing the material and returning them to the Udall Foundation by February 3. This program has been excluded from E.O. 12372.

Application Procedure:

The following items constitute a complete application package and must be submitted in a single packet: (1) Application Form, (2) narrative autobiography not to exceed 500 words, including a statement of career plans and goals and should discuss any special circumstances that have affected the chronology of the applicant's career and the achievement of his/her goals, (3) curriculum Vitae listing all published books, papers and reports of investigations (date, publisher, and page number), (4) statement of project, (5) transcripts, (6) three letters of recommendations, (7) Institutional Certification Form certifying the completion of all required course work and qualifying examinations for the doctorate and approval of dissertation research prospectus signed by an appropriate graduate school official, and (8) Office Record Card.

Award Procedure:

The Morris K. Udall Foundation will select three individuals from the academic and professional community to serve as the Udall Review Committee. The Foundation will initially verify the contents of the nomination packets for completeness and then assemble the information for review by the selection committee. The selection criteria includes (1) Quality of project design, (2) originality of project, (3) scholarly significance of project to the discipline, (4) feasibility of project and proposed schedule, (5) qualifications of applicant, (6) potential of applicant to make a significant contribution to the field, and (7) applicant's commitment to environmental public policy and conflict resolution. The Selection Committee will recommend two Fellows and two Alternates.

Deadlines:

February 21, for all applications.

Range of Approval/Disapproval Time:

February 21, of each year for receipt of applications; March of each year for selection of Fellows by Udall Selection Committee; April of each year for announcement of Udall Fellows.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

A Udall Dissertation Fellow is expected to pursue his/her project full-time during the fellowship year, July 1 - June 30. No partial fellowships are awarded. Acceptance of any concurrent funds must have prior approval from the Foundation. Any major changes in plans for the fellowship year must have prior written approval from the Foundation. The Foundation must be notified promptly of any change in the status of an application resulting from acceptance of another award. Stipend checks are made payable to fellows, not to institutions. Dissertation Fellowships fund living and educational expenses for a period of twelve months while a fellow pursues full-time writing of the dissertation. Fellowships do not fund; research assistants, laboratory supplies and equipment, purchase of computer equipment, publication costs, travel to professional meetings, conferences or seminars, tuition for additional course work, repayment of loans or other personal obligations (fellowships are not retroactive), tuition for a dependent's education. The first stipend payment will be deposited by July 1 and the second January 15.

Reports:

Not required.

Audits:

Not required.

Records:

Not applicable.

Account Identification:

95-0900-0-1-502.

Obligations:

(Grants) FY 07 \$48,000; FY 08 est \$48,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Average is \$24,000.

PROGRAM ACCOMPLISHMENTS:

As of fiscal year 2007, 24 fellowships were awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

None. Information bulletins are available upon request.

Regional or Local Office:

None.

Headquarters Office:

Contact: Christopher L. Helms, Executive Director, 130 S. Scott Ave., Tucson, AZ 85701. Telephone: (520) 901-8500. FAX (520) 670-5530; or Jane Curlin, Senior Program Manager. Telephone: (520) 901-8565. FAX (520) 670-5530.

Web Site Address:

<http://www.udall.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

85.402 MORRIS K. UDALL NATIVE AMERICAN CONGRESSIONAL INTERNSHIP PROGRAM**FEDERAL AGENCY:**

THE MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

AUTHORIZATION:

Morris K. Udall Scholarship and Excellence in National Environmental and Native American Public Policy Act of 1992, Public Law 102-259, 106 Stat. 78, 20 U.S.C. 5601.

OBJECTIVES:

To honor former Arizona Congressman Morris K. Udall through the operation of internship, scholarship and fellowship programs, financed by a permanent trust fund endowment, to develop increased opportunities for young Americans to prepare for and pursue careers related to the environment and to Native Americans and Alaska Natives who intend to pursue careers in health care and tribal public policy.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Assistance is intended for the use of interns only. Interns will be provided with airfare to and from Washington, DC; lodging in central DC; per diem to cover meals, transportation and incidentals. A \$1,200 stipend at the conclusion of the internship is given.

Applicant Eligibility:

Applicants must be a member of a recognized tribe or an Alaskan native; be a matriculated junior, senior, graduate student or law student in a college or university; have a minimum 3.2 GPA; and have an interest in tribal government and policy.

Beneficiary Eligibility:

U.S. citizens, U.S. permanent residents, Alaska Natives, and enrolled members of a recognized tribe will benefit.

Credentials/Documentation:

Applicants must be a matriculated junior, senior, law student or graduate student pursuing a degree or graduating from an accredited institution of higher education at the time of nomination.

Preapplication Coordination:

In September, the Udall Foundation will distribute the program announcement/application to announce the Native American Congressional Internship Program. The program announcement will include a telephone number, website and mailing address for students to request an application. A mailing will be sent to approximately 1,500 individuals as follows: (1) Deans and Directors of U.S. Graduate Schools; (2) Deans of U.S. Law Schools; (3) Directors of Native American Studies Departments at U.S. Institutions; (4) Tribal Councils; and (5) Native American Organizations and Associations. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must include a completed Internship Application Form, an 800 word essay analyzing a piece of legislation sponsored by Congressman Udall that relates to the applicant's interests and career goals, and provide three references, one from a leader in his/her tribe, one from a faculty member in the applicant's field of study, and another individual that can attest to the applicant's potential, and a resume. The application packet must be returned by January 31.

Award Procedure:

The Morris K. Udall Foundation will select four or five Native Americans from the academic and professional community to serve as the Udall Review Committee. The Udall Foundation will initially verify the contents of the applications packets for completeness and then assemble the information for review by the committee. Applicants will be evaluated on the basis of: (1) Outstanding leadership capabilities, (2) academic excellence, (3) commitment to a career in tribal public policy, and (4) dedication to the values exemplified by Morris K. Udall during his career, i.e., civility, integrity and consensus. The Review Committee has sole discretion in the selection of the interns.

Deadlines:

Nominations must be received by January 31 of each year.

Range of Approval/Disapproval Time:

January 31 of each year for receipt of applications; February of each year for selection of interns by Udall Review Committee; and April of each year for announcement of Udall interns.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Native American interns will be provided with airline tickets and an advance of funds prior to their arrival in Washington. Interns will receive a check every other week for living expenses. Airline tickets and lodging will be prepaid by the Udall Foundation. A \$1,200 stipend will be presented to each intern at the end of the internship program.

Reports:

Not required.

Audits:

Not required.

Records:

Not applicable.

Account Identification:

95-0900-0-1-502.

Obligations:

(Grants) FY 07 \$170,000, and FY 08 est \$170,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$3,160 to \$3,685.

PROGRAM ACCOMPLISHMENTS:

One hundred thirty-eight (10-week) internships have been completed in various Congressional offices and the White House as of fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Informational bulletins are available upon request.

Regional or Local Office:

130 S. Scott Avenue, Tucson, AZ 85701. Contact: Colin Ben, Program Manager, Telephone: (520) 901-8568. FAX (520) 670-5530.

Headquarters Office:

130 S. Scott Avenue, Tucson, AZ 85701. Contact: Colin Ben, Program Manager, Telephone: (520) 901-8568. FAX (520) 670-5530.

Web Site Address:

<http://www.udall.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

85.500 JAMES MADISON MEMORIAL FELLOWSHIP PROGRAM

(James Madison Foundation)

FEDERAL AGENCY:

JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION

AUTHORIZATION:

James Madison Memorial Fellowship Act, Public Law 99-591, 100 Stat. 3341-76, 20 U.S.C. 4501-4517.

OBJECTIVES:

To strengthen secondary school teaching of the principles, framing, and development of the U.S. Constitution; to contribute to a deeper understanding of American government and to foster in both teachers and students the spirit of civic participation that inspired the Nation's founders.

TYPES OF ASSISTANCE:

Project Grants (Fellowships).

USES AND USE RESTRICTIONS:

For future and current secondary school teachers of American history, American government, or social studies of grades seven through twelve.

Applicant Eligibility:

College seniors and college graduates without teaching experience (Junior Fellow) and experienced secondary school teachers of grades seven through twelve (Senior Fellows) may apply.

Beneficiary Eligibility:

U.S. Citizens or U.S. Nationals will benefit.

Credentials/Documentation:

A Senior Fellow must be a full-time teacher of American history, American government, or social studies in grades seven through twelve and be under contract or prospective contract to teach full time as a secondary school teacher of the same subjects. A Junior Fellow must possess a bachelor's degree or plan to receive a bachelor's degree no later than August 31 of the year in which he or she is applying. An applicant must have a demonstrated record of commitment to civic responsibilities and to professional and collegial activities; qualify for admission with graduate standing at an accredited university that offers a qualifying Master's degree program (a Master of Arts in history or political science, a Master of Arts in teaching, in history, or in social studies, a related Master's degree in education that permits a concentration in history, government, social studies or political science) which allows at least twelve semester hours or their equivalent of study of the origins, principles, and development of the U.S. Constitution and its comparison with the histories of other forms of government (six of these required twelve semester hours of constitutional study may be earned at the Foundation's required four-week Summer Institute on the

Constitution); and be committed to teaching American history, American government, or social studies full time in grades seven through twelve after the receipt of a Master's degree for a period of not less than one year for each full academic year of study for which assistance is received under a fellowship, preferably in the State of the Fellow's legal residence at the time of the fellowship award.

Preapplication Coordination:

Application materials may be requested by individuals who meet the eligibility requirements stated above. Completed applications must be received by March 1. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Individuals wishing to apply for fellowships must request application materials from the Foundation. Applications must include: (a) Supporting information which affirms an applicant's wish to be considered for a fellowship; information about the applicant's background, interests, goals, academic record, and an explanation of the applicant's educational plans and how those plans will lead to or enhance a career as a teacher; (b) an essay of up to 600 words that explains the importance of study of the Constitution: 1) To young students; 2) to the applicant's career aspirations and his or her contribution to public service; and 3) to citizenship generally in a constitutional republic; (c) the applicant's proposed course of graduate study including the specific degree sought and the courses to be taken that leads to a Master's degree; and (d) three evaluations that attest to the applicant's academic achievements and intellectual strengths and to his or her potential or proven ability as a secondary school teacher.

Award Procedure:

An independent Fellow Selection Committee will evaluate all valid applications and recommend the most outstanding applicant or applicants from each State for James Madison Fellowships. Applicants compete only against other applicants from the State of their legal residence. Applicants are evaluated on their: (a) Demonstrated commitment to a career in teaching American history, American government, or social studies at the secondary level; (b) demonstrated intent to pursue a program of graduate study that emphasizes the Constitution and offers classroom instruction in that subject; (c) demonstrated devotion to civic responsibility; (d) demonstrated capacity for graduate study, as well as proven potential performance as classroom teachers (for Junior Fellowship applicants) or proven outstanding performance as classroom teachers (for Senior Fellowship applicants); and (e) proposed courses of graduate study, especially the nature and extent of their subject content components and their relationship to the enhancement of the applicants' teaching and professional activity. In this regard, a content degree such as one in history or political science may enhance an application versus a MED or a MAT. Candidates recommended for fellowships by the Fellow Selection Committee will be named James Madison Fellows. The selection procedure will ensure that at least one James Madison Fellow (junior or senior) is selected from each State in which there are at least two resident applicants who meet the minimum criteria of eligibility and are judged to merit an award.

Deadlines:

February 15, each year for request of application materials; March 1, each year for receipt of applications for the James Madison Fellowship competition.

Range of Approval/Disapproval Time:

April, each year the Fellow Selection Committee meets to recommend to the Foundation the appointment of Fellows; April, each year the James Madison Fellows are named by the James Madison Memorial Fellowship Foundation (applicants are notified of selection results); May, each year the names of new James Madison Fellows are publicly announced.

Appeals:

None.

Renewals:

Top contenders and applicants who were not awarded a fellowship are encouraged to submit a fresh application for the current year's competition. Top contenders may elect to sign a Resubmission Agreement authorizing their original application to be placed before the current year's Fellow Selection Committee for consideration for a fellowship award. A Fellowship Application Update Form is also provided if an applicant chooses to include such information.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

It is the intent of the Foundation to renew Junior Fellowships annually for a period not to exceed 2 calendar years and to renew Senior Fellowships annually for a period not to exceed five calendar years, or until a Fellow has completed the minimum number of credits required for the Master's degree, whichever comes first. Renewal will be in accordance with regulations established by the Foundation, subject to an annual review and certification by authorized officials of the university at which a Fellow is registered that the Fellow (if a Junior Fellow) is not engaged in gainful employment that interferes with the Fellow's studies and that the Fellow is making satisfactory progress toward the degree and is in good academic standing. In some cases, Junior Fellows may be permitted to study part-time and Senior Fellows to study full-time. The Foundation may suspend or terminate a fellowship when it obtains evidence that a Fellow is not

making satisfactory progress toward the degree or is not in compliance with fellowship requirements.

Reports:

Each Fellow must complete the graduate degree study for which fellowship payments have been advanced and provide evidence of the receipt of a qualifying Master's degree within the amount of time indicated above. This study must include participation in an annual Summer Institute on the Constitution. After receiving the Master's degree, each Fellow must teach American history, American government, or social studies in grades seven through twelve for no less than 1 year for each full academic year of study under a fellowship. A Professional Teaching Obligation form is required for annual verification of professional status as a secondary level school teacher.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

95-8282-0-7-502.

Obligations:

(Fellowships) FY 07 \$1,091,000; FY 08 est \$1,125,000; and FY 09 est \$1,175,000.

Range and Average of Financial Assistance:

Payments are made only for the actual costs of tuition, required fees, books, shared room, and board for the pursuit of qualifying degrees. The maximum amount of each award is \$24,000, pro-rated over the period of study. In no case shall the award exceed \$12,000 for one academic year of study. Normally, Fellows receive less than these maximum amounts. Payments are made only for the minimum number of credits required for the award of the degree for which a Fellow is registered and for no more.

PROGRAM ACCOMPLISHMENTS:

Since 1992, the year of the Madison Foundation's inaugural national competition, more than 800 Fellowship Awards have been made to prospective and existing secondary school teachers. There are currently 93 active Junior Fellows (prospective and Senior Fellows teachers) and 271 active Senior Fellows (existing teachers) from the fifteen classes of Fellows.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 2400.

Regional or Local Office:

None.

Headquarters Office:

James Madison Memorial Fellowship Foundation. Contact: Mr. Steve Weiss, Director of Administration and Finance, 2000 K Street, NW., Suite 303, Washington, DC 20006. Telephone: (202) 653-8700. FAX (202) 653-6045. E-mail: sweiss@jamesmadison.com.

Web Site Address:

<http://www.jamesmadison.com>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

85.601 SMITHSONIAN INSTITUTION FELLOWSHIP PROGRAM

FEDERAL AGENCY:

SMITHSONIAN INSTITUTION

AUTHORIZATION:

Smithsonian's Office of Fellowships, administered under the charter of the Institution, 20 U.S.C. Section 41 et seq.

OBJECTIVES:

Fellowships are offered by the Smithsonian Institution to provide opportunities for graduate students, predoctoral students, and postdoctoral and senior investigators to conduct research in association with members of the Smithsonian professional research staff, and to utilize the resources of the Institution.

TYPES OF ASSISTANCE:

Project Grants (Fellowships).

USES AND USE RESTRICTIONS:

The Smithsonian Institution offers fellowships and internships for research and study in fields that are actively pursued by the museums and research organizations of the Institution. At present these fields are: Animal behavior, ecology, and environmental science, including an emphasis on the tropics; Anthropology, including archaeology; Astrophysics and astronomy; Earth sciences and paleobiology; Evolutionary and systematic biology; History of science and technology; History of art, especially American, contemporary African, and Asian art, twentieth-century American crafts, and decorative arts; Social and cultural history of the United States; Folklore.

Applicant Eligibility:

Postdoctoral Fellowships are offered to scholars who have held the degree or equivalent for less than seven years. Senior Fellowships are offered to scholars who have held the degree or equivalent for seven years or more. Applicants must submit a detailed proposal including a justification for conducting research in residence at the Institution. Predoctoral Fellowships are offered to doctoral candidates who have completed preliminary course work and examinations. The applicant must submit a detailed proposal including a justification for conducting the research in-residence at the Institution. Graduate Student Fellowships are offered to students formally enrolled in a graduate program of study, who have completed at least one semester, and not yet have been advanced to candidacy if in a Ph.D. Program. Graduate students or postdoctoral researcher conducting research in the fields pursued by the Smithsonian, from any country, are welcome to apply.

Beneficiary Eligibility:

Citizens from all countries will benefit.

Credentials/Documentation:

Transcripts (or other materials when transcripts are not issued) from all appropriate institutions are required, except for senior fellowship applications. Applicants for graduate student fellowships must submit undergraduate and graduate transcripts. Applicants for predoctoral and postdoctoral fellowships need only submit graduate transcripts. Unofficial photocopies are acceptable. If transcripts or other materials are not in English the applicant should provide translations.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

To obtain application materials please go to www.si.edu/research+study. Applicants must submit 6 complete copies including - completed cover sheets, research proposal, curriculum vitae, transcripts, and two letters of reference.

Award Procedure:

Applications are evaluated and fellows are selected, by scholars in appropriate fields, on the basis of the proposal's merit, the applicant's ability to carry out the proposed research and study, the likelihood that the research could be completed in the requested time, and the extent to which the Smithsonian, through its research staff members and resources, could contribute to the proposed research. The number of appointments made each year is determined by the availability of funds for the program. The Smithsonian Fellowship Program does not discriminate on grounds of race, creed, sex, age, marital status, condition of handicap, or national origin of any applicant. For more information, lists of past recipients and/or applications go to www.si.edu/research+study.

Deadlines:

January 15 postmark annually.

Range of Approval/Disapproval Time:

Notification after April 15th.

Appeals:

None.

Renewals:

None. However, applicants may reapply.

Formula and Matching Requirements:

Financial support, in addition to a Smithsonian fellowship, for such purposes as research travel and equipment may be received from other sources provided that no special demands are made upon the fellow's time. Permission to receive additional stipend support must be requested in writing from the Office of Fellowships.

Length and Time Phasing of Assistance:

10 week to one year.

Reports:

Fellows will be required to submit a final report to the Office of Fellowships.

Audits:

None.

Records:

None.

Account Identification:

33-0100-0-1-503.

Obligations:

(Research Fellowships) FY 07 \$1,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Stipend levels range from \$4,500 to \$40,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

To receive applications or more information contact: Office of Fellowships, Smithsonian Institution, www.si.edu/research+study siofg@si.edu, 202.275.0655. For the mailing address, see below.

Regional or Local Office:

None.

Headquarters Office:

Office of Fellowships, Smithsonian Institution, Victor Building, Suite 9300, MRC 902, PO Box 37012, Washington DC 20013-7012.

Web Site Address:

www.si.edu/research+study

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Past recipients can be found at www.si.edu/research+study.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated and fellows are selected, by scholars in appropriate fields, on the basis of the proposal's merit, the applicant's ability to carry out the proposed research and study, the likelihood that the research could be completed in the requested time, and the extent to which the Smithsonian, through its research staff members and resources, could contribute to the proposed research. The number of appointments made each year is determined by the availability of funds for the program.

PENSION BENEFIT GUARANTY CORPORATION

86.001 PENSION PLAN TERMINATION INSURANCE

(ERISA)

FEDERAL AGENCY:

PENSION BENEFIT GUARANTY CORPORATION

AUTHORIZATION:

Employee Retirement Income Security Act of 1974 (ERISA), Title IV (Plan Termination Insurance), Public Law 93-406, as amended; Public Law 96-364; Multi-employer Pension Plan Amendments Act of 1980; Public Law 99-272; Single-Employer Pension Plan Amendments Act of 1986; Pension Protection Act of 1987, Public Law 100-203; Omnibus Budget Reconciliation Act of 1989, Public Law 101-239; Retirement Protection Act of 1994, Public Law 103-465; Job Creation and Worker Assistance Act of 2002; Pension Funding Equity Act of 2004.

OBJECTIVES:

To encourage the continuation and maintenance of voluntary private pension plans for the benefit of their participants, to provide for the timely and uninterrupted payment of pension benefits to participants and beneficiaries in plans covered by the PBGC, and to maintain premiums charged by the PBGC at the lowest level consistent with carrying out its obligations.

TYPES OF ASSISTANCE:

Insurance.

USES AND USE RESTRICTIONS:

Insurance coverage is mandatory for most private, defined benefit pension plans.

A single-employer plan may terminate in a standard termination only if it has sufficient assets to provide all benefits, and in a voluntary distress termination only if the employer sponsoring the plan can satisfy specified distress criteria. Upon a voluntary distress termination or a termination initiated by the PBGC, the PBGC guarantees payment of nonforfeitable benefits under the terms of the plan within limits specified in the law. The guaranteed basic benefits payable to a participant or beneficiary under a covered single-employer plan may not exceed the actuarial value at the time of termination of a monthly benefit in the form of a life annuity commencing at age 65 equal to the lesser of: (1) A \$750 adjusted annually to reflect changes in the Social Security contribution and benefit base since 1974, or (2) a participant's high consecutive five-year average monthly gross income. When covered plans or amendments to them are less than 5 years old at termination, benefits are guaranteed on a graduated basis. Benefits of persons defined as "substantial owners" are guaranteed on a 30-year graduated basis, subject to certain additional limits in the case of increased benefits due to plan amendment. For multi-employer plans there is a program of reorganization for plans with funding problems. The PBGC is required to extend financial assistance to insolvent multi-employer plans in order that they may be able to meet plan obligations for guaranteed benefits. Insurance coverage is mandatory for any plan that is an employee pension plan benefit established or maintained by: (1) An employer engaged in or affecting commerce; (2) an employee organization engaged in or affecting commerce; or (3) both, if certain requirements relating to the Internal Revenue Code are met. However, insurance coverage does not extend to any plan that is: (1) an individual account plan; (2) established and maintained for Federal, State or local government employees; (3) a church plan, unless the plan has made an election under 26 U.S.C. 410(d) and has notified PBGC of such election; (4) a plan that has not provided for employer contributions after September 2, 1974; (5) unfunded and maintained by an employer primarily to provide deferred compensation for a select group of management or highly compensated employees; (6) established and maintained outside of the U.S. primarily for the benefit of non-resident aliens; (7) maintained by an employer solely for the purpose of providing benefits for certain employees in excess of the limitations on contributions and benefits imposed by the Internal Revenue Code; (8) established and maintained exclusively for substantial owners; (9) that of an international organization that is exempt from taxation; (10) maintained solely for the purpose of complying with applicable workmen's compensation laws or unemployment compensation or disability insurance laws; or (11) established and maintained by a professional service employer that has not at any time since September 2, 1974, had more than 25 active participants.

Applicant Eligibility:

Private businesses and organizations that maintain defined benefit plans and participants (and beneficiaries) in such plans.

Beneficiary Eligibility:

All participants (and their beneficiaries) in covered single-employer pension plans may be potentially eligible for plan termination insurance payments. All covered multi-employer plans may be eligible for financial assistance needed to ensure payment of guaranteed benefits.

Credentials/Documentation:

In support of a termination notice, information relating to plan asset sufficiency must be furnished by the plan administrator of a single-employer plan.

Information relating to financial operations of the employer must also be

furnished for a distress termination.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

As soon as practicable after complying with certain statutory notice requirements, a plan administrator who intends to terminate a covered single-employer plan must submit to the PBGC either a standard termination notice or a distress termination notice depending on the type of termination sought. Applications relating to a standard or distress termination should be sent to PBGC, Processing and Technical Assistance Branch, 1200 K Street, N.W., Suite 930, Washington, DC 20005-4026. Applications for multi-employer plan matters should be made in accordance with applicable PBGC regulations and other published guidelines.

Award Procedure:

The PBGC determines whether the assets of a covered single-employer plan that has notified the PBGC of its intent to terminate in a distress termination, or against which the PBGC has initiated termination proceedings, are sufficient to pay guaranteed benefits. If plan assets are found to be insufficient or the PBGC is unable to determine asset sufficiency, the PBGC will normally have itself named as trustee to administer the plan. The PBGC pays guaranteed benefits. The PBGC also pays other benefits funded by plan assets or recoveries from employers.

Deadlines:

An annual premium must be paid for each covered plan generally no later than 9 1/2 months into the plan year, e.g., annual premiums are due October 15th for calendar-year plans (Form 1 or Form 1-EZ). Plans with 500 or more participants (for the prior year) generally must also file an estimated premium with the PBGC (for the current year) by the last day of the second full calendar month following the close of the prior plan year (Form 1-ES). Premiums must be paid through the plan year in which all plan benefits are distributed in a standard termination or a trustee is appointed under ERISA Section 4042. For further information or copies of forms contact: PBGC, Collection and Compliance Division, Suite 670, 1200 K Street, NW., Washington, DC 20005-4026, Telephone (202) 326-4042 or 1-800-736-2444. Standard Termination: Notice of Intent to Terminate (NOIT). The plan administrator of a single-employer plan must issue a notice of intent to terminate the plan, by hand, mail, or electronic means reasonably calculated to ensure actual receipt, to each individual plan participant, beneficiary of a deceased participant, and union representing plan participants (but not to the PBGC) at least 60 days and no more than 90 days in advance of the proposed termination date. PBGC Notice: No later than 180 days after the proposed termination date the administrator must file with the PBGC a notice including, among other items, an enrolled actuary's certification that plan assets will be sufficient to provide all benefit liabilities as of the date of final distribution, with the underlying total asset and benefit values (Form 500). Notice of Plan Benefits: No later than the date the PBGC Notice is filed, the plan administrator must have provided information to each plan participant and beneficiary in plain language about his or her benefit, including the amount of that person's benefit, the form of benefit valued, and the factors (such as age, length of service, and actuarial assumptions) used in calculating the benefit. The plan administrator must also notify each plan participant and beneficiary of the identity of the insurer or insurers from whom the plan administrator intends to purchase annuities no later than 45 days before the date of distribution. Asset Distribution: The PBGC has 60 days after receipt of the PBGC Notice to determine whether the plan termination complies with the requirements for a standard termination. If the PBGC does not issue a Notice of Noncompliance and plan assets are sufficient to provide all benefit liabilities, the plan administrator may distribute the plan assets. The distribution must generally be completed within 180 days after the expiration of the 60-day review period and be done in accordance with plan provisions and PBGC regulations. If the plan administrator requests an IRS determination letter on or before the date of filing Form 500 with PBGC, the asset distribution deadline is extended to 120 days after the plan receives a favorable IRS determination letter. Within 30 days after final distribution of plan assets, the plan administrator must file with the PBGC a notice certifying that assets have been distributed as required (Form 501). However, PBGC will assess a penalty for a late filing only if it is filed more than 90 days after assets have been distributed. A terminating plan that is unable to locate an individual after a diligent search must either purchase an annuity for the individual and send annuity provider information to the PBGC or send the PBGC funds to pay for the individual's benefit (Schedule MP). Distress Termination Notice Requirements: The notice requirements for a distress termination differ from those for a standard termination in several ways: the 60-day to 90-day advance NOIT must be provided to the PBGC as well as to plan participants and other affected parties; the PBGC Notice (Form 601) must include information to demonstrate that each contributions sponsor and each member of the sponsor's controlled group meet at least one of the distress tests (liquidation, reorganization, business continuation, or unreasonable pension costs due to a declining workforce) as of

the proposed termination date. A plan administrator must provide Notice of Plan Benefits to plan participants and beneficiaries in a distress termination only if the plan has sufficient assets to provide at least guaranteed benefits.

Range of Approval/Disapproval Time:

None.

Appeals:

Any person aggrieved by an initial determination made by the PBGC which is covered by the appeals procedures may file an appeal. An appeal or a request for an extension of time to appeal should be submitted to the Appeals Board, Pension Benefit Guaranty Corporation, 1200 K Street, Suite 480, NW, Washington, DC 20005-4026. In addition, the PBGC has the authority to review informally, upon request, determinations that are not covered by the appeals procedures when it determines that it would be appropriate to do so.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Not applicable, except as noted in USES AND USE RESTRICTIONS section of this program.

Reports:

An annual report (Form 5500 series) must be filed by the plan administrator with the Department of Labor no later than the last day of the seventh month after the close of the prior plan year, subject to extensions. Certain events that may indicate serious problems relating to a plan also must be reported to the PBGC, normally within 30 days after the plan administrator or contributing sponsor learns of them. Such reportable events include failure to meet minimum funding standards; bankruptcy, insolvency or similar settlements; liquidation or dissolution; or transactions involving a change of employer. In the case of certain privately held companies, the PBGC must be given 30 days advance notice of certain corporate or plan events. Controlled groups that have more than \$50 million in unfunded vested benefits are required to provide plan actuarial and company financial information to the PBGC annually. Certain plans are required to provide participants annually with plan underfunding information, and to certify in the premium filing to the PBGC that they have provided the information.

Audits:

The PBGC determines whether the assets of a covered single-employer plan (that has either notified the Corporation of its intent to terminate in a distress termination, or against which the Corporation has initiated termination proceedings) are sufficient to pay plan benefit liabilities. Title IV imposes liability on an employer that terminates an insufficient single-employer plan for the amount of unfunded benefit liabilities. The PBGC also audits a statistically significant number of single-employer plans terminated in a standard termination to ensure that assets were distributed in full satisfaction of all benefit liabilities. In addition, the PBGC audits premium filings.

Records:

Records to support or validate premium payments must be kept for 6 years from the premium due date. In addition, records maintenance requirements for pension plans are specified by the Pension and Welfare Benefits Administration, Department of Labor.

Account Identification:

16-4204-0-3-601.

Obligations:

(Benefit payments) FY 07 est \$4,797,000,000; FY 08 est \$4,500,000,000; and FY 09 est not reported. (Financial Assistance to Multiemployer Plans) FY 07 \$93,000,000; FY 08 est \$106,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Monthly benefit range per retiree of PBGC's guarantee for plans terminating in 2006, in the single employer program: \$10 to the maximum guarantee from PBGC funds of \$3,971.59.

PROGRAM ACCOMPLISHMENTS:

The PBGC administers and insurance program guaranteeing certain pension benefits to 44.1 million participants in 30,300 private defined benefit pension plans. In FY 2005, there were 682,820 participants in pay status and 510,000 others eligible to receive benefits in the future.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 29 CFR, Chapter 40; PBGC Form 1-ES Package; PBGC Form 1 Package (includes Form 1-EZ); PBGC Form 500 Package; Schedule MP Package; PBGC Form 600 Package; PBGC Form 200; PBGC Form 10; PBGC Form 10-Advance; PBGC's Home Page on the World Wide Web at <http://www.pbgc.gov>.

Regional or Local Office:

Individuals may contact PBGC through any Department of Labor, Pension Welfare Benefits Administration Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Pension Benefit Guaranty Corporation, 1200 K Street, N.W., Washington, DC 20005-4026. Telephone: (202) 326-4000.

Web Site Address:

<http://www.pbgc.gov>.

RELATED PROGRAMS:

17.150, Employee Benefits Security Administration.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD

88.001 ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD

(Access Board)

FEDERAL AGENCY:

ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD

AUTHORIZATION:

Rehabilitation Act of 1973, Sections 502 and 508, as amended, 29 U.S.C. 792 and 794(d); Architectural Barriers Act of 1968, as amended, 42 U.S.C. 4151 et seq.; Americans with Disabilities Act of 1990, 42 U.S.C. 12101 et seq.; and Telecommunications Act of 1996, 47 U.S.C. 255.

OBJECTIVES:

To enforce Federal laws requiring accessibility for persons with disabilities in certain federally funded buildings and facilities throughout the Nation; set guidelines and requirements for accessibility standards prescribed by Federal agencies. Provide technical assistance and training on its design guidelines and standards to organizations, agencies, and individuals; and to conduct research to determine appropriate specifications for accessibility.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Technical information and assistance on creating a barrier free environment is available to Federal, State and local government agencies and to private organizations and individuals.

Applicant Eligibility:

Requests for information may be made by the general public as well as all agencies of Federal, State, and local government.

Beneficiary Eligibility:

General public, all levels of government, and private organizations will benefit.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Requests for information and assistance should be sent to the Office of Technical and Information Services, Architectural and Transportation Barriers Compliance Board, Suite 1000, 1331 F Street, NW, Washington, DC 20004-1111. Telephone: (202) 272-0080 (voice); (202) 272-0082 (TTY); FAX: (202) 272-0081; Web Site: www.access-board.gov; E-mail: ta@access-board.gov; Technical Assistance: (800) 872-2253 (voice), (800) 993-2822 (TTY). This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

95-3200-0-1-751.

Obligations:

(Salaries and expenses) FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Key missions of the Board include: Developing and maintaining accessibility guidelines for the built environment, transit vehicles, telecommunications equipment, and standards for electronic and information technology; providing technical assistance and training on these guidelines and standards; and enforcing design standards for federally funded facilities covered by the Architectural Barriers Act. The Board develops and updates its accessibility guidelines and standards through a process common to most Federal regulations

that invites public comment through publication in the Federal Register. About 30 publications are available from the Board, including copies of all its guidelines and standards and related guidance material, such as technical bulletins and brochures. The Board also funds and coordinates research to develop information necessary for producing guidelines or technical assistance materials.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines and standards available from the Board include the Americans with Disabilities Act Accessibility Guidelines (ADAAG), the Uniform Federal Accessibility Standards (UFAS), Telecommunications Act Accessibility Guidelines, and Electronic and Information Technology Accessibility Standards. General literature and various guidance material, such as technical bulletins and brochures, are available too.

Regional or Local Office:

Not applicable.

Headquarters Office:

Director, Office of Technical and Information Services, Architectural and Transportation Barriers Compliance Board, Suite 1000, 1331 F Street, NW., Washington, DC 20004-1111. Telephone: (202) 272-0080 (voice), (202) 272-0082 (TTY); FAX: (202) 272-0081; e-mail: info@access-board.gov. Technical Assistance: (800) 872-2253 (voice), (800) 993-2822 (TTY).

Web Site Address:

<http://www.access-board.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

89.001 NATIONAL ARCHIVES REFERENCE SERVICES- HISTORICAL RESEARCH

FEDERAL AGENCY:

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

AUTHORIZATION:

Public Law 90-621, 82 Stat.1238; National Archives and Records Administration Act of 1984, Public Law 98-497, 98 Stat. 2280.

OBJECTIVES:

Objectives: To provide professional reference service to members of the general public and employees of the Federal government who are conducting research in Federal records or in historical materials in Presidential Libraries; provide the general public with research assistance in gaining access to and use of the records of the Federal government; provide advice and information to the public through conferences, workshops and other outreach activities on the availability and use of Federal records in the NARA's custody and, preparing for publication and dissemination articles of a scholarly nature for historical journals and technical publications.

TYPES OF ASSISTANCE:

Use of Property, Facilities, and Equipment; Advisory Services and Counseling; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

The assistance provided by NARA is designed to assist the public in obtaining access to information contained in records which are in the custody of the National Archives. Restrictions on the use of accessioned records in the National Archives of the United States are divided into two categories: general restrictions and specific restrictions. General restrictions apply to all records regardless of origin and apply to types of information or classes of records exempt from disclosure under the Freedom of Information Act, for example, national security information. Specific restrictions are specified by the transferring agency or donor and apply only to records in a particular record group or a portion of that record group. All restrictions are included in 36 CFR 1256. Access to records in the records center operations within NARA's regional records services facilities; in the National Personnel Records Center; and in the Washington National Records Center is controlled by the agency which created the records.

Applicant Eligibility:

Anyone (general public).

Beneficiary Eligibility:

Anyone (general public).

Credentials/Documentation:

Photographic identification.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

To see records in person, NARA Form 14003, Researcher Application, is required. To obtain information or copies by mail, contact the depository; if a form is required, applicant will be notified. The use of publications, such as microfilm publications of the U.S. Census, does not require the completion of a Researcher Application. Microfilm publications are available in regional facilities in self-service reference rooms. Request for civilian and military personnel records should be addressed to the National Personnel Records Center. Requests for court records should be addressed to the appropriate regional records services facility. Requests for records held in records center operations of the NARA regional records services facility and in the Washington National Records Center should be addressed directly to the agency having legal custody.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

88-0300-0-1-804.

Obligations:

(Salaries and expenses) FY 07 \$47,780,197; (Records Services-Washington, DC, \$25,940,000; Presidential Libraries, \$2,949,857; Regional Records Services, \$18,890,340); FY 08 est \$52,185,895; (Records Services-Washington, DC, \$28,402,000; Presidential Libraries, \$3,645,000; Regional Records Services, \$20,138,895); and FY 09 est \$53,906,365; (Records Services-Washington, DC, \$28,524,000; Presidential Libraries, \$3,751,000; Regional Records Services, \$21,631,365).

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2007, the National Archives provided a total of 1,327,131 reference responses from the following sources: Records Services-Washington, DC, provided 86,056; Regional Records Services provided 1,203,109; and Presidential Libraries provided 37,966. National Archives provided 519,625 reference items to researchers for fiscal year 2007: Records Services-Washington, DC, provided 400,106; Regional Records Services provided 48,915; and Presidential Libraries provided 70,604. It is estimated that for each of the fiscal years 2008 and 2009, the National Archives will provide 1,338,056 reference responses (Records Services-Washington, DC, 79,958; Regional Records Services 1,220,894; and Presidential Libraries 37,204); and 508,456 items to researchers (Records Services-Washington, DC, 372,156; Regional Records Services 66,670; and Presidential Libraries 69,630).

REGULATIONS, GUIDELINES, AND LITERATURE:

36 CFR Chapter XII, Subchapter C. Over 200 printed publications are available as listed in General Information Leaflet No. 3, "Select List of Publications of the National Archives and Records Administration," available without charge from the Publication Services (NEPS), National Archives and Records Administration, Washington, DC 20408.

Regional or Local Office:

See Appendix IV of the Catalog for a list of addresses.

Headquarters Office:

Office of Records Services-Washington, DC., National Archives and Records Administration, College Park, MD 20740-6001. Telephone: (301) 837-3110. Regional records services facilities: Office of Regional Records Services, National Archives and Records Administration, College Park, MD 20740-6001. Telephone: (301) 837-1982. Office of Presidential Libraries, National Archives and Records Administration, College Park, MD 20740-6001. Telephone: (301) 837-3250.

Web Site Address:

<http://www.archives.gov>.

RELATED PROGRAMS:

42.006, Library of Congress Library Services; 42.007, Reference Services in Science, Technology and Business; 89.003, National Historical Publications and Records Grants.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

89.003 NATIONAL HISTORICAL PUBLICATIONS AND RECORDS GRANTS

FEDERAL AGENCY:

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

AUTHORIZATION:

Public Law 90-620, 82 Stat. 1238; Public Law 92-546, 86 Stat. 1155; Public Law 93-536, 88 Stat. 1734; Public Law 96-98, 93 Stat. 731; National Archives and Records Administration Act of 1984, Public Law 98-497, 98 Stat. 2280; Public Law 98-189, 97 Stat. 1323; Public Law 100-365, 102 Stat. 823; Public Law 103-262, 108 Stat. 706; Public Law 104-274, 110 Stat. 3321; 44 U.S.C. 2501-2506; Public Law 106-410, 114 Stat.1788; Public Law 108-383,118 Stat. 2218.

OBJECTIVES:

To undertake a wide-range of activities related to the preservation, publication, and use of documentary sources relating to the history of the United States.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for projects to strengthen the nation's archival infrastructure through collaboration with the States; to provide leadership in preserving and making accessible important documentary sources in electronic form; to improve the knowledge, performance, and professional skills of those who work with historical records; for the preservation, arrangement and description of records of historical interest; and for the publication in book, microform or electronic editions of papers and documents of national historical significance, especially in completing editions of papers on America's founding era.

Applicant Eligibility:

State and local governments, U.S. territorial agencies, federally and State recognized Indian tribes (see 44 U.S.C. 2504), educational and other nonprofit institutions (e.g., universities, colleges, libraries, historical societies, museums, university presses, archives, etc.) and individuals.

Beneficiary Eligibility:

State and local governments, U.S. territorial agencies, federally and State recognized Indian tribes, educational and other nonprofit institutions, and individuals.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Go to www.grants.gov or www.archives.gov/grants to request information from headquarters office. This program is subject to the provisions of OMB Circular No. A-110 for institutions of higher education, hospitals, and other nonprofit institutions (36 CFR 1210). Applications from State and local governments are subject to the provisions of the common rule for State and local grant administration, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," dated (36 CFR 1207). The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Award Procedure:

Applications are reviewed by state historical records advisory boards, subject area specialists and other appropriate individuals. Grant awards are recommended by the National Historical Publications and Records Commission at biennial meetings. Grants are formally made by the Archivist of the United States to the applicant institution or individual by letter.

Deadlines:

Available at www.grants.gov or www.archives.gov/grants or contact the National Historical Publications and Records Commission headquarters office.

Range of Approval/Disapproval Time:

From 4 to 6 months.

Appeals:

Applications may be revised and resubmitted in accordance with established procedures.

Renewals:

Procedures for the renewal of applications are the same as the original application procedure process.

Formula and Matching Requirements:

Subject to consideration, depending on the project.

Length and Time Phasing of Assistance:

Varies depending on scope of project.

Reports:

Financial status reports and narrative progress reports are required for all grants. Standard Form 269, Financial Status Report, shall be used for all financial reports; which are due 30 days after the end of each 12-month period. Narrative reports are due 30 days after the end of each 6-month period. Final reports are due within 90 days after the expiration or termination of the grant period. Grants with a duration of six months or less require a final report only.

Audits:

In accordance with the provisions of OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations," non-Federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year. Non-Federal entities that expend less than \$500,000 a year in Federal awards are generally exempt from Federal audit requirements for that year, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Records:

The grantee institution maintains records which fully disclose the amount and disposition of granted funds, the total cost of the undertaking, the portion of the cost supplied by other sources, and such other records as will facilitate an effective audit.

Account Identification:

88-0301-0-1-804.

Obligations:

(Grants) FY 07 \$5,706,000; FY 08 est \$7,500,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$5,000 to \$750,000; \$85,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 146 grant applications were reviewed and 88 grants were awarded. In fiscal year 2008, it is estimated that 150 grant applications will be reviewed and 100 grants will be awarded. In fiscal year 2009, it is estimated that 0 grant applications will be reviewed and 0 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grant application announcements. 36 CFR 1206-1212.

Regional or Local Office:

None.

Headquarters Office:

National Archives and Records Administration, National Historical Publications and Records Commission, National Archives Building, Washington, DC 20408. Telephone: (202) 357- 5010 Fax (202) 357-5914. E-mail nhprc@nara.gov.

Web Site Address:

<http://www.archives.gov/grants>.

RELATED PROGRAMS:

45.149, Promotion of the Humanities Division of Preservation and Access.

EXAMPLES OF FUNDED PROJECTS:

1) Documentary editing projects to publish the papers of Thomas Jefferson, Ulysses S. Grant, Martin Luther King, Jr., and other figures important in United States history; 2) a project to conduct applied electronic records management research; 3) a project to develop a national database of historical records information in the Research Libraries Information Network (RLIN); 4) a project to put a museum's collection of photographic images on videodisc using direct-to-disc recording equipment and to hold a workshop for museum, library, and archives personnel to demonstrate the system; 5) a project to develop a county's archives and records management program.

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines at www.archives.gov/grants.

89.005 COOPERATIVE AGREEMENTS TO SUPPORT THE PROGRAMS OF THE NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)**FEDERAL AGENCY:**

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

AUTHORIZATION:

Public Law 108-383, 118 Stat. 2218; 44 U.S.C. 2119.

OBJECTIVES:

To undertake a wide-range of activities for the public purpose of carrying out the programs of the National Archives and Records Administration.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cooperative agreements are entered into to support various activities for the public purpose of carrying out the programs of the National Archives and Records Administration.

Applicant Eligibility:

State and local governments, other public entities, educational institutions, or private nonprofit organizations (including foundations or institutes organized to support the National Archives and Records Administration or the Presidential archival depositories operated by it).

Beneficiary Eligibility:

State and local governments, other public entities, educational institutions, or private nonprofit organizations (including foundations or institutes organized to support the National Archives and Records Administration or the Presidential archival depositories operated by it).

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is exempt from coverage under E.O. 12372.

Application Procedure:

Submit proposal for a cooperative agreement to the Policy and Planning Staff, National Archives and Records Administration, 8601 Adelphi Road, Room 4100, College Park, MD 20740; Telephone: (301) 837-1850.

Award Procedure:

The Policy and Planning Staff review and consider all proposals consistent with the statutory authority and NARA policy. Proposals are then presented to the Senior Staff with a recommendation by the Policy and Planning Staff. The Archivist and the Senior Staff make the final decision with respect to all proposals.

Deadlines:

Proposals are accepted on an on-going basis.

Range of Approval/Disapproval Time:

Proposals are typically reviewed and decided upon within a few weeks, although actual time frames may vary.

Appeals:

None.

Renewals:

Procedures for renewals are the same as the original proposal process.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Cooperative Agreements are entered into on an annual basis. The phasing of assistance depends upon the particular project.

Reports:

The type of required reporting will depend on the particular project. However, typically, financial status reports and narrative progress reports will be required on a routine basis.

Audits:

In accordance with the provisions of OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations," non-Federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year. Non-Federal entities that expend less than \$500,000 a year in Federal awards are generally exempt from Federal audit requirements for that year, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Records:

The nonfederal entity maintains records which fully disclose the amount and disposition of funds transferred pursuant to a cooperative agreement, the total cost of the undertaking, the portion of the cost supplied by other sources, and such other records as will facilitate an effective audit.

Account Identification:

88-0300-0-1-804.

Obligations:

FY 07 \$35,000; FY 08 \$20,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Up to \$25,000 per cooperative agreement, with a cap of \$75,000 per year; \$18,333 average.

PROGRAM ACCOMPLISHMENTS:

Two cooperative agreements were entered into in FY 07 and one cooperative agreement was entered into in FY 08. It is estimated that one cooperative agreement will be entered into in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None

Headquarters Office:

Policy and Planning Staff, National Archives and Records Administration, 8601 Adelphi Road, Room 4100, College Park, MD 20740; Telephone: (301) 837-1850.

Web Site Address:

<http://www.archives.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

A project to assist in the broadcast of a Constitution Day program; support of the National History Day outreach and teacher training programs; a project to develop 'vital records and disaster preparedness, advocacy and training materials which will facilitate the development of statewide emergency response plans intended to cover public and private archival collections, as well as state and local government records of long term value.

CRITERIA FOR SELECTING PROPOSALS:

Projects must support the public purpose of carrying out programs of the National Archives and Records Administration.

DENALI COMMISSION

90.100 DENALI COMMISSION PROGRAM

FEDERAL AGENCY:
DENALI COMMISSION

AUTHORIZATION:
The Denali Commission Act, October 21, 1998, Public Law 105-227, 42 U.S.C. 3121.

OBJECTIVES:
The Denali Commission is an Innovative Federal and State partnership designed to provide critical utilities and infrastructure throughout Alaska, particularly in distressed communities.

TYPES OF ASSISTANCE:
Project Grants.

USES AND USE RESTRICTIONS:
Infrastructure or utility needs benefiting Alaskans.

Applicant Eligibility:
Awards are available to State and local governments, private, public, profit, nonprofit organizations and institutions or individuals eligible in Alaska.

Beneficiary Eligibility:
General public, particularly distressed communities.

Credentials/Documentation:
Locally prepared comprehensive development plans that are regionally supported.

Preapplication Coordination:
Informal preapplication conference recommended. This program is excluded from coverage under E.O. 12372.

Application Procedure:
Letter request coupled with comprehensive community based and approved development plans.

Award Procedure:
Resolutions through Commissioners.

Deadlines:
None.

Range of Approval/Disapproval Time:
Six months from receipt of letter application.

Appeals:
Negotiated on a case by case basis.

Renewals:
Negotiated at periodic Commission meetings.

Formula and Matching Requirements:
Encouraged but not mandatory.

Length and Time Phasing of Assistance:
Determined during project evaluation.

Reports:
Monthly and/or quarterly, based on negotiated terms and conditions.

Audits:
In accordance with the provisions of OMB Circular No. A-133 (Revised, June 23, 2003), "Audits of States, Local Governments, and Non-Profit Organization," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than 500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:
Determined at the time of award, consistent with Federal and State of Alaska guidelines.

Account Identification:
95-1200-0-1-452; 95-8056-0-7-452.

Obligations:
FY 07 \$111,000,000; FY 08 est \$106,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:
From \$200,000 to \$10,000,000. Average: \$5,000,000.

PROGRAM ACCOMPLISHMENTS:
Created a cost-sharing partnership to respond to the immediate and critical threat to human health and the environment presented by non-code compliant, often leaking, bulk fuel storage facilities.

REGULATIONS, GUIDELINES, AND LITERATURE:
Information is available on the website of <http://www.denali.gov>.

Regional or Local Office:
None.

Headquarters Office:
Denali Commission, 510 L Street, Suite 410, Anchorage, AK 99501.
Telephone: (907) 271-1414.

Web Site Address:
<http://www.denali.gov>.

RELATED PROGRAMS:
None.

EXAMPLES OF FUNDED PROJECTS:
(1) Bulk Fuel Tank Storage- Consolidation at isolated site to prevent

catastrophic failure of leaking facilities; (2) hydroelectric generation at remote coastal community; (3) regional electric intertie serving economically distressed communities not on national grid; (4) water supply to community owned and operated fish processing facility; and (5) statewide planning and construction of health care infrastructure.

CRITERIA FOR SELECTING PROPOSALS:

Projects are judged based on consistency with locally developed and regionally supported infrastructure development plans, long term sustainability, relative impacts on reducing unemployment, raising the standards of living, reducing the cost of utilities, and cost-sharing by others.

90.200 DELTA REGIONAL DEVELOPMENT

(Delta Program)

FEDERAL AGENCY:
DELTA REGIONAL AUTHORITY

AUTHORIZATION:
Departments Of Labor, Health And Human Services, And Education, And Related Agencies Appropriations Act, 2001, 2000) as amended by H.R. 2646; The Farm Security And Rural Investment Act of 2002 (2002 Farm Bill).

OBJECTIVES:
The Delta Regional Authority (DRA) is a federal-state partnership serving a 240-county/parish area in an eight-state region. Led by a Federal Co-Chairman and the governors of each participating state, the DRA is designed to remedy severe and chronic economic distress by stimulating economic development and fostering partnerships that will have a positive impact on the region's economy. Help economically distressed communities to leverage other federal and state programs which are focused on basic infrastructure development, transportation improvements, business development, and job training services.

TYPES OF ASSISTANCE:
Project Grants.

USES AND USE RESTRICTIONS:
In considering programs and projects to be provided assistance under the Act, and in establishing a priority of the requests for assistance provided by the Authority, the Authority shall follow procedures to ensure, to the maximum extent practicable, consideration of the following: (1) the relationship of the project or class of projects to overall regional development; (2) the per capita income and poverty and unemployment rates in an area; (3) the financial resources available to the applicants for assistance seeking to carry out the project, with emphasis on ensuring that projects are adequately financed to maximize the probability of successful economic development; (4) the importance of the project or class of projects in relation to other projects or classes of projects that may be in competition for the same funds; (5) the prospects that the project for which assistance is sought will improve, on a continuing rather than temporary basis, the opportunities for employment, the average level of income, or the economic development of the area served by the project; and (6) the extent to which the project design provides for detailed outcome measurements by which grant expenditures and the result of the expenditures may be evaluated. Each State is required to file a State Delta development plan, appraising prospects for development in its Delta area and relating to them a strategic program for which Delta funding is requested in that year. Once an application is submitted for individual projects and given final approval the grant is administered either by the Federal agency involved in that type of program, a local development district, or directly by DRA. The counties (including any political subdivision located within such area) in which investment under the Delta Act can be made are: Alabama, the counties of Barbour, Bullock, Butler, Choctaw, Clarke, Conecuh, Dallas, Escambia, Greene, Hale, Lowndes, Macon, Marengo, Monroe, Perry, Pickens, Russell, Sumter, Washington, Wilcox; in Arkansas the counties of Arkansas, Ashley, Baxter, Bradley, Calhoun, Chicot, Clay, Cleveland, Craighead, Crittenden, Cross, Dallas, Desha, Drew, Fulton, Grant, Greene, Independence, Izard, Jackson, Jefferson, Lawrence, Lee, Lincoln, Lonoke, Marion, Mississippi, Monroe, Ouachita, Phillips, Poinsett, Prairie, Pulaski, Randolph, Searcy, Sharp, St. Francis, Stone, Union, Van Buren, White, Woodruff; in Illinois the counties of Alexander, Franklin, Gallatin, Hamilton, Hardin, Jackson, Johnson, Massac, Perry, Pope, Pulaski, Randolph, Saline, Union, White, Williamson; in Kentucky the counties of Ballard, Caldwell, Calloway, Carlisle, Christian, Crittenden, Fulton, Graves, Henderson, Hickman, Hopkins, Livingston, Lyon, Marshall, McCracken, McLean, Muhlenberg, Todd, Trigg, Union, Webster; in Louisiana the counties of Acadia, Allen, Ascension, Assumption, Avoyelles, Caldwell, Catahoula, Concordia, East Baton Rouge, East Carroll, East Feliciana, Evangeline, Franklin, Grant, Iberia, Iberville, Jackson, Jefferson, La Salle, Lafourche, Lincoln, Livingston, Madison, Morehouse, Natchitoches, Orleans, Ouachita, Plaquemines, Pointe Coupee, Rapides, Richland, St. Bernard, St. Charles, St. Helena, St. James, St. John The Baptist, St. Landry, St. Martin, Tangipahoa, Tensas, Union, Washington, West Baton Rouge, West Carroll,

West Feliciana, Winn; in Missouri the counties of Bollinger, Butler, Cape Girardeau, Carter, Crawford, Dent, Douglas, Dunkin, Howell, Iron, Madison, Mississippi, New Madrid, Oregon, Ozark, Pemiscot, Perry, Phelps, Reynolds, Ripley, Scott, Shannon, St. Francois, Ste. Genevieve, Stoddard, Texas, Washington, Wayne, Wright; in Mississippi the counties of Adams, Amite, Attala, Benton, Bolivar, Carroll, Claiborne, Coahoma, Copiah, Covington, De Soto, Franklin, Grenada, Hinds, Holmes, Humphreys, Issaquena, Jefferson, Jefferson Davis, Lafayette, Lawrence, Leflore, Lincoln, Madison, Marion, Marshall, Montgomery, Panola, Pike, Quitman, Rankin, Sharkey, Simpson, Sunflower, Tallahatchie, Tate, Tippah, Tunica, Union, Walthall, Warren, Washington, Wilkinson, Yalobusha, Yazoo; in Tennessee the counties of Benton, Carroll, Chester, Crockett, Decatur, Dyer, Fayette, Gibson, Hardeman, Hardin, Haywood, Henderson, Henry, Lake, Lauderdale, Madison, McNairy, Obion, Shelby, Tipton, Weakley.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility:

The Authority may approve grants to States and public and nonprofit entities. All proposed projects must meet the clarification notes as part of the pre-application and the requirements of the State Delta plan which must be approved annually by the Authority.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Not applicable.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination:

Local Development Districts will publicize, educate and assist applicants with the pre-application phase. (See individual Delta Program descriptions). This program is excluded from coverage under E.O. 12372.

Application Procedure:

Each State's Governor will select his projects for DRA yearly Federal Grant funding from the Federal Co-Chairman's list of eligible pre-applications within the context of his own Authority-approved State plan and priorities. (See individual Delta program descriptions).

Award Procedure:

Upon receipt of project application approved and certified by the State DRA determines that the project satisfies all requirements for assistance under the ACT and holds a Board vote for approval. The DRA notifies Congressional offices and the office of the Governor of grant awards.

Deadlines:

Per the approved current fiscal year grant program timeline.

Range of Approval/Disapproval Time:

Per the approved current fiscal year grant program timeline.

Appeals:

There is an appeal process for the pre-application process. (See Delta direct grant program description) There are no appeal procedures as such, project review allows for full and free interchange with applicants selected for full applications.

Renewals:

Generally renewals are not applicable except for administrative expenses, including technical services, of local development districts.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements:

Refer to 90.201.

Length and Time Phasing of Assistance:

Project assistance is provided until completion.

POST ASSISTANCE REQUIREMENTS:

Reports:

Reporting and performance as required by the Federal agency or DRA for those programs directly administered by the Authority, as appropriate. The Authority requires semi-annual reports of local development districts.

Audits:

Audits as required by the Federal agency and the Authority. In accordance with the provisions of OMB Circular No. A-133 (revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records as required by the basic Federal agency. Under the total audit concept, audits will be made on an organizational-wide basis (rather than grant-by-grant) under GAO guidelines, Standards for Audit of Governmental Organizations, Programs, Activities and Functions, and for programs covered by A-102, Attachment P.

FINANCIAL INFORMATION:

Account Identification:

95-0750-0-1-452.

Obligations:

Reported under individual DRA programs.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Delta Regional Authority Code (limited distribution), The Communique an online journal devoted to the special problems and accomplishments of regional development. Annual Report.

INFORMATION CONTACTS:

Regional or Local Office:

None.

Headquarters Office:

Inquiries and proposals for projects should be submitted first to The Local Development District. (See DRA website for listing.) Other inquiries may be addressed to: Executive Director, Delta Regional Authority, 236 Sharkey Avenue, Suite 400, Clarksdale, MS 38614.

Web Site Address:

<http://www.dra.gov>.

RELATED PROGRAMS:

90.201, Delta Area Economic Development; 90.202, Delta Local Development District Assistance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

90.201 DELTA AREA ECONOMIC DEVELOPMENT

(Supplemental and Direct Grants)

FEDERAL AGENCY:

DELTA REGIONAL AUTHORITY

AUTHORIZATION:

Departments Of Labor, Health And Human Services, And Education, And Related Agencies Appropriations Act, 2001, as amended by H.R. 2646; The Farm Security And Rural Investment Act of 2002 (2002 Farm Bill).

OBJECTIVES:

Delta Regional Authority's mission is to be an advocate for and partner with the people of the Delta to create opportunities for self-sustaining economic development and improved quality of life. This program focuses on activities which support DRA's mission. Specific program goal are: (1) to develop the transportation infrastructure of the region for the purpose of facilitating economic development in the region (except that grants for this purpose may only be made to state and local government); (2) to assist the region in obtaining the job training, employment related education, and business development (with an emphasis of entrepreneurship) that are needed to build and maintain strong local economies; (3) to provide assistance to severely distressed and underdeveloped areas that lack financial resources for improving basic public services; (4) to provide assistance to severely distressed and underdeveloped areas that lack financial resources for equipping industrial parks and related facilities; and (5) to otherwise achieve the purpose of the Act. Grants are made either directly by the Authority or grants may supplement other Federal grants.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The grants may be used for providing supplemental funds under Federal grant-in-aid programs. To be eligible for special basic grants, projects must be of high priority in the State's Delta development plan and be within the clarification guidelines of the clarification notes which are part of the pre-application used to determine eligibility. The Authority must prioritize use of federal funds in the following order, with 75% of appropriated funds used in distressed counties and transportation and basic public infrastructure projects receiving at least 50% of the appropriated funds: (1) Basic public infrastructure in distressed counties and isolated areas of distress; (2) Transportation infrastructure for the purpose of facilitating economic development, (3) Business development with emphasis on entrepreneurship; (4) Job training or employment-related education, with emphasis on use of existing public educational institutions located in the region.

Applicant Eligibility:

State, their subdivisions and instrumentalities and private nonprofit agencies.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

The governor of each Delta state shall submit a State Delta Development Plan within 10 months after taking office, and must be approved by the board. Prior to December 15 of each year, the Governor of each state shall submit a Strategy Statement and Investment Program describing the state's program for achieving

the goals and objectives contained in its state Development Plan, and must be approved by the board.

Preapplication Coordination:

Local Development Districts will be the front-line facilitators, within their districts, to publicize, educate and provide technical assistance for the DRA yearly Federal Grant Program's pre-application process. Specifically, each LDD will begin publicizing the DRA Federal Grant Program through their hosting of public-information forums plus all other means appropriate to their respective client bases. Each LDD will be the principal contact for the provision of technical and pre-application assistance to the pre-applicants in its area throughout this period, from announcement to pre-application deadline. The LDD's receive and review pre-applications for completeness, then forward the pre-applications to DRA at pre-application deadline. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Upon completion of his eligibility determinations, the Federal Co-Chairman will forward that list to each participating state member, and he will also notify the pre-applicants and the Administering Agencies. With the singular independence as prescribed by the Delta Regional Authority Act of 2000, as amended, each Governor will select his projects for funding, and will do so within the context of his own Authority-approved state plan and priorities. When the Governors have selected their projects, these selections basically become pre-certified for DRA funding, needing now an application (business plan) sufficient to satisfy the Authority and the (if needed) Administering Agency that the project will work as described and be able to comply with all appropriate Federal regulations. Using the DRA Federal Grant Uniform Grant Application Form, which will include the Administering Agency's required checklists and documents; the Federal Co-Chairman will invite a full application from each participating states lists of eligible and pre-certified projects. Upon receiving each application for their state, each participating Governor shall evaluate and certify each application conforming to the State Strategy Statement and Investment Program. The Governors will then forward their lists of evaluated and certified applications to the Federal Co-Chairman. Each application will be voted on and approved by the participating state members and the Federal Co-Chairman, together, the Delta Regional Authority.

Award Procedure:

Once projects are approved, the administration of each project will proceed in accordance with the Memorandum of Agreement between DRA and the appropriate Administering Agencies. The DRA notifies Congressional Offices and the Office of the Governor of grant awards.

Deadlines:

A timeline is posted on the DRA web site for each fiscal grant cycle covering pre-application to grant awards.

Range of Approval/Disapproval Time:

From 60 to 80 days after receipt of the full application by the Authority.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Generally grants are limited to 50% of project costs. For projects in counties designated as economically distressed, this limit can be raised to 90%.

Length and Time Phasing of Assistance:

Assistance is provided until project completion; funds are transferred to the basic Federal agency and disbursed as appropriate. DRA reserves the right to withdraw grants for projects not under contract within 18 months of approval.

Reports:

Reporting and performance monitoring as required by the DRA or the basic Federal agency, as appropriate.

Audits:

Audits as required by the Federal agency and the Authority. In accordance with the Single Audit Act Amendments of 1996, Public Law 104-156. In accordance with provisions on OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records as required by the basic Federal agency. Under the total audit concept, audits will be made on an organizational-wide basis (rather than grant-by-grant) under GAO guidelines, Standards for Audit of Governmental Organizations, Programs, Activities and Functions, and for programs covered by A-102, Attachment P.

Account Identification:

95-0750-0-1-452.

Obligations:

(Grants) FY 07 \$8,015,805; FY 08 \$7,789,000; and FY 09 est \$8,000,000.

Range and Average of Financial Assistance:

\$25,000 to \$200,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 49 projects were approved. Because of the reduced funding the States concentrated efforts on meeting economic development needs in the Region through committed projects. Targeted projects were for water and sewer systems for industrial parks, with committed tenants to locate, and municipalities facing impending sanctions; workforce training through schools of higher education to upgrade job skills with committed company participation; and business development through business incubator creation with committed tenants. Projected performance from applications indicates; that 3,622 families will be helped by water projects, 774 jobs will be created, 1079 jobs will be retained and 70 people will be trained.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Delta Regional Authority Code (limited distribution); Delta Regional Annual Report; The Communique an online journal devoted to the special problems and accomplishments of regional development.

Regional or Local Office:

None.

Headquarters Office:

Inquiries and proposals for projects should be submitted first to The Local Development District. (See DRA website for listing.) Other inquiries may be addressed to: Executive Director, Delta Regional Authority, 236 Sharkey Avenue, Suite 400, Clarksdale, MS 38614. Telephone: (662) 624-8600.

Web Site Address:

<http://www.dra.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Targeted projects were for water and sewer systems for industrial parks, with committed tenants to locate, and municipalities facing impending sanctions; workforce training through schools of higher education to upgrade job skills with committed company participation; and business development through business incubator creation with committed tenants.

CRITERIA FOR SELECTING PROPOSALS:

Only through the approved current grant year Timeline and Process.

90.202 DELTA LOCAL DEVELOPMENT DISTRICT ASSISTANCE

(LDD)

FEDERAL AGENCY:

DELTA REGIONAL AUTHORITY

AUTHORIZATION:

Conference Report On H.R. 4577, Departments Of Labor, Health And Human Services, And Education, And Related Agencies Appropriations Act, 2001; (House Of Representatives - December 15, 2000) as amended by H.R. 2646; The Farm Security And Rural Investment Act of 2002 (2002 Farm Bill).

OBJECTIVES:

To provide planning and development resources in multicounty areas; to help develop the technical competence essential to sound development assistance; and to meet the objectives stated under the program entitled Delta Regional Development (90.200).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

For administrative expenses, including technical services of certified local development districts; in addition to the uses and use restrictions detailed in the Delta Development Program (90.200). Funds may be used only in the performance of grantees program and in accord with the budget, and agreements thereto, as approved by the Authority.

Applicant Eligibility:

Multicounty organizations certified by the State.

Beneficiary Eligibility:

Multicounty organizations.

Credentials/Documentation:

Certificate by the Governor. State Delta Plan and Strategy Statement must describe the procedures for the participation of local development districts in the Delta development planning process. Costs will be determined in accordance with OMB Circular No. A-87, for State and local governments.

Preapplication Coordination:

The State Alternate's Office is the coordinator for the Governor for Delta investments. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program beginning FY 2006. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be submitted and approved by the State Member of DRA. All proposed projects must meet the requirements of the State Delta Plan and Strategy Statement and Investment Program submitted annually before December 15. A letter of transmittal signed by the State Member and Standard Forms 424 are required.

Award Procedure:

Upon determination that the State approved project is eligible within an Authority approved Delta State Development Plan and Strategy Statement and Investment Program, The Federal Co-Chairman determines that the project satisfies all Federal requirements.

Deadlines:

Those imposed by each State and the general requirements of the Authority; that is, proposed projects shall be included in each of the State's approved project funding program which is submitted annually before December 15, and grant applications should be submitted to the Authority 90 days prior to the beginning of the grant period (beginning of the calendar year).

Range of Approval/Disapproval Time:

Usually within 30 days after receipt of the application at the Authority.

Appeals:

None.

Renewals:

Renewals and amended applications follow the same procedures as the original application.

Formula and Matching Requirements:

Administrative grants may be funded at 50 percent, wherever the local development district is located.

Length and Time Phasing of Assistance:

Assistance is provided for 12 months.

Reports:

Semiannual and financial report in a form and manner prescribed by the Authority.

Audits:

The Authority, The Federal Co-Chairman of the Authority, and the Comptroller General of the United States shall have access for the purpose of audit and examination. In accordance with provisions of OMB Circular No. A-133 (Revised, June 24, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall keep records for not less than 3 years which fully disclose the purpose, amount and disposition of DRA funds and other contributions.

Account Identification:

95-0750-0-1-452.

Obligations:

FY 07 \$396,000; FY 08 \$396,000; and FY 09 est \$396,000.

Range and Average of Financial Assistance:

\$5,090 to \$19,800; \$9209.

PROGRAM ACCOMPLISHMENTS:

There were 44 planning districts aided in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Delta Regional Authority Code (limited distribution); Delta Regional Authority Annual Report; The Communique an online journal devoted to the special problems and accomplishments of regional development.

Regional or Local Office:

None.

Headquarters Office:

Inquiries and proposals for projects should be submitted first to Delta State office designated by the Governor. Other inquiries may be addressed to: Executive Director, Delta Regional Authority, 236 Sharkey Avenue, Suite 400, Clarksdale, MS 38614. Telephone (662) 624-8600.

Web Site Address:

<http://www.dra.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Use and Use Restrictions, Credentials/Documentation, and Application Procedure.

90.300 JAPAN-US FRIENDSHIP COMMISSION GRANTS**FEDERAL AGENCY:**

JAPAN-US FRIENDSHIP COMMISSION

AUTHORIZATION:

Public Law 94-118.

OBJECTIVES:

to promote educational, intellectual, artistic and cultural exchange and research between Japan and the United States.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Not applicable.

Applicant Eligibility:

Public and state-controlled institutions of higher education; non-profits having a 501(c)(3) status with the IRS, other than institutions of higher education; private institutions of higher education.

Beneficiary Eligibility:

Public and state-controlled institutions of higher education; non-profits having a 501(c)(3) status with the IRS, other than institutions of higher education; private institutions of higher education.

Credentials/Documentation:

Copy of 501(c)(3) or other non-profit status.

Preapplication Coordination:

Consultation with agency recommended. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submission of proposal conforming to JUSFC guidelines.

Award Procedure:

Grants typically made before beginning of project activity.

Deadlines:

March 1 or August 1 (or next business day when these fall on a weekend) annually.

Range of Approval/Disapproval Time:

Approximately six weeks between submission of proposal and decision.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

High expectation of matching funds from outside sources.

Length and Time Phasing of Assistance:

Typically six months to eighteen months.

Reports:

Final program and financial reports due within 90 days of completion date of grant.

Audits:

Not required. This program is excluded from coverage under E.O. 12372.

Records:

Must be kept by grantee for a three-year period.

Account Identification:

89-1191-0-1-300.

Obligations:

FY 07 \$2,655,000; FY 08 est \$2,655,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Summary found in Inter-Agency Working Group Annual Report.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

1201 15th Street NW, Ste. 330, Washington, DC 20005.

Web Site Address:

www.jusfc.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

90.400 HELP AMERICA VOTE COLLEGE PROGRAM**FEDERAL AGENCY:**

ELECTION ASSISTANCE COMMISSION

AUTHORIZATION:

Help America Vote Act of 2002, Sections 501-503, Public Law 107-252, 42 U.S.C. 15521-23.

OBJECTIVES:

The purpose of this program is: (1) to encourage students enrolled at institutions of higher education (including community colleges), to assist state and local governments in the administration of elections by serving as nonpartisan poll workers or assistants; (2) to encourage college students to become cognizant of the elections process and civic educations, and to assist in the smooth administration of elections in their community; and (3) to encourage state and local governments to use the services of the students participating in the Help America Vote College Program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To assist States and local jurisdictions in carrying out efficient, fair, and free elections through the recruitment and training of college and university students to serve as poll workers on Election Day. Applicants are cautioned that the

ceiling for individual awards is \$35,000. Applications exceeding the \$35,000 threshold will be considered non-responsive and will not be eligible for funding under this announcement, unless the application represents a joint program. Pre-award costs are not allowable charges to this program. Construction is not an allowable activity or expenditure under this program. The purpose of this program is to focus on election administration. Therefore, voter registration and Get-Out-The-Vote (GOTV) efforts are not allowable activities under this program.

Applicant Eligibility:

The following are eligible to apply for the Help America Vote College Program: (1) State controlled institutions of higher education; (2) private institutions of higher education; (3) community colleges; (4) non-partisan student organizations; and (5) non-profit organizations, other than institutions of higher education (including faith-based, community-based, and tribal organizations).

Beneficiary Eligibility:

College and University Students, election officials.

Credentials/Documentation:

None.

Preapplication Coordination:

The standard application form, Standard Form 424 must be used for this program. Forms are available on the website, <http://www.acf.hhs.gov/programs/add/announce.htm>. Other documents that are requested: (1) Narrative Statement (must not exceed 20 pages); (2) Application for Federal Assistance (SF 424, REV 4-92); (3) Budget Information--Non-Construction Programs (SF 424A, REV 4-92); (4) Budget justification for Section B-Budget Categories; (5) Assurances--Non-Construction Programs (Standard Form 424B, REV 4-92); (6) Proof of non-profit status (if applicable); (7) Certification Regarding Lobbying; and a letter from the applicant's local election official certifying cooperation with organization. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must submit applications electronically through Grants.gov. The application for Federal Assistance, Standard Form 424, is to be submitted as well. Specified instructions are published in the Federal Register, at <http://www.eac.gov>, and at <http://www.grants.gov>.

Award Procedure:

Written notification with follow-up issuance of funds.

Deadlines:

As specified in announcement or application instructions.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Generally none. Awards are made until December 31, 2008. In limited instances, the EAC may approve the extension of remaining funds (in furtherance of the goals of the Program), in which case the funds may be used until June 30, 2009.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Narrative Reports are due 30 days after the close of the grant period. Financial reports are due 30 days after the close of the grant period. To obtain grant funds, grantees will be required to submit SF 270, Request for Advance or Reimbursement.

Audits:

May be conducted in accordance with Federal audit provisions as well as the appropriate OMB circulars (See Grant Proposals Application).

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if all findings have not been resolved.

Account Identification:

95-1650-0-1-808.

Obligations:

FY 07 est not available; FY 08 est \$750,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$35,000 per project and budget period.

PROGRAM ACCOMPLISHMENTS:

In 2004, the Help America Vote College Pollworker Program provided assistance to 15 colleges, universities and nonprofit groups to get students to serve as pollworkers on election day. Because of the Program in 2004, the Elections Director in Baltimore, Maryland said "For the first time, we do not have a need for pollworkers in Baltimore, thanks to the College Program..."

REGULATIONS, GUIDELINES, AND LITERATURE:

1 The Help America Vote Act of 2002; Grant Administrative Requirements; OMB Circulars A-21 and A-122; grant agreement.

Regional or Local Office:

None.

Headquarters Office:

Juliana Milhofer or Edgardo Corte's Help America Vote College Program,

Election Assistance Commission, 1225 New York Avenue, N.W., Suite 1100, Washington, DC 20005, HAVACollegeProgram@eac.gov, Telephone: (202) 566-3100.

Web Site Address:

www.eac.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

See 2004 and 2006 grantees at: http://www.eac.gov/coll_poll_grantee.asp.

CRITERIA FOR SELECTING PROPOSALS:

Please see Request for Application.

90.401 HELP AMERICA VOTE ACT REQUIREMENTS PAYMENTS

FEDERAL AGENCY:

ELECTION ASSISTANCE COMMISSION (EAC).

AUTHORIZATION:

Help America Vote Act of 2002 (HAVA), Title II, Subtitle D, Part 1, Sections 251-258, Public Law 107-252, 42 U.S.C. 15401-15408.

OBJECTIVES:

HAVA Section 251 authorizes requirements payments to assist States (including the District of Columbia and U.S. Territories) in meeting the Uniform and Nondiscriminatory Election Technology and Administration Requirements in Title III of the Act (voting systems standards, provisional voting and voting information requirements, computerized statewide voter registration list requirements and requirements for voters who register by mail) and, under certain circumstances, for other activities to improve the administration of Federal elections.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

HAVA Section 251(b) provides that these funds are to be used only to meet the requirements of HAVA Title III, except that States may use the payments to carry out other activities to improve the administration of elections for Federal office if the State certifies to EAC that: (1) the State has implemented the requirements of Title III; or (2) the amount to be expended with respect to such other activities does not exceed an amount equal to the minimum requirements payment amount applicable to the State. Section 251(c) also explains that a State may use a requirements payment: (1) as a reimbursement for costs incurred in obtaining voting equipment which meets the requirements of section 301 (voting systems standards) if the State obtains the equipment after the regularly scheduled general election for Federal office held in November 2000, notwithstanding the Act's maintenance of effort requirements; and (2) for any costs for voting equipment which meets the requirements of section 301 that were incurred pursuant to a multi-year contract on or after January 1, 2001, except that the amount that the State is otherwise required to contribute under the maintenance of effort requirements must be increased by the amount of the payment made with respect to such multiyear contract. Funds are available until expended.

Applicant Eligibility:

Section 253(d) provides that States may not file a statement of certification to receive a requirements payments until the expiration of a 45-day period (or, in the case of a fiscal year other than the first fiscal year for which a requirements payment is made to the State, a 30-day period) that begins on the date the EAC publishes the State plan in the Federal Register. State, or designee, in consultation with the chief State election official, to file with EAC a statement certifying that the State is in compliance with the conditions set forth in Section 253(b). The State may meet this certification requirement by filing the following statement: hereby certifies that it is in compliance with the requirements referred to in To receive funds for a fiscal year, HAVA Section 253 requires the chief executive officer of the State, or designee, in consultation with the chief State election official, to file with EAC a statement certifying that the State is in compliance with the conditions set forth in Section 253(b). For the purpose of this requirement, the chief State election official is the individual designated by the State under section 10 of the National Voter Registration Act of 1993 (42 U.S.C. 1973gg-8) to be responsible for coordination of the States responsibilities under such Act. Section 253(b) requires the State to: have filed with EAC a State plan covering the fiscal year that the State certifies: contained each of the elements required to be in the State plan, according to HAVA Section 254, including how the State will establish a State Election Fund in accordance with Section 254(b); was developed in accordance with Section 255, which describes the process of using a committee of appropriate individuals, including the chief election officials of the two most populous jurisdictions, other local election officials, stake holders (including representatives of groups of individuals with disabilities), and other citizens to develop the plan; and met the 30-day public notice and comment requirements of Section 256. have filed with the EAC a plan for the implementation of the uniform, non-discriminatory administrative complaint procedures required under Section 402 (or has included such a plan in the State plan), and have such procedures in place. If the

State did not include such an implementation plan in the State plan, the Federal Register publication and the committee development requirements of Sections 255(b) and 256 apply to the implementation plan in the same manner as they apply to the State plan, be in compliance with each of the following federal laws:

The Voting Rights Act of 1965; The Voting Accessibility for the Elderly and Handicapped Act; The Uniformed and Overseas Citizens Absentee Voting Act; The National Voter Registration Act of 1993; The Americans with Disabilities Act of 1990; and The Rehabilitation Act of 1973. to the extent that any portion of the requirements payment is used for activities other than meeting the requirements of title III, have provided that: the State's proposed uses of the requirements payment are not inconsistent with the requirements of title III; and the use of the funds under this paragraph is consistent with the requirements of Section 251(b); have appropriated funds for carrying out the activities for which the requirements payment is made in an amount equal to 5 percent of the total amount to be spent for such activities (taking into account the requirements payment and the amount spent by the State) and, in the case of a State that uses a requirements payment as a reimbursement for voting equipment under Section 251(c)(2), an additional amount equal to the amount of such reimbursement

Beneficiary Eligibility:

Fifty States, the District of Columbia, American Samoa, Guam, Puerto Rico, and the U.S. Virgin Islands.

Credentials/Documentation:

Qualifying jurisdictions must follow the procedures set forth in the Help America Vote Act, 42 U.S.C. 15401 et. seq., entitled Requirements Payments.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

HAVA Section 253 requires the chief executive officer of the section 253(b) of the Help America Vote Act of 2002. (with the blank to be filled in with the name of the State involved). In addition, the General Services Administration (GSA), which disburses the Title II requirements payments to States on behalf of EAC, requests that a representative from each State contact Sharon Pugh (Sharon.Pugh@GSA.gov) or Brad Farris (Brad.Farris@GSA.gov) on (816) 823-3108 to provide information on State contact points, including name, telephone number, address and email address. GSA then contacts the State representatives to obtain banking information required for an Electronic Funds Transfer (EFT).

Award Procedure:

Based upon the certification statement filed by the State, EAC notifies GSA that a State is due receipt of its Title II payment for a particular fiscal year (i.e., either FY 2003 funds, FY 2004 funds, or both). GSA disburses the Title II funds for a particular fiscal year to the account specified by the State, and notifies the State and EAC of the disbursement in writing, specifying the amounts disbursed by fiscal year. EAC provides separate notification to States specifying the amount to be disbursed by GSA. All funds are disbursed via EFT.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

GSA, on the behalf of EAC, calculates the amount of funding that each State receives under the guidelines specified in HAVA Section 252 and provisions in related Federal appropriations legislation. The sum of the funds allocated as a result of these steps is equal to the total amount appropriated for the fiscal year, less any federal rescission (\$830 million in FY03; \$1,489,360,620 in FY04; est. \$0 in FY05). Section 253(b)(5) requires the State to have appropriated funds for carrying out the activities for which the requirements payment is made in an amount equal to 5 percent of the total amount to be spent for such activities (taking into account the requirements payment and the amount spent by the State) and, in the case of a State that uses a requirements payment as a reimbursement for voting equipment under Section 251(c)(2), an additional amount equal to the amount of such reimbursement.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

HAVA Section 258 requires States receiving these funds to submit a report to EAC on the activities conducted with the funds provided during the Federal fiscal year, which runs from October 1 through September 30 of each year. This report must include: (1) a list of expenditures made with respect to each category of activities described for the use of funds; (2) the number and type of articles of voting equipment obtained with the funds; and (3) an analysis and description of the activities funded to meet HAVA requirements and how such activities conform to the submitted State plan. This report is due no later than six months after the end of each fiscal year. States should submit Standard Form 269 as part of this report. This form may be found at http://www.whitehouse.gov/omb/grants/grants_forms.html.

Audits:

HAVA Title IX, Section 902, authorizes EAC to audit or examine books, documents, papers and records of any recipient that are deemed pertinent to the payment; stipulates that the provision applies to all recipients of payments under the Act; requires that all funds provided under the Act are subject to mandatory audit by the Comptroller General at least once during the lifetime of the program, with the same access to records as EAC; and requires that, if the Comptroller General determines that an excess payment has been made or the recipient is not in compliance, the recipient must pay the office that made the payment an amount that reflects the excess payment or the proportion representing noncompliance.

Records:

HAVA Title IX, Section 902, requires recipients of payments under the Act to keep records consistent with sound accounting principles to facilitate an effective audit and stipulates that the provision applies to all recipients of payments under the Act.

Account Identification:

95-1650-0-1-808.

Obligations:

FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Part 1 of Subtitle D of Title II (Sections 251-258) and Title III of Public Law 107-252, the Help America Vote Act of 2002, Sections 301-305, and Sections 902 and 906. EAC has determined that the following Office of Management and Budget guidelines apply: A-87 - Cost Principles for State, Local and Indian Tribal Governments (Cost Principles); A-102 - Grants and Cooperative Agreements with State and Local Governments (Administrative Requirements); Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (Common Rule, Administrative Requirements, 53 FR 8087, March 11, 1988); and A-133 Audits of States, Local Governments, and Non-Profit Organizations (Single Audits, Audit Requirements). These guidelines may be found at <http://www.whitehouse.gov/omb/circulars/index.html>.

Regional or Local Office:

Not applicable.

Headquarters Office:

Election Assistance Commission, 1225 New York Avenue, N.W., Suite 1100, Washington, DC 20005, Telephone: (1-866) 747-1471 (toll free) or (202) 566-3100.

Web Site Address:

www.eac.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

None.

90.402 HELP AMERICA VOTE MOCK ELECTION PROGRAM

FEDERAL AGENCY:

ELECTION ASSISTANCE COMMISSION

AUTHORIZATION:

Omnibus Appropriations Act for Fiscal Year (FY) 2008 (Public Law 110-161).

OBJECTIVES:

To promote voter participation and education in American elections through a program of simulated Federal elections that permits participation by students enrolled in a secondary education program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Primarily, funds must be used by eligible grantees to operate or supplement a program of simulated Federal elections at least 5 days before the actual general Federal election that permits participation by secondary education students. Additional supporting activities are also allowed as detailed in the Request for Application. Construction is not an allowable activity or expenditure. Indirect costs are not an allowable expenditure. Voter registration and Get-Out-The-Vote (GOTV) efforts are not allowable activities.

Applicant Eligibility:

State election offices and non-profit organizations (including faith-based, community-based, and tribal organizations). Applicants must demonstrate prior experience operating a program of simulated elections aimed at students enrolled in a secondary education program.

Beneficiary Eligibility:

Students in secondary education programs.

Credentials/Documentation:

None.

Preapplication Coordination:

The standard application form, Standard Form 424 must be used for this program. Forms are available on Grants.gov. Other documents that are requested: --Project description/narrative (must not exceed 10 pages); --Budget Information--Non-Construction Programs (SF 424A, Rev 4-92); --Budget justification for Section B--Budget Categories; --Assurances--Non-Construction Programs (Standard Form 424B, Rev 4-92); --Statement attesting to the non-partisanship of the program; --Certification regarding lobbying; --Proof of non-profit status (if applicable) --Letter from state board of education (or equivalent) demonstrating a commitment to partnering with organization; and --Letter from State and local election officials demonstrating support for conducting the Mock Election Program. This program is excluded coverage under E.O. 12372.

Application Procedure:

Applicants must submit applications electronically through Grants.gov. Specified instructions as published in the Federal Register, at Grants.gov, and on the Election Assistance Commission (EAC) website.

Award Procedure:

Written notification with follow-up issuance of funds.

Deadlines:

Contact the program contact listed in the Headquarters Office section below.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Awards are generally made until December 31, 2008.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Narrative Reports: Due 30 days after close of grant period. Financial Reports: An SF 269 must be submitted on August 30, 2008 for the period ending July 30, 2008 and 30 days after the close of the grant period. Other Reports: To obtain grant funds, grantees will be required to submit an SF 270, Request for Advance or Reimbursement.

Audits:

Will be conducted in accordance with Federal audit provisions.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if all findings have not been resolved.

Account Identification:

95-1650-0-1-808.

Obligations:

FY 07 est not available; FY 08 \$200,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

No less than \$10,000; 1-35 awards. Anticipated average amount: \$20,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Omnibus Appropriations Act for Fiscal Year (FY) 2008; Grant Administrative Requirements; OMB Circulars A-122 and A-87 (as applicable to applicants); grant agreement.

Regional or Local Office:

None.

Headquarters Office:

Julie Ruder or Edgardo Cortes, Help America Vote Mock Election Program, Election Assistance Commission, 1225 New York Avenue, N.W., Suite 1100, Washington, DC 20005, mockelections@eac.gov, Telephone: (202) 566-3100.

Web Site Address:

www.eac.gov.

RELATED PROGRAMS:

90.400, Help America Vote College Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Please see Request for Application.

90.500 INTERNATIONAL BROADCASTING INDEPENDENT GRANTEE ORGANIZATIONS

FEDERAL AGENCY:

BROADCASTING BOARD OF GOVERNORS

AUTHORIZATION:

Public Law 97-258 (31 U.S.C. Section 6300 et seq) and 22 U.S.C. Section 6204(a)(5)

OBJECTIVES:

To promote freedom and democracy and enhance understanding through multimedia communication of accurate, objective, and balanced news, information, and other programming about America and the world to audiences

overseas.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funding supports the operations of the grantee organizations. The annual appropriation process provides funds and restrictions on fund usage would be identified in the appropriation and authorization law.

Applicant Eligibility:

Grants are currently only available to three existing nonprofit organizations, Radio Free Europe/Radio Liberty, Radio Free Asia, and Middle East Broadcasting Networks as identified in authorizing or appropriations language. Grants are not available to State or local governments or the general public.

Beneficiary Eligibility:

Direct beneficiaries of these grants are three nonprofit entities based and incorporated in the United States. The grants allow these three United States-based entities to provide an objective and balanced alternative source of news, opinion, and information related to the U.S. and U.S. policy to overseas populations. Secondary beneficiaries of these grants are overseas listeners who benefit from an alternative news source that provides objective information.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The grantees submit resource requirements to the BBG for inclusion in the development of the BBG budget estimates. The BBG consolidates this data into the BBG budget submission to OMB and Congress. Upon Congressional approval of the current year appropriation, the grantees submit an annual financial plan described in Reports section.

Award Procedure:

At the beginning of the fiscal year, the BBG and the grantee sign an annual grant agreement which thereby grants funds for the planning and operating expenses related to international broadcasting for each grantee. BBG and the grantees also sign grant amendments prepared throughout the fiscal year.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

The three organizations currently receiving grants may continue to receive funding through the annual appropriation process for each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Funding is available to grantees in monthly payments based on an approved financial plan and subject to the receipt by BBG of a payment request.

Reports:

Each grantee must prepare an annual financial plan to be approved by the BBG. Grantees are required to submit OMB Standard Forms 269 and 272 by the 20th day of each month except for the final month of the fiscal year, which shall be the 30th day of the month. Grantees must also submit program accomplishments providing quantitative and qualitative measures of success. Examples of such measures include, but are not limited to numbers of listeners/viewers, languages served, hours of broadcasting, etc.

Audits:

The Grantees are required to undergo an annual audit. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year.

Records:

All reports described above and business documents such as bid solicitations, evidence of shipment for commodities procured in the United States and procurement and service contracts, shall be maintained for a period of 3 years from the date of the initial submission in a manner that will permit verification of the grantee's compliance with its representations, warranties, and obligations contained in this agreement. If any litigation, claim or audit is started before the expiration of the 3-year period, the records shall be retained until all open matters have been resolved.

Account Identification:

95-0206-0-1-154; 95-0204-0-1-154.

Obligations:

FY 07 \$200,228,000; FY 08 est \$207,552,000; and FY 09 est \$232,785,000.

Range and Average of Financial Assistance:

Range: FY 07 \$31,537 to \$93,190. Average: \$66,743. Range: FY 08 \$34,167 to \$93,451. Average: \$69,184.

PROGRAM ACCOMPLISHMENTS:

Each of the three grantee organizations serves a unique geographic region. Their accomplishments in each region are described below: Radio Free Europe/Radio

Liberty (RFE/RL): As of December 2007, RFE/RL broadcasts in 28 languages to Central, Eastern, and Southeastern Europe; Russia; the Caucasus; Central Asia; Iraq; Iran; and Afghanistan. Eighteen of RFE/RL's broadcast languages almost two-thirds of the total are directed to countries or regions where the majority populations are Muslim. Each week, RFE/RL broadcasts more than 1,000 hours of radio programming and four hours of television from its operations center in Prague and from its bureaus. During fiscal year 2007, RFE/RL's 19 Internet websites reached a monthly average of over 1.3 million unique visitors, logged nearly 1.7 million requests to listen to radio content online, and garnered an average of 20 million page views each month reflecting increases of 13 percent, 18 percent, and 21 percent respectively over the previous year. RFE/RL's proximity to its broadcast regions facilitates production of relevant, locally-oriented programming in a cost-efficient manner. As of December 2007, RFE/RL programs were available on 261 local AM, FM, UKV, and TV affiliates across its broadcast region. RFE/RL is increasing delivery of its information products on television, the Internet, and other emerging media in order to attract new audiences and to reach closed and semi-closed societies. Radio Free Asia (RFA) broadcasts in nine languages and four dialects to China (including Tibet and the Xinjiang Region of Western China), Burma, Cambodia, Laos, North Korea, and Vietnam, providing unbiased news to Asian countries that do not tolerate a free press, and filling the news gap created by State-controlled media. Through its network of reporters across Asia and its eight bureaus/offices, RFA frequently leads the world in breaking news and continues to cover stories not reported by other media. Middle East Broadcasting Network (MBN) is a multimedia broadcasting organization that currently operates three 24/7 Arabic-language news and information television channels Alhurra, Alhurra-Iraq, and Alhurra Europe - as well as Radio Sawa, which broadcasts 24/7 on seven programming streams in the Middle East. MBN broadcasts from its headquarters in Springfield, Virginia, with a bureau in Baghdad, production capability in Dubai and Beirut, and correspondents in more than 25 countries in the Middle East and around the world. MBN reaches an estimated 35 million people each week and provides the United States a direct and undistorted line of communication with the Arabic-speaking population of the Middle East. In addition, news updates are broadcast twice an hour to provide regular news coverage 18 hours of the day. Alhurra-Iraq carries some programs aired on the regional Alhurra channel, but concentrates on targeted news and current affairs programs for Iraqi viewers as they move into a new era and rebuild their country. Alhurra Europe provides the most popular news and information programs of Alhurra and Alhurra-Iraq. Radio Sawa broadcasts reliable and objective news via newscasts at 15 and 45 minutes past each hour, totaling over 325 live, updated newscasts per week on each of its seven broadcasting streams targeted to the sub-regions of the Middle East. Daily 30-minute news magazines provide listeners with in-depth reporting and news analysis. Radio Sawa can be heard throughout the Middle East through FM and medium wave (AM) transmissions on seven streams including: Iraq (FM and AM); Jordan and the West Bank (FM); the Gulf (FM and AM); Egypt and the Levant (AM); Morocco (FM); Sudan and Yemen (FM and AM); and Lebanon and Syria (FM). Listeners can also hear Radio Sawa live on the Internet by going to its website and clicking on any of the streams.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Broadcasting Board of Governors, 330 Independence Ave S.W., Suite 3360,
Washington DC 20237.

Web Site Address:

www.bbg.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Radio Free Europe/Radio Liberty, Radio Free Asia, and Middle East Broadcasting Networks are the only projects that have received grant funding. All three organizations conduct broadcasting operations in targeted geographic regions.

CRITERIA FOR SELECTING PROPOSALS:

The BBG does not solicit or evaluate proposals from the public.

UNITED STATES INSTITUTE OF PEACE

91.001 UNSOLICITED GRANT PROGRAM

FEDERAL AGENCY:

UNITED STATES INSTITUTE OF PEACE

AUTHORIZATION:

United States Institute of Peace Act; Department of Defense Authorization Act of 1985, Title XVII, Public Law 98-525, 98 Stat. 2492, 2649, 22 U.S.C. 4601, Section 1701.

OBJECTIVES:

The Institute supports research, education, training and dialogue programs in the United States and abroad on international peace and conflict resolution.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funding is available for any project that falls within the Institute's broad mandate of international conflict resolution. Unsolicited grants are offered across a broad range of relevant disciplines, skills, and approaches. Topic areas of interest to the Institute include, but are not restricted to, the origins of conflict, the role played by religious, ethnic, economic, political, social, and environmental factors in generating or accelerating conflict within, between, or among states; international conflict management; diplomacy; negotiation theory; Track Two (unofficial) diplomacy; methods of third-party dispute settlement; international law; international organizations and collective security; deterrence and balance of power; arms control; psychological theories about international conflict; the role of nonviolence and nonviolent sanctions; moral and ethical thought about conflict and conflict management; the role of peacekeeping, humanitarian intervention, and postconflict peace building; and theories about relationships among political institutions, human rights, and conflict. Priority is accorded to projects that have practical applications and that may be useful for policymakers.

Applicant Eligibility:

The Institute may provide grant support to nonprofit organizations, public institutions, and individuals both U.S. and foreign including the following: civil society organizations; institutions of secondary, postsecondary, and community education; public and private education, training, or research institutions; libraries; and public departments and agencies (including U.S. state and territorial departments of education and commerce). Government officials, whether U.S. or foreign, are not eligible to receive Institute funds. Other U.S. government employees should contact the Grant Program staff prior to submission of an application to determine their eligibility.

Beneficiary Eligibility:

Nonprofit organizations, official public institutions, and individuals. Grantees may be U.S. citizens, nonprofit organizations and official public institutions, or foreign nationals and foreign nonprofit institutions.

Credentials/Documentation:

Resume/curriculum vitae.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

All applicants must submit their project on an official application form (last revised February 2004, with accompanying documentation as described therein).

Award Procedure:

Most grant proposals are vetted through a rigorous, multi-stage process of review, which may include consideration by independent experts and professional staff at the Institute. Proposals are grouped by theme or regional focus and sent to relevant external experts for their evaluation. The final authority for decisions about grants rests with the Board of Directors. Outside review of an application may also be sought as part of the review process.

Deadlines:

The Unsolicited application deadline is October 1 (or the next business day if the date falls on a weekend or a U.S. holiday). This is a receipt deadline not postmark deadline.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

None.

Renewals:

Grantee may submit an application for renewed funding after all requirements on the previous grant are fulfilled. Renewed funding request will be submitted to the Board of Directors for review. Grantees may request an extension of an ongoing project by submitting a letter to the Director of the Grant Program.

Formula and Matching Requirements:

This program has no statutory requirements.

Length and Time Phasing of Assistance:

Grants are typically one year in duration, however, short and long undertakings are also appropriate. Funds are released according to the schedule negotiated between USIP and the grantee. All funds must be spent or obligated within the established grant period.

Reports:

Interim performance reports - narrative and financial - are submitted according to the schedule negotiated between USIP and the grantee. Final reports are due 90 days after the end of the grant period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documentation, statistical records, and all other records pertinent to the grant shall be retained by the grantee for 3 years following the submission of the final report of project expenditures.

Account Identification:

95-1300-0-1-153.

Obligations:

FY 07 \$2,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

The average award in the Unsolicited Grant Program is \$38,000. The actual amount of any grant is based on the proposed budget and subsequent negotiations with successful applicants.

PROGRAM ACCOMPLISHMENTS:

The Unsolicited Grant Program has made more than 1150 awards. Grantees have produced more than 280 books, 360 articles, and 118 unpublished monographs and manuscripts. In addition, grantees have completed two simulations; 40 sets of curriculum material; one directory entitled Guide to Peace Research in Western Europe; and one conference report on Peace and Security in the Asia Pacific Region.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register No. 3155-01.

Regional or Local Office:

Unsolicited Grant Program, United States Institute of Peace, 1200 17th Street NW., Suite 200, Washington, DC 20036-3006. Telephone: (202) 429-3842. E-mail: grants@usip.org.

Headquarters Office:

United States Institute of Peace, 1200 17th Street NW., Suite 200, Washington, DC 20036-3006.

Web Site Address:

<http://www.usip.org>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

1. A grant to fund research on armed non-state actors, attempts to disarm or demilitarize such actors, and the sequencing of such attempts in relation to political negotiations. Drawing upon lessons from cases in Southwest Africa and Northern Ireland and from the direct experience of project team members in those cases, the study will focus particular attention on the evolving experience with Hizballah in Lebanon. 2. A summer workshop, to promote cooperative security in South Asia through dialogue. It will focus on traditional security issues and will bring together young scholars and analysts from South Asia for a candid, sustained dialogue on sources of insecurity in the region. 3. A project in the Warri region of Nigeria's Niger Delta to address the need for reconciliation following violence among Ijaw, Itsekiri and Urhobo ethnic groups. 4. A project to promote reconciliation in Lebanon through the collection and discussion of documents and testimonies on the Lebanese civil war.

CRITERIA FOR SELECTING PROPOSALS:

In evaluating grant applications, central concerns will include: 1) the significance of the project to the Institute's mandate and the subject areas of special interest identified by the Institute; 2) evidence that the project will not simply duplicate existing knowledge or programs; 3) the likelihood that the project will make a significant contribution to the field in scholarship and knowledge; 4) the usefulness of the proposed product in fulfilling the Institute's mandate. The Institute is particularly interested in proposals that envision a specific product of enduring value.

91.002 SOLICITED GRANT PROGRAM

FEDERAL AGENCY:

UNITED STATES INSTITUTE OF PEACE

AUTHORIZATION:

United States Institute of Peace Act; Department of Defense Authorization Act of 1985, Title XVII, Public Law 98-525, 98 Stat. 2492, 2649, 22 U.S.C. 4601, Section 1701.

OBJECTIVES:

To provide support for education and training, research, and public information

on international peace and conflict resolution and on themes and topics identified by the institute.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Starting in October 2006, the Grant program launches its new Solicited Grant Initiative with the following elements: Funding for conflict prevention, conflict management, and post-conflict peacebuilding in Colombia, Iraq, Nigeria, Pakistan, and Sudan. Funding for projects relating to Iran. Priority will be given to initiatives focusing on Iranian relations with the West and with its neighbors in the region, and on Iran's role in regional and international affairs.

Applicant Eligibility:

For, Colombia, Iraq, Nigeria, Pakistan and Sudan, preference will be given to applications submitted by non-profit organizations based in those countries or to groups that partner with them. For Iran and the countries above, applications may be submitted by nonprofit organizations, public institutions, and individuals. Grantees may be U.S. citizens and U.S. nonprofit or public institution or foreign nationals and foreign nonprofit institutions.

Beneficiary Eligibility:

Nonprofit organizations, public institutions, and individuals. Grantees may be U.S. citizens, nonprofit organizations and public institutions, or foreign nationals and foreign nonprofit institutions.

Credentials/Documentation:

Resume/curriculum vitae.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

All applicants must submit their project on an official application form (last revised February 2004), with accompanying documentation as described therein.

Award Procedure:

Proposals submitted to the Solicited Grant Initiative will first be discussed with, and reviewed by, Grant program staff. In the event of a successful review, applications will be forwarded for further review to experts outside of USIP. During the review process, staff may contact applicants to request further information. Final approval of funded projects will be contingent upon further review and approval by USIP's executive officers and Board of Directors. For previous grantees, evaluations of their earlier grants will be conducted before final decisions will be made.

Deadlines:

The countries listed under no. 70 are expected to be the focus of this solicited initiative for at least one year from October 1, 2006. Applicants may apply at any time throughout the year. Awards will be announced throughout the year.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

None.

Renewals:

Grantees may submit an application for renewed funding after all requirements on the previous grant are fulfilled. Renewed funding requests will be submitted to the Board of Directors for review. Grantees may request an extension of an ongoing project by submitting a letter to the Director of the Grant Program.

Formula and Matching Requirements:

This program has no statutory requirements.

Length and Time Phasing of Assistance:

Grants are typically one year in duration, however, short and long-term undertakings are also appropriate. Funds are released according to the schedule negotiated between USIP and the grantee. All funds must be spent or obligated within the established grant period.

Reports:

Interim financial and performance reports are submitted according to the schedule negotiated between USIP and the grantee. Final financial and performance reports are due 90 days after the end of the grant period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documentation, statistical records, and all other records pertinent to the grant shall be retained by the grantee for 3 years following the submission of the final report of project expenditures.

Account Identification:

95-1300-0-1-153.

Obligations:

FY 07 \$2,500,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

The average award in the Solicited Grant Program is \$40,000, although

somewhat larger grants are also awarded. The actual amount of any grant is based on the proposed budget and subsequent negotiations with successful applicants.

PROGRAM ACCOMPLISHMENTS:

The Solicited Grant Program has made more than 424 awards. Grantees have produced more than 79 books, 174 articles and 114 unpublished monographs and manuscripts.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register No. 3155-01.

Regional or Local Office:

Solicited Grant Program, United States Institute of Peace, 1200 17th Street, NW., Suite 200, Washington, DC 20036-3006. Telephone: (202) 429-3842. E-mail: grants@usip.org.

Headquarters Office:

Office of Public Affairs, United States Institute of Peace, 1200 17th Street, NW., Suite 200, Washington, DC 20036-3006.

Web Site Address:

<http://www.usip.org>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

1. A grant to gain a comprehensive understanding of the growing tensions between the majority Muslim and minority Christian communities in Mangochi District in southern Malawi. 2. A grant to examine and evaluate the outreach and legacy programs of the Special Court for Sierra Leone. 3. A project to build conflict resolution capacity at the grassroots level and to create awareness among people of their rights and responsibilities under the new political order in Sudan. 4. A project to promote understanding of the new constitution in Liberia. The project will produce two publications: an annotated compilation of the records of the proceedings that gave rise to the Liberian constitution and an analytical commentary on the constitution's meaning for use by lawyers, judges, law professors and government officials.

CRITERIA FOR SELECTING PROPOSALS:

In evaluating grant applications, central concerns will include: 1) the significance of the project to the subject areas of special interest of the solicitation; 2) evidence that the project will not simply duplicate existing knowledge or programs; 3) the likelihood that the project will make a significant contribution to the field in scholarship and knowledge; 4) the usefulness of the proposed product in fulfilling the Institute's mandate. The Institute is particularly interested in proposals that envision a specific product of enduring value.

DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.001 CIVIL RIGHTS AND PRIVACY RULE COMPLIANCE ACTIVITIES

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Civil Rights Act of 1964, Title VI, as amended; Rehabilitation Act of 1973, Sections 504 and 508, as amended; Education Amendments of 1972, Title IX, as amended; Age Discrimination Act of 1975, as amended; Education Amendments of 1972, Title IX, as amended; Nondiscrimination Provisions of the Public Health Service Act, Titles VI, VII, VIII, and XVI, as amended; Omnibus Budget Reconciliation Act of 1981, Public Law 97-35, as amended; Drug Abuse Offense and Treatment Act of 1972, Section 407; Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970, Section 321, as amended; Low-Income Home Energy Assistance Act of 1981, 42 U.S.C. 8625; Community Services Block Grant Act, 42 U.S.C. 398; Family Violence Prevention and Services Act, as amended, Section 307 (a)(1) and (2), Public Law 98-457; Americans with Disabilities Act of 1990, Title II, Subtitle A, Public Law 101-336; Small Business Job Protection Act of 1996, Section 1808, Public Law 104-188; Health Insurance Portability and Accountability Act of 1996 (HIPAA), 42 U.S.C. 1320d-2, 45 CFR Part 160 and Subparts A and E of Part 164--Standards for Privacy of Individually Identifiable Health Information (the "Privacy Rule"); Public Health Service Act, Title IX, 42 U.S.C. 299b-22, as amended by the Patient Safety and Quality Improvement Act of 2005, Public Law 109-41.

OBJECTIVES:

The Office for Civil Rights (OCR) in the Department of Health and Human Services (HHS) promotes and ensures that people have equal access to and the opportunity to participate in and receive services from all HHS-funded programs without facing unlawful discrimination, and that the privacy of their health information is protected. OCR protects the civil rights of all individuals who are subject to discrimination in health and human services programs and protect the health information privacy rights of consumers through investigations, voluntary dispute resolution, enforcement, technical assistance, policy development and information services.

TYPES OF ASSISTANCE:

Investigation of Complaints.

USES AND USE RESTRICTIONS:

The Office for Civil Rights (OCR) in the Department of Health and Human Services (HHS) is responsible for promoting and ensuring that people have equal access to and the opportunity to participate in and receive services from all HHS-funded programs without facing unlawful discrimination, and that privacy of their health information is protected. These HHS funded programs are provided through state agencies, nursing homes, skilled nursing facilities, medical laboratories, hospitals, day care centers, social service agencies and other providers. Any person who believes that he or she has been discriminated against in the provision of these services because of race, color, national origin, disability, age, sex or religion, may file a complaint with OCR. Individuals may also file complaints of sex discrimination in health training programs receiving Federal financial assistance, and complaints of denials of health care for reasons other than the need and availability of services, by recipients of Hill-Burton grants and loans. OCR also has enforcement responsibilities under the Americans with Disabilities Act (ADA) of 1990, and Section 1808 of the Small Business Job Protection Act (SBJPA) of 1996. Regarding the ADA, any person who believes that he or she has been denied benefits in health and human services programs and activities conducted by state or local government entities, because of his/her disability, may file a complaint with OCR. Regarding Section 1808 of the SBJPA, any individual may file a complaint with OCR alleging that an adoption or foster care organization funded by HHS makes placement decisions in violation of Section 1808 of the SBJPA and Title VI of the Civil Rights Act of 1964. The Office will investigate complaints and take steps to ensure compliance with applicable civil rights laws. OCR also enforces the Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule as well as the Patient Safety and Quality Improvement Act (PSQIA) of 2005. OCR's activities under the Privacy Rule include: investigating complaints and conducting public education, technical assistance and other outreach activities to health care providers, health care clearinghouses and health plans, to ensure that they understand their responsibilities under the Rule; to other entities that may be impacted by the Rule, including Federal, State and local government agencies, researchers, and business associates of covered entities; and to consumers to inform them of their rights under the Privacy Rule. Anyone who believes that a person, agency or organization covered under the HIPAA Privacy Rule ("a covered entity") has violated the Privacy Rule may file a complaint with OCR. OCR's activities under PSQIA include: administering an enforcement program regarding the confidentiality protections, including conducting investigations, taking compliance actions, and making penalty determinations;

developing, for issuance by the Secretary, regulations regarding such compliance and enforcement program; Imposing Civil Monetary Penalties (CMPs) for confidentiality violations; Interpreting standards for enforcement and for guidance to Patient Safety Organizations (PSOs) and providers; and Providing technical assistance and public information in the administration of the enforcement program.

Applicant Eligibility:

Anyone who believes he or she has been discriminated against in the manner outlined above or seeks information concerning civil rights, and recipients of Federal financial assistance who desire technical assistance and information for the purpose of assuring their compliance with nondiscrimination laws. Regarding the HIPAA Privacy Rule, anyone who believes that the Privacy Rule has been violated in the manner outlined above, or who seeks information about the Rule; consumers that need to know their rights under the Rule; and entities that need technical assistance and information for the purpose of assuring compliance with the Privacy Rule.

Beneficiary Eligibility:

Individuals subject to discrimination and recipients who require technical assistance and information. Regarding the HIPAA Privacy Rule, individuals subject to violation of their health information privacy, covered entities, and others needing or seeking technical assistance and information concerning the Rule.

Creditentials/Documentation:

None.

Preapplication Coordination:

Contact the Director, Office for Civil Rights. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Complaints of discrimination and alleged violations of the HIPAA Privacy Rule may be filed, and technical assistance information and public education requested, at the Headquarters and Regional Office locations of the Office for Civil Rights.

Award Procedure:

None.

Deadlines:

A complaint must be filed not later than 180 days from the last act of the alleged discrimination, unless the time for filing is waived for good cause. Complaints under the Privacy Rule must be filed within 180 days of the date when the complainant knew, or should have known, that the act or omission complained of occurred, unless the time limit is waived for good cause.

Range of Approval/Disapproval Time:

None.

Appeals:

Individuals can appeal a civil rights discrimination complaint determination by submitting, in writing, a request for reconsideration to the Director, Office for Civil Rights. OCR imposes civil money penalties (CMPs) on a covered entity found to be in violation of the HIPAA Privacy Rule. If CMPs are imposed by OCR, the covered entity may request a hearing in which an HHS administrative law judge (ALJ) decides if the CMPs are supported by the evidence in the case. OCR is required to present the evidence to support the imposition of CMPs to the ALJ. The covered entity may also then appeal the ALJ's decision to the HHS Departmental Appeals Board (DAB) and ultimately to the U.S. Courts of Appeal.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

75-0135-0-1-751.

Obligations:

(Salaries and Expenses) FY 07 \$34,909,000; FY 08 est \$37,062,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

OCR enforces nondiscrimination and privacy requirements by processing and resolving complaints, conducting compliance reviews, and carrying out voluntary compliance, public education, outreach and technical assistance activities. OCR continues to achieve significant accomplishments in all of these areas. In Fiscal Year (FY) 2007: 11,290 individual complaints were filed with

OCR (3,371 civil rights discrimination cases and 7,919 Privacy Rule cases); OCR completed action on 10,053 complaint cases (3,131 discrimination and 6,922 Privacy Rule); OCR completed 2,352 compliance reviews (including 2,306 pre-grant and 46 post-grant reviews) on its traditional civil rights authorities; and OCR provided information and training to 75,632 individuals. OCR projects that approximately 11,700 individual complaints will be filed in FY 2008 (3,300 civil rights discrimination cases and 8,400 Privacy Rule cases); OCR is projected to complete action on approximately 10,700 complaint cases (3,500 discrimination and 7,200 Privacy Rule). OCR is also on target to complete 1,800 compliance reviews (including 1,750 pre-grant and 50 post-grant reviews) in FY 2008 and to provide information and training to more than 75,000 individuals. Highlights of OCR's major accomplishments in FY 2007 and FY 2008 in some of our priority areas are as follows. **LIMITED ENGLISH PROFICIENCY** -- OCR continues to work with health care and social service providers, state and local agencies, and other HHS components to ensure that limited English proficient (LEP) persons are not discriminated against on the basis of national origin in HHS-funded programs (e.g., emergency room care, welfare to work, child protective services, senior centers, and in-home services). OCR continued its technical assistance, public education and outreach activities in connection with the revised Limited English Proficiency guidance issued in August 2003. In FY 2007, in collaboration with the American Hospital Association (AHA), OCR established partnerships with 13 state-wide hospital associations to provide technical assistance tailored to the unique needs and demographics of the AHA member hospitals to assist them in meeting their legal obligations to engage in effective communication, consistent with the HIPAA Privacy Rule, with limited English proficient and deaf or hard of hearing patients and their companions, thereby promoting patient safety and quality health care. **MULTI-ETHNIC PLACEMENT ACT (MEPA)/SECTION 1808 - FOSTER CARE AND ADOPTION SERVICES** -- OCR continues to strengthen families and create better conditions for children to live in permanent, safe environments through its work to remedy race, color, and national origin discrimination in foster care and adoption. For example, in FY 2007, OCR successfully negotiated a comprehensive compliance agreement with the South Carolina Department of Social Services, which ensures that the 53 state offices serving over 5,000 children in foster care and all prospective adoptive and foster parents will not delay or deny the placement of children into foster or adoptive homes, or deny parents the opportunity to foster or adopt children, due to race, color or national origin. **NEW FREEDOM INITIATIVE** -- OCR is involved in a variety of efforts to increase the independence and quality of life of persons with disabilities, including those with long-term needs. Most notably, OCR has played a critical role in implementation of the Administration's New Freedom Initiative that was announced in February 2001, and implemented through Executive Order (E.O.) 13217 issued on June 19, 2001. The E.O. calls for swift implementation of the Olmstead Supreme Court decision and full enforcement of Title II of the Americans with Disabilities Act through investigations, complaint resolution, and the use of alternative dispute resolution. OCR maintains a New Freedom Initiative web page, on which it published "Delivering on the Promise:

OCR's Compliance Activities Promote Community Integration." This report provides accounts of satisfactorily resolved complaints affecting over 250 individuals. In FY 2007, OCR entered into a resolution agreement with the Massachusetts Department of Transitional Assistance that achieved statewide reform by requiring all 28 offices serving more than 400,000 individuals across the state to implement effective screening and assessment programs that will allow TANF beneficiaries with learning disabilities to acquire the education and support services needed to find and retain jobs. Through its website, OCR will continue to expand the information available to consumers and providers about the civil rights of persons with disabilities in order to further facilitate the community integration of individuals with disabilities. **EMERGENCY PREPAREDNESS PLANNING AND RESPONSE** -- OCR has been actively involved in many government-wide activities related to emergency planning, response, and recovery. In FY 2007, OCR provided technical assistance to ten states examining privacy issues in pandemic flu planning and response. In a workshop convened in May 2007, by the Association of State and Territorial Health Officials, OCR guided state public health agency and privacy officials through important decision points, using the OCR decision tool for emergency preparedness. **HIPAA PRIVACY RULE** -- A major responsibility given to OCR in December 2000 is protecting the privacy of health information under the Privacy Rule, which was enacted under the Health Insurance Portability and Accountability Act (HIPAA) of 1996. The Rule protects the privacy of individually identifiable health information held by health plans, covered health care providers, and health care clearinghouses. OCR promotes compliance with the Privacy Rule by investigating and resolving complaints, developing policy guidance and public education materials, and providing technical assistance directly to covered entities and members of the public. To promote the well-being of individuals at risk of violence and mental illness, OCR is carrying out Presidential mandates to improve understanding of appropriate information-sharing under the HIPAA Privacy Rule and to share best practices with states about mental health services pursuant to the June 13, 2007 Report to the

President on Issues Raised by the Virginia Tech Tragedy. OCR participated with the Departments of Education and Justice on panels about understanding federal privacy rules at the Department of Justice's annual national conference on Project Safe Neighborhoods. Pursuant to the President's directive, in FY 2008 OCR has developed, in coordination with the Department of Education, guidance to clarify the intersection of the HIPAA Privacy Rule and the Family Educational Rights and Privacy Act, as well as the circumstances under which information may be shared under both laws in emergency circumstances.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title VI of the Civil Rights Act of 1964--the implementing regulation (45 CFR 80), "Your Rights Under Title VI of the Civil Rights Act of 1964 fact sheet, "Know Your Rights" brochure regarding national origin discrimination and limited English proficiency, a video in VHS and DVD formats entitled "Title VI Limited English Proficiency"; Section 504 of the Rehabilitation Act of 1973--the implementing regulation for HHS funded programs and activities (45 CFR 84), and fact sheets "Your Rights Under Section 504," "Your Rights as a Person with HIV Infection, AIDS, or Related Conditions," "Your Rights Under Section 504 and the Americans with Disabilities Act," and the HHS Section 504 federally conducted regulation (45 CFR 85); Age Discrimination Act of 1975--implementing regulations, HHS (45 CFR 91) and government-wide (45 CFR 90), and fact sheet "Your Rights Under the Age Discrimination Act"; Community Service Assurance of the Hill-Burton regulation (42 CFR 124) and fact sheet "Community Service Assurance Under the Hill-Burton Act"; Sex Discrimination in Health-Related Training Programs regulation (45 CFR 83); fact sheets on: Section 1808/Multiethnic Placement Act (MEPA); the Americans with Disabilities Act (ADA); "How to File a Discrimination Complaint with OCR"; "Know Your Civil Rights"; a video presentation: OCR guidance on Temporary Assistance for Needy Families (TANF) and Disability; and various other civil rights publications. HIPAA regulations and standards, including the Privacy Rule, 45 CFR Part 160 and Subparts A and E of Part 164; "Your Health Information Privacy Rights"; "Privacy and Your Health Information"; fact sheets and guidance on specific aspects of the Privacy Rule, and additional compliance tools such as "Am I a Covered Entity" and Frequently Asked Questions (FAQs). A complete list of Privacy Rule publications is available. There is also a brochure titled "Protecting Your Civil Rights in Health and Social Services and Your Health Information Privacy Rights," which provides general information about the Office for Civil Rights and its services. All literature is available at no charge; however, because of budgetary constraints and availability of stock, quantities may be limited. Most publications can be downloaded from OCR's website and are available in languages other than English. All publications are available in alternative formats for individuals with disabilities.

Regional or Local Office:

Regional Manager, Office for Civil Rights, HHS Regional Offices. (See Appendix IV of the Catalog for listing.)

Headquarters Office:

Director, Office for Civil Rights, Office of the Secretary, Department of Health and Human Services, Hubert H. Humphrey Building - Mail Stop Room 509-F6, 200 Independence Ave. S.W., Washington, DC 20201; Telephone: (202) 619-0403. Toll free numbers: 1(800) 368-1019 (voice); 1(800) 537-7697 (TDD). Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule information toll free number: 1(866) 627-7748.

Web Site Address:

<http://www.hhs.gov/ocr>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.003 PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 319 (a).

OBJECTIVES:

To provide supplemental funding for public health and social service emergencies.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds are available for public health and social service emergencies. Funds are initially appropriated to the Office of the Secretary, but virtually all funding is allocated to HHS agencies for award and use in disaster areas.

Applicant Eligibility:

Federal agencies, State and local governments, and other service providers in areas impacted.

Beneficiary Eligibility:

Individuals, families, Federal, State, and local government agencies.

Credentials/Documentation:

Guidelines and requirements to be determined by agencies administering grant programs and services.

Preapplication Coordination:

Applicant should consult the office or official designated as the contact for additional information on the application and award process. An environmental impact statement and an environmental impact assessment are required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information concerning the required process to be followed in applying for assistance if the State has selected the program for review.

Application Procedure:

Applicant should consult the office or official designated as the contact for additional information on the application procedure.

Award Procedure:

Awards will be granted by agency or office administering the funds.

Deadlines:

To be determined by agency or office administering the funds.

Range of Approval/Disapproval Time:

To be determined by agency or office administering the funds.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula and matching requirements.

Length and Time Phasing of Assistance:

To be determined by agency or office administering the funds.

Reports:

Reporting requirements have not been established at this time. Requirements will be transmitted to recipients.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0140-0-1-551.

Obligations:

(Grants) FY 07 \$0; FY 08 \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$409,230 to \$0; \$0.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not available at this time. Guidelines are expected to be transmitted to recipients by agency or office administering the funds.

Regional or Local Office:

Not applicable.

Headquarters Office:

CMHS Program Contact: Terri H. Spear, Emergency Coordinator, 1 Choke Cherry Road, Rockville, MD 20857. Telephone: (240) 276-2244. Grants Management Contact: Gwendolyn Simpson, Grants Management Team leader for CMHS: Telephone: (240) 276-1408.

Web Site Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In FY 2001, funds were used for: Community Health Centers affected in the New York/New Jersey area and the increased demands for primary medical care services and mental health services for low income and homeless populations as a result of the aftermath of the terrorist attacks on the World Trade Center on September 11, 2001; grants to organizations in disaster-affected areas for the healthcare-related cost and lost revenue incurred; and grants to States to improve hospital capacity to respond to bioterrorism. In FY 2007 and FY 2008, funds will be used to continue to prepare our nation's public health system and hospitals for future emergencies, for a emergency reserve of medical supplies for use in a variety of possible mass casualty events, and to accelerate research into

new treatments and diagnostic tools to cope with possible bioterrorism incident.

CRITERIA FOR SELECTING PROPOSALS:

To be determined by agency or office administering the funds.

93.004 COOPERATIVE AGREEMENTS TO IMPROVE THE HEALTH STATUS OF MINORITY POPULATIONS**FEDERAL AGENCY:**

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Title XVII, Section 1707 (e) (1), 42 U.S.C. 300u et seq.

OBJECTIVES:

To provide support for activities which have the potential to improve the health status and/or quality of life of racial/ethnic minorities, with the objective of reducing the excessive burden and death borne by minority and disadvantaged populations in the United States.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds are to be used for support of projects or activities consistent with the mission of the Office of Minority Health. Funds are not to be used for the provision of health care services, construction, augmentation of on-going Office of Minority Health (OMH) supported demonstrations, or supplementation of on-going project activities.

Applicant Eligibility:

Public and private nonprofit entities may apply. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Members of the minority and disadvantaged groups: Blacks; Hispanics; American Indians; Alaska Natives; Asian/American Pacific Islanders; or subgroups of these.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission.

Preapplication Coordination:

This program does not require preapplication coordination. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application kits may be requested by calling 240-453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS e-Grants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS e-Grants Web site, <https://egrants.osphs.dhhs.gov>.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

The cooperative agreements will have a project period not to exceed 5 years, with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Necessary instructions regarding payment procedure will be provided at the time the award is issued.

Reports:

Semi-annual or quarterly progress reports may be required with a final performance report due 90 days after the end of the project period. A Financial Status Report is due 90 days after the end of each budget period with a final Financial Status Report is due 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Grants-Cooperative Agreements) FY 07 \$7,842,317; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$25,000 to \$614,000; \$186,000.

PROGRAM ACCOMPLISHMENTS:

n FY 2006 and FY 2007 (9) continuation awards will be supported.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the PHS Grants Policy Statement.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Ms. Cynthia H. Amis, Director, Division of Program Operations, Office of Minority Health, Office of Public Health and Science, Office of the Secretary, 1101 Wootton Parkway, Suite 600, Rockville, MD 20852. Telephone: (240) 453-8444. Grants Management Contact: Office of Grants Management, Office of Public Health and Science, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Web Site Address:

<http://www.OMHRC.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The following are examples of activities funded under the cooperative agreements: 1) provide through the establishment of an American Indian Alaska Native Research Network a means by which American Indian and Alaskan Native researchers can network, collaborate and seek support; 2) to educate and increase provider sensitivity about cultural practices and their influence on patient compliance with medication and therapeutic treatment interventions; and 3) reduce the prenatal HIV transmission among African American women of child bearing age and their care providers through health promotion and education.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: 1) consistency of project's goals and objectives with the Office of Minority Health's mission; 2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; 3) strength of proposed grant organization's management capability; 4) adequacy of qualifications, experience and cultural competence of proposed personnel; and 5) strength of analysis of potential impact or innovation the project proposes to generate.

93.006 STATE AND TERRITORIAL AND TECHNICAL ASSISTANCE CAPACITY DEVELOPMENT MINORITY HIV/AIDS DEMONSTRATION PROGRAM

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Title XVII, Section 1707 (e)(1), 42 U.S.C. 300u et seq.; Public Law 93-641.

OBJECTIVES:

To demonstrate that the involvement of State and Territorial Offices of Minority Health in coordinating a statewide response to the HIV/AIDS crisis in minority communities can have a greater impact on the communities' understanding of the disease, and the coordination of prevention and treatment services for minority populations, than agencies and/or organizations working independently; and to stimulate and foster the development of effective and durable service delivery capacity for HIV prevention and treatment among organizations closely linked with the minority populations.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are to be used to support minority-targeted health education and prevention strategies which will help to eliminate or reduce the risk for acquiring or transmitting HIV/AIDS and increase access to services and treatment; and to provide technical assistance and capacity development to community based minority-serving organizations to enhance their delivery capacity for HIV/AIDS prevention and treatment. Funds are not to be used for the provision of health care services, construction, augmentation of ongoing Office of Minority Health (OMH) supported demonstrations, or to supplant ongoing project activities.

Applicant Eligibility:

State/Territorial Offices of Minority Health or, for those states and territories that do not have established Office of Minority Health, a state or territorial minority health entity located within a State or Territorial Department of Health which functions in the capacity of an Office of Minority Health; and community based, minority-serving organizations.

Beneficiary Eligibility:

Members of the minority groups: American Indians or Alaska Natives; Asians; Blacks or African Americans; Hispanics or Latinos; Native Hawaiians or other Pacific Islanders; or subgroups of these populations.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission, where applicable. Documentation to verify official status as a State or Territorial Office of Minority Health signed by a State/territorial level authorizing official (e.g., Governor or designated official, Commissioner of Health or designee) or documentation to verify official status as a State or territorial minority health entity signed by the Commissioner of Health or designee in the Department of Health, as applicable.

Preapplication Coordination:

This program does not require preapplication coordination. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in the State for more information on the process the State requires to be filed with applying for assistance, if the State has selected the program for review. This program is also covered by the Public Health System reporting requirements.

Application Procedure:

Application kits may be requested by calling 240-453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS e-Grants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS e-Grants Web site, <https://egrants.osophs.dhhs.gov>.

Award Procedure:

Accepted applications will be reviewed by a panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

Approximately 90 to 120 days from the application deadline date.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

The grants will have a project period not to exceed 3 years, with 12 month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Necessary instructions regarding payment procedure will be provided at the time the award is issued.

Reports:

Progress reports are required for each budget period and a final performance report due 90 days after the end of the project period. A Financial Status Report is due 90 days after the end of each budget period with a final Financial Status Report due 90 days after the end of the project period.

Audits:

In Accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Grants) FY 07 6,700,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$144,127 to \$1,200,000; \$430,649.

PROGRAM ACCOMPLISHMENTS:

In FY 07 17 continuation awards will be supported.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the PHS Grants Policy Statement.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Ms. Cynthia H. Amis, Director, Division of Program Operations, Office of Minority Health, Office of Public Health and Science, Office of the Secretary, 1101 Wootton Parkway, Suite 700, Rockville, MD 20852. Telephone: (240) 453-8444. Grants Management Contact: Office of Grants Management, Office of Public Health and Science, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Web Site Address:

<http://www.omhrc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The following are examples of activities funded under this program: 1) identification of the needs of minority populations, facilitation of linkages between minority community based organizations to develop greater resource capacity, and coordinating federal resources through a needs assessment, mobilization of ethno-specific community coalitions, and minority linkage conferences; 2) using Geographic Information System mapping to explore the correlation between HIV/AIDS distribution and identified socioeconomic indicators, and employing epidemiological data to determine areas of highest need, profiling local and state minority community based organizations and making the information available on the Internet, and disseminating data and other HIV/AIDS related information via the Internet; and 3) conducting needs assessment, training workshops and seminar for minority community based organization staff and board members in diverse planning and management skills, and developing a pool of consultants with technical assistance and cultural/linguistic competence.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: 1) consistency of project's goals and objectives with the Office of Minority Health's mission; 2) coherence and feasibility of methodology

and activities selected to address the problem as evidence in the proposed implementation plan; 3) strength of proposed grant organization's management capability; 4) adequacy of qualifications, experience and cultural competence of proposed personnel; and 5) strength of analysis of potential impact or innovation the project proposes to generate.

93.007 PUBLIC AWARENESS CAMPAIGNS ON EMBRYO ADOPTION

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Law 107-116.

OBJECTIVES:

To fund public awareness campaigns on embryo adoption.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Eligible applicants include public agencies, nonprofit organizations, and for-profit organizations. Eligibility to compete for this announcement is limited to particular applicant organizations. Only agencies and organizations, not individuals, are eligible to apply. One agency must be identified as the applicant organization and will have legal responsibility for the project. Additional agencies and organizations can be included as co-participants, subgrantees, subcontractors, or collaborators if they will assist in providing expertise and in helping to meet the needs of the recipients. Faith-based and community-based organizations meeting the eligibility requirements may apply, or they may be included as co-participants, subgrantees, subcontractors, or collaborators if they will assist in providing expertise and in helping to meet the needs of recipients. Eligibility is limited to organizations that can demonstrate previous experience with embryo adoption and are knowledgeable in all elements of the process of embryo adoption.

Beneficiary Eligibility:

Potential donors and/or recipients of frozen embryos.

Credentials/Documentation:

Proof of nonprofit status is required of nonprofit organizations and institutions.

Preapplication Coordination:

Letter of Intent requested for submission 30 days after publication of RFA in the Federal Register. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be prepared on the forms supplied (OPHS-1, Revised 6/2001) and in the manner prescribed in the application kits provided by the Office of Public Health and Science (OPHS). The application must be signed by an individual authorized to act for the applicant agency and to assume responsibility for the obligations imposed by the terms and conditions of the grant award. Each application will be evaluated individually against the following four criteria by an objective review panel appointed by the OPHS. Before the review panel convenes, each application will be screened for applicant organization eligibility, as well as to make sure the application contains all the essential elements. Applications received from ineligible organizations and applications received after the deadline will be withdrawn from further consideration. A panel of at least three reviewers will use the evaluation criteria listed below to determine the strengths and weaknesses of each application, provide comments and assign numerical scores. Applicants should address each criterion in the project application. The point values (summing up to 100) indicate the maximum numerical weight each criterion will be accorded in the review process.

Award Procedure:

The results of a competitive review are a primary factor in making funding decisions. In addition, Federal staff will conduct administrative reviews of the applications and, in light of the results of the competitive review, will recommend applications for funding to the Assistance Secretary for Health (ASH). The ASH reserves the option of discussing applications with other funding sources when this is in the best interest of the Federal government. The ASH may also solicit and consider comments from Public Health Service Regional Office staff and others within Department of Health and Human Services (DHHS) in making funding decisions. The ASH makes final decisions regarding the applications to be funded. The OPHS does not release information about individual applications during the review process. When final decisions have been made, successful applicants will be notified by letter of the outcome of the final funding decisions. The official document notifying an applicant that a project has been approved for funding is the Notice of Grant Award (NGA), which sets forth the amount of funds granted, the terms and conditions of the award, the effective date of the grant, the budget period for which initial support will be given, and the total project period for which support is contemplated. The ASH will notify an organization in writing when its application will not be funded. Every effort will be made to notify all unsuccessful applicants as soon as

possible after final decisions are made.

Deadlines:

Applications are due 60 days after publication of an RFA in the Federal Register.

Range of Approval/Disapproval Time:

From 1-2 months.

Appeals:

None.

Renewals:

Projects are approved for one year period.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants do not exceed one year.

Reports:

Grantees must submit annual and final report. Expenditure reports are due 90 days after the end of the budget/project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Health and Human Services (HHS) and the Controller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts, and transcripts. In accordance with 45 CFR, Part 74.53, and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Grants) FY 07 \$1,500,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$200,000 to \$250,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52, 45 CFR 74; 45 CFR 92; PHS Grants Policy Statement (Rev.) April 1, 1994.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Ms. Evelyn Kappeler, Office of Population Affairs, Office of Public Health and Science, Department of Health and Human Services, 1101 Wootton Parkway, Suite 700, Rockville MD, 20852. Telephone: (240) 453-2828. Grants Management Contact: Office of Grants Management, Office of Grants Management, Office of Public Health and Science, Department of Health and Human Services, 1101 Wootton Parkway, Suite 550, Rockville MD, 20852. Telephone: (240) 453-2888.

Web Site Address:

<http://www.osophs.dhhs.gov/ophs>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

1: Objectives and Need for Assistance (30 Points) Applicants must demonstrate a clear understanding of the legislative goals and demonstrate how their approach to the design of a public awareness campaign will contribute to achieve the legislative goals. Criterion 2: Approach (30 Points) In this section, applicants are expected to define goals and specific, measurable objectives for the: (A) Design of the public awareness campaign, (B) implementation, (C) evaluation, and (D) dissemination. 3: Organizational Profile (20 Points) Applicants need to demonstrate that they have the capacity to implement the proposed program. This criterion consists of three broad topics: (A) Management plan, (B) staff qualifications, and (C) organizational capacity and resources. 4: Budget and Budget Justification (20 Points) Applicants are expected to present a budget with reasonable project costs, appropriately allocated across component areas and sufficient to accomplish the objectives. Applicants are expected to allocate sufficient funds in the budget to provide for the project director to attend a two-day orientation meeting the in the Washington, DC area.

93.008 MEDICAL RESERVE CORPS SMALL GRANT PROGRAM

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, 42 U.S.C. 241, Section 301.

OBJECTIVES:

To support the development of Medical Reserve Corps (MRC) units in communities throughout the United States to: (1) increase capacity at the community level to respond during emergencies which have medical consequences, and (2) improve public health in communities through volunteerism on an ongoing basis.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds are to be used for establishment of community-based, citizen volunteer Medical Reserve Corps. Funds may be used for organizing, volunteer recruitment, assessment of risks and vulnerabilities, strategy development, planning, training, drills/practice, supplies and equipment

Applicant Eligibility:

Applicants may be an entity of the local government or a local nonprofit, non-governmental community-based organization. If a local Citizen Corps Council has a nonprofit status, the Citizen Corps Council can be the applicant. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission. Costs will be determined in accordance with 45 CFR 74 and 92.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The application kit to be made available under this notice will contain a listing of States that have chosen to set up a review system and will include a State Single Point of Contact (SPOC) in the State for review. Applicants (other than federally recognized Indian tribes) should contact their SPOCs as early as possible to alert them to the prospective applications and receive any necessary instructions on the State process. For proposed projects serving more than one State, the applicant is advised to contact the SPOC of each affected State. The due date for State process recommendations is 60 days after the application deadline. The Office of the Surgeon General does not guarantee that it will accommodate or explain its responses to State process recommendations received after that date.

Application Procedure:

Application kits may be requested by calling 240-453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS eGrants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS eGrants Web site, <https://egrants.osophs.dhhs.gov>.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be determined by the Director, Division for Policy and Program Development, Office on Women's Health and will take into consideration the recommendations and ratings of the review

panel; program needs, stated preferences; geographic location; and recommendations of DHHS Regional Women's Health Coordinators. .

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

Project period is for 3 year.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grant projects will have a project period not to exceed 3 years, with 12 month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Necessary instructions regarding payment procedures will be provided at the time of the award.

Reports:

Progress reports are required annually for each budget period, and a final progress report is due 90 days following the end of the project period. Financial Status Reports are due at the end of each budget period, and a final Financial Status Report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 "Audit of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by HHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit finding(s).

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 07 \$8,350,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$10,000 to \$50,000; \$50,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the PHS Grants Policy Statement. A guide for local groups/organizations to help them in establishing a Medical Reserve Corps will be disseminated by the Office of the Surgeon General of the U.S. Public Health Service.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Office of the Surgeon General, USPHS, Room 18-66, 5600 Fishers Lane, Rockville, Maryland 20817. Telephone (301) 443-2528. Grants Management Contact: Office of Grants Management, Office of Public Health and Science, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Web Site Address:

<http://www.medicalreservecorps.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: 1) Implementation plan which describing linkages to existing community resources, the role the Medical Reserve Corps will most likely have, and the timeframe for planning and implementation; 2) Management plan describing the organization's capabilities; 3) Evaluation plan describing program goals and how those goals will be assessed; 4) Supporting documentation detailing how the Medical Reserve Corps is connected to a Citizen Corps Council; and 5) Background on the adequacy of demonstrated knowledge of emergency medical response/care systems and utilization of volunteers.

93.009 COMPASSION CAPITAL FUND

(CCF)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 1110 of the Social Security Act governing Social Services Research and Demonstration activities; the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act of 2004, Public Law 107-116, Title II and the Consolidated Appropriations Act, 2008, Public Law 110-161.

OBJECTIVES:

To provide grants to charitable organizations to emulate model social service programs and to encourage research on the best practices of social service organizations. This is accomplished through the goal of assisting faith-based and community organizations to increase their effectiveness, enhance their ability to provide social services, expand their organizations, diversify their funding sources, and create collaborations to better serve those most in need. This is accomplished by funding experienced intermediary organizations in well-defined geographic locations with a proven track record of providing technical assistance to smaller faith-based and community organizations in their communities, providing one-time \$50,000 grants to smaller faith-based and community organizations to build their capacity, and providing grants to strengthen broad-based collaborative community that are working to reduce gang involvement, youth violence, and child abuse and neglect and to foster positive youth development.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Intermediary organizations use the CCF funds to provide two services within their communities: 1) Training assistance to faith-based and community organizations; and 2) Financial support through sub-awards to some subset of the faith-based and community organizations in their communities. Technical assistance activities funded under CCF are to be conducted at no cost to interested faith-based and community organizations. Applicants must have demonstrated experience in the delivery of capacity-building assistance to smaller organizations in several of the following areas: strategic planning, financial management, board development, fund development, and outcome measurement. Technical assistance should be provided on a long-term, on-going basis to smaller organizations. The program goals are further accomplished through the issuance of sub-awards by the funded intermediary organizations to a diverse set of small faith-based and community organizations that seek to increase program and organizational effectiveness for a 12-month period. Sub-award recipients must adhere to the following: sub-award recipients must be chosen from fair and open competitive process; sub-award recipients must not be pre-selected; the awarding process must be fair and open competition and include outreach to both faith-based and community organizations; intermediary organizations must provide on-going technical assistance and capacity-building support to the organizations to which they issue sub-awards; and the criteria for selection must not include consideration of the religious nature of the program it offers. Priority for sub-awards is given to organizations that historically have not received grants from the Federal government. Intermediaries must not require sub-award applicants to provide matching funds even if the applicants offer matching funds in their applications. Intermediaries must not require sub-award applicants to have 501 (c) (3) status or to identify a sponsoring organization with 501 (c) (3) status; Organizations that partner with an intermediary to deliver technical assistance or provide a cost-sharing fund for the proposed project are not to be eligible for sub-awards, unless approved by the Administration for Children and families; Sub-awards should not be used to assist organizations in differing stages of development. Sub-awards may also be provided to promising organizations to expand the reach of existing programs; Sub-awards must not be used to provide direct services, but rather improve the sub-awardee's efficiency and capacity. Organizations that receive the Targeted Capacity Building funds (minigrants) are able to use the funds to improve their organization or program(s) in a sustainable way by increasing effectiveness and sustainability, enhancing their ability to provide social services, expanding their organization, diversifying funding sources, and emulating model programs and best practices. They must use the awards to increase efficiency and capacity; therefore, the awards cannot be used to augment or supplant direct service delivery funds. Organizations that receive a Communities Empowering Youth (CEY) program grant award work to build their own capacity, the capacity of their collaborating partners, and the capacity of the community collaboration as a whole in order to improve services to youth. CEY grantees assist their collaborating partners through technical assistance and training in four critical areas: 1) leadership development, 2) organizational development, 3) program development, and 4) community engagement. The Office of Community Services (OCS) will not fund any project, where the role of the applicant is primarily to serve as a conduit for funds to organizations other than the applicant. The applicant must have a substantive role in the implementation of the project for which funding is requested. This prohibition does not bar the

making of sub-grants or sub-contracting for specific services or activities needed to conduct the project. CCF monies shall not be used to support inherently religious practices such as religious instruction, worship, or proselytization. Grant or sub-award recipients, therefore, may not and will not be selected based on religious criteria. Neutral, non-religious criteria that neither favor nor disfavor religion must be employed in a selection of a grantee and sub-award recipients.

Applicant Eligibility:

(Intermediaries): State governments, county governments, city or township governments, public and State-controlled institutions of higher education, Indian/Native American Tribal governments (Federally recognized), non-profits with 501(c)(3) IRS status (other than institutions of higher education), non-profits without 501(c)(3) IRS status (other than institutions of higher education), private institution of higher education, for profit organizations (other than small businesses), small businesses, special district government, and faith-based and community organizations that meet the statutory eligibility requirements.

Application Eligibility (Minigrants): Indian/Native American Tribal governments (Federally recognized), Indian/Native American Tribal organizations (other than Federally recognized), non-profits with 501(c)(3) IRS status (other than institution of higher education), non-profits without 501(c)(3) IRS status (other than institutions of higher education), and faith-based and community organizations that meet the statutory eligibility requirements are eligible to apply under this announcement. Application Eligibility (CEY); public and State-controlled institutions of higher education, Indian/Native American Tribal governments (Federally recognized), Indian/Native American Tribal organizations (other than Federally recognized), non-profits with 501(c)(3) IRS status (other than institutions of higher education), non-profits without 501(c)(3) IRS status (other than institution of higher education), private institutions of higher education, for non-profit organizations (other than small businesses), small business, and faith-based and community organizations that meet the statutory eligibility requirements.

Beneficiary Eligibility:

Believed to be in the public's interest to broaden Federal efforts to work with faith-based and community organizations, the Compassion Capital Fund will assist in the effort to enhance and expand the participation of faith-based and community groups serving those in need. Intermediary organizations awarded under this program will serve as partners to both the Federal government and the faith-based and community organizations that they assist. The intermediaries will represent a diverse set of affiliations, and will assist community-level organizations that have a range of service goals, target populations, affiliations, and beliefs. The Targeted Capacity Building program will benefit faith-based and community organizations that provide services in one of the social service priority areas. The Communities Empowering Youth program will benefit collaborations of faith-based and/or community partners in order to enable them to better meet the needs of America's disadvantaged youth.

Credentials/Documentation:

Any nonprofit agency must provide proof of its nonprofit status through one of the following: a) A reference to the applicant organization's listing in the Internal Revenue Service's (IRS) most recent list of tax-exempt organizations described in the IRS code. b) A copy of a currently valid IRS tax exemption certificate c) A statement from a State taxing body, State Attorney General, or other appropriate State official certifying that the applicant organization has a nonprofit status and that none of the net earnings accrue to any private shareholders or individuals. d) A certified copy of the organization's certificate of incorporation or similar document that clearly establishes nonprofit status. e) Any of the items in the subparagraphs immediately above for a State or local parent organization and a statement signed by the parent organization that the applicant organization is its local nonprofit affiliate. Applicants for CCF programs must also provide the following forms: SF 424, SF 424A, SF 424B, certification regarding lobbying and associated disclosure of lobbying activities (SF LLL), and environmental tobacco smoke certification. Private nonprofit organizations are encouraged to submit with their applications the additional survey located under Grant Related Documents and Forms titled Survey for Private, Nonprofit Grant Applicants.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The application must be double-spaced and single-spaced on 8-1/2 x 11 plain white paper, with 1 inch margins on all sides. The application must use Times New Roman 12 point font or Arial 12 point font. All pages of the application (including appendices, resumes, charts, references/footnotes, tables, maps and exhibits) must be sequentially numbered. Each application may include only one proposed project. For the intermediary applications, the Table of Contents must not exceed one page. The Project Abstract must not exceed one page. The project Narrative must not exceed 25 pages. Pages submitted beyond the first 25 in the application's Project Narrative section will not be considered during the panel review. The Appendix must not exceed 20 pages. The Appendix includes

the sample assessment tool(s) to be used to assist organizations served in identifying organizational needs in the five critical capacity building areas, and any other additional supporting documentation. The Budget, Narrative Budget Justification, Standard Forms for Assurances, Certifications, Disclosures, and cost-share letters are not included in the Project Narrative and Appendix page limitations. Applicants should not send pamphlets, brochures, or other printed material along with their applications. These materials, if submitted, will not be considered in the review process. In addition, applicants should not submit any additional letters of endorsement beyond any that may be required. The project description should include all the information requirements described in the specific evaluation criteria outlined in the program announcement. In addition, the applicant needs to complete all the standard forms required for making applications for awards under this announcement. Applicants requesting financial assistance for non-construction projects must file the Standard Form 424B, Assurances: Non-Construction Programs. Applicants must sign and return the Standard Form 424B with their applications. Applicants must disclose lobbying activities on the Standard Form LLL when applying for an award in excess of \$100,000. Applicants must sign and return the certification with their applications. They must also fill out Standard Forms 424 and 424A and the associated certifications and assurances based on the instructions on the forms. Private, non-profit organizations are encouraged to submit with their applications the survey located under Grant Related Documents and Firms titled Survey for Private, Nonprofit Grant Applicants. For the minigrant applications, the Table of Contents must not exceed one page. The Project Narrative must not exceed nine pages. Pages submitted beyond the first nine pages in the Project Narrative section will be removed prior to panel review. The Appendix must not exceed five pages. Pages submitted beyond the first five in the Appendix section will not be considered during the panel review. The Appendix includes any additional supporting documentation. The Budget, Narrative Budget Justification, Standard Forms for Assurances, Certifications, and Disclosures are not included in the Project Narrative and Appendix page limitations. Applicants should not send pamphlets, brochures, or other printed material along with their applications. These materials, if submitted, will not be considered during the review process. The project description should include all the information requirements described in the specific evaluation criteria outlined in the program announcement. In addition, the applicant needs to complete all the standard forms required for making applications for awards under this announcement. Applicants requesting financial assistance for non-construction projects must file the Standard Form 424B, Assurances: Non-Construction Programs. Applicants must sign and return the Standard Form 424B with their applications. Applicants must disclose lobbying activities on the Standard Form LLL when applying for an award in excess of \$100,000. Applicants must sign and return the certification with their applications. They must also fill out Standard Forms 424 and 424A and the associated certifications and assurances based on the instructions on the forms. Private, nonprofit organizations are encouraged to submit with their applications the survey located under Grant Related Documents and Firms titled Survey for Private, Nonprofit Grant Applicants. For the CEY applications, the Project Abstract must not exceed one page. The Table of Contents must not exceed one page. The Project Narrative must not exceed 25 pages. Pages submitted beyond the first 25 in the application's Project Narrative section will be removed prior to panel review. The Appendix must not exceed 50 pages. The Appendix includes the sample assessment tool(s) the applicant will use to assist organizations in identifying organizational needs in the four critical areas of capacity building, support and commitment letters, and any other additional supporting documentation. The Budget, Narrative Budget Justification, Standard Forms for Assurances, Certifications, and Disclosures are not included in the Project Narrative and Appendix page limitations. Applicants should not send pamphlets, brochures, or other printed material along with their applications. These materials, if submitted, will be included in the review process. In addition, applicants should not submit any additional letters of endorsement beyond any that may be required. The project description should include all the information requirements described in the specific evaluation criteria outlined in the program announcement. In addition, the applicant needs to complete all the standard forms required for making applications for awards under this announcement. Applicants requesting financial assistance for non-construction projects must file the Standard Form 424B, Assurances: Non-Construction Programs. Applicants must sign and return the Standard Form 424B with their applications. Applicants must disclose lobbying activities on the Standard Form LLL when applying for an award in excess of \$100,000. Applicants must sign and return the certification with their applications. They must also fill out Standard Forms 424 and 424A and the associated certifications and assurances based on the instructions on the forms. Private, nonprofit organizations are encouraged to submit with their applications the survey located under Grant Related Documents and Firms titled Survey for Private, Nonprofit Grant Applicants.

Award Procedure:

Applications received by the due date will be reviewed and scored competitively. Experts in the field, generally persons from outside the Federal government, will use the evaluation criteria listed in Part V of the Program Announcement to

review and score the applications. The results of the review will be a primary factor in making funding decisions. ACF may also solicit comments from Regional Office staff and other Federal agencies. ACF may consider a variety of factors in addition to the review criteria identified above, including geographic diversity/coverage and types of applicant organizations, in order to ensure that the interests of the Federal Government are met in making the final selections. Furthermore, ACF may limit the number of awards made to the same or affiliated organizations although they would service different geographic areas. The successful applicants will be notified through issuance of a Financial Assistance Award document which sets forth the amount of funds granted, the terms and conditions of the grant, the budget period for which initial support will be given, the nonfederal share to be provided, and the total project period for which support is contemplated. The Financial Assistance Award will be signed by the Grants Officer.

Deadlines:

Deadlines for grants are announced in www.grants.gov, as part of the solicitation of applicants.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

None.

Renewals:

ACF may extend application deadlines when circumstances such as acts of God (floods, hurricanes, etc.) occur, or when there are widespread disruption of mail service. Determinations to extend or waive deadline requirements rest with the Chief Grants Management Officer.

Formula and Matching Requirements:

For the Intermediary Demonstration Program, grantees must provide at least 20 percent of the total approved cost of the project. The total approved cost of the project is the sum of the ACF share and the nonfederal share. The nonfederal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. Grantees will be held accountable for commitments of nonfederal resources even if over the amount of the required match. Failure to provide the amount will result in disallowance of Federal funds. Applications that fail to include the required amount of cost-sharing will be considered non-responsive and will not be eligible for funding. There are no cost sharing or matching requirements for the Targeted Capacity-Building or the Communities Empowering Youth programs.

Length and Time Phasing of Assistance:

The Demonstration and CEY programs award grants for project periods of up to three years. Awards, however, are made on a competitive basis, for a one-year budget period. Applications for continuation grants funded under these awards beyond the one-year budget period but within the three-year project period are entertained in subsequent years on a noncompetitive basis, subject to availability of funds, satisfactory progress of the grantee and a determination that continued funding is in the best interest of the government. Awards for the Targeted Capacity-Building Program are for a 12-month budget period.

Reports:

CCF recipients are required to submit semi-annual program progress reports; grantees are also required to submit semi-annual financial status reports using the required standard form (SF-269) which is located on the Internet at: <http://forms.psc.gov/forms/sf/SF-269.pdf>. A suggested format for the program progress report is sent to all grantees after the awards are made. Intermediaries must also submit plans and procedures for the issuance of sub-awards within 90 days of the receipt of an award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from the Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

CCF complies with 74.50 Purpose of reports and records, 74.51 Monitoring and reporting program performance and 74.52 Financial reporting of the Code of Federal Regulations.

Account Identification:

75-1536-0-1-506.

Obligations:

FY 07 \$64,300,000; FY 08 \$52,700,000; and FY 09 est \$75,000,000.

Range and Average of Financial Assistance:

Demonstration, \$500,000 per year; CEY, \$250,000 per year; Minigrant, \$50,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, OCS awarded 387 CCF grants. Congress did not allocate funding for new CCF grant awards for FY 2008; however, OCS will award approximately 168 continuation grants FY 2008. It is estimated that OCS will award approximately 371 grants in FY 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Program Manager, Office of Community Services (OCS), Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, 5th Floor West, Washington, DC 20447. Contact: Thom Campbell, Telephone: (202) 401-5483 (office); (202) 401-4694 (fax); thom.campbell@acf.hhs.gov.

Headquarters Office:

Program Manager, Office of Community Services (OCS), Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, 5th Floor West, Washington, DC 20447. Contact: Thom Campbell (202) 401-5483 (office); (202) 401-4694 (fax); thom.campbell@acf.hhs.gov.

Web Site Address:

<http://www.acf.hhs.gov/programs/ccf>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The CCF Demonstration program has funded intermediary organizations that serve as a bridge between the Federal government and the faith-based and community organizations that the program is designed to assist. Intermediary organizations provide two services to faith-based and community organizations: training and technical assistance and capacity-building sub-awards. The CCF Targeted Capacity Building program has funded faith-based and community organizations with one time, \$50,000 awards to increase their capacity to serve targeted social service priority areas. The CCF Communities Empowering Youth program provides grants to strengthen broad-based collaborative community coalitions that are working to reduce gang involvement, youth violence, and child abuse and neglect and to foster positive youth development.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting Intermediary Organizations: Intermediary organizations are evaluated on the following criteria: approach, objectives and need for assistance, organizational profiles, and budget and budget justification. The technical assistance strategy, sub-award strategy, and geographic location are all factors in evaluating the approach of the applicant. The needs of faith-based and community organizations to be served and the needs of communities served are factors for evaluating the objectives and need for assistance of the applicant. The staff and position data and past experience are factors used to evaluate the organizational profile of the applicant. Applicants will be evaluated under the budget and budget justification criteria on the extent to which they include a budget that is clear, easy to understand, and provides a detailed justification for the amount requested. Criteria used for selecting Targeted Capacity Building grant recipients: Minigrant applications are evaluated on the following criteria: approach, organizational profiles, results or benefits expected, objectives and need for assistance, and budget and budget justification. The factors considered under the approach criterion are the capacity-building strategy and the geographic location. Under the organizational profile criterion, the staff and position data and past experience working in priority social service area(s) are the two factors used for evaluation. Under the results and benefits expected criterion, applicants are evaluated on the extent to which the specific goals of the project and the results and benefits proposed by the applicant are reasonable and likely, quantified, and clearly linked to and supported by the proposed capacity-building approach. Under the objectives and need for assistance criterion, applications are evaluated on the extent to which the objectives of the proposed project are clearly stated and shown to address the needs of the organization. Under the budgets and budget justification criterion, applications are evaluated based on the extent to which they include a budget that is clear, easy to understand, and that provides a detailed justification for the amount requested. Criteria used for selecting Communities Empowering Youth grant recipients: CEY applicants are evaluated on the following criteria: project summary/abstract, objectives and need for assistance, approach, results or benefits expected, organizational profiles, and budget and budget justification. The factors considered under the objectives and need for assistance criterion are service area and needs of the service area, applicant's capacity building needs, and need of partnering faith-based and/or community organizations. The factors considered under the approach criterion are capacity building strategy, training/technical assistance strategy, and financial assistance strategy. Under the results or benefits expected criterion applications are evaluated on the extent to which the applicant identifies the results or benefits expected to be derived from project activities and describes how the proposed project will affect the sustainability of the lead organization, its collaborating faith-based and/or community partners, and the community collaboration as a whole. The factors considered under the organizational profiles criterion are past experience, strength of partnerships with collaboration members, and organizational capability and staffing. The factors considered under the budget and budget justification criterion are a project budget that is clear and easy to understand and the inclusion in the application of the last two years' operating budgets.

93.010 COMMUNITY-BASED ABSTINENCE EDUCATION (CBAE)

(CBAE)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Title XI, Section 1110 of the Social Security Act (using the definitions contained in Title V, Section 510(b)(2) of the Act).

OBJECTIVES:

To provide funding to public and private institutions for community-based abstinence education project grants. The project grant's objective is to increase the proportion of youth that remain abstinent until marriage and decrease the rate of births to unmarried teenage girls. To meet these objectives, grantees must incorporate the abstinence education definitions identified under (A) through (H) in Section 510(b)(2) of the Social Security Act.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

For the purpose of Section 510, the term "Abstinence Education" means an educational or motivational program which (a) has as its exclusive purpose, teaching the social, psychological, and health gains to be realized by abstaining from sexual activity; (b) teaches abstinence from sexual activity outside marriage as the expected standard for all school age children; (c) teaches that abstinence from sexual activity is the only certain way to avoid out-of-wedlock pregnancy, sexually transmitted diseases, and other associated health problems; (d) teaches that a mutually faithful monogamous relationship in context of marriage is the expected standard of human sexual activity; (e) teaches that sexual activity outside of the context of marriage is likely to have harmful psychological and physical effects; (f) teaches that bearing children out of wedlock is likely to have harmful consequences for that child, the child's parents, and society; (g) teaches young people how to reject sexual advances and how alcohol and drug use increases vulnerability to sexual advances; and (h) teaches the importance of attaining self-sufficiency before engaging in sexual activity.

Applicant Eligibility:

Public and private agencies responsible for the administration (or supervision of the administration) of projects under Section 1110 of the Social Security Act that teach abstinence, using the definitions contained in Section 510(b)(2) of the Act, to adolescents ages 12 through 18. Eligible applicants include: State governments; County governments; City or Township governments; independent school districts; private institutions of higher education; special district governments; public and State-controlled institutions of higher education; Native American Tribal governments (Federally recognized); public housing authorities; Indian housing authorities; Native American Tribal organizations (other than Federally recognized Tribal governments); non-profits having a 501(c)(3) status with the IRS, other than institutions of higher education; non-profits that do not have a 501(c)(3) status with the IRS, other than institutions of higher education; for-profit organizations other than small businesses; and, small businesses. Faith-based and community organizations that meet the statutory eligibility requirements are eligible to apply under this announcement. Sex education programs that promote the use of contraceptives are not eligible for funding under this program.

Beneficiary Eligibility:

Adolescents ages 12 through 18.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

Consultation or assistance is available from the Family and Youth Services Bureau (FYSB), Administration for Children and Families, Department of Health and Human Services. The standard application forms furnished by DHHS and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information of the process that the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application for Federal Assistance, Standard Form 424 must be submitted. Specific instructions are published via the Internet under the Form section at the following website address: <http://www.acf.hhs.gov/grants>.

Award Procedure:

All applications are reviewed by a panel of nonfederal experts that assigns scores according to the published criteria. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau.

Recommendations are made to the ACYF Commissioner, who makes the final funding decisions.

Deadlines:

As specified in the announcement or application instructions.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None.

Renewals:

Awards are generally made for a 5-year project period, funding for the second through fifth year is dependent upon satisfactory performance and availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are awarded competitively for project periods of up to 5 years.

Reports:

Financial and program reports are due semi-annually. A final report is due 90 days after conclusion of project period.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$91,996,000; FY 08 \$91,996,000; and FY 09 est \$117,054,000. (Contracts) FY 07 \$5,988,000; FY 08 \$4,789,000; and FY 09 est \$7,384,000.

Range and Average of Financial Assistance:

\$250,000 to \$600,000; \$425,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 171 grants were awarded and in FY 2008, 188 grants will be awarded. It is anticipated that 198 grants will be awarded in FY 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature. You may also get information on the Family and Youth Services Bureau website at the following address: <http://www.acf.hhs.gov/programs/fysb> under the "Policy and Legislative Documents" link.

Regional or Local Office:

Not applicable.

Headquarters Office:

Central Office Contacts and Program Contact: Scott T. Riggins, U.S. Department of Health and Human Services; Family and Youth Services Bureau; 1250 Maryland Ave., SW, Washington, DC 20024. Grants Management, Financial Management Specialist; U.S. Department of Health and Human Services, Administration for Children and Families, Office of Administration; Office of Grants Management, Division of Discretionary Grants, 370 L'Enfant Promenade, SW, Washington, DC 20447.

Web Site Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.235, Abstinence Education Program; 93.111, Adolescent Family Life Research Grants.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded projects may be requested through the Family and Youth Services Bureau.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the criteria published in the Funding Opportunity Announcement located on the following website address: http://www.acf.hhs.gov/grants/grants_fysb.html.

93.012 IMPROVING, ENHANCING, AND EVALUATING OUTCOMES OF COMPREHENSIVE HEART HEALTH CARE PROGRAMS FOR HIGH-RISK WOMEN

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 1703(a).

OBJECTIVES:

To provide funding to improve and enhance existing women's heart health care programs in hospitals, clinics, and/or health centers and to enable the programs to track and evaluate outcome data. Each grantee shall enhance an existing women's heart health care program so that it provides a continuum of heart health care services through the integration of the following five interrelated components: Education and Awareness, Screening and Risk Assessment, Diagnostic Testing and Treatment, Lifestyle Modification and Rehabilitation, and Tracking and Evaluation. Grantees shall also target high-risk women in at

least one of the following groups: women aged 60 years or older, racial and ethnic minority women, and/or women who live in rural communities. These programs will offer comprehensive heart health care services that are women-centered, culturally competent, multi-disciplinary, continuous and integrated. The goal of these programs will be to reduce heart disease mortality and morbidity among women and to increase the number of high-risk women who receive quality heart health care, including education, prevention, screening, diagnosis, treatment and rehabilitation. These programs will be demonstration projects; as such, they will provide the evidence necessary to evaluate whether comprehensive women's heart health care programs are effective in improving heart disease outcomes in high-risk women.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used to cover costs of: personnel; consultants; grant related office supplies and software; grant related travel (domestic only); educational, promotional and evaluation materials; and other grant related costs. Funds should be used to strengthen infrastructure, track and evaluate outcome data, conduct community outreach and educational activities, improve the coordination and continuity of care, and reduce fragmentation of heart health care services that already exist within the health care facility. For example, funds can be used to hire a program coordinator, set up a data tracking system, acquire or produce educational materials, etc. Funds shall not be used to fund direct health care services or equipment for patients (e.g. diagnostic tests, screening equipment, treatment, etc.). Also, funds may not be used for: building alterations or renovations, construction, screening supplies or equipment, incentives and prizes, food, fund raising activities, political education and lobbying, and other activities that are not grant related.

Applicant Eligibility:

Applicants must be a public or private hospital, clinic, or health center providing heart health care services to women. Academic health centers and state, county, and local health departments are eligible for funding under this announcement. Programs that will be implemented in medically underserved areas, enterprise communities, and empowerment zones as well as community health centers funded under Section 330 of the Public Health Service Act are encouraged to apply. Native American tribal organizations, faith-based organizations, and organizations serving rural or frontier communities are also encouraged to apply. In order to apply for the award, applicants must already have a basic women's heart health care program in place. Applicants must also have the framework for three of the five components described in the funding opportunity description (Education and Awareness, Screening and Risk Assessment, Diagnostic Testing and Treatment, Lifestyle Modification and Rehabilitation, Tracking and Evaluation) already in place.

Beneficiary Eligibility:

Underserved women will benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is subject to the requirements of Executive Order 12372 that allows States the option of setting up a system for reviewing applications from within their States for assistance under certain Federal programs. The application kit to be made available under this notice will contain a listing of States that have chosen to set up a review system and will include a State Single Point of Contact (SPOC) in the State for review. Applicants (other than federally recognized Indian tribes) should contact their SPOCs as early as possible to alert them to the prospective applications and receive any necessary instructions on the State process. For proposed projects serving more than one State, the applicant is advised to contact the SPOC in each affected State. A complete list of SPOCs may be found at the following web site: www.whitehouse.gov/omb/grants/spoc.html. The due date for State process recommendations is 60 days after the application deadline. The Office on Women's Health does not guarantee that it will accommodate or explain its responses to State process recommendations received after that date. (See "Intergovernmental Review of Federal Programs," Executive Order 12372, and 45 CFR Part 100 for a description of the review process and requirements.)

Application Procedure:

Application kits may be requested by calling (240) 453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be

extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS e-Grants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS e-Grants Web site, <https://egrants.osophs.dhhs.gov>.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without review or comment. If funding is requested in an amount greater than the ceiling of the award range (\$150,000 for a 12-month budget period), the application will be considered nonresponsive and will not be entered into the review process. The application will be returned with notification that it did not meet the submission requirements. Applicants that are judged to be in compliance will be notified by the OPHS Office of Grants Management. Accepted applications will be reviewed for technical merit in accordance with DHHS policies. Applications will be evaluated by a technical review panel composed of experts in the fields of program management, heart disease and health care, community outreach and health education, and community-based research. Consideration for award will be given to applicants that best demonstrate the potential to design a program that achieves the program goals stated in this announcement. The federal government may conduct pre-award site visits of applicants with scores in the funding range prior to final selection. Funding decisions will be made by the DHHS Office on Women's Health (OWH), and will take into consideration the recommendations and ratings of the review panel, pre-award site visits, program needs, geographic location, and stated preferences. To increase the likelihood of funding organizations serving rural or frontier communities and/or Native American tribal organizations, OWH will award 5 bonus points to applicants meeting these criteria.

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

Approximately 50 days from the application deadline date.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The award period is one year. Funds will be released on a monthly basis in twelve (12) equal increments.

Reports:

Grantees are required to submit quarterly progress reports, a final report, and a final Financial Status Report in the format established by the DHHS Office on Women's Health (OWH), in accordance with provisions of the general regulations which apply under "Monitoring and Reporting Program Performance," 45 CFR parts 74 and 92. The purpose of the quarterly and final reports is to provide accurate and timely program information to program managers and to respond to Congressional, Departmental, and public requests for information about the program. An original and two copies of the quarterly progress reports must be submitted by December 2, March 2, and June 2. A draft of the final report must be submitted by July 24. The report should describe all project activities for the entire year and include an analysis of the tracking and evaluation data. OWH will review the draft. Suggested revisions will be discussed individually during a conference call with each grantee. The mutually agreed upon revisions must be incorporated into the final report by the end date of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 30, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Grants) FY 07 not available; FY 08 not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

up to \$150,000 (direct and indirect costs).

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions, the Federal Register announcement, and the PHS Grants Policy Statement (DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994). 45 CFR parts 74, 92 and 100 apply.

Regional or Local Office:

Not applicable.

Headquarters Office:

Questions regarding programmatic information and/or requests for technical assistance in the preparation of the "Project Narrative" should be directed to: Dr. Suzanne Haynes, Senior Science Advisor, Office on Women's Health, US Department of Health and Human Services, 200 Independence Avenue, SW, Rm. 719E, Washington, DC 20201, E-mail: shaynes@osophs.dhhs.gov. For application kits and information on budget and business aspects, please contact: Ms. Karen Campbell, Director, OPHS Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20857, Telephone: (240) 453-8822, E-mail: kcampbell@osophs.dhhs.gov.

Web Site Address:

<http://www.4woman.gov/owh>.

RELATED PROGRAMS:

93.290, National Community Centers of Excellence in Women's Health.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed using the following criteria: (A) The Program Plan (30 points) evaluated by rating the applicant's approach to accomplishing each of the requirements identified in the funding opportunity description of the federal register notice; (B) The Statement of Need (20 points) evaluated by rating the applicant's demonstrated need for improving, enhancing, and evaluating outcomes of the women's heart health care program; (C) The Experience and Commitment of Key Personnel (20 points) evaluated by rating the qualifications of key personnel proposed to perform the work and the amount of effort estimated for each person; (D) The Management Plan (20 points) evaluated by rating the effectiveness of the applicant's staffing, scheduling, and logistics plans and the applicant's ability to achieve the program goals within the time frames set-forth. (E) Past Performance (10 points) evaluated by considering the number, size, complexity, and success of similar projects that the applicant has previously successfully implemented. Accepted applications will be reviewed for technical merit in accordance with DHHS policies. Applications will be evaluated by a technical review panel composed of experts in the fields of program management, heart disease and health care, community outreach and health education, and community-based research. Consideration for award will be given to applicants that best demonstrate the potential to design a program that achieves the program goals. The federal government may conduct pre-award site visits of applicants with scores in the funding range prior to final selection. Funding decisions will be made by the DHHS Office on Women's Health (OWH), and will take into consideration the recommendations and ratings of the review panel, pre-award site visits, program needs, geographic location, and stated preferences. To increase the likelihood of funding organizations serving rural or frontier communities and/or Native American tribal organizations, OWH will award 5 bonus points to applicants meeting these criteria.

93.013 AMBASSADORS FOR CHANGE PROGRAM

(Ambassadors for Change Program)

FEDERAL AGENCY:

DEPARTMENT OF HEALTH AND HUMAN SERVICES, OFFICE OF THE SECRETARY, OFFICE OF PUBLIC HEALTH AND SCIENCE, OFFICE ON WOMAN'S HEALTH

AUTHORIZATION:

42 U.S.C. 300u-2(a)(1), 300u-3, and 300u-6(e)

OBJECTIVES:

To provide recognition and funding to the graduating National Centers of Excellence in Women's Health and the National Community Centers of Excellence in Women's Health programs to enhance their women's health program through the integration of these components: (1) leadership development for women, (2) training for lay, allied health, and professional health care providers, (3) public education and outreach with special emphasis on outreach to minority women, (4) comprehensive health service delivery that includes gender and age-appropriate preventive services and allied health professionals as members of the comprehensive care team, and (5) basic science, clinical and community-based research. In addition, the community centers

must replicate their National Community Center of Excellence in Women's Health (CCOE) model in another community.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

A majority of the funds must be used to support staff (direct labor) and efforts aimed at coordinating and integrating the components of the program and travel to the two Annual Center Director's Meetings per year. The senior person responsible for the program shall continue to devote the maximum effort needed to maintain program excellence. Funds may also be used for program related travel. Funds may not be used for construction, building alterations, equipment, printing, food, medical treatment, or renovations. All budget requests must be justified fully in terms of the proposed goals and objectives and include an itemized computational explanation/breakout of how costs were determined. The CoE and CCOE Center Directors meet twice a year. A portion of these meetings will be devoted to the Ambassadors for Change program. The budget should include a request for funds to pay for the travel, lodging, and meals for the two Center Directors' meetings. The first meeting is usually held between mid-November and mid-December and the second Center Director's meeting is usually held in May. CCOE Center Directors are encouraged to bring the person with primary responsibility for the day-to-day management of the Ambassador for Change program to these meetings and should include their travel cost in the budget.

Applicant Eligibility:

Eligible applicants are OWH previously funded National Centers of Excellence in Women's Health (CoE) and previously funded National Community Centers of Excellence in Women's Health (CCOE) programs whose funding has ended and are without remaining option years.

Beneficiary Eligibility:

Underserved women will benefit.

Credentials/Documentation:

At a minimum, each application for a cooperative agreement grant funded under this announcement must: -Present a plan to continue integrating all components of the program. The CCOEs are not required to continue the replication component but preference will be given to programs that plan to continue to provide technical assistance to their replication site. Additionally, CCOEs that actively participate in the Research Coordinating Center (RCC) projects will be considered as fulfilling the requirements for the research component. A statement of willingness to participate in the RCC activities must be included in the application, if applicable. -Discuss a plan to continue the involvement of the CoE or CCOE advisory board and their role as it relates to the Ambassadors for Change program. -Be a sustainable organization capable of providing coordinated and integrated women's health services in the targeted community. The applicant will need to define the components of comprehensive, multi-disciplinary care, demonstrate that they are culturally, linguistically, and gender and age appropriate, and show that they have a clear and sustainable framework for providing those services. -Present a plan to provide support, advice, and guidance to CoEs, CCOEs, and the Demonstration CoEs, through a variety of training opportunities, such as the ELAM program, promotoras trainings, discussions at Center Directors, meetings, etc. These activities may be supported by outside funding or sponsors in keeping with the government partnership ethics guidance. Describe in detail plans for the local process, impact, and outcome evaluation of the program and how information obtained from the evaluation will be used to enhance the program. The applicant must also indicate their willingness to participate in a national evaluation of the program to be conducted under the leadership of the OWH contractor.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The application kit to be made available under this notice will contain a listing of States that have chosen to set up a review system and will include a State Single Point of Contact (SPOC) in the State for review. Applicants (other than federally recognized Indian tribes) should contact their SPOCs as early as possible to alert them to the prospective applications and receive any necessary instructions on the State process. For proposed projects serving more than one State, the applicant is advised to contact the SPOC of each affected State. The due date for State process recommendations is 60 days after the application deadline. The Office on Women's Health does not guarantee that it will accommodate or explain its responses to State process recommendations received after that date.

Application Procedure:

Application kits may be requested by calling (240) 453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format

at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm> . The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS eGrants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS eGrants Web site, <https://egrants.osophs.dhhs.gov> .

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline or are applicants that have not been a CCOE or a CoE program will be returned without review or comment. The application will be returned with notification that it did not meet the submission requirements. Applicants that are judged to be in compliance will be notified by the OPHS Office of Grants Management. Accepted applications will be reviewed for technical merit in accordance with DHHS policies. Applications will be evaluated by a technical review panel composed of experts in the fields of program management, heart disease and health care, community outreach and health education, and community-based research. Consideration for award will be given to applicants that best demonstrate the potential to design a program that achieves the program goals stated in this announcement. The federal government may conduct pre-award site visits of applicants with scores in the funding range prior to final selection. Funding decisions will be made by the DHHS Office on Women's Health (OWH), and will take into consideration the recommendations and ratings of the review panel, pre-award site visits, program needs, geographic location, and stated preferences.

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

Approximately 90 days from the application deadline date.

Appeals:

None.

Renewals:

Support may be requested for a total project period not to exceed 3 years.

Formula and Matching Requirements:

None - Cost sharing, matching funds, and cost participation is not a requirement of this grant.

Length and Time Phasing of Assistance:

Support may be requested for a total project period not to exceed 3 years. Non-competing continuation awards of up to \$25,000 (CoEs)/\$50,000 (CCOE) will be made subject to satisfactory performance and availability of funds.

Reports:

Successful applicants will submit an annual technical report that includes a detailed discussion of the process, impact, outcome evaluation of the Ambassador program and an annual Financial Status Report (SF-269) in accordance with provisions of the general regulations which apply under "Monitoring and Reporting Program Performance," 45 CFR parts 74 and 92. An original and two copies of the annual report must be submitted by August 15. The annual report will serve as the non-competing continuation application and must cover all activities for the entire budget year. Therefore, this report must also include the budget request for the next grant year, with appropriate justification, and signatures, and must include a completed OPHS-1 Form.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 30, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$25,000 to \$50,000; (including direct and indirect costs). This is a new program.

PROGRAM ACCOMPLISHMENTS:

Fund six new AFC grantees.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions, the Federal Register announcement, and the PHS Grants Policy Statement (DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994); 45 CFR 100 applies.

Regional or Local Office:

None.

Headquarters Office:

Office on Women's Health Department of Health and Human Services 200 Independence Avenue, SW Room 712E Washington, DC 20201.

Web Site Address:

<http://www.4woman.gov/owh> .

RELATED PROGRAMS:

93.290, National Community Centers of Excellence in Women's Health.

EXAMPLES OF FUNDED PROJECTS:

None - New Program.

CRITERIA FOR SELECTING PROPOSALS:

Eligible applicants are OWH previously funded National Centers of Excellence in Women's Health (CoE) and previously funded National Community Centers of Excellence in Women's Health (CCOE) programs whose funding has ended and are without remaining option years. At a minimum, each application for a cooperative agreement grant funded under this announcement must: 1.) Present a plan to continue integrating all components of the program. The CCOEs are not required to continue the replication component but preference will be given to programs that plan to continue to provide technical assistance to their replication site. Additionally, CCOEs that actively participate in the Research Coordinating Center (RCC) projects will be considered as fulfilling the requirements for the research component. A statement of willingness to participate in the RCC activities must be included in the application, if applicable. 2.) Discuss a plan to continue the involvement of the CoE or CCOE advisory board and their role as it relates to the Ambassadors for Change program. 3.) Be a sustainable organization capable of providing coordinated and integrated women's health services in the targeted community. The applicant will need to define the components of comprehensive, multi-disciplinary care, demonstrate that they are culturally, linguistically, and gender and age appropriate, and show that they have a clear and sustainable framework for providing those services. 4.) Present a plan to provide support, advice, and guidance to CoEs, CCOEs, and the Demonstration CoEs, through a variety of training opportunities, such as the ELAM program, promotoras trainings, discussions at Center Directors' meetings, etc. These activities may be supported by outside funding or sponsors in keeping with the government partnership ethics guidance. 5.) Describe in detail plans for the local process, impact, and outcome evaluation of the program and how information obtained from the evaluation will be used to enhance the program. The applicant must also indicate their willingness to participate in a national evaluation of the program to be conducted under the leadership of the OWH contractor.

93.014 STEPS TO HEALTHIER GIRLS PROGRAM

(Steps to Healthier Girls Program)

FEDERAL AGENCY:

DEPARTMENT OF HEALTH AND HUMAN SERVICES, OFFICE OF THE SECRETARY, OFFICE OF PUBLIC HEALTH AND SCIENCE, OFFICE ON WOMEN'S HEALTH

AUTHORIZATION:

42 U.S.C. 300u-2(a)(1), 300u-6(e).

OBJECTIVES:

The objectives of the Steps to Healthier Girls Program are to: 1) Increase the number of girls who engage regularly in moderate physical activity, preferably daily, for at least 60 minutes per day; 2) increase girls' knowledge of healthy nutrition and healthy weight and promote strategies for accomplishing these; 3) increase girls' knowledge about the ill health effects of tobacco and promote strategies for accomplishing these; and 4) increase girls' knowledge of the importance of a healthy environment and strategies to accomplish this.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used to cover costs of: personnel; consultants; grant related office supplies and software; grant related travel (domestic only); educational, promotional and evaluation materials; and other grant related costs. Funds should be used to strengthen infrastructure, track and evaluate outcome data, conduct community outreach and educational activities, improve the coordination and continuity of care, and reduce fragmentation of heart health care services that already exist within the health care facility. For example, funds can be used to hire a program coordinator, set up a data tracking system, acquire

or produce educational materials, etc. Funds shall not be used to fund direct health care services or equipment for patients (e.g. diagnostic tests, screening equipment, treatment, etc.). Also, funds may not be used for: building alterations or renovations, construction, screening supplies or equipment, incentives and prizes, food, fund raising activities, political education and lobbying, and other activities that are not grant related.

Applicant Eligibility:

The Girl Scouts of the USA is the eligible applicant for the Steps to Healthier Girls program.

Beneficiary Eligibility:

The Girl Scouts of the USA and selected Girl Scout Councils.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The application kit to be made available under this notice will contain a listing of States that have chosen to set up a review system and will include a State Single Point of Contact (SPOC) in the State for review. Applicants (other than federally recognized Indian tribes) should contact their SPOCs as early as possible to alert them to the prospective applications and receive any necessary instructions on the State process. For proposed projects serving more than one State, the applicant is advised to contact the SPOC of each affected State. The due date for State process recommendations is 60 days after the application deadline. The Office on Women's Health does not guarantee that it will accommodate or explain its responses to State process recommendations received after that date.

Application Procedure:

Applications must be prepared using Form OPHS-1 (Revised August 2004). This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. Questions regarding programmatic information and/or requests for technical assistance in the preparation of grant applications should be directed in writing to CAPT Rosa Myers, Regional Women's Health Coordinator, DHHS Region III, at 150 S. Independence Mall West, Suite 436, Philadelphia, PA 19106, e-mail: rmyers@osophs.dhhs.gov or to Sandra Estepa, Regional Women's Health Coordinator, HHS Region II at 26 Federal Plaza, Room 3835, New York, NY 10278, e-mail: sestepa@osophs.dhhs.gov. Requests for application kits and completed applications should be submitted to Karen Campbell, Director, Office of Grants Management, Office of Public Health and Science, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Technical assistance on budget and business aspects of the application may also be obtained from the Office of Grants Management, at (240) 453-8822.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be determined by the Region III, Regional Women's Health Coordinator, Office on Women's Health and will take into consideration the recommendations and ratings of the review panel; program needs, stated preferences; geographic location; and recommendations of the other DHHS Regional Women's Health Coordinators.

Deadlines:

Please contact program office for application deadline.

Range of Approval/Disapproval Time:

Approximately 60 days from the application deadline date.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Cost sharing, matching funds, and cost participation is not a requirement of this grant.

Length and Time Phasing of Assistance:

The award period is one year. Funds will be released on a monthly basis in twelve (12) equal increments.

Reports:

Grantees are required to submit quarterly progress reports, a final report, and a final Financial Status Report in the format established by the DHHS Office on Women's Health (OWH), in accordance with provisions of the general regulations which apply under "Monitoring and Reporting Program Performance," 45 CFR parts 74 and 92. The purpose of the quarterly and final reports is to provide accurate and timely program information to program managers and to respond to Congressional, Departmental, and public requests for information about the program. An original and two copies of the quarterly progress reports must be submitted by December 2, March 2, and June 2. A draft of the final report must be submitted by July 24. The report should describe all

project activities for the entire year and include an analysis of the tracking and evaluation data. OWH will review the draft. Suggested revisions will be discussed individually during a conference call with each grantee. The mutually agreed upon revisions must be incorporated into the final report by the end date of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$60,000 (direct and indirect costs). This is a new program.

PROGRAM ACCOMPLISHMENTS:

Increase the number of girls who engage regularly in moderate physical activity, preferably daily, for at least 60 minutes per day. Increase girls' knowledge of healthy nutrition and healthy weight and promote strategies for accomplishing these. Increase girls' knowledge about the ill health effects of tobacco and promote strategies for accomplishing these. Increase girls' knowledge of the importance of a healthy environment and strategies to accomplish this.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions, the Federal Register announcement, and the PHS Grants Policy Statement (DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994); 45 CFR 100 applies.

Regional or Local Office:

CAPT Rosa Myers, Regional Women's Health Coordinator, DHHS Region III, at 150 S. Independence Mall West, Suite 436, Philadelphia, PA 19106, e-mail: rmyers@osophs.dhhs.gov. Sandra Estepa, Regional Women's Health Coordinator, HHS Region II at 26 Federal Plaza, Room 3835, New York, NY 10278, e-mail: sestepa@osophs.dhhs.gov.

Headquarters Office:

Office on Women's Health Department of Health and Human Services 200 Independence Avenue, SW Room 712E Washington, DC 20201.

Web Site Address:

<http://www.4woman.gov/owh>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

New Program.

CRITERIA FOR SELECTING PROPOSALS:

Basis for Sole Source Restriction on the Planned Cooperative Agreement. The Office of Grants Management, OPHS, has determined in accordance with AGAM 2.04 104A 1.e.(2) that this project is an outgrowth of a current ongoing collaboration between the OWH and GSUSA that is part of the Department's Steps to Healthier US. In addition, responsible government officials have determined that the GSUSA: (a) Is a well-established, trusted, national organization that serves diverse 11 to 17 year old girls; (b) has demonstrated evidence of commitment to girls' health; (c) has shown interest in the health promotion topics identified as priority subject areas under its MOU with DHHS which was the basis for an ongoing collaborative relationship and this particular project with OWH; (d) is able/willing to assist the local councils and the RWHCs in the design of the program; (e) is able/willing to implement the program designed by the RWHCs and the councils; (f) is able to support the evaluation process; and (g) has the organizational potential in terms of an ongoing structure and resources to sustain and expand health promotion program activities for diverse girls 11 to 17 years of age. Whether or not an award is application addressing the program components described in section I. Funding Opportunity Description and the amount of funds available for the Steps to Healthier Girls program (see Section IV.2 "Application for Submission Information"). The government will not be obligated to make any awards as a result of this announcement.

93.015 HIV PREVENTION PROGRAMS FOR WOMEN

(HIV Prevention Programs for Women)

FEDERAL AGENCY:

DEPARTMENT OF HEALTH AND HUMAN SERVICES, OFFICE OF THE

SECRETARY, OFFICE ON WOMENS HEALTH

AUTHORIZATION:

This program is authorized by 42 U.S.C. 300u-2(a).

OBJECTIVES:

HIV Prevention for Women Living in the Rural South: (1) Increase knowledge of accurate HIV prevention information among women living in rural communities in the south; (2) improve and increase access to quality HIV prevention services to women living with or at high risk for HIV infection in rural communities in the south; (3) improve receptivity to and awareness of HIV prevention education, necessary to reduce the stigma among women in rural southern communities; and (4) increase the number of women living in the rural south, who voluntarily receiving HIV testing. **HIV Prevention for Young Women Attending Minority Institutions:** (1) Increase on campus activities targeting women at risk for HIV infection; (2) improve HIV prevention education efforts involving women on campus; (3) increase knowledge base of accurate HIV/STD prevention information among women attending minority institutions; and (4) improve access to HIV health related services for women attending minority institutions. **HIV Prevention for Women Living in the Rural and Frontier Indian Country:** (1) Increase knowledge of accurate HIV prevention information among women living in Indian Country; (2) improve and increase access to quality HIV prevention services to women living with or at high risk for HIV infection in rural and frontier Indian Country; (3) improve receptivity to and awareness of HIV prevention education necessary to reduce the stigma among women in rural and frontier Indian Country; and (4) increase the number of women living in rural and frontier Indian Country, who voluntarily receiving HIV testing. **HIV Prevention for Women Incarcerated and Newly Released:** (1) Increase the number of incarcerated women receiving pre-release discharge planning, particularly those who are living with HIV or at high risk for HIV infection; (2) increase the number of HIV infected incarcerated women who are connected to drug assistance programs, medical care, and case management services prior to release or at time of release; (3) increase the number of community linkages and networks for ensuring continuum of care for incarcerated and newly released women living with or at high risk for HIV in locations with high rates of HIV infections and incarcerated populations; and (4) increase the number of newly released women receiving support services and HIV care six months post release. **HIV Prevention for Women Living in the U.S. Virgin Islands & Puerto Rico:** (1) Increase access to quality care and treatment for women living with HIV infection; (2) improve and increase access to secondary HIV prevention services to women living with HIV infection and primary prevention for those women at risk for infection; (3) increase understanding of living with HIV, disease management, and treatment adherence; and (4) increase community support activities for women living with HIV and their families.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used to cover costs of: personnel; consultants; grant related office supplies and software; grant related travel (domestic only); educational, promotional and evaluation materials; and other grant related costs. Funds shall not be used to fund direct health care services or equipment for patients (e.g. diagnostic tests, screening equipment, treatment, etc.). Also, funds may not be used for: building alterations or renovations, construction, screening supplies or equipment, incentives and prizes, food, fund raising activities, political education and lobbying, and other activities that are not grant related.

Applicant Eligibility:

The applicant must be a Public College or University; a Historically Black College or University; a Hispanic Serving Institution; a Tribal College or University; a Private Non-Profit Community-Based Organization; a Native American Tribal Organization; and/or a Faith-Based Community Organization serving underserved women. Small businesses or organizations not in an official partnership with a qualified institution are not eligible for funding under this announcement.

Beneficiary Eligibility:

Underserved women living in the Rural South; Young Women Attending Minority Institutions; Native/American Indian and Alaskan Native Women Living in Rural and Frontier Indian Country; Women Incarcerated and Newly Released Living with or at Risk for HIV/AIDS/STDs; and Women Living in Puerto Rico and the U.S. Virgin Islands, will benefit from this program.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The application kit to be made available under this notice will contain a listing of States that have chosen to set up a review system and will include a State Single Point of Contact (SPOC) in the State for review. Applicants (other than federally recognized Indian tribes) should contact their SPOCs as early as possible to alert them to the prospective applications and receive any necessary instructions on the State process. For

proposed projects serving more than one State, the applicant is advised to contact the SPOC of each affected State. The due date for State process recommendations is 60 days after the application deadline. The Office on Women's Health does not guarantee that it will accommodate or explain its responses to State process recommendations received after that date.

Application Procedure:

Application kits may be requested by calling (240) 453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS e-Grants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS e-Grants Web site, <https://egrants.osophs.dhhs.gov>.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be determined by the Director, Division for Policy and Program Development, Office on Women's Health and will take into consideration the recommendations and ratings of the review panel; program needs, stated preferences; geographic location; and recommendations of DHHS Regional Women's Health Coordinators.

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

Approximately 90 days from the application deadline date.

Appeals:

None.

Renewals:

Support may be requested for a total project period not to exceed 2 years.

Formula and Matching Requirements:

Cost sharing and matching funds is not a requirement of this grant.

Length and Time Phasing of Assistance:

Support may be requested for a total project period not to exceed 2 years. Non-competing continuation awards of up to the amount specified in the announcement published in the Federal Register will be made subject to satisfactory performance and availability of funds.

Reports:

Grantees will submit quarterly an annual technical report that include a detailed discussion of the process, impact, outcome evaluation of the HIV Prevention Program and an annual Financial Status Report (SF-269) in accordance with provisions of the general regulations which apply under Monitoring and Reporting Program Performance, 45 CFR parts 74 and 92. An original and two copies of the annual report must be submitted by July 1. The annual report will serve as the non-competing continuation application and must cover all activities for the entire budget year. Therefore, this report must also include the budget request for the next grant year, with appropriate justification, and signatures, and must include a completed OPHS-1 Form.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 30, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other

records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

This is a new program therefore the number of awards and the amounts are specified in the announcement published in the Federal Register, pending availability of funds.

PROGRAM ACCOMPLISHMENTS:

(1) Develop and implement the model described in the application; (2) provide complete curricula, i.e., topics, content, participant workbook, participant evaluation forms, pre/post instruments, and goals/objectives; (3) describe training, teaching methods and strategies, e.g., interactive exercises, facilitated discussion, lectures, video/films, community peers, etc., proposed to deliver modules. Describe the intervention format: one time session, series of sessions occurring beyond one day, one day session, etc.; (4) conduct outreach to local entities and community representatives. Identify locations for prevention education sites and identify community liaisons for assistance in identifying prospective women participants; (5) establish community partnerships through Memoranda of Understanding; (6) participate in the OWH Evaluation of HIV/AIDS program, submitting requested data as needed as well as participating in a site visit conducted by Evaluation Contractor; (7) participate in special meetings and projects/funding opportunities identified by the OWH; (8) adhere to all program requirements specified in this announcement and the Notice of Grant Award; (9) submit required quarterly progress, annual, and financial reports by the due dates stated in this announcement and the Notice of Grant Award; and (10) comply with the DHHS Protection of Human Subjects regulations (45 CFR Part 46), which require obtaining Institutional Review Board (IRB) approval, if applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions, the Federal Register announcement, and the PHS Grants Policy Statement (DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994); 45 CFR 100 applies.

Regional or Local Office:

None.

Headquarters Office:

Office on Women's Health Department of Health and Human Services 200 Independence Avenue, SW Room 712E Washington, DC 20201.

Web Site Address:

<http://www.4woman.gov/owh>.

RELATED PROGRAMS:

None - New Program.

EXAMPLES OF FUNDED PROJECTS:

None - New Program.

CRITERIA FOR SELECTING PROPOSALS:

The applicant must be a Public College or University; a Historically Black College or University; a Hispanic Serving Institution; a Tribal College or University; a Private Non-Profit Community-Based Organization; a Native American Tribal Organization; and/or a Faith-Based Community Organization serving underserved women. Small businesses or organizations not in an official partnership with a qualified institution are not eligible for funding under this announcement. For specific details see the full announcement published in the Federal Register.

93.017 STRENGTHENING THE MANAGEMENT AND SERVICES OF THE WOMEN'S AND CHILDREN'S HOSPITALS IN KABUL

(Strengthening the Management and Services of the Women's and Children's Hospitals in Kabul)

FEDERAL AGENCY:

DEPARTMENT OF HEALTH AND HUMAN SERVICES, OFFICE OF THE SECRETARY, OFFICE OF GLOBAL HEALTH AFFAIRS

AUTHORIZATION:

This program is authorized under the Authority of Section 103 (a) (1); Section 103 (a) (7) of Public Law 107-327; Public Health Service Act, Section 307 and Division F of the Consolidated Appropriations Act, 2005, Public Law 108-447 (2004).

OBJECTIVES:

The primary goal of this project is to improve the quality of care at health institutions in Afghanistan Hospital by developing effective leadership and management and improving operations. The funding will provide essential material resources within budgetary limitations for patient care, operations, facility management and for grant activities related to leadership and management development. To create equitable and high quality care through the acceptance and delivery of donated medical equipment, pharmaceuticals, and technology-related training for physicians, nurses, midwives, and other health

care workers at hospitals in Kabul, Afghanistan.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used to cover costs of: personnel; consultants; grant related office supplies and software; grant related travel (domestic only); educational, promotional and evaluation materials; and other grant related costs. Funds shall not be used to fund direct health care services or equipment for patients (e.g. diagnostic tests, screening equipment, treatment, etc.). Also, funds may not be used for: building alterations or renovations, construction, screening supplies or equipment, incentives and prizes, food, fund raising activities, political education and lobbying, and other activities that are not grant related.

Applicant Eligibility:

Applications may be submitted by non-profit entities with offices in the United States and partner country or incorporated and headquartered in the United States with offices in the United States. Additionally, organizations or consortiums of organizations, including faith-based and community based organizations, that have collective experience with accepting donated medical technology, upgrading drug formularies, training health care providers, local and international transportation, and other logistics are encouraged to apply for a grant under this announcement.

Beneficiary Eligibility:

Underserved women and children receiving services from Kabul Hospitals.

Credentials/Documentation:

None.

Preapplication Coordination:

Notification of Intent to Apply: A Letter of Intent (LOI), is not required.

However, if a LOI is submitted, the letter should identify the applicant organization and its intent to apply, and briefly describe the proposal to be submitted. We will not acknowledge receipt of Letters of Intent. This letter should be sent by the date listed in the Federal Register, by mail or fax to: U.S. Department of Health and Human Services, Office of the Secretary, Office of Global Health Affairs, 5600 Fishers Lane, Suite 18-101, Rockville, MD 20857, Facsimile Number: (301) 443-2820 Executive Order 12372 This program is not subject to the review requirements of Executive Order 12372, Intergovernmental Review of Federal Programs.

Application Procedure:

Application kits may be requested by calling (240) 453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS eGrants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS eGrants Web site, <https://egrants.osophs.dhhs.gov>.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts and of peer reviewers. Funding decisions will be determined by the Deputy Director, Asia and Pacific Division of the Office Global Health Affairs and will take into consideration the recommendations and ratings of the review panel; program needs, stated preferences; program relevance and, availability of funds.

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

Approximately 30 days from the application deadline date.

Appeals:

None.

Renewals:

Project period is for up to 3 years with annual renewals and budget periods and are contingent upon available funding.

Formula and Matching Requirements:

Cost sharing and matching funds is not a requirement of this grant.

Length and Time Phasing of Assistance:

Project period is for up to 3 years with annual renewals and budget periods and are contingent upon available funding.

Reports:

Grantees will submit quarterly an annual technical reports that include a detailed discussion of the process, impact, outcome and evaluation of the Program; and submit an annual Financial Status Report (SF-269) in accordance with provisions of the general regulations which apply under "Monitoring and Reporting Program Performance," 45 CFR parts 74 and 92. An original and two copies of the annual report and Financial Status Report must be submitted within 90 days of the budget period end date.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 30, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$200,000 to \$1,500,000; (including direct and indirect costs). This is a new program.

PROGRAM ACCOMPLISHMENTS:

Measurable outcomes of the program will be in alignment with one (or more) of the following performance goals: Increase access to care and improve quality of care; Improve disease prevention and health education; Improve workforce development and retention; and Improve public health infrastructure.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions, the Federal Register announcement, and the PHS Grants Policy Statement (DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994); 45 CFR 100 applies.

Regional or Local Office:

None.

Headquarters Office:

Office of Global Health Affairs U.S. Department of Health and Human Services
200 Independence Ave., S.W., Room 639 H Washington, D.C. 20201 Phone:
(202) 690-6174, Fax: (202) 690-7127.

Web Site Address:

www.globalhealth.gov/oirh.shtml.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be screened by OGHA staff for completeness and for responsiveness to the program guidance. Applicants should pay strict attention addressing these criteria, as they are the basis upon which applications will be judged. Those applications judged to be non-responsive or incomplete will be returned to the applicant without review. Applications that are complete and responsive to the guidance will be evaluated for scientific and technical merit by an appropriate peer review group specifically convened for this solicitation and in accordance with HHS policies and procedures. As part of the initial merit review, all applications will receive a written critique. All applications recommended for approval will be discussed fully by the ad hoc peer review group and assigned a priority score for funding. Eligible applications will be assessed according to the listed criteria in the Federal Register announcement.

93.018 STRENGTHENING PUBLIC HEALTH SERVICES AT THE OUTREACH OFFICES OF THE U.S.-MEXICO BORDER HEALTH COMMISSION

(Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission)

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, OFFICE OF GLOBAL HEALTH AFFAIRS,

DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

This program is authorized under the Authority of Section 103 (a) (1); Section 103 (a) (7) of public law 107-327; Public Health Service Act, Section 307.

OBJECTIVES:

This initiative addresses and targets outreach and health promotion activities; evaluation and assessments; health data analysis and surveillance; public information, promotion and communication; Healthy Border/Healthy Gente activities; and will work with communities to implement programs to improve the health of border residents; and will publicize the achievements and challenges of border health; and will provide programmatic and administrative support to the members and staff of the United States - Mexico Border Health Commission. This assistance is geared to support current, on-going and proposed public health initiatives in this border region that support the goals and objectives of the United States - Mexico Border Health Commission as it serves to strengthen access to health care, disease prevention, and public health along the state border.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used to cover costs of: personnel; consultants; grant related office supplies and software; grant related travel (domestic only); educational, promotional and evaluation materials; and other grant related costs. Funds shall not be used to fund direct health care services or equipment for patients (e.g. diagnostic tests, screening equipment, treatment, etc.). Also, funds may not be used for: building alterations or renovations, construction, screening supplies or equipment, incentives and prizes, food, fund raising activities, political education and lobbying, and other activities that are not grant related.

Applicant Eligibility:

This is a single eligibility cooperative agreement offered to the TX, NM, AZ, and CA State Department of Health. The State Department of Health will work with the Border State Outreach Office to accomplish the goals and objectives of the U.S.-Mexico Border Health Commission.

Beneficiary Eligibility:

Underserved individuals residing in border area communities, and increase assistance to United States- Mexico Border Health Commission.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The application kit to be made available under this notice will contain a listing of States that have chosen to set up a review system and will include a State Single Point of Contact (SPOC) in the State for review. Applicants (other than federally recognized Indian tribes) should contact their SPOCs as early as possible to alert them to the prospective applications and receive any necessary instructions on the State process. For proposed projects serving more than one State, the applicant is advised to contact the SPOC of each affected State. The due date for State process recommendations is 60 days after the application deadline. The Office on Women's Health does not guarantee that it will accommodate or explain its responses to State process recommendations received after that date.

Application Procedure:

Application kits may be requested by calling (240) 453-8822 or writing to: Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS eGrants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS eGrants Web site, <https://egrants.osophs.dhhs.gov>.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be determined by the Director, Office of Global Health Affairs and will take into consideration the recommendations and ratings of the review panel; program needs, and stated preferences.

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

Approximately 60 days from the application deadline date.

Appeals:

None.

Renewals:

Project period is for 1 year.

Formula and Matching Requirements:

Cost-sharing and matching funds is not a requirement of this grant.

Length and Time Phasing of Assistance:

Project period is for 1 year.

Reports:

Grantees will submit quarterly an annual technical reports that include a detailed discussion of the process, impact, outcome and evaluation of the Program; and submit an annual Financial Status Report (SF-269) in accordance with provisions of the general regulations which apply under Monitoring and Reporting Program Performance, 45 CFR Parts 74 and 92. An original and two copies of the annual report and Financial Status Report must be submitted within 90 days of the budget period end date.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 30, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$250,000 to \$500,000; (including direct and indirect costs).

PROGRAM ACCOMPLISHMENTS:

Measurable outcomes of the program will be in alignment with one (or more) of the following performance goals: Increase access to care and improve quality of care; Improve disease prevention and health education; Improve workforce development and retention; Improve public health infrastructure; and Improve outreach and health promotion to the community.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions, the Federal Register announcement, and the PHS Grants Policy Statement (DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994); 45 CFR 100 applies.

Regional or Local Office:

None.

Headquarters Office:

Office of Global Health Affairs, Department of Health and Human Services 200 Independence Ave., S.W., Room 639 H, Washington, DC 20201. Telephone: (202) 690-6174, Fax: (202) 690-7127.

Web Site Address:

www.globalhealth.gov/oirh.shtml.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be screened by OGHA staff for completeness and for responsiveness to the program guidance. Applicants should pay strict attention addressing these criteria, as they are the basis upon which applications will be judged. Those applications judged to be non-responsive or incomplete will be returned to the applicant without review. Applications that are complete and responsive to the guidance will be evaluated for scientific and technical merit by an appropriate peer review group specifically convened for this solicitation and in accordance with HHS policies and procedures. As part of the initial merit

review, all applications will receive a written critique. All applications recommended for approval will be discussed fully by the ad hoc peer review group and assigned a priority score for funding. Eligible applications will be assessed according the following criteria: Technical Approach, 45 points; Experience and Capabilities of the Organization, 45 points; and Facilities and Resources, 10 points.

93.019 TECHNICAL ASSISTANCE AND PROVISION FOR FOREIGN HOSPITALS AND HEALTH ORGANIZATIONS

(Technical Assistance and Provision for Foreign Hospitals and Health Organizations)

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, OFFICE OF GLOBAL HEALTH AFFAIRS, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

This program is authorized under the Authority of Section 103 (a) (1) and Section 103 (a) (7) of Public Law 107-327; Public Health Service Act, Section 307; Division F of the Consolidate Appropriations Act, 2005, Public Law 108-447 (2004).

OBJECTIVES:

To provide support for a quality of care improvement project based in a partner healthcare institution in Afghanistan, to provide continuing education and refresher training to physicians and other staff at Women's and Children's hospitals and other Health Organizations in Afghanistan, and to provide support to strengthen the management of Women's and Children's hospitals by the Afghan Ministries of Public Health (MOPH) in Afghanistan.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used to cover costs of: personnel; consultants; grant related office supplies and software; grant related travel (domestic only); educational, promotional and evaluation materials; and other grant related costs. Funds shall not be used to fund direct health care services or equipment for patients (e.g., diagnostic tests, screening equipment, treatment, etc.). Also, funds may not be used for: building alterations or renovations, construction, screening supplies or equipment, incentives and prizes, food, fund raising activities, political education and lobbying, and other activities that are not grant related.

Applicant Eligibility:

This is a single eligibility cooperative agreement offered to the TX, NM, AZ, and CA State Department of Health. The State Department of Health will work with the Border State Outreach Office to accomplish the goals and objectives of the U.S.-Mexico Border Health Commission.

Beneficiary Eligibility:

Underserved individuals residing in border area communities, and increase assistance to U.S.-Mexico Border Health Commission.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The application kit to be made available under this notice will contain a listing of States that have chosen to set up a review system and will include a State Single Point of Contact (SPOC) in the State for review. Applicants (other than federally recognized Indian tribes) should contact their SPOCs as early as possible to alert them to the prospective applications and receive any necessary instructions on the State process. For proposed projects serving more than one State, the applicant is advised to contact the SPOC of each affected State. The due date for State process recommendations is 60 days after the application deadline. The Office on Women's Health does not guarantee that it will accommodate or explain its responses to State process recommendations received after that date.

Application Procedure:

Application kits may be requested by calling (240) 453-8822 or writing to: Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be

extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS eGrants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS eGrants Web site, <https://egrants.osophs.dhhs.gov>.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be determined by the Director, Office of Global Health Affairs and will take into consideration the recommendations and ratings of the review panel; program needs, and stated preferences.

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

Approximately 60 days from the application deadline date.

Appeals:

None.

Renewals:

Project period is for 1 year.

Formula and Matching Requirements:

Cost-sharing and matching funds is not a requirement of this grant.

Length and Time Phasing of Assistance:

Project period is for 1 year.

Reports:

Grantees will submit quarterly an annual technical reports that include a detailed discussion of the process, impact, outcome and evaluation of the Program; and submit an annual Financial Status Report (SF-269) in accordance with provisions of the general regulations which apply under "Monitoring and Reporting Program Performance," 45 CFR Parts 74 and 92. An original and two copies of the annual report and Financial Status Report must be submitted within 90 days of the budget period end date.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 30, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

None.

Account Identification:

75-0120-0-1-551.

Obligations:

FY 07 \$0; FY 08 \$1,285,500; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$250,000 to \$500,000; (including direct and indirect costs).

PROGRAM ACCOMPLISHMENTS:

Measurable outcomes of the program will be in alignment with one (or more) of the following performance goals: Increase access to care and improve quality of care; Improve disease prevention and health education; Improve workforce development and retention; Improve public health infrastructure; and Improve outreach and health promotion to the community.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions, the Federal Register announcement, and the PHS Grants Policy Statement (DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994); 45 CFR 100 applies.

Regional or Local Office:

None.

Headquarters Office:

Office of Global Health Affairs, Department of Health and Human Services, 200 Independence Ave., S.W., Room 639 H, Washington, DC 20201. Telephone: (202) 690-6174, Fax: (202) 690-7127.

Web Site Address:

www.globalhealth.gov/oirh.shtml.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be screened by OGHA staff for completeness and for responsiveness to the program guidance. Applicants should pay strict attention addressing these criteria, as they are the basis upon which applications will be judged. Those applications judged to be non-responsive or incomplete will be returned to the applicant without review. Applications that are complete and responsive to the guidance will be evaluated for scientific and technical merit by an appropriate peer review group specifically convened for this solicitation and in accordance with HHS policies and procedures. As part of the initial merit review, all applications will receive a written critique. All applications recommended for approval will be discussed fully by the ad hoc peer review group and assigned a priority score for funding. Eligible applications will be assessed according the following criteria: Technical Approach 45 points; Experience and Capabilities of the Organization 45 points; and Facilities and Resources 10 points.

93.041 SPECIAL PROGRAMS FOR THE AGING-TITLE VII, CHAPTER 3-PROGRAMS FOR PREVENTION OF ELDER ABUSE, NEGLECT, AND EXPLOITATION

FEDERAL AGENCY:

ADMINISTRATION ON AGING, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Older Americans Act of 1965, Title III, Parts A and G, Public Law 89-73, as amended; Public Law 90-42, 81 Stat. 106; Public Law 91-69, 83 Stat. 108; Public Law 93-29, 87 Stat. 30; Public Law 93-351, 88 Stat. 357; Public Law 94-135, 89 Stat. 713; Public Law 95-65, 91 Stat. 269; Public Law 95-478, 92 Stat. 1513; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100- 175, 101 Stat. 926; Section 705, Public Law 100-628; 42 U.S.C. 3022- 3030(p); Public Law 106-501.

OBJECTIVES:

To support activities to develop, strengthen, and carry out programs for the prevention, detection, assessment, and treatment of, intervention in, investigation of, and response to elder abuse, neglect, and exploitation (including financial exploitation), including: (1) providing for public education and outreach to identify and prevent elder abuse, neglect, and exploitation; (2) providing for public education and outreach to promote financial literacy and prevent identity theft and financial exploitation of older individuals; (3) ensuring the coordination of services provided by area agencies on aging with services instituted under the State adult protection service program, State and local law enforcement systems, and courts of competent jurisdiction; (4) promoting the development of information and data systems, including elder abuse reporting systems, to quantify the extent of elder abuse, neglect, and exploitation in the State; (5) conducting analyses of State information concerning elder abuse, neglect, and exploitation and identifying unmet service, enforcement, or intervention needs; (6) conducting training for individuals, professionals, and paraprofessionals, in relevant fields on the identification, prevention, and treatment of elder abuse, neglect, and exploitation, with particular focus on prevention and enhancement of self determination and autonomy; (7) providing technical assistance to programs that provide or have the potential to provide services for victims of elder abuse, neglect, and exploitation and for family members of the victims; (8) conducting special and on going training for individuals involved in serving victims of elder abuse, neglect, and exploitation, on the topics of self determination, individual rights, State and Federal requirements concerning confidentiality, and other topics determined by a State agency to be appropriate; (9) promoting the development of an elder abuse, neglect, and exploitation system; (10) examining various types of shelters serving older individuals (in this paragraph referred to as safe havens), and testing various safe haven models for establishing safe havens (at home or elsewhere), that recognize autonomy and self-determination, and fully protect the due process rights of older individuals; and (11) supporting multidisciplinary elder justice activities.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds are awarded to State Agencies on Aging to develop and enhance comprehensive and coordinated programs for the prevention and treatment of elder abuse, neglect, and exploitation, consistent with relevant State law and coordinated with State adult protective service activities. A State plan covering 2, 3, or 4 years, with annual revisions as necessary, must be submitted for approval to the Assistant Secretary on Aging. Funds are used to develop, strengthen, and carry out activities for prevention and treatment of elder abuse, neglect and exploitation.

Applicant Eligibility:

All States and U.S. Territories which have State Agencies on Aging designated by the governors.

Beneficiary Eligibility:

Individuals 60 years of age and older, targeting those older individuals with the

greatest social needs and those with the greatest economic needs.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

This program is subject to the State Plan Coordination Section of E.O. 12372. State plans are covered under this Section, but Intergovernmental Consultation Review is excluded.

Application Procedure:

Consult the appropriate Regional Office for State application instructions. (See Appendix IV of the Catalog).

Award Procedure:

The Administration on Aging awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award.

Deadlines:

Funds are awarded to State Agencies on Aging based on the Federal fiscal year.

Range of Approval/Disapproval Time:

States are entitled to these grants by statute. However, the grants can be terminated by the Assistant Secretary for Aging if the required State Plan is not approved, or if the required intrastate funding formula is not approved.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 1321 and Section 2112 of Title 28, U.S.C.

Renewals:

Applications are submitted for a 2-, 3-, or 4-year period and are revised as necessary.

Formula and Matching Requirements:

No matching is required. The statistical factor used for fund allocation is the State population of persons 60 years of age and over and the source is the most recent data available to the Assistant Secretary for Aging. In addition, minimum allotments are established for States. Statistical factors for eligibility do not apply to this program. This program has maintenance of effort (MOE) requirements. See funding agency for further details.

Length and Time Phasing of Assistance:

One year.

Reports:

SF 269 Financial Status Report (Semi-annual), and the Annual Program Performance Report.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Grants) FY 07 \$5,146,000; FY 08 \$5,146,000; and FY 09 est \$5,146,000.

Range and Average of Financial Assistance:

\$3,184 to \$516,587; \$90,974.

PROGRAM ACCOMPLISHMENTS:

Fifty-six grants were awarded to States and related jurisdictions in fiscal year 2006, and the same is anticipated in fiscal years 2007 and 2008. Conducted activities include public education about ways in which older people are victimized, technical assistance and training for professionals that provide assistance to older victims, and promotion of coordination between professionals and agencies.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1321.

Regional or Local Office:

Regional Administrator, Administration on Aging, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog.)

Headquarters Office:

Mr. Edwin L. Walker, Director, Deputy Assistant Secretary for Policy and Programs, Administration on Aging, Department of Health and Human Services, Washington, DC 20001. Telephone: (202) 401-4634. Use the same number for FTS.

Web Site Address:

<http://www.aoa.gov>.

RELATED PROGRAMS:

93.042, Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals; 93.043, Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services; 93.044, Special Programs for the Aging Title III, Part B Grants for Supportive

Services and Senior Centers; 93.045, Special Programs for the Aging Title III, Part C Nutrition Services; 93.049, Special Programs for the Aging Title VII, Chapter 6 Allotments for Vulnerable Elder Rights Protection Programs; 93.052, National Family Caregiver Support, Title III, Part E.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

State grants are awarded upon approval of a State Plan.

93.042 SPECIAL PROGRAMS FOR THE AGING-TITLE VII, CHAPTER 2-LONG TERM CARE OMBUDSMAN SERVICES FOR OLDER INDIVIDUALS

(State Grants for Long Term Care Ombudsman Services)

FEDERAL AGENCY:

ADMINISTRATION ON AGING, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Older Americans Act of 1965, Title III and Title VII, Chapter 2, Public Law 89-73, as amended; Public Law 90-42, 81 Stat. 106; Public Law 91-69, 83 Stat. 108; Public Law 93-29, 87 Stat. 30; Public Law 93-351, 88 Stat. 357; Public Law 94-135, 89 Stat. 713; Public Law 95-65, 91 Stat. 269; Public Law 95-478; 92 Stat. 1513; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100-175, 101 Stat. 926; Section 705, Public Law 100-628; 42 U.S.C. 3022-3030(d); Public Law 102-375; Public Law 106-501.

OBJECTIVES:

The principal role of this Ombudsman Program is to investigate and resolve complaints made by or on behalf of residents of nursing homes or other long-term care facilities serving older people. Ombudsmen also promote policies and practices needed to improve the quality of care and life in long-term care facilities and educate both consumers and providers about residents' rights and good care practices.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds are awarded to States to develop or strengthen service systems through designated State Agencies on Aging, Area Agencies on Aging and other local entities. Funds under this Title and Chapter are included in State aging plans covering 2, 3, or 4 years, with annual revisions as necessary, submitted for approval to the Assistant Secretary for Aging. Funds are used to design and implement programs for the provision of long-term care ombudsman services for older individuals living in long-term care facilities.

Applicant Eligibility:

All States and U.S. Territories which have State Agencies on Aging designated by the governors.

Beneficiary Eligibility:

Older individuals residing in institutional long-term care facilities or requiring assistance in entering or transferring from such facilities.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

This program is subject to the State Plan Coordination Section of E.O. 12372. State plans are covered under this Section, but Intergovernmental Consultation Review is excluded.

Application Procedure:

Consult the appropriate Regional Office for State application instructions. (See Appendix IV of the Catalog for listing).

Award Procedure:

The Administration on Aging awards funds through a statutory formula to State Agencies on Aging.

Deadlines:

Funds are awarded to State Agencies on Aging annually, based on the Federal fiscal year.

Range of Approval/Disapproval Time:

States are entitled to these grants by statute.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

Applications are submitted for a 2-, 3-, or 4-year period and revised as necessary.

Formula and Matching Requirements:

No matching is required. The statistical factor used for fund allocation is the State population of persons 60 years of age and over and the source is the most recent data available to the Assistant Secretary for Aging. In addition, minimum allotments are established for smaller States and Territories. Statistical factors for eligibility do not apply to this program. This program has maintenance of effort (MOE) requirements. See funding agency for further details.

Length and Time Phasing of Assistance:

One year.

Reports:

SF 269 Financial Status Report (Semi-annual), and the annual program report.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Grants) FY 07 \$14,935,942; FY 08 est \$15,421,261; FY 09 \$15,421,261.

Range and Average of Financial Assistance:

\$9,228 to \$1,506,829; \$265,362.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 56 grants were made to States and related jurisdictions. The principal role of the Ombudsman Program is to investigate and resolve complaints made by or on behalf of residents. Ombudsmen also promote policies and practices needed to improve the quality of care and life in long-term care facilities, and educate both consumers and providers about residents' rights and good care practices. Projected Ombudsman accomplishments for 2007 include: identify, investigate and resolve complaints made by or on behalf of residents; provide information to residents about long-term care services; represent the interests of residents before governmental agencies and seek administrative, legal and other remedies to protect residents; analyze, comment on and recommend changes in laws and regulations pertaining to the health, safety, welfare and rights of residents; educate and inform consumers and the general public regarding issues and concerns related to long-term care and facilitate public comment on laws, regulations, policies and actions; promote the development of citizen organizations to participate in the program; provide technical support for the development of resident and family councils to protect the well-being and rights of residents; and advocate for changes to improve resident's quality of life and care.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1321.

Regional or Local Office:

Regional Administrator, Administration on Aging, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.)

Headquarters Office:

Frank Burns, Director, Long-Term Care Ombudsman Programs, Administration on Aging, Department of Health and Human Services, Washington, DC 20001; Telephone: (202) 357-3516. Use the same number for FTS.

Web Site Address:

<http://www.aoa.gov>.

RELATED PROGRAMS:

93.041, Special Programs for the Aging Title VII, Chapter 3 Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.043, Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services; 93.044, Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers; 93.045, Special Programs for the Aging Title III, Part C Nutrition Services; 93.048, Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.052, National Family Caregiver Support, Title III, Part E.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made on a non-competitive basis.

93.043 SPECIAL PROGRAMS FOR THE AGING-TITLE III, PART D-DISEASE PREVENTION AND HEALTH PROMOTION SERVICES

FEDERAL AGENCY:

ADMINISTRATION ON AGING, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Older Americans Act of 1965, Title III, Parts A and F, Public Law 89-73, as amended; Public Law 90-42, 81 Stat. 106; Public Law 91-69, 83 Stat. 108; Public Law 93-29, 87 Stat. 30; Public Law 93-351, 88 Stat. 357; Public Law 94-135, 89 Stat. 713; Public Law 95-65, 91 Stat. 269; Public Law 95-478, 92 Stat. 1513; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100-175, 101 Stat. 926; Section 705, Public Laws 100-628, 102-375, and 106-501, 42 U.S.C. 3022-3030(M).

OBJECTIVES:

To develop or strengthen preventive health service and health promotion systems through designated State Agencies on Aging and Area Agencies on Aging. Funds are provided for disease prevention and health promotion services including health risk assessments; routine health screening; nutrition screening; counseling and educational services for individuals and primary care givers; health promotion; physical fitness; home injury control and home safety screening; screening for the prevention of depression, and referral to psychiatric and psychological services; education on availability of benefits and appropriate use of preventive services; education on medication management; information concerning diagnosis, prevention, and treatment of neurological and organic brain dysfunction; gerontological counseling and counseling regarding social services and follow-up health services.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds are awarded to States to develop or strengthen preventive health service and health promotion systems through designated State Agencies on Aging and area Agencies on Aging. A State plan covering 2, 3, or 4 years, with annual revisions as necessary, must be submitted to State agencies for approval. Funds are used to design and implement programs for periodic preventive health services to be provided at senior centers or alternative sites as appropriate. The preventive health services under this part may not include services eligible for reimbursement under Medicare.

Applicant Eligibility:

Only States and U.S. Territories which have State Agencies on Aging designated by the governors are eligible to receive these grants.

Beneficiary Eligibility:

Older individuals, especially those living in areas of States which are medically underserved and in which there are a large number of older individuals who have the greatest economic need for the services.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

This program is subject to the State Plan Coordination Section of E.O. 12372. State plans are covered under this Section, but intergovernmental consultation review is excluded.

Application Procedure:

Consult the appropriate Regional Office for State application instructions.

Award Procedure:

The Administration on Aging awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to substate level organizations, which they have designated.

Deadlines:

Funds are awarded to State Agencies on Aging based on the Federal fiscal year.

Range of Approval/Disapproval Time:

States are entitled to these grants by statute.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 1321 and Section 2112 of Title 28, U.S.C.

Renewals:

Applications are submitted for a 2-, 3-, or 4-year period with annual revisions.

Formula and Matching Requirements:

Formula grants are 85 percent Federal and 15 percent nonfederal. The nonfederal contribution may be in the form of cash or in-kind contributions, including plant, equipment, or services.

Length and Time Phasing of Assistance:

One year.

Reports:

SF 269 Financial Status Report (Semi-annual) and the Annual Program Performance Report.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Grants) FY 07 \$21,400,000; FY 08 \$20,815,881; and FY 09 est not available.

Range and Average of Financial Assistance:

\$13,324 to \$2,161,730; \$380,694.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, 56 grants to States and related jurisdictions were made. In fiscal year 2007, 56 grants to States and related jurisdictions are anticipated. For

FY 2008, no grants to States are anticipated. Funds are provided for disease prevention and health promotion services including health risk assessments; routine health screening; nutrition screening; counseling and educational services for individuals and primary care givers; health promotion; physical fitness; home injury control and home safety screening; screening for the prevention of depression, and referral to psychiatric and psychological services; education on availability of benefits and appropriate use of preventive services; education on medication management; information concerning diagnosis, prevention, and treatment of neurological and organic brain dysfunction; gerontological counseling and counseling regarding social services and follow-up health services.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1321.

Regional or Local Office:

Regional Administrator, Administration on Aging, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.)

Headquarters Office:

Bob Hornyak, Office for Community-Based Services, Administration on Aging, Department of Health and Human Services, Washington, DC 20001. Telephone: (202) 357-0150; E-mail: Robert.Hornyak@aoa.hhs.gov.

Web Site Address:

<http://www.aoa.gov>.

RELATED PROGRAMS:

93.044, Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers; 93.045, Special Programs for the Aging Title III, Part C Nutrition Services; 93.052, National Family Caregiver Support, Title III, Part E.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made on a non-competitive basis. State Grants are awarded upon approval of a State Plan.

93.044 SPECIAL PROGRAMS FOR THE AGING-TITLE III, PART B-GRANTS FOR SUPPORTIVE SERVICES AND SENIOR CENTERS

FEDERAL AGENCY:

ADMINISTRATION ON AGING, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Older Americans Act of 1965, Title III, Parts A and B, Public Law 89-73, as amended; Public Law 90-42, 81 Stat. 106; Public Law 91-69, 83 Stat. 108; Public Law 93-29, 89 Stat. 301; Public Law 93-351, 88 Stat. 357; Public Law 94-135, 89 Stat. 713; Public Law 95-65, 91 Stat. 269; Public Law 95-478, 92 Stat. 1513; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100-175; Section 705, Public Law 100-628; 42 U.S.C. 3022-3030d; Public Law 106-501.

OBJECTIVES:

To encourage State Agencies on Aging and Area Agencies on Aging to concentrate resources to develop and implement comprehensive and coordinated community-based systems of service for older individuals via Statewide planning, and area planning and provision of supportive services, including multipurpose senior centers. The objective of these services and centers is to maximize the informal support provided to older Americans to enable them to remain in their homes and communities. Providing transportation services, in-home services, and caregiver support services, this program insures that elders receive the services they need to remain independent.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds are awarded to States to develop and strengthen comprehensive and coordinated service delivery systems through designated State Agencies on Aging and Area Agencies on Aging. A State plan covering 2, 3, or 4 years, with annual amendments as necessary, must be submitted for approval to the Assistant Secretary for Aging. Area Plans also cover 2, 3, or 4 years, and are to be submitted to State agencies for approval. These funds are distributed by intrastate funding formulas to area agencies in States with planning and service areas and directly to service providers in the 13 States designated as single-planning-and-service-area States. In addition to supportive nutrition services, these funds may be used to support other services including the renovation, acquisition and alteration, and construction of multipurpose senior centers.

Applicant Eligibility:

Only State and U.S. Territories which have State Agencies on Aging designated by the Governors are eligible to receive these grants.

Beneficiary Eligibility:

Individuals age 60 and over, targeting those older individuals with the greatest economic needs, the greatest social needs, and those residing in rural areas.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in

accordance with Part 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

This program is subject only to the State Plan Consolidation Section of E.O. 12372. State plans are covered under this Section, but intergovernmental consultation review is excluded.

Application Procedure:

Consult the appropriate Regional Office for State application instructions. Also, see 45 CFR 1321.

Award Procedure:

The Administration on Aging awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to substate level organizations, which they have designated.

Deadlines:

Funds are awarded to State Agencies on Aging based on the Federal fiscal year.

Range of Approval/Disapproval Time:

States are entitled to these grants by statute.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 1321 and Section 2112 of Title 28, U.S.C.

Renewals:

State Plans are submitted for a 2-, 3-, or 4-year period with annual revisions as necessary.

Formula and Matching Requirements:

Formula Grants are 85 percent Federal and 15 percent nonfederal funds. This program has maintenance of effort (MOE) requirements. See funding agency for further details.

Length and Time Phasing of Assistance:

One year.

Reports:

SF 269 Financial Status Report (semi-annually) and the Annual Program Performance Report.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Grants) FY 07 \$350,595,000; FY 08 est \$350,595,000; and FY 09 est \$350,595,000.

Range and Average of Financial Assistance:

\$218,293 to \$34,578,882; \$6,236,931.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, 56 grants were awarded to States and U.S. Territories. In fiscal years 2007 and 2008, 56 grants to States and U.S. Territories awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1321.

Regional or Local Office:

Regional Administrator, Administration on Aging, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.)

Headquarters Office:

Bob Hornyak, Office for Community-Based Services, Administration on Aging, Department of Health and Human Services, Washington, DC 20001; Telephone: (202) 357-0150; E-mail: Robert.Hornyak@aoa.hhs.gov.

Web Site Address:

<http://www.aoa.gov>.

RELATED PROGRAMS:

93.041, Special Programs for the Aging Title VII, Chapter 3 Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.042, Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals; 93.043, Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services; 93.045, Special Programs for the Aging Title III, Part C Nutrition Services; 93.048, Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.052, National Family Caregiver Support, Title III, Part E.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Noncompetitive. State grants awarded based on approval of State Plan, and intrastate funding formula for States with area agencies.

93.045 SPECIAL PROGRAMS FOR THE AGING-TITLE III, PART C-NUTRITION SERVICES

FEDERAL AGENCY:

ADMINISTRATION ON AGING, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Older Americans Act of 1965, Parts A and C, Public Law 89-73, as amended; Public Law 90-42, 81 Stat. 106; Public Law 91-69, 85 Stat. 108; Public Law 92-258, 86 Stat. 88; Public Law 93-29, 87 Stat. 301; Public Law 93-351, 88 Stat. 357; Public Law 94-135, 89 Stat. 713; Public Law 95-65, 91 Stat. 269; Public Law 95-478, 92 Stat. 513; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Title III, Part C, Public Law 100-175; Public Law 100-628; 42 U.S.C. 3030e-3030g; Public Law 102-375; Public 106-501.

OBJECTIVES:

To provide grants to States to support nutrition services including nutritious meals, nutrition education and other appropriate nutrition services for older Americans in order to maintain health, independence and quality of life. Meals may be served in a congregate setting or delivered to the home, if the older individual is homebound.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Local projects must provide a hot or other appropriate meal which complies with the Dietary Guidelines for Americans and provides one-third of the Dietary Reference Intakes (DRI), at least once per day, five or more days per week, except in rural areas where a lesser frequency is determined feasible, to eligible people aged 60 and over and their spouses.

Applicant Eligibility:

Only States and U.S. Territories which have State Agencies on Aging designated by the governors are eligible to receive these grants.

Beneficiary Eligibility:

Older individuals aged 60 and over and their spouses, especially those older individuals with the greatest social need or those with the greatest economic need, and in certain cases, under age 60, if the individual is handicapped or disabled and resides with an older individual.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

This program is subject only to the State Plan Consolidation Section of E.O. 12372. State plans are covered under this Section, but intergovernmental consultation review is excluded.

Application Procedure:

Consult the appropriate Regional Office for State Plan instructions.

Award Procedure:

The Administration on Aging awards funds through a statutory formula.

Deadlines:

Funds are awarded to State Agencies on Aging based on the Federal fiscal year.

Range of Approval/Disapproval Time:

States are entitled to these grants by statute.

Appeals:

Appeals are processed in accordance with the HHS regulations in 45 CFR Part 1321, and Section 2112 of Title 28, U.S.C.

Renewals:

State Plans are submitted for a 2-, 3-, or 4-year period, with annual revisions as necessary.

Formula and Matching Requirements:

Formula grants are 85 percent Federal and 15 percent nonfederal. The nonfederal contribution may be in the form of cash or in-kind contributions, including plant, equipment, or services.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

SF 269 Financial Status Report (Semi-annual) and the annual Program Performance Report.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Grants) Congregate Nutrition Services: FY 07 \$398,919,000; FY 08 est

\$383,401,000; and FY 09 est \$383,401,000. Home Delivered Nutrition Services: FY 07 \$188,305,000; FY 08 est \$180,998,000; and FY 09 \$180,998,000.

Range and Average of Financial Assistance:

Congregate Nutrition Services: \$240,408 to \$34,919,214; \$6,854,641. Home-Delivered Nutrition Services: \$113,260 to \$18,372,773; \$3,236,006.

PROGRAM ACCOMPLISHMENTS:

Fifty-six grants were made to States and related jurisdictions in fiscal year 2006. It is estimated that 56 grant awards will be made in fiscal years 2007 and 2008. Congregate and home delivered meals improved nutritional status, decreased the risk of disease and disability, and helped maintain physical and mental functions to millions of older Americans.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1321.

Regional or Local Office:

Regional Administrator, Administration on Aging, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.)

Headquarters Office:

Bob Hornyak, Office for Community-Based Services, Administration on Aging, Department of Health and Human Services, Washington, DC 20001; Telephone: (202) 357-0150. E-mail: Robert.Hornyak@aoa.hhs.gov. Use the same number for FTS.

Web Site Address:

<http://www.aoa.gov>.

RELATED PROGRAMS:

10.550, Food Donation; 93.053, Nutrition Services Incentive Program; 93.041, Special Programs for the Aging Title VII, Chapter 3 Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.042, Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals; 93.043, Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services; 93.044, Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers; 93.048, Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.052, National Family Caregiver Support, Title III, Part E.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Non-competitive. State grants are awarded based on approval of a State plan.

93.047 SPECIAL PROGRAMS FOR THE AGING-TITLE VI, PART A, GRANTS TO INDIAN TRIBES-PART B, GRANTS TO NATIVE HAWAIIANS

FEDERAL AGENCY:

ADMINISTRATION ON AGING, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Older Americans Act of 1965, Public Law 89-73, as amended; Title VI, Public Law 95-478, 92 Stat. 1513; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100-175, 42 U.S.C. 3057; Public Law 100-628; Public Law 102-375; Public Law 106-501.

OBJECTIVES:

To promote the delivery of supportive services, including nutrition services, to older Indians, Alaskan Natives, and Native Hawaiians and to enable tribal organizations to provide multifaceted systems of support services to family caregivers. Services are comparable to services provided under Title III of the Older Americans Act of 1965, as amended.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are available through grants to eligible Indian Tribal Organizations for services comparable to those provided under Title III. Services must include nutrition services and information and referral, and may include transportation and other services authorized under Title III. Funds may be used for staffing senior centers. Indian Tribes receive funds based on a formula that considers the number of eligible elder Indians sixty years of age or older represented by the tribal organizations with population ranges from 50-100, 101-200, 201-300, 301-400, 401-500, 501 to 1,500, and 1,501+. Grants also are available to private or nonprofit organizations having the capacity to provide services to older Native Hawaiians.

Applicant Eligibility:

Tribal organizations of Indian tribes eligible for assistance under Section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b), and public or nonprofit private organizations which serve Native Hawaiian Elders, which represent at least 50 Indians or Hawaiians 60 years of age or older. Applicants must demonstrate that they have the ability to deliver supportive and nutrition services.

Beneficiary Eligibility:

Indians who are 60 years of age and older, and in the case of nutrition services, their spouses. Tribes also have the authority to define Indians under 60 years of age as "older Indian" making them eligible for services.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

In order to receive a grant, the eligible tribal organization, or for Native Hawaiians, the nonprofit private organization serving Native Hawaiians, must submit an application in accordance with instructions published in the Federal Register by the Administration on Aging.

Award Procedure:

Notification of award will be issued to the grantee.

Deadlines:

Established when grant application instructions are issued in the Federal Register. Contact Headquarters Office for further information.

Range of Approval/Disapproval Time:

Usually within 30 to 60 days.

Appeals:

Appeals are processed in accordance with Administration on Aging regulations in 45 CFR 1326 and 1328.

Renewals:

Renewals within the grant period are based on satisfactory performance during the prior year, and the availability of funds.

Formula and Matching Requirements:

Although the agency maintains discretion in determining when and how many awards will be made under Title VI, a population based formula application process will be used to actually award funds. The formula is based on the number of elders a tribal organization represents who are 60 years of age or older. The minimum number is 50 elderly Indians or Native Hawaiians. Currently, fund formula categories are: population 50-100: Band 1; population 101-200: Band 2; population 201-300: Band 3; population 301-400: Band 4; population 401-500: Band 5; population 501-1500: Band 6; population 1,500+ Bank 7. There is no matching required.

Length and Time Phasing of Assistance:

Funds for grants are awarded for no less than a 12-month period.

Reports:

Annual program and financial reports are required of grantees.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Records must be maintained for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Grants) Parts A and B: FY 07 est \$26,134,020; FY 08 est \$26,134,000; and FY 09 est \$26,134,000.

Range and Average of Financial Assistance:

Grants to Indian Tribes, Part A range from \$72,740 to \$178,703; average \$98,813. Grants to Native Hawaiians, Part B range from \$93,720 to \$1,689,630; average \$891,675.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, grants were awarded to 243 tribal organizations and two Native Hawaiian Organizations for nutrition and supportive services to Native American, Alaskan Native, and Native Hawaiian elders. A similar number of grants are expected to be awarded in fiscal years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1328. Guidelines are issued by the Assistant Secretary for Aging.

Regional or Local Office:

Regional Administrator, Administration on Aging, Department of Health and Human Services Regional Offices (See Appendix IV of the Catalog).

Headquarters Office:

Dr. Yvonne Jackson, Director, Office of American Indian, Alaskan Native, and Native Hawaiian Programs, Administration on Aging, Department of Health and Human Services, Washington, DC 20201; Telephone: (202) 357-3501. E-mail: Yvonne.Jackson@aoa.hhs.gov. Use the same number for FTS.

Web Site Address:

<http://www.aoa.gov>.

RELATED PROGRAMS:

93.041, Special Programs for the Aging Title VII, Chapter 3 Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.042, Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals; 93.043, Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services; 93.044, Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior

Centers; 93.045, Special Programs for the Aging Title III, Part C Nutrition Services; 93.052, National Family Caregiver Support, Title III, Part E.

EXAMPLES OF FUNDED PROJECTS:

(1) Supportive and Nutrition Services for Older Indian Persons - Passamaquoddy Tribe, Perry, Maine; (2) Supportive and Nutrition Services to Older Indian Persons - San Carlos Apache Tribe; (3) Supportive and Nutrition Services to Older Indian Persons - Yakima Indian Nation; (4) Supportive and Nutrition Services to Older Indian Persons - St. Croix Tribal Council, Wisconsin; (5) Supportive and Nutrition Services to Older Indians - Ute Mountain Ute Tribe.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made based upon the extent to which the project meets the requirements of the law and regulations.

93.048 SPECIAL PROGRAMS FOR THE AGING-TITLE IV- AND TITLE II-DISCRETIONARY PROJECTS**FEDERAL AGENCY:**

ADMINISTRATION ON AGING, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Older Americans Act of 1965, Title IV, Public Law 89-73, 79 Stat. 218, as amended; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100-175; Public Law 100-628, 42 U.S.C. 3031-3037b; Public Law 102-375; Public Law 106-501.

OBJECTIVES:

To support the development and testing of innovative programs, services and systems of support that respond to the needs of the nations growing elderly population, to improve knowledge of the problems and needs of the elderly, to help ensure adequately trained personnel in the field of aging, and to demonstrate better ways of improving the quality of life for the elderly.

TYPES OF ASSISTANCE:

Project Grants (Contracts).

USES AND USE RESTRICTIONS:

Funds may be used to: (a) demonstrate new methods and practices to improve the quality and effectiveness of programs and services; (b) to evaluate existing programs and services; (c) conduct applied research and analysis to improve access to and delivery of services; (d) train professionals in the field. Funds may not be used for: (a) construction and/or major rehabilitation of buildings; (b) basic research (e.g., bio-medical experiments); (c) continuation and/or expansion of existing services, including supportive and nutritional services such as those funded under Title III of the Older Americans Act; (d) medical care, institutional care or income maintenance; (e) projects which do not involve innovative approaches, and whose outcomes do not have the potential for national dissemination and replication; (f) equipment purchases unless the equipment is necessary to carry out a project that is otherwise fundable under Title IV.

Applicant Eligibility:

Grants may be made to any public or nonprofit private agency, organization, or institution. Contracts may be awarded to any agency, organization or institution. Grants and contracts are not available to individuals.

Beneficiary Eligibility:

Older individuals aged 60 and older, family caregivers and grandparents, and older individuals who are relative caregivers.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

Applications are submitted in response to a request for proposals which are published from time to time. Applicants must use the standard application forms as provided by DHHS for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The availability of competitive grant funds under Title IV is announced from time to time via program announcements that are simultaneously published on www.grants.gov and on the AoA web site at www.aoa.gov/doingbus/fundopp/fundopp.asp. In addition, announcements intended for a wide audience of applicants may be published in the Federal Register. All required forms and instructions for specific program announcements can be found on the AoA web site or by contacting the Administration on Aging, Office of Grants Management. Contract information is published in the "Commerce Business Daily."

Award Procedure:

Notification of award will be issued to the grantee.

Deadlines:

As specified in each program announcement posted on www.grants.gov and www.aoa.gov/doingbus/fundopp/fundopp.asp.

Range of Approval/Disapproval Time:

From 60 to 90 days from receipt of application.

Appeals:

None.

Renewals:

Grant extensions are available and may be requested from the grantee's Program Officer.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements may be required of any grantee to the extent deemed appropriate by the Assistant Secretary for Aging and are generally set at a minimum of 25 percent of the total cost of the project.

Length and Time Phasing of Assistance:

Generally, projects are approved for a period of 12 to 36 months. Support beyond the first year is contingent upon the availability of funds and evidence of satisfactory progress.

Reports:

Semi-annual progress reports and final reports are required of grantees. Annual financial reports are required. Reports to be submitted by the grantees or contractors are specified in the contract or grant award.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Records must be maintained for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$37,190,699; FY 08 est \$48,618,000; and FY 09 est \$48,618,000.

Range and Average of Financial Assistance:

\$15,000 to \$2,931,390; \$204,476.

PROGRAM ACCOMPLISHMENTS:

The number of awards in fiscal year 2006 totaled 210. It is estimated that 228 awards will be made in fiscal year 2007. Awards in fiscal year 2006 were made to support: (1) A national program to enlist retired professional and other older volunteers in combating Medicare/Medicaid fraud and waste; (2) a national program of statewide senior legal information services, legal and related elder rights projects; (3) Performance Outcomes Measures Projects; (4) the Eldercare Locator, a national toll-free aging information and assistance telephone service; (5) projects focused on minority aging issues; (6) information and assistance resource centers for older adults and persons with disabilities; (7) evidence-based health promotion and disease prevention grants designed to translate clinical research into effective approaches delivered through community-based aging services providers.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Chapter XIII, Title 45 of the Code of Federal Regulations on AoA supported projects. Annual guidelines, program information, and application instructions are available from the Administration on Aging, Washington, DC 20201.

Regional or Local Office:

Regional Administrator, Administration on Aging, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for addresses.)

Headquarters Office:

Greg Case, Center for Planning and Policy Development, Administration on Aging, Department of Health and Human Services, Washington, DC 20201; Telephone: (202) 357-3442. E-mail: Greg.Case@aoa.hhs.gov. T (202) 401-4634 for FTS.

Web Site Address:

<http://www.aoa.gov>. For information on current funding opportunities and associated application forms, visit: www.aoa.gov/doingbus/fundopp/fundopp.asp.

RELATED PROGRAMS:

93.041, Special Programs for the Aging Title VII, Chapter 3 Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.042, Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals; 93.043, Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services; 93.044, Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers; 93.051, Alzheimer's Disease Demonstration Grants to States; 93.052, National Family Caregiver Support, Title III, Part E; 93.990, National Health Promotion.

EXAMPLES OF FUNDED PROJECTS:

(1) Evidence-Based Disease Prevention Grants Program; (2) National Center on Elder Abuse; (3) Alzheimer's Disease Demonstration Grants to States Program; (4) Senior Medicare Patrol Projects; and (5) Aging and Disability Resource Centers.

CRITERIA FOR SELECTING PROPOSALS:

Details for evaluating applications are published as part of each Title IV program announcement. Major emphases are: (1) documented need; (2) expected outcomes and benefits; (3) innovative approach; (4) level of effort; (5) involvement of community-based organizations in planning and implementation activities; and, (6) projects that benefit disadvantaged groups, including low-income, minority and limited English speaking populations.

93.051 ALZHEIMER'S DISEASE DEMONSTRATION GRANTS TO STATES

(Alzheimer's Demonstration Program)

FEDERAL AGENCY:

ADMINISTRATION ON AGING, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

The Alzheimer's Disease Demonstration Grants to States (ADDGS) program was established under Section 398 of the Public Health Service Act (Public Law 78-410; 42 U.S.C. 280c-3). It was amended by the Home Health Care and Alzheimer's Disease Amendments of 1990 (Public Law 101-557) and by the Health Professions Education Partnerships Act of 1998 (Public Law 105-392).

OBJECTIVES:

To assist States in creating a system of dementia care for persons with Alzheimer's disease and their families, by carrying out demonstration projects for planning, establishing, and operating programs to: (1) Create models of assistance for persons with Alzheimer's disease and their families; and (2) to improve the responsiveness of the existing home and community based care system to persons with Alzheimer's disease and related disorders and their families by linking programs to other state system change efforts, including state programs to streamline consumer access to services and family caregiver support programs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

(1) Not less than 50 percent of the federal grant must be spent on home health care, personal care, day care, companion services, short-term care in health facilities, and other respite care to individuals with Alzheimer's disease and related disorders. (2) Grant funds cannot be used to replace existing, State, Federal, or private insurance funds. (3) Matching funds are required. (4) Not more than 10 percent of the grant will be expended for administrative expenses.

Applicant Eligibility:

State government agencies are eligible for grant awards; the applicant agency is encouraged to have the support and active involvement of the Single State Agency on Aging. Only one application per State will be funded, however, multiple state and local agencies are encouraged to collaborate in planning and carrying out the project.

Beneficiary Eligibility:

(1) Individuals with Alzheimer's disease and related disorders; (2) families of those individuals; and (3) care providers of those individuals.

Credentials/Documentation:

Applicants must submit: (1) A description of intended use of the grant; (2) information describing programs and activities to be supported; (3) the number of individuals receiving services and the cost of services; and (4) a plan describing coordination of such services. Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant Application for Federal Assistance (Nonconstruction Programs) are in accordance with program announcements developed by the Administration on Aging (AoA) and will be published in Grants.gov when funding is available. As specified in the program announcement, application forms and instructions may be obtained by writing to the US Department of Health and Human Services, Administration on Aging, Grants Management Office, Washington, DC 20201.

Award Procedure:

After review and approval, notification of award will be issued to the grantee, along with appropriate notification to the public.

Deadlines:

As specified in guidelines published in the Program Announcement and on Grants.gov.

Range of Approval/Disapproval Time:

Approximately 90 days from closing date or receipt of application.

Appeals:

None.

Renewals:

As outlined in the program announcement.

Formula and Matching Requirements:

Matching requirements are as follows: first year of the award, 25 percent nonfederal matching requirement; second year, 35 percent nonfederal; and third

and subsequent years, 45 percent nonfederal. The nonfederal contribution may be in the form of cash or in-kind contributions, including plant, equipment, or services.

Length and Time Phasing of Assistance:

Awards are typically 3 years in duration. Length of future awards will be published in the program announcement and on Grants.gov.

Reports:

Financial status reports are required annually, program progress reports are required semi-annually, and final reports are due at the end of the full project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Grants) FY 07 \$11,668,000; FY 08 \$10,996,505; and FY 09 est not available.

Range and Average of Financial Assistance:

\$100,000 to \$300,000; \$270,144.

PROGRAM ACCOMPLISHMENTS:

Program evaluation includes analysis of seven years of longitudinal program data and ongoing project evaluation. During the first ten years of program implementation, over 15,000 client families have received direct services (such as adult day care, respite, personal care, home health care, and companion care) and approximately 1 million units of outreach, including educational seminars and workshops have been provided to family caregivers, community service providers, and volunteers. Nearly 50 percent of the clients served are minorities and 48 percent of those receiving services reside in rural areas.

REGULATIONS, GUIDELINES, AND LITERATURE:

Home Health Care and Alzheimer's Disease Amendments of 1990 (Public Law 101-557); Health Professions Education Partnerships Act of 1998 (Public Law 105-392).

Regional or Local Office:

Administration on Aging, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for listings.)

Headquarters Office:

Lori Stalbaum, Center for Wellness and Community-Based Services, Department of Health and Human Services, Administration on Aging, Washington, DC 20201; Telephone: (202) 357-3452 or (202) 401-4634. Use the latter number for FTS. E-mail: Lori.Stalbaum@aoa.hhs.gov.

Web Site Address:

For more information about current funding opportunities and associated application forms visit - <http://www.aoa.gov/doingbus/fundopp/fundopp.asp> or www.grants.gov

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

A listing of currently funded projects may be obtained by contacting Lori Stalbaum at (202) 357-3452 or by visiting <http://www.aoa.gov/alz>.

CRITERIA FOR SELECTING PROPOSALS:

As specified in the program announcement.

93.052 NATIONAL FAMILY CAREGIVER SUPPORT, TITLE III, PART E

FEDERAL AGENCY:

ADMINISTRATION ON AGING, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Older Americans Act, as amended, Public Law 106- 501, Title III, Part E and VI, Part C.

OBJECTIVES:

To assist States, Territories and Indian Tribal Organizations in providing multifaceted systems of support services for: (1) Family caregivers; and (2) grandparents or older individuals who are relative caregivers. Services to be provided include: information to caregivers about available services; assistance to caregivers in gaining access to the services; individual counseling, and caregiver training to caregivers to assist the caregivers in making decisions and solving problems relating to their caregiving roles; respite care to enable caregivers to be temporarily relieved from their caregiving responsibilities; and supplemental services, on a limited basis, to complement the care provided by caregivers.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

These two authorities are for making grants to States and Territories under Title III-E and to Indian Tribal Organizations under Title VI-C to enable the provision of multifaceted systems of support services for family caregivers; and for grandparents or older individuals who are relative caregivers.

Applicant Eligibility:

Formula grants: State governments and U.S. Territories, with distribution to designated area agencies on aging through an approved State plan and intrastate funding formula. Project (Title VI-C) grants: Indian Tribal Organizations and Native Hawaiian organizations with approved applications under Title VI, Parts A and B

Beneficiary Eligibility:

Family caregivers, grandparents and older individuals who are relative caregivers will benefit.

Credentials/Documentation:

Formula Grants - Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Project Grants (Title VI-C). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Formula Grants - This program is subject only to the State Plan Consolidation Section of E.O. 12372. State plans are covered under this Section, but Intergovernmental Consultation Review is excluded. Project Grants (Title VI-C): standard application forms will be provided and may be used for this program. Applicants are encouraged to consult with the Administration on Aging in the development of the application.

Application Procedure:

Formula Grants - Application Procedures: Consult with the appropriate Regional Office for State application instruction. Project Grants (Title VI-C) - Grant applications are in accordance with program announcements developed by the Administration on Aging (AoA) and will be published in the Federal Register. As specified in the program announcement, application forms and instructions may be obtained by writing to the Administration on Aging, Grants Management Division, 330 Independence Avenue, SW., Cohen Building, 4th Floor, Washington, DC 20201.

Award Procedure:

Formula Grants - The Administration on Aging awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to substate level organizations, that they have designated. Project Grants (Title VI-C). After review and approval, notification of award will be issued to the grantee, along with appropriate notification to the public.

Deadlines:

Formula Grants - Funds are awarded to State Agencies on Aging based on the Federal fiscal year. Project Grants (Title VI-C) are as specified in guidelines published in the Federal Register.

Range of Approval/Disapproval Time:

Formula Grants - States are entitled to these grants by statute. Project Grants (Title VI-C) - Approximately 60 to 90 days from closing date or receipt of application.

Appeals:

Formula Grants and Project Grants Under Title VI-C - Appeals are processed in accordance with the HHS regulations in 45 CFR, Part 1321, and Section 2112 of Title 28, U.S.C.

Renewals:

Formula Grants - State plans are submitted for a 2,3,or 4-year period, with annual revisions as necessary. Project Grants (Title VI-C) are as outlined in the program announcement published in the Federal Register.

Formula and Matching Requirements:

Formula grants (Title III-E) -- are 75 percent Federal and 25 percent nonfederal. The nonfederal contribution may be in the form of cash or in-kind contributions, including plant, equipment, or services. Project Grants (Title VI-C) - no matching requirements.

Length and Time Phasing of Assistance:

One year.

Reports:

Financial status reports and program progress reports are required semi-annually for formula and project grants, including a final report at the end of each grant year.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards is exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records must be kept available for 3 years after submission of

expenditure reports and 5 years after final disposition of non-expendable property in accordance with the regulations at 45 CFR 74, Subpart D.

Account Identification:

75-0142-0-1-506.

Obligations:

(Grants) FY 07 \$160,428,000; FY 08 \$151,904,374; and FY 09 est \$153,439,000.

Range and Average of Financial Assistance:

\$14,720 to \$15,532,999; \$633,253.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, funds were provided to enable 56 States to provide multifaceted systems of support services for family caregivers and for grandparents or older individuals who are relative caregivers. In fiscal years 2006 and 2007, it is estimated that a similar number of grants will be made to states, area agencies on aging, and Tribal Organizations. Project Grants (Title VI-C): Funds are provided to Indian Tribal Organizations and Native Hawaiian organizations to provide multifaceted systems or support services for family caregivers and for grandparents or older individuals who are relative caregivers.

REGULATIONS, GUIDELINES, AND LITERATURE:

Formula and Project Grants: 45 CFR 75, 45 CFR 92.

Regional or Local Office:

Administration on Aging Regional Administrator, Administration on Aging, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for listings.)

Headquarters Office:

Formula Grants: Rick Greene, Center for Wellness and Community-Based Services, Administration on Aging, Department of Health and Human Services, Washington, DC 20201. Telephone: (202) 357-3586. E-mail: Rick.Greene@aoa.gov. Project Grants (Title VI-C): Yvonne Jackson, Office for American Indian, Alaskan Natives and Native Hawaiian Programs, Administration on Aging, Washington, DC 20201. Telephone: (202) 357-3501. E-mail: Yvonne.Jackson@aoa.gov.

Web Site Address:

<http://www.aoa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Project Grants (Title VI-C) are as published in the Federal Register.

93.053 NUTRITION SERVICES INCENTIVE PROGRAM

FEDERAL AGENCY:

ADMINISTRATION ON AGING, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Older Americans Act of 1965, as amended, Section 311, 42 U.S.C. 303a, Section 604, 42 U.S.C. 3057.

OBJECTIVES:

To reward effective performance by States and Tribes in the efficient delivery of nutritious meals to older adults through the use of cash or commodities.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds are made available to State agencies on aging and Indian Tribal Organizations to purchase foods of United States origin or to access commodities from the United States Department of Agriculture (USDA). These foods are to be used in the preparation of congregate and home-delivered meals by nutrition services programs. Commodities available from the USDA may not be sold, exchanged, or otherwise disposed of (authorized distribution excepted) without prior, specific approval of USDA.

Applicant Eligibility:

State agencies on aging and Indian Tribal Organizations (ITO) that receive funding through Titles III and VI of the OAA may receive grants of cash from the Administration on Aging (AoA) or commodities from the USDA.

Beneficiary Eligibility:

For Title III congregate meals, persons who are older adults (age 60 years and above) or a spouse of an older adult, regardless of age; disabled adults who live in housing facilities primarily occupied by older adults where a congregate site is located; disabled adults under age 60, who reside at home with older adults; and volunteers, regardless of age, who assist in meal service during meal hours. For Title III home-delivered meals, an older individual must be assessed to be homebound. The spouse of a homebound individual regardless of age or condition may receive a meal if receipt of the meal is assessed to be in the best interest of the homebound older adult. For Title VI, all of these criteria apply. In addition, an ITO may select the age that defines who is an older adult.

Credentials/Documentation:

State agencies on aging and Indian Tribal Organizations must complete agreements with the AoA. Nutrition Programs must comply with forms

established by their State agencies on aging.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

All States and Indian Tribal Organizations are eligible, no applications required.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Title III and Title VI program agreements are indefinite, unless withdrawal is requested or required because of program irregularities.

Formula and Matching Requirements:

The NSIP is based on a performance incentive model. State agencies on aging and ITOs receive NSIP funding based on the number of meals served in the prior federal fiscal year in proportion to all other States and tribes and the available appropriation. State agencies and ITOs may elect to receive commodities through procedures established by the USDA. There are no match requirements.

Length and Time Phasing of Assistance:

None.

Reports:

Annual financial status reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Adequate to account for all receipts and dispositions; to be retained for 3 years following the close of the fiscal year.

Account Identification:

75-0142-0-1-506.

Obligations:

(Grants) FY 07 \$147,846,000; FY 08 \$151,894,688; and FY 09 est \$153,429,000.

Range and Average of Financial Assistance:

\$58 to \$14,641,700; \$499,248.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2005 about 238 million meals were served to program participants.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92.

Regional or Local Office:

Regional Administrators, Administration on Aging, Department of Health and Human Services, Region Offices. (See Appendix IV of the Catalog.)

Headquarters Office:

Jean Lloyd, Office for Community-Based Services, Administration on Aging, Department of Health and Human Services, Washington, DC 20201. Telephone: (202) 357-3582. Use the same number for FTS. E-mail: Jean.Lloyd@aoa.hhs.gov.

Web Site Address:

<http://www.aoa.gov>.

RELATED PROGRAMS:

93.045, Special Programs for the Aging Title III, Part C Nutrition Services; 93.047, Special Programs for the Aging Title VI, Part A, Grants to Indian Tribes Part B, Grants to Native Hawaiians.

EXAMPLES OF FUNDED PROJECTS:

Programs 93.045 and 93.047, Special Programs for the Aging relating to nutrition services and Indian programs respectively are examples of projects that have been funded under this program. Both of these programs supply supportive services including nutrition services to older Americans.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.054 NATIONAL FAMILY CAREGIVER SUPPORT, TITLE VI, PART C, GRANTS TO INDIAN TRIBES AND NATIVE HAWAIIANS

FEDERAL AGENCY:

AUTHORIZATION:

Older Americans Act, as amended, Public Law 106-501; Public Law 109-365, Title VI, Part C.

OBJECTIVES:

To assist Indian Tribal Organizations in providing multifaceted systems of support services for: (1) Family caregivers; and (2) grandparents or older individuals who are relative caregivers. Services to be provided include: information to caregivers about available services; assistance to caregivers in gaining access to the services; individual counseling and caregiver training to assist the caregivers in making decisions and solving problems relating to their caregiving roles; respite care to enable caregivers to be temporarily relieved from their caregiving responsibilities; and supplemental services, on a limited basis, to complement the care provided by caregivers.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

This authority is for making grants to Indian Tribal Organizations under Title VI-C to enable the provision of multifaceted systems of support services for family caregivers; and for grandparents or older individuals who are relative caregivers.

Applicant Eligibility:

Indian Tribal Organizations and Native Hawaiian organizations with approved applications under Title VI, Parts A and B.

Beneficiary Eligibility:

Family caregivers, grandparents, and older individuals who are relative caregivers will benefit

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

Standard application forms will be provided and may be used for this program. Applicants are encouraged to consult with the Administration on Aging in the development of the application. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications are submitted in accordance with program announcements developed by the Administration on Aging (AoA) and will be published in the Federal Register. As specified in the program announcement, application forms and instructions may be obtained by writing to the U.S. Administration on Aging, Dept. of Health & Human Services, Office of Grants Management, Washington, DC 20001.

Award Procedure:

After review and approval, notification of award will be issued to the grantee, along with appropriate notification to the public.

Deadlines:

Grants are awarded as specified in guidelines published in the Federal Register.

Range of Approval/Disapproval Time:

Grants are approved approximately 60 to 90 days from closing date or receipt of application.

Appeals:

Appeals are processed in accordance with the HHS regulations in 45 CFR Part 1326 and 1328.

Renewals:

Renewals are issued as outlined in the program announcement published in the Federal Register.

Formula and Matching Requirements:

This program has no matching requirements.

Length and Time Phasing of Assistance:

One year.

Reports:

Financial status reports and program progress reports are required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards is exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Grants) FY 07 \$6,241,000; FY 08 \$6,268,335; and FY 09 est \$6,316,000.

Range and Average of Financial Assistance:

\$14,510 to \$59,310; \$31,048.

PROGRAM ACCOMPLISHMENTS:

In fiscal years 2007 and 2008, it is estimated that 109 grants will be made to Tribal Organizations. Funds are provided to Indian Tribal Organizations and Native Hawaiian organizations to provide multifaceted systems or support services for family caregivers and for grandparents or older individuals who are relative caregivers.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74, 45 CFR Part 92.

Regional or Local Office:

Administration on Aging Regional Administrator, Administration on Aging, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for listings.)

Headquarters Office:

Yvonne Jackson, Office for American Indian, Alaskan Natives and Native Hawaiian Programs, Administration on Aging, Washington, DC 20201. Telephone: (202) 357-3501. E-mail: Yvonne.Jackson@aoa.hhs.gov.

Web Site Address:

<http://www.aoa.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are published in the Federal Register.

93.061 INNOVATIONS IN APPLIED PUBLIC HEALTH RESEARCH

CDC Health Protection Research Initiative (HPRI)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 317(k)(2) of the Public Health Service Act, 42 U.S.C. 247b(k)(2), as amended.

OBJECTIVES:

To foster the new knowledge necessary to develop, enhance, and disseminate effective public health services, programs, and policies that improve health and quality of life for the population.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Applied public health research provides the critical new knowledge to address the CDC Health Protection Goals and identified priority research needs.

Research is focused on improving public health practices to maximize positive health outcomes and impacts.

Applicant Eligibility:

Applications may be submitted by public and private nonprofit and for profit organizations and by governments and their agencies, such as: Public nonprofit organizations Private nonprofit organizations, For profit organizations, Small, minority, women-owned businesses, Universities, Colleges, Research institutions, Hospitals, Community-based organizations, Faith-based organizations, Federally Recognized Indian Tribal Governments, Indian tribes, Indian tribal organizations, State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau), Political subdivisions of States (in consultation with States).

Beneficiary Eligibility:

Beneficiary will be to public and private nonprofit and for profit organizations and by governments and their agencies, such as: Public nonprofit organizations Private nonprofit organizations, For profit organizations, Small, minority, women-owned businesses, Universities, Colleges, Research institutions, Hospitals, Community-based organizations, Faith-based organizations, Federally Recognized Indian Tribal Governments, Indian tribes, Indian tribal organizations, State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau), Political subdivisions of States (in consultation with States).

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, present their approach to meeting the objectives, and outline the methods of operation. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Federally Recognized Indian Tribal Governments.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must download the SF424 (R&R) application forms and SF424 (R&R) Application Guide located at: http://www.grants.gov/applicants/apply_for_grants.jsp or applications must be prepared using the most current PHS 398 research grant application instructions and forms. The PHS 398 application instructions are available at <http://grants.nih.gov/grants/funding/phs398/phs398.html>. Applicants are required to have a Dun and Bradstreet Data Universal Numbering System (DUNS) number to apply for a grant from the Federal Government. The DUNS number is a nine-digit identification number, which uniquely identifies business entities. Obtaining a DUNS number is easy and there is no charge. To obtain a DUNS number, access www.dunandbradstreet.com or call 1(866) 705-5711.

Award Procedure:

Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Electronic applications must be successfully received by Grants.gov no later than 5:00 p.m. local time (of the applicant institution/organization) on the application submission/receipt date(s). Paper applications must be received on or before 5:00 p.m. Eastern Time on the application submission/receipt date(s).

Range of Approval/Disapproval Time:

From 90 to 150 days.

Appeals:

None.

Renewals:

Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to 3 years subject to the availability of funds and satisfactory progress of the grantee.

Reports:

Annual progress report, (PHS 2590, OMB Number 0925-0001, <http://grants.nih.gov/grants/funding/2590/2590.htm>) is due no less than 90 days before the end of the budget period. The progress report will serve as the non-competing continuation application. Financial status report is due no more than 90 days after the end of the budget period. Final financial and performance reports due, no more than 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt for Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, these grants are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Grants) FY 07 \$26,839,324; FY 08 est \$27,000,000; and FY 09 est \$27,000,000.

Range and Average of Financial Assistance:

Range: \$35,000 / yr. to \$1.6 million / yr.

PROGRAM ACCOMPLISHMENTS:

FY 07: New funding for Translation Research (R18), Public Health Dissertation Research (R36), Mentored Research Scientist Development Awards (K01), Translation Worksite Health Promotion Research (R01); Continuation funding for Institutional Research Training Grants (T01), Centers of Excellence in Health Marketing and Health Communication (P01), and Centers of Excellence in Public Health Informatics (P01).

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 and Part 92 For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the

following Internet address: <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>.

Regional or Local Office:

None.

Headquarters Office:

For general questions about this program, contact: Dr. Juliana Cyril, Associate Director for Policy and Peer Review, CDC, Office of Public Health Research, 1600 Clifton Rd., MS D-72, Atlanta, GA 30333, Telephone: (404) 693-4639 or Mattie Jackson, Grants Management Specialist, CDC, Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2696.

Web Site Address:

<http://www.cdc.gov> or <http://www.cdc.gov/od/science/PHResearch>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications that are complete and responsive to the FOA will be evaluated for scientific and technical merit by an appropriate peer review group convened by the Office of Public Health Research, CDC in accordance with the review criteria. As part of the initial merit review, all applications may: Undergo a process in which only those applications deemed to have the highest scientific merit, generally the top half of the applications under review, will be discussed and assigned a priority score and receive a written critique.

93.063 CENTERS FOR GENOMICS AND PUBLIC HEALTH**FEDERAL AGENCY:**

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 301 of the Public Health Service Act, Public Law 78-410, 42 U.S.C. 241, as amended.

OBJECTIVES:

To provide technical assistance to community, State and regional organizations by responding to identified needs and requests for information assistance and training in genomics and population health for the health workforce, with a particular focus on enhancing knowledge and awareness of genomics applications among public health workers, and support the integration of genomics into population health research, policy and practice; provide competency based training, identify opportunities to serve as a credible and impartial provider of current information about genomics, participate in collaborative processes with other Centers, CDC and external partners and evaluate the achievements and impact of the Centers' activities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cooperative Agreement funds may not be used to support the provision of direct patient care, for facility or capital outlay, or to conduct research involving human subjects.

Applicant Eligibility:

Universities, colleges, and research institutions.

Beneficiary Eligibility:

Targeted State and community program capacity in genomics and population health will benefit.

Credentials/Documentation:

1)access to, and well-defined availability of, staff or collaborators with multidisciplinary expertise (epidemiology, public health practice, genomics, clinical genetics and medicine, policy, health communications and education); 2)demonstrated ability to develop educational and practice collaborations among public, private, and academic partners, with emphasis on public health and health science institutions, State health departments and other agencies, health care organizations and community groups; 3)experience relevant to the specific objectives and activities of the program, particularly direct involvement with public health practice, improving population health, and integrating genomics into practice; and 4) expertise in needs assessment and planning and delivering technical assistance and training to public health workers or other health professionals.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. When indicated in individual program announcements, applications are subject to review in accordance with the National Health Planning and Resources Development Act, Public Law 93-641, as amended. For the technical assistance component of this program, when indicated in individual program announcements, applications are eligible for coverage under E.O. 12372. This program is eligible for coverage under the

Public Health System Reporting Requirements. Under these requirements, all community-based nongovernmental applicants must report to the appropriate State and/or local health agency as determined by the applicant.

Application Procedure:

Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341.

Award Procedure:

Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

4:00 p.m. Eastern Time on the deadline date.

Range of Approval/Disapproval Time:

About 120 days from receipt of application.

Appeals:

None.

Renewals:

Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient as documented in required reports, and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

Matching funds are not required for this program.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from one to four years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System.

Reports:

Current budget period activities objectives, current budget period financial progress, new budget period program proposed activity objectives, budget, additional requested information, measure of effectiveness, financial status report by 90 days after the end of the budget and semi-annual progress report by March 15 of each funding year. Final financial and performance reports by 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, support documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of three years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution.

Account Identification:

75-0943-0-1-550.

Obligations:

FY 07 \$900,000; FY 08 \$700,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

\$900,000(Amount for the first 12-month period, including both direct and indirect costs.) Floor of Award Range: \$350,000, Ceiling of Award Range: \$900,000.

PROGRAM ACCOMPLISHMENTS:

The Centers for Disease Control and Prevention (CDC) is the Federal Agency responsible for disease and injury prevention. The agency also focuses on prevention of disability and secondary conditions. As the Nation's prevention agency CDC's vision for the 21st century is "Healthy People in a Healthy World" reflecting the agency's concern that people's health is important both nationally and internationally and that the environment is critical to health in the future. The National Office of Public Health Genomics in collaboration with the Association of Schools of Public Health funded cooperative agreements with three schools of Public Health to establish the first Centers for Genomics and Public Health.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 and Part 92. For information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.accessgpo.gov/nara/cfr-table-search.html>.

Regional or Local Office:

Technical Information Management Section, CDC Procurement and Grants

Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2700.

Headquarters Office:

Shirlene Elkins, National Office of Public Health Genomics, Office of the Director, Centers for Disease Control and Prevention, 2877 Brandywine Road, Mail Stop K-89, Atlanta, GA 30341; Telephone: (770) 488-8434. Grants Management Contact: Hector Buitrago, Grants Management Specialist, Procurement and Grants Office, Center for Disease Control and Prevention (CDC), Department of Health and Human Services, 2920 Brandywine Road, Mail Stop K-14, Atlanta, GA 30341. Telephone: (770) 488-2921.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Three schools of Public Health to establish the first Centers for Genomics and Public Health. The goal for the initial 3-year project period was to establish regional hubs of expertise in genomics and population health by coordination existing institutional programs and reaching out to engage public health programs, health care providers and community groups, and to create the foundation for a national network of resource centers that could develop the capacity needed to respond to future needs.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.064 LABORATORY TRAINING, EVALUATION, AND QUALITY ASSURANCE PROGRAMS

(Quality Assurance in Pathology and Laboratory Medicine)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 317(k)(2) of the Public Health Service Act, 42 U.S.C. 247b(k)(2), as amended.

OBJECTIVES:

To improve the quality of laboratory genetic testing practices relevant to clinical and public health settings and to determine standardized approaches to quality assurance in pathology and laboratory medicine that can be applied in multiple, diverse settings (e.g. community hospitals, academic medical centers, and independent laboratories) that demonstrate measurable and sustainable improvements over time.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Applications may be submitted by public and private nonprofit organizations and by governments and their agencies, such as: Public nonprofit organizations, private nonprofit organizations, universities, colleges, research institutions, hospitals, community and faith based organizations, State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau).

Beneficiary Eligibility:

Applicants must have experience in the administration and evaluation of standardized quality assurance programs in multiple, diverse laboratory sites (including community hospitals and academic medical centers). This experience is required for an applicant to be able to assess the effectiveness of these quality assurance programs and to determine best practices.

Credentials/Documentation:

Title 2 of the United States Code section 1611 states that an organization described in section 501(c)(4) of the Internal Revenue Code that engages in lobbying activities is not eligible to receive Federal funds constituting an award, grant, or loan. For all other nonprofit grantees, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. For-profit organizations' costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31.

Preapplication Coordination:

This program is excluded from coverage under Executive Order 12372.

Application Procedure:

To apply for this funding opportunity use application form PHS 5161. Application forms and instructions are available on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: Telephone: (770) 488-2700. Application forms can be mailed to you.

Award Procedure:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff, and for responsiveness by the PHPPO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified that their application did not meet submission requirements. An objective review panel will evaluate complete and responsive applications according to the criteria listed in the "V.1. Criteria" section above. In addition, the following factors may affect the funding decision: Preference may be given to organizations that routinely provide and/or utilize clinical or public health genetic testing laboratory services. Preference may also be given to organizations that have contributed to the development, or use of quality assurance programs for genetic testing, have engaged in research or assessment of new technologies and their implications for clinical and public health practice, have participated in activities relevant to the translation of research findings to clinical and public health applications, and/or participated in efforts to develop domestic and international genetic testing policies. Preferences may be given to organizations that have expertise in heritable human conditions of public health significance that can be applied to the efforts described in this program announcement in such a way that results will be broadly applicable to other areas of genetic testing. Lastly, preferences will be given to applications demonstrating collaboration among clinical and public health entities in developing and carrying out the work proposed. Entities can include clinical and public health academic departments, state and local public health organizations, professional organizations that focus on clinical and/or public health issues, and other such groups.

Deadlines:

None.

Range of Approval/Disapproval Time:

From two to three months.

Appeals:

None.

Renewals:

Throughout the three year project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12 month budget period, with project periods of up to three years.

Reports:

Interim progress report, no less than 90 days before the end of the budget period.

1) The progress report will serve as your non-competing continuation application, and must contain the following elements: current budget period activities objectives, current budget period financial progress, new Budget period program proposed activity objectives, budget, additional requested information, measures of effectiveness; 2) Financial status report, no more than 90 days after the end of the budget period and; 3) Final financial and performance reports, no more than 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single, or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal governments officials.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Grants) FY 07 \$1,405,000; FY 08 \$2,644,196; and FY 09 est \$2,400,000.

Range and Average of Financial Assistance:

¹\$100,000 to \$500,000; \$250,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; basic grant administration policies of DHHS and PHS are also applicable, 45 CFR 74 and 45 CFR 92; PHS Grants Policy Statement, DHHS

publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

For general questions about this announcement, contact: Technical Information Management Section, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: 770-488-2700. For program technical assistance, contact: Charlene Beach, Centers for Disease Control and Prevention, NCPDCID, DLS, 1600 Clifton Road, MS G25. Telephone: (404) 718-1039, Fax: (404) 718-1095, E-mail: CHO1@cdc.gov. For financial, grants management, or budget assistance, contact: Sharron Orum, Grants Management Specialist, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2716, E-mail: spo2@cdc.gov.

Headquarters Office:

Program POC: Charlene Beach, Telephone: (404) 718-1039; Email: CHO1@cdc.gov. Grants Management Officer contact is Sharron Orum. Telephone: (404) 488-2716, Grants Management Officer, Procurement and Grants Office, Centers for Disease Control and Prevention, Department of Health and Human Services, 2920 Brandywine Road, Suite 3000, Atlanta, GA 30341.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283, Centers for Disease Control and Prevention_ Investigations and Technical Assistance.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon best practices in quality assurance methods for addressing pre-analytic components of laboratory testing, including the test requisition, quality assurance methods for addressing post-analytic components of laboratory testing, including the test report, assessments of laboratory practices which gather specific information related to technology assessment. This requires the development of specific and measurable objectives and a sound operational plan that includes laboratory genetic testing practices relevant to clinical and public health settings and standardized approaches to quality assurance in pathology and laboratory medicine that can be applied in multiple, diverse settings, demonstrate measurable and sustainable improvements over time.

93.065 LABORATORY LEADERSHIP, WORKFORCE TRAINING AND MANAGEMENT DEVELOPMENT, IMPROVING PUBLIC HEALTH LABORATORY INFRASTRUCTURE

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 317(k)(3), 42 U.S.C. 247b (k)(3), as amended.

OBJECTIVES:

To promote and maintain a collaborative relationship with the Association of Public Health Laboratories (APHL) that includes the National Laboratory Training Network (NLTN) and the National Laboratory Partnership (NLP) for the purpose of improving public health laboratory infrastructure, maintaining a competent and trained laboratory workforce, promoting laboratory leadership activities to ensure future laboratory leaders, ensure laboratory preparedness for emerging infectious diseases or other biologic and chemical public health threats, promote technology transfer to ensure up-to-date technologies for the testing laboratory, and to enhance communication linkages between state and local public health laboratories, and the clinical laboratory testing community.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Improvement of public health laboratory infrastructure, state-of-the-art training for the nation's laboratorians to be prepared in dealing with public health threats, improving laboratory leadership capabilities, enhancing inter-laboratory communications. There are no restrictions.

Applicant Eligibility:

State governments, specifically State public health laboratories, particularly any organization representing state public health laboratories and having an established training network.

Beneficiary Eligibility:

This project represents the front line defense against health threats to the nation's public. The nation's public is the ultimate recipient of benefits from this program.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For all other nonprofit grantees, cost will be determined in accordance with HHS Regulations 45 CFR 74 Subpart Q. For-profit

organizations' costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

To apply for this funding opportunity use application form PHS 5161.

Application forms and instructions are available on www.grants.gov and/or the CDC web site, at the following Internet address:

www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: 770-488-2700. Application forms can be mailed to you.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Applications must be received in the Centers for Disease and Prevention Control (CDC) Procurement and Grants Office (PGO) by 4:00 p.m. Eastern Time on the deadline date.

Range of Approval/Disapproval Time:

From two to three months.

Appeals:

None.

Renewals:

Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee.

Reports:

(1) Interim progress report, no less than 90 days before the end of the budget period. The progress report will serve as your non-competing continuation application, and must contain the following elements: current budget period activities objectives, current budget period financial progress, new budget period program proposed activity Objectives, budget, additional requested information, and measures of effectiveness; (2) Financial status report and annual progress report, no more than 90 days after the end of the budget period; and (3) Final financial and performance reports, no more than 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial and personnel records including financial awards, financial status reports, interim reports, and final reports must be maintained for a period of seven years.

Account Identification:

75-0943-0-1-550.

Obligations:

FY 07 \$11,395,475; FY 08 \$12,000,000; and FY 09 est \$12,000,000.

Range and Average of Financial Assistance:

\$4,200,000 to \$4,800,000; \$4,700,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local, and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

None.

Headquarters Office:

Frank Lochner, Interim Project Officer, Telephone: (404) 498-1117, National Center for Health Marketing, E-mail: fel1@cdc.gov or Pamela Baker, Grants Management Specialist, Procurement and Grants, Telephone: (770) 488-2689. E-mail: fxz7@cdc.gov.

Web Site Address:

www.cdc.gov; or www.phppo.cdc.gov/dls.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Proposed program, Methods, Proposal Adequacy, Evaluation, Program Personnel and Budget (not scored).

93.066 STATE VITAL STATISTICS IMPROVEMENT PROGRAM

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 304 and 306.

OBJECTIVES:

The purpose of this Program is to improve the timeliness, quality and sustainability of the de-centralized vital statistics system by adopting nationally-developed, consensus standards and guidelines and by actively working with states to re-engineer their state-owned and operated systems using these standards/guidelines. Working collaboratively between State and Federal agencies, the goal is to facilitate the development and implementation of re-engineered systems in all 57 registration areas. These will be systems that can: (1) Provide quality and timely data for public health surveillance and medical research; (2) meet citizen needs for legal copies of their birth and death records, including providing paper copies of records to citizens for their immediate legal or personal use, and at citizens' request, transferring records electronically to local, State or Federal agencies to satisfy an agency's need for a record; meet Federal agencies' needs for record verification and authentication; (3) result in comparable vital registration/statistics systems in each state; 4) use national standards and guidelines, including the 2003 revision of the U.S. Standard Certificates of Birth and Death and the Report of Fetal Death, the ICD-10 classification system, the FIPS geographic coding scheme, and the standard HL-7 vital events messaging; (5) Support national security and privacy requirements; (6) Integrate or harmonize easily with other public health systems such as Public Health Information Network (PHIN), immunization registries, and newborn screening, and (7) use Internet technology.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Restrictions, which must be taken into account while writing your budget, are as follows: Approved project plan required prior to expenditure of funds; all travel will need to be identified in the approved project plan; and purchase of computer hardware and software will require prior approval before expenditure.

Applicant Eligibility:

An application may be submitted by public or private nonprofit organization whose primary mission is the support of state vital statistics and vital records programs.

Beneficiary Eligibility:

An application may be submitted by public or private nonprofit organization whose primary mission is the support of state vital statistics and vital records programs.

Credentials/Documentation:

Applicant are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the cooperative agreement. Measures must be objective and quantitative, and must measure the intended outcome. These measures of effectiveness must be submitted with the application and will be an element of evaluation.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

To apply for this funding opportunity use application form PHS 5161.

Application forms and instructions are available on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm.

Award Procedure:

Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

4:00 p.m. Eastern Time on the deadline date.

Range of Approval/Disapproval Time:

About 120 days from receipt of application.

Appeals:

None.

Renewals:

Throughout the project period, CDC's commitment to continuation of awards

will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient as documented in required reports, and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

Matching funds are not required for this program.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from one to three years.

Reports:

You must provide CDC with an original, plus two hard copies of the following reports: Mid-year progress report, no less than 30 days after the end of the sixth month. This progress report must contain the following elements: Current Budget Period Activities Objectives, current budget period accomplishments, and any other requested information. Interim year-end report, no less than 90 days before the end of the budget period. This report will serve as your non-competing continuation application, and must contain the following elements: Current Budget Period Activities Objectives, current Budget Period Financial Progress, New Budget Period Program Proposed Activity Objectives, budget, additional Requested Information, and measures of Effectiveness. Financial status report, no more than 90 days after the end of the budget period. Final financial and performance reports, no more than 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, support documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of three years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution.

Account Identification:

75-0943-0-1-550.

Obligations:

FY 07 \$171,500; FY 08 \$571,500; and FY 09 est \$500,000.

Range and Average of Financial Assistance:

Floor of award \$171,500 and ceiling of award \$571,500.

PROGRAM ACCOMPLISHMENTS:

The Centers for Disease Control and Prevention (CDC) is the Federal Agency responsible for disease and injury prevention. The agency also focuses on prevention of disability and secondary conditions. As the Nation's prevention agency CDC's vision for the 21st century is "Healthy People in a Healthy World" reflecting the agency's concern that people's health is important both nationally and internationally and that the environment is critical to health in the future.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 and Part 92. For information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.accessgpo.gov/nara/cfr-table-search.html>.

Regional or Local Office:

Technical Information Management Section, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2700.

Headquarters Office:

Delta Atkins, Project Officer, National Center for Health Statistics, Division of Vital Statistics, Room 7315, 3311 Toledo Road, Hyattsville, Maryland 20782, Telephone: 301-458-4467, E-mail: dxa9@cdc.gov. For financial, grants management, or budget assistance, contact: Larry Guess, Grants Management Specialist, Acquisition and Assistance Field Branch, CDC Procurement and Grants Office, P.O. Box 18070, Cochran Mill Road, Pittsburgh, PA 15236. Telephone: (412) 386-6826, E-mail: lfg6@cdc.gov.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283, Centers for Disease Control and Prevention Investigations and Technical Assistance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

(FEDERAL AGENCY)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Sections 307 and 317(k)(2), Public Health Service Act, 42 U.S.C. 242l and 247b(k)(2), as amended; United States Leadership Against HIV/AIDS, Tuberculosis and Malaria Act of 2003, Public Law 108-25, 22 U.S.C. 7601.

OBJECTIVES:

By 2010, work with other countries, international organizations, the U.S. Department of State, USAID and other partners to achieve the United Nations General Assembly Special Session on HIV/AIDS goal of reducing prevalence among 15 to 24 years of age.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Reimbursement of pre-award costs is not allowed. No funds shall be used to distribute sterile needles or syringes for the hypodermic use of any illegal drug. Funds may be spent for reasonable program purposes, including personnel, travel, operating costs including supplies, fuel for transportation, utilities, staff training costs including registration fees and purchase and rental of training related equipment, renovation of clinical or lab facilities; and purchase of HIV testing reagents, test kits and laboratory equipment for HIV testing and services. Equipment may be purchased if deemed necessary to accomplish program objectives; however, prior approval by CDC officials must be requested in writing. All requests for funds contained in the budget shall be stated in U.S. dollars. Once an award is made, CDC will not compensate foreign grantees for currency exchange fluctuations through the issuance of supplemental awards. The costs that are generally allowable in grants to domestic organizations are allowable to foreign institutions and international organizations, with the following exception: With the exception of the American University, Beirut, and the World Health Organization (WHO), Indirect Costs will not be paid (either directly or through sub-award) to organizations located outside the territorial limits of the United States or to international organizations regardless of their location. The applicant may contract with other organizations under this program; however the applicant must perform a substantial portion of the activities (including program management and operations, and delivery of prevention services for which funds are required). You must obtain an annual audit of these CDC funds (program-specific audit) by a U.S. - based audit firm with international branches and current licensure/authority in-country, and in accordance with International Accounting Standards or equivalent standard(s) approved in writing by CDC. A fiscal Recipient Capability Assessment may be required, prior to or post award, in order to review the applicant's business management and fiscal capabilities regarding the handling of U.S. Federal funds. Prostitution and Related Activities The U.S. Government is opposed to prostitution and related activities, which are inherently harmful and dehumanizing, and contribute to the phenomenon of trafficking in persons. Any entity that receives, directly or indirectly, U.S. Government funds in connection with this document (recipient) cannot use such U.S. Government funds to promote or advocate the legalization or practice of prostitution or sex trafficking. Nothing in the preceding sentence shall be construed to preclude the provision to individuals of palliative care, treatment, or post-exposure pharmaceutical prophylaxis, and necessary pharmaceuticals and commodities, including test kits, condoms, and, when proven effective, microbicides. A recipient that is otherwise eligible to receive funds in connection with this document to prevent, treat, or monitor HIV/AIDS shall not be required to endorse or utilize a multisectoral approach to combating HIV/AIDS, or to endorse, utilize, or participate in a prevention method or treatment program to which the recipient has a religious or moral objection. Any information provided by recipients about the use of condoms as part of projects or activities that are funded in connection with this document shall be medically accurate and shall include the public health benefits and failure rates of such use. In addition, any foreign recipient must have a policy explicitly opposing, in its activities outside the United States, prostitution and sex trafficking, except that this requirement shall not apply to the Global Fund to Fight AIDS, Tuberculosis and Malaria, the World Health Organization, the International AIDS Vaccine Initiative or to any United Nations agency, if such entity is a recipient of U.S. government funds in connection with this document.

Applicant Eligibility:

Competition is limited, or single eligibility by authorizing legislation.

Beneficiary Eligibility:

This is only for non-research and research activities supported by CDC/ATSDR. For the definition of research, please see the CDC web site at the following Internet address: <http://www.cdc.gov/od/ads/opspoll1.htm>.

Credentials/Documentation:

Your narrative should address activities to be conducted over the entire project period, and must include the following items in the order listed: 1) Organizational Experience; 2) Goals and Objectives; 3) Plan of Action; 4) Management plan, staffing, and infrastructure; and 5) Budget.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

To apply for this funding opportunity use application form PHS 5161.

Application forms and instructions are available on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at; Telephone: (770) 488-2700.

Application forms can be mailed to you. Submit the original and two hard copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Applications may not be submitted electronically at this time.

Award Procedure:

Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Applications must be received in the CDC Procurement and Grants Office by 5:00 p.m. Eastern Time on the deadline date.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

Renewals are made by competitive applications and Reviews.

Formula and Matching Requirements:

Matching funds are not required for this program.

Length and Time Phasing of Assistance:

From one to five years. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government.

Reports:

You must provide CDC with an original, plus two hard copies of the following reports: 1) Interim progress report, due not less than 90 days before the end of the budget period. The progress report will serve as your non-competing continuation application, and must contain the following elements: a. Current Budget Period Activities Objectives; b. Current Budget Period Financial Progress; c. New Budget Period Program Proposed Activity Objectives; d. Budget; e. Measures of Effectiveness; and f. Additional Requested Information. 2) Annual progress report, due 30 days after the end of the budget period. This report should detail all activities proposed for that budget period and their current status. Explanation for any activities not completed within the budget period should be included. 3) Financial status report, no more than 90 days after the end of the budget period. 4) Final financial and performance reports, no more than 90 days after the end of the project period.

Audits:

Foreign recipients are subject to audit requirements specified in 45 CFR 74.26(d). A non-Federal audit is required, if during the recipients fiscal year, the recipient expended a total of \$500,000.00 or more under one or more HHS awards (as a direct recipient and/or as a subrecipient). The recipient either may have (1) A financial related audit (as defined in the Government Auditing Standards, GPO stock #020-000-00-265-4) of a particular award in accordance with Government Auditing Standards, in those case where the recipient receives awards under only one HHS program; or, if awards are received under multiple HHS programs, a financial related audit of all HHS awards in accordance with Government Auditing Standards; or (2) An audit that meets the requirements contained in OMB Circular A-133. Please forward a copy of the completed audit to the following address: Procurement and Grants Office, Centers for Disease Control and Prevention, Attn: Grants Management Officer, 2920 Brandywine Road, MS-K75, Atlanta, GA 30341. If your audit was completed in accordance with number two (2) above, in addition to the address listed above, you are required to send a copy of the audit report to: Department of Health & Human Services, National External Audit Review Center Lucas Place, Room 514, 323 West 8th Street, Kansas City, MO 64105.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Grants) FY 07 \$499,757,780; FY 08 est \$706,323,427; and FY 09 est \$1,124,382,010.

Range and Average of Financial Assistance:

\$75,000 to \$5,000,000.

PROGRAM ACCOMPLISHMENTS:

To date the President's Emergency Plan for Aids Relief has provided treatment to 1,358,500 people which equates to 3,192,439 years of life gained. In addition, 6,637,600 people are receiving care worldwide.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 and Part 92 For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>.

Regional or Local Office:

Sherry Smallwood, Branch Chief, International Branch, (770)488-2632, 2920 Brandywine Road, Atlanta, GA 30341.

Headquarters Office:

Not applicable.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283, Centers for Disease Control and Prevention_ Investigations and Technical Assistance.

EXAMPLES OF FUNDED PROJECTS:

GAP has almost 500 funded projects primarily supporting Prevention, Care, and Treatment of HIV/AIDS internationally.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff, and for responsiveness by the National Center for HIV, STD and TB Prevention (NCHSTP). Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified that their application did not meet submission requirements. An objective review panel will evaluate complete and responsive applications.

93.068 CHRONIC DISEASES: RESEARCH, CONTROL, AND PREVENTION

(Research, Control, and Prevention)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 317(k)(2) of the Public Health Service Act (PHS Act), 42 U.S.C. 247b(k)(2); Section 301(a) of the PHS Act, 42 U.S.C. 241(a); Section 307(a) and (b) of the PHS Act, 42 U.S.C. 242l(a) and (b).

OBJECTIVES:

To assist State and local health agencies, health related organizations, and other public and private organizations in their efforts to prevent and control chronic diseases and disorders through research, development, capacity building, and intervention. To utilize research data to improve detection, diagnosis, treatment, and care of chronic diseases and their complications. To generate new knowledge related to chronic diseases that will improve health and quality of life and eliminate health disparities among segments of the population.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used for costs associated with conducting research and surveillance of chronic diseases and their complications. Funds may also be used for program planning and development, to support capacity building, and for evaluation of chronic disease prevention and control programs. CDC will have substantial involvement with the award recipient during performance of the funded activity.

Applicant Eligibility:

Applications may be submitted by State or local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). Eligible applicants also include public and private nonprofit organizations, for profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Foreign institutions may be eligible for some specific programs.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and

provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q.

Preapplication Coordination:

Not required. Some specific programs may be eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants. This program is subject to the provisions of OMB Circular No. A-110 for nonprofit organization.

Award Procedure:

After review and approval of an application, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the Headquarters Office listed below for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

None.

Renewals:

Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. Although there are no matching requirements, applicants must assume part of the project cost and fiscal information must be provided in the narrative portion of the applications pursuant to provisions of Section 317 of the Public Health Service Act.

Length and Time Phasing of Assistance:

None.

Reports:

Annual or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 07 \$3,087,105; FY 08 est \$4,400,000; and FY 09 est \$4,000,000.

Range and Average of Financial Assistance:

\$100,000 to \$1,500,000; \$500,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94- 50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Extramural Research Program Office, Coordinating Center for Health Promotion (CoCHP), Centers for Disease Control and Prevention (CDC), Department of Health and Human Services (DHHS), Brenda Colley Gilbert, 4770 Buford Highway NE, Mailstop K-92, Atlanta, GA 30341. Telephone: (770) 488-8390. Grants Management Contact: Hector Buitrago, Grants

Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2921.

Web Site Address:

<http://www.cdc.gov/>

RELATED PROGRAMS:

93.283, Centers for Disease Control and Prevention Investigations and Technical Assistance; 93.945, Assistance Programs for Chronic Disease Prevention and Control.

EXAMPLES OF FUNDED PROJECTS:

Assessment of Proposed Revisions to the Youth Tobacco Survey: Impact on Measures of Youth Tobacco Use; Vision Health: Developing an Integrative Approach for Promotion and Protection; Genomic Applications in Practice and Prevention (GAPP); Translation Research.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) Agreements between all agencies providing professional and/or supportive care, either voluntarily or for fees; (2) a description of the approach that will be used to provide prevention case management services; and (3) a description of how services will complement/expand existing agency primary and secondary services and specific criteria published in program guidance. All applications will be peer reviewed.

93.069 PUBLIC HEALTH EMERGENCY PREPAREDNESS

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION (CDC)
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act Sections 301, 307, 311, 317, and 319, Public Law 108-111, Public Law 109-417, U.S.C. 247d-3.

OBJECTIVES:

To develop emergency-ready public health departments by upgrading, integrating and evaluating State and local public health jurisdictions preparedness for and response to terrorism, pandemic influenza, and other public health emergencies with Federal, State, local, and tribal governments, the private sector, and non-governmental organizations (NGOs). These emergency preparedness and response efforts are intended to support the National Response Plan (NRP) and the National Incident Management System (NIMS).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The distribution of funds will be to the health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the U.S. Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Applicant Eligibility:

State health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the U.S. Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Beneficiary Eligibility:

All State and Local Health Departments.

Credentials/Documentation:

Applicants should review the individual CDC Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

CDC provides an Internet-based system for submitting applications, including narrative and budget, electronically. This system will also enable applicants to complete most required forms electronically, which can then be signed and uploaded into the system. Applicants are required to use this system in lieu of paper-based applications. Under separate cover, CDC will provide detailed instructions on obtaining a digital certificate to access the CDC web portal <https://sdn.cdc.gov> and use the electronic application system. This program is subject to the provisions of 45 CFR Parts 74 and 92, as appropriate.

Award Procedure:

After review and approval of an application, a notice of award is made in writing and issued by the Grants Management Officer, Procurement and Grants Office, CDC. Also, appropriate notification is made to the public.

Deadlines:

Contact Headquarters Office listed below for application deadlines.

Range of Approval/Disapproval Time:

From 2 to 3 months.

Appeals:

None.

Renewals:

Project periods are for 5 years with 12-month budget periods. Applications must be submitted in the same manner as a new application.

Formula and Matching Requirements:

Formula and Matching Requirements This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Awards are made annually and assistance is available for a 12-month budget period. There are five separate budget periods within the 5-year project period. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System.

Reports:

Semiannual and year end progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of three years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 07 \$897,166,530; FY 08 \$704,867,418; and FY 09 est \$694,096,980.

Range and Average of Financial Assistance:

FY 07 \$471,804 to \$65,303,030.

PROGRAM ACCOMPLISHMENTS:

Improved Preparedness at State and Local Levels: Over \$4.9 billion in PHEP cooperative agreement funding has been awarded to state and local agencies since 2002 with a Grantee base of 62 grantees, including 50 states, 8 territories, District of Columbia, Chicago, Los Angeles County, and New York City. All states have bioterrorism response plans in place (few states had such planning in 2001). All states have pandemic influenza plans as well as plans in place for receiving and distributing assets from the Strategic National Stockpile and are exercising those plans. Ninety-six percent of states have a crisis and emergency risk communication plan. Ninety-eight percent of states have individuals assigned to receive and evaluate urgent disease reports 24 hours, 7 days a week, 365 days a year. All states have protocols in place to activate the public health emergency response system 24 hours, 7 days a week, 365 days a year. Increased Laboratory Capacity: \$492 million annually since 2002 has been utilized by states to bolster Epidemiology and Surveillance, Laboratory Capacity and Communication -key functions of public health response. There are more than 150 Laboratory Response Network (LRNs) with at least one in every state (up from 91 labs in 2001). More than \$75 million has been awarded to state and local health departments to increase chemical lab capacity. Comprehensive Approach: The program has migrated cooperative agreement guidance from a focus area approach to a comprehensive all hazards approach that infuses performance and accountability within the framework of CDC preparedness goals and the National Response Plan (NRP).

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Susan True, Branch Chief, Program Services Branch, Division of State and Local Readiness, Coordinating Office of Terrorism Preparedness and Emergency Response, Centers for Disease Control and

Prevention, Department of Health and Human Services, 1600 Clifton Road, N.E., Mailstop D29, Atlanta, GA 30333. Telephone: (404) 639-5998. Grants Management Contact: Nealean Austin, Grants Management Officer, Branch VI, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2771.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

For FY 08, the emphasis of this project will be to upgrade State and local public health jurisdiction's preparedness and response to bioterrorism, outbreaks of infectious diseases, and other public health threats and emergencies. Enacted in December 2006, The Pandemic and All-Hazards Preparedness Act (PAHPA, Public Law 109-417 42 U.S.C. 247d-3a) requires project activities to meet the following goals: (1) Integrating public health and public and private medical capabilities with other first responder systems; (2) Developing and sustaining essential State, local, and tribal public health security capabilities, including disease situational awareness, disease containment, risk communication and public preparedness, and the rapid distribution and administration of medical countermeasures; (3) Addressing the public health and medical needs of at-risk individuals in the event of a public health emergency; (4) Minimizing duplication and assuring coordination among State, local, and tribal planning, preparedness, and response activities (including Emergency Management Assistance Compact). Such planning shall be consistent with the National Response Framework or any successor plan, the National Incident Management System, and the National Preparedness Goal; (5) Maintaining vital public health and medical services to allow for optimal federal, State, local, and tribal operations in the event of a public health emergency; and (6) Developing and testing an effective plan for responding to pandemic influenza.

CRITERIA FOR SELECTING PROPOSALS:

The applicants must submit their application in accordance with appropriate program guidance. Applications will be reviewed for completeness by the Procurement and Grants Office staff and for technical acceptability by the Coordinating Office of Terrorism Preparedness and Emergency Response and CDC subject matter experts. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified that their application did not meet submission requirements.

93.071 MEDICARE ENROLLMENT ASSISTANCE PROGRAM

Medicare Enrollment Assistance Program

FEDERAL AGENCY:

ADMINISTRATION ON AGING, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Medicare Improvements for Patients and Providers Act of 2008, Public Law 110-275.

OBJECTIVES:

To provide outreach to eligible Medicare beneficiaries regarding the benefits available under title XVIII of the Social Security Act, including the Medicare prescription drug benefit under Part D of title XVIII of the Social Security Act and under the Medicare Savings Program, and to coordinate efforts to inform older Americans about benefits available under Federal and state programs.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

Funds may not be used for: (a) construction and/or major rehabilitation of buildings; (b) basic research (e.g., bio-medical experiments); (c) continuation and/or expansion of existing services; and (d) medical care, institutional care or income maintenance. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular A-21 for Educational Institutions, and OMB Circular A-122 for Non-Profit Organizations.

Applicant Eligibility:

Formula grants: State governments and U.S. Territories, with distribution to designated area agencies on aging and Indian Tribal Organizations through an approved State plan. Project grants: Grants may be made to any public or nonprofit private agency, organization, or institution.

Beneficiary Eligibility:

Individuals eligible for Medicare benefits, including Part D drug benefits, and older persons eligible for benefits and services provided under Federal and state programs.

Credentials/Documentation:

Formula Grants - AoA will utilize appropriate census and Medicare coverage data to identify statutory target populations. Project Grants -- Nonprofit organizations must submit proof of nonprofit status. Applicable costs and

administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Formula Grants - Grant applications are in accordance with program announcements developed by the Administration on Aging (AoA) and will be posted on www.grants.gov. Project Grants - The availability of competitive grant funds is announced via program announcement that is simultaneously published on www.grants.gov and on the AoA web site at www.aoa.gov/doingbus/fundopp/fundopp.asp.

Award Procedure:

Formula Grants - The Administration on Aging awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to Indian Tribal Organizations, Area Agencies on Aging and Aging and Disability Resource Centers. Project Grants - Notification of award will be issued to the grantee.

Deadlines:

As specified in each program announcement posted on www.grants.gov and www.aoa.gov/doingbus/fundopp/fundopp.asp.

Range of Approval/Disapproval Time:

From 60 to 90 days from receipt of application.

Appeals:

None.

Renewals:

Formula Grants - State plans are submitted for one-year period, with annual revisions. Project Grants - Grant extensions are available and may be requested.

Formula and Matching Requirements:

There is no matching requirement.

Length and Time Phasing of Assistance:

Formula Grants - One year. Project Grants - One to Five Years.

Reports:

Financial status reports and program progress reports are required semi-annually for formula and project grants, including a final report at the end of each grant year.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards is exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records must be kept available for 3 years after submission of expenditure reports in accordance with the regulations at 45 CFR Parts 74 and 92.

Account Identification:

75-0142-0-1-506.

Obligations:

FY 07 \$0; FY 08 \$0; and FY 09 est \$17,500,000.

Range and Average of Financial Assistance:

Formula Grants - \$50,000 to \$600,000; \$250,000. Project Grants - \$5,000,000 to \$5,000,000; \$5,000,000.

PROGRAM ACCOMPLISHMENTS:

The Medicare Enrollment Assistance Program is a new program in Fiscal Year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Formula Grants - 45 CFR 92. Project Grants - 45 CFR 74, HHS Grants Policy Statement, located at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>.

Regional or Local Office:

Regional Administrator, Administration on Aging, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog of Federal Domestic Assistance for addresses.)

Headquarters Office:

Mimi Toomey, Administration on Aging; Department of Health and Human Services, Washington, DC 20201; Telephone: (202) 357-0141. E-mail: Mimi.Toomey@aoa.hhs.gov.

Web Site Address:

<http://www.aoa.gov>. For information on current funding opportunities and associated application forms, visit: <http://www.grants.gov>.

RELATED PROGRAMS:

93.770, Medicare Prescription Drug Coverage.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made on a non-competitive basis. State Grants are awarded upon approval of a State Plan.

93.086 HEALTHY MARRIAGE PROMOTION AND RESPONSIBLE FATHERHOOD GRANTS

(Healthy Marriage Promotion and Responsible Fatherhood Grants)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Title IV, Part A, Section 403(a)(2) as included in the Deficit Reduction Act of 2005 (DRA), Public Law 109-171.

OBJECTIVES:

Included four objectives: (1) To fund Healthy Marriage Promotion activities that will help couples, who have chosen marriage for themselves, gain greater access to marriage education services on a voluntary basis. These services will help couples acquire the skills and knowledge necessary to form and sustain a healthy marriage; (2) To fund Responsible Fatherhood promotion activities specifically designed to promote responsible fatherhood to reverse the rise in father absence and its subsequent impact on our nation's children. ACF will award these funds on a competitive basis to States, territories, Indian tribes and tribal organizations, and public and nonprofit community entities, including faith-based organizations; (3) To award competitive based demonstration projects designed to test the effectiveness of tribal governments or tribal consortia in coordinating the provision to tribal families at risk of child abuse or neglect of child welfare services and services under tribal programs funded under this part; and, (4) To provide technical assistance by the Federal government to States, Indian tribes and tribal organizations, receiving a grant for any program funded under this part.

TYPES OF ASSISTANCE:

Project Grants; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

The Secretary may not award funds on a noncompetitive basis, and may not provide any such funds to an entity for the purpose of carrying out healthy marriage promotion activities or for the purpose of carrying out activities promoting responsible fatherhood unless the entity has submitted to the Secretary an application which describes how the programs or activities proposed in the application will address, as appropriate, issues of domestic violence; and what the applicant will do, to the extent relevant, to ensure that participation in the programs or activities is voluntary, and to inform potential participants that their participation is voluntary; and contains a commitment by the entity to not use the funds for any other purpose; and to consult with experts in domestic violence or relevant community domestic violence coalitions in developing the programs and activities.

Applicant Eligibility:

The Healthy Marriage and Responsible Fatherhood grants were awarded one time for a five-year (5) project period. The awards were made final in September 2006 and will run for five consecutive years up through September 2011. There are no subsequent competitive awards for this program. Eligible organizations for Healthy Marriage Grants in general were public and private entities. This included, but was not limited to: States; County governments; City or township governments; Special district governments; State controlled institutions of higher education; Native American tribes and tribal organizations; Nonprofit organizations; Private organizations of higher education; For-profit organizations; and faith-based and community organizations. Application Eligibility for Responsible Fatherhood Grants included: States; territories; Indian tribes and tribal organizations; and public and non-profit community entities, including Faith-based organizations. Application Eligibility for Tribal TANF Child Welfare TA Grants includes Native American Tribal governments.

Beneficiary Eligibility:

Families, couples, individuals in need of assistance with marriage enhancement and relationship education, parenting education, economic stability services. In general these funds will assist in the efforts to enhance and expand the ability of States, Native American governments, local governments, for-profit organizations, non-profit community organizations and other public entities to provide family formation services to those in need.

Credentials/Documentation:

Any nonprofit agency was required to provide proof of its nonprofit status through one of the following: (a) A reference to the applicant organization's listing in the Internal revenue Service's (IRS) most recent list of tax-exempt organizations described in the IRS code. (b) A copy of a currently valid IRS tax exemption certificate (c) A statement from a State taxing body, State Attorney General, or other appropriate State official certifying that the applicant organization has a nonprofit status and that none of the net earnings accrue to any private shareholders or individuals. (d) A certified copy of the organization's certificate of incorporation or similar document that clearly establishes nonprofit status. (e) Any of the items in the subparagraphs immediately above for a State or national parent organization and a statement signed by the parent organization that the applicant organization if it's local nonprofit affiliate.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. The applicants were advised that they should consult the office or official designated as the single point of contact in his or her State for more information on the process the State required to be followed in applying for assistance, if the State has selected the program for review. In addition, the legislation requires that applicants consult with experts in domestic violence or relevant community domestic violence coalitions in developing their programs and activities. Therefore, applicants were required to engage in this process before the official application is submitted.

Application Procedure:

Applicants needed to complete all the standard forms required for making application for awards under this announcement. Applicants that requested financial assistance submitted Standard Forms 424, 424A, 424B and the associated certifications and assurances. Specific instructions were published in the official program announcement.

Award Procedure:

Applications received by the due date were reviewed and scored competitively. Experts in the field, generally persons from outside the Federal government, used the evaluation criteria listed in Part V of the Program Announcement to review and score the applications. The results of the review were a primary factor in making funding decisions. ACF may also solicit comments from Regional Office staff and other Federal agencies. ACF may consider a variety of factors in addition to the review criteria identified above, including geographic diversity/coverage and types of applicant organizations, in order to ensure that the interests of the Federal Government are met in making the final selections. The successful applicants were notified through issuance of a Financial Assistance Award document which set forth the amount of funds granted, the terms and conditions of the grant, the budget period for which initial support will be given, the nonfederal share to be provided, and the total project period for which support is contemplated.

Deadlines:

The Healthy Marriage and Responsible Fatherhood grant deadlines have closed. All awards for these programs were made in September 2006. The grants were one time for a five-year (5) period through September 2011. No other applications are being accepted and no future funding is anticipated.

Range of Approval/Disapproval Time:

Range of Approval/Disapproval Time: All grants were approved/disapproved within the 60-90 day period.

Appeals:

None.

Renewals:

Awards were made for five-year project periods, funding for years 2 thru 5 are not competitive and will depend upon satisfactory performance and availability of funds.

Formula and Matching Requirements:

Cost sharing or matching requirements were provided in the official program announcement.

Length and Time Phasing of Assistance:

The grants were awarded for project periods of up to five years. Awards, however, were made on a competitive basis, for a one-year budget period. Applications for continuation grants funded under these awards beyond the one-year budget period but within the five-year project period will be entertained in subsequent years on a noncompetitive basis, subject to availability of funds, satisfactory progress of the grantee and a determination that continued funding would be in the best interest of the government.

Reports:

Grant recipients are required to submit semi-annual program reports; grantees are also required to submit semi-annual expenditure reports using the required financial standard form (SF-269) which is located on the Internet under the "Forms" section at: <http://www.acf.hhs.gov/grants/index.html>. A suggested format for the program report is sent to all grantees after the awards are made.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92. These provisions are also outlined in OMB Circular No. A-133 (Revised, June 24, 1997), Audits of States, Local Governments, and Nonprofit Organizations, non-federal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from the Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1552-1-0-506.

Obligations:

FY 07 \$150,000,000; FY 08 \$150,000,000; and FY 09 est \$150,000,000.

Range and Average of Financial Assistance:

Healthy Marriage Grants range from \$250,000 to \$3,250,000 depending on the scope of the project. Average award: \$1,000,000. Responsible Fatherhood

Grants will range from \$200,000 to \$1,000,000 depending on the scope of the project. Average award: \$700,000. Tribal TANF Child Welfare Grants will range from \$25,000 to \$100,000. Average Award: \$140,000.

PROGRAM ACCOMPLISHMENTS:

In September 2006, ACF's Office of Family Assistance (OFA) awarded 226 grants from the Deficit Reduction Act of 2005 (DRA) Healthy Marriage and Promoting Responsible Fatherhood. One hundred twenty six (126) grants were for Healthy Marriage and 100 grants for Promoting Responsible Fatherhood. OFA received a total of 1,653 applications. Healthy Marriage applications totaled 828, and 825 for Responsible Fatherhood. Healthy Marriage and Responsible Fatherhood grants were distributed across 47 States and 1 Territory.

Grantees are currently in the implementation phase of their projects. This has resulted in a marked increase in the provision of healthy marriage and fatherhood promotional activities, marriage and parenting education, relationship and economic skills development, and training across the country.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Office of Family Assistance (OFA), Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, 5th Floor East, Washington, DC 20447. Contact: Robin McDonald; Telephone: (202) 401-5587 (office); Fax: (202) 205-5887.

Web Site Address:

www.acf.hhs.gov/healthymarriage/index.html.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Healthy Marriage Promotion grants provide married and unmarried individuals with skilled base marriage education training. Promotion Responsible Fatherhood grants provides marriage, parenting and economic stability training. The majority of the grant amount provides services to low-income families.

CRITERIA FOR SELECTING PROPOSALS:

The criteria used for selecting successful proposals were as follows: approach, objectives and need for assistance, organizational profiles, evaluation and budget and budget justification. The needs of target populations to be served and the needs of communities to be served are factors for evaluating the objectives and need for assistance of the applicant. The staff and position data and past experience were factors used to evaluate the organizational profile of the applicant. Applicants were evaluated under the budget and budget justification criteria on the extent to which they include a budget that is clear, easy to understand, and provided a detailed justification for the amount requested. Under the approach criterion, applicants were evaluated on the extent to which the specific goals and activities of the proposed project were highly innovative, reasonable, quantified, and directly support the authorized activities outlined in the legislation. The official evaluation criteria were published as a part of the program announcement, which was posted at www.grants.gov and www.acf.hhs.gov/healthymarriage/index.html. Applications for discretionary grants were subject to a competitive peer review process leading to recommendations for approval or disapproval.

93.087 ENHANCE THE SAFETY OF CHILDREN AFFECTED BY PARENTAL METHAMPHETAMINE OR OTHER SUBSTANCE ABUSE

(Targeted Grants to Increase the Well-Being of, to Improve the Permanency Outcomes for, and Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse)-

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Child and Family Services Improvement Act of 2006, Public Law 109-288.

OBJECTIVES:

To provide, through interagency collaboration and integration of programs activities and services that are designed to increase the well-being of, improve permanency outcomes for, and enhance the safety of children who are in an out-of-home placement or are at risk of being placed in an out-of-home placement as a result of a parent's or caretaker's methamphetamine or other substance abuse.

TYPES OF ASSISTANCE:

Project Grants (Contracts).

USES AND USE RESTRICTIONS:

Grants are provided for services or activities that are consistent with the purpose of section 437(f) of Title IV-B of the Social Security Act and may include the following: (1) family-based comprehensive long-term substance abuse treatment services; (2) early intervention and preventative services; (3) children and family counseling; (4) mental health services; (5) parenting skills training; (6) replication of successful models for providing family-based comprehensive long-

term substance abuse treatment services. Other suggested activities and services are listed in the program announcement.

Applicant Eligibility:

State governments, County governments, Local Governments, City or township governments, Regional Organizations, U.S. Territory or Possession, Independent school districts, Public and State-controlled institutions of higher education, Indian/Native American Tribal governments (Federally recognized), Indian/Native American Tribal organizations (other than Federally recognized), Indian/Native American Tribally Designated Organizations, Public/Indian Housing Authorities, Non-profits with 501(c)(3) IRS status (other than institutions of higher education), Non-profits without 501(c)(3) IRS status (other than institutions of higher education), Private institutions of higher education, For-profit organizations (other than small businesses), Small businesses, Hispanic-Serving Institutions, Historically Black Colleges and Universities (HBCUs), Tribally Controlled Colleges and Universities (TCCUs), Alaska Native and Native Hawaiian Serving Institutions, and Special district governments. Foreign entities are not eligible under this announcement. Applications must represent regional partnerships formed by a collaborative agreement. Applications must identify a primary applicant responsible for administering the grant. The primary applicant must be one of the regional partnership organizations listed below. The primary applicant cannot be an individual. The regional partnership must include either the State child welfare agency that is responsible for the administration of the State plan under title IV-B or title IV-E of the Social Security Act or an Indian tribe or tribal consortium but the regional partnership may not consist of only State entities.

Beneficiary Eligibility:

Agencies or organizations serving children and families who have experienced or are at risk of experiencing an out of home placement as a result of a parent's or caregivers methamphetamine or other substance abuse.

Credentials/Documentation:

Non-profit agencies must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

Standard application forms as furnished by DHHS must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Announcement of availability of funds is posted to grants.gov. Eligible applicants submit applications by specified deadlines.

Award Procedure:

Grant applications are reviewed by a panel of non-federal professionals with expertise in the fields of child welfare and substance abuse treatment. Final decisions are made by the Commissioner, Administration for Children, Youth and Families (ACYF).

Deadlines:

This program was announced and grants awarded in FY 2007 for 3 and 5 years. There is no additional announcement anticipated for this program in FY 2008 or FY 2009.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Projects are awarded with a provision for non-competitive renewal during the selected award period (3 or 5 years).

Formula and Matching Requirements:

The required match must increase over the course of the grant period, such that grantees must contribute 15 percent of the costs of grant-funded services and activities in FY 2007 and FY 2008, 20 percent of such costs in FY 2009 and FY 2010, and 25 percent of such costs in FY 2011.

Length and Time Phasing of Assistance:

Grants were available for a 36- or 60-month period. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds.

Reports:

Financial and program reports are required semiannually with a final report at completion of the project. In addition, grantees must select and track performance indicators that measure the extent to which grant-funded activities and services enhance the safety, permanency, and well-being of children and their families.

Audits:

Audits are conducted in accordance with requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1512-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$40,000,000; FY 08 \$35,000,000; and FY 09 \$30,000,000. (Note: The funds in this program are also available for contracts. The amounts for contracts cannot be predetermined.)

Range and Average of Financial Assistance:

\$500,000 to \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1340.

Regional or Local Office:

Not applicable.

Headquarters Office:

Headquarters Office: Catherine Luby, Federal Project Office, Office on Child Abuse and Neglect, Children's Bureau, 1250 Maryland Avenue, S.W., Washington, DC 20024, 8th Floor. Telephone: (202) 205-8879. FTS is not available.

Web Site Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.600, Head Start; 93.643, Children's Justice Grants to States; 93.648, Child Welfare Services Training Grants; 93.669, Child Abuse and Neglect State Grants; 93.645, Child Welfare Services State Grants.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated on the basis of the degree to which proposals meet specific objectives defined in the program announcement including, but not limited to: (1) demonstration of regional impact of methamphetamine or other substance abuse; (2) organizational capacity to collaborate with other service providers; and (3) relevance of proposed services and activities in enhancing the well-being of children in foster care or at risk of foster care due to a parent's or caretaker's substance abuse.

93.088 ADVANCING SYSTEM IMPROVEMENTS TO SUPPORT TARGETS FOR HEALTHY PEOPLE 2010

(ASIST2010)

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES, OFFICE ON WOMEN'S HEALTH

AUTHORIZATION:

This program is authorized by 42 U.S.C. 300u-2(a).

OBJECTIVES:

To provide three years of additional support to existing public health systems/collaborative partnerships to enable them to add a gender focus to HP 2010 objectives that track the health status of women and/or men, to help improve gender outcomes in the targeted population and/or geographic area. To improve surveillance/information systems that allow tracking of program progress on HP 2010 objectives at the grantee level. To develop and implement a plan to sustain the program after OWH funding ends. To use a public health systems approach to improve performance on two or more HP 2010 objectives that target women and/or men in Focus Areas 1, Access to Quality Health Services, 3-Cancer, 5-Diabetes, 7-Educational and Community-Based Programs, and 12-Heart Disease and Stroke.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used to implement evidence-based strategies to achieve the applicants and the Office on Women's Health (OWH), OWH's program objectives. Funds may not be used for construction, building alterations, equipment, printing, food, and medical treatment.

Applicant Eligibility:

Eligible applicants are public and private organizations (public and private academic institutions and hospitals); community-based and faith-based organizations, and medical groups/practices with existing funding lasting through September 30, 2010, that will help support the proposed ASIST 2010 activities; State, county, and local health departments, and tribes and tribal organizations with expertise/experience in the proposed objectives. The applicant must be part of an existing public health system/collaborative partnership. At least a third of the partners should have demonstrated experience addressing gender differences through appropriate interventions, programs, or research related to the selected objectives.

Beneficiary Eligibility:

Women and men across the life span.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The application kit to be made available under this notice will contain a listing of States that have chosen to set up a review system and will include a State Single Point of Contact (SPOC) in the State for review. Applicants (other than federally recognized Indian tribes) should contact their SPOCs as early as possible to alert them to the prospective applications and receive any necessary instructions on the State process. For proposed projects serving more than one State, the applicant is advised to contact the SPOC of each affected State. The due date for State process recommendations is 60 days after the application deadline. The Office on Women's Health does not guarantee that it will accommodate or explain its responses to State process recommendations received after that date.

Application Procedure:

Application kits may be obtained by accessing Grants.gov at <http://www.grants.gov> or the eGrants system at <http://www.GrantSolutions.gov>. To obtain a hard copy of the Application Kit, contact WilDon Solutions at 1(888) 203-6161. Applicants may fax a written request to WilDon Solutions at (240) 453-8823 or email the request to OPHSgrantinfo@teamwildon.com. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS eGrants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS eGrants Web site, <https://egrants.osophs.dhhs.gov>.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be made by the OWH and will take into consideration the recommendations and ratings of the review panel; recommendations made based on the pre-award site visit; program needs, stated preferences; and, geographic location.

Deadlines:

No later than 5:00 p.m. Eastern time 90 calendar days after publication in the Federal Register (FR). The application due date in the FR announcement supersedes the instructions in the Form OPHS-1.

Range of Approval/Disapproval Time:

Approximately 90 days from the application deadline due date.

Appeals:

None.

Renewals:

Project period is for 3 year.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project period is not to exceed 3 years.

Reports:

The applicant will submit quarterly progress report on December 10, March 10, June 10, and August 10 of each year and a Financial Status Report 90 days after the close of each 12-month budget period. The June 10 report will serve as the non-competing renewal application. An original and two copies of the non-competing renewal application must be submitted no later than June 10. The final program report is due 30 days after the close of the project period (August 31, 2010). The purpose of the progress reports is to provide accurate and timely program information to program managers and to respond to Congressional, Departmental, and public requests for information about the program.

Audits:

In accordance with: the provisions of OMB Circular No. A-133 (Revised, June 30, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted

in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

FY 08 \$4,000,000; FY 09 \$4,000,000; and FY 10 \$4,000,000.

Range and Average of Financial Assistance:

The OWH anticipates making 3-year award between \$150,000 - \$500,000 total cost (direct and indirect) starting September 1, 2007, and ending August 31, 2007. The number of awards made is contingent on the quality and type of applications received, the amount of funds requested by the applicants, and the availability of Federal funds.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions, the Federal Register announcement, and the PHS Grants Policy Statement (DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994); 45 CFR 100 applies.

Regional or Local Office:

None.

Headquarters Office:

Office on Women's Health, Department of Health and Human Services, 200 Independence Avenue, SW Room 712E Washington, DC 20201.

Web Site Address:

<http://www.womenshealth.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

To be considered for review, applications must be received by the Office of Public Health and Science, Office of Grants Management, c/o WilDon Solutions by 5:00 p.m. Eastern Time on the due date published in the Dates section of the FR. Applications will be screened upon receipt. Those that are judged to be in compliance will be reviewed for technical merit by a technical panel composed of experts with experience with sex and gender programs, program management, service delivery, outreach, health education, Healthy People 2000 and/or Healthy People 2010, leadership development, and program assessment in accordance with DHHS policy. The technical review of the applications will consider the following factors: Factor 1: Background and Implementation Plan (30 points). Factor 2: Strength of the Public Health System/Collaborative Partnership (Partnership Plan) (20 points). Factor 3: Management Plan (20 points). Factor 4: Evaluation Plan (20 points). Sustainability Plan (10 points) Details regarding the elements to be addressed within each Factor are available in the FR notice.

93.100 HEALTH DISPARITIES IN MINORITY HEALTH

(Health Disparities Grants)

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Title XVII, Section 1707(d)(1), 42 U.S.C. 300u et seq; Disadvantaged Minority Health Improvement Act of 1990, Public Law 101-527.

OBJECTIVES:

To support the elimination of health disparities among racial and ethnic populations through local small-scaled projects which address a demonstrated health problem or health issue.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are to be used for support of projects or activities consistent with the mission of the Office of Minority Health of the U.S. Public Health Service and the purpose of the Health Disparities Grant program. Funds are not to be used for the provision of health care, for construction, or to supplant ongoing project activities.

Applicant Eligibility:

Private nonprofit community-based minority serving organizations may apply. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Members of minority groups: American Indians or Alaska Natives; Blacks or African Americans; Hispanics or Latinos; Native Hawaiian or other Pacific Islanders; or sub groups of these populations.

Credentials/Documentation:

Credentials/Documentation: Proof of nonprofit status is required as part of the application submission. Costs will be determined in accordance with 45 CFR 74 and 92.

Preapplication Coordination:

This program does not require preapplication coordination. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in the State for more information on the process the State requires to be filed with applying for assistance, if the State has selected the program for review. This program is also covered by the Public Health System reporting requirements.

Application Procedure:

Requests for the standard PHS application form (SF PHS 1561-1, Revised July 2000) and instructions for submission should be directed to Ms. Karen Campbell, Director, Office of Grants Management, Office of Public Health and Science, 1101 Wootton Parkway, Suite 550, Rockville, MD 20853, Telephone (301) 594-0758. A signed original and two copies of the application are to be sent to the above address. Applications are screened upon receipt for completeness, responsiveness and conformance to the program announcement. Those applications judged to be unacceptable based on this initial screening will be returned.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

Contact Headquarter Office listed below for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grant projects will have a project period not to exceed 2 years, with 12 month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Necessary instructions regarding payment procedures will be provided at the time of award.

Reports:

Progress reports are required for each budget period, and a final report is due 90 days following the end of the project period. Financial Status Reports are due at the end of each budget period, and a final Financial Status Report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 "Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Grants)FY 07 est \$0; FY 08 est not available; and FY 09 est not reported.
(Note: Will support non-competing applications only. This is the final year for this program.)

Range and Average of Financial Assistance:

\$30,028 to \$50,000; \$49,146.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the PHS Grants Policy Statement.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Ms. Cynthia H. Amis, Director, Division of Program Operations, Office of Minority Health, Office of Public Health and Science, Office of the Secretary, 1101 Wootton Parkway, Suite 700, Rockville, MD 20852. Telephone: (301) 594-0769. Grants Management Contact: Ms. Karen

Campbell, Director, Office of Grants Management, Office of Public Health and Science, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (301) 594-0758.

Web Site Address:

<http://www.omhrc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Increasing knowledge of diabetes among African American community residents and improving skills necessary for the maintenance of diabetes through workshops, dissemination of health information and participation in health fairs; improving the health status of American Indians at risk for or diagnosed with cardiovascular disease and/or diabetes through fitness activities and an educational component; and reducing teen pregnancy and HIV/STDs among young Latinos by increasing parents knowledge of the conditions and promoting inter-generational communication skills between Latino parents and children about sexuality and family planning issues.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: 1) consistency of project's goals and objectives with the Office of Minority Health's mission; 2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; 3) strength of proposed grant organization's management capability; 4) adequacy of qualifications, experience and cultural competence of proposed personnel; and 5) strength of analysis of potential impact or innovation the project proposes to generate.

93.103 FOOD AND DRUG ADMINISTRATION-RESEARCH

FEDERAL AGENCY:

FOOD AND DRUG ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title III, Section 301(c), Public Law 78-410, as amended; Radiation Control for Health Safety Act of 1968, Public Law 90-602, 42 U.S.C. 241 and 263; 42 U.S.C. 300u, Title XVII; Small Business Innovation Research Program Reauthorization Act of 1992, as amended, Public Law 102-564 and 106-554.

OBJECTIVES:

To assist institutions and organizations, to establish, expand, and improve research, demonstration, education and information dissemination activities; acquired immunodeficiency syndrome (AIDS), biologics, blood and blood products, therapeutics, vaccines and allergenic projects; drug hazards, human and veterinary drugs, clinical trials on drugs and devices for orphan products development; nutrition, sanitation and microbiological hazards; medical devices and diagnostic products, radiation emitting devices and materials; food safety and food additives. These programs are supported directly or indirectly by the following Centers and Offices: Center for Biologics Evaluation and Research; Center for Drug Evaluation and Research; Center for Devices and Radiological Health; Center for Veterinary Medicine, Center for Food Safety and Applied Nutrition, National Center for Toxicological Research, the Office of Orphan Products Development and Office of Regulatory Affairs, and the Office of the Commissioner. Small Business Innovation Research (SBIR) Programs: to stimulate technological innovation; to encourage the role of small business to meet Federal research and development needs; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation by minority and disadvantaged persons in technological innovation. Funding support for scientific conferences that are relevant to the FDA scientific mission and public health are available. Funds are also provided to assist with State Food Safety Task Force meetings, which are an important mechanism for promoting food safety, food security program coordination, and information exchanges within the states. The availability of Food Safety Task Force (FSTF) grant funds is intended to encourage the development and continual occurrence of Task Force meetings within each state.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Research grants are awarded to an eligible institution in the name of a principal investigator for a discrete project representing the investigator's interest and competence and are responsive to programmatic requirements as stated in the Request for Application. Funds are to be used for costs as identified in the Request for Applications and are directly allocable, necessary and reasonable to carry out the research. Small Business Innovation Research (SBIR) Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants for this program are for the competitive continuation of the research initiated in Phase I, and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. Conference grants

provide partial support for domestic scientific conferences. Food Safety Task Force grant funds are to be utilized only for direct costs incurred to secure meeting facility rental expenses, supplies, publication costs, and in state travel expenses for meeting attendees. Funds shall not be used for Federal employees to travel to the meetings.

Applicant Eligibility:

The grants are available to any domestic or foreign, public or private, for-profit or non-profit entity (including State and local units of government). Federal agencies that are not part of HHS may apply. Organizations that engage in lobbying activities, as described in section 501 (c) (4) of the Internal Revenue Code of 1968, are not eligible. Other eligible institutions include Private/Public and State Controlled institutions of higher education including Hispanic serving Institutions; Historically Black Colleges and Universities (HBCUs); Tribally Controlled Colleges and Universities (TCCUs); Alaska Native and Native Hawaiian Serving Institutions; Nonprofit with 501(c)(3) IRS Status (other than Institution of Higher Education); Non-domestic (non-U.S.) entity; Small Business; For-Profit Organization (other than Small Business); State Government; Regional Organization; U.S. Territory or Possession; Indian/Native American Tribal Government (Federal recognized and other than Federally recognized); Indian/Native American Tribally Designated Organization; Faith-based or community based organizations.

Beneficiary Eligibility:

Domestic or foreign entities, public or private Colleges, universities, profit-making organizations, nonprofit institutions, hospitals, and State and local governments; U.S. Territory or Possession; Indian/Native American Tribal Government (Federal recognized and other than Federally recognized); Indian/Native American Tribally Designated Organizations and faith-based organizations.

Credentials/Documentation:

All grant applications must present a research plan and provide evidence of scientific competence, experimental methods and programmatic relevance, as well as the availability of necessary equipment, and facilities and resources to accomplish the proposed aims and objectives. Phase I Small Business Innovation Research applications should be an idea or concept with commercial potential; and Phase II applications should provide evidence that commercialization of the research results are plausible. Costs will be determined in accordance with OMB Circular No. A-87 for State and local or Indian Tribal governments; for-profit organizations with 48 CFR Subpart 31.2 of the Federal Acquisition Regulations (FAR); OMB Circular No. A-21 for educational institutions, 45 CFR 74 Appendix E for Hospitals; OMB Circular No. A-122 for nonprofit organizations and large non-profit organizations listed in Attachment C of OMB circular A-122 are subject to Federal Acquisition Regulation (FAR) 48 CFR Subpart 31.2 (A subawardee would be subject to the cost principles applicable to its type of organization).

Preapplication Coordination:

Not applicable. This program is excluded from coverage under Executive Order (E.O.)12372.

Application Procedure:

The standard application forms, as furnished by FDA through grants.gov and required by 45 CFR 74 and 92 must be used for this program. All research applicants must complete the SF-424 R&R application and all non-research applicants must submit the SF 424-5161 forms for new competing continuations or competing supplemental research grants. Solicited applications in response to Federal Register Announcements and/or the NIH Guide announcements must be submitted through grants.gov. Consultation with Program Staff is available by contacting the Headquarters Office listed below.

Award Procedure:

All accepted research grant applications receive a dual scientific review for technical merit by an appropriate initial review group and a second level review by a national advisory Council or Board. All approved applications compete for available funds on the basis of scientific merit and programmatic relevance. All applications accepted under the Radiation Control for Health Safety Act of 1968, Public Law 90-602 and Small Scientific Conference grants, are evaluated under objective review procedures.

Deadlines:

Research grant applications due dates are published in the Federal Register and/or in the Funding Opportunity Announcement published in the NIH Guide. SBIR: April 5, August 5 and December 5; Conference grant applications: October 15, January 15, April 15, and July 15. Unsolicited and SBIR applications are received and processed by the Center for Scientific Review at the National Institutes of Health. The Headquarters Office listed below can be contacted for solicited research application deadlines.

Range of Approval/Disapproval Time:

From 6 to 9 months for research grant applications. SBIR applications: Approximately 6 months. Conference applications: Approximately 3 months.

Appeals:

None.

Renewals:

Renewals are available. Procedures are the same as for new applications.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Normally, awards are made for 1-year with additional support (up to 5 years) depending on the recommendation of the dual review groups, successful annual performance and availability of funds. Small Business Innovation Research Phase I awards are generally for 6 months; Phase II awards are generally for 2 years.

Reports:

Program progress reports are due as stated in the Terms and Conditions of award. Progress reports and financial status reports are required at the end of each budget period. Final program progress, financial status reports and an invention statements on all projects must be submitted upon completion of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (most recent revision), "Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133." In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial and Programmatic records, supporting documents, statistical records and all other records that are required by the terms of the grant, or may be considered pertinent to a grant must be retained for 3 years from the date the grantee submits the final financial status report for the report period. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3 year retention requirement. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts and grants.

Account Identification:

75-0600-0-1-554.

Obligations:

(Grants) FY 07 \$24,500,000; FY 08 est \$25,200,000; and FY 09 est \$25,900,000.

Range and Average of Financial Assistance:

\$5,000 to \$5,000,000.

PROGRAM ACCOMPLISHMENTS:

Research: In fiscal year 2007, over 100 new competitive applications were received and 32 new awards were funded. Approximately 77 continuation awards were also funded in fiscal year 2007. In fiscal year 2008, it is anticipated that approximately 90 new competitive applications will be received, approximately 40 new awards will be funded, and approximately 50 continuation awards will be made. SBIR: In fiscal year 2007, 2 Phase I's and 1 Phase II applications were received. In fiscal year 2008 approximately two Phase I, and one Phase II competing awards will be funded. About five competing grants under the Small Scientific Conference Program were funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR Part 52 - Grants for Research Project; 45 CFR Part 46 - Protection of Human Subjects; 45 CFR Part 74 - Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospitals, Other Nonprofit Organizations and Commercial Organizations; and certain grants and agreements with States, Local Governments and Indian Tribal Governments; 45 CFR Part 92 - Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; the HHS Grants Policy Statement, (Most recent revision) available at <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>; and the Public Health Omnibus Solicitation for Small Business Innovation Research Grant applications.

Regional or Local Office:

None.

Headquarters Office:

Program and Grants Management Contact: Michelle Hawley, Acting Chief Grants Management Officer, Division of Acquisition Support and Grants, Office of Acquisition and Grant Services, Food and Drug Administration, Department of Health and Human Services, HFA-500, Room 2107, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 827-1991; Fax: (301) 827-7101; Internet address: michelle.hawley@fda.hhs.gov.

Web Site Address:

<http://www.fda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Heat Treatment of Bacterial Spores in Dairy Products; (2) Waste Management Education and Research Consortium; (3) Post Marketing Surveillance of Adverse Drug Reactions; (4) International Program on Chemical Safety; (5) Veterinary Antimicrobial Decision Support System; (6) Continuation of the National Center for Food Safety and Technology; (7) Trial of Mycophenolate Mofetil in Myasthenia Gravis; and (8) SBIR: Phase II Patient

Dose Tracking system for Fluoroscopic Procedures, (9) various Small Scientific Conferences and Food Safety Task Force Conferences.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include the assessment of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. For Small Business Innovation Research applications, the major elements in evaluating Phase I grant applications include the assessment of: (1) The technical merit of the proposed research; (2) the soundness of the proposed design and methods; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the potential of the proposed research for technological innovation and commercial application; (5) the appropriateness of the budget requested; and (6) the adequacy and suitability of the facilities and research environment. In review of Phase II applications, criteria include those listed above, in addition to the following: (1) the degree in which Phase I objectives were met and the feasibility demonstrated; and (2) the adequacy of the Phase I objectives and methodology for addressing the proposed research. For conference programs, the support of scientific meetings is contingent on the scientific interest and priorities of the individual centers and programs.

93.104 COMPREHENSIVE COMMUNITY MENTAL HEALTH SERVICES FOR CHILDREN WITH SERIOUS EMOTIONAL DISTURBANCES (SED)

(CMHS Child Mental Health Service Initiative)

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN
SERVICES

AUTHORIZATION:

Public Health Service Act, Title V, Part E, Section 561, as amended, Public Law 102-321, 42 U.S.C. 290ff.

OBJECTIVES:

To provide community-based systems of care for children and adolescents with a serious emotional disturbance and their families. The program will ensure that services are provided collaboratively across child-serving systems; that each child or adolescent served through the program receives an individualized service plan developed with the participation of the family (and, where appropriate, the child); that each individualized plan designates a case manager to assist the child and family; and that funding is provided for mental health services required to meet the needs of youngsters in these systems.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used for salaries, wages and fringe benefits of professional and support staff; travel directly related to carrying out service activities; supplies, communications, and rental of equipment and space; training specifically aimed at one of the following: the development of individualized service plans; the provision of therapeutic foster care, group home care; intensive home-based services, or intensive day treatment services; administration of the system; and appropriate continuing education of two days or less; contracts for performance of activities under the approved project; and other such items necessary to support project activities. Grant funds and required matching funds may not be used for: other required services; to purchase or improve real estate property; provide residential care and/or services in residential treatment centers serving more than 10 children in inpatient hospital settings; any training activities with the exception of those mentioned above; and non-mental health services including mental health medical services, educational services, vocational counseling and rehabilitation, and protection and advocacy.

Applicant Eligibility:

States, political subdivisions of a State, such as county or local governments, and Federally Recognized Indian Tribal governments.

Beneficiary Eligibility:

Children under age 22 with a diagnosed serious emotional disturbance, serious behavioral disorder, or serious mental disorder.

Credentials/Documentation:

In order for an entity to be eligible it must provide the following credentials or documentation along with an application for assistance: (1) Documentation that the State mental health agency of the applicant receives funds from the Center for Mental Health Services Community Mental Health Services Block Grant; (2) documentation that the applicant entity is qualified to deliver services under the State Medicaid Plan and has an agreement to do so, either directly or through a service provider organization; (3) documentation that the State has an approved

plan for the development of a system of care for community-based services for children with a serious emotional disturbance approved by the Secretary of the U.S. Department of Health and Human Services. For the purpose of this program, an approved State Mental Health Plan for children and adolescents with serious emotional disturbance submitted in accordance with the requirements of Section 1912 of the Public Health Service Act, will be accepted as such a plan; and (4) matching requirements.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Standard application forms, as furnished by the Public Health Service (PHS 5161-1, Rev. July, 2000) and required by 45 CFR Part 92 for State and local governments, must be used by applicants. Grant application kits may be obtained from: Knowledge Exchange Network (KEN) at 1 (800) 789-2647.

Award Procedure:

Applications submitted are reviewed in a two-tiered peer review process. Grants in support of projects recommended for approval by the initial review group and the Center for Mental Health Services Advisory Council are awarded directly to the applicant organization.

Deadlines:

Contact Headquarters Office listed below for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Matching requirements pertain to specific instances (Part E of Title V) of the Public Health Service Act as amended by 42 U.S.C. 290ff. This program requires that the applicant entity will, with respect to the costs incurred in carrying out the purpose of this program, make available (directly or through donations from public or private entities) nonfederal contributions towards such costs in an amount not less than \$1 for each \$3 of Federal funds provided in the first, second and third years of the grant; not less than \$1 for each \$1 of Federal funds provided in the fourth year of the grant; and, not less than \$2 for each \$1 of Federal funds provided in the fifth year of the grant.

Length and Time Phasing of Assistance:

Project will be funded for up to 6 years. Payments will be made under an Electronic Transfer System.

Reports:

Quarterly and annual progress reports are required as well as a final performance report. Annual and final financial status reports are due not later than 90 days following the end of the budget/project periods.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," entities that expend financial assistance of \$500,000 or more in a year shall have an audit made in accordance with Circular No. A-133. In addition, 45 CFR Part 74.26 requires that for-profit recipients and subrecipients have an audit performed in accordance with Government Auditing Standards or Circular No. A-133.

Records:

There is a 3-year record retention requirement: records shall be retained beyond the 3-year period if the final audit has not been done or findings resolved.

Account Identification:

75-1362-0-1-550.

Obligations:

(Grants) FY 07 \$82,277,923; FY 08 \$62,058,726; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$82,277,923 to \$62,058,726; \$43,769,800.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 56 continuation awards were made. It is estimated that 41 awards will be funded in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92. Guidelines are provided along with instructions in application kits. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Revised April 1, 1994).

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Gary Blau, PhD, Chief, Child Adolescent and Family Branch, Division of Knowledge Development and Systems Change, Center for Mental Health Services, Substance Abuse and Mental Health Services Administration, Department of Health and Human Services, 1 Choke Cherry Road, 6-1041; Telephone: (240) 276-1980 Rockville, MD 20857. Contact: Gwendolyn Simpson, Grants Management Team Leader, SAMHSA, Department of Health

and Human Services, 1 Choke Cherry Road, Rockville, MD 20857. Telephone: (240) 276-1408. E-mail: Gwendolyn.simpson@samhsa.hhs.gov. Use the same numbers for FTS.

Web Site Address:

<http://www.samhsa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

East Baltimore, Maryland; Charleston, South Carolina; Tohatchi, New Mexico/Navajo Nation; South Bronx, New York; Parsons, Kansas; Milwaukee, Wisconsin; and Santa Barbara, California.

CRITERIA FOR SELECTING PROPOSALS:

The following review criteria will be used in evaluating proposals: (1) Potential significance of the proposed project; (2) appropriateness of goals and objectives; (3) adequacy and appropriateness of the proposed approach and activities; (4) evidence of the State's readiness and commitment to the proposed project; (5) commitment to family participation in system development and care of their children and adolescents; (6) degree of cultural competence demonstrated; (7) capability and experience of project director, consultants, and other key staff; (8) adequacy of available resources; (9) reasonableness of the proposed budget; (10) cooperation in the evaluation activities; and (11) evidence of activities directed at developing continues funding support. Award criteria include: (1) quality of proposed project as determined by the review process; (2) geographical distribution; and (3) availability of funds.

93.105 BILINGUAL/BICULTURAL SERVICE DEMONSTRATION GRANTS

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title XVII, Section 1707(e)(1), 42 U.S.C. 300u et seq.

OBJECTIVES:

To improve and expand the capacity for linguistic and cultural competence of health care professionals and paraprofessionals working with Limited-English-Proficient (LEP) minority communities and improve the accessibility and utilization of health care services among the LEP minority populations.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are to be used to support projects that provide bilingual/bicultural assistance in the delivery of health services for minority populations. Funds are not to be used for the provision of health care services, construction, augmentation of ongoing Office of Minority Health (OMH) supported demonstrations, or supplantation of on-going project activities.

Applicant Eligibility:

Public and private nonprofit minority community-based organizations or health care facilities serving a targeted LEP minority community may apply.

Beneficiary Eligibility:

Members of the minority groups whose native language is other than English: American Indians or Alaska Natives, Asians, Blacks or African Americans, Hispanics or Latinos, Native Hawaiians or other Pacific Islanders, or a subgroup of any of these groups.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission.

Preapplication Coordination:

This program does not require preapplication coordination. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in the State for more information on the process the State requires to be filed with applying for assistance, if the State has selected the program for review. This program is also covered by the Public Health System reporting requirements.

Application Procedure:

Application kits may be requested by calling 240-453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be

extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS e-Grants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS e-Grants Web site, <https://egrants.osophs.dhhs.gov>.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

Approximately 90 days from the application deadline date.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grant projects can have a 3-year project period, with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash basis or under a letter of credit. Necessary instructions regarding procedures will be provided at the time the Notice of Grant Award is issued.

Reports:

Progress reports are required for each budget period, and a final performance report is due 90 days following the end of the project period. Financial status reports are due at the end of each budget period, and a final status report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Grants) FY 07 \$2,500,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$100,000 to \$200,000; \$150,000.

PROGRAM ACCOMPLISHMENTS:

FY 2007 will support continuation awards only.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the PHS Grants Policy Statement.

Regional or Local Office:

Not applicable.

Headquarters Office:

Ms. Cynthia H. Amis, Director, Division of Program Operations, Office of Minority Health, Office of Public Health and Science, Office of the Secretary, 1101 Wootton Parkway, Suite 600, Rockville, MD 20852; Telephone: (240) 453-8444. For technical assistance on budget and business aspects of the application may be obtained from the Office of Grants Management. Contact the Office of Public Health and Science, Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852; Telephone: (240) 453-8822.

Web Site Address:

<http://www.omhrc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

1) Reducing linguistic and cultural barriers which exist between Hispanic patients and health care providers through language and cultural training sessions; 2) developing and implementing a case management and education program that targets specific groups of limited-English-speaking Asians and Pacific Islanders; 3) assessing the health status of Chinese, Vietnamese, Cambodian, and Laotian seniors; providing lectures on Asian health care needs and specific health resources, and providing bilingual and bicultural translators and interpreters; and increasing knowledge and awareness about managed care and its implications.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: 1) consistency of project's goals and objectives with the Office of Minority Health's mission; 2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; 3) strength of proposed grant organization's management capability; 4) adequacy of qualifications, experience and cultural competence of proposed personnel; and 5) strength of analysis of potential impact or innovation the project proposes to generate.

93.107 MODEL STATE-SUPPORTED AREA HEALTH EDUCATION CENTERS

(MODEL AHEC)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Section 751(a)(2), as amended; Public Health Professions Education Partnership Act of 1998, Public Law 105-392.

OBJECTIVES:

The Model State-Supported Area Health Education Centers (MAHEC) Program and the Basic/Core Area Health Education Centers (BAHEC) Program goals are to assist schools to improve the distribution, diversity, supply, and quality of health personnel in the health services delivery system, and to attract and retain health personnel in underserved areas. The AHEC Program awardees link the academic resources of medical schools and participating health professions schools with local educational and clinical sites, and thereby establish a network of community-based training sites to provide educational services to health professions students, faculty-preceptors and health care providers in underserved areas, and ultimately, to improve the delivery of health care in an underserved region or in an entire state. Emphasis is placed on community-based training of health professions students, primary care-oriented medical residents, local providers, and also 9-12 grade students. The BAHEC Program assists schools in the initial planning, development and implementation of community-based Area Health Education Centers (AHECs) which assist schools and organize the training of at least three health professions disciplines at training-service delivery sites in rural and underserved areas, of a region or an entire state. Schools which complete Basic AHEC Program development, then compete for Model AHEC Program awards in partnership with affiliated (contracted) AHEC Centers to maintain and enhance/expand training programs and training sites responsive to local, State and Federal needs. The MAHEC programs are an established training infrastructure of local, State and Federal partners which address current health workforce priorities within a region of a State or in an entire State. The MAHEC and BAHEC programs embrace the goal of increasing the number of health professions graduates who ultimately practice in underserved areas. Both AHEC programs carry out health careers recruitment and enhancement activities to attract high school students from underserved areas into health careers.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

With respect to the costs of operating the model area health education center program of the school, the school must make available (directly or through donations from public or private entities) nonfederal contributions in cash toward such costs of at least 50 percent of such costs. Amounts provided by the Federal Government may not be included in determining the amount of nonfederal contributions in cash. With respect to the costs of operating the area health education center program of the school, the school will maintain expenditures of nonfederal amounts for such costs at a level of such expenditures maintained by the school for the fiscal year preceding the first fiscal year for which the school receives an award under this program. Schools may expend not more than 10 percent of amounts received for demonstration projects cited under Section 746(a)(3)(E). The aggregate amount of awards to schools in a State for the fiscal year under this new Model AHEC authority shall not exceed the lesser of (i) \$2 million; and (ii) and amount equal to \$250,000 times the aggregate number of AHEC centers operated in the State by the

schools.

Applicant Eligibility:

The types of entities eligible to apply for this program include public or private nonprofit accredited schools of medicine and osteopathic medicine and incorporated consortia made up of schools, or the parent institutions of schools. Applicants must also have previously received funds, but are no longer receiving funds under Section 751(a)(1) of the Public Health Service Act, and are operating an AHEC program.

Beneficiary Eligibility:

Accredited schools of medicine or osteopathic medicine, or the parent institution on behalf of such schools, and also, accredited schools of nursing in States in which no AHEC program is in operation.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award issued from Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 3 to 4 months after the review of the application.

Appeals:

None.

Renewals:

Competitive continuation applications may be submitted during the final budget period of the approved project.

Formula and Matching Requirements:

Awardees shall make available (directly or through contributions from State, county or municipal governments, or the private sector) recurring non-Federal contributions in cash in an amount not less than 50 percent of the operating costs of the Model State-Supported AHEC Program.

Length and Time Phasing of Assistance:

Project periods are limited up to 3 years.

Reports:

A Uniform Progress Report (UPR) must be submitted annually. A financial status report must be submitted within 90 days after the end of each budget period.

Final progress and financial reports must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$15,077,970; FY 08 est \$14,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

For FY 06, \$170,311 to \$851,555; \$378,378.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 39 awards were made. It is expected that 42 Model AHEC Program awards will be made in FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

David Hanny, Ph.D., M.P.H., or CAPT Norma Hatot, Program Officers, AHEC

Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: (301) 443-6950.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Awards are made to a school of medicine or osteopathic medicine that is operating an area health education center program and that is not receiving financial assistance under Section 751(a)(2) of the PHS Act to encourage State coordination and support for AHEC community-based primary care training activities. A listing of currently funded programs is available at <http://bhpr.hrsa.gov/ahec/modahec.htm>.

CRITERIA FOR SELECTING PROPOSALS:

In determining the funding of applications, consideration is given to: (1) **NEED** Demonstrates an understanding of the purpose of the AHEC program, and demonstrates an understanding of the identified need(s) as evidenced by the applicant's description of target population(s) and geographic areas validated with supporting data, demographics, health status of the target population(s), and associated contributing factors which the proposed AHEC project intends to address in the area(s) to be served by the area health education center(s); (2) **RESPONSE** The degree to which the proposed project responds to the program requirements as set forth in Sections 751(a)(1) and 751(a)(2) of the PHS Act, as amended; degree to which project activities address the AHEC Project and Center requirements; clarity of the project objectives and their relationship to the identified need(s); extent to which project objectives are measurable and attainable within the stated timeframe; clarity of the proposed work plan and mechanisms to assure that satisfactory progress is attained; extent to which the project fulfills the cost sharing/matching requirements; degree to which project challenges and plans to overcome barriers are addressed; and degree to which the project meets the Medical School 10 Percent Requirement; (3) **EVALUATIVE MEASURES** The adequacy of the evaluation strategy to monitor and evaluate project results: potential of evaluative measures to assess the extent to which project objectives are met and to what extent these can be attributed to the project; clarity of methods and techniques that will be used to measure, analyze, and report the outcomes of each objective; and the extent to which the proposed project adequately responds to AHEC Program performance measures and outcome indicators; the points will be allocated across the performance measures of Distribution, Diversity, and Quality; (4) **IMPACT** The potential of the proposed AHEC program and participating center(s) to continue on a self-sustaining basis; identification of plans for effective, efficient dissemination of project results; potential of project results to be of national significance; and potential for replication of project activities; (5) **RESOURCES/CAPABILITIES** Evidence of qualifications in key personnel bio-sketches; evidence of adequate staffing plan for proposed project; evidence of ability to implement complex programs with similar requirements; evidence of institutional support, e.g., resources and letters of support; and evidence of successful partnerships and linkages with academic and community-based organizations; (6) **SUPPORT REQUESTED** Evidence of a reasonable detailed budget and budget rationale to accomplish the project objectives; evidence of fiscal capability to successfully manage cooperative agreements and contracts; and evidence of efforts to become self-sufficient, e.g., other sources of income, income generation plans, and future funding strategies.

93.110 MATERNAL AND CHILD HEALTH FEDERAL CONSOLIDATED PROGRAMS

Special Projects of Regional and National Significance (SPRANS), including Family to Family Health Information Centers, and Community Integrated Service Systems (CISS); and Public Health Service Act - Section 399BB Programs under the Combating Autism Act of 2006.

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Title V, Section 502(a)(1) and (b)(1) and 501(c)(1), as amended; 42 U.S.C. 702.; and Section 399BB of the Public Health Service Act.

OBJECTIVES:

To carry out special maternal and child health (MCH) projects of regional and national significance; to conduct training and research; to conduct genetic disease testing, counseling, and information development and dissemination

programs; for grants relating to hemophilia without regard to age; and for the screening of newborns for sickle cell anemia, and other genetic disorders. These grants are funded with a set-aside from the MCH Block grant program. SPRANS grants are funded with 15 percent of the Block Grant appropriation of up to \$600 million. When the appropriation exceeds \$600 million, 12.75 percent of the amount over \$600 million is set aside for the Community Integrated Service Systems grants. 15 percent of the balance remaining over \$600 million is also for SPRANS. The CISS program is to develop and expand the following: (1) Home visitation; (2) increased participation of obstetricians and pediatricians; (3) integrated service delivery systems; (4) maternal and child health centers for women and infants, under the direction of a not-for-profit hospital; (5) services for rural populations; and (6) community-based services for children with special health care needs in order to enhance or develop an integrated services system. Funds are appropriated for the development of family to family health information centers as such: \$3,000,000 for FY 07; \$4,000,000 for FY 08; and \$5,000,000 for FY 09. Family to Family health information centers assist families with children with disabilities or special health care needs to make informed choices about health care in order to promote good treatment decisions, cost effectiveness, and improved health outcomes for such families. In FY 2008 Congress first funded the Combating Autism Act program, which is for early detection, education and intervention activities on autism and other developmental disorders.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Training grants are made to institutions of higher learning for training personnel for health care and related services for mothers and children. Research grants are for the purpose of research activities which show promise of a substantial contribution to the advancement of maternal and child health services. Genetic grants are for genetic disease testing, counseling and information development and dissemination. Hemophilia grants are for the support of centers which provide hemophilia diagnostic and treatment services. Other special project grants are designed to support activities of a demonstration nature which are designed to improve services for mothers and children.

Applicant Eligibility:

Training grants may be made to public or private nonprofit institutions of higher learning. Research grants may be made to public or private nonprofit institutions of higher learning and public or private nonprofit private agencies and organizations engaged in research or in Maternal and Child Health (MCH) or Children with Special Health Care Needs (CSHCN) programs. Any public or private entity is eligible for hemophilia and genetics grants and other special project grants, including CISS. Family to Family Health Information Centers are to be developed in the 50 States and the District of Columbia.

Beneficiary Eligibility:

For training grants: (1) Trainees in the health professions related to MCH; and (2) mothers and children who receive services through training programs. For research grants: public or private nonprofit agencies and organizations engaged in research in MCH or CSHCN programs. For hemophilia, genetics, and special projects: (1) Public or private agencies, organizations and institutions; and (2) mothers and children, and persons with hemophilia (any age), who receive services through the programs.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Maternal and Child Health. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Project applications are reviewed by committees composed of staff of DHHS and/or nongovernmental experts. Applications are reviewed based on their merit and are ranked according to the priority score the committees have assigned. Final decisions regarding funding are made by the Associate Administrator for Maternal and Child Health.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

None.

Renewals:

After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation

application.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements except for the Healthy Tomorrows Partnership for Children Program which has a 1:2 (Federal: Non-Federal) for years 2-5 of the project.

Length and Time Phasing of Assistance:

Awards are made on an annual basis for the duration of the grant period, and payments are made through an Electronic Transfer System or Cash Demand System.

Reports:

Annual program reports, annual financial status reports, annual program service reports and special reports as required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$ 115,365,070; FY 08 est \$ 131,062,404; and FY 09 est \$128,601,411.

Range and Average of Financial Assistance:

\$1 to \$1,762,500; \$207,865.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 560 projects were funded. A wide variety of services were provided to thousands of mothers and children, including counseling, diagnostic, therapeutic, and other secondary and tertiary level services. In FY 08, and estimated 627 projects will be funded, including new projects for the Combating Autism Act. In FY 09, it is estimated that 541 projects will be funded for all the activities supported under this CFDA.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Associate Administrator for Maternal and Child Health, Health Resources and Services Administration, Department of Health and Human Services, Room 18-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2170.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: 301-443-2385; Research and Training Branch: 301-443-3099; Government and Special Focus Branch: 301-443-3288.

Web Site Address:

www.hrsa.gov

RELATED PROGRAMS:

93.994, Maternal and Child Health Services Block Grant to the States; 93.234, Traumatic Brain Injury State Demonstration Grant Program; 93.251, Universal Newborn Hearing Screening; 93.926, Healthy Start Initiative; 93.365, Sickle Cell Treatment Demonstration Program.

EXAMPLES OF FUNDED PROJECTS:

(1) Health Professional Training Projects; (2) Schools of Public Health; (3) Maternal and Child Health Research; (4) Genetic Screening, Testing and Counseling; (5) Hemophilia Diagnosis and Treatment Centers; (6) Child and Adolescent Health Services Demonstration Projects; (7) Healthy Tomorrows Community Based Partnerships; (8) Community Integrated Service Systems (CISS) to develop comprehensive community based systems to services; and (9) Family to Family Health Information Centers.

CRITERIA FOR SELECTING PROPOSALS:

General criteria are described in Program Regulations 45 CFR 51, and specific criteria are included in the program guidance materials provided with application kits. Contact Central Office for details.

93.111 ADOLESCENT FAMILY LIFE RESEARCH GRANTS

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title XX, Section 2008, Public Law 98-512, 42 U.S.C. 300z-7, as amended; Appropriation Act of 1991, Public Law 101-517.

OBJECTIVES:

To encourage and support research projects and dissemination activities concerning the societal causes and consequences of adolescent sexual activity, contraceptive use, pregnancy and child rearing. To determine ways to alleviate, eliminate or resolve negative consequences of adolescent sexual activity, pregnancy and childbearing for parents, children and their families.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants may be used for descriptive or explanatory surveys, longitudinal studies, or limited demonstration projects for services done for the purpose of increasing knowledge and understanding of the objectives. Abortions may not be provided or encouraged. In the case of a "for-profit" grantee, no grant funds may be paid in excess of allowable direct and indirect costs.

Applicant Eligibility:

State and local government agencies; private organizations (nonprofit and profit); institutions of higher education.

Beneficiary Eligibility:

Non-pregnant adolescents, pregnant adolescents, adolescent parents, and their families will benefit.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular No. A-122 for non-profit organizations. Costs for-profit organizations will be determined by 48 CFR, Subpart 31.2 of the Federal Acquisition Regulation. Faith based organizations are eligible to apply.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application Form PHS-398 (Rev. May 1995) for Research Grants should be submitted. The standard application forms, as furnished by PHS and required by 45 CFR, Part 74, (for institutions of higher education, hospitals, and other non-profit organizations), and 45 CFR, Part 92, (for State and local governments), must be used for this program. Applications are reviewed and evaluated by a group composed primarily of nonfederal scientists. The review is conducted by a panel of experts in the specific study area proposed. This program is subject to the provisions of 45 CFR, Part 74, 45 CFR, Part 92, and OMB Circular No. A-102 (administrative guidelines and standards for states and local governments), and OMB Circular No. A-110 (administrative guidelines and standards for institutions of higher education, hospitals, and other non-profit organizations).

Award Procedure:

After considering the recommendation of the review group, the Deputy Assistant Secretary for Population Affairs makes the final decision on the funding of approved applications. All applicants are notified in writing of actions taken on their applications. A Notice of Grant Award is issued for those applications that are approved and funded.

Deadlines:

To be announced.

Range of Approval/Disapproval Time:

From 3 to 5 months.

Appeals:

None.

Renewals:

Projects may be approved for 1 year; a project may be renewed for two additional 1-year periods based on submission of an application, including a progress report and evidence of plan compliance.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grants may not exceed 3 years with budget periods not to exceed 12 months. Payments will be made either on a monthly cash request basis or under an Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued at the time an award is made.

Reports:

Grantees must submit annual and final progress reports. Expenditure reports are required 90 days after the end of each budget period. Inventions are to be reported immediately.

Audits:

In accordance with the provisions of OMB Circular No. A - 133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year,

except as noted in Circular No. A - 133.

Records:

HHS and the Controller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts, and transcripts. In accordance with 45 CFR, Part 74.53, and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Grants) FY 07 \$1,040,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$108,000 to \$151,000; \$145,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, 42 CFR 52 and 52h, 45 CFR 74; 45 CFR 92; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994. Program guidance is provided in the application kit.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Ms. Barbara Cohen, Office of Adolescent Pregnancy Programs, Office of Population Affairs, Department of Health and Human Services, 1101 Wootton Parkway, Suite 700, Rockville, MD 20852. Telephone: (240) 453-2828. Grants Management Contact: Karen Campbell, Director, Office of Grants Management, Office of Public Health and Science, Department of Health and Human Services, 1101 Wootton Parkway, Suite 550, Rockville, MD 20853, Telephone (240) 453-8822.

Web Site Address:

<http://www.opa.osophs.dhhs.gov>

RELATED PROGRAMS:

93.995, Adolescent Family Life Demonstration Projects.

EXAMPLES OF FUNDED PROJECTS:

This program has funded projects for the following purposes: (1) Adolescents at risk; and (2) adoption versus parenting.

CRITERIA FOR SELECTING PROPOSALS:

(All applications are subject to peer review, in a system established by 42 CFR Section 52h.8, which must find a project to be of scientific merit before it can be awarded a grant.) Criteria include: (1) Scientific and technical significance and originality; (2) adequacy of methodology; (3) qualifications and experience of principal investigator staff; (4) availability of necessary resources; (5) reasonableness of budget and duration; and (6) adequacy of protection of humans, animals, and the environment.

93.113 ENVIRONMENTAL HEALTH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 301 and 472, Public Laws 78-410 and 99-158, as amended, 42 U.S.C. 241, as amended, 42 U.S.C. 288, Public Law 99-500, Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To foster understanding of human health effects of exposure to environmental agents in the hope that these studies will lead to: the identification of agents that pose a hazard and threat of disease, disorders and defects in humans; the development of effective disease prevention strategies; the overall improvement of human health effects due to environmental agents; the development of products and technologies designed to better study or ameliorate the effects of environmental agents; and the successful training of research scientists in all areas of environmental health research. Supported grant programs focus on the following areas: (1) Understanding biological responses to environmental agents by determining how chemical and physical agents cause pathological changes in molecules, cells, tissues, and organs, and become manifested as respiratory disease, neurological, behavioral and developmental abnormalities, cancer, and other disorders; (2) Determining the mechanisms of toxicity of ubiquitous agents like metals, natural and synthetic chemicals, pesticides, and materials such as asbestos and silica, and natural toxic substances, and their effects of on various human organ systems, on metabolism, on the endocrine and immune systems, and on other biological functions; (3) Developing scientific information about potentially toxic and hazardous chemicals by concentrating on toxicological

research, testing, test development, and validation efforts; (4) Conducting broad-scale efforts in biometry and risk estimation for determining, monitoring and predicting the probable health risks of cancer, organ system toxicities, and diseases and disorders resulting from human exposures to various environmental hazards, including the development of new statistical analytic tools and methods; (5) Expanding and improving the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (6) Expanding and improving the STTR program to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (7) Providing long-term stable support for broadly based multi-disciplinary research and training on environmental health problems in Environmental Health Sciences Core Centers. These Centers serve as national focal points and resources for research and manpower development. The DISCOVER centers intend to advance our understanding of the role of environmental factors in influencing human disease through an interdisciplinary effort where basic mechanistic and clinical research inform each other. Through these Center programs, NIEHS expects to achieve the long range goal of developing new clinical and public health applications to improve disease prevention, diagnosis, and therapy. Additional Centers programs developed in recent years, include the Centers for Oceans and Human Health (P50)(co-funded with NSF), Children's Environmental Health Centers (P01)(co-funded with USEPA) and the Autism Centers of Excellence (co-funded with (NIMH) (U54); (8) Supporting research training programs which serve to increase the pool of trained research manpower with needed expertise in the Environmental Health Sciences through support of Individual and Institutional National Research Service Awards (NRSA's); (9) The Outstanding New Environmental Scientist Program which provides first time research grant funding to outstanding junior scientists in the formative stages of their career who are proposing to make a long term commitment to environmental health sciences research and to address the adverse effects on environmental exposures on human biology, human pathophysiology and human disease.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Research Grants and Cooperative Agreements are intended to support the direct costs of a project, in accordance with an approved budget, plus an appropriate amount for indirect costs. Environmental health sciences education grants (R25) are limited to \$100,000 direct costs plus indirect costs calculated at 8 percent of appropriate direct cost base and they should promote the development of instructional material. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I, scientific and technical merit, and commercial potential of the Phase II application. Independent Scientist Awards (Supersedes former Research Career Development Award): These awards are in amounts up to \$75,000 (plus fringe benefits, eight percent indirect costs, and \$25,000 for research support) and are made to institutions to provide salary and research support for research scientists who need an additional period of sponsored research as a way to gain experience in a research area new to the candidate or in an area that would demonstrably enhance the candidate's scientific career. Supplementation from nonfederal funds is allowed. Mentored Clinical Scientist Development Awards and Mentored Patient-Oriented Research Career Development Awards (encompass the previous Physician Scientist Awards and Clinical Investigator Awards): Awards up to \$75,000 (salary), \$25,000 for research support plus eight percent indirect costs and fringe benefits, to provide for specialized study for clinically trained professionals who are committed to a career in research and have the potential to develop into independent investigators. Supplementation from nonfederal funds is allowed. Midcareer Investigator Award in Patient Oriented Research: These awards provide salary for levels of effort between 3-6 person months per year (plus fringe benefits) and \$25,000 of career development support to provide support for mid-career health professional doctorates at the mid-career level protected time to devote to patient oriented research and to act as mentors, primarily for clinical residents. The Mentored Quantitative Research

Development Award: Awards up to \$75,000 salary (plus fringe) and up to \$40,000 in Career Development Expenses to junior faculty with quantitative and engineering backgrounds to allow them to refocus their research on NIH-relevant topics. Supplementation from non-federal funds is allowed. The Pathways to Independence Award provides up to five years of support consisting of two phases. The initial phase provides 1-2 years of mentored support for highly promising postdoctoral research scientists. Phase 2 consists of up to 3 years of independent research support contingent on securing an independent tenure track or equivalent research position. The total costs per year for the mentored phase should not exceed \$90,000 per year, and the total costs for the independent phase may not exceed \$249,000 per year. NIEHS Center grants are primarily intended to provide infrastructure support and the support of core research facilities. In addition, an appropriate indirect cost is provided as determined by negotiated agreement with the grantee's cognizant government organization. National Research Service Awards (NRSAs): Individual predoctoral and postdoctoral training awards are made for the support of fellows who

engage in research training in environmental toxicology, environmental pathology, environmental mutagenesis, or environmental epidemiology/biostatistics. These training grants enable institutions to make awards to individuals selected by them, for both predoctoral and postdoctoral research training in the aforementioned areas. Each individual who receives a postdoctoral NRSA is obligated upon termination of the award to comply with certain service and payback provisions.

Applicant Eligibility:

Research Grants, Cooperative Agreements, Science Education Grants, SBIR Grants, Independent Scientist Awards, Mentored Research Scientist Development Award, Mentored Clinical Scientist Development Award, and the Academic Career Awards: A university, college, hospital, State, local or tribal governments, nonprofit research institution, or for-profit organization may submit an application and receive a grant for support of research by a named principal investigator. Candidates for Academic Career Awards and Midcareer Investigator Awards in Patient Oriented Research must have a doctoral degree and peer-reviewed, independent, research support at the time the award is made. Candidates for Mentored Clinical Scientist Development Awards and Mentored Patient Oriented Research Career Development Awards must have a clinical degree or its equivalent and must have initiated post graduate clinical training. Candidates holding a Ph.D. degree are ineligible. Candidates who have served as principal investigators on PHS-supported research projects are ineligible. A candidate for Academic Career Awards must have a clinical or research doctorate degree. Those eligible for the Development Award must be able to devote at least 75 percent effort. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. Centers: A university-based, nonprofit research institution, or for-profit organization proposing an integrated research program established to accomplish a stated mission, covering activities ranging from very basic research to the actual application of research results in the prevention and control of environmental health problems, may submit an application under the direction of a named Center Director. National Research Service Awards: (1) Nonprofit domestic organizations may apply for the Institutional NRSA; (2) Individual NRSA awardees must be nominated and sponsored by a public for-profit or nonprofit private institution having staff and facilities appropriate to the proposed research training program; (3) all awardees must be citizens or have been admitted to the United States for permanent residence; (4) to be eligible, predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree).

Beneficiary Eligibility:

For Research Grants: Any nonprofit or for-profit organization, company, or institution engaged in biomedical research. For Centers and Training Grants: University-based nonprofit institutions; for-profit organizations conducting research; and individuals nominated by a private institution conducting research.

Credentials/Documentation:

Research Grants, Science Education Grants, Cooperative Agreements, Independent Scientist Awards, Mentored Research Scientist Development

Awards, Mentored Clinical Scientist Development Awards, and Academic Career Awards: Applications must be signed by appropriate officials of the submitting institution. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For educational institutions and Indian Tribal governments, Costs will be determined in accordance with OMB Circular No. A-21. For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For non-profit grantees, costs will be determined by OMB Circular A-122. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-2 and PHS 6426-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. Centers: Application must be signed by appropriate officials of the submitting institution. National Research Service Awards: (1) Individual NRSA Awards - The applicant's academic record, research experience, citizenship, institutional sponsorship, proposed area and plan of training must be included in the application; (2) Institutional NRSA - The applicant institution must show the objectives, methodology and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and a detailed budget and justification for the amount of grant funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with 45 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Research Grants, Science Education Grants, Cooperative Agreements, SBIR Grants and Awards: Application forms and instructions for their submission are available from the Division of Extramural Outreach and Information Resources, National Institutes of Health, 6701 Rockledge Drive, MSC-7910, Bethesda, MD 20892. Small Business Technology Transfer (STTR) program uses same procedure as SBIR immediately above. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92, must be used for this program by those applicants which are State and local units of government. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://grants.nih.gov/grants/funding/sbir.htm> on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to grants.gov at <http://grants.gov>. For Center grants and NRSA Training grants the standard application forms, as furnished by PHS and required by 45 CFR 92, must be used for this program by those applicants that are State or local units of government. Centers: Consultation with National Institute of Environmental Health Sciences staff is essential prior to submission of an application. National Research Service Awards (Individual): Prior to formal application, an applicant must arrange for acceptance at sponsoring institution by a sponsor who will supervise the training. Application Kits and instructions for submission are available from the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, Room 6207, 6701 Rockledge Drive, MSC-7910, Bethesda, MD 20892-7910. Specific information concerning Centers may be obtained by contacting the office indicated under Information Contacts. This program is subject to the provisions of 45 CFR, 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Made on the basis of dual review by peer groups of all applications. The first level of reviews is by a study section for scientific merit. In addition, a national advisory council provides a secondary level of review for all applications. As required by P.L. 109-482, the NIH Health Reform Act of 2006, all research grant and cooperative agreements must undergo Advisory Council/Board review and approval prior to funding, including those \$50,000 and under in direct costs. Review of Individual NRSA applications by an Advisory Council/Board is not required. Final approval of these recommendations and decisions concerning funding are made by the Director, NIEHS. All accepted applications are evaluated first for technical merit by an appropriate scientific review group and then by a national advisory council. All accepted SBIR and STTR applications are first evaluated for scientific and technical merit by an appropriate scientific peer review panel, then by a national advisory council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds based on scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Application deadlines for all grant mechanisms can be found at: <http://grants1.nih.gov/grants/funding/submissionschedule.htm>.

Range of Approval/Disapproval Time:

From 6 to 9 months. SBIR and STTR: About 7-1/2 months. Centers and Institutional National Research Service Awards: From 6 to 9 months. Individual National Research Service Awards: From 6 to 8 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://www.grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Research Grants, Cooperative Agreements, Center Grants, and Institutional Training Grants: Renewal applications are subject to same criteria as new applications. Independent Scientist Awards, Mentored Research Scientist Development Awards, Mentored Clinical Scientist Development Award, Academic Career Awards, and Individual Training grants are non-renewable.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Research Grants, Cooperative Agreements, Center Grants, and NRSA Institutional grants may be awarded for up to 5 years, generally in 12-month budget periods and may be extended through a competitive renewal. Science Education Grants may be awarded for up to 5 years, in 12-month budget periods, and are not renewable. Independent Scientist Awards are awarded for 5 years in 12-month budget periods, and are non-renewable. Mentored Research Scientist Awards are for up to 5 years, 12-month budget periods, and are non-renewable. Mentored Clinical Scientist Development Awards and Academic Career Awards are for up to 5 years and are renewable. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. National Research Service Awards: Individual awards are non-renewable and may be for 1, 2, or 3 years, but no individual may receive NRSA support at the predoctoral level for more than 5 years and at the postdoctoral level for more than 3 years. Funds are released primarily on basis of an Electronic Transfer System.

Reports:

Annual and final progress reports are required for all Grant Awards. Annual financial reports are due for a subset of grant awards. Final financial reports are due for all grant awards. Additional reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submit the last expenditure report for the report period.

Account Identification:

75-0862-0-1-552.

Obligations:

Grants: FY 07 \$312,021,779; FY 08 \$303,091,000; and FY 09 est \$300,805,000.

Range and Average of Financial Assistance:

\$2,000 to \$2,472,080; \$368,277.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 573 research project grant (RPG) awards were made, of which 176 were competitive RPG applications. 4 STTR and 34 SBIR awards were also made, of which 27 were competing. In addition, 36 Center grant awards were made in fiscal year 2007 including: 2 MFB Center Grant awards (P30); 21 EHS Center grant awards (P30); 9 Specialized Centers (P50); 4 Specialized Center Cooperative Grants (U54). Nine competing Center P30 grant applications were considered and four were funded. Also in fiscal year 2007, 53 Individual and 57 Institutional NRSA's were made. 48 competing Individual NRSA applications were considered and 22 funded, while 13 competing Institutional NRSA T32 applications were considered and 8 were funded. During fiscal year 2008, 555 RPG awards are expected to be made (including SBIR and STTR awards), while 29 Center grants, 53 Individual and 53 Institutional NRSA awards are expected to be funded. In fiscal year 2009, it is anticipated that 540 RPG awards (including SBIR and STTR awards), 29 Center grants, 48 Individual and 53 Institutional NRSAs will be made. During FY 2007/08, NIEHS awarded two DISCOVER Centers which focus on the role of environmental exposures in the development of asthma. They include mechanistic, clinical and public health related research designed to advance our understanding of this prevalent respiratory disease in children. NIEHS is one of

the lead agencies at NIH involved in the Genes Environment and Health Initiative responsible for the Exposure Biology Program. The development of biomarkers, biosensors and to improve our understanding of exposure assessment of environmental agents. Within the field of the health effects of endocrine disrupting chemicals, NIEHS supported research looking at exposures to these agents during development and early life and their health effects during childhood, adolescence and adulthood. Organochlorine pesticides were shown to affect birth outcomes such as birth weight and head circumference and motor development. A pilot project in our Breast Cancer research centers, phthalates and other EDCs were found in detectable levels in urine of girls ages 6-8 and researchers are studying whether these levels effect the timing of puberty. Animal experiments also link these types of chemicals, especially Bis-Phenol-A to prostate and breast cancer susceptibility. Researchers supported by NIEHS are also involved in research on the cardiopulmonary effects of air pollution. NIEHS researchers have reported on the relationship between pesticides and Parkinson's Disease and other neurodegenerative diseases. Scientists are also engaged in basic research in the areas of oxidative stress, DNA repair and cellular signaling in response to exposures to a wide variety of toxicants. The National Institute of Environmental Health Sciences and the National Human Genome Research Institute jointly launched a new Ruth L Kirschstein National Research Service Award Institutional Training Program in Human Genes and the Environment. The new Genes and Environment Training Program seeks to build upon the established foundations in exposure biology an high throughput genomics to produce a new generation of scientists who are equally at home in genomics and environmental health sciences and can seamlessly interact with both groups of scientists. These results point out the usefulness of applying proteomics technology as a tool for the discovery of early biomarkers of exposure to environmental chemicals. Theoretically, similar analyses could be used to identify susceptible individuals or could be used as a diagnostic tool for discovering the cause of disease. The study also sheds light on the possible mechanisms of the immunosuppressive effects of benzene.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; NIH Guide to Grants and Contracts; various other publications and application kits, the Division of Extramural Outreach and Information Resources, Office of Extramural Research, NIH, Room 6207, 6701 Rockledge Drive, Bethesda, MD 20892. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Program Contacts: Research Grants: Dr. Claudia Thompson, Acting Director, Center for Risk & Integrated Sciences, DERT, NIEHS, E-mail: thompson1@niehs.nih.gov. Telephone: (919) 541-4638; or Dr. J. Patrick Mastin, Chief, Cellular, Organ and Systems Pathobiology Branch, E-mail: mastin@niehs.nih.gov. Telephone: (919) 541-3289; or Dr. Gwen Collman, Chief, Susceptibility and Population Health, E-mail: collman@niehs.nih.gov; Telephone: (919) 541-4980. Environmental Justice, Science Education Grants: Dr. Gwen Collman, Chief, Susceptibility and Population Health, E-mail: collman@niehs.nih.gov; Telephone: (919) 541-4980. Independent Scientist Awards, Mentored Research Scientist Development Awards, Mentored Clinical Research Scientist Development Awards, Academic Career Awards: Dr. Carol Shreffler, Program Administrator, Cellular, Organ and Systems Pathobiology Branch, E-mail: shreffl1@niehs.nih.gov. Telephone: (919) 541-1445. AREA, SBIR and STTR Grant Programs: Dr. Jerrold Heindel, Program Administrator, Cellular, Organ and Systems Pathobiology Branch, E-mail: heindelj@niehs.nih.gov. Telephone: (919) 541-0781. For each program contact, the rest of the mailing address is: Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, P.O. Box 12233, Research Triangle Park, NC 27709. P30 Core Centers Program Contact: Dr. Leslie Reinlib, Susceptibility and Population Health, Division of Extramural Research and Training, NIEHS, National Institutes of Health Service, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. E-mail: reinlib@niehs.nih.gov; Telephone: (919) 541-4998; NRSA Program Administrator: Dr. Carol Shreffler, Cellular, Organ and Systems Pathobiology Branch, Division of Extramural Research and Training, NIEHS, National Institutes of Health Service, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. Telephone: (919) 541-1445. E-mail: shreffl1@niehs.nih.gov. Grants Management Contact: Ms. Dorothy Duke, Chief, Grants Management Officer, Grants Management Branch, Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, P.O. Box 12233, Research Triangle Park, NC 27709. Telephone: (919) 541-2749. E-mail: duke3@niehs.nih.gov.

Web Site Address:

<http://www.niehs.nih.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The following Research Projects have been supported: (1) the effects of hexanedione on Testicular Sertoli cell function; (2) a Cell culture approach to understanding mechanisms of cadmium nephrotoxicity; (3) a probable mechanism for the carcinogenicity of 2-nitropropane; (4) Development of an earthworm model for analyzing xenobiotic immunotoxicity; (5) short-term mutagen testing with human and murine cells; (6) an in vivo assay of environmental toxins using magnetic resonance imaging; (7) Acid aerosol exposure effects on respiratory morbidity; and (8) Epidemiological study of health effects of lead on child development; (9) Metabolomic Assessment of Estrogenic Endocrine Disruptors; (10) Obesity and Neighborhood Characteristics. Some examples of funded SBIRs are: (1) Development of personal monitors for detection of environmental exposures (2) Submicron Particles and Fibers for Toxicological Studies; (3) A Toxin-Based Drosophila Parkinson's Disease Model Examples of funded STTRs are as follows: (1) Self-Assembled Crystals in Cyanide Detection; (2) Polarized Xenon Production: Powerful Narrowed Laser; (3) LiverTox: Advanced QSAR and Toxicogenomic Software for Hepatotoxicity Prediction. Center Grants provide core support for studies on: (1) the use of model organisms for understanding the mechanisms of toxicity of environmental chemicals; (2) trace contaminants as environmental health hazards to humans; (3) subtropical and tropical oceans and human health; (4) the response of the respiratory system to environmental chemicals; (5) gene-brain-behavior relationships in Autism; (6) the environmental, genetic and cellular determinants of Parkinson's Disease; (7) The impact of particulate matter and a high-fat diet on Atherosclerosis. NRSAs provide support for: (1) Postdoctoral training in experimental environmental pathology and human comparative pathology of diseases and lesions produced by chemical and physical contaminants; (2) predoctoral and postdoctoral training in the field of environmental toxicology on the molecular interactions of toxic materials; (3) predoctoral and postdoctoral training in the evaluation and prediction of the effects of environmental pollutants on biological systems; (4) predoctoral and postdoctoral training in the principles and perspectives of epidemiology and biostatistics to develop a capability to initiate epidemiological inquiries to test hypotheses on the biological effects of environmental agents.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to stated program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.116 PROJECT GRANTS AND COOPERATIVE AGREEMENTS FOR TUBERCULOSIS CONTROL PROGRAMS

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 317(a)(317E, 42 U.S.C. 247b-b(a), as amended; Health Services and Centers Amendments of 1978, Public Law 95-626; Omnibus Budget Reconciliation Act of 1981, as amended, Public Law 97-35; Preventive Health Amendments of 1984, Public Law 98-555; Public Health Service Act of 1987, as amended, Public Law 100-177; TB Prevention

Amendments Act of 1990, as amended, Public Law 101-368.

OBJECTIVES:

To assist State and local health agencies in carrying out tuberculosis (TB) control activities designed to prevent transmission of infection and disease. Financial assistance is provided to TB programs to ensure that the program needs for the core TB prevention and control activities are met: finding all cases of active tuberculosis and ensuring completion of therapy; finding and screening persons who have had contact with TB patients, evaluating them for TB infection and disease, and ensuring completion of appropriate treatment, and conducting TB surveillance and TB public health laboratory activities that are essential to addressing these priorities. Each of these core activities (completion of therapy, contact investigation, TB surveillance, and TB laboratory activities) is essential to effective TB prevention and control, and they are mutually reinforcing. Thus, they constitute a "package" of core activities. These are the highest priority TB prevention and control activities and should be carried out by all State and local TB prevention and control programs.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Project funds may be used to support both local personnel and individuals in direct assistance (i.e., "in lieu of cash") positions under Section 317 of the Public Health Service Act and to purchase equipment, supplies and services directly related to project activities, particularly directly observed therapy, patient outreach, morbidity surveillance, outreach and program assessment. Project funds may not be used to supplant State or local funds available for tuberculosis control, or to support construction costs or inpatient care.

Applicant Eligibility:

Under Section 317 of the Public Health Service Act, official public health agencies or their bona fide agents of State and local governments, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa.

Beneficiary Eligibility:

Official public health agencies of State and local governments, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operations, describe the evaluation procedures, and provide a budget with justification of funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Preapplication coordination is not required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program. This program is subject to the requirements described in 45 CFR 92.

Award Procedure:

After officials review and approve applications, a Notice of Award is prepared and processed, and appropriate notification is made to the public.

Deadlines:

Contact Headquarters Office for application deadline.

Range of Approval/Disapproval Time:

From three to six months.

Appeals:

None.

Renewals:

Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program. This program is subject to the requirements described in 45 CFR 92.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. Although there are no matching requirements, applicants must assume part of the project costs and fiscal information must be provided in the narrative portion of the application pursuant to provisions of Section 317(b)(2)(317E).

Length and Time Phasing of Assistance:

Project Period: From one to five years. Budget Period: One year.

Reports:

Quarterly or semiannual narrative and performance statistical reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and progress reports are required 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$99,108,876; FY 08 est \$97,698,380; and FY 09 est \$97,698,380.

Range and Average of Financial Assistance:

\$73,390 to \$11,269,054; \$1,436,741.

PROGRAM ACCOMPLISHMENTS:

Support was provided to 68 cooperative agreements in fiscal year 2007. It is estimated that support will continue for 68 cooperative agreements in fiscal year 2008 and fiscal year 2009, with continued special emphasis on the Nation's inner cities where much of the tuberculosis morbidity occurs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Subpart A of 42 CFR 51b is applicable, including all regulations incorporated by reference under Section 51b.105. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Kashef Ijaz, National Center for HIV, STD, and TB Prevention, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 1600 Clifton Road MS E10, NE., Atlanta, GA 30333. Telephone: (404) 639-5314. Grants Management Contact: Roslyn Curington, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 1600 Clifton Road MS E15, Atlanta, GA 30333. Telephone: (404) 639-8321.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.118, Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.246, Health Centers Grants for Migrant and Seasonal Farmworkers; 93.283, Centers for Disease Control and Prevention, Investigations and Technical Assistance; 93.991, Preventive Health and Health Services Block Grant.

EXAMPLES OF FUNDED PROJECTS:

Cooperative agreements are provided to health departments to intensify tuberculosis prevention and control activities, primarily through the use of outreach workers.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated in 2007 on the 2005 data including the total number of cases reported, the number of bacteriologically confirmed cases reported, the bacteriologically substantiated rate of disease, the number of tuberculosis cases among racial and ethnic minorities, significant tuberculosis problems among the homeless, cumulative number of TB/AIDS cases, and significant increases in tuberculosis morbidity. The number of tuberculosis cases under current supervision which have organisms resistant to one or more anti-tuberculosis drugs will also be considered in evaluating and prioritizing projects for funding. In addition, the overall potential effectiveness of the applicant's plan of operation in meeting the objectives of proposed projects will be considered in evaluating and prioritizing projects.

93.117 GRANTS FOR PREVENTIVE MEDICINE

(Preventive Medicine)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Section 768, 42 U.S.C. 295(c), as amended; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

To promote the post-graduate education of physicians in preventive medicine and public health.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are intended to assist in meeting the costs of planning and developing new preventive medicine programs; maintaining or improving existing residency training programs in preventive medicine; and providing financial assistance to residents enrolled in such programs. Grants may not be used for construction or for the provision of direct patient services.

Applicant Eligibility:

Eligible applicants are schools of medicine, osteopathic medicine and public health. An applicant must demonstrate to the Secretary that it has or will have available full-time faculty members with training and experience in the fields of preventive medicine and support from other faculty members trained in public health and other relevant specialties and disciplines.

Beneficiary Eligibility:

U.S. citizens, noncitizen nationals, and those foreign nationals who possess a visa permitting permanent residence in the United States may receive a stipend under this program.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award issued from the Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 4 to 6 months after receipt of applications.

Appeals:

None.

Renewals:

Competitive continuation applications may be submitted during the final budget period of the approved project period. Applications must be submitted in accordance with instructions and deadlines for competitive applications.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project periods are for 3 years.

Reports:

A Uniform Summary Progress Report must be submitted for a second or subsequent budget period within the approved project period. Annual Financial status reports are required 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$1,183,673; FY 08 est \$1,198,894; and FY 09 est not available.

Range and Average of Financial Assistance:

(FY 2007) \$211,825 to \$250,000; \$236,735 average.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 5 competing awards were made. It is expected that approximately 5 continuation awards will be made in FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Elsie Quinones, Acting Chief, Geriatrics and Public Health Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: (301) 443-5244 and E-mail: equinones@hrsa.gov.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Programs which provide support for the initiation, expansion, or improvement of programs of preventive medicine. See Preventive Medicine Residency Program, Section of Bureau of Health Professions, web site for descriptions of programs, <http://bhpr.hrsa.gov/publichealth/preventive/index.htm>.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by consultants for technical merit recommendations based on the potential effectiveness of the proposed project in carrying out the training purposes of section 768 of the PHS Act. Approval of any application will be based on an analysis of the following factors:

PREVENTIVE MEDICINE: purpose, need and rationale, project plan, project management, fiscal plan, trainee diversity, and project effectiveness. For applicants who are currently funded grantees or applicants who are not currently funded, but have received funding within the previous four years, reviewers will examine the progress report summary to determine the current or prior project's: impact on preventive medicine education both locally and nationally; ability to carry out grant-specific objectives and BHP performance objectives; and dissemination activities.

93.118 ACQUIRED IMMUNODEFICIENCY SYNDROME (AIDS) ACTIVITY

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 301 (I), 307, 311, 317, 327, 352, and 1102, as amended. Public Law 95-626.

OBJECTIVES:

To develop and implement HIV prevention programs of public information and education.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Support for cooperative agreements for HIV activities.

Applicant Eligibility:

Public and private organizations, both nonprofit and for-profit (universities, colleges, research institutions and other public and private organizations); State and local governments, U.S. Territories and possessions; including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, small and minority businesses, and businesses owned by women.

Beneficiary Eligibility:

Official health and education agencies, as well as individuals subject to AIDS.

Credentials/Documentation:

Nonprofit institutions: Costs will be determined by HHS Regulations 45 CFR Part 74, Subpart Q, for nonprofit organizations and in accordance with OMB Circular No. A-87 for State and local governments. For-profit institutions: Costs will be determined by Federal Acquisition Regulations 48 CFR 31.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. When indicated in individual program announcements, applications are subject to review in accordance with the National Health Planning and Resources Development Act, Public Law 93-641,

as amended. For the technical assistance component of this program, when indicated in individual program announcements, applications are eligible for coverage under E.O. 12372. This program is eligible for coverage under the Public Health System Reporting Requirements. Under these requirements, all community-based nongovernmental applicants must report to the appropriate State and/or local health agency as determined by the applicant.

Application Procedure:

Applications are made in the form of an application, PHS-5161-1 or PHS 398, to the Centers for Disease Control and Prevention (CDC). The standard application forms, as furnished by CDC and required by 45 CFR, Parts 74 or 92, must be used for this program. This program is subject to the provisions of 45 CFR, Parts 74 or 92, as appropriate.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public. Initial award provides funds for first budget period (12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Applicants may resubmit application for next announcement or review.

Renewals:

None.

Formula and Matching Requirements:

There are no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

From 1 to 5 years (renewable).

Reports:

Quarterly or end-of-year progress reports, and annual financial status reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records and supporting documents must be maintained for 3 years after the end of each budget period. If questioned, records must be kept until matters are settled.

Account Identification:

75-0943-0-1-550.

Obligations:

(Grants) FY 07 \$2,336,241; FY 08 est \$3,378,016; and FY 09 est \$3,378,016.

Range and Average of Financial Assistance:

Range is approximately \$130,560 to \$1,000,000; average is \$153,650.

PROGRAM ACCOMPLISHMENTS:

It is anticipated that in FY 2007 approximately 23 awards will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994; Administration of Grants, DHHS; 45 CFR, Part 74; Guidelines established by CDC for AIDS cooperative agreements.

Regional or Local Office:

Not applicable.

Headquarters Office:

Grants Management Contact: Cheryl Maddux, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 498-1911.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283, Centers for Disease Control and Prevention Investigations and Technical Assistance.

EXAMPLES OF FUNDED PROJECTS:

Activities for the prevention of HIV, including cooperative agreement awards for epidemiologic research.

CRITERIA FOR SELECTING PROPOSALS:

Based on the evaluation, criteria as stated in the Program Announcements.

93.121 ORAL DISEASES AND DISORDERS RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Title IV, Section 301, Public Law 78-410; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To obtain improved information on craniofacial-oral-dental health promotion, disease prevention, diagnostics, therapeutics, and fundamental scientific research that fuels the engine of patient-oriented and community-based clinical or applied research. The research portfolio is divided into several over-lapping programs which support basic, clinical and transitional research from molecular biology to patient-oriented and community-based clinical investigations. 1. Inherited Diseases and Disorders Program supports research on etiology, pathogenesis, epidemiology, prevention, diagnosis, and treatment of inherited craniofacial-oral-dental diseases and disorders (e.g., ectodermal dysplasia, cleft lip and palate, amelogenesis imperfecta, dentinogenesis imperfecta, osteogenesis imperfecta, and other inherited diseases that have craniofacial-oral-dental manifestations) as well as on normal craniofacial-oral-dental development. Developmentally related disorders such as occlusion defects and those acquired through trauma is included. 2. Infectious Diseases Program supports research on etiology, pathogenesis, epidemiology, prevention, diagnosis and treatment of oral infectious diseases (i.e., viral, bacterial, fungal and parasitic) such as dental caries, periodontitis, oral candidiasis, herpes, hepatitis, and HIV/AIDS. Included is research on immunity with special emphasis on mucosal and salivary immunity; and on the oral manifestations of systemic infectious diseases and the development of new diagnostics and therapeutics. 3. Neoplastic Diseases Program supports research on the etiology, pathogenesis and metastasis, epidemiology, prevention, diagnosis, and treatment of oral and pharyngeal neoplastic diseases. 4. Chronic Disabling Diseases Program supports the full range of research involving such diseases associated with the craniofacial-oral-dental complex. These include osteoporosis, osteoarthritis, and related bone disorders, temporomandibular joint diseases and disorders, neuropathies and neurodegenerative diseases including those involving oral sensory and motor functions, and autoimmune diseases such as Sjogren's syndrome. Also included is research aimed at elucidating the relationship between chronic diseases of the craniofacial-oral-dental complex (e.g., periodontitis) and other systemic diseases (e.g., diabetes). 5. Biomaterials, Biomimetics and Tissue Engineering Program supports research to enhance the development of natural and synthetic therapeutics and biomaterials used for the repair, regeneration, restoration, and reconstruction of craniofacial-oral-dental molecules, cells, tissues, and organs. 6. Behavior, Health Promotion and Environment Program supports research aimed at assessing the interactive roles of sociological, behavioral, economic, environmental, genetic, and biomedical factors in craniofacial-oral-dental diseases and disorders. Included are studies aimed at examining the impact of oral health care delivery systems, clinical decision-making, and education on health outcomes. The Institute also supports Comprehensive Oral Research Centers of Discovery, each of which is organized around a unifying scientific theme pertinent to diseases and disorders of the craniofacial-oral-dental complex, integrates basic, clinical, applied and behavioral research, accelerated science-technology transfer, provides a vehicle for cross-disciplinary and collaborative research, and provides health professionals and the public with the latest information about craniofacial-oral-dental health. The Research Training and Career Program insures the future of craniofacial-oral-dental research by developing an outstanding and diverse scientific work force through programs designed for high school, undergraduate, graduate, and post-doctoral stages of education and for continued career development of scientists and retraining of mid-career scientists. The Diversity in Research portfolio enhances research on minority craniofacial-oral-dental health issues, expands the diversity of the scientific work force, and increases the research capacity of minority institutions and of those serving primarily minority populations. The Clinical Trials and Clinical Core Centers portfolio recognizes the increasing need to establish a strong foundation for the support of meritorious clinical research. The Technology Transfer portfolio responds to the increasing partnering of the Institute's Division of Extramural Research with industry in craniofacial-oral-dental research. It expands on the Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs and works closely with the industrial R&D community to identify new opportunities for fostering collaborative efforts. The SBIR program seeks to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The STTR program seeks to stimulate and foster scientific and technological innovation and foster technology transfer through cooperative research and development carried out between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Research Grants: Research Grants provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation in the oral health sciences. They are awarded to universities, colleges, medical and dental schools, hospitals, and other nonprofit and for-profit institutions. Awards include investigator-initiated project grants, program project grants, center grants, career development awards to enable investigators with outstanding research potential to develop their careers, and small grants. Individual and institutional Dentist Scientist Awards are made to provide research career development in both clinical and basic science areas. National Research Service Awards (NRSAs) can be made directly to individuals for research training in specified biomedical areas, and can be made to institutions to enable them to accept individuals for research training. Individuals who receive NRSAs may be obligated upon termination of the award to comply with service and payback provisions. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or process. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally 1-year duration) are able to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperation effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application.

Applicant Eligibility:

Grants: Scientists at universities, medical and dental schools, hospitals, laboratories, and other public or private nonprofit and for-profit institutions. NRSA and career development awards: (1) Nonprofit domestic organizations may apply for institutional awards. (2) Individual candidates or applicants must arrange sponsorship by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. (3) All awardees must be citizens, or non-citizen nationals of the United States or have been admitted to the United States for permanent residence. (4) To be eligible, postdoctoral NRSA and career development awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Institutional applicants must be able to provide the staff and facilities suitable for the proposed research training. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research.

Credentials/Documentation:

Grants: Applications for grants must show the objectives, methodology, and resources for the specific research project and must present the applicant's competence and interest. NRSA and career development awards: (1) Individual Candidates: the applicant's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training, must be included in the application. (2) Institutional Candidates: the applicant institution must show the objectives, methodology, and resources for the research training program, the research qualifications and experience of directing staff to train students, the criteria to be used in selecting individuals for awards, and a detailed budget and justification for the amount of grants funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other than State and local government grantees, costs will be determined by Health and Human Services (HHS) Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and 6246-2 are used to apply for Phase I and Phase II awards, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for

STTR Phase I and Phase II awards respectively.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grants: Scientists at universities, medical and dental schools, hospitals, laboratories, and other public or private nonprofit and for-profit institutions. NRSA and career development awards: (1) Nonprofit domestic organizations may apply for institutional awards. (2) Individual candidates or applicants must arrange sponsorship by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. (3) All awardees must be citizens, or non-citizen nationals of the United States or have been admitted to the United States for permanent residence. (4) To be eligible, postdoctoral NRSA and career development awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Institutional applicants must be able to provide the staff and facilities suitable for the proposed research training. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Award Procedure:

Grants: All accepted applications competing for grants are reviewed by two advisory groups -- the initial review group composed of nongovernmental peer scientists and the second the National Advisory Dental Research Council. For grants costing less than \$50,000, review by a competent staff group may be substituted for Council review. Career development awards and NRSA: All accepted applications are reviewed by an appropriate initial scientific review group. The Advisory Council provides secondary review of institutional NRSA and all career development awards. NIDR staff provides expedited secondary review of individual NRSA and small grant applications. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

New Research Grants, all program project and all Career Development Awards: February 1, June 1, and October 1. Renewal Research Grants, Supplementals, Revised Research Grants and Career Developmental Awards: March 1, July 1, and November 1. NRSA: Individual - April 5, August 5, and December 5; Institutional - September 10. Small Grants: April 3, August 3, and December 3. SBIR: April 15, August 15, and December 15. STTR: December 1, only.

Range of Approval/Disapproval Time:

Grants: From 6 to 9 months. NRSA: From 6 to 9 months. Small grants: About 6 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A Principal Investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Grants: Applications for approval beyond the approved project period must be submitted at least 6 to 9 months in advance of the termination date. NRSA: Institutional Awards may be made up to 5 years. No individual may receive NIH/NRSA support at the postdoctoral level for more than 3 years, unless a specific waiver is requested and approved.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements

Length and Time Phasing of Assistance:

Grants: Approval of a project includes a determination of support for the authorized project period (not to exceed 5 years). Awards to support the project are made on an annual basis. At the time of initial award, the grant provides funds for the conduct of the project during the first budget period (usually 12 months) and the Notice of Grant Award (Form PHS-1533) indicates the support recommended and expected to be made available for the remainder of the project beyond the approved project period, an application for renewal (PHS-398, Rev. September 1991) must be submitted in accordance with the deadline dates and

instructions attached to the form. SBIR Phase I awards are generally for 6 months; Phase II awards normally are for 2 years. STTR Phase I awards are generally for 1 year; Phase II awards are for 2 years.

Reports:

Grants: Annual and terminal reports on progress, expenditures, and inventions in accordance with 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. Reports are required after termination of NRSA to ascertain compliance with the service and payback provisions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0873-0-1-550.

Obligations:

(Grants) FY 07 \$288,744,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Research Grants: \$1,000 to \$5,613,000; \$318,000. NRSA: \$8,000 to \$677,000; \$154,000. SBIR: Average Phase I SBIR awards are for approximately \$100,000; Phase II awards may be made for amounts up to \$750,000.

PROGRAM ACCOMPLISHMENTS:

Funding for fiscal year 2007 is estimated as follows: 451 noncompeting research project grants at \$171,443,000, 169 competing grants at \$54,177,000, 34 SBIR/STTR awards at \$8,330,000, 8 research centers at \$15,259,000, 90 grants in the career development award program at \$12,350,000, 27 other research grants at \$12,275,000, and 337 positions in the NRSA program at \$14,910,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants: 42 CFR 66; 45 CFR 74; Leaflet, entitled, "Grant and Contract Research Programs at the National Institute of Dental Research", Grant Application Kit. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contacts: Division of Basic and Translational Sciences: Dr. Ann Sandberg, (301) 594-2419; Ann.Sandberg@nih.gov; Division of Extramural Activities: Dr. H. George Hausch, (301) 594-2904; HauschG@de45.nidr.nih.gov; Division of Population and Health Promotion Sciences: Dr. Dushanka V. Kleinman, (301) 496-9469; Kleinman@od13.nidr.nih.gov; Division of Intramural Research: Dr. Henning Birkedal-Hansen, (301) 496-2687; bb96r@nih.gov; Use the same telephone numbers for FTS. Address for all contacts: Division of Extramural Research, National Institute of Dental and Craniofacial Research, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20892.

Web Site Address:

<http://www.hhs.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Research center support coordinated studies of periodontal diseases, clinical cores, dental caries, oral biology, craniofacial disorders, oral cancer, minority oral health, and materials sciences; (2) genomic sequencing of bacterial oral pathogens; (3) metalloproteinases in developing enamel; (4) genetic basis of ectodermal dysplasia; (5) biofilms, including studies of microbial ecology, physiology and transmission; (6) prevention and control of infectious diseases including behavioral approaches, antibiotics and vaccines; (7) development of anti-streptococcal antibodies in genetically engineered plants; (8) endodontic treatment and management of oral AIDS-Kaposi's sarcoma for HIV positive patients; (9) development of HIV diagnostic assays utilizing saliva; (10) epidemiology of HIV associated opportunistic oral infections; (11) papilloma viruses in oral cancer; (12) regulated expression of extra-cellular matrix proteins in oral cancer; (13) biobehavioral aspects of temporomandibular disorders (TMD); (14) pharmacological management of TMDs; (15) brainstem mechanisms

controlling jaw movement; (16) neural control of swallowing and mastication; (17) construction of chimeric salivary molecules; (18) etiology and pathology of Sjogren's syndrome; (19) guided bone regeneration with morphogenic protein; and (20) computer assisted detection of dental caries.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to the announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing proposed means for (a) safeguarding human and animal subject, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.123 HEALTH PROFESSIONS PREGRADUATE SCHOLARSHIP PROGRAM FOR INDIANS

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Indian Health Care Improvement Act, Public Law 94- 437, as amended.

OBJECTIVES:

To provide scholarships to American Indians and Alaska Natives for the purpose of completing pre-graduate education leading to a baccalaureate degree only in the following areas: (1) Pre-Medicine: priority as follows: senior, junior, sophomore, freshman; (2) Pre-dentistry: priority as follows: senior, junior, sophomore, freshman.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Scholarship awards are limited to persons of American Indian or Alaska Native descent. Support is limited to 4 years of full-time education, or part-time equivalent for a maximum of 8 years.

Applicant Eligibility:

Individuals of American Indian or Alaska Native descent, who have successfully completed high school education or high school equivalency, and have been accepted for enrollment or are enrolled in an accredited pre-graduate program in a pre-medicine or pre-dentistry curriculum.

Beneficiary Eligibility:

Individuals of American Indian or Alaska Native descent.

Credentials/Documentation:

Students must submit proper documentation of Indian eligibility in order to be considered. The applicant's advisor must also provide verification that the courses to be taken are required for an undergraduate curriculum leading to a bachelor's degree in pre-medicine or pre-dentistry curriculum and represents the number of credit hours which the school requires to be full-time or at least 6 hours of part-time study.

Preapplication Coordination:

Preapplication is not required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application form OMB No. 0917-0006 and instructions are provided by the Indian Health Service and are usually available to interested individuals in February. An application form and required documentation (official transcripts, letter of acceptance by college, curriculum verification, Indian eligibility, tuition verification, faculty evaluations) are submitted to IHS Headquarters for review and approval/disapproval.

Award Procedure:

Grants are awarded on a competitive basis. Approved funding includes

payments for stipends and books that are made directly to the individual recipient. Tuition and fee payments are made to the recipient's college or university.

Deadlines:

Contact IHS Area offices for application deadlines. New applications are usually available in February with a submission deadline of April 1

Range of Approval/Disapproval Time:

Applicants are notified by July 31.

Appeals:

None.

Renewals:

Continuation applications must be submitted annually. Continued funding is subject to the availability of funds and satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Tuition payments are paid upon receipt of invoices from the universities. Stipend payments are paid monthly for the academic period. An amount is provided to students for books and other necessary expenses.

Reports:

Students are required to submit their official transcripts as they are received. Initial progress reports are required for each quarter. Notification of Academic Problem/Change is required at any time a problem or change occurs.

Audits:

Not applicable.

Records:

The Indian Health Service Scholarship Program maintains records on all students. Records must be maintained for 4 years after the final payment has been made.

Account Identification:

75-0390-0-1-551.

Obligations:

(Grants) FY 07 \$1,364,846; FY 08 \$1,364,846, FY 09 est. not available.

Range and Average of Financial Assistance:

\$18,913 to \$27,217; \$20,049.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, 48 new and 13 continuing awards were made. It is estimated that a total of 61 scholarship awards will be made in fiscal year 2006 and fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36.370-36.374. Final Rules and Regulations, the Indian Health Care Improvement Act, Public Law 96-537 (which amends Public Law 94-437, Section 103), published in the Federal Register on February 29, 1984.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Ms. Pat Lee-McCoy, IHS Scholarship Program, Indian Health Service, 801 Thompson Avenue, Suite 120, Rockville, MD 20852. Telephone: (301) 443-6197. Grants Management Contact: Ms. Kimberly Pendleton, Senior Grants Management Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-0243. Use the same numbers for FTS.

Web Site Address:

<http://www.ihs.gov>.

RELATED PROGRAMS:

93.971, Health Professions Preparatory Scholarship Program for Indians; 93.972, Health Professions Scholarship Program.

EXAMPLES OF FUNDED PROJECTS:

Scholarships may be awarded for any of the following career categories (or the equivalent): (1) Premedicine; (2) preoptometry; (3) predentistry; or (4) preosteopathy. The priority categories are premedicine (priority as follows: senior, junior, sophomore, freshman) and predentistry (priority as follows: senior, junior, sophomore, freshman).

CRITERIA FOR SELECTING PROPOSALS:

Applicant must be of Indian descent. Other elements considered are: (1) academic performance; (2) faculty or employer recommendation; (3) stated reasons for applying for the scholarship; and (4) the relative needs of the Indian Health Service and Indian health organizations for persons in specific health professions.

93.124 NURSE ANESTHETIST TRAINEESHIPS

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 811, 42 U.S.C. 296j, as amended, Health Professions Education Partnership Act of 1998, Public Law 105-392.

OBJECTIVES:

Grants are awarded to eligible institutions to provide financial support through traineeships for licensed registered nurses enrolled as full-time students beyond

the twelfth month of study in a master's nurse anesthesia program.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

To meet the costs of traineeships that will pay all or part of the costs of tuition, books, fees, and reasonable living expenses for the program for registered nurses to become nurse anesthetists for up to a maximum of 18 months of full-time study. Award recipients must have completed 12 months of study in a nurse anesthesia master's program. Restricted uses: Indirect costs and trainee travel are not allowed.

Applicant Eligibility:

Eligible applicants are collegiate schools of nursing, academic health centers, and other private or public nonprofit entities accredited by a recognized body or bodies or State agency, approved for the purpose of nursing education by the Secretary of Education, which provide registered nurses with full-time anesthetist master's education and have evidence of earned accreditation status from the American Association of Nurse Anesthetists (AANA) Council on Accreditation of Nurse Anesthesia Education Programs.

Beneficiary Eligibility:

Traineeship recipients are selected by the participating institutions. A candidate must be a citizen of the United States, non-citizen national, or foreign national who possesses a visa permitting permanent residence in the United States, be enrolled full-time beyond the 12th month of study in a participating nurse anesthetist master's education program.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award issued from the Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Approximately 2 to 3 months after receipt of applications.

Appeals:

None.

Renewals:

Applications may be submitted annually.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Project periods are for (1) year.

Reports:

Annual financial status reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$1,250,000; FY 08 \$1,250,000; and FY 09 est \$1,250,000.

Range and Average of Financial Assistance:

FY 08: Range is \$276 to \$56,247; Average is \$17,123.

PROGRAM ACCOMPLISHMENTS:

2007 - 79 awards were made; 2008 - 73 awards were made; 2009 - 75 estimated awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education,

hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Ms. Karen Breeden, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Parklawn Building, Room 8-C26, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-5787.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funded projects provide financial support to students enrolled full-time beyond the first 12 months of study in a nurse anesthetist education program to cover the costs of tuition and fees, books and reasonable living allowance.

CRITERIA FOR SELECTING PROPOSALS:

All eligible applicants are considered in a formula for determined awards.

Eligible applicants must meet all applicable Federal guidelines and/or graduates must be eligible for national nursing organization certification, and/or programs must meet other national organizational guidelines, as appropriate, in the nursing field of study.

93.127 EMERGENCY MEDICAL SERVICES FOR CHILDREN

(EMS for Children)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 1910, as amended, Public Law 102-410.

OBJECTIVES:

To support demonstration projects for the expansion and improvement of emergency medical services for children who need treatment for trauma or critical care.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for carrying out the approved project in accordance with Section 1910 of the Public Health Service Act.

Applicant Eligibility:

States and accredited schools of medicine.

Beneficiary Eligibility:

All children will benefit from the project grants administered by this program, including children from minority groups.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office of the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Maternal and Child Health. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Applications are reviewed by a review committee of experts who are generally nongovernmental. Applications are reviewed based on their merit and are ranked according to a point score. Final decisions are made by the Associate Administrator for Maternal and Child Health.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Final decisions are made about 4 months after receipt of applications.

Appeals:

Applicants may reapply for support by submitting a revised application.

Renewals:

Renewal applications will be accepted.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Awards are made annually, in accordance with the project period method of awarding grants. Payments are made through a Letter of Credit or Cash Demand System. Project periods are generally for up to 3 years.

Reports:

Annual program reports, financial status reports, program service reports, and special reports must be submitted as required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$15,418,994; FY 08 est \$17,398,487; and FY 09 est \$0.

Range and Average of Financial Assistance:

\$89,397 to \$1,200,000; \$192,737.

PROGRAM ACCOMPLISHMENTS:

For FY 07, 81 grants were funded and for FY 08, it is estimated that 75 grants will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

EMSC Senior Program Manager, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18A-38, 5600 Fishers Lane, Rockville, MD 20857.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

20.600, State and Community Highway Safety; 93.110, Maternal and Child Health Federal Consolidated Programs.

EXAMPLES OF FUNDED PROJECTS:

State Partnership Grants The State Partnership grants fund EMS state agencies to focus on the following performance measures: (1) Ensure operational capacity to provide pediatric emergency care by guaranteeing pre-hospital provider agencies have on-line and off-line pediatric medical direction; pre-hospital providers agencies have essential pediatric equipment and supplies; implement a state-wide, territorial, or regional facility recognition program for hospitals that are able to stabilize and/or manage pediatric emergencies; and hospitals have written inter-facility transfer guidelines and agreements that specify alternate sites that have the capabilities to meet the clinical needs of critically ill and injured pediatric patients. (2) Adopt requirements by states/territories for pediatric emergency education for the recertification of paramedics. (3) Establish permanence of EMSC in each state/territory National EMSC Data Analysis Resource Center. NEDARC provides technical assistance, training workshops, and site visits in order to assist EMSC projects and state EMS offices develop their capabilities to collect, analyze, and utilize EMS data. NEDARC provided the foundation from which the NEMSIS Technical Assistance Center was created and is an example of collaboration between EMSC and the National Highway Traffic Safety Administration (NHTSA) Targeted Issues Grants. Targeted Issues grants are intended to address specific needs or concerns in the field of pediatric emergency care that transcend state boundaries. Typically the projects result in a new product or resource or the demonstration of the effectiveness of a model system component or service of value to the nation. Types of projects that have been funded within this category include developing model pediatric components for state disaster plans, screening and secondary prevention for psychological sequelae of pediatric injury, basic emergency

lifesaving skills in schools, and emergency preparedness for infants with significant heart disease. Network Development Demonstration Project (NDDP) Projects are cooperative agreements that demonstrate a system of regional applied pediatric emergency medical services centers designed to expand and improve emergency services for children who need treatment for trauma or critical care. Awardees are linked together in a network to demonstrate a capacity to conduct multi-site studies on issues relating to the management of pediatric events that occur in hospitals as well as in transport.

CRITERIA FOR SELECTING PROPOSALS:

Grant applications will be reviewed and evaluated by a panel of reviewers experienced in the planning, implementation, and monitoring of emergency medical services and pediatric care. The reviewers will recommend a score for applications; recommend any modifications or conditions to the grant if awarded; and recommend any changes to the proposed budget. Recommendations of the review panel are presented to the Associate Administrator for MCH. Panel recommendations are advisory only, and the Associate Administrator for MCH will be responsible for final decisions regarding awards. Reviewers will use the criteria and questions described in the section on Categories of Grants: Program Narrative and Review Criteria to evaluate proposals. Applicants are urged to address these criteria as directly as possible in the text of the program narrative.

93.129 TECHNICAL AND NON-FINANCIAL ASSISTANCE TO HEALTH CENTERS

(State and Regional Primary Care Associations)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Section (I).

OBJECTIVES:

To provide necessary technical and non-financial assistance to potential and existing health centers, including: 1) training and assistance in fiscal and program management (program requirements); 2) operational and administrative support (performance improvement); and 3) the provision of information regarding resources available under Section 330 and how they can best be used to meet the health needs to the communities served by potential and existing health centers (information sharing/knowledge management).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Recipients will be expected to provide certain technical and non-financial assistance to health centers and other qualified organizations.

Applicant Eligibility:

State and Regional Primary Care Associations who currently work with BPHC-supported programs or other qualified community-based providers with similar missions throughout their State or region.

Beneficiary Eligibility:

This program will benefit medically underserved populations.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for Bureau of Primary Health Care. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Each applicant will receive a written notification of the outcome of the objective review process and whether the application was selected for funding. Applicants who are selected for funding may be required to respond in a satisfactory manner to Conditions placed on their application before funding may proceed. Letters of notification do not provide authorization to begin performance. The Notice of Grant Award, which is signed by the Grants Management Officer and is sent to the applicant agency's Authorized Representative, is the authorizing document.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

Awards to support projects beyond the first budget year will be contingent upon Congressional appropriation, compliance with applicable statutory and regulatory requirements, demonstrated organizational capacity to accomplish the project's goals, and a determination that continued funding would be in the best interest of the government.

Formula and Matching Requirements:

There is no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Awards are made annually. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System.

Reports:

Grantees are required to submit an annual progress report for continued funding that describes the utilization costs of services provided under the grant, and provide such other information as the Secretary determines appropriate. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and progress reports are due 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 est \$34,000,000; FY 08 est \$40,000,000; and FY 09 est \$38,000,000.

Range and Average of Financial Assistance:

\$300,000 to \$2,200,000.

PROGRAM ACCOMPLISHMENTS:

It is expected that 51 associations will be funded in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Headquarters Program Office: Bureau of Primary Health Care, HRSA, 5600 Fishers Lane, Rockville, MD 20857. Eastern Division: 301-594-4488; Central Mid-Atlantic Division; Telephone: (301) 594-4420; Western Division; Telephone: (301) 480-1130.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: Telephone: (301) 443-2385; Research and Training Branch: Telephone: (301) 443-3099; Government and Special Focus Branch: Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov .

RELATED PROGRAMS:

93.129, Technical and Non-Financial Assistance to Health Centers.

EXAMPLES OF FUNDED PROJECTS:

A grant to a State or regional primary care association is awarded to provide necessary technical and non-financial assistance to potential and existing health centers, including: 1) training and assistance in fiscal and program management (program requirements); 2) operational and administrative support (performance improvement); and 3) the provision of information regarding resources available under Section 330 and how they can best be used to meet the health needs to the communities served by potential and existing health centers (information sharing/knowledge management).

CRITERIA FOR SELECTING PROPOSALS:

Applicants for funding under Section 330 to provide technical and non-financial assistance to health centers will be evaluated on their ability to perform State or regional-specific assistance in the following areas: 1) training and assistance in fiscal and program management (program requirements); 2) operational and

administrative support (performance improvement), and 3) information sharing/knowledge management. The application should outline specific activities under each core function. These activities must support and advance the HRSA/BPHC mission goals and focus on HRSA/BPHC supported health centers. The extent and type of activities under each core function should depend on demonstrated health centers needs and HRSA/BPHC/State/regional priorities.

93.130 COOPERATIVE AGREEMENTS TO STATES/TERRITORIES FOR THE COORDINATION AND DEVELOPMENT OF PRIMARY CARE OFFICES

(State Primary Care Offices)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 330(k), 330(m) and 333(d).

OBJECTIVES:

To coordinate local, State, and Federal resources contributing to primary care service delivery and workforce issues in the State to meet the needs of medically-underserved populations through health centers and other community-based providers of comprehensive primary care and the retention, recruitment, and oversight of health professions for medically underserved populations.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Recipients will be expected to perform State-wide primary care planning and resource coordination, including Medicaid, Children's Health Insurance program, State Offices of Rural Health, Ryan White Area Health Education Centers, Maternal and Child Health, etc.; support health systems development, primary care practitioners retention and recruitment, National Health Services Corps monitoring activities, as well as activities relating to other special populations including rural populations; and conduct operational and administrative support activities.

Applicant Eligibility:

States or territories, political subdivisions of States, agencies of States, or other public entities that operate solely within one State.

Beneficiary Eligibility:

Medically underserved populations within States will benefit from this program.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

The Division of Independent Review is responsible for managing objective reviews within Health Resources and Services Administration. Applications will receive an objective and independent review performed by a committee of experts. Applications are reviewed for merit and are recommended for approval or disapproval. Each applicant will receive written notification of the outcome of the objective review process, including summary of the expert committee's assessment of the application's merits and weaknesses, and whether the application was selected for funding. Applicants who are selected for funding may be required to respond in a satisfactory manner to conditions placed on their application before funding can proceed. Final decisions are made jointly by the Associate Administrator for Health Professions and the Associate Administrator for Primary Care. Letters of notification do not provide authorization to begin performance. The Notice of Grant Award, which is signed by Grants Management Officer and is sent to the applicant's agency's Authorized Representative, is the authorizing document.

Deadlines:

www.hrsa.gov .

Range of Approval/Disapproval Time:

90 to 120 days.

Appeals:

Not applicable.

Renewals:

Support is recommended for a specified project period, not in excess of 3 years. After initial awards are made, projects may be renewed annually depending on

the submission and approval of a satisfactory application. Future funding is contingent on the availability of funds for such purposes.

Formula and Matching Requirements:

There are no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Awards are made annually. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System.

Reports:

Grantees are required to submit annual program reports. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and progress reports are due 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$10,000,000; FY 08 est \$10,000,000; and FY 09 est \$10,000,000.

Range and Average of Financial Assistance:

Average award per recipient \$188,679.

PROGRAM ACCOMPLISHMENTS:

In FY 08, 53 applicants were funded. It is anticipated that 53 new awards will be funded in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Office of Shortage Designation Branch, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 8C-26, Rockville, Maryland 20857. Telephone: (301) 594-0816.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.129, Technical and Non-Financial Assistance to Health Centers.

EXAMPLES OF FUNDED PROJECTS:

A cooperative agreement to a State Health Department engaged in the following: (1) statewide primary health care planning and resource coordination; (2) health systems development; (3) National Health Service Corps monitoring activities; (4) supporting the use of State resources (including Medicaid, Children's Health Insurance Program, Area Health Education Centers, and Maternal and Child Health) for primary care purposes to medically underserved populations; and (4) promoting partnerships with State and Regional Primary Care Associations, State Offices of Rural Health, Ryan White, and Maternal and Child Health.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated in the following areas: (1) Ability to assess and analyze the State's health policy environment, its unmet need for preventive and primary care services, its health care marketplace and its major disparities in health outcomes; and (2) develop and implement strategies and activities to effectively assist health centers and NHSC delivery sites in their response to these State environmental conditions and needs identified.

93.134 GRANTS TO INCREASE ORGAN DONATIONS

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Section 377 of the Public Health Service (PHS) Act, 42 U.S.C. Section 274f. Clinical Interventions to Increase Organ Procurement (CIOP): Section 377A of the Public Health Service (PHS) Act, 42 U.S.C. Section 274f-1. Social and Behavioral Interventions to Increase Solid Organ Donation: Section 377A of the Public Health Service (PHS) Act, 42 U.S.C. Section 274f-1. State Donor Registry Support Program and Public Education Efforts to Increase Solid Organ Donation: Section 377A of the Public Health Service (PHS) Act, 42 U.S.C. Section 274f-1. Public Education Efforts to Increase Solid Organ Donation: Section 377A of the Public Health Service (PHS) Act, 42 U.S.C. Section 274f-1. Grants to Establish Programs Coordinating Organ Donation Activities of Hospitals and Organ Procurement Organizations: Section 377B of the Public Health Service Act, 42 U.S.C. Section 274f-2.

OBJECTIVES:

To support grants for the purpose of increasing public commitment to organ donation and the number of organs recovered and ultimately transplanted. To that end, the Division of Transplantation has a cooperative agreement and 5 grant programs with the following objectives: Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: The purpose of this program is to establish and operate a national system to provide reimbursement of travel and subsistence expenses, and other non-medical expenses that may be authorized by the Secretary to individuals making living donations of their organs. Clinical Interventions to Increase Organ Procurement (CIOP): This program provides support for the implementation and evaluation of model interventions for identifying appropriate heart beating and non-heart-beating donation candidates, evaluating donated organs, maintaining donor stability, and optimizing methods for organ procurement. In FY 2007, the sole focus of the CIOP was to provide support for model interventions for increasing organ donation from uncontrolled donors after cardiac death (UDCD). In FY 2008 the CIOP focus returned to Donation after Neurological Death (DND) and Donation after Cardiac Death (DCD) both controlled and uncontrolled. The FY 2009 focus will again be on DND and DCD. Support would also be likely for hypothesis driven testing of emerging technologies that may be useful for evaluating and improving organ function. Social and Behavioral Interventions to Increase Solid Organ Donation: This program provides support for the implementation and rigorous evaluation of highly promising strategies and approaches for increasing public attitudes, practices and ultimately commitment to organ donation as well as family consent at a relative's time of death. State Donor Registry Support Program: This program provides support to units of State government to develop or improve a statewide electronic registry in which residents can indicate their intent to be a donor which can be accessed by procurement personnel on a 24/7 basis to determine donation wishes of residents at their time of death. The ultimate objective is to ensure that every American has the opportunity to designate his or her donation wishes in a manner that is consistently available when needed. Public Education Efforts to Increase Solid Organ Donation: The purpose of this program is to support the implementation of public education and outreach programs that show promise of increasing organ donation. Specifically, this program supports the replication of strategies demonstrated in the Division's grant program Social and Behavioral Interventions to Increase Solid Organ Donation or through other research efforts to be effective in increasing organ donation. The program also supports the implementation of efforts to increase organ donation that adopt and replicate strategies demonstrated in the public health literature as being effective methods for modifying other health behaviors. The program also supports the implementation of public education and outreach efforts that are based on an established framework for successful public health outreach programs. This grant program is supportive of the Division's mission to educate the public about deceased and/or living donation and to encourage individuals' to document their decision to be a donor in their statewide donor registry or by some other mechanism where a registry is unavailable. Grants to Establish Programs Coordinating Organ Donation Activities of Hospitals and Organ Procurement Organizations: This grant program provides support to teams of OPOs and hospitals in the same donation service area (DSA) to implement and better coordinate strategies for increasing their rates of organ donation and consequently, the number of lives saved through transplantation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Funds made available through this program may not be made available to donating individuals when payment for qualified reimbursement expenses has been made, or can reasonably be expected to be made: (1) under any State compensation program, under an insurance policy, or under any Federal or State health benefits program; (2) by an entity that provides health services on a prepaid basis; or (3) by the recipient of the organ. All Other Programs: Funds may not be used for activities that are reimbursable under Medicare.

Applicant Eligibility:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: As specified in Section 377 of the Public Health Service Act, as

amended, eligible applicants include States, transplant centers, qualified organ procurement organizations under section 371, or other public or private entities. Clinical Interventions to Increase Organ Procurement Grant Program (CIOP): As specified in Section 377A (b) of the Public Health Service Act, as amended, the Secretary may make peer-reviewed grants to public and nonprofit private entities. If the applicant is an OPTN member, and if the applicant is working with a consortium that includes OPTN members, the applicant and all other OPTN members are expected to be in compliance with the final rule governing the operation of the OPTN Section 121.11(b2). Social and Behavioral Interventions to Increase Solid Organ Donation Grant Program: As specified in Section 377A(b) of the Public Health Service Act, as amended, the Secretary may make peer-reviewed grants to public and nonprofit private entities for the purpose of carrying out studies and demonstration projects to increase organ donation and recovery rates, including living donation. If the applicant is an OPTN member, and if the applicant is working with a consortium that includes OPTN members, the applicant and all other OPTN members are expected to be in compliance with the final rule governing the operation of the OPTN Section 121.11(b2). State Donor Registry Support Program: As specified in Section 377A(c) of the Public Health Service Act, as amended, the Secretary may make peer-reviewed grants to States for activities pertaining to the State donor registry. If the applicant is working with a consortium, any consortium members that are OPTN members are expected to be in compliance with the final rule governing the operation of the OPTN Section 121.11(b2). Public Education Efforts to Increase Solid Organ Donation: As specified in Section 377A(b) of the Public Health Service Act, as amended, the Secretary may make peer-reviewed grants to public and nonprofit private entities for the purpose of carrying out studies and demonstration projects to increase organ donation and recovery rates, including living donation. If the applicant is an OPTN member, and if the applicant is working with a consortium that includes OPTN members, the applicant and all other OPTN members involved in the project are expected to be in compliance with the final rule governing the operation of the OPTN Section 121.11(b2). Grants to Establish Programs Coordinating Organ Donation Activities of Hospitals and Organ Procurement Organizations: As specified under section 377B of the Public Health Service Act, the Secretary may award grants to qualified organ procurement organizations and hospitals under section 371 to establish programs coordinating organ donation activities of eligible hospitals and qualified organ procurement organizations under section 371. For purposes of this section, eligible hospital means a hospital that performs significant trauma care, or a hospital or consortium of hospitals that serves a population base of not fewer than 200,000 individuals.

Beneficiary Eligibility:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Primary beneficiaries are low/moderate income living organ donors and recipients. All other Programs: Beneficiaries are all organ transplant recipients.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Healthcare Systems. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Applications are reviewed by a committee of representatives with diverse expertise including organ transplantation. Applications are reviewed for merit. Final decisions are made by the Associate Administrator for the Bureau of Healthcare Systems. Clinical Interventions to Increase Organ Procurement Grant Program: Applications are reviewed by a committee of representatives knowledgeable about organ donation and transplantation. Applications are reviewed for merit. Final decisions are made by the Associate Administrator for the Bureau of Healthcare Systems. Social and Behavioral Interventions to Increase Organ Donation Grant Program: Applications are reviewed and scored for merit by a committee of representatives knowledgeable about strategies to increase organ donation and evaluation research methodology. Final decisions are made by the Associate Administrator for the Bureau of Healthcare Systems. State Donor Registry Support Program: Applications are reviewed and scored for merit by a committee of individuals with expertise and experience in statewide organ donor registries and evaluation processes. Final decisions are made by the

Associate Administrator for the Bureau of Healthcare Systems. Public Education Efforts to Increase Solid Organ Donation Grant Program:

Applications are reviewed and scored for merit by a committee of individuals with expertise in organ donor awareness strategies and applied evaluation methodologies. Applications are reviewed and scored for merit. Final decisions are made by the Associate Administrator for the Bureau of Healthcare Systems. Grants to Establish Programs Coordinating Organ Donation Activities of Hospitals and Organ Procurement Organizations: Applications are reviewed and scored for merit by a committee of representatives knowledgeable about strategies to increase organ donation. Final decisions are made by the Associate Administrator for the Bureau of Healthcare Systems.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None of the grant programs has a statutory formula. The Grants to Establish Programs Coordinating Organ Donation Activities of Hospitals and Organ Procurement Organizations Program has a matching requirement of not less than 30% of the amount of the grant awarded.

Length and Time Phasing of Assistance:

The Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation Cooperative Agreement is awarded for a 12-month budget period over a four-year period. Both Clinical Interventions Research grants and Social and Behavioral Research grants are awarded for a 12-month budget period over a three-year period. State Donor Registry Support Program grants are awarded for a 12-month budget period over a one-year period. Public Education Efforts to Increase Solid Organ Donation are awarded for a 12-month budget period over a two year period. Grants to Establish Programs Coordinating Organ Donation Activities of Hospitals and Organ Procurement Organizations are awarded for a 12-month budget period over a two-year period.

Reports:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Two progress reports are required in addition to annual financial reports. Final reports and final financial status reports are due 90 days from the end of the project period. Clinical Interventions to Increase Organ Procurement Grant Program: Quarterly progress reports are required for all awardees in addition annual financial reports. Final progress reports and final financial status reports are due 90 days from the end of the project period. Social and Behavioral Interventions to Increase Organ Donation Grant Program: Two progress reports are required for all awardees in addition to annual financial reports. Final reports and final financial status reports are due 90 days from the end of the project period. State Donor Registry Support Program: One progress report is required for all awardees in addition to annual financial reports. Final reports and final financial status reports are due 90 days from the end of the project period. Public Education Efforts to Increase Solid Organ Donation: Two progress reports are required for all awardees in addition to annual financial reports. Final reports and final financial status reports are due 90 days from the end of the project period. Grants to Establish Programs Coordinating Organ Donation Activities of Hospitals and Organ Procurement Organizations: Two progress reports are required for all awardees in addition to annual financial reports. Final reports and final financial status reports are due 90 days from the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

122 Obligations: Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: FY 07 \$500,000; FY 08 \$0; FY 09 est \$2,000,000. Clinical Interventions to Increase Organ Procurement Grant Program: FY 07 \$757,445; FY 08 est \$1,952,844; and FY 09 est \$2,841,654. Social and Behavioral Interventions to Increase Organ Donation Grant Program: FY 07 \$3,690,372; FY 08 est \$3,809,461; and FY 09 est \$3,695,645. State Donor

Registry Support Program: FY 07 \$467,510; FY 08 est \$600,000; and FY 09 est \$500,000. Public Education Efforts to Increase Organ Donation: FY 07 est \$1,300,698; FY 08 \$1,945,090; and FY 09 est \$1,662,830. Grants to Establish Programs Coordinating Organ Donation Activities of Hospitals and Organ Procurement Organizations: FY 07 \$161,180.

Range and Average of Financial Assistance:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: \$2,000,000 Clinical Interventions to Increase Organ Procurement Grant Program: \$286,974 to \$626,740, \$379,329. Social and Behavioral Interventions to Increase Organ Donation Grant Program: \$65,000 to \$585,761; \$226,789. State Donor Registry Support Program: \$97,872 to \$175,725; \$145,352 Public Education Efforts to Increase Organ Donation: \$206,362 to \$413,360; \$328,294.75. Grants to Establish Programs Coordinating Organ Donation Activities of Hospitals and Organ Procurement Organizations: \$45,000 to \$63,382; \$79,453.

PROGRAM ACCOMPLISHMENTS:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: In FY 06, a cooperative agreement was awarded to the Regents of the University of Michigan to establish and operate the program through September 30, 2010. The Regents of the University in collaboration with the American Society of Transplant Surgeons established the National Living Donor Assistance Center to operate the Program. The Program Eligibility Guidelines were approved and published in the Federal Register in October 5, 2007. The Program Website, www.livingdonorassistance.org was launched in October 17, 2007. As of April 30, 2008, approximately 100 prospective living donors have applied for assistance from NLDAC. Clinical Interventions to Increase Organ Procurement Grant Program: There were no new grants funded in FY 2006 (CIOP). CIOP final reports were submitted in 2007. A grant related manuscript is to be soon published by the New England Journal of Medicine. In FY 2007 the CIOP was released with a new focus on uncontrolled donation after cardiac death (UDCD), and two grants were awarded. For FY 2008 there are 12 applications involving DCD and DND that are to be submitted to the grant review process. Social and Behavioral Interventions to Increase Solid Organ Donation Grant Program: In FY 05, 13 noncompeting and 5 competing awards were made; in FY 2006, 12 non-competing and 6 competing awards were made. In FY 2007, 10 non-competing awards and 5 competing awards were made. Thirteen applications were submitted for competitive review in FY 2008. State Donor Registry Support Program: In FY 2006, one competing award was made; in FY 2007, 4 awards were made. Five applications were submitted for competitive review in FY 2008. Public Education Efforts to Increase Solid Organ Donation: In FY 2007, 4 awards were made. Eight applications were submitted in FY 2008 with two awards made. Grants to Establish Programs Coordinating Organ Donation Activities of Hospitals and Organ Procurement Organizations: In FY 2006, there were 4 non-competing and 3 competing awards were made. In FY 2007, 3 non-competing awards and no new awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Mesmin Germain, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 12C-06, Rockville, MD 20857. Telephone: (301) 443-0053. Clinical Interventions to Increase Organ Procurement Grant Program: Bernard Kozlovsky, MD, MS, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 12C-06, Rockville, MD 20857. Telephone: (301) 443-0565. Social and Behavioral Interventions to Increase Organ Donation Grant Program and Public Education Efforts to Increase Solid Organ Donation: Madeleine Hess, PhD, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 12C-06, Rockville, MD 20857. Telephone: (301) 443-6866. State Donor Registry Support Program: Venus Walker, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 12C-06, Rockville, MD 20857. Telephone: (301) 443-7578. Public Education Efforts to Increase Solid Organ Donation: Madeleine Hess, PhD, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 12C-06, Rockville, MD 20857. Telephone: (301) 443-6866. Grants to Establish Programs Coordinating Organ Donation Activities of Hospitals and Organ Procurement Organizations: Madeleine Hess, PhD, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 12C-06, Rockville, MD 20857. Telephone: (301) 443-6866.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16.

Health Services Branch: Telephone: (301) 443-2385; Research and Training Branch: Telephone: (301) 443-3099; Government and Special Focus Branch: Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: The funded project will establish and operate a National system to provide reimbursement of travel and subsistence expenses to individuals making living donations of their organs. Clinical Interventions to Increase Organ Procurement Grant Program: Funded projects include such interventions such as pulsatile perfusion of kidneys, pulsatile perfusion in the expanded kidney donor, chest wall oscillation to improve quantity and quality of brain dead heart beating donor lungs and validation of identification criteria for DCD donors. FY 2007 awards will be focused on uncontrolled donation after cardiac death (UDCD). Social and Behavioral Interventions to Increase Organ Donation Grant Program: Funded projects include social and behavioral interventions to promote awareness of the need for donors and commitment to organ donation through the workplace, in schools, and on Native American Indian reservations, and projects to increase the number of dialysis patients who are aware of their option to identify a living donor. State Donor Registry Support Program: The funded project will develop and launch a statewide registry in Kentucky in which residents can indicate their intent to be organ, tissue, and eye donors. Grants to Establish Programs Coordinating Organ Donation activities of Hospitals and Organ Procurement Organizations: The funded projects provide for the availability of trauma and acute hospital's to support in-house organ donation program coordinators to increase or maintain an organ donation conversion rate of 75 percent through improved identification and referral of potential donors, improved effective requesting, especially to improve minority conversion rates and to implement donation following cardiac death, resulting in increased numbers of transplantable organs from single donors. These project goals are consistent with the IHI Donation Breakthrough Collaborative and awardees will provide both quantitative and qualitative data at the end of the project period.

CRITERIA FOR SELECTING PROPOSALS:

Please refer to the Program Guidance.

93.135 CENTERS FOR RESEARCH AND DEMONSTRATION FOR HEALTH PROMOTION AND DISEASE PREVENTION

(Prevention Research Centers)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 1706, 42 U.S.C. 300u-5, as amended; Section 2(d), Public Law 98-551.

OBJECTIVES:

To: (1) To establish, maintain, and operate academic-based centers for high-quality research and demonstration with respect to health promotion and disease prevention; (2) to establish linkages, where applicable, between ongoing, basic research in a wide array of fields and applied research in disease prevention and health promotion; (3) to bring the knowledge and expertise of academic health centers to bear on practical public health problems; (4) to field test and rigorously evaluate more cost-effective methods and strategies for preventing unnecessary illness and promoting good health; and (5) to shorten the time lag between the development of new and proven effective disease prevention and health promotion techniques and their widespread application. 6) to involve the community in the development, conduct, and implementation of prevention research.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds are available for costs directly attributed to the performance of research and demonstration projects pertaining to health promotion and disease prevention plus certain indirect costs of the grantee in accordance with established policies of the Public Health Service. Grantees may not award subgrants but may enter into consortia agreements or contracts as necessary to achieve the aims of the program.

Applicant Eligibility:

Eligible applicants are accredited schools of medicine, schools of osteopathy, and schools of public health as defined in Section 701 (4) of Public Health Service Act.

Beneficiary Eligibility:

Academic health centers, scientist/researchers, operational public health programs, targeted high risk groups, selected demonstration areas, and the general public.

Credentials/Documentation:

Costs will be determined in accordance with HHS Regulations, 45 CFR 74, Subpart Q for nonprofit organizations.

Preapplication Coordination:

Preapplication coordination is not required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 9/2004, Interim revised 4/2006). Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341.

Award Procedure:

Approved grants are funded based on priority score ranking from a scientific review, as well as availability of funds, secondary review and such other significant factors deemed necessary and appropriate by the agency. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 5152-1) indicates support recommended for remainder of project period, allocations of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

From six to nine months.

Appeals:

None.

Renewals:

Applications for renewal will be reviewed in the same manner as new applications and will compete for available funds with other applications.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

From one to five years (renewable, based on competitive applications and availability of funds).

Reports:

Financial status reports (annual); interim progress (annually); terminal progress report (three months after end of project); and reprints and copies of resulting publications.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, including documents to support entries on accounting records and substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Grants) FY 07 \$24,287,000; FY 08 est \$24,200,000; and FY 09 est \$24,200,000.

Range and Average of Financial Assistance:

\$720,000 to \$770,000; \$730,000.

PROGRAM ACCOMPLISHMENTS:

A total of 33 centers were funded in fiscal year 2004. It is estimated that in fiscal year 2008 awards will be made to the same 33 centers.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; and basic grant administration policies of DHHS and PHS are also applicable, 45 CFR 74; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Eduardo Simoes, M.D., Director, Prevention Research Center Program, Division of Adult and Community Health, National Center for Chronic Disease Prevention and Health Promotion, Centers for Disease Control and Prevention, Department of Health and Human Services, 4770 Buford Highway, NE., K-45, Atlanta, GA 30341-3724. Telephone: (770) 488-5395. Grants Management Contact: Hector Buitrago, Lead Grants Management Specialist, Team 2, Branch 3, Procurement and Grants Office, Centers for Disease Control

and Prevention, Department of Health and Human Services, 2920 Brandywine Road, Room 3000, Mailstop E-09, Atlanta, GA 30341. Telephone: (770) 488-2700.

Web Site Address:

<http://www.cdc.gov/prc>.

RELATED PROGRAMS:

93.283, Centers for Disease Control and Prevention_Investigations and Technical Assistance.

EXAMPLES OF FUNDED PROJECTS:

Each Center dedicates core resources to support a particular research project with a particular emphasis on addressing health disparities. For example, the causes of excess mortality in Harlem, promoting healthy lifestyles in American Indians and focusing on the health of older adults. Many of the research projects are also in specific areas of importance addressing the Healthy People 2010 Objectives. The Prevention Research Centers focus on solutions for underserved communities and promote disease prevention and health promotion strategies among the following population groups: children and youth, older adults and disabled persons; African Americans, Asians, Hispanics, American Indians, and rural populations.

CRITERIA FOR SELECTING PROPOSALS:

Prevention Research Center applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.136 INJURY PREVENTION AND CONTROL RESEARCH AND STATE AND COMMUNITY BASED PROGRAMS**FEDERAL AGENCY:**

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Laws 99-500 and 99-501; Department of Health and Human Services Appropriation Act of 1987, Section 601; Economy Act, Public Law 99-190, as amended, 31 U.S.C. 1533 and 1536; Public Health Service Act, Sections 301 and 394, as amended, 42 U.S.C. 241.

OBJECTIVES:

RESEARCH GRANTS: (1) To support injury control research on priority issues; (2) to integrate aspects of engineering, public health, behavioral sciences, medicine, engineering, health policy, economics and other disciplines in order to prevent and control injuries more effectively; (3) to rigorously apply and evaluate current and new interventions, methods, and strategies that focus on the prevention and control of injuries; (4) to stimulate and support Injury Control Research Centers (ICRCs) in academic institutions which will develop a comprehensive and integrated approach to injury control research and training; and (5) to bring the knowledge and expertise of ICRCs to bear on the development of effective public health programs for injury control. STATE AND COMMUNITY PROGRAM GRANTS/COOPERATIVE AGREEMENTS: (1) To develop and evaluate new methods or to evaluate existing methods and techniques used in injury surveillance by public health agencies; and (2) to develop, expand, or improve injury control programs to reduce morbidity, mortality, severity, disability, and cost from injuries.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are available for costs directly attributed to the performance of research and demonstrations surveillance or interventions/evaluations programs pertaining to injury prevention and control plus certain direct costs of the grantee in accordance with established policies of the Public Health Service. Grantees may not award subgrants but may enter into contracts as necessary to achieve the aims of the program.

Applicant Eligibility:

For INJURY PREVENTION AND CONTROL RESEARCH PROGRAMS, AND INJURY CONTROL RESEARCH CENTERS: Eligible applicants may include any nonprofit or for-profit organization; for STATE AND COMMUNITY PROGRAM GRANTS/COOPERATIVE AGREEMENTS: State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau) and political subdivisions of States (in consultation with States), Federally recognized or state-recognized American Indian/Alaska Native

tribal governments, American Indian/Alaska native tribally designated organizations, Alaska Native health corporations, Urban Indian health organizations, Tribal epidemiology centers; for COMMUNITY-BASED PROGRAMS: public, private, nonprofit and for-profit organizations may be eligible.

Beneficiary Eligibility:

FOR RESEARCH GRANTS: Academic health centers, scientist/researchers, operational public health programs, State and local governments, and public and private organizations involved in injury research. FOR STATE AND COMMUNITY-BASED GRANTS AND COOPERATIVE AGREEMENTS: State and local health departments, and community-based organizations.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For all other nonprofit grantees, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. For-profit organizations' costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31.

Preapplication Coordination:

Preapplication coordination is desired for research grants but not required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

This program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations, as appropriate. Funding Opportunity Announcements for this program are posted on www.Grants.gov, the official federal agency wide e-grant Web site. Application forms and instructions specific to each announcement are posted at that site. Electronic Submission: CDC strongly encourages the applicant to submit applications electronically by utilizing the forms and instructions posted on www.Grants.gov. Registering your organization through www.Grants.gov is the first step in submitting applications online. Registration information is located in the "Get Started" screen of www.Grants.gov and the one-time registration process will take three to five days to complete. While application submission through www.Grants.gov may be optional for various announcements, we strongly encourage you to use this online tool. Please visit www.Grants.gov at least 30 days prior to filing your application to familiarize yourself with the registration and submission processes. We suggest submitting electronic applications prior to the closing date so if difficulties are encountered, you can resolve them prior to the deadline. For further assistance contact GrantsInfo, Telephone: (301) 435-0714, E-mail: GrantsInfo@nih.gov. For the hearing impaired: TTY (770) 488-2783. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: (770) 488-2700. DUNS Number: Applications must have a Dun and Bradstreet (D&B) Data Universal Numbering System number as the universal identifier when applying for Federal grants or cooperative agreements. The D&B number can be obtained by calling (866) 705-5711 or through the web site at <http://www.dnb.com/us/>.

Award Procedure:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff, and for responsiveness by the National Center for Injury Prevention and Control and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. FOR RESEARCH GRANTS: Applications that are complete and responsive to the announcement will be evaluated for scientific and technical merit by an appropriate peer review group convened by NCIPC in accordance with the review criteria stated below. All applications will: undergo a selection process in which only those applications deemed to have the highest scientific merit, generally the top half of applications under review, will be discussed and assigned a priority score; receive a written critique; receive a second level of review by the Science and Program Review Subcommittee (SPRS) of the Secretary's Advisory Committee for Injury Prevention and Control (ACIPC). FOR NON-RESEARCH GRANTS/COOPERATIVE AGREEMENTS: Applicants are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the grant or cooperative agreement. Measures of effectiveness must relate to the performance goals stated in the "Purpose" section of the announcement. Measures must be objective and quantitative and must measure the intended outcome. The measures of effectiveness must be submitted with the application and will be an element of evaluation. An objective review panel will evaluate complete and responsive applications according to the criteria listed. The objective review process will follow the policy requirements as stated in the GPD 2.04, <http://198.102.218.46/doc/gpd204.doc>.

Deadlines:

For Injury Control Research Centers and Injury Prevention Research Program

Project Grants, and for other programs, see Funding Opportunity Announcements at www.grants.gov, at www.cdc.gov, or contact the program Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

Renewals are made by competitive applications and reviews

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

From one to five years (noncompeting renewals based on availability of funds).

Reports:

Financial status reports (annual); interim progress report (annual); final performance report and equipment inventory (three months after end of project); invention statement (annual) and reprints and copies of resulting publications. For Injury Control Research Centers, an annual progress report is also required. For Applied Methods in Surveillance, and State and Community-Based Injury Control Programs, semi-annual progress reports are also required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Grants): FY 07 \$101,846,306; FY 08 est \$102,000,000; FY 09 est \$102,000,000.

Range and Average of Financial Assistance:

Injury Control Research Centers: \$905,500. Injury Control Research Projects: \$200,000 to \$300,000; \$250,000. State and Community Based Injury Control Programs: \$40,000 to \$300,000; \$170,000. Youth Violence Prevention Programs: \$150,000 to \$425,000; \$275,000. Violence Against Women Community-Based Demonstration Programs: \$85,000 to \$800,000; \$600,000. Applied Research for Traumatic Brain Injury: \$100,000 to \$150,000; \$125,000. Surveillance and Traumatic Brain Injury Follow-up Registry: \$16,000 to \$500,000; \$258,000. Other Unintentional Injuries: \$50,000 to \$1,050,000; \$550,000.

PROGRAM ACCOMPLISHMENTS:

Injury Prevention and Control Programs - In fiscal year 2007, CDC continued to provide technical assistance and grant funds to 11 ICRCs, one Research Program Project Grant (RPPG) and 38 individual investigators (RO-1) to conduct applied research in injury prevention and control. In fiscal years 2008 and 2009, CDC will again provide technical assistance and grant funds to numerous Injury Control Research Centers (ICRCs) and RO-1 Grantees. All Injury Prevention and Control Research Projects are investigator-initiated. State and Community-Based Grant Programs - In fiscal year 2007, CDC continued to fund such projects as: a training and demonstration project; youth violence; applied research for traumatic brain injury, and a surveillance and traumatic brain injury follow-up registry; a national violence data reporting system; violence against women; playground safety; prevention of violence against women electronic networking program; trauma care system development; development and enhancement of emergency departments injury surveillance programs, basic injury program development; residential fire-related injuries and poison control centers. Fiscal years 2008 and 2009 awards are expected to support the same injury-related areas as in fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; basic grant administration policies of DHHS and PHS are also applicable, 45 CFR 74 and 45 CFR 92; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

RO-1 Adele Childress, Program Manager, National Center for Injury Prevention and Control, Centers for Disease Control and Prevention (F62), 4770 Buford Hwy, NE Atlanta, Georgia 30341-3724 ahc0@cdc.gov. Telephone: (770) 488-4233 FAX: (770) 488-1670. Injury Control Research Centers Paul Smutz, Program Manager, National Center for Injury Prevention and Control, Centers

for Disease Control and Prevention (F62), 4770 Buford Hwy. NE Atlanta, Georgia 30341-3724 pos1@cdc.gov. Telephone: (770) 488-1508 FAX: (770) 488-1670. Community-Based Grant Programs Robin Forbes, Public Health Advisor, Extramural Resource Team, National Center for Injury Prevention and Control, Centers for Disease Control and Prevention (F63), 4770 Buford Hwy. NE Atlanta, Georgia 30341-3724 rf0@cdc.gov. Telephone: (770) 488-1324 Fax: (770) 488-1662.

Headquarters Office:

Program contact. Headquarters Office: Program contacts: Injury Prevention and Control Research Projects - Adele Childress. Telephone: (770) 488-4233 for individual research grants; Injury Control Research Centers - Paul Smutz. Telephone: (770) 488-1508; State and Community-Based Grant Programs contact is Robin Forbes. Telephone: (770) 488-1324. The mailing address is National Center for Injury Prevention and Control, Centers for Disease Control and Prevention, Department of Health and Human Services, Atlanta, GA 30341-3724. The Grants Management Office contact is Mildred Garner. Telephone: (770) 488-2745, Grants Management Officer, Grants Management Branch IV, Procurement and Grants Office, Centers for Disease Control and Prevention, Department of Health and Human Services, 2920 Brandywine Road, Suite 3000, Atlanta, GA 30341.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283, Centers for Disease Control and Prevention Investigations and Technical Assistance.

EXAMPLES OF FUNDED PROJECTS:

(1) Injury Control Research Centers (ICRC) have undertaken a broad range of work. For example, the Johns Hopkins University ICRC has sponsored Summer Training Institutes for injury control researchers and practitioners. Harvard has been a key planning, training, and program resource for injury control programs in the New England States. Work at the University of North Carolina ICRC has led to the creation of an injury control unit in the North Carolina State Health Department. Harborview ICRC serves as a State and regional resource in trauma and burn care and is a leader of efforts to reduce pedestrian injuries and injuries associated with motorcycles and bicycles. (2) Funded Injury Prevention and Control Projects address priority research concerns encompassing acute care, biomechanics, prevention, epidemiology, and rehabilitation. As examples, researchers are investigating the mechanism of traumatic brain injury due to impact, other investigators are defining risk factors for intimate partner violence and another group is evaluating the effectiveness of trauma systems. (3) Surveillance programs address E-coded hospital discharge data; model surveillance systems to address nonfatal injuries resulting from intentional and unintentional injuries.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention being given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as richness, breadth, and scientific merit of the overall application relative to the types of research, demonstrations, and special projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed activities; (3) feasibility and likelihood of producing meaningful results based on the significance of the proposed activities and relevant evaluation procedures; (4) overall match between the proposed programs and the nation's health priorities and needs; and (5) reasonableness of the proposed budget in relation to the work proposed.

93.137 COMMUNITY PROGRAMS TO IMPROVE MINORITY HEALTH GRANT PROGRAM

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Title XVII, Section 1707(e)(1), 42 U.S.C. 300u et seq.

OBJECTIVES:

To support minority community health coalitions to develop, implement, and conduct demonstration projects which coordinate integrated community-based screening and outreach services, and include linkages for access and treatment to minorities in high-risk, low-income communities; and to support minority community health coalitions involving non-traditional partners in carrying out projects to increase the educational understanding of HIV/AIDS, increase testing, and improve access to HIV/AIDS prevention and treatment services.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are to be used to conduct collaborative efforts to modify the behavioral and/or environmental conditions which are implicated in the health problems of minority groups: for example, cancer; cardiovascular disease and stroke; chemical dependency; diabetes; homicide, suicide, and unintentional injuries;

infant mortality; human immunodeficiency virus (HIV) infection and acquired immunodeficiency syndrome (AIDS). These projects are to address socio-cultural and linguistic barriers to care and should have the potential for replication in similar communities. Funds are not to be used for the provision of health care services, construction, augmentation of ongoing Office of Minority Health (OMH) supported demonstrations, or to supplant ongoing project activities.

Applicant Eligibility:

Eligible applicants are private nonprofit community-based minority serving organizations which will serve as the grantee organization for the coalition. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Members of minority groups: American Indians or Alaska Natives; Asians; Blacks or African Americans; Hispanics or Latinos; Native Hawaiians or other Pacific Islanders; or subgroups of these populations.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission.

Preapplication Coordination:

This program does not require preapplication coordination. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in the State for more information on the process the State requires to be filed with applying for assistance, if the State has selected the program for review. This program is also covered by the Public Health System reporting requirements.

Application Procedure:

Application kits may be requested by calling (240) 453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS e-Grants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS e-Grants Web site, <https://egrants.osophs.dhhs.gov>

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

Approximately 90 to 120 days from the application deadline date.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grant projects can have a 3-year project period with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the notice of grant award is issued.

Reports:

Grantees must submit progress reports with final performance report due 90 days after the end of the project period. Financial Status Reports are due 90 days after the end of budget period with final financial status report due 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For nonprofit recipients, audits are to be carried out in accordance with the provision of OMB Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Grants) FY 07 \$6,500,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$181,266 to \$270,000; \$200,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the PHS Grants Policy Statement.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Ms. Cynthia H. Amis, Director, Division of Program Operations, Office of Minority Health, Office of Public Health and Science, Office of the Secretary, 1101 Wootton Parkway, Suite 700, Rockville, MD 20852. Telephone: (240) 453-8444. Grants Management Contact: Office of Grants Management, Office of Public Health and Science, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Web Site Address:

<http://www.omhrc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The following are examples of activities funded under this program; 1) Developing a program to prevent the incidence, increase the diagnosis and improve the management of childhood asthma through educational sessions, screenings, events, case management and ongoing support; 2) conducting vision assessment, eye health education and referral to eye doctors, disseminating health education materials in 7 languages, and providing diabetes screening, counseling and referral; and 3) developing outreach and education activities, complemented by efforts of faith-based organizations, to address HIV in the African American community.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: 1) consistency of project's goals and objectives with the Office of Minority Health's mission; 2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; 3) strength of proposed grant organization's management capability; 4) adequacy of qualifications, experience and cultural competence of proposed personnel; and 5) strength of analysis of potential impact or innovation the project proposes to generate.

93.138 PROTECTION AND ADVOCACY FOR INDIVIDUALS WITH MENTAL ILLNESS

(Protection and Advocacy for Individuals with Mental Illness (PAIMI).)

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN
SERVICES

AUTHORIZATION:

Protection and Advocacy for Individuals with Mental Illness Act of 1986, 42 U.S.C. 10801, et seq., as amended; Public Health Services Act, Title V, 42 U.S.C. 290aa, et seq; Developmental Disabilities Assistance and Bill of Rights Act, as amended, October 30, 2000, 42 U.S.C. 6041 et seq.

OBJECTIVES:

To enable the expansion of the Protection and Advocacy system established in each State to: (1) Protect and advocate the rights of individuals with mental illness; (2) investigate incidents of abuse and neglect of individuals with mental illness if the incidents are reported to the system or if there is probable cause to believe that the incidents occurred; (3) investigate incidents of serious injury and

deaths in public and private care and treatment facilities and non-medical community-based facilities for children and youth; and (4) to receive reports of all serious injuries and deaths related to incidents of seclusion and restraint in public and private care and treatment facilities in the States and Territories.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds may be used to assist in meeting the costs of planning, developing, expanding, and implementing activities to support attainment of the protection and advocacy goals. Grant funds must supplement, not supplant, nonfederal funds available in the State in which the protection and advocacy system is established. Not more than 10 percent of an allotment can be used for providing technical assistance and training for staff, and a system which is a public entity cannot be required by the State to obligate more than 5 percent of the allotment for administrative expenses.

Applicant Eligibility:

State and local government agencies, public or private organizations designated by the Governor under Part C of the Developmental Disabilities Assistance and Bill of Rights Acts as systems to protect and advocate the rights of persons with developmental disabilities in that State.

Beneficiary Eligibility:

Individuals with significant mental illness or severe emotional impairment (children) who are at risk for abuse, neglect, or civil rights violations while residing in care or treatment facilities have service priority. Persons with significant mental illness and severe emotional impairment living in the community, including their own home, may be served as determined by their state protection and advocacy systems PAIMI program funded priorities and objectives and available resources. Includes persons who are in the process of being admitted to a facility rendering care or treatment, persons being transported to such a facility, or persons who are involuntarily confined in a municipal detention facility, jails, or prisons.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Subpart Q.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An annual application is required in accordance with 42CFR Part 51.

Award Procedure:

Grants are directly awarded by the Center for Mental Health Services to the system established in the State to protect and advocate the rights of persons with developmental disabilities, as outlined in the "Developmental Disabilities Assistance and Bill of Rights Act of 2000", as amended October 30, 2000 by Public Law 106-420 (45 USC 6041 et seq.).

Deadlines:

Contact Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Formula provisions are stated in the authorizing Act, Public Law 106-420, at Section 112. Allotments are based equally on each State's population and each State's population weighted by relative per capita income except that no State's allotment (including the District of Columbia and the Commonwealth of Puerto Rico) will be less than \$260,000 and no U.S. Territory's allotment will be less than \$139,300.

Length and Time Phasing of Assistance:

Each allotment is available for obligation over a 24-month period; payments are made through an Electronic Transfer System.

Reports:

By January 1, an annual report must be submitted in accordance with the requirements stated in the authorizing Act (Public Law 106-420) at Section 105(a) (7) and Section 114. Financial status reports are required annually within 90 days after grant period until all funds are liquidated.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, 45 CFR 74.26 requires that for-profit recipients and subrecipients have an audit performed in accordance with Government Auditing Standards or Circular No. A-133.

Records:

Records must be retained for at least 3 years after the date of submission of

required financial status reports.

Account Identification:

75-1362-0-1-550.

Obligations:

(Grants) FY 07 \$33,320,000; FY 08 \$33,320,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$215,800 to \$2,999,964; \$584,561.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

HHS Grants Policy Statement, 45 CFR 1386 Subpart B (DD regulations) and 42 CFR 51 (PAIMI regulations), Notice of Proposed Rulemaking FR 59739: 64367ff, Dec. 14, 1994, 45 CFR 92 Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; 45 CFR 74 Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospitals, Other Nonprofit Organizations, and Commercial Organizations.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Ms. Karen S. Armstrong, Program Officer, Protection and Advocacy Program, Division of State and Community Systems Development, Center for Mental Health Services, Substance Abuse and Mental Health Services Administration, Department of Health and Human Services, 1 Choke Cherry Road, Rockville, MD 20857. Telephone: (240) 276-1741. Grants Management Contact: LouEllen M. Rice, Grants Management Officer, Division of Grants Management, Substance Abuse and Mental Health Services Administration, Department of Health and Human Services, Room 7-1091, 1 Choke Cherry Road, Rockville, MD 20857. Telephone: (240) 276-1404. Use the same numbers for FTS.

Web Site Address:

<http://www.samhsa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications for allotments must fulfill statutory requirements.

93.140 INTRAMURAL RESEARCH TRAINING AWARD

(IRTA)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

42 U.S.C. 282(b)(13); 42 U.S.C. 284(b)(1)(C); 42 U.S.C. 287c-21(a); 42 U.S.C. 286b-3; 42 U.S.C. 287c-21; 42 U.S.C. Part 63; Health Professionals Education Partnerships Act, Title IV-Miscellaneous Provisions, Section 409.

OBJECTIVES:

The National Institutes of Health (NIH) IRTA Traineeships are established for the principal benefit of the participants and to provide opportunities for developmental training and practical research experience in a variety of disciplines related to biomedical research, medical library research and related fields. The IRTA components are: 1) Postdoctoral IRTA - is designed to provide advance practical research experience to physicians and other doctoral researchers who are at the beginning stages of their professional research careers; 2) Predoctoral IRTA - is designed to provide practical research training and experience to students, by supplementing academic course work and/or encouraging pursuit of professional careers in biomedical research to: a) students enrolled in doctoral degree programs in biomedical sciences; b) students who have been accepted into graduate, doctoral, or medical degree programs and wish an opportunity to delay or interrupt their education for an interim research experience before entering school; 3) post baccalaureate IRTA - is designed to provide recent college graduates, particularly minorities, women, and persons with disabilities, an opportunity to postpone application to graduate or medical school and to provide a means to introduce individuals early in their careers to the research field, encourage their pursuit of professional careers in biomedical research, and allow additional time to pursue successful application to either graduate or medical school programs; 4) technical IRTA - is designed to produce a cadre of highly trained research support professionals capable of performing the latest advanced techniques in a laboratory by developing the trainees' skills in the conduct of basic and applied research; and 5) student IRTA - is designed to provide a developmental training experience to promising high school and undergraduate and graduate students who have expressed a strong interest in or are studying disciplines related to biomedical sciences.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Participants in the IRTA Program will be provided access to NIH facilities and equipment and assistance in their training from senior NIH researchers. Trainees may not engage in primary patient care activities, be assigned official supervisory responsibilities, or sign documents on behalf of the Government. Funding support will come from the individual National Research Institutes, Centers and Divisions (ICD) that comprise the National Institutes of Health. All funding is discretionary and subject to the availability of appropriations and apportionment. The IRTA Program does not include financial loans.

Applicant Eligibility:

Candidates for IRTA Program must be U.S. Citizens or Permanent Resident Aliens: 1) Postdoctoral IRTA participants must possess a Ph.D., M.D., D.D.S., D.M.D., D.V.M. or equivalent degree in biomedical, behavioral or related sciences; or certification by a university as meeting all the requirements leading to such a doctorate; and 5 or fewer years of relevant postdoctoral experience and up to 2 additional years of experience not oriented toward research (i.e., clinical training for physicians); 2) predoctoral IRTA participants must be: a) students enrolled in Ph.D., M.D., D.D.S., D.M.D., D.V.M., or equivalent degree programs at fully accredited U.S. universities, which frequently involves dissertation research. The research experience is undertaken as an integral part of the student's ongoing academic preparation and is credited toward completion of degree requirements; or b) students who have been accepted into graduate, doctoral, or medical degree programs and who have written permission from their school either to delay entrance for up to 1 year, or to interrupt their current schooling and to return within 1 year to their degree granting programs; 3) post baccalaureate IRTA participants are individuals who have graduated from a fully accredited college or U.S. university no more than 2 years prior to the activation date of traineeship, and intend to apply to graduate or medical school in biomedical research within the next year or students who have been accepted into graduate, other doctoral or medical degree programs and who have written permission of their school to delay entrance for up to 1 year; 4) technical IRTA participants are individuals who have graduated from a fully accredited U.S. college or university with a bachelor's or master's degree in any discipline; and 5) student IRTA participants are at least 16 years of age and are enrolled at least half-time in high school or have been accepted for or are enrolled as an undergraduate or graduate in an accredited U.S. college or university and are in good academic standing.

Beneficiary Eligibility:

The IRTA Program benefits the participants by combining an opportunity for study with practical work experience and valuable research training experience at the NIH.

Credentials/Documentation:

These standards are contained in the Applicant Eligibility section.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicant may send the application documentation to a specific IC, or send application documentation to the NIH Office of Education: 1) Postdoctoral IRTA applicants must submit CV, bibliography, 3 letters of reference, statement of research goals, official copy of transcript, and must provide an official copy of doctoral degree and brief summary of doctoral dissertation; 2) predoctoral IRTA applicants must submit CV, bibliography, 3 letters of reference emphasizing research potential, statement of research goals, an official copy of undergraduate, graduate, and/or medical school transcript, and verification from the university that the applicants is in good academic standing, is enrolled in a doctoral degree program, and that the school is agreeable to the student's participation. In addition, (if applicable), must have written permission from their institutions to interrupt their educational program including a written agreement allowing students to return to their degree program within 1 year; 3) post baccalaureate IRTA applicants must submit CV, bibliography, 3 letters of reference emphasizing potential, statement of research goals, and official copy of undergraduate school transcript; 4) technical IRTA applicants must submit a resume or CV, 2 letters of reference, statement of career goals, and official copy of undergraduate transcript; and 5) student IRTA applicants must submit a resume or CV, 2 letters of reference, official copy of school transcript, letter from school verifying academic standing, information on honors and achievements, and statement of interest in biomedical research.

Award Procedure:

Awards are made by the interested Institutes or Centers based on the information provided by the applicant, the availability of NIH researchers to provide training, and the availability of financial and other resources to support the research training.

Deadlines:

None.

Range of Approval/Disapproval Time:

Generally, an applicant is advised that an award has or has not been provided within 90 days from submitting an application.

Appeals:

None.

Renewals:

1) Postdoctoral IRTA component: Initial traineeship are for 1 or 2 years with a

maximum traineeship of 5 calendar years. 2) Predoctoral IRTA component: Initial traineeship are for 1 month to 2 years with a maximum traineeship of 5 calendar years. 3) Post baccalaureate IRTA component: Initial traineeship is for 1 year with a maximum traineeship of 2 calendar years. 4) Technical IRTA component: Initial traineeship are for 2 years with a maximum traineeship of 3 calendar years. 5) Student IRTA component: Initial traineeship is for 1 month to 1 year. The maximum traineeship period is unlimited as long as the student meets eligibility criteria.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Monthly stipend payments are made directly to awardees.

Reports:

None.

Audits:

Not Applicable.

Records:

Not Applicable.

Account Identification:

75-0846-0-1-552.

Obligations:

(Grants) FY 07 est \$49,428,456; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

NIH wide Except for North Carolina (NIEHS), Montana (RML-NIAID), and Arizona (Phoenix, NIDDK) Postdoctoral: \$37,100 to \$67,000; Postdoctoral for North Carolina (NIEHS), Montana (RML-NIAID), and Arizona (Phoenix, NIDDK) \$33,800- \$67,000; NIH wide with Discretionary for North Carolina (NIEHS), Montana (RML-NIAID), and Arizona (Phoenix, NIDDK) Predoctoral \$23,100 to \$29,600, Post baccalaureate: \$22,200 to \$24,800, Technical \$22,200 to \$30,900, Student \$1,500 to \$2,900; If discretionary stipend levels are not used, stipends are as follows: North Carolina (NIEHS), Montana (RML- NIAID), and Arizona (Phoenix, NIDDK) Predoctoral \$20,900 to \$27,100, Post baccalaureate \$20,200 to \$22,700, Technical \$22,200 to \$28,100, Student \$1,200 to \$2,700.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 63.

Regional or Local Office:

Not applicable.

Headquarters Office:

Executive Director for Intramural Research, National Institutes of Health, Department of Health and Human Services, Shannon Building, Room 140, Bethesda, MD 20892. Telephone: (301) 496-4920.

Web Site Address:

<http://www.nih.gov>.

RELATED PROGRAMS:

93 225, National Research Service Awards _Health Services Research Training.

EXAMPLES OF FUNDED PROJECTS:

No examples are available.

CRITERIA FOR SELECTING PROPOSALS:

Awardees are not selected on the basis of the cost of the proposed training, but rather on the applicant's qualification, research goals, and relevance to the goals of the institute extending the award.

93.142 NIEHS HAZARDOUS WASTE WORKER HEALTH AND SAFETY TRAINING

(Superfund Worker Training Program)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Superfund Amendments and Reauthorization Act of 1986, as amended, Title I, Section 126, Public Law 99-499; Public Health Service Act, Section 405(b)(1)(C), as amended, Public Law 99-158.

OBJECTIVES:

To provide cooperative agreements and project grant support for the development and administration of model worker health and safety training programs consisting of classroom and practical health and safety training of workers and their supervisors, who are engaged in activities related to hazardous materials, hazardous waste generation, treatment, storage, disposal, removal, containment, transportation, or emergency response. To assist organizations in the development of institutional competency to provide appropriate training and education to hazardous waste workers.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cooperative agreements and project grants are intended to support the direct costs of a project, in accordance with an approved budget, plus indirect costs at a pre-established rate. Both the award and use of funds are subject to applicable provisions of basic statutory authorities, appropriations acts, pertinent regulations, and operating policies of the National Institute of Environmental Health Sciences (NIEHS), the National Institutes of Health (NIH), and the Department of Health and Human Services (DHHS).

Applicant Eligibility:

A public or private nonprofit entity, including tribal governments, that provide worker health and safety education and training, may submit an application and receive a cooperative agreement or project grant for support of waste worker education and training by a named principal investigator. Recipients/grantees may use services, as appropriate, of other public or private organizations necessary to develop, administer, or evaluate proposed worker training programs, as long as the requirement for awards to nonprofit organizations is not violated. Nonprofit organizations which are incorporated under 501(c)(4) are prohibited from receiving grants.

Beneficiary Eligibility:

Any public or private entity providing worker safety and health education and training will benefit from this program.

Credentials/Documentation:

Applications must be signed by appropriate officials of the submitting institution. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, A-21 for universities, and A-122 for private nonprofit other than universities. For other recipient/grantees, costs will be determined by HHS Regulations 45 CFR 74, Subpart Q.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application forms and instructions for their submission are available from the Office of Extramural Outreach and Information, National Institutes of Health, 6701 Rockledge Drive, MSC 7910, Bethesda, MD 20892-7910. Telephone: (301) 435-0714; e-mail: ASKNIH@odrockml.od.nih.gov and the National Institute of Environmental Health Sciences (MD 3-01), P.O. Box 12233, 104 Alexander Drive, Research Triangle Park, NC 27709. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92, must be used for this program by those applicants that are State and local units of government. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations, as appropriate.

Award Procedure:

As required in HHS Regulations, 42 CFR Part 65, applications will be reviewed administratively by NIEHS staff for completeness and responsiveness to the program announcement. Applications then will be reviewed on a competitive basis for technical merit by an ad hoc review committee composed primarily of non-government members with expertise in occupational safety and health training and hazardous waste operations convened by the NIEHS. A second level of review will be conducted by the National Advisory Environmental Health Sciences Council. This second level of review will be for program relevance. The final approval of these recommendations and decisions concerning funding is made by the Director, National Institute of Environmental Health Sciences (NIEHS) or, if in conflict, by appropriate NIH staff. Formal award notices are sent to successful applicants.

Deadlines:

Contact Headquarters Office for deadline information.

Range of Approval/Disapproval Time:

From 8 to 9 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Renewal awards are subject to the same criteria as new applications.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Full program cooperative agreements may be awarded for up to 5 years, generally in 12-month budget periods. Funds are released primarily on the basis of an Electronic Transfer System. The Request for Application announcement is expected to be release in the summer of 2009.

Reports:

A detailed annual cooperative agreement or project grant summary report is required with the renewal application for all program recipients/grantees. Annual and final progress reports, and financial status reports are required for all awardees.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial and program records must be retained for 3 years from the day on which the awardee submits the final financial status report for the report period.

Account Identification:

75-0862-0-1-552.

Obligations:

Grants: FY 07 \$26,906,032; FY 08 \$26,288,517; and FY 09 est \$26,264,096.

Range and Average of Financial Assistance:

\$28,248 to \$3,187,367; \$1,076,241.

PROGRAM ACCOMPLISHMENTS:

NIEHS WETP (Worker Education and Training Program) has continued to support training to protect the men and women who handle hazardous waste and materials and who respond to emergencies involving these materials: 131,000 workers received training in nearly 8000 classes - a significant increase from the previous year resulting from the recruitment efforts of the awardees and an increase in demand for initial and refresher Superfund site worker training. The NIEHS WETP continued to provide training in all 50 states, Puerto Rico, and the Pacific territories. Indeed, during a TOPOFF national preparedness exercise, an NIEHS WETP training team was activated to assist in Guam in the clean-up after a dirty bomb explosion. A new five year Request for Applications (RFA) is expected for re-competition of the program in July 2009. Throughout the WETP programs but particularly through the Brownfields and Minority Worker Training Programs, the NIEHS WETP reached out to serve those workers most in need - young working men and women, people of color, who live in polluted brownfields communities and are struggling to better their own lives and their own communities; Spanish-speaking men and women, native born and recent immigrants, who face unacceptably high rates of occupational injury, illness, and even death; and Native American people such as the Navaho railroad crews who, unknown to the general public, are key in preventing and responding to chemical railcar accidents. These are not handout programs; these are bootstrap programs where workers get safety and health training that empowers them, that they take back to their communities, and that, in the case of the inner city youth they train, produce proud taxpaying citizens - frontline environmental workers. WETP is a national program and as such recognizes its duty to serve during national emergencies. From the World Trade Center to Katrina to the California Wildfires, the WETP activates under the National Response Framework - helping to identify the hazardous wastes and materials released during these disasters while developing and delivering safety and health training keyed to these hazards. Many thousands of responders have benefitted from this training, and the WETP has received praise for its work from the U.S. Army Corp of Engineers, FEMA, and OSHA. In the twenty years since Congress created this program, over one and a half million workers have benefitted. During the upcoming year (2007-2008), WETP will build upon this record. In particular, WETP will further develop and implement services to Spanish-speaking workers - meeting their needs for training they can understand and implement. The WETP will continue to prepare for disasters that are certain to occur; for example, curricula and trainers are being prepared for the requirements of a long-term response to a major, devastating earthquake. And the WETP will continue to maintain a gold standard of safety and health training - real hands-on and classroom training that our evaluations show reduces occupational death, disease, and injury. In addition, the WETP has initiated a Small Business Innovation Research (SBIR)/Small Business Technology Transfer (STTR) Program to create products that will support high quality health and safety training for hazardous waste workers and emergency responders utilizing on-line learning. In FY 2006, WETP issued four Phase I SBIR awards and 2 Phase II SBIR awards. Between four to six SBIR/STTR awards will be made in FY 2007 and FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; NIH Guide to Grants and Contracts; NIH Grants Policy Statement March 1, 2001. Other publications, information, and applications and kits are available from the Office of Extramural Research, Outreach and Activities Resources. National Institutes of Health, Bethesda, MD 20892, and the NIEHS (MD EC-22), P.O. Box 12233, 111 Alexander Drive, Research Triangle Park, NC 27709.

Regional or Local Office:

Not applicable.

Headquarters Office:

Headquarters Office: Program Contact: Mr. Joseph T. Hughes, Jr., Program Director, Worker Education and Training Program, National Institute of Environmental Health Sciences, National Institutes of Health, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. Telephone: (919) 541-0217, E-mail: Hughes3@niehs.nih.gov. Grants

Management Contact: Carolyn Mason, Grants Management Officer, Grants Management Branch, Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. Telephone: (919) 541-1373, E-mail: Mason6@niehs.nih.gov.

Web Site Address:

<http://www.niehs.nih.gov/careers/hazmat/index.cfm>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Programs provide health and safety training and education for occupational populations involved in waste handling and processing at active and inactive hazardous substance treatment, storage and disposal facilities; cleanup, removal, containment, or remedial action at waste sites; hazardous substance emergency response; chemical emergency response; Resource Conservation and Recovery Act corrective actions; hazardous substance disposal site risk assessment and investigation, remedial actions or clean-up by State and local personnel; urban communities surrounding brownfields sites; and transportation of hazardous wastes.

CRITERIA FOR SELECTING PROPOSALS:

The following factors considered for applications for full program cooperative agreements include: (1) Methods and techniques to be used for identifying, describing, and accessing target worker populations and anticipated impact of the proposed program; (2) evidence of the organization's performance and effectiveness in planning, implementing and operating appropriate worker health and safety training and education programs; (3) adequacy of the detailed program plan for curricula development, training of instructors, distribution of course materials, and direct student training; (4) technical and professional expertise of the proposed program director, present or proposed staff, and consultants; (5) methods proposed for evaluating appropriateness, quality, and effectiveness of training; (6) availability of appropriate facilities and equipment to support the described educational and training activities including hands-on instruction and demonstration; (7) evidence of the organization's past performance and effectiveness in planning, implementing, and operating training and education programs for workers; (8) the likelihood that the program will continue beyond the grant period; and (9) reasonableness of the proposed program activities.

93.143 NIEHS SUPERFUND HAZARDOUS SUBSTANCES-BASIC RESEARCH AND EDUCATION

(NIEHS Superfund Research Program)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Superfund Amendments and Reauthorization Act of 1986, Title I, Section III, and Title II, Section 209, Public Law 99-499, as amended; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, Section 311(a), Public Law 96-510; Public Health Service Act, Section 301, Public Law 78-410, as amended; Public Law 99-500.

OBJECTIVES:

To establish a unique program linking biomedical research with engineering, geoscience and ecological research. The goals of the program are to establish and support an innovative program of basic research and training consisting of multi-project, interdisciplinary efforts and individual investigator projects. Areas of research may include: (1) methods and technologies to detect hazardous substances in the environment; (2) advance techniques for the detection, assessment, and evaluation of the effects of hazardous substances on humans; (3) methods to assess the risks to human health presented by hazardous substances; and (4) and basic biological, chemical, and physical methods to reduce the amount and toxicity of hazardous substances. It is intended that advanced or graduate training be integrated into the multi-project research program to provide opportunities in biomedical and environmental health, environmental sciences, ecology, and geosciences. The Program encourages the integration of interdisciplinary training to ensure that its students are prepared to tackle the complex issues presented by hazardous waste sites.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Research grants are intended to support the direct costs of a project, in accordance with an approved budget, plus an appropriate amount for indirect costs. Grants made under this program are for university-based programs, and the objective is to establish and maintain coordinated research efforts that link basic biomedical research with related engineering, hydrogeological and ecological studies. In addition, the Superfund Research Program supports small business research through the Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) programs. The award and use of funds are subject to applicable provisions of basic statutory authorities,

appropriations acts, pertinent regulations, and operating policies of the National Institute of Environmental Health Science (NIEHS), the National Institutes of Health (NIH), and the Department of Health and Human Services (DHHS).

Applicant Eligibility:

An accredited institution of higher education, as defined in the Higher Education Act, 20 U.S.C. (annotated) 3381, may submit an application and receive a grant for support of research by a named principal investigator. Subcontracts may be made with public and private organizations, including: generators of hazardous wastes; persons involved in the detection, assessment, evaluation, and treatment of hazardous substances; owners and operators of facilities at which hazardous substances are located; and State, local and Tribal governments. Nonprofit organizations which are incorporated under 501(c)(4) are prohibited from receiving grants. Organizations applying for a grant under the SBIR/STTR programs must qualify as a U.S.-owned Small Business Concern (SBC).

Beneficiary Eligibility:

Any accredited institution of higher education engaged in biomedical research and/or engineering and ecological research. SBIR and STTR awards are restricted to small business that meet NIH's criteria for SBC. Tribal entities that meet these requirements are eligible to apply.

Credentials/Documentation:

Applications must be signed by appropriate officials of the submitting institution. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for universities, and A-122 for private nonprofit other than universities. For-profit organizations, costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR 74, Subpart Q.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Letter of Intent Prospective applicants are asked to submit a letter of intent. Although it is not required, is not binding, and does not enter into the review of a subsequent application, the information that it contains allows IC staff to estimate the potential review workload and plan the review. It is due one month prior to the receipt of the applications. Applications for the Superfund Research Program are accepted in response to funding opportunity announcements (FOA) which are posted on National Institute of Environmental Health Sciences website (www.niehs.nih.gov) under the tab "funding opportunities". Applications submitted in response to a multi component FOA must use the PHS 398 (version dated 11/07) paper submission. Application instructions are available at <http://grants.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. Applications submitted in response to an individual investigator FOA must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) Application Guide. SBIR/STTR grant applications are accepted in response to the NIH Omnibus solicitation using the SF424 electronic application process (<http://www.grants.gov>). The grant solicitation is released in early spring of each year and has 3 receipt dates: April 5, August 5 and December 5.

Award Procedure:

Grants are awarded on the basis of a dual review of the applications. The initial review for scientific merit is conducted by a Special Emphasis Panel of peers with appropriate scientific expertise; the second level of review is performed by the National Advisory Environmental Health Sciences Council for program relevance and recommendations. The final approval of these recommendations and decisions concerning funding is made by the Director of NIEHS or, if in conflict, by appropriate NIH staff.

Deadlines:

Contact Headquarters Office for deadlines information.

Range of Approval/Disapproval Time:

From 9 to 12 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Renewal requests are subject to the same criteria as new applications.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Research Grants for the multi component and individual investigator may be awarded for up to 5 years, generally in 12-month budget periods. Funds are released primarily on the basis of an Electronic Transfer System. Grants made under the SBIR/STTR grants are made generally made for six months to two years.

Reports:

Annual and final progress reports and financial status reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations,"

nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133. In addition, grants are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditure and other financial and program records must be retained for 3 years from the day on which the grantee submits the final financial status report for the report period.

Account Identification:

75-0862-0-1-552.

Obligations:

(Grants) FY 07 \$46,821,724; FY 08 \$46,092,440; and FY 09 est \$46,061,885.

Range and Average of Financial Assistance:

\$11,285 to \$3,262,137; \$1,377,110.

PROGRAM ACCOMPLISHMENTS:

The NIEHS Superfund Research Program utilizes three grant mechanisms to achieve its goals of advancing the understanding of human health effects of environmental exposures to hazardous substances and in developing innovative technologies for remediating these contaminants. One mechanism the Program uses is to support research through multi-project grant awards. In 2007, 15 ongoing grants were non-competitively renewed. No new awards were made in 2007. The Program released a Request for Applications in September 2006 to allow for the recompetition of this multi-project research program. As a result of this solicitation, in 2008, two existing grantees successfully recompeted and were awarded five-year awards. Another Request for Applications was released in September 2007 and it is anticipated that this solicitation will result in two or three awards in 2009. Examples of recent research advances suggest that nuclear hormone receptors may be affected by arsenic; that brines can be the source of groundwater contamination; that microwave technology can be used to degrade volatile organic compounds; and that folic acid supplementation may be an important intervention in lowering total blood arsenic to persons exposed to arsenic in drinking water. Additional research advances can be access on the Program's webpage: <http://tools.niehs.nih.gov/sbrp/researchbriefs/index.cfm>. The second grant mechanism the Superfund Basic Research Program uses is individual investigator research project grants. Eight three-year awards were made using this mechanism in 2006 and were non competitively renewed in 2007. The focus of this research effort is to develop novel methods for environmental exposure assessment and site characterization, study bioavailability, and develop remediation/characterization methodologies of contaminants in sediments. In addition, in the fall of 2006 the Program released a Request for Applications which resulted in 4 new three-year awards being made in 2007 and an additional three new three-year awards made in 2008. The RFA specifically focused on innovative approaches for remediating sediments. In the fall of 2007, the Program released another RFA in the area of using nanomaterials to study the mechanisms of bioremediation. As a result of this announcement, it is anticipated that three or four awards will be made in the fall of 2008. The third mechanism used by the Superfund Research Program is the Small Business Innovative Research SBIR/STTR grant programs. The Superfund Research Program uses the NIH Omnibus solicitation to announce its areas of interest, which, to date, includes remediation and monitoring of hazardous substances. In 2007, one phase 1 SBIR, one phase 2 SBIR, and one phase 1 STTR awards were made. And one Phase 2 SBIR and one Phase 2 STTR grants were non-competitively renewed.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; NIH Guide to Grants and Contracts; NIH Grants Policy Statement March 1, 2001. Other publications, information, and applications and kits are available from the Office of Extramural Research, Outreach and Activities Resources, National Institutes of Health, Bethesda, MD 20892, and the NIEHS (MD EC-22), P.O. Box 12233, 111 Alexander Drive, Research Triangle Park, NC 27709.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Dr. Claudia Thompson, Acting Director, Superfund Hazardous Substance Basic Research and Training Program, Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, Public Health Service, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. E-mail thomps14@niehs.nih.gov, Telephone: (919) 541-4638. Grants Management Contact: Dorothy G. Duke, Grants Management Officer, Grants Management Branch, Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. Telephone: (919) 541-2749; E-mail: duke3@niehs.nih.gov.

Web Site Address:

<http://www-apps.niehs.nih.gov/sbrp/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Superfund Basic Research Program is university-based basic research and training program consisting of coordinated, multi-component interdisciplinary programs that link basic biomedical research with related engineering, hydrogenological epidemiological, and ecological studies. This interdisciplinary program supports basic research in the following: (1) Development and use of methods and technologies to detect hazardous substances in the environment such as the development of a cell bioassay system that detects dioxin-like HAHs and PAHs in extracts of biological, environmental, and food and feed samples; (2) development of advanced techniques for the detection, assessment, and evaluation of the effects of human health of hazardous substances such as a number of projects focused on arsenic detection, biomarker development, mechanisms of action and remediation methods; (3) development of methods to assess the risk to human health presented by hazardous substances such as the projects focused on the human health effects associated with exposure to PCBs; and (4) the development and use of basic biological, chemical, and physical methods and technologies to reduce the amount of toxicity of hazardous substance such as the development of bioremediation strategies using microbes and other organisms to reduce organics and metals from environmental media.

CRITERIA FOR SELECTING PROPOSALS:

Major factors considered in the evaluation of responsive applications include: (1) Scientific merit of each proposed project, including the novelty, originality, and feasibility of the approach and the adequacy of the experimental design; (2) technical merit and justification of each core unit; (3) competence of the investigators to accomplish the proposed research goals, their commitments, and the amount of time they will devote to the program; (4) scope of the overall effort in relation to the objective of the program to create unique interdisciplinary programs to eventually include, not only biomedical components, but also engineering, ecological and/or hydrogenological components; (5) adequacy of the facilities to perform the proposed research; (6) integration of the various projects and core units into an effective program and plans for interactions among investigators; (7) adequacy and commitment of institutional resources to administer an integrated, collaborative program; (8) appropriateness of the budget for the proposed program; (9) relevance of proposed research to problems associated with hazardous waste substances; and (10) progress of program to date for completing renewal applications.

93.145 AIDS EDUCATION AND TRAINING CENTERS

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title XXVI, Part F, Subpart II, Public Law 106-345;
Ryan White HIV/AIDS Treatment Modernization Act of 2006.

OBJECTIVES:

(1) To train health personnel, including practitioners in programs under this title and other community providers, in the diagnosis, treatment, and prevention of HIV disease, including the prevention of the perinatal transmission of the disease, including measures for the prevention and treatment of opportunistic infections, and including (as applicable to the type of health professional involved), prenatal and other gynecological care for women with HIV/AIDS; (2) To train the faculty of schools of, and graduate departments or programs of, medicine, nursing, osteopathic medicine, dentistry, public health, allied health, and mental health practice to teach health professions students to provide for the health care needs of individuals with HIV/AIDS; (3) To develop and disseminate curricula and resource materials relating to the care and treatment of individuals with such disease and the prevention of the disease among individuals who are at risk of contracting the disease; and (4) To develop protocols for the medical care of women with HIV/AIDS, including prenatal and other gynecological care for such women.; and (5) To develop protocols for the medical care of women with HIV/AIDS, including prenatal and other gynecological care for such women.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants will be awarded for the establishment of AIDS Education and Training Centers.

Applicant Eligibility:

Eligible entities include public and nonprofit private entities and schools and academic health science centers. Eligible organizations are public and nonprofit private entities and schools and academic health sciences centers, including faith-based and community-based organizations. Applicants must have extensive experience in the field of health professional training and adult learning, HIV disease and disease management, and program administration and monitoring. Applicants that fail to show experience in all three areas will not be considered.

Beneficiary Eligibility:

Primary health care personnel.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of HIV/AIDS. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

The review of applications is carried out by an ad hoc committee of experts in AIDS Education and Training and members of the staff of the Department of Health and Human Services (DHHS). Applications are reviewed for merit and are recommended for approval or disapproval. Final decisions are made by the Associate Administrator, HIV/AIDS Bureau.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

None.

Renewals:

Annual noncompetitive, competitive awards typically are for 5 years.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grants are typically awarded for a 5-year project period with 12-month budget periods.

Reports:

Annual program reports, OMB-approved data reports, and special reports (if any) are required. In addition, a Financial Status Report is to be submitted within 90 days after the close of each budget period and a final Financial Status Report is required 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$33,177,624; FY 08 \$32,528,024; and FY 09 est \$28,700,000.

Range and Average of Financial Assistance:

\$450,000 to \$5,120,000; \$2,327,356.

PROGRAM ACCOMPLISHMENTS:

15 noncompetitive awards were made in FY 07. AETCs are providing AIDS education and training to approximately 120,000 health care providers each year. For FY 07 and FY 08, the emphasis of the AETCs is to provide hands on clinical training in the medical care of HIV infected patients and training on the use of highly active antiretroviral therapy. In FY 08, it is anticipated that 15 noncompetitive awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Steven R. Young, M.S.P.H., Director, Division of Training and Technical Assistance/AIDS ETCs, HIV/AIDS Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 7-29, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-5761 or Fax: (301) 594-2835.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:
www.hrsa.gov.

RELATED PROGRAMS:

93.918, Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease; 93.978, Preventive Health Services_Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants; 93.914, HIV Emergency Relief Project Grants.

EXAMPLES OF FUNDED PROJECTS:

AIDS Education and Training Centers have been funded to provide national coverage of education and training for health care providers who care for HIV infected patients. The grant recipients have been universities and institutions of higher education and are primarily located in areas with a high incidence of HIV/AIDS and within medically underserved areas.

CRITERIA FOR SELECTING PROPOSALS:

In making grants, the Secretary shall give preference to qualified projects which will -- (A) train, or result in the training of health professionals who will provide treatment for minority individuals with HIV/AIDS and other individuals who are at high risk of contracting such disease; (B) train, or result in the training of minority health professionals and minority allied health professions to provide treatment for individuals with such disease; and (C) train or result in the training of health professionals and allied health professionals to provide treatment for hepatitis B or C co-infected individuals. The acronym HIV stands for "human immunodeficiency virus", the etiologic agent for acquired immune deficiency syndrome (AIDS).

93.150 PROJECTS FOR ASSISTANCE IN TRANSITION FROM HOMELESSNESS (PATH)

(PATH)

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN
SERVICES

AUTHORIZATION:

Public Health Service Act, Title V, Part C, Section 521, as amended, 42 U.S.C. 290cc-21 et. seq.; Stewart B. McKinney Homeless Assistance Amendments Act of 1990, Public Law 101-645.

OBJECTIVES:

To provide financial assistance to States to support services for individuals who are suffering from serious mental illness or serious mental illness and substance abuse; and are homeless or at imminent risk of becoming homeless. Programs and activities include: (1) Outreach services; (2) screening and diagnostic treatment services; (3) habilitation and rehabilitation services; (4) community mental health services; (5) alcohol or drug treatment services; (6) staff training; (7) case management services; (8) supportive and supervisory services in residential settings; (9) referrals for primary health services, job training, educational services, and relevant housing services; and (10) prescribed set of housing services.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds may be used at the discretion of the State to achieve the described objectives except that funds cannot be used: (1) To support emergency shelters or construction of housing facilities; (2) for inpatient psychiatric or substance abuse treatment costs; or (3) to make cash payments to intended recipients of mental health or substance abuse services. Not more than 20 percent of the payment may be expended for housing services; not more than 4 percent of the total allocation may be expended for administrative expenses. The applicants must agree that the payments will be expended solely for making grants to political subdivisions of the State, and to nonprofit private entities (including community-based veterans organizations and other community organizations) for the purpose of providing the services.

Applicant Eligibility:

States, District of Columbia, Guam, American Samoa, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the Virgin Islands.

Beneficiary Eligibility:

Individuals who have a serious mental illness or serious mental illness and substance abuse; and are homeless or are at imminent risk of becoming homeless.

Credentials/Documentation:

This program is subject to 45 CFR 92, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.

Preapplication Coordination:

There is no preapplication requirement. This program is not subject to the

intergovernmental review requirements of E.O. 12372, as implemented through DHHS regulations at 45 CFR 100. However, individual States may require, or an applicant State mental health agency may want to implement, coordination procedures similar to those specified in E.O. 12372.

Application Procedure:

The Chief Executive Officer of a State or Territory must apply annually for an allotment. Applications include a completed application form and a set of assurances.

Award Procedure:

Applications are reviewed for completeness and for compliance with legislative requirements. Grant awards are issued directly by the Center for Mental Health Services (CMHS) to the designated State agency.

Deadlines:

Contact Headquarters Office listed below for deadline dates.

Range of Approval/Disapproval Time:

Approximately 8 weeks.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The formula is cited in Section 524 of the Public Health Service Act, as amended by Public Law 101-645. The formula allots funds on the basis of the population living in urbanized areas of the State, compared to the population living in urbanized areas of the entire United States except that no State receives less than \$300,000 (\$50,000 for Territories). States must agree to make available, directly or through donations from public or private entities, nonfederal contributions equal to not less than \$1 (in cash or in kind) for each \$3 of Federal funds provided in such grant. Territories have no matching requirements.

Length and Time Phasing of Assistance:

Each allotment is available for obligation for the award period (1-year); payments are made through an Electronic Transfer System.

Reports:

Each State shall prepare and submit to the Secretary of Health and Human Services annual reports on its activities necessary for securing a record and a description of the purposes for which funds received under Section 521 were spent and the recipients of such funds, and for determining whether such amounts were expended in accordance with the provisions of the legislation.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it.

Account Identification:

75-1362-0-1-550.

Obligations:

(Formula Grants) FY 07 \$51,873,000; FY 08 est \$51,873,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$50,000 to \$7,424,000; \$910,053.

PROGRAM ACCOMPLISHMENTS:

It is estimated that 56 awards will be made in fiscal year 2007 and fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. HHS Policy Statement.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Dorrine Gross, PATH Associate Co-Director, Homeless Programs Branch, Division of Knowledge Development and Systems Change, SAMHSA/CMHS, 1 Choke Cherry Road, Rockville, MD 20857. Telephone: (240) 276-1898. Grants Management Contact: Ms. LouEllen M. Rice, Grants Management Officer, SAMHSA, 1 Choke Cherry Road, Room # 7-1091 Rockville, MD 20857. Telephone: (240) 276-1404. Use the same numbers for FTS.

Web Site Address:

<http://www.samhsa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

States have supported from one to as many as 40 local nonprofit agencies to provide PATH-funded services to persons who are homeless and have a mental illness.

CRITERIA FOR SELECTING PROPOSALS:

Applications must fulfill all statutory and regulatory requirements.

93.153 COORDINATED SERVICES AND ACCESS TO RESEARCH FOR WOMEN, INFANTS, CHILDREN, AND YOUTH

(Ryan White Program)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title XXVI, Part D, as amended, Public Law 109-415, Ryan White Care HIV/AIDS Treatment Modernization Act of 2006.

OBJECTIVES:

The purpose of this funding is providing family-centered care involving outpatient or ambulatory care (directly or through contracts) to women, infants, children, and youth with HIV/AIDS, and may be used to provide additional support services to patients and families, and family members through the provision of coordinated, comprehensive, culturally and linguistically competent, family-centered services. Funds will be used to support programs that provide primary medical care and: (1) Link established systems of care to coordinate service delivery and ensure that HIV-infected women, infants children, and youth have access to the existing and emerging HIV treatments that can make a difference; (2) Implement HIV prevention programs for the transmission of HIV from mother to child; (3) Educate clients about the opportunities for participation in clinical research and other research activities; and (4) Address the intensity of service needs, high costs, and other complex barriers to comprehensive care and research experienced by medically underserved and challenging populations.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

All funds granted must be expended solely for carrying out the approved project in accordance with application guidelines, the conditions of award and the applicable cost principles.

Applicant Eligibility:

Public and nonprofit private entities that provide primary care services, either directly or by another entity that is linked with the grantee, either through a contract, written partnership, or other formal agreement. Proof of nonprofit status is required for nonprofit organizations. Primary care providers must show proof of Medicaid eligibility.

Beneficiary Eligibility:

Women, infants, children, and youth with HIV/AIDS and their affected family members.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the HIV/AIDS Bureau. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

After approval by the Associate Administrator, the Division of Grants Management Operations prepares a Notice of Grant Award and issues the award.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

Grants may be made for up to 5-year project periods, subject to availability of funds. After initial awards, projects may be renewed contingent upon submission and approval of a continuation application.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made annually, subject to availability of funds. Initial awards will be made for 1 year and continuation awards may be made for an additional 4 years.

Reports:

Annual program reports, annual program service reports and special reports must be submitted as required. Grantees must submit a Financial Status Report on SF 269 within 90 days after the end of the budget period. The grantee must also submit an annual program data report, due March 15 each year, covering the just ended January 1 - December 31 calendar year. Beginning in Fiscal Year 2009, grantees must submit an Allocations Report, 60 days after the notice of grant award, and an Expenditures Report within 90 days after the end of the budget period, (OMB 0915-0318) A final Financial Status Report and final progress report must be submitted 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$66,778,587; and FY 08 est \$68,578,587; and FY 09 est \$68,578,587.

Range and Average of Financial Assistance:

\$230,384 to \$2,569,004; \$766,222.

PROGRAM ACCOMPLISHMENTS:

In FY 07, a total of 90 project grants were awarded, which included 17 Youth Services Initiative grants? It is estimated that a total of 90 projects will be funded in FY 08 and FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Maria Rios, MD, Division of Community Based Programs/HIV/AIDS Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 7A-30, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-3995.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.110, Maternal and Child Health Federal Consolidated Programs; 93.994, Maternal and Child Health Services Block Grant to the States.

EXAMPLES OF FUNDED PROJECTS:

A variety of agencies are funded under this grant program, but they all represent the collaboration of local health and social services agencies, both public and private, including faith-based and community-based organizations. All provide health service delivery to infected women, infants, children, and youth and provide family-centered case management and education regarding opportunities to participate in HIV/AIDS-related clinical research. Projects directly provide or arrange for a range of medical social, psychosocial and family support services. Features unique to each project demonstrate collectively a variety of strategies to meet the critical needs of HIV infected women, infants, children, and youth.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are included in the program guidance materials provided with application kits. Contact the HRSA Grant Application Center for details.

93.155 RURAL HEALTH RESEARCH CENTERS

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 711 of the Social Security Act as amended by section 432 of the Medicare Prescription Drug Improvement and Modernization Act of 2003.

OBJECTIVES:

To support the operation of rural health research centers and 1 year research grants to provide an information base and policy analysis capability on the full range of rural health services issues, including financing, recruitment and retention of health professionals, access to care, health disparities and rural delivery systems.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

All funds awarded should be expended solely for carrying out the approved projects in accordance with the provisions of Section 711 of the Social Security Act as amended by section 432 of the Medicare Prescription Drug Improvement and Modernization Act of 2003.

Applicant Eligibility:

All public and private entities, nonprofit and for-profit, including faith-based and community-based organizations, and Federally recognized tribes and/or tribal organizations, are eligible to apply. Please see individual grant guidances at www.grants.gov for program-specific eligibility.

Beneficiary Eligibility:

The entities that will benefit from this program are health care personnel, health research personnel, and the general public.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under these CFDA programs for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Office of Rural Health. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

The review of applications is carried out by Federal and nonfederal professionals in health services research. Applications are reviewed for merit and are recommended for approval or disapproval. Final decisions are made by the Director, Office of Rural Health Policy.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

None.

Renewals:

Research center awards may be made for up to 4-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance. One-year research grants are only available for one year.

Formula and Matching Requirements:

There are no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Awards are made annually. Awards are normally made in a lump sum for the entire budget period. Payments are made through an Electronic Transfer System or Cash Demand System.

Reports:

Annual Program reports and special reports (if any) are required. Targeted Rural Health Research grantees are required to submit three quarterly reports and one final report. In addition, a Financial Status Report is to be submitted within 90 days after the close of each budget period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$4,299,507; FY 08 est \$4,410,000; and FY 09 \$4,750,000.

Range and Average of Financial Assistance:

For Research Centers: (FY 07) \$400,000 to \$600,000; \$500,000 and for FY 08 est \$600,000 to \$700,000, \$650,000. For Targeted Rural Health Research Grants: FY 07 range and average is \$150,000 and for FY 08 est. range and average is \$150,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07 eight non-competitive research center grants were awarded and two Targeted Rural Health Research Grants were awarded. It is anticipated that in FY 08 and FY 09 there will be 6 Research Center awards and three Targeted Rural Health Research Grant awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Program Contact: Joan Van Nostrand, D.P.A. for Rural Health Research Centers or Erica Molliver, M.H.S. for Targeted Rural Health Research Centers, Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 9A-55, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: Telephone: (301) 443-2385; Research and Training Branch: Telephone: (301) 443-3099; Government and Special Focus Branch: Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In FY 07 the research centers focused on rural health services as they relate to the following topic areas: Rural Health Clinics, Public Health Workforce, Oral Health, HIT Implementation, and Emergency Medical Services (EMS). Targeted Rural Health Research Grants. In FY 07, the three Targeted Rural Health Research Grantees focused on asbestos-related disease, diabetes and obesity, and preventive care among rural Medicare beneficiaries.

CRITERIA FOR SELECTING PROPOSALS:

Criteria will be published in the HRSA Preview for competitive application cycles.

93.156 GERIATRIC TRAINING FOR PHYSICIANS, DENTISTS AND BEHAVIORAL/MENTAL HEALTH PROFESSIONALS

(Geriatric Fellowships)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Section 753(b); 42 U.S.C. 294, as amended; Health Professions Education Partnerships Act of 1998; Public Law 105-392.

OBJECTIVES:

Grants are given for faculty training projects in geriatric medicine, dentistry, and behavioral or mental health. The purpose of this grant program is to provide support, including fellowships, for geriatric training projects to train physicians, dentists and behavioral or mental health professionals who plan to teach geriatric medicine, geriatric dentistry or geriatric behavioral or mental health.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

A grant may be made to meet the cost of a project which will propose: (1) a 1-year retraining program in geriatrics for: (a) physicians who are faculty in departments of internal medicine, family medicine (including osteopathic general practice), gynecology, geriatrics, and behavioral or mental health at schools of medicine and osteopathic medicine; (b) dentists who are faculty members at schools of dentistry or at hospital departments or dentistry; and (c) behavioral or mental health professionals who are faculty members in departments of behavioral or mental health; and/or (2) a 2-year internal medicine or family medicine fellowship program providing emphasis in geriatrics which shall be designed to provide training in clinical geriatrics and geriatrics research for: (a) physicians who have completed graduate medical education programs in

internal medicine, family medicine (including osteopathic general practice), behavioral or mental health, neurology, gynecology, or rehabilitation medicine; (b) dentists who have demonstrated a commitment to an academic career and who have completed postdoctoral dental training, including postdoctoral dental education programs or who have relevant advanced training or experience; and (c) behavioral or mental health professionals who have completed graduate medical education programs in behavioral or mental health. Each project for which a grant is made must: (1) be staffed by full-time teaching physicians who have experience or training in geriatric medicine or geriatric behavioral or mental health; (2) be staffed, or enter into an agreement with an institution staffed by full-time or part-time teaching dentists who have experience or training in geriatric dentistry; (3) be staffed, or enter into an agreement with an institution staffed by full-time or part-time teaching behavioral or mental health professionals who have experience or training in geriatric behavioral or mental health; (4) be based in a graduate medical education program in internal medicine or family medicine or in a department of geriatrics or behavioral or mental health; (5) provide training in geriatrics and are exposed to the physical and mental disabilities of elderly individuals through a variety of service rotations, such as geriatric consultation services, acute care services, dental services, geriatric behavioral or mental health units, day and home care programs, rehabilitation services, extended care facilities, geriatric ambulatory care and comprehensive evaluation units, and community care programs for elderly mentally retarded individuals, and (6) provide training in geriatrics through one or both of the two training options.

Applicant Eligibility:

Grants may be made to accredited public or private nonprofit schools of medicine, schools of osteopathic medicine, teaching hospitals, or graduate medical education programs.

Beneficiary Eligibility:

Grants may be made to accredited public or private nonprofit schools of medicine, schools of osteopathic medicine, teaching hospitals, or graduate medical education programs.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award issued from the Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 4 to 6 months after receipt of applications.

Appeals:

None.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted for up to three 3 years.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project periods are for 5 years.

Reports:

A Uniform summary progress report must be submitted annually. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and final Financial Status Report must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely

resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$5,668,968; FY 08 \$6,477,197; and FY 09 est \$6,429,964.

Range and Average of Financial Assistance:

FY 07: Range is \$300,000 to \$500,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, eleven (11) competing awards were funded. In FY 08, 11 non-competing continuation awards were funded. In FY 09 an estimated 11 non-competing continuation awards will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Nancy Douglas-Kersellius, Advanced Nurse Education, Branch, Division of Nursing, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, Room 8-C26, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6333.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Johns Hopkins University, University of Texas Health Science Center at San Antonio.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by peer reviewers to determine the extent to which the applicant documents the following criteria: (1) Need; (2) Response; (3) Evaluative Measures; (4) Impact (Curriculum/Proposed Training and Recruitment Plan); (5) Resources/Capabilities; and (6) Support Requested.

93.157 CENTERS OF EXCELLENCE

(COEs)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Section 736, 42 U.S.C. 293, as amended; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

To assist eligible schools in supporting programs of excellence in health professions education for under-represented minority individuals. The grantee is required to use the funds awarded: (1) to develop a large competitive applicant pool through linkages with institutions of higher education, local school districts, and other community-based entities; and establish an education pipeline for health professions careers; (2) to establish, strengthen, or expand programs to enhance the academic performance of under-represented minority students attending the school; (3) to improve the capacity of such school to train, recruit, and retain under-represented minority faculty including the payment of stipends and fellowships; (4) to carry out activities to improve the information resources, clinical education, curricula and cultural competence, to facilitate the entry of under-represented minority students into health or allied health professions schools; (5) to facilitate faculty and student research on health issues particularly affecting under-represented minority groups, including research on issues relating to the delivery of health care; (6) to carry out a program to train students of the school in providing health services to a significant number of under-represented minority individuals through training provided to such students at community-based health facilities. These facilities provide such health services and are located at a site remote from the main site of the teaching facilities of the school; and (7) to provide stipends as appropriate.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used by health professions schools to: (1) Establish, strengthen, or expand programs to enhance the academic performance of minority students attending the school; (2) establish, strengthen or expand programs to increase the number and quality of minority applicants to the school; (3) improve the capacity of the school to train, recruit, and retain minority faculty; (4) with respect to minority health issues, to carry out activities

to improve the information resources and curricula of the school and clinical education at the school; and (5) facilitate faculty and student research on health issues particularly affecting minority groups.

Applicant Eligibility:

Eligible applicants are: accredited schools of allopathic medicine; osteopathic medicine; dentistry; pharmacy; graduate programs in behavioral or mental health; or other public and nonprofit health or educational entities. Historically Black Colleges and Universities (HBCUs), as described in Section 736(c)(2)(A) of the Public Service Act, and which received a contract under Section 788B of the Public Health Service Act (Advanced Financial Distress Assistance) for fiscal year 1987, may apply for Centers of Excellence (COE) grants under Section 736 of the Public Health Service Act. The four designated schools are: Meharry School of Dentistry; Meharry School of Medicine; Tuskegee School of Veterinary Medicine; and Xavier School of Pharmacy.

Beneficiary Eligibility:

Certain HBCUs and eligible health professions schools must train a significant number of under-represented minority students in medicine, dentistry, and pharmacy; faculty recruitment, training and retention; and faculty and student research activities.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award issued from the Headquarters office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

About 4 months after receipt of applications.

Appeals:

None.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted for up to three 3 years.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Project periods are for 3 years.

Reports:

A Uniform Summary Progress Report must be submitted annually within the approved project period. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and final Financial Status Report must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-1-1-550.

Obligations:

FY 07 \$11,393,370; FY 08 \$12,036,127; and FY 09 est reported.

Range and Average of Financial Assistance:

In FY 2008, it is projected that 4 non-competing continuation awards will be issued. The range of FY 2008 financial assistance will be \$2,188,610 to \$4,250,322, with an average award of \$3,009,032.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, a total of 4 continuation awards were made. In FY 2008, it is estimated that 4 continuation awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Stuart Weiss, Acting Chief, Diversity Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-36, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Program information and assistance may be obtained by calling (301) 443-5644.

Headquarters Office:

Grants Management Office: Rock Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16, Rockville, MD 20857. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects funded proposed a range of activities for under-represented targeted minority individuals, including high school students; undergraduate college pre-professional (medicine, dentistry and pharmacy) students; enrolled professional students and faculty. COEs support structured and unstructured summer and academic year activities to address the seven legislative purposes. Activities include summer academic enrichment programs for undergraduate college students; professional school admissions process preparation sessions (MCAT/DAT review, etc.); retention services for enrolled professional students (i.e., summer pre-matriculation programs, tutorials, personal and career counseling; clinical educational experiences; recruitment/retention of basic science and clinical faculty; student/faculty research experiences; medical school curriculum development; increased library holdings relative to minority health issues; and purchase of computer and other equipment (to retain faculty and improve professional student performance, etc.).

CRITERIA FOR SELECTING PROPOSALS:

Project proposals are evaluated on the basis of: (1) The degree to which the applicant arrange to continue the proposed project beyond the federally-funded project period; (2) the degree to which the proposed project meets all purposes stated in the legislative authorization; (3) the relationships of the objectives of the proposed project and the goals that are developed; (4) the administrative and managerial ability of the applicant to carry out the project in a cost-effective manner; (5) the adequacy of the staff and faculty to carry out the program; (6) the soundness of the budget for assuring effective utilization of grant funds and the proportion of total program funds which come from nonfederal sources and the degree to which they are projected to increase over the grant period; (7) the number of individuals who can be expected to benefit from the project; (8) the technical merit of the project; and (9) the overall impact the project will have on strengthening the schools' capacity to train the targeted minority health professionals and increase the supply of minority health professionals available to serve minority populations in underserved areas.

93.161 HEALTH PROGRAM FOR TOXIC SUBSTANCES AND DISEASE REGISTRY

FEDERAL AGENCY:

AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Public Law 99-499, as amended; 42 U.S.C. 9604(i); Resource Conservation and Recovery Act, as amended; Public Law 98-616, 42 U.S.C. 6939a.

OBJECTIVES:

To work closely with State, local, and other Federal agencies to reduce or eliminate illness, disability, and death resulting from exposure of the public and workers to toxic substances at spill and waste disposal sites.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

To strengthen State and local environmental health programs. Services may include: (1) Health assessments; (2) health effects studies; (3) exposure and disease registries; (4) technical assistance; (5) consultation; (6) dissemination of technical information; (7) provision of specialized services and assistance, including responses to public health emergencies; (8) training State and other health professionals in broad areas related to environmental health; and (9) research of chemical toxicity.

Applicant Eligibility:

States or political subdivisions thereof, to include the District of Columbia, American Samoa, Guam, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the Federated States of Micronesia, the Virgin Islands, the Federated States of Marshall Island, the Republic of Palau, National organizations, Federally-recognized Indian tribal governments, public and private non-profit universities and colleges.

Beneficiary Eligibility:

The general public and particularly individuals and families who reside around or near hazardous waste sites.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For other grantees, costs will be determined by HHS regulations 45 CFR 74, Subpart Q. For-profit organization's costs will be determined in accordance with the Federal Acquisition Regulation, 48 CFR, Chapter I, Subpart 31.2, "Cost Principals for Contracts and Commercial Organizations."

Preapplication Coordination:

No preapplication required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process required to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Information on the submission of applications may be obtained from the Grants Management Officer, Acquisition and Assistance Branch A, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program. This program is subject to the provisions of OMB Circular No. A-110. By formal agreement, the CDC Grants Management Branch acts on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR has final authority to approve funding of applications. When an application is approved for funding, the Grants Management Officer, Centers for Disease Control and Prevention (CDC), acting as agent for ATSDR, will prepare a Notice of Grant Award.

Deadlines:

Contact the Headquarters Office listed below for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 to 90 days.

Appeals:

None.

Renewals:

Awards are made for project periods of 1 to 5 years with 12-month budget period. Renewals or continuation awards, with the project period are made on the basis of satisfactory progress and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

It is anticipated that awards will be for a 12-month budget period with a proposed project period ranging from one to five years.

Reports:

Annual performance and financial status reports are required no later than 90 days after the end of each budget period. Performance reports may be requested quarterly. Final financial status and performance reports are requested 90 days after the end of each project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for ten years in accordance with EPA's "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies." Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon the request of the Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$4,168,002; FY 08 \$7,482,096; and FY 09 est \$8,045,550.

Range and Average of Financial Assistance:

\$130,000 to \$300,000; \$200,000.

PROGRAM ACCOMPLISHMENTS:

Approximately 14 grantees have been funded and will continue to be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Toxicological profiles, Federal regulations for interim procedures to petition for Public Health Assessments published in August 18, 1992 issue of the 42 CFR 90, Health Assessments and Health Effects Studies of Hazardous Substances Releases and Facilities; Final Rule; Published in February 13, 1990, issue of the Status of Health Research Report; Case studies in environmental medicine; and ATSDR Annual Report. PHS Policy Statement No. (OASH) 94- 50,000, (Rev.) April 1, 1994 is available. Section 106 and subsection 118(e) of the Great Lakes Critical Programs Act of 1990 33 U.S.C. 1268(e).

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Beth Gardner, Agency for Toxic Substances and Disease Registry, Office of Financial and Administrative Services, 1600 Clifton Road, NE., Mail Stop F-62, Atlanta, GA 30333. Telephone: 770-488-0572. E-mail address ctg8@cdc.gov; Programmatic Technical Assistance: Joann Flesner, Division of Health Studies, Agency for Toxin Substances, Email address: JFlesner@cdc.gov; Phone: 770-488-0739. Grants Management Contact: Mildred Garner, Grants Management Officer, Procurement and Grants Office, Centers for Disease Control and Prevention, Department of Health and Human Services, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2745, FAX: (770) 488-2777.

Web Site Address:

<http://www.atsdr.cdc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) A cooperative agreement for educating physicians and other health professionals in the areas of environmental health, site characterizations, and health assessment; (2) a study to address and recommend effective implementation strategies for the integration of environmental medicine into medical school curricula; and (3) conference grants on disease prevention, health promotion, and information/education projects related to preventing exposure and adverse human health effects and diminished quality of life associated with exposure to hazardous substances from waste sites, unplanned releases, and other sources of pollution present in the environment.

CRITERIA FOR SELECTING PROPOSALS:

(1) Relevance of the proposal to the objective of this program. (2) Demonstrated experience in evaluating human health effects from exposures to hazardous substances in the environment through multimedia exposure pathways. (3) Training and experience of staff to be assigned to and/or hired for the project. (4) Suitability of facilities and equipment available or to be purchased for the project. (5) Appropriateness of the requested budget relative to the work proposed. (6) Capability of the applicant and its consultants to carry out the tasks involved in the project. (7) Soundness and innovation of the proposed approach to the range of activities presented in the project. (8) Capability of the applicant's administrative structure to foster successful scientific and administrative management of the program or study as described in the application. (9) Adequacy of the proposed time frame for completion of programs or studies.

93.162 NATIONAL HEALTH SERVICE CORPS LOAN REPAYMENT PROGRAM

(NHSC Loan Repayment Program)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 338B, 42 U.S.C. 2541 -1, as amended; Health Care Safety Net Amendments of 2002, Title II, Public Law 107-251.

OBJECTIVES:

To increase the supply of primary care physicians, dentists, behavioral and mental health professionals, certified nurse midwives, certified family nurse practitioners, and physician assistants, and if needed by the National Health Service Corps, other health professionals in Health Professional Shortage Areas (HPSA) within the United States and its territories by assisting the repayment of qualifying educational loans in return for service to populations located health professional shortage areas identified by the Secretary of the Department of Health and Human Services.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Awards provide payments of up to \$35,000 a year towards participants' qualified government and commercial health professions undergraduate and graduate education loans during the first 2 years of practice at a selected National Health Service Corps (NHSC) Loan Repayment Service Site under a written agreement with this program. For a 3rd and 4th subsequent year, payments of up to \$35,000

a year may be made available under a written agreement, for a 5th subsequent year payments of up to \$25,000 a year may be made available under a written agreement, for a 6th subsequent year payments of up to \$20,000 a year may be available under a written agreement, and for a 7th subsequent year and beyond, payments of up to \$15,000 may be available under a written agreement. Health professions given priority for selection are those identified by communities.

Applicant Eligibility:

Individuals are eligible to apply if they have (1) U.S. citizenship; (2) a health professions degree or are in professional practice (they must hold an unrestricted health professions license from the State in which they will be working, and be eligible for selection for a Federal civil service appointment, or hold an appointment as a commissioned officer in the Regular or Reserve Corps of the U.S. Public Health Service); (3) not defaulted on any Federal debt or do not have a court judgment against them; and (4) no existing service obligation.

Beneficiary Eligibility:

Primary care, oral health, and mental and behavioral health professions are eligible for the Loan Repayment Program (LRP). Specific specialties within these professions are selected for LRP awards based on community demand for health services.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov website at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for Clinicians Recruitment and Service. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

NHSC loan repayment awards are made based on the highest need as determined by descending health professional shortage area scores. Priority will be given to individuals whose training is in a health discipline or specialty determined by the Secretary for Health and Human Services to be needed by the NHSC and who the Secretary determines are committed to service in health professional shortage areas.

Deadlines:

Contact HRSA at www.hrsa.gov for deadline date(s).

Range of Approval/Disapproval Time:

Approximately 120 Days.

Appeals:

None.

Renewals:

Contract amendments will be considered according to the availability of appropriated funds and eligibility criteria applied to the individual and the site at which that individual is employed.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Loan repayments for 1 year of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service.

Reports:

None.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$60,019,317; FY 08 \$59,182,064; and FY 09 est \$56,800,000.

Range and Average of Financial Assistance:

\$5,000 to \$50,000; \$45,000.

PROGRAM ACCOMPLISHMENTS:

Total awards made for FY 07, 1,548. The estimate for FY 08 is 1,564 with approximately 810 expected to be awards to new participants.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Chief, National Health Service Corps Loan Repayment Branch, Division of Applications and Awards, Bureau of Clinician Recruitment and Service, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 8-37, Rockville, MD 20857. Telephone: (301) 594-4400. Public Information Phone: (1-800) 221-9393.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16, Rockville, MD 20857. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.288, National Health Service Corps Scholarship Program; 93.303, Nursing Scholarship Program; 93.342, Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students; 93.364, Nursing Student Loans; 93.908, Nursing Education Loan Repayment Program.

EXAMPLES OF FUNDED PROJECTS:

During FY 07, the program awarded a total of 1,548 contracts to eligible clinicians with health professions degrees or licenses.

CRITERIA FOR SELECTING PROPOSALS:

Priority will be given to applicants who are individuals whose training is in a health or specialty profession determined by the Secretary to be needed by NHSC.

93.164 INDIAN HEALTH SERVICE EDUCATIONAL LOAN REPAYMENT

(IHS Loan Repayment Program)

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Indian Health Care Amendments of 1988, Section 108, Public Law 100-713, Public Laws 93-638 and 94-437.

OBJECTIVES:

To insure that the Indian Health Service (IHS) has an adequate supply of trained health professionals for Indian health program facilities by providing for the repayment of educational loans for participants who agree (by written contract) to serve an applicable period of time at a facility IHS has designated as a loan repayment priority site or in a designated specialty at a site with an appropriate position.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

This program will provide repayment of loans incurred for health professions educational expenses in exchange for service in a designated loan repayment priority site. Recipients must agree by written contract to serve an applicable period of time in such a site; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied. The minimum period of participation is 2 years. Maximum payment to a program participant is \$20,000 per year and an additional 20 percent of the award amount for tax liability.

Applicant Eligibility:

Eligible individuals must be enrolled: (1) In a course of study or program in an accredited institution, as determined by the Secretary, within any State and be scheduled to complete such course of study in the same year such as individual applies to participate in such program; (2) in an approved graduate training program in a health profession; (3) have a degree in health profession and a license to practice a health profession; (4) be eligible for, or hold, an appointment as a commissioned officer in the Regular or Reserve Corps of the Public Health Service (PHS); (5) be eligible for selection for civilian service in the Regular or Reserve Corps of the PHS; (6) meet the professional standards for civil service employment in the IHS; (7) be employed with an Indian health program funded under Public Law 93-638, Indian Self-Determination, Title V of Public Law 94-437 and its amendments or the Buy Indian Act (25 U.S.C. 47); (8) submit an application to participate in the IHS Loan Repayment Program; and (9) sign and submit to the Secretary for Health and Human Services at the time of such application, a written contract agreeing to accept loan repayment of health professions educational loans and to serve for an applicable period of service at loan repayment priority site as determined by the Secretary. The term "State" is defined in Section 331 (i)(4) of the PHS Act.

Beneficiary Eligibility:

Health professionals who have Government (Federal, State, local) and commercial unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) A transcript showing final year of study and full-time status; (2) a copy of a health professions degree; (3) a copy of health professions license; (4) a copy of transcript showing completion of graduate education; and (5) a copy of all loan documentation.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants should request an application package, complete the required information, and return it to the Indian Health Service (IHS) Headquarters for review. (See Headquarters Office section below for address.) Once a completed application packet is received, it will be reviewed and the determination of award recipients will be made based upon merit. Award will be made on award dates published in the Federal Register.

Award Procedure:

Upon determination of who will receive an award, that individual will be notified in writing by the program. The individual will in turn notify the program in writing of his/her acceptance. Awards will be contingent upon the score that an eligible Loan Repayment Program site receives and score is applied to the individual matched to that site. Upon arriving at his/her duty station, participant must submit proof of employment to the IHS Loan Repayment Program Office. Individuals can be matched to an IHS tribal, urban, or buy Indian site by an IHS area recruiter or the equivalent. Applicants needing to match to an IHS priority site should work with an IHS recruiter in the service area of their choice. When an award occurs, the Secretary will sign the contract and the individual will receive final notification of their award and a copy of their signed contract.

Deadlines:

Contact Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

None.

Renewals:

If a program recipient satisfactorily completes his/her initial service and continues to have remaining eligible debt, he/she may extend his/her contract on a single-year basis, provided that funds are available and the participant continues to meet the eligibility requirements of the program. A recipient wishing to extend his/her contract must submit a formal request for extension and sign a new 1-year contract.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Payments will be made to the individuals of the program on an annual basis.

Reports:

Program participants must be certified annually as performing at an eligible site in an eligible full-time position.

Audits:

Not applicable.

Records:

The IHS will maintain records of applicants and participants for 3 years after information of expenditure reports are completed.

Account Identification:

75-0390-0-1-551.

Obligations:

(Grants) FY 07 \$16,923,500; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$3,000 to \$48,000 for a 2-year obligation; \$43,315.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, 293 new and 236 continuing loan repayment awards were approved. In fiscal years 2006, as estimated 245 new and 240 continuing loan repayment awards will be approved; and in fiscal year 2007, an estimated 230 new and 220 continuing loan repayment awards will be approved.

REGULATIONS, GUIDELINES, AND LITERATURE:

Authorization is contained in Public Law 100-713, as amended by Public Law 102-573; Indian Health Service Educational Loan Repayment Program Information Bulletin; Notice of Availability of Funds.

Regional or Local Office:

See Appendix IV of the Catalog for IHS Area Offices.

Headquarters Office:

Program Contact: Ms. Jackie Sanitago, Chief, Loan Repayment Program, Indian Health Service, 801 Thompson Avenue, Suite 120, Rockville, MD 20852. Telephone: (301) 443-3396. Grants Management Contact: Ms. Kimberly Pendleton, Senior Grants Management Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204. Use the same number for FTS.

Web Site Address:

<http://www.ihs.gov>.

RELATED PROGRAMS:

93.162, National Health Service Corps Loan Repayment Program.

EXAMPLES OF FUNDED PROJECTS:

Physicians, nurses, mental health, and other health professionals who have agreed to provide full-time clinical services at an appropriate site for an applicable period of time (2 years) in return for repayment of their health profession education loans.

CRITERIA FOR SELECTING PROPOSALS:

The IHS defined "Health Profession" to mean family medicine, internal

medicine, pediatrics, geriatric medicine, obstetrics and gynecology, podiatric medicine, nursing, public health nursing, dentistry, psychiatry, osteopathy, optometry, pharmacy, psychology, public health, social work, marriage and family therapy, chiropractic medicine, environmental health and engineering, and allied professions. Other factors that will be employed to determine which applicant is selected for awards include: (a) An applicant's length of current employment in the IHS, tribal or urban program; (b) availability for service earlier than other applicants (first come, first served); and (c) date the completed application was received.

93.165 GRANTS TO STATES FOR LOAN REPAYMENT PROGRAM

(State Loan Repayment Program)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title III, Section 3381, 42 U.S.C. 254 q-1.

OBJECTIVES:

To increase the availability of primary health care in health professional shortage areas (HPSAs) by assisting States in operating programs for the repayment of educational loans of health professionals in return for their practice in HPSAs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

State programs are to be similar to 93.162, the National Health Service Corps Loan Repayment Program. Similar provisions include: (1) Applicants for State programs must have completed a course of study required to practice as one of the following health care professionals: allopathic or osteopathic physician, dentist, nurse midwife, nurse practitioner, physician assistant, clinical psychologist, clinical social worker, psychiatric nurse specialist, marriage and family therapist, licensed professional counselor or dental hygienist; and (2) participants must provide full-time clinical service for a minimum of two years in a public or non-profit entity located in a federally designated health professional shortage area. The Federal share of the support for State programs cannot exceed 50 percent of the contract costs. The State's 50 percent share must be spent for repayment of loans and the State must absorb program administrative costs.

Applicant Eligibility:

The term "State" means each of the fifty States. Any State is eligible to apply for a grant.

Beneficiary Eligibility:

State governments will benefit by securing the services of health professionals for health professional shortage areas in States. This program will also benefit health professions practitioners whose loans would be repaid for service in HPSAs under the State Loan Repayment Program.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office of the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Grant applications will be given an objective review. Final award decisions will be made by the Director of the Bureau of Health Professions.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

None.

Renewals:

Subsequent funding depends upon the availability of funds and program performance. Renewals are funded through a competitive process each year.

Formula and Matching Requirements:

The Federal share of any program may not exceed 50 percent of the cost of loan

repayment contracts made to eligible providers. The Federal share must be used to repay the government and commercial loans of health professionals for tuition, educational expenses and living expenses described in Section 338B(g)(1) of the Public Health Service Act. Other Federal funds cannot be used for the non-federal cost participation. No portion of the Federal share shall be used to pay for administrative or management costs of any State Loan Repayment Program. The non-federal share is to be issued for the repayment of loans. States must assume administrative costs.

Length and Time Phasing of Assistance:

A grant award is for 1 year. Applicants may propose a project period of up to 3 years. States are asked to commit the full amount of funding for their loan repayment awards to practitioners in the current fiscal year to avoid out-year commitments for additional Federal funds.

Reports:

Recipient States must submit a biannual Uniform Progress Report, and final progress report along with an annual financial report in the format approved under OMB Circular No. 0915-0004.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$6,965,172; FY 08 est \$7,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

(FY 2007) \$100,000 to \$1,000,000; \$302,834 average.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 24 competing awards were made. It is expected that approximately 24 continuation awards will be made in FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Elsie Quinones, Acting Chief, Geriatrics and Public Health Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: 301-443-5244 and Email equinones@hrsa.gov.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: Telephone: (301) 443-2385; Research and Training Branch: Telephone: (301) 443-3099; Government and Special Focus Branch: Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.162, National Health Service Corps Loan Repayment Program; 93.364, Nursing Student Loans; 93.908, Nursing Education Loan Repayment Program.

EXAMPLES OF FUNDED PROJECTS:

State Loan Repayment Program (SLRP) grants resulted in loan repayment contracts with 382 providers at Health Professional Shortage Area sites (HPSAs) in FY 06.

CRITERIA FOR SELECTING PROPOSALS:

For new and competing continuation grants the following criteria will be used to evaluate State applications to determine which States are to be supported under this notice: (a) The extent of the State's need for health professionals consistent with the health professions and specialties identified later in this notice; (b) the extent to which special consideration will be extended to federally designated HPSAs with large minority populations; (c) the number and type of providers the State proposes to support through this program; (d) the appropriateness of the proposed placements of State LRP recipients (e.g., consistency and coordination with State-based plans to improve access to primary health services for the underserved communities and individuals); (e) the appropriateness of the qualifications, the administrative, and managerial ability of the staff to implement the proposed project; (f) the suitability of the State's approach and the

degree to which the plan of a State is coordinated with Federal, State, and other programs for meeting the State's health professional needs and resources, including mechanisms for ongoing evaluation of the program's activities; (g) the source and plans for the use of the nonfederal match (the degree to which the nonfederal match exceeds the minimum requirements or has increased over time and the amount of the match relative to the needs and resources of the State); (h) for competing continuation applicants only, the grantee's progress in achieving stated goals and objectives for the previous year's grant; this includes a progress report on the impact the State LRP placements have had on the State's short-term and long-term professional needs: (i) an assessment of the reasons for initial breaches by health professionals of repayment contracts; and (j) the grantee's history of compliance with reporting requirements. Noncompeting continuation applications will be evaluated on the grantee's progress in achieving stated goals and objectives for the previous year's grant; this includes a progress report on the impact the State LRP placements have had on the State's short-term and long-term professional needs: (i) and assessment of the reasons for initial breaches by health professionals of repayment contracts; and (ii) the grantee's history of compliance with reporting requirements.

93.172 HUMAN GENOME RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 301, 461 and 487, as amended; Public Laws 78-410 and 99-158, 42 U.S.C. 241, as amended; 42 U.S.C. 285k; 42 U.S.C. 288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To obtain genetic maps, physical maps, and determine the deoxyribonucleic acid (DNA) sequences of the genomes of humans and model organisms to be used as resources in biomedical research, medicine, and biotechnology. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The research project grant is awarded to an eligible institution in the name of a principal investigator for a discrete project or group of related projects representing the investigator's interest and competence. Funds may be used for salaries and wages, equipment, supplies, travel and other costs required to carry out the research project. National Research Service Awards are made directly to individuals for research training in disciplines supporting the research areas. In addition, grants may be made to institutions to enable them to select individuals for National Research Service Awards. Each individual who receives a National Research Service Award is responsible for certain service and payback provisions. Responsibilities of grantees and restrictions on use of funds are set forth in the Public Health Service policy statement on grants for research projects, which is available on request from the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health (NIH), 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892-7910. Telephone: (301) 435-0714. Fax (301) 480-0525. E-mail: asknih.od.nih.gov. Small Business Innovation Research (SBIR) Program: SBIR Phase I grants (of approximately 6 months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application.

Applicant Eligibility:

Research Projects: Awards can be made to any public or private, for-profit or nonprofit university, college, hospital, laboratory, or other institution, including

State and local units of government, qualifying small businesses (through the Small Business Innovation Research/STTR Programs, and to individuals. To be eligible for funding, a proposal must be approved for scientific merit and program relevance by a scientific review committee and a national advisory council. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its territories. To be eligible for funding, an SBIR grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. Nonfederal public and private domestic organizations may apply for an Institutional National Research Service Award. Individual National Research Service awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All awardees must be citizens or have been admitted to the United States for permanent residence. Predoctoral awardees must have completed the baccalaureate degree, and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.O., D.V.M., Sc.D., E.Eng., or equivalent domestic or foreign degree). Applicants to the Small Business Innovation Research/STTR Programs must meet special requirements for small businesses, as defined by the Small Business Administration.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Each applicant for a research project must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. Use grant application form PHS-398 (Rev. May 1995) provided by, the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, Bethesda, MD 20892. For applicants for National Research Service Awards, the academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. The applicant institution must show the objectives, methodology, and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for the award, and a detailed budget and justification for the grant funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations, costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR 74 Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and 6246-2 are used to apply for Phase I and Phase II awards, respectively, or SBIR and STTR programs.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application forms and information concerning the area of science being supported may be obtained from the Division of Extramural Outreach and Information, National Institutes of Health, 6701 Rockledge Drive, MSC 7910, Bethesda, MD 20892-7910, Telephone (301) 435-0714, e-mail: ASKNIH@odrockml.od.nih.gov. Complete the application and return it to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892 for assessment by a scientific review committee. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations", as appropriate. The SBIR and STTR Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH "Small business Funding Opportunities" homepage at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: phone (301) 206-9385; fax: (301) 206-9722; e-mail:

azyl@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. Completed SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

All accepted applications for project grants and institutional National Research Service Awards are reviewed for scientific merit by an appropriate initial review group and by the National Advisory Council for Human Genome Research (NACHGR). (Individual NRSA applications are not reviewed by council.) All approved applications compete for available funds on the basis of scientific merit and program emphasis. Awards are issued throughout the year. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score ranging from the best (100) to worst (500) compete for the available SBIR/STTR set-aside funds on the basis of scientific and technical merit (which includes the potential of the proposed research for commercial application), program relevance, and program balance among the areas of research.

Deadlines:

New Research Projects: February 1, June 1, and October 1. Renewals: March 1, July 1, and November 1. Individual NRSA: April 5, August 5, and December 10. Institutional NRSA: January 10, May 10, and September 10. SBIR/STTR Applications: April 1, August 1, and December 1. SIP: Contact Headquarters Office.

Range of Approval/Disapproval Time:

Project Grants: About 9 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html

Renewals:

Renewal grants are competitively awarded.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

All awards are made for at least 1 year. Additional support may be available for up to 4 more years depending upon the recommendations of the scientific review group, the National Advisory Council for Human Genome Research, successful annual performance, and availability of funds. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Annual progress and financial status reports for continuing projects and final reports on all projects upon conclusion are required. Recipients of National Research Service Awards are required to file termination reports to ascertain compliance with the service and payback provisions.

Audits:

"In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133." In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0891-0-1-552.

Obligations:

(Research Grants) FY 07 est not available; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

Research Project Grants: \$7,148 to \$9,587,489; \$610,048.

PROGRAM ACCOMPLISHMENTS:

NHGRI manages a broad-based research program directed towards the development of a resource, consisting of genetic maps, physical maps, and DNA sequence information of the human genome and the genomes of a number of other organisms, to be used in biomedical research, medicine, and biotechnology. A component of the program also addresses the ethical, legal, and social implications of the application of new genetics technology.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; NIH Extramural Programs brochure and other miscellaneous program literature are available from Headquarters Office. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal

regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant applications.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: National Human Genome Research Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20892. Program Contacts: Dr. Mark Guyer - Telephone: (301) 496-7531 or Dr. Bettie Graham - Telephone: (301) 496-7531. (301) 496-7531. Ethical, Legal, and Social Implications Program Contact: Ms. Elizabeth Thomson Telephone: (301) 402-4997. SBIR Contact: Dr. Bettie Graham. Telephone: (301) 496-7531. Grants Management Contact: Ms. Cheryl Chick, Grants Management Officer, National Human Genome Research Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 402-0733. Use the same numbers for FTS.

Web Site Address:

<http://www.nih.gov>.

RELATED PROGRAMS:

93.862, Genetics and Developmental Biology Research and Research Training.

EXAMPLES OF FUNDED PROJECTS:

(1) "Implications of Haplotype Structure in the Human Genome"; (2) "Large Scale Sequence and Analysis of Genomes" (3) "Detecting Human Functional Sequences with Microarrays"; (4) "Microscale Instrument Development for Genomic Analysis"; (5) "Clinical Use of Research Genetic Tests in Arrhythmia"; and (6) "Cross-species Gene Finding and Annotation".

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed project; and (5) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget request for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.173 RESEARCH RELATED TO DEAFNESS AND COMMUNICATION DISORDERS

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 301, 464 A-F, and 487, as amended; 42 U.S.C. 241, 285m, 285m-3, and 288; Public Law 100-553; 102 Stat. 2769; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To investigate solutions to problems directly relevant to individuals with deafness or disorders of human communication in the areas of hearing, balance, smell, taste, voice, speech, and language. The National Institute on Deafness and Other Communication Disorders (NIDCD) supports research including investigation into the etiology, pathology, detection, treatment, and prevention of disorders of hearing and other communication processes, primarily through the support of basic and applied research in anatomy, audiology, biochemistry, bioengineering, epidemiology, genetics, immunology, microbiology, molecular biology, the neurosciences, otolaryngology, psychology, pharmacology, physiology, psychophysics, speech-language pathology, and other scientific

disciplines. The NIDCD supports: (1) Research into the evaluation of techniques and devices used in diagnosis, treatment, rehabilitation, and prevention of disorders of hearing and other communication processes; (2) research into prevention and early detection and diagnosis of hearing loss and speech, voice, and language disturbances and research into preventing the effects of such disorders on language and learning by means of appropriate referral and rehabilitation; (3) research into the detection, treatment, and prevention of disorders of hearing and other communication processes in the elderly population and its rehabilitation to ensure continued effective communication skills; and (4) research to expand knowledge of the effects of environmental agents that influence hearing or other communication processes. Small Business Innovation Research (SBIR) program: To increase private sector commercialization of innovations derived from Federal research and development; to encourage small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project Grants and Centers Grants may be used to provide salaries, equipment, supplies, travel, and other expenses for research. The grantee institution is obliged to expend grant funds prudently for the purposes as stated in the application and award document. Some National Research Service Awards (NRSA) are made directly to individuals for research training in specified biomedical and behavioral research areas. Other training grants are made to institutions to enable them to make NRSA to individuals selected by them. Each postdoctoral individual who receives an NRSA may be obligated, upon termination of the award, to comply with certain service and payback provisions. Mentored and unmentored career development awards are made to enhance the independent research capability of highly promising individuals during the formative stages of their careers. Small Business Innovation Research (SBIR) Program: Phase I grants (of approximately 6 months duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants are for the continuation of the research efforts initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grant (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. Grant funds may be expended only for the purpose stated in the application and award document.

Applicant Eligibility:

Project Grants and Centers Grants: Any public, private, nonprofit, or for-profit institution is eligible to apply. For-profit institutions are not eligible for institutional National Research Service Awards. All proposals are reviewed for scientific merit, for evaluation of the qualifications of the investigators, for adequacy of the research and/or research training environment and for significance of the problem. Approved proposals compete for available funds. Awardees of almost all Research Career Development Programs must be citizens or have been admitted to the United States for permanent residence. Candidates must be nominated for the program by a nonfederal public or private nonprofit institution located in the United States, its possessions or Territories. To be eligible, postdoctoral NRSA trainees and fellows must have a professional or scientific doctoral degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the United States or its possessions. To be eligible for funding, an SBIR grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program

relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Health professionals, graduate students, health professional students, scientists, physicians, and other health and allied health professionals.

Credentials/Documentation:

Project Grants are awarded to an institution in the name of an individual investigator. Centers Grants are also awarded to an institution in the name of an investigator designated as the Program Director. Persons qualified to carry out research related to the NIDCD grant programs described above may apply for funds through their institution to support their investigations. Career Program training must be conducted under the direction of a competent sponsor. A candidate for a Career Award must have an earned M.D., Ph.D. or equivalent degree and have had prior postdoctoral training or research experience. National Research Service Awards: (1) Individual NRSA Fellowship Awards for predoctoral and postdoctoral training: The candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. (2) Institutional Training Grants for predoctoral and postdoctoral training: The applicant institution must show the objectives, design and resources for the research training program; the qualifications and experience of directing staff; the criteria to be used in selecting individuals for stipend support; and a detailed budget and justification for the amount of grant funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulation. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF 424 (R&R) is used to apply for SBIR Phase I and Phase II.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number as the Universal Identifier when applying for Federal grants or cooperative agreements. The DUNS number can be obtained by calling (866) 705-5711 or through the web site at: <http://www.dunandbradstreet.com>. The NIH is converting to SF424 (R&R) Research and Related forms and electronic submission through Grants.gov for the submission of all grant applications. Visit <http://era.nih.gov/ElectronicReceipt> for information and updates on this new process and www.nidcd.nih.gov/funding/foa to identify funding opportunity announcements relevant to NIDCD. Research Fellowships: Prior to formal application, a candidate must be accepted at an institution as a doctoral student or postdoctoral fellow and have a sponsor who will supervise the training. Fellows may be sponsored by a (domestic or foreign) nonprofit institution. Application forms and information concerning current areas being supported under the Research Fellowship Award Program should be obtained from the Office of Research Manpower, National Institutes of Health, Bethesda, MD 20892. Application forms for Individual or Institutional NRSA's and information concerning the areas of science being supported may be obtained from the Office of Research Manpower, Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892, and should be submitted to the same address. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments, and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page <http://www.nih.gov/grants/funding/sbir.htm>. For further assistance in all above areas, contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov.

Award Procedure:

Research Grant and Training Program applications are reviewed initially by technical panels composed of scientific authorities, and by the National Deafness and Other Communication Disorders Advisory Council composed of 18 leaders in medicine, science, education, and public affairs. Approved applications will compete on a merit basis for available funds. Formal award notices are transmitted to the grantee or awardee. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

NIH has revised its standard receipt dates as follows. Applications for Requests for Applications (RFAs) and Program Announcements (PAs, PARs, PASs) with special receipt dates continue to be due on the specified dates listed in the FOA. For an application to be considered on time it must be received by Grants.gov by 5 p.m. local time for the applicant institution. For more information and standard dates, see [http://grants1.nih.gov/grants/guide/notice-files/NOT-OD-07-](http://grants1.nih.gov/grants/guide/notice-files/NOT-OD-07-053.html)

053.html.

Range of Approval/Disapproval Time:

Research Grants and Centers Grants: Approximately 6 to 9 months. Career Program: From 6 to 9 months. SBIR/STTR applications: About 7-1/2 months. Institutional Training Grants: From 10 to 12 months. Individual Fellowships: From 4 to 6 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available at <http://grants.nih.gov/grants/guide/notice-files/not97-232.htm>. Final decisions on unresolved appeals are made with the advice of the National Deafness and Other Communication Disorders Advisory Council.

Renewals:

By application and review in the same manner as new applications. Research career awards are not renewable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Research Grant awards are made for a 12-month period with recommendation of up to 4 years of additional support. Center Grant awards support may be for a period not to exceed 5 years. Career development awards provide support for up to 5 years. NRSA fellowship and traineeship awards are usually for a 12-month period, with recommendation of additional support of no more than 5 years for predoctoral training and no more than 3 years for postdoctoral training. SBIR Phase I awards are generally for 6 months; Phase II awards normally may not exceed 2 years. STTR Phase I awards are generally for 1 year; Phase II awards normally may not exceed 2 years.

Reports:

Research Grants, Centers Grants, and Career Development Awards: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. A financial status report must be submitted within 90 days after the close of each project period for Research and Career Development Grants for which an award has been issued. NRSA Ruth L. Kirschstein Fellowships Awards and Institutional Training Grant Awards: Awardee submits annual progress report. Termination notice, Form PHS 416-7, must be submitted upon completion of training for each trainee. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A financial status report must be submitted within 90 days after the close of each budget period for Centers Grants and for Institutional Training Grants.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133. For nongovernmental grant recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0890-0-1-552.

Obligations:

FY 07 est \$392,937,000; FY 08 est \$394,138,000; FY 09 est \$395,047,000.

Range and Average of Financial Assistance:

\$75,000 to \$1,750,000; \$313,000.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2007, 1,849 applications were submitted and 1,168 were funded.

For fiscal year 2008, an estimated 1,904 applications are expected to be submitted and an estimated 1,149 are expected to be funded. For fiscal year 2009, an estimated 1,961 applications are expected to be submitted and an estimated 1,142 are expected to be funded. The Institute now supports a wide variety of basic and applied research studies concerning balance control, hearing, the senses of smell and taste, as well as speech, voice, and language. Encouraging progress has been made in each of these areas and is expected to continue. Clinical topics under investigation include vertigo; mild, moderate and profound hearing impairment; the design and efficacy of hearing aids and cochlear implants; aphasia; specific language impairment; disorders of taste and smell; stuttering, and voice disorders.

REGULATIONS, GUIDELINES, AND LITERATURE:

Project Grants and Centers Grants: 42 CFR 52 or 52a; 42 CFR 66; 45 CFR 74; 45 CFR 92; Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for

Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Dr. Judith A. Cooper, National Institute on Deafness and Other Communication Disorders, National Institutes of Health, Executive Plaza South, Room 400-C, Bethesda, MD 20892-7180. Telephone: (301) 496-5061. Grants Management Contact: Mr. Christopher Myers, National Institute on Deafness and Other Communication Disorders, National Institutes of Health, Executive Plaza South, Room 400-B, Bethesda, MD 20892; Telephone: (301) 402-0909.

Web Site Address:

<http://www.nidcd.nih.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Spatial processing in auditory cortex; electrophysiology of olfactory discrimination; threshold determination with auditory brainstem response; molecular approaches for developmental and infectious aspects of hearing; encoding of vocal signals in the auditory system; taste transduction; physiology of the vestibular system; objective assessment of vocal hyperfunction; developmental trends of early childhood stuttering; structure and acquisition of American Sign Language; treatment for adult aphasia; and characterization of Specific Language Impairment.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed project; (5) the necessity of budget components requested in relation to the proposed project; (6) the relevance and importance to announced program objectives; (7) innovation; (8) risk to human subjects, including inclusion of minorities and children, and animal protection. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.178 NURSING WORKFORCE DIVERSITY

(Disadvantaged Assistance)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 821, 42 U.S.C. 296m, as amended; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

To increase nursing education opportunities for individuals who are from disadvantaged backgrounds (including racial and ethnic minorities under-represented among registered nurses) by providing student stipends and scholarships, pre-entry preparation and retention activities. To support projects focused on creating registered nurses.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and student stipends or scholarships; and other

expenses related to the project. Restricted Uses: Indirect costs are allowed for administrative costs incurred as a result of the project, limited to 8 percent of direct costs exclusive of equipment and tuition and fees. Stipends are \$250 per month to eligible full-time project students. The maximum annual (12-month) amount for stipends is \$3000 per student. Scholarships are limited to a maximum of \$7,000 per student per year for upper division nursing students. The maximum amount that can be requested for scholarships in a grant is \$40,000 per year.

Applicant Eligibility:

Eligible applicants are schools of nursing, health care facilities, or a partnership of such a school and a health care facility, nursing centers, academic health centers, State or local governments, an Indian Tribe or Tribal organization, other public or private non-profit entities including faith-based and community organizations, and for-profit entities capable of carrying out the legislative purpose. Schools of nursing must be accredited by the National League for Nursing Accrediting Commission, Inc. (NLNAC), the Commission on Collegiate Nursing Education (CCNE) or one of the State Agencies deem appropriate by the U.S. Department of Education to be eligible applicants.

Beneficiary Eligibility:

Accredited public and nonprofit private schools of nursing and other public or nonprofit private entities.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Approximately 6 months after receipt of applications.

Appeals:

None.

Renewals:

Competing continuation applications may be submitted for continuance of a grant beyond the initial project period. A competing continuation application shall be submitted during the beginning of the third year of the initial project period. The submission date is the same for new applications and competing continuation applications. These applications are reviewed in the same manner and at the same time as new competing applications.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Competing and competing continuation grant applications are submitted for three (3) years of support.

Reports:

A Uniform Progress Report (UPR) and Comprehensive Performance Management System (CPMS) Report must be submitted annually. A Financial Status Report (FSR) must be submitted within 90 days after the end of each budget period. Final progress and financial reports must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$15,194,382; FY 08 \$15,210,512; and FY 09 est \$14,689,000.

Range and Average of Financial Assistance:

\$95,999 to \$416,335; \$281,251.

PROGRAM ACCOMPLISHMENTS:

Projects have assisted nursing schools and other organizations to meet the costs of recruitment and retention of students from disadvantaged backgrounds and facilitated their completion of nursing education programs. In FY07, 28 non-competing continuations and 23 new awards were issued. It is estimated that 41 non-competing continuations and 9 new awards will be issues in FY08.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Lt. Aisha K. Mix, MSN, RN, CCM, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-36, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6193.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: 301-443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov .

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Nursing Workforce Diversity program supports projects focused on creating registered nurses. The program has three major purposes that applicants must address in their project: 1) retention activities (nursing students), 2) pre-entry preparation, and 3) student scholarships and/or stipends. Expected outcomes of these projects include increasing nursing education opportunities for individuals from disadvantaged backgrounds to improve the diversity of the nursing workforce, meet the increasing need for culturally sensitive and quality health care.

CRITERIA FOR SELECTING PROPOSALS:

The purpose of the project must be consistent with and promote the activities identified in the law. Need must be documented, and the capability for achieving project goals must be described. In making awards of grants under this section, preference will be given to any qualified applicant with a project that will substantially benefit rural or underserved populations, or help meet public health nursing needs in State in local health departments. This preference will only be applied to applications that rank above the 20th percentile of applications recommended for approval.

93.181 PODIATRIC RESIDENCY TRAINING IN PRIMARY CARE

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Part C, Section 755, as amended; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

To plan and implement projects in preventive and primary care training for podiatric physicians in approved or provisional residency programs that shall provide financial assistance in the form of traineeships to residents participating in such projects and who are planning to specialize in primary care.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants may not be used for new construction, patient services.

Applicant Eligibility:

Eligible entities are health professions schools, academic health centers, State or local governments or other appropriate public or private nonprofit entities including faith-based and community based organizations that conduct training in approved or provisional residency programs. To be eligible, the applicant shall propose a project which is collaborative among two or more disciplines.

Beneficiary Eligibility:

Eligible entities are health professions schools, academic health centers, State or local governments or other appropriate public or private nonprofit entities. To be eligible, the applicant shall propose a project which is collaborative among two or more disciplines. Non-profit entities, including faith-based organizations and community-based organizations, that meet other eligibility requirements are eligible to apply.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be

submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov . Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award issued from Headquarters Office.

Deadlines:

www.hrsa.gov .

Range of Approval/Disapproval Time:

From 3 to 4 months.

Appeals:

None.

Renewals:

A Summary Progress Report must be submitted each year beginning with the second year of support. Competitive continuations may be submitted during the terminal year of support.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Funds are available for expenditure during approved budget period. Payment is made by an Electronic Transfer System or a Monthly Cash Request System. Approved competing renewal awards are expected to initiate training activities during the first year of the project period. New programs may utilize this first year for planning and development purposes, and commence training the second year of the project period. Each project period is a maximum of 36 months.

Reports:

A summary progress report must be submitted in the second and subsequent budget periods of an approved project period. A financial status report must be submitted within 90 days after the end of each budget period. A final progress report and financial status report must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements are provided in Title 45, CFR, Parts 74 and 92.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$0; FY 08 est \$0; and FY 09 est \$0.

Range and Average of Financial Assistance:

\$205,291 to \$286,362; \$245,826.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

Jerry Katzoff, Dental, Psychology and Special Projects Branch, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9A-27, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-4443. cmclaughlin@hrsa.gov .

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by nonfederal consultant(s) for technical merit recommendation. Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Procedure above to obtain a copy of the application, and the respective factors delineated in the application.

93.184 DISABILITIES PREVENTION

(Disability and Health)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 301(a) and Section 317, as amended, 42 U.S.C. 241(a); 42 U.S.C. 247(b).

OBJECTIVES:

To (1) Provide a national focus for the prevention of secondary conditions in persons within selected disability domains including mobility, personal care, communication, and learning; (2) build State capacity to coordinate program activities and assess the magnitude of disability in States; (3) employ epidemiological methods to set priorities and direct health promotion interventions for persons with disabilities; (4) conduct research projects to understand secondary conditions and measure the impact of the environment on the lives of persons with disabilities, and conduct studies on the effectiveness of interventions in targeted groups of persons with disabilities; (5) fund and support a national limb loss information center; (6) fund and support a national information center on physical activity for persons with disabilities; (7) fund and support a national paralysis and quality of life/health promotion information and resource center; and (8) fund and support a national spina bifida information and resource center.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

CDC issued continuation awards in fiscal year 2008 for 16 State disability and health cooperative agreements. Continuation awards for research project grants were awarded in fiscal year 2008. CDC issued competitive awards to four information/resource centers to address the prevention of secondary conditions and health promotion for persons with disabilities. State capacity cooperative agreements: These awards are providing financial assistance to: 1) Establish and/or sustain State offices of disability and health to promote the visibility of preventing secondary conditions as a State public health priority, and serve as a technical assistance resource and statewide focus for the prevention of secondary conditions; 2) support an advisory function to coordinate and provide policy and program direction guidance in the State; 3) develop and/or implement a State strategic plan or policy instrument for health promotion for persons with disabilities; 4) establish and implement university partnerships to support and complement State project activities; 5) maintain and refine prescribed public health surveillance or survey activities for disability domains of mobility, personal care, communications, and learning in order to implement prevention efforts and program evaluation activities; 6) provide technical assistance to communities; and 7) promote education and health promotion programs for persons with disabilities, conduct training of health professionals, and facilitate access to services for persons with disabilities. Research project grants: Financial assistance under this program is being used to: 1) Implement and evaluate programs to identify and quantify preventable secondary conditions within disability domains which include physical, medical, cognitive, emotional, and/or psychosocial conditions and their prevention; 2) determine the risk and protective factors in specified populations of persons who have a disability; 3) measure the effectiveness and costs of preventive interventions; and 4) develop measurements of the environment that can facilitate or hinder access to participation for persons with a disability. National Limb Loss Information Center: Financial assistance has been awarded to operate a national clearinghouse to provide educational materials and self-help guidance to persons with limb loss and limb difference their families, and develop a peer visitation training initiative for the conduct of education and training sessions in hospitals, rehabilitation facilities, and support groups. The National Center on Physical Activity and Disability provides multiple sources of information and references on a vast array of inquiries on exercise, fitness and health promotion activities. National Paralysis Resource Center: Financial assistance has been awarded to operate a national resource center for persons with paralysis, their families, and their caregivers. National Spina Bifida Information and Resource Center: Financial assistance has been awarded to expand local programs for those affected by spina bifida, seed research into spina bifida, and expand information

resources. In these cases, project funds may not be used to supplant State, local, or institutional funds available for these activities, or for construction costs, or to purchase facilities or space. Grantees may enter into contracts as necessary to help achieve the objectives of their respective programs.

Applicant Eligibility:

Based on available funding for fiscal year 2008, CDC issued a continuation awards for 16 State projects. Eligibility for the programs in the future are State health departments or other official organizational authority (agency or instrumentality) of States, including the District of Columbia, the Commonwealth of Puerto Rico, and any territory or possession of the United States. Also, four national information centers on limb loss, physical activity, paralysis, and spina bifida were funded. Eligible applicants for competing applications in fiscal year 2008 included public and private nonprofit entities, including universities, university-affiliated systems, not-for-profit medical centers, research institutions and rehabilitation hospitals, disability service groups such as advocacy and voluntary organizations and independent living centers, and federally recognized Indian Tribal Governments. For the limb loss information center, the physical activity, paralysis and spina bifida resource center projects; new applications were solicited for these programs in FY 2008. New competitive applications were solicited from States in FY 2007.

Beneficiary Eligibility:

In addition to the eligible applicants, other groups who will receive benefits from the program include persons with disabilities and family members of persons with disabilities, persons with limb loss, minority populations, refugees, infants, children, youth, adults, senior citizens, women, all educational levels, all income levels, urban, suburban, and rural populations, health/ rehabilitation professionals, scientists, educators, and researchers.

Credentials/Documentation:

State disability and health applicants documented in their fiscal year 2007 competitive applications the need for assistance, indicated the objectives of their projects, presented their approaches to meet set objectives, and outlined the method of operation. Current information centers requested continuation funding to meet original protocols and objectives. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and federally-recognized Indian Tribal Governments. For nonprofit recipients, costs will be determined in accordance with HHS Regulation 45 CFR 74.

Preapplication Coordination:

As new competitive funding becomes available for this program, preapplication coordination will not be required. However applicants will be encouraged to submit a non-binding letter of intent 30 days before the deadline date. State capacity projects are subject to E.O. 12372, "Intergovernmental Review of Federal Programs." Applicants should consult the office or officials designated as the single point of contact in their State for more information on the process the State requires in applying for financial assistance, if the State has selected the program for review. Research grant projects applicants in future years will not be subject to E.O. 12372.

Application Procedure:

To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. This program is subject to the provisions set forth in 45 CFR 92, for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

State disability and health cooperative agreement competitive awards are determined by an internal objective committee review process at CDC. Research project grant competitive awards are determined by an external peer review. At such times, awards will be based on evaluation criteria set forth in the respective Program Announcements, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC. Awards for State disability and health projects will be issued for expected project periods of 5 years. Future awards for Research project grants are not expected to be issued until fiscal year 2009 given the funding cycles for those projects. The Notices of Grant Award will indicate financial support for the first budget year, allocations of Federal funds by budget category, and special conditions, if any.

Deadlines:

Contact the Headquarters Office for application deadline information.

Range of Approval/Disapproval Time:

Between 60 to 95 days from the deadline date for submission of applications.

Appeals:

None.

Renewals:

A new competition for State awards was conducted in February 2007. Competition for new or additional State projects will be based on future appropriations. The current research project grants will conclude their respective project periods during the period in September 2009. New Research grants are expected to be announced in September 2009. The National Limb Loss

Information Center, the National Center on Physical Activity and Disability, the National Paralysis Resource Center, and the National Spina Bifida Information and Resource Center received non-competing continuation funding in fiscal year 2008.

Formula and Matching Requirements:

These programs have no statutory matching requirements, however applicants are encouraged to assume a portion of project costs and document such support.

Length and Time Phasing of Assistance:

Sixteen State disability and health projects received financial assistance in fiscal year 2007 for five year project periods. The competitive research grants funded in fiscal year 2006 had three year project periods. Other future solicitations (when announced) will indicate the length of the project periods and program requirements for each of these programs.

Reports:

Annual progress reports are required. Financial status reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project. Continuation applications for subsequent budget year financial assistance within these project periods will be submitted by these projects. Non-competing continuation applications will include a progress/performance narrative which outline program accomplishments and operations for that earlier budget period, an upcoming budget year work plan with a detailed narrative and budget justifying the new financial request.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

State capacity projects: FY 07 \$4,606,616; FY 08 \$5,209,769; and FY 09 est \$5,209,769. Research Projects: FY 07 \$2,922,620; FY 08 \$2,922,620; and FY 09 est \$2,922,620. National Limb Loss Information Center: FY 07 \$1,650,000; FY 08 \$1,650,000; and FY 09 est \$1,650,000. National Center on Physical Activity and Disability: FY 07 \$800,000; FY 08 \$800,000; and FY 09 est \$950,000. National Paralysis Resource Center: FY 07 \$5,500,000; FY 08 \$5,508,084; and FY 09 est \$5,479,271. National Spina Bifida Resource Center: FY 07 \$1,250,000; FY 08 \$1,250,000; and FY 09 est \$1,250,000.

Range and Average of Financial Assistance:

The competitive awards using fiscal year 2008 funds for the 6 State capacity projects ranged from \$250,000 to \$450,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2001, CDC supported 14 State projects, 12 research grants, and 3 national information centers as noted below. For fiscal year 2007, CDC is now funding 16 States under a 5 year project periods. These 16 States and the new research projects funded in fiscal year 2006 will emphasize the prevention of secondary conditions and health promotion for persons with disabilities and assessing environmental barriers and facilitators to access community programs and services for people with disabilities. The national limb loss information center, the national center on physical activity and disability, the national paralysis resource center, and the national spina bifida information and resource center are providing information and referral regarding these issues. The State projects are expanding program visibility and prominence by building their emphasis on disability and health. This is being accomplished through strategic plans addressing Healthy People 2010 objectives, advisory council and advocacy input, policy development, university and voluntary organization partnerships, data access and analysis, client preventive services and access to care, surveys for determining physical limitations among the population, professional and public education, and the inclusion of program evaluation measures. For fiscal year 2008, the Research grantees will continue to implement their respective protocols and studies. In fiscal year 2008, the currently funded National Limb Loss Information Center, National Center on Physical Activity and Disability, National Paralysis Resource Center, and the National Spina Bifida Information and Resource Center will continue expansion of their outreach and response capacity to directly support and refer identified needs of persons, providers, and organizations inquiring about these issues of concern. It is expected that all Research grants will be subject to re-competition in fiscal year 2009 based on the availability of funds. The number of such awards will be dependent on that appropriation process.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Sandee Coulberson, Public Health Analyst, Division of Human Development and Disability, National Center on Birth Defects and Developmental Disabilities, Centers for Disease Control and Prevention (CDC), 1600 Clifton Road, NE, Mailstop E88, Atlanta, Georgia 30333. Telephone: (404) 498-3058. Grants Management Contact: Ms. Tracey Sims, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention (CDC), 2920 Brandywine Road, Atlanta, GA 30341; Telephone: (770) 488-2739.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

10.551, Supplemental Nutrition Assistance Program; 64.109, Veterans Compensation for Service-Connected Disability; 64.116, Vocational Rehabilitation for Disabled Veterans; 93.135, Centers for Research and Demonstration for Health Promotion and Disease Prevention; 93.136, Injury Prevention and Control Research and State and Community Based Programs; 93.667, Social Services Block Grant; 93.988, Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems; 96.001, Social Security Disability Insurance.

EXAMPLES OF FUNDED PROJECTS:

The majority of awards for State capacity projects in the past have been made to State health departments and universities with State collaboration to develop a statewide focus for the prevention of secondary disabilities and health promotion for persons with disabilities within a structured State office, to establish an advisory body to guide the development of planning and make recommendations to fill gaps in prevention, to establish and build partnerships with universities and advocacy/ voluntary organizations for public health surveillance and health promotion delivery programs for persons with disabilities, and to conduct surveillance and implement community projects in the targeted disability domains. Research grantees have accessed data and service programs to identify persons with disabilities, conducted surveillance, and implemented studies and interventions designed to prevent selected or a range of secondary conditions related to cost effectiveness, measurement of participation in the environment of persons with disabilities, women, minorities, and adolescents and older citizens with disabilities. Current Research grantees include universities and rehabilitation hospitals. The National Limb Loss Information Center has worked to build, expand, and utilize a national network for providing information, referral, and peer counseling programs for persons with limb loss. The National Center on Physical Activity and Disability is based at a major research university with key collaborating partners in rehabilitation, education, web site development and linkages, library capacity, and physical accessibility. The National Paralysis Resource Center is developing a comprehensive program to address a wide range of service and referral systems. The National Spina Bifida Information and Resource Center is developing and evaluating education materials and implementing a pilot program to support junior researchers with developing competitive research proposals.

CRITERIA FOR SELECTING PROPOSALS:

There was a recent competition for State awards in February 2007. New Research projects will be awarded in September 2009. In these cases, applications are reviewed based on evaluation criteria explicit in the respective Program Announcements. Applications must address demonstrated program need, the magnitude of the problem, the management work plan, collaborative associations, and the approach toward setting and meeting overall project objectives and time frames. These future competitive applications would also be evaluated on the capacity of the applicant to demonstrate effective collaborations with other agencies and data sources critical to preventing secondary conditions, identifying and addressing health promotion needs for persons with disabilities, denoting the capability of the project to address minority and low-income populations in the prevention of secondary conditions, promoting accessibility to all program services for persons with disabilities, and offering sound proposals toward development of surveys and surveillance for useful data bases within outlined targeted disability activities.

93.185 IMMUNIZATION RESEARCH, DEMONSTRATION, PUBLIC INFORMATION AND EDUCATION-TRAINING AND CLINICAL SKILLS IMPROVEMENT PROJECTS

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 317(k), 42 U.S.C. 247b(k)(3), as amended.

OBJECTIVES:

To assist States, political subdivisions of States, and other public and private nonprofit entities to conduct research, demonstration projects, and provide

public information on vaccine-preventable diseases and conditions.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project funds may be used for the costs associated with organizing and conducting these projects, and in certain circumstances, for purchasing vaccine. Requests for direct assistance (i.e., "in lieu of cash") for personnel, vaccines, and other forms of direct assistance will be considered. Funds may not be used to supplant existing immunization program activities.

Applicant Eligibility:

Under Section 317(k) of the Public Health Service Act: States, political subdivisions of States, and other public and private nonprofit entities.

Beneficiary Eligibility:

Under Section 317(k) of the Public Health Service Act: States, political subdivisions of States, and other public and private nonprofit entities.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

For nonprofit recipients, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q.

Preapplication Coordination:

Preapplication coordination is not required. Applications are subject to Executive Order 12372. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. The standard application forms must be used for this program, as furnished by CDC and required by 45 CFR 92 for State and local governmental agencies, and by OMB Circular No. A-110 for nongovernmental applicants. This program is subject to the provisions of 45 CFR 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Applications that are complete and responsive to the announcement will be evaluated for scientific and technical merit by an appropriate peer review group convened by the NCIPC in accordance with the review criteria. As part of the initial merit review, all applications may: (1) Undergo a process in which only those applications deemed to have the highest scientific merit, generally the top half of the applications under review, will be discussed and assigned a priority score; (2) receive a written critique; and (3) receive a second level review by the Science and Program Review Subcommittee (SPRS) of the Advisory Committee for Injury Prevention and Control (ACIPC). Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact Headquarters Office for application deadline.

Range of Approval/Disapproval Time:

From three to six months.

Appeals:

Not applicable.

Renewals:

Project periods are for one to five years with 12-month renewals. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2716.

Formula and Matching Requirements:

There are no statutory or matching cost requirements.

Length and Time Phasing of Assistance:

Project period: Variable. Budget period: For 12 months.

Reports:

Subject to OMB clearance, quarterly narrative progress reports (including appropriate statistical data) are required 30 days after the end of each quarter. Narratives should address progress or lack of progress in achieving project objectives, including methods being used to resolve problems. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period.

Audits:

"In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133."

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 07 \$10,008,216; FY 08 \$9,118,317; and FY 09 est \$9,000,000.

Range and Average of Financial Assistance:

\$5,000 to \$500,000; \$185,000.

PROGRAM ACCOMPLISHMENTS:

Various projects were implemented to evaluate methods of improving immunization levels. These projects included working with traditional and nontraditional partners, including national minority organizations, universities, and health officials of various political subdivisions. Research projects were funded to determine the occurrence and scientific basis for infrequent adverse events following vaccination.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Mr. Michael Detmer, Management Officer, National Center for Immunization and Respiratory Diseases, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, NE., Mailstop E-05, Atlanta, GA 30333. Telephone: (404) 639-8900. Fax: (404) 639-8626. E-mail: MMD4@cdc.gov. Grants Management Contact: Sharron Orum, Procurement and Grants Office, Centers for Disease Control and Prevention, Department of Health and Human Services, 2920 Brandywine Road, Room 3618, Atlanta, GA 30341. Telephone: (770) 488-2716.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.217, Family Planning_Services; 93.246, Health Centers Grants for Migrant and Seasonal Farmworkers; 93.268, Immunization Grants; 93.283, Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.600, Head Start.

EXAMPLES OF FUNDED PROJECTS:

Columbia University, Emory University, Vanderbilt University, University of Rochester, and the American Academy of Pediatrics.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) The extent of the problem; (2) the establishment of specific and measurable objectives to address the problem; and (3) the development of a sound operational plan which will ensure the implementation of each program element.

93.186 NATIONAL RESEARCH SERVICE AWARD IN PRIMARY CARE MEDICINE

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 487(d)(3), as amended;
National Institutes of Health Revitalization Act of 1993, Public Law 103-43.

OBJECTIVES:

To promote postdoctoral research training programs in primary care.

TYPES OF ASSISTANCE:

Training.

USES AND USE RESTRICTIONS:

National Research Services Awards (NRSA) in Primary Care are made to approved institutions to enable them to provide up to three years of NRSA fellowship support to qualified postdoctoral individuals undertaking research training in primary care. Each NRSA trainee is obligated to comply with Federal service and payback provisions. Trainees in the first 12 months of postdoctoral support incur one month of service payback obligation for each month of support. Postdoctoral trainees in the 13th and subsequent months of NRSA support do not incur any further service obligation. Furthermore, the 13th and subsequent months of postdoctoral training serve to discharge the NRSA

payback obligation.

Applicant Eligibility:

Domestic public or private nonprofit organizations or institutions may apply for training grants. Applicant institution must be an entity that trains primary care providers in medicine or dentistry and has received federal support as evidenced by receipt of a grant under Title VII, section 747 grant of the Public Health Service Act, designed to prepare the primary care health workforce. State or local governments and U.S. Territories are eligible. For-profit organizations are not eligible. All persons supported as fellows or trainees must be citizens or non-citizen nationals of the United States, or have been lawfully admitted for permanent residence.

Beneficiary Eligibility:

Individuals and public or private nonprofit organizations or institutions, including State or local governments and U.S. Territories, who have received grants or contracts under Sections 747, 748 or 749 of the Public Health Service Act, are the beneficiaries of this program.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Applications are reviewed for scientific merit by a committee including nonfederal consultants who are selected from appropriate scientific fields. HRSA considers the recommendations of the review committee, program interest, and the availability of funds in the final selection of grantees. When such decisions are made, applicants are notified and a formal award notice is issued. Before receiving support, all individual fellows and institutional trainees must submit required forms, including a statement of appointment and a payback agreement.

Deadlines:

www.hrsa.gov

Range of Approval/Disapproval Time:

From 5 to 6 months from receipt of application.

Appeals:

None.

Renewals:

Competitive continuations may be submitted during the final budget period of the approved project period.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

No individual trainee may receive more than 3 years of aggregate National Research Service Award support at the post-doctoral level, including any combination of support from institutional and individual awards. Institutional training grants may be awarded for project periods up to 3 years. Awards are made on an annual basis and it is expected that expenditures will be made within the budget period awarded. After awards are issued, funds are released in accordance with the payment procedure established by the grantee with the Department of Health and Human Services (DHHS), which may be the Electronic Transfer System or a Monthly Cash Request System.

Reports:

A final progress report is required 90 days after the end of the project period. Annual progress reports, financial status reports, and final financial status reports are required for National Research Service Awards in Primary Care. Annual financial status reports must be submitted within 90 days after the close of each budget period and the end of the project period. Annual submission of Public Health Service forms certifying service payback activities are required of all NRSA fellows.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$7,713,000; FY 08 est \$7,434,200; and FY 09 est \$7,500,000.

Range and Average of Financial Assistance:

(FY 07) \$190,119 to \$834,018; \$405,947.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 19 non-competitive continuation awards were made. For FY 08, 20-25 competitive awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

John R. Kress, Public Health Advisor, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, 5600 Fishers Lane, Room 8C-09, Rockville, MD 20857. Telephone: 301-443-6864.

Headquarters Office:

Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov

RELATED PROGRAMS:

93.225, National Research Service Awards_Health Services Research Training.

EXAMPLES OF FUNDED PROJECTS:

Training pediatricians, family physicians, general internists, and other doctorally trained health professionals to conduct research on the practice conditions encountered by primary care providers.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessment of: (1) history of performance of preceptors and trainees; (2) primary care research focus; and (3) trainee recruitment and retention.

93.187 UNDERGRADUATE SCHOLARSHIP PROGRAM FOR INDIVIDUALS FROM DISADVANTAGED BACKGROUNDS

(NIH Undergraduate Scholarship Program; UGSP)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 487D, as amended, Public Law 103-43; 42 U.S.C. 288-4.

OBJECTIVES:

To provide service-conditioned scholarships to individuals from disadvantaged backgrounds who agree to pursue undergraduate education at accredited institutions. Individuals must pursue a course of academic study which prepares them for professions needed by the National Institutes of Health (NIH). NIH expects to facilitate interest of these individuals in pursuing careers in the biomedical/biobehavioral sciences at the NIH.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

This program will provide scholarships to individuals who are enrolled or accepted for enrollment as full-time students at an accredited undergraduate institution of higher education and who are from disadvantaged backgrounds. Each recipient must agree by written contract to serve as an NIH employee full-time for not less than 10 consecutive weeks of each year during which the individual is attending the undergraduate institution and receiving a scholarship; and, not later than 60 days after obtaining the educational degree, each recipient must begin serving as a full-time NIH employee for one year for each year of NIH scholarship support (a deferment of the service obligation may be obtained if the Director, NIH, determines that such is appropriate). Applicants must submit a proposed academic program for each academic year and agree that this program will not be altered without prior approval. The applicant must be in good academic (maintain a minimum GPA of 3.5) standing with their educational institution for continuation under the scholarship program.

Maximum scholarship per academic year is \$20,000. Scholarship funds may only be used for tuition expenses and qualified educational and living expenses incurred while attending school.

Applicant Eligibility:

An eligible applicant must be (1) A U.S. citizen, national, or permanent resident; (2) enrolled or accepted for enrollment as a full-time student at an accredited undergraduate institution (4 year school) of higher education; (3) from a disadvantaged background as determined by the Secretary of Health and Human Services; (4) in good academic standing (minimum GPA of 3.5 or be in the top 5 percent) with his/her educational institution; and (5) submit an application to participate in the Undergraduate Scholarship (UGS) Program; (6) agree to serve as a full-time NIH employee for not less than 10 consecutive weeks of each year during which the individual is attending the educational institution and receiving a scholarship; (7) agree to serve as a full-time NIH employee for 12 months for each academic year during which the scholarship was provided, not later than 60 days after obtaining his or her academic degree, unless a service deferment is granted.

Beneficiary Eligibility:

Undergraduate students from disadvantaged backgrounds pursuing academic programs supporting professions needed by the NIH.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) Verification of enrollment or acceptance to an accredited institution of higher education (4 year school); (2) a proposed academic program for the school year; (3) certification from the academic institution that the applicant is in good academic standing (minimum GPA of 3.5, or be in the top 5 percent); (4) certification from the academic institution that the applicant qualifies for participation in an educational assistance program for individuals from disadvantaged backgrounds as defined by the Secretary of Health and Human Services; and (5) other documentation as may be required by law, statute, or regulation.

Preapplication Coordination:

Students must be given a fair summary of the rights and liabilities of applicants prior to application. This summary is included in the application packet. Applications are available directly from the program up to 20 weeks before the application deadline. This program is excluded from coverage under E.O. 12372. Applicants should request an application package from the NIH's Intramural Loan Repayment Scholarship Programs, 2 Center Drive, Room 2E24, Bethesda, MD 20892-0230. Telephone: (888)352-3001. Facsimile: (301) 480-3123.

Application Procedure:

Application, a signed contract, and other required information (as listed in the application packet) are submitted to the Program office. Once an application package is received by the administering Program Office, preliminary eligibility will be determined and highly qualified applicants will be interviewed. Final determination of awards will be made upon review by the Undergraduate Scholarship Program Committee at a regularly scheduled meeting.

Award Procedure:

Priority will be given to applicants who are prior recipients. The NIH Undergraduate Scholarship Program Committee will review, rank, and approve or disapprove applications submitted for program participation. Criteria which determine competitive ranking for awards may include: (1) career goal in biomedical/biobehavioral research; (2) academic performance; and (3) letters of recommendation. A student's financial need alone does not affect selection. Applicants approved for award will be notified by the Program Director. The Secretary, and his/her designee will sign the service contract of approved applicants, provide a copy to the participant and under graduate institution notice of approved award amount. Scholarship payments, including room and board, books, fees and transportation are made to the college or university for dispersal.

Deadlines:

Contact the Headquarters Office listed below for application deadlines.

Range of Approval/Disapproval Time:

The approximate time for approval/disapproval is 3 months.

Appeals:

None.

Renewals:

Annual renewal applications are required to extend the award. Extensions of the scholarship award are subject to availability of funds, acceptable academic and laboratory performance.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Each award covers 1 calendar year, and may be extended for an additional 3 years, for a maximum of 4 years. No awardee may receive more than 4 years of support.

Reports:

Annual reports by schools indicating average student educational expenses and academic status of students.

Audits:

None.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-6846-0-1-552.

Obligations:

(Scholarships, Salaries and Benefits) FY 07 \$790,000; FY 08 est \$1,484,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Dollar assistance per scholar ranges from \$1,714 to \$20,000 per year. Average annual dollar assistance per scholar is estimated to be \$12,000.

PROGRAM ACCOMPLISHMENTS:

Thirteen awards were made in fiscal year 2007. NIH projects that 20 awards will be made in fiscal year 2008 and 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 103-43, Section 1631; and Section 487D of Public Health Services Act (42 U.S.C. 288-4).

Regional or Local Office:

Not applicable.

Headquarters Office:

Program and Business Contact: Darryl M. Murray, Ph.D., Undergraduate Scholarship Program, Office of Intramural Research, National Institutes of Health, 2 Center Drive, Room 2E24, Bethesda, MD 20892-0230. Telephone: (888)352-3001. Facsimile: (301) 480-3123. Email: ugsp@nih.gov.

Web Site Address:

http://ugsp.info.nih.gov.

RELATED PROGRAMS:

93.288, National Health Service Corps Scholarship Program; 93.972, Health Professions Scholarship Program.

EXAMPLES OF FUNDED PROJECTS:

Funded undergraduate students majoring in the following disciplines: Biochemistry, Biology, Chemistry, Computer Science, Microbiology, Neurobiology, Neuroscience, and Pharmacology.

CRITERIA FOR SELECTING PROPOSALS:

The NIH Undergraduate Scholarship Program Committee will review, rank, and approve or disapprove applications based on career goals and academic performance. Priority will be given to applicants who have demonstrated good academic performance, demonstrated a career goal to pursue biomedical/biobehavioral research, and have characteristics which support the likelihood they will complete their service obligations.

93.189 HEALTH EDUCATION AND TRAINING CENTERS

(HETC)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Part C, Section 752, 42 U.S.C. 294b, as amended; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

To provide assistance for establishing, maintaining, and operating Health Education and Training Centers (HETCs) that: (1) address the persistent and severe unmet health care needs in States along the border between the United States and Mexico and in the State of Florida, and in other urban and rural areas with populations with serious unmet health care needs; (2) establish an advisory board comprised of health service providers, educators and consumers from the service area; (3) conduct training and education programs for health professions students these areas; (4) conduct training in health education services, including training to prepare community health workers; and (5) support health professionals (including nursing) practicing in the area through educational and other services. Applicants shall collaborate with 2 or more disciplines, e.g., medicine, dentistry, nursing, advanced practice nursing, physicians assistant, mental health, public health, pharmacy, physical therapist, social work, allied health, community health worker; enter into contracts and agreements with entities with expertise in providing public health and health education services to the public; and coordinate activities and programs with similar activities and programs carried out in the target communities and minority, disadvantaged, and/or underserved populations.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are to assist in meeting costs of the program which cannot be met from other sources. Not less than 75 percent of the total funds provided to a school or schools of allopathic medicine or osteopathic medicine or nursing will be expended in the development and operation of the health education and training center in the service area of such program; to the maximum extent feasible, the

schools of medicine or nursing will obtain from nongovernmental sources the amount of the total operating funds for such program which are not provided by the Secretary; no grant or contract shall provide funds solely for the planning or development of a health education and training center program for a period in excess of 2 years; not more than 10 percent of the annual budget of each program may be utilized for the renovation and equipping of clinical teaching sites; and no grant or contract shall provide funds to be used outside the United States, except as the Secretary may prescribe for travel and communications purposes related to the conduct of a border health education and training center.

Applicant Eligibility:

Accredited schools of allopathic or osteopathic medicine, or the parent institution on behalf of such schools, or a consortium of such schools. In States in which no area health education centers program is in operation, an accredited school of nursing is also an eligible applicant.

Beneficiary Eligibility:

Same as applicant eligibility above.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are generally solicited by a program announcement in the HRSA Preview. Applications and required forms for this program can be obtained from the HRSA Application Center, The Legin Group, Inc. 910 Clopper Road, Suite 155 South, Gaithersburg, MD 20878 Telephone: (877) 477-2123, Email: HRSAGAC@hrsa.gov or by visiting www.hrsa.gov/grant. Application information may also be found by visiting www.grants.gov. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award issued from the Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 3 to 4 months after receipt of applications.

Appeals:

None.

Renewals:

Competing continuation applications may be submitted during the final budget period of the approved project.

Formula and Matching Requirements:

Fifty percent of the appropriated funds each year must be made available for approved applications for border HETCs. The amount allocated for each approved application for a border HETC shall be determined in accordance with a formula which is based on data relative to: (1) The low-income population, in 233 counties and the 67 counties of Florida; (2) the percent change in population of those counties; (3) need for primary care physicians; and (4) infant mortality rate for each county.

Length and Time Phasing of Assistance:

The first project period will be up to 3 years to allow for the development of the health education and training centers. However, no Federal funds will be used solely for the planning or development of a program period after the first project period which will be a maximum of 3 years.

Reports:

A Uniform Progress Report (UPR) and a Comprehensive Performance Management System (CPMS) report must be submitted annually and can be submitted electronically. The grantee report web site is <http://bhpr.hrsa.gov/grants/reports.htm>. A Financial Status Report must be submitted within 90 days after the end of each budget period. A final progress report and Financial Status Report must be submitted within 90 days after the end of the budget period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year

period, whichever is later. More detailed information regarding retention requirements are provided in Title 45, CFR, Parts 74 and 92.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$0; FY 08 est \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

FY 05, Border HETCs: \$100,000 to \$556,010; \$355,462. Non-Border HETCs: \$239,508 to \$326,615; \$296,069.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

Louis D. Coccodrilli, M.P.H., Chief, or Susan Goodman, D.D.S., Dental Officer, AHEC Branch, Division of State, Community and Public Health, Bureau of Health Professions, Health Resources and Services Administration, Parklawn Building, Room 9-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6950.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Grants cover planning and implementation strategies on statewide, regional and local levels, including training programs serving Hispanics and other minority/underserved populations in Florida and United States-Mexico border areas, and in other underserved, rural and inner-city communities. Trainees include physicians, nurses, allied health personnel, public health personnel, community health workers and others. Descriptions of funded programs are available at <http://bhpr.hrsa.gov/interdisciplinary/03abstracts/hetc.htm>.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are initially reviewed by nonfederal consultants whose recommendations are considered by the Secretary. The Secretary will approve projects which best promote the purposes of the HETC Program, section 752(a) of the PHS Act, as amended. In the determining the funding of applications, consideration is given to: (1) NEED How the program will fill or address the identified workforce gaps; the description of the present project, describing the persistent and unmet health care needs that the project is addressing along the border between the United States and Mexico, in the state of Florida, or in other urban and rural areas with population with serious unmet health care needs; how the educational program will improve the capacity of the health workforce; how the proposal will effect the state's, border or region's health education and training efforts; the extent to which the program identifies specific professions proposed to be trained; evidence of an enrollee/program trainee pool, which may include underrepresented minorities/disadvantaged; how the program addresses the health disparities of the population in the service area(s); and how the project identifies the counties composing the geographical area; (2) RESPONSE Specifies measurable outcome objectives which are attainable within the stated time frame; the degree to which the number of trainees estimated to be trained by discipline is reasonable; a description of the proposed training locations and sites; the extent to which the sites are in medically underserved areas; the potential of the applicant to demonstrate flexibility to respond to emerging issues and/or needs relevant to the communities and populations served; the proposal clearly describes and outlines the activities to carry out each objective within the stated time frame; the degree to which the applicant describes a comprehensive coordinated multidisciplinary approach to training of health professionals; and provides letters describing established and proposed linkages and collaborative efforts from individuals, partners, or other entities; (3) EVALUATIVE MEASURES Strength of the applicant's plans for evaluation of the program including identified project outcomes; describes the methods and techniques that will be used to measure the outcomes of each objective; specifies what data will be collected, the method for collection and the manner in which data will be analyzed and reported; describes the responsibilities of key personnel and the amount of time and effort required to perform the evaluation activities; provides a realistic and attainable timeline; and potential effectiveness as evidenced by the outcomes objectives and activities in relationship to the needs of the professions or populations to be trained; (4) IMPACT A plan for dissemination of information and results of the project; the potential of the applicant to meet the proposed goals and objectives; the number of students proposed to be trained; the number of health care providers proposed to be trained; the number of community health workers proposed to be trained; and Data reported

distribution, diversity and quality; (5) RESOURCES/CAPABILITIES Bio-sketches of key personnel; key personnel's capacity to conduct the stated program activities; coherent and reasonable Staffing Plan for the program's stated objectives; A demonstrated ability to coordinate complex programs; location of facilities for the proposed activities is reasonable and appears to be adequate to support the needs of the objectives; the extent of institutional support letters of support from host institution(s) are included; and the coordination and partnering necessary for community involvement and positive trainee experiences; and (6) SUPPORT REQUESTED The reasonableness of the proposed budget in relation to the objectives, the complexity of the activities, and the anticipated results; effective use of funds and resources to carry out the project; potential of proposed HETC program and participating center(s) to continue on a self sustaining basis; a reasonable budget aligned with the objectives and the scope of the project; and specific information that describes the extent and means by which the project plans to become self-sufficient including other sources of income, the nature of income, future funding initiatives and strategies.

93.191 ALLIED HEALTH SPECIAL PROJECTS

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Section 755, 42 U.S.C. 294e, as amended;
Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

Grants are awarded to meet the costs of projects to plan, develop, operate, or maintain graduate psychology education programs in behavioral and mental health to train graduate psychologists to work with underserved populations. These programs are expected to foster an integrated approach to health care services and address access for underserved populations.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for predoctoral and postdoctoral stipend support of students. Funds may not be used for student tuition or fees or for direct health care service delivery.

Applicant Eligibility:

Eligible entities are accredited health profession schools, university, and other public or private nonprofit entities, including faith-based organizations and community-based organizations. Only applicants whose training program is accredited by the American Psychological Association (APA) and who provide such documentation can be funded. The eligible entity must demonstrate that the training within an accredited psychology training program will occur in collaboration with one or more disciplines other than psychology. Disciplines include, but are not limited to, family medicine, general internal medicine, and pediatrics. Funding is available for accredited doctoral programs, internships, and post-doctoral training programs.

Beneficiary Eligibility:

Public or private nonprofit schools, universities, or other educational entities which provide for graduate psychology education and training or other public or private nonprofit entities capable, as determined by the Secretary, of carrying out the objectives of the project.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award issued from the Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 4 to 6 months after receipt of applications.

Appeals:

None.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted for up to three years of support.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project periods are for 3 years.

Reports:

A uniform summary progress report must be submitted annually. Financial status reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$1,802,071; FY 08 \$1,850,754; and FY 09 est \$1,875,000.

Range and Average of Financial Assistance:

\$70,000 to \$184,000; \$90,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 18 new awards were made. In FY 2008, 18 continuation awards were made. It is anticipated that 18 continuation awards will be made in FY 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Jerilyn K. Glass, M.D., Ph.D., Program Officer, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Room 9A-21, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-7271, and E-mail: jglass@hrsa.gov.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funded projects include interdisciplinary training for graduate psychology students in settings where psychologists are part of a team of primary health care practitioners. Training opportunities are varied but focus on learning how to provide behavioral and mental health services to underserved populations. Some examples of at-risk populations have included individuals who are disadvantaged, elderly, abused, homeless, or disabled.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by an Objective Review Committee to determine the extent to which the applicant documents the following criteria: (1) Need: the extent to which application describes the problem and associated contributing factors to the problem; (2) Response: the extent to which the proposed project responds to the "Purpose" included in the program description; the clarity of the proposed goals and objectives and their relationship to the identified project; the extent to which the activities (scientific or other) described in the application are capable of addressing the problem and attaining the project objectives; (3) Evaluative Measures: the effectiveness of the method proposed to monitor and evaluate the project results. Evaluative measures must be able to assess to what extent the program objectives have been met and; to what extent these can be attributed to the project; (4) Impact: the extent and effectiveness of plans for dissemination of project results and/or; the extent to which project results may be national in scope and/or the degree to which a community is impacted by delivery of health services, and/or the degree to which the project activities are replicable and/or the sustainability of the program beyond Federal funding; (5) Resources/Capabilities: the extent to which project personnel are qualified by training and/or experience to implement and carry out the project; the capabilities of the applicant organization, and quality

and availability of facilities and personnel to fulfill the needs and requirements of the proposed project; and for competing continuations grant, past performance will be considered; (6) Support Requested: the reasonableness of the proposed budget in relation to the objectives, the complexity of the activities, and the anticipated results. In making awards of grants under this section, preference will be given to any qualified applicant that (A) has a high rate for placing graduates in practice settings having the principal focus of serving residents of medically underserved communities; or (B) during the 2-year period preceding the fiscal year for which such an award is sought, has achieved a significant increase in the rate of placing graduates in such settings. Applicants should review the HRSA Guidance documents issued under this CFDA program for preference requirements. Preference will also be given to any qualified applicant that meets four (4) or more of the following seven (7) new program criteria: (1) The mission statement of the program identifies a specific purpose of preparing health professionals to serve underserved populations; (2) The curriculum includes content that will help to prepare practitioners to serve underserved populations; (3) Substantial clinical training experience is required in medically underserved communities; (4) A minimum of twenty (20) percent of the faculty spend at least fifty (50) percent of their time providing/supervising care in medically underserved communities; (5) The entire program or a substantial portion of the program, (i.e., the primary, ambulatory education training sites) is physically located in a medically underserved community; (6) Student assistance, which is linked to service in medically underserved communities following graduation, is available to the students in the program; (7) The program provides a placement mechanism for deploying graduates to medically underserved communities. Preference will be given only for applications ranked above the 20th percentile of applications that have been recommended for approval by the appropriate peer review group. Based on Presidential Executive Orders 12876, 12900, 13021, and 13125, a funding priority will be given to approved applicants who devote significant resources to provide community-based training experiences designed to improve access to health care services in underserved areas; these applicants include Asian-American and Pacific Islander Serving Institutions, Hispanic Serving Institutions, Historically Black Colleges and Universities, Tribal Colleges and Universities serving American Indians and Alaska Natives, or an institution that collaborates with one or more of the above listed institutions.

93.192 QUENTIN N. BURDICK PROGRAM FOR RURAL INTERDISCIPLINARY TRAINING

(Interdisciplinary Training for Health Care for Rural Areas)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Section 754, 42 U.S.C. 294p, as amended;
Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

The goal of this program is to provide or improve access to health care in rural areas. Specifically, projects funded under this authority shall be designed to: (a) Use new and innovative methods to train health care practitioners to provide services in rural areas; (b) demonstrate and evaluate innovative interdisciplinary methods and models designed to provide access to cost-effective comprehensive health care; (c) deliver health care services to individuals residing in rural areas; (d) enhance the amount of relevant research conducted concerning health care issues in rural areas; and (e) increase the recruitment and retention of health care practitioners from rural areas and make rural practice a more attractive career choice for health care practitioners.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds are awarded to eligible applicants to carry out their interdisciplinary training projects using various methods, including student stipends, post-doctoral fellowships, faculty training, and the purchase or rental of transportation and telecommunication equipment where appropriate to the rural area.

Applicant Eligibility:

Applications will be accepted from health professions schools, academic health centers, State or local governments, Indian Tribes or Tribal organizations, or other appropriate public or private nonprofit entities, including faith-based organizations and community-based organizations. Applications must be jointly submitted by at least two eligible entities with the express purpose of assisting individuals in academic institutions in establishing long-term collaborative relationships with health care providers in rural areas. Applicants must designate a rural health care agency or agencies for clinical treatment or training including hospitals, community health centers, migrant health centers, rural health clinics, community behavioral and mental health centers, long-term care facilities, Native Hawaiian health centers or facilities operated by the Indian Health

Service or an Indian Tribe organization or Indian organization under a contract with the Indian Health Service under the Indian Self Determination Act.

Beneficiary Eligibility:

The beneficiaries of this program are health professions students and faculty, health care practitioners already working in rural areas, and underserved rural communities seeking to recruit and retain health care providers. Not more than 10 percent of the individuals receiving training shall be trained as doctors of medicine or osteopathic medicine.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification in writing. A Notice of Grant Award will be issued from Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 5 to 6 months from receipt of application.

Appeals:

None.

Renewals:

Competitive continuations may be submitted during the final budget period of the approved project period.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Institutional training grants may be awarded for project periods up to 3 years. Awards are made on an annual basis and it is expected that funds will be expended within each budget period awarded. After awards are issued, funds are released in accordance with the payment procedure established by the grantee with DHHS, which may be an Electronic Transfer System or a Monthly Cash Request System.

Reports:

Uniform Summary progress reports must be submitted annually. Financial status reports are required within 90 days after the end of each budget period. A final progress report and financial status report must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$0; FY 08 est \$0; and FY 09 est \$0.

Range and Average of Financial Assistance:

\$133,709 to \$344,077; \$250,107.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Ms. Marcia Starbecker, Program Officer, Division of State, Community, and Public Health, Bureau of Health Professions, Health Resources and Services Administration, Public Health Service, Room 8A-09, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6867.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funded programs were jointly sponsored, usually by academic and rural health care entities; several programs had health dines. Emphasis was on opportunities for providing clinical services in rural areas and efforts to promote rural practice options.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by peer reviewers to determine the extent to which the applicant documents the following criteria: (1) the need for the proposed project; (2) the potential effectiveness of the proposed project in carrying out the education; (3) the potential effectiveness of the proposed project and expected outcomes; (4) the methodology includes a clearly articulated project plan; (5) the curriculum adequately addresses interdisciplinary training of health care practitioners from two or more disciplines; (6) the plan to recruit and select qualified trainees with a significant interest or background in rural health care; (7) the plan for project management describes as well qualified faculty, institutional and community support; (8) the fiscal plan for assuring effective use of grant funds.

93.193 URBAN INDIAN HEALTH SERVICES

(Urban Indian Alcohol and Substance Abuse Program; Urban Mental Health; Urban Immunization and Health Promotion/Disease Prevention)

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Indian Health Care Improvement Act, Title V, Sections 503 and 511, Public Law 94-437 as amended, Public Law 100-713, 101-630, and 102-572.

OBJECTIVES:

To provide health-related services to Urban Indians including: (1) Alcohol and substance abuse prevention, treatment, rehabilitation, and education; (2) mental health needs assessment and services; (3) health promotion and disease prevention services; (4) immunization services.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

These grants are limited to established urban Indian health services. The recipient organization must be an urban Indian organization with whom the Secretary has entered into a contract or grant under Title V of the Indian Health Care Improvement Act (25 U.S.C. 1651 et seq).

Applicant Eligibility:

Urban Indian organizations with whom the Secretary has entered into a contract or grant under Title V of the Indian Health Care Improvement Act.

Beneficiary Eligibility:

Urban Indians residing in the urban centers in which the organization is located.

Credentials/Documentation:

The applicant must provide documentation of: (1) Nonprofit status; and (2) that it has a current contract or grant with the Indian Health Service under Title V of the Indian Health Care Improvement Act. Costs will be determined in accordance with OMB Circular No. A-122, Nonprofit Institutions.

Preapplication Coordination:

Preapplication is not required. This program is excluded from coverage under E.O. 12372. An environmental impact statement is not required. Technical assistance is available from the Indian Health Service Headquarters. This program is subject to the Public Health Systems Reporting Requirements.

Application Procedure:

This program is subject to the provisions of OMB Circular No. A-122. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center hours of operation are Monday-Friday from 7:00 a.m. to 9:00 p.m. (Eastern Standard Time). If you require additional assistance please contact Michelle G. Bulls, Grants Policy Officer; Telephone: (301) 443-6528 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us.

Award Procedure:

Grants are awarded to all eligible organizations based on a thorough review to determine conformance with the goals of the program. Applications are processed and grants are awarded by Indian Health Service Headquarters. Funding level available to an organization is based on specific criteria in the Act to include size of urban Indian population, accessibility to, and utilization of, other health resources available to that population and identification of need for services.

Deadlines:

Contact the Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, Division of Grants Operations.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grants will be awarded for project periods of up to 3 years.

Reports:

Depending on services provided, progress and financial reports will be required either quarterly or semi-annually with final performance and financial status reports due 90 after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grantees are subject to site visits and audits by the Department of Health and Human Services (DHHS) and other Federal officials.

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the grant in order to make audits, examinations, excerpts, and transcripts. Grantees are required to maintain grant accounting records for 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Grants) FY 07 \$33,691,000; FY 08 est \$34,547,000 and FY 09 not reported.

Range and Average of Financial Assistance:

\$114,578 to \$573,126; \$209,846.

PROGRAM ACCOMPLISHMENTS:

Thirty-four continuing grant awards were funded in fiscal year 2005. It is estimated that 34 continuing grants will be awarded in fiscal year 2006, and it is anticipated that 34 continuing grants will be awarded in fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program guidelines, including applicable sections of Public Law 94-437, as amended; 45 CFR 74; and the PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Ms. Danielle Steward, Program Specialist, Urban Programs, Indian Health Service, 801 Thompson Avenue, Suite 200, Rockville, MD 20852. Telephone: (301) 443-4680. Grants Management Contact: Ms. Kimberly Pendleton, Senior Grants Management Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, Suite 100, Rockville, MD 20852. Telephone: (301) 443-5204. Use the same numbers for FTS.

Web Site Address:

<http://www.ihs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Alcohol and Substance Abuse Prevention, Intervention, After-Care and Education for Youth and Families; (2) Therapeutic Counseling; (3) Comprehensive Chemical Dependency Project; (4) Mental Health Needs Assessment; (5) Mental Health Services; (6) Immunization Services; and (7) Diabetes Prevention/Education and Obesity Control.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by staff of the Indian Health Service to ensure compliance with the following: (1) Size of the urban Indian population; (2)

accessibility to, and utilization of, other health resources available to such population; (3) duplication of existing Indian Health Service or other Federal grants or contracts; (4) capability of the organization to adequately perform the activities required under the grant; (5) satisfactory performance standards for the organization in meeting the goals; (6) identification of need for services; and (7) proposed methodology for accomplishing the stated goals of the program.

93.197 CHILDHOOD LEAD POISONING PREVENTION PROJECTS-STATE AND LOCAL CHILDHOOD LEAD POISONING PREVENTION AND SURVEILLANCE OF BLOOD LEAD LEVELS IN CHILDREN

(Childhood Lead Poisoning Prevention Program (CLPPP))

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 301A, 317A, and 317B, 42 U.S.C. 241a, 247b-1, 247b-3, as amended; Children's Health Act of 2000; Preventive Health Amendments of 1992, Section 303, Public Law 102-531.

OBJECTIVES:

To (1) Develop and/or enhance a surveillance system that monitors all blood lead levels; (2) assure that children who are potentially exposed to lead receive follow up care; (3) assure awareness and action among the general public and affected professionals in relation to preventing childhood lead poisoning in high risk areas in collaboration with other government and community based organizations.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Awards are to be used by State and local government agencies to develop, improve, and expand their capacity to address the problem of childhood lead poisoning in communities with demonstrated high-risk populations. Recipients of awards are expected to: (a) write, implement and evaluate a jurisdiction-wide childhood lead poisoning elimination plan; (b) write, implement and evaluate screening plans to target resources to children at the highest risk for lead poisoning; (c) maintain a jurisdiction-wide childhood lead surveillance program, with an analysis plan for collected data, (d) conduct primary prevention activities for pregnant women and/or families with children at high risk for lead poisoning, (e) develop an assurance plan for timely and appropriate case management of children with elevated blood lead levels, (f) demonstrate strategic partnering with community organizations and with other state/local agencies involved in environmental and child health activities, (g) coordinate with organizations and agencies involved in lead-based paint hazard reduction activities and development of protective policy; and (h) evaluate programmatic impact on childhood lead poisoning within the applicant's jurisdiction. Awards cannot supplant existing funding for childhood lead poisoning prevention programs or activities. Grant awards may not be expended for medical care and treatment, or for environmental remediation of lead sources, however, there must be an acceptable plan to ensure that these program activities are appropriately carried out. The surveillance component of this grant is intended to assist State health departments in implementing or enhancing a complete surveillance system to track all PbB levels in children. This is essential for grant recipients to target interventions to high-risk populations and to track progress toward eliminating childhood lead poisoning.

Applicant Eligibility:

Assistance will be provided to State health departments or their bonafide agents and the health departments of the following five local jurisdictions (or their bonafide agents) that have the highest estimated number of children with elevated blood lead levels: New York, NY; Chicago, IL; Detroit, MI; Los Angeles County, CA, and Philadelphia, PA, or their bona fide agents. Also eligible are health departments or other official organizational authorities of the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and federally recognized Indian tribal governments. Competition is limited by authorizing legislation.

Beneficiary Eligibility:

In addition to the eligible applicants, others who receive benefits from the program include infants and children from six months to six years of age who are screened for lead poisoning and family members who care for lead-poisoned children. Lead poisoning potentially affects all children, but disproportionately affects minority children and children of low-income families. Since the effects of lead poisoning can be long lasting, benefits of the program can also affect youth and adults, persons at all educational and income levels, and urban, suburban, and rural populations.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the

project, present their approach to meeting the objectives, and outline the methods of operation. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and federally-recognized Indian Tribal Governments.

Preapplication Coordination:

Preapplication coordination is desired but not required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should contact the office or official designated as the single point of contact in his or her State for more information. Through this process, States are provided the opportunity to review and comment on applications for Federal financial assistance. The due date for State process recommendations is 60 days after the application deadline date for new and competing continuation awards.

Application Procedure:

Application forms are both available from and submitted to the Grants Management Branch, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Standard application forms, as furnished by CDC and required by 45 CFR 92 must be used for this program. This program is subject to the provisions set forth in 45 CFR 92 for State and local governments.

Award Procedure:

Approved grants are funded based on a priority score ranking from an objective review process coordinated by the Centers for Disease Control and Prevention. CDC will give funding preference to state programs with significant estimated numbers of children with elevated blood lead levels that direct federal funds to localities with high concentrations of children at risk for childhood lead poisoning. CDC will also give funding preference to the five local jurisdictions with the highest estimated number of children with elevated blood lead levels. Awards are made based on availability of funds and other significant factors as deemed necessary and appropriate by the agency. Funds are awarded for a one-year (12 month period). A Notice of Grant Award (Form PHS 5161-1) is provided which indicates the current award as well as support recommendations for the remainder of the project period (up to three years). The Notice of Grant Award indicates allocations for Federal funds by budget categories and any special conditions, if applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

From two to three months.

Appeals:

None.

Renewals:

Renewal requests cannot exceed a three year project period. Priority consideration will be given to noncompeting continuation applications.

Formula and Matching Requirements:

This program has no statutory formula and no matching requirements. However, grant funds cannot supplant existing funding for childhood lead poisoning prevention programs or activities. Applicants are encouraged to identify other funding sources to support program activities.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period (July 1 - June 30) with project periods of up to three years subject to the availability of funds and satisfactory progress of the grantee.

Reports:

Quarterly progress reports are required. Financial status reports are required no later than 90 days after the end of each specified budget period. A final financial status report and final program report will be required no later than 90 days after the end of the project. Continuation applications for subsequent budget year support will include a progress/performance narrative outlining accomplishments and operations for that earlier budget period and a detailed budget narrative supporting the budget request.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, these grants are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

Obligations:

(Grants) FY 07 \$33,700,000; FY 08 \$28,093,406; and FY 09 est \$27,409,189.

Range and Average of Financial Assistance:

\$75,000 to \$1,700,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2002, 61 grantees representing 44 States, the District of Columbia and 17 city or county health departments received funding to conduct childhood lead poisoning prevention programs. CDC program staff provided technical assistance to State and local health officials and others interested in childhood lead poisoning prevention. In fiscal year 2001, emphasis was placed on carrying out lead poisoning prevention activities related to implementing statewide screening plans, emphasizing screening Medicaid-eligible children, implementing Medicaid data matching, and establishing childhood blood lead surveillance systems with the capability to report data to CDC. These programs will also utilize funds to facilitate referral of children identified with elevated blood lead levels for medical and environmental intervention, and provide education for parents and health professionals. All 61 funded programs were awarded for fiscal year 2002 to continue lead poisoning prevention activities. In fiscal year 2003, it is anticipated that 42 programs will be funded representing 36 States, the District of Columbia and 5 city or county health departments. Additional performance criteria, including an emphasis toward primary prevention interventions, will be the focus of the program in fiscal year 2003. In FY 04 it is anticipated that all funded programs will continue lead poisoning prevention activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for this program are published under 42 CFR 51b. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994. The basic grant administration policies of DHHS and PHS are also applicable.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Deb Millette, Program Deputy Director, Lead Poisoning Prevention Branch, National Center for Environmental Health, U.S. Centers for Disease Control and Prevention, 4770 Buford Highway, MS F-30, Atlanta, GA 30341. Telephone: (770) 488-7177. Email: mdm2@cdc.gov. Grants Management Contact: Ms. Mildred Garner, Grants Management Branch, U.S. Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488-2745. Fax Number: (770) 488-2777.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283, Centers for Disease Control and Prevention_Investigations and Technical Assistance.

EXAMPLES OF FUNDED PROJECTS:

The first projects to receive financial assistance under this grant program were made late in fiscal year 1990. The awards will continue to State and city/county agencies to develop, expand, or improve childhood lead poisoning prevention programs and statewide surveillance. The awards will be used to strengthen grantees' capacity to perform the three core public health functions (assessment, policy development, and assurance) as they relate to childhood lead poisoning prevention and surveillance. Specifically, these programs will ensure the screening of children at risk of lead exposure; facilitate appropriate referral of children with elevated blood lead levels for medical and environmental interventions; and provide for the education of parents, health care providers, and others concerned about childhood lead poisoning prevention. In addition, programs will develop and implement childhood lead poisoning elimination plans, implement jurisdiction-wide targeted screening plans and establish childhood blood lead surveillance systems.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the applicant's ability to identify populations and communities at high risk and the overall balance of the program's design to address the childhood lead poisoning problem. This requires the development of specific and measurable objectives and a sound operational plan that includes screening of high risk populations, medical and environmental management, lead hazard remediation, and health education and risk reduction activities to appropriately address the problem. Applications also will be evaluated on the ability of the program to carry out childhood lead poisoning prevention program activities utilizing the core public health functions as well as establishing working relations or partnerships with other agencies, organizations or groups that have interest in the prevention of childhood lead poisoning.

93.202 CAPACITY BUILDING AMONG AMERICAN INDIAN TRIBES

FEDERAL AGENCY:

AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 104(i)(14)(15) and (17) of the Comprehensive Environmental Response, Compensation and Liability Act; 42 U.S.C. 9604; Sections (i)(14)(15), and (17), as amended.

OBJECTIVES:

To address the Tribal public health issues that result from hazardous substances in the environment by: 1) building Tribal environmental health capacity 2) addressing health issues from releases of hazardous substances into the environment, and 3) develop culturally appropriate health education materials and/or vehicles to engage Tribal community members in public health activities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To enhance the Tribes ability to collaborate with Agency for Toxic Substance and Disease Registry (ATSDR) in conducting public health activities related to potential human exposures from the Hanford Nuclear Reservation.

Applicant Eligibility:

Federally Recognized American Indian Tribal Governments: Coeur d'Alene Tribe; Coville Confederated Tribes; Confederated Tribes of the Umatilla Indian Reservation; Kalispel Tribe; Kootenai Tribe of Idaho; Nez Perce Tribe; Spokane Tribe; Confederated Tribes of the Warm Springs Reservation of Oregon; and Yakama Indian Nation.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments, and the general public.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-87, A-21, and A-122 as applicable.

Preapplication Coordination:

No preapplication is required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must use application Form PHS 5161-1. Application packets are available from: Ms. Edna Green, Grants Management Branch, Procurement and Grants Office, Center for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. By formal agreement, the CDC Grants Management Branch will act on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR has final authority to approve funding of applications. When an application is approved for funding, the Grants Management Officer, CDC, acting as agent for ATSDR will prepare a Notice of Grant Award.

Deadlines:

Contact the Headquarters Office identified below for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 to 90 days.

Appeals:

None.

Renewals:

Awards are made for project periods of 1 to 5 years with 12-month budget periods. Renewal awards cannot be made beyond the project period without competition.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

The awards will be made for 12-month budget periods within a project period of 1 to 5 years. Continuation awards within the project period are made on the basis of satisfactory progress and availability of funds.

Reports:

Semi-annual progress and financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for 10 years in accordance with EPA's "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies." Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon the request of the Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

(Grants) FY 07 not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

\$40,000 to \$60,000; \$50,000 FY07 est. \$100,00; FY08 est. \$100,00.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2001, 9 awards were made. It is estimated that 9 continuation awards will be made in fiscal year 2002 and fiscal year 2003. No new awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing the program are set forth in 45 CFR Parts 74 and 92 and 40 CFR Part 35. Guidelines are available in the application kit and the PHS Grants Policy Statement No. 90-50-000 (Revised).

Regional or Local Office:

Not applicable.

Headquarters Office:

Program contact Dean Seneca, Division of Health Assessment and Consultation, Agency for Toxic Substances and Disease Registry, 1600 Clifton Road, N.E., Atlanta, GA 30333. Telephone: (770) 488-1607. E-mail address: zkg8@cdc.gov. Grants Management Contact: Ms. Mildred Garner, Acquisition & Assistance Branch A, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road., Atlanta, GA 30341. Telephone: (770) 488-2745. Fax: (770) 488-2777. mqg4@cdc.gov.

Web Site Address:

<http://www.atsdr.cdc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funded projects include grants to American Indian Tribal governments to conduct public health activities related to potential human exposures from the Hanford Nuclear reservations. Examples of funded projects are: Health assessments, health consultations, community involvement and health education.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed and evaluated based on the following criteria: 1) Develop and administered effective culturally competent measures to engage community members in environmental public health activities: 2) Describe how the environmental health needs assessment will be used for environmental health: 3) Outlined the activities to develop a 10-year Environmental Health Plan (EHP): 4) Described the Tribes capability (or inability) to carry out the proposed EHP: 5) Describe how the Tribe will resolve current problems of exposures: 6) and Budget justification.

93.204 SURVEILLANCE OF HAZARDOUS SUBSTANCE EMERGENCY EVENTS

FEDERAL AGENCY:

AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended; Superfund Amendments and Reauthorization Act of 1986, Sections 104(i)(1)(E), (9), and (15), Public Law 99-499, 42 U.S.C. 9604.

OBJECTIVES:

To assist State health departments in developing a State-based surveillance system for monitoring hazardous substance emergency events. This surveillance system will allow the State health department to better understand the public health impact of hazardous substance emergencies by developing, implementing, and evaluating a State-based surveillance system.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The participant State health agencies will use the information collected to assess the burden of adverse health effects created by these unexpected, sudden releases of hazardous substances, describe the situations and persons most likely impacted, define the risk factors for adverse health effects, and work with other agencies to implement prevention activities. Funds may be expended for reasonable program purposes, such as personnel, travel, supplies, and services. Equipment may be purchased with cooperative agreement funds; however, justification must be provided which should include a cost comparison of purchase versus lease options. All purchased equipment must be compatible with ATSDR's equipment and shall be returned to ATSDR at the completion of the project.

Applicant Eligibility:

Eligible applicants are the official public health departments of the States and the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, the Northern Mariana Islands, and American Samoa and federally-recognized Indian Tribal Governments.

Beneficiary Eligibility:

Beneficiaries include Federal, State, local, other public

institutions/organizations, federally-recognized Indian tribal governments, U.S. Territories/possessions, and the general public.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

No preapplication is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants must use application Form PHS 5161-1. Application packets are available from: Edna Green, Grants Management Specialist, Acquisition & Assistance Branch A, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Rd., Room 3000, Atlanta, GA 30341. By formal agreement, the CDC Grants Management Branch will act on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR has final authority to approve funding of applications. When an application is approved for funding, the Grants Management Officer, CDC, acting as agent for ATSDR, will prepare a Notice of Grant Award.

Deadlines:

Contact the Headquarters Office identified below for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 to 90 days.

Appeals:

None.

Renewals:

Awards are made for project periods of one to five years with 12-month budget periods. Renewal awards cannot be made beyond the project period without competition.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

The awards will be made for 12-month budget periods within a project period of one to five years. Continuation awards within the project period are made on the basis of satisfactory progress and availability of funds.

Reports:

Annual progress and financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of each project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for ten years in accordance with EPA's "Superfund Financial Management and Record keeping Guidance for Federal Agencies." Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon the request of the Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

(Cooperative Agreements) FY 07 \$801,495; FY 08 \$989,871; and FY 09 est \$989,871.

Range and Average of Financial Assistance:

\$60,000 to \$80,000; \$79,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are set forth in 45 CFR 92 and 40 CFR 35. Guidelines are available in the application kit and the PHS Grants Policy Statement No. 94-50,000 (Revised), April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Nelda Godfrey, Division of Health Studies, Agency for Toxic Substances and Disease Registry, 1600 Clifton Road, NE., Mail Stop F-57, Atlanta, Georgia 30333. Telephone: (770) 488-3690. Fax: (770) 488-7187. E-mail: nag9@cdc.gov. Grants Management Contact: Mildred Garner, Grants Management Officer, Acquisition and Assistance Branch A, Procurement and

Grants Office, Center for Disease Control and Prevention, 2920 Brandywine Rd., Room 3000, Mail Stop E-75, Atlanta, GA 30341. Telephone: (770) 488-2745, Fax: (770) 488-2745.

Web Site Address:

<http://www.atsdr.cdc.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funded projects are cooperative agreements to State governments to develop, implement, and maintain an emergency event surveillance system. All projects have as their overall objectives: A. Describe the type and cause of morbidity experienced by employees, first responders and the general public as a result of selected hazardous substance emergencies; B. Develop and propose strategies to reduce subsequent morbidity and mortality when comparable events occur in the future; C. Evaluate the public health impact of hazardous material releases, expand the access to databases with relevant hazardous material events and provide annual summaries of public health impacts; and D. Continue formal and informal interactions with State programs and local emergency responders, as well as private industry, to yield new resources and more complete information on emergency events.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed and evaluated according to the following criteria: (1) Appropriateness and knowledge of surveillance systems; (2) Proposed methodology; (3) Capability and coordination efforts; (4) Quality of information collection; (5) Program personnel; and (6) Program budget (not scored).

93.206 HUMAN HEALTH STUDIES-APPLIED RESEARCH AND DEVELOPMENT

FEDERAL AGENCY:

AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended; Superfund Amendments and Reauthorization Act of 1986, Sections 104(i)(7), (9), and (15), Public Law 99-499; 42 U.S.C. 9604 (i)(7), (9), and (15).

OBJECTIVES:

To solicit scientific proposals designed to answer public health questions arising from situations commonly encountered at hazardous waste sites. The objective of this research program is to fill gaps in knowledge regarding human health effects of hazardous substances identified during the conduct of ATSDR's health assessments, consultations, toxicological profiles, and health studies, including but not limited to those health conditions prioritized by ATSDR. The ATSDR Priority Health Conditions are (in alphabetical order): (1) Birth defects and reproductive disorders; (2) cancers (selected anatomic sites); (3) immune function disorders; (4) kidney dysfunction; (5) Liver Dysfunction; (6) Lung and Respiratory Diseases; and (7) neurotoxin disorders.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To strengthen the State governments, State colleges, State universities, State research institutions, federally-recognized Indian tribal governments, U.S. Territories and possessions to fill the gap in knowledge regarding the occurrence and risk factors for the Priority Health Conditions.

Applicant Eligibility:

Eligible applicants are State health departments or their bona fide agents or instrumentalities. This includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, the Northern Mariana Islands, American Samoa, the political subdivisions thereof, which may include State universities, State colleges, and State research institutions, and federally-recognized Indian tribal governments.

Beneficiary Eligibility:

The ultimate beneficiaries of this program are the Federal, State, local, other public institutions/organizations, federally-recognized Indian tribal governments, U.S. Territories/possessions, and the general public in their ability to address potential health problems related to environmental exposures and adverse health outcomes.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-87, A-21, and A-122 as applicable.

Preapplication Coordination:

No preapplication is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants must use application Form PHS 5161-1. Application packets are

available from: Mildred Gardner, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. By formal agreement, the CDC Grants Management Branch will act on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR has final authority to approve funding of applications. When an application is approved for funding, the Grants Management Officer, CDC, acting as agent for ATSDR, will prepare a Notice of Grant Award.

Deadlines:

Contact the Headquarters Office identified below for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 to 90 days.

Appeals:

None.

Renewals:

Awards are made for project periods of one to five years with 12-month budget periods. Renewal awards cannot be made beyond the project period without competition.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

The awards will be made for 12-month budget periods within a project period of 1 to 5 years. Continuation awards within the project period are made on the basis of satisfactory progress and availability of funds.

Reports:

Semi-Annual progress and financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for 10 years in accordance with EPA's "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies." Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon the request of the Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

(Grants) FY 07 \$155,874; FY 08 \$0; and FY 09 est not available.

Range and Average of Financial Assistance:

\$50,000 to \$500,000; \$100,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2001, there were 8 new competitive awards and 3 non-competitive continuation awards. In fiscal year 2002, it is estimated there will be 12 new competitive awards and 11 non-competitive continuation awards. In fiscal year 2003, it is estimated there will be 20 non-competing continuation awards. In FY 2004 and FY 2005 efforts continued to support approximately 20 non-competing awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing the program are set forth in 45 CFR Parts 74 and 92 and 40 CFR Part 35. Guidelines are available in the application kit and the PHS Grants Policy Statement No. 90-50,000 (Revised).

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Beth Gardner, Office of Financial and Administrative Services, Agency for Toxic Substances and Disease Registry, 1600 Clifton Road, NE., Mail Stop F-62, Atlanta, GA 30333. Telephone: (770) 488-0572. E-mail address: ctg8@cdc.gov. Programmatic Technical Assistance: David Williamson, Director, Division of Health Studies, Agency for Toxic Substances and Disease Registry, 1600 Clifton Road, NE., Mail Stop F-57, Atlanta, GA 30333. Telephone: (770) 488-0705. Fax: 770-488-7187. E-mail address: DXW2@cdc.gov. Grants Management Contact: Mildred Garner, Acquisition & Assistance Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488-2743. Fax: (770) 488-2745.

Web Site Address:

<http://www.atsdr.cdc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funded projects include grants to State health departments and universities to conduct health studies that relate to hazardous substances and investigate health conditions prioritized by ATSDR. Examples of funded projects are: 1. A study to examine residential exposure to specific urban air toxicants through multiple pathways and indoor allergens in an urban area and their relationship to asthma prevalence and immune function using nested case-control study. 2. A study to probe the possible synergistic relationships between exposure to chemicals commonly found at hazardous waste sites and likely to contaminate the border area and maternal infections suspected to be risk factors for NTDs.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed and evaluated based on the following criteria: 1. Appropriateness and knowledge of study design; 2. Proposed study; 3. Relationship to initiative; 4. Quality of data collection; 5. Program personnel; and 6. Program budget (not scored).

93.208 GREAT LAKES HUMAN HEALTH EFFECTS RESEARCH

FEDERAL AGENCY:

AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended; Superfund Amendments and Reauthorization Act of 1986, Sections 104 (i)(5)(A) and (15), Public Law 99-499; 42 U.S.C. 9604 (i)(5)(A) and (15); Great Lakes Critical Programs Act of 1990, Section 106, Subsection 118(e); 33 U.S.C. 1268(e)(3).

OBJECTIVES:

To: (1) Build upon and amplify the results from past and on-going research in the Great Lakes basin; (2) develop information, databases and research methodology that will provide long-term benefit to human health effects research in the Great Lakes basin; (3) develop direction for future health effects research; (4) provide state local health officials: the concerned public and their medical health care professionals; (5) in concert with State and local health offices increase the public awareness regarding the potential health implications of the toxic pollution problem in the Great Lakes basin; and (6) coordinate as necessary with relevant Public Health Service (PHS) research programs and activities, including those of the Food and Drug Administration (FDA), Centers for Disease Control and Prevention (CDC), National Institutes of Health (NIH), and the Indian Health Service (IHS), as well as the Environmental Environment Protection Agency (EPA) and State and local health departments, to ameliorate adverse public health impacts of persistent toxic substances in the Great Lakes basin.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To conduct research on the impact on human health of fish consumption in the Great Lakes region. This Program of Research will focus on populations which have been identified to have a higher risk of long-term adverse health effects from exposure to contaminants in Great Lakes fish, i.e., Native Americans, sport anglers, urban poor, the elderly, Asian Americans, racial/ethnic minority populations, fetuses, and nursing infants of mothers who consume contaminated Great Lakes fish. Priority areas of research for this program include: 1. Characterizing exposure and determining the profiles and levels of Great Lakes contaminants in biological tissues and fluids in high-risk populations; 2. Identifying sensitive and specific human health endpoints, i.e., reproductive/developmental, behavioral, endocrinologic, and immunologic effects and correlating them to exposure to Great Lakes contaminants; and 3. Determining the short- and long-term risk(s) of adverse health effects in children which result from parental exposure to Great Lakes contaminants. Proposed projects covering these priority areas should include strategies (risk communication) to inform susceptible populations about the potential human health impact of consuming contaminated fish from the Great Lakes. Based upon research findings, longer term priority areas may include, but are not limited to: 1. Investigating the feasibility of, or establishing, registries and/or surveillance cohorts in the Great Lakes region; and 2. Establishing a chemical mixtures database with emphasis on tissue and blood levels to identify new cohorts, conduct surveillance and health effects studies, and establish registries and/or surveillance cohorts.

Applicant Eligibility:

Eligible applicants are the official public health agencies or their bona fide agents or instrumentalities and political subdivisions thereof, which may include State universities, State colleges, State research institutions, State and local health departments, and federally-recognized Indian tribal governments located in the Great Lakes States. This includes Illinois, Indiana, Michigan, Minnesota, Ohio, Pennsylvania, New York and Wisconsin and is consistent with Section 106, Subsection 118(e) of the Great Lakes Critical Programs Act of 1990 (33 U.S.C. 1268(e)(3)).

Beneficiary Eligibility:

Native Americans, Minority Groups, Sport Anglers, Urban Poor, Individuals and Families who consume contaminated Great Lakes Fish.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

None. An environmental impact statement is not required for this program. This program is excluded from coverage under E. O. 12372.

Application Procedure:

Applicants must use application Form 5161-1. Application packets are available from: Acquisition and Assistance Branch A, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. By formal agreement, the CDC Grants Management Branch will act on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR, has final authority to approve funding of applications. When an application is approved for funding, the Grants Management Officer, CDC, acting as agent for ATSDR, will prepare a Notice of Grant Award.

Deadlines:

Contact the Headquarters Office identified below for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 to 90 days.

Appeals:

None.

Renewals:

Awards are made for project periods of one to three years with 12-month budget periods. Renewals awards cannot be made beyond the project period without competition.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

The awards will be made for 12-month budget periods within a project period of one to three years. Continuation awards within the project period are made on the basis of satisfactory progress and availability of funds.

Reports:

Annual progress and financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by HHS and other Federal officials.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for ten years in accordance with EPA's "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies." Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon the request of the Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

(Grants) FY 07 \$1,019,706; FY 08 \$1,082,730; and FY 09 est \$800,000.

Range and Average of Financial Assistance:

\$72,000 to \$175,000; \$119,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2003, funding was provided for ten competing awards. It is anticipated that there will be ten non-competing continuation awards in fiscal years 2004 and 2005.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are set forth in 45 CFR 92 and 40 CFR 35, subpart O. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Dr. Heraline E. Hicks, Division of Toxicology, Agency for Toxic Substances and Disease Registry, 1600 Clifton Road, NE, Mail Stop F-32, Atlanta, Georgia 30333. Telephone: (770) 488-3347. Fax: (770) 488-4178. E-mail address: HEH2@cdc.gov. Grants Management Contact: Mildred Garner, Acquisition and Assistance Branch A, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488-2745. Fax: (770) 488-2777.

Web Site Address:

<http://www.atsdr.cdc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) The New York State Angler Cohort Study: Exposure Characterization and Reproductive and Developmental Effects; (2) Great Lakes Fish as a Source of Maternal and Fetal Exposure to Chlorinated Hydrocarbons; (3) An Assessment of a Human Population at Risk: The Impact of Consuming Contaminated Great Lakes Fish on Native American Communities; (4) Cognitive and Motor Effects of PCB Exposure in Older People from the Michigan Fish Eaters Cohort: Emphasis on the Role of Ortho-Substituted Congeners; (5) Contribution of Nursing to Behavioral Changes in Offspring of Mothers Who Consumed Lake Ontario Fish: Two Methodological Approaches; (6) Health Risks from Consumption of Great Lakes Fish; and (7) Assessing Effects of Human Reproductive Health of PCB Exposure via Consumption of Great Lakes Fish. Three grants were awarded to State Health Departments for the following projects: (1) PCB and DDE Exposure among Native American Men from Contaminated Great Lakes Fish and Wildlife; (2) Consortium for the Health Assessment of Great Lakes Sport Fish Consumption; and (3) Michigan Great Lakes Health Studies.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed and evaluated according to the following criteria: Proposed Program; Program Personnel; Applicant Capability; and Program Budget (Not Scored).

93.209 CONTRACEPTION AND INFERTILITY RESEARCH LOAN REPAYMENT PROGRAM

(CIR LRP)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, 42 U.S.C. 288-2, Title IV (National Research Institutes), Part G (Awards and Training), Section 487B (Loan Repayment Program for Research with Respect to Contraception and Infertility), as amended by Public Law 105-392.

OBJECTIVES:

The CIR-LRP is designed to provide an incentive for health professionals to work in areas of reproductive research directly related to contraceptive development and/or infertility diagnosis and treatment by providing assistance in repaying educational loans for individuals. The CIR-LRP will pay up to \$35,000 of the principal and interest of a participant's educational loans for each year of commitment not to exceed one-half of the remaining loan balance. In addition to the loan repayments, the CIR-LRP may pay participants up to 39 percent of the amount paid to lenders as partial payments on the increased income tax liability caused by the Program's loan repayments. In return for these loan repayments, applicants must agree to commit to a period of obligated service of not less than 2 years conducting research with respect to contraception and/or infertility. The long-range objective of the CIR-LRP is to stimulate the commitment of researchers to sustaining a career focus on contraception and/or infertility research.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

The assistance provided by the CIR-LRP is entirely of a financial nature in the form of loan repayments. The monies provided by this program are restricted to the repayment of pre-existing, qualified loans procured by the participant for educational expenses incurred in the pursuit of undergraduate or graduate degrees.

Applicant Eligibility:

Applicants must be a U.S. citizen (or U.S. national or permanent resident) and a physician, Ph.D.-level scientist, nurse, physician's assistant, graduate student, or postgraduate research fellow training in the health professions. You must commit to conduct contraception and infertility research for 50% of your time (at least 20 hours weekly based on a 40 hour week) for two years and it must be funded by a domestic nonprofit or U.S. Government (Federal, state or local) entity. Also, the research must not be prohibited by Federal law or NIH policy. Complete eligibility information is available at <http://www.lrp.nih.gov/about/lrp-contra.htm>.

Beneficiary Eligibility:

Assistance in the form of loan repayments will be made to qualified health professionals (including graduate students) who are participants in the CIR-LRP.

Credentials/Documentation:

In order to be considered for selection into the CIR-LRP, eligible applicants must complete and submit the electronic application materials located at www.lrp.nih.gov, which include: (1) a Loan Verification Form for each loan to be considered for repayment; (2) an institutional statement providing assurance that the applicant will be engaged in employment/training at an NICHD intramural laboratory or in a qualified domestic nonprofit institution (tax-exempt

under 26 USC 501), for not less than two years from the anticipated effective date of the NIH Loan Repayment Program Contract between the individual and NICHD (this assurance is conducted electronically); and (3) a signed NIH Loan Repayment Program Contract by which the applicant agrees to serve the obligated minimum period of two years conducting contraception and/or infertility research at an NICHD intramural laboratory or in a qualified domestic nonprofit institution (tax-exempt under 26 USC 501).

Preapplication Coordination:

Information regarding the CIR-LRP and the preparation of forms for application to the CIR-LRP can be obtained by contacting the CIR-LRP headquarters office at the National Institute of Child Health and Human Development, NIH, or via the World Wide Web at www.lrp.nih.gov. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Qualified individuals interested in participating in the CIR-LRP should visit the NIH Loan Repayment Program Website at www.lrp.nih.gov for all application forms and requirements. The application will be evaluated by a Review Committee, convened for this purpose by the Division of Scientific Review, NICHD, NIH, HHS. In selecting applicants for participation in the CIR-LRP, the panel will consider eligibility status, quality of the applicant, and applicant's career plan focus. All selections are subject to final approval by the Director, NICHD. The NICHD will notify the sponsoring grantee institution and the applicant of the outcome of the review.

Award Procedure:

Once the applicant is selected to participate in the CIR-LRP, the applicant and the Director, NICHD, as a designate of the Secretary of Health and Human Services, will sign a written binding contract stating the terms and conditions for participating in the CIR-LRP. The effective date of the contract will be the date it is signed by the Director, NICHD, or the date employment/training begins at an NICHD-funded Specialized Contraception or Infertility Research Center, whichever is later.

Deadlines:

Applications can be received at the deadlines established and announced each year at <http://www.lrp.nih.gov/>.

Range of Approval/Disapproval Time:

The selection process takes from 6 to 8 months following receipt of application.

Appeals:

None.

Renewals:

A CIR-LRP participant completing the initial 2-year period of obligated service conducting research with respect to contraception and/or infertility has an option to extend the obligatory period of service on an annual basis.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The CIR-LRP will pay equal quarterly payments to the lenders. Each payment will be made shortly after the end of each full quarter of satisfactory service.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

75-0897-0-1-552.

Obligations:

(Direct Payments) FY 07 \$1,000,000; FY 08 est \$1,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

In FY 06 the range of financial assistance was between \$4,461 and \$103,138. The average contract cost was \$45,619.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, the projected funding level for the Contraception and Infertility Research LRP is \$1,000,000, with a projected total of 22 contracts. The fiscal year 2007 Extramural LRP application period closed on December 15, 2006, and funding decisions will be made by August 2007. In fiscal year 2008, the projected funding level for the Contraception and Infertility Research LRP is \$1,000,000, with a projected total of 22 contracts.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Proposed Rulemaking was approved by NIH on September 19, 2001, and by the Department of Health and Human Services on December 31, 2001.

Regional or Local Office:

None.

Headquarters Office:

Dr. Eugene G. Hayunga, Deputy Director, Office of Extramural Policy, National Institute of Child Health and Human Development, NIH, DHHS, Building 61E, Rm. 2C01, MSC-7510, Bethesda, MD 20892-7510. Telephone: (301) 435-6856; E-mail: ehayunga@mail.nih.gov.

Web Site Address:

RELATED PROGRAMS:

93.280, National Institutes of Health Loan Repayment Program for Clinical Researchers; 93.285, National Institutes of Health Pediatric Research Loan Repayment Program; 93.307, Minority Health and Health Disparities Research; 93.220, Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.209, Contraception and Infertility Research Loan Repayment Program; 93.162, National Health Service Corps Loan Repayment Program; 93.923, Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP); 93.936, National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Review criteria for CIR-LRP applications include: (1) Appropriateness of the applicant's previous training and experience as preparation for a career in contraception and infertility research; (2) Suitability of the applicant's proposed clinical research activities in the two-year period to foster a career in contraception and infertility research; (3) Assessment of the applicant's commitment to a research career as reflected by the personal statement of long-term career goals and the plan outlined to achieve those goals; (4) Strength of recommendations attesting to the applicant's potential for a career in contraception and/or infertility research; (5) Availability of appropriate scientific colleagues to achieve and/or enhance the applicant's research independence; and (6) Quality and appropriateness of institutional resources and facilities.

93.210 TRIBAL SELF-GOVERNANCE PROGRAM: IHS COMPACTS/FUNDING AGREEMENTS

(Tribal Self Governance)

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended.

OBJECTIVES:

To make financial assistance awards to Indian tribes to enable them to assume programs, functions, services, and activities (PFSA) with respect to which Indian tribes or Indians are primary or significant beneficiaries, administered by the Department of Health and Human Services (DHHS) through the Indian Health Service. Such assumptions will be by compact/funding agreements.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds are to be spent in accordance with Public Law 93-638, as amended, applicable regulations and negotiated compact/funding agreement terms. Per 42 CFR 137.167 and 137.168, a self-governance tribe must apply the cost principles of the applicable OMB Circular, except as modified by: (a) section 106(k) of the Act (25 U.S.C. 450j-1), (b) other provisions of law, or (c) any exemptions to applicable OMB circulars subsequently granted by the OMB. No other audit or accounting standards shall be required by the Secretary. Per 42 CFR 137.100, pursuant to section 508(h) of the Act 25 U.S.C. 458aaa7(h), a Self-Governance Tribe may retain and spend interest earned on any funds paid under a compact or funding agreement. Per 42 CFR 137.101, a Self-Governance Tribe is under a duty to invest and manage the funds as a prudent investor would, in light of the purpose, terms, distribution requirements, and provisions in the compact or funding agreement and Title V. This duty requires the exercise of reasonable care, skill, and caution, and is to be applied to investments not in isolation but in the context of the investment portfolio and as a part of an overall investment strategy, which should incorporate risk and return. Per 42 CFR 137.167 and 137.168, a self-governance tribe must apply the cost principles of the applicable OMB Circular, except as modified by: (a) section 106(k) of the Act (25 U.S.C. 450j-1), (b) other provisions of law, or (c) any exemptions to applicable OMB circulars subsequently granted by the OMB. No other audit or accounting standards shall be required by the Secretary. Per 42 CFR 137.100, pursuant to section 508(h) of the Act 25 U.S.C. 458aaa7(h), a Self-Governance Tribe may retain and spend interest earned on any funds paid under a compact or funding agreement. Per 42 CFR 137.101, a Self-Governance Tribe is under a duty to invest and manage the funds as a prudent investor would, in light of the purpose, terms, distribution requirements, and provisions in the compact or funding agreement and Title V. This duty requires the exercise of reasonable care, skill, and caution, and is to be applied to investments not in isolation but in the context of the investment portfolio and as a part of an overall investment strategy, which should incorporate risk and return objectives reasonably suitable to the Self-Governance Tribe. In making and implementing investment decisions, the Self-Governance Tribe has a duty to diversify the investments unless, under the circumstances, it is prudent not to do so. In addition, the Self-

Governance Tribe must: (a) Conform to fundamental fiduciary duties of loyalty and impartiality; (b) Act with prudence in deciding whether and how to delegate authority and in the selection and supervision of agents; and (c) Incur only costs that are reasonable in amount and appropriate to the investment responsibilities of the Self-Governance Tribe.

Applicant Eligibility:

Per 42 CFR 137.18, to be eligible to participate in self-governance, an Indian tribe must have: (a) successfully completed the planning phase described in Sec. 137.20; (b) requested participation in self-governance by resolution or other official action by the governing body of each Indian tribe to be served; and (c) demonstrated, for three fiscal years, financial stability and financial management capability. Per 42 CFR 137.21, the Indian tribe provides evidence that, for the three years prior to participation in self-governance, the Indian tribe has had no uncorrected significant and material audit exceptions in the required annual audit of the Indian tribe's self-determination contracts or self-governance funding agreements with any Federal agency. Per 42 CFR 137.26, an Indian tribe does not need to receive a planning or negotiation cooperative agreement to be eligible to participate in self-governance. An Indian tribe may use other resources to meet the planning requirement and to negotiate. For each fiscal year, an additional 50 Indian tribes that meet the eligibility criteria shall be entitled to participate in self-governance.

Beneficiary Eligibility:

Federally-recognized Indian tribes and tribal organizations (as defined in 25 U.S.C. 450b(1)) will benefit.

Credentials/Documentation:

Per 42 CFR 137.167 and 137.168, a self-governance tribe must apply the cost principles of the applicable OMB Circular, except as modified by: (a) section 106(k) of the Act (25 U.S.C. 450j-1), (b) other provisions of law, or (c) any exemptions to applicable OMB circulars subsequently granted by the OMB. No other audit or accounting standards shall be required by the Secretary.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

None.

Award Procedure:

Compacts/funding agreements are awarded to those Indian tribes or organizations who have completed negotiations with IHS.

Deadlines:

There is no deadline for a Tribe entering into a Compact/Funding Agreement. Contact the Director, Office of Tribal Self-Governance, listed below for additional information.

Range of Approval/Disapproval Time:

None.

Appeals:

See 42 CFR 137.410 through 137.445, for regulations governing impacts/funding agreement decisions.

Renewals:

Per 42 CFR 137.35, upon approval and execution of a self-governance compact, the compact remains in effect for so long as permitted by Federal law or until determined by mutual written agreement or retrocession or reassumption of all PSFAs. Per 42 CFR 137.55, a funding agreement shall have the term mutually agreed to by the parties. Absent notification from an Indian tribe that it is withdrawing or retroceding the operation of one or more PSFAs identified in the funding agreement, the funding agreement shall remain in full force and effect until a subsequent funding agreement is executed. Per 42 CFR 137.35, upon approval and execution of a self-governance compact, the compact remains in effect for so long as permitted by Federal law or until determined by mutual written agreement or retrocession or reassumption of all PSFAs. Per 42 CFR 137.55, a funding agreement shall have the term mutually agreed to by the parties. Absent notification from an Indian tribe that it is withdrawing or retroceding the operation of one or more PSFAs identified in the funding agreement, the funding agreement shall remain in full force and effect until a subsequent funding agreement is executed.

Formula and Matching Requirements:

Per 42 CFR 137.217, funds provided under compacts, funding agreements, or grants made pursuant to Title V may be treated as non-Federal funds for purposes of meeting matching or cost participation requirements under any other Federal or non-Federal program.

Length and Time Phasing of Assistance:

Per 42 CFR 137.76, when a funding agreement requires an annual transfer of funding to be made at the beginning of a fiscal year, or requires semi-annual or other periodic transfers of funding to be made commencing at the beginning of a fiscal year, the first such transfer shall be made not later than 10 days after the apportionment of such funds by the OMB to the Department, unless the funding agreement provides otherwise. Per 42 CFR 137.77, the Secretary must transfer any funds that were not paid in the initial lump sum payment within 10 days after distribution methodologies and other decisions regarding payment of those funds have been made by the IHS.

Reports:

Per 42 CFR 137.200, Compacts or funding agreements negotiated between the

Secretary and a Self-Governance Tribe must include a provision that requires the Self-Governance Tribe to report on health status and services delivery. These reports may only impose minimal burdens on the Self-Governance Tribes.

Audits:

Per 42 CFR 137.165, under the provisions of section 506(c) of the Act 25 U.S.C. 458aaa5(c), Self-Governance Tribes must undertake annual audits pursuant to the Single Audit Act, 31 U.S.C. 7501 et seq. Per 42 CFR 137.166, exceptions are described in 31 U.S.C. 7502 of the Single Audit Act.

Records:

In accordance with 42 CFR - 137.175, Tribes are required to maintain records and provide Federal agency access to those records as provided in 137.177 - 137.176, except to the extent that a Self-Governance Tribe specifies otherwise in its compact or funding agreement, the records of the Self-Governance Tribe shall not be considered Federal records for purposes of chapter 5 of title 5, U.S.C. - 137.177, after 30 days advance written notice from the Secretary, the Self-Governance Tribe must provide the Secretary with reasonable access to such records to enable the Department to meet its minimum legal recordkeeping system requirements under sections 3101 through 3106 of title 44 U.S.C. - 137.178, at the option of a Self-Governance Tribe, patient records may be stored at Federal Records Centers to the same extent and in the same manner as other Department patient records in accordance with section 105(o) of the Act 25 U.S.C. 450j(o). - 137.179, a Self-Governance Tribe may make agreements with the Federal Records Centers regarding disclosure and release of the patient records stored pursuant to 137.178. - 137.180, a Tribe must consider the potential application of Tribal, Federal and state law and regulations that may apply to requests for access to Tribal patient records, such as the provisions 42 CFR 2.1.2.67 pertaining to records regarding drug and/or alcohol treatment.

Account Identification:

75-0390-0-1-551.

Obligations:

(Compacts/Funding Agreements) FY 07 \$949,982,000; FY 08 \$980,000,000; and FY 09 est \$1,100,000,000.

Range and Average of Financial Assistance:

Range \$85,000 to \$64,200,000; Average \$11,300,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2008, there are 73 compact, 94 funding agreements covering tribally operated facilities which include 11 hospitals, 71 health centers, one school health centers, and 189 health stations/village clinics.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, authorizes compacts/funding agreements. According to section 515(c) of the Act 25 U.S.C. 458aaa-16(e), "unless expressly agreed to by the participating Indian tribe in the compact or funding agreement, the participating Indian tribe shall not be subject to any agency circular, policy, manual, guidance, or rule adopted by the Indian Health Service, except for the eligibility provisions of section 105(g) and regulations promulgated under section 517.42 CFR 137 applies to compacts/funding agreements.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Ms. Hankie Ortiz, Director, Office of Tribal Self-Governance, Indian Health Service, 801 Thompson Avenue, Suite 240, Rockville, MD 20852. Telephone: (301) 443-7821. Use the same numbers for FTS.

Web Site Address:

<http://www.ihs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.211 TELEHEALTH NETWORK GRANTS

Telehealth Network Grant Program (TNGP); Telehealth Resource Center Grant Program (TRCGP); and Licensure Portability Grant Program (LPGP)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 330I, Public Health Service Act; Section 330L, Public Health Service Act, 42 U.S.C. 254c-18.

OBJECTIVES:

The TNGP has two provisions: Telehealth Networks (TNGP-TH) grants demonstrate how telehealth networks improve healthcare services in rural communities. Telehomecare Networks (TNGP-THC) grants fund demonstration or pilot projects for telehomecare, services that may include, but are not limited to, case management by physicians, hospitals, medical clinics, home health

agencies, or other health care providers who supervise the care of patients in their homes. The TRCGP supports the establishment and development of Telehealth Resource Centers (TRC). These centers assist health care organizations, health care networks, and health care providers in the implementation of cost-effective telehealth programs to serve rural and medically underserved areas and populations. The TRCGP is designed to leverage the experience of mature programs that have a strong record of implementing telehealth services. The LPGP supports State professional licensing boards to carry out programs under which licensing boards of various States cooperate to develop and implement State policies that will reduce statutory and regulatory barriers to telemedicine.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

TNGP - Funds support the use of telehealth (electronic information and telecommunications technologies to support and promote long-distance health care and ancillary services) in two ways: 1) Telehealth Networks, for improving access to health care services; provide a baseline of information for a systematic evaluation of telehealth systems; purchase or lease and install equipment; and to operate and evaluate the telehealth system. 2) Telehomecare Networks, for improving access to health services for patients in their homes and for evaluating the cost and effectiveness through provision of clinical care and remote monitoring of patients in their own homes using telehealth technologies. Primary expenses for telehomecare shall be for personnel costs for provision of services and for evaluation. Overall, not more than 40 percent of grant funds may be expended for indirect costs. Grant funds may not be used for purchasing and installing telecommunications transmission equipment (e.g., microwave towers, satellite dishes, amplifiers, digital switching equipment or laying cable or telephone lines) or to acquire real property. Construction costs are allowable only for minor renovations related to the installation of equipment. Note: For FY 2009, the Agency is developing program guidance to facilitate a competition for the 3-year FY 2009 through FY 2011 project period. The FY 2009 program guidance may include, under the TNGP provisions, a limited number of planning grants.) TRCGP - Funds support the development of regional Telehealth Resource Centers (TRCs), which serve as a regional focus for supporting telehealth activities throughout their respective regions; and, one national Telehealth Resource Center focuses on legal and regulatory telehealth issues. Grant funds are used for salaries, equipment, operating, travel expenses, or other costs for: providing technical assistance, training and support; disseminating information and research findings related to telehealth services; promoting effective collaboration among telehealth resource centers and HRSA; conducting evaluations to determine the best utilization of telehealth technologies to meet health care needs; promoting the integration of the technologies used in clinical information systems with other telehealth technologies; fostering the use of telehealth technologies to effectively provide healthcare information and education for health care providers and consumers; and, implementing special projects that involve collaboration among TRCs to advance the field of telehealth. Grant funds are not used for: acquiring real property, equipment costs of more than 40 percent of total grant funds, for equipment or transmission costs not directly related to the grant purposes, to purchase or install general purpose voice telephone systems, construction costs, indirect costs exceeding 15 percent of total grant funds. LPGP - Grant funds are used for salaries, equipment, software development, operating, or other costs associated with developing legislative, administrative, and technical projects to address licensure barriers that hinder the practice of telemedicine across state lines. Grant funds may also be used for activities involving significant expansion of existing state agreements for cross-state recognition of professional licenses to other states.

Applicant Eligibility:

TNGP - A grantee must be a nonprofit or public entity that will provide services through a telehealth network (TNGP-TH) to rural communities or through a telehomecare network (TNGP-THC) to patients in their homes located in either urban underserved or rural communities. Telehomecare network grantees should have demonstrated experience in providing telehomecare services. For both telehealth networks and telehomecare networks, proof of non-profit status is required. Each entity participating in the network may be a nonprofit or for-profit entity. Faith-based and community based organizations are eligible under the TNGP. TRCGP - A grantee must be a public or private nonprofit organization. Faith-based and community based organizations are eligible to apply. Services may be provided to rural or urban communities. LPGP - A grantee must be a State professional licensing board, or a national organization of professional licensing boards that provide services to state licensing boards. Note: American Indian and/or Alaska Native Tribal Organizations are eligible provided those organizations meet the eligibility requirements above.

Beneficiary Eligibility:

TNGP - Health care providers in rural areas, in medically underserved areas, in frontier communities, and for medically underserved populations. TNGP-TH grantees include in the network at least two (2) of the following entities (at least one (1) of which shall be a community-based health care provider: (a)

community or migrant health centers or other federally qualified health centers; (b) health care providers, including pharmacists, in private practice; (c) entities operating clinics, including rural health clinics; (d) local health departments; (e) nonprofit hospitals, including community (critical) access hospitals; (f) other publicly funded health or social service agencies; (g) long-term care providers; (h) providers of health care services in the home; (i) providers of outpatient mental health services and entities operating outpatient mental health facilities; (j) local or regional emergency health care providers; (k) institutions of higher education; or (l) entities operating dental clinics. TNGP-THC grantees are experienced in providing telehealth services, have a substantial caseload, are targeted to patients with chronic illnesses and senior citizens, have a history of doing evaluations and monitoring telehomecare network performance in terms of quality, cost, and effectiveness or services. TRCGP - Health care providers in rural areas, in medically underserved areas, in frontier communities, and medically underserved populations. The regional telehealth resource centers must support the activities of existing or developing telehealth networks in their regions to meet the health care needs of rural or other populations to be served, including the improvement of access to services and the quality of the services received by those populations. Regional TRCs have expertise in at least four (4) of the following areas: Clinical Outpatient Specialty Care; Critical or Emergency Care; Residential telehealth (home care)/chronic disease management; Nursing Home/Inpatient Care; Distance Education and Training; Store-and-Forward; Teleradiology. Regional TRCs collaborate with other organizations to address any of the seven areas where they are not expert. The National TRC exhibits expertise in the following areas: Reimbursement (Medicare, Medicaid, private insurance); Licensure (legislative and regulatory issues); Privacy, security, and confidentiality legislation at federal and state levels; Food and Drug Administration regulation; Telecommunications legal and regulatory issues; and Private credentialing and accreditation organizations and issues (e.g., Joint Commission on Accreditation of Health Care Organizations). Note: American Indian and/or Alaska Native Tribal Organizations are eligible beneficiaries provided those organizations meet the beneficiary requirements above. LPGP - State professional licensing boards to carry out programs under which licensing boards of various States cooperate to develop and implement State policies that will reduce statutory and regulatory barriers to telemedicine. NCC grants will continue to focus on licensure issues for physicians and nurses. The funded projects are to build on the first year of efforts to develop national models for addressing barriers to adoption of the Nurse Licensure Compact and implement model agreements to expedite the licensure process and eliminate redundancies associated with applying for physician licenses in multiple jurisdictions.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office of the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Office of Health Information Technology. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

For FY 2008, funding for the third and final year of the 3-year project period will be based upon an evaluation of the grantee's first and second year performance, the third year program design, and expenditure justifications. For FY 2009, funding will be based on selections made under a competitive review process. Final decisions are made by the Associate Administrator, Office of Health Information Technology, Health Resources and Services Administration, based on recommendations made by the Director, Office for the Advancement of Telehealth.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 4 to 5 months.

Appeals:

None.

Renewals:

Renewals have not been determined.

Formula and Matching Requirements:

There are no statutory formula or matching requirements for this program.

Length and Time Phasing of Assistance:

Competitions are conducted every three years, with up to a 3-year project period. For projects awarded under a competition, grants are made annually each year

for up to 3 years. Projects awarded in FY 2006 have a 3-year project period from FY 2006 through FY 2008 (subject to appropriations), and funding for FY 2008 reflects the third year of the 3-year period. A new competition will be conducted in FY 2009 for a 3-year project period, FY 2009 through FY 2011. Payments are made through an electronic transfer system or cash demand system.

Reports:

Annual progress and financial status reports are required 90 days from the end of the budget period, and the final performance report and final financial status report are due 90 days from the end of the project period. Progress reports are to be submitted every six (6) months.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

TNGP - (Grants) FY 07 \$3,946,209; FY 08 \$3,932,000; and FY 09 est \$4,000,000. TRCGP - (Grants) FY 07 \$1,800,000; FY 08 \$1,800,000; and FY 09 est \$1,800,000. LPGP - (Grants) FY 07 \$773,000; FY 08 \$700,000; and FY 09 est \$700,000.

Range and Average of Financial Assistance:

TNGP - In FY 06, the first year of the FY 2006-2008 award cycle, the financial assistance ranged from approximately \$226,333 to \$250,000. The average award was approximately \$243,845. In FY 07, the second year of the FY 2006-2008 award cycle, the financial assistance ranged from approximately \$205,360 to \$270,000. The average award was approximately \$246,638. Awards in FY 08 and FY 09 will range from \$210,000 - \$250,000. TRCGP - In FY 06, the first year of the FY 2006-2008 award cycle, for the Regional TRCs, the financial assistance ranged from approximately \$250,000 to \$310,000. The average award was approximately \$297,884. The National TRC received \$160,000. In FY 07, the second year of the FY 2006-2008 award cycle, for the Regional TRCs, the financial assistance was \$325,000 for each of the five grantees. The National TRC received \$175,000. Estimated awards for the Regional TRCs in FY 08 and FY 09 are \$325,000 for each grantee. The National TRC will receive approximately \$175,000. LPGP - In FY 06, the first year of the FY 2006-2008 award cycle, two grantees received \$342,500 each. In FY 07, the second year of the FY 2006-2008 award cycle, the two grantees received \$342,500 and \$344,814. Estimated Awards in FY 08 and FY 09 are \$350,000 for each grantee.

PROGRAM ACCOMPLISHMENTS:

For the FY 2006 - FY 2008 funding cycle, the Telehealth programs are building upon the projects' demonstrated skill in evaluation, providing healthcare, and, as appropriate, in legal and regulatory issues and in the provision of technical assistance. TNGP grantees are developing evaluation designs to measure process and outcomes. Quantitative outcomes will measure the following areas: impact on quality of care; appropriateness of use of the technology; whether access was improved; whether clinical outcomes were improved; and, how the cost of service delivery was affected in terms of efficiency and effectiveness of care. The TRCGP and LPGP are newly funded programs for FY 2006 and FY 2007. As such, these grantees are presently building organizational capacity. The TRCGP grantees are developing an extensive array of tools to provide technical assistance, and they are formulating an evaluation design to measure their impact on supporting the activities of existing or developing telehealth networks in their regions to meet the health care needs of rural or other populations to be served, including the improvement of access to services and the quality of the services received by those populations. The LPGP grantees are developing programs under which licensing boards of various States will cooperate to develop and implement State policies that will reduce statutory and regulatory barriers to telemedicine. These grants will continue to focus on licensure issues for physicians and nurses. The funded projects are to build on the first year of efforts to develop national models for addressing barriers to adoption of the Nurse Licensure Compact and implement model agreements to expedite the licensure process and eliminate redundancies associated with applying for physician licenses in multiple jurisdictions. The TNGP has been funded in past cycles. For the last full 3-year cycle of funding 15 grants were awarded from FY 2003 - FY 2006. With approximately \$3.75 million awarded to fund these 15 grants, the TNGP improved access to specialty services for residents of underserved rural communities. With a population of 3.8 million individuals who lived in these underserved communities, access to specialty care was

provided for less than \$1/person/year in TNGP program expenditures. Specialty services include mental health, diabetes, cardiology, dermatology, home health care and monitoring, pediatrics, radiology and many other specialties based on the needs of the particular community where the project is located. For further information on services and activities, see the OAT Grantee Directory at <http://telehealth.hrsa.gov/>. The TNGP has shown home care can improve efficiency by reducing nurse travel time, and individual grantees have reported savings in a variety of small scale studies across different applications. During the three year grant cycle FY 2003 - FY 2005, 7 grantees estimated that telehome care services saved over \$77,000 in salary and travel costs associated with the reduced need for nurses to travel. In addition, several TNGP grantees have demonstrated in small scale studies the efficiency of their telehealth programs in producing tangible savings. The University of Tennessee recently reported a 56 percent decrease in emergency room (ER) visits per month for its telehealth diabetes program patients and a 38 percent decrease in spending on clinic visits per month for telehealth patients. The University of Arkansas has shown that by tracking patient outcomes through its TNGP grant, it has saved the Arkansas Medicaid Program over \$14 million in emergency transports and medical costs by conducting telemedicine consults and assessments on high-risk obstetrics patients in rural areas. The Ohio Tele-mental Health Program reports that of the 709 telecommunication events recorded since the inception of the grant (clinical consultation, management, psychiatric services and education--48 of which were individual direct service encounters) have yielded a savings of \$213,530 in saved mileage and saved staff "downtime." Individual programs have reported a significant impact of the TNGP program on the sustainability of services. Programs in Arkansas, Missouri, Kentucky, and Arizona report a significant impact on their obtaining ongoing state funding. For example, the program in Arkansas reports that its demonstrable success significantly contributed to obtaining Medicaid reimbursement for the telehealth services, and the provision of these services resulted in measurable savings. The Kentucky Telehealth Network program has documented the extensive leveraging of TNGP dollars (for every dollar invested through the HRSA telehealth programs, the program has been able to generate almost \$7 dollars, primarily from the telehealth network sites themselves and the state.) Further, the TNGP is contributing to the ability of grantees to gain additional state and local support. The TNGP funded Kentucky Telehealth Network Program Kentucky has worked with the state legislature to create a statewide telehealth program, as has Missouri and Arizona. The University of Tennessee program reports that for every dollar provided by OAT grants, the program has been able to raise \$2, in large part through service contracts with state and local organizations, such as the Tennessee Department of Children and Family Services, the Tennessee Department of Health, Shelby County government, and the like.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Monica Cowan, Project Officer, Office for the Advancement of Telehealth, Office of Health Information Technology, 5600 Fishers Lane, Room 7C-26, Rockville, MD 20857. Telephone: (301) 443-0076.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The TNGP - University of Arkansas for Medical Sciences, Telehealth for Kids in Delta Schools (Telehealth KIDS), Little Rock, AR: The project's purpose is to work with local healthcare providers, facilities, parents and school staff to develop services and protocols that will complement and support local providers, strengthen existing referral patterns, and emphasize services that are currently unavailable in Lee County. The project will focus on children who currently have no doctor or who are currently unable to access available health care services due to poverty, lack of transportation, or complicating family issues. Specific needs identified by Lee County will target Asthma, Diabetes, Behavioral, and General Pediatric Health issues. The project links Whitten Elementary School and Lee High School into the existing network. Telehealth KIDS will use telehealth technology and Telehomecare monitoring to improve access and clinical outcomes, and overall health of Lee County Students. Telehealth KIDS will install interactive video systems. Desktop cameras will be purchased for behavioral consulting by health professionals. Interactive education units will be used at Lee County Health Unit and Lee High School. Telehomecare monitoring will be used for students suffering from asthma and diabetes. Home Health Monitors will be distributed to students' homes. Lee

County Schools are currently connected by T1 lines. The University of Arkansas for Medical Sciences competed and received funding in FY 2006 and FY 2007, and has been operational since 1995. Expected outcomes include reduction of hospitalization and emergency room visits, measuring the impact of telehealth program on controlling blood glucose levels in diabetic patients, collecting data to measure clear outcomes, including improving access for individuals that otherwise would not have such access, productivity, efficiency, dollars saved and quality of services. The project is developing a detailed evaluation and data plan that addresses the required performance measures. The TRCGP - The Great Plains Telehealth Resource and Assistance Center (TRAC) began in October of 2006 and is a partnership of Avera Health, South Dakota; The Evangelical Lutheran Good Samaritan Society, South Dakota; North Dakota State University Telepharmacy Network, North Dakota; Saint Elizabeth Health System, Nebraska; and University of Minnesota Telehealth Network, Minnesota. The Great Plains TRAC serves the five states of South Dakota, North Dakota, Minnesota, Iowa and Nebraska. Assistance will also be provided to other entities in other locations as requested or needed. This Regional TRC will increase telehealth utilization among rural and frontier health care providers by breaking down both geographic and experiential barriers. This center will serve telehealth programs in this region and nationally by focusing on individualized coaching services; providing information, assistance and direction as requested and needed; an on-line toolbox; and a regional telehealth conference. Services provided include: general one-to-one assistance and direction in topics such as telehealth policies/procedures, licensure, scheduling, evaluation, research, and others; on-line resource toolbox; annual regional conference; identify key regional issues; in general, help rural facilities acquire the skills/expertise to implement telehealth programs. The project outcomes are as follows: 1) To increase the knowledge of applications, practices and research findings relating to telehealth; 2) To increase the quality and quantity of standards-based information regarding the best utilization of telehealth technologies; 3) To increase the number of contacts initiated by providers; 4) To improve the skill level of key staff members to conceptualize, plan, implement and evaluate telehealth programs; and, 5) To increase the number of providers that utilize telehealth technology.

The LPGP - Two grantees are developing projects to support State professional licensing boards to carry out programs under which various States will cooperate to develop and implement State policies that will reduce statutory and regulatory barriers to telemedicine across state boundaries. The grantees are focusing on projects that will reduce impediments to the practice of telemedicine across state lines by physicians and nurses, respectively. The funded projects are to build on the first year of efforts to develop national models for addressing barriers to adoption of the Nurse Licensure Compact and implement model agreements to expedite the licensure process and eliminate redundancies associated with applying for physician licenses in multiple jurisdictions. The grants are intended to result in an expansion of existing state agreements for cross-state recognition of professional licenses.

CRITERIA FOR SELECTING PROPOSALS:

For FY 2008, non-competing continuation funding for Telehealth grantees will be based upon an evaluation of each of the grantee's first two years of performance, the third year program design, and expenditure justifications. For FY 2009, the Agency is developing program guidance to facilitate a competition for the 3-year FY 2009 through FY 2011 project period. (Note: The FY 2009 program guidance may include, under the TNGP provisions, a limited number of planning grants).

93.212 CHIROPRACTIC DEMONSTRATION PROJECT GRANTS

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Section 755(b)(3), 42 U.S.C. 294e, as amended; Health Professions Education Partnerships Act of 1998; Public Law 105-392.

OBJECTIVES:

Grants are awarded to carry out demonstration projects in which chiropractors and physicians collaborate to identify and provide effective treatment for spinal and lower-back conditions. The project requirements include: (1) The project must address the identification and treatment of spinal and/or lower-back conditions; (2) the project must be founded on collaborative efforts between chiropractors and allopathic or osteopathic physicians; (3) each project must include a strong research protocol which will result in a significant expansion of documented research in the area addressed and which is suitable for publication in referred health professions journals, including research oriented publications; (4) the project must include an explicit strategy for case-finding and a strategy for making direct comparisons to other forms of treatment. The results must be generalizable to patients cared for in a clinical practice addressing spinal and/or lower-back conditions; and (5) whenever feasible, minorities and women should

be included in study populations so that research findings can be of benefit to all persons at risk of the disease, disorder, or condition under study.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant and contract funds may be used for personnel, equipment, supplies, domestic travel, consultants and guest lecturers, rental of space, renovations, and other costs directly related to the project as described in the approved application. Program funds may not be used for patient care costs, construction of facilities, acquisition of land, foreign travel, or support of students, including fellowships, stipends, tuition, fees, or travel allowances.

Applicant Eligibility:

To be eligible for a Chiropractic Demonstration Project, the applicant shall be: a health professions school, an academic health center, or public or private nonprofit accredited schools of chiropractic.

Beneficiary Eligibility:

Health professionals will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov website at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award issued from the Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 5 to 6 months from receipt of application.

Appeals:

None.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted for up to five years of support.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project periods are for 5 years.

Reports:

The Uniform Progress Report (UPR) must be submitted annually. Financial status reports are required within 90 days after the end of each budget period. A final progress report and financial status report must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$1,802,228; FY 08 \$1,817,438; and FY 09 est \$1,817,438.

Range and Average of Financial Assistance:

\$234,633 to \$798,345; \$446,242.

PROGRAM ACCOMPLISHMENTS:

In FY 07 and FY08, four continuation awards were made. In FY 09, 4 new awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Jerald Katzoff, Program Officer, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Parklawn Building, Room 9A-21, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-4443.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funded projects include research projects including interdisciplinary evaluation of elderly back pain treatment outcomes by medical doctors and chiropractors; chiropractic treatment and exercise for geriatric patients with low back pain; and chiropractic treatment and exercise for geriatric patients with neck pain.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by an Objective Review Committee to determine the extent to which the applicant documents the following criteria: (1) Need; (2) Response; (3) Evaluative Measures; (4) Impact; (5) Resources/Capabilities; and (6) Support Requested.

93.213 RESEARCH AND TRAINING IN COMPLEMENTARY AND ALTERNATIVE MEDICINE

(National Center for Complementary and Alternative Medicine)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 222 and 404E; Public Law 103-43, 42 U.S.C. 217a and 283g, as amended; Public Law 92-463, as amended.

OBJECTIVES:

To evaluate alternative, complementary, or unconventional medical treatments. The following objectives support this goal: (1) coordinate and facilitate the investigation of alternative medical practices through peer-reviewed grant solicitations; (2) interface with the Center's National Advisory Council; (3) conduct technology assessment conferences for the purpose of establishing areas of Clinical and Pre-clinical research that need to be further developed within Alternative Medicine; (4) maintain a comprehensive bibliographic data base in conjunction with the National Library of Medicine; (5) develop and continue contacts with international programs in complementary and alternative medicine (CAM); and (6) establish an intra- and extra-mural clinical research fellowship program focusing on broad areas of clinical, scientific, and administrative training in CAM. The major purpose of all of these programs is to foster collaborations between practitioners of CAM and individuals knowledgeable in biomedical research. The National Center for Complementary and Alternative Medicine (NCCAM) regularly examines and redefines its research priorities. Priorities are set to fill gaps in the research portfolio, capitalize on emerging opportunities, and leverage resources. Using a formal semi-annual planning process, NCCAM considers the existing research portfolio, NCCAM's 5-year strategic plan, plans of other NIH institutes and centers, recommendations of the National Advisory Council for Complementary and Alternative Medicine, as well as input from expert panels and other stakeholders to set its research priorities. Mechanisms of Action: The research portfolio will have an increased emphasis on studies of the mechanisms underlying complementary and alternative medicine (CAM) approaches. The reasons for this emphasis are: (1) elucidating the underlying mechanisms of action of CAM therapies will facilitate their integration into conventional medical care; and (2) mechanistic studies of CAM therapies will improve the identification of key study endpoints and thus strengthen the design of CAM clinical trials. Exploratory Clinical Studies and Phase I and II Clinical Trials: The research portfolio will have an increased emphasis on exploratory, Phase I and Phase II studies of CAM. The objectives of this focus are to: (1) determine active ingredients, pharmacology, bioavailability, and optimal dosing; (2) obtain preliminary data on safety and efficacy; and (3) conduct exploratory clinical studies (e.g., case control, observational, qualitative and experimental studies) to elucidate mechanisms and test models. The outcomes of these studies will form the basis for designing larger trials with an enhanced ability to detect a meaningful positive effect, if any, of the CAM modality under study. Research areas currently of special interest are listed below: (1) anxiety and depression--basic and preclinical research on CAM approaches; (2) cardiovascular diseases--preclinical and early phase clinical studies of CAM approaches to secondary prevention and management of hypertension, atherosclerosis, and congestive heart failure; (3) Eehnomedicine-identification, description, and study of potentially valuable, vanishing traditional or indigenous health care practices in geographic areas

where there is little preservation; (4) immune modulation/enhancement-basic and preclinical studies of CAM approaches that may enhance or inhibit immune responses, including inflammation; (5) inflammatory bowel disease and irritable bowel syndrome-CAM approaches to these conditions; (6) insomnia-CAM approaches to primary and secondary insomnia; (7) liver-CAM approaches to liver diseases; (8) obesity/metabolic syndrome-CAM modalities, particularly mind-body treatments, as adjuvant therapies, especially with respect to the metabolic syndrome and type 2 diabetes; 9) respiratory diseases-CAM approaches to prevention and treatment of infectious respiratory diseases; and (10) NCCAM continues to be highly interested in research on minority and gender health and on health disparities. NCCAM continues to accept applications in areas not listed. All investigators are urged to discuss potential applications with the relevant NCCAM Program Officer.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Training.

USES AND USE RESTRICTIONS:

Project grants and cooperative agreements may be made to eligible institutions for the support of various projects in CAM. The grants may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals miscellaneous items, and indirect costs. For the individual post-doctoral training grant, support is for salary of the trainee, tuition and fees, self-only health insurance, research supplies, equipment, travel to scientific meetings, and related items.

Applicant Eligibility:

The awardee will be either a university, college, hospital, public agency, nonprofit research institution, or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

For-profit organizations costs are determined by in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. Costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q.

Preapplication Coordination:

No preapplication is required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

NIH is in the process of converting to SF424 (Research and Related (R&R)) forms and electronic submission through Grants.gov. Information on this plan and on registering for electronic submission is available at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-05-067.html>. Updates regarding the transition process are at <http://era.nih.gov/ElectronicReceipt/>.

Award Procedure:

Award Procedure: All accepted applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score ranging from the best (100) to worst (500) compete for available funds based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

New Grants and Cooperative Agreements: February 1, June 1, and October 1. Renewals and Supplements: March 1, July 1, and November 1. Postdoctoral Training, April 5, August 5, and December 5.

Range of Approval/Disapproval Time:

Grants and Cooperation Agreement: Approximately 10 months. Training: For 9 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Office. A description of the NIH Peer Review Appeal procedures is available on the NIH homepage www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grants and cooperative agreements: Average 3 to 4 years, maximum of 5 years with prior approval before submission. Renewals may be awarded for additional periods of up to 5 years (with prior approval before submission) based on competitive peer review. Funds are provided through Monthly Demand Payment System or an Electronic Transfer System.

Reports:

Progress reports are required each year. Annual financial status report is required

90 days after the end of the budget period. Special reports may be requested by the DHHS. Terminal reports are required 6 months after the end of the project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0896-0-1-552.

Obligations:

(Grants) FY 07 \$85,484,000; FY 08 est \$85,538,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$100,000 to \$1,100,000 for grants; \$293,000 average. (\$26,000-\$233,000 for training.)

PROGRAM ACCOMPLISHMENTS:

It is estimated that 326 grants will be funded in fiscal year 2007 and 351 grants in fiscal year 2008. Currently, five developmental centers are supported that incorporate close collaborations between CAM and conventional institutions. One of these developmental centers is at a school of osteopathic medicine, one at a school of chiropractic medicine, and one at a school of traditional Chinese medicine (e.g., acupuncture). In addition, developmental centers are funded to study mind/body medicine and botanical products. NCCAM, in collaboration with the NIH Office of Dietary Supplements, supports seven Centers for Dietary Supplements Research. Investigators at these seven centers are investigating the role, if any, of dietary supplements to treat and/or prevent age related diseases, cardiovascular disease including metabolic syndrome, and women's health issues. Several large clinical trials were in progress including: Hypericum for Minor Depression; Ginkgo biloba for Preventing Dementia; Saw Palmetto for benign prostatic hyperplasia; EDTA Chelation therapy for Coronary Artery Disease; Acupuncture to treat chronic back pain; and Soy supplements to treat atherosclerosis. Numerous investigator-initiated research grants were studying the breath of CAM modalities including clinical and basic studies of acupuncture, botanicals, chiropractic, homeopathy, massage therapy, naturopathy, Reiki, and Tai Chi to name a few.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241.

Regional or Local Office:

Not applicable.

Headquarters Office:

Division of Extramural Research and Training, National Center for Complementary and Alternative Medicine, National Institutes of Health, Department of Health and Human Services, 6707 Democracy Blvd. Suite 401, Bethesda, MD 20892-5475. Telephone: (301) 496-4792 Fax: (301) 402-4741.

Web Site Address:

<http://nccam.nih.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Centers program is supporting a variety of on-going studies including the use of Mindfulness-Based Stress Reduction, a meditative approach, to help reduce stress and better manage emotions, in people with early stage HIV. Specifically, the effects of meditation on the immune system will be studied to see if meditation can help slow disease progression and delay the need for antiretroviral treatment. Center investigators will evaluate whether certain traditional Chinese medicines and an electrical acupuncture technique can be used to prevent addiction relapse and craving for alcohol and drugs of abuse. They will also seek to determine the physiological mechanisms by which these Chinese medical treatments affect addictive behavior. Several centers will be exploring the mechanisms of action of acupuncture using both animal models and human studies employing state-of-the-art real-time imaging technology. Other studies will examine the potential use of antioxidant CAM therapies for asthma. Researchers will investigate whether these antioxidant treatments can minimize airway inflammation in response to common triggers of asthma, such as ozone or inhaled allergens. Also explored will be the use of mushroom extracts to enhance the immune system's response to tumors, and the healing power of "placebo" particularly strategies to maximize placebo's benefits, improve clinical trial design, and gain insight into mechanisms underlying mind-body medicine. Finally, center investigators will study the effects of osteopathic

manipulation on the musculoskeletal system, lymphatic fluid flow, and reduction of pain from back and neck strain. NCCAM is supporting several large, multisite trials designed to provide critical information for patients and providers. (1) Ginkgo Evaluation on Memory Study. This is a 10-year primary prevention trial testing whether Ginkgo biloba prevents the onset of Alzheimer's Disease or other types of Dementia. (2) Trial to Assess Chelation Therapy. This is a 8-year secondary prevention trial testing whether EDTA chelation therapy and/or high-dose vitamins prevent the onset of a subsequent MI in people with coronary artery disease. (3) Acupuncture for Low Back Pain - a 5-year treatment trial comparing individualized acupuncture treatments and standardized acupuncture treatments to both sham controls and usual conventional care in people who have had low back pain for at least three months.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; (6) the relevance and importance to the announced program objectives; and (7) the interfacing of conventional researchers and technology with unconventional/alternative clinicians for the purpose of providing clinical and scientific collaborations when and where appropriate.

93.217 FAMILY PLANNING-SERVICES

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Title X, Section 1001, 42 U.S.C. 300; Family Planning Services and Population Research Act of 1970, Section 6(c), Public Law 91-572, 84 Stat. 1506, as amended; Family Planning and Population Research Act of 1975, Title II, Sections 204(a) and (b), Public Law 94-63, 89 Stat. 307-308; Public Health Service Extension Act, Section 1(a)(1), Public Law 95-613, 92 Stat. 3093; Appropriation Act of 1991, Public Law 101-517.

OBJECTIVES:

To provide educational, counseling, comprehensive medical and social services necessary to enable individuals to freely determine the number and spacing of their children, and by so doing helping to reduce maternal and infant mortality, promote the health of mothers, families and children.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants must be used for family planning services including contraceptive services, infertility services and special services to adolescents. Family planning services include information on all medically approved methods of contraception including natural family planning methods; counseling services, physical examinations including cancer detection and laboratory tests; STD and HIV prevention education, screening and referral; contraceptive supplies, and periodic follow-up examinations. Infertility services include assessment, information, education, and arrangements for referral if necessary. Special services to adolescents include in-depth information, education including abstinence education counseling, and referral to and from other social and medical service agencies, and such ancillary services as are necessary to facilitate clinic attendance for adolescents who need contraceptive services. These family planning services must be available without coercion and with respect for the privacy, dignity, social and religious beliefs of the individuals being served. To the extent possible, entities which receive grants under this subsection shall encourage family participation in projects assisted under this subsection. Funds may not be used in programs where abortion is a method of family planning. Funds may not be used for purchase or construction of buildings; salaries of personnel paid from other Federal grant funds; and certain other miscellaneous items as specified in the regulations. Priority in the provision of services will be given to persons from low-income families.

Applicant Eligibility:

Any public (including city, county, local, regional, or State government) entity or nonprofit private entity located in a State (including the District of Columbia, Puerto Rico, Guam, the Commonwealth of the Northern Mariana Islands, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Republic of Marshall Islands and the Republic of Palau) is eligible to apply for a grant. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Persons who desire family planning services and who would not otherwise have access to them. Priority to be given to persons from low-income families. Individuals from other than low-income families will be charged a fee in accordance with an established fee schedule although inability to pay must not be a deterrent to services.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status.

Allowability of costs charged to the grant will be determined in accordance with the following cost principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular No. A-122 for non-profit organizations.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the Public Health Systems Reporting Requirements.

Application Procedure:

The standard application forms, as furnished by PHS and required by 45 CFR 74 (for institutions of higher education, hospitals, and other non-profit organizations), and 45 CFR 92 (for state and local governments) must be used for this program. All other applicants should submit completed Form OPHS-1, (Revised 6/2001), U.S. Department of Health and Human Services Public Health Service Grant Application, documenting the need for the grant and the proposed amount of the grant. The Regional Health Administrator has approval authority for the program. Contact should be made with the appropriate Regional Health Administrator (see name/address in Appendix IV) to obtain the Application Kit. This program is subject to the provisions of 45 CFR 74, 45 CFR 92, as well as OMB Circular No. A-102 (Administrative Guidelines for state and local governments) and OMB Circular No. A-110 (Administrative Guidelines for Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations).

Award Procedure:

Following approval by the Regional Health Administrator, the Office of Grants Management, OPHS prepares a Notice of Grant Award, secures necessary clearances and approval signatures, issues the Notice of Grant Award, enters the approved award in the grant payment process, and provides notification of grant approval to the public.

Deadlines:

Contact the Regional Offices for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 270 days.

Appeals:

None.

Renewals:

Projects are generally given commitments of funding for 3 to 5 years. Projects must submit a continuation application each non-competitive year.

Formula and Matching Requirements:

This program has no statutory formula. The amount of any award under Title X shall be determined on the basis of the estimate necessary for project performance, provided, however, that the Federal support or grant for a Family Planning Services Project may not be for less than 90 percent (with exceptions) nor equal 100 percent of the total cost of the project.

Length and Time Phasing of Assistance:

Usually 1 year.

Reports:

Annual expenditure and progress reports. All projects must comply with the requirements of the FPAR, and special reports as requested.

Audits:

In accordance with the provisions of OMB Circular No. A - 133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A - 133.

Records:

HHS and the Controller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53, and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$253,643,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$47,791 to \$16,962,215; \$2,519,960.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 59, 45 CFR 74, 45 CFR 92, PHS Grants Policy Statement, DHHS Publication No. (OASH) 94- 50,000, (Rev.) April 1, 1994.

Regional or Local Office:

Regional Health Administrator, DHHS Regional Offices (See Appendix IV of the Catalog for addresses).

Headquarters Office:

Program Contact: Ms. Susan Moskosky, Director, Office of Family Planning, Office of Population Affairs, Department of Health and Human Services, 1101 Wootton Parkway, Suite 700, Rockville, MD 20852. Telephone: (240) 453-2888. Grants Management Contact: Karen Campbell, Director, Office of Grants Management, Office of Public Health and Science, Department of Health and Human Services, 1101 Wootton Parkway, Suite 550, Rockville, MD 20853. Telephone: (240) 453-8822.

Web Site Address:

<http://www.opa.osophs.dhhs.gov>.

RELATED PROGRAMS:

93.260, Family Planning Personnel Training; 93.974, Family Planning Service Delivery Improvement Research Grants.

EXAMPLES OF FUNDED PROJECTS:

Projects include: (1) A State Health Department which subcontracts to service providers throughout an entire State; and (2) a service provider to serve multi-counties or a smaller geographic area.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be evaluated in terms of which will best promote the purposes of the authorizing legislation, taking into account: (1) the number of patients to be served, especially low-income patients; (2) local need; (3) relative need of the applicant; (4) applicant's ability to make rapid and effective use of Federal assistance; (5) adequacy of the applicant's facilities and staff; (6) availability and commitment of nonfederal resources within the community; and (7) compliance with pertinent regulations and guidelines.

93.220 CLINICAL RESEARCH LOAN REPAYMENT PROGRAM FOR INDIVIDUALS FROM DISADVANTAGED BACKGROUNDS

(NIH Clinical Research Loan Repayment Program; CR LRP)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title IV, Subtitle D, Part G, Section 1631, Public Law 103-43, 107 Stat. 185, 42 U.S.C. 288-5, Public Law 105-392.

OBJECTIVES:

To recruit and retain health professionals from disadvantaged backgrounds to conduct clinical research at the National Institutes of Health (NIH) by providing for the repayment of educational loans for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in clinical research as employees of the NIH for a minimum two-year period.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged, as employees of the NIH, in clinical research. Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. One-year continuation contracts are available, dependent upon the level of debt and continued involvement in clinical research. Maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the Program participants.

Applicant Eligibility:

Eligible applicants must: (1) Be a citizen, national, or permanent resident of the United States; (2) possess a M.D., Ph.D., D.O., D.D.S., D.M.D., D.V.M., D.P.M., A.D.N., B.S.N., or equivalent degree; or hold the position of Physician Assistant; (3) come from a disadvantaged background as determined by the Secretary of Health and Human Services; (4) have qualified educational debt, which results from governmental or commercial loans obtained to support their undergraduate and/or graduate education, in excess of 20 percent of their annual NIH salary on the program eligibility date; (5) be appointed under any temporary or permanent employment mechanism in the Intramural Research Program of the NIH, so long as their employment has the potential to last a minimum of 2 years; (6) are not eligible to participate in the CR-LRP if they have an existing service obligation to Federal, State, or other entities, until such obligation is discharged

or unless it is deferred during the period of program service; (7) submit an application to participate in the CR-LRP; and (8) sign and submit to the Secretary of Health and Human Services, at the time of agreeing to accept repayment of educational loans, a contract agreeing to engage in clinical research as an employee of the NIH for a minimum of 2 years.

Beneficiary Eligibility:

Clinical researchers from disadvantaged backgrounds who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) Copies of loan applications and agreements from governmental or commercial educational loans which are being submitted for repayment; (2) copies of the standard student budget from each school attended during period when debt was incurred; (3) copies of recommendations, special skills, certifications, or other copies of loan documentation; (4) certification from the school(s) attended that the applicant qualified for participation in an educational assistance program for individuals from disadvantaged backgrounds which are sponsored by the Secretary of Health and Human Services or self-certification describing circumstances which qualify him/her under the Secretary's definition of an individual from a disadvantaged background; and (5) other documentation as may be required by law.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must access and submit the Loan Repayment Program application electronically via the website www.lrp.nih.gov; seek qualified employment with a sponsoring Institute or Center (IC) of the NIH. Once an application has been submitted, the Loan Repayment Committee reviews it at a regularly scheduled review meeting and a determination of loan repayment recipients is made.

Award Procedure:

The NIH Loan Repayment Committee (LRC) will review, rank, and approve or disapprove applications recommended for program participation by each Institute or Center (IC). Applications approved for program participation by the LRC will be notified by the Program Director. The Secretary, or his designee, will sign the service contract of program participants, provide a copy to the participant, and notice of the amount of approved loan repayment.

Deadlines:

Review the Loan Repayment Program website www.lrp.nih.gov, or contact the Headquarters Office listed below for the application deadlines.

Range of Approval/Disapproval Time:

The approximate time for approval/disapproval is 3 months.

Appeals:

Employees of the NIH who are not approved as a result of this process may ask for reconsideration through the standard appeal procedures available to employees.

Renewals:

At the conclusion of the initial 2-year contract, participants may apply and be recommended for subsequent 1-year continuation contracts under the application and approval procedures specified above.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One-year, continuation contracts are available for individuals whose educational debt exceeds the maximum repayable amount for 2 years and whose renewal ranking scores within the funding range. Payments are made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services.

Reports:

Program participants must be certified periodically to have satisfactorily performed the duties of their positions.

Audits:

None.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-6846-0-1-552.

Obligations:

(Loan Repayments) FY 07 \$300,070; FY 08 est \$360,724; and FY 09 est \$360,724.

Range and Average of Financial Assistance:

For initial 2-year contracts, loan repayments range from \$68,000 to \$70,000. Tax reimbursements range from \$36,000 to \$40,000. The average contract cost which includes loan and tax reimbursement is \$109,350.

PROGRAM ACCOMPLISHMENTS:

Eight contracts were awarded in fiscal year 2007. It is projected that 7 awards will be made in fiscal year 2008, and 7 awards are projected in fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 103-43, Section 1631; Section

487E of Public Health Service Act (42 U.S.C. 288-5); Public Law 105-392; Federal Register, Volume 59, No. 201, October 19, 1994; 42 CFR Part 68a Federal Register, Volume 63, No. 210, October 30, 1998.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program and Business Contact: Patricia A. Cole, Ph.D., Director Intramural Loan Repayment Programs, National Institutes of Health, Building 2, Room 2E18, 2 Center Drive, Bethesda, MD 20892-0230. LRP Helpline Telephone: (866) 849-4047. Facsimile: (866) 849-4046. Email: lrp@nih.gov .

Web Site Address:

www.lrp.nih.gov .

RELATED PROGRAMS:

93.936, National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program; 93.162, National Health Service Corps Loan Repayment Program; 93.164, Indian Health Service Educational Loan Repayment; 93.342, Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students; 93.364, Nursing Student Loans.

EXAMPLES OF FUNDED PROJECTS:

Clinical and basic research to evaluate the use of a PET imaging agent, Tc-94m sestamibi, which will allow greater resolution and quantitation and thereby make direct quantitative comparisons of tumor uptake before and after treatment with antagonists possible. Clinical and basic research designed to develop better methods for detection, prevention and therapy for patients with kidney cancer. Research on the molecular genetics and protein chemistry of tumor suppressor genes and in particular, the VHL gene; and studies into the treatment of adult solid tumors, and laboratory research projects in tumor immunology and morphology.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are approved for participation in the NIH Clinical Research Loan Repayment Program after the LRC determines that the applicant will conduct qualified clinical research and qualifies as being from a disadvantaged background. LRC approval, in part, is based upon the scientific merit of the research and the credentials of the applicant as they relate to performing qualified clinical research.

93.223 DEVELOPMENT AND COORDINATION OF RURAL HEALTH SERVICES

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act.

OBJECTIVES:

To (1) Build infrastructure through workshops, conferences, etc., (2) develop and provide information to a wide audience, (3) increase awareness of Federal and State resources, and (4) build partnerships between Federal and State governments.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for carrying out the approved activities in accordance with the intent of the cooperative agreement as stipulated in the notice of grant award.

Applicant Eligibility:

Nonprofit private organizations that represent national, State and local rural health constituencies. The applicant must represent National, State, and local constituency groups who are interested in and committed to improvements in rural health care.

Beneficiary Eligibility:

Underserved populations in rural areas and rural health care providers wishing to expand their services. Rural health care advocacy groups and their constituents.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Office of Rural Health. The Associate Administrator has the authority to make final selections for

awards.

Award Procedure:

Applications are reviewed by Federal and nonfederal experts in rural health care. Applications are calculated for merit and are recommended for approval or disapproval. Final decisions are made by the Director, Office of Rural Health Policy.

Deadlines:

www.hrsa.gov .

Range of Approval/Disapproval Time:

From 3 to 4 months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made annually for a project period of 5 years.

Reports:

A progress report and a financial status report are to be submitted within 90 days after the close of the budget period. A final performance report and financial status report are due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$300,000; FY 08 est \$270,000; and FY 09 est \$300,000.

Range and Average of Financial Assistance:

Average award is \$300,000.

PROGRAM ACCOMPLISHMENTS:

One noncompeting continuation award was funded in FY 07.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

The Office of Rural Health Policy, Health Resources and Services Administration, Parklawn Building, Room 9A-55, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch; Telephone: (301) 443-2385; Research and Training Branch; Telephone: (301) 443-3099; Government and Special Focus Branch; Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.913, Grants to States for Operation of Offices of Rural Health.

EXAMPLES OF FUNDED PROJECTS:

National and regional meetings of State Offices, meetings and support for the National Recruitment Network, technical assistance to States and local organizations, and funding for five EMS/GIS mapping collaborative projects.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed and rated on the applicant's ability to address the following areas: (1) Developing and disseminating knowledge through the solicitation, review, selection, compilation, and circulation of manuscripts derived from relevant research conducted on rural health issues; (2) organizing, publicizing, and managing meetings to enhance the dissemination of information on rural health care issues, including minority rural health, rural health clinics, and recruitment and retention of health care professionals in rural areas; (3) providing technical and other nonfinancial assistance to State offices of rural health to increase their capability to serve rural residents; (4) developing a network to promote recruitment and retention of health professionals in rural areas; and (5) providing updated information to the public about rural health clinics.

93.224 CONSOLIDATED HEALTH CENTERS (COMMUNITY HEALTH CENTERS, MIGRANT HEALTH CENTERS, HEALTH CARE FOR THE HOMELESS, PUBLIC HOUSING PRIMARY CARE, AND SCHOOL BASED HEALTH CENTERS)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 330(e),(g),(h),(i).

OBJECTIVES:

To improve the health of the Nation's underserved communities and vulnerable populations by assuring access to comprehensive, culturally competent, quality primary health care services. Individual health center grant mechanisms include: (1) Community Health Centers; (2) Migrant Health Centers; (3) Health Care for the Homeless; and (4) Public Housing Primary Care Program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Applications should be designed to improve the availability, accessibility and provision of primary health care services. Funds may not be used for inpatient services, or to make cash payments to intended recipients of services.

Applicant Eligibility:

Eligible applicants are public and non-profit private entities, including tribal, faith-based and community-based organizations that have the capacity to effectively administer the grant.

Beneficiary Eligibility:

Population groups in medically underserved areas.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office of the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov website at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for Bureau of Primary Health Care. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Each applicant will receive written notification of the outcome of the objective review process, including summary of the expert committee's assessment of the application's merits and weaknesses, and whether the application was selected for funding. Applicants who are selected for funding may be required to respond in a satisfactory manner to Conditions placed on their application before funding can proceed. Letters of notification do not provide authorization to begin performance. The Notice of Grant Award, which is signed by the Grants Management Officer and is sent to the applicant agency's Authorized Representative, is the authorizing document.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

Awards to support projects beyond the first budget year will be contingent upon Congressional appropriation, compliance with applicable statutory and regulatory requirements, demonstrated organizational capacity to accomplish the project's goals, and a determination that continued funding would be in the best interest of the government.

Formula and Matching Requirements:

These programs have no statutory formula for the amount of the grant. The amount is negotiated based on costs of the proposed grant activities.

Length and Time Phasing of Assistance:

Awards are made annually. After Notices of Grant Award are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System.

Reports:

Grantees are required to submit an annual report that describes the costs of its operations, the patterns of use of its services and the availability, accessibility and acceptability of its services provided under the grant, and provide such other

information as the Secretary determines appropriate. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and progress reports are due 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$1,755,000,000; FY 08 \$1,821,000,000; and FY 09 est \$1,875,000,000.

Range and Average of Financial Assistance:

\$200,000 to \$10,000,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, the Consolidated Health Centers programs served over 16 million patients.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

Headquarters Program Office: Bureau of Primary Health Care, HRSA, 5600 Fishers Lane, Rockville, MD 20857. Eastern Division: (301) 594-4488; Central Mid-Atlantic Division: (301) 594-4420; Western Division: (301) 480-1130.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16, Rockville, MD 20857. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

<http://www.hrsa.gov>.

RELATED PROGRAMS:

93.224, Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers).

EXAMPLES OF FUNDED PROJECTS:

Community health centers; county health departments, and other community-based organizations.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are available in the funding opportunity guidance document. In addition, all applicants are expected to demonstrate compliance with applicable requirements including the Health Center Program Expectations. In addition to these general requirements, there are specific requirements and expectations for applicants requesting funding under each of the section 330 programs. Applicants are strongly encouraged to demonstrate compliance with these requirements and expectations in the application. Applicants requesting funding under multiple programs are expected to demonstrate compliance with the expectations and requirements of all of those programs.

93.225 NATIONAL RESEARCH SERVICE AWARDS- HEALTH SERVICES RESEARCH TRAINING

FEDERAL AGENCY:

AGENCY FOR HEALTHCARE RESEARCH AND QUALITY,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

42 U.S.C. 288, Section 487 of the Public Health Service Act.

OBJECTIVES:

To provide predoctoral and postdoctoral training opportunities in health services research. Individual fellowships will be awarded directly to applicants for postdoctoral research training. Agency for Healthcare Research and Quality (AHRQ) also cosponsors a predoctoral fellowship program with NIH to enhance diversity in research. Domestic institutions will also be awarded grants to enhance predoctoral and post doctoral training programs and provide support to trainees selected by them. The purpose of these awards is to provide fellows and trainees with both academic training and actual experience in the application of research methods to the systematic analysis and evaluation of the organization,

financing, utilization, and delivery of health care services.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Fellowship support is available for full-time health services research training. An institutional allowance is provided to the sponsoring institution for each fellow. Also, research training grants are awarded to institutions to provide support to trainees selected by the training program director at the grantee institution. This support is available for predoctoral and postdoctoral trainees. Offsets for tuition and fees are allowable for trainees, and an amount for institutional expenses will be provided. Facilities and administrative costs may be requested at 8 percent of allowable direct costs, or the negotiated facilities and administrative cost rate, whichever is less. Postdoctoral fellows and trainees in the first 12 months of postdoctoral NRSA support incur one month of payback obligation for each month of support. The thirteenth and subsequent months of postdoctoral NRSA support are considered payback service for prior postdoctoral support. Predoctoral fellows and trainees do not incur a service payback obligation. Discretionary funds are available.

Applicant Eligibility:

Domestic public or private nonprofit organizations or institutions may apply for training grants. The applicant institutions must have or expand training programs designed to develop competent investigators in the methods and techniques of conducting health services research. State or local governments and U.S. Territories are eligible. Profit-making organizations are not eligible. Individual applicants for postdoctoral fellowships must have received a clinical or research doctoral degree. Individual applicants for predoctoral fellowships must be from ethnic/racial groups that have been determined to be underrepresented in biomedical or behavioral research. All persons supported as fellows or trainees must be citizens or noncitizen nationals of the United States, or have been lawfully admitted for permanent residence.

Beneficiary Eligibility:

Individuals and public or private nonprofit organizations or institutions, including State or local governments and U.S. Territories, are the beneficiaries of this program.

Credentials/Documentation:

An individual applicant for a postdoctoral fellowship must document receipt of a doctoral degree and acceptance by an appropriate institutional sponsor. An individual applicant for a predoctoral fellowship must have completed their bachelor's degree and document their acceptance in a research doctoral degree granting program by an appropriate institutional sponsor. Institutional applicants for training grants must document their research and training experiences, staff, curriculum, facilities, and other resources available. Costs will be determined in accordance with HHS Regulations 45 CFR, Part 74.

Preapplication Coordination:

While there is no program requirement for preapplication, informal preapplication consultation to prospective applicants is available upon request to the Agency for Healthcare Research and Quality (AHRQ) and is recommended. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application forms and information about health services research areas being supported are available from AHRQ. Individual fellowship applicants should submit Form PHS 416-1, (Rev. 10/05). Institutions should submit Form PHS 398 (Rev. 9/04). For State agencies, no State Plan is required. For State and local governments, the standard forms, as furnished by PHS and required by 45 CFR, Part 92, may be used. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Applications are reviewed for scientific merit by a committee including nonfederal consultants who are selected from appropriate scientific fields. AHRQ considers the recommendations of the review committee, program interest, and the availability of funds in the final selection of grantees. When such decisions are made, applicants are notified and a Notice of Grant Award is issued. Before receiving support, all individual fellows and institutional postdoctoral trainees must submit required forms, including a payback agreement.

Deadlines:

Contact Headquarters Office for deadline dates for Institutional Training Grants. Individual applications for predoctoral and postdoctoral fellowships are due: July 23, November 23, and March 23.

Range of Approval/Disapproval Time:

From 5 to 6 months from receipt of application.

Appeals:

None.

Renewals:

If additional support is desired to continue a grant beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Generally, no individual may receive more than 3 years of aggregate National Research Service Award support at the postdoctoral level, or 5 years at the predoctoral level, including any combination

of support from institutional and individual awards.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Individual fellowship support at the postdoctoral level is generally limited to 3 years and at the predoctoral level to 5 years. Institutional training grants may be awarded for project periods up to 5 years. Awards are made on an annual basis and it is expected that obligations will be made within the budget period awarded. After awards are issued, funds are released in accordance with the payment procedure established by the grantee with DHHS, which may be an Electronic Transfer System or a Monthly Cash Request system.

Reports:

Annual and final progress reports are required. For Institutional Training Grants, a final progress report is due at the close of the project period. Annual Financial Status Reports are also required for Institutional National Research Service Awards. These Financial Status Reports must be submitted within 90 days after the close of each budget period. Annual reports of activities for tracking payback provisions are required of all fellows and trainees upon termination of support.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants records are subject to audit and inspection by Federal auditors.

Records:

Records must be retained for at least three years; records shall be retained beyond the three year period if audit findings have not been resolved. Records relating to individual payback obligations are retained for one year after the individual has fulfilled or has been excused from fulfilling the payback obligation.

Account Identification:

75-1700-0-1-552.

Obligations:

(Grants) FY 07 \$7,713,000; FY 08 est \$7,820,000; and FY 09 est \$7,820,000.

Range and Average of Financial Assistance:

(Individuals) \$35,000 to \$65,000; \$45,000. (Institutions) \$120,000 to \$500,000; \$300,000. These figures are total costs (stipends plus associated costs).

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 3 new and 3 continuing individual fellowships were funded and 27 continuing institutional training grants were funded. It is estimated that 6 individual fellowships and 25 institutional training grants will be awarded in fiscal year 2008. In fiscal year 2009, it is estimated that the same number of fellowships and training grants will be awarded as in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 42 CFR Part 66; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Agency for Healthcare Research and Quality (AHRQ), Department of Health and Human Services, 540 Gaither Road, Rockville, MD 20850. Program Contact: Shelley Benjamin (Telephone: (301) 427-1528) or Brenda Harding (Telephone: (301) 427-1527), Division of Research Education. Grants Management Contact: Al Deal, Grants Management Specialist (Telephone: (301) 427-1806). Use the same number for FTS.

Web Site Address:

<http://www.ahrq.gov>.

RELATED PROGRAMS:

93.226, Research on Healthcare Costs, Quality and Outcomes.

EXAMPLES OF FUNDED PROJECTS:

Support is provided for training in the following areas: health services research, research methods (including qualitative research), epidemiology, biostatistics, geriatrics, health administration and public health, medical information sciences, health policy and management, organizational behavior, clinical outcomes and effectiveness, primary care, health care quality, health economics and financing, child health, and vulnerable populations.

CRITERIA FOR SELECTING PROPOSALS:

For Individual fellowship applications, the following review criteria will be used:

(1) The applicant's past academic and research accomplishments; (2) research goals; (3) research training proposed; (4) qualifications of the sponsor; (5) appropriateness of training environment; and (6) reference reports. For Institutional training grant applications, the following review criteria will be used: (1) goals of the proposed training and probability of achievement; (2) substantive content, including courses offered; (3) qualifications and responsibilities of program director and faculty; (4) ability to recruit trainees; (5) extent of institutional participation through provision of necessary space, curriculum time, financial support, and appropriate facilities; (6) documented

cooperation of related agencies in providing research experience; (7) proposed number of students; (8) methods for monitoring and evaluating trainees; and (9) reasonableness of budget. In all cases, AHRQ will consider the recommendations of the review committee, the Council program interests, and availability of funds in making the final selection of grantees.

93.226 RESEARCH ON HEALTHCARE COSTS, QUALITY AND OUTCOMES

FEDERAL AGENCY:

AGENCY FOR HEALTHCARE RESEARCH AND QUALITY,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

42 U.S.C. 299 ff., Title IX of the Public Health Service Act.

OBJECTIVES:

To support research and evaluations, demonstration projects, research networks, and multidisciplinary centers and to disseminate information on health care and on systems for the delivery of such care involving: (1) The quality, effectiveness, efficiency, appropriateness and value of health care services; (2) quality measurement and improvement; (3) the outcomes, cost, cost-effectiveness, and use of health care services and access to such services; (4) clinical practice, including primary care and practice-oriented research; (5) health care technologies, facilities and equipment; (6) health care costs, productivity, organization, and market forces; (7) health promotion and disease prevention, including clinical preventive services; (8) health statistics, surveys, database development, and epidemiology; and (9) medical liability. In support of this research, the Agency has a special interest in health care and its delivery in the inner city, in rural areas, and for priority populations (low-income groups, minority groups, women, children, the elderly, and individuals with special health care needs).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The research should contribute to the health services knowledge base from which empirically based information can be derived by policymakers, both immediately and over the coming decades. Grants include funds for direct costs (such as personnel, travel, equipment, or supplies) necessary to carry out an approved project as well as funds for the reimbursement of applicable facilities and administrative costs. Unallowable costs, as well as those for which prior written approval is required, are indicated in the PHS Grants Policy Statement. Discretionary funds are available.

Applicant Eligibility:

Federal, State or local government agencies, federally-recognized Indian Tribal Governments, U.S. Territories, sponsored organizations, non-government organizations, minority groups, specialized groups, public or private institutions of higher education, and other public or nonprofit private agencies, institutions, or organizations. Research project grants may also be awarded to individuals. For-profit organizations are eligible to apply for these grants only if "cooperative agreement" is the designated funding mechanism. Organizations described in section 501(c)4 of the Internal Revenue Code that engage in lobbying are not eligible.

Beneficiary Eligibility:

Federal, State or local government agencies, federally-recognized Indian Tribal Governments, public or private nonprofit institutions, U.S. territories, Native American organizations, sponsored organizations, consumers, students, minority groups, specialized groups, health or education professionals, individuals, scientist/researchers, and the general public.

Credentials/Documentation:

Nonprofit organizations must submit proof of their nonprofit status when applying for grants. Costs will be determined in accordance with DHHS Regulations 45 CFR 74.

Preapplication Coordination:

There is no program requirement for preapplication. Informal preapplication consultation to prospective applicants is available upon request, but is not required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be submitted in response to a Funding Opportunity Announcement (FOA). All FOAs are posted on www.Grants.gov. Refer to the FOA of interest for specific requirements regarding the application procedure as AHRQ is in the process of transitioning to the new SF-424 Research and Related (R&R) grant application form and to electronic submission through www.Grants.gov for competing awards. For FOAs that have not yet been transitioned to electronic submission through Grants.gov, nongovernmental applicants should submit using Form PHS-398 (Rev. November 2007); State and local governments may submit using the standard application forms, as furnished by PHS and required by 45 CFR Part 92. For State agencies, no State Plan is required. Applications are reviewed and evaluated by a group composed primarily of nonfederal scientists. The review is conducted by a panel of experts in the specific study area proposed. This program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110

for nonprofit organizations.

Award Procedure:

Following review for scientific merit by a group composed primarily of nonfederal scientists, grant applications may be reviewed by the National Advisory Council for Healthcare Research and Quality, after which AHRQ makes final decisions to support approved applications. When such decisions are made, applicants are notified directly by AHRQ staff and all required steps are taken to issue the Notice of Grant Award.

Deadlines:

Deadlines for new grants vary by program and mechanism. Refer to www.Grants.gov and the specific FOA to which you plan to apply.

Range of Approval/Disapproval Time:

From 6 to 9 months from receipt of application.

Appeals:

None.

Renewals:

If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants may be approved for project periods up to 5 years. Awards are made on an annual basis and it is expected that obligations will be made within the budget period awarded. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cash Request System.

Reports:

Progress reports are required on an annual basis. Financial Status Reports must be submitted within 90 days after the end of each budget period. Inventions are to be reported immediately. A terminal progress report must be submitted within 90 days after the end of a project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Records must be retained for at least three years; records shall be retained beyond the three year period if audit findings have not been resolved.

Account Identification:

75-1700-0-1-552.

Obligations:

(Grants) FY 07 \$80,274,536; FY 08 est \$73,127,391; and FY 09 est \$64,557,046.

Range and Average of Financial Assistance:

\$9,989 to \$1,482,711; \$261,674. These are total cost figures (direct plus associated facilities and administrative costs if appropriate).

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 296 grants were provided to universities, hospitals, nonprofit private agencies, State agencies, local government agencies and individuals to conduct health services research and demonstration projects. In fiscal year 2008, it is estimated that approximately 213 grants will be provided to similar organizations and individuals for the support of extramural health services research and demonstration and dissemination projects. In fiscal year 2009, it is estimated that approximately 152 grants will be provided to similar organizations and individuals.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 67, Regulations for Grants for Health Services Research, Evaluation, Demonstration, and Dissemination Projects as amended by Public Law 106-129; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000 (Rev.) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Agency for Healthcare Research and Quality, Department of Health and Human Services, 540 Gaither Road, Rockville, MD 20850. Program Contacts: Improving Health Outcomes and Evidence-based Practice and Technology Assessment, Jean Slutsky, Director, Center for Outcomes and Evidence (Telephone: (301) 427-1600); Quality of Care, William Munier, MD, Director, Center for Quality Improvement and Patient Safety (Telephone: (301) 427-1349); Primary Care, David Myers, MD, Director, Center for Primary Care Prevention and Clinical Partnerships (Telephone: (301) 427-1500); Cost and Financing, Steven Cohen, PhD, Director, Center for Financing, Access, and Cost Trends (Telephone: (301) 427-1406); Organization, Delivery, and Markets, Irene

Fraser, PhD, Director, Center for Delivery, Organization, and Markets (Telephone: (301) 427-1410); Grants Management Contact: Joan Metcalfe, Grants Management Officer (Telephone: (301) 427-1447). Use the same number for FTS.

Web Site Address:
<http://www.ahrq.gov>.

RELATED PROGRAMS:

93.225, National Research Service Awards_Health Services Research Training.

EXAMPLES OF FUNDED PROJECTS:

(1) Centers for Education and Research on Therapeutics; (2) Primary and Secondary Prevention of CHD and Stroke; (3) Improving Pain Management in Nursing Homes; (4) Optimizing Antibiotic Use in Long-Term Care; (5) Improving the Delivery of Effective Care to Minorities; (6) Risk-Adjustment of 1-Year Health Status Outcomes in CAD; (7) Impact of Early Discharge Following Bypass Surgery; (8) Smoking Control in MCH Clinics: Dissemination Strategies; (9) Benefits of Regionalizing Surgery for Medicare Patients.

CRITERIA FOR SELECTING PROPOSALS:

The proposals must first be reviewed by review groups of peers and recommended for approval on the basis of scientific and technical merit. This includes consideration of the qualifications of the principal investigator and staff to conduct the research using appropriate methodology and budget. Applications may be reviewed for program relevance by the National Advisory Council for Healthcare Research and Quality. Those approved proposals which are most relevant to the identified program priority issues of the AHRQ are funded to the extent that funds are available.

93.228 INDIAN HEALTH SERVICE-HEALTH MANAGEMENT DEVELOPMENT PROGRAM

(Indian Health)

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Law 83-568, 42 U.S.C. 2001-2004a; Indian Self-Determination and Education Assistance Act, Section 104 (b), Public Law 93-638, 25 U.S.C. 450; Section 9, Public Law 98-250; Public Law 100 - 472.

OBJECTIVES:

To improve the quality of the health of American Indians and Alaska Natives by providing a full range of curative, preventive and rehabilitative health services; and to improve the management capability of American Indians and Alaska Natives to assume operation of all or part of an existing Indian Health Service (IHS) direct-operated health care program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The assistance is used for four types of management related projects: (1) Feasibility Studies; (2) Planning; (3) Tribal Health Management Structure Development; and (4) Evaluation. Tribal management grants may not be used to support operational programs, or to supplant existing public and private resources. This assistance is available to Federally-recognized Indian Tribes and Tribal-sanctioned Tribal organizations.

Applicant Eligibility:

Federally-recognized Tribes and Tribally-sanctioned Tribal organizations.

Beneficiary Eligibility:

Individuals who are members of an eligible applicant Tribe, band, or group or village and who may be regarded as within the scope of the Indian health and medical service program and who are regarded as an Indian by the community in which he lives as evidenced by such factors as Tribal membership, enrollment, residence on tax exempt land, ownership of restricted property, active participation in Tribal affairs or other relevant factors in keeping with general Bureau of Indian Affairs practices in the jurisdiction.

Credentials/Documentation:

Certification of Native Indian or Alaskan descent is required for direct services. Provisions of grants under Public Law 93-638 and its amendments require Tribal endorsement in the form of a Resolution to sanction Tribal participation. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments (and Circular No. A-122 for Nonprofit Organizations) as implemented through program regulations 42 CFR 36 and applicable grant administration regulations 45 CFR, Part 92, (45 CFR 74 for nonprofit organizations).

Preapplication Coordination:

¹ An applicant under Public Law 93-638 seeking to serve more than one Tribe must have approval by Tribal resolution of each Tribe involved. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The applicant package may be found in Grants.gov, or at http://www.ihs.gov/NonMedicalPrograms/gogp/gogp_funding.asp. Information regarding the electronic application process may be directed to Michelle G.

Bulls, Grants Policy Officer at (301) 443-6528. Applications under Public Law 93-638 to staff and manage health services and facilities may be submitted to respective IHS Area Office. Tribal Management Program is processed and administered by IHS Headquarters. This program is subject to the provisions of 45 CFR 92 for Indian Tribes and OMB Circular No. A-110, if applicant is a nonprofit Tribal organization.

Award Procedure:

Grants are awarded on a competitive basis with processing and final approval by Headquarters.

Deadlines:

For Public Law 93-638 grants applications must be submitted 90 days prior to the proposed project start date. Specific types of grant projects may have specific deadlines imposed. Contact Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

90 days. Grants are approved/disapproved within ninety (90) days from receipt of application/proposal in IHS Headquarters.

Appeals:

Grant appeals will follow PHS appeals procedures: 42 CFR, Part 50, Subpart D and DHHS appeals procedures: 45 CFR 16.

Renewals:

Renewal of grants is on a year-to-year basis and requires the submission of continuation applications.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Public Law 93-638, grants are subject to Regulations in November 14, 1975, Federal Register, Vol. 40, No. 221; and administrative requirements under 45 CFR, Part 92 for grants to Indian Tribes and 45 CFR, Part 74 to nonprofit tribal organizations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR 92.42, grantees are required to maintain grant records 3 years after they submit their final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Grants) Tribal Management: FY 07 \$2,430,000; FY 08 est not available; and FY 09 est not reported. Health Services: FY 07 \$3,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Tribal Management Awards: range of \$50,000 to \$100,000; \$79,690. Health Services Projects: range of \$85,000 to \$185,000; \$182,140.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 23 new and seven continuing Tribal management project grants will be made. In fiscal year 2007, an estimated 20 continuing projects will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36; 45 CFR 92 and 45 CFR 74, PHS Grants Policy Statement, DHHS Publication No. (OASH) 94- 50,000, (Rev.) April 1, 1994; Program Guidelines for Competitive Projects.

Regional or Local Office:

See Appendix IV of the Catalog for Indian Health Service Area Offices.

Headquarters Office:

Program Contact: For Tribal Management Program: Ms. Patricia Spottedhorse, Program Analyst, Office of Tribal Programs, Indian Health Service, 801 Thompson Avenue, Suite 120, Rockville, MD 20852. Telephone: (301) 443-1104. For Health Services Projects and Grants Management Contact: Ms. Lois Hodge, Grants Management Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204. Use the same numbers for FTS.

Web Site Address:

<http://www.ihs.gov/nonmedicalprograms/tmg/index.asp>.

RELATED PROGRAMS:

93.224, Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers).

EXAMPLES OF FUNDED PROJECTS:

The development of: (1) A management structure (2) an evaluation of Tribal health programs; (3) a feasibility study and comprehensive health plan; (4) a management information system; (5) technical assistance; (6) a development of financial/management systems for Public Law 93-638 programs; and (7) a Federal program analysis.

CRITERIA FOR SELECTING PROPOSALS:

(1) The apparent capability of the applicant to organize and manage the proposal project successfully considering the adequacy of staff, management systems, equipment and facilities; (2) the soundness of the applicant's plan for conducting the project and for assuring effective utilization of grant funds; (3) the adequacy of the budget in relation to the scope of the project and available funds; and (4) the relative effectiveness of the applicant's plan to carry out each of the requirements as set forth in the application.

93.229 DEMONSTRATION COOPERATIVE AGREEMENTS FOR DEVELOPMENT AND IMPLEMENTATION OF CRIMINAL JUSTICE TREATMENT NETWORKS

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN
SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Title V, Part B, Section 511, 42 U.S.C. 290bb-4.

OBJECTIVES:

To fund integrated criminal justice treatment networks made up of a consortium of criminal justice, substance abuse treatment, primary health and mental health care, and allied social services and job placement agencies in the following three offender populations: Adult Female Offenders, Juvenile Justice Populations and Adult Male Offenders. Each network will develop a strategy to link existing criminal justice-primary care-substance abuse-treatment partnerships into a comprehensive continuum of services in addiction treatment, public health, primary care, mental health, and courts and corrections, with the pivotal points of referral and supervision being either the courts or community corrections (probation and parole agencies).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds (including direct costs and allowable indirect costs) may be used only for expenses clearly related and necessary to carry out approved network activities for planning and implementation designed to improve the accessibility and effectiveness of community-based offender substance abuse treatment services coordinated with primary care and mental health services in metropolitan areas with populations of 200,000 to 1 million. Funds may not be used to supplant current funding for existing activities. Funds may not be used for the purchase of a facility to house any portion of the proposed program. Any funds proposed for the purpose of renovation expenses must be detailed and linked directly to programmatic activities. Any lease arrangements in association with the proposed program utilizing these funds may not extend beyond the project period or cover non-programmatic activities. Construction costs are not allowed. No less than 98 percent of the total amount awarded to each State must be allocated to the subrecipients. From any remaining funds, the State may recover the lesser of its actual costs of administration (direct and indirect costs) of grant, or 2 percent of the total amount of awards made to subrecipients within the State.

Applicant Eligibility:

Applicant Eligibility for this program is limited to the State Alcohol and Drug Abuse Agency which applies on behalf of a consortium of relevant State and local officials, and public and nonprofit private entities who provide or have jurisdiction over providing treatment for substance abuse to individuals under criminal justice supervision. Where the State agency chooses to not be the applicant, public and nonprofit private entities which develop a project on behalf of a consortium may submit applications, directly, but are required to coordinate with the State agency, and the State agency should designate its priorities if more than one application is submitted from that state.

Beneficiary Eligibility:

Male and female adult and juvenile offenders with substance abuse and related health problems.

Credentials/Documentation:

Applicants must provide a letter(s) of commitment as evidence of collaboration and involvement on the part of a consortium of appropriate State and local officials and public/nonprofit private entities involved in providing treatment for

substance abuse to individuals under criminal justice supervision.

Preapplication Coordination:

A letter of intent from prospective applicants is requested 30 days prior to the application receipt date, and should be sent to: Office of Review, Substance Abuse and Mental Health Administration, Rockwall II Building, Suite 630, Rockville, MD 20857, ATTN: Letter of Intent. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

All applicants are to use the standard application form PHS 5161-1 (Rev. July 2000), which contains Standard Form 424 (Face Page). Application kits containing the necessary forms and instructions may be obtained by contacting: The National Clearinghouse for Alcohol and Drug Information, P.O. Box 2345, Rockville, MD 20857. Telephone: 1(800) 729-6686. Applicants should reference CSAT Grant announcement, "Demonstration Grants for the Development and Implementation of Criminal Justice Treatment Networks."

Award Procedure:

Cooperative Agreement Grants in support of projects recommended for approval by the initial review groups and the Advisory Council and the Director, CSAT are awarded by CSAT to the applicant.

Deadlines:

No new applications are being accepted.

Range of Approval/Disapproval Time:

Approximately 90 to 120 days.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Awards will be made for a maximum of 5 years. Year 1 will involve a 9 to 12 month planning period. CSAT approval of the plan is required prior to implementation. Payments will be made either on a Monthly Cash Request System or under an Electronic Transfer System.

Reports:

Unless otherwise specified, progress reports will be required at least quarterly, and a financial status report is required annually. A final progress report and financial status report are required 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. In addition, 45 CFR 74.26 requires that for-profit recipients and subrecipients have an audit performed in accordance with Government Auditing Standards or Circular No. A-133.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved.

Account Identification:

75-1362-0-1-550.

Obligations:

(Cooperative Agreements) FY 07 \$1,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

One award of \$1,000,000 was made in FY 2001.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal regulations at Title 45 CFR Parts 74 and 92, generic requirements concerning the administration of grants, and the PHS Grants Policy Statement are applicable to the awards. Guidelines and instructions are included in the application kits available by request from: The National Clearinghouse for Alcohol and Drug Information, P.O. Box 2345, Rockville, MD 20857. Telephone: (301) 468-2600 or 1-800-729-6686.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contacts: Randy Muck, Division of Practice and Systems Development, Center for Substance Abuse Treatment, Substance Abuse and Mental Health Services Administration, Public Health Service, Department of

Health and Human Services, 1 Choke Cherry Road, Rockville, MD 20857. Telephone: (240) 276-1576. Use the same number for FTS. Grants Management Contact: Ms. Kimberly Pendleton, Grants Management Officer, Grants Management Office, Office of Program Support, Substance Abuse and Mental Health Services Administration, Public Health Service, Department of Health and Human Services, 1 Choke Cherry Road, Rockville, MD 20857. Telephone: (240) 276-1421. Use the same numbers for FTS.

Web Site Address:

<http://www.samhsa.gov>.

RELATED PROGRAMS:

93.144, Demonstration Grants for the Prevention of Alcohol and Drug Abuse Among High-Risk Populations; 93.196, Cooperative Agreements for Drug Abuse Treatment Improvement Projects in Target Cities; 16.585, Drug Court Discretionary Grant Program.

EXAMPLES OF FUNDED PROJECTS:

Denver Juvenile Justice Integrated Treatment Network, Denver, Colorado, The Denver Network is implementing a comprehensive continuum of care for alcohol and other drug abusing juvenile offenders and their families through a collaborative effort of all public juvenile justice/correctional systems, and public and private sector mental health, health, education, pro-social, employment, AOD, and social services; Brooklyn Treatment Court/Women's Treatment Network, Brooklyn, New York, The Brooklyn Treatment Court, a dedicated court linked to a centralized case management unit, serves as the hub of a comprehensive criminal justice and treatment network. Collaborations with the Kings County District Attorney, the Legal Aid Society, the New York City Department of Corrections and other criminal justice agencies have resulted in a comprehensive court-based response to the needs of non-violent substance abuse offenders, where treatment is offered as an alternative to incarceration.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for technical merit review of applications will include the following: Significance of Network to State and Local Efforts (20 percent); Relevancy/Adequacy of Project's Plans (35 percent); Appropriateness of Project Resources, Planning and Management (25 percent); and Adequacy of Evaluation Plans (20 percent). In addition, award criteria will include: Representation on the consortium to include the broadest array of health and human services; coordination with other Federal programs; geographical distribution; focus on racial and ethnic minorities; availability of nonfederal resources and the availability of Federal funds.

93.230 CONSOLIDATED KNOWLEDGE DEVELOPMENT AND APPLICATION (KD&A) PROGRAM

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN
SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Title V, Part B, Section 501(d)(5), 42 U.S.C. 290aa.

OBJECTIVES:

To provide immediately usable knowledge to improve substance abuse and mental health services in crucial selected areas. SAMHSA's Knowledge Development and Application (KDA) program is aimed at answering important, practical questions and effectively transferring answers to those who need the information. The questions to be answered are not decided by the agency in isolation, but arise from consumers and their families, providers, State officials, constituency organizations, national advisory councils, services researchers, the Congress, HHS, and for substance abuse, the Office of National Drug Control Policy (ONDCP). The program emphasizes knowledge development and application, making sure that this knowledge is relevant and timely. KDA activities are undertaken in actual service settings rather than in artificially created and controlled settings. SAMHSA's KDA program is driven by the needs of the users/providers/systems administrators. SAMHSA announces specific KDA topics annually in the Federal Register. Dissemination of results through multiple channels, including the latest available technology, to inform service providers is an integral part of the KDA program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds (including direct costs and allowable indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities that will provide immediately useful, practical knowledge that service providers need as they wrestle with the rapidly changing health care environment. Funds may not be used to supplant current funding for existing activities. Funds may not be used to pay for services except for additional costs created by the research and may not be used for the purchase of a facility to house any portion of the proposed project. Any funds proposed for the purpose of renovation expenses must be detailed and linked directly to programmatic activities. Any lease arrangements in association with the proposed project utilizing these funds may not extend beyond the project period or cover non-programmatic activities.

Construction costs are not allowed.

Applicant Eligibility:

Eligibility for the topical areas under this consolidated program is open to public organizations, such as units of State or local governments and to domestic private nonprofit and for-profit organizations such as community-based organizations, universities, colleges, and hospitals.

Beneficiary Eligibility:

Public or domestic private for-profit and nonprofit organizations will benefit.

Credentials/Documentation:

Applicants for all topical areas must provide a copy of a letter sent to the Single State Agency for Alcohol and Drug Abuse and/or Mental Health that briefly describes the application. Applicants must also provide proof of licensure, accreditation, certification, or chartering to provide substance abuse and/or mental health services or such other credential documentation as specified for a particular topical area.

Preapplication Coordination:

A letter of intent from prospective applicants is requested at least 30 days prior to the application receipt date, and should be sent to: Office of Extramural Activities Review, SAMHSA, 1 Choke Cherry Road, Rockville, MD 20857, ATTN: Letter of Intent. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the Single Point of Contact (SPOC) in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

All applicants must use application form PHS 5161-1 (July, 2000) Application kits containing the necessary forms and instructions may be obtained by contacting: The National Clearinghouse for Alcohol and Drug Information, P.O. Box 2345, Rockville, MD 20847-2345. Telephone: (301) 468-2600 or 1(800) 729-6686, or the National Mental Health Services Knowledge Exchange Network (KEN) at 1(800) 789-2647.

Award Procedure:

Applications for grants and cooperative agreements recommended for approval by the initial review group and concurred in by the appropriate National Advisory Council and the Center Director(s) are awarded directly by each Center (Center for Mental Health Services (CMHS), Center for Substance Abuse Prevention (CSAP), and Center for Substance Abuse Treatment (CSAT)) to the applicant organization.

Deadlines:

Contact Division of Extramural Activities, Policy, and Review, SAMHSA, 1 Choke Cherry Road, Rockville, MD 20857. Telephone: (240) 276-1199 for the receipt date(s) for applications.

Range of Approval/Disapproval Time:

Approximately 90 to 120 days.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Applications will be accepted for a 3-year project period with 12-month budget periods. Annual awards will be made subject to continued availability of funds and progress achieved. Payments will be made either on a Monthly Cash Request System or under an Electronic Transfer System.

Reports:

Unless otherwise specified, progress reports will be required at least quarterly, and a financial status report is required annually. A final progress report and financial status report are required 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. In addition, 45 CFR 74.26 requires that for-profit recipients and Subrecipients have an audit performed in accordance with Government Auditing Standards or Circular No. A-133.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved.

Account Identification:

75-1362-0-1-550.

Obligations:

(Grants/Cooperative Agreements) FY 07 \$23,313,874; FY 08 est \$500,000; and FY 09 est not reported.

Range and Average of Financial Assistance:
\$23,313,874 to \$500,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007 51 awards were made. It is estimated that in fiscal year 2008 1 award will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92 and the PHS Grants Policy Statement. Guidelines and instructions are included in the application kits available by request from: The National Clearinghouse for Alcohol and Drug Information, P.O. Box 2345, Rockville, MD 20847-2345. Telephone: (301) 468-2600 or 1(800) 729-6686, or the National Mental Health Services Knowledge Exchange Network (KEN) at 1(800) 789-2647.

Regional or Local Office:

Not applicable.

Headquarters Office:

Jack Stein; Telephone: (240) 276-2950; and CSAT programmatic contact: George Gilbert; Telephone: (240) 276-1681; Grants Management contact: Kathleen Sample, Division of Grants Management, Substance Abuse and Mental Health Services Administration, 1 Choke Cherry Road, Room 7-1091; Telephone: (240) 276-1407; Email: kathleen.sample@samhsa.hhs.gov.

Web Site Address:

<http://www.samhsa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In CMHS some of the funded programs were: HIV/AIDS-Education, HIV/AIDS Outcome & Costs Study, HIV/AIDS High Risk, Child Mental Health, Criminal Justice Diversion, Circles of Care, etc. In CSAP some of the funded programs were: State Incentive Grants, Workplace and Managed Care, Early Childhood Problems, Starting Early/Starting Smart, Community-Initiated Prevention Interventions, Substance Abuse Prevention/HIV Care, Parenting and Family Strengthening Prevention Interventions: A Dissemination of Innovations Study, CAPT, etc. In CSAT, some of the programs funded were: Replication of Effective Treatment for Methamphetamine Dependence, Targeted Capacity Expansion, Women and Violence, etc. Some programs funded in CMHS were: Circles of Care, Child Mental Health Initiative, HIV/AIDS Cost Study, Jail Diversion, etc.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for technical merit review of applications will include the following: 1) Potential significance of proposed project; 2) Appropriateness of applicant's proposed objectives to the goals of the specific program; 3) Adequacy and appropriateness of the proposed approach and activities; 4) Adequacy of available resources, such as facilities and equipment; 5) Qualifications and experience of the applicant organization, the project director, and other key personnel; and, 6) Reasonableness of the proposed budget. In addition, award criteria will include the availability of Federal funds.

93.231 EPIDEMIOLOGY COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Indian Health Care Improvement Act, Title II, Section 214(a)(1), Public Law 94-437, as amended; Public Law 102-573.

OBJECTIVES:

To develop epidemiology centers and public health infrastructure through the augmentation of existing programs with expertise in epidemiology and a history of regional support. Activities should include, but not be limited to, development of surveillance for disease conditions, epidemiological analysis, interpretation, and dissemination of surveillance data, investigation of disease outbreaks, development and implementation of epidemiological studies, development and implementation of disease control and prevention programs, and coordination of activities with other public health authorities in the region. Proposed activities which cover large population and/or geographical areas that do not necessarily correspond with current Indian Health Service (IHS) administrative areas are encouraged.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used to develop and conduct activities to achieve epidemiology programs. The recipient activities will coordinate and participate in projects, investigations, or studies of national scope; and share surveillance and other data collected. IHS activities will convene workshops/meetings; provide technical assistance and consultation; provide training; conduct site visits; and coordinate all epidemiological activities on a national basis.

Applicant Eligibility:

Any federally recognized Indian tribe or tribal organization, as defined in Section 4(d) and 4(e) of the Indian Health Care Improvement Act, Public Law 94-437, as amended. An intertribal consortia or Indian organization, if it is

incorporated for the primary purpose of improving Indian health, and it is representative of the tribes or urban Indian communities in which it is located. An urban Indian organization is defined as a nonprofit corporate body situated in an urban center eligible for services under Title V of the Indian Health Care Improvement Act, Public Law 94-437, as amended.

Beneficiary Eligibility:

American Indians and Alaska Natives will benefit.

Credentials/Documentation:

The applicant must provide documentation of: (1) Nonprofit status; (2) tribal resolution(s); and (3) letters of support and collaboration with regional IHS, State, or university organizations. Costs will be determined in accordance with OMB Circular No. A-87 (State, local, and Indian tribal governments); OMB Circular No. A-122 (nonprofit organizations), and applicable grant administration regulations 45 CFR 74 and 45 CFR 92.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center hours of operation are Monday-Friday from 7:00 a.m. to 9:00 p.m. (Eastern Standard Time). If you require additional assistance please contact Michelle G. Bulls, Grants Policy Officer; Telephone: (301) 443-6528 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us, as furnished by Public Health Service (PHS) and required by 45 CFR 92 (State and local governments), and OMB Circular No. A-110 (nonprofit organizations) must be used for cooperative agreements under this program.

Award Procedure:

Cooperative agreements are made based on results of a competitive review process.

Deadlines:

For specific information on the application deadline, contact the Division of Grants Operations. Telephone: (301) 443-5204.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Cooperative agreements will be awarded for project periods of up to 3 years. Within the project period, a continuation application must be submitted via grants.gov annually on a non-competitive basis for each year of support.

Reports:

Reporting requirements are consistent with 45 CFR 92 for Indian tribes and with 45 CFR 74 for nonprofit organizations. Semi-annual and final program progress reports will be required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the grant in order to make audits, examinations, excerpts, and transcripts. Grantees are required to maintain grant accounting records for 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Grants) FY 07 \$5,816,700; FY 08 \$6,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$399,500 to \$540,000; \$469,750.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005 11 projects were funded. It estimated that up to 12 projects

will be funded in fiscal years 2006 and 12 in 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 94-437, Section 214(a)(1), as amended by Public Law 102-573; 45 CFR 92 and 45 CFR 74; authorizes the cooperative agreement grant awards. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000 (Rev. April 1, 1994).

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Dr. James Cheek; Division of Epidemiology and Disease Prevention, Indian Health Service, Headquarters West, 5300 Homestead Road, NE; Albuquerque, New Mexico 87110; Telephone: (505) 248-4132. For Grants Management Contact: Ms. Kimberly Pendleton; Senior Grants Management Officer, Division of Grants Operations, Indian Health Service; 801 Thompson Avenue, TMP, Suite 360; Rockville, Maryland 20852; Telephone: (301) 443-5204. (Telephone numbers are not toll-free).

Web Site Address:

<http://www.ihs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) The Northwest Portland Area Indian Health Board has established a Northwest Tribal Epidemiology Center in Portland, Oregon to serve the 39 federally-recognized tribes who are its members plus the two urban Indian organizations in the Portland Area of the Indian Health Center; and (2) The Alaska Native Tribal Health Consortium is establishing a new Alaska Native Epidemiology Center in Anchorage, Alaska, which will enhance the ability of Alaska Native health provider agencies to assess long-term changes in the health status of Alaska's 100,000 native people.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are introduction, current capacity and project objectives, approach and results and benefits, project evaluation, organization capabilities and qualifications, and budget. Consideration will be given to applicants: (1) Proposing to provide services to large regions consisting of more than a single IHS administrative area; and (2) demonstrating evidence of past and current epidemiological activities.

93.232 LOAN REPAYMENT PROGRAM FOR GENERAL RESEARCH

(NIH General Research Loan Repayment Program; GR-LRP)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title IV, Part VI, Section 487C, as amended, Public Law 103-43, 42 U.S.C. 288-3, Public Law 105-392.

OBJECTIVES:

To recruit and retain health professionals performing research in fields required by the NIH to carry out its mission by providing educational loan repayment for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in laboratory or clinical research as employees of the NIH for a minimum 3-year period.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged, as employees of the NIH, in laboratory or clinical research. Recipients must agree by written contract to engage in such research, initially, for a minimum of 3 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Continuation contracts for 1-year terms are available, dependent upon the level of debt and continued involvement in research that supports the research and institutional needs and the mission of the NIH. Maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the program participants.

Applicant Eligibility:

Eligible applicants must: (1) Be a citizen, national, or permanent resident of the United States; (2) possess a M.D., Ph.D., D.O., D.D.S., D.M.D., D.V.M., D.P.M., A.D.N., B.S.N., or equivalent degree, or hold the position of Physician Assistant; (3) have qualified educational debt, which results from governmental or commercial loans obtained to support their undergraduate and/or graduate education, in excess of 20 percent of their annual NIH salary on the program eligibility date; (4) be appointed to the NIH Intramural Research Program under any temporary or permanent employment mechanism, so long as the

employment has the potential to last a minimum of three years; (5) not be under any existing service obligation to Federal, State, or other entities, until such obligation is discharged or unless it is deferred during the period of program service; (6) submit an application to participate in the Loan Repayment Program for General Research; and (7) sign and submit to the Secretary of Health and Human Services, at the time of application submission, a contract agreeing to engage in research as an employee of the NIH for a minimum of 3 years.

Beneficiary Eligibility:

NIH researchers who possess substantial unpaid educational debt relative to income will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) Copies of loan applications and agreements from government or commercial educational loans which are being submitted for repayment; (2) copies of the standard student budget from each school attended during the period in which the debt was incurred; and (3) other documentation as may be required.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must access and submit the Loan Repayment Program application electronically via the website www.lrp.nih.gov; seek qualified employment with a sponsoring Institute or Center (IC) of the NIH. Once an application has been submitted, the Loan Repayment Committee reviews it at a regularly scheduled review meeting and a determination of loan repayment recipients is made.

Award Procedure:

The NIH Loan Repayment Committee (LRC) will review, rank, and approve or disapprove applications recommended for program participation by each Institute or Center (IC). Applications approved for participation by the LRC will be notified by the Program Director. The Secretary, or his designee, will sign the service contract of program participants, provide a copy to the participant, and notice of the amount of approved loan repayment.

Deadlines:

Review the Loan Repayment Program website www.lrp.nih.gov, or contact the Headquarters Office listed below for the application deadlines.

Range of Approval/Disapproval Time:

The approximate time for approval/disapproval is 3 months.

Appeals:

None.

Renewals:

At the conclusion of the initial 3-year contract, participants may apply and be considered for subsequent 1-year continuation contracts under the application and approval procedures specified above.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 3-year period. One-year continuation contracts are available for individuals whose educational debt exceeds the maximum repayable amount for 3 years. Payments will be made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services.

Reports:

Program participants must be periodically certified to have satisfactorily performed the duties of their positions.

Audits:

None.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-6846-0-1-552.

Obligations:

(Loan Repayments) FY 07 \$4,090,289; FY 08 est \$4,767,520; and FY 09 est 4,767,520.

Range and Average of Financial Assistance:

For initial 3-year contracts, loan repayment awards may range from \$12,600 to \$105,000; Tax reimbursements range from \$7,317 to \$60,653. The average contract cost which includes loan and tax reimbursement is \$97,502.

PROGRAM ACCOMPLISHMENTS:

Sixty-nine awards were made in fiscal year 2007. NIH projects that 80 awards will be made in fiscal year 2008 and 80 awards are projected in fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 103-43, Section 1631; Section 487C of the Public Health Service Act (42 U.S.C. 288-3); Public Law 105-392; and Federal Register, Volume 59, No. 201, October 19, 1994. Notice of Proposed Rulemaking is pending.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program and Business Contact: Patricia A. Cole, Ph.D., Director, Intramural

Loan Repayment Programs, National Institutes of Health, Building 2, Room 2E18, Bethesda, MD 20892-0230. LRP Helpline Telephone: (866) 849-4047. Facsimile: 866-849-4046. Email: lrp@nih.gov.

Web Site Address:
www.lrp.nih.gov.

RELATED PROGRAMS:

93.936, National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program; 93.162, National Health Service Corps Loan Repayment Program; 93.164, Indian Health Service Educational Loan Repayment; 93.220, Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.364, Nursing Student Loans.

EXAMPLES OF FUNDED PROJECTS:

Implementation of studies on the mood and anxiety disorders in children and adolescents. Evaluation of the effects of radiation-induced cell damage. Identification of the specific and unique markers for the human marrow stromal fibroblasts (HMSFs) colonies which give rise to bone, at the DNA and protein levels. Investigation of the molecular mechanisms responsible for RNA syntheses by RNA polymerase III; development of Human Artificial Chromosome Vectors for use in gene transfer studies; and application of gene transfer strategies to clinical manifestations of genetic diseases.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are approved for participation in the NIH General Research Loan Repayment Program after the LRC determines that the applicant will conduct qualified research and has qualifying educational debt. LRC approval, in part, is based upon the scientific merit of the research, its priority to the research institutes, and the credentials of the applicant.

93.233 NATIONAL CENTER ON SLEEP DISORDERS RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

NIH Reauthorization Act of 1993, Public Health Service Act, Section 503: Subpart 2, Part C, Title IV, Section 424, 42 U.S.C. 285b et seq.

OBJECTIVES:

The purpose of the Center is to support research, training, health information dissemination and other activities with respect to sleep and sleep disorders, including biological and circadian rhythm research, basic understanding of sleep, chronobiological and other sleep related research; and to coordinate the activities of the Center with related activities of other Federal agencies, public and nonprofit organizations.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants may support salaries, equipment, supplies, travel, and patient hospitalization as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas. Grants may be made to institutions to enable them to make NRSAs to individuals selected by them. Certain service and payback provisions apply to individuals upon termination of the award. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Any nonprofit organization engaged in biomedical research, as well as institutions or companies organized for profit, may apply for grants with the exception of NRSAs. An individual may apply for a NRSA or, in some cases, may qualify for a research grant if adequate facilities in which to perform the research are available. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are

independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research.

Credentials/Documentation:

Applicants for individual National Research Service Awards must be citizens of the United States or have been admitted for permanent residency; must hold a doctoral degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree); and must designate a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Effective for the December 1, 2005 submission date and beyond, all SBIR and STTR Phase I, Phase II, and Fast-Track grant applications must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 (R&R) forms and Application Instruction Guide. Complete SBIR and STTR application instructions, including requirements for electronic submission, can be found at <http://grants.nih.gov/grants/funding/sbir.htm>.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Research grant applications are submitted on designated forms to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. Forms for individual NRSA applications may be obtained from and submitted to the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892. Telephone: (301) 435-0714. Fax: (301) 480-0525. E-mail: asknih@od.nih.gov. For some special grant programs, applicants may be advised to submit directly to the Review Branch, Division of Extramural Affairs, National Heart, Lung, and Blood Institute, Bethesda, MD 20892. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92, must be used for this program. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: phone: (301) 206-9385; fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff informs applicants of the results of the review. If support is contemplated, staff initiates preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

New Research Grants: February 1, June 1, and October 1 (or as specified in Request for Applications (RFA) announcements). Renewals and Supplementals: March 1, July 1, and November 1. Individual NRSAs: April 5, August 5, and December 10. Individual NRSA: January 10, May 10, and September 10. SBIR/STTR Grants: April 1, August 1, and December 1.

Range of Approval/Disapproval Time:

Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the

Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH grants information page <http://www.grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

The Advisory Council may recommend funding for periods from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0872-0-1-552.

Obligations:

(Grants) FY 07 \$52,938,299; FY 08 est \$52,938,299; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$13,316 to \$1,585,118; \$401,255; Small Business Innovation Research/Small Business Technology Awards: Phase I - \$100,000; Phase II - up to \$750,000 for STTR and up to \$750,000 for SBIR.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, 126 research grants, and 8 National Research Service Awards were made. In fiscal year 2006, for new and competing renewal awards: 130 sleep grant applications were received, and of these, 24 were awarded; 6 Small Business Innovation Research Phase I awards, and 2 Phase II awards were made in fiscal year 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; "NIH Guide for Grants and Contracts, and Supplements"; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Program Contacts: Director, National Center on Sleep Disorders Research, National Heart, Lung, and Blood Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 435-0199. James MacKenzie, Administrative Officer. Telephone: (301) 435-6373. Small Business Innovation Research Program: Deputy Director, Division of Extramural Affairs, National Heart, Lung, and Blood Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 435-0266. Grants Management Contact: Ms. Suzanne White, Grants Management Officer, Grants Operations Branch, Office of Program Policy and Procedures, National Heart, Lung and Blood Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 435-0144. Use the same numbers for FTS.

Web Site Address:

<http://www.nhlbi.nih.gov/sleep>.

RELATED PROGRAMS:

93.838, Lung Diseases Research; 93.837, Cardiovascular Diseases Research; 93.839, Blood Diseases and Resources Research.

EXAMPLES OF FUNDED PROJECTS:

1) Phenotypic Characterization of Sleep in Mice (HL-99-001); (2) Nocturnal Asthma, Chronobiology and Sleep (HL-99-011); (3) Research on Sleep and

Sleep Disorders (PA-06-238; PA-05-046); (4) Mechanisms Linking Short Sleep Duration and Risk of Obesity or Overweight (HL-06-003); (5) the Specialized Centers of Research (SCOR) program in Neurobiology of Sleep and Sleep Apnea, (6) Innovative Application of Nanotechnology to Heart, Lung, Blood, and Sleep Disorders (PAR-06-287)

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) Significance: Does this study address an important problem? If the aims of the application are achieved, how will scientific knowledge or clinical practice be advanced? What will be the effect of these studies on the concepts, methods, technologies, treatments, services, or preventative interventions that drive this field? (2) Approach: Are the conceptual or clinical framework, design, methods, and analyses adequately developed, well integrated, well reasoned, and appropriate to the aims of the project? Does the applicant acknowledge potential problem areas and consider alternative tactics? (3) Innovation: Is the project original and innovative? For example: Does the project challenge existing paradigms or clinical practice; address an innovative hypothesis or critical barrier to progress in the field? Does the project develop or employ novel concepts, approaches, methodologies, tools, or technologies for this area? (4) Investigators: Are the investigators appropriately trained and well suited to carry out this work? Is the work proposed appropriate to the experience level of the principal investigator and other researchers? Does the investigative team bring complementary and integrated expertise to the project (if applicable)? (5) Environment: Does the scientific environment in which the work will be done contribute to the probability of success? Do the proposed studies benefit from unique features of the scientific environment, or subject populations, or employ useful collaborative arrangements? Is there evidence of institutional support? Complete review criteria can be found at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-05-002.html>. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Complete SBIR review criteria can be found at http://grants1.nih.gov/grants/funding/sbirsttr_ReviewCriteria.htm.

93.234 TRAUMATIC BRAIN INJURY STATE DEMONSTRATION GRANT PROGRAM

(TBI)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 1252, as amended, Public Law 104-166, 42 U.S.C. 300d-52.

OBJECTIVES:

To improve access to health and other services for individuals with TBI and their families.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project grants to States to improve access to health and other TBI-related services for people of all ages are established within HRSA. Any State seeking TBI grant funds is required to agree to establish or have an advisory board within the appropriate health department of the State or within another department as designated by the Chief Executive Officer of the State. The Board's composition as specified must include representatives of the involved State agencies; public and nonprofit private health related organizations; disability advisory or planning groups; members of an organization or foundation representing individuals with TBI; State and local injury control

programs if they exist, and a substantial number of individuals with TBI and/or their family members. The grants are called "Implementation Partnership Grants." These grants are to be used in building a statewide system to assure access to comprehensive and coordinated TBI services. Grants can address a wide range of activities and should reflect gaps or needed system change identified through the statewide TBI needs assessment.

Applicant Eligibility:

Only State governments are eligible to apply for funding under the TBI grant program. The application for Implementation Partnership funds may only come from the State agency designated as the lead for TBI services. The State maternal and child health program is expected to be involved in the program. Only one application from each State may enter the review process and be considered for an award under this program.

Beneficiary Eligibility:

Individuals with TBI and their families, including those in high risk groups, such as children and youth, the elderly, Native Americans and Alaska natives, military service members and veterans.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Maternal and Child Health. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Applications are reviewed by a peer review committee of experts and persons with TBI or their family members who are generally nongovernmental. Applications are reviewed based on their merit and ranked according to a point score. Final decisions are made by the Associate Administrator for Maternal and Child Health.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Final decisions are made approximately two to three months after receipt of applications.

Appeals:

None.

Renewals:

No renewals; grants are approved for up to three years for Implementation Grants.

Formula and Matching Requirements:

States must make available nonfederal cash or in-kind matching funds in an amount that is not less than \$1 for each \$2 of Federal funds provided under the grant.

Length and Time Phasing of Assistance:

Awards are made annually in accordance with the project period method of awarding grants. Payments are made through a Letter of Credit or Cash Demand System. Project periods are for one, two or three years.

Reports:

Annual program reports, financial status reports, program service reports, and special reports must be submitted as required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$5,403,053; FY 08 est \$5,314,680; and FY 09 est not available.

Range and Average of Financial Assistance:

\$70,000-\$200,000 \$107,879.

PROGRAM ACCOMPLISHMENTS:

In FY 06, 42 total projects were funded. Six projects were added in FY 07 and two additional projects were supported in FY 08. The goal of the TBI State grant program is to assure all States and Territories have a solid and supportive TBI infrastructure.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Janie Martin-Heppel, Project Director, Division of Services for Children with Special Health Care Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18A-18, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2370, E-mail: jmartin-heppel@hrsa.gov.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: 301-443-2385; Research and Training Branch: Telephone: (301) 443-3099; Government and Special Focus Branch: Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.127, Emergency Medical Services for Children; 93.110, Maternal and Child Health Federal Consolidated Programs.

EXAMPLES OF FUNDED PROJECTS:

New York State Traumatic Brain Injury (TBI) Program for Culturally Competent TBI Services in NY City, Arizona KIDS with TBI, Oregon TBI Link Improving Access to Services for Individuals with TBI and Alabama an Interactive Community Based Model for Children with TBI.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed by nonfederal consultants who make recommendations to the Secretary of the Department. The Secretary will approve projects which best promote the purposes of TBI grants. Review criteria: The following review criteria apply to the State implementation grant: (1) the adequacy of the State's proposed method for linking its Statewide TBI Action Plan to the findings of the statewide needs assessment; (2) the extent to which the proposal reflects the involvement of necessary public/private organizations and agencies to assure a comprehensive approach and the necessary support to aid sustainability (3) the qualifications, experience, and commitment to the program as indicated by time that will be devoted to the program, of the designated lead person for TBI within the State; (4) the extent to which the proposed plan addresses those groups within the State that are at highest risk of sustaining a TBI, including, these groups, identified by the CDC: Children from 0-4, Adolescents from 15-19, the elderly, Native Americans, and other underserved populations. In addition, there should be a plan to assist veterans and service members and their families who request assistance. (5) reasonableness of the proposed budget, and effectiveness of the proposed plan to accomplish the goals and objectives the State has for its TBI program.

93.235 ABSTINENCE EDUCATION PROGRAM

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Title V, Section 510, 42 U.S.C. 710.

OBJECTIVES:

To enable States to provide abstinence education and, at the option of the State, where appropriate, mentoring, counseling, and adult supervision to promote abstinence from sexual activity until marriage among teens, with a focus on groups that are most likely to bear children out of wedlock.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

For the purpose of this section (Section 510), the term "Abstinence Education" means an educational or motivational program which (a) has as its exclusive purpose, teaching the social, psychological, and health gains to be realized by abstaining from sexual activity; (b) teaches abstinence from sexual activity outside marriage as the expected standard for all school age children; (c) teaches that abstinence from sexual activity is the only certain way to avoid out-of-wedlock pregnancy, sexually transmitted diseases, and other associated health problems; (d) teaches that a mutually faithful monogamous relationship in context of marriage is the expected standard of human sexual activity; (e) teaches that sexual activity outside of the context of marriage is likely to have

harmful psychological and physical effects; (f) teaches that bearing children out of wedlock is likely to have harmful consequences for the child, the child's parents, and society; (g) teaches young people how to reject sexual advances and how alcohol and drug use increases vulnerability to sexual advances; and (h) teaches the importance of attaining self-sufficiency before engaging in sexual activity.

Applicant Eligibility:

The Governor of each State shall determine which agency will administer the Section 510 Abstinence Education program in each State. A letter from the Governor, or a designee within the Governor's office, must accompany each application. The application may be developed in consultation with the State Maternal and Child Health Services (MCHS) Agency as outlined by (Sec 505 (a)(5)(F)) or solely by a social services agency within the State that is identified and designated by the Governor. No funding will be awarded unless the State "has transmitted an application for the fiscal year under section 505(a)" of the Social Security Act, as required by section 510(a).

Beneficiary Eligibility:

School-age girls and boys, adolescents, at-risk populations subject to out-of-wedlock births, parents, youth serving professionals and families.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Annual submission of a State application and annual report are required prior to the allocation of funds.

Award Procedure:

Funds are allocated to States by way of the letter of credit system subsequent to the review and approval of the State application and annual report.

Deadlines:

As specified in the announcement or application instructions.

Range of Approval/Disapproval Time:

From 1 to 2 months.

Appeals:

None.

Renewals:

Funds will be made available annually to any State submitting an acceptable application.

Formula and Matching Requirements:

Funds are allocated among the States and jurisdictions based on a formula determined by the proportion that the number of low income children in the State bears to the total number of low income children for all States. There is a required match of 3 nonfederal dollars for every 4 Federal dollars.

Length and Time Phasing of Assistance:

Funds are awarded each fiscal year in quarterly installments to the States and Jurisdictions. States have until one full year after the end of the fiscal year to fund activities with the grant due to the likelihood of State sub-contracting the funds.

Reports:

Each State must prepare and submit an annual report on the activities undertaken. Reports will contain information and be formatted as the Secretary requires in the program application guidance.

Audits:

As described in Title V, Section 506(b)(1) of the Social Security Act, each state shall, not less often than once every two years, audit its expenditures from amounts received under this title.

Records:

Records of the recipient shall be made available to the Secretary to secure an accurate description of the activities for which funds were spent in achieving the purposes of this section. States shall make available copies of reports and audits for public inspection within the State. Within 30 days following the completion of each audit report, the State shall submit a copy of that audit report to the Secretary.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$38,673,246; FY 08 \$50,000,000; and FY 09 est \$50,000,000.

Range and Average of Financial Assistance:

\$13,501 to \$7,055,240; \$847,458.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 43 grants were awarded; 59 grants are projected in FY 08 and it is estimated that 59 grants will be awarded in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information may be obtained by contacting the Family and Youth Services Bureau, Office of Abstinence Education, Administration for Children, Youth and Families, 1250 Maryland Avenue, SW, Washington, DC 20447.

Regional or Local Office:

None.

Headquarters Office:

Scott T. Riggins, U.S. Department of Health and Human Services; Family and Youth Services Bureau; 1250 Maryland Avenue, SW Washington, DC 20447.

Grants Management, Financial Management Specialist; U.S. Department of Health and Human Services, Administration for Children and Families, Office of Administration; Office of Grants Management, Division of Discretionary Grants, 370 L'Enfant Promenade, SW., Washington, DC 20447.

Web Site Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.010, Community-Based Abstinence Education (CBAE); 93.111, Adolescent Family Life Research Grants.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded projects may be requested through the Office of Abstinence Education.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.236 GRANTS FOR DENTAL PUBLIC HEALTH RESIDENCY TRAINING

Dental Public Health Residency Training (DPHRT) and Grants to States to Support Oral Health Workforce Activities (SSOHW)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Section 768; Health Professions Education Partnerships Act of 1998, Public Law 105-392 SSOHW: Part D of Title III of the Public Health Service Act as amended, Subpart X, Primary Dental Programs, Section 340 G (42 U.S.C. 256g).

OBJECTIVES:

To plan and develop new residency training programs and to maintain or improve existing residency training programs in dental public health; and to provide financial assistance to residency trainees enrolled in such programs. To improve the accessibility of the oral health workforce for underserved geographic areas and populations.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are intended to assist in meeting the costs of planning and developing new dental public health residency training programs and providing financial assistance to trainees enrolled in the programs. Grants may not be used for construction or for providing direct patient services. SSOHW: Grants are intended to assist activities in States that are geographically dispersed throughout the United States and support a group of projects that address the oral health workforce needs of underserved urban and rural populations. Grants funds may not be used to take the place of current funding for the activities.

Applicant Eligibility:

An entity that offers a Dental Public Health Training Program accredited by the American Dental Association Commission on Dental Accreditation, such as a School of Public Health or Dentistry, is eligible to apply. Governmental and nonprofit entities, including faith-based and community-based organizations, that meet other eligibility requirements, are eligible to apply. Each applicant must demonstrate that the institution has or will have available full-time faculty members with training and experience in the field of dental public health and support from other faculty members trained in public health and other relevant specialties and disciplines. Eligible applicants include Governor appointed State government entities. Each State is limited to only one application, and must address one or more of twelve activities for addressing oral health workforce needs as contained in the authorizing legislation and program guidance for applicants. Applicants must have significant experience with addressing oral health workforce issues in underserved populations.

Beneficiary Eligibility:

An entity that offers a Dental Public Health Training Program accredited by the American Dental Association Commission on Dental Accreditation, such as a School of Public Health or Dentistry, is eligible to apply. Governmental and nonprofit entities, including faith-based and community-based organizations, that meet other eligibility requirements, are eligible to apply. Beneficiaries include Governor appointed State government entities. It is anticipated the oral health workforce also will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding

recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

A Notice of the Grant Award will be issued from the Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 4 to 6 months after receipt of application.

Appeals:

None.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted for up to 3 years of support.

Formula and Matching Requirements:

None. An entity that receives a grant under this program must contribute non-Federal funds to activities carried out under this grant to an amount equal to at least 40 percent of the federal funding in support of the project. Matching funds may be a combination of in-kind contributions, fairly valued, and any other funding from State, local, community, or other organization sources.

Length and Time Phasing of Assistance:

Project periods are for 3 years.

Reports:

A progress report must be submitted for the second or subsequent budget period within the approved project period for continuation funding. Financial status reports are required within 90 days after the end of each budget period. A final progress report and financial status report must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

DPHRT: (Grants) FY 07 \$454,704; FY 08 est \$481,316; and FY 09 est not available. SSOHWA: (Grants) FY 07 \$1,980,000; FY 08 \$4,612,180; and FY 09 est \$4,612,180.

Range and Average of Financial Assistance:

DPHRT: (FY 07) \$84,000 to \$100,000; \$90,940 average. SSOHWA: (FY 07) \$95,627 to \$137,388; \$110,000.

PROGRAM ACCOMPLISHMENTS:

DPHRT: In FY 07, 5 awards were made. In FY 08, 5 non-competing continuation awards are anticipated. SSOHWA: In FY 07, 18 non-competing continuation awards were made. In FY 08, 18 non-competing continuation and an estimated 12 one-year awards will have been made. In FY 09, it is anticipated that approximately \$4.6 million will be awarded to fund 25 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

DPHRT: John R. Kress, MHA, Program Officer, Geriatrics and Public Health Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-36, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6864 and E-mail address jkress@hrsa.gov. SSOHWA: Jerald Katzoff, Program Officer, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 9A-21, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-4443 and E-mail address jkatzoff@hrsa.gov.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: Telephone: (301) 443-2385; Research and Training Branch: Telephone: (301) 443-3099; Government and Special Focus Branch: Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

SSOHWA: The 18 projects awarded in FY 06 incorporated one or more of the following twelve oral health workforce activities: (1) loan forgiveness and repayment programs for dentists who serve in dental health professions shortage areas and who agree to provide services to patients regardless of the patient's ability to pay; (2) recruitment and retention efforts; (3) grants, low-interest and no-interest loans to help providers who participate in Medicaid program establish and expand dental practices; (4) establish or expand dental residency programs in States without a dental school; (5) programs to expand or establish oral health activities in dental health professional shortage areas such as community-based dental facilities, free standing dental clinics, mobile or portable dental clinics, and private dental services to enhance capacity through additional equipment or hours of operation; (6) placement and support of dental students, residents, and advanced dental trainees; (7) continuing dental education including distance-based education; (8) practice support through teledentistry; (9) community-based prevention services such as water fluoridation and dental sealant programs; (10) coordination with education systems to promote children going into oral health or science professions; (11) establish faculty recruitment programs in dental training institutions noted for community outreach and serving the underserved; and (12) develop or augment an existing state dental officer to coordinate State oral health and access issues.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by nonfederal consultant(s) for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Procedure above to obtain a copy of the application.

93.237 SPECIAL DIABETES PROGRAM FOR INDIANS- DIABETES PREVENTION AND TREATMENT PROJECTS

(Indian Health)

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Balanced Budget Act of 1997, Public Law 105-33; Consolidated Appropriation Act 2001, Public Law 106-554; SDPI Reauthorization of 2002 Public Law 107-360 (FY 2004 through FY 2008).

OBJECTIVES:

To promote improved health care among American Indians/Alaska Natives through special diabetes prevention and treatment services projects with objectives and priorities determined at the local level. SDPI Reauthorization of 2002 includes a new initiative. The funding mechanism is a competitive grant program that will provide funding to selected SDPI grantees for a demonstration project to implement and evaluate defined activities in two areas - primary prevention of diabetes in people with prediabetes and prevention of cardiovascular disease in people with diabetes.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Public Law 107-360 funds (FY 2004-2008) to fund: 1) non-competitive grant programs to implement primary, secondary, and tertiary diabetic prevention and treatment and related data collection and 2) competitive demonstration projects to implement and evaluate (a) primary prevention of diabetes in people with prediabetes and (b) prevention of cardiovascular disease in people with diabetes. The award amount will include both direct and indirect costs. Grant funds may not be used for any other purpose. Funding may not be used as matching funds for other Federal programs.

Applicant Eligibility:

The Public Health Service Act, as amended, states that the following groups are eligible to apply for grants: Indian Health Service (IHS) entities: Indian tribes or tribal organizations who operate an Indian Health program. This includes program under a contract, grant, cooperative agreement or compact with the IHS under the Indian Self-Determination Act; and Urban Indian organizations that operate an urban Indian Health program. This includes programs under a grant or contract with the IHS under Title V of the Indian Health Care Improvement Act.

Beneficiary Eligibility:

American Indians/Alaskan Natives will be the ultimate beneficiaries of the funded projects through either prevention or direct treatment services.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87, "State and Local Governments," or OMB Circular No. A-122, for nonprofit organizations.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center hours of operation are Monday-Friday from 7:00 a.m. to 9:00 p.m. (Eastern Standard Time). If you require additional assistance please contact Michelle G. Bulls, Grants Policy Officer at (301) 443-6528 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us. This program is subject to the provisions of either 45 CFR 92 or 45 CFR 74, depending upon the type of applicant organization. Applications will be reviewed by the Division of Grants Operations in Headquarters East, Indian Health Service, for eligibility and compliance with the announcement. Chief Medical Officers (CMOs) and Area Diabetes Consultants (ADCs) in IHS Area Offices will be responsible for programmatic review, addressing the soundness of the proposed services, compliance of proposed service with the legislation, and recommending the proposed costs. Applications will be rated not ranked. Grants will be awarded based on CMOs and ADCs acceptance of the applications.

Award Procedure:

After review and approval, a notice of this grant award is prepared and processed along with the appropriate notification to the public.

Deadlines:

Contact the Division of Grants Operations, Headquarters Office for information regarding application deadline dates. Deadline dates are also noted in the grant announcement.

Range of Approval/Disapproval Time:

Funds are expected to be awarded no later than 45 days after complete applications are received. Awards could be delayed for applications not meeting initial approval.

Appeals:

None.

Renewals:

The 1997 BBA Public Law 105-33 project period is currently up to 5 years with non-competitive renewals projected annually. The HR 4577 Public Law 106-554 project period is projected to be 3 years with non-competitive renewals projected annually. The SDPI Reauthorization of 2002 Public Law 107-360 project period is up to 5 years with non-competitive grants and competitive grants renewals projected annually.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. IHS will distribute the Balanced Budget Act (BBA) of 1997 (Public Law 105-33) funds of \$150 million for a 5-year period based on the following formula: 1) \$1.5 million is set aside for urban programs; and 2) \$30.2 million is available to IHS entities, Indian tribes, or tribal organizations that operate an Indian Health program. IHS will distribute the Consolidated Appropriation Act 2001 (Public Law 106-554) funds based on the following formula: 1) \$70 million in 2001; 2) \$70 million in 2002; and 3) \$100 million in 2003 to IHS entities, urban programs, Indian tribes, and tribal organizations that operate an Indian health program. IHS will distribute the SDPI Reauthorization of 2002 Public Law 107-360 funds based on the following formula: 1) \$23.3 million to competitive grant awards, 2) \$112.3 million to non-competitive grant awards to IHS entities, urban Indian health programs, Indian tribes and tribal organizations that operate an Indian health programs. Funds to the non-competitive grant awards will be utilized to address diabetes prevention and treatment activities. Funds to the competitive grant awards are to be used to implement and evaluate two demonstration projects aimed at 1) prevention of diabetes for patients with prediabetes, and 2) prevention of cardiovascular disease in patients with type 2 diabetes.

Length and Time Phasing of Assistance:

The Public Law 105-33 project was limited to 5 years (total) with annual budget periods. The Public Law 106-554 project was limited to 3 years (total) with annual budget periods. The Public Law 107-360 project is limited to 5 years (total) with annual budget periods.

Reports:

For Public Law 107-360 (fiscal year 2004-2008) non-competitive grant program, an annual interim Program Progress Report, Work Plan and Budget Justification is required. At a minimum the interim Program Progress Report must include: 1) progress made to date on the current budget year's goals and objectives, 2) description of community collaborations, and 3) diabetes prevalence. The Work Plan will describe how the grant funds will be used to continue activities and/or enhance new activities. The Budget Justification must explain all proposed costs. For Public Law 107-360 (fiscal year 2004-2008) competitive grant program, an annual interim Program Progress Report, Work Plan and Budget Justification is required according to the specific guidance and direction provided by the Coordinating Center annually. In addition, all competitive grant

programs are required to complete and submit an annual Assessment Tool.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," non-federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records of the grant must be retained for 3 years after submission of the final expenditure report. If questions remain, such as those resulting from an audit, pertinent records must be kept until the matter is resolved. The Secretary, the Inspector General of the Department of Health and Human Services, and the Comptroller General of the United States or any of their authorized representatives shall have the right to access all records, reports, books, documents, papers, or other records of the grantee, contractor, or subcontractor, or of any entity pertinent to the DHHS grant in order to make audits, examinations, excerpts, and transcripts. In accordance with 45 CFR 92 or 45 CFR 74, Subpart D, as applicable, grantees are required to maintain grant records for 3 years after they submit their final expenditures report. If any litigation, claim, negotiation, audit or other action involving the records have been started before the end of the 3-year period, the records must be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Grants) FY 07 \$112,300,000; FY 08 \$112,300,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Public Law 107-360 funds: Non-competitive grant programs range is \$46,000 to \$5 million and average is \$350,350. Competitive grants receive one of two specified amounts depend on size of demonstration project: \$324,300 or \$397,100.

PROGRAM ACCOMPLISHMENTS:

Of the Public Law 107-360 funds: In FY 2004 and FY 2005, 330 non-competitive grants and 66 competitive grants were awarded. It is estimated that a similar number of grant awards will be made in FY 2006, FY 2007, and FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 74; Public Health Service Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000 (Rev.) April 1, 1994.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Dr. Kelly Acton, Director, Division of Diabetes Treatment and Prevention, 5300 Homestead Road, NE., Albuquerque, New Mexico 87110. Telephone: (505) 248-4182. Fax: (505) 248-4188. Grant Management contact: Ms. Kimberly Pendleton, Senior Grants Management Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204. Fax: (301) 443-9602.

Web Site Address:

IHS Division of Diabetes Treatment and Prevention: <http://www.ihs.gov/medicalprograms/diabetes>. IHS Division of Grants Operations and Grants Policy: <http://www.ihs.gov/NonMedicalPrograms/gogp>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Non-competitive grant programs: Examples include primary prevention efforts to prevent the onset of diabetes in people at risk for the disease and have not been diagnosed with diabetes (such as nutrition and exercise programs); or secondary prevention efforts to prevent complications of diabetes such as kidney disease, eye disease, heart disease, and amputations in patients diagnosed with diabetes; and tertiary prevention efforts to prevent or delay morbidity and mortality in patients with diabetes already having complications from the disease. Competitive grant programs: Two types of demonstration programs have been funded: 1) prevention of diabetes in patients diagnosed with prediabetes and 2) prevention of cardiovascular disease in patients diagnosed with type 2 diabetes.

CRITERIA FOR SELECTING PROPOSALS:

For Public Law 107-360 funds (from FY 2004 through 2008), all grant programs reapply for continuation funding only.

93.238 COOPERATIVE AGREEMENTS FOR STATE TREATMENT OUTCOMES AND PERFORMANCE PILOT STUDIES ENHANCEMENT

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN
SERVICES

AUTHORIZATION:

Public Health Service Act, Section 1935(b)(1)(C), as amended, 42 U.S.C 300-35.

OBJECTIVES:

To enable project States to collect information on SAPT Block Grant funded treatment services and monitor common substance abuse treatment effectiveness data measures across various State management information systems (MIS). This program will support evaluation programs to design or enhance State MISs or Outcome Management Systems which examine treatment effectiveness and costs through standardized performance and outcome measures while incorporating these common data measures on an inter-State (i.e., with the States acting mutually together) basis.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds (including direct costs and allowable indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities that will support evaluation programs to design or enhance State management information systems or outcomes monitoring systems which examine treatment effectiveness and costs through standardized performance and outcome measures while incorporating these common data measures on an inter-State basis. Funds may not be used to supplant current funding for existing activities. Funds may not be used to pay for services except for additional costs created by the research and may not be used for the purchase of a facility to house any portion of the proposed project. Any funds proposed for the purpose of renovation expenses must be detailed and linked directly to programmatic activities. Any lease arrangements in association with the proposed project utilizing these funds may not extend beyond the project period or cover non-programmatic activities. Construction costs are not allowed.

Applicant Eligibility:

Project State applicants are Single State Authorities, Technical Assistance Center applicants are domestic private nonprofit (and for-profit) organizations such as community-based organizations, universities, colleges, and hospitals.

Beneficiary Eligibility:

Recipients of State governments.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

All applicants must use application form PHS 5161-1 (Rev. 7/2000). Application kits containing the necessary forms and instructions may be obtained by contacting: The National Clearinghouse for Alcohol and Drug Information, P.O. Box 2345, Rockville, MD 20857 at telephone: 1 (800) 729-6686. Applications will be objectively reviewed by initial review group(s) composed primarily of nonfederal experts.

Award Procedure:

Cooperative agreements in support of State applications are recommended for approval by CSAT to the AOD Single State Agency. Cooperative agreements in support of technical assistance center applications are recommended for approval by the initial review groups and awarded by CSAT to domestic private nonprofit (and for-profit) organizations such as community-based organizations, universities, colleges, and hospitals.

Deadlines:

Contact the Headquarters Office listed below for application deadline.

Range of Approval/Disapproval Time:

The range is from 120 days to 180 days from receipt date to award date.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program does not require a formula. Matching is not required.

Length and Time Phasing of Assistance:

Applications will be accepted for a 3-year period with 12-month budget periods. Annual awards will be made subject to continued availability of funds and progress achieved.

Reports:

Interim and final progress reports and financial status reports will be required as specified in the PHS Grants Policy Statement requirements. To permit compliance with the Government Performance and Results Act, grantees will be required to supply necessary data about certain grant processes and/or outcomes

in their regularly scheduled program progress reports. Instruction on the data to be supplied will be communicated to grantees by the funding Center shortly after the award is made.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. In addition, 45 CFR 74.26 requires that for-profit recipients and subrecipients have an audit performed in accordance with Government Auditing Standards or Circular No. A-133.

Records:

There is a 3-year record retention requirement. Records shall be retained beyond the 3-year period if the final audit has not been done or findings resolved.

Account Identification:

75-1362-0-1-550.

Obligations:

(Grants) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$300,000 to \$500,000; \$459,839.

PROGRAM ACCOMPLISHMENTS:

Zero awards for 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 96.74 and the PHS Grants Policy Statement. Guidelines and instructions are included in the application kits available by request from: The National Clearinghouse for Alcohol and Drug Information, P.O. Box 2345, Rockville, MD 20857. Telephone: (301) 468-2600 or 1 (800) 729-6686.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program contact: Dr. Mickey Smith, Division for State and Community Assistance, Center for Substance Abuse Treatment, 1 Choke Cherry Road, Telephone: (240) 276-2406. Grants Management contact: Kimberly Pendleton, Division of Grants Management, Office of Program Support, Substance Abuse and Mental Health Services Administration, 1 Choke Cherry Road, Room 7-1091 Telephone: (240) 276-1421.

Web Site Address:

<http://www.samhsa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Some examples of subject areas funded are: 1) Enhancement of an Outcomes Monitoring System (OMS) that is sustainable, affordable and that allows continual tracking and reporting on the effectiveness of the State's substance abuse treatment providers; (2) development of a standardized approach for systematically measuring the performance of substance abuse treatment providers and the treatment outcomes of clients as they progress through the State treatment system; and (3) measuring patient status in the Addiction Severity Index's seven domains at both baseline and at a 12-month, post baseline follow-up.

CRITERIA FOR SELECTING PROPOSALS:

Project applications will be reviewed, rated and ranked. Criteria for technical merit review of individual projects will include: understanding the proposed project; merit and appropriateness of project plan; appropriateness of project design and analysis of plan; planning and management; reasonableness and appropriateness of budget; and program evaluation. In addition, the award criteria will include availability of Federal funds and geographic distribution throughout the United States.

93.239 POLICY RESEARCH AND EVALUATION GRANTS**FEDERAL AGENCY:**

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Section 1110, 42 U.S.C. 1310.

OBJECTIVES:

To support research that is relevant to policy development and evaluation of current and proposed programs of interest to the Secretary, the Administration, and the Congress. Priorities include: (1) Issues of long-term care, disability, and personal assistance services, including informal care giving; (2) health care delivery issues including health care financing; (3) welfare reform outcomes and policies affecting children and youth; (4) community development; (5) science policy development; and (6) the reduction of poverty.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may not be used for construction or renovation of buildings. This program is subject to the provisions of 45 CFR 74, and 45 CFR 92 as well as OMB Circular No. A-102 (Administrative Guidelines for States and Local Governments), and OMB Circular A-110 (Administrative Guidelines for Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations).

Applicant Eligibility:

Assistance may be provided to State and local governments, institutions of higher education, nonprofit organizations and individuals. Occasionally, awards are made to for-profit organizations when deemed by the Assistant Secretary to be consistent with the legislative intent and purpose of the program.

Beneficiary Eligibility:

Federal, State and local governments will benefit. (Note: Projects do not usually provide any direct assistance to individuals.

Credentials/Documentation:

Nonprofit organizations must provide evidence of tax-exempt status. When projects involve the collaborative efforts of more than one organization or require the use of services or facilities not under the direct control of the applicant, written assurances of specific support or agreements must be submitted by the affected parties.

Preapplication Coordination:

No preapplication coordination is required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are submitted in response to specific announcements published in the Federal Register. All applications must be submitted using the Department of Health and Human Services Standard Form 424. Each announcement provides specific additional instructions regarding the contents of the narrative description of the project, budget justification and other required information.

Award Procedure:

Official notice of approved application is made through the issuance of a Notice of Grant Award or a Notice of Cooperative Agreement.

Deadlines:

None. Each announcement specifies the due dates applicable to that announcement.

Range of Approval/Disapproval Time:

The range is from 60 to 90 days.

Appeals:

None.

Renewals:

Extensions to the project period may be made if deemed appropriate by the Assistant Secretary for Planning and Evaluation.

Formula and Matching Requirements:

Awardees are required to share in the cost of projects, except where the grantee is exempt by Federal statute from cost-sharing requirements. Cost-sharing requirements are indicated in each grant announcement.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are generally funded on a 12-month basis. Support beyond the first year is contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and the availability of funds.

Reports:

Grantees must submit annual progress reports. Expenditure reports are required 90 days after the end of each budget period. Comprehensive final reports are due no later than 90 days after the completion of the projects.

Audits:

All fiscal transactions identifiable as Federal financial assistance are subject to audit by the DHHS audit agency.

Records:

In accordance with 45 CFR Part 74.53 and 45 CFR Part 92, grantees are to maintain accounting records for a minimum of 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Grants) FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$300,000 to \$1,200,000; \$575,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grant administration policies (45 CFR 74 and 92) and application kits may be obtained from the ASPE Grants Management Specialist, LTS, National Institute of Allergy and Infectious Diseases, NIH, DEA, DHHS, 6700B Rockledge Drive, Room 3220, Bethesda, MD 20892-7614. Additional information about the mission and activities of the Office of the Assistant Secretary for Planning and

Evaluation is available on its website at <http://aspe.hhs.gov>.

Regional or Local Office:

Not applicable.

Headquarters Office:

Grants Officer, Office of the Assistant Secretary for Planning and Evaluation; Department of Health and Human Services, Hubert H. Humphrey Bldg., Room 405F, 200 Independence Ave., SW., Washington, DC 20201. Telephone: (202) 690-8794.

Web Site Address:

<http://aspe.hhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In fiscal year 2005 grants were awarded to 4 States for closeout assistance of the State innovation grants. Funding was also provided to the national and area poverty centers.

CRITERIA FOR SELECTING PROPOSALS:

The rating criteria and the weight attached to each criterion is included in the Federal Register announcement that requests project proposals. The criteria typically include such concerns as the significance and usefulness of the project; the appropriateness of the intervention/measurement methodology and design; the qualifications of personnel and the sponsoring organization; and the likelihood that the work plan and budget will produce the intended results.

93.240 STATE CAPACITY BUILDING

(Site Specific Activities Cooperative Agreement Program)

FEDERAL AGENCY:

AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Sections 104(i)(1)(E), (4), (6), (7), (9), (14) and (15), as amended; Superfund Amendments and Reauthorization Act of 1986, 42 U.S.C. 9604; Resource Conservation and Recovery Act, Section 3109(b) and (c), as amended; Hazardous and Solid Waste Amendments of 1984, 42 U.S.C. 6939 (b) and (c).

OBJECTIVES:

To fulfill the mandated objectives of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA) and the Superfund Amendments and Reauthorization Act (SARA) of 1986, as amended, in coordination with Agency for Toxic Substances and Disease Registry (ATSDR), by assisting public health agencies to build capacity to conduct (1) Health consultations, (2) public health assessments, (3) exposure investigations, (4) community involvement, (5) health education, and (6) public health studies.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The uses are to strengthen State health agency environmental health programs. Services include public health assessments, consultations, exposure investigations, health education, and follow-up health investigations/studies. Funds may be expended for reasonable program purposes, such as personnel, travel, supplies and services. Funds for contractual services may be requested. However, the awardee, as the direct and primary recipient of PHS grant funds, must perform a substantive role in carrying out project activities and not merely serve as a conduit for an award to another party or provide funds to an ineligible party. Equipment may be purchased with cooperative agreement funds; however, the equipment must meet applicable Federal requirements. These funds may not be used by the recipient to conduct activities at any Federal site where the State is a party to litigation at the site.

Applicant Eligibility:

Eligible applicants are the official public health agencies of States or their bona fide agents or instrumentalities, to include the District of Columbia, American Samoa, the Commonwealth of Puerto Rico, the Virgin Islands, the Federated States of Micronesia, Guam, the Northern Mariana Islands, the Republic of the Marshall Islands, and the Republic of Palau, and the Federally-recognized Indian tribal governments.

Beneficiary Eligibility:

Beneficiaries are individuals and/or families living in communities near or in proximity of Superfund sites.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

No preapplication is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs". An applicant should contact the office or Official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants must use application Form PHS 5161-1. Application packets are available from: Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Rd., Room 3000, Mailstop K-75, Atlanta, GA 30341. By formal agreement, the CDC Procurement and Grants Office will act for and on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR, determines applications to be approved and the priorities for funding. When an application is approved for funding, the Grants Management Officer, CDC, acting as the agent for ATSDR, will prepare a Notice of Award.

Deadlines:

Contact the Headquarters Office identified below for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 to 90 days.

Appeals:

None.

Renewals:

Awards are made for project periods from one to five years. Renewal awards cannot be made beyond the project period without competition.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

The annual awards are for a 12 month budget period within a three to five year project period. Noncompetitive continuation awards within the project period are made on the basis of satisfactory progress and availability of funds.

Reports:

Annual progress and financial status reports are required no later than 90 days after the end of each budget period. An original and two copies of the final financial status and performance reports are due no later than 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for at least 10 years in accordance with EPS's "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies". Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon request of the office of Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

(Grants) FY 07 \$10,894,064; FY 08 \$12,681,515; and FY 09 est \$11,500,000.

Range and Average of Financial Assistance:

\$150,627 to \$700,000; \$350,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2003, there were a total of 33 new competitive awards. It is anticipated that there will be 33 noncompetitive continuation awards in fiscal year 2004 and 2005.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are set forth in 45 CFR 92 and 40 CFR 35, Subpart O. Guidelines are available in the application kits. PHS Grants Policy Statement (Revised, April 1, 1994).

Regional or Local Office:

Not applicable.

Headquarters Office:

Ms. Joan Flesner, Public Health Analyst, Division of Health Assessment and Consultation, Agency for Toxic Substances and Disease Registry, 1600 Clifton Road, NE., Mailstop F-59, Atlanta, Georgia 30333. Telephone: (770) 488-0739 Fax: (770) 488-1544. E-mail address: JFlesner@cdc.gov. Grants Management Contact: Mildred Garner, Grants Management Officer, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488-2745. Fax: (770) 488-2777.

Web Site Address:

<http://www.atsdr.cdc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

States conduct public health evaluations on National Priorities List (NPL) sites, sites that ATSDR have been petitioned to assess, Superfund Accelerated Cleanup Model (SACM) sites, and other Comprehensive Environmental Response, Compensation, and Liability Information System (CERCLIS) sites.

CRITERIA FOR SELECTING PROPOSALS:

Applications for core activities only were reviewed and evaluated based on the following criteria: (1) Proposed Program: (a) Merit scientific and technical merit of the proposed project to perform public health assessments, consultations, exposure investigations, health education and public health studies consistent with ATSDR guidance and in a timely manner. Applicant's ability to evaluate the public health impact of hazardous waste sites using health, environmental, and demographic data, and health-related concerns from the local community. (b) Requirements Applicant's understanding of the requirements, objectives, and complexities of the interactions required for a successful program. c) Collaboration Applicant's plan to collaborate with political and private subdivisions of Federal, State, and local health and environmental agencies and community groups to obtain information needed for evaluating the public health impact of hazardous waste sites, disseminate results of findings, and prevent exposure if identified. (2) Program Personnel: The principal investigator or project director and his/her ability to devote time and effort to provide effective leadership, and the qualifications of the support staff. (3) Applicant Capability: Adequacy and commitment of institutional resources, facilities, space, and equipment necessary for conducting the project are available and sufficient. (4) Program Budget: Extent to which the budget is reasonable, clearly justified, and consistent with intended use of funds. The priority order for funding cooperative agreements was as follows: (a) Number of proposed and/or listed National Priority List (NPL) sites (Federal and nonfederal) based on the most current EPA list, (b) number of Comprehensive Environmental Response, Compensation, and Liability Information System (CERCLIS) sites (Federal and nonfederal) based on the most current EPA list; (c) applicants who applied for both Core Activities and optional Activities; and (d) geographic distribution across the United States. (5) Human Subjects: Applicant's plan for adequate protection of human subjects. Applications for conducting Core Plus Optional Activities were reviewed and evaluated based on the following criteria: Proposed Program: In addition to the criteria outlined above for Core Activities, the applicant had to indicate an understanding of and capability for conducting human health studies as contained in the proposed site-specific protocol which had to include: (a) The approach, feasibility, adequacy, and rationale for the proposed study design, (b) the technical merit of the proposed study, (c) the proposed timeline, including measurable objectives, (d) proposed method for disseminating the results of the study.

93.241 STATE RURAL HOSPITAL FLEXIBILITY PROGRAM**FEDERAL AGENCY:**

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Balanced Budget Act of 1997, Section 4201, Public Law 105-33. Reauthorized in 2003 in Public Law 108-173.

OBJECTIVES:

To help States work with rural communities and hospitals to develop and implement a rural health plan, designate critical access hospitals (CAHs), develop integrated networks of care, improve emergency medical services and improve quality, service and organizational performance.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for the purposes outlined in the approved projects.

Applicant Eligibility:

States that have submitted a State Rural Health Plan to the Centers for Medicare and Medicaid Services (CMS) can apply. All other States need to submit an application to the Regional Administrator of their CMS Regional Office that expresses the State's interest in developing a Medicare Rural Hospital Flexibility Program before they can apply for grant funds.

Beneficiary Eligibility:

States with at least one hospital located in a non-metropolitan statistical area or county and provides CMS with necessary assurances.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Office of Rural Health. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Applications are evaluated by Federal and nonfederal experts. All acceptable applications will be funded. Final decisions on level of funding are made by the Director, Office of Rural Health Policy.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 3 to 5 months.

Appeals:

None.

Renewals:

Flex grant awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made annually. Funds are available to grantees on an as needed basis throughout the budget period and payments are made through the DHHS Payment Management System.

Reports:

A performance progress report is submitted with each noncompeting continuation application. A financial status report is to be submitted within 90 days after the close of the budget period. A final program report is to be submitted within 90 days after the close of the project period. In addition, grantees are required to participate in a national program evaluation.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Flex Grants) FY 07 \$22,050,000, FY 08 est \$21,600,000; and FY 09 est \$21,600,000.

Range and Average of Financial Assistance:

\$260,000 to \$650,000; \$490,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 45 continuation awards were made to States. It is estimated that 45 awards will be made in FY 08 and FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Program Contact: Steve Hirsch, Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Room 9A-55, Rockville, MD 20857. Telephone: (301) 443-0835.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

States have increasingly used their Flex resources for EMS activities, including training programs, needs assessments, and network building.

CRITERIA FOR SELECTING PROPOSALS:

Contact Headquarters for selection criteria.

93.242 MENTAL HEALTH RESEARCH GRANTS

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title III, Section 301, Public Law 78-410, 42 U.S.C. 241, as amended; Small Business Research and Development Enhancement Act of 2000, Public Law 106-554.

OBJECTIVES:

To increase knowledge of basic brain and behavioral processes that underlie mental and behavioral disorders; to support epidemiological studies of biological and environmental risk factors; to translate basic and epidemiological research into the development of new diagnostics and interventions for preventing and treating mental disorders; to conduct clinical trials for testing the efficacy and effectiveness of interventions in controlled and real-world settings; and to conduct research to improve mental health service delivery and use. Research supported by the National Institute of Mental Health may employ theoretical, laboratory, clinical, epidemiological, and field studies. Studies may involve individuals with a mental disorder diagnosis, individuals with symptom levels that do not meet diagnostic thresholds, and healthy individuals of all ages. Research also may involve animal, computational, and mathematical models appropriate to the system being investigated and the state of the field. Areas eligible for research support are: basic and clinical neuroscience; genetics; geriatrics research; adult psychopathology; experimental therapeutics; developmental, behavioral, clinical, and epidemiological research relevant to mental disorders, behavioral disorders, and HIV/AIDS, in terms of the causes, prevention, treatment, and service delivery; basic (brain and behavior), clinical, and services research on the mental health problems of women, minorities, and rural populations; and research designed to eliminate mental health disparities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

(1) Research project grants provide support for clearly defined projects by a named investigator in an area representing his/her specific interests and competencies. (2) Exploratory/Development and Clinical Exploratory/Developmental Grants encourage the development of new research activities in categorical program areas; (3) Small Research Grants provide research support specifically limited in time and amount for studies in categorical program areas; (4) Collaborative Clinical Research Grants support evaluations of various methods of therapy and/or prevention; (5) Program Project and Center grants support large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus; and (6) Cooperative Agreements support single or a group of related research activities with greater involvement of federal staff. Standard small grants are limited to \$50,000 direct costs for a period of 2 years or less, while other small grants are for less time and funds. SBIR and STTR grants are awarded in two stages: Phase I grants are awarded to establish the technical merit and feasibility of a proposed research and development effort; only Phase I awardees are eligible to receive Phase II support.

Applicant Eligibility:

Public, private, profit, or nonprofit agencies (including State and local government agencies), eligible Federal agencies, universities, colleges, hospitals, and academic or research institutions may apply for research grants. SBIR grants can be awarded only to domestic small businesses, and STTR grants can be awarded only to domestic small businesses which "partner" with a research institution in cooperative research and development. For further definitions, requirements, and restrictions see the SBIR and STTR Omnibus Solicitations of the NIH, CDC, and FDA for SBIR and STTR Grant Applications.

Beneficiary Eligibility:

Public, private, profit, or nonprofit organizations.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs will be determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For all other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Instructions for electronic submission may be found with the federal SF424 (R&R) form packages that are found in the SBIR/STTR funding opportunity announcements. Instructions are provided for SBIR Phase I and Phase II awards, respectively; as well as for STTR Phase I and Phase II awards, respectively.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Most applications must be prepared and submitted electronically. Most applicants use the SF 424 (Research & Related) for electronic submission. Information about the SF 424 form may be found on <http://grants.nih.gov/grants/funding/424/index.htm>, but application packages should be accessed through the appropriate funding opportunity announcement, found either on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>) or through the Grants.gov website (<http://www.grants.gov/>). Some institutions may submit the grant data

directly (using what is called a system-to-system transfer). For further details, see grants.gov or eRA Commons. Application forms for SBIR and STTR grants may be obtained through the SBIR/STTR funding announcements posted on the NIH Guide to Grants and Contracts and the Grants.gov sites (see URLs listed above). All SBIR and STTR applications must be submitted electronically. The timeline for transition to electronic applications and the new forms may be found at: <http://era.nih.gov/ElectronicReceipt/>. For further assistance contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov. Applications for the Resource-related Research Projects (R24) and Cooperative Agreement applications ("U" applications) must be prepared using the PHS 398 research grant application instructions and forms (Revised 9/2004 with an update on 4/2006). The PHS 398 is available at <http://grants1.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. Consultation on a proposed project may be obtained from the NIMH branch or office responsible for the research area of interest. Applications are reviewed by principally nonfederal consultants recruited nationwide from the mental health field. The amounts of the award and period of support are determined on the basis of merit of the project and the nature of the grant mechanism. Electronic applications are submitted through the Grants.gov website. Completed PHS 398 applications should be submitted to the National Institutes of Health, Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710 or Bethesda, MD 20817 (for express/courier service). This program is subject to the provisions of 45 CFR, Part 92 for State and local governments, OMB Circular No. A-110 for nonprofit organizations, cost principles of A-21 for educational institutions, and 42 CFR, Part 42.

Award Procedure:

All applications for research grants, cooperative agreements, SBIR and STTR grants are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by the National Advisory Mental Health Council (excepting Small Grants). All competitive applications compete for available funds on the basis of scientific and technical merit, program relevance, and program balance. All SBIR and STTR applications receiving a priority score compete for set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

New R01 Applications: February 5, June 5, and October 5. Renewal, Resubmission, and Revision R01 Applications: March 5, July 5, and November 5. New R03, R21, R33, R21/R33, R34 and R36 Applications: February 16, July 16, and October 16. Renewal, Resubmission, and Revision R03, R21, R33, R21/R33, R34, and R36 Applications: March 16, July 16, and November 16. SBIR and STTR: April 5, August 5, and December 5. R13/U13 Applications: April 12, August 12, December 12. AIDS Applications: January 7, May 7, and September 7.

Range of Approval/Disapproval Time:

Applications: From 240 to 270 days from submission of application. SBIR/STTR applications: About 7- 1/2 months; Mental Health Education Programs and Various/Small Grants: From 5 to 6 months. Review of AIDS-related research is expedited.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH homepage <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Support is recommended for a specified project period, not in excess of 5 years. Prior to termination of a project period, the grantee may apply for renewal of support for a new project period. An application for renewal is processed as a new competing request. Small grants, exploratory, and dissertation grants are for 1-3 years (depending on program) and are not renewable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Varies, with a project periods ranging from 1 to 5 years. Funding commitments are made annually. SBIR: Generally, Phase I awards are for 6 months, and Phase II awards are for 2 years. STTR: Generally, Phase I awards are for 1 year and Phase II awards are for 2 years.

Reports:

Reports must be submitted as follows: (1) Interim progress reports annually as part of a non-competing application for previously recommended support; (2) terminal progress report within 90 days after end of project support; (3) annual financial status report within 90 days after termination of annual grant for some programs. In addition, immediate and full reporting of any inventions is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in its fiscal year in Federal awards shall have a

single or program-specific audit conducted for that year in accordance with the provisions of this circular. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. Per the regulations, a for-profit (commercial) organization is subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant. For-profit organizations have two options regarding the type of audit that will satisfy the audit requirements either: (1) a financial related audit (as defined in the Government Auditing Standards, GPO Stock #020-000-00-265-4, <http://www.gao.gov/govaud/ybk01.htm>) of the HHS awards in accordance with Government Auditing Standards, or (2) an audit that meets the requirements contained in OMB Circular No. A-133(<http://www.whitehouse.gov/OMB/circulars/a133/a133.html>).

Records:

Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0892-0-1-552.

Obligations:

(Grants) FY 07 \$965,030,435; FY 08 est \$969,462,000; and FY 09 est \$963,287,000.

Range and Average of Financial Assistance:

\$30,000 to \$2,297,271; \$387,718.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, NIMH made 94 SBIR/STTR awards totaling \$28,655,126; no instrumentation awards were made in fiscal year 2007. NIMH funded 2,489 grants in fiscal year 2007, and an estimated 2,430 grants will be funded in fiscal year 2008. An estimated 2,371 grants will be funded in fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52. NIH Grant Policy Statement (Revised 12/2003). Grants will be available under the authority if and administered in accordance with the PHS Grants Policy Statement and federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Applications; and Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer Grant Applications.

Regional or Local Office:

Not applicable.

Headquarters Office:

Dr. Ellen Stover, Director, Division of AIDS and Health and Behavior Research (Branch/Centers: Health and Behavior Research; Health and Behavior Research Training and Career Development; Health and Behavior Small Business Innovation Research and Small Business Technology Transfer). Center for Mental Health Research on AIDS: Branches: HIV Pathogenesis, Neuropsychiatric and Treatment; Primary Prevention; Secondary Prevention and Translation; Other Programs: AIDS Research Centers; AIDS Small Business Innovation Research and Small Business Technology Transfer; AIDS Research Training; AIDS Fellowship Program. Telephone: (301) 443-9700. Dr. Wayne Goodman, Director, Division of Adult Translational Research and Treatment Development (Branches: Adult Psychopathology and Psychosocial Intervention Research; Clinical Neuroscience Research; Geriatrics Research; Experimental Therapeutics. Other Programs: Traumatic Stress Disorders; Research Training and Career Development; Small Business Innovation Research and Small Business Technology Transfer); Telephone (301) 443-9700. Dr. Linda Brady, Director, Division of Neuroscience and Basic Behavioral Science (Branches: Molecular, Cellular, and Genomic Neuroscience Research; Behavioral Science and Integrative Neuroscience Research; Genomics Research. Other Programs: Office of Research Training and Career Development; Office of Cross-cutting Science and Scientific Technology, including the Human Brain Project; Small Business Innovation Research; Small Business Technology Transfer, Molecular Libraries and Imaging Roadmap); Telephone (301) 443-3563. Dr. Mary Ellen Oliveri, Director, Division of Developmental Translational Research (Branches: Neurodevelopmental Disorders; Psychosocial Stress and Related Disorders, Affective and Regulatory Disorders. Other Programs: Autism STAART Centers; Child Abuse and Neglect; Research Training and Career Development; Small Business Innovation Research and Small Business Technology Transfer); Telephone: (301) 443-5944. Dr. Phil Wang, Director, Division of Services and Intervention Research (Branches: Services Research and Clinical Epidemiology; Adult Treatment and Preventive Intervention Research; Child and Adolescent Treatment and Preventive Intervention Research. Other Programs: Office of Research Training and Career Development; Small Business Innovation Research and Small Business Technology Transfer. Other Units: Clinical Trials Operations and Biostatistics Unit); Telephone (301) 443-6233. Dr. Jane Steinberg, Director, Division of Extramural Activities; Telephone (301) 443-3367. (Branches: Extramural Review Branch; Grants Management Branch. Other units: Special Projects; Extramural Policy; Staff Development). Office of the Director, NIMH: Dr. Della Hann, Director, Office of Science Policy, Planning, and Communications; Telephone: (301) 443-4335. Dr. Karen Babich,

Director, Office of Global Mental Health; Telephone: (301) 443-7725. Ms. Gemma Weiblinger, Director, Office of Constituency Relations and Public Liaison; Telephone: (301) 443-3673. Dr. Robert Heinssen, Director, Office of Prevention; Telephone: (301) 435-0371. Dr. Ellen Stover, Director, Office on AIDS; Telephone: (301) 443-9700. Dr. Ernest Marquez, Director, Office for Special Populations, and Director, Office of Rural Mental Health Research; Telephone: (301) 443-2847. SBIR/STTR: Dr. Michael Huerta; Telephone: (301) 443-3563. Grants Management Contact: Ms. Rebecca Claycamp, Chief Grants Management Officer, National Institute of Mental Health, NIH, Department of Health and Human Services, 6001 Executive Blvd., Room 6115, MSC 9605, Bethesda, MD 20892-9605; Telephone: (301) 443-2811. Use the same numbers for FTS.

Web Site Address:

<http://www.nimh.nih.gov>.

RELATED PROGRAMS:

93.281, Mental Health Research Career/Scientist Development Awards; 93.282, Mental Health National Research Service Awards for Research Training.

EXAMPLES OF FUNDED PROJECTS:

(1) Basic and clinical neuroscience approaches to normal and disordered behavior; (2) genetic studies of psychiatric disorders; (3) neural bases of major psychiatric disorders; (4) genomic control of CNS development; (5) basic behavioral studies of cognition, emotion, and social behaviors; (6) epidemiological studies of risk and protective factors; (7) prevention of high-risk AIDS behaviors; (8) treatment studies of mental disorders; and (9) mental health services research for the seriously mentally ill.

CRITERIA FOR SELECTING PROPOSALS:

The following consideration will be used in determining projects to be funded:

- (1) Technical merit of the proposed project as determined by peer review;
- (2) Availability of funds; and
- (3) Relevance to program priorities.

93.243 SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES-PROJECTS OF REGIONAL AND NATIONAL SIGNIFICANCE

(PRNS)

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN
SERVICES

AUTHORIZATION:

Public Health Service Act, as amended; Title V, Section 509; 42 USC 290bb and Section 516; Children's Health Act of 2000; Public Law 106-310; and Sections 520 A-J, 581 and 582 of the Public Health Service Act.

OBJECTIVES:

Substance Abuse and Mental Health Service Administration (SAMHSA) was reauthorized by the Children's Health Action of 2000, Public law 106-310. Under this reauthorization, SAMHSA was given the authority to address priority substance abuse treatment, prevention and mental health needs of regional and national significance through assistance (grants and cooperative agreements) to States, political subdivisions of States, Indian tribes and tribal organizations, and other public or nonprofit private entities. Under these sections, CSAT, CMHS and CSAP seek to expand the availability of effective substance abuse treatment and recovery services available to Americans to improve the lives of those affected by alcohol and drug additions, and to reduce the impact of alcohol and drug abuse on individuals, families, communities and societies and to address priority mental health needs of regional and national significance and assist children in dealing with violence and traumatic events through by funding grant and cooperative agreement projects. Grants and cooperative agreements may be for (1) knowledge and development and application projects for treatment and rehabilitation and the conduct or support of evaluations of such projects; (2) training and technical assistance; (3) targeted capacity response programs (4) systems change grants including statewide family network grants and client-oriented and consumer run self-help activities and (5) programs to foster health and development of children.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds (including direct costs and indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities that will provide immediately useful, practical knowledge that service providers need as they wrestle with the rapidly changing health care environment.

Applicant Eligibility:

Public organizations, such as units of State and local governments and to domestic private nonprofit organizations such as community-based organizations, universities, colleges and hospitals.

Beneficiary Eligibility:

Nonprofit organizations.

Credentials/Documentation:

Applicants must provide proof of licensure, accreditation, certification, or

chartering to provide substance abuse and/or mental health services or such other credential documentation as specific for a particular topical area.

Preadapplication Coordination:

A letter of intent from prospective applicants is requested at least 30 days prior to the application receipt date. This program is excluded from coverage under E.O. 12372.

Application Procedure:

All applicants must use application form PHS 5161-1 (rev. 7/00). Application kits containing the necessary forms and instructions may be obtained by contacting: the National Clearinghouse for Alcohol and Drug Information, PO Box 2345, Rockville, MD 20847-2345. Phone: (301) 468-26000 or 1 (800) 729-6686 or the National Mental Health Services Knowledge Exchange Network at 1 (800) 789-2647.

Award Procedure:

Applications for grants and cooperative agreements recommended for approval by the initial review group and concurred in by the appropriate National Advisory Council and the Center Director(s) are awarded directly by the appropriate Center (CMHS, CSAP, CSAT) to the applicant organization.

Deadlines:

Phone (240) 276-1199 for the receipt date for applications.

Range of Approval/Disapproval Time:

Approximately 90-120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Applications will be accepted for a project period of 1 to 5 years with 12 month budget periods. Annual awards will be made subject to continued availability of funds and progress achieved.

Reports:

No more than quarterly.

Audits:

In accordance with the provisions of OMB Circular No A-133 entities that receive financial assistance of \$500,000 or more in a year shall have an audit made in accordance with A-133.

Records:

There is a 3 year records retention requirement; records shall be retained beyond the 3 year period if final audit has not been done or findings resolved.

Account Identification:

75-1362-0-1-550.

Obligations:

(Grants) FY 07 \$480,211,434; FY 08 est \$351,705,645; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$480,211,434 to \$351,705,645; \$226,326,180.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007 1,004 awards were made. It is estimated that 724 awards will be funded in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92 and the HHS Grant Policies.

Regional or Local Office:

None.

Headquarters Office:

CSAP contact: Peggy Thompson (240) 276-2447, CSAT contact: Jack Stein (240) 276-2950, and George Gilbert (240) 276-1681 CMHS contact: Seth Hassett (240) 276-1844. Address: 1 Choke Cherry Road, Rockville, MD 20857. Grants Management contact: Christine Chen, Division of Grants Management, SAMHSA, 1 Choke Cherry Road, Rockville, MD 20857 (240) 276-1401, E-mail: christine.chen@samhsa.hhs.gov.

Web Site Address:

<http://www.samhsa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.244 MENTAL HEALTH CLINICAL AND AIDS SERVICE-RELATED TRAINING GRANTS

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN
SERVICES

AUTHORIZATION:

Public Health Service Act, Title III, Section 303, Public Law 78-410, 42 U.S.C. 242a, as amended.

OBJECTIVES:

(1) Institutions Clinical Training Grants: To encourage mental health specialists to work in areas and settings where severe shortages exist; to increase the number of qualified minority personnel in the mental health professions, and the number of mental health personnel trained to deal with the special problems of children, adolescents, the elderly, the seriously mentally ill, and rural populations; and to assure that the skills and knowledge of mental health personnel are appropriate to the needs of those they serve. (2) State Human Resource Development (HRD) Grants: To assist State mental health authorities in the development of human resources.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used by Clinical Training grantees: (1) To defray institution costs of the training program (personnel, supplies, equipment, travel, and other necessary expenses) according to formula: \$10,008 per predoctoral trainee, \$19,608 to \$32,300 per postdoctoral trainee depending on years of relevant experience; and (2) to provide trainee stipends and other allowances (tuition and fees, etc.) for individuals enrolled in the training program. Funds may be used only for expenses directly related to the training program. Individual Faculty Scholar Awards: Salary support, \$25,000 in educational expenses, etc. State HRD Grants: Varies according to project.

Applicant Eligibility:

Grants are awarded to public or private nonprofit institutions and organizations, and State and local government agencies for training relevant to mental health service priorities in the mental health disciplines of psychiatry, psychology, social work, psychiatric nursing, marriage and family therapy and in specialized areas of high priority and need such as State manpower development projects; and for State mental health agencies to plan, implement, and evaluate systems for mental health services manpower development. Trainees in psychology, psychiatry, psychiatric nursing, social work, or marriage and family therapy unless training program is of limited duration or experimental nature, will be subject to payback requirements of Section 303 of the PHS Act.

Beneficiary Eligibility:

Trainee stipends may be awarded only to citizens or nationals of the United States, or to persons lawfully admitted for permanent residence. Individuals seeking trainee stipend support must apply directly to and be accepted by the training institution, and submit an acceptable statement of appointment of Trainee Form (PHS-2271) a payback agreement, and a Statement of Non-Delinquency on Federal Debt Certification.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR, Part 74, Subpart Q.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 (PHS 5161-1), must be used for applicants that are State and local governments. Application kits, containing the necessary forms and instructions for completing the forms, may be obtained from Grants Management Branch, Center for Mental Health Services (CMHS). Consultation on a proposed project may be obtained from the CMHS branch or center responsible for the training area of interest. Applications are reviewed by nonfederal consultants recruited nationwide from the mental health field. Amount of award and period of support are determined on basis of merit; must also be reviewed by the Center for Mental Health Services Advisory Council. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Grants in support of approved applications are awarded directly to the applicant institution by the Center for Mental Health Services.

Deadlines:

Contact the CMHS Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days after submission of application.

Appeals:

None.

Renewals:

Support of an approved project is recommended for a specified project period, usually not in excess of 3 years. Prior to termination of the project period, grantee may submit application for renewal of support which is processed as a competing renewal application.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Time frames are variable, but project period is generally limited to 5 years or less. Grantee may apply for renewal of support on a competing basis. Within project period a continuation application must be submitted on a noncompeting basis for each year of support. Payments will be made either on a Monthly Cash

Request System or under an Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued shortly after an award is made.

Reports:

Reports must be submitted as follows: (1) Interim progress reports annually as part of a non-competing application for previously recommended support; (2) A final progress report within 90 days after end of the project period; (3) Annual financial status report within 90 days after the end of the budget period. Trainees incurring the payback obligation upon completion of training, report annually on previous year's activities related to service that fulfills payback obligation.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, 45 CFR Part 74.26 requires that for-profit recipients and subrecipients have an audit performed in accordance with Government Auditing Standards or Circular No. A-133.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-1362-0-1-550.

Obligations:

(Grants) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$225,000 to \$300,000; \$250,000.

PROGRAM ACCOMPLISHMENTS:

Six grants were awarded in fiscal years 2005. Six awards are anticipated in fiscal year 2006 and fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 64. Guidelines are included in application kits. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Clinical, Anne Mathews-Younes, Ed. D., Human Resource Planning and Development Branch, Center for Mental Health Services, SAMHSA, Department of Health and Human Services, 1 Choke Cherry Road, Rockville, MD 20857. Telephone: (240) 276-1837. Program Contact: AIDS Training, Barbara J. Silver, Ph.D., HIV/AIDS Program, CMHS, SAMHSA, 1 Choke Cherry Road, Rockville, MD 20857. Telephone: (240) 276-1962. Grants Management Contact: Kimberly Pendleton, Grants Management Officer, SAMHSA, Department of Health and Human Services, 1 Choke Cherry Road, Rockville, MD 20857. Telephone: (240) 276-1421.

Web Site Address:

<http://www.samhsa.gov>.

RELATED PROGRAMS:

93.282, Mental Health National Research Service Awards for Research Training.

EXAMPLES OF FUNDED PROJECTS:

(1) Psychosocial pediatrics for primary care physicians; (2) social work training in community mental health; (3) a graduate program in nursing for ethnic minorities; (4) training foster parents as mental health workers; and (5) an interdisciplinary mental health care education program.

CRITERIA FOR SELECTING PROPOSALS:

The following criteria will be used in determining projects to be funded: (1) Technical merit; (2) facilities and environment for the training program; (3) previous training records; (4) relevance to CMHS priorities; and (5) potential contribution to mental health field.

93.247 ADVANCED EDUCATION NURSING GRANT PROGRAM**FEDERAL AGENCY:**

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 811, 42 U.S.C. 296j, as amended; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

Grants are awarded to eligible institutions for projects that support the enhancement of advanced nursing education and practice including master's and doctoral programs, combined RN/ master's degree programs, post-nursing master's certificate programs, or in the case of nurse mid-wives, in certificate programs in existence on November 12, 1998, to serve as nurse practitioners, clinical nurse specialists, nurse-midwives, nurse anesthetists, nurse educators, nurse administrators, public health nurses, or in other nurse specialties determined by the Secretary to require advanced education.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To meet the costs of projects that support the enhancement of advanced nursing education and practice. For salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and other expenses related to the project. Restricted uses: Indirect costs are allowed for administrative costs incurred as a result of the project, limited to eight percent of direct costs. Student support through stipends, tuition, and fees is not eligible for support.

Applicant Eligibility:

Eligible applicants are collegiate schools of nursing, academic health centers, and other private or public nonprofit entities accredited by a recognized body or bodies or State agency, approved for the purpose of nursing education by the Secretary of Education.

Beneficiary Eligibility:

Accredited schools of nursing, academic health centers and other public or private entities.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing through a Notice of Grant Award issued from the Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Approximately 6 months after receipt of applications.

Appeals:

None.

Renewals:

Competitive continuations may be submitted during the final budget period of the approved project period.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project periods for both new and competing continuation applications are for 3 years.

Reports:

A progress report must be submitted for the second and subsequent budget periods within an approved project period. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$35,598,564 (49 competing and 85 non-competing awards); FY 08 \$40,697,432 (56 new and 94 non-competing continuation awards); FY 09 est \$40,697,432 (40 new and 90 non-competing continuations).

Range and Average of Financial Assistance:

FY 08: Range is \$89,246 to \$618,150; Average is \$271,316.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, there were 134 grantees awarded with an estimated 5,978 students enrolled for the fall 2006 reporting period.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Dr. Irene Sandvold, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 8-C26, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6333.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.124, Nurse Anesthetist Traineeships; 93.358, Advanced Education Nursing Traineeships.

EXAMPLES OF FUNDED PROJECTS:

Nurse Practitioner and Nurse-Midwifery education programs; Clinical Nurse Specialist projects; programs in nurse anesthetist education, nurse educator, nurse administrator, public health nursing, and other nurse specialists determined by the Secretary to require advanced nurse education.

CRITERIA FOR SELECTING PROPOSALS:

Project purposes must be consistent with and promote the purpose identified in the Law. Need must be documented, and the capability of achieving project goals must be described. In making awards of grants under this Section, preference will be given to any qualified applicant with a project that will substantially benefit rural or underserved populations, or help meet public health nursing needs in State or local health departments. This preference will only be applied to applications that rank above the 20th percentile of applications recommended for approval.

93.249 PUBLIC HEALTH TRAINING CENTERS GRANT PROGRAM**FEDERAL AGENCY:**

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Section 766, 42 U.S.C. 295a, as amended; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

To improve the Nation's public health system by strengthening the technical, scientific, managerial and leadership competencies and capabilities of the current and future public health workforce. Emphasis is placed on developing the existing public health workforce as a foundation for improving the infrastructure of the public health system and helping achieve the Healthy People 2010 Objectives. With respect to a public health training center, applicants must agree to: (1) specifically designate a geographic area, including medically underserved populations, e.g., elderly, immigrants/refugees, disadvantaged, to be served by the Center that shall be in a location removed from the main location of the teaching facility of the school participating in the program with a Center; (2) assess the public health personnel needs of the area to be served by the Center and assist in the planning and development of training programs to meet their needs; (3) establish or strengthen field placements for students in public or nonprofit private public health agencies or organizations; and (4) involve faculty members and students in collaborative projects to enhance public health services to medically underserved communities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may support salaries, consultant costs, equipment, and travel as requested to develop and implement a Public Health Training Center. Grant funds may not be used for construction, patient services, nor student tuition or stipends.

Applicant Eligibility:

Accredited schools of public health or other public or nonprofit private institutions accredited for the provision of graduate or specialized training in public health may apply.

Beneficiary Eligibility:

Accredited schools of public health or other public or nonprofit private institutions accredited for the provision of graduate or specialized training will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award issued from the Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 4 to 6 months after receipt of applications.

Appeals:

None.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project periods are for 5 years.

Reports:

Annual progress reports, in the form of HRSA's CPMS/UPR reporting system, are required. Financial status reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$4,507,558; FY 08 est \$4,500,000; and FY 09 est not available.

Range and Average of Financial Assistance:

(FY 2007) \$250,000 to \$500,000; \$350,000 average.

PROGRAM ACCOMPLISHMENTS:

For FY 07, 14 public health training center continuation awards were made. In FY 08, 14 continuation awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

John Kress, MHA, Public Health Advisor, Geriatrics and Public Health Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-36, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6864 and E-mail address at: jkress@hrsa.gov.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

<http://bhpr.hrsa.gov/publichealth>.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria can be found in the program guidance.

93.250 GERIATRIC ACADEMIC CAREER AWARDS**FEDERAL AGENCY:**

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Section 753(c), 42 U.S.C. 294c, as amended; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

To increase the number of junior faculty at accredited schools of allopathic and osteopathic medicine and to promote the development of their careers as academic geriatricians. Awards provide financial incentives for junior faculty to pursue academic careers in geriatrics.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

As provided by Section 753(c)(5) of the authorizing legislation, an individual receiving an award under this subsection shall provide training in clinical geriatrics, including the training of interdisciplinary teams of health care professionals. The provision of such training shall constitute at least 75 percent of the obligations for individuals under this award.

Applicant Eligibility:

An individual must be: (1) Board certified or Board eligible in internal medicine, family practice, or psychiatry; (2) have completed an approved fellowship program in geriatrics; and (3) have a junior faculty appointment at an accredited school of medicine (allopathic or osteopathic medicine).

Beneficiary Eligibility:

Junior faculty at an accredited school of medicine (allopathic or osteopathic medicine).

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award issued from the Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Final decisions are made within 4 to 6 months after receipt of applications.

Appeals:

None.

Renewals:

Progress reports must be submitted each year. Awards are continued for up to five years depending on satisfactory progress and availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project periods are for 5 years.

Reports:

A final report must be submitted within 90 days of the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$6,077,342; FY 08 \$6,034,659; and FY 09 est \$6,287,937.

Range and Average of Financial Assistance:

FY 07: Each award was \$64,153 and in FY 08 each award was \$65,629; and in FY 09 each awardee will receive an estimated \$67,139.

PROGRAM ACCOMPLISHMENTS:

FY 07, 88 new competing awards; FY 08, 87 non-competing continuation awards; and FY 09 an estimated 87 non-competing continuation awards are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

CDR Young Song, Senior Program Management Consultant, Division of Nursing, Health Resources and Services Administration, Room 8C-26, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6333.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Recipients of the Geriatric Academic Career Awards have chosen a wide range of topics in geriatric care to devote to their teaching including, for example: overall geriatric assessment; geriatric rehabilitation; interdisciplinary care for the chronically ill and the development of chronic disease state "glide paths"; effective clinical teaching of palliative care for the elderly; geropharmacy and nutrition; acute care of the elderly; culturally competent care of the elderly; infection control interventions in long-term care; development of reviews on organ system normative aging; special issues in the delivery of rural health care by family practitioners and in the design and implementation of community-based programs that allow the frail elderly to remain in their homes. Awardee activities include traditional academic activities such as curriculum development and participation in administrative duties at their medical schools; providing care and teaching in a wide range of clinical settings; clinical research; participating in educational programs to build their own skills; providing continuing education to already practicing health professionals and working with other sponsored health education programs.

CRITERIA FOR SELECTING PROPOSALS:

(1) Extent to which the applicant's career goals as identified in the career development plan meet the purpose of the Geriatric Academic Career Award to develop into an academic geriatrician who emphasizes teaching geriatrics, including teaching interdisciplinary teams; (2) the extent to which the applicant's previous training and experience will contribute to the achievement of the goals, objectives, and plans described in the applicant's Career Development Plan; and (3) the commitment and expertise of the mentor and institution to provide a supportive environment for the achievement of the applicant's career goals and willingness to meet reporting requirements.

93.251 UNIVERSAL NEWBORN HEARING SCREENING

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 399M of the Public Health Service Act.

OBJECTIVES:

This program supports state programs for reducing the loss to follow-up of infants who have not passed a physiologic newborn hearing screening examination prior to discharge from the newborn nursery. Although more than 95% of newborns are screened for hearing loss in the first few days of life, nationally about 20-40% of infants for whom further assessment is indicated do not return for follow-up. This funding opportunity is to initiate new activities to significantly reduce this loss to follow-up. Support is also provided to one national technical resource center.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

This program supports state programs for reducing the loss to follow-up of infants who have not passed a physiologic newborn hearing screening examination prior to discharge from the newborn nursery. Although more than 95% of newborns are screened for hearing loss in the first few days of life, nationally about 20-40% of infants for whom further assessment is indicated do not return for follow-up. This funding opportunity is to initiate new activities to significantly reduce this loss to follow-up. Applicants should have a relationship with both the Title V programs and the Early Intervention programs.

Applicant Eligibility:

Grants to States and one grant to an organization that will provide technical assistance to the States.

Beneficiary Eligibility:

State organizations will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office of the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Maternal and Child Health. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Applications are reviewed by a committee of experts that are generally nongovernmental. Applications are reviewed based on merit and are ranked according to a point score. Final decisions are made by the Associate Administrator, Maternal and Child Health Bureau.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Final decisions are made 2 to 3 months after receipt of applications.

Appeals:

Not applicable.

Renewals:

Project periods are for 3-5 years. The submission of a progress report and budgetary information will be requested for each continuation year.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made annually, in accordance with the project period method of awarding grants. Payments are made through a Letter-of-Credit or Cash Demand System.

Reports:

Annual program reports, financial status reports, program service reports, and special reports must be submitted as required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 est \$8,453,000; FY 08 est \$8,472,682; and FY 09 \$0.

Range and Average of Financial Assistance:

\$99,404 to \$900,000; \$156,544.

PROGRAM ACCOMPLISHMENTS:

Fifty-four State projects and one national technical assistance project were awarded in fiscal year 2007. In 2007, 93% of infants born in the U. S. were screened for hearing loss prior to hospital discharge. While timely follow-up has improved slightly, obstacles remain. It is anticipated that 49 State projects and the technical assistance project will be funded in fiscal year 2008, and two new State/territory awards are expected. The technical resource cooperative agreement also receives support.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Irene Forsman, Integrated Services Branch, Division of Services for Children with Special Health Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18A-18, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-9023.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: 301-443-2385; Research and Training Branch; Telephone: (301) 443-3099; Government and Special Focus Branch; Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funds have been awarded to State health agencies for implementation of universal statewide newborn hearing screening prior to hospital discharge with linkages to a medical home, audiologic diagnosis by 3 months of age, enrollment in a program of early intervention services and linked to family support by 6 months of age for infants identified with hearing loss.

CRITERIA FOR SELECTING PROPOSALS:

The objective review of all applications includes thorough and consistent examinations of each submission by persons knowledgeable in the field for that support is requested and has no direct relationship with the organization or individual submitting the application and do not have personal or invested interest in the award of a grant to that organization or individual. Peer review is a system that uses reviewers that are the professional equals of the principal investigator or program director and will be responsible for directing or conducting the proposed project. That is a form of objective review. Reviewers may be Federal or nonfederal. Final review criteria are included in the application kit.

93.252 HEALTHY COMMUNITIES ACCESS PROGRAM

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act (42 U.S.C 256), Title 111, Subpart V, Section 340.

OBJECTIVES:

To provide assistance to communities and consortia of health care providers and others to develop or strengthen integrated community health care delivery systems that coordinate health care services for individuals who are uninsured or underinsured, and to develop or strengthen activities related to providing coordinated care for individuals with chronic conditions who are uninsured or underinsured.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds received under the Healthy Communities Access Program (HCAP) are not intended to be used to supplant/replace existing Federal categorical programs that support entities providing services to low-income populations. Grant funds may support justified direct expenses associated with achieving the greater integration of and/or to fill identified or documented gaps in the health care delivery system, so that the proposed integrated delivery system either directly provides or ensures the provision of a broad range of culturally competent services, as appropriate, including primary, secondary, and tertiary services, as well as substance abuse treatment and mental health services. No more than 15 percent of funds provided under the award may be used to provide direct patient care and services. Direct patient care and services include: the provision of patient care services or supplies that are ordinarily reimbursable (e.g., exams, therapy sessions, pharmaceuticals); primary care site development or service expansions (e.g., extended clinic hours); capital equipment used for reimbursable patient care and services (e.g., radiology equipment, ambulances). Grant funds may NOT be used for construction, reserve requirements for state insurance licensure or support for lobbying/advocacy efforts.

Applicant Eligibility:

For an entity to be eligible to receive a new HCAP award, all of the following three requirements must be met: 1. The applicant entity must represent a consortium whose principal purpose is to provide a broad range of coordinated health care services to their defined community's uninsured and underinsured populations. 2. The community-wide consortium represented by the applicant entity must include at least one of each of the following providers that serve the stated community, unless such provider does not exist, declines or refuses to participate, or places unreasonable conditions on its participation: A Federally qualified health center (as defined in section 1861(aa) of the Social Security Act (42 U.S.C. 1395x(aa)); A hospital with a low-income utilization rate (as defined in section 1923(b)(3) of the Social Security Act (42 U.S.C. 1396r-4(b)(3))), that

is greater than 25 percent; A public health department; and An interested public or private sector health care provider or an organization that has traditionally served the medically uninsured and underserved. 3. The applicant entity is neither a current HCAP grantee nor former Community Access Program (CAP) grantee and is proposing to serve either a service area or target population of uninsured and underinsured individuals that has not been exclusively served by a former CAP or current HCAP grant. In addition to the required consortium members listed above, the community-wide consortium should seek partners from all levels of care who provide a range of services (e.g., preventive and primary care, specialty medical care, mental health and substance abuse services, oral health, HIV/AIDS care, social services, transportation, health education), as appropriate for the needs of the community's uninsured and underinsured populations. Existing grantees that have received three consecutive years of funding may request a grant award for not more than one additional fiscal year ONLY under demonstrated extraordinary circumstances. Extraordinary circumstances are an event(s) outside of the control of the eligible entity where the event(s) and impact, or impact alone, occurred during the current budget period and directly prevented the completion of measurable objectives and activities set forth in the applicant's approved grant application. Extraordinary circumstances are limited to: 1) natural disasters, 2) major security or health disruptions, or 3) significant economic deteriorations in the community served that directly and adversely affects the applicant's HCAP project.

Beneficiary Eligibility:

Examples of eligible applicants that may apply on behalf of or participate as members of the community-wide consortium include, but are not limited to: Consolidated Health Center Program including Community Health Centers (CHC), Migrant Health Centers (MHC), Health Care for the Homeless (HCH), Public Housing Primary Care (PHPC) and School-Based Health Centers (SBHC); Rural Health Clinics; free and partial pay clinics; FQHC Look-Alikes; local public health departments and other local government agencies; Tribal governments; public and private hospitals; private provider or group practices; foundations; medical and dental societies; small business organizations; faith and/or community based nonprofit organizations; Primary Care Associations (PCA); Area Health Education Centers (AHEC); universities; and any other relevant stakeholders.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Primary Health Care. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Each applicant will receive written notification of the outcome of the objective review process, including summary of the expert committee's assessment of the application's merits and weaknesses, and whether the application was selected for funding. Applicants who are selected for funding may be required to respond in a satisfactory manner to Conditions placed on their application before funding can proceed. Letters of notification do not provide authorization to begin performance. The Notice of Grant Award, which is signed by the Grants Management Officer and is sent to the applicant agency's Authorized Representative, is the authorizing document.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

No match or cost-sharing required.

Length and Time Phasing of Assistance:

Up to a 3-year Project period with a 12-month budget period for new HCAP grantees, depending upon the availability of Federal dollars. Receipt of continuation funding is contingent on reasonable and demonstrable progress in meeting the goals and measurable objectives set forth in the entity's grant application for the budget period for the preceding fiscal year, annual fund availability and a determination by the awarding office that continued funding of the grant is in the best interest of the Government. No eligible entity funded under HCAP may receive funds for more than three consecutive fiscal years except that entities may request a grant award for not more than one additional

fiscal year (a 4th year of funding) under demonstrated extraordinary circumstances. Extraordinary circumstances are an event (or events) outside of the control of the eligible entity that has prevented the eligible entity from fulfilling the objectives described by such entity in their approved grant application for the budget period for the preceding fiscal year. Granting of 4th year funding under extraordinary circumstances is contingent on demonstrated extraordinary circumstances whose event(s) and impact, or impact alone, occurred during the previous budget period and directly prevented the completion of goals and measurable objectives set forth in the entity's approved grant application for the budget period for the preceding fiscal year. In order to justify such a request, there must be available funds and a determination by the awarding office that continued funding of the grant is in the best interest of the Government and necessary to further the objectives of the HCAP program. Funds may be available to existing HCAP grantees that have experienced an extraordinary circumstance where the event(s) and impact, or impact alone: (1) occurred during the previous budget period; and (2) directly prevented the completion of goals and measurable objectives set forth in the applicants' approved application may request funds in an amount up to 70 percent of their previous year's Continuation Award.

Reports:

Grantees are required to submit an annual report that describes the utilization costs of services provided under the grant, and provide such other information as the Secretary determines appropriate. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and progress reports are due 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

\$355,000 to \$1,200,000.

PROGRAM ACCOMPLISHMENTS:

No grants will be funded in FY 07, FY 08, or FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Please refer to the CAP Web site (www.bphc.hrsa.gov/cap) for information about HCAP.

CRITERIA FOR SELECTING PROPOSALS:

All applications meeting the deadline will be reviewed initially for eligibility and conformance. Those applications that are determined to be ineligible, incomplete, or non-responsive will be returned to the applicant without further consideration. An Objective Review Committee (ORC) will assess each HCAP application based on the review criteria. The maximum score is 100 points. The ORC will review the supporting program specific forms and appendices listed at the end of each review criteria, however, these documents are supplementary in nature and will not be scored. Failure to address the criteria may result in the

application being recommended for disapproval by the ORC. The following are the categories for the criterion that will be used to assess each application for funding for a new HCAP grant: 1 - need (20 Points); 2 - resources/capabilities (15 Points); 3 - response (25 Points); 4 - evaluative measures (15 points); 5 - impact (15 points); 6 - support requested (10 Points). Specifics regarding the review criteria will be made available in the application guidance. HCAP grantees applying for fourth-year funding under extraordinary circumstances must demonstrate the impact of their extraordinary circumstances as well as respond to the following four competing continuation review criteria: 1 - specific program criteria (35 Points); 2 - response (40 Points); 3 - impact (15 Points); and 4: support requested (10 Points). Those competing continuation applications that are determined to be eligible, complete and responsive will have the demonstration of extraordinary circumstances component of the application evaluated by an Objective Review Committee (ORC). Initially, the ORC will evaluate the responses presented and any supporting documentation of the extraordinary circumstance to determine if the applicant meets the provision for extraordinary circumstances. If the ORC determines the applicant does meet the provision for extraordinary circumstances, the technical merits of the proposal will be evaluated by the ORC using the competing continuation review criteria presented in this program guidance with points assigned up to a maximum of 100 points total. If the ORC determines the applicant does not meet the provision for extraordinary circumstances, the technical merits of the application will not be reviewed and therefore, not selected for competing continuation funding. Please note, a determination by the ORC that the applicant meets the provision for extraordinary circumstances is not a guarantee of selection for funding. Applicants that meet the provision for extraordinary circumstances will be selected for funding based on the technical merits of the proposal using the 100-point competing continuation review criteria presented in the program guidance.

93.253 POISON CONTROL STABILIZATION AND ENHANCEMENT GRANTS

(Poison Control Centers, PCC)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Poison Control Centers Enhancement and Awareness Act, Amendments of 2003, Public Law 108-194

OBJECTIVES:

To assist in the promotion of financial stability for poison control centers, support certification of uncertified centers, and improve or increase accessibility to poison prevention and control programs and services.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance provided under this program may be used to support efforts to stabilize their funding structure and improve or increase accessibility to poison prevention and treatment programs and services of PCCs (financial stabilization grants); support certification efforts of non-certified centers in order to improve access to poison education, prevention, and treatment services (certification grants); and encourage the development and implementation of innovations or improvements that expand the organizational capacity of PCCs by strengthening their operational infrastructure, improving their ability to increase access to high quality services and promoting poison prevention education and outreach to high-risk and underserved populations (incentive grants). Only certified poison control centers are eligible to receive an incentive grant.

Applicant Eligibility:

State-designated Poison Control Centers.

Beneficiary Eligibility:

Residents of the 50 United States, Puerto Rico, the District of Columbia, the U.S. Virgin Islands, Guam, American Samoa, and the Federated States of Micronesia.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office of the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov website at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding

recommendations to the Associate Administrator for the Bureau of Healthcare Systems. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Requests will be considered by a committee of generally nongovernmental experts and will be based on strength of the planned use of the requested funds and impact on affected regions or populations. Final decisions will be made by the Associate Administrator.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Final decisions will be made 2 to 3 months after receipt of applications.

Appeals:

None.

Renewals:

Renewals will be determined by the Associate Administrator for the Bureau of Healthcare Systems and extensions are requested in writing addressed to the Grants Management Specialist.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Payments will be made through Smartlink.

Reports:

A final report will be required. Program service reports, and/or special reports must be submitted as required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$12,174,000; FY 08 \$22,605,223; and FY 09 est \$8,900,000.

Range and Average of Financial Assistance:

\$8,393 to \$2,617,386; \$391,908.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 55 grants and 9 cooperative agreements were made. It is estimated that 55 grants and 9 cooperative agreements will be awarded in FY 08 and 55 grants will be awarded in FY 09. Expected accomplishments for FY 07, FY 08 and FY 09 are to improve the financial stability of poison control centers, transition certification grantees to stabilization grant status, and increase accessibility to poison control center services.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Poison Control Program, Healthcare Systems Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 10C-06, 5600 Fishers Lane, Rockville, Md. 20857. Telephone: (301) 443-6192.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16, Health Services Branch: 301-443-2385; Research and Training Branch: 301-443-3099; Government and Special Focus Branch: Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Financial stabilization grants, certification grants, and incentive grants, (see Uses and Use Restrictions for details).

CRITERIA FOR SELECTING PROPOSALS:

Completeness and clarity of the project narrative; practicality and achievability of the plan to use requested grant funds stabilize the PCC financially and increase access to services; clarity and appropriateness of the budget and coordinated budget narrative; strength and adequacy of professional staff and subcontractors; clarity and strength of methodology; and strength of letters of support for any collaborative efforts.

93.254 INFANT ADOPTION AWARENESS TRAINING

(IAATP)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act; Children's Health Act of 2000, Title XII, Subtitle A and B, Section 330F and Section 330G, Public Law 106-310.

OBJECTIVES:

To develop and implement programs to train designated staff of eligible health centers in providing adoption information and referrals to pregnant women on an equal basis with all other courses of action included in non-directive counseling to pregnant women.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grant funds may be used to plan and implement: (1) approved programs directly or through grants or cooperative agreements with other adoption organizations; (2) training curriculum, consistent with best practice guidelines; (3) reimbursement to eligible health centers for all costs in obtaining the training. Project funds may not be used for construction.

Applicant Eligibility:

Nonprofit private national, regional, or local organizations among whose primary purposes are adoption and are knowledgeable in all elements of the adoption process, e.g., types of adoptions, relevant State and local laws regarding relinquishment procedures and putative father involvement and medical and financial resources for birth mothers, and on providing adoption information and referrals to pregnant women.

Beneficiary Eligibility:

Private nonprofit organizations, health professionals, health staff of Federally funded voluntary family planning projects supported under Section 1001 of the Public Health Service Act, staff of community health centers, migrant health centers, and centers providing services to homeless individuals and centers providing services to residents of public housing supported under Section 330 of the Public Health Service Act, PHS-funded school health centers, and public health clinics, or any health professional providing option counseling to pregnant women.

Credentials/Documentation:

Demonstration of 501(c)(3) status or status pending with the IRS.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application procedure can be obtained via the World Wide Web at <http://www.acf.hhs.gov/grants/index.html>.

Award Procedure:

Review is conducted by at least three nonfederal professionals. Final funding decisions will be made by Commissioner, ACYF.

Deadlines:

Application deadlines can be obtained via the World Wide Web at <http://www.acf.hhs.gov/grants/index.html>.

Range of Approval/Disapproval Time:

Approximately 3 months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

One to Five years.

Reports:

Recipients will be required to submit both financial and programmatic performance reports semi-annual.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of 3 years from the date of submission of the final expenditure report.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) Part A: FY 07 \$9,728,000; FY 08 \$9,558,000; and FY 09 est \$9,558,000. Part B: FY 07 \$2,946,000; FY 08 \$2,895,000; and FY 09 est \$2,895,000.

Range and Average of Financial Assistance:

From \$734,407 to \$4,228,357; \$1,621,333.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2006, there were six programs funded for five years under the Infant Adoption Awareness Program. The national program was awarded to Spaulding for Children. There were five regional programs funded. Harmony Adoptions of Tennessee, Inc. will train health care workers in the eight states in DHHS Region IV. Lutheran Social Services of South Dakota will conduct training in the six states in DHHS Region VIII. Arizona's Children Association will train workers in Arizona and Nevada. The National Council for Adoption will conduct Infant adoption awareness training for Region III (DE, DC, MD, PA, VA, WV). Latino Family Institute, Inc. will train services in 11 highly populated, multicultural counties in south California. Spaulding will contract with adoption agencies in the remaining states and territories to conduct the required training. A Unified Adoption Curriculum has been developed and each of the funded programs will base their training approach on that document. In addition, the training has been translated into Spanish and the Latino Family Institute, Inc will review the document for cultural appropriateness. Part B of the Children's Health Act supports the Adoption Photo Listing. Funds were awarded in FY 2006 and will continue based on the availability of funds through 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Pat Campiglia, Administration on Children, Youth and Families, Children's Bureau, 1250 Maryland Avenue, SW., Room 8113, Washington, DC 20024. Telephone: (202) 205-8060 Fax: (202) 260-9345.

Web Site Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Approval of any application will be based on an analysis of the following factors: (1) The administrative and management capability of the applicant to carry out the proposed project in a cost-effective manner; (2) the extent of responsibility to the project requirements, guidelines, and application requirements; and (3) the potential effectiveness of the proposed project in carrying out the training purposes of Section 330F of the Children's Health Act of 2000. In making awards of grants under this Section, preference will be given to any qualified applicant that is nationally recognized as a leader in adoption advocacy and (1) Has a broad based membership of adoptive parents, adopted persons, voluntary licensed adoption agencies (sectarian and non-sectarian) and independent adoption intermediaries; (2) is knowledgeable in all current and historic elements of the adoption process, and on providing adoption information and referrals to pregnant women; (3) has the ability to subcontract with other nonprofit regional and local adoption organizations for providing training to those counseling pregnant women; (4) experience in developing best-practice guidelines on the provision of adoption information with a variety of adoption organizations; (5) experience in developing and disseminating training materials and counseling curricula to diverse providers including federally funded family planning programs; and (6) has experience in arranging for the provision of training on non-directive counseling of pregnant women to staff working in Title X family planning clinics, federally funded Community Health Centers and Migrant Health Centers, centers for the homeless, residents of public housing and PHS funded school health centers. Under Part B of the Health Act, approval of any application will be based on an analysis of the following factors: the administrative and management capability of the applicant to carry out the proposed project in a cost-effective manner; the extent of responsibility to the project requirements, guidelines, and application requirements; and the potential effectiveness of the proposed project in carrying out the purposes of Part B of The Children's Health Act.

93.255 CHILDREN'S HOSPITALS GRADUATE MEDICAL EDUCATION PAYMENT

(CHGME Payment Program)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title III Part D, Section 340E, 42 U.S.C. 256E, as amended; The Children's Health Act, 2000, Public Law 106-310, Healthcare Research and Quality Act, 1999, Public Law 106-129; Public Law 108-90; Social Security Act.

OBJECTIVES:

The Children's Hospitals Graduate Medical Education Payment Program

(CHGMEPP) provides funds to children's teaching hospitals to support the training of pediatric and other residents in graduate medical education (GME) programs. Since Federal financial support of graduate medical education is extensively supported by the Medicare system, the CHGME program compensates for the disparity in the level of Federal funding for pediatric teaching hospitals versus other types of teaching hospitals. The Secretary of DHHS has delegated the authority for the administration of the CHGME payment program to Health Resources and Services Administration (HRSA), which redelegated it to the Bureau of Health Professions (BHP).

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds are restricted to eligible children's hospitals for direct expenses and the other indirect expenses associated with operating approved graduate medical residency programs.

Applicant Eligibility:

Applicants (children's teaching hospitals) must meet the following eligibility requirements in accordance with the Federal Register Notice, Vol. 66, No. 41 published on March 1, 2001: (1) Participate in an approved graduate medical education program; (2) have a Medicare provider agreement; (3) be excluded from the Medicare Inpatient Prospective Payment System (PPS) under Section 1886(d)(1)(B)(iii), of the Social Security Act and its accompanying regulations; and (4) be a "freestanding" children's hospital.

Beneficiary Eligibility:

Any public or private nonprofit and profit children's teaching hospital with an accredited residency training program which meets all eligibility requirements may apply.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov website at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification of award is made in writing by a notice of award letter issued from the headquarters office.

Deadlines:

Contact the Headquarters Office for application deadlines. The CHGME web site will also publish the information ahead of time.

Range of Approval/Disapproval Time:

None.

Appeals:

Hospitals can appeal the determination of FTE resident counts by CHGME fiscal intermediaries to the Provider Reimbursement Review Board (PRRB) under section 1878 of the Social Security Act.

Renewals:

Eligible children's hospitals must complete a CHGME Payment Program application for inclusion in the program for each fiscal year that they are applying for funds.

Formula and Matching Requirements:

Section 340E(a) requires the Secretary of DHHS to make payments for direct and indirect expenses associated with operating approved graduate medical residency training programs for each fiscal year. The two statutory formulas (direct medical education and indirect medical education) payments to eligible children's teaching hospitals are made as outlined in Federal Register Notice (FRN) Vol. 65, No. 118 published on June 19, 2000; FRN Vol. 66, No. 41 published on March 1, 2001; and FRN Vol. 66, No. 140 published on July 20, 2001 and FRN Vol. 67, No. 186, published on September 25, 2002, and FRN Vol. 68, No. 208, published October 22, 2003. The formula was updated to incorporate section 422 of the Medicare Modernization Act (MMA) and updates to variables used in the formulas made by CMS through rules and regulations. This program has no statutory matching requirements.

Length and Time Phasing of Assistance:

In accordance with the Healthcare Research and Quality Act, 1999, Public Law 106-129, the Secretary of DHHS shall determine before the beginning of each fiscal year that payments are made for a hospital. The amounts of the payments for direct and indirect medical education are made to each hospital in 12 equal interim installments during the period. The Children's Health Act, Public Law 106-310, amends the length of the CHGME Payment Program through fiscal year 2005. Payments are made by an Electronic Transfer System.

Reports:

Public Law 109-307 requires children's hospitals receiving CHGME funds to

submit an annual report that focuses on their GME training program. The Department has to submit a report to Congress by 2011.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$297,000,000; FY 08 \$301,000,000; and FY 09 est \$301,000,000.

Range and Average of Financial Assistance:

\$5,982 to \$20,273,990; \$4,737,879 in combined DME and IME payments.

PROGRAM ACCOMPLISHMENTS:

The CHGMEPP made awards to 60 eligible children's teaching hospitals in FY 07. It is estimated that awards will be made to 57 eligible teaching hospitals in FY 08 and FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Marilyn Biviano Ph.D, Director, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9A-05, Rockville, MD 20857. Telephone: (301) 443-6190.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16, Health Services Branch, Telephone: (301) 443-2385; Research and Training Branch, Telephone: (301) 443-3099; Government and Special Focus Branch, Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov; <http://www.bhpr.hrsa.gov/childrenshospitalgme>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Children's teaching hospitals must meet all of the eligibility criteria before being considered for program funds. This is a noncompetitive annual award.

93.256 STATE PLANNING GRANTS HEALTH CARE ACCESS FOR THE UNINSURED

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Law 108-447.

OBJECTIVES:

To support States in the development of plans to provide access to health insurance coverage for all citizens.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The State Planning Grants (SPG) Program provides 3 types of grants. The first type is a 1-year grant for States which have never received SPG funds to develop plans for providing access to affordable health insurance coverage to all citizens. Each State will design an approach that would ensure every citizen in that State has access to affordable health insurance benefits similar in scope to the Federal Employees Health Benefit Plan, Medicaid, benefits offered to State employees, or other similar health plans. At the conclusion of the grant, each State will submit a report to the Secretary of Health and Human Services that identifies the characteristics of the uninsured within its State and proposals for providing them with affordable health insurance coverage. Together, these reports will provide additional data about the characteristics of the uninsured generally and potential models for other States seeking to provide comprehensive coverage. The second and third types are Limited Competition Pilot Project Planning and Planning

funds which are available to existing and former SPG Program grantees. Limited Competition Pilot Project Planning 1-year grants are to support the design and planning of a pilot project to expand health insurance coverage to a significant uninsured population within the State. Limited Competition Planning 1-year grants are for States that have received SPG Program grant funds and need additional resources to complete or expand upon the activities already initiated with previous grant funds. (2) Funding provided through this program may not be used to substitute for or duplicate funds currently supporting similar activities. In addition, grant funds may not be used to support construction, renovation, or modernization costs. Grant funds may support costs such as: a) Project staff salaries; b) consultants; c) project-related travel; d) project evaluation; e) limited equipment and software purchases or leases; and f) coordinating and conducting project-related meetings.

Applicant Eligibility:

The Governor of each State or Territory that has not previously received a The Governor of each State or Territory that has not previously received a State Planning Grant is invited to apply for a New grant. The Governor can designate an individual or agency authorized to prepare the State's application on behalf of the State. Only a State entity can be the official recipient of a grant. Only one application per State is permitted. For Limited Competition Pilot Project Planning Grants, eligible applicants are any public State entity that has previously received a SPG Program grant. Only a State entity, nominated by the Governor, can be the official recipient of a Pilot Project Planning grant. Only one application per State is accepted. All SPG Program applicants that propose a pilot planning project must include letters of support from the Governor and the State legislature for the pilot project. Letters of support are to be provided from the leaders of both the House and Senate legislative bodies, or equivalent representative(s). For Limited Competition Planning Grants, eligible applicants are any public State entity that has previously received a SPG Program grant. Only one application per State is accepted.

Beneficiary Eligibility:

New and Limited Competition Planning grant funding will assist States to develop models for providing access to health insurance coverage for all citizens of the State. Limited Competition Pilot Project Planning grant funding will assist States in the design and planning of a pilot project of an option or options on which consensus has been developed and will expand health insurance coverage to a significant uninsured population within the State. These grants will also have the potential to be broadly applicable to other States working on expansion options for their uninsured.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Healthcare Systems Bureau. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Each of the applications will undergo an eligibility and conformance review by Federal staff at the State Planning Grants Program Office. Applications that have passed the eligibility and conformance review will be assigned to members of an Objective Review Committee (ORC) that will review them based on the evaluation criteria listed in the application guidance. The results of the ORC reviews will be shared with the HRSA Administrator who will make the final decisions.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

The time required for the applications to be approved or disapproved is projected to be 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Funds are available to grantees on an as needed basis throughout the budget period and payments are made through the DHHS Payment Management System.

Reports:

Reports are to be provided as outlined in the grant conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Through FY 05, 52 States, Territories, and the District of Columbia have received SPG Program funds. Of these, 50 conducted household surveys, 29 conducted employer surveys, 34 conducted consumer focus groups, 37 conducted employer focus groups, and 26 States have participated in the Multi-State Integrated Database System, funded for state grantee analysis of data collected. All grantees submit annual reports on their progress in studying the uninsured and developing plans for expanded insurance coverage. In FY 08, this program is not anticipating funding, therefore no notable accomplishments are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Joyce G. Somsak, Director, State Planning Grants Program, Healthcare Systems Bureau, Health Resources and Service Administration, Room 11-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0938. Fax: (301) 443-8196.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The State Planning Grants Program grants awarded in FY 04, and those which will be awarded in FY 05 are available at: www.hrsa.gov/osp under "State Planning Grants Program."

CRITERIA FOR SELECTING PROPOSALS:

Please see program guidance.

93.257 GRANTS FOR EDUCATION, PREVENTION, AND EARLY DETECTION OF RADIOGENIC CANCERS AND DISEASES

(Radiation Exposure Screening and Education Program)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 417C, Public Law 106-245.

OBJECTIVES:

To award grants for the purpose of enabling grantees to carry out programs for: (1) screening individuals described under section 4 (a)(1)(A)(i) or 5(a)(1)(A) of the Radiation Exposure Compensation Act (42 U.S.C. 2210 note) for cancer as a preventative health measure; (2) providing appropriate referrals for medical treatment of individuals screened under paragraph (1) and to ensure, to the extent practicable, the provision of appropriate follow-up services; (3) developing and disseminating public information and education programs for the detection, prevention, and treatment of radiogenic cancers and diseases; and (4) facilitating putative applicants in the documentation of claims as described in section 5(a) of the Radiation Exposure Compensation Act (42 U.S.C. 2210 note).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are awarded to organizations that develop new or expand existing capacity to provide screening, referrals for medical treatment, education, and eligibility assistance for eligible individuals. Restrictions on the Use of Grant Funds: (1) grant funds may not be used to pay for inpatient services; (2) grant funds may not be used to make cash payments to intended recipients of primary health care services or specialty care; (3) grant funds may not be used to supplant other provider/third party coverage payments available to the patient; (4) grant funds may not be used to purchase or improve real property (other than minor remodeling of existing improvements to real property) or to purchase major medical equipment without the approval of the HRSA Grants Management Office; and, (5) not more than 10 percent of any grantee's funds shall be used for legal services to assist users in obtaining benefits under the Radiation Exposure Compensation Program.

Applicant Eligibility:

The following entities, (located within the approved States of Arizona, Colorado, Idaho, Nevada, New Mexico, North Dakota, Oregon, South Dakota, Texas, Utah, Washington or Wyoming) are eligible to apply for the funds: (1) National Cancer Institute-designated cancer centers; (2) Department of Veterans Affairs hospitals or medical centers; (3) Federally Qualified Health Centers; (3) agencies of any State or local government that currently provide direct health care services; (4) IHS health care facilities, including programs provided through tribal contracts, compacts, grants, or cooperative agreements with the HIS and which are determined appropriate to raising the health status of Indians; and (5) nonprofit organizations.

Beneficiary Eligibility:

For purposes of the RESEP, individuals eligible for health screening, education, medical referral, and appropriate follow-up services include an individual who either: (1) was employed in a uranium mine or uranium mill (including any individual who was employed in the transport of uranium ore or vanadium-uranium ore from such mine or mill) located in Colorado, Nevada, New Mexico, Arizona, Wyoming, South Dakota, Washington, Utah, Idaho, North Dakota, Oregon, and Texas at any time during the period beginning on January 1, 1942, and ending on December 31, 1971; (2) was a miller or ore transporter who worked for at least 1 year during the period beginning on January 1, 1942, and ending on December 31, 1971; (3) was physically present in the nuclear arms testing area (which includes, in the State of Utah, the counties of Beaver, Garfield, Iron, Kane, Millard, Piute, San Juan, Sevier, Washington, and Wayne; in the State of Nevada, the counties of Eureka, Lander, Lincoln, Nye, White Pine, and that portion of Clark County that consists of townships 13 through 16 at ranges 63 through 71; and in the State of Arizona, the counties of Apache, Coconino, Gila, Navajo, and Yavapai) for a period of at least 2 years during the period beginning on January 21, 1951, and ending on October 31, 1958; (4) was physically present in the nuclear arms testing area, cited in 3. above, for the period beginning on June 30, 1962, and ending on July 31, 1962, or (5) participated onsite in a nuclear arms test involving the atmospheric detonation of a nuclear device, in either the area described in 3. above or within the official boundaries of the Pacific, Trinity, or South Atlantic Test Sites.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Office of Rural Health. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Each applicant will receive written notification of the outcome of the objective review process, including summary of the expert committee's assessment of the application's merits and weaknesses, and whether the application was selected for funding. Applicants who are selected for funding may be required to respond in a satisfactory manner to conditions placed on their application before funding can proceed. Letters of notification do not provide authorization to begin performance. The Notice of Grant Award, which is signed by the Grants Management Officer and is sent to the applicant agency's authorized representative, is the authorizing document.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

None.

Renewals:

RESEP awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made annually. After funds are issued, funds are released in accordance with payment procedures of DHHS, which may be an Electronic Transfer System or monthly cash request system.

Reports:

Grantees are required to submit an annual report that describes the utilization costs of services provided under the grant, and provide such other information as the Secretary determines appropriate. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and progress reports are due 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$1,558,418; FY 08 est \$1,517,000; and FY 09 est 1,517,000.

Range and Average of Financial Assistance:

\$153,000 to \$275,000; \$222,621.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, HRSA awarded grants to 7 organizations. It is anticipated to award 7 organizations in FY 08 and FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

The Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9A-55, Rockville, MD 20857. Telephone: (301) 443-0835.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) A statewide program of Radiation Exposure Screening and Education Program clinics with coordination and assistance from the State health department; and (2) an area-wide system of clinical and educational services in a rural area for Radiation Exposure victims administered by a secondary referral hospital through linkage arrangements with other provider agencies.

CRITERIA FOR SELECTING PROPOSALS:

(1) Need and Readiness -- the extent to which the applicant can demonstrate a need for these services in their area and their readiness to provide them; (2) administration -- the extent to which the applicant demonstrates that it has the administrative experience and capacity to successfully implement this program; (3) health care services -- the extent to which the applicant has the capacity to provide or arrange for the required services; (4) collaborative arrangements -- the extent to which the applicant has developed and documented collaborative arrangements with other local providers to conduct outreach, provide services and make referrals; and, (5) appropriateness of budget -- the extent to which the applicant's budget for the scope of the proposed activities.

93.259 RURAL ACCESS TO EMERGENCY DEVICES GRANT

(RAED)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 413 of the Cardiac Arrest Survival Act of 2000; Public Law 106-505.

OBJECTIVES:

To help partnerships made up of at least three separately owned entities purchase and train first responders, and lay persons on the use of Automated External Defibrillators (AEDs). A limited amount of funds may also be used for medical dispatcher training.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for the purposes outlined in the approved projects.

Applicant Eligibility:

Applicants must be a public or non-profit entity located in a rural designated area. Partnerships made up of at least three separately owned entities may apply. These grantees must be located in rural areas as defined in the grant guidance may include local first response entities such as fire, EMS and police as well as for and nonprofit entities concerned with cardiac arrest survival rates. Statewide, multi-State or regional Partnerships may apply. Federally recognized tribes and/or tribal organizations are eligible to apply.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Office of Rural Health. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Applications are evaluated by Federal and nonfederal experts. All acceptable applications will be funded. Final decisions on level of funding are made by the Director, Office of Rural Health Policy.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From two weeks to two months.

Appeals:

None.

Renewals:

RAED awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

This program does not require cost sharing, however ORHP encourage applicants to engage all participating partners to cost-share in the project as a demonstration of mutual commitment to the project's success.

Length and Time Phasing of Assistance:

Awards are made annually and payment is made through the DHHS Payment Management System.

Reports:

A final program report will be submitted to the Secretary, DHHS via ORHP within 90 days after the close of the budget period. This report will include a retrospective review of how the AED(s) affected response times, pre-hospital mortality rates, etc.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$1,249,686; FY 08 est \$1,100,000; and FY 09 est \$1,100,000.

Range and Average of Financial Assistance:

\$46,408 to \$103,000; \$96,129.

PROGRAM ACCOMPLISHMENTS:

FY 07 made 13 awards; anticipate 13 awards for FY 08 and FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Program Contact: Julia Bryan, Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9A-55, Rockville, MD 20857. Telephone: (301) 443-0835.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Rural Access to Emergency Devices Grant Program is to purchase, place, and train both lay public and health care providers in the use of automatic external defibrillators (AEDs). For the FY 2007 funding cycle, 13 grantees were funded; each grantee represented one consolidated statewide application. Each award resulted in 90 to 120 AEDs being placed in the grantee's state.

CRITERIA FOR SELECTING PROPOSALS:

Will be published in grant guidance.

93.260 FAMILY PLANNING-PERSONNEL TRAINING

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Title X, Section 1003, 42 U.S.C. 300a-1; Family Planning Services and Population Research Act of 1970, Section 6(c), Public Law 91-572, 84 Stat. 1507, as amended; Appropriation Act of 1991, Public Law 101-517.

OBJECTIVES:

To provide job specific training for personnel to improve the delivery of family planning services.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are awarded to provide training to Title X project staffs; to improve utilization and career development of paraprofessional and paramedical manpower in family planning services, particularly in rural areas. Funds may not be used in programs where abortion is a method of family planning.

Applicant Eligibility:

Any public entity (including city, county, local, regional, or State government) or nonprofit private entity located in a State (including the District of Columbia, Puerto Rico, the Commonwealth of the Northern Mariana Islands, Guam, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands and the Republic of Palau) is eligible to apply for a grant under this program. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Personnel delivering family planning services in Title X projects.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular No. A-122 for non-profit organizations.

Preapplication Coordination:

There are no requirements for coordination. Informal preapplication consultation between prospective applicants and Office of Population Affairs staff is available upon request. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult

the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application kits may be requested by calling (240) 453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS e-Grants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS e-Grants Web site, <https://egrants.osophs.dhhs.gov>.

Award Procedure:

The Regional Health Administrator, DHHS, makes the final decision(s) to approve, hold, or reject individual applications. Applicants are notified regarding the final decision on each application. All projects funded are issued a Notice of Grant Award.

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

None.

Renewals:

Projects are renewed, as required, based on submission of an application including a progress report and evidence of plan compliance.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grants are generally approved for a project period of 3-5 years.

Reports:

Expenditure report on annual basis. Progress report to be submitted with continuation application. Special reports as requested.

Audits:

In accordance with the provisions of OMB Circular No. A - 133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A - 133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53, and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$7,213,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$238,000 to \$472,000; \$355,000.

PROGRAM ACCOMPLISHMENTS:

Support was given to 11 family planning training grantees in fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 59, 45 CFR 74, and 45 CFR 92. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

Regional Health Administrator, DHHS Regional Offices. (See Appendix IV of the Catalog for addresses.)

Headquarters Office:

Program Contact: Ms. Susan Moskosky, Director, Office of Family Planning, Office of Population Affairs, Department of Health and Human Services, 1101 Wootton Parkway, Suite 700, Rockville, MD 20852. Telephone: (240) 453-2888. Grants Management Contact: Karen Campbell, Director, Office of Grants Management, Office of Public Health and Science, Department of Health and Human Services, 1101 Wootton Parkway, Suite 550, Rockville, MD 20853, Telephone (240) 453-8822.

Web Site Address:

<http://www.opa.osophs.dhhs.gov>

RELATED PROGRAMS:

93.217, Family Planning Services; 93.974, Family Planning Service Delivery Improvement Research Grants.

EXAMPLES OF FUNDED PROJECTS:

(1) Short-term family planning personnel training; (2) brief workshops on specific topics; and (3) family planning/women's health specialty training continuing education course for health care practitioners.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be evaluated to determine which would best promote the purposes of the authorizing legislation, taking into account: (1) The increase in delivery of services to people, particularly low-income groups, with a high percentage of unmet need for family planning services; (2) the promise of the program to fulfill the family planning services needs of the area to be served; (3) capacity to use assistance rapidly and effectively; (4) the administrative and management capability and competence of the applicant; (5) competence of the project staff; and (6) compliance with pertinent regulations and guidelines.

93.262 OCCUPATIONAL SAFETY AND HEALTH PROGRAM

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Occupational Safety and Health Act of 1970, Sections 20(a) and 21(a), 29 U.S. Code 669(a) and 670(a); Federal Mine Safety and Health Act, Section 501(a), 30 U.S. Code 951(a); Public Health Service Act, Section 301(a) and 405; 42 U.S. Code 241 and 284 and Federal Regulations 42 CFR 52 and 45 CFR Parts 74 and 92.

OBJECTIVES:

To (1) recognize new hazards; (2) define the magnitude of the problem; (3) follow trends in incidence; (4) target exceptional hazardous workplaces for intervention; and (5) evaluate the effectiveness of prevention efforts. The goal of this program is to increase worker safety and health. To develop specialized professional and paraprofessional personnel in the occupational safety and health field with training in occupational medicine, occupational health nursing, industrial hygiene, occupational safety, and other priority training areas.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Research grants and cooperative agreements are intended to support the direct costs of a project, in accordance with an approved budget, plus an appropriate amount for indirect costs. Training grants: Funds may be used for long term training programs and/or education and research centers. Support is provided for the direct costs of the program, plus certain indirect costs determined by Public Health Service policy on training programs. Amounts of stipends and other details are in accordance with Public Health Service policy. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support.

Applicant Eligibility:

Eligible applicants include for-profit or non-profit organizations, public or private institutions, such as universities, colleges, hospitals, and laboratories, units of State and local governments, eligible agencies of the Federal government, domestic or foreign institutions/organizations, faith-based organizations, Indian Tribes, Tribal Government, College and/or Organizations. Racial/ethnic minority individuals, women, and persons with disabilities are encouraged to apply as Principal Investigators. Training Grants: Any public or private educational institution or agency that has demonstrated competency in occupational safety and health training at the technical, professional, or graduate level may apply. Trainees must be admissible to the grantee institution and must be enrolled in occupational safety and health training programs. SBIR grants can be awarded only to domestic small businesses (entities that are

independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). For SBIR grants primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Research institutions and agencies as well as workers affected by occupational hazards.

Credentials/Documentation:

Applications must be signed by appropriate officials of the submitting institution. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with 48 CFR Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR Part 74, Subpart Q. For SBIR grants applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

NIOSH publishes announcements of funding opportunities in the NIH Guide for Grants and Contracts and Grants.gov is the portal for applications. The Guide is found on the Internet at: <http://grants1.nih.gov/grants/guide/index.html>. Appropriate forms are specified in the Funding Opportunity Announcement. Research and training programs utilize either the SF-424 R&R for electronic submission or the PHS 398 application form and instructions which are available on the Internet at: <http://grants1.nih.gov/grants/forms.htm>. Electronic applications should be submitted to: Center for Scientific Review (CSR), National Institutes of Health, 6701 Rockledge Drive, Room 1040, MSC7710, Bethesda, MD 20892-7910. For express/courier service: Bethesda, MD 20817. SBIR Grant Applications may be submitted via grants.gov, FOA number PA-07-280.

Award Procedure:

Awards are made on the basis of a two step review of an investigator-prepared application. The initial review is performed by a peer review study section for scientific merit. The second level of review is performed by the NIOSH Secondary Review Committee for program relevance. Final approval of these recommendations and decisions concerning funding are made by the Director, NIOSH. Formal award notices are sent to successful applicants.

Deadlines:

Application deadlines are posted in the published funding opportunity announcements and are available at <http://grants1.nih.gov/grants/funding/submissionschedule.htm>.

Range of Approval/Disapproval Time:

Research Grants and Awards: 7-9 months. Training Grants: 9-10 months. SBIR: 7-8 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute.

Renewals:

Support is recommended for a specified project period, usually not in excess of 5 years. Prior to the end of a project period, the grantee may apply for renewal of support for a new project period. Applications for renewal will be reviewed in the same manner as a new application and will compete for available funds with other applications.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Research and Training Grants and Cooperative Agreements may be awarded for project periods ranging from one to five years in 12-month budget periods, and may be extended through a competitive renewal. SBIR Grants: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years.

Reports:

Reports must be submitted as follows: (1) Interim progress reports (including citations of all resulting publications) annually as part of a non-competing continuation application for previously recommended support; (2) Terminal progress report (including citations of all resulting publications) within 90 days after end of project support; (3) Annual financial status report within 90 days after the conclusion of each budget period; (4) Immediate and full reporting of any inventions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal

awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after end of each budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

FY 07 \$144,574,277; FY 08 est \$115,000,000; and FY 09 est \$107,000,000.

Range and Average of Financial Assistance:

\$50,000 to \$5,000,000. Training Grants: \$28,000-\$1,500,000. SBIR Grants: Phase I - \$100,000; Phase II - up to \$750,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 161 research grant awards, 51 training awards, 13 SBIR awards and 10 World Trade Center awards were made. Estimated number of awards for FY 2008 is 240.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR Parts 86 and 87. 45 CFR Part 74 or 92, as applicable. OMB Circulars A-21 and A-87. The PHS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period, and the NIH Grants Policy Statement. Funding opportunity announcements as published in the NIH Guide: (<http://grants1.nih.gov/grants/guide/index.html>), the Grants.gov website (www.grants.gov), and the NIOSH OEP web site (<http://www.cdc.gov/niosh/oep/>). Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Any additional grant program legislation and regulation cited in the Notice of Grant Award.

Regional or Local Office:

Program Contact: Office of Extramural Programs, National Institute for Occupational Safety and Health, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 1600 Clifton Road, NE., MS-E74, Atlanta, GA 30333. Telephone: (404) 498-2530. Grants Management Contact: Mr. Larry Guess, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Acquisition and Assistance Branch, Centers for Disease Control and Prevention, Department of Health and Human Services, 626 Cochran Mill road, PO Box 18070, Pittsburgh, PA 15236. Telephone: (412) 386-6826.

Headquarters Office:

Office of Extramural Programs, National Institute for Occupational Safety and Health, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 1600 Clifton Road, NE., MS-E74, Atlanta, GA 30333. Telephone: (404) 498-2530. Grants Management Contact: Mr. Larry Guess, Grants Management Officer, Grants Management Branch, Centers for Disease Control and Prevention, Department of Health and Human Services, 261 Cochran Mill road, P.O. Box 18070. Telephone: (412) 386-6826.

Web Site Address:

<http://www.cdc.gov/niosh/oep>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) An Integrated Approach Toward Understanding the Toxicity of Inhaled Nanomaterials, (2) Violence Against Home Health and Hospice Workers, (3) Occupational Exposure to Chlorinated Solvents and Cardiovascular Malformations, (4) 2008 Western Agricultural Conference, (5) Translating a Weight Management Program to Worksites, (6) Worker Health and Safety in Chinatown Restaurants: A CBPR Study, (7) Western U.S. Mining Safety and Health Training, (8) Occupational Safety and Health Training Project, (9) Occupational Safety and Health Training Grant, (10) Hazardous Substance Training for Emergency Responders (11) Rocky Mountain Center for Occupational and Environmental Health, (12) NYC Fire Dept. Clinical Center for WTC Medicals, (13) NYU World Trade Center Responder Health Consortium, (14) Southeast Center for Agricultural Health and Injury Prevention, (15) Occupational Safety and Health Surveillance in New York.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) the scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to stated program objectives. Training Grants: Criteria used in evaluating training proposals include: (1) Overall potential contribution of the project toward meeting program objectives; (2) the need for training in the areas outlined in the application; (3) curriculum

content and design; (4) previous record of training; (5) evaluation methods; (6) experience and training of the project director and staff; (7) institutional commitment; (8) academic and physical environment; (9) past performance; and (10) appropriateness of budget. The following criteria will be used in considering the scientific and technical merit of SBIR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.264 NURSE FACULTY LOAN PROGRAM (NFLP)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 846A, as amended; Nurse Reinvestment Act of 2002, Public Law 107-205.

OBJECTIVES:

The purpose of the Nurse Faculty Loan Program is to increase the number of qualified nursing faculty. The Secretary of HHS, acting through the Administrator of the Health Resources and Services Administration, enters into an agreement with a school of nursing and makes an award for the establishment and operation of a NFLP loan fund. Institutions must use NFLP loan funds to provide loans to students enrolled in eligible advanced degree nursing programs (master's or doctoral) with an education component(s) that will prepare the student to teach. Loan recipients must agree to complete the education program, and following graduation, may cancel up to 85 percent of the NFLP loan while serving as full-time nurse faculty at a school of nursing.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Institutions may award a maximum loan amount of \$30,000 per student for an academic year. Upon completion of the program, an amount up to 85 percent of the loan may be cancelled by the institution as follows: upon completion of the first, second, and third year of full-time employment as faculty in a school of nursing, 20 percent of the principal of, and interest on, the amount of the unpaid loan shall be cancelled; upon completion of the fourth year of full-time employment as faculty in a school of nursing, 25 percent of the principal of, and interest on, the amount of the unpaid loan shall be cancelled.

Applicant Eligibility:

Eligible applicants include all accredited public, nonprofit and private or for-profit schools of nursing that offer advanced degree nursing education programs (master's or doctoral) to that prepare full-time advanced degree nursing students to serve as faculty at schools of nursing that offer a master's or doctoral degree program.

Beneficiary Eligibility:

Eligible nursing students must: (1) be a citizen or national of the United States, or a lawful permanent resident of the United States, the Commonwealths of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa or the Trust Territory of the Pacific Islands. A student who remains in this country on a student or visitor's visa is not eligible; (2) be enrolled in an advanced degree program in nursing to become qualified nursing faculty; (3) not be in default on a Federal debt; and (4) maintain good academic standing.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be

forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

A Notice of Award and a signed copy of the Agreement is issued in writing from the Health Resources and Services Administration, Bureau of Health Professions, Division of Nursing office and is sent to the institution's School of Nursing Coordinator and the Financial Aid Office Coordinator single point of contact in the Financial Aid Office notifying the school of the amount of the Fiscal Year award.

Deadlines:

www.hrsa.gov .

Range of Approval/Disapproval Time:

Approximately 2 to 3 months after receipt of application.

Appeals:

None.

Renewals:

Applications must be submitted annually.

Formula and Matching Requirements:

Schools participating in the loan program must contribute to the loan fund an amount equal to not less than one-ninth of the Fiscal Year award.

Length and Time Phasing of Assistance:

Project periods are for one (1) year.

Reports:

The institution submits the "Nurse Faculty Loan Program Annual Operating Report" annually to the Program Office. The Payment Management Systems (PMS) administered by the Division of Payment Management, Financial Management Services, Program Support Center requires schools to complete and submit the quarterly PMS Form 272 to report NFLP loan disbursements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$4,592,465; FY 08 est \$7,089,668; and FY 09 est \$7,089,668.

Range and Average of Financial Assistance:

FY 07: Range is \$1,892 to \$559,872; Average is \$62,910.

PROGRAM ACCOMPLISHMENTS:

In FY 2007 there were 122 NFLP agreements established with schools of nursing; annual report data for the 2006 to 2007 academic period documented that 729 students received NFLP support.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Denise Thompson, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Parklawn Building, Room 8-C26, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-1399. Fax: (301) 443-0791. E-mail: dthompson@hrsa.gov.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov

RELATED PROGRAMS:

93.364, Nursing Student Loans; 84.038, Federal Perkins Loan Program_Federal Capital Contributions; 84.063, Federal Pell Grant Program.

EXAMPLES OF FUNDED PROJECTS:

Funded projects establish a NFLP loan fund to provide direct loan support to nursing students who are enrolled in an advanced education nursing, and following graduation from the program may cancel 85% of the NFLP loan while serving as nurse faculty.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness and accuracy, and to determine if applicant schools meet minimum program requirements. All eligible applicants are considered in a formula for determined awards. Eligible applicants must meet all applicable Federal guidelines and/or graduates must be eligible for national nursing organization certification, and/or programs must meet other national organizational guidelines, as appropriate, in the nursing field of study.

93.265 COMPREHENSIVE GERIATRIC EDUCATION PROGRAM(CGEP)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION (HRSA),
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 855, Nurse Reinvestment Act, Public Law 107-205.

OBJECTIVES:

To train and educate nursing personnel in providing geriatric care for the elderly.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants to develop and implement, in coordination with programs under section 753, programs and initiatives to train and educate individuals in providing geriatric care for the elderly. Grants may be used to: Provide training to individuals who will provide geriatric care for the elderly; develop and disseminate curricula relating to the treatment of the health problems of elderly individuals; train faculty members in geriatrics; or provide continuing education to individuals who provide geriatric care. Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential personnel travel expenses and other expenses related to the project. Restricted uses: Indirect costs are allowed for administrative costs incurred as a result of the project, limited to eight percent of direct costs. Trainee expenses are not allowed.

Applicant Eligibility:

Eligible applicants are schools of nursing, academic health centers, a health care facility, a program leading to certification as a certified nurse assistant, a partnership of such a school and facility, or a partnership of such a program and facility, other appropriate public or private nonprofit entities; and for-profit entities capable of carrying out the legislative purpose.

Beneficiary Eligibility:

Training is for nursing personnel who provide, or will provide, care for the elderly and faculty members.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made electronically through a Notice of Grant Award issued from the Headquarters Office.

Deadlines:

www.hrsa.gov .

Range of Approval/Disapproval Time:

Approximately 6 months after receipt of applications.

Appeals:

None.

Renewals:

Competitive continuations may be submitted during the final budget period of the approved project period.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project periods are for 3 years.

Reports:

A progress report must be submitted for the second and subsequent budget periods within an approved project period. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$3,206,392; FY 08 \$3,016,826; and FY 09 est \$3,016,826.

Range and Average of Financial Assistance:

\$58,000 to \$216,000; \$157,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007 there were 19 non-competing continuation awards; and in FY 2008 there were 18 non-competing continuation awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Program Contact: Nancy Douglas-Kersellus, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 8C-26, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6333.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects provide leadership and geriatric education for Registered Nurses and include activities that enhance the skills of other nursing personnel to provide geriatric care.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed by a panel of peer reviewers using the following criteria: A clearly stated project purpose; a documented need for the proposed project; the potential effectiveness of the proposed project; a clearly articulated project plan including evaluation of project objectives; a plan for addressing diversity and cultural competence; a comprehensive plan for project management; the reasonableness of the budget and fiscal plan; the presence of established and/or planned linkages with relevant entities.

93.266 RAPID EXPANSION OF ANTIRETROVIRAL THERAPY PROGRAMS FOR HIV-INFECTED PERSONS IN SELECTED COUNTRIES IN AFRICA AND THE CARIBBEAN UNDER THE PRESIDENT'S EMERGENCY PLAN FOR AIDS RELIEF

(Global AIDS)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 301(a) and 307 of the Public Health Service Act, 42 U.S.C. Sections 241 (a) and 2421, as amended, Public Law 108-25, United States Leadership Against HIV/AIDS, Tuberculosis and Malaria Act of 2003, 22 U.S.C. 7601.

OBJECTIVES:

(1) To rapidly expand antiretroviral therapy (ART) to low income HIV-infected persons in a manner that is consistent with national plans and policies in the 15 targeted countries under the President's Emergency Plan for AIDS Relief (PEPFAR); and (2) To develop sustainable indigenous capacity to continue these programs after the project ends.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cooperative Agreements will be awarded to organizations with excellent HIV care and treatment programs to expand their services.

Applicant Eligibility:

Applicants must have 3 years experience of providing ART in 3 or more of the targeted countries. Eligible entities are those providing clinical care and anti-retroviral therapy in at least 3 of the 15 focus countries under the President's Emergency Plan.

Beneficiary Eligibility:

Health care personnel and people living with AIDS.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of HIV/AIDS. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

3-6 months.

Appeals:

None.

Renewals:

Projects are for one to five years with 12-month budget periods.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Project Period: From 1 to 5 years. Budget Period: For 12 months.

Reports:

Interim Progress Reports no less than 90 days before the end of the budget period. This will serve as the non-competing continuation application. Semi-annual Progress Report 7 months after the beginning of each budget period should provide progress on achieving objectives and modifications or new objectives.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 07 \$196,000,000; FY 08 est \$196,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Floor: \$8,000,000; Ceiling \$67,000,000; Average \$33,000,000.

PROGRAM ACCOMPLISHMENTS:

In FY 04, 2 awards were made. During the first 12 months of the Track 1.0/ART (Antiretroviral Therapy) program, the two grantees Harvard School of Public Health and AIDS Relief (Catholic Relief Services Consortium) provided ART for 25,028 persons at 65 medical facilities in 10 countries. In year 2 of the program period, as of Sept 30, 2005, the grantees expanded their coverage to 47,796 individuals on ART in 10 countries. Over 7,000 health care workers were trained in 25 countries in FY06 as a result of the International Training and Education Center.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and

tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Dr. Barbara Aranda-Naranjo, Global Program, HIV/AIDS Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Suite 7-05, Rockville, MD 20857. Telephone: (301) 443-3327.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: 301-443-2385; Research and Training Branch: Telephone: (301) 443-3099; Government and Special Focus Branch: Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.941, HIV Demonstration, Research, Public and Professional Education Projects.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the extent to which proposed objectives are specific, measurable, time-phased, and consistent with the stated purpose of this program; the extent to which the applicant's capability is sufficient in the areas of clinical care, drug and health commodities, laboratory services, training, community mobilization and behavior, monitoring and evaluation and sustainability; feasibility of their expansion plan; extent to which the organizational structure, management and staffing is appropriate and sound and the presentation of a reasonable budget.

93.267 STATE GRANTS FOR PROTECTION AND ADVOCACY SERVICES

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Sections 1253, 300d-531, of the Public Health Service Act, Title V, 42 U.S.C. 290aa, et seq.

OBJECTIVES:

To make grants to Protection and Advocacy systems as established in each State for the purpose of enabling such systems to provide services to individuals with traumatic brain injury which may include: (1) information, referrals, and advice, (2) individual and family advocacy, (3) legal representation, and (4) specific assistance in self-advocacy.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds may be used to plan for, develop outreach strategies for, and carry out services for individuals with traumatic brain injury as authorized in the Section 1253 of the PHS Act. Grant funds must supplement, not supplant, nonfederal funds available in the State in which the protection and advocacy system is established.

Applicant Eligibility:

Grants are directly awarded to the system established in the State to protect and advocate the rights of persons with developmental disabilities, as outlined in the "Developmental Disabilities Assistance and Bill of Rights Act of 2000," as amended October 30, 2000 by Public Law 106-420 (45 USC 6041 et seq.). Grants are also available to the Protection and Advocacy Organization established to serve the Native American Consortium.

Beneficiary Eligibility:

Individuals with traumatic brain injury.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Maternal and Child Health. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Grants are directly awarded by the Health Resources and Services Administration to the system established in the State to protect and advocate the

rights of persons with developmental disabilities, as outlined in the "Developmental Disabilities Assistance and Bill of Rights Act of 2000", as amended April 16, 2008 by the "Traumatic Brain Injury Act of 2008."

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

None.

Renewals:

Not applicable

Formula and Matching Requirements:

Formula provisions are stated in the authorizing legislation, Section 1253 of the Public Health Service Act. Subject to appropriated funding, allotments are equal to an amount bearing the same ratio to the total amount appropriated for the fiscal year involved as the population of the State in which the grantee is located bears to the population of all States. State allotments (including the District of Columbia and the Commonwealth of Puerto Rico) will be no less than \$50,000 and no U.S. Territory, including a protection and advocacy system serving the American Indian consortium, shall be no less than \$20,000.

Length and Time Phasing of Assistance:

Each allotment is available for obligation over a 24-month period; payments are made through an Electronic Transfer System.

Reports:

Each protection and advocacy system that receives payment shall report according to the Uniform Reporting System established under the cooperative agreement between the Administrator, HRSA, and the Commissioner of the Administration on Developmental Disabilities."

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$2,970,000; FY 08 \$2,947,590; and FY 09 est not available.

Range and Average of Financial Assistance:

\$20,000 to \$117,267; \$52,105.

PROGRAM ACCOMPLISHMENTS:

In FY 05, 57 grant awards were made to States and Territories. It is estimated that 57 grant awards will be made to States and Territories in FY 06.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Program Contact: Janie Martin-Heppel, Program Officer, Protection and Advocacy for Traumatic Brain Injury Program, Division of Services for Children with Special Healthcare Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Room 18A-18, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: 301-443-2370.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch; Telephone: (301) 443-2385; Research and Training Branch; Telephone: (301) 443-3099; Government and Special Focus Branch; Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.234, Traumatic Brain Injury State Demonstration Grant Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications for allotments must fulfill statutory requirements.

93.268 IMMUNIZATION GRANTS

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 301, 42 U.S.C. 241, and Section 317, 42 U.S.C. 247b, as amended; Health Services and Centers Amendments of 1978, Public Law 95-626; Omnibus Budget Reconciliation Act of 1981, as amended, Public Law 97-35; Preventive Health Amendments of 1984, Public Law 98-555; Social Security Act, Section 1928.

OBJECTIVES:

To assist States and communities in establishing and maintaining preventive health service programs to immunize individuals against vaccine-preventable diseases (including measles, rubella, poliomyelitis, diphtheria, pertussis, tetanus, hepatitis B, hepatitis A, varicella, mumps, haemophilus influenza type b, influenza, and pneumococcal pneumonia).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used for costs associated with planning, organizing, and conducting immunization programs directed toward vaccine-preventable diseases and for the purchase of vaccine; and for the implementation of other program elements, such as assessment of the problem; surveillance and outbreak control; information and education; adequate notification of the risks and benefits of immunization; compliance with compulsory school immunization laws; vaccine storage, supply, and delivery; citizen participation; and use of volunteers. Vaccine will be available "in lieu of cash" if requested by the applicants. Requests for personnel and other items "in lieu of cash" will also be considered. Vaccine purchased with grant funds may be provided to private practitioners who agree not to charge for vaccine. Grant funds may be used to supplement (not substitute for) existing immunization services and operations provided by a State or locality.

Applicant Eligibility:

Any State, and in consultation with State health authorities, political subdivisions of States and other public entities may apply; private individuals and private nonprofit agencies are not eligible for immunization grants

Beneficiary Eligibility:

Any State, political subdivision (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Preapplication coordination is not required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2716. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program.

Award Procedure:

After review and approval of an application, a Notice of Award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 4 months.

Appeals:

None.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

This program has no statutory or matching requirements. Although there are no matching requirements, applicants must assume part of the project costs and fiscal information must be provided in the budget portion of the application pursuant to provisions of Section 317(b)(2).

Length and Time Phasing of Assistance:

Project Period: Variable. Budget Period: About 12 months.

Reports:

Annual performance reports are required. Financial status reports are required no later than 90 days after the end of each specified reporting period. A report is required on the extent of the problems presented by the diseases and conditions, including the reporting of adverse events following immunization. Final financial status and progress reports are required 90 days after the end of a

project. Financial Status Reports are not required for VFC funds.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(317 Grants) FY 07 \$428,004,655; FY 08 est \$438,400,517; and FY 09 est \$438,400,517. (VFC Grants) FY 07 \$2,510,051,999; FY 08 est \$2,402,707,330; and FY 09 est \$2,489,910,709.

Range and Average of Financial Assistance:

(317 Grants): From \$338,434 to \$52,577,481; \$6,442,693. (VFC Grants): From \$609,621 to \$270,856,761; \$37,268,840.

PROGRAM ACCOMPLISHMENTS:

The nation's childhood immunization coverage rates are at record high levels for most vaccines and for all the vaccination series measures. As childhood immunization coverage rates increase, cases of vaccine preventable disease decline significantly. One performance measure is used to ensure that children are appropriately vaccinated by age two. For the past five years, the 90 percent coverage target has been exceeded for four of the seven routinely recommended childhood vaccine (Hib, MMR, hepatitis B, and polio) and has almost reached the 90 percent target for varicella (currently at 89 percent). Another important performance measure is the increased proportion of adults who are vaccinated annually against influenza and ever vaccinated against pneumococcal disease among persons 65 years of age and older. Influenza vaccination coverage levels among the elderly have increased from 30 percent in 1989 to 64 percent in 2006 and pneumococcal vaccination levels have increased from 15 percent in 1989 to 57 percent in 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 51b.

Guidelines are available. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Dr. Lance Rodewald, Director, Immunization Services Division, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, NE, Atlanta, GA 30333. Telephone: (404) 639-8208; Fax: (404) 639-8627; E-mail: LAR9@cdc.gov. Grants Management Contact: Ms. Peaches Brown, Grants Management Specialist, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Department of Health and Human Services, 2920 Brandywine Road, Room 3623, Atlanta, GA 30341. Telephone: (770) 488-2738.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.217, Family Planning Services; 93.224, Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.246, Health Centers Grants for Migrant and Seasonal Farmworkers; 93.283, Centers for Disease Control and Prevention Investigations and Technical Assistance; 93.600, Head Start.

EXAMPLES OF FUNDED PROJECTS:

The majority of official State Health Departments (such as California, Michigan, and Texas) and some large local health departments (such as New York and Chicago) have ongoing disease control programs utilizing these grants. Immunization Projects: The national program goals for immunization are to reduce morbidity and mortality due to vaccine-preventable diseases; maintain interruption of indigenous measles transmission; prevent perinatal transmission of hepatitis b; maintain 95 percent immunization levels for school enterers, and 90 percent immunization levels for children enrolled in licensed day-care centers against measles, poliomyelitis, diphtheria, tetanus, pertussis, rubella, mumps, and Haemophilus influenza type b (Hib); develop, test, and implement systems for use in the States to ensure that 90 percent or more of children complete basic immunizations by age 2; and promote appropriate immunization programs for adults. Effective comprehensive programs, include the following elements: (1)

Surveillance of vaccine-preventable diseases; (2) development and implementation of specific plans to raise immunization levels within preschool age high-risk groups; (3) assessment of immunization status in public clinics, private physician offices, and schools; (4) public information and education programs; (5) participation of citizens groups and volunteers; and (6) consistent enforcement of compulsory school immunization laws.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) The extent of the problem; (2) the establishment of specific and measurable objectives to address the problem; and (3) the development of a sound operational plan which will ensure the implementation of each program element.

93.269 COMPLEX HUMANITARIAN EMERGENCY AND WAR-RELATED INJURY PUBLIC HEALTH ACTIVITIES

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act Sections 301, 307, 311, 317, and 319, Public Law 108-111, Public Law 109-417, U.S.C. 247d-3.

OBJECTIVES:

To bring public health and epidemiologic principles to the aid of populations affected by complex humanitarian emergencies. Work will focus on the following main program areas: (1) Providing technical assistance including rapid health and nutrition assessments, public health surveillance, epidemic investigations, disease prevention and control, program evaluation and emergency preparedness; (2) Developing, implementing and disseminating findings from operational research projects aimed at developing more effective public health interventions; (3) Designing, implementing, and evaluating training activities to strengthen the capacity of CDC, other U.S. government agencies, international and private voluntary organizations, other governments, and public health students; (4) Developing and disseminating guidelines on public health issues and technical areas; and (5) Planning and maintaining partnerships with strategic international, bilateral, and non-governmental relief organizations.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of projects related to domestic and international complex humanitarian emergencies and war-related injuries. The grants and cooperative agreements may be used for personnel, salaries, consultant costs, survey costs, training, equipment, supplies, travel, patient costs, miscellaneous items, and indirect costs.

Applicant Eligibility:

The general public will benefit from the objectives of this program.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants should review the individual CDC Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities.

Award Procedure:

After review and approval of an application, a notice of award is made in writing and issued by the Grants Management Officer, Procurement and Grants Office, CDC. Appropriate notification is also made to the public.

Deadlines:

Contact Headquarters Office listed below for application deadlines.

Range of Approval/Disapproval Time:

From 2 to 3 months.

Appeals:

None.

Renewals:

Support is recommended for a specified project period, not to exceed 5 years.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Support is recommended for a specified project period, not to exceed 5 years.

Reports:

Semiannual and year end progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of three years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0943-0-1-550.

Obligations:

FY 07 \$0; FY 08 \$0; and FY 09 est \$250,000.

Range and Average of Financial Assistance:

FY 09 (est) \$50,000 to \$250,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, CDC provided a technical advisor to UNICEF to oversee and complete the measles vaccination campaign in Southern Sudan. As a result, more than 2 million children were vaccinated against measles as a direct result of CDC intervention. CDC, in partnership with the United Nations Children's Fund, the Vietnam Veterans of America Foundation, the International Rescue Committee, and the Mine Clearance Planning Agency of Afghanistan, implemented a nationwide war-related mortality, injury, disability, and mental health survey in Afghanistan. Data collected from over 6,000 persons was used to establish national estimates of mortality, injury, disability, and mental health status. This project was the largest war-related injury survey in a post-conflict setting. Results from this study were published in the Journal of the American Medical Association. From FY 2004 - FY 2008, CDC provided technical assistance to UNICEF, WFP and the UN Food and Agriculture Organization for the annual emergency food security and nutrition assessment in Darfur. The survey covered 4.1 million conflicted affected persons and found increasing rates of malnutrition among children under five. Surveillance data on injury in post-conflict settings is being collected through the work of CDC and partners in 11 countries. These data will be used to identify risk factors for injury and to develop prevention programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Ben Sklaver, International Emergency and Refugee Health Branch, Centers for Disease Control and Prevention, 1600 Clifton Road, N.E., Mailstop F60, Atlanta GA 30341-3717, USA. Telephone: (770) 488-0683. Grants Management Contact: Randolph B. Williams, Grants Management Specialist, Branch VII, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, MS K75, Atlanta, GA 30341. Telephone: (770) 488-8382.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

See <http://www.cdc.gov/nceh/ierh/default.htm>.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria can be found in the program guidance.

93.270 ADULT VIRAL HEPATITIS PREVENTION AND CONTROL

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES.

AUTHORIZATION:

Section 301 and 317N of the Public Health Service Act (42 U.S.C. Section 241 and 247b-15).

OBJECTIVES:

To assist State and local health agencies, health related organizations and other governmental and nongovernmental organizations in their efforts to decrease the incidence of new infections of hepatitis A, hepatitis B and hepatitis C viruses and to decrease risks for chronic liver disease including cirrhosis and liver cancer in persons with chronic hepatitis B and hepatitis C infections.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used for costs associated with establishing and maintaining a hepatitis prevention program for the management and coordination of activities directed towards prevention of viral hepatitis infections including the identification, counseling and referral for medical management of persons with chronic HBV or HCV infection and integration of viral hepatitis prevention services into health care and public health services for adults at risk for viral hepatitis.

Applicant Eligibility:

Nonprofit with 501C3 IRS status (other than institution of higher education); Nonprofit without 501C3 IRS status (other than institution of higher education); For-profit organizations (other than small business); Small, minority, and women-owned businesses; Universities; Colleges; Research institutions; Hospitals; Community-based organizations; Faith-based organizations; Federally recognized or state-recognized American Indian/Alaska Native tribal; governments; American Indian/Alaska native tribally designated organizations; Alaska Native health corporations; Urban Indian health organizations; Tribal epidemiology centers; State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau); Political subdivisions of States (in consultation with States).

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74 and Part 92.

Preapplication Coordination:

Not Required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants. This program is subject to the provisions of OMB Circular No. A-110 for nonprofit organizations and A-102 for governmental entities.

Award Procedure:

After review and approval of an application, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact Headquarters Office listed below for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

None.

Renewals:

Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Support is recommended for a specified project period not to exceed 5 years.

Reports:

Quarterly or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations,"

nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Property records must be retained in accordance with the HHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

FY 07 \$8,135,000; FY 08 est \$9,500,000; and FY 09 est \$8,500,000.

Range and Average of Financial Assistance:

\$50,000 to \$100,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2008, 62 awards were made to state and local health departments, community, regional and national organizations to conduct focused hepatitis prevention activities in health care settings and public health programs targeting at risk populations for viral hepatitis. The primary goals of these programs are to decrease the incidence of new infections of hepatitis A virus (HAV), hepatitis B virus (HBV) and hepatitis C virus (HCV) (primary prevention) and to decrease risks for chronic liver disease, including cirrhosis and liver cancer, in persons with chronic HBV infection or chronic HCV infection (secondary prevention). An additional 7 awards were made to state and local health departments to conduct surveillance activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b.

Guidelines are available in the application kit. HHS Grants Policy Statement.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Wendy Watkins, National Center for HIV, Viral Hepatitis, STD, TB Prevention, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, N.E., Mailstop G-37, Atlanta, GA 30333 Telephone: (404) 718-8540. Grants Management Contact: Roslyn Curington, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 8 Corporate Square, Room 1044, Atlanta, GA 30329. Mailstop E15. Telephone: (404) 639-8864.

Web Site Address:

<http://www.cdc.gov/>.

RELATED PROGRAMS:

93.118, Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.116, Project Grants and Cooperative Agreements for Tuberculosis Control Programs; 93.135, Centers for Research and Demonstration for Health Promotion and Disease Prevention; 93.947, Tuberculosis Demonstration, Research, Public and Professional Education; 93.977, Preventive Health Services Sexually Transmitted Diseases Control Grants.

EXAMPLES OF FUNDED PROJECTS:

Funded projects include: State and Local Health Departments; Community Intervention Programs; HIV Prevention Care Management; Minority Community-Based HIV Prevention Projects; National/Regional Minority Organization (HIV/STD Prevention, Immunization, and TB) Projects; and Primary and Secondary HIV Prevention Services.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) Agreements between all agencies providing professional and/or supportive care, either voluntarily or for fees; (2) a description of the approach that will be used to provide prevention case management services; and (3) a description of how services will complement/expand existing agency primary and secondary services and specific criteria published in program guidance.

93.271 ALCOHOL RESEARCH CAREER DEVELOPMENT AWARDS FOR SCIENTISTS AND CLINICIANS

(Research Career)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title III, Section 301, Public Law 78-410, 42 U.S.C. 241, as amended.

OBJECTIVES:

To provide support for increased research experience relating to the problems of alcohol abuse and alcoholism prevention, treatment, and rehabilitation; and to raise the level of competence and increase the number of individuals engaged in such research.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Mentored Research Scientist Development Awards (K01), Mentored Clinical Scientist Development Awards (K08), and Independent Scientist Awards (K02) provide support for individuals with research potential who need additional development and/or experience in a productive research environment. Mentored Patient-Oriented Research Career Development Award (K23) and Mid-career Investigator Award in Patient Oriented Research (K24) and Mentored Quantitative Research Career Development Award (K25) are also available. Senior Scientist Awards (K05) are also available to enable senior investigators to devote full-time to research. Academic Career Awards (K07) are also available for clinical faculty development. Awards are available for 5 years of salary support (up to 75 percent of the legislated maximum salary of \$161,200) for the individual candidate, fringe benefits and 8 percent indirect costs. Specialized training costs and limited research costs are allowable under certain circumstances. Salary support may be supplemented by the sponsoring institution from nonfederal funds.

Applicant Eligibility:

Mentored Research Scientist Development Awards, Mentored Clinical Scientist Development Awards, Independent Scientist Awards, Senior Scientist Awards, and Academic Career Awards for clinical faculty are made to appropriate research centers, medical schools, departments of psychiatry, non-medical academic departments, psychiatric hospitals or hospitals with psychiatric services, community mental health centers, biomedical research institutes, and departments of behavioral science on behalf of individuals who need the opportunity to realize research potentials. The individuals in all cases must be citizens or nationals of the United States or have been lawfully admitted for permanent residence. Individuals must qualify by scholastic degree and previous training and/or experience.

Beneficiary Eligibility:

Public and private profit or nonprofit organizations.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit grantees' costs will be determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR Part 74, Subpart C, Section 74.27.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms as furnished by PHS must be used by applicants. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, MD 20892. Completed applications are reviewed by nonfederal consultants and recommend for support on the basis of merit. This program is subject to the provisions of 45 CFR Part 92 and OMB Circular No. A-110, as appropriate.

Award Procedure:

All NIAAA Research Career Development Awards for scientists and clinicians are recommended for approval by the National Advisory Council on Alcohol Abuse and Alcoholism and those approved for payment are awarded directly by NIAAA to the applicant institution.

Deadlines:

February 1, June 1, and October 1.

Range of Approval/Disapproval Time:

A range of 240 to 270 days from submission of the application.

Appeals:

A principal investigator may appeal the substantive or procedural aspects of the review of his/her application to the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Mentored Research Scientist Development Awards, Mentored Clinical Scientist Development Awards, Independent Scientist Awards, Senior Scientist Awards, Mentored Patient Oriented Research Career Development Awards, Mentored Quantitative Research Career Development Award, and Academic Career Awards are made for 5-year periods with renewal for Independent Scientist Awards and Senior Scientist Awards. Mid-career Investigator Awards in Patient-Oriented Research are renewable for one additional 5-year period if the candidate still meets the stated requirements.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Payment will be made either on a Monthly Cash Request System or under an Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued shortly after an award is made.

Reports:

Reports must be submitted as follows: (1) Interim Progress Reports annually as part of continuation applications; (2) Annual financial status reports at termination of year of support; and (3) Final Invention Statement at end of

support.

Audits:

Audits are to be carried out in accordance with the provisions set forth in 45 CFR 74, Subpart C, Section 74.26. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0894-0-1-552.

Obligations:

(Grants) FY 07 \$11,781,000; FY 08 est \$12,051,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$92,000 to \$259,000; \$133,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; Guidelines included in application kit; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994. Specific program announcements are available electronically from the NIAAA World Wide Web Home Page on the Internet at <http://www.niaaa.nih.gov> or from the NIH Home Page under Institutes and Offices. Applicants should also obtain NIAAA additional information to the NIH Career Development Program Announcements (K AWARDS) NIH Guide, Volume 24, Number 26, July 21, 1995, which is also available on the NIAAA Home Page.

Regional or Local Office:

None.

Headquarters Office:

Program Contacts: Dr. Sam Zakhari, Director, Division of Metabolism and Health Effects. Telephone: (301) 443-0799. Dr. Mark Willenbring, Director, Division of Treatment and Recovery. Telephone: (301) 443-1208. Dr. Ralph Hingson, Director, Division of Epidemiology and Prevention Research. Telephone: (301) 443-1274. Dr. Antonio Noronha, Director, Division of Neuroscience and Behavior. Telephone: (301) 443- 7722. National Institute on Alcohol Abuse and Alcoholism, NIH, Department of Health and Human Services, 5635 Fishers Lane, MSC 9304, Bethesda, MD 20892-9304. Grants Management Contact: Ms. Judy Fox, Grants Management Officer. Telephone: (301) 443-4704. Use the same numbers for FTS.

Web Site Address:

<http://www.nih.gov>.

RELATED PROGRAMS:

93.272, Alcohol National Research Service Awards for Research Training; 93.273, Alcohol Research Programs.

EXAMPLES OF FUNDED PROJECTS:

(1) Organizational aspects of industrial alcohol programs; (2) antecedents and consequences of alcohol consumption patterns; (3) fatty acid synthesis alcohol-induced changes; and (4) mechanisms of alcohol-induced deficits in vision.

CRITERIA FOR SELECTING PROPOSALS:

The following considerations are used in determining projects to be funded: (1) Evidence of the candidate's potential to develop and/or perform as an outstanding research scientist; (2) overall scientific and technical merit of the proposed research plans; (3) relevance to NIAAA priorities; (4) the potential contribution to the field of alcohol abuse and alcoholism; and (5) availability of funds.

93.272 ALCOHOL NATIONAL RESEARCH SERVICE AWARDS FOR RESEARCH TRAINING

(NRSA Program)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title IV, Section 487, as amended, Public Law 99-158, 42 U.S.C. 288.

OBJECTIVES:

To assure the maintenance of an adequate supply of well-trained alcohol researchers through the award of both individual fellowships and grants to training institutions for support of training at both the predoctoral and postdoctoral levels and for Senior Fellowships and M.D./Ph.D. Fellowships. Special predoctoral fellowships are also available for students with disabilities

and for minority students. The National Institute on Alcohol Abuse and Alcoholism (NIAAA) supports awards for training in clinical research, treatment assessment research, problems of health promotion and alcoholism prevention, and basic biological and behavioral processes applicable to alcohol research.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Individual grants are made to fellows seeking predoctoral or postdoctoral support for full-time research training. An institutional allowance will be provided, upon request, to the sponsoring institution for each awardee. In addition, any domestic public or nonprofit institution may apply for an institutional research training grant in a specified area of research from which a number of stipend awards will be made to individuals selected by the training program director at the institution. Support is available for both predoctoral and postdoctoral trainees and for Senior Fellowships and M.D./Ph.D. Fellowships. Special predoctoral fellowships are also available for students with disabilities and for minority students. Actual tuition and fees are allowable for trainees, and an amount for institutional expenses will be provided. Indirect costs may be requested at 8 percent of total direct costs, exclusive of tuition and related fees and expenditures for equipment, or actual indirect costs, whichever is less. Postdoctoral fellows and trainees in the first 12 months of postdoctoral NRSA support incur one month of payback obligation for each month of support. The 13th and subsequent months of postdoctoral NRSA support are considered payback service for prior postdoctoral support. Predoctoral fellows and trainees do not incur a service payback obligation. Stipend levels will be \$16,500 for predoctoral awards and from \$28,260 to \$44,412 for postdoctoral awards dependent upon the number of years of relevant experience. Research training support may not be used for intern or other clinical training.

Applicant Eligibility:

Domestic public or private nonprofit organizations may apply for institutional training grants. The sponsoring institution for a fellowship application may be a domestic private (profit or nonprofit) or public institution. The applicant institution must have, or be able to develop, the staff and facilities to provide the proposed research training in an environment suitable for performing high quality work. An applicant for individual predoctoral support must be enrolled in a doctoral degree program by the proposed activation date of the fellowship. A postdoctoral applicant must have received a doctoral degree. All individuals to be supported under the National Research Service Awards (NRSA) program must be a citizen or noncitizen national of the United States, or have been lawfully admitted to the United States for permanent residence.

Beneficiary Eligibility:

Individuals; public and private, profit or nonprofit organizations for fellowships. Public and private nonprofit organizations for institutional training grants.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR Part 74, Subpart C, Section 74.27.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms, as furnished by PHS, must be used by applicants. Information concerning current areas of science being supported are available from the National Institute on Alcohol Abuse and Alcoholism. Completed applications should be submitted to the Center for Scientific Review National Institutes of Health, Bethesda, MD 20892. This program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Applications are reviewed for scientific merit by nonfederal consultants recruited nationwide from the alcohol research field. Applications other than fellowship must also be reviewed by the National Advisory Council on Alcohol Abuse and Alcoholism. If recommended for approval and a decision to make an award is made, a formal award notice will be sent to the applicant and sponsor. Students to be supported must submit required forms, including a payback agreement for postdoctoral level trainees.

Deadlines:

Individual: April 5, August 5, and December 5; Institutional: May 10.

Range of Approval/Disapproval Time:

From 5 to 7 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

By law, an individual may receive no more than 5 years of support in the aggregate at the predoctoral level and 3 years of support in the aggregate at the postdoctoral level under the NRSA program (through an individual and/or institutional award). Any exception to these limitations requires a waiver from the Director of the awarding Institute based on review of justification from the

awardee and the program director for the institutional grant.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Policy limits an application for predoctoral fellowship support up to 5 years, and up to 3 years for postdoctoral support. Senior Fellowships are up to 2 years and M.D./Ph.D. Fellowships are for up to 6 years. Awards for institutional grants may be made for project periods of up to 5 years.

Reports:

Annual progress reports are required. Annual financial status reports are required for institutional National Research Service Awards. Annual reports of activities for tracking payback provisions are required from all postdoctoral fellows upon termination of support.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and subject to inspections and audits by DHHS and other Federal officials.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved. Records relating to individual payback obligations are retained for one year after the individual has fulfilled or has been excused from fulfilling the payback obligation.

Account Identification:

75-0894-0-1-552.

Obligations:

(Grants and Fellowships) FY 07 \$11,284,000; FY 08 est \$11,284,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$16,000 - \$542,000; \$113,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 66; Guidelines included in application kits. PHS Grants Policy Statement, DHHS Publication No. (OASH) 90-50,000, (REV.) April 1, 1994. Specific program announcements are available electronically from the NIAAA World Wide Web Home Page on the Internet at <http://www.niaaa.nih.gov> or from the NIH Home Page under Institutes and Offices.

Regional or Local Office:

None.

Headquarters Office:

Program Contacts: Dr. Antonio Noronha, Director, Division of Neuroscience and Behavior: Telephone: (301) 443-7722. Dr. Ralph Hingson, Director, Division of Epidemiology and Prevention Research: (301) 443-1274. Dr. Robert Huebner, Deputy Director, Division of Treatment and Recovery Research: (301) 443-4344. Dr. Samir Zakhari, Director, Division of Metabolism and Health Effects: (301) 443-0799. National Institute on Alcohol Abuse and Alcoholism, NIH, Department of Health and Human Services, 5635 Fishers Lane, Bethesda, Maryland 20892-9304. Grants Management Contact: Ms. Judy Fox, Grants Management Officer, National Institute on Alcohol Abuse and Alcoholism, NIH, Department of Health and Human Services, 5635 Fishers Lane, Room 3023, Bethesda, Maryland 20892-9304. Telephone: (301) 443-4704. Use the same numbers for FTS.

Web Site Address:

<http://www.nih.gov>

RELATED PROGRAMS:

93.271, Alcohol Research Career Development Awards for Scientists and Clinicians; 93.273, Alcohol Research Programs.

EXAMPLES OF FUNDED PROJECTS:

(1) Family structure effects on personality of alcoholics; (2) neurophysiology of chronic alcohol consumption; (3) multi-disciplinary alcoholism research training; and (4) infectious and other diseases complicating alcoholism.

CRITERIA FOR SELECTING PROPOSALS:

The following considerations are used in determining projects to be funded: (1) Scientific and technical merit; (2) facilities and environment for the training program; (3) previous training records; (4) relevance to NIAAA priorities; and (5) potential contribution to field of alcohol abuse and alcoholism.

93.273 ALCOHOL RESEARCH PROGRAMS

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Sections 301 and 464H, Public Law 78-410, 42 U.S.C. 241, and 42 U.S.C. 290Gb; Small Business Research and

OBJECTIVES:

To develop a sound fundamental knowledge base which can be applied to the development of improved methods of treatment and more effective strategies for preventing alcoholism and alcohol-related problems. The National Institute on Alcohol Abuse and Alcoholism (NIAAA) supports research in a broad range of disciplines and subject areas related to biomedical and genetic factors, psychological and environmental factors, alcohol-related problems and medical disorders, health services research, and prevention and treatment research. Small Business Innovation Research (SBIR) program: to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation and technology transfer through cooperative research and development carried out between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

(1) Research Project Grants provide support for clearly defined projects or a small group of related activities, and when appropriate, support of conferences; (2) Program Project Grants are for large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus; (3) Small Grants are for small-scale exploratory and pilot studies or exploration of an unusual research opportunity; Small Grants are limited to \$50,000 for direct costs for a period of up to 2 years; and (4) Exploratory/Developmental Grants are limited for a period of up to 2 years, and a maximum of \$275,000 for direct costs for the entire 2-year period. Funds may be used only for expenses directly related to the approved research project. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or processes. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application.

Applicant Eligibility:

Public or private profit and nonprofit agencies, including State, local, or regional government agencies, universities, colleges, hospitals, academic or research institutions may apply for research grants. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Public, profit and nonprofit private organizations.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit grantees' costs will be determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR Part 74, Subpart C, Section 74.27. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II,

respectively.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms, as furnished by PHS, must be used by grant applicants. Application kits, containing the necessary forms and instructions, if not available at the applicant institution, may be obtained from the NIAAA. Consultation on proposed projects is also available. Preapplication consultation with NIAAA staff is required before submission of a Program Project grant application or any unsolicited new grant application requesting \$500,000 or more direct costs in any one year. Applications are reviewed by nonfederal consultants recruited nationwide. The amount of the award and period of support are determined on the basis of the merit of the project. This program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

Research Grants in support of projects recommended for approval by the National Advisory Council on Alcohol Abuse and Alcoholism and approved for payment are awarded directly by the NIAAA to the applicant institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

February 1, June 1, and October 1. SBIR: April 15, August 15, and December 15. STTR: December 1, only. AIDS related research applications January 2, May 1, and September 1.

Range of Approval/Disapproval Time:

From 240 to 270 days from submission of application for grant support. SBIR/STTR applications about 7-1/2 months. AIDS related research applications about 6 months.

Appeals:

A principal investigator may appeal the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeals procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Support is recommended for a specified project period, usually not in excess of 5 years. Prior to termination of a project period, the grantee may apply for renewal of support for a new project period. An application for renewal is processed as a competing request. Small Grants and Exploratory/Developmental Grants are not renewable, but grantees may apply for research project grants on the same topics.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 5 years or less. Grantee may apply for renewal of support on a competing basis. Within the project period, continuation applications must be submitted on a non-competing basis for each year of approved support. Small Grants are limited to 2 years or less and are not renewable. Exploratory/Developmental Grants are limited to 3 years or less and are not renewable. Payments will be made either on a Monthly Cash Request System or under an Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued shortly after an award is made. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Reports must be submitted as follows: (1) Interim progress reports annually as part of a non-competing continuation application for previously recommended support; (2) Terminal progress report within 90 days after end of project support; (3) Annual financial status report within 90 days after termination of annual grant; (4) Immediate and full reporting of any inventions.

Audits:

Audits are to be carried out in accordance with the provisions set forth in 45 CFR Part 74, Subpart C, Section 74.26 and in OMB Circular No. A-133, as appropriate. In addition, grants and cooperative agreements are subject to

inspection and audits by DHHS and other Federal officials.

Records:

Records must be retained for as least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0894-0-1-552.

Obligations:

(Research Projects) FY 07 \$260,567,000; FY 08 est \$260,630,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$40,000 to \$1,515,000; \$359,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52. Guidelines are included in application kits. PHS Grants Policy Statement, DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994. Specific program announcements are available electronically from the NIAAA World Wide Web Home Page on the Internet at <http://www.niaaa.nih.gov> or from the NIH Home Page under Institutes and Offices. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

The Headquarters Office (National Institute on Alcohol Abuse and Alcoholism) is responsible for the administration of these programs.

Headquarters Office:

Program Contacts: Dr. Antonio Noronha, Director, Division of Neuroscience and Behavior: Telephone: (301) 443-7722. Dr. Ralph Hingson, Director, Division of Epidemiology and Prevention Research: (301) 443-1274. Dr. Mark Willenbring, Director, Division of Treatment and Recovery Research: (301) 443-1208. Dr. Samir Zakhari, Division of Metabolism and Health Effects: (301) 443-0799. National Institute on Alcohol Abuse and Alcoholism, NIH, Department of Health and Human Services, 5635 Fishers Lane, Bethesda, MD 20892-9304. Grants Management Contact: Judy Fox, Grants Management Officer, National Institute on Alcohol Abuse and Alcoholism, NIH, Department of Health and Human Services, 5635 Fishers Lane, Room 3023, Bethesda, MD 20892-9304. Telephone: (301) 443-4704. Use the same numbers for FTS.

Web Site Address:

<http://www.nih.gov>.

RELATED PROGRAMS:

93.271, Alcohol Research Career Development Awards for Scientists and Clinicians; 93.272, Alcohol National Research Service Awards for Research Training.

EXAMPLES OF FUNDED PROJECTS:

(1) Alcohol use during pregnancy and pregnancy outcome; (2) studies of alcoholic hepatitis; (3) physical dependence on ethanol; and (4) alcohol and alcohol-drug interactions.

CRITERIA FOR SELECTING PROPOSALS:

The following considerations will be used in determining projects to be funded:

(1) Scientific and technical merit of the proposal; (2) program balance; and (3) relevance to NIAAA priorities and public health issues. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.275 SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES-ACCESS TO RECOVERY

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Sections 501(d)(5) and 509 of the Public Health Service Act, 42 U.S.C. Section 290aa(d)(5) and 290bb-2, Public Law 106- 310.

OBJECTIVES:

To implement voucher programs for substance abuse clinical treatment and recovery support services pursuant to sections 501 (d)(5) and 509 of Public Health Service Act (42 U.S.C. sections 290aa(d)(5) and 290bb-2). This new program, called Access to Recovery (ATR), is part of a Presidential initiative to provide client choice among substance abuse clinical treatment and recovery support service providers, expand access to a comprehensive array of clinical treatment and recovery support options (including faith-based programmatic options), and increase substance abuse treatment capacity. Monitoring outcomes, tracking costs, and preventing waste, fraud and abuse to ensure accountability and effectiveness in the use of Federal funds are also important elements of the ATR program. Through the ATR grants, States, Territories, the District of Columbia and Tribal Organizations (hereinafter collectively referred to as "States") will have flexibility in designing and implementing voucher programs to meet the needs of clients in the State. The key to successful implementation of the voucher programs supported by the ATR grants will be the relationship between the States and clients receiving services, to ensure that clients have a genuine, free, and independent choice among eligible providers. States are encouraged to support any mixture of clinical treatment and recovery support services that can be expected to achieve the program's goal of achieving cost-effective, successful outcomes for the largest number of people.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds (including direct costs and indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities.

Applicant Eligibility:

Eligibility for Access to Recovery (ATR) grants is limited to the immediate office of the Chief Executive (e.g., Governor) in the States, Territories, District of Columbia; or the head of a Tribal Organization. (A "Tribal Organization" means the recognized governing body of any Indian tribe or any legally established organization of Indians, including urban Indian health boards, inter-tribal councils, or regional Indian health boards, which is controlled, sanctioned, or chartered by such governing body or which is democratically elected by the adult members of the Indian community to be served by such an organization.) The Chief Executive of the State, Territory, or District of Columbia, or the head of the Tribal Organization must sign the application. Eligibility is limited to the immediate office of these Chief Executives because only they have the authority to leverage funding across the State, implement the necessary policy changes, manage the fiscal responsibilities, and coordinate the range of programs necessary for successful implementation of the voucher programs to be funded through these grants. No more than one application from any one Chief Executive or head of a Tribal Organization will be funded.

Beneficiary Eligibility:

Eligibility for Access to Recovery (ATR) grants is limited to the immediate office of the Chief Executive (e.g., Governor) in the States, Territories, District of Columbia; or the head of a Tribal Organization" means the recognized governing body of any Indian tribe or any legally established organization of Indians, including urban health boards, inter-tribal councils, or regional Indian health boards, which is controlled, sanctioned, or chartered by such governing body or which is democratically elected by the adult members of the Indian community to be served by such an organization.)

Credentials/Documentation:

The Chief Executive of the State, Territory, or District of Columbia, or the head of the Tribal Organization must sign the application.

Preapplication Coordination:

A letter of intent from prospective applicants is requested by early March of the fiscal year. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must comply with the following requirements, or they will be screened out and will not be reviewed: Use of the PHS 5161-1 application; Application submission requirements in Section IV-3 of this document; and Formatting requirements provided in Section IV-2.4 of this document. Applicants may request a complete application kit by calling SAMHSA's National Clearinghouse for Alcohol and Drug Information (NCADI) at 1 (800) 729-6686. Applicants also may download the required documents from the SAMHSA Web site at www.samhsa.gov. Click on "grant opportunities." PHS 5161-1 (revised July 2000)-Includes the face page, budget forms, assurances, certification, and checklist. Applicants must use the PHS 5161-1. Applications not submitted on the PHS 5161-1 will be screened out and will not be reviewed. Request for Applications (RFA)-Includes instructions for the grant application. This document is the RFA.

Award Procedure:

None.

Deadlines:

Please contact program office for application deadline. Please not that applications received after the deadline date must have a proof-of-mailing date from the carrier dated at least one (1) week prior to the due date. Private metered postmarks are not acceptable as proof of timely mailing. Applicants will be notified by postal mail that the application has been received. Applications not received by the application deadline or postmarked by a week prior to the application deadline will be screened out and will not be reviewed.

Range of Approval/Disapproval Time:

Approximately 90 - 120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Applications will be accepted for a project period of 1 to 3 years with 12-month budget periods. Annual awards will be made subject to continued availability of funds and progress achieved.

Reports:

No more than quarterly.

Audits:

In accordance with the provisions of OMB Circular No A-133 entities that receive financial assistance of \$500,000 or more in a year shall have an audit made in accordance with A-133.

Records:

There is a 3-year records retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved.

Account Identification:

75-1362-0-1-550.

Obligations:

(Grants) FY 07 \$103,956,661; FY 08 est \$95,962,645; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$103,956,661 to \$95,962,645; \$95,962,645.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007 25 awards were funded. It is estimated that 24 awards will be funded in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92 and the HHIS Grant Policies.

Regional or Local Office:

None.

Headquarters Office:

CSAT Contact: Jack Stein (240) 276-2950 and George Gilbert; Telephone: (240) 276-1681; 1 Choke Cherry Road, Rockville, MD 20857; Telephone: (240) 276-1575. Grants Management contact: Kathleen Sample, Division of Grants Management, SAMHSA, 1 Choke Cherry Road, Rockville, MD 20857; Telephone: (240) 276-1407; Fax: (240) 276-1430; E-mail: kathleen.sample@samhsa.hhs.gov .

Web Site Address:

<http://www.samhsa.gov> .

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

SAMHSA applications for this program are peer-reviewed according to the review criteria listed above. For those programs with an individual award of over \$100,000, the Center for Substance Abuse Treatment National Advisory Council also must review application. Decisions to fund a grant are based on: Strengths and weaknesses of the application as identified by the Peer Review Committee and approved by the Center for Substance Abuse Treatment National Advisory Council. Availability of funds. Balance among the geographic regions of the United States, different models for implementing the voucher programs (see Program Requirements, in Section I.), and the use of effective approaches to address those with special needs (e.g., homeless populations, people with co-occurring disorders, people living in rural areas, etc.) Evidence that funds will be distributed through a voucher mechanism that guarantees clients genuine, free, and independent choice among eligible clinical treatment and recovery support providers, among them at least one provider to which the clients has no religious objection. Evidence that the applicant has addressed the standards for grantees outlined in appendix C of the RFA. Evidence the applicant will increase capacity for recovery support services. In the event of a tie among applicant scores, the following method will be used to break the tie: Scores on the criterion with the highest possible point value will be compared (Extent to Which Proposed Project Meets ATR Goals-30 points). Should a tie still exist, the evaluation criterion with the next highest possible point value will be compared, continuing sequentially to the evaluation criterion with the lowest possible point value, should that be necessary to break all ties. If an evaluation criterion to be used for this purpose has the same number of possible points as

another evaluation criterion, the criterion listed first in Section V-1 will be used first.

93.276 DRUG-FREE COMMUNITIES SUPPORT PROGRAM GRANTS

(Drug-Free Community Grants)

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN
SERVICES

AUTHORIZATION:

Drug-Free Communities Act of 1997, Public Law 105-20. Reauthorized by Public Law 107-82.

OBJECTIVES:

To increase the capacity of community coalitions to reduce substance abuse, and over time, to reduce substance abuse among adults through strengthening collaboration among communities, public, and private entities. To disseminate state-of-the-art information on practices and initiatives that have proven to be effective in reducing substance abuse among youth.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

This program, established by the Drug-Free Communities Act of 1997 (Public Law 105-20), awards grants to community coalitions for amounts up to \$100,000 through a competitive grant award process. On December 14, 2001, Public Law 107-82 reauthorized the program for 5 years. The focus of the program is on community coalitions that have been in existence for a minimum of 6 months, coalitions that have 5-year strategic plans aimed at reducing substance abuse among youth, and over time, among adults, and coalitions that have provided an equal match for the funds that they seek. These community coalitions are to collaborate with entities in the community including government agencies to coordinate and strengthen efforts to reduce substance abuse. The program aims to disseminate timely state-of-the-art information on practices and initiatives that have proven to be effective in reducing substance abuse.

Applicant Eligibility:

Community coalitions must demonstrate that the community coalition has worked together for a period of not less than 6 months on substance abuse reduction initiatives. The coalition must: meet the composition requirements; ensure that there is substantial community volunteer effort; ensure that the coalition is a nonprofit, charitable, educational organization, or unit of local government, or is affiliated with an eligible organization or entity; possess a strategy to be self-sustaining; provide a 100-125 percent cash or in-kind match; and agree to participate in an evaluation of the coalition's program.

Beneficiary Eligibility:

Community coalitions, children, youth, and adults, those at-risk of substance abuse, and private nonprofit, and public community agencies.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or office designated at the single point of contact in his or her State for more information on the processes the State requires to be followed in applying for assistance, if the State has selected the program for review. Standard application forms as furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program.

Application Procedure:

The Office of National Drug Control Policy has entered into an Interagency Agreement with the Office of Juvenile Justice and Delinquency Prevention to administer and manage the Drug-Free Communities Support Program. Applicants must submit a proposal to the Office of Justice Programs on Standard Form 424, Federal Assistance Applications. The receipt, review, and analysis of applications will follow the Office of Justice Program policies and procedures for the administration of grant applications. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office of National Drug Control Policy and the Office of Juvenile Justice and Delinquency Prevention, a letter is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by the authored official and returned to the Office of Justice Programs.

Deadlines:

Published in program announcements, request for applications.

Range of Approval/Disapproval Time:

From 1 to 3 months.

Appeals:

Hearing held by OJJDP. Federal Acquisition Regulations apply.

Renewals:

FY 2003 grantees must re compete as a continuation applicant in FY 2004. Applicants funded in FY 2004 will be eligible for FY 2005 continuation funding based on availability of funds and grantees performance. FY 1998 and 1999 grantees not currently funded must compete as new applicants.

Formula and Matching Requirements:

Drug-Free Communities Support Program grants awarded under the Drug-Free Communities Act do require a 100-125 percent cash or in-kind match.

Length and Time Phasing of Assistance:

Under the Drug-Free Communities Act, awards will be made for one year.

Reports:

Under the Drug-Free Communities Support Grants biennial progress and financial reports are required.

Audits:

All organizations that expend financial assistance of \$300,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

15-0405-0-1-754.

Obligations:

(Grants) FY 07 \$57,716,982; FY 08 est \$36,499,812; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$75,118,183 to \$57,716,982; 36,499,812.

PROGRAM ACCOMPLISHMENTS:

It is estimated that 598 will be funded in fiscal year 2007, and 375 in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92; also the HHS Grant Policies.

Regional or Local Office:

None.

Headquarters Office:

The Substance Abuse and Mental Health Services Administration, Division of Grants Management. 1 Choke Cherry Road, Room 7-1097, Rockville, Maryland 20857. Edna Frazier, Grants Management Team Leader, telephone: (240) 276-1405, and CSAP Program Contact: Peggy Quigg, telephone: (240) 276-2589 and Richard Moore, telephone: (240) 276-1270.

Web Site Address:

<http://www.samhsa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Albuquerque Partnership Albuquerque, NM - The Albuquerque Partnership serves Albuquerque, NM, with a focus on South Valley, the Central Avenue Corridor, and Southeast Heights. The target areas are urban, more than 60 percent Hispanic, and have a population of approximately 200,000. In its most recent grant year, the coalition continued to implement its program goals and objectives by instituting summer programs at Emmanuel and Macedonia Churches; continuing successful work with the Albuquerque Police Department, the district attorneys office, and the city's Community Enforcement and Abatement Team on a weekly basis with identification of hotspots and nuisance abatement; completing the education report, including recommendations from the community on corrective action schools; implementing the Summer Cruising program; implementing two new faith-based strategies; and encouraging neighborhoods to submit hotspot sheets on nuisance properties. Coalition for a Drug-Free Hawaii Honolulu, HI Drug-Free Hawaii is the fiscal agent for the Ewa Beach Community Coalition (EBCC). The coalition serves Ewa Beach, HI, a rural community. In its last grant year, the coalition continued to implement its program goals and objectives by convening, coordinating, and sustaining EBCC to address substance abuse in the community; and providing optimal opportunities for youth, parents, school personnel, and community members to become actively involved in implementing effective prevention strategies. The coalition created a network of support services for youth and their families in the Ewa Beach community; assessed the needs of the community, pooled resources to create opportunities to fill these needs, and created working, mutually beneficial partnerships to support a drug-free community; subcontracted related prevention services from the Boys & Girls Club of Hawaii and the Kalihi YMCA and provided family strengthening workshops through the Coalition for a Drug-Free Hawaii.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Drug-Free Communities Act. Specific criteria are applied that are related to the particular program areas under which projects are funded. The criteria are published in the Federal Register as part of

the individual program announcements. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

93.279 DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 301, 405, 464L, 464N, and 464P, 42 U.S.C. 241, 42 U.S.C. 284, 42 U.S.C. 295, 42 U.S.C. 2850-2, and 42 U.S.C. 2850-4; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To support biomedical, basic and clinical neuroscience, behavioral and social science, epidemiologic, health services and health disparity research to develop new knowledge and approaches related to the prevention, diagnosis, treatment, etiology, and consequences of addiction and drug abuse, including HIV/AIDS. To support research training and research scientist development. To support dissemination of research findings. Small Business Innovation Research (SBIR) program: to expand and improve the SBIR program. The legislation is intended to expand and improve the SBIR programs to emphasize and increase private sector commercialization of technology developed through Federal SBIR research and development; increase small business participation in Federal research and development; and foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in the SBIR program. The legislation intends that the Small Business Technology Transfer (STTR) program stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants (Fellowships).

USES AND USE RESTRICTIONS:

(1) Research project grants provide support for clearly defined projects or a small group of related research activities, and when appropriate, support of research conferences; (2) program project and center grants support large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus; (3) small grants support newer, less experienced investigators; investigators at institutions without a well developed research tradition and resources; the testing of new methods or techniques; small-scale exploratory and pilot studies, or exploration of an unusual research opportunity; small grants provide research support of up to \$50,000 direct costs per year for a period of up to 2 years; (4) research training grants including fellowships and institution training grants, career development grants and loan repayment grants; (5) SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research or research and development efforts to determine the quality of performance of the small business grantees. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercially viable products or processes. Only Phase I awardees are eligible to apply for Phase II support; STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application and the quality of performance of the small business concerns. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

For research grants the following organizations/institutions are eligible to apply: Public/State Controlled Institutions of Higher Education; Private Institutions of Higher Education: Hispanic-serving Institutions, Historically Black Colleges and Universities (HBCUs), Tribally Controlled Colleges and Universities (TCCUs), and Alaska Native and Native Hawaiian Serving Institutions; Nonprofits with 501(c)(3) IRS Status (Other than Institutions of Higher Education); Nonprofits without 501(c)(3) IRS Status (Other than Institutions of Higher Education); Small Businesses; For-Profit Organizations (Other than Small Businesses); State Governments; Indian/Native American Tribal Governments (Federally Recognized); Indian/Native American Tribally Designated Organizations; County Governments; City or Township Governments; Special District Governments; Independent School Districts; Public Housing Authorities/Indian Housing Authorities; and Other(s): Eligible Agencies of the Federal Government, Faith-based or Community-based Organizations, U.S. Territory or Possession, Indian/Native American Tribal

Governments (Other than Federally Recognized), Regional Organizations, and Non-domestic (non-U.S.) Entities (Foreign Organizations). Eligible Individuals: Any individual, or individuals (multiple PDs/PIs), with the skills, knowledge, and resources necessary to carry out the proposed research as the PD/PI is invited to work with his/her organization to develop an application for support. Individuals from underrepresented racial and ethnic groups as well as individuals with disabilities are always encouraged to apply for NIH support. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research and/or development must be performed in the U.S. and its possessions. To be eligible, an SBIR grant application must be approved for scientific merit and program relevance by a scientific review group and by a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific and technical merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Public or private profit and nonprofit sponsored organizations and individuals, minority groups, small businesses, health professionals, students, trainees, scientists and general public.

Credentials/Documentation:

None.

Preapplication Coordination:

Consultation/assistance is available from NIDA to aid in preparing the form.

This program is excluded from coverage under E.O. 12372.

Application Procedure:

The National Institutes of Health is using www.grants.gov to accept electronic submission of applications. They are also available from the NIH web site at www.nih.gov. Information concerning NIDA and the types of research supported may be found at www.drugabuse.gov or www.nida.nih.gov. Consultation on a proposed project may also be obtained from NIDA. Applications are reviewed by primarily nonfederal consultants recruited nationwide. The amount of the award and period of support are determined on the basis of scientific merit of the project as well as financial and programmatic consideration. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm>. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Phone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

Project grants recommended for approval by the appropriate National Advisory Council and approved for payment are awarded directly by NIDA to the applicant institution. All accepted, research projects, SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by the National Advisory Council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Standard receipt dates for unsolicited applications: P01, R24, R25, T32-January 25, May 25, and September 25 for new, renewal, resubmission and revision. R01-February 5, June 5, and October 5 for new and March 5, July 5, and November 5 for renewal, resubmission and revision. K01, K02, K05, K08, K12, K23, K24, K25, K99/R01-February 12, June 12, and October 12 for new and March 12, July 12, and November 12 for renewal, resubmission and revision. R03, R21, R36-February 16, June 16, and October 16 for new and March 16, July 16, and November 16 for renewal, resubmission and revision. R15-February 25, June 25, and October 25 for new, renewal, resubmission and revision. New Investigator R01-March 20, July 20, and November 20 for resubmission (for applications involved in pilot only). SBIR/STTR: R44, R41, R42-April 5, August 5, and December 5 for new, renewal, resubmission and revision. F30, F31, F32-April 8, August 8, and December 8 for new, renewal and resubmission. R13-April 12, August 12, and December 12 for new, renewal, resubmission and revision. AIDS research-May 1, September 1, and January 2.

For solicited applications, receipt dates are specified in the announcements.

Range of Approval/Disapproval Time:

From 240 to 270 days from submission of grant application. For AIDS applications the range will not exceed 180 days from cited receipt dates.

SBIR/STTR applications: About 225 days.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/notice-files/not97-232.html.

Renewals:

Support is recommended for a specified project period, usually not in excess of 5 years. Prior to termination of a project period, the grantee may apply for additional support via competing continuation application unless otherwise restricted. Small grants are limited to up to 2 years. Exploratory/developmental grants are limited to 3 years.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 5 years or less. Grantee may apply for renewal of support on a competing basis unless otherwise restricted. Within the project period, continuation applications must be submitted on a non-competing basis for each year of approved support. Small grant support is limited to no more than 2 years. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Reports must be submitted as follows: 1) Interim progress reports annually as part of a non-competing application for previously recommended support; (2) terminal progress report within 90 days after end of project support; (3) annual financial status report within 90 days after termination of annual grant; and (4) immediate and full reporting of any inventions.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133. For nongovernmental grant recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0897-0-1-552.

Obligations:

(Grants) FY 07 \$37,805,000; FY 08 est \$38,771,000; and FY 09 est \$38,771,000.

Range and Average of Financial Assistance:

\$35,000 to \$1,133,000; \$149,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 3,756 applications were received, 254 awards were issued. In fiscal year 2008 an estimated 3,482 applications are anticipated, 260 awards are anticipated. In fiscal year 2009, an estimated 3,569 applications are anticipated, 260 awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; Guidelines are included in applications kits. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1998. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR Part 52 and 42 CFR Part 52a; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Program Contacts: Dr. David Shurtleff, Director, Division of Basic Neuroscience and Behavioral Research (Research on Basic Behavioral and Cognitive, Basic Chemistry and Biochemistry, Basic Pharmacological, Neurobiological, and Cellular, Basic Behavioral, and Molecular Biology and Genetic Research). Telephone: (301) 443-1887. Dr. Frank Vocci, Director, Division of Pharmacotherapies and Medical Consequences of Drug Abuse (Basic and Clinical Pharmaceutical, Medical Consequences of Drug Abuse, Research on Treatment, AIDS, and Tuberculosis, Medication Development, Drug Delivery Systems and Clinical Trial Research). Telephone: (301) 443-6173. Dr. Wilson Compton, Director, Division of Epidemiology, Services and

Prevention Research (Behavioral and Social Science Research, Incidence, Prevalence, Ethnographic, Survey Research, and Longitudinal Studies on Prevention, Vulnerability and Etiology, Health Services). Telephone: (301) 443-6504. Dr. Joseph Frascella, Director, Division of Clinical Neuroscience and Behavioral Research (Clinical Neurobiology of Addiction, Cognitive Neuroscience, Neurobiology of Treatment, Biological Etiology, Comorbidity, Neurobiology of HIV/AIDS, Neurobiology of Human Pain and Analgesia). Telephone: (301) 443-4877. Dr. Betty Tai, Director, Center for the Clinical Trials Network (Translate Drug Abuse Treatment Research Throughout the County; Research on Behavioral, Pharmacological, and Integrated Behavioral and Pharmacological Treatment Interventions of Therapeutic Effect in Rigorous Multisite Clinical Trials). Telephone: (301) 443-6697. Dr. Mimi Ghim, Ph.D., NIDA Deputy Coordinator of Research Training. Telephone: (301) 443-6071. SBIR Contact: Dr. Cathrine Sasek, Telephone: (301) 443-6071. Review Contact: Dr. Teresa Levitin, Office of Extramural Affairs. Telephone: (301) 443-2755. Grants Management Contact: Ms. Pam Fleming, Grants Management Officer. Telephone: (301) 443-6710. National Institute on Drug Abuse, National Institutes of Health, Department of Health and Human Services, Neuroscience Building, 6001 Executive Boulevard, Bethesda, MD 20892. Dr. Levitin and Ms. Fleming are located in 6101 Executive Boulevard. Use the same numbers for FTS.

Web Site Address:

<http://www.nih.gov>; www.drugabuse.gov; <http://www.nida.nih.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Epidemiology of drug abuse among minority populations; (2) Studies of AIDS among IV Drug Abusers; (3) Studies of Narcotic-Induced Respiratory Depression; (4) Endorphins: Metabolism, Release, and Tolerance; (5) Neurobiological and Behavior Mechanisms of Addictive and Compulsive Behavior; (6) Maternal/Paternal Effects of Drugs of Abuse; and (7) Effects of Drug Abuse on Adolescent and Development.

CRITERIA FOR SELECTING PROPOSALS:

The following considerations will be used in determining projects to be funded:

(1) Scientific and technical merit; (2) the feasibility of the research; (3) potential contribution to the national drug abuse problem; and (4) relevance to NIDA priorities. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technology.

93.280 NATIONAL INSTITUTES OF HEALTH LOAN REPAYMENT PROGRAM FOR CLINICAL RESEARCHERS

(NIH LRP-CR)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 487F; Public Law 106-505; 42 U.S.C. 288-5a.

OBJECTIVES:

To attract and retain health professionals to clinical research careers by offering educational loan repayment for participants who agree by written contract to engage in clinical research in a qualifying nonprofit institution for a minimum of 2 years.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged in clinical research in a qualified nonprofit institution or Federal or other domestic government agency (State, local), and in research that is supported by a nonprofit foundation, nonprofit professional association, or other nonprofit entity, or a Federal or other domestic government agency (State, local). Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service.

Participants may apply for and the Secretary may grant extension contracts for one-year or two-year periods, as determined by the Secretary, if the individual continues to engage in qualifying research. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. The maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements, plus discretionary State and local tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the program participants.

Applicant Eligibility:

(1) A U.S. citizen, U.S. national, or permanent resident of the United States; (2) Have a Ph.D., M.D., D.O., D.D.S., D.M.D., D.P.M., Pharm.D., D.C., N.D., or equivalent doctoral degree from an accredited institution; (3) Have total qualifying educational loan debt equal to or in excess of 20 percent of their institutional base salary on the date of program eligibility (the effective date that a loan repayment contract has been executed by the Secretary of Health and Human Services or designee); (4) Conduct qualifying research supported by a domestic nonprofit foundation, nonprofit professional association, or other nonprofit institution, or a U.S. or other government agency (State or local); (5) Engage in qualified clinical research. Clinical research is patient-oriented clinical research conducted with human subjects, or research on the causes and consequences of disease in human populations involving material of human origin (such as tissue specimens and cognitive phenomena) for which an investigator or colleague directly interacts with human subjects in an outpatient or inpatient setting to clarify a problem in human physiology, pathophysiology or disease, or epidemiologic or behavioral studies, outcomes research or health services research, or developing new technologies, therapeutic interventions, or clinical trials; (6) Engage in qualified clinical research for at least 50 percent of their time, i.e., not less than 20 hours per week based on a 40 hour week; (7) Agree to conduct research for which funding is not prohibited by Federal law, regulation, or HHS/NIH policy, and in accordance with applicable Federal, State and local law (e.g., applicable human subject protection regulations); and (8) Sign and submit to the Secretary of Health and Human Services, at the time of application submission, a contract agreeing to engage in clinical research in a qualifying institution for a minimum of 2 years. Full-time employees of Federal Government agencies are ineligible to apply for LRP benefits. Part-time Federal employees who engage in qualifying research as part of their nonfederal duties for at least 20 hours per week based on a 40 hour week, and who are not compensated as a Federal employee for their research, are eligible to apply for loan repayment if they meet all other eligibility requirements.

Beneficiary Eligibility:

Health professionals who are interested in pursuing clinical research careers and who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants electronically transmit the following documents to the NIH: Applicant Information Statement, Biosketch, Personal Statement, Description of Research Activities, 3 Recommendations, Loan Information including current account statement(s) and promissory note(s) or disclosure statement(s), Assessment of Research Activities Statement (completed by the research supervisor), and Description of the Research Environment (completed by the research supervisor). Training or Mentoring Plan (completed by the research supervisor). Institutional Contacts electronically transmit a certification that: (a) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (b) assures that the applicant is or will be engaged in qualifying research for 50 percent of their time (or not less than 20 hours per week based on a 40 hour week); (c) certifies that the institution is nonprofit (exempt from tax under 26 USC 501), and (d) provides the applicant's institutional base salary.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must access and submit the Loan Repayment Program application via the website www.lrp.nih.gov. Applications submitted to the NIH Division of Loan Repayment are first examined to determine if the basic eligibility requirements are satisfied. If these requirements are met, the application is forwarded to the NIH Center for Scientific Review for a determination regarding which NIH Institute or Center the application will be assigned to for review, scoring, and ranking.

Award Procedure:

The NIH Institutes and Centers approve or disapprove applications for participation in the Loan Repayment Program for Clinical Researchers. When an Institute or Center approves an application, the NIH Office of Loan Repayment notifies individuals of their award amount, provides a repayment schedule that covers the period of participation, and a Loan Repayment Program Contract is executed by an authorized representative of the Secretary, DHHS. If the approved research assignment changes, or if the employing research institution changes, the participant must have their continued eligibility re-certified by the Institute or Center which approved their application. Contact the

NIH via e-mail at LRP@NIH.GOV for further guidance.

Deadlines:

Review the Loan Repayment Program website www.lrp.nih.gov, or contact the Headquarters Office listed below for the application deadlines.

Range of Approval/Disapproval Time:

The approximate time for approval/disapproval is 6 months from the closing date of the annual application period.

Appeals:

None.

Renewals:

At the conclusion of the initial 2-year contract, participants may apply and be considered for subsequent 1 or 2-year continuation contracts under the application and approval procedures specified above. Eligible renewal applications that score within the funding range receive continuation contracts.

Formula and Matching Requirements:

This program has no formula or matching requirements.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One or 2-year continuation contracts are available. Payments will be made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services.

Reports:

Program participants must be periodically certified by their research supervisor to have been engaged in the qualifying research project for a minimum 50 percent effort (not less than 20 hours per week based on a 40 hour week) during the service period.

Audits:

None.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-4554-0-1-552.

Obligations:

(Loan Repayments) FY 07 \$42,202,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

For initial two-year contract periods, loan repayments awards may range from \$2,298 to \$70,000; Tax reimbursements range from \$1,040 to \$31,679. The average cost which includes loan and tax reimbursement is \$48,718.

PROGRAM ACCOMPLISHMENTS:

942 awards were made in fiscal year 2005. NIH projects that 935 awards will be made in fiscal year 2006 and 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-505, 42 USC 288-5a. The NIH LRP-CR was initially announced by publication of a Notice in the Federal Register (Vol. 66, No. 173), and updated in Federal Register (Vol. 68, No. 61).

Regional or Local Office:

Not applicable.

Headquarters Office:

Program and Business Contact: Alfred C. Johnson, Ph.D., Division of Loan Repayment, National Institutes of Health, 6011 Executive Blvd., Room 206, MSC 7650, Bethesda, MD 20892-7650. LRP Helpline: (866) 849-4047. Facsimile: (866) 849-4046. Email: lrp@nih.gov.

Web Site Address:

www.lrp.nih.gov.

RELATED PROGRAMS:

93.162, National Health Service Corps Loan Repayment Program; 93.164, Indian Health Service Educational Loan Repayment; 93.209, Contraception and Infertility Research Loan Repayment Program; 93.220, Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.285, National Institutes of Health Pediatric Research Loan Repayment Program; 93.307, Minority Health and Health Disparities Research; 93.308, Extramural Loan Repayment for Individuals from Disadvantaged Backgrounds Conducting Clinical Research; 93.364, Nursing Student Loans; 93.936, National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program.

EXAMPLES OF FUNDED PROJECTS:

Production of mature human T lymphocytes from bone marrow using a skin-derived thymic organoid. Magnetic resonance, EEG, amphetamine, and visual processing; MR analysis of persisting CNS damage in human MDMA users. Associations between human cerebral spinal fluid dopamine levels and neuropsychological outcome after severe traumatic brain injury.

CRITERIA FOR SELECTING PROPOSALS:

The NIH Institutes and Centers approve or disapprove applications for participation in the Loan Repayment Program for Clinical Researchers. Applications that are on time, complete and eligible are referred to the appropriate NIH Institute or Center by the NIH Center for Scientific Review. The NIH Institutes and Centers convene panels comprised of non-NIH scientists

to review, score and rank applications. In evaluating the application, reviewers are directed to consider the following components as they relate to the likelihood that the applicant will continue in a research career: (1) potential of the applicant to pursue a career in research, and (2) quality of the overall environment to prepare the applicant for a research career.

93.281 MENTAL HEALTH RESEARCH CAREER/SCIENTIST DEVELOPMENT AWARDS

(Research Career/Scientist Development)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title III, Section 301, Public Law 78-410, 42 U.S.C. 241, as amended.

OBJECTIVES:

To provide support for research career development relating to causes, risk, diagnosis, genetics, development, epidemiology, prevention, treatment, and services use for mental illness, behavioral disorders, and HIV/AIDS; and relating to basic neuroscience and basic behavioral science research addressing basic and clinical research pertinent to mental disorders; in order to increase the number of individuals who are competing to advance research supported by the National Institute of Mental Health support, including women, individuals from underrepresented racial and ethnic minority groups, and individuals with disabilities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Mentored Research Scientist Development Award (K01, MRSDA), Mentored Clinical Scientist Development Award (K08, MCSDA), Mentored Scientist Development Award for New Minority Faculty (K01, MSDA NMF), and Mentored Quantitative Research Career Development Award (K25) provide support for individuals with research potential who need additional mentored development and/or experience in a productive research environment. Awards are available for up to 5 years of salary support (up to \$90,000 per year) for the individual candidate, fringe benefits, and 8 percent indirect costs. Specialized training costs and limited research costs are allowable under justified circumstances. Mentored Patient-Oriented Research Career Development Award (K23) supports the career development of individuals who have made commitment to focus their research endeavors on patient-oriented research. Awards are available for three to five years (ranging from \$75,000 to \$180,100) to help offset the full time salary requirement of the candidate. The NIH Pathway to Independence (PI) Award (K99/R00) provides up to 2 years of mentored support (K99) for a postdoctoral individual followed by up to 3 years of support after the awardee transitions to research independence (R00). K99/R00 awards are awards for up to 5 years of support. During the K99 phase, awards provide up to \$50,000 per year for the salary of the individual candidate as well as fringe benefits, and 8 percent indirect costs. Specialized training costs and limited research costs are allowable under justified circumstances. Salary support for all mentored awards may be supplemented by the sponsoring institution from nonfederal funds. The Independent Scientist Award (K02, ISA) is for independent scientists whose careers are developing rapidly; the Mid-career Investigator Award in Patient-Oriented Research (K24) is for outstanding clinical scientists engaged in patient-oriented research. No specialized training costs are available; limited research costs for K24s and K02s in theoretical areas are available.

Applicant Eligibility:

All awards in these series are made to appropriate domestic research centers, medical schools, departments of psychiatry, non-medical academic departments, psychiatric hospitals or hospitals with psychiatric services, community mental health centers, and biomedical research institutes on behalf of individuals who need the opportunity to realize research potential. Except for the NIH Pathway to Independence (PI) Award (K99/R00), the individuals must be citizens or nationals of the United States or have been lawfully admitted for permanent residence. The NIH Pathway to Independence (PI) Award (K99/R00) is open to both U.S. citizens and non-U.S. citizens. Individuals must qualify by scholastic degree and previous training and/or experience.

Beneficiary Eligibility:

Public and private profit or nonprofit organizations will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR Part 74, Subpart Q.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be prepared using the PHS 398 research grant application instructions and forms (revised 11/2007). The PHS 398 is available at

<http://grants1.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. Applications will be converting to electronic submission in the future.. For further assistance, contact GrantsInfo, Telephone (301) 435-0714, Email: grantsInfo@nih.gov. Information and instructions may be obtained from the program officials at the National Institute of Mental Health. Forms are completed by the applicant and sponsor. Completed paper PHS 398 applications should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 1040, MSC 7710, Bethesda, MD 20892-7710 or Bethesda, MD 20817 (for express/courier service). Applications are reviewed by nonfederal consultants and recommended for support on the basis of merit. This program is subject to the provisions of OMB Circular No. A-21 for educational organizations and 45 CFR, Part 52.

Award Procedure:

All "K" Series awards are recommended for approval by the National Advisory Mental Health Council and those approved are awarded directly by NIMH to the applicant institution.

Deadlines:

New "K" applications: February 12, June 12, and October 12. Renewal, Resubmission and Revision "K" applications: March 12, July 12, November 12. AIDS-related "K" applications: January 7, May 7, September 7.

Range of Approval/Disapproval Time:

From 240 to 270 days from submission of the application. Review of AIDS-related applications is expedited.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeals procedure is available on the NIH home page at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

K01, K08, K23, K25 awards are made for up to 5-year periods with no renewal. K02 and K24 awards are made for a 5-year period, renewable once (unless holder has held a previous mentored "K" award). K99 awards are made for up to 2-year periods with no renewal.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Varies, with a project periods ranging from 1 to 5 years. Funding commitments are made annually.

Reports:

Reports must be submitted as follows: (1) Interim progress reports annually as part of a non-competing application for previously recommended support; (2) terminal progress report within 90 days after end of project support; (3) annual financial status report within 90 days after termination of annual grant for some programs. In addition, immediate and full reporting of any inventions is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in its fiscal year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this circular. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. Per the regulations, a for-profit (commercial) organization is subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant. For-profit organizations have two options regarding the type of audit that will satisfy the audit requirements either: 1. a financial related audit (as defined in the Government Auditing Standards, GPO Stock #020-000-00-265-4, <http://www.gao.gov/govaud/ybk01.htm>) of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements contained in OMB Circular No. A-133(<http://www.whitehouse.gov/OMB/circulars/a133/a133.html>).

Records:

Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0892-0-1-552.

Obligations:

(Grants) FY 07 \$70,378,962; FY 08 est \$70,480,000; and FY 09 est \$70,480,000.

Range and Average of Financial Assistance:

\$9,825 to \$450,967; \$152,666.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 461 awards were funded. In fiscal year 2008, 470 awards are estimated to be funded; and fiscal year 2009, 470 awards are expected to be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52. NIH Grants Policy Statement (Revised 12/2003).

Regional or Local Office:

None.

Headquarters Office:

Dr. Ellen Stover, Director, Division of AIDS and Health and Behavior Research; Telephone: (301) 443-9700. Dr. Donna Mayo, Research Training and Career Development; Telephone: (301) 443-1829; and Dr. David Stoff, AIDS Research Training and Career Development; Telephone: (301) 443-4625, both from the Division of AIDS and Health and Behavior Research. Dr. Wayne Goodman, Director, Division of Adult Translational Research and Treatment Development; Telephone: (301) 435-8031. Dr. Mark Chavez, Associate Director, Research Training and Career Development, Division of Adult Translational Research and Treatment Development; Telephone: (301) 443-9700. Dr. Linda Brady, Director, Division of Neuroscience and Basic Behavioral Science; Telephone (301) 443-3563. Dr. Nancy Desmond, Director, Research Training and Career Development Office, Division of Neuroscience and Basic Behavioral Science; Telephone: (301) 443-3107. Dr. Mary Ellen Oliveri, Director, Division of Developmental Translational Research; Telephone (301) 443-5944. Dr. Cheryl Boyce, Research Training and Career Development, Division of Pediatric Translational Research; Telephone (301) 443-5944. Dr. Philip Wang, Director, Division of Services and Intervention Research; Telephone (301) 443-6233. Dr. Lauren Hill, Research Training and Career Development, Division of Services and Intervention Research; Telephone (301) 443-2638. Training Coordinators, Dr. Mark Chavez; Telephone: (301) 443-9700 and Dr. Nancy Desmond; Telephone: (301) 443-3107. Grants Management Contact: Ms. Rebecca Claycamp, Grants Management Officer, National Institute of Mental Health, National Institutes of Health, Department of Health and Human Services; 6001 Executive Blvd., Room 6122, MSC 9605, Bethesda, MD 20892-9605; Telephone (301) 443-2811. Use the same numbers for FTS.

Web Site Address:

<http://www.nimh.nih.gov>

RELATED PROGRAMS:

93.242, Mental Health Research Grants; 93.282, Mental Health National Research Service Awards for Research Training.

EXAMPLES OF FUNDED PROJECTS:

(1) Cortical processes in perception and memory, (2) regulatory mechanisms in brain maturation, (3) chemical factors in abnormal behavior, (4) hemispheric specialization of higher functions in humans, (5) theory and measurement of personality development, (6) biological substrate and correlates of schizophrenia, (7) biochemistry and behavioral recovery after post-traumatic stress, and (8) children's mental health services research.

CRITERIA FOR SELECTING PROPOSALS:

The following considerations are used in determining projects to be funded: (1) priority score and recommendation of the Initial Review Committee; (2) program priorities of the Institute; and (3) the availability of funds

93.282 MENTAL HEALTH NATIONAL RESEARCH SERVICE AWARDS FOR RESEARCH TRAINING

(NRSA Program)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title IV, Section 487, Public Law 99-158, 42 U.S.C. 288, as amended.

OBJECTIVES:

To ensure a continuing and adequate supply of well-trained scientists who are able to conduct research on mental health problems. The program provides training grants to institutions and fellowships to individuals at both the predoctoral and postdoctoral levels. There are awards to medical students proposing to acquire doctoral research degrees in addition to their medical degrees. Awards are made for training in the areas of basic and clinical neuroscience, genetics, developmental, behavioral, clinical, epidemiological, prevention, treatment and services research relevant to mental illnesses, behavioral disorders, and HIV/AIDS; and programs designed to increase the number of individuals in mental health research who are from underrepresented racial and ethnic groups, individuals with disabilities, or individuals disadvantaged because of educational or other circumstances that have inhibited their ability to pursue such a career.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Individual fellowships are made to students seeking predoctoral or postdoctoral support for full-time research training or who are enrolled in an formal M.D./Ph.D. program. An institutional allowance will be provided to the sponsoring institution for each awardee. In addition, any domestic public or nonprofit institution may apply for an institutional research training grant in a specified area of research from which a number of stipend awards will be made

to individuals selected by the training program director at the institution. Support is available for both predoctoral and postdoctoral trainees and will include an amount for institutional expenses. Indirect costs may be requested at 8 percent of total direct costs, exclusive of tuition and related fees and expenditures for equipment, or actual indirect costs, whichever is less. Postdoctoral students receiving fellowships or stipend support under either individual or institutional grants for 12 months or less are obligated to pay back this support through an equivalent period of continued postdoctoral training or a period of health related research and/or teaching activities after the completion of their training. Postdoctoral individuals in their thirteenth and subsequent months of support will incur no further obligation, and such support will be considered acceptable postdoctoral payback service. Annual FY 08 stipend levels will be \$20,772 for predoctoral awards and \$36,996 to \$51,036 for postdoctoral awards dependent upon the number of years of relevant postdoctoral experience. Research training support may not be used for internships or other clinical training. COR Honors Undergraduate institutional training grants are designed to increase the number of well-prepared students from institutions with substantial minority enrollments who can compete successfully for entry into Ph.D. programs relevant to the mission of the NIMH. Stipends of \$10,956 per year may be requested for each full-time, junior/senior level trainee and other related institutional costs may be requested as described in the applicable program announcement.

Applicant Eligibility:

For a training grant, an institution must be a domestic public or nonprofit private organization. The applicant institution must have, or be able to develop, the staff and facilities to provide the proposed research training in an environment suitable for performing high-quality research. An applicant for individual predoctoral fellowship support must be enrolled in a research doctoral degree program by the proposed activation date of the fellowship. A postdoctoral applicant must have received a Ph.D., Psy.D., M.D., D.D.S., Sc.D., D.N.S., D.O., D.S.W., or equivalent degree from an accredited institution to be eligible for an individual postdoctoral fellowship. An applicant for an M.D./Ph.D. individual fellowship must be enrolled in an M.D./Ph.D. program at an approved medical school, accepted in a related scientific program, and supervised by a mentor in the related scientific field. All individuals to be supported under the NRSA program must be citizens or noncitizen nationals of the United States, or have been lawfully admitted to the United States for permanent residence.

Beneficiary Eligibility:

Individuals and nonprofit organizations will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Subpart Q, and 42 CFR Part 66.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Institutional training applications must be prepared using the PHS 398 research grant application instructions and forms (revised 11/2007). The PHS 398 is available at <http://grants1.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. Individual fellowship applications must be prepared using the PHS 416-1 individual fellowship award instructions and forms (revised 10/2005) that is available at <http://grants.nih.gov/grants/funding/416/phs416.htm> in an interactive format. Conversion of training applications electronic submission is currently on hold. For further assistance contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov. Information concerning current areas of science being supported is available from the National Institute of Mental Health (NIMH). Completed paper forms should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 1040, MSC 7710, Bethesda, MD 20892-7710 or Bethesda, MD 20817 (for express/courier service). This program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Applications are reviewed for scientific merit by nonfederal consultants recruited nationwide from the mental health field. Applications other than fellowships must also be reviewed by the National Advisory Mental Health Council. If an institutional training grant is recommended for approval and a decision to make an award is made, a formal award notice will be sent to the applicant institution. If an individual fellowship is recommended for approval and a decision to make an award is made, a formal award notice will be sent to the designated sponsoring institution business official. Postdoctoral students to be supported must submit required appointment forms, including a payback agreement and a Statement of Non-Delinquency on Federal Debt Certification. Predoctoral trainees whose appointments began after June 10, 1993, no longer incur a payback obligation. Honors undergraduate trainees incur no payback obligations as a result of COR support.

Deadlines:

NRSA Institutional training Grants: May 25. AIDS Institutional training grants:

January 7, May 7, and September 7. Fellowships: April 8, August 8, and December 8 or April 13, August 13, and December 13 for Diversity F31 Fellowships.

Range of Approval/Disapproval Time:

From 5 to 7 months. Review of AIDS-related applications is expedited.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

By law an individual may receive no more than 5 years of support in the aggregate at the predoctoral level and no more than 3 years of support in the aggregate at the postdoctoral level under the NRSA program (through an individual and/or institutional award). Any exception to these limitations requires a waiver from the Director of the awarding Institute based on review of justification from the awardee and the program director for the institutional grant.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

By law an individual may receive no more than 5 years of support in the aggregate at the predoctoral level and no more than 3 years of support in the aggregate at the postdoctoral level under the NRSA program (through an individual and/or institutional award). For an M.D./Ph.D. fellowship, 6 years may be requested. In practice, recommendations of review committees are generally for 2 or 3 years. Awards for institutional grants may be made for project periods of up to 5 years.

Reports:

Annual progress reports are required. Financial status reports are required for Institutional and COR Honors Undergraduate National Research Service Awards. Annual reports of activities for tracking payback provisions are required from postdoctoral fellows and trainees upon termination of support.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133. For nongovernmental grant recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0892-0-1-552.

Obligations:

Fellowships and Grants) FY 07 \$49,858,136; FY 08 est \$49,858,000; and FY 09 est \$50,197,000.

Range and Average of Financial Assistance:

FY 07: Predoctoral stipends: \$20,772. Postdoctoral stipends: \$36,996 to \$51,036; COR Honors Undergraduate: \$10,956.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 275 individual awards and 170 institutional grants were awarded. In fiscal year 2008, an estimated 275 individual awards and 170 institutional grants will be made. In fiscal year 2009, an estimated 275 individual and 170 institutional grants will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 66. NIH Grants Policy Statement (Revised 12/2003).

Regional or Local Office:

None.

Headquarters Office:

Program Contacts: Dr. Ellen Stover, Director, Division of AIDS and Health and Behavior Research; Telephone (301) 443-9700. Dr. Donna Mayo, Research Training and Career Development; Telephone: (301) 443-1829; and Dr. David Stoff, AIDS Research Training and Career Development; Telephone: (301) 443-4625, both from the Division of AIDS and Health and Behavior Research. Dr. Wayne Goodman, Director, Division of Adult Translational Research and Treatment Development; Telephone (301) 435-8031. Dr. Mark Chavez, Associate Director, Research Training and Career Development, Division of Adult Translational Research and Treatment Development; Telephone: (301) 443-9700. Dr. Linda Brady, Director, Division of Neuroscience and Basic Behavioral Science; Telephone (301) 443-3563. Dr. Nancy Desmond, Director, Research Training and Career Development Office, Division of Neuroscience and Basic Behavioral Science; Telephone: (301) 443-3107. Dr. Mary Ellen Oliveri, Director, Division of Developmental Translational Research; Telephone

(301) 443-5944. Dr. Cheryl Boyce, Research Training and Career Development, Division of Pediatric Translational Research; Telephone (301) 443-5944. Dr. Philip Wang, Director, Division of Services and Intervention Research; Telephone (301) 443-6233. Dr. Lauren Hill, Research Training and Career Development, Division of Services and Intervention Research; Telephone (301) 443-2638. Dr. Robert Mays, Acting Director, Office for Special Populations; Telephone (301) 443-2847. Training Coordinators, Dr. Mark Chavez; Telephone: (301) 443-9700 and Dr. Nancy Desmond; Telephone: (301) 443-3107. Grants Management Contact: Ms. Rebecca Claycamp, Grants Management Officer, National Institute of Mental Health, National Institutes of Health, Department of Health and Human Services; 6001 Executive Blvd, Room 6122, MSC 9605, Bethesda, MD 20892; Telephone (301) 443-2811. Use the same numbers for FTS.

Web Site Address:

<http://www.nimh.nih.gov>.

RELATED PROGRAMS:

93.242, Mental Health Research Grants; 93.281, Mental Health Research Career/Scientist Development Awards.

EXAMPLES OF FUNDED PROJECTS:

(1) Understanding mental illness and behavioral disorders; (2) diagnosis and interventions for mental disorders; (3) mental health service delivery and evaluation; and (4) basic neuroscience, behavioral science, and genetic science relevant to mental disorders.

CRITERIA FOR SELECTING PROPOSALS:

The following considerations will be used in determining projects to be funded. For Training Grants: (1) quality of the proposed training program as determined by peer review; (2) availability of funds; and (3) program priority. For Fellowships: (1) overall merit of the application; (2) relevance of the application to the research priorities and mission of the institute and programs; and (3) availability of funds.

93.283 CENTERS FOR DISEASE CONTROL AND PREVENTION-INVESTIGATIONS AND TECHNICAL ASSISTANCE

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended; Sections 301, 307, 310, 311, 317(k)(2), 322(e), 325, 327, 328, 352, 361-369 and 1703 of the PHS Act, (42 U.S.C. Sections 243, 247b(k)(2) and 300u-2), as amended; Federal Mine Safety and Health Amendments Act of 1977, as amended; Occupational Safety and Health Act of 1970; Departments of Labor, Health and Human Services, Education, and Related Agencies Appropriations Act of 1988, Public Law 100-202.

OBJECTIVES:

To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts. **STEPS-** To enable communities to reduce the burden of chronic disease, including: preventing diabetes among populations with pre-diabetes; increasing the likelihood that persons with undiagnosed diabetes are diagnosed; reducing complications of diabetes; preventing overweight and obesity; reducing overweight and obesity; and reducing the complications of asthma. **STEPS** will achieve these outcomes by improving nutrition; increasing physical activity; preventing tobacco use and exposure, targeting adults who are diabetic or who live with persons with asthma; increasing tobacco cessation, targeting adults who are diabetic or who live with persons with asthma; increasing use of appropriate health care services; improving the quality of care; and increasing effective self-management of chronic diseases and associated risk factors.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

To strengthen State and local disease prevention and control programs, such as tuberculosis, childhood immunization, and sexually-transmitted diseases. To support national and local programs to combat disability related to epilepsy through education, partnership development, and enhanced communication. Services and program support: Epidemic aid; technical assistance (field studies and investigations of ongoing disease problems; occupational safety and health); consultation; dissemination of technical information; and provision of specialized services and assistance, including responses to public health emergencies. Training: Training State and local health professionals in broad areas of epidemiology, disability, and research programs such as hospital infections, hepatitis, vector-borne diseases, food-borne diseases, epilepsy, and tuberculosis, at the State or other health related organization's request.) To

support capacity building, program planning, development, implementation, evaluation, and surveillance for current and emerging chronic disease conditions related to tobacco use. To achieve four Program Goals through community interventions and mobilization; counter-marketing; policy development and implementation, and surveillance and evaluation. Goals include preventing initiation to tobacco use among young people; eliminate exposure to second hand smoke; promote cessation among adults and young people who use tobacco; and identify and eliminate tobacco-related disparities among specific population groups. Lead regional efforts to prevent and reduce the use of tobacco and exposure to secondhand smoke. Conduct evaluations and implementation of culturally relevant and community competent tobacco control and prevention strategies and continue regional capacity building efforts. To address goal four of the National Tobacco Control Program (Identify and Eliminate Tobacco-Related Disparities). To increase the o eliminate health disparities among segments of the population, including differences that occur by gender, race or ethnicity, education, income, disability, geographic location, or sexual orientation. Establish a National Network consortium among six priority populations to coordinate and evaluate tobacco control and prevention initiatives through a broad national strategy to prevent and reduce tobacco use and exposure to second hand smoke and to eliminate tobacco-related disparities among priority populations. To provide funding to establish and/or enhance state-based telephone quitlines to provide tobacco cessation telephone counseling to the public at large. To fund projects that address the promotion of cessation among adults and young people who use tobacco (quitlines.) Address at the National level the promotion of cessation among adults and young people who use tobacco (quitlines). To build state arthritis programs that exponentially expand access and use of evidence-based interventions by embedding intervention programs into existing systems, conducting surveillance and data analysis to inform decision making, and developing state-level intervention, policy, and communication focused partnerships that further program goals. To strengthen state oral health programs and public health core capacity and to reduce inequalities in the oral health of targeted populations. To improve state public health programs, including support for program leadership and staff, to monitor oral health risk factors, and for developing and evaluating prevention programs. To support evidenced-based interventions to prevent oral disease, including community water fluoridation and school-based dental sealant programs. To identify and disseminate information on best practices for state and community oral health programs. To assess state programs and provide recommendations to address gaps and weaknesses. To collaborate in development of the National Oral Health Surveillance System and to cosponsor the annual National Oral Health Conference. To develop initiatives related to oral disease prevention and chronic disease and health promotion capacity. To improve and strengthen state oral health infrastructure by providing technical assistance to state health agencies and coalitions, developing and implementing a plan to advance the Surgeon General's National Call to Action to Promote Oral Health. To coordinate the dissemination of comprehensive oral disease prevention information and health promotion programmatic expertise among state and local agencies and public and private sector organizations in the United States. To develop and promote policies to address oral disease prevention for high-risk adults. To identify modifiable barriers to optimal care across diverse managed care settings.

Applicant Eligibility:

States, political subdivisions of States, local health authorities, Federally recognized or state recognized American Indian/Alaska Native tribal governments and organizations with specialized health interests may apply. Colleges, universities, private nonprofit and public nonprofit domestic organizations, research institutions, faith-based organizations, and managed care organizations for some specific programs such as Diabetes.

Beneficiary Eligibility:

States, political subdivisions of States, local health authorities, and individuals or organizations with specialized health interests will benefit Colleges, universities, private non-profit and public nonprofit domestic organizations, research institutions, faith-based organizations, and managed care organizations for some specific programs such as Diabetes.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For other grantees, costs will be determined by HHS regulations 45 CFR 74, Subpart Q.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. When indicated in individual program announcements, applications are subject to review in accordance with the National Health Planning and Resources Development Act, Public Law 93-641, as amended. For the technical assistance component of this program, when indicated in individual program announcements, applications are eligible for coverage under E.O. 12372. This program is eligible for coverage under the Public Health System Reporting Requirements. Under these requirements, all

community-based nongovernmental applicants must report to the appropriate State and/or local health agency as determined by the applicant.

Application Procedure:

Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. To apply for research funding opportunities, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. State and local governments may use Form 5161. An original and two copies must be submitted. This program is subject to the provisions of 45 CFR Parts 74 or 92, as appropriate.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the Headquarters Office listed below for application deadlines.

Range of Approval/Disapproval Time:

About 120 days from receipt of application.

Appeals:

None.

Renewals:

If additional support is desired to continue a research project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 3 years.

Formula and Matching Requirements:

There are no statutory formula or matching requirements for most programs. There is a 1 to 4 match requirement for the tobacco control program, this excludes quitline. Steps to a Healthier US: A Community-Focused Initiative to Reduce the Burden of Asthma, Diabetes, and Obesity requires the following: Matching funds are required from non-Federal sources in an amount not less than 25 percent of Federal funds awarded to Large City and Urban Community Grantees. State grantees funded under the State-Coordinated Small City and Rural Community Program are required to provide a match not less than 50 percent of the funds retained by the states to support the funded communities through technical assistance and other means. In no case shall the amount to be matched be less than 25 percent of the award to the state. In an effort to move grantees toward a self-sustaining program, the HHS Secretary may require an increase in the match requirements in years 2 through 5 of the program. For the purpose of the initial application's 5 year plan and budget, applicants should calculate budgets based on the first year match requirements listed above.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 1 to 3 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System.

Reports:

Financial status and progress reports are required annually. Upon completion of the project, final financial status and performance reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Total Grants and Cooperative Agreements). Adult and Community Health: Alzheimer's: FY 07 est \$1,100,000; FY 08 est \$1,100,000; and FY 09 \$ 750,000

available. Behavioral Risk Factor Surveillance System (BRFSS): FY 07\$4,200,000; FY 08 \$4,200,000; and FY 09 est not available. Steps to a Healthier USA: FY 07 \$36,166,511; FY 08 \$19,679,010; and FY 09 est \$11,350,000. Tobacco Core: FY 07 est \$54,173,858; and FY 08 est \$39,031,723(9-month funding; and FY 09 est \$64,000 (Core and Quitline). Quitlines: FY 07 est \$12,726,240; and FY 08 est \$9,124,267 (9-months of funding; and FY 09 - (Quitline funds will be combined with the core). National Networks: FY 07 est \$2,500,000; and FY 08 \$2,500,000; FY 09 \$2,500,000. Tribal Support Centers: FY 07 est \$1,615,313; FY 08 \$1,615,313 and FY09 7,615,313. Translating Research Into Action for Diabetes (TRIAD): FY 07 \$4,265,636; and FY 08 \$ 4,265,636; and FY 09 est not available. National Organizations to Support Epilepsy: FY 07 \$3,503,797; FY 08 est \$3,672,115, and FY 09 est \$3,672,115. National Organizations to Support Arthritis: FY 07 \$756,517; FY 08 est \$619,249, and FY 09 est \$750,000. Chronic Disease Prevention and Health Promotion Component 5-Arthritis: FY 07 \$5,800,000. State Public Health Approaches to Arthritis Component 1-Arthritis: FY 08 est \$5,918,338 and FY 09 est \$5,918,338. State Public Health Approaches to Arthritis Component 2-Lupus FY 08 est \$2,200,000 and FY 09 est \$2,200,000 Office of Minority FY 07 est not available; FY 08 est not available; and FY 09 est \$4,329,969. National Center for Environmental Health: FY 07 est not available; FY 08 \$41,929,450; and FY 09 est \$54,215,848.

Range and Average of Financial Assistance:

Limited resources are dependent on usual health effects and needs. Diabetes-- Translating Research Into Action for Diabetes (TRIAD): \$600,000 to \$840,000.

PROGRAM ACCOMPLISHMENTS:

The Centers for Disease Control and Prevention (CDC) is the Federal agency responsible for disease and injury prevention. The agency also focuses on prevention of disability and secondary conditions. As the Nation's prevention agency CDC's vision for the 21st century is "Healthy People in a Healthy World" reflecting the agency's concern that people's health is important both nationally and internationally and that the environment is critical to health in the future. Approximately 772 projects were funded in fiscal year 2001. Examples of the funded projects include: behavioral risk factor surveillance system (BRFS), national program of cancer registries, population based surveillance of fetal alcohol syndrome, grants for radiation studies and research, public health conference support grant program, fatality assessment and control evaluation, surveillance of elevated blood levels in adults, and oral health and epilepsy program activities. The same is anticipated for fiscal years 2002 and 2003. The Centers for Disease Control and Prevention (CDC) is the federal agency that has responsibility for prevention and monitoring of oral disease. This program announcement addresses the Healthy People 2010 priority areas of Oral Health (Chapter 21), Public Health Infrastructure (Chapter 23), and Educational and Community-Based Programs (Chapter 7). The purpose of this program is to establish, strengthen and expand the capacity of States, territories, and tribes to plan, implement and evaluate oral disease prevention and health promotion programs. These programs may address dental caries (tooth decay), periodontal disease, oral and pharyngeal cancers, and other oral conditions considered to be public health problems. In FY 2001, five states (Arkansas, Illinois, Michigan, Nevada, and New York), and one territory (Palau) received support under this program announcement.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the application kit and identified on the notices of award. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Elijah West, Extramural Program Team Leader, National Center for Chronic Disease Prevention and Health Promotion, Centers for Disease Control and Prevention (CDC) Telephone: (770) 488-5549; Grants Management Contact: Nealean Austin, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention (CDC), Department of Health and Human Services, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488-2700.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Cooperative agreements to States for the Behavioral Risk Factor Surveillance system, (2) Public Health Conference Support Grants, (3) Cooperative Agreements to States for Core Capacity Building for Tobacco Prevention and Control Programs, (4) Cooperative agreements to States for the National Program of Cancer Registries, (5) Cooperative agreements for Community Coalition Partnerships for the Prevention of Teen Pregnancy, (6) Cooperative Agreements to States for the Pregnancy Risk Assessment Monitoring System, (7) Cooperative Agreement for epilepsy program activities, (8) Cooperative Agreement for State Public Health Approaches to Arthritis and (9) Cooperative Agreement for Oral Disease Prevention. Nevada will establish a State Office of Oral Health to establish oral health policies, work with the dental community,

recruit dental health professionals, identify and develop additional resources, and staff a statewide advisory committee. The State also plans to develop a State oral health plan. Nevada also received funding to implement a school-based dental sealant program in 17 school districts. (9) Cooperative Agreements to managed care organizations (TRIAD)-- a multicenter cohort study of diabetes in managed care settings. (10) Cooperative agreement program is to fund organizations that will provide technical assistance to states and local governments and other entities including nontraditional partners (partners that do not usually have public health as their primary mission and goal, but will have areas of common or overlapping interest that includes public health, such as, but not limited to, education organizations, healthcare organizations, faith-based/community organizations and other federal or tribal agencies) to strengthen the nation's public health capacity by improving the quality and performance of public health practice, public health systems, public health data and data systems, and the public health workforce.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.284 INJURY PREVENTION PROGRAM FOR AMERICAN INDIANS AND ALASKAN NATIVES-COOPERATIVE AGREEMENTS

(Injury Prevention)

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 301(a), as amended.

OBJECTIVES:

To improve the quality of the health of American Indians and Alaska Natives by developing the capacity of tribes to address their injury problems.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The assistance is used for three types of injury prevention related projects: (1) Part I Basic: Build or enhance local injury prevention capacity; and (2) Part II Intervention: Implement a proven or promising injury prevention intervention. This assistance is available to federally-recognized Indian tribes, tribal organizations, and urban Indian organizations.

Applicant Eligibility:

Federally-recognized tribes, tribal organizations, non-profit organizations serving primarily American Indians and Alaska Natives, and urban Indian organizations may apply.

Beneficiary Eligibility:

Individuals who are members of an eligible applicant tribe, band, or group or village and who may be regarded as within the scope of the Indian health and medical service program and who are regarded as an Indian by the community in which he lives as evidenced by such factors as tribal membership, enrollment, residence on tax exempt land, ownership or restricted property, active participation in tribal affairs or other relevant factors in keeping with general Bureau of Indian Affairs practices in the jurisdiction.

Credentials/Documentation:

Certification of American Indian or Alaska descent is required for direct services. Provisions of cooperative agreement require tribal endorsement in the form of Resolution to sanction tribal participation. Costs will be determined in accordance with OMB Circular No. A-87 for State, local, and Indian tribal governments (and Circular No. A-122 for Nonprofit Organizations) implemented through applicable grant administration regulations 45 CFR, 92 (45 CFR, 74 for nonprofit organizations).

Preapplication Coordination:

An applicant seeking to serve more than one tribe must have approval of each tribe involved via a resolution. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Standard application forms, as furnished by IHS and required by 45 CFR, 92, for State and local governments and 45 CFR, 74, for nonprofit organizations, must be used for this program. Injury Prevention Programs are processed and administered by IHS Headquarters. This program is subject to the provisions of 45 CFR 92 for Indian tribes and Part 74 for Nonprofit organizations, and OMB Circulars No. A-110 and A-102.

Award Procedure:

Cooperative agreements are awarded on a competitive basis with processing and final approved by Indian Health Service Headquarters.

Deadlines:

Cooperative agreement applications must be submitted as required in the program announcement.

Range of Approval/Disapproval Time:

Cooperative agreements are approved/disapproved within 90 days to 120 days from receipt of the application/proposal in IHS Headquarters.

Appeals:

Cooperative agreement appeals will follow PHS appeals procedures: 42 CFR, Part 50 Subpart D and DHHS appeals procedures: 45 CFR, Part 16.

Renewals:

Renewal of multi-year cooperative agreements is on a year-to-year basis and requires the submission of continuation applications.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Administrative requirements under 45 CFR, 92, for grants to Indian tribal governments and 45 CFR, 74 to nonprofit tribal organizations and urban Indian organizations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations" entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year except as noted in OMB Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, that are pertinent to the HHS grant in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, 92.42, grantees are required to maintain grant records 3 years after they submit their final expenditures report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) Injury Prevention: FY 07 \$1,690,505; FY 08 \$1,400,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Injury Prevention: Part I Basic Core Capacity Injury Prevention up to \$75,000; Part II Injury Intervention up to \$10,000.

PROGRAM ACCOMPLISHMENTS:

It is estimated that 33 cooperative agreements will be awarded in fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 74, PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000 (Rev. April 1, 1994); Program Guidelines for Competitive Projects.

Regional or Local Office:

See Appendix IV of the Catalog for Indian Health Service Area Offices.

Headquarters Office:

Injury Prevention Program Contact: Ms. Nancy Bill, Injury Prevention Program Manager, Indian Health Service, 801 Thompson Avenue, Suite 120, Rockville, MD 20852. Telephone: (301) 443-1054. For Grants Contact: Ms. Kimberly Pendleton, Senior Grants Management Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204. Use the same number for FTS.

Web Site Address:

<http://www.ihs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Basic Core Capacity Injury Prevention Program Development or Enhanced Injury Prevention Program Development, implementation of proven or promising injury prevention projects that are based on addressing local injury problems and provision of training for tribes, tribal organizations, and urban Indian organizations to address local injury prevention issues and concerns.

CRITERIA FOR SELECTING PROPOSALS:

(1) The apparent capability of the applicant to organize and manage the proposed project successfully considering the adequacy of staff, management systems, equipment and facilities; (2) the soundness of the applicant's plan for conducting the project and for assuring effective utilization of grant funds; (3) the adequacy of the budget in relation to the scope of the project and available funds; and (4) the relative effectiveness of the applicant's plan to carry out each of the requirements as set forth in the application.

93.285 NATIONAL INSTITUTES OF HEALTH PEDIATRIC RESEARCH LOAN REPAYMENT PROGRAM

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-310, 42 U.S.C. 288-6.

OBJECTIVES:

To attract and retain health professionals to pediatric research careers by offering educational loan repayment for participants who agree by written contract to engage in pediatric research in a qualifying nonprofit institution for a minimum of 2 years.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged in pediatric research in a qualified nonprofit institution or Federal or other domestic government agency (State or local), and who are engaged in research that is supported by a nonprofit foundation, nonprofit professional association, or other nonprofit entity, or a Federal or other domestic government agency (State, local). Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Participants may apply for and the Secretary may grant extension contracts for one-year or two-year periods, as determined by the Secretary, if the individual continues to engage in qualifying research. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. Maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements, plus discretionary state and local tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the program participants.

Applicant Eligibility:

Eligible applicants must: (1) be a U.S. citizen, U.S. national, or permanent resident of the United States; (2) have a Ph.D., M.D., D.O., D.D.S., D.M.D., D.P.M., Pharm.D., D.C., N.D., or equivalent doctoral degree from an accredited institution; (3) have total qualifying educational loan debt equal to or in excess of 20 percent of their institutional base salary on the date of program eligibility (the effective date that a loan repayment contract has been executed by the Secretary of Health and Human Services or designee); (4) conduct qualifying research supported by a domestic nonprofit foundation, nonprofit professional association, or other nonprofit institution, or a U.S. or other government agency (Federal, State, or local); (5) engage in qualified pediatric research (pediatric research is research that is directly related to diseases, disorders, and other conditions in children); (6) engage in qualified pediatric research for at least 50 percent of their time, i.e., not less than 20 hours per week based on a 40 hour week; (7) agree to conduct research for which funding is not prohibited by Federal law, regulation, or HHS NIH policy, and in accordance with applicable Federal, State and local law (e.g., applicable human subject protection regulations); and (8) sign and submit to the Secretary of Health and Human Services, at the time of application submission, a contract agreeing to engage in pediatric research in a qualifying institution for a minimum of 2 years. Full-time employees of Federal Government agencies are ineligible to apply for LRP benefits. Part-time Federal employees who engage in qualifying research as part of their non-Federal duties for at least 20 hours per week based on a 40 hour week, and who are not compensated as Federal employees for their research, are eligible to apply for loan repayment if they meet all other eligibility requirements.

Beneficiary Eligibility:

Health professionals who are interested in pursuing pediatric research careers who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants electronically transmit the following documents to the NIH: Applicant Information Statement, Biosketch, Personal Statement, Description of Research Activities, 3 Recommendations, Loan Information including current account statement(s) and promissory note(s) or disclosure statement(s), Assessment of Research Activities Statement (completed by the research supervisor), and Description of the Research Environment (completed by the research supervisor), Training or Mentoring Plan (completed by the research supervisor). Institutional Contacts electronically transmit a certification that: (a) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (b) assures that the applicant is or will be engaged in qualifying research for 50 percent of their time (or not less than 20 hours per week based on a 40 hour week); (c) certifies that the institution is non-profit (exempt from tax under 26 USC 501), and (d) provides the applicant's

institutional base salary.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must access and submit the Loan Repayment Program application via the website www.lrp.nih.gov. Applications submitted to the NIH Division of Loan Repayment are first examined to determine if the basic eligibility requirements are satisfied. If these requirements are met, the application is forwarded to the NIH Center for Scientific Review for a determination regarding which NIH Institute or Center the application will be assigned to for review, scoring, and ranking.

Award Procedure:

The NIH Institutes and Centers approve or disapprove applications for participation in the Pediatric Research Loan Repayment Program. When an Institute or Center approves an application, the NIH Office of Loan Repayment notifies individuals of their award amount, provides a repayment schedule that covers the period of participation, and a Loan Repayment Program Contract is executed by an authorized representative of the Secretary, DHHS. If the approved research assignment changes, or if the employing research institution changes, the participant must have their continued eligibility re-certified by the Institute or Center which approved their application. Contact the NIH via e-mail at LRP@NIH.GOV for further guidance.

Deadlines:

Review the Loan Repayment Program website www.lrp.nih.gov, or contact the Headquarters Office listed below for the application deadlines.

Range of Approval/Disapproval Time:

The approximate time for approval/disapproval is 6 months from the closing date of the annual application period.

Appeals:

None.

Renewals:

At the conclusion of the initial 2-year contract, participants may apply and be considered for subsequent 1 or 2-year continuation contracts under the application and approval procedures specified above. Eligible renewal applications that score within the funding range receive continuation contracts.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One or 2-year continuation contracts are available for eligible individuals whose renewal applications score within the funding range. Payments will be made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services.

Reports:

Program participants must be periodically certified by their research supervisor to have been engaged in the qualifying research project for a minimum 50 percent effort (not less than 20 hours per week based on a 40 hour week) during the service period.

Audits:

None.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-4554-0-1-552.

Obligations:

(Loan Repayments) FY 07 \$17,328,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

For initial two-year contract periods, loan repayments awards may range from \$2,394 to \$70,000; Tax reimbursements range from \$934 to \$31,679. The average cost which includes loan and tax reimbursement is \$50,843.

PROGRAM ACCOMPLISHMENTS:

NIH estimates that 391 awards will be made in fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in the Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-310, 42 U.S.C. 288-6. The NIH PR-LRP was initially announced by publication of a Notice in the Federal Register (Vol. 66, No. 173), and updated in Federal Register (Vol. 68, No. 61).

Regional or Local Office:

Not applicable.

Headquarters Office:

Program and Business Contact: Alfred C. Johnson, Ph.D., Division of Loan Repayment, National Institutes of Health, 6011 Executive Blvd., Room 206, MSC 7650, Bethesda, MD 20892-7650. LRP Helpline: (1-866) 849-4047. Fax: (1-866) 849-4046. E-mail: lrp@nih.gov.

Web Site Address:

www.lrp.nih.gov.

RELATED PROGRAMS:

93.162, National Health Service Corps Loan Repayment Program; 93.164,

Indian Health Service Educational Loan Repayment; 93.209, Contraception and Infertility Research Loan Repayment Program; 93.220, Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.307, Minority Health and Health Disparities Research; 93.308, Extramural Loan Repayment for Individuals from Disadvantaged Backgrounds Conducting Clinical Research; 93.364, Nursing Student Loans; 93.936, National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program.

EXAMPLES OF FUNDED PROJECTS:

Transgenic zebrafish models of acute leukemia. The role of the Ku Heterodimer in Telomere function and chromosome stability. Gene therapy for the treatment of primary immunodeficiencies. Pilot study of hypericum for juvenile depression.

CRITERIA FOR SELECTING PROPOSALS:

The NIH Institutes and Centers approve or disapprove applications for participation in the Pediatric Research Loan Repayment Program. Applications that are on time, complete and eligible are referred to the appropriate NIH Institute or Center by the NIH Center for Scientific Review. The NIH Institutes and Centers convene panels comprised of non-NIH scientists to review, score and rank applications. In evaluating the application, reviewers are directed to consider the following components as they relate to the likelihood that the applicant will continue in a research career: (1) potential of the applicant to pursue a career in research, and (2) quality of the overall environment to prepare the applicant for a research career.

93.286 DISCOVERY AND APPLIED RESEARCH FOR TECHNOLOGICAL INNOVATIONS TO IMPROVE HUMAN HEALTH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act; Title IV, Sections 401(b)(1) and 467z; Public Law 106-505.

OBJECTIVES:

To support hypothesis, design, technology, or device-driven research relation to the discovery, design, development, validation, and application of technologies related to biomedical imaging and bioengineering. The program includes biomaterials (biomimetics, bioprocessing, organogenesis, rehabilitation, tissue engineering, implant science, material science, interface science, physics and stress engineering, technology assessment of materials/devices), biosensors/biotransducers (technology development, technology assessment, development of algorithms, telemetry), nanotechnology (nanoscience, biomimetics, drug delivery systems, drug bioavailability, microarray/combinatorial technology, genetic engineering, computer science, technology assessment), bioinformatics (computer science, information science, mathematics, biomechanics, computational modeling and simulation, remote diagnosis and therapy), imaging device development, biomedical imaging technology development, image exploitation, contrast agents, informatics and computer sciences related to imaging, molecular and cellular imaging, bioelectrics/biomagnetics, organ and whole body imaging, screening for diseases and disorders, and imaging technology assessment and surgery (technique development and technology development).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Research Grant funds may be used for salaries, consultation, equipment, travel and other usual costs, subject to Federal regulations applicable to the grant. Individual National Research Service Awards (NRSA) are made directly to individuals for research training in specified areas related to the mission of NIBIB. Institutional NRSA may be made to institutions to enable them to accept individuals for research training. Each individual who receives a NRSA postdoctoral fellowship or traineeship is obligated upon termination of the award to comply with certain service and payback provisions. Small Business Innovation Research (SBIR) Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Only Phase I awardees are eligible to apply for Phase II support. Small Business Technology Transfer (STTR) Phase I grants are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

Any corporation, public or private institution or agency, or other legal entity, either nonprofit or for-profit, may apply for a research grant. An applicant for an individual NRSA must be a citizen of the United States or lawfully admitted for permanent residence. Those on temporary or student visas are not eligible. An eligible institution for an institutional NRSA must be capable of providing

predoctoral and/or postdoctoral research training opportunities for engineers, bioengineers or other. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit; are not dominant in the field in which research is being proposed; and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the United States or its possessions. To be eligible for funding, a grant application must be reviewed for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Credentials/Documentation: Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. For an individual NRSA, the applicant's academic record, research experience, citizenship, and institutional sponsorship should be documented in the application. For an institutional NRSA, the applicant organization must show the objectives, methodology and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and detailed budget justification for the amount of grant funds requested. Costs will be determined in accordance with OMB Circular No. A-21, Cost Principles for Educational Institutions and OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Use of PHS 398 (Rev. 5/01; updated 8/8/03) and associated forms are required for all applications submitted to the NIH.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application Form PHS 398 (Rev. 5/01; updated 8/8/03) is the standard form. Application kits are available at most institutional offices of sponsored research and may be obtained from the Office of Extramural Outreach and Information Resources, National Institutes of Health, 6701 Rockledge Drive, MSC 7910, Bethesda, MD 20892-7910, Telephone: (301) 435-0714, E-mail: grantsinfo@nih.gov. Alternatively, the application kit can be obtained on the World Wide Web, <http://grants.nih.gov/grants/funding/phs398/phs398.html>. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. The standard application forms, as furnished by PHS and required by 45 CFR Part 92 for State and local governments, must be used for this program. The same application form will be used for all types of applications including training (NRSA), both individual and institutional, and SBIR/STTR. The training (NRSA) program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

All applications for research or training are reviewed for scientific merit by an appropriate initial review group and then by a national advisory council. All applications favorably recommended compete for available funds on the basis of scientific merit and program emphasis. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications

receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Research Grants: February 1, June 1, and October 1. Renewals: March 1, July 1, and November 1. Individual NRSA's: April 5, August 5, and December 5. Institutional NRSA's: January 10, May 10 and September 10. SBIR or STTR: April 1, August 1, and December 1.

Range of Approval/Disapproval Time:

Research Grants: From 6 to 9 months. NRSA's: From 6 to 9 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants2.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Research Grant applications submitted for renewal are reviewed and selected for funding on a competitive basis. NRSA awards may be made for 1 to 5 years. No individual may receive more than 5 years of aggregate NRSA support beyond the predoctoral level, and no individual may receive NRSA support for more than 3 years of support beyond the postdoctoral level. Institutional awards may be renewed.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Research Grant awards are usually made annually with no project periods to exceed 5 years in length. NRSA Institutional awards may be made for up to 5 years, and Individual awards may be made for up to 5 years at the predoctoral level, 3 years at postdoctoral level, and 2 years at senior level. Normally SBIR and STTR Phase I awards are for 6 months and Phase II awards are for 2 years.

Reports:

Progress reports are required each year. An annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of the project period. Recipients of NRSA's are required to file termination reports to ascertain compliance with the service and payback provisions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

7560898; 7570898; 7580898.

Obligations:

(Grants) FY 07 \$298,314,000; FY 08 est \$300,463,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$2,000 to \$2,190,831; \$328,181.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, approximately 830 awards were made; and in fiscal year 2008, approximately 838 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94- 50,000, (Rev.) April 1, 1994. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institute of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: William Heetderks, MD, PhD, National Institute of Biomedical Imaging and Bioengineering, National Institutes of Health, 6707 Democracy Blvd., Bethesda, MD 20892. Telephone Number: (301) 496-9388. Facsimile: (301) 480-4973. Business Contact: Chris Hollingsworth, National Institute of Biomedical Imaging and Bioengineering, National Institutes of Health, 6707 Democracy Blvd., Bethesda, MD 20892. Telephone Number:

(301) 451-6773. Facsimile: (301) 480-4530. Use the same numbers for FTS.

Web Site Address:

<http://www.nibib.nih.gov>.

RELATED PROGRAMS:

93.394, Cancer Detection and Diagnosis Research; 93.395, Cancer Treatment Research; 93.396, Cancer Biology Research; 93.306, Comparative Medicine; 93.867, Vision Research; 93.172, Human Genome Research; 93.837, Cardiovascular Diseases Research; 93.838, Lung Diseases Research; 93.839, Blood Diseases and Resources Research; 93.866, Aging Research; 93.273, Alcohol Research Programs; 93.855, Allergy, Immunology and Transplantation Research; 93.856, Microbiology and Infectious Diseases Research; 93.846, Arthritis, Musculoskeletal and Skin Diseases Research; 93.864, Population Research; 93.865, Child Health and Human Development Extramural Research; 93.929, Center for Medical Rehabilitation Research; 93.279, Drug Abuse and Addiction Research Programs; 93.173, Research Related to Deafness and Communication Disorders; 93.121, Oral Diseases and Disorders Research; 93.847, Diabetes, Endocrinology and Metabolism Research; 93.848, Digestive Diseases and Nutrition Research; 93.849, Kidney Diseases, Urology and Hematology Research; 93.113, Environmental Health; 93.821, Cell Biology and Biophysics Research; 93.859, Biomedical Research and Research Training; 93.862, Genetics and Developmental Biology Research and Research Training; 93.242, Mental Health Research Grants; 93.853, Extramural Research Programs in the Neurosciences and Neurological Disorders; 93.361, Nursing Research; 93.879, Medical Library Assistance.

EXAMPLES OF FUNDED PROJECTS:

(1) Studies to fully utilize multiple forms of information (MRI and EEG); (2) Construction and investigation of a scanning equalization digital radiography (SEDR) system to overcome the problems with projection x-ray imaging; (3) Utilization of functional magnetic resonance imaging (fMRI) technique based on the blood oxygen level dependent (BOLD) contrast to provide a robust and noninvasive tool for studying functional processing of neural network at physiological and pathological conditions; (4) Development of two complimentary intervention devices for treating stroke victims: mechanical clot extraction system and a neurovascular stent; (5) Development of molecular array biosensors using metallic nanoparticle clusters to capture in parallel a large number of molecular species from very small samples typical of most collection procedures; and (6) Production of nanoparticles containing agents that will have cell and tissue specificity, and demonstration of the effectiveness of these nanoparticles in attaining the intended specificity in tissue permeation and localization.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) Significance: Does this study address an important problem? If the aims of the application are achieved, how will scientific knowledge be advanced? What will be the effect of these studies on the concepts or methods that drive this field? (2) Approach: Are the conceptual framework, design, methods, and analyses adequately developed, well-integrated, and appropriate to the aims of the project? Does the applicant acknowledge potential problem areas and consider alternative tactics? (3) Innovation: Does the project employ novel concepts, approaches or method? Are the aims original and innovative? Does the project challenge existing paradigms or develop new methodologies or technologies? (4) Investigator: Is the investigator appropriately trained and well suited to carry out this work? Is the work proposed appropriate to the experience level of the principal investigator and other researchers (if any)? (5) Environment: Does the scientific environment in which the work will be done contribute to the probability of success? Do the proposed experiments take advantage of unique features of the scientific environment or employ useful collaborative arrangements? Is there evidence of institutional support? (6) the appropriateness of the proposed budget and duration in relation to the proposed research; (7) the relevance and importance to announced program objectives; (8) the adequacy of plans to include both genders, minorities and their subgroups, and children as appropriate for the scientific goals of the research. Plans for the recruitment and retention of subjects will also be evaluated; (9) the adequacy of the proposed protection for humans or the environment, to the extent they may be adversely affected by the project proposed in the application; and (10) the adequacy of the proposed plan to share data, if appropriate. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the

technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.288 NATIONAL HEALTH SERVICE CORPS SCHOLARSHIP PROGRAM

(NHSC Scholarship Program)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title III, Sections 338A and 338C-E, 338G.

OBJECTIVES:

To increase the supply of primary care physicians, dentists, behavioral and mental health professionals, certified nurse midwives, certified family nurse practitioners, and physician assistants and, if needed by the National Health Service Corps, other health professionals in Health Professional Shortage Areas (HPSA) within the United States by providing service-obligated scholarships to health professions students.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Service-obligated scholarships for full-time students of allopathic (M.D.) and osteopathic (D.O.) medicine, dentistry, family nurse practitioner, nurse midwifery, and primary care physician assistants. Other health disciplines may be included if needed by National Health Service Corps. Scholarships pay tuition and required fees to the school, a stipend to students and a single annual payment to the student to assist with other reasonable educational expenses. Reasonable expenses include books, supplies, equipment, uniforms, travel for the provision of clinical services, etc. The program requires a 1 year of service with a minimum 2-year service commitment post graduation. A maximum of 4 years of support may be awarded. Service is primarily a nonfederal salaried or contracted employee of a public or private non-profit entity, providing full-time primary health services. Services may also be in a fee for service private practice setting, or a Federal employee in Federal health systems such as the Indian Health Service, Immigration Health Service or Bureau of Prisons. Service sites are selected by the scholarship recipient from the list of high priority sites in HPSAs identified by the NHSC. Physicians receive their site list at the beginning of their last year of residency training; nurse practitioners, nurse midwives, and physician assistants receive their site list within 6 months of their graduation. Service sites are limited to the United States, territories, and successor States to the Trust Territories, the Republic of Marshall Islands, the Federated States of Micronesia, and the Republic of Palau. Deferments of service are granted to physicians to complete residencies in family practice, internal medicine, pediatrics, psychiatry, and obstetrics/gynecology.

Applicant Eligibility:

At the time of award, the applicant must be a U.S. citizen or national. The applicant must be enrolled or accepted for enrollment in an accredited school in the U.S., in a course of study leading to a degree in medicine, osteopathic medicine, dentistry, or other health professions. The applicant must be free of any Federal judgment liens or service obligation, have not defaulted on a Federal debt, and have no conflicting service obligation. The applicant must submit an application and a signed contract to the National Health Service Corps, Division of Applications and Awards, the Bureau of Clinician Recruitment and Service by the last Friday in March, agreeing to accept payment of scholarship and provide full-time primary health services in a Health Professional Shortage Area.

Beneficiary Eligibility:

U.S. citizens or nationals enrolled or accepted for enrollment in a State in a course of study leading to a degree in medicine, osteopathic medicine, dentistry or other health profession.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov website at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for Clinicians Recruitment and Service. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

NHSC scholarship awards are very competitive; the Program anticipates more applicants for scholarship awards than there are funds available. The applications of individuals who meet the eligibility criteria are scored numerically. All applicants will be notified if they are selected.

Deadlines:

Contact HRSA at www.hrsa.gov for deadline date(s).

Range of Approval/Disapproval Time:

Notification to successful and rejected applicants is made no later than 6 months after deadline from receipt of application.

Appeals:

None.

Renewals:

Renewal applications are not necessary for students in compliance; contracts may be multi-year funded through graduation (1 to 4 years, if the applicant signs the contract through the graduation date).

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Each award covers 1 to 4 years of support, as requested. No awardees may receive more than 4 years of support.

Reports:

Annual reports by schools indicating average student educational expenses. The academic institution where the student is enrolled must complete and submit a Verification of Enrollment Form each semester as a full-time student pursuing the program for which the scholarship was awarded. The employing facility must complete and send a semi-annual Employment Certification Form verifying that the participating health professional is involved full-time. The certification includes a signature by an appropriate official of the facility.

Audits:

None.

Records:

None.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 15,000,000; FY 08 \$14,805,558; and FY 09 est \$14,200,000.

Range and Average of Financial Assistance:

\$40,000 to \$320,000; \$150,000.

PROGRAM ACCOMPLISHMENTS:

Total awards made for FY 07 was 85 and an estimate for FY 08 is 85.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

National Health Service Corps Scholarship Program, Division of Applications and Awards, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, Department of Health and Human Services, Room 8A-55, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 594-4400. For 24-hour toll-free calls from outside MD: (1-800) 221-9393, use for requesting applications.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16, Rockville, MD 20857. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.108, Health Education Assistance Loans; 93.162, National Health Service Corps Loan Repayment Program; 93.364, Nursing Student Loans; 93.972, Health Professions Scholarship Program.

EXAMPLES OF FUNDED PROJECTS:

Scholarships covering tuition, monthly stipends and payments for other reasonable education expenses made to medical school students, dental students, as well as for students of family nurse practitioner, nurse midwifery and physician assistant programs.

CRITERIA FOR SELECTING PROPOSALS:

By statute, first priority will be given to any applicant for such assistance, who has previously received a scholarship under this section or under section 758 of the PHS Act. Priority for consideration will be given to all students who have characteristics which increase the probability they will continue professional practice in a Health Professional Shortage Area when they complete their service obligation. Third priority will be given to students who are from a "disadvantaged background."

93.289 PRESIDENT'S COUNCIL ON PHYSICAL FITNESS AND SPORTS

(PCPFS)

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

PCPFS was established by Executive Order 10673 dated July 16, 1956. The focus and scope of the Council have been changed periodically by previous Administrations, i.e., Executive Order 12345 dated February 2, 1982 as amended, Executive Order 12694; Executive Order 13138 dated September 30, 1999 and most recently by Executive Order 13265 dated June 6, 2002. PCPFS is governed by the provisions of Public Law 92-463 as amended; 5 U.S.C. Appendix 2. The most recent authority was given under Executive Order 13446 dated September 28, 2007.

OBJECTIVES:

PCPFS has no grant making authority; the Council has no funds available to offer any type of financial assistance. The objectives of the PCPFS are confined to promotion of physical activity and fitness for Americans of all ages by encouraging development, implementation and improvement of physical activity, fitness and sports programs. These objectives are accomplished by providing consultation and technical assistance, general publications and a web site, and by means of program evaluation and guidance to schools, government agencies, employee organizations, industrial organizations, recreation and park departments, youth organizations and others who wish to introduce or improve physical activity and fitness or sports programs.

TYPES OF ASSISTANCE:

Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

The Council does not have grant-making authority; the Council has no funds available, to offer any type of financial assistance. Activities are largely limited to public information, synthesis of latest research and other information about physical activity/fitness and sports in lay terms. The Council provides advice and counsel to government agencies, educators, private organizations, and the private sector on how to promote physical activity/fitness and sports. It also provides advisory services to government, non-profit and educational organizations and institutions and the private sector on development, implementation and promotion of physical activity and fitness programs in diverse communities.

Applicant Eligibility:

General public.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The PCPFS has no funds available to offer any type of financial assistance. However, requests for technical assistance and/or advisory and counseling services can be submitted to the Council in writing or orally. This program is excluded from coverage under E.O. 12372.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries and Expenses) FY 07 \$1,288,000; FY 08 \$1,270,000; and FY 09 est \$1,375,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

The PCPFS continues to serve as a catalyst for the Department, to promote, encourage, and motivate the development of physical activity/fitness and sports participation for all ages and abilities, and to publicize the health benefits of regular physical activity. More than six million Americans participate in President's Challenge programs each year, including a half million who have participated in the on-line Presidential Active Lifestyle or Champions programs at www.presidentschallenge.org and over five million youth who participate in the Physical Fitness Awards program. Recent accomplishments include the launch and implementation of the first/inaugural National President's Challenge in March 2008. Americans from all 50 states, the District of Columbia, and several U.S. territories participated in this six-week physical activity challenge by logging and tracking their physical activity on-line. The top five states are: Alaska, Arkansas, Wyoming, Maryland, and Washington, D.C. A new on-line Adult Fitness Test was also launched in May 2008. This test was developed in response to many baby boomers' requests for an adult version of the youth fitness test. In the first week of the launch, over 72,000 people took the on-line test at www.adultfitness.test.org. Other accomplishments include increasing the number of President's Challenge Advocates to over 200 from 150, increasing the number of science partnerships from five to seven, presentation of the 2nd annual Lifetime Achievement and Community Leadership Awards, and leadership and participation in the development/process of the HHS Physical Activity Guidelines, which will be released in October 2008. PCPFS participation in the Guidelines include involvement on the Federal Steering Committee, Writing Group and Communications/Partnership subgroup.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about PCPFS and other related publications is available on the PCPFS website, <http://www.fitness.gov>. Information about these publications also can be obtained by writing the PCPFS or calling the staff office on (202)690-9000.

Regional or Local Office:

Melissa A. Johnson, Executive Director, President's Council on Physical Fitness and Sports, Office of Public Health and Science, Office of the Secretary, Department of Health and Human Services, 200 Independence Avenue, S.W., Suite 738H, Washington, DC 20201-0004. Telephone: (202) 690-5187, Fax: (202) 690-5211. Use the same number for FTS.

Headquarters Office:

Melissa A. Johnson, Executive Director, President's Council on Physical Fitness and Sports, Office of Public Health and Science, Office of the Secretary, Department of Health and Human Services, 200 Independence Avenue, S.W., Suite 738H, Washington, DC 20201-0004. Telephone: (202) 690-9000, Fax: (202) 690-5211. Use the same number for FTS.

Web Site Address:

<http://www.fitness.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.290 NATIONAL COMMUNITY CENTERS OF EXCELLENCE IN WOMEN'S HEALTH

(CCOE or Community Centers of Excellence)

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

42 U.S.C. 300u-2(a)(1), 300u-3, and 300u-6(e).

OBJECTIVES:

To provide recognition and funding to community-based programs that unite promising approaches in women's health across the following six components: (1) Comprehensive health service delivery; (2) training for lay and professional health providers; (3) community-based research; (4) public education and outreach; (5) leadership development for women as health care consumers and providers; and (6) technical assistance to ensure the replication of promising models and strategies that coordinate and integrate women's health activities at the community level and improve health outcomes for underserved women. The focus of the CCOE initiative is to integrate, coordinate, and strengthen linkages between activities/programs that are already underway in the community in order to reduce fragmentation in women's health services and activities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

A majority of the funds from the CCOE award must be used to support staff and efforts aimed at coordinating and integrating the major components of the CCOE program. The Center Director, or the person responsible for the day-to-day management of the CCOE program, must devote at least a 75 percent level

of effort to the program. Additionally, 25 percent of the award funding must target efforts to foster the transfer of lessons learned/successful strategies from the CCOE program (technical assistance). Funds may be used for personnel, consultants, supplies (including screening, education, and outreach supplies), and grant related travel. Items costing less than \$5,000 are considered to be supplies. Funds may not be used for construction, building alterations, medical treatment, equipment, or renovations. All budget requests must be fully justified in terms of the proposed CCOE goals and objectives and include a computational explanation of how costs were determined. Applications from academic health centers or organizations that are part of academic health centers and state, county and local health departments will not be accepted. To ensure a wide geographic distribution of the Center of Excellence in Women's Health model, applications will not be accepted from organizations in States or territories that currently have a CCOE or a CoE program. Applications will not be accepted from organizations in the following States/Territories: AZ, CA, IL, IN, LA, MA, MI, MO, MN, NM, NY, OH, PA, PR, VT, WA, and WI.

Applicant Eligibility:

The CCOE applicant must be public or private nonprofit community-based hospitals, community health centers, or community-based organizations serving underserved women. Community entities/organizations, including faith-based organizations, that have alliances, partnerships, networks with, or have other affiliations with an academic health center are also eligible to apply for a CCOE grant as long as the community entity/organization has a leading management role in the activity and maintain control of all funds. Organizations that have previously submitted CCOE applications, but were not funded, are also eligible to reapply for this award.

Beneficiary Eligibility:

Underserved women will benefit.

Credentials/Documentation:

Each applicant for a cooperative agreement funded under this CCOE announcement must, at a minimum: (1) present a plan to integrate all six components of the CCOE program by the end of the first year of funding, although only four components have to be in place at the time the application is submitted; (2) develop a CCOE advisory board or ensure that their already established advisory board is included in the decision-making process for CCOE program development, identification of community-based research questions, and formulation of CCOE policies; (3) be a sustainable organization with an established network of partners capable of providing coordinated and integrated women's health services in the targeted community; (4) have an established clinical care center/facility, an operating public educational/outreach program, and a community identified as the recipient of technical assistance at the time the application is submitted; (5) demonstrate the ways in which the organization and the care that are coordinated through its partners are women-focused, women-friendly, women-relevant, and sensitive to the importance of patient/provider communication/relationships for medically underserved women of all ages; (6) detail/specify the roles and resources/services that each partner organization brings to the program, the duration and terms of agreement as confirmed by a signed agreement between the applicant organization and each partner, and describe how the partner organizations will operate within the CCOE structure; (7) describe in detail plans for the local evaluation of the CCOE program and when and how information obtained from the evaluation will be used to enhance the CCOE program; and (8) describe in detail the planned community-based research and the research methodology/procedure.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The application kit to be made available under this notice will contain a listing of States that have chosen to set up a review system and will include a State Single Point of Contact (SPOC) in the State for review. Applicants (other than federally recognized Indian tribes) should contact their SPOCs as early as possible to alert them to the prospective applications and receive any necessary instructions on the State process. For proposed projects serving more than one State, the applicant is advised to contact the SPOC of each affected State. The due date for State process recommendations is 60 days after the application deadline. The Office on Women's Health does not guarantee that it will accommodate or explain its responses to State process recommendations received after that date.

Application Procedure:

Application kits may be requested by calling 240-453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from

the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS e-Grants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS e-Grants Web site, <https://egrants.osophs.dhhs.gov>.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete, arrive after the deadline, or are from states that already have a CCOE or a CoE program will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts in the fields of program management, community service delivery, community service delivery, community outreach, health education, community-based research, education, training, leadership/career development, and technical assistance to other communities. Funding decisions will be determined by the Director, Division for Program Management, Office on Women's Health and will take into consideration the recommendations and ratings of the review panel; program needs, stated preferences; geographic location; and recommendations of DHHS Regional Women's Health Coordinators. Preference will be given to DHHS regions that do not have a CCOE or a CoE program and to programs proposed to be implemented in medically underserved areas, enterprise communities, empowerment zones, and applications from Regions IV and VIII.

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

Approximately 90 days from the application deadline date.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

60 to 120 days. Support may be requested for a total project period not to exceed 5 years. Non-competing continuation awards of up to \$150,000 will be made subject to satisfactory performance and availability of funds.

Reports:

Quarterly progress reports, an annual progress report, an annual Financial Status report, a final Progress report, a final Financial Status report, an Audit Report, and a Technical Assistance Documentation Report in the format established by the Office on Women's Health, in accordance with provisions of the general regulations that apply under Monitoring and Reporting Program Performance, 45 CFR 74, Subpart J and Part 92.

Audits:

In accordance with the provisions of OMB Circular No. A - 133 (Revised, June 24, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Grants) FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

All awards are made for \$150,000 each in total costs (direct and indirect) for a 12-month period. The average amount is \$150,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions, the Federal Register announcement, and the PHS Grants Policy Statement (DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994); 45 CFR 100 applies.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Ms. Barbar James, Program Director, Office on Women's Health, Parklawn Building, Room 16A-55, 5600 Fishers Lane, Rockville MD 20857, email: bjames1@osophs.dhhs.gov. For technical assistance on budget and business aspects of the application may be obtained from the Office of Grants Management. Contact the Office of Public Health and Science, Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, or phone at (240) 453-8822.

Web Site Address:

<http://www.4woman.gov/owh/CCOE/index.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The major component of a CCOE program must consist of activities aimed at developing and strengthening a framework for bringing together a comprehensive array of services for women, and connecting those with promising strategies to: train a cadre of health care providers that are capable of addressing underserved women's health needs at the community level with an emphasis on prevention or moderation of illness or injury that appear controllable through individual knowledge and behavior; conduct participatory, community-based research in women's health; enhance public education and outreach activities in women's health with an emphasis on prevention or moderation of illness or injury that appear controllable through individual knowledge and behavior; and promote leadership career development for women in the health professions and women girls in the community. A project may develop outreach and education materials, training programs, and leadership development activities/materials. Award recipients must also, with input from community representatives, put into place and track a set of measurable objectives for improving health outcomes and decreasing health disparities for underserved women in the community. Each CCOE must also demonstrate an ability to foster the transfer of lessons learned and successful strategies. These may include either process-based lessons (for example: how to bring multiple community partners together) or outcomes-based lessons (for example: how to increase diabetes screening and control through improved outreach, education, and treatment). The CCOEs must foster the replication of promising models from their sites through activities such as showcasing them at meetings and workshops; providing direct technical assistance to other communities; developing replication guides materials; and providing technical assistance to health professionals, directly or through their professional organizations interested in working with underserved women in the community.

CRITERIA FOR SELECTING PROPOSALS:

Applications selected for funding will be reviewed using the following criteria: (1) The implementation plan as judged by the appropriateness of the existing community resources and linkages, the appropriateness of the proposed approach, component integration, and specific activities described to address each element of the CCOE program, soundness of the evaluation plan, willingness to anticipate in the national CCOE evaluation, and willingness to contribute to the development of a comprehensive national CCOE "how-to manual." (2) The management plan which describes the applicant organization's capability to manage the project as determined by the qualifications of the proposed staff or requirements for "to be hired" staff, proposed staff level of effort, management experience of the lead agency and the experience, resources, and role of each partner organization. (3) The evaluation plan and its relationship to program goals. (4) Plans for the provision of technical assistance and the potential for replication of the CCOE model in similar populations and communities. (5) The merit of the objectives outlined by the applicant do address the CCOE program in a way relevant to the targeted community needs and available resources. (6) Adequacy of demonstrated knowledge of systems of health care for underserved women at the local level; demonstrated need within the proposed local community and target population of underserved women; demonstrated support and established linkages in place to operate a fully functional CCOE program; demonstrated access to medically underserved women; and documented past efforts/activities outcome with underserved women.

93.291 SURPLUS PROPERTY UTILIZATION

(Federal Property Assistance Program)

FEDERAL AGENCY:

PROGRAM SUPPORT CENTER, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Federal Property and Administrative Services Act of 1949, Section 203(k), Public Law 81-152, 40 U.S.C. 484, as amended; Stewart B. McKinney

Homeless Assistance Act of 1987, as amended, 104 Stat. 4673.

OBJECTIVES:

To convey or lease all surplus Federal real properties made available by the disposal agency which are needed and usable by eligible organizations and institutions to carry out health programs.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

Real property must be used for eligible health purposes including research. It may consist of land with or without buildings and other improvements or buildings only. A discount of up to 100 percent based on the proposed-use program is granted. This discount applied against the fair value of the property, is earned by approved use over a prescribed period of 30 years for land with or without improvements, and a lesser time for leased facilities and improvements which are sold without land. Allowance of less than 100 percent requires payment of the difference in cash at the time of conveyance. Property must be used for the purpose for which conveyed, and may not be sold, leased, mortgaged, or encumbered without consent of the Department.

Applicant Eligibility:

States, their political subdivisions and instrumentalities; tax-supported public health institutions, and nonprofit institutions which (except for institutions which lease property to assist the homeless under Title V of Public Law 100-77) have been held exempt from taxation under Section 501 (c) (3) of the 1986 Internal Revenue Code.

Beneficiary Eligibility:

Anyone attending, working with or for, or served by the eligible applicants. Examples of potentially eligible use programs are hospitals, public health clinics, water and sewer systems, institutions for the rehabilitation of mentally or physically handicapped, health research institutions, homeless assistance facilities, and other institutions with basic health programs.

Credentials/Documentation:

Applicants must demonstrate current need for properties they request and the ability to carry out the proposed program.

Preapplication Coordination:

Notice of availability of surplus real property is sent by the Division of Property Management, Program Support Center (PSC), Department of Health and Human Services (DHHS), to all known potentially interested institutions. When applying for real property, an informal preapplication conference either in person or by telephone is recommended. At that time, consultation and assistance are available to aid in the preparation of an application. Applicants are required to submit factors for consideration of potential environmental impact, in accordance with the Environmental Questionnaire furnished with the application instructions. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants interested in acquiring Federal real properties must contact the Division of Property Management, PSC, DHHS.

Award Procedure:

Real property is awarded to the applicant whose programs of use are determined to be in the highest public interest. Land (with or without improvements) is conveyed by quitclaim deed or lease; buildings for off-site removal are by agreement of sale.

Deadlines:

Any organization interested in acquiring real property must notify the Division of Property Management, PSC, DHHS, within 15 days after notice of availability, or in the case of acquiring properties for homeless purposes, within 60 days after publication by Department of Housing and Urban Development in the Federal Register.

Range of Approval/Disapproval Time:

Normally, approval or disapproval is made within 30 days after an application has been submitted for real property. For properties to assist homeless individuals under Title V of the McKinney Act, approval or disapproval is made 25 days after a complete application is received.

Appeals:

An applicant may appeal a decision to the Division of Property Management, PSC, DHHS.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Deed restrictions run for a period of 30 years and Agreements of Sale are normally for 5 years, after which the transferee has clear title to the property. Restrictions on leased property run for the period of the lease.

Reports:

Transferees/lessees are required to make an annual utilization report to the Division of Property Management, PSC, DHHS, and the property is also visited by Division of Property Management personnel.

Audits:

The Department's Audit agency makes periodic audits of the headquarters

operation and may make spot checks of the utilization by real property transferees.

Records:

Transferees of real property, who expend funds to rebuild, refurbish, or to otherwise improve property under restrictions, should maintain records of these costs in the event they wish to dispose of the property prior to termination of the restriction period.

Account Identification:

75-4552-0-1-551.

Obligations:

(Salaries and Expenses) FY 07 \$454,184; FY 08 est \$394,129; and FY 09 est \$439,608.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2007, Federal surplus real property having an acquisition cost of \$28,520,377 was transferred for public health purposes. This represents 8 transfers of 45.230 acres of land and 8 buildings. It is estimated that over \$4,000,000 in real property will be transferred in fiscal year 2008 for public health purposes.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 45, Subtitle A, Part 12, Disposal and Utilization of Surplus Real Property for Public Health Purposes. Literature: The following pamphlet is available from the Division of Property Management: "How to Acquire Federal Surplus Real Property for Public Health Purposes," at no charge.

Regional or Local Office:

None.

Headquarters Office:

Director, Division of Property Management, Program Support Center, Department of Health and Human Services, Parklawn Building, Room 5B-17, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2265. Fax: (301) 443-0084. E-Mail: rpb@psc.gov.

Web Site Address:

<http://www.psc.gov>.

RELATED PROGRAMS:

12.600, Community Economic Adjustment; 12.700, Donations/Loans of Obsolete DOD Property; 39.002, Disposal of Federal Surplus Real Property; 39.003, Donation of Federal Surplus Personal Property; 84.145, Federal Real Property Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Land and buildings are provided for hospitals, clinics, public health administration, water and sewer system development, facilities to assist homeless individuals, and rehabilitation programs.

CRITERIA FOR SELECTING PROPOSALS:

All applications must establish eligibility of the institution, its programs, and the proposed use to meet the program needs. A public benefit allowance formula, uniformly applied, determines the respective benefits of each program. Basic allowance of 50 percent is allowed to institutions meeting the following: (1) Proof of current need; (2) ability to operate and maintain; (3) suitability of facilities or adaptability for conversion; (4) requirement for utilization through period of restrictions; and (5) nondiscrimination because of race, color, sex, age, handicap, or national origin. Additional allowances are made for tax support, accreditation, hardship, integrated research, outpatient services, public services, and training programs. For competing programs, the one showing the greatest public benefit is selected. Where property can be divided, as many compatible programs as possible are accommodated.

93.294 MENTORING PARTNERSHIP PROGRAM - PROTEGE

(Protege)

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

This program is authorized by 42 U.S.C. 300u-2(a).

OBJECTIVES:

The purpose of the Mentoring Partnership Program' Protege is to strengthen the organizational infrastructure and program services of small non-profit organizations so they may deliver improved HIV/AIDS prevention and support services to women most at risk for acquiring HIV/AIDS and women with positive HIV serostatus. With a sound infrastructure and culturally competent, gender-focused program services, women will increase their HIV prevention knowledge and reduce the risk of contracting HIV. The goals of the program are: For Protege or non-profit community-based, faith-based, and women's service organizations to gain skills and be prepared to compete for Federal and private funding before the program period ends; and -- For Protege or non-profit community-based, faith-based, and women's service organizations to increase the number of women at risk for or living with HIV/AIDS served with culturally

competent, gender-focused, evidence-based program services.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

A majority of the funds from the award must be used to support staff and efforts aimed at implementing the program. Funds may be used for supplies (including screening, education, and outreach supplies); local travel to perform duties of the funded HIV/AIDS prevention program; and out-of-town travel (required training at one national and one regional HIV/AIDS prevention conference). Funds may not be used for construction, building alterations, equipment, medical treatment, or renovations. All budget requests must be justified fully in terms of the proposed goals and objectives and include an itemized computational explanation/breakout of how costs were determined.

Applicant Eligibility:

The applicant must be a non-profit community-based organizations, faith-based organizations, national organizations, colleges and universities, clinics and hospitals, research institutions, State and local government.

Beneficiary Eligibility:

Non-profit community-based, faith-based, and women's service organizations serving women will benefit.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance. If the State has selected the program for review. The application kit to be made available under this notice will contain a listing of States that have chosen to set up a review system and will include a State Single Point of Contact (SPOC) in the State for review. Applicants (other than federally recognized Indian tribes) should contact their Spots as early as possible to alert them to the prospective applications and receive any necessary instructions on the State process. For proposed projects serving more than one State, the applicant is advised to contact the SPOC of each affected State. The due date for State process recommendations is 60 days after the application deadline. The Office on Women's Health does not guarantee that it will accommodate or explain its responses to State process recommendations received after that date.

Application Procedure:

Application kits may be requested by calling (240) 453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS eGrants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS eGrants Web site, <https://egrants.osophs.dhhs.gov>.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be determined by the Director, Division for Policy and Program Development, Office on Women's Health and will take into consideration the recommendations and ratings of the review panel; program needs, stated preferences; geographic location; and recommendations of DHHS Regional Women's Health Coordinators.

Deadlines:

Please contact program office for application deadline.

Range of Approval/Disapproval Time:

Approximately 90 days from the application deadline date.

Appeals:

None.

Renewals:

Project period is for 1 year.

Formula and Matching Requirements:

Cost sharing and matching funds is not a requirement of this grant.

Length and Time Phasing of Assistance:

Project period not to exceed 2 years.

Reports:

The applicant will submit two progress reports and an annual report detailing the entire funding year of mentoring partnership program activities. OWH will provide Progress Report Form and Annual Report Form during the orientation meeting. A Financial Status Report will also be provided (in accordance with provisions of the general regulations which apply under "Monitoring and Reporting Program Performance," 45 CFR Parts 74 and 92. The purpose of the progress reports is to provide accurate and timely program information to program managers and to respond to Congressional, Departmental, and public requests for information about the program.

Audits:

In accordance with: the provisions of OMB Circular No. A-133 (Revised, June 30, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

OWH anticipates making four cooperative agreement awards. Approximately \$200,000 is available to make four awards of up to \$50,000 each. It is expected that the award will cover costs for the 12-month period from September 1, 2006 through August 31, 2007. Funding estimates may change.

PROGRAM ACCOMPLISHMENTS:

Protee grantee be paired with a certified small business or mentors with expertise in designing tailor-made capacity building training for organization infrastructure (fiscal management, human resources, governance); data management and technology development; and gender-focused programmatic development and implementation (including evaluation and monitoring); and women's health, particularly HIV/AIDS prevention services for women at high risk for or living with HIV/AIDS; and have developed a mentoring partnership approach offering protegee the opportunity to receive training and gain skills to adapt evidence-based HIV/AIDS prevention curricula to improve program services to women disproportionately impacted by HIV/AIDS.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions, the Federal Register announcement, and the PHS Grants Policy Statement (DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994); 45 CFR 100 applies.

Regional or Local Office:

None.

Headquarters Office:

Office on Women's Health, Department of Health and Human Services, 200 Independence Avenue, SW., Room 712E, Washington, DC 20201.

Web Site Address:

<http://www.4woman.gov/owh>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

To receive consideration, applications must be received by the Office of Grants Management, Office of Public Health and Science (OPHS), Department of Health and Human Services (DHHS), no later than June 22, 2006. Application Review Information: the technical review of applications will consider the following factors: Factor 1: Background/Understanding of the Problem (25%); Factor 2: Implementation/Approach (25%); Factor 3: Management Plan (25%); and Factor 4: Indicators of Success (25%).

93.295 INTERGENERATIONAL APPROACHES TO HIV/AIDS PREVENTION EDUCATION WITH WOMEN ACROSS THE LIFESPAN PILOT PROGRAM

(Intergenerational)

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

This program is authorized by 42 U.S.C. 300u-2(a).

OBJECTIVES:

For African American, Native American/American Indian, Hispanic/Latino, and Asian/Pacific Islander women and other female members of the family 12+ years old to: know their serostatus; increase their knowledge of HIV/AIDS prevention; gain competencies in cross-generational communications about health in general and sexual health specifically; and connect with a primary healthcare physician (and navigate other systems of care).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

A majority of the funds from the award must be used to support staff and efforts aimed at implementing the program. Funds may be used for supplies (including screening, education, and outreach supplies); local travel to perform duties of the funded HIV/AIDS prevention program; and out-of-town travel (required training at one national and one regional HIV/AIDS prevention conference). Funds may not be used for construction, building alterations, equipment, medical treatment, or renovations. All budget requests must be justified fully in terms of the proposed goals and objectives and include an itemized computational explanation/breakout of how costs were determined.

Applicant Eligibility:

The applicant must be a non-profit community-based organizations, faith-based organizations, national organizations, colleges and universities, clinics and hospitals, research institutions, State and local government agencies, tribal government agencies and tribal/urban Indian organizations.

Beneficiary Eligibility:

Non-profit community-based, faith-based, and women's service organizations serving women will benefit.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The application kit to be made available under this notice will contain a listing of States that have chosen to set up a review system and will include a State Single Point of Contact (SPOC) in the State for review. Applicants (other than federally recognized Indian tribes) should contact their SPOCs as early as possible to alert them to the prospective applications and receive any necessary instructions on the State process. For proposed projects serving more than one State, the applicant is advised to contact the SPOC of each affected State. The due date for State process recommendations is 60 days after the application deadline. The Office on Women's Health does not guarantee that it will accommodate or explain its responses to State process recommendations received after that date.

Application Procedure:

Application kits may be requested by calling 240-453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS eGrants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS eGrants Web site, <https://egrants.osophs.dhhs.gov>.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be determined by the Director,

Division for Policy and Program Development, Office on Women's Health and will take into consideration the recommendations and ratings of the review panel; program needs, stated preferences; geographic location; and recommendations of DHHS Regional Women's Health Coordinators.

Deadlines:

Please contact program office for application deadline.

Range of Approval/Disapproval Time:

Approximately 90 days from the application deadline date.

Appeals:

None.

Renewals:

Project period is for 1 year.

Formula and Matching Requirements:

Cost sharing and matching funds is not a requirement of this grant.

Length and Time Phasing of Assistance:

Project period not to exceed 2 years.

Reports:

The applicant will submit two progress reports and an annual report detailing the entire funding year of mentoring partnership program activities. OWH will provide Progress Report Form and Annual Report Form during the orientation meeting. A Financial Status Report will also be provided (in accordance with provisions of the general regulations which apply under "Monitoring and Reporting Program Performance," 45 CFR Parts 74 and 92. The purpose of the progress reports is to provide accurate and timely program information to program managers and to respond to Congressional, Departmental, and public requests for information about the program.

Audits:

In accordance with: the provisions of OMB Circular No. A-133 (Revised, June 30, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

OWH anticipates making four cooperative agreement awards. Approximately \$1,000,000 is available to make four awards of up to \$250,000 each. It is expected that the award will cover costs for the period September 1, 2006 through August 31, 2007. Funding estimates may change.

PROGRAM ACCOMPLISHMENTS:

To teach cross-generations of women how to develop healthy communication patterns built on caring, trusting familial relationships. To equip women to give accurate information about their sexual health issues by incorporating gender-focused, culturally competent, and linguistically-appropriate HIV/AIDS prevention information.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions, the Federal Register announcement, and the PHS Grants Policy Statement (DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994); 45 CFR 100 applies.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office on Women's Health, Department of Health and Human Services, 200 Independence Avenue, SW Room 712E, Washington, DC 20201.

Web Site Address:

<http://www.4woman.gov/owh>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

To receive consideration, the applications must be received by the Office of Grants Management, Office of Public Health and Science (OPHS), Department of Health and Human Services (DHHS), no later than July 31, 2006. Application Review Information Criteria: The technical review of applications will consider the following (4) factors: Factor 1: Background/Understanding of the Problem (30%), Factor 2: Implementation /Approach (25%), Factor 3: Management Plan (25%), and Factor 4: Evaluation Plan (20%). For specific details see the full announcement published in the Federal Register.

93.296 STATE PARTNERSHIP GRANT PROGRAM TO IMPROVE MINORITY HEALTH

(State Partnership Grant Program to Improve Minority Health)

FEDERAL AGENCY:

OFFICE OF MINORITY HEALTH, OFFICE OF PUBLIC HEALTH AND SCIENCE, OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Title XVII, Section 1707(e)(1), 42 U.S.C. 300u et seq.

OBJECTIVES:

To facilitate the improvement of minority health and eliminate health disparities (adult/child immunization, asthma, cancer, diabetes, heart disease and stroke, HIV, infant mortality, and mental health) through the development of partnerships with state and territorial offices of minority health

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are to be used to support the development and implementation of minority-targeted strategies which will facilitate improvement in minority health and address health disparities and gaps. Funds are not to be used for the provision of health care treatment, for construction, or to supplant ongoing project activities.

Applicant Eligibility:

State/Territorial Offices of Minority Health (established through legislation, executive order, or a directive process) are eligible to apply.

Beneficiary Eligibility:

Members of minority groups: Alaska Natives; American Indians; Asians; Blacks/African Americans; Hispanics/Latinos; Native Hawaiians and other Pacific Islanders; or subgroups of these.

Credentials/Documentation:

Documentation to verify official status as a State or Territorial Office of Minority Health (e.g., copy of Executive Order, statute, or administrative directive) and a signed statement from an authorizing official (e.g., Commissioner of Health or designee in the state/territorial Department of Health).

Preapplication Coordination:

This program does not require preapplication coordination. This program is eligible for coverage under E.O. 12372, "Intergovernment Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Requests for the standard Grant Application Form OPHS-1 and instructions for submission should be directed to Ms. Karen Campbell, Director, Office of Grants Management, Office of Public Health and Science (OPHS), Office of the Secretary, Tower Building, Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852. Telephone: (240) 453-8822. A signed original and two copies of the application are to be sent to the above address. Applications are screened upon receipt for completeness, responsiveness and conformance to the program announcement. Those applications judged to be unacceptable based on this initial screening will be returned. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS eGrants system or the Grants.gov Web site Portal is encouraged. Information about these systems is available on the OPHS eGrants Web site (<https://egrants.osophs.dhhs.gov>), the Grants.gov Web site (<http://www.grants.gov>), or may be requested from the OPHS Office of Grants Management at (240) 453-8822.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

Approximately 90 to 120 days from the application deadline date.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grants can have a project period not to exceed 5 years, with 12 month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit.

Necessary instructions regarding payment procedure will be provided at the time the Notice of Grant Award is issued.

Reports:

Progress reports are required for each budget period, and a final performance report is due 90 days following the end of the project period. Financial status reports are due at the end of each budget period, and a final status report is due 90 days following the end of the project period.

Audits:

For State and local governments, audits are to be carried out in accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," or Federal laws and regulations of the programs in which they participate.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Grants) FY 07 \$4,979,018; FY 08 est \$4,979,018; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$115,324 to \$175,000; \$149,461.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the PHS Grants Policy Statement.

Regional or Local Office:

None.

Headquarters Office:

Office of Minority Health, Office of Public Health and Science, Office of the Secretary, Tower Building, Suite 600, 1101 Wootton Parkway, Rockville, Maryland 20852. Telephone: (240) 453-2882.

Web Site Address:

<http://www.omhrc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The following are examples of activities funded under this program: 1) developing state health disparity plans of action; 2) strengthening capacity to collect, analyze, and report minority health data; 3) improving access to health care by implementing CLAS (Culturally and Linguistically Appropriate Services in Health Care) standards statewide; 4) building the capacity of community coalitions to conduct process and outcome evaluations; and 5) partnering with health professional schools to track progress of minority students to increase the number of minority graduates.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: 1) consistency of project's objectives relevant to the Program purpose and the federal Office of Minority Health's mission; 2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; 3) strength of proposed grant organization's management capability; 4) adequacy of qualifications and experience of proposed personnel; and 5) strength of analysis of potential impact or innovation the project proposes to generate.

93.300 NATIONAL CENTER FOR HEALTH WORKFORCE ANALYSIS

(NCHWA)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII; Section 761 and General Provisions Sections 791-799; 42 U.S.C. 294n, as amended; Health Professions Education Partnerships Act of 1998; Public Law 105-392.

OBJECTIVES:

To provide for the development of information describing the health professions workforce and the analysis of workforce-related issues and to provide necessary

information for decision-making regarding future directions in health professions and nursing programs in response to societal and professional needs.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds can be used to provide for targeted information collection and analysis activities related to health workforce, research on high priority workforce questions, the development of a nonfederal analytic and research infrastructure related to health workforce-related issues, and to conduct program evaluation and assessment.

Applicant Eligibility:

Those eligible to apply are: State or local governments, health professions schools, schools of nursing, academic health centers, community-based health facilities, and other appropriate public or private nonprofit entities, including faith based and community based organizations.

Beneficiary Eligibility:

Those who will ultimately benefit from these grants are the local, rural, State, and Federal legislators and policy makers who will receive the information needed with which to make health workforce decisions.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award issued from Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

None.

Renewals:

At the end of the initial project period awardees may submit a competing continuation application for an additional 5 years of support.

Formula and Matching Requirements:

Some cooperative agreements may require a matching requirement for State and local studies.

Length and Time Phasing of Assistance:

Assistance is available up to 5 years.

Reports:

A Progress Report must be submitted annually. A financial status report must be submitted within 90 days after the end of each budget period. Final progress and financial reports must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$0; FY 08 est \$0; and FY 09 est \$0.

Range and Average of Financial Assistance:

\$50,000 to \$400,000; \$250,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

Sarah Richards, Evaluation and Analysis Branch, Office of Workforce

Evaluation and Quality Assurance, Bureau of Health Professions, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, 5600 Fishers Lane, Room 9-105, Rockville, MD 20857. Telephone: (301) 443-5452.

Headquarters Office:

Grants Management Office: Rock Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301)-443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Regional Centers for Health Workforce Studies in California, Texas, New York, North Carolina, Washington, and Illinois. Research studies providing supply and demand of pharmacists, nurses and physicians.

CRITERIA FOR SELECTING PROPOSALS:

(1) The degree to which an applicant specializes in health workforce research. An applicant will be considered as specializing in health workforce research through identification of its mission, health workforce research accomplishments, and dedicated staff to health workforce research. (2) The degree to which the applicant demonstrates State and National experience and accomplishments in health workforce issues and research directly supporting the BHP National Center for Health Workforce Analysis and the BHP priorities, health workforce distribution, racial/ethnic diversity of the health workforce, geriatrics, and genetics. Experience and accomplishments could be demonstrated through past or currently funded research. (3) The degree to which the applicant has health workforce research experience relevant to the proposed research project. For example, for a proposed research project that focuses on a given geographic area such as the U.S.-Mexico border, the applicant should demonstrate experience in conducting U.S.-Mexico border health workforce research. In terms of workforce discipline-specific studies, the applicant should demonstrate experience in conducting research on the given discipline. (4) The degree to which the applicant's proposed research includes: a well-developed background statement, clearly stated research objectives, clearly stated project activities and methodology, and a well-developed, reasonable management plan that will enable the successful completion of the project.

93.301 SMALL RURAL HOSPITAL IMPROVEMENT GRANT PROGRAM

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 1820(g)(3) of Social Security Act.

OBJECTIVES:

To help small rural hospitals: (1) pay for costs related to implementation of PPS; (2) comply with provisions of HIPAA; and (3) reduce medical errors and support quality improvement.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for carrying out the approved projects.

Applicant Eligibility:

All small rural hospitals located in the 50 States and Territories.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Office of Rural Health. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Applications are evaluated by Federal and Nonfederal experts. All approved applications will be funded. Final decisions on level of funding are made by the Director, Office of Rural Health Policy.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Up to six months.

Appeals:

None.

Renewals:

SHIP awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made annually and payment is made through the DHHS Payment Management System.

Reports:

An annual progress report and final financial status report are due within 90 days after end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$14,824,097; FY 08 est \$14,220,000; and FY 09 est \$14,220,000.

Range and Average of Financial Assistance:

\$26,835 to \$992,845; \$315,406.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 46 awards were made; in FY 08 and FY 09, it is estimated that 46 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Jennifer Chang, Office of Rural Health Policy, Parklawn Building, Room 9A-55, 5600 Fishers Lane, Rockville, MD, 20857. Telephone: (301) 443-0835, (301) 443-2669 (fax).

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch; Telephone: (301) 443-2385; Research and Training Branch; Telephone: (301) 443-3099; Government and Special Focus Branch; Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of allowable activities include: 1) purchase of billing and coding software and charge-master review for PPS implementation; 2) purchase of security applications and workspace modifications to increase security and privacy for HIPAA compliance, and 3) purchase of electronic health record systems and providing staff training and educational materials in Quality Improvement.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated based on: (1) extent to which applicant is responsive to the requirements and purposes of this program; and (2) extent to which application describes need, strategies and investment to address those needs.

93.303 NURSING SCHOLARSHIP PROGRAM

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 846, as amended, Nurse Reinvestment Act of 2002, Public Law 107-205.

OBJECTIVES:

To increase the supply of registered nurses (RN) in approved health care facilities with a critical shortage of nurses by providing service-obligated scholarships to nursing students.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Service-obligated scholarships are awarded to full-time and part-time students of nursing. Scholarships pay tuition and required fees to the school, a monthly stipend to students and a single annual payment to the student to assist with other reasonable educational expenses. Reasonable expenses include books, supplies, equipment, uniforms, travel, for the provision of clinical service, etc. The program requires a one year of service with a minimum 2-year service commitment post graduation. A maximum of four years of educational support may be awarded. Service obligation must be fulfilled at a health care facility with a critical shortage of nurses. These facilities currently include Indian Health Service facilities, Native Hawaiian Health Centers, hospitals, Federally Qualified Health Centers, rural health clinics, nursing homes, skilled nursing facilities, home health agencies, hospice programs, State or local Public Health Departments, and ambulatory surgical centers.

Applicant Eligibility:

The applicant must be a U.S. citizen or national, enrolled or accepted for enrollment in a fully accredited US academic institution with a graduate, baccalaureate, or associate degree or diploma nursing program. The applicant must be free of any Federal judgment liens or service obligation, have not defaulted on a federal debt and have no conflicting service obligations. Financial need is also reviewed as part of the eligibility determination. The applicant must submit an application and a signed contract, agreeing to accept payment of scholarship funds and provide health care services in a health care facility with a critical shortage of nurses for a minimum of two years.

Beneficiary Eligibility:

United States citizens or nationals enrolled or accepted for enrollment in a fully accredited graduate, baccalaureate, associate degree or diploma nursing program.

Credentials Documentation:

The applicant must submit an enrollment/acceptance letter from the school or university and proof of US citizenship at the time of application. Applicants must also provide documentation of Expected Family Contribution (EFC). The Department of Education's Student Aid Report (SAR) from the Free Application for Federal Student Aid (FAFSA) is also required.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Applications are generally solicited by a program announcement in the HRSA forecast and application details can be found at www.hrsa.gov. Applications and required forms for this program can also be obtained from the HRSA Call Center at 12530 Parklawn Drive, Suite 350, Rockville, MD 20852 or by calling (877) 464-4772 for guidance and instructions. Potential applicants can request email notification on the NSP website when applications become available online.

Award Procedure:

The NSP awards are very competitive and the Program anticipates more applicants for scholarship awards than there are funds available. The applications of individuals who meet the eligibility criteria are scored numerically. All applicants will receive a letter about their selection for a scholarship award. Applicants who will be notified of the disposition of their application.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Notification is made within 4-5 months after deadline for receipt of application.

Appeals:

None.

Renewals:

Renewal applications are not necessary for students in compliance. Contracts may be multi-year funded through graduation (one to four years) if the applicant signs the contract through the graduation date.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Each award covers one to four years of educational support, as requested. No awardees may receive more than four years of support.

Reports:

The academic institution where the student is enrolled must complete and submit a Verification of Enrollment Form to NSP each semester as a full-time student pursuing the program for which the scholarship was awarded. The employing critical shortage facility must complete and send a semi-annual Employment Certification Form verifying that the participating nurse is

providing full-time or part-time continuous service as a RN. The certification includes a signature by an appropriate official of the facility.

Audits:

None.

Records:

None.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$10,000,000; FY 08 est \$14,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$20,000 - \$220,000; \$55,000.

PROGRAM ACCOMPLISHMENTS:

The estimate awards for FY 07 is 196 and for FY 08, 257.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

Nursing Scholarship Program, Division of Applications and Awards, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, Department of Health and Human Services, Room 8A-55, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-4829. The HRSA Call Center at www.hrsa.gov. or 1 (877)464-4772, Monday through Friday (except Federal Holidays).

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management, (301) 443-2728 Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Helen Harpold, Acting Chief of Health Services Branch: (301) 443-3262; John Gallicchilo, Branch Chief of Research and Training Branch: (301) 443-3099; Dorothy Kelley, Branch Chief of Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.288, National Health Service Corps Scholarship Program.

EXAMPLES OF FUNDED PROJECTS:

Scholarships covering tuition, monthly stipends and payments for other reasonable education expenses made to nursing students.

CRITERIA FOR SELECTING PROPOSALS:

Funding preference is defined as the funding of a specified category of group of approved applicants ahead of other categories or groups of approved applicants. As provided in the amendment in section 846(e) of the Public Health Service (PHS) Act, a funding preference is given to eligible applicants with the greatest financial need. NSP will use the Department of Education's Student Aid Report (SAR) from the Free Application for Federal Student Aid (FAFSA) to evaluate financial need.

93.307 MINORITY HEALTH AND HEALTH DISPARITIES RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title III and IV, Part A and Part E, Sections 485E, 485F, 485G; Public Law 99-158 and Public Law 106-525; 42 U.S.C. 287c-31, 42 U.S.C. 287c-32, 42 U.S.C. 287c-33, 42 U.S.C. 241 and 285; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To support basic, clinical, social, and behavioral research, promote research infrastructure and training; foster emerging programs; disseminate information; and reach out to minority and other health disparity communities. The National Center on Minority Health and Health Disparities (NCMHD) has established programs to pursue these goals: (1) The Centers of Excellence Program promotes research to improve minority health, and/or reduce and eliminate health disparities, build research capacity for minority health and health disparities research in minority-serving and other institutions; encourages the participation of health disparity groups and communities in biomedical and behavioral research and prevention and intervention activities; and brings together investigators from relevant disciplines in a manner that will enhance and extend the effectiveness of their research; (2) NCMHD Research Endowment Program: builds research capacity and infrastructure at eligible Section 736 health professions schools (42 U.S.C. 293) to facilitate minority health and other health disparities research to close the disparity gap in the burden of illness and death experienced by racial and ethnic minority Americans and other health disparity populations; sup promotes a diverse and strong scientific, technological and engineering workforce; and emphasizes the recruitment and retention of underrepresented minorities and other socio-economically disadvantaged

populations in the fields of biomedical, and behavioral research and other areas of the scientific workforce; (3) Health Disparities Research Loan Repayment Program (HDR-LRP): recruits and retains highly qualified health professionals to research careers that focus on minority health or other health disparities issues; (4) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (ECR-LRP), also referred to as the Disadvantaged Individuals Loan Repayment Program within this document: recruits and retains highly qualified health professionals from disadvantaged backgrounds to conduct clinical research. This nucleus of clinical investigators has the potential to improve medical care within their respective communities by promoting clinical programs that address the health problems associated with these communities; (5) Research Infrastructure in Minority Institutions (RIMI) Program: assists non-doctoral degree institutions in developing their research infrastructure primarily through collaborations with research-intensive universities thereby enabling the institutions to develop and enhance their capacity and competitiveness to conduct biomedical or behavioral research; (6) Minority Health and Health Disparities International Research Training Program (MHIRT), awards enable U.S. institutions to tailor short-term basic science, biomedical and behavioral mentored student international research training opportunities to address global issues related to understanding, reducing, and eliminating health disparities; (7) Community-Based Participatory Research (CBPR) program: this program supports the development, implementation and evaluation of intervention research using the community-based participatory research (CBPR) principles and methods in targeting diseases of major public health importance in health disparity communities. CBPR awards promote participatory research collaboration between scientific researchers and their community partners. This initiative starts with a three-year research planning grant followed by a competitive five-year intervention research grant and conclude with a three-year research dissemination grant. The CBPR initiative engages the community in all phases of the research process and is directed to specific diseases/health conditions in a particular health disparity population. (8) Small Business Innovation Research (SBIR) Program: increases private sector commercialization of innovations derived from Federal research and development; encourages small business participation in Federal research and development; and fosters and encourages participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; and (9) Small Business Technology Transfer (STTR) Program: to stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; fosters technology transfer between small business concerns and research institutions; increases private sector commercialization of innovations derived from Federal research and development; and fosters and encourages participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are intended to support the direct costs of a project in accordance with an approved budget, plus appropriate amount for indirect costs. Grantees must agree to administer the grant in accordance with the regulations and policies governing the research grants program of the Public Health Service. Endowment grants: Some qualifying institutions may use income earned from a research endowment corpus to support institutions in their effort to expand and add teaching programs in the biomedical and behavioral sciences and related areas, to improve facilities, to enhance student and faculty recruitment and retention, to develop instructional delivery systems and information technology in areas that will enhance minority health and other health disparities research activities, and to establish endowed chairs and programs. Other qualifying institutions must use income earned from a research endowment corpus to increase the institutions' investments in the recruitment and retention of underrepresented minority students and students from other health disparity populations that matriculate at such institutions. This includes activities such as increasing student recruitment and retention, creating merit-based and need-based scholarships, establishing or enhancing tutoring, providing counseling, and designing student service programs to improve academic success, and faculty recruitment and retention. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: Contracts provide repayment of existing educational loan debt incurred by health professionals that have agreed to conduct minority health or other health disparities research; or highly qualified health professionals from disadvantaged backgrounds whom plan to conduct clinical research. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts recipients must execute a written contract agreeing to engage in qualified research for a minimum of two years. Contracts may be renewed for a one- or two-year period after the completion of the initial two-year contract, provided that the participant applies for a competitive renewal contract, continues to engage in qualified research, and has eligible repayable debt remaining. Program payments are made directly to the participants' lenders

following each quarter and a verification of satisfactory research service, unless otherwise agreed upon by the participant and the Director, NCMHD. SBIR grants: SBIR Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants are for the continuation of the research initiated in Phase I and are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR grants: STTR Phase I grants are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. STTR Phase II grants are based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application. See Program Announcements: PA-07-280 and PA-07-281.

Applicant Eligibility:

Individuals and public and private institutions, both nonprofit and for-profit, who propose to establish, expand, and conduct research, promote or engage in research training, and outreach activities that contribute to improving minority health and/or eliminating health disparities. Endowment grants: Only Section 736 health professional schools (see 42 U.S.C. 293) with net endowment assets less than or equal to 50 percent of the national average of endowment funds at institutions that conduct similar biomedical research or training of health professionals are eligible for the research endowment awards. All applicants must have a HRSA Centers of Excellence Award at the time of award of an NCMHD Research Endowment Grant. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: Qualified health professionals that are U.S. citizens, nationals or lawfully admitted for permanent residence in the U.S.; have student loan debt equal to or less than 20 percent of their institutional based salary; have no Federal judgment liens against their property arising from a Federal debt; and owe no obligation of health professional service to the Federal government, a State, or other entity unless deferrals are granted during the length of their Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts service obligation. SBIR grants: Domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which the research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. Small business concerns must be at least 51 percent US owned by individuals and independently operated and/or at least 51 percent owned and controlled by another (one) for-profit business concern that is at least 51 percent owned and controlled by one or more individuals. The research must be performed in the U.S. or its possessions. STTR grants: Domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which the research is proposed, and have no more than 500 employees) that "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution.

Beneficiary Eligibility:

Any non-profit or for-profit organization, company, or institution engaged in biomedical and behavioral research. Endowment grants: Section 736 institutions. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: Qualified health professionals who conduct minority health or other health disparities research or highly qualified health professionals from disadvantaged backgrounds who will conduct clinical research and who possess substantial unpaid educational debt relative to income.

Credentials/Documentation:

Applicants must present a research plan and furnish evidence required for research grant applications, PHS 398, (Rev. 05/01) as specified in the application form. Costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q and OMB Circular No. A-21 for Educational Institutions and OMB Circular No. A-87 for state and local governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. Endowment grants: Requirements for the endowment application, PHS 398 (Rev. 05/01) are specified in the application form and supplemented by the Invitation to Apply (for limited competition). Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: Applicants must provide general information, research statement, training/mentoring plan, environment description, certification of loan status, loan data verification, recommendations, and an institutional supporting statement. Requirements for the loan repayment application, OMB Approval No. 0925-0361, are specified in the electronic application form located at www.lrp.nih.gov. SBIR and STTR grants: Applicant organizations (small business concerns) must present a research plan that includes an idea that has the potential for commercialization, and furnish evidence that the scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. To apply for SBIR Phase I and Phase II Grants, applicants must complete SF424 (R&R) Application for Electronic Submission. For general information on SF424 (R&R) Application and Electronic Submission, see these Web sites: SF424 (R&R) Application and Electronic Submission Information:

<http://grants.nih.gov/grants/funding/424/index.htm>. General information on Electronic Submission of Grant Applications:
<http://era.nih.gov/ElectronicReceipt/>.

Preapplication Coordination:

None. This program is excluded from coverage under Executive Order 12372.

Application Procedure:

Applications for the various programs should be submitted to the NIH office that is responsible for the receipt of applications, and in such form and manner as the application prescribes. (All information pertaining to grants hereinafter, also apply to Endowment Grants unless otherwise noted). Research Grants: Applications must be prepared either electronically through Grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related) or on paper using the PHS 398 research grant application instructions and forms (Revised 9/2004, Interim Revision 4/2006). The PHS 398 is available at http://grants.nih.gov/grants/funding_phs398/phs398.html. The format is interactive. For NIH planned transition dates of mechanisms for electronic submission, see http://era.nih.gov/ElectronicReceipt/files/Electronic_receipt_timeline_Ext.pdf. For further assistance contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov. NRSA (Individual): Individuals must submit the application form, PHS Individual National Research Service Award (PHS 416-1, rev. 10/05), and include at least three letters of reference. If the applicant has been lawfully admitted to the United States for permanent residence, the appropriate item should be checked on the Face Page of the application. Applicants who have applied for and have not yet been granted admission as a permanent resident should check the Permanent resident block on the Face Page of the PHS 416-1 application, and also write in the word "pending." A notarized statement documenting legal admission for permanent residence must be submitted prior to the issuance of an award. SBIR and STTR: The SF 424 (Research and Research-Related) application forms and the SF 424 SBIR STTR Application Guide are used to apply for both SBIR and STTR grants, Phase I and Phase II. Application must be made electronically through grants.gov (<http://www.grants.gov>). For further assistance contact GrantsInfo, Telephone: (301) 435-0714, Email: GrantsInfo@nih.gov.

Award Procedure:

Each application will be forwarded to an initial scientific review committee or Special Emphasis Panel (SEP) of non-NIH scientists and the National Advisory Council on Minority Health and Health Disparities (NACMHD) will conduct the secondary review. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: All eligible and completed applications will be forwarded to a SEP for review. Awards will be made to those applications recommended by the SEP and approved by the Director, NCMHD. SBIR and STTR grants: All eligible and completed applications will be forwarded to an initial scientific review committee and to the NACMHD for the secondary review. All applications receiving a priority score compete for available SBIR STTR set-aside funds.

Deadlines:

Contact the National Center on Minority Health and Health Disparities. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: December 31. SBIR and STTR grants: April 5, August 5, or December 5.

Range of Approval/Disapproval Time:

Approximately 6 to 9 months. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: Approximately 6 to 8 months. SBIR and STTR grants: Approximately 7 to 8 months.

Appeals:

A principal investigator or applicant may question the substantive or procedural aspects of the review of his/her application by communicating with NCMHD staff. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants2.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Renewal of grant awards beyond the initial project period of support is contingent upon successful competition by the applicant and upon the appropriation of funds for this purpose. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: Prior to the expiration of a participant's current award, the participant may apply for a one- or two-year extension provided the participant continues to engage in qualified minority health or other health disparities research and has outstanding repayable debt remaining. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts renewal applications are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

All awards have a project period of one to five years. Non-competitive grant awards in successive years (year 2 up to year 5) are awarded based on the applicant's annual performance and the availability of funds. Endowment Grants: Awards have a project period of one to three years. Non-competitive years (year 2 up to year 3) are awarded based on the applicant's annual

performance and the availability of funds. The project period for these awards are directly linked to the amount of time remaining on the applicant's HRSA Centers of Excellence project period. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: An initial contract provides assistance for a two-year period, and a renewal contract provides assistance for an additional one- or two-year period. SBIR grants: Generally, Phase I awards are for six months, and Phase II awards are for two years. STTR grants: Generally, Phase I awards are for one year, and Phase II awards are for two years. All funds for any award must be obligated within five years from the date of the award.

Reports:

Annual progress and selected financial status reports for continuing projects and final reports on all projects upon conclusion are required. Endowment Grants: The NCMHD will exercise extended monitoring of a participant's annual progress towards meeting the objectives as outlined in the participant's five-year strategic plan for prioritizing the use of endowment income. These reports will extend five years beyond the last year of a participant's project period. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: Participants must be periodically certified to have satisfactorily performed the duties of their positions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: There are no statutory audit requirements for this program.

Records:

Expenditures and other financial records must be retained three years from the day on which the grantee submits the last financial status report for the report period. HDR-LRP / ECR-LRP contracts: Applicant records will be retained for three years after rejection and participant records for six years after completion of final service obligation.

Account Identification:

75-0897-0-1-552.

Obligations:

(1) Centers of Excellence grants: FY 07 \$58,038,000; FY 08 est \$48,289,000; and FY 09 est not reported. (2) NCMHD Research Endowment grants: FY 07 \$40,000,000; FY 08 est \$50,938,000; and FY 09 est not reported. (3) Health Disparities Loan Repayment (HDR) contracts: FY 07 \$9,092,000; FY 08 est \$9,092,000; and FY 09 est not reported. (4) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (ECR) contracts: FY 07 \$1,562,000; FY 08 est \$1,562,000; and FY 09 est not reported. (5) Research Infrastructure in Minority Institutions (RIMI) grants: FY 07 \$18,417,000; FY 08 est \$17,949,000; and FY 09 est not reported. (6) Minority Health and Health Disparities International Research Training (MHIRT), grants, formerly the Minority International Research Training Program (MIRT) previously managed by the NIH Fogarty International Center: FY 07 \$5,085,000; FY 08 est \$5,115,000; and FY 09 est not reported. (7) Community-Based Participatory Research grants (CBPR): FY 07 \$11,925,000; FY 08 est \$11,976,000; and FY 09 est not reported. (8) and (9) Small Business Innovation Research (SBIR) and Small Business Technology Transfer Grant Applications (STTR): FY 07 \$5,404,000; FY 08 est \$5,052,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

NCMHD expects the award ranges and average amounts for its programs to remain consistent in 2007. (1) Centers of Excellence grants: total awards made by the NCMHD ranged from \$227,521 to \$1,621,536; \$870,333. (2) Endowment grants: total awards made by the NCMHD ranged from \$937,500 to \$5,000,000; \$3,267,090. (3) HDR Loan Repayment program contracts: total awards made by the NCMHD ranged from \$3,906 to \$106,057; \$43,502. (4) ECR Loan Repayment program contracts: total awards made by the NCMHD ranged from \$4,199 to \$106,057; \$44,629. (5) Research Infrastructure in Minority Institution grants (RIMI): total awards made by the NCMHD ranged from \$417,287 to \$970,833; \$826,381. (6) Minority Health and Health Disparities Research International Research Training (MHIRT) grants: total awards made by the NCMHD ranged from \$145,794 to \$241,372; \$211,000. (7) Community-Based Participatory Research (CBPR) grants: total awards made by the NCMHD ranged from \$150,215 to \$710,014; \$479,280. (8) Small Business Innovation Research (SBIR) grants and (9) Small Business Technology Transfer (STTR) Grant Application: Typically, Phase I awards normally may not exceed \$100,000 total (direct costs, indirect costs and profit/fee) and Phase II awards normally may not exceed \$750,000. However, these award levels for time and amounts are statutory guidelines, not ceilings.

PROGRAM ACCOMPLISHMENTS:

(1) Centers of Excellence grants: NCMHD funded 26 or more new or

competing-renewal awards in FY 2007. (2) Research Endowments grants: NCMHD funded thirteen awards in FY 2007. (3) Health Disparities Loan Repayment (HDR) contracts: NCMHD funded an anticipated two hundred and seventy-eight for FY 2007. (4) Extramural Clinical Loan Repayment Program for Individuals from Disadvantaged Backgrounds (ECR) contracts: NCMHD funded forty new and renewal awards in FY 2007. (5) RIMI grants: NCMHD funded twenty-two awards in FY 2007. (6) MHIRT grants: NCMHD funded twenty-four awards in FY 2007 and FY 2008. (7) CBPR grants: NCMHD funded twenty-five awards in FY 2007. (8) SBIR and (9) STTR grants: NCMHD funded and co-funded an anticipated nineteen awards in FY 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in 42 USC 271c-31 et. Seq.; 42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; NIH Extramural Programs brochure; and miscellaneous program literature from the Headquarters Office. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241. Additional loan repayment information can be obtained at www.lrp.nih.gov. SBIR and STTR information can also be obtained from the Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications; and the Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Grants: Director, Division of Extramural Activities and Scientific Programs, National Center on Minority Health and Health Disparities, National Institutes of Health, Department of Health and Human Services, 6707 Democracy Boulevard, Suite 800, MSC 5465, Bethesda, MD 20892; Telephone: (301) 402-1366. Centers of Excellence Program Director, Office of Scientific Programs (same address and telephone number). Community-Based Participatory Research Program: Chief, Office of Community-based programs (same address and telephone number). Endowment program: Program Director (same address and telephone number). HDR-LRP: Program Director (same address and telephone number). SBIR and STTR programs: Program Coordinator (same address and telephone number). Grants Management Contact: Grants Management Officer (same address and telephone number).

Web Site Address:

<http://www.ncmhd.nih.gov>. <http://www.nih.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Centers of Excellence: (a) American Indian and Alaska Health Native Health Disparities Project; (b) A Research Center to Reduce Ethnic Disparities in End Stage Renal Disease; and (c) Appalachian Center of Translational Disparities Research; (d) The Connecticut Center for Eliminating Health Disparities among Latinos; (e) University of North Texas Health Science Center NCMHD Center of Excellence; (f) The NYU Center for the Study of Asian American Health; (g) and the Meharry NCMHD Center of Excellence for Health Disparities. (2) Endowment program: continuation of the Kansas University Medical Center Endowment Program for Disadvantaged Students. (3) and (4) HDR-LRP and ECR-LRP contracts: (a) Emotional Memory in Early Alzheimer Disease/Stressed Populations; and (b) Obesity Prevention Among Minority Children. (5) RIMI grant: Hispanic Health Disparities Research Center. (6) MHIRT grant: UAB Public Health/Biomedical International Research Program. (7) CBPR grant: (a) Project Grace: A Participatory Approach to Address Health Disparities in HIV/AIDS among African American Populations; (b) Partnership to Overcome Obesity in Hawaii; (c) Partnership for a Hispanic Diabetes Prevention Program; and (d) The Healing of the Canoe: The Community Pulling Together; (8) and (9) SBIR and STTR grants: (a) Sickle Cell Personal Medical Information Card; and (b) An Information Processing Program for High-Risk Parents and (c) healthcare-focused language software.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating all new grant and renewal grant applications include assessments of: the scientific merit and general significance of the proposed study and its objectives; the technical adequacy of the experimental design and approach; the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; and the necessity of the budget components requested in relation to the proposed project, and the relevance and importance to the announced program objectives. HDR-LRP contracts: Applicants must be recommended for funding by the Special Emphasis Panel after a review of the applications based on: the appropriateness of the applicant's previous training and experience to prepare him/her for a career in health disparities research; the suitability of the applicant's proposed research activities in the two-year loan repayment period to foster a research career in health disparities; the assessment of the applicant's commitment to a research career in health disparities as reflected by the personal statement and the plan outlined to achieve those goals; the strength of recommendations attesting to the applicant's potential for a research career; the availability of appropriate scientific colleagues to achieve

and/or enhance the applicant's research independence; and the quality and appropriateness of institutional resources and facilities. SBIR and STTR grants: For Phase I grant applications, the criteria used are: the soundness and technical merit of the proposed approach; the qualifications of the proposed principal investigator, supporting staff, and consultants; the technological innovation of the proposed research; the potential of the proposed suitability of the facilities and research environment; and where applicable, the adequacy of assurances detailing the proposed means for safeguarding human or animal subjects and/or protecting against or minimizing any adverse effect on the environment. For Phase II grant applications, the criteria used are: the degree to which the Phase I objectives were met and feasibility demonstrated; the scientific and technical merit of the proposed approach for achieving the phase II objectives; the qualifications of the proposed principal investigator, supporting staff and consultants; the technological innovation, originality, or societal importance of the proposed research; the potential of the proposed research for commercial application; the reasonableness of the budget requested for the work proposed; the adequacy and suitability of the facilities and research environment; and where applicable, the adequacy of assurances detailing the proposed means for safeguarding human or animal subjects and/or protecting against or minimizing any adverse effect on the environment. All persons interested in any of the DHHS/NIH/NCMHD grant and/or contract program initiatives are strongly encouraged to carefully read the Request for Applications as they are announced annually in order to understand what is required for each unique program as well as meet each deadline in a timely manner.

93.308 EXTRAMURAL LOAN REPAYMENT FOR INDIVIDUALS FROM DISADVANTAGED BACKGROUNDS CONDUCTING CLINICAL RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act; Title IV, Subtitle D, Part G, Section 487E, as amended; Public Law 106-554; 42 U.S.C. 288-5.

OBJECTIVES:

To encourage the recruitment and retention of qualified health professionals from disadvantaged backgrounds to conduct clinical research. Clinical research consists of patient-oriented clinical research conducted with human subjects, or research on the causes and consequences of disease in human populations involving material of human origin (such as tissue specimens and cognitive phenomena) for which the investigator or colleague directly interacts with human subjects in an outpatient or inpatient setting to clarify a problem in human physiology, pathophysiology or disease, or epidemiologic or behavioral studies, outcomes research or health services research, or developing new technologies, therapeutic interventions, or clinical trials.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

To provide repayment of existing educational loan debt incurred by health professionals from disadvantaged backgrounds engaged in clinical research. Recipients must execute a written contract agreeing to engage in such research for a minimum of two years. The Secretary of the Department of Health and Human Services, or the Secretary's designee, may grant extension contracts for a one-year or two-year period after the completion of the initial two-year contract, provided that the participant applies for an extension, continues to engage in qualified research, and there is sufficient repayable debt remaining.

Applicant Eligibility:

An applicant must: (1) be a United States citizen, national, or permanent resident; (2) have an M.D., Ph.D., Pharm.D., D.D.S., D.M.D., D.C., N.D., or equivalent doctoral degree from an accredited institution; (3) come from a disadvantaged background; (4) conduct research that is supported by a non-profit institution, foundation, professional society or U.S. government entity, (4) commit 50 percent or more of his/her time to clinical research; (5) have total qualifying educational debt greater than or equal to 20 percent of his/her institutional base salary at the time of award; (7) conduct research in accordance with applicable Federal, state and local law; (8) not be under any existing service obligations to Federal, State, or other entities, unless and until the existing service obligation is discharged or deferred during the period of program service; (9) not have Federal judgment liens against their property arising from a Federal debt from receiving Federal funds until all judgments have been paid in full or otherwise satisfied; (10) not be a full-time employee of a Federal government agency. Part-time Federal employees who engage in qualifying research as part of their non-Federal duties are eligible to apply for loan repayment if they meet all other eligibility requirements; and (11) have the institution provide an assurance that the applicant will be provided research support for two years, that the applicant will engage in qualified clinical research for 50 percent of his/her time, that the funding foundation, professional society, or institution is considered to be non-profit pursuant to Section 501 of the

Internal Revenue Code or is a U.S. government entity, and certify the applicant's annual institutional base salary.

Beneficiary Eligibility:

Qualified health professionals from a disadvantaged background who conduct clinical research and who possess substantial unpaid educational debt relative to income will benefit from this program.

Credentials/Documentation:

An applicant must submit the following documentation electronically: (1) Applicant Information Statement; (2) Biosketch; (3) Personal Statement, which includes a discussion of career goals and academic objectives; (4) Description of Research Activities; (5) Contact Information of Three Recommenders; (6) Contact Information of Institution Official able to serve as the Institutional Contact; (7) On-line Certification; (8) Loan Information; (9) Notice of Grant/Award (or PHS 2271 for T32 recipients), if applying based on NIH support; and (10) Certification of Disadvantaged Background. The Research supervisor or mentor must electronically submit the following: (1) Recommendation; (2) Biosketch; (3) Assessment of the Research Activities Statement submitted by the applicant; (4) Description of the Research Environment; and (5) Training or Mentoring Plan. Recommenders must submit their recommendations electronically. Institutional Contacts must electronically submit a certification that: (1) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (2) assures that the applicant is or will be engaged in qualifying research for 50 percent of his/her time, i.e., not less than 20 hours per week; (3) certifies that the funding foundation, professional society, or institution is considered to be non-profit as provided under Section 501 of the Internal Revenue Code (26 U.S.C. 501) or is a U.S. government entity (Federal, state or local); and (4) provides the applicant's institutional base salary.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An application for participation in the program can be obtained from www.lrp.nih.gov and should be submitted to the NIH office, which is responsible for the receipt of applications, in such form and manner as the Secretary or the authorized designee may prescribe.

Award Procedure:

All eligible and completed applications will be forwarded to the Special Emphasis Panel (SEP) for review. Awards will be made to those applications approved by the SEP, subject to the receipt of an appropriation and/or allocation of funds from the United States Congress, the NIH and/or NCMHD. All selections are subject to final approval by the Secretary or the authorized designee.

Deadlines:

Contact the National Center on Minority Health and Health Disparities listed below for application deadlines or visit the website located at www.lrp.nih.gov.

Range of Approval/Disapproval Time:

The approximate time for approval/disapproval is 6-8 months.

Appeals:

Applicants may question the substantive or procedural aspects of the review of their application by communicating with NCMHD staff. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants2.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

After the completion of the initial two-year contract, participants may apply for a one-year or two-year extension provided the participant continues to engage in qualified clinical research and has outstanding repayable debt remaining. Applications submitted for an extension are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

An initial contract provides assistance for a two-year period, and an extension contract provides assistance for a one-year or two-year period. Program payments will be made directly to lenders following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary or authorized designee.

Reports:

Participants must be periodically certified to have satisfactorily performed the duties of their positions.

Audits:

Not applicable. This program has no statutory audit requirements.

Records:

The NCMHD will retain applicant records for three years after rejection and participant records for six years after completion of final service obligation.

Account Identification:

75-0897-0-1-552.

Obligations:

FY 07 \$1,562,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

The total dollar assistance for FY 05 for the 2-year contract period ranged from \$4,252.03 up to \$106,057 in loan repayments, and from ~\$3,000 up to ~\$28,000 in tax reimbursements. The average dollar assistance of awards made in FY 02 was ~\$45,000 in loan repayments and ~\$18,000 in tax reimbursements.

PROGRAM ACCOMPLISHMENTS:

It is anticipated that NCMHD will make 35 awards for fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 106-554; Section 487E of the Public Health Service Act (42 U.S.C. 288-5).

Regional or Local Office:

Not applicable.

Headquarters Office:

Program and Business Contact: Dr. Francisco Sy, National Center on Minority Health and Health Disparities, Loan Repayment Program, 6707 Democracy Boulevard, Suite 800, MSC 5465, Bethesda, MD 20892-5465. Telephone Number: (301) 402-1366. Fax: (301) 480-4049. Email: SyF@ncmhd.nih.gov.

Web Site Address:

<http://www.ncmhd.nih.gov>.

RELATED PROGRAMS:

93.162, National Health Service Corps Loan Repayment Program; 93.209, Contraception and Infertility Research Loan Repayment Program; 93.923, Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP); 93.936, National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program.

EXAMPLES OF FUNDED PROJECTS:

HIV-specific T-helper and CTL responses in viral vertically infected children; Evaluations of immunologic correlates of human papilloma virus infection and disease progression; Treatment in children with congenital heart disease; Oral disease and prevention amongst urban elderly populations; Psoriasis phenotype and atopic dermatitis; Genetic epidemiology and pathophysiological mechanisms of dyspepsia; Antiresorptive therapy for osteopenia associated with chronically immobilized children and adults with neuromuscular disease; Examining for the presence of local hypoxia in chondrosarcoma as a potential mechanism; Cognitive remediation program for childhood cancer survivors.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications/proposals include the assessment of the following: (1) personal statement; (2) proposed research project and its scientific merit (priority will be given to biomedical and behavioral researchers); (3) training plan and environment (which includes the research program, the facilities/environment, the mentor/advisor's training, the applicant's training); (4) loan debt ratio and any associated impediments that may affect the applicant's decision to pursue a research career; and (5) references.

93.310 TRANS-NIH RESEARCH SUPPORT

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title IV, Section 301, as amended.

OBJECTIVES:

To provide support for new initiatives designed to address major opportunities and gaps in biomedical research that no single NIH institute could address alone, but which the agency as a whole can pursue to stimulate the progress of biomedical research and to catalyze changes that will serve to transform new scientific knowledge into tangible benefits for public health. Information on recent and current initiatives is available at <http://nihroadmap.nih.gov/and> at <http://opasi.nih.gov>.

TYPES OF ASSISTANCE:

Project Grants; Training.

USES AND USE RESTRICTIONS:

To support research and other projects that will accelerate fundamental biomedical discovery and translation of that knowledge into effective prevention strategies and new treatments.

Applicant Eligibility:

Awards can be made to domestic, public or private, for-profit or nonprofit organization, university, college, hospital, laboratory, or other institution, including State and local units of government, and individuals. Some initiatives will accept applications from foreign organizations. Additional details on eligibility are specified in the Roadmap Grants and Funding Opportunities funding announcement, listed at <http://nihroadmap.nih.gov/grants/index.asp>.

Beneficiary Eligibility:

Institutions as described above.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Prepare and submit applications according to instructions provided in the applicable Roadmap Grants and Funding Opportunities announcement, located at <http://nihroadmap.nih.gov/grants/index.asp>. This program is subject to the provisions of 45 CFR 74 including applicable federal cost principles as referenced, the NIH Grants Policy Statement (rev. 11/2003), and the provisions in the funding announcement. NIH is transitioning to an electronic application by grant mechanism. Details, including the appropriate application form, can be found at <http://era.nih.gov/ElectronicReceipt/>.

Award Procedure:

Applications are reviewed for scientific and technical merit by a scientific review group and for program relevance by a national advisory council/board.

Deadlines:

Application deadlines are specified in the Roadmap Grants and Funding Opportunities funding announcement, listed at <http://nihroadmap.nih.gov/grants/index.asp>.

Range of Approval/Disapproval Time:

The length of the review cycle is four to nine months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the NIH Scientific/Research contact, as provided on an initiative. A description of the NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Renewal (competing continuation, type 2) applications to Roadmap programs are not accepted unless specifically accepted by NIH staff.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Almost all awards are made for at least one year, with additional support (up to four more years) depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds.

Reports:

Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Account Identification:

75-0846-0-1-552.

Obligations:

(Grants) FY 07 \$353,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$2,300 to \$3,800,000; \$620,000.

PROGRAM ACCOMPLISHMENTS:

IN FY 2004 and FY 2005, awards were made to support research projects that accelerate fundamental discovery and translation of that knowledge into effective prevention strategies and new treatments that will lead to better health for U.S. citizens. Lists of the awards that were made are available on the NIH Roadmap web site, at <http://nihroadmap.nih.gov/grants/fundedresearch.asp>.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74; 42 CFR 52. NIH Grants Policy Statement, (Rev.) December 1, 2003. The PHS 398 may be obtained from NIH's Extramural Research Home Page: <http://grants1.nih.gov/grants/oeer.htm>.

Regional or Local Office:

Financial or Grants Management contact as provided on the initiative, or Director, Office of Policy for Extramural Research Administration (OPERA), OER, NIH for award policy or application issues: 301-435-0938.

Headquarters Office:

Scientific/Research contact as provided on an initiative or Program Director, Office of Extramural Programs (OEP) for questions on program administration, 301-435-2690. Dr. Lisa Colpe, Acting Assistant Director for Roadmap Coordination, Office of the Director, National Institutes of Health, Bethesda, MD 20892; 301-402-7617.

Web Site Address:

<http://nihroadmap.nih.gov/>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

FY 2004 was the first year of the Roadmap program. Awards were made for health-related research projects that pertain to the mission of the Roadmap. Lists of the awards made in FY 2004 and FY 2005 are available at <http://nihroadmap.nih.gov/grants/fundedresearch.asp>.

CRITERIA FOR SELECTING PROPOSALS:

Funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans, animals, or the environment.

93.342 HEALTH PROFESSIONS STUDENT LOANS, INCLUDING PRIMARY CARE LOANS/LOANS FOR DISADVANTAGED STUDENTS

(HPSL/PCL/LDS)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Part C, Section 721-735, 42 U.S.C. 292q-292y; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

To increase educational opportunities by providing long-term, low-interest loans to students in need of financial assistance and in pursuit of a course of study in an approved health discipline.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

Funds on deposit can only be used for loans to eligible students pursuing a full-time course of study. The maximum amount a student may borrow is cost of attendance (including tuition, other reasonable educational expenses and reasonable living expenses). Third and fourth year medical and osteopathic medicine students may be eligible for additional funding to repay earlier higher-interest educational loans. The interest rate is 5 percent. Effective July 1, 1993, to be eligible for a Primary Care Loan (PCL), students of allopathic medicine and osteopathic medicine must meet financial need criteria and agree to enter and complete a residency training program in primary health care not later than 4 years after the date on which the student graduates from such school. The PCL borrower must also practice primary health care until the loan is repaid in full. To be eligible for Loans for Disadvantaged Students (LDS) students must meet the HPSL criteria and also be from a disadvantaged background as defined by the Secretary. A school must be carrying out a program for recruiting and retaining students from disadvantaged backgrounds, including racial and ethnic minorities and carrying out a program for recruiting and retaining minority faculty to be eligible for LDS funds. In addition, the school must agree to ensure that adequate instruction regarding minority health issues is in the curricula of the school. Health clinics must provide services to a significant number of individuals who are from disadvantaged backgrounds, including members of minority groups and enter into arrangements with one or more such clinics for the purpose of providing students of the school with experience in clinical services to such individuals. Secondary educational institutions and undergraduate institutions of higher education, to must enter into arrangements with one or more such institutions for the purpose of carrying out programs regarding the educational preparation of disadvantaged students, including minority students, to enter the health professions and recruit such individuals into the health professions. These institutions should also establish a mentor program for assisting disadvantaged students, including minority students, toward completion of the educational requirements for degrees from the school.

Applicant Eligibility:

Any accredited public or other nonprofit private school of medicine, dentistry, osteopathic medicine, optometry, podiatry, pharmacy, or veterinary medicine which provides a course of study leading to a degree of Doctor of Medicine or Doctor of Osteopathic Medicine for PCL borrowers, Doctor of Dentistry (or an equivalent degree), Doctor of Optometry (or an equivalent degree), Doctor of Podiatric Medicine (or an equivalent degree), Bachelor of Science in Pharmacy (or an equivalent degree), Doctor of Pharmacy (or an equivalent degree), or Doctor of Veterinary Medicine (or an equivalent degree) for HPSL and LDS borrowers. Additionally, the school must be located in the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Mariana Islands, the Virgin Islands, Guam, American Samoa, the Trust Territory of the Pacific

Islands, the Republic of Palau, the Republic of the Marshall Islands, or the Federated State of Micronesia.

Beneficiary Eligibility:

A student applicant must have financial need and be enrolled; or accepted for enrollment in a school, as mentioned above; and pursuing a full-time course of study leading to a degree as specified above. A student applicant must be a citizen, national or lawful permanent resident of the United States, the District of Columbia, the Commonwealths of Puerto Rico, the Mariana Islands, the Virgin Islands, Guam, American Samoa, the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, or the Federated State of Micronesia.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

When Federal Capital Contribution funds are available for re-distribution, a Notice of Award is sent to the School's Contact Person notifying the school of the amount of Federal funds allocated for loans prior to the beginning of the academic year in which the award is to be utilized. Students are then notified by the school's financial aid office of approval or disapproval of loans at times determined by schools.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Approximately 2 months.

Appeals:

None.

Renewals:

Applications are required only for new schools.

Formula and Matching Requirements:

Allotment of funds is based on statutory formula which takes into account the ratio each school's enrollment bears to the enrollments of all schools making application for funds but never in excess of request of school. Under the Federal Capital Contribution method, a school must match Federal Capital Contribution with an amount equal to one-ninth of the funds drawn down.

Length and Time Phasing of Assistance:

The time required by a student to complete the necessary course of study leading to a degree and subject to the availability of funds.

Reports:

"Annual Operating Report" through electronic submission at <http://bhpr.hrsa.gov/dsa>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Federal Capital Contribution) FY 07 \$22,928,940; FY 08 est \$13,453,724\$; and FY 09 est \$25,000,000.

Range and Average of Financial Assistance:

\$1,122,616 to \$3,311 for FY 07; Average: \$241,357 per institution (for FY 07)

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007 support was provided to 13,817 students.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Jim Essel, Division of Health Careers Diversity and Development Student Loans and Scholarships, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 8-429-105, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-4776.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: 301-443-2385; Research and Training Branch: 301-443-3099; Government and Special Focus Branch: 301-443-3288.

Web Site Address:

<http://bhpr.hrsa.gov/dsa>.

RELATED PROGRAMS:

84.038, Federal Perkins Loan Program Federal Capital Contributions.

EXAMPLES OF FUNDED PROJECTS:

PCL: (1) Long-term low-interest loans made to students of Allopathic Medicine, Osteopathic Medicine. HPSL/LDS: Long-term, low-interest loans made to students of Dentistry, Pharmacy, Optometry, Podiatric Medicine; and Veterinary Medicine; and (2) funds provided to Health Professions Schools to capitalize loan funds. LDS: First awards were made in September 1991.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness, accuracy, and to determine if applicant schools meet minimum program requirements.

93.358 ADVANCED EDUCATION NURSING TRAINEESHIPS

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 811, 42 U.S.C. 296j, as amended; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

Grants are awarded to eligible institutions to provide financial support through traineeships for registered nurses enrolled in advanced education nursing programs to prepare nurse practitioners, clinical nurse specialists, nurse midwives, nurse anesthetists, nurse administrators, nurse educators, public health nurses and nurses in other specialties determined by the Secretary to require advanced education.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds can only be used to pay all or part of the costs of tuition, books, and fees of the program of advanced nurse education, and reasonable living expenses of the individual during the period for which the traineeship is provided. No more than 10 percent of the traineeship funds may be obligated for individuals in doctoral degree programs. In direct costs and trainee travel are not allowed. Maximum period of support is limited to 36 months of full or part-time study for each graduate student. Nurse anesthetist students are only eligible for traineeship support during their first year.

Applicant Eligibility:

Eligible applicants are collegiate schools of nursing, academic health centers, and other private or public nonprofit entities accredited by a recognized body or bodies or State agency, approved for the purpose of nursing education by the Secretary of Education.

Beneficiary Eligibility:

The participating institutions select traineeship recipients. A candidate must be enrolled in an advanced education nursing program for preparation as a nurse practitioner, nurse-midwife, nurse anesthetist, clinical nurse specialist, public health nurse, nurse administrator, nurse educator, or other nurse specialty determined by the Secretary to require advanced education. The candidate must be pursuing a master's or doctoral degree, a combined RN to master's degree, nurse-midwifery certificate in existence on November 12, 1998, or a post-nursing master's certificate. The candidate must be a citizen of the United States, non-citizen national, or foreign national who possesses a visa permitting permanent residence in the United States.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding

recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing through a Notice of Grant Award issued from the Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Approximately 2 to 3 months after receipt of application.

Appeals:

None.

Renewals:

Applications must be submitted annually.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project periods are for (1) year.

Reports:

Annual financial status reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-551.

Obligations:

FY 07 \$16,000,000; FY 08 \$16,000,000; and FY 09 est. \$16,000,000.

Range and Average of Financial Assistance:

FY 08: Range is \$1,829 to \$303,094; Average is \$50,633.

PROGRAM ACCOMPLISHMENTS:

For 2007, 347 awards were made; for 2008, 316 awards were made; and for 2009, 340 estimated awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Ms. Karen Breeden, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 8-C26, Parklawn Building, Rockville, MD 20857. Telephone: (301) 443-6333.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funded projects provide financial support to nursing students enrolled full-time or part-time in a nursing education program to cover the costs of tuition and fees, books and reasonable living allowance.

CRITERIA FOR SELECTING PROPOSALS:

Eligible applicants must meet all applicable Federal guidelines and/or graduates must be eligible for national nursing organization certification, and/or programs must meet other national organizational guidelines, as appropriate, in the nursing field of study.

93.359 NURSE EDUCATION, PRACTICE AND RETENTION GRANTS

(NEPR)and Faculty Development: Integrated Technology into Nursing Education and Practice Initiative (Faculty Development: ITNEP).

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 831, 42 U.S.C. 296p, as amended; Nurse Reinvestment Act of 2002, Public Law 107-205.

OBJECTIVES:

Grants are awarded to eligible applicant organizations for projects to strengthen and enhance the capacity for nurse education, practice and retention to address the nursing shortage. There are three major priority areas (Education, Practice and Retention) and nine purposes associated with the three priority areas. Applicants must select and focus on one of these nine purposes in the grant application. The education priority areas are: Purpose E1) expanding enrollment in baccalaureate nursing programs; Purpose E2) developing and implementing internship and residency programs to encourage mentoring and the development of specialties; Purpose E3) providing education in new technologies, including distance learning methodologies. The practice priority areas are: Purpose P1) establishing or expanding nursing practice arrangements in non-institutional settings to demonstrate methods to improve access to primary health care in medically underserved communities; Purpose P2) providing care for underserved populations and other high-risk groups such as the elderly, individuals with HIV/AIDS, substance abusers, the homeless, and victims of domestic violence; Purpose P3) providing managed care, quality improvement, and other skills needed to practice in existing and emerging organized health care systems; Purpose P4) developing cultural competencies among nurses. The retention priority areas are: Purpose R1) career ladder bridge programs which promote career advancement for nursing personnel and registered nurses and; Purpose R2) enhancing patient care delivery systems through improving the retention of nurses and enhancing patient care. Faculty Development: Integrated Technology into Nursing Education and Practice Initiative (Faculty Development: ITNEP). The Health Resources and Services Administrations (HRSA) Bureau of Health Professions (BHPr), in partnership with the Office of Health Information Technology (OHIT), will administer the ITNEP. The purpose of this initiative is to provide support to nursing collaboratives for faculty development in the use of information and other technologies in order to expand the capacity of collegiate schools of nursing to educate students for 21st century health care practice. Nursing collaboratives will use healthcare information systems to enhance nursing education and practice, optimize patient safety, and drive improvements in health care quality. For this initiative, use of information and other technologies in nursing education and practice, includes, but is not limited to, informatics, telehealth, mannequin-based and patient simulators, computer-based instructions, virtual simulation, interactive simulated case studies, advanced 3D graphics, e-Learning technology, and other simulated or virtual methods to enhance nursing education and practice. Since no institution or organization currently offers the full spectrum of information and other technologies necessary to carry out this initiative, the nursing collaboratives must develop linkages and partnerships to undertake this initiative.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and student stipends or scholarships; and other expenses related to the project. Restricted Uses: Indirect costs are allowed for administrative costs incurred as a result of the project, limited to 8 percent of direct costs exclusive of equipment and tuition and fees.

Applicant Eligibility:

Eligible applicants are: accredited schools of nursing, health care facilities, or a partnership of such a school and a health care facility, nursing centers, academic health centers, State or local governments, an Indian Tribe or Tribal organization, other public or private non-profit entities including faith-based and community organizations, and for-profit entities capable of carrying out the legislative purpose. For the E1 purpose that focuses on expanding enrollment in baccalaureate nursing programs, the only eligible applicant organizations are collegiate accredited school of nursing. Schools of nursing must be accredited by the National League for Nursing Accrediting Commission, Inc. (NLNAC), Commission on Collegiate Nursing Education (CCNE), and Department of Education deemed State Agencies to be considered eligible applicants. ITNEP: Eligible applicants are collegiate schools of nursing capable of carrying out the initiative's purposes. Eligible applicants must provide evidence that they have the capacity and infrastructure in place to carry out the program goals and objectives. Eligible applicants must provide a formal written agreement (See Program Definitions) demonstrating a collaboration with one or more academic health centers, schools of nursing, accredited public or private institutions of higher learning, or other public or private entities capable of carrying out the program goals and objectives. The roles and responsibilities of the collective partnership and of each partner must be clearly delineated.

Beneficiary Eligibility:

Accredited public and nonprofit private schools of nursing and other public or nonprofit private entities.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Approximately 6 months after receipt of applications.

Appeals:

None.

Renewals:

Competing continuation applications may be submitted for continuance of a grant beyond the initial project period. A competing continuation application shall be submitted during the beginning of the third year of the initial project period. The submission date is the same for new applications and competing continuation applications. These applications are reviewed in the same manner and at the same time as new competing applications.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Competing and competing continuation grant applications are submitted for three (3) years of support, except for the following two legislative purposes: (1) establishing or expanding nurse practice arrangements and (2) enhancing patient care delivery systems which are for five years of support.

Reports:

A Uniform Progress Report (UPR) and Comprehensive Performance Management System (CPMS) Report must be submitted annually. A Financial Status Report (FSR) must be submitted within 90 days after the end of each budget period. Final progress and financial reports must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$35,343,422; FY 08 \$34,562,453; and FY 09 est \$34,000,000. ITNEP: FY 07 \$1,200,000 (2 competing and 2 non-competing awards); FY 08 est \$1,800,000 (2 competing and 4 non-competing awards); and FY 09 est \$2,400,000 (2 new competing and 6 non-competing awards).

Range and Average of Financial Assistance:

\$14,780 - \$564,280; \$250,000. ITNEP: \$295,000.

PROGRAM ACCOMPLISHMENTS:

Program provides support to strengthen capacity for nurse education and practice under three priority areas: Education, Practice and Retention. Program supports academic, service and continuing education projects to strengthen the nursing workforce and improve nurse retention and quality of patient care. In FY07, 95 non-competing continuations and 39 new awards will be issued. It is estimated that 91 non-competing continuations and 28 new awards will be issued in FY08. ITNEP: In FY 07, 2 new projects and 2 non-competing continuation projects were funded. In FY 08 2 new projects and 4 non-competing continuation projects were funded. In FY 09 projected 2 new projects and 6 non competing continuation projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Dr. Janice Young, CAPT Catherine Rupinta and CDR Daniel Reed, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: (301) 443-6193. ITNEP: Capt. Young Song, Sr. Program Management Officer, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane Room 8C-26, Rockville, MD 20857, 301-443-6333, Fax: 301-443-0791.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch; Telephone: (301) 443-2385; Research and Training Branch; Telephone: (301) 443-3099; Government and Special Focus Branch; Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The focus of the funded projects is to strengthen and enhance the capacity for nurse education, practice and retention to address the nursing shortage by: expanding enrollment in baccalaureate nursing programs; developing and implementing internship and residency programs; providing education in new technologies, including distance learning methodologies; establishing or expanding nursing practice arrangements; providing care for underserved populations and other high-risk groups; providing managed care, quality improvement, and other skills needed to practice in existing and emerging organized health care systems; developing cultural competencies among nurses; creating career ladder bridge programs which promote career advancement for registered nurses and nursing personnel; and enhancing patient care delivery systems through improving the retention of nurses and enhancing patient care. ITNEP: Duke University, Board of Regents of University of Wisconsin System, and University of Pittsburgh.

CRITERIA FOR SELECTING PROPOSALS:

The purpose of the project must be consistent with and promote the activities identified in the law. Need must be documented, and the capability for achieving project goals must be described. In making awards of grants under this section, preference will be given to any qualified applicant with a project that will substantially benefit rural or underserved populations, or help meet public health nursing needs in State in local health departments. This preference will only be applied to applications that rank above the 20th percentile of applications recommended for approval.

93.361 NURSING RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 301, 483, 484, and 487, as amended, Public Law 99-158; Small Business Research and Development Act of 1992, Public Law 102-564.

OBJECTIVES:

The National Institute of Nursing Research supports and conducts clinical and basic research and research training on health and illness across the lifespan. The research focus encompasses health promotion and disease prevention, quality of life, health disparities, and end-of-life. NINR seeks to extend nursing science by integrating the biological and behavioral sciences, employing new technologies to research questions, improving research methods, and developing the scientists of the future. NINR accomplishes its mission through research on preventing, delaying the onset, and slowing the progression of disease and disability. This includes (a) finding effective approaches to achieving and sustaining a healthy lifestyle and preventing diseases and disability; (b) improving the quality of life for patients, families, and informal caregivers by enhancing the individual's role in managing disease and disability, relieving symptoms, and improving outcomes; (c) eliminating health disparities, including disparities based on race/ethnicity, gender, socio-economic status, geography, cultural factors, and disability, and (d) addressing issues at the end of life, improving palliative care, enhancing the quality of life for the dying patient, and supporting family and informal caregivers. These efforts are crucial in translating scientific advances into cost-effective health care that does not compromise quality. NINR accomplishes its mission by supporting grants to universities and other research organizations as well as by conducting research intramural at laboratories in Bethesda, Maryland. The research fosters interdisciplinary collaborations to ensure a comprehensive approach to research on illness and disabling conditions. This approach is especially relevant in research aimed at developing interventions that address the needs of diverse populations across the life span, adapting and generating new technologies,

integrating biological and behavioral science, improving methods for future scientific discoveries. In addition, the Institute supports comprehensive research training programs to prepare individuals with requisite interdisciplinary skills to conduct nursing research. Small Business Innovation Research (SBIR) program: NINR seeks to expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation Small Business Technology Transfer (STTR) program: Through this program, NINR seeks to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Centers Program: To promote interdisciplinary research, offer an environment for research training and career development, and concentrate research through Centers for Nursing Research.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Research Grants: Funds may be used for salaries, consultation, equipment, travel and other usual costs, subject to Federal regulations applicable to the grant. National Research Service Awards (NRSAs) (Individual): Individual awards are made to institutions on behalf of the individual for research training in specified areas related to the mission of NINR. NRSAs (Institutional): Grants may be made to institutions to enable them to accept individuals for research training. Each individual who receives a NRSA postdoctoral fellowship on an NRSA award must comply with all applicable service and payback provisions. SBIR Phase I grants: (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application.

Applicant Eligibility:

Research Grants: Any corporation, public or private institution or agency, or other legal entity, either nonprofit or for-profit, may apply. NRSAs (Individual): An applicant must be a registered professional nurse with either a baccalaureate and/or a master's degree in nursing or a related field and must be a citizen of the United States or lawfully admitted for permanent residence. Those on temporary or student visas are not eligible. NRSAs (Institutional): An eligible institution must be capable of providing predoctoral and/or postdoctoral research training opportunities for nurses. SBIR grants: can be awarded only to domestic small businesses (entities that are independently owned and operated for profit; are not dominant in the field in which research is being proposed; and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the United States or its possessions. To be eligible for funding, a grant application must be reviewed for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Individuals and public or private institutions.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. NRSAs (Individual): The applicant's academic record, research experience, citizenship, licensure as a registered nurse, and institutional sponsorship should be documented in the application. NRSAs (Institutional): The applicant organization must show the objectives, methodology and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and detailed budget justification for the amount of grant funds requested. Costs will be determined in accordance with OMB Circular A-21,

Cost Principles for Educational Institutions and OMB Circular A-87 for State and local governments. For-profit organizations' costs are determined in accordance with 48 CFR Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. The SF 424 (Research and Research-Related) application forms and the SF 424 SBIR/STTR Application Guide are used to apply for both SBIR and STTR, Phase I and Phase II. Application is made electronically through Grants.gov (<http://www.grants.gov>).

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Research Grants: Applications must be prepared either electronically through Grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related) or on paper using the PHS 398 research grant application instructions and forms (rev. 4/2006). The PHS 398 is available at <http://grants.nih.gov/grants/funding/phs398/phs398.html>. The format is interactive. For assistance contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov. NRSAs (Individual): Individuals must submit the application form, PHS Individual National Research Service Award (PHS 416-1, rev. 10/05), and include at least three letters of reference. If the applicant has been lawfully admitted to the United States for permanent residence, the appropriate item should be checked on the Face Page of the application. Applicants who have applied for and have not yet been granted admission as a permanent resident should check the Permanent resident block on the Face Page of the PHS 416-1 application, and also write in the word "pending." A notarized statement documenting legal admission for permanent residence must be submitted prior to the issuance of an award. SBIR and STTR: The SF 424 (Research and Research-Related) application forms and the SF 424 SBIR/STTR Application Guide are used to apply for both SBIR and STTR grants, Phase I and Phase II. Application must be made electronically through grants.gov (<http://www.grants.gov>). For further assistance contact GrantsInfo, Telephone: (301) 435-0714, E-mail: GrantsInfo@nih.gov.

Award Procedure:

Research Grants and NRSAs (Institutional): All accepted applications are reviewed for scientific merit by an appropriate initial review group and then by a national advisory council. (Individual NRSA applications are not reviewed by council.) All applications favorably recommended compete for available funds on the basis of scientific merit and mission/program relevance. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, mission/program relevance, and program balance among the areas of research.

Deadlines:

Research Grants: New R01 -- February 5, June 5, and October 5; Renewal R01 - March 5, July 5, and November 5. New R03, R21 -- February 16, June 16, October 16; Resubmission R03, R21 -- March 16, July 16, November 16. New and Resubmission R15 -- February 25, June 25, October 25; Individual NRSAs: April 8, August 8, and December 8. Institutional NRSA's: May 25 only. SBIR and STTR: April 5, August 5, and December 5. AIDS-Related grants: May 7, September 7, and January 7. For details on submission dates, see the following website: <http://grants1.nih.gov/grants/funding/submissionschedule.htm>.

Range of Approval/Disapproval Time:

Research Grants: From 6 to 9 months. NRSAs: From 6 to 9 months. SBIR/STTR: About 7-1/2 months. AIDS Grants: About 6 months.

Appeals:

Research Grants: A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the NINR.

Renewals:

Research Grants: Renewal applications are accepted. NRSAs: Awards may be made for 1 to 5 years. No individual may receive more than 5 years of aggregate NRSA support beyond the predoctoral level, and no individual may receive NRSA support for more than 3 years of support beyond the postdoctoral level. Institutional awards may be renewed.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Research Grants: Awards are usually made annually with no project periods to exceed 5 years in length. NRSAs: Institutional awards may be made for up to 5 years, and Individual awards may be made for up to 5 years at the predoctoral level, 3 years at postdoctoral level, and 2 years at senior level. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Progress reports and financial status reports where applicable are required annually for continuing projects. Final reports including the financial status report on all projects are required within 90 days after their conclusion. Recipients of NRSAs are required to file termination reports to ascertain compliance with the service and paycheck provisions.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Records must be retained for 3 years or longer if not audited or audit findings have not been resolved.

Account Identification:

75-0889-0-1-552.

Obligations:

(Research Grants) FY 07 \$110,914,000; FY 08 est \$110,967,000; and FY 09 est \$110,840,000. (National Research Service Awards (NRSA) FY 07 \$10,088,000; FY 08 est \$10,088,000; and FY 09 est \$10,154,000.

Range and Average of Financial Assistance:

(Research Grants) \$3,000 to \$717,109; \$337,000. (NRSAs) Individual Awards \$3,778 to \$55,152; \$33,000. Institutional Awards \$46,352 to \$481,559; \$314,000.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2007, 329 research grants were awarded. For FY 2007, 92 NRSA Individual FTTs were awarded and 154 NRSA Institutional FTTs were awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Research Grants: Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Program areas are as follows: Chronic conditions and infectious diseases, including health issues of individuals with arthritis, diabetes, urinary incontinence, digestive disorders, and musculoskeletal disorders; Health behavior and minority health, including studies of health disparities, women's health, men's health, and health and risk behaviors research; Cardiopulmonary and critical care science, including research on responses to acute cardiovascular events, chronic management of cardiovascular disease, and responses to acute and chronic pulmonary conditions such as COPD, asthma, and other non-infection-caused conditions; Neuroscience, including research on symptom management and/or prevention of cognitive deficits, neurological conditions, and informal caregiving for persons with these conditions; HIV/AIDS and oncology, including symptom management, self-management, and caregiving of patients with cancer, HIV/AIDS, and immune disorders; Reproductive, child, and family health, including reproduction and child bearing issues, infant development and illness, child and adolescent health promotion and risk reduction, and family health; End of life and long term care, including clinical management of physical and psychological symptoms, communication, ethics and clinical decision-making, caregiver support, and care delivery issues at the end of life. Program Contact is Dr. Yvonne Bryan, Acting Chief, Office of Extramural Programs. Address: National Institute of Nursing Research, National Institutes of Health, Room 710, One Democracy Plaza, 6701 Democracy Blvd., Bethesda, MD 20892. Telephone: (301) 594-6906. Grants Management Contact is Mr. Brian Albertini, Grants Management Officer, National Institute of Nursing Research, National Institutes of Health, Room 710, One Democracy Plaza, 6701 Democracy Blvd., Bethesda, MD 20892. Telephone: (301) 594-6869. Use the same numbers for FTS.

Web Site Address:

<http://www.nih.gov/ninr>.

RELATED PROGRAMS:

93.226, Research on Healthcare Costs, Quality and Outcomes; 93.242, Mental Health Research Grants; 93.359, Nurse Education, Practice and Retention Grants; 93.399, Cancer Control; 93.866, Aging Research.

EXAMPLES OF FUNDED PROJECTS:

(1) Reducing Environmental Risk to Rural Low Income Families; (2) Genetics, Environment and Weight Gain Post-transplant; (3) Preterm Birth: Psychoneuroimmunology in Hispanics; (4) Pain Rehabilitation: Patient Centered Outcomes; (5) Mother-Administered Interventions for VLBW Infants; (6)

Mitochondrial Genetics of Recovery After Brain Injury; (7) Estrogen and Testosterone in Experimental Stroke; (8) Family-to-Family: Psychoeducation to Improve Children's Outcomes in HIV+ Families; (9) Improving patient outcomes in end-of-life care provided by physicians and nurses.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) Significance: Does this study address an important problem? If the aims of the application are achieved, how will scientific knowledge or clinical practice be advanced? What will be the effect of these studies on the concepts, methods, technologies, treatments, services, or preventative interventions that drive this field? (2) Approach: Are the conceptual framework, design, methods, and analyses adequately developed, well-integrated, well-reasoned, and appropriate to the aims of the project? Does the applicant acknowledge potential problem areas and consider alternative tactics? For applications designating multiple PIs, is the leadership approach, including the designated roles and responsibilities, governance, and organizational structure, consistent with and justified by the aims of the project and the expertise of each of the PIs? (3) Innovation: Is the project original and innovative? Does the project challenge existing paradigms or clinical practice; address an innovative hypothesis or critical barrier to progress in the field? Does the project develop or employ novel concepts, approaches or methodologies, tools, or technologies for this area? (4) Investigators: Are the PD/PIs and other key personnel appropriately trained and well suited to carry out this work? Is the work proposed appropriate to the experience level(s) of the principal investigator(s) and other researchers? Do the PD/PIs and investigative team bring complementary and integrated expertise to the project (if applicable)? (5) Environment: Does the scientific environment(s) in which the work will be done contribute to the probability of success? Do the proposed studies benefit from unique features of the scientific environment(s), or subject populations, or employ useful collaborative arrangements? Is there evidence of institutional support? In addition to the above criteria, the following items will continue to be considered in the determination of scientific merit and the priority score: Resubmission Applications (formerly revised/amended applications): Are the responses to comments from the previous scientific review group adequate? Are the improvements in the resubmission application appropriate? Protection of Human Subjects from Research Risk: The involvement of human subjects and protections from research risk relating to their participation in the proposed research will be assessed. Inclusion of Women, Minorities and Children in Research: The adequacy of plans to include subjects from both genders, all racial and ethnic groups (and subgroups), and children as appropriate for the scientific goals of the research will be assessed. Plans for the recruitment and retention of subjects will also be evaluated. Care and Use of Vertebrate Animals in Research: If vertebrate animals are to be used in the project, the adequacy of the plans for care and use of vertebrate animals to be used in the project will be assessed. Biohazards: If materials or procedures are proposed that are potentially hazardous to research personnel and/or the environment, determine if the proposed protection is adequate. Applications compete for available funds with all other recommended applications. The following will be considered in making funding decisions: Scientific merit of the proposed project as determined by peer review; Availability of funds; Relevance of program priorities. The following criteria will be used in considering the scientific and technical merit of all SBIR/STTR grant applications: Significance: Does the proposed project have commercial potential to lead to a marketable product, process or service? Does this study address an important problem? What may be the anticipated commercial and societal benefits that may be derived from the proposed research? If the aims of the application are achieved, how will scientific knowledge or clinical practice be advanced? What will be the effect of these studies on the concepts, methods, technologies, treatments, services, or preventative interventions that drive this field? Does the application lead to enabling technologies (e.g., instrumentation, software) for further discoveries? Will the technology have a competitive advantage over existing/alternate technologies that can meet the market needs? Approach: Are the conceptual or clinical framework, design, methods, and analyses adequately developed, well-integrated, well-reasoned, and appropriate to the aims of the project? Is the proposed plan a sound approach for establishing technical and commercial feasibility? Are the milestones and evaluation procedures appropriate? Does the applicant acknowledge potential problem areas and consider alternative tactics? For applications designating multiple PDs/PIs, is the leadership approach, including the designated roles and responsibilities, governance, and organizational structure, consistent with and justified by the aims of the project and the expertise of each of the PDs/PIs? Innovation: Is the project original and innovative? For example: Does the project challenge existing paradigms or clinical practice; address an innovative hypothesis or critical barrier to progress in the field? Does the project develop or employ novel concepts, approaches, methodologies, tools, or technologies for this area? Investigator(s): Are the PD/PI(s) and other key personnel appropriately trained and well suited to carry out this work? Is the work proposed appropriate to the experience level of the PD/PI(s) and other researchers, including consultants and subcontractors (if any)? Do the PD/PIs

and investigative team bring complementary and integrated expertise to the project (if applicable)? Are the relationships of the key personnel to the small business and to other institutions appropriate for the work proposed?

Environment: Do(es) the scientific and technological environment in which the work will be done contribute to the probability of success? Do the proposed studies benefit from unique features of the scientific environment, or subject populations, or employ useful collaborative arrangements? Is there evidence of institutional support? Is there sufficient access to resources (e.g., equipment, facilities)? In addition to the above criteria, Phase II grant applications will be reviewed based upon the following criteria: 1. How well did the applicant demonstrate progress toward meeting the Phase I objectives, demonstrating feasibility, and providing a solid foundation for the proposed Phase II activity? 2. Did the applicant submit a concise Commercialization Plan that adequately addresses the specific areas described in the SF424 (R&R) SBIR/STTR Application Guide and the SBIR/STTR Information component? 3. Does the project carry a high degree of commercial potential, as described in the Commercialization Plan? In addition to the above criteria, the following items will continue to be considered in the determination of scientific merit and the priority score: Resubmission Applications: Are the responses to comments from the previous scientific review group adequate? Are the improvements in the resubmission application appropriate? Protection of Human Subjects from Research Risk: The involvement of human subjects and protections from research risk relating to their participation in the proposed research will be assessed. Inclusion of Women, Minorities and Children in Research: The adequacy of plans to include subjects from both genders, all racial and ethnic groups (and subgroups), and children as appropriate for the scientific goals of the research will be assessed. Plans for the recruitment and retention of subjects will also be evaluated. Care and Use of Vertebrate Animals in Research: If vertebrate animals are to be used in the project, the adequacy of the plans for their care and use will be assessed. Biohazards: If materials or procedures are proposed that are potentially hazardous to research personnel and/or the environment, determine if the proposed protection is adequate. SBIR/STTR applications compete for available funds with all other recommended SBIR/STTR applications. The following will be considered in making funding decisions: Scientific and technical merit of the proposed project as determined by peer review; Availability of funds; Relevance of the proposed project to program priorities.

93.364 NURSING STUDENT LOANS

(NSL)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 835-842, 42 U.S.C. 297a-i, as amended; Health Professions Education Partnership Act of 1998, Public Law 105-392.

OBJECTIVES:

To increase educational opportunities by providing long-term, low-interest loans to students in need of financial assistance and in pursuit of a course of study in an approved health discipline.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

The maximum amount a student may borrow is \$2,500 for an academic year for each of the first two years, \$4,000 for each of the final 2 years, or the amount of the student's financial need, whichever is less. The total is limited to \$13,000. Loans to full and half-time nursing students who are citizens, nationals, lawful permanent residents of the United States, the District of Columbia, the Commonwealths of Puerto Rico, the Mariana Islands, the Virgin Islands, Guam, the American Samoa, the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, and the Federated States of Micronesia. Funds are made to schools of nursing to capitalize loan.

Applicant Eligibility:

All accredited public and nonprofit private schools of nursing that prepare students for practice as registered or graduate nurses, and that do not discriminate against students because of race, color, origin, sex, or handicapping conditions, are eligible to apply for funds to be disbursed to qualified nursing students.

Beneficiary Eligibility:

The Nursing Student Loan Program provides financial assistance to nursing students who are citizens, nationals or lawful permanent residents of the United States or the District of Columbia, the Commonwealths of Puerto Rico or the Mariana Islands, the Virgin Islands, Guam, the American Samoa or the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, and the Federated State of Micronesia.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued

under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

The Notice of Award is sent to the School's Contact Person notifying the school of the amount of Federal funds allocated for loans prior to the beginning of the academic year in which the award is to be utilized. Student is notified by student aid office of approval or disapproval at times determined by schools.

Deadlines:

Students should contact the schools financial aid office for application deadline.

Range of Approval/Disapproval Time:

Approximately 3 months.

Appeals:

None.

Renewals:

Applications are required for new schools.

Formula and Matching Requirements:

Schools participating in loan programs must match the Federal contribution with an amount not less than one-ninth of the Federal allotment.

Length and Time Phasing of Assistance:

Length and time of assistance depends upon the time required by the student to complete the necessary course of study leading to a degree and is subject to availability of funds.

Reports:

"Annual Operating Report" through electronic submission at <http://bhpr.hrsa.gov/dsa>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$2,122,268; FY 08 est \$1,562,835; and FY 09 est \$2,000,000.

Range and Average of Financial Assistance:

\$1,274 to \$112,767 (FY 07 Actual).

PROGRAM ACCOMPLISHMENTS:

Awards were made to 79 nursing schools in FY 07.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Tyson Nakashima, Division of Health Careers Diversity and Development, Bureau of Health Professions, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, Parklawn Building, Room 9-105, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-4776. Use same number for FTS.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

84.038, Federal Perkins Loan Program_Federal Capital Contributions; 84.063, Federal Pell Grant Program.

EXAMPLES OF FUNDED PROJECTS:

(1) Long-term, low-interest loans made to nursing students; and (2) funds

provided to schools of nursing to capitalize loan funds.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness and accuracy, and to determine if applicant schools meet minimum program requirements.

93.365 SICKLE CELL TREATMENT DEMONSTRATION PROGRAM

(SCTDP)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 712(c) of the American Jobs Creation Act of 2004, Public Law 103-357.

OBJECTIVES:

To support demonstration projects for the development and establishment of systemic mechanisms and partnerships to enhance the prevention and treatment of sickle cell disease (SCD) through coordination of service delivery, genetic counseling and testing, bundling of technical services, training of health professionals, and patient and provider education.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for carrying out the approved projects in accordance with Section 712 of the Public Law 103-357.

Applicant Eligibility:

Eligible entities include, Federally-qualified health center, as defined in section 1905(10)(2)(B) of the Social Security Act (42 U.S.C. 1396d(10)(2)(B)), nonprofit hospital or clinic, or university health center that provides primary health care, that: (1) has a collaborative agreement with a community-based Sickle Cell Disease organization or a nonprofit entity with experience in working with individuals who have Sickle Cell Disease; and (2) demonstrates that either the Federally-qualified health center, the nonprofit hospital or clinic, the university health center, the community-based Sickle Cell Disease organization or the Sickle Cell Disease experts who serve as consultants to the project have at least 5 years of experience in working with individuals who have Sickle Cell Disease.

Beneficiary Eligibility:

Projects will benefit individuals with Sickle Cell Disease and health professionals who provide care for individuals with Sickle Cell Disease.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Maternal and Child. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Project applications are reviewed by a review committee of experts and persons with SCD or their family members who are generally nongovernmental. Applications are reviewed based on their merit, and are ranked according to the priority score the committee has assigned. Final decisions regarding funding are made by the Associate Administrator for Maternal and Child Health.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Final decisions are made approximately 2-3 months after receipt of applications.

Appeals:

None.

Renewals:

After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation application.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Awards are made on an annual basis for the duration of the grant period, and payments are made through an Electronic Transfer System or Cash Demand System.

Reports:

Annual program reports, annual financial status reports, annual program service reports and special reports as required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$1,278,832; FY 08 est \$1,469,216; and FY 09 est \$1,205,000.

Range and Average of Financial Assistance:

\$318,832 to \$320,000; \$319,708.

PROGRAM ACCOMPLISHMENTS:

Four regional Sickle Cell Disease Collaborative Networks (Networks) were funded in FY 2006, the first year of the program. The coordinating center, along with the four grantees and a steering committee are developing the project plan as a means of completing a report to Congress that includes: 1) the identification of a model protocol for implementing the recommendations of Congress regarding changes that should be made in health care and health education to persons with sickle cell disease, as well as in methods of screening for persons having the disease or are carriers; 2) the procedures for implementing this protocol at the four grantee sites; and 3) the set of methods for evaluating the degree of success that each grantee has attained and the overall effectiveness of the SCTDP. Support is provided through on-going technical assistance, information exchange; materials review and development; collection, coordination, and distribution of program data, best practices, and findings and sustaining partnerships.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Program Office: Michele A. Lloyd-Puryear, MD, PhD, Genetic Services Branch, Division of Services for Children with Special Health Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18A-19, 5600 Fishers Lane, Rockville, MD 20857; Telephone: (301) 443-1080.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.110, Maternal and Child Health Federal Consolidated Programs.

EXAMPLES OF FUNDED PROJECTS:

University of Cincinnati, Ohio Medical Center: Christian Community Health Centers, Chicago, Illinois: Stedman-Wade Health Services Inc. in Wade, North Carolina; and Health Services Inc. in Montgomery, Alabama.

CRITERIA FOR SELECTING PROPOSALS:

General criteria are described in Program Regulations 45 CFR 51, and specific criteria are included in the program guidance materials provided with application kits.

93.389 NATIONAL CENTER FOR RESEARCH RESOURCES

(DBT, DCRR, DCM, DRI)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Titles III and IV, Sections 301, 479, 480, and 487 as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 287, 287a, and 288, as amended; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

The National Center for Research Resources (NCRR) provides laboratory scientists and clinical researchers with the environments and tools they need to understand, detect, treat, and prevent a wide range of diseases. This support

enables discoveries that begin at a molecular and cellular level, move to animal-based studies, and then are translated to patient-oriented clinical research, resulting in cures and treatments for both common and rare diseases. NCRR connects researchers with one another and with patients and communities across the nation to harness the power of shared resources and research.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The Division of Biomedical Technology supports research, development and access to sophisticated technologies at resource centers nationwide which assist academic, nonprofit organizations in the development, use, and dissemination of innovative technologies useful for the advancement of biomedical science.

Awards are provided under a variety of funding mechanisms composed of Biomedical Technology Resource(BTR) grants, Research Project Grants, Shared Instrumentation Grants (SIG), High End Instrumentation (HEI) Program and other mechanisms, such as Exploratory Grants to develop new technologies for biomedical research, Small Business Innovation Research (SBIR) grants, and Small Business Technology Transfer (STTR) grants. The Division for Clinical Research Resources makes it possible to apply knowledge gained through basic research to the development of lifesaving drugs, devices, and therapies that protect the health of our nation's citizens by providing research infrastructure for clinical investigators. These specialized institutional resources are equipped to be flexible and responsive to changing scientific and economic environments and to unforeseen research challenges. The Division for Clinical Research Resources helps translate scientific knowledge into effective patient care through General Clinical Research Centers (GCRC), Research Career Development (K23, K24), Clinical Research Curriculum Award (CRCA, K30), Mentored Clinical Research Scholar (CRS) Program (K12), National Gene Vector Laboratories (NGVL), Clinical Research-related activities, Small Business Innovation Research (SBIR) grants and Small Business Technology Transfer (STTR) grants; and improves pre-college science education and the public's understanding of science through the Science Education Partnership Award (SEPA) Program. Additionally, a new consortium, funded through Clinical and Translational Science Awards(CTSAs), will transform how clinical and translational research is conducted, ultimately enabling researchers to provide new treatments more efficiently and quickly to patients. The Division of Comparative Medicine supports research and resource projects, as well as centers that enable biomedical scientists to use high quality, disease-free animals effectively in research on human health problems. Support is also available for the development of a wide range of other research models, particularly marine invertebrates and lower vertebrates, and access to an array of important biological materials. These objectives are accomplished through activities of National Primate Research Centers (NPRC), Laboratory Animal Science (LAS), and Biological Models and Materials Research (BMMR). The Division of Research Infrastructure addresses the under representation of minority investigators; enhances the research environment at minority colleges and universities in the health sciences; broadens the geographic distribution of research grant funding to states with historically low NIH success rates; and improves biomedical research facilities through matching grants for construction and renovation. These objectives are accomplished through activities of the Research Centers in Minority Institutions (RCMI) program, the RCMI Clinical Research Infrastructure Initiative (RCRII), the Institutional Developmental Award (IDeA), the Research Facilities Improvement Program (RFIP), and the Animal Facilities Improvement program.

Applicant Eligibility:

BTR Grants: Nonprofit health professional schools; other academic institutions; hospitals; State and local health agencies; and research organizations with programs in biomedical technology research located in the United States and its territories. SIG and HEI awards will be made to institutions only, not to individuals. GCRC and CTSAs grants: schools, research hospitals, and other institutions capable of carrying out well-designed studies in any preclinical or clinical science involving human beings as research subjects in conjunction with investigators funded through the National Institutes of Health (NIH) and other Federal agencies. Research Career Development: Applications may be submitted on behalf of candidates by domestic, nonfederal organizations, public or private institutions of higher education. Clinical Research Scholar Program Award: Applications will be accepted from domestic, nonprofit organizations, public and private institutions of higher education that have strong, well-established clinical research and training program. SEPA: Public and private for-profit and nonprofit institutions and museums. DCM: Institutions of higher education, hospitals, and other institutions and organizations, both nonprofit and for-profit, seeking to establish, continue, or enlarge programs consistent with the objectives of the program. Applicants for NRSA must be citizens of the United States or be admitted to the United States for permanent residency. Applicants must be nominated and sponsored by a public or private nonprofit institution with staff and facilities suitable for the proposed research training. Nonprofit domestic organizations may apply for the institutional NRSA. RCMI: Institutions with more than 50 percent minority enrollment that award an M.D., D.D.S., D.V.M., or other doctoral degree in the health professions and/or a Ph.D. in the health-related sciences. This program is open only to institutions within

the United States and its territories. RCRII: RCMI eligible institutions affiliated with medical schools. IDeA: Domestic public and private for profit and nonprofit institutions in States in which the success rate for applications to the NIH has been historically low. RFIP and Animal Facilities Improvement: Except where otherwise prohibited by law, any nonprofit organization competent to carry out biomedical research.

Beneficiary Eligibility:

Biomedical investigators at any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

SIG, HEI: A major user group of three or more investigators should be identified. Each major user must have NIH peer-reviewed research support at the time of the award. The application must show a clear need for the instrumentation by projects supported by multiple NIH research awards and demonstrate that these projects will require at least 75 percent of the total usage of the instrument. Applications for NRSA must be citizens of the United States or be admitted to the United States for permanent residency. Applicants must be nominated and sponsored by a public or private nonprofit institution with staff and facilities suitable for the proposed research training. Nonprofit domestic organizations may apply for the institutional NRSA. RCMI, RCRII, and CCRE: Applicants must certify eligibility (see Applicant Eligibility requirements). IDeA, and Animal Facilities Improvement: None. RFIP: Application should include a complete research program description (with staff curricula vitae), space requirements for projected program, an environmental summary of the impact of the proposed facility as detailed in Public Law 90-190, The National Environmental Policy Act, and listing of all relevant licenses, permits or other approvals required by State and local authorities. Costs will be determined in accordance with OMB Circular Nos. A-122, "Cost Principles for Nonprofit Organizations," and in the case of SIG & HEI No. A-87 for State and local governments or A-21 for Educational Institutions, and HHS Regulations 45 CFR, Part 74, Subpart Q.

Preapplication Coordination:

All programs not applicable, except RFIP. Program staff is available for consultation. Programs are excluded from coverage under E.O. 12372. RFIP: The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program. An environmental impact statement and assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires when applying for assistance, if the State has selected the program for review.

Application Procedure:

Application forms may be obtained from the Division of Extramural Outreach and Information, 6701 Rockledge Drive, MSC 7910, Bethesda, MD 20892-7910. Telephone (301) 435-0714, e-mail: ASKNIH@odrockml.od.nih.gov. Completed applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, MSC 7710, Bethesda, MD 20892-7710. The standard application forms PHS 398, (Rev. November 1998), as furnished by PHS and required by 45 CFR, Part 92, and OMB Circular No. A-110 must be used for all programs. For filing NRSA individual fellowship award applications, Form 416-1 (Rev. October 1991) is needed. GCRC: Special instructions for preparing applications should be requested from the Division for Clinical Research Resources, One Democracy Plaza, 6701 Democracy Blvd., National Center for Research Resources, National Institutes of Health, Bethesda, MD 20892-4874. RFIP: Construction applications are to be submitted on a Standard Form 424, Application for Federal Assistance.

Award Procedure:

The initial review of applications from eligible investigators and institutions is reviewed by committees comprised of authorities in various fields of biomedical research and science education, as appropriate. Each application is given a peer evaluation for merit, and recommendations are forwarded to the National Advisory Research Resources Council for final review and recommendation.

Deadlines:

New applications and Resource Center renewals: February 1, June 1, and October 1. Other Competing Renewals: March 1, July 1, and November 1. NRSA Applications: Individual Fellowships - April 5, August 5, and December 5. All others: See current RFA or PA or Contact.

Range of Approval/Disapproval Time:

From 6 to 9 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of their application by communicating with the NCRR staff. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

K23, K24, SIG, HEI, Animal Facilities Improvement, and RFIP: None. All others may be renewed upon successful reapplication.

Formula and Matching Requirements:

RFIP and Animal Facilities Improvement: NCRR will award up to 50 percent of

the eligible costs, except for National Primate Research Centers for which the Federal share is 80 percent. Up to 25 percent of appropriated funds are targeted to Centers of Excellence. Matching funds from nonfederal sources are required. Even though matching funds are required, in unusual and compelling circumstances the NCCR Director may waive some or all of the requirement for nonfederal matching funds. All other programs: None.

Length and Time Phasing of Assistance:

All programs except for RFIP: 1 to 5 years. An Electronic Transfer System is used for transferring funds. RFIP: Construction must be completed within the time specified in grant award. The initial budget period is usually 2 years in length, although extensions may be requested. All funds must be obligated within 5 years from the date of award.

Reports:

Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 90 days following the end of the project period. Reports are required after termination of NRSA to ascertain compliance with the service and payback provisions. RFIP: The grantee is responsible for performance of the contractor. The contractor must be covered by performance and payment bonds.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the final financial status report for the report period.

Account Identification:

75-0848-0-1-552.

Obligations:

(Grants) Research Projects: FY 07 \$64,879,000; FY 08 est \$68,621,000; and FY 09 est not reported. Clinical Research Resources Centers: FY 07 \$200,893,000; FY 08 est \$160,626,000; and FY 09 est not reported. Clinical and Translational Science Awards: FY 07 \$112,351,000; FY 08 est \$157,672,000; and FY 09 est not reported. Special Comprehensive Centers: FY 07 \$217,879,000; FY 08 \$210,701,000; and FY 09 est not reported. Biomedical Technology Research Centers: FY 07 \$74,261,000; FY 08 est \$71,097,000; and FY 09 est not reported. Comparative Medicine Centers: FY 07 \$113,315,000; FY 08 est \$109,148,000; and FY 09 est not reported. Research Centers in Minority Institutions: FY 07 \$52,241,000; FY 08 est \$50,519,000; and FY 09 est not reported. Other Research: FY 07 \$227,497,000; FY 08 \$185,690,000; and FY 09 est not reported. Research Training: FY 07 \$5,411,000; FY 08 est \$5,411,000; and FY 09 est not reported. Research and Development Contracts: FY 07 \$47,157,000; FY 08 est \$50,142,000; and FY 09 est not reported. Construction: FY 07 \$0; FY 08 est \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

Research Project Grants: \$14,000 to \$1,558,000; Research Centers: \$7,000 to \$12,218,000; Other Research: \$4,000 to \$2,505,000; Research Training Grants: \$69,000 to \$389,000; Research and Development Contracts: \$4,000 to \$4,103,000; Construction: \$250,000 to \$4,278,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, it is estimated that there will be 109 and in FY 2008, 120 grants. It is estimated that there will be 71 Clinical Research Resource Center awards in FY 2007 and 64 in FY 08. It is estimated that there will be 14 Clinical and Translational Science awards in FY 2007 and 18 in FY 2008. It is estimated there will be 56 Biomedical Technology Resource Centers awards in FY 2007 and FY 2008. It is estimated that there will be 94 Special Comprehensive Centers in FY 2007 and 93 in FY 2008. It is estimated that there will be 49 Comparative Medicine Centers in FY 2007 and FY 2008. It is estimated that there will be 28 Research Centers in Research Infrastructure in FY 2007 and FY 2008. It is estimated that there will be 554 Other Research Grants in FY 2007 and 45 in FY 2008. It is estimated that there will be 132 Full Time Training Positions in FY 2007 and FY 2008. It is estimated that there will be 69 Development Contracts in FY 2007 and FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

BT: OMB Circular No. A-21, "Cost Principles for Educational Institutions." Reference: 44 FR 12368, March 6, 1979; Rev. No. 1, 47 FR 33658, August 3, 1982; Rev. No. 2, 51 FR 20908, June 9, 1986. OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations." Reference: 41 FR 32016, July 30, 1976. OMB Circular No. A-122, "Cost Principles for Nonprofit Organizations." OMB Circular No. A-128, "Audits of State and Local Governments"; Reference: Public Law 98-502, the Single Audit Act of 1984. OMB Circular No. A-87, "Cost Principles Applicable to Grants and Contracts with State and Local

Governments"; Reference: 34 CFR 255. 45 CFR 92. Reference: 42 FR 45828. OMB Circular No. A-122, "Cost Principles for Nonprofit Organizations." Reference: 45 FR 46022, July 8, 1980. 45 CFR 74; 42 CFR 52h. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal Regulations at 42 CFR 52 and 42 USC 241. Department Staff Manual "Grants Administration"; Indirect Cost Register, DHHS; PHS Grants Policy Statement, DHHS Publications No. (OASH) 94-50,000, (Rev.) April 1, 1994; "NIH Grants Policy Guide," Office for Protection from Research Risks, NIH; "A Guide to Grant and Award Programs of the NIH"; and miscellaneous program literature from Headquarters Office.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contacts: Director, Division of Biomedical Technology, Telephone: (301) 435-0755; Director, Division for Clinical Research Resources, Telephone: (301) 435-0790; Director, Division of Comparative Medicine, Telephone: (301) 435-0744; Director, Research Infrastructure, Telephone: (301) 435-0788, National Center for Research Resources, National Institutes of Health, Department of Health and Human Services, Bethesda, MD 20892. Grants Management Contact: Grants Management Officer, Office of Grants Management, National Center for Research Resources, National Institutes of Health, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 435-0844.

Web Site Address:

<http://www.ncrr.nih.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

BT: Supported research projects, biomedical technology resources, and exploratory grants that develop and disseminate complex techniques and equipment to the biomedical research community. Examples include: (1) The analysis using synchrotron X-rays of the crystal structure of the myosin head that is the key to the contraction of muscle; (2) development of a two-photon laser method to directly explore chemical processes in living cells; and (3) use of a computer network and a super computer to remotely operate an electron microscope in real time and analyze the image. SIG: Types of instruments supported include but are not limited to: electron microscopes, NMR spectrometers, mass spectrometers, DNA sequencers, image analyzers, and computer graphics systems. CR: A typical center supports multiple projects from many disciplines, such as internal medicine, pediatrics, surgery, and obstetrics and gynecology. Projects may relate to all aspects of disease mechanisms and may include projects in nutrition, diabetes, growth and development, genetics, immunology, AIDS and other areas. SEPA: (1) improving the scientific knowledge base of current and prospective precollege teachers and teachers' instructional skills can be enhanced. (2) developing innovative materials, techniques, and/or curricula where there is a demonstrated lack of suitable materials and a need for scientific advice. (3) organizing and conducting programs to provide for laboratory experiences in the biological sciences for students from kindergarten through twelfth grade levels. (4) preparation and/or presentation of materials for biomedical/behavioral science education or for a media program on science. CM: Eight National Primate Research Centers are supported to provide special environments in models. They maintain colonies of over 18,000 animals, representing 32 species of nonhuman primates, for research and breeding purposes. Projects for the characterization and development of animal models were supported, but were limited to those that displayed potential for multi-categorical utilization. Projects that attempt to establish an animal model for a specific disease should be directed to the Institute/Center of NIH that supports categorical research in that area. The etiology and control of important naturally-occurring laboratory animal diseases have been studied. Biological Models and Materials Research Resource centers were supported to provide a large variety of materials to the research community. These centers acquire, authenticate, maintain, and distribute biomaterials to both basic researchers and clinical investigators. For example, resources distribute invertebrates such as squid, mollusks, and nematodes), cultures of microorganisms, cell lines, fungi, yeast, hybridomas, cloned genes, DNA probes and libraries, and recombinant DNA and oncogenes. Also supported is a project to collect data on the genes and metabolism of the bacterium *Escherichia coli* and to encode this information in a computer database that allows investigators to access genetic metabolic data in a graphic, user-friendly manner. RI: RCMI: (1) Research Center for Gene Structure and Function; (2) Cellular and Molecular Basis of Development Research Center; (3) Neuroscience Center; and (4) Research Infrastructure for Scientific Excellence. RCRII: Research Infrastructure for Clinical and Collaborative Research. IDeA: Human Genetics Research Enhancement. RFIP: Developing and Improving Institutional Animal Resources. Institutional animal resource improvement projects were supported to assist institutions in upgrading and developing centralized animal resource programs.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its

objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. RFIP: Factors considered in making awards include the merit of the proposal, the needs of the institution, with special consideration for institutions designated as centers of excellence, the commitment of the institution, the availability of funds, and the overall program priorities.

93.392 CANCER CONSTRUCTION

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 413(b) 6(B); 42 U.S.C 285a and Section 414(b); 42 U.S.C 285, as amended; Public Law 100-607; Public Law 101-517; Public Law 90-190.

OBJECTIVES:

To renovate existing cancer research facilities and build new cancer research facilities to meet basic and clinical space requirements, laboratory safety, biohazard containment, and animal care standards in order to support the facility demands of National Cancer Institute (NCI) research programs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

New physical resources for cancer research include basic research laboratories, clinical and support facilities, and those related to biohazard control that are integral parts of an overall effort. Proposed facilities must be part of an existing cancer research effort or a developing cancer research program. Facilities constructed may not be used to replace existing cancer research facilities. Facilities shall be used for research pertinent to cancer problems for as long as needed, usually 20 years. Funds must be used for purposes stated in the approved grant application.

Applicant Eligibility:

Any public or nonprofit agency, institution, organization, corporation, or association is eligible to apply for a grant for the construction of cancer research facilities, provided the institution's (1) Located in a State, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, American Samoa, the Trust Territory of the Pacific Islands, or Guam; (2) authorized by its governing body to apply for grants and to conduct cancer research and related activities; and (3) conducting NIH or NCI peer-reviewed cancer and/or cancer-related research. Examples include universities, colleges, hospitals, public agencies or nonprofit research institutions.

Beneficiary Eligibility:

Public and private nonprofit organizations and the public needing treatment for cancer will benefit.

Credentials/Documentation:

Application should include a complete research program description (with staff curricula vitae), space requirements for projected program, an environmental summary of the impact of the proposed facility as detailed in Public Law 90-190, the National Environmental Policy Act, and listing of all relevant licenses, permits, or other approvals required by State and local authorities. Costs will be determined in accordance with OMB Circular No. A-21 for Educational Institutions, OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-122 for nonprofit institutions. For grants issued to hospitals, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Appendix E, "Principles for Determining Costs Applicable to Research and Development Under Grants and Contracts with Hospitals".

Preapplication Coordination:

Preapplication assistance is available from the address noted below under Information Contacts. The standard application forms (PHS 5161-1), as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program. An environmental impact statement and assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs". An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application kits for construction programs can be obtained from Research Facilities Branch, Office of Centers, Training and Resources, Office of the Director, National Cancer Institute, 6120 Executive Boulevard, EPS-638, Bethesda, MD 20892, and returned to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. Review procedure is according to NIH grant review procedures for research grants.

Award Procedure:

Awards are made annually following the meeting of the National Cancer

Advisory Board in September/October.

Deadlines:

February 1.

Range of Approval/Disapproval Time:

From 8 to 16 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula. The National Cancer Institute (NCI) will award up to 50 percent of the eligible costs. Matching funds from nonfederal sources are required.

Length and Time Phasing of Assistance:

Construction must be completed within time specified in grant award. Funds will be released in proportion to construction completed.

Reports:

Grantee is responsible for performance of contractor. Contractor must be covered by performance and payment bonds. Grantees are required to file a Notice of Federal Interest at the time construction begins.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550.

Obligations:

(Grants) FY 07 \$0; FY 08 est \$0; and FY 09 est \$0.

Range and Average of Financial Assistance:

\$0 to \$0; \$0.

PROGRAM ACCOMPLISHMENTS:

No awards were made in fiscal years 2007 and 2008. The NCI's construction grant program is not a very active program compared to the past because the National Center for Research Resources has become the effective focus at the NIH for construction grants; thus, the NCI works very closely with NCRR.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 38; PHS Grants Policy Statement, DHHS Publication No. (OASH) 90-50,000, (Rev.) October 1, 1990.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Mr. Daryl Paunil, P.E., Associate Director, (Acting) for Space and Facilities Planning, Office of Management, Office of the Director, National Cancer Institute, National Institutes of Health, Department of Health and Human Services, 6116 Executive Boulevard, Suite 600 - MSC 8343, Bethesda, MD 20892-8343. Telephone: (301) 496-8534. Grants Management Contact: Leo F. Buscher, Jr., Grants Management Officer, National Cancer Institute, EPS-234 Bethesda, MD 20892. Telephone: (301) 496-7753. Use the same numbers for FTS.

Web Site Address:

<http://www.nih.gov>.

RELATED PROGRAMS:

93.393, Cancer Cause and Prevention Research; 93.394, Cancer Detection and Diagnosis Research; 93.395, Cancer Treatment Research; 93.396, Cancer Biology Research; 93.397, Cancer Centers Support Grants; 93.399, Cancer Control.

EXAMPLES OF FUNDED PROJECTS:

(1) Construction of new basic research laboratories for cancer research; (2) construction of new clinical and support facilities for cancer research; (3) construction of facilities for cancer research related to biohazard control; (4) upgrading of existing basic research and animal facilities for cancer research; and (5) upgrading of existing clinical and support facilities for cancer research.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) the scientific merit of the total cancer program; (2) the degree of the applicant's program relevance to the National Cancer Program; (3) the special biohazard containment needs; and (4) the evaluation of the ongoing program for research

pertinent to cancer problems for at least 20 years; and (5) the evaluation of the proposed design and related cost.

93.393 CANCER CAUSE AND PREVENTION RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 301 (c) and 410, Public Law 78-410, as amended, 42 U.S.C. 241; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To identify those factors that cause cancer in man and to develop mechanisms for preventing cancer in man. The following research programs are included: epidemiology, chemical and physical carcinogenesis, biological carcinogenesis, nutrition, immunology, field studies and statistics, chemoprevention and organ site studies. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation on socially and economically disadvantaged small business concern and women-owned small business concern in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development and economically disadvantage small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel review option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concern (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant applicant must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research on cancer.

Credentials/Documentation:

Costs will be determined by OMB Circular No. A-87 for State and local governments. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan and idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application form PHS-398 (Rev. May 2001) is the standard form which can be obtained from the Division of Extramural Outreach and Information Resources, National Institutes of Health, 6701 Rockledge Drive, MSC-7910, Bethesda, MD 20892-7910. Phone (301)435-1714, E-mail: ASKNIH@odrockml.od.nih.gov. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm> on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Phone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533-2) indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

New Grants: February 1, June 1, and October 1. Renewals and supplements: March 1, July 1, and November 1. Cooperative Agreements are usually submitted in response to a Request for Applications (RFA). The receipt date is stipulated in the RFA. SBIR: April 15, August 15, and December 15. STTR: April 1, August 1, and December 1.

Range of Approval/Disapproval Time:

(Grants) Approximately 10 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Applications submitted for renewal must be reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 4 years, maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or Letter of Credit. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Progress reports are required each year. Annual financial status report is required 90 days after end of budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of a project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that

year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550.

Obligations:

(Grants) For RPG: FY 07 \$666,422,000; FY 08 est \$654,988,000; and FY 09 est \$652,650,000. For SBIR/STTR: FY 07 \$8,914,000; FY 08 est \$9,422,000; and FY 09 est \$9,760,000.

Range and Average of Financial Assistance:

\$46,081 to \$4,773,855; \$381,467.

PROGRAM ACCOMPLISHMENTS:

Competing fiscal year 2007 RPG act. 491 awards which were 24.3 percent of applications received. Competing FY 2007 SBIR/STTR act. 23 awards which were 31.5 percent of applications received. Fiscal year 2008 est. 1,747 total awards and FY 2009 est. 1,711 total awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 74; 45 CFR 92; PHS Grants Policy Statement. No. (OASH) 94-50,000, (Rev.) April 1, 1994; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: For epidemiological and nutrition studies, field studies, and statistics; Dr. Ed Trapido, Associate Director, Epidemiology and Genetics Research Program, Division of Cancer Control and Population Sciences, National Cancer Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, 6130 Executive Blvd., EPN 5113B, Rockville, MD 20852. Phone: (301) 496-9600. For chemoprevention, organ site studies, and chemical, physical and biological carcinogenesis research; Dr. Peter Greenwald, Director, Division of Cancer Prevention, National Cancer Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, 6130 Executive Blvd., MSC 7309, Rockville, MD 20852. Phone: (301) 496-6616.

Web Site Address:

<http://www.nih.gov>; <http://cancer.gov>; <http://cancercontrol.cancer.gov>; <http://prevention.cancer.gov>.

RELATED PROGRAMS:

93.394, Cancer Detection and Diagnosis Research; 93.395, Cancer Treatment Research; 93.396, Cancer Biology Research; 93.399, Cancer Control.

EXAMPLES OF FUNDED PROJECTS:

Cancer Cause and Prevention Research focuses on research programs in epidemiology, chemical and physical carcinogenesis, biological carcinogenesis, nutrition, chemoprevention and organ site studies. Examples of funded projects include the following: (1) A epidemiologic study of lung cancer and wood dust; (2) prostate cancer in relation to vasectomy; (3) mechanism of estrogen-induced breast carcinogenesis; (4) dietary fat, calories, and two-stage tumorigenesis; (5) mechanisms of radiation, chemical and/or biological carcinogenesis; (6) effects of radiation or chemical carcinogens on genetic material; (7) studies leading to development of vaccines against human cancer viruses; (8) role of the P53 tumor suppressor gene in human cancers of viral etiology; (9) investigations of HIV and their related viruses as etiologic factors or cofactors in the malignant sequelae of AIDS, including Kaposi's sarcoma and AIDS-associated lymphomas; and (10) a phase III chemoprevention clinical trial for prostate cancer (SELECT).

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7)

where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.394 CANCER DETECTION AND DIAGNOSIS RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 301, 410, and 411, Public Law 78-410, as amended, 42 U.S.C. 241; Public Law 100-607, 42 U.S.C. 285 and 285a; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To improve screening and early detection strategies and to develop accurate diagnostic techniques and methods for predicting the course of disease in cancer patients. Screening and Early Detection research includes development of strategies to decrease cancer mortality by finding tumors early when they are more amenable to treatment. Diagnosis research focuses on methods to determine the presence of a specific type of cancer; to predict its course and response to therapy, both a particular therapy or a class of agents; and to monitor the effect of the therapy and the appearance of disease recurrence. These methods include diagnostic imaging and direct analyses of specimens from tumor or other tissues. Support is also provided for establishing and maintaining resources of human tissue to facilitate research. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultants costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel review option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or organization, unit of tribal government, or a for-profit organization that submits an application and receives a grant or cooperative

agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The NIH is in the process of a transition to fully electronic grant submission through Grants.gov (see <http://era.nih.gov/ElectronicReceipt> for details). Depending on the grant mechanism, either form PHS-398 (Rev. September 2004) or form SF424(R&R) (October 2005) is the standard form. Both can be obtained at <http://grants1.nih.gov/grants/forms.htm>. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations, as appropriate. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. All competing SBIR and STTR grant applications must be submitted electronically via Grants.gov. The following is a website address for NIH electronic submission of grant application information: <http://era.nih.gov/ElectronicReceipt/index.htm>.

Award Procedure:

Approved grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

New Grants: February 5, June 5, and October 5. Renewals and supplements: March 5, July 5, and November 5. These deadlines apply to the most frequently used grant mechanism. Other deadlines may apply; these will be specified in the Funding Opportunity Announcement on Grants.gov. Cooperative Agreements are usually submitted in response to a Request for Applications (RFA). The receipt date is stipulated in the RFA. SBIR and STTR Applications: April 5, August 5, and December 5.

Range of Approval/Disapproval Time:

(Regular Grants) Approximately 10 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects

of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grants and cooperative agreements: Average 3 to 4 years and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Progress reports are required each year. Annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of the project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550.

Obligations:

(Grants) (RPG) FY 07 \$237,161,000; FY 08 est \$244,279,000; and FY 09 est \$240,131,000. For SBIR/STTR: FY 07 \$44,743,000; FY 08 est \$42,054,000; and FY 09 est \$38,779,000.

Range and Average of Financial Assistance:

\$54,315 to \$10,637,601; \$371,726.

PROGRAM ACCOMPLISHMENTS:

Competing FY 2007 RPG actual 203 awards which were 16.2 percent of applications received. Competing FY 2007 SBIR/STTR actual 56 awards which were 20.4 percent of applications received. Fiscal year 2008 est. 797 total awards and FY 2009 est. 796 total awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94- 50,000, (Rev.) April 1, 1994. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institute of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Dr. Tracy G. Lively, Cancer Diagnosis Program, Division of Cancer Treatment and Diagnosis, National Cancer Institute, National Institutes of Health, Department of Health and Human Services, 6130 Executive Boulevard, EPN-6035A Bethesda, MD 20892. Telephone: (301) 496-1591. Grants Management Contact: Leo F. Buscher, Jr., Grants Management Officer, National Cancer Institute, National Institutes of Health, Department of Health and Human Services, EPS-234, Bethesda, MD 20892. Telephone: (301) 496-7753. Use the same numbers for FTS.

Web Site Address:

<http://www.nih.gov>; <http://cancercontrol.cancer.gov>.

RELATED PROGRAMS:

93.393, Cancer Cause and Prevention Research; 93.395, Cancer Treatment Research; 93.396, Cancer Biology Research; 93.399, Cancer Control.

EXAMPLES OF FUNDED PROJECTS:

Screening and early detection research includes development of more sensitive and specific detection techniques in asymptomatic individuals; developing tests to identify individuals with a genetic predisposition to specific cancers; assessment of availability of, access to, and use of these techniques in the community; development of community outreach methods to encourage all segments of the population to take advantage of available services; and collection of cancer surveillance data to assess effectiveness of cancer

interventions. Cancer diagnosis and prognosis research depends on interactions among clinicians, basic researchers, and experts in study design to ensure that new diagnostic and prognostic techniques are evaluated efficiently and effectively for more rapid transfer to clinical application. Diagnosis research includes development and evaluation of better methods for monitoring the response to therapy and detecting the recurrence of tumors earlier; utilizing the advances in the development of sensitive molecular biological techniques; and developing more sensitive imaging techniques, including the use of immunodiagnostic reagents and functional imaging.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.395 CANCER TREATMENT RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 301, 410, and 411, Public Law 78-410, 42 U.S.C. 241, as amended, Public Law 100-607, 42 U.S.C. 285(a); Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To develop the means to cure as many cancer patients as possible and to control the disease in those patients who are not cured. Cancer Treatment Research includes the development and evaluation of improved methods of cancer treatment through the support and performance of both fundamental and applied laboratory and clinical research. Research is supported in the discovery, development, and clinical testing of all modes of therapy including: surgery, radiotherapy, chemotherapy, and biological therapy including molecularly targeted therapies, both individually and in combination. In addition, research is carried out in areas of nutritional support, stem cell and bone marrow transplantation, image guided therapies and studies to reduce toxicity of cytotoxic therapies, and other methods of supportive care that may supplement and enhance primary treatment. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants may be used for personnel,

consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. SBIR Phase I grants (of approximately 6-months duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution, or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned, and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

For-profit organizations' cost are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application form PHS-398 is the standard form which may be obtained electronically from: <http://grants.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. The standard application forms required by 45 CFR, Part 92 for State and local governments, must be used for this program. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm> on the Web. Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040-MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

Approved grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and if they are recommended for further consideration, by a national advisory council or board. All applications receiving a priority score compete for

available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

New Grants: February 1, June 1, and October 1. Renewals and Supplements: March 1, July 1, and November 1. Cooperative Agreements are usually submitted in response to a Request for Applications (RFA). The receipt date is stipulated in the RFA. SBIR: April 15, August 15, and December 15. STTR Grants: April 1, August 1, and December 1.

Range of Approval/Disapproval Time:

Approximately 10 months. SBIR Applications: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants2.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grants and cooperative agreements: Average 3 to 4 years, maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funds are provided through Monthly Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Progress reports are required each year. An Annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by the DHHS. Terminal reports are required 6 months after the end of the project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations", nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550.

Obligations:

(Grants) For RPG: FY 07 \$528,525,000; FY 08 est \$508,240,000; and FY 09 est \$503,576,000. For SBIR/STTR: FY 07 \$32,096,000; FY 08 est \$31,451,000; and FY 09 est \$32,918,000.

Range and Average of Financial Assistance:

\$36,048 to \$5,296,416; \$427,264.

PROGRAM ACCOMPLISHMENTS:

Competing FY 2007 RPG act. 312 awards which were 20.1 percent of applications received. Competing FY 2007 SBIR/STTR act. 66 awards which were 21.6 percent of applications received. Fiscal year 2008 est. 1,260 total awards and FY 2009 est. 1,227 total awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Dr. James H. Doroshow, Director, Division of Cancer Treatment and Diagnosis, National Cancer Institute, National Institutes of Health, Department of Health and Human Services, 9000 Rockville Pike, Building 31/3A44, Bethesda, MD 20892, Telephone (301) 496-4291. Grants Management Contact: Leo F. Buscher, Jr., Grants Management Officer, National Cancer Institute, National Institutes of Health, Department of Health and Human Services, EPS-234, Bethesda, MD 20892, Telephone (301) 496-7753. Use the same numbers for FTS.

Web Site Address:

<http://www.nci.nih.gov>.

RELATED PROGRAMS:

93.393, Cancer Cause and Prevention Research; 93.394, Cancer Detection and Diagnosis Research; 93.396, Cancer Biology Research; 93.399, Cancer Control.

EXAMPLES OF FUNDED PROJECTS:

Fundamental research projects on problems of anticancer drug discovery and development, preclinical biochemistry, pharmacology, cell and molecular biology of cancer treatment, and the clinical development and evaluation of all modalities of treatment, both alone and in combination. These include surgery, radiotherapy, chemotherapy, immunotherapy, cellular therapy, vaccines, liposomes, antisense therapy, gene therapy, and supportive approaches including: nutritional support and blood component replacement; clinical trials after drugs undergo screening, toxicology, and pharmacology testing; and the provision of research animals, compounds, and formulated drugs.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.396 CANCER BIOLOGY RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 301, 410, and 411, Public Law 78-410, 42 U.S.C. 241, as amended; Public Law 100-607, 42 U.S.C. 285 and 285a; Small Business Research and Development Enhancement, Act of 1992, as amended, Public Law 102-564.

OBJECTIVES:

To provide fundamental information on the cause and nature of cancer in people, with the expectation that this will result in better methods of prevention, detection and diagnosis, and treatment of neoplastic diseases. Cancer Biology Research includes the following research programs: cancer cell biology, cancer etiology, structural biology and molecular applications, DNA and chromosomal aberrations, tumor biology and metastasis, and immunology and hematology. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer; increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may

be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and Facilities and Administrative Costs (formerly known as indirect costs). SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II are for the continuation of the research initiated in Phase I that is likely to result in a commercial product. The SBIR/STTR Fast Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel review option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that submits an application and receives a grant for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project must be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424(R&R) is used to apply for SBIR Phase I and Phase II, STTR Phase I and Phase II grants, and Fast-Track.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The NIH is in the process of a transition to fully electronic grant submission through Grants.gov (see <http://era.nih.gov/ElectronicReceipt> for details). Depending on the grant mechanism, either form PHS-398 (Rev. September 2004) or form SF424(R&R) (October 2005) is the standard form. Both can be obtained at <http://grants1.nih.gov/grants/forms.htm>. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations, as appropriate. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. All competing SBIR and STTR grant applications must be submitted electronically via Grants.gov. The following is a website address for NIH electronic submission of grant application information: <http://era.nih.gov/ElectronicReceipt/index.htm>.

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. The initial grant award provides funds for the first budget period (usually 12 months) and letter Notice of Award indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special

conditions, if any. All accepted SBIR/STTR applications are evaluated for technical merit by an appropriate scientific review group and if they are recommended for further consideration, by a national advisory council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

All Grants including renewals and supplements: April 5, August 5, December 5. Cooperative Agreements and contracts are usually submitted in response to a Request for Applications (RFA) or a Request for Proposals (RFP). The receipt date is stipulated in the RFA or RFP.

Range of Approval/Disapproval Time:

Regular Grants: Approximately 10 months. SBIR/STTR: Approximately 7-1/2 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 4 years, and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Progress reports are required each year. Annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of a project.

Audits:

"In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133." In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550.

Obligations:

(Grants) RPG: FY 07 \$527,308,000; FY 08 est \$497,545,000; and FY 09 est \$498,852,000. SBIR/STTR: FY 07 \$7,923,000; FY 08 est \$6,194,000; and FY 09 est \$7,662,000.

Range and Average of Financial Assistance:

\$23,915 to \$2,268,192; \$335,438.

PROGRAM ACCOMPLISHMENTS:

Competing FY 07 RPG act. 306 awards, which were 17.7 percent of applications received. Competing FY 07 SBIR/STTR act. 18 awards, which were 23.1 percent of applications received. Fiscal year 08 est 1,509 total awards and FY 09 est 1,489 total awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Stephen T. White, Special Assistant to the Director, Division of Cancer Biology, National Cancer Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, 6130 Executive Boulevard, EPN-5048, Bethesda, MD 20892. Telephone: (301) 496-

5307. Grants Management Contact: Leo F. Buscher, Jr., Grants Management Officer, National Cancer Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, EPS-234, Bethesda, MD 20892. Telephone: (301) 496-7753.

Web Site Address:
<http://www.nih.gov>.

RELATED PROGRAMS:

93.393, Cancer Cause and Prevention Research; 93.394, Cancer Detection and Diagnosis Research; 93.395, Cancer Treatment Research; 93.399, Cancer Control.

EXAMPLES OF FUNDED PROJECTS:

(1) Studies of the properties of the membranes of malignant cells; (2) studies of the molecular genetics of oncogenes and tumor suppressor genes and the proteins they encode; (3) studies of the mechanism of action of growth factors and other growth modifiers and differentiation agents; (4) studies of the regulation of the biology of tumor cells by their micro environment; (5) studies of the synthesis, structure, and function of humoral factors that participate in, activate, and/or regulate the immune response to tumors, including interferons, interleukins, growth factors, helper factors, suppressor factors, etc., as they are involved in immune responses to tumors; (6) studies of the immunobiology of lymphocytes, macrophages, and other cells that may participate in immune surveillance responses (e.g., natural killer cells and cytotoxic T cells); (7) studies of the immune mechanisms involved in effective immunotherapy in animal models and humans, including studies on the development vaccine approaches to cancer therapy or prevention; (8) studies of bone marrow transplantation in humans and animals as a treatment for cancer when the emphasis is on immunologic problems such as immune reconstitution, sensitization and graft-versus-host disease.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) the soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.397 CANCER CENTERS SUPPORT GRANTS

(CCSG)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 305(a), 410, 411, and 414, Public Law 78-410, 42 U.S.C. 241, as amended; Public Law 100-607, 42 U.S.C. 285, 285a and 285a-3; Public Law 99-500.

OBJECTIVES:

To provide an organizational focus and stimulus for the highest quality cancer research that effectively promotes interdisciplinary cancer research aimed toward the ultimate goal of reducing cancer incidence, mortality and morbidity. The Cancer Center Support Grant (CCSG) provides the resources and infrastructure to facilitate the coordination of interdisciplinary programs across a broad spectrum of research from basic laboratory research to clinical investigation to population science. The CCSG supports salaries for scientific leadership of the Center, shared resources for funded center investigators, certain administrative costs, planning and evaluation, and developmental funds for new recruitments and feasibility studies.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

In general, CCSGs do not support research projects directly but are used to enhance the ongoing cancer research and to strengthen the existing research base according to the "Policies and Guidelines Relating to the Cancer Center Support Grant."

Applicant Eligibility:

Any nonprofit institution within the United States with a peer-reviewed cancer research base of 4.0 million dollars in direct costs may apply for a Cancer Center Support Grant.

Beneficiary Eligibility:

University, college, public agency or research institution in the U.S.

Credentials/Documentation:

The application requests documentation concerning the qualifications of the principal investigators and associates, pertinent budget information and justification for the support of this proposed grant. Methods to be utilized are outlined in the application materials. Costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q, and for State and local governments in accordance with OMB Circular No. A-87.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Method of Application: Form PHS-398 (Rev. May 2001) can be obtained from the, Division of Extramural Outreach and Information Resources, National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892-7910. Telephone (301)435-0714; e-mail ASKNIH@odrockml.od.nih.gov. In addition, supplemental instructions for applications are contained in the Cancer Center Support Grant Guidelines which can be obtained by writing to the Cancer Centers Branch, 6116 Executive Boulevard, Suite 700, MSC 8345, Bethesda, MD 20892-8345 or by calling (301)496-8531. Generally, the potential applicant should send a letter of intent to the National Cancer Institute, submitting a CCSG application to the same address for review (see Guidelines for CCSGs). Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Grants are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any.

Deadlines:

Cancer Center Support Grant Applications (P30 mechanism): Renewals, New and Supplemental: February 1, June 1, and October 1. Cancer Center Planning Grant Applications (P20 mechanism): No new applications are accepted.

Range of Approval/Disapproval Time:

Core Grants: Approximately 10 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Same procedure as new applications.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Up to 5 years of support may be requested. Grants may be awarded up to 5 years based on competitive peer review.

Reports:

Progress reports are required each year. An annual financial status report is requested 90 days after the end of the budget period. Special reports may be requested by DHHS. Terminal reports are required 90 days after the end of a project.

Audits:

"In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133." In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550.

Obligations:

(Grants) (Total Research Centers) FY 07 \$471,860,000; FY 08 est \$479,564,000; and FY 09 est \$479,564,000.

Range and Average of Financial Assistance:

\$50,001 to \$11,526,509; \$2,443,886.

PROGRAM ACCOMPLISHMENTS:

Fiscal year 2007 Centers funded 193 total research Centers which included 63 Cores, 62 SPOREs and 5 Specialized Centers. Fiscal year 2008 will fund an estimated 170 total Centers which will include 61 Cores, 59 SPOREs and 5 Specialized Centers. Fiscal year 2009 will fund an estimated 170 total Centers which will include 61 Cores, 59 SPOREs and 5 Specialized Centers. Cancer Centers are supported through the P30 grant mechanism as Cancer Center Support Grants (CCSGs), which fall into two categories as follows: cancer centers and comprehensive cancer centers. Policies and guidelines governing the main instrument of support, the Cancer Center Support Grant, have recently been revised. The P20 Cancer Center Planning Grants have been discontinued. However, considerable progress is being made in working with smaller, less research intensive institutions in areas of the country that have no NCI-designated cancer centers. The NCI will work with these institutions very closely in the future.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; PHS Grants Policy Statement, DHHS Publication No. (OASH) 90- 50,000, (Rev.) October 1, 1990.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Dr. Linda Weiss, Chief, Cancer Centers Branch, National Cancer Institute, National Institutes of Health, Department of Health and Human Services, 6116 Executive Boulevard, Suite 700, Bethesda, MD 20892; Telephone: (301) 496-8531. Grants Management Contact: Leo F. Buscher, Jr., Grant Management Officer, National Cancer Institute, National Institutes of Health, Department of Health and Human Services, EPS 234, Bethesda, MD 20892. Telephone: (301) 496-7753.

Web Site Address:

<http://cancercenters.cancer.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

CCSG awards to Memorial Sloan-Kettering Institute (comprehensive cancer center); to University of Alabama (comprehensive cancer center), to the St. Jude Children's Research Hospital (cancer center), to the University of Utah (cancer center), to the Salk Institute (cancer center), and to the Wistar Institute (cancer center).

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications include the following: (1) An adequate base of established programs of high quality in laboratory and clinical cancer research; (2) research activity in a variety of disciplines, and evidence of high degree of interdisciplinary coordination, interaction and cooperation among center members; (3) appropriate and adequate organization and facilities for the conduct and evaluation of center activities; (4) a qualified director with adequate authority; and (5) an adequate commitment of the parent institution or institutions to the cancer center. The center should be recognized as a major element within the organizational structure.

93.398 CANCER RESEARCH MANPOWER**FEDERAL AGENCY:**

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 413 and 487, as amended, Public Law 100-607, 42 U.S.C. 285a, 42 U.S.C. 288.

OBJECTIVES:

To make available support to nonprofit and for-profit institutions interested in providing biomedical training opportunities for individuals interested in careers in basic, clinical, and prevention research important to the National Cancer Program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The Research Manpower program provides support for research training in all scientific fields related to cancer. The Ruth L. Kirschstein National Research Service Awards (NRSA) for Individual Fellows, are made directly to individuals for research training in biomedical sciences areas. In addition, the National Institutes of Health (NIH) will award the Ruth L. Kirschstein NRSA Institutional Research Training grants to institutions, to enable them to make NRSA awards to individuals selected by them. Each individual who receives a postdoctoral NRSA is obligated, upon termination of the award, to comply with certain

service and payback provisions. Cancer Education Grants are designed to promote education programs carried out by organizations engaged in health-related education, research, or training, located in the United States, its possessions, or territories. Various Career awards are designed to sponsor the development of independent scientists. Individual short-term support for students is available under the Cancer Education Program.

Applicant Eligibility:

University, college, hospital, public agency, or nonprofit research institution for institutional grants and individuals for fellowships. The applicant institution must be able to provide the staff and facilities and be responsible for the selection of trainees and overall direction of the training. Selected awardees must be citizens of the United States or be admitted to the United States for permanent residency. Career and Cancer Education awards may be given to for-profit institutions.

Beneficiary Eligibility:

University, college, hospital, public agency, nonprofit research institution or for-profit institution.

Credentials/Documentation:

(1) Individual Ruth L. Kirschstein NRSA: The applicant's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. (2) Institutional Ruth L. Kirschstein NRSA: Applicants must show the objectives, methodology, and resources for the training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for award and a detailed budget and justification for the amount of grant funds requested. (3) Institutional Cancer Education Grants: Applicants must describe the organization and administration of the proposed program, list and define institutional objectives, methodology, resources and techniques of evaluation, as well as a justification of proposed expenditures. (4) For Career awards, the applicant must provide documentation on the candidate, sponsor, career development plan, research proposed, and institutional commitment.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications for the Individual Ruth L. Kirschstein NRSA must be prepared using the PHS 416-1 application form and instructions for Individual National Research Service Award Fellowships (rev. 10/05). The PHS 416-1 is available at <http://grants.nih.gov/grants/funding/416/p416.htm>. For further assistance, direct inquiries may be made to GrantsInfo@nih.gov or (301) 435-0714. Applications for the Institutional Ruth L. Kirschstein NRSA must be prepared using the PHS 398 Form (Revised 9/2004). Refer to Section IV of the instructions titled Instructions For Preparing An NRSA Application. The PHS 398 Form (Revised 9/2004) is available at <http://grants.nih.gov/grants/funding/p416/p416.htm>. Applications for Cancer Education and Career grants must be prepared using the PHS 398 Form (Revised 9/2004). Refer to Section III of the instructions, Preparing An Individual CDA Application. Applicants are advised to consult the "Submitting an Application" section of the Program Announcement, since each award has distinct application criteria. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 1040, Bethesda, Maryland 20892-7710 (Bethesda, MD 20817 for express/courier service).

Award Procedure:

Applications are reviewed for scientific merit by an appropriate study section, committee, or advisory council. If recommended for approval and a decision to make award is made, a formal award notice will be sent to the applicant.

Deadlines:

All new and renewal applications: Individual NRSA's: April 8, August 8, and December 8. Institutional NRSA's: January 25, May 25, and September 25. All new, renewal, amended and supplemental Cancer Education: January 25, May 25, and September 25. All new Career applications: February 12, June 12, and October 12. All renewal, amended and supplemental Career applications: March 12, July 12, and November 12.

Range of Approval/Disapproval Time:

Approximately 10 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available under the Review Criteria section of the NIH Office of Extramural Research website at http://grants2.nih.gov/grants/policy/nihgps_2003/NIHGPs_Part3.htm.

Renewals:

Cancer Education Grants may be made for periods of up to 5 years and renewals may be submitted when appropriate. Research training grants may be renewed for additional project periods of 5 years. Only the following Career awards are renewable: K05 and K24 only a one-time renewal after a 5-year project period, and the K12 up to 5 years (Trainees 2-7 years).

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Up to 5 years.

Reports:

Progress reports are required each year. An annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by the grantor. Terminal reports are required 6 months after the end of a project.

Audits:

"In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133." In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550.

Obligations:

(Grants/Manpower) FY 07 \$179,155,000; FY 08 est \$180,965,000; and FY 09 est \$181,540,000.

Range and Average of Financial Assistance:

\$12,607 to \$1,068,450; \$176,160.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 232 Individual FTTs (Full Time Training Position) positions/awards were made totaling (competing and non-competing) \$3,820,000; 1,213 Institutional FTTs were supported through awards (competing and non-competing) totaling \$13,906,000; 525 Career awards were made totaling \$79,595,000; 89 Cancer Education awards were made totaling \$31,337,000. In FY 2008, it is estimated that 1,052 Manpower awards will be made. In FY 2009, it is estimated that 2,099 Manpower awards will be made. During this period, the NCI has been implementing a new strategic plan that places greater emphasis on protecting the time and stabilizing the careers of MD's in research and prevention and control scientists; nearly all of the growth in the program has focused on these two fields. Both of these fields are threatened by either managed care medicine or academic culture and they are both critical to the translational research success of the NCI in the future.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 6; 42 CFR 66; 45 CFR 74; Application kit, National Institutes of Health; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Dr. Lester Gorelic, Cancer Training Branch, National Cancer Institute, National Institutes of Health, Department of Health and Human Services, 6116 Executive Boulevard, Room 7025, Bethesda, MD 20892. Telephone: (301) 496-8580. Grants Management Contact: Leo F. Buscher, Jr., Grants Management Officer, National Cancer Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, EPS-234, Bethesda, MD 20892. Telephone: (301) 496-7753. Use the same numbers for FTS.

Web Site Address:

<http://www.cancer.gov/researchandfunding/training>

RELATED PROGRAMS:

93.399, Cancer Control.

EXAMPLES OF FUNDED PROJECTS:

(1) Individual Fellowship: Molecular mechanisms of breast tumor metastasis; Identification of a Melonoma Susceptibility Gene at 1p22; (2) Institutional Training: Training In Radiation Oncology Sciences; Program for Training in Cancer Epidemiology; (3) Cancer Education: Oncology Nursing Education in End of Life Care; Simultaneous Care: Linking Palliation to Clinical Trials; (4) Career: Community-based Skin Cancer Prevention Research; Prostate Cancer--Mechanisms of Bax induced Cell Death.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include the assessment of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

93.399 CANCER CONTROL

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Sections 301 and 412, Public Law 78-410; 42 U.S.C. 241; Public Law 100-607; 42 U.S.C. 285a-1; Public Law 99-500.

OBJECTIVES:

To reduce cancer incidence, morbidity, and mortality through an orderly sequence from research on interventions and their impact in defined populations to the broad, systematic application of the research results through dissemination and diffusion strategies. Primary emphasis is on the inclusion of a cancer prevention and control intervention in any proposed study. Cancer Prevention and Cancer Control research studies are classified into one of five phases that represent the orderly progression noted in the definition: (1) Hypothesis development; (2) methods development and testing; (3) controlled intervention trials to establish cause-and-effect relationships; (4) research in defined populations; and (5) demonstration and implementation studies. Primary interests are in research on cancer control interventions in Phases 2 through 5, and on cancer prevention research in all phases. Cancer Prevention and Control programs include those in the following areas: (1) chemoprevention; (2) cancer communications; (3) nutrition, diet, and physical activity; (4) screening and early detection, including biomarker development and validation; (5) biobehavioral mechanisms; (6) tobacco control; (7) special populations research; (8) symptom management, cancer rehabilitation and survivorship; (9) health services and outcomes research; and (10) surveillance research. Both Cancer Prevention and Cancer Control use the Small Business Innovation Research (SBIR) and the Small Business Technology Transfer (STTR) programs to encourage research participation by the small business community. The goals of each program are as follows. SBIR: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. STTR: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. Restrictions are imposed against the use of funds for entertainment, foreign travel (unless specifically authorized), office equipment, and other items not normally necessary for the effective prosecution of such research. SBIR Phase I grants (of approximately 6- months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel review option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principle investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only

to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

University, college, hospital, public agency, nonprofit research institutions or for-profit organizations will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application form PHS-398 (Rev. April 1998) is the standard form that can be obtained from the Division of Extramural Outreach and Information Resources, National Institutes of Health, 6701 Rockledge Drive, MSC 7910, Bethesda, MD 20892-7910. Telephone: (301) 435-0714, E-mail: ASKNIH@odrockml.od.nih.gov. The standard application forms as furnished by DHHS and required by 45 CFR Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm> on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

New: February 1, June 1, and October 1. Renewals and supplements: March 1, July 1, and November 1. SBIR Applications: April 15, August 15, and December 15. STTR Applications: April 1, August 1, and December 1.

Range of Approval/Disapproval Time:

Regular Grants: Approximately 10 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/1997/97.11.21/n2.html.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grants and cooperative agreements average from 3 to 4 years, up to a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly

Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years.

STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Progress reports are required each year. Annual financial status report is required 90 days after the end of a budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of a project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550.

Obligations:

(Grants) FY 07 \$200,126,000; FY 08 est \$208,278,000; and FY 09 est \$205,757,000.

Range and Average of Financial Assistance:

\$129,762 to \$2,085,408; \$957,541.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, of 97 competing applications, 49 were funded for 51 percent; 209 total competing and noncompeting awards were made. It is estimated that 202 total awards will be made in fiscal year 2008 and 215 total awards are estimated for fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants: 42 CFR 52; 45 CFR 74 and PHS Grants Policy Statement, DHHS Publication No. (OASH) 94- 50,000, (Rev.) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Program Contacts: For chemoprevention, nutrition and diet, screening and early detection, biomarker development and validation, community oncology, and symptom management and cancer rehabilitation, contact Dr. Peter Greenwald, Director, Division of Cancer Prevention, National Cancer Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, 6130 Executive Boulevard, MSC 7309, Rockville, MD 20852. Telephone: (301) 496-6616. For public health applications, biobehavioral mechanisms, special populations, and surveillance, contact Dr. Robert T. Croyle, Director, Division of Cancer Control and Population Science, National Cancer Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, 6130 Executive Boulevard, Rockville, MD 20852. Telephone: (301) 594-6776. Grants Management Contact: Leo F. Buscher, Jr., Grants Management Officer, National Cancer Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, EPS-234, Rockville, Maryland, 20892. Telephone: (301) 496-7753. Use the same numbers for FTS.

Web Site Address:

<http://www.nih.gov>; <http://cancer.gov>; <http://cancercontrol.cancer.gov>; <http://prevention.cancer.gov>.

RELATED PROGRAMS:

93.393, Cancer Cause and Prevention Research; 93.394, Cancer Detection and Diagnosis Research; 93.395, Cancer Treatment Research; 93.396, Cancer Biology Research; 93.398, Cancer Research Manpower.

EXAMPLES OF FUNDED PROJECTS:

Projects include: (1) Smoking Prevention - Transdisciplinary Tobacco Use Research Centers (TTURCs); (2) Energy Balance - Diet, Weight and Physical Activity research; (3) Transdisciplinary Research on Energetics and Cancer; (4) Special Populations - Centers for Population Health and Health Disparities; (5) Community Clinical Oncology Program; (6) Early Detection - Prostate, Lung, Colon, Ovarian Cancer Trial (PLCO) and the National Lung Screening Trial (NLST); (7) Selenium and Vitamin E Cancer Prevention Trial for Prostate Cancer (SELECT); and (8) Early Detection Research Network; (9) Community Networks To Reduce Cancer Health Disparities - Center To Reduce Cancer Health Disparities.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources;

(5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

93.441 INDIAN SELF-DETERMINATION

(Indian Self-Determination 638 Contracts)

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Title I, Public Law 93-638, as amended, Section 102; Contract Disputes Act of 1978; 31 U.S.C. 75.

OBJECTIVES:

To enable Indian tribes to assume the management and operation of programs, functions, services, and activities (PFSAs) for the delivery of health care to Indian people. To enter into a self-determination contract or contracts with Indian tribes or tribal organizations (T/TO) interested in transferring the responsibility for the administration and provision of health services from the government to tribal leadership.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds are for the tribes to provide the PFSAs transferred from the Indian Health Service (IHS). The funds are not available for construction unless specifically authorized by Congress. Tribes can reallocate funds within their budget from one line item to another without contract modifications. Tribes also receive administrative funds for the contracted programs.

Applicant Eligibility:

Any federally-recognized tribe that formally requests, through a governing body action, a resolution, to enter into a self-determination contract or contracts for the purpose of planning, conducting, and administering programs, or portions thereof, including construction programs, provided that the T/TO submits a contract proposal that conforms to the requirements contained in 25 CFR 900.8 may apply.

Beneficiary Eligibility:

Indian tribes will benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

The Agency shall, within 30 days of receipt of a notice of intent to contract, provide the tribe pre-proposal technical assistance, if requested to do so. The Agency will also notify the tribe of the amount of funds available to contract, under 106(a) of the ISDA, and begin planning for the transfer of responsibilities and funding to the tribe. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A tribe or tribal organization may submit a proposal for a self-determination contract or a proposal to amend or renew an existing contract. Unless there is a declination issue the Secretary shall within 90 days after receiving the proposal, approve the proposal and award a contract to the tribe. Declination issues are: (A) The service to be rendered to the Indian beneficiaries of the particular program or function to be contracted will not be satisfactory; (B) adequate protection of trust resources is not assured; (C) the proposed project or function to be contracted for cannot be properly completed or maintained by the proposed contract; (D) the amount of funds proposed under the contract is in excess of the applicable funding level for the contract as determined under Section 106(a); or (E) the program, function, service, or activity (or portion thereof) that is the subject of the proposal is beyond the scope of programs, functions, services, or activities covered under paragraph (1) because the proposal includes activities that cannot lawfully be carried out by the contractor.

Award Procedure:

The agency negotiation team and the representatives of the tribe shall develop the agenda for the contract negotiation session(s). The agency negotiation team should assure that any issues identified during the proposal review stage, together with any agency recommendations for overcoming these issues, are raised at the negotiations. Title I contracts are generally awarded at the area office level. They are awarded for a definite period of time (1 to 3 years) or may be designated as "mature" and awarded for a definite or indefinite period of time.

Deadlines:

(1) The tribe must be notified within 2 days of the receipt of a proposal that the agency has received their proposal; (2) within 7 days of the receipt of a proposal the agency will assemble a proposal review team; (3) within 15 days of the receipt of a proposal the tribe will be notified of any missing information; (4) if a tribe requests technical assistance it will be provided within 30 days of the date of the request from the tribe; (5) final negotiations should be completed within 60 days of the receipt of a proposal; and (6) the agency has 90 days from the date of the receipt of a proposal to review and award or decline a contract proposal.

Range of Approval/Disapproval Time:

Provisions of the Contract Disputes Act of 1978 (CDA) describe the procedures the agency will follow for claims in excess of \$100,000 and claims for less than \$100,000.

Appeals:

Section 102 of the ISDA and Subpart L of the regulations are the basic sections covering the appeal procedures. Section 900.150 of 25 CFR lists the decisions a T/TO can appeal under Subpart L (25 CFR 900.150-169). The procedures here apply to those appeals. Also, 25 CFR Section 900.151 of the regulations describes appeals that are covered under Subpart N of the regulations and other appeals. The T/TO has three options in appealing a decision under 25 CFR 900-150. The T/TO can request an informal conference and/or can file a formal appeal. The T/TO also has the option of going directly to the Federal court at any time.

Renewals:

Annual funding agreements are renegotiated and renewed annually. Title I contracts may be designated as "mature" and awarded for a definite or indefinite period of time.

Formula and Matching Requirements:

A T/TO may use funds provided under a Title I contract to meet matching or close participation requirements under other Federal and nonfederal programs.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Unless required by statute, there are no mandatory reporting requirements for Title I contracts. Each T/TO shall negotiate with the agency the type and frequency of program narrative and program data report(s), that respond to the needs of the contracting parties and that are appropriate for the purposes of the contract.

Audits:

Section 5(f) of the ISDA requires a T/TO to submit an annual "Single Audit Act" as required by Chapter 75 of Title 31 USC. OMB Circular No. A-133, Audits of States, Local Governments and Nonprofit Organizations, revised June 24, 1997, rescinded circular No. A-128 and superseded the Prior version of A-133. Revised circular No. A-133 now applies to T/TO. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Department of Health and Human Services (DHHS) and the Comptroller General of the United States or any of their authorized representatives, shall have the right to access any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS award, in order to make audits, examinations, excerpts, and transcripts. Grantees are required to maintain accounting records 3 years after the end of the budget period of the award. If any litigation, claim, negotiation, audit or other action involving the records shall be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551; 75-0391-0-1-551.

Obligations:

(Direct Payments) FY 07 \$509,600,000; FY 08 \$509,600,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$31,963 to \$25,950,652; \$1,722,872.

PROGRAM ACCOMPLISHMENTS:

The transfer of responsibility for the delivery of health care from the IHS to the tribes for the delivery of their own health care has been successful. Forty-two percent of the IHS budget has been transferred to contracting and compacting tribes to deliver IHS funded services to their own communities. The remaining 58 percent of the IHS budget funds IHS managed health care delivery systems. There are Indian self-determination contracts covering tribally operated facilities that include three hospitals, 97 health centers, one school health center, and 65 health stations. Similar support is expected in fiscal year 2005 and fiscal year 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 93-638, as amended, Internal Agency Procedures Manual for Contracting Under Title I of the Indian Self-Determination and Education Assistance Act; Indian Health Circular No. 2000-01, "Contract Support Costs apply."

Regional or Local Office:

Aberdeen Area IHS, Alaska Area IHS, Albuquerque Area IHS, Bemidji Area IHS, Billings Area IHS, California Area IHS, Nashville Area IHS, Navajo Area IHS, Oklahoma City Area IHS, Phoenix Area IHS, Portland Area IHS, and Tucson Area IHS.

Headquarters Office:

Mr. Douglas Black, Director, Office of Tribal Programs, Indian Health Service, 801 Thompson Avenue, Suite 220, Rockville, MD 20852. Telephone: (301) 443-1104. Grants Management Contact: Ms. Kimberly Pendleton, Senior Grants Management Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204.

Web Site Address:

<http://www.ihs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Hospitals and Health Clinics, Dental Health, Mental Health, Alcohol and Substance Abuse, Contract Health Service, HIV/AIDS, Public Health Nursing, Health Education, Community Health Representatives, Immunization AK, Indian Health Professionals, Director Operations, Contract Support Costs, Diabetes, Catastrophic Health Emergency Fund, Facilities Maintenance and Improvement, Sanitation Facilities Construction, Health Care Facilities Construction, Facilities and Environmental Health Support, Equipment, and Personnel Quarters.

CRITERIA FOR SELECTING PROPOSALS:

Applicants who meet the requirements of Section 102 of Public Law 93-638, as amended, and provide a proposal in accordance with 25 CFR 900 will be funded.

93.442 SPECIAL DIABETES PROGRAM FOR INDIANS (SDPI) COMPETITIVE GRANT PROGRAM

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Balanced Budget Act of 1997, Public Law 105-33; Consolidated Appropriations Act 2001, Public Law 106-554; Reauthorization of SDPI 2002, Public Law 107-360.

OBJECTIVES:

The Indian Health Service (IHS) announces a new initiative under the Special Diabetes Program for Indians (SDPI). This funding mechanism is a competitive grant program that will provide funding to selected SDPI grantees for a demonstration project to implement and evaluate defined activities in one of two areas (primary prevention of diabetes or prevention of cardiovascular disease in people with diabetes).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds (including direct costs and allowable indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities that will support the implementation and evaluation of demonstration projects under this competitive grant program.

Applicant Eligibility:

Applicants eligible to receive an award under this announcement are SDPI grant recipients. The applicant must be one of the following entities: IHS hospital or clinic, federally recognized Tribes, Title V Urban Indian Health Programs or consortia of any of the above. A SDPI grant recipient can apply only once as a single entity or as part of consortia. An application submitted by consortia of SDPI grant recipients must be submitted by one of the SDPI grant recipients sanctioned as the principal applicant. A letter of support must be included in the application from each SDPI grantee the principle applicant is representing. The letter must specifically state that the principal applicant is officially representing that SDPI grantee in this application. Applicants for consortia who do not submit these letters of support at the time of the application receipt date will not be reviewed and are ineligible for the award. Smaller applicants are encouraged to apply as part of consortia, especially their diabetes registry is less than 250. Applicants are strongly encouraged to establish eligibility of their proposed applications prior to submission. Inquires about eligibility should be addressed to Mary Tso, SDPI Grants Specialist, IHS National Diabetes Program at 505-248-4182; email to diabetesprogram@mail.ihs.gov. Applicants that are not SDPI grant recipients are not eligible. Non-profit tribal organizations or national/area health boards are not eligible, consistent with recent tribal consultation on this issue. Applications that do not meet these eligibility requirements will be returned to the applicant without further review.

Beneficiary Eligibility:

American Indians and Alaska Natives will be the ultimate beneficiaries of the funded demonstration projects through either prevention or direct treatment services.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87, "Cost Principles for State, Local and Indian Tribal Governments" or OMB Circular No. A-122, "Cost Principles for Non-Profit Organizations."

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372, "Intergovernmental

Review of Federal Programs." A State approval is not required.

Application Procedure:

Applications can be found in the grants.gov. Applications will also be available on request from the IHS National Diabetes Program at the following address: 5300 Homestead Road, NE, Albuquerque, NM 87110. Telephone: (505) 248-4182, fax 505-248-4188, email address: diabetesprogram@mail.ihs.gov. The application will be posted on the IHS National Diabetes Program web site at www.ihs.gov/medicalprograms/diabetes and on the HHS Grants web site at www.grants.gov on or before June 1, 2005. Applicants may submit one application for one or both demonstration projects. A separate application is required for each demonstration project. Applicants will be funded for only one project (primary prevention of diabetes or prevention of cardiovascular disease in people with diabetes). Tribal Approval of Application/Letter of Support: It is the policy of the IHS that all projects involving AIAN tribes be approved by the Tribal governments with jurisdiction. Therefore, the following documentation is required as part of this application: 1) For federally recognized Indian tribe - a resolution of support from the Tribal government must be a part of the application. Applications that involve more than one Indian tribe must include resolutions of support from all participating tribes. 2) For an eligible consortium of tribes - a resolution of support from each tribe of the consortium must be included. 3) For Title V Urban Indian Health Programs - a letter of support from the program's board must be included. 4) For IHS hospitals or clinics - a letter of support from the Service Unit Director or Chief Executive Officer must be included. 5) For all applicants - letters of support from all partners and collaborating entities. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center hours of operation are Monday-Friday from 7:00 a.m. to 9:00 p.m. (Eastern Standard Time). If you require additional assistance please contact Michelle G. Bulls, Grants Policy Officer; Telephone: (301) 443-6528 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us. Upon receipt, IHS will administratively review applications by completeness and responsiveness. Applications that are incomplete, non-responsive to this RFA, do not meet eligibility criteria or do not follow the guidelines of the SF 424 will be returned to the applicant without further consideration. Applications will be evaluated for technical merit by appropriate peer review groups convened by the IHS National Diabetes Program in accordance with established criteria.

Award Procedure:

Applicants will only be eligible to receive one award for funding in one area (i.e. primary prevention of diabetes or prevention of cardiovascular disease in people with diabetes). Priorities for funding will be based on the technical merit of the application, the assessed potential of the applicant and the likelihood of the applicant to successfully implement the defined interventions. Awards will be made only to organizations with financial management systems and management capabilities that are acceptable under PHS Policy. Awards will be administered under the PHS Grants Policy Statement. Awards will be subject to the availability of funds and grants will be administered in accordance with applicable Office of Management and Budget (OMB) Circulars, Department of Health and Human Services grant regulations at 45 CFR Parts 74 and 92, the Public Health Service Grants Policy Statement and other applicable IHS policies and procedures such as the regulations governing protection of human subjects at 45 CFR Part 46. Grants Management will not award a grant without an approved application in conformance with regulatory and policy requirements and which describes the purpose and scope of the project to be funded. When the application is approved for funding, the Grants Management Office will prepare a Notice of Grant Award with special terms and conditions binding upon the award and refer to all general terms applicable to the award.

Deadlines:

Prospective applicants are asked to submit a letter of intent that includes the selected area for the application (primary prevention of diabetes or prevention of CVD in people with diabetes), the name, address, and telephone number of the Project Director and its Program Coordinator, and the number and title of this RFA. The letter of intent must be received by the IHS National Diabetes Program, 5300 Homestead Road NE, Albuquerque, NM 87110, telephone 505-248-4182, fax 505-248-4188, email: mary.tso@mail.ihs.gov, before 6:00 pm MDT on June 1, 2008. Letters may be submitted by mail, fax, or email. Although a letter of intent is not required, is not binding, and does not enter into the review of a subsequent application, the information that it contains allows the IHS staff to estimate the potential review workload and avoid conflict of interest in the review. Application and Resolution Date: July 1, 2008. The applications must be received before 6:00 pm MDT on July 1, 2008. If an application is received after that date, it will be returned to the applicant without review. To be considered timely, an application must be received on or before the deadline date. No additional materials received after the deadline will be

considered. Applications not meeting the deadline date specified in the announcement are considered late applications and will not be considered for funding under the announcement. Receipt of applications will be acknowledged by postcard.

Range of Approval/Disapproval Time:

The anticipated date for the announcement of selectees is 60 days after the application due date.

Appeals:

None.

Renewals:

This funding will be awarded as a grant, renewable annually for up to 5 years. The IHS National Diabetes Program will determine if grants are renewable after 5 years depending on funding levels and congressional actions.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

There will be only one funding cycle for FY 2004 - FY 2008. This funding will be awarded as a grant, renewable annually for up to 5 years. Awards under this initiative will be administered using the competing institutional grant mechanism of the Indian Health Service. Applicants should request the first year as a planning year, and the next 4 years as full implementation of demonstration project activities.

Reports:

Given the importance of the outcomes of this demonstration project to future funding of the Special Diabetes Program for Indians, particular emphasis will be placed on the timely and comprehensive reporting of results through a variety of mechanisms. The IHS NDP and the Grants Management Office have requirements for the progress reports and financial reports based on the terms and conditions of these grant. Grantees are responsible and accountable for accurate reporting of the Progress Reports and Financial Status Reports which are generally due annually. Financial Status Report (SF 269) is due 90 days after each budget period and the final SF 269 must be verified from the grantee records on how the value was derived. Grantees are allowed a reasonable period of time in which to submit required financial and performance reports. Failure to submit required reports within the time allowed may result in suspension or termination of an active grant, withholding of additional awards for the project, or other enforcement actions such as withholding of payments or converting to the reimbursement method of payment. Continued failure to submit required reports may result in the imposition of special award provisions, or cause other eligible projects or activities involving the grant recipient, or the individual responsible for the delinquency to not be funded. Progress reports will be required on an annual basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records of the grant must be retained for 3 years after submission of the final expenditure report. If questions remain, such as those resulting from an audit, pertinent records must be kept until the matter is resolved. The Secretary, the Inspector General of the Department of the Health and Human Services, and the Comptroller General of the United States or any of their authorized representatives shall have the right to access all records, report, books, document, papers or other records of the grantee, contractor, or subcontractor or of any entity pertinent to the DHHS grant in order to make audits, examinations, excerpts and transcripts.

Account Identification:

75-0390-0-1-551.

Obligations:

(Competitive Grant) FY 07 \$23,300,000; FY 08 \$23,300,000; and FY 09 est not available.

Range and Average of Financial Assistance:

The total amount of funding available is \$23,300,000 and the number of anticipated awards will be approximately 60 (30 in each intervention area). The expected amount of individual awards will vary based on size of the program, and will vary from \$200,000 to \$300,000 per year.

PROGRAM ACCOMPLISHMENTS:

None to date. This competitive grant program is a new initiative under the 2002 Reauthorization of the Special Diabetes Program for Indians grant program, Public Law 107-360.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 74; Public Health Service Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000 (Rev.) April 1, 1994.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Dr Kelly Acton or Lorraine Valdez, BSN, MPA, CDE IHS National Diabetes Program 5300 Homestead Road NE Albuquerque, NM 87110 Telephone 505-248-4182 Fax 505-248-4188 Email: diabetesprogram@mail.ihs.gov Grants Management Office Contact: Ms. Kimberly Pendleton, Senior Grants Management Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360 Rockville, MD 20852. Telephone: 301-443-5204, Fax 301-443-9602.

Web Site Address:

IHS National Diabetes Program: <http://www.ihs.gov/medicalprograms/diabetes>; <http://www.grants.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated in accordance with the criteria stated below for technical merit by appropriate peer review groups convened by the IHS National Diabetes Program. Applications will be reviewed and scored according to the quality of their responses to the requirements listed below for developing the project narrative: 1) statement of need, 2) proposed implementation approach, 3) management plan and staffing, and 4) evaluation plan. The IHS National Diabetes Program will convene 2 review groups, one for each area in this initiative. The recommendations from the review committees will be considered by the IHS NDP. The Director of the IHS NDP will make the final funding decisions in consideration of the following points, some of which were based on input from the tribal consultation: strengths and weaknesses of the application as identified by peer reviewers, likelihood of success in implementation of the activities, demonstrated capacity of the applicant for programmatic implementation, availability of funds, and other factors based on tribal consultation including distribution of awards in terms of geography and balance among program size, program type (i.e. IHS, Tribal, urban vs. rural, hospital, clinic, etc.) Awards will be made only to organizations with financial management systems and management capabilities that are acceptable under PHS Policy. Awards will be administered under the PHS Grants Policy Statement.

93.443 HEALTH PROMOTION/DISEASE PREVENTION PROGRAM FOR AMERICAN INDIANS AND ALASKA NATIVES

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Authorized by 25 U.S.C. 13, Synder Act, Public Law 83-568, Transfer Act, 42 U.S.C. 2001.

OBJECTIVES:

To create healthier American Indian/Alaska Native (AI/AN) communities by developing, implementing, and coordinating innovative and effective community and clinic-based interventions programs designed to promote health and prevent chronic disease and disability.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

This assistance is available to federally-recognized Indian Tribes, Tribal organizations, and urban Indian organizations. This assistance is used to develop, implement, and coordinate innovative and effective community and clinic-based interventions programs to promote health and prevent chronic disease. Funds (including direct and allowable indirect costs) may be used for expenses directly related and necessary to carry out approved activities that will support the implementation and evaluation of projects under this competitive grant program.

Applicant Eligibility:

Federally-recognized tribes, tribal organizations, nonprofit organizations primarily American Indians and Alaska Natives, and urban Indian organizations may apply.

Beneficiary Eligibility:

Individuals who are members of an eligible applicant tribe, band, or group or village and who may be regarded as within the scope of the Indian Health and medical service program and who are regarded as an Indian by the community in which he lives as evidenced by such factors as tribal membership, enrollment, residence on tax exempt land, ownership or restricted property, active participation in tribal affairs or other relevant factors in keeping with general Bureau of Indian Affairs practices in the jurisdiction.

Credentials/Documentation:

Certification of American Indian or Alaska descent is required for direct services. Costs will be determined in accordance with OMB Circular No. A-87 for State, local, and Indian Tribal governments, and Circular No. A-122 for

Tribal nonprofit organizations codified through applicable grant administration regulations 45 CFR Part 92 and as applicable 45 CFR Part 74.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center hours of operation are Monday-Friday from 7:00 a.m. to 9:00 p.m. (Eastern Standard Time). If you require additional assistance please contact Michelle G. Bulls, Grants Policy Officer at (301) 443-6528 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us. Health Promotion/Disease Prevention program are processed and administered by IHS Headquarters. This program is subject to the provisions of 45 CFR Part 92 for Indian tribes and Part 74 for Nonprofit organizations, and OMB Circulars No. A-110, A-122, A-87, and A-102.

Award Procedure:

Grants are awarded on a competitive basis with processing and final approval by Indian Health Service Headquarters.

Deadlines:

Contact the Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, Division of Grants Operation.

Appeals:

None.

Renewals:

Project periods are for 3 years with 12-month budget periods.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grants will be awarded for project periods up to 3 years.

Reports:

The Progress Reports and Financial Status Reports are required annually. These reports will include a brief comparison of actual accomplishments to the goals established for the period, reasons for slippage (if applicable), and other pertinent information as required. Financial Status Reports (SF 269) annual financial reports must be submitted within 30 days of the budget period. A Final Financial Status Reports (SF 269) are due within 90 days of expiration of the budget/project period and must be verified from the grantee records on how the value was derived. Grantees are allowed a reasonable period of time in which to submit required financial and performance reports. Progress reports are required on an annual basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133, in addition, grantees are subject to site visits and audits by the Department of Health and Human Services (DHHS) and other Federal officials.

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the grant in order to make audit, examinations, excerpts, and transcripts. In accordance with 45 CFR Parts 74 and 92, grantees are required to maintain grant accounting records for 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Grants) FY 07 \$1,896,611; FY 08 \$1,300,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None. New Program.

PROGRAM ACCOMPLISHMENTS:

Twenty grant awards were funded in fiscal year 2005. It is anticipated that 13 new grants will be awarded in fiscal year 2006, and it is anticipated that 13 continuing grants will be awarded in fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under the provisions of 45 CFR Part 92 and the PHS Grants Policy Statement, DHHS Publication No. (OASH 94-50,000, (Rev.) April 1, 1994. Guidelines are available in the application kit.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Ms. Alberta Becenti, Health Promotion/Disease Prevention Coordinator, Indian Health Service, 801 Thompson Avenue, Suite 300, Rockville, MD 20852. Telephone: (301) 443-4305. Grants Management Contact: Ms. Kimberly Pendleton, Senior Grants Management Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204.

Web Site Address:

<http://www.ihs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Diabetes Prevention, (2) Obesity Prevention, Youth and Children, (3) Alcohol Intervention for Youth, (4) Physical Activity, (5) Women's Health, (6) Tobacco Prevention, and (6) Strengthen Families.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated in accordance with the criteria stated below for technical merit by appropriate peer review groups convened by the IHS Health Promotion/Disease Prevention Program. Applications will be reviewed and rated on the basis of the evaluation criteria listed for developing the project narrative: 1) background and needs, 2) intervention plan, 3) plans for monitoring and program evaluation, 4) organizational capabilities and qualifications, and 5) communication and information sharing. Proposals will be reviewed for merit by the Objective Review Committee consisting of at least three federal and three nonfederal reviewers appointed by the IHS. The technical review process ensures the selection of quality projects in a national competition for limited funding. After review of the applications, rating scores will be compared, and the application with the highest rating score are selected to receive funding.

93.444 TRIBAL SELF-GOVERNANCE PROGRAM: PLANNING AND NEGOTIATION COOPERATIVE AGREEMENT

(Tribal Self Governance)

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended.

OBJECTIVES:

To make financial assistance awards to Indian tribes to enable them to assume programs, functions, services, and activities (PFSA) with respect to which Indian tribes or Indians are primary or significant beneficiaries, administered by the Department of Health and Human Services (DHHS) through the Indian Health Service. In preparation for additional tribes each fiscal year, the IHS will make Planning Cooperative Agreements available. The Planning Cooperative Agreements allow Tribes to gather information to determine the current types and extent of PFSAs and funding levels available within its service areas and to plan for the types and extent of PFSAs and funding to be made available to the tribe under a compact. The IHS will also award Negotiation Cooperative Agreements which provide tribes with additional funding to negotiate Self-Governance Compacts.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Establishing and operating programs to provide planning and negotiation resources to tribes interested in participating in the Tribal Self-Governance Program (TSGP). These cooperative agreements will be awarded on a one-time basis.

Applicant Eligibility:

For Planning Cooperative Agreements, any Federally-recognized tribe which (1) formally requests, through a governing body action, a Planning Cooperative Agreement for the purpose of preparing to enter the TSGP and (2) has furnished organization-wide single audit reports as prescribed by Public Law 96-502, the Single Audit Act of 1984, as amended, for the previous 3 years that contain no uncorrected significant and material audit exceptions. See OMB Circular No. A-133 (June 27, 2003). For Negotiation Cooperative Agreements, in addition to the two criteria listed above, applicants must have successfully completed the planning phase as described at 42 CFR 137.20.

Beneficiary Eligibility:

Indian tribes will benefit.

Credentials/Documentation:

For planning, costs will be determined in accordance with OMB Circular No. A-87 for State and Local Governments and Indian tribes and applicable grant administration regulations 45 CFR Part 92.

Preapplication Coordination:

Preapplication coordination not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at (1-800) 518-4726 or support@grants.gov. The Contact Center hours of operation are Monday-Friday from 7:00 a.m. to 9:00 p.m. (Eastern Standard Time). If you require additional assistance please contact Michelle G. Bulls, Grants Policy Officer at (301) 443-6528 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us.

Award Procedure:

Cooperative agreements are made directly by the IHS to the Indian tribal applicants, based on results of a competitive review process.

Deadlines:

Contact the Headquarters Office listed below for deadline dates.

Range of Approval Disapproval Time:

Within 45 days of IHS deadline.

Appeals:

Per 42 CFR 137.416, decisions relating to the award of Cooperative Agreements may be appealed under 45 CFR Part 5.

Renewals:

There will not be renewals for the planning and negotiation awards.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Duration of project period is for 12 months. Project period can be extended on a no-cost basis.

Reports:

Cooperative agreements are subject to administrative requirements under 45 CFR Part 92 for grants to Indian tribes.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

DHHS and the Comptroller General of the United States, or any of their authorized representatives, shall have the right to access any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS award, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain accounting records 3 years after the end of the budget period of the award. If any litigation, claim, negotiation, audit or other action involving the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

FY 07 \$100,000; FY 08 \$220,000; and FY 09 est \$340,000.

Range and Average of Financial Assistance:

Typically over the last several years, Planning Cooperative Agreements have been awarded for a maximum of \$50,000 and Negotiation Cooperative Agreements for a maximum of \$20,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, the IHS awarded two Planning Cooperative Agreements for a total of \$100,000. In FY 2008, IHS estimates that it will award four Planning and one Negotiation Cooperative Agreement, for a total of \$220,000 in award funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, authorizes both the cooperative agreements. HHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000 (Rev. April 1, 1994), applies to the cooperative agreement awards.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Ms. Hankie Ortiz, Director, Office of Tribal Self-Governance,

Indian Health Service, 801 Thompson Avenue, Suite 240, Rockville, MD 20852. Telephone: (301) 443-7821. Grants Management Contact: Ms. Kimberly Pendleton, Grants Management Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204. Use the same numbers for FTS.

Web Site Address:

<http://www.ihs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The projects funded are the planning and negotiation activities associated with preparing to enter the TSGP.

CRITERIA FOR SELECTING PROPOSALS:

For Planning Cooperative Agreements, the criteria are: Goals/Objectives; Methodology; Management of Health Program(s); Organizational Capabilities and Qualifications; and Budget Justification. For Negotiation Cooperative Agreements, the criteria are: Demonstration of Previous Planning Activities; Thoroughness of Approach, Project Outcome; and Administrative Capabilities.

93.448 FOOD SAFETY AND SECURITY MONITORING PROJECT

FEDERAL AGENCY:

FOOD AND DRUG ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Security and Bioterrorism Preparedness and Response Act of 2002, Public Law 107-188.

OBJECTIVES:

To complement, develop and improve State, Indian Tribal, and local food safety and security testing programs through the provision of supplies, personnel, facility upgrades, training in current food testing methodologies and participation in proficiency testing to establish additional reliable laboratory sample analysis capacity and analysis of surveillance samples. A new program will be included to complement, develop and improve State, Indian Tribal, and local food safety and security analyses of foods and food products related to radiological terrorism or other emergency situations through the provision of supplies, personnel, facility upgrades, training in current food testing methodologies, participation in proficiency testing to establish additional reliable laboratory sample analysis capacity, participation in method enhancement activities to extend analysis capability, and analysis of surveillance samples in the event of a large-scale radiological terrorism event affecting foods or food products.

TYPES OF ASSISTANCE:

Cooperative Agreements.

USES AND USE RESTRICTIONS:

The grants and cooperative agreements are intended to expand participation in networks to enhance Federal, State, local and tribal food safety and security efforts. In addition to the promotion of a continuing, reliable capability and capacity for laboratory sample analyses of foods and food products for the rapid detection and identification of toxic chemicals, toxins or radiological terrorism affecting foods or food products. These funds cannot be used to fund or conduct food inspections for food safety regulatory agencies. They may not be used for new building construction, however remodeling of existing facilities is allowed, provided that remodeling costs do not exceed 25% of the grant.

Applicant Eligibility:

These cooperative agreement and grants programs are only available to State, Local and Tribal government Food Emergency Response (FERN) laboratories.

Beneficiary Eligibility:

Ultimately the general population will be the beneficiary of more rapid and reliable food testing to enhance food safety efforts. The FERN laboratory network will also benefit from better testing methods, equipment and training.

Credentials/Documentation:

Applications must present a plan and furnish evidence that facilities, personnel and equipment are appropriate to carry out the plan. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Executive Order 12372 as it applies.

Preapplication Coordination:

These programs are eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the Single Point of Contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected this program.

Application Procedure:

The standard application forms and procedures as required by 45 CFR 72, and as outlined in the Request for Application (RFA) and/or the Funding Opportunity Announcement as published in the NIH Guide and as furnished by the FDA through grants.gov must be used for this program. All new and competing continuations or competing supplemental research grants must be submitted through Grants.gov. The application packages are posted under

"APPLY" section of this announcement under <http://www.grants.gov>.

Award Procedure:

All accepted applications receive a scientific review for technical merit and a recommendation for approval by an appropriate initial ad hoc review group. All approved and scored applications compete for available funds on the basis of score, scientific merit and program analysis. Geographic distribution will be a consideration in the award selection process.

Deadlines:

Applications will be due no later than 30 days after publication in the Federal Register and/or the NIH Guide.

Range of Approval/Disapproval Time:

90-120 days.

Appeals:

None.

Renewals:

Renewals are available. Procedures are the same as for new applications.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The length of support will depend on the nature of the project. For those projects with an expected duration of more than 1 year, a 2nd or 3rd year of non-competitive continuation of support will depend on: 1) performance during the preceding year and, 2) availability of Federal funds.

Reports:

For continuing grants and cooperative agreements, quarterly reports or as determined by the Project Officer, and an annual program progress report as well as a final Financial Status Report is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), "Audits of States, Local Governments and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or program-specific audit conducted for that year under the nonfederal audit requirement except as noted in Circular A-133." In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

All grant and cooperative agreement documentation must be retained for 3 years from the day on which the grantee submits the final financial status report for the project period. Progress reports, expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the final financial status report for the project period. Financial and programmatic records, supporting documents, statistical records and all other records that are required by the terms of the grant or may be considered pertinent to a grant must be retained for 3 years from the date the grantee submits the final financial status report for the project period. See 45 CFR 74.53 and 92.42 for exceptions and qualification to the 3 year retention requirement. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

Account Identification:

75-0600-0-1-554.

Obligations:

For Food Safety and Security Monitoring Project for Chemical Analysis: FY 07 \$2,333,495; and FY 08 est \$2,403,500, and FY 09 est \$2,500,000. For Food Safety and Security Monitoring Project for Radiological Analysis: FY 07 \$750,000; and FY 08 est \$750,000 and FY 09 est \$750,000.

Range and Average of Financial Assistance:

An average of \$350,000 total cost per award per year (direct plus indirect combined) for up to 3 years.

PROGRAM ACCOMPLISHMENTS:

For the Food Safety and Security Monitoring Program for Chemical Analysis: award of 8 cooperative agreements and supply of necessary equipment and training to begin the analysis. Equipment is in place and training has been accomplished. Labs have been involved in: participation in proficiency testing for Food Emergency Response Network (FERN), participation in method development and method validation for food safety and food defense methods, and participation in food defense surveillance assignments. The 8 Chemistry Cooperative Agreement labs participated in the FDA's Pet Food surveillance assignment. For the Food Safety and Security Monitoring Program for Radiological Analysis: award of 3 cooperative agreements, now starting second year. Equipment has been purchased, and some minor remodeling of existing facility is underway. Training has been accomplished, and method development/validation is ongoing. Two new Radiological Cooperative Agreements have been awarded, with funding started FY08. Equipment has been ordered. Some initial training has occurred.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR Part 52-Grants for Research Projects; 45 CFR Part 74 - Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospital, Other Nonprofit Organizations and Commercial Organizations; and certain grants and agreements with States, Local Governments and Indian Tribal Governments: 45 CFR Part 92-Uniform Administrative Requirements for Grants and Cooperative Agreements to State

and Local Governments; the HHS Grants Policy Statement (most recent update) available at <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>; Executive Order 12372, Intergovernmental Review of Federal Programs as implemented through 45 CFR Part 100-Intergovernmental Review of Department of Health and Human Services Programs and Activities.

Regional or Local Office:

Regional and Local Office information will be provided in the application packet, if applicable.

Headquarters Office:

Program Contact: Jennifer Gabb, Division of Federal-State Relations,, Office of Regulatory Affairs (ORA), Food and Drug Administration, HFC-140, 5600 Fishers Lane, Rm 1207, Rockville, MD 20857, Telephone: (301) 827-2899, E-mail: jennifer.gabb@fda.hhs.gov.

Web Site Address:

http://www.fda.gov/ora/fed_state/default.htm; or <http://www.grants.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

For Food Safety and Security Monitoring Project for Chemical Analysis: Virginia Food Safety and Security Monitoring Project; Minnesota Food Safety and Security Monitoring Project; Arizona Food Safety and Security Monitoring Project. For Food Safety and Security Monitoring Project for Radiological Analysis: Surge Capacity Program.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed for responsiveness by the Grants Management staff and the Office of Regulatory Affairs (ORA). To be responsive, the application must be submitted in accordance with the requirements of the Federal Register Notice and/or NIH Guide. All responsive applications will be reviewed by an ad hoc committee. Each application will be scored and ranked based on the following criteria: a) adequacy of facilities, expertise of project staff, equipment, support services, commitment to analyze surveillance samples, and quality management practices needed for the project; b) expertise in the use of gas chromatography/mass spectrometry for the analysis of foods or animal tissues; c) expertise in the use of liquid chromatography/mass spectrometry for the analysis of foods or animal tissues; d) expertise in the use of inductively coupled plasma/mass spectrometry for the analysis of foods or animal tissues; e) expertise in the use of ELISA and other antibody based analyses for the identification of toxins in foods or animal tissues; f) current food or animal tissue analysis programs; g) the rationale and design to meet the goals of the cooperative agreement; h) quality control and quality assurance procedures and practices; i) abilities and procedures in place to recall personnel, establish extended work-weeks. All funding decisions will be made by the Commissioner of Food and Drug Administration or his designee.

93.449 RUMINANT FEED BAN SUPPORT PROJECT

FEDERAL AGENCY:

FOOD AND DRUG ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Security and Bioterrorism Preparedness and Response Act of 2002, Public Law 107-188.

OBJECTIVES:

To assist in an increased surveillance presence throughout the commercial feed channels to prevent the introduction or amplification of Bovine Spongiform Encephalopathy (BSE) in the United States.

TYPES OF ASSISTANCE:

Cooperative Agreements.

USES AND USE RESTRICTIONS:

Grants are awarded to eligible institutions in the name of the principal investigator for a discrete project. Funds are to be used for location and visitation of firms involved in the manufacture, distribution and transportation of animal feed, and operations feeding ruminant animals in their jurisdiction, to verify compliance with the ruminant feed ban. Visitations could include annual inspections of renderers, protein blenders and feed mills that manufacture animal feeds and feed ingredients utilizing materials prohibited under the ruminant feed ban that are not covered under existing FDA contracts and other agreements. Funds can be used for inspections of salvagers of food and feed, and transporters of animal feed and feed ingredients, supplies, training, laboratory equipment for feed sample testing, and as a supplement to the annual State program appropriations. Funds may not be used to replace annual State program funds for these purposes.

Applicant Eligibility:

This cooperative agreement program is only available to State and Tribal Feed/BSE regulatory programs.

Beneficiary Eligibility:

The general public, State and Tribal Feed/BSE regulatory programs.

Credentials/Documentation:

Applications must present a plan and furnish evidence that facilities, personnel and equipment are appropriate to carry out the plan. Costs will be determined in

accordance with OMB Circular No. A-87 for State and local governments and Executive Order 12372 as it applies.

Preadmission Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program.

Application Procedure:

The standard application forms noted in the Request for Application (RFA) and or the Funding Opportunity Announcement published in the NIH Guide and as provided by the FDA through grants.gov, and as required by 45 CFR 72 must be used for this program. All applicants must complete the required forms through grants.gov for new competing continuations or competing supplemental research grants. The application packages are posted under the "APPLY" section of this announcement under <http://www.grants.gov>.

Award Procedure:

All accepted applications receive a scientific review for technical merit and a recommendation for approval by an appropriate initial ad hoc review group. All approved and scored applications compete for available funds on the basis of score, scientific merit and program analysis. Geographic distribution will be a consideration in the award selection process.

Deadlines:

Applications will be due no later than 30 days after publication in the Federal Register and/or NIH Guide.

Range of Approval/Disapproval Time:

Approximately 90 to 120 days.

Appeals:

None.

Renewals:

Renewals are available. Procedures are the same as for new applications.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

The length of support will depend on the nature of the projects. For those projects with an expected duration of more than 1 year, a 2nd or 3rd year of non-competitive continuation of support will depend on 1) performance during the preceding year and 2) availability of Federal funds.

Reports:

For continuing grants and cooperative agreements, an annual program progress report and an annual Financial Status Report as well as final program progress report are required. Final program progress reports and Financial Status reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (e.g. most recent revision), "Audits of States, Local Governments and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or program-specific audit conducted for that year except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

All cooperative agreement documentation must be retained for 3 years from the day on which the grantee submits the final financial status report for the project period. Progress reports, expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the final financial status report for the project period. Financial and programmatic records, supporting documents, statistical records and all other records that are required by the terms of the grant or may be considered pertinent to a grant must be retained for 3 years from the date the grantee submits the final financial status report for the report period. See 45 CFR 74.53 and 92.42 for exceptions and qualification to the 3 year retention requirement. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

Account Identification:

75-0600-0-1-554.

Obligations:

FY 07 \$2,000,000; FY 08 est \$2,000,000; and FY 09 est. \$2,000,000.

Range and Average of Financial Assistance:

An average of \$250,000 total cost per award (direct and indirect) for up to 3 years.

PROGRAM ACCOMPLISHMENTS:

Enhancement of government infrastructure of animal feed safety and bovine spongiform encephalopathy prevention programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR Part 52-Grants for Research Projects; 45 CFR Part 74 - Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospital, Other Nonprofit Organizations and Commercial Organizations; and certain grants and agreements with States, Local Governments and Indian Tribal Governments; 45 CFR Part 92-Uniform Administrative Requirements for Grants and Cooperative Agreements to State

and Local Governments; the HHS Grants Policy Statement (most recent revision); Executive Order 12372, Intergovernmental Review of Federal Programs as implemented through 45 CFR Part 100--Intergovernmental Review of Department of Health and Human Services Programs and Activities.

Regional or Local Office:

Regional office information will be provided in the application packet, if applicable.

Headquarters Office:

Jennifer Gabb, Division of Federal-State Relations, Office of Regulatory Affairs, HFC-150, 5600 Fishers Lane, Room 1207, Rockville, MD 20857. Telephone: (301) 827-2899; E-mail: jennifer.gabb@fda.hhs.gov.

Web Site Address:

<http://www.grants.gov/> or http://www.fda.gov/ora/fed_state/default.htm.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Florida Ruminant Feed Ban Enhancement Project; Nebraska Ruminant Feed Ban Support Project; Office of the Texas State Chemist BSE Prevention Program.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed for responsiveness by the Grants Management Staff and the Office of Regulatory Affairs (ORA). To be responsive, the application must be submitted in accordance with the requirements of the Federal Register and/or NIH Guide notice. All responsive applications will be reviewed by an ad hoc committee. Each application will be scored and ranked based on the following criteria 1) availability of adequately trained staff; 2) detailed description of current feed regulatory program; 3) properly detailed budget that is intended to supplement State or Tribal appropriations; 4) accurate count of all feed facilities, etc., in the State or Tribal nation; 5) detailed description of how inspections are to be performed; 6) detailed descriptions of how current, non-inspected facilities will be identified and added to the State's inspection responsibilities; 7) ability to satisfy the reporting requirements; 8) provision of current funding level certification; 9) detailed methodology for program improvement; 10) justification for hiring new staff; and 11) detailed description of the enforcement of violative facilities; under the cooperative agreement all funding will be made by the Commissioner of the Food and Drug Administration or his designee.

93.550 TRANSITIONAL LIVING FOR HOMELESS YOUTH

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

The Runaway and Homeless Youth Act (Title III of the Juvenile Justice and Delinquency Prevention Act of 1974), as amended by the Runaway, Homeless, and Missing Children Protection Act of 2003, Public Law 108-96 under Part B. Text of this legislation can be located at <http://www.acf.hhs.gov/programs/fysb/content/aboutfysb/RHYComp.pdf>.

OBJECTIVES:

The overall purpose of the Transitional Living Program (TLP) for Homeless Youth and the Maternity Group Homes (MGH) is to establish and operate transitional living projects for homeless youth, including pregnant and parenting youth. This program is structured to help older homeless youth achieve self-sufficiency and avoid long-term dependency on social services. Transitional living projects provide shelter, skills training, and support services to homeless youth, including pregnant and parenting youth, ages 16 through 21 for a continuous period not exceeding 18 months. Youth who are under the age of 18 years at the completion of 18 months can remain in the program for either an additional 180 days or until the youth turns 18, whichever occurs first. MGHs provide the same services as the TLP in addition to providing parenting instructions and child care. Other services that are offered include, but are not limited to, transportation, family planning, abstinence education and pregnancy prevention services.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Transitional Living Programs are required to provide youth with stable, safe living accommodations and services that help them develop the skills necessary to move to independence. Living accommodations may be host family homes, group homes, Maternity Group Homes, or "supervised apartments." (Supervised apartments are either agency-owned apartment buildings or "scattered site" apartments, which are single-occupancy apartments rented directly by young people with support from the agency.) Eligible applicants include States, localities, private entities, and coordinated networks of such entities unless they are part of the law enforcement structure or the juvenile justice system. Federally recognized Indian organizations are also eligible to apply for grants as private, nonprofit agencies. Indian Tribes that are not federally recognized and urban Indian organizations are also eligible to apply for grants as private, nonprofit agencies. Faith-based organizations and small community-based

organizations are eligible to apply for Transitional Living Program grants.

Applicant Eligibility:

States, localities, private entities, and coordinated networks of such entities are eligible to apply for a Transitional Living Program grant unless they are part of the law enforcement structure or the juvenile justice system. Federally recognized Indian organizations are also eligible to apply for grants as private, nonprofit agencies. Faith-based organizations and small community-based organizations are eligible to apply.

Beneficiary Eligibility:

Homeless youth (ages 16 to 21).

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administration procedures will be determined in accordance with Parts 74 and 92 or Title 45, Code of Federal Regulations.

Preapplication Coordination:

Consultation or assistance is available from the Family and Youth Services Bureau (FYSB), Administration for Children and Families, Department of Health and Human Services. The standard application forms furnished by DHHS and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the Single Point of Contact (SPOC) in his or her State for more information on the process. (NOTE: State/territory participation in the intergovernmental review process does not signify applicant eligibility for financial assistance under a program. A potential applicant must meet the eligibility requirements of the program for which it is applying prior to submitting an application to its SPOC.)

Application Procedure:

Application for Federal Assistance, Standard Form 424 must be submitted. Specific instructions are published via the Internet under the Form section at the following website address: <http://www.acf.hhs.gov/grants>.

Award Procedure:

All applications are reviewed by a panel of nonfederal experts, which assigns scores according to the published criteria. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau, who makes recommendations to the ACYF Commissioner, who makes the final funding decisions.

Deadlines:

As specified in the announcement or application instructions.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None.

Renewals:

Awards are made for 5-year project periods; funding after the first year is dependent upon satisfactory performance, availability of funds and determination that continued funding would be in the best interest of the government.

Formula and Matching Requirements:

Grantee must provide matching funds equal to at least 10 percent of the Federal share. (There are certain exceptions for Tribes with "638" funding pursuant to P.L. 93-638, under which certain Federal grants funds may qualify as matching funds for other Federal grant programs, e.g., those which contribute to the purposes for which grants under section 638 were made). The non-Federal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. Therefore, a five-year project costing \$1,000,000 in Federal funds (based on an award of \$200,000 per 12-month budget period) must include a match of at least \$100,000 (\$20,000 per budget period).

Length and Time Phasing of Assistance:

Grants are awarded competitively for project periods of 5 years.

Reports:

Progress and Fiscal reports must be submitted semi-annually. A final program and expenditure report must be submitted within 90 days after the completion of the project period.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$39,539,000; FY 08 \$43,268,000; and FY 09 est \$43,268,000. (Contracts) FY 07 \$1,953,000; FY 08 \$4,187,000; and FY 09 est \$4,177,000.

Range and Average of Financial Assistance:

\$100,000 to \$200,000; \$195,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 203 grants were awarded. It is estimated that 209 grants will

be awarded in fiscal years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature. You may also get information on the Family and Youth Services Bureau website at the following address <http://www.hhs.gov/programs/fysb> under the "Policy and Legislative Documents" link. Information is also available through the National Clearinghouse on Families and Youth, P.O. Box 13505, Silver Spring, MD 20911-3505. Telephone: (301) 608-8098. Fax: (301) 608-8721. The National Clearinghouse on Families and Youth website address is www.ncfy.com.

Regional or Local Office:

Contact: Administration for Children and Families, Family and Youth Services Bureau (See Appendix IV of this Catalog for the addresses of the Regional Offices.)

Headquarters Office:

Acting Associate Commissioner, Family and Youth Services Bureau, Administration for Children and Families, 1250 Maryland Ave, S.W., Washington, DC 20447. Contact: Curtis Porter. Telephone: (202) 205-8306.

Web Site Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.623, Basic Center Grant; 93.674, Chafee Foster Care Independence Program; 93.557, Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth.

EXAMPLES OF FUNDED PROJECTS:

In fiscal year 2007, 203 grants were awarded. In fiscal year 2008, it is anticipated that 209 grants will be awarded and 209 grants will be awarded in FY 2009.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the criteria published in the Funding Opportunity Announcement located on the following website address: http://www.acf.hhs.gov/grants/grants_fysb.html.

93.551 ABANDONED INFANTS

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Abandoned Infants Assistance Act of 1988, Section 104, Public Law 100-505, as amended, Public Law 108-36, 42 U.S.C. 670.

OBJECTIVES:

To develop, implement and operate projects that demonstrate how to: (1) Prevent the abandonment of infants and young children exposed to HIV/AIDS and drugs, including the provision of services to family members for any conditions that increased the probability of abandonment of an infant or young child; (2) identify and address the needs of abandoned infants, especially those born with AIDS and those exposed to drugs; (3) assist these children to reside with their natural families, if possible, or in foster care; (4) recruit, train and retain foster parents for these children; (5) carry out residential care programs for abandoned children and children with AIDS who are unable to reside with their families; (6) establish programs of respite care for families and foster families; (7) recruit and train health and social services personnel to work with families, foster families and residential care staff; and (8) prevent the abandonment of infants and young children by providing needed resources through model programs. This program also funds technical assistance, including training, with respect to the planning, development and operation of the projects.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Funds may be used to accomplish any of the stated program objectives.

Applicant Eligibility:

State or local governments; federally- recognized Indian Tribal governments; U.S. Territories and possessions; and nonprofit organizations and universities

Beneficiary Eligibility:

Infants and children impacted by HIV/AIDS and or substance abuse, their parents, families, and other caretakers.

Credentials/Documentation:

Requirements will be specified in pertinent Federal Register Announcements.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application procedure can be obtained at: <http://www.acf.hhs.gov/grants/index.html> or by contacting Headquarters Office, listed below, for application information.

Award Procedure:

Review is conducted by at least three non-federal professionals. Final funding

decision will be made by Commissioner, ACYF.

Deadlines:

Application deadlines can be obtained via www.ACF.HHS.gov/grants/index.html.

Range of Approval/Disapproval Time:

From 60 to 180 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Grantees are required to show in the cost of the project a minimum cost sharing of 10 percent of the total project cost.

Length and Time Phasing of Assistance:

Grants will be made for a period up to 48 months.

Reports:

Financial reports are required semi-annually; program progress reports are required semi-annually; a final report and an expenditure report are required no later than 90 days after the completion of the project.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be maintained for 3 years.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$11,835,000; FY 08 \$11,628,000; and FY 09 est \$11,628,000.

Range and Average of Financial Assistance:

The range of award amounts is from \$218,996 to \$475,000; The average award is \$475,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007 there were 31 grant awards. In FY 2008 there will be 23 grants awarded. In FY 2009 there will be approximately 23 grants awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature.

Regional or Local Office:

None.

Headquarters Office:

Children's Bureau, 1250 Maryland Avenue, S.W., 8th Floor, Washington, DC 20024. Contact: Patricia Campiglia; Telephone: (202) 205-8060.

Web Site Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Demonstration projects designed to prevent the abandonment of drug-affected and HIV positive infants and young children; to maintain family stability and to reunify and strengthen families impacted by substance abuse and HIV/AIDS; service demonstration projects designed to provide comprehensive, coordinated and community-based social support services to clients in a location accessible to the target population; to provide support for family caregivers, and provide therapeutic services in a recreational setting for HIV-infected or affected children and young people.

CRITERIA FOR SELECTING PROPOSALS:

Contact Headquarters Office for specific criteria.

93.556 PROMOTING SAFE AND STABLE FAMILIES

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, as amended, Title IV, Part B, Subpart 2; Omnibus Budget Reconciliation Act of 1993; Public Law 103-66; Social Security Amendments of 1994, Public Law 103-432; Adoption and Safe Families Act of 1997, Public Law 105-89; Promoting Safe and Stable Families Amendments of 2001, Public Law 107-133.

OBJECTIVES:

To fund family preservation that serve families at risk or in crisis, including the following services: reunification and adoption services, preplacement/preventive services, follow-up services after return of a child from foster care, respite care, services designed to improve parenting skills; and infant safe haven programs; to fund community-based family support services that promote the safety and well-being of children and families, to afford children a safe, stable and supportive family environment, to strengthen parental relationships and promote healthy marriages, and otherwise to enhance child development; to fund time-limited family reunification services to facilitate the reunification of the child safely and appropriately within a timely fashion; and to fund adoption promotion and support services designed to encourage more adoptions out of the foster care system, when adoption, promotes the best interests of the child. In addition, a

portion of funds also is reserved in FY 2008 - FY 2011 for a separate formula grant for States and territories to support monthly caseworker visits with children who are in foster care. A small proportion of appropriated funds is reserved for research, evaluation and technical assistance, which may be awarded competitively through contracts or discretionary grants.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

For the main Promoting Safe and Stable Families Formula Grants, a significant portion of funds must be spent on each of the service categories of family preservation, family support services, time-limited family reunification services and adoption promotion and support services. State grantees must limit administrative expenditures to 10 percent of their allotment. Caseworker visit formula grants: States and territories are required to spend funds to support monthly caseworker visits with children in foster care with a primary emphasis on activities designed to improve caseworker retention, recruitment, training and ability to access the benefits of technology. Discretionary Grants: Grants are awarded for research, evaluation and technical assistance activities relating to family support, family preservation, time-limited family reunification and adoption promotion support.

Applicant Eligibility:

(1) Formula Grants: States, territories and certain Indian Tribes are eligible applicants. For caseworker visit funds, only States and territories are eligible applicants. (2) Discretionary Grants: States, local governments, tribes, public agencies or private agencies or organizations (or combinations of such agencies or organizations) with expertise in providing, evaluating and/or providing technical assistance related to family preservation, family support, time-limited family reunification and adoption promotion and support.

Beneficiary Eligibility:

Families and children who need services to assist them to stabilize their lives, strengthen family functioning, prevent out-of-home placement of children, enhance child development and increase competence in parenting abilities, facilitate timely reunification of the child, and promote appropriate adoptions.

Credentials/Documentation:

Formula Grants: The State agency which administers the social services program under Title XX of the Social Security Act (Social Services Block Grant) must also be the agency which administers the Title IV-B programs. Discretionary Grants: Nonprofit agencies must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with parts 74 and 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

(1) Formula Grants: Not applicable. This program is excluded from coverage under E.O. 12372. (2) Discretionary Grants: Standard application forms as furnished by DHHS must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application Procedure (1) Formula Grants: A 5-year State plan must be submitted. The plan must be jointly developed by the Secretary and the State or Indian Tribe and written after consultation by the agency with appropriate public and non-profit private agencies and community-based organizations. The plan must coordinate the provision of services under Title IV-B with services under other Federal or federally-assisted programs serving the same populations. (2) Discretionary Grants: Announcement of availability of funds is published in the Federal Register. Eligible applicants submit applications by specified deadlines.

Award Procedure:

(1) Formula Grants: Funds will be approved after the State plan, or annual update is submitted and approved. (2) Discretionary Grants: Grant applications are reviewed by a panel of nonfederal professionals with expertise in child and family services, evaluation and related fields. Final decisions are made by the Commissioner, Administration for Children, Youth, and Families (ACYF). Contract proposals are reviewed by a panel of Federal Staff.

Deadlines:

(1) Formula Grants: States, Territories and Indian Tribes are encouraged to submit applications in accordance with Departmental requirements. Contact Headquarters Office listed below for information. (2) Discretionary Grants: Deadlines change from year to year. Each program announcement provides specific deadline information. See www.grants.gov for announcement details.

Range of Approval/Disapproval Time:

Range of Approval/Disapproval Time (1) Formula Grants: The State plan or its annual update is due June 30 of each year. Approval/disapproval is due September 30 of each year. (2) Discretionary Grants: From 3 to 6 months.

Appeals:

Appeals are processed in accordance with Departmental regulations at 45 CFR 16.

Renewals:

None.

Formula and Matching Requirements:

Formula and Matching Requirements: (1) Formula Grants: State allotments are computed based on the number of children receiving food stamps over a three year rolling average. Allotments to Indian Tribes, including Tribal consortia are based on a percent set aside of the total appropriation in each fiscal year and computed based on the number of children in the tribe compared to the total number of children in eligible Indian Tribes. However, grants will not be made to Indian Tribes whose allotment is less than \$10,000. Allotments to Territories are based on the formula in subpart 1 of Title IV-B. Match: FFP is 75 percent of expenditures: grantees must provide a 25 percent match. (2) Discretionary Grants: matching requirements are described in each program announcement.

Length and Time Phasing of Assistance:

(1) Formula Grants: Grant awards will be made quarterly on a fiscal year basis through a letter of credit. The Electronic Transfer System will be used for monthly cash draws from Federal Reserve Banks. (2) Discretionary Grants: Grants are generally available for a 12-, 24-, or 36- month period. Some may be renewed for up to a total of 5 years. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds.

Reports:

(1) Formula Grants: After the end of each of the first four fiscal years, the States, Territories, and Indian Tribes must submit an interim review of progress toward accomplishment of the goals in the State or tribal plan. After the end of the fifth fiscal year, the States and Indian Tribes must perform a final review of progress toward accomplishment of the goals. (2) Discretionary Grants: Financial and program reports are required semiannually with a final report at completion of the project.

Audits:

Audits are conducted in accordance with the requirement of 45 CFR 74.

Records:

(1) Formula Grants: Grantees must report expenditures for the most recent year for which fiscal expenditures are completed and must maintain records which permit review of expenditures in accordance with the provisions of the law. (2) Discretionary Grants: All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1512-0-1-506.

Obligations:

(Grants, Tribal Grants, and Contracts) FY 07 \$381,104,000; FY 08 \$361,222,000; and FY 09 est \$365,222,000.

Range and Average of Financial Assistance:

In FY 2007, an allotment to a State ranged from \$400,925 to \$37,396,892; Tribes received from \$10,046 to \$1,406,177. Discretionary grant awards range from \$100,000 to \$700,000 with the average award being \$250,000 for FY 07, FY 08 and FY 09. For FY2008 and FY2009 the range for States is from approximately \$375,103 to \$34,988,313 and for Tribes \$10,765 to \$1,858,658.

PROGRAM ACCOMPLISHMENTS:

There were 52 State grants awarded (including the District of Columbia and Puerto Rico) and 4 territory grants awarded each fiscal year. 137 Tribes are eligible for grants awards in FY 2007. The increase in eligible Tribes is due to an increase (to 3%) in the tribal set-aside.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1355 and 45 CFR 1357.

Regional or Local Office:

Consult Regional Administrators, Administration for Children and Families. (See Appendix IV of the Catalog for listing).

Headquarters Office:

Headquarters Office: Eileen West, Program Specialist, 1250 Maryland Avenue, SW., Washington, DC 20024. Telephone: (202) 205-8438.

Web Site Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.586, State Court Improvement Program; 93.667, Social Services Block Grant; 93.645, Child Welfare Services State Grants; 93.648, Child Welfare Services Training Grants; 93.659, Adoption Assistance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable for Formula Grants. For Discretionary Grants: collaboration between TANF and Child Welfare to program outcomes; National Resource Center for Family-Centered Practice and Permanency planning; Projects to Develop Programs to Strengthen Marriages.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.557 EDUCATION AND PREVENTION GRANTS TO REDUCE SEXUAL ABUSE OF RUNAWAY, HOMELESS AND STREET YOUTH

(Street Outreach Program - SOP)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF

HEALTH AND HUMAN SERVICES**AUTHORIZATION:**

Violent Crime Control and Law Enforcement Act of 1994, Section 40155, Part A, Public Law 103-322; Runaway, Homeless, and Missing Children Protection Act of 2003, Public Law 108-96 under Part E. Runaway and Homeless Youth Act, 42, USC Sections 5701 through 5752.

OBJECTIVES:

The Street Outreach Program (SOP) makes grants available to nonprofit agencies for the purpose of providing street-based services to runaway, homeless and street youth who have been subjected to, or are at risk of being subjected to, sexual abuse, prostitution, or sexual exploitation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Provide education and prevention services, directly or through collaboration with other agencies, to runaway, homeless and street youth that have been subjected to or are at risk of sexual exploitation or abuse. The goal and design of these efforts is to help young people leave the streets to build positive relationships.

Applicant Eligibility:

Any private, nonprofit agency is eligible to apply. Nonfederally recognized Indian Tribes and urban Indian organizations are eligible to apply for grants as private, nonprofit agencies: (Note: Public agencies are not eligible).

Beneficiary Eligibility:

Runaway and homeless street youth will benefit.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with 45 CFR 74 and 92.

Preapplication Coordination:

Consultation or assistance is available from the Family and Youth Services (FYSB), Administration for Children and Families, Department of Health and Human Services. The standard application forms furnished by DHHS and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the Single Point of Contact (SPOC) in his or her State for more information on the process. (NOTE: State/territory participation in the intergovernmental review process does not signify applicant eligibility for financial assistance under a program. A potential applicant must meet the eligibility requirements of the program for which it is applying prior to submitting an application to its SPOC).

Application Procedure:

Application for Federal Assistance, Standard Form 424 must be submitted. Specific instructions are published via the Internet under the Form section at the following website address: <http://www.acf.hhs.gov/grants>.

Award Procedure:

All applications are reviewed by a panel of nonfederal experts that assigns scores according to the published criteria. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau. Recommendations are made to the ACYF Commissioner, who makes the final funding decisions.

Deadlines:

Application deadlines can be obtained via the Internet at the following website address: http://www.acf.hhs.gov/grants/grants_fysb.html.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None.

Renewals:

Awards are generally made for 3-year project periods; funding for the second and third year is dependent upon satisfactory performance and availability of funds.

Formula and Matching Requirements:

Grantee must provide a nonfederal share or match of at least 10 percent of the Federal funds awarded. The nonfederal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions.

Length and Time Phasing of Assistance:

Grants will be awarded for a period of 36 months.

Reports:

Progress and fiscal reports must be submitted semi-annually. A final program and expenditure report must be submitted within 90 days after the completion of the project period.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$15,024,000; FY 08 \$17,221,000; and FY 09 est \$17,221,000.
(Contracts) FY 07 \$1,118,000; FY 08 \$1,582,000; and FY 09 est \$1,572,000.

Range and Average of Financial Assistance:

\$100,000 to \$200,000 per budget period; \$100,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 136 grants were awarded. It is anticipated that 156 grants will be awarded in fiscal years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature. You may also get information on the Family and Youth Services website at the following address <http://www.acf.hhs.gov/programs/fysb> under the "Policy and Legislative Documents" link. Information is also available through the National Clearinghouse on Families and Youth, 5515 Security Lane Suite 800 North Bethesda, MD 20852. Telephone: (301) 608-8098. Fax: (301) 608-8721. The National Clearinghouse on Families and Youth website address is <http://www.http://ncfy.acf.hhs.gov> and general email address is info@ncfy.com.

Regional or Local Office:

Contact: Administration for Children and Family, Family and Youth Services Bureau, Division of Youth Services. (See Appendix IV of this Catalog for the addresses of the Regional Offices.)

Headquarters Office:

Acting Associate Commissioner, Family and Youth Services Bureau,
Administration for Children and Families, 1250 Maryland Avenue, S.W.,
Washington, DC 20447. Contact: Curtis Porter; Telephone: (202) 205-8306.
Use the same number for FTS.

Web Site Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.623, Basic Center Grant; 93.550, Transitional Living for Homeless Youth.

EXAMPLES OF FUNDED PROJECTS:

In fiscal year 2007, 136 projects provided services for street-based education and outreach, emergency shelter, survival aid, individual assessment, treatment and counseling prevention and education activities, information and referral, crisis intervention, and follow-up support. It is anticipated that 156 grants will be awarded in fiscal years 2008 and 2009.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the criteria published in the Funding Opportunity Announcement located on the following website address:
http://www.acf.hhs.gov/grants/grants_fysb.html. Organizations with experience in providing services to homeless youth are given priority.

93.558 TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

(TANF)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Title IV, Part A, as amended; Personal Responsibility and Work Opportunity Reconciliation Act of 1996, Public Law 104-193; Balanced Budget Act of 1997, Public Law 105-33; Deficit Reduction Act of 2005 (DRA), Public Law 109-171.

OBJECTIVES:

To provide grants to States, Territories, the District of Columbia and federally recognized Indian Tribes operating their own Tribal TANF programs to assist needy families with children so that children can be cared for in their own homes; to reduce dependency by promoting job preparation, work, and marriage; to reduce and prevent out-of-wedlock pregnancies; and to encourage the formation and maintenance of two-parent families.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

States (including the District of Columbia), Territories, or and Federally-recognized Indian Tribes operating their own TANF programs have broad flexibility to use the grant funds in any manner that meets the purposes of the program (including providing low-income households with assistance in meeting home heating and cooling costs) and in ways that the State, Territory, and Tribe was authorized to use funds received under the predecessor Aid to Families with Dependent Children (AFDC), Job Opportunities and Basic Skills Training (JOBS), and Emergency Assistance (EA) programs. States and Territories may also transfer a limited portion of their assistance grant funds to the Child Care and Development Block Grant (CCDBG) and Social Services Block Grant (SSBG) Programs. Not more than 15 percent of any State grant may be spent on administrative costs, exclusive of certain computerization and information technology expenses. Cash grants, work opportunities, and other services are made directly to needy families with children. For Tribal programs, ACF will

negotiate a limitation on administrative costs for the first year of the program's operation not to exceed 35 percent, for the second year of the program's operation not to exceed 30 percent, and then for the third and subsequent years of the program's operation not to exceed 25 percent.

Applicant Eligibility:

In general, all States, Territories, the District of Columbia, and all federally-recognized Tribes in the lower 48 States and 13 specified entities in Alaska are eligible. State and local agencies and Tribes that operate TANF programs must do so under plans determined to be complete or approved by the Department of Health and Human Services (DHHS). For Contingency Funds, all States and the District of Columbia are eligible if they are determined to be a "needy State" by satisfying either an unemployment trigger or a food stamp trigger. Territories and Tribes are not eligible for Contingency Funds.

Beneficiary Eligibility:

Needy families with children, as determined eligible by the State, Territory, or Tribe in accordance with the State or Tribal plan submitted to the Department of Health and Human Services (HHS).

Credentials Documentation:

Federal funds go to the State, Territory, or Tribal agency certified by the Chief Executive Officer. Needy families must meet State or Tribal eligibility requirements.

Preapplication Coordination:

Each State and Territory must develop a State plan. Applicants must consult with local governments and private organizations and provide them 45 days to comment on the plan. Tribes may apply to the Secretary to receive funds and to administer the TANF block grant. Tribes would submit a 3-year family assistance plan. The Secretary, in consultation with the Tribe, would set program requirements and time-limits for receipt of welfare-related services, consistent with the purposes of the program and economic conditions/resources of each tribe. Tribes should contact the OFA Regional TANF Program Manager for Tribal plan submittal procedures. For Contingency Funds, each State must request these funds monthly for each month they meet either the unemployment or food stamp triggers. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Each State plan, including the certifications signed by the Executive Officer (Governor), must be submitted to the Secretary of HHS. Tribes should contact the OFA Regional TANF Program Manager for Tribal plan submittal procedures. For Contingency Funds, each State must request these funds monthly for each month they meet either the unemployment or food stamp triggers.

Award Procedure:

Once a plan is determined complete, or in the case of a Tribe the plan is approved, by the DHHS, Family Assistance Grants are awarded in quarterly payments. Contingency Fund awards will be made monthly.

Deadlines:

Please contact program office for application deadline. Tribal programs do not face a specific application deadline. For Contingency Funds, requests must be submitted 15 days after eligibility has been determined.

Range of Approval/Disapproval Time:

States, Territories, and Tribes implement their assistance programs according to their State and Tribal plans. The Secretary does not have authority to approve or disapprove a State plan, only to determine its completeness. Tribal plans are subject to approval by the Secretary. For Contingency Funds, approval/disapproval will be from 30 to 60 days after receipt of the request for funds.

Appeals:

States, Territories, and Tribes facing an adverse action by the Secretary may appeal under both administrative and judicial procedures. States and Tribes must provide opportunities for recipients who have been adversely affected to be heard in a State and Tribal administrative or appeal process.

Renewals:

States and Territories must periodically (every 2 or 3 years) renew their funding status by submitting their TANF plan. Tribes must renew their funding status every 3 years. For Contingency Funds, requests for additional funding must be made monthly.

Formula and Matching Requirements:

The TANF block grant program has an annual cost-sharing requirement known as "maintenance-of-effort" (MOE). This basically means that every fiscal year, each State receiving Federal TANF funds must spend an applicable percentage of its own money to help eligible families in ways that are consistent with the purposes of the TANF program. The applicable percentage depends on whether the State meets the minimum work participation rate requirements for that fiscal year. If the State does not meet the work participation rates, then it must spend 80 percent of the amount it spent for fiscal year 1994 on AFDC and AFDC-related programs. If the State meets the work participation rates, then the applicable percentage is 75 percent of the amount it spent for fiscal year 1994 on AFDC and AFDC-related programs. Tribes that receive Federal TANF funds to operate their own approved Tribal TANF program have no matching or maintenance-of-effort requirement. In addition to the TANF funds States

receive to operate their TANF programs, needy States may request and receive funds from the Contingency Fund. The Contingency Fund was established for periods when unfavorable economic conditions threaten a State's ability to operate its TANF program. To qualify for Contingency Funds, a State must meet and exceed the Contingency Fund MOE requirement. Under the Contingency Fund MOE requirement, a State must spend 100 percent of the amount it spent for fiscal year 1994 on AFDC and AFDC-related programs. This amount must be spent in the TANF program to help eligible families. (Some of the State funds spent to meet the TANF MOE requirement may also count toward the Contingency Fund MOE requirement.) Federal Contingency Funds are then available to match (at the applicable Federal Medical Assistance Percentage rate, or FMAP) expenditures made in excess of the Contingency Fund MOE requirement. The State's Federal TANF grant for a fiscal year may be reduced for failure to meet any of 14 different program and fiscal requirements. Most of these penalties may be waived either for reasonable cause or, absent reasonable cause, when the State has an approved corrective compliance plan and the State completely corrects or discontinues, as appropriate, the violation within the period covered by the plan. Beginning in late August 2005, access to the Contingency Fund was expanded for a one year period, through August 2006, to States that provided short term non-recurring cash benefits to evacuee families that traveled from the hurricane impacted States of Alabama, Florida, Louisiana, or Mississippi to another State as a result of the hurricane.

Length and Time Phasing of Assistance:

States, Territories, and Tribes are awarded their assistance grants in quarterly payments. They may reserve grant moneys, without fiscal year limitation, for providing assistance. With certain exceptions, most families are limited to no more than 5 years of assistance under the Federal grant. Tribes have the flexibility to establish time limits on receipt of assistance. For Contingency Funds, grant awards are issued monthly to States meeting the eligibility criteria stipulated under Section 101.

Reports:

States, Territories, the District of Columbia and federally recognized Indian Tribes operating their own Tribal TANF programs are required to collect and report to the Secretary on a quarterly basis case record information on the families receiving assistance. States, Territories, and Tribes are also required to report administrative costs and overhead expenditures on programs for needy families, participation by noncustodial parents in work activities, and transitional services provided to former recipients. States and Territories must report child poverty information annually in accordance with a methodology established by the Secretary.

Audits:

Audits shall be conducted by the Inspector General under Chapter 75 of Title 31, United States Code. If a State or Territory is found to have used funds from the State Family Assistance Grant in violation of the statute, the Secretary shall reduce the grant payable to the State for the immediately succeeding fiscal year by that same amount. For Tribes, the Secretary will have the ability to maintain program funding accountability consistent with generally accepted accounting principles and the requirements of the Single Audit Act of 1984 and OMB Circular A-133.

Records:

States, Territories, and Tribes must maintain records containing information the Secretary may require by regulation.

Account Identification:

75-1552-0-1-609.

Obligations:

(State and Tribal Family Assistance Grants): FY 07 \$16,479,811,000; FY 08 \$16,488,667,000; and FY 09 est \$16,488,667,000. (Territory Assistance Grants and Matching Grants): FY 07 \$77,229,000; FY 08 \$92,875,000; and FY 09 est \$92,875,000. (Supplemental Grants for Population Increases): FY 07 \$319,450,000; FY 08 \$319,450,000; and FY 09 est \$319,450,000. (Contingency Funds--estimated ending balance) FY 07 \$1,747,489,000; FY 08 \$1,489,636,000; and FY 09 est \$1,216,784,000.

Range and Average of Financial Assistance:

State Family Assistance Grants are from \$21,781,446 to \$3,733,817,784. Tribal Family Assistance Grants range from \$77,195 to \$31,174,026.

PROGRAM ACCOMPLISHMENTS:

Grants were made to 50 States, the District of Columbia, 3 territories, and 55 tribal plans in fiscal year 2007. While the number of grants to States, the District of Columbia, and the territories remains constant, the number of tribal plans has increased each fiscal year. It is estimated that the number of tribal plans will increase to 60 during FY 2008 and to 65 in FY 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Temporary Assistance for Needy Families (TANF) Final Rule was published in the Federal Register on April 12, 1999 (Vol. 64, No. 69). Program rules for State programs can be found at 45 CFR Parts 260 through 265. The Tribal Temporary Assistance for Needy Families (TANF) Final Rule was published in the Federal Register on February 18, 2000 (Vol. 65, No. 34). Tribal rules can be found at 45 CFR Part 286. The regulations governing bonuses for high performance and reductions in out-of-wedlock child bearing may be found at CFR Part 270 and Part 283, respectively.

Regional or Local Office:

States, Territories, and Tribes should contact the Administration for Children and Families (ACF), Office of Family Assistance (OFA) Regional TANF Program Managers. (See Appendix IV of the Catalog under Department of Health and Human Services, Administration for Children and Families.)

Headquarters Office:

For all grants: Office of the Director, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 5th Floor, Aerospace Building, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401-9275.

Web Site Address:

State TANF: <http://www.acf.dhhs.gov/programs/ofa/>; Tribal TANF: <http://www.acf.dhhs.gov/programs/dts>.

RELATED PROGRAMS:

93.594, Tribal Work Grants; 93.595, Welfare Reform Research, Evaluations and National Studies; 93.596, Child Care Mandatory and Matching Funds of the Child Care and Development Fund; 93.667, Social Services Block Grant.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

93.560 FAMILY SUPPORT PAYMENTS TO STATES- ASSISTANCE PAYMENTS

(Adult Programs in the Territories)

FEDERAL AGENCY:

OFFICE OF FAMILY ASSISTANCE WITHIN THE ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Title IV, Part A, as amended; Public Law 104-193; Title I, 42 U.S.C. 301-306; 42 U.S.C. 1308, Title X, 42 U.S.C. 1201-1206; Title XIV, 42 U.S.C. 1351-1355; Title XVI (AABD), 42 U.S.C. 1381 note - 1385 note; Public Laws 97-35, 97-248, 97-300, 98-369, 100-485, and 103-66.

OBJECTIVES:

To provide aid to the aged, blind, and the permanently and totally disabled in Guam, Puerto Rico, and Virgin Islands.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Cash payments are made to eligible needy aged, blind, or disabled persons in Guam, Puerto Rico, and the Virgin Islands. These payments are to cover costs for food, shelter, clothing, and other daily living needs recognized as necessary by each Territory's program. In addition, an individual must be at least age 65 to receive assistance for the aged; must be determined blind to receive aid to the blind; or must be at least eighteen years of age and totally disabled to receive aid to the permanently and totally disabled.

Applicant Eligibility:

Agencies must operate under Department of Health and Human Services (HHS) approved plans and agreements, which must comply with all Federal statutory and regulatory requirements governing these programs. The applicant must be needy -- i.e., meet specific income and resource requirements. In addition, the individual must be at least age 65 to receive assistance for the aged; must be determined blind to receive aid to the blind; or must be at least eighteen years of age and totally disabled to receive aid to the permanently and totally disabled.

Beneficiary Eligibility:

The beneficiary must be needy -- i.e., meet specific income and resource requirements. In addition, the individual must be at least age 65 to receive assistance for the aged; must be determined blind to receive aid to the blind; or must be at least eighteen years of age and totally disabled to receive aid to the permanently and totally disabled. These programs also have specific unearned and earned income disregards that are applied in determining the amount of assistance payable.

Credentials/Documentation:

Federal funds must go to a certified Territorial welfare agency. Individuals must meet all eligibility requirements. Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments, the Social Security Act, and Federal Regulations.

Preapplication Coordination:

The standard application forms, as furnished by DHHS and required by OMB Circular No. A-102 for State and local governments must be used for this program. Applications are available from the Administration for Children and Families (OFA/ACF) Regional Offices. (See Appendix IV of the Catalog for addresses.) This program is excluded from coverage under E.O. 12372.

Application Procedure:

Eligible individuals should apply directly to their Territorial or local welfare agency. The Territories should contact the Regional TANF program manager for application forms. (See Appendix IV of the Catalog for addresses.)

OFA/Regional Temporary Assistance for Needy Families (TANF) program managers have authority to approve applications and amendments; disapproval authority is held by the Director of the Office of Family Assistance, in the Administration for Children and Families. Territories contact the Regional TANF program manager for applications for administrative funds. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Once an application is approved, Territories are awarded funds quarterly based on ACF-approved estimates of maintenance assistance and administrative costs. Notification of awards must be made to the designated Territorial agency. Eligible individuals receive monthly subsistence checks from Territorial welfare agencies.

Deadlines:

Individuals residing in Guam, Puerto Rico, or the Virgin Islands who are aged, blind, or disabled may apply anytime for this assistance at their local welfare agency.

Range of Approval/Disapproval Time:

Welfare agencies must have a time standard for notifying applicants of approval/disapproval; not over 45 days after application, except 60 days for aid to disabled.

Appeals:

Individuals denied assistance must be given a fair hearing on appeal (45 CFR, Chapter 2, Section 205.10). Territorial agencies may request a hearing before the Assistant Secretary for Family Support regarding adverse decisions (45 CFR, Chapter 2, Section 201.14; 45 CFR, Part 16).

Renewals:

The agency must conduct periodic reviews to determine whether conditions justifying eligibility still exist.

Formula and Matching Requirements:

Formula and Matching Requirements: Essentially the Federal share of expenditures for Old Age Assistance, Aid To The Blind, and Aid To The Permanently and Totally Disabled programs is equal to about 75 percent, not to exceed a maximum amount per recipient. However, there is a statutory limit on the total Federal matching or grant payments that Puerto Rico, the U.S. Virgin Islands and Guam may receive for programs that are subject to this payment ceiling. These programs include the above-named Adult programs, the TANF Program, the Federal Foster Care, Adoption Assistance, Independent Living Programs authorized under Title IV-E of the Social Security Act, and the Federal Matching Grant program under section 1108(b) of the Social Security Act. If the Territory has not exceeded its payment ceiling amount for the fiscal year, then the Territory may request and receive Federal matching funds for the above-named Adult programs.

Length and Time Phasing of Assistance:

Individuals receive support from the Territorial or local welfare agency until they no longer meet the eligibility requirements.

Reports:

Territory must submit fiscal FSA-231 and statistical reports as required by the Secretary of HHS to ACF. A Treasury Report, TUS- 5104, is required from the States each time funds are drawn and no less frequently than monthly.

Audits:

Audits are performed by the HHS Office of Inspector General. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Territories must maintain records which substantiate eligibility determination and grants that are made.

Account Identification:

75-1501-0-1-609.

Obligations:

(Formula Grants) FY 07 \$32,808,000; FY 08 \$35,000,000; and FY 09 est \$35,000,000.

Range and Average of Financial Assistance:

\$700,000 to \$21,000,000; \$7,700,000.

PROGRAM ACCOMPLISHMENTS:

There were 3 awards made to the territories of Puerto Rico, Guam and the Virgin Islands in fiscal years 2006, 2007 and 2008. Similar awards will be made in fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACF regulations are published in the Federal Register, 45 CFR 200 et seq.

Regional or Local Office:

Individuals needing assistance should contact the local welfare agency. Territories should contact OFA/ACF Regional Offices. (See Appendix IV of the Catalog Department of Health and Human Services, Administration for Children

and Families.)

Headquarters Office:

Office of the Director, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 5th Floor, Aerospace Building, 370 L'Enfant Promenade, S.W., Washington, DC 20447. Telephone: (202) 401-9275.

Web Site Address:

<http://www.acf.dhhs.gov/programs/ofa>.

RELATED PROGRAMS:

93.563, Child Support Enforcement; 93.778, Medical Assistance Program; 96.001, Social Security_Disability Insurance; 96.006, Supplemental Security Income.

EXAMPLES OF FUNDED PROJECTS:

Assistance payments made to eligible needy aged, blind, or totally disabled persons in Guam, Puerto Rico, and the Virgin Islands.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.563 CHILD SUPPORT ENFORCEMENT

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Title IV-D, as amended, Public Laws 94-46, 94-88, 94-365, 95-30, 95-59, 95-171, 95-598, 96-178, 96-265, 96-272, 96-611, 97-35, 97-248, 98-369, 98-378, 100-485, and 101- 508; 15 U.S.C. 1673; 26 U.S.C. 6103(j); 26 U.S.C. 6305; 26 U.S.C. 6402(c); 29 U.S.C. 49b; 42 U.S.C. 651-667; 42 U.S.C. 1310; 42 U.S.C. 1315.

OBJECTIVES:

To enforce the support obligations owed by absent parents to their children, locate absent parents, establish paternity, and obtain child, spousal and medical support.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

States and some Tribes provide support enforcement services directly to individuals who are receiving federally-funded Foster Care Maintenance Payments, Medicaid, Temporary Assistance to Needy Families (TANF) (or those who cease to receive TANF), and to individuals not otherwise eligible for such services. TANF, Medicaid, and certain federally-funded Foster Care applicants or recipients must have assigned support rights to the State. Non-TANF individuals other than those who cease to receive TANF and/or who provide authorization to the IV-D agency to continue support enforcement services, must have signed a written application for support enforcement services. The State must provide services to locate absent parents, establish paternity and enforce support obligations.

Applicant Eligibility:

All States, the District of Columbia, Puerto Rico, Virgin Islands, and Guam. Each of these jurisdictions is required to establish or designate a single and separate State Child Support Enforcement Agency. Tribes are eligible and we currently have seventeen tribal child support programs and 23 in the start-up phase.

Beneficiary Eligibility:

The State must provide support enforcement services to: (1) All applicants for, or recipients of TANF, Foster Care Maintenance Payments, and Medicaid, for whom an assignment to the State of support rights has been made and who are in need of such services; (2) all individuals who cease to receive TANF; (3) individuals who provide authorization to the IV-D agency to continue support enforcement services; and (4) any other individual who is in need of such services and who has applied for them.

Credentials/Documentation:

The State IV-D agencies must be operating in accordance with an approved Title IV-D State plan. Costs eligible for Federal funding will be determined in accordance with OMB Circular No. A-87 and 45 CFR 304. Tribes must submit a Tribal IV-D application and plan and upon approval receive funding to operate a IV-D program.

Preapplication Coordination:

State officials prepare and review state plans and amendments. Regional Office staff are available for consultation or assistance in preparation of State plans and amendments. The standard application forms, as furnished by Department of Health and Human Services (DHHS) and required by OMB Circular No. A-102, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. High level State officials review State Plans and amendments before they are submitted. Regional ACF Office staff are available for consultation or assistance in preparation of State plans and amendments. The standard application forms,

as furnished by Department of Health and Human Services (DHHS) and required by OMB Circular No. A-102, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications are made in the form of a State Plan, prepared in the format provided by the Office of Child Support Enforcement. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

States are awarded funds quarterly based on their estimates of funds needed to provide support enforcement services to individuals eligible under an approved Title IV-D State plan.

Deadlines:

State estimates must be submitted 60 days prior to the period of the estimate.

Range of Approval/Disapproval Time:

State Plans and amendments submitted as new State Plans, and Plan amendments may require up to 90 days for approval.

Appeals:

The State may request reconsideration of plan disapproval or plan amendment disapproval within 60 days after that disapproval.

Renewals:

Once approved, State IV-D plans remain in effect until amended or revised.

Formula and Matching Requirements:

Federal Financial Participation (FFP) for States and territories is 66 percent of the administrative costs incurred by the State pursuant to an approved Title IV-D State plan. This includes costs incurred by certain court and law enforcement officials pursuant to cooperative agreements with the IV-D agency. Incentive payments are made to States from the Federal share of TANF recoupments. Tribal Child Support programs receive 90 percent Federal Financial Participation during the first three years of operation of the program. Subsequently, Tribal IV-D programs receive 80 percent. Tribes without a IV-D program, may apply for 100 percent funding to put a IV-D program into place.

Length and Time Phasing of Assistance:

Federal funds are awarded quarterly on a fiscal year basis. The Electronic Transfer System is used for monthly cash draw from Federal Reserve Banks based on quarterly awards.

Reports:

Quarterly financial reports of administrative expenditures and child support collections and annual program reports including caseload data are required by Office of Child Support Enforcement (OCSE).

Audits:

Periodic audits are made as part of the recipient's systems of financial management and internal control in accordance with Public Law 98-502, the Single Audit Act of 1984. "In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133." In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. OCSE conducts data reliability audits of variables used to compute performance measures. These audits were mandated by The Child Support Performance and Incentive Act of 1998 (CSPIA).

Records:

The IV-D agency must maintain records necessary for the proper and efficient administration of the State IV-D plan.

Account Identification:

75-1501-0-1-609.

Obligations:

(Grants) FY 07 \$4,395,600,000; FY 08 est \$4,201,182,000; and FY 09 est \$3,825,078,000.

Range and Average of Financial Assistance:

In FY 07, from \$776,584,564 to \$7,725,312; \$48,303,297; FY 08, from \$737,583,563 to \$7,337,338; \$38,542,954; FY 09, from \$667,998,192 to \$6,645,116; \$35,092,459.

PROGRAM ACCOMPLISHMENTS:

In all fiscal years, 54 grants are expected to be awarded to States. Tribal programs received \$19,652,754 in FY 07; estimated \$45,000,000 in FY 08 and estimated \$61,000,000 in FY 09. Child Support collections were \$25 billion in FY 07, estimated \$25 billion in FY 08; and estimated \$26 billion in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR, Chapter III, 45 CFR 16, 45 CFR 74, 45 CFR 95, 45 CFR 213, 45 CFR 232.

Regional or Local Office:

Regional Representative, Department of Health and Human Services. (See Appendix IV of the Catalog for addresses and telephone numbers.)

Headquarters Office:

Joyce Pitts, Director of the Planning, Research and Evaluation Division in the Office of Child Support Enforcement, Department of Health and Human Services, 4th Floor, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401- 5374. FTS is not available.

Web Site Address:

<http://www.acf.dhhs.gov/programs/cse>.

RELATED PROGRAMS:

93.558, Temporary Assistance for Needy Families; 93.597, Grants to States for Access and Visitation Programs; 93.658, Foster Care Title IV-E.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.564 CHILD SUPPORT ENFORCEMENT RESEARCH

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Section 1110, 42 U.S.C. 1310, Section 1115, 42 U.S.C. 1315, as amended, Public Laws 96-265, 98-378, 100-485, and 100-517.

OBJECTIVES:

To discover, test, demonstrate, and promote utilization of new concepts which will increase cost effectiveness, reduce welfare dependency, and increase child support collections from absent parents.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are awarded for innovative research and demonstrations of regional and national significance that are responsive to program priorities in Child Support Enforcement, and for coordination, administration and provision of services to target populations. All applications must meet standards of excellence in research and evaluation design. Funds authorized under Section 1115 of the Social Security Act are limited to State agencies administering the Child Support Enforcement Program or their umbrella agencies. All applications must outline explicitly the information sought about the administration, or effects of the proposed research and how the activity will be evaluated. Funds may not be used for construction. A Section 1115 waiver of any of the State Plan requirements under Title IV-D of the Social Security Act may be granted in connection with any experimental pilot or demonstration project that will assist in promoting the objectives of the Child Support Enforcement program and meets certain conditions.

Applicant Eligibility:

Section 1115 grants may be made only to State Child Support Enforcement agencies or their umbrella agencies.

Beneficiary Eligibility:

State agencies, local governments, nonprofit organizations, and for-profit organizations.

Credentials/Documentation:

When appropriate, applicants should present written evidence of other agencies' willingness to cooperate when the project involves their cooperation or the utilization of their facilities or service. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and federally-recognized tribal governments. For other grantees, costs will be determined in accordance with OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit organizations, and any amendments to the aforementioned.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

This program is subject to the provisions of OMB Circulars No. A-102 and No. A-110, as appropriate. The standard application forms, as furnished by DHHS and required by OMB Circular No. A-102, must be used for this program.

Application kits which contain the prescribed application forms and supplemental descriptive information on the priority projects are available from: Administration for Children and Families, Office of Grants Management, Director, Division of Discretionary Grants, 6th Floor East, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401-4577. Contact: Daphne Weeden, Director, Division of Discretionary Grants. To be considered for a grant award, all applications must be submitted on the prescribed application forms and submitted to the Division of Grants Management. The application shall be executed by an individual authorized to act for the applicant agency or organization and to assume for the agency or organization the obligations imposed by the terms and conditions of the grant. As part of the project title (page 1 of the application form, Form SF-424) the applicant must clearly indicate whether the application submitted is in response to a priority project identified in a program announcement and must reference the unique project identifier (e.g., OCSE-84) for which the application is to compete.

Applications are initially screened for relevance to program interests of the Office of Child Support Enforcement (OCSE). Ineligible applications will be returned to the applicant. Eligible applications are reviewed and evaluated by a review panel of not less than three knowledgeable people. A limited number of projects may be approved pending available funds and will compete with other nonpriority projects. Written assessment of each application is made. This program is excluded from coverage under E.O. 12372.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Notice of Grant Award. This provides the amount of funds awarded, the purpose of the award, the term and conditions of the award, the budget period for which support is given, the total project period for which support is contemplated, and the total grantee participation.

Deadlines:

Closing dates are established and published as program announcements in letters to State IV-D directors. Unsolicited applications may be submitted at any time.

Range of Approval/Disapproval Time:

From 30 to 120 days. Generally, solicited grants will be acted upon within 90 days.

Appeals:

There are no formal appeals procedures. If an application for a grant is disapproved, applicants may request the reasons for disapproval. In the case of unsolicited proposals, applicants are free to resubmit applications with attention to the changes suggested by the reasons for disapproval.

Renewals:

Extensions and continuations of grants with 1-year project periods are generally not available except under extreme circumstances. If an application is recommended for approval for 2 or more years, the grantee must submit a formal request for continuation each year accompanied by a progress report which will be evaluated prior to a recommendation of continuation. This is not applicable to contracts.

Formula and Matching Requirements:

Grantees are required to share in the cost of projects. Grantee costs can be as low as 5 percent without approval by the Secretary for Health and Human Services.

Length and Time Phasing of Assistance:

Grants are typically three years in length. For multi-year projects, support beyond the first year is contingent upon acceptable evidence of satisfactory progress and availability of funds.

Reports:

Quarterly narrative program and semi-annual expenditure reports are required for all grant projects.

Audits:

All fiscal transactions identifiable to Federal financial assistance are subject to audit by the HHS audit agency, and other Federal officials. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year except as noted in Circular No. A-133.

Records:

Proper accounting records, identifiable by grant number act number and including all receipts and expenditures must be maintained for 3 years in accordance with 45 CFR Part 92. Subsequent to audit, they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1501-0-1-609.

Obligations:

(Grants) FY 07 \$1,800,000; FY 08 est \$1,800,000; and FY 09 est \$1,800,000.

Range and Average of Financial Assistance:

FY 2007 \$60,000 to \$150,000.

PROGRAM ACCOMPLISHMENTS:

Six new grants were awarded and 12 grants were continued in fiscal year 2007. It is estimated that 8 new grants will be awarded and 6 will continue for a second year of funding in fiscal year 2008. It is estimated that 8 new grants will be awarded and 6 will be funded for a second year and 6 will be funded for a third year in fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

The pamphlets "Research Grants in Social Security," "Grants Administration Policies," and application kits may be obtained from the ACF, Office of Grants Management, Division of Discretionary Grants, 4th Floor, 370 L'Enfant Promenade, SW., Washington, DC 20447.

Regional or Local Office:

Regional Administrators. (See Appendix IV of the Catalog for Regional Offices).

Headquarters Office:

Karen H. Anthony, 1115 Grants Manager, Office of Child Support Enforcement, Department of Health and Human Services, 4th Floor, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 690-6275. FTS is not available.

Web Site Address:

<http://www.acf.hhs.gov/programs/cse>.

RELATED PROGRAMS:

93.601, Child Support Enforcement Demonstrations and Special Projects; 93.558, Temporary Assistance for Needy Families; 93.563, Child Support Enforcement; 93.597, Grants to States for Access and Visitation Programs; 93.647, Social Services Research and Demonstration; 93.779, Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations.

EXAMPLES OF FUNDED PROJECTS:

In fiscal year 2006, 6 projects were funded in the following areas: timely review and adjustment processes; mediation; and collaboration protocols between child support and child welfare.

CRITERIA FOR SELECTING PROPOSALS:

(1) Objectives and need for assistance; (2) approach; (3) competence of staff; (4) independent evaluation; and (5) reasonableness of budget.

93.566 REFUGEE AND ENTRANT ASSISTANCE-STATE ADMINISTERED PROGRAMS

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Refugee Act of 1980, Section 412, 94 Stat. 111, 8 U.S.C. 1522, as amended, Public Laws 96-212, 97-363 and 99-605; Refugee Education Assistance Act of 1980, Title V, 94 Stat. 1809, 8 U.S.C. 1522 note, as amended, Public Laws 96-422, 96-424, 97-35, 100-436, 101-166, 101-302, 101-517, 102-170, 110-161, and 110-181.

OBJECTIVES:

To reimburse States for assistance provided to refugees, asylees, Cuban and Haitian entrants, victims of a severe form of trafficking, certain Amerasians from Viet Nam, and Iraqi and Afghan Special Immigrant Visa Holders (SIVs) for resettlement by funding cash assistance, medical assistance, and social services for the eligible population. State agencies may purchase training and services from other providers. Refugees in the U.S. may be assisted regardless of national origin.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Assistance is limited to refugees, asylees, Cuban and Haitian entrants, certain Amerasians from Viet Nam, and victims of a severe form of trafficking as defined in the authorizing Acts, supplemented by appropriate documentation provided to the refugee from the United States Citizenship and Immigration Services (USCIS), formerly Immigration and Naturalization Services (INS). Federal policy governs other eligibility factors. The scope of services for which funds are available to State and local welfare and health agencies for assistance on behalf of eligible refugees is similar to that in regular domestic public assistance programs.

Applicant Eligibility:

The agency designated by the State as responsible for the Refugee Resettlement Program is eligible to receive awards for providing cash and medical assistance and social services to eligible refugees.

Beneficiary Eligibility:

Refugees, Cuban and Haitian entrants, Amerasian immigrants from Viet Nam, asylees, certified victims of a severe form of trafficking, and Iraqi/Afghan SIVs.

Credentials/Documentation:

Persons for whom assistance is authorized are limited to refugees with documentation from the USCIS as to refugee status, to certain Amerasian immigrants and their accompanying family members, to Cuban and Haitian entrants who meet definitions under Title V of the Refugee Education Assistance Act of 1980, asylees, and victims of a severe form of trafficking who have received a certification or eligibility letter from ORR.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Upon submittal of approved State plans and annual State estimates, agencies are eligible for Federal funds to be expended on behalf of refugees. This program is subject to the provisions of OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Grants are made to designated State agencies. State agencies submit expenditure and program performance reports three times each year.

Deadlines:

Deadlines are set in accordance with 45 CFR Part 400 and other instructions to States.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Annual.

Formula and Matching Requirements:

The program provides, to the extent of available appropriations, cash and medical assistance and social services to or on behalf of eligible refugees, Amerasians from Viet Nam, asylees, Cuban and Haitian entrants, and certified victims of a severe form of trafficking. There are no matching requirements.

Length and Time Phasing of Assistance:

Funds are awarded on a quarterly basis. Funds for Cash and Medical Assistance must be obligated within the fiscal year of appropriation and liquidated within the next fiscal year. Funds for social services must be obligated by end of the fiscal year after the fiscal year of appropriation and liquidated within the following fiscal year.

Reports:

State agencies submit expenditure and program performance reports three times a year.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

The State agency must maintain financial records for three years after final payment or of the time period for the particular records in Parts 1-20 of Federal Procurement Regulations, whichever expires earlier.

Account Identification:

75-1503-0-1-609.

Obligations:

FY 07 \$151,763,000; FY 08 \$207,865,000; and FY 09 est \$198,808,000.

Range and Average of Financial Assistance:

In FY 2007, grant awards ranged from \$12,000 to \$51,632,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 48 grants were awarded. It is anticipated that 46 grants will be awarded in FY 08 and FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 400, Subpart E, F and Subpart G. Various materials are available on request from the Office of Refugee Resettlement, Administration for Children and Families, Department of Health and Human Services, Washington, DC 20447.

Regional or Local Office:

None.

Headquarters Office:

Office of Refugee Resettlement, Administration for Children and Families, Department of Health and Human Services, 8th Floor West, 370 L'Enfant Promenade, SW., Washington, DC 20447. Henley Portner. Telephone: (202) 401-5363. Henley.Portner@acf.hhs.gov.

Web Site Address:

<http://www.acf.hhs.gov/programs/orr>.

RELATED PROGRAMS:

93.567, Refugee and Entrant Assistance_Voluntary Agency Programs; 93.576, Refugee and Entrant Assistance_Discretionary Grants; 93.583, Refugee and Entrant Assistance_Wilson/Fish Program; 93.584, Refugee and Entrant Assistance_Targeted Assistance Grants.

EXAMPLES OF FUNDED PROJECTS:

(1) Cash assistance for up to 8 months, (2) case management, (3) employment services, and (4) English language training concurrent with employment services.

CRITERIA FOR SELECTING PROPOSALS:

States must have submitted an approved State Plan.

93.567 REFUGEE AND ENTRANT ASSISTANCE-VOLUNTARY AGENCY PROGRAMS

(Matching Grant Program)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Refugee Act of 1980, Section 412, Public Law 96- 212, 94 Stat. 111, 8 U.S.C. 1522, as amended, Public Laws 97-363, 99-605, 101-167, and 101-517.

OBJECTIVES:

To assist refugees in becoming self-supporting and independent members of American society, by providing grant funds to private nonprofit organizations to support case management, transitional assistance, and social services for new

arrivals.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Federal funds of up to \$2,200 per refugee are provided on a matching basis of \$2.20 of Federal funds matched by \$1.00 of private funds and in-kind contributions. Required services include case management, job development, and transitional support for four to six months. Funds may also be used for English language training concurrent with employment services, job training, medical support, and cash allowance.

Applicant Eligibility:

Grant awards are limited to States and private, nonprofit organizations which have a Reception and Placement Cooperative Agreement with the Department of State or Department of Homeland Security.

Beneficiary Eligibility:

Refugees must be enrolled within 31 days of arrival. Entrants/asylees must be enrolled within 31 days of granting of parole or asylum.

Credentials/Documentation:

Newly arriving refugees with an employable refugee in the case as evidenced in the documentation from the United States Citizenship and Immigration Services (USCIS), formerly the Immigration and Naturalization Services (INS). Individuals accorded refugee, Cuban and Haitian entrant, asylee status are eligible, as are certified victims of a severe form of trafficking. Cost principles for nonprofit organizations will be determined by OMB Circular No. A-122. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure:

Eligible applicants submit an application to HHS. This document includes a detailed program proposal and budget. Subsequent to review by an independent panel, the Director of the Office of Refugee Resettlement makes final funding decisions and grant awards are issued.

Deadlines:

Deadlines are established in the notice of funds availability. The notice of funds availability is posted on Grants.gov and on the ORR website at www.acf.hhs.gov/programs/orr.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Contingent of funds availability, grantee performance, and the best interest of the government.

Formula and Matching Requirements:

Grants are awarded on a basis of \$2.20 in Federal funds for each \$1.00 of private funds up to a maximum of \$2,200 per refugee. Up to 80 percent of the nonfederal share may be through in-kind donations, with a minimum required cash match of 20 percent.

Length and Time Phasing of Assistance:

Funds are awarded with a 3-year project period and incremental 1-year budget periods.

Reports:

Program progress reports are required every four months; and financial status reports are required semi-annually. An interim final financial status report is due 90 days after the program period has ended; and a final financial status report is due 210 days after the project period.

Audits:

Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain individual case records on all eligible refugees counted as receiving match grant services.

Account Identification:

75-1503-0-1-609.

Obligations:

FY 07 \$59,998,400; FY 08 \$59,998,400; and FY 09 est \$6,000,000.

Range and Average of Financial Assistance:

The range of grant amounts in FY 2007 was from \$1,317,800 to \$16,981,800.

The range of grant amounts in FY 2008 was from \$1,485,000 to \$18,268,800.

PROGRAM ACCOMPLISHMENTS:

In FY 07, the nine (9) voluntary agencies continued to serve refugees eligible for the Matching Grant program and are expected to continue through FY 2008 and FY 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the Information Contact listed below.

Regional or Local Office:

None.

Headquarters Office:

Ron Munia, Office of Refugee Resettlement, Administration for Children and Families, Department of Health and Human Services, 8th Floor, West, 370 L'Enfant Promenade, S.W., Washington, DC 20447. Telephone: (202) 401-4559.

Web Site Address:

<http://www.acf.hhs.gov/programs/orr>.

RELATED PROGRAMS:

93.566, Refugee and Entrant Assistance State Administered Programs; 93.576, Refugee and Entrant Assistance Discretionary Grants; 93.584, Refugee and Entrant Assistance Targeted Assistance Grants.

EXAMPLES OF FUNDED PROJECTS:

In FY 2007, the nine voluntary agencies (volags) continued to serve match grant refugees in approximately 230 match grant sites nationwide.

CRITERIA FOR SELECTING PROPOSALS:

Matching grant awards are made on the basis of the evaluation criteria in the notice of funding availability.

93.568 LOW-INCOME HOME ENERGY ASSISTANCE

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Low Income Home Energy Assistance Act of 1981, Title XXVI of the Omnibus Budget Reconciliation Act of 1981, as amended; Energy Policy Act of 2005 Public Law 109-58.

OBJECTIVES:

To make Low Income Home Energy Assistance Program (LIHEAP) grants available to States and other jurisdictions to assist eligible households to meet the costs of home energy. Supplemental Leveraging Incentive Funds may be awarded to reward States and other jurisdictions that provide additional benefits and services to LIHEAP-eligible households beyond what could be provided with Federal funds. Up to 25 percent of the leveraging incentive funds may be set aside for LIHEAP grantees that provide services through community-based nonprofit organizations to help LIHEAP-eligible households reduce their energy vulnerability under the Residential Energy Assistance Challenge Program (REACH). Training and Technical Assistance: To provide training and technical assistance to States and other jurisdictions administering the LIHEAP block grant program.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Energy Assistance Block Grants: Funds are awarded to States and other jurisdictions, which then make payments directly to an eligible low-income household or, on behalf of such household, to an energy supplier to assist in meeting the cost of home energy. Up to 10 percent of these funds may be used for State and local planning and administration. Up to 15 percent may be used for low-cost residential weatherization. Grantees may request that DHHS grant a waiver for the fiscal year that increases from 15 percent to 25 percent funds that can be allotted for residential weatherization. Depending upon specific appropriations, DHHS may allocate supplemental LIHEAP leveraging incentive funds to grantees that have acquired nonfederal leveraged resources in order to provide additional benefits and services to LIHEAP-eligible households to help them meet their home heating and cooling needs. Up to 25 percent of leveraging incentive funds may be allocated by DHHS to LIHEAP grantees that provide services through community-based nonprofit organizations to LIHEAP-eligible households to reduce their energy vulnerability, under the Residential Energy Assistance Challenge Program (REACH). Up to \$600,000,000 is authorized as emergency contingency funds to be used to meet additional needs arising from a natural disaster or other emergency. Such funds will be made available only after submission to Congress of a formal budget request for all or part of the funds by the President that designates the amount of the request as an emergency under the Balanced Budget and Emergency Deficit Control Act of 1985. Training and Technical Assistance: The Secretary has authority to set aside up to \$300,000 from each year's appropriation for training and technical assistance relating to the Low-Income Home Energy Assistance Program. These T/TA activities are accomplished through: grants, contracts, or jointly financed cooperative or interagency agreements with States, Indian tribes, tribal organizations, public agencies, or private nonprofit organizations; through interagency agreements, including with Federal agencies; or through on-site compliance reviews of LIHEAP grantees. The nature of and amount awarded for training and technical assistance vary from year to year.

Applicant Eligibility:

Energy Assistance Block Grants: All States, the District of Columbia, federally- and State-recognized Indian Tribal governments which request direct funding, and specified Territories may receive direct grants. The prospective grantee must submit an annual application. Grantees desiring leveraging incentive funds and REACH funds must submit special applications each year. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: States, Indian tribes or tribal organizations, Territories, public agencies, and private nonprofit organizations may apply. Nothing in the statute precludes a business concern that applies jointly with a private nonprofit organization from receiving a training and technical assistance grant.

Beneficiary Eligibility:

Energy Assistance Block Grants: All States, the District of Columbia, federally- and State-recognized Indian Tribal governments that request direct funding, and specified Territories may provide assistance to households with incomes up to the greater of 150 percent of the poverty level or 60 percent of the State median income. Grantees may establish lower income eligibility levels, but they may not set the limit below 110 percent of the poverty level. Training and Technical Assistance: States, Indian tribes or tribal organizations, Territories, public agencies, and private nonprofit organizations may apply. Nothing in the Statute precludes a business concern that applies jointly with a private nonprofit organization from receiving a training and technical assistance grant.

Credentials/Documentation:

Energy Assistance Block Grants: The chief executive officer of the State, Tribe or Territory or his designee must certify to 16 assurances required by law. Households must meet beneficiary eligibility requirements. Grantees desiring leveraging incentive funds or REACH funds must submit annual applications. Instructions will be issued if emergency contingency funds are released. This program is excluded from coverage under OMB Circular No. A-87. Training and Technical Assistance: Requirements vary and are at www.grants.gov, www.fedbizopps.gov.

Preapplication Coordination:

Energy Assistance Block Grants: Requirements for applications are set forth in Section 2605 of the authorization. There is no required format for applications but a model plan application is available. Prospective grantees may contact the Office of Community Services Headquarters Office for assistance. (See Information Contacts below.) Prospective grantees for leveraging incentive funds or REACH funds must submit applications in a format prescribed by HHS. Instructions will be issued if emergency contingency funds are released. This program is excluded from coverage under E.O. 12372. Training and Technical Assistance: Requirements that apply to each request for proposals vary and are at www.grants.gov, www.fedbizopps.gov.

Application Procedure:

Energy Assistance Block Grants: Applications are submitted by the chief executive officer of a State, Indian Tribe (recognized by the Federal government or a State government), tribal organization, or Territory, or his designee. Applications contain assurances prescribed by law and a plan describing how certain assurances will be carried out, and other information specified by law. Separate applications are required to qualify for leveraging incentive funds and REACH funds. Instructions will be issued if emergency contingency funds are released. Households wishing to apply for benefits should contact the local welfare agency or community action agency for information regarding the agency administering the program in their jurisdiction. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110. Training and Technical Assistance: Requirements that apply to each request for proposals vary and are at www.grants.gov, www.fedbizopps.gov.

Award Procedure:

Energy Assistance Block Grants: Grants are made upon receipt of a complete application by the Office of Community Services Headquarters Office. Leveraging incentive funds and REACH funds will be awarded based on applications submitted by grantees. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: Procedures that apply to each request for proposals vary and are at www.grants.gov, www.fedbizopps.gov.

Deadlines:

Energy Assistance Block Grants: States and Territories must submit their applications by September 1, prior to the fiscal year for which funds are sought, unless the Department agrees to a later date. Tribal applications must also be submitted by September 1, prior to the fiscal year for which the funds are sought, unless the State in which a tribe is located agrees to a later date. All applications must be completed by December 15, of the fiscal year for which funds are sought, unless the Department (in the case of States and Territories) or the State (in the case of tribal grantees) agrees to a later date. Leveraging incentive fund reports are due by November 30 of each year. Contact Headquarters Office listed below for further information. www.grants.gov, www.fedbizopps.gov.

Range of Approval/Disapproval Time:

Energy Assistance Block Grants: The Department reviews plans for completeness and will act on the plans submitted as quickly as possible. Leveraging incentive and REACH applications will be reviewed and acted upon

as quickly as possible. Training and Technical Assistance: Range of time varies, depending upon the nature and complexity of a request for proposals.

Appeals:

Energy Assistance Block Grants: Grantees may request a hearing regarding repayment of funds or withholding of funds under Section 2608 of the authorization. Training and Technical Assistance: Not applicable for unsuccessful applicants for grants or cooperative agreements. For contracts, offerors may object to a contract award by filing a protest with the contracting officer or higher authority. The notification issued by the contracting office to unsuccessful bidders contains the procedures and time frame for filing a protest.

Renewals:

Energy Assistance Block Grants: Annual applications are required. Separate annual applications are also required for leveraging incentive funds and REACH funds. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: Award periods may vary and are at www.grants.gov, www.fedbizopps.gov.

Formula and Matching Requirements:

Energy Assistance Block Grants: There is no requirement for matching Federal funds with grantee funds. Allocations to grantees are based on the formulas described in Section 2604 of the authorizing statute. Allocations for leveraging incentive funds are based upon the amount of leveraging activity carried out by the grantee in the previous year as a proportion of its regular LIHEAP allotment, taking into account the amount of leveraging carried out by all grantees. Contact funding agency for further details. Any matching requirements for REACH funds will be established by program announcements each year. Training and Technical Assistance: There is no requirement for matching Federal funds with grantee funds.

Length and Time Phasing of Assistance:

Energy Assistance Block Grants: Grant awards are made to grantees with complete applications for carrying out the program within the fiscal year. Up to 10 percent of a fiscal year's grant may be held available for obligation in the subsequent fiscal year. For leveraging incentive funds, obligation must occur by the end of the fiscal year following the year in which the funds are appropriated, without regard to the 10 percent carryover limit.

Reports:

Energy Assistance Block Grants: Grantees must submit an annual report within 90 days of the end of the fiscal year on the amount of funds obligated during the fiscal year and the last date of obligation of funds. A report is required annually on the number and income levels of households served during the previous year, on those households that are served that have members who are elderly, disabled, or young children, and on the number and income levels of all households that apply for assistance, whether or not they are served. This report must be included as part of the annual plan before funds are released. A report on the amount of funds that the grantee desires to carry over for obligation in the succeeding fiscal year, the reasons any such funds will not be used during the first fiscal year, the types of assistance to be provided with funds carried over, and the amount of funds, if any, to be subject to reallocation must be submitted by August 1 annually. Grant awards for the following fiscal year will not be released until this report is received. A report is required from those grantees expending up to 5 percent of funds under section 2605 (b) (16) for services that encourage and enable households to reduce their home energy needs. A report is due by November 30 of each year for those grantees that wish to receive leveraging incentive funds, reporting the amount value of leveraged resources they added to the program in the previous fiscal year. Training and Technical Assistance: Grantees are required to submit quarterly financial reports and a final progress report. For contracts, contractors are required to submit quarterly financial reports from HHS-646, Financial Report of Individual Project (Contract).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), "Audits of States, Local Governments and Non-Profit Organizations," Non-Federal entities that expend \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part. Non-Federal entities that expend less than \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in section .215(a), but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO).

Records:

Proper grant accounting records must be maintained.

Account Identification:

¹ 75-1502-0-1-609.

Obligations:

(Grants) Energy Assistance Block Grants: FY 07 \$1,952,500,000; FY 08 1,953,000,000 and FY 09 est \$1,672,500,000. Training and Technical Assistance: (Contracts and Interagency Agreements) FY 07 \$293,000; FY 08 \$292,000 and FY 09 est \$297,000. Leveraging/REACH Grants: FY 07 \$26,100,000, FY 08 \$26,800,000 and FY 09 est \$27,200,000. Contingency

Funds: FY 07 \$181,200,000, FY 08 \$590,300,000 and FY 09 est \$300,000,000.

Range and Average of Financial Assistance:

(Grants) Energy Assistance Block Grants: in fiscal year 2008, from \$247,769,199 to \$ 2,113,267.

PROGRAM ACCOMPLISHMENTS:

Energy Assistance Block Grants are estimated to be awarded to 50 States, the District of Columbia, five Trust Territories, and numerous federally- and State-recognized Indian Tribal governments in fiscal year 2007 and fiscal year 2008. During fiscal year 2006, States provided regular and crisis heating assistance benefits to approximately 5.2 million households. Additionally, households could have received other energy crisis assistance, assistance with cooling costs, or weatherization assistance. As a result, some households can receive more than one type of LIHEAP assistance. States are expected to serve approximately 5.0 million households in fiscal years 2007 and 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 96; 45 CFR 74; 45 CFR 92.

Regional or Local Office:

None.

Headquarters Office:

Nick St. Angelo, Director, Division of Energy Assistance, Office of Community Services, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, S.W., Washington, DC 20447. Telephone: (202) 401-9351. Fax: (202) 401-5661.

Web Site Address:

<http://www.acf.hhs.gov/programs/liheap>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Training and Technical Assistance: Training workshops and clearinghouses have been conducted.

CRITERIA FOR SELECTING PROPOSALS:

Criteria pertinent to each request for training and technical assistance proposals are published in the Federal Register or Commerce Business Daily.

93.569 COMMUNITY SERVICES BLOCK GRANT

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Community Opportunities, Accountability, Training, and Educational Services Act of 1998, Title II, Section 201 and Sections 671-thru 679; Public Laws 97-35, 103-252, Public Laws 106-554 and 98-502.

OBJECTIVES:

To provide assistance to States and local communities, working through a network of community action agencies and other neighborhood-based organizations, for the reduction of poverty, the revitalization of low-income communities, and the empowerment of low-income families and individuals in rural and urban areas to become fully self-sufficient (particularly families who are attempting to transition off a State program carried out under part A of title IV of the Social Security Act) and (1) To provide services and activities having a measurable and potential major impact on causes of poverty in the community or those areas of the community where poverty is a particularly acute problem; (2) to provide activities designed to assist low-income participants, including the elderly poor, to: (a) secure and retain meaningful employment; (b) attain an adequate education; (c) make better use of available income; (d) obtain and maintain adequate housing and a suitable living environment; (e) obtain emergency assistance through loans or grants to meet immediate and urgent individual and family needs, including health services, nutritious food, housing, and employment-related assistance; (f) remove obstacles and solve problems which block the achievement of self-sufficiency; (g) achieve greater participation in the affairs of the community; and (h) make more effective use of other related programs; (3) to provide on an emergency basis for the provision of such supplies and services, nutritious foodstuffs, and related services, as may be necessary to counteract conditions of starvation and malnutrition among the poor; and (4) to coordinate and establish linkages between governmental and other social services programs to assure the effective delivery of such services to low-income individuals.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

(1) States receive block grants to ameliorate the causes of poverty in communities. The block grant approach gives the States flexibility to tailor their programs to the particular services needs in their communities. (2) States are required to use at least 90 percent of their allocations for grants to "eligible entities" as defined in the Community Services Block Grant (CSBG) Act, as amended; this includes primarily locally-based community action agencies and/or organizations that serve seasonal or migrant farm workers. (2) No more than the greater of \$55,000 or 5 percent of each State's allocation may be used for administrative expenses at the State level.

Applicant Eligibility:

The Secretary is authorized to make grants to States. This includes each of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, the Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Secretary also provides assistance directly to the governing body of an Indian Tribe or Tribal organization upon application by the tribe. Only State-recognized tribes, as evidenced by a statement to that effect by the Governor, or tribes formally recognized by the Secretary of the Interior, under the procedure for such recognition in 25 CFR 54, are eligible to receive direct grants.

Beneficiary Eligibility:

States make grants to qualified locally-based nonprofit community antipoverty agencies and other eligible entities which provide services to low-income individuals and families. The official poverty line, as established by the Secretary of Health and Human Services, is used as a criterion of eligibility in the Community Services Block Grant program. When a State determines that it serves the objectives of the block grant, it may revise the income limit, not to exceed 125 percent of the official poverty line.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Each State desiring to receive an allotment for a fiscal year is required to submit an application to the Secretary of Health and Human Services (HHS). Each application must contain assurances by the appropriate State designee that the State will comply with Section 676 of the Community Services Block Grant Act and also meet conditions enumerated in Sections 678(B-D). The State is required to hold at least one legislative hearing every three years in conjunction with the development of the State Plan (Section 676(a)(3)). States are also required to conduct public hearings on the proposed use and distribution of funds to be provided under the Act. The latter sets forth the general purpose for which funds will be used, restrictions on administrative expenses, eligible recipients, board requirements for community action agencies and other nonprofit organizations, fiscal control, monitoring, and Federal investigation provisions, coordination between antipoverty programs in each community and certain prohibitions on political activities. The Chief Executive Officer of each State is also required to designate a lead agency to prepare and submit a plan to the Secretary of HHS describing how the State will carry out the assurances in Section 676. This program is excluded from coverage under 45 CFR, Part 1050.

Award Procedure:

HHS determines the amount of funds to be allocated as block grants to each State in accordance with the formula set forth in the Community Services Block Grant Act. The Office of Management and Budget (OMB) has the authority to apportion to the HHS through the course of a year the Congressional appropriation for block grants. Consistent with OMB's apportionment of funds, HHS will assign allotments to the States through individual awards or a series of awards, normally on a quarterly basis.

Deadlines:

The application deadline for States and Indian Tribes and Tribal Organizations is September 1 of each fiscal year.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Funds may be withheld from any State which does not utilize its allotment substantially in accordance with the provisions of the Community Services Block Grant Act and the assurances provided in its application. This may be done only after adequate notice and an opportunity for a hearing is conducted within the affected State.

Renewals:

Not applicable.

Formula and Matching Requirements:

Omnibus Budget Reconciliation Act of 1981, Public Law 97-35 as amended by the Human Services Amendments of 1998, Public Law 105-285, Section 674 authorizes the Secretary to use one and one-half of one percent of the amount appropriated to be reserved for corrective action, training, technical assistance, planning, evaluation, and data collection activities related to programs or projects carried out under this subtitle. Such activities may be carried out through grants, contracts, or cooperative agreements with eligible entities or with organizations or associations whose membership is composed of eligible entities or agencies that administer programs for eligible entities. One-half of one percent of the amount appropriated is apportioned on the basis of need among Guam, American Samoa, the Virgin Islands, the Northern and Mariana Islands. Of the remaining amount each State, (excluding the above, but including the District of Columbia and the Commonwealth of Puerto Rico) is allotted an amount which bears the same ratio as the amount received by the State for fiscal year 1981 under Section 221 of the Economic Opportunity Act of 1964 bore to the total amount received by all States for fiscal year 1981 under Section 221. However, if the appropriation exceeds \$345,000,000 after the amount necessary for purposes of discretionary funding under 680 and the one-half of one percent

set aside for territories and insular areas under section 675(A) are determined, no State receives less than one-half of one percent of the amount appropriated. This program has no matching requirements.

Length and Time Phasing of Assistance:

Entitlement amounts are for a specific fiscal year and the grant funds allotted to the State will be awarded in accordance with apportionment of funds from the Office of Management and Budget. Amounts unobligated by the State at the end of a fiscal year remain available for obligation during the succeeding fiscal year.

Reports:

States must prepare and submit to the Secretary an Annual Report on the measured performance of the State and the eligible entities in the State. Reports shall include an accounting of the expenditure of funds received under the CSBG Program, including administrative costs incurred by the State and eligible entities and funds spent on direct delivery of local services, information on the number of and characteristics of clients served and a summary description of the training and technical assistance offered by the State. The Annual Report is due on March 31 each year. States are required to submit annual financial status reports, SF-269A's, 90 days after the end of the fiscal year, i.e., December 30, each year.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), "Audits of States, Local Governments and Non-Profit Organizations," Non-Federal entities that expend \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part. Non-Federal entities that expend less than \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in section .215(a), but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO).

Records:

States are required to keep records sufficient to permit preparation of the required reports and to permit tracing of funds to a level of expenditure adequate to insure that funds have not been spent unlawfully.

Account Identification:

75-1504-0-1-506.

Obligations:

FY 07 \$630,414,000; FY 08 \$653,800,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$1,019 to \$55,942,793; Average \$5,342,585.

PROGRAM ACCOMPLISHMENTS:

A total of 192 grants were awarded in fiscal year 2007. It is anticipated that 197 grants will be awarded in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 16, 45 CFR 74 and 45 CFR 96.

Regional or Local Office:

Not applicable.

Headquarters Office:

Division of State Assistance, Office of Community Services, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401-9343.

Web Site Address:

<http://www.acf.hhs.gov/programs/ocs>.

RELATED PROGRAMS:

93.568, Low-Income Home Energy Assistance; 93.600, Head Start; 93.045, Special Programs for the Aging, Title III, Part C_Nutrition Services.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

93.570 COMMUNITY SERVICES BLOCK GRANT-DISCRETIONARY AWARDS

(Community Economic Development (CED) and Rural Community Facilities (RF))

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Community Opportunities, Accountability, Training, and Educational Services Act of 1998, Title II, Section 680, Public Law 105-285.

OBJECTIVES:

To support program activities to alleviate the causes of poverty in distressed communities which: (1) assist businesses in creating jobs for low-income individuals, i.e., develop employment and business development opportunities for low-income individuals; (2) a better standard of living for rural low-income

individuals in terms of water and waste water treatment.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Federal funds awarded under the Secretary's Discretionary Authority may be used for activities that: improve the quality of the economic and social environment of low-income residents by providing resources to eligible applicants, by arresting tendencies toward dependency, chronic unemployment and community deterioration in urban and rural areas; and by addressing needs of water and wastewater treatment in Rural Communities. Community Economic Development grants are awarded to cover project costs for (1) startup or expansion of business, physical improvements, or commercial activities; (2) Capital expenditures such as the purchase of equipment or real property; (3) allowable operating expenses; and (4) loans or equity investments. Expenditures must result in the creation of employment or business opportunities for low-income individuals. Generally, the project must be completed within 36 months for non-construction projects and 60 months for construction projects. Projects must document public/private partnership mobilizing cash and/or in-kind contributions; and be located in areas characterized by poverty and other indicators of socioeconomic distress, such as high levels of unemployment, or high levels of violence, gang activity, crime, drug use and/or low-income individuals or designation as an Empowerment Zone or Enterprise Community (EZ/EC). Rural Community Facilities grants are awarded to provide training and technical assistance to small, rural communities concerning their water and waste water treatment needs. RF grants focus on the development and upgrading of small water and wastewater systems in low-income rural areas. Types of services provided included training of persons responsible for community water and wastewater facilities; assisting communities with problem-solving and planning for facilities; producing and disseminating publications, newsletters, videos, and training manuals about rural waste and wastewater systems; and assisting rural communities in leveraging Federal, State, local, and private sector financing for facilities.

Applicant Eligibility:

For Community Economic Development projects, eligibility is restricted to private, locally initiated, nonprofit community development corporations (or affiliates of such corporations) governed by a board consisting of residents of the community and business and civic leaders. For Rural Community Development Activity projects, which is referred to as Rural Community Facilities projects, eligibility is restricted to multi-state, regional private, non-profit organizations that can provide training and technical assistance to small, rural communities interested in safe water and waste water treatment needs.

Beneficiary Eligibility:

A project must be targeted to address the needs of a specific segment of low-income individuals or families. The official poverty line established by the Director of the Office of Management and Budget, published annually by the Department of Health and Human Services is used as a criterion of eligibility in the Community Economic Development Discretionary Grant program.

Credentials/Documentation:

Costs will be determined in accordance with the provisions of Title 45 CFR 74.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by DHHS and required by Title 45 CFR Part 74 must be used. An environmental impact statement may be required for certain projects under this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by DHHS and required by Title 45 CFR, Part 74 must be used. An environmental impact statement may be required for certain projects under this program.

Application Procedure:

Awards are granted on a competitive basis. Announcements concerning the availability of funds, requests for applications, along with application form and assurance documents, are at www.grants.gov. Applications to the Office of Community Services for grants under the announcement should be addressed to: OCS Operations Center 1515 Wilson Blvd., Suite 100, Arlington, Virginia 22209. Applicants are urged to inform and coordinate application submissions with State and local governments where such information and coordination is appropriate or necessary for the success of the program. This program is subject to the provisions of Title 45 CFR Part 74 (non-governmental entities). All applications for assistance under this program must contain: (1) SF-424, Application for Federal Assistance; (2) SF-424A, Budget Information; (3) SF-424B, Assurances-Non-Construction Programs; (4) Certificate Regarding Drug Free Workplace Requirements; (5) Certification regarding environmental tobacco smoke; (6) Certification Regarding Debarment, Suspension, and Other

Responsibility Matters; and (7) Certification Regarding Lobbying. These must be accompanied by a detailed budget, and a program narrative submitted in accordance with the format detailed in the program announcement.

Award Procedure:

The Director, Office of Community Services, Administration for Children and Families (ACF), will make the final decision on all grant awards. Selection of applicants will be determined based on the review criteria outlined in the program announcement.

Deadlines:

All information and application forms required to prepare a grant are at www.grants.gov.

Range of Approval/Disapproval Time:

Generally, solicited grants will be acted upon within 90 to 120 days.

Appeals:

None.

Renewals:

Rural Community Development Activity projects (also referred to as Rural Community Facilities Projects), are awarded for a five year project period with 12 month budget periods.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Generally, Community Economic Development financial assistance awards are made available for periods of 36 to 60 months; Rural Community Facilities financial assistance awards are awarded for 12-month budget periods with a five year project period.

Reports:

Semi-annual progress reports, semi-annual financial reports and a final report summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are required by OCS.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), "Audits of States, Local Governments and Non-Profit Organizations, Nonfederal entities that expend \$500,000 for fiscal years ending after December 31, 2003 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part. Nonfederal entities that expend less than \$500,000 for fiscal years ending after December 31, 2003 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in section .215(a), but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO).

Records:

Grant recipients are required to keep all financial, business and program reports necessary for program review and audit to insure that funds have been expended in accordance with the regulations, grant terms and conditions of this program. Audits and supporting records must be kept on file for at least 3 years.

Account Identification:

75-1536-0-1-506.

Obligations:

Discretionary Awards - Community Economic Development: FY 07 \$27,022,000; FY 08 \$31,467,000; and FY 09 est not available. Rural Community Facilities: FY 07 \$7,293,000; FY 08 \$7,860,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Up to \$700,000 for Community Economic Development and \$1,099,500 for Rural Community Facilities.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 45 grants were awarded and it is estimated that 52 grants will be awarded in FY 08 for the Community Economic Development program. For the Rural Community Facilities program, 9 grants were awarded in FY 07 and it is anticipated the same number of grants will be awarded in FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program announcements are posted on the web.

Regional or Local Office:

OCS Liaisons, stationed in - ACF.

Headquarters Office:

Rafael J. Elizalde, Team Leader, Telephone: (202) 401-5115, rafael.elizalde@acf.hhs.gov, Division of Community Discretionary Programs, Office of Community Services, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, S.W., Washington, DC 20447.

Web Site Address:

<http://www.acf.hhs.gov/programs/ocs>.

RELATED PROGRAMS:

93.593, Job Opportunities for Low-Income Individuals.

EXAMPLES OF FUNDED PROJECTS:

SEE OCS web-site.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each program announcement.

93.575 CHILD CARE AND DEVELOPMENT BLOCK GRANT

The Child Care and Development Block Grant (or Discretionary Funds) is a part of the Child Care and Development Fund (CCDF) program, along with the Child Care Mandatory and Matching Funds (see CFDA number 93.596).

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Child Care and Development Block Grant Act of 1990, as amended (Public Law 101-508, 42 U.S.C. 9858 et seq); Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Public Law 104-193); Balanced Budget Act of 1997 (Public Law 105-33); Consolidated Appropriations Act, 2008 (Public Law 110-161).

OBJECTIVES:

To make grants to States, Territories, Tribes, and tribal organizations for child care assistance for low-income families and to: (1) allow each State maximum flexibility in developing child care programs and policies that best suit the needs of children and parents within such State; (2) promote parental choice to empower working parents to make their own decisions on the child care that best suits their family's needs; (3) encourage States to provide consumer education information to help parents make informed choices about child care; (4) assist States to provide child care to parents trying to achieve independence from public assistance; and (5) assist States in implementing the health, safety, licensing, and registration standards established in State regulations.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

In the Fiscal Year 2008 Appropriations Act, Congress directs that funds appropriated for the Child Care and Development Block Grant (Discretionary Funds) must be used to supplement, not supplant, State general revenue funds for child care assistance for low-income families. Lead Agencies must use Discretionary Funds for child care services on a sliding fee scale basis, activities that improve the quality or availability of such services, and other activities that realize the goals of the Child Care and Development Block Grant Act. Certain amounts of Discretionary Funds must be used for specific purposes: quality expansion; infant and toddler quality improvement; and child care resource and referral, including a national toll-free hotline, and school-age child care activities. A portion of the Discretionary Funds is also designated for the U.S. Department of Health & Human Services to carry-out research, demonstration, and evaluation projects. Not more than five percent of the aggregate amount of CCDF Funds expended by the State or Territory (15 percent for Tribes or tribal organizations) may be expended for administrative costs incurred by the State or Territory to carry out all of its functions and duties. The term "administrative costs" does not include the costs of providing direct services. A State or Territory shall use not less than four percent of the CCDF Funds to improve child care quality and availability including comprehensive consumer education, activities to increase parental choice, and other activities such as resource and referral services, provider grants and loans, monitoring and enforcement of requirements, training and technical assistance, and improved compensation for child care staff. Except for approved construction of child care facilities by tribal grantees, no Discretionary Funds may be used for the purchase or improvement of land, or for the purchase, construction, or permanent improvement of any building or facility (other than for minor remodeling and for upgrading facilities to meet State and local child care standards). No Discretionary Funds provided directly to child care providers through grants or contracts may be expended for any sectarian purpose or activity, including sectarian worship or instruction; however, Grantees must give parents the option of receiving vouchers or certificates to allow parents the choice of faith-based or community child care providers. No Discretionary Funds may be provided for any services provided to students enrolled in grades 1 through 12 during the regular school day; for any services for which such students receive academic credit toward graduation; or for any instructional services which supplant or duplicate the academic program of any public or private school. Lead Agencies shall assure that a substantial portion of the Discretionary Funds will be used to provide assistance to low-income working families who are not receiving assistance under the Temporary Assistance for Needy Families program, attempting through work activities to transition off of temporary assistance programs, nor at risk of becoming dependent on temporary assistance programs.

Applicant Eligibility:

All States, the District of Columbia, Territories (Guam, American Samoa, Puerto Rico, U.S. Virgin Islands, and Commonwealth of the Northern Mariana Islands), federally recognized Tribal Governments, and tribal organizations, including Alaska Native organizations.

Beneficiary Eligibility:

Children under age 13 (or, at the option of the grantee, up to age 19, if physically or mentally incapable of self-care or under court supervision), who

reside with a family whose income does not exceed 85 percent of the State median income for a family of the same size, and who reside with a parent (or parents) who is working or attending job training or educational program; or are in need of, or are receiving protective services.

Credentials/Documentation:

Grantees must operate under a CCDF plan approved by the Administration for Children and Families, and must provide assurances that the grantee will comply with the requirements of the Child Care and Development Block Grant Act and all applicable Federal law.

Preapplication Coordination:

Each grantee must designate a Lead Agency to which grants are awarded and that is accountable for the use of the Discretionary Funds provided, the duties of which shall include developing a CCDF plan. In conjunction with the development of the CCDF plan, the Lead Agency must hold at least one public hearing no earlier than nine months before the CCDF plan becomes effective and after at least 20 days of statewide public notice, to provide the public an opportunity to comment on the provision of child care services under the plan. In advance of the hearing, the lead agency must make the content of the plan available to the public. The Lead Agency must also coordinate the provision of services under the program with other Federal, State, and local child care and early childhood development programs. Also, the Lead Agency must consult with appropriate representatives of local governments. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Lead Agency desiring to receive an allotment for a fiscal year is required to submit a two-year CCDF plan to the Administration for Children and Families, as well as, financial and other information necessary for the grants process. Each plan must contain certifications and assurances by the Lead Agency that it will comply with the requirements of the Child Care and Development Block Grant Act. The plan must also include: the designation of a Lead Agency; the provision of assurances regarding policies and procedures as stated in Section 658E(c)(2) of the Child Care and Development Block Grant Act; an outline of the intended use of block grant funds in compliance with Section 658E(c)(3) of the Child Care and Development Block Grant Act; the provision of certification regarding payment rates as stated in Section 658E(c)(4) of the Child Care and Development Block Grant Act; and the establishment of a sliding fee scale. Additional requirements are specified by 45 CFR Parts 98 and 99. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Grants are awarded after the receipt and approval of the plan by the Administration for Children and Families.

Deadlines:

Contact the Child Care Bureau's Headquarters Office listed below for deadline dates.

Range of Approval/Disapproval Time:

The Administration for Children and Families will review the plans for approval and will act on the plans within 90 days.

Appeals:

Guidelines for appeals of disapprovals of CCDF plans are specified in regulations (45 CFR Part 99).

Renewals:

CCDF plans must be submitted every two years.

Formula and Matching Requirements:

Allocations for States are based on a formula that takes into account the number of children below the age of five, the number of children receiving assistance through the School Lunch Program in the State and per capita income. Not less than one percent, but not more than two percent of the total Discretionary Funds are reserved for Tribes and tribal organizations, and not more than one-half of one percent of the total Discretionary Funds is reserved for the Territories. Puerto Rico is treated as a State for allotment purposes.

Length and Time Phasing of Assistance:

Grant awards are made to Lead Agencies with approved CCDF plans. Grantees must obligate all Discretionary Funds in the fiscal year in which they are granted or in the succeeding fiscal year. Those funds must be liquidated in the third year.

Reports:

As specified in 45 CFR Part 98, States must report to the Administration for Children and Families annually aggregate data on families, children in care, providers, payment methods, and consumer education. Quarterly case-level reports are required to provide data on families, children, and providers, and payment information. States must also submit quarterly expenditure reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Further auditing may be necessary.

Records:

Proper grant accounting records must be maintained.

Account Identification:

75-1515-0-1-609.

Obligations:

(Grants and Contracts) FY 07 \$2,051,200,000; FY 08 \$2,062,100,000; and FY 09 est \$2,062,100,000. (These figures do not include CCDF Mandatory Funds and Matching Funds under 93.596.)

Range and Average of Financial Assistance:

For States, including the District of Columbia and Puerto Rico, the range of grants in FY 08 is: \$8,303,222 to \$532,337,832; average grant is approximately \$93,194,746. For 260 Tribal grantees, the range of grants in FY 08 is: \$22,845 to \$10,368,025; average grant is approximately \$383,006. For the four Territories, the range of grants in FY 08 is \$1,864,552 to \$4,022,522; average grant is approximately \$2,577,601. (These figures are inclusive of funds received through 93.575 and 93.596.)

PROGRAM ACCOMPLISHMENTS:

In FY 07, 317 grants were awarded. In FY 08, 317 grants were awarded. It is anticipated that 317 grants will be awarded in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

These funds are subject to the Child Care and Development Fund regulations at 45 CFR Parts 98 and 99.

Regional or Local Office:

Persons are encouraged to communicate with the Child Care Bureau Regional Program Managers (RPM). A list of the RPM's and their contact information is available at www.acf.hhs.gov/programs/ccb/ta/raaddr/program_managers.htm. You may also contact the Child Care Bureau, Office of Family Assistance at (202) 690-6782 or by Fax at (202) 690-5600.

Headquarters Office:

Child Care Bureau, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 901 D St. S.W., 5th Floor East, Washington, DC 20047. Telephone: (202) 690-6782, Fax: (202) 690-5600.

Web Site Address:

<http://www.acf.hhs.gov/programs/ccb>

RELATED PROGRAMS:

93.596, Child Care Mandatory and Matching Funds of the Child Care and Development Fund; 93.558, Temporary Assistance for Needy Families.

EXAMPLES OF FUNDED PROJECTS:

Discretionary Funds are awarded to States via a block grant, which, through their appointed Lead Agencies, make many of the decisions about priorities, policies, and expenditures in achieving goals related to improved family access to quality child care. States are required to commit at least four percent of their Discretionary Funds to activities such as consumer education, resource and referral services, provider training, and caregiver recruitment designed to improve child care availability and quality. Targeted funds designated for quality, infant and toddler care, resource and referral, and school-age care, further support State efforts to improve child care access and quality.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.576 REFUGEE AND ENTRANT ASSISTANCE-DISCRETIONARY GRANTS**FEDERAL AGENCY:**

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Refugee Act of 1980, Public Law 96-212, Section 412, 94 Stat. 111, 8 U.S.C. 1522, as amended; Refugee Education Assistance Act of 1980, Title V, 94 Stat. 1809, as amended.

OBJECTIVES:

To improve resettlement services for refugees. Program objectives include: (1) decreasing the numbers of refugees on public assistance and the length of time refugees require such assistance; (2) promoting refugee family stability and healthy marriages; (3) encouraging the placement of refugees in locations with good job opportunities and lower costs of living; (4) enhancing services to disadvantaged refugee groups; (5) promoting older refugees' access to aging services; (6) assisting low-income refugees with matching funds for individual development accounts and with financial literacy classes; 7) providing micro-credit to refugees interested in starting new businesses but unable to access commercial sources of capital; (8) providing services to refugees in rural areas; and (9) providing preventive health services.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used only for the purposes set forth in the notice of funds availability and in the grant award.

Applicant Eligibility:

Public and private nonprofit agencies.

Beneficiary Eligibility:

Refugees, asylees, Cuban and Haitian entrants, Amerasians and certified victims

of a severe form of trafficking.

Credentials/Documentation:

Proof of immigration status must be provided to be eligible to receive services.

Preapplication Coordination:

This program is covered under E.O. 12372. "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications are reviewed and evaluated pursuant to criteria specified in the program announcement. Each agency desiring to respond to a program announcement is required to submit an application. Program grant announcements may be accessed at Grants.gov or at the Office of Refugee Resettlement website at www.acf.hhs.gov/programs/orr. Application kits, containing the prescribed application forms and supplemental descriptive information, can also be accessed at the ORR website.

Award Procedure:

The Director of ORR makes the funding decision on all grant awards.

Deadlines:

Applicable deadlines are included in the notices of funds availability.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None.

Renewals:

Contingent upon funding availability, grantee performance, and the best interest of the government.

Formula and Matching Requirements:

There are no matching requirements.

Length and Time Phasing of Assistance:

Funds made available under this program are for project periods ranging from 17 months to 5 years.

Reports:

Program Performance Reports and Financial Status Reports are required, usually on a quarterly or semi-annual basis. Final program and financial reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are also required 90 days after the end of the project period.

Audits:

Periodic audits are made as part of the system of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Nonprofit organizations receiving less than \$25,000 a year in Federal awards are exempt from Federal audit requirements, but records must be available for review by appropriate officials of the Federal grantor agency or subgranting entity.

Records:

Grant recipients are required to keep all financial, business, and program reports necessary for program review and audit for the project period and for three years after the end of the project period to insure that funds have been expended in accordance with the regulations, grant terms, and conditions of this program.

Account Identification:

75-1503-0-1-609.

Obligations:

(Grants) FY 07 \$72,413,000; FY 08 \$76,884,000; FY 09 est \$77,082,000.

Range and Average of Financial Assistance:

From a minimum of \$50,000 per grant to the maximum of \$19,000,000 based upon the type of funding and the services to be provided.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 302 discretionary grants (new and continuations), were funded. FY 2008 est: contingent upon availability of funding, 304 discretionary grants will be funded; and in FY 2009, it is estimated that 307 discretionary grants will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program announcements are published in the ACF and ORR website. All inquiries should be directed to the Information Contact listed below.

Regional or Local Office:

None.

Headquarters Office:

For program information on Discretionary Targeted Assistance grants and Preventive Health grants, contact Pamela Green-Smith; Telephone: (202) 401-4531. For Discretionary Social Services grants, contact Ron Munia; Telephone: (202) 401-4559. Office of Refugee Resettlement, Administration for Children and Families, Department of Health and Human Services, 8th Floor West, 370

L'Enfant Promenade, SW., Washington DC 20447.

Web Site Address:

<http://www.acf.hhs.gov/programs/orr>.

RELATED PROGRAMS:

93.566, Refugee and Entrant Assistance_State Administered Programs; 93.567, Refugee and Entrant Assistance_Voluntary Agency Programs; 93.583, Refugee and Entrant Assistance_Wilson/Fish Program; 93.584, Refugee and Entrant Assistance_Targeted Assistance Grants.

EXAMPLES OF FUNDED PROJECTS:

In fiscal year 2007, ORR provided funds for projects to: (1) provide vocational training, job search, and social services to help refugees obtain employment; (2) provide placement of refugees in preferred communities; (3) meet special needs of unanticipated arrivals; (4) meet the needs of refugees arriving in the US with special conditions such as positive HIV, trauma, physical and mental disabilities, and other health related problems; (5) provide microenterprise development services to refugees; (6) provide matching funds for individual development accounts (IDA) and funds for financial literacy training for low-income refugees; (7) provide support for ethnic community self-help organizations; (8) provide support for elderly programs; (9) provide funds to support refugee healthy marriage programs; and (10) provide services for refugees in rural areas.

CRITERIA FOR SELECTING PROPOSALS:

Notice of the criteria for awarding funds is published in the ACF website and the ORR website for each type of program announcement for discretionary programs. See the Information Contact section for more information.

93.579 U.S. REPATRIATION

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Section 1113; 24 U.S.C. 9.

OBJECTIVES:

To provide temporary assistance, care, and treatment to eligible US citizens and their dependents after their return to the United States from travel in a foreign country due to physical or mental illness, destitution, war, threat of war, or a similar crisis and are without resources.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

This program provides temporary assistance such as money payments, medical care, temporary billeting, admission into a nursing home or hospital, settling with family or friends, moving into permanent housing, or foster care placement, transportation, overnight lodging, food, provision for escort services, clothing and other goods and services necessary for the health or welfare of individuals (including guidance, counseling, and other welfare services), furnished to U.S. citizens and their dependents who are without available resources in the U.S. upon their arrival from abroad and for such period after their arrival, not exceeding 90 days, as may be provided in regulations of the Secretary of Health and Human Services (HHS). This temporary assistance is given in the form of a loan and must be repaid to the U.S. Government. Repatriates agree to repay costs to Federal government.

Applicant Eligibility:

National/international social service organizations with expertise in mental health, child welfare, and criminal justice system. Coordination with the U.S. Another criteria for eligibility relies on the agency's ability to work with Department of State and Repatriation Coordinators in each State.

Beneficiary Eligibility:

U.S. citizens and their dependents returning to the U.S. from abroad.

Credentials/Documentation:

Proof of U.S. Citizenship, destitution and if applying due to mental condition, individual, a certificate as to the mental status.

Preapplication Coordination:

This program is excluded from coverage by E.O. 12372.

Application Procedure:

ACF discretionary grants procedures.

Award Procedure:

Grants are awarded through ACF discretionary grants procedures.

Deadlines:

Listed in the ORR and ACF website.

Range of Approval/Disapproval Time:

Two months.

Appeals:

Not applicable.

Renewals:

Project periods are generally for five years with one year budget periods.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Five-year project period and annual budget period.

Reports:

Program Performance Reports and Financial Status Reports are due on a semi-annual schedule.

Audits:

Subsumed in Departmental audit procedures.

Records:

Not applicable.

Account Identification:

75-1501-0-1-609.

Obligations:

(Grant) FY 07 \$900,000; FY 08 \$1,000,000; and FY 09 est \$1,300,000.

Range and Average of Financial Assistance:

In FY 07, a single cooperative agreement was awarded for \$900,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, one cooperative agreement was awarded. The program serves over 200 US citizens each year.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 211-212. A brochure entitled "Temporary Assistance for Repatriates" is available from the Headquarters Office at no charge.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Refugee Resettlement, Administration for Children and Families, 370 L'Enfant Promenade, SW., Washington, DC 20447. Elizabeth Russell, Director Telephone: (202) 401-4845.

Web Site Address:

<http://www.acf.hhs.gov/programs/orr>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

One cooperative agreement has been awarded to provide services under this program.

CRITERIA FOR SELECTING PROPOSALS:

Listed in the ORR and ACF website.

93.581 IMPROVING THE CAPABILITY OF INDIAN TRIBAL GOVERNMENTS TO REGULATE ENVIRONMENTAL QUALITY

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Native American Programs Act of 1974, as amended, Public Law 93-644, 88 Stat. 2334, 42 U.S.C. 2991b; Indian Environmental Regulatory Enhancement Act, Public Law 101-408, 104 Stat. 883.

OBJECTIVES:

To provide financial assistance to advance tribal capacity and capability to plan for, develop, and implement enhancements to the tribal environmental regulatory infrastructure required to support a tribe's environmental goals.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for planning, developing and implementing tribal environmental regulatory programs through competitive grants. Types of allowable activities are: to regulate and enforce environmental activities on Indian lands; to develop regulations, ordinances and laws to protect the environment; to develop the technical and program capacity to carry out a comprehensive tribal environmental program and perform essential environmental program functions; to promote training and education of tribal employees; to develop technical and program capability to meet tribal and Federal regulatory requirements; to develop technical and program capability to monitor compliance and enforcement of tribal environmental regulations, ordinances, and laws; and to ensure the tribal court system enforcement requirements are developed in concert with and support the tribe's comprehensive environmental program.

Applicant Eligibility:

The following organizations are eligible to apply: Federally-recognized Indian tribes; consortia of Indian tribes; incorporated nonfederally-recognized Tribes; Alaska Native villages as defined in the Alaska Native Claims Settlement Act (ANCSA) and/or nonprofit village consortia; and tribal governing bodies (IRA or traditional councils) as recognized by the Bureau of Indian Affairs.

Beneficiary Eligibility:

American Indians and Alaska Natives.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Information regarding the availability for grant funds will be published from

time to time in the Federal Register as Program Announcements, which will provide details on program objectives for which applications are being solicited and other application requirements. The Administration for Native Americans will provide each applicant with the appropriate forms for the application for Federal Assistance and instructions for applying for grants from Administration for Native Americans programs. Applications should be submitted to Administration for Children and Families, Office of Grants Management, Division of Discretionary Grants, 370 L'Enfant Promenade, SW., Washington, DC 20447.

Award Procedure:

All funds are awarded directly to the grantees.

Deadlines:

Each program announcement specifies the due dates for submission of applications.

Range of Approval/Disapproval Time:

Applicants will receive notice of approval/disapproval approximately 120 days after receipt of application.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula for distribution of funds. A matching share of 20 percent is required unless waived in accordance with criteria which are also published 45 CFR Part 1336.50.

Length and Time Phasing of Assistance:

Grantees may apply for competitive continuation support within a project period of 1 to 3 years.

Reports:

Quarterly Financial Status Reports, Report of Federal Cash Transactions, and Project Progress Reports are required.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Financial records, supporting documents and all other related records pertinent to ANA grants must be maintained for a period of 3 years. If an audit is not completed by the end of 3-year period, or if audit findings have not been resolved, records shall be retained until resolution of the audit findings.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$1,200,000; FY 08 \$1,500,000; and FY 09 est \$1,500,000.

Range and Average of Financial Assistance:

(Grants) \$50,000 to \$250,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 7 new grants were awarded to support projects to assist tribal governments in planning, developing and implementing tribal environmental programs which are consistent with tribal culture including: development and implementation of procedures related to land use permits and plans; strengthening the capacity of tribal governance to adjudicate environmental cases in tribal court; development of tribal environmental codes or policies; development of tribal capacity to monitor compliance with EPA regulations. It is anticipated that 7-10 new grants will be awarded in fiscal years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in 45 CFR 1336.

Regional or Local Office:

None.

Headquarters Office:

Administration for Native Americans, Department of Health and Human Services, Mail Stop Aerospace Center 2th Floor-West 370 L'Enfant Promenade, S.W., Washington, DC 20447. Contact: Director of Program Operations, (877) 922-9262.

Web Site Address:

<http://www.acf.hhs.gov/programs/ana/>.

RELATED PROGRAMS:

66.600, Environmental Protection Consolidated Grants for the Insular Areas - Program Support.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each program announcement. In general, proposals are judged on the basis of relevance to program objectives as stated in the program announcement, project viability, community support, reasonable cost estimates, and qualifications of applicant organization and personnel.

93.583 REFUGEE AND ENTRANT ASSISTANCE- WILSON/FISH PROGRAM

(Wilson/Fish program; Fish/Wilson Program)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Refugee Act of 1980, Section 412, 94 Stat. 111, 8 U.S.C. 1522, as amended, Public Laws 96-212, 97-363, and 99-605; Refugee Education Assistance Act of 1980, Title V, 94 Stat. 1809, 8 U.S.C. 1522 note, as amended, Public Laws 96-422, 96-424, 97-35, 100-202, 100-436, 100-461, 101-167, 101-302, 101-513, and 101-517.

OBJECTIVES:

To develop alternative projects which promote early employment of refugees, certain Amerasians from Viet Nam, Cuban and Haitian entrants, asylees, and certified victims of a severe form of trafficking. States, voluntary resettlement agencies, and other resettlement organizations may develop innovative approaches for the provision of refugee cash and medical assistance, otherwise available through the State-administered program. The purpose of the Wilson-Fish program is to provide integrated services that include cash assistance, medical assistance and other related services described above in order to increase refugees' prospects for early employment and self-sufficiency, reduce their level of welfare dependence, and promote coordination among voluntary resettlement agencies and services providers. In addition, the WF program allows ORR to ensure that refugee assistance programs exist in every State where refugees are resettled. Projects must serve all eligible refugees in a community. There are two categories for applications. Category one provides an opportunity for an applicant to continue the provision of refugee program services and assistance in a State when the State elects to discontinue participation or is not currently participating in the program of refugee resettlement. There are currently 7 WF programs which fall under Category one (Alabama, Alaska, Idaho, Kentucky, Louisiana, Nevada, and South Dakota). Category two provides applicants an opportunity to implement alternative projects to promote self-sufficiency and coordinate services more effectively. There are 5 Category II WF programs (Colorado, Massachusetts, North Dakota, Vermont, and San Diego).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used only for the purposes set forth in the grant award. Assistance is limited to refugees, asylees, Cuban and Haitian entrants, Amerasian immigrants, and certified trafficking victims, as defined in the authorizing Acts. The refugee must document his immigration status in order to be eligible for assistance. The scope of services available to refugees from Wilson/Fish grantees is similar to that of the State-Administered Refugee Resettlement Programs, which in turn is similar to regular domestic public assistance programs.

Applicant Eligibility:

States, voluntary resettlement agencies, and other nonprofit refugee resettlement organizations may apply to initiate an alternative program. No separate funding is appropriated for Wilson/Fish demonstration projects. Funds are drawn instead from funds earmarked for refugee cash and medical assistance grants and social services allocations for the State-administered program (93.566) according to ORR projections.

Beneficiary Eligibility:

Refugees, certain Amerasian immigrants, Cuban/Haitian entrants, asylees, and victims of a severe form of trafficking are eligible for services and assistance through funded projects in a community. Cash assistance is transitional for up to 8 months; services may be provided for a longer period of time.

Credentials/Documentation:

Persons for whom assistance is authorized are limited to the following groups of aliens with appropriate documentation from the U.S. Citizenship and Immigration Service (USCIS), formerly Immigration and Naturalizations Services (INS): (1) Refugees admitted under section 207 of the INA and asylees admitted under section 208; (2) Cuban and Haitian entrants with documentation from the BCIS as to status allowed under section 501 of the Refugee Education Assistance Act of 1980; and certain Amerasian immigrants from Viet Nam admitted under section 584 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act (1988) or title II of the Foreign Operations, Export Financing, and Related Programs Appropriations Acts of 1989, 1990, and 1991. Victims of a severe form of trafficking who have received a certification or eligibility letter from ORR are also eligible. Cost principles for States and local governments are found under OMB Circular No. A-87. Cost principles for nonprofit organizations are found in OMB Circular No. A-122.

Preapplication Coordination:

Questions concerning the standing program announcement can be directed to the Office of Refugee Resettlement at (202) 205-5933. The Wilson/Fish program is excluded from coverage under E.O. 12372.

Application Procedure:

Awards are granted on a competitive basis, as specified in the program announcements. Each agency desiring to respond to a program announcement is required to submit an application. Applications are reviewed and evaluated

pursuant to criteria specified in the program announcement.

Award Procedure:

The Director of ORR makes the funding decision on all grant awards.

Deadlines:

Closing dates for new projects is April 30 of each year.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not applicable.

Renewals:

Contingent upon funding availability, grantee performance, and the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Generally, funds made available for this program will be for 1-year budget period, however the project period is up to 5 years.

Reports:

Progress reports and financial reports are required, usually on a quarterly basis. Final program and financial reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are also required.

Audits:

Periodic audits are made a part of the system of financial management and internal control to meet terms and conditions of grants and other agreements. "In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133."

Records:

Grant recipients are required to keep all financial, business and program reports necessary for program review and audit for the project period and for three years following the end of the project period to insure that funds have been expended in accordance with the regulations, grant terms, and conditions of the program.

Account Identification:

75-1503-0-1-609.

Obligations:

Cash and Medical Assistance (CMA) FY 07 \$20,000,000; FY 08 \$23,000,000; and FY 09 est \$23,000,000.

Range and Average of Financial Assistance:

In FY 2007, grants ranged from \$333,322 to \$3,532,062.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 12 grants were awarded. It is anticipated that 12 grants will be awarded in FY 08 and FY 09. Projects provide cash assistance and employment and other social services to newly arrived refugees.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Wilson/Fish Program announcement can be found on the ORR website. All inquiries should be directed to the Information Contacts listed below.

Regional or Local Office:

Not applicable.

Headquarters Office:

For information, contact Carl Rubenstein, Office of Refugee Resettlement, Administration for Children and Families, Department of Health and Human Services, 8th Floor West, 370 L'Enfant Promenade, SW., Washington DC 20447. Telephone: (202)205-5933.

Web Site Address:

<http://www.acf.hhs.gov/programs/orr>.

RELATED PROGRAMS:

93.566, Refugee and Entrant Assistance_State Administered Programs; 93.567, Refugee and Entrant Assistance_Voluntary Agency Programs; 93.576, Refugee and Entrant Assistance_Discretionary Grants.

EXAMPLES OF FUNDED PROJECTS:

Some Wilson/Fish projects include: 1) A large state-administered project where the voluntary agencies determine eligibility and are responsible for case management of eligible refugees; and 2) a private voluntary agency that administers cash and medical assistance and social services for refugees not otherwise eligible for TANF and/or Medicaid in a State in which the State elected not to participate in the refugee resettlement program.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation criteria for selecting projects is available in the Wilson/Fish Standing Announcement, which can be found at Grants.gov and on the ORR website at www.acf.hhs.gov/programs/orr.

93.584 REFUGEE AND ENTRANT ASSISTANCE-TARGETED ASSISTANCE GRANTS

(TAP; TAG)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Immigration and Nationality Act, Section 412(c)(2), as amended; Refugee Assistance Extension Act of 1986, Public Law 99-605, 8 U.S.C. 1522(c); Refugee Education Assistance Act of 1980, Section 501(a), Public Law 96-422, 8 U.S.C. 1522 note; Foreign Operations, Export Financing, and Related Programs Appropriations Act of 1988, Section 584(c), Public Law 100-202; Foreign Operations, Export Financing, and Related Programs Appropriations Act of 1989, Public Law 100-461; Foreign Operations, Export Financing, and Related Programs Appropriations Act of 1990, Public Law 101-167; Foreign Operations, Export Financing, and Related Programs Appropriations Act of 1991, Public Law 101-513; and Section 107(b)(1)(a) of the Victims of Trafficking and Violence Protection Act of 2000, Public Law 106-386.

OBJECTIVES:

To provide funding for employment-related and other social services for refugees, asylees, Amerasians, victims of a severe form of trafficking, and entrants in areas of high refugee concentration and high welfare utilization.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Assistance is limited to employment-related and other social services for refugees, asylees, Amerasians, victims of a severe form of trafficking, and entrants, as defined in the authorizing Acts, supplemented by appropriate documentation provided to the refugee from the Bureau of Citizenship and Immigration Services (BCIS), formerly INS.

Applicant Eligibility:

The State agency designated as responsible for the Refugee Resettlement Program is eligible to receive funding for assistance to counties and similar areas in States where, because of factors such as unusually large refugee or entrant populations, high refugee or entrant concentrations in relation to the overall population, and high use of public assistance by refugees, there exists a need for supplementation of available resources for services to refugees.

Beneficiary Eligibility:

Persons admitted to the U.S. within the last 5 years as refugees under Section 207 of the Immigration and Nationality Act; granted asylum under Section 208 of the Act; Cuban and Haitian entrants, as defined in Section 501 of the Refugee Education Assistance Act; and certain Amerasians from Vietnam and their accompanying family members, as defined by Section 584(c) of the Foreign Relations, Export Financing, and Related Programs Appropriation Act of 1988. Victims of a severe form of trafficking who have received a certified or letter of eligibility from ORR.

Credentials/Documentation:

Persons for whom assistance is authorized are limited to refugees, asylees, and Amerasian immigrants with documentation from the BCIS as to their status and to Cuban and Haitian entrants with documentation from the BCIS as to status allowed under Title V of the Refugee Education Assistance Act of 1980, and victims of a severe form of trafficking who have been certified by ORR. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

States with qualifying counties must submit an application for funding in accordance with application requirements described in the annual notice of availability of formula allocation funding for targeted assistance grants. A State must submit a single application on behalf of all qualified counties in that State. Funding is contingent upon the submission and approval of a State application. Subsequent to the approval of the application by ORR, county governments develop local targeted assistance plans and submit them to the State.

Award Procedure:

Grants are made to designated State agencies on behalf of qualifying counties. State agencies, in turn, receive, review, and determine the acceptability of individual county targeted assistance plans. Not less than 95 percent of targeted assistance funds must be allocated by the State to qualified counties.

Deadlines:

The deadline for applications is 30 days from the date of publication of the final notice. Contact the Office of Refugee Resettlement (ORR) listed below for further information.

Range of Approval/Disapproval Time:

Approximately 1 month

Appeals:

None.

Renewals:

Grants are for an annual budget period and a 3-year project period. FY 06 was the second year of the 3-year grant cycle (FY 05 - FY 07). In FY 06, ORR reviewed and verified refugee data on arrivals, entrants and asylees data submitted by States and for counties that qualified for formula targeted

assistance program (TAP) funds in FY 05. Counties qualified in FY 05 continues to qualify for TAP through FY 07. The new 3-year grant cycle will begin in FY 08 and ORR will begin the re-qualification process.

Formula and Matching Requirements:

The program provides funding, to the extent of available appropriations, for employment-related and other social services for eligible refugees, asylees, Amerasian immigrants, victims of a severe form of trafficking, and entrants. The formula for allocation is not established in legislation. Funds are distributed to States according to a formula which takes into account refugee, asylee, Amerasian, and entrant arrivals in the past five fiscal years. Targeted assistance funds must supplement, rather than supplant existing State and local resources. There is no cost-sharing or matching requirement, however, there is a 60-month limitation on the provision of targeted assistance services for refugees at 45 CFR 400.315(b).

Length and Time Phasing of Assistance:

Funds are appropriated on a yearly basis. Payments to States are made through the Payment Management System (PMS).

Reports:

Quarterly expenditure and program performance (and related statistics) reports are provided by the State agency to ORR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133."

Records:

Separate financial records must be maintained by State agency for 3 years after final payment or of the time period for the particular records in Parts 1-20 of Federal Procurement Regulations, whichever expires earlier.

Account Identification:

75-1503-0-1-609.

Obligations:

(Grants) FY 07 \$43,731,000; FY 08 \$43,731,000; and FY 2009 est \$43,731,000.

Range and Average of Financial Assistance:

TAG awards in FY 07 were in the range of \$127,523 to \$17,187,504; TAG awards in FY 2008 are estimated to be in the range of \$127,000 to \$17,000,000; and TAG awards in FY 2009 are estimated to be in the range of \$127,000 to \$17,000,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 43 grants were awarded. It is anticipated that 42 grants will be awarded in FY 08 and FY 09. For FY 07, ORR reviewed and weighted both the 5-year arrival population (FYs 2002 through 2006) for each county and the concentration of refugees within that county to determine its allocation for targeted assistance. FY 07 was the 3rd and final year of the qualification cycle. FY 2008 is the first year of a new qualification cycle. FY 2009 will be the second year of the qualification cycle.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Subpart L-400.310 to 400.319. Various materials are available on request from the Office of Refugee Resettlement, Administration for Children and Families, Department of Health and Human Services, Aerospace Building, 8th Floor West, Washington, DC 20447.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Refugee Resettlement, Administration for Children and Families, Department of Health and Human Services, Division of Budget, Policy, and Data Analysis, 8th Floor, 370 L'Enfant Promenade, SW., Washington, DC 20447. Contact: Henley Portner. Telephone: (202) 401-5363. E-mail address: Henley.Portner@acf.hhs.gov.

Web Site Address:

<http://www.acf.hhs.gov/programs/orr>.

RELATED PROGRAMS:

93.566, Refugee and Entrant Assistance_State Administered Programs; 93.576, Refugee and Entrant Assistance_Discretionary Grants; 93.567, Refugee and Entrant Assistance_Voluntary Agency Programs.

EXAMPLES OF FUNDED PROJECTS:

(1) Job development and placement; (2) on-the-job training; (3) business and employer incentives; (4) job-related and vocational English; and (5) short-term job training.

CRITERIA FOR SELECTING PROPOSALS:

A State with at least one qualifying county submits an application on behalf of the qualified counties in that State. Subsequent to the approval of the State's application by ORR, county governments develop local targeted assistance plans for its submission to the State.

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Omnibus Budget Reconciliation Act of 1993, Section 13712, Public Law 103-66; Deficit Reduction Act of 2005, Section 7401, Public Law 109-171; The Safe and Timely Interstate Placement of Foster Children Act of 2006, Section 9, Public Law 109-239; The Child and Family Services Improvement Act of 2006, Section 3, Public Law 109-288.

OBJECTIVES:

To assist State courts in performing their role in the continuum of care provided for families and children at risk. It provides State courts with the flexibility to design assessments which identify barriers to timely and effective decision-making, highlight practices which are not fully successful, examine areas they find to be in need of correction or added attention, and then implement reforms which address the State courts specific needs. Two new objectives added in 2005 focus on court-agency collaboration and, direct courts to improve case tracking and analysis and 2) increase training of court personnel, including cross-training with agency staff. In 2006 an objective was added to require assessment and improvement of State courts handling of the interstate placement of children.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Grants under the State Court Improvement Program may be used for assessment and improvement activities, broadly defined, of the child welfare functions of a court system; for improved case tracking and analysis of child welfare cases; and for training of legal and judicial personnel in child welfare cases, including cross-training with child welfare agency staff and contractors.

Applicant Eligibility:

The highest State courts in each of the 50 States, the District of Columbia and Puerto Rico are eligible to apply for funding. The term "highest State court" means the judicial tribunal which is the ultimate court of appeals in the State.

Beneficiary Eligibility:

The State courts are eligible.

Credentials/Documentation:

All State courts which submit applications as per instructions released by the Children's Bureau, Administration on Children, Youth, and Families (ACYF) are eligible to receive Court Improvement Program grant funds.

Praeapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Instructions can be obtained from the Children's Bureau, ACYF and the appropriate HHS Regional Offices.

Award Procedure:

Awards to approved applicants will be made from the Administration for Children and Families, Office of Administration, Office of Grants Management.

Deadlines:

None.

Range of Approval/Disapproval Time:

Federal approval time will be between 30 and 120 days.

Appeals:

Appeals are processed in accordance with HHS Departmental regulations at 45 CFR Part 16.

Renewals:

Applications for fiscal year 2007, fiscal year 2008 and fiscal year 2009 funds will be requested and accepted based on guidance to State courts by the Children's Bureau.

Formula and Matching Requirements:

Each State court with an approved application is allotted \$85,000 for fiscal year 2007-20011. In addition to this base amount, the remainder of the amount appropriated for all State courts (\$12,080,287 for fiscal year 2008) will be divided among those courts with approved applications according to each State's proportionate share of children under the age of 21. If any State courts do not apply for their share of these funds, the unclaimed amount will be reallocated each year to all other State courts with approved applications. Nonfederal share (or match) is required at the rate of 25 percent of the total budget. The same formula and match requirements apply to funds appropriated for the two new purposes for fiscal years 2006 through 2010 (\$20,000,000).

Length and Time Phasing of Assistance:

The U.S. Treasury will provide funds for this program.

Reports:

Both fiscal and program reports are required annually and are due 90 days after the close of the grant year.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State, local governments, and nonprofit organizations" State and local governments that expend more than \$500,000 in Federal awards within the State's fiscal year shall have an audit made in accordance with OMB Circular No. A-133, or in accordance with Federal laws and regulations governing the programs in which

they participate. Other audits are conducted in accordance with the requirements of 45 CFR Part 92.

Records:

Records must be retained at least 3 years. Records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-1512-0-1-506.

Obligations:

(Grants) FY 07 \$32,940,300; FY 08 \$32,089,272; and FY 09 est \$32,089,272.

Range and Average of Financial Assistance:

\$97,664 TO \$1,201,407; \$248,852.

PROGRAM ACCOMPLISHMENTS:

There were 50 basic CIP grants awarded in fiscal year 2007. It is estimated that 51 grants will be awarded in fiscal years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACYF-PI-94-12 issued June 27, 1994, ACYF-CB-PI-99-02 issued February 23, 1999, Letter of April 24, 2002 from ACYF Commissioner Joan Ohl to the Highest State Courts of Appeal, ACYF-CB-PI-03-04 issued March 28, 2003, and ACYF-CB-PI-06-05 issued June 15, 2006, and ACYF-CB-PI-07-09 issued June 4, 2007. The Court Improvement Program is not regulated. Literature about court assessments and improvements can be found by contacting the National Child Welfare Resource Center for Legal and Judicial Issues at 800-285-2221.

Regional or Local Office:

Please contact the ACF branch of the appropriate Regional Office. (See Appendix IV of the Catalog.)

Headquarters Office:

Emily Cooke, Children's Bureau, 1250 Maryland Avenue, S.W., Washington, DC 20024. Telephone: (202) 205-8709.

Web Site Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556, Promoting Safe and Stable Families; 93.643, Children's Justice Grants to States.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None. This is a formula grant program which does not receive discretionary grant proposals.

93.587 PROMOTE THE SURVIVAL AND CONTINUING VITALITY OF NATIVE AMERICAN LANGUAGES

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Native American Programs Act of 1974, as amended, Public Law 93-644, 88 Stat. 2324, 42 U.S.C. 2991b; Native American Languages Act of 1992, Public Law 102-524, 104 Stat. 883.

OBJECTIVES:

To provide financial assistance to eligible applicants for the purpose of three the survival and continued vitality of native languages. This program area is divided into three categories: Category I Assessment Grants are 12-month projects to be used to conduct an assessment of the current status of the native language; Category II Planning Grants are up to 24 month projects to be used to plan a language project. Implementation Grants are 12 to 36-month projects and allow for the implementation of language projects that support the community's long-term language preservation goals.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for language preservation and enhancement.

Applicant Eligibility:

The following organizations are eligible to apply; Federally-recognized Indian Tribes (as listed by the Bureau of Indian Affairs in an October 21, 1993 Federal Register notice); Incorporated Non-Federally recognized Indian Tribes; Alaska Native villages as defined in the Alaska Native Claims Settlement Act (ANSCA) and/or nonprofit village consortia; Nonprofit Alaska Native Regional Associations with village specific projects; Nonprofit Native organizations in Alaska with village specific projects; Incorporated nonprofit multi-purpose community-based Indian organizations; Urban Indian Centers; Public and nonprofit private agencies serving Native Hawaiians; National or regional incorporated nonprofit Native American organizations with Native American community-specific objectives; Public and nonprofit private agencies serving native peoples from Guam, American Samoa, or the commonwealth of the Northern Mariana Islands (The populations served may be located on these islands or in the United States.); and Tribally Controlled Community Colleges, Tribally Controlled Post Secondary Vocational Institutions, and colleges and universities located in Hawaii, Guam, American Samoa, or the commonwealth of the Northern Mariana Islands which serves Native American Pacific Islanders.

Beneficiary Eligibility:

American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders will benefit

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Information regarding the availability of grant funds will be posted in Grants.gov and the ACF Funding Opportunities websites as Program Announcements, which will provide details on program objectives for which applications are being solicited and other application requirements. The Administration for Native Americans will provide each applicant with the appropriate forms for the application for Federal Assistance and instructions for applying for grants from Administration for Native Americans programs. Hard copy applications should be submitted to Administration for Children and Families, Office of Grants Management, Division of Discretionary Grants, Aerospace Building 6th Floor East, 370 L'Enfant Promenade, S.W., Washington, DC 20447. Electronic submissions are submitted through Grants.gov.

Award Procedure:

All funds are awarded directly to the grantees.

Deadlines:

Each program announcement specifies the due date for submission of applications.

Range of Approval/Disapproval Time:

Applicants will receive notice of approval/Disapproval approximately 120 days after receipt of application.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula for distribution of funds. A matching share of 20 percent of the total approved project cost is required unless waiver is requested in accordance with criteria which are also published in 45 CFR, Part 1336.50.

Length and Time Phasing of Assistance:

Grantees may apply for competitive continuation support within a project period. Category II, Planning Grants, can be from 12-24 months; Category III, Implementation Grants from 12-36 months; Category IV, Immersion Grants from 12-36 months.

Reports:

Quarterly Financial Status Reports, Report of Federal Cash Transactions, and Project Progress Reports are required.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Financial records, supporting documents and all other related records pertinent to ANA grants must be maintained for a period of 3 years. If an audit is not completed by the end of 3-year period, or if audit findings have not been resolved, records shall be retained until resolution of the audit findings.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$5,100,000; FY 08 \$5,000,000; and FY 09 est \$5,000,000.

Range and Average of Financial Assistance:

Category I: \$50,000 to \$100,000, average award \$75,000; Category II \$50,000 to \$150,000 per budget period, average award \$100,000. Category III: \$50,000 to \$200,000 per budget period, average award \$150,000. Category IV: \$150,000 to \$250,000, average award TBD.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 44 grants were awarded. It is anticipated that 35 grants will be awarded in fiscal years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in 45 CFR 1336.

Regional or Local Office:

None.

Headquarters Office:

Administration for Native Americans, Department of Health and Human Services, Mail Stop Aerospace Center 2th Floor-West 370 L'Enfant Promenade, S.W., Washington, DC 20447. Contact: Director of Program Operations, (877) 922-9262.

Web Site Address:

<http://www.acf.hhs.gov/programs/ana>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

<http://www.acf.hhs.gov/programs/ana>.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each program announcement. In general, proposals are judged on the basis of relevance to

program objectives as stated in the program announcement, project viability, community support, reasonable cost estimates, and qualifications of applicant organization and personnel.

93.590 COMMUNITY-BASED CHILD ABUSE PREVENTION GRANTS

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Child Abuse Prevention and Treatment Act, 42 U.S.C. 5116 et seq., as amended, Public Law 108-36.

OBJECTIVES:

To assist States to support community-based efforts to develop, operate, expand, and enhance, and where appropriate to network, initiatives aimed at the prevention of child abuse and neglect.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

To receive funds, States must meet eligibility requirements stated in the authorizing legislation and use of funds to develop, operate, expand, and enhance community-based, prevention focused programs and activities designed to strengthen and support families to prevent child abuse and neglect. One percent is set aside for discretionary grants to migrant and tribal populations and an additional amount to fund a national resource center to provide training and technical assistance to State lead agencies.

Applicant Eligibility:

States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

There are no eligibility requirements. Beneficiaries, which include children and their families, and organizations dealing with community-based, prevention focused programs and activities designed to prevent child abuse and neglect.

Credentials/Documentation:

Applications require certification and/or documentation that the State meets the eligibility requirements described in the Act requiring that: (1) the CEO of the State has designated a lead entity to administer funds under this title for the purposes identified under the authority of this Title; (2) the CEO of the State has provided assurances that the lead entity will provide or be responsible for providing community-based, prevention focused programs and activities designed to strengthen and support families to prevent child abuse and neglect (through networks where appropriate) composed of local, collaborative, public-private partnerships directed by interdisciplinary structures with balanced representation from public and private sector members, parents, and public and private nonprofit service providers, individuals and organizations experienced working in partnership with families with children with disabilities; and (3) the CEO of the State has provided additional assurances that the lead entity has demonstrated certain capacities that ensure the States ability to meet the purposes of this authority. Applicable costs and administrative procedures will be determined in accordance with 45 CFR 74 and 92.

Preapplication Coordination:

Preapplication is not required. Advice and technical assistance are available from the Children's Bureau, OCA to State applicants. This program is excluded from coverage under E.O. 12372.

Application Procedure:

States and Territories respond to Annual Program Instruction which announces availability of funds. Application must include assurance and information required in Program Instruction, as well as completed forms from Program Instruction.

Award Procedure:

Applications are reviewed against all eligibility requirements at the Headquarters level. Approval/disapproval is decided by the Commissioner, ACYF.

Deadlines:

Deadlines will be provided in the annual Program Instruction. Contact Headquarters Office listed below for future deadlines.

Range of Approval/Disapproval Time:

From 2 to 3 months.

Appeals:

None.

Renewals:

Grants are made annually. A new application is required each year.

Formula and Matching Requirements:

States that meet all of the eligibility requirements will be awarded funds based on the following statutory formula: (a) 70 percent of the total amount appropriated is allotted among the States based on the number of children under the age of 18 in each such State, except that each State shall receive not less than \$175,000; and (b) the remaining 30 percent of the total amount appropriated is allotted in an amount that bears the same proportion to such amount appropriated as the amount leveraged by the State from private, State, or other

nonfederal sources and directed through the State lead agency in the preceding fiscal year bears to the aggregate of the amount leveraged by all States from private, State, or other nonfederal sources and directed through the lead agency of such States in the preceding fiscal year.

Length and Time Phasing of Assistance:

Grants are awarded annually by the Secretary to the State on an annual basis, as described by the formula information Section.

Reports:

Financial and program progress reporting requirements are described in Program Instructions.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records are to be kept in accordance with 45 CFR Parts 74 and 92.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$42,429,000; FY 08 \$41,689,000; and FY 09 est \$41,689,000.

Range and Average of Financial Assistance:

In FY 2007 the awards ranged from \$200,000 to \$3,930,920 and for FY 2008 and FY 2009 the estimated range is between \$200,000 to \$3,850,233. The average award is \$718,045.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 60 grants were awarded. The territories of Guam, American Samoa, Virgin Island, and Commonwealth of Northern Mariana Islands received \$200,000 each under their consolidated grant program. It is anticipated that 60 grants will be awarded in fiscal years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

All pertinent instructions are contained in the annual Program Instruction.

Regional or Local Office:

None.

Headquarters Office:

Melissa Lim Brodowski, Office on Child Abuse and Neglect, 1250 Maryland Avenue, SW, Washington DC, 20024, 8th Floor. Telephone: 202-205-2629, E-mail: melissa.brodowski@acf.hhs.gov.

Web Site Address:

<http://www.friendsnrc.org>

RELATED PROGRAMS:

93.643, Children's Justice Grants to States; 93.645, Child Welfare Services, State Grants; 93.648, Child Welfare Services Training Grants; 93.667, Social Services Block Grant; 93.669, Child Abuse and Neglect State Grants.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

States which meet all eligibility requirements will receive funds under this program.

93.591 FAMILY VIOLENCE PREVENTION AND SERVICES/GRANTS FOR BATTERED WOMEN'S SHELTERS-GRANTS TO STATE DOMESTIC VIOLENCE COALITIONS

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Title III, Child Abuse Amendments of 1984, Public Law 98-457, 42 U.S.C. 10401 et seq.; Family Violence Prevention and Services Act (FVPSA) of 1986, as amended; Child Abuse Prevention, Adoptions, and Family Services Act of 1988, Public Law 100-294; Public Law 102-295; Violent Crime Control and Law Enforcement Act, Public Law 103-322; Child Abuse Prevention and Treatment Act (CAPTA) OF 1996, Public Law 104-235; Victims of Trafficking and Violence Protection Act, Public Law 106-386; Keeping Children and Families Safe Act of 2003, Public Law 108-36; Violence Against Women Reauthorization Act of 2005, Public Law 109-162.

OBJECTIVES:

To further the purposes of domestic violence intervention and prevention through coordination, training, and collaboration with systems that affect battered women; to provide services, community education and technical assistance to such programs to establish and maintain shelter and related services for victims of domestic violence and their dependents.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

State domestic violence coalitions may use funds under the Act to support a range of activities, including working with local domestic violence programs and providers of direct services to encourage appropriate responses to domestic violence within the State; working with judicial and law enforcement agencies to encourage appropriate responses to domestic violence cases and examine legal

issues; working with family law judges, criminal court judges, Child Protective Services agencies, and children's advocates to develop appropriate responses to child custody and visitation issues in domestic violence cases as well as cases where domestic violence and child abuse are both present; conducting public education campaigns regarding domestic violence through the use of public service announcements and informative materials that are designed for print media, billboards, public transit advertising, electronic broadcast media, and other vehicles for information including information aimed at underserved racial, ethnic or language-minority populations; and participating in planning and monitoring the distribution of grants and grant funds within the State under Section 303(a) of the Act. (See CFDA 93.671, Family Violence Prevention and Services: Grants to State and Indian Tribes.)

Applicant Eligibility:

To be eligible for a grant under this Section, an entity shall be a statewide nonprofit State Domestic Violence Coalition, or a membership organization consisting of the battered women's shelters in the state, or territory.

Beneficiary Eligibility:

This program will benefit victims of domestic violence, their children and other dependents, their families, and other persons affected by such violence including friends, relatives, and the general public.

Credentials/Documentation:

Applications must be submitted for approval by the Secretary of Health and Human Services. Costs will be determined in accordance with 45 CFR 74.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be submitted to the Administration on Children, Youth and Families. No standard application forms are required. Specific instructions are published via the Internet under the Form section at the following website: <http://www.acf.hhs.gov/grants>. Contact Headquarters Office listed below for further information.

Award Procedure:

Funds are awarded directly to State Domestic Violence Coalitions.

Deadlines:

Specific instructions are published criteria published on the following website: <http://www.acf.hhs.gov/programs/fysb>. You may also get information on the Family and Youth Services Bureau website at <http://www.acf.hhs.gov/programs/fysb>.

Range of Approval/Disapproval Time:

None.

Appeals:

See 45 CFR 16, Procedures of the Department Appeals Board.

Renewals:

None.

Formula and Matching Requirements:

No less than 10 percent of the amount available for grants will be made available to the State Domestic Violence Coalition in each of the 50 States, Puerto Rico and the District of Columbia. Grants for the Coalitions in the combined U.S. Territories of Guam, the U.S. Virgin Islands, Northern Mariana Islands, and American Samoa shall not receive less than 1/53 of the funds appropriated for each fiscal year.

Length and Time Phasing of Assistance:

The annual grant award must be expended by each State Domestic Violence Coalition by the end of the fiscal year following the fiscal year for which funds are awarded. Payments are made through the Electronic Transfer System.

Reports:

Each State Domestic Violence Coalition receiving amounts under this section shall submit an annual report to the Secretary describing the coordination, training and technical assistance, and public education services performed with the grant funds received and evaluating the effectiveness of those services.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92 and OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

State coalitions are required to maintain records documenting the purposes for which expenditures were made. Requirements are found in 45 CFR Part 92.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$12,473,100; FY 08 \$12,255,200; and FY 09 est \$12,255,200.

Range and Average of Financial Assistance:

Each State Domestic Violence Coalition received \$235,341 in fiscal 2007; each coalition will receive approximately the same amount in fiscal year 2008 and 2009 based on small changes in the allotment.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2007, 53 grants were made to State Domestic Violence Coalitions for coordination, training, technical assistance, and public education activities. It is anticipated that 53 grants will be awarded in fiscal years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations codified in 45 CFR 1300. Final Rulemaking was published February 22, 1996 at 45 CFR 1370.

Regional or Local Office:

None.

Headquarters Office:

Marylouise Kelley; Family and Youth Services Bureau, Administration on Children, Youth and Families, 1250 Maryland Avenue, SW, Suite 8216, Washington, DC 20024. Telephone: (202) 401-5756.

Web Site Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.556, Promoting Safe and Stable Families; 93.645, Child Welfare Services State Grants; 93.667, Social Services Block Grant; 93.669, Child Abuse and Neglect State Grants; 93.994, Maternal and Child Health Services Block Grant to the States.

EXAMPLES OF FUNDED PROJECTS:

Based on State and local priorities, Domestic Violence Coalitions determine the activities to be funded for training and technical assistance, public information and education, and coordination with other governmental systems in developing and improving comprehensive services.

CRITERIA FOR SELECTING PROPOSALS:

Each State Domestic Violence Coalition will receive its respective share of funds if the application submitted meets the necessary requirements.

93.592 FAMILY VIOLENCE PREVENTION AND SERVICES/GRANTS FOR BATTERED WOMEN'S SHELTERS-DISCRETIONARY GRANTS

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Title III, Child Abuse Amendments of 1984, Public Law 98-457, 42 U.S.C. 10401 et seq.; Family Violence Prevention and Services Act(FVPSA) of 1986, as amended; Child Abuse Prevention, Adoptions, and Family Services Act of 1988, Public Law 100-294; Public Law 102-295; Violent Crime Control and Law Enforcement Act, Public Law 103-322; Child Abuse Prevention and Treatment Act (CAPTA) OF 1996, Public Law 104-235; Victims of Trafficking and Violence Protection Act, Public Law 106-386; Keeping Children and Families Safe Act of 2003, Public Law 108-36; Violence Against Women Reauthorization Act of 2005, Public Law 109-162.

OBJECTIVES:

To fund a wide range of discretionary activities for the purpose of preventing family violence; protecting victims and their dependents; improving the design, delivery, and coordination of services to address family violence; gathering information on the incidence of family violence; and increasing knowledge and understanding of the issue through research, demonstration, and evaluation projects. Specific sections in the Act authorize funding for such discretionary projects as the National Resource Centers; a national domestic violence hotline; public education and information activities; and research into the most effective programs for the prevention, identification, and treatment of family violence.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Discretionary grants may be made to public and private entities and may take the form of a competitive grant, a cooperative agreement, or a contract, depending on the statutory requirements. Applicants must meet the program and administrative requirements specified in the appropriate Federal Register or Commerce Business Daily announcement.

Applicant Eligibility:

Depending on the purpose of the project and the statutory requirements, an applicant may be a public agency, a private agency (nonprofit), a federally-recognized Indian tribe, an Alaska Native Village, or a nonprofit Alaska Native Regional Corporation.

Beneficiary Eligibility:

These discretionary grants will benefit victims of family violence, their dependents, families, other interested persons, and the general public.

Credentials/Documentation:

Applicants must submit an application for approval by the Secretary of Health and Human Services. Costs will be determined in accordance with 45 CFR Parts 74 for private nonprofit agencies and organizations; and in accordance with 45 CFR Part 92 for State, local, and Indian Tribal governments.

Preapplication Coordination:

All discretionary grants and cooperative agreements funded under this statute are

covered under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should contact the office of the official designated as the State Single Point of Contact (SPOC) as part of the application process.

Application Procedure:

Awards are granted on a competitive basis. All applications must contain standard forms 424 and 424A and all required certifications. Specific instructions are published via the Internet under the Forms section at the following website: <http://www.acf.hhs.gov/grants/index.html>.

Award Procedure:

The Commissioner, Administration on Children, Youth and Families, will make the final decision on all awards. Selection of applicants will be determined based on the review criteria published on the following website:

http://www.acf.hhs.gov/grants/grants_fysb.html. Funds are awarded directly to grantees.

Deadlines:

Contact Headquarters Office listed below for further information.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

None.

Renewals:

Renewals (continuations), if authorized under the terms of the award, are awarded based on satisfactory performance and availability of funding.

Formula and Matching Requirements:

Dependent upon priority area application request.

Length and Time Phasing of Assistance:

Projects may vary from a 12-month project period to a 5-year project period depending on statutory and programmatic requirements.

Reports:

Depending on the grant being funded, reports may be all or any of the following: monthly, quarterly, semi-annual, and annual.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92 and OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Recipients are required to keep financial and program records necessary for program review and audit to ensure that funds have been expended in accordance with the statute, regulations, grant conditions, and administrative terms of the project funded.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$127,680,000 which includes \$2,970,000 allocated for Family Violence Hotline; FY 08 est \$125,470,000 which includes \$2,918,000 allocated for Family Violence Hotline and FY 09 est \$125,470,000 which includes \$2,918,000 allocated for Family Violence Hotline. (Contracts) FY 07 \$1,302,000; FY 08 est \$1,264,000 and FY 09 est \$1,245,000.

Range and Average of Financial Assistance:

\$25,000 to \$1,580,300.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2007, 40 grant awards were made to domestic violence advocacy organizations, States, Tribes and Universities. It is anticipated that the same amount of grants will be awarded in fiscal years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations codified in 45 CFR 1300. You may also get information on the Family and Youth Services Bureau website at <http://www.acf.hhs.gov/programs/fysb>.

Regional or Local Office:

None.

Headquarters Office:

Marylouise Kelley, Family and Youth Services Bureau, Administration on Children, Youth and Families, 1250 Maryland Avenue, SW., Suite 8216 Washington, DC 20024. Telephone: (202) 401-5756.

Web Site Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.556, Promoting Safe and Stable Families; 93.612, Native American Programs; 93.645, Child Welfare Services State Grants; 93.667, Social Services Block Grant; 93.669, Child Abuse and Neglect State Grants.

EXAMPLES OF FUNDED PROJECTS:

Funded projects include one National Resource Center on Domestic Violence and four Special Issue Resource Centers, the National Domestic Violence Hotline, public information and education campaigns, and outreach activities to underserved and diverse populations.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on the criteria published in the Funding Opportunity Announcement located on the following website: <http://www.acf.hhs.gov/grants> or Commerce Business Daily program announcement.

93.593 JOB OPPORTUNITIES FOR LOW-INCOME INDIVIDUALS

(JOLI Program)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Family Support Act of 1988, Section 505, Public Law 100-485, (42 U.S.C. 1926) as amended, Personal Responsibility and Work Opportunity Reconciliation Act of 1996, Section 112, Public Law 104-193.

OBJECTIVES:

The purpose of the JOLI program is to create new jobs to be filled by low-income individuals. JOLI grantees create jobs through business plans and the provision of technical and/or financial assistance to private employers in the community. Accordingly, JOLI grantees assist in creating new employment and business opportunities for individuals receiving Temporary Assistance for Needy Families (TANF) and for other low-income individuals. Funded projects focus on one of three program strategies to create new job and employment opportunities: (1) new business ventures, (2) business expansion, and (3) self-employment/micro-enterprise.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funded projects must: (1) create new employment and/or business opportunities for TANF recipients and other low-income individuals; (2) enter into a cooperative agreement with the local TANF agency involving client referrals to the applicant project and supportive services for clients, and applicants are encouraged to establish a formal partnership with the local Child Support Enforcement (CSE) agency involving referrals of non-custodial parents to the applicant; (3) develop a comprehensive project design that describes: the work program and strategy; the participant training design; supportive services and counseling; technical assistance and financial assistance plan; access to business capital; and, follow-up participant support services; and, (4) include an independent, methodologically sound evaluation of the effectiveness of the activities in creating new jobs and/or business opportunities. Funds awarded under this program cannot be used for 1) new construction, 2) the purchase of real property, 3) fundraising, 4) reimbursement of pre-award costs, 5) any project where the role of the applicant is primarily to serve as a conduit for funds to organizations other than the applicant, or 6) a previously funded grantee to carry out the same project in the same geographic area. A minimum of 20 percent of the funds must be used for the provision of loans or other financial assistance to program participants. Grantee's applicable costs and administrative procedures will be determined in accordance with 45 CFR Part 74.

Applicant Eligibility:

Only nonprofit organizations (including community development corporations, faith based, charitable, and tribal organizations) that are tax-exempt under section 501(a) of the Internal Revenue Code of 1986, by reason of paragraph (3) or (4) of section 501(c) of such Code are eligible to apply.

Beneficiary Eligibility:

Only recipients of Temporary Assistance for Needy Families (TANF) benefits and any other individual, whose income level does not exceed 100 percent of the official poverty guidelines, as published by the U.S. Department of Health and Human Services, can be served under this program. Emphasis is placed on serving individuals who are TANF recipients, homeless, unemployed, non-custodial parents, reside in public housing or receive housing assistance.

Credentials/Documentation:

Applicants must include with the application written evidence of the local TANF agency's willingness to enter a cooperative agreement. Applicants are strongly encouraged to include with the application written evidence of a partnership with the local CSE agency. All non-profit organizations (including community development corporations, faith based, charitable, and tribal organizations) must include with the application written proof of tax-exempt status under 501(c) (3) or (4) of Internal Revenue Code of 1986.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Program". If the applicant's State has selected the program for review, the applicant should consult the office or the official designated as the Single Point of Contact in his or her State to obtain more information involving State requirements on the process to be followed in applying for assistance.

Application Procedure:

All information and forms required to prepare a grant are published in the Federal Register and www.grants.gov website.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Financial Assistance Award. Official notice of an accepted application proposal is made through award of a grant.

Deadlines:

All information and application forms required to prepare a application are at www.grants.gov.

Range of Approval/Disapproval Time:

Generally, solicited grants will be acted upon within 90-120 days.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Not applicable.

Formula and Matching Requirements:

There is no requirement for matching Federal funds. Applicants are however, encouraged to share in the cost of projects and to mobilize other resources for project implementation. Cooperative letters of resource commitment are given special considered in the review of applications.

Length and Time Phasing of Assistance:

Grant awards are approved for up to a 3 year budget and project period. The maximum grant for the full project and budget period is \$475,000.

Reports:

Required reporting includes: Semi-Annual Programmatic and Semi-Annual Fiscal reporting and Final program and fiscal reporting, which is a cumulative report for the total project operational period. All projects must include an independent, methodologically sound evaluation of the effectiveness of the activities carried out under the grant. Interim Project Evaluation reports must be submitted annually and a Final Project Evaluation report at the end of the project period. Evaluation reporting includes: project evaluation plan, annual evaluation reports, and a final cumulative evaluation report.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of States, Local Governments and Non-Profit Organizations, Non-Federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part. Non-Federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in section .215(a), but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO).

Records:

Financial and other records of the grant are to be kept 3 years after the termination of the grant or until audited, whichever comes first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$5,382,000; FY 08 \$5,288,000; and FY 09 est not available.

Range and Average of Financial Assistance:

In FY 2007, the Maximum award is \$500,000, Ten grants were awarded in FY 2008, the Maximum award is \$475,000; 10 to 12 grant awards are anticipated.

PROGRAM ACCOMPLISHMENTS:

Ten grants were awarded in FY 2007. It is anticipated that the same number of grants will be awarded in FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

The JOLI Program Announcement information is available at: www.grants.gov; and The Poverty Guidelines are available at: <http://aspe.os.dhhs.gov/poverty/index.shtml>.

Regional or Local Office:

Not applicable.

Headquarters Office:

Rafael J. Elizalde, Team Leader, Division of Community Discretionary Programs, Office of Community Services, Administration for Children and Families, U.S. Department of Health and Human Services, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401-5115; E-mail: rafael.elizalde@acf.hhs.gov.

Web Site Address:

<http://www.acf.hhs.gov/programs/ocs/index.html>

RELATED PROGRAMS:

93.570, Community Services Block Grant_Discretionary Awards; 93.647, Social Services Research and Demonstration; 93.645, Child Welfare Services State Grants; 93.601, Child Support Enforcement Demonstrations and Special Projects.

EXAMPLES OF FUNDED PROJECTS:

Revolving loan funds supporting micro-enterprise or other self-employment development and pertinent employment training and technical assistance and/or specialized job training related to new businesses and/or a specific business expansion project that is designed to create full-time permanent employment opportunities for eligible TANF and other low-income individuals to move them toward economic self-sufficiency.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each program announcement.

93.594 TRIBAL WORK GRANTS

(Native Employment Works; NEW)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Title IV, Part A, Section 412(a)(2), as amended.

OBJECTIVES:

To allow eligible Indian Tribes and Alaska Native organizations to operate a program to make work activities available.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Tribes have broad flexibility to use the grant for the purpose of making work activities available.

Applicant Eligibility:

An Indian Tribe or Alaska Native organization that conducted a Tribal JOBS (Job Opportunities and Basic Skills Training) Program in fiscal year 1995.

Beneficiary Eligibility:

Service areas and populations as designated by the eligible Indian Tribe or Alaska Native organization.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Each eligible Indian Tribe or Alaska Native organization must submit a NEW plan to the Secretary for approval.

Award Procedure:

The Administration for Children and Families (ACF) will issue grant awards.

Deadlines:

Plans generally are effective for three program years, subject to program reauthorization.

Range of Approval/Disapproval Time:

Approximately 45 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

See section 412(a)(2) of the Social Security Act, as amended. The grant amount for each Tribe will equal the amount it received in fiscal year 1994 to operate its JOBS Program. There are no matching requirements.

Length and Time Phasing of Assistance:

Grants will be issued annually in a lump sum payment.

Reports:

An annual program (narrative and statistical) report and interim and final SF 269-A reports are required.

Audits:

The Secretary will have the ability to maintain program funding accountability consistent with generally accepted accounting principles and the requirements of the Single Audit Act of 1984 and OMB Circular A-133.

Records:

Indian Tribes and Alaska Native organizations must maintain records containing information the Secretary may require.

Account Identification:

75-1552-0-1-609.

Obligations:

(Grants) FY 07 \$7,551,000; FY 08 \$7,633,000; and FY 09 est \$7,633,000.

Range and Average of Financial Assistance:

From \$5,187 to \$1,752,666; \$97,862.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 77 grants were awarded, which represented 98.7 percent of the total eligible grantee population. This number is estimated to rise to 78 grants in fiscal years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for the Native Employment Works (NEW) program were published on February 18, 2000, and became effective June 19, 2000.

Regional or Local Office:

Tribes should contact ACF Regional Offices. (See Appendix IV of the Catalog.)

Headquarters Office:

Ann Bowker, Division of Tribal TANF Management, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 5th Floor, Aerospace Building, 370 L'Enfant Promenade, SW, Washington, DC 20447. Telephone: (202) 401-5308.

Web Site Address:

<http://www.acf.dhhs.gov/programs/dts>.

RELATED PROGRAMS:

93.558, Temporary Assistance for Needy Families; 17.251, Native American Employment and Training Programs.

EXAMPLES OF FUNDED PROJECTS:

Currently, the Native Employment Works program has 77 tribal grantees operating work activity programs.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

93.595 WELFARE REFORM RESEARCH, EVALUATIONS AND NATIONAL STUDIES

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Deficit Reduction Act of 2005, Public Law 109-171, 42 U.S.C. 613, 613 note, and 710.

OBJECTIVES:

To support research on the benefits, effects, and costs of operating different State welfare programs, including studies on the effects of different programs and the operation of such programs on welfare dependency, employment rates, child well-being, family formation and healthy marriage, illegitimacy, teen pregnancy, and others; to assist States in developing and evaluating innovative approaches for reducing welfare dependency and increasing the well-being of minor children living at home.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants, cooperative agreements, and contracts are awarded for innovative research, demonstrations, and evaluations that are responsive to the Administration for Children and Families (ACF) program priorities. All applications must meet standards of excellence in research, demonstration, or evaluation design. Construction or the purchase of real estate are not allowable under these grants or contracts. Further, these funds may not be used to supplement the cost of program operations otherwise capped under the law. Organizations may not use funds under these grants or contracts to engage in inherently religious activities, such as worship, religious instruction, or proselytization. In addition, an organization receiving ACF funds shall not, in providing ACF-funded services, discriminate against a program beneficiary or prospective program beneficiary on the basis of religion or religious belief. Regulations pertaining to the Equal Treatment for Faith-Based Organizations, which include the prohibition against Federal funding of inherently religious activities, as well as provisions related to nondiscrimination against religious organizations and the ability of religious organizations to maintain their religious character, can be found at either 45 CFR 87.1 or the HHS web site at <http://www.os.dhhs.gov/fbc/waisgate21.pdf>.

Applicant Eligibility:

Grants and cooperative agreements may be made to or with governmental entities, colleges, universities, nonprofit and for-profit organizations (if fee is waived), and faith- and community-based organizations. Grants or cooperative agreements cannot be made directly to individuals. Contracts may be awarded to non-profit or for-profit organizations.

Beneficiary Eligibility:

Children, youth, and families, especially low-income families, will benefit.

Credentials/Documentation:

Applicants must present written evidence of other agencies' willingness to cooperate when the project involves the use of other agencies, facilities, or services. Nonprofit organizations which have not previously received ACF program support must submit proof of nonprofit status. For State and local governments, costs will be determined in accordance with 45 CFR 92 and OMB Circular No. A-87. For other grantees, applicable costs and administrative procedures will be determined in accordance with 45 CFR Parts 74 and 92.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants will be able to find a synopsis of grant or cooperative agreement opportunities and apply electronically for opportunities via: www.grants.gov. Applicants will also be able to find the complete text of grant announcements on the ACF web site located at: <http://www.acf.hhs.gov/grants/index.html>.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Financial Assistance Award (FAA). This provides the amount of funds awarded, the purpose of the award, the terms and conditions of the award, the budget period for which support is given, the total project period for which support is

contemplated, and the total grantee participation. Official notice of an accepted contract proposal is made through award of a contract.

Deadlines:

Deadlines for grants and cooperative agreements are announced in www.grants.gov as part of the announcement or are mailed to State Agencies where applicants are limited to those agencies. Deadlines for requests for contract proposals are posted on www.fedbizopps.gov.

Range of Approval/Disapproval Time:

From 60 to 365 days. Generally, solicited grants, cooperative agreements, and contracts will be acted upon within 120 days.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Grant extensions and continuations are available if formally applied for and approved. If an application is recommended for approval for 2 or more years, the grantee or contractor must submit a formal request for a non-competitive continuation application each year accompanied by a progress report which will be evaluated prior to a recommendation of continuation. This process is not applicable to contracts.

Formula and Matching Requirements:

Grantees are generally required to share in the cost of projects. Cost sharing may range up to 25 percent of the total approved project cost for grants or cooperative agreements.

Length and Time Phasing of Assistance:

Funds are granted on a 1- to 5-year basis (up to 10 years under certain exceptions), with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds.

Reports:

Reports of progress and expenditures are required on all projects. Comprehensive final reports are generally due within 90 days of completion of the project.

Audits:

All fiscal transactions identifiable to Federal financial assistance are subject to audit by the HHS audit agency, and other Federal officials. In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003) "Audits of States, Local Governments and Non-Profit Organizations," Non-Federal entities that expend \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) or more in a year in Federal awards shall have a single or program-specific audit conducted for that year. Non-Federal entities that expend less than \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in section 215(a), but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO).

Records:

Proper accounting records, identifiable by grant number and including all receipts and expenditures, must be maintained for 3 years in accordance with 45 CFR Part 92. Subsequent to audit, they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1553-0-1-609.

Obligations:

(Grants, Cooperative Agreements, and Contracts) FY 07 \$97,188,710 (Note: of this amount, \$11,860,489 was funded under 93.647); FY 08 \$94,882,000; and FY 09 est \$101,762,000. Four funding streams combine for these amounts: the Compassion Capital Fund; Welfare Research; Social Services Research and Demonstration; and the Child Welfare Study. With regard to the Compassion Capital Fund, FY 07 \$64,342,007, FY 08 \$52,688,000, and FY 09 est \$75,000,000. With regard to Welfare Research, FY 07 \$14,991,207, FY 08 \$15,000,000, and FY 09 est \$15,000,000. With regard to Social Services Research and Demonstration, FY 07 \$11,860,489, FY 08 \$21,194,000, and FY 09 est \$5,762,000. With regard to the Child Welfare Study, FY 07 \$5,995,007, FY 08 \$6,000,000, and FY 09 est \$6,000,000.

Range and Average of Financial Assistance:

(Grants, Cooperative Agreements and Contracts) From \$60,186 to \$5,995,000; average \$1,082,657.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, funding was provided to 26 research and evaluation projects through contracts or grants related to welfare reform and employment strategies (including projects testing promising strategies to serve the hard-to-employ and job retention and advancement, and research on sanction policies, time-limited assistance and local implementation) and to strengthening marriage and family formation. More information describing research and evaluation projects we have funded can be found at <http://www.acf.hhs.gov/programs/opre/>. We anticipate funding a similar number of projects related to these areas of interest in fiscal year 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

A variety of guidance on grants can be found at www.grants.gov and <http://www.hhs.gov/grantsnet/roadmap/index.html>. Helpful information on

contracts is available at www.fedbizopps.gov.

Regional or Local Office:

Contact the appropriate ACF Regional Administrator. (See Appendix IV of the Catalog for ACF Regional Offices.)

Headquarters Office:

Karl Koerper, Office of Planning, Research and Evaluation, Administration for Children and Families, Department of Health and Human Services, 7th Floor, 370 L'Enfant Promenade SW., Washington, DC 20447. Telephone: (202) 401-4535. Fax: (202) 205-3598. E-Mail: KKoerper@ACF.HHS.gov.

Web Site Address:

<http://www.acf.hhs.gov/programs/opre>.

RELATED PROGRAMS:

93.558, Temporary Assistance for Needy Families; 93.647, Social Services Research and Demonstration; 93.648, Child Welfare Services Training Grants.

EXAMPLES OF FUNDED PROJECTS:

See 93.647, Social Services Research and Demonstration, for examples of funded projects.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting projects to be funded under this program are published in www.grants.gov for grant announcements and in the solicitation for requests for proposals published in www.fedbizopps.gov for contracts.

93.596 CHILD CARE MANDATORY AND MATCHING FUNDS OF THE CHILD CARE AND DEVELOPMENT FUND

The Child Care Mandatory and Matching Funds are a part of the Child Care and Development Fund (CCDF) program, along with the Child Care and Development Block Grant or Discretionary Funds (see CFDA number 93.575).

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, as amended, 42 U.S.C. 618; Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Public Law 104-193); Child Care and Development Block Grant Act of 1990, as amended (Public Law 101-508, 42 U.S.C. 9858 et seq); Balanced Budget Act of 1997 (Public Law 105-33); and Deficit Reduction Act of 2005 (Public Law 109-171).

OBJECTIVES:

To make grants to States, Tribes, and tribal organizations for child care assistance for low-income families and to: (1) allow each State maximum flexibility in developing child care programs and policies that best suit the needs of children and parents within such State; (2) promote parental choice to empower working parents to make their own decisions on the child care that best suits their family's needs; (3) encourage States to provide consumer education information to help parents make informed choices about child care; (4) assist States to provide child care to parents trying to achieve independence from public assistance; and (5) assist States in implementing the health, safety, licensing, and registration standards established in State regulations.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Subchapter IV of the Social Security Act appropriates funds (Mandatory and Matching Funds) for the purpose of providing child care assistance. Lead Agencies must use Mandatory and Matching Funds for child care services on a sliding fee scale basis, activities that improve the quality or availability of such services, and other activities that realize the goals of the Child Care and Development Block Grant Act. Lead Agencies must ensure that not less than 70 percent of the total amount of Mandatory and Matching Funds are used to provide child care assistance to families who are receiving assistance under the Temporary Assistance for Needy Families program, families who are attempting through work activities to transition off of temporary assistance programs, and families who are at risk of becoming dependent on temporary assistance programs. Not more than five percent of the aggregate amount of CCDF Funds expended by the State (15 percent for Tribes or tribal organizations) may be expended for administrative costs incurred by the State to carry out all of its functions and duties. The term "administrative costs" does not include the costs of providing direct services. A State shall use not less than four percent of the CCDF Funds to improve child care quality and availability including comprehensive consumer education, activities to increase parental choice, and other activities such as resource and referral services, including a national toll-free hotline, provider grants and loans, monitoring and enforcement of requirements, training and technical assistance, and improved compensation for child care staff. Except for approved construction of child care facilities by tribal grantees, no Mandatory or Matching Funds may be used for the purchase or improvement of land, or for the purchase, construction, or permanent improvement of any building or facility (other than for minor remodeling and for upgrading of facilities to meet State and local child care standards.) No Mandatory or Matching Funds provided directly to child care providers through

grants or contracts may be expended for any sectarian purpose or activity, including sectarian worship or instruction; however, Grantees must give parents the option of receiving vouchers or certificates to allow parents the choice of faith-based or community child care providers. No Mandatory or Matching Funds may be provided for any services provided to students enrolled in grades 1 through 12 during the regular school day; for any services for which such students receive academic credit toward graduation; or for any instructional services which supplant or duplicate the academic program of any public or private school.

Applicant Eligibility:

All States, the District of Columbia, Federally recognized Tribal Governments, and tribal organizations, including Alaskan Native Corporations.

Beneficiary Eligibility:

Children under age 13 (or, at the option of the grantee, up to age 19, if physically or mentally incapable of self-care or under court supervision), who reside with a family whose income does not exceed 85 percent of the State median income for a family of the same size, and who reside with a parent (or parents) who is working or attending job training or educational program, or are in need of, or are receiving protective services.

Credentials/Documentation:

Grantees must operate under a CCDF plan approved by the Administration for Children and Families, and must provide assurances that the grantee will comply with the requirements of the Child Care and Development Block Grant Act and all applicable Federal law.

Preapplication Coordination:

Each grantee must designate a Lead Agency to which grants are awarded and that is accountable for the use of the Mandatory and Matching Funds provided, the duties of which shall include developing a CCDF plan. In conjunction with the development of the CCDF plan, the Lead Agency must hold at least one public hearing no earlier than nine months before the CCDF plan becomes effective and after at least 20 days of statewide public notice, to provide the public an opportunity to comment on the provision of child care services under the plan. In advance of the hearing, the Lead Agency must make the content of the plan available to the public. The Lead Agency must also coordinate the provision of services under the program with other Federal, State, and local child care and early childhood development programs. Also, the Lead Agency must consult with appropriate representatives of local governments. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Lead Agency desiring to receive an allotment for a fiscal year is required to submit a two-year CCDF plan to the Administration for Children and Families, as well as, financial and other information necessary for the grants process. Each plan must contain certifications and assurances by the Lead Agency that it will comply with the requirements of the Child Care and Development Block Grant Act. The plan must also include: the designation of a Lead Agency; the provision of assurances regarding policies and procedures as stated in Section 658E(c)(2) of the amended Child Care and Development Block Grant Act; an outline of the proposed use of block grant funds in compliance with Section 658E(c)(3) of the Child Care and Development Block Grant Act; the provision of certification regarding payment rates as stated in Section 658E(c)(4) of the Child Care and Development Block Grant Act; and the establishment of a sliding fee scale. Additional requirements are specified by 45 CFR Parts 98 and 99. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Grants are awarded after the receipt and approval of an application and plan by the Administration for Children and Families.

Deadlines:

Contact the Child Care Bureau's Headquarters Office listed below for deadline dates.

Range of Approval/Disapproval Time:

The Administration for Children and Families will review the plans for approval and will act on the plans within 90 days.

Appeals:

Guidelines for appeals of disapprovals of CCDF plans are specified in regulations, 45 CFR Part 99.

Renewals:

CCDF plans must be submitted every two years.

Formula and Matching Requirements:

Allocations of the Mandatory Funds are based on a State's Federal share of the expenditures for the now-repealed AFDC-linked child care programs (AFDC/JOBS Child Care, Transitional Child Care, and At-Risk Child Care) in 1994 or 1995, or the average of 1992 through 1994, whichever was greater. A State is not required to expend any State funds in order to receive its share of the Mandatory Funds. The remaining funds are Matching Funds and are distributed based on the number of children under age 13 in a State compared with the national total of children under age 13. To access Matching Funds, a State must obligate all of its mandatory funds allotted in a fiscal year and maintain 100 percent of the State's share of expenditures for the former programs in fiscal year 1994 or fiscal year 1995, whichever is greater. Matching Funds must be matched at the applicable FMAP rate, which is the Medicaid Program matching rate. Not

less than one percent, but not more than two percent of the total Mandatory and Matching Funds are reserved for Tribes and tribal organizations based on the number of children living on or near tribal reservations or other appropriate area served by the tribal grantee. Tribes and tribal organizations are not required to provide matching funds. The District of Columbia is treated as a State for purposes of receiving its share of Mandatory and Matching Funds. Territories are not eligible to receive Mandatory or Matching Funds.

Length and Time Phasing of Assistance:

Grant awards are made to Lead Agencies with approved CCDF plans. If Matching Funds are requested, Mandatory Funds must be obligated by the end of the first fiscal year. There is no time limit on liquidation of Mandatory Funds and no time limit on obligation of Mandatory Funds if no Matching Funds are requested. Matching Funds must be obligated by the end of the first fiscal year and liquidated by the 2nd fiscal year. State funds expended toward the maintenance of effort requirement must be both obligated and expended by the end of the first fiscal year. Mandatory and Matching Funds granted to Tribes and tribal organizations must be obligated by the end of the second fiscal year and liquidated by the end of the third fiscal year.

Reports:

As specified in 45 CFR Part 98, States must report to the Administration for Children and Families annually on data on families, children in care, providers, payment methods, and consumer education. Quarterly reports are required to provide data on families, children, and providers, and payment information. States must also submit quarterly expenditure reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 2, 2003), Audits of States, Local Governments, and Non-profit Organizations, non-federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Further auditing may be necessary.

Records:

Proper grant accounting records must be maintained.

Account Identification:

75-1515-0-1-609.

Obligations:

(Grants) FY 07 \$2,902,000,000; FY 08 \$2,917,000,000; and FY 09 est \$2,917,000,000. (These figures do not include CCDF Discretionary funds under 93.575.)

Range and Average of Financial Assistance:

For States, including the District of Columbia and Puerto Rico, the range of grants in FY 08 is: \$8,303,222 to \$532,337,832; average grant is approximately \$93,194,746. For 260 Tribal grantees, the range of grants in FY 08 is: \$22,845 to \$10,368,025; average grant is approximately \$383,006. For the four Territories, the range of grants in FY 08 is \$1,864,552 to \$4,022,522; average grant is approximately \$2,577,601. (These figures are inclusive of funds received through 93.575 and 93.596.)

PROGRAM ACCOMPLISHMENTS:

In FY 07, 289 grants were awarded. In FY 08, 289 grants were awarded. It is estimated that 289 grants will be awarded in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

These funds are subject to the Child Care and Development Fund Final Rule, issued July 24, 1998.

Regional or Local Office:

Persons are encouraged to communicate with the Child Care Bureau Regional Program Managers (RPM). A list of the RPM's and their contact information is available at www.acf.hhs.gov/programs/ccb/ta/raaddr/program_managers.htm. You may also contact the Child Care Bureau, Office of Family Assistance at (202) 690-6782 or by Fax at (202) 690-5600.

Headquarters Office:

Child Care Bureau, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 901 D Street S.W., 5th Floor East, Washington, DC 20047. Telephone: (202) 690-6782, Fax: (202) 690-5600.

Web Site Address:

<http://www.acf.hhs.gov/programs/ccb>

RELATED PROGRAMS:

93.558, Temporary Assistance for Needy Families; 93.575, Child Care and Development Block Grant.

EXAMPLES OF FUNDED PROJECTS:

Mandatory and Matching Funds are awarded to States via block grants, which, through their appointed Lead Agencies, make many of the decisions about priorities, policies, and expenditures in achieving goals related to improved family access to quality child care. States are required to commit at least four percent of their Mandatory and Matching funds to activities such as consumer education, resource and referral services, provider training, and caregiver recruitment designed to improve child care availability and quality.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.597 GRANTS TO STATES FOR ACCESS AND VISITATION PROGRAMS

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Section 469B.

OBJECTIVES:

To enable States to create programs which support and facilitate access and visitation by non-custodial parents with their children. Activities may include mediation, counseling, education, development of parenting plans, visitation enforcement and development of guidelines for visitation and alternative custody arrangements.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

A State to which a grant is made under this section may not use the grant to supplant expenditures by the State for allowable activities, but shall use the grant to supplement such expenditures at a level at least equal to the level of such expenditures for fiscal year 1995.

Applicant Eligibility:

All States, the District of Columbia, Puerto Rico, Virgin Islands and Guam.

Beneficiary Eligibility:

Custodial and non-custodial parents and children.

Credentials/Documentation:

The Governors have designated a single State agency to represent the State in carrying out this responsibility.

Preapplication Coordination:

State Governors have designated a single State agency to carry out this responsibility. Eligible applicants for these grants shall be State agencies, courts, nonprofit private agencies or local public agencies. Information will be provided to the State designated agencies on available funds and relevant projects. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are submitted biannually using the standard application form, as furnished by the Department of Health and Human Services and required by OMB Circular No. A-102. Application kits which contain the prescribed application forms and supplemental descriptive information on the access and visitation grant program are available from: The Office of Child Support Enforcement, Administration for Children and Families, 4th Floor, 370 L'Enfant Promenade, SW., Washington DC 20447.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Notice of Grant Award. This provides the amount of funds awarded, the purpose of the award, the terms and conditions of the award, the budget period for which support is given, the total project period, and the total grantee participation.

Deadlines:

Closing dates are established and published as program announcements in letters which will be sent to the designated State agency.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

There are no formal appeal procedures.

Renewals:

Extensions and continuations of grants are not available.

Formula and Matching Requirements:

Grantees are required to share in the cost of projects. A 10% match is required. This can be provided by the State and local agency, cash or in kind.

Length and Time Phasing of Assistance:

Funds are awarded for a two-year project period.

Reports:

Reports of progress and expenditures are required on all projects. Specific requirements are indicated in the Program Announcement and Federal regulation.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133.

Records:

Proper accounting records, identifiable by grant number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-1501-0-1-609.

Obligations:

(Grants) FY 07 \$10,000,000; FY 08 \$10,000,000; and FY 09 est \$12,000,000.

Range and Average of Financial Assistance:

The amount of funding provided to each grantee is based on an allocation formula with a designated minimum amount, provided in statute.

PROGRAM ACCOMPLISHMENTS:

Every Governor has designated a State agency that will use these grant funds to establish and administer programs to support and facilitate non-custodial parents' access to and visitation of their children. In fiscal year 2008, 54 grants were awarded. It is estimated that 54 grants will be awarded in fiscal year 2009 and 54 in FY 2010.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration Policies and application kits may be obtained from: Office of Child Support Enforcement, Administration for Children and Families, 4th Floor, 370 L'Enfant Promenade, S.W., Washington DC 20447.

Regional or Local Office:

See Appendix IV of the Catalog for ACF Regional Offices.

Headquarters Office:

Eileen Brooks, Director, Division of State, Tribal, and Local Assistance, Office of Child Support Enforcement, 4th Floor, Aerospace Building, 370 L'Enfant Promenade S.W., Washington, DC 20447. Telephone: (202) 401-5369.

Web Site Address:

<http://www.acf.dhhs.gov/programs/cse>.

RELATED PROGRAMS:

93.563, Child Support Enforcement.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

93.598 SERVICES TO VICTIMS OF A SEVERE FORM OF TRAFFICKING

(Victims of a Severe Form of Trafficking)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Trafficking Victims Protection Act of 2000, Public Law 106-386, as amended; Trafficking Victims Protection Reauthorization act of 2003, Public Law 108-193, 22 U.S.C. 7103.

OBJECTIVES:

To provide individuals determined to be victims of a severe form of trafficking access to benefits and services to the same extent as refugees. Provide targeted case management, referrals and funded cash, medical assistance, special mental health or other services, and community/local outreach.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The Office of Refugee Resettlement (ORR) provides funding for specialized services and assistance to certified/eligible adult victims of a severe form of trafficking assisting criminal justice system efforts to prosecute traffickers. Victims under the age of eighteen are not required to assist prosecution efforts in order to access benefits. Funds may be used only for the purposes set forth in the grant award.

Applicant Eligibility:

State and local governments and private nonprofit organizations. Under the "Victims of Trafficking and Violence Protection Act of 2000 (VTPA), and the Trafficking Victims Protection Act of 2003 (TVPPA)" trafficking victims are eligible for benefits and services to the same extent as refugees. The law, enacted in October 2000, and amended in December 2003 specifically requires HHS, in consultation with the Attorney General, to "certify" adult trafficking victims as a precondition for their eligibility. Children under eighteen must be determined to be victims of a severe form of trafficking but do not require such certification in order to be eligible for benefits. Certain family members of victims of a severe form of trafficking are eligible for federally funded or administered benefits and services to the same extent as refugees. Specifically, holders of a T-2, T-3, T-4 or T-5 visa (collectively referred to as "Derivative T Visas") are eligible for benefits and services.

Beneficiary Eligibility:

Beneficiaries are adult victims of a severe form of trafficking who have been certified by HHS/ORR. Children under 18 years of age subjected to a severe form of trafficking who have received letters of eligibility from HHS/ORR are considered eligible for services. Certain family members of victims of a severe form of trafficking are eligible for federally funded or administered benefits and services to the same extent as refugees. Holders of the "Derivative T Visas" (T-2, T-3, T-4, or T-5 visa) are eligible for services.

Credentials/Documentation:

Cost principals for nonprofit organizations will be determined by OMB Circular No. A-122. Cost principals for State and local governments are found under OMB Circular No. A-87. Persons need not attain refugee, entrant, or asylee

status to be eligible for services under this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Also, 45 CFR 100, "Intergovernmental Review of Department of Health and Human Services Program and Activities."

Application Procedure:

Each application submitted under this program announcement will undergo a pre-review to determine if (1) The application was received by the closing date and submitted in accordance with the instructions in this announcement, and (2) applicant is eligible for funding.

Award Procedure:

Applications that pass the initial Administration for Children and Families (ACF) screening will be evaluated and rated by an independent review panel on the basis of specific evaluation criteria. The evaluation criteria were designed to assess the quality of a proposed project and to determine the likelihood of its success.

Deadlines:

Deadlines are sixty days after posting of the notice of funds availability. Notices of funds availability are posted on the Office of Refugee Resettlement website at www.acf.hhs.gov/programs/orr and at Grants.gov.

Range of Approval/Disapproval Time:

It is anticipated that the approval/disapproval time will not exceed 90 days.

Appeals:

None

Renewals:

None

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Funds are generally made available for three-year project periods with funding on an annual basis.

Reports:

Progress reports and financial reports are required, usually on a quarterly or semi-annual basis. Final program and financial reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are also required.

Audits:

Periodic audits are made as part of the system of financial management and internal control to meet terms and conditions of grants and other agreements. "In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133." Nonprofit organization receiving less than \$25,000 a year in Federal awards are exempt from federal audit requirements, but records must be available for review by appropriate officials of the Federal grantor agency or subgranting entity.

Records:

Grant recipients are required to keep all financial, business, and program reports necessary for program review and audit during the project period and for three years thereafter to insure that funds have been expended in accordance with the regulations, grant terms, and conditions of this program.

Account Identification:

75-1503-0-1-609.

Obligations:

FY 07 \$1,817,000; FY 08 \$1,316,000; and FY 09 est \$1,298,000.

Range and Average of Financial Assistance:

In FY 2007, 19 grants were awarded. It is estimated that 19 grants will be awarded in FY 2008 and FY 2009.

PROGRAM ACCOMPLISHMENTS:

In FY 07, the total number of trafficking victims was reported at 303. In FY 08 and FY 09, it is estimated that the number of trafficking victims will be approximately 318 and 333, respectively.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program announcements can be found at the ORR website. All inquiries should be directed to the Information Contact listed below.

Regional or Local Office:

None.

Headquarters Office:

For program information, contact Maggie Wynne, Director, Division of Anti-Trafficking in Persons, Office of Refugee Resettlement, Administration for Children and Families, Department of Health and Human Services, 8th Floor West, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (866) 401-5510, or (202) 401-4664; Fax: (202) 401-5487.

Web Site Address:

<http://www.acf.dhhs.gov/programs/orr>.

RELATED PROGRAMS:

93.566, Refugee and Entrant Assistance_State Administered Programs; 93.576, Refugee and Entrant Assistance_Discretionary Grants.

EXAMPLES OF FUNDED PROJECTS:

Future funding for projects is contingent upon the availability of authorized funding.

CRITERIA FOR SELECTING PROPOSALS:

Notice of criteria for awarding funds can be found at the ORR website. Please refer to the Information Contact section for more information.

93.599 CHAFEE EDUCATION AND TRAINING VOUCHERS PROGRAM (ETV)

(Chafee Education and Training Vouchers)(ETV)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Sections 471, 472, 474, 475, and 477 of Title IV-E; Public Law 106-169, Chafee Foster Care Independence Act of 1999; Public Law 107-133, Promoting Safe and Stable Families Amendments of 2001.

OBJECTIVES:

To provide resources to States to make available vouchers for postsecondary training and education, to youths who have aged out of foster care or who have been adopted from the public foster care system after age 16.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds may be used to provide vouchers for postsecondary education and training to youth otherwise eligible for services under the State's Chafee Foster Care Independence Program. Vouchers may also be provided to youth who are adopted from foster care after age 16 and to youth up to the age of 23, as long as they are participating in the program at age 21 and are making satisfactory progress toward completing their course of study or training. Vouchers provided to individuals may be available for the cost of attending an institution of higher education (as defined in section 472 of the Higher Education Act) and shall not exceed the lesser of \$5,000 per grant year or the total cost of attendance as defined in section 472 of the Higher Education Act.

Applicant Eligibility:

State governments, including the 50 States, the District of Columbia and Puerto Rico.

Beneficiary Eligibility:

Youth otherwise eligible for services under a State's Chafee Foster Care Independence Program. (This includes youth who have left foster care because they attained 18 years of age, and have not yet attained 21 years of age; youth likely to remain in foster care until 18 years of age, commensurate with the State criteria used to determine eligibility for the program; and former foster care recipients, age 21 and younger.) Vouchers may also be provided to youth who are adopted from foster care after attaining age 16 and to youth up to the age of 23, as long as they are participating in the voucher program at age 21 and are making satisfactory progress toward completing their course of study or training.

Credentials/Documentation:

Costs will be determined in accordance with 45 CFR Part 92.

Preapplication Coordination:

Applications must include the information and assurances required from the States. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be submitted by a State to the appropriate Regional Administrator for the Administration for Children and Families.

Award Procedure:

Quarterly awards are made up to the amount of one-fourth of the State's annual allotment.

Deadlines:

June 30 of each year.

Range of Approval/Disapproval Time:

None.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, Part 16.

Renewals:

None.

Formula and Matching Requirements:

¹ Each State is allotted an amount of funds which bears the same ratio as the number of children in foster care in that State bears to the total number of children in foster care in all States in the most recent fiscal year for which such information is available. Data submitted by States into the Adoption and Foster Care Analysis and Reporting System (AFCARS) national database will be used to calculate State allotments. The Federal government will pay 80% of the total

amount of funds expended by the States (less any penalties) up to the amount of funds allotted to the State for the Educational and Training Vouchers program. The State must provide matching contributions to cover the additional 20% of the costs.

Length and Time Phasing of Assistance:

Grant awards are made quarterly on a fiscal year basis.

Reports:

States are required to submit expenditure reports and annual program reports.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

States are required to maintain supporting documentation of activities and adequate fiscal records.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$46,110,000; FY 08 \$45,351,000; and FY 09 est \$45,351,000.

Range and Average of Financial Assistance:

Formula grants were awarded to all 53 States, including Puerto Rico, and the District of Columbia. Grant amounts range from \$85,151 to \$7,185,089 in FY 2007. Average grant was \$870,000. It is anticipated that awards for FY 08 and FY 09 will range from \$83,664 to \$7,059,632.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 53 grants were awarded. In FY 2008 and 2009, the same number of grants are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Contact Regional Administrator for Children and Families.

Headquarters Office:

Pamela Johnson, Children's Bureau, Administration for Children and Families, 1250 Maryland Avenue, S.W., Washington, DC 20024; 8th Floor. (202) 205-8086.

Web Site Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

93.674, Chafee Foster Care Independence Program; 93.550, Transitional Living for Homeless Youth; 93.556, Promoting Safe and Stable Families; 93.645, Child Welfare Services_State Grants; 93.658, Foster Care Title IV-E.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

States meeting requirements for funds will receive grants.

93.600 HEAD START

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Head Start Act, as amended; Improving Head Start for School Readiness Act of 2007, Public Law 110-134.

OBJECTIVES:

To promote school readiness by enhancing the social and cognitive development of low-income children, including children on federally recognized reservations and children of migratory farm workers, through the provision of comprehensive health, educational, nutritional, social and other services; and to involve parents in their children's learning and to help parents make progress toward their educational, literacy and employment goals. Head Start also emphasizes the significant involvement of parents in the administration of their local Head Start programs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

At least 90 percent of the enrollees in a program must be income eligible; i.e. from families whose income is below the poverty line, from families receiving public assistance, from homeless families or children in foster care. Programs may, however, if they can demonstrate they are serving all of the income eligible families in their service area, propose to serve up to 45% (49% for American Indian/Alaska Native programs) of their children from families not meeting the income eligibility requirements. Training and technical assistance grants are available to Head Start programs.

Applicant Eligibility:

Any local government, federally-recognized Indian tribe, or public or private nonprofit or for profit agency which meets the requirements may apply for a grant. However, application will be considered only when submitted in response to a specific announcement, published via the Internet at the following website address: http://www.acf.hhs.gov/grants/grants_hsb.html, which solicits proposals to establish new Head Start Programs. Grantee agencies may subcontract with other child-serving agencies to provide services to Head Start

children.

Beneficiary Eligibility:

Head Start/Early Head Start programs are for children from birth up to the age when the child enters the school system; however, Head Start programs only serve pre-school age children. (i.e. children three or four years old) while Early Head Start programs serve children from birth through age three. No less than 10 percent of each Head Start program's enrollment shall be for children with disabilities.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Part 74 and 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

The standard application forms as furnished by DHHS must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the Single Point of Contact (SPOC) in his or her State for more information on the process. (NOTE: State/territory participation in the intergovernmental review process does not signify applicant eligibility for financial assistance under a program. A potential applicant must meet the eligibility requirements of the program for which it is applying prior to submitting an application to its SPOC).

Application Procedure:

The Office of Head Start will provide each applicant agency with a completed check list form showing exactly which items must be completed by each applicant and delegate agency.

Award Procedure:

All funds are awarded directly to the grantees. Funds for local Head Start/Early Head Start programs, including a portion of training and technical assistance funds, are awarded by the Office of Head Start's Regional Offices. Funds for the following are awarded by the Central Office of Head Start: American Indian/Alaskan Native programs; Migrant programs; research, demonstration and evaluation efforts and some training and technical assistance efforts.

Deadlines:

Applications for new projects should be submitted at the times specified by the announcement published via the Internet at the following website: http://www.acf.hhs.gov/grants/grants_hsb.html. Applications for continuation grants must be received at least 90 days prior to the start of the new budget period.

Range of Approval/Disapproval Time:

This, depending on the nature of the proposal, can vary considerably from 90 days to as much as several months.

Appeals:

Grantees who are denied refunding may appeal adverse decisions to the HHS Departmental Appeals Board. There is no appeal for new grants denials.

Renewals:

OHS Headquarters or Regional Offices will inform grantees of the application procedures for renewal.

Formula and Matching Requirements:

Head Start grantees are required to provide 20 percent of the total cost of the program, although this may be waived wholly or in part if certain conditions pertain. Matching share may be in cash or in-kind fairly evaluated.

Length and Time Phasing of Assistance:

Funds are available for a 12-month budget period. Funds are released by monthly allowance on an Electronic Transfer System or by monthly check.

Reports:

Semiannual financial and annual program reports are required.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR, Parts 74 and 92.

Records:

Grantee must maintain an accounting system adequate to meet the purposes of the grant. Requirements for records are found in 45 CFR, Part 74 and 92.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$6,713,508,728; FY 08 \$6,713,502,090; and FY 09 est \$6,862,098,090. (Contracts) FY 07 \$154,935,559; FY 08 \$143,300,915; and FY 09 est \$145,300,915.

Range and Average of Financial Assistance:

\$136,000 to \$200,351,000; \$4,150,000.

PROGRAM ACCOMPLISHMENTS:

Since 1965, Head Start has served more than 25 million children from low-income families in 50 States, the District of Columbia, Puerto Rico, the Virgin Islands, and the Outer Pacific. The program has provided education, health, nutrition and social services to the target population. In fiscal year 2007, there were 1,604 grantees funded to provide Head Start grantees. We estimate the same number of grantees in fiscal years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Chapter XIII of Title 45 Code of Federal Regulations, including 45 CFR 1301 -

1311. These are available on request at no charge.

Regional or Local Office:

Contact the Administration for Children and Families, Office of Head Start Regional Program Manager (See Appendix IV of the Catalog under the Department of Health and Human Services, Administration for Children and Families.)

Headquarters Office:

Office of Head Start, Portals Building, 1250 Maryland Avenue, SW., Washington, DC. 20024. Telephone: (202) 401-8573.

Web Site Address:

<http://www2.acf.dhhs.gov/programs/hsb>.

RELATED PROGRAMS:

10.550, Food Donation.

EXAMPLES OF FUNDED PROJECTS:

(1) Full-Year and Full-Day Head Start Programs; (2) Full-Year and Part-Day Head Start Programs; and (3) Part-Year and Part-Day Head Start Programs.

CRITERIA FOR SELECTING PROPOSALS:

The degree to which the proposed project will meet the Head Start Program Performance Standards and other program objectives as specified in a program announcement.

93.601 CHILD SUPPORT ENFORCEMENT DEMONSTRATIONS AND SPECIAL PROJECTS

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Section 452(j), 42 U.S.C. 652(j); Public Laws 104-193 and 105-33; Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

OBJECTIVES:

To design and carry out special projects of regional and national significance relating to the improvement of child support enforcement efforts.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are awarded for creative special improvement projects and demonstrations that improve the effectiveness of the child support enforcement program on the regional and national levels. These activities must be consistent with the goals of the national child support mission to ensure all children receive financial and medical support from both parents and strengthen the ability of the nation's child support programs to collect support on behalf of children and families.

Applicant Eligibility:

Funds authorized under section 452(j)(42 USC 652(j)) of the Social Security Act are available to all types of domestic applicants other than individuals.

Beneficiary Eligibility:

State/local agencies, Tribal governments, nonprofit organizations and other organizations involved in child support enforcement will benefit.

Credentials/Documentation:

When appropriate, applicants should present written evidence of other agencies' willingness to cooperate when the project involves their cooperation or the utilization of their facilities or service. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and federally-recognized tribal governments. For other grantees, costs will be determined in accordance with OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit organizations, and any amendments to the aforementioned.

Preapplication Coordination:

Program strategy for each fiscal year is publicized through central and regional office meetings, Dear Colleague, ACF/OCSE websites, GRANTS.gov and other appropriate means. This program is subject to the provisions of OMB Circular No. A-102. The Standard application forms, as furnished by the Administration for Children and Families (ACF), and required by OMB Circular No. A-102, must be used for this program. This program is subject to E.O. 12372. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Program." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for Review.

Application Procedure:

This program is subject to the provisions of OMB Circular Nos. A-102 and No. A-110, as appropriate. The standard application forms, as furnished by DHHS and required by OMB Circular No. A-102, must be used for this program. Application forms and supplemental descriptive information on the priority projects are available from: Administration for Children and Families, Child Support Enforcement Program, 4th floor, 370 L'Enfant Promenade, SW., Washington, DC 20447. The application shall be executed by an individual authorized to act for the applicant agency or organization and to assume for the

agency or organization the obligations imposed by the terms and conditions of the grant. The application must clearly indicate whether the application submitted is in response to a program announcement (e.g. CFDA 93.601) and must reference the priority area for which the application is to compete. Applications are reviewed and evaluated by a review panel of not less than three knowledgeable people. Written assessment of each application is made.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Notice of Grant Award. This provides the amount of funds awarded, the purpose of the award, the term and conditions of the award, the budget period for which support is given, the total project period for which support is contemplated, and the total grantee participation.

Deadlines:

Closing dates are established and published in the program announcement. Unsolicited applications, may be submitted any time, but must be so unique that they cannot fit under a general announcement.

Range of Approval/Disapproval Time:

From 60 to 120 days. Generally, solicited grants will be acted upon within 90 days.

Appeals:

There are no formal appeals procedures. If an application is disapproved, the reasons for disapproval will be fully stated. In the case of unsolicited proposals, applicants are free to resubmit applications with attention to the changes suggested by the reasons for disapproval. In the case of solicited proposals, reworking time may be allowed to prepare addenda which clarify various aspects of projects. If an applicant is not awarded a grant, the applicant can request a written response that will address: (1) Weak and deficient areas of the proposal; (2) impact of weaknesses or deficiencies in not being selected; and (3) factors that were the basis of the selection of the successful grantee.

Renewals:

Generally, project and budget periods vary according to priority area (up to three years). Projects of a longer duration will be considered if unique circumstances warrant. If projects are approved for a period longer than 12 months, funding will be provided in discrete 12-month increments, or "budget periods." Funding beyond the first 12-month budget period is not guaranteed. Future funding will depend on the grantee's satisfactory performance and the availability of future appropriations.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Generally, project and budget periods vary according to the priority area (up to three years). If projects are approved for a period longer than 12 months, funding will be provided in discrete 12-month increments, or "budget period".

Reports:

Quarterly narrative reports and semi-annual reports of expenditures are required on all grant projects. Comprehensive final reports are due on or before termination dates of the projects and not later than 90 days after termination for grants.

Audits:

All fiscal transactions identifiable to Federal financial assistance are subject to audit by the HHS audit agency, and other Federal officials. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Proper accounting records, identifiable by grant or contract number and including all receipts and expenditures, must be maintained for 3 years in accordance with 45 CFR 74 or 92. Records must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1553-0-1-609.

Obligations:

FY 07 \$1,556,856; FY 08 \$1,500,000; and FY 09 est \$1,500,000.

Range and Average of Financial Assistance:

\$100,000 to \$200,000; \$100,000.

PROGRAM ACCOMPLISHMENTS:

6 new grants were awarded in FY 07. An estimated 8 new grants will be awarded in FY 08 and 7 in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Grants Administration Policies," and application kits may be obtained from the ACF, Office of Child Support Enforcement, 4th Floor, 370 L'Enfant Promenade, SW., Washington, DC 20447.

Regional or Local Office:

Contact Regional Administrators. (See Appendix IV of the Catalog for Regional Offices).

Headquarters Office:

Susan Greenblatt, Deputy Director, Division of State, Tribal, and Local Assistance, Office of Child Support Enforcement, Department of Health and Human Services, 4th Floor, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401-4849.

Web Site Address:

<http://www.acf.dhhs.gov/programs/cse>.

RELATED PROGRAMS:

93.558, Temporary Assistance for Needy Families; 93.563, Child Support Enforcement; 93.597, Grants to States for Access and Visitation Programs; 93.564, Child Support Enforcement Research; 93.647, Social Services Research and Demonstration.

EXAMPLES OF FUNDED PROJECTS:

Special improvement projects intended to improve the operation and management of the child support enforcement program.

CRITERIA FOR SELECTING PROPOSALS:

(1) Objectives and need for assistance; (2) approach; (project management and staffing plan); (3) evaluation and how success will be measured; (4) reasonableness of project costs; and (5) cooperation of CSE agency.

93.602 ASSETS FOR INDEPENDENCE DEMONSTRATION PROGRAM

(Assets for Independence)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Assets for Independence Act, Title IV; Community Opportunities, Accountability, Training, and Educational Services Act of 1998, Public Law 105-285, 42 U.S.C. 604, as amended.

OBJECTIVES:

The purpose of the Assets for Independence program is to demonstrate and evaluate the effectiveness of asset-building projects that assist low-income people in becoming economically self-sufficient by teaching them about economic and consumer issues and enabling them to established matched savings accounts called individual development accounts (IDAs). The program is demonstrating and evaluating the effects of AFI projects and IDAs in terms of increasing the economic self-sufficiency of low-income families; promoting savings for homeownership, post-secondary education; and small business or micro-enterprise development; and stabilizing families and communities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants for five-year project and budget periods. Grantees must allocate at least 85% of the grant funds for matching participants' IDA savings and 2% of the grant funds for data collection and evaluation. Grantees may use the remaining 13% to support other project activities and related matters. Grantees are required to provide or secure nonfederal cash contributions equal to or greater than the grant amount.

Applicant Eligibility:

This program supports innovative projects administered by national, State-wide, regional and community-based organizations. Eligible applicants are: (1) Private nonprofit organizations, including faith-based organizations, that are tax exempt under Section 501(c)(3) of the Internal Revenue Code; (2) State or local governments or agencies or Tribal governments submitting applications jointly with tax exempt organizations; (3) A credit union designated as a low-income credit union by the National Credit Union Administration; or an organization designated as a Community Development Financial Institution by the Secretary of the Treasury.

Beneficiary Eligibility:

Eligible project participants are individuals that are members of households eligible for assistance under Temporary Assistance for Needy Families (TANF) or of households whose adjusted gross income does not exceed 200 percent of the poverty income guidelines. The net worth of the household at the end of the calendar year preceding the determination of eligibility must not exceed \$10,000 excluding the primary dwelling unit and one motor vehicle owned by a member of the household. Grantees may restrict eligibility to people with lower incomes and net worth.

Credentials/Documentation:

Low Income Credit Unions must submit official documentation that the National Credit Union Administration has designated the organization as such. Community Development Financial Institutions must submit documentation that the U.S. Department of the Treasury has designated the organization as such.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for Review, and 45 CFR 100, Intergovernmental

Review of Department of Health and Human Services "Programs and Activities". Applicants from participating jurisdictions should contact their State Point Of Contact (SPOC) as soon as possible to alert them and receive instructions.

Application Procedure:

Competitive applications must be submitted on Standard Forms 424, 424A, and 424B, and include a project narrative as described in the program announcement issued by the Office of Community Services and published in the Federal Register and www.grants.gov website. Required forms are attached to the published announcement. No State plan is required.

Award Procedure:

The Director, Office of Community Services makes funding decisions based on recommendations of a panel of experts who review funding proposals. The panel review is based on criteria set forth in the program announcement.

Deadlines:

Application deadlines are posted on Grants.gov (www.grants.gov) and on the Assets for Independence program website (<http://www.acf.hhs.gov/programs/ocs/afi>).

Range of Approval/Disapproval Time:

Applications will normally be approved/disapproved and grant awards made within 90 days of application receipt.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Grant amounts are limited to the amount of nonfederal cash available for a proposed project as certified by the applicant.

Length and Time Phasing of Assistance:

These grants have 5-year budget and project periods. Grantees may access grant funds throughout the period to the extent that they provide proof that they have the required nonfederal cash support on hand.

Reports:

Grantees are required to submit semi-annual narrative progress and financial status reports. Grantees must also provide annual program data reports.

Audits:

Grantees must comply with OMB Circular No. A-133, "Audits of States, Local Governments and Non-Profit Organizations." Nonfederal grantees that expend \$500,000 or more in a year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from the audit requirements for that year, except as noted in section .215(a), but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO).

Records:

None.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$24,407,000; FY 08 \$24,025,000; and FY 09 est \$24,025,000.

Range and Average of Financial Assistance:

The Office of Community Services (OCS) awards grants for this program ranging up to \$1,000,000. The average grant is approximately \$350,000. OCS expects to fund approximately 62 grants per year. Eligible entities may apply for new grants up to the statutory limit of \$1,000,000 in each funding cycle.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, OCS awarded 59 grants. It is estimated that OCS will award approximately 60 grants in FY 08 and FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following regulations: Title 45 of the Code of Federal Regulations, Part 16 - DHHS Grant Appeals Process, Part 74 - Administration of Grants (grants with subgrants to entities), Part 75 - Informal Grant Appeal Procedures, Part 76 - Debarment and Suspension from Eligibility For Financial Assistance, Subpart F - Drug Free Workplace Requirements, Part 80 - Non-discrimination Under Programs Receiving Federal Assistance through Department of Health and Human Services Effectuation of Title VI of the Civil Rights Act of 1964, Part 81 - Practice and Procedures for Hearings Under Part 80 of this Title, Part 83 - Regulation for the Administration and Enforcement of Sections 799A and 845 of the Public Health Service Act, Part 84 - Non-discrimination on the Basis of Handicap in Programs and Activities Receiving Federal Financial Assistance, Part 85 - Enforcement of Non-discrimination on the Basis of Handicap in Programs or Activities Conducted by Department of Health and Human Services, Part 86 - Non-discrimination on the Basis of Sex in Education Programs and Activities Receiving or Benefiting from Federal Financial Assistance, Part 91 - Non-discrimination on the Basis of Age in Health and Human Services Programs or Activities Receiving Federal Financial Assistance, Part 92 - Uniform Administrative Requirements for Grants and Cooperative Agreements to States and Local Governments (Federal Register, March 11,

1988), Part 93 - New Restrictions on Lobbying.

Regional or Local Office:

None.

Headquarters Office:

James Gatz, Office of Community Services, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, S.W., Washington, DC 20447. Telephone: (202) 401-4626 or E-mail address: AFIPProgram@ACF.HHS.GOV.

Web Site Address:

<http://www.acf.hhs.gov/programs/ocs/afi>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

List of funded projects is available on request to Information Contact and on the website listed above.

CRITERIA FOR SELECTING PROPOSALS:

(1) Organization experience and administrative capability; (2) Sufficiency of the project, design and plan: The degree to which the project described in the application appears likely to aid project participants in achieving economic self-sufficiency through activities requiring one or more of the qualified expenses (home purchase, postsecondary education or training, or business capitalization); (3) Adequacy of plan for providing information for evaluation; (4) Commitment of nonfederal funds and additional resources; (5) Results or benefits expected; and (6) Significant and beneficial impacts.

93.603 ADOPTION INCENTIVE PAYMENTS

(Adoption Incentive Payments)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Part E, Section 473A of the Social Security Act; the Adoption Promotion Act of 2003, Public Law 108-145.

OBJECTIVES:

To provide incentives to States to increase annually the number of foster child adoptions, special needs adoptions, and older child adoptions.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

A State shall not expend an amount paid to the State under this grant except to provide to children of families any activity or service (including post-adoption services) that may be provided under Part B or E of Title IV of the Social Security Act. Amounts expended by a State in accordance with the preceding sentence shall be disregarded in determining State expenditures for purposes of Federal matching payments under Sections 423, 434, and 474 of the Social Security Act.

Applicant Eligibility:

Applications are not required. The States, including Puerto Rico and the District of Columbia, may receive payments. The Children's Bureau shall determine the numbers of foster child adoptions, the special needs adoptions, and the older child adoption in a State on the basis of AFCARS data as reported by each State and accepted by the Children's Bureau no later than May 15 of the fiscal year subsequent to the fiscal year in which the adoptions were finalized (i.e., the "earning year").

Beneficiary Eligibility:

Beneficiaries are those children and families eligible under Title IV-B and Title IV-E of the Social Security Act, as amended.

Credentials/Documentation:

The Children's Bureau must receive and approve State reported AFCARS data submitted by May 15 of the fiscal year following the fiscal year in which the adoptions were finalized (i.e., the "earning year").

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

States are automatic applicants.

Award Procedure:

Incentive payments will be issued annually, in the fiscal year subsequent to the earning year. Payment will be based on AFCARS data submitted no later than May 15 of the fiscal year following the earning year. AFCARS data must be accepted and approved by the Children's Bureau.

Deadlines:

AFCARS reports must be received no later than May 15, for subsequent fiscal years in order to be considered for incentive payments.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None. Each "earning year" (fiscal years 2003-2007), State adoption data

received through AFCARS will be considered on its own merit.

Formula and Matching Requirements:

The adoption incentive payment payable to a State for a fiscal year shall be equal to the sum of (a) \$4,000, multiplied by the number of foster child adoptions in the State during the fiscal year which exceeds the base number of foster child adoptions for the State for the fiscal year; (b) \$4,000 multiplied by the number of foster child adoptions of children age 9 or older in the State during the fiscal year in which exceeds the base number of foster child adoption of children age 9 or older for the State for the fiscal year; and (c) \$2,000, multiplied by the number of special needs adoptions of children under age nine in the State during the fiscal year which exceeds the base number of special needs adoptions of children under age 9 for the State for the fiscal year, if the state receives a bonus under (a) or (b). There are no matching requirements for these funds.

Length and Time Phasing of Assistance:

Payments to a State in a fiscal year shall remain available for use by the State through the end of the succeeding fiscal year.

Reports:

States must identify in the Child and Family Services Plan (CFSP) or Annual Progress and Services Report (APSR) as applicable (due June 30 of each year), the services they have provided to children and families as a result of the expenditure of adoption incentive payments. Should more than one fiscal year's incentive funds be expended during a given reporting period, the report should reflect the services provided and identify the fiscal year's funds expended. Actual expenditures of incentive funds must be reported annually on Form SF- 269, Financial Status Report.

Audits:

In accordance with 45 CFR 92.

Records:

None.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$7,354,000; FY 08 \$4,323,000; and FY 09 est \$19,674,000.

Range and Average of Financial Assistance:

In FY 2007, incentive payments to States ranged from \$12,000 to \$2,100,000. The average incentive payment was \$387,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 19 states received incentives. Number of states to receive incentives fiscal years 2008 and 2009 are unknown.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACYF-CB-PI-04-03, issued 03/23/04.

Regional or Local Office:

ACF Regional Offices.

Headquarters Office:

Gail Collins, Division of Program Implementation, Children's Bureau, Administration for Children, Youth and Families, 1250 Maryland Avenue S.W., Washington DC, 20024, 8th Floor, Telephone: (202) 205-8552.

Web Site Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

All State Agencies are considered if the number of adoptions exceed the baseline number of adoptions.

93.604 ASSISTANCE FOR TORTURE VICTIMS

(Torture Victims)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Torture Victims of Relief Act of 1998, Public Law 105-320; Torture Victims Relief Reauthorization Act of 1999, Public Law 106-87.

OBJECTIVES:

To provide services and rehabilitation for survivors of torture. The Office of Refugee Resettlement (ORR) provides a comprehensive program of support for domestic centers and programs for victims of torture.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Allowable services include treatment, social and legal services, and provision of research and training to health care providers to enable them to treat the physical and psychological effects of torture. ORR funding provides direct services to victims of torture, including services for rehabilitation and social and legal services. ORR funds support training to health care professionals to enable them to recognize and treat the physical and psychological effects of torture. A portion of the funds will be used for identifying and sharing best practices in

rehabilitation services among health care professionals through conferences and other forms of technical assistance.

Applicant Eligibility:

Eligible applicants are public or private non-profit agencies.

Beneficiary Eligibility:

Individuals and families, regardless of immigration status, who have suffered torture.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372. There will be no application and award process. All appropriated funds are committed to current programs.

Application Procedure:

Eligible applicants submit an application to the Administration for Children and Families in accordance with the requirements described in the notice of funding availability.

Award Procedure:

Applications are reviewed by a panel of independent experts in accordance with the evaluation criteria included in the notice of funding availability.

Deadlines:

Deadlines for applying are established in the notice of funding availability.

Range of Approval/Disapproval Time:

90 to 120 days.

Appeals:

None.

Renewals:

Contingent of funding availability, grantee performance, and the best interest of the government.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are awarded for a three-year project period, with one-year budget periods.

Reports:

Program Performance Reports and Financial Status Reports are required on a semi-annual basis. Final program and financial reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are also required 90 days after the end of the project period.

Audits:

Periodic audits are made as part of the system of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients are required to keep all financial business and programs reports necessary for program review and audit for the project period and for three years after the end of the project period to insure that funds have been expended in accordance with the regulations, grant terms, and conditions of this program.

Account Identification:

75-1503-0-1-609.

Obligations:

(Grants) FY 07 \$9,541,000; FY 08 \$9,551,000; and FY 2009 est \$9,508,000.

Range and Average of Financial Assistance:

Grant awards range from \$265,000 to \$535,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 22 grants were awarded. It is estimated that the same number of grants will be awarded in FY 08 and FY 09. Over 5,000 survivors of torture are provided with services each year under this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

For information, contact Holly Herrera, Office of Refugee Resettlement, Administration for Children and Families, Department of Health and Human Services, 8th Floor West, 370 L'Enfant Promenade, S.W., Washington, DC 20447. Telephone: (202) 401-5541; Fax: (202) 401-0981.

Web Site Address:

<http://www.acf.hhs.gov/programs/orr>.

RELATED PROGRAMS:

93.576, Refugee and Entrant Assistance _Discretionary Grants.

EXAMPLES OF FUNDED PROJECTS:

Projects provide a combination of physical, psychological and social services according to the needs of the survivors of torture. Survivors in need of legal services are referred to legal resources. Survivors found to be in need of medical attention are referred to appropriate resources. Two projects provide technical

assistance and training to grantees.

CRITERIA FOR SELECTING PROPOSALS:

Notice of the program criteria for awarding funds can be found at <http://www.acf.hhs.gov/programs/orr>.

93.605 FAMILY CONNECTION GRANTS

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICE

AUTHORIZATION:

Fostering Connections to Success and Increasing Adoptions Act of 2008, Public Law 110-351.

OBJECTIVES:

To provide matching grants to State, local or tribal child welfare agencies and private nonprofit organizations that have experience in working with foster children or children in kinship care arrangements for the purpose of helping children who are in, or at risk of entering, foster care to reconnect with family members.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are provided for services or activities that are consistent with the purpose of section 102, Public Law 110-351, and may include the following: (1) a kinship navigator program to assist kinship caregivers in learning about, finding and using programs and services to meet the needs of the children they are raising and their own needs, and to promote effective partnerships among public and private agencies to ensure kinship caregiver families are served; (2) intensive family-finding efforts that utilize search technology to find biological family members for children in the child welfare system; (3) family group decision-making meetings for children in the child welfare system that enable families to make decisions and develop plans that nurture children and protect them from abuse and neglect; and (4) residential family treatment program that enable parent and their children to live in a safe environment for a period of not less than 6 months.

Applicant Eligibility:

State, local or tribal child welfare agencies and private nonprofit organizations that have experience in working with foster children or children in kinship care arrangements.

Beneficiary Eligibility:

Public or private nonprofit agencies or organizations or tribal child welfare agencies working with foster children or children in kinship care arrangements or children at risk of entering foster care that help the children reconnect with family members.

Credentials/Documentation:

Nonprofit agencies must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

Standard application forms as furnished by HHS must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Announcement of availability of funds is posted to www.Grants.gov. Eligible applicants submit applications by specified deadlines.

Award Procedure:

Grant applications are reviewed by a panel of non-federal professionals with expertise in the field of child welfare, particularly foster and kinship care.

Deadlines:

The deadline for the receipt of applications is stated in the Program Announcement.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Projects are awarded with a provision for non-competitive renewal during the approved award period (one to three years).

Formula and Matching Requirements:

The required match must increase over the course of the grant period, such that grantees must contribute 25 percent of the program costs for the first and second year of the grant and 50 percent of the program costs for the third year of the three year grant. (Section 427(d) of the Social Security Act).

Length and Time Phasing of Assistance:

Grants are available for not less than one year and not more than three years. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds.

Reports:

Financial and program reports are required semiannually with a final report at completion of the project. In addition, grants must select and track performance indicators that measure the extent to which grant-funded activities and services

enhance the safety, permanency and well-being of children and their families.

Audits:

Audits are conducted in accordance with requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1512-0-1-609.

Obligations:

FY 08 \$0; FY 09 \$15,000,000; and FY 10 \$15,000,000. (Note: The funds in this program are also available for contracts. The amounts for contracts cannot be predetermined.)

Range and Average of Financial Assistance:

Up to \$400,000 per grant.

PROGRAM ACCOMPLISHMENTS:

None. New program for FY 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the Funding Opportunity Announcement, the application instructions, and the HHS Grants Policy Statement. The HHS Grants Policy Statement can be found at: <http://www.hhs.gov/grantsnet/>.

Regional or Local Office:

None.

Headquarters Office:

Patricia Campiglia, Federal Project Officer, Division of Research and Innovation, Children's Bureau, 1250 Maryland Avenue, S.W., 8th Floor, Washington, DC 20024; Telephone: (202) 205-8060; Cathy Overbagh, Federal Project Officer, Division of Research and Innovation, Children's Bureau, 1250 Maryland Avenue, S.W., 8th Floor, Washington, DC 20024; Telephone: (202)205-7273.

Web Site Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

93.648, Children Welfare Services Training Grants; 93.669, Child Abuse and Neglect State Grants; 93.670, Child Abuse and Neglect Discretionary Activities; 93.645, Child Welfare Services, State Grants; 93.652, Adoption Opportunities.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated on the basis of the degree to which proposals meet specific objectives defined in the program announcement including, but not limited to: (1) organizational capacity to collaborate with other service providers; (2) relevance of proposed services and activities in enhancing the well-being of children in foster care, in kinship care arrangements, or at risk of foster care and in helping the children reconnect with family members; and (3) demonstrated ability to conduct a kinship navigator program; provide intensive family-finding efforts that utilize search technology; conduct family group-decision making efforts and provide residential family treatment programs.

93.612 NATIVE AMERICAN PROGRAMS

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Native American Programs Act of 1974, as amended, Public Law 93-644; Older Americans Act Amendments of 1987, Title V, Public Law 100-175; Indian Reorganization Act Amendments, Section 215, Public Law 100-581; Older Americans Act Amendments of 1992, Title VIII, Public Law 102-375; 42 U.S.C. 2991 et seq.

OBJECTIVES:

To provide financial assistance to American Indian non-profit Native Tribes and Villages, and for Native Hawaiians and Native American Pacific Islander organizations for the development and implementation of social and economic development strategies that promote self-sufficiency. These project are expected to result in improved social and economic conditions in Native American communities and to increase the effectiveness of Tribes and Native non-profit Organizations in meeting their social and economic goals.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants may be used for such purposes as, but not limited to: (1) Governance Projects which assist Tribal and village governments to exercise local control and decision-making over their resources; (2) Economic Development Projects, which promote the long term mobilization and management of economic resources necessary to achieve a diversified economy; and (3) Social Development Projects which support local access to, control of, and coordination of services and programs for the social benefit of community members.

Applicant Eligibility:

Public and private nonprofit agencies, including but not limited to, governing bodies of Indian Tribes on Federal and State reservations, Alaska Native villages and regional corporations established by the Alaska Native Claims Settlement Act, such public and nonprofit private agencies serving Native Hawaiians, Indian and Alaska Native organizations in urban or rural nonreservation areas, and Native American Pacific Islanders (American Samoan Natives, and indigenous peoples of Guam and the Commonwealth of the Northern Mariana).

Beneficiary Eligibility:

American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of the Code of Federal Regulations, which implement the requirements of applicable OMB Circular Nos. A-87, A-21, and A-122.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Information regarding the availability of grant funds will be posted on Grants.gov and the ACF Funding Opportunities websites as Program Announcements. Program Announcements will provide details on program objectives for which applications are being solicited and other application requirements. The Administration for Native Americans will provide each applicant with the appropriate forms for the application for Federal assistance and instructions for applying for grants from the Administration for Native Americans programs. Hard copy applications should be submitted to U. S. Department of Health and Human Services, Administration for Children and Families, Office of Grants Management, Mail Stop Aerospace Center 6th Floor-East, 370 L'Enfant Promenade, SW., Washington, DC 20447. Electronic submissions are submitted through Grants.gov.

Award Procedure:

All funds are awarded directly to the grantees.

Deadlines:

Each program announcement specifies the due date for submission of applications.

Range of Approval/Disapproval Time:

Applicants will receive notice of approval/disapproval approximately 120 days after receipt of application.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula for distribution of funds. A matching share of 20 percent of the total approved project cost is required unless waived in accordance with criteria which are also published in 45 CFR 1336.50. Matching requirements under \$200,000 (including in-kind contributions) are waived for applications originating from the Native American Pacific Islands in accordance with Sec. 501(d), Public Law 95-134, as amended (48 U.S.C. 1469a). This program has maintenance of effort requirements; see funding agency for further details. Waiver requirements are contained in 45 CFR, Part 1336.50.

Length and Time Phasing of Assistance:

Grantees may apply for competitive continuation support within a project period of 1 to 3 years.

Reports:

Quarterly Financial Status Reports, Report of Federal Cash Transactions, and Quarterly Project Progress Reports, are required.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Financial records, supporting documents and all other related records pertinent to ANA grants must be maintained for a period of 3 years. If an audit is not completed by the end of the 3-year period, or if audit findings have not been resolved, records shall be retained until resolution of the audit findings.

Account Identification:

75-1536-0-1-506.

Obligations:

FY 07 \$34,000,000; FY 08 \$35,000,000; FY 09 est \$34,000,000.

Range and Average of Financial Assistance:

Range \$25,000 to \$500,000. Average \$250,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 144 SEDS grants were awarded. It is anticipated that approximately 150 grants will be awarded in FY 2008, and 140 in FY 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in 45 CFR 1336.

Regional or Local Office:

Not applicable.

Headquarters Office:

Administration for Native Americans. Department of Health and Human Services, Mail Stop Aerospace Center 2th Floor-West 370 L'Enfant Promenade,

SW., Washington, DC 20447 Contact: Director of Program Operations, (877) 922-9262.

Web Site Address:

<http://www.acf.hhs.gov/programs/ana>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Improved Governance Capabilities; (2) Native American social development projects; and (3) Native American economic development projects.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each program announcement. In general, proposals are judged on the basis of relevance to program objectives as stated in the program announcement, project viability, community support, reasonable cost estimates, and qualifications of applicant organization and personnel.

93.613 PRESIDENT'S COMMITTEE FOR PEOPLE WITH INTELLECTUAL DISABILITIES (PCPID)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Executive Order 12994 as amended on July 25, 2003.

OBJECTIVES:

To advise the President on issues pertaining to people with intellectual disabilities (mental retardation).

TYPES OF ASSISTANCE:

Information.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

General public.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

None.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

75-1536-0-1-506.

Obligations:

Salaries and Expenses: FY 07 \$655,186; FY 08 est 677,023; FY 09 est 698,860.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

On April 25, 2003, the President's Committee on Mental Retardation (PCMR) was renamed the President's Committee for People with Intellectual Disabilities by President George W. Bush. This was done through amendment of Executive order 12994. The technical Report to the President, "A Charge We Have to Keep: A Road Map to Personal and Economic Freedom for People with Intellectual Disabilities", was prepared by the President's Committee for People with Intellectual Disabilities and offers recommendations, pursuant to the New Freedom Initiative, on strategies, policies and programs toward providing Americans with intellectual disabilities more opportunities to earn, develop skills, work, make choices about their daily lives, and be integrated into their communities. Accompanying the technical report is a Companion Booklet summarizing the Committee's recommendations to the President. The Companion Booklet was designed for Americans with intellectual disabilities

and their families. Covering quarterly meetings (accomplishment in identifying issues and recommendations).

REGULATIONS, GUIDELINES, AND LITERATURE:

"Federal Advisory Committee Act of 11972 as amended", Executive Order 12994 as amended on July 25, 2003", the HHS "Charter as amended". "The New Freedom Initiative".

Regional or Local Office:

None.

Headquarters Office:

Sally Atwater, Executive Director, President's Committee for People with Intellectual Disabilities (PCPID), Washington, DC 20447. Telephone: (202) 619-0634.

Web Site Address:

<http://www.acf.hhs.gov/programs/pcpid>

RELATED PROGRAMS:

27.005, Federal Employment for Individuals With Disabilities; 53.001, Employment Promotion of People with Disabilities.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.616 MENTORING CHILDREN OF PRISONERS

(MCP)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 439 of the Social Security Act (available at <http://www.acf.hhs.gov/programs/fysb/pssf2001.pdf>), as amended by the Child and Family Services Improvement Act of 2006 (Public Law 109-288). Authority to provide the Demonstration Grant for Mentoring Children of Prisoners Vouchers Program is authorized by (Public Law 109-288), the Child and Family Services Improvement Act of 2006. This legislation reauthorizes and amends a number of key child and family services programs under title IV-B of the Social Security Act, notably the Promoting Safe and Stable Families program under subpart 2 of title IV-B.

OBJECTIVES:

To provide competitive grants to applicants in areas with significant numbers of children of prisoners to support the establishment and operation of programs using a network of public and private entities and to provide mentoring services for these children.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Provide mentoring and support services to urban and/or suburban populations with substantial numbers of children with incarcerated parents.

Applicant Eligibility:

Those eligible to apply for funding under this grant competition include applicants in areas where there are substantial numbers of children of prisoners. Applicants must establish or expand and operate programs using a network of public and private entities to provide this mentoring. This includes any State or local government unit, Tribe and Tribal organization, private nonprofit, community and faith based groups.

Beneficiary Eligibility:

Children of prisoners ages 4 through 18 will benefit.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Public Law 107-133.

Preapplication Coordination:

Consultation or assistance is available from the Family and Youth Services Bureau (FYSB), Administration for Children and Families, Department of Health and Human Services. The standard application forms furnished by DHHS and required by OMB Circular No. A-102 must be used for this program.

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the Single Point of Contact (SPOC) in his or her State for more information on the process. (NOTE: State/territory participation in the intergovernmental review process does not signify applicant eligibility for financial assistance under a program. A potential applicant must meet the eligibility requirements of the program for which it is applying prior to submitting an application to its SPOC).

Application Procedure:

Application for Federal Assistance, Standard Form 424 must be submitted. Specific instructions are published via the Internet under the Form section at the following website address: <http://www.acf.hhs.gov/grants>.

Award Procedure:

All applicants are reviewed by a panel of nonfederal experts which assigns scores according to published criteria. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau, who makes the recommendations to the ACYF Commissioner, who makes the final funding decision.

Deadlines:

As specified in the announcement or application instructions.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None.

Renewals:

Awards are generally made for 3-year project periods, funding for the second and third years depend upon satisfactory performance and availability of funds.

Formula and Matching Requirements:

Grantee must provide a nonfederal share or match of at least 25 percent of the total project budget in the first and second years of the project. In the third year, the grantee must provide a nonfederal share or match of at least 50 percent of the total project budget. The nonfederal share may be met by cash or in-kind contributions although applicants are encouraged to meet their Federal match requirements through cash contributions. There is no match requirement for the Mentoring Children of Prisoners Voucher Program.

Length and Time Phasing of Assistance:

Grants will be awarded for a period of 36 months with 12 month budget periods.

Reports:

Applicants must submit quarterly reports and agree to submit other required program and financial reports, as instructed by FYSB.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$49,376,000; FY 08 \$48,628,000; and FY 09 est \$50,000,000. (Contracts) FY 07 \$3,080,000; FY 08 \$3,324,000; and FY 09 est \$4,066,000. (Voucher Program) FY 07 \$4,850,000 (3,000 vouchers); FY 08 \$10,000,000 (8,000 vouchers) and FY 09 est \$15,000,000 (13,000 vouchers).

Range and Average of Financial Assistance:

Grants will range from \$100,000 to \$1,000,000 depending on the scope of the project.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 219 grants were awarded to Urban/Suburban and Rural/Tribal grantees. It is anticipated that 219 grants will be awarded in fiscal years 2008 and 188 grants will be awarded in 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters office listed below for available literature. You may also get information on the Family and Youth Services Bureau website at the following address <http://www.acf.hhs.gov/programs/fysb> under the "Policy and Legislative Documents" link.

Regional or Local Office:

Acting Associate Commissioner, Family and Youth Services Bureau, Administration for Children and Families, Department of Health and Human Services, 1250 Maryland Avenue, S.W., Washington, DC 20447. Contact: Curtis Porter. Telephone: (202) 205-8102. FTS is not available.

Headquarters Office:

Acting Associate Commissioner, Family and Youth Services Bureau, Administration for Children and Families, Department of Health and Human Services, 1250 Maryland Avenue, S.W., Washington, DC 20447. Contact: Curtis Porter. Telephone: (202) 205-8102. FTS is not available.

Web Site Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.556, Promoting Safe and Stable Families.

EXAMPLES OF FUNDED PROJECTS:

In fiscal year 2007, 219 grants were awarded to Urban/Suburban and Rural/Tribal grantees. It is anticipated that 219 grants will be awarded in fiscal years 2008 and 188 grants will be awarded in 2009.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the criteria published in the Funding Opportunity Announcement located on the following website address: http://www.acf.hhs.gov/grants_fysb.html.

93.617 VOTING ACCESS FOR INDIVIDUALS WITH DISABILITIES-GRANTS TO STATES

(Election Assistance for Individuals with Disabilities (EAID))

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Title II, Subtitle D, Part 2, Section 261 of Help America Vote Act, Public Law 107-252.

OBJECTIVES:

Grants are awarded to: (1) make polling places, including the path of travel, entrances, exits, and voting areas of each polling facility, accessible to individuals with the full-range of disabilities (e.g., blindness or visual impairment, deafness or hearing impairment, mobility-related, dexterity-related, emotional or intellectual); (2) provide the same opportunity for access and participation (including privacy and independence) to individuals with the full-range of disabilities; (3) train election officials, poll workers, and election volunteers on how best to promote the access and participation of individuals with the full-range of disabilities in elections for Federal office; and (4) provide individuals with the full-range of disabilities with information about the accessibility of polling places.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

To assist States to provide greater accessibility to individuals with full-range of disabilities. Funds are available until expended.

Applicant Eligibility:

States (including the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, and the Virgin Islands).

Beneficiary Eligibility:

Individuals with full-range of disabilities.

Credentials/Documentation:

Cost will be determined in accordance with 45 CFR Parts 74 and 92.

Preapplication Coordination:

The standard application form, Standard Form 424 must be used for this program. Forms are available on the website, <http://www.acf.hhs.gov/programs/add/announce.htm>. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance.

Application Procedure:

Application for Federal Assistance, Standard Form 424, is to be submitted. Specified instructions as published in the Federal Register.

Award Procedure:

Funds will be awarded annually.

Deadlines:

As specified in announcement or application instructions.

Range of Approval/Disapproval Time:

None.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

None. Awards are generally made for a 12-month project period.

Formula and Matching Requirements:

The statistical factor used for fund distribution is the population age 18 and over in each State. No State will receive less than \$100,000. There is no matching requirement.

Length and Time Phasing of Assistance:

Grants are awarded annually.

Reports:

Annual program and annual financial status reports are required.

Audits:

Audits are conducted in accordance with the requirements of 45 CFR Parts 74 and 92.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if all findings have not been resolved.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$10,890,000; FY 08 \$12,154,000; and FY 09 est \$12,154,000.

Range and Average of Financial Assistance:

Not less than \$100,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 55 grants were awarded. In FY 08, 55 grants will be awarded. It is anticipated that 55 grants will be awarded in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92, Grant Administrative Requirements.

Regional or Local Office:

Administration on Developmental Disabilities, Administration for Children and Families, Department of Health and Human Services, 200 Independence Avenue, S.W., Washington, DC 20201. Contact: Melvenia Wright. Telephone: (202) 690-5557.

Headquarters Office:

Administration on Developmental Disabilities, Administration for Children and Families, Department of Health and Human Services, 200 Independence Avenue, S.W., Washington, DC 20201. Contact: Melvenia Wright. Telephone: (202) 690-5557.

Web Site Address:

<http://www.acf.hhs.gov/programs.add>

RELATED PROGRAMS:

93.618, Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.618 VOTING ACCESS FOR INDIVIDUALS WITH DISABILITIES-GRANTS FOR PROTECTION AND ADVOCACY SYSTEMS

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Help America Vote Act, Title II, Subtitle D, Part 5, Section 291; Public Law 107-252.

OBJECTIVES:

Grants are awarded to: (1) establish, expand and improve access to and participate in the election process by individuals with a full range of disabilities; and (2) provide training and technical assistance to P&As to establish or improve voting access for individuals with disabilities.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

To assist States to ensure full participation in the electoral process of individuals with disabilities. Funds are available until expended.

Applicant Eligibility:

States and Territories who have a Protection and Advocacy System in place in accordance with the Developmental Disabilities Assistance and Bill of Rights Act of 2000.

Beneficiary Eligibility:

Individuals with full-range of disabilities.

Credentials/Documentation:

Cost will be determined in accordance with 45 CFR Parts 74 and 92.

Preapplication Coordination:

This program is not eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs."

Application Procedure:

Assurances as required by law.

Award Procedure:

Funds will be awarded annually in accordance with announcement or application instructions.

Deadlines:

As specified in announcement or application instructions.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

None. Awards are generally made for a 12-month project period.

Formula and Matching Requirements:

The statistical factor used for fund distribution is the resident population in each State. No State or Territory will receive less than \$70,000 and \$35,000 respectively. There is no matching requirement. T/TA awards for P&As a 7% set-aside of total funding amount.

Length and Time Phasing of Assistance:

Grants are awarded annually.

Reports:

Annual program and annual financial status reports are required.

Audits:

Audits are conducted in accordance with the requirements of 45 CFR Parts 74 and 92.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if all findings have not been resolved.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$4,491,900; FY 08 \$4,916,000; and FY 09 est \$4,916,000. T&TA P&A set-aside: FY 07 \$338,100; FY 08 \$340,000; and FY 09 est \$340,000.

Range and Average of Financial Assistance:

P&As \$70,000 or \$30,000.

PROGRAM ACCOMPLISHMENTS:

FY 07 55 grants to P&As and 2 grants for T&TA set-aside for a total of 57. Funding of 57 grants will continue in FY 08 and we anticipate 57 grant awards in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92, Grant Administrative Requirements.

Regional or Local Office:

Administration on Developmental Disabilities, Administration for Children and Families, Department of Health and Human Services, 200 Independence Avenue, S.W., Washington, DC 20201. Contact: Melvenia Wright. Telephone: (202) 690-5557.

Headquarters Office:

Administration on Developmental Disabilities, Administration for Children and Families, Department of Health and Human Services, 200 Independence Avenue, S.W., Washington, DC 20201. Contact: Melvenia Wright. Telephone: (202) 690-5557.

Web Site Address:

<http://www.acf.hhs.gov/programs.add>

RELATED PROGRAMS:

93.617, Voting Access for Individuals with Disabilities Grants to States.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.623 BASIC CENTER GRANT

(BCP)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Runaway, Homeless, and Missing Children Protection Act of 2003, Public Law 108-96 under Part A.

OBJECTIVES:

The purpose of Part A of the RHY Act (Runaway and Homeless Youth Grant Program) is to establish or strengthen locally controlled community-based programs that address the immediate needs of runaway and homeless youth and their families. Services must be delivered outside of the law enforcement, child welfare, mental health and juvenile justice systems. The goals and objectives of the Basic Center Program are to: 1) Alleviate problems of runaway and homeless youth; 2) reunite youth with their families and encourage the resolution of intrafamily problems through counseling and other services; 3) strengthen family relationships and encourage stable living conditions for youth; and 4) help youth decide upon constructive courses of action.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Each Basic Center program is required to provide outreach to runaway and homeless youth; temporary shelter for up to fifteen (15) days; food; clothing; individual, group and family counseling; aftercare and referrals, as appropriate. Basic Center programs are required to provide their services in residential settings for at no more than twenty (20) youth unless a state or local law or regulation requires a higher maximum to comply with licensure requirements for facilities serving child and youth. Some programs also provide part or all of their shelter services through host homes (usually private homes under contract to the centers), with counseling and referrals being provided from a central location. Basic Center programs offer shelter to youth who are less than 18 years of age and who are at risk of separation from their family.

Applicant Eligibility:

States, localities, private entities, and coordinated networks of such entities are eligible to apply for a Basic Center Program grant unless they are part of the law enforcement structure or the juvenile justice system. Federally recognized Indian organizations are also eligible to apply for grants as private, non-profit agencies.

Beneficiary Eligibility:

Runaway and homeless youth and their families are the beneficiaries.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

Consultation or assistance is available from the Family and Youth Services Bureau (FYSB), Administration for Children and Families, Department of Health and Human Services. The standard application forms furnished by DHHS and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official

designated as the Single Point of Contact (SPOC) in his or her State for more information on the process. (NOTE: State/territory participation in the intergovernmental review process does not signify applicant eligibility for financial assistance under a program. A potential applicant must meet the eligibility requirements of the program for which it is applying prior to submitting an application to its SPOC).

Application Procedure:

Application for Federal Assistance, Standard Form 424 must be submitted. Specific instructions are published via the Internet under the Form section at the following website address: <http://www.acf.hhs.gov/grants>.

Award Procedure:

All applications are reviewed by a panel of nonfederal experts which assigns scores according to the published criteria. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau, who makes recommendations to the ACYF Commissioner, who makes the final funding decisions.

Deadlines:

As specified in the announcement or application instructions.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None.

Renewals:

Awards are generally made for 3-year project periods; funding for the second and third year is dependent upon satisfactory performance and availability of funds.

Formula and Matching Requirements:

Federal share of grant is up to 90 percent. The nonfederal share may be in cash or in-kind contributions. The statute contains a formula for allocation of funds by State. It is based on the population of each State under age 18 as a proportion of the current national population under age 18.

Length and Time Phasing of Assistance:

Grants are awarded competitively for project periods of up to 3 years.

Reports:

Financial and program reports are due semiannually. A final report is due 90 days after conclusion of project period.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$48,298,000; FY 08 \$52,860,000; and FY 09 est \$52,860,000. (Contracts) FY 07 \$903,000; FY 08 \$5,081,000; and FY 09 est \$5,071,000.

Range and Average of Financial Assistance:

\$100,000 to \$200,000 per budget period; \$128,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 347 grants were awarded. It is anticipated that 365 grants will be awarded in fiscal year 2008 and 356 in fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature. You may also get information on the Family and Youth Services Bureau website at the following address <http://www.acf.hhs.gov/programs/fysb> under the "Policy and Legislative Documents" link. Information is also available through the National Clearinghouse on Families and Youth, P.O. Box 13505, Silver Spring, MD 20911-3505. Telephone: (301) 608-8098. Fax: (301) 608-8721. The National Clearinghouse on Families and Youth website address is www.ncfy.com.

Regional or Local Office:

Contact: Administration for Children and Families, Regional Offices, Family and Youth Services Bureau (See Appendix IV of this Catalog for the addresses of the Regional Offices.)

Headquarters Office:

Acting Associate Commissioner, Family and Youth Services Bureau, Administration for Children and Families, Department of Health and Human Services, 1250 Maryland Avenue, S.W., Washington, DC 20447. Contact: Curtis Porter. Telephone: (202) 205-8306. Use the same number for FTS.

Web Site Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.550, Transitional Living for Homeless Youth; 93.557, Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth; 93.674, Chafee Foster Care Independence Program.

EXAMPLES OF FUNDED PROJECTS:

Funded projects include local centers for runaway and homeless youth, Youth Development State Collaboration Programs, Training and Technical Assistance grants, and a toll-free National Communications System.

CRITERIA FOR SELECTING PROPOSALS:

An assessment is made on the basis of the degree to which: (1) The likelihood that the proposal will provide necessary services including shelter, counseling, and aftercare to runaway and other homeless youth and their families; (2) reasonableness of cost of these services; and (3) qualifications of staff. Applications will be evaluated based upon the criteria published in the Funding Opportunity Announcement located on the following website address: <http://www.acf.hhs.gov/grants>.

93.630 DEVELOPMENTAL DISABILITIES BASIC SUPPORT AND ADVOCACY GRANTS

(State Councils on Developmental Disabilities and Protection and Advocacy Systems)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Mental Retardation Facilities and Construction Act of 1963, Title I, Public Law 88-164, as amended; Public Laws 91-517, 94-103, 95-602; Omnibus Budget Reconciliation Act of 1981, as amended, Public Law 97-35; Developmental Disabilities Assistance and Bill of Rights Act, Title I, Section 100, Public Law 98-527, as amended; Developmental Disabilities Assistance and Bill of Rights Act Amendments of 1987, Public Law 100-146; Developmental Disabilities Assistance and Bill of Rights Act of 1990, Public Law 101-496; Developmental Disabilities Assistance and Bill of Rights Act of 1994, Public Law 103-230; Developmental Disabilities Assistance and Bill of Rights Act Amendments of 1996, Public Law 104-183; Developmental Disabilities Assistance and Bill of Rights Act of 2000, Public Law 106-402, 42 U.S.C. 15022-15029 and 42 U.S.C. 15042-15045.

OBJECTIVES:

To enable individuals with developmental disabilities to become independent, productive, integrated and included into their communities. Funding under these programs is to assist States in the development of a plan for a comprehensive and coordinated system of services and other activities to enhance the lives of individuals with developmental disabilities and their families to their maximum potential, and to support a system which protects the legal and human rights of individuals with developmental disabilities.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

(1) Allotments under the basic developmental disabilities formula grant program may be used by States for priority area and other activities, including administrative costs, to build capacity, to refocus existing services, and to advocate to better meet the needs of individuals with developmental disabilities. The designated State agency in each State receives, accounts for and disburses funds, and provides for required assurances and other administrative support services on behalf of the State Developmental Disabilities Council, which carries out the priority area activity and other activities under an approved triennial State Plan. This plan and corresponding budget is developed and administered by the State Developmental Disabilities Council. Federal funds may be expended for up to half the cost of the functions of the designated State agency under this program, but may not exceed five percent of a State's allotment or \$50,000, whichever is less. (2) Allotments under the protection and advocacy program may be used to assist States in supporting a system which will have authority to pursue legal and other remedies to protect the rights of individuals with developmental disabilities within the State.

Applicant Eligibility:

State grant agencies are the designated State agencies of the respective States, the District of Columbia, Puerto Rico, Virgin Islands, Guam, Northern Mariana Islands, American Samoa. Under the basic developmental disabilities program, the designated State agency must not provide or pay for services to individuals with developmental disabilities, unless it has held such designation on the date of the enactment of the Developmental Disabilities Assistance and Bill of Rights Act Amendments of 1994, and the Governor of the State (or the legislature, where appropriate and in accordance with State law) determines prior to June 30, 1994, not to change the designation of such agency. The State can only receive funding under the basic developmental disabilities program if it is also participating in the protection and advocacy program. The agency designated to implement the State system under the protection and advocacy program cannot provide or pay for services to individuals with developmental disabilities, and that agency must have authority to obtain access to records of individuals with developmental disabilities.

Beneficiary Eligibility:

To be eligible for a grant, an agency must be designated to administer the program on behalf of the State. The Basic Program benefits individuals with developmental To be eligible for a grant, an agency must be designated to administer the program on behalf of the State. The Basic Program benefits individuals with developmental disabilities through systems change. The Protection and Advocacy system benefits individuals with developmental

disabilities. Developmental disability is defined here as a severe chronic disability of an individual that is attributable to mental, physical, or a combination of impairments, is manifested before age 22, that is likely to continue indefinitely, that results in substantial functional limitations in three or more of the following major life activities (self-care, receptive and expressive language, learning, mobility, self-direction, capacity for independent living, and economic self-sufficiency), and that reflects an individual's lifelong need for services. Infants and children from birth to age 9, inclusive, are included if they have a developmental delay or condition with a high probability of resulting in developmental disabilities if services are not provided.

Credentials/Documentation:

Costs will be determined in accordance with 45 CFR 74 and 92.

Preapplication Coordination:

This program is subject only to the State Plan Consolidation Section of E.O. 12372. State plans are covered under this Section, but Intergovernmental Consultation Review is excluded. Standard application forms as furnished by DHHS must be used.

Application Procedure:

Basic Support: Requests for allotments are submitted in the form of a State plan, which must be submitted not less than every 5 years, and must address all of the requirements of the Statute. Protection and Advocacy: Application is made by the State's submission of a description of the Protection and Advocacy system in the State and appropriate assurances as required by law, and by annual submission statement on the goals and priorities.

Award Procedure:

Basic Support: Requests for allotments are submitted in the form of a State plan, which must be submitted not less than every 5 years, and must address all of the requirements of the Statute. Protection and Advocacy: Application is made by the State's submission of a description of the Protection and Advocacy system in the State and appropriate assurances as required by law, and by annual submission statement on the goals and priorities.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, Part 16.

Renewals:

Automatic, under approved application.

Formula and Matching Requirements:

Allocation Criteria and/or Mathematical Calculations: Two-thirds (2/3) of the amount appropriated is allotted to each State according to the ratio the population of each State bears to the population of the United States, weighted by the relative per capita income for each State. One-third (1/3) of the amount appropriated is allotted to each State according to the ratio of beneficiaries in the State receiving benefits under Childhood Disabilities Beneficiary Program, related to the age 18 to 65 population of the State as bearing on the national total of such population, weighted by the total population of the State. The data used to compute allotments are supplied annually by the Social Security Administration and the U.S. Department of Commerce, for the three most recent consecutive years for which satisfactory data are available. The Federal share of projects supported under the State Plan may not exceed 75 percent, except for projects whose activities or products target individuals with developmental disabilities who live in urban or rural poverty areas, which may not exceed 90 percent of the aggregate necessary costs of such project of activities, and in the case of projects or activities undertaken by the Council or Council staff to implement State plan priority, activities may be up to 100 percent of the aggregate necessary cost of such activities. No match is required for Protection and Advocacy allotments.

Length and Time Phasing of Assistance:

Funds provided under allotments must be obligated by states by the end of the fiscal year following the fiscal year for which appropriations were received. Such obligation must be liquidated by the end of the following fiscal year. Payments are made through Electronic Transfer System or, when such is not practicable, on basis of payment requests from the State to meet current needs.

Reports:

Annual financial status reports and annual program performance reports for Protection and Advocacy and Basic Support grant programs are required.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if all findings have not been resolved.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$110,489,000; FY 08 \$111,506,000; and FY 09 est \$111,506,000.

Range and Average of Financial Assistance:

Basic Support: \$450,000; Protection and Advocacy: \$200,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 112 grants were awarded. It is anticipated that the same number of grants will be awarded in fiscal year 2008 and fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92, Grant Administrative Requirements; and Program Regulations in Chapter XIII of Title 45 of the Code of Regulations, Parts 1385 and 1386.

Regional or Local Office:

Contact the Regional Administrator, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog for list of addresses.)

Headquarters Office:

Administration on Developmental Disabilities, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, SW., Washington, DC 20447. For State Councils on Developmental Disabilities the contact information is: (202) 690-5976; Mary Beth Greene. For Protection and Advocacy Systems the contact information is: (202) 690-5905; Jackie Ezzell. FTS is not available.

Web Site Address:

<http://www.acf.dhhs.gov/programs/add>.

RELATED PROGRAMS:

93.631, Developmental Disabilities Projects of National Significance; 93.632, University Centers for Excellence in Developmental Disabilities Education, Research, and Service.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.631 DEVELOPMENTAL DISABILITIES PROJECTS OF NATIONAL SIGNIFICANCE**FEDERAL AGENCY:**

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Mental Retardation Facilities and Construction Act of 1963, Public Law 88-164, as amended; Title V, Public Laws 91-517, 94-103, 95-602; Omnibus Budget Reconciliation Act of 1981, as amended, Public Law 97-35; Developmental Disabilities Assistance and Bill of Rights Act, Title I, Section 100, Public Law 98-527, as amended; Developmental Disabilities Assistance and Bill of Rights Act Amendments of 1987, Public Law 100-146; 42 U.S.C. 6081-6083; Developmental Disabilities Assistance and Bill of Rights Act of 1990, Public Law 101-496; Developmental Disabilities Assistance and Bill of Rights Act of 1994, Public Law 103-230; Developmental Disabilities Assistance and Bill of Rights Act Amendments of 1996, Public Law 104-183; Developmental Disabilities Assistance and Bill of Rights Act of 2000, Public Law 106-402, 42 U.S.C. 15081-15083.

OBJECTIVES:

To provide for grants, contracts and cooperative agreements for projects of national significance to increase and support the independence, productivity, and integration and inclusion into the community of individuals with developmental disabilities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project grants, contracts, and cooperative agreements are approved for personnel, equipment, travel, supplies, etc. Duplicative Federal assistance is precluded. Uses include: (1) Family support activities; (2) Projects to educate policy makers; (3) projects to conduct data collection and analysis; (4) projects to provide technical assistance for developing information and referral systems; (5) Federal interagency initiatives; (6) technical assistance projects; (7) projects to enhance opportunities for individuals with developmental disabilities who are from racial and ethnic minority backgrounds; (8) projects to improve supportive living and quality of life opportunities which enhance recreation, leisure and fitness; (9) projects concerning the transition of youth with developmental disabilities from school to work and to adult life; and (10) other projects of national significance. Funds are not awarded solely for direct service delivery, construction, or for the continuation or expansion of existing projects, but rather for projects which are considered innovative and likely to have significant national impact.

Applicant Eligibility:

In general, any State, local, public or private nonprofit organization or agency may apply.

Beneficiary Eligibility:

In general, any State, local, public or private nonprofit organization or agency may apply.

Credentials/Documentation:

Proof of community need and consistency with developmental disabilities State Plans. Proof of nonprofit status. Compliance with statutes, regulations, and approval of State agency and DHHS/ACF Regional Offices. Costs and

administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

The standard application forms as furnished by DHHS must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Forms and instructions for project grants are available from HHS Central Office and the appropriate DHHS-ACF Regional Office. Forms and instructions for projects of national significance grants are available from the Headquarters Office, Administration on Developmental Disabilities (ADD), Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, SW., Washington, DC 20447, Attn: 93631.811. Telephone: (202) 690-6590. One signed original and two copies of the grant application are required, including all attachments, and must be submitted to the address specified in the application kit. Three additional copies would be useful to facilitate processing. In addition, concurrently, one (1) copy of the application should be submitted to the applicant's DD State Council and two (2) copies to the appropriate State Single Point of Contact (SPOC) for review and comment as per Executive Order 12372, "Intergovernmental Review of Federal Programs." (Addresses for State Developmental Disabilities Councils and SPOCs are included in the application kit.) The application must be signed by an individual authorized to act for the applicant agency and to assume for the agency the obligations imposed by the terms and conditions of the grant award including the regulations for the Projects of National Significance Grants Program.

Award Procedure:

Applications are reviewed and recommendations made by the Central Office, Administration on Developmental Disabilities. Successful applicants are notified through the issuance of a Financial Assistance Award which sets forth in writing the amount of funds granted, the purpose of the grant, the terms and conditions of the grant award, the effective date of the award, the budget period for which support is given and the total grantee participation. The initial award, when applicable, also specifies the project period for which support is contemplated.

Deadlines:

Contact DHHS Central Office for up-to-date information.

Range of Approval/Disapproval Time:

From 60 to 180 days according to the type of program being requested.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

None.

Formula and Matching Requirements:

Matching requirements are specified in each published program announcement.

Length and Time Phasing of Assistance:

Project periods for grants are specified in each published program announcement.

Reports:

Expenditure and progress reports are required for each budget period. Final progress report is required 90 days after the end of project period.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be maintained for 3 years.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$11,414,000; FY 08 \$14,162,000; and FY 09 est \$14,162,000.

Range and Average of Financial Assistance:

\$50,000 to \$300,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 46 grants were awarded. In FY 08, 52 grants will be awarded. It is anticipated that 56 grants will be awarded in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in Chapter XIII of Title 45 of the Code of Federal Regulations, Part 1387.

Regional or Local Office:

Contact the Regional Administrator, Administration for Children and Families, HHS Regional Offices (See Appendix IV of the Catalog.)

Headquarters Office:

Administration on Developmental Disabilities, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, S.W., Washington, DC 20447. Telephone: (202) 690-7025. Contact: Ophelia McLain.

Web Site Address:

<http://www.acf.dhhs.gov/programs/add>.

RELATED PROGRAMS:

93.630, Developmental Disabilities Basic Support and Advocacy Grants;

93.632, University Centers for Excellence in Developmental Disabilities Education, Research, and Service.

EXAMPLES OF FUNDED PROJECTS:

The Projects of National Significance program has funded projects which will:

- (1) Identify model programs that focus on self/familial advocacy and empowerment by persons with disabilities and their families;
- (2) projects that focus on individuals and ways in which recreation and leisure activities have aided their integration into the community;
- (3) projects that assess the needs of and focus on the provision of services for children at-risk of developmental disabilities;
- (4) collaborative projects that implement leadership models;
- (5) projects that demonstrate successful approaches to home ownership by persons with disabilities;
- (6) projects that assist families with children who have severe and profound developmental disabilities to maintain their children at home while at the same time insuring the well-being and integrity of the family unit;
- (7) projects to support families who have members with Developmental Disabilities;
- (8) projects supporting minorities who have Developmental Disabilities; and
- (9) projects to provide personal assistance services for persons with disabilities.

CRITERIA FOR SELECTING PROPOSALS:

No application can be approved unless it shows/provides evidence of its non-profit status and the State in which the applicant's project will be conducted has an approved DD State plan under this Act. Applications are also to be reviewed by the State Developmental Disabilities Council for the State in which it is to be conducted. Project's proposed design is complete and feasible, and includes measurable objectives and an evaluation component. Project does not supplant activities that are funded under other Federal programs. Competing grant applications will be reviewed and evaluated against criteria that are specified in each published program announcement.

93.632 UNIVERSITY CENTERS FOR EXCELLENCE IN DEVELOPMENTAL DISABILITIES EDUCATION, RESEARCH, AND SERVICE

(University Centers (UCEDD))

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Mental Retardation Facilities and Construction Act of 1963, Title I, Part B, Public Law 88-164, as amended, Public Laws 91-517, 94-103, 95-602; Omnibus Budget Reconciliation Act of 1981, as amended, Public Law 97-35; Developmental Disabilities Act of 1984; Developmental Disabilities Assistance and Bill of Rights Act, as amended, Title I, Section 100, Public Law 98-527; Developmental Disabilities Assistance and Bill of Rights Act Amendments of 1987, Public Law 100-146, Developmental Disabilities Assistance and Bill of Rights Act of 1990, Public Law 101-496; Developmental Disabilities Assistance and Bill of Rights Act of 1994, Public Law 103-230; Developmental Disabilities Assistance and Bill of Rights Act Amendments of 1996, Public Law 104-183; Developmental Disabilities Assistance and Bill of Rights Act of 2000, Public Law 106-402, 42 U.S.C. 15061 - 15066.

OBJECTIVES:

To defray the cost of administration and operation of programs that: (1) Provide interdisciplinary training for personnel concerned with developmental disabilities; (2) demonstrate community service activities that include training and technical assistance and may include direct services, e.g., family support, individual support, personal assistance services, educational, vocational, clinical, health and prevention; (3) conduct research (basic and applied), evaluation and analysis of public policy in areas affecting individuals with developmental disabilities; and (4) disseminate information as a national and international resource.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Salaries for administrators, coordinators, and others needed to operate a training facility, maintenance and housekeeping personnel, overhead expenses, and expenses required to start up new programs, and faculty for training programs who will meet critical manpower shortages and are not eligible for support from other sources.

Applicant Eligibility:

A public or nonprofit entity which is associated with, or is an integral part of a college or university and which provides at least: interdisciplinary training; demonstration of exemplary services, technical assistance, research and dissemination of findings.

Beneficiary Eligibility:

Individuals of all ages with developmental disabilities attributable to a mental and/or physical impairment, their families, and personnel and trainees providing services to them.

Credentials/Documentation:

Assurance of maintenance of effort; statement of financial resources, especially

other federally-assisted programs; identification of personnel needs and resources as found in developmental disabilities State Plans for the applicant's service area; evaluation of present training programs and utilization of space in the facility; and capability of informing researchers and others in development of services related research.

Preapplication Coordination:

No preapplication form is required. Consultation and assistance in preparing application is available at the DHHS Central Office. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application forms are available from the DHHS Central Office. Completed application forms and narrative should be sent to the State Developmental Disabilities Council, Designated State Agency and Protection and Advocacy agency for review and comment. The standard application forms, as furnished by DHHS must be used for this program.

Award Procedure:

Applications are evaluated by outside peer reviews and grants are awarded at the DHHS ADD Central Office. Recommendations for funding are made by the Headquarters Office.

Deadlines:

Contact DHHS Central Office for future deadline information.

Range of Approval/Disapproval Time:

From 45 to 90 days.

Appeals:

Appeals are processed in accordance with regulations in 45 CFR, Part 16.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

This program has no statutory formula. Federal share of project grant awarded may not exceed 75 percent of the necessary cost of project, except that if project activities or products target individuals with developmental disabilities who live in an urban or rural area, the Federal share may not exceed 90 percent of the project's necessary costs.

Length and Time Phasing of Assistance:

University Centers awards are made for 5 years with a 12-month budget period.

Reports:

An annual progress report is required. Fiscal reports are required as prescribed by grant specifications. Final progress report is required upon project completion.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be maintained for 3 years.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$33,212,000; FY 08 \$36,943,000; and FY 09 est \$36,943,000.

Range and Average of Financial Assistance:

All University Centers are awarded the same amount. The FY 2006 amount was \$497,500. The amount for FY 2008 is \$522,500. The projected amount for FY 2009 is \$522,500.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 67 grants were awarded. In FY 08 and FY 09, it is anticipated that 75 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in Chapter XIII of Title 45 of the Code of Federal Regulations, Part 1388.

Regional or Local Office:

None.

Headquarters Office:

Administration on Developmental Disabilities, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 690-5982. Contact: Jennifer Johnson. FTS is not available.

Web Site Address:

<http://www.acf.dhhs.gov/programs/add>.

RELATED PROGRAMS:

93.630, Developmental Disabilities Basic Support and Advocacy Grants;
93.631, Developmental Disabilities Projects of National Significance.

EXAMPLES OF FUNDED PROJECTS:

Grants are funded to assist in the operation of public or nonprofit facilities which are associated with a college or university and provide training programs and delivery of services for persons with developmental disabilities. In addition, tied to the level of funds appropriated, training grants may be awarded to the University Centers.

CRITERIA FOR SELECTING PROPOSALS:

University Centers must address the provisions of the Act and regulations.

93.643 CHILDREN'S JUSTICE GRANTS TO STATES

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 107(a),(b),(c),(d),(e) and (f), Child Abuse Prevention and Treatment Act (CAPTA), 42 U.S.C.5106c et. seq., as amended; Public Law 108-36; Victims of Crime Act of 1984, as amended, 42 U.S.C. 10601 et seq.

OBJECTIVES:

To encourage States to enact reforms which are designed to improve: (a) The handling of child abuse and neglect cases, particularly cases of child sexual abuse and exploitation, in a manner which limits additional trauma to the child victim; (b) the handling of cases of suspected child abuse or neglect related fatalities; (c) the investigation and prosecution of cases of child abuse and neglect, particularly child sexual abuse and exploitation; and (d) the handling of cases involving children with disabilities or serious health-related problems who are victims of abuse or neglect.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

To receive funds, States must meet eligibility requirements stated in the authorizing statute. Funds are to be used for reforms in the following categories:

(a) Investigative, administrative, and judicial handling of cases of child abuse and neglect, particularly child sexual abuse and exploitation; cases involving suspected child maltreatment related fatalities; and cases involving a potential combination of jurisdictions, such as interstate, Federal-State, and State-Tribal, in a manner which reduces the additional trauma to the child victim and the victim's family and which also ensures procedural fairness to the accused; (b) experimental, model and demonstration programs for testing innovative approaches and techniques which may improve the prompt and successful resolution of civil and criminal court proceedings, or enhance the effectiveness of judicial and administrative action in child abuse and neglect cases, particularly child sexual abuse and exploitation cases, including the enhancement of performance of court-appointed attorneys and guardians ad litem for children, and which also ensure procedural fairness to the accused; and (c) reform of State laws, ordinances, regulations, protocols and procedures to provide comprehensive protection for children from abuse, particularly child sexual abuse and exploitation, while ensuring fairness to all affected persons.

Applicant Eligibility:

States, Puerto Rico, District of Columbia, Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Marianas.

Beneficiary Eligibility:

Beneficiaries include State governments and victims of child abuse and neglect, particularly child sexual abuse and exploitation.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with 45 CFR Parts 74 and 92. Applications require certification and/or documentation that the State meets eligibility requirements described in the Act.

Preapplication Coordination:

No preapplication is required. Advice and technical assistance to State applicants are available from the Children's Bureau, Office on Child Abuse and Neglect within the Administration on Children, Youth and Families. This program is covered under E.O. 12372, "Intergovernmental Review of Federal Programs" for State plan consolidations and simplification only (see 45 CFR Part 100.12). Review and comment provisions do not apply.

Application Procedure:

No Federal forms are required, but certain assurances and information described in the Annual Program Instruction must be included.

Award Procedure:

Applications will be reviewed against all eligibility requirements contained in the authorizing legislation. All eligible State and territorial governments will receive funding.

Deadlines:

Deadlines will be contained in the Program Instruction sent to each State and other eligible entities announcing the availability of funds under this program.

Range of Approval/Disapproval Time:

From 2 to 3 months.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, Part 16.

Renewals:

Grants are made annually. A new application is required each year.

Formula and Matching Requirements:

There is no matching requirement. Each State receives a base amount of \$50,000 with an additional amount based on the population of children under age 18 in each State.

Length and Time Phasing of Assistance:

Grant funds may be expended for a period of 2 years after the end of the fiscal year in which the funds are awarded.

Reports:

Financial and program progress reporting requirements are described in the

Program Instructions.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be kept in accordance with 45 CFR, Parts 74 and 92.

Account Identification:

15-5041-0-2-754.

Obligations:

(Grants) FY 07 \$17,000,000; FY 08 \$17,000,000; and FY 09 est \$17,000,000.

Range and Average of Financial Assistance:

Grants ranged from \$54,104 to \$1,895,572 in FY 07 and it is estimated that grants will range from \$54,104 to \$1,895,572 in FY 08 and FY09. The average amount awarded is \$303,571.

PROGRAM ACCOMPLISHMENTS:

Grants were made to 49 States (including the District of Columbia.) and four territorial governments in fiscal year 2007; 49 States are expected to be funded for fiscal year 2008 and 50 in 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

All pertinent instructions are contained in the annual Program Instruction.

Regional or Local Office:

None.

Headquarters Office:

Catherine E. Luby, Office on Child Abuse and Neglect, 1250 Maryland Avenue S.W., 8th Floor Washington, DC 20024. Telephone: (202) 205-8879.

Web Site Address:

<http://www.acf.dhhs.gov/programs/cb>.

RELATED PROGRAMS:

93.645, Child Welfare Services State Grants; 93.667, Social Services Block Grant; 93.669, Child Abuse and Neglect State Grants; 93.670, Child Abuse and Neglect Discretionary Activities; 93.671, Family Violence Prevention and Services/Grants for Battered Women's Shelters Grants to States and Indian Tribes.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

States which meet all eligibility requirements and propose projects which meet the required uses of these funds will receive grants.

93.645 CHILD WELFARE SERVICES-STATE GRANTS**FEDERAL AGENCY:**

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Title IV-B, Subpart 1, as amended.

OBJECTIVES:

The purpose of the Child Welfare Services program is to promote State flexibility in the development and expansion of a coordinated child and family services program that utilizes community-based agencies and ensures all children are raised in safe, loving families.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds may be used for the following purposes: (a) Protecting and promoting the welfare of all children; (b) Preventing the abuse, neglect, or exploitation of children; (c) Supporting at-risk families through services that allow children to remain with their families or return to their families in a timely manner; (d) Promoting the safety, permanence, and well-being of children in foster care and adoptive families; and (e) Providing training, professional development, and support to ensure a well-qualified workforce.

Applicant Eligibility:

States, the District of Columbia, Indian Tribes, Puerto Rico, the Northern Marianas, the Virgin Islands, Guam, and American Samoa.

Beneficiary Eligibility:

Families and children in need of child welfare services will benefit.

Credentials/Documentation:

The State department which administers the services program under Title XX must be the designated single State agency to administer child welfare services. Costs will be determined in accordance with 45 CFR Part 92.

Preapplication Coordination:

State plans are covered under E.O. 12372, but Intergovernmental Review is excluded.

Application Procedure:

A 5-year State plan must be submitted. The plan must be jointly developed by the Secretary and the State or Indian Tribe and written after State agency consultation with appropriate public and nonprofit private agencies and community-based organizations. The plan must coordinate the provision of services under Title IV-B with services under other Federal or federally-assisted programs serving the same populations.

Award Procedure:

Funds will be awarded after the State plan, or annual update, is submitted and

approved.

Deadlines:

States, Territories and Indian Tribes are encouraged to submit application in accordance with Department requirements. The Plan or update submitted is due by June 30 of each year.

Range of Approval/Disapproval Time:

The Plan or update that is due on June 30 of each year must be approved by 9/30 in order to receive timely funding.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

None.

Formula and Matching Requirements:

Each State receives a base amount of \$70,000 for child welfare services. The Federal Child Welfare services appropriation is further allotted on a variable formula which takes into account the child population under 21 and the complement of the State per capita income compared to the U.S per capita income. The statistical factors used to fund allocations are: (1) the population of children under 21 years of age by State and the source is "Current Population Reports", P-25, Bureau of the Census; and (2) 3-year average per capita income by State and the source is the Bureau of Economic Analysis, Department of Commerce. Income factors for eligibility do not apply to this program. Grantees receive Federal matching at a rate of 75 percent of their expenditures up to the limit of their allocation.

Length and Time Phasing of Assistance:

Grant awards are made quarterly on a fiscal year basis through a letter of credit. The Electronic Transfer System will be used for monthly cash draw-downs from Federal Reserve Banks.

Reports:

After the end of each of the first four fiscal years, the States, Territories, and Indian Tribes must submit an interim review of progress toward accomplishment of the goals in the plan. After the end of the fifth fiscal year, the States and Indian Tribes must perform a final review of progress toward accomplishment of the goals.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

States are required to maintain adequate fiscal records, and to make reports as required by DHHS.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$286,754,000; FY 08 \$281,744,000; and est FY 09 \$281,744,000.

Range and Average of Financial Assistance:

States: For Fiscal Years 07 award amounts ranged from \$163,468 to \$33,565,519 with an Average grant award of \$1,346,262; Tribes \$892 to \$985,454 with an average of \$36,376. These estimated ranges will change very slightly for FY 08 and FY 09.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 213 grants were awarded. It is anticipated that 213 grants will be awarded in fiscal year 2008 and fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1355 and 45 CFR 1357.

Regional or Local Office:

Contact Regional Administrator, ACY. (See Appendix IV of the Catalog for a list of addresses of the Regional offices.)

Headquarters Office:

Headquarters Office: Sara Williams Children's Bureau, Administration for Children and Families, 1250 Maryland Avenue S.W., Washington, DC 20024. Telephone: (202) 205-7405.

Web Site Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556, Promoting Safe and Stable Families; 84.013, Title I Program for Neglected and Delinquent Children; 93.600, Head Start; 93.648, Child Welfare Services Training Grants; 93.658, Foster Care Title IV-E; 93.659, Adoption Assistance; 93.667, Social Services Block Grant.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.647 SOCIAL SERVICES RESEARCH AND DEMONSTRATION

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Title XI, Section 1110, as amended by Public Laws 86-778, 88-452, 90-248, 96-265, and 99-190; 42 U.S.C. 1310.

OBJECTIVES:

To promote research and demonstrations related to the prevention and reduction of dependency or the administration and effectiveness of programs related to that purpose.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants, cooperative agreements, and contracts are awarded for innovative research, demonstrations, and evaluations that are responsive to the Administration for Children and Families' (ACF) program priorities. All applications must meet standards of excellence in research, demonstration, or evaluation design. Construction or the purchase of real estate are not allowable under these grants or contracts. Further, these funds may not be used to supplement the cost of program operations otherwise capped under the law. Organizations may not use funds under these grants or contracts to engage in inherently religious activities, such as worship, religious instructions, or proselytization. In addition, an organization receiving ACF funds shall not, in providing ACF-funded services, discriminate against a program beneficiary or prospective program beneficiary on the basis of religion or religious belief. Regulations pertaining to the Equal Treatment for Faith-Based Organizations, which include the prohibition against Federal funding of inherently religious activities, as well as provisions related to nondiscrimination against religious organizations and the ability of religious organizations to maintain their religious character, can be found at either 45 CFR 87.1 or the HHS Web site at <http://www.os.dhhs.gov/fbci/waisgate21.pdf>.

Applicant Eligibility:

Grants and cooperative agreements may be made to or with governmental entities, colleges, universities, nonprofit and for-profit organizations (if fee is waived), and faith-based and community organizations. Grants or cooperative agreements cannot be made directly to individuals. Contracts may be awarded to nonprofit or for-profit organizations.

Beneficiary Eligibility:

Children, youth, and families, especially low-income families, will benefit.

Credentials/Documentation:

Applicants must present written evidence of other agencies' willingness to cooperate when the project involves the use of other agencies, facilities, or services. Nonprofit organizations which have not previously received ACF program support must submit proof of nonprofit status. For State and local governments, costs will be determined in accordance with 45 CFR Part 92 and OMB Circular No. A-87. For other grantees, applicable costs and administrative procedures will be determined in accordance with 45 CFR Parts 74 and 92.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants will be able to find a synopsis of grant or cooperative agreement opportunities and apply electronically for opportunities via: www.Grants.gov. Applicants will also be able to find the complete text of grant announcements on the ACF web site located at: <http://www.acf.hhs.gov/grants/index.html>.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Financial Assistance Award (FAA). This provides the amount awarded, the purpose of the award, the terms and conditions of the award, the budget period for which support is given, the total project period for which support is contemplated, and the total grantee participation. Official notice of an accepted contract proposal is made through award of a contract.

Deadlines:

Deadlines for grants and cooperative agreements are announced in www.grants.gov as part of the announcement or are mailed to State Agencies where applicants are limited to those agencies. Deadlines for requests for contract proposals are posted on www.fedbizopps.gov.

Range of Approval/Disapproval Time:

From 60 to 365 days. Generally, solicited grants, cooperative agreements, and contracts will be acted upon within 120 days.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Grant extensions and continuations are available if formally applied for and approved. If an application is recommended for approval for 2 or more years, the grantee or contractor must submit a formal request for a non-competitive continuation application each year accompanied by a progress report which will be evaluated prior to a recommendation of continuation. This process is not applicable to contracts.

Formula and Matching Requirements:

Grantees are generally required to share in the cost of projects. Cost sharing may

range up to 25 percent of the total approved project costs for grants and cooperative agreements.

Length and Time Phasing of Assistance:

Funds are granted on a 1- to 5-year basis (up to 10 years under certain exceptions), with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds.

Reports:

Reports of progress and expenditures are required on all projects. Comprehensive final reports are due within 90 days of completion of the project.

Audits:

All fiscal transactions identifiable to Federal financial assistance are subject to audit by the HHS audit agency, and other Federal officials. In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), "Audits of States, Local Governments and Non-Profit Organizations," Non-Federal entities that expend \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) or more in a year in Federal awards shall have a single or program-specific audit conducted for that year. Non-Federal entities that expend less than \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in section 215(a), but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO).

Records:

Proper accounting records, identifiable by grant number and including all receipts and expenditures, must be maintained for 3 years in accordance with 45 CFR Part 92. Subsequent to audit, they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants, Cooperative Agreements, and Contracts) FY 07 \$97,188,710 (Note: of this amount, \$14,991,207 was funded under 93.595); FY 08 \$94,882,000; and FY 09 est \$101,762,000. Four funding streams combine for these amounts: the Compassion Capital Fund; Welfare Research; Social Services Research and Demonstration; and the Child Welfare Study. With regard to the Compassion Capital Fund, FY 07 \$64,342,007, FY 08 \$52,688,000, and FY 09 est \$75,000,000. With regard to Welfare Research, FY 07 \$14,991,207, FY 08 \$15,000,000, and FY 09 est \$15,000,000. With regard to Social Services Research and Demonstration, FY 07 \$11,860,489, FY 08 \$21,194,000, and FY 09 est \$5,762,000. With regard to the Child Welfare Study, FY 07 \$5,995,007, FY 08 \$6,000,000, and FY 09 est \$6,000,000.

Range and Average of Financial Assistance:

(Grants, Cooperative Agreements and Contracts) From \$60,186 to \$5,995,000; average \$1,082,657.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007 funding was provided to 26 research and evaluation projects through contracts or grants related to child welfare, welfare reform and employment strategies (including projects testing promising strategies to serve the hard-to-employ and job retention and advancement, and research on sanction policies, time-limited assistance and local implementation), and to strengthening marriage and family formation. More information describing research and evaluation projects we have funded can be found at <http://www.acf.hhs.gov/programs/opre/>. We anticipate funding a similar number of projects related to these areas of interest in fiscal year 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

A variety of guidance on grants can be found at www.Grants.gov and <http://www.hhs.gov/grantsnet/roadmap/index.html>. Helpful information on contracts is available at www.fedbizopps.gov.

Regional or Local Office:

Appropriate ACF Regional Administrator. (See Appendix IV of the Catalog for ACF Regional Offices.)

Headquarters Office:

Karl Koerper, Office of Planning, Research and Evaluation, Administration for Children and Families, Department of Health and Human Services, 7th Floor, 370 L'Enfant Promenade SW., Washington, DC 20447. Telephone: (202) 401-4535. Fax: (202) 205-3598. E-Mail: KKoerper@ACF.HHS.gov.

Web Site Address:

<http://www.acf.hhs.gov/programs/opre>

RELATED PROGRAMS:

93.558, Temporary Assistance for Needy Families; 93.595, Welfare Reform Research, Evaluations and National Studies.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded projects are: research on the benefits, effects, and costs of different welfare reform interventions on populations receiving, and at risk of receiving welfare, including project focused on addressing issues of job retention and advancement, serving the hard-to-employ and testing promising welfare-to-work strategies in rural areas; studies of the effect of welfare reform of family and child well being; studies on responsible fatherhood, family structure and formation; and research and evaluation projects focused on strengthening

healthy marriage.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting projects to be funded under this program are published in www.Grants.gov for grant announcement and in the solicitation for requests for proposals published in www.fedbizopps.gov for contracts.

93.648 CHILD WELFARE SERVICES TRAINING GRANTS

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Section 426 (a)(1)(c), 42 U.S.C. 626 (a)(1)(c), as amended, including section 429.42 U.S.C. 628a.

OBJECTIVES:

To provide professional education opportunities to prospective and current child welfare agency staff and to develop competency-based training curricula and special projects for training child welfare personnel in specific areas.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are made to accredited public or other nonprofit institutions of higher learning for specific projects for training prospective and current personnel for work in the field of child welfare, including trainee-ships with stipends.

Applicant Eligibility:

Accredited institutions of higher learning.

Beneficiary Eligibility:

Awards are made to institutions of higher learning.

Credentials Documentation:

Grants to educational institutions are made only to accredited institutions. Costs are determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

Institutions applying for training grants are advised to consult with Headquarters Office concerning their proposals. Standard application forms, as furnished by DHHS must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications made in the format provided by and at the time specified in the annual Federal Register announcements of the availability of funds.

Award Procedure:

Grants are awarded after review by a panel of mostly nonfederal persons, with final decision by the Commissioner, ACYF in the Headquarters office.

Deadlines:

Deadline dates are announced in the annual program announcement.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, 16.

Renewals:

Renewals and extensions are available through formal submission of progress reports and continuation applications.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements; however, administratively-required matching, when required, is described in the program announcement.

Length and Time Phasing of Assistance:

Duration is specified in announcement.

Reports:

Biannual progress and fiscal reports are required.

Audits:

Audits are required in accordance with the requirement in 45 CFR 74 and 92.

Records:

Financial and other records of the grant are to be kept 3 years after the termination of the grant or until audited, whichever comes first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$7,335,000; FY 08 \$7,207,000; and FY 09 est \$7,207,000.

Range and Average of Financial Assistance:

\$75,000 to \$1,175,000; \$175,961.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 40 grants were awarded; FY 08, 12 grants will be awarded; est 12 grants will be awarded in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

No regulations codified in 45 CFR 1300.

Regional or Local Office:

Contact the Regional Administrator, ACYF.

Headquarters Office:

Donna T. Hornsby, MSW, Child Welfare Training Specialist, Children's Bureau,

Administration On Children, Youth and Families, 1250 Maryland Avenue S.W., Washington, DC 20024. Telephone: (202) 205-8405.

Web Site Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.645, Child Welfare Services State Grants.

EXAMPLES OF FUNDED PROJECTS:

Interdisciplinary training projects; projects to support professional education for child welfare practices; innovative training for exemplary practice; and cross program training.

CRITERIA FOR SELECTING PROPOSALS:

An assessment is made of: (1) The degree to which the proposal shows promise of meeting specific objectives defined in the annual program announcement; (2) reasonableness of cost; and (3) qualifications of the institution applying and staff, and (4) quality of the methodology.

93.652 ADOPTION OPPORTUNITIES

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Child Abuse Prevention and Treatment and Adoption Reform Act of 1978, Title II, as amended by Public Law 95-266; Public Law 100-294; Public Law 102-295; Public Law 104-235 and Public Law 108-36 (42 U.S.C. 5111 et seq.)

OBJECTIVES:

To facilitate the elimination of barriers to adoption and to provide permanent, loving home environments for children who would benefit from adoption, particularly children with special needs.

TYPES OF ASSISTANCE:

Project Grants (Contracts).

USES AND USE RESTRICTIONS:

Grants and contracts are for (1) education, training and technical assistance programs on adoption, (2) ongoing and extensive recruitment on the national level, (3) supporting and studying the placement of children in kinship care arrangements, pre-adoptive, or adoptive homes, (4) maintain a nation resource center for special needs adoption, (5) minority recruitment, (6) provision of post legal adoption services, (7) improving the permanent placement rate of children, especially older children, in foster care, and (8) developing and implementing a national adoption and foster care data gathering and analysis system and a national adoption information exchange system.

Applicant Eligibility:

Grants or Contracts: State, local government entities, public or private licensed child welfare or adoption agencies or community based other organizations, or adoptive family groups, minority groups, sectarian institutions.

Beneficiary Eligibility:

Children who are in foster care and with the goal of adoption.

Credentials/Documentation:

Nonprofit organizations which have not previously had Office of Management Services or ACF support must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined by 45 CFR 74 and 92.

Preapplication Coordination:

Limited consultation available at Headquarters Office. The standard application forms as furnished by DHHS must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be submitted to The Administration on Children, Youth and Families Headquarters Office listed below.

Award Procedure:

After review and recommendation by a nonfederal panel, the Commissioner, ACYF, makes final decision.

Deadlines:

Determined annually and specified in the annual program announcement which appears in the Federal Register.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Renewals are available for multi-year grants through formal submission of progress reports and continuation applications. Funding for multi-year grants is provided on an annual basis.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements; however, administratively-required matching is described in the annual program announcement.

Length and Time Phasing of Assistance:

Grants may extend from 3 to 5 years. Average duration is expected to be 5 years.

Reports:

Financial reports, semi-annual financial reports and progress reports. Final progress report, financial report due 90 days after completion of grant.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Grantees are required to keep financial and other grant records for 3 years after the completion of the grant or completion of audit whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$26,848,000; FY 08 \$26,379,000; and FY 09 est \$26,379,000. (Note: The funds in this program are also available for program contracts. The amounts that can be used for contracts cannot be predetermined.)

Range and Average of Financial Assistance:

\$200,000 to \$1,000,000; \$300,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 56 grantees were awarded funds. It is anticipated that approximately 48 grants will be awarded in fiscal year 2008 and approximately 48 grants in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

Priority statements, application information and submission deadline available at no charge.

Regional or Local Office:

None.

Headquarters Office:

Children's Bureau, Administration for Children and Families, 1250 Maryland Avenue, S.W., Washington, DC 20024. Contact: Jan Shafer, Telephone: (202) 205-8172.

Web Site Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.645, Child Welfare Services State Grants; 93.648, Child Welfare Services Training Grants.

EXAMPLES OF FUNDED PROJECTS:

Developing services and support for youth who wish to retain contact with family members in order to improve permanency outcomes; and Field Initiated Services Demonstration projects in the adoption field. The Healthy Marriage Initiative, which began in 2002, is designed to help couples who have chosen marriage for themselves gain access to services that will help them acquire the skills and knowledge necessary to form and sustain a healthy marriage. The Administration for Children and Families (ACF) began awarding the initial grants in 2003.

CRITERIA FOR SELECTING PROPOSALS:

An assessment is made of: (1) The degree to which proposal shows promise of meeting specific objectives defined in a program announcement, (2) innovation and advancement of the field, (3) reasonableness of cost; and (4) qualification of staff.

93.658 FOSTER CARE-TITLE IV-E

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, as amended, Title IV-E, Section 470, et seq.

OBJECTIVES:

The Title IV-E Foster Care program helps States provide safe and stable out-of-home care for children under the jurisdiction of the State child welfare agency until the children are returned home safely; placed with adoptive families, or placed in other planned arrangements for permanency. The program provides funds to States to assist with the costs of foster care maintenance for eligible children; administrative costs to manage the program; and training for the State agency staff, foster parents and certain private agency staff.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds may be used by the State child welfare agency for FFP in State or local foster care maintenance payments on behalf of eligible children; for administrative and training costs; and for costs related to design, implement and operate a statewide data collection system. Funds may not be used for costs of social services such as those that provide counseling or treatment to ameliorate or remedy personal problem, behaviors, or home conditions for a child, the child's family, or the child's foster family.

Applicant Eligibility:

States, the District of Columbia, and Puerto Rico.

Beneficiary Eligibility:

States, the District of Columbia, and Puerto Rico will benefit.

Credentials/Documentation:

Costs are determined in accordance with 45 CFR 74.

Preapplication Coordination:

Consultation is available to States from Regional Administration for Children and Families (ACF) offices. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications (State plans) must be submitted by the State to the ACF Regional Child Welfare Program Manager, for approval. The standard application forms as furnished by DHHS must be used for this program.

Award Procedure:

Quarterly awards are made on the basis of State estimated expenditures that are later revised accordingly to include only actual allowable expenditures. Final decisions and awards are made by the Headquarters Office. Letter-of-credit is issued by the HHS Payment Management System (PMS).

Deadlines:

State estimates must be received quarterly (July 30, October 30, January 30, and April 30) by the ACF, Washington, DC.

Range of Approval/Disapproval Time:

HHS approves or disapproves State plans or plan amendments within 90 days of receipt by HHS.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Awards remain effective without renewal but State plans may be amended as necessary.

Formula and Matching Requirements:

FFP for foster care maintenance payments is equal to the State medical assistance percentage, i.e., the Federal share of payments is from 50 percent to 83 percent, depending on the State (Section 474(a)(1) and 1905(b) of the Social Security Act). Training and other administrative expenditures are matched at 75 and 50 percent Federal share, respectively (Sec. 474(a) of the Social Security Act).

Length and Time Phasing of Assistance:

Funds are paid quarterly through a letter of credit.

Reports:

Expenditure reports must be submitted 30 days after the end of each quarter (January 30, April 30, July 30, and October 30) to Administration for Children and Families, Office of Administration, Washington, DC.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 92.

Records:

States must maintain records that substantiate established eligibility, allocated indirect and direct costs, and awarded grants.

Account Identification:

75-1545-0-1-609.

Obligations:

(Grants & Contracts) FY 07 \$4,687,672,000; FY 08 \$4,581,000,000; and FY 09 est \$4,463,000,000.

Range and Average of Financial Assistance:

For FY 2007, grants ranged from \$1,665,150 to \$1,302,357,112; FY 2008 estimated range is from \$1,627,894 to \$1,273,217,985; and the estimated range for FY 2009 is from \$1,582,764 to \$1,237,920,313. The average award amount is \$89,791,646, \$87,782,635, and \$85,349,019, respectively.

PROGRAM ACCOMPLISHMENTS:

It is estimated that 53 grants will be made in fiscal year 2007, 2008, and 2009, serving serving approximately 211,900 children per month in fiscal year 2007 and 207,800 in fiscal year 2008 and approximately 203,200 in fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1355-1356.

Regional or Local Office:

Consult Children's Bureau Regional Child Welfare Program Manager. (See Appendix IV of the Catalog for a list of addresses of the Regional Offices.)

Headquarters Office:

Jennifer Butler-Hembree, Children's Bureau, 1250 Maryland Ave S.W., Washington, DC 20024. Telephone: (225) 654-2527.

Web Site Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556, Promoting Safe and Stable Families; 93.560, Family Support Payments to States Assistance Payments; 93.645, Child Welfare Services State Grants; 93.659, Adoption Assistance.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.659 ADOPTION ASSISTANCE**FEDERAL AGENCY:**

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Title IV-E, Section 470 et seq., as amended.

OBJECTIVES:

To provide Federal Financial Participation (FFP) to States in adoption subsidy costs for the adoption of children with special needs and who meet certain

eligibility tests.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Federal subsidy may be used only in support of the adoption of children who meet the definition of special needs as specified in the Statute. All parents adopting special needs children are eligible for the nonrecurring cost of adoption. States may receive Federal Financial Participation (FFP) only if State plans have been approved by the Secretary.

Applicant Eligibility:

Funds are available to States, the District of Columbia, and Puerto Rico with approved State plans.

Beneficiary Eligibility:

Beneficiaries are children who: (1) in Title IV-E foster care, eligible for Are AFDC (as in effect July 16, 1996) SSI recipients are the minor child living with his/her parent who is in IV-E Foster Care; or was previously adopted and eligible for adoption assistance but the adoption dissolved or the adoptive parents died and (2) have been determined by the State to be special needs, e.g., a special factor or condition which makes it reasonable to conclude that they cannot be adopted without adoption assistance; a State determination that the child cannot or should not be returned home; and a reasonable effort has been made to place the child without providing financial or medical assistance. FFP is available from the time of placement for adoption in accordance with State and local law or final adoption decree to age 18 (21 if the State finds that a disability means aid should continue) as long as the parent supports the child. No child adopted prior to the approval of the State's Title IV-E plan is eligible for FFP. No means test applies to adopting parents, but the amount of subsidy is agreed to by agency and parents and may be readjusted by joint agreement.

Credentials/Documentation:

The State must submit an application for approval by the Secretary of Health and Human Services. Costs will be determined in accordance with 45 CFR Part 92.

Preapplication Coordination:

Consultation to States is available from Regional Offices of ACF, DHHS. The standard application forms as furnished by DHHS must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications (State plans) are submitted to the Regional Office (RO) of ACF.

Award Procedure:

Quarterly awards are made on the basis of estimated expenditures, which are later revised to include only actual allowable expenditures. Final decisions and awards are made by the Headquarters Office. Letter-of-credit is issued by the HHS Payment Management System (PMS).

Deadlines:

Estimates are due July 30, October 30, January 30, and April 30.

Range of Approval/Disapproval Time:

HHS will approve/disapprove applications (State plans or amendments to them) within 90 days of receipt by HHS.

Appeals:

Refer to 45 CFR Part 16.

Renewals:

None.

Formula and Matching Requirements:

FFP for adoption assistance is equal to the Federal medical assistance percentage (as defined in section 1905(b) of the Social Security Act) of the total expended for adoption assistance payments, 75 percent of training costs, and 50 percent of other administrative costs.

Length and Time Phasing of Assistance:

Federal financial participation is available to a State operating under an approved State plan.

Reports:

Expenditure reports are required 30 days after the end of the quarter; other reports as required by the Secretary.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 92.

Records:

States must maintain records necessary for the proper and efficient operation of the program, including records of applications, determinations of eligibility, allocated and direct administrative and training costs, and the provision of financial assistance.

Account Identification:

75-1545-0-1-609.

Obligations:

(Grants) FY 07 \$1,942,289,000; FY 08 \$2,156,000,000; and FY 09 est \$2,286,000,000.

Range and Average of Financial Assistance:

Range for FY 07 from \$156,900 to \$344,873,400 per State/Territory; Range estimate for FY 08 from \$174,164 to \$382,820,081; Range estimate for FY 09 from \$184,666 to \$405,902,925. The average award amount respectively is \$34,451,159, \$38,980,769 and \$41,519,230.

PROGRAM ACCOMPLISHMENTS:

Adoption subsidy payments are made to eligible children with special needs who are adopted. In fiscal year 2007, this program assisted an estimated average of 390,200 children per month. It is estimated that this program will assist an average of 411,700 children per month in fiscal year 2008 and 430,000 in FY 2009. There were 52 grants made in fiscal year 2007. It is estimated that 52 grants will be made in fiscal year 2008 and 52 grants in fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notice of Proposed Rulemaking; 45 CFR 1355-1356.

Regional or Local Office:

Contact the Regional Administrator, ACF, HHS in the appropriate Regional Office. (See Appendix IV of the Catalog for a list of addresses of the Regional Offices.)

Headquarters Office:

Eileen West, Children's Bureau, 1250 Maryland Avenue, S.W., Washington, DC 20447. Telephone: (202) 205-8438.

Web Site Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556, Promoting Safe and Stable Families; 93.645, Child Welfare Services, State Grants; 93.652, Adoption Opportunities; 93.658, Foster Care, Title IV-E.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.667 SOCIAL SERVICES BLOCK GRANT

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Title XX, as amended; Omnibus Budget Reconciliation Act of 1981, as amended, Public Law 97-35; Jobs Training Bill, Public Law 98-8; Public Law 98-473; Medicaid and Medicare Patient and Program Act of 1987; Omnibus Budget Reconciliation Act of 1987, Public Law 100-203; Family Support Act of 1988, Public Law 100-485; Omnibus Budget Reconciliation Act of 1993, Public Law 103-66; 42 U.S.C. 1397 ET seq.

OBJECTIVES:

To enable each State to furnish social services best suited to the needs of the individuals residing in the State. Federal block grant funds may be used to provide services directed toward one of the following five goals specified in the law: (1) To prevent, reduce, or eliminate dependency; (2) to achieve or maintain self-sufficiency; (3) to prevent neglect, abuse, or exploitation of children and adults; (4) to prevent or reduce inappropriate institutional care; and (5) to secure admission or referral for institutional care when other forms of care are not appropriate. In addition, special funding was provided to some states in fiscal year 1995 and 1996 for supplemental SSBG grants in support comprehensive of community revitalization projects in 104 federally designated Empowerment Zones (EZs) and Enterprise Communities (ECs). The supplemental funding is called "EZ/EC SSBG." The States, through the designated localities, may use the EZ/EC SSBG funds for activities included in each locality's strategic plan for comprehensive revitalization and directed toward goals 1, 2 or 3 listed above. These funds will remain available until December 21, 2004. Information about this component of the SSBG is included below as appropriate.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Federal funds may be used by States for the proper and efficient operation of social service programs. Except for items (1) and (4) below, for which a waiver from the Secretary may be requested, Federal funds cannot be used for the following: (1) The purchase or improvement of land, or the purchase, construction, or permanent improvement of any building or other facility; (2) the provision of cash payments for costs of subsistence or the provision of room and board (other than costs of subsistence during rehabilitation, room and board provided for a short term as an integral but subordinate part of a social service, or temporary shelter provided as a protective service); (3) the payment of wages to any individual as a social service (other than payment of wages to welfare recipients employed in the provision of child day care services); (4) the provision of medical care (other than family planning services, rehabilitation services or initial detoxification of an alcoholic or drug dependent individual) unless it is an integral but subordinate part of a social service for which grants may be used; (5) social services (except services to an alcoholic or drug dependent individual or rehabilitation services) provided in and by employees of any hospital, skilled nursing facility, intermediate care facility, or prison, to any individual living in such institution; (6) the provision of any educational service which the State makes generally available to its residents without cost and without regard to their income; (7) any child day care service unless such service meets applicable standards of State and local law; (8) the provision of cash

payments as a service; or (9) for payment for any item or service (other than an emergency item or service) furnished by an individual or entity during the period when such individual or entity is excluded pursuant to Section 1128 or Section 1128(A) of the Social Security Act from participation in this program; or at the medical direction or on the prescription of a physician during the period when the physician is excluded based on Section 1128 or 1128(A) from participation in the program and when the person furnishing such item or service knew or had reason to know of the exclusion (after a reasonable time period after reasonable notice has been furnished to the person). A State may transfer up to 10 percent of its allotment for any fiscal year to the preventive health and health services, alcohol and drug abuse, mental health services, maternal and child health services, and low-income home energy assistance block grants.

Applicant Eligibility:

The 50 States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, and American Samoa.

Beneficiary Eligibility:

Under Title XX, each eligible jurisdiction determines the services that will be provided and the individuals that will be eligible to receive services.

Credentials/Documentation:

Prior to expenditure of funds, the State must report on the intended use of the payments the State is to receive, including information on the types of activities to be supported and the categories or characteristics of individuals to be served.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submission of a pre-expenditure report application is required.

Award Procedure:

States are awarded funds quarterly.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

See 45 CFR, Part 16, Procedures of the Departmental Appeals Board.

Renewals:

Not applicable.

Formula and Matching Requirements:

Section 2003 of Title XX of the Social Security Act specifies how the allotments for each State and jurisdiction will be determined. Each State is entitled to payments in an amount equal to its allotment for that fiscal year. There is no matching requirement. Allotments for Title XX are subject to a limitation of \$2,800,000,000 (estimate). The allotment for the jurisdictions of Puerto Rico, Guam, the Virgin Islands, and the Northern Mariana Islands shall be an amount which bears the same ratio to the amount authorized for Title XX as the fiscal year 1981 allocation bore to \$2,900,000,000. The allotment for American Samoa shall be an amount which bears the same ratio to the amount allotted to the Northern Mariana Islands for that fiscal year as the population of American Samoa bears to the population of the Northern Mariana Islands. Each State's and the District of Columbia's allotment are proportional to its portion of the national population of the amount authorized for Title XX minus the amount authorized to the other jurisdictions. The statistical factors used for fund allocation are the State population and total U.S. population (ratio of population of all States and the District of Columbia to total population); source, "Current Population Reports," P-25, Bureau of the Census.

Length and Time Phasing of Assistance:

Grants are awarded quarterly on a fiscal year basis. The Electronic Transfer System will be used based on quarterly grant awards for monthly cash draws from Federal Reserve Banks. The funds will remain available for projects and programs in the designated localities until December 21, 2004.)

Reports:

An annual report is required. The report shall be in such form and contain such information as the State finds necessary to provide an accurate description of such activities, to secure a complete record of the purposes for which funds were spent, and to determine the extent to which funds were spent in a manner consistent with the pre-expenditure reports required under Section 2004 of the Act. The report must include the services provided in whole or in part with block grant funds; the number of children and the number of adults receiving each service; expenditure data for both children and adults for each service; the criteria applied in determining eligibility for each service, including fees; and the method(s) by which each service was provided. States must provide DHHS with an annual report (Standard Form 269). For EZ/EC SSBG, States are also required to provide a final report at the end of the grant period. The grant period ends for EZ/EC SSBG on December 21, 2004.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), "Audits of States, Local Governments and Non-Profit Organizations," Non-Federal entities that expend \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part. Non-Federal entities that expend less than \$300,000

(\$500,000 for fiscal years ending after December 31, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in section .215(a), but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO).

Records:

States are required to maintain records documenting the purposes for which expenditures were made.

Account Identification:

75-1534-0-1-506.

Obligations:

(Grants) FY 07 \$1,700,000,000; FY 08 \$1,700,000,000; and FY 09 est \$1,200,000,000.

Range and Average of Financial Assistance:

\$48,518 to \$206,071,998; \$29,824,563.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 57 grants were awarded. In FY 08, 57 grants will be awarded. It is anticipated that same number of grants will be awarded in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 96.

Regional or Local Office:

Local Office: Not applicable. Regional Office: Office of Community Services Regional Liaisons in the Office of the Regional Administrator. (See Appendix IV of the Catalog for Regional Offices.)

Headquarters Office:

Division of State Assistance, Office of Community Services, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401-4608.

Web Site Address:

<http://www.acf.hhs.gov/programs/ocs/ssbg>.

RELATED PROGRAMS:

93.600, Head Start; 93.630, Developmental Disabilities Basic Support and Advocacy Grants; 93.044, Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers; 93.045, Special Programs for the Aging Title III, Part C Nutrition Services; 93.645, Child Welfare Services State Grants; 93.647, Social Services Research and Demonstration; 93.658, Foster Care Title IV-E; 93.669, Child Abuse and Neglect State Grants; 93.671, Family Violence Prevention and Services/Grants for Battered Women's Shelters Grants to States and Indian Tribes.

EXAMPLES OF FUNDED PROJECTS:

States and other eligible jurisdictions determine their own social services programs. Examples of funded services include child day care, protective and emergency services for children and adults, homemaker and chore services, information and referral, adoption, foster care, counseling, and transportation.

CRITERIA FOR SELECTING PROPOSALS:

All States, the District of Columbia, and the five other jurisdictions will receive their share of funds if they submit a pre-expenditure report that meets the requirements.

93.669 CHILD ABUSE AND NEGLECT STATE GRANTS

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Child Abuse Prevention, Adoption and Family Services Act of 1988, Title I, Public Law 100-294, as amended; Child Abuse, Domestic Violence, Adoption, and Family Services Act of 1992, Public Law 102-295; Juvenile Justice and Delinquency Prevention Act Amendments of 1992, Public Law 102-586; Child Abuse Prevention and Treatment Act Amendments, Public Law 104-235; Keeping Children and Families Safe Act of 2003, Public Law 108-36; 42 U.S.C. 5101 et seq.

OBJECTIVES:

To assist States in the support and improvement of their child protective services systems.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

States may use the funds for one or more of the 14 purposes specified in the authorizing legislation.

Applicant Eligibility:

States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, American Samoa, the Republic of Palau, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

There are no eligibility requirements attached to the beneficiaries of these funds (abused and neglected children and their families).

Credentials/Documentation:

State plans require certification of the State's eligibility under Section 106(b) of the authorizing legislation. Applicable costs and administrative procedure will be determined in accordance with 45 CFR 74 and 92.

Preapplication Coordination:

Technical assistance is available at the regional level to assist States in meeting conditions specified in the Act. The five-year State plan application and approval process under CAPTA is consolidated with comprehensive Child and Family Services Plan under Title IV-B of the Social Security Act. The State plan may be submitted in a format best suited to a State's need and must be accompanied by the information, certifications, assurances, and documentation required by the annual program instruction. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs" for State plan consolidation and simplification only. The review and comment provisions do not apply. The application process is governed by the requirements of 45 CFR 74 and 92.

Application Procedure:

State plans and updates must be submitted to the Regional Offices. Complete information is furnished annually to the appropriate State office regarding format and timing of State plan applications and updates.

Award Procedure:

State plans and updates are reviewed at the Regional level; Children's Bureau Regional Program Managers have approval/disapproval authority for these grants.

Deadlines:

As described in the Annual Program Instruction. Contact Regional Offices for details.

Range of Approval/Disapproval Time:

From 2 to 3 months.

Appeals:

None.

Renewals:

Grants are made annually. States submit a five-year plan and annual updates are required each year.

Formula and Matching Requirements:

No matching requirements. The statistical factor used for fund distribution is the population of children under 18 in each State. Data are provided by "Current Population Reports."

Length and Time Phasing of Assistance:

Grants are awarded annually.

Reports:

Financial data and annual reporting requirements are described in annual Program Instructions.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be kept in accordance with 45 CFR Parts 74 and 92.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 07 \$27,007,000; FY 08 \$26,535,000; and FY 09 est \$26,535,000.

Range and Average of Financial Assistance:

In FY 2007, grants ranged from \$55,752 to \$3,196,791 and the average award was \$482,484. For FY 2008 and FY 2009, grants are expected to range from \$55,639 to \$3,135,352.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 56 grants were awarded to States to support and improve their State child protective services systems. It is anticipated that 56 grants will be awarded in FY 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulation 45 CFR 1340; annual Program Instruction.

Regional or Local Office:

Children's Bureau Regional Program Managers.

Headquarters Office:

Emily Cooke, Children's Bureau, 1250 Maryland Avenue S.W., 8th Floor, Washington, DC 20024. Telephone: (202) 205-8709.

Web Site Address:

<http://www.acf.dhhs.gov/programs/cb>.

RELATED PROGRAMS:

93.600, Head Start; 93.643, Children's Justice Grants to States; 93.645, Child Welfare Services State Grants; 93.667, Social Services Block Grant; 93.670, Child Abuse and Neglect Discretionary Activities; 93.671, Family Violence Prevention and Services/Grants for Battered Women's Shelters Grants to States and Indian Tribes.

EXAMPLES OF FUNDED PROJECTS:

Grants to 51 States and five Territorial governments for one or more of the following purposes: (1) The intake, assessment, screening, and investigation of reports of abuse and neglect; (2) creating and improving the use of multidisciplinary teams and interagency protocols to enhance investigations, and improving legal preparation and representation; (3) case management, on-going case monitoring, and delivery of services and treatment provided to children and their families; (4) enhancing the general child protective system by developing, improving, and implementing risk and safety assessment tools and protocols; (5) developing and updating systems of technology that support the program and

track reports of child abuse and neglect from intake through final disposition and allow interstate and intrastate information exchange; (6) developing, strengthening, and facilitating training including (A) training regarding research-based strategies to promote collaboration with the families; (B) training regarding the legal duties of such individuals; and (C) personal safety training for caseworkers; (7) improving the skills, qualifications, and availability of individuals providing services to children and families, and the supervisors of such individuals, through the child protection system, including improvements in the recruitment and retention of caseworkers; (8) developing and facilitating training protocols for individuals mandated to report child abuse or neglect; (9) developing and facilitating research-based strategies for training individuals mandated to report child abuse or neglect; (10) developing, implementing, or operating programs to assist in obtaining or coordinating necessary services for families of disabled infants with life-threatening conditions; (11) developing and delivering information to educate the public on the role and responsibilities of the child protection system and the nature and basis for reporting suspected incidents of child abuse and neglect; (12) developing and enhancing the capacity of community-based programs to integrate shared leadership strategies between parents and professionals to prevent and treat child abuse and neglect at the neighborhood level; (13) supporting and enhancing interagency collaboration between the child protection system and the juvenile justice system for improved delivery of services and treatment; and (14) supporting and enhancing collaboration among public health agencies, the child protection system, and private community-based programs to provide child abuse and neglect prevention and treatment services (including linkages with education systems) and to address the health needs, including mental health needs, of children identified as abused or neglected.

CRITERIA FOR SELECTING PROPOSALS:

States which submit State plans and updates in accordance with the annual updates and statutory requirements.

93.670 CHILD ABUSE AND NEGLECT DISCRETIONARY ACTIVITIES

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Child Abuse Prevention and Treatment Act, Title I, as amended, Public Law 93-247; Child Abuse Prevention, Adoption and Family Services Act of 1988, as amended; Title I, Public Law 100-294, as amended; Public Law 101-266, Public Law 101-645, and Public Law 102-295, Keeping Children and Families Safe Act, Public Law 108-36, 42 U.S.C. 5101 et seq.

OBJECTIVES:

To improve the national, State, community and family activities for the prevention, assessment, identification, and treatment of child abuse and neglect through research, demonstration, service improvement, evaluation of best practices, dissemination of information, and technical assistance.

TYPES OF ASSISTANCE:

Project Grants (Contracts).

USES AND USE RESTRICTIONS:

Grants or Contracts are provided for: (1) Technical assistance to public and private nonprofit agencies; (2) research and service demonstration projects to identify, assess, prevent, and treat child abuse and neglect; (3) research into the incidence, consequences, and prevalence of child abuse and neglect; and (4) for the dissemination of information on the incidence, causes, prevention and treatment of child abuse and neglect.

Applicant Eligibility:

Grants: States, local governments, tribes, public agencies or private agencies or organizations (or combinations of such agencies or organizations) engaged in activities related to the prevention, identification, and treatment of child abuse and neglect. Contracts: Public and private agencies.

Beneficiary Eligibility:

Abused and neglected children and their families.

Credentials/Documentation:

Nonprofit agencies must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations.

Preapplication Coordination:

Standard application forms as furnished by DHHS must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Announcement of availability of funds is published on grants.gov and the ACF web-site, www.acf.hhs.gov/grants/open. Eligible applicants submit applications by specified deadlines.

Award Procedure:

Grant applications are reviewed by a panel of nonfederal professionals with expertise in the child abuse and neglect field. Final decisions are made by the Commissioner, Administration for Children, Youth and Families (ACYF). Contract proposals are reviewed by a panel of Federal staff.

Deadlines:

Deadlines change from year to year. Each program announcement provides specific deadline information. Contact Headquarters Office for details.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Some projects are awarded with a provision for non-competitive renewal. Most grants are one-time awards. Renewals may be subject to a competitive process.

Formula and Matching Requirements:

Matching requirements are described in each program announcement.

Length and Time Phasing of Assistance:

Grants are generally available for a 12, 24, 36 or 60-month period. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds.

Reports:

Financial and program reports are required semiannually with a final report at completion of the project.

Audits:

Audits are conducted in accordance with requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$25,780,000; FY 08 \$37,135,000; FY 09 est \$37,135,000. (Note: The funds in this program are also available for contracts. The amounts for contracts cannot be predetermined.).

Range and Average of Financial Assistance:

\$149,266 to \$2,000,000; \$537,083.

PROGRAM ACCOMPLISHMENTS:

48 grants were awarded in Fiscal Year 2007 and 66 grants are anticipated for Fiscal Year 2008 and an estimated 53 grants in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1340.

Regional or Local Office:

None.

Headquarters Office:

Jan Shafer, Director, Research and Innovation Division, Children's Bureau, 1250 Maryland Avenue S.W., Washington, DC 20024, 8th Floor. Telephone: (202) 205-8172.

Web Site Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.600, Head Start; 93.643, Children's Justice Grants to States; 93.648, Child Welfare Services Training Grants; 93.669, Child Abuse and Neglect State Grants; 93.645, Child Welfare Services State Grants; 93.947.

EXAMPLES OF FUNDED PROJECTS:

National Resource Center for Community Based Child Abuse Prevention Programs; National Resource Center on Child Protective Services; Systems of Care grants to improve child welfare outcomes; Replications of Demonstrated Effective Practices in the Prevention of Child Abuse and Neglect; Longitudinal Research on Child Abuse and Neglect.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated on the basis of the degree to which proposals meet specific objectives defined in the annual program announcement including, but not limited to: (1) Reasonableness of cost; (2) qualifications of staff; and (3) relevance to stated areas of interest.

93.671 FAMILY VIOLENCE PREVENTION AND SERVICES/GRANTS FOR BATTERED WOMEN'S SHELTERS-GRANTS TO STATES AND INDIAN TRIBES

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Title III, Child Abuse Amendments of 1984, Public Law 98-457, 42 U.S.C. 10401 et seq.; Family Violence Prevention and Services Act (FVPSA) of 1986, as amended; Child Abuse Prevention, Adoption, and Family Services Act of 1988, Public Law 100-294; Public Law 102-295; Violent Crime Control and Law Enforcement Act, Public Law 103-322; Child Abuse Prevention and Treatment Act (CAPTA) OF 1996, Public Law 104-235; Victims of Trafficking and Violence Protection Act, Public Law 106-386; Keeping Children and

Families Safe Act of 2003, Public Law 108-36; Violence Against Women Reauthorization Act of 2005, Public Law 109-162.

OBJECTIVES:

To assist States and Indian Tribes in the prevention of family violence and the provision of immediate shelter and related assistance for victims of family violence and their dependents.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Federal funds are used by States for grants to local public agencies and nonprofit private organizations to prevent incidents of family violence and to provide immediate shelter and related assistance to victims of family violence. States must give special emphasis to the support of community-based projects of demonstrated effectiveness carried out by nonprofit private organizations, particularly those projects where the primary purpose is to operate shelters for victims of family violence, and those which provide counseling, advocacy, and self-help services to victims and their children. States and Indian Tribes may not impose an income eligibility standard on individuals receiving services supported by funds appropriated under this Act and Federal funds may not be used as direct payment to any victim of family violence. No less than 70 percent of the funds distributed must be used for immediate shelter and related assistance, and no less than 25 percent for related assistance.

Applicant Eligibility:

The 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Virgin Islands, the Northern Mariana Islands, and federally recognized Indian Tribes.

Beneficiary Eligibility:

This program will benefit victims of family violence and their dependents.

Credentials/Documentation:

States and Indian Tribes must submit an application for approval by the Secretary of Health and Human Services. Costs will be determined in accordance with 45 CFR, Parts 74 and 92.

Preapplication Coordination:

Grants to States are covered under E.O. 12372 for State plan consolidation and simplification only. Federally-recognized Indian tribes are exempt from all provisions and requirements of E.O. 12372.

Application Procedure:

Applications must be submitted to the Administration on Children, Youth and Families. No standard application forms are required. Contact Headquarters Office listed below for further information.

Award Procedure:

Formula grants are awarded directly to States, U.S. territories and insular areas, and eligible Indian Tribes.

Deadlines:

For deadlines please contact Headquarters Office listed below for further information.

Range of Approval/Disapproval Time:

None.

Appeals:

See 45 CFR, Part 16, Procedures of the Department Appeals Board.

Renewals:

None.

Formula and Matching Requirements:

(1) Each State shall be allotted for payment in a grant authorized under section 303(a), \$600,000, with the remaining funds to be allotted to each State in an amount that bears the same ratio to such remaining funds as the population of such State bears to the population of all States; (2) Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands shall be allotted not less than one eighth of 1 percent of the amounts available for grants under section 303(a) for the fiscal year for which the allotment is made; and (3) to carry out section 303(b) the Secretary shall make available not less than 10 percent of such amounts to make grants to Indian Tribes, tribal organizations and nonprofit private organizations approved by an Indian Tribe. No grant may be made to any entity other than a State or an Indian Tribe unless the entity provides for the following non-Federal matching local share: with respect to an entity operating an existing program under this title, not less than 20 percent, with respect to a new program under this title, not less than 35 percent. The local share may be cash or in-kind, may not include any Federal funds other than provided under this title.

Length and Time Phasing of Assistance:

State allocations must be (expended) by States and Indian tribes by the end of the fiscal year following the fiscal year in which the grant was received.

Reports:

Fiscal and program reports are required annually.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that

year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain records documenting the purposes for which expenditures were made. Requirements are found in 45 CFR 74 and 92.

Account Identification:

75-1536-0-1-506.

Obligations:

(Grants) FY 07 \$99,784,800; FY 08 \$98,041,600; and FY 09 est \$98,041,600.

Range and Average of Financial Assistance:

States: \$694,424 to \$7,284,240; Indian Tribes: \$26,709 to \$2,337,036.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2007, 234 grants were made to States and Indian Tribes for immediate shelter and related assistance. Support continued for shelters in rural and underserved areas, special programs for children of victims of family violence, support for public awareness programs to break the cycle of violence, and demonstration of service models that address elder abuse. The same services will be provided in fiscal years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations codified in 45 CFR 1300. Final Rulemaking was published February 22, 1996, at 45 CFR 1370.

Regional or Local Office:

None.

Headquarters Office:

Marylouise Kelley, Family and Youth Services Bureau, Administration on Children, Youth and Families, 1250 Maryland Avenue, SW, Suite 8216 Washington, DC, 20024. Telephone: (202) 401-5756. FTS is not available.

Web Site Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.044, Special Programs for the Aging Title III, Part B, Grants for Supportive Services and Senior Centers; 93.556, Promoting Safe and Stable Families; 93.612, Native American Programs; 93.645, Child Welfare Services State Grants; 93.667, Social Services Block Grant; 93.669, Child Abuse and Neglect State Grants.

EXAMPLES OF FUNDED PROJECTS:

States determine the sub-State services and activities to be funded. These may include funding for shelters for victims of family violence, counseling and self-help services, and projects to address elder abuse.

CRITERIA FOR SELECTING PROPOSALS:

Each State and eligible jurisdiction, including eligible federally-recognized Indian Tribes, will receive its respective share of funds if the application submitted meets the necessary requirements.

93.674 CHAFEE FOSTER CARE INDEPENDENCE PROGRAM

(CFICP)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Sections 471, 472, 474, 475, and 477 of Title IV-E; Public Law 106-169; Foster Care Independence Act of 1999, Title I; Promoting Safe & Stable Families Amendments of 2001, Public Law 107-133.

OBJECTIVES:

To assist States and localities in establishing and carrying out programs designed to assist foster youth likely to remain in foster care until 18 years of age and youth who have left foster care because they attained 18 years of age, have not yet attained 21 years of age, to make the transition from foster care to self-sufficiency.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Grants may be used to assist youth: to make the transition to self-sufficiency; to receive education, training and related services; to prepare for and obtain employment; to prepare for and enter post secondary training and educational institutions; to provide personal and emotional support to youth through mentors and the promotion of interactions with dedicated adults; and to provide financial, housing, counseling, employment, education, other appropriate support and services to current and former foster care recipients up to the age of 21.

Applicant Eligibility:

State governments, including the 50 States, the District of Columbia and Puerto Rico.

Beneficiary Eligibility:

Children and youth "who are likely to remain in foster care" and former foster care recipients up to age 21.

Credentials/Documentation:

Costs will be determined in accordance with 45 CFR Part 92.

Preapplication Coordination:

Applications must include the information and assurances required from the States. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be submitted by a State to the appropriate Regional Administrator for the Administration for Children (ACF).

Award Procedure:

Quarterly awards are made up to the amount of one-fourth of the State's annual allotment.

Deadlines:

June 30, of each year.

Range of Approval/Disapproval Time:

None.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

None.

Formula and Matching Requirements:

Each state is allotted an amount of funds which bears the same ratio as the number of children in foster care in that State bears to the total number of children in foster care in all States in the most recent fiscal year for which such information is available. Data submitted by States into the Adoption and Foster Care Analysis and Reporting System's (AFCARS) national database will be used to calculate State allotments. The Federal government will pay 80 percent of the total amount of funds expended by the States (less any penalties) up to the amount of CFCIP funds allotted to the State. The State must provide matching contributions to cover the additional 20 percent of the costs.

Length and Time Phasing of Assistance:

Grant awards are made quarterly on a fiscal year basis.

Reports:

States are required to submit expenditure reports and annual program reports.

Audits:

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

States are required to maintain supporting documentation of activities and adequate fiscal records.

Account Identification:

75-1545-0-1-609.

Obligations:

(Formula Grants) FY 07 \$139,950,011; FY 08 \$140,000,000; and FY 09 est \$140,000,000.

Range and Average of Financial Assistance:

\$500,000 to \$20,953,350; \$2,691,363.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 52 grants were made in the mandatory grant program. It is estimated that the same number of grants will be awarded in fiscal years 2008 and 2009. The program also supports Training and Technical Assistance through a National Resource Center and supports evaluation efforts of the program in FY 07, FY 08, and FY 09 for approximately \$2.1 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Instruction (PI) ACYF-CB-PI-01-02, dates February 13, 2001.

Regional or Local Office:

Contact Regional Administration for Children and Families. (See Appendix IV of the Catalog for addresses.)

Headquarters Office:

Pamela Johnson Children's Bureau, Administration for Children and Families, 1250 Maryland Avenue, S.W., Washington, DC 20024, 8th Floor. Telephone: (202) 205-8086.

Web Site Address:

<http://www.acf.dhhs.gov/programs/cb>.

RELATED PROGRAMS:

93.550, Transitional Living for Homeless Youth; 93.556, Promoting Safe and Stable Families; 93.645, Child Welfare Services_State Grants; 93.658, Foster Care Title IV-E.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

States meeting requirements for entitlement funds will receive grants.

93.676 UNACCOMPANIED ALIEN CHILDREN PROGRAM

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, Section 462.

OBJECTIVES:

The Unaccompanied Alien Children (UAC) program was transferred to ORR from the Department of Homeland Security (DHS) on March 1, 2003, pursuant

to section 462 of the Homeland Security Act. The program is designed to provide for the care and placement of unaccompanied alien minors who are apprehended in the U.S. by Homeland Security agents, Border patrol officers, or other law enforcement agencies and are taken into care pending resolution of their claims for relief under U.S. immigration law or released to adult family members or responsible adult guardians. Resolution of their claims may result in release, granting of an immigration status (such as special immigrant juvenile or asylum), voluntary departure, or removal.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used only for the purposes set forth in the grant and/or contract. Services may be provided only to unaccompanied alien children who are apprehended by agents of the Bureau of Border Security, Bureau of Citizenship and Immigration Services (BCIS), or other law enforcement agencies.

Applicant Eligibility:

State and local governments, private non-profit organizations, and private for-profit organizations.

Beneficiary Eligibility:

Beneficiaries are unaccompanied alien children who are in Federal custody by reason of their immigration status.

Credentials/Documentation:

Cost principles for private nonprofit organizations will be determined by OMB Circular No. A-122. Cost principles for state and local governments are found under OMB Circular No. A-87. Cost principles governing private for-profit organizations are found under OMB Circular No. A-76.

Preapplication Coordination:

ORR may enter into contracts or grants after full and open competition pursuant to regulations. However, special regulations permit other than full and open competition under any of the following circumstances: only one responsible source and no other supplies or services will satisfy agency requirements, expert services, unusual and compelling urgency, international agreement, national security, public interest, and authorized or required by statute. Any exemption requires documentation by the grants or contracting officer of the justification for any one of the circumstances listed above. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications submitted in response to program announcements under this program will undergo a pre-review to determine if applicant is eligible for funding.

Award Procedure:

Applications found to be eligible for funding will undergo an evaluation by an independent review panel. In the case of emergency, staff will review on the basis of specific evaluation criteria to determine applicant qualification based upon published review criteria, quality of services to be rendered, and the likelihood of the program success.

Deadlines:

The Director may invite applications, if necessary, due to unusual and compelling urgency of unaccompanied alien children apprehended by border patrol officers, BCIS agents or other law enforcement agencies. Deadlines for applications are noted in notices of funds of availability. Generally, the deadline for grant applications is 60 days after posting of the notice of funds availability.

Range of Approval/Disapproval Time:

It is anticipated that the approval/disapproval time will not exceed 30 days in urgent situation, and will not exceed 90 days in normal application situation.

Appeals:

Not applicable.

Renewals:

Contingent upon appropriations, grantee performance, and whether continuation of funding is in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Grant awards are made on an as needed basis, and on an annual budget basis.

Reports:

Monthly progress reports of placements are required. Progress and financial reports are required, usually on a quarterly or semi-annual basis. Final program and financial reports summarizing the activities and accomplishments of the program in relation to the approved goals and objectives are also required.

Audits:

Periodic audits are made as part of the system of financial management and internal control to meet terms and conditions of grants, contracts, and other agreements. "In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133." Nonprofit organizations receiving less than \$25,000 a year in Federal awards are exempt from federal audit

requirements, but records must be available for review by appropriate officials of the Federal grantor agency or sub-granting entity.

Records:

Grant and contract recipients are required to keep all financial, business, and program reports necessary for program review and audit during the project period and for three years after the end of the project period to ensure that funds have been expended in accordance with the regulations, grant terms, and conditions of this program.

Account Identification:

75-1503-0-1-506.

Obligations:

(Grants) FY 07 \$77,231,000; FY 08 \$95,088,000; and FY 09 est \$86,395,000 plus the projected carryover.

Range and Average of Financial Assistance:

In FY 2007, 28 grants were awarded. In FY 2008, it is estimated that 26 grants will be awarded. In FY 2009, it is estimated that 29 grants will be awarded.

PROGRAM ACCOMPLISHMENTS:

Program served 8,212 children in FY 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program announcements can be found at <http://www.acf.hhs.gov/programs/orr>. All inquiries should be directed to the information contact listed below.

Regional or Local Office:

Not applicable.

Headquarters Office:

Maureen Dunn, Director, Division of Unaccompanied Children Services, Office of Refugee Resettlement, Administration for Children and Families, Department of Health and Human Services, 8th Floor, West, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401-5523; (202) 401-1022 fax.

Web Site Address:

<http://www.acf.hhs.gov/programs/orr>

RELATED PROGRAMS:

93.566, Refugee and Entrant Assistance, State Administered Programs; 93.576, Refugee and Entrant Assistance, Discretionary Grants.

EXAMPLES OF FUNDED PROJECTS:

In FY 2007, ORR funded 28 agencies to provide placements in shelter care for unaccompanied alien children who are in Federal custody by reason of their immigration status. Additionally, 4 contracts and 4 interagency agreements were implemented. It is anticipated that, in FY 2007 and FY 2008, continuation of the provision of services will be provided by grantees for the unaccompanied alien children who are in care at any given time. The types of care currently include foster care, shelter care, and medical services.

CRITERIA FOR SELECTING PROPOSALS:

Notice of criteria for program funds can be found at <http://www.acf.hhs.gov/programs/orr>. Please refer to the Contact section for information.

93.760 RURAL PACE (PROGRAM OF ALL-INCLUSIVE CARE FOR THE ELDERLY) PROVIDER GRANT PROGRAM

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 5302 of Public Law 109-171, the Deficit Reduction Act of 2005.

OBJECTIVES:

To provide services in a geographic service area that is, in whole or in part, a rural area that has received a site development grant under this Section.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for carrying out the approved projects in accordance with Section 5302 of the Public Law 109-171. In the Deficit Reduction Act, a rural PACE provider site is defined as a PACE provider that has been approved to provide services in a geographic service area that is, in whole or in part, a rural area that has received a site development grant under this section. Thus, the statute definition of a rural area as defined in section 1886(d)(2)(D) of the Social Security Act states that a rural area would be a county that is not part of a Metropolitan Statistical Area (MSA) (as defined by the Office of Management and Budget) as established for Medicare Inpatient PPS payments.

Applicant Eligibility:

This grant opportunity is open to (1) any PACE provider that demonstrates meeting the required definition as set out in the Social Security Act and; (2) is eligible to serve in a rural area as a rural PACE pilot site as defined in the Social Security Act. To meet the qualification of a PACE provider, an applicant must meet the definitions established in sections 1894(a)(3) or 1934(a)(3) of the Social Security Act. The Act defines a PACE provider as being a public or private, nonprofit entity organized for charitable purposes under 501(c)(3) of the Internal revenue code of 1986; and has entered into a PACE program agreement

with respect to its operation of a PACE program as defined in 1894(a)(2) and 1924(a)(2) of the Social Security Act. In addition to meeting the definitions of a PACE provider, the law also requires that each potential applicant meet the full statutory definition of a rural area.

Beneficiary Eligibility:

The medical, social and long-term care needs are met under this initiative and will benefit the elderly Medicaid beneficiaries who reside in rural areas.

Credentials Documentation:

Each provider organization may only submit one application per county of interest by the due date. All additional applications submitted for this solicitation that are in excess of this requirement or that are received after the submission date will not be reviewed by CMS.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

CMS will publish grant solicitation on grants.gov in early June of each fiscal year. Information about the Rural PACE grant may be accessed at <http://www.cms.hhs.gov/pace>. A complete electronic application package, including all required forms for this grant is available at <http://www.grants.gov>. Standard application forms and related instructions are also available from Nicole Nicholson, Centers for Medicare and Medicaid Services, Office of Operations Management, Acquisition and Grants Group, C2-21-15, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. Telephone: (410) 786-5158 or by E-mail: nicole.nicholson@cms.hhs.gov.

Award Procedure:

None.

Deadlines:

The due date for applications under this grant announcement is tentatively scheduled for June 21 of each fiscal year. Applications will be considered as meeting the deadline if they are received in CMS on or before the due date.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997).

Records:

None.

Account Identification:

75-0516-0-1-551.

Obligations:

FY 07 \$0; FY 08 \$5,000,000; and FY 09 est \$2,500,000.

Range and Average of Financial Assistance:

Floor: \$0; Ceiling \$750,000 for pilot sites and \$500,000 for outlier cost for FY 2006.

PROGRAM ACCOMPLISHMENTS:

The Centers for Medicare and Medicaid Services (CMS) grant program, Programs of All-Inclusive Care for the Elderly (PACE) in rural service areas, was initiated through the Deficit Reduction Act of 2005 (DRA) and follows the New Freedom Initiative to develop and provide additional care options in the community while providing more efficient and coordinated care through Medicare and Medicaid. The new Rural PACE Provider Grant Program will provide funds to support the coordination of comprehensive care for some of the most vulnerable Medicare and Medicaid beneficiaries living in rural areas within thirteen states across the country. Each site received \$500,000 to establish a PACE program in their area. CMS awarded the maximum number of grants authorized by the DRA to expand patient-based care to a greater number of people with Medicare and Medicaid who live in rural areas.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 5302, Public Law 109-171, the Deficit Reduction Act of 2005.

Regional or Local Office:

None.

Headquarters Office:

Nicole Nicholson, Office of Operations Management, Acquisitions and Grants Group, Centers for Medicare and Medicaid Services, 7500 Security Boulevard, Mail Stop C2-21-15, Baltimore, MD 21244-1850. Telephone: (410) 786-5158.

Web Site Address:

<http://www.cms.hhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.767 STATE CHILDREN'S INSURANCE PROGRAM

(CHIP; SCHIP)

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Balanced Budget Act of 1997, Title XXI, Subtitle J, Section 4901, Public Law 105-33; Public Law 105-100 and Medicare, Medicaid and SCHIP Balanced Budget Refinement Act of 1999 Public Law 106-113, Section 702; Medicare, Medicaid, and SCHIP Benefits Improvement Act of 2000, Title VIII, Section 801, 802, and 803, Public Law 106-554, Public Law 108-74, Public Law 108-127, Public Law 109-171 and Public Law 109-482 and Public Law 110-28.

OBJECTIVES:

To provide funds to States to enable them to initiate and expand child health assistance to uninsured, low-income children. Assistance should be provided primarily by two methods: (1) obtain health insurance coverage that meets the requirements in Section 2103 relating to the amount, duration, and scope of benefits; or (2) expand eligibility for children under the State's Medicaid program.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

No State is eligible for payments for child health assistance for coverage provided prior to October 1, 1997. Standards used to determine eligibility may include those related to geographic areas to be served by the plan. Age, income and resources, residency, disability status (as long as the standard does not restrict eligibility), access to or coverage under other health coverage, and duration of eligibility are factors. Standards may not discriminate on the basis of diagnosis. Eligibility standards must not cover higher-income children without covering lower-income children, and must not deny eligibility based on a child having a preexisting medical condition. The State must ensure that only targeted low-income children are furnished child health assistance under the plan. Children found through screening to be eligible for Medicaid are to be enrolled in Medicaid. The insurance provided under the State plan does not substitute for coverage under group health plans. Coordination with other public and private programs providing creditable coverage for low-income children should occur. Child Health Assistance (other than Medicaid), must consist of any of the following: Benchmark coverage; benchmark equivalent coverage (which can be FEHBP-equivalent coverage); State employee coverage or coverage offered through the HMO with the largest insured commercial non-Medicaid enrollment in the State; existing comprehensive State-based coverage; or Secretary-approved coverage. A State child health plan must include a description of the amount (if any) of cost-sharing and must be in accordance with a public schedule. Cost-sharing may be varied in a way that does not favor higher-income children over lower-income children. No cost-sharing is permitted for well-baby and well-child care, including age-appropriate immunizations. Cost-sharing for children at 150 percent of poverty must be consistent with Medicaid: Cost-sharing for children at 150 percent of poverty and above must be based on an income-related sliding scale. The aggregate for all children in a family cannot exceed 5 percent of the family's income. The State child health plan may not impose pre-existing condition exclusions for covered benefits. States that provide for benefits through a group health plan or group health insurance coverage may permit pre-existing condition exclusions as allowed under the applicable Section of the Employee Retirement Income Security Act (ERISA) and the Health Insurance Portability and Accountability Act (HIPAA). Funds provided to a State under this Title may only be used to carry out the purposes of this Title. Health insurance coverage provided may include coverage of abortion only if necessary to save the life of the mother or if the pregnancy is the result of an act of rape or incest. States may spend up to 10 percent of their total SCHIP expenditures (Federal and State) on non-benefit activities, including: outreach conducted to identify and enroll eligible children in SCHIP; administration costs; health services initiatives; and other child health assistance. These expenditures are matched at the enhanced SCHIP matching rate and counted against both the 10 percent limit and the allotment. Monetary amounts provided by the Federal government or services assisted or subsidized to any significant extent by the Federal government, may not be included in determining the amount of nonfederal contributions required for State matching purposes.

Applicant Eligibility:

All States and Territories may apply.

Beneficiary Eligibility:

Targeted low-income children will benefit. These children are defined (for the purposes of Title XXI) as children who have been determined eligible by the State for child health assistance under their State plan; are low-income children; or are children whose family income exceeds the Medicaid applicable income level but does not exceed 50 percentage points above the Medicaid applicable

income level; and are not found to be eligible for medical assistance under Title XIX or covered under a group health plan or under health insurance coverage. This term does not include a child that is a member of a family that is eligible for health benefits coverage under a State health benefits plan on the basis of a family member's employment with a public agency in the State.

Credentials/Documentation:

States and Territories must submit and have approved by the Secretary of DHHS, a State Child Health Plan. Individuals must meet State requirements.

Preapplication Coordination:

States are encouraged to work with DHHS in the development of their Title XXI plans. Central and regional office staff from the Centers for Medicare and Medicaid Services (CMS) and the Health Resources Services Administration (HRSA), as well as other DHHS components are available to furnish guidance and technical assistance to a State in preparing their plans. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Title XXI plans and amendments are submitted by the State Governor, or designee, to the CMS Center for Medicaid and State Operations; Families and Children Health Program Group (CMO/FCHPG). The Title XXI plan should be a stand alone document that fully addresses each relevant Section of the statutory requirements.

Award Procedure:

The CMS Administrator exercises delegated authority to approve Title XXI plans and amendments. Letters of approval will be signed by the CMS Administrator.

Deadlines:

Under the Law, a State must have an approved State Plan for a fiscal year in order to receive an allotment that year.

Range of Approval/Disapproval Time:

Section 2106 of the Law, specifies that a State plan is considered approved unless the Secretary notifies the State in writing, within 90 days after receipt of the plan, that the plan is disapproved (and the reasons for disapproval) or that specific additional information is needed. Informal clarification and discussion between the State and the DHHS review team is permitted and encouraged during the review period. This does not stop the "90-day clock." The 90-day review period may be stopped by formal written requests for additional information and clarification. The 90-day review period may be stopped as many times as necessary to obtain completed information necessary to disapprove or approve the plan. The 90-day period will resume when the finalized additional information is received by CMS.

Appeals:

If a State wishes to appeal a disapproval, it may petition for a reconsideration of this decision within 60 days after the date of receipt of the disapproval letter, by submitting a written request for reconsideration to the project officer and the regional office. States also have the option to submit a new application following the disapproval, starting a new 90-day review clock.

Renewals:

An approved State child health plan shall continue in effect unless the State amends that plan or the Secretary finds substantial noncompliance of the plan in accordance with the requirements of Title XXI.

Formula and Matching Requirements:

Section 2105(b), Title XXI, provides for an "enhanced Federal Matching Assistance Percentage (FMAP)" for child health assistance provided under Title XXI that is equal to the current FMAP for the fiscal year in the Medicaid Title XIX program, increased by 30 percent of the difference between 100 and the current FMAP for that fiscal year. The enhanced FMAP may not exceed 85 percent. The formula for determining the final allotment includes: determining the number of States with approved State Plans as of the end of the fiscal year. In order for a State to receive a final allotment for a fiscal year, CMS must approve the SCHIP State Plan for that State by the end of the fiscal year. Only States with approved State Plans by the end of the fiscal year will be included in the final allotment calculation. States' final allotments will be determined in accordance with the statutory formula that is based on two factors: (1) Number of children (those potentially eligible for SCHIP), and (2) the State cost factor. These factors will be multiplied to yield a final allotment product for each State. Once the final allotment product has been determined for all the States with approved SCHIP plans, the products for each State will be added to determine a national total. Each State's product will be divided by this national total to determine a State specific percentage of the national total available amount for allotment that each State would be eligible to receive. The State specific percentage is then multiplied by the national total amount available for allotment, resulting in the final allotment for each State.

Length and Time Phasing of Assistance:

Enrolled children receive medical services as necessary. Federal funds are obligated to the States by issuing Title XXI grant awards. To ensure that all of the appropriated funds are available to States, CMS will issue grant awards to all States with Title XXI State plans approved by the end of the fiscal year equaling the national amount available for allotment to the 50 States, the District of Columbia, and the Commonwealths and Territories for that fiscal year. Grant awards must be issued by the time the CMS/HHS accounting system closes with

respect to that fiscal year.

Reports:

Section 2108 of the Law specifies that States must develop annual reports assessing the operation of their State Plan for each fiscal year, including the progress made in reducing the number of uncovered low-income children and report to the Secretary by January 1, of the following year the results of the assessment. By March 31, 2000, each State with a child health plan must submit to the Secretary an evaluation that includes an assessment of the effectiveness of the State Plan in increasing the number of children with creditable health coverage, in increasing the availability of affordable quality individual and family health insurance for children, and in coordinating recommendations for improving the program under this Title. By December 31, 2001, the Secretary must submit to Congress and make available to the public, a report based on the evaluations submitted by the States recommendations and conclusions.

Audits:

A State child health plan under Title XXI must include an assurance that the State will afford the Secretary access to any records or information relating to the plan for the purposes of review or audit.

Records:

A State child health plan must include an assurance that the State will collect the data, maintain the records, and furnish the report to the Secretary at the times and in standardized format (as the Secretary requires), in order to enable the Secretary to monitor State program administration and compliance and to evaluate and compare the effectiveness of State Plans under this Title.

Account Identification:

75-0515-0-1-551.

Obligations:

(Grants) FY 07 \$5,940,730,000; FY 08 est \$6,640,000,000; and FY 09 est \$5,315,000,000.

Range and Average of Financial Assistance:

The range is from: \$5,753,333 (\$577,500 for the smallest territory) to \$790,789,213 for the States. In the third year following the State allotment fiscal year, under a "redistribution process" CMS will determine the amounts of the unused fiscal year allotments from States that have not expended all of their allotment for that fiscal year and will redistribute the amounts to States that have fully expended the amount of their allotments for that fiscal year.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations and guidance issued related to the Children's Health Insurance Program may be accessed through the World Wide Web at: www.cms.hhs.gov/schip.

Regional or Local Office:

Contact the Regional Administrator, Centers for Medicare and Medicaid Services. (See Appendix IV of the Catalog for addresses and telephone numbers).

Headquarters Office:

Center for Medicaid and State Operations, Centers for Medicare and Medicaid Services, 7500 Security Boulevard, Baltimore, MD 21244; Telephone: (410) 786-3870.

Web Site Address:

<http://www.cms.hhs.gov>.

RELATED PROGRAMS:

93.778, Medical Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.768 MEDICAID INFRASTRUCTURE GRANTS TO SUPPORT THE COMPETITIVE EMPLOYMENT OF PEOPLE WITH DISABILITIES

(Ticket To Work Infrastructure Grants)

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Ticket to Work and Work Incentives Improvement Act of 1999, Section 203, Public Law 106-170.

OBJECTIVES:

To support State efforts to enhance employment options for people with disabilities by building Medicaid infrastructure. Funding may be used to develop a Medicaid buy-in, increase availability of Personal Assistance Services, or for State-to-State technical assistance. In addition, States may use funding to develop a comprehensive approach to bridge Medicaid services with other key supports and programs used by employed people with disabilities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

While the proposals submitted by the States may vary, States participating in this grant program will use the funds to remove the barriers to employment of persons with disabilities, by creating health systems change through the Medicaid program. The development or enhancement of certain core Medicaid components in each State would enable people with disabilities not only to work, but to sustain adequate health coverage if they find they need to relocate to another State for employment purposes. An adequate personal assistance services benefit and a Medicaid buy-in for employed people with disabilities are significant components of the Ticket to Work and Work Incentives Improvement Act. The infrastructure grants program provides money to the States to develop these core elements. In addition, States may use funding to develop a comprehensive approach to bridge Medicaid services with other key supports and programs used by employed people with disabilities. Funds may not be used for the direct provision of services to people with disabilities except on a one-time, last resort, emergency basis for the purpose of sustaining the individual's competitive employment.

Applicant Eligibility:

Either of the following may apply: (a) the single state medicaid agency; or (b) any other agency or instrumentality of a state(as determined under State law) in partnership, agreement and active participation with the single state medicaid agency, the State Legislature, or the office of the Governor.

Beneficiary Eligibility:

There are two groups of potentially eligible beneficiaries, as defined by the Ticket to Work and Work Incentives Improvement Act of 1999. The first group consists of persons with disabilities between the ages of 16 and 65 years old, employed, and who meet income, asset, and resource standards established by the State. The second group of potential beneficiaries consists of persons between the ages of 16 and 65 years old, employed, and who cease to be eligible for Medical Assistance because of medical improvements determined at the time of a regularly scheduled disability review, but who also continue to have a severe medically determinable impairment.

Credentials/Documentation:

Federal funds must go to a designated State Medicaid Agency or its partner agencies or instrumentality's. Individuals must meet State requirements. Administrative cost will be determined in accordance with OMB Circular No. A-87, "Cost Principles for State and Local Governments."

Preapplication Coordination:

No preapplications are required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application form SF-424 and related forms, as furnished by CMS, must be used for this program. Application forms are submitted to the Acquisition and Grants Group, CMS, C2-21-15 Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. This program is subject to the provisions of OMB Circular No. A-102.

Award Procedure:

Official notice of approved applications is made through the issuance of a grant award.

Deadlines:

Established when program announcements are published in the Federal Register or transmitted to States.

Range of Approval/Disapproval Time:

The range is from 100 to 150 days.

Appeals:

There are no formal appeals procedures. If an application is disapproved, the reasons for disapproval will be fully stated.

Renewals:

Extensions and continuations of projects are available if formally applied for and approved. If a grant application is recommended for approval for 2 or more years, the awardee must annually submit a formal request for continuation accompanied by a progress report that will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

No State or local matching funds are required for the infrastructure grants.

Length and Time Phasing of Assistance:

CMS announced the first round of infrastructure grant awards in October 2000. This grant program is authorized for 11 years beginning in fiscal year 2001.

Reports:

Progress and expenditure reports are required on all projects.

Audits:

All fiscal transactions identifiable to Federal financial assistance are subject to audit by the DHHS audit agency.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0516-0-1-551.

Obligations:

(Grants) FY 07 \$37,074,935; FY 08 \$40,200,000; and FY 09 est \$45,500,000.

Range and Average of Financial Assistance:

\$500,000 to \$2,750,000; \$500,000.

PROGRAM ACCOMPLISHMENTS:

Through FY 2007, a total of 50 entities (49 States and the District of Columbia) have been approved for funding from the Infrastructure Grant Program section 203 since its inception. There are 33 States with Medicaid buy-ins and one additional State has a plan amendment under review. As of September 30, 2006, there were just over 78,402 workers receiving Medicaid benefits under the buy-in options. A total of 26 States and the District of Columbia have applied for and will receive continuation grant awards in FY 2007. Nine States received new competitive grant awards in FY 2006. In addition, five States, South Carolina, Missouri, Iowa, Indiana and Arkansas will continue to carry out employment goals for the working disabled population by spending previous grant awards in FY 2007 through a no-cost extension of funding. Of the \$42.8 million (FY 2007) that has been appropriated for the upcoming grant year, \$34.7 million was granted to the States. The reason for the large discrepancy in the FY 2007 appropriation, and the funding amount is that States are enrolling fewer participants in Medicaid buy-in programs than Congress originally anticipated. Higher levels of funding are legislatively related to the yearly amount of Medicaid buy-in service costs expended by a State.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) and application kits may be obtained from the Office of Acquisition and Grants Group, Centers for Medicare and Medicaid Services, Room C2-21-15, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. Grant applications forms are also available on the CMS website at <http://www.cms.hhs.gov/twiiia/424forms.pdf>.

Regional or Local Office:

Contact the appropriate CMS Regional Administrator. (See Appendix IV for Regional Offices.)

Headquarters Office:

Dennis Smith, Director, Center for Medicaid and State Operations, Centers for Medicare and Medicaid Services, Department of Health and Human Services, Room C5-21-17, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. Telephone: (410) 786-3870.

Web Site Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

93.778, Medical Assistance Program; 93.769, Demonstration to Maintain Independence and Employment.

EXAMPLES OF FUNDED PROJECTS:

For a description of State specific projects funded under this grant program. Please see our website at www.cms.gov/twiiia/inf_dmap.asp.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include: understanding the barriers that impede competitive employment of people with disabilities; the extent to which the proposed infrastructure development will offer enduring and significant improvement in the ability of the system to provide adequate health coverage for people with disabilities and are competitively employed; providing needed personal assistance and other supports, and/or removing other significant employment barriers; the appropriateness of the methods, work plan, budget, and timetable; the qualifications of key staff; and the State's plan for using its grant experiences to identify different or better ways to improve its buy-in or Medicaid services that support the competitive employment efforts of people with disabilities.

93.769 DEMONSTRATION TO MAINTAIN INDEPENDENCE AND EMPLOYMENT

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Ticket to Work and Work Incentives Improvement Act of 1999; Public Law 106-170.

OBJECTIVES:

To prevent the loss of employment and reliance on cash assistance by individuals at risk for disability. To provide benefits equivalent to those provided by Medicaid, to the categorically needy and to workers who have physical or mental impairments that, without medical assistance, will result in a disability.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

These demonstration grants will enable States to assist working individuals by providing the necessary benefits and services required for people to manage the progression of their conditions and remain employed. Federal funds paid to the States under this demonstration must be used to supplement, but not supplant, State funds expended for workers with potentially severe disabilities at the time the demonstration project is approved.

Applicant Eligibility:

All States are eligible to apply.

Beneficiary Eligibility:

Eligible beneficiaries are workers with potentially severe disabilities who are: (a) At least 16 but less than 65 years of age, (b) have specific physical or mental impairments identified by the State that are reasonably expected to lead to blindness or disability (as defined under Section 1614(a) of the Social Security Act, and (c) are employed.

Credentials/Documentation:

Federal funds must go to a designated State Medicaid Agency or its partner agencies. Individuals must meet State requirements. Administrative costs will be determined in Accordance with OMB Circular No. A-87, "Cost Principles for State and Local Government."

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. Demonstration proposals must be submitted by the Single State Medicaid Agency and funds will be distributed only to the Single State Medicaid Agency. States are limited to one overall demonstration proposal.

Application Procedure:

The standard application form SF-424 and related forms, as furnished by CMS, must be used for this program. Application forms are submitted to the Acquisition and Grants Group, CMS, C2-21-15 Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. This program is subject to the provisions of OMB Circular No. A-102.

Award Procedure:

Official notice of approved applications is made through issuance of a Grant Award.

Deadlines:

Established when program announcements are published in the Federal Register or transmitted to States.

Range of Approval/Disapproval Time:

From 100 to 150 days.

Appeals:

There are formal appeals procedures. If an application is disapproved, the reasons for disapproval will be fully stated.

Renewals:

Extensions and continuations of projects are available if formally applied for and approved. If a grant application is recommended for approval for 2 or more years, the awardee must annually submit a formal request for continuation accompanied by a progress report that will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

Funding will be distributed to the demonstration States as quarterly payments equal to the Federal medical assistance percentage of the expenditures of the States on medical assistance provided to workers with potentially severe disabilities at the normal service match rate. Administrative expenses will be paid with 100 percent demonstration funding.

Length and Time Phasing of Assistance:

While there are no restrictions precluding States from proposing demonstrations that operate for less than the total length of the demonstration, scoring preference will be given to States that propose to participate for the duration of the demonstration. Funding for the demonstration is available from fiscal year 2001 through fiscal year 2006. If any Federal funding remains available after 2006, payments may be made to the States until funding is depleted or through fiscal year 2009.

Reports:

Reports of progress and expenditures are required on all projects.

Audits:

All fiscal transactions identifiable to Federal financial assistance are subject to audit by DHHS audit agency.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0516-0-1-551.

Obligations:

(Grants) FY 07 \$36,079,635; FY 08 \$2,000,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

\$284,253 to \$3,980,308; \$2,132,280.

PROGRAM ACCOMPLISHMENTS:

Under the section 204 grant program, seven States (Rhode Island, Texas, Mississippi, Louisiana, Kansas, Hawaii and Minnesota) and the District of Columbia have been awarded Demonstration to Maintain Independence and Employment grant funding since the program's inception. States implementing demonstration grant programs will provide Medicaid-equivalent services to targeted populations of working individuals with disabilities. The demonstration projects will be used to evaluate the impact of providing Medicaid benefits to a working person with a potentially severe disability. The State demonstration projects cover individuals with all types of disabilities including HIV/AIDS, and

various mental illnesses. In FY 2006, a total of almost \$73 million was obligated for the section 204 program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) application kits may be obtained from the Office of Acquisition and Grants Group, Centers for Medicare and Medicaid Services, Room C2-21-15, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. Grant application forms are also available on the CMS website at <http://www.cms.hhs.gov/twiiia/424forms.pdf>.

Regional or Local Office:

Contact the appropriate CMS Regional Administrator. (See Appendix IV for Regional Offices).

Headquarters Office:

Dennis Smith, Director, Center for Medicaid and State Operations, Centers for Medicare and Medicaid Services, Department of Health and Human Services, Room C5-21-17, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. Telephone: (410) 786-3870. FTS is not available.

Web Site Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

93.778, Medical Assistance Program; 93.779, Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations; 93.768, Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities.

EXAMPLES OF FUNDED PROJECTS:

Projects approved so far will cover the HIV/AIDS, multiple sclerosis, and bi Polar/schizophrenia populations. People enrolled in these demonstration programs will receive Medicaid equivalent services and will participate in an evaluation to determine if the provision of medical services delay the onset of disability and extends the duration for which a person can work.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include: the applicant's proposed strategy to achieve each of the outcomes identified by the applicant, including capability to identify a study population, conduct outreach and enroll individuals; whether the particular Medicaid equivalent benefits and services will be advantageous if provided to demonstration participants; the methodology for evaluation of its demonstration project that adequately measures its effectiveness; the adequacy of measures of the demonstration outcomes; and compliance with all reporting requirements.

93.770 MEDICARE-PRESCRIPTION DRUG COVERAGE

(Medicare Part D)

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Authorized under the Medicare Prescription Drug, Improvement and Modernization Act of 2003, Public Law 108-173, as Section 1860D of the Social Security Act.

OBJECTIVES:

To provide prescription drugs to Medicare beneficiaries through their voluntary participation in prescription drug plans, with an additional subsidy provided to lower-income beneficiaries.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Payments will be made to participating prescription drug plans.

Applicant Eligibility:

A non-governmental entity organized and licensed under State law as a risk-bearing entity eligible to offer health insurance in each State in which it is to offer a plan, meeting the requirements in 42 CFR 423.504 and 42 CFR 423.505.

Beneficiary Eligibility:

Individuals who are entitled to Medicare benefits under Part A or enrolled in Part B, who reside in the plan's service area, and who are not enrolled in a Medicare Advantage plan, other than a Medicare savings account plan or private fee-for-service plan that does not provide qualified prescription drug coverage.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Potential sponsors apply to CMS to become an approved prescription drug plan. Generally, individuals enroll directly with the prescription drug program sponsor. The sponsor forwards the enrollment and eligibility information to CMS, which verifies eligibility for the drug benefit. Some individuals who are entitled to both Medicare and Medicaid have been enrolled automatically. Low income beneficiaries may complete a subsidy application at any Social Security office or through their State Medicaid office.

Award Procedure:

Payment will be made by CMS to the prescription drug plan sponsors.

Deadlines:

Beneficiaries must enroll according to the enrollment periods specified in 42 CFR 423.38. Plans must submit bids by the first Monday in June of the year preceding the calendar year they wish to participate.

Range of Approval/Disapproval Time:

Up to six months for plan sponsors.

Appeals:

Sponsors whose applications to become a prescription drug plan sponsor are rejected have the right to a reconsideration and appeal process. Beneficiaries have the right to a reconsideration and appeal process for adverse coverage determinations.

Renewals:

Contracts with sponsors may be renewed annually. Beneficiaries may enroll and disenroll from plans according to the timeframes established in 42 CFR 423.30-423.46.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Indefinite.

Reports:

Plans must provide periodic reports to CMS, enrollees and the general public on cost; utilization; availability, accessibility and acceptability of services; fiscal soundness and other information required by CMS. Plans must provide an annual report of business transactions and combined financial statements. Also, reports as required under the Employee Retirement Income Security Act of 1974.

Audits:

Periodic audits of plans by HHS, the Comptroller General or their designees. Periodic audits by CMS and the HHS Office of Inspector General (OIG) of plan cost reporting. Audit by CMS of financial records of at least one-third of participating plans every year.

Records:

None.

Account Identification:

75-8308-0-7-571.

Obligations:

(Benefit Outlays) FY 07 \$49,103,284,000; FY 08 est \$45,087,000,000; and FY 09 est \$54,815,000,000.

Range and Average of Financial Assistance:

Determined by plan offerings, number of enrollees and utilization.

PROGRAM ACCOMPLISHMENTS:

As of January 30, 2007, approximately 39 million enrollees had comprehensive drug coverage.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program were authorized under Section 1860D of the Social Security Act, as enacted under Public Law 108-173, and were published on January 21, 2005.

Regional or Local Office:

None.

Headquarters Office:

Program Contacts: Eligibility and enrollment: Lynn Orlosky, Centers for Medicare and Medicaid Services, Department of Health and Human Services, 7500 Security Boulevard, Baltimore, MD 21244-1850. Telephone: (410) 786-9064. Benefits and beneficiary protections: Vanessa Duran, Centers for Medicare and Medicaid Services, Department of Health and Human Services, 7500 Security Boulevard, Baltimore, MD 21244-1850. Telephone: (214) 767-6435. Plan bidding process: Mark Newsom, Centers for Medicare and Medicaid Services, Department of Health and Human Services, 7500 Security Boulevard, Baltimore, MD 21244-1850. Telephone: (410) 786-3198.

Web Site Address:

<http://www.cms.hhs.gov>.

RELATED PROGRAMS:

93.773, Medicare_Hospital Insurance; 93.774, Medicare_Supplementary Medical Insurance; 93.778, Medical Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.773 MEDICARE-HOSPITAL INSURANCE

(Medicare Part A)

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act Amendments of 1965, Title XVIII, Part A, Public Law 89-97, as amended, Public Laws 90-248, 92-603, 93-233, 94-182, 94-437 and 95-292, 42 U.S.C. 1395 et seq.; Social Security Disability Amendments of 1980, Public Law 96-265; Public Law 97-248; Section 1, Public Law 98-21; Subtitle

A, Public Law 98-369; Public Law 98-460, 99-177, 99-272, 99-509, and 100-203, 42 U.S.C. 1305 Note; Medicare Catastrophic Coverage Repeal Act of 1988, Title I, Subtitles A and B, and Title IV, Subtitle B and C, Public Law 100-360; Catastrophic Coverage Repeal Act of 1989, Public Law 101-234; Omnibus Budget Reconciliation Act of 1989, Title VI, Subtitle A, Public Law 101-239; Omnibus Budget Reconciliation Act of 1990, Public Law 101-508; Omnibus Budget Reconciliation Act of 1993, Public Law 103-66; Social Security Act Amendments of 1994, Public Law 103-432; Contract with America Advancement Act of 1996, Public Law 104-121; Health Insurance Portability and Accountability Act of 1996, Public Law 104-191; Balanced Budget Act of 1997, Public Law 105-33; Balanced Budget and Refinement Act of 1999, Public Law 106-113; Medicare, Medicaid, and SCHIP Benefits Improvement and Protection Act of 2000, Public Law 106-554; Medicare Prescription Drug, Improvement and Modernization Act of 2003, Public Law 108-173. Deficit Reduction Act of 2005, Public Law 109-171; Tax Relief and Health Care Act of 2006, Public Law 109-432.

OBJECTIVES:

To provide hospital insurance protection for covered services to persons age 65 or above, to certain disabled persons and to individuals with chronic renal disease.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Hospital insurance benefits are paid to participating and emergency hospitals, skilled nursing facilities, home health agencies, and hospice agencies to cover the prospective payment amount or reasonable cost of medically necessary services furnished to individuals entitled under this program.

Applicant Eligibility:

Persons age 65 or over and certain disabled persons are eligible for hospital insurance protection. Nearly everyone who reached 65 before 1968 is eligible for hospital insurance, including people not eligible for cash Social Security benefits. A person reaching age 65 in 1968 or after, who is not eligible for cash benefits, needs some work credit to qualify for hospital insurance benefits. The amount of work credit needed depends on age. Hospital insurance (Medicare Part A) is also available to persons, age 65 or over, not otherwise eligible through payment of a monthly premium which is currently \$393. A reduced Part A premium of \$216 per month in 2006 is available to persons with 30 or more quarters paid into the social security system, as well as to their spouse, surviving spouse or divorced spouse. The reduction in Part A premium payments would also apply to the surviving spouse, or divorced spouse of an individual who had at least 30 quarters of coverage under the social security system. Federal employees began contributing toward Medicare hospital insurance coverage beginning January 1983. Employees who worked prior to 1983 and who were employed during January 1983 can receive credit toward establishing Medicare eligibility, if necessary, for prior non-contributory quarters of Federal employment. State and local government employees not already in Social Security-covered positions and hired on or after April 1, 1986 also contribute toward Medicare hospital insurance coverage. Although States may request agreements to cover individuals employed prior to April 1, 1986, no credit is given toward establishing Medicare entitlement for prior employment. Persons under age 65, who have been entitled for at least 24 months to Social Security disability benefits, or for 29 consecutive months to railroad retirement benefits based on disability, are eligible for hospital insurance benefits.

Beneficiary Eligibility:

Persons age 65 or over and qualified disabled persons.

Credentials/Documentation:

Proof of age or disability. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Telephone or visit the local Social Security Office. Individuals entitled to Social Security or railroad retirement are enrolled without application. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

The individual will be notified by mail of enrollment, whether automatic or applied for.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

Telephone or visit the local Social Security Office or Medicare payment organization responsible for the initial determination. The appeal process ranges from reviews of the initial determinations to formal hearings and, in cases meeting certain criteria, reviews by Federal Courts.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

20-8005-0-7-571.

Obligations:

(Benefit Outlays) FY 07 \$204,535,620,000; FY 08 est \$226,122,000,000; and FY 09 est \$242,234,000,000.

Range and Average of Financial Assistance:

Benefits may be paid based on the prospective payment amount or the reasonable costs of covered inpatient hospital services and based on the reasonable costs of covered post-hospital extended care services which are incurred during a benefit period. For benefit periods beginning in calendar year 2008, the beneficiary is responsible for a \$1,024 inpatient hospital deductible, a \$256 per day coinsurance amount for 61 through 90 days of inpatient hospital care, a \$512 per day coinsurance amount for inpatient hospital care during the 60 lifetime reserve days, and a \$128 per day coinsurance amount for days 21 through 100 of care in a skilled nursing facility. Home health services are paid in full.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, 42,684,000 persons were protected. In fiscal year 2007, we estimate that the number of persons protected will be 43,356,000. In fiscal year 2008, the number of persons protected is estimated to be 44,190,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 405 and 422; Title 42, Parts 400, 401, 405, 406, 409, 412, 417, and 418. " Medicare and You," SSA-79-10050, and other publications are available from any Social Security Office without charge.

Regional or Local Office:

Consult Appendix IV of the Catalog for a listing of Regional Offices.

Headquarters Office:

Center for Beneficiary Choices, Centers for Medicare and Medicaid Services, Room C5-19-16, 7500 Security Boulevard, Baltimore, MD 21244. Telephone: (410) 786-3418. 1-800-MEDICARE(1-800-633-4227).

Web Site Address:

<http://www.medicare.gov> or <http://www.cms.hhs.gov>.

RELATED PROGRAMS:

57.001, Social Insurance for Railroad Workers; 93.246, Health Centers Grants for Migrant and Seasonal Farmworkers; 93.774, Medicare_Supplementary Medical Insurance; 96.001, Social Security_Disability Insurance; 93.770 Medicare_Prescription Drug Coverage.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.774 MEDICARE-SUPPLEMENTARY MEDICAL INSURANCE

(Medicare Part B)

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act Amendments of 1965, Title XVIII, Part B, Public Law 89-97, as amended; Public Laws 90-248, 92-603, 93-233, 94-182, 95-210 and 95-292, 42 U.S.C. 1395 et seq.; Social Security Disability Amendments of 1980, Public Laws 96-265 and 97-248; Section I, Public Law 98-21; Subtitle A, Public Law 98-369, as amended; Public Laws 98-460, 99-272, 99-509, and 100-203, 42 U.S.C. 1305 Note; Medicare Catastrophic Coverage Repeal Act of 1988, Title I, Subtitle B, Title II, Subtitles A and B, Title IV, Subtitle B and C, Public Law 100- 360; Medicare Catastrophic Coverage Repeal Act of 1989, Title II, Public Law 101-234; Omnibus Budget Reconciliation Act of 1989, Public Law 101- 239; Omnibus Budget Reconciliation Act of 1990, Public Law 101-508; Omnibus Budget Reconciliation Act of 1993, Public Law 103-66; Social Security Act Amendments of 1994, Public Law 103-432; Health Insurance Portability and Accountability Act of 1996, Public Law 104-191; Contract with America Advancement Act of 1996, Public Law 104-121; Balanced Budget Act of 1997, Public Law 105-33; Balanced Budget Refinement Act of 1999, Public Law 106-113; Medicare, Medicaid, and SCHIP Benefits Improvement and Protection Act of 2000, Public Law 106-554; Medicare Prescription Drug, Improvement, and Modernization Act of 2003, Public Law 108-173; Deficit Reduction Act of 2005, Public Law 109-171; Tax Relief and Health Care Act of 2006, Public Law 109-432.

OBJECTIVES:

To provide medical insurance protection for covered services to persons age 65 or over, to certain disabled persons and to individuals with end-stage renal disease. Enrollment in Part B is voluntary.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Managed care benefits are paid on the basis of Medicare capitation rates. Fee-for-service benefits are paid on the basis of fee schedules or other approved amounts for services furnished by physicians and other suppliers of medical services to aged or disabled enrollees. Benefits are paid on the basis of prospective payment systems for covered services furnished by participating providers such as hospitals, skilled nursing facilities and home health agencies.

Applicant Eligibility:

All persons who are eligible for premium-free hospital insurance benefits (see 93.773), and persons age 65 and older who reside in the United States and are either citizens or aliens lawfully admitted for permanent residence who have resided in the United States continuously during the five years immediately preceding the month in which the application for enrollment is filed, may voluntarily enroll for Part B supplementary medical insurance (SMI). The beneficiary pays a monthly premium and an annual deductible. Beginning in calendar year 2008, the Part B premiums have been set based upon beneficiary income. The calendar year 2008 premiums range from \$96.40 to \$238.40 per month. The annual deductible is \$135.00. Some States and other third parties may pay the SMI PART B premium on behalf of qualifying individuals.

Beneficiary Eligibility:

Persons age 65 and over, and persons under age 65 who qualify for hospital insurance benefits.

Credentials/Documentation:

Proof of age, disability or lawful admission status. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Telephone or visit the local Social Security Office. Most persons entitled to hospital insurance and already receiving benefits from Social Security or the Railroad Retirement Board are enrolled automatically for supplementary medical insurance. Since the program is voluntary, you may decline coverage. Persons not entitled to hospital insurance must file an application. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

After review of the application is completed, the applicant will be notified by mail.

Deadlines:

Certain individuals may enroll during a special enrollment period (SEP) if they are covered under a group health plan (GHP) when first eligible to get Medicare: (1) individuals age 65 or older who are covered under a GHP based on their own or a spouse's current employment; and (2) disabled individuals under age 65 who are covered under a GHP based on their own or any family member's current employment. If the coverage of disabled individuals under age 65 was not through a large group health plan (LGHP), that is, a plan that covers employees of at least one employer that normally employs at least 100 employees, no family member other than a spouse qualifies for a special enrollment period. An SEP enrollment may occur during any month the individual is covered under the GHP based on current employment or, during the eight month period that begins the first month after employment or GHP coverage ends, whichever occurs first. Months of coverage under the GHP based on current employment are excluded from the calculation of the premium surcharge. Individuals may also enroll during the General Enrollment Period (FGEP) that takes place January through March of each year. SMI Part B coverage begins July 1 of the year individuals enroll. There is a 10 percent premium surcharge for each full 12-month period a person could have been enrolled but was not in SMI Part B.

Range of Approval/Disapproval Time:

None.

Appeals:

Telephone or visit the local Social Security Office or the Medicare payment organization responsible for the initial determination. The appeal process ranges from reviews, of the initial determinations to formal hearings and, in cases meeting certain criteria, reviews by Federal courts.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

20-8004-0-7-571.

Obligations:

(Benefit Outlays) FY 07 \$180,941,362,000; FY 08 est \$187,935,000,000; and FY 09 est \$194,351,000,000.

Range and Average of Financial Assistance:

Generally, with exceptions for certain services, the beneficiary is responsible for meeting the annual \$135 deductible before benefits may begin. Thereafter, Medicare pays a percent of the approved amount for the covered service. For many services, this percentage is 80 percent. For other services, the percentage that Medicare pays will vary from 100 percent to 50 percent depending upon the category of service.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 40,764,000 persons were enrolled for supplementary medical insurance. In fiscal year 2008, the number of enrollees is estimated to be 41,225,000. In fiscal year 2009, the number of enrollees is estimated to be 41,993,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 405, and 422; Title 42, Parts 401, 405, 407, 408, 410, 413, 416, and 417. "Your Medicare Handbook," and other publications are available from any Social Security Office without charge.

Regional or Local Office:

Consult Appendix IV of the Catalog for listing of Regional Offices.

Headquarters Office:

Center for Beneficiary Choices, Centers for Medicare and Medicaid Services, Room C5-19-16, 7500 Security Boulevard, Baltimore, MD 21244. Telephone: (410) 786-3418.

Web Site Address:

<http://www.cms.hhs.gov>

RELATED PROGRAMS:

57.001, Social Insurance for Railroad Workers; 64.012, Veterans Prescription Service; 64.013, Veterans Prosthetic Appliances; 93.246, Health Centers Grants for Migrant and Seasonal Farmworkers; 93.773, Medicare_Hospital Insurance; 93.778, Medical Assistance Program; 96.001, Social Security_Disability Insurance; 93.782, Medicare Transitional Drug Assistance Program for Territories; 93.783, Medicare Transitional Drug Assistance Program for States; 93.770, Medicare_Prescription Drug Coverage.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.775 STATE MEDICAID FRAUD CONTROL UNITS

(SMFCU's)

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act (The Act), as amended, Title XIX, Section 1903, Public Law 95-142; Public Law 96-499.

OBJECTIVES:

To eliminate fraud and patient abuse in the State Medicaid Programs.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

The statute authorizes 90 percent matching funds for 12 quarters, and 75 percent matching funds thereafter for investigation and prosecution of fraud and patient abuse in the State Medicaid Programs. A unit must be separate and distinct from the single State Medicaid agency. A unit must also employ sufficient professional, administrative and support staff to carry out its duties and responsibilities in an effective and efficient manner.

Applicant Eligibility:

An established State Medicaid Fraud Control Unit must be a single identifiable entity of the State government which the Secretary certifies (and the Office of Inspector General annually re-certifies) as complying with the requirements of 1903(q) of the Social Security Act (42 CFR 1007) regarding location, function and procedure. Applicants must also comply with section 1902 (a)(61) of the Act as amended by the Omnibus Budget Reconciliation Act of 1993. Tribes (American Indian/Alaskan Native/Native American) are not eligible to apply.

Beneficiary Eligibility:

The State governments will benefit from this program.

Credentials/Documentation:

Units must submit applications containing proposed organization, administration, agreements, detailed budgets and procedures for certification of eligibility (see 42 CFR 1007). Costs will be determined in accordance with OMB Circular No. A-87 and 45 CFR 92. Governors in States applying for Federal assistance must approve the application pursuant to 42 CFR 1007.15(a).

Preapplication Coordination:

The standard application forms, as furnished by DHHS and required by OMB Circular No. A-102 for State and local governments, must be used for the preapplication coordination. The Inspector General's staff is available to assist with technical development of programs, plans, budget amendments and revisions. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application is made in the format prescribed by the Inspector General. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

States are awarded funds based on a detailed description of estimated expenditures needed to support the program.

Deadlines:

State expenditure reports are due 30 days following each quarter. A final financial report is due 90 days after the close of the grant year, as required by 45 CFR 92. The annual report and application for re-certification are due 60 days before the end of each 1-year certification period, as required by 42 CFR 1007.

Range of Approval/Disapproval Time:

The time required to process an application may take up to 90 days.

Appeals:

If the application is not approved, the State may submit an amended application at any time.

Renewals:

The application for the annual re-certification must be submitted 60 days prior to the end of each certification period.

Formula and Matching Requirements:

States are awarded, through the issuance of a grant award, for 90 percent of their costs for the first 12 quarters, and 75 percent thereafter, computed against a quarterly maximum allowable of the higher of \$125,000, or one-fourth of 1 percent of the sums expended by the Federal, State, or local government in carrying out the State plan under Title XIX of the Social Security Act.

Length and Time Phasing of Assistance:

Funds are awarded quarterly based on an approved annual budget and limitations on entitlement. An Electronic Funds Transfer System will be used.

Reports:

Quarterly program and financial reports are required

Audits:

HHS/OIG/Office of Audit Services performs periodic audits of the State program as appropriate; the OIG/Office of Evaluation and Inspections performs an annual re-certification review of each SMFCU.

Records:

All records are to be retained for 3 years, in accordance with 45 CFR 92.

Account Identification:

75-0512-0-1-551.

Obligations:

(Grants) FY 07 \$174,800,000; FY 08 est \$186,000,000; and FY 09 est \$195,300,000.

Range and Average of Financial Assistance:

\$293,000 to \$39,269,000; \$3,701,580.

PROGRAM ACCOMPLISHMENTS:

Forty-nine States and the District of Columbia are certified under this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 1007, 45 CFR 92, OMB Circular No. A-87, and Performance Standards issued September 1994.

Regional or Local Office:

None.

Headquarters Office:

Sharon L. Colby, Director, Medicaid Fraud Unit Oversight Division, Office of Evaluation and Inspections, Office of Inspector General, Office of the Secretary, Department of Health and Human Services, Room 5656, Cohen Building, 330 Independence Avenue, S.W., Washington, DC 20201. Telephone: (202) 260-3711. E-mail: Sharon.Colby@oig.hhs.gov.

Web Site Address:

<http://www.dhhs.gov>.

RELATED PROGRAMS:

93.778, Medical Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.776 HURRICANE KATRINA RELIEF**FEDERAL AGENCY:**

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Deficit Reduction Act of 2005 (DRA), Subtitle C-Katrina Relief, Section 6201, Additional Federal Payments Under Hurricane-Related Multi-State Section 1115 Demonstrations, Public Law 109-171.

OBJECTIVES:

To provide additional Federal payments under Katrina hurricane-related multi-State Section 1115 Demonstrations to reimburse affected States for the nonfederal share of specified medical care hurricane-related expenditures and associated administrative costs, and the total uncompensated care costs for affected States. Also, with respect to Katrina hurricane-related affected counties or parishes in Alabama, Louisiana and Mississippi, to provide the nonfederal share of medical care expenditures furnished to Title XIX and Title XXI individuals under existing State plans. In addition, if approved by the Secretary, funds may be used by the State to restore access to health care in Katrina impacted communities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Demonstration grants will be awarded to States for use in accordance with provisions of their approved Katrina Section 1115 demonstration projects for the nonfederal share or total uncompensated costs of medical care provided for eligible evacuees and affected individuals and associated administrative costs (Section 6201(a)(1)&(2) DRA 2005). Under the demonstration projects, costs are reimbursable to the State for: the nonfederal share cost of services incurred by eligible individuals between August 24, 2005 and June 30, 2006; and the total uncompensated care pool (UCCP) costs for services incurred by eligible individuals between August 24, 2005 and January 31, 2006. For Hurricane Katrina-related affected counties or parishes in Alabama, Louisiana and Mississippi, costs are also reimbursable to the State for the nonfederal share of expenditures, for medical care only, incurred by Title XIX and Title XXI individuals under existing State plans (i.e., not under a Katrina demonstration) beginning August 24, 2005 (Section 6201(a)(3) DRA 2005).

Applicant Eligibility:

State: Katrina Section 1115 demonstration approved by CMS, Title XIX and Title XXI participant.

Beneficiary Eligibility:

Evacuee/affected individual (i.e., displaced individual) status under the demonstrations is limited to parents, pregnant women, children under age 19, individuals with disabilities, low-income Medicare recipients, low-income individuals in need of long-term care with income levels simplified to reflect home State Title XIX and Title XXI eligibility rules. Evacuee/affected individual status under UCCP's varies by State with the approved UCCP methodology plan. Eligibility for medical costs of individuals under Section 6201(a)(3) DRA 2005, is based on the State's approved Title XIX or Title XXI plan.

Credentials/Documentation:

Federal funds must go to a designated State Medicaid Agency with appropriate approved plans. Individuals must meet State requirements. Administrative costs will be determined in accordance with OMB Circular No. A-87 "Cost Principals for State and Local Governments."

Preapplication Coordination:

States are encouraged to work with CMS in the development of their Section 1115 demonstration projects. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submission of Section 1115 Katrina demonstration plan to CMS. No application required for Section 6201(a)(3).

Award Procedure:

Approved States will receive award funds quarterly based on estimates and reconciliations of prior period awards to reported approved nonfederal share and total expenditures, as applicable.

Deadlines:

Section 1115 Katrina demonstration plan must be submitted to CMS by January 31 of each fiscal year.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. The nonfederal share paid under this Section shall not be regarded as Federal funds for purposes of Medicaid matching requirements, the effect of which is to provide fiscal relief to the State in which the Medicaid eligible individual originally resided.

Length and Time Phasing of Assistance:

Project Period: No payments shall be made under Section 6201(a)(1)(A) or (a)(1)(C) of DRA 2005 for costs of health care provided to an eligible evacuee or affected individual for services for such individual incurred after June 30, 2006. No payments shall be made under Section 6201(a)(1)(B) or (a)(1)(D) of DRA 2005 for costs of health care incurred after January 31, 2006. No payments may be made under Section 6201(a)(1)(B) or (a)(1)(D) for an item or service that an evacuee or an affected individual has received from an individual or organization as part of a public or private hurricane relief effort. Budget Period:

Appropriated funds are available until expended.

Reports:

States must submit fiscal and statistical reports, as required, to CMS.

Audits:

The State will afford the Secretary access to any records or information relating to these plans for the purposes of review or audit.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the demonstration shall be retained for at least three years or until resolution of any audit questions.

Account Identification:

75-0516-0-1-551.

Obligations:

FY 07 \$370,784,186; FY 08 \$19,064,378; and FY 09 est not available.

Range and Average of Financial Assistance:

No current data available.

PROGRAM ACCOMPLISHMENTS:

Section 6201 of the Deficit Reduction Act of 2005 (DRA, Public Law 109-171) provides for \$2 billion dollars in additional federal payments under Hurricane Katrina related multi-state section 1115 demonstrations. The legislation indicated specific categories of expenditures for which these funds may be used. Initially, following enactment of the DRA, based on States' FY 2006 estimates of the DRA defined expenditure categories (from States with approved multi-state Hurricane Katrina demonstrations), we provided grant awards totaling \$1.5 billion to 32 States. Although DRA provided for a total of \$2 billion for Katrina relief, we initially held back \$500 million with the intent of obtaining updated State estimates which would inform a subsequent disposition of such funds. Based on updated estimates, CMS determined that for 11 states their initial allocation (of the \$1.5 billion) was not sufficient for their projected FY 2006 expenditures. We issued those states an additional \$363,912,798 in grant authority in late September for total FY 2006 obligations of \$1,863,912,798. The resulting unobligated carryover into FY 2007 was \$136,087,202. Delayed state payment processing of Katrina-related relief provider claims through the fourth quarter of FY 2006 necessitates the issuance of additional grant authority to states for FY 2007. CMS plans to recover an estimated \$450 million from the approximately \$1.9 billion originally obligated in FY 2006 by reconciling each state's FY 2006 grants with actual expenditures reported on the states' cumulative expenditures reports through the 4th quarter FY 2006. CMS will use the \$450 million in estimated prior period recoveries and the FY 2006 unobligated carryover to provide FY 2007 grant authority to the states, as needed for payment of FY 2006 dates of service which are being paid in FY 2007. By reconciling each state's FY 2007 grants with actual expenditures through the 1st quarter FY 2007, we will be able to determine the further disposition of any remaining DRA funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information regarding this program may be accessed through the world wide web at: www.cms.hhs.gov/emergency.

Regional or Local Office:

Contact the Regional Administrator, Centers for Medicare and Medicaid Services (See Appendix IV of the Catalog for addresses and telephone numbers).

Headquarters Office:

Contacts: Program questions--Cheryl Powell, Center for Medicaid and State Operations, Centers for Medicare and Medicaid Services, 7500 Security Boulevard, Mail Stop S2-01-16, Baltimore, MD 21244. Telephone: (410) 786-8693. Financial questions--Betsy Hanczaryk, Center for Medicaid and State Operations, Centers for Medicare and Medicaid Services, 7500 Security Boulevard, Mail Stop S3-13-15, Baltimore, MD 21244. Telephone: (410) 786-2013.

Web Site Address:

<http://www.cms.hhs.gov>.

RELATED PROGRAMS:

93.778, Medical Assistance Program; 93.767, State Children's Insurance Program; 97.088, Disaster Assistance Projects.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.777 STATE SURVEY AND CERTIFICATION OF HEALTH CARE PROVIDERS AND SUPPLIERS

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Sections 1863, 1864, 1865, 1902, 1903, and 1919, Title XVIII, as amended.

OBJECTIVES:

To provide financial assistance to any State which is able and willing to determine through its State health agency or other appropriate State agency that

providers and suppliers of health care services are in compliance with Federal regulatory health and safety standards and conditions of participation.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds are made available to States for the purpose of inspecting providers and suppliers of health care services, to ensure mandatory adherence to Medicare/Medicaid health and safety standards and conditions. Funds made available under this program are used to support or reimburse State staff for performing survey activities and for State administration of the program.

Applicant Eligibility:

Under Title XVIII, States enter into Section 1864 agreements with the Secretary of Health and Human Services whereby the designated agency of the State will be supported or reimbursed for on-site inspection of health care providers and suppliers. The designated State agency is usually that unit performing licensure activities within the State health department. Under Title XIX, the Federal government reimburses States for the Federal Financial Participation share for costs of inspection. Such participation is dependent on an approved State activity plan.

Beneficiary Eligibility:

Under Title XVIII, States enter into Section 1864 agreements with the Secretary of Health and Human Services whereby the designated agency of the State will be supported or reimbursed for on-site inspection of health care providers and suppliers. The designated State agency is usually that unit performing licensure activities within the State health department. Under Title XIX, the Federal government reimburses States for the Federal Financial Participation share for costs of inspection. Such participation is dependent on an approved State activity plan.

Credentials/Documentation:

States must have an approved State Plan for Title XIX and a signed 1864 Agreement for Title XVIII in order to carry out the survey function. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is subject to statutory provisions. States are required to submit an acceptable State Plan for Title XIX, and sign an 1864 Agreement for Title XVIII. This program is excluded from coverage under E.O. 12372.

Application Procedure:

States submit an acceptable State Plan (Title XIX) and sign an 1864 Agreement (Title XVIII) for support of Medicare/Medicaid survey and certification activities. Budget proposals and estimated expenditures must comply with OMB Circular No. A-87 cost principles and regulatory guidelines. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

States are provided a quarterly award based on an approved annual budget under Title XVIII and quarterly formula grants under Title XIX. States are reimbursed for Title XVIII and Title XIX survey activities under Electronic Funds Transfer System procedures. States draw funds through the Department's Payment Management System (PMS).

Deadlines:

Annual budget proposals for Title XVIII are requested from the appropriate State agency as negotiated with Regional Office but no later than August 15. In addition, estimates of the amounts to be claimed for reimbursement under Title XIX are required 45 days prior to the applicable quarter. Reports of actual expenditures for both programs are required 30 days after the close of each quarter.

Range of Approval/Disapproval Time:

From 30 to 60 days for initial approval or disapproval.

Appeals:

Title XVIII appeals are reviewed by the Armed Services Contracts Appeal Board; Title XIX appeals are reviewed by the Departmental Grants Appeal Board.

Renewals:

Ongoing renewals are awarded to States that are willing and able to conduct required survey activities in compliance with statutory mandate.

Formula and Matching Requirements:

Funds available for Title XVIII survey costs are not subject to State matching requirements. Awards for Federal Financial Participation reimbursement of Title XIX related survey costs are subject to States certifying their availability of funds as prescribed by Section 1903 (a), (2), and (7), as further defined in 42 CFR, Part 433.15.

Length and Time Phasing of Assistance:

Funding authority is provided for a full year to State agencies performing survey and certification activities pertaining to Medicare survey activity. For Medicaid survey activity (State entitlement program), funding authority is indefinite.

Reports:

States operate under an approved plan (Title XIX) and 1864 Agreement (Title XVIII). Quarterly expenditure reports are required 30 days after the close of each quarter and monitoring is carried out by the Department of Health and Human Services.

Audits:
DHHS Office of Inspector General staff or designated representatives make periodic audits of State survey agencies. In addition, under the Single State Audit Act, States may elect to conduct their own nonpartisan audits and certify the results to the Secretary of Health and Human Services.

Records:
States must maintain surveyor time records, line item and expenditure documentation, which substantiate the costs relating to survey activities.

Account Identification:
75-0511-0-1-550 (Title XVIII); 75-0512-0-1-551 (Title XIX).

Obligations:
(Grants) FY 07 (Title XVIII) \$244,008,586, (Title XIX) \$200,384,986; FY 08 estimate (Title XVIII) \$254,713,742 (Title XIX) \$223,000,000; and FY 09 estimate (Title XVIII) \$273,700,000 (Title XIX) \$228,798,000.

Range and Average of Financial Assistance:
(State distributed Title XVIII funds) FY 06 range is from \$15,169 to \$28,309,623 and an average of \$4,734,012; FY 07 range is from \$15,366 to \$28,637,569 and an average of \$4,781,943.

PROGRAM ACCOMPLISHMENTS:
The estimated figure for fiscal year 2007 is approximately 58,000 and for fiscal year 2008 is 58,500. It is estimated that grants will be provided to 53 State/territory survey agencies to conduct inspections in fiscal years 2007 and 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:
Title XVIII, Social Security Act as amended Sections 1863, 1864 and 1865.
Title XIX, Social Security as amended, Sections 1903, 1904, 1908, 1910, and 1919; 42 CFR, Subparts J, K, L, M, N, O, Q, S, U, and X.

Regional or Local Office:
Contact the Regional Administrator for Centers for Medicare and Medicaid Services. (See Appendix IV of the Catalog for listing of Regional Offices).

Headquarters Office:
Thomas Hamilton, Director, Survey & Certification Group, Centers for Medicare and Medicaid Services, 7500 Security Boulevard, Baltimore, MD 21244. Telephone: (410) 786-9493. (Use same number for FTS).

Web Site Address:
<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:
93.773, Medicare Hospital Insurance; 93.774, Medicare Supplementary Medical Insurance; 93.778, Medical Assistance Program.

EXAMPLES OF FUNDED PROJECTS:
Information relating to Federally-funded projects is available to State survey agencies upon request.

CRITERIA FOR SELECTING PROPOSALS:
Selecting criteria for Federal funding of State certification proposals are available to State survey agencies upon request.

93.778 MEDICAL ASSISTANCE PROGRAM

(Medicaid; Title XIX)

FEDERAL AGENCY:
CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:
Social Security Act, Title XIX, as amended; Public Laws 89-97, 90-248, and 91-56; 42 U.S.C. 1396 et seq., as amended; Public Law 92-223; Public Law 92-603; Public Law 93-66; Public Law 93-233; Public Law 96-499; Public Law 97-35; Public Law 97-248; Public Law 98-369; Public Law 99-272; Public Law 99-509; Public Law 100-93; Public Law 100-202; Public Law 100-203; Public Law 100-360; Public Law 100-436; Public Law 100-485; Public Law 100-647; Public Law 101-166; Public Law 101-234; Public Law 101-239; Public Law 101-508; Public Law 101-517; Public Law 102-234; Public Law 102-170; Public Law 102-394; Public Law 103-66; Public Law 103-112; Public Law 103-333; Public Law 104-91; Public Law 104-191; Public Law 104-193; Public Law 104-208,104-134; Balanced Budget Act of 1997, Public Law 105-33; Public Law 106-113; Public Law 106-554; Public Law 108-27; Public Law 108-173; Public Law 109-91; Public Law 109-171; Public Law 109-432; Public Law 110-28; Public Law 110-161.

OBJECTIVES:
To provide financial assistance to States for payments of medical assistance on behalf of cash assistance recipients, children, pregnant women, and the aged who meet income and resource requirements, and other categorically-eligible groups. In certain States that elect to provide such coverage, medically-needy persons, who, except for income and resources, would be eligible for cash assistance, may be eligible for medical assistance payments under this program. Financial assistance is provided to States to pay for Medicare premiums, copayments and deductibles of qualified Medicare beneficiaries meeting certain income requirements. More limited financial assistance is available for certain Medicare beneficiaries with higher incomes.

TYPES OF ASSISTANCE:

Formula Grants.
USES AND USE RESTRICTIONS:
For the categorically needy, States must provide in-and-out patient hospital services; rural health clinic services; federally-qualified health center services; other laboratory and x-ray services; nursing facility services, home health services for persons over age 21; family planning services; physicians' services; early and periodic screening, diagnosis, and treatment for individuals under age 21; pediatric or family nurse practitioner services; and services furnished by a nurse-midwife as licensed by the States. For the medically needy, States are required to provide a minimum mix of services for which Federal financial participation is available (see section 1902(a)(10)(C)(iv) of the Social Security Act).

Applicant Eligibility:
State and local welfare agencies must operate under an HHS-approved Medicaid State Plan and comply with all Federal regulations governing aid and medical assistance to the needy.

Beneficiary Eligibility:
Low-income persons who are over age 65, blind or disabled, members of families with dependent children, low- income children and pregnant women, certain Medicare beneficiaries and, in many States, medically-needy individuals may apply to a State or local welfare agency for medical assistance. Eligibility is determined by the State in accordance with Federal regulations.

Credentials/Documentation:
Federal funds must go to a designated State Medicaid Agency. Individuals must meet State requirements. Administrative costs will be determined in accordance with OMB Circular No. A-87, "Cost Principles for State and Local Governments."

Preapplication Coordination:
The standard application forms, as furnished by HHS and required by OMB Circular No. A-102, "Uniform Administrative Requirements for Grants-in-Aid to State and Local Governments," must be used for this program. States should contact the HHS Regional Office for application information. (See Appendix IV of the Catalog for agency Regional Office addresses.) This program is excluded from coverage under E.O. 12372.

Application Procedure:
Individuals needing medical assistance should apply directly to the State or local welfare agency. States should contact the Regional Administrator, CMS for application forms. (See Appendix IV of the Catalog for agency Regional Office addresses.) This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:
States are awarded funds quarterly based on their estimates of funds needed to provide medical assistance to the needy. Awards are made quarterly on a fiscal year basis as follows: October 1, January 1, April 1, and July 1. Individuals receive medical care from providers of medical care who are participating in the Medicaid program.

Deadlines:
An individual needing medical assistance may apply to the State at any time. States must submit quarterly estimates of funds needed no later than August 15, November 15, February 15, and May 15, in order to receive a timely quarterly grant award for the following quarter.

Range of Approval/Disapproval Time:
Up to 60 days. The States usually provide needy individuals with immediate medical assistance.

Appeals:
Individuals denied medical assistance by the State or local welfare agency must be given a fair hearing on appeal (see 42 CFR, Subchapter C, Part 431, Subpart E). States have 60 days to resubmit revised applications.

Renewals:
Recipients receive assistance as long as they are qualified under State requirements.

Formula and Matching Requirements:
Federal funds are available to match State expenditures for medical care. Under the Act, the Federal share for medical services may range from 50 percent to 83 percent. The statistical factors used for fund allocation are: (1) Medical assistance expenditures by State; and (2) per capita income by State based on a 3-year average (source, "Personal Income," Department of Commerce, Bureau of Economic Analysis). Statistical factors for eligibility do not apply to this program. This program has maintenance of effort (MOE) requirements, see funding agency for further details.

Length and Time Phasing of Assistance:
The needy receive medical assistance as necessary. States receive funds quarterly. The Electronic Transfer System will be used by States for monthly cash draws on the Federal Reserve Bank.

Reports:
States must submit fiscal and statistical reports, as required, to the Centers for Medicare and Medicaid Services, Department of Health and Human Services. A Treasury Report TUS-5401 is required monthly. States must submit certified expenditure reports within 30 days after the end of each quarter.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

States must maintain records which substantiate direct and indirect costs charged to the grant award activity.

Account Identification:

75-0512-0-1-551.

Obligations:

(Grants) FY 07 \$203,499,801,000; FY 08 est \$204,052,706,000; and FY 09 est \$217,537,328,000.

Range and Average of Financial Assistance:

\$4,851,000 to \$26,241,144,000; \$3,643,798,321.

PROGRAM ACCOMPLISHMENTS:

The number of Medicaid enrollees in fiscal year 2007 was 49,100,000. It is estimated that there will be 50,000,000 Medicaid enrollees in fiscal year 2008 and an estimated 50,800,000 Medicaid enrollees in fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR, Subchapter C.

Regional or Local Office:

Contact the Associate Regional Administrator, Division of Medicaid, Centers for Medicare and Medicaid Services. (See Appendix IV of the Catalog for addresses and telephone numbers.).

Headquarters Office:

Center for Medicaid and State Operations, Centers for Medicare and Medicaid Services, Room C4-25-02, 7500 Security Boulevard, Baltimore, MD 21244. Telephone: (410) 786-3870. Use the same number for FTS.

Web Site Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

64.012, Veterans Prescription Service; 64.013, Veterans Prosthetic Appliances; 93.560, Family Support Payments to States Assistance Payments; 93.110, Maternal and Child Health Federal Consolidated Programs; 93.224, Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.773, Medicare Hospital Insurance; 93.774, Medicare Supplementary Medical Insurance; 93.775, State Medicaid Fraud Control Units; 93.777, State Survey and Certification of Health Care Providers and Suppliers; 96.006, Supplemental Security Income; 93.767 State Children's Insurance Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.779 CENTERS FOR MEDICARE AND MEDICAID SERVICES (CMS) RESEARCH, DEMONSTRATIONS AND EVALUATIONS

(CMS Research)

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Title XI, Sections 1110 and 1115; 42 U.S.C. 1310 and 1315(a); Title XVIII, Section 1875; 42 U.S.C. 1395 and 42 U.S.C. 1881 (f); Section 402, Public Law 90-248, as amended; Section 222, Public Law 92-603; Small Business Innovation Development Act of 1982; Public Law 97-219, as amended; Small Business Innovation Research Program Extension, Public Law 99-443.

OBJECTIVES:

To support analyses, experiments, demonstrations and pilot projects in efforts to resolve major health care financing issues and to develop innovative methods for the administration of Medicare and Medicaid. These awards are in the form of research grants and cooperative agreements; Hispanic health services grants; historically black colleges and university grants. For fiscal years 2007 and 2008, the Centers for Medicare & Medicaid Services (CMS) has identified a number of areas where specific information or experience is necessary to improve program effectiveness or guide decisions anticipated in the near future. These priority areas for CMS' discretionary contracts, cooperative agreements and grants include: (1) protecting and empowering specific populations; (2) pay for performance for multiple provider settings; (3) understanding health differences and disparities--closing the gaps; (4) preventing disease, illness, and injury; (5) agency-specific priorities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Under all authorizations, all applications must meet standards of excellence in research or evaluation design. Funds may not be used for construction or renovation of buildings. Funds authorized by Section 1115 of the Social Security Act are limited to State agencies administering the Medicaid program.

Applicant Eligibility:

Grants or cooperative agreements may be made to private, or public agencies or organizations, including State agencies that administer the Medicaid program. Private profit organizations may apply. For the Small Business Innovation Research Grant Program, only for-profit organizations may apply. Awards cannot be made directly to individuals.

Beneficiary Eligibility:

Contributing retirees or specially entitled beneficiaries, the disabled or those with end stage renal disease (for Medicare) the aged, and families with children and youth (for Medicaid).

Credentials/Documentation:

Applicants should present written evidence of other agencies' willingness to cooperate when the project involves collaborative efforts or the utilization of non-CMS facilities or services. Costs will be determined in accordance with OMB Circular No. A-102 for State and local governments. The standard forms, as furnished by DHHS and required by OMB Circular No. A-102, must be used.

Preapplication Coordination:

CMS research and demonstration projects are solicited by publication in the "Federal Register," requests of proposals for contracts in "FedBizOpps," targeted program announcements, and other appropriate means. Some funds are used for creative, unsolicited proposals. Applicants should maintain contact with Regional CMS Offices (see Appendix IV of the Catalog for listing). The standard application forms, as furnished by CMS, must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application forms are submitted to the Acquisition and Grants Group, CMS, 2-21-15 Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. This program is subject to the provisions of OMB Circular Nos. A-102 and A-110. A letter of intent to file an application is necessary 30 days prior to the closing date of each solicitation cycle.

Award Procedure:

Official notice of approved applications is made through issuance of a Notice of Cooperative Agreement or Grant Award.

Deadlines:

Established when grants/cooperative agreements are solicited by publication in the Federal Register or a program announcement for grants/cooperative agreements and by the individual Request for Proposal for contracts. Unsolicited applications may be submitted at any time.

Range of Approval/Disapproval Time:

Range from 150 to 180 days.

Appeals:

No formal appeals procedures. If an application is disapproved, the reasons for disapproval will be fully stated. Applicants are free to resubmit applications with attention to the changes suggested by the reasons for disapproval. In the case of solicited proposals, extensions may be allowed to prepare revisions which clarify various aspects of projects.

Renewals:

Extensions and continuations of projects are available if formally applied for and approved. If a grant/cooperative agreement application is recommended for approval for 2 or more years, the awardee must annually submit a formal request for continuation accompanied by a progress report which will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

Awardees are required to share in the cost of projects. Normally, the minimum cost-sharing requirement is 5 percent of total project costs. This program has no statutory formula, except in Section 1115 projects, where the statutory formula is the same as that established for the Medicaid Program, both administrative and operational.

Length and Time Phasing of Assistance:

Grants/cooperative agreements are generally funded on a 12-month basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds.

Reports:

Reports of progress and expenditures are required on all projects. Comprehensive final reports are due no later than 90 days after termination of projects.

Audits:

All fiscal transactions identifiable to Federal financial assistance are subject to audit by DHHS audit agency.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0511-0-1-550.

Obligations:

(Grants, Cooperative Agreements, and Contracts) FY 07 \$57,316,599; FY 08 est \$33,700,500; and FY 09 est \$31,300,000.

Range and Average of Financial Assistance:

\$25,000 to \$1,000,000; \$235,000.

PROGRAM ACCOMPLISHMENTS:

There were 26 new cooperative agreements/grants funded and 34 new contracts funded in fiscal year 2006. In fiscal year 2007, it is estimated that there will be 22 new grants/cooperative agreements and 18 new contracts. In fiscal year 2008, it is estimated that it will be 18 new grants/cooperative agreements and 14 new contracts.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) and application kits may be obtained from the Office of Acquisition and Grants Management, Centers for Medicare and Medicaid Services, Room C2-21-15, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850.

Regional or Local Office:

Contact the appropriate CMS Regional Administrator. (See Appendix IV for Regional Offices.)

Headquarters Office:

Timothy P. Love, Director, Office of Research, Development, and Information (ORDI), Centers for Medicare & Medicaid Services, Department of Health and Human Services, Room C-3-20-11, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. Telephone: (410) 786-0948. FTS is not available.

Web Site Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

93.773, Medicare Hospital Insurance; 93.774, Medicare Supplementary Medical Insurance; 93.778, Medical Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded projects in fiscal year 2006 include: (1) Medicare Current Beneficiary Survey; (2) Design for a Nursing Home Quality Based Purchasing Demonstration; (3) Implementation Support for the ESRD Bundled Payment and Pay-for-Performance Demonstration; (4) Implementation support and Evaluation of Physician Group Practice Demonstration; (5) Implementation and Evaluation of the Medicare Hospital Gainsharing Demonstration (6) Grants to Historically Black Colleges and Universities and Hispanic Health Services Research Programs; (7) Real Choice Systems Change Grants; and (8) Medicaid Analytic Database (MAX) Data Development.

CRITERIA FOR SELECTING PROPOSALS:

The review process for grants/cooperative agreements consists of initially screening applications for completeness and relevancy to CMS priority areas. If the application is not relevant to CMS priority areas, it will be returned to the applicant. If accepted as submitted it will be reviewed and evaluated. The review will be conducted by a panel of not less than three experts. CMS Project Officer will coordinate the panel's review, but will not vote. This individual will also prepare the panel's recommendation to the Director, Office of Research, Development, and Information (ORDI). The panel's recommendations will contain numerical ratings, rankings of applications, and a written assessment of each application. The recommendations will be based on published criteria as stated in the Federal Register. The review process for applications is also stated in the Federal Register Announcement.

93.780 GRANTS TO STATES FOR OPERATION OF QUALIFIED HIGH-RISK POOLS

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Trade Act of 2002; Public Law 107-210; Deficit Reduction Act of 2005; Public Law 109-171.

OBJECTIVES:

To assist States in the operation of a qualified high-risk health insurance pool by providing Federal funding for up to 50 percent of losses incurred by the pool for a given State fiscal year.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

A State must have a qualified high-risk pool (as defined in section 2744(c)(2) of the Public Health Service Act) that has incurred a loss in order to be eligible for a grant.

Applicant Eligibility:

A State must meet all of the following requirements to be eligible for a grant: 1) the State is operating a qualified high-risk pool as defined in section 2744(c)(2) of the Public Health Service Act; 2) the pool restricts premium charged under the pool to no more than 150 percent for applicable standard risk rates for the State; 3) the pool offers a choice of two or more coverage options through the pool; 4) the pool has in effect a mechanism reasonably designed to ensure

continued funding of losses incurred by the State after the end of fiscal year 2004 in connection with the operation of the pool; and 5) the pool has incurred a loss in the State's fiscal year 2002, 2003, or 2004.

Beneficiary Eligibility:

State Agency.

Credentials/Documentation:

Federal funds must go to a designated State Agency or its partner agencies. Individuals must meet State requirements.

Preapplication Coordination:

A notice of intent to apply is not required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application form SF-424 and related forms, as furnished by CMS, must be used for this program. These forms may be downloaded from the following Web site, www.cms.hhs.gov/researchers/priorities/grants.asp. In addition to the standard forms some additional information regarding the history and description of the qualified high-risk pool, accounting of risk pool losses, and contact person information is also required. Please refer to the following Web site for additional information: www.cms.hhs.gov/riskpool. Applicants are required to submit an original and two copies of the application to the Acquisition and Grants Group, CMS, Mailstop C2-21-15, 7500 Security Boulevard, Baltimore, MD 21244-1850. Facsimile (fax) transmissions will not be accepted. This program is subject to the provisions of OMB Circular No. A-102.

Award Procedure:

The Centers for Medicare and Medicaid Services (CMS) will make a decision for each application received. Each applicant will receive written notification of CMS's decision. Applicants approved for a grant award must submit a letter of acceptance to CMS within 30 days of the date of the award, agreeing to the terms and conditions of the award letter.

Deadlines:

Please contact program office for application deadline.

Range of Approval/Disapproval Time:

60 to 120 days.

Appeals:

If an application is disapproved, the reasons for disapproval will be fully stated.

Renewals:

None.

Formula and Matching Requirements:

Under the original legislation, a State must have a qualified high-risk pool that incurred a loss in order to be eligible for a grant. States may apply for a grant in relation to losses incurred in the fiscal year 2002, 2003, and 2004. A State can be awarded a maximum of two grants. As directed by the statute, funds will be allocated using a formula based on the number of uninsured individuals in each eligible State. The formula was further defined through the rulemaking process with a regulation with a 60-day comment period. See the website: www.cms.hhs.gov/riskpool for additional information.

Length and Time Phasing of Assistance:

Under the original legislation, a total of \$80,000,000 has been made available for the qualified high-risk pool operation grant program. \$40,000,000 was made available for obligation from FY 2003-FY 2004 and another \$40,000,000 was made available for obligation from FY 2004-FY 2005. Under the provisions of section 6202 of the Deficit Reduction Act, \$75,000,000 is available in FY 2006 for this activity.

Reports:

Grant awardees may be required to submit quarterly progress and financial reports to CMS. At a maximum, a grantee would have to complete 8 reports per year if requested. It is anticipated that grantees may only need to file semi-annually, thus 4 reports per year. Refer to 45 CFR part 92.

Audits:

All fiscal transactions identifiable to Federal financial assistance are subject to audit by DHHS audit agency.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0516-0-1-551.

Obligations:

FY 07 \$0; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) application kits may be obtained from the Acquisition and Grants Group, CMS, Mailstop C2-21-15, 7500 Security Boulevard, Baltimore, MD 21244-1850. The grant application kit may be downloaded from the following web site, www.cms.hhs.gov/researchers/priorities/grants.asp.

Regional or Local Office:

Contact the appropriate CMS Regional Administrator. (See appendix IV for Regional Offices).

Headquarters Office:

CMS, Centers for Medicare and State Operations, Paul Youket, Health Insurance Specialist, Mailstop S2-01-16, 7500 Security Boulevard, Baltimore, MD 21244-1850. Telephone: (410) 786-0264. Email: paul.youket@cms.hhs.gov.

Web Site Address:

www.cms.hhs.gov/riskpool.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed to ensure it meets the eligibility criteria (as stated above). If eligible, the State will be awarded the lesser 50 percent of losses incurred by its qualified risk pool for the fiscal year in question or its allotment under the formula for the grants.

93.781 SEED GRANTS TO STATES FOR QUALIFIED HIGH-RISK POOLS

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Trade Act of 2002, Public Law 107-210; Deficit Reduction Act of 2005, Public Law 109-171.

OBJECTIVES:

To assist states in the creation and initial operation of a qualified high-risk health insurance pool for individuals who cannot purchase individual coverage because of pre-existing medical conditions.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

States that operated qualified high-risk pools (as defined in section 2744(c)(2) of the Public Health Service Act) prior to August 6, 2002, the date the Trade Act was signed into law, do not qualify for the grant funding. All funds to be awarded under this program must be used exclusively for the creation and initial operation of a qualified high-risk pool as defined in section 2744(c)(2) of the Public Health Service Act.

Applicant Eligibility:

Any State that did not have a qualified high-risk pool established as of August 6, 2002 is eligible to apply. The term "State" is defined in the legislation as any of the 50 states and the District of Columbia. The definition of a "qualified high-risk pool" is provided under section 2744(c)(2) of the Public Health Service Act.

Beneficiary Eligibility:

Any State that did not have a qualified high-risk pool established as of August 6, 2002 is eligible to apply. The term "State" is defined in the legislation as any of the 50 states and the District of Columbia. The definition of a "qualified high-risk pool" is provided under section 2744(c)(2) of the Public Health Service Act.

Credentials/Documentation:

Federal funds must go to a designated State Agency or its partner agencies.

Preapplication Coordination:

A notice of intent to apply is encouraged but not required. A notice of intent may be submitted to: CMS, Mailstop S3-16-16, 7500 Security Blvd, Baltimore, MD 21244-1850. The letter of intent should indicate that the state intends to apply for a seed grant to create a "qualified" high-risk pool and also provide an estimate of the costs of creating the pool. This program is excluded from coverage under E.O. 12372.

Application Procedure:

In addition to the standard forms, some additional information regarding the authority for establishing the pool, plans for administering the pool, a contact person, and actions planned and already taken is also required. Applicants are required to submit an original and two copies of the application to the Acquisition and Grants Group, CMS, Mailstop C2-21-15 (Nicole Nicholson), 7500 Security Boulevard, Baltimore, MD 21244-1850. www.cms.hhs.gov/researchers/priorities/grants.asp. Facsimile (fax) transmissions will not be accepted. This program is subject to the provisions of OMB Circular No. A-102.

Award Procedure:

The Centers for Medicare and Medicaid Services (CMS) will make a decision for each application received. Each applicant will receive written notification of CMS's decision. Applicants approved for a grant award must submit a letter of acceptance to CMS within 30 days of the date of the award, agreeing to the terms and conditions of the award letter.

Deadlines:

Applications will be reviewed and funded on an ongoing basis as received. However, to be considered please contact program office for application deadline.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

There are formal appeals procedures. If an application is disapproved, the reasons for disapproval will be fully stated.

Renewals:

None.

Formula and Matching Requirements:

There is no requirement for States to match grants awarded under this program. Funding will be distributed to approved grant awardees in two phases. Funding will be released in Phase I once the State demonstrates that legal authority has been created to develop a qualified high-risk pool and that a governing authority responsible for overseeing the pool has been created or designated. Funding will be released in Phase II once the State has demonstrated that it has made the commitment to have the necessary staff available for the successful operation of the pool and has established the required administrative mechanism to assure the provision of health coverage.

Length and Time Phasing of Assistance:

None.

Reports:

Grant awardees must submit a quarterly report to CMS documenting the expenditure of the grant funds and the progress of the creation of the high-risk pool. These reports will continue to be submitted until all grant funds are spent. Refer to 45 CFR Part 92.

Audits:

All fiscal transactions identifiable to Federal financial assistance are subject to audit by DHHS audit agency.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0516-0-1-551.

Obligations:

(Grants) FY 07 \$1,450,000; FY 08 \$0; and FY 09 est not available.

Range and Average of Financial Assistance:

The maximum amount of a grant award is \$1,000,000 as set in statute.

PROGRAM ACCOMPLISHMENTS:

The program purpose is to assist states in the creation and initial operation of a qualified high-risk health insurance pool for individuals who cannot purchase individual coverage because of pre-existing medical conditions.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) application kits may be obtained from the Acquisition and Grants Group, CMS, Mailstop C2-21-15, 7500 Security Boulevard, Baltimore, MD 21244-1850. The grant application kits may also be downloaded from the following Web site: www.cms.hhs.gov/researchers/priorities/grants.asp.

Regional or Local Office:

Contact the appropriate CMS Regional Administrator. (See Appendix IV for Regional Offices.)

Headquarters Office:

CMS, Centers for Medicaid and State Operations, Mailstop S2-01-16 (Paul Youket), 7500 Security Blvd, Baltimore, MD 21244-1850. Telephone: (410) 786-7528. E-mail: paul.youket@cms.hhs.gov.

Web Site Address:

<http://www.cms.hhs.gov/riskpool>

RELATED PROGRAMS:

93.780, Grants to States for Operation of Qualified High-Risk Pools.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

A State must first meet the eligibility criteria (as stated above). If eligible, the application will be reviewed evaluating the State's mechanism that can reasonably be expected to assure administrative and legal capacity to provide health coverage to all qualified applicants and that funding sources that can reasonably be expected to ensure that the pool will be able to keep funding losses and stay in operation after grants funds have been expended.

93.783 MEDICARE TRANSITIONAL DRUG ASSISTANCE PROGRAM FOR STATES

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Authorized under the Medicare Prescription Drug, Improvement, and Modernization Act of 2003, Public Law 108-73, Section 1860D-31 of the Social Security Act (the Act).

OBJECTIVES:

To provide Federal funding for transitional assistance to certain low-income

Medicare beneficiaries, for the purchase of prescription drugs as referenced in Section 1860D-31(b)(2) of the Act.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Credits will be administered through private discount card sponsors in accordance with the requirements of 42 CFR 403.806, 403.808, and 403.816 for the transitional assistance benefit.

Applicant Eligibility:

A non-governmental, single legal entity doing business in the United States; having three years of private sector experience in the United States in pharmacy benefit management; and at the time of application for Medicare endorsement, it or a subcontractor, operates a pharmacy benefit program, a prescription drug discount card program, a low-income drug assistance program, or a similar program that serves at least one million covered lives and meets the requirements in 42 CFR 403.804 and 42 CFR 403.806.

Beneficiary Eligibility:

Individuals who are Medicare beneficiaries, and whose income is not more than 135 percent of the poverty line. To qualify, beneficiaries must not receive outpatient drug coverage from other sources, including Medicaid, TRICARE, group health insurance or Federal Employee Health Benefit Plans (FEHBP), except if the drug coverage is through a Part C Medicare+Choice plan or a Medigap plan. Individuals must have been enrolled in the program by December 31, 2005.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Potential sponsors apply to CMS to become an approved drug discount card sponsor, to administer transitional assistance funds. Beneficiaries complete an enrollment form, which includes eligibility information, and submit it to an approved sponsor. The sponsor forwards the enrollment and eligibility information to CMS, which verifies eligibility for the card and for transitional assistance.

Award Procedure:

Transitional assistance funds are drawn down by sponsors through the CMS payments management system on behalf of their transitional assistance enrollees, as the \$600 credit is used by beneficiaries to pay for covered discount card drugs.

Deadlines:

No further funds are available after the date on which the beneficiary enrolls in the new Medicare Part D drug benefit (first available on January 1, 2006), and in any case not beyond May 15, 2006 (for the beneficiary who does not enroll in Part D by the end of its initial enrollment period).

Range of Approval/Disapproval Time:

19 months.

Appeals:

Sponsors whose applications to become an approved discount card sponsor are rejected have the right to a reconsideration process. Beneficiaries who are found by CMS to be ineligible for transitional assistance have the option of filing for reconsideration in accordance with 42 CFR 403.810(g).

Renewals:

None.

Formula and Matching Requirements:

This program has no matching requirements.

Length and Time Phasing of Assistance:

Project Period: May 3, 2004 through May 15, 2006.

Reports:

Periodic reports are due to CMS in accordance with the timeframes established by CMS.

Audits:

Payment transactions may be audited by the Secretary or his agent.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least three years or until resolution of any audit questions.

Account Identification:

75-8307-0-7-571.

Obligations:

(Benefit Outlays)FY 07 \$9,815,000; FY 08 est \$0; FY 09 est \$0. (Last program benefit adjustments were made in FY 07; program is shut down; no further benefits).

Range and Average of Financial Assistance:

Up to \$600 in 2004 and 2005 per transitional-assistance eligible Medicare beneficiary electing to participate in the program.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2004 credits are to be made available to each transitional-assistance eligible Medicare beneficiary electing to participate in the program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program were authorized under Section 1860D-31 of

the Social Security Act, as enacted under Public Law 108-73, and were published on December 15, 2003.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Cynthia Moreno, Center for Beneficiary Choices, Health Plan Benefits Group, 7500 Security Boulevard, Baltimore, MD 21244; Telephone: (410) 786-1164.

Web Site Address:

<http://www.cms.hhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.784 FEDERAL REIMBURSEMENT OF EMERGENCY HEALTH SERVICES FURNISHED TO UNDOCUMENTED ALIENS

FEDERAL AGENCY:

DEPARTMENT OF HEALTH AND HUMAN SERVICES, CENTERS FOR MEDICARE AND MEDICAID SERVICES

AUTHORIZATION:

Medicare Prescription Drug, Improvement, and Modernization Act of 2003, Public Law 108-73, Section 1011; Medicare Modernization Act (MMA).

OBJECTIVES:

To reimburse eligible providers for their otherwise un-reimbursed costs associated with furnishing emergency health services to undocumented and certain other aliens.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Sections 1866(a)(1)(I), 1866 (a)(1)(N), and 1867 of the Social Security Act (the Act) impose specific obligations on Medicare-participating hospitals that offer emergency services. These obligations concern individuals who come to a hospital emergency department and request examination or treatment for medical conditions, and apply to all of these individuals, regardless of whether or not they are beneficiaries of any program under the Act. Section 1867 of the Act sets forth requirements for medical screening examinations of medical conditions, as well as necessary stabilizing treatment or appropriate transfer. In addition, section 1867(h) of the Act specifically prohibits a delay in providing required screening or stabilization services in order to inquire about the individual's payment method or insurance status.

Applicant Eligibility:

From the allotments made for a State, the Secretary of Health and Human Services shall pay an amount (subject to the total amount available from such allotments) directly to eligible providers located in the State where emergency services were incurred to the extent that the eligible provider was not otherwise reimbursed. An eligible provider defined under the statute is a hospital, physician, or provider of ambulance services (including an Indian Health Service (IHS) facility whether operated by the IHS or by an Indian tribal or tribal organization).

Beneficiary Eligibility:

The amounts of money set aside for each State will be paid directly to hospitals, certain physicians, and ambulance providers for the costs of providing emergency health care required under EMTALA and related hospital inpatient, outpatient, and ambulance services (including those operated by the Indian Health Service and Indian tribes and Tribal organizations) furnished to undocumented aliens, aliens paroled into the United States at a United States port of entry for the purposes of receiving such services, and Mexican citizens permitted temporary entry to the United States with a laser visa.

Credentials/Documentation:

Final policy guidance was released on May 9, 2005 regarding the implementation of section 1011 of the MMA. This notice establishes the general framework and procedural rules for submitting an enrollment application and payment requests, establishes general statements of policy, and provides CMS' interpretation of section 1011. It is posted in the "downloads" section of the following web address:

http://www.cms.hhs.gov/UndocAliens/02_policy.asp#TopOfPage.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

On September 1, 2004, the Secretary established a provider enrollment application.

Award Procedure:

Official notice of approved claims for emergency health care services will be transmitted to eligible providers pursuant to procedures established prior to September 1, 2004 and published in the Federal Register.

Deadlines:

Established when program announcements are published in the Federal Register.

Range of Approval/Disapproval Time:

None.

Appeals:

The final payment methodology will describe the appeals process.

Renewals:

None.

Formula and Matching Requirements:

No State or local matching funds are required for this activity.

Length and Time Phasing of Assistance:

This project is authorized for four years beginning in FY 2005.

Reports:

Periodic reports are due to CMS in accordance with the timeframes established by CMS.

Audits:

All payment transactions identifiable to Federal Reimbursement for Emergency Health Services Furnished to Undocumented Aliens are subject to audit or medical review by the Secretary or his designated agent. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for a period consistent with the information contained in the final payment methodology.

Account Identification:

75-0516-0-1-551.

Obligations:

FY 07 \$219,919,328; FY 08 \$225,000,000; and FY 09 est \$250,000,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

For the periods FY 2005 and FY 2006, CMS has obligated a total of \$266,980,129 of the total funding available for those years (\$500,000,000) towards the reimbursement of providers for emergency health services furnished to undocumented aliens. Actual payments in FY 2005 and FY 2006 were \$58.1 million and \$132.7 million respectively. CMS awarded the national contract for implementation of the Section 1011 program to TrailBlazer Health Enterprises, LLC.

REGULATIONS, GUIDELINES, AND LITERATURE:

CMS intends to provide guidance through a Paperwork Reduction Act (PRA) notice. The PRA package will provide substantive information regarding CMS' final implementation approach.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: James Bossenmeyer, CMS, Center for Medicare Management, Hospital and Ambulatory Group, 7500 Security Boulevard, Mail Stop C5-01-14, Baltimore, MD 21244. Telephone: (410) 786-9317.

Web Site Address:

None.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.785 PILOT PROGRAM FOR NATIONAL AND STATE BACKGROUND CHECKS--DIRECT PATIENT ACCESS FOR LONG-TERM CARE

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Medicare Prescription Drug, Improvement, and Modernization Act of 2003, Public Law 108-173, Section 307; Medicare Modernization Act (MMA).

OBJECTIVES:

To establish a pilot program to identify efficient, effective, and economical procedures for long-term care facilities or providers to conduct background checks on prospective direct patient access employees.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

No more than 10 States may be selected to participate in the pilot program. Please refer to Section IV.5 "Funding Restrictions" of the full solicitation for additional information regarding the State prohibitions on the use of grant funds.

Applicant Eligibility:

Participation in the pilot program is open to all States. A State's Office of the Governor or State Survey Agency (SA) may apply for funding under this grant opportunity. Additionally, certain other State agencies (e.g., the State Medicaid Agency, the State Office of the Attorney General, the State Identification Bureau (SIB), the State Control Terminal Agency (CTA), the State Health Department or the State Social Services Block Grant recipient) may also apply for funding under this grant opportunity, provided they have an agreement with the State Survey Agency for the conduct of the pilot program in that State.

Beneficiary Eligibility:

Participation in the pilot program is open to all States. A State's Office of the Governor or State Survey Agency (SA) may apply for funding under this grant opportunity.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

States needed to apply to CMS to participate in the pilot program by submitting a complete application to CMS. Please contact program office for application deadline.

Award Procedure:

States selected to participate in the pilot were notified during December 2004. Please see Section VI "Award Administration Information" of the solicitation for further information regarding the award procedures.

Deadlines:

Please contact the program for the deadline for States to apply to participate in the pilot program.

Range of Approval/Disapproval Time:

The pilot program will last through FY 2007 or September 30, 2007.

Appeals:

There are no formal appeals procedures. If a State's application is not selected, the reasons for non-selection will be fully stated.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project Period: Date of grant awards through September 30, 2007.

Reports:

Periodic reports are due to CMS in accordance with the timeframes established by CMS.

Audits:

Payment transactions identifiable to the Background Checks Pilot Program are subject to audit by the Secretary or his/her agent.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least three years or until resolution of any audit questions.

Account Identification:

75-0516-0-1-551.

Obligations:

FY 07 \$16,000; FY 08 \$1,184,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Selected pilot States can expect to receive between \$500,000 to \$5 million in a grant award for the entire 3-year pilot period. Please refer to Section II.2. "Amount and Number of Grants to be Awarded" of the solicitation package for additional information.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Amber Wolfe, Center for Medicaid and State Operations, Survey and Certification Group, 7500 Security Boulevard, Baltimore, MD 21244. Telephone: (410) 786-6773.

Web Site Address:

<http://www.cms.hhs.gov/medicaid/survey-cert/bcp.asp>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Please refer to Section V.1. "Application Review Information, Criteria" of the solicitation for the complete review and selection criteria.

93.786 STATE PHARMACEUTICAL ASSISTANCE PROGRAMS

(SPAP(s))

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES ADMINISTRATION

AUTHORIZATION:

Medicare Prescription Drug, Improvement and Modernization Act of 2003, Section 1860D-23(d) of the Social Security Act as established by Public Law 108-173.

OBJECTIVES:

To provide Federal funding for grants to States with State Pharmaceutical Assistance Programs (SPAPs) for the purpose of educating SPAP participants about Medicare Part D coverage. These funds may also be used to provide technical assistance, phone support, and counseling in order to help SPAP participants eligible for the Medicare Part D benefit select and enroll in Part D plans.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Grants will be awarded to States in accordance with the requirements of 1860D-23 of the Act and 45 CFR Part 92 and in accordance with their application as approved by the Centers for Medicare and Medicaid Services (CMS).

Applicant Eligibility:

States with approved applications for transitional grants for their State Pharmaceutical Assistance Programs, under Section 1860D-23 (d) of the Social Security Act. Excludes Commonwealths and Territories.

Beneficiary Eligibility:

States with approved applications for transitional grants for their State Pharmaceutical Assistance Programs, under Section 1860D-23 (d) of the Social Security Act. Excludes Commonwealths and Territories.

Credentials/Documentation:

Federal funds must go to a designated State Agency that will meet State requirements.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Please contact program office for application deadline date. States with SPAPs that qualify for the transitional grant will be notified of application approval and the amount of approval no later a month following the application deadline.

Award Procedure:

Following approval of the grant application by CMS, an award will be issued to the State in the amount as determined by the number of Medicare beneficiaries enrolled in the program as of the application deadline.

Deadlines:

Please contact the program office for application deadline.

Range of Approval/Disapproval Time:

Three months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no matching requirements. The amount for each State is determined based on the number of Medicare beneficiaries enrolled in the program by the deadline date.

Length and Time Phasing of Assistance:

Project Period: 10/1/04 through 9/30/06. CMS will issue grant awards to the approved States annually at the beginning of FY 05 and FY 06.

Reports:

Final financial reports are due to CMS in accordance with the timeframes established by CMS after the end of the project period.

Audits:

Payment transactions may be audited by the Secretary or his/her agent.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least 3 years or until resolution of any audit questions. Property records must be retained in accordance with CMS Grants Policy Statement requirements.

Account Identification:

75-0516-0-1-551.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

This program was developed under the Medicare Modernization Act and initiated by Public Law 108-173. Authority to provide funding was for 2 years (FY 2005 and FY 2006).

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program were authorized under Section 1860D-23 of the Social Security Act, as enacted under Public Law 108-173, were published on December 15, 2003.

Regional or Local Office:

None.

Headquarters Office:

Deborah Abshire, Center for Medicaid and State Operations, Division of Financial Management, 7500 Security Boulevard, Baltimore, MD 21224. Telephone: (410) 786-9291. E-mail: dabshire@cms.hhs.gov.

Web Site Address:

<http://www.cms.hhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.789 ALTERNATIVES TO PSYCHIATRIC RESIDENTIAL TREATMENT FACILITIES FOR CHILDREN

(Demonstration Projects Regarding Home and Community-Based Alternatives to Psychiatric Residential Treatment Facilities for Children)

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 6063, Deficit Reduction Act of 2005, Public Law 109-171.

OBJECTIVES:

Over the last decade, Psychiatric Residential Treatment Facilities (PRTFs) have become the primary provider for youth with serious emotional disturbances requiring an institutional level of care. However, since they are not recognized as hospitals, nursing facilities or intermediate care facilities for the mentally retarded, many States have been unable to use the 1915(c) waiver authority to provide home and community-based alternatives to care, which would keep the youth in their homes and with their families. Section 6063 of the Deficit Reduction Act of 2005 addresses this issue by providing up to \$217 million to up to 10 States to develop demonstration programs that provide home and community-based services to youth as alternatives to PRTFs. CMS anticipates awarding each successful applicant between \$21.7 and \$50 million. The PRTF Demonstration is authorized for up to 5 years. Payments may not be made to States after fiscal year 2011. CMS will review and approve each State's Implementation Plan prior to allowing States to access funds for Federal reimbursement of services under this grant. Section 6063 also provides \$1 million for a National Demonstration Evaluation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Budget Neutrality: In conducting these demonstration projects, The Secretary of Health and Human Services shall ensure that the aggregate payments made by the Secretary under title XIX of the Social Security Act (42 U.S.C. 1396 et seq.) do not exceed the amount which the Secretary estimates would have been paid under the title, if the demonstration projects under Section 6063 had not been implemented. Any funding allocated for the Federal match for home and community-based services provided under the demonstration for the first year of this grant that remain unspent will be carried over to the next year of the Grant. **Indirect Costs:** The provisions of the OMB Circular A-87 govern reimbursement of indirect costs under this solicitation. A copy of OMB Circular A-87 is available online at: <http://www.whitehouse.gov/omb/circulars/a087/a087.html>.

Applicant Eligibility:

Any single State Medicaid Agency, State Mental Health Agency, or instrumentality of the State may apply for this Demonstration Grant. Only one application can be submitted for a given State. By "State" we refer to the definition provided under 45 CFR 74.2 as "any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments." By "territory or possession" we mean Guam, the U.S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

The level of care for Medicaid eligible individuals for this demonstration at a minimum must be under the age of 21 and require the need for a PRTF as defined in the State's Medicaid State Plan. For the purposes of this demonstration, youth are defined as "any child, adolescent or young adult under the age of 21". States shall ensure that each participant under the demonstration meets this level of care criterion to participate in the demonstration. Further, States may elect to add additional criteria to carve out or target a specific sub-

population to receive home and community-based services under this demonstration. As a part of the application process, States shall submit a copy of the level of care assessment used to assess eligibility for participation in this demonstration. PRTFs are defined as any non-hospital facility with a provider agreement with a State Medicaid Agency to provide the inpatient services benefit to Medicaid-eligible individuals under the age of 21 (CFR 42.441.150 - 441.182). The facility must be accredited by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO) or any other accrediting organization with comparable standards recognized by the State. Additionally PRTFs must comply with Conditions of Participation on the use of restraint and seclusion (CFR 483.350 - 483.376).

Credentials/Documentation:

Each application for this Demonstration Grant must include a letter of endorsement from the Director of the Mental Health Authority and the State Medicaid Director, if the applicant is not the single State Medicaid Agency/State Agency.

Preapplication Coordination:

Applicants are encouraged to submit a non-binding Notice of Intent to Apply. Notices of Intent to Apply are not required and their submission or failure to submit a notice has no bearing on the scoring of proposals received. But receipt of such notices enables CMS to better plan for the application review process. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must submit their applications electronically through <http://www.grants.gov>. Please note when submitting your application electronically, you are required, additionally, to mail a signed SF 424 to Nicole Nicholson, Centers for Medicare & Medicaid Services, Office of Operations Management, Acquisition and Grants Group, C2-21-15 Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. The mailed SF 424 form may be received at the Centers for Medicare & Medicaid Services within 2 business days of the application closing date.

Award Procedure:

How the Merit of Applications Will Be Determined: CMS will employ a multiphase review process to determine the applications that will be reviewed and the merit of the applications that are reviewed. The multiphase review process includes the following: "Applications will be screened by Federal staff to determine eligibility for further review using the criteria detailed in the 'Eligibility Information' section of the solicitation. Applications that are received late or fail to meet the eligibility requirements as detailed in the 'Applicant Eligibility' section of this solicitation will not be reviewed." Applications will be objectively reviewed by a panel of experts, the exact number and composition of which will be determined by CMS at its discretion, but may include private sector subject matter experts, beneficiaries of Medicaid supports, and Federal and Applicant policy staff. The review panels will utilize the objective criteria described in the "Application Review Criteria Information" section of the solicitation to establish an overall numeric score for each application. The results of the objective review of applications will be used to advise the approving CMS official. Additionally, CMS staff will make final recommendations to the approving official after ranking applications using the scores and comments from the review panel and weighing other factors as described below. Factors Other than Merit that May be Used in Selecting Applications for Award: CMS may assure reasonable balance among the grants to be awarded in terms of key factors such as geographic distribution and broad target group representation. CMS may redistribute grant funds (as detailed in the "Award Information" section of the solicitation) based upon the number and quality of applications received. (e.g., to adjust the minimum or maximum awards permitted or adjust the aggregate amount of Federal funds allotted to a particular category of grants).

Deadlines:

Please visit <http://www.grants.gov> for application deadline. For information on how to get started with Grants.gov, please visit http://www.grants.gov/applicants/get_registered.jsp. We strongly recommend that you do not wait until the application deadline date to begin the application process through Grants.gov. We recommend you visit Grants.gov at least 30 days prior to filing your application to fully understand the process and requirements. We encourage applicants to submit well before the closing date.

Range of Approval/Disapproval Time:

Successful applicants will receive a Financial Assistance Award (FAA) signed and dated by the CMS Grants Management Officer. The FAA is the document authorizing the grant award and will be sent through the U.S. Postal Service to the applicant organization as listed on its SF 424. Any communication between CMS and applicants prior to issuance of the FAA is not an authorization to begin performance of a project.

Appeals:

Appeals are governed by Title 45--Public Welfare. SUBTITLE A--DEPARTMENT OF HEALTH AND HUMAN SERVICES PART 16--PROCEDURES OF THE DEPARTMENTAL GRANT APPEALS BOARD.

Renewals:

Any funding allocated for the Federal match for home and community-based services provided under the demonstration for the first year of this grant that

remain unspent will be carried over to the next year of the Grant. Funding for years 2008 - 2011 will be awarded on a Continuation Grant to each State based on their approved Financial Neutrality Formula and updated Implementation Plan. Any funding allocated for the Federal match for home and community-based services provided under the demonstration that remains unspent will be carried over to the next year of the Grant.

Formula and Matching Requirements:

A State receiving an award under this solicitation will receive reimbursement for home and community-based services provided under the demonstration on a quarterly basis at a rate equal to the State's Federal Medical Assistance Percentage (FMAP). Administrative costs will be reimbursed according to the requirements of CFR 42, Section 433.15.

Length and Time Phasing of Assistance:

The demonstration period is five years. Budget Period: The budget period is from FY 2007 through FY 2011.

Reports:

Financial Status Report, form (SF-269), will be required to be submitted semi-annually. This financial status report will account for all uses of grant monies during each reporting period. During the pre-implementation period, CMS will work with the grantees to determine if additional reporting requirements imposed. At the end of each demonstration grant year, States will be required to produce documentation that they have not exceeded the determined budget ceiling and meet all CMS financial requirements. The format of this financial report will be determined during the IP phase of the Demonstration Semi-Annual Progress Reports: Web-based reports in a pre-determined format will be required to be submitted semi-annually. The submission of the finalized IP will be due no later than 9 months after receipt of the Notice of Financial Assistance Award. The IP will be considered the First report due under this demonstration.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997).

Records:

Required to follow 45 CFR 74.53.

Account Identification:

75-0516-0-1-551.

Obligations:

FY 07 \$21,325,721; FY 08 \$37,168,000; and FY 09 est \$49,168,000.

Range and Average of Financial Assistance:

Floor: \$21 million; Ceiling \$21 million for FY 07; \$37 million for FY 08.

PROGRAM ACCOMPLISHMENTS:

This is a new grant demonstration with first year awards made in FY 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

(CFR 42, 441.150 - 441.182) PRTFs are defined as any non-hospital facility with a provider agreement with a State Medicaid Agency to provide the inpatient services benefit to Medicaid-eligible individuals under the age of 21. The facility must be accredited by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO) or any other accrediting organization with comparable standards recognized by the State. (CFR 483.350 - 483.376) Conditions of Participation on the use of restraint and seclusion). Under this demonstration States are granted the same waiver provisions provided to States who operate a section 1915 (c) waiver program. The three waiver provisions of Title XIX of the Social Security Act (the Act) are as follows: 1902 (a)(10)(B) (Comparability) The waiver of this provision of the Act permits a State to limit the provision of waiver services to Medicaid beneficiaries who require the level of care in an institutional setting, are in the target group(s) specified in the waiver, and offer services to waiver participants that are not provided to other Medicaid beneficiaries. 1902(a) (1) (State- wide). The Secretary may grant a waiver of this provision of the Act in order to permit a State to limit the operation of a waiver to specified geographic areas of the State; and 1902(a) (10) (C) (i) (III) (Income and Resources for the Medically Needy). A State may request a waiver of this provision in order to apply institutional income and resources rules for the medically needy to persons in the community who otherwise qualify for waiver services. Additionally, States program design under this demonstration shall comply with existing Medicaid statutory and regulatory requirements governing the administration and operation of a section 1915 (c) waiver program, especially the six statutory assurances that are located in 42 CFR 441.302. HCBS waiver application for more detailed guidance on this subject found at: http://www.cms.hhs.gov/HCBS/02_QualityToolkit.asp#TopOfPage. By "State" we refer to the definition provided under 45 CFR 74.2 as "any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments. By "territory or possession" we mean Guam, the U. S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands. CMS will reimburse States for home and community-based services provided under the demonstration on a quarterly basis at a rate equal to the State's Federal Medical Assistance Percentage (FMAP). (See Attachment 6 in the solicitation materials, for a list of home and community-based service categories). Administrative costs will be reimbursed according to the requirements of CFR 42, 433.15. A complete electronic application package, including all required forms, for this

demonstration grant is available at https://apply.grants.gov/forms_apps_idx.html. Standard application forms and related instructions are available online at <http://gsa.gov/forms>. Standard forms are available as detailed in, Section V.A, Address to Request Application Package. The following standard forms must be completed with an original signature and enclosed as part of the proposal: SF 424: Official Application for Federal Assistance (see Note below*) SF 424A: Budget Information SF 424B: Assurances Non-Construction Programs SF LLL: Disclosure of Lobbying Activities PHS-5161-1 (7/00) Additional Certifications - can be found at the following website:

http://apply.grants.gov/forms/sample/SSA_additionalassurances_VI.0.pdf Usual Requirements that must be adhered to by law and regulation: A. Specific administrative and policy requirements of grantees as outlined in 45 CFR 74 and 45 CFR 92, apply to this grant opportunity. B. All grantees receiving awards under these grant programs must meet the requirements of: Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, The Age Discrimination Act of 1975, Hill-Burton Community Service nondiscrimination provisions, and Title II Subtitle A of the Americans with Disabilities Act of 1990.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Ronald Hendler, Centers for Medicare & Medicaid Services, Center for Medicaid and State Operations, 7500 Security Boulevard, Mail Stop S2-14-26, Baltimore, MD 21244. Telephone: (410) 786-2267. E-mail address: ronald.hendler1@cms.hhs.gov.

Web Site Address:

<http://www.cms.hhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The Application submission will include a systems assessment, demonstration design and Financial Neutrality Form, following the application instructions in Section IV of the solicitation. Through a competitive process, 10 applications will receive awards. The 10 States receiving awards will be required to submit an Implementation Plan. States receiving an award under this solicitation will have nine months to complete the Implementation Plan for Centers for Medicare & Medicaid Services (CMS) review and approval. CMS will employ a multiphase review process to determine the applications that will be reviewed and the merit of the applications that are reviewed. The multiphase review process includes the following: Applications will be screened by Federal staff to determine eligibility for further review using the criteria detailed in the Eligibility Information section of this solicitation. Applications that are received late or fail to meet the eligibility requirements as detailed in the Applicant Eligibility section of this solicitation will not be reviewed. Applications will be objectively reviewed by a panel of experts, the exact number and composition of which will be determined by CMS at its discretion, but may include private sector subject matter experts, beneficiaries of Medicaid supports, and Federal and Applicant policy staff. The review panels will utilize the objective criteria described in the Application Review Criteria Information section of this solicitation to establish an overall numeric score for each application. The results of the objective review of applications will be used to advise the approving CMS official. Additionally, CMS staff will make final recommendations to the approving official after ranking applications using the scores and comments from the review panel and weighing other factors as described below. Factors Other than Merit that May be Used in Selecting Applications for Award: CMS may assure reasonable balance among the grants to be awarded in terms of key factors such as geographic distribution and broad target group representation. CMS may redistribute grant funds (as detailed in the Award Information section of this solicitation) based upon the number and quality of applications received. (e.g., to adjust the minimum or maximum awards permitted or adjust the aggregate amount of Federal funds allotted to a particular category of grants).

93.790 ALTERNATE NON-EMERGENCY SERVICE PROVIDERS OR NETWORKS

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 6043, Emergency Room Co-payments for Non-Emergency Care, Deficit Reduction Act of 2005, Public Law 109-171, enacted February 8, 2006.

OBJECTIVES:

To provide Federal payments to States for the establishment of alternate non-emergency service providers, or networks of such providers to provide non-emergency care.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds from this program may be used for the establishment of alternate non-emergency service providers, or networks of such providers to provide non-emergency care. States may not use funds as the State's share of the Medicaid program costs or as supplemental Disproportionate Share Hospital (DSH) payments.

Applicant Eligibility:

Grant applicants are limited to the 51 State Medicaid Agencies and the Medicaid Agencies in the Federal Territories.

Beneficiary Eligibility:

Grant applicants are limited to the 51 State Medicaid Agencies and the Medicaid Agencies in the Federal Territories.

Credentials/Documentation:

Federal funds will be directed to the State Medicaid Agency with the grant award amount identified in the award approval letter. Permissible administrative costs will be determined in accordance with the Office of Management and Budget (OMB) circular No. 87 "Cost Principals for States and Local Governments".

Preapplication Coordination:

The Centers for Medicare and Medicaid Services (CMS) will issue guidance to States via a State Medicaid Director (SMD) letter regarding the application requirements. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A State may submit only one application. Applications must be submitted electronically to CMS via E-mail: Matransgrant@cms.hhs.gov.

Award Procedure:

CMS will make a decision for each application received. Each applicant will receive written notification of CMS' decision. Applicants approved for a grant award must submit a letter of acceptance to CMS within 30 days of the date of the award, agreeing to the terms and conditions of the award letter.

Deadlines:

Please contact CMS for application deadline.

Range of Approval/Disapproval Time:

60 to 90 days.

Appeals:

Not applicable.

Renewals:

None.

Formula and Matching Requirements:

In providing for payments to States under subsection 1903(y) of the Act, the Secretary shall provide preference to States that establish, or provide for, alternate non-emergency services providers or networks of providers that: (1) serve rural or underserved areas where Medicaid beneficiaries may not have regular access to providers of primary care services; or (2) providers are in partnership with local community hospitals and/or (3) States who submit a State plan amendment for section 1916 A(e) for hospitals to impose cost sharing for non-emergency services provided in a hospital emergency department. No matching funds are required for this program.

Length and Time Phasing of Assistance:

Project Period: Under this legislation, a total of \$50,000,000 over 4 years (FY 2006-2009) has been made available for the establishment of alternate non-emergency service providers or networks of such providers to provide non-emergency care. CMS will have two separate competitive grant solicitations as follows: 1st FFY 2006 and 2007; 2nd FFY 2008 and 2009. Budget Period: FY 2006, 2007, 2008, and 2009.

Reports:

States will be required to submit quarterly reports to CMS related to program status and financial reports as identified in the terms and conditions post award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997).

Records:

Financial records, supporting documents and progress/annual reports and all other records pertinent to this program shall be retained three years.

Account Identification:

75-0516-0-1-551.

Obligations:

FY 07 \$12,500,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Grant funds will be allocated based on the number of States that apply and meet the grant criteria.

PROGRAM ACCOMPLISHMENTS:

None. New Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

CMS will provide application guidance in the form of a State Medicaid Director letter. The letter may be located at www.cms.hhs.gov/Grants/AlternaNonEmergServ/.

Regional or Local Office:

Not applicable.

Headquarters Office:

Lyn Killman, Health Insurance Specialist, Centers for Medicare and Medicaid

Services, 7500 Security Boulevard, Mail Stop S2-01-16, Baltimore, MD 21244. Telephone: (410) 786-5951 E-mail: Lyn.Killman@cms.hhs.gov and Wanda Pigatt-Canty, Health Insurance Specialist, Centers for Medicare and Medicaid Services, 7500 Security Boulevard, Mail Stop S2-01-16, Baltimore, MD 21244. Telephone: (410) 786-6177. E-mail: wanda.pigatt-canty@cms.hhs.gov.

Web Site Address:

www.cms.hhs.gov/GrantsAlternaNonEmergServ/.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed by a team of CMS staff. The applicant selection criteria will consist of the following but will not be limited to only these factors: the project abstract, project narrative and budget.

93.791 MONEY FOLLOWS THE PERSON REBALANCING DEMONSTRATION

(Money Follows the Person Demonstration)

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 6071, Public Law Deficit Reduction Act of 2005, Public Law 109-171.

OBJECTIVES:

For more than a decade, States have been asking for the tools to modernize their Medicaid programs. With the enactment of the Deficit Reduction Act (DRA) of 2005, States now have new options to rebalance their long-term support programs to allow their Medicaid programs to be more sustainable while helping individuals achieve independence. The Money Follows the Person (MFP) Rebalancing Demonstration (MFP Demo), created by section 6071 of the Deficit Reduction Act of 2005 (P.L. 109-171), supports State efforts to "rebalance" their long-term support systems by offering \$1.75 billion over 5 years in competitive grants to States. Specifically, the demonstration will support State efforts to "Rebalance their long-term support system so that individuals have a choice of where they live and receive services." Transition individuals from institutions who want to live in the community. Promote a strategic approach to implement a system that provides person centered services and a quality management strategy that ensures the provision of, and improvement of such services in both home and community-based settings and institutions. The demonstration provides for enhanced federal medical assistance percentage (FMAP) for 12 months for qualified home and community-based services for each person transitioned from an institution to the community during the demonstration period. Eligibility for transition is dependent upon residence in a qualified institution. The State may establish the minimum timeframe for residence between 6 months and two years as required by Section 6071(b)(2)(A) of the DRA. The State must continue to provide community-based services after the 12 month period for as long as the person needs community services and is Medicaid eligible. Demonstration grants will be awarded to States from January 1, 2007 through September 30, 2011. CMS will accept one proposal from each State interested in participating in the demonstration program. The Single State Medicaid Agency must be the lead applicant. States must propose a demonstration period of no less than two consecutive fiscal years but no greater than five years. The State will indicate in its application the targeted group(s) and number of individuals it intends to transition. In making awards, CMS will give preference to States if they include multiple target groups including older individuals, and if they propose to deliver self-directed services. The number of demonstration projects approved by CMS depends largely on the scope (i.e., proposed enrollment and breadth of services) and quality of the proposed projects. Under the demonstration project, the State must propose a system of Medicaid home and community-based care that will be sustained after the demonstration period and is deemed qualified by the Secretary. Specifically, the program must be conducted in conjunction with a "qualified HCBS program" which is a program that is in operation (or approved) in the State for such individuals in a manner that assures continuity of Medicaid coverage of services in the qualified HCBS program for eligible individuals. States may also propose to enhance the services they will provide during the demonstration period to achieve greater success with transition. States will be required to participate in a national qualitative and quantitative evaluation conducted by CMS. Data collected on a national level will help evaluate the core objectives of the statute that are listed below.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Indirect Costs: The provisions of the OMB Circular A-87 govern reimbursement of indirect costs under this solicitation. A copy of OMB Circular A-87 is available online at: <http://www.whitehouse.gov/omb/circulars/a087/a087.html>.

Applicant Eligibility:

Applicants must be any single State Medicaid Agency, State Mental Health

Agency, or instrumentality of the State may apply for this Demonstration Grant. Only one application can be submitted for a given State. By "State" we refer to the definition provided under 45 CFR 74.2 as "any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments." By "territory or possession" we mean Guam, the U. S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

As defined in Section 6071(b)(2) of the DRA, the term "eligible individual" means, with respect to an MFP demonstration project of a State, an individual in the State who, immediately before beginning participation in the MFP demonstration project: (i) resides (and has resided, for a period of not less than 6 months or for such longer minimum period, not to exceed 2 years, as may be specified by the State) in an inpatient facility; (ii) is receiving Medicaid benefits for inpatient services furnished by such inpatient facility; and (iii) with respect to whom a determination has been made that, but for the provision of home and community-based long-term care services, the individual would continue to require the level of care provided in an inpatient facility and, in any case in which the State applies a more stringent level of care standard as a result of implementing the State plan option permitted under section 1915 (i) of the Social Security Act, the individual must continue to require at least the level of care which had resulted in admission to the institution.

Credentials/Documentation:

Applications can only be submitted by the single State Medicaid agency. A cover letter must accompany the application. The cover letter must be signed by the State Medicaid Director, and must indicate the title of the project, the principal contact person, the amount of funding requested, and the names of the major partners actively collaborating in the project.

Preapplication Coordination:

Applicants are encouraged to submit a non-binding Notice of Intent to Apply. Notices of Intent to Apply are not required and their submission or failure to submit a notice has no bearing on the scoring of proposals received. But receipt of such notices enables CMS to better plan for the application review process. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must submit their applications electronically through <http://www.grants.gov>. Please note when submitting your application electronically, you are required, additionally, to mail a signed SF 424 to Nicole Nicholson, Centers for Medicare and Medicaid Services, Office of Operations Management, Acquisition and Grants Group, C2-21-15 Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. The mailed SF 424 form may be received at the Centers for Medicare and Medicaid Services within 2 business days of the application closing date.

Award Procedure:

An independent review of all applications will be conducted by a panel of experts including members of the disability community, advocates, providers and staff from State and Federal agencies including CMS. The review panel will assess each application to determine the merits of the proposal and the extent to which it furthers the purposes of the demonstration program. The panel will evaluate each application for further review by CMS. CMS reserves the right to request that States revise or otherwise modify certain sections of their proposals based on the recommendations of the panel and the budget. A low score in one or two areas, even if offset by high scores in other areas, may result in a rejection of the proposal. Final approval of demonstration projects will be made by the Administrator of CMS after consideration of the comments and recommendations of the review panelists, program office recommendations, and the availability of funds. CMS reserves the right to approve or deny any or all proposals for funding. CMS seeks to achieve reasonable balance among the grants to be awarded in a particular category in terms of key factors such as geographic distribution and broad target group representation. CMS may redistribute grant funds (as detailed in the "Award Information" section of this solicitation) based upon the number and quality of applications received. (e.g., to adjust the minimum or maximum awards permitted or adjust the aggregate amount of Federal funds allotted to a particular category of grants).

Deadlines:

The deadline for applications is November 1, 2009, (11:59pm Eastern Standard Time).

Range of Approval/Disapproval Time:

Successful applicants will receive a Financial Assistance Award (FAA) signed and dated by the CMS Grants Management Officer. The FAA is the document authorizing the grant award and will be sent through the U.S. Postal Service to the applicant organization as listed on its SF 424. Any communication between CMS and applicants prior to issuance of the FAA is not an authorization to begin performance of a project. Unsuccessful applicants will be notified by letter, sent through the U.S. Postal Service to the applicant organization as listed on its SF 424, after December 1, 2009.

Appeals:

Appeals are governed by Title 45--Public Welfare SUBTITLE A--DEPARTMENT OF HEALTH AND HUMAN SERVICES PART 16--

PROCEDURES OF THE DEPARTMENTAL GRANT APPEALS BOARD.

Renewals:

Any funding allocated for the Federal match for home and community-based services provided under the demonstration for the first year of this grant that remain unspent will be carried over to the next year of the Grant.

Formula and Matching Requirements:

A State receiving an award under this solicitation will receive reimbursement for home and community-based services provided under the demonstration on a quarterly basis at the following Federal Medical Assistance Percentage (FMAP) rates: Service Category Match rate for 12-month demonstration period "Qualified HCB program" services Enhanced match HCB Demonstration services Enhanced match Supplemental Demonstration services Regular FMAP rate Administrative costs will be reimbursed according to the requirements of CFR 42, 433.15.

Length and Time Phasing of Assistance:

The demonstration period is five years. The project period is from January 1, 2007 through September 30, 2011. The budget period is from January 1, 2007 through September 30, 2011.

Reports:

Financial Status Report, form (SF-269), will be required to be submitted semi-annually. This financial status report will account for all uses of grant monies during each reporting period. During the pre-implementation period, CMS will work with the grantees to determine if additional reporting requirements imposed. At the end of each demonstration grant year, States will be required to produce documentation that they have not exceeded the determined budget ceiling and meet all CMS financial requirements. The format of this financial report will be determined during the IP phase of the Demonstration Semi-Annual Progress Reports: Web-based reports in a pre-determined format will be required to be submitted semi-annually. The submission of the finalized IP will be due no later than 9 months after receipt of the Notice of Financial Assistance Award. The IP will be considered the First report due under this demonstration.

Audits:

Audits must be in accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997).

Records:

Grantees are required to follow 45 CFR 74.53.

Account Identification:

75-0516-0-1-551.

Obligations:

FY 07 \$45,935,063; FY 08 \$350,470,000; and FY 09 est \$349,270,000.

Range and Average of Financial Assistance:

There is not a prescribed or predetermined maximum floor or ceiling grant award. Each State is unique in the numbers of individuals that will be projected for transition under the demonstration grant. In addition, the costs of individuals transitioning to community settings may vary, by targeted population. Applicants are advised to request a grant award that is sufficient in the amount needed to transition the projected individuals into community settings. CMS reserves the right to reduce the requested grant award, based on the number and size of additional grant awards given under this demonstration, as well as because of concerns contained within a State's application (i.e., concerns with the number or costs of individuals projected for transition by the individual State).

PROGRAM ACCOMPLISHMENTS:

This is a new demonstration grant opportunity. First year awards were made available after January 1, 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

To promote effective outcomes from the demonstration, the statute provides waiver authority for four provisions of title XIX of the Social Security Act, to the extent necessary to enable a State initiative to meet the requirements and accomplish the purposes of the demonstration. These provisions are: 1. Statewidehood (Section 1902(a)(1) of the Social Security Act) - in order to permit implementation of a State initiative in a selected area or areas of the State. 2. Comparability (Section 1902(a)(10)(B)) - in order to permit a State initiative to assist a selected category or categories of individuals enrolled in the demonstration. 3. Income and Resource Eligibility (Section 1902(a)(10)(C)(i)(III)) - in order to permit a State to apply institutional eligibility rules to individuals transitioning to community-based care. 4. Provider agreement (Section 1902(a)(27)) - in order to permit a State to implement self-direction services in a cost-effective manner for purposes of this demonstration program. By "State" we refer to the definition provided under 45 CFR 74.2 as any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments. By "territory or possession" we mean Guam, the U. S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands. CMS will reimburse States for home and community-based services provided under the demonstration on a quarterly basis at a rate equal to the State's Federal Medical Assistance Percentage (FMAP) or the State's Enhanced FMAP, as specified in the solicitation. Administrative costs will be reimbursed according to the requirements of CFR 42, 433.15. A complete electronic application package,

including all required forms, for this demonstration grant is available at https://apply.grants.gov/forms_apps_idx.html Standard application forms and related instructions are available online at <http://gsa.gov/forms>. Standard forms are available as detailed in, Section V.A, Address to Request Application Package. The following standard forms must be completed with an original signature and enclosed as part of the proposal: SF 424: Official Application for Federal Assistance (see Note below*) SF 424A: Budget Information SF 424B: Assurances - Non-Construction Programs SF LLL: Disclosure of Lobbying Activities PHS-5161-1 (7/00) Additional Certifications - can be found at the following website: http://apply.grants.gov/forms/sample/SSA_additionalassurances_VI.0.pdf Usual Requirements that must be adhered to by law and regulation: Specific administrative and policy requirements of grantees as outlined in 45 CFR 74 and 45 CFR 92, apply to this grant opportunity. All grantees receiving awards under these grant programs must meet the requirements of: Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, The Age Discrimination Act of 1975, Hill-Burton Community Service nondiscrimination provisions, and Title II Subtitle A of the Americans with Disabilities Act of 1990.

Regional or Local Office:

None.

Headquarters Office:

Headquarters Office Program Contact: Cathy M. Cope, Centers for Medicare and Medicaid Services, 7500 Security Boulevard, Mail Stop S2-14-26, Baltimore, MD 21244. Telephone: (410) 786-8287. Web Site Address: http://www.cms.hhs.gov/NewFreedomInitiative/02_WhatsNew.asp.

Web Site Address:

www.cms.hhs.gov/NewFreedomInitiative/02_WhatsNew.asp.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Awards have not been made for this demonstration. Deadline for application is November 1, 2006.

CRITERIA FOR SELECTING PROPOSALS:

The application for this demonstration is comprised of the following 4 parts: Part 1: Systems Assessment and Gap Analysis Part 2: Demonstration Design Part 3: Preliminary Operational Plan and Budget Part 4: Assurances (as defined by statute) An independent review of all applications will be conducted by a panel of experts including members of the disability community, advocates, providers and staff from State and Federal agencies including CMS. The review panel will assess each application to determine the merits of the proposal and the extent to which it furthers the purposes of the demonstration program. The panel will evaluate each application for further review by CMS. CMS reserves the right to request that States revise or otherwise modify certain sections of their proposals based on the recommendations of the panel and the budget. A low score in one or two areas, even if offset by high scores in other areas, may result in a rejection of the proposal. Final approval of demonstration projects will be made by the Administrator of CMS after consideration of the comments and recommendations of the review panelists, program office recommendations, and the availability of funds. CMS reserves the right to approve or deny any or all proposals for funding. CMS seeks to achieve reasonable balance among the grants to be awarded in a particular category in terms of key factors such as geographic distribution and broad target group representation. CMS may redistribute grant funds (as detailed in the "Award Information" section of this solicitation) based upon the number and quality of applications received. (e.g., to adjust the minimum or maximum awards permitted or adjust the aggregate amount of Federal funds allotted to a particular category of grants).

93.793 MEDICAID TRANSFORMATION GRANTS

(Medicaid Transformation Grants)

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Deficit Reduction Act of 2005, Public Law 109-171, enacted February 8, 2006, Section 6081, Medicaid Transformation Grants.

OBJECTIVES:

To provide Federal payments to States for the adoption of innovative methods to improve the effectiveness and efficiency in providing Medical Assistance.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds from the program may be used to fund the following examples of innovative methods to improve the effectiveness and efficiency in providing Medical Assistance and are not limited to: (1) methods for reducing patient error rates through the implementation and use of electronic health record, electronic clinical decision support tool, or e-prescribing programs, (2) methods for improving rates of collection from estates of amounts owed under Medicaid,

(3) methods to reduce waste, fraud and abuse under Medicaid, such as reducing improper payment rates as measured by annual error rate measurement (PERM), (4) implementation of medication risk management program as part of a drug use review program under section 1927(g), (5) methods for reducing, in clinically appropriate ways Medicaid expenditures for covered outpatient drugs, particularly in the categories of greatest drug utilization, by increasing the utilization of generic drugs through the use of education programs and other incentives to promote greater use of generic drugs, and (6) methods of improving access to primary and specialty physician care for the uninsured using integrated university-based hospital and clinic systems. **RESTRICTIONS --** States may not use funds as the State's share of the Medicaid program costs or as supplemental Disproportionate Share Hospital (DSH) payments.

Applicant Eligibility:

Grant applicants are limited to the 51 State Medicaid Agencies and the Medicaid Agencies in the Federal Territories.

Beneficiary Eligibility:

State Medicaid Agencies and the Medicaid Agencies in the Federal Territories.

Credentials/Documentation:

Federal funds will be directed to the State Medicaid Agency with the grant award amount identified in the award approval letter. Permissible administrative costs will be determined in accordance with Office of Management and Budget (OMB), Circular No. 87, "Cost Principles for States and Local Governments."

Preapplication Coordination:

The Centers for Medicare and Medicaid Services (CMS) will issue guidance to States via a State Medicaid Director (SMD) letter regarding the application requirements. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A State may submit only one application. More than one program concept proposal and budget may be included in the application. Applications must be submitted electronically to CMS via E-mail: Matransgrant@cms.hhs.gov.

Award Procedure:

CMS will make a decision for each application received. Each applicant will receive written notification of CMS' decision. Applicants approved for a grant award must submit a letter of acceptance to CMS within 30 days of the date of the award, agreeing to the terms and conditions of the award letter.

Deadlines:

All applications are due to CMS by October 2, 2009.

Range of Approval/Disapproval Time:

60 to 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The law specifies that the method of allocating funds to States shall prefer States that design programs that target health providers that treat significant numbers of Medicaid beneficiaries. Such method shall provide that not less than 25 percent of such funds shall be allocated among States the population of which (as determined according to data collected by the United States Census Bureau) as of July 1, 2004, was more than 105 percent of the population of the respective State (as so determined) as of April 1, 2000. No matching funds are required for this program.

Length and Time Phasing of Assistance:

Project Period: Under the legislation, a total of \$150,000,000 has been made available for the Medicaid Transformation grants. \$75,000,000 is available for obligation in FY 2007 and another \$75,000,000 is available for obligation in FY 2008. All \$150,000,000 will be awarded in a single grant solicitation at the beginning of FY 2007 that will cover both years of the program. Funds for FY 2008 activities/obligations will not be available until FY 2008. Budget Period: FY 2007 and FY 2008.

Reports:

States will be required to submit an annual report to CMS related to the programs supported by this award and the report shall include at a minimum: (1) the specific uses of the funds (2) an assessment of quality improvements and clinical outcomes under this program and (3) estimates of cost savings resulting from this program. In addition, States will be required to submit financial reports as required by CMS.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997).

Records:

Financial records, supporting documents and progress/annual reports and all other records pertinent to this program shall be retained 3 years.

Account Identification:

75-0516-0-1-551.

Obligations:

(Grants) FY 07 \$75,000,000; FY 08 \$75,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Grant funds will be allocated based on the number of States that apply and meet the grant criteria.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

CMS will provide application guidance in the form of a State Medicaid Director letter. The letter may be located at www.cms.hhs.gov/MedicaidTransGrant/.

Regional or Local Office:

None.

Headquarters Office:

Program Contacts: Tonya Moore, Health Insurance Specialist, Centers for Medicare and Medicaid Services, 7500 Security Boulevard, Mail Stop S2-01-16, Baltimore, MD 21244. Telephone: (410) 786-0019, E-mail: Tonya.Moore@cms.hhs.gov and Wanda Pigatt-Canty, Health Insurance Specialist, Centers for Medicare and Medicaid Services, 7500 Security Boulevard, Mail Stop S2-01-16, Baltimore, MD 21244. Telephone: (410) 786-6177 E-mail: wanda.pigatt-canty@cms.hhs.gov.

Web Site Address:

www.cms.hhs.gov/MedicaidTransGrant/

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed by a team of CMS staff. The applicant selection criteria will consist of the following but will not be limited to only these factors: the project abstract, project narrative and budget.

93.794 REIMBURSEMENT OF STATE COSTS FOR PROVISION OF PART D DRUGS

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Authorized under Section 402 of the Social Security Amendment of 1967, as amended.

OBJECTIVES:

To ensure that the Medicare and Medicaid programs can respond expeditiously to the needs of the dual-eligible beneficiaries and beneficiaries who have enrolled in a Part D plan and have been determined to be eligible for the low-income subsidy. This demonstration would allow States, that have assisted with their dual eligible and low-income subsidy entitled populations in obtaining and accessing Medicare Part D coverage, to be reimbursed for their efforts.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

The demonstration permits Medicare payment to be made to States for amounts they have paid for a dual-eligible's Part D drugs, or a low-income subsidy entitled Part D plan enrollee's Part D drugs, to the extent that those costs are not otherwise recoverable from a Part D plan and are not required Medicaid cost-sharing on the part of the beneficiary. In addition to providing Medicare funds to reimburse amounts paid by States for Part D drugs, the demonstration would also provide payments for certain administrative costs incurred by States.

Applicant Eligibility:

State: Must have incurred costs in assisting dual eligibles and other low-income subsidy entitled beneficiaries receive the prescription medications they need.

Beneficiary Eligibility:

State: Must have incurred costs in assisting dual eligibles and other low-income subsidy entitled beneficiaries receive the prescription medications they need.

Credentials/Documentation:

Federal (Medicare) funds must go to a State Medicaid Agency with appropriately approved Section 402 demonstration proposals. Administrative costs will be determined in accordance with OMB Circular A-87 "Cost Principles for State and Local Governments".

Preapplication Coordination:

States are encouraged to work with CMS in the development of their section 402 Medicare demonstration proposal. This program is excluded from coverage under E.O. 12372.

Application Procedure:

States will complete an application as provided by CMS to request approval for participation in this Medicare demonstration.

Award Procedure:

Approved States will receive an initial payment based on CMS' review of submitted claims data to verify that the beneficiary is a dual eligible beneficiary or a low-income subsidy entitled beneficiary as defined in this demonstration and that the prescription drug is a Part D drug. CMS will also reimburse the State for additional costs determined by CMS to be eligible under the demonstration, including costs due to the State for drug payments that exceed plan payments, as well as allowed administrative costs specified in the demonstration application.

Deadlines:

The State costs eligible for reimbursement under this Medicare demonstration must be related to the provision of Part D drugs to dual eligible and low-income subsidy entitled beneficiaries dispensed during the period of January 1, 2009 and no later than March 31, 2009.

Range of Approval/Disapproval Time:

The State costs eligible for reimbursement under this Medicare demonstration must be related to the provision of Part D drugs to dual eligible and low-income subsidy entitled beneficiaries dispensed during the period January 1, 2009 to no later than March 31, 2009.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. It is being funded under the Federal Supplementary Medical Insurance Trust Fund. THE STATES ARE NOT TO USE THE MEDICARE FUNDING UNDER THIS DEMONSTRATION AS STATE MEDICAID MATCHING FUNDS.

Length and Time Phasing of Assistance:

Project Period: No payments shall be made for State costs associated with the provision of Part D drugs to dual-eligible and low-income subsidy entitled beneficiaries that are incurred after March 31, 2009. Budget Period: Qualifying costs are reimbursed in full.

Reports:

States must submit fiscal and statistical reports, as required by CMS.

Audits:

The States may be subject to audit by other Federal agencies including the Office of the Inspector General.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the demonstration shall be retained for at least three years or until resolution of any audit questions.

Account Identification:

75-8308-0-7-571.

Obligations:

(Grants) FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Floor: \$0; Ceiling: Federal contractor will compute the reconciliation payments the Federal government will make to the States for amounts the State paid in excess of Part D prescription drug plan payments and associated administrative costs realized during the Part D prescription drug plan transition period.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Contact the Regional Administrator, Centers for Medicare and Medicaid Services (see appendix IV of the Catalog for addresses and telephone numbers)

Headquarters Office:

Program Contact: Christine Hinds, Centers for Medicare and Medicaid Services, 7500 Security Boulevard, Mail Stop C1-26-12, Baltimore, MD 21244. Telephone: (410) 786-4578.

Web Site Address:

<http://www.cms.hhs.gov>.

RELATED PROGRAMS:

93.778, Medical Assistance Program; 93.774, Medicare_Supplementary Medical Insurance.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

93.822 HEALTH CAREERS OPPORTUNITY PROGRAM

(HCOP)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Section 739, 42 U.S.C. 293c, as amended;
Health Professions Education Partnership Act of 1998, Public Law 105-392.

OBJECTIVES:

The HCOP program strives to develop a more competitive applicant pool to build diversity in the health professions. The program's goal is to provide students from economically and educationally disadvantaged backgrounds an opportunity to develop the skills needed to successfully compete to enter and graduate from health professions schools, allied health professions schools, graduate programs in behavioral and mental health, and programs for the training of physician assistants.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Section 739 provides for assisting individuals from disadvantaged backgrounds to undertake education to enter a health or allied health profession. Grant funds may be used for: (1) recruitment; (2) facilitating entry; (3) counseling, mentoring and other services; (4) preliminary education and health research training; (5) financial aid/information dissemination; (6) primary care exposure activities; (7) development of a more competitive applicant pool; and (8) stipends.

Applicant Eligibility:

Eligible applicants include accredited schools of medicine, osteopathic medicine, public health, dentistry, veterinary medicine, optometry, pharmacy, allied health, chiropractic, podiatric medicine, public and nonprofit private schools that offer graduate programs in behavioral and mental health, programs for the training of physician assistants, and other public or private nonprofit health or educational entities.

Beneficiary Eligibility:

Students who meet the definition of either educationally or economically disadvantaged, and who express an interest in pursuing a health degree program are eligible for participation in an HCOP program during their primary, secondary pre-professional, and professional education. Students must be U.S. citizens, non-citizen nationals, or foreign nationals who possess a visa permitting permanent residence in the United States. An individual will be determined to be disadvantaged if he or she comes from a background that has inhibited the individual from obtaining the knowledge, skills, and abilities required to enroll in and graduate from a health professions school or program providing education or training in an allied health profession; or comes from a family with an annual income below a level based on low income thresholds according to family size published by the Bureau of the Census, adjusted annually for changes in the Consumer Price Index, and adjusted by the Secretary for use in health professions programs.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award issued from Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Approximately 4 months after receipt of applications.

Appeals:

None.

Renewals:

Competitive continuations may be submitted during the final budget period within an approved project period.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Projects periods are 3 years.

Reports:

A Uniform Summary Progress Report must be submitted for a second or subsequent budget period within a previously approved project period. An annual financial status report are required 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely

resolved.

Account Identification:
75-0350-0-1-550.

Obligations:
(Grants) FY 07 \$3,957,000; FY 08 est \$9,825,000; and FY 09 est not available.

Range and Average of Financial Assistance:
In FY 2008, it is estimated that there will be 1 competing continuation award and 14 new competing awards issued. The range of FY 2008 financial assistance is estimated to be \$66,083 to \$1,454,970, with an average award of \$618,803. A competitive application cycle is not planned for FY 2009.

PROGRAM ACCOMPLISHMENTS:
In FY 2007, there were 4 non-competing continuations. In FY 2008, it is estimated that there will be 15 HCOP projects.

REGULATIONS, GUIDELINES, AND LITERATURE:
This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:
Stuart Weiss, Acting Chief, Diversity Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-36, 5600 Fishers Lane, Rockville, MD 20857.

Headquarters Office:
Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:
www.hrsa.gov.

RELATED PROGRAMS:
None.

EXAMPLES OF FUNDED PROJECTS:
Summer and academic year projects were conducted offering preliminary education at pre-health professions schools and U.S. health and allied health professions schools for facilitating entry and retention activities for individuals from disadvantaged backgrounds.

CRITERIA FOR SELECTING PROPOSALS:
All competitive applications will be reviewed on the basis of: (1) The applicant's record in developing, training, and strengthening the academic performance of disadvantaged students throughout the educational pipeline, including health professions schools, (2) the needs assessment study, (3) the established relationship between the program's stated needs, objectives, and outcomes, (4) the project's plan for developing and expanding the pool of competitive health professions school applicants, (5) the qualifications and experience of the faculty and staff to implement and manage an HCOP program, as well as the experience of the faculty and staff in working with the proposed target group, (6) evidence that formal linkages have been established in order to create a more comprehensive HCOP program, (7) the project activities and their reasonability given the proposed level of staff effort, length of project period and budget requested, resources available, and linkages established, (8) the proposed methods for evaluating the project (including data collection, analysis and reporting procedures, quality control methods and type of personnel involved, (9) the budget's demonstration of effective utilization of grant funds and how reasonable is the request, (a) a detailed justification for each line item, (b) the level of in-kind support and other sources of funds, both Federal and non-Federal, the applicant proposes to utilize for carrying out the program, (c) the applicant's proposed plan for continuation of the proposed project beyond the federally-funded project period, (10) the demonstrated knowledge and expertise the applicant possess for coordinating activities with school districts and other community based entities, as well as identifying and leveraging resources from private, philanthropic, business and other government entities.

93.824 BASIC/CORE AREA HEALTH EDUCATION CENTERS

(AHEC)

FEDERAL AGENCY:
HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:
Public Health Service Act, Title VII, Section 751(a)(1), as amended; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:
The Basic/Core Area Health Education Centers (BAHEC) Program and Model State Supported Area Health Education Centers (MAHEC) Program goals are to assist schools to improve the distribution, diversity, supply, and quality of health personnel in the health services delivery system, and to attract and retain health

personnel in underserved areas. The AHEC Program awardees link the academic resources of medical schools and participating health professions schools with local educational and clinical sites, and thereby establish a network of community-based training sites to provide educational services to health professions students, faculty-preceptors and health care providers in underserved areas, and ultimately, to improve the delivery of health care in an underserved region or in an entire state. Emphasis is placed on community-based training of health professions students, primary care-oriented medical residents, local providers, and also 9-12 grade students. The BAHEC program assists schools in the initial planning, development and implementation of community-based Area Health Education Centers (AHECs) which assist schools and organize the training of at least three health professions disciplines at training-service delivery sites in rural and underserved areas, of a region or an entire state. Schools which complete Basic AHEC Program development, then compete for Model AHEC Program awards in partnership with affiliated (contracted) AHEC Centers to maintain and enhance/expand training programs and training sites responsive to local, State and Federal needs and priorities. The BAHEC and MAHEC programs embrace the goal of increasing the number of health professions graduates who ultimately practice in underserved areas. Both AHEC programs carry out health careers recruitment and enhancement activities to attract high school students from underserved areas into health careers.

TYPES OF ASSISTANCE:
Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:
Cooperative Agreements may not be used for construction, patient services, nor student tuition or stipends.

Applicant Eligibility:
Eligible applicants for Area Health Education Centers (AHEC) Program cooperative agreements under Section 751(a)(1) include public or private nonprofit accredited schools of medicine and osteopathic medicine and incorporated consortia made up of such schools, or the parent institutions of such schools. Also, in States in which no AHEC program is in operation, an accredited school of nursing is an eligible applicant.

Beneficiary Eligibility:
Accredited public or nonprofit schools of allopathic medicine or osteopathic medicine, the parent institution on behalf of such schools, and also, accredited schools of nursing in States in which no AHEC program is in operation.

Credentials/Documentation:
Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:
This program is excluded from coverage under E.O. 12372.

Application Procedure:
Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:
Notification is made in writing by a Notice of Grant Award issued from the Headquarters Office.

Deadlines:
www.hrsa.gov .

Range of Approval/Disapproval Time:
From 4 to 6 months from receipt of application.

Appeals:
None.

Renewals:
Competitive continuations may be submitted during the final budget year of the approved project.

Formula and Matching Requirements:
Awardees shall make available (directly through contributions from State, county or municipal government, or the private sector) non-Federal contributions in cash in an amount not less than 50 percent of the operating costs of the AHEC Program, except that the Secretary may grant a waiver for up to 75 percent of the amount required in the first 3 years in which an awardee receives funds under this section: Section 751 (a)(1) of the PHS Act as amended.

Length and Time Phasing of Assistance:
Funds are available for expenditure during appropriate budget period.

Reports:
A Uniform Progress Report must be submitted annually. A financial status report must be submitted within 90 days after the end of each budget period.

Audits:
In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in

Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants-Cooperative Agreements) FY 07 \$12,262,320; FY 08 est. \$13,200,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$285,321 to \$2,652,203; \$1,158,546.

PROGRAM ACCOMPLISHMENTS:

In FY 07, seven competing and seven non-competing applications for a total of 14 Basic AHEC Program awards were made. It is expected that 13 awards will be made in FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

David Hanny, Ph.D., M.P.H. or CAPT Norma Hatot, Program Officers, AHEC Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: (301) 443-6950.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: 301-443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

All projects are funded to meet the objectives as stated above. Community-based primary care training is provided at three levels: (1) Undergraduate medical students plus at least two associated health professions, e.g., nursing dentistry, mental health, pharmacy, allied health; (2) graduate-primary care residents, e.g., family medicine, general internal medicine or general pediatrics; and (3) continuing education- to full range of local providers, including NHSC personnel. Health career training experiences to be provided to elementary or secondary students from medically underserved areas. Funded programs are listed at <http://bhpr.hrsa.gov/ahec/basic.htm>.

CRITERIA FOR SELECTING PROPOSALS:

In determining the funding of applications, consideration is given to: (1) NEED Demonstrates an understanding of the purpose of the AHEC program, and demonstrates an understanding of the identified need(s) as evidenced by the applicant's description of target population(s) and geographic areas validated with supporting data, demographics, health status of the target population(s), and associated contributing factors which the proposed AHEC project intends to address in the area(s) to be served by the area health education center(s); (2) RESPONSE The degree to which the proposed project responds to the program requirements as set forth in Sections 751(a)(1) and 751(a)(2) of the PHS Act, as amended; degree to which project activities address the AHEC Project and Center requirements; clarity of the project objectives and their relationship to the identified need(s); extent to which project objectives are measurable and attainable within the stated timeframe; clarity of the proposed work plan and mechanisms to assure that satisfactory progress is attained; extent to which the project fulfills the cost sharing/matching requirements; degree to which project challenges and plans to overcome barriers are addressed; and degree to which the project meets the Medical School 10 Percent Requirement; (3)

EVALUATIVE MEASURES The adequacy of the evaluation strategy to monitor and evaluate project results; potential of evaluative measures to assess the extent to which project objectives are met and to what extent these can be attributed to the project; clarity of methods and techniques that will be used to measure, analyze, and report the outcomes of each objective; and the extent to which the proposed project adequately responds to AHEC Program performance measures and outcome indicators; the points will be allocated across the performance measures of Distribution, Diversity, and Quality; (4) IMPACT The potential of the proposed AHEC program and participating center(s) to continue on a self-sustaining basis; identification of plans for effective, efficient dissemination of project results; potential of project results to be of national significance; and

potential for replication of project activities; (5) RESOURCES/CAPABILITIES Evidence of qualifications in key personnel bio-sketches; evidence of adequate staffing plan for proposed project; evidence of ability to implement complex programs with similar requirements; evidence of institutional support, e.g., resources and letters of support; and evidence of successful partnerships and linkages with academic and community-based organizations; (6) SUPPORT REQUESTED Evidence of a reasonable detailed budget and budget rationale to accomplish the project objectives; evidence of fiscal capability to successfully manage cooperative agreements and contracts; and evidence of efforts to become self-sufficient, e.g., other sources of income, income generation plans, and future funding strategies.

93.837 CARDIOVASCULAR DISEASES RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 301, 422 and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285, and 42 U.S.C. 288, as amended; Small Business Reauthorization Act of 2000, Public Law 106-554.

OBJECTIVES:

To foster research and prevention, education, and control activities related to heart and vascular diseases and to develop young scientist investigators in these areas. Small Business Innovation Research (SBIR) program: To increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants may support salaries, equipment, supplies, travel, and patient hospitalization as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. Individual Predoctoral and Postdoctoral National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas. Institutional NRSA awards may be made to eligible institutions to enable them to appoint individuals selected by the institution. Certain service and payback provisions may apply to postdoctoral individuals upon termination of the award or termination of the appointment. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of research initiated in Phase I that is likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. Some Phase II awardees may be eligible to apply for funds to continue research for up to three years beyond the original Phase II award. Eligible projects involve assessment and improvement of drugs or devices or propose to conduct preclinical studies of drugs or devices that ultimately require: 1) clinical evaluation and/or 2) approval of a Federal regulatory agency. The product being developed must be one for which Federal regulatory approval (e.g., FDA) is a required step toward commercialization. Prospective applicants are strongly encouraged to contact NIH staff to determine eligibility. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application.

Applicant Eligibility:

To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. Any nonprofit organization engaged in biomedical research and institutions or companies organized for profit may apply for almost any kind of grant. Only domestic, non-profit, private or public institutions may apply for NRSA Institutional Research Training Grants. An individual may apply for an NRSA or, in some cases, for a research grant if adequate facilities to perform the research are available. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is being proposed, and have no more

than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) that formally collaborate with a university or other non-profit research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Research project grants and cooperative agreements, training and career development grants, and SBIR and STTR grants.

Credentials/Documentation:

Individual NRSA and Institutional NRSA trainees must be citizens of the United States or have been admitted for permanent residency; must hold a doctoral degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree); and must possess a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organization costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with DHHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, the applicant organization (small business concern) must present, in a research plan, an idea that has potential for commercialization and must furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. The grant application form SF424 (R&R) is used to apply for all SBIR and STTR grants.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Research grant applications are submitted on designated forms to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. Forms for individual and Institutional NRSA award applications may be obtained from and submitted to: Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. For some special grant programs, applicants may be advised to submit directly to the Review Branch, Division of Extramural Affairs, National Heart, Lung, and Blood Institute, Bethesda, MD 20892. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://grants.nih.gov/grants/oe.htm>. The Solicitation includes submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted electronically submitted through the federal portal of Grants.gov.

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff inform applicants of the results of the review. If support is contemplated, staff initiate preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

New Research Grants: February 5, June 5, and October 5 (or as specified in Request for Applications (RFA) announcements). Renewals and Supplementals: March 5, July 5, and November 5. Institutional NRSA: January 25 (new, resubmission, amended, and renewal applications) and September 25 (resubmission and amended applications). Individual NRSA: April 8, August 8, and December 8. SBIR and STTR Grants: April 5, August 5, and December 5.

Range of Approval/Disapproval Time:

Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 9 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the National Heart, Lung, and Blood Institute.

Renewals:

Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

The National Heart, Lung, and Blood Advisory Council may recommend funding for periods typically ranging from one to five years. Funding commitments are made annually. Usually, SBIR Phase I awards are for six months and Phase II for two years, and STTR Phase I awards are for one year and Phase II are for two years.

Reports:

Annual reports on progress and expenditures are required. Final reports are required within 120 days of termination. Reports are required after termination of NRSA to ascertain compliance with the service and payback provisions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0872-0-1-552.

Obligations:

(Grants) FY 07 \$1,556,459,000; FY 08 est \$1,556,459,000; and FY 09 est \$1,556,459,000.

Range and Average of Financial Assistance:

Grants: \$16,600 to \$4,444,879; \$441,745. SBIR/STTR: Phase I - \$100,000; Phase II - up to \$750,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 2,930 research grants and National Research Service Awards were made. The estimates of fiscal year 2008 are 2671 research grants and 259 National Research Service awards. The estimates for fiscal year 2009 are 2,671 research grants and 259 National Research Service Awards. In fiscal year 2007, for new and competing renewal awards: 4,070 grant applications were received, and of these, 814 were awarded; 304 National Research Service Award applications were received, and of these, 72 were awarded. Small Business innovation Research Awards/Small Technology Transfer Research Awards: In fiscal year 2007, 34 Phase I awards, and 16 Phase II awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; "NIH Guide for Grants and Contracts, and Supplements"; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications; Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Director, Division of Heart and Vascular Diseases, National Heart, Lung, and Blood Institute, National Institutes of Health, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 435-0466. SBIR Program: Deputy Director, Division of Extramural Affairs, National Heart, Lung, and Blood Institute, National Institutes of Health, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 435-0266. Grants Management Contact: Grants Management Officer, Grants Operations Branch, National Heart, Lung, and Blood Institute, National Institutes of Health, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 435-0166. Use the same numbers for FTS.

Web Site Address:

<http://www.nih.gov>.

RELATED PROGRAMS:

93.838, Lung Diseases Research; 93.839, Blood Diseases and Resources Research.

EXAMPLES OF FUNDED PROJECTS:

EXAMPLES OF FUNDED PROJECTS (1) Investigator-initiated research project grants on the surgical treatment of cardiac arrhythmias, on growth-factor mediation of healing in vascular grafts, and on mechanisms for cardiovascular control early in diabetes. (2) Institute-initiated research project grants on stem cell research in cardiomyopathy, on using adult stem cells to repair cardiac damage, and on altered glucose and lipid metabolism in obesity and cardiovascular disease. (3) AIDS-related research project grants on cardiomyopathy, on mitochondrial nucleotide carriers, and on endothelial oxidative stress and atherosclerosis. (4) An institute-initiated program project, a center of excellence in gene therapy. (5) Investigator-initiated program project grants on genetic approaches to early cardiac development, on oxidative stress

and diabetic cardiovascular disease, and on the biomechanics of the heart, vessels, and blood cells. (6) Institute-initiated Specialized Centers of Research (SCORs) on the arterial wall and atherogenesis in mice and humans, and on heart failure. (7) Cooperative agreements for a clinical trial of the surgical treatment for ischemic heart failure, for a pediatric heart disease clinical research network, and for a center on fetal monkey gene transfer for heart, lung, and blood. (8) SBIR and STTR grants on tissue-engineered blood vessels, coatings to prevent thrombosis and restenosis, gene therapy for angiogenesis, pediatric circulatory support devices, continuous blood pressure monitors, and vascular imaging systems. (9) Training grants on translational cardiovascular science and medicine, on developmental cardiology and pulmonary training, and on cardiovascular biomechanics and imaging.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the importance of the problem the proposed research will address; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation of the proposed research; (5) the potential of the proposed research for commercial application; (6) the appropriateness of the budget requested; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.838 LUNG DISEASES RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 301, 422 and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285, and 42 U.S.C. 288, as amended; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To use available knowledge and technology to solve specific disease problems of the lungs; to promote further studies on the structure and function of the lung; and to achieve improvement in the prevention and treatment of lung diseases. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

* Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants may support salaries, equipment, supplies, travel, and patient hospitalization as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. National Research Service

Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas. Grants may be made to institutions to enable them to make NRSAs to individuals selected by them. Certain service and payback provisions apply to individuals upon termination of the award SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Any nonprofit organization engaged in biomedical research, as well as institutions or companies organized for profit, may apply for grants with the exception of NRSAs. An individual may apply for a NRSA or, in some cases, may qualify for a research grant if adequate facilities in which to perform the research are available. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Applicants for individual National Research Service Awards must be citizens of the United States or have been admitted for permanent residency; must hold a doctoral degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree); and must designate a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Research grant applications are submitted on designated forms to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. Forms for individual NRSA applications may be obtained from and submitted to the Office of Research Manpower, Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. For some special grant programs, applicants may be advised to submit directly to the Review Branch, Division of Extramural Affairs, National Heart, Lung, and Blood Institute, Bethesda, MD 20892. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92, must be used for this program. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and

STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff informs applicants of the results of the review. If support is contemplated, staff initiates preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

New Research Grants: February 1, June 1, and October 1 (or as specified in Request for Applications (RFA) announcements). Renewals and Supplementals: March 1, July 1, and November 1. Institutional NRSA: January 10 and May 10. Individual NRSA: April 5, August 5, and December 5. SBIR and STTR Grants: April 1, August 1, and December 1.

Range of Approval/Disapproval Time:

Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

The Advisory Council may recommend funding for periods from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0872-0-1-552.

Obligations:

(Grants) FY 07 \$582,448,863; FY 08 est \$582,448,863; and FY 09 est \$582,448,863.

Range and Average of Financial Assistance:

\$41,250 to \$1,042,563; \$409,686. Small Business Innovation Research/Small Business Technology Awards: Phase I - \$100,000; Phase II - up to \$750,000 for STTR and up to \$750,000 for SBIR.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 1,213 research grants and 106 National Research Service Awards were made. The estimates for fiscal year 2008 are 1,213 research grants and 106 National Research Service Awards. The estimates for fiscal year 2009 are 1,213 research grants and 106 National Research Service Awards. In fiscal year 2007, for new and competing renewal awards: 1,595 grant applications were received, and of these, 319 were awarded; 200 National Research Service Award applications were received, and of these, 42 were awarded. Small Business Innovation Research/Technology Transfer Awards: In fiscal year 2007, 16 Phase I awards and 13 Phase II awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; "NIH Guide for Grants and Contracts, and Supplements"; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus

Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Program Contacts: Dr. James Kiley, Director, Division of Lung Diseases, National Heart, Lung, and Blood Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 435-0233. Small Business Innovation Research Program: Mrs. Ann Rothgeb, Program Specialist, Airway Biology and Disease Program, Division of Lung Diseases, National Heart, Lung, and Blood Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 435-0202. Grants Management. Contact: Ms. Suzanne White, Grants Management Officer, Grants Operations Branch, Division of Extramural Affairs, National Heart, Lung, and Blood Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 435-0144. Use the same numbers for FTS.

Web Site Address:

<http://www.nhlbi.nih.gov>.

RELATED PROGRAMS:

93.837, Cardiovascular Diseases Research; 93.839, Blood Diseases and Resources Research; 93.233, National Center on Sleep Disorders Research.

EXAMPLES OF FUNDED PROJECTS:

(1) An individual research grant to investigate basic mechanisms of primary pulmonary hypertension, a lethal disease that causes progressive obstruction of small pulmonary arteries. This grant has established a national registry of familial primary pulmonary hypertension that is providing the framework for linkage analysis and the molecular search for basic mechanisms of this disease. (2) A multi-project grant dealing with cellular and molecular mechanisms underlying acute and chronic asthma. The focus of this program is on the mechanisms causing asthma in humans. The work includes laboratory projects, animal research, and human studies. The work includes laboratory projects and animal research concentrated on the cellular pathways of the asthmatic inflammatory process, focused on the mast cell, a critical cell type in asthma. (3) A Specialized Center of Clinically Oriented Research (SCCOR) grant in Translational Research in Acute Lung Injury. This grant consists of several projects that combine basic and clinical research with the goal of improving the outcome of patients with Acute Lung Injury. The investigators are studying novel mechanisms and treatments for this syndrome. The grant includes a clinical trial of Activated Protein C, to inhibit coagulation and inflammation, a study to identify the role of transforming growth factor B1 as a mechanism underlying the disease and a novel study of genetic factors that might increase susceptibility in patients with severe pneumonia and acute lung injury. The program includes genetic, proteomic, and molecular biological approaches in addition to the clinical trial. (4) An individual National Research Service Award to study the effect of the interruptions in breathing during sleep caused by sleep apnea. The investigator will study the expression of genes in response to intermittent hypoxia and sustained hypoxia, which cause pulmonary vasoconstriction and systemic vasodilation. The research training and coursework received under the award will provide a foundation of skills the investigator will use to study the deleterious effect of intermittent hypoxia on the cardiopulmonary system. (5) A Small Business Technology Transfer Program for development of a Thermal HydroSeal (THS) system that will allow surgeons to seal air leaks that arise on the raw lung surface exposed during a lobectomy. Surgical resections, are common procedures in lung cancer patients. Postoperative air leakage at the parenchymal surfaces following lung resections, is a frequent complication which can result in extended hospital stays. The development of this device will be useful to pulmonary physicians, providing them with an effective system to seal air leaks, which should improve patient recovery and reduce hospital stays.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the scientific, technical, or technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b)

protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; (8) The adequacy of plans to include both genders and minorities and their subgroups as appropriate for the scientific goals of the research. Plans for the recruitment and retention of subjects will be evaluated; and (9) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.839 BLOOD DISEASES AND RESOURCES RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 301, 422, and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285 and 42 U.S.C. 288, as amended; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To foster research and research training on the pathophysiology, diagnosis, treatment, and prevention of non-malignant blood diseases, including anemias, sickle cell disease, thalassemia; leukocyte biology, pre-malignant processes such as myelodysplasia and myeloproliferative disorders; hemophilia and other abnormalities of hemostasis and thrombosis; and immune dysfunction. Funding encompasses a broad spectrum of hematologic inquiry, ranging from stem cell biology to medical management of blood diseases and to assuring the adequacy and safety of the nation's blood supply. Programs also support the development of novel cell-based therapies to bring the expertise of transfusion medicine and stem cell technology to the repair and regeneration of human tissues and organs. Small Business Innovation Research (SBIR) program: To stimulate technological innovation; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants may support salaries, equipment, supplies, travel, and patient hospitalization as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. National Research Service Awards (NRSA) are made directly to individuals for research training. Grants may be made to institutions to enable them to make NRSA to individuals selected by them. Certain service and payback provisions apply to individuals upon termination of the award. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. These awards are made to small businesses working in collaboration with academic institutions. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application. While the intent of the SBIR/STTR programs is commercialization (Phase III), no SBIR/STTR funds are allowed for commercialization activities such as patents; market and sales; market research; business development/product development/market plans; legal fees, travel and other costs including labor relating to license agreements and partnerships.

Applicant Eligibility:

Any nonprofit organization engaged in biomedical research and institutions (or companies) organized for profit may apply for grants, with the exception of NRSA. An individual may apply for a NRSA or, in some cases, may qualify for a research grant if adequate facilities in which to perform the research are available. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, or owned by another small business that itself is independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees including affiliates). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. SBIR projects must be performed at least 67% by the applicant small business in Phase I and at least 50% of the project in Phase II. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Applicants for individual National Research Service Awards must be citizens of the United States or have been admitted for permanent residency; must hold a doctoral degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree); and must designate a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SBIR and STTR applicants must use the SF424 Research and Related (R&R) application for electronic submission through Grants.gov. Electronic submission of SNAP Progress Reports (eSNAP) apply for non-competing continuations (e.g., second year of Phase II).

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Research grant applications are submitted on designated forms to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. Forms for individual NRSA award applications may be obtained from and submitted to: Office of Research Manpower, Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. For some special grant programs, applicants may be advised to submit directly to the Review Branch, Division of Extramural Affairs, National Heart, Lung, and Blood Institute, Bethesda, MD 20892. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. The Solicitation includes submission procedures, review considerations, and links to grant application or contract proposal forms. SBIR and STTR grant applications must be submitted electronically using the SF424 Research and Related (R&R) forms to Grants.gov. For questions about submission please call the GRANTSINFO office at Phone: (301) 435-0714 or send an E-mail: grantsinfo@nih.gov.

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Fellowship applications have a secondary review by the staff of the Institute. Staff informs applicants of the results of the review. If support is contemplated, staff initiates preparations of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority

score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research. Eligibility criteria must be verified prior to the competing award as stated in the SBIR/STTR Funding Opportunity Announcement and annual OMNIBUS SOLICITATION.

Deadlines:

New Research Grants: February 1, June 1, and October 1 (or as specified in Request for Applications (RFA) announcements). Renewals and Supplementals: March 1, July 1, and November 1. Institutional NRSA: January 25 (new, resubmission, amended, and renewal applications) and September 25 (resubmission and amended applications). Individual NRSA: April 8, August 8, and December 8. SBIR and STTR Grants: April 1, August 1, and December 1.

Range of Approval/Disapproval Time:

Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute.

Renewals:

Renewals require application and review in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

The Advisory Council may recommend funding for periods ranging from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Annual reports from grantees are required on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," non-Federal entities that expend \$500,000 or more in its fiscal year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this circular. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. Per the regulations, a for-profit (commercial) organization is subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant. For-profit organizations have two options regarding the type of audit that will satisfy the audit requirements either: 1. a financial related audit (as defined in the Government Auditing Standards, GPO Stock #020-000-00-265-4, <http://www.gao.gov/govaud/ybk01.htm>) of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements contained in OMB Circular No. A-133 (<http://www.whitehouse.gov/OMB/circulars/a133/a133.html>).

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0872-0-1-552.

Obligations:

(Grants) FY 07 \$409,262,576; FY 08 est \$409,262,576; and FY 09 est \$409,262,576.

Range and Average of Financial Assistance:

\$97,396 to \$999,972; \$427,867. Small Business Innovation Research/Small Business Technology Awards: Phase I - \$100,000; Phase II - up to \$750,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 795 research grants and 68 National Research Service Awards were made. The estimates for fiscal year 2008 are 795 research grants and 68 National Research Service Awards. The estimates for fiscal year 2009 are 795 research grants and 68 National Research Service Awards. In fiscal year 2007, for new and competing renewal awards 1055 grant applications were received, and of these, 211 were awarded; 84 National Research Service Award applications were received and of these 19 were awarded. Small Business Innovation Research/Technology Transfer Awards: In fiscal year 2007, 20 Phase I and 10 Phase II awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; "NIH Guide for Grants and Contracts, and Supplements"; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at

42 CFR 52 and 42 U.S.C 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Program Contacts: Dr. Susan Shurin, Acting Director, Division of Blood Diseases and Resources, National Heart, Lung, and Blood Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 435-0080. Small Business Innovation Research Program: Ms. Susan Pucie, Senior Program Analyst, Division of Blood Diseases and Resources, National Heart, Lung, and Blood Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 435-0079. Grants Management Contact: Ms. Suzanne White, Grants Management Officer, Grants Operations Branch, National Heart, Lung, and Blood Institute, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 435-0144. Use the same numbers for FTS.

Web Site Address:

<http://www.nhlbi.nih.gov>.

RELATED PROGRAMS:

93.837, Cardiovascular Diseases Research; 93.838, Lung Diseases Research.

EXAMPLES OF FUNDED PROJECTS:

(1) An individual research grant using a novel systems biology approach to study the genetic basis of stroke risk in sickle cell disease. The investigators validated in a clinical setting a new type of statistical analysis method for genetic association studies based on Bayesian networks. This new method studying the clinical impact of networks of specific gene variants has yielded a model that may accurately predict stroke in other patient populations. (2) A multi-project grant to study cell adhesion mechanisms in vascular disease and thrombosis. The program is designed to advance understanding of molecular mechanisms of vascular disease and to promote development of new diagnostic, therapeutic, and preventive strategies through the collaborative efforts of scientists focused on the unifying theme of cell adhesion. Studies will span analysis of biochemistry, fine structure at atomic level detail, ex-vivo studies to analyze the effects of blood flow on adhesion and signaling, hematopoiesis, and analysis of genetically modified mice. (3) A grant for a Specialized Center of Clinically Oriented Research (SCCOR) in Transfusion Medicine and Biology. The focus is to increase our understanding of the biologic and immunologic aspects of transfusion medicine. The studies in this multi-project grant address the management of the blood supply, immunologic responses to blood components or marrow derived cells, and cellular therapy. (4) A Comprehensive Sickle Cell Center Grant that integrates clinical, translational and basic research, thereby fostering multidisciplinary collaborations directed toward the goal of finding effective therapy and ultimately a cure for sickle cell disease. (5) An Individual National Research Service Award to use in utero gene delivery to target highly proliferative hematopoietic stem cells in the fetal liver of a murine model of beta thalassemia. The fellow will train under the guidance of an accomplished mentor and will develop research skills in the important area of in utero gene transfer strategies for transduction of hematopoietic stem cells and treatment of genetic disorders of the hematopoietic system that cause ongoing morbidity and mortality in children. (6) A Small Business Innovative Research Grant to develop a rapid, accurate, cost-effective screening test to detect a broad array of bacterial contaminants in stored human red blood cells. The successful development of this technology will improve the availability and safety of the human blood supply and will have broad potential for bacterial detection applications in human diagnostic, food testing, environmental testing and other research uses.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and

technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.846 ARTHRITIS, MUSCULOSKELETAL AND SKIN DISEASES RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 301, 437, and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, as amended; 42 U.S.C. 285d-2; 42 U.S.C. 288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To support research relevant to arthritis, musculoskeletal and skin diseases, the National Institute of Arthritis and Musculoskeletal and Skin Diseases (NIAMS) supports research training and basic and clinical investigations including epidemiology and clinical trials in the areas of skin and rheumatic diseases and musculoskeletal diseases. The Division of Skin and Rheumatic Diseases promotes and supports basic, epidemiological, and clinical studies of skin and rheumatic and related diseases. Studies range from determining the underlying basis and mechanisms of disease (including large genetic studies), to translational and clinical research aimed at the diagnosis, treatment, prediction, and/or prevention of disease. The skin program supports research in normal and diseased skin including keratinocyte biology and wound healing, and disorders such as psoriasis, atopic dermatitis and other chronic inflammatory skin disorders; the vesiculobullous diseases such as pemphigus, bullous pemphigoid, and epidermolysis bullosa; acne, alopecia areata, vitiligo, and skin neoplasia. The rheumatic disease program supports research in the systemic autoimmune diseases and arthritides, including systemic lupus erythematosus (SLE), systemic sclerosis, autoimmune myositis, rheumatoid arthritis, juvenile idiopathic arthritis, spondyloarthropathies, vasculitis, gout, Sjogren's syndrome, and fibromyalgia syndrome. In addition, the Division supports studies on the extracellular matrix, including research on Marfan syndrome, keloid formation, and pseudoxanthoma elasticum. The Division also supports biopsychosocial research related to rheumatic, musculoskeletal, or skin diseases. Topics include behavioral interventions, pain mechanisms, neuroendocrine and neuroimmune mechanisms, behavioral and social research, and epidemiology. The Division of Musculoskeletal Diseases supports studies of the skeleton and associated connective tissues. Broad areas of interest include skeletal development, metabolism, mechanical properties, and responses to injury. Among these diseases and skeletal disorders are osteoporosis; osteogenesis imperfecta; Paget's disease of bone; vitamin D refractory diseases; and rickets and osteochondrodysplasias. Other areas of interest include treatment of acute and chronic injuries, including carpal tunnel syndrome, repetitive stress injury, low back pain and clinical and epidemiological studies of osteoarthritis. The Division supports development of new technologies with the potential to improve treatment of skeletal disorders and facilitate the repair of trauma in the normal skeleton. These include drugs and nutritional interventions, joint replacement, bone and cartilage transplantation, biomarkers and gene therapy. In addition, bioengineering, sports medicine and musculoskeletal fitness are areas of special research emphasis. This Division also encourages and supports research on skeletal muscle, its diseases and disorders, and its central role in human physiology and exercise. Topics include the molecular structure of muscle and the molecular mechanisms that produce force and motion. Muscle biophysics, cell biology, muscle biology, muscle disorders and therapies, musculoskeletal development, tissue reengineering, and regenerative medicine are encompassed in this area as well. The Division also supports research into the biology of cartilage, tendons, ligaments, menisci, and intervertebral discs, including pre-clinical studies on injury and disease conditions affecting these tissues. NIAMS participates in the Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs. The SBIR program is intended to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The STTR program is intended to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private

sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Research Grants provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation relevant to program objectives. Individual National Research Service Awards (NRSA) are made to individuals for research training in specified biomedical shortage areas. In addition, grants may be made to institutions to enable them to make NRSA to individuals selected by them. Each individual who receives a NRSA is obligated upon termination of the award to comply with certain service and payback provisions. Small Business Innovation Research Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Research Grants: Individuals and public and private institutions, both nonprofit and for-profit, who propose to establish, expand, and improve research activities in health sciences and related fields. National Research Service Awards: Individuals must be nominated and sponsored by a public or private, for-profit or nonprofit institution having staff and facilities appropriate to the proposed research training program. All awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible, predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree). Nonprofit domestic organizations may apply for the Institutional National Research Service grant. Small Business Innovation Research grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Research Grants: Although no degree of education is either specified or required, nearly all successful applicants have doctoral degrees in one of the sciences or professions. National Research Service Awards: Predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree.

Credentials/Documentation:

Requirements are specified in the application form. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Research grants: Applications must be prepared either electronically through grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-

Related) or on paper using the PHS 398 research grant application instructions and forms (Rev. 11/2007). Individual funding opportunity announcements will indicate the appropriate application format to be used. A listing of NIAMS and other funding opportunities can be found through the NIAMS web site (http://www.niams.nih.gov/Funding/Funding_Opportunities/filter.asp), through the Grants.gov web site (<http://www.grants.gov/>), or on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>). For applications that require the PHS 398, the most recent version of the form and instructions are available at <http://grants.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. Electronic applications are submitted through the Grants.gov website. Completed PHS 398 applications should be submitted to the National Institutes of Health, Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710 or Bethesda, MD 20817 (for express/courier service). For assistance contact GrantsInfo, Telephone: (301) 435-0714, Email: GrantsInfo@nih.gov. National Research Service Awards: Completed application forms should be submitted to the Office of Research Manpower, Center for Scientific Review, Grants, National Institutes of Health, Bethesda, MD 20892. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Research Funding Opportunities" web page at <http://grants1.nih.gov/grants/funding/sbir.htm>. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710. Application forms for SBIR and STTR grants may be obtained through the SBIR/STTR funding announcements posted on the Grants.gov sites and the NIH Guide to Grants and Contracts (see URLs listed above). All SBIR and STTR applications must be submitted electronically. Electronic applications are submitted through the Grants.gov website. Completed PHS 398 applications should be submitted to the National Institutes of Health, Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710 or Bethesda, MD 20817 (for express/courier service). This program is subject to the provisions of 45 CFR Part 92 for State and local governments, OMB Circular No. A-110 for nonprofit organizations, cost principles of A-21 for educational institutions, and 42 CFR Part 42.

Award Procedure:

Following review by the appropriate study section and council, the successful applicant is notified by the National Institute of Arthritis and Musculoskeletal and Skin Diseases through a Notice of Grant Award. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

New Applications: February 5, June 5, and October 5. Competing continuation applications: March 5, July 5, and November 5. Individual NRSA Applications: April 8, August 8, and December 8 Institutional NRSA: January 25, May 25, and September 25. Small Business Innovation Research: April 5, August 5, and December 5. STTR: December 1, only.

Range of Approval/Disapproval Time:

Research grants: From 6 to 9 months. National Research Service Awards: From 6 to 9 months. SBIR/STTR applications: About 7-1/2 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Research grants: renewals by competitive application and review. Extension by request and administrative action. National Research Service Awards: individual awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Research grants: Awards may be recommended for up to 5 years. Awards usually are made for 12-month budget periods. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Research grants: Annual and final progress reports. Also annual reports of inventions and financial status reports. Annual certification with respect to

research involving human subjects. National Research Service Awards: Reports are required during and after termination of National Research Service Awards to ascertain compliance with service and payback provisions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0888-0-1-552.

Obligations:

Research Grants: FY 07 \$396,238,000; FY 08 \$395,093,000; and FY 09 \$394,305,000. National Research Service Awards (NRSAs): FY 07 \$15,446,000; FY 08 \$15,446,000; and FY 09 \$15,585,000.

Range and Average of Financial Assistance:

Research Grants: \$2,000 to \$2,100,000; \$314,200. National Research Service Awards: \$12,300 to \$448,000; \$138,000. SBIR/STTR: Phase I awards - approximately \$100,000; Phase II awards - up to \$750,000.

PROGRAM ACCOMPLISHMENTS:

Research Grants: In fiscal year 2007, a total of 1,261 noncompeting and competing research grants were funded, including 40 SBIR and STTR awards. The fiscal year 2008 estimate is 1,258 research grant awards, including 40 SBIR and STTR awards. The fiscal year 2009 estimate is 1,269 grants, including 40 SBIR and STTR awards. National Research Service Awards (NRSA): In fiscal year 2007, 319 trainees were funded. The estimates for fiscal year 2008 and 2009 are 319 trainees each year.

REGULATIONS, GUIDELINES, AND LITERATURE:

Research Grants: 42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Research Grants: Dr. Madeline Turkeltaub, Division of Extramural Research Activities, National Institute of Arthritis and Musculoskeletal and Skin Diseases, Public Health Service, Department of Health and Human Services, NIAMS, Extramural Program, National Institutes of Health, 6701 Democracy Blvd., Suite 800, Bethesda, MD 20892. Telephone: (301) 594-2463. Small Business Innovation Research Grants and Grants Management Contact: Ms. Melinda Nelson, Grants Management Officer, Grants Management Branch, National Institute of Arthritis and Musculoskeletal and Skin Diseases, National Institutes of Health, Public Health Service, Department of Health and Human Services, NIAMS, 6701 Democracy Blvd., Suite 800, Bethesda, MD 20892, Bethesda, MD 20892. Telephone: (301) 5435-5278. Use the same numbers for FTS.

Web Site Address:

<http://www.hhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Pathogenesis of Murine Systemic Lupus Erythematosus; (2) Chemistry and Biology of Collagen; (3) Disability in Valued Life Activities in Persons with Rheumatoid Arthritis; (4) Immunogenetics -- Target Organ Damage in Immune Nephritis; (5) Exercise and Skeletal Muscle Signaling Mechanisms; (6) Molecular Physiology of Neuromuscular Diseases; (7) Biomechanics of Spine Fracture; (8) The Epidemiology and Genetics of Hip Osteoarthritis in Elderly Men; (9) Systemic Implications of Total Joint Replacement; (10) Physiologic Loading for Cartilage Tissue Engineering; (11) Development and Regeneration of Skin Appendages; (12) Nature of Mammalian Cutaneous Permeability Barrier; (13) Genetic Analysis of Bone Structure and Strength; and (14) Epidemiology of Age-Related Bone Loss and Fractures. Small Business Innovation Research Grant: Development of Diagnostic Probes for Autoimmune Disease.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include: assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the

competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects and/or (b) protecting against or minimizing any adverse effect on the environment.

93.847 DIABETES, DIGESTIVE, AND KIDNEY DISEASES EXTRAMURAL RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 301, 405, 428, 431, 487, 491, 493, 495, and 498, as amended; Public Laws 78-410, 99-158, 100-607, and 106-554; 42 U.S.C. 241, 42 U.S.C. 285c-2; 42 U.S.C. 285c-5, 42 U.S.C. 288, as amended; Small Business Research and Development Enhancement Act of 1992; Public Law 102-564.

OBJECTIVES:

(1) To promote extramural basic and clinical biomedical research that improves the understanding of the mechanisms underlying disease and leads to improved preventions, diagnosis, and treatment of diabetes, digestive, and kidney diseases.

Programmatic areas within the National Institute of Diabetes and Digestive and Kidney Diseases include diabetes, digestive, endocrine, hematologic, liver, metabolic, nephrologic, nutrition, obesity, and urologic diseases. Specific programs areas of interest include the following: (a) For diabetes, endocrine, and metabolic diseases areas: Fundamental and clinical studies including the etiology, pathogenesis, prevention, diagnosis, treatment and cure of diabetes mellitus and its complications; Normal and abnormal function of the pituitary, thyroid, parathyroid, adrenal, and other hormone secreting glands; Hormonal regulation of bone, adipose tissue, and liver; on fundamental aspects of signal transduction, including the action of hormones, coregulators, and chromatin remodeling proteins; Hormone biosynthesis, secretion, metabolism, and binding; and on hormonal regulation of gene expression and the role(s) of selective receptor modulators as partial agonists or antagonists of hormone action; and Fundamental studies relevant to metabolic disorders including membrane structure, function, and transport phenomena and enzyme biosynthesis; and basic and clinical studies on the etiology, pathogenesis, prevention, and treatment of inherited metabolic disorders (such as cystic fibrosis). (b) For digestive disease and nutrition areas: Genetics and genomics of the GI tract and its diseases; Genetics and genomics of liver/pancreas and diseases; Genetics and genomics of nutrition; genetics and genomics of obesity; Bariatric surgery; Clinical nutrition research; Clinical obesity research; Complications of chronic liver disease; Fatty liver disease; Genetic liver disease; HIV and liver; Cell injury, repair, fibrosis and inflammation in the liver; Liver cancer; Liver transplantation; Pediatric liver disease; Viral hepatitis and infectious diseases; Gastrointestinal and nutrition effects of AIDS; Gastrointestinal mucosal and immunology; Gastrointestinal motility; Basic neurogastroenterology; Gastrointestinal development; Gastrointestinal epithelial biology; Gastrointestinal inflammation; Digestive diseases epidemiology and data systems; Nutritional epidemiology and data systems; Autoimmune liver disease; Bile, Bilirubin and cholestasis; Bioengineering and biotechnology related to digestive diseases, liver, nutrition and obesity; Cell and molecular biology of the liver; Developmental biology and regeneration; Drug-induced liver disease; Gallbladder disease and biliary diseases; Exocrine pancreas biology and diseases; Gastrointestinal neuroendocrinology; Gastrointestinal transport and absorption; Nutrient metabolism; Pediatric clinical obesity; Clinical trials in digestive diseases; Liver clinical trials; Obesity prevention and treatment; and Obesity and eating disorders. (c) For kidney, urologic and hematologic

diseases areas: Studies of the development, physiology, and cell biology of the kidney; Pathophysiology of the kidney; Genetics of kidney disorders; Immune mechanisms of kidney disease; Kidney disease as a complication of diabetes; Effects of drugs, nephrotoxins and environmental toxins on the kidney; Mechanisms of kidney injury repair; Improved diagnosis, prevention and treatment of chronic kidney disease and end-stage renal disease; Improved approaches to maintenance dialysis therapies; Basic studies of lower urinary tract cell biology, development, physiology, and pathophysiology; Clinical studies of bladder dysfunction, incontinence, pyelonephritis, interstitial cystitis, benign prostatic hyperplasia, urolithiasis, and vesicoureteral reflux; Development of novel diagnostic tools and improved therapies, including tissue engineering strategies, for urologic disorders; Research on hematopoietic cell differentiation; metabolism of iron overload and deficiency; Structure, biosynthesis and genetic regulation of hemoglobin; as well as Research on the etiology, pathogenesis, and therapeutic modalities for the anemia of inflammation and chronic diseases. (2) To encourage basic and clinical research training and career development of scientists during the early stages of their careers. The Ruth L. Kirschstein National Research Service Award (NRSA) funds basic and clinical research training, support for career development, and the transition from postdoctoral biomedical research training to independent research related to diabetes, digestive, endocrine, hematologic, liver, metabolic, nephrologic, nutrition, obesity, and urologic diseases. (3) To expand and improve the Small Business Innovation Research (SBIR) program. The SBIR Program aims to increase and facilitate private sector commercialization of innovations derived from Federal research and development; to enhance small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. (4) To utilize the Small Business Technology Transfer (STTR) program. The STTR Program intends to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project Grants provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation relevant to program objectives. NRSA's are made directly to individuals for research training in specified biomedical shortage areas, or, to institutions to enable them to make NRSA's to individuals selected by them. Each individual who receives a NRSA is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

Project Grants: Universities, colleges, medical, dental and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private institutions, both non-profit and for-profit, and individuals who propose to establish, expand, and improve research activities in health sciences and related fields. NRSA's: Support is provided for academic and research training only, in health and health-related areas that are periodically specified by the National Institutes of Health. To be eligible, predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Individuals must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All awardees must be citizens or have been admitted to the United States for permanent residence. Nonprofit domestic organizations may apply for the Institutional NRSA. SBIR and STTR grants can be awarded only to domestic small businesses that meet the following criteria: 1) Is independently owned and operated, is not dominant in the field of operation in which it is proposing, has a place of business in the United States and operates primarily within the United States or makes a significant contribution to the US economy, and is organized for profit; 2) Is (a) at least 51% owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in, the United States, or (b) for SBIR only, it must be a for-profit business concern that is at

least 51% owned and controlled by another for-profit business concern that is at least 51% owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in, the United States. 3) Has, including its affiliates, an average number of employees for the preceding 12 months not exceeding 500, and meets the other regulatory requirements found in 13 C.F.R. Part 121. Business concerns are generally considered to be affiliates of one another when either directly or indirectly, (a) one concern controls or has the power to control the other; or (b) a third-party/parties controls or has the power to control both. STTR grants which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Health professionals, graduate students, health professional students, scientists, and researchers, any nonprofit or for-profit organization, company, or institution engaged in biomedical research. Project Grants: Although no degree of education is either specified or required, nearly all successful applicants have doctoral degrees in one of the sciences or professions. NRSAs: Predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Individual NRSA applications for postdoctoral training must include the candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training. Institutional Training grant applications for predoctoral and postdoctoral training must show the objectives, methodology and resources for the research training program; the qualifications and experience of directing staff; the criteria to be used in selecting individuals for stipend support; and a detailed budget and justification for the amount of grant funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form PHS 398 is used to apply for SBIR and STTR Phase I Phase II and Phase I/Phase II Fast Track.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Project Grants: Applications for Federal assistance must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) Application Guide. Applications may not be submitted in paper format. A registration process through Grants.gov is necessary before submission and applicants are highly encouraged to start the process at least four weeks prior to the grant submission date. Two steps are required for on time submission: (1) The application must be successfully received by Grants.gov no later than 5:00 p.m. local time (of the applicant institution/organization) on the submission/receipt date. (2) Applicants must complete a verification step in the eRA Commons within two business days of notification from NIH. Note: Since email can be unreliable, it is the responsibility of the applicant to periodically check on their application status in the Commons. The standard application forms, as furnished by PHS and required by 45 CFR Part 92, must be used for this program by those applicants that are State or local units of government. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms.

Award Procedure:

Research Grant and Training Program applications are reviewed initially for scientific merit by an appropriate review panel, composed of scientific authorities, and by the National Diabetes and Digestive and Kidney Diseases Advisory Council composed of leaders in medical science, education, and public affairs. Approved applications will compete on a merit basis for available funds.

The successful applicant is sent a Notice of Grant Award. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or

board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

New Applications: February 1, June 1, and October 1. Competing continuation and supplemental applications: March 1, July 1, and November 1. Individual NRSA applications: April 5, August 5, and December 5. Institutional NRSAs: January 10, May 10, and September 10. SBIR: April 1, August 1, and December 1. STTR: April 1, August 1 and December 1.

Range of Approval/Disapproval Time:

Project Grants: From 6 to 9 months. National Research Service Awards: From 6 to 9 months. SBIR/STTR applications: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Project Grants: Renewals are determined by competitive application and review. Extensions considered upon request. Individual NRSAs: Awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Project Grants: Awards are usually made for a 12-month period with recommendation of up to 4 years of additional support. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Project Grants: Annual and terminal progress reports, annual reports of inventions, reports of expenditures and annual certification with respect to research involving human subjects are required. NRSAs: Reports are required after termination of NRSAs to ascertain compliance with service and payback provisions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Project Grants: Expenditures and other financial records, including documents supporting accounting records and substantive charges to each grant, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period. NRSAs: Documentation of expenditures and other fiscal records must be kept readily available for examination by authorized Government personnel and must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period. Reports are required after termination of NRSAs to ascertain compliance with service and payback provisions.

Account Identification:

75-0884-0-1-552.

Obligations:

Project Grants: FY 07 \$ 1,088,226,000; FY 08 \$ 1,052,236,000; and FY 09 est \$ 1,069,091,000. (NRSAs) FY 07 \$ 54,127,000; FY 08 \$ 54,795,000; and FY 09 est \$ 54,553,000. (SBIRs) FY 07 \$ 41,172,000; FY 08 \$ 41,132,000; and FY 09 est \$ 41,800,000.

Range and Average of Financial Assistance:

Project Grants: \$750 to \$3,900,000; \$362,000. NRSAs: \$7,000 to \$581,899; \$145,895. SBIR: Phase I awards approximately \$100,000; Phase II awards not to exceed \$750,000.

PROGRAM ACCOMPLISHMENTS:

Project Grants: In fiscal year 2007, 3,006 awards were made; in fiscal year 2008, 2,844 were made; and in fiscal year 2009, 2,938 awards are estimated. NRSAs: In fiscal year 2007, 371 awards and 1,106 trainees were funded; in fiscal year 2008, 427 awards and 1,110 trainees were funded; and in fiscal year 2009, 425 awards and 1,106 trainees are estimated. SBIR Awards: In fiscal year 2007, 100 awards were made; in fiscal year 2008, 99 awards were made; and in fiscal year 2009, 101 awards are estimated.

REGULATIONS, GUIDELINES, AND LITERATURE:

Project Grants: 42 CFR 52; 42 CFR 66; 42 CFR 74; 45 CFR 74; 45 CFR 92. Administration Policy Directive No. 65 01 (47 Fed. Reg. 52966 et seq. (1982), as amended by Policy Directive No. 65 01.1 (48 Fed. Reg. 38794 et seq.

(1983)). Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement, http://grants.nih.gov/grants/policy/nihgps_2003/; Omnibus Solicitation of the Public Health Service for SBIR Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for STTR Grant Applications.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Project Grants: Dr. Judith Fradkin, Director, Division of Diabetes, Endocrinology, and Metabolic Diseases, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 689, 6707 Democracy Blvd., Bethesda, MD 20892-2560. Telephone: (301) 496-7349; Dr. Stephen James, Director, Division of Digestive Diseases and Nutrition, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 675, 6707 Democracy Blvd., Telephone: (301) 594-7680; Dr. Robert Star, Director, Division of Kidney, Urologic and Hematologic Diseases, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 625, 6707 Democracy Blvd., Bethesda, MD 20892-2560. Telephone: (301) 594-7717. Small Business Innovation Research Grants Contact: Mrs. Helen Ling, Senior Grants Management Specialist, Grants Management Branch, Division of Extramural Activities, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 732, 6707 Democracy Blvd., Bethesda, MD 20892. Telephone: (301) 594-8857. Grants Management Contact: Mr. Robert Pike, Chief Grants Management Officer, Grants Management Branch, Division of Extramural Activities, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 731, 6707 Democracy Blvd., Bethesda, MD 20892. Telephone: (301) 594-8854. Use the same numbers for FTS.

Web Site Address:

<http://www2.niddk.nih.gov>

RELATED PROGRAMS:

In October 2008, the National Institute of Diabetes and Digestive and Kidney Diseases consolidated all of its extramural research programs under one CFDA number, 93.847: Diabetes, Digestive, And Kidney Diseases Extramural Research, for management reasons. The former program numbers were 93.847: Diabetes, Endocrinology and Metabolism Research; 93.848: Digestive Diseases and Nutrition Research, and 93.849: Kidney Diseases, Urology and Hematology Research.

EXAMPLES OF FUNDED PROJECTS:

(a) For diabetes, endocrine, and metabolic diseases projects: Genetic Studies of Type 2 Diabetes; Regulators of Insulin Secretion and Action; Mode of Action of Steroid Hormones; Autoimmune Basis of Type 1 Diabetes; and Activators of Mutant Cystic Fibrosis Transmembrane Regulator; SBIR grant: Implantable Glucose Sensor (b) For digestive diseases and nutrition projects: Pathogenesis of Gastroesophageal reflux disease (GERD). Genetics of inflammatory bowel disease. Inflammation in IBD. Prevention of weight gain. Treatment of non-alcoholic steatohepatitis (NASH). Long-term treatment of hepatitis C (c) For kidney, urologic, and hematologic diseases projects: Functional Structure of Renal Tubule; Pathogenesis of Experimental Glomerulonephritis; Pathology of Recovery from Acute Renal Failure; Urinary Stone Prevention; and In vitro Studies of Hematopoietic Regulation; SBIR grant: Laser Fragmentation of Urinary Calculi

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the

work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.853 EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 301, 405, 408, 457, 458, 459, and 487, Public Law 78-410, as amended, 42 U.S.C. 241; 42 U.S.C. 284; 42 U.S.C. 284c; 42 U.S.C. 285j; 42 U.S.C. 285j-7; 42 U.S.C. 285L-2; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

(1) To support extramural research within the National Institute of Neurological Disorders and Stroke (NINDS) including: neurological science basic research that explores the fundamental structure and function of the brain and the nervous system; research to understand the causes and origins of pathological conditions of the nervous system with the goal of prevention of these disorders; research on the natural course of neurological disorders; research on mechanisms associated with stroke and other cerebrovascular disorders, effects of trauma to the nervous system, neuroplasticity and regeneration, and tumors of neural and sensory tissues; improved methods of disease prevention; new methods of diagnosis and treatment; clinical trials; drug development; development of neural prostheses for stroke and paraplegia; epidemiological research; and research training in the clinical neurosciences. Programmatic areas within this Institute support research on such topics as stroke; traumatic injury to the brain, spinal cord and peripheral nervous system; neurodegenerative disorders, including Parkinson's disease and Alzheimer's disease; brain tumors; development of artificial prosthetic devices to restore function to the damaged nervous system; convulsive disorders, including epilepsy; infectious disorders of the brain and nervous system, including AIDS; immune disorders of the brain and nervous system, including multiple sclerosis; disorders related to sleep; and pain. Programmatic areas also include neurodevelopment, repair and plasticity, channels synapses and circuits, neurogenetics, neurodegeneration, neural environment, and systems and cognitive neuroscience. Extramural research is also supported by the Office of Minority Health and Research. (2) To expand and improve the Small Business Innovation Research (SBIR) program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. (3) To utilize the Small Business Technology Transfer (STTR) program; to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The NINDS also participates in the Academic Research Enhancement Award (AREA) Program, which is intended to provide research support to institutions currently having little National Institutes of Health (NIH) support.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Research grants may be used to provide salaries, equipment, supplies, travel and other expenses for research. The grantee institution is obliged to expend grant funds prudently for the purposes stated in the application and award document. National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas, or to institutions, to enable them to make NRSAs to individuals selected by them. Each individual who receives a NRSA is obligated upon termination of the award to comply with service and payback provisions. Career Development Awards such as the Independent Scientist Awards provide support for newly independent scientists with health related degrees who can demonstrate the need for a period of intensive research focus as a means of enhancing their research careers. Mentored Clinical Research Scientist Development Awards provide support for clinically trained health professionals who need an additional period of sponsored research experience as a way to gain expertise in a research area new to the candidate or in an area that would demonstrably enhance the candidate's scientific career. The Mentored Research Scientist Development Award are of three types: Re-Entry into the Neurological Sciences (RENS) which are made to women and men who have been out of neuroscience research for at least 3 years to give them an opportunity to re-establish their skills as independent

neuroscientists, the Career Development Award to promote diversity in neuroscience research support, an intensive, supervised research career development experience for underrepresented career neuroscientists, and Mentored Research Scientist Development Awards in Translational Research to support new investigators to build a program of translational research in neurological disorders. The Mentored Patient-Oriented Research Career Development Award provide support for a period of supervised study and research for clinically trained professionals who have the potential to develop into productive clinical investigators. The Mid-career Investigator Award in Patient-Oriented Research provide support for clinicians to allow them protected time to devote to patient-oriented research and to act as mentors for beginning clinical investigators. The Collaborative Neurological Sciences Award is to develop and promote competitive neurological science research programs at predominantly minority institutions through collaborations with grantees from research intensive institutions who have NIH grant support to conduct neurological science research. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research efforts initiated in Phase I that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. Grant funds may be expended only for the purpose stated in the application and award document. The NINDS participates in the NIH SBIR/STTR "fast track" initiative.

Applicant Eligibility:

Research Grants: Any public, private, nonprofit, or for-profit institution is eligible to apply. For-profit institutions are not eligible for Institutional National Research Service Awards but are eligible for Individual NRSA's. All proposals are reviewed for scientific merit, for evaluation of the qualifications of the investigators, for adequacy of the research environment, and for significance of the problem. Approved proposals compete for available funds. All Career Development Program awardees, with the exception of awardees of the Pathway to Independence Award, must be citizens or have been admitted to the United States for permanent residence. Candidates must be nominated for the program by a nonfederal public or private nonprofit institution located in the United States, its possessions or territories. Awardees for RENS must have been accepted by a sponsoring institution, had previous training and experience in neurological science, an interruption in their careers for a period of at least three years and not more than 8 years, and be citizens or non-citizen nationals of the United States. Awardees for the Collaborative Neurological Sciences Award must be from a predominantly minority institution and must have a doctoral degree in a basic or clinical science area. To be eligible, postdoctoral NRSA trainees or fellows must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the entire research must be performed in the United States. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Health professionals, graduate students, health professional students, scientists, and researchers.

Credentials/Documentation:

Research grants are awarded to an institution in the name of an individual investigator. Persons qualified to carry out research related to the extramural programs described above may apply for funds to support their investigations. Mentored Career Program training must be conducted under the direction of a competent sponsor. National Research Service Awards: Individual NRSA Fellowships for postdoctoral training: the candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. Institutional Training Grants for predoctoral and postdoctoral training: The applicant institution must

show the objectives, methodology and resources for the research training program; the qualifications and experience of directing staff; the criteria to be used in selecting individuals for stipend support; and a detailed budget and justification for the amount of grant funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74 and 92, Subpart Q. For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively.

Preapplication Coordination:

Research grant applications exceeding \$500,000 direct costs in any yearly budget period will not be accepted unless the NINDS has agreed to accept the application prior to submission (see <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-02-004.html>). This program is excluded from coverage under E.O. 12372.

Application Procedure:

NIH is in the process of transitioning to the SF424 family of forms and electronic submission through Grants.gov by research program or funding mechanism. See <http://era.nih.gov/ElectronicReceipt/> for a list of grant mechanisms that have already transitioned to electronic submission. For applications to be submitted electronically, see <http://era.nih.gov/ElectronicReceipt/>. The change from paper submission to electronic applications for grant programs currently targeted to transition after May 2007, including Career Development (K), Fellowship (F), Training & Development (T&D) and complex mechanisms (P, U) will be delayed. See <http://grants1.nih.gov/grants/forms.htm> to determine the appropriate paper application package (PHS 398 or PHS 416) to be used.

Award Procedure:

Research Grant and Training Program applications are reviewed initially by technical panels, composed of scientific authorities, and by the National Advisory Neurological Disorders and Stroke Council composed of 18 leaders in medical science, education, and public affairs. Approved applications will compete on a merit basis for available funds. Formal award notices are transmitted to the grantee or awardee. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

All new research grant and career program applications, plus all (new, competing continuation, supplemental or revised) program project and center grant applications deadline dates can be found at <http://grants1.nih.gov/grants/funding/submissionschedule.htm>.

Range of Approval/Disapproval Time:

Research grants: Approximately 6 to 9 months. Career program: From 6 to 9 months. SBIR/STTR applications: About 7-1/2 months. Institutional Training Grants: From 6 to 12 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute, and subsequently, the P.I. and applicant institution may appeal to the NINDS appeals officer. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

By application and review in same manner as new applications. Research Career Awards may not be renewed.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Research grant awards are made for a 12-month period with recommendation of up to 4 years of additional support. Career Program awards provide support for 3 to 5 years. Training Program awards are usually for a 12-month period with recommendation of additional support of up to a total of 5 years for predoctoral training and no more than 3 years for postdoctoral training. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must

be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States and Local Governments," and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last Financial Status Report for the report period.

Account Identification:

75-0886-0-1-552.

Obligations:

(Extramural Research) FY 07 \$1,340,542,000; FY 08 est \$1,345,652,000; and FY 09 est \$1,344,174,000.

Range and Average of Financial Assistance:

Research grants: \$50,000 to \$7,727,226; \$372,346. National Research Service Awards: Institutional \$20,674 to \$512,907; \$195,982. Individual: \$1,251 to \$68,740; \$37,264. SBIR: Phase I approximately \$150,000; Phase II not to exceed \$750,000. STTR: Phase I approximately \$100,000; Phase II not to exceed \$500,000. (The SBIR and STTR dollars are guidelines, not limits).

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, there were 3,470 competing research applications, and of that number 648 awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

Not applicable.

Headquarters Office:

National Institute of Neurological Disorders and Stroke (NINDS), Division of Extramural Research (DER), National Institutes of Health, Department of Health and Human Services, Neuroscience Center, 6001 Executive Boulevard, Bethesda, MD 20892. Director DER: Dr. Robert Finkelstein, Suite 3309, Telephone: (301) 496- 9248. The address above (include suite # below) should be used for each Program Contact: Dr. Ramona Hicks, Repair and Plasticity, Suite 2206, Telephone: (301) 496-1447; Dr. May Wong, Neural Environment, Suite 2113, Telephone: (301) 496-1431; Dr. Larry Refolo, Neurodegeneration, Suite 2223, Telephone: (301) 496-5680; Dr. Debra Babcock, Systems & Cognitive Neuroscience, Suite 2108, telephone: (301) 496-9964; Dr. Shai Silberberg, Channels, Synapses & Circuits, Suite 2142, Telephone: (301) 496-1917; Dr. Laura Mamounas, Neurogenetics, Suite 2114a, Telephone: (301) 496-5745; Dr. Yuan Liu, Office of International Activities, Suite 2187, Telephone: 301-496-0012; Dr. Deborah Hirtz, Clinical Trials, Suite 2212, Telephone: (301) 496-9135; Dr. Jill Heemskerck, Technology Development, Suite 2229, Telephone: (301) 496-1779; Grants Management Branch: Ms. Tijuana DeCoster, Grants Management Officer, Suite 3258, Telephone: (301) 496-9231; Contracts Management Branch: Mr. Kirk Davis, Contracts Management Officer, Suite 3280, Telephone: (301) 496-1813; Dr. Alfred Gordon, Office of Minority Health and Research, Suite 2151, Telephone: (301) 496-3102; Dr. Stephen Korn, Training and Career Development Officer, Suite 2186, Telephone: (301) 496-4188.

Web Site Address:

<http://www.ninds.nih.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Grants support research on neurological disorders such as: (1) Cerebral palsy; (2) disorders of aging including Parkinson's, Huntington's, and Alzheimer's diseases and the dementias; (3) muscular, neuromuscular and demyelinating disorders; (4) neuroendocrine studies; neural aspect of learning and behavior; (5) nervous system tumors, and infectious diseases of the central nervous system. Recently funded applications include studies designed to result in advances for a group of useful glycosidases, as well as mechanistic information for the activator protein that is required for lysosomal degradation of GM2, a glycosphingolipid involved in Tay-Sachs disease. Another study focuses on mechanisms of

synapse assembly, specifically the role of membrane associated quynlate kinases in the clustering and targeting of ion channels and receptors at the synapse. One example of the long range goal of a successful competing renewal application is to provide a better understanding of the mechanisms of movement of intracellular organelles along microtubules. Such movement plays a role in the process of fast axonal transport in nerve cells. The specific aims of another funded application are to assess environmental and genetic factors as determinants of hand preference, to determine whether measures of developmental stability can explain individual differences in phenotypic expressions of hand preference and to determine whether handedness is predictive of compromised immunological functioning and/or reproductive biology. A new project is aimed at addressing a series of fundamental issues regarding the mechanisms underlying the spread of synaptic modifications in neural networks. Another series of studies will take advantage of newly developed behavioral procedures and selective ibotenic acid lesions to compare the effects of lesioning hippocampus, subiculum, entorhinal cortex, and perirhinal cortex on learning and memory. Included in this effort are multi-disciplinary clinical research centers on Parkinson's disease, stroke, head and spinal cord injury, epilepsy, and demyelinating disorders, as well as program projects and a large number of individual grants. In head and spinal cord injury research studies, particular emphasis is given to the biomedical events and metabolism following injuries, with a view toward both salvaging damaged tissue and also creating conditions in which regeneration of injured nerve cells can take place. The clinical research program sponsors clinical trials to evaluate various therapeutic approaches to head and spinal cord injury, epilepsy, multiple sclerosis and other neurologic and neuromuscular disorders. The effects of systemic cancer on the central nervous system are studied, as part of the brain and spinal cord tumor research effort.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) the scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) the soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) the degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.855 ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title IV, Section 301, as amended, Public Law 78-410; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564, 42 U.S.C. 241.

OBJECTIVES:

To assist public and private nonprofit institutions and individuals to establish, expand, and improve biomedical research and research training in allergic and immunologic diseases and related areas to assist public, private, and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for research progress in allergic and immunologic diseases. NIAID's Allergy, Immunology and Transplantation Research concerns the immune system as it functions in the maintenance of health and as it malfunctions in the production of diseases. Because of this dual focus, the program encompasses both basic research and clinical research. Small Business Innovation Research (SBIR) program expands

and improves private sector participation in biomedical research. The SBIR Program intends to increase and facilitate private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The Small Business Technology Transfer (STTR) program stimulates and fosters scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Research Career Development Awards support the development of scientists during the formative stages of their careers. Individual National Research Service Awards (NRSAs) are made directly to approved applicants for research training in specified biomedical shortage areas. In addition, Institutional National Research Service Awards are made to enable institutions to select and make awards to individuals to receive training under the aegis of their institutional program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Beginning with fiscal year 1998, the direct costs awarded in the first year to a research project grant resulting from a competing renewal application will be limited to no more than a 20 percent increase over the direct costs awarded in the last non-competing year of that grant. Research grants provide funds for salaries, equipment, supplies, and travel. They also afford the collateral benefits of enriching the training experience of research workers. Grantees are expected to be judicious in using these funds. The application for a research grant sets forth specific terms and conditions and requires the signatures of the principal investigator and an official authorized to sign for the institution. Scientists and institutions are under an obligation to expend grant funds prudently for the purposes stated in the application and award document. For Research Career Development Awards (RCDAs) the scientists must demonstrate an outstanding research potential for independent research in the sciences related to transplantation, immunology, allergies, and immunological diseases. For National Research Service Awards (NRSAs), each individual who receives NRSA support is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

Universities, colleges, hospitals, laboratories, and other public or private nonprofit domestic institutions, including State and local units of government, and individuals are eligible to make application for grant support of research by a named principal investigator or a research career development candidate. For-profit organizations are also eligible, with the exception of NRSA. Individual NRSA awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All NRSA awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible, predoctoral candidates must have completed the baccalaureate degree, and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is being proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which researches proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Research grant applicants must define the objectives, methodology, and facilities for the program, and must present the program director's competence and scientific interest. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. Applicants for individual NRSAs must include their academic record, research experience, citizenship, institute sponsorship, and the proposed area and plan of training in their applications. The applicant for an institution must specify the objectives, methodology, and resources for the research training program, the qualifications experience of directing staff, the criteria to be used in selecting individuals for award, and a detailed budget justification for the amount of grant funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Use Form PHS-398 (Rev. May 1995) to apply for new, renewal, and supplemental research grants. Application forms and information concerning current areas of science being supported are available from the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892-7910. Telephone: (301) 435-0714. Fax: (301) 480-0525. E-mail: asknih@od.nih.gov. The standard application forms, as furnished by PHS and required by 45 CFR Part 92, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" homepage at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: telephone: (301) 206-9385; fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

All accepted applications are reviewed for scientific merit by an appropriate initial review group and a national advisory council. If recommended for approval and a decision to make an award is made, a formal award notice will be sent to the applicant and applicant institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

New Non-AIDS research applications: February 1, June 1 and October 1. For Renewal and Supplemental Non-AIDS research grant applications: March 1, July 1, and November 1. For all AIDS research grant applications: January 2, May 1, September 1. Research Career Development Award programs: February 1, June 1, October 1. Individual NRSAs: April 5, August 5, and December 5. Institutional NRSA: September 10. SBIR/STTR: April 1, August 1 and December 1.

Range of Approval/Disapproval Time:

From 8 to 9 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. Final decisions on unresolved appeals are made with the advice of the National Advisory Allergy and Infectious Diseases Council.

Renewals:

Renewals of research grant support are by competitive application and review. The competitive application may request support for a segmental period of up to 5 years.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Research grant project periods average 4 years; they may not be extended beyond 7 years. (Project periods are generally composed of 1-year budget periods.) SBIR Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Annual progress reports and financial status reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0885-0-1-552.

Obligations:

(Grants) FY 07 \$626,977,000; FY 08 est \$628,084,000; and FY 09 est \$630,219,000. (SBIR) FY 07 \$11,836,000; FY 08 est \$12,458,000; and FY 09 est \$12,458,000. (STTR) FY 07 \$415,000; FY 08 est \$437,000; and FY 09 est \$437,000.

Range and Average of Financial Assistance:

(Grants) \$3,000 to \$4,457,456; \$404,762. (NRSA) \$7,000 to \$1,571,338; \$208,799. SBIR Average Phase I awards are for approximately \$263,507; Phase II awards may be made for amounts up to \$700,827 (total for funding period). (STTR) average Phase I awards are for approximately \$415,035; Phase II awards may be made for amounts up to \$ - (total for the funding period).

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, a total of 1,526 grant awards were made to establish, expand, and promote research and research training in basic immunobiology and immunochemistry; hypersensitivity and inflammation; asthma and allergic diseases; the immune system and its disorders; immunopathology, transplantation biology immunogenetics and lymphocyte biology; and the support of research centers for the study of asthma and allergic diseases, immunodermatology, and immunological diseases. A total of 15 SBIR Phase I, 9 SBIR Phase II, 4 STTR Phase I, and 1 Phase II Awards were made in fiscal year 2006. An estimated 1,659 and 1,684 total awards will be made in fiscal years 2007 and 2008 respectively.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Dr. John J. McGowan, Director, Division of Extramural Activities, National Institute of Allergy and Infectious Diseases, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 496-7291. Grants Management Contact: Mary Kirker, Grants Management Officer, Grants Management Branch, National Institute of Allergy and Infectious Diseases, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 496-7075. Use the same numbers for FTS.

Web Site Address:

<http://www.niaid.nih.gov>.

RELATED PROGRAMS:

93.856, Microbiology and Infectious Diseases Research.

EXAMPLES OF FUNDED PROJECTS:

(1) Immunobiology and immunochemistry studies: (a) investigate the biology and chemistry of the immune system and its products; and (b) projects in lymphocyte biology supporting multi-disciplinary efforts in immunobiology, cell biology, genetics, biochemistry and immunochemistry with the goal of developing the capability to intervene and manipulate the immune system in health and disease. Particular focus is given to Acquired Immune Deficiency Syndrome. (2) Research in genetics and transplantation biology: (a) encompasses a broad approach to clarify how genes and gene products affect the

immune response system, the goal being to understand the genetic and immunologic mechanisms involved in organ transplantation and in resistance or susceptibility to disease. (3) Studies in asthma and allergic diseases: (a) involve the etiology, pathogenesis, diagnosis, prevention, and treatment of both naturally occurring and acquired allergic diseases; and (b) asthma studies include both primary and predisposing factors. (4) Research in immunologic diseases: (a) investigates the underlying mechanisms of disease, as well as applications of basic knowledge to the etiology, prevention, and management of immunologic disorders; and (b) utilizes either of two disciplinary approaches - clinical immunology or immunopathology.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.856 MICROBIOLOGY AND INFECTIOUS DISEASES RESEARCH**FEDERAL AGENCY:**

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title IV, Section 301, as amended, Public Law 78-410; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To support research related to Microbiology and Infectious Diseases with the broad aim of improving health by controlling disease caused by infectious or parasitic agents. Projects range from studies of microbial physiology and antigenic structure to collaborative trials of experimental drugs and vaccines. Also supported are studies on the mechanisms of resistance to antibiotics as well as research dealing with epidemiological observations in hospitalized patients or community populations. The objectives of the program are to assist public and private nonprofit institutions and individuals to establish, expand and improve biomedical research and research training in infectious diseases and related areas; to assist public, private and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for programs in infectious diseases; and to make grants or award contracts to public and nonprofit private entities to expand, remodel, renovate, or alter existing research facilities or construct new facilities. Small Business Innovation Research (SBIR) program expands and improves private sector participation in biomedical research. The SBIR Program intends to increase and facilitate private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The Small Business Technology Transfer (STTR) program stimulates and fosters scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and

economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Research Career Development Awards support the development of scientists during the formative stages of their careers. Individual National Research Service Awards (NRSA) are made directly to approved applicants for research training in specified biomedical shortage areas. In addition, Institutional National Research Service Awards are made to enable institutions to select and make awards to individuals to receive training under the aegis of their institutional program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Beginning with fiscal year 1998, the direct costs awarded in the first year to a research project grant resulting from a competing renewal application will be limited to no more than a 20 percent increase over the direct costs awarded in the last non-competing year of that grant. Research grants provide funds for salaries, equipment, supplies, and travel. They also afford the collateral benefits of enriching the training experience of research workers. Grantees are expected to be judicious in using these funds. The application for a research grant sets forth specific terms and conditions and requires the signatures of the principal investigator and an official authorized to sign for the institution. Scientists and institutions are under an obligation to expend grant funds prudently for the purposes stated in the application and award document. For Research Career Development Awards (RCDAs) the scientists must demonstrate an outstanding research potential for independent research in the sciences related to transplantation, immunology, allergies, and immunological diseases. For National Research Service Awards (NRSA), each individual who receives NRSA support is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

Universities, colleges, hospitals, laboratories and other public or private nonprofit domestic institutions, including State and local units of government. Individuals are eligible to make application for grant support of research by a named principal investigator or a research career development candidate. For-profit organizations are also eligible, with the exception of NRSA. Individual NRSA awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All NRSA awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible, predoctoral candidates must have completed the baccalaureate degree and postdoctoral candidates must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng.), or must have an equivalent domestic or foreign degree. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is being proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which researches proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Research grant applicants must define the objectives, methodology, and facilities for the program, and must present the program director's competence and scientific interest. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate

to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. Applicants for Individual NRSA must include their academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training in their applications. The applicant for an Institutional NRSA must specify the objectives, methodology, and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for award, and a detailed budget and justification for the amount of grant funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local government. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Use Form PHS-398 (Rev. May 1995), (PHS- 5161-1 for State and local government units) to apply for new, renewal, and supplemental research grants. Application forms and information concerning current areas of science being supported are available from the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892. Telephone: (301) 435-0714. Fax (301) 480-0525. E-mail: asknih@od.nih.gov. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: phone: (301) 206-9385; fax: (301) 206-9722; e-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

All accepted applications are reviewed for scientific merit by an appropriate initial review group and a national advisory council. If the application is recommended for approval and a decision to make an award is made, a formal award notice will be sent to the applicant or applicant institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

New Non-AIDS research applications: February 1, June 1, and October 1. For Renewal and Supplemental Non-AIDS research grant applications: March 1, July 1, and November 1. For all AIDS research grant applications: January 2, May 1, September 1. Research Career Development Award programs: February 1, June 1, October 1. Individual NRSA: April 5, August 5, and December 5. Institutional NRSA: September 10. SBIR/STTR: April 1, August 1, and December 1.

Range of Approval/Disapproval Time:

From 8 to 9 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. Final decisions on unresolved appeals are made with the advice of the National Advisory Allergy and Infectious Diseases Council.

Renewals:

Renewals of research grant support are by competitive application and review. The competitive application may request support for a segmental period of up to 5 years.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Research grant project periods average 4 years; these may not be extended beyond 7 years. (Project periods are generally composed of 1-year budget periods.) SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Annual progress reports and financial status reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0885-0-1-552.

Obligations:

(Grants) FY 07 \$1,970,809,000; FY 08 est \$1,974,287,000; and FY 09 est \$1,980,998,000. (SBIR) FY 07 \$77,591,000; FY 08 est \$81,665,000; and FY 09 est \$81,665,000. (STTR) FY 07 \$10,509,000; FY 08 est \$11,061,000; and FY 09 est \$11,061,000.

Range and Average of Financial Assistance:

(Grants) \$2,500 to \$46,482,429; \$538,472. (NRSAs) \$4,202 to \$647,660; \$141,463. (SBIR) Average Phase I awards are for approximately \$280,384. Phase II awards may be made for amounts up to \$801,192 (total for funding period). (STTR) Phase I approximately \$302,167; Phase II up to \$1,085,549 (total per funding period).

PROGRAM ACCOMPLISHMENTS:

An estimated 4,098 and 4,159 awards will be made in fiscal years 2007 and 2008 respectively.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; (SBIR) Small Business Administration Policy Directive No. 65 01 (47 FR 52966 et seq. (1982), as amended by Policy Directive No. 65 01.1 (48 FR 38794 et seq. (1983)); Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Dr. John J. McGowan, Acting Director, Division of Extramural Activities, National Institutes of Allergy and Infectious Diseases, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 496-7291. Grants Management Contact: Mary Kirker, Grants Management Officer, Grants Management Branch, National Institute of Allergy and Infectious Diseases, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 496-7075. Use the same numbers for FTS.

Web Site Address:

<http://www.niaid.nih.gov>

RELATED PROGRAMS:

93.855, Allergy, Immunology and Transplantation Research.

EXAMPLES OF FUNDED PROJECTS:

(1) Areas of molecular microbiology include: basic research, biochemistry, physiology, and genetics of bacteria and fungi; the synthesis of new antimicrobial agents through organic chemistry; and the discovery of new antibiotics from natural sources. Areas of high relevance are: mechanisms of resistance to microbial agents, either of plasmid or chromosomal origin; and the manipulation of recombinant DNA molecules to better ascertain the molecular basis of pathogenicity and to create new substances of biological and medicinal utility. (2) In the area of bacteriology and mycology, research is conducted on a wide variety of problems involved directly or indirectly with diseases of man caused by bacteria and related agents. Studies to further the knowledge of the organisms involved include: investigations on the biology and physiology of bacteria; their morphology; and on antigenic structure and composition, toxins and endotoxins. More specific disease-related research includes studies on pathogenesis, immunopathology, host defense mechanisms, diagnostic procedures, therapeutic measures, animal models and the epidemiology of disease. Support is also provided for several specific disease program areas such as sexually transmitted diseases, hospital associated infections, and streptococcal diseases and sequelae. Research is also conducted in the area of mycobacteriology which includes two major diseases: tuberculosis and leprosy. This program also supports studies of bacterial diarrhea, bacterial vaccines, and antimicrobial agents. (3) Studies on viruses and diseases of importance to human health are also supported. Research in general virology encompasses the

biology of viruses and the immunopathogenesis of viral diseases. Studies that will significantly advance the knowledge of viral structure, replication, genetics, immunology, and interaction between virus and host are encouraged, as well as research on mechanisms of viral persistence and latency that underlie problems of chronic and recurring viral diseases and studies of viral pathogenesis and host's responses to viral infections or to vaccines. Several areas of particular interest include: viral hepatitis, influenza, viral diarrhea, antiviral substances, viral vaccines and Reye's Syndrome. (4) Research in parasitology includes projects designed to obtain a clearer understanding of host-parasite and vector-parasite relationships, with the ultimate goal of applying this basic information to the control of parasitic diseases through such procedures as chemoprophylaxis, chemotherapy, and vector control. Research projects cover the entire field of parasitology and medical entomology. Emphasis has been directed toward studies on the immunology of parasitic infections and the biological regulation of vectors. (5) Studies are also being conducted on Acquired Immune Deficiency Syndrome, and include basic, applied, preclinical and clinical research on HTLV-III/LAV and related retroviruses for eventual control of HTLV-III/LAV infections. This includes research on the epidemiological, clinical, immunological, and urological aspects of this disease as well as the prevention and treatment of the major opportunistic infections associated with AIDS.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: the scientific merit and general significance of the proposed study and its objectives; the technical adequacy of the experimental design and approach; the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; the necessity of the budget components requested in relation to the proposed project; and the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.859 BIOMEDICAL RESEARCH AND RESEARCH TRAINING

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Section 301 and Title IV of the Public Health Service Act, as amended.

OBJECTIVES:

The National Institute of General Medical Sciences supports basic biomedical research that increases understanding of life processes and lays the foundation for advances in disease diagnosis, treatment, and prevention. The Institutes programs encompass the areas of cell biology, biophysics, genetics, developmental biology, pharmacology, physiology, biological chemistry, bioinformatics, computational biology, and minority biomedical research and training.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

NIH is organized into divisions and a center that support research and research training in a range of scientific fields. One division has the specific mission of increasing the number of biomedical and behavioral scientists who are members of underrepresented minority groups. Major areas in which these units fund research are listed by Division names. Division of Cell Biology and Biophysics: analytical and separation techniques; biophysical properties of proteins and nucleic acids; cell organization, motility, and division; cellular imaging; membrane structure and function; molecular biophysics; single-molecule biophysics and nanoscience; spectroscopic techniques; structural biology; and structural genomics and proteomics. Division of Genetics and

Developmental Biology: chromosome organization and mechanics; developmental biology and genetics; DNA replication, recombination, and repair epigenetics; extrachromosomal inheritance; mechanisms of mutagenesis; neurogenetics and the genetics of behavior; population genetics, evolution, and the genetics of complex traits; protein synthesis; regulation of cell growth, cell division, cell death, and differentiation; RNA transcription and processing; and stem cell biology. Division of Pharmacology, Physiology, and Biological Chemistry: anesthesiology; biochemistry; bioenergetics; bio-organic and bio-inorganic chemistry; biotechnology and metabolic engineering; drug absorption, distribution, metabolism, excretion, and predictive toxicology; enzymology; glycochemistry and glycobiology; molecular immunobiology; pharmacogenetics/pharmacogenomics; pharmacology and clinical pharmacology; physiology; synthetic and medicinal chemistry; trauma and burn injury; and wound healing. Division of Minority Opportunities in Research: undergraduate student training and development, post-baccalaureate research education, predoctoral research, postdoctoral research and teaching skill development, faculty development, faculty research, and efficacy of interventions research. Center for Bioinformatics and Computational Biology: biomedical software development, cell and molecular modeling and simulation, computational genomics, database design and enhancement, high-throughput data analysis, and systems biology.

Applicant Eligibility:

NIGMS trainees must be U.S. citizens, non-citizen nationals, or permanent residents.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

NIH announces availability of funds for grant programs by issuing funding opportunity announcements in the NIH Guide for Grants and Contracts (<http://grants.nih.gov/grants/guide/index.html>) and on Grants.gov (<http://www.grants.gov>). Application packages must be downloaded from Grants.gov and submitted through Grants.gov. This program is subject to the provisions of 45 CFR, Part 92 for state and local governments and 45 CFR, Part 72 for other organizations, as appropriate.

Award Procedure:

All accepted applications are reviewed for scientific merit by an appropriate initial review group and by a national advisory council. National Research Service Award (NRSA) applications for the support of individual fellows and other applications requesting less than \$50,000 direct costs per year are not reviewed by the council.) All approved applications compete for available funds on the basis of scientific merit and program emphasis.

Deadlines:

A list of NIGMS application receipt dates is available online at <http://www.nigms.nih.gov/Research/Application/AppReceiptDates.htm>.

Range of Approval/Disapproval Time:

Project Grants: about 9 months. NRSA: (institutional) 9-12 months, (individual) 6 months. Small Business Innovation Research (SBIR)/Small Business Technology Transfer Research (STTR): about 7-1/2 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Renewal grants are generally made prior to the expiration of any current award.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Almost all awards are made for at least 1 year, with additional support (up to 4 more years) depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds.

Reports:

Annual progress and selected financial status reports for continuing projects and final progress and financial reports on all projects upon conclusion are required. Recipients of NRSA are required to file termination reports to ascertain compliance with the service and paycheck provisions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Audits of profit making organizations are addressed in 45 CFR Part 72 (Subpart C, Section 74.26). In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0897-0-1-552.

Obligations:

(Grants) FY 07 \$1,857,878,000; FY 08 \$1,857,074,000; and FY 09 est \$1,858,154,000.

Range and Average of Financial Assistance:

\$20,000 to \$7,500,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2008, the Institute's budget was \$1.9 billion. The vast majority of this money goes to fund grants to scientists at universities, medical schools, hospitals, and research institutions throughout the country. At any given time, NIGMS supports approximately 4,400 research grants--about 11 percent of the grants funded by NIH as a whole. NIGMS also supports approximately 25 percent of the trainees who receive assistance from NIH.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 16 Procedures of the Departmental Grant Appeals Board; 45 CFR 74; 45 CFR 92; NIH Extramural Programs brochure; miscellaneous program literature from Headquarters Office. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

Not applicable.

Headquarters Office:

National Institute of General Medical Sciences, National Institutes of Health, 45 Center Drive MSC 6200, Bethesda, MD 20892-6200. Division contacts: Division of Cell Biology and Biophysics, Dr. Catherine Lewis, director; Telephone: (301)594-0828; Division of Genetics and Developmental Biology, Dr. Judith H. Greenberg, director; Telephone: (301) 594-0943; Division of Pharmacology, Physiology, and Biological Chemistry, Dr. Michael E. Rogers, director; Telephone: (301) 594-3827; Division of Minority Opportunities in Research, Dr. Clifton A. Poody, director; Telephone: (301) 594-3900; Division of Extramural Activities, Dr. Ann Hagan, director; Telephone: (301) 594-3910; Center for Bioinformatics and Computational Biology Dr. Karin Remington, director; Telephone: (301) 451-6446.

Web Site Address:

<http://www.nigms.nih.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

NIGMS supports a wide array of grants supporting both research and training in areas described in paragraph 50. Examples of these grants are available in the Computer Retrieval of Information on Scientific Projects (CRISP) database at <http://crisp.cit.nih.gov/>.

CRITERIA FOR SELECTING PROPOSALS:

For an overview of NIGMS grant application and review, including funding policy, see <http://www.nigms.nih.gov/Research/Application/GrantAppRev.html>.

93.865 CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 301, 448 and 487, as amended, Public Laws 78-410 and 99-158, as amended, 42 U.S.C. 241; 42 U.S.C. 285g; 42 U.S.C. 288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To conduct and support laboratory research, clinical trials, and studies with people that explore health processes. NICHD researchers examine growth and development, biologic and reproductive functions, behavior patterns, and population dynamics to protect and maintain the health of all people. To examine the impact of disabilities, diseases, and defects on the lives of individuals. With this information, the NICHD hopes to restore, increase, and maximize the capabilities of people affected by disease and injury. To sponsor training programs for scientists, doctors, and researchers to ensure that NICHD research can continue. By training these professionals in the latest research

methods and technologies, the NICHD will be able to conduct its research and make health research progress until all children, adults, families, and populations enjoy good health.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grantee agrees to administer the grant in accordance with the regulations and policies governing the research grant programs of the Public Health Service as stated in the terms and conditions on the application for the grant. National Research Service Awards: Awarded to individuals for full-time research training in specified behavioral and biomedical shortage areas. Awardees may utilize some of their time in academic and clinical duties if such work is closely related to their research training. Awards may be made to institutions to enable them to make NRS awards to individuals selected by them. Each individual awardee is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1- year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Universities, colleges, medical, dental and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private institutions, both nonprofit and for-profit, and individuals. National Research Service Award: Support is provided for academic and research training only, in health and health-related areas that are periodically specified by the National Institutes of Health. Individuals with a professional or scientific degree are eligible (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Predoctoral research training grants to institutions are also supported. Proposed study must result in biomedical or behavioral research training in a specified shortage area and which may offer opportunity to research health scientists, research clinicians, etc., to broaden their scientific background or to extend their potential for research in health-related areas. Applicants must be citizens of the United States or be admitted to the United States for permanent residency; they also must be nominated and sponsored by a public or private institution having staff and facilities suitable to the proposed research training. Domestic nonprofit organizations may apply for the institutional NRS grant. SBIR: SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical or biobehavioral research.

Credentials/Documentation:

Applicants should submit the most recent revision of research grant application form to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892, using either PHS Form 398 or electronic submission via Grants.gov as directed in the relevant NIH Funding Opportunity Announcement. NIH is in the process of converting to electronic submission of grant applications through Grants.gov, using the SF-424 (Research and Related (R&R)) family of forms. Information on the transition plan and on registering for electronic submission is available at <http://grants.nih.gov/grants/gide/notice-files/NOT-OD-05-067.html>. Updates regarding the transition process may be viewed at <http://era.nih.gov/ElectronicReceipt/>. All required forms specified in the application kit are to be completed by the applicant and submitted with the application package. National Research Service Award: Institutional Award: The applicant's academic record, research experience, citizenship, and institution sponsorship should be documented in the application. Institutional Award: the applicant organization must show the objectives, methodology, and resources for

the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and a detailed budget and justification for the amount of grant funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations, 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms, as furnished by PHS and required by 45 CFR, Part 92, must be used for this program. National Research Service Award: Prior to formal application, an individual must arrange for acceptance at a sponsoring institution by a sponsor who will supervise the training. Individuals must be sponsored by a domestic or foreign institution. SBIR/STTR: Same as for grants (above). NRSA applications, may be obtained at <http://www.grants.nih.gov/grants/funding/416/phs416.htm>; e-mail: grantsinfo@nih.gov. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892-7710, or electronically via Grants.gov. The SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitation includes application forms, which, upon completion, should be submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. Small Business Technology Transfer (STTR) program uses same procedure as SBIR immediately above.

Award Procedure:

Each application receives a dual scientific review by non-NIH scientists. Awards are issued by the Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD). National Research Service Awards: Applications are reviewed for scientific merit by an appropriate study section committee or by an institute review committee. If recommended for approval and a decision is made to make an award, a formal award notice will be sent to the applicant and sponsor. Institutional Awards are issued by the Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD). All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for the available SBIR/STTR set-aside funds on the basis of scientific and technical merit and the commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Applications for New grants, Revisions, Resubmissions and Renewals and accepted at various dates throughout the year, as described in the corresponding Funding Opportunity Announcements. Standard due dates for competing applications may be found at:

<http://grants1.nih.gov/grants/funding/submissionschedule.htm>.

Range of Approval/Disapproval Time:

From 6 to 9 months: National Research Service Awards: From 6 to 9 months.

SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Renewal applications are accepted, except for small grants. National Research Service Awards: awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years. Institutional Awards may be renewed.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are usually made annually with no project period to exceed 5 years in length. National Research Service Awards: From 1 to 3 years. SBIR: Phase I awards are generally for 6 months; Phase II awards normally may not exceed 2 years. STTR Phase I awards are generally for 1 year; Phase II awards normally may not exceed 2 years.

Reports:

Annual progress reports and financial status reports are required. National Research Service Awards: Reports are required after termination to ascertain compliance with the service and payback provisions. Institutional Awards require annual progress reports and financial status reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0844-0-1-552.

Obligations:

(Total Grants): FY 07 \$853,803,000; FY 08 est \$854,205,000; and FY 09 est \$857,176,000. SBIR/STTR: FY 07 \$29,091,000; FY 08 est \$29,061,000; FY 09 est \$29,090,000.

Range and Average of Financial Assistance:

For research project grants, fiscal year 2008, range is \$50,000 to \$5,000,000; average is \$393,778. Individual research fellowship awards: Basic stipend (first year beyond the doctoral degree) of \$38,976. The sponsoring institution will be provided, on application, with an allowance of up to \$7,850 per year to help defray the cost of training. No dependency allowances. SBIR: Average Phase I awards are for approximately \$75,000; Phase II awards may be made for amounts up to \$750,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 1,716 competing and noncompeting research project grants were awarded. Estimated competing and noncompeting research project grants to be awarded are 1,646 in FY 08, and 1,643 in FY 09. In FY 07, 872 competing and noncompeting National Research Service Award (NRSA) trainees and fellows were funded. Estimated NRSA trainees are 872 in FY 08 and 872 in FY 09. SBIR/STTR: In FY 07, 100 SBIR/STTR awards were made. The estimate 100 in FY 08 and 100 in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; NIH Grants Policy Statement, (Rev.) March 1, 2001, available on the NIH website at http://grants.nih.gov/grants/policy/nihgps_2001/; NIH Guide to Grants and Contracts, available on the NIH website at <http://grants.nih.gov/grants/guide/>. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Dr. Gilman Grave, Acting Director, Center for Research for Mothers and Children (CRMC), Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD), NIH, DHHS, Building 61E, Room 4B05, MSC 7510, Bethesda, MD 20892-7510. Telephone: (301) 496-5097; Fax: (301) 480-7773; E-mail: graveg@mail.nih.gov. Dr. James Hanson, Director, Center for Developmental Biology and Perinatal Medicine (CDBPM). Dr. Florence P. Haseltine, Director, Center for Population Research (CPR). Dr. Michael Weinrich, Director, National Center for Medical Rehabilitation Research (NCMR). Grants Management Contact: Bryan Clark, Chief, Grants Management Branch, Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD), NIH, DHHS, Building 61E, Room 8A01, MSC-7510, Bethesda, MD 20892-7510. Telephone: (301) 435-6975; Fax: (301) 402-0915; E-mail: clarkb1@mail.nih.gov. Use the same numbers for FTS.

Web Site Address:

<http://www.nichd.nih.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Incidence of neural tube defects in hamsters reduced by folate supplementation prior to and during pregnancy; chromosome 15 linked to a subtype of dyslexia; limb development studies attempt to obtain a better understanding of how cells in the developing extremity are determined to later become muscle, cartilage, bone or connective tissue; a protein of fetal origin present in fetal urine may trigger the biochemical events leading to the onset of labor. SBIR: Motion Simulation Effectiveness of Colicky Infants; Research on an Auditory 'Mobile' for Infants; Design and Construction of Neonatal Isolette for 31P NMR;

Development of Non-Sexist Toys for Children.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of the significance of the proposed research: approach; innovation; investigators; and environment. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.866 AGING RESEARCH

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title III, Part A, Section 301, Title IV, Part C, Sections 444, 445, and 445 A-F, Part F, Section 487, as amended; Public Laws 78-410, 99-158, 100-607, 42 U.S.C.241; 42 U.S.C. 285; 42 U.S.C. 288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To encourage biomedical, social, and behavioral research and research training directed toward greater understanding of the aging process and the diseases, special problems, and needs of people as they age. The National Institute on Aging has established programs to pursue these goals. The biology of aging program emphasizes understanding the basic biological processes of aging. The geriatrics program supports research to improve the abilities of health care practitioners to respond to the diseases and other clinical problems of older people. The behavioral and social research program supports research that will lead to greater understanding of the social, cultural, economic and psychological factors that affect both the process of growing old and the place of older people in society. The neuroscience and neuropsychology of aging program fosters research concerned with the age-related changes in the nervous system as well as the related sensory, perceptual, and cognitive processes associated with aging. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Research grants are intended to support the direct costs of a project in accordance with an approved budget, plus an appropriate amount for indirect costs. Grantees must agree to administer the grant in accordance with the regulations and policies governing the research grants program of the Public Health Service. National Research Service Awards (NRSA) (Individual) are made directly to approved applicants for research training in specified biomedical shortage areas. In addition, National Research Service Awards (Institutional) may be made to institutions to enable them to make NRSA to individuals selected by them. Each individual who receives a NRSA is obligated, upon termination of the award, to comply with certain service and payback provisions. Regulations are published in the Code of Federal

Regulations and 42 CFR, Part 66. SBIR Phase I grants (of approximately 6 months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1- year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Grants: Universities, colleges, medical, dental and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private institutions (both for-profit and nonprofit), and individuals. National Research Service Award: Individual NRSA may be made for postdoctoral training to applicants who hold a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Institutional NRSA may be made for both predoctoral and postdoctoral research training. Predoctoral awardees must have a baccalaureate degree. Applicants must be citizens of the United States or admitted for permanent residency. Individual NRSA awardees must be nominated and sponsored by a public or private nonprofit institution having staff and facilities suitable to the proposed research training. Nonprofit domestic organizations may apply for the Institutional NRSA. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application that exceeds \$50,000 in direct costs must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. If the direct cost of a grant approved by a scientific review group does not exceed \$50,000, it may be funded without approval by a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

The grants application submission process is currently in transition from a paper-based to an electronic format. A current transition-to-electronic-submission timeline for all grant mechanisms in the National Institutes of Health (NIH) Extramural Research Program timeline can be obtained here: <http://era.nih.gov/ElectronicReceipt/files/>. NIH recently published a Notice to the NIH Guide to Contracts and Grants announcing a change to the transition schedule for the remaining grant programs still requiring the submission of paper applications. The URL to the Notice is: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-07-038.html>.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

For grant programs still requiring submission of paper applications, the standard application forms, as furnished by the Public Health Service (PHS) and required by 45 CFR Part 92 for State and local governments, must be used. Consultation from institute staff is available for all grant programs. Applicants are encouraged to visit the Internet Web sites of the 27 institutes and centers at the NIH to obtain contact information for program staff. Applicants for multi-project awards are strongly encouraged to consult program staff before applying. Applicants may obtain forms and instructions for application preparation and submission at the following Internet Web site: <http://grants.nih.gov/grants/forms.htm>. When completed these applications should be submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD. Applications are reviewed for scientific merit, evaluation of applicant qualifications, adequacy of the research environment, and significance of the proposed problem to be studied. The application form and instructions for the preparation and submission of applications to the National Research Service Awards Research Training Grants and Fellowships (NRSA) Program, PHS Form 416-1, can be obtained from the following URL (<http://grants.nih.gov/grants/funding/416/phs416.htm>). Prior to making formal application to the NRSA programs, individual NRSA applicants must be nominated and sponsored by a Federal, public or nonprofit institution having staff and facilities appropriate to the proposed research training program.

Information concerning current areas of science being supported by those institutes participating in the NRSA Program at the NIH is available on the individual institute Web sites. A complete list of institutes at NIH is available at: <http://www.nih.gov/icd>. In addition, information about each institute's NRSA research topics and information about the NRSA Program can be obtained from the Division of Extramural Outreach and Information Resources, (see address above). The NRSA program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. The annual NIH Small Business Innovation Research (SBIR)-Small Business Technology Transfer (STTR) Omnibus Solicitation and SBIR-STTR Contract Solicitation may be obtained electronically by accessing the following URL: <http://grants1.nih.gov/grants/funding/sbir.htm>. The solicitations include application preparation and submission guidelines and instructions, information about review considerations, and Internet hyperlinks to the SBIR-STTR application and/or contract proposal forms. Applications to NIH's SBIR-STTR Programs must be submitted electronically through the U.S. Federal Government's Internet Web site, Grants.gov: www.grants.gov. Submission of SBIR-STTR applications through Grants.gov requires pre-registration, which typically takes 2-4 weeks to complete. Information about registration to submit applications through Grants.gov can be found at: <http://era.nih.gov/ElectronicReceipt/preparing.htm>. Applicants are encouraged to read and refer to the extensive information on electronic submission of grant applications on the NIH Electronic Submission of Grants Applications Internet Web site at: <http://era.nih.gov/ElectronicReceipt>.

Award Procedure:

Grants and Institutional NRSA: Each application receives an initial scientific review by non-NIH scientists and a secondary review by the National Advisory Council on Aging. Individual NRSA do not receive a secondary review by the National Advisory Council on Aging. Awards are issued by the NIA to the grantee institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Deadlines vary by type of award. See <http://grants.nih.gov/grants/dates.htm>.

Range of Approval/Disapproval Time:

Grants: From 6 to 9 months. National Research Service Awards: From 6 to 9 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/notice-files/not97-232.html.

Renewals:

Grants: Renewal applications are accepted. National Research Service Awards: Awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grant Awards are usually made annually and usually with project periods not to exceed 5 years in length. National Research Service Awards: Institutional awards may be made for up to 5 years, and individual awards may be made for as many as 3 years. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Grants: Annual progress reports and reports of expenditures are required. National Research Service Awards: Institutional awards require that a statement of appointment for each trainee selected by the Program Director be submitted to the NIA for each year of training. Reports are required after termination of the awards to ascertain compliance with the service and payback provisions for each institutionally selected trainee. Individual awards require reports upon award expiration to determine compliance with the service and payback provisions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0843-0-1-552.

Obligations:

(Grants) FY 07 \$836,185,000; FY 08 est \$829,825,000; and FY 09 est \$828,635,000. (NRSA) FY 07 \$23,111,000; FY 08 est \$23,773,000; and FY 09 est \$23,963,000. (SBIR grants) FY 07 \$25,365,000; FY 08 est \$25,500,000; and FY 09 est \$25,500,000.

Range and Average of Financial Assistance:

Competing Grants (FY 07): \$20,521 to \$2,149,730 average - \$332,813.

Individual NRSA: Basic stipend (first year beyond the doctoral degree) of \$36,996. The sponsoring institution will be provided with an allowance of up to \$6,500 per year to help defray the cost of training. No dependency allowances.

Competing institutional NRSA: \$83,624 to \$691,724; average - \$320,695.

SBIR: Phase I awards may be made up to \$100,000; Phase II awards may be made for amounts up to \$750,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 1,545 competing and noncompeting research project grants were funded. A total of 2,140 competing research project grant applications were reviewed and 472 were awarded. For the SBIR/STTR program, there were 73 Phase I awards and awards. Approximately 2,240 competing research project grant applications are expected in fiscal years 2008 and 2009. Estimated competing research project grant awards for fiscal year 2008 are 446 and 518 in fiscal year 2009. In fiscal year 2007, 181 trainees and fellows were supported under competing NRSA. It is estimated that approximately the same number of trainees and fellows will be supported under competing NRSA applications in both fiscal years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Program Contacts: For information on the biology of aging, contact: Dr. Felipe Sierra, National Institute on Aging, National Institutes of Health, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 496-4996. For information on geriatrics and clinical research, contact Dr. Evan Hadley (same address). Telephone: (301) 496-6761. For information on behavioral and social research, contact: Dr. Richard Suzman (same address). Telephone: (301) 496-3136. For information on neuroscience and neuropsychology of aging research, contact: Dr. Marcelle Morrison-Bogorad (same address). Telephone: (301) 496-9350. For information on Small Business Innovation Research Program, contact: Dr. Robin Barr (same address). Telephone: (301) 496-9322. Grants Management Contact: Ms. Linda Whipp, Grants Management Officer, Office of Extramural Affairs, National Institute on Aging, National Institutes of Health, Department of Health and Human Services, Bethesda, MD 20892. Telephone: (301) 496-1472. Use the same numbers for FTS.

Web Site Address:

<http://www.nia.nih.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Studies of the cellular processes that may lead to impaired immune function in older people; (2) longitudinal studies of health, functional status, and health care expenditures in older Americans; (3) studies to identify "longevity assurance" genes in various animal models; (4) studies to identify genes associated with Alzheimer's disease, Parkinson's disease, and other neurological diseases and conditions; (5) a major multi-center clinical studies of treatments of cognitive impairment and behavioral disorders associated with Alzheimer's disease; (6) a study that is developing improved diagnostic methods for the detection of Alzheimer's disease; (7) studies of the consequences of natural and man-made disasters on vulnerable groups; (8) a multi-site study of the biological and psychosocial aspects of menopause and subsequent health status of study participants; (9) a program of interdisciplinary care planning, family support, and activity for patients with moderate dementia; (10) studies of the epidemiology, pathophysiology, and clinical aspects of anemia in the elderly; (11) a community-based, epidemiological study for evaluating health disparities in socioeconomically and racially diverse populations in a major metropolitan area.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: the scientific merit and general significance of the proposed study and its objectives; the technical adequacy of the experimental design and approach; the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; the necessity of the budget components requested in relation to the proposed project;

and the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.867 VISION RESEARCH**FEDERAL AGENCY:**

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Title III, Part A, Section 301; Health Research Extension Act of 1985, Public Law 99-158, 42 U.S.C. 241; Title IV, Part B, Section 405; 42 U.S.C. 284; Part C, Section 455; 42 U.S.C. 285i; Health Omnibus Programs Extension Act of 1988, Section 487(d); Public Law 100-607, 42 U.S.C. 288(d); Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To support eye and vision research projects that address the leading causes of blindness and impaired vision in the U.S. These include retinal diseases; corneal diseases; cataract; glaucoma and optic neuropathies; strabismus; amblyopia; and low vision and blindness rehabilitation. To increase understanding of the normal development and function of the visual system in order to better prevent, diagnose, and treat sight-threatening conditions; and, to enhance the rehabilitation, training, and quality of life of individuals who are partially-sighted or blind. To support a broad program of basic vision research through grants and cooperative agreements; to encourage high quality clinical research, including clinical trials, other epidemiologic studies, and health services research; to encourage research training and career development in the sciences related to vision; and to sponsor scientific workshops in high priority research areas to encourage exchange of information among scientists. Small Business Innovation Research (SBIR) program: To increase private sector commercialization of innovations derived from Federal research and development; to encourage small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Research grants and cooperative agreements provide funds for salaries, consultant costs, equipment, supplies, travel, patient costs, alterations and renovations, other expenses, and consortium/contractual costs. The scientists and institutions are under an obligation to expend grant funds prudently for the purposes as stated in the application and award document. The Conference Cooperative Agreement supports scientific meetings and workshops in high priority research areas to encourage exchange of information among scientists. The Center Core Grant is intended to enhance an institution's environment and capability to conduct vision research, to facilitate collaborative studies of the visual system and its disorders, and to attract scientists of diverse disciplines to research on the visual system. Career development awards include the Mentored Clinical Scientist Development Award, Mentored Patient-Oriented Research Career Development Award, Institutional Clinical Scientist Development Program, and the Mid-career Investigator Award in Patient-Oriented Research.

The Clinical Study Planning Grant is designed to support the development of an applied clinical research plan. NEI Research Grants for Secondary Data Analysis provide limited support for meritorious research projects that involve secondary analysis of research data generated from clinical trials, population research and other applied clinical vision research projects supported by the Institute. Bioengineering Research Grants (BRG) support basic bioengineering research whose outcomes are likely to advance health or health-related vision research. BRGs may propose to apply basic bioengineering design-directed or hypothesis-drive research to an important vision research area. Bioengineering Research Partnership grants support multidisciplinary research teams applying an integrative, systems approach to develop knowledge and/or methods to prevent, detect, diagnose, or treat diseases of the eye and visual system. NEI Translational Research Program on Therapy for Visual Disorders grants provide support to collaborative, multidisciplinary research focused on the development of novel therapies to restore or prevent the loss of function due to visual diseases and disorders. Small Business Innovation Research Phase I grants are awarded to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I that is likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. Small Business Technology Transfer Research, Phase I grants are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application. National Research Service Awards (NRSA), both individual and institutional, support training in vision research. Some individuals who receive an NRSA may be obligated upon termination of the award to comply with certain service and payback provisions. The NIH Extramural Loan Repayment Programs provides payback of a portion of eligible student loan debt of qualified health professionals who agree to conduct clinical research.

Applicant Eligibility:

Public/State-controlled institutions of higher education, private institutions of higher education, Hispanic-serving institutions, Historically Black Colleges and Universities, tribally-controlled colleges and universities, Alaska Native- and Native Hawaiian-serving Institutions, nonprofits with or without 501(c)(3) IRS status, Small Businesses, for-profit organizations, federal institutions, and State and local units of government are eligible to make application for research grants, cooperative agreements, and career development awards. Foreign institutions may apply for research grants only. The grantee institution must agree to administer the grant in accordance with prevailing regulations and policies. Candidates for Mentored Clinical Scientist Development Awards are restricted to those holding health professional degrees in the clinical sciences (M.D., O.D., D.D.S., D.V.M., or equivalent). NRSA are provided for predoctoral and postdoctoral research training. Individual NRSA may be made for applicants who hold a professional or scientific degree (M.D., Ph.D., O.D., D.D.S., D.V.M., Sc.D., D.Eng., or equivalent degree). Institutional NRSA may be made for both predoctoral and postdoctoral research training. Predoctoral awardees must have completed the baccalaureate degree. All awardees must be citizens of the United States, or have been admitted to the U.S. for permanent residence prior to award. Individual NRSA awardees must be nominated and sponsored by a Federal, public or private nonprofit institution having staff and facilities suitable to the proposed research training. Federal and for-profit organizations, and State and local governments may not apply for an institutional NRSA. Refer to the NIH Program Guidelines on NRSA for further information. The Small Business Innovation grants: SBIRs can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have not more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of the award and during the conduct of the proposed project. The research during both Phase I and Phase II must be performed in the U.S. or its possessions. Only Phase I awardees are eligible to receive Phase II support. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. Individuals applying for the NIH Loan Repayment Programs must be engaged in patient oriented research and be trained in a medical subspecialty as defined under Section 206 of Public Law 106-505. These individuals must be U.S. citizens, U.S. citizen nationals, or lawfully admitted for permanent residence in the U.S.; have a student loan debt which equals or exceeds 20 percent of their university compensation; have no Federal judgment lien against their property arising from a Federal debt; and owe no obligation of health professional service to the Federal government, a State, or other entity unless deferrals are granted

during the length of their Loan Repayment Program service obligation.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

(1) Research grants and cooperative agreements: Applications for research involving the use of human subjects must include documentation that all key personnel have received training in human subject protection. Applicants may provide additional documentation to establish the scientific and technical merit and the programmatic relevance of the application. (2) Individual NRSA applications: The applicant's academic record, research experience, institutional sponsorship, and the proposed area and plan of training must be included. (3) Institutional NRSA application: The applicant institution must discuss the objectives, methodology and resources for the research training program; the qualifications and experience of staff; the criteria to be used in selecting individuals for an appointment; and a budget and justification for the grant funds requested. Costs will be determined in accordance with the appropriate OMB Circular or with HHS Regulations 45 CFR, Part 74, Subpart Q. (4) For SBIR and STTR grants, the small business concern must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Loan Repayment Program applicants must provide certification of loan status, loan data verification, and an institutional supporting statement.

Preapplication Coordination:

Applicants for core grants, clinical study planning grants, cooperative agreements, clinical vision research development awards, small research grants for data analyses, and bioengineering research partnerships should seek preapplication coordination through contact with the National Eye Institute staff. All staff may be contacted at (301) 451-2020. Applicants planning to submit a grant application requesting \$500,000 or more in direct costs for any year are required to discuss their eligibility with the NEI staff, at (301) 451-2020. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Standard application forms, as required by 45 CFR, Part 74 and 92, must be used for this program. Prior to making formal application to the NRSA programs, individual NRSA applicants must be nominated and sponsored by a federal, public, or nonprofit institution having staff and facilities appropriate to the proposed research program. Information regarding the application process, access to forms, and information regarding the <http://www.Grants.Gov> site are available on the NEI web site at <http://www.nei.nih.gov>. Supplemental instructions for preparing applications for Core Grants, Mentored Clinical Scientist Development Awards, Clinical Study Planning Grants, and cooperative agreements for clinical studies or conferences are available on the internet at <http://www.nei.nih.gov/SBIR> and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm> on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. Application forms and information concerning the NRSA program are available from the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892, and should be submitted to the same address. National Eye Institute guidelines and supplemental instructions for preparing Institutional NRSA applications are available on the World Wide Web at <http://www.nei.nih.gov/> This program is subject to the provisions of 45 CFR 74 and 92 and OMB Circular No. A-110 for nonprofit organizations, as applicable.

Award Procedure:

Applications are reviewed initially for scientific and technical merit. A second level review of the programmatic relevance of applications is provided by the National Advisory Eye Council. (Second level review of NRSA fellowship applications is performed by a committee of senior staff of the National Eye Institute. Final approval is made by the Director, National Eye Institute. Formal award notices are transmitted to the appropriate institutional official.

Deadlines:

Receipt dates for all NIH-supported grant and cooperative agreement mechanisms are available at <http://grants.nih.gov/grants/funding/submissionschedule.htm>.

Range of Approval/Disapproval Time:

Approximately six to nine months for Research Grants, Mentored Clinical Scientist Development Awards, Clinical Study Planning Grants, cooperative clinical studies or conferences, Core Grants, and NRSA Institutional applications; from six to seven months for NRSA individual applications; and for SBIR, about eight months.

Appeals:

A principal investigator may communicate to the staff of the National Eye Institute any concerns regarding the substantive or procedural aspects of the review of an application.

Renewals:

Renewals of research grant or cooperative agreement support are by competitive

application and review. Mentored Clinical Scientist Development Awards, Clinical Study Planning Grants, and NEI Research Grants for Secondary Data Analysis are non-renewable.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Up to five years for research grants and cooperative agreements, Mentored Clinical Scientist Development Awards, Core Grants, and Institutional NRSA. Up to three years for Academic Research Enhancement Awards and NRSA Individual postdoctoral awards. Clinical Study Planning Grants: one year. Awards to individuals made through an institutional NRSA may total eight years (five years predoctoral and an additional three years postdoctoral). SBIR: Normally, Phase I awards are for six months; normally, Phase II awards are for two years. STTR: Normally, Phase I awards are for one year; normally, Phase II awards are for two years. Loan Repayment Program Awards are for two years with the opportunity for renewal.

Reports:

The grantee is responsible for annual progress reports. These are required for program analysis as part of all continuation applications. In addition, a final report is required to be filed within 90 days of the termination of the grant. The final report includes an account of progress made toward achievement of the originally stated aims; a list of the significant results; and a list of publications resulting from the projects. For most projects a financial status report is required 90 days after each competitive segment. Cooperative agreements and Institutional NRSA require an annual financial status report. NRSA (Institutional): A Statement of Appointment for each trainee must be submitted to the National Eye Institute for each year of training, not to exceed three years. Reports are required after termination of the NRSA to ascertain compliance with the service and pay back provisions for each trainee.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are also subject to inspection and audits by DHHS and other Federal government officials. Loan Repayment Program awards will be audited prior to the end of the contract or as needed.

Records:

Expenditures and other financial records must be retained for three years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0887-0-1-552.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$508,095,000; FY 08 est \$507,383,000; and FY 09 est \$506,627,000. (NRSA): FY 07 \$10,606,000; FY 08 est \$10,608,000 and FY 09 est \$10,661,000. (SBIR and STTR): FY 07 \$18,980,000; FY 08 est \$15,782,000; and FY 09 est \$15,760,000.

Range and Average of Financial Assistance:

Grants and Cooperative agreements: \$26,298 to \$5,057,448; \$392,655. NRSA (Institutional): \$26,298 to \$560,041; \$217,314. NRSA (Individual): \$14,476 to \$62,002; \$45,355. SBIR Phase I: \$99,231 to \$570,438; \$130,023. SBIR Phase II: \$71,484 to \$953,134; \$431,300. STTR Phase I: \$105,906 to \$310,645; \$188,551. STTR Phase II: \$310,719 to \$408,108; \$346,016.

PROGRAM ACCOMPLISHMENTS:

In FY 07, this program supported approximately 1,450 research grants, including 58 SBIR and STTR awards, and 267 NRSA positions. This program received approximately 984 competing research grant applications in FY 07, of which approximately 262 competing applications were funded, with an estimated success rate of 26.6 percent. In FY 08, the program anticipates supporting 1,400 research grants, including 48 SBIR and STTR awards, and 267 NRSA positions. A report by the NEI, "National Plan for Eye and Vision Research" provides a comprehensive summary of the program's goals, objectives, accomplishments, and program and management policies, as well as statistical information on the incidence and prevalence of visual disorders. Copies of this document are available on the internet at <http://www.nei.nih.gov>.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92. PHS Grants Policy Statement DHHS Publication No. (OASH) 94-50,000 (Rev.) April 1, 1994, and Addendum effective February 15, 1995; "NIH Guide for Grants and Contacts and Supplements." National Eye Institute Guidelines are available on the internet at <http://www.nei.nih.gov>. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Jerome R. Wujek, Ph.D., Research Resources Officer, National Eye Institute, National Institutes of Health, Department of Health and Human Services, Room 1300, 5635 Fishers Lane, Bethesda, MD 20892-1900. Telephone: (301) 451-2020. Grants Management Officer Contact: Mr. William W. Darby, Chief, Grants Management Branch, National Eye Institute, National Institutes of Health, Department of Health and Human Services, Room 1300, 5635 Fishers Lane, Bethesda, MD 20892-1900. Telephone: (301) 451-2020. Use the same numbers for FTS. The National Eye Institute encourages applicants to visit its internet site at www.nei.nih.gov/. Among the many recent additions to the site is an expanded "Research Funding" section which contains all National Eye Institute application guidelines and internet links to relevant NIH information.

Web Site Address:

<http://www.nei.nih.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Retinal Diseases: Projects include studies of the development, molecular and cell biology, molecular genetics, and metabolism of the photoreceptor cells and the underlying retinal pigment epithelium; the mechanism of the retina's response to light and the initial processing of information that is transmitted to the visual centers of the brain; the pathogenesis of diabetic retinopathy; the fundamental causes of and etiologic factors responsible for uveitis; the molecular genetic mechanisms underlying retinoblastoma and ocular melanoma; the genetics of retinitis pigmentosa, age-related macular degeneration, and related disorders; and the cellular and molecular events that accompany retinal detachment. (2) Corneal Diseases: Projects include studies of the regulation of genes that express proteins unique to corneal tissue; the details of the macromolecular and supramolecular assembly of extracellular corneal matrices; the characterization of cytokines and cell surface receptors which interact with corneal cells, pathogens, and blood borne cells; the pathogenesis of corneal infections; the mechanisms that maintain corneal hydration and transparency; the physiologic basis for immune privilege in the cornea; corneal development, growth, and wound healing; the biomechanics of the cornea; the characterization of genes responsible for corneal dystrophy; and, the correction of refractive errors. (3) Lens and Cataract: Projects include studies of lens development, characterization of changes in lens physiology and biochemistry related to aging and cataract formation, the identification of those molecular components and physiological processes required to maintain transparency, studies to identify what controls lens shape and its accommodative dynamics, the molecular and cellular characterization of the control of lens cell division and differentiation; genetic studies to map and identify cataract genes, studies to describe the structure and function of lens crystallins, and studies to identify those insults that lead to cataract formation such as oxidative stress. (4) Glaucoma and Optic Neuropathies: Projects include studies of the genetics of glaucoma, the cellular and molecular nature of the extracellular matrix proteins of the trabecular meshwork; the basic mechanisms that control aqueous humor dynamics; the design of better pharmacologic agents to modulate aqueous humor secretion and outflow, and characterization of mechanisms of optic nerve damage and the cell biology of retinal ganglion cell death. (5) Strabismus, Amblyopia, and Visual Processing: Projects include studies of the function of the neural pathways from the eye to the brain, the central processing of visual information, visual perception, optical properties of the eye, functioning of the pupil, and control of the ocular muscles; congenital, developmental, and degenerative abnormalities of the visual sensorimotor system, particularly strabismus and amblyopia. Additional emphasis is placed on and support provided for research on optic neuropathies, eye movement disorders, and the development of myopia. (6) Low Vision and Blindness Rehabilitation: Projects include studies aimed at improving the methods of specifying, measuring and categorizing loss of visual function; devising strategies to help visually impaired people maximize the use of their residual vision; systematically evaluating new and existing visual aids; developing an adequate epidemiological base for blindness, partial loss of sight and visual anomalies; and studying the optical, electronic, and other rehabilitative needs of people with visual impairments. (7) Collaborative Clinical Research: Projects include a number of clinical trials and other epidemiologic research projects including single-center randomized clinical trials, multicenter randomized clinical trials, natural history studies, risk factor analyses using case-control and prospective cohort methods, and health services research. These projects have the goal of improving the understanding, the prevention, and the management of visual system diseases and disorders including, for example, diabetic retinopathy, age-related macular degeneration, corneal diseases, cataract, glaucoma, and optic nerve atrophy, the leading causes of blindness in the United States.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in the initial scientific and technical review of most applications include an assessment of significance, approach, innovation, investigator training and experience, and the scientific environment. In addition to these criteria, in accordance with NIH policy, all applications will also be reviewed with respect to the following: the adequacy of plans to include both

genders, minorities, and their subgroups as appropriate for the scientific goals of the research; the reasonableness of the proposed budget and duration in relation to the proposed research; and, the adequacy of the proposed protection for humans, animals or the environment, to the extent they may be adversely affected by the project proposed in the application. A second level review of the programmatic relevance of most applications is provided by the National Advisory Eye Council. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.879 MEDICAL LIBRARY ASSISTANCE

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title III, Part A, Section 301, Title IV, Part D, Subpart 2, Sections 472-476, as amended, Public Law 103-43; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To improve health information services by providing funds to train professional personnel; to conduct research in biomedical informatics, bioinformatics and related computer and information sciences; to facilitate management of electronic health science information; to plan, develop and test feasibility of advanced information tools; to prepare certain kinds of biomedical publications; to advance biocomputing and bioinformatics through participation in the Biomedical Information Science and Technology Initiative (BISTI), and NIH Roadmap Initiatives relating to biomedical informatics, bioinformatics and biomedical computing; to stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions, through Small Business Research Innovation (SBIR) and Small Business Technology Transfer (STTR) grants.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

RESEARCH GRANT PROGRAMS: Research Grants are available for fundamental and applied research in biomedical informatics and bioinformatics. Areas of research interest include: representation, organization and retrieval of biomedical and biological knowledge, data and images; enhancement of human intellectual capacities through virtual reality, dynamic modeling, artificial intelligence, and machine learning; medical decision-making; linguistic analyses for natural language processing and understanding; informatics topics relevant to public health and informatics for disaster management. Research Project Grants (R01) are available for rigorous scientific research studies and experiments. Small Project Grants (R03) provide short-term awards answer specific, targeted research questions, gather preliminary data, show proof of concept. Exploratory/Developmental Grants (R21) support early, conceptual work and feasibility tests. Other research grants include Informatics Conference Grants, and Innovations in Biomedical Computational Science and Technology (BISTI) Grants, and a number of NIH-wide grant programs on high priority topics such as health literacy. Further information on research grants is available at <http://www.nlm.nih.gov/ep/Grants.html#research>. **TRAINING PROGRAMS AND FELLOWSHIPS:** To assure an adequate national pool of informatics researchers and health information specialists, training is offered through formal training programs and individual fellowships. University Biomedical Informatics Research Training Programs promote the career training of talented persons who seek research careers in biomedical informatics, bioinformatics, and public health informatics. A competition for these institution-based training grants is announced every five years. University-based training support may be at the pre- or post-doctoral level. Training sites recruit and choose their trainees, and are expected to have well established computer facilities, strong informatics research and education programs, experienced faculty and staff committed to research in

the field of biomedical computing and/or bioinformatics, and available courses or experience in computer science, information science, and cognitive sciences. Institutional training grants provide trainee stipends, tuition and fees, travel, and certain institutional support costs. NLM also offers individual Informationist Fellowships for cross-training of information specialists to work in scientific and biomedical contexts. Stipends are based on published predoctoral stipend levels that are updated each year. Further information on training grants and fellowships grants is available at <http://www.nlm.nih.gov/ep/Grants.html#training>.

CAREER DEVELOPMENT PROGRAMS: NLM offers an early career development award to help informatics trainees make the transition to a successful independent research career. NIH Pathway to Independence Career Development awards are for investigators who are making the transition to their first research positions. These grants can last up to 5 years. They include a mentored period, followed by an unmentored period, and provide both salary and a research fund. Research supplement awards are also offered to promote diversity in health-related informatics research, and to promote re-entry into informatics careers. Diversity and re-entry awards are issued as supplements to existing research grants funded by NLM. NLM also participates in the NIH Loan Repayment Award (LRP) program. The LRP awards are available to those who qualify and will commit to two years of clinical informatics research. Further information on career development grants is available at <http://www.nlm.nih.gov/ep/Grants.html#career>.

RESOURCE GRANT PROGRAMS: Resource grants support the development and deployment of knowledge management tools, resources, and services that address identified, unmet needs for a broad audience. Two types of resource grants are available: Knowledge Management & Applied Informatics grants and Planning Grants for Integrated Advanced Information Management Systems (IAIMS). In addition, NLM offers Grants for Scholarly Works to support preparation of books in the history and philosophy of biomedicine, bioethics, and public policy areas of importance to health professionals and biomedical scientists. The purpose, restrictions, funding periods and award limits vary for these grant programs. Knowledge management and IAIMS Planning grants provide support for direct costs only. Knowledge grants provide \$150,000 per year for up to 3 years. Awards for IAIMS planning are limited to \$150,000 per year for 1 or 2 years. Scholarly Works grants are limited to \$50,000 annually for one, two or three years. Further information on resource grants is available at <http://www.nlm.nih.gov/ep/Grants.html#resource>. **SMALL BUSINESS RESEARCH & DEVELOPMENT PROGRAMS:** Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) grants are made to U.S. small businesses that seek to undertake informatics research and development leading to commercialization. The STTR program requires a small business applicant organization to formally collaborate with a research institution in Phase I and Phase II. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application. Further information on SBIR/STTR grants is available at <http://www.nlm.nih.gov/ep/Grants.html#small>.

Applicant Eligibility:

Any individual(s) with the skills, knowledge, and resources necessary to carry out the proposed research as the project director/principal investigator (PD/PI) is invited to work with his/her organization to develop an application for support. Individuals from underrepresented racial and ethnic groups as well as individuals with disabilities are always encouraged to apply for NIH support. See Beneficiary Eligibility below for further details.

Beneficiary Eligibility:

Research Grants are available to public or private, domestic or foreign, for profit or not-for-profit institutions or organizations with research capabilities in biomedical informatics, bioinformatics, computer sciences, information sciences and related disciplines. Training Grants may be made to nonfederal public and nonprofit private institutions. Fellowships may be awarded to individuals at the pre-doctoral level. With the exception of NIH Pathway to Independence awards, trainees or fellows must be citizens or non-citizen nationals of the United States or have been lawfully admitted to the United States for permanent residence. Resource grants (IAIMS, Knowledge Management, Scholarly Works) are open to any U.S. public or private nonprofit health-related institution or organization. For Scholarly Works grants, an appropriate public or private nonprofit institution of higher education may apply in behalf of the principal investigator on the project, or an individual unaffiliated with an academic organization may apply directly. SBIR and STTR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500

employees). To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Credentials Documentation:

Cost allowability will be determined in accordance with OMB Circular A-87 for State, Local, and Indian Tribal Governments, OMB Circular A-21 for Educational Institutions and for For-profit organizations, costs will be determined in accordance with 48 CFR Subpart 31.2 Federal Acquisition Regulation (FAR). Nonprofit institutions cost principles are outlined under OMB Circular A-122 and for Hospitals, 45 CFR Part 74, Appendix E. These cost principles are codified under 45 CFR 74.27 and 92.22. Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations are outlined in OMB Circular A-110. Documentation providing NIH grants policy and guidance can be found at <http://grants.nih.gov/grants/policy/policy.htm>. The Division of Extramural Programs, NLM provides program specific grant information at <http://www.nlm.nih.gov/ep>.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

NIH is in the process of moving to electronic submission of applications for all of its grant programs. Only electronic applications are accepted for all types of Research Grants, SBIR and STTR grants, and Resource Grants. Electronic grant submission of the SF424 (R&R) form is via <http://www.grants.gov>. For instructions on submitting grants electronically, see <http://era.nih.gov/ElectronicReceipt/>. A timeline of transitions to electronic submission for each grant program is available at <http://www.nlm.nih.gov/ep/Deadlines.html>. For all other grant programs, a paper form is submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. Form PHS-398 is used for NIH Pathway to Independence Grants and Biomedical Resource Grants. Forms and instructions for PHS-398 can be downloaded at <http://grants1.nih.gov/grants/funding/phs398/phs398.html>. For Informationist Fellowships, submit Form PHS-416-1, with forms and instructions downloadable at <http://grants1.nih.gov/grants/funding/416/phs416.htm>. These programs are subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. Updates on NLM application deadlines and links to available forms can also be found at <http://www.nlm.nih.gov/ep/>.

Award Procedure:

Applications are evaluated for merit by a committee of scientific experts and for program relevance by the Board of Regents (BOR) of the National Library of Medicine (NLM). If favorably recommended, the application is considered for funding. An award notice is prepared when it is determined that a grant is to be paid. This notice is sent to the grantee with a letter from the program officer when special provisions are necessary. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Each program has 3 application deadlines per year, which vary by grant program and whether the application is new or revised. Special Requests for Applications (RFAs) or newly established Program Announcements (PAs) issued annually may have other limited deadline dates. For an up-to-date list of deadlines, see <http://www.nlm.nih.gov/ep/Deadlines.html>.

Range of Approval/Disapproval Time:

From 9-10 months after the application deadline.

Appeals:

NIH policy allows a principal investigator (PI) to appeal the outcome of a review if procedural errors or factual errors entered into the review of the application. A description of the NIH Peer Review Appeal procedures is available at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>. However, differences of scientific opinion that often occur between investigators and reviewers may not be contested through these procedures. In addition, communications from investigators consisting of additional information that was not available to the reviewers are not considered to be appeals.

Renewals:

Competing renewal applications follow same review procedures and deadlines as new applications; dates for submission are at <http://www.nlm.nih.gov/ep/Deadlines.html>. NIH also permits the resubmission of an application that has been revised following merit review. Deadlines for resubmissions are listed with other deadlines.

Formula and Matching Requirements:

There are no statutory formula or matching requirements for NLM grants.

Length and Time Phasing of Assistance:

The length of a grant award varies by the type of grant. R01 research grants generally last three or four years. Resource grants, Small Pilot and

Exploratory/Developmental grants, Fellowships and NIH Pathway to Independence awards have defined maximum periods that are provided in the funding opportunity announcement.

Reports:

Annual grant progress reports and Financial Status Reports must be submitted as required. At the end of a grant, the awardee must file a final progress report, final Financial Status Report and final statement of invention.

Audits:

In accordance with the provisions of OMB Circular No. A-133, States, local governments, and nonprofit organizations that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year. In accordance with 45 CFR 74 Subpart C Section 74.26(d) for-profit organizations that expend more than \$500,000 in DHHS awards in a year shall have an audit of the DHHS awards in accordance with the "Government Auditing Standards" (Yellow Book) or an audit that meets the requirements of OMB Circular A-133. Award recipients that do not meet the \$500,000 threshold are exempt from Federal audit requirements for that year, except as noted in Circular A-133. In addition, grants and cooperative agreements are subject to review or audit by appropriate officials of the Federal (funding) agency, pass-through entity and the Government Accountability Office (GAO). Foreign organizations must use the for-profit audit requirements for DHHS supported awards. The NIH/DHHS Loan Repayment Program (LRP) is not subject to Federal auditing requirements. For OMB policy statements on grants, see http://www.whitehouse.gov/omb/grants/grants_docs.html. Government Auditing Standards is available on the GAO Web site at <http://www.gao.gov/govaud/ybk01.htm>.

Records:

Financial and programmatic grant records must be retained for 3 years from the day on which the grantee submits the last (annual) Financial Status Report (FSR). Streamlined Noncompeting Application Process (SNAP) awardees beginning date for record retention purposes is the date of the FSR submission for the entire competitive segment of the grant.

Account Identification:

75-0807-0-1-552.

Obligations:

(Extramural Funding Awards) FY 07 \$67,568,000; FY 08 est \$63,389,000; and FY 09 est \$63,389,000.

Range and Average of Financial Assistance:

\$7,000 to \$1,561,223; \$333,452 - FY 07 total average cost for Basic Research Grant (R01); \$143,773 - FY 07 total average cost for Knowledge Management Grant (G08); \$70,692 - FY 07 total average cost for Scholarly Works Grant (G13).

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 223 new and continuing awards were made for projects in biomedical informatics, bioinformatics, informatics training and health information management. It is anticipated that approximately 213 awards will be issued in fiscal year 2008 and approximately 213 awards will be issued in fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Library of Medicine Grant Programs are awarded under the Public Health Service Act and the Medical Library Assistance Act. Grants and funding awards are made available under the authority of the Acts as codified under 45 CFR 74 (Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospitals, Other Nonprofit Organizations, and Commercial Organizations; and Certain Grants and Agreements with States, Local Governments, and Indian Tribal Governments and 45 CFR 92 (Uniform Administrative Requirements for Grants and Cooperative Agreements to States and Local Governments); the NIH Grants Policy Statement is available to grantees as a single document governing the NIH/DHHS policy requirements and terms and conditions of NIH/DHHS grant awards. Additional regulations and guidelines are provided in the Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR - R43, R44) Grant and Cooperative Agreement Applications including the Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR - R41, R42) Grant Applications.

Regional or Local Office:

Not applicable.

Headquarters Office:

Extramural Programs, National Library of Medicine, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20894. Telephone: (301) 496-4621. Program Contacts: Telephone: (301) 594-4882. Grants Management Contact: Grants Management Officer: Telephone: (301) 496-4221. Grant Review Contact: Scientific Review Administrator. Telephone: (301) 496-4253. Use the same numbers for FTS. Website: <http://www.nlm.nih.gov/ep>.

Web Site Address:

<http://www.nlm.nih.gov/ep>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Lists of awards made since 1997, in each active grant program, are available on the agency's web site at <http://www.nlm.nih.gov/ep/funded.html>.

CRITERIA FOR SELECTING PROPOSALS:

The standard NIH review criteria, significance, approach, innovation, investigator, environment are used as the basis of merit review. For NLM resource grants, these include considerations as to relevance to program objectives; impact on the management and transmission of biomedical knowledge; institutional readiness and resources available to project; expertise of project director and key personnel; scientific or technical merit of project; sustainability of what is deployed, and appropriateness of budget. Comparative priorities on the above criteria are based on collective judgment of peer reviewers. The following criteria are used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the scientific, technical, or technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications are reviewed based upon the similar criteria, and on the degree to which the Phase I objectives were met and feasibility demonstrated General Services Administration Office of Governmentwide Policy Office of Acquisition Policy Regulatory and Federal Assistance Publication Division (MVA).

93.884 GRANTS FOR TRAINING IN PRIMARY CARE MEDICINE AND DENTISTRY

(Primary Care Training)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Section 747, 42 U.S.C. 293K as amended; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

Grants are awarded for the following purposes: (1) Residency Training in Primary Care-- to plan, develop, and operate or participate (including provision of financial assistance to residents in such programs) in approved residency programs in family medicine, general internal medicine and/or general pediatrics; (2) Physician Faculty Development in Primary Care-- to plan, develop, and operate (including provision of financial assistance to trainees in such programs) programs for the training of physicians who teach or plan to teach in family medicine (including geriatrics), general internal medicine and/or general pediatrics training programs; (3) Predoctoral Training in Primary Care-- to plan, develop, and operate or participate (including provision of financial assistance to students in such programs) in predoctoral programs in family medicine, general internal medicine and/or general pediatrics; (4) Academic Administrative Units in Primary Care-- to meet the costs of projects to establish, maintain or improve academic administrative units (which may be departments, divisions, or other units) to provide clinical instruction in family medicine, general internal medicine and/or general pediatrics; (5) Physician Assistant Training in Primary Care-- to meet the costs of projects to plan, develop, and operate or maintain programs for the training of physician assistants and for the training of individuals who will teach in programs to provide training of physician assistants; (6) Residency Training in General and Pediatric Dentistry - - to meet the costs of planning, developing, or operating programs and to provide financial assistance to residents in such programs as general dentistry or pediatric dentistry in dental schools, approved residency programs in the general or pediatric practice of dentistry, approved advanced education programs in the general or pediatric practice of dentistry, or approved residency programs in pediatric dentistry.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants may not be used for new construction, patient services.

Applicant Eligibility:

The applicant organization must meet the eligibility requirements as indicated for each program area: Residency Training in Primary Care; Physician Faculty Development in Primary Care; Physician Assistant Training in Primary Care; Public or nonprofit private hospitals, accredited schools of medicine or osteopathic medicine, or public or private nonprofit entities are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply. Predoctoral Training in Primary Care; Academic Administrative Units in Primary Care; Accredited public or nonprofit private schools of allopathic or osteopathic medicine are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding

sentence are eligible to apply. Residency Training in General and Pediatric Dentistry; Entities that have programs in accredited dental schools, approved residency programs in the general or pediatric practice of dentistry, approved advanced education programs in the general or pediatric practice of dentistry, or approved residency programs in pediatric dentistry are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply.

Beneficiary Eligibility:

For the following programs: Residency Training in Primary Care; Physician Faculty Development in Primary Care; Physician Assistant Training in Primary Care; Public or nonprofit private hospitals, accredited schools of medicine or osteopathic medicine, or public or private nonprofit entities are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply: Predoctoral Training in Primary Care; Academic Administrative Units in Primary Care; Accredited public or nonprofit private schools of allopathic or osteopathic medicine are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply. Residency Training in General and Pediatric Dentistry; Entities that have programs in accredited dental schools, approved residency programs in the general or pediatric practice of dentistry, approved advanced education programs in the general or pediatric practice of dentistry, or approved residency programs in pediatric dentistry are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award issued from the Headquarters Office.

Deadlines:

Deadline dates are available at the Agency website www.hrsa.gov.

Range of Approval/Disapproval Time:

From 4 to 6 months after receipt of application.

Appeals:

None.

Renewals:

During the last budget period of the project period, competing continuation applications may be submitted for up to three (3) years of support.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project periods are for up to 3 years.

Reports:

A progress report must be submitted for the second or subsequent budget periods within the approved project period for continued funding. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and financial status report must be submitted within 90 days after the end of the project period. A CPMS report must be submitted annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$45,711,492; FY 08 est \$43,872,255; and FY 09 est \$43,872,255.

Range and Average of Financial Assistance:

The average award for FY 07 was \$212,359 for year one of the project proposal (range of \$101,266 to \$358,437 for year one in FY 07).

PROGRAM ACCOMPLISHMENTS:

FY 2007 competitive awards for the following programs: Residency Training in Primary Care--30; Physician Faculty Development in Primary Care--3; Physician Assistant Training in Primary Care--10; Predoctoral Training in Primary Care--25; Academic Administrative Units in Primary Care--13; Residency Training in General and Pediatric Dentistry--28. FY 2007 non-competing continuation awards for the following programs: Residency Training in Primary Care--36; Physician Faculty Development in Primary Care--40; Physician Assistant Training in Primary Care--8; Predoctoral Training in Primary Care--32; Academic Administrative Units in Primary Care--29; Residency Training in General and Pediatric Dentistry--0. Some of the programs grant awards are collaborative grants and cover multiple disciplines hence for example one continuation award may include a continuation award in FM and a continuation award in GIM and/or GP. Therefore, the total number should not be construed as the total number of grants but rather the total number awards per program area.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Brenda L. Williamson, MA, CTHE, Branch Chief, Primary Care Medical Education Branch, Division of Medicine and Dentistry of the Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9A-20, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-1467.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by nonfederal review panels for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Procedures in the application guidance.

93.887 HEALTH CARE AND OTHER FACILITIES

(Renovation or Construction Projects)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Law 110-161.

OBJECTIVES:

To construct, renovate, expand, equip, or modernize health care facilities and other health care related facilities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

All funds awarded should be expended solely for carrying out the approved projects in accordance with the provisions of the fiscal year 2008 Congressional Appropriations Bill, Public Law 110-161.

Applicant Eligibility:

Eligible applicants include State and local governments, including their universities and colleges, quasi- governmental agencies, private universities and colleges, and private profit and nonprofit organizations. Organizations must be specifically earmarked in the Congressional Appropriation Bill.

Beneficiary Eligibility:

Public or other entities will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Application materials are provided to organizations that were specifically

earmarked in the Appropriation Bill or Conference Report, based upon information in HRSA's annual appropriation.

Award Procedure:

Only earmarked organizations may receive an award. Awards are made on the basis of proposal evaluations carried out by HRSA staff.

Deadlines:

Application deadlines are indicated in the application materials provided to eligible applicants.

Range of Approval/Disapproval Time:

Approximately 3 to 6 months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are usually issued for a 3-year project period. Funds must be expended in 5 years.

Reports:

At the conclusion of the project, grantees must submit a final Financial Status Report, a final report on project costs (424C) and a list of equipment purchased with grant funds.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 est not available; FY 08 est \$200,000,045; and FY 09 est not available.

Range and Average of Financial Assistance:

\$9,000 to \$25 million; \$674,000.

PROGRAM ACCOMPLISHMENTS:

Funds were awarded for construction, renovation projects, and equipment only projects. There were no funds awarded in FYs 2006 or 2007. It is estimated that 700 awards will be provided to projects in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Program Contact: Mr. Paul Murphy, Chief, Facilities Monitoring Branch, Division of Facilities Compliance and Recovery, Bureau of Healthcare Systems, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, 5600 Fishers Lane, Room 10-105, Rockville, MD 20857. Telephone: (301) 443-5656.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: Telephone: (301) 443-2385; Research and Training Branch: Telephone: (301) 443-3099; Government and Special Focus Branch: Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Construction of the Rocky Mountain Cancer Rehabilitation Institute at the University of Northern Colorado, Midwest Center for Rural Health at Union Hospital in Terra Haute, Indiana, and equipment for the Telemedicine Program at Magee Women's Hospital in Pittsburgh, PA.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are not available.

93.888 SPECIALLY SELECTED HEALTH PROJECTS

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:
Public Law 108-199.

OBJECTIVES:
To carry out programs that have been selected by the U.S. Congress as being needed for improved health care.

TYPES OF ASSISTANCE:
Project Grants.

USES AND USE RESTRICTIONS:
All funds awarded should be expended solely for carrying out the approved projects in accordance with the provisions of the fiscal year 2005 Congressional Appropriations Bill, Public Law 108-792.

Applicant Eligibility:
State and local governments, including their universities and colleges, quasi-governmental agencies, private universities and colleges, and private profit and nonprofit organizations. Organizations must be specifically earmarked in the Congressional Appropriation Bill.

Beneficiary Eligibility:
Public or other entities will benefit.

Credentials/Documentation:
Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:
This program is excluded from coverage under E.O. 12372.

Application Procedure:
Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Office of Rural Health. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:
Only earmarked organizations may receive an award. Awards are made on the basis of proposal evaluations carried out by HRSA staff.

Deadlines:
Application deadlines are indicated in the application materials provided to eligible applicants.

Range of Approval/Disapproval Time:
Approximately 3 to 6 months.

Appeals:
None.

Renewals:
None.

Formula and Matching Requirements:
None.

Length and Time Phasing of Assistance:
Awards are usually issued a one-year project period. Grant funds must be expended in 5 years.

Reports:
At the conclusion of the project, grantees must submit a final Financial Status Report, a final report on project costs (424C) and a list of equipment purchased with grant funds.

Audits:
In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:
All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:
75-0350-0-1-550.

Obligations:
(Grants) FY 07 est \$0; FY 08 est \$0; and FY 09 est \$0.

Range and Average of Financial Assistance:
None.

PROGRAM ACCOMPLISHMENTS:
None. New Program.

REGULATIONS, GUIDELINES, AND LITERATURE:
This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as

applicable.

Regional or Local Office:
None.

Headquarters Office:
Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:
www.hrsa.gov.

RELATED PROGRAMS:
None.

EXAMPLES OF FUNDED PROJECTS:
None.

CRITERIA FOR SELECTING PROPOSALS:
Criteria are not available.

93.889 NATIONAL BIOTERRORISM HOSPITAL PREPAREDNESS PROGRAM

FEDERAL AGENCY:
HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:
The Public Health Security and Bioterrorism Preparedness and Response Act of 2002, Public Law 107-188, enacts Section 319C-1 of the Public Health Service Act, 42 U.S.C. 247d-3a.

OBJECTIVES:
To ready hospitals and supporting health care systems to deliver coordinated and effective care to victims of terrorism and other public health emergencies.

TYPES OF ASSISTANCE:
Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:
The distribution of funds will be to the health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Applicant Eligibility:
State health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Beneficiary Eligibility:
All State health departments listed above, hospitals and supporting health care systems.

Credentials/Documentation:
Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:
This program is excluded for coverage under E.O. 12372.

Application Procedure:
Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Healthcare Systems Bureau. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:
Notification is made in writing by a Notice of Grant Award issued from Headquarters Office.

Deadlines:
www.hrsa.gov.

Range of Approval/Disapproval Time:
From 2-3 months.

Appeals:
None.

Renewals:
None.

Formula and Matching Requirements:
None.

Length and Time Phasing of Assistance:
Project periods are limited up to 12-months. Budget periods are limited up to 12-months.

Reports:

Semi-annual and year end progress reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements are provided in Title 45, CFR Parts 74 and 92.

Account Identification:

75-0140-0-1-551.

Obligations:

FY 07 \$415,032,000; FY 08 est \$398,059,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$330,787 to \$39,203,268.

PROGRAM ACCOMPLISHMENTS:

FY 2004 and 2005 funds are intended to support needs assessments updates for hospitals and supporting healthcare entities; continuation, refinement and implementation of DHHS-approved work plans that are in accordance with program guidance, and contracts to health care entities to upgrade their ability to respond to terrorist and other public health emergencies requiring mass immunization, treatment, isolation and quarantine in the aftermath of bioterrorism or other outbreaks of infectious disease.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

CDR Melissa Sanders, Chief, National Bioterrorism Hospital Preparedness Branch, Division of Healthcare Preparedness, Healthcare Systems Bureau, Health Resources and Services Administration, Parklawn Building, Rm 13-103, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0924.

Headquarters Office:

Grants Management Office: Oscar Tanner, Director, Division of Grants Management, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16, Rockville, MD 20857. Health Services Branch: 301-443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov .

RELATED PROGRAMS:

93.003, Public Health and Social Services Emergency Fund.

EXAMPLES OF FUNDED PROJECTS:

FY 2002 awards were used for the development and implementation of regional plans to improve the capacity of hospitals, their emergency departments, outpatient centers, EMS systems and other collaborating health care entities for responding to incidents requiring mass immunization, treatment, isolation and quarantine in the aftermath of bioterrorism or other outbreaks of infectious disease. FY 2003 and 2004 funds are intended to support needs assessments, the development and implementation of DHHS-approved work plans, and contracts to health care entities to upgrade their ability to respond to terrorist incidents and other public health emergencies.

CRITERIA FOR SELECTING PROPOSALS:

This is a formula grant program. The applicants must submit their application in accordance with fiscal year program guidance. The applications are reviewed by an objective review committee and approved applications are processed immediately. Applications approved with conditions, require the applicant to resubmit supporting information within a prescribed timeframe. Upon review and approval, the revised application can then be submitted to Grants Management for processing and issuing a notice of grant award.

93.890 HEALTHY COMMUNITIES ACCESS PROGRAM (HCAP) DEMONSTRATION AUTHORITY

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Section 340(j).

OBJECTIVES:

To assist Historically Black Health Professions Schools (HBHPSs) to: 1) develop patient-based research infrastructure with any HCAP providers; 2)

establish joint and collaborative programs of medical research and data collection between HBHPSs and such providers, whose goal is to improve the health status of medically underserved populations; or 3) support the research-related costs of patient care, data collection, and academic training resulting from affiliations with HCAP providers.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Eligible applicants are HBHPSs, which have an affiliation, or affiliations with any HCAP provider identified in subsection (b)(1)(B) of Public Law 107-251 (a Federally Qualified Health Center, Public Health Department, Hospital with a low income utilization rate, and an interested public or private sector health care provider or organization that has traditionally served the medically uninsured and underserved). No new awards will be granted in FY 2005. The program will only award continuation funding to grantees that were previously funded, based on fund availability and progress toward achieving the goals and objectives that were approved under the grant.

Beneficiary Eligibility:

This program will benefit medically underserved populations.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Primary Health Care. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Objective reviews are conducted by the Health Resources and Services Administration's (HRSA) Division of Independent Review. Non-competing continuation applications are reviewed by staff at HRSA's Bureau of Primary Health Care. Applications are reviewed for merit. Final award decisions are made by the Associate Administrator, of the Bureau of Primary Health Care.

Deadlines:

www.hrsa.gov .

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

Same as application procedure.

Formula and Matching Requirements:

There are no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Awards are made annually. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System.

Reports:

Grantees are required to submit an annual report that describes the utilization costs of services provided under the grant, and provide such other information as the Secretary determines appropriate. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and progress reports are due 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention

requirements are provided in Title 45, CFR, Parts 74 and 92.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$706,762 to \$933,118.

PROGRAM ACCOMPLISHMENTS:

HCAP Demonstration Project grants encourage HBHPS to examine the implementation of a patient-centered Care Model in HCAP consortia members, focusing on the improvement of data collection designed to reduce health disparities in minority populations. These populations include African Americans, American Indians, Alaska Natives, Asian Americans, Hispanic Americans, Native Hawaiians, Pacific Islanders and other medically underserved populations (i.e., uninsured and underserved populations). The program was implemented and four grants were awarded to HBHPS in FY 05.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

Division of State and Community Assistance, Bureau of Primary Health Care, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 15C-04, Rockville, MD 20857. Telephone: (301) 594-4488.

Headquarters Office:

Grants Management Office: Oscar Tanner, Director, Division of Grants Management, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: Telephone: (301) 443-2385; Research and Training Branch: Telephone: (301) 443-3099; Government and Special Focus Branch: Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.252, Healthy Communities Access Program.

EXAMPLES OF FUNDED PROJECTS:

Charles R. Drew University of Medicine and Science focusing on Depression Management; Faculty Development; and Clinical Information Systems. Morehouse School of Medicine focusing on Practiced Based Diabetes Screening Pilot; and Improving Glycemic Control by Micro-Systems Changes in the Process of Care Pilot. Meharry Medical College focusing on Clinical Information Systems and Faculty development. Hampton University School of Nursing focusing on Primary Care Access for Adults; Pediatric Injury Prevention Project; and Faculty Development.

CRITERIA FOR SELECTING PROPOSALS:

No new grants will be awarded. The only awards that will be granted under this program will be the non competing continuation HCAP Demonstration Project grants for year two of their program. The criteria for the non-competing continuation application will be announced in the Policy Information Notice.

93.891 ALCOHOL RESEARCH CENTER GRANTS

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Sections 301 and 464J; 42 U.S.C. 241 and 42 U.S.C. 285n-2.

OBJECTIVES:

To provide long-term support for a concerted and multi-disciplinary research effort into the problems of alcohol use and alcoholism by coordinating the activities of investigators from biomedical, behavioral, and social science disciplines around a basic research theme. An alcohol research center is expected to realize its greater potential for contributing to the basic store of knowledge about alcohol and alcoholism and to become a significant regional and national resource.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Alcohol research center grant funds may be used for support of core resources including, for example, salaries of center personnel, services and facilities shared by center personnel, and program enrichment activities such as symposia. Support for individual components may include staff salaries, supplies, publication, travel and equipment. Funds may not be used for training, although the applicant is required to demonstrate an institutional training capacity related to alcohol. Funds may not be used for purchase of any land or the purchase, construction, preservation or repair of any building, and grantees must have sufficient laboratory facilities and reference services to carry out the grant.

Support will be provided for up to 5 years (renewable for subsequent periods) to qualifying centers selected for funding. No annual grant may exceed \$2,000,000 in total costs. The actual amount of support awarded will depend upon the appropriateness of the proposed center budget to the approved Center program, as well as the availability of funds.

Applicant Eligibility:

State and local governments, any domestic (nonfederal) public or private nonprofit and for-profit institution may apply for a center grant. However, the proposed center must be affiliated with an institution, such as a university, medical center, or research center, that has the resources to sustain a long-term coordinated research program around a central theme related to problems of alcohol use and alcoholism. An applicant institution must demonstrate the ability to attract high quality scientists, from biomedical, behavioral, and social science disciplines, who are willing to make a long-term commitment to alcohol research. In addition, the applicant must assure that alcoholism related research and clinical training opportunities will be available.

Beneficiary Eligibility:

Public and private nonprofit and for-profit organizations.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Subpart C, Section 74.27.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms, as furnished by PHS must be used by grant applicants. This program is subject to 45 CFR Part 92 for State and local governments and Circular No. A-110 for nonprofit organizations.

Award Procedure:

Grants in support of approved applications are awarded directly to the applicant institution by the NIAAA.

Deadlines:

Deadlines will be announced in specific Requests for Applications (RFA) to be published in the NIH Guide for Grants and Contracts. Contact Headquarters Office listed below for deadline dates.

Range of Approval/Disapproval Time:

The time from receipt of application to final action is approximately 9 to 10 months.

Appeals:

A principal investigator may appeal the substantive or procedural aspects of the review of his/her application to the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Support is recommended for a specified project period of up to 5 years. Prior to termination of a project period, the grantee may apply for renewal of support for a new project period. An application for renewal is processed as a competing request.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The normal project period for an alcohol research center grant is 5 years. Grantees may apply for renewal of support on a competing basis. Within the project period, continuation applications must be submitted on a non-competing basis for each year of approved support. Payments will be made either on a Monthly Cash Request System or under an Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued shortly after an award is made.

Reports:

Centers will be required to submit detailed annual progress reports (including substantive information about research results to date, status of ongoing research, research plans for the next year and any modifications in long-term research plans). Also, inventions reporting, financial status reports, final progress reports, and other reports are required to be filed in accordance with PHS policy.

Audits:

Audits are to be carried out in accordance with the provisions set forth in 45 CFR Part 74, Subpart C, Section 74.26 and in OMB Circular No. A-133, as appropriate. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been received.

Account Identification:

Obligations:

(Grants) FY 07 \$27,276,000; FY 08 est \$27,276,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

FY 07 \$662,000 to \$2,035,000; \$1,685,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR Part 54a, Subpart E. Guidelines are included in application kits. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994. Specific RFAs are published in the NIH Guide for Grants and Contracts.

Regional or Local Office:

The Headquarters Office (National Institute on Alcohol Abuse and Alcoholism) is responsible for the administration of this program.

Headquarters Office:

Program Contacts: Dr. Antonio Noronha, Director, Division of Neuroscience and Behavior: Telephone: (301) 443-7722. Dr. Ralph Hingson, Director, Division of Epidemiology and Prevention Research: (301) 443-1274. Dr. Mark Willenbring, Director, Division of Treatment and Recovery Research: (301) 443-1208. Dr. Samir Zakhari, Division of Metabolism and Health Effects: (301) 443-0799. National Institute on Alcohol Abuse and Alcoholism, NIH, Department of Health and Human Services, 5635 Fishers Lane, Bethesda, MD 20892-9304. Grants Management Contact: Judy Fox, Grants Management Officer, National Institute on Alcohol Abuse and Alcoholism, NIH, Department of Health and Human Services, 5635 Fishers Lane, Room 3023, Bethesda, MD 20892-9304. Telephone: (301) 443-4704. Use the same numbers for FTS.

Web Site Address:

<http://www.niaaa.nih.gov>.

RELATED PROGRAMS:

93.271, Alcohol Research Career Development Awards for Scientists and Clinicians; 93.272, Alcohol National Research Service Awards for Research Training; 93.273, Alcohol Research Programs.

EXAMPLES OF FUNDED PROJECTS:

Genetic approaches to neuropharmacology of ethanol, effects of alcohol on the central nervous system, the etiology and treatment of alcoholism, metabolism of alcohol and pathological effects, epidemiology of alcohol problems, environmental approaches to prevention, and translational neuroscience of alcoholism.

CRITERIA FOR SELECTING PROPOSALS:

Scientific/technical merit, the feasibility of the research, alcoholism implications and relevance to NIAAA priorities and public health issues are all considerations in determining what projects will be funded.

93.908 NURSING EDUCATION LOAN REPAYMENT PROGRAM

(Nursing Education Loan Repayment Program)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 846(a), as amended, Nurse Reinvestment Act of 2002, Public Law 107-205.

OBJECTIVES:

To assist in the recruitment and retention of professional registered nurses (RN) in approved critical shortage areas to increase access to quality health care by offering loan repayment in return for full-time service at an eligible health care facility with a critical shortage of nurses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

The program offers Registered Nurses (RN) substantial economic assistance to repay their qualifying educational loans in exchange for full-time service at a health care facility with a critical shortage of nurses. Nursing Education Loan Repayment Program (NELRP) participants enter into a contract agreeing to provide full-time service at an approved Critical Shortage Facility (CSF) for two years. In return, NELRP will pay 30 percent of the participant's total qualifying loan balance each year (total of 60 percent). A participant may be eligible to amend his/her two-year NELRP contract to serve for a third (optional) year at a CSF. If a participant works full-time for a third year in a CSF, the NELRP will pay an additional 25 percent of the participant's original qualifying loan balance, bringing the total to 85 percent of eligible loan balances. Critical Shortage Facilities currently include, but are not limited to, Indian Health Service health centers, hospitals, Federal Hospitals, Federally Qualified health centers, Native Hawaiian health centers, rural health clinics, nursing homes, home health agencies, hospice programs, State or local public health departments, and ambulatory surgical centers.

Applicant Eligibility:

Individuals who satisfy the following criteria are eligible to apply: (1) have received a baccalaureate or associate degree in nursing (or an equivalent degree), a diploma in nursing or a graduate degree in nursing; (2) have unpaid qualifying loans obtained for nursing education; (3) a U.S. citizen, national or a permanent legal resident of the United States; (4) employed full-time (32 hours or more per week) at a critical shortage facility (CSF); (5) have a current unrestricted license in the State in which they intend to practice or be authorized to practice in the State under the Nurse Licensure Compact; and (6) submit a complete application, including a signed contract to work full-time as a registered or advanced practice nurse for 2 years at an eligible health care facility with a critical shortage of nurses.

Beneficiary Eligibility:

Beneficiaries include registered nurses who have received a diploma, an associate degree, a baccalaureate degree or a graduate degree in nursing from an accredited school of nursing.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov website at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for Clinician Recruitment and Service. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Once a determination has been made as to who will receive an award, that individual will be accepted into the program. The individual will be notified in writing and be sent a copy of their signed contract of his/her acceptance.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 3 to 9 months.

Appeals:

None.

Renewals:

Renewals for the third year of service will be considered based on the availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Loan repayments for 1 year of obligated service will be made no later than the end of the Federal fiscal year in which the individual completes such year of service.

Reports:

The employing critical shortage facility must complete and send to the NELRP a semi-annual certification that the participating nurse has engaged in a year of full-time continuous service as a registered nurse. The certification includes an endorsement by an appropriate official of the facility.

Audits:

None.

Records:

None.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$19,000,000; FY 08 \$16,804,789; and FY 09 est \$16,750,000.

Range and Average of Financial Assistance:

\$20,000 to \$150,000; \$38,000.

PROGRAM ACCOMPLISHMENTS:

The estimate for FY 07 is 553 and for FY 08 is 724.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Nursing Education Loan Repayment Program, Division of Applications and Awards, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: (301) 594-4130.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16, Rockville, MD 20857. Health Services Branch: (301) 443-2385; Research and

Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:
www.hrsa.gov .

RELATED PROGRAMS:

93.162, National Health Service Corps Loan Repayment Program; 93.164, Indian Health Service Educational Loan Repayment; 93.364, Nursing Student Loans.

EXAMPLES OF FUNDED PROJECTS:

Loan repayments for nursing education costs made to registered nurses working full-time in health care facilities with a critical shortage of nurses.

CRITERIA FOR SELECTING PROPOSALS:

Funding preference is defined as the funding of a specific category of group of approved applicants ahead of other categories or groups of approved applicants. As provided in section 846(e) of the PHS Act, as amended, a funding preference is given to eligible applicants with the greatest financial need. Awards are made to the highest ranked applicants until funds are expended. Please review the HRSA Preview or BCRS website at www.hrsa.gov for important information regarding funding preferences.

93.910 FAMILY AND COMMUNITY VIOLENCE PREVENTION PROGRAM

(Family Life Centers)

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Title XVII, Section 1707(c)(1), 42 U.S.C. 300u et seq.

OBJECTIVES:

To establish Family Life Centers on the campuses of 24 minority institutions of higher education to: 1) assess local community resources for violence prevention projects; 2) coordinate activities with existing violence prevention projects; 3) design and implement educational interventions addressing interpersonal family violence; and 4) design and implement a project to identify students from dysfunctional families and support them with coping strategies.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds will be used to support a cooperative agreement for a family and community violence program to prevent minority related violence and improve health and human services to minorities. Funds are not to be used for the provision of health care services, construction, augmentation of ongoing Office of Minority Health (OMH) supported demonstrations, or supplantation of ongoing project activities.

Applicant Eligibility:

Eligible Applicants: An institute of higher education representing 4-year undergraduate institutions and a 2 year tribal college historically identified as providing education primarily to minority students, or having a majority enrollment of minority students.

Beneficiary Eligibility:

Minority families and communities will benefit.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission.

Preapplication Coordination:

This program does not require any preapplication coordination. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance if the State has selected the program for review. When indicated in an individual program announcement, applications are subject to review in accordance with the national Planning and Resources Development Act, Public Law 93.641 as amended. For the technical assistance component of this program, when indicated in individual program announcements, applications are eligible for coverage under E.O. 12372.

Application Procedure:

Application kits may be requested by calling (240) 453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm> . The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications

submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS e-Grants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS e-Grants Web site, <https://egrants.osophs.dhhs.gov> .

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel. The Deputy Assistant Secretary for Minority Health makes final decisions to approve, hold, or reject individual grants or contracts. Applicants are notified regarding the final decision on each application and are furnished with a Notice of Grant Award for all projects approved.

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

About 60 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

The cooperative agreement will have a 4-year project period with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit.

Necessary instructions regarding payment procedure will be provided at the time the notice of grant award is issued.

Reports:

Semi-annual progress reports are required for the cooperative agreement with final performance report due 90 days after the end of the project period. Financial status report is due 90 days after the end of the budget period with a final financial status report due no later than 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 27, 2003), "Audits of States, local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as note in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings. Property records must be retained in accordance with Public Health Service Policy Statement requirements.

Account Identification:

75-0120-0-1-551.

Obligations:

(Grants) FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Cooperative Agreements: \$7,400,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the PHS Grants Policy Statement.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Ms. Cynthia H. Amis, Director, Division of Program Operations, Office of Minority Health, Office of Public Health and Science,

Office of the Secretary, 1101 Wootton Parkway, Suite 700, Rockville, MD 20852. Telephone: (240) 453-8444. Grants Management Contact: Office of Grants Management, Office of Public Health and Science, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Web Site Address:

<http://www.fcvp.org>.

RELATED PROGRAMS:

93.927, Health Centers Grants for Residents of Public Housing.

EXAMPLES OF FUNDED PROJECTS:

The following are examples of projects funded under the cooperative agreement:

1) A database and resource directory was developed on viable violence and substance abuse services and resources; 2) A variety of education experiences were provided to youth and families to assist them in making positive life style choices and facilitating their educational pursuits; and 3) Community outreach services were provided to neighborhoods.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: 1) consistency of project's goals and objectives with the Office of Minority Health's mission; 2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; 3) strength of proposed grant organization's management capability; 4) adequacy of qualifications, experience and cultural competence of proposed personnel; and 5) strength of analysis of potential impact or innovation the project proposes to generate.

93.912 RURAL HEALTH CARE SERVICES OUTREACH AND RURAL HEALTH NETWORK DEVELOPMENT PROGRAM

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Health Care Safety Net Amendment, Public Law 107-251.

OBJECTIVES:

To expand access to, coordinate, restrain the cost of, and improve the quality of essential health services, including preventive and emergency services, through the development of integrated health care delivery systems or networks in rural areas and frontier regions.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for carrying out the approved projects.

Applicant Eligibility:

Rural Health Care Services Outreach and Rural Health Network Development Programs: Rural public or nonprofit private entities that include three or more health care providers that provide or support the delivery of health care services. The administrative headquarters of the organization must be located in a rural county or a rural zip code of an urban county; or an organization is constituted exclusively to provide services to migrant and seasonal farmworkers in rural areas and supported under Section 330G of the Public Health Service Act; or the applicant is a Tribal government whose grant-funded activities will be conducted within their Federally-recognized Tribal area. Small Health Care Provider Quality Improvement Program This program is available to support rural public, rural non-profit, or other providers of healthcare services, such as a critical access hospital or rural health clinic. (1) The applicant must be located in a rural area, as determined by eligible rural county or census tracts, and all services must be provided in a rural county or census tract. To ascertain rural eligibility, please refer to [ftp://ftp.hrsa.gov/ruralhealth/Eligibility2005.pdf](http://ftp.hrsa.gov/ruralhealth/Eligibility2005.pdf). This website lists eligibility by county and census tract and includes a hyperlink to the Federal Financial Institutions Examining Council's (FFIEC) Geocoding System to determine your census tract number and eligibility. (2) The applicant exists exclusively to provide services to migrant and seasonal farmworkers in rural areas and is supported under Section 330G of the Public Health Service Act. (3) The applicant is a Tribal government whose grant-funded activities will be conducted within their Federally-recognized Tribal area (documentation of status as a Federally-recognized Native American Tribe must be included). Frontier Extended Stay Cooperative Agreement Program Rural public or nonprofit private entities that include three or more health care providers that provide or support the delivery of health care services. The administrative headquarters of the organization must be located in a rural county or a rural zip code of an urban county; or an organization is constituted exclusively to provide services to migrant and seasonal farmworkers in rural areas and supported under Section 330G of the Public Health Service Act; or the applicant is a Tribal government whose grant-funded activities will be conducted within their Federally-recognized Tribal area. Delta States Rural Development Network Grant Program. Rural public or nonprofit private entities that include three or more health care providers that provide or support the delivery of health care

services. Eligible organizations must be rural public or rural private nonprofit entities located within a rural Delta county/parish.

Beneficiary Eligibility:

Medically underserved populations in rural areas will receive expanded services in rural communities where they did not previously exist.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office of the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Office of Rural Health. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Applications are reviewed by Federal and nonfederal experts in rural health care services development, coordination, and delivery. Applications are evaluated for merit and are recommended for approval or disapproval. Final decisions are made by the Director, Office of Rural Health Policy.

Deadlines:

Contact Headquarters Office listed below for deadline dates.

Range of Approval/Disapproval Time:

From 6-9 months.

Appeals:

None.

Renewals:

Rural Health Outreach and Rural Health Network awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance. Rural Health Network Planning Awards are only available for one year.

Formula and Matching Requirements:

There are no statutory formula or matching requirements for this program.

Length and Time Phasing of Assistance:

Awards are made annually. Funds are available to grantees on an as needed basis throughout the budget period and payments are made through the Payment Management System.

Reports:

A performance progress report is submitted with each noncompeting continuation application. A financial status report is to be submitted within 90 days after the close of the budget period. A final Program report is to be submitted within 90 days after the close of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$25,088,433; FY 08 est \$25,831,745; and FY 09 est \$25,000,000.

Range and Average of Financial Assistance:

A maximum of \$150,000 for the first year of funding for the Outreach Grant; A maximum of \$180,000 for the first year of funding for the Network Development Grant. A maximum of \$85,000 for funding for the Network Development Planning Grant.

PROGRAM ACCOMPLISHMENTS:

For the Outreach Program, in FY 07, a total of 122 awards were made; in FY 08, there will be 92 non-competitive awards. For the Network Development Grant Program, in FY 07, there were a total of 38 grants. In FY 08 there will be a

total of 77 awards. For the Network Planning Grant Program, in FY 07, there were a total of 10 grants. In FY 08 there will be a total of 17 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Program Contact: Ms. Nisha Patel, Outreach Grant Program Coordinator, Office of Rural Health Policy, Health Resources and Services Administration, Room 9A-55, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835. Sherilyn Pruitt, Network Development Grant Program Coordinator, Office of Rural Health Policy, Health Resources and Services Administration, Room 9A-55, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835. Ms. Eileen Holloran, Network Development Planning Grant Program Coordinator, Office of Rural Health Policy, Health Resources and Services Administration, Room 9A-55, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: 301-443-2385; Research and Training Branch: 301-443-3099; Government and Special Focus Branch: 301-443-3288.

Web Site Address:

www.hrsa.gov

RELATED PROGRAMS:

93.224, Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers).

EXAMPLES OF FUNDED PROJECTS:

Funded projects include efforts to provide primary care services in rural areas, including mental health services, oral health services, chronic diseases, prenatal care, free clinical services, HIT and preventive health services. In addition, projects designed to increase the number of health professionals available to provide services in rural areas have also been funded. In addition, projects designed to improve access to rural health services (such as transportation or services on mobile vans) have also been funded. More recently projects to develop or enhance vertically integrated networks have been supported.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are based on the need of the project, innovation, realistic and measurable goals and objectives, clearly defined roles of each network member, strength of applicant's management plan, community involvement, level of local commitment, costs, and program evaluation plan.

93.913 GRANTS TO STATES FOR OPERATION OF OFFICES OF RURAL HEALTH

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 338J; 42 U.S.C. 254r, as amended, Public Law 101-597.

OBJECTIVES:

To improve health care in rural areas through the establishment of State Offices of Rural Health.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

This is a matching grant program with States to support the establishment and ongoing operation of Offices of Rural Health. While a wide range of activities can be conducted to address unique State needs, each State Office of Rural Health must (1) Establish an information clearinghouse, (2) coordinate State and Federal rural health programs throughout the State, (3) provide technical assistance that will improve participation in State and Federal programs, and (4) work to improve availability of health professionals in rural areas.

Applicant Eligibility:

All fifty States may apply. Each State can only submit one application.

Beneficiary Eligibility:

Underserved populations in rural areas; facilities and services in rural areas.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of the official designated as the single point of contact in his or her State for more

information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Office of Rural Health. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Applications are reviewed by Federal and non-Federal experts in rural health care services development, coordination, and delivery. Applications are evaluated for merit. Final decisions are made by the Associate Administrator, Office of Rural Health Policy.

Deadlines:

www.hrsa.gov

Range of Approval/Disapproval Time:

From 2 to 3 months.

Appeals:

None.

Renewals:

Grantees may request funding beyond an initial project period by submitting a competing continuation application during a regular competing application cycle. All competitive cycles are contingent upon availability of funds for such purposes.

Formula and Matching Requirements:

States must match at least \$3 for each \$1 of Federal funds.

Length and Time Phasing of Assistance:

Grant applications should be submitted for a 5-year project period. Awards are normally made in a lump sum for an entire 12-month budget period. Payments are made annually either through a letter of credit or cash demand system.

Reports:

A financial status report (FSR) is required to be submitted to Grants Management within 90 days of the end of the budget period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$7,267,000; FY 08 est \$7,206,900; and FY 09 est \$7,200,000.

Range and Average of Financial Assistance:

\$100,000 to \$150,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 50 non-competing continuation grants were awarded. For FY 08, it is estimated that 50 competing continuation grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Program Contacts: Keith Midberry, Program Coordinator, State Office of Rural Health Grant Program, Office of Rural Health Policy, Health Resources and Services Administration, Public Health Service, Parklawn Building, Room 9A-55, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2669; E-mail: kmidberry@hrsa.gov.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: 301-443-2385; Research and Training Branch; Telephone: (301) 443-3099; Government and Special Focus Branch; Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

This program establishes State Offices of Rural Health in each State. A few of the projects in State Offices of Rural Health include: 1) Providing technical assistance to rural communities seeking to develop or improve primary health care; 2) training community leaders and providers of health services so that they may better respond to the needs of their rural clientele; and 3) taking lead roles in drafting, obtaining, and implementing the rural physician loan repayment program to increase the likelihood of recruiting physicians to remote rural area. In general, these projects tend to address problems and issues unique to their particular State, therefore, projects cover a broad range of activities.

CRITERIA FOR SELECTING PROPOSALS:

Grant applications will be evaluated on the basis of the following criteria: (1) The extent to which the application is responsive to the requirements and purposes of the program; (2) the extent to which the applicant has developed measurable goals, objectives, and an evaluation plan for the required, and any discretionary, activities; (3) the extent to which the Office is coordinated with, and has the cooperation of, other health entities and activities within the State; (4) the strength of the applicant's plans for administrative and financial management of the Office; (5) the reasonableness of the budget proposed for the Office; and (6) the likelihood that the Office will be continued after Federal grant support is completed.

93.914 HIV EMERGENCY RELIEF PROJECT GRANTS

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title XXVI, Part A, as amended, Public Law 109-415; Ryan White HIV/AIDS Treatment Modernization Act of 2006.

OBJECTIVES:

To provide direct financial assistance to eligible metropolitan areas (EMAs) and Transitional Grant Areas (TGAs) that have been the most severely affected by the Human Immunodeficiency Virus (HIV) epidemic to develop, organize and operate programs that provide an effective, appropriate and cost efficient continuum of health care and support services for the individuals and families with HIV disease.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds received under a grant are to be used to fund 75 percent core medical services and 25 percent support services. Core medical services include: outpatient and ambulatory health services, AIDS Drug Assistance Program, AIDS, pharmaceutical assistance, oral health care, early intervention services, medical nutritional therapy, substance abuse treatment and mental health treatment, medical case management, including treatment and adherence services. Support services for individuals with HIV/AIDS to achieve medical outcomes (such as respite care for persons caring for individuals with HIV/AIDS, outreach services, medical transportation, linguistic services and referral for health care and support services). A percentage of the grant, determined by the percentage of women, infants, and children in the area with Acquired Immune Deficiency Syndrome, will be used to provide health and support services to infants, children, and women with HIV disease, including treatment measures to prevent the perinatal transmission of HIV. No more than 10 percent of amounts received under a grant may be used to fund grant administration and monitoring activities, program support activities and all activities associated with grantee contract award procedures. Funds may not be used to supplant or replace the resources of such institutional providers that are already devoted to the support of personnel providing HIV-related services. Funds may not be used to purchase or improve land, or to purchase, construct or make permanent improvement to any building except for minor remodeling. Funds may not be used to make payments to recipients of services.

Applicant Eligibility:

Eligible metropolitan areas (EMA) with a population of 50,000 or more individuals for which the Centers for Disease Control and Prevention has reported a cumulative total of more than 2,000 HIV/AIDS cases for the most recent period of 5 calendar years period. EMAs include: Atlanta, Georgia; Baltimore, Maryland; Boston, Massachusetts; Chicago, Illinois; Dallas, Texas; Detroit, Michigan; Ft. Lauderdale, Florida; Houston, Texas; Los Angeles, California; Miami, Florida; New Orleans, Louisiana; New York, New York; Newark, New Jersey; Orlando, Florida; Philadelphia, Pennsylvania; Phoenix, Arizona; San Diego, California; San Francisco, California; San Juan, Puerto Rico; Tampa-St. Petersburg, Florida; Washington, DC; and West Palm Beach, Florida. Transitional Grant areas (TGA) with a population of 50,000 or more individuals for which the Centers for Disease Control and Prevention has reported a cumulative total of at least 1,000, but not more than 1,999 HIV/AIDS cases for the more most recent five year period. TGAs include: Austin, Texas; Bergen-Passaic, Caguas, Puerto Rico; Cleveland, Ohio; Denver, Colorado; Dutchess County, New York; Fort Worth, Texas; Hartford, Connecticut; Jacksonville, Florida; Jersey City, New Jersey; Kansas City, Missouri; Las Vegas, Nevada; Middlesex, New Jersey; Minneapolis, Minnesota;

Nassau/Suffolk Counties, New York; New Haven, Connecticut; Norfolk, Virginia; Oakland, California; Orange County, California; Ponce, Puerto Rico; Portland, Oregon; Riverside-San Bernardino, California; Sacramento, California; St. Louis, Missouri; San Antonio, Texas; San Jose, California; Santa Rosa, California; Seattle, Washington; and Vineland, New Jersey.

Beneficiary Eligibility:

Individuals and families with HIV disease will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of HIV/AIDS. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Applications will undergo an internal review process for funds awarded under Section 2603(a)(3). For funds awarded under Section 2603(b), applications will be reviewed by an Objective Grant Review Committee composed of representatives from various Department of Health and Human Services agencies, as well as outside reviewers that are experts in the field of HIV services planning and service delivery. Grants will be awarded to eligible metropolitan areas.

Deadlines:

<http://www.hrsa.gov>.

Range of Approval/Disapproval Time:

About 60 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are awarded for a 12-month budget period.

Reports:

Annual progress and annual financial reports are required. Annual reports are to be submitted within 90 days after the end of each budget period. The final performance report and final financial status reports are due 90 days from the end of the project period. Reports documenting services and costs will also be required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$587,425,500; FY 08 est \$549,905,180; and FY 09 est not available.

Range and Average of Financial Assistance:

\$875,000 to \$102,000,000.

PROGRAM ACCOMPLISHMENTS:

In FY 06, 51 non-competing continuation awards were made and in FY 07 and FY 08, 56 non-competing applications were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Douglas Morgan, Director, Division of Service Systems, HIV/AIDS Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 7A-55, Rockville, MD 20857. Telephone: (301) 443-6745.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

<http://www.hrsa.gov>.

RELATED PROGRAMS:

93.917, HIV Care Formula Grants.

EXAMPLES OF FUNDED PROJECTS:

Programs were funded to deliver or enhance HIV-related outpatient and ambulatory health and support services, including case management and comprehensive treatment services for individual and families with HIV disease and inpatient case management services.

CRITERIA FOR SELECTING PROPOSALS:

Applications must meet seven criteria set forth in the enacting legislation: (1) Contain a report concerning the dissemination of emergency relief funds and the plan for utilization of such funds; (2) document demonstrate need in such area for supplemental financial assistance to combat the HIV epidemic; (3) demonstrate the existing commitment of local resources of the area, both financial and in-kind, to combat the HIV epidemic; (4) demonstrate the ability of the area to utilize such supplemental financial resources in a manner that is immediately responsive and cost-effective; (5) demonstrate that resources will be allocated in accordance with the local demographic incidence of AIDS including appropriate allocations for services for infants, children, women, and families with HIV disease; (6) demonstrate the inclusiveness of the planning council membership, with particular emphasis on affected communities and individuals with HIV disease; and (7) demonstrate the manner in which proposed services are consistent with the local needs assessment and the statewide coordinated statement of need.

93.917 HIV CARE FORMULA GRANTS

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title XXVI, Part B, as amended, Public Law 106-415, Ryan White HIV/AIDS Treatment Modernization Act of 2006.

OBJECTIVES:

To enable States to improve the quality, availability, and organization of health care and support services for individuals and families with Human Immunodeficiency Virus (HIV) disease.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds received under a grant are to be used to fund 75 percent core medical services and 25 percent support services. Core medical services include: outpatient and ambulatory health services, AIDS Drug Assistance Program, AIDS, pharmaceutical assistance, oral health care, health insurance premium, home health care; home and community-based health services, early intervention services, medical nutritional therapy, substance abuse treatment and mental health treatment, medical case management, including treatment and adherence services. Support services for individuals with HIV/AIDS to achieve medical outcomes (such as respite care for persons caring for individuals with HIV/AIDS, outreach services, medical transportation, linguistic services and referral for health care and support services). Funds may be used to establish and operate HIV Care Consortia within areas most affected by HIV disease that shall be designed to provide a comprehensive continuum of care to individuals and families with HIV disease; provide home and community-based care services for individuals with HIV disease; provide assistance to assure the continuity of health insurance coverage for individuals with HIV disease; and provide therapeutics to treat HIV disease to individuals with HIV disease. A State shall use a percentage of the grant, determined by the percentage of infants, children, and women with acquired immune deficiency syndrome in the State, to provide health and support services to infants, children, and women with HIV disease, including treatment measures to prevent the perinatal transmission of HIV. Funds may not be used to purchase or improve land, or to purchase, construct or make permanent improvement to any building except for minor remodeling. Funds may not be used to make payments to recipients of services. A State may not use more than 10 percent of amounts received under this grant for administration, planning and evaluation activities. If a State receives the minimum allotment, it may not use more than the amount required to support one full-time equivalent employee for these activities. Seventy-five (75) percent of grant funds must be obligated within 120 days of the budget period start date.

Applicant Eligibility:

All 50 States of the United States, and the District of Columbia and U.S. Territories (and possessions) of the United States, the Commonwealths of Puerto Rico, Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa and the Republic of the Marshall Islands, Federated States of Micronesia, the Republic of Palau.

Beneficiary Eligibility:

Individuals and families with HIV disease.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of HIV/AIDS. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Applications will undergo an internal review process. Grants will be awarded to States who prepare and submit an application containing the required documents.

Deadlines:

<http://www.hrsa.gov>.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Yes.

Formula and Matching Requirements:

All States, the Commonwealth of Puerto Rico, the District of Columbia, and U.S. territories are eligible to receive Title II grants. All Title II grants are determined by formula. The grant amount is determined by multiplying the amount appropriated for Title II, less any set-asides, by the distribution factor determined for the State or territory. This distribution factor is comprised of two components that are added together. The first component, the State Distribution Factor, is determined by dividing the estimated number of living AIDS cases for the State or territory by the estimated number of living AIDS cases for all States and territories and multiplying the result by .75. The second component, the Non-EMA Distribution Factor, is determined by dividing the estimated living AIDS cases in the State or territory but outside of EMAs within that State or territory by the sum of all estimated living AIDS cases within States or territories but outside EMAs/TGAs within States or territories and multiplying the result by .20 plus .5 of State or territory share of HIV/AIDS cases from states with no EMAs/TGAs. There is no minimum grant amount of \$50,000 for U.S. territories. To achieve this minimum grant amount, funds are redistributed from other States and territories in proportion to their distribution factors. In addition, States and territories receive the greater of the formula amount, considering the minimum grant amount, or an amount equal to a set statutory percentage of the amount the State or territory received in fiscal year 1996. To achieve this minimum grant amount, funds are redistributed from other States in proportion to their distribution factors. The proportion of the funds allocated within a State or territory to provide services to infants, children and women must be at least equal to the proportion of infants, children and women with AIDS in the State or territory to the population of individuals with AIDS in the State or territory. Matching funds are required from States with more than one percent of the total U.S. AIDS cases reported to the CDC during the previous 2 years.

Length and Time Phasing of Assistance:

Grants are awarded for a 12-month budget period.

Reports:

Semiannual progress reports are required. The Financial Status Report is due 90 days following the end of each budget period. An interim financial status report is due for the current budget period showing 75 percent obligation of the FY awards (5 months of the budget period start date). A final progress report and expenditure report are due 120 days after budget period end date.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-

year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$1,104,710,500; FY 08 \$1,103,104,015; and FY 09 est not available.

Range and Average of Financial Assistance:

\$50,000 to \$171,786,592; \$17,963,976.

PROGRAM ACCOMPLISHMENTS:

In FY 06, FY 07 and 08 59 awards were to be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Douglas Morgan, Director, Division of Service Systems, HIV/AIDS Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 7A-55, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6745.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

<http://www.hrsa.gov>.

RELATED PROGRAMS:

93.914, HIV Emergency Relief Project Grants.

EXAMPLES OF FUNDED PROJECTS:

Programs were funded to establish and operate HIV care consortia to provide a continuum of care to individuals and families with HIV disease; provide home and community based care services; provide drug treatment; and provide assistance to assure the community of health insurance coverage.

CRITERIA FOR SELECTING PROPOSALS:

Grants will be awarded to applicants that submit: (1) An acceptable detailed description of the HIV-related services provided in the State to individuals and families with HIV disease during the year preceding the year for which the grant is requested, and the number of individuals and families receiving such services; (2) a comprehensive plan for the organization and delivery of HIV health care and support services to be funded with assistance received under this part that shall include a description of the purposes for which the State intends to use such assistance; (3) an assurance that the public health agency administering the grant for the State will periodically convene a meeting of individuals with HIV, representatives of grantees receiving both HIV Emergency Relief and HIV CARE Grants, providers, and public agency representatives to develop a statewide coordinated statement of need; and (4) an assurance by the State that: (A) the public health agency that is administering the grant for the State will conduct public hearings concerning the proposed use and distribution of the assistance to be received; and (B) the State will (a) to the maximum extent practicable, ensure that HIV-related health care and support services delivered pursuant to a program established with assistance provided under this part will be provided without regard to the ability of the individual to pay for such services and without regard to the current or past health condition of the individual with HIV disease; (b) ensure that such services will be provided in a setting that is accessible to low-income individuals with HIV disease; (c) provide outreach to low-income individuals with HIV disease to inform such individuals of the services available; (d) for continuum of health insurance coverage, submit a plan to the Secretary that demonstrates that the State has established a program that assures that (1) Such amounts will be targeted to individuals who would not otherwise be able to afford health insurance coverage; and (2) income, asset, and medical expense criteria will be established and applied by the State to identify those individuals who qualify for assistance under such program, and information concerning such criteria shall be made available to the public; (e) the State will provide for periodic independent peer review to assess that quality and appropriateness of health and support services provided by entities that receive funds from the State; (f) the State will permit and cooperate with any Federal investigations undertaken regarding programs; (g) the State will maintain HIV-related activities at a level that is equal to not less than the level of such expenditures by the State for the 1-year period preceding the fiscal year for which the State is applying to receive a grant; and (h) the State will ensure that grant funds are not utilized to make payments for any item or service to the extent that payment has been made.

93.918 GRANTS TO PROVIDE OUTPATIENT EARLY INTERVENTION SERVICES WITH RESPECT TO HIV DISEASE

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title XXVI, Part C, as amended, Public Laws 106-345, 109-415, Ryan White Care Act Amendments of 2000 HIV/AIDS Treatment Modernization Act of 2006.

OBJECTIVES:

To increase the capacity of entities that provide comprehensive primary care services to persons with Human Immunodeficiency Virus (HIV) infection, or who are at-risk of infection, and to offer more early intervention services. The services will include increased counseling and testing, partner involvement in risk reduction, transmission prevention, appropriate primary care diagnostic and treatment services, and, as needed, case-management to ensure that individual service needs are met. A capacity development program provides assistance to organizations wishing to develop an expanded HIV program, particularly in rural and underserved areas and communities of color.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Applications should be designed to improve the availability, accessibility and organization of ambulatory health services to persons infected with HIV or who are at high risk. Funds may not be used for acquiring property, used for inpatient or residential care, and no more than 10 percent of the Federal funds may be used for administrative costs.

Applicant Eligibility:

Eligible applicants are public and private nonprofit entities that are: federally qualified health centers under Section 1905(1)(2)(B) of the Social Security Act; grantees under Section 1001 (regarding family planning) other than States; comprehensive hemophilia diagnostic and treatment centers; rural health clinics; health facilities operated by or pursuant to a contract with the Indian Health Service; community based organizations, clinics, hospitals and other health facilities that provide early intervention services to those persons infected with HIV/AIDS through intravenous drug use; or nonprofit private entities that provide comprehensive primary care services to populations at-risk of HIV/AIDS, including faith-based and community-based organizations. Capacity development grants require that applicants be public or private non-profit organizations that are or intend to become HIV Primary Care Providers.

Beneficiary Eligibility:

Persons infected with HIV or who are at high risk of HIV infection.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of HIV/AIDS. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

After approval by the Associate Administrator, the Division of Grants Management Operations prepares a Notice of Grant Award and issues the award.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 120-180 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula for the amount of the grant. The amount is negotiated based on costs of the proposed grant activities. Grantees are not required to match Federal funds under the grant; however, the level of nonfederal expenditures for early intervention services must be maintained at the level for the year prior to the grant award.

Length and Time Phasing of Assistance:

Early Intervention Services grants may be made for up to 5-year project periods. Continued support, beyond the first year, is contingent upon satisfactory performance and the availability of Federal funds. Capacity development grants may be funded at up to \$150,000 for up to 3 years.

Reports:

Grantees must submit a Financial Status Report on SF 269 (long form) within 90 days after the end of the budget period. The grantee must also submit the annual Care Act Data Report due March 15 each year, covering the just-ended January 1 - December 31 calendar year. Beginning in Fiscal Year 2009, grantees must submit an Allocations Report, 60 days after the notice of grant award, and an Expenditures Report within 90 days after the end of the budget period, (OMB 0915-0318) Additionally, each funded program must submit a non-competing application each year prior to the end of the budget period. A final financial status report and final performance report must be submitted 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

EIS grants: FY 07 \$180,191,123; FY 08 est \$185,206,136; and FY 09 est \$185,781,668. Capacity Development grants: FY 07 \$2,069,202; FY 08 est \$1,000,000; and FY 09 est 1,000,000.

Range and Average of Financial Assistance:

\$100,000 to \$650,000; \$350,000. Planning grants are limited to \$50,000 and Capacity Development grants are limited to \$150,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 358 programs were funded and provided early intervention services, including primary and preventive care to over 150,000 people with HIV disease. Also in FY 07, we awarded 25 Capacity Development grants. Other accomplishments include the provision of technical assistance to existing grantees, continued support for efforts to improve the quality of care for persons living with HIV/AIDS, the development of clinical quality performance measures.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

For Early Intervention Services Grants: Kathleen Treat, Division of Community Based Programs, HIV/AIDS Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 7A-30, Rockville, MD 20857. Telephone: (301) 443-7602. For Capacity Development Grants: Dora Ober at (301) 443-0759 or at the same address as above.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Grantees include federally-qualified health centers; family planning grantees under Section 1001 of the PHS Act other than States; local health departments; and public and private nonprofit entities that provide comprehensive primary care services to populations at risk of HIV/AIDS, including faith-based and community-based organizations. Capacity development grants include a wide variety of public and private nonprofit entities.

CRITERIA FOR SELECTING PROPOSALS:

Evaluations will be based on (1) Applicant's assessment of community need for additional preventive and primary care services to those with, and at risk for, HIV infection; (2) applicant's ability to describe its role in addressing unmet needs; (3) appropriateness of the proposed budget; (4) comprehensiveness of existing and proposed services; (5) collaboration with other local city, county,

State HIV-prevention and treatment activities; and (6) adequacy and completeness of applicant's program evaluation plan.

93.919 COOPERATIVE AGREEMENTS FOR STATE-BASED COMPREHENSIVE BREAST AND CERVICAL CANCER EARLY DETECTION PROGRAMS

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Breast and Cervical Cancer Mortality Prevention Act of 1990, Section 301(a), Section 317(k)(3), and Section 1501, Public Law 101-354; 42 U.S.C.241a, 42 U.S.C. 247b(k)(3), and 42 U.S.C. 300K; Public Law 103-183.

OBJECTIVES:

To work with official State and territorial health agencies or their designees, and tribal health agencies in developing comprehensive breast and cervical cancer early detection programs. To the extent possible, increase screening and follow-up among all groups of women in the State, tribe or territory, with special to reach those women who are of low income, uninsured, underinsured and minority, and Native Americans.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cooperative agreements funds may be used to assure screening of women for breast and cervical cancer as an early detection preventive measure; assure appropriate referrals for follow-up services for women with abnormal screening tests and routine rescreeing; develop and disseminate public education and outreach programs for the early detection and control of breast and cervical cancers; improve the education, training and skills of health professionals (including allied health professionals) in the early detection and control of breast and cervical cancers; establish mechanisms through which the States, tribes and territories can monitor the quality of breast and cervical cancer screening procedures in the State, including the interpretation of such procedures; and evaluate program activities through appropriate surveillance and monitoring. Cooperative agreement funds may not be expended for screening and follow-up services to the extent that payment has been made, or can reasonably be expected to be made, with respect to such items or services: (1) under any State compensation program, under any insurance policy or under any Federal or State health benefits program; or (2) by any entity that provides health services on a prepaid basis. Cooperative agreement funds shall not be used for treatment or treatment services. States, tribes and territories are required to make available nonfederal contributions in cash or in-kind toward such cost in an amount equal to not less than \$1 for each \$3 of Federal funds provided. Such contributions may be made directly or through donations from public or private entities. The payment for treatment services or the donation of treatment service may not be used for nonfederal contributions. States, tribes and territories may include only nonfederal contributions in excess of the average amount of nonfederal contributions made by the State, tribe or territory for the two year period preceding the first fiscal year for which the State, tribe or territory is applying to receive a cooperative agreement for a comprehensive breast and cervical cancer early detection program. In making a determination of the amount of nonfederal contributions for purposes of matching fund requirements, applicants may include any nonfederal amounts expended pursuant to Title XIX of the Social Security Act for the purpose of screening and follow-up for women at-risk for breast and cervical cancers.

Applicant Eligibility:

Eligible applicants are the official State health agencies of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, American Samoa, American Indian and Alaska Native tribes and tribal organizations as defined in Section 4 of the Indian Self-Determination and Education Assistance Act.

Beneficiary Eligibility:

Official State and Territorial health agencies, women especially low-income women.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, identify and describe nonfederal contributions, and provide a budget with justification for funds requested. Costs will be determined in accordance with the OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Preapplication coordination is not required. Applications are subject to the review requirements of the National Health Planning and Resources Development Act of 1974 as amended by the Health Planning and Resources Development Act of 1979. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or

her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Information on the submission of applications may be obtained from Mr. Carlos Smiley in the Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Atlanta, Georgia 30341. Telephone: (770) 488-2754. This program is subject to the provisions of 45 CFR 92 for State and local governments. The standard application forms, as furnished by PHS and required by 45 CFR 92 must be used for this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact Headquarters Office listed below for application deadlines.

Range of Approval/Disapproval Time:

From three to four months.

Appeals:

None.

Renewals:

Information on renewals may be obtained from the Ms. Nealean Austin, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Atlanta, GA 30341; Telephone: (770) 488-2722.

Formula and Matching Requirements:

States, tribes and territories are required to make available nonfederal contributions (cash or in-kind) toward such costs in an amount equal to not less than \$1 for each \$3 of Federal funds provided in the cooperative agreement. Such contributions may be made directly or through donations from public or private entities. Payment for treatment services or the donation of treatment services may not be used for nonfederal contributions. States, tribes and territories may include only nonfederal contributions in excess of the average amount of nonfederal contributions made by the State, tribe or territory for the two year period preceding the first fiscal year for which the State, tribe or territory is applying to receive a cooperative agreement for a comprehensive breast and cervical cancer early detection program.

Length and Time Phasing of Assistance:

From one to five years. Budget period is 12 months. Assistance is awarded through the SMARTLINK II System.

Reports:

A progress report and revised timeliness for objectives are required periodically. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status report and final performance report are required 90 days after the end of the project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Grants including financial assistance and direct assistance) FY 07 est \$158,085,587; and FY 08 est \$158,085,587; and FY09 est \$158,085,600.

Range and Average of Financial Assistance:

\$145,000 to \$8,400,000; \$2,100,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, the Centers for Disease Control and Prevention (CDC) entered into the fifteenth year of the National Breast and Cervical Cancer Early Detection Program (NBCCEDP). This landmark program brings critical breast and cervical cancer screening services to underserved women, including older women, women with low income, and women of racial and ethnic minority groups. CDC supports early detection programs in all 50 States, 4 U.S. territories, the District of Columbia, and 13 American Indian/Alaska Native organizations. Similar activity is anticipated in fiscal years 2006 and 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are no regulations, but guidelines are available. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, applies to cooperative agreements. Public Law 101-354 (August 10, 1994) places specific requirements on monies from this law which are to be used for funding State-based breast and

cervical cancer early detection programs.

Regional or Local Office:

None.

Headquarters Office:

Ms. Faye L. Wong, Chief, Program Services Branch, Division of Cancer Prevention and Control, National Center for Chronic Disease Prevention and Health Promotion, Centers for Disease Control and Prevention, Public Health Service, 4770 Buford Highway, NE., Mailstop K57, Atlanta, Georgia 30341. Telephone: (770) 488-46427. Grants Management Contact: Nealean Austin, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488-2722.

Web Site Address:

<http://www.cdc.gov/cancer>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In addition to providing screening and follow up for low income women, State health agencies incorporate into their health care system: (1) Public Education: (a) population targeted for screening and follow up services; (b) for women (other than low income) requiring periodic screening and follow up services; (2) Professional Education: (a) practitioners providing screening and follow up services for targeted low income women; (b) for all practitioners who will provide or refer women (other than low income) for required periodic screening and follow up services; (3) quality assurance: (a) mammography; (b) cervical cytology; (4) surveillance: (a) breast and cervical cancer incidence registry; (b) tracking and follow up system; (5) evaluation: (a) implementation of all program components; (b) Effectiveness of all program components; and (6) breast and cervical cancer control plan and coalition.

CRITERIA FOR SELECTING PROPOSALS:

(1) Extent of disease burden and need; (2) feasibility and appropriateness of operational plan to meet the purpose of the cooperative agreement; (3) the extent of collaboration and community involvement; (4) the extent to which the applicant appears likely to succeed in implementing proposed objectives; (5) the appropriateness of nonfederal contributions; and (6) the extent to which the budget is reasonable, consistent with the intended use of cooperative agreement funds, and includes evidence of the State's commitment to the program application of financial and/or in-kind contributions from nonfederal sources to activities of the proposed program.

93.923 DISADVANTAGED HEALTH PROFESSIONS FACULTY LOAN REPAYMENT (FLRP) AND MINORITY FACULTY FELLOWSHIP PROGRAM (MFFP)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Section 738(b), 42 U.S.C. 293, as amended; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

The purpose of the Minority Faculty Fellowship Program is to assist eligible entities in increasing the number of underrepresented minority faculty at health professions schools. The applicant school shall demonstrate its ability to: (1) identify, recruit, and select under-represented minority individuals who have potential for teaching, administration, or conducting research at health professions schools; (2) provide such individuals with the skills necessary to enable them to: (a) secure a tenured faculty position, or its equivalent, according to the policies of the school; (b) learn pedagogical skills and program administration; (c) design and conduct research; (d) write grants; and (e) prepare articles suitable for publication in peer reviewed journals; (3) provide services designed to assist such individuals in their preparation for an academic career, including provision of counselors; and 4) enable the fellow to provide health services to rural and/or medically underserved populations.

TYPES OF ASSISTANCE:

Project Grants; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

FLRP - Funds will be used to repay qualifying health professions educational loans consisting of principal and interest on loans incurred by the applicant while enrolled in a school in return for serving a minimum of least 2 years as a full-time or part-time faculty member at one of the following accredited health profession schools: medicine, nursing, osteopathic medicine, dentistry, pharmacy, podiatric medicine, optometry, veterinary medicine, public health, or a school that offers a graduate program in clinical psychology, and certain allied health programs. MFFP - To be able to receive an MFFP grant under section 738 (b) of the Public Health Service Act, an entity should provide an assurance in the application that: (1) Amounts received under the grant will be used to award a fellowship to the individual only if the individual meets the requirements outlined in the Fellow Eligibility Criteria. (2) Each fellowship award will include

a stipend in an amount not exceeding 50 percent of the regular salary of a faculty member not to exceed 3 years of training; an allowance for other expenses such as travel to professional meetings and costs related to specialized training.

Applicant Eligibility:

FLRP - Individuals from disadvantaged backgrounds who (1) have a degree in medicine, osteopathic medicine, dentistry, pharmacy, podiatric medicine, optometry, veterinary medicine, nursing, graduate public health, selected allied health or graduate behavioral and mental health; (2) are enrolled in an approved graduate training program in one of the health professions listed above; (3) are enrolled as full-time students in accredited institutions described above and in the final course of study or program leading to a degree from the institution. MFFP - Eligible applicants are accredited health profession schools of allopathic and osteopathic medicine, nursing, dentistry, veterinary medicine, optometry, allied health, public health, podiatric medicine, pharmacy, and schools offering graduate programs in behavioral and mental health. To be eligible to receive the grant the applicant shall: (1) Provide assurance that such applicant will make available (directly through cash donations) \$1 for every \$11 of Federal funds received under section 738 of the Public Health Service Act. (2) provide an assurance that institutional support will be provided for the individual for the second and third year at a level that is equal to the total amount of institutional funds provided in the year in which the grant or contract was awarded. (3) provide an assurance that the individual that will receive the fellowship will be a member of the faculty of the applicant school. (4) provide an assurance that the individual that will receive the fellowship will have, at a minimum, appropriate advanced preparation (such as a master's or doctor degree) and special skills necessary to enable such individuals to teach and practice.

Beneficiary Eligibility:

FLRP - Individuals who are from disadvantaged backgrounds. MFFP - Fellowship recipients must meet the following conditions: (1) Must fall under the definition of underrepresented minority individuals' (URM). URM is defined as racial and ethnic populations who are under-represented in the designated health profession discipline relative to the number of individuals who are members of the population involved. This definition would include Black or African American, American Indian or Alaska Native, Native Hawaiian or Other Pacific Islander, Hispanic or Latino, and any Asian other than Chinese, Filipino, Japanese, Korean, Asian Indian or Thai. (2) the fellow must be a U.S. citizen, non-citizen national, or foreign national who possesses a visa permitting permanent residence in the United States, except in the case of programs specifically designed for support of foreign nationals. Individuals on temporary or student visas are not eligible to receive PHS fellowship or training grant support.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

FLRP - FLRP awards are based on a percentage of the eligible applicant's total debt. Payments are made to individuals via electronic direct deposit. MFFP - Based upon the availability of funds, notice of awards are issued to the eligible schools which in turn distribute the awards to the fellow(s).

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Two to three months after application due date.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Applicants must: (1) provide assurance that such applicant will make available (directly through cash donations) \$1 for every \$1 of Federal funds received under section 738 of the Public Health Service Act; (2) provide an assurance that institutional support will be provided for the individual for the second and third year at a level that is equal to the total amount of institutional funds provided in the year in which the grant or contract was awarded; (3) provide an assurance that the individual who will receive the fellowship will be a member of the faculty of the applicant school; and (4) provide an assurance that the individual who will receive the fellowship will have, at a minimum, appropriate advanced preparation (such as a master's or doctoral degree) and special skills necessary to enable such individuals to teach and practice.

Length and Time Phasing of Assistance:

FLRP - Assistance is available during the time specified in the contract. MFFP support is limited to a 3-year project period.

Reports:

FLRP recipients are required to annually provide documentation demonstrating that funds were used to repay educational loans. The employing health professions school must complete and submit a semi-annual certification that the participating faculty has engaged in a position at the institution. The certification includes an endorsement by an appropriate official of the facility. MFFP applicants are required to submit a Uniformed Progress Report annually to the Division of Health Careers Diversity and Development.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

Appropriation 7580350. CAN 08-3765518.

Obligations:

FY 07 \$108,786; FY 08 \$54,477; and FY 09 est \$200,000.

Range and Average of Financial Assistance:

In FY 07, the range was \$53,313 to \$55,473, with an average award of \$54,393.

In FY 08, there will be 1 competing award issued, for \$54,477. In FY 09, the estimated average for 4 awards will be \$50,000.

PROGRAM ACCOMPLISHMENTS:

There were 2 non-competing continuation awards made in FY 07. There will be 1 competing award issued in FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Stuart Weiss, Acting Chief, Diversity Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Parklawn Building, Room 9-36, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-5644.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

FLRP - Awards were made to encourage individuals from disadvantaged backgrounds to go into teaching. MFFP - The purpose of the Minority Faculty Fellowship Program (MFFP) is to assist eligible entities in increasing the number of underrepresented minority faculty at health professional schools.

CRITERIA FOR SELECTING PROPOSALS:

Overview: The health professions school should provide information that adequately documents the need and data relative to the school's experience and ability to identify, recruit, select and train underrepresented minority faculty. In addition to teaching, acquiring administrative skills and conducting research, the fellow must also provide health care services, especially as a means of meeting community needs for reducing barriers to health care services to rural or underserved populations. The application should also include a discussion of how the development of underrepresented minority faculty, through use of the fellowship program, will enhance the participant's academic career potential and community service abilities. Review Criteria are used to review and rank applications. The Minority Faculty Fellowship Program has 6 review criteria: Need: This includes the extent to which the application describes the problem (e.g., lack of Minority Faculty) and associated factors contributing to this problem. Faculty Recruitment: This includes the extent to which the school demonstrates that it has the commitment and ability to identify, recruit, and select underrepresented minority individuals who have the potential for teaching, administration, and/or conducting research at a health professions institution. Faculty Development: This includes the extent to which the school's faculty

training program will provide the fellow with the preparation, training, and skills needed to secure a tenured faculty position. The Faculty Development Plan should include the provision of counselors and protected time for research and faculty development. Training may include: pedagogical skills, including teaching experience; program administration; grant writing; research methods and design; and preparation of articles suitable for publication in peer reviewed journals. Impact: Faculty Training in Providing Health Service: This includes the extent to which the Fellow is available to provide health services to rural or medically underserved populations. Resources/Capabilities: This includes the extent to which project personnel are qualified by training and/or experience to implement and carry out projects. This includes the capabilities of the applicant organization; and the quality and availability of facilities and personnel to fulfill the needs and requirements of the proposed project. Support Requested: This includes the extent to which the overall budget, including non-trainee expenses, is cost effective, reasonable, and consistent with the stated objectives and proposed activities. Expenditures are well justified and in-kind contributions are documented. Evaluative Measures: Describe the methodology that will be used to monitor and evaluate the project results. Evaluative measures must be able to assess the extent to which the program objectives have been met.

93.924 RYAN WHITE HIV/AIDS DENTAL REIMBURSEMENTS/COMMUNITY BASED DENTAL PARTNERSHIP

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title XXVI, Part F, as amended, Public Law 109-415, Ryan White Care HIV/AIDS Treatment Modernization Act of 2006, 106-345, Ryan White Care Act Amendments of 2000.

OBJECTIVES:

Dental Reimbursement compensate dental schools, postdoctoral dental education programs, and dental hygiene education programs for unreimbursed costs they have incurred in providing oral health services to patients with Human Immunodeficiency Virus or Acquired Immune Deficiency Syndrome (HIV/AIDS). Reimbursement will be made for documenting the number of patients with HIV/AIDS treated and uncompensated costs incurred providing oral health services to them. Community Based Dental Partnership aims to increase access to oral health care services for HIV positive individuals, while at the same time providing education and clinical training for dental and hygiene providers, located in community-based settings. This is achieved through multi-partner collaborations between dental and dental hygiene education programs and community-based dentist and dental clinics, marked by shared expertise and resources.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are restricted to dental schools, postdoctoral dental education programs, and dental hygiene education programs which submit an application documenting unreimbursed costs of oral health care provided to patients with HIV/AIDS.

Applicant Eligibility:

Public or private nonprofit schools of dentistry and dental hygiene, and accredited post-graduate dental training programs, located in the 50 United States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa or the Federated States of Micronesia, the Republic of the Marshall Islands and the Republic of Palau.

Beneficiary Eligibility:

Persons living with HIV/AIDS infection.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of HIV/AIDS. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Funds will be allocated to eligible applicants based upon level of uncompensated care provided.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 120-180 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Funds are awarded taking into consideration the unreimbursed oral health care costs for patients with HIV/AIDS incurred by each institution, as compared with unreimbursed oral health care costs for patients with HIV/AIDS incurred by all eligible applicants, and the amount of funds available to award.

Length and Time Phasing of Assistance:

Reimbursement of uncompensated costs incurred in the prior year. Community-Based Dental Partnership grants may be made for up to five-year project periods. Continued support beyond the first year is contingent upon satisfactory performance and the availability of Federal funds.

Reports:

Community-Based Dental Partnership grantees must submit: an annual Dental Services (Data) Report, covering the prior January 1 - December 31 calendar year; a Financial Status Report on SF 269 within 90 days after the end of each budget period; and a non-competing continuation application each year prior to the end of the budget period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments) FY 07 \$9,328,165; FY 08 est \$9,198,395; and FY 09 est \$9,198,395. (Grants) Community-Based Dental Partnership grants: FY 07 \$3,319,803; FY 08 est \$3,319,803; and FY 09 est \$3,319,803.

Range and Average of Financial Assistance:

Dental Reimbursement: \$1,439 to \$1,143,261; \$154,796. Community-Based Dental Partnership grants: \$184,748 to \$403,042; \$277,713.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 65 dental reimbursement awards were made.. In FY 07, 12 Community-Based Dental Partnership programs were funded, supporting oral health care to more than 2700 HIV positive patients.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Dora Ober, Division of Community Based Programs, HIV/AIDS Bureau, Health Resources and Services Administration, Parklawn Building, Room 7A-30, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0759.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funds were awarded to eligible dental schools and post-doctoral dental education programs for reimbursement of uncompensated costs of oral health care and the number of HIV positive patients treated during the prior year. Community-Based Dental Partnership grants fund collaborative partnerships between dental and dental hygiene education programs and community-based dental providers and organizations.

CRITERIA FOR SELECTING PROPOSALS:

Those dental schools, postdoctoral dental education programs and dental hygiene education programs, that submit applications documenting unreimbursed costs of oral health care provided to patients with HIV/AIDS and show evidence of efforts to establish working relations with HIV care consortia

and planning councils, AIDS Education and Training Centers, Title I, Title II, III, IV, Community-Based Dental Partnership, and Special Projects of National Significance programs authorized under Public Law 109-415. Applicants for the Community Based Dental Partnership are reviewed by an objective review committee based on the following criteria: Need, Response, Evaluative Measures, Impact, Resources/Capabilities, and Support Requested.

93.925 SCHOLARSHIPS FOR HEALTH PROFESSIONS STUDENTS FROM DISADVANTAGED BACKGROUNDS

(Scholarships for Disadvantaged Students (SDS))

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Section 737, 42 U.S.C. 293a; Health Professions Education Partnership Act of 1998, Public Law 105-392.

OBJECTIVES:

Promotes diversity among health professions students and practitioners by providing scholarships for students from disadvantaged backgrounds. Eligible health professions and nursing schools apply for funds to make scholarships to students from disadvantaged backgrounds, who have financial need for scholarships and are enrolled, or accepted for enrollment, as full-time students at the schools.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Scholarships may only be expended for tuition expenses, other reasonable educational expenses, and reasonable living expenses incurred while in attendance for the year. The Secretary is required to make available at least 16 percent of the funds to schools that will provide scholarships only for nursing students. Schools are required to agree that, in providing scholarships under this program, preference shall be given to students from disadvantaged backgrounds for who the costs of attending the school would constitute a severe financial hardship and disadvantaged students. The Secretary may not make a grant to a school unless the school is carrying out a program for recruiting and retaining students from disadvantaged backgrounds and have met the eligibility criteria of demonstrating the success of outcome measures.

Applicant Eligibility:

Accredited public or private nonprofit schools of allopathic medicine, nursing, osteopathic medicine, dentistry, pharmacy, podiatric medicine, optometry, veterinary medicine, chiropractic, allied health, or schools offering graduate programs in public health, behavioral and mental health or physician assistants.

Beneficiary Eligibility:

Students who are citizens, nationals, or lawful permanent residence of the United States or the District of Columbia, the Commonwealths of Puerto Rico or the Mariana Islands, the Virgin Islands, Guam, the American Samoa or the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, the Federated States of Micronesia; and enrolled full-time in health professions or nursing schools.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Based upon the availability of funds, notice of awards are issued to the eligible schools which in turn select and issue the awards to the eligible students.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 3 to 4 months.

Appeals:

None.

Renewals:

Annual applications are required for all schools.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Each scholarship award is made for 1 year.

Reports:

A financial status report must be submitted 90 days after the end of each budget period. In addition a Scholarships for Disadvantaged Students Performance Report must be submitted 45 days after the end of each budget period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

In FY 07 \$44,300,000; FY 08 \$42,400,000 were awarded to health professions and nursing schools. In FY 09 est \$0 awards.

Range and Average of Financial Assistance:

\$1,000 to \$650,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07 and FY 08 over 450 health professions and nursing schools received awards. In FY 06, over 15,000 students were assisted and estimate over 15,000 students will be assisted FY 07. In FY 09 estimate zero awards and zero students assisted.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Andrea Stampone, Division of Health Careers Diversity and Development, Bureau of Health Professions, Health Resources and Services Administration, Public Health Service, Parklawn Building, Room 8-42, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-4776. Use the same numbers for FTS.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov

RELATED PROGRAMS:

93.288, National Health Service Corps Scholarship Program; 93.342, Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students; 93.364, Nursing Student Loans; 93.908, Nursing Education Loan Repayment Program.

EXAMPLES OF FUNDED PROJECTS:

Scholarships to students of schools of nursing and health professions schools.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness, accuracy, and to determine if applicant schools meet minimum program requirements.

93.926 HEALTHY START INITIATIVE

(Healthy Start)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title III, Part D, Section 330H; 42 U.S.C. 254c-8.

OBJECTIVES:

To eliminate disparities in perinatal infant and maternal health by: enhancing a community's health care infrastructure and service system and a State's infrastructure; and directing resources and interventions to improve access to, utilization of, and full participation in comprehensive perinatal and women's health services, particularly for women and infants at higher risk for poor health outcomes.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Every Healthy Start project implements community-driven approaches to

address infant mortality rates by reducing maternal behavioral and medical risk factors and promoting healthy outcomes for women and their families. Beginning with prenatal care and continuing through the infant's second year of life, each community awarded funds assures the availability of a core set of services and activities for the perinatal population in their project area. These services include case management, home visiting and links to health care and other needed services for mothers and their infants; direct outreach and peer mentoring by trained community members; screening and referral for perinatal/postpartum depression; and strong coordination with and access to substance abuse, domestic violence, mental health, early intervention, parenting and other critical services for high-risk women and families. In addition, each Healthy Start project is required to have a community-based consortium composed of individuals and organizations and that includes women and families served by their project, to collaborate with their State Title V (MCH) Agency, and to implement a local health system action plan to improve the quality, cultural competence of and access to services and/or to address other problems in the local system of care. Funds may not be used to supplant currently funded activities/services.

Applicant Eligibility:

Urban and rural communities with significant disparities in perinatal health, and States with need to build their infrastructure/capacity to address and support those communities trying to achieve the goals of the Healthy Start program. Eligible applicants are any public or private entity, including an Indian Tribe or tribal organization (as those terms are defined at 25 U.S.C. 450b). Community-based organizations, including faith-based organizations are eligible to apply.

Beneficiary Eligibility:

Service area residents, particularly women and infants in areas with significant perinatal health disparities.

Credentials Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

See specific program grant guidance.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

Final decisions are made approximately 166 days after receipt of applications.

Appeals:

None.

Renewals:

After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation application.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Project periods vary depending on specific grant announcement with non-competing continuation awards made on an annual basis for up to 5 years depending on satisfactory progress and subject to the availability of funds.

Reports:

Grantees are required to submit progress reports on an annual basis. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and progress reports are due 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going

enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$96,660,615; FY 08 est \$94,450,895; and FY 09 est \$94,450,895.

Range and Average of Financial Assistance:

\$155,000 to \$2,350,000; \$974,653.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 102 projects were awarded to new and existing projects. It is estimated that 102 projects will be awarded in FY's 08 and 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Director, Division of Healthy Start and Perinatal Services, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18-12, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0543.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: 301-443-2385; Research and Training Branch: 301-443-3099; Government and Special Focus Branch: 301-443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.110, Maternal and Child Health Federal Consolidated Programs; 93.224, Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.246, Health Centers Grants for Migrant and Seasonal Farmworkers.

EXAMPLES OF FUNDED PROJECTS:

From pregnancy either to the next pregnancy or 2 years post delivery for the mother and infant, every Healthy Start project assures the availability of a core set of services and system building activities to the perinatal population in the project area. Projects include a consortium, case management, outreach, health education, screening and referral for depression, collaboration with State Title V, a local health system action plan, and plans for sustainability.

CRITERIA FOR SELECTING PROPOSALS:

See specific program grant guidance.

93.928 SPECIAL PROJECTS OF NATIONAL SIGNIFICANCE

(SPNS)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title XXVI, Part F, as amended, Public Law 109-415; Ryan White HIV/AIDS Treatment Modernization Act of 2006.

OBJECTIVES:

To quickly respond to emergency needs of individuals receiving assistance under the Ryan White program; and to fund special programs to develop a standard electronic client information data system to improve the ability of grantees under the Ryan White program to report client-level data the Secretary.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The SPNS Program supports the development of innovative models of HIV care to respond to the emerging needs of individuals receiving assistance under the Ryan White HIV/AIDS Treatment Modernization Act of 2006. SPNS evaluates the effectiveness of these models, and promotes the replication of successful models. Areas of evaluation include design, implementation, utilization, costs, and health related outcomes of effective models. The SPNS Program also supports special programs to develop standard electronic client information data systems to enable Ryan White Program grantees to report client level data. Proposals are expected to adequately define and justify the needs, innovative nature, and evaluation methodology of the proposed model of services. Funds shall be used to create and/or evaluate innovative models of HIV care that would likely not exist nor be evaluated without SPNS Program support, or that would extend the care model to previously underserved or unserved populations. Funds under the SPNS Program cannot be used for: charges that are billable to third party payers (e.g., private health insurance, prepaid health plans, Medicaid,

Medicare); construction of new facilities or capital improvements to existing facilities; to purchase or improve land; cash payments to intended service recipients, as opposed to various incentives to encourage participation in evaluation activities.

Applicant Eligibility:

Eligible entities: only those eligible for funding under Parts A-D of the Ryan White HIV/AIDS Treatment Modernization Act of 2006.

Beneficiary Eligibility:

Adults, women, children and families with HIV disease.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of HIV/AIDS. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Applications will be objectively reviewed based on published evaluation review criteria, assigned a numerical score, and rank ordered for funding.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 4 to 6 months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grants will generally be awarded with 60-month project periods and five 12-month budget periods; some grants may have more limited 12, 24 or 36 month project periods.

Reports:

A final progress report is due 90 days after the end of the project period. In addition, a Financial Status Report is due within 90 days after the close of each budget period and after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$25,000,000; FY 08 \$25,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$75,000 to \$600,000; \$300,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07 there were 39 continuation grants and 18 new awards grants; in FY 08 there will be 34 continuation grants and approximately 17 new award grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Adan Cajina, Chief, Demonstration and Evaluation Branch, Division of Science and Policy, HIV/AIDS Bureau, Health Resources and Service Administration, 5600 Fishers Lane, Room 7C-07, Rockville, MD 20857. Telephone Number: (301) 443-3180.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: Telephone: (301) 443-2385; Research and Training Branch: Telephone: (301) 443-3099; Government and Special Focus Branch: Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.216, HIV/AIDS Mental Health Services Demonstration Program; 93.917, HIV Care Formula Grants; 93.918, Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease.

EXAMPLES OF FUNDED PROJECTS:

Prevention with HIV Infected Persons seen in Primary Care Settings: This initiative was designed to address the need for interventions targeting HIV-positive individuals in clinical care, to prevent transmission to uninfected individuals and to prevent re-infection among people who are already infected with the virus. The Special Projects of National Significance (SPNS) program awarded grants to one evaluation center and 15 demonstration sites. The evaluation center provides leadership in the design and evaluation of interventions that will have maximum impact on the theory, practice and policy of HIV prevention in primary health care settings. The 15 clinical demonstration sites were funded to implement and evaluate prevention interventions with HIV-infected individuals seen in primary care settings. The questions to be investigated include, among others: (1) Are provider-driven interventions in clinical settings effective?; (2) What specific models are most effective with different target populations (e.g. men of color who have sex with other men, heterosexual women, rural drug users, etc.)? An Evaluation of Innovative Methods for Integrating Buprenorphine Opioid Abuse Treatment in HIV Primary Care: Based on new legislation and the availability of buprenorphine treatment, the Buprenorphine Initiative was designed to determine the effectiveness of integrating buprenorphine opioid abuse treatment into HIV primary care settings. This initiative began in September 2004 and is comprised of 10 demonstration sites coordinated by a technical assistance/evaluation center. The initiative is directed towards persons with HIV in the primary care setting who also have substance abuse issues. As a demonstration project, this initiative seeks to determine the feasibility and/or effectiveness of integrating buprenorphine opioid abuse treatment into HIV primary care settings. The ultimate goal is to improve the health of HIV substance abusers.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria vary and are based on the grant initiative. However, evaluation criteria generally include some or all of the following: Factor 1: Adequacy of demonstrated knowledge of the local HIV service delivery system and the adequacy of the justification of need for the proposed model within the community and target population to be served by the project. Factor 2: Extent of the feasibility and clarity of the description, appropriateness, innovative quality, and potential for evaluation, replication, and dissemination of the proposed model. Factor 3: Comprehensiveness of the program plan as described in clearly stated goals, time-limited and measurable objectives for each goal, activities directly related to each objective, and a timeline that shows the schedule of activities and production of materials that corresponds to milestones stated in the objectives and program evaluation. Factor 4: Thoroughness, feasibility and appropriateness of the project's evaluation design from a methodological and statistical perspective. Factor 5: Extent to which the applicant demonstrates past involvement with disseminating information about HIV service delivery by describing dissemination activities to date (e.g., presenting and publishing findings through reports and papers, training, or technical assistance). Factor 6: Competency of the applicant organization in terms of fiscal, program management, and evaluation.

93.932 NATIVE HAWAIIAN HEALTH SYSTEMS

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Native Hawaiian Health Care Improvement Act, Public Law 102-396.

OBJECTIVES:

To raise the health status of Native Hawaiians living in Hawaii to the highest possible level through the provision of comprehensive health promotion and disease prevention services, as well as primary health services, and to provide existing Native Hawaiian health care programs with all resources necessary to effectuate this policy.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The services of this program include outreach, health promotion, disease prevention, and primary health care services. These services will attempt to integrate traditional health concepts with western medicine so that existing

barriers to health care can be removed. It is anticipated that the various components will be integrated into one comprehensive system of care and that the existing health resources of the community will be used to the greatest extent possible.

Applicant Eligibility:

Eligible entities include Papa Ola Lokahi and the "Native Hawaiian Health Care Systems." The term Native Hawaiian health care system is defined as an entity: (1) Which is organized under the laws of the State of Hawaii; (2) which provides or arranges for health care services through practitioners licensed by the State of Hawaii, where licensure requirements are applicable; (3) which is a public or nonprofit private entity; (4) in which Native Hawaiian health practitioners significantly participate in the planning, management, monitoring, and evaluation of health care services; (see attached) and (6) which is recognized by Papa Ola Lokahi (a consortium of Hawaiian and Native Hawaiian organizations) for the purpose of planning, conducting, or administering programs or portions of programs, authorized by this act for the benefit of Native Hawaiians, and is certified by Papa Ola Lokahi as having the qualifications and the capacity to provide the services and meet the requirements of this Act for the benefit of Native Hawaiians.

Beneficiary Eligibility:

Hawaiian Natives will benefit.

Credentials Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Primary Health Care. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

After grant application review and approval, a Notice of Grant Award is prepared and processed, along with appropriate notification to the public.

Deadlines:

www.hrsa.gov.

Range of Approval Disapproval Time:

The range is approximately 120 days.

Appeals:

None.

Renewals:

Same as application procedure.

Formula and Matching Requirements:

Grants may not be awarded unless the entity agrees that it will make available, directly or through donations to the entity, nonfederal matching funds in an amount not less than \$1 for every \$5 of Federal funds provided. Nonfederal contributions may be in cash or in kind, fairly evaluated, including plant, equipment, or services. Amounts provided by Federal government or services assisted or subsidized to any significant extent by the Federal Government may not be included in determining the amount of such nonfederal contributions. The match requirements may be waived if the Secretary determines, in consultation with Papa Ola Lokahi, that it is not feasible for the entity to comply with the requirement. Grant funds may not be used to pay for (1) inpatient services; (2) cash payments to intended recipients of health services; or (3) purchasing or improving real property (other than minor remodeling of existing improvements to real property) or to purchase major medical equipment. The entity may not expend more than 10 percent of amounts received under the grant for administering the grant. Other requirements and limitations are set forth in Public Law 102-396.

Length and Time Phasing of Assistance:

Budget periods are for one year. Project periods are for three years because program is pending reauthorization.

Reports:

For each budget period during which an entity receives or expends funds pursuant to a grant under this program, such entity shall submit to the Secretary and Papa Ola Lokahi a report on: (1) Activities conducted by the entity under the grant; (2) the amounts and purposes for which Federal funds were expended, including a Financial Status Report; and (3) such other information as the Secretary may request. The records and reports of any entity which concern any grant or contract under this program shall be subject to audit by the Secretary, the Inspector General of Health and Human Services, and to the Comptroller General of the United States.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements are provided in Title 45, CFR, Parts 74 and 92.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$12,545,000; FY 08 est. \$12,545,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$1,300,000 to \$2,400,000.

PROGRAM ACCOMPLISHMENTS:

It is estimated that 6 awards will be made in FY 07.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

Director, Division of Health Center Management, Bureau of Primary Health Care, Health Resources and Services Administration, 5600 Fishers Lane, Room 16C-26, Rockville, MD 20857. Telephone: (301) 594-4485.

Headquarters Office:

Grants Management Office: Oscar Tanner, Director, Division of Grants Management, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: Telephone: (301) 443-2385; Research and Training Branch: Telephone: (301) 443-3099; Government and Special Focus Branch: Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.224, Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers).

EXAMPLES OF FUNDED PROJECTS:

Each recipient of funds shall provide outreach, health education, a link to primary care providers, case management, immunizations, preventive measures for diabetes, high blood pressure and otitis media; pregnancy and infant care, improvement in nutrition, chronic disease prevention, and collection of data to prevent disease. Papa Ola Lokahi is responsible for planning, training; research; dissemination of information on native Hawaiian health; and coordination of resources for Native Hawaiians; advocacy, and special projects.

CRITERIA FOR SELECTING PROPOSALS:

An objective review of applications for grant support considers the adequacy of the following with reference to the provisions of the Native Hawaiian Health Care Improvement Act: assessment of community needs; program of proposed services; management and staffing plan; and evaluation plan. Priority consideration is given to those applications whose health promotion and disease prevention services are provided through Native Hawaiian Health Care Systems.

93.933 DEMONSTRATION PROJECTS FOR INDIAN HEALTH

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title III, Sections 301 and 327, 42 U.S.C. 241, as amended, Public Law 78-410.

OBJECTIVES:

To promote improved health care among American Indians and Alaska Natives through research studies and demonstration projects, addressing such issues as Elder Care, Women's Health Care, and Children & Youth Initiative.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Federal assistance is to be used for the following purposes: (1) Research, analysis, and investigation of a broad range of issues affecting the health of

American Indians and Alaska Natives; and (2) demonstration projects and studies that provide American Indians/Alaska Natives with impetus and involvement in their health care and that promote improved health care to Indian people.

Applicant Eligibility:

Federally recognized Indian tribes; tribal organizations; nonprofit intertribal organizations; nonprofit urban Indian organizations contracting with the Indian Health Service under Title V of the Indian Health Care Improvement Act; public or private nonprofit health and education entities; and State and local government health agencies.

Beneficiary Eligibility:

American Indians/Alaska Natives will be the ultimate beneficiaries of the funded projects either directly or indirectly depending upon the nature of the project. For example, those individuals who participate in research studies and receive services will be direct beneficiaries while those impacted by policy changes resulting from analyses of Indian health care issues will be indirect beneficiaries.

Credentials/Documentation:

Costs will be determined in accordance with the applicable OMB Circular: OMB Circular No. A-87 (State, local, and Indian tribal governments); OMB Circular No. A-21 (institutions of higher education); and OMB Circular No. A-122 (nonprofit organizations). Depending upon the nature of the project, letters of support or tribal resolutions may be required.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

This program is subject to the provisions of either 45 CFR, Part 92 or OMB Circular No. A-110 depending upon the type of applicant organization. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center hours of operation are Monday-Friday from 7:00 a.m. to 9:00 p.m. (Eastern Standard Time). If you require additional assistance please contact Michelle G. Bulls, Grants Policy Officer at (301) 443-6528 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the Division of Grants Operations, Headquarters Office, for information regarding application deadline dates.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

Initial project period of up to 5 years, usually 3 years, with competitive renewals for periods not to exceed a total project period of 5 years.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

The project period is limited to 5 years or less. Within the project period, a continuation application must be submitted annually on a noncompetitive basis for each year of support.

Reports:

Program progress reports are due on a semiannual basis with the second report submitted as part of the annual noncompetitive continuation application. A terminal progress report is due within 90 days after the end of project support. Financial status reports are due within 90 days after the expiration of each budget period with a final financial status report due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

⁸ DHHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, paper, or other records of the grantee, contractor, or subcontractor, which are pertinent to the DHHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 92.42 or 45 CFR Part 74, Subpart D, as applicable, grantees are required to maintain grant records 3

years after they submit their final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the end of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Grants) FY 07 \$2,402,006; FY 08 est not available; and FY 09 est not available. Elder Health Care Initiatives: FY 07 est \$916,150; and FY 08 est not available; and FY 09 est not available. Indian Women's Demonstration: FY 07 \$600,000; FY 08 est not available; and FY 09 est not available. Children & Youth Initiative: FY 07 est \$885,856; FY 08 est not available; and FY 09 est not available. Native American Research Centers for Health: FY 07 est \$6,331,053; FY 08 est not available; and FY 09 est not available. Cooperative Agreements: FY 07 \$824,000; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

\$27,000 to \$300,000; \$115,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, 19 continuing children & youth initiative grants were awarded; and fiscal years 2006 and 2007, the 19 projects are expected to be funded. In fiscal year 2005, 19 continuing elder care initiatives grants were awarded; and it is anticipated that the 19 continuing awards will be made in fiscal years 2006 and 2007. In fiscal year 2005, 6 continuing Indian women's demonstration grants were awarded; and it is anticipated that the 6 continuing grants will be funded in fiscal years 2006 and 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 74, Public Health Service Grants Policy Statement; DHHS Publication No. (OASH) 94-50,000 (Rev.) April 1, 1994.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: For Elders Health Program contact: Dr. Bruce Finke, Nashville Area Elder Health Consultant, 45 Vernon Street, Northampton, MA 01060. Telephone: (413) 584-0790. For Indian Women's Health Demonstration Program Contact: Ms. Carolyn Aoyama, Nurse Consultant, Division of Nursing Services, 801 Thompson Avenue, Suite 300, Rockville, MD 20852. Telephone: (301) 443-1840. For Children & Youth Initiative Program contact: Ms. Judith Thierry, Maternal and Child Health Coordinator, Office of Public Health, 801 Thompson Avenue, Suite 300, Rockville, MD 20852. Telephone: (301) 443-5070. For Grants Management Contact: Ms. Kimberly Pendleton, Senior Grants Management Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360 Rockville, MD 20852. Telephone: (301) 443-5204. Use the same numbers for FTS.

Web Site Address:

<http://www.ihs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) National Indian Health Board is conducting a tribal health care advocacy demonstration project to provide advice and consultation on behalf of Indian health care consumers to improve health care delivery in Indian communities; and (2) Southeast Alaska Regional Health Consortium is providing an expansion of WISEWOMEN program to serve all adult Alaska Native women in Southeast Alaska.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are: Statement of problem(s) requiring solution; need for assistance; results or benefits expected from the project; approach or soundness of the applicant's plan for conducting the project; key personnel and their capability to carry out the project; and adequacy of management controls. Consideration will be given to the demonstrative aspects of the project and the compatibility of the project with the overall goals and objectives of the Indian Health Service.

93.936 NATIONAL INSTITUTES OF HEALTH ACQUIRED IMMUNODEFICIENCY SYNDROME RESEARCH LOAN REPAYMENT PROGRAM

(NIH AIDS Research Loan Repayment Program; AIDS LRP)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Part 68, Section 487A, Public Law 100-607, as amended; Public Law 103-43, 42 U.S.C. 288-1, 102 Stat. 3148, as amended, Public Law 105-392.

OBJECTIVES:

To help assure an adequate supply of trained researchers (physicians, registered

nurses and scientists) with respect to acquired immunodeficiency syndrome (AIDS) at the National Institutes of Health (NIH) by providing for the repayment of educational loans for participants who agree, by written contract, to primarily engage in AIDS research as employees of the NIH.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

This program will provide partial repayment of educational loans incurred by physicians, registered nurses and scientists engaged, as employees of the NIH, in AIDS research. Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Continuation contracts are available, dependent upon the level of debt and continued involvement in AIDS research, and are issued for one-year periods. Maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual NIH salary, which is referred to as their "debt threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the Program participants.

Applicant Eligibility:

Eligible applicants must: (1) Be a citizen, national, or permanent resident of the United States; (2) possess a M.D., Ph.D., D.O., D.D.S., D.M.D., D.V.M., D.P.M., A.D.N., B.S.N., or equivalent degree, or hold the position of Physician Assistant; 3) have educational debt, which results from governmental or commercial loans obtained to support their undergraduate and/or graduate education in excess of 20 percent of their annual NIH salary (exclusive of special allowances of any kind) on the program eligibility date; 4) be appointed under any temporary or permanent employment mechanism in the Intramural Research Program of the NIH, so long as their employment has the potential to last a minimum of 2 years; 5) not have an existing service obligation to Federal, State, or other entities, unless deferred during period of program service; 6) submit an application to participate in the Loan Repayment Program; and 7) submit to the Secretary for Health and Human Services, at the time of application, a signed contract agreeing to engage in AIDS research at the NIH for a minimum of 2 years.

Beneficiary Eligibility:

AIDS researchers who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: 1) Copies of loan applications and agreements from governmental or commercial educational loans that are being considered for repayment; 2) copies of the standard student budget from schools attended during period when debt was incurred; and 3) other documentation as required by law.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must access and submit the Loan Repayment Program application electronically via the website www.lrp.nih.gov; seek qualified employment with a sponsoring Institute or Center (IC) of the NIH. Once an application has been submitted, the Loan Repayment Committee reviews it at a regularly scheduled review meeting and a determination of loan repayment recipients is made.

Award Procedure:

The NIH Loan Repayment Committee (LRC) will review, rank, and approve or disapprove applications recommended for program participation by each IC. Applications approved for program participation by the LRC will be notified by the Program Director. The Secretary, or her/his designee, will sign the service contract of program participants, provide a copy to the participant, and notice of the amount of approved loan repayment.

Deadlines:

Review the Loan Repayment Program website www.lrp.nih.gov, or contact the Headquarters Office listed below for the application deadlines.

Range of Approval/Disapproval Time:

The approximate time for approval/disapproval is three months.

Appeals:

Employees of the NIH who are not approved as a result of this process may ask for reconsideration through the standard appeal procedures available to NIH employees.

Renewals:

At the conclusion of the initial two-year contract, participants may apply and be recommended for subsequent one-year continuation contracts under the application and approval procedures specified above.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One-year, continuation contracts are available for individuals whose educational debt exceeds that maximum repayable amount for 2-years. Payments are made directly to lenders,

following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services.

Reports:

Program participants must be certified quarterly as to having satisfactorily performed their research assignments.

Audits:

None.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-6846-0-1-552.

Obligations:

(Loan Repayments) FY 07 \$193,531; FY 08 est \$720,000; and FY 09 est \$720,000.

Range and Average of Financial Assistance:

For initial 2-year contracts, loan repayment awards may range from \$4,000 to \$70,000; Tax reimbursements range from \$1,977 to \$34,598. The average contract cost which includes loan and tax reimbursement is \$53,162. There were no new awards in FY 2007; there were nine renewal awards.

PROGRAM ACCOMPLISHMENTS:

Nine awards were made in fiscal year 2007. NIH projects that 13 awards will be made in fiscal year 2008 & 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 100-607, Public Law 103-43, Public Law 105-392; Federal Register, Volume 54, No. 215, November 8, 1989; NIH Guide for Grants and Contracts, Volume 23, No. 24, June 24, 1994; Federal Register, Volume 56, No. 150, August 5, 1991; Section 487A of Public Health Service Act (42 U.S.C. 288-I). Notice of Proposed Rulemaking is pending.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program and Business Contact: Patricia A. Cole, Ph.D., Director, Intramural Loan Repayment Programs, National Institutes of Health, Building 2, Room 2E18, 2 Center Drive, Bethesda, MD 20892-0230. LRP Helpline Telephone: (866) 849-4047. Facsimile: 866-849-4046. Email: lrp@nih.gov.

Web Site Address:

www.lrp.nih.gov.

RELATED PROGRAMS:

93.162, National Health Service Corps Loan Repayment Program; 93.164, Indian Health Service Educational Loan Repayment; 93.220, Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.232, Loan Repayment Program for General Research; 93.364, Nursing Student Loans.

EXAMPLES OF FUNDED PROJECTS:

Studies on the effects of gp120, TAT, and TNF-alpha on cell activation and gene expression in human endothelial cells in primary culture. Identification and characterization of cellular subtypes that comprise the immune system both in healthy human subjects and in subjects with diseases such as HIV infection. Development of murine models related to T-cell regeneration; and treatment of HIV patients infected with multi-drug resistant TB.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are approved for participation in the NIH Loan Repayment Program for AIDS Research after the LRC determines that the applicant will conduct qualified AIDS research. LRC approval, in part, is based upon the appropriateness of the research assignment to qualified AIDS research, the scientific merit of the research, and the credentials of the applicant as they relate to performing qualified AIDS research.

93.938 COOPERATIVE AGREEMENTS TO SUPPORT COMPREHENSIVE SCHOOL HEALTH PROGRAMS TO PREVENT THE SPREAD OF HIV AND OTHER IMPORTANT HEALTH PROBLEMS

(SHEPSA)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 301(a) and 311 (b) (c), as amended; 42 U.S.C. 241 (a), as amended; 42 U.S.C. 243 (b).

OBJECTIVES:

To improve the health and well-being of youth and prepare them to be healthy adults.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cooperative Agreement funds may be used to support personnel, purchase equipment, supplies, services, and travel directly related to the program activities

and consistent with the scope of this program. Cooperative agreement funds are used to help schools prevent sexual risk behaviors that result in HIV infection, especially among youth who are at highest risk. For example, cooperative agreement recipients implement and integrate effective policies and educational strategies to reduce risk behaviors that lead to HIV infection among youth; implement strategies to reduce disparities among sub-populations of youth disproportionately affected by HIV infection and other health problems related to sexual risk behaviors; evaluate the implementation and effectiveness of strategies to reduce risks for HIV infection among youth in schools for the purposes of programmatic improvement and long-range planning. CDC believes that the specific scope and content of HIV education programs in schools should be locally determined and should be consistent with parental and community values. CDC supports local decision-making by providing sound scientific information to grantees who work directly with schools, communities, and parents. Funds may be expended for written materials, pictorials, audiovisuals, questionnaires or survey instruments, and educational group sessions related to HIV education for youth, school, and college populations if approved in accordance with the document "Content of AIDS-Related Written Materials, Pictorials, Audiovisuals, Questionnaires, Survey Instruments, and Educational Sessions in Centers for Disease Control Assistance Programs." Cooperative agreement funds are also used to build state education and state health agency partnership and capacity to implement and coordinate school health programs across agencies and within schools. The expected outcome of this effort is to help schools reduce chronic disease risk factors, including tobacco use, poor nutrition, and physical inactivity. For example, cooperative agreement recipients implement and integrate effective policies, environmental changes, and educational strategies to reduce unhealthy behaviors; leverage resources to avoid duplication at the state and local levels; evaluate school health capacity-building efforts while implementing effective strategies to reduce priority health risks. Funds may not be used to conduct research projects, provide direct delivery of patient care or treatment services, and purchase or disseminate condoms. Although public health may have an assurance role in clinical testing and screening, funds are not to be used to provide clinical testing or screening services. Funds may not be used for purchasing computer equipment, office equipment or furnishing, renting or leasing office space, or to support construction or renovation unless specifically approved.

Applicant Eligibility:

Eligible applicants are official States (including the District of Columbia, the Commonwealth of Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Federated States of Micronesia, Guam, the Republic of the Marshall Islands, the Republic of Palau, and the U.S. Virgin Islands), Tribal Governments, large urban school districts (with the highest number of reported AIDS cases, high levels of poverty, and student enrollment greater than 75,000 students), and national non-governmental organizations.

Beneficiary Eligibility:

Official State education agencies in states and territories in the United States (including the District of Columbia, the Commonwealth of Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Federated States of Micronesia, Guam, the Republic of the Marshall Islands, the Republic of Palau, and the U.S. Virgin Islands); local education agencies; national private sector organizations and their constituents; universities and colleges; school-age youth, including minority youth, youth in high-risk situations, and youth with special education needs; college-age youth; and school personnel, including teachers, school nurses, paraprofessionals, and school administrators.

Credentials/Documentation:

Costs will be determined by 45 CFR Part 74, Subpart Q for nonprofit organizations and in accordance with OMB Circular A-87, "Cost Principles for State and Local Governments."

Preapplication Coordination:

Preapplication coordination is not required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Information on the submission of applications may be obtained from Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR Part 92 and 45 CFR Part 74. The standard application forms, as furnished by PHS and required by 45 CFR Part 92 for State and local governments and 45 CFR Part 74 for nonprofit organizations must be used for this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact Headquarters Office listed below for deadline dates.

Range of Approval/Disapproval Time:

From three to four months.

Appeals:

None.

Renewals:

Information on renewals may be obtained from Bill Ryan, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 2306, Atlanta, GA 30341.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project period can be up to five years. Budget periods are for 12 months.

Payment under this program is made available through HHS Payment Management System (PMS).

Reports:

The following reports are required: (1) A report that addresses the recommendations and weaknesses cited in CDC's Evaluation Report of the recipient's continuation application. This report is due within 30 days after the beginning of the budget period. (2) An annual progress report is due within 90 days after the end of the budget period and a final performance report is due 90 days after the end of the project period. This report describes the extent to which program objectives and activities were carried out, reasons for not achieving objectives or carrying out activities as planned, and other information relevant to the program. This report also describes data generated and includes reports of evaluations of program activities conducted during the budget period. (3) An annual financial status report is due within 90 days after the end of the budget period and a final financial status report is due within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133." In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreements program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 07 \$35,593,000; FY 08 est \$35,593,573; and FY 09 est \$35,593,573.

Range and Average of Financial Assistance:

\$10,000 to \$650,000; \$299,000.

PROGRAM ACCOMPLISHMENTS:

Competitive cooperative agreements to help schools and other agencies that serve youth to implement coordinated school health programs to prevent HIV infection and other important health problems were established with 49 State education agencies; to the education agencies of the District of Columbia, American Samoa, Commonwealth of the Northern Mariana Islands, Federated States of Micronesia, Guam, the Republic of the Marshall Islands, and the Republic of Palau; three Tribal Governments, and to the local education agencies for 25 large urban education agencies. Through these projects, teachers and other school staff, university staff, and staff of agencies that serve special populations receive training to implement effective health education, including HIV prevention. HIV education materials are produced and disseminated, policies are developed and implemented, and youth are receiving HIV prevention education as a part of community prevention efforts and coordinated school health programs. State, territorial, tribal group, and local education agencies competed for funding in fiscal year 2008, with a program period of five years. Approximately eighty awards were made to states, territories, tribal groups, and cities. A new competition for state and local education agencies is expected in fiscal year 2013. National organizations competed for funding in fiscal year 2006 with a project period of 5 years.

REGULATIONS, GUIDELINES, AND LITERATURE:

Subject to regulations outlined in 45 CFR Part 74 and 45 CFR Part 92. Program guidelines are available from the Grants Management Contact.

Regional or Local Office:

Program Contact: John C. Canfield, Program Development and Services Branch, Division of Adolescent and School Health, National Center for Chronic Disease Prevention and Health Promotion, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 4770 Buford Highway, Mailstop K-31, Atlanta, GA 30341. Telephone: (770) 488-6141. Grants Management Contact: Bill Ryan, Grants Management Officer, Grants

Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3716, Atlanta, GA 30341. Telephone: (770) 488-2717.

Headquarters Office:

Program Contact: John C. Canfield, Program Development and Services Branch, Division of Adolescent and School Health, National Center for Chronic Disease Prevention and Health Promotion, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 4770 Buford Highway, Mailstop K-31, Atlanta, GA 30341. Telephone: (770) 488-6141. Fax: (770) 488-6163. E-mail: qzc6@cdc.gov. Grants Management Contact: Bill Ryan, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3716, Atlanta, GA 30341. Telephone: (770) 488-2717.

Web Site Address:

<http://www.cdc.gov/healthyyouth>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of State Education Agency Programs include those that conduct HIV prevention education teacher training sessions for teachers throughout the State or provide mini-grants to regional educational service centers that conduct the teacher training sessions. Other States have developed centralized teacher training centers that provide training related to coordinated health programs and comprehensive school health education that includes HIV prevention education. Local education agencies provide teacher training and work with community-based organizations to form coalitions to help address the needs of youth in high-risk situations. State and local education agencies identify priority health risk behaviors by assessing representative samples of high school age students. National organizations also provide capacity building assistance to state and local education agencies and their own constituents in developing policies, training teachers, and in developing programs to reach minority youth, youth in high-risk situations, and youth with special education needs.

CRITERIA FOR SELECTING PROPOSALS:

Continuation awards are made on the basis of the following criteria: (1) the accomplishments of the current budget period show that the applicant is meeting its objectives and carrying out activities as planned; (2) the capacity of the recipient and staff responsible for managing and coordinating activities; (3) current collaboration with HIV prevention programs of State and local health agencies and with other relevant organizations; (4) the objectives for the new budget period are realistic, specific, and measurable; (5) a description of the methods of operation and activities that will be used to accomplish the stated objectives; (6) a plan of evaluation; (7) evidence of support from relevant organizations; (8) plans to share information about the program, including copies of HIV education curricula, program descriptions, progress reports, and educational materials with other agencies interested in HIV education for youth; (9) a budget request that is clearly explained, adequately justified, reasonable, and consistent with the intended use of cooperative agreement funds.

93.939 HIV PREVENTION ACTIVITIES-NON-GOVERNMENTAL ORGANIZATION BASED

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 301(a), 42 U.S.C. 241(a), as amended, and Section 317, 42 U.S.C. 247b(a), as amended.

OBJECTIVES:

To provide assistance to local, regional and, national nonprofit organizations to: (a) develop and implement effective community-based Human Immunodeficiency Virus (HIV) prevention programs related to achieving national goals; (b) promote coordination for primary and secondary HIV prevention efforts among community organizations, HIV education/prevention service agencies, and public organizations including local and State health departments and substance abuse agencies; and (c) evaluate the HIV prevention programs for which support is provided.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project funds may be used to promote coordination for primary and secondary HIV prevention efforts among community-based organizations, HIV education/prevention service agencies, and nonprofit national and regional organizations.

Applicant Eligibility:

Nongovernmental public and nonprofit private entities are eligible, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries..

Beneficiary Eligibility:

Public and nonprofit private entities.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q.

Preapplication Coordination:

Preapplication coordination is not required. Applications are subject to Executive Order 12372. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under the Public Health System Reporting Requirements. Under these requirements, all community-based nongovernmental applicants must report to the appropriate state and/or local health agency as determined by the applicant.

Application Procedure:

Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2720. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants. This program is subject to the provisions of OMB Circular No. A-110 for nonprofit organization.

Award Procedure:

After review and approval of an application, a notice of award is prepared and processed, along with appropriate notification to the public

Deadlines:

Contact Headquarters Office listed below for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

None.

Renewals:

Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2722.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. Although there are no matching requirements, applicants must assume part of the project cost and fiscal information must be provided in the narrative portion of the applications pursuant to provisions of Section 317 of the Public Health Service Act.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Quarterly or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 07 \$80,129,143; FY 08 est \$77,501,379; and FY 09 est \$77,501,379.

Range and Average of Financial Assistance:

\$95,000 to \$2,250,000; \$367,200.

PROGRAM ACCOMPLISHMENTS:

It is anticipated that in FY 2007 approximately 218 awards will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Grants Management Contact: Cheryl Maddux, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 498-1911.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.118, Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.116, Project Grants and Cooperative Agreements for Tuberculosis Control Programs; 93.135, Centers for Research and Demonstration for Health Promotion and Disease Prevention; 93.947, Tuberculosis Demonstration, Research, Public and Professional Education; 93.977, Preventive Health Services Sexually Transmitted Diseases Control Grants.

EXAMPLES OF FUNDED PROJECTS:

Funded projects include: Street Outreach Programs; Risk Reduction Programs; Community Intervention Programs; HIV Prevention Care Management; Minority Community-Based HIV Prevention Projects; National/Regional Minority Organization (HIV/STD Prevention, Immunization, and TB) Projects; and Primary and Secondary HIV Prevention Services.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) Agreements between all agencies providing professional and/or supportive care, either voluntarily or for fees; (2) a description of the approach that will be used to provide prevention case management services; and (3) a description of how services will complement/expand existing agency primary and secondary services and specific criteria published in program guidance.

93.940 HIV PREVENTION ACTIVITIES-HEALTH DEPARTMENT BASED

(HIV Prevention Program)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 317, 42 U.S.C. 247(b), as amended; Section 301, 42 U.S.C. 241, as amended; Health and Human Services, and Education, and Related Agencies Appropriation Act of 1989, Public Law 100-436.

OBJECTIVES:

To assist States and political subdivisions of States in meeting the cost of establishing and maintaining Human Immunodeficiency Virus (HIV) prevention programs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project funds may be used to support, develop, implement and evaluate primary and secondary HIV prevention programs implemented by State and local health departments.

Applicant Eligibility:

States, and in consultation with State health authorities, political subdivisions of States including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and U.S. territories and possessions.

Beneficiary Eligibility:

States, State health authorities, political subdivisions of States including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and U.S. territories and possessions.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or officials designated as the single point of contact in the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2722. The standard application forms, as furnished by CDC, must be used for this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along

with appropriate notification to the public.

Deadlines:

Contact Headquarters Office listed below for application deadlines.

Range of Approval/Disapproval Time:

From three to six months.

Appeals:

Not applicable.

Renewals:

Project periods are for one to five years with 12-month budget periods.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. Although there are no statutory requirements, applicants must assume part of the project's cost and fiscal information must be provided in the narrative portion of the application

Length and Time Phasing of Assistance:

The project period on these grants is one to five years and the budget period is 12 months.

Reports:

Annual narrative progress reports are required with the continuation application. Statistical reports of HIV antibody counseling and testing activities are required 45 days after the end of each quarter. Financial Status Reports are required no later than 90 days following the end of a budget period. Final financial status and performance reports are required 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least 3 years or until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 07 \$330,987,422; FY 08 est \$327,098,892; and FY 09 est \$327,098,892.

Range and Average of Financial Assistance:

\$126,000 to \$27,528,000; \$4,634,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2005, 65 awards were funded. It is anticipated that in FY 2006 and 2007 approximately 68 awards will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Nancy Haban, National Center for HIV, STD, and TB Prevention, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, NE., Mailstop A-43, Atlanta, GA 30333. Telephone: (404) 639-0928. Grants Management Contact: Cheryl Maddux, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 498-1911.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.118, Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.246, Health Centers Grants for Migrant and Seasonal Farmworkers; 93.283, Centers for Disease Control and Prevention Investigations and Technical Assistance; 93.991, Preventive Health and Health Services Block Grant.

EXAMPLES OF FUNDED PROJECTS:

The State and local health departments use the community planning process to prioritize HIV prevention activities and provide service to affected populations. The specific activities include: Counseling, Testing, Referral, and Partner Notification (CTRPN); Individual Level Intervention; Community Level Interventions; Public Information; Evaluation and Research; and Capacity Building.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on (1) satisfactory progress in meeting project objectives; (2) the extent to which the objectives for the new budget period are specific; (3) measurable, time phased, realistic, and consistent with National HIV Prevention Goals; (3) the extent to which proposed changes in methods of operation are appropriate and likely to improve prevention efforts; (4) evaluation plans which enhance the success of the project; (5) the availability

of funds.

93.941 HIV DEMONSTRATION, RESEARCH, PUBLIC AND PROFESSIONAL EDUCATION PROJECTS

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 301(a), 42 U.S.C. 241(a), as amended, and Section 317(a), 42 U.S.C. 247b(a), as amended.

OBJECTIVES:

To develop, test, and disseminate improved HIV prevention strategies.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

To assist States, political subdivisions of States, and other public and nonprofit entities with research on the prevention of HIV infection at the community level. Funds may be used to develop, implement and evaluate new intervention, including those targeting people who are infected with HIV. Applicants are encouraged to have research groups participate in the program.

Applicant Eligibility:

States, political subdivisions of States, other public including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and nonprofit private entities.

Beneficiary Eligibility:

States, political subdivisions of States, other public including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and nonprofit private entities.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For nonprofit recipients, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q.

Preapplication Coordination:

Preapplication coordination is not required. Applications are subject to Executive Order 12372. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or officials designed as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact Headquarters Office listed below for application deadlines.

Range of Approval/Disapproval Time:

From three to six months.

Appeals:

None.

Renewals:

Projects are for one to five years with 12-month budget periods. Application forms must be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention: Telephone: (770) 488-2720.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. Although there are no statutory requirements, applicants must assume part of the project's cost and fiscal information must be provided in the narrative portion of the application pursuant to provisions of Section 317(k).

Length and Time Phasing of Assistance:

Project Period: From one to five years. Budget Period: For 12 months.

Reports:

Quarterly or semi-annual narrative and statistical progress reports are required. Financial status reports are required no later than 90 days after the end of the budget period. Final financial status and performance reports are required 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations,"

nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least 3 years or until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 07 \$18,838,880; FY 08 est \$14,945,541; and FY 09 est \$14,945,541.

Range and Average of Financial Assistance:

\$69,400 to \$1,356,000; \$214,325.

PROGRAM ACCOMPLISHMENTS:

It is anticipated that in FY 2007 approximately 60 awards will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b.

Guidelines are available in the application kit. PHS Grants Policy Statement No 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

Not applicable.

Headquarters Office:

Grants Management Contact: Cheryl Maddux, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 498-1911

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.246, Health Centers Grants for Migrant and Seasonal Farmworkers; 93.283, Centers for Disease Control and Prevention Investigations and Technical Assistance; 93.978, Preventive Health Services Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants; 93.991, Preventive Health and Health Services Block Grant.

EXAMPLES OF FUNDED PROJECTS:

Youth in High Risk Situations (i.e., out of home setting). Perinatal HIV Reduction and Demonstration Activities Projects (PHREDA).

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the extent to which proposed objectives are specific, measurable, time-phased, and consistent with the stated purpose of this program; the extent to which the applicant's proposed plan will identify and reach appropriate hard-to-reach individuals at risk for HIV, and the quality and scope of the applicant's past and current activities to provide education about HIV prevention and AIDS to high risk individuals; the quality of the applicant's proposed interventions and strategies to motivate and reinforce risk reduction behavior change among hard-to-reach individuals; the quality of the applicant's proposed plan to promote changes in community norms regarding HIV prevention and risk reduction behavior, and to generate support, cooperation, and collaboration with community based organizations serving individuals at high risk for HIV; the extent to which the applicant's proposed plan will determine, monitor, and measure changes in the prevalence of specific knowledge, attitudes, beliefs and self-reported behaviors among hard-to-reach individuals; the quality of the applicant's plan, including proposed methods and instruments of measurement to evaluate effectiveness of the strategies used to motivate and reinforce behavior change among groups targeted by the interventions, and the impact of the programmatic efforts on community norms; the extent to which the applicant's proposed plan will enable it to respond to and redirect programmatic efforts based on new information, changes in behavior, and changes in HIV sero prevalence.

93.942 RESEARCH, TREATMENT AND EDUCATION PROGRAMS ON LYME DISEASE IN THE UNITED STATES

(Lyme Disease)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 301, 42 U.S.C. 241(a) and 317(k)(2), 42 U.S.C. 247b(k)(2), as amended.

OBJECTIVES:

To develop, implement and evaluate practical and effective measures for the primary and secondary prevention of Lyme disease in the United States.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funding opportunities are made available to develop disease surveillance, conduct ecological and epidemiological studies, develop prevention and control activities, develop better diagnostic tests, and develop and disseminate educational materials and programs. Grants include funds for direct costs, i.e., personnel, travel, equipment, supplies, necessary to carry out an approved project as well as funds for the reimbursement of applicable indirect costs. Unallowable costs, such as reimbursement of pre-award costs, as well as those for which prior written approval is required, are indicated in the Public Health Service (PHS) Grants Policy Statement (<http://www.hhs.gov/grantsnet.gps/>).

Applicant Eligibility:

Public and nonprofit organizations able to provide services to geographical areas where Lyme disease is endemic or found to be newly emerging in the continental United States. Thus, universities, colleges, research institutions, State and local health departments, and private nonprofit organizations are eligible.

Beneficiary Eligibility:

States, political subdivisions of states, and other public and nonprofit private entities and the general public who may be exposed to the threat of Lyme disease in certain geographical areas.

Credentials/Documentation:

Nonprofit organizations are corporations or associations no part of whose net earnings may lawfully inure to the benefit of any private shareholder or individual. Proof of nonprofit status must be submitted by private nonprofit organizations with the application or, if previously filed with PHS, the applicant must state where and when the proof was submitted.

Preapplication Coordination:

This program has no preapplication requirements. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

To apply for this funding opportunity, applications must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) Application Guide. Detailed application instructions are included in the Funding Opportunity Announcements (FOAs) for federal assistance. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management System, (Add FOA number here), CDC Procurement and Grants Office, U.S. Department of Health and Human Services, 2920 Brandywine Road, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Upon receipt, applications will be evaluated for completeness and responsiveness by the agency program and HHS/CDC Procurement and Grants Office (PGO). HHS/CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) is prepared and processed, along with appropriate notification to the public. Initial award provides funds for first budget period (usually 12 months) and NoA indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact Headquarters Office listed below for application deadline dates.

Range of Approval/Disapproval Time:

Approximately three to six months.

Appeals:

None.

Renewals:

Cooperative agreement project periods are for three to four years. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

This program has no matching requirements. This program has no statutory formula.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 3 to 4 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System.

Reports:

An original and two hard-copies of the Non-Competing Grant Progress Report (PHS 2590) are due no less than 120 days prior to the end of each budget period within the project period. Financial status reports are required no later than 90

days after the end of each budget period. Final financial status and performance reports are required no more than 90 days after the end of the project period. All reports must be submitted to the Grants Management Specialist indicated in the NoA.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 07 \$2,986,426; FY 08 \$1,400,494; and FY 09 est \$1,500,000.

Range and Average of Financial Assistance:

\$250,000 to \$650,000; Average award depends on funding opportunity announcement.

PROGRAM ACCOMPLISHMENTS:

In fiscal years 2001-2003, 20 new and competitive continuation awards were made. In fiscal years 2004-2007, 10 new and non-competitive continuation awards were made for Lyme disease diagnosis, immunology, pathogenesis and tick control research, and community-based prevention programs. Beginning in fiscal year 2008, new competitive funding opportunities will be available for the evaluation of reservoir-targeted vaccine formulations to prevent enzootic transmission of *Borrelia burgdorferi*, and field trials to evaluate efficacy of natural products for the control of the tick vectors of Lyme disease spirochetes.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000 (Rev.) April 1, 1994. Pertinent information may be obtained by contacting the Headquarters Office.

Regional or Local Office:

Bacterial Diseases Branch, Division of Vector-borne Infectious Diseases, National Center for Zoonotic, Vector-borne and Enteric Diseases, Coordinating Center for Infectious Diseases, Centers for Disease Control and Prevention, 3150 Rampart Road, Fort Collins, CO 80521. Branch Extramural Activities Contacts: Ms. Diane Hamm - Telephone: (970) 221-6414 and Dr. Charles B. (Ben) Beard - Telephone: (970) 221-6418. Division Extramural Activities Contacts: Ms. Mary Ellen (Mel) Fernandez - Telephone: (970) 221-6426. Dr. Lyle R. Petersen - Telephone: (970) 221-6428.

Headquarters Office:

Scientific/Research Program Contact: Dr. Brian Mahy, Extramural Research Program, Coordinating Center for Infectious Diseases, Centers for Disease Control and Prevention, Mailstop C-19, 1600 Clifton Road, N.E., Atlanta, GA 30333. Telephone: (404) 639-3770. FAX: (404) 639-2469. Financial or Grants Management Contact: Ms. Sharron Orum, Grants Management Specialist, Procurement and Grants Office, Centers for Disease Control and Prevention (CDC), 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2716. Fax: (770) 488-2777.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In the 2004-2007 project period, examples of funded projects include: development of materials for education intervention; conducting studies in integrated pest management; conducting studies on the pathogenesis of Lyme neuroborreliosis using the monkey model; implementation of active surveillance systems; and studies to improve serologic diagnostic techniques.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the review criteria described in the Funding Opportunity Announcements (FOAs). In general, the review and selection process of complete and responsive applications to the FOA consists of determination of the scientific merit by peer review, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the FOA for additional review criteria. What is the purpose of the grant? Are the measures objective/quantitative and do they adequately measure the intended outcome? I. Budget: The reasonableness of the proposed budget and the requested period of

support in relation to the proposed research. Additional review criteria applicable to the second Recipient Activities (Prevention) only are: 1. The extent to which the applicant demonstrates high incidence of Lyme disease in the study population(s) (9.6 per 100,000 in 2000-2002); 2. The extent to which the applicant demonstrates the likelihood that the proposed intervention will be practical, affordable and sustainable in the target community and can be implemented in other endemic communities. the design of the study is adequate to measure differences when warranted, and (4) a statement as to whether the plans for recruitment and outreach for study participants include the process of establishing partnerships with community(ies) and recognition of mutual benefits. If these provisions are not relevant to the proposed scope of work, state this and 5 points will be credited to the application. 6. Budget: The extent to which the budget is reasonable, clearly justified, and consistent with the intended use of cooperative agreement funds. the design of the study is adequate to measure differences when warranted, and (4) a statement as to whether the plans for recruitment and outreach for study participants include the process of establishing partnerships with community(ies) and recognition of mutual benefits. If these provisions are not relevant to the proposed scope of work, state this and 5 points will be credited to the application. 6. Budget: The extent to which the budget is reasonable, clearly justified, and consistent with the intended use of cooperative agreement funds.

93.943 EPIDEMIOLOGIC RESEARCH STUDIES OF ACQUIRED IMMUNODEFICIENCY SYNDROME (AIDS) AND HUMAN IMMUNODEFICIENCY VIRUS (HIV) INFECTION IN SELECTED POPULATION GROUPS

(Epidemiologic Research Studies of AIDS and HIV)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 301, 42 U.S.C. 241, Section 311, 42 U.S.C. 243, as amended.

OBJECTIVES:

To support research of important HIV-related epidemiologic issues concerning risks of transmission, the natural history and transmission of the disease in certain populations and development and evaluation of behavioral recommendations for reducing AIDS and HIV infection. Of special interest are programs that examine these research issues as they affect minority populations (defined as one of the four federally-recognized groups: African-Americans; Asian/Pacific Islanders; Latinos/Hispanics; and Native Americans).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance is provided to support cooperative agreements that examine important epidemiologic research issues concerning AIDS and HIV infection. The research should contribute to the health services knowledge base from which empirically based information can be derived by policy makers, both immediately and over the coming decades. Grants include funds for direct costs (such as personnel, travel, equipment, or supplies) necessary to carry out an approved project as well as funds for the reimbursement of applicable indirect costs. Unallowable costs, as well as those for which prior written approval is required, are indicated in the PHS Grants Policy Statement.

Applicant Eligibility:

Eligible applicants include States, political subdivisions of States or their agents or instrumentalities, private research organizations, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, other public and private nonprofit organizations, and for-profit organizations.

Beneficiary Eligibility:

State and local health agencies; private research organizations, public and private nonprofit organizations, for profit organizations; minority groups; including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, and persons physically afflicted with AIDS/HIV infection.

Credentials/Documentation:

Costs will be determined by HHS Regulations 45 CFR Part 74, Subpart Q for nonprofit organizations and in accordance with OMB Circular No. A-87, "Cost Principles for State and Local Governments."

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A signed original and five copies of the application Form 398 must be sent to the Centers for Disease Control and Prevention (CDC). State and local governments may use Form 5161. An original and two copies must be submitted. This program is subject to the provisions of 45 CFR 92 for State and Local Governments and 45 CFR 74 for nonprofit organizations, as appropriate.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the Headquarters Office listed below for application deadlines.

Range of Approval/Disapproval Time:

About 120 days from receipt of application.

Appeals:

None.

Renewals:

If additional support is desired to continue a research project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application.

Formula and Matching Requirements:

There are no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from one to three years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System.

Reports:

Financial status and progress reports are required annually. Upon completion of the project, final financial status and performance reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 07 \$4,415,183; FY 08 \$4,483,640; and FY 09 est \$4,483,640.

Range and Average of Financial Assistance:

\$49,585 to \$757,500; \$237,840.

PROGRAM ACCOMPLISHMENTS:

It is anticipated that in FY 07 approximately 13 awards will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the application kit. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Grants Management Contact: Cheryl Maddux, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 498-1911.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.118, Acquired Immunodeficiency Syndrome (AIDS) Activity.

EXAMPLES OF FUNDED PROJECTS:

Assistance has been provided to support epidemiologic research studies of: the natural history of HIV infection in men who have sex with men, women and infants, injecting drug users, and adolescents; the transmission of HIV infection in populations of women, children, blood recipients, drug users, heterosexuals, prisoners and specific groups of minorities; and social, psychological and behavioral studies of HIV infection in selected population groups.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed and evaluated based on the evidence submitted which specifically describes the applicant's abilities to meet the following

criteria: (1) the plans to develop and implement the study describing how study participants will be identified, enrolled, tested and followed; (2) the ability to enroll and follow an adequate number of eligible study participants to assure proper conduct of the study. This includes both demonstration of the availability of HIV-infected potential study participants and the experience of the investigator in enrolling and following such persons; (3) the applicant's current activities in AIDS and HIV research and how they will be applied to achieving the objectives of the study; letters of support from cooperating organizations that demonstrate that nature and extent of such cooperation should be included; (4) the applicant's understanding of the research study objectives and, their ability, willingness and/or need to collaborate with CDC and researchers from other study sites in study design and analysis, including use of common forms, and sharing of specimens and data; (5) the plan to protect the rights and confidentiality of all participants and ensure adequate participation; (6) the size, qualifications and time allocation of the proposed staff and the availability of facilities to be used during the research study; (7) how the project will be administered to assure the proper management of the daily activities of the program; (8) the proposed schedule for accomplishing the activities of the research; including time frames; and (9) the quality of an evaluation plan which specifies methods and instruments to be used to evaluate the progress made in attaining research objectives.

93.944 HUMAN IMMUNODEFICIENCY VIRUS (HIV)/ACQUIRED IMMUNODEFICIENCY VIRUS SYNDROME (AIDS) SURVEILLANCE

(HIV/AIDS Surveillance)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 301(a), 311(b), and 317, as amended.

OBJECTIVES:

To continue and strengthen effective human immunodeficiency virus (HIV) and acquired immunodeficiency syndrome (AIDS) surveillance programs and to effect, maintain, measure and evaluate the extent of HIV/AIDS incidence and prevalence throughout the United States and its territories, providing information for targeting and implementing HIV prevention activities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used for salaries of staff conducting HIV/AIDS surveillance and sero surveillance activities, travel related to carrying out project activities and participating in national planning and implementation meetings, necessary supplies, computer software and hardware, and laboratory, data collection, and analysis costs. Grant funds are to be additive to replace existing resources.

Applicant Eligibility:

The governments, or their agents or instrumentalities, of any of the States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, territories or possessions of United States, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, and local governments who are current recipients of HIV/AIDS surveillance cooperative agreements.

Beneficiary Eligibility:

Official health agencies will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Not applicable. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications are made in the form of an application PHS-5161-1, to the Centers for Disease Control and Prevention. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments must be used for this program. This program is subject to the provisions of 45 CFR Part 92 for State and local governments.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public. Initial award provides funds for first budget period (usually 12 months) and Notice of Award (Form 5152-1) indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the Headquarters Office listed below for application deadlines

Range of Approval/Disapproval Time:

About 120 days after application submission.

Appeals:

None.

Renewals:

Renewals may be approved for one to five years.

Formula and Matching Requirements:

There are no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

From one to five years.

Reports:

Original and two copies of progress reports are due semiannually and must be submitted to the grants management office within 30 days after each reporting period. For renewal applications, progress narratives should be submitted with the renewal application and cover progress from the preceding reporting period. Annual financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of three years after the end of each budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 07 \$67,774,469; FY 08 est \$76,044,582; and FY 09 est \$76,044,582.

Range and Average of Financial Assistance:

\$4,000 to \$4,705,000; \$579,650.

PROGRAM ACCOMPLISHMENTS:

It is anticipated that in FY 2007 approximately 120 awards will be funded

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994; Administration of Grants, DHHS, 45 CFR 92; Application Instructions and Information and Program Guidance established by CDC for HIV/AIDS cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Grants Management Contact: Cheryl Maddux, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 498-1911.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283, Centers for Disease Control and Prevention Investigations and Technical Assistance.

EXAMPLES OF FUNDED PROJECTS:

(1) Surveillance of HIV/AIDS and (2) sero surveillance studies and surveys.

CRITERIA FOR SELECTING PROPOSALS:

(1) Official health agencies which are current recipients of funds for HIV/AIDS surveillance activities; (2) need and background; and (3) a willingness to comply with applicable CDC sero surveillance protocols.

93.945 ASSISTANCE PROGRAMS FOR CHRONIC DISEASE PREVENTION AND CONTROL

(State Cardiovascular Health Programs (CVH); and Racial and Ethnic Approaches to Community Health (REACH))

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 301(a) and 317(a), and (k)(2), as amended.

OBJECTIVES:

To work with State health agencies and other public and private nonprofit organizations in planning, developing, integrating, coordinating, or evaluating programs to prevent and control chronic diseases; assist in monitoring the major behavioral risks associated with the 10 leading causes of premature death and disability in the United States including cardiovascular diseases; and, establish new chronic disease prevention programs like Racial and Ethnic Approaches to Community Health (REACH).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grant funds may be used for costs associated with planning, implementing, and evaluating chronic disease prevention and control programs. Cooperative agreement funds may not be used for direct curative or rehabilitative services.

Applicant Eligibility:

Eligible applicants are the official State and territorial health agencies of the United States, the District of Columbia, tribal organizations, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa. Other public and private nonprofit community based organizations are also eligible (see REACH).

Beneficiary Eligibility:

State health agencies and community based organizations will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, State the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular No. A-21 for Educational Institutions, and OMB Circular No. A-122 for nonprofit organizations.

Preapplication Coordination:

Preapplication coordination is not required. Applications are subject to the review requirements of the National Health Planning and Resources Development Act of 1974, as amended, by the Health Planning and Resources Development Act of 1979. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92 and 74. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for these programs.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact CDC Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

From three to four months.

Appeals:

Not applicable.

Renewals:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Formula and Matching Requirements:

There are no specific matching requirements except for the Basic Implementation states for the Cardiovascular Health Program which requires a 20 percent match from State health agencies.

Length and Time Phasing of Assistance:

Project Period: From 3 to 5 years. Budget period: Usually 12 months.

Reports:

Progress reports are required on a semi-annual basis. An annual Financial Status Report (FSR) is required. Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and progress reports are required 90 days after the end of a project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Grants) Financial Assistance: Cardiovascular (CVH): FY 07 est \$30,500,000; FY 08 \$26,664,828; and FY 09 est \$30,500,000. REACH U.S.: FY 07 est \$23,837,394; FY 08 est \$23,837,394 and FY 09 est \$23,837,394.

Range and Average of Financial Assistance:

CVH: Core Programs: Capacity Building: \$250,000 to \$350,000; \$300,000. Basic Implementation: \$900,000 to \$1,350,000; \$1,250,000. REACH U.S.: Centers of Excellence in the Elimination of Health Disparities (CEEDs): Average \$850,000 Action Communities: Average ~\$400,000.

PROGRAM ACCOMPLISHMENTS:

Cardiovascular Health Program (CVH): CDC funded 32 States and DC in 2005. Fourteen of the 33 States are funded for basic implementation programs and 19 States are building core capacity for cardiovascular health. State CVH programs are defining the CVD burden within their State; developing a comprehensive CVH State Plan with emphasis on developing heart-healthy policies, changing physical and social environments, and reducing disparities; and designing population-based strategies for the primary and secondary prevention of CVD and promotion of CVH. REACH U.S.: Supported by CDC, funds 40 REACH U.S. communities: 18 Centers of Excellence in the Elimination of Health Disparities (CEEDs) and 22 Action Communities. CEEDs have expertise working with specific racial and ethnic groups, and they will be able to widely disseminate effective strategies and train new community partners. The Action Communities will implement and evaluate successful practice-based or evidence-based approaches and programs to impact population groups rather than individuals. Effective strategies will be applied through innovative and nontraditional partnerships at the community level. CEEDs and Action Communities target one or more racial and ethnic groups, including African American, American Indian/Alaska Native, Asian American, Native Hawaiian/Pacific Islander, and Hispanic/Latino. Health focus areas include breast and cervical cancer, cardiovascular disease, diabetes, asthma, adult/older adult immunizations, infant mortality, hepatitis B, and tuberculosis. can, Native Hawaiian/Pacific Islander, and Hispanic/Latino. Health focus areas include breast and cervical cancer, cardiovascular disease, diabetes, asthma, adult/older adult immunizations, infant mortality, hepatitis B, and tuberculosis.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are program regulations under 42 CFR 51b, Project Grants for Preventive Health Services. Guidelines are also available from PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, applies to grants and cooperative agreements.

Regional or Local Office:

See Appendix IV.

Headquarters Office:

Cardiovascular Health Program Contact: Nancy Watkins, Teamleader, Program Services Team, Division for Heart Disease and Stroke Prevention, National Center for Chronic Disease Prevention and Health Promotion, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 4770 Buford Hwy., MS K47, Atlanta, GA 30333. Telephone: (770) 488-8004. Program Contact: Mike Waller, Deputy Director, Division of Adult and Community Health, National Center for Chronic Disease Prevention and Health Promotion, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 4770 Buford Hwy., MS K45, Atlanta, GA 30333. Human Services, 1600 Clifton Road, NE., Atlanta, GA 30333. Telephone: Telephone: (770) 488-5269. Grants Management Contact: Nealean Austin, Team Lead, Carlos M. Smiley, Grants Management Officer/Contracting Officer, Branch B, Procurement and Grants Office, Centers for Disease Control and Prevention, Department of Health and Human Services, 2920 Brandywine Road, Suite 3000, Atlanta, GA 30341. Telephone: (770) 488-2754.

Web Site Address:

<http://www.cdc.gov/nccdp.php>.

RELATED PROGRAMS:

93.283, Centers for Disease Control and Prevention Investigations and Technical Assistance; 93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems.

EXAMPLES OF FUNDED PROJECTS:

The Cardiovascular Health Program (CVH): The New York Healthy Heart Program has partnered with its Dairy Council to educate the public about the benefits of drinking low-fat milk. The campaign increased the sale of milk by five percent and the sale of low fat milk by 15 percent. It has also assessed more than 600 businesses concerning a heart healthy worksite. Based on this assessment over 300 worksites have implemented changes to make it easier for their employees to be heart healthy during the workday: workday: offering low-

fat food choices in vending machines, being smoke-free, smoke-free, providing physical activity breaks during the workday, making stairwells safe, and safe, and encouraging employees to be physically active. The North Carolina CVH Carolina CVH Program provided the Strike Out Stroke program that targets hypertension targets hypertension in African Americans in partnership with the North the North Carolina Association of Pharmacies and through local health departments. The Missouri CVH Program partners with the State Diabetes State Diabetes Control Program (DCPC) and Federal qualified health centers to improve to improve outcome measures related to Diabetes and CVD. Arthritis. Alabama Arthritis Program has effectively partnered with the Alabama Division of Senior Services to expand the use of an effective arthritis physical activity program. Senior Services has incorporated this program as a core activity at nutrition sites. Nutrition coordinators have been trained as program leaders. Using this systems approach, the Alabama Arthritis Program has tripled the number of people participating in the program. The Tennessee Arthritis Program has successfully partnered with the Tennessee Agricultural Extension Service to expand the reach of an evidence-based self management program, the Arthritis Foundation Self Help Course. More than 500 people have been reached through this partnership. REACH U.S.: The Breast and Cervical Cancer Coalition at the University of Alabama at Birmingham works to increase breast and cervical cancer screening rates for African American women throughout the state. In Choctaw County, African American women were much less likely to get a mammography screening compared with white women. In 8 years, the proportion of African American women who received mammography screenings increased from 29% to 61%, surpassing the rate for white women by 13%. In Dallas County, a lower mammography screening rate for African American women (30%) compared with white women (50%) was virtually eliminated during the same time. According to data from the eight counties that the Alabama REACH program focuses on, the gap in mammography screening rates between African American and white women decreased by 76% over the same 8-year period. The REACH Charleston and Georgetown Diabetes Coalition focuses on diabetes care and control for more than 12,000 African Americans with diabetes. As a result of the coalition's work, a 21% gap in annual blood sugar testing between African Americans and whites has been virtually eliminated. In addition, more African Americans in the target area are getting the recommended annual tests to monitor their cholesterol levels and kidney function and being referred for eye exams and blood pressure checkups. Lower-extremity amputations among African Americans with diabetes also have decreased sharply. For example, in Charleston County, the percentage of amputations among African American men with diabetes who were hospitalized decreased by nearly 54% in 7 years. In Georgetown County, the rate decreased 54% in 3 years. The REACH Latino Health Project developed culturally tailored interventions to reduce the diabetes burden in the Latino community. As a result, participants showed dramatic improvements in control of high blood sugar and high blood pressure, which are risk factors for diabetes-related complications. In the span of 3 years, blood sugar measures below 7.0 improved by 8.7%, systolic blood pressure below 130 mm Hg improved by 17.5%, and diastolic blood pressure below 80 mm Hg improved by 14.4%. In addition, the proportion of participants who were referred for eye exams improved 26.5%.

CRITERIA FOR SELECTING PROSALS:

Based on the evaluation criteria as published in the program and/or Federal Register Announcement.

93.946 COOPERATIVE AGREEMENTS TO SUPPORT STATE-BASED SAFE MOTHERHOOD AND INFANT HEALTH INITIATIVE PROGRAMS

(Infant Health and pre-term delivery Initiative; PRAMS; MCHEP; ART; VAW; Maternal Health Research)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Section 301(a) and Section 317K, 42 U.S.C. 241(a); 42 U.S.C. 247b-12.

OBJECTIVES:

PRAMS: To work with official public health agencies of States to: (1) establish and maintain State-specific, population-based surveillance of selected maternal behaviors that occur during pregnancy and the child's early infancy; and (2) generate State-specific data for planning and assessing perinatal health programs. MCHEP: To work with official public health agencies of States and localities to develop a multidisciplinary team of individuals dedicated to building the recipient's analytic capacity to use epidemiologic and surveillance data to address the health problems that affect its women, infants, and children. Prevention research activities related to women's health related to pregnancy, in vitro fertilization, violence around pregnancy, pre-term delivery, and other reproductive health complications.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

PRAMS: Cooperative Agreement funds may be used to develop a surveillance system that will identify behavioral risk factors during pregnancy and early infancy, and will identify problems in health care delivery. Funds may be used to support the following activities: (1) Obtaining a commitment of participation from the Maternal and Child Health, Vital Statistics, and Data Processing units; (2) assuring that the State PRAMS program will have access to needed vital records information; (3) forming a Steering Committee to promote user involvement; (4) developing a surveillance protocol; (5) preparing a questionnaire, including State-specific questions; (6) obtaining Institutional Review Board review and approval; (7) implementing surveillance operations, such as sampling, data collection, data management, database development, and data analysis; and (8) planning for data dissemination and use. MCHEP: Cooperative agreement funds may be used by grantees to build their analytic capacity to use epidemiologic and surveillance data to address health problems affecting women, infants and children. Grantees in States, D.C., cities, and U.S. territories will develop a multidisciplinary team to identify populations at increased risk of infant mortality and to help direct programs to reduce this risk. Funds may be used to: (1) Establish a risk-oriented approach to the reduction of infant mortality; (2) substantially build State and local surveillance and epidemiologic capabilities; (3) enhance capacity in States to use surveillance and epidemiologic findings in program and policy development, implementation, and evaluation; (4) disseminate such analytic capabilities to other States by providing information, direct technical assistance, and a pool of knowledgeable and experienced individuals for collaboration; (5) coordinate State programs in database development and intervention research; and (6) develop stronger interactive partnerships among State, territorial, and Federal. To carry out other types of surveillance, prevention research, and demonstrations projects as authorized under the Safe Motherhood authorization.

Applicant Eligibility:

PRAMS and MCHEP: Eligible Category A, maintenance of existing PRAMS surveillance system: eligible applicants are the official State public health agencies designated as vital statistics registration areas in the United States, the District of Columbia, the Commonwealth of Puerto Rico and for PRAMS are the jurisdictions that received direct funding from CDC for PRAMS activities. Also eligible is the public health agency of the City of New York, the only city public health agency designated as a Category A applicant. For PRAMS and MCHEP: Category B, eligible applicants are the official State and territorial public health agencies designated as registration areas for vital statistics, American Samoa, the Commonwealth of Puerto Rico, the Virgin Islands, the Federated States of Micronesia, Guam, the Northern Mariana Islands, the Republic of the Marshall Islands, the Republic of Palau, and Federally-recognized Indian tribal governments. Prevention research eligibility includes public and private not-for-profit agencies including universities.

Beneficiary Eligibility:

Official State and Territorial public health agencies. City of New York public health agency and District of Columbia. Applications may be submitted by public and private nonprofit and for-profit organizations and by governments and their agencies; that is, universities, colleges, research institutions, hospitals, other public and private nonprofit and for-profit organizations, State and local governments or their bona fide agents, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau, federally recognized Indian tribal governments, Indian tribes, or Indian tribal organizations, and small, minority, women-owned businesses.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. Costs will be determined in accordance with the OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Preapplication coordination is not required. These programs are eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by CDC and required by 45 CFR 92 for State and local governments, must be used for this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact Headquarters Office listed below for application deadlines. Grants Management Officer, Ms. Nealean Austin, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488-2754.

Range of Approval/Disapproval Time:

From three to four months.

Appeals:

Not applicable.

Renewals:

Information on renewals may be obtained from the Grants Management Officer, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by CDC and required by 45 CFR 92 for State and local governments, must be used for this program.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Project Period: up to five years. Budget Period: All programs: For 12 months. Assistance is awarded through the SMARTLINK II System.

Reports:

Progress reports are required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreements program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) PRAMS: FY 07 \$5,021,324; FY 08 est \$5,200,000; and FY 09 est \$5,200,000.

Range and Average of Financial Assistance:

PRAMS: \$100,000 to \$150,000; \$125,000.

PROGRAM ACCOMPLISHMENTS:

PRAMS data collection is on-going in 37 States, New York City and South Dakota Yankton Sioux Tribe. Data from PRAMS are used by states to identify service gaps which are addressed through a variety of programs, many funded by the Maternal and Child Health Block Grant.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, applies to cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Contact: Director, Division of Reproductive Health, National Center for Chronic Disease Prevention and Health Promotion, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services; 770 488 5200. Grants Management Contact: Mr. William Ryan, Grants Management Officer, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488-2717.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.994, Maternal and Child Health Services Block Grant to the States.

EXAMPLES OF FUNDED PROJECTS:

MCHEP provides epidemiologic and surveillance technical assistance to participating States. Many States participating in PRAMS have developed and implemented an ongoing population-based surveillance of State residents who have recently delivered a live-born infant. Questionnaires have been developed to collect information from new mothers on selected experiences occurring before, during, and after their pregnancies. Using birth certificates, a sample of mothers is selected monthly and they are mailed a self-administered questionnaire. Non-responders are contacted by telephone.

CRITERIA FOR SELECTING PROPOSALS:

All programs: (1) The extent to which the application describes and presents appropriate data indicating an understanding of the problem, the program for

which assistance is requested, and the purpose of the cooperative agreement; (2) the degree to which the workplan addresses the stated needs, is likely to achieve the purposes of the cooperative agreement, and describes the specific roles and responsibilities of participating personnel; (3) the degree to which the application provides a complete and achievable timetable of appropriate events; (4) the adequacy of the plan to monitor progress toward the stated objectives; (5) the extent to which the budget is reasonable, consistent with the problems identified and the scope of the program proposed to address these problems, and the intended use of cooperative agreement funds, and clearly reflects the applicant's intent to commit nonfederal resources to support the operational costs of these programs. PRAMS only: The degree to which the application describes the process for registering births in the State.

93.947 TUBERCULOSIS DEMONSTRATION, RESEARCH, PUBLIC AND PROFESSIONAL EDUCATION**FEDERAL AGENCY:**

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 317(e), 42 U.S.C. 247(bb), as amended.

OBJECTIVES:

To assist States, political subdivisions of States, and other public and nonprofit private entities in conducting research into prevention and control of tuberculosis nationally and internationally, especially in conducting demonstration projects for the prevention and control of tuberculosis; in providing public information and education programs for prevention and control of tuberculosis; and in developing education, training, and clinical skills improvement activities in the prevention and control of tuberculosis for health professionals, including allied health personnel.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Requests for direct assistance (i.e., "in lieu of cash") for personnel, equipment, and supplies directly related to project activities, particularly directly observed therapy, patient outreach, and program assessment will be considered. Project funds may not be used to supplant State or local funds available for tuberculosis control or to support construction costs or inpatient care.

Applicant Eligibility:

States, political subdivisions of States, and other public and nonprofit private entities.

Beneficiary Eligibility:

States, political subdivisions of States, other public and nonprofit private entities, serving persons with TB infection and disease.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For nonprofit recipients, costs will be determined in accordance with DHHS Regulations 45 CFR Part 74 Subpart Q.

Preapplication Coordination:

Preapplication coordination is not required. Applications are subject to E.O. 12372. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. The standard application forms 5161 must be used for the program as furnished by CDC and required by 45 CFR 92 for state and local governments and by 45 CFR 74 for nongovernmental applicants.

Award Procedure:

Applications that are complete and responsive to the announcement will be evaluated for scientific and technical merit by an appropriate peer review group convened by the NCHHSTP in accordance with the review criteria listed above. As part of the initial merit review, all applications may: 1) Undergo a process in which only those applications deemed to have the highest scientific merit, generally the top half of the applications under review, will be discussed and assigned a priority score; 2) receive a written critique; and 3) receive a second level review by the Science and Program Review Subcommittee (SPRS). Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed

by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact Headquarters Office listed below for application deadlines.

Range of Approval/Disapproval Time:

From three to six months.

Appeals:

None.

Renewals:

Project periods are for three to five years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. Although there are no statutory requirements, applicants must assume part of the project cost and fiscal information must be provided in the narrative portion of the application pursuant to provisions of Section 317(e).

Length and Time Phasing of Assistance:

From three to five years. Budget Period: For 12 months.

Reports:

Either quarterly or semi-annual narrative and statistical progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least 3 years or until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 07 \$1,840,159; FY 08 est \$1,790,122; and FY 09 est \$1,800,000.

Range and Average of Financial Assistance:

\$136,303 to \$1,334,939; \$447,531.

PROGRAM ACCOMPLISHMENTS:

An award was made during fiscal year 2007 to an international organization to conduct joint conferences, to develop teaching materials and jointly conduct courses in TB epidemiology in developing countries and to conduct demonstration projects of TB control programs in countries of strategic interest to the United States. Another award was made to an international organization to expand efforts and strategies to prevent and control TB and TB/HIV and to implement activities to assist national governments, regional authorities, non-governmental organizations, private and public health care systems, donors and other interested partners to develop, establish, and coordinate systems and procedures to strengthen TB and TB/HIV treatment and control efforts worldwide. An award was made to an international agency to conduct activities that will provide for long term follow up of patients on treatment for active TB, to study the mortality of TB in HIV infected patients and to assess the annual risk of infection. An award was also made during fiscal year 2007 to a domestic organization to maintain effective communication capacity among the nation's Tuberculosis Control officials (TB Controllers) and TB nursing professionals. In fiscal year 2007, four awards were made. It is estimated that four awards will be made in fiscal year 2008 and four awards in fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 51b. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Heather Duncan, National Center for HIV, STD, and TB Prevention, Centers for Disease Control and Prevention, Public Health Service, 1600 Clifton Road NE. MS E10, Atlanta, GA 30333. Telephone: (404) 639-8131. Grants Management Contact: Roslyn Curington, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 1600 Clifton Road NE. MS E15, Atlanta, GA 30333. Telephone: (404) 639-8321.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.246, Health Centers Grants for Migrant and Seasonal Farmworkers; 93.283, Centers for Disease Control and Prevention, Investigations and Technical Assistance; 93.978, Preventive Health Services, Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants; 93.991, Preventive Health and Health Services Block Grant.

EXAMPLES OF FUNDED PROJECTS:

A project to advance the goals toward TB elimination and a project to conduct conferences to assist in teaching targeted TB control programs about TB epidemiology.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the extent of the tuberculosis problem; the establishment of specific and measurable objectives; and the development of a sound operational plan that will ensure the implementation of each program's elements.

93.952 TRAUMA CARE SYSTEMS PLANNING AND DEVELOPMENT

(Rural EMS/Trauma Care)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title XII, Sections 1201, 42 U.S.C. 300d.

OBJECTIVES:

To administer grants to States and Territories for the purpose of developing, implementing, and improving Statewide trauma care systems.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The intention of this grant opportunity is to, at the State level, facilitate and coordinate efforts toward the (1) Development of State and Territorial trauma system infrastructure which coordinates regional trauma systems to administer quality, cost effective care at the local level, (2) Completion of an OMB approved national assessment of trauma systems, EMS resources, and disaster readiness, (3) Exposure of key individuals within each State and Territory to the new HRSA Model Trauma Systems Planning and Evaluation document and State self assessment tool, and (4) State trauma care data evaluation.

Applicant Eligibility:

The State lead agency that has been designated to manage and coordinate the State's trauma system program, or the designee of such agency, are the only eligible applicants for this grant funding. If a lead agency has not been designated, then the agency having responsibility for State emergency medical services may apply.

Beneficiary Eligibility:

Individuals in the State will benefit from this program.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in the State for information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Healthcare Systems Bureau. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Applications are reviewed by a committee composed of members of the staff of the Department of Health and Human Services (DHHS) and nongovernmental representatives. Applications are reviewed for merit, and are recommended for approval or disapproval. Final decisions are made by the Associate Administrator, Maternal and Child Health Bureau.

Deadlines:

Contact Headquarters Office listed below for application deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

There is no formula. Cash or in-kind matching is required in the second and subsequent years.

Length and Time Phasing of Assistance:

Awards are made for a 1-year budget and up to a 3-year project period.

Reports:

A progress report and closeout report is required. In addition, a final financial status report is to be submitted 90 days after the close of the project period. Please see annual grant guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

FY 05 States: \$40,000; (one exception was that NH only applied for and received \$35,703), Guam: \$15,000.

PROGRAM ACCOMPLISHMENTS:

Successful OMB PART Trauma-EMS Systems Program Evaluation during FY 2005 for FY 2007 budget. Initiated October 2005 meeting of Program's National Trauma-EMS National Stakeholder Group comprised of affiliated representatives from professional organizations. Development and release of FY 2001 through FY 2005 Program Report. Administration of grants to 41 States and Territories. Development of cumulative grant funding profiles for each State/Territory. Development of individualized technical assistance plans for each State/Territory along with the provision of resources to each applicant specific to their approved goals/objectives. Operation of a \$650,000/year contracted Technical Assistance Center assuring quality technical assistance to the States/Territories through a variety of venues. Successful continuation of both a State Trauma System Manager Interactive Listserv as well as a State Trauma System Manager Mentoring Program. Ensuring programmatic quality by monitoring and evaluating program performance. Initiated February 2006 2 1/2 day State Trauma Leadership meeting (130 attendees). Initiated July 2005 annual Federal Partner Meeting to coordinate Federal efforts (40 attendees). Successfully published 3 journal articles: (a) Trauma System Structure and Viability in the Current Healthcare Environment: A State-By-State Assessment. J Trauma, 2005 (b) Public Health Preparedness for Mass Causality Events: A State-By-State Assessment. Prehospital Disaster Med, 2004 (c) The Capacity and Comparability of Statewide Trauma Registries: Potential For A National Trauma Dataset, J Trauma, 2005 Released legislatively required document Model Trauma Systems Planning and Evaluation along with a State and Regional Self-Evaluation Tool (www.hrsa.gov/trauma/model.htm) Released nationally standardized trauma data dictionary with the American College of Surgeons National Trauma Data Bank. (www.facs.org/trauma/ntdb/datadictionary).

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

None.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.953, Trauma Care Systems Planning and Development.

EXAMPLES OF FUNDED PROJECTS:

Development of statewide trauma systems, state trauma plans, public information/education campaigns, educational services to trauma care providers,

and performance improvement initiatives.

CRITERIA FOR SELECTING PROPOSALS:

(1) Adequacy of the description of the gap in EMS/Trauma system components and of data presented in the needs assessment to support that the applicant is among those States that have the greatest need to develop, implement, and monitor trauma care systems. (2) Adequacy of documentation to support that the applicant is among those States that demonstrate the greatest commitment to establishing and maintaining such systems. (3) Completeness of the current State emergency medical services plan and of anticipated modifications to ensure adequate availability of complementary components necessary to support the trauma care plan. (4) Adequacy of the rationale that the modifications proposed for development, implementation, or monitoring follow a rational sequence of EMS and trauma care planning activities, support the commitment to a continuum of care, and will improve quality of trauma care provided. (5) Appropriateness and adequacy of the work plan, methodologies, and schedule for organizing and completing the project within the timeframe. (6) Preparation of application and proposed activities and workplan demonstrate coordination and consultation with, and commitment of, the medical, surgical, and nursing specialty groups, hospital associations, State and local emergency medical services directors, concerned advocates and other interested parties. (7) Reasonableness of the proposed budget and the cost efficiency of the project relative to service versus administrative costs. (8) Proposal demonstrates an understanding of the obstacles to completion and proposes effective measures to overcome these problems.

93.954 TRIBAL RECRUITMENT AND RETENTION OF HEALTH PROFESSIONALS INTO INDIAN HEALTH PROGRAMS

(Tribal Recruitment)

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Indian Health Care Improvement Act, Section 110, Public Law 94-437, as amended, Public Law 100-713 and Public Law 102- 573.

OBJECTIVES:

To make financial assistance awards to Indian tribes and tribal and Indian health organizations to enable them to recruit, place, and retain health professionals to fill critical vacancies and to meet the staffing needs of Indian health programs and facilities including those administered by the Indian Health Service (IHS).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Establishing and operating programs designed to recruit and retain health professionals into Indian health programs and facilities.

Applicant Eligibility:

Any federally-recognized Indian tribe or tribal or Indian health organization is eligible to apply.

Beneficiary Eligibility:

Preference is given to those applicants who propose to recruit for health programs and facilities that IHS has designated as high priority for the IHS Loan Repayment Program for physicians, nurses, and other health professions.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs for other institutions will be determined by HHS Regulations 45 CFR 74, Subpart Q.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center hours of operation are Monday-Friday from 7:00 a.m. to 9:00 p.m. (Eastern Standard Time). If you require additional assistance please contact Michelle G. Bulls, Grants Policy Officer at (301) 443-6528 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us.

Award Procedure:

Grants are made directly by the Indian Health Service to applicant agency, based on results of competitive review process.

Deadlines:

Contact Headquarters Office listed below for application deadline.

Range of Approval/Disapproval Time:

Within 90 days of IHS deadline.

Appeals:
None.

Renewals:
Continuation applications must be submitted via grants.gov annually. Continuation funding is subject to availability of funds, satisfactory performance, and continuing need of the IHS for the program.

Formula and Matching Requirements:
This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:
Payments of grant funds are made through the DHHS Payment Management System or DHHS Electronic Transfer System. Information will be forwarded to new grantees regarding the process of obtaining funds.

Reports:
Projects must have data systems to support administration and evaluation of the project. The funding agency will provide forms for the uniform reporting of data on case management, fiscal management and project activities, in accordance with 45 CFR, Part 92 or OMB Circular No. A-110, depending on the type of grantee organization.

Audits:
"In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:
DHHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:
75-0390-0-1-551.

Obligations:
(Grants and Cooperative Agreements) FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:
\$60,000 to \$100,000; \$82,900.

PROGRAM ACCOMPLISHMENTS:
In fiscal year 2005, six continuing awards were issued. It is estimated that six continuing awards will be funded in fiscal years 2006 and 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:
The Indian Health Care Improvement Act, Public Law 94-437, amended by Public Law 100-713, enacted November 23, 1988; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:
Not applicable.

Headquarters Office:
For program information, contact Mr. Darrell Pratt, Leader, Health Professions Manager, Indian Health Service, 801 Thompson Avenue, Suite 120, Rockville, MD 20852. Telephone: (301) 443-5710. For grants management information, contact Ms. Kimberly Pendleton, Senior Grants Management Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204. Use the same numbers for FTS.

Web Site Address:
<http://www.ihs.gov>.

RELATED PROGRAMS:
None.

EXAMPLES OF FUNDED PROJECTS:
Not applicable.

CRITERIA FOR SELECTING PROPOSALS:
Need - Is the need for the project justified? If a project targets urban Indian health programs, is there justification and documentation for conducting activities at that site? Do proposed efforts complement and expand past recruitment efforts? Approach - Are the objectives well stated? Is the applicant's work plan for conducting the project sound and effective? Is the approach innovative? Are the activities proposed cost effective and will they lead to effective recruitment, placement, and retention? Adequacy of Management Controls - Is the applicant capable of successfully conducting the project both from a technical and business standpoint? Is the proposed interaction with IHS staff adequate for an application for recruitment of Federal staff? Is the budget sound in relation to the work plan and does it assure effective utilization of grant funds? Are the facilities and equipment adequate? Key Personnel - Regarding the position descriptions, are the qualifications of key

personnel appropriate and adequate to carry out the project? If a resume is provided, are the individual's qualifications and experiences consistent with the position description and conduct of the project?

93.958 BLOCK GRANTS FOR COMMUNITY MENTAL HEALTH SERVICES

(CMHS Block Grant)

FEDERAL AGENCY:
SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:
Public Health Service Act, Title XIX, Part B, Subpart I, as amended, Public Law 106-310; 42 U.S.C. 300X.

OBJECTIVES:
To provide financial assistance to States and Territories to enable them to carry out the State's plan for providing comprehensive community mental health services to adults with a serious mental illness and to children with a serious emotional disturbance; monitor the progress in implementing a comprehensive community based mental health system; provide technical assistance to States and the Mental Health Planning Council that will assist the States in planning and implementing a comprehensive community based mental health system.

TYPES OF ASSISTANCE:
Formula Grants.

USES AND USE RESTRICTIONS:
Funds may be used at the discretion of the State to achieve the described objectives except for certain requirements. State plans must meet prescribed criteria. Services under the plan will be provided only through appropriate, qualified community programs (which may include community mental health centers, child mental-health programs, psychosocial rehabilitation programs, mental health peer-support programs and mental-health primary consumer-directed programs). Services under the plan will be provided through community mental health centers only if the centers meet prescribed criteria. Up to 5 percent of grant funds may be used for administering the funds. Funds may not be used to provide inpatient services; to make cash payments to intended recipients of health services; to purchase or improve land, purchase, construct, or permanently improve (other than minor remodeling) any building or other facility, or purchase major medical equipment; to satisfy any requirement for the expenditure of nonfederal funds as a condition for the receipt of Federal funds; or to provide financial assistance to any entity other than a public or nonprofit private entity.

Applicant Eligibility:
State and U.S. Territory Governments; or Tribal Organizations.

Beneficiary Eligibility:
Recipients of State and U.S. Territory Governments; and members of Indian Tribes or Tribal organizations.

Credentials/Documentation:
None.

Preapplication Coordination:
None. This program is excluded from coverage under E.O. 12372.

Application Procedure:
Chief Executive Officer of the State or Territory must apply annually for an allotment. States are required to submit an application which contains a State Plan that describes comprehensive community mental health services for adults with a serious mental illness and children with a serious emotional disturbance, an Implementation Report that describes State progress in implementing the plan for the preceding year, recommendations from the State Mental Health Planning Council, a report on expenditure of the preceding fiscal year's block grant funds, a maintenance of effort report and agreements signed by the Chief Executive Officer of the State.

Award Procedure:
Grant Awards are issued directly by The Center for Mental Health Services, Substance Abuse and Mental Health Services Administration (SAMHSA) to the designated State agency.

Deadlines:
The application is due no later than September 1 of the fiscal year prior to the fiscal year for which CMHS Block Grant funding is being requested.

Range of Approval/Disapproval Time:
Not applicable.

Appeals:
Not applicable.

Renewals:
Not applicable.

Formula and Matching Requirements:
Formula is cited in Section 1918 of Public Law 106-310. Allotments to States are based upon certain weighted population factors and total taxable resources except that no State will receive less than 20.6 percent of the amount the State received from allotments made in fiscal year 1992 under the Alcohol, Drug

Abuse and Mental Health (ADMS) Block Grant. Territorial allotments are based upon the relative civilian population of the Territory except that no Territory is to receive less than \$50,000. This program has no matching requirements, but does have maintenance of effort (MOE) requirements.

Length and Time Phasing of Assistance:

Each allotment is available for obligation and expenditure during the fiscal year in which it was allotted through the end of the subsequent fiscal year.

Reports:

As part of the application, each State must submit an Implementation Report that describes the State's progress in implementing the State's Comprehensive Community Mental Health Services Plan for the preceding fiscal year and a report of the purposes for which the grant received for the preceding fiscal year were expended, including a description of the activities of the State under the program and the recipients of the funds.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, 45 CFR 74.26 requires that for-profit recipients and subrecipients have an audit performed in accordance with Government Auditing Standards or OMB Circular No. A-133.

Records:

Each State must maintain records which are consistent with their State laws and requirements.

Account Identification:

75-1362-0-1-550.

Obligations:

FY 07 \$406,843,470; FY 08 est \$406,843,470; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$50,000 to \$55,061,465; \$6,895,652.

PROGRAM ACCOMPLISHMENTS:

Fifty-nine grants were awarded in fiscal year 2007. It is anticipated that 59 CMHS block grants will be issued in fiscal years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 96.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Dr. Joyce Berry, State Planning and System Development Branch, Center for Mental Health Services, Substance Abuse and Mental Health Services Administration, Department of Health and Human Services, 1 Choke Cherry Road, Room, 2-1093, Rockville, Maryland 20857. Telephone: (240) 276-1736. Grants Management Contact: LouEllen M. Rice, Grants Management Officer, Division of Grants Management, Substance Abuse and Mental Health Services Administration, Department of Health and Human Services, Room 7-1091, 1 Choke Cherry Road, Rockville, Maryland 20857. Telephone: (240) 276-1404.

Web Site Address:

<http://www.samhsa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must fulfill statutory and regulatory requirements. For information regarding statutory and regulatory requirements, contact Dr. Joyce Berry, State Planning and Systems Development Branch, Center for Mental Health Services, SAMHSA, PHS, 1 Choke Cherry Road, Room 2-1093 Rockville, MD 20850. Telephone: (240) 276-1736.

93.959 BLOCK GRANTS FOR PREVENTION AND TREATMENT OF SUBSTANCE ABUSE

(Substance Abuse Prevention and Treatment (SAPT) Block Grant)

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN
SERVICES

AUTHORIZATION:

Public Health Service Act, Title XIX, Part B, Subpart II, as amended, Public Law 106-310; 42 U.S.C. 300x.

OBJECTIVES:

To provide financial assistance to States and Territories to support projects for the development and implementation of prevention, treatment and rehabilitation activities directed to the diseases of alcohol and drug abuse.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds may be used at the discretion of the States to achieve the statutory objectives, including the fulfillment of certain requirements. Not less than 20 percent of the funds shall be spent for programs for individuals who do not require treatment for substance abuse, but to educate and counsel such individuals and to provide for activities to reduce the risk of such abuse by the individuals by developing community-based strategies for prevention of such abuse, including the use of alcoholic beverages and tobacco products by individuals to whom it is unlawful to sell or distribute such beverages or products. States shall expend not less than 5 percent of the grant to increase (relative to fiscal year 1994) the availability of treatment services designed for pregnant women and women with dependent children (either by establishing new programs or expanding the capacity of existing programs). States shall also expend not less than 5 percent of the grant to increase (relative to fiscal year 1993) the availability of such services to pregnant women and women with dependent children. States must require programs of treatment for intravenous drug abuse to admit individuals into treatment within 14 days after making such a request or 120 days of such a request, if interim vices are made available within 48 hours. States will provide, directly or through arrangements with other public or nonprofit entities, tuberculosis services such as counseling, testing, treatment, and early intervention services for substance abusers at risk for the human immunodeficiency virus (HIV) disease. Other statutory requirements also apply.

Applicant Eligibility:

State and U.S. Territory Governments; or Tribal Organizations. NOTE: Only the Red Lake Band of Chippewa Indians is eligible for direct award of SAPT Block Grant Funds, per the PHS Act.

Beneficiary Eligibility:

Recipients of State and U.S. Territory Governments; and members of Indian Tribes or Tribal Organizations.

Credentials/Documentation:

None.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Chief Executive Officer of the State, Territory, or Tribal Organization (limited to the Red Lake Band of the Chippewa) must apply annually for an allotment.

Award Procedure:

Grant awards are issued directly by the Center for Substance Abuse Treatment to the designated State Agency, Territory, or Tribal organization (limited to the Red Lake Band of the Chippewa).

Deadlines:

The application is due no later than October 1 of the fiscal year for which SAPT Block Grant funding is being requested.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

The formula for the allocation of funds is cited in 42 U.S.C. 300x-33.

Allotments to the States are based upon weighted population factors and, for equity purposes, a measure reflecting the differences that exist between the State involved and other States in the cost of providing authorized services. Territories shall receive funds based on the civilian population of the Territory as indicated by the most recent available data divided by the aggregate civilian population of the Territories, but not less than \$50,000. The Secretary shall each fiscal year reserve for the Territories of the United States, 1.5 percent of the amounts appropriated for these purposes.

Length and Time Phasing of Assistance:

Each allotment is available for obligation and expenditure during the fiscal year it was allotted through the end of the subsequent fiscal year. Payments are made through the Electronic Transfer System.

Reports:

Each State must prepare and submit an annual report of program activities for the preceding fiscal year in conjunction with the application for the requested fiscal year's funding. A copy of the State's most recent annual audit report must also be submitted.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, 45 CFR 74.26 requires that for-profit recipients and subrecipients have an audit performed in accordance with Government Auditing Standards or Circular No. A-133.

Records:
The State's law and practices will govern, subject to guidance and regulations as may be promulgated by the Center for Substance Abuse Treatment, in accordance with the statutory requirements.

Account Identification:
75-1362-0-1-550.

Obligations:
(Grants) FY 07 \$1,670,661,450; FY 08 est \$1,670,661,450; and FY 09 est not reported.

Range and Average of Financial Assistance:
\$110,614 to \$252,450,447; \$27,844,358.

PROGRAM ACCOMPLISHMENTS:
It is anticipated that 60 awards will be made in fiscal years 2007 and 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:
45 CFR 96.

Regional or Local Office:
Not applicable.

Headquarters Office:
Program Contact: John J. Campbell, Division of State and Community Assistance, Center for Substance Abuse Treatment, Substance Abuse and Mental Health Services Administration, Department of Health and Human Services, 1 Choke Cherry Road, Room 5-1069, Rockville, MD 20857. Telephone: (240) 276-2891. Grants Management Contact: Ms. LouEllen M. Rice, Division of Grants Management, Office of Program Services, Substance Abuse and Mental Health Services Administration, Department of Health and Human Services, 1 Choke Cherry Road Room 7-1091, Rockville, MD 20857. Telephone: (240) 276-1404.

Web Site Address:
<http://www.samhsa.gov>.

RELATED PROGRAMS:
None.

EXAMPLES OF FUNDED PROJECTS:
Not applicable.

CRITERIA FOR SELECTING PROPOSALS:
Applications must fulfill statutory and Federal regulations and regulatory requirements. For information on statutory and Federal regulations requirements contact John J. Campbell, Division of State and Community Assistance, Center for Substance Abuse Treatment, SAMHSA, 1 Choke Cherry Road, Room 5-1069 Rockville, MD 20857.

93.962 HEALTH ADMINISTRATION TRAINEESHIPS PROGRAM

FEDERAL AGENCY:
HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:
Public Health Service Act, Title VII, Section 769, 42 U.S.C. 295d, as amended;
Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:
This program was developed to support eligible students enrolled in accredited graduate programs in health administration, hospital administration, or health policy analysis and planning. Applicants are encouraged to use the traineeships to increase the number of underrepresented minorities in and graduating from the programs.

TYPES OF ASSISTANCE:
Project Grants.

USES AND USE RESTRICTIONS:
Grant funds awarded for traineeships are for student support only.

Applicant Eligibility:
Eligible applicants are public or nonprofit private educational entities (including graduate schools of social work and business schools that have health management programs and Faith Based and Community Based organizations) that offer a graduate program in health administration, hospital administration or health policy analysis and planning accredited by the Commission on Accreditation of Healthcare Management Education <http://www.cahmweb.org/>. Applicants must assure that, in providing traineeships, priority will be given to students who demonstrate a commitment to employment with public or nonprofit private entities in health administration and management. Schools of Public Health are not eligible.

Beneficiary Eligibility:
The graduate health administration student must be a U.S. citizen, or non-citizen national foreign nationals who possess a visa permitting permanent residence, and enrolled in an accredited program in health administration, hospital administration, or health policy analysis and planning. Student applicants must meet the admission requirements of the graduate program.

Credentials/Documentation:
Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:
This program is excluded from coverage under E.O. 12372.

Application Procedure:
Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:
Notification is made in writing by a Notice of Grant Award issued from the Health Resources and Services Administration, Grants Management Office.

Deadlines:
www.hrsa.gov.

Range of Approval/Disapproval Time:
From 4 to 6 months after receipt of applications.

Appeals:
None.

Renewals:
These are formula based mandatory grants. At the end of the initial project period, continuation applications may be submitted in the form of a progress report.

Formula and Matching Requirements:
None.

Length and Time Phasing of Assistance:
Grant periods are for three years.

Reports:
An annual progress report is required, as well as a final progress report and final financial status report which must be submitted within 90 days after the end of the project period.

Audits:
In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:
All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:
75-0350-0-1-550.

Obligations:
(Grants) FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:
\$11,387 to \$110,457; \$60,922.

PROGRAM ACCOMPLISHMENTS:
Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:
This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:
Beverly Smith MHS, Center for Public Health of the Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 8A-19, Rockville, MD 20857. Telephone: (301) 443-3231.

Headquarters Office:
Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: 301-443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:
www.hrsa.gov.

RELATED PROGRAMS:
None.

EXAMPLES OF FUNDED PROJECTS:
Not applicable.

CRITERIA FOR SELECTING PROPOSALS:
For traineeships, after staff review, available funds are distributed on a formula basis to approved applicants with programs in health administration, hospital administration, or health policy analysis and planning, accredited by the Commission on Accreditation of Healthcare Management Education

<http://www.cahmeweb.org/>. In making awards of grants under this section, preference will be given to qualified applicants that meet the following conditions: (1) Not less than 25 percent of the graduates of the applicant are engaged in full-time practice settings in medically underserved communities. (2) The applicant recruits and admits students from medically underserved communities. (3) For the purpose of training students, the applicant has established relationships with public and nonprofit providers of health care in the community involved. (4) In training students, the applicant emphasizes employment with public or nonprofit private entities.

93.964 PUBLIC HEALTH TRAINEESHIPS

(Public Health Traineeships)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Section 769, 42 U.S.C. 294, as amended;
Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

To support traineeships for students in graduate educational programs in accredited schools of public health and to other public or nonprofit private institutions accredited for the provision of graduate or specialized training in Public Health which offer graduate programs for training in Public Health fields with a severe shortage, e.g., (1) Biostatistics; (2) epidemiology; (3) environmental health; (4) toxicology; (5) nutrition; and (6) maternal and child health.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used only to support students enrolled in grant-eligible graduate programs for severe shortage public health professions. Students pursuing joint degrees such as the MPH/MD, MPH/MSN, MPH/MSA, etc., are eligible for traineeship support for completion of their public health degree only. Funding may not be sought for courses or other expenses related to their non-public health degree curriculum. Funding may not be used to support residency requirements. Traineeship expenditures are limited to payment of stipends, tuition and fees in accordance with the institution's established rates, and a transportation allowance on an individual trainee basis when prior approval has been obtained from the Grants Management Officer. Grantees may not spend grant funds for sectarian instruction or for any religious purpose. Use of traineeship funds for indirect cost (overhead) of the trainee institution is not authorized.

Applicant Eligibility:

Schools and programs of Public Health and other public or nonprofit private educational entities, including faith-based and community-based organizations accredited by the Council on Education for Public Health, and other public or nonprofit private institutions accredited by a body recognized for this purpose by the Secretary of the Department of Education.

Beneficiary Eligibility:

(1) Trainees must be United States Citizens or non-citizen nationals and foreign nationals who possess a visa permitting permanent residence in the United States. (2) Trainees must be pursuing a graduate degree in an accredited school of public health or other eligible institution. (3) For grants awarded on the basis of a formula, all trainees must meet the admission requirements specified in the approved grant application and those of the institution.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award issued from the Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 3 to 5 months after receipt of applications.

Appeals:

None.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted for up to three (3) years of support.

Formula and Matching Requirements:

Available funds are provided to schools of public health and other eligible accredited applicants on the basis of a formula which considers comparative enrollment of trainees in the applicant's proposal. This program has no matching requirements.

Length and Time Phasing of Assistance:

Grant support may be requested for up to 3 years.

Reports:

A Uniform Progress Report must be submitted via on-line for the current reporting period via the Bureau's web page www.bhpr.hrsa.gov/grants, click on Grantee Reports. A financial status reports must be submitted within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$1,289,740; FY 08 est \$1,258,262; and FY 09 est not available.

Range and Average of Financial Assistance:

(2007) \$9,404 to \$182,096; \$57,194 average.

PROGRAM ACCOMPLISHMENTS:

In FY 2007 22 awards were made. In FY 2008, it is estimated that 22 continuation awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

David Hanny, Program Officer, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-36, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6950 and Email: dhanny@hrsa.gov.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-3524; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Support of trainees who are pursuing a graduate course of study in: (1) biostatistics (2) epidemiology; (3) environmental health; (4) nutrition; (5) toxicology; and (6) maternal and child health.

CRITERIA FOR SELECTING PROPOSALS:

After staff review, funds are awarded on a formula basis among the eligible applicant schools of public health and other accredited public or private nonprofit institutions based on the number of students preparing to enter occupations for which there exist severe shortages.

93.965 COAL MINERS RESPIRATORY IMPAIRMENT TREATMENT CLINICS AND SERVICES

(Black Lung Clinics)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Federal Mine Safety and Health Act, Section 427(a); Black Lung Benefits Act; Public Law 95-239.

OBJECTIVES:

(1) To develop high quality, patient-oriented, integrated systems of care which assure access to and continuity of appropriate primary, secondary and tertiary care with maximum use of existing resources; (2) to minimize the effects of respiratory and pulmonary impairments in coal miners and others with occupational related respiratory diseases; and (3) to emphasize patient and family member education to maximize the patient's ability for self-care.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

USES: Grants may be used for the cost of providing diagnostic and treatment procedures required in the management of black lung and other respiratory impairments in coal miners and others with occupational related respiratory diseases, and costs of equipment and facilities renovation when these costs are demonstrated to be necessary to enable the implementation of services.

RESTRICTIONS: Support is available only in areas where it can be demonstrated that there are significant numbers of active and/or inactive coal miners.

Applicant Eligibility:

Any State and/or public or private entity. Federally recognized tribes and tribal organizations are eligible to apply.

Beneficiary Eligibility:

Coal workers with respiratory and pulmonary impairments and their families.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office of official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Office of Rural Health. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Each applicant will receive written notification of the outcome of the objective review process, including summary of the expert committee's assessment of the application's merits and weaknesses, and whether the application was selected for funding. Applicants who are selected for funding may be required to respond in a satisfactory manner to conditions placed on their application before funding can proceed. Letters of notification do not provide authorization to begin performance. The Notice of Grant Award, which is signed by the Grants Management Officer and is sent to the applicant agency's Authorized Representative, is the authorizing document.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Assistance will cover operational costs and grantees will generally be expected to begin to deliver services immediately. However, at the discretion of the awarding office, up to 6 months may be allowed for planning and program development.

Reports:

Grantees are required to submit an annual report that describes the utilization costs of services provided under the grant, and provide such other information as the Secretary determines appropriate. The Black Lung Clinics Program Database report is due annually according to specific instructions from the Program Office. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and progress reports are due 90 days after the end of a project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in

Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$5,648,496; FY 08 est \$5,600,000; and FY 09 est \$5,600,000.

Range and Average of Financial Assistance:

\$115,000 to \$1,200,000. The average award is \$387,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07 15 grantees were funded and it is anticipated that in both FY 08 and FY 09 fifteen clinics will be funded to serve victims of black lung disease.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane Room 9A-55, Rockville, MD 20857. Telephone: (301) 443-0835.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: 301-443-2385; Research and Training Branch: 301-443-3099; Government and Special Focus Branch: 301-443-3288.

Web Site Address:

www.hrsa.gov

RELATED PROGRAMS:

17.307, Coal Mine Workers' Compensation; 93.224, Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.773, Medicare_Hospital Insurance; 93.774, Medicare_Supplementary Medical Insurance; 93.778, Medical Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

(1) A statewide program of Black Lung Clinics as part of community primary care centers with coordination and assistance from the State health department; (2) an area-wide system of clinical and educational services in a rural area for Black Lung victims administered by a secondary referral hospital through linkage arrangements with other provider agencies; and (3) a respiratory clinic operated by a nonprofit community organization to serve Black Lung victims in a county. Primary care services can be provided by private practitioners and community clinics through referral agreements.

CRITERIA FOR SELECTING PROPOSALS:

Projects must: (1) Serve a significant number of coal workers with pulmonary impairment without regard for their ability to pay; (2) maximize use of existing resources; (3) assure high quality treatment services and management; (4) assure that enrolled clients will receive education and training in the management of their health care; (5) establish agreements with all levels of care providers to assure continuity of care; (6) provide a coordinator of patient care who will assure the implementation of a patient care plan for each enrollee; and (7) coordinate with other similar projects to assure access to those who need services without duplication of effort.

93.969 GERIATRIC EDUCATION CENTERS

(GEC's)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VII, Section 753(a), as amended; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

Grants are given to support the development of collaborative arrangements involving several health professions schools and health care facilities. Geriatric Education Centers provide training of health professional faculty, students, and practitioners in the diagnosis, treatment and prevention of disease, disability, and other health problems of the elderly. Health professionals include allopathic physicians, osteopathic physicians, dentists, optometrists, podiatrists, pharmacists, nurse practitioners, physician assistants, chiropractors, clinical

psychologists, health administrators, and other allied health professionals. Projects supported under these grants must offer training involving four or more health professions, one of which must be allopathic or osteopathic medicine, and must address all of the following statutory purposes: (a) improve the training of health professionals in geriatrics; (b) develop and disseminate curricula relating to the treatment of the health problems of elderly individuals; (c) support training and retraining of faculty to provide instruction in geriatrics; (d) support continuing education of health professionals who provide geriatric care; and (e) provide students with clinical training in geriatrics in nursing homes, chronic and acute disease hospitals, ambulatory care centers, and senior centers.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may not be used for trainee costs, the construction of buildings or the acquisition of land.

Applicant Eligibility:

Grants may be made to accredited health professions schools as defined by Section 799B(1) of the Public Health Service Act, or programs for the training of physicians assistants as defined by Section 799B(3), or schools of allied health as defined in Section 799B(4), or schools of nursing as defined by Section 801(2).

Beneficiary Eligibility:

Professional schools, faculty of institutions of higher education, and health practitioners.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Notification is made in writing by a Notice of Grant Award issued from the Headquarters Office.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 3 to 4 months from receipt of application.

Appeals:

None.

Renewals:

Competitive continuations may be submitted during the final budget period of the approved project period.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project periods are for three years.

Reports:

The Uniform Progress Report (UPR) and Comprehensive Performance Management System (CPMS) Report must be submitted annually. A financial status report must be submitted within 90 days after the end of each budget period. A final progress report and financial status report must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$18,515,228; FY 08 \$16,289,601; and FY 09 est. \$16,083,587.

Range and Average of Financial Assistance:

FY 07: \$200,000 to \$400,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 48 new awards were made. In FY 08 48 non-competing continuation awards were made. In FY 09 48 non-competing continuation awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Nancy Douglas-Kersellius, Division of Nursing, Health Resources and Services Administration, Parklawn Building, Room 8C-26, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6333.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by and Objective Review Committee to determine the extent to which the applicant documents the following criteria: (1) Need; (2) Response; (3) Evaluative Measures; (4) Impact; (5) Resources/Capabilities; and (6) Support Requested.

93.970 HEALTH PROFESSIONS RECRUITMENT PROGRAM FOR INDIANS

(Recruitment Program)

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Indian Health Care Improvement Act, Title I, Sections 102, 112, 114, and 122, Public Law 94-437, as amended, Public Laws 96-537, 100-713, and 102-573.

OBJECTIVES:

(1) To identify American Indians and Alaska Natives with a potential for education or training in the health professions, and to encourage and assist them to enroll in health or allied health professional schools; (2) to increase the number of nurses, nurse midwives, nurse practitioners and nurse anesthetists who deliver health care services to American Indians and Alaska Natives; and (3) to place health professional residents for short-term assignments at Indian Health Service (IHS) facilities as a recruitment aid.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Establishing and operating programs designed to recruit American Indians and Alaska Natives into health and allied health professional schools.

Applicant Eligibility:

Public or private nonprofit health or educational entities or Indian tribes or tribal organizations as specifically provided in legislative authority.

Beneficiary Eligibility:

Preference is given to applicants in the following order of priority: (1) Indian tribes; (2) tribal organizations; (3) urban Indian organizations and other Indian health organizations; or (4) other public or nonprofit health or educational entities.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs for other institutions will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center hours of operation are Monday-Friday from 7:00 a.m. to 9:00 p.m. (Eastern Standard Time). If you require additional assistance please contact Michelle G. Bulls, Grants Policy Officer at (301) 443-6528 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to

us. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Grants are made directly by the Indian Health Service to applicant agency, based on results of competitive review process.

Deadlines:

Contact Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

Within 90 days of IHS deadline.

Appeals:

None.

Renewals:

Continuation applications must be submitted annually. Continued funding is subject to availability of funds and satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Payments of grant funds are made through the DHHS Payment Management System (PMS) or DHHS Electronic Transfer System. Information will be forwarded to new grantees regarding the process of obtaining funds.

Reports:

Projects must have data systems to support administration and evaluation of the project. The funding agency will provide forms for the uniform reporting of data on case management, fiscal management and project activities, in accordance with 45 CFR, Part 92 or OMB Circular No. A-110, depending on the type of grantee organization.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Grants) FY 07 \$0; FY 08 \$0; and FY 09 est. not available.

Range and Average of Financial Assistance:

\$300,000 to \$500,000; \$224,600.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, 11 continuing projects were awarded. In fiscal years 2006 and 2007, 11 continuing projects are expected to be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36.310 - 36.319. Final rules and regulations, the Indian Health Care Improvement Act, Public Law 94-437, amended by Public Law 100-713, enacted November 23, 1988; and Public Law 102-573, enacted October 29, 1992; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

Not applicable.

Headquarters Office:

For Health Professions Recruitment and Health Resident Recruitment Contact: Mr. Darrell Pratt, Leader, Health Professions Manager, Indian Health Service, 801 Thompson Avenue, Suite 120, Rockville, MD 20852. Telephone: (301) 443-5710. For Nursing Recruitment Contact: Ms. Sandra Haldane, Director, Division of Nursing Services, Indian Health Service, 801 Thompson Avenue, Suite 300, Rockville, MD 20852. Telephone: (301) 443-1026. Grants Management Contact: Ms. Kimberly Pendleton, Senior Grants Management Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204. Use the same numbers for FTS.

Web Site Address:

<http://www.ihs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) The University of North Dakota recruited Indians into its medical and health care programs; and (2) the Arizona State University recruited students for

nursing careers, and provided scholarship support through the grant.

CRITERIA FOR SELECTING PROPOSALS:

(1) Potential effectiveness of the proposed project in carrying out such purposes, (2) the demonstrated capability of the applicants to successfully conduct this type of project, (3) accessibility of the applicant to the target population, (4) relationship of project objectives and known or anticipated Indian health manpower objectives, (5) soundness of the fiscal plan, (6) cost reasonableness, and (7) completeness of the application.

93.971 HEALTH PROFESSIONS PREPARATORY SCHOLARSHIP PROGRAM FOR INDIANS

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Indian Health Care Improvement Act, Public Law 94-437, as amended.

OBJECTIVES:

To make scholarships to American Indians and Alaska Natives for the purpose of completing compensatory pre-professional education to enable the recipient to qualify for enrollment or re-enrollment in a health professions school or curriculum.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Scholarship awards are limited to persons of American Indian or Alaska Native descent. Awards are limited to a maximum of 2 years of full-time scholarship support under this section of the Indian Health Care Improvement Act.

Applicant Eligibility:

Scholarship awards are made to individuals of American Indian or Alaska Native descent, who have successfully completed high school education or high school equivalency and who have been accepted for enrollment in a compensatory, pre-professional general education course or curriculum.

Beneficiary Eligibility:

Individuals of American Indian or Alaska Native descent.

Credentials/Documentation:

Students must submit proper documentation of Indian eligibility verification from the college advisor that the courses or curriculum to be taken are required to meet the applicant's deficiency and compensatory needs at the professional level and represent the number of credit hours which the school considers as full-time or at least 6 credit hours per semester for part-time.

Preapplication Coordination:

Preapplication is not required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application forms and instructions are provided by the Indian Health Service (IHS) Scholarship Program acting through the IHS Area Program Offices. Application forms and required documentation (official transcripts, letter of acceptance by college, curriculum verification, Indian eligibility, tuition verification, faculty evaluations) should be submitted to IHS Headquarters for review and approval/disapproval.

Award Procedure:

Payments for stipends and books are made directly to the individual applicant. Tuition payments and fees are made to the college or university, upon receipt of an invoice.

Deadlines:

Contact IHS Area offices for application deadlines. New applications are usually available to interested individuals in February with a submission deadline of April 1.

Range of Approval/Disapproval Time:

Applicants are notified by July 31.

Appeals:

None.

Renewals:

Continuation applications must be submitted annually. Continued funding subject to availability of funds and satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Tuition payments are paid upon receipt of invoices from the universities. Stipend payments are paid monthly, for 10 months or for the academic period if students attends summer school. Amount for books and other necessary expenses are provided to student.

Reports:

Students are required to submit their official transcripts as they are received. In addition, recipients must submit initial progress reports on forms supplied by IHS and notifications of problems/changes.

Audits:

Not applicable.

Records:

Indian Health Service Scholarship Branch, IHS, maintains records on all students. Records are maintained for 4 years after the final award payment has been made.

Account Identification:

75-0390-0-1-551.

Obligations:

(Grants) FY 07 \$2,519,107; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$17,500 to \$26,019; \$17,366.

PROGRAM ACCOMPLISHMENTS:

It is estimated that 98 continuation scholarship awards will be funded in fiscal years 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36.320 - 36.324. Final Rules and Regulations, the Indian Health Care Improvement Act, Public Law 94-437, published in the Federal Register on November 18, 1977.

Regional or Local Office:

See Appendix IV of the Catalog for Indian Health Service Area Offices.

Headquarters Office:

Program Contact: Ms. Patricia Lee-McCoy, Scholarship Branch, Division of Health Professions Support, 801 Thompson Avenue, Suite 450A, Rockville, MD 20852. Telephone: (301) 443-6197. Grants Contact: Ms. Lois Hodge, Grants Management Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-0243. Use the same numbers for FTS.

Web Site Address:

<http://www.ihs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In fiscal year 2005, continuing scholarship recipients will be funded in the pre-professional categories of pre-nursing, pre-medical technology, pre-physical therapy, pre-engineering, pre-sanitation, and pre-pharmacy only.

CRITERIA FOR SELECTING PROPOSALS:

Applicant must be American Indian or Alaska Native. Other elements considered are: (1) Academic performance; (2) faculty recommendation; (3) stated reasons for asking for the scholarship; (4) goals of the individual; and (5) the relative needs of the IHS and Indian health organizations for persons in specific health professions are taken under consideration.

93.972 HEALTH PROFESSIONS SCHOLARSHIP PROGRAM

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Indian Health Care Amendments of 1988, Section 104.

OBJECTIVES:

To provide scholarships to American Indians and Alaska Natives at health professions schools in order to obtain health professionals to serve Indians. Upon completion, scholarship recipients are obligated to serve in the Indian Health Service or an Indian health organization for each year of support with a minimum of 2 years.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Scholarships support students who pursue full-time or part-time courses of study in health professions needed by the Indian Health Service (IHS). Disciplines have included allopathic and osteopathic medicine, dentistry, nursing (baccalaureate and graduate), public health nutrition (graduate), medical social work (graduate), speech pathology/audiology (graduate), optometry, pharmacology and health care administration. Not all disciplines participate each year. Scholarships may not be awarded to students who have conflicting service obligations after graduation or who are not enrolled members of Federally-recognized tribes. Recipients are required to perform 1 year of obligated service for each year of scholarship support, with a minimum obligation of 2 years in the Indian Health Service, or in a program conducted under a contract entered into under the Indian Self-Determination Act; or in an Indian health organization assisted under Title V of the Indian Health Care Improvement Act; or in private practice in a Health Manpower Shortage Area which addresses the health care needs of a substantial number of Indians. Deferment for internship, residency, or other advanced clinical training for medical, osteopathy, and dental students is generally not to exceed 3 years, consistent with the specialty needs of the IHS. Currently, 4-year deferments may be granted for obstetrics- gynecology and psychiatry residencies.

Applicant Eligibility:

Individuals of American Indian or Alaska Native who are enrolled members of a Federally-recognized tribe are eligible. Applicants for new awards: (1) must be accepted by an accredited U.S. educational institution for a full-time or part-time

course of study leading to a degree in medicine, osteopathy, dentistry, or other participating health profession which is deemed necessary by the Indian Health Service; (2) be eligible for or hold an appointment as a Commissioned Officer in the Regular or Reserve Corps of the Public Health Service; or (3) be eligible for civil service in the Indian Health Service.

Beneficiary Eligibility:

Individuals of American Indian or Alaska Native who are enrolled members of Federally-recognized tribe; full-time students of medicine or other health professions; prospective or currently Commissioned Officers in the Regular or Reserve Corps of the Public Health Service; and eligible civilians of the Indian Health Service.

Credentials/Documentation:

Students claiming Indian priority must submit proper certification and documentation as specified in the application. All applicants must provide transcripts of their latest academic work. All incoming freshmen are to provide copies of acceptance letters for enrollment in school.

Preapplication Coordination:

Preapplication coordination is not required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application forms and instructions are provided by the Indian Health Service Scholarship Program, acting through the IHS Area offices.

Award Procedure:

Priority will be given to applicants who are prior recipients. Special consideration is given to junior and senior level students whose specialty plans are in primary care fields. Criteria which determine competitive ranking awards may include: (1) work experience, preferably health-related in communities of health care need; (2) personal background from a community of health care need; (3) career goals of primary care practice in service to Indians; and, (4) academic performance. Student financial need does not affect selection.

Deadlines:

Contact IHS Area offices for application deadlines. New applications are available to interested individuals usually in February with a submission deadline of April 1.

Range of Approval/Disapproval Time:

Applicants notified by July 31.

Appeals:

None.

Renewals:

Continuation applications must be submitted annually. Continued funding subject to availability of funds and satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Tuition payments and fees are paid upon receipt of invoices from the universities. Stipend payments are paid monthly for the 12-month academic period. An amount for books and other necessary expenses is provided to the student. Maximum length of funding is a total of 4 years.

Reports:

Students are required to submit of their official transcripts as they are received. In addition, recipients must submit initial and mid-term progress reports on forms supplied by IHS. Annual reports are required from schools indicating average student educational expense.

Audits:

Not applicable.

Records:

The Indian Health Service Scholarship Program, maintains records for 7 years following completion of obligated service or other disposition of the scholarship obligation.

Account Identification:

75-0390-0-1-551.

Obligations:

(Grants) FY 07 \$9,603,857; FY 08 \$9,603,857; and FY 09 est not available.

Range and Average of Financial Assistance:

\$24,128 to \$38,222; \$24,694.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, approximately 78 new and 196 continuing scholarships were awarded. Approximately the same number of awards will be funded in fiscal years 2007 and 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36.330-36.334. Final rules and regulations, the Indian Health Care Improvement Act, Public Law 94-437, published in the Federal Register on November 18, 1977.

Regional or Local Office:

See Appendix IV of the Catalog for Indian Health Service Area Program Offices.

Headquarters Office:

Program Contact: Ms. Pat Lee-McCoy, IHS Scholarship Program, Division of Health Professions Support, Indian Health Service, 801 Thompson Avenue, Suite 120, Rockville, MD 20852. Telephone: (301) 443-6197. Grants Management Contact: Ms. Kimberly Pendleton, Senior Grants Management

Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204. Use the same numbers for FTS.

Web Site Address:
<http://www.ihs.gov> .

RELATED PROGRAMS:

93.288, National Health Service Corps Scholarship Program.

EXAMPLES OF FUNDED PROJECTS:

In fiscal year 2005, there were 274 scholarships awarded to students in the following categories: nursing, medicine, medical technology, health administration, x-ray technology, optometry, dentistry, pharmacy, dental hygiene, dietetics, masters of public health, health education, chemical dependency counseling, health records, engineering, physician assistant, sanitation, and physical therapy.

CRITERIA FOR SELECTING PROPOSALS:

(1) Academic performance; (2) faculty recommendations; (3) stated reasons for asking for the scholarship; (4) goals of the individual; and (5) the relative needs of the IHS and Indian health organizations for persons in specific health professions.

93.974 FAMILY PLANNING-SERVICE DELIVERY IMPROVEMENT RESEARCH GRANTS

(SDI)

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Title X, Section 1004, 42 U.S.C. 300a-2; Family Planning Services and Population Research Act of 1970, Section 6(c); Public Law 91-572; 84 Stat. 1507, as amended; Family Planning and Population Research Act of 1975, Title II, Section 202(c); Public Law 94-63; 89 Stat. 306; Appropriation Act of 1991, Public Law 101-517.

OBJECTIVES:

To promote service delivery improvement through research studies and application of knowledge.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are awarded to develop research studies for the improvement of family planning services delivery of projects funded under Title X, Section 1001 of the Public Health Service Act. Funds may not be used in programs where abortion is a method of family planning.

Applicant Eligibility:

Any public entity (city, county, local, regional, or State government) or private nonprofit entity located in a State (including the District of Columbia, Puerto Rico, the Commonwealth of the Northern Marianas Islands, Guam, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Republic of Marshall Islands and the Republic of Palau) is eligible to apply for a grant under this subpart.

Beneficiary Eligibility:

All levels of government and nonprofit entities responsible for the efficient and effective delivery of family planning services; providers and recipients of family planning services; and the general public.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following cost principles: OMB Circular No. A-21, for educational institutions, OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-122 for nonprofit organizations. Faith based organizations are eligible to apply.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application Form PHS-398 (Rev. May 1995) for research grants should be submitted. The standard application forms, as furnished by PHS and required by 45 CFR, Part 74, (for institutions of higher education, hospitals and other nonprofit organizations), and 45 CFR, Part 92 (for state and local governments), must be used for this program. This program is subject to the provisions of 45 CFR, Part 74, and 45 CFR, Part 92, as well as OMB Circular No. A-102 (administrative guidelines and standards for states and local governments), and OMB Circular No. A-110 (administrative guidelines and standards for institutions of higher education, hospitals, and other non-profit organizations).

Award Procedure:

The Deputy Assistant Secretary for Population Affairs makes final decisions to approve, hold, or reject individual grants or contracts. Applicants are notified regarding the final decision on each application and are furnished statements of award for all projects approved.

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

From 150 to 180 days.

Appeals:

None.

Renewals:

Projects are renewed, as required, based on submission of an application, including a progress report and evidence of plan compliance.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Grants may be approved for a budget period not to exceed 12 months.

Reports:

Expenditure report on annual basis. Progress report is to be submitted with continuation application. Special reports are required as requested.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53, and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$5,456,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$150,000 to \$550,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Ms. Susan Moskosky, Office of Population Affairs, Department of Health and Human Services, 1101 Wootton Parkway, Suite 700, Rockville, MD 20852. Telephone: (240)453-2888. Grants Management Contact: Karen Campbell, Director, Office of Grants Management, Office of Public Health and Science, Department of Health and Human Services, 1101 Wootton Parkway, Suite 550, Rockville, MD 20853. Telephone: (240) 453-8822.

Web Site Address:

<http://www.opa.osophs.dhhs.gov> .

RELATED PROGRAMS:

93.217, Family Planning_Services; 93.260, Family Planning_Personnel Training.

EXAMPLES OF FUNDED PROJECTS:

(1) Studies of factors influencing women to discontinue attending family planning clinics; (2) studies of particular family planning needs of underserved subgroups of low income women; and (3) studies to tailor family planning services to the needs of teens.

CRITERIA FOR SELECTING PROPOSALS:

Scientific merit and significance; competency of project staff; feasibility, reasonableness of proposed budget period relative to proposed research; adequacy of applicant's resources; amount of support required; adequacy of methodology; adequacy of the means for protecting against adverse effects upon humans, animals, or the environment (when applicable).

93.977 PREVENTIVE HEALTH SERVICES-SEXUALLY TRANSMITTED DISEASES CONTROL GRANTS

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Section 318a, Disease Control Amendments of 1972, Public Law 92-449; Disease Control Amendments of 1976, Public Law 94-217; Health Services and Centers Amendments of 1978, Public Law 95-626; Omnibus Budget Reconciliation Act of 1981, as amended, Public Law 97-35; Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act of 1988, Public Law 100-202.

OBJECTIVES:

To reduce morbidity and mortality by preventing cases and complications of sexually transmitted diseases (STD). Project grants under Section 318c awarded to State and local health departments emphasize the development and implementation of nationally uniform prevention and control programs which focus on disease intervention activities designed to reduce the incidence of these diseases, with applied research, demonstration, and public and professional education activities supporting these basic program activities authorized under Section 318b of the Public Health Service Act.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used for: (1) Sexually-transmitted disease (STD) surveillance activities, including reporting, screening, and follow-up of diagnostic tests for, and diagnosed cases of, STD; (2) STD case finding and case follow-up activities, including notification of sex partners to infectious cases of STD and follow-up systems; (3) interstate epidemiological referral and follow-up activities; (4) program-specific professional (including appropriate allied health personnel) STD education, training, and clinical skills improvement activities as authorized under section 318(b) of the PHS Act; (5) program-specific STD education and information activities as authorized under Section 318b of the PHS Act; (6) special studies or demonstrations to evaluate or test STD prevention activities; and (7) control strategies and activities as may be prescribed by the Secretary. Grant funds may not be used for: (1) Supplanting funds supporting existing STD control services provided by a State or locality; or (2) unless specifically approved for that purpose, grant funds shall not be used for performing diagnostic tests (other than gonorrhea screening tests), maintaining central registries, providing diagnostic and treatment facilities and services, or purchasing automated data processing equipment.

Applicant Eligibility:

Any State, and, in consultation with the appropriate State Health Authority, any political subdivision of a State, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries.

Beneficiary Eligibility:

Any State or authorized subdivision including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries.

Credentials Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Pre-application coordination is not required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Telephone: (770) 488-2720. This program is subject to the provisions of 45 CFR, 92. The standard application forms, as furnished by PHS and required by 45 CFR, 92 for State and local governments, must be used for this program.

Award Procedure:

After review and approval, a Notice of Grant Award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 4 months.

Appeals:

Not applicable.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. Although there are no specific matching requirements, applicants must assume part of the project costs.

Length and Time Phasing of Assistance:

Project period: From one to five years; budget period: 12 months.

Reports:

Bi-annual and annual performance reports are required. Financial status reports are required no later than 90 days after the end of each specified reporting period. Final financial status and progress reports are required 90 days after the end of a project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Grants/Cooperative Agreements) FY 07 \$106,670,759; FY 08 est \$104,700,730; and FY 09 est \$107,899,583.

Range and Average of Financial Assistance:

\$44,000 to \$6,710,000; \$742,930.

PROGRAM ACCOMPLISHMENTS:

Approximately 432,800 persons with a reactive test for syphilis received health department follow-up. Of those, 50,700 were previously unknown infections and were treated. Outreach activities resulted in the examination of epidemiologically linked individuals; 19,600 new cases detected and treated, 43,200 patients preventively treated and 5,100,000 culture specimens were obtained from women in gonorrhea screening programs, some 188,200 (3.7 percent) were positive for gonorrhea of which 152,100 were located and received treatment. These gonorrhea screening and other outreach efforts resulted in the prevention of an estimated 97,300 new cases of gonorrhea. All project areas have established hospital based surveillance efforts for pelvic inflammatory disease (PID). Forty-four States have established at least one city or county STD program that offers comprehensive surveillance, diagnostic, testing, and treatment services for chlamydia. Outreach activities for chlamydia resulted in 50,700 interviews, 44,500 epidemiologically-linked patients treated and an estimated 53,700 additional cases of chlamydia prevented. A total of 65 applications were reviewed and funded in fiscal year 2007. A similar level of effort will continue in fiscal years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for this program are published under 42 CFR 51b. Guidelines are available. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Dr. John Douglas, Director, Division of STD Prevention, National Center for HIV/AIDS, Viral Hepatitis, STD, and TB Prevention, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, NE., Mailstop E-02, Atlanta, GA 30333. Telephone: (404) 639-8260. Fax: (404) 639-8609. Grants Management Contact: Cheryl Pressley, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Department of Health and Human Services, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (404) 639-8164.

Web Site Address:

<http://www.cdc.gov/std/>

RELATED PROGRAMS:

93.217, Family Planning Services; 93.224, Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.246, Health Centers Grants for Migrant and Seasonal Farmworkers; 93.260, Family Planning Personnel Training; 93.283, Centers for Disease Control and Prevention Investigations and Technical Assistance; 93.855, Allergy, Immunology and Transplantation Research; 93.856, Microbiology and Infectious Diseases Research; 93.865, Child Health and Human Development Extramural Research; 93.978, Preventive Health Services Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants.

EXAMPLES OF FUNDED PROJECTS:

The majority of official State Health Departments (California, Michigan, Texas)

and many large local health departments (New York, Chicago, Los Angeles), have ongoing disease control programs utilizing these grants. Sexually transmitted disease project funds supplement the detection and prevention components of the STD control efforts of State and local government agencies. Activities include reporting, screening, case finding and case follow-up activities, interstate epidemiologic referral, and education activities. The provision of diagnostic/treatment services for STD are not normally supported by project funds and are considered the responsibility of the grantee organization.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the magnitude of the problem, the establishment of specific and measurable objectives to address the problem, and the development of a sound operational plan which will ensure the implementation of each program element.

93.978 PREVENTIVE HEALTH SERVICES-SEXUALLY TRANSMITTED DISEASES RESEARCH, DEMONSTRATIONS, AND PUBLIC INFORMATION AND EDUCATION GRANTS

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 318b, 42 U.S.C. 247c, as amended; Disease Control Amendments of 1972, Public Law 92-449; Disease Control Amendments of 1976, Public Law 94-317; Health Services and Centers Amendments of 1978, Public Law 95-626; Omnibus Budget Reconciliation Act of 1981, as amended, Public Law 97-35; Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act of 1988, Public Law 100-202.

OBJECTIVES:

To develop, improve, apply, and evaluate methods for the prevention and control of gonorrhea, chlamydia, syphilis, and other sexually-transmitted diseases (STD) through demonstrations and applied research; to develop, improve, apply, and evaluate methods and strategies for public information and education about syphilis, gonorrhea, chlamydia and other STD, and to support professional (including appropriate allied health personnel) STD education, training, and clinical skills improvement activities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used for: (1) The costs associated with planning, organizing, and conducting applied research, demonstrations, and education programs; (2) professional (including appropriate allied health personnel) STD education, training, and clinical skills improvement activities; and (3) to reimburse individuals asked to be participants in the applied research. Such reimbursements must be submitted for specific approval. Grant funds may not be used for supplanting funds supporting existing STD control services provided by a State or locality. Proposals for applied research involving human subjects must follow current Public Health Service (PHS) and Centers for Disease Control and Prevention (CDC) guidelines on human experimentation.

Applicant Eligibility:

Any State, political subdivisions of States, and any other public or private nonprofit institutions including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries.

Beneficiary Eligibility:

Any State, political subdivisions of States, and any other public or private nonprofit institutions including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries.

Credentials/Documentation:

Applicants should document the need for assistance, describe the evaluation procedures, and provide a budget with justification of funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For other grantees, costs will be determined in accordance with 45 CFR, Part 74, Subpart Q.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. The standard application forms 5161 must be used for the program as furnished by CDC and required by 45 CFR 92 for state and local

governments and by 45 CFR 74 for nongovernmental applicants. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations, as appropriate.

Award Procedure:

Applications that are complete and responsive to the announcement will be evaluated for scientific and technical merit by an appropriate peer review group convened by the NCIPC in accordance with the review criteria listed above. As part of the initial merit review, all applications may: 1) Undergo a process in which only those applications deemed to have the highest scientific merit, generally the top half of the applications under review, will be discussed and assigned a priority score; 2) receive a written critique; and 3) receive a second level review by the Science and Program Review Subcommittee (SPRS) of the Advisory Committee for Injury Prevention and Control (ACIPC). Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

From three to four months.

Appeals:

None.

Renewals:

Same as in Application Procedure.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project period: From one to five years; budget period: 12 months.

Reports:

Quarterly and annual performance reports are required. Financial status reports are required no later than 90 days after the end of each specified reporting period. Final financial status and progress reports are required 90 days after the end of a project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements

Account Identification:

75-0943-0-1-550.

Obligations:

(Grants) FY 07 \$5,727,536; FY 08 est \$4,685,883; and FY 09 est \$4,810,883.

Range and Average of Financial Assistance:

\$288,422 to \$575,096; \$492,713.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2001, funds supported grants for the following activities: demonstration projects to develop alternative service modes for STD diagnosis and treatment and to develop innovative methods for syphilis control, applied research projects supporting research into treatment, complication and outcome of congenital syphilis, development of treatment and control strategies for chlamydia, development of diagnostic test evaluations for genital warts; development of control programs for human papilloma virus, behavioral research into barriers to condom usage in high-risk populations and a public and professional educational initiative to support a clinic based prevention project among high-risk ethnic groups. In fiscal year 2001, 10 grants were awarded to support STD/HIV Prevention Training Centers. It is estimated that 10 awards will be made in fiscal year 2002 and 10 awards will be made in fiscal year 2003.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 51b.

Guidelines are available. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

None.

Headquarters Office:

Dr. John Douglas, Director, Division of STD Prevention, National Center for HIV/AIDS, Viral Hepatitis, STD, and TB Prevention, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, NE., Mailstop E-02, Atlanta, GA 30333. Telephone: (404) 639-8260. Fax: (404) 639-8609. Grants Management Contact: Cheryl Pressley, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Department of Health and Human Services, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (404) 639-8164.

Web Site Address:

<http://www.cdc.gov/std/>.

RELATED PROGRAMS:

93.217, Family Planning_Services; 93.224, Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.246, Health Centers Grants for Migrant and Seasonal Farmworkers; 93.260, Family Planning_Personnel Training; 93.283, Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.855, Allergy, Immunology and Transplantation Research; 93.856, Microbiology and Infectious Diseases Research; 93.865, Child Health and Human Development Extramural Research; 93.977, Preventive Health Services_Sexually Transmitted Diseases Control Grants.

EXAMPLES OF FUNDED PROJECTS:

Funded projects include: (1) Barriers to condom usage among high-risk populations; (2) Innovative methods in syphilis control; (3) a study of the natural history of Genital Human Papilloma virus; and (4) the development of diagnostic tests for genital warts.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the need for the proposal and its potential benefit(s) to STD control; possible effects upon local STD control programs; consistency with national program goals; specific and measurable objectives; a workable and meaningful plan for evaluation; and a sound operational plan that ensures the proposal can be carried out to reach stated objectives.

93.982 MENTAL HEALTH DISASTER ASSISTANCE AND EMERGENCY MENTAL HEALTH

(Mental Health Disaster Assistance)

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN
SERVICES

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 416, Public Law 100-707.

OBJECTIVES:

To provide supplemental emergency mental health counseling to individuals affected by major disasters, including the training of workers to provide such counseling.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Provides funds for staff, travel, consultants and other expenses incident to the provision of mental health counseling to and referral of individuals in a disaster area. Funds may not be used for long-term treatment.

Applicant Eligibility:

Applicants may be State or local nonprofit agencies as recommended by the State Governor and accepted by the Secretary.

Beneficiary Eligibility:

Individuals who were victims of major disasters.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Subpart Q.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Award Procedure:

The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. Application form (PHS 5161-1) is submitted by the State Coordinating Officer to the Regional Director, Federal Emergency Management Agency, no later than 60 days following declaration of a disaster. This program is subject to the provisions of 45 CFR 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Applications are reviewed by an objective review committee and recommended for approval or disapproval. Final determination for an award is made by Federal Emergency Management Agency.

Deadlines:

Not later than 60 days following a major disaster declaration by the President of the United States.

Range of Approval/Disapproval Time:

About 30 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Assistance may be for 9 months with a possible extension of up to 90 days as approved by FEMA Regional Director.

Reports:

Quarterly progress reports with final progress report within 90 days after termination. Interim financial status report due within 120 days after start date and final due 90 days after termination.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. In addition, 45 CFR 74.26 requires that for-profit recipients and subrecipients have an audit performed in accordance with Government Auditing Standards or Circular No. A-133.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3 year period if audit findings have not been resolved.

Account Identification:

75-1362-0-1-550.

Obligations:

(Grants) FY 07 \$64,311,860; FY 08 \$ est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$64,311,860 to \$0; \$0.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 15 awards were made. It is estimated that 0 awards will be made for fiscal year 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

See 44 CFR 205. Guidelines available in application kit. Disaster Assistance and Emergency Mental Health, DHHS Publication No. (ADM) 76-327. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

Contact the Regional Director, Federal Emergency Management Agency in the appropriate regional office. (See Appendix IV of the Catalog.)

Headquarters Office:

Program Contact: Paul Brounstein (240) 276-1839, Branch Chief, Emergency Mental Health Services, Substance Abuse and Mental Health Services Administration, Department of Health and Human. Grants Management Contact: Gwendolyn Simpson, Grants Management Team Leader, SAMHSA, Department of Health and Human Services, 1 Choke Cherry Road, Rockville, MD 20857. Telephone: (240) 276-1408.

Web Site Address:

<http://www.samhsa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Services provided to victims of Federally declared disasters.

CRITERIA FOR SELECTING PROPOSALS:

Presidential declaration of the disaster area. Justified need for supplementary services.

93.988 COOPERATIVE AGREEMENTS FOR STATE-BASED DIABETES CONTROL PROGRAMS AND EVALUATION OF SURVEILLANCE SYSTEMS

(DCP's)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 301(a) and Section 317(k)(3), 42 U.S.C. 247b, as amended; Health Services and Centers Amendments of 1978, Public Law 95-626; Omnibus Budget Reconciliation Act of 1981, as amended, Public

OBJECTIVES:

Provide resources to state health departments to: 1) Determine the size and nature of diabetes-related problems and why they exist; 2) develop and evaluate new strategies for diabetes prevention; 3) establish partnerships to prevent diabetes problems; 4) increase awareness of diabetes prevention and control opportunities among the public, the health care and business communities, and people with diabetes; 5) improve access to quality care in order to prevent, detect and treat diabetes complications; 6) emphasize prevention of complications and premature mortality among people with diabetes; and 7) utilize a model of influence by linking new programs and existing programs that support social and environmental policies for the promotion of wellness in both people with diabetes and those at risk for diabetes.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cooperative Agreement funds may be used for costs associated with planning, implementing, and evaluating State based diabetes control programs. Cooperative Agreement funds may not be used for direct curative or rehabilitative services.

Applicant Eligibility:

Eligible applicants are the official State and territorial health agencies of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa.

Beneficiary Eligibility:

State health agencies will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Preapplication coordination is not required. Applications are subject to the review requirements of the National Health Planning and Resources Development Act of 1974 as amended by the Health Planning and Resources Development Act of 1979. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact Headquarters Office for applications deadline.

Range of Approval/Disapproval Time:

From 3 to 4 months.

Appeals:

Not applicable.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

Funds for a Capacity Building Diabetes Control Programs have a matching requirement; matching funds must be from nonfederal sources in an amount not less than \$1 for every \$5 of Federal funds awarded and \$1 for every \$4 of Federal Funds awarded for each Basic Implementation Diabetes Control Program Award.

Length and Time Phasing of Assistance:

For 5 years. Budget period: Approximately 12 months.

Reports:

Progress reports are required annually. A plan of action is required annually. Special studies will require protocols, subject to approval. Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and progress reports are required 90 days after the end of a project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal

awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Grants) Financial Assistance: FY FY 07 \$29,858,629 DA \$ est not available; FY 08 est FA \$29,858,629, DA \$ est not available; and FY 09 est 29,828,106.

Range and Average of Financial Assistance:

Capacity Building Programs: \$75,000 to \$350,000; \$250,000. Basic Implementation Programs: \$300,000 to \$800,000; \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal year 2003 CDC announced the availability of funds to continue the diabetes program for a five year funding period till 2008. This announcement will continue to emphasize prevention and complications and premature mortality among people with diabetes. Program will continue to incorporate a model of influence by linking new programs and existing programs that support social and environmental policies for the promotion of wellness in both people with diabetes and those at risk for diabetes. Awards were made in two categories: Capacity Building (Core) and Basic Implementation (Comprehensive) Presently there will be 31 Capacity Building and 28 Basic Implementation. It is anticipated that with future funds CDC expects to continue funding the state-based diabetes prevention and control programs for another five-year budget cycle.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are no regulations, but guidelines are available. HHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, applies to cooperative agreements.

Regional or Local Office:

Program Contact: Mr. Russ Sniadowski, Acting Chief, Program Development Branch, Division of Diabetes Translation, National Center for Chronic Disease Prevention and Health Promotion, Centers of Health and Human Services, 1600 Clifton Road, NE., Atlanta, GA 30333. Telephone: (770) 488-5033. Grants Management Contact: Nealeen Austin, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488- 2722.

Headquarters Office:

Program Contact: Mr. Russ Sniadowski, Division of Diabetes Translation, National Center for Chronic Disease Prevention and Health Promotion, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 1600 Clifton Road, NE., Atlanta, GA 30333. Telephone: (770) 488-5033. Grants Management Contact: Nealeen Austin, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Public Health Service, Department of 2929 Brandywine Road, Atlanta, GA 30341 Telephone: (770) 488-2722.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283, Centers for Disease Control and Prevention_ Investigations and Technical Assistance.

EXAMPLES OF FUNDED PROJECTS:

All funded projects are State-Based Diabetes Control Programs. Each State/Territory-Based Diabetes Control Program works to integrate diabetes prevention and control activities into existing and evolving health care systems. Examples of funded activities included in State DCP projects includes: (1) Media campaigns, (2) community based projects aimed at high risk populations, (3) partnerships with managed care organizations implementing quality of care standards, (4) Quality Improvement projects to insure the use of standards of care, and (5) utilization of school networks to implement diabetes education programs targeting children.

CRITERIA FOR SELECTING PROPOSALS:

For Capacity Building Programs: (1) The consistency of the work plan with the stated morbidity reduction purpose of the cooperative agreement. (2) The quality of the applicant's plans for the integration of the diabetes program elements into the health care delivery system at the community level. (3) The quality of the applicant's plans to develop and maintain the capacity to identify high-risk populations, define needs, and plan future program development. (4) The ability of the applicant to identify staff for the program who are capable and trained to carry out the required tasks. (5) The extent to which the budget is reasonable, consistent with the intended use of cooperative agreement funds, and includes evidence of the State's commitment to the program by matching financial and/or

in-kind contributions from nonfederal sources to activities of the proposed program. For Basic Implementation Programs: (1) Documented need and demonstrated capacity and infrastructure; (2) time-framed and measurable objectives; (3) feasibility; appropriateness, and specificity of objectives; (4) quality of evaluation plan; (5) quality of plan for statewide implementation; and (6) quality of management plan.

93.989 INTERNATIONAL RESEARCH AND RESEARCH TRAINING

(International Research)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Sections 301 and 405, as amended; 42 U.S.C. 241 and 284.

OBJECTIVES:

The John E. Fogarty International Center (FIC) supports research and research training to reduce disparities in global health and to foster partnerships between U.S. scientists and their counterparts abroad. FIC supports basic biological, behavioral, and social science research, as well as related research training. The research portfolio is divided into several programs that support a wide variety of funding mechanisms to meet programmatic objectives.

TYPES OF ASSISTANCE:

Project Grants; Training.

USES AND USE RESTRICTIONS:

Grants and Research Training Grants provide funds for salaries, equipment, supplies, travel, tuition, and other expenses associated with research training and scientific investigation concerning global health issues. These grants are awarded to universities; colleges; medical, nursing and dental schools; hospitals; and other non-profit and for-profit institutions. Awards include grants, cooperative agreements, international research training grants, and career development awards.

Applicant Eligibility:

In general, universities, colleges, hospitals, laboratories, Federal institutions and other public or private non-profit and for-profit domestic and foreign institutions, and State and local units of government are eligible to submit applications for research grants, research training grants, cooperative agreements, and career development awards. The grantee institution must agree to administer the grant in accordance with prevailing regulations and policies. The eligibility requirements may differ amongst FIC programs, based on the eligibility section found in our Requests For Applications (RFAs) and Program Announcements (PAs) at: <http://www.fic.nih.gov/funding/index.htm>.

Beneficiary Eligibility:

Any non-profit or for-profit organization, company or institution engaged in health and biomedical research.

Credentials/Documentation:

Applications for research grants must present the objectives, methodology, and resources for the specific research project, and must demonstrate the applicant's competence and interest. For training grants, the applicant's academic record, research experience, and the proposed area and plan of training must be included in the application. The applicant institution must present the objectives, training program, and resources for the research-training program, the research qualifications and experience of directing staff in training students (the criteria to be used in selecting individuals for awards), a detailed budget, and justification for the amount of grants funds requested. Costs will be determined in accordance with OMB Circular A-21 - Cost Principles for Educational Institutions, Circular A-110 - Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, OMB Circular A-122 for Nonprofit Organizations, Circular A-102 - Grants and Cooperative Agreements with State and Local Governments, and Circular A-87, Cost Principles for State, Local and Indian Tribal Governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other than State and local government grantees, costs will be determined by Health and Human Services (HHS) Regulations 45 CFR, Part 74, Subpart Q.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Standard application forms, as required by 45 CFR, Part 74 and 92, must be used for this program. Detailed instructions for submitting applications to our programs are provided in our Requests For Applications (RFAs) and Program Announcements (PAs) at: <http://www.fic.nih.gov/funding/index.htm>.

Award Procedure:

Applications are reviewed initially for scientific and technical merit. This is followed by a second level review by the FIC Advisory Board.

Deadlines:

An application deadline date will appear in the RFA or PA for each competition.

The RFAs and PAs can be found at: <http://www.fic.nih.gov/funding/index.htm>.

Range of Approval/Disapproval Time:

Grants: From six to nine months.

Appeals:

A Principal Investigator (PI) may question the substantive or procedural aspects of the initial scientific review of his or her application by communicating with FIC staff.

Renewals:

Non-competing renewal applications may be made for all multi-year awards. Applicants must submit their request on form PHS 2590 two months before the end of the last budget period. Competing renewal applications may be submitted only if allowed in the RFA or PA.

Formula and Matching Requirements:

This Program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Approval of a project includes determination of support for the authorized project period (not to exceed five years). Awards to support the project are made on an annual basis. At the time of initial award, the grant provides funds for the conduct of the project during the first budget period (usually 12 months) and the Notice of Grant Award (Form PHS-1533) indicates the support recommended and expected to be made available for the remainder of the project beyond the approved project period. Funds are disbursed via the PMS Electronic Transfer System for domestic grantees, and by U.S. Treasury Check or Direct Deposit for foreign grantees. Loan Repayment Program Awards are for two years with the opportunity for renewal.

Reports:

The grantee is responsible for annual progress reports. These reports are required for program analysis as part of all continuation applications. In addition, a final report must be filed within 90 days of the termination of the grant. The final report includes an account of progress made toward achievement of the originally stated aims; a list of the significant results; and a list of publications resulting from the projects. For most projects, a financial status report must be filed 90 days after each competitive segment. Reports must be provided to FIC staff in accordance with the CFR Title 42 Part 52 - Grants for Research Projects and the CFR Title 45 Part 74 - Uniform Administrative Requirements for grants and cooperative agreements.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," non-Federal entities that receive \$500,000 or more per year in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$500,000 per year in Federal awards are exempt from these audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. Loan Repayment Program awards will be audited prior to the end of the contract, or as needed.

Records:

Expenditures and other financial records must be retained for three years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0819-0-1-552.

Obligations:

(Grants, Cooperative Agreements, and Career Awards) FY 07 \$50,033,000; FY 08 est \$50,100,000; and FY 09 not reported.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance: Recipients of FIC regular research grants, education project grants, and international training grants receive from \$100,000 to \$1,300,000. Recipients of FIC Career Development Awards receive from \$50,000 to \$150,000. Recipients of FIC Cooperative Agreements may receive from \$300,000 to \$700,000. Recipients of FIRCA small grant awards receive from \$30,000 to \$45,000.

PROGRAM ACCOMPLISHMENTS:

AIDS International Training and Research Program: In FY 2007, 27 awards were made. In FY 2008, 26 awards are expected to be made. Brain Disorders in the Developing World: In FY 2007, 2 awards were made. FIC expects to fund 4 awards in FY 2008. Collaborative Trauma and Injury Research Training Program: 12 awards were made in FY 2007. In FY 2008, FIC expects to make 12 awards. Ecology of Infectious Diseases Program: In FY 2007, five awards were made. Three awards are expected to be made in FY 2008. Fogarty International Clinical Research Scholars Resource and Support Center: This award was made for the first time FY 2007. One award was made in FY 2007 and one award is expected to be made in FY 2008. Fogarty International Research Collaboration Award: In FY 2007, 100 awards were made. In FY 2008, FIC expects to make 100 awards. Framework Programs for Global Health: In FY 2007, 22 awards were made. FIC expects to make seven awards in FY 2008. Global Health Research Initiative Program for New Foreign Investigators: 47 awards were made in FY 2007. 37 awards are expected to be made in FY 2008. Global Infectious Disease Research Training Program: 39 training grants were made in FY 2007. FIC expects to make 29 awards in FY 2008. Informatics

Training for Global Health Program: In FY 2007, six awards were made. FIC expects to make six awards in FY 2008. International Clinical, Operational and Health Services Research and Training Award: In FY 2007, 13 awards were made. 13 awards are expected to be made in FY 2008. International Clinical, Operational and Health Services Research and Training Award for HIV/AIDS and Tuberculosis: In FY 2007, 12 awards were made. FIC expects to make 12 awards in FY 2008. International Cooperative Biodiversity Groups Program: In FY 2007, seven awards were made. All seven awards were U01 (cooperative agreements). Three U01 awards are expected to be made in FY 2008. International Malaria Clinical, Operational and Health Services Research Training Program Planning Grants: In FY 2007, one planning grant was made. FIC expects to fund three planning grants in FY 2008. International Research Ethics Education and Curriculum Development Award: In FY 2007, 15 training grants were awarded. FIC plans to make six awards in FY 2008. International Research Scientist Development Award: In FY 2007, 11 awards were made. Seven awards are expected to be made in FY 2008. International Studies on Health and Economic Development Program: One award was made in FY 2007. FIC expects to fund one award in FY 2008. International Tobacco and Health Research and Capacity Building Program: 18 grants were made in FY 2007. 18 awards are expected to be made in FY 2008. International Training and Research in Environmental and Occupational Health Program: In FY 2007, 16 awards were made. FIC expects to fund 16 awards in FY 2008. International Training and Research in Population and Health Program: In FY 2007, 10 awards were made. 10 awards are expected to be made in FY 2008. Stigma and Global Health Research Program: In FY 2007, three awards were made.08, FIC expects to make 6 awards each fiscal year.

REGULATIONS, GUIDELINES, AND LITERATURE:

The grant program legislation and program regulations cited in the Notice of Grant Award, the restrictions on the expenditure of Federal funds in appropriations acts, to the extent those restrictions are pertinent to the award, 45 CFR Part 74 or 45 CFR Part 92, as applicable, the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period, and the terms and conditions on the notice of grant award. Information regarding FIC programs can be found at: <http://www.nih.gov/fic/programs.html>

Regional or Local Office:

None.

Headquarters Office:

Fogarty International Center, National Institutes of Health, Department of Health and Human Services, Building 31, Room B2C39, MSC 2220, Bethesda, MD 20892-2220. Potential applicants are encouraged to visit the FIC website at: <http://www.fic.nih.gov/funding/index.htm> to obtain more information regarding these programs. FIC Telephone number for more information: (301) 496-1653.

Web Site Address:

<http://www.fic.nih.gov> .

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Given the interdisciplinary nature of global health challenges, the FIC systematically seeks alliances with other Federal agencies, international organizations, development agencies, and non-government organizations. The AIDS International Training and Research Program (AITRP), led by FIC and in partnership with nine NIH institutes, supports research training that is critical to advancing the AIDS research agenda abroad. For example, with FIC support, investigators at the University of Nairobi and University of Washington have evaluated the rates of HIV transmission to infants through breastfeeding. In the new study, pregnant HIV-infected women joined groups that would either breastfeed or formula feed their infants. Among infants born to mothers in the breast-feeding group the risk of becoming HIV-infected increased by 76% in contrast to the formula fed group. Overall, 44 % of the HIV infections in infants born to mothers in the breastfeeding group were due to breast milk. This study clearly shows the need to prevent breast milk transmission and has major implications for development and deployment of public health interventions in resource-poor settings. Additional studies are now underway to address the related and complex social and economic implications on infant feeding. The International Cooperative Biodiversity Groups (ICBG) program is a unique effort, administered by the FIC, to integrate improvement of human health through drug discovery, incentives for ecological conservation, and new models of sustainable economic activity that focus on the environment, health, and equity. This program is based on the belief that the discovery and development of pharmaceutical and other useful agents from natural products can, under appropriate circumstances, promote sustained economic growth in developing countries while conserving the biological habitats from which these products are derived. The ICBG program is a partnership amongst nine Institutes and Centers of the National Institutes of Health (NIH), as well as the National Science Foundation (NSF) and the U.S. Department of Agriculture (USDA). Seven ICBGs are currently working in nine countries across Latin America, Africa and Asia, building research capacity in more than 16 different institutions and training hundreds of individuals. To date, over 5,000 species of plants, animals and microorganisms have been examined for biological activity in more than 22 different therapeutic areas. Numerous publications in chemistry, biodiversity

policy, conservation and ethnobiology have emerged from the funded ICBG groups. An example of such accomplishment includes efforts by researchers at Walter Reed Army Institute of Research to characterize a new mode of action for treatments against leishmaniasis and related parasitic diseases, and isolate promising lead compounds for this and other areas from traditional plant use in West Africa. Another example can be found in the stimulus and coordination of efforts for a new marine and terrestrial national park in Panama to protect 2600 square kilometers containing high biodiversity and many endemic species that will preserve the habitat for research projects like the ICBG, as well as other non-destructive activities. ICBG research and conservation initiatives are partially credited for this government's decision to establish Coiba National Park. In 2005, this park was designated a UNESCO World Heritage Site. FIC established an interagency, interdisciplinary research program in FY 2000 on ecology and infectious diseases. The first 12 projects supported by this interdisciplinary program will assess how large-scale man-made environmental events, such as habitat destruction, urban sprawl, water pollution, introduced species and agricultural intensification, all contribute to the emergence and spread of emerging infectious diseases in animals and humans. With FIC support, scientists at Case Western Reserve University and the Institute of Medical Research in Papua New Guinea have discovered a new mutation in a gene for the Duffy blood group that reduces susceptibility of red blood cells to malaria caused by Plasmodium vivax (P.vivax). This parasite must interact with the Duffy blood group factor on cells in order to infect them. Genetic epidemiologists have demonstrated that individuals with two copies of the mutant gene are resistant to P.vivax infection. Targeting the parasite's Duffy-binding protein and its interaction with the Duffy blood group may be a productive strategy for developing a vaccine for vivax malaria. The insights from this study not only suggest that it is feasible, but represent a conceptual model for addressing the more virulent malaria parasite, Plasmodium falciparum.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessment of: (1) the scientific merit and general significance of the proposed study or research training program and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to the announced program objectives. All pertinent evaluation criteria are provided in the RFAs and PAs.

93.990 NATIONAL HEALTH PROMOTION

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended, Title III, Section 301, Title XVII, Section 1701, 42 U.S.C. 300 k-1, 300(o).

OBJECTIVES:

To engage national membership organizations from various sectors as a means of expanding and coordinating health promotion efforts. To promote the development, implementation and coordination of programs that promote good health habits and programs that are designed to prevent disease and disability. These objectives are consistent with the General Authorities set forth in Sections 1701(a) and 301 of the Public Health Service Act.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance must be provided to satisfy program needs of the National Health Promotion Program, including but not limited to: (1) identifying or developing materials for health promotion programs for use by schools, medical treatment sites, worksites, and community health promotion programs; (2) adding to the scientific data base, especially to fill gaps identified in the "Healthy People 2010: National Health Promotion and Disease Prevention Objectives"; and (3) identifying the needs of special population groups, such as Blacks, Hispanics, Asian/Pacific Islanders, American Indians/Alaska Natives, those with disabilities or special health care needs, and elderly Americans.

Applicant Eligibility:

Public or private nonprofit organizations. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Proof of nonprofit status is required. Costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q.

Preapplication Coordination:

There are no preapplication requirements. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of

contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application kits may be requested by calling (240) 453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS e-Grants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS e-Grants Web site, <https://egrants.osophs.dhhs.gov>.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Health, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

Approximately 90 days from the application deadline date.

Appeals:

None.

Renewals:

Support is recommended for a specified project period, and is not generally considered for renewal beyond that period.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 3 years or less. Within a project period, continuation applications must be submitted on a noncompeting basis for each year of support.

Reports:

Because of substantial Federal involvement in the program, performance monitoring will be on a continuing basis. An annual Program and Financial Status Report shall be submitted within 90 days after the close of each budget period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for at least 3 years; records should be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0120-0-1-551.

Obligations:

Grants (Cooperative Agreements) FY 07 \$4,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$75,000 to \$275,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Healthy People 2010," 2 vols., Government Printing Office, Stock No. 017-001-00547-9 (\$70.00/set); "Healthy People 2010: Understanding and Improving Health," Government Printing Office, Stock No. 017-001-00550-9 (\$10.00);

"Tracking Healthy People 2010," Government Printing Office, Stock No. 017-001-00548-7 (\$67.00); "Healthy People 2010 CD-Rom," Government Printing Office, Stock No. 017-001-00549-5 (\$19.00).

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Director, Office of Disease Prevention and Health Promotion, 1101 Wootton Parkway, Suite 550, Rockville, MD 20853, Telephone (240) 453-8280. Grants Management Contact: Office of Grants Management, Office of Public Health and Science, Department of Health and Human Services, 1101 Wootton Parkway, Suite 550, Rockville, MD 20853, Telephone (240) 453-8822.

Web Site Address:

<http://www.health.gov/healthypeople>.

RELATED PROGRAMS:

93.226, Research on Healthcare Costs, Quality and Outcomes.

EXAMPLES OF FUNDED PROJECTS:

The Association of Teachers of Preventive Medicine (ATPM) seeks to incorporate healthy people into its organizational agenda.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be assessed according to ability to show: organizational goals consistent with the National Health Promotion Program; access to a nationwide network of local affiliates through which health information and health promotion resources can be decentralized; administrative capability and staff expertise to contribute to satisfaction of program need; detailed proposal including cost proposal in response to program announcement.

93.991 PREVENTIVE HEALTH AND HEALTH SERVICES BLOCK GRANT

(PHHS Block Grants)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, as amended; Omnibus Budget Reconciliation Act of 1981, Title XIX, Section 1905, Public Law 97-35, as amended; Preventive Health Amendments of 1984, Public Law 98-555; Health Omnibus Programs Extension Act of 1988, Public Law 100-607; Preventive Health Amendments of 1992, Public Law 102-531.

OBJECTIVES:

To provide States with the resources to improve the health status of the population of each grantee through: (A) activities leading to the accomplishment of the year 2000/2010 objectives for the nation; (B) rodent control and community-school fluoridation activities; (C) specified emergency medical services excluding most equipment purchases; (D) services for sex offense victims including prevention activities; and (E) for related administration, education, monitoring and evaluation activities.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Except as described below, Block Grant funds may be used for preventive health service programs for: (A) Activities to achieve improvements in the health status of populations through achievement of the year 2000/2010 health objectives for the nation; (B) preventive health service programs for the control of rodents and for community and school-based fluoridation programs; (C) feasibility studies and planning for emergency medical services systems and the establishment, expansion, and improvement of such systems; Funds may not be used to operate emergency medical services systems or to purchase more than 50 percent of the cost of communications equipment for such systems. (D) providing services to victims of sex offenses and prevention of sex offenses; (E) the establishment, operation, and coordination of effective and cost-efficient systems to reduce the prevalence of asthma and asthma related illnesses, especially among children; (F) related planning, administration, and educational activities; and (G) monitoring and evaluation activities related to (A) through (F).

Applicant Eligibility:

Only State and U.S. Pacific Territorial governments, the District of Columbia, the Kickapoo Tribe of Kansas and the Sante Sioux Tribe of Nebraska are eligible for Preventive Health and Health Services Block Grants.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program with special attention to disparately affected populations.

Credentials/Documentation:

Applications shall contain certification by the CEO of the State that the State has complied with provisions of and will meet the requirements of PHS Act, Title XIX, Section 1905, and Title XVII, Chapter 2 of the Omnibus Reconciliation Act of 1981. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Each State shall submit an application to the funding agency. Specific format is required, and forms are provided.

Award Procedure:

Applications are reviewed for completeness and for compliance with legislative requirements. Award is made to the applicant by the Centers for Disease Control and Prevention.

Deadlines:

Applications will be accepted beginning on October 1 of the eligible fiscal year.

Range of Approval/Disapproval Time:

About 3 weeks.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

For the basic block grant, an allocation percentage is determined for each State based on the amounts of fiscal year 1981 funds provided to the State for certain categorical health grants that were combined to comprise the Preventive Health and Health Services block grant to the total amount of fiscal year 1981 funds appropriated for these grant programs. For the services to rape victims and the Rape Prevention portion of the block grant, at least \$7,000,000 is allocated to States based on the percentage of each State's population to the national population. States may set aside no more than 10 percent of block grant funds for administrative costs. HHS can reserve a portion of a State's allotment for certain qualifying Indian tribes or tribal organizations, in proportion to a State's allotment distributed to such tribes in fiscal year 1981.

Length and Time Phasing of Assistance:

Each allotment is available for obligations over a 24-month period; payments are made through the Electronic Transfer System.

Reports:

Each State shall prepare and submit by February 1 of the following fiscal year (120 days beyond the funded fiscal year) to the Secretary annual reports on its activities to secure a record of the purposes for which funds were spent, and the progress made toward achieving the purposes for which funds were provided.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each State must maintain records which are consistent with their State laws and requirements.

Account Identification:

75-0943-0-1-551.

Obligations:

(Grants) FY 07 \$93,327,380 (FA: \$92,897,391; DA: \$429,989); FY 08 \$91,651,300; and FY 09 est not available.

Range and Average of Financial Assistance:

\$20,685 to \$6,847,385; \$1,529,957. (Note: A formula based on fiscal year 1981 allocations to States is used for the annual basic grant allocation.)

PROGRAM ACCOMPLISHMENTS:

In Indiana, PHHSBG funds were used to support the School Physical Activity and Nutrition Survey to obtain baseline data on nutrition and physical activity habits of children. In Illinois, PHHSBG funds are supporting a new program called HI TRACK to screen all newborns for congenital hearing loss before they leave the hospital. In Kansas, the Center for Health and Wellness in northeast Wichita used PHHSBG funds to provide 9,649 blood pressure checks and referred 1,328 people for follow-up. In New York, PHHSBG funds support the Childhood Lead Poisoning Program, which found 2,568 children to have elevated blood lead levels. In Iowa, PHHSBG funds helped develop Lighten Up Iowa to encourage people to adopt better nutrition and physical activity habits. In Texas, PHHSBG funds were used to establish a Hearne Community Garden in Robertson County to increase awareness of the importance of eating fruits and vegetables. In Wisconsin, PHHSBG funds are helping rural health departments develop multicounty environmental coalitions to eliminate food and water contamination, radon, asbestos, and other human health hazards. In Nebraska, only 22 (24%) of the 93 counties have a local health department. PHHSBG funds were used to develop a strategic plan to increase awareness of the benefits of a strong public health system. As a result, the state legislature allocated funding to establish district health departments to provide services to the 71 counties that did not have a health department. In Ohio, PHHSBG funds launched 21 cardiovascular health projects to increase the number of heart-healthy communities in areas of Ohio at high risk for heart disease. In California, PHHSBG funds helped to create The California Asthma Among School-Aged Children Project. In Florida, the PHHSBG funds the Pasco Community Intervention Program, which provides health screenings to migrant

farm workers of Mexican descent and promotes physical activity through programs such as Folklorico, an intergenerational dance program. In Minnesota, PHHSBG funds were used to develop teaching modules called the Core Essentials of Public Health: Applications for Public Health Nursing. CDC and PHHS Block Grantees worked cooperatively to develop the Grant Application and Reporting System (GARS). GARS is a dynamic accountability tool that formats basic PHHSBG information to identify the role that block grant dollars play in addressing health problems at state and local levels. GARS helps grantees establish health priorities and tie essential program activities to PHHSBG dollars that are spent on public health programs. The GARS applications and reports are submitted electronically to CDC via E-mail or a direct Internet connection.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 Code of Federal Regulations, Part 96 (Published in the Federal Register on July 6, 1982 and revised in the Federal Register, October 13, 1987).

Regional or Local Office:

None.

Headquarters Office:

Program Contact: Ms. Audrey Williams, Project Officer, National Center for Chronic Disease Prevention and Health Promotion (K30), Centers for Disease Control and Prevention, Department of Health and Human Services, 4770 Buford Highway, NE Atlanta, GA 30341-3724. Telephone: (770) 488-5941. Grants Management Contact: Veronica Davis, Grants Management Specialist, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3719, Atlanta, GA 30341. Telephone: (770) 488-2743.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Compliance with requirements of application procedure.

93.993 PUBLIC HEALTH RESEARCH ACCREDITATION PROJECT**FEDERAL AGENCY:**

CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Sections 301 and 317(k)(2) of the Public Health Service Act, 42 U.S.C. Section 241 and 247b(k)(2), as amended.

OBJECTIVES:

To assess the role of accreditation of human research protection programs to enhance protections afforded to persons involved in the full-range of public health research programs, e.g., epidemiologic research, health services research, and social and behavioral intervention research, as well as traditional biomedical research and clinical trials. Voluntary accreditation is one component of a national oversight system for protection of human subjects.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

This project will result in the development of pilot measures that can be used to assess the improvement of the ability of the public health infrastructure (such as State and local public health departments, schools of public health, and other public health research partners) to assess and monitor research involving human subjects. In year two, the pilot measures will be implemented in several locations, such as State or local health departments, schools of public health, or community-based organizations that engage in public health research, and will be evaluated for utility and feasibility in the public health setting. In year three, the measures will be refined and made available to public health research partners to document and evaluate the impact of accreditation as a process to improve protection of human subjects in public health research.

Applicant Eligibility:

Assistance will be provided only to a public, private, for-profit, or nonprofit organization that is currently actively engaged in the process of accrediting human research protection programs that represent the full range of activities, which are applicable to State, and local public health departments.

Beneficiary Eligibility:

Public, private, for-profit, or nonprofit organization that is currently actively engaged in the process of accrediting human research protection programs that represent the full-range of activities, which are applicable to State, and local public health departments.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, present their approach to meeting the objectives, and outline the methods of operating. Costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. For-profit organizations' cost are determined

in accordance with the Federal Acquisition Regulations, 48 CFR 31.

Preapplication Coordination:

Preapplication coordination is desired for research grants, but not required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit the signed original and two copies of PHS form 398. (OMB Number 0925-0001); adhere to the instructions on the Errata Instruction Sheet for PHS 398. Submit the application to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341-4146. Applications may not be submitted electronically.

Award Procedure:

Approved grants are funded based on a priority score ranking from an independent review group as well as availability of funds, secondary review and such other significant programmatic factors deemed necessary and appropriate by the agency. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 5152-1) indicates support recommended for remainder of project period (usually three to five years), allocations for Federal funds by budget categories, and special conditions, if any.

Deadlines:

Applications shall be considered as meeting the deadline if they are received before 4:00 p.m. Eastern Time on the deadline date.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

Continuation awards within an approved project period will be made on the basis of satisfactory progress as evidenced by required reports and the availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to three years subject to the availability of funds and satisfactory progress of the grantee.

Reports:

Interim progress report, no less than 90 days before the end of the budget period. The progress report will serve as your non-competing continuation application, and must contain the following elements: (1) Current Budget Period Activities Objectives; (2) Current Budget Period Financial Progress; (3) New Budget Period Program Proposed Activity Objectives; (4) Detailed Line-Item Budget and Justification; and (5) Additional Requested Information. Financial status report, no more than 90 days after the end of the budget period. Final financial and performance reports, no more than 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, these grants are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available to review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

FY 07 \$0; FY 08 \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Not applicable.

Headquarters Office:

For general questions about this announcement, contact: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341-4146, Telephone: (770) 488-2700. For business management and budget assistance, contact: Mattie Jackson, Procurement and

Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Atlanta, GA 30341-4146, Telephone: (770) 488-2696, E-mail: MJackson2@cdc.gov. For program technical assistance, Contact: Marylin Radke, MD, MPH, Office of the Chief Science Officer, Centers for Disease Control and Prevention, MS D-50, 1600 Clifton Road, N.E., Atlanta, GA 30333; Telephone: (404) 639-7260, E-mail: mizr4@cdc.gov.

Web Site Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the applicant's ability to identify a plan that will achieve the purpose of the program, background and qualifications of staff, measurable objectives, adequacy of methods to achieve objectives, Evaluation plan, timeline and the overall budget.

93.994 MATERNAL AND CHILD HEALTH SERVICES BLOCK GRANT TO THE STATES

(MCH Block Grants)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Social Security Act, Title V, Section 501(a) (1), as amended.

OBJECTIVES:

To enable States to maintain and strengthen their leadership in planning, promoting, coordinating and evaluating health care for pregnant women, mothers, infants, and children and children with special health care needs in providing health services for mothers and children who do not have access to adequate health care.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

States may use funds to develop systems of care for the provision of health services and related activities, including planning, administration, education and evaluation consistent with the State's annual application. Funds may not be used for (1) Inpatient services other than those provided to children with special health care needs or to high-risk pregnant women and infants and such other inpatient services as the Secretary may approve; (2) cash payments to intended recipients for health services; (3) purchase and improvement of land, construction or permanent improvement of buildings or purchase of major medical equipment; (4) matching other Federal grants; or (5) providing funds for research or training to any entity other than a public or private nonprofit entity. Beginning in FY 1991, States must use at least 30 percent of their Federal allotment for preventive and primary care services for children, and at least 30 percent for services for children with special health care needs. In addition, each State must establish and maintain a toll-free information number for parents on maternal and child health (MCH) and Medicaid providers. No more than 10 percent of each State's allotment may be used for administration.

Applicant Eligibility:

Title V MCH Block Grants are limited to States and insular areas.

Beneficiary Eligibility:

Mothers, infants, children, including CSHCN, and their families, particularly those of low-income.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Maternal and Child Health. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

Funds are allocated to States quarterly subsequent to the review and approval of the State application and Annual Report.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From one to two months.

Appeals:

None.

Renewals:

Funds will be made available annually to any State submitting an acceptable application.

Formula and Matching Requirements:

Funds are allocated among the States and jurisdictions in proportion to their relative shares of funds received under eight antecedent programs in fiscal year 1981. When funding exceeds the amount appropriated in fiscal year 1983, the additional funds are allocated in proportion to the poverty population under age 18. States must assure that \$3 of State or local funds will be expended for Maternal and Child Health purposes for every \$4 of Federal funds allotted through the formula mechanism.

Length and Time Phasing of Assistance:

Funds are awarded each fiscal year in quarterly installments and remain available for expenditure for the current and subsequent fiscal year.

Reports:

Each State must prepare and submit an annual report on the activities undertaken. Such reports will be in such form, and contain such information as the Secretary may require.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

(Grants) FY 07 \$561,718,437; FY 08 est \$551,241,460; and FY 09 \$553,702,453.

Range and Average of Financial Assistance:

\$151,665 to \$44,452,058; \$9,520,652.

PROGRAM ACCOMPLISHMENTS:

All 59 States and jurisdictions were awarded grants in FY 06, FY 07, and FY 08. The Maternal and Child Health Bureau has developed a web-based system of reporting that captures data contained in the States' Block Grant Application/Annual Reports. Designed initially to capture the qualitative programmatic information of the States, it has been modified according to the Bureau's performance measurement model to collect uniform quantitative data.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 96 as applicable and individual State Regulations.

Regional or Local Office:

Program Contact: Division of State and Community Health, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18-31, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2204.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.110, Maternal and Child Health Federal Consolidated Programs.

EXAMPLES OF FUNDED PROJECTS:

Each of the 59 States and jurisdictions determines its individual program priorities under its Block grant.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

93.995 ADOLESCENT FAMILY LIFE-DEMONSTRATION PROJECTS

¹ (AFL)

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title XX, Section 2003, Public Law 104-193, Public Law 98-512, 42 U.S.C. 300z-2, as amended; Public Laws 101-517; and Public Law 95-35.

OBJECTIVES:

To establish innovative, comprehensive, and integrated approaches to the delivery of care services for pregnant and parenting adolescents with primary emphasis on adolescents who are under 17 years of age. To promote abstinence from sexual relations through provision of age-appropriate education on sexuality and decision-making skills as the most effective method of preventing adolescent pregnancy and avoiding sexually transmitted diseases, including HIV/AIDS.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds for services under this program can only be used to: (1) Provide care services, prevention services, or both care and prevention services to eligible persons; (2) coordinate integrate and provide linkages among providers of care, prevention, and other services for eligible persons; (3) provide supplemental services to eligible persons in the community when existing services are not adequate or not available and are essential to the care of pregnant adolescents and/or to the prevention of adolescent sexual activity and adolescent pregnancy; (4) plan for the administration, evaluation, and coordination of pregnancy prevention services and programs of care for pregnant adolescents and adolescent parents to further the objectives of this legislation; and (5) fulfill assurances required for grant approval. Funded projects may not provide or subcontract for the provision of abortions or abortion counseling or referral (with exceptions).

Applicant Eligibility:

Public (including city, county, regional, and State government) organizations; and private nonprofit organizations.

Beneficiary Eligibility:

For prevention services: non-pregnant adolescents and their families. For care services: pregnant adolescents, infants, adolescent parents, and their families. Primary emphasis should be given to unmarried adolescents 17 years of age and under.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-122 for nonprofit organizations. Faith based organizations are eligible to apply.

Preapplication Coordination:

There is no preapplication coordination requirement. The Governor(s) of the State(s) in which the project is to be located is to be given 60 days in which to review and comment on applications for funding under this authority. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the Public Health Systems Reporting Requirements.

Application Procedure:

Application kits may be requested by calling (240) 453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm>. The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS e-Grants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS e-Grants Web site, <https://egrants.osophs.dhhs.gov>.

Award Procedure:

All applications and proposals are read by a panel of independent experts who make recommendations for action to the Office of Adolescent Pregnancy

Programs. After considering the recommendation of this panel, the Deputy Assistant Secretary for Population Affairs makes the final decision on the funding of applications. All applicants are notified in writing of actions taken on their applications. A Notice of Grant Award is issued for those applications that are approved and funded.

Deadlines:

Contact the Headquarters Office for application deadlines or visit our web page at www.opa.osophs.dhhs.gov.

Range of Approval/Disapproval Time:

From 3 to 5 months.

Appeals:

None.

Renewals:

Renewal awards may be approved pending availability of funding, and evidence of satisfactory progress and compliance.

Formula and Matching Requirements:

Federal participation is limited to 70 percent of total project costs for the first and second years and decreases in each subsequent year of funding.

Length and Time Phasing of Assistance:

Grants may not exceed 5 years (subject to the availability of funds). Payments will be made either on a monthly cash request basis or under the Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued at the time an award is made.

Reports:

Grantees must submit annual and final progress and evaluation reports. Expenditure reports are required 90 days after the end of each budget period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-550.

Obligations:

(Grants) FY 07 \$25,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$250,000 to \$375,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Contact: Ms. Johanna Nestor, Office of Adolescent Pregnancy Programs, Office of Population Affairs, Department of Health and Human Services, 1101 Wootton Parkway, Suite 700, Rockville, MD 20852. Telephone: (240) 453-2828. Grants Management Contact: Karen Campbell, Director, Office of Grants Management, Office of Public Health and Science, Department of Health and Human Services, 1101 Wootton Parkway, Suite 550, Rockville, MD 20853, Telephone (240) 453-8822.

Web Site Address:

<http://www.opa.osophs.dhhs.gov>.

RELATED PROGRAMS:

93.111, Adolescent Family Life Research Grants.

EXAMPLES OF FUNDED PROJECTS:

Projects to provide care services, prevention services, or both care and prevention services to eligible persons; projects to coordinate, integrate, and provide linkages among providers of care, prevention, and other services for eligible persons; projects to provide supplemental services where these services are not adequate or not available to eligible persons and which are essential to the care of pregnant adolescents and to the prevention of adolescent sexual relations and adolescent pregnancy; and projects which test new approaches to providing care and prevention services which are national in scope.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must contain care and/or prevention services requirements specified in the statute. Priority will be given to applicants who: (1) Serve an area (a) where there is a high incidence of adolescent pregnancy, (b) where the incidence of low-income families is high, and (c) where the availability of programs for adolescents as specified in this act is low; (2) show evidence (a) for care services, of ability to deliver wide range of required services on single-site or network basis, or (b) for prevention services, ability to provide services targeted to population; (3) will utilize to maximum extent possible (a) existing programs and facilities, and (b) other sources of funding; (4) can demonstrate community commitment to the project; (5) have involved community in developing the project; (6) will demonstrate innovative approaches; and (7) demonstrate the reasonableness of the estimated cost considering the anticipated results.

93.996 BIOTERRORISM TRAINING AND CURRICULUM DEVELOPMENT PROGRAM

(BTCDDP)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Security and Bioterrorism Preparedness and Response Act of 2002, Public Law 107-188, Section 105, Education of Health Care Personnel; Training Regarding Pediatric Issues, Section 319F(g) of the Public Health Service Act as re-designated by Section 104(a)(2) of this Act.

OBJECTIVES:

To develop a health care workforce with the knowledge, skills, abilities and core competencies to: (1) recognize indications of a terrorist event; (2) meet the acute care needs of patients, including pediatric and other vulnerable populations, in a safe and appropriate manner; (3) participate in a coordinated, multidisciplinary response to terrorist events and other public health emergencies, and include consideration of surge capacity issues; and (4) rapidly and effectively alert the public health system of such an event at the community, State, and national level. Emergency preparedness and response issues include other forms of terrorism (such as the use of chemical, explosive, incendiary or nuclear agents against civilian populations), natural disasters and catastrophic accidents. Effective responses to public health emergencies require close collaboration among all types of health professionals involved in patient care including allied health professionals, medical and dental specialists, nurses, pharmacists, mental health and other professionals, the public health system, and the emergency response system. To achieve such a collaborative environment, it will be necessary to implement new and enhanced models of undergraduate/graduate curricula and continuing education and training for health professionals. The Bioterrorism Training and Curriculum Development Program (BTCDDP) consists of two discrete foci of activity: 1) Provision of Continuing Education for Practicing Providers (CE); and 2) Curriculum Development in Health Professions Schools (CD). Each of the categories above must have a distinct and separate application.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Applicants shall demonstrate linkages and relationships with entities such as the CDC Public Health Preparedness and Response for Bioterrorism Program awardees, the HRSA National Bioterrorism Hospital Preparedness Program awardees and others that provide emergency preparedness and response training. Proposals shall indicate that the training supported with these funds will not only focus on the discipline specific knowledge, skills abilities and competencies needed to recognize, treat and efficiently report instances of a terrorist event, but will also prepare the learners to participate in a multidisciplinary terrorist response reflecting a two-tiered approach. Each course of study shall include both discipline-appropriate clinically oriented material and team coordination to respond to terrorist events. These activities will outline the integrated professional roles and responsibilities inherent in a community response and will include participation in drills, exercises and/or simulations occurring in the state or regions. Proposals addressing the continuing education component of this program shall involve at least three disciplines and shall establish and maintain a programmatic advisory board with members including representatives of the CDC Public Health Preparedness and Response for Bioterrorism Program, the HRSA National Bioterrorism Hospital Preparedness Program, an academic health center, other health professions schools and both service providers and consumers from the area served by the program. Proposals addressing the curriculum development component of this program shall show that funds awarded will be utilized in conjunction with no less than three health care disciplines. Whereas one school, the applicant, will be held responsible for implementing the curriculum, training must be used by no less than three disciplines. Applicants shall demonstrate collaboration with the Department of Homeland Security (DHS) National Response Plan, December 2004.

Drills/exercises in which BTCDP awardees participate shall be compliant with the DHS National Incident Management System (NIMS).

Applicant Eligibility:

Eligible Applicants For Continuing Education: The entities eligible to apply for this program are academic health centers; other public or private nonprofit accredited or licensed health professions schools; other educational entities such as professional organizations and societies; private accrediting organizations; other nonprofit institutions or entities including faith-based organizations and community-based organizations; and multi-state or multi-institutional consortia of various combinations of these eligible entities. Eligible Applicants For Curricular Enhancement or Curriculum Development: The entities eligible to apply for this program are public or private nonprofit accredited or licensed health professions schools; other educational entities such as professional organizations and societies; and other nonprofit institutions or entities including faith-based organizations and community-based organizations. To apply for funding for Curriculum Development, an entity that is not a health professions school must provide a written agreement with a health professions school demonstrating that the health professions school will participate in carrying out the project and will implement the newly developed or the modified/enhanced curriculum. This agreement must describe the roles of the entity and collaborating health professions school.

Beneficiary Eligibility:

The entities eligible to apply for the Continuing Education focus are academic health centers; other public or private nonprofit accredited or licensed health professions schools; other educational entities such as professional organizations and societies; private accrediting organizations; other nonprofit institutions or entities including faith-based organizations and community-based organizations; and multi-state or multi-institutional consortia of various combinations of these eligible entities. The entities eligible to apply for the Curriculum Enhancement or Curriculum Development focus are public or private nonprofit accredited or licensed health professions schools; other educational entities such as professional organizations and societies; and other nonprofit institutions or entities including faith-based organizations and community-based organizations.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

None.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 3 to 4 months after submission deadline.

Appeals:

None.

Renewals:

Competing continuation applications may be submitted during the final budget year of the approved project.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project periods are limited up to three years.

Reports:

Grantees must submit an annual Uniform Progress Report to describe progress in meeting the objectives stated in the application. A financial status report is required within 90 days of the end of each grant year. The report is an accounting of expenditures under the project that year. Final progress and financial reports must be submitted within 90 days after the end of the project period. Awardees shall report a list and brief description of all training courses conducted by this program to include course title and description, objectives, target audience by discipline, contact hours, evaluation results, lessons learned and delivery mechanism every 6 months.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements are provided in Title 45, CFR, Parts 74 and 92.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$21,000,000; FY 08 est not available; and FY 09 not reported.

Range and Average of Financial Assistance:

Range of Awards For FY 05: Continuing Education (CE): \$684,510 to \$1,500,000; Average CE Award: \$1,271,460. Curriculum Development: \$77,130 to \$200,000; Average CD Award: \$152,526.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

Terri Spear, Branch Chief, Emergency Training Branch, Division of Healthcare Preparedness, Healthcare Systems Bureau, HRSA, 5600 Fishers Lane, Room 13-103, Rockville, MD 20857. Telephone: (301) 443-4912 (voice), (301) 443-4922 (fax), Email: tspear@hrsa.gov.

Headquarters Office:

Grants Management Office: Oscar Tanner, Director, Division of Grants Management, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch. Telephone: (301) 443-2385; Research and Training Branch. Telephone: (301) 443-3099; Government and Special Focus Branch. Telephone: (301) 443-3288.

Web Site Address:

www.hrsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

A listing and descriptions of funded programs is available at: <http://bhpr.hrsa.gov/publichealth/03abstracts/biocd.htm>.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed by nonfederal consultants who make recommendations to the Secretary of the Department. The Secretary will approve projects that promote the purposes of the Bioterrorism Training and Curriculum Development Program. Review criteria: the following review criteria apply to the Bioterrorism Training and Curriculum Development Program. The review of applications will take into consideration the following criteria: (1) NEED The level of preparedness among the current or anticipated health workforce to respond to bioterrorism and other public health emergencies in the target area (e.g., the state); how the program will fill or address the identified health personnel training gaps/needs in a state; how the program will improve the health workforce, practice and education; how the program will affect the state or region's (target area) bioterrorism and other public health emergency preparation and response; the extent to which the program identifies the competencies for bioterrorism preparedness already developed for the specific professions proposed to be trained; evidence of an enrollee/program participant pool, which may include underrepresented minorities/disadvantaged; and how the project identifies the geographical area that will be covered, as illustrated by a map to be included in the Appendices; (2) RESPONSE The purpose is consistent with the legislative purpose and is clearly described; the extent to which the proposed project responds to the "Need" included in the program description; the clarity of the proposed goals and objectives and their relationship to the identified project; the extent to which the activities (scientific or other) described in the application addresses the problem and is focused on attaining the project objectives; and the extent to which the project addresses existing discipline-specific competencies on bioterrorism preparedness; (3) EVALUATIVE MEASURES The effectiveness of the method proposed to monitor and evaluate the project results. Evaluative measures must be able to assess: 1) to what extent the program objectives have been met, and 2) to what extent these can be attributed to the project; (4) IMPACT The extent and effectiveness of plans for dissemination of project results and/or the extent to which project results may be national in scope and/or degree to which the project activities are replicable, the potential effectiveness and usefulness of the data-sharing plan, and/or the sustainability of the program beyond the Federal funding; (5) RESOURCES/CAPABILITIES The extent to which project personnel are qualified by training and/or experience to implement and carry out the projects. The capabilities of the applicant organization, and quality and availability of facilities and personnel to fulfill the needs and requirements of the proposed project; (6) SUPPORT REQUESTED Effective use of funds and

resources to carry out the project; a reasonable budget aligned with the objectives and the scope of the project and numbers of disciplines and trainees involved; and specific information that describes the extent and means by which the project plans to become self-sufficient including other sources of income, the nature of income, future funding initiatives and strategies; (7) SPECIFIC PROGRAM CRITERIA Related to the focus selected for the application; the degree to which the applicant describes a comprehensive, coordinated multidisciplinary approach to the training of health professionals; and a clear relationship between the program purpose and project objectives must be demonstrated throughout the application.

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

94.002 RETIRED AND SENIOR VOLUNTEER PROGRAM

(RSVP)

FEDERAL AGENCY:

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title II, Part A, Section 201, Public Law 93-113, 42 U.S.C. 5001, as amended; National and Community Service Trust Act of 1993, Public Law 103-82.

OBJECTIVES:

The Retired and Senior Volunteer Program (RSVP) provides grants to qualified agencies and organizations for the dual purpose of engaging persons 55 and older in volunteer service to meet critical community needs; and to provide a high quality experience that will enrich the lives of volunteers.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Volunteers are not to supplant hiring, displace employed workers, or impair existing contracts for service. No agency supervising volunteers shall request or receive compensation for services of the volunteers. Volunteers are not to be involved in and funds are not to be used for religious activities, labor or anti-labor organizations, lobbying, or partisan or non-partisan political activities. Grants may be used for staff salaries and fringe benefits, staff travel, equipment, and related expenses, and for volunteer out-of-pocket expenses, primarily for transportation. In addition, eligible agencies or organizations may, with a Notice of Grant Award from the Corporation for National and Community Service, receive technical assistance and materials to aid in establishing and operating non-Corporation funded RSVP projects using local funds.

Applicant Eligibility:

Grants are made only to public agencies, including state and local government agencies and private nonprofit organizations.

Beneficiary Eligibility:

Persons age 55 and older who are willing to volunteer on a regular basis.

Credentials/Documentation:

The applicant must furnish evidence of: capacity to operate direct community service programs; experience and interest in the needs of older adults; and the ability to develop strong community financial and programmatic support. Nonprofit organizations must furnish: proof of nonprofit status, articles of incorporation and certification of accounting capability. Costs will be determined in accordance with OMB Circulars No. A-21 for educational institutions, No. A-87 for State and local governments, and No. A-122 for nonprofit organizations.

Preapplication Coordination:

Organizations interested in exploring the possibility of developing a local RSVP project should contact the appropriate Corporation for National and Community Service State Program Office. The Corporation for National and Community Service issues application forms to applicants who have established their eligibility. The standard application forms (modified by the Corporation for National and Community Service with OMB approval), as furnished by the Corporation for National and Community Service and required by OMB Circular No. A-102 must be used for this Program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the Program for review.

Application Procedure:

Applications for new projects are submitted to the Corporation for National and Community Service. This Program is subject to the provisions of OMB Circular No. A-110 and A-102 for State and local governments.

Award Procedure:

Grants are awarded by the Corporation for National and Community Service. States will be notified of awards through the Federal Assistance Awards Data System (FAADS).

Deadlines:

Contact the Corporation for National and Community Service State Office for application deadlines.

Range of Approval/Disapproval Time:

120 days after receipt of application by the Corporation for National and Community Service.

Appeals:

No formal appeals for denial of initial grant application, but regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding.

Renewals:

Grant renewal applications, usually required annually, are submitted 120 days prior to the end of the budget period.

Formula and Matching Requirements:

This Program has no statutory formulas. The RSVP sponsor is responsible for generating needed financial support for the RSVP project from all sources, Federal and nonfederal, including grants, cash and in-kind contributions, to meet the budgeted costs of the project. The sponsor will supplement a Corporation for National Service grant with other support to the fullest extent possible. As a guide to the level of local support expected, 10 percent of the total budget can be required for the first year, 20 percent for the second year, and 30 percent in any subsequent years. The level of local support negotiated may be higher or lower than these figures, as mutually agreed to by the Corporation for National and Community Service and the sponsor, and as justified by local conditions. Sponsors proposing to contribute local support of less than 30 percent of the total project budget for the third or succeeding years must provide the Corporation for National and Community Service with an acceptable written justification for the lower level of support.

Length and Time Phasing of Assistance:

Grant project periods are generally three years, with one year budget periods. Grant payments are through the DHHS Payment Management System.

Reports:

Quarterly Financial Status Report, Periodic Project Progress Report, Quarterly Federal Cash Transactions Report, Annual Project Profile and Volunteer Activity Survey; Customer Satisfaction Survey.

Audits:

Corporation for National and Community Service grants are subject to audit by the Corporation for National and Community Service, the General Accounting Office, other Federal agencies, and contract auditors. In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," grantees that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with OMB Circular No. A-133.

Records:

All financial records for each budget period, including receipts, disbursements, and vouchers for Federal and nonfederal costs, copies of all contracts, personnel records, and job descriptions must be available for a period of three years from date of submission of the Final Financial Status Report.

Account Identification:

44-0103-0-1-506.

Obligations:

(Grants) FY 07 \$59,685,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$0 to \$847,000; \$68,800.

PROGRAM ACCOMPLISHMENTS:

More than 485,000 older persons participated in the Corporation for National and Community Service and non-Corporation RSVP projects. They contributed almost 77,000,000 hours of service to over 65,000 local organizations. Service opportunities continue in intergenerational activities, education, in-home care, consumer education, public safety, homeland security, mentoring children of prisoners and other health and human service activities. To ensure maximum utilization of program resources, RSVP will provide guidance and technical assistance to other agencies to expand opportunities available to older people.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 2553; Retired and Senior Volunteer Program flyers.

Regional or Local Office:

Corporation for National and Community Service State Program Offices as listed in Appendix IV of the Catalog.

Headquarters Office:

National Senior Service Corps, Retired and Senior Volunteer Program, Corporation for National and Community Service, 1201 New York Avenue, NW., Washington, DC 20525. Telephone: (202) 606-6715.

Web Site Address:

<http://www.nationalservice.org>.

RELATED PROGRAMS:

94.011, Foster Grandparent Program; 94.016, Senior Companion Program.

EXAMPLES OF FUNDED PROJECTS:

The following exemplifies RSVP: An RSVP service volunteer, who is a retired architect and engineer, designs homes for low-income families displaced by midwestern floods. Another volunteer, who is bilingual, uses her fluency in English and Japanese to help non-English speaking Japanese-American seniors access community resources and live independently. Another RSVP volunteer is the coordinator, fund-raiser and supervisor of over 30 other RSVP volunteers who operate an inner-city soup kitchen which feeds more than 250,000 homeless and disadvantaged persons annually. In another instance, RSVP volunteers organized neighborhood watches for an entire city of more than 45,000. As a result, the police noted a 58 percent reduction in crime in some areas. RSVP provides creative opportunities for older people to serve their communities by responding to locally identified needs. The following statistics suggest the scope

of their service: During fiscal year 1997, over 127,000 RSVP volunteers served at 10,400 acute care hospitals, clinics, and other medical care facilities. Over 30,700 volunteers served in over 6,000 school systems. Over 100,000 served at congregations and Meals on Wheels programs. Other volunteers served with police departments, Head Start centers, libraries and ground water protection agencies. In aggregate, they served over 80 million hours.

CRITERIA FOR SELECTING PROPOSALS:

Program Design: (1) Well- documented compelling community need(s); (2) well-designed activities with measurable goals and objectives that meet community needs (3) well- defined roles for participants that lead to measurable outcomes/impact; (4) effective involvement of target community in planning/implementation; and (5) ability to provide or secure effective technical assistance. **Strengthening Communities:** (1) Strong community partnerships, including well-defined roles for community partners; (2) potential for sustainability, innovation, and/or replicability of project activities; (3) enhanced capacity of organizations and institutions; (4) mobilization of community resources, including volunteers; and (5) bring together people of diverse backgrounds. **Participant Development:** (1) Effective plans for recruiting, developing, training, supervising, and recognizing participants; (2) well-designed plan to engage participants in high-quality service-learning as defined by the corporation; and (3) well-designed plan for participants to learn to serve together with people of diverse backgrounds. **Organizational Capacity:** (1) Ability to provide sound programmatic and fiscal oversight; (2) Sound track record in the issue areas(s) to be addressed by the project; (3) Well-defined roles for staff and administrators; and (4) well-designed plans or systems for self-assessment, evaluation and continuous improvement. **Budget/Cost-Effectiveness:** (1) Adequate budget to support program design; (2) commitment of applicant organization/host agency to securing resources for program, implementation and/or sustainability; and (3) cost-effective within program guidance.

94.003 STATE COMMISSIONS

FEDERAL AGENCY:

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

National and Community Service Act of 1990, as amended.

OBJECTIVES:

To develop a State plan; to assist States in the application process; and to provide oversight of funded AmeriCorps programs within each State. The funds enable States to form a 15 to 25 member, independent, bipartisan commission appointed by a governor to implement service programs in their State.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are used to operate a commission to oversee service programs.

Applicant Eligibility:

State governments, District of Columbia, and the Commonwealth of Puerto Rico may apply.

Beneficiary Eligibility:

States will benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

State commission administrative grant proposals must be submitted to the Corporation.

Award Procedure:

For final procedures contact the Headquarters Office.

Deadlines:

Contact the Corporation for deadline dates.

Range of Approval/Disapproval Time:

The range is from one to two months.

Appeals:

None.

Renewals:

Renewals are annual.

Formula and Matching Requirements:

Cost-sharing is involved over time. The amount varies from year to year.

Length and Time Phasing of Assistance:

Time frames have not been established.

Reports:

Financial status reports and reports of accomplishments are required.

Audits:

Audit must be performed as required by the Law.

Records:

Required records to will be spelled out in the individual grant.

Account Identification:

95-2720-0-1-506.

Obligations:

FY 07 \$12,516,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$125,000 to \$750,000.

PROGRAM ACCOMPLISHMENTS:

Examples are not currently available

REGULATIONS, GUIDELINES, AND LITERATURE:

For detailed information contact the Headquarters Office.

Regional or Local Office:

See the Corporation for National and Community Service's listing in Appendix IV of the Catalog.

Headquarters Office:

Corporation for National and Community Service, 1201 New York Avenue, NW., Washington, DC 20525. Telephone: (202) 606-6715.

Web Site Address:

<http://www.nationalservice.org>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funds are given to State commissions.

CRITERIA FOR SELECTING PROPOSALS:

Governors must request funding and work directly with the Corporation. For more details, contact the Corporation.

94.004 LEARN AND SERVE AMERICA-SCHOOL AND COMMUNITY BASED PROGRAMS

FEDERAL AGENCY:

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

National and Community Service Act of 1990, as amended.

OBJECTIVES:

To encourage elementary and secondary schools and community-based agencies to create, develop, and offer service-learning opportunities for school-age youth; educate teachers about service-learning and incorporate service-learning opportunities into classrooms to enhance academic learning; coordinate adult volunteers in schools; and introduce young people to a broad range of careers and encourage them to pursue further education and training.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used for a wide range of activities including: planning and capacity building; operating and expanding service-learning programs through grants to local partnerships; implementing and expanding school-based programs involving adult volunteers to use service-learning to improve the education of students; and providing training and technical assistance to qualified organizations. Corporation assistance may not be used to provide religious instruction, conduct worship services or engage in any form of proselytization; to assist, promote, or deter union organizing; to finance, directly or indirectly, any activity designed to influence the outcome of an election to any public office; or to impair existing contracts for service of collective bargaining agreements.

Applicant Eligibility:

State education agencies, State Commissions on National Service, U.S. Territories, Indian tribes, and public or private nonprofit entities may apply.

Beneficiary Eligibility:

School-based program participants must be enrolled in elementary or secondary school. Community-based program participants must be between the ages of 5 and 17.

Credentials/Documentation:

None.

Preapplication Coordination:

Applicants should contact the Corporation for specific instructions. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the Corporation for specific details.

Award Procedure:

Contact the Corporation for specific details.

Deadlines:

Contact the Corporation for specific details.

Range of Approval/Disapproval Time:

A range has not been established.

Appeals:

None.

Renewals:

Based on grantee progress, the quality of the renewal plans, and the availability of funds.

Formula and Matching Requirements:

The Corporation's share of the total cost of carrying out a funded program may not exceed 90 percent for the first program year; 80 percent for the second year; 70 percent for the third year; 50 percent for the fourth and any subsequent year.

Length and Time Phasing of Assistance:

Grants are for up to a 3-year period. Funding is awarded on an annual basis

subject to annual review and the availability of funds.

Reports:

Reports should be made as required by Law.

Audits:

Audits must be performed as required by the Law.

Records:

Records are required to be maintained as spelled out in the individual grant.

Account Identification:

95-2720-0-1-506.

Obligations:

(Grants) FY 07 \$25,616,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$20,000 to \$2,376,360; \$298,610.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2002, it is estimated that Learn and Serve America: School and Community based programs engaged over 1,500,000 school-age youth in service-learning programs in local schools and communities nationwide. These programs provide opportunities for youth to learn and develop while addressing unmet needs in the areas of education, public safety, health, and environment. To accomplish the program's overarching goals of promoting academic excellence, fostering civic responsibility, and impacting communities, the Corporation has identified five programmatic priorities. The priorities are building capacity and an infrastructure to promote and support high quality service-learning efforts; forming partnerships with other educational improvement initiatives; qualitative/quantitative research and evaluation; linking service-learning to other types of national and community service efforts; and forming partnerships with citizen groups, community organizations and institutions of higher education. To ensure the quality of Learn and Serve America: School and Community based programs, the Corporation will provide guidance and technical assistance to funded agencies and organizations, and others interested in expanding service learning opportunities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Corporation's Headquarters Office. The Corporation's requirements for Learn and Serve America are set forth in the regulations published in the Federal Register on March 23, 1994, CFR 2515 to 2519.

Regional or Local Office:

See Appendix IV of the Catalog for a list of regional offices.

Headquarters Office:

Corporation for National and Community Service, 1201 New York Avenue, NW., Washington, DC 20525. Telephone: (202) 606-6715.

Web Site Address:

www.nationalservice.org.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In a Minnesota service-learning program, high school students visit the St. Louis River as part of a chemistry class to test water quality and initiate local pollution control. Third graders, in an Indiana program, spend time with seniors in nursing homes. Information is gathered and friends are made of long-time residents of their community as part of an English Project for a book on local history.

CRITERIA FOR SELECTING PROPOSALS:

Grant application are reviewed against the following criteria: (1) Program design (2) organizational capacity, and (3) budget/cost-effectiveness.

94.005 LEARN AND SERVE AMERICA-HIGHER EDUCATION

FEDERAL AGENCY:

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

National and Community Service Act of 1990; as amended and the Higher Education Act of 1965.

OBJECTIVES:

This grant program has two main objectives: (1) To support high quality service learning projects that engage students in meeting community needs with demonstrable results, while enhancing students' academic and civic learning; and (2) to support efforts to build capacity and strengthen the service infrastructure with institutions of higher education.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To strengthen the service-learning infrastructure and support high quality programs.

Applicant Eligibility:

Entities eligible to receive a grant are limited to individual institutions of higher education (as defined by the Higher Education Act of 1965), consortia of institutions of higher education, and nonprofit organizations or public agencies, including States, working in partnership with one or more institutions of higher

education.

Beneficiary Eligibility:

Graduate and undergraduate students, faculty members, local and national nonprofit organizations, and public agencies will benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

Grant applicants apply directly to the Corporation for National and Community Service through an annual grant cycle. Selected institutions and organizations receive grants directly from the Corporation. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the Corporation for specific details.

Award Procedure:

Contact the Corporation for specific details.

Deadlines:

Contact the Corporation for National and Community Service headquarters.

Range of Approval/Disapproval Time:

A range has not been established.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Grant recipients are required to provide a cash and/or in-kind match of an amount equal to or greater than the amount of the grant award.

Length and Time Phasing of Assistance:

The Corporation awards grants for a one-year period, with the possibility of renewal in each of the two following years, contingent upon program performance and the availability of funds.

Reports:

None.

Audits:

Audits must be performed as required by the Law.

Records:

None.

Account Identification:

95-2720-0-1-506.

Obligations:

(Grants) FY 07 \$8,539,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$40,000 to \$320,000; \$188,600.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2002, the Corporation awarded continuation grants to support 57 service-learning programs at colleges and universities across the Nation. These programs engaged over 30,000 students at over 300 institutions of higher education in service activities such as tutoring, mentoring, health outreach and education, primary and preventive health care, conflict resolution, neighborhood clean-up and revitalization, gang violence, and substance abuse prevention. Through structured reflection and related course work, the students translate their service activities into opportunities for academic and civic learning. The Corporation monitors and provides technical assistance to funded programs in order to enhance quality and networking opportunities. In fiscal year 2003, the Corporation's funding will support approximately 62 continuing programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application regulations have been specified in the Law. Contact the Corporation's headquarters office for further details.

Regional or Local Office:

See Appendix IV of the Catalog for a list of State Program Offices.

Headquarters Office:

Corporation for National and Community Service, 1201 New York Avenue, NW., Washington, DC 20525. Telephone: (202) 606-6715.

Web Site Address:

www.nationalservice.org.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Over 150 teacher education students at three State colleges are learning how to use service-learning in K-12 classrooms, while working alongside K-12 students in service projects assisting the homebound elderly. (2) As part of a credit bearing clinical program, over 35 law students at a private university are providing legal assistance to low-income communities in order to reduce toxic exposure and work place environmental hazards. (3) As part of their clinical rotations, over 250 nursing and allied health professions students from one private and one community college are providing community-based health education and primary care to senior citizens and low-income people. (4) A national organization of community colleges is providing subgrants, technical assistance, and networking opportunities to eight member colleges engaged in developing service learning projects and infrastructure.

CRITERIA FOR SELECTING PROPOSALS:

Grant applications are reviewed against the following quality criteria: (1)

Program design, (2) organization capacity, and (3) Budget/Cost-Effectiveness. All applications are evaluated in a multi-stage process that includes a peer review. Continuation applications are reviewed by corporation staff.

94.006 AMERICORPS

FEDERAL AGENCY:

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

National and Community Service Act of 1990, as amended.

OBJECTIVES:

AmeriCorps is the cornerstone of the Corporation's national service programs. AmeriCorps addresses pressing education, public safety, human, and environmental needs of our Nation's communities by encouraging Americans to serve either part or full-time. AmeriCorps programs have four goals: 1) Getting things done by providing services with direct and demonstrable results; 2) strengthen communities and uniting individuals of different backgrounds in a common effort to improve their communities; 3) encourage responsibility through service and civic education (AmeriCorps enables members to see themselves as leaders and problem solvers and fulfill their responsibilities to their communities); and 4) expand opportunities in return for devoting a year of their lives to national service. AmeriCorps members may receive education awards for qualified postsecondary education expenses or to pay off qualified student loans.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds must be used to operate or plan national and community service programs.

Applicant Eligibility:

States, District of Columbia, Puerto Rico, Tribes, Territories, national nonprofit organizations, professional corps, and multi-State organizations may apply.

Beneficiary Eligibility:

States, District of Columbia, Puerto Rico, Tribes, Territories, national nonprofit organizations, professional corps, and multi-State organizations will benefit.

Credentials/Documentation:

None

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the Corporation for specific information.

Award Procedure:

Contact the Corporation for specific award information.

Deadlines:

Contact the Corporation for deadline dates.

Range of Approval/Disapproval Time:

The time ranges from 2 to 3 months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Fifteen percent living allowances for AmeriCorps members; 33 percent operating and support expenses must be matched. (The funds are allocated as follows: 1) State formula programs. One-third of the fiscal year AmeriCorps funds will be allocated to States according to a population based formula; 2) State competitive programs. At least one-third of the fiscal year AmeriCorps funds will be distributed to programs that are first selected by the States and then submitted to the Corporation for competitive consideration; 3) National direct. Remaining fiscal year funds will be distributed directly to programs operated by national nonprofit organizations, professional corps and programs operating in more than one State; and 4) Set-asides are estimated at two percent of the fiscal year AmeriCorps funds. Set-aside for Indian Tribes and Territories, one percent each.

Length and Time Phasing of Assistance:

A time frame has not been established.

Reports:

As required by the Law.

Audits:

Audits must be performed as required by the Law.

Records:

All records necessary to perform a proper audit must be retained.

Account Identification:

95-2720-0-1-506.

Obligations:

(Grants) FY 07 \$258,960,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

The anticipated program grant sizes (excluding planning grants) will range from approximately \$200,000 for State formula programs to \$3,000,000 for National Directs.

PROGRAM ACCOMPLISHMENTS:

In the 2006-2007 program year, about 67,350 Americans of all ages will serve in AmeriCorps projects. The Corporation has identified four issue areas: education, public safety, human needs and environment. The vast majority of AmeriCorps projects funded will work within these priority areas with a special emphasis on children and youth.

REGULATIONS, GUIDELINES, AND LITERATURE:

As described in the Law. Contact the Corporation's headquarters office for further details.

Regional or Local Office:

A list has been included in Appendix IV of the Catalog. State commissions should also be contacted.

Headquarters Office:

Corporation for National and Community Service, 1201 New York Avenue, NW., Washington, DC 20525. Telephone: (202) 606-6715.

Web Site Address:

<http://www.nationalservice.org>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

AmeriCorps members serve in area elementary schools as teaching assistants, tutors, mentors, and role models. AmeriCorps members develop and lead after school programs, work with community volunteers to develop community service projects, assist in immunization projects, renovate housing in low-income areas, tutor elementary children, and staff a crisis help-line for parents of at-risk children; work to improve relationships between community youth and local law enforcement officials by performing outreach, developing public safety instructional materials, conducting conflict resolution classes, and developing crime watch programs. AmeriCorps members have worked to transform a city sludge farm into a nature preserve, restore a polluted swamp to a thriving natural lake, test area drinking water, and create environmental public service announcements.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the application guidelines.

94.007 PLANNING AND PROGRAM DEVELOPMENT GRANTS

FEDERAL AGENCY:

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

National and Community Service Act of 1990, as amended.

OBJECTIVES:

Innovation grants support innovative demonstration service programs that build the ethic of service among Americans of all ages and backgrounds. These include the AmeriCorps Education Award Program, AmeriCorps Promise Fellows, Martin Luther King, Jr., Day of Service grants, and Disability Outreach grants.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Varies by program. AmeriCorps Promise Fellows support community efforts to achieve the five goals for children and youth set at the Presidents' Summit on America's Future. Martin Luther King Jr. Day of Service Grants support community-based service activities conducted to honor the ideals of Dr. King in conjunction with the federal holiday marking his birth. Disability outreach grants support efforts to increase the number of people with disabilities in national and community service.

Applicant Eligibility:

State, local governments, and nonprofit organizations are eligible for demonstration grants. State commissions, national nonprofit organizations, and operating AmeriCorps programs are eligible for disability grants.

Beneficiary Eligibility:

State and local governments and nonprofit organizations are beneficiaries of demonstration grants. Persons with disabilities who are participants in AmeriCorps programs operated by State commissions, institutions of higher education, nonprofit organizations, and governments are beneficiaries of disability grants.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Described in the Notice of Funding Availability published in the Federal Register for each program.

Award Procedure:

The Corporation for National Service awards the grants.

Deadlines:

Deadlines vary according to the program and are published in the Federal Register.

Range of Approval/Disapproval Time:

There is a two to four month range.

Appeals:

None.

Renewals:

Varies by program.

Formula and Matching Requirements:

Varies by program.

Length and Time Phasing of Assistance:

Varies by program.

Reports:

Varies by program.

Audits:

Audits are performed annually.

Records:

Records must be maintained as required by the individual grant.

Account Identification:

95-2720-0-1-506.

Obligations:

(Grants) FY 07 \$9,029,000; FY 08 est not available; and FY 09 est not reported.
(Total for all activities under Innovation Programs.)

Range and Average of Financial Assistance:

Demonstration grants generally range from \$25,000 to \$500,000. However, Dr. Martin Luther King, Jr., Day of Service grants generally are for \$5,000 or less.

PROGRAM ACCOMPLISHMENTS:

Education Award Program grants supported more than 13,000 full-and part-time AmeriCorps members who served with national and community service groups in education, public safety, environmental, health and human service programs. The Disability Outreach initiative was initiated in fiscal year 2000 and the grants award process is still in process.

REGULATIONS, GUIDELINES, AND LITERATURE:

For final guidelines, contact the headquarters office.

Regional or Local Office:

A list of field offices is available in Appendix IV of the Catalog.

Headquarters Office:

Corporation for National Service, 1201 New York Avenue, NW., Washington, DC 20525. Telephone: (202) 606-6715.

Web Site Address:

<http://www.nationalservice.org>.

RELATED PROGRAMS:

94.002, Retired and Senior Volunteer Program; 94.003, State Commissions.

EXAMPLES OF FUNDED PROJECTS:

In one innovative Education Award program, Frostburg State University in Maryland has a freshman dormitory hall where all residents participate on a part-time basis as AmeriCorps Education Award Program members, with the education award going to support their enrollment at Frostburg. These AmeriCorps HallSTAR members tutor in area schools, support community service agencies, and enroll in courses reinforcing the service-learning experience. More than 500 AmeriCorps Promise Fellows are spearheading community efforts to provide young people with the five fundamental resources identified at the Presidents' Summit for America's Future: caring adults in their lives as parents, mentors, tutors, and coaches; safe places with structured activities in which to learn and grow; a healthy start; an effective education that equips them with marketable skills; and an opportunity to give back to communities through service. In California, 22 Fellows serve on projects that promote increase use of health screening and immunization services in underserved areas, that expand the number of after-school programs, and that create job shadowing and community service activities for youth. In New York, 12 Fellows coordinate technology-based Family Learning Centers that train children to be peer tutors and enable students to achieve grade-level skills in reading and math. In Maryland, a Fellow is creating a national mentoring program for first-time juvenile offenders.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Specific criteria is published in the Federal Register through a Notice of Funds Availability (NOFA) when appropriate. The Corporation is looking for high quality programs that have the potential to be replicated and which provide meaningful service activities for Americans of all ages, backgrounds and abilities.

94.009 TRAINING AND TECHNICAL ASSISTANCE

FEDERAL AGENCY:

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

National and Community Service Act of 1993, as amended.

OBJECTIVES:

To provide training and technical assistance to national service programs supported by the Corporation for National Service, as well as to State Commissions or alternative entities

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Training and Technical Assistance Cooperative Agreements are used to provide training and technical assistance services to CNCS grantees in areas that include sustainability, evaluation, member development and management, organization development and program management, supervisory skills training, financial management and human relations training. The services are provided through national, regional and State workshops and conferences, informational materials in print and electronic formats, telephone assistance and on-site consultations.

Applicant Eligibility:

Public agencies including Federal, State, and local agencies and other units of government, nonprofit organizations including groups serving youths, community based organizations, service organizations, institutions of higher education, Indian tribes, and for-profit companies; State and Federal agencies and non-governmental organizations are eligible to compete for Training and Technical Assistance Cooperative Agreements.

Beneficiary Eligibility:

States, District of Columbia, Puerto Rico, Tribes, Territories, national nonprofit organizations, Federal agencies, professional corps, and multi-State organizations will benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

Applications are submitted to and awarded by the Corporation for National and Community Service. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the Corporation for application procedures.

Award Procedure:

Cooperative Agreements are awarded by the Corporation for National and Community Service.

Deadlines:

Contact the Corporation for deadline dates.

Range of Approval/Disapproval Time:

A time frame has not been established.

Appeals:

None.

Renewals:

Renewals are subject to performance, continuing need, and the availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

A time frame has not been established.

Reports:

Reports must be submitted in accordance with the terms of the cooperative agreement and as required by Law.

Audits:

Audits are performed as required by Law.

Records:

Records must be maintained in accordance with the requirements of the Cooperative Agreement.

Account Identification:

95-2720-0-1-506.

Obligations:

(Cooperative Agreements) FY 07 \$9,029,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Training and Technical Assistance Cooperative Agreements awarded competitively to range from \$100,000 to \$1,500,000.

PROGRAM ACCOMPLISHMENTS:

Training and technical assistance services offered to Corporation-funded national service programs included: assistance in organizational development, evaluation, leadership development, sustainability, financial management, member training, service-learning, human relations and diversity, reading skills development, and member development.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Corporation for guidelines.

Regional or Local Office:

A list of regional offices appears in Appendix IV of the Catalog.

Headquarters Office:

Corporation for National Service, 1201 New York Avenue, NW., Washington, DC 20525. Telephone: (202) 606-6915. Fax: (202) 208-4151.

Web Site Address:

<http://www.nationalservice.org>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Northwest Regional Education Laboratory provides program staff with the skills and resources to effectively design and implement high quality education programs with particular emphasis on literacy, out-of-school time and mentoring programs for youth. Assistance includes regional training of trainer events on

effective practices in such areas as preschool and family literacy, America Reads, tutor training, program start-up, and literacy assessment as well as a regularly updated web site of downloadable education and tutoring resources.

CRITERIA FOR SELECTING PROPOSALS:

The Corporation assesses Training and Technical Assistance proposals for quality, organizational capacity, innovation, cost, and replicability.

94.011 FOSTER GRANDPARENT PROGRAM

(FGP)

FEDERAL AGENCY:

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title II, Part B, Section 211, Public Law 93-113, 42 U.S.C. 5011, as amended; National and Community Service Trust Act of 1993, Public Law 103-82.

OBJECTIVES:

The Foster Grandparent Program provides grants to qualified agencies and organizations for the dual purpose of engaging persons 60 or older, with limited incomes, in volunteer service to meet critical community needs; and to provide a high quality volunteer experience that will enrich the lives of the volunteers. Program funds are used to support Foster Grandparents in providing supportive, person to person service to children with exceptional or special needs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The grants may be used for: low-income Foster Grandparent stipends, transportation, physical examinations and meals; staff salaries and fringe benefits, staff travel, equipment, space costs, etc. An amount equal to 80 percent of the corporation for National Service's Federal share must be used for Foster Grandparent direct benefits. Assignment of Foster Grandparents to children and youth may occur in residential and non-residential facilities, including preschool establishments and to children living in their own homes. Volunteers are not to supplant hiring or displace employed workers, or impair existing contracts for service. No agency supervising volunteers shall request or receive compensation for services of the volunteers. Volunteers are not to be involved in and funds are not to be used for religious activities, labor or anti-labor organization, lobbying, or partisan or non-partisan political activities. In addition, eligible agencies or organizations may, with a Notice of Grant Award from the Corporation for National and Community Service, receive technical assistance and materials to aid in establishing and operating a non-Corporation funded Foster Grandparent Program project using state, local and private funds.

Applicant Eligibility:

Grants are made only to State and local government agencies and private nonprofit organizations.

Beneficiary Eligibility:

Foster Grandparents must be: 60 years of age or older, with an income within limits determined by the CEO of the Corporation for National and Community Service (based on the Department of Health and Human Services Poverty Guidelines), and interested in serving infants, children, and youth with special or exceptional needs. (However, individuals who are not income eligible may serve as non-stipended volunteers under certain conditions.) They must be physically, mentally, and emotionally capable and willing to serve selected infants, children or youth on a person-to-person basis.

Credentials/Documentation:

The applicant must furnish evidence of: availability of income-eligible older persons, eligible volunteer stations, and the ability to provide sufficient matching nonfederal funds. Nonprofit organizations must furnish: proof of nonprofit status, articles of incorporation, and certification of accounting capability. Costs will be determined in accordance with OMB Circulars No. A-21 for educational institutions, No. A-87 for State and local governments and No. A-122 for nonprofit organizations.

Preapplication Coordination:

Organizations interested in exploring the possibility of developing a local FGP project should contact the appropriate Corporation for National and Community Service State Program Office. The application forms (modified by the Corporation for National and Community Service with OMB approval), as furnished by the Corporation for National and Community Service and required by OMB Circular No. A-102, must be used for this Program. This Program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the Program for review.

Application Procedure:

Applications are submitted to the Corporation for National and Community Service State Program Office. This Program is subject to the provisions of OMB Circular No. A-110 and A-102 for State and local governments.

Award Procedure:

Grants are awarded by the Corporation for National and Community Service. States will be notified of awards through the Federal Assistance Awards Data System (FAADS).

Deadlines:

Contact the Corporation for National and Community Service State Office for application deadlines.

Range of Approval/Disapproval Time:

120 days after receipt of application by the Corporation for National and Community Service.

Appeals:

No formal appeals for denial of initial grant application, but regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding.

Renewals:

Grant renewal applications, usually required annually, are submitted 120 days prior to the end of the current budget period.

Formula and Matching Requirements:

This Program has no statutory formula. Generally, at least 10 percent of the total project costs must be met by the applicant. In exceptional cases, the CEO of the Corporation for National and Community Service may make grants in excess of 90 percent of total project budget costs.

Length and Time Phasing of Assistance:

Grant budget periods generally are 1 year, with an opportunity to amend each year. Grant payments are done through the DHHS Payment Management System.

Reports:

Semi-annual Financial Status Report, Project Progress Report, Federal Cash Transactions Report, Project Profile and Volunteer Activity Survey, National Accomplishment Survey, and customer satisfaction survey.

Audits:

The Corporation for National and Community Service grants are subject to audit by the Corporation for National and Community Service, the General Accounting Office, other Federal agencies, and contract auditors. In accordance with the provisions of OMB Circular No. A-133, States, Local Governments, and Non-Profit Non-Federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year Organizations.

Records:

All financial records for each budget period, including receipts, disbursements, and vouchers for Federal and non-Federal costs; copies of all contracts; personnel records; and job descriptions must be available for a period of 3 years from date of submission of Final Financial Status Report.

Account Identification:

44-0103-0-1-506.

Obligations:

FY 07 \$110,943,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$0 to \$1,949,000; \$318,333.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, more than 31,000 Foster Grandparent volunteers contributed 27.9 million hours of service to help nearly 263,000 children with special and exceptional needs. Foster Grandparents served through a network of 342 local projects nationwide supported with Corporation and non-Corporation funds. These grantees in turn worked with more than 10,000 community organizations that supervise the Foster Grandparents during their service. Special emphasis was placed on terminally ill children, juvenile delinquents, pregnant teenagers, boarder babies and abused children.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 2552; Foster Grandparent Program brochure.

Regional or Local Office:

Corporation for National and Community Service as listed in Appendix IV of the Catalog under the Corporation for National and Community Service.

Headquarters Office:

National Senior Service Corps, Foster Grandparent Program, Corporation for National and Community Service, 1201 New York Avenue, NW., Washington, DC 20525. Telephone: (202) 606-6715.

Web Site Address:

<http://www.nationalservice.org>.

RELATED PROGRAMS:

94.002, Retired and Senior Volunteer Program; 94.016, Senior Companion Program.

EXAMPLES OF FUNDED PROJECTS:

The following exemplifies FGP service: Foster Grandparents serve seriously ill children, many with cancer, at a medical center. The volunteers help the children deal with their illnesses, as well as their temporary separation from their families. While often afraid of the hospital staff, the children "know" that they can trust their Foster Grandparents. Another Foster Grandparent who serves HIV/AIDS toddlers promotes social interaction, sensory stimulation and perception, emotional well-being and language development. Two other Foster Grandparents offer chess instruction to at-risk, elementary school children for

10-week sessions in an effort to provide behavioral modification. Foster Grandparents serve in various settings by assisting children with a variety of physical, emotional, mental, or learning needs. The follow statistics suggest the scope of their service. In fiscal year 1997, 771 Foster Grandparents served in over 215 residential and juvenile detention centers where they assisted youth by providing adult guidance, companionship, and emotional support. Over 9,000 Foster Grandparents served at approximately 3,200 schools where they helped children with literacy needs and a range of other problems. Over 4,100 Foster Grandparents served in Head Start Centers. Some 717 Foster Grandparents served as adult role models and taught parenting skills to over 4,300 teenage mothers. In the area of substance abuse, almost 900 foster Grandparents provided counseling and assistance to almost 5,000 youth.

CRITERIA FOR SELECTING PROPOSALS:

The corporation-wide evaluation criteria: program design: getting things done; well-documented compelling community needs(s); well-designed activities with measurable goals and objectives that meet community needs; well-defined roles for participants that lead to measurable outcomes/impact; effective involvement of target community in planning/implementation; ability to provide or secure effective technical assistance. Strengthening Communities: Strong community partnerships, including well-defined roles for community partners; Potential for sustainability, innovation, and/or replicability of project activities; enhance capacity of organizations and institutions; mobilization of community resources, including volunteers; Bring together people of diverse backgrounds. Participant Development; Effective plan for recruiting, developing, training, supervising, and recognizing participants; Well-designed plan to engage participants in high-quality service-learning as defined by the Corporation; well-designed plan for participants to learn to serve together with people of diverse backgrounds. Organizational Capacity: Ability to provide sound programmatic and fiscal oversight; sound track record in the issue areas(s) to be addressed by the project; well-defined roles for staff and administrators; well-designed plans or systems for self-assessment, evaluation and continuous improvement. Budget/Cost-Effectiveness: Adequate budget to support program design; commitment of applicant organization/host agency to securing resources for program implementation and/or sustainability; cost-effectiveness within program guidance.

94.013 VOLUNTEERS IN SERVICE TO AMERICA

(Americorps*VISTA)

FEDERAL AGENCY:

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title I, Part A, Public Law 93-113, 42 U.S.C. 4951 et seq.

OBJECTIVES:

To supplement efforts of private, nonprofit organizations and Federal, State, and local government agencies to eliminate poverty and poverty-related problems by enabling persons from all walks of life and all age groups to perform meaningful and constructive service as volunteers.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Volunteer: Provides full-time, full-year volunteers to local sponsoring organizations to work on clearly-defined tasks which address the problems of poverty. The volunteers live among the people they serve at subsistence levels of support. All volunteers work to improve the community's ability to solve its own problems. Members of the poor community should actively participate in the development and implementation of the project. Projects must be responsive to the needs and desires of the people who are to benefit from them in order to be funded by VISTA. Volunteers are not to supplant hiring or displace employed workers, or impair existing contracts for service. No agency supervising volunteers shall request or receive compensation for services of the volunteers. Volunteers are not to be involved in, and funds are not to be used for, religious activities, labor or anti-labor organization, lobbying, or partisan or non-partisan political activities. Volunteers may be recruited locally to serve in their own communities or referred by the Corporation for National Service to sponsoring organizations in other parts of the country based on the volunteers' skills and project needs.

Applicant Eligibility:

Sponsors applying for VISTA Volunteers must be Federal, State or local government agencies or private, nonprofit organizations. The project proposing to use the volunteers must be designed to assist in the solution of poverty-related problems.

Beneficiary Eligibility:

Persons who are assisted by VISTA project activities must be low-income and VISTA activities must directly benefit the poor.

Credentials/Documentation:

Applicants must be bona fide public or private nonprofit organizations. Nonprofit organizations must furnish proof of nonprofit status and articles of

incorporation.

Preapplication Coordination:

Application inquiry forms and assistance in applying for Volunteers may be obtained through the appropriate Corporation for National Service State Office. The application form is submitted to the appropriate Corporation for National Service State Office. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the review process the State requires to be followed in applying for assistance if the State has selected the program for review.

Application Procedure:

Prospective sponsors apply through Corporation for National Service State Offices. The Governor or other chief executive officer of the State concerned has the right to disapprove programs submitted within 45 days of their submission.

Award Procedure:

New renewal project applications are reviewed and judged by Corporation for National Service State Offices with final decisions rendered by the Corporation State Director.

Deadlines:

Contact the Corporation for National and Community Service State Office for project application deadlines.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

No formal appeals for denial of initial project application; however, regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding.

Renewals:

Renewal applications are required annually at least 115 days prior to the end of the project period. Renewals are subject to the same review procedures as new projects.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

VISTA Volunteers are assigned to a project for a given length of time, generally 1 year, as designated in a Memorandum of Agreement between Corporation for National Service and the sponsor. Individual volunteers commit themselves to a 1-year term of service which may be extended with sponsor and Corporation for National Service approval.

Reports:

Quarterly Project Progress Reports in first year; semi-annual thereafter unless requested by Corporation State Office.

Audits:

Sponsors are subject to audit by Corporation for National Service.

Records:

Sponsors are expected to maintain records pertaining to volunteer assignments and training activities for 3 years after the end of the project year.

Account Identification:

44-0103-0-1-506.

Obligations:

(Direct costs only) FY 07 \$95,470,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2005, approximately 6,707 VISTA Volunteers served 1,200 sponsoring organizations in 50 States, the District of Columbia, and Puerto Rico in a variety of poverty-related programs. The programs are focused on hunger, homelessness, unemployment, health, economic development including welfare to work, and illiteracy; including literacy problems faced by America's children. Approximately 50 percent of VISTA's resources are focused on the problems of disadvantaged youth, who comprise the largest category, by age, of America's poor. Many of these activities address the problems of substance abuse, delinquency, child abuse, and illiteracy. VISTA resources are allocated among Corporation for National Service Cluster and State offices in accordance with poverty indices within those areas.

REGULATIONS, GUIDELINES, AND LITERATURE:

VISTA Program Guidelines, 60 FR 7172 February 7, 1995; VISTA Handbook for Volunteers and Sponsors (Corporation for National Service Handbook 4302.0.)

Regional or Local Office:

See Corporation for National Service Cluster and State Program Offices list in Appendix IV of the Catalog.

Headquarters Office:

Director of VISTA, Corporation for National Service, 1201 New York Avenue, NW., Washington, DC 20525. Telephone: (202) 606-5600 or toll-free (800) 424-8867.

Web Site Address:

<http://www.nationalservice.org>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

VISTA has helped to set up drug abuse action centers, literacy programs, food distribution efforts and shelters for runaway youth, and the homeless. For example, VISTA Volunteers provided assistance to families involved in a self-help housing project for low-income families by helping them to select building sites and affordable house plans, obtaining cost estimates for construction materials and subcontracting required, obtaining home ownership loans and providing the families with information on loan payments, credit counseling and home ownership responsibilities. VISTA volunteers assigned to a nonprofit organization in New York provided low-income adults with tutoring services to upgrade their reading skills and to improve their employment potential through computer literacy activities. Volunteers serving in technology projects assess local technology needs, develop and design technology plans, establish community Internet sites, develop web sites and recruit community volunteers for such activities as installing computer hardware and software and staffing computer labs. VISTA Volunteers through a health project provided coordination services from a hospital and local health organizations, which enabled services and resources to be provided to medically underserved seniors in ten target neighborhoods. Volunteers also recruited local volunteers to provide health screenings and referral services in each neighborhood.

CRITERIA FOR SELECTING PROPOSALS:

All of the following elements must be found in the applicant's proposal for new or renewal projects. The project must: (1) be poverty oriented in scope and comply with the provisions of the Domestic Volunteer Service Act of 1973 applicable to VISTA and all published regulations and Corporation for National Service policies; (2) show that the project goals, objectives and Volunteer tasks are attainable within the time frame during which the Volunteers will be serving on the project and will produce a measurable result; (3) provide for reasonable efforts to recruit and involve low-income community residents in the planning, development and implementation of the VISTA project; (4) provide for frequent and effective supervision of the Volunteers; (5) identify resources needed and make them available for Volunteers to perform their tasks; and (6) have the management capability to carry out the project.

94.016 SENIOR COMPANION PROGRAM

(SCP)

FEDERAL AGENCY:

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title II, Part C, Section 213, Public Law 93-113, 42 U.S.C. 5013; National and Community Service Trust Act of 1993, Public Law 103-82.

OBJECTIVES:

The Senior Companion Program provides grants to qualified agencies and organizations for the dual purpose of engaging persons 60 and older, particularly those with limited incomes, in volunteer service to meet critical community needs; and to provide a high quality experience that will enrich the lives of the volunteers. Program funds are used to support Senior Companions in providing supportive, individualized services to help adults with special needs maintain their dignity and independence.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The grants may be used for: Senior Companion stipends, transportation, physical examinations, insurance, and meals; staff salaries and fringe benefits, staff travel, equipment, space costs, etc. An amount equal to 80 percent of the Corporation for National and Community Service Federal share must be used for Senior Companion direct benefits. Assignment of Senior Companions to adults may occur in residential and non-residential facilities and in their own homes. Volunteers do not supplant hiring or displace employed workers, or impair existing contracts for service. No agency supervising volunteers shall request or receive compensation for services of the volunteers. Volunteers are not to be involved in and funds are not to be used for religious activities, labor or anti-labor organization, lobbying, or partisan or non-partisan political activities. In addition, eligible agencies or organizations may, under a Notice of Grant Award from the Corporation for National and Community Service, receive technical assistance and materials to aid in establishing and operating non-Corporation for National and Community Service funded SCP projects using state, local and private funds.

Applicant Eligibility:

Grants are made only to State and local government agencies and private nonprofit organizations.

Beneficiary Eligibility:

Senior Companions must be: 60 years of age or older, with an income within limits determined by the CEO of the Corporation for National and Community Service (based on the Department of Health and Human Services Poverty Guidelines); interested in serving special-needs adults, especially the frail

elderly, and must be physically, mentally, emotionally capable, and willing to serve on a person-to-person basis. However, non-income eligible individuals may serve as non-stipended volunteers under certain conditions.) Adults served are age 21 and over with limitations in one or more activities of daily living which place them at-risk of an inappropriate placement in an institutional setting.

Credentials/Documentation:

The applicant must furnish evidence of: availability of income eligible older persons, eligible volunteer stations, ability to provide sufficient matching nonfederal funds. Non-profit organizations must furnish: proof of nonprofit status, articles of incorporation, and certification of accounting capability. Costs will be determined in accordance with OMB Circulars No. A-21 for educational institutions, No. A-87 for State and local governments, and No. A-122 for nonprofit organizations.

Preapplication Coordination:

Organizations interested in exploring the possibility of developing a local SCP project should contact the appropriate Corporation for National Service State Program Office. The Corporation for National and Community Service issues application forms to applicants who have established their eligibility. The application forms (modified by the Corporation for National and Community Service with OMB approval), as furnished by the Corporation for National and Community Service and required by OMB Circular No. A-102 must be used for this Program. This Program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the Program for review.

Application Procedure:

Applications are submitted to the Corporation for National and Community Service State Program Office. This Program is subject to the provisions of OMB Circular Nos. A-110 for nonprofit organizations, and A-102 for State and local governments.

Award Procedure:

Grants are awarded by the Corporation for National and Community Service. States will be notified of awards through the Federal Assistance Awards Data System (FAADS).

Deadlines:

Contact the Corporation for National and Community Service State Office for application deadlines.

Range of Approval/Disapproval Time:

120 days after receipt of application by the Corporation for National and Community Service.

Appeals:

No formal appeals for denial of initial grant application, but regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding.

Renewals:

Grant renewal applications, usually required annually, are submitted 120 days prior to the end of the current budget period.

Formula and Matching Requirements:

This Program has no statutory formula. Generally, at least 10 percent of the total approved budget must be met by the applicant. In exceptional cases, the CEO of the Corporation for National and Community Service may make grants in excess of 90 percent of total project budget costs.

Length and Time Phasing of Assistance:

Grant budget periods are generally three years, with annual budget periods. Grant payments are made through the DHHS Payment Management System.

Reports:

Corporation for National and Community Service Semi-Annual Financial Status Report, Project Progress Report, Federal Cash Transactions Report, Project Profile and Volunteer Activity Survey, National Accomplishment Survey, customer satisfaction survey.

Audits:

Corporation for National and Community Service grants are subject to audit by Corporation for National and Community Service, the General Accounting Office, other Federal agencies, and contract auditors. In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," grantees that expend financial assistance of \$500,000 or more a year in Federal awards shall have an audit made in accordance with OMB Circular A-133.

Records:

All financial records for each budget period, including receipts, disbursements, and vouchers for Federal and non-Federal costs; copies of all contracts; personnel records; and job descriptions must be available for a period of 3 years from date of submission of final Financial Status Report.

Account Identification:

44-0103-0-1-506.

Obligations:

FY 07 \$46,964,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$0 to \$689,000; \$237,500.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, over 16,000 SCP volunteers contributed 13.9 million hours of service to nearly 58,000 clients through the 224 organizations that received SCP grants from Corporation and non-Corporation sources.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 2551; Senior Companion Program flyers.

Regional or Local Office:

Corporation for National and Community Service State Program Offices, as listed in Appendix IV of the Catalog under Corporation for National and Community Service.

Headquarters Office:

National Senior Service Corps, Senior Companion Program, Corporation for National and Community Service, 1201 New York Avenue, NW., Washington, DC 20525. Telephone: (202) 606-5000, ext. 189 and 1-800-424-8867.

Web Site Address:

<http://www.nationalservice.org> .

RELATED PROGRAMS:

94.011, Foster Grandparent Program; 94.002, Retired and Senior Volunteer Program.

EXAMPLES OF FUNDED PROJECTS:

The following exemplifies SCP service: An SCP volunteer provides supportive in-house services to a stroke victim who is confined to a wheelchair, suffers from depression and needs help in daily living. Prior to the arrival of the Senior Companion, the client received no visitors and refused to leave his apartment. Just two months after he arrived, the SCP volunteer convinced his client to venture out of his apartment. Now, the client goes out for meals, exercises and participates in social events at a nearby senior center. Another Senior Companion has provided respite care to the husband of an Alzheimer's patient for over six years. The Senior Companion provides reality orientations, music and games for the client. Senior Companions provide predominantly in-home services to frail adults, often the elderly. The following statistics suggest the scope of their activities. In fiscal year 1997, over 2,400 Senior Companions provided respite to 5,300 Alzheimer's care givers. They served over 5,000 visually impaired, 3,100 hearing impaired, 2,000 terminally ill and 3,000 clients with short-term disabilities. An additional 27,000 frail adults with chronic care disabilities threatening their ability to continue to live at home were served. Senior Companions also served at over 200 adult day care centers; they served at 380 nursing homes and convalescent hospitals.

CRITERIA FOR SELECTING PROPOSALS:

The corporation-wide evaluation criteria: program design: getting things done; well-documented compelling community needs(s); well-designed activities with measurable goals and objectives that meet community needs; well-defined roles for participants that lead to measurable outcomes/impact; effective involvement of target community in planning/implementation; ability to provide or secure effective technical assistance. Strengthening Communities: Strong community partnerships, including well-defined roles for community partners; Potential for sustainability, innovation, and/or replicability of project activities; enhance capacity of organizations and institutions; mobilization of community resources, including volunteers; Bring together people of diverse backgrounds. Participant Development; Effective plan for recruiting, developing, training, supervising, and recognizing participants; Well-designed plan to engage participants in high-quality service-learning as defined by the Corporation; well-designed plan for participants to learn to serve together with people of diverse backgrounds. Organizational Capacity: Ability to provide sound programmatic and fiscal oversight; sound track record in the issue areas(s) to be addressed by the project; well-defined roles for staff and administrators; well-designed plans or systems for self-assessment, evaluation and continuous improvement. Budget/Cost-Effectiveness: Adequate budget to support program design; commitment of applicant organization/host agency to securing resources for program implementation and/or sustainability; cost-effectiveness within program guidance.

SOCIAL SECURITY ADMINISTRATION

96.001 SOCIAL SECURITY-DISABILITY INSURANCE

FEDERAL AGENCY:

SOCIAL SECURITY ADMINISTRATION

AUTHORIZATION:

Social Security Act of 1935, Title II, as amended; Public Laws 96-265; 97-123, and 97-35. 42 U.S.C. 401; 42 U.S.C. 402; 42 U.S.C. 416; 42 U.S.C. 420-425.

OBJECTIVES:

To replace part of the earnings lost because of a physical or mental impairment, or a combination of impairments, severe enough to prevent a person from working.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to entitled disabled persons and to entitled auxiliary beneficiaries throughout the period of disability generally after a 5-month waiting period. Costs of vocational rehabilitation also are paid for certain beneficiaries. There are no restrictions on the use of benefits received by beneficiaries, although the right to future benefits is not transferable or assignable. In general, State agencies make initial disability determinations for the Federal Government. The Federal Government gives the States funds, in advance or by way of reimbursement, for necessary costs in making disability determinations under 20 CFR 404 Subparts P and Q. Necessary costs are direct as well as indirect costs as defined in 41 CFR 1-15, subpart 1-15.7 of the Federal Procurement Regulations System for costs incurred before April 1, 1984; and 48 CFR 31, Subpart 31.6 of the Federal Acquisition Regulations System and Federal Management Circular A-74-4, as amended, or superseded for costs incurred after March 31, 1984.

Applicant Eligibility:

A disabled worker is entitled to Social Security disability benefits if he or she has worked for a sufficient period of time under Social Security to be insured, has not attained "full-benefit retirement age" (66 years old for workers age 62 in 2005), has filed an application, and is under a disability as defined in the Social Security law. The law defines disability as the inability to do any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of not less than 12 months. The insured status requirements depend upon the age of the applicant and the date he or she became disabled. Coverage credits under the social security systems of certain foreign countries with which the U.S. has reciprocal agreements may be taken into account to meet the requirements. Certain family members of disabled workers are also entitled to benefits: (1) Unmarried children under age 18, or under age 19 for full-time students in elementary or secondary school; (2) unmarried adult offspring at any age if continuously disabled since before age 22; (3) wife or husband at any age if child in his or her care is receiving benefits on worker's Social Security record and is under age 16 or disabled; (4) spouse age 62 or over; and (5) divorced wives or husbands age 62 or over who were married to the worker for at least 10 years. (Benefits are also payable to auxiliaries, including certain disabled widow(er)s, disabled surviving divorced spouses, children under age 19 who are full-time students in an elementary or secondary school, and disabled children of the worker, after the worker dies. See 96.004 "Social Security-Survivors' Insurance".) For workers who are first entitled after 1985 for both (a) a pension based on non-covered employment; and (b) Social Security disability (or retirement) benefits, a less generous benefit formula applies. In addition, Social Security disability benefits are reduced (offset) by the amount that the sum of all disability benefits payable under Social Security and certain Federal, State, or local public disability and workers' compensation laws or plans exceeds the higher of 80 percent of the worker's average current earnings or the total Social Security benefit that would otherwise be payable on the disabled worker's record. The Social Security benefit for a spouse of a disabled worker is subject to a pension offset if the spouse receives a governmental pension based on his or her own work in non-covered employment. However, the offset does not apply if: (i) the person received or became eligible to receive the pension before December 1, 1982, and can meet requirements for Social Security auxiliaries' benefit as they existed in January 1977; or (ii) if the person received, or was eligible to receive, the pension before July 1, 1983, and the person was dependent on his or her spouse for at least one-half support at the time the spouse died, became disabled or became entitled to Social Security benefits. The amount of the public pension used for purposes of the offset against Social Security spouse's benefits is equal to two-thirds of the public pension. The Social Security benefit for the spouse of a disabled worker is also offset dollar for dollar by the amount of any Social Security benefit the spouse receives based on his or her own work. All benefits to spouses and children of disabled workers are subject to an earnings test unless those beneficiaries are full-benefit retirement age or older. Beginning with the year 2000, the retirement earnings test was eliminated beginning with the month in which the beneficiary reaches full-benefit retirement age (FRA). A person at and

above FRA will not have Social Security benefits reduced because of earnings. In the calendar year in which a beneficiary reaches FRA, benefits are reduced \$1 for every \$3 of earnings above the limit allowed by law, \$31,800 in 2005, but this reduction is applied only to months prior to attainment of FRA. For years before the year the beneficiary attains FRA, the reduction in benefits is \$1 for every \$2 of earnings over the annual exempt amount. Further, no benefit can be paid to an alien in the United States unless he or she is lawfully present in the United States. In addition, an alien cannot qualify for benefits if he or she never had a work-authorized Social Security Number (SSN) (effective for benefit applications based on SSNs issued after 2003).

Beneficiary Eligibility:

Qualified disabled workers under full retirement age (FRA). Under the definition of disability in the Social Security Law, disability benefits are provided to a person who is unable to engage in any substantial gainful activity by reason of a medically determinable physical or mental impairment that has lasted or is expected to last at least 12 months, or to result in death. Disabled widow(er)s' benefits are covered under survivors insurance. Felony-related impairments and confinement-related impairments cannot be considered in determining whether an individual is under a disability if the individual has been convicted of a felony which was committed after October 19, 1980. Effective for claims finally adjudicated on or after March 29, 1996, (or for claims approved before then, with benefits payable beginning January 1, 1997) eligibility can no longer be based on drug addiction or alcoholism.

Credentials/Documentation:

Proof of disability and possibly proof of age. If applying for benefits for family members, additional proofs of age, relationship to disabled worker, or full-time school attendance may be required.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Telephone toll free at 1 (800) 772-1213 or telephone or visit the local Social Security Office.

Award Procedure:

After review of the application is completed, the applicant (or representative payee) will be notified by mail.

Deadlines:

An individual should apply for disability benefits when he or she believes the entitlement requirements may be met. Retroactivity of benefit payments is limited to 1 year before filing.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Telephone or visit any Social Security Office. The appeal process ranges from a reconsideration through hearings and appeals levels to a review by the Federal courts.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Any change in address or other requirement (such as improvement of disabling condition or work activity) must be reported to the local Social Security Office when it occurs.

Audits:

Continuing Disability Reviews: Persons are contacted periodically to see if they continue to qualify for benefits.

Records:

Not applicable.

Account Identification:

20-8007-0-7-651.

Obligations:

(Benefit Payments) FY 07 \$96,988,000,000; FY 08 est \$102,854,000,000; and FY 09 est not reported. (Note: These figures represent benefits actually paid, or expected to be paid.)

Range and Average of Financial Assistance:

Monthly cash benefits for a worker disabled in 2005 range up to a maximum of \$2,099 based on the level of the worker's earnings and the age at which a worker becomes disabled. The corresponding maximum for such a worker with a family is \$3,148.60. As of December 31, 2004, the average benefit paid to a disabled worker alone was \$880 and the average amount payable to a disabled worker with eligible dependents was \$1,496. This takes into account stipulations set forth in Public Law 96-265 and Public Law 97-35. Under Public Law 97-123, the minimum amount is no longer applicable for workers who either become disabled or first met the insured status requirements after December 1981, and a lesser amount can be paid, depending on the worker's

average earnings.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2004, an average of 7,696,000 disabled workers and their dependents received monthly cash benefits. It is estimated that for fiscal year 2005, an average of 8,005,000 disabled workers and their dependents will receive monthly cash benefits. During fiscal year 2006, the average number receiving benefits is expected to increase to 8,302,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 402, 404, 422, 423, 429, 430, and 498. "Disability" and other publications are available from any Social Security Office without charge. The Social Security internet address is www.ssa.gov and it includes copies of all disability-related laws, regulations, rulings, and free publications, as well as other information about the disability insurance programs.

Regional or Local Office:

Consult Appendix IV of the Catalog.

Headquarters Office:

Office of Public Inquiries, Room 4100, Annex, Social Security Administration, Baltimore, MD 21235. Telephone: (410) 965-2736. Use the same number for FTS.

Web Site Address:

<http://www.socialsecurity.gov>.

RELATED PROGRAMS:

17.302, Longshore and Harbor Workers' Compensation; 17.307, Coal Mine Workers' Compensation; 57.001, Social Insurance for Railroad Workers; 64.103, Life Insurance for Veterans; 93.560, Family Support Payments to States; Assistance Payments; 93.773, Medicare Hospital Insurance; 93.774, Medicare Supplementary Medical Insurance; 96.002, Social Security Retirement Insurance; 96.005, Special Benefits for Disabled Coal Miners; 96.006, Supplemental Security Income.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

96.002 SOCIAL SECURITY-RETIREMENT INSURANCE

FEDERAL AGENCY:

SOCIAL SECURITY ADMINISTRATION

AUTHORIZATION:

Social Security Act of 1935, Title II, as amended; 42 U.S.C. 401-433; Public Law 104-208.

OBJECTIVES:

To replace part of the earnings lost due to retirement.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to eligible retired workers and their eligible auxiliaries. There are no restrictions on use of benefits by a beneficiary, although the right to future benefits is not transferable or assignable.

Applicant Eligibility:

Retired workers age 62 and over who have worked the required number of years under Social Security are eligible for monthly benefits. Coverage credits under the social security systems of certain foreign countries with which the U.S. has reciprocal agreements may be taken into account to meet the requirements. If an eligible worker age 62 or over receives benefits before full-benefit retirement age (FRA)(age 66 for workers age 62 in 2005), the individual's retirement benefit will be permanently reduced. Also, certain family members can receive benefits including: (1) A wife or husband age 62 or over; (2) a spouse at any age, if a child who is under age 16 or is disabled is in his or her care and is entitled to benefits based on the worker's record; (3) unmarried children under age 18 (or under age 19 for students in elementary or secondary school); (4) unmarried adult offspring at any age if disabled before age 22; and (5) divorced wives or husbands age 62 or over who were married to the worker for at least 10 years. Beginning January 1985, spouses ages 62 or over who have been divorced for at least 2 years (and married to the worker for at least 10 years) may become entitled to benefits regardless of whether the former spouse who is at least age 62 and fully insured has applied for benefits. Effective January 1991, the 2-year waiting period for payment of divorced spouse's benefits without regard to the former spouse's earnings was waived if the former spouse was entitled to benefits prior to the divorce. All benefits, other than benefits to disabled beneficiaries, and beneficiaries FRA and older, are subject to an earnings test. Beginning with the year 2000, the retirement earnings test was eliminated beginning with the month in which the beneficiary reaches FRA. A person at and above FRA will not have Social Security benefits reduced because of earnings. In the calendar year in which a beneficiary reaches FRA, benefits are reduced \$1 for every \$3 of earnings above the limit allowed by law, \$31,800 in 2005, but this reduction is applied only to months prior to attainment of FRA. For years before the year the beneficiary attains FRA, the reduction in benefits is \$1 for every \$2 of earnings over the annual exempt amount, \$11,640 in 2005.

For workers who are first eligible after 1985 for both (a) a pension based on non-covered employment; and (b) Social Security retirement (or disability) benefits, a different benefit formula applies which provides somewhat lower benefits.

The Social Security benefit for a spouse of a retired worker is subject to a pension offset if the spouse receives a governmental pension based on his or her own work in non-covered employment. However, the offset does not apply if: (i) the person has received or became eligible to receive the pension before December 1, 1982, and met eligibility requirements for Social Security auxiliaries' benefits as they existed in January 1977; or (ii) if the person receives, or is eligible to receive, the pension before July 1, 1983, and the person was dependent on his or her spouse for at least one-half support at the time the spouse died, became disabled or became entitled to Social Security benefits. The amount of the public pension used for purposes of the offset against Social Security spouse's benefits is equal to two-thirds of the public pension. The benefit for the spouse of a retired worker is also offset dollar for dollar by the amount of any Social Security benefit the spouse receives based on his or her own work. Effective for those who have reached age 62 since August 1981, a retired worker or spouse can receive benefits only for months in which he or she has attained at least age 62 for the entire month. No benefit can be paid to an alien in the United States unless he or she is lawfully present in the United States. In addition, an alien cannot qualify for benefits if he or she never had a work-authorized Social Security Number (SSN) effective for benefit applications based on SSNs issued after 2003).

Beneficiary Eligibility:

Benefits are paid to retired workers age 62 and over who have worked the required number of years under Social Security, and to certain family members.

Credentials/Documentation:

Proof of age is required. If applying for benefits for auxiliaries, additional proofs of age, dependency, and relationship to the retired worker may be required. To receive benefits, auxiliaries must have a SSN.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Call toll free at 1 (800) 772-1213 or, telephone or visit the local Social Security Office. Retired workers, spouses and divorced spouses may also apply via the Internet.

Award Procedure:

After review of the application is completed, the applicant (or representative payee) will be notified by mail.

Deadlines:

It is to the worker's advantage to apply for benefits in the three months before he or she retires. Retroactivity is limited to 6 months if unreduced benefits are being awarded. No retroactivity is provided where reduced benefits are being awarded.

Range of Approval/Disapproval Time:

None.

Appeals:

Call toll free at 1(800) 772-1213 or telephone or visit the local Social Security office. The appeal process can range from a case review to a review by the Federal Courts. An appeal must be requested in writing within 60 days of the date on which a written notice of SSA's decision is received by the applicant. The 60 days start the day after notice is received.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Any change in address or eligibility requirements (such as work status, marital or family status, or school attendance) must be reported to the Social Security Office when it occurs.

Audits:

None.

Records:

None

Account Identification:

20-8006-0-7-651.

Obligations:

(Benefit Payments) FY 07 \$406,880,000,000; FY 08 est \$428,032,000,000; and FY 09 est reported. (Note: These figures represent benefits actually paid, or expected to be paid.)

Range and Average of Financial Assistance:

Monthly cash benefits for a worker retiring at full retirement age (FRA) in 2005 range up to \$1,939 and to a maximum of \$3,394.10 for a family of such a worker receiving benefits. As of December 31, 2004, the average benefit paid to a retired worker alone (no family members receiving benefits) was \$942 and the average amount payable to a retired worker with an eligible spouse was \$1,574.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, an average of 33,638,000 retired workers and their auxiliaries received monthly cash benefits. For fiscal year 2007, an average of 34,137,000 retired workers and their auxiliaries received monthly cash benefits. During fiscal year 2008, the average number receiving benefits is expected to increase to 34,673,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 404, and 422. "Your Social Security", "Thinking About Retiring", and many other publications are available from any Social Security office without charge.

Regional or Local Office:

Consult Appendix IV of the Catalog.

Headquarters Office:

Office of Public Inquiries, Room 4100, Annex, Social Security Administration, Baltimore, MD 21235. Telephone: (410) 965-2736. Use the same number for FTS.

Web Site Address:

<http://www.socialsecurity.gov>.

RELATED PROGRAMS:

57.001, Social Insurance for Railroad Workers; 96.001, Social Security Disability Insurance; 96.003, Social Security Special Benefits for Persons Aged 72 and Over; 96.004, Social Security Survivors Insurance; 96.005, Special Benefits for Disabled Coal Miners; 96.006, Supplemental Security Income.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

96.004 SOCIAL SECURITY-SURVIVORS INSURANCE

FEDERAL AGENCY:

SOCIAL SECURITY ADMINISTRATION

AUTHORIZATION:

Social Security Act of 1935, Title II, as amended; 42 U.S.C. 401-433.

OBJECTIVES:

To replace part of the earnings lost to dependents because of the worker's death.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to eligible family members of deceased workers. There are no restrictions on the use of benefits, although the right to future benefits is not transferable or assignable. The Federal government gives the States funds, in advance or by way of reimbursement, for necessary costs in making disability determinations under 20 CFR part 404 subparts P and Q. Necessary costs are direct as well as indirect costs as defined in 41 CFR Part 1-15, Subpart 1-15.7 of the Federal Procurement Regulations System for costs incurred before April 1, 1984; and 48 CFR 31, Subpart 31.6 of the Federal Acquisition Regulations System and Federal Management Circular A-74-4 as amended or superseded for costs incurred after March 31, 1984.

Applicant Eligibility:

Benefits are payable only if the deceased was insured for survivors insurance protection. Coverage credits under the social security systems of certain foreign countries with which the U.S. has reciprocal agreements may be taken into account to meet the requirements. Survivors eligible for monthly cash benefits are the following: widows or widowers age 60 or over; surviving divorced spouses age 60 or over (married to the deceased worker for at least 10 years); disabled widows, widowers or surviving divorced spouses ages 50-59; widows, widowers, or surviving divorced spouses at any age who have in their care a child under age 16 or disabled and entitled to benefits on the deceased worker's Social Security record; unmarried children under age 18, under age 19 and a full time student in elementary or secondary school; or age 18 or older and under a disability which began before age 22; and dependent parents age 62 and over. All survivors benefits, other than for beneficiaries at full retirement age (FRA) or older, are subject to an earnings test. Beginning with the year 2000, the retirement earnings test was eliminated beginning with the month in which the beneficiary reaches FRA. A person at and above FRA will not have Social Security benefits reduced because of earnings. In the calendar year in which a beneficiary reaches FRA, benefits are reduced \$1 for every \$3 of earnings above the limit allowed by law, \$31,080 in 2005, but this reduction is applied only to months prior to attainment of FRA. For years before the year the beneficiary attains FRA, the reduction in benefits is \$1 for every \$2 of earnings over the annual exempt amount, \$12,000 in 2005. Except for benefits to children and dependent parents, all survivors benefits are subject to a pension offset if the person is also receiving a governmental pension based on his or her own work in non-covered employment. However, the offset does not apply: (1) If the person receives, or is eligible to receive, the pension before December 1, 1982, and can meet the requirements for the Social Security auxiliary's benefit as they existed in January 1977; or (2) if the person receives, or is eligible to receive, the pension before July 1, 1983, and the person was dependent on his or her spouse for at least one-half support at the time the spouse died, became disabled or

became entitled to Social Security benefits. The amount of the public pension used for purposes of the offset against Social Security survivor's benefits is equal to two-thirds of the public pension. Benefits for widows/widowers and surviving divorced spouses are also offset dollar for dollar by any Social Security benefit the surviving spouse receives based on his or her own work. Under certain conditions, a lump-sum death payment of \$255 is payable to the widow or children of the deceased worker. Further, no benefit can be paid to an alien in the United States unless he is lawfully present in the United States. Also, an alien cannot qualify for benefits if he or she never had a work-authorized Social Security Number (SSN) (effective for benefit applications based on SSNs issued after 2003).

Beneficiary Eligibility:

Widows, widowers, and surviving divorced spouses age 60 or over are entitled as long as the worker met the insurance requirements. Widows, widowers, and surviving divorced spouses also qualify at any age if they have entitled children of the worker under age 16 or disabled children in their care; unmarried children: under age 18, under age 19 and a full-time student in elementary or secondary school or age 18 or older and under a disability which began before age 22; and dependent parents age 62 and over. To claim benefits as disabled widows, widowers, or surviving divorced spouses, individuals ages 50-59 must show that they have a disability that started no later than 7 years after the insured died or 7 years after certain other events. "Disability" currently has the same meaning for these entitlements as it does for workers who claim disability insurance (see 96.001). As in worker disability claims, there is also a 5-month waiting period after the disability began before benefits begin and entitlement to Medicare after 24 months of entitlement to benefits. Children of the worker claiming benefits because of disability are also subject to the definition of disability used for workers and must show that they have been disabled since before they reached age 22. There is no waiting period for these benefits.

Credentials/Documentation:

Social Security number, proof of death, age, and relationship. For certain auxiliaries, proof of support may be required.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Call toll free at 1 (800) 772-1213 or telephone or visit the local Social Security Office.

Award Procedure:

After review of the application is completed, the applicant (or representative payee) will be notified by mail.

Deadlines:

Retroactivity is limited to 6 months if unreduced benefits are being awarded. Other than a minor exception that permits one-month retroactivity if the widow(er) files for benefits in the month after the month of the worker's death, no retroactivity is provided in most cases when reduced benefits are being awarded. For disabled surviving spouses and disabled surviving divorced spouses, benefits may be retroactive for up to 12 months.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Call toll free at 1 (800) 772-1213 or telephone or visit the local Social Security office. The appeal process can range from a case review to a review by the Federal courts. An appeal must be requested in writing within 60 days of the date on which a written notice of SSA's decision is received by the applicant. The 60 days start, the day after receipt of the notice.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Any change in address or in eligibility requirements (such as work status, marital or family status, or school attendance) must be reported to Social Security when it occurs.

Audits:

None.

Records:

None.

Account Identification:

20-8006-0-7-651.

Obligations:

(Benefit Payments) FY 07 \$69,830,000,000; FY 08 \$71,704,000,000; and FY 09 est not reported. (Note: These figures represent benefits actually paid, or expected to be paid.

Range and Average of Financial Assistance:

Monthly cash benefits range up to \$1,939 for the widow or widower of a worker who dies at age 65 in January 2005. As of December 31, 2004, the average benefit paid to an aged widow or widower alone was \$920, and the average amount paid to a widowed mother or father with two or more eligible children

was \$1,905.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2007, an average of 6,544,000 received monthly cash benefits.

During fiscal year 2008, the average number receiving benefits is expected to increase to 6,499,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 404, 422. "Your Social Security," and other publications are available from any Social Security office without charge. The Social Security internet address is www.ssa.gov and it includes copies of all disability-related laws, regulations, rulings, and free publications, as well as other information about Social Security programs.

Regional or Local Office:

Consult Appendix IV of the Catalog.

Headquarters Office:

Office of Public Inquiries, Room 4100, Annex, Social Security Administration, Baltimore, MD 21235. Telephone: (410) 965-2736. Use the same number for FTS.

Web Site Address:

<http://www.socialsecurity.gov>.

RELATED PROGRAMS:

17.302, Longshore and Harbor Workers' Compensation; 17.307, Coal Mine Workers' Compensation; 57.001, Social Insurance for Railroad Workers; 64.103, Life Insurance for Veterans; 64.105, Pension to Veterans Surviving Spouses, and Children; 96.001, Social Security Disability Insurance; 96.002, Social Security Retirement Insurance; 96.003, Social Security Special Benefits for Persons Aged 72 and Over; 96.005, Special Benefits for Disabled Coal Miners; 96.006, Supplemental Security Income.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

96.006 SUPPLEMENTAL SECURITY INCOME

FEDERAL AGENCY:

SOCIAL SECURITY ADMINISTRATION

AUTHORIZATION:

Social Security Act of 1935, Title XVI, as amended; 42 U.S.C. 1381-1383f.

OBJECTIVES:

To ensure a minimum level of income to persons who have attained age 65 or are blind or disabled, and whose income and resources are below specified levels.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Supplemental Security Income (SSI) payments are made to persons who have attained age 65 or who are blind or disabled and meet the means-tested and other requirements of the program. Generally, there are no restrictions on the use of benefits received by beneficiaries, although the right to future benefits is not transferable or assignable. The Federal government gives the States funds, in advance or by way of reimbursement, for necessary costs in making disability determinations under 20 CFR 404 subparts P and Q and part 416 subparts I and J. Necessary costs are direct as well as indirect costs as defined in 41 CFR 1-15, subpart 1-15.7 of the Federal Procurement Regulations System for costs incurred before April 1, 1984; and 48 CFR 31, Subpart 31.6 of the Federal Acquisition Regulations System and Federal Management Circular No. A-74-4 as amended or superseded for costs incurred after March 31, 1984.

Applicant Eligibility:

To be found disabled for SSI purposes: an individual age 18 or older must be unable to perform any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of at least 12 months; an individual under age 18 must have a medically determinable physical or mental impairment or combination of impairments that causes marked and severe functional limitations, and that can be expected to cause death or that has lasted or can be expected to last for a continuous period of at least 12 months. An individual under age 18 who files a new application for benefits and is engaging in substantial gainful activity will not be considered disabled. To be found blind for SSI purposes, an individual of any age must be "statutorily blind." This means central visual acuity of 20/200 or less in the better eye with use of a correcting lens. The eligibility of an individual who has attained age 65 or who is blind or disabled is determined on the basis of an assessment of the individual's monthly income and resources, citizenship or alien status, U.S. residency, and certain other eligibility requirements. In determining a month's income, the first \$20 of Social Security or other unearned income is not counted. An additional \$65 of earned income (\$85 if the person had no unearned income) received in a month plus one-half of the remainder above \$65 (or \$85) also is not counted. If, after these (and other) exclusions, an individual's countable income, effective January 2004, is less than \$564 per month (\$846 for a couple, both of whom are aged, blind or disabled) and

countable resources are less than \$2,000 (\$3,000 for a couple), the individual may be eligible for payments. The values of household goods, personal effects, an automobile, life insurance, and property needed for self support are, if within limits set out in regulations, excluded in determining value of resources. Burial spaces for an individual and immediate family and burial funds, up to \$1,500 each for an individual and spouse, are excluded from resources. The value of a home which serves as the principal place of residence is also excluded in resource valuation.

Beneficiary Eligibility:

Individuals who have attained age 65 or are blind or disabled, who continue to meet the income and resources tests, citizenship/qualified alien status, U.S. residence, and certain other requirements. Eligibility may continue for beneficiaries who engage in substantial gainful activity despite disabling physical or mental impairments.

Credentials/Documentation:

Proof of age, marital status, income and resources, establishment of blindness or disability, proof of residence in the U.S. and citizenship, or alien status is required.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Call toll free at 1-800-772-1213 or telephone or visit the local Social Security Office.

Award Procedure:

The individual (and representative payee, if any,) will be notified by mail of award or denial.

Deadlines:

None. Benefits are not paid prior to the month following the month of application. However, an emergency advance payment may be available in the month of filing the application.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Call toll free at 1 (800) 772-1213 or telephone or visit the local Social Security Office. The appeal process ranges from a case review or field office conference to a review by the Federal Courts. An appeal must be requested within 60 days of the date on which a written notice of SSA's initial determination is received by the applicant. The 60 days start the day after you receive the notice.

Renewals:

A redetermination of a person's benefit amount and continuing eligibility will be made on a scheduled basis at periodic intervals. Unscheduled redeterminations are made when changes in circumstances are reported. The length of time between scheduled redetermination varies depending on the likelihood that the beneficiary's situation may change in a way that affects payment amount or eligibility.

Formula and Matching Requirements:

This program has no statutory formula. Some mandatory State supplements are required by supplemental security income law to maintain former State recipients' December 1973 payment levels at pre-supplemental security income levels, increased by cost-of-living adjustments, where such payments were higher than the initial supplemental security income standards. States also have the option of paying supplements. The Social Security Administration will administer for a fee under agreements with States both mandatory and optional State supplements. States paying supplements are required by supplemental security income law to maintain either their State supplement expenditures or their payment levels when Federal standards are increased.

Length and Time Phasing of Assistance:

Benefits are paid monthly. Accrued benefits are paid in a lump sum unless they exceed a specified amount in which case they are paid in up to three installments at 6 month intervals. In the case of a disabled child, accrued payments over a certain amount must be retained in a dedicated account and used only for certain approved expenditures.

Reports:

Any change of address or any event affecting eligibility or benefit amount (such as an increase in resources or income or improvement of disabling condition) must be reported to the Social Security Administration when the event occurs.

Audits:

None.

Records:

None.

Account Identification:

75-0406-0-1-609.

Obligations:

(Benefit Payments) FY 07 \$36,481,897,840; FY 08 est \$41,225,000,000; and FY 09 est not reported. (Note: These figures represent benefits actually paid, or expected to be paid.)

Range and Average of Financial Assistance:

Monthly Federal cash payments range from \$1 to \$637 for an aged, blind, or disabled individual who does not have an eligible spouse, and from \$1 to \$956 for an aged, blind, or disabled individual and an eligible spouse. These rates

became effective January 2008. The average Federal monthly benefit payment for December 2007 was \$437.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2003, an average of 6,553,000 persons per month were Federal Supplemental Security Income recipients. It is estimated that in fiscal year 2004, an average of 6,711,000 recipients will receive monthly cash benefits. During fiscal year 2005, the average number receiving payments is estimated to be 6,867,000 per month. Not included are those persons who receive only State supplementary payments, some of which are administered by the Social Security Administration for the States as part of the SSI program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 416, and 422. "SSI for Aged, Blind, and Disabled People" and other publications are available from any Social Security Office without charge. The Social Security internet address is www.ssa.gov and it includes copies of all disability-related laws, regulations, rulings, and free publications, as well as other information about Social Security programs.

Regional or Local Office:

Consult Appendix IV of the Catalog.

Headquarters Office:

Office of Public Inquiries, Room 4100, Annex, Social Security Administration, Baltimore, MD 21235. Telephone: (410) 965-2736. Use the same number for FTS.

Web Site Address:

<http://www.socialsecurity.gov>

RELATED PROGRAMS:

93.560, Family Support Payments to States, Assistance Payments; 93.778, Medical Assistance Program; 96.001, Social Security Disability Insurance; 96.002, Social Security Retirement Insurance; 96.003, Social Security Special Benefits for Persons Aged 72 and Over; 96.004, Social Security Survivors Insurance; 96.005, Special Benefits for Disabled Coal Miners.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

96.007 SOCIAL SECURITY-RESEARCH AND DEMONSTRATION

(SSA Research and Demonstration)

FEDERAL AGENCY:

SOCIAL SECURITY ADMINISTRATION

AUTHORIZATION:

Social Security Act of 1935, Title II and Title XVI, Section 1110, as amended; 42 U.S.C. 401-433, 902, 1310, 1381-1388c.

OBJECTIVES:

(1) To conduct social, economic, and demographic research on topics important to the Social Security Old Age, Survivors and Disability Insurance and Supplemental Security Income (SSI) programs and the current and future well-being of their beneficiaries; (2) to develop and carry out experiments and research demonstration projects to determine the efficacy of: (a) alternative ways of rehabilitating beneficiaries and encouraging their return to work; and (b) modifying conditions applicable to such beneficiaries including: (i) early referral for rehabilitation services; and (ii) greater use of employers and others in the rehabilitation and placement process.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants are awarded for innovative research and demonstrations which add to existing knowledge and improve methods and techniques for the management, administration, and effectiveness of Social Security Administration (SSA) programs. Grant funds may not be used for construction or major renovation of buildings.

Applicant Eligibility:

Applicants applying for grant funds may include State and local governments, educational institutions, hospitals, public and private organizations, and nonprofit and profit organizations. Private individuals are not eligible to apply. Profit organizations may apply with the understanding that no grant funds may be paid as profit to grant recipients. Profit is considered any amount in excess of the allowable costs of the grant recipient. A profit organization is a corporation or other legal entity that is organized or operated for the profit or benefit of its shareholders or other owners and must be distinguishable or legally separable from that of an individual acting on his/her own behalf.

Beneficiary Eligibility:

State agencies, local governments, educational institutions, hospitals, nonprofit organizations, and profit organizations are eligible to apply for grant funding.

Credentials/Documentation:

Costs and administrative requirements for grantees will be determined in accordance with Social Security Administration regulations 20 CFR 435 and

437.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

SSA prefers that applications be submitted electronically through www.grants.gov. The www.grants.gov, "Getting Started" webpage is available to help explain the registration and application submission process. If applicants experience problems with the steps related to registering to do business with the Federal government or application submission, the first point of contact is the Grants.gov support staff at www.grants.gov/CustomerSupport. If difficulties are not resolved, applicants may also contact the SSA Grants Management Team for assistance. Applicants without Internet access should contact the Grants Management Office for further information on applying. Please fax inquiries to the Grants Management Team at 410-966-9310 or mailed to: Grants Management Team, Office of Acquisition and Grants, Social Security Administration, Attention: SSA-OAG-05-1, 7111 Security Blvd., 1st Floor Rear Entrance, Baltimore, MD 21244. Also, SSA grant program announcements and application information can be obtained from the SSA/OAG Internet site at: <http://www.socialsecurity.gov/oag/grants/>. To be considered for a grant award, all applicants must complete the prescribed application forms and submit them to the Grants Management Team. The application shall be executed by an individual authorized to act for the applicant agency or organization and who will assume the obligations imposed by the terms and conditions of the grant. As part of the project title, the applicant must clearly indicate whether the application submitted is in response to a priority area identified in a program announcement, and must reference the applicable priority area (e.g., "001") in which it is competing. At least three independent reviewers prepare written assessments of each program-relevant grant application. Applications found irrelevant to program objectives are returned to the applicants.

Award Procedure:

OAG provides a Notice of Grant Award (or a Notice of Cooperative Agreement Award) as official notice for approved applications. The notice indicates award amount, the purpose of the award, award terms and conditions, the budget period, the anticipated project period, and the grantee's cost-sharing requirement.

Deadlines:

Closing dates for receipt of grant applications are published in the Federal Register announcement (usually 60 to 90 days after date of publication in the Federal Register).

Range of Approval/Disapproval Time:

Generally, 3 to 6 months after the closing date established in the Federal Register announcement.

Appeals:

There are no formal appeal procedures. If an application is not approved, the reasons will be stipulated in the denial notice.

Renewals:

Grants can be extended and continued via formal application, which is subject to approval. If an application is recommended for approval for 2 or more budget years, the grantee must submit a formal request for funding continuation each year accompanied by a progress report. This will be evaluated prior to a recommendation of continuation of funding.

Formula and Matching Requirements:

Grantees are required to share the cost of projects. The cost-sharing ranges from 5 to 25 percent of total project costs. This program has no statutory formula.

Length and Time Phasing of Assistance:

Funds are usually granted for a period of 12 to 17 months. Funds, however, can be granted for a minimum of 3 months, and up to a maximum of 60 months.

Reports:

Progress and financial reports are required on all projects. Financial reports are required semi-annually. Interim financial reports are due within 30 days after the end of the first 6-month period. Quarterly progress reports are due within 30 days after the end of each quarter of the budget period. Comprehensive final progress and financial reports are due no later than 90 days after the grant expires.

Audits:

Audits shall be conducted in accordance with the provisions of OMB Circular No. A-133 and SSA regulations 20 CFR 435 and 437. In accordance with the provisions of (OMB) Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees must maintain financial records, supporting documents, statistical records and all other records pertinent to an award for 3 years. In the event of an audit, records must be maintained until all questions are resolved.

Account Identification:

28-8704-0-7-601.

Obligations:

(Cooperative Agreements) FY 07 \$22,855,055; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$100,000 to \$1,750,000. Figures may vary with type of program.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, there were 52 awards totaling \$17,079,060 all for continuation of existing projects. There were no new awards. In FY 2006, SSA anticipates funding one new award for \$7.7 million and 52 continuation awards totaling \$15.0 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Not applicable.

Headquarters Office:

Phyllis Y. Smith, Chief, Grants Management Officer, Office of Operations Contracts and Grants, Office of Acquisition and Grants (OAG), DCFAM, Social Security Administration, 7111 Security Blvd., 1st Floor Rear Entrance, Baltimore, MD 21244. Telephone: (410) 965-9518.

Web Site Address:

<http://www.socialsecurity.gov>.

RELATED PROGRAMS:

84.133, National Institute on Disability and Rehabilitation Research; 93.048, Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.648, Child Welfare Services Training Grants; 93.779, Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations; 96.008, Social Security - Work Incentives Planning and Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

(1) Poverty Among Older Women: A Cross National Perspective; (2) Disability Research Institute: Partnership in a New Paradigm; (3) Putting the Pieces Together for Employment; (4) Opening Doors to the Future for Adolescents with Special Healthcare Needs; (5) Making Work Pay: Reducing Medicaid and Social Security Barriers to Employment; (6) Work Incentive, Counseling and Assistance Program for SSI Recipients; and (7) Individual Planning for Self Sufficiency.

CRITERIA FOR SELECTING PROPOSALS:

Generally, applications are evaluated on the following criteria: (1) Adequacy of project design; (2) relevance of the activity to the objectives of the program; (3) qualifications of personnel; (4) adequacy of facilities to conduct activity; (5) reasonableness of costs; and (6) usefulness of the results.

96.008 SOCIAL SECURITY - WORK INCENTIVES PLANNING AND ASSISTANCE PROGRAM

(SSA Work Incentives Planning and Assistance (WIPA) Program)

FEDERAL AGENCY:

SOCIAL SECURITY ADMINISTRATION

AUTHORIZATION:

Social Security Act, Section 1149(d); Section 121, Public Law 106-170; Ticket-to-Work Incentives Improvement Act; Social Security Protection Act, Public Law 108-203; Rehabilitation Act of 1973; Developmental Disabilities Rehabilitation and Assistance and Bill of Rights Act; Workforce Improvement Act of 1998; Lobbying Disclosure Act; 2 U.S.C. 1611.

OBJECTIVES:

To comply with the Ticket-to-Work and Work Incentives Improvement Act (TWWIA) which was passed in December 1999, and reauthorized by the Social Security Protection Act of 2004, which requires the SSA to establish a community-based work incentives planning and assistance program. The purpose of this Program is to disseminate accurate information to beneficiaries with disabilities (including transition-to-work aged youth) about work incentives programs, and issues related to such programs, to enable them to make informed choices about working and whether or when to assign their Ticket to Work, as well as how available work incentives can facilitate their transition into the workforce. The ultimate goal of the WIPA Program is to assist beneficiaries with disabilities succeed in their return to work efforts.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cooperative agreements are awarded to organizations to: (1) Provide statewide work incentives planning and assistance, including information on the availability of protection and advocacy services, to all Social Security Disability Insurance (SSDI) and Supplemental Security Income (SSI) beneficiaries with disabilities, and to conduct ongoing outreach to those beneficiaries with disabilities and to their families that are potentially eligible to participate in State or Federal work incentives programs. (2) Disseminate accurate information to beneficiaries with disabilities (including transition-to-work aged youth) about work incentives programs and issues related to such programs, to enable them to make informed choices about working and whether or when to assign their Ticket to Work, as well as how available work incentives can facilitate their transition into the workforce. Cooperative agreement funds may not be used for

construction or major renovation of buildings.

Applicant Eligibility:

Applicants applying for cooperative agreement funds may include State or local governments (excluding any State administering the State Medicaid program), public or private organizations, or nonprofit or for-profit organizations (for-profit organizations may apply with the understanding that no cooperative agreement funds may be paid as profit to any awardee), as well as Native American tribal organizations that the Commissioner determines is qualified to provide work incentives planning and assistance to all SSDI and SSI beneficiaries with disabilities, within the targeted geographic area. These may include Centers for Independent Living established under Title VII of the Rehabilitation Act of 1973, protection and advocacy organizations, Native American tribal entities, client assistance programs established in accordance with Section 112 of the Rehabilitation Act of 1973, State Developmental Disabilities Councils established in accordance with Section 124 of the Developmental Disabilities Assistance and Bill of Rights Act, and State agencies administering the State program funded under Part A of Title IV of the Act. The Commissioner may also award a cooperative agreement to a State or local Workforce Investment Board, a Department of Labor (DOL) One-Stop Career Center System established under the Workforce Improvement Act of 1998, or a State Vocational Rehabilitation agency. Cooperative agreements may not be awarded to any individual, the Social Security Administration Field Offices, any State agency administering the State Medicaid program under Title XIX of the Act, any entity that the Commissioner determines would have a conflict of interest if the entity were to receive a cooperative agreement under the Work Incentives Planning and Assistance (WIPA) Program or any organization described in Section 501(c)(4) of the Internal Revenue Code of 1968 that engages in lobbying (in accordance with Section 18 of the Lobbying Disclosure Act of 1995, 2 U.S.C. 1611).

Beneficiary Eligibility:

All SSDI and SSI beneficiaries with disabilities.

Credentials/Documentation:

Costs and administrative requirements for grantees will be determined in accordance with Social Security Administration regulations 20 CFR 435 and 437.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms furnished by SSA must be used for this program. When cooperative agreement opportunities are available, SSA publishes a notice in the Federal Register soliciting cooperative agreement applications. Application packages will be provided at www.grants.gov. If extenuating circumstances prevent you from submitting an application through www.grants.gov please contact the Grants Management Team at Office of Acquisition and Grants (OAG), Social Security Administration, Attention: Phyllis Y. Smith or Gary Stammer, 1st Floor-Rear Entrance, 7111 Security Blvd., Baltimore, MD 21244. The telephone numbers are: Phyllis Y. Smith (410) 965-9518 or Gary Stammer, (410) 965-9501. The fax number is (410) 966-9310. To be considered for a cooperative agreement award, all applicants must complete the prescribed application forms, through www.grants.gov unless the applicant has received prior approval from OAG to submit a paper application to the Grants Management Team. The application shall be executed by an individual authorized to act for the applicant agency or organization who will assume the obligations imposed by the terms and conditions of the cooperative agreement. At least three independent reviewers prepare written assessments of each program relevant cooperative agreement application. Applications found irrelevant or unresponsive to program objectives are returned to the applicants.

Award Procedure:

OAG provides a Notice of Cooperative Agreement Award as official notice for approved applications. The notice indicates award amount, the purpose of the award, award terms and conditions, the budget period, the anticipated project period, and the awardee's cost sharing requirement.

Deadlines:

Closing dates for receipt of cooperative agreement applications are published in the Federal Register announcement (usually 30 to 60 days after date of publication in the Federal Register).

Range of Approval/Disapproval Time:

Generally, 3 to 6 months after the closing date established in the Federal Register announcement.

Appeals:

There are no formal appeal procedures. If an application is not approved, the reasons will be stipulated in the denial notice.

Renewals:

Cooperative agreements can be continued via formal continuation application, which is subject to approval. If an application is recommended for approval for 2 or more budget periods, the awardee must submit a formal request for funding continuation each year. Continuation applications are evaluated prior to a recommendation of additional funding.

Formula and Matching Requirements:

The formula is based on the amount appropriated and the percentage of beneficiaries with disabilities: maximum of \$300,000 and minimum of \$100,000 (Minimum awards for territories is \$50,000.) Awardees are required to share the cost of projects. The cost-sharing ranges from five to 25 percent of total project costs.

Length and Time Phasing of Assistance:

Funds are usually granted for a period of 12 months. Funds, however, can be granted for a minimum of 3 months, and up to a maximum of 60 months.

Reports:

Progress and financial reports are required on all projects. Financial reports are required semi-annually. Interim financial reports are due within 30 days after the end of the first 6-month period. Quarterly progress reports are due within 30 days after the end of each quarter of the budget period. Comprehensive final progress and financial reports are due no later than 90 days after the cooperative agreement expires.

Audits:

Audits shall be conducted in accordance with SSA regulations 20 CFR 435 and 437. In accordance with the provisions of (OMB) Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Awardees must maintain financial records, supporting documents, statistical records and all other records pertinent to an award for 3 years after the end of the project. In the event of an audit, records must be maintained until all questions are resolved.

Account Identification:

28-8704-0-7-999.

Obligations:

(Cooperative Agreements) FY 07 \$23,000,000; FY 08 est \$23,000,000 and FY 09 est not reported.

Range and Average of Financial Assistance:

\$50,000 to \$300,000. Figures may vary with type of program.

PROGRAM ACCOMPLISHMENTS:

In October 2006, SSA awarded cooperative agreements to 99 entities, to implement work incentives planning and assistance (WIPA) services. In April 2007, SSA awarded an additional 5 cooperative agreements in order to establish national WIPA coverage. Continuation awards will be effective in April 2008, for ten subsequent months.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Not applicable.

Headquarters Office:

Elissa Ness, Project Officer, Office of Employment Support Programs (OESP), ODISP, 6401 Security Boulevard, 107 Altmeyer Building, Baltimore, MD 21235. Telephone: (410) 965-7955.

Web Site Address:

<http://www.socialsecurity.gov/work>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities.

EXAMPLES OF FUNDED PROJECTS:

(1) Independent Living Centers; (2) Goodwill Organizations; (3) University Based Programs; (4) Protection and Advocacy Organizations; (5) Vocational Rehabilitation Organizations (5) Hospitals.

CRITERIA FOR SELECTING PROPOSALS:

Generally, applications are evaluated on the following criteria: (1) Capability; (2) relevance and adequacy of project design; (3) resources and management; and (4) quality assurance.

96.009 SOCIAL SECURITY STATE GRANTS FOR WORK INCENTIVES ASSISTANCE TO DISABLED BENEFICIARIES

FEDERAL AGENCY:

SOCIAL SECURITY ADMINISTRATION

AUTHORIZATION:

Social Security Act, Section 1150 as added by Section 122 of Public Law 106-170 as amended; Social Security Act, Sections 404 and 407 of Public Law 108-203; Ticket-to-Work Incentives Improvement Act; Part C of Title I of the Developmental Disabilities Assistance and Bill of Rights Act; Workforce Improvement Act of 1998, 42 U.S.C. 6041, et. seq.

OBJECTIVES:

To comply with the Ticket-to-Work and Work Incentives Improvement Act legislation passed in December 1999, permitting the SSA to make payments to each State to the protection and advocacy system established for the purpose of providing services to disabled beneficiaries who want to work.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are awarded to organizations to: (1) Provide information and advice about obtaining vocational rehabilitation and employment services; and (2) Provide advocacy or other services that Social Security Disability Insurance (SSDI) and Supplemental Security Income (SSI) beneficiaries with disabilities may need to secure, maintain, or regain gainful employment. Grant funds may not be used for construction or major renovation of buildings.

Applicant Eligibility:

Applicants applying for grant funds are limited to State protection and advocacy systems established pursuant to Part C of Title I of the Developmental Disabilities Assistance and Bill of Rights Act.

Beneficiary Eligibility:

All individuals within the State who are entitled to SSDI or eligible for SSI benefits based on disability or blindness.

Credentials/Documentation:

Costs and administrative requirements for grantees will be determined in accordance with Social Security Administration regulations 20 CFR 435 and 437.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms furnished by SSA must be used for this program. When grant opportunities are available, SSA sends a letter to the applicable protection and advocacy agency. Application kits that contain the prescribed application forms and supplemental descriptive information on the priority areas are available from the Internet site at www.grants.gov. SSA grant program announcements and application information also can be obtained from the Grants Management Team, Office of Operations Contracts and Grants (OOCG), Office of Acquisition and Grants (OAG), Office of the Deputy Commissioner for Budget, Finance and Management (DCBFM), Social Security Administration, 1st Floor, Rear Entrance, 7111 Security Blvd., Baltimore, MD 21244. Contacts: Phyllis Y. Smith, Chief, Grants Management Officer. Telephone: (410) 965-9518 or Gary Stammer, Telephone: (410) 965-9501. The fax number is (410) 966-9310. To be considered for a grant, all applicants must complete the prescribed application forms and submit them to the Grants Management Team. The application shall be executed by an individual authorized to act for the applicant agency or organization who will assume the obligations imposed by the terms and conditions of the cooperative agreement.

Award Procedure:

OAG provides a Notice of Grant Award as official notice for approved applications. The notice indicates award amount, the purpose of the award, award terms and conditions, the budget period, the anticipated project period, and the awardee's cost-sharing requirement.

Deadlines:

Closing dates for receipt of grant applications are stated in the covering letter (usually 60 to 90 days after date of the letter).

Range of Approval/Disapproval Time:

Generally, 3 to 6 months after the closing date established in the Federal Register announcement.

Appeals:

There are no formal appeal procedures. If an application is not approved, the reasons will be stipulated in the denial notice.

Renewals:

Grants can be continued via formal application, which is subject to approval. If an application is recommended for approval for 2 or more budget periods, the awardee must submit a formal request for funding continuation each year. Continuation applications are evaluated prior to a recommendation of additional funding.

Formula and Matching Requirements:

The formula is based on the amount appropriated and the percentage of beneficiaries with disabilities: A protection and advocacy system located in a State (including the District of Columbia and Puerto Rico) other than Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of Northern Mariana Islands, the greater of \$100,000 or 1/3 of 1 percent of the amount available for payments. In the case of a protection and advocacy system located in Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of Northern Mariana Islands, \$50,000.

Length and Time Phasing of Assistance:

Funds are usually granted for a period of 12 to 17 months. Funds, however, can be granted for a minimum of 3 months, and up to a maximum of 60 months.

Reports:

Progress and financial reports are required on all projects. Financial and progress reports are required semi-annually. Annual Program Performance Reports are required on all projects.

Audits:

Audits shall be conducted in accordance with SSA regulations 20 CFR 435 and 437. In accordance with the provisions of (OMB) Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit

Organizations, "nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Awardees must maintain financial records, supporting documents, statistical records and all other records pertinent to an award for 3 years after the end of the project. In the event of an audit, records must be maintained until all questions are resolved.

Account Identification:

28-8704-0-7-999.

Obligations:

(Grants) FY 07 \$7,000,000; FY 08 est \$7,000,000; and FY 09 not reported.

Range and Average of Financial Assistance:

\$50,000 to \$438,275; \$117,982.

PROGRAM ACCOMPLISHMENTS:

SSA awarded 12-month awards totaling \$7,000,000 in fiscal year 2007. SSA expects to award 12-month awards totaling \$7,000,000 in FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Jen DeBoy Stammer, Project Officer, Office of Employment Support Programs (OESP), ODISP, 6401 Security Boulevard, 107 Altmeyer Building, Baltimore, MD 21207-5279. Telephone: (410) 965-8658.

Web Site Address:

<http://www.socialsecurity.gov/work/ServiceProviders/pafactsheet.html>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities.

EXAMPLES OF FUNDED PROJECTS:

State protection and advocacy systems.

CRITERIA FOR SELECTING PROPOSALS:

Generally, applications are evaluated on the following criteria: (1) capability; (2) relevance and adequacy of project design; (3) resources and management; and (4) quality assurance.

96.020 SPECIAL BENEFITS FOR CERTAIN WORLD WAR II VETERANS

(Special Veterans Benefits; SVB)

FEDERAL AGENCY:

SOCIAL SECURITY ADMINISTRATION

AUTHORIZATION:

Foster Care Independence Act of 1999, Section 251, Public Law 106-169; Social Security Act, Title VIII.

OBJECTIVES:

To pay a special benefit to certain World War II veterans, who are eligible for Supplemental Security Income (SSI) benefits and meet other specified criteria for each month that they reside outside of the United States.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to certain World War II veterans who meet the qualification criteria prescribed in the law for each month they reside outside the United States on the first day of that month. There are no restrictions on the use of benefits, although the right to future benefits is not transferable or assignable.

Applicant Eligibility:

In order to become entitled for SVB, an applicant must: be age 65 or older on December 14, 1999, the date the Law was enacted; be a World War II veteran with length and dates of service and character of discharge as specified in the Law, this includes Filipino veterans of World War II who served in the organized military forces of the Philippines while the forces were in the service of the U.S. armed forces, or served in organized guerrilla forces under the auspices of the U.S. military; be eligible for SSI benefits for December 1999, the month the Law was enacted; be eligible for SSI benefits for the month that the application for SVB was filed; and, have other benefit income that is less than 75 percent of the SSI Federal benefit rate. For 2006, 75 percent of the SSI Federal benefit rate is \$452.25.

Beneficiary Eligibility:

Benefits are paid to certain World War II veterans meeting the criteria specified under Applicant Eligibility.

Credentials/Documentation:

Proof of age, military service, the receipt and amount of benefit income, and foreign residence is required.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

An application may be filed at any local Social Security office, the SSA Division of the Veterans Affairs Regional Office in Manila, the Philippines, and U.S. Embassies and consulates worldwide.

Award Procedure:

The applicant (and representative payee, if any) will be notified by mail of award or denial.

Deadlines:

Please contact program office for application deadline.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

A request for appeal may be filed at any local Social Security office, the SSA Division of the Veterans Affairs Regional Office in Manila, the Philippines and U.S. Embassies and consulates worldwide. The appeal process ranges from a local office review to a review by the Federal courts. Generally, appeals must be filed within 60 days of the date on which a written notice of decision is received by the applicant.

Renewals:

The law allows for the redetermination of a person's benefit amount and continuing eligibility. The procedures for conducting such reviews are not yet determined.

Formula and Matching Requirements:

This program has no statutory formula for matching requirements.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Any change of address or any event affecting SVB qualification or benefit amount (such as an increase in other benefit income received) must be reported to the Social Security Administration when the event occurs.

Audits:

None.

Records:

None.

Account Identification:

28-0401-0-1-701.

Obligations:

(Federal Benefit Payments) FY 07 \$11,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

The maximum monthly SVB payable for current year 2006 is \$452.25 (Per Title VIII of the Act, the benefit amount equals 75 percent of the SSI Federal benefit rate.) This amount will change yearly. The monthly SVB amount payable to an individual is reduced by the amount of that person's other benefit income for the month. Other benefit income includes any recurring payment received as an annuity, pension, retirement or disability benefit. The average monthly federal payment for January 2006 is \$342.68.

PROGRAM ACCOMPLISHMENTS:

The Social Security Administration began taking SVB applications in April 2000 and initiated benefit payments in May 2000. The SVB program has approximately 2,550 beneficiaries who have established residency in the Philippines receiving monthly SVB payments. Individuals may apply at any U.S. Social Security Office, at the SSA Division of the Office of Veterans Affairs in Manila, Philippines, or at any U.S. Embassy or consulate.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Part 408, Special Benefits for Certain World War II Veterans, subparts A through E and G through L, a program fact sheet "Special Benefits to Certain World War II Veterans," and a booklet "Special Benefits for World War II Veterans" are available from any Social Security Office without charge. They can also be found on the Social Security internet site. Regulations subpart G is being developed.

Regional or Local Office:

Consult Appendix IV of the Catalog.

Headquarters Office:

Social Security Administration, Office of Public Inquiries, Windsor Park Building, 6401 Security Blvd., Baltimore, MD 21235. Telephone: (410) 965-2736.

Web Site Address:

<http://www.socialsecurity.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

DEPARTMENT OF HOMELAND SECURITY

97.004 STATE DOMESTIC PREPAREDNESS EQUIPMENT SUPPORT PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

U.S.A. Patriot Act of 2001, Public Law 107-56.

OBJECTIVES:

To enhance the capacity of State and local first responders to respond to terrorism incident involving chemical, biological, nuclear, radiological, incendiary, and explosive devices. The program was re-named as the State Homeland Security Grant Program (SHSGP) (97.073) and is one of the six (6) component programs which were merged or consolidated into the Homeland Security Grant Program (97.067).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

States will receive an allocation of funds to purchase equipment for State and local first responders, in accordance with the authorized equipment list included in the Application Kit, and an allocation to support the planning and conduct of exercises. Administrative funds will be provided to conduct comprehensive threat and needs assessments and to develop and implement a Statewide Domestic Preparedness Strategy to enhance first responder capabilities to respond to a terrorist incident.

Applicant Eligibility:

Eligible applicants are the States, the District of Columbia, and the Territories of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Northern Mariana Islands. References to "States" includes all eligible applicants.

Beneficiary Eligibility:

State and local governments.

Credentials Documentation:

To qualify to apply for grant funds, States were required to conduct a comprehensive threat and needs assessment and to develop a Statewide Domestic Preparedness Strategy. Refer to program guidance document for further information.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

The funds are allocated to the States on the basis of a formula that provides a base amount to each State, with the balance of the funds distributed on the basis of population. There is no match requirement for this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and or reimbursement of expenditures.

Reports:

Categorical Assistance Progress Reports (CAPR) are due within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. Grantees are also required to submit quarterly financial and reports. These reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. final financial and CAPRs are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular

A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program progress reports, support documents, statistical records, and other documents that support the activity and or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Grants) FY 07 \$ est. not available; FY 08 \$ est. not available; and FY 09 \$ est. not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

States have completed risk and needs assessments and have developed Statewide Domestic Preparedness Strategies. States have identified equipment that is needed by first responders and have established priorities for the purchase and allocation of equipment. The strategies also identify the need for training, technical assistance, and exercises. ODP is working with the States to develop State Assistance Plans to allocate ODP resources to address the training, technical assistance, and exercise needs identified in the Statewide Domestic Preparedness Strategies.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Office of Justice Programs Financial Guide and The State Domestic Preparedness Equipment and Exercise Program Guidelines and Application Kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Office of Grant Programs, C/O 245 Murray Lane, Bldg. #410, Washington, DC 20523. Telephone: (800) 368-6498.

Web Site Address:

<http://www.ojp.usdoj.gov>.

RELATED PROGRAMS:

16.580, Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program; 97.067, Homeland Security Grant Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.005 STATE AND LOCAL HOMELAND SECURITY TRAINING PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Department of Homeland Security Appropriations Act of 2006, Public Law 109-90.

OBJECTIVES:

To design, develop, and deliver training content and support for Federal, State, local, and tribal jurisdictions in accordance with DHS doctrine guidance and approved Homeland Security Strategies.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Provides funding through cooperative and inter-agency agreements to the National Domestic Preparedness Consortium, Rural Domestic Preparedness Consortium, and Continuing and Emerging training providers for the purposes of the development and delivery of all-hazards training for Federal, State, local, and tribal emergency responders.

Applicant Eligibility:

This is a closed solicitation, available only to eligible organizations. There are two categories funded: 1) Non-Federal members that make up the National Domestic Preparedness Consortium (NDPC) and the Rural Domestic Preparedness Consortium (RDPC) and consist of the following institutions: Louisiana State University, Texas A&M, New Mexico Institute of Mining and Technology, and Eastern Kentucky University; and 2) Continuing and Emerging Training Grant programs. These programs meet the continuing training needs of emergency responder communities and have received previous funding through a competitive process e.g., Competitive Training Grant Program (CTGP) or national associations that represent key stakeholders.

Beneficiary Eligibility:

State and local units of government.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Potential continuation or extensions of some awards.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Categorical Assistance Progress Reports (CAPR) are required semi-annually and due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(includes National Domestic Preparedness Consortium and Center for Domestic Preparedness) FY 07 \$84,076,692; FY 08 est \$181,500,000; and FY 09 est \$79,000,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Past training efforts has resulted in the training of over 390,000 first responders. Courses are designed to increase awareness of terrorism threats and weapons of mass destruction among public officials, public health and the medical community, public safety and public works personnel, as well as provide intensive technician and operations courses that demonstrate the effects of and response to live agents, explosives and radiation.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitations may be developed to solicit proposals for new activities.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security 245 Murray Lane SW, Bldg 410 Washington, DC 20528.

Web Site Address:

www.dhs.gov.

RELATED PROGRAMS:

97.007, Homeland Security Preparedness Technical Assistance Program; 97.068, Competitive Training Grants.

EXAMPLES OF FUNDED PROJECTS:

Training courses for public officials and first responders to include fire fighters, law enforcement, emergency medical services, emergency management, public works, and public health. Training courses are divided into three categories: awareness level, performance level, and planning and management level.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.006 STATE AND LOCAL HOMELAND SECURITY EXERCISE SUPPORT

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

U.S.A. Patriot Act of 2001, Public Law 107-56; Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act of 2002, Public Law 107-117; Consolidated Appropriations Resolution of 2003, Public Law 108-7; Department of Homeland Security Appropriations Act of 2004, Public Law 108-90.

OBJECTIVES:

To enhance the capacity of State and local first responders to respond to a weapons of mass destruction (WMD) terrorism incident involving chemical, biological, radiological, nuclear, and explosive devices.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds will be used to provide support for planning and conducting exercises at the National, State, and local levels.

Applicant Eligibility:

Eligible applicants are public or private organizations with the expertise and experience to provide assistance to State and local jurisdictions; to facilitate, conduct, and evaluate exercises; and/or to develop guidance, materials and publications related to the conduct of exercises or identification of lessons learned.

Beneficiary Eligibility:

State and local units of government.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Refer to program guidance document.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Recipients are required to submit an initial quarterly progress report for the first full calendar quarter, followed by semi-annual progress reports; Categorical Assistance Progress Reports (CAPR), for the remainder of the grant period. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 30, April 30, July 30, and Oct. 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations,"

nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0511-0-1-999.

Obligations:

FY 07 \$ est. not available; FY 08 \$ est. not available; and FY 09 \$ est. not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

This program has supported States and local jurisdictions in planning and conducting homeland security-related exercises. Efforts will be significantly enhanced under this program to assist the States with meeting their exercise-related needs identified in their Statewide Domestic Preparedness Strategies.

REGULATIONS, GUIDELINES, AND LITERATURE:

None. Refer to program guidance document.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Office of Grant Programs, 245 Murray Drive, SW., Washington, DC 20528. Telephone: (202) 282-8000.

Web Site Address:

<http://www.ojp.usdoj.gov/odp>

RELATED PROGRAMS:

97.004, State Domestic Preparedness Equipment Support Program; 97.007, Homeland Security Preparedness Technical Assistance Program; 97.067, Homeland Security Grant Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.007 HOMELAND SECURITY PREPAREDNESS TECHNICAL ASSISTANCE PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Department of Homeland Security Appropriations Act of 2004, Public Law 108-90.

OBJECTIVES:

To provide direct assistance to State, regional. Local and Tribal jurisdictions to improve their ability to prevent, protect against respond to, and recover from major events, including threats or acts or terrorism.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS:

Costs associated with developing and delivering technical assistance services to State and local homeland security personnel to address the full spectrum of mission areas, national priorities, and target capabilities outlined in the National Preparedness Goal. The technical assistance must support the National Preparedness Guidelines, National Priorities, and national strategies and doctrine related to homeland security.

Applicant Eligibility:

Previously identified technical assistance providers and any other entity including State and local governmental units, national associations and organizations, higher education institutions, nonprofits, and the private sector which demonstrate the ability to deliver the services outlined in the program guidance to State and local jurisdictions.

Beneficiary Eligibility:

State and local units of government.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental

Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff.

Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Categorical Assistance Progress Reports (CAPR) are required semi-annually and due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

FY 07 \$1,549,987, FY 08 \$12,000,000; and FY 09 est \$10,000,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Past technical assistance efforts have focused on helping the states to conduct threat and needs assessments and develop their Homeland Security Strategies, training on the use and maintenance of specialized equipment, development of resource materials on domestic preparedness, as well as responding to site-specific technical assistance needs.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines please refer to the program guidance.

Regional or Local Office:

None.

Headquarters Office:

U.S Department of Homeland Security 245 Murray Lane - Bldg. #410, Washington, DC 20258.

Web Site Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.067, Homeland Security Grant Program.

EXAMPLES OF FUNDED PROJECTS:

Direct assistance to State and local jurisdictions in enhancing grants management technical assistance, Best Practice Support Technical Assistance, and Critical Asset Assessment Technical Assistance.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.008 URBAN AREAS SECURITY INITIATIVE

(UASI)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act of 2007, Public Law 110-53 and the Department of Homeland Security Appropriations Act, 2008, Division E, Consolidated Appropriation Act, 2008, Public Law 110-161.

OBJECTIVES:

To support the unique multi-discipline planning, operations, equipment, training, and exercise needs of high threat, high density Urban Areas, and to assist them in building and sustaining capabilities to prevent, protect against, respond to, and recover from threats or acts of terrorism.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds provided under this grant are designed to address the unique needs of large urban areas and mass transit authorities. Funds can be used for equipment, training, exercises and planning. Urban Areas must utilize their Urban Area Homeland Security Strategy and the State's Program and Capability Enhancement Plan as the basis for requesting funds. There must be a clear correlation between the goals, objectives, and priorities identified in the Urban Area Homeland Security Strategy and UASI program activities. In addition, the Urban Area Homeland Security Strategy must also be consistent with and supportive of the State Homeland Security Strategy and the Program and Capability Enhancement Plan submitted by the State as part of the HSGP application. The UASI Nonprofit Security Grant Program (NSGP) provides funds to support security enhancements to property owned or leased by nonprofit organizations at high risk of attack from terrorist organizations.

Applicant Eligibility:

Applicant Eligibility: Selected city or combined entities identified within the current year Homeland Security Grant Program guidance and application kit. States that contain the selected cities will be notified of their eligibility to apply for this grant. The State agency with overall responsibility for developing the State Homeland Security Strategy and administering homeland security grant programs will be responsible for the administration of this program. In addition, Nonprofit, 501(c)(3) organizations that are at deemed high risk of a potential terrorist attack and are located within one of the specific UASI-eligible urban areas are eligible to submit applications through their State Administrative Agency (SAA) for (NSGP) funds.

Beneficiary Eligibility:

Local governments.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

Funds are allocated based on analysis of risk and the effectiveness of proposed investments by the applicants. Risk for UASI jurisdictions is evaluated using a consistent methodology of threat, vulnerability, and consequences. There is no match requirement for this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit an Initial Strategy Implementation Plan (ISIP) within 60 days of the grant award date. Categorical Assistance Progress Reports

(CAPR) and Biannual Strategy Implementation Reports (BSIR) are required semi-annually. The CAPR and BSIR are due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR, BSIR, and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-754.

Obligations:

(Grants) FY 07 \$24,007,500 (remaining funding included in 97.067); FY 08 \$862,925,000; and FY 09 est \$825,000,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Urban areas have completed threat, vulnerability, capabilities, and needs assessments and have developed Urban Area Homeland Security Strategies. The strategies identify the needs for planning, organization, equipment, training, exercises, and technical assistance.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines please refer to the UASI section of the HSGP solicitation guidance and application kit

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security 245 Murray Lane, Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.042, Emergency Management Performance Grants; 97.053, Citizen Corps; 97.071, Metropolitan Medical Response System; 97.073 State Homeland Security Program (SHSP); 97.074, Law Enforcement Terrorism Prevention Program (LETPP).

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.009 CUBAN/HAITIAN ENTRANT PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Refugee Education Assistance Act of 1980, as amended, Title V, Section 501 C, Public Law 96-422, 94 Stat. 1809; Executive Order 12341, January 21, 1982; Economy Act of 1932, 31 U.S.C. 1535, as amended.

OBJECTIVES:

(1) To provide primary resettlement services to Cubans and Haitians, paroled into the United States by the Department of Homeland Security (DHS) for humanitarian reasons and; (2) to provide secondary resettlement assistance to Cuban and Haitian entrants living in south Florida whose initial resettlement did not lead to their achievement of economic self-sufficiency.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Assistance is limited to Cuban and Haitian entrants as defined in the authorizing Act. Federal policy governs further eligibility factors. The scope of services for

which funds are available to grantees, or cooperative agreement recipients, are defined in program announcements published in the Federal Register dated March 9, 1981, January 5, 1983, July 5, 1985, April 12, 1988, June 26, 1989, and January 5, 1995.

Applicant Eligibility:

Public or Private, nonprofit organizations or agencies, and under certain conditions for-profit organizations, agencies, or institutions.

Beneficiary Eligibility:

Cuban and Haitian nationals who meet the definition of entrant set forth in Title V, Section 501(e) of Public Law 96-422.

Credentials/Documentation:

Persons for whom assistance is authorized through resettlement programs are limited to Cuban and Haitian nationals who possess documentation as described in Title V of the Refugee Education Assistance Act of 1980 (Public Law 96-422). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

A grant or cooperative agreement may be amended in order to extend the program and budgetary period if funds are available and the proposed amendment does not represent a substantive deviation from previous services or costs. Applications may also be renewed through the competitive process after the end of the program period.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0504-0-1-999.

Obligations:

(Grants) FY 07 \$8,688,037; FY 08 est \$10,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2004, it is estimated that 19,000 Cuban and Haitian entrants will be served. In fiscal year 2003, the program served over 12,000 Cuban and Haitian entrants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Several regulations apply to the resettlement grants. Until further notice, these include but are not limited to: 28 CFR Part 42, Sub-parts C,G, H and OMB Circular Nos. A-110, A-122, A-133, and Federal Acquisition Regulation (FAR), Sub-part 32.1.

Regional or Local Office:

DHS, ICE, OIA, PHAB Miami Office: Room 220, 51 SW 1st Ave., Miami, FL 33130. Telephone: (305) 536-4261.

Headquarters Office:

U.S. Department of Homeland Security 245 Murray Lane, Bldg 410 Washington, DC 20258.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects funded in the past have included services to eligible Cubans and Haitians paroled from DHS custody. These services include resettlement in various parts of the United States and assistance with housing, basic necessities and employment.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

The applicant provides for effective program structure and accountability as demonstrated by administrative and programmatic controls, as well as program and client records and reports. (10 POINTS) F. The adequacy of the program evaluation plan, including the methodology and criteria for evaluating effective of the program in resettling the clients. (10 POINTS). Programmatic control, predictability and accountability as evidenced by the structure and continuity inherent in the program design. (15 POINTS) 5. The adequacy of the plans for: a. developing and updating individual client service plans; and, b. the proposed system of case management. (10 POINTS) 6. The reasonableness of the proposed budget and budget narrative, in relation to proposed program activities. (10 POINTS) 7. The plan for program evaluation, including the methodology and criteria for evaluation the program. (5 POINTS) 8. The degree to which the application has provided written documented evidence of community support and acceptance of the program. (5 POINTS) Programmatic control, predictability and accountability as evidenced by the structure and continuity inherent in the program design. (15 POINTS) 5. The adequacy of the plans for: a. developing and updating individual client service plans; and, b. the proposed system of case management. (10 POINTS) 6. The reasonableness of the proposed budget and budget narrative, in relation to proposed program activities. (10 POINTS) 7. The plan for program evaluation, including the methodology and criteria for evaluation the program. (5 POINTS) 8. The degree to which the application has provided written documented evidence of community support and acceptance of the program. (5 POINTS) Programmatic control, predictability and accountability as evidenced by the structure and continuity inherent in the program design. (15 POINTS) 5. The adequacy of the plans for: a. developing and updating individual client service plans; and, b. the proposed system of case management. (10 POINTS) 6. The reasonableness of the proposed budget and budget narrative, in relation to proposed program activities. (10 POINTS) 7. The plan for program evaluation, including the methodology and criteria for evaluation the program. (5 POINTS) 8. The degree to which the application has provided written documented evidence of community support and acceptance of the program. (5 POINTS) Programmatic control, predictability and accountability as evidenced by the structure and continuity inherent in the program design. (15 POINTS) 5. The adequacy of the plans for: a. developing and updating individual client service plans; and, b. the proposed system of case management. (10 POINTS) 6. The reasonableness of the proposed budget and budget narrative, in relation to proposed program activities. (10 POINTS) 7. The plan for program evaluation, including the methodology and criteria for evaluation the program. (5 POINTS) 8. The degree to which the application has provided written documented evidence of community support and acceptance of the program. (5 POINTS) Programmatic control, predictability and accountability as evidenced by the structure and continuity inherent in the program design. (15 POINTS) 5. The adequacy of the plans for: a. developing and updating individual client service plans; and, b. the proposed system of case management. (10 POINTS) 6. The reasonableness of the proposed budget and budget narrative, in relation to proposed program activities. (10 POINTS) 7. The plan for program evaluation, including the methodology and criteria for evaluation the program. (5 POINTS) 8. The degree to which the application has provided written documented evidence of community support and acceptance of the program. (5 POINTS) Programmatic control,

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97.010 CITIZENSHIP EDUCATION AND TRAINING

FEDERAL AGENCY:
DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:
Immigration and Nationality Act of 1952, as amended, Public Law 82-414, 66 Stat. 163, 8 U.S.C. 1003, 1443(b), 1457.

OBJECTIVES:
To promote instruction and training in citizenship responsibilities for persons interested in becoming naturalized citizens of the United States and to initiate and further efforts on their part to learn the English language and acquire the knowledge of U.S. history and government needed to qualify for naturalization.

TYPES OF ASSISTANCE:
Dissemination of Technical Information.

USES AND USE RESTRICTIONS:
United States Citizenship and Immigration Services (USCIS) has prepared federal publications and educational tools on citizenship at various reading levels for use in acquiring or expanding a knowledge of U.S. history and government.

Applicant Eligibility:
Community- and faith-based organizations, public libraries, as well as adult educators conducting citizenship education classes for aliens, are eligible as described in the section above.

Beneficiary Eligibility:
Immigrant Service Providers.

Credentials/Documentation:
None.

Preapplication Coordination:
None. This program is excluded from coverage under E.O. 12372.

Application Procedure:
Immigrant service providers may register for a free copy of these educational materials at www.citizenshiptoolkit.gov.

Award Procedure:
Not applicable.

Deadlines:
None.

Range of Approval/Disapproval Time:
Not applicable.

Appeals:
Not applicable.

Renewals:
One copy available per eligible organization per year. Requests for additional copies are available for purchase through the Government Printing Office at <http://bookstore.gpo.gov/collections/cis-toolkit.jsp>.

Formula and Matching Requirements:
None.

Length and Time Phasing of Assistance:
Not applicable.

Reports:
None.

Audits:
None.

Records:

None.

Account Identification:
70-5088-0-1-751.

Obligations:
(Immigration Examinations Fee) FY 07 \$375,000; FY 08 est \$400,000; FY 09 est \$400,000.

Range and Average of Financial Assistance:
Not applicable.

PROGRAM ACCOMPLISHMENTS:
Approximately 13,000 copies of the package of citizenship educational materials have been distributed.

REGULATIONS, GUIDELINES, AND LITERATURE:
8 CFR 332 b. Literature.

Regional or Local Office:
For more information, visit: www.citizenshiptoolkit.gov.

Headquarters Office:
Department of Homeland Security, 245 Murray Drive, SW., Washington, D.C. 20528. Telephone: (202) 272-1310.

Web Site Address:
www.citizenshiptoolkit.gov.

RELATED PROGRAMS:
84.002, Adult Education - Basic Grants to States.

EXAMPLES OF FUNDED PROJECTS:
None.

CRITERIA FOR SELECTING PROPOSALS:
Not applicable.

97.011 BOATING SAFETY

FEDERAL AGENCY:
DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:
14 U.S.C. 141; 14 U.S.C. 821-832; 14 U.S.C. 891-894.

OBJECTIVES:
To improve safe operations and uses of water craft.

TYPES OF ASSISTANCE:
Provision of Specialized Services; Advisory Services and Counseling; Dissemination of Technical Information; Training.

USES AND USE RESTRICTIONS:
The Coast Guard Auxiliary is the volunteer workforce element of the U.S. Coast Guard, and it presents a wide variety of boating safety services to the public. It conducts a diverse range of courses and training programs that educate boaters and help them achieve State boating safety certificates. The Auxiliary performs Vessel Safety Checks (VSC), which are free checks of safety-related equipment on recreational boats. Boats that pass the VSC are given a "Seal of Safety" decal which indicates the boat met Federal and pertinent State safety-related equipment requirements. If deficiencies are found, the owner is advised of such, but no report is made to any law enforcement authority and a decal is not issued. Auxiliarists also support virtually all Coast Guard missions except direct law enforcement and combat operations. They offer their personal boats, airplanes, radios, and vehicles to perform operational and support activities like marine safety patrols, public outreach, and other recreational boating safety missions.

Applicant Eligibility:
Any U.S. citizen, 17 years of age or older, is eligible to apply for membership in the Auxiliary. All Auxiliarists undergo a personal security investigation that serves as a key factor in the determination of their suitability for service.

Beneficiary Eligibility:
Boating public.

Credentials/Documentation:
None.

Preapplication Coordination:
None. This program is excluded from coverage under E.O. 12372.

Application Procedure:
Contact the local Coast Guard District Director of Auxiliary or the local Coast Guard Auxiliary Flotilla which can be found at the Visitors section of the Auxiliary web site, <http://nws.cgau.org>. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:
None.

Deadlines:
None.

Range of Approval/Disapproval Time:
None.

Appeals:
None.

Renewals:
None.

Formula and Matching Requirements:
None.

Length and Time Phasing of Assistance:
None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

70-8149-0-1-403.

Obligations:

(Salaries and Expenses) FY 07 \$16,007,000; FY 08 est \$15,893,000; and FY 09 est \$16,129,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In calendar year 2007, the Coast Guard Auxiliary conducted over 118,000 VSCs, more than 79,500 marine dealer outreach visits, and about 50,000 hours of boating safety course instruction. Auxiliaries also performed over 400,000 hours of operational support, assisted over 5,600 people and more than \$38 million in property, and saved approximately 180 lives.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program literature available from agency.

Regional or Local Office:

Coast Guard District Offices: Persons are encouraged to communicate with the Director of Auxiliary in each district (see the Appendix IV of the Catalog for a list of the addresses of the district offices).

Headquarters Office:

Commandant (CG-5421), Auxiliary Division, Room 3501, Coast Guard, 2100 Second Street, SW., Washington, DC 20593-0001. Telephone: (202) 372-1261. Contact: Steve Minutolo (CG-54211).

Web Site Address:

<http://nws.cgaux.org>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

97.012 BOATING SAFETY FINANCIAL ASSISTANCE**FEDERAL AGENCY:**

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Public Law 98-89, 97 Stat. 592; 46 U.S.C. 13101-13109; Sportfishing and Recreational Boating Safety Act of 2005 (Subtitle A, Title X, Public Law 109-59).

OBJECTIVES:

To encourage greater State participation and uniformity in boating safety, particularly to permit the States to assume the greater share of boating safety education, assistance, and enforcement activities, and to assist the States in developing, carrying out and financing their recreational boating safety programs. Awards are made available to national nonprofit public service organizations to support national recreational boating safety activities.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Acquisition, maintenance and operating test costs of State boating safety program facilities, equipment, and supplies; personnel salaries and reimbursable expenses; costs of training personnel; public boating safety education; cost of acquiring, constructing and repairing public access sites; costs of administering the program; national boating safety activities of national nonprofit public service organizations; and other expenses which the Secretary considers appropriate.

Applicant Eligibility:

States (including Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Marianas, and the District of Columbia) having a Coast Guard-approved boating safety program may apply for financial assistance. States may not receive more than one-half of the total costs incurred for their boating safety program. States must have a boating safety program as described in 46 U.S.C. 13102(c) to be eligible for a full share of the funds. National nonprofit public service organizations may apply and receive a combined total of up to 5 percent of the funds available in any 1 year.

Beneficiary Eligibility:

Nation's boating public.

Credentials/Documentation:

Cost of State programs will be determined in accordance with 46 U.S.C. 13107(b) and OMB Circular No. A-87. The Federal cost principles contained in OMB Circular No. A-122 shall be used in determining costs applicable to national nonprofit public service organizations.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental

Review of Federal Programs." A State applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. States (including eligible territories and the District of Columbia) will be contacted by the Coast Guard. National nonprofit public service organizations interested in boating safety will be given public notice via the Federal Register on or about October 1, as well as by posting of funds availability via the Grants.gov website.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Will be considered.

Renewals:

None.

Formula and Matching Requirements:

One-third of the funds available to the States shall be divided equally among eligible States; one-third shall be divided among eligible States based on the ratio of vessels numbered under approved State numbering systems; the remaining one-third shall be divided among eligible States based on the ratio of State funds spent for State boating safety programs in the previous fiscal year. The Federal share of funds expended on boating safety may not exceed 50 percent of total cost of a State program. Funds remain available for obligation by a State for 3 years following date of allocation. Not more than 5 percent of the appropriation may be allocated to national nonprofit public service organizations. There is no matching requirement for grants to nonprofit organizations.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-8149-0-7-403.

Obligations:

FY 07 \$111,916,440; FY 08 est. \$124,875,359; and FY 09 est. \$117,568,011.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

In the prior fiscal year, the Coast Guard entered into contractual obligations with 50 States, the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, Guam, Northern Mariana Islands, and 15 national nonprofit public service organizations.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Part 13 (States) and 49 CFR Part 19 (nonprofit organizations); Office of Management and Budget Circular Nos. A-87; A-102; A-110; A-122; A-133; and the State Recreational Boating Safety Program State Guide (COMDTPUB P16755.3).

Regional or Local Office:

None.

Headquarters Office:

Headquarters Office: State Grants: W. Vann Burgess; Telephone: (202) 372-1071; William.V.Burgess@uscg.mil. Nonprofit Organizations: Donald J. Kerlin; Telephone: (202) 372-1054; Donald.J.Kerlin@uscg.mil. Division of Boating Safety (CG-5422), U.S. Coast Guard Headquarters, 2100 Second Street, SW., Washington, DC 20593.

Web Site Address:

<http://www.uscgboating.org> <http://www.dhs.gov>.

RELATED PROGRAMS:

97.011, Boating Safety.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.013 STATE ACCESS TO THE OIL SPILL LIABILITY TRUST FUND

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Oil Pollution Act of 1990, Public Law 101-380, Section 1012(d)(1).

OBJECTIVES:

To encourage greater State participation in response to actual or threatened discharges of oil.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds are to be used for immediate removal action costs that are directly related to a specific incident which occurs after August 18, 1990. Removal costs must generally be incurred at the site or in support of site removal activities (e.g., salary costs for State officials actually engaged in the removal actions, transportation costs for transporting persons and materials to the site, costs of equipment usage and consumable, contract costs, and administrative costs for preparing reports and documenting actions which occurred at the site). Limited to \$250,000 per incident. Removal actions must be consistent with the National Contingency Plan (40 CFR 300) and must be approved by the cognizant Coast Guard or Environmental Protection Agency (EPA) Federal On-Scene Coordinator (FOSC). Remedial actions and restorations are ineligible.

Applicant Eligibility:

The Governor (or his or her designated State official) of any State including Puerto Rico, Guam, American Samoa, U.S. Virgin Islands, Northern Marianas, and any other U.S. territory or possession may apply for financial assistance.

Beneficiary Eligibility:

State Governors.

Credentials/Documentation:

Applicant must either be the Governor of a State or have on file at the NPFC a letter signed by the Governor designating the applicant to be a State official authorized to make a request. The application must establish: (1) the incident is eligible for immediate removal under the Clean Water Act, as amended by OPA 90, (2) the substance discharged or threatening discharge is oil, (3) the incident occurred after August 18, 1990, (4) the aggregate amount of the request is equal to or less than \$250,000, (5) the proposed removal actions are consistent with the NCP (including 40 CFR 300.305(c)'s requirement that a reasonable effort is made to have the discharger voluntarily and promptly perform removal actions), (6) the proposed level of response, proposed actions, and money requested are appropriate for the circumstances, and (7) the State has the means to complete the immediate removal. Detailed application requirements are included in publication entitled Technical Operating Procedures for State Access under Section 1012(d) of OPA 90 (NPFCINST 16451.1).

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application is initiated when the Governor or his or her designated State official contacts the cognizant Federal On-Scene Coordinator (FOSC) and requests State access to the Oil Spill Liability Trust Fund (OSLTF, or "the Fund") under 33 CFR 133. This initial request may be made verbally. If the FOSC agrees that the incident is eligible for State Access funding and that State access to the Fund is the best method for addressing the incident, the FOSC will seek obligation of the Fund from the National Pollution Funds Center (NPFC). Since the approval of a request establishes a Cooperative Agreement between the Coast Guard and the State, the provisions of 49 CFR 18 apply to the State Access process.

Award Procedure:

The National Pollution Funds Center will notify the State via telephone and hard

copy of the award approval and amount.

Deadlines:

None.

Range of Approval/Disapproval Time:

Due to the emergency nature of oil discharge incidents, an approval or disapproval decision will be made and communicated to the State as expeditiously as possible (considering the nature and scope of the incident and other operations) following receipt of the request.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

The State must complete a source (of oil discharge) and responsibility party investigation and forward it to the Coast Guard National Pollution Funds Center (NPFC) and the FOSC as soon as possible. The NPFC and the FOSC must be informed within 48 hours following the completion of immediate removal efforts. An Incident Report, a detailed documentation of all eligible costs incurred during the immediate removal, and forms and certifications prescribed 49 CFR 18, 20, 29 and 90 are also required by the Coast Guard. All required forms, certifications, and other documentation are expected within 30 days following the completion of immediate removal efforts. Payment is not made until all materials are received and reviewed. The Coast Guard may deobligate the Fund for payment if all materials are not received within 90 days following the completion of immediate removal efforts. An amplifying Technical Operating Procedures publication (NPFCINST 16451.1) has been distributed to the States.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Due to the need to recover removal costs from responsible parties and the possibility of protracted litigation that may be associated with specific oil discharge removal incidents, State records must be kept for 10 years following submission of the final documents to the Coast Guard, or until the completion of litigation for a specific case, whichever is longer.

Account Identification:

70-8185-0-7-403.

Obligations:

(Cooperative Agreements) FY 07 \$0; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

States: \$250,000 maximum per oil discharge incident.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

33 CFR 133, 40 CFR 300, 49 CFR 18, 20, 29, and 90, and Technical Operating Procedures for State Access to OSLTF (NPFCINST 16451.1).

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security 245 Murray Drive, SW., Washington, DC 20528. Telephone: (202) 494-9118.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Proposed immediate removal actions must be eligible under the Oil Pollution Act of 1990 (Public Law 101-380), consistent with the National Contingency Plan (40 CFR 300), appropriate for the amount requested, and within the capability of the State to perform.

97.014 BRIDGE ALTERATION

(Truman-Hobbs Act)

FEDERAL AGENCY:
DEPARTMENT OF HOMELAND SECURITY
AUTHORIZATION:

Rivers and Harbors Appropriations Act of 1899, Section 18, 30 Stat. 1153, 33 U.S.C. 502; Bridge Act of 1906, Sections 4 and 5, 34 Stat. 85, 33 U.S.C. 494, 495; Act of June 21, 1940, as amended; Truman-Hobbs Act, 54 Stat. 497, 33 U.S.C. 511-523.

OBJECTIVES:

To accomplish alteration of obstructive bridges to render navigation through or under it reasonably free, easy, and unobstructed for the benefit of navigation. In accordance with the Coast Guard Authorization Act of 1996, permanent authority exists in 49 U.S.C. 104(e) to transfer funds from the Federal-Aid Highways discretionary bridge program to the Coast Guard to finance alteration of Truman-Hobbs obstructive highway bridges.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds are reimbursed to bridge owner to cover payments of the Government's share for work performed in altering the obstructive bridge in accordance with the approved general plans and specifications. All changes to plans and specifications need prior approval of the Coast Guard before reimbursement of expenditure can be authorized. Costs of alteration attributable to the following are ineligible: (1) direct and special benefits which will accrue to the bridge owner as a result of the alteration, (2) the expectable savings in repair or maintenance costs, (3) requirements of traffic by railroad or highway or both, (4) increasing carrying capacity, (5) portion of the actual capital cost of the old bridge or such part of the old bridge as may be altered or changed or rebuilt as the used service life of the whole or a part, as the case may be, bears to the total estimated service life of the whole or such part: Provided, that the part of the cost of alteration of any bridge for both highway and railroad traffic, attributable to the requirements of traffic by highway, shall be borne by the proprietor of the highway. (6) Provided further, that in the event the alteration or relocation of any bridge may be desirable for the reason that the bridge unreasonably obstructs navigation, but also for some other reason, the Secretary may require equitable contribution from any interested person, firm, association, corporation, municipality, county, or State desiring such alteration or relocation for such other reason, as a condition precedent to the making of an order for such alteration or relocation. The United States shall bear the balance of the cost, including that part attributable to the necessities of navigation. Bridge alteration and relocation actions must comply with the provisions of the National Environmental Policy Act (NEPA) of 1969 (Public Law 91-190), as amended; the CEQ Regulations (40 CFR 1500-1508) which implements NEPA; Executive Order 11514, as amended, Protection and Enhancement of Environmental Quality; DOT Order 5610.IC, Procedures for Considering Environmental Impacts, and COMDTINST M16475.IC, NEPA Implementing Procedures.

Applicant Eligibility:

(1) Any State, county, municipality, or other political subdivision or any corporation, association, partnership, or individual owning or jointly owning any lawful bridge over navigable waters of the United States which is used and operated for the purpose of carrying railroad traffic, or both railroad and highway traffic, or (2) Any State, county, municipality, or other political subdivision owning or jointly owning any lawful bridge over the navigable waters of the United States which is used and operated for the purpose of carrying highway traffic.

Beneficiary Eligibility:

Navigation, maritime transportation interests, commercial and recreational boating public, marine traffic, ports, bridge owners, land transportation, and general local public.

Credentials/Documentation:

A bridge must be determined to unreasonably obstruct navigation. This determination is made by the Coast Guard after conducting an investigation and determining that the navigational benefits that would accrue as a result of altering the bridge equal or exceed the cost of alteration.

Preapplication Coordination:

An applicant should contact the Coast Guard District bridge staff or Headquarters bridge staff for information as to what is necessary to support his/her complaint that a bridge is unreasonably obstructive to navigation. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his/her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

A formal written complaint stating that a bridge is unreasonably obstructive is made to the appropriate Coast Guard District Commander who determines through informal discussions with the complainant, waterway users, other interested parties, whether or not a preliminary investigation is required. Impediments to highway or railway are not valid complaints. In some cases, based on the accident history of a bridge alone, a preliminary investigation may be initiated by the District Commander. Computing benefits is not a

responsibility of the complainant, it is a Coast Guard responsibility. Environmental considerations also do not enter into consideration for determining whether or not a bridge is unreasonably obstructive.

Award Procedure:

When preliminary investigation of the District Commander indicates sufficient benefits to navigation are not readily available to at least justify a detailed investigation, the District Commander informs the complainant that there is no sufficient evidence to warrant further investigation and closes the case. However, when the preliminary investigation indicates that the bridge may be unreasonably obstructive, the District Commander undertakes a detailed investigation with the approval of the Commandant (G-OPT). The Coast Guard District holds a public hearing to determine the facts upon which a firm decision to alter or not alter is made. The detailed investigation is reviewed by the Commandant, if the navigational benefit equals to or is greater than the cost of the bridge alteration, then the bridge is declared unreasonably obstructive to navigation and therefore, becomes eligible for Federal funding under the Truman-Hobbs Act. For additional details refer to 33 CFR 116.

Deadlines:

None.

Range of Approval/Disapproval Time:

1. Within six months from the start of the preliminary investigation, if sufficient costs to navigation are not readily available to justify a detailed investigation, the case is closed out by the District Commander. 2. Extension of time beyond the six months may be allowed provided a quarterly progress report is forwarded to the Commandant. 3. Final investigation report including the benefit and cost ratio which becomes the basis for the determination to declare the bridge an unreasonable obstruction to navigation is completed within a 3-year period from the date of initial complaint.

Appeals:

Within 60 days of the District Commander's decision that the case is closed, an appeal must be submitted in writing to the U. S. Coast Guard Directorate of Operations Policy (G-OP), 2100 Second Street, SW., Washington, DC 20593-0001. Commandant will take action on the appeal within 90 days of the receipt of the appeal.

Renewals:

The case may be reopened at any time if changes in navigation occur or additional information is provided.

Formula and Matching Requirements:

The general statutory procedures which serve as the basis of determining the proportionate shares of the total cost of the project to be borne by the United States and by the bridge owner is described in the Act of June 21, 1940, as amended (Truman-Hobbs Act)(54 Stat. 497, 33 U.S.C. 516). The general procedure and statutory requirements are also listed in 33 CFR 116.30. In the event the alteration or relocation of any bridge may be desirable for reasons that the bridge unreasonably obstructs navigation, but also for some other reasons, equitable contribution from any interested person, firm, association, corporation, municipality, county, or State desiring such alteration or relocation as a condition precedent to making an order for alteration or relocation. The United States bears the balance of the cost including that part attributable to the necessities of navigation.

Length and Time Phasing of Assistance:

There is no restriction placed on the time period over which the payment of the total share of the United States is made except the time period should be reasonable. This time period is determined by the Commandant. After approving the general plans and specifications for the alteration of such bridge, the guarantee of the owner not to exceed the total project cost, the fixing of proportionate shares of the United States and the owner, out of the funds appropriated by Congress, reimbursement or payment to the bridge owner begins on such construction costs as the work progresses and upon receipt of the certification of completion.

Reports:

Monthly progress reports of design and construction. Monthly bills indicating payment has been made so that reimbursement can be made.

Audits:

DOT Audit is made at the completion of the alteration and final payment of the last bill and to ensure that funds have been applied to the project efficiently, economically, effectively and no overcharges have been made to the project. The audit procedure mandated for the program is the IG Act of 1978, as amended, and DOT Order No. 2900.

Records:

The bridge owner is required to maintain all financial records, bills and payments, in-house force account records, time and attendance, contract documents, final acceptance of the project, and receipt of all payments.

Account Identification:

70-0614-0-1-403.

Obligations:

(Direct Payments) FY 07 \$16,029,044; FY 08 est \$16,400,000; FY 09 est not available.

Range and Average of Financial Assistance:

In previous fiscal years, when payments were issued, the range of financial

assistance was \$14,740,000 to \$42,800,000.

PROGRAM ACCOMPLISHMENTS:

The Coast Guard has completed 27 bridge alteration projects at a total cost of \$193 million to the Government. At present, 9 projects located in Georgia, Iowa, Louisiana, Illinois, Alabama, Massachusetts, South Carolina, and Pennsylvania are under design and construction. The majority of the above projects are railroad bridges with a small number of highway bridges.

REGULATIONS, GUIDELINES, AND LITERATURE:

33 CFR 116, Truman-Hobbs Act, 33 U.S.C. 511-523, Commandant Instruction M16590.5-Chapter 6. These documents are available in the Bridge Administration Division of the U. S. Coast Guard, 2100 Second Street, SW., Washington, DC 20593-0001.

Regional or Local Office:

District Bridge Administrators in each district are the local points of contact. Coast Guard District Offices. First Coast Guard District (obr): Mr. John McDonald (obr), 408 Atlantic Ave., Boston, MA 02210-2209. Telephone: (617) 223-8364. Fax: (617) 223-8026. Gary Kassof (obr), Battery Park Bldg., New York, NY 10004-5073. Telephone: (212) 668-7165. Fax: (212) 668-7967. Western River Directorate (obr), Roger Wiebusch, 1222 Spruce St., St. Louis, MO 63103-2398. Telephone: (314) 539-3900. Fax: 314-539-3755. Fifth Coast Guard District (Aowb) Ann Deaton, Federal Building, 431 Crawford St., Portsmouth, VA 23704-5004. Telephone: (757) 398-6557. Fax: (757) 398-6334. Seventh Coast Guard District (oan) Gregg Shapley, Brickell Plaza, 909 SE. 1st Ave., Miami, FL 33130-3050. Telephone: (305) 415-6743. Fax: (305) 415-6757. Eighth Coast Guard District (obc) Marcus Redford, Hale Boggs Federal Bldg., 501 Magazine St., New Orleans, LA 70130-3396. Telephone: (504) 589-2965. Fax: (504) 589-3063. Ninth Coast Guard District (obr) Bob Bloom, 1240 East 9th St., Cleveland, OH 44199-2060. Telephone: (216) 902-6085. Fax: (216) 902-6088. Eleventh Coast Guard District (oan-2), David Sulouff Bldg., 10, Rm. 50-6, Alameda, CA 94501-5100. Telephone (510) 437-3514. Fax: (510) 437-5836. Thirteenth Coast Guard District (ob), John Mikesell Federal Bldg., 915 Second Ave., Seattle, WA 98174-1067. Telephone: (206) 220-7270. Fax: (206) 220-7285. Fourteenth Coast Guard District (oan), CDR Thomas Hooper Federal Bldg., 300 Ala Moana Blvd., Rm. 9139, Honolulu, HI 96850-4982. Telephone: (808) 541-2315. Fax: (808) 541-2318. Seventeenth Coast Guard District (oan) Jim Helfinstine, P.O. Box 25517, Juneau, AK 99802-5517. Telephone: (907) 463-2268. Fax: (907) 463-2273.

Headquarters Office:

Department of Homeland Security, 245 Murray Drive, SW., Washington, D.C. 20528. Telephone: (202) 282-8000.

Web Site Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

1. Burlington Northern Railroad Bridge over the Willamette River, Portland, Oregon. 2. CSX Transportation Company Bridge over the East Pascagoula River, Pascagoula, Mississippi. 3. Trent River Railroad Bridge, New Bern, North Carolina. 4. Norfolk and Southern Railroad Bridge over the Mississippi River, Hannibal, Missouri. 5. Burlington Northern Santa Fe Railroad Bridge over the Mississippi River, Burlington, Iowa. 6. Burlington Northern Santa Fe Railway Company Bridge over the Mississippi River, Fort Madison, Iowa. 7. Georgia DOT Sidney Lanier Bridge over the Burnswick River, Burnswick, Georgia. 8. Florida Avenue Bridge across the Inner Harbor Navigational Canal, New Orleans, Louisiana. 9. Boston City Bridge over Chelsea river, Boston, Massachusetts. 10. South Carolina DOT Limehouse Bridge over the Atlantic Intracoastal Waterway, Charleston, South Carolina. 11. U.S. Navy Reserve Basin Bridge, Philadelphia, Pennsylvania. 12. EJ&E Railroad Company Bridge over the Illinois Waterway, Divine, Illinois. 13. CSX Transportation Company Bridge over the Mobile River, Hurricane, Alabama.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are primarily based on the benefit to navigation and the cost of alteration of the obstructive bridge. The following Criteria are used to determine if a bridge is obstructive under the Truman Hobbs Act: The District Commander receives complaints that a bridge is obstructive to navigation, or he can initiate an investigation because of numerous accidents. Through informal discussions with the complainant and other affected and or concerned parties, if sufficient information is available, the District Commander may formulate an opinion on whether or not the bridge in question is an unreasonable obstruction to navigation. If the District Commander determines that further investigation is not warranted, the District Commander informs the complainant there is not enough evidence to warrant an investigation and takes no further action. If the District Commander concludes that the bridge could be an unreasonable obstruction to navigation, the District Commander conducts a Preliminary Investigation, which involves: analyzing the existing bridge to determine if the navigational clearances are restrictive and to what extent; describing the waterway in the vicinity of the bridge (with charts of the area) for the record to establish, area and location of bridge in question, and any naturally occurring aspects of the environment which may impact navigation; collecting data on bridge openings to establish amount of use, accidents attributed to restrictive

navigational clearances and not pilot error, all costs associated with accidents as described above, and other costs associated with the need to alter for the benefit of navigation (i.e., the cost of double tripping); computing the navigation benefits; and recommending a course of action. A Preliminary Investigation Report is sent to the Commandant for review. If the Commandant determines that the bridge is not an unreasonable obstruction to navigation, the Commandant then notifies the District Commander that the bridge does not qualify for alteration under the Truman-Hobbs Act and no further action is required. The case may be reopened if changes in navigation occur. If the Commandant determines that the bridge may be an unreasonable obstruction to navigation, the Commandant then directs the District Commander to conduct a detailed investigation. The purpose of the investigation is to gather additional facts to determine if the bridge is indeed an unreasonable obstruction to navigation, what clearances are needed, and any other circumstances that need disclosing. The District Commander forwards a Detailed Investigation Report to the Commandant. The report contains detailed information and substantiated data collected during the investigation in support of the recommendation. The Commandant reviews the Detailed Investigation Report and conducts a Benefit/Cost Analysis. The Commandant then determines if the benefit to navigation which will result from the alteration is at least equal to the cost of making the bridge alterations. If the benefit does not at least equal the cost then the bridge can not be altered under the Truman-Hobbs Act. The Navigation Benefit is used to calculate the Benefit-to Cost Ratio (B/C). The B/C will be used to determine eligibility under the Truman-Hobbs Act and to justify for funding before Congress. The Navigational Benefits generally will be calculated in three categories, namely: (1) Vessels delays resulting from limited clearances of the bridge (or Transit Time Savings, resulting from a reduction in transit time and thus operating expenses in clearing the bridge zone); (2) Collision damage resulting from accidents caused by the limited clearance of the bridge (or Water Accident Reduction Savings, due to elimination/reduction of future damages to the bridge, fenders, and vessels); and (3) Certain other savings have been eliminated. Examples of these savings are elimination of a need for extrapilots, crew, and tugs; elimination of environmental delays such as tide, wind, currents darkness, visibility directly attributable to the limited clearance of the bridge itself; and increase in trips, because the restrictive bridge clearance that had heretofore prohibited the use of larger barge and/or tows. The Benefit-to-Cost Ratio is computed and is the indicator to determine if a bridge is an unreasonable obstruction to navigation alterable under the Truman-Hobbs Act. If the Commandant concludes that the bridge does not qualify for alteration under the Truman-Hobbs Act, the Commandant notifies the District Commander that the bridge does not qualify and no further action is required. However the case may be reopened with additional information if the Commandant determines that the bridge is an unreasonable obstruction to navigation, and qualifies for alteration under the Truman-Hobbs Act. The Commandant notifies the District Commander to inform the bridge owner of the required changes. The bridge owner is given 60 days to reply. When the reply is received or when the 60 days are up, the Commandant issues the order to alter. The District Commander prioritizes investigations of possible obstructive bridges based upon a variety of relevant factors. The factors taken into account are type of bridge, location of bridge, cross current, accident history of the bridge, traffic density, duration of channel blockage and time to reopen, severity of damage resulting from accidents, type and amount of cargo transiting through the bridge, risk of the bridge being hit and savings due to avoidance of collision risk, economic impact to navigation industry, possible environmental consequences that may result from an accident and benefits to navigation. Priorities are continuously reviewed and updated by bridge division at Headquarters. Inter-district priority for the alteration of bridges is established by Headquarters. Priority is based on the severity of impacts attributable to each bridge. Priorities are updated as new bridges come to the District Commander's attention and as new information becomes available that require changes in priorities.

97.015 SECRET SERVICE-TRAINING ACTIVITIES

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

18 U.S.C. 3056, Public Law 95-81.

OBJECTIVES:

To acquaint local sworn law enforcement officials with the functions of the Secret Service, and the techniques of protecting dignitaries: to assist criminal investigators by training them in the basic examination of questioned documents, and brief law enforcement officials and money handlers in the techniques used in detecting counterfeit documents and money.

TYPES OF ASSISTANCE:

Training.

USES AND USE RESTRICTIONS:

The Questioned Document Course is restricted to sworn law enforcement officers. Applicants are accepted in limited numbers upon the request of their departmental heads for training in the Secret Service Questioned Document Course. The training, while geared primarily to the Secret Service Special Agent,

will provide introductory training in the fundamentals of document analysis with emphasis on handwriting examination and comparison. The Protective Operations Briefing is also restricted to sworn law enforcement officers and is provided for those officers who have the regular responsibility of protecting dignitaries which includes post assignments, use of follow-up vehicles, motorcade techniques, and advanced security arrangements. The Secret Service will provide the police officials with information which will assist them in helping the Secret Service carry out its protective responsibilities. (Upon request, regional Secret Service offices will brief bank tellers, department store cashiers, and other money handlers in the techniques of detecting counterfeit currency.)

Applicant Eligibility:

For training in Questioned Document Course and/or Protective Operations Briefing, applicant must be a sworn member of a law enforcement agency.

Beneficiary Eligibility:

Sworn members of police agencies.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the appropriate resident Secret Service office or the regional field office serving your jurisdiction, to apply for admission to Questioned Document Course and the Protective Operations Briefing. Also, contact the resident Secret Service office or the regional office for information concerning requests for lecture presentations to groups on the detection of counterfeit money. Headquarters contact information is also listed in this announcement.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Questioned Document Course lasts for two weeks; the Protective Operations Briefing is a four day course.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

70-0403-0-1-751.

Obligations:

Salaries and expenses) FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Each year approximately 3,200 State and local police officers attend protective briefings and questioned document courses.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

Department of Homeland Security, U.S. Secret Service, 245 Murray Drive, SW., Washington, DC 20528. Telephone: (202) 282-8000.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

16.004, Law Enforcement Assistance_Narcotics and Dangerous Drugs Training; 16.300, Law Enforcement Assistance_FBI Advanced Police Training; 16.302, Law Enforcement Assistance_FBI Field Police Training.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

97.016 REIMBURSEMENT FOR FIREFIGHTING ON FEDERAL PROPERTY

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Federal Fire Prevention and Control Act of 1974, as amended, 15 U.S.C. 2201 et seq.

OBJECTIVES:

To provide that each fire service organization which engages in firefighting operations on Federal property may be reimbursed for their direct expenses and direct losses (those losses and expenses that are not considered normal operating expenses) incurred in firefighting.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Reimbursement is provided only for direct costs and losses over and above normal operating costs.

Applicant Eligibility:

Fire Departments, (volunteer and paid) of the States, District of Columbia, Commonwealths, Territories and Possessions of the United States, and Indian lands that the U.S. holds technical legal title to, are eligible to apply.

Beneficiary Eligibility:

Fire departments.

Credentials/Documentation:

See 44 CFR 151 for requirements. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under 44 CFR Part 13 and E.O. 12372.

Application Procedure:

See 44 CFR 151 for details. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

None.

Deadlines:

To be considered, a claim must be filed in writing with the Administrator, U.S. Fire Administration, within 90 days of the occurrence of the fire for which reimbursement is requested.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

30 days after receiving an initial notification of decision.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0700-0-1-999.

Obligations:

(Direct Payments); FY 07 est not available; FY 08 est not available; FY 09 est not available.

Range and Average of Financial Assistance:

From a few dollars to \$500,000 (no stated limit).

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2003, three claims were submitted. No claims were approved. Three claims were denied.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 11 of the Federal Fire Prevention and Control Act of 1974; Public Law 93-498; 44 CFR 151.11.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security 245 Murray Lane - Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Fire departments which fight fires on Federal property for which they are not otherwise reimbursed may be reimbursed under this program for direct losses and direct expenses over and above normal operating expenses. The amount of reimbursement is subject to availability of funds (see 44 CFR 151.12). All claims must be accompanied by sufficient supporting data to prove expenses and losses incurred in the amount claimed.

97.018 NATIONAL FIRE ACADEMY TRAINING ASSISTANCE

(Student Stipend Reimbursement Program)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; U.S.A. Patriot Act of 2001, Public Law 107-56; Federal Emergency Management Agency, Executive Order 12127, 44FR 19367, 3 CFR, 1979 Comp. P. 376; The Federal Fire Prevention and Control Act of 1974, Public Law 93-498, Section 21 (and b).

OBJECTIVES:

To provide travel stipends to students attending Academy courses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Presently, the program covers reimbursement for the cost of a 21-day pre-purchase, nonrefundable ticket for round-trip transportation by common carrier, or privately owned vehicle mileage reimbursement not to exceed the cost of the airline ticket, for resident program courses at various locations and a limited stipend for selected regional delivery courses throughout the nation. For Resident Program courses, students are required to pay the cost of meals daily. For Regional Delivery courses, a limited stipend of up to \$100 for a one-week course and up to \$200 for a two-week course is provided to help offset students' transportation and lodging. Reimbursements are not made to Federal employees who are attending on behalf of their employer, employees from private industry, or foreign students.

Applicant Eligibility:

Any student who is a member of a fire department or has significant responsibility for fire prevention and control and has been accepted into an eligible course at the National Fire Academy may apply for stipend reimbursement. Federal or private industry employees or foreign students may be accepted into National Fire Academy courses but are not eligible for stipend reimbursement.

Beneficiary Eligibility:

Student or sponsoring organization.

Credentials/Documentation:

Student Stipend Agreement (FEMA Form 75-3) and Student Stipend Agreement (Amendment) (FEMA Form 75-3a) accompanied by a copy of the required supporting documentation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application is made to the National Emergency Training Center Admission's Office using the Student Stipend Agreement (FEMA Form 75-3) and Student Stipend Agreement (Amendment) (FEMA Form 75-3a) after acceptance into the course. The Student Stipend Agreement must be accompanied by the required supporting documentation. The completed agreement package will be processed immediately. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The completed agreement package will be processed immediately. A U.S. Department of the Treasury payment schedule will be prepared and a reimbursement check issued directly to the beneficiary.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

May be made to the Superintendent of the National Fire Academy, or his designee.

Renewals:

None.

Formula and Matching Requirements:

There is no match requirement for this program. However, students are required to pay for their meals.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

None.

Account Identification:

70-0564-0-1-800.

Obligations:

(Direct Payments) FY 07 \$1,365,012; FY 08 est \$1,600,000; and FY 09 est \$1,600,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

In previous fiscal years, assistance in the form of stipend funds were provided to over 4,200 participants in the National Fire Academy Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Fire Academy Course Catalog, course schedules.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane - Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.019, National Fire Academy Educational Program.

EXAMPLES OF FUNDED PROJECTS:

Students are provided an opportunity to attend courses at the National Fire Academy resident facility with a minimal cost to the individual or the fire department represented. The increase in the number of students attending impacts on increasing the professional level of fire service personnel.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selection.

97.019 NATIONAL FIRE ACADEMY EDUCATIONAL PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; U.S.A. Patriot Act of 2001, Public Law 107-56; Federal Emergency Management Agency, Executive Order 12127, 44FR 19367, 3 CFR, 1979 Comp. P. 376; The Federal Fire Prevention and Control Act of 1974, Public Law 93-498, Section 21 (and b).

OBJECTIVES:

To increase the professional level of the fire service and others responsible for fire prevention and control.

TYPES OF ASSISTANCE:

Training.

USES AND USE RESTRICTIONS:

Training is provided at the resident training facility to support State or local fire organizations. The program is not available to the general public or to individuals who do not have a need for the information as part of their normal responsibilities. Eligible participants are selected based on their application submitted to the National Fire Academy.

Applicant Eligibility:

Any individual who is a member of a fire department or has significant responsibility for fire prevention and control.

Beneficiary Eligibility:

Any individual who is a member of a fire department or has significant responsibility for fire prevention and control.

Credentials/Documentation:

The individual may be required to provide documentation related to their roles and responsibilities in fire prevention and control.

Preapplication Coordination:

For train-the-trainer and in-service instructor trainees, nominations are sought from State fire training directors and metropolitan fire department chiefs. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application for resident and regional courses is made to the National Emergency Training Center Admission's Office using the General Admission Application (FEMA Form 75-5). Application for weekend on-campus courses and off-campus courses is made through the appropriate State or metropolitan fire training agency.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

May be made to the Superintendent of the National Fire Academy.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

There may be an evaluation of the benefit derived by the community as a result of attendance at a particular course.

Records:

None.

Account Identification:

70-0564-0-1-800.

Obligations:

(Direct Program Expenditure) FY 07 \$6,575,197; FY 08 est \$6,600,000; and FY 09 est \$6,600,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In prior fiscal years, approximately 5,239 students participated in the National Fire Academy resident program; 787 students participated in Regional Deliveries; and 2,872 individuals participated in the State Weekend program. An estimated 60,000 individuals participated in educational opportunities through other Academy off-campus and train-the-trainer program.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Fire Academy Course Catalog.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane Bldg #410, Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Students are provided an opportunity to attend courses at the National Fire Academy resident facility or at a convenient off-campus location with a minimal cost to the individual or the fire department represented. The increase in the number of students attending on increases the professional level of fire service personnel.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selection.

97.020 HAZARDOUS MATERIALS TRAINING PROGRAM

(SARA Title III Training Program)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, 42 U.S.C. 9615 et seq.; Superfund Amendments and Reauthorization Act of 1986, Public Law 99-499, 42 U.S.C. 9662 et seq.

OBJECTIVES:

To make funding available to provide training in support of Tribal governments emergency planning, preparedness, mitigation, response, and recovery capabilities. These programs must provide special emphasis on emergencies associated with hazardous chemicals.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The funds are authorized to provide education and training programs for tribal personnel with special emphasis on hazardous chemicals and related chemical emergencies. The funds are intended to improve emergency planning, emergency notification, hazardous chemical reporting, preparedness, mitigation, response and recovery capabilities in support of Title III. Possible sources for this program include Federal training activities and conferences, State training programs, private sector training, university training centers, and other training sources. Funding may also be used to pay contractual services achieved for the specific purpose of training and educating the tribes. In FY 2000, State grants under this authority were incorporated into FEMA's Emergency Management Performance Grant (EMPG).

Applicant Eligibility:

These funds are available to Federally-recognized Indian Tribal governments. States and U.S. territories must apply for Emergency Management Performance Grants.

Beneficiary Eligibility:

None.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The matching requirement is 20 percent that may be satisfied with cash or third party in-kind contributions.

Length and Time Phasing of Assistance:

The assistance is normally available for one year. The assistance is awarded in a lump sum.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 30, April 30, July 30, and Oct. 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-999.

Obligations:

(Grants) FY 07 \$0; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Under Performance Partnership Agreements, States have been given additional flexibility to meet their hazardous materials risk requirements. No quantitative data is available.

REGULATIONS, GUIDELINES, AND LITERATURE:

FY 2000 Hazardous Materials Training Program Guidance for Tribal Nations Implementation of SARA Title III.

Regional or Local Office:

FEMA Regional Training Officer.

Headquarters Office:

Department of Homeland Security 245 Murray Lane - Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.042, Emergency Management Performance Grants.

EXAMPLES OF FUNDED PROJECTS:

OSHA required hazardous materials training, training for LEPC planning and prevention activities, training to conduct hazard analyses, training for exercises in evaluation activities, and training for local response agencies in planning and standard operating procedures (SOP) development.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.021 HAZARDOUS MATERIALS ASSISTANCE PROGRAM

(CERCLA Implementation)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Comprehensive Environmental Response, Compensation and Liability Act (CERCLA) of 1980, as amended; 42 U.S.C. 9601 et seq.; Superfund Amendments and Reauthorization Act of 1986; Executive Order 12148, Federal Emergency Management; Executive Order 12580, Superfund Implementation, 42 U.S.C. 9662 et seq.

OBJECTIVES:

To support States, local and Indian tribal governments in oil and hazardous materials emergency planning and exercises and enhance their capabilities to interact with the National Response System (NRS); through the States, provide technical and financial assistance to support activities under the Comprehensive Hazardous Materials (Hazmat) Emergency Response - Capability Assessment Program (CHER-C).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funding must be used for planning, exercising and educational projects that will serve to enhance emergency management capabilities for dealing with oil and hazardous materials releases. Certain equipment purchases are not authorized: such as automated data processing and facsimile machines and any other equipment acquired for the sole purpose of carrying out the day-to-day work activities. However, equipment purchased exclusively for the implementation of exercises and/or CHER-CAP activities (disposable personal protective equipment, simulated emergency response equipment used only for training) are authorized. Reimbursement of salaries and benefits is restricted, and funding for contractual support is permitted. Funding for training and educational programs are limited, and may not be used to supplement the nonfederal matching requirements associated with Hazardous Materials Transportation Act (HMTA), or SARA Title III funds, nor used to attend courses available under any other FEMA programs when separate funds are available for that training.

Applicant Eligibility:

The funds are available to States, locals, tribes, U.S. territories, State Emergency Response Committees (SERCs), and Local Emergency Planning Commissions (LEPCs).

Beneficiary Eligibility:

States, locals, tribes, U.S. territories, State Emergency Response Committees (SERCs), and Local Emergency Planning Commissions (LEPCs).

Credentials/Documentation:

Applicant must submit to the FEMA Regional Office a work plan describing the proposal.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The FEMA Regional PT Office determines the allocation for each applicant based on the proposal, the FEMA/EPA Interagency Agency Agreement and previous funding and accomplishments.

Length and Time Phasing of Assistance:

Grants or cooperative agreements are awarded for a period of one year.

Exceptions may be granted at the discretion of the Region PT Division Director refer to the program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports.

Quarterly Progress Reports must include the progress of each sub-grant award.

Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-999.

Obligations:

(Grants) FY 07 \$ est. not available; FY 08 \$ est. not available; and FY 09 \$ est. not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Contact the appropriate FEMA regional office responsible for coordinating program activities.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane - Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.020, Hazardous Materials Training Program.

EXAMPLES OF FUNDED PROJECTS:

Planning and/or exercise projects.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.022 FLOOD INSURANCE**FEDERAL AGENCY:**

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

National Flood Insurance Act of 1968, 42 U.S.C. 4001 et seq.

OBJECTIVES:

To enable persons to purchase insurance against physical damage to or loss of buildings and/or contents therein caused by floods, mudslide (i.e., mudflow), or flood-related erosion, thereby reducing Federal disaster assistance payments, and to promote wise floodplain management practices in the Nation's flood-prone and mudflow-prone areas.

TYPES OF ASSISTANCE:

Insurance.

USES AND USE RESTRICTIONS:

Under the Emergency Program of the National Flood Insurance Program (NFIP).

property owners may buy flood insurance at a chargeable premium rate that is generally lower than a normal actuarial rate. Maximum amounts of such coverage available under the Emergency Program of the NFIP are: \$35,000 for a single-family home (higher limits are available in Hawaii, Alaska, Guam and the U.S. Virgin Islands), \$100,000 for all other residential structures, plus \$10,000 per dwelling unit for any contents; for nonresidential structures, an aggregate liability for any single structure of \$100,000 and for contents, \$100,000 per unit. Once a detailed flood insurance risk study has been completed and the community enters the Regular Program, additional coverage on existing properties may be purchased at actuarial rates. As of March 1, 1995, the maximum coverage available under the Regular Program is: \$250,000 for single-family residential structures; 250,000 times this number of condominium units for a residential condominium building, \$250,000 for all other residential structures; \$100,000 for contents per dwelling unit; \$500,000 for non-residential structures; \$500,000 for contents of non-residential structures. Effective October 1, 1983, coverage is no longer available for finished portions of basements with the exception of equipment necessary to make the building habitable, (e.g., furnaces). Properties built within identified special flood hazard areas after the effective date of the Flood Insurance Rate Map are eligible for flood insurance only at actuarial rates. Under the Flood Disaster Protection Act of 1973, effective March 2, 1974, flood insurance must be purchased as a condition of any form of Federal or federally-related financial assistance, including Federal grants, disaster assistance, and mortgage loans from federally regulated lending institutions and FHA, Farmers Home Administration, VA or guaranteed mortgages when the improved real property to which the transaction applies is located within identified special flood hazard areas where flood insurance is available. Communities having one or more identified special flood hazard areas must enter into the National Flood Insurance Program within 1 year of the official identification of their special flood hazard areas or be denied Federal financial assistance for acquisition or construction purposes within those areas. Federally regulated conventional sources (i.e., banks, savings and loan associations, or similar lending institutions) are permitted to make loans secured by improved real estate or Manufactured Housing located or to be located in the identified special flood hazard area of a nonparticipating community which has been formally identified as flood-prone for more than 1 year, but Federal disaster assistance for acquisition or construction purposes will not be available in the event of flood or flood-related property damage. The conventional lender is statutorily required to notify the borrower, before making a loan in such an area, whether Federal disaster assistance will be available to the property. The Coastal Barrier Improvement Act of 1990 amended the Coastal Barrier Resources Act of 1982 by greatly enlarging the acreage included in the designated coastal barrier resources system unit. The 1990 Act also added "otherwise protected areas." "Otherwise protected areas" are defined as an undeveloped coastal barrier within the boundaries of an area established under Federal, State, or local law, or held by a qualified organization, primarily for wildlife refuge, sanctuary, recreational or natural resource conservation purposes. The 1982 Act prohibits the sale of new flood insurance on or after October 1, 1983 for new construction or substantial improvements of structures located on any coastal barrier with the Coastal Barrier Resources System designated by this Act. The 1990 Act prohibits the sale of new flood insurance on or after November 16, 1990 for new construction or substantial improvements of structures located on any new coastal barrier within the Coastal Barrier Resources System designated or modified by this Act. It also prohibits the sale of new flood insurance on or after November 16, 1991 for new construction or substantial improvements of structures located in an otherwise protected area that are not used in a manner consistent with the purpose of the otherwise protected area. Subsequent pieces of legislation have added or deleted areas. Also, the Secretary of the Interior is authorized to make technical revisions and modifications to the boundaries of such units as may be necessary.

Applicant Eligibility:

Flood insurance can be made available in any community (a State or political subdivision thereof with authority to adopt and enforce floodplain management measures for the areas within its jurisdiction) that submits a properly completed application to FEMA including copies of enacted floodplain management measures consistent with the National Flood Insurance Program regulations.

Beneficiary Eligibility:

Residents, business, and property owners in applicant community, in which like States can insure municipal structures.

Credentials/Documentation:

Same as Applicant Eligibility. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under 44 CFR Part 13 and E.O. 12372.

Application Procedure:

Community officials must submit an NFIP eligibility application form, which is available from the FEMA, together with: copies of adopted floodplain management measures meeting the minimum standards of 44 CFR Section 60.3(a), 60.3(b), 60.3(c), 60.3(d), and/or 60.3(e), as appropriate for the type of flood hazards identified; a list of any incorporated communities within the

applicant's boundaries; and estimates of population and, by kind, of buildings situated in the known flood-prone areas of the community. Such Applications should be submitted to the Mitigation Directorate, FEMA, Washington, DC 20472. This program is excluded from coverage under OMB Circular No. A-110

Award Procedure:

FEMA reviews the application and if complete, designates the community as participating. The community is informed of its admission, and notice is published in the Federal Register. Residents and property owners may then purchase flood insurance through any property insurance agent or broker.

Deadlines:

Communities with one or more identified special flood hazard areas must enter the program within 1 year after the identification of those areas or else prohibitions against Federally related financial assistance for acquisition or construction purposes in identified special flood hazard areas take force. Once the community does qualify, after the prescribed date, these prohibitions are removed. Adequate floodplain management measures must be in effect within 6 months of the date that the special flood hazard area is identified and within 6 months of the date flood water surface elevations are provided.

Range of Approval/Disapproval Time:

For complete applications, a maximum of 10 working days is allowed for review and notification.

Appeals:

Communities are allowed to appeal flood-prone identification and are also given an opportunity to appeal proposed flood elevation determinations for new construction and substantial improvement of existing structures in the special flood hazard areas. Notice of the proposed elevations is published in the Federal Register and is sent directly to the local governments. Following notification to the local government, the notice of proposed flood elevation determinations is published twice during a 10-day period in a prominent newspaper. The local community and its individual residents then have 90 days following the second newspaper notice to submit any scientific or technical data that tend to negate or contradict FEMA's findings. If a conflict in data exists and cannot be resolved at the community level, the community consolidates all individual appeals for review by FEMA. FEMA shall resolve the appeals by consultation with officials of the local government, or by submission of conflicting data to an independent scientific body, or by administrative hearings.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Every two years to FEMA on progress of the floodplain management program.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have an audit made for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards is exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Specific elevation information on proposed new construction or substantial improvements in the area of special flood hazard. Backup data for biennial report.

Account Identification:

70-4236-0-4-453.

Obligations:

(Insurance) FY 07 \$128,084,050; FY 08 est \$138,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Claims paid: \$1 to \$1,900,000; \$24,920.

PROGRAM ACCOMPLISHMENTS:

As of February 2008, 20,519 communities participated; 5,584,763 policies in force; and \$1,132,441,070,300 insurance in force.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulation 44 CFR 59 et seq. Publication, "Answers to Questions About the National Flood Insurance Program," "Mandatory Purchase of Flood Insurance Guidelines," "Elevated Residential Structures," "Guide To Flood Insurance Rate Maps," "Flood Insurance Manual" (agents manual), "National Flood Insurance Program. Application Forms," "Manufactured Home Installation in Flood Hazard Areas," "Coastal Construction Manual," "Alluvial Fans: Hazards and Management," "Floodplain Management in the United States: An Assessment Report," "Design Guidelines for Flood Damage Reduction" and "Repairing Your Flooded Home," "Technical Bulletin Series (FIA-TB)" "Answers to Questions About Substantially Damaged Buildings," "Reducing Losses in High Risk Flood Hazard Areas," "Design Manual for Retrofitting Flood-Prone Residential Structures" and "Flood Proofing Non-Residential Structures."

Regional or Local Office:

Contact the appropriate FEMA regional office, or the State office responsible for coordinating the program's activities.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA), c/o 245 Murray Lane - Bldg. #410, Washington, DC 20523.
Telephone: (800) 621-FEMA(3363).

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

10.450, Crop Insurance; 59.008, Disaster Assistance Loans.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

97.023 COMMUNITY ASSISTANCE PROGRAM STATE SUPPORT SERVICES ELEMENT (CAP-SSSE)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

National Flood Insurance Act of 1968, as amended; 42 U.S.C. 4001 et seq.;
Flood Disaster Protection Act of 1973, as amended. 42 U.S.C. 4002 et seq.

OBJECTIVES:

To ensure that communities participating in the National Flood Insurance Program (NFIP) are achieving flood loss reduction measures consistent with program direction. The CAP-SSSE is intended to identify, prevent and resolve floodplain management issues in participating communities before they develop into problems requiring enforcement action.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The CAP-SSSE is a product-oriented program directly related to the flood loss reduction objectives of the NFIP. Available CAP-SSSE funding is provided on a 75 percent maximum Federal and 25 percent minimum State cost-sharing basis. Both cash and in-kind resources must be specifically identified to designated activities. States estimate product completion as described in a mutually negotiated grant agreement.

Applicant Eligibility:

FEMA's ten Regional Offices will provide each State Governor's Office with the grant application package. The CAP-SSSE is administered through the Mitigation Division of each FEMA Regional Office. FEMA's Regional Offices will contact eligible States to negotiate a mutually acceptable grant agreement. Funding is made available when the grant agreement is negotiated and agreed upon. Continued participation in the CAP-SSSE is dependent upon completing activities described in the grant agreement. The SSSE is designed to utilize the floodplain management capabilities of individual States in a cost effective cooperative agreement with FEMA. A State's participation in the SSSE is voluntary and is contingent upon need and skill level capability.

Beneficiary Eligibility:

The direct beneficiary of the CAP-SSSE is the individual State receiving financial assistance. In addition, participating NFIP communities and local governments which receive flood plain management and flood loss reduction assistance provided by the State are also (indirect) beneficiaries as a consequence of the services they receive.

Credentials/Documentation:

A State's participation in the CAP-SSSE is voluntary and is contingent upon need and skill level capability as determined by FEMA. In addition, applicant states are required to provide any relevant documentation contained in the grant agreement, package provided by FEMA.

Preapplication Coordination:

Need and skill level capability will be determined through consultation with States and FEMA Regional Office Mitigation Division points of contact. An Environmental Impact Statement is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Appeals can be made to the appropriate FEMA Regional Office.

Renewals:

Participation in the CAP-SSSE can be renewed each year contingent upon

meeting requirements.

Formula and Matching Requirements:

Funding is provided on a 75 percent Federal, 25 percent State cost-sharing basis.

Both cash and in-kind resources may meet the 25 percent cost-share.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-4236-0-4-453.

Obligations:

(Grants) FY 07 \$7,500,000; FY 08 est. \$7,500,000; and FY 09 \$ est. not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

In preceding fiscal years, approximately 50 applications have been awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

CAP-SSSE reference guidance is contained in the CAP-SSSE grant agreement application.

Regional or Local Office:

See Appendix IV for list for FEMA Regional Office addresses and phone numbers. In addition, you may contact the State office responsible for coordinating CAP-SSSE activities.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.022, Flood Insurance.

EXAMPLES OF FUNDED PROJECTS:

Community Assistance Visits/Contacts (CAV/CAC), Floodplain Management, Ordinance Assistance, Coordination Meeting with Regional Office, Community Rating System Application Assistance and Review/Workshops, Flood Loss Reduction Assistance and Publications, General Technical Assistance.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.024 EMERGENCY FOOD AND SHELTER NATIONAL BOARD PROGRAM

(Emergency Food and Shelter)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Stewart B. McKinney Homeless Assistance Act of 1987, as amended, Title III, Sections 301-316, Public Law 100-77, as amended, 42 U.S.C. 11331-11346.

OBJECTIVES:

To supplement and expand ongoing efforts to provide shelter, food, and supportive services for needy families and individuals. To strengthen efforts to create more effective and innovative local programs by providing supplemental funding for them. To conduct minimum rehabilitation of existing mass shelter or mass feeding facilities, but only to the extent necessary to make facilities safe,

sanitary and bring them into compliance with local building codes.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Food and related expenses (such as transporting food/food preparation and serving equipment); mass shelter; other shelter (such as hotels and motels); and rent/mortgage and/or utility assistance limited to one month; and limited repairs to feeding and sheltering facilities. Emergency Food and Shelter (EFS) National Board Program funds cannot be used for: rental security, deposits of any kind, cash payments of any kind, lobbying efforts, salaries (except as administrative allowance and limited to that total allowance of 2 percent of total award), purchases or improvements of an individual's private property, telephone costs, repairs to government-owned or profit-making facilities and any payments for services not incurred. For a complete listing on eligible and ineligible costs under this program, refer to the current Phase (fiscal year): Responsibilities and Requirements, issued by the Emergency Food and Shelter Program National Board.

Applicant Eligibility:

Since funds are initially distributed to jurisdictions based on either a National Board formula or recommendations from State Set-Aside Committees, there is no application process for jurisdictions. All jurisdictions are considered within the National Board formula and all jurisdictions in an individual State may be considered by the State Set-Aside Committee for either initial or additional (if the jurisdiction had previously been selected by the National Board) funding.

Beneficiary Eligibility:

The law directs that the Local Boards, which manage the program at the local level, shall "determine which private nonprofit organizations or public organizations of the local government in the individual locality shall receive grants to act as service providers." The range of local participant groups includes the affiliates of the National Board membership which consists of the American Red Cross, Catholic Charities, USA, United Jewish Communities, National Council of Churches of Christ in the USA, The Salvation Army and United Way of America, with a local government representative replacing FEMA. Also participating are thousands of independent nonprofits (such as Community Action Agencies and Food Banks and food pantries) which provide food and/or shelter services. Due to the broad category of people in need of such emergency services, the providers can include specialized groups such as domestic violence shelters, Native American organizations, organizations providing food or shelter to: AIDS patients, handicapped individuals, the elderly, homeless veterans, non-wards of the State teenage runaways, and many other groups with emergency food and shelter needs. As noted earlier, the decisions on selections are made through the consensus of the Local Board as they have assessed their community's most urgent needs. It is important that such agencies use these funds to supplement, not replace, their current efforts. This program is intended to supplement ongoing programs and allow them to extend and expand upon their existing services.

Credentials/Documentation:

The program employs a Local Recipient Organization Certification Form. This form certifies an applicant's status (i.e., that it is a nonprofit capable of delivering eligible services) that it will abide by the program guidelines (both on eligible spending and reporting requests), and comply with other fiscal and program rules so stipulated. Grant awards will be determined in accordance with OMB Circular Nos. A-102 and A-87 for State and local governments. Awards made to Institutions of Higher Education, Hospitals and Other Non-Profit Organizations will be required to follow the requirements of OMB Circular Nos. A-110 and A-21, and be audited under the standards of OMB Circular No. A-133.

Preapplication Coordination:

At the local level, following award notification, a Local Board is formed. That board then advertises the availability of the funds to local nonprofit agencies and governments. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are submitted to the National Board and reviewed by National Board program.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Refer to program guidance for information on the appeal process. Those cases that cannot be handled locally should be referred to the National Board giving details on action that has been taken up to that point. Any allegations regarding fraud or the misuse of funds should be referred directly to the FEMA Office of Inspector General.

Renewals:

Not applicable. Each phase (fiscal year) is a new program.

Formula and Matching Requirements:

The National Board uses unemployment and poverty statistics as indicators of need. For Phase 26, fiscal year 2008, the following formula was used: (1) Jurisdictions, including balance of counties, with 13,000+ unemployed and a 3.5 percent rate of unemployment. (2) Jurisdictions, including balance of counties, with 300 to 12,999 unemployed and a 5.5 percent rate of unemployment. (3) Jurisdictions, including balance of counties, with 300 or more unemployed and an 11 percent rate of poverty.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Local Boards are expected to monitor the expenditure of funds in their respective jurisdictions. It is for that reason that requests for second checks (all awards are divided into two payments) must be made by the Local Boards. Along with the request for the second payment, the National Board receives an interim report on eligible spending during that phase. A Final Report also must be filed by each LRO with its Local Board. The Local Board then compiles all the Final Reports within its jurisdiction and submits them (along with any requested documentation) to the National Board. Failure of an LRO to file a Final Report will result in its award being held in escrow the succeeding year.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Boards are required to remain in operation until all program and audit requirements of the National Board have been satisfied. Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0707-0-1-605.

Obligations:

(Grants) FY 07 \$151,470,000; FY 08 est \$153,000,135; and FY 09 est \$100,000,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

During the most recent phase for which final data is available (phase 24 or FY 06), over 12,000 social service agencies (both nonprofit and governmental) in more than 2,500 jurisdictions received nearly \$151,470 million. This resulted in the provision of more than 66,784,162 million additional meals and 4.1 million additional nights of shelter, payout of approximately 248,869 rent/mortgage and utility bills to keep people in their homes, as well as supplies and minimal repairs for shelters. Web training, called Webinars, was also conducted by program staff for local boards and agencies.

REGULATIONS, GUIDELINES, AND LITERATURE:

EFS National Board Program, "Phase 25: Responsibilities and Requirements."

Regional or Local Office:

See Catalog Appendix IV for list of addresses for FEMA Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA), 500 C Street, S.W., Room 619, Washington, DC 20472. Telephone: (800) 621-FEMA (3363).

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

10.568, Emergency Food Assistance Program (Administrative Costs); 14.231, Emergency Shelter Grants Program

EXAMPLES OF FUNDED PROJECTS:

Some local providers have used EFS Program funds in the following ways: (1) A drop-in center, available for homeless individuals during daytime hours when shelters are closed, used EFS funds to begin a lunch program; (2) A family shelter used EFS funds to increase the quality of the diet available to mothers and children and added a morning meal; (3) A shelter used funds to purchase additional cots and beddings, and thus increase shelter capacity; (4) A food bank used EFS funds to increase the amount of food and the protein quality it distributed to its pantry system; and (5) A shelter organization used EFS funds to

make one-month mortgage and rent payments to prevent evictions which kept families in their own homes and lightened the shelter population.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

97.025 NATIONAL URBAN SEARCH AND RESCUE (US&R) RESPONSE SYSTEM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; Defense Against Weapons of Mass Destruction Act of 1966; National Defense Authorization Act for FY 1997, as amended; Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. 5121-5206; 44 CFR Part 208; Earthquake Hazards Reduction Act of 1977, as amended, 42 U.S.C., 7701 et seq.

OBJECTIVES:

Develop, maintain, deploy, coordinate, and support National Urban Search and Rescue resources on-scene to locate, provide initial medical treatment, and extricate victims of incidents requiring specialized search and rescue operations while simultaneously enhancing the US&R response capabilities of State and local governments.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

State and local emergency management agencies may jointly develop one or more US&R Task Force(s), as defined in the National US&R Response System Operation Manual. Use of cooperative agreement funding is restricted to program management/administration, training, equipment acquisition, and storage and maintenance of equipment, to meet task force position criteria, and conduct and participate in meetings within the National US&R Response System. Equipment acquisitions are limited to those included in FEMA's approved equipment cache list, including equipment for management/administration and other items approved by the FEMA US&R Program Office. Training requirements as included in the Operation Manual and including Grants Management and Financial Training.

Applicant Eligibility:

Only the 28 sponsoring jurisdictions who are currently designated by FEMA as members of the National Urban Search and Rescue Response System are eligible for operations and maintenance cooperative agreements.

Beneficiary Eligibility:

Only the 28 State and local government sponsoring organizations formally accepted by FEMA for participation in the National US&R Response System.

Credentials/Documentation:

State and/or local government applicants must verify that all personnel recommended for a task force meet the personnel qualifications as listed in the National US&R Response System Operation Manual. In addition, equipment needs must be verified as being on the approved equipment cache list or as approved by the Program Office. Grant awards/administration will be in accordance with the following: The Grantee shall comply with all applicable laws and regulations.

Preapplication Coordination:

All applicants will complete the equipment and personnel checklists contained in the National US&R Response System Manual, as well as identifying required training for potential task force members, on an annual basis. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the yearly application/program guidance.

Award Procedure:

Applications and budget plans are reviewed by DHS Program Office and Grants Management Office. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Appeals must be made in writing and submitted within thirty (30) days from the date of disapproval. The appeal will be submitted through the appropriate FEMA Regional Office, to the Director for the Response Directorate. Within thirty days following the receipt of an appeal, the Response Director will provide the applicant, in writing, a determination on the appeal.

Renewals:

Annual applications must be submitted.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management

Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial reports and semi-annual performance reports. Semi-Annual "Performance Reports" must include the progress of each grant award and are due on January 30 and July 30. Financial Reports are due 30 days after the end of each quarter: Jan. 31, April 30, July 31, and October 31. Closeout documents including final financial and performance reports are due 90 days after the expiration or termination of the grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-999.

Obligations:

(Grants) FY 07 \$22,238,000; FY 08 \$ 28,982,012; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

To continue to provide funding for equipment, training, and related activities for the 28 grantees comprising the National US&R Response System in order to assist them in achieving full operational readiness, as determined by FEMA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim Federal Rule 44 CFR Part 208, guidelines outlined in the annual statement of work for task force preparedness cooperative agreements. In addition, the requirements for establishing a standardized US&R Task Force are contained in the National US&R Response System Sponsoring Organization Capability Criteria manual, as amended by FEMA. The Grantee shall comply with all applicable laws and regulations. In addition a non-exclusive list of regulations commonly applicable to DHS grants are listed below: Administrative Requirements OMB Circular A-102, State and Local Governments (10/07/94, amended 08/29/97) (44 CFR Part 13) OMB Circular A-110, Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations (11/19/93, amended 09/30/99) (2 CFR Part 215) Cost Principles, 2 CFR Part 225, (OMB Circular A-87), State and Local Governments 2 CFR Part 205, (OMB Circular A-21), Educational Institutions, 2 CFR Part 230, (OMB Circular A-122), Nonprofit Organizations Audit Requirements. OMB Circular A-133, States, Local Governments, and Nonprofit Organizations (06/24/97, includes revisions published in the Federal Register 06/27/03).

Regional or Local Office:

Not applicable.

Headquarters Office:

Headquarters Office: Department of Homeland Security, Federal Emergency Management Agency (FEMA), C/O 245 Murray Lane Building #410, Washington, DC 20523; Telephone: (202) 786-9458.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Program Management to ensure day to day operations of the US&R taskforce. Acquisition of tools and equipment identified in the current US&R equipment cache list. Development and delivery of training needed to fulfill task force missions. Lease of or modifications to existing buildings (not to change the building existing footprint) cache storage space.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.026 EMERGENCY MANAGEMENT INSTITUTE- TRAINING ASSISTANCE

(Student Stipend Reimbursement Program (SEP))

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. 5121 et seq.; National Security Act of 1947, 50 U.S.C. 401 et seq.; Defense Production Act of 1950, as amended, 50 U.S.C. App. 2061 et seq.; Earthquake Hazards Reduction Act of 1977, as amended, 42 U.S.C. 7701 et seq.

OBJECTIVES:

To defray travel and per diem expenses of State, local and tribal emergency management personnel who attend training courses conducted by the Emergency Management Institute, at the Emmitsburg, Maryland facility; Bluemont, Virginia facility; Nobel Training Center in Anniston, Alabama; and selected off-site locations. Its purpose is to improve emergency management practices among State, local and tribal government managers, in response to emergencies and disasters. Programs embody the Comprehensive Emergency Management System by unifying the elements of management common to all emergencies: planning, preparedness, mitigation, response, and recovery.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

State (includes territories), local, and tribal emergency management officials, who have been accepted for emergency management training by the Emergency Management Institute, Emmitsburg, Maryland. Reimbursement may be made for a portion of student's travel, but attendees are required to pay for meals. Refer to program guidance document or contact administering program office for additional information.

Applicant Eligibility:

Individuals who are assigned to an emergency management position in State, local or tribal government are eligible. Some restrictions apply, refer to program guidance document or contact administering program office for additional information.

Beneficiary Eligibility:

Specialized Group.

Credentials/Documentation:

Individuals must first be accepted/approved by EMI, to attend the training course. Student Stipend Agreement (FEMA 75-3) accompanied by required supporting documentation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applications for admission to training course, are processed/coordinated through county, State, tribal, and regional emergency management entities. This program is excluded from coverage under 44 CFR Part 13 and E.O. 12372.

Application Procedure:

Application is made to the Educational and Academic Support Branch at the National Emergency Training Center using the Student Stipend Agreement after acceptance into the course. The Student Stipend Agreement must be accompanied by the required supporting documentation. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The completed agreement will be processed immediately. If reimbursement is to be made, a U.S. Department of Treasury payment schedule is prepared and a reimbursement check is issued directly to the beneficiary within 60 days.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

May be made to the Superintendent of the Emergency Management Institute.

Renewals:

Not applicable.

Formula and Matching Requirements:

Refer to program guidance document or contact administering program office for additional information. Certain match requirements (payment of meals by attendees) apply to all participants.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

70-0711-0-1-999.

Obligations:

(Direct assistance student stipends) FY 07 \$1,296,853; FY 08 est \$1,300,000; and FY 09 est \$1,300,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

In the prior fiscal year, 14,565 students attended courses, and 3,068 of those students received a stipend by the Emergency Management Institute Resident

Program. Approximately the same level of activity is estimated for the current fiscal year.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Management Institute Course Catalog.

Regional or Local Office:

See Catalog Appendix IV for FEMA Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA), 245 Murray Lane, Building 410, Washington, DC 20528.

Web Site Address:

www.training.fema.gov.

RELATED PROGRAMS:

97.027, Emergency Management Institute (EMI)_Independent Study Program;
97.028, Emergency Management Institute (EMI)_Resident Educational Program.

EXAMPLES OF FUNDED PROJECTS:

Students are provided an opportunity to attend courses at the Emergency Management Institute resident facility, Bluemont facility, Anniston facility, and selected off site locations. Cost to the individual or the organization represented include meals, travel to point of major embarkment and return, personnel replacement costs, and related expenses.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selection.

**97.027 EMERGENCY MANAGEMENT INSTITUTE (EMI)-
INDEPENDENT STUDY PROGRAM**

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. 5121 et seq.; National Security Act of 1947, 50 U.S.C. 401 et seq.; Defense Production Act of 1950, as amended, 50 U.S.C. App. 2061 et seq.; Earthquake Hazards Reduction Act of 1977, as amended, 42 U.S.C. 7701 et seq.

OBJECTIVES:

The program currently consists of 66 courses available to participants, with the objective of enhancing public and selected audience knowledge of emergency management practices among officials of Federal, State, local and tribal governments and voluntary organizations in response to emergencies and disasters.

TYPES OF ASSISTANCE:

Training.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

State, local, Federally-recognized Tribal Government, U. S. Territory or possession; specialized group and general public. Refer to program guidance document or contact administering program office for course-specific eligibility requirements.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Course enrollment may be obtained by writing to the FEMA Independent Study Program, Administrative Office, Emergency Management Institute, 16825 South Seton Avenue, Emmitsburg, Maryland 21727 or electronic enrollment may be processed via the internet at <http://training.fema.gov/IS>.

Award Procedure:

Independent study courses furnished directly to applicants meeting course-specific requirements.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

An annual appropriation is anticipated for this program.

Reports:

None.

Audits:

None.

Records:

Not applicable.

Account Identification:
70-0711-0-1-999.

Obligations:
(Training) FY 07 \$870,110; FY 08 \$881,000; and FY 09 est \$1,100,000.

Range and Average of Financial Assistance:
Not applicable.

PROGRAM ACCOMPLISHMENTS:
In the preceding fiscal year there were 3,718,547 individuals completing independent study courses. Approximately 4,000,000 independent study course completions are anticipated by the end of the current fiscal year.

REGULATIONS, GUIDELINES, AND LITERATURE:
Emergency Management Institute Course Catalog or Independent Study Program Brochure (L173).

Regional or Local Office:
See EMI Catalog for listing of FEMA Regional Offices.

Headquarters Office:
Department of Homeland Security 245 Murray Lane SW, Bldg 410, Washington DC, 20528.

Web Site Address:
<http://www.training.fema.gov>.

RELATED PROGRAMS:
97.026, Emergency Management Institute Training Assistance; 97.028, Emergency Management Institute (EMI) Resident Educational Program.

EXAMPLES OF FUNDED PROJECTS:
Individuals are provided an opportunity to learn more about a broad base of basic emergency management principles.

CRITERIA FOR SELECTING PROPOSALS:
None.

97.028 EMERGENCY MANAGEMENT INSTITUTE (EMI)-RESIDENT EDUCATIONAL PROGRAM

FEDERAL AGENCY:
DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:
Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. 5121 et seq.; National Security Act of 1947, 50 U.S.C. 401 et seq.; Defense Production Act of 1950, as amended, 50 U.S.C. App. 2061 et seq.; Earthquake Hazards Reduction Act of 1977, as amended, 42 U.S.C. 7701 et seq.

OBJECTIVES:
To improve emergency management practices among State, local and tribal government managers, and Federal officials as well, in response to emergencies and disasters. Programs embody the Comprehensive Emergency Management System by unifying the elements of management common to all emergencies: planning, preparedness, mitigation, response, and recovery.

TYPES OF ASSISTANCE:
Training.

USES AND USE RESTRICTIONS:
Courses are not open to the general public. The primary audience is State, local and tribal government officials. Acceptance into specific courses is based on a need to know the information and how it will be used for the benefit of the community.

Applicant Eligibility:
Individuals assigned to an emergency management position in State, local, or tribal government are eligible. Restrictions apply, however; refer to program guidance document or contact administering program office for additional information.

Beneficiary Eligibility:
General Public.

Credentials/Documentation:
Applicants may be asked to verify their emergency management responsibilities.

Preapplication Coordination:
This program is excluded from coverage under E.O. 12372.

Application Procedure:
Application deadline and other information are contained in the application/program guidance.

Award Procedure:
Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to acceptance into the course.

Deadlines:
Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:
Refer to program guidance document.

Appeals:
May be made to the Superintendent of the Emergency Management Institute.

Renewals:
Not applicable.

Formula and Matching Requirements:
None.

Length and Time Phasing of Assistance:

Indefinite.

Reports:
None.

Audits:
There may be an evaluation following completion of most courses to determine the application of the information and the benefit derived by the community.

Records:
Not applicable.

Account Identification:
70-0711-0-1-999.

Obligations:
(Direct Program Expenditure) FY 07 \$2,974,439; FY 08 est \$3,270,800; and FY 09 est \$3,500,000.

Range and Average of Financial Assistance:
Not applicable.

PROGRAM ACCOMPLISHMENTS:
In the preceding fiscal year, 14,565 individuals participated in the EMI resident program. The same level of participation is anticipated for the current and future fiscal year(s).

REGULATIONS, GUIDELINES, AND LITERATURE:
Emergency Management Institute Course Catalog.

Regional or Local Office:
See EMI Catalog for listing of FEMA Regional Offices.

Headquarters Office:
Department of Homeland Security, Federal Emergency Management Agency (FEMA), 245 Murray Lane, Bldg 410, Washington, DC 20523.

Web Site Address:
www.training.fema.gov

RELATED PROGRAMS:
97.026, Emergency Management Institute Training Assistance; 97.027, Emergency Management Institute (EMI) Independent Study Program.

EXAMPLES OF FUNDED PROJECTS:
Individuals are provided an opportunity to attend courses at the Emergency Management Institute resident facility

CRITERIA FOR SELECTING PROPOSALS:
Refer to the program guidance for information on selection criteria.

97.029 FLOOD MITIGATION ASSISTANCE

(FMA)

FEDERAL AGENCY:
DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:
National Flood Insurance Reform Act of 1994, Title V, Sections 553 and 554, Public Law 103-325, 42 U.S.C. 5154a.

OBJECTIVES:
To assist States and communities in implementing measures to reduce or eliminate the long-term risk of flood damage to buildings, manufactured homes, and other structures insurable under the National Flood Insurance Program (NFIP).

TYPES OF ASSISTANCE:
Project Grants.

USES AND USE RESTRICTIONS:
States are encouraged to use FMA planning, project and technical assistance grants to reduce the number of repetitive loss structures insured by the NFIP. More specifically, the emphasis is on reducing target repetitive loss properties that include structures with four or more losses, and structures with two or more losses where cumulative payments have exceeded the property value. Planning Grants may be used to assist States and communities in developing and updating Flood Mitigation Plans. Eligible activities under this grant are: conducting local planning discussions, contracting for consulting technical services such as engineering and planning; surveying structures at risk; and assessing structures subject to repetitive flood loss. There are several restrictions on Planning Grants. Planning Grants will not exceed a maximum of \$1,500,000 nationally each year. A Planning Grant will not be awarded to a State or a community more than one every 5 years. Individual Planning Grants will not exceed \$150,000 to any State applicant, or exceed \$50,000 to any community applicant. The total planning grant made in any fiscal year to any State, including communities located in the State will not exceed \$300,000. Project Grants may be used to assist States and communities in implementing flood mitigation projects to reduce the risk of flood damage to structures insured or insurable under the National Flood Insurance Program. Eligible activities under this grant include the acquisition, relocation, or elevation of insured structures. Only mitigation activities specified in a FEMA-approved Flood Mitigation Plan are eligible for an FMA Project Grant. There are several restrictions on Project Grants. The combined total of project and technical assistance awarded to the State and all communities in that State is limited to \$20,000,000 in a 5-year period. There are also limits on the amount of Project Grant funds that any one State or community can receive in a 5-year period. States can receive individual grants not totaling more than \$10,000,000 in a 5-year period. Communities can

receive individual project grants not totaling more than \$3,300,000 in a 5-year period. Up to 10 percent of Project Grant allocations annually may be used for Technical Assistance. The only applicants eligible for Technical Assistance Grants are State agencies or departments responsible for administering FMA. Eligible activities under this grant are: conducting community site visits; reviewing draft applications or plans; participating in planning meetings; providing planning workshops/materials; and, providing grants management workshops/ materials.

Applicant Eligibility:

Eligible applicants Technical Assistance Grants are State agencies or departments that are responsible for administering the FMA program. Eligible applicants for Planning Grants are States and communities participating in the NFIP. Suspended communities or communities on probation under the NFIP are not eligible. Eligible applicants for Project Grants are States and communities participating in the NFIP, and with a FEMA-approved Flood Mitigation Plan. Suspended communities or communities on probation under the NFIP are not eligible.

Beneficiary Eligibility:

States and communities.

Credentials/Documentation:

Grant awards will be determined in accordance with OMB Circulars No. A-102 and No. A-87 for State and local governments. Awards made to Institutions of Higher Education, Hospitals and Other Non-Profit Organizations will be required to follow the requirements of OMB Circulars No. A-110 and No. A-21.

Preapplication Coordination:

Application Process for FMA Project Grants: States apply through grant negotiation. State FMA point of contact reviews and prioritizes applications. FEMA reviews and ensures projects meet environmental regulations and minimum eligibility requirements. FEMA allocates the FMA grants for planning, technical assistance, and projects annually to each FEMA region for distribution among States that have requested participation in the program. For a State to receive funds, it should request participation in the FMA during its annual grant negotiation with the FEMA Regional Office. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The State applies through the annual grant negotiation. The State point of contact reviews, prioritizes, and selects applications from community for funding planning and project grants; FEMA reviews and ensures that projects meet environmental regulations and minimum eligibility requirements. Forms Required: A State Flood Mitigation Plan, SF 424 Application for Federal Assistance, Program Narrative, 20-20 Budget Information-Construction Programs, 20-16(A)-(C) Assurances and Certifications, and SF-LLL Lobbying Disclosure.

Award Procedure:

State applies through grant negotiation, State reviews, prioritizes, and selects applications from community for funding Planning and Project Grants. Director allocates funds to the State, and the State distributes them to the community.

Deadlines:

The FEMA Regional Director will notify States regarding the program schedule at the beginning of each fiscal year.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

The FEMA Director allocates available funds for FMA each fiscal year in a lump sum to each FEMA Region for distribution to the States and communities. Under the current formula, each State and territory receives a base amount of \$10,000 for Planning, and \$100,000 for Projects. The remaining funds are distributed based on the number of NFIP policies, repetitive loss structures, and other such criteria as the Director may determine in furtherance of the disaster resistant community concept. FEMA may contribute up to 75 percent of the cost of eligible activities. The remaining percent must be met by matching requirements from State government or other nonfederal funding sources. Up to one-half of the nonfederal share may be met by in-kind contributions from third parties. The remaining half of the nonfederal share must be met by State and local government expenditures and cash funds identified at the time of application.

Length and Time Phasing of Assistance:

The average performance period for FMA grants is generally 2 years, but may be extended once for planning grants and twice for project grants.

Reports:

Performance reports are due 30 days after the end of the second quarter (April 30) and 30 days after the end of the fourth quarter (October 30). The final

performance report is due 90 days after the expiration or termination of grant support if there is a change to the October financial report. Financial reports are due 30 days after the end of each quarter. Final financial and performance reports are due 90 days after the expiration or termination of grant support.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records include financial and programmatic records, supporting documents, statistical records, and other records of recipient or subrecipient which are required to be maintained by 44 CFR Part 13.42, program regulations or the grant agreement. Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

70-0701-01-453.

Obligations:

FY 07 \$31,000,000; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Program accomplishments are published in a Biennial Report to Congress as required under Section 554 of the National Flood Insurance Reform Act (NFIRA). This report is available from Ms. Margaret E. Lawless, Director, Mitigation Planning and Delivery Division, Federal Insurance and Mitigation Administration, Federal Emergency Management Agency (FEMA), 500 C Street, SW., Washington, DC 20472. Telephone: (202) 646-4621.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of addresses of FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane Building #410, Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Program accomplishments, including examples of funded projects, are published in a Biennial Report to Congress as required under Section 554 of the National Flood Insurance Reform Act (NFIRA). This report is available from Ms. Margaret E. Lawless, Director, Mitigation Planning and Delivery Division, Federal Insurance and Mitigation Administration, Federal Emergency Management Agency (FEMA), 500 C Street, SW., Washington, DC 20472. Telephone: (202) 646-4621.

CRITERIA FOR SELECTING PROPOSALS:

Project Grants: Minimum Project Eligibility Criteria: The extent to which proposals are cost effective, conform with applicable Federal and State regulations and executive orders, are technically feasible, conform with the Flood Mitigation Plan, are physically located in an eligible community, or benefit such a community directly. Planning Grants are selected based on whether proposals are for eligible activities such as: conducting local planning discussions; contracting for consulting technical services such as engineering and planning; surveying structures at risk; and assessing structures subject to repetitive flood loss. Technical Assistance Grants are selected based on whether proposals are for eligible activities such as: conducting community site visits; reviewing draft applications and plans; participating in planning meetings; providing planning workshops/materials; and, providing grants management workshops/materials.

97.030 COMMUNITY DISASTER LOANS

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 417, 42 U.S.C. 5184.

OBJECTIVES:

To provide loans subject to Congressional loan authority, to any local government that has suffered substantial loss of revenues in an area in which the

President designates a major disaster exists. The funds can only be used to maintain existing functions of a municipal operating character and the local government must demonstrate a need for financial assistance.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

Loans are made only to local governments or other political subdivisions of the State, and are based on need. The funds cannot be used to meet the non federal share of any Federal program, finance capital improvements, or repair or restore public facilities.

Applicant Eligibility:

Applicants must be in a designated major disaster area and must demonstrate that they meet the specific conditions of FEMA Disaster Assistance Regulations 44 CFR Part 206, Subpart K, Community Disaster Loans. To be eligible the applicant must demonstrate: 1) a substantial loss of revenues as a result of a major disaster; 2) a need for financial assistance to perform its governmental functions.

Beneficiary Eligibility:

Local governments in a designated disaster area.

Credentials/Documentation:

The loan application is developed from financial information contained in the local government's published financial reports and current annual operating budget. Loan awards will be determined in accordance with OMB Circulars No. A-102 and No. A-87 for State and local governments. Awards made to Institutions of Higher Education, Hospitals and Other Non-Profit Organizations will be required to follow the requirements of OMB Circulars No. A-110 and No. A-21.

Preapplication Coordination:

The State Governor makes a request for a Presidential declaration of a major disaster through the FEMA Regional Director. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Upon declaration of a major disaster, application for a Community Disaster Loan is made through the Governor's Authorized Representative to the Regional Director of FEMA in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206.364. The Assistant Administrator of the Disaster Assistance Directorate approves or disapproves the loan. The Designated Loan Officer will execute a Promissory Note with the applicant. The promissory note must be co-signed by the State, or if the State cannot legally co-sign the note, the local government must pledge collateral security.

Award Procedure:

Funds are disbursed to the local government when requested in accordance with the Schedule of Loan Increments in the Promissory Note. Funds awarded to Institutions of Education, Hospitals and Other Non-Profit Organizations will follow requirements of OMB Circulars No. A-110, No. A-21 and No. A-133.

Deadlines:

The loan must be approved in the fiscal year of the disaster or the fiscal year immediately following.

Range of Approval/Disapproval Time:

From 1 to 6 months.

Appeals:

If a loan application is disapproved, in whole or in part, a revised application may be resubmitted by the local government within 60 days of the date of the disapproval.

Renewals:

Not applicable.

Formula and Matching Requirements:

The amount of the loan is based on the demonstrated need. The interest rate is the U.S. Treasury rate for 5-year maturities on the date the Promissory Note is executed adjusted to the nearest 1/8th. When applicable, if an applicant can demonstrate unusual circumstances involving financial hardship, the Assistant Administrator of the Disaster Assistance Directorate may approve a rate equal to the five 5-year maturity rate plus 1 per centum, adjusted to the nearest 1/8th, and further reduced by one-half. The term of the loan is usually 5 years, but may be extended. Interest accrues on the funds as they are disbursed. When applicable, the Assistant Administrator of the Disaster Assistance Directorate may cancel repayment of all or part of the loan if the revenues of the applicant in the 3 fiscal years following the fiscal year of the disaster are insufficient to meet the operating budget because of disaster related revenue losses and un-reimbursed disaster related operating expenses.

Length and Time Phasing of Assistance:

The length of the loan is generally 5 years, but may be extended. Funds are disbursed per the Schedule of Payments in the Promissory Note.

Reports:

The applicant must demonstrate a financial need prior to any incremental disbursement by submitting a copy of its most recent financial report as well as

data on anticipated and actual revenues. The applicant annually must submit copies of its annual financial reports.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Annual Operating Budgets including revenue and expense and balance sheets must be retained for the duration of the loan. Additional record requirements are detailed in the Federal Disaster Assistance Regulations, 44 CFR 206, Subpart K.

Account Identification:

70-0703-0-1-453.

Obligations:

(Loans) FY 07 est not available; FY 08 est \$4,000,400; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR Part 206, Subpart K.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

U.S. Department of Homeland Security 245 Murray Lane, Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

97.031 CORA BROWN FUND

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, as amended, 42 U.S.C. 5121 et seq.

OBJECTIVES:

To use funds made possible by a bequest of funds from the late Cora C. Brown of Kansas City, Missouri, who left a portion of her estate to the United States for the purpose of helping victims of natural disasters not caused by or attributed to war.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

To provide for disaster-related needs that have not been, or will not be met by government agencies or any other organizations which have programs to address such needs; however, the fund is not intended to replace or supersede these programs. The fund may not be used in a way that is inconsistent with other federally mandated disaster assistance or insurance programs. Money from the fund will not duplicate assistance for which a person is eligible from other sources.

Applicant Eligibility:

Individuals, families, and groups in need of (1) Disaster-related home repair and rebuilding; (2) disaster-related unmet needs; and (3) other services which alleviate human suffering and promote the well being of disaster victims.

Beneficiary Eligibility:

Individuals, families, and groups in need.

Credentials/Documentation:

Recommendation from Regional Director or his/her designee.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Disaster victims do not apply for assistance from the fund, instead, they are identified and recommended by the Regional Director or his/her representatives, with assistance from other governmental agencies and voluntary disaster agencies.

Award Procedure:

None.
Deadlines:
None.
Range of Approval/Disapproval Time:
None.
Appeals:
None.
Renewals:
None.
Formula and Matching Requirements:
None.
Length and Time Phasing of Assistance:
None.
Reports:
None.
Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:
Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:
70-8244-0-7-453.

Obligations:
(Direct Payments) FY 07 \$0; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:
None.

PROGRAM ACCOMPLISHMENTS:
None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR 206.181; DOM Chapter 17; Cora Brown Fund Program.

Regional or Local Office:
See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:
Department of Homeland Security, 245 Murray Lane - Bldg. #410, Washington, DC 20528.

Web Site Address:
<http://www.dhs.gov>.

RELATED PROGRAMS:
None.

EXAMPLES OF FUNDED PROJECTS:
None.

CRITERIA FOR SELECTING PROPOSALS:
None.

97.032 CRISIS COUNSELING

FEDERAL AGENCY:
DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:
Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 416, Public Law 93-288, as amended; 42 U.S.C. 5182, Crisis Counseling Assistance and Training Act, Public Law 100-707.

OBJECTIVES:
To provide immediate crisis counseling services, when required, to victims of a major Federally-declared disaster for the purpose of addressing mental health problems caused or aggravated by a major disaster or its aftermath. Assistance is short-term in nature and provided at no cost to the disaster victims.

TYPES OF ASSISTANCE:
Project Grants.

USES AND USE RESTRICTIONS:
The Crisis Counseling Assistance and Training Program (CCP) is designed to provide supplemental funding to States for technical assistance and training, as well as, short-term crisis counseling services to people affected by the disaster.

CCP services involve the counseling goals of assisting disaster survivors in understanding their current situations and reactions, mitigating additional stress, assisting survivors in reviewing their options, promoting the use or development of coping strategies, providing emotional support and encouraging linkages with other individuals and agencies who may help survivors recover to their pre-disaster level of functioning. The Program is divided into an Immediate Services Program and a Regular Services Program. The Immediate Services Program enables the State or local agency to respond to the immediate crisis counseling needs of people affected by the disaster. The Regular Services Program grant provides up to 9 months of crisis counseling, outreach, consultation and education services to people affected by a disaster. Funding for this program is separate from the Immediate Services Program.

Applicant Eligibility:

States are eligible for grants. If the Governor determines, during an assessment of the need for crisis counseling services, that because of unusual circumstances or serious conditions within the State or local mental health network, the State cannot carry out the crisis counseling program, he/she may identify a public or private mental health agency or organization to carry out the program or request the Department of Homeland Security's Federal Emergency Management Agency (FEMA) Regional Director to identify, with assistance of the Secretary of the Department of Health and Human Services (DHHS), such an agency or organization.

Beneficiary Eligibility:

In order to be eligible for services under this program, an individual must be a resident of the designated disaster area or must have been in the designated area at the time the disaster occurred.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Grant awards will be determined in accordance with OMB Circulars No. A-102 and No. A-87 for State and local governments. Awards made to Institutions of Higher Education, Hospitals and Other Nonprofit Organizations will be required to follow the requirements of OMB Circulars No. A-110 and No. A-21.

Preapplication Coordination:

Consultation and assistance are available from FEMA and the Department of Health and Human Service's Substance Abuse and Mental Health Services Administration (SAMHSA). This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Immediate Services Crisis Counseling Program - Applications are reviewed by FEMA program staff in consultation with SAMHSA. Grant award is provided by FEMA to the State Emergency Management Agency. Regular Services Crisis Counseling Program Applications are reviewed by FEMA with formal recommendation from SAMHSA. Grant funds are provided by FEMA to SAMHSA for award to the State's mental health agency.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Immediate Services Crisis Counseling Program - The State may appeal the Regional Director's decision in writing within 60 days of the date of notification of the application decision. The State may further appeal the Regional Director's decision to the Assistant Associate Director within 60 days of the date of the Regional Director's notification of the application decision. Regular Services Crisis Counseling Program - The State may appeal FEMA's decision in writing within 60 days of the date of notification of the decision.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular

A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0702-0-1-453.

Obligations:

(Grants) FY 07 3,903,177; FY 08 est 3,014,521 ; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2007, this program responded to 13 major disaster declarations in 11 States or Territories.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR 206.171, Crisis Counseling Assistance and Training; and RR-9, State Handbook Crisis Counseling Assistance and Training.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security 245 Murray Lane - Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

93.982, Mental Health Disaster Assistance and Emergency Mental Health.

EXAMPLES OF FUNDED PROJECTS:

Immediate Crisis Counseling Services for victims of floods, earthquakes, hurricanes, tornadoes, and other Federally declared major disasters as defined by the Stafford Act.

CRITERIA FOR SELECTING PROPOSALS:

Presidential declaration of a major Federal disaster. The State must justify that the needs are disaster related and beyond State and local resources.

97.033 DISASTER LEGAL SERVICES

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 415, Public Law 93-288, as amended, 42 U.S.C. 5182.

OBJECTIVES:

To provide legal assistance to individuals affected by a major Federal disaster.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Free legal services, including legal advice, counseling, and representation in non-fee-generating cases are provided, except as provided in paragraph (b) in Part 206.164. Fee-generating cases (i.e., part of a settlement awarded by the court) shall not be accepted by lawyers operating under these regulations, and will be referred by the Regional Director through existing lawyer referral services.

Applicant Eligibility:

Low-income individuals, families, and groups.

Beneficiary Eligibility:

When the President declares a major disaster in which individual assistance programs are triggered, legal services and advice are made available to victims who are unable to secure such services as a result of the disaster.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

None.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

70-0702-0-1-453.

Obligations:

(Salaries and Expenses); FY 07 \$41,242; FY 08 \$48,553 and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR 206.164; DOM Chapter 11; and Disaster Legal Services Handbook.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security 245 Murray Lane - Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

97.034 DISASTER UNEMPLOYMENT ASSISTANCE

(DUA)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 410, Public Law 93-288, as amended, Public Law 100-707, 42 U.S.C. 5178.

OBJECTIVES:

To provide special federally funded weekly benefits to workers and self-employed individuals who are unemployed as a direct result of a Presidentially-declared major disaster, and who are not eligible for regular Unemployment Insurance benefits paid by States.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Payment of DUA weekly benefits and reemployment assistance services to individuals unemployed as a direct result of a Presidentially-declared major disaster. Funds are used for benefit payments to individuals, project administrative costs to States, oversight payments to Department of Labor (DOL), and technical assistance. DUA weekly benefits and reemployment assistance require proof of employment eligibility, proof of employment or self-employment to assist in determining a Weekly Benefit Amount (WBA), and aliens must prove they are "qualified aliens" (as determined by the Immigration and Naturalization Service) in order to be eligible to receive a federal public benefit.

Applicant Eligibility:

Disaster victims who have experienced direct loss of employment as a result of a Presidentially-declared major disaster designated for DUA.

Beneficiary Eligibility:

Eligible disaster victims in designated major disaster areas.

Credentials/Documentation:

FEMA Disaster Assistance Regulations 44 CFR Part 206.141 and the DOL Regulations at 20 CFR Part 625.

Preapplication Coordination:

The State Governor makes a request for a Presidential declaration of a major disaster through the appropriate FEMA Regional Director having jurisdiction over the State. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or officials designated as the single point of contact in his or her State for more information.

on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Upon declaration of a major disaster declaration designated for DUA, applicants may make application with their local State Workforce Agency (SWA).

Award Procedure:

Funds are allocated from the President's Disaster Relief Fund and FEMA transfers funding to DOL for use in designated major disaster areas declared for DUA.

Deadlines:

Generally, applications for DUA must be filed within 30 days after the date of the SWA announcement regarding availability of DUA. When applicants have good cause, they may file claims after the 30-day deadline. However, no initial application will be considered if filed after the 26th week following the declaration date.

Range of Approval/Disapproval Time:

Normally, within 1 to 2 weeks.

Appeals:

Appeals must be filed in accordance with DOL Regulation 20 CFR Part 625.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

DUA may be paid from the period beginning with the first week (as defined in State law) following the date the major disaster began, and extending up through, but no longer than, the 26th week following the Presidential major disaster declaration date.

Reports:

Progress status reports are required.

Audits:

Internal program audits are conducted.

Records:

Record retention in accordance with DOL Regulation 20 CFR Part 625.

Account Identification:

70-0702-0-1-453.

Obligations:

(Direct Payments/Services) FY 07 \$8,398,718; FY 08 est \$12,619,457; and FY 09 est not available.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

FEMA Regulations at 44 CFR 206.8, 44 CFR 206.141, and DOL Regulations at 20 CFR Part 625.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA), c/o 245 Murray Lane Building #410, Washington, DC 20523.
Telephone: (800) 621-FEMA (3363).

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

**97.036 DISASTER GRANTS - PUBLIC ASSISTANCE
(PRESIDENTIALLY DECLARED DISASTERS)**

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, as amended, 42 U.S.C. 5121-5206.

OBJECTIVES:

To assist State and local governments in recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, restoration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disasters or emergencies, such as an earthquake, hurricane, tornado, or wildfire. Program activates only after issuance of a presidential declaration. Public Assistance is awarded in the form

of cost-shared grants to States, local governments, other political subdivisions such as special districts, federally recognized Indian tribal governments and Alaska Native villages or organizations, and certain eligible Private Non-Profit organizations. A State is defined as any State of the United States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Northern Mariana Islands, the Marshall Islands and Micronesia. Following a Presidential declaration, grants may be made for: removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Applicant Eligibility:

State and local governments, other political subdivisions such as a special districts, federally recognized Indian tribal governments, Alaska Native villages or organizations, but not Alaska Native Corporations, and certain Private Non-Profit organizations in designated emergency or major disaster areas.

Beneficiary Eligibility:

Eligible work must be required as a result of the disasters; be located in a designated emergency or major disaster area; and be the legal responsibility of the applicant.

Credentials/Documentation:

Cost will be determined in accordance with FEMA Disaster Assistance Regulations, 44 CFR Part 206 and Part 13, and the FEMA Schedule of Equipment Rates. Grant awards will be determined in accordance with OMB Circulars No. A-102 and No. A-87 for States, local governments and Indian Tribes. Awards made to Institutions of Higher Education, Hospitals and other Non-Profit Organizations will be required to follow the requirements of OMB Circulars No. A-110 and No. A-21.

Preapplication Coordination:

The State Governor makes a request for a Presidential declaration of an emergency or a major disaster through the FEMA Regional Director. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206.36, except as provided in Part 206.35(d) for emergency declarations involving primarily Federal responsibility. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance.

Application Procedure:

A Presidential Disaster or Emergency Declaration must be issued, which is then followed by an application for Public Assistance through the Governor's Authorized Representative to the Regional Director of FEMA.

Award Procedure:

Funds are allocated from the President's Disaster Relief Fund for use in a designated emergency or major disaster area. The FEMA Regional Director approves grants from this allocation on the basis of project applications for eligible applicants. States are responsible for distributing funds to applicants. As a condition of receiving assistance under the Stafford Act, applicants are encouraged to take mitigation measures to reduce future losses from natural hazards.

Deadlines:

A Request for Assistance must be submitted by the applicant at the Applicants' Briefing or within 30 days of designation of an emergency declaration or major disaster declaration for the Public Assistance Program.

Range of Approval/Disapproval Time:

From 1 day to 6 weeks.

Appeals:

Any determination made under the Public Assistance Program may be appealed. All appeals must be made through the State and the appropriate FEMA Regional Office listed in Appendix IV of the Catalog. The appeal deadlines are: 60 days from receipt of notification of the action being appealed.

Renewals:

Not applicable.

Formula and Matching Requirements:

The Federal share of the grant is not less than 75 percent with the State and local governments responsible for the remainder.

Length and Time Phasing of Assistance:

The length of the assistance period varies depending on the type of work or service. Reimbursement for small projects under \$60,900 is made based on the Federal estimate of costs. For projects over the \$60,900, reimbursement of actual eligible costs is made as the costs are incurred. The \$60,900 limit is adjusted annually to reflect the Consumer Price Index. When purchase of insurance is required, the applicant is obliged to maintain the policy for the economic and useful life of the repairs or of the insured property, whichever is the lesser. Also, applicants must comply with Floodplain Management, Hazard Mitigation, Environmental, and Historic Preservation considerations.

Reports:

Progress status reports are required quarterly.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities

that expend financial assistance of \$500,000 or more in Federal awards will have an audit made for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards is exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Expenditure records and related documents must be retained for 3 years from the date the applicant's project application is closed.

Account Identification:

70-0702-0-1-453.

Obligations:

(Grants) FY 07 4,959,113,722; FY 08 est \$3,124,128,957; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 63 disasters and 13 emergencies were declared.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR Part 205 for disasters and emergencies declared prior to November 23, 1988; Federal Disaster Assistance Regulations, 44 CFR Part 206 for disasters declared on or after November 23, 1988; "Public Assistance Guide," FEMA 322 (formerly FEMA 286); "Public Assistance Policy Digest," FEMA 321; "Public Assistance Applicant Handbook," FEMA 323. See Public Assistance web page at <http://www.fema.gov/government/grant/pa/index.shtm>.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security 245 Murray Lane - Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.034, Disaster Unemployment Assistance; 83.535, Mitigation Assistance; 83.505, State Disaster Preparedness Grants; 10.054, Emergency Conservation Program; 12.102, Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works; 12.103, Emergency Operations Flood Response and Post Flood Response.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

97.039 HAZARD MITIGATION GRANT

(HMGP)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 404, 42 U.S.C. 5170c.

OBJECTIVES:

To provide States and local governments financial assistance to implement measures that will permanently reduce or eliminate future damages and losses from natural hazards through safer building practices and improving existing structures and supporting infrastructure.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance can be used for the acquisition of real property, relocation, demolition of structures, seismic rehabilitation or retrofitting of existing structures; strengthening of existing structures, initial implementation of vegetation management programs, initial training of architects, engineers, building officials, and other professionals to facilitate the implementation of newly adopted State or local mitigation standards and codes; elevation of residential structures; elevation or dry flood-proofing of non-residential structures in accordance with 44 CFR 60.3, and other activities that bring a structure into compliance with the floodplain management requirements at 44 CFR 60.3, et al.

Applicant Eligibility:

State agencies, local governments, public entities, private nonprofit organizations as defined in 44 CFR Section 206.433, Native American Tribes or authorized tribal organizations, and Alaskan Native villages or organizations, but not Alaskan native corporations with ownership vested in private individuals. States may elect to become Managing States. Managing States are delegated more authority in managing the HMGP. In order to be selected as a Managing State, the State must demonstrate the ability to manage the grant program, must have in effect an approved Enhanced mitigation plan and have a demonstrated commitment to mitigation activities.

Beneficiary Eligibility:

States and communities.

Credentials/Documentation:

Administrative and State, Tribal and Local Multihazard Mitigation Plans (Standard or Enhanced) must be Approved and reviewed by FEMA before funding can be awarded under the Hazard Mitigation Grant Program.

Preapplication Coordination:

Rather than require applicants to submit a separate preapplication in order for FEMA and the State to identify the need for assistance, FEMA will employ the administrative and Mitigation plans in this manner. When States submit plans for review and indicate their intention to apply for assistance to implement one or more projects described in it, FEMA will use the plan to identify a need for funding assistance, and to discourage applications that are unlikely to be funded. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

An eligible applicant, subgrantee, or State may appeal any determination previously made related to an application for or the provision of Federal assistance according to procedures set forth in Section 206.440.

Renewals:

Not applicable.

Formula and Matching Requirements:

FEMA can fund up to 75 percent of the eligible costs of each project. The State or project applicant must provide a 25 percent match. The 25 percent nonfederal share can be a combination of cash, in-kind services, or materials. The amount of funding for the Program is based on 15 percent of all other disaster grants. States that meet planning requirements to be published as a result of the Disaster Mitigation Act of 2000, may receive an increased percentage (20 percent of all other disaster grants) of HMGP funds if, at the time of the declaration of a major disaster they have in effect an approved Enhanced State Mitigation Plan.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award. FEMA is required to track the use of real property acquired with grant funds in order to ensure that the property is maintained for open space in perpetuity. Sub-grantees and State will need to retain real estate transaction and property tracking records indefinitely.

Account Identification:

70-0700-0-1-999.

Obligations:

(Grants) FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

In prior fiscal years the program has funded over \$1.1 billion in mitigation projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Subpart N Hazard Mitigation Grant Program Sections 206.430-206.440; Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, as amended; Hazard Mitigation Grant Program Desk Reference FEMA 345 October 1999, Hazard Mitigation Grant Program, L-169, February 1996. Property acquisition handbook, FEMA-317.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.029, Flood Mitigation Assistance.

EXAMPLES OF FUNDED PROJECTS:

(1) Acquisition and relocation of structures from hazard-prone areas; (2) strengthening structures against flood, high winds, wildfire, or other hazards to protect structures from future damages; (3) elevating structures; (4) developing State or local standards to protect new and substantially improved structures from disaster damage; (5) Drainage improvement projects; and (6) vegetation management projects.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.040 CHEMICAL STOCKPILE EMERGENCY PREPAREDNESS PROGRAM

(CSEPP)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Department of Defense Authorization Act of 1986, Title 14, Part B, Section 1412, Public Law 99-145, 50 U.S.C. 1521.

OBJECTIVES:

To enhance emergency preparedness capabilities of the States, local, and tribal communities at each of the eight chemical agent stockpile storage facilities. The purpose of the program is to assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage and ultimate disposal of chemical warfare materials.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

In keeping with the intent of the Congressional appropriations, CSEPP funds are to be utilized for effective emergency management capabilities in the 10 affected States, local, and tribal communities surrounding the eight Army stockpile locations. CSEPP funds may not be used as a substitute for other mandated, unfunded programs required for existing needs or by other laws. CSEPP funds may not be used to supplant other forms of emergency management funding. CSEPP funds may not be commingled with other, non-CSEPP funds.

Applicant Eligibility:

Applications are accepted from the States of Alabama, Arkansas, Colorado, Illinois, Indiana, Kentucky, Oregon, Utah, Washington, and the Confederated Tribes of the Umatilla Indian Reservation. The eligible States house the U.S. Army stockpiles unitary chemical warfare agent as bulk chemicals and munitions. Local governments are eligible to participate as subgrantees under their State's application.

Beneficiary Eligibility:

State, local and tribal governments, and general public.

Credentials/Documentation:

The budget worksheets used for CSEPP must be included in the official application package. These worksheets will be used for budget analysis by FEMA.

Preapplication Coordination:

A preapplication meeting between the FEMA Regional Offices and their respective States usually occurs to discuss the application and draft work plan. Technical assistance is available for application preparation from the FEMA Regional Office. This program is not subject to the provisions of E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Appeals may be submitted in writing by the authorized State official to the FEMA Regional Director.

Renewals:

A new application is required for each Federal fiscal year.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-999.

Obligations:

(Grants) FY 07 \$54,886,788; FY 08 est \$88,155,897; FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

In preceding fiscal years initiatives or projects such as: installation of operational siren systems, completion of demographic surveys of immediate response zones, improving emergency operating centers, procurement of dedicated radio and telephone systems, development of training courses which resulted in training of over 15,000 people, development of residential and business shelter-in-place guides, construction of over 30 collective protection projects, as well as conducting enhanced exercises and expanded public education/public affairs outreach programs, have occurred.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR 13 and 14; Chemical Stockpile Emergency Preparedness Program Planning Guidance and CSEPP Policy Papers. All available, relevant guidance is routinely provided to all eligible State participants. State and local organizations desiring information about this program should contact their State Emergency Management Agencies or Departments.

Regional or Local Office:

See Appendix IV of the Catalog for FEMA Regional Offices. State and local government officials and the public are encouraged to contact their State and Local Emergency Management Agencies or Departments.

Headquarters Office:

Department of Homeland Security, 245 Murray Drive, Bldg. #410., Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.020, Hazardous Materials Training Program; 83.534, Emergency Management State and Local Assistance.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.041 NATIONAL DAM SAFETY PROGRAM

(Dam Safety State Assistance Program)

FEDERAL AGENCY:
DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Water Resources Development Act of 1996, Section 215, Public Law 104-303.
Dam Safety Act of 2006 (Public Law 109-460 December 2006).

OBJECTIVES:

To encourage the establishment and maintenance of effective State programs intended to ensure dam safety, to protect human life and property, and to improve State dam safety programs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

A primary goal of Public Law 104-303 is to encourage the establishment and maintenance of effective State dam safety programs and to provide financial assistance incentives to States that are moving toward improved safety of nonfederal dams in their States. Public Law 104-303 provides for the two-tiered assistance program described below. Primary Assistance: For a State to be eligible for primary assistance under the National Dam Safety Program, the State's dam safety program must be working toward State dam safety program that is authorized by State legislation to include substantially at a minimum the following criteria and budgeting requirements: 1) The authority to approve plans and specifications to construct, enlarge, modify, remove, and abandon dams; (2) the authority to perform periodic inspections during dam construction to ensure compliance with approved plans and specifications; 3) a requirement on completion of dam construction, State approval be given before operation of the dam; 4) the authority to require or perform the inspection at least once every 5 years of all dams and reservoirs that would pose a significant threat to human life and property in case of failure to the dams and reservoirs to determine the continued safety of and a procedure for more detailed and frequent safety inspections; and 5) a requirement that all inspections be performed under the supervision of a State-registered professional engineer with related experience in dam design and construction; 6) the authority to issue notices, when appropriate, to require owners of dams to perform necessary maintenance or remedial work, revise operating procedures, or take other actions, including breaching dams when necessary; 7) regulations for carrying out the legislation of the State described in this paragraph; 8) the provision for necessary funds to ensure timely repairs or other changes to or removal of a dam to protect human life and property, if the owner of the dam does not take the action described above, to take appropriate action as expeditiously as possible; 9) A system of emergency procedures to be used if a dam fails or if the failure of a dam is imminent; and 10) an identification of each dam the failure of which could be reasonably expected to endanger human life, the maximum area that could be flooded if the dam failed, and necessary public facilities that would be affected by the flooding. For a State to qualify for primary assistance, State appropriations must be budgeted to carry out the legislation of the State as described in this paragraph. Advanced Assistance: For a State to qualify for advanced assistance under Public Law 104-303, the State dam safety program must meet the criteria and budgeting requirements listed above for primary assistance. Also, the State must be working toward advanced requirements and standards established by the National Dam Safety Review Board (Board) and the Director of the Federal Emergency Management Agency (FEMA), with the assistance of established criteria such as the Model State Dam Safety Program, FEMA 316, April 1987, as amended. More advanced requirements and standards include but are not necessarily limited to the following: Legislation; Regulations; Permitting/Approval of Plans; Inspections; Enforcement Capabilities; Emergency Response; Program Staffing and Funding; Education and Training; and Public Relations Plans.

Applicant Eligibility:

All States are eligible for the National Dam Safety Program Assistance for State Dam Safety Programs including the territory of Puerto Rico.

Beneficiary Eligibility:

The State Dam Safety Program Office of eligible States.

Credentials/Documentation:

A State application is required. The application form is provided by FEMA's National Dam Safety Program Office and the State dam safety office must complete the application, sign it, and return all appropriate documentation.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

FEMA makes the award to the State Dam Safety Program Office. Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Each subsequent fiscal year a State dam safety office must apply for financial assistance incentives. The amount of funding is contingent upon acceptability of the work plan in the application and the amounts made available for primary assistance to be allocated to the States using the one-third/two-third formula in Public Law 104-303, Section 215.

Formula and Matching Requirements:

For fiscal year 2007, the amount authorized to be appropriated for financial assistance for State dam safety programs is \$4,000,000. Amounts made available to be allocated to the States will be as follows: (1) One-third among States that qualify for assistance under Section 8(f) of Public Law 104-303; and (2) Two-thirds among States that qualify for assistance under Section 8(f) of Public Law 109-460 to each State in proportion to: (a) the number of dams in the State that are listed as State regulated dams in the National Inventory of Dams as compared to: (b) the number of dams in all States that are listed as State regulated dams on the Inventory of Dams. The maximum amount of allocation to a State may not exceed 50 percent of the reasonable cost of implementing the State dam safety program. The Director and the Board shall determine the amount allocated to States needing assistance. There are no matching requirements for this program. (3) Federal assistance for a fiscal year is conditional upon the State maintaining aggregated expenditures of the State from all sources for programs to ensure dam safety for the protection of human life and property at or above a level at or above the average annual level of expenditures for the two preceding fiscal years.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Generally, final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-453.

Obligations:

(Grants) FY 07 \$3,212,000; FY 08 est \$3,212,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

FEMA Performance Office of Partnership Agreement and Cooperative Agreement guidance, Office of Financial Management Modular Instruction, FEMA's National Dam Safety Program Implementation Plan (September 97).

Regional or Local Office:

See Appendix IV of the Catalog for list of addresses of Federal Emergency Management Agency Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA), c/o 245 Murray Lane Building #410, Washington, DC 20523.
Telephone: (800) 621-FEMA (3363).

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.023, Community Assistance Program State Support Services Element (CAP-SSSE).

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.042 EMERGENCY MANAGEMENT PERFORMANCE GRANTS

(EMPG)

FEDERAL AGENCY:

FEDERAL AGENCY: DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

U.S. Troop Readiness, Veteran's Care Katrina Recovery and Iraq Accountability Appropriation Act, 2007 (P.L. 110-28) and appropriated by the Fiscal Year 2008 (FY 2008) Department of Homeland Security Appropriations Act (P.L. 110-161).

OBJECTIVES:

To provide resources to assist State and local governments to sustain and enhance all-hazards emergency management capabilities. All hazards approach to emergency response, including the development of a comprehensive program, planning, training, exercises, sets the stage for an effective and consistent response to any threatened or actual disaster or emergency, regardless of the cause. State have the opportunity to use EMPG funds to further strengthen their ability to support emergency management activities while simultaneously addressing issues of national concern as identified in the National Priorities of National Preparedness Guidelines.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Each state shall obligate 100% of the total grant program amount to the designated state-level EMA. For more detailed guidance on the EMPG grant program please refer to the current Fiscal Year solicitation guidance.

Applicant Eligibility:

States, as defined in the Homeland Security Act of 2002, refer to means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States." The State Administration Agency (SAA) is the only agency eligible to apply for EMPG funds. Additionally, the Federated States of Micronesia and the Republic of the Marshall Islands are eligible to receive EMPG funding.

Beneficiary Eligibility:

Funding under this program is ultimately used by emergency management organizations and programs of States, the District of Columbia, territories and possessions of the United States, local, and Indian Tribal governments.

Credentials/Documentation:

Grantees must maintain and expand any necessary capabilities to sustain an effective emergency management program. These capabilities must be able to address all-hazards emergencies. To help assess these capabilities, all EMPG award recipients submit a narrative as a part of the application. Additionally, all EMPG award recipients are required to submit a budget and budget narrative.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Technical assistance is available for application preparation from the FEMA Regional Offices.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

A new application is required for each Federal fiscal year.

Formula and Matching Requirements:

Pursuant to the Compact of Free Association, \$50,000 each may be available for the Federated States of Micronesia and the Republic of the Marshall Islands. The EMPG program has a 50% federal and 50% state cost-share cash or in-kind match requirement.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Act for payment and/or reimbursement of expenditures.

Reports:

Categorical Assistance Reports (CAPR) and Biannual Strategy Implementation Reports (BSIR) are due within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. Grantees are also required to submit quarterly financial reports. These reports are due 30 days after the end of each quarter: Jan. 30, April 30, July 30 and Oct. 30. Final financial, CAPR and BSIR reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0519-0-1-999.

Obligations:

FY 07 \$244,000,000 (includes \$194 million for FY-2007, as well as \$50 million in FY 2007 Supplemental funds; FY 08 est \$291,450,000; and FY 09 est \$200,000,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

All States and eligible Territories have received EMPGs in fiscal year 2002.

REGULATIONS, GUIDELINES, AND LITERATURE:

For more requirements and guidance, please refer to the current year EMPG program.

Regional or Local Office:

The G&T Centralized Scheduling and Information Desk (CSID); Telephone: 1 (800)368-6498 or askcsid@dhs.gov. CSID hours of operation are from 8:00 a.m.7:00 p.m. (EST), Monday - Friday.

Headquarters Office:

Department of Homeland Security 245 Murray Lane, Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.008, Urban Areas Security Initiative; 97.053, Citizen Corps; 97.071, Metropolitan Medical Response System; 97.073, State Homeland Security Program (SHSP); 97.074, Law Enforcement Terrorism Prevention Program (LETPP); 97.067 Homeland Security Grant Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.043 STATE FIRE TRAINING SYSTEMS GRANTS

(National Fire Academy Training Grants)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; U.S.A. Patriot Act of 2001, Public Law 107-56; Federal Emergency Management Agency, Executive Order 12127; The Federal Fire Prevention and Control Act of 1974, Public Law 93-498, Section 21 (a and b).

OBJECTIVES:

To provide financial assistance to State Fire Training Systems for the delivery of a variety of National Fire Academy (NFA) courses/programs, administrative costs, and electronic student feedback.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds are to be used within each State to deliver NFA training courses/programs and for marketing, administrative costs and electronic

feedback of student data. Each State shall spend at least \$28,000 (\$25,000 in FY 02) in costs relating directly to the delivery of course materials. Computer purchases are restricted.

Applicant Eligibility:

Representatives from the 50 State Fire Training Systems.

Beneficiary Eligibility:

Specialized Group; fire and emergency response personnel.

Credentials/Documentation:

All beneficiaries will be required to submit a 75-5 Application Form for all 6-day and 10-day courses, and a 75-5A Application Form for all 2-day courses.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372 and OMB Circular A-102.

Application Procedure:

Application packages will be sent to each of the 50 State Fire Training Systems. Grantees must submit workplans at the time of application. Workplans are assessed based on eligible categories of NFA courses and must include estimated number of students to be trained and proposed dates of training.

Award Procedure:

One grant will be issued to each of the 50 State Fire Training Systems after the program office approves each State's work plan.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Recipient organizations must provide to the NFA, quarterly project reports on all activities associated with this grant. Reports must be submitted as described in the grant articles.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

(Grants) FY 07 \$1,175,940; FY 08 est \$1,200,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fifty individual grants were issued.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

FEMA regional offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency, 245 Murray Lane Bldg. #410, Washington, DC 20523.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.044 ASSISTANCE TO FIREFIGHTERS GRANT

(Fire Grants)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

The Federal Fire Prevention and Control Act of 1974, 15 U.S.C. 2229 et seq.

OBJECTIVES:

To provide financial assistance directly to fire departments and nonaffiliated EMS organizations to enhance their capabilities with respect to fire and fire-related hazards. To help fire departments and nonaffiliated EMS organizations meet their firefighting and emergency response needs. To support organizations that lack the tools and resources necessary to more effectively protect the health and safety of the public and their emergency response personnel with respect to fire and all other hazards.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Firefighting Operations and Firefighter Safety, Eligible Uses: Training, Wellness and Fitness, Firefighting Equipment, Personal Protective Equipment, Modifications to Firefighting Facilities for Firefighter Safety, Other Equipment and Supplies; Restrictions: One-year Funds, Funding Restrictions and/or Limits on Certain Equipment, Personnel, Construction, Vehicles. Protecting the Public from Fire and Fire Related Hazards, Eligible Uses: Public Awareness, Public Education, Inspector Certifications, Building Code Development and Enforcement, Arson Prevention and Detection, Emergency Medical Services, Emergency Medical Vehicles, Training, Equipment/Props/Supplies, Transportation, Contracts/Consultants, Program Personnel; Restrictions: One-year Funds, Funding Limits on Certain Equipment, Construction. Firefighting Vehicles, Eligible Uses: Pumpers/Engines, Tankers/Tenders, Brush Trucks/Attack Pumpers, Rescue, Quints, Aerial Apparatus, Hazardous Material, Ambulance/Transport, Communications/Command, Foam Units, Boats, Equipment for the Vehicle; Restrictions: Aircraft, Hazardous Material, Construction, Personnel, 1-year Funding, Funding Limits on Certain Equipment.

Applicant Eligibility:

Eligible applicants for AFG are limited to fire departments and nonaffiliated EMS organizations. Fire departments or nonaffiliated EMS organizations operating in any of the 50 States plus the District of Columbia, the Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa, and Puerto Rico are eligible for funding. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression to a population within a fixed geographical area on a first-due basis. A "nonaffiliated EMS organization" is defined as a public or private nonprofit emergency medical services organization that provides direct emergency medical services, including medical transport, to a specific geographic area on a first-due basis but is not affiliated with a hospital and does not serve a geographic area where emergency medical services are adequately provided by a fire department.

Beneficiary Eligibility:

The ultimate beneficiaries of this program are the local or tribal communities serviced by the fire department including, but not limited to, local businesses, homeowners and property owners. Additionally, children under 16 years-of-age, seniors, and firefighters would be the beneficiaries since these groups are the targeted "risk groups" for the fire prevention program.

Credentials/Documentation:

Each applicant must certify: 1) that they are an eligible applicant, i.e., a fire department, as defined above; 2) as to the characteristics of their community, i.e., Urban, Suburban, or Rural; 3) to the population size of the community served; and, 4) to the type of department, i.e., volunteer/combination or career.

Preapplication Coordination:

There is no preapplication form required under this grant program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Applicants that were denied grants can request a reconsideration of the decision. Such a request may be successful if it is determined that the rating criteria were not appropriately applied, or there was a flaw in the competitive process that unfairly disadvantaged the applicant, or there was human error in the processing

of the grant application. Requests for reconsideration must be submitted in writing within 30 days of the date of the notification of denial.

Renewals:

Grant period is 12 months for this program. In the event that the grantees are unable to fulfill their grant obligations within the 12-month grant period, they may request an extension of the performance period. Such requests will be considered and approved based on the written justification.

Formula and Matching Requirements:

This program has no statutory formula. Applicants who protect a population of over 50,000 are required to provide a nonfederal cost-share of not less than 20 percent of the total award. Applicants who protect a population between 20,000 and 50,000 are required to provide a nonfederal cost-share of not less than 10 percent of the total award. Applicants who protect a population of less than 20,000 are required to provide a nonfederal cost-share of not less than 5 percent of the total award. This program also has a Maintenance of Effort requirement intended to ensure that the Federal funds are used to supplement, not supplant, existing resources.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit semi-annual financial and performance reports. The "Progress Reports" must include a narrative statement that details the progress of each award. Reports are due 30 days after the end of the sixth month of the performance period. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0561-0-1-999.

Obligations:

(Grants) FY 07 \$547,000,000; FY 08 \$560,000,000; and FY 09 est. \$300,000,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Since 2001, the grant program has awarded over 43,000 grants totaling over \$3.8 billion.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Federal Fire Prevention and Control Act of 1974, 15 U.S.C. 2229 et seq. In addition, the program guidance, the FAQs, an applicant tutorial, and other program information can be found on the program's website at www.firegrantsupport.com.

Regional or Local Office:

The Assistance to Firefighters Grants are administered from the FEMA Headquarters Office. FEMA also has 10 regional offices which house Fire Program Specialists who may be contacted for information on the program.

Headquarters Office:

Department of Homeland Security, Preparedness Directorate 245 Murray Lane, Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

15.031, Indian Community Fire Protection; 15.228, National Fire Plan - Wildland Urban Interface Community Fire Assistance; 97.018, National Fire Academy Training Assistance; 83.542, Fire Suppression Assistance; 97.038, First Responder Counter-Terrorism Training Assistance; 97.043, State Fire Training Systems Grants.

EXAMPLES OF FUNDED PROJECTS:

Training: A fire department where a high percentage of firefighting staff needs basic firefighting training. Wellness and Fitness: A fire department that wants to establish a wellness and fitness program that offers an entry-level physical and a

job-related immunization program. Firefighting Vehicle: A fire department that has few or no vehicles of the type for which they are applying and whose existing fleet is old with high mileage and/or high volume of calls. Firefighting Equipment: A fire department that needs basic firefighting equipment to satisfy a statutory requirement but has never been able to purchase such equipment. Personal Protective Equipment: A fire department where a high percentage of firefighting staff has never had personal protective equipment and wants to purchase enough equipment to protect all of the firefighting staff. Fire Prevention Programs: A fire department that wants to establish a public education program that focuses on children under 16 years of age, seniors, and/or firefighters, in a community that has no such program.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.045 COOPERATING TECHNICAL PARTNERS

(CTP)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Housing and Urban Development Act of 1968, National Flood Insurance Act of 1968, as amended, 42 U.S.C. 4101; Housing and Urban Development Act of 1969; Flood Disaster Protection Act of 1973, as amended; National Flood Insurance Reform Act of 1994.

OBJECTIVES:

To increase local involvement in, and ownership of, the development and maintenance of flood hazard maps produced for the National Flood Insurance Program (NFIP).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The funds are authorized to supplement, not supplant, ongoing flood hazard mapping efforts by the community, regional agency, or State agency.

Applicant Eligibility:

All States and Commonwealths (including the District of Columbia and territories and possessions of the United States), regional agencies, and communities may apply. Applicants must be communities participating and in good standing in the NFIP or service participating NFIP communities.

Beneficiary Eligibility:

State, local, specialized group, small business, general public.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

There are no matching requirements; however, applicants must be technically capable of providing products or services that add value to the flood hazard mapping process.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular

A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-5464-0-2-453.

Obligations:

(Cooperative Agreements) FY 07 \$54,000,000; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

To date, approximately, 231 communities, regional agencies, and State agencies had signed Partnership Agreements with FEMA and are, therefore, active participants in the CTP program. Approximately 63 percent of these participants have undertaken specific mapping activities under Mapping Activity Statements. Current information on specific mapping activities undertaken by participants is available at http://www.fema.gov/plan/prevent/fhm/ctp_main.shtm.

REGULATIONS, GUIDELINES, AND LITERATURE:

Annual program guidance is available to all CTP initiative participants and the general public on the FEMA website at www.fema.gov/plan/prevent/fhm/ctp_main.shtm.

Regional or Local Office:

FEMA Regional Offices will manage all program activities under the CTP initiative. See Appendix IV of the Catalog for a listing of FEMA Regional Offices.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane - Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.022, Flood Insurance; 97.070, Map Modernization Management Support.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded projects include: (1) Refinement of Approximate Zone A Boundaries; (2) Hydrologic and Hydraulic Modeling and Floodplain Mapping; (3) Digital Flood Insurance Rate Map Preparation; (4) Redelineation of Detailed Flood Hazard Information Using Updated Topographic Data.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.046 FIRE MANAGEMENT ASSISTANCE GRANT

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Act, Section 420 of Public Law 93-288, as amended, 42 U.S.C. 5187 as amended by the disaster Mitigation Act of 2000. On October 30, 2001, the Fire Management Assistance Grant Program replaced the Fire Suppression Assistance Program.

OBJECTIVES:

To provide grants to States, Indian tribal governments and local governments for the mitigation, management and control of any fire burning on publicly (nonfederal) or privately owned forest or grassland that threatens such destruction as would constitute a major disaster.

TYPES OF ASSISTANCE:

Project Grants; Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Fire Management Assistance Grants are made in the form of cost-sharing grants for the mitigation, management, and control of any fire on publicly (nonfederal) or privately owned forestland or grassland that threatens such destruction as would constitute a major disaster. The Fire Management Assistance Grant Program, authorized by the Stafford Act and amended by the Disaster Mitigation Act of 2000, provides for the mitigation, management and control of fires that threaten such destruction as would constitute a major disaster.

Applicant Eligibility:

State governments and Indian tribal governments are eligible for fire management assistance grants. The State or Indian tribal government may be the Grantee. The Grantee is the government to which a grant is awarded, and is accountable for the use of funds provided.

Beneficiary Eligibility:

The State Government and/or Indian tribal government, acting as the Grantee, is

the government to which the grant is awarded and which is accountable for the use of the funds provided. Other State entities, Indian tribal governments and local governments are eligible to apply for subgrants.

Credentials/Documentation:

Costs will be determined in accordance with FEMA Regulations, 44 CFR Part 204. Federal funds will be awarded to subgrantees in accordance with State law and procedure and in compliance with 44 CFR Part 13 and 204. Grant awards will be determined in accordance with OMB Circulars No. A-87 and No. A-102 for State and local governments.

Preapplication Coordination:

A FEMA-State Agreement and/or Indian tribal Agreement for the Fire Management Assistance Grant Program (the agreement) is signed by the Governor/Indian tribal government and the Regional Administrator at the beginning of the calendar year or after a State's first fire management assistance grant declaration in a calendar year. The agreement is amended for subsequent approvals of assistance for the remainder of the year. This agreement outlines the level of fire management assistance to be provided for all fires declared during the calendar year, and contains the necessary terms and conditions for requesting and receiving assistance. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The Governor, Governor's Authorized Representative, or Indian tribal government may request a fire management assistance declaration through the FEMA Regional Administrator. To expedite the request, the State or Indian tribal government may submit a telephone request to the Regional Administrator, promptly followed by a confirming message or letter. The Assistant Administrator of the Disaster Assistance Directorate, shall render a decision on the State's request and notify the Regional Administrator of this decision. This decision may be relayed by telephone, to be followed by a written determination.

Award Procedure:

None.

Deadlines:

Requests for fire management assistance declarations must be submitted while a fire is burning uncontrolled and threatens such destruction as would constitute a major disaster.

Range of Approval/Disapproval Time:

From 1 hour to 72 hours.

Appeals:

States have the recourse to two types of appeals under the Fire Management Assistance Grant Program. Under the first type of appeal, a Governor, Governor's Authorized Representative, or Indian tribal government may appeal a denial of its request for a fire management assistance declaration. The State/Indian tribal government should submit this one-time request for reconsideration in writing, with additional information, to the Assistant Administrator of the Disaster Assistance Directorate through the Regional Administrator. The appeal must be submitted within 30 days of the date of the letter denying the State's/Indian tribal government's request. The Assistant Administrator will render the final determination on this type of appeal within 90 days of receipt of the appeal or receipt of the additional information requested. The Assistant Administrator may extend the 30-day period provided that the Governor, the Governor's Authorized Representative, or Indian tribal government submits a written request for a time extension within the 30-day period. The Assistant Administrator will evaluate the need for an extension based on the reasons cited in the request and either approve or deny the request for an extension. Under the second type of appeal, an applicant or subgrantee may appeal any determination FEMA makes under an approved fire management assistance declaration through a two level appeal process. Appellants must file appeals within 60 days after receipt of a notice of the action that is being appealed. The Grantee will review and forward appeals from an applicant or subgrantee, with a written recommendation, to the Regional Administrator within 60 days of receipt. The Regional Administrator will render a determination on first appeals for fire management assistance grant-related decisions within 90 days following receipt of the appeal or requested additional information. In the event the Regional Administrator denies the appeal, the applicant may submit a second-level appeal to the Assistant Administrator of the Disaster Assistance Directorate. Within 90 days following receipt of a second appeal, the Assistant Administrator, will notify the grantee in writing of the disposition of the appeal or of the need for additional information. If the decision is to grant the appeal, the Regional Administrator will take appropriate implementing action. The decision of the Assistant Administrator at the second appeal level shall be the final administrative decision of FEMA.

Renewals:

None.

Formula and Matching Requirements:

Fire Management Assistance Grants may be available to States on a 75 percent Federal/25 percent nonfederal cost-sharing basis when we determine that the State's application demonstrates either of the following: total eligible costs for the declared fire meet or exceed the individual fire cost threshold or total costs of all declared and non-declared fires in a given calendar year meet the cumulative

fire cost threshold. The individual fire cost threshold for a State is the greater of \$100,000 or five percent x \$1.22 x state population. The cumulative fire cost threshold for a State is the greater of \$500,000 or three times the five percent x \$1.22 x State population. Both formulas are adjusted annually for inflation using the Consumer Price Index for All Urban Consumers published annually by the Department of Labor.

Length and Time Phasing of Assistance:

The time interval for which a declared fire occurs is the incident period. Generally costs must be incurred during the incident period to be considered eligible. Pre-positioning of resources may be approved for Federal funding up to a maximum of 21 days before a declared fire. Mobilization and demobilization also occur outside the incident period and are eligible for Federal funding. Temporary repair work must be completed within 30 days of the close of the incident period for the declared fire.

Reports:

Within 90 days of the Performance Period expiration date, the State must submit a Financial Status Report (FEMA Form 20-10) which reports all costs incurred within the incident period and all administrative costs incurred within the performance period. The performance period, defined on the SF-424, may be 9 months from the close of the incident period and may be extended for an additional 3 months by the Regional Administrator. During the performance period the Grantee will submit the subgrants, which document eligible costs; FEMA will review the eligibility of costs, and process, obligate, and close-out the grant.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0702-0-1-453.

Obligations:

(Grants and Services) FY 07 \$62,179,997; FY 08 est \$106,474,301; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In FY 2006, 94 fire management assistance grants were authorized.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance, Fire Management Assistance Grant Program Regulations, 44 CFR Part 204. See the Fire Management Assistance Grant Program page at: <http://www.fema.gov/government/grant/fmagp/index.shtm>.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA), c/o 245 Murray Lane - Bldg. #410, Washington, DC 20523.
Telephone: (800) 621-FEMA (3363).

Web Site Address:

www.fema.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

97.047 PRE-DISASTER MITIGATION

(PDM)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY
AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 203, 42 U.S.C. 5121-5206, as amended by Section 102 of the Disaster Mitigation Act of 2000.

OBJECTIVES:

To provide States and communities with a much needed source of pre-disaster mitigation funding for cost-effective hazard mitigation activities that are part of a comprehensive mitigation program, and that reduce injuries, loss of life, and damage and destruction of property.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

States are encouraged to use grants to implement a sustained pre-disaster hazard mitigation program to reduce risk to the population, the costs and disruption to individuals and businesses caused by severe property damage, and the ever-growing cost to all taxpayers of Federal disaster relief efforts. The program is similar to both the Flood Mitigation Assistance (FMA) Program and the Hazard Mitigation Grant Program (HMGP) in that there is an emphasis on "brick and mortar" mitigation projects and that State and local mitigation plans are required prior to approval of mitigation project grants. Allowable costs will be governed by 44 CFR Part 13, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments and OMB Circular A-87, Cost Principles for State, Local, and Indian Tribal Governments.

Applicant Eligibility:

Any State, including the District of Columbia, the U.S. Virgin Islands, the Commonwealth of Puerto Rico, Guam, American Samoa, the Trust Territories of the Pacific Islands, and the Mariana Islands, is eligible as well as Indian tribal governments.

Beneficiary Eligibility:

Grantee eligibility. State and Indian tribal governments are eligible to apply for assistance as a grantee under the PDM program. Sub-grantee eligibility. Local governments and Indian tribal governments are eligible to apply to the grantee for assistance as a sub-grantee under the PDM program. In order for floodprone communities to receive funds, applicants must be participating in the National Flood Insurance Program (if they have been mapped through the NFIP) and must be in good standing (not on probation or suspension).

Credentials/Documentation:

Grant awards will be determined in accordance with OMB Circulars No. A-102, Grants and Cooperative Agreements with State and Local Governments and A-87, Cost Principles for State, Local and Indian Tribal Governments.

Preapplication Coordination:

FEMA will allocate the PDM funds annually to each FEMA Region for distribution among the States. FEMA will notify eligible States of the expected funding amounts prior to the beginning of each fiscal year. Local governments should consult the official designated point of contact in their State for more information on the process the State requires to be followed in applying for assistance. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

FEMA will contribute up to 75 percent of the cost of activities approved for funding. At least 25 percent of the total eligible costs will be provided from a nonfederal source. Grants awarded to small and impoverished communities may receive a Federal cost share of up to 90 percent of the total cost to implement eligible PDM activities. FEMA has adopted the Environmental Protection Agency's definition for a Small and Impoverished Community, which must meet all of the following criteria: it must be a community of 3,000 or fewer individuals that is identified by the State as a rural community, and is not a remote area within the corporate boundaries of a larger city; it must be economically disadvantaged, with residents having an average per capita annual income not exceeding 80 percent of national per capita income, based on best available data; it must have a local unemployment rate that exceeds by one percentage point or more, the most recently reported, average yearly national unemployment rate; and it must meet any other factors identified in the State Plan in which the community is located. All contributions, cash and in-kind, are accepted as part of the nonfederal matching share. Except as allowed by Federal statute, no other Federal grant funds can be used as a match. The following documentation is required for matching contributions: record of source of donor,

dates, rates, amounts, and deposit slips (cash contributions only). Requirements for in-kind contributions can be found in OMB Circular A-102, Common Rule, 44 CFR 13.24.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 30, April 30, July 30, and Oct. 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0700-0-1-453.

Obligations:

(Grants) FY 07 est not available; FY 08 est not available; and FY 09 est not available. This CFDA will remain open for audit purposes until final closeout of grants

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be governed by Section 203 of the Stafford Act, the Federal Register Notice of availability of Pre-Disaster Mitigation grants, and program guidance, which will be made available to the public on the FEMA internet site: www.fema.gov.

Regional or Local Office:

See Appendix IV of the Catalog for the list of addresses of Federal Emergency Management Agency Regional Offices.

Headquarters Office:

Department of Homeland Security, 245 Murray Drive, SW., Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.039, Hazard Mitigation Grant; 97.029, Flood Mitigation Assistance; 97.041, National Dam Safety Program; 97.023, Community Assistance Program State Support Services Element (CAP-SSSE).

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.048 DISASTER HOUSING ASSISTANCE TO INDIVIDUALS AND HOUSEHOLDS IN PRESIDENTIAL DECLARED DISASTER AREAS

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended by Public Law 106-390.

OBJECTIVES:

To provide financial assistance and, if necessary, direct assistance to individuals and households affected as a direct result of a presidentially declared major disaster or emergency, who have uninsured or under-insured, necessary expenses and serious needs and are unable to meet such expenses or needs through other means.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disasters or emergencies, such as an earthquake, hurricane, tornado, wildfire, or flood, etc. Program activates only after issuance of a presidential declaration. Housing Assistance, either Financial or Direct, may be provided for the following: (1) Temporary Housing, (2) Home Repair, (3) Home Replacement and (4) Permanent Housing Construction. Assistance not used for the specified purpose will be required to be returned. Other Needs Assistance may be provided for: (1) Medical, (2) Dental, (3) Funeral, (4) Personal Property, (5) Transportation, and (6) Other Miscellaneous Expenses. Assistance not used for the specified purpose will be required to be returned. If the State is providing for other needs under Section 408(f) of the Stafford Act, please refer to CFDA 97.050.

Applicant Eligibility:

Individuals and households, in areas declared an emergency or major disaster by the President, whose primary residence has been damaged or destroyed and whose losses are not covered by insurance, are eligible to apply for this program.

All needs must be caused by the disaster. The individual or a member of the household must be a citizen of the United States, a non-citizen national, or a qualified alien. Housing Assistance: 1) Temporary Housing Assistance - proof of primary residence; disaster caused displacement, and/or paid receipts for Rent or Lodging Expenses; 2) Repair - primary residence, proof of residency and ownership; disaster related home damage; 3) Replacement - primary residence, proof of residency and ownership; home destroyed by the disaster; 4) Permanent Housing Construction - primary residence, proof of residency and ownership; disaster related home damage, home is located in an insular area outside the continental United States or in other remote locations. Alternative housing resources are unavailable, infeasible, or not cost-effective. Other Needs Assistance: 1) Medical-disaster caused expenses, and/or paid receipts (bills) for medical treatment; 2) Dental-disaster caused expenses, and/or paid receipts (bills) for treatment; 3) Funeral - disaster caused expenses, and/or paid receipts (bills) for services; 4) Personal Property proof of ownership; disaster related personal property damage; 5) Transportation, proof of ownership; vehicle complies with State laws, disaster caused vehicle damage; 6) Other Necessary Expenses and Serious Needs Identified, the expense or need must be caused by the disaster and approved by FEMA.

Beneficiary Eligibility:

Individual/Family; Homeowner (located within an area which has been designated as a disaster area, by Presidential declaration).

Credentials/Documentation:

FEMA Disaster Assistance Regulations at 44 CFR Part 206.110-120.

Preapplication Coordination:

Based on damage assessments and an agreement to commit state funds and resources to the long-term recovery, the State Governor makes a request (through the FEMA regional Director) for a Presidential Declaration for an emergency or major disaster. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206, except as provided in Part 206.24(d) for emergency declarations involving primarily Federal responsibility. This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Program. If the State has selected the program for Review, an applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206, except as provided in Part 206.24(d) for emergency declarations involving primarily Federal responsibility.

Application Procedure:

A Presidential Disaster or Emergency Declaration must be issued, before individuals and households can register an application for assistance with FEMA via a toll-free number or FEMA's Internet Application or by visiting a Disaster Recovery Center. Applications will not be accepted from individuals residing outside of the area declared as a disaster.

Award Procedure:

Funds are allocated from the President's Disaster Relief Fund for use in a designated emergency or major disaster. Households receive assistance directly from FEMA or through disaster aid programs of other participating federal or state agencies.

Deadlines:

Generally, individual and household applications for disaster assistance must be filed within 60 days of the disaster declaration.

Range of Approval/Disapproval Time:

Varies, in general 7-21 days.

Appeals:

Appeals of program decisions must be submitted in writing within 60 days of the date of the notification letter.

Renewals:

None.

Formula and Matching Requirements:

Housing - not applicable. Other Needs - FEMA or the State may administer the Other Needs Assistance Program. State provides 25 percent of the funds for the

total number of grants disbursed for the designated disaster. Federal Government provides the remaining 75 percent of the costs for grant awards as well as the regulations, policies, and procedures that govern the program. States may also receive up to 5 percent of the Federal share of the program for administrative costs. The Governor may request a loan of the State's 25 percent share.

Length and Time Phasing of Assistance:

Generally, one time grant assistance with a total assistance period not exceeding 18 months.

Reports:

None.

Audits:

Internal program audits are conducted.

Records:

Expenditure records (receipts) and related documents must be retained by the recipient for 3 years from the date of payment.

Account Identification:

70-0702-0-1-453.

Obligations:

FY 07 \$189,366,831; FY 08 est not available; FY 09 est not available.

Range and Average of Financial Assistance:

For FY 07, assistance ranged from \$50.00 - \$28,200.

PROGRAM ACCOMPLISHMENTS:

In previous fiscal years, this program provided assistance to individuals and households affected by disasters such as hurricanes, tornadoes, wildfires, or flooding etc. For example, approximately 1.3 million households were approved for assistance in the aftermath of Hurricane Katrina.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Emergency Assistance Regulations, 44 CFR 206.110-120.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane, Bldg. #410, Washington, DC 20528.

Web Site Address:

www.FEMA.gov.

RELATED PROGRAMS:

97.050, Presidential Declared Disaster Assistance to Individuals and Households - Other Needs; 97.049, Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

97.049 PRESIDENTIAL DECLARED DISASTER ASSISTANCE - DISASTER HOUSING OPERATIONS FOR INDIVIDUALS AND HOUSEHOLDS

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended by Public Law 106-390.

OBJECTIVES:

To address disaster-related housing needs of individuals and households suffering hardship within an area which, by Presidential declaration, has been designated as a disaster area.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disaster or emergencies such as an earthquake, hurricane, tornado, floods, or wildfire, etc. Program activates only after issuance of a presidential declaration. Direct assistance under this program is used for temporarily housing disaster victims who lack available housing resources and would be unable to make use of potential financial assistance to rent an alternative place to live.

Applicant Eligibility:

Individuals and households, in areas which have received a Presidential emergency or major disaster declaration, whose primary residence has been damaged or destroyed and whose losses are not covered by insurance are eligible to apply for this program. The individual or household member must be a citizen of the United States, a non-citizen national, or a qualified alien. Direct Assistance - proof of residency, displacement must be caused by the disaster; and financial assistance to rent an alternative place to live is determined not to be a feasible option by the Federal Emergency Management Agency (FEMA).

Beneficiary Eligibility:

Individual Family (located within a Presidentially - declared emergency or disaster area).

Credentials Documentation:

FEMA Disaster Assistance Regulations at 44 CFR Part 206.

Preapplication Coordination:

The State Governor makes a request for a Presidential declaration of an emergency or a major disaster through the FEMA Regional Director. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206, except as provided in Part 206.24(d) for emergency declarations involving primarily Federal responsibility. This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

A Presidential disaster or emergency declaration must first be issued. Afterwards, individuals and households within an area which has received a Presidential disaster or emergency declaration, may register an application for assistance with FEMA via a toll-free number or by visiting a Disaster Recovery Center.

Award Procedure:

Funds are allocated from the President's Disaster Relief Fund for use in a designated emergency or major disaster area. Households receive assistance directly from FEMA or State.

Deadlines:

Generally, individual and household applications for disaster assistance must be filed within 60 days of the disaster declaration.

Range of Approval/Disapproval Time:

Varies.

Appeals:

Appeals of program decisions must be submitted in writing within 60 days of the date of the notification letter.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Generally, limited to an assistance period not exceeding 18 months.

Reports:

Quarterly and final financial reports are required.

Audits:

Internal program audits are conducted.

Records:

Expenditure records (receipts) and related documents must be retained by the recipient for 3 years from the date of payment.

Account Identification:

70-0702-0-1-453.

Obligations:

(Housing Assistance) FY 07 \$80,576,361; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR 206.101.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane - Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.050, Presidential Declared Disaster Assistance to Individuals and Households - Other Needs; 97.048, Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Areas.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

97.050 PRESIDENTIAL DECLARED DISASTER ASSISTANCE TO INDIVIDUALS AND HOUSEHOLDS - OTHER NEEDS

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended by Public Law 106-390. This CFDA is for when State receives a grant from

FEMA under Section 408(f) to administer the other needs program. If a grant has not been requested by the State, the assistance is provided for under CFDA 97.048.

OBJECTIVES:

To provide assistance to individuals and households affected by a disaster or emergency declared by the President, and enable them to address necessary expenses and serious needs, which cannot be met through other forms of disaster assistance or through other means such as insurance.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disasters or emergencies, such as an earthquake, hurricane, tornado, wildfire, or flood, etc. Program activates only after issuance of a presidential declaration. "Other Needs Assistance" may be provided for the following: 1) Medical, 2) Dental, 3) Funeral, 4) Personal Property, 5) Transportation, and 6) Other Miscellaneous Expenses. Assistance not used for the specified purpose will be required to be returned. If the State is providing for other needs under Section 408(f) of the Stafford Act, please refer to CFDA 97.050.

Applicant Eligibility:

Applicant Eligibility for individuals and households affected by a Presidential-declared disaster or emergency: 1) Medical disaster caused expenses, and/or paid receipts (bills) for medical treatment; 2) Dental disaster caused expenses, and/or paid receipts (bills) for treatment; 3) Funeral disaster caused expenses, and/or paid receipts (bills) for services; 4) Personal Property proof of ownership; disaster caused personal damage; 5) Transportation proof of ownership; vehicle complies with State laws, disaster caused vehicle damage; and 6) Other Necessary Expenses and Serious Needs Identified the expense or need must be caused by the disaster and approved by FEMA.

Beneficiary Eligibility:

Individual and family located within an area which, by Presidential declaration, has been designated as a disaster area.

Credentials/Documentation:

FEMA Disaster Assistance Regulations at 44 CFR Part 206.

Preapplication Coordination:

Based on damage assessments and an agreement to commit state funds and resources to the long-term recovery, the State Governor makes a request (through the FEMA Regional Director) for a Presidential Declaration for an emergency or major disaster. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206, except as provided in Part 206.24(d) for emergency declarations involving primarily Federal responsibility. This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Program. If the State has selected the program for Review, an applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance.

Application Procedure:

A Presidential Disaster or Emergency Declaration must first be issued. Afterwards, individuals and households located within a Presidentially-declared disaster area, may register an application for assistance with FEMA via a toll-free number or FEMA Internet application or by visiting a Disaster Recovery Center.

Award Procedure:

Funds are allocated from the President's Disaster Relief Fund for use in a designated emergency or major disaster. Households receive assistance directly from FEMA or through disaster aid programs of other participating federal or state agencies.

Deadlines:

Generally, individual and household applications for disaster assistance must be filed within 60 days of the disaster declaration.

Range of Approval/Disapproval Time:

7-21 days.

Appeals:

Appeals of program decisions must be submitted in writing within 60 days of the date of the notification letter.

Renewals:

None.

Formula and Matching Requirements:

Housing not applicable; other needs FEMA or the State may administer the other needs provision. The State provides 25 percent of the funds for the total number of grants disbursed for the designated disaster. The Federal Government provides the remaining 75 percent of the costs for grant awards as well as the regulations, policies, and procedures that govern the provision. States may also receive up to 5 percent of the Federal share of the program for administrative costs. The Governor may request a loan of the State's 25 percent share.

Length and Time Phasing of Assistance:

Generally, a one time assistance payment, with a total assistance period of no longer than 18 months.

Reports:

Quarterly and final financial reports are required.

Audits:

Internal program audits are conducted. Also, in accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards is exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Expenditure records (receipts) and related documents must be retained by the recipient for three years from the date of payment.

Account Identification:

70-0702-0-1-453.

Obligations:

(Financial assistance) FY 07 \$5,426,292; FY 08 est not available; FY 09 est not available.

Range and Average of Financial Assistance:

Currently ranges from \$50.00 \$28,200.00.

PROGRAM ACCOMPLISHMENTS:

In previous fiscal years, this program provided assistance to individuals and households affected by disasters such as hurricanes, tornadoes, or flooding, etc. For example, approximately 1.3 million households were approved for assistance in the aftermath of Hurricane Katrina.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Assistance Regulations, 44 CFR 206.110-120.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane - Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.048, Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Areas; 97.049, Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

97.052 EMERGENCY OPERATIONS CENTERS

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

The Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act), 42 U.S.C. 5121 - 5206; the Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies Appropriations Act, 2000, Public Law 106-74.

OBJECTIVES:

The purpose of the Emergency Operations Centers program is to supplement and assist State and local efforts to improve their capabilities to respond to emergencies or disasters including any that may be caused by terrorist attacks using conventional means or Weapons of Mass Destruction (WMD). The program provides grants to the States to encourage the development of Emergency Operations Centers (EOCs) that provide flexibility, sustainability, security, survivability and interoperability. Fully capable emergency operations facilities at the State and local levels are an essential element of a comprehensive national emergency management system and are necessary to ensure continuity of operations and continuity of government in major disasters caused by any hazard.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Emergency Operations Centers funds currently available will be awarded in two phases. A Phase 1 grant of \$50,000 to each State will be used for an initial assessment of the hazards, vulnerabilities, and resultant risk to existing EOCs. If a State has already completed a vulnerability assessment of its existing State EOC, it may apply to use the funds to conduct initial assessments of local EOCs. Phase 2 grants will be used to address the most immediate EOC deficiencies nationwide.

Applicant Eligibility:

States are eligible to apply for the assistance under this program. For purposes of this program and consistent with the Stafford Act, 42 U.S.C. 5122(4), "State" means any State of the United States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. Local governments may receive assistance as subgrantees to the States in which they are located. The term "local

government" as used in this program has the meaning set forth in the Stafford Act, 42 U.S.C. 5122(6).

Beneficiary Eligibility:

Funding under this program is ultimately to benefit States and local governments.

Credentials Documentation:

A program point of contact must be designated by the chief elected official of each jurisdiction eligible to apply to FEMA for assistance.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Some EOC projects including physical modifications to EOCs for secure communications equipment require environmental impact assessments.

Application Procedure:

Emergency Operations Centers is one of several program activities funded under the 2002 Supplemental Appropriations Act for Further Recovery From and Response To Terrorist Attacks on the United States, P.L. 107-206. States can use a single application to apply for assistance from several program activities, including the EOC Phase 1 grant. EOC Phase 2 grants will be applied for separately. Grant application guidance is provided to the point of contact designated by the State chief elected official. FEMA regional personnel will work directly with the States providing technical assistance, as required, as States develop their applications. Local governments apply to their States using procedures established by the States.

Award Procedure:

FEMA Regional Offices notify States when awards are approved. States notify local subgrantees of the amounts of their awards.

Deadlines:

All eligible applicants will be notified of target dates and any applicable deadlines by way of the guidance packages provided to the State points of contact.

Range of Approval/Disapproval Time:

Decisions will be made and States notified within 60 days from date application is received by the FEMA Regional Office.

Appeals:

None.

Renewals:

A new application is required for each Federal fiscal year.

Formula and Matching Requirements:

The funds for EOCs will be awarded in two phases. Each State will be allocated a \$50,000 Phase 1 grant, which is targeted for an initial assessment of the hazards, vulnerabilities, and resultant risk to an existing EOC. Phase 1 EOC activity will be 100 percent federally funded and will require no cost share. Phase 2 EOC grants will be awarded as project grants to address the most immediate EOC deficiencies nationwide. The Phase 2 EOC grants will require a 50 percent non-Federal cost share.

Length and Time Phasing of Assistance:

Grants are awarded for the total dollar amount, but the release of funds is on an as needed basis. The initial performance period for non-construction grants is ordinarily one year. Longer or shorter performance periods may be negotiated depending on needs of the grantees and national program management requirements. Performance periods for EOC Phase 2 grants will be negotiated based on the requirements of each project. States are required to initiate requests for funds as close as administratively feasible to the actual disbursements for program costs.

Reports:

Grantees are required to submit quarterly financial and performance reports. The progress of each subgrant award will be included in the grantee's quarterly reports as required by 44 CFR 13.40 and 44 CFR 13.41. Reports are due 30 days after the end of each quarter. Report dates are January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant support as required by 44 CFR 13.50. Reports are to be submitted to the FEMA Regional Director. When the Department of Health and Human Services (HHS) Payment Management System (SMARTLINK) is used for advanced or reimbursement payments, the grantee is required to submit a copy of Federal Cash Transaction Report (HHS/PMS 272) to FEMA when it is submitted to HHS.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records include financial and programmatic records, supporting

documents, statistical records, and other records of recipient or sub-recipient which are required to be maintained by 44 CFR Part 13.42, program regulations or the grant agreement. Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

58-0101-0-1-999.

Obligations:

(Grants) FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Minimum grant of \$50,000 to each State.

PROGRAM ACCOMPLISHMENTS:

Not applicable. New program.

REGULATIONS, GUIDELINES, AND LITERATURE:

All available, relevant guidance is provided to the designated points of contact of all eligible State participants.

Regional or Local Office:

See Appendix IV of the Catalog for FEMA regional offices.

Headquarters Office:

Gil Jamieson, Federal Emergency Management Agency, Office of National Preparedness, 500 C Street, SW., Washington, DC 20472, (202) 646-4090 or e-mail: gil.jamieson@fema.gov. FAX: (202) 646-4053. 24-hour per day FEMA Telephone Operator Service is available at: (202) 566-1600.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.051, State and Local All Hazards Emergency Operations Planning; 97.053, Citizen Corps; 97.025, National Urban Search and Rescue (US&R) Response System; 97.038, First Responder Counter-Terrorism Training Assistance; 97.044, Assistance to Firefighters Grant.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

97.053 CITIZEN CORPS

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act of 2007, Public Law 110-53 and the Department of Homeland Security Appropriation Act, 2008, Division E, Consolidated Appropriation Act, 2008, Public Law 110-161.

OBJECTIVES:

The purpose of Citizen Corps is to bring together community and government leaders to coordinate community involvement in emergency preparedness, planning, mitigation, response and recovery.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Citizen Corps funds currently available must be used for activities described in the Citizen Corps guidance materials provided to each State's designated point of contact. Grantees will be expected to develop a jurisdiction-wide strategic plan for the Citizen Corps initiative and to implement the elements of that plan. Of the funding provided for Citizen Corps activities, 75 percent must be passed through by the grantees to local governments. Localities receiving grants may use the funding for Citizen Corps Council organizing activities; for organizing, training, equipping, and maintaining CERTs; for defraying the added expense of liability coverage for CERT participants; and for outreach and public education campaigns to promote Citizen Corps and community and family safety measures, to include printing, marketing, advertising, and special events.

Applicant Eligibility:

States are eligible to apply for the assistance under this program. For purposes of this program and consistent with the Stafford Act, 42 U.S.C. 5122(4), "State" means any State of the United States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. Local governments may receive assistance as subgrantees to the States in which they are located. The term "local government" as used in this program has the meaning set forth in the Stafford Act, 42 U.S.C. 5122(6), and includes any county, city, village, town, district, or other political subdivision of any State, any Indian tribe or authorized tribal organization, or Alaska Native village or organization, including any rural community or unincorporated town or village or any other public entity for which an application for assistance is made by a State or political subdivision thereof.

Beneficiary Eligibility:

State and local units of government.

Credentials/Documentation:

A program point of contact must be designated by the chief elected official of each jurisdiction eligible to apply to DHS for assistance.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

A new application is required for each Federal fiscal year.

Formula and Matching Requirements:

States will be allocated a base amount of Citizen Corps funds using the percentages prescribed in Section 1014 of the USA Patriot Act, P.L. 107-56. Each State will be allocated a base amount of 0.75 percent of the total amount available except that the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands each will be allocated a base amount of 0.25 percent of the total amount available. The remaining Citizen Corps grant funds will be allocated on the basis of population and added to the base amounts. The Citizen Corps grants will carry no cost-share requirement.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Categorical Assistance Progress Reports (CAPR) and Biannual Strategy Implementation Reports (BSIR) are due within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. Grantees are also required to submit quarterly financial reports. These reports are due 30 days after the end of each quarter: Jan. 30, April 30, July 30, and Oct. 30. Final financial, CAPR and BSIR reports are due 90 days after the expiration or termination of the grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Grants) FY 07 \$550,000 (remaining funding included in 97.067); FY 08 est \$14,572,500; and FY 09 est \$15,000,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All available, relevant guidance is provided to the designated points of contact of all eligible State participants.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane, Bldg. #410, Washington,

DC 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.051, State and Local All Hazards Emergency Operations Planning; 97.052, Emergency Operations Centers; 97.025, National Urban Search and Rescue (US&R) Response System; 97.038, First Responder Counter-Terrorism Training Assistance; 97.042, Emergency Management Performance Grants; 97.044, Assistance to Firefighters Grant; 97.008, Urban Areas Security Initiative; 97.071, Metropolitan Medical Response System; 97.073, State Homeland Security Program (SHSP); 97.074, Law Enforcement Terrorism Prevention Program (LETPP).

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.055 INTEROPERABLE COMMUNICATIONS EQUIPMENT

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; Federal Fire Prevention and Control Act of 1974, 15 U.S.C., Section 2201-2232; Consolidated Appropriations Resolution, Public Law 108-7 (2003); Emergency Wartime Supplemental Appropriations Act, Public Law 108-11 (2003).

OBJECTIVES:

Provide funding to jurisdictions across the nation for demonstration projects that will explore uses of equipment and technologies to increase interoperability among the fire service, law enforcement, and emergency medical service communities. These projects will illustrate and encourage the acceptance of new technologies and operating methods to assist communities in achieving interoperability.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Designated jurisdictions will be invited to submit applications for interoperable communications projects that include the purchase of interoperable communications equipment components for multi-disciplinary and/or multi-jurisdictional public safety communications projects. Requests may provide local jurisdictions with equipment or services to participate on public safety, commercial, or other shared networks and portable gateway solutions. Applicants may request assistance for other technologies if the application demonstrates how the proposed technology will improve interoperability among the public safety community. If an interoperable communications plan has not been developed, the application should also include a description of how a plan will be established as part of the proposed project.

Applicant Eligibility:

Local governments nominated by State or Territory government to submit an application.

Beneficiary Eligibility:

Local governments.

Credentials/Documentation:

None.

Preapplication Coordination:

FEMA will invite a limited number of local jurisdictions, as designated by their respective State's Governor, to submit competitive proposals for interoperable communications demonstration projects. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Grant awards will require a 25% nonfederal cost share. The source of the match funds must be identified in the grant application. The match does not need to be a cash match. In-kind contributions are permitted and must be activities in direct support of and

eligible under the project. The nonfederal share may not be funded by other federal funds unless permitted by Federal statute.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Grantees will also be required to conduct a final evaluation as a condition of the demonstration grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

58-0101-0-1-999.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

It is anticipated that 56 applications will be received and approximately 14 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA), c/o 245 Murray Lane - Bldg. #410, Washington, DC 20523, Telephone: (202) 646-3850 FAX: (202) 646-3061.

Web Site Address:

www.fema.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.056 PORT SECURITY GRANT PROGRAM

(Port Security Grants)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act of FY 2007, Public Law 110-53 and the Department of Homeland Security Appropriation Act, 2008 Division E, Consolidated Appropriation Act 2008, Public law 110-161.

OBJECTIVES:

To provide funds to port areas for the protection of critical port infrastructure from terrorism. To assist ports enhancing maritime domain awareness, improving risk management capabilities to prevent, detect, respond to and recover from attacks involving improvised explosive devices (IEDs) and other non-conventional weapons, as well as training and exercises and TWIC implementation.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used for costs associated with maritime domain awareness; IED prevention, protection, response, and recovery capabilities; training and

exercises; and management administration. The program guidance outlines uses and restrictions in detail.

Applicant Eligibility:

All entities covered by an Area Maritime Security Plan (AMSP) may submit an application for consideration of funding. However, Congress has also specifically directed DHS to apply these funds to the highest risk ports within the United States. In support of this, a total of 102 critical port areas¹, representing approximately 95 percent of the foreign waterborne commerce of the United States, have been specifically identified. In a number of cases, these port areas have also been grouped together to reflect geographic proximity, shared risk and a common waterway. The following three entities are specifically encouraged to apply: 1) owners/operators of federally regulated terminals, facilities, or U.S. inspected passenger vessels as defined in the Maritime Transportation Security Act (MTSA) 33 CFR Parts 101, 104, 105, and 106; 2) port authorities or other State and local agencies that provide layered security protection to federally regulated facilities in accordance with an AMSP or a facility or vessel security plan; and 3) consortia composed of local stakeholder groups (e.g., river groups, ports, and terminal associations) representing federally regulated ports, terminals, U.S. inspected passenger vessels, or ferries that provide layered security protection to federally regulated facilities in accordance with an AMSP or a facility or vessel security plan. Please refer to the program guidance and application kit for more details regarding applicant eligibility.

Beneficiary Eligibility:

Critical national seaports and terminals.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is not covered under Executive Order 12372, "Intergovernmental Review of Federal Programs."

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. There is a matching requirement of 25 percent of total project costs for public sector entities and 50 percent for private sector entities.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Categorical Assistance Progress Reports (CAPR) are required semi-annually and are due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support

the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-402.

Obligations:

FY 07 \$312,269,793; FY 08 est \$388,600,000; and FY 09 est \$210,000,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Enhancements of facility/terminal/vessel (commuter or ferry service) access control, physical security, cargo security and passenger security.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the program guidance and application kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security 245 Murray Lane - Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.067, Homeland Security Grant Program; 97.008, Urban Areas Security Initiative; 97.058, Operation Safe Commerce (OSC) Cooperative Agreement Program; 97.057, Intercity Bus Security Grants; 97.059, Truck Security Program; 97.075, Rail and Transit Security Grant Program; 97.078, Buffer Zone Protection Program (BZPP).

EXAMPLES OF FUNDED PROJECTS:

Enhancements of facility/terminal/vessel (commuter or ferry service) access control, physical security, cargo security and passenger security.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.057 INTERCITY BUS SECURITY GRANTS

(Intercity Bus Security)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act of 2007, Public Law 110-53 and the Department of Homeland Security Appropriation Act, 2008, Division E, Consolidated Appropriations Act 2008, Public Law 110-161.

OBJECTIVES:

To create a sustainable program for the protection of intercity bus systems and the traveling public from terrorism. To assist operators of fixed-route intercity and charter bus services in obtaining the resources required to support security measures such as enhanced planning, facility security upgrades, exercises, improved communication capabilities, and vehicle and driver protection.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for vehicle security enhancements; emergency communication technology; coordinating with local police and emergency responders; facility security enhancements; training and exercises; improvement of ticket identification and further implementation of passenger and baggage screening enhancements; and driver security enhancements. The program guidance outlines uses and restrictions in detail.

Applicant Eligibility:

This program is limited to applicants meeting one or both of the following criteria: 1) own/operate a fixed-route intercity bus service using over-the-road buses and providing services to a defined Urban Area Security Initiative (UASI) jurisdiction; or 2) own/operate a charter bus service using over-the-road buses providing a minimum of 50 trips annually to a defined UASI jurisdiction.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is not covered under Executive Order 12372, "Intergovernmental Review of Federal Programs."

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Categorical Assistance Progress Reports (CAPR) are required semi-annually and are due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States", Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-402.

Obligations:

FY 07 \$11,640,000; FY 08 est \$11,172,250; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

In the preceding fiscal year \$9,603,000 was awarded to 27 intercity bus industry organizations.

REGULATIONS, GUIDELINES, AND LITERATURE:

For information on requirements and guidance please refer to the current FY Intercity Bus Security Grants Program Guide and Application Kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane, Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.059, Truck Security Program; 97.075, Rail and Transit Security Grant Program; 97.078, Buffer Zone Protection Program (BZPP); 97.056, Port Security Grant Program.

EXAMPLES OF FUNDED PROJECTS:

Projects addressing: driver and vehicle security enhancements; emergency communications technology; coordination with local police and emergency responders; and training and exercises.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.058 OPERATION SAFE COMMERCE (OSC) COOPERATIVE AGREEMENT PROGRAM

(Operation Safe Commerce)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

DHS Appropriations Act for Fiscal Year 2005, Public Law 108-334.

OBJECTIVES:

To explore commercially viable options to enhance cargo security, including business processes and technology prototypes, which support containerized

cargo supply chain security management while facilitating the flow of trade.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Allowable costs are detailed in the Request for Applications (RFA). Cost principles applicable to the awards are OMB Circular No. A-21 for institutions of higher education, with OMB Circular No. A-87 for State and local governments, with OMB Circular No. A-122 for nonprofit organizations, and with 48 CFR Part 31 for commercial organizations. In general, funds may be used for equipment, supplies, services or consultants, travel, audits, other direct costs, facilities and administrative costs (i.e., indirect costs), and other costs related to meeting the program objectives. Funds shall not be used for personnel.

Applicant Eligibility:

Applicants eligible for the most recent competition were Load Centers, defined as the Ports of Los Angeles/Long Beach, Seattle/Tacoma, and the Port Authority of New York/New Jersey.

Beneficiary Eligibility:

Immediate beneficiaries include applicable stakeholders in the supply chain. Applicable ports will benefit as designated in successful proposals.

Credentials/Documentation:

Stakeholder participation must be demonstrated through letter(s) of commitment. A copy of the applicant's Federally approved indirect cost rate agreement should be submitted. In addition, National Environmental Policy Act (NEPA) information is required.

Preapplication Coordination:

Preapplication Coordination: This program is not covered under Executive Order 12372, "Intergovernmental Review of Federal Programs".

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula and no matching requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment/or reimbursement of expenditures.

Reports:

Categorical Assistance Progress Reports (CAPR) are due within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. Grantees are also required to submit quarterly financial reports. These reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and CAPR reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-402.

Obligations:

(Cooperative Agreements) FY 07 \$0; FY 08 \$0 not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

During the last funding period, 18 projects were approved.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Transportation (DOT) grant related regulations include 49 CFR Parts 17-21, 26, 29, and 32. DOT regulations may be superseded by corresponding or applicable new regulations issued by the Department of Homeland Security for this program. Cost principles applicable to the awards are OMB Circular No. A-21 for institutions of higher education, with OMB Circular No. A-87 for State and local governments, with OMB Circular No. A-122 for nonprofit organizations, and with 48 CFR Part 31 for commercial organizations. In addition, the U.S. Customs Service operates related initiatives called the Customs-Trade Partnership Against Terrorism (C-TPAT) and the Container Security Initiative (CSI). See <http://www.customs.treas.gov/> for further information on those programs.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Homeland Security 245 Murray Lane, Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.056, Port Security Grant Program.

EXAMPLES OF FUNDED PROJECTS:

Security measures addressed 18 specified supply chain nodes, pilot use of commercial-off-the-shelf technology and emerging technologies; development and demonstration of a comprehensive supply chain security approach; and monitoring and warning about potential threats in supply chain logistics.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.059 TRUCK SECURITY PROGRAM

(Truck Security Program)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act, Public Law 110-53 and the Department of Homeland Security Appropriation Act 2008, Division E, Consolidated Appropriations Act 2008, Public Law 110-161.

OBJECTIVES:

To promote security awareness and anti-terrorism for the highway professionals in support of the National Preparedness Guidelines and associated National Priorities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

To train the Nation's transportation community to observe and report any suspicious activities or items that many threaten the critical elements of the Nation's highway transportation system. Allowable uses include: Participant Identification and Recruitment, training, communications, and Information Analysis and Distribution. See the program guidance for a detailed explanation of allowable uses and restrictions.

Applicant Eligibility:

The American Trucking Associations (ATA) is the sole entity eligible to apply.

Beneficiary Eligibility:

Interstate, Intrastate, State, and local.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula and no matching requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Categorical Assistance Progress Reports (CAPR) are required semi-annually and are due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting

period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Cooperative Agreements) FY 07 \$11,640,000; FY 08 est \$15,544,000; and FY 09 est \$8,000,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

The Highway Watch(r) Program, funded via TSP, continues to provide a sustainable national program to enhance security and overall preparedness on our Nation's highways. Its benefits and resources are now available to all professionals and operating entities throughout the highway sector, including private companies, public entities, and governmental operations.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the program guidance and application kit.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane, Bldg. #410, Washington, DC 20528.

Web Site Address:

www.fema.gov.

RELATED PROGRAMS:

97.057, Intercity Bus Security Grants; 97.075, Rail and Transit Security Grant Program; 97.078, Buffer Zone Protection Program (BZPP); 97.056, Port Security Grant Program.

EXAMPLES OF FUNDED PROJECTS:

Projects have addressed identification and recruitment of appropriate training programs participants; conducting highway domain awareness security training; maintaining a Highway Watch(r) Call Center; and information analysis and distribution.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.060 PORT SECURITY RESEARCH AND DEVELOPMENT GRANT

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Department of Transportation, Maritime Transportation Security Act of 2002, Public Law 107-295, Homeland Security Act of 2002, Public Law 107-296.

OBJECTIVES:

To identify technology solutions for port security through research and development that will provide end products that meet or exceed the following four priorities: Cargo Information Action Center, Passengers and Vehicles Screening on Ferries, Transparency of Vessel Ownership and Operation Restore.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Allowable costs are detailed in Request for Application (RFA) and are restricted to research and development activities.

Applicant Eligibility:

Research and Development activities at National Laboratories, private nonprofit

organizations, institutions of higher education and other entities.

Beneficiary Eligibility:

Specialized Group; critical national seaports and terminals.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has a statutory 75/25 match requirement. See 46 U.S.C. 70107(c)(1).

Length and Time Phasing of Assistance:

The period of performance is up to 18 months. Funds will be made available upon award, however authorization will be provided based upon progress.

Reports:

Quarterly Earned Value Management Reports and Quarterly Project Status Reports, Final Project Report and Final Financial Status Report are required.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of three years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the three-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0508-0-1-402.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cost principles applicable to the awards are: OMB Circular No. A-21 for cost principles for educational institutions, OMB Circular No. A-87 for State and local governments, OMB Circular No. A-102 grants and cooperative agreements with state and local governments, A-110 uniform administrative requirements for grants and agreements with institutions of higher education, A-122 for nonprofit organizations, 48 CFR Part 31 for commercial organizations and A-133 for audits. Solicitation guidelines will be made available.

Regional or Local Office:

Not applicable.

Headquarters Office:

Transportation Security Administration Headquarters, Office of Acquisition, TSA-25, 701 South 12th Street, Arlington VA 22202, Pat Masterson; Telephone: (571) 227-1587.

Web Site Address:

<http://www.tsa.gov> (click on business opportunities).

RELATED PROGRAMS:

97.058, Operation Safe Commerce (OSC) Cooperative Agreement Program; 97.056, Port Security Grant Program.

EXAMPLES OF FUNDED PROJECTS:

Regional Maritime Security Coalitions Cargo Information Action Center,

Operation Restore's Dynamic Shipping Rerouting Decision Support Tool,
<http://www.operationrestore.org>.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.061 CENTERS FOR HOMELAND SECURITY

(Centers of Excellence)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, 6 U.S.C. 188(b), Section 1205 of Public Law 110-53, Emergency Wartime Supplemental Appropriation Act of 2003, Public Law 108-7; and Section 570 of Public Law 110-161.

OBJECTIVES:

Establishment of a coordinated, university-based system to enhance the Nation's homeland security. The Department of Homeland Security Centers are envisioned to be an integral and critical component of the new homeland security complex that will provide the Nation with a robust, dedicated and enduring capability that will enhance our ability to anticipate, prevent, respond to, and recover from terrorist attacks. These Centers will also provide a locus to attract and retain the Nation's best and brightest academic scholars in pursuit of homeland security related disciplines. The DHS Centers will complement other programs within the Department (including the Homeland Security Advanced Research Projects Agency) and in other Federal agencies that fund projects-focused research aimed at the development and deployment of specific homeland security technologies and capabilities. DHS Centers may also include cooperative center arrangements with other Federal agencies, or national laboratories.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Universities selected by DHS, may use grant funds for: (1) targeted research areas that leverage the multidisciplinary capabilities of universities and fill gaps in our needed knowledge and our ability to counter terrorist attacks; and (2) involve U.S. undergraduate, graduate, and post-doctoral students who are interested, dedicated and committed to making important contributions in science and technology endeavors that will enhance the overall security of the nation.

Applicant Eligibility:

Eligible applicants are accredited U.S. institutions of higher education.

Beneficiary Eligibility:

Public and private colleges and universities.

Credentials Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff for eligibility and responsiveness. Applications will undergo merit review by a panel of subject matter experts which may include federal and non-federal reviewers. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to announcement or program guidance document.

Appeals:

None.

Renewals:

Renewals of grant awards are based on performance, program plan, and availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Refer to announcement/program guidance or the award document. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year.

Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

FY 07 \$23,963,885; FY 08 est \$32,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Support continues for the existing Centers of Excellence and five new Centers are expected to be funded in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, University Programs, 245 Murray Drive, Building #410, S.W., Washington, DC 20523. E-mail: universityprograms@dhs.gov.

Web Site Address:

<http://www.sciencetosecurity.org>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Information on current DHS Centers of Excellence can be found at <http://www.sciencetosecurity.org>.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.062 SCHOLARS AND FELLOWS, AND EDUCATIONAL PROGRAMS

(DHS Scholars and Fellows)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, Section 302 (10).

OBJECTIVES:

The objectives of this program are: (1) increase the intellectual capacity, skills and talents, especially those of U.S. citizens in areas of relevance to homeland security; (2) to attract undergraduate and graduate students to obtain advanced degrees in disciplines of importance to homeland security; (3) provide advanced professional and research development opportunities for fellows, scholars and faculty in targeted areas; and (4) to increase a diverse and highly talented cadre of new and emerging science and technology expertise working in areas of importance and need to homeland security.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Individuals must be U.S. citizens and employed or enrolled in an accredited U.S. institution of higher learning, e.g., university, college, etc.

Applicant Eligibility:

All applicants must be U.S. citizens. Applicants must be a rising college junior or first or second year graduate student, or postdoctoral fellow, studying in one of the following areas including: computer science, engineering, life sciences, math, physical sciences, psychology, social sciences, DVM/PhD, and certain humanities. Applicants must be enrolled in full-time at accredited U.S. institutions. Applicants should have career and employment goals aligned with the mission and objectives of the U.S. Department of Homeland Security. Postdoctoral scientists and engineers who have held the doctorate degree less than five years at the time of application. Summer faculty fellows must have tenure or be tenure-tracked and be from a minority serving institution.

Beneficiary Eligibility:

Undergraduate Student, Graduate Student, Postdoctoral Scholars, and Minority Serving Institution Faculty.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Based on availability of funding and academic progress.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Refer to program guidance.

Reports:

Participant feedback and progress reports are required.

Audits:

None.

Records:

None.

Account Identification:

70-0800-0-1-999.

Obligations:

FY 07 \$3,000,000; FY 08 est \$3,500,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

The program succeeded in selecting 105 Scholars and Fellows in August of the preceding fiscal year.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, University Programs, 245 Murray Lane, Building 410, Washington, DC 20523. E-mail: universityprograms@dhs.gov.

Web Site Address:

www.dhs.gov.

RELATED PROGRAMS:

47.070, Computer and Information Science and Engineering; 47.049, Mathematical and Physical Sciences; 47.041, Engineering Grants; 47.050, Geosciences; 47.078, Polar Programs; 15.059, Indian Graduate Student Scholarships.

EXAMPLES OF FUNDED PROJECTS:

Information on current DHS funding can be found at <http://www.sciencetosecurity.org>.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.064 DEBRIS REMOVAL INSURANCE

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Consolidated Appropriations Resolution, 2003, Public Law 108-7.

OBJECTIVES:

The project will consist of the incorporation of a captive insurance company by the City of New York in the State of New York to insure the City and its contractors for claims arising from debris removal at the World Trade Center following the terrorist attacks of September 11, 2001.

TYPES OF ASSISTANCE:

Insurance.

USES AND USE RESTRICTIONS:

The captive insurance company will provide insurance coverage exclusively for the City of New York and those contractors that were paid by the City to perform debris removal at the World Trade Center site. The insurance company will not provide insurance coverage to any other persons or entities.

Applicant Eligibility:

The grant is made available for the State of New York to insure the City of New York and its debris removal contractors.

Beneficiary Eligibility:

Insurance coverage obtained with grant funds shall be available solely for the City of New York and those contractors that were paid by the City to perform debris removal at the World Trade Center site.

Credentials/Documentation:

Costs determined in accordance with the Consolidated Appropriations Resolution, 2003, Public Law 108-7 and the Conference Report accompanying Public Law 108-7.

Preapplication Coordination:

Following the Presidential declaration of a major disaster for the State of New York for the terrorist attacks of September 11, 2001, the Governor's Authorized Representative for the disaster made a written request for \$1 billion in funding from FEMA to establish a captive insurance company to insure the City of New York and its contractors for claims arising from debris removal at the World Trade Center. This program is excluded from coverage under E.O. 12372.

Application Procedure:

With authority provided to FEMA by the Consolidated Appropriations Resolution, 2003, Public Law 108-7, FEMA will accept application from the State and City of New York for funding to establish a captive insurance company in the State of New York with execution of an SF-424; FEMA Forms 20-16, 20-16A, 20-16C; Disclosure of Lobbying Activities Form LLL; and a grant agreement.

Award Procedure:

With authority provided by the Consolidated Appropriations Resolution, 2003, Public Law 108-7, FEMA will provide \$999.9 million to establish a captive insurance company or other appropriate insurance mechanism to insure the City and its contractors for claims arising from debris removal when the State and City have completed the application procedure and FEMA has agreed to and approved all terms, conditions and scope of insurance coverage. The Grant Award is the maximum award for which FEMA has authority and funding. Absent additional authority and funding from Congress, this Grant Agreement is not subject to amendment for purposes of increasing the amount of the Award. The Award of Federal assistance in support of the Project described herein is effective on the date that the authorized FEMA official signs the Grant Agreement. Upon execution of the Grant Agreement by the Grantee, the Grantee affirms the Award in support of the said Project and enters into the Grant Agreement with FEMA.

Deadlines:

There is no deadline for the State and City to complete the application procedure.

Range of Approval/Disapproval Time:

From three to four weeks.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

The Federal Share is 100% of eligible work. There is no State or local government matching requirement.

Length and Time Phasing of Assistance:

Upon transfer of the Grant Award to the Captive through the State and City, the Captive will use the Award for the purposes described in the Grant Agreement, with attachments, for an initial period of up to 25 years from the effective date of the Grant Agreement and will not use the Award for any other purpose. In the event the Captive is unable to terminate its liabilities within the 25 year period because it would not be commercially reasonable or cost effective to do so, a request to extend the Period of Performance will be considered. An extension request must be supported by adequate written justification. No extension requests will be processed if performance and financial status reports are not current.

Reports:

The Grantee shall submit to FEMA and the New York State Insurance Department (SID) the following reports: a) A report from the Captive 30 days after the Captive has reported to the Grantee, the City and the designate representative of the Contractors each calendar quarter of losses incurred, losses paid, changes in experience account balance, and changes in individual and aggregate case reserves and indicated reserves for losses incurred but not reported during the immediately preceding calendar quarter; b) The annual reports the Captive must submit to the Superintendent of SID (within 10 days of such submission); c) The annual financial statements (which shall be prepared no later than ninety days following the last day of each calendar year and audited by an independent auditor whose selection was made following 30 days notice to FEMA); and d) The minutes of all meetings of the Captive Board of Directors and Advisory Committee. The Grantee shall also report quarterly, beginning June 30, 2003, to the Committees on Appropriations of the U.S. Congress and FEMA, regarding the expenditure of and investment earnings from the Grant Award, utilizing information culled from the reporting requirements listed above. The Grantee shall submit a Financial Status Report, SF 269, within 30 days from the end of the federal quarter that the Grantee expends the funds. The financial and performance reports required by this Article will also be utilized to satisfy the after-the-grant requirements of 44 C.F.R. Sec. 13.50. The Grantee shall submit required reports to FEMA at: 500 C Street SW, Washington DC 20472. Attn: Assistance Officer, Administration and Resource Planning.

Audits:

Grantee must follow the audit requirements under OMB Circular No. A-133. Non-Federal entities that expend the amount listed in OMB Circular No. A-133 or more Federal funds in a year shall have a single or program-specific audit conducted for that year in accordance with the provisions of A-133.

Records:

Records shall be retained for 3 years (except in certain rare circumstances described in 44 C.F.R. Sec. 13.42) from the date the final financial status report is submitted to FEMA in compliance with 44 C.F.R. Sec. 13.42. The Captive's books and records shall be made available to FEMA and SEMO upon reasonable notice.

Account Identification:

70-0702-0-1-453.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Consolidated Appropriations Resolution, 2003, Public Law 108-7 and the accompanying Conference Report directing FEMA to fund a captive insurance company or other appropriation insurance mechanism for the City of New York and its debris removal contractors have no implementing regulations or guidelines.

Regional or Local Office:

Federal Emergency Management Agency, 26 Federal Plaza, New York, N.Y. 10278. Telephone: (212) 680-8518.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane - Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.fema.gov/rrr/pa>.

RELATED PROGRAMS:

97.036, Disaster Grants - Public Assistance (Presidentially Declared Disasters).

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

97.065 HOMELAND SECURITY ADVANCED RESEARCH PROJECTS AGENCY
(HSARPA)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, Title III, Section 307 and 831, Public Law 107-296.

OBJECTIVES:

To fund research and technology development in support of Homeland Security.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS:

HSARPA funds only project grants resulting from scientific and technical proposals for basic research, applied research and technology development in areas of science and technology to support homeland security research. These projects will promote revolutionary changes in technologies which would promote homeland security; advance the development, testing, and evaluation, and deployment of critical homeland security technologies; and accelerate the prototyping and deployment of technologies that would address homeland security vulnerabilities. All awards made under the affiliated Small Business Innovation Research Program will be restricted as required by law and regulation. Funds provided for any other purpose will be specified explicitly in the award document.

Applicant Eligibility:

HSARPA performs its functions in part by awarding contracts, grants, cooperative agreements, or other transactions for prototypes or research to: public or private entities, public or private nonprofit institutions/organizations, businesses, profit organizations, Federally funded research and development centers, universities, individuals, specialized groups, and other private institutions/organizations.

Beneficiary Eligibility:

Beneficiaries are small and large businesses; public and private educational institutions, and profit and non-profit entities.

Credentials/Documentation:

One condition of HSARPA assistance will be acceptable professional qualifications and documented experience necessary to properly conduct the research solicited in the manner proposed. Another condition of assistance may be the ability of applicants to possess specified security clearances, processes

and procedures. Applicants must not appear on the nonprocurement suspended or debarred list. In addition, the provisions of OMB Circulars Nos. A-21, A-87, A-88, A-110, A-122, and A-133 must be met, as applicable.

Preapplication Coordination:

There is no required preapplication coordination. Applicants may be required to register electronically at www.hsarpabaa.com if they wish to submit a white paper or proposal for an active solicitation. Instructions for registration are identified in the posted funding opportunity (fedbizops.com). This program is excluded from the coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Renewals of grant awards are based on performance, program plan, and availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

As required by solicitation.

Reports:

Refer to the program guidance or the award document for frequency of reporting.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

FY 07 \$3,000,000; FY 08 est. not available; and FY 09 est. not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Homeland Security Act of 2002, Title III, Sec. 307 and 831, Public Law 107-296, and information contained at <http://www.dhs.gov>, <http://www.fedbizops.gov>, and <http://www.hsarpabaa.com>. FAR, OMB Circulars No. A-102, Grants and Cooperative Agreements with State and Local Governments and A-87, Cost Principles for State, Local and Indian Tribal Governments, A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations, A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Non-Profit Organization.

Regional or Local Office:

None.

Headquarters Office:

Grants and Financial Assistance Division, Office of Procurement Operations, Department of Homeland Security, Building 410, 245 Murray Lane SW., Washington DC 20528. Telephone: (202) 447-5589.

Web Site Address:

<http://www.dhs.gov>; <http://www.hsarpabaa.com>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.066 HOMELAND SECURITY INFORMATION TECHNOLOGY RESEARCH, TESTING, EVALUATION AND DEMONSTRATION PROGRAM

(ITEP)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Department of Homeland Security Appropriations Act of 2004, Public Law 108-90.

OBJECTIVES:

To foster and identify novel uses of existing "state-of-the-market" information technology that will remove barriers and improve information sharing and integration of State and local public safety interoperability communications.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Allowable costs will be identified in the Program Announcement. No construction will be eligible for funding. This funding may only be used to supplement existing funding and not be used to supplant funding.

Applicant Eligibility:

Chief Executive Officers of State Administrative Agencies in each State and U.S. Territories or as noted in the program/opportunity announcement.

Beneficiary Eligibility:

State, U.S. Territories and local governments.

Credentials/Documentation:

The applicant must complete a proposal as outlined in the solicitation announcement.

Preapplication Coordination:

Applicants must submit applications to the Chief Executive Officers of State administrative agencies. This program is excluded from coverage under E.O. 12372 or in accordance with the F.O.A.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. There are no matching requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Categorical Assistance Progress Reports (CAPR) are due within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. Grantees are also required to submit quarterly financial reports. These reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and CAPR reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and

resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

FY 07 \$0; FY 08 \$0; and FY 09 \$0.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

To be determined.

REGULATIONS, GUIDELINES, AND LITERATURE:

To be determined.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>; <http://www.usdoj.gov/odp>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

97.067 HOMELAND SECURITY GRANT PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Implementing Recommendations of 9/11 Commission Act of 2007, Public Law 110-53 and the Department of Homeland Security Appropriations Act, 2008, Division E, Consolidated Appropriations Act 2008, Public Law 110-161.

OBJECTIVES:

To enhance the ability of the State, local and tribal governments to prepare, prevent, respond to, and recover from terrorist attacks and other disasters. The Homeland Security Grant Program is primary funding mechanism for building and sustaining national preparedness capabilities. HSGP is comprised of four separate grant programs.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

The HSGP is comprised of five separate grant programs: State Homeland Security Program (SHSP), Urban Areas Security Initiative (UASI), Law Enforcement Terrorism Prevention Program (LETPP), Citizen Corps Program (CCP), and Metropolitan Medical Response System (MMRS). Funds will be administered by the respective State Administrative Agency (SAA). Each SAA shall make no less than 80 percent of the total grant program amount available to local units of government within 60 days of the receipt of funds.

Applicant Eligibility:

States, as defined in the Homeland Security Act of 2002, refer to means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States." The SAA is the only agency eligible to apply for HSGP funds and is responsible for obligating HSGP funds to local units of government and designated recipients. Local units of government, as defined in the Department of Homeland Security Appropriations Act of 2005, refer to "any county, city, village, town, district, borough, port authority, transit authority, intercity rail provider, commuter rail system, freight rail provider, water district, regional planning commission, council of government, Indian tribe with jurisdiction over Indian country, authorized tribal organization, Alaska Native village, independent authority, special district, or other political subdivision of any State."

Beneficiary Eligibility:

State and local governments.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

For specific application instructions, please refer to Appendix E, FY 2008 HSGP Application Requirements.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff.

Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

An annual appropriation is anticipated for this program.

Formula and Matching Requirements:

For more detailed formula and matching requirements on each of the individual grant programs, please refer to the current HSGP solicitation guidance.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit an Initial Strategy Implementation Plan (ISIP) within 60 days of the grant award date. Categorical Assistance Progress Reports (CAPR) and Biannual Strategy Implementation Reports (BSIR) are required semi-annually. The CAPR and BSIR are due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR, BSIR, and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Grants) FY 07 \$1,666,460,000; FY 08 est \$1,698,959,000; and FY 09 est \$1,040,000,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

States have completed threat, vulnerability, capabilities, and needs assessments and have developed State Homeland Security Strategies. The strategies identify the needs for planning, organization, equipment, training, exercises, and technical assistance. G&T is working with the States to develop assistance plans to identify Department of Homeland Security resources that can assist them in accomplishing the goals and objectives identified in their strategies.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the solicitation guidance and application kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security 245 Murray Lane Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.008, Urban Areas Security Initiative; 97.042, Emergency Management Performance Grants; 97.053, Citizen Corps; 97.071, Metropolitan Medical Response System; 97.073, State Homeland Security Program (SHSP); 97.074, Law Enforcement Terrorism Prevention Program (LETPP).

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.068 COMPETITIVE TRAINING GRANTS

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

The Department of Homeland Security Appropriation Act of 2008, Consolidated Appropriation Act 2008, P.L. 110-161.

OBJECTIVES:

To support the training initiatives that are national in scope and further the FEMA mission to reduce the loss of life, property and protect the Nation from all hazards, including natural disasters, acts of terrorisms, and other man-made disasters, by leading and supporting the Nation in a risk-based, comprehensive emergency management system of preparedness, protection, response, recovery and mitigation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds will be used to develop and deliver innovative training programs addressing high priority national homeland security training needs. See the program guidance for detailed information regarding uses and use restrictions.

Applicant Eligibility:

State, local, tribal, and territorial governments; national associations and organizations; higher education institutions; nonprofits, including community and faith-based organizations; and the private sector.

Beneficiary Eligibility:

State and local units of government.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit Categorical Assistance Progress Reports (CAPR) semi-annually. The CAPRs are due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-

year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Grants) FY 07 \$29,100,000; FY 08 est \$27,202,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Previous efforts have resulted in over 910,000 first responders receiving training. Courses are designed to increase awareness of terrorism threats and weapons of mass destruction among public officials, public health personnel, the medical community, public safety and public works personnel; as well as provide intensive technician and operations courses that demonstrate the effects of and response to live agents, explosives, and radiation.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines please refer to the program guidance.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security 245 Murray Lane, Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.067, Homeland Security Grant Program; 97.005 State and Local Homeland Security Training Program; 97.007, Homeland Security Preparedness Technical Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Training programs targeting areas like community outreach; vulnerable populations and special needs; intelligence, information sharing, and fusion centers; cyber-terrorism; agriculture; regional collaboration and continuity of operations planning; transit, port and infrastructure protection; rural communities; law enforcement; and mass casualty.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.069 AVIATION RESEARCH GRANTS

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, P.L. 107-296, codified at 6 U.S.C. 188 (b)(1)(C).

OBJECTIVES:

To encourage and support innovative, advanced, and applied research and development in areas of potential benefit to the long-term growth of civil aviation security.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Use of Property, Facilities, and Equipment.

USES AND USE RESTRICTIONS:

The grants may be used to support research and development projects at colleges, universities, nonprofit and for-profit institutions in the area of System Security Technology. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions.

Applicant Eligibility:

Public and private nonprofit institutions/organizations, colleges and universities, State, local and Indian Tribal Governments and for-profit organizations are eligible to benefit.

Beneficiary Eligibility:

Public and private nonprofit institutions/organizations (colleges and universities), State, local and Indian Tribal Governments and for-profit organizations are eligible to benefit.

Credentials/Documentation:

May be required by U.S. Statute, regulation or program guidance.

Preapplication Coordination:

The applicant is notified of funding availability by electronic posting on <http://www.grants.gov>. The applicant will be advised on how to apply for the funding through the funding opportunity announcement and program guidance. This program is excluded from coverage under E.O. 12372, "Intergovernmental Review of Federal Programs".

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the

successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Multi-year grants or cooperative agreements are available but approval is at the discretion of DHS.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements; however, cost-sharing by the grantee is highly encouraged.

Length and Time Phasing of Assistance:

Refer to program guidance.

Reports:

Frequency of interim reporting will be identified in the funding opportunity/program guidance document. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantee fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

(Grants) FY 07 \$11,421,423; FY 08 \$6,000,000; FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Information available at the web sites listed below.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars A-21, Cost Principles for Educational Institutions (relocated to 2 CFR, Part 220); A-87, Cost Principles for State, Local and Indian Tribal Governments (relocated to 2 CFR, Part 225); A-102, Grants and Cooperative Agreements with State and Local Governments; A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations (relocated to 2 CFR, Part 215); A-122, Cost Principles for Nonprofit Organizations (relocated to 2 CFR, Part 230); and A-133, Audits of States, Local Governments and Nonprofit Organizations. In addition to the OMB criteria, program regulations, guidelines, DHS policy and procedures will also apply.

Regional or Local Office:

Office of Procurement Operations, Grants Office, Transportation Security Laboratory, W. J. Hughes Technical Center, Bldg 315, Atlantic City, NJ 08405. International Airport, Telephone: (609) 813-2834 or (609) 813-2839. Fax: (609) 383-1973.

Headquarters Office:

None.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

97.070 MAP MODERNIZATION MANAGEMENT SUPPORT

(MMMS)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Housing and Urban Development Act of 1968 (also known as The National Flood Insurance Act of 1968, as amended, 42 U.S.C. 4101; the Housing and Urban Development Act of 1969; the Flood Disaster Protection Act of 1973; as amended; and National Flood Insurance Reform Act of 1994.

OBJECTIVES:

To increase local involvement in, and ownership of, management of the development and maintenance of flood hazard maps produced for the National Flood Insurance Program (NFIP).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The funds are authorized to supplement, not supplant (replace), ongoing flood hazard mapping management efforts by the local, regional, or State agency.

Applicant Eligibility:

All States and Commonwealths (including the District of Columbia and territories and possessions of the United States), regional agencies, and communities may apply. All applicants must be communities participating and in good standing in the NFIP or agencies which service participating NFIP communities.

Beneficiary Eligibility:

State; local; U.S. Territory & Possession; other public institution organization; small business; engineer architect; builder, contractor, developer; land property owner; general public.

Credentials Documentation:

Proposed Business Plans must be pre-approved by the FEMA regional office and all approved activities must ultimately contribute to the achievement of four over-arching goals of the Map Modernization Program. Refer to program guidance document for further information.

Preapplication Coordination:

Preapplication Coordination: This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

There are no matching requirements; however, Regional Directors shall determine individual levels of funding based upon the activities proposed and the level of commitment shown in the Business Plans, and how these activities will contribute toward the overall goals of map modernization. In addition applicants must be legislatively, administratively, and technically capable of providing proposed products or services.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-

year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program progress reports, support documents, statistical records, and other documents that support the activity and or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-5464-0-2-453.

Obligations:

FY 07 \$5,200,000; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

In previous fiscal years, addition to the main goals of the MMMS program, support has been provided to staff scoping meetings, develop a web-based ordinance writing tool for adopting new maps, providing education for surveyors and engineers on the LOMC process, and the development of multi-lingual outreach materials.

REGULATIONS, GUIDELINES, AND LITERATURE:

Annual program guidance will be available to all MMMS participants and the general public on the FEMA website at <http://www.fema.gov>.

Regional or Local Office:

FEMA Regional Offices will manage all program activities under the MMMS initiative. See Appendix IV of the Catalog.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane - Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.022, Flood Insurance; 97.045, Cooperating Technical Partners.

EXAMPLES OF FUNDED PROJECTS:

Examples of fundable projects include outreach efforts, coordinating mapping needs assessment, digital base map inventory, managing updates to national management information system, training efforts for State and local officials, coordination and effort in building partnerships, staffing, project scoping participation, compliance, map adoption management, management and map maintenance, program management planning for multiple flood mapping projects, hydrology and hydraulics review, DFIRM maintenance, technical standards agreement for state and or local efforts, performing metrics and goals.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.071 METROPOLITAN MEDICAL RESPONSE SYSTEM

(MMRS)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Public Law 104-201, National Defense Authorization Act for Fiscal Year 1997; The Defense Against Weapons of Mass Destruction Act of 1996 directed the Secretary of Defense to enhance capability and support improvements of response agencies. The Nunn-Lugar-Domenici Amendment to the National Defense Authorization Act for FY 1997 authorized funding for "medical strike teams," and the subsequent development of the MMRS Program. Ongoing Congressional appropriations have funded contracts with 124 MMRS jurisdictions.

OBJECTIVES:

The MMRS program provides funding to designated localities to assist in maintaining and updating plans, delivering training, purchasing equipment and pharmaceuticals, and conducting exercises. The mission of MMRS is to enhance local medical incident management's ability to coordinate and respond to a mass casualty event during the crucial first hours, until significant external resources arrive and become operational.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Refer to grant program guidance document for specific use and use restriction information. MMR includes functional components which are closely related to HRSA, CDC, and DHS programs within the medical emergency and preparedness funding structure.

Applicant Eligibility:

States, as defined in the Homeland Security Act of 2002, refer to means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States." The SAA is the only agency eligible to apply for HSGP funds and is responsible for obligating HSGP funds to local units of government and designated recipients. Local units of government, as defined in the Department of Homeland Security Appropriations Act of 2005, refer to "any county, city, village, town, district,

borough, port authority, transit authority, intercity rail provider, commuter rail system, freight rail provider, water district, regional planning commission, council of government, Indian tribe with jurisdiction over Indian country, authorized tribal organization, Alaska Native village, independent authority, special district, or other political subdivision of any State."

Beneficiary Eligibility:

Local jurisdictions.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

No match required.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Categorical Assistance Progress Reports (CAPR) and Biannual Strategy Implementation Reports (BSIR) are due within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. Grantees are also required to submit quarterly financial reports. These reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial, CAPR and BSIR reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

FY 07 \$32,010,000; FY 08 est \$39,831,404; and FY 09 est not available. (Obligations are included in 97.067).

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

To be determined.

REGULATIONS, GUIDELINES, AND LITERATURE:

For more requirements and guidance, please refer to the MMRS section of the HSGP solicitation guidelines and application kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Office of Grant Programs, 245 Murray Lane, Bldg. #410, Washington, DC 20523. Telephone: 800-368-6498. Centralized Scheduling and Information Desk (CSID) can be contacted at 1-800-368-6498,

8:00 a.m. - 7:00 p.m.(Eastern Time), Monday - Friday, or askcsid@dhs.gov.

Web Site Address:

http://www.dhs.gov.

RELATED PROGRAMS:

97.008, Urban Areas Security Initiative; 97.042, Emergency Management Performance Grants; 97.053, Citizen Corps; 97.073, State Homeland Security Program (SHSP); 97.074, Law Enforcement Terrorism Prevention Program (LETPP).

EXAMPLES OF FUNDED PROJECTS:

Jurisdictions that have gone above the basic requirements of standard baseline development, and reached their eligibility requirements for Program Continuation funding, are those exemplary jurisdictions that have participated in Special Projects. These capabilities gained range from a Mobile Field Hospital in Charlotte-Mecklenburg, to an Emergency Patient Tracking System in St. Louis. Worcester has developed a software package for emergency responder credentialing and incident response status. Allegheny County has developed a Regional Incident Support Coordination Team, and Southern Rio Grande Region is working on building cross-border emergency preparedness capabilities. These are but a few of the MMRS initiatives ongoing throughout the nation.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.072 NATIONAL EXPLOSIVES DETECTION CANINE TEAM PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Public Law 104-264, Federal Aviation Reauthorization Act of 1996; Public Law 107-296, Homeland Security Act of 2002, Aviation and Transportation Security Act.

OBJECTIVES:

The National Explosives Detection Canine Program exists to deter and detect the introduction of explosives devices into the transportation system. In addition, bomb threats cause disruption of air, land and sea commerce and pose an unacceptable danger to the traveling public and should be resolved quickly. Explosives detection canine teams are a proven reliable resource to detect explosives and are a key component in a balanced counter-sabotage program. The use of highly trained explosives detection canine teams is also a proven deterrent to terrorism directed towards transportation systems and provides a timely and mobile response to support facilities, rail stations, airports, passenger terminals, seaports and surface carriers. Canine teams consist of a dog and a handler. There is a 3 team minimum.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Allowable costs are detailed in the terms and conditions of each Cooperative Agreement award.

Applicant Eligibility:

Designated Airport Authority or State and local law enforcement agencies having responsibility for ensuring passenger, cargo, and commerce security.

Beneficiary Eligibility:

Airports, Airlines, mass transit, passenger rail system passengers, airlines, and the general public.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

TSA will contact potential participants of the program based upon established criteria for the specific mode of transportation. Proposals are not sought. No unsolicited applications are accepted. All applicants are pre-selected by TSA.

Award Procedure:

Recipients are pre-selected based on airport/mass transit-passenger rail security needs. Negotiations determine the scope of the award and a cooperative agreement is awarded.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Every five years.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Incidents and training activities are reported as they occur. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each subgrant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0550-0-1-400.

Obligations:

FY 07 \$18,980,000; FY 08 est \$34,176,000; and FY 09 est \$34,176,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Transportation Security Administration has supported this public purpose of assuring traveler and cargo safety in expanding venues for over 30 years. Currently TSA works cooperatively with 79 recipients.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cooperative Agreement and attachments, Standard Operating Practices and Procedures, Applicable TSA Security Directives/Emergency Amendments.

Regional or Local Office:

None.

Headquarters Office:

Chief, National Explosives Detection Canine Team Program Headquarters, Transportation Security Administration, 601 South 12th Street, TSA-18 Room 8E18S, Arlington, VA 22202.

Web Site Address:

<http://www.tsa.gov> (click Security and Law Enforcement then Canine and Explosives Program).

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

TSA provides State and local law enforcement agencies with a comprehensive 10 week handler training course and a certified explosives detection canine. In addition, TSA provides funding to State and local law enforcement agencies through partial reimbursements (\$40,000 - \$50,000 per team) for services provided to DHS/TSA for security of transportation systems. Teams must be available to the airports/mass transit-passenger rail 80% of the time but can be used to support other community security needs as well. Teams must re-certify annually and are subject to unannounced evaluations.

CRITERIA FOR SELECTING PROPOSALS:

None.

97.073 STATE HOMELAND SECURITY PROGRAM (SHSP)**FEDERAL AGENCY:**

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

U.S.A. Patriot Act of 2001, Public Law 107-56.

OBJECTIVES:

To build capabilities to prevent, deter, respond to, and recover from incidents of terrorism at the State and local levels through planning, equipment, training, and exercise activities and support the implementation of State homeland security strategies and key elements of the national preparedness architecture, including the National Preparedness Goal, the National Incident Management System and the National Response Plan.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds may be used for planning, organization, equipment, training and exercises as related to the program objectives above, and will be administered by the respective State Administrative Agency (SAA). Each SAA shall make no less than 80 percent of the total grant program amount available to local units of government within 60 days of the receipt of funds.

Applicant Eligibility:

States, which includes any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States. The State Administering Agency (SAA), designated by the Governor to administer DHS grant funds, is the only agency eligible to apply for these funds and is responsible for obligating the funds to local units of government and other designated recipients. Local units of government and other designated recipients, as defined in the Conference Report accompanying the Department of Homeland Security Appropriations Act of 2006, refers to "any county, city, village, town, district, borough, parish, port authority, transit authority, intercity rail provider, commuter rail system, freight rail provider, water district, regional planning commission, council of government, Indian tribe with jurisdiction over Indian country, authorized Tribal organization, Alaska Native village, independent authority, special district, or other political subdivision of any State."

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." Applicants should consult the office or official designated as the single point of contact in their State for more information on the process to be followed as required by the State in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

An annual appropriation is anticipated for this program.

Formula and Matching Requirements:

Each State will receive a minimum allocation using the U.S.A. Patriot Act formula. All 50 States, the District of Columbia, and Puerto Rico will receive 0.75 percent of the total allocation. Four Territories (American Samoa, Guam, Northern Mariana Islands, and the U.S. Virgin Islands) will receive a minimum allocation of 0.25 percent of the total allocation. The balance of the funds will be allocated based on the analyses of risk and anticipated effectiveness as detailed in the program guidance. There are no matching requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit an Initial Strategy Implementation Plan (ISIP) within 60 days of the grant award date. Categorical Assistance Progress Reports (CAPR) and Biannual Strategy Implementation Reports (BSIR) are required semi-annually. The CAPR and BSIR are due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR, BSIR, and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the

grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Grants) FY 07 \$509,250,000; FY 08 est \$921,500,000; and FY 09 est not available. (Obligations included in 97.067).

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

States have completed threat, vulnerability, capabilities, and needs assessments and have developed State Homeland Security Strategies. The strategies identify the needs for planning, organization, equipment, training, exercises, and technical assistance. OGP is working with the States to develop assistance plans and identify Department of Homeland Security resources that can assist them in accomplishing the goals and objectives identified in their strategies.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the SHSP section of the HSGP solicitation guidance and application kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Office of Grant Programs, 245 Murray Lane - Bldg. #410, Washington, DC 20523. The Centralized Scheduling and Information Desk (CSID) can be contacted at 1-800-368-6498 or askcsid@dhs.gov. CSID hours of operation are from 8:00 a.m. to 7:00 p.m. (Eastern Time), Monday through Friday.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.074, Law Enforcement Terrorism Prevention Program (LETPP); 97.042, Emergency Management Performance Grants; 97.008, Urban Areas Security Initiative; 97.071, Metropolitan Medical Response System; 97.053, Citizen Corps.

EXAMPLES OF FUNDED PROJECTS:

Equipment acquisition; Costs related to the design, development, and conduct of statewide chemical, biological, radiological, nuclear, and explosive (CBRNE) events and cyber security training programs; and costs related to the design, development, conduct, and evaluation of CBRNE and cyber security exercises.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.074 LAW ENFORCEMENT TERRORISM PREVENTION PROGRAM (LETPP)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

U.S.A. Patriot Act of 2001, Public Law 107-56.

OBJECTIVES:

The Law Enforcement Terrorism Prevention Program (LETPP) seeks to provide law enforcement communities with enhanced capabilities for detecting, deterring, disrupting, and preventing acts of terrorism. LETPP is focusing on providing resources to law enforcement and public safety communities (working with their private partners) to support critical terrorism prevention activities such as establishing/enhancing fusion centers and collaborating with non-law enforcement partners, other government agencies, and the private sector.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds may be used for planning, organization, equipment, training and exercises, as related to the program objectives, and will be administered by the respective State Administrative Agency (SAA). The SAA must coordinate the implementation of this program with the State's Lead Law Enforcement Agency.

Applicant Eligibility:

States, which includes any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States. The State Administering Agency (SAA), designated by the Governor to administer DHS grant funds, is the only agency eligible to apply for these funds and is responsible for obligating the LETPP funds to local units of government and other designated recipients. Local units of government and

other designated recipients, as defined in the Conference Report accompanying the Department of Homeland Security Appropriations Act of 2006, refers to "any county, city, village, town, district, borough, parish, port authority, transit authority, intercity rail provider, commuter rail system, freight rail provider, water district, regional planning commission, council of government, Indian tribe with jurisdiction over Indian country, authorized Tribal organization, Alaska Native village, independent authority, special district, or other political subdivision of any State".

Beneficiary Eligibility:

State and local governments as well as U.S. Territory/Possession governmental units.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process to be followed as required by the State in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff.

Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

An annual appropriation is anticipated for this program.

Formula and Matching Requirements:

Each State will receive a minimum allocation using the U.S.A. Patriot Act formula. All 50 States, the District of Columbia, and Puerto Rico will receive 0.75 percent of the total allocation. Four Territories (American Samoa, Guam, Northern Mariana Islands, and the U.S. Virgin Islands) will receive a minimum allocation of 0.25 percent of the total allocation. The balance of the funds will be allocated based on the analyses of risk and anticipated effectiveness as detailed in the program guidance. There are no matching requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit an Initial Strategy Implementation Plan (ISIP) within 60 days of the grant award date. Categorical Assistance Progress Reports (CAPR) and Biannual Strategy Implementation Reports (BSIR) are required semi-annually. The CAPR and BSIR are due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR, BSIR, and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Grants) FY 07 \$363,750,000; FY 08 est not available and FY 09 est not available. (included in 97.067)

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

States have developed State Homeland Security Strategies in accordance with the National Preparedness Goal and related national priorities. These strategies identify planning, organization, equipment, training, exercises, and technical assistance needs. The National Preparedness Directorate continues to assist states to identify and implement resources to accomplish goals and objectives identified in the respective state strategies.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines please refer to the LETPP section of the HSGP solicitation guidance and application kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security/FEMA, Grant Programs Directorate, 245 Murray Lane Bldg. #410, Washington, DC 20531. The Centralized Scheduling and Information Desk (CSID) can be contacted at 1-800-368-6498 or E-mail: askcsid@dhs.gov. CSID is open from 8:00 a.m. to 7:00 p.m. (Eastern Time), Monday through Friday.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.008, Urban Areas Security Initiative; 97.042, Emergency Management Performance Grants; 97.053, Citizen Corps; 97.071, Metropolitan Medical Response System; 97.073, State Homeland Security Program (SHSP).

EXAMPLES OF FUNDED PROJECTS:

Establish/enhance fusion centers, interoperable communications, information sharing to preempt terrorist attacks; target hardening to reduce vulnerability of selected high value targets, and recognition and mapping of potential or developing threats.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.075 RAIL AND TRANSIT SECURITY GRANT PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

Emergency Wartime Supplemental Appropriations Act of 2003, Public Law 108-11; The U.S. Troop Readiness, Veterans Care, Katrina Recovery, and Iraq Accountability Appropriations Act of 2007, Public Law 110-28.

OBJECTIVES:

To create sustainable programs for the protection of critical bus, rail and ferry system infrastructure from terrorism, with special emphasis on the use of explosives and non-conventional threats which would result in major loss of life and severe disruption.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Programs included under the CFDA number are: the Transit Security Grant Program (TSGP), the TSGP Intercity Passenger Rail Security Supplemental, and the TSGP Ferry System Security Supplemental. Funds may be used for planning, organizational activities, equipment acquisitions, training, exercises, management and administrative activities, and other costs the Secretary deems appropriate to support the objectives of the program. The SAA must obligate at least 97 percent of the funds awarded to designated transit systems within 60 days of the receipt of funds. The program guidance outlines uses and restrictions in detail.

Applicant Eligibility:

The Governor of each State and Territory has designated a State Administrative Agency (SAA) to apply for and administer funds under the TSGP. The SAA for each designated transit system is the only agency eligible to apply for transit mode (rail and bus transit and ferry service) funds and is responsible for obligating transit mode funds to local units of government and designated recipients. The SAA is responsible for obligating TSGP funds to the appropriate individual transit agencies within 60 days after receipt of funds. The National Passenger Railroad Corporation (Amtrak) is the only entity eligible to apply for funding under the TSGP Intercity Passenger Rail Security Supplemental. Agencies eligible for the TSGP Ferry System Security Supplemental were identified using comprehensive, empirically grounded risk analysis modeling. The risk methodology for the IPP programs is consistent across the modes and is linked to the risk methodology used to determine eligibility for the core Department of Homeland Security State and local grant programs. The program guidance identifies the agencies eligible for funding.

Beneficiary Eligibility:

Specialized group; general public.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process to be followed as required by the State in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Categorical Assistance Progress Reports (CAPR) are required semi-annually and are due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Grants) FY 07 \$271,780,000 (which includes supplemental funding in the amount of \$100,000,000); FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Funding provided to enhance preparedness and security for America's Transit Systems.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the program guidance and application kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane SW, Bldg. #410, Washington, DC 20528.

Web Site Address:

www.dhs.gov.

RELATED PROGRAMS:

97.067, Homeland Security Grant Program; 97.008, Urban Areas Security Initiative; 97.056, Port Security Grant Program; 97.057, Intercity Bus Security Grants; 97.059, Truck Security Program; 97.078, Buffer Zone Protection Program (BZPP).

EXAMPLES OF FUNDED PROJECTS:

Projects addressing: protection of high risk/high consequence underwater and underground rail assets; protection of other high risk/high consequence assets and systems that have been identified through system-wide risk assessments; use of visible, unpredictable deterrence; targeted counter-terrorism training for key front-line staff; emergency preparedness drills and exercises; public awareness and preparedness campaigns; and efforts in support of the national preparedness architecture.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.076 NATIONAL CENTER FOR MISSING AND EXPLOITED CHILDREN (NCMEC)**FEDERAL AGENCY:**

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

Department of Homeland Security Appropriations Act.

OBJECTIVES:

To support investigative and forensics support activities related to investigations of missing and exploited children, promote awareness of the child pornography tip line and Project Alert; and, in conjunction with other Federal law enforcement agencies, support the establishment and maintenance of a national resource center and clearinghouse dedicated to missing and exploited children issues.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The NCMEC award is designated for a private, nonprofit organization (NPO) which meets Congress's objectives and programmatic criteria for preventing the abduction, abuse, and sexual exploitation of children; and/or assisting law enforcement agencies in the investigation and recovery of those children.

Applicant Eligibility:

Designated private nonprofit institution/organization.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

An annual appropriation is anticipated for this program.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or

other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0404-0-1-751.

Obligations:

(Grants) FY 07 \$6,000,000; FY 08 est \$6,000,000; and FY 09 est \$6,000,000.

Range and Average of Financial Assistance:

Amount may vary.

PROGRAM ACCOMPLISHMENTS:

The NCMEC toll-free hotline has received more than 150,000 calls from parents, law enforcement, prosecutors and citizens seeking assistance or asking for information regarding missing children issues. NCMEC has also disseminated thousands of publications and provided training to several hundred law enforcement officers and prosecutors regarding online crime against children and child protection issues. NCMEC's Cyber Tip Line has received over 165,000 reports of child pornography and child sexual exploitation. NCMEC continues to receive hundreds of hits on their web page daily.

REGULATIONS, GUIDELINES, AND LITERATURE:

For additional information on program requirements and guidance, please contact the headquarters office at the address listed below.

Regional or Local Office:

None.

Headquarters Office:

NCMEC/ David L. Schoonover, United States Secret Service. Telephone: (202) 406-9277, David.Schoonover@uss.s.dhs.gov; NCMEC and Project Alert/Tatum King, Immigration and Customs Enforcement. Telephone: (703) 293-8006, tatum.king@dhs.gov. Department of Homeland Security, 245 Murray Lane, Building #410, Washington, DC 20523.

Web Site Address:

www.dhs.gov

RELATED PROGRAMS:

16.543, Missing Children's Assistance.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Application is reviewed by the U. S. Secret Service and subject-matter experts.

Application is evaluated according to the program objectives and goals established by Congress.

97.077 HOMELAND SECURITY RESEARCH TESTING, EVALUATION, AND DEMONSTRATION OF TECHNOLOGIES RELATED TO NUCLEAR DETECTION**FEDERAL AGENCY:**

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; as amended by the SAFE Port Act, Title V, Section 501(a), Public Law 109-347.

OBJECTIVES:

To provide funding and/or property for the purpose of conducting research, testing, evaluation, and demonstration of homeland security technologies related to nuclear detection intended to identify, counter, or respond to terrorist threats

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts); Use of Property, Facilities, and Equipment; Sale, Exchange, or Donation of Property and Goods; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions.

Applicant Eligibility:

States, local governments, private, public, profit or nonprofit organizations, Indian Tribal governments, or individuals specified by U.S. Appropriation Statute.

Beneficiary Eligibility:

Federal, State, and local governments, private, public, profit or nonprofit organizations, Indian tribal governments, and individuals.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

"Earmarked" or one-time funding amounts and any match requirements are specified in the Program or Appropriation Statute, regulation or program guidance, and are identified in the announcement.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0860-0-1-999.

Obligations:

FY 07 \$15,367,353; FY 08 est \$11,000,000; and FY 09 est \$14,000,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Since FY 07, 25 grants have been awarded under the Academic Research Initiative (ARI) program to 22 different projects. It is estimated that approximately 8 new awards will be made in FY 08 in addition to the 25 continuation awards of the FY 07 grants. These grants provide funding to support over 75 graduate students in nuclear and radiological research areas. In addition, an annual ARI grantees conference is held to promote information sharing and collaborations across the community. In FY 07 a total of 133 proposals were received under the ARI solicitation and a total of 22 projects (25 grants) were funded. It is estimated in FY 08 and FY 09 that 8 out of approximately 90 proposals will be funded in each fiscal year. Funding has also supported basic and applied research in the radiological/nuclear detection and interstate transportation security.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Headquarters Office: Grants and Financial Assistance Division, Office of Procurement Operations, Telephone: (202) 447-5589.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Awards made under the ARI program cover a wide range of research in nuclear and radiological detection. Projects include; advanced algorithms and detectors for used in gamma-ray imaging, nano-material applications in scintillator and semiconductor detectors, modeling to improve sensor management and port security, fieldable nuclear forensics systems for material identification, and measurement of fundamental nuclear data and cross-sections.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.078 BUFFER ZONE PROTECTION PROGRAM (BZPP)

(BZPP)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Department of Homeland Security Appropriations Act.

OBJECTIVES:

The Buffer Zone Protection Program (BZPP) provides funding for the planning, equipment, and management of protective actions, to support the objective of protecting, securing, and reducing vulnerabilities of identified critical infrastructure and key resource (CI/KR) sites.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

BZPP grant awards will be administered by the respective State Administrative Agency (SAA) and may be used for planning, equipment, and management of protective actions relating to the program objectives. The SAA will allocate program funds to the jurisdiction(s) responsible for the safety and security of the communities in which CI/KR sites are located. For additional information concerning BZPP grant award uses and restrictions, please refer to the program solicitation guidance.

Applicant Eligibility:

States, as defined in the Homeland Security Act of 2002, means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States." The State's SAA is the only agency eligible to apply for BZPP grant funds and is responsible for obligating BZPP grant awards to the responsible jurisdiction(s) that have authority over the identified CI/KR sites. The term "responsible jurisdiction" as used in the BZPP program guidance and application kit, refers to the primary agency (i.e. State, local, or tribal entity or unit of government), that has authority over the DHS identified CI/KA facility, the facility's curtilage, adjacent grounds, and/or structures.

Beneficiary Eligibility:

State and local governmental jurisdiction(s) responsible for the CI/KR sites.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process to be followed as required by the State in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Funding is subject to reallocation each year, and may be consolidated with other programs.

Formula and Matching Requirements:

For more detailed information on the funding allocation formula and criteria please refer to the BZPP program solicitation guidance.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Categorical Assistance Progress Reports (CAPR) and Biannual Strategy Implementation Reports (BSIR) are due within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. Grantees are also required to submit quarterly financial reports. These reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial, CAPR and BSIR reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than nine months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

FY 07 \$50,000,000; FY 08 \$50,000,000; FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

For additional information on program requirements and guidance, please refer to the BZPP Program Guidelines and Application Kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Office of Grant Programs, 245 Murray Lane, Bldg. #410, Washington, DC 20523. The Centralized Scheduling and Information Desk (CSID) can be contacted at 1-800-368-6498, 8:00 a.m. - 7:00 p.m. (Eastern Time), Monday - Friday, or askcsid@dhs.gov.

Web Site Address:

<http://www.dhs.gov/xopnbiz/grants/index.shtm>

RELATED PROGRAMS:

97.008, Urban Areas Security Initiative; 97.073, State Homeland Security Program (SHSP); 97.074, Law Enforcement Terrorism Prevention Program (LETPP).

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.079 PUBLIC ALERT RADIOS FOR SCHOOLS

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

Department of Homeland Security Appropriations Act.

OBJECTIVES:

To disseminate public alerts and warnings by providing Public Alert Radios to eligible schools. Public alerts and warnings can be disseminated nationwide, regionally, or locally. This program will: a) expand delivery of public alert services to designated schools; and b) enhance and strengthen the capability of designated schools to provide alert and warning information directly to students and the public.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Property grants to provide Public Alert Radios to schools in designated geographic areas.

Applicant Eligibility:

Schools located in geographic locations identified by the Department of Homeland Security (DHS).

Beneficiary Eligibility:

Students (K-12).

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O.12372.

Application Procedure:

Public Alert Radios will be provided on the basis of available funding to the schools located in geographic locations identified by DHS.

Award Procedure:

Radios will be distributed to selected schools accompanied by a letter, and a brochure explaining the program's purpose and use of the radio. Recipients will be instructed to respond by e-mail to confirm receipt and acceptance of property, and to agree that the radios will be used to receive 'all hazards' public warnings.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Eligible schools who receive a public alert radio, will respond by e-mail to the administering program office, confirming receipt of the Public Alert Radio and acceptance for its intended use.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

70-5609-1-1-000.

Obligations:

FY 07 \$2,929,847; FY 08 est \$1,500,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane SW, Bldg. # 410, Washington, DC 20528.

Web Site Address:

www.dhs.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

DHS will determine the allocation of radios based on the funding and the schools located in the geographic areas designated by DHS, eligible to receive a public alert radio.

97.080 INFORMATION ANALYSIS INFRASTRUCTURE PROTECTION (IAIP) PILOT PROJECTS

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

Public Law 107-296, Department of Homeland Security Act.

OBJECTIVES:

To provide funding support to explore the feasibility and viability of commercially available protective measure technology to nonfederal entities, through pilot or demonstration projects.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Restricted to pilot or demonstration projects of commercially available protective measures technologies. Additional information concerning uses and restrictions is contained in the program guidance document or can be obtained from the administering program office identified in this announcement.

Applicant Eligibility:

Funds are restricted to State, local government, private, public, profit or non-profit organization, Indian Tribal government or individuals specified by DHS program or Appropriation Statute, or guidance.

Beneficiary Eligibility:

State, local government, private, public, profit or nonprofit organization, Indian Tribal government or individual specified by DHS Appropriation Statute.

Credentials/Documentation:

To apply for IAIP Pilot Project/ demonstration project grant funds, applicants must submit an application as outlined in the program's guidance document or application kit. The scope and extent of information required from applicants may vary by specific project. For more detailed application requirements for IAIP pilot/demonstration projects, please refer to the pertinent program announcement or solicitation guidance document.

Preapplication Coordination:

Depending on the type of applicant, this program may be eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant who falls within the scope of this requirement should consult their State's designated Single Point of Contact (SPOC) for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. In most cases, applicants who may fall within the scope of this requirement will be units or sub-agencies and entities of State and local government.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

An annual appropriation is anticipated for this program.

Formula and Matching Requirements:

Funding amounts and match requirements are specified in the program announcement and guidance document.

Length and Time Phasing of Assistance:

Will vary by project.

Reports:

Financial and progress reports must be submitted in compliance with OMB Circular A-102 or A110. The frequency of the reports will be identified in the Terms and Conditions of the award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-5609-1-1-000.

Obligations:

FY 07 \$17,351,867; FY 08 est \$4,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

As specified in the program announcement.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars, A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State, and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations, and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

None.

Headquarters Office:

Grants and Financial Assistance Division, Office of Procurement Operations,

Department of Homeland Security, 245 Murray Lane, Bldg 410, Washington, DC 20528; Telephone: (202) 447-5589.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Earmark or as identified in the program guidance document.

97.081 LAW ENFORCEMENT TRAINING AND TECHNICAL ASSISTANCE

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Department of Homeland Security Appropriations Act, 2004, Public Law 108-90, Title IV, 117 Stat. 1151, (codified at 6 U.S.C. 464d), and Omnibus Crime Control and Safe Streets Act of 1968, Public Law 90-351; 82 Stat. 197 as amended, (codified at 42 U.S.C. 3771).

OBJECTIVES:

The Federal Law Enforcement Training Center's (FLETC's) primary objective is to provide training to Federal law enforcement agencies; however, advanced and specialized training is also provided to State, local, tribal, and campus law enforcement agencies. FLETC's programs provide participants with specific investigative skills and techniques in a wide variety of topical areas to improve law enforcement agencies' enforcement capabilities. Many of FLETC's advanced and specialized training programs are exported to select sites throughout the country. Additionally, FLETC provides technical assistance to agencies upon request.

TYPES OF ASSISTANCE:

Provision of Specialized Services; Training.

USES AND USE RESTRICTIONS:

Appropriated funds for State and local law enforcement training and technical assistance are used to subsidize (either partially or wholly) the costs of State, local, campus, and tribal participants attending specialized or advanced training programs offered by FLETC; or, to provide technical assistance to those law enforcement agencies.

Applicant Eligibility:

Applicant Eligibility: State, local, tribal, and campus law enforcement; fire and emergency management specialists; prosecutors and attorneys; domestic violence advocates may also be eligible for participation. Applicants from private organizations must be sponsored by a law enforcement/public safety agency as described in this paragraph. To determine specific candidate eligibility and prerequisites for attendance, please refer to the contact and website information below.

Beneficiary Eligibility:

Trainees from State, local, tribal and campus law enforcement/public safety agencies in the United States and U.S. Territories.

Credentials/Documentation:

Varies by individual program. Restricted to sworn and non-sworn personnel from State, local, campus, and tribal law enforcement agencies; fire and emergency management specialists, prosecutors, and/or domestic violence advocates sponsored by a law enforcement agency or prosecutor's office.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application forms and contact information for specialized and advanced training programs are available via the web site listed below. Technical assistance requests from State, local, campus, and tribal agencies must be submitted, in writing, on the agency's official letterhead addressed to the Deputy Assistant Director, Office of State and Local Training, Federal Law Enforcement Training Center, at the address listed below.

Award Procedure:

Training allocations for attendees from State, local, campus, and tribal law enforcement agencies, will be distributed based upon availability of training slots, date of application, and/or geographic disbursement. A confirmation notice with details of the training will be provided via e-mail or letter, upon the applicant's acceptance into a training class. Requests for technical assistance will be considered on a case-by-case basis; approval is contingent upon the circumstance surrounding the request, funding availability, and FLETC resources.

Deadlines:

Varies by training program. Applicants are responsible for ascertaining and responding to the respective deadline(s) pertaining to the course for which they are applying. Applicants should contact FLETC either by e-mail or call the toll free number listed below, for application deadlines.

Range of Approval/Disapproval Time:

Registration requests for FLETC training programs are generally accepted or

denied within 30 - 60 days prior to the training program start date.

Appeals:

None.

Renewals:

An annual appropriation is anticipated for this program.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Training and technical assistance is available for up to a 12-month period beginning October 1 - September 30.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

70-0509-0-1-751.

Obligations:

(Travel, Support, Materials and Services) FY 07 \$1,155,000; FY 08 est \$1,155,000; and FY 09 est \$1,155,000.

Range and Average of Financial Assistance:

Assistance will vary depending upon the scope and objectives of each training program or technical assistance provided. Financial assistance may be provided to eligible student trainees, on a case-by-case basis, to wholly or partially offset the cost of attending training. The most common form of financial assistance is a subsidy to reduce, or in some cases eliminate, the attendee's tuition cost.

PROGRAM ACCOMPLISHMENTS:

Each year FLETC delivers basic, advanced and specialized training to Federal law enforcement agencies at primary and field training facilities, as well as annually trains approximately 3000 State, local, tribal and campus law enforcement officers in the U.S. Many of these programs are offered at subsidized or no cost. Additionally, technical assistance such as: curriculum development/review; evaluation site visits to State and local law enforcement agencies, and law enforcement training academies, are provided upon request.

REGULATIONS, GUIDELINES, AND LITERATURE:

Training program descriptions and related literature are available by contacting FLETC at the address listed below.

Regional or Local Office:

None.

Headquarters Office:

Federal Law Enforcement Training Center, Office of State and Local Training, 1131 Chapel Crossing Road, Townhouse 393, Glynco, GA 31524. Toll Free Telephone: (1-800) 74FLETC; Fax: (912) 267-2894; or E-mail: stateandlocaltraining@dhs.gov.

Web Site Address:

www.fletc.gov/osl .

RELATED PROGRAMS:

16.737, Gang Resistance Education and Training.

EXAMPLES OF FUNDED PROJECTS:

Advanced and specialized law enforcement training and technical assistance. FLETC delivers basic, advanced and specialized training at its headquarters facility in Glynco, Georgia, and field training facilities at other locations in the U.S. Training classes on: domestic violence, drug enforcement, first responder, domestic terrorism, intelligence analyst and awareness, driver and marine operators courses, forensic computer evidence recovery, etc. are provided to qualified student trainees. FLETC also provides technical assistance/expertise on law enforcement training and curriculum development to State, local, campus, and tribal law enforcement agencies.

CRITERIA FOR SELECTING PROPOSALS:

Training allocations for applicants from State, local, campus, and tribal law enforcement agencies, are contingent upon available student training slots, date of application, and/or geographic disbursement. Applicants must meet all eligibility requirements pertaining to the specific training program for which they are applying. FLETC will review the circumstances and scope of technical assistance requests on a case-by case basis; approval is contingent upon available funding and FLETC resources.

97.082 EARTHQUAKE CONSORTIUM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

Earthquake Hazards Reduction Act of 1977, as amended.

OBJECTIVES:

The Earthquake Hazards Reduction Act of 1977, as amended, directs the Federal Emergency Management Agency (FEMA) to operate a program of grants and assistance to enable States to develop mitigation, preparedness and response plans, prepare inventories and conduct seismic safety inspection of critical structures and lifelines, update building and zoning codes and ordinances to enhance seismic safety, increase earthquake awareness and education, and

encourage the development of multi-State groups for such purposes.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

This program is non-competitive and restricted to certain specialized/qualified organizations designated by (FEMA). Project grants (cooperative agreements) enable the consortia to engage in earthquake mitigation activities.

Applicant Eligibility:

Nonprofit Organizations designated by FEMA. The program is currently restricted to the following entities and organizations: Central US Earthquake Consortium, Western States Seismic Policy Council, Northeast States Emergency Consortium, and Cascadia Region Earthquake Workgroup.

Beneficiary Eligibility:

States, locals, tribes, U.S. Territories, and general public.

Credentials/Documentation:

See 44 CFR, Part 13 for requirements. This program must follow requirements included in OMB Circulars A-110 and A-122.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not applicable.

Renewals:

Cooperative agreements may be renewed annually, but will be awarded as new cooperative agreements.

Formula and Matching Requirements:

This program has no matching requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-453.

Obligations:

(Cooperative Agreements) FY 07 \$925,000; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

These consortia contribute to FEMA's mitigation goals of reducing deaths, injuries, damage to property and economic losses resulting from earthquakes. They work directly with public, private, professional, and research agencies and organizations that ultimately implement earthquake risk reduction programs (e.g., building code administrators, urban planners, elected officials, utility professionals, etc.). The consortium serves as a bridge between the Federal NEHRP agencies, and the organizations and individuals at the State and local

level that translate policies into practice.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR, Part 361, National Earthquake Hazards Reduction Assistance to State and Local Governments and annual memorandum guidance issued by the FEMA Federal Insurance and Mitigation Directorate.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane SW, Bldg 410 Washington DC, 20528.

Web Site Address:

www.FEMA.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

These multi-State groups have delivered education and training to community and State officials to develop seismic policies and share information to promote programs intended to reduce earthquake-related losses; and reduce the risk of loss of life, injuries, property losses and social and economic disruption that result from all hazards.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

97.083 STAFFING FOR ADEQUATE FIRE AND EMERGENCY RESPONSE (SAFER)

(SAFER ACT)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

15 U.S.C. 2229a, FY 2005 Homeland Security Appropriation Act.

OBJECTIVES:

To increase the number of firefighters in local communities and to help them meet industry minimum standards and attain 24/7 staffing for adequate protection against fire and fire-related hazards, and fulfill related roles associated with fire departments.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Not all fire departments will be eligible to apply. Training, equipment, overtime, and administrative expenses are not eligible. Individuals seeking personal assistance are not eligible. This program is intended to assist fire departments and recognized organizations as identified in the program guidance document and application package; and only those fire departments and organizations as specified in the program guidance, are eligible. Funds may be used to recruit, hire, and retain firefighters, but cannot supplant (replace) the applicants funds budgeted for that purpose. Recipients of funds for hiring of new firefighters must commit to maintaining their pre-existing (pre-grant) staffing level, and retaining personnel hired with grant funds, for at least one (1) year beyond the end of the four (4)-year grant period. Thus, recipients must maintain pre-existing staffing levels and retain grant funded personnel throughout the five year period of performance. Grant funds are provided on a sliding scale, recipients must initially match 10% of the Federal share the first year. Recipient match increases by certain percentages in the second, third, and fourth years, culminating in 100% of personnel costs (per position), in the fifth year and beyond. Refer to the program guidance for further information.

Applicant Eligibility:

This program is restricted to the jurisdictions/organizations described in the program guidance. For specific information, refer to the program guidance. In summary, for the purpose of this program, "State" is defined as the fifty States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Alaska Village Initiative, a nonprofit organization incorporated in the State of Alaska, shall also be considered eligible for purposes of receiving assistance under this program on behalf of Alaska Native villages. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression on a first-due basis to a population within a fixed geographical area. Fire departments may be comprised of members who are all volunteer, combination volunteer/career, or all career.

Beneficiary Eligibility:

Local or tribal communities serviced by the fire department including, local businesses, homeowners and property owners.

Credentials/Documentation:

Applicant must certify that they are an eligible applicant, i.e., a fire department, as described in the program guidance. Refer to program guidance document for specific information.

Preapplication Coordination:

Depending on the type of applicant, this program may or may not be excluded from E.O. 12372 ("Intergovernmental Review of Federal Programs").

Applicants should consult the office or official designated as the single point of contact in his/her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are peer reviewed and subsequently also reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Applicants that receive an adverse decision or denial, can request reconsideration of the decision. Request for reconsideration must be submitted in writing, to the address contained on the program's website within 30 days of the notification of denial by the administering program office.

Renewals:

None.

Formula and Matching Requirements:

Any hiring grant made under this program has a match requirement. Refer to program guidance document for hiring grant match percentages in the first through and including the fifth year; recipient's match percentages increase each year. The recruitment and retention grants do not have any local match requirements. Refer to program guidance document for specific information.

Length and Time Phasing of Assistance:

The hiring grants have a five-year performance period. The recruitment and retention grants are made in one-year increments up to a possible four-year period of performance. Refer to program guidance for further details. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. The quarters are designated to be the three-month increments from the award date. Reports are due within 30 days after the end of each quarter. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0561-0-1-999.

Obligations:

Grants) FY 07 \$95,794,097; FY 08 est. \$180,500,000; and FY 09 est. not available.

Range and Average of Financial Assistance:

Refer to program guidance document.

PROGRAM ACCOMPLISHMENTS:

Since the authorization of SAFER grants at the start of FY 2005, the hiring of over 2,300 firefighters has been supported under the first three years of grant awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S.C. 2201 et seq.; Fire Prevention and Control Act of 1974.

Regional or Local Office:

SAFER Grants are directed from the FEMA Headquarters Office. There are 10 regional offices in FEMA.

Headquarters Office:

Department of Homeland Security, FEMA, C/O 245 Murray Lane - Bldg. #410, Washington, DC 20523. E-mail: firegrants@dhs.gov. Telephone: (866) 274-0960.

Web Site Address:

<http://www.firegrantsupport.com/safer>.

RELATED PROGRAMS:

15.031, Indian Community Fire Protection; 15.228, National Fire Plan - Wildland Urban Interface Community Fire Assistance; 97.018, National Fire Academy Training Assistance; 83.542, Fire Suppression Assistance; 97.038, First Responder Counter-Terrorism Training Assistance; 97.043, State Fire Training Systems Grants.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.084 HURRICANE KATRINA CASE MANAGEMENT INITIATIVE PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, as amended, Title 1, Sec. 102; Robert T. Stafford Disaster Relief and Emergency Assistance Act as amended by Public Law 106-390, including Sec. 701(b); Title 44 Code of Federal Regulations; Department of Homeland Security Delegation Number 9002.

OBJECTIVES:

To provide case management services for displaced persons from Presidentially-declared disaster areas affected by Hurricane Katrina.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

This award is designated for a private, nonprofit organization (NPO) which meets FEMA's national programmatic criteria to act as a lead agency for 6-12 designated private nonprofit organizations, to provide leadership and management of a National Case Management Consortium (NCMC). This consortium will provide case management services to the affected population from Presidentially declared disaster areas affected by Hurricane Katrina.

Applicant Eligibility:

Unsolicited applications will not be accepted. Only the national-scope private nonprofit organization designated by FEMA to manage the NCMC is eligible.

Beneficiary Eligibility:

Displaced persons from Presidentially-declared disaster areas affected by Hurricane Katrina.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

FEMA will accept an application from the designated Private Nonprofit Organization to fund and establish a National Case Management Consortium (NCMC) with execution of FEMA Form 20-16 and a grant proposal.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 30, April 30, July 30, and Oct. 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-8244-0-7-453.

Obligations:

(Grant) FY 07 \$0, FY 08 \$0; and FY 09 \$0. This CFDA will remain open until closeout of all grants.

Range and Average of Financial Assistance:

\$66,000,000.

PROGRAM ACCOMPLISHMENTS:

New program. It is anticipated that recovery efforts for Hurricane Katrina survivors will be expedited by 1) use of case-management trained personnel to provide long-term recovery assistance; 2) use of proper techniques to ensure that lapses in services do not occur; 3) avoidance of duplication of benefits for survivors; and 4) identification of the neediest survivors for assistance.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR.

Regional or Local Office:

Not applicable.

Headquarters Office:

The Department of Homeland Security, 245 Murray Lane - Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

97.085 9/11 HEROES STAMP PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

The 9/11 Heroes Stamp Act of 2001, Section 652 of Public Law 107-67, 115 Stat. 514; 42 U.S.C. 2218(b)(5), 5 U.S.C. 301, 6 U.S.C. 112(a)(3) & (b)(1), 44 CFR Part 153.

OBJECTIVES:

Provide assistance, derived from proceeds of the Hero postal stamp sales, to the personal representatives of emergency relief personnel killed or those physically permanently disabled while serving in the line of duty in connection with the terrorist attacks against the United States on September 11, 2001.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

Restricted to the personal representatives of eligible claimants who were killed or claimants that were permanently physically disabled while serving in the line of duty in connection with the terrorist attacks against the United States on September 11, 2001. The Federal Emergency Management Agency (FEMA) will equally distribute assistance awards among all eligible claimants until the programs funds have been liquidated.

Applicant Eligibility:

Restricted to specialized group involved in a specific incident. Individuals eligible to submit a claim for assistance under the 9/11 Heroes Stamp Act of 2001 (the Act) are personal representatives of those emergency relief personnel who, while acting in their official capacity were killed; or those who were permanently physically disabled in the line of duty while serving at the World Trade Center, Pentagon, or Shanksville, Pennsylvania site in connection with the September 11, 2001 terrorist attacks against the United States. Refer to interim rule and the 9/11 Heroes Stamp Act of 2001 Eligibility and Application for Benefits forms for specific details pertaining to program eligibility requirements.

Beneficiary Eligibility:

Only personal representatives of emergency relief personnel killed, or emergency relief personnel permanently physically disabled, while serving in the line of duty in connection with the terrorist attacks against the United States on September 11, 2001, are eligible.

Credentials/Documentation:

None.

Preapplication Coordination:

There is no preapplication form required under this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications submitted by facsimile or e-mail will not be accepted. Only eligible claimants may apply and only one application for each claimant may be submitted. An application must be submitted on the 9/11 Heroes Stamp Act of 2001 Eligibility and Application for Benefits form (FEMA Form 75-14, OMB No. 1660-0091). Refer to the interim rule and the 9/11 Heroes Stamp Act of 2001 Eligibility and Application for Benefits form for specific information about the application process and supporting documents which must accompany the application. The application may be downloaded from the following website: <http://www.usfa.fema.gov>. FEMA will review applications to determine eligibility and will furnish each applicant with a copy of the decision pertaining to their application. Application forms and supporting documents must be sent to Heroes Stamp, USFA, NETC, 16825 South Seton Avenue, Emmitsburg, MD 21727. An application is deemed filed on the date it is received by FEMA.

Award Procedure:

FEMA will not distribute funds until FEMA has made eligibility determinations on all applications. FEMA retains sole discretion as to the amount of assistance granted under the Act and will distribute funds equally, to the extent feasible, among eligible claimants until the fund has been liquidated. FEMA will not differentiate award amounts between eligible claimants who were killed, or those who were permanently physically disabled. Additional information concerning the award procedure can be obtained from the interim final rule, see 70 FR 43214, July 26, 2005.

Deadlines:

The application period will be open for 120 days from the date the notice is published in the Federal Register. For information pertaining to application deadlines contact: Heroes Stamp, USFA, NETC, 16825 South Seton Avenue, Emmitsburg, MD 21727; Telephone: (866) 887-9107, or email FEMA-HeroesStamp@dhs.gov.

Range of Approval/Disapproval Time:

Will vary with each application.

Appeals:

An applicant may appeal an adverse eligibility determination by FEMA. Facsimile or e-mail submissions will not be accepted; appeals and supporting documentation must be submitted by mail. There is a 15 day window for filing the notice of intent to appeal and a 60-day window for filing the actual appeal. Refer to the interim rule and the 9/11 Heroes Stamp Act of 2001 Eligibility and Application for Benefits form for further information. FEMA's decision concerning an award amount is final; award amounts are excluded from the appeal process and cannot be contested.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

FEMA will not distribute funds until an eligibility determination has been made on all applications. FEMA will distribute funds equally, to the extent feasible, among eligible claimants until the fund has been liquidated with no distinction in the award amount between eligible claimants who were killed or those who were permanently physically disabled. FEMA may make additional distribution to eligible claimants once all appeals have been settled.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

70-8244-0-7-453.

Obligations:

FY 07 \$0; FY 08 est not available; FY 09 est not available.

Range and Average of Financial Assistance:

Approximately \$10,000 for each eligible claimant. Final amounts to be paid can be ascertained only after the total number of eligible claims has been determined.

PROGRAM ACCOMPLISHMENTS:

New program. It is anticipated that the funds derived from the sales of Hero semipostal stamps will be awarded to the personal representatives of the emergency relief personnel killed or those permanently physically disabled in the line of duty, as a result of the September 11, 2001 terrorist attacks against the United States, at the World Trade Center, the Pentagon, or Shanksville, Pennsylvania.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 652 of Public Law 107-67, 115 Stat. 514; 42 U.S.C. 2218(b)(5), 5 U.S.C. 301, 6 U.S.C. 112(a)(3) & (b)(1), 44 CFR Part 153.

Regional or Local Office:

None.

Headquarters Office:

Hero Stamp Program, USFA, NETC, 16825 South Seton Avenue, Emmitsburg, MD 21727. Telephone: (866) 887-9107, or send email to FEMA-HeroesStamp@dhs.gov.

Web Site Address:

www.usfa.fema.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

USFA/FEMA will review the submitted applications and supporting documentation to ensure eligibility requirements have been met. Information concerning an applicant's eligibility, application process, and selection criteria, has been partially summarized in this CFDA program description. Complete, detailed, information may be found in the interim rule and the 9/11 Heroes Stamp Act of 2001 Eligibility and Application for Benefits form.

97.086 HOMELAND SECURITY OUTREACH, EDUCATION, AND TECHNICAL ASSISTANCE**FEDERAL AGENCY:**

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C. 101 et. seq.

OBJECTIVES:

To provide funding for outreach, education, and technical assistance in order to raise public awareness of homeland security issues and to work with communities to help them forge partnerships across agencies and disciplines to address preparedness and response. Outreach and technical assistance may take several forms, such as the provision of written information, person-to-person exchange, seminars, workshops or training sessions.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts); Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions.

Applicant Eligibility:

In general, States, local governments, institutions of high education, private, public, profit or nonprofit organizations, Indian Tribal governments, or individuals specified by U.S. Appropriation Statute. Specific applicant eligibility will be identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

Federal, State, and local governments, private, public, profit or nonprofit organizations, Indian tribal governments, and individuals.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance.

Preapplication Coordination:

The applicant is notified of funding availability through letter, electronic mail communication, or electronic posting on www.Grants.gov. The applicant will be advised on how to apply for the funding through the funding opportunity announcement and program guidance. The funding opportunity announcement will identify if the program is subject to Executive Order 12372, "Intergovernmental Review of Federal Programs."

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

"Earmarked" or one-time funding amounts and any match requirements are specified in the Program or Appropriation Statute, regulation or program guidance, and are identified in the funding opportunity announcement.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management

Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

FY 07 \$2,345,746; FY 08 est not available; and FY 09 est not available

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Headquarters Office: Marilyn Morgan, Director, Grants and Financial Assistance Division, Office of Procurement Operations, Office of the Chief Procurement Officer. Telephone: (202) 447-5689.

Web Site Address:

www.dhs.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.087 ALTERNATIVE HOUSING PILOT PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Emergency Supplemental Appropriations Act for Defense, the Global War on Terror, and Hurricane Recovery, Public Law 109-234.

OBJECTIVES:

Evaluate the efficacy of non-traditional short and intermediate-term housing alternatives for potential future use in a catastrophic disaster environment. Identify, develop and evaluate alternatives to and alternative forms of FEMA Disaster Housing to assist victims of the 2005 hurricanes in the Gulf Coast. Consider the feasibility of these options as part of the standard package of housing assistance that could be made available by Federal government agencies or State agencies for other disasters of various sizes, locations and impacts. Assure that pilot projects address the needs of a variety of populations, such as persons with disabilities and the elderly, historically underserved populations as well as renters, homeowners, single-family dwelling occupants and multi-family dwelling occupants.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Program restricted to the State agency designated by the governor to apply and

administer funds. The Alternative Housing Pilot Program funds will be used to fund pilot housing projects in the Gulf Coast Region. Projects funded by this pilot program, should be alternatives to and alternative forms of FEMA Disaster Housing projects.

Applicant Eligibility:

Restricted to eligible States from the Gulf Coast States affected by Hurricane Katrina (Alabama, Florida, Louisiana, Mississippi, and Texas). Refer to program guidance document for additional information.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

None.

Preapplication Coordination:

Section 102 (2) (C) of the National Environmental Policy Act of 1969, Public Law 91-190, 42 U.S.C. 4332 (2) (C), Executive Order 11514 (34 FR 4247) of March 4, 1970, 44 CFR part 9, Floodplain Management and Protection of Wetlands, 44 CFR part 10, Environmental Considerations, the National Historic Preservation Act, and Executive Order 11988 requires environmental and historic preservation impact statements to be prepared for certain projects funded under various Federal programs as a prerequisite to a grant/project award. In the event construction is anticipated as one of the project activities, an environmental and historic preservation impact statement or assessment may be required. This program may or may not be eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

At its discretion, FEMA may review a decision regarding a proposal that does not meet the Alternative Housing Pilot program's requirements only where there is an indication of material, technical, or procedural error that may have influenced FEMA's decision. Refer to program guidance for further information.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. In addition, the Department of Housing and Urban Development (HUD) will be conducting an evaluation of the alternative housing pilot projects. Applicants should respond to reporting requests from HUD and anticipate a requirement for reporting costs, production, installation, location, resident information, and community information as part of these reports.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support

the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0702-0-1-453 .

Obligations:

(Grants) FY 07 \$388,000,000; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars No. A-102, Grants and Cooperative Agreements with State and Local Governments and A-87, Cost Principles for State, Local and Indian Tribal Governments, A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations, A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Non-Profit Organization.

Regional or Local Office:

Department of Homeland Security, Federal Emergency Management Agency, Gulf Coast Recovery Office (3rd Floor), 1 Seine Court, Suite 109, New Orleans, LA 70114.

Headquarters Office:

U.S. Department of Homeland Security, 245 Murray Lane SW., Bldg 410 Washington, DC 20258.

Web Site Address:

www.fema.gov.

RELATED PROGRAMS:

97.048, Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Areas; 97.049, Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.088 DISASTER ASSISTANCE PROJECTS

(Earmarked Projects or Limited Scope Disaster Projects.

Restricted to entities designated by DHS or congressional statute.)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

Homeland Security Act, Public Law 107-296 as amended by Public Law 108-7; Robert T. Stafford Disaster Relief and Emergency Assistance Act; Public Health Bioterrorism and Public Health Emergencies Act of 2002, Public Law 107-188; various authorization and Appropriation bills that list project funding to provide assistance for earmarked or special projects.

OBJECTIVES:

This category is not for unsolicited proposals. Provides funding that has been designated by Congressionally appropriated authorization, generally for a specified project, or to provide unique or limited scope funding for certain Disaster Assistance Projects identified by congress or a DHS program office.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funding is restricted to nonfederal entities invited to apply by DHS or specified in DHS Appropriation Statute.

Applicant Eligibility:

Funds are restricted to nonfederal entities, e.g. State, local government, private, public, profit or nonprofit organization, Indian Tribal government or individual specified by DHS or U.S. Appropriation Statute.

Beneficiary Eligibility:

State, local government, private, public, profit or nonprofit organization, Indian Tribal government or individual specified by U.S. Appropriation Statute.

Credentials/Documentation:

Credentials or other documentation may be required by the U.S. Appropriation Statute or other statute by project.

Preapplication Coordination:

The applicant is notified of funding availability through letter or electronic mail communication. The applicant will be advised on how to apply for the funding through this notification. This program may be excluded from coverage under E.O. 12372.

Application Procedure:

An application (SF 424, budget, program or project narrative and assurances) or plan must be submitted that outlines the implementation of the project and expenditure of funds in response to the project identified by the U.S. Appropriation Statute.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff, concerns negotiated with the applicant and award processed.

Deadlines:

Application deadlines are specified in the notification of funds availability submitted to recipient of funds.

Range of Approval/Disapproval Time:

The range of approval time depends on the type of project to be funded.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Funding amounts and match requirements are specified in the program guidance document.

Length and Time Phasing of Assistance:

Mandated in the appropriation or identified in the application or plan submission.

Reports:

Financial and progress reports must be submitted in compliance with OMB Circular A-102 or A-110. The frequency of the reports will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133.

Records:

Financial records, supporting documentation and all other records to validate the performance of the grant or cooperative agreement must be retained for three years from the date the final financial status report is submitted to the DHS.

Account Identification:

To be determined from the program office awarding the grant or cooperative agreement.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Each "earmark" or project funding is designated by the appropriation statute or identified by the program office.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars, A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with Stat, and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane, Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Varies by project, or the objective(s) stated within the congressional funding designation. For example, earmark funding was used to assist New York City in the recovery from the 9/11/2001 Terrorist Attack.

CRITERIA FOR SELECTING PROPOSALS:

None.

97.089 REAL ID PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act, Public Law 107-296; and Real ID Act, Public Law 109-13.

OBJECTIVES:

This program will provide funding for projects on integrating hardware, software, and information management systems to implement the REAL ID Act.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Restricted to State/U.S. Territorial agencies that are responsible for issuing driver's licenses. Funds may be used in accordance with uses defined in the program guidance document/application kit. Allowable funding categories

include: planning and equipment.

Applicant Eligibility:

The issuing authority for motor vehicle licenses in each State or territory, as identified by the DHS, is the only agency eligible to apply for the Real ID Grant funds.

Beneficiary Eligibility:

State, U.S. Territory and local governments, intrastate, interstate, small business, general public, other public institution/organizations.

Credentials/Documentation:

If required, will be identified in the program guidance/solicitation.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Unsolicited applications from entities other than those listed in the "Applicant Eligibility" above, will not be accepted. Eligible applicants must apply on-line using Grants.gov. The REAL ID Grant Program application process will require: Narrative to include a description of current resource management capabilities to develop, implement, and manage the programmatic requirements associated with REAL ID funding; a proposed work plan; a budget and budget narrative addressing REAL ID project related costs and expenses. Applications submitted online must contain information and meet the requirements outlined in the Real ID solicitation and program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff, concerns negotiated with the applicant, and award processed.

Deadlines:

Application deadlines will be specified in the solicitation.

Range of Approval/Disapproval Time:

The program and administrative office will evaluate applications and award funds within 60 days of receipt of applications.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The period of performance for Real ID Grants is 12 months.

Reports:

Progress Reports are due semi-annually within 30 days after the end of the reporting periods. Reports are due: July 30 and January 30. The Final Progress Report is due 90 days after the expiration date of the award period. These reports should consist of a brief narrative discussing achievements to date, and progress towards annual goals, and any issues which may need to be addressed. Required Financial Status Reports (SF 269A) are due quarterly after the end of the reporting period. Reports are due: April 30, July 30, October 30, and January 30. The Final Financial Report is due 90 days after the expiration date of the award period.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency no later than 9 months after the end of the grantees fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of at least 3 years after the grant has been closed or until an audit has been conducted that does not show any questionable costs.

Account Identification:

70-0560-0-1-999.

Obligations:

FY 07 \$31,300,000; FY 08 est \$50,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Will be identified in the solicitation/program guidance.

PROGRAM ACCOMPLISHMENTS:

None. This is a new program and it is anticipated that recipients will demonstrate viable projects related to integrating hardware, software, and information management systems to assist with the implementation of the national standards for drivers' licenses.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local

Governments, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, FEMA, C/O 245 Murray Lane, Bldg # 410, Washington, DC 20523. The Centralized Scheduling and Information Desk (CSID) can be contacted at 1-800-368-6498 or askcsid@dhs.gov. CSID hours: 8:00 a.m. to 7:00 p.m. (EST), Monday - Friday.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Will be identified in the solicitation/program guidance.

97.090 LAW ENFORCEMENT OFFICER REIMBURSEMENT AGREEMENT PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

6 U.S.C. 203; 49 U.S.C. 106(m) and 114(m); 49 U.S.C. 114(g), 44901(g), 44903(e) and 44922(f).

OBJECTIVES:

To support deployment of local law enforcement officers at airport screening checkpoints in accordance with C.F.R. 1542.215 and 217.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Restricted to state, local, or other public institution/organizations responsible for commercial airport operations within their jurisdiction. Airports are partially reimbursed for the costs of local law enforcement officers deployed to airport passenger screening checkpoints. Refer to program guidance document or contact the administering program office for specific information.

Applicant Eligibility:

State, local, or other public institution/organizations responsible for commercial airport operations within their jurisdiction, as specified in U.S. statute or TSA program guidance document and regulations.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

The airport operator contacts the Federal Security Director (FSD) responsible for their airport to request a cooperative agreement. The FSD gathers information from the airport, and local law enforcement agencies, regarding passenger checkpoint staffing, flexible response time, checkpoint hours, number and size of checkpoints, current hourly rate of officers, existing resources, and other factors that could help determine the need for financial assistance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no matching requirements. However, reimbursement to airports for local law enforcement services is based on guidelines and factors specified in the appropriation or authorizing statute and TSA regulations. Contact the administering TSA program office for specific information.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in

Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0550-0-1-402.

Obligations:

FY 07 \$66,216,332; FY 08 est \$65,027,814; and FY 09 est 65,027,814.

Range and Average of Financial Assistance:

Varies by airport and checkpoint operation hours, geographical location, and other factors.

PROGRAM ACCOMPLISHMENTS:

More than 350 agreements have been executed with U.S. commercial airports to support deployment of local law enforcement officers to passenger screening checkpoints.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cooperative Agreement, Standard Operating Practices and Procedures, Applicable TSA Security Directives/Emergency Amendments.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Transportation Security Administration, LEO RA Program Manager, TSA-18 E-8 206S, 601 S. 12th Street, Arlington, VA 22203. Telephone: (571) 227-1532.

Web Site Address:

www.tsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.091 HOMELAND SECURITY BIOWATCH PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, Title 6, U.S.C. Section 308(b)(1)(c).

OBJECTIVES:

To provide funding and/or property for the conduct of operations and other related activities intended to identify, counter, or respond to biological and chemical threats.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts); Use of Property, Facilities, and Equipment; Sale, Exchange, or Donation of Property and Goods; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement, program guidance, and award provisions.

Applicant Eligibility:

Generally, State and local governments or as specified by U.S. Appropriation Statute. Specific applicant eligibility will be identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State and local governments.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

An application (SF-424, Budget, program or project narrative and assurances) must be submitted that outlines the implementation of the project and expenditure of funds in response to the project identified through the

announcement.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff, concerns negotiated with the applicant, and award processed.

Deadlines:

Application deadlines are specified in the announcement of funds availability.

Range of Approval/Disapproval Time:

The range of approval depends on the type of project to be funded.

Appeals:

None.

Renewals:

Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Match requirements, if any, are specified in the Program or Appropriation Statute, regulation or program guidance, and are identified in the announcement.

Length and Time Phasing of Assistance:

Specified in the funding opportunity announcement.

Reports:

Financial and progress reports must be submitted in compliance with OMB Circular A-102 or A-110. The frequency of the reports will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documentation and all other records to validate the performance of the grant or cooperative agreement must be retained for 3 years from the date the final financial status report is submitted to the DHS.

Account Identification:

70-0800-0-1-999.

Obligations:

FY 07 \$14,819,275; FY 08 est \$21,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Specified in the announcement.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

CDR Dan Yereb, Early Detection Division, Office of Health Affairs Department of Homeland Security, Telephone: (202) 254-6712.

Web Site Address:

www.dhs.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Earmark or as identified in the funding opportunity announcement or program guidance.

97.092 REPETITIVE FLOOD CLAIMS

(RFC)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

National Flood Insurance Act of 1968, as amended. Bunning - Bereuter - Blumenauer Flood Insurance Reform Act of 2004, Title I, Section 104, Public Law 108-264.

OBJECTIVES:

To assist States, Indian tribal governments, and communities to reduce or eliminate the long-term risk of flood damage to structures insured under the National Flood Insurance Program (NFIP) that have had one or more claims for flood damages through mitigation activities that are in the best interest of the

National Flood Insurance Fund (NFIF).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

States, Indian tribal governments, and communities may apply for RFC project grants for activities that reduce or eliminate the long - term risk of flood damage to structures, insured under the National Flood Insurance Program (NFIP), that have had one or more claims for flood damages. This may include the acquisition of insured structures for the purpose of converting flood-prone land back to open space use; elevation of existing structures; dry floodproofing; and minor localized flood reduction projects.

Applicant Eligibility:

State emergency management agencies or a similar office (i.e., the office that has primary emergency management or floodplain management responsibility) of the State; the District of Columbia; the U.S. Virgin Islands; the Commonwealth of Puerto Rico; American Samoa; the Commonwealth of the Northern Mariana Islands; and Federally - recognized Indian tribal governments. Specific information on sub-applicant eligibility, and application procedures for Federally-recognized Indian tribal governments, is provided on the SRL program guidance.

Beneficiary Eligibility:

States, communities, and land/property owners.

Credentials/Documentation:

Grant awards will be determined in accordance with OMB Circulars No. A-102 and No. A-87 for State and local governments. Awards made to Institutions of Higher Education, Hospitals and Other Non-Profit Organizations will be required to follow the requirements of OMB Circulars No. A-110 and No. A-21.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-4236-0-3-453.

Obligations:

FY 07 \$6,807,540; FY 08 \$10,000,000 and FY 09 est \$10,000,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

In the prior fiscal years the program has funded more than \$19 million in mitigation projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of addresses of FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security 245 Murray Lane Building #410, Washington, DC 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.029, Flood Mitigation Assistance; 97.017, Pre-Disaster Mitigation (PDM) Competitive Grants; 97.039, Hazard Mitigation Grant.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.093 FIRE SERVICE HAZARDOUS MATERIALS PREPAREDNESS AND RESPONSE

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; U.S.A. Patriot Act of 2001, Public Law 107-56; Federal Emergency Management Agency, Executive Order 12127; The Federal Fire Prevention and Control Act of 1974, as amended, and various appropriation bills.

OBJECTIVES:

Provide information to the fire and emergency services community, emergency managers, and other local government officials concerning issues related to the planning, mitigation, prevention, and response to hazardous materials incidents which includes acts of terrorism.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Restricted to recipient designated by Congressional Statute or DHS, and limited in scope to the project description for the purpose of information sharing related to Hazardous Materials and acts of terrorism. Refer to project description or administering program office for specific information. Unsolicited applications for this program will not be accepted.

Applicant Eligibility:

Designated Private nonprofit institution/organization.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Requests for grant extensions must be adequately justified, and can only be authorized in writing by the DHS/U.S. Fire Administration Procurement Officer with the concurrence of the U.S. Fire Administration program office.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports.

Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

FY 07 \$50,000; FY 08 est \$50,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

A clearinghouse of information is maintained by the designated non-profit organization regarding hazardous materials (HAZMAT)/terrorism preparedness and response issues. This information is provided to local first responders to support and assist in planning, training, exercises, and sustaining emergency response capabilities. A Homeland Protection & Security Weekly publication, detailing HAZMAT and Terrorism-related incidents and information is distributed to fire/emergency services, local officials, Federal and state agencies, and the public. Support is also provided to defray participant's attendance costs to Hazardous Materials Conferences.

REGULATIONS, GUIDELINES, AND LITERATURE:

31 CFR 205: Rules and Procedures for Funds Transfers; OMB Circular A-102: Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments; OMB Circular A-87: Cost Principles for State and Local Governments; OMB Circular A-110: Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations; OMB Circular A-21: Cost Principles for Educational Institutions; OMB Circular A-122: Cost Principles for Nonprofit Organizations.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Homeland Security, 245 Murray Drive, SW., Bldg. #410
Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.094 PREVENTION ADVOCACY RESOURCES AND DATA EXCHANGE PROGRAM

(PARADE)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; U.S.A. Patriot Act of 2001, Public Law 107-56; Federal Emergency Management Agency, Executive Order 12127; the Federal Fire Prevention and Control Act of 1974, Public Law 93-498, Section 21 (a)(b).

OBJECTIVES:

To assist State and local fire marshals to implement prevention programs and conduct other networking activities with their counterparts. Provide financial assistance to the Prevention Advocacy Resources and Data Exchange (PARADE) regional networks to support exchange and sharing of fire

prevention/protection related materials, risk analysis, hazard reduction, best practices, trend analysis, and related information.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Restricted to the 10 PARADE Regions to support the exchange and sharing of fire prevention/protection materials and information. Refer to program guidance document or administering program office for specific information.

Applicant Eligibility:

A State or metropolitan fire department fire marshal or appointed International Fire Marshal's Association (IFMA) representative in each of the regions served by the program.

Beneficiary Eligibility:

State and local; general public.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Requests for extension to the grant award must be supported by adequate justification, and can only be authorized in writing by the DHS/U.S. Fire Administration Procurement Officer with the concurrence of the U.S. Fire Administration Program Office.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

FY 07 \$27,000; FY 08 est \$30,000; and FY 09 est \$30,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Anticipate 10 grants will be issued.

REGULATIONS, GUIDELINES, AND LITERATURE:

31 CFR 205: Rules and Procedures for Funds Transfers; OMB Circular A-102: Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments; OMB Circular A-87: Cost Principles for State and Local Governments; OMB Circular A-110: Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education,

Hospitals, and Other Nonprofit Organizations; OMB Circular A-21: Cost Principles for Educational Institutions; OMB Circular A-122: Cost Principles for Nonprofit Organizations.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Homeland Security 245 Murray Drive SW, Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals. Only a single proposal from each of the 10 regions will be accepted for review.

97.095 SAFE KIDS WORLDWIDE

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; U.S.A. Patriot Act of 2001, Public Law 107-56; Federal Emergency Management Agency, Executive Order 12127; The Federal Fire Prevention and Control Act of 1974, as amended, and various appropriation bills.

OBJECTIVES:

Provide financial assistance to reduce fire deaths and injuries among children under the age 14 by educating them in fire safety awareness, fire safety techniques, and providing fire safety equipment.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are used to support local coalitions and their high-risk communities and to develop fire and burn education and prevention materials targeting high-risk populations.

Applicant Eligibility:

Qualified nonprofit organization designated by DHS, statutes, and regulations. Unsolicited applications will not be accepted.

Beneficiary Eligibility:

Individual/family; minority group.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Requests for modifications to the grant award can only be authorized in writing by the DHS Assistance Officer with the concurrence of the administering program office. Requests for modifications must be supported by adequate justification.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year.

Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

FY 07 \$200,000; FY 08 est \$200,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Annual leadership conference is held for participating coalitions to showcase/demonstrate skill building tools relating to the fire prevention/awareness program. Web site, media guides, and related fire safety materials are continuously refined and updated. Through partnership with local coalitions and fire departments, smoke alarms are provided to high-risk populations.

REGULATIONS, GUIDELINES, AND LITERATURE:

31 CFR 205: Rules and Procedures for Funds Transfers; OMB Circular A-102: Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments; OMB Circular A-87: Cost Principles for State and Local Governments; OMB Circular A-110: Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations; OMB Circular A-21: Cost Principles for Educational Institutions; OMB Circular A-122: Cost Principles for Nonprofit Organizations.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Homeland Security, 245 Murray Drive, SW., Bldg. #410 Washington, DC 20528.

Web Site Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.096 COMMERCIAL EQUIPMENT DIRECT ASSISTANCE PROGRAM (CEDAP)

(CEDAP)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

Public Law 108-334, Department of Homeland Security Appropriations Act, 2005.

OBJECTIVES:

To enhance regional response capabilities, mutual aid, and interoperable communications by providing technology and equipment, together with training and technical assistance required to operate that equipment, to public safety agencies in smaller jurisdictions and certain metropolitan areas to prevent, deter, and respond to terrorist attacks as identified in State homeland security strategies.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

Restricted to public and non-profit emergency response agencies in State, Local, tribal, and U.S. Territory possessions in smaller rural communities and jurisdictions that have not received technology funding through the Urban Area Security Initiative (UASI), or Assistance to Firefighter Grants (AFG) after October 1, 2005. Awardees that have received grant assistance from FEMA under FEMA's Interoperable Communications Equipment (ICE) program are not eligible for interoperable communications equipment under CEDAP.

Applicant Eligibility:

Law enforcement agencies, fire, and other emergency responders who demonstrate the equipment will be used to improve their ability and capacity to

respond to a major critical incident or work with other first responders. Awardees must not have received technology funding under the Urban Areas Security Initiative, or the Assistance to Firefighters Grants program since October 1, 2005. Awardees that have received grant assistance from FEMA under FEMA's Interoperable Communications Equipment (ICE) program are not eligible for interoperable communications equipment under CEDAP.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-754.

Obligations:

FY 07 \$38,650,960; FY 08 est. \$40,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Since its inception in FY 05, and including the FY07 awards, the Department of Homeland Security has awarded over \$104 million in equipment and training to law enforcement and fire departments through CEDAP. The program is highly competitive. In FY 07, over 3,700 organizations requested roughly \$58 million. Of those requests, only 1,853 awards were made to allocation the \$34.3 million of the available funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines please refer to the program guidance and the CEDAP program at www.rkb.mipt.org.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane, Bldg. #410, Washington, DC 20528.

Web Site Address:

www.rkb.mipt.org.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.097 TRAINING RESOURCE AND DATA EXCHANGE (TRADE)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; U.S.A. Patriot Act of 2001, Public Law 107-56; Federal Emergency Management Agency, Executive Order 12127, 44FR 19367, 3 CFR, 1979 Comp. P. 376; The Federal Fire Prevention and Control Act of 1974, Public Law 93-498, Section 21 (a and b).

OBJECTIVES:

Support the national exchange and sharing of fire/emergency service training related materials and information.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds are to be used within the TRADE regions to support the exchange and sharing of training related materials and information. Refer to program guidance document or administering program office for specific information.

Applicant Eligibility:

Specialized group; TRADE network participants consisting of the directors of the 50 State fire service training systems and senior executive training officers from the Nation's largest fire departments.

Beneficiary Eligibility:

State and local; general public.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372 and OMB Circular A-102.

Application Procedure:

Application packets provided to specialized group contacts must meet OMB Circular A-110: (Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher, Educations, Hospitals and Other Non-Profit Organization) and OMB Circular A-122: (Cost Principles for Non-Profit Organizations), and Standard Forms 424: (Application for Federal Assistance), and 424A: (Budget Information: Non-Construction Programs), and 424B: (Assurances Non-Construction). In addition, the following forms must be completed; SF119A: (Direct deposit sign-up form); and SF 269A: (Financial Status Report); and Standard Form 270: (Request for Advance or Reimbursement); and Standard Form 272: (Federal Cash Transactions Report); and FEMA form 20-16A: (Assurances for Non-Constructions Program); FEMA form 20-16C: (Certifications regarding Lobbying, Debarment, Suspension and Other Responsibility Matters).

Award Procedure:

One grant will be issued to each of the TRADE Regions.

Deadlines:

Refer to program guidance.

Range of Approval/Disapproval Time:

Refer to program guidance.

Appeals:

None.

Renewals:

Not Applicable. Requests for extensions to the grant award must be supported by adequate justification, and can only be authorized in writing by the DHS/U.S. Fire Administration Procurement Officer with the concurrence of the U.S. Fire Administration program office.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

12 months from the date of award.

Reports:

Recipient organizations must provide semi-annual reports to the Project Officer on all activities associated with this grant.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have an audit made for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards is exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

70-0564-0-1-800.

Obligations:

(Grants) FY 07 \$93,000; FY 08 est \$93,000; and FY 09 est \$93,000.

Range and Average of Financial Assistance:

\$5,340 to \$17,255 per Region.

PROGRAM ACCOMPLISHMENTS:

Various regional training classes and seminars have been sponsored for fire/emergency service personnel within each of the TRADE regions. This program supports a national conference as well as development of regional training catalogues and materials, and non-copyrighted fire-service-related information sharing activities which includes the virtual TRADing Post and TRADENET system.

REGULATIONS, GUIDELINES, AND LITERATURE:

31 CFR 205: Rules and Procedures for Fund Transfers; OMB Circular A-102: Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments; OMB Circular A-87: Cost Principles for State and Local Governments; OMB Circular A-110: Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations; OMC Circular A-21: Cost Principles for Educational Institutions; OMB Circular A-122: Cost Principles for Nonprofit Organizations.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Homeland Security; 245 Murray Drive, SW., Bldg. #410, Washington, DC 20528.

Web Site Address:

<http://www.usfa.fema.gov/training/nfa/trade/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Identified in program guidance.

97.098 DISASTER DONATIONS MANAGEMENT PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

The Homeland Security Act of 2002, 6 U.S.C. 111 et seq., as amended; Federal Grant and Cooperative Act, as amended, 41 U.S.C. 501 et seq.; Federal Program Information Act, 31 U.S.C. 6101-6104; and Federal Financial Assistance Management Improvement Act of 1999, Public Law 106-107, 113 Stat. 1486, see 31 U.S.C. 6101.

OBJECTIVES:

To distribute technology solutions to State and local government and voluntary agencies throughout the country prior, to a major event, through the Aidmatrix Foundation/FEMA partnership. This will allow end-users to incorporate technology solutions into their planning, increasing their capacity to respond quickly and effectively once a disaster occurs.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The program will enable government and voluntary agencies to create visibility and establish logistical tracking resources/source locations for donated items, services, and volunteers; and facilitate a match between those resources and needed assistance. Program is restricted to government and voluntary/nonprofit agencies described in the announcement/program guidance document. Refer to announcement or program guidance for further information.

Applicant Eligibility:

Refer to announcement or program guidance for further information.

Beneficiary Eligibility:

State and local governments and Public Nonprofit Institution/Organization, Quasi-public Nonprofit Organizations.

Credentials/Documentation:

None.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Unsolicited applications will not be accepted. Refer to announcement and/or program guidance for further information.

Award Procedure:

Application is reviewed by FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or program guidance document.

Range of Approval/Disapproval Time:

Refer to announcement or program guidance document.

Appeals:

None.

Renewals:

Refer to announcement or program guidance document.

Formula and Matching Requirements:

This grant has no match requirement.

Length and Time Phasing of Assistance:

Grants are awarded for the total dollar amount, but the release of the funds is on an as needed basis. The initial performance period shall not exceed 12 months. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Refer to program guidance for further information.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 30, April 30, July 30, and Oct. 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records include financial and programmatic records, supporting documents, statistical records, and other records of recipient which are required to be maintained by 44 CFR Part 13.42, program regulations or the grant agreement. Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

70-0700-0-1-453.

Obligations:

(GRANTS), FY 07 \$950,000; FY 08 \$375,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, 245 Murray Drive, SW., Bldg # 410 Washington, DC 20528.

Web Site Address:

<http://fema.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to program guidance for additional information.

97.099 RESIDENTIAL FIRE SAFETY & FIRE SPRINKLER INITIATIVES

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; U.S.A. Patriot Act of 2001, Public Law 107-56; Federal Emergency Management Agency, Executive Order 12127; Federal Fire Prevention and Control Act of 1974, as amended, and various appropriation bills.

OBJECTIVES:

To support residential fire safety initiatives such as: promoting public awareness of fire protection systems e.g., smoke alarms, and automatic sprinklers; supporting consumer awareness of residential fire sprinkler systems through provision of educational materials, public service announcement (PSA) kits, and newsletters/media releases to the public, home building, and fire protection industry.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funding is restricted to nonfederal entities invited to apply by DHS or specified in DHS Appropriation Statute. Refer to program guidance or announcement for

further information.

Applicant Eligibility:

Unsolicited applications will not be accepted. Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

General public.

Credentials Documentation:

Not applicable.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Standard Forms 424 (Application for Federal Assistance) and 424A (Budget Information: Non-Construction Programs) must be completed and returned by the recipient. In addition, the following forms must be completed: SF1199A (Direct Deposit Sign-up Form); FEMA Form 20-16 (Summary Sheet for Assurances and Certifications); FEMA Form 20-16A and 16A (Back) (Assurances for Non-Construction Program); FEMA Form 20-16C (Certifications Regarding Lobbying, Debarment, Suspension and Other Responsibility Matters); and Drug-Free Workplace Requirements, and SFLLL (Disclosure of Lobbying Activities).

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

None.

Renewals:

Requests for modifications to the grant award can only be authorized in writing by the DHS Assistance Officer with the concurrence of the administering program office. Requests for modifications must be supported by adequate justification.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than nine months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of three years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the three-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

FY 07 \$130,000; FY 08 \$130,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Information pertaining to residential fire sprinkler systems has been developed and showcased at various venues and homebuilding/contracting industry association events. Newsletters, web site development, and outreach material for national, regional, and local homebuilder/fire protection industry associations, and fire services have been developed.

REGULATIONS, GUIDELINES, AND LITERATURE:

31 CFR 205: Rules and Procedures for Funds Transfers; OMB Circular A-102: Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments; OMB Circular A-87: Cost Principles for State and Local Governments; OMB Circular A-110: Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations; OMB Circular A-21: Cost Principles for Educational Institutions; OMB Circular A-122: Cost Principles for Nonprofit Organizations.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Homeland Security 245 Murray Drive, SW., Bldg #410, Washington, DC, 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Identified in the program guidance.

97.100 AIRPORT CHECKED BAGGAGE SCREENING PROGRAM

(Airport LOI Program)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

Consolidated Appropriations Resolution of 2003, Section 367, Department of Transportation and Related Agencies Appropriations Act of 2003; Public Law 108-7, Title III, Division I, 117 Stat. 423.

OBJECTIVES:

To support U.S. commercial airports in implementing and maintaining security compliance requirements and capabilities related to in-line Baggage Handling System electronic explosive detection screening of checked baggage, as mandated by the Aviation and Transportation Security Act of 2001 (Public Law 107-71).

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Restricted to airport security improvements involving replacement or reconfiguration of baggage conveyor systems or the reconfiguration of terminal areas, to install explosive detection baggage handling systems in order to screen commercial airline passengers luggage.

Applicant Eligibility:

Specified in U.S. Appropriation Statute or program guidance document; state, local, or other public entities/agencies responsible for commercial airport operations within their jurisdiction.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Documentation includes providing the scope of work, a concept of operations, drawings, estimated costs and estimated schedule. The airport improvement project proposed by the airport must involve the replacement of baggage conveyor systems or reconfiguration of terminal areas in order to install explosive detection systems. TSA and airport applicant, review a conceptual plan that includes the scope of work for facility modification, the type and number of required security screening technology units, the concept of operations, the estimated cost, and the estimated schedule.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff, concerns negotiated with the applicant, and award processed. Awards usually take the form of Memorandum of Agreement (MOA). Congress is required to be notified 3 days prior to the issuance of an award under this program.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has an airline passenger boarding-based cost sharing or match

requirement, as specified in the Appropriation Statute. Refer to program guidance for specific cost sharing/match information.

Length and Time Phasing of Assistance:

Refer to program guidance Negotiated by all parties and outlined in the Memorandum of Agreement Appropriations are made annually with no guarantee of future funding Awards may be subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

The following reports are required: Design drawings; all contracts the airport proposes to execute for work that exceed \$10 million; detailed cost estimates to complete the project; monthly progress reports, including information concerning incurred costs and progress against the schedule; monthly progress reports.

Audits:

In addition to the provisions of OMB circular A-133, the Memorandum of Agreement (MOA) executed between TSA and the recipient, states that the TSA Contracting Officer is authorized to audit all cost records incurred or anticipated to be incurred in the performance of the LOI project. In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0550-0-1-402.

Obligations:

FY 07 \$413,692,000; FY 08 est \$390,108,000; FY 09 est \$757,138,000.

Range and Average of Financial Assistance:

Will vary by project or airport; specified in the announcement.

PROGRAM ACCOMPLISHMENTS:

New program; a number of improvement projects have occurred at U. S. commercial airports to expand or enhance screening of airline passengers' luggage. Improvement projects entail replacement of baggage conveyor systems or remodeling/reconfiguration of terminal areas to facilitate installation of equipment to screen baggage carried on commercial airlines.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Homeland Security, Transportation Security Administration, Security Technology Division, C/O Bldg. #410, 245 Murray Lane SW, Washington, DC 20531. Telephone: 571-227-1035. E-mail address not available.

Web Site Address:

Not applicable.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Identified in the program guidance.

97.103 DEGREES AT A DISTANCE PROGRAM

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; U.S.A. Patriot Act of 2001, Public Law 107-56; Federal Emergency Management Agency, Executive Order 12127; The Federal Fire Prevention and Control Act of 1974, as amended, and various appropriation bills.

OBJECTIVES:

To support curriculum development, regional meeting support, program marketing, course material printing and publications and initiation of institutional agreements with local two-year college degree programs and

colleges/universities, designated by the National Fire Academy, to participate in the Degrees at a Distance Program (DDP). To provide an alternative means for fire service personnel to earn a bachelor's degree or to pursue college-level learning in a fire related course concentration without the requirement of having to attend on-campus classes.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Restricted to colleges/universities designated by the National Fire Academy, to support curriculum development, regional meeting support, program marketing, course material printing and publications; and initiation of institutional agreements with local two-year colleges.

Applicant Eligibility:

Only colleges/universities designated by U. S. Fire Administration (USFA) are eligible, unsolicited applications will not be accepted Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State, local and the general public.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372 and OMB Circular A-102.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Requests for extensions must be supported by adequate justification, and can only be authorized in writing by the DHS/U.S. Fire Administration Procurement Officer with the concurrence of the administering program office.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30th. Final financial and performance reports are due 90 days after the Expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than nine months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of three Years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the three-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

FY 07 \$60,000; FY 08 \$70,000; and FY 09 est \$70,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

The Degrees at a Distance Program continues to enroll new students and produce

new graduates with bachelor's degrees in fire administration and fire prevention technology. The 13-course upper-level independent study curriculum was converted from a printed format to a web-based format so that future courses will be available via the internet.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane SW, Bldg# 410, Washington DC 20528.

Web Site Address:

www.usfa.dhs.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.104 HOMELAND SECURITY-RELATED SCIENCE, TECHNOLOGY, ENGINEERING AND MATHEMATICS (HS STEM) CAREER DEVELOPMENT PROGRAM

(HS-STEM Career Development Program)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

6 U.S.C. 188(b)(1) and 6 U.S.C. 182(10)

OBJECTIVES:

To provide funding for the establishment of scientific career development program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Support is provided to colleges and universities who, in turn, provide scholarships and fellowships. Refer to the program guidance document for additional information.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

Other Public Institution/Organizations; Other Private Institution/Organizations; (Colleges and Universities).

Credentials/Documentation:

Refer to program guidance.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Contingent upon future Congressional funding. Renewals or extensions to awards are at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

No matching requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit annual financial and performance reports. Annual Progress Reports must include the progress of each sub-grant award. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal

year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

FY 07 \$4,903,309; FY 08 est. \$3,500,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Non-Profit Organization., A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Grants and Financial Assistance Division, Office of Procurement Operations, Office of the Chief Procurement Officer, 245 Murray Lane, SW., Building 410, Washington, DC 20523; Telephone: (202) 447-5589.

Web Site Address:

www.dhs.gov.

RELATED PROGRAMS:

97.062, Scholars and Fellows, and Educational Programs.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.105 FIREFIGHTER/EMERGENCY MEDICAL SERVICES (EMS) OUTREACH, TECHNOLOGY TRANSFER, INFORMATION DISSEMINATION, AND TECHNICAL ASSISTANCE

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY (DHS)

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; U.S.A. Patriot Act of 2001, Public Law 107-56; Federal Emergency Management Agency, Executive Order 12127; The Federal Fire Prevention and Control Act of 1974, as amended, and various appropriation bills.

OBJECTIVES:

To support firefighter/emergency medical service (EMS) health and safety issues, technology transfer, technical assistance, and information dissemination/outreach efforts.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Support educational outreach, technology transfer, information dissemination, and technical assistance to the fire service/EMS concerning emergency/first responder issues including communications operability and interoperability, and to enhance operational safety.

Applicant Eligibility:

Unsolicited applications will not be accepted. Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

Specialized group; General public.

Credentials/Documentation:

None.

Preapplication Coordination:

This Program is excluded coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance For further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Requests for modifications to the grant award can only be authorized in writing by the DHS Assistance Officer with the concurrence of the administering program office. Requests for modifications must be supported by adequate justification.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133(Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3 year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

FY 07 \$125,000; FY 08 \$150,000; and FY 09 \$ est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Rules and Procedures for Funds Transfers, 31 CFR 205; OMB Circular A-102: Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments; OMB Circular A-87: Cost Principles for State and Local Governments; OMB Circular A-110: Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations; OMB Circular A-21: Cost Principles for Educational Institutions; OMB Circular A-122: Cost Principles for Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, 245 Murray Drive, SW., Building #410, Washington, DC 20528.

Web Site Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.106 SECURING THE CITIES

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; as amended by the SAFE Port Act, Title V, Section 501(a), Public Law 109-347.

OBJECTIVES:

The overall goal of the Securing the Cities (STC) initiative is to prevent a Radiological/Nuclear (RN) attack on high risk urban areas by enhancing regional capabilities to detect, identify, and interdict illicit radioactive materials in and around urban areas. One aspect of addressing this goal is providing assistance to State and local jurisdictions in and around major urban areas that will enable these entities to build capabilities by: Leveraging current technologies and deploying them regionally in a coordinated manner; Designing, acquiring, and deploying the components of an operationally viable regional architecture for radiological/nuclear detection, focused on State and local jurisdictions; Developing and implementing a common, multi-agency Concept of Operations (CONOPS) for sharing sensor data and resolving hits within the sensor architecture; Training and exercising by the regional agencies to execute this CONOPS to a high level of proficiency.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts); Use of Property, Facilities, and Equipment; Sale, Exchange, or Donation of Property and Goods; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Financial and non-financial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions, if any, will be identified in the announcement, program guidance and award provisions.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance, or as specified by U.S. Appropriation Statute.

Beneficiary Eligibility:

Federal, State, and local governments, Interstate or intrastate governmental organizations, private, or public organizations, nonprofit organizations, and Indian tribal governments

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Match requirements, if any, are specified in the Program or Appropriation Statute, regulation or program guidance, and are identified in the announcement.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the reporting period. Final financial and performance reports are due 90 days after the expiration or termination of grant award

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year.

Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0860-0-1-999.

Obligations:

FY 07 \$3,250,000; FY 08 est \$30,000,000; and FY 09 est \$20,000,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

2007 one award for a pilot implementation of a regional preventive radiological and nuclear detection architecture was funded and ongoing in the NYC metropolitan area; anticipate one award in 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, 44 CFR 13. OMB Circular A-87, Cost Principles for State, Local, and Tribal Governments, Relocated to 2 CFR Part 225; OMB Circular A-110, relocated to 2 CFR Part 215. Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. OMB Circular A-122, relocated to 2 CFR Part 230. Cost Principles for NonProfit Organizations. OMB Circular A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Domestic Nuclear Detection Office, Attn: Assessments Matthew Barton, Mail Stop 7100, 245 Murray Lane, Building 410, Washington, DC 20528, Telephone: (202) 254-7412, E-mail: matthew.barton@dhs.gov.

Web Site Address:

www.dhs.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Pilot implementation of a regional preventive radiological and nuclear detection architecture is funded and ongoing in the NYC metropolitan area.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.107 NATIONAL INCIDENT MANAGEMENT SYSTEM (NIMS)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; Department of Homeland Security Appropriations Act of 2007, Public Law 109-295; Homeland Security Presidential Directive HSPD-5.

OBJECTIVES:

To support the development, integration, and deployment of incident management systems. This includes operational, technical and programmatic standards in support of nationwide implementation of the NIMS model; establish a unified approach to incident management, as well as, standard command and management structures.

TYPES OF ASSISTANCE:

Project Grants; Cooperative Agreements.

USES AND USE RESTRICTIONS:

Funding will support implementation of the National Incident Management System (NIMS) which includes incident management standards, related projects, and program support.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State, local, Public Nonprofit Institution/Organization, Federal Recognized Indian Tribal Government, U.S. Territory/Possession, Private Organization, Other Public Institution/Organization.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

This program is excluded coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed and approved for award by DHS program and administrative staff in accordance with program guidance.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not applicable.

Renewals:

Annual.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Refer to program guidance.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 31, April 30, July 31, and Oct. 31. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0700-0-1-999.

Obligations:

FY 07 \$11,636,000; FY 08 \$12,648,000; and FY 09 est \$10,000,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Funding has been provided to State, local emergency management entities, private sector, educational institutions and non-governmental organization in support for the nationwide implementation of the National Incident Management System.

REGULATIONS, GUIDELINES, AND LITERATURE:

See program guidance.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency, C/O 245 Murray Lane, Bldg # 410, Washington DC 20523. Telephone: 1-800-621-FEMA. E-mail: FEMA-NIMS@dhs.gov.

Web Site Address:

http://www.fema.gov/emergency/nims/index.shtm.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

As specified within the cooperative agreements. Please see specific cooperative agreements for further guidance.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance.

97.108 HOMELAND SECURITY, RESEARCH, TESTING, EVALUATION, AND DEMONSTRATION OF TECHNOLOGIES

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, Title 6, U.S.C. Section 308(b)(1)(c). Section 1901 of Public Law 110-53, "Implementing Recommendation of the 9/11 Commission Act of 2007."

OBJECTIVES:

To provide funding and/or property for the purpose of conducting research, testing, evaluation, and demonstration of homeland security technologies intended to identify, counter, or respond to terrorist threats.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts); Use of Property, Facilities, and Equipment; Sale, Exchange, or Donation of Property and Goods; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions.

Applicant Eligibility:

States, local governments, private, public, profit or nonprofit organizations, Indian Tribal governments, or individuals specified by U.S. Appropriation Statute, including U. S. and international institutions of higher education and educational laboratories.

Beneficiary Eligibility:

Federal, State, and local governments, private, public, profit or nonprofit organizations, Indian tribal governments, and individuals.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

"Earmarked" or one-time funding amounts and any match requirements are specified in the Program or Appropriation Statute, regulation or program guidance, and are identified in the announcement.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than nine months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of three years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-

recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

FY 07 \$592,598; FY 08 est \$1,200,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos. A-21, Cost Principles for Educational Institutions, A-87, Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements With Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Grants and Financial Assistance Division, Office of Procurement Operations, Telephone: (202) 447-5589.

Web Site Address:

www.dhs.gov .

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.109 DISASTER HOUSING ASSISTANCE GRANT

FEDERAL AGENCY:

EMERGENCY PREPAREDNESS AND RESPONSE, FEDERAL EMERGENCY MANAGEMENT AGENCY, DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Section 102(b)(2), Homeland Security Act, 6 U.S.C 112; Sections 408(b)(1), 426 and 306 (a), Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act), 42 U.S.C. 5174(B)(1), 42 U.S.C 5189d, and 42 U.S.C. 5149 (a); Economy Act, 31 U.S.C. 1535.

OBJECTIVES:

To provide temporary housing assistance and case management for families who were displaced by Hurricanes Katrina and Rita. DHAP would be implemented in the form of a rental assistance program that would continue providing housing subsidies for families for the next 18 months after termination of Federal Emergency Management Agency's (FEMA) initial temporary housing program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Public Housing Agencies (PHAs) will use the assistance to provide eligible families who were displaced by Hurricanes Katrina or Rita with monthly rent subsidies. Families are either receiving or are eligible to receive rental assistance administered by FEMA. PHAs will administer the DHAP to families that have been determined eligible by FEMA through HUD's web-based Disaster Information System. The assistance must be used by PHAs to provide rental assistance to families determined eligible by FEMA. In addition to rental assistance, grant funds shall be used to provide case management services to eligible families and to pay for costs associated with PHA administration of the program.

Applicant Eligibility:

Individuals and families determined eligible for FEMA housing assistance. Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

Individuals and families.

Credentials/Documentation:

All Public Housing Agencies with jurisdiction over areas where eligible families reside that administer the Housing Choice Voucher Program are invited to apply provided they have the administrative capacity to assume responsibility for the DHAP.

Preapplication Coordination:

This program is excluded coverage under E.O. 12372.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Both quarterly reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0702-0-1-453.

Obligations:

(Project Grants) FY 07 \$204,000,000; FY 08 est \$365,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fair Housing Act, Title VI of the Civil Rights Act of 1964; Section 504 of the Rehabilitation Act of 1973; Title II of the Americans with Disabilities Act; 24 CFR 982.352; 982.401; 982.555; PIH Notice 2007-26. Full text may be obtained at the following website:
<http://www.hud.gov/offices/pih/publications/hurricane.cfm>.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, 245 Murray Lane, Building #410, Washington, DC 20528.

Web Site Address:

www.fema.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.110 SEVERE LOSS REPETITIVE PROGRAM

(SRL)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

National Flood Insurance Act of 1968, as amended by the Bunning-Bereuter-Blumenauer Flood Insurance Reform Act of 2004, Public Law 108-264.

OBJECTIVES:

To assist States and local governments in supporting actions that reduce or eliminate the long-term risk of flood damage to residential properties insured under the National Flood Insurance Program (NFIP) that meet the definition of

severe repetitive loss property, and to reduce losses to the National Flood Insurance Fund (NFIF) by funding projects that result in the greatest savings to the NFIF in the shortest time period.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

States, Territories, local and Federally - recognized Indian tribal governments may apply for SRL funding to reduce or eliminate the long-term risk of flood damage to residential properties insured under the NFIP that meet severe repetitive loss property eligibility criteria. Eligible activities under this program include: acquisition and relocation of at-risk structures and the conversion of property to open space; elevation of existing structures to the Base Flood Elevation (BFE) or an ABFE Advisory Base Flood Elevation (ABFE) or higher (for the SRL program Mitigation Reconstruction is only permitted when traditional elevation cannot be implemented); minor physical localized flood control projects; and dry-flood proofing (historic properties only).

Applicant Eligibility:

State emergency management agencies or a similar office (i.e., the office that has primary emergency management or floodplain management responsibility) of the State; District of Columbia; U.S. Virgin Islands; the Commonwealth of Puerto Rico; American Samoa; Commonwealth of the Northern Mariana Islands; Guam; and Federally-recognized Indian tribal governments. Specific information on sub-applicant eligibility, and application procedures for Federally-recognized Indian tribal governments, is provided in the SRL program guidance.

Beneficiary Eligibility:

States, Territories, local governments, Federally-recognized Indian tribal governments, and homeowners.

Credentials/Documentation:

Federally-recognized Indian tribal governments and local governments that are sub-applicants must be participating in the NFIP. Properties included in project sub-applications must meet the SRL property definition. SRL properties are residential properties that are covered under an NFIP flood insurance policy and: (a) that have at least 4 NFIP claim payments (including building and contents) over \$5,000 each, and the cumulative amount of such claims payments exceeds \$20,000; or (b) for which at least two separate claims payments (building payments only) have been made with the cumulative amount of the building portion of such claims exceeding the market value of the building. For both scenarios (a) and (b), at least two of the referenced claims must have occurred within any ten-year period, and must be greater than 10 days apart.

Preapplication Coordination:

DHS FEMA will identify the location of severe repetitive loss properties and furnish that information to the relevant States, Territories, local governments, and Federally-recognized Indian tribal governments. FEMA will also notify owners of severe repetitive loss properties that may be eligible to receive SRL or other FEMA mitigation assistance; that their NFIP insurance premium rates may increase upon declining offers of SRL Program mitigation assistance only (such insurance consequences do not apply to other FEMA mitigation assistance programs); and, that they have the right to appeal such premium rate increases. Further, States, Territories, local governments, and Federally-recognized Indian tribal governments will, to the best extent practicable, consult with SRL property owners to select the most appropriate mitigation activity, prior to submitting SRL grant applications. To be eligible for a SRL grant, Applicants must have a FEMA approved State Mitigation Plan (Standard or Enhanced) or Tribal Mitigation Plan in compliance with 44 CFR Part 201 by the application deadline. In addition, Sub-applicants must have a FEMA approved local mitigation plan in accordance with 44 CFR Part 201, by the application deadline that, at a minimum, addresses flood hazards to be eligible to receive project grant funding under the SRL program. Establishment of this program through regulation is categorically excluded from the requirement of the preparation of an environmental assessment or an environmental impact statement in accordance with 44 CFR 10.8(d)(2)(ii). This NEPA category excludes the preparation, revision, and adoption of regulations where the rule regulates to actions that qualify for other NEPA categorical exclusions in 44 CFR 10.8(d)(2). This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the announcement or application /program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Property owners who refuse an SRL mitigation offer may become subject to increases of their NFIP insurance premium rates, and have the right to appeal such rate increases. Refer to SRL program guidance or the administering program office for further information.

Renewals:

None.

Formula and Matching Requirements:

DHS FEMA will allocate the available SRL funds to states each fiscal year based upon the percentage of the total number of severe repetitive loss properties located within the State. Ten percent of the total funds made available in any fiscal year will be available to States, Territories, and Federally-recognized Indian tribal governments that have at least one SRL property and that receive little or no allocation. NFIP severe repetitive loss property records are maintained and updated monthly by the FEMA Bureau and Statistical Agent. NFIP severe repetitive loss property records are protected by the Privacy Act, and are only made available to the States, Territories, local governments, and Indian tribal governments eligible to apply for SRL grants. DHS FEMA may contribute up to 75 percent of the eligible costs of activities that target SRL properties. The SRL program offers up to 90 percent Federal cost share funding for projects approved in States, Territories, and Federally-recognized Indian Tribal Plans that include a strategy for mitigating existing and future SRL properties. For further information refer to DHS FEMA SRL and Flood Mitigation Assistance (FMA) program regulations at 44 CFR Part 79, the SRL program guidance, or contact the administering program office.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), Audits of States, local governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than nine months after the end of the grantee's fiscal year.

Records:

Grant records shall be retained for a period of three years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the three-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-4236-0-3-453.

Obligations:

FY 07 \$0, FY 08 est \$40,000,000; and FY 09 est \$40,000,000.

Range and Average of Financial Assistance:

Will be identified in solicitation/program guidance.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

The SRL and FMA Program Regulations at 44 CFR Part 79; the DHS FEMA Real Property Acquisition Regulations at 44 CFR Part 80, and the SRL program guidance. Other guidelines include OMB Circular No. A-102, Grants and Cooperative Agreements with State and local Governments, and OMB Circular No. A-87, Cost Principles for State, Local and Indian Tribal Governments. This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. Local Governments and Federally-recognized Indian Tribal (if applying through the State) sub-applicants should consult the office or officials designated as the single point of contact in his or her state for more information on the process that the State requires to be followed in applying for assistance.

Regional or Local Office:

See Appendix IV of the Catalog for listing of addresses of the DHS FEMA Regional Offices.

Headquarters Office:

Lloyd Hake, Chief, Program Implementation Section, Risk Reduction Branch, Mitigation Division, Federal Emergency Management Agency, 500 C Street,

S.W., Washington, DC 20472. Telephone: (202) 646-3428 or E-mail: Lloyd.Hake@dhs.gov.

Web Site Address:

<http://www.fema.gov/government/mitigation.shtm>.

RELATED PROGRAMS:

97.029, Flood Mitigation Assistance; 97.092, Repetitive Flood Claims; 97.047, Pre-Disaster Mitigation; 97.039, Hazard Mitigation Grant.

EXAMPLES OF FUNDED PROJECTS:

Acquisition and relocation of structures from hazard-prone areas.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.111 REGIONAL CATASTROPHIC PREPAREDNESS GRANT PROGRAM (RCPGP)

(RCPGP)

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

U.S. Troop Readiness, Veterans' Care, Katrina Recovery, and Iraq Accountability Appropriations Act of 2007, Public Law 110-28; and Consolidated Appropriations Act, 2008, Public Law 110-161.

OBJECTIVES:

The goal of RCPGP is to support an integrated planning system that provides for regional all-hazard planning for catastrophic events and the development of necessary plans, protocols, and procedures to manage a catastrophic event. Objectives include, creating regional planning processes and planning communities through the establishment of a Catastrophic Planning Working Group; Identifying and assessing priority areas of concern using both capabilities-based and scenario-based planning models; Developing enhanced regional plans and addressing shortcomings in jurisdiction plans to support both the management of a catastrophic incident and to enable enduring government; and linking planning efforts to resource allocations.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The RCPGP is comprised of funding for both fiscal years 2007 and 2008. Funds will be allocated to Tier I Urban Areas and designated Tier II Urban Areas are eligible for funding under the RCPGP.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State and local governments.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Refer to announcement or application guidance for further information.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

An annual appropriation is anticipated for this program.

Formula and Matching Requirements:

The program will require a soft match of non-Federal funds totaling 25 percent.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. (See #111 in agency reference manual; program office may add program specific information to this phrase if

needed. Example: to describe special reporting requirement unique to this program in addition to the standards reports. (Otherwise use only the phrase above).

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

FY 07 \$35,000,000; FY 08 est not available; FY 09 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

It is anticipated that 9 applications will be received and 9 awards will be granted in the current fiscal year. Awards include funding from both fiscal years 2007 and 2008. It is expected that the program will result in fixing shortcomings in existing all-hazard regional catastrophic event plans, building regional planning process, and communities, and linking operation needs identified in plans to resource allocation, including homeland security grant programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the solicitation guidance and application kit.

Regional or Local Office:

None.

Headquarters Office:

U.S. Department of Homeland Security, 245 Murray Lane SW, Bldg 410
Washington, DC 20528.

Web Site Address:

www.ojp.usdoj.gov/odp.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.112 BORDER INFRASTRUCTURE IMPROVEMENT PROJECTS

FEDERAL AGENCY:

DEPARTMENT OF HOMELAND SECURITY

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, as amended.

OBJECTIVES:

To implement border security infrastructure improvement projects and initiatives by providing funding, technical assistance and other resources to border communities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Use and restrictions will be identified in the funding opportunity announcements and program guidance.

Applicant Eligibility:

Applicant eligibility will be identified in the funding opportunity announcements and program guidance.

Beneficiary Eligibility:

States, local governments, territories, nonprofits and private organizations.

Credentials/Documentation:

Specific information will be identified in the funding opportunity announcements and program guidance.

Preapplication Coordination:

Will be addressed depending on geographic location of the projects in response to E.O. 12372.

Application Procedure:

Application deadline and other information will be identified in the funding opportunity announcements and program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Issues or concerns will be negotiated with the successful applicant prior to the award execution.

Deadlines:

Application deadline and other information will be identified in the funding opportunity announcements and program guidance.

Range of Approval/Disapproval Time:

Approval/Disapproval Time information will be identified in the funding opportunity announcements and program guidance.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Specific information is identified in the funding opportunity announcements and program guidance.

Length and Time Phasing of Assistance:

Specific information is identified in the funding opportunity announcements and program guidance.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0533-0-1-751.

Obligations:

FY 07 \$0; FY 08 \$88,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Specific information is identified in the funding opportunity announcement and program guidance.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars No. A-102, Grants and Cooperative Agreements with State and Local Governments and A-87, Cost Principles for State, Local and Indian Tribal Governments, A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations, A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Non-Profit Organization.

Regional or Local Office:

None.

Headquarters Office:

Office of Grant Policy and Oversight, 245 Murray Lane, Bldg 410, Washington, DC 20528. E-mail: rosemary.springer@dhs.gov.

Web Site Address:

www.dhs.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Specific information will be identified in the funding opportunity announcement and program guidance.

UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT

98.001 USAID FOREIGN ASSISTANCE FOR PROGRAMS OVERSEAS

FEDERAL AGENCY:

UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

The Foreign Assistance Act of 1961, Public Law 87-195, pt. III, Section 635, September 4, 1961, 75 Stat. 456, Public Law 87-565, pt. III, Section 302(g), August 1, 1962, 76 Stat. 262, Public Law 88-205, pt. III, Section 302(e), December 16, 1963, 77 Stat. 389, Public Law 89-171, pt. III, Section 302(g), September 6, 1965, 79 Stat. 660, Public Law 89-583, pt. III, Section 302(d), September 19, 1966, 80 Stat. 807, Public Law 90-137, pt. III, Section 302(i), November 14, 1967, 81 Stat. 461, Public Law 95-424, title I, Section 102(g)(2)(G), October 6, 1978, 92 Stat. 943, Public Law 96-53, title I, Section 121, August 14, 1979, 93 Stat. 366, Public Law 106-264, title III, Section 301, August 19, 2000, 114 Stat. 760, Public Law 106-309, title IV, Section 404, October 17, 2000, 114 Stat. 1098, 22 U.S.C. 2295.

OBJECTIVES:

The Foreign Assistance Program works to support long-term and equitable economic growth and advance U.S. foreign policy objectives by supporting economic growth, agriculture and trade; global health; and democracy, conflict prevention and humanitarian assistance.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are authorized through grants and cooperative agreements, primarily with non-governmental U.S. organizations and educational institutions, to carry out activities in line with the Agency's objectives in Sub-Saharan Africa; Asia and the Near East; Latin America and the Caribbean, and Europe and Eurasia. Funds generally are limited for direct support of activities conducted outside the United States in furtherance of the Agency's strategic objectives. The majority of funds for these programs is discretionary, and is generally awarded through a competitive process. Examples of activities that may be funded may be found at http://www.fedgrants.gov/Applicants/AID/postdate_1.html/.

Applicant Eligibility:

While an individual grant may include limitations on whom may apply, generally any type of applicant may apply.

Beneficiary Eligibility:

Beneficiaries are foreign governments, foreign public or private institutions or organizations, or foreign individuals.

Credentials/Documentation:

Applicants who have never received a grant from USAID may be required to provide the following with their application, where appropriate: (1) Copies of the applicant's audited financial statements for the previous three-year period, which have been audited by a certified public accountant or other auditor satisfactory to USAID; (2) Organization chart; and (3) Copies of applicable policies and procedures (e.g., accounting, purchasing, property management, personnel).

Preapplication Coordination:

No preapplication coordination is required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are submitted in response to specific announcements (Requests for Applications or Annual Program Statements) synopsisized and published on the Grants.gov FIND Web site (http://www.fedgrants.gov/Applicants/AID/postdate_1.html). All applications must be submitted using the Standard Form 424. Each announcement provides specific additional instructions regarding the contents of the narrative description of the activity, budget justification and other required information.

Award Procedure:

Official notice of approved application is made by the Agreement Officer through the issuance of a Grant Award or a Cooperative Agreement.

Deadlines:

None. Each announcement specifies the due dates applicable to that announcement.

Range of Approval/Disapproval Time:

The range is from 60 to 90 days.

Appeals:

None.

Renewals:

Extensions to the project period may be made if deemed appropriate by the Agreement Officer.

Formula and Matching Requirements:

This program has no statutory formula. Cost-sharing requirements are indicated in each grant announcement.

Length and Time Phasing of Assistance:

Grants and cooperative agreements may be issued for up to a five-year period, and are generally funded on a 12-month basis. Support beyond the first year is contingent upon the availability of funds.

Reports:

Grantees must submit progress reports. Reporting periods vary from quarterly to annually. Comprehensive final reports are due no later than 90 days after the completion of projects. Expenditure reports are required 90 days after the end of each reporting period.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

72-1021-0-1-151 Development Assistance; 72-1095-0-1-151 Child Survival and Health Program Funds; 72-1037-0-1-151 Economic Support Fund; 72-1010-0-1-151 Assistance for Eastern Europe and the Baltic States; 72-1093-0-1-151 Assistance for the Independent States of the Former Soviet Union; 72-1035-0-1-151 International Disaster Assistance.

Obligations:

FY 07 \$4,697,862; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

From 2003 to 2007 the appropriated amounts available for grant assistance ranged from \$4.2 to \$4.7 billion and averaged \$4.3 billion per year.

PROGRAM ACCOMPLISHMENTS:

USAID pursues multiple strategic objectives in more than 100 countries around the world. Individual country programs are tailored to local conditions. These objectives support the Agency's goals: (1) Broad-based Economic Growth and Agricultural Development Encouraged; (2) Democracy and Good Governance Strengthened; (3) Human Capacity Built through Education and Training; (4) World Population Stabilized and Human Health Protected; (5) The World's Environment Protected for Long-term Sustainability; (6) Lives Saved, Suffering Associated with Natural or Man-made Disasters Reduced, and Conditions for Political and/or Economic Development Re-established. Under its democracy goal USAID has accomplished significant results in promoting a politically active civil society. In Indonesia, the events of September 11 sparked an increased dialogue on democracy and the role of religion in Indonesia. USAID supported notable progress and a range of achievements by nearly 200 NGOs involved in transparent and participatory governance, conflict prevention and resolution, religious tolerance, human rights, media support and monitoring, and anticorruption activities. USAID expanded a program working with more than 20 major religious and secular organizations, including Indonesia's two largest Muslim organizations with a combined membership of 50 million, to help shape a more open and informed debate. In Guinea, efforts to open political processes and emphasize dialogue are assisting national actors to bridge the gap between government and the governed and are helping to reduce social, ethnic, and political tensions that are potential sources of conflict. USAID/Guinea's key achievement was the sponsorship of a high-level conflict prevention activity in response to the destabilizing influence of the border war with Liberia and Sierra Leone. USAID's civil society program in Albania continues to reflect the country's need for considerable assistance to complete the transition to an open and free democratic republic. USAID's efforts focus on improving the electoral process, promoting political party development, enhancing parliamentary operations, strengthening Albanian civil society organizations, and supporting an independent media. USAID played a leading role within the donor community in supporting the successful parliamentary elections held in June 2001, which were considered relatively open, fair, and free of abuse. These efforts strengthened NGOs, and the number of NGOs influencing policy formation and implementation has continued to increase. During the parliamentary elections, more than 25 local NGOs participated in elections monitoring throughout the country. USAID's accomplishments under its human capacity development goal are illustrated by girls education. In Ethiopia, USAID efforts at the community level to help girls stay in and succeed at school have raised girls participation in the two USAID focus regions to 73.9% and 48.1%, respectively, in the 2000-2001 school year, up from 38% and 17%, respectively, in the baseline 1994-1995 school year. Both these levels exceed the national average of 47%. Likewise in Guinea, the primary school gross enrollment rate (GER) reached 61.0% (up from 31.9% in 1991), while girls GER grew from 19.7% to 50.0% during the same period. In FY 2001 alone, the GER indicator

increased by 4.3 percentage points, with a 5.7% increase for girls. Similar on-target results were reported for Zambia, where the number of children enrolled in USAID-supported basic education institutions dramatically increased from 12,565 pupils in 63 basic education sites in 2000 to 37,140 pupils enrolled in 256 basic education sites in 2001. The U.S. response to the global HIV/AIDS epidemic is an example of the significant accomplishments of the United States in the health area. The epidemic is causing widespread suffering in the developing world, where it is poised to profoundly undermine social and economic advances in many countries. In much of Africa, the medical, social, and economic consequences of the epidemic are already severe, and many areas of Asia, Eastern Europe, and the Caribbean are at risk for equally severe epidemics. The past two years have seen a dramatic escalation in USAID's involvement in addressing this urgent global issue. In FY 2002, USAID's HIV/AIDS funding increased to \$510 million. A new Office of HIV/AIDS was created within the Bureau of Global Health. USAID is now providing assistance to more than 50 countries by strengthening prevention, treatment, and surveillance programs and by providing vital services to orphans and other children affected by AIDS. USAID has also contributed critical technical and management expertise to the formation of the new Global Fund for AIDS, Tuberculosis, and Malaria, which has already attracted more resources for combating AIDS worldwide. In the environment sector, for example, during FY 2001, USAID helped India reduce CO₂ emissions by 4.4 million tons, exceeding the target of 4.19 million tons. In South Africa, USAID assisted municipal governments delivery of energy and other services as it exceeded its targets for services delivered to historically disadvantaged households. Almost 900,000 households benefited from programs supported by USAID, and more than \$180 million was leveraged to support improved service delivery. The United States program in Afghanistan illustrates the significant accomplishments of the United States in providing humanitarian assistance. The United States provided \$588 million to help the Afghans; USAID managed more than \$350 million of this assistance. USAID responded to the humanitarian crisis by providing food, emergency supplies, health care, communications, and transport. USAID has built 142 schools, daycare centers and vocational education facilities and has trained 1,300 teachers who will return to village schools to train local teachers. The 7,000 tons of seed the Agency provided last spring resulted in a 82% increase in wheat production this summer.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grant administration policies are in 22 CFR 226, and may be found at <http://www.gpo.gov/nara/cfr/index.html>. Internal USAID policy directives, including standard formats, may be found in Automated Directive Systems (ADS) Chapter 303. The ADS is available on the USAID website at <http://www.usaid.gov/pubs/ads/>. Other information regarding USAID's program may be found at www.usaid.gov.

Regional or Local Office:

Wade Warren Office of Development Planning Africa Bureau Larry Brady
Office of Strategic Planning and Operations Asia Near East Bureau Susan Hill
Strategy and Program Office Latin America and Caribbean Bureau Sherry
Grossman Program Office Europe and Eurasia Bureau United States Agency for
International Development Washington, DC 20523.

Headquarters Office:

United States Agency for International Development, 1300 Pennsylvania Ave,
Washington, DC 20523. Telephone: (202) 712-4810. E-mail:
pinquiries@usaid.gov.

Web Site Address:

www.usaid.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

To improve the fate of orphans, the USAID Mission in Russia has provided grant assistance to the International Research and Exchange Board (IREX) and the National Society for Prevention of Cruelty to Children. The program has created innovative child welfare models to prevent abandonment, promote family care, and foster the integration of orphans leaving institutional care as they enter adulthood. More than 9,700 of Russia's most vulnerable children and 6,700 families have benefited directly from the program's assistance. Early in 2002, the Moscow Duma appointed an ombudsman to protect orphans rights; a USAID grantee wrote the law establishing the position. USAID/Bulgaria supports Partners Bulgaria Foundation for its conflict prevention and ethnic integration program. It began as a pilot program to assist the Roma population in the city of Lom, and has been replicated in the cities of Vidin and Kyustendil. The program has helped to establish cooperative planning mechanisms for the Roma population to work with local institutions in addressing common issues, a Conciliation Commission to engage in cooperative problem solving and local conflict resolution, a Roma Leadership Institute to increase the capacity of Roma leaders and NGOs through advanced training and networking, and increased cross-sectoral partnership across NGOs, municipality, Roma, business, media and educational institutions to improve the Roma educational and employment opportunities. In Guatemala, through a grant to Creative Associates, civil society coalitions are provided assistance to help combat ethnic discrimination, promote transparency and anticorruption efforts, address public security issues

and exercise congressional oversight. The overall aim of the program is to establish broader, more effective civil society participation in the policy process and oversight of public institutions. Under the Cuba program, a grant to Georgetown University supports a participant training program for students from Cuba. The program will provide training in technical fields to disadvantaged youth at community and technical colleges throughout the United States. Training will also include subjects in courses linked to democracy building, such as economics, political science, U.S. history and Latin American history. The program will expose students to U.S. culture and institutions. A grant was recently awarded under the USAID/Mexico program to Texas A&M University-Corpus Christie for cross-border collaboration between Texas A&M, Lamar University and the Technological Institute of Saltillo on environmental sustainability of water resources. The grant is part of a larger program designed to conserve critical biodiversity and natural resources in Mexico. Under the LAC Regional program, a grant to the Inter-American Dialogue promotes economic links between the American diaspora and Guyana and explores ways that remittances could bring growth to the country's economy. Activities focus on profiling Guyanese remittance senders and receivers (in the US and Guyana), identifying opportunities to work with Guyanese hometown associations on development programs, and partnering with financial institutions in the US and Guyana to reduce remittance transfer costs. USAID's \$300,000 grant to the Vietnam Veterans of America Foundation in Angola helps children in Mexico province who are landmine victims through vocational training and activities such as Special Olympics. In March 2003, USAID and a number of U.S.-based nongovernmental organizations (NGOs) formed the Consortium for Development Relief in Angola (CDRA). The CDRA program provides a creative and flexible platform for humanitarian response to assist war-affected families to become food secure over an 18-month period. The USAID-funded CDRA program is assisting more than 110,000 families in eight provinces in central Angola with variety of emergency and development interventions using both food aid and cash. In Bimbe, CDRA partner World Vision responded to the emergency situation with a combination of interventions, including emergency food distributions and supplemental feedings. In just six months, the USAID-CDRA program has had a major impact on the families of Bimbe, including the reduction of the malnutrition rate to below 2% and a child mortality rate of 2 to 3 per month. USAID has provided grants to the National Democratic Institute (NDI) since 1995. The NDI programs have resulted in stronger political parties (including opposition parties) in Lesotho, as well as a stronger National Assembly, all of which have contributed to increased political stability in that country. Since February 1999, CARE has implemented the Central Region Livelihood Security Program (CRLSP) in three traditional authorities of Lilongwe District of Malawi. The project is funded entirely by USAID and CARE. The project's goal is to improve the food and livelihood security of 10,000 rural households. Activities include developing and strengthening the organizational capacities and partnerships of small-holder farmers; a rising agricultural productivity; improving water availability and utilization; and increasing household earnings. FY 2002 marked the first year in Mozambique's post-colonial history when commercial credit was extended to rural enterprises by a formal Mozambican financial institution. Twenty-three groups of rural enterprises formed under a USAID grant managed by CLUSA received over \$118,000 in marketing credit, allowing them to turn over the loan a number of times by buying maize, roundnuts, beans and sesame at different periods in the harvest cycle. The Namibian Parliament, with assistance from the USAID-supported National Democratic Institute for International Affairs (NDI), designed a website that enables citizens and civil society to track legislation, communicate with Parliamentarians, and engage in that room dialogues on topics of current interest. Microsoft was so impressed with the success of the activity that, in December 2002, it donated additional computers and software for distribution in several towns around the country. USAID provided a \$1 million grant to the U.S.-based Cheetah Conservation Fund to support the conservation of cheetahs in Namibia. The program is implemented in collaboration with the World Wildlife Fund, Namibia's Ministry of Environment and Tourism, and a number of Namibian NGOs. The cheetah conservation program one of USAID's clearest successes in Namibia has reported results in natural resource protection, economic growth, democracy strengthening, women's empowerment, and safeguarding Africa's biodiversity. USAID's Economic Growth, Agriculture, and Trade (EGAT) Bureau currently funds 17 grants to U.S. universities to assist South Africa's historically disadvantaged institutions to transform their institutions, support basic education initiatives, and improve classroom teaching skills. The International Executive Service Corps (IESC) is a U.S. NGO that coordinates the volunteer work of retired executives with companies around the world. A USAID-funded IESC program in Zambia currently provides hands-on technical assistance and training workshops to rural non-farm enterprises. In collaboration with Population Services International (PSI) and local nongovernmental organizations, USAID has launched HIV/AIDS voluntary counseling and testing (VCT) centers at strategic locations throughout Zimbabwe. The Zimbabwe program has achieved the highest client return rate of any VCT program, due in part to the high quality of counseling and the nominal client fees. The newest independent VCT site,

managed by PSI using business franchise techniques, is located in downtown Harare near a bus terminal, and serves 150 clients per day. USAID's Regional Center for Southern Africa has provided grants to support the development of three cross-border natural resource management areas: 1) FourCmrs comprising contiguous lands in Botswana, Namibia, Zimbabwe, and Zambia; 2) Greater Limpopo, comprising a game reserve in Mozambique, Kruger Park in South Africa, and Gonarezhou Park in Zimbabwe; and 3) Zimoza, which comprises the border regions of Zimbabwe, Mozambique, and Zambia in the mid-Zambezi river basin.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are defined specifically in the notifications of funding opportunities when they are issued. Generally the technical and organizational criteria are based on potential capacity to efficiently recruit and field volunteers overseas, identify host enterprises in the targeted countries and plan and organize volunteer assignments in to coherent programs that have significant measurable economic impact.

98.002 COOPERATIVE DEVELOPMENT PROGRAM (CDP)

FEDERAL AGENCY:

UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

Foreign Assistance Act of 1961, as Amended, Public Law 87-195, Sections 111, 123 and 311.

OBJECTIVES:

Deliver the quality and magnitude of support necessary for CDOs to attract the financial, human, institutional and financial resources necessary to significantly enhance their impact on cooperative development worldwide, contributing to self-reliant cooperative enterprises that meet the evolving needs of their members and contribute to the quality of member lives, their communities and nation.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grant funds may be used, among other things, for basic feasibility studies and providing technical assistance or advisory services to cooperatives, private voluntary and other non-governmental organizations, governments and groups of individuals that wish to develop new cooperatives or improve existing cooperatives. Emphasis is given to developing, testing and implementing solutions to major issues facing international cooperative development including, but not limited to, governance, modern management, cooperative legislation and regulation, achieving scale and salience.

Applicant Eligibility:

Applicants eligible are U.S. cooperatives and recognized cooperative development organizations (CDOs) that are organically-linked to cooperatives and groups of cooperatives and whose demonstrated capabilities are broadly consistent with USAID's strategic objectives.

Beneficiary Eligibility:

Cooperatives and their members in USAID eligible countries.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is requested.

Preapplication Coordination:

As may be specified in a published Request for Applications (RFA). This program is excluded from coverage under E.O. 12372.

Application Procedure:

As may be specified in a published Request for Applications. This would normally include, but would not necessarily be limited to Forms SF-424 and 424A, as well as the applicant organization's name, address, contact person and information; project objectives; funding and budget; proposed partners; executive summary, organizational overview, program description, program management and structure; monitoring and impact assessment; cost proposal with budget narrative; planning matrix; staffing plans with resumes of key personnel; letters from proposed partners evidencing knowledge of the program and agreement to participate; evidence of concurrence from USAID missions in countries of proposed implementation; and a summary of USAID-financed activities conducted over the three years preceding the application.

Award Procedure:

Applications are submitted to the Cooperatives Coordinator, Office of Private and Voluntary Cooperation, Democracy, Conflict and Humanitarian Assistance Bureau. All applications are reviewed by committees that include a technical reviewer with knowledge of the specific area of cooperation concerned as well as knowledgeable USAID officials drawn from different Bureaus. Each application is scored by all participating USAID officials using criteria incorporated in the Request for Applications. Awards are given based on relative scores.

Deadlines:

Specified in the published Request for Applications which appear on the DCHA/PVC web site and in the Federal Register.

Range of Approval/Disapproval Time:

Approximately three to four months from the receipt of applications.

Appeals:

If an application is rejected, the reasons for rejection are fully stated.

Renewals:

Not applicable.

Formula and Matching Requirements:

There is not formula for matching requirements. Applicants may be encouraged to achieve a target match which would be incorporated in the Request for Applications.

Length and Time Phasing of Assistance:

Cooperative Development Program cooperative agreements are generally for a period of three to five years and may be extended for a total period not to exceed ten years.

Reports:

Each award recipient is required to provide Annual Work Plans, Semi-Annual Progress Reports and Quarterly financial statements (Form 269A). Additional reporting may be required to support Congressional requests for information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

72-1021-0-1-151.

Obligations:

FY 07 \$5,500,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$1,000,000 to \$5,000,000 over five years.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2002, 8 grants were extended through FY 2004.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations Title 22, 228 Volume 1, Parts 1 to 299 (Revised as of April 1, 1999); USAID Automated Directive System, specifically Series 300 and cross-references.

Regional or Local Office:

Not applicable.

Headquarters Office:

Cooperative Coordinator, Office of Private and Voluntary Cooperation, Bureau for Democracy, Conflict and Humanitarian Assistance, United States Agency for International Development, Washington, DC 20523. Telephone: (202) 712-5226.

Web Site Address:

http://www.usaid.gov/hum_response/pvc/coop.html.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Grant funds are being used to provide technical assistance, applied research, technical training, and cooperative education activities in more than 20 countries of Africa, Asia and the Near East, Europe and Eurasia, Latin America and the Caribbean.

CRITERIA FOR SELECTING PROPOSALS:

Preference is given to applicants that have demonstrated significant contributions to international cooperative development, that propose activities that promise a substantial addition to the theory and practice of cooperative development, that demonstrate the commitment to integrate lessons learned into their own programs as well as disseminate these to the broader cooperative development community, and whose staff possess the range of skills necessary to achieve these results.

98.003 OCEAN FREIGHT REIMBURSEMENT PROGRAM (OFR)

FEDERAL AGENCY:

UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

Foreign Assistance Act of 1961, Section 123(b).

OBJECTIVES:

Reimburses registered U.S. private voluntary organizations (PVOs) to ship commodities overseas for use in privately funded development and humanitarian assistance programs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used to pay transportation charges on shipments by PVOs registered with USAID to further the efficient use of U.S. voluntary contributions for development, relief, and the rehabilitation of friendly people.

Participating PVOs are responsible for ancillary costs such as commodity acquisition, warehousing, insurance, local transportation and distribution. OFR awards will not exceed \$150,000 per year.

Applicant Eligibility:

Applicants must be a U.S. PVO registered with USAID's Office of Private and Voluntary Cooperation; receive at least 20 percent of its total annual financial support for its international programs from non-U.S. government sources; ship only those commodities and only to those countries approved by USAID; have staff or consignees in-country to ensure proper pick-up and distribution of commodities; and provide Duty-Free Certification with the application for each country to which commodities will be shipped.

Beneficiary Eligibility:

Registered PVOs in the United States, as well as people located in USAID eligible countries who are in need of humanitarian assistance or relief, benefit from these grants.

Credentials Documentation:

Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is requested.

Preapplication Coordination:

As may be specified in a published Request for Application (RFA). This program is excluded from coverage under E.O. 12372.

Application Procedure:

As specified in a published Request for Applications. This would include the applicant organization's name, address, contact person and information; project objectives; funding and budget; proposed partners; executive summary, organizational overview, program description, program management and structure; monitoring and consignee receiving distribution system.

Award Procedure:

Awards are made on the basis of competitive Technical Evaluation Committee review of applications. Each application is scored using criteria incorporated in the Request for Applications. Awards are given based on relative scores.

Deadlines:

Specified in the published Request for Application which appears on the DCHA/PVC web site and in the Federal Register.

Range of Approval/Disapproval Time:

Approximately five to seven months from the receipt of applications.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. Applicants may request a debriefing of the RFA on the strengths and weaknesses of the proposal. Such requests will be routed to the OFR program officer.

Renewals:

OFR Program grant agreements are for a period of two years. Once awarded, projects will not compete for funding the second year. Funding for projects beyond the first year is contingent upon the availability of program funds, the extent to which the recipient meets program objectives and the recipients ability to utilize OFR funds in a timely manner.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

OFR Program grant agreements are for a period of two years.

Reports:

Each award recipient is required to provide on a quarterly basis, the Voluntary Agency Quarterly Report of Shipping Activity which provides data on the actual destination of shipments, types of commodities shipped and subsequent drawdown of program funds. Additional reporting may be required to support congressional requests for information.

Audits:

OFR grant awards will not exceed \$150,000 for each year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133 (Revised June 27, 2003 in Federal Register).

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-2278-0-1-151.

Obligations:

FY 07 \$2,700,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$2,500 to \$150,000 each year.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

The awards made pursuant to the OFR RFA will be subject to the requirements of Title 22 of the Code of Federal Regulations, Part 226, Administration of Assistance Awards to U.S. Non-Governmental Organizations, Part 202, Overseas Support of Supplies by Voluntary Non-Profit Relief Agencies, and the applicable Standard Provisions for U.S. Non-Governmental Grantees. The Standard Provisions and 22 CFR Parts 226 and 202 are available on the USAID website at <http://www.usaid.gov> and

<http://www.usaid.gov/policy/ads/cfr.html#22>.

Regional or Local Office:

Not applicable

Headquarters Office:

Ocean Freight Program Officer, Office of Private and Voluntary Cooperation, Bureau for Democracy, Conflict and Humanitarian Assistance, United States Agency for International Development, Washington, DC 20523. Telephone: (202) 712-4795.

Web Site Address:

<http://www.usaid.gov>. USAID keyword: ofr

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The OFR Program provides small competitive grants to approximately 50 U.S. PVOs each year. PVOs are reimbursed for the costs to transport donated commodities, such as medical supplies, agricultural equipment, educational supplies and building equipment to developing countries.

CRITERIA FOR SELECTING PROPOSALS:

Preference is given to applicants that have conformed to the evaluation criteria stated in the Request for Application.

98.004 NON-GOVERNMENTAL ORGANIZATION STRENGTHENING (NGO)

FEDERAL AGENCY:

UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

The Foreign Assistance Act of 1961, as amended.

OBJECTIVES:

The NGO Strengthening program aims to help local indigenous non-governmental organizations, networks, and intermediate service organizations become more efficient and effective in the delivery of development services.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds are authorized through cooperative agreements with U.S. private voluntary organizations to carry out activities to improve the capacities of local indigenous non-governmental organizations in developing countries.

Applicant Eligibility:

1. Applicants must meet the following eligibility requirements. Be registered as a PVO with USAID; Receive at least 20 percent of total annual financial support for its international programs from non-U.S. government sources; and
2. Current Matching Grants recipients are eligible.

Beneficiary Eligibility:

Direct beneficiaries are foreign private institutions or organizations; indirect beneficiaries are the recipients of improved service delivery in developing countries.

Credentials/Documentation:

Applicants must be registered as a PVO with USAID. In addition, they must provide a 30 percent match for the proposed program; the match may be a combination of cash and in-kind. At a minimum, half of the total required match must be in cash. The Applicant must demonstrate ability to raise the match proposed. Actual and/or expected sources and amounts of the cost-share amount must be stipulated; they must propose in an eligible country or countries; have an established track record (minimum of five years) in planning, managing, monitoring and evaluating overseas development programs and demonstrated experience in local NGO capacity buildings; and, have completed an external evaluation of its NGO capacity strengthening activities within the last three years, not to be confused with a financial audit. PVC cannot finance any of the following programs: Academic research-oriented endeavors; Construction or commodity procurement; or Activities not focused on development, such as short-term emergency relief activities. USAID encourages applications for development activities that focus on conflict prevention, mitigation or resolution programs; Inconsistent with the Establishment Clause.

Preapplication Coordination:

No preapplication coordination is required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are submitted in response to a Requests for Applications synopsis in FedGrants and published on the USAID Web site (http://www.usaid.gov/procurement_bus_opp/procurement/solicitation/). All applications must be submitted using the Standard Form 424. The RFA provides specific additional instructions regarding the contents of the narrative description of the activity, budget justification and other required information.

Award Procedure:

Official notice of approved application is made by the Agreement Officer through the issuance of a Cooperative Agreement.

Deadlines:

Specified in the published Request for Applications, which appears on the DCHA/PVC web site and in the Federal Register. The deadline for the last five-

year award was March 28, 2003.

Range of Approval/Disapproval Time:

The range is from 60 to 90 days.

Appeals:

None.

Renewals:

Extensions to the project period may be made if deemed appropriate by the Agreement Officer.

Formula and Matching Requirements:

A cost-share of 30 percent of which at least half of the match must be cash and the remaining half can be in kind contributions. Applicants must demonstrate ability to provide the proposed match via letters of commitment; provide financial and in-kind contributions of all non-USAID or private commercial organizations involved in implementing this Cooperative Agreement; and specify the cost share amount as a total dollar amount and per each donor.

Length and Time Phasing of Assistance:

Cooperative agreements may be issued for a five-year period, and are generally funded on a 12-month basis. Support beyond the first year is contingent upon the availability of funds.

Reports:

Five-year detailed implementation and monitoring plans are required in the first year. Recipients must submit progress reports. Reporting periods are semi-annual. Comprehensive final reports are due no later than 90 days after the completion of projects. Expenditure reports are required 90 days after the end of each quarter.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, which In accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

72-1021-0-1-151.

Obligations:

FY 07 \$6,158,942; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Five-years awards range from \$1.3 million to \$3.25 million. The average award is \$2.4 million.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2003, 13 cooperative agreements were awarded for FY 2003 through FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grant administration policies are in 22 CFR 226, and may be found at <http://www.gpo.gov/nara/cfr/index.html>. Internal USAID policy directives, including standard formats, may be found in Automated Directive Systems (ADS) Chapter 303. The ADS is available on the USAID website at <http://www.usaid.gov/pubs/ads/>. Other information regarding USAID's program may be found at www.usaid.gov.

Regional or Local Office:

Tom Kennedy.

Headquarters Office:

DCHA/PVC-ASHA.

Web Site Address:

http://www.usaid.gov/our_work/cross-cutting_programs/private_voluntary_cooperation/ngo.html.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Grant funds are being used to provide technical assistance and training to hundreds of local NGOs and NGO networks in Africa, Asia, the Caribbean, and Central America.

CRITERIA FOR SELECTING PROPOSALS:

Program Description (45 points) 1. Situational analysis accurately identifies, justifies and prioritizes which NGO sector(s) will be addressed in the proposed

program. Provides compelling rationale for innovative technical approach and measurable effectiveness of proposed interventions. Clear and relevant empirical evidence and discussions that proposed interventions will result in achievement of overall proposed programmatic objectives in select countries. Demonstrates program impact by the end of year three. 2. Program Management Approach (30 points) Demonstrated effectiveness in organization/management of similar program activities to that of the proposed program activities. Effective and logical relationships have been shown between applicant and all subawardees. Staffing plan and proposed key personnel are appropriate for proposed activities. Integrity and soundness of innovative monitoring and evaluation plan as it relates to quantifiable measurement of progress and results under proposed program activities, including any the activities of any subaward(s); 3. Institutional Capabilities (15) Demonstrates prior experience and results in managing similar and relevant program activities in planning, managing, monitoring and evaluating overseas development programs in the area of NGO capacity building. Evidence of past achievement of successful results in NGO capacity strengthening and clear demonstration that proposed program reflects incorporation of lessons learned; and 4. Cost/Business Application (10 points) The SF 424 shows detailed budget and budget narrative itemizes program costs, shows level of effort, and proposed costs are reasonable and realistic in terms of programmatic activities. Selection The highest rated responsible applicant(s) will be recommended to receive award(s).

98.005 INSTITUTIONAL CAPACITY BUILDING (ICB)

(Institutional Capacity Building)

FEDERAL AGENCY:

AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

Foreign Assistance Act of 1961, as amended, Public Law 480, Title II.

OBJECTIVES:

To strengthen the capacity of new and experienced Food for Peace Title II Partners, both to plan and implement effective food security programs which reduce vulnerability of food insecure populations, including in emergency situations.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project funds can be used for establishing systems, training staff and strengthening mechanisms for implementing Title II food security programs. Ineligible activities/costs include: (1) Activities which are not in support of existing or planned Title II activities; (2) Disaster-related personnel or activities not associated with food assistance, which otherwise are the responsibility of the Office of Foreign Disaster Assistance (OFDA) or which may be funded by USAID's Regional Bureaus; (3) Procurement of Motor Vehicles.

Applicant Eligibility:

Private non-profit institutions/organizations are eligible for this program. Specifically, an organization must be a US Private Voluntary Organization (PVO) or cooperative headquartered in the US, that is registered with USAID as of the date of its application.

Beneficiary Eligibility:

Private, non-profit institutions/organizations will benefit from this program along with vulnerable and food insecure populations in low income food deficit countries (LIFDCs) and least developed countries. (LDCs).

Credentials/Documentation:

USAID Registration; Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is required.

Preapplication Coordination:

Not required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submission of Technical and Cost Application (including SF 424 and 424a as required by a published Request for Applications.

Award Procedure:

Applications are submitted to the Office of Procurement. All applications are reviewed for completeness by the recipient office and then given to a technical review committee for a competitive review. All committee members using criteria outlined in the Request for Applications score each application. Awards are negotiated based on the scoring of applications technical merit and cost.

Deadlines:

This program is re-competed approximately every five years.

Range of Approval/Disapproval Time:

Approximately three to four months from submission deadline.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula, however, a ten (10) percent cost-share is required for this program and a twenty-five (25) percent cost share is

recommended.

Length and Time Phasing of Assistance:

This funding is available for up to five years under the current program.

Reports:

Each award recipient is required to provide an annual narrative progress report as well as quarterly financial report (SF 269, SF 269a (as applicable) and SF 272. Annual work-plans must be approved on by the Cognizant Technical Officer on an annual basis as must all key personnel specified in the agreement. One midterm and one final evaluation are also required components.

Audits:

In accordance with the provisions of OMB circular No A-133 (Revised June 27, 2003), "Audits of States, Local Governments and Non-Profit Organizations," non-federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year except as noted in OMB Circular A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-2278-0-1-151.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Up to \$3.5 million per organization over five years.

PROGRAM ACCOMPLISHMENTS:

The current program assists PVOs to identify, assess and respond effectively to emergency and developmental food insecurity situations using P.L. 480 Title II food assistance in developing countries.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations Title 22, 226 and 228 Volume 1 Parts 1 to 299 (Revised as of April 1, 1999); USAID ADS 300 and cross references.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Food for Peace; Grants Manager; Program Operations Division; USAID; 1300 Pennsylvania Avenue, NW; Washington, DC 20523.

Web Site Address:

www.usaid.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funded activities have included: provision of training in food security programming, food security assessments; food aid logistics, regulations; support for setting up food aid tracking systems, improvement of capacity in monitoring and evaluation and conducting studies and assessments to show how food can best be used to decrease food insecurity.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based upon technical and programmatic merit, organizational background, competence and experience, past performance and financial plan.

98.006 FOREIGN ASSISTANCE TO AMERICAN SCHOOLS AND HOSPITALS ABROAD (ASHA)

FEDERAL AGENCY:

UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

Section 214, The Foreign Assistance Act of 1961, as amended.

OBJECTIVES:

The Foreign Assistance Program is dedicated to supporting long-term and equitable economic growth and advancing U.S. foreign policy objectives by supporting economic growth, agriculture and trade; global health; and democracy, conflict prevention and humanitarian assistance. Within the context of the U.S. Foreign Assistance Program, the objectives of the PVC-ASHA program are to strengthen schools and hospitals that best demonstrate American ideas and practices, and are likely to survive over a long term.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are authorized through grant agreements with private and non-profit U.S. organizations to carry out activities in line with the Agency's objectives. Funds are limited for direct support of activities conducted outside the United States in furtherance of the Agency's strategic objectives. ASHA grants are awarded through a competitive process.

Applicant Eligibility:

The applicant should be a non-profit U.S. organization, which either founded or sponsors the institution for which assistance is sought. Preferably, the applicant should be a tax-exempt organization under section 501C (3) of the Internal

Revenue Code of 1954. The applicant must demonstrate a continuing supportive relationship with the overseas institution. Evidence of this support would be the provision of financial and management support for the institution.

Beneficiary Eligibility:

Beneficiaries must be institutions located outside the U.S. and should not be under the control or management of a government or any other of its agencies. The majority of the users of these overseas institutions, e.g., students or patients, must be citizens of countries other than the U.S.

Credentials/Documentation:

The overseas institutions must demonstrate competence in professional skills and exhibit sound management and financial practices. An applicant for a new overseas institution must present a strategy that demonstrates the ability to achieve professional competence, commitment to promote U.S. ideas and practices, and to operate in accordance with sound management and financial practices. Institutions must be open to all persons regardless of race, religion, sex, color, or national origin. All overseas institutions are expected to reflect favorably upon, and to increase the understanding as well as to enhance the image of the United States. An applicant requesting capital assistance for procurement of durable commodities and/or construction/renovation assistance must provide a firm estimate of the total cost (including cost share and U.S. contribution) for which assistance is requested. Such applicants must also provide information and assurances with respect to right to occupy the premises and/or the land upon which new construction is planned.

Preapplication Coordination:

No pre-application coordination is required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

PVC-ASHA grant process is competitive and encompasses a number of well established steps. Specifically, 1. The grant criteria, as published in the Federal Register. 2. An annual independent review that ranks the applications and makes recommendations. 3. The PVC-ASHA program management determines funding priorities based on the external review, prior grantee performance, geographic balance, focus of other ASHA grants in the same country, USAID Mission recommendations, and USAID agency objectives. 4. PVC-ASHA forwards the final funding recommendations to the Bureau for Democracy, Conflict and Humanitarian Assistance (DCHA) for approval, to the USAID Administrator for information, and to the U.S. Congress for notification.

Award Procedure:

PVC-ASHA grant process is competitive and encompasses a number of well established steps described in the Application Procedure.

Deadlines:

The deadline for submission of applications is June 30th of each year.

Range of Approval/Disapproval Time:

The range is from 7 to 9 months.

Appeals:

None.

Renewals:

Extensions to the project period may be made if deemed appropriate by PVC-ASHA. Subsequent grant applications for complementary or auxiliary activities may be submitted yearly to fund a previously PVC-ASHA-assisted project.

Formula and Matching Requirements:

The ASHA program has no statutory formula or matching requirements. However, cost-sharing by the U.S. sponsoring organizations and their overseas institutions are requested in the grant application. Demonstrated cost-sharing reflects favorably on the applicants request for assistance.

Length and Time Phasing of Assistance:

Grants that include construction may be issued for up to a five-year period, whereas grants for commodities, only, are normally issued for a period of eighteen to twenty-four months.

Reports:

Grantees must submit quarterly progress reports and annual organizational reports. Comprehensive final Project Reports are due no later than 90 days after the completion of projects. Expenditure reports are required 30 days after the end of each progress reporting period.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised June 24, 1997), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. An amendment to the definition of oversight agency for audit, effective July 28, 2003 stipulates that after December 31, 2003 The Office of Management and Budget (OMB) is issuing final revisions to Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations. The purpose of these revisions is to (1) increase the threshold for audit from \$300,000 to \$500,000, (2) increase the threshold for cognizant agency for audit from \$25 million to \$50 million, and (3) make related technical changes to facilitate the determination of cognizant agency for audit and provide for Federal agency reassignment of oversight agency for audit.

Records:

In accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

72-1021-0-1-151.

Obligations:

FY 07 \$16,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Range from \$15 million to \$20 million per year with an average of approximately \$17 million.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grant administration policies are in 22 CFR 226, and may be found at <http://www.gpo.gov/nara/cfr/index.html>. Internal USAID policy directives, including standard formats, may be found in Automated Directive Systems (ADS) Chapter 303. The ADS is available on the USAID website at <http://www.usaid.gov/pubs/ads/>. Other information regarding USAID's program may be found at www.usaid.gov.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of American Schools and Hospitals Abroad, Bureau for Democracy, Conflict, and Humanitarian Assistance.

Web Site Address:

www.usaid.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

African Medical & Research School (Kenya) Procure commodities to upgrade a training facility; Mulanje Mission Hospital (Malawi) Construct private healthcare ward, procure furniture, medical and computer equipment; Wilberforce Institute (South Africa) Construct, equip and furnish a multi-purpose community development center; Nancy Fulwood Hospital (Pakistan) procure medical diagnostic equipment, heart monitoring equipment and furniture; American Farm School (Greece) renovate existing dormitories; University of the Valley (Guatemala) construct telecommunications laboratory, renovate math and science laboratories, procure file servers, desktop computers and scanners.

CRITERIA FOR SELECTING PROPOSALS:

1. The applicant should be a nonprofit U.S. organization, which either founded or sponsors the institution for which assistance is sought. Preferably, the applicant should be tax-exempt under Section 501(c)(3) of the Internal Revenue Code of 1954. The applicant must demonstrate a continuing supportive relationship with the institution. Evidence of this would be the provision of financial and management support for the institution. 2. An instruction program must serve the secondary or higher level and must reflect American educational ideas and practices (education at the elementary school level will not be supported). A school offering a broad-based academic program must include instruction on the history, geography, political science, cultural institutions or economics of the United States. English should be used in instruction or taught as a second language. However, the foregoing subject matter and language requirements need not apply to a school offering a specialized course of study. 3. Institutions are expected to reflect favorably upon and to increase understanding of the United States. 4. A hospital center, in addition to being a treatment facility, must be involved in medical education and research. Programs for post graduate training of staff in the United States and programs for the exchange of personnel with American institutions will be regarded as evidence of ability to demonstrate American ideas and practices in medicine. 5. The faculty and staff of a school or a hospital center should include a significant number of U.S. citizens or other persons trained in U.S. institutions who are in residence and teaching at the school or hospital on either a full-time or part-time basis. 6. The majority of the users of any institution, e.g. students or patients, must be citizens of countries other than the U.S. 7. An existing institution must demonstrate competence in professional skills and must exhibit sound management and financial practices. An applicant for a new institution must demonstrate the ability to achieve professional competence and to operate in accordance with sound management and financial practices. 8. The institution must be open to all persons regardless of race, religion, sex, color or national origin. (The above shall not be construed to require enrollment of students of both sexes at an educational institution enrolling boys or girls only.) Assistance may not be used to train persons for religious pursuits or to construct buildings or other facilities intended for worship or religious instruction. 9. The institution must be located outside the U.S. and should not be under the control or management of a government or any of its agencies. The receipt of financial or

other assistance from a government or government agency or the observance of national educational or medical standards required by the country where the institution is located does not in itself mean that the institution is under the control or management of such government. 10. An applicant requesting capital construction assistance must provide information sufficient to permit a firm estimate of the total cost to the U.S. Government of the construction for which assistance is requested. Such an application must also provide information and assurances with respect to rights to the land on which construction is planned. 11. To help achieve the objectives of the Foreign Assistance Act and ensure that the American schools and Hospitals Abroad program is as geographically balanced as possible, special consideration will be given to applications for institutions which increase the geographic distribution of the program and contribute to the economic and social progress of areas that are the focus of A.I.D.'s development efforts.

98.007 FOOD FOR PEACE DEVELOPMENT ASSISTANCE PROGRAM (DAP)**FEDERAL AGENCY:**

AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

Agriculture, Trade and Development Assistance Act of 1954, as amended.

OBJECTIVES:

To improve access, availability and utilization of food in food insecure environments abroad. The priority for Title II development programs is a focus on improving household nutrition and health status, especially in children and mothers, and on increasing agricultural productivity, including field production, post-harvest handling and transformation and marketing.

TYPES OF ASSISTANCE:

Project Grants; Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

U.S. and local Private Voluntary Organizations registered with USAID may submit proposals requesting commodities and cash to carry out food aid programs in food insecure countries. The activities outlined in new DAPs should be in conformity with USAID, host government and multilateral food security assessments and strategies. Coordination with USAID Mission development priorities for the associated countries is highly desirable; program and resources integration is encouraged. However, no proposal will be disapproved solely because it is not integrated. Proposals will be reviewed and considered eligible for approval so long as they do not violate the Bellmon Amendment or other statutory provisions, are consistent with the Food Aid Food Security policy paper, and address the host country's most serious problems in the areas of food access, availability, and utilization.

Applicant Eligibility:

Private non-profit institutions/organizations are eligible for this program. Specifically, an organization must be a Private Voluntary Organization (PVO) or cooperative. PVOs must be registered with USAID as of the date of its application. In order to be awarded a program the organization must also be registered with the Office of Food for Peace.

Beneficiary Eligibility:

Private, non-profit institutions/organizations will benefit from this program along with vulnerable and food insecure populations in low income food deficit countries (LIFDCs) and least developed countries (LDCs).

Credentials/Documentation:

USAID Registration; Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is required.

Preapplication Coordination:

Not required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submission of Application (including SF 424 and 424a) as required by DAP guidelines and application instructions.

Award Procedure:

Applications are submitted to the Office of Food for Peace. All applications are reviewed for completeness by the recipient office and then given to a technical review committee for a competitive review.

Deadlines:

Applications have been due on or about May 15th each year for the start date of October 1st the following year.

Range of Approval/Disapproval Time:

Up to 120 days following submission.

Appeals:

None.

Renewals:

Possible.

Formula and Matching Requirements:

This program has no statutory formula, though cost-sharing is encouraged.

Length and Time Phasing of Assistance:

This funding is available for up to five years under the current program.

Reports:

Each award recipient is required to provide an annual narrative progress report

as well as quarterly financial report (SF 269, SF 269a (as applicable) and SF 272. Annual work-plans must be approved on by the Cognizant Technical Officer on an annual basis.

Audits:

In accordance with the provisions of OMB circular No A-133 (Revised June 23, 2003), Audits of States, Local Governments and Non-Profit Organizations, non-federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year except as noted in OMB Circular A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds and commodities are used for authorized purposes.

Account Identification:

12-2278-0-1-151.

Obligations:

FY 07 \$350,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$1,000,000 to \$25,000,000 over 3-5 years.

PROGRAM ACCOMPLISHMENTS:

The current program assists PVOs to identify, assess, and respond effectively to developmental food insecurity situations using P.L. 480, Title II food assistance in developing countries.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations Title 22, 211, 226 and 228 Volume 1 Parts 1 to 299 (Revised as of April 1, 1999); USAID ADS 300 and cross references.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Food for Peace; Development Programs Division; USAID; 1300 Pennsylvania Avenue, NW; Washington, DC 20523.

Web Site Address:

www.usaid.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funded activities have included: direct food distribution, health, nutritional and agricultural training, food for work, food for training, monetization.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based upon assessed need, technical and programmatic merit, organizational background, competence and experience, past performance and financial plan. This is not a formula program.

98.008 FOOD FOR PEACE EMERGENCY PROGRAM (EP)

FEDERAL AGENCY:

AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

Agriculture, Trade and Development Assistance Act of 1954, as amended, P.L. 480, Title II.

OBJECTIVES:

To improve access, availability and utilization of food in food insecure environments abroad. The priority for Title II emergency programs is to prevent hunger and malnutrition in food insecure areas or countries.

TYPES OF ASSISTANCE:

Project Grants; Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

Private Voluntary Organizations may submit proposals requesting commodities and cash to carry out food aid programs in food insecure areas or countries.

Applicant Eligibility:

Private non-profit institutions/organizations are eligible for this program. Specifically, an organization must be a Private Voluntary Organization (PVO) or cooperative. If a U.S. based PVO, the organization must be registered with USAID as of the date of its application. In order to be awarded a program the organization must also be registered with the Office of Food for Peace.

Beneficiary Eligibility:

Private, non-profit institutions/organizations will benefit from this program along with vulnerable and food insecure populations.

Credentials/Documentation:

USAID Registration; Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is required.

Preapplication Coordination:

Not required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submission of Application (including SF 424 and 424a) in line with Emergency Proposal Guidelines.

Award Procedure:

Unsolicited emergency applications are submitted to the Office of Food for Peace throughout the year to respond to natural disasters, complex emergencies and post-emergency stabilization needs. An emergency appeal or disaster

declaration is the "triggering mechanism" that allows FFP to consider requests for emergency food assistance. All applications for assistance are reviewed for completeness and then given a technical review. Awards are negotiated based on a demonstrated need for food aid and the ability of the proposed assistance to respond to the indicated need(s).

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Although there is no binding timeframe, emergency situations often require a quick response. Therefore, FFP/EP works with the objective of completing the proposal review within 21 working days of receipt of a complete proposal. Delays may occur when required information is not included in the proposal.

Appeals:

Cooperating sponsors are given the opportunity to re-work their proposed programs to effectively meet demonstrated need.

Renewals:

Possible.

Formula and Matching Requirements:

This program has no statutory formula, though cost-sharing is encouraged.

Length and Time Phasing of Assistance:

Emergency Programs are approved for up to one year. The average time frame for an emergency response is six months to a year.

Reports:

Project reports should be submitted to FFP/EP on a semi-annual basis unless otherwise established in the Transfer Authorization. Programs are evaluated on the basis of stated objectives agreed to with FFP, as part of the yearly program performance review and USAID Annual Report process. The reporting format is found in the Cooperating Sponsor's Transfer Authorization.

Audits:

In accordance with the provisions of OMB circular No A-133 (Revised June 24, 1997), Audits of States, Local Governments and Non-Profit Organizations, non-federal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year except as noted in OMB Circular A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds and commodities are used for authorized purposes.

Account Identification:

12-2278-0-1-151.

Obligations:

FY 07 est not available; and FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Emergency Programs use Public Law 480, Title II resources to meet the critical food needs of targeted groups, with the mission of saving lives and reducing suffering.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations Title 22, 211, 226 and 228 Volume 1 Parts 1 to 299; (Revised as of April 1, 1999); USAID ADS 300 and cross references.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Food for Peace; Emergency Programs Division; USAID; 1300 Pennsylvania Avenue, NW; Washington, DC 20523.

Web Site Address:

www.usaid.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funded activities have included: direct food distribution, health, food for work, monetization.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based upon assessed need, technical and programmatic merit, organizational background, competence and experience, past performance and financial plan. This is not a formula program.

98.009 JOHN OGONOWSKI FARMER-TO-FARMER PROGRAM

(Development assistance program)

FEDERAL AGENCY:

UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

Farm Security and Rural Investment Act of 2002. Section 501, The Agricultural Trade Development and Assistance Act of 1954 7 U.S.C. 1737.

OBJECTIVES:

Improve global food production and marketing by transferring technical skills of the U.S. agricultural community to farmers in participating countries. The purpose of the program is to assist farmers in increasing food production and distribution, by improving the effectiveness of farming and marketing operations."

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grant funds may be used to assist developing countries, middle-income countries, emerging markets, sub-Saharan African countries, and Caribbean Basin countries to:

1. increase farm production and farmer incomes by increasing food production and distribution and improving the effectiveness of the farming and marketing operations of agricultural producers in those countries;
2. improve agricultural and agribusiness operations and agricultural systems in those countries, including improving i) animal care and health; ii) field crop cultivation; iii) fruit and vegetable growing; iv) livestock operations; v) food processing and packaging; vi) farm credit; vii) marketing; viii) inputs; and ix) agricultural extension, and strengthen cooperatives and other agricultural groups in those countries, and
3. transfer the knowledge and expertise of United States agricultural producers and businesses, on an individual basis, to those countries while enhancing the democratic process by supporting private and public agriculturally related organizations that request and support technical assistance activities through cash and in-kind services.

Applicant Eligibility:

The following types of organizations are eligible to apply for grants: agricultural producers, agriculturalists, colleges and universities (including historically black colleges and universities, land grant colleges or universities, and foundations maintained by colleges or universities), private agribusinesses, private organizations (including grassroots organizations with an established and demonstrated capacity to carry out such a bilateral exchange program), private corporations, and nonprofit farm organizations. Applicants must also be registered with USAID (if a U.S. PVO); and waive profits and/or fees under the USAID grant (if a for-profit business).

Beneficiary Eligibility:

Farms, cooperatives, farmers associations, agricultural and food processing and marketing enterprises, rural finance institutions and in some cases public entities seeking assistance in improving agricultural policy and public services to the agricultural sector in developing countries, middle-income countries, emerging markets, sub-Saharan African countries, and Caribbean Basin countries.

Credentials/Documentation:

Organizations aspiring to implement the John Ogonowski Farmer to Farmer Program, must be registered with USAID (if a U.S. PVO); and waive profits and/or fees under the USAID grant (if a for-profit business). In addition they should provide documentation that demonstrates that they have the organizational capacity to implement the program.

Preapplication Coordination:

As may be specified in a published Request for Applications (RFA). This program is excluded from coverage under E.O. 12372.

Application Procedure:

As may be specified in a published Request for Applications. This would normally include, but would not necessarily be limited to Forms SF-424 and 424A, as well as the applicant organization's name, address, contact person and information; project objectives; funding and budget; proposed partners; executive summary, organizational overview, program description, program management and structure; monitoring and impact assessment; cost proposal with budget narrative; planning matrix; staffing plans with resumes of key personnel; letters from proposed partners evidencing knowledge of the program and agreement to participate; evidence of concurrence from USAID missions in countries of proposed implementation; and a summary of USAID-financed activities conducted over the three years preceding the application.

Award Procedure:

As may be specified in a published Request for Applications (RFA). Applications are submitted to the Office of Acquisitions and Assistance, Attn: Farmer-to-Farmer Program, United States Agency for International Development, 1300 Pennsylvania Avenue, Washington DC 20523.

Deadlines:

Specified in the published Request for Applications which appear on the USAID web site and in the Federal Register.

Range of Approval/Disapproval Time:

Approximately three to four months from the receipt of applications.

Appeals:

If an application is rejected, the reasons for rejection are fully stated.

Renewals:

Not applicable.

Formula and Matching Requirements:

There is no formula for matching requirements. Applicants may be encouraged to achieve a target match which would be incorporated in the Request for Applications.

Length and Time Phasing of Assistance:

Cooperative agreements are generally for a period of five years and may be

extended for a total period not to exceed ten years. The length of grants is also governed by the length of the governing legislation.

Reports:

Each award recipient is required to provide Annual Work Plans, Semi-Annual Progress Reports and Quarterly financial statements (Form 269A). Additional reporting may be required to respond to specific requests for information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-2278-0-1-151.

Obligations:

FY 07 \$10,600,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Total program budget has averaged approximately \$10.6 million per year over the past 5 years. Currently there are eleven awards. Awards are in the range of \$1.5 million to \$16 million dollars for programs ranging from five to nine years.

PROGRAM ACCOMPLISHMENTS:

The programs are currently implemented by six U.S. PVOs and two Historically Black Universities that often work with a range of additional sub-grantees. The eight implementers, during the last two years, sent 1,121 volunteers (14% women) to 40 countries. Volunteers worked with 1,032 host organizations (cooperatives and farmer groups, technical support agencies, rural financial services agencies, private businesses, and non-governmental organizations).

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations Title 22, 228 Volume 1, Parts 1 to 299 Revised as of April 1, 1999; USAID Automated Directive System, specifically Series 300 and cross-references.

Regional or Local Office:

Not applicable.

Headquarters Office:

Dr. Shirley Pryor, Program Manager, Farmer-to-Farmer Program, EGAT/AG/ATGO, United States Agency for International Development, Washington, DC 20523. Telephone: (202) 712-4086. Fax: (202) 216-3579. E-Mail Contact: spryor@usaid.gov. Robert Navin, Technical Advisor, Farmer-to-Farmer Program, EGAT/AG/ATGO, United States Agency for International Development, Washington, DC 20523. Telephone: (202) 712-5837. Fax: (202) 216-3579. E-Mail Contact: mavin@usaid.gov. Eric Benschoter, Monitoring and Evaluation Specialist, Farmer-to-Farmer Program, The Mitchell Group, 1325 G St. NW, Suite 400, Washington, DC 20005. Telephone: (202) 219-0476. Fax: (202) 219-0508. E-Mail Contact: ebenschoter@afri-sd.org.

Web Site Address:

http://www.usaid.gov/our_work/agriculture/farmer_to_farmer.htm

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Agribusiness development; Dairy production and marketing; Cooperative and farmer association development; Crop diversification; farm management improvement; Field crop production and marketing; Rural financial services; Horticultural production and marketing; Livestock production and marketing; Agricultural market development; and Agricultural technical support services development.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are defined specifically in the RFAs when issued. Generally the technical and organizational criteria are based on potential capacity to efficiently recruit and field volunteers overseas, identify host enterprises in the targeted countries and plan and organize volunteer assignments in to coherent programs that have significant measurable economic impact.

98.010 DENTON PROGRAM

FEDERAL AGENCY:

UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

Title 10, U.S.C. Section 402.

OBJECTIVES:

To put the empty space on U.S. Military transport to good use by providing humanitarian relief transportation for nongovernmental organizations or private citizens, at little or no cost to them.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

The Denton Program is a commodity transportation program that is jointly administered by the U.S. Agency for International Development (USAID), the Department of State (DOS), and the Department of Defense (DOD). The program provides the authority for DOD to use any extra space on U.S. military cargo aircraft to transport donated goods for humanitarian relief. The minimum and maximum weight allowance for Denton shipments is 2,000 lbs. and 100,000 lbs., respectively. Time and/or temperature sensitive cargo, perishable food stuff, pharmaceutical samples, passengers, livestock, hazardous material, and vehicles requiring a special driver are ineligible commodities under the Denton program.

Applicant Eligibility:

Applicants must be an U.S. based private voluntary organization (PVOs), non-governmental organization (NGOs) or small organization.

Beneficiary Eligibility:

PVOs, NGOs and International Organizations (IOs) in eligible countries.

Credentials/Documentation:

Evidence that the goods are in usable condition; the consignee in country is legitimate and has the capacity to receive and distribute goods; and the goods are packaged properly and in compliance with U.S. military procedures.

Preapplication Coordination:

Donors need to contact the Denton program officer prior to submitting an application to determine whether the program is possible in a specific country. Applicants must provide a detailed packing list of the goods; identify staff in-country to ensure proper pick-up and distribution of commodities; provide duty-free Certification for the country to which the commodities will be shipped; and ensure compliance with all legal requirements in the recipient country. This program is excluded from coverage under E.O. 12372.

Application Procedure:

All prospective donors are encouraged to apply online for U.S. military space available transportation under the Denton program. Information on the guidelines and the application can be accessed at www.dentonfunded.ida.org. The procedures are specified in the application. These include the applicant name, address, contact information; consignee name, address, contact information; the description of beneficiaries, impact areas, distribution plan; the detailed list of items; the cargo weight, volume and U.S. warehouse location; and the duty-free certification.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

The Denton Program requires the coordination of USAID, DOS, and DOD throughout the approval process. Applications take from 6-8 weeks to be approved and an additional three months or more (depending upon availability) to receive Department of Defense space-available transport. Neither approval nor transportation is guaranteed.

Appeals:

Not applicable.

Renewals:

Donors may submit only one application per country at a time. They cannot reapply to a country until the prior transportation request has been completed.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Since the Denton program is a space available program, it is impossible to predict when transportation will be provided; therefore, no guarantees can be made regarding completion of a donated humanitarian goods shipment.

Reports:

Donors must submit a report to USAID/Washington within 30 days after the goods are distributed overseas, describing in detail how they were in fact distributed, to whom and how the goods were used. This report will be compared with the distribution plan provided as part of the application for transport assistance. Failure to submit the report in a timely manner will result in the donor's future disqualification from the participation in the Denton Program.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

12-2278-0-1-151.

Obligations:

FY 07 \$50,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Approximately \$50,000 per year for inspections.

PROGRAM ACCOMPLISHMENTS:

Fiscal year 2003 Shipments Completed: 25 Tonnage Shipped: 400,000 pounds
Number of Countries Served: 13.

REGULATIONS, GUIDELINES, AND LITERATURE:

See www.dentonfunded.ida.org.

Regional or Local Office:

Not applicable.

Headquarters Office:

Denton Program Officer, Office of Private and Voluntary Cooperation, Bureau for Democracy, Conflict and Humanitarian Assistance, United States Agency for International Development, Washington, DC 20523. Telephone: (202) 712-4795.

Web Site Address:

http://www.usaid.gov/hum_response/pvc/ and www.dentonfunded.ida.org.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications that conform to the stated guidelines and procedures will be accepted for space-available transportation of the donated commodities under the Denton program.

98.011 GLOBAL DEVELOPMENT ALLIANCE

FEDERAL AGENCY:

UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

Foreign Assistance Act of 1961, as amended.

OBJECTIVES:

The Global Development Alliance (GDA) business model provides a framework for enabling public-private alliances (PPA's) to carry-out activities that promote international development objectives. Through the leveraging, sharing the cost of resources and pooled expertise, the PPA model seeks to achieve greater impact than individual entities working alone. For further information please visit http://www.usaid.gov/our_work/global_partnerships/gda/.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds are authorized through grants, cooperative agreements, and Leader-with Associate Grants, to U.S. and non-U.S. for profit and not for profit organizations to carry out activities to enhance development objectives. Potential for-profit applicants should note that USAID policy prohibits the payment of fee/profit to recipients under assistance instruments. Potential partners should note that cash and in-kind contributions, including volunteered services qualify as cost-share or leveraging, however forgone profit does not qualify as cost-sharing or leveraging. The resource contribution of the partners must be at least as great as that requested of USAID to achieve a minimum 1:1 leverage ratio.

Applicant Eligibility:

The eligibility criteria for potential alliance partners is broad, and the following list of potential partners is for illustrative purposes: U.S. and non-U.S. Non-Governmental Organizations, U.S. and non-U.S. private businesses, foundations, business and trade associations, international organizations, U.S. and non-U.S. colleges and universities, U.S. cities and states, other U.S. Government agencies, civic groups, other donor governments, host country governments, regional organizations, host country parastatals, individual and group philanthropies and funds etc. Non-Governmental Organizations (NGO) do not need to be registered as Private Voluntary Organizations to submit a proposal.

Beneficiary Eligibility:

Beneficiaries are foreign governments, foreign public or private institutions or organizations, and/or the people of the developing world.

Credentials/Documentation:

Applicants who have never received a grant from USAID may be required to provide additional documentation that will be requested by the Operating Unit issuing the grant.

Preapplication Coordination:

USAID requires the use of brief concept papers which will allow for formal Agency feedback prior to the submission of a full application. Concept papers may be submitted to the USAID Mission, Washington Operating Unit or to the GDA Secretariat. Prospective alliance partners are strongly encouraged to seek field Mission or Washington operating unit input prior to submission of a proposal. For further guidance on concept papers, please see the GDA website at: http://www.usaid.gov/our_work/global_partnerships/gda/. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants are encouraged to apply at individual USAID Missions and operating units. The GDA Secretariat staff is readily available to meet with prospective partner organizations and can assist organizations with points of contacts within USAID. The Secretariat may also provide feedback and comments on applicant concept papers. USAID encourages applicants to use the standard format that will be in the formal solicitation to be issued at www.grants.gov.

Award Procedure:

Official notice of approved application is made by the Agreement Officer through the issuance of a Grant Award, Cooperative Agreement or a Leader with Associate Grant.

Deadlines:

This is an open announcement; Deadlines are not applicable. Other solicitations

that incorporate Public-Private Alliances may provide deadlines. See specific announcements at www.grants.gov for required submission deadlines.

Range of Approval/Disapproval Time:

The range for receiving a response is from 60 to 90 days.

Appeals:

None.

Renewals:

Extensions to the PPA project period may be made if deemed appropriate by the Agreement Officer. Existing awards may be modified to include alliance components.

Formula and Matching Requirements:

The total USAID funds committed over the life of the alliance activity must leverage at least the same amount of total partner resources, with preference given for higher leveraging. Partner contributions (non-USAID funds) must include private (meaning non-public sector) resources at least equal to 25% of the value of the requested USAID resources. Resources may be cash or in-kind contributions such as technical assistance, services, products, technology, and software.

Length and Time Phasing of Assistance:

Grants, cooperative agreements and leader with associate grants may be issued for up to a five-year period, and are generally funded on a 12-month basis. Support beyond the first year is contingent upon the availability of funds.

Reports:

Grantees must submit progress reports. Reporting periods vary from quarterly to annually. Comprehensive final reports are due no later than 90 days after the completion of projects. Expenditure reports are required 90 days after the end of each reporting period.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

72-1021-0-1-151 Development Assistance; 72-1095-0-1-151 Child Survival and Health Program Funds; 72-1037-0-1-152 Economic Support Fund; 72-1010-0-1-151 Assistance for Eastern Europe and the Baltic States; 72-1093-0-1-151 Assistance for the Independent States of the Former Soviet Union; 72-1035-0-1-151 International Disaster Assistance.

Obligations:

FY 07 \$395,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Mission and Washington Operating Units generally make awards for up to five years. PPAs can be made at small and large dollar values depending upon the needs, partner contribution level and resources being allocated by USAID. Typical awards range from \$300,000 to \$1,000,000 with GDA Incentive Funds.

PROGRAM ACCOMPLISHMENTS:

USAID's alliance activities pursue multiple strategic objectives in more than 100 countries around the world. USAID has funded nearly 400 alliances with \$1.5 billion of resources, and leveraged over \$4.6 billion in Partner resources. The objectives of these alliances support the Agency's objectives to: (1) Advance the growth of democracy and good governance, including civil society, the rule of law, respect for human rights, and religious freedom; (2) Strengthen world economic growth, development, and stability, while expanding opportunities for U.S. businesses and ensuring economic security for the nation; (3) Improve health, education, environment, and other conditions for the global population; and (4) Minimize the human costs of displacement, conflicts, and natural disasters.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grant administration policies are in 22 CFR 226, and may be found at <http://www.gpo.gov/nara/cfr/index.html>. Internal USAID policy directives, including standard formats, may be found in Automated Directive Systems (ADS) Chapter 303. The ADS is available on the USAID website at <http://www.usaid.gov/pubs/ads/>. Other information regarding USAID's program may be found at www.usaid.gov.

Regional or Local Office:

Jim Thompson, GDA Secretariat, 202-712-4272, United States Agency for International Development, Washington, DC 20523. USAID Mission's contact information can be found at www.usaid.gov.

Headquarters Office:

GDA Secretariat, U.S. Agency for International Development, Washington D.C. 20523.

Web Site Address:

http://www.usaid.gov/our_work/global_partnerships/gda/.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

See the GDA Secretariat website:

http://www.usaid.gov/our_work/global_partnerships/gda/.

CRITERIA FOR SELECTING PROPOSALS:

Public/Private Alliance proposals will be evaluated based upon the criteria expressed in the formal solicitation that will be posted at www.grants.gov. Individual USAID Missions or Operating Units may also issue competitive solicitations with a Public/Private Alliance model and the criteria may differ from those noted in the Global Development Alliance Secretariat's announcement.

98.012 USAID DEVELOPMENT PARTNERSHIPS FOR UNIVERSITY COOPERATION AND DEVELOPMENT

FEDERAL AGENCY:

UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT,
BUREAU FOR ECONOMIC GROWTH, AGRICULTURE AND TRADE,
OFFICE OF EDUCATION

AUTHORIZATION:

Worldwide higher education partnerships for development program (936-5861 project number). Authorized June 26, 1997, Congressional reference: CP 1997, Statistical Annex, page 306.

OBJECTIVES:

The purpose of the program is to support and advance the achievement of USAID's strategic objectives through the application of higher education resources, talents and expertise.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Assistance can be used by U.S. institutions of higher education to collaborate with institutions of higher education in developing countries to conduct projects that address critical development needs. Projects must be advance USAID development objectives in the proposed country of activity. A comprehensive list of prior activities funded under this agreement can be found at www.HEDProgram.org/. Use restrictions are also specified in the terms of each solicitation issued under the cooperative agreement. Additional information regarding the cooperative agreement can be found at www.HEDProgram.org/.

Applicant Eligibility:

US institutions of higher education, including community colleges, are eligible to apply, subject to the terms of any solicitation. Solicitations and any additional eligibility requirements unique to the solicitation are published at www.HEDProgram.org/.

Beneficiary Eligibility:

US institutions of higher education, including community colleges, are eligible to apply, subject to the terms of any solicitation. Solicitations and any additional eligibility requirements unique to the solicitation are published at www.HEDProgram.org/.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Solicitations and respective application procedures are announced via the Higher Education for Development Office. (See www.HEDProgram.org/).

Award Procedure:

Applications and proposals are peer-reviewed. Awards are made to the top proposals under the terms of the solicitation, subject to USAID approval and available funding. The awards are issued under USAID's cooperative agreement with the American Council on Education. Additional information can be found at www.HEDProgram.org/.

Deadlines:

Application deadlines are specified in each solicitation. Solicitations can be found at www.HEDProgram.org/.

Range of Approval/Disapproval Time:

Applicants should expect between 45-90 days for approval or disapproval.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

There is a requirement for matching. Applicants may be encouraged to achieve a target match which would be incorporated in the solicitation.

Length and Time Phasing of Assistance:

None.

Reports:

Each award recipient is required to provide Annual Work Plans, Semi-Annual Progress Reports and Quarterly financial statements (Form 269A). Additional reporting may be required to respond to specific requests for information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, non-federal entities that expand financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

72-1021-0-1-151 Development Assistance 72-1095-0-1-151 Child Survival and Health Program Fund 72-1037-0-1-151 Economic Support Fund.

Obligations:

FY 07 \$25,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Averaging \$22.6 million per year from FY 04 to FY 06 and ranging from \$14.3 million to \$31 million.

PROGRAM ACCOMPLISHMENTS:

Accomplishments: Higher Education for Development (HED), formerly Association Liaison Office for University Cooperation in Development (ALO) The HED provides support to the U.S. higher education community (HEC) to facilitate a working partnership with USAID. It is designed to support the achievement of USAID's strategic objectives, provide support to higher education institutions in USAID presence countries, strengthen their roles in addressing development problems, and increase the attention given to international development issues on U.S. campuses and by constituents and cooperating institutions. HED's work has been largely focused on support of higher education partnerships -- collaborative projects between U.S. colleges or universities and similar institutions overseas. To date, HED has financed 226 partnerships in 59 countries where USAID operates. There are two categories of HED partnerships: Institutional Partnerships (IPs) and Special Initiatives (SIs). IPs are funded centrally, whereas SIs are funded by Missions or AID/Washington Bureaus and Offices. Overall, the program has involved at least 139 U.S. colleges and universities and 174 higher education institutions overseas. Partnerships produce results and or products that support USAID Missions strategic objectives in agriculture/agri-business, economic growth and trade, environment and natural resource management, education, democracy and governance, HIV/AIDS, community development, workforce development, internet and information technology, population, health, and nutrition. HED Assessment Findings: Institutional Partnerships. A key goal of HED is to promote partnerships and strategic alliances among higher education institutions for development cooperation. The primary means for achieving this goal has been the IP program. The assessment team's principal findings with respect to IPs are as follows: Achieving Objectives. IPs aim primarily at change in the overseas higher education institution that will enhance its capability in a sector of interest to USAID. HED's outreach and selection processes have been effective in attracting and incorporating a large and diverse group of U.S. higher education institutions (universities, colleges, community colleges and historically black colleges) and overseas partner institutions. IPs have been undertaken on a very broad array of topics reflecting the interests and priorities of the key individuals involved. Most IPs achieve their planned results, and many go beyond initial plans to achieve unanticipated positive results. The achievements of many individual IPs are particularly impressive in view of the small amount of USAID resources provided to them. Impact. Measuring the impact of individual IPs is difficult, and, in fact, little has been done in this respect so far. The team's observations of 34 IPs suggest, however, that many will have or are likely to have significant impact, at least on their immediate environments. Relation to USAID. While most Missions are generally aware of the HED program, in many, there is little knowledge of the centrally-funded IPs operating in their countries and, as a result, they do not take full advantage of the possibilities. Special Initiatives. SIs have several advantages over IPs, including larger budgets, longer terms, and potential renewal. The funding comes from the Missions, which set the priorities and desired outcomes, prepare the scope of work for the request for applications (RFAs), and often select the host country partners. The Missions are also actively involved in planning, implementation, and monitoring. Overall Partnership Findings. Over the course of its work the team developed a high regard for both the IP and SI programs. Multiple instances were found of projects that achieved or were achieving their objectives and, in many cases, went well beyond their initial scope. The principal shortcoming was the lack, overall, of sufficient integration of partnerships, particularly IPs, into USAID strategic frameworks.

REGULATIONS, GUIDELINES, AND LITERATURE:

See www.HEDProgram.org/.

Regional or Local Office:

None.

Headquarters Office:

Martin Hewitt, Higher Education Community Liaison, USAID, 1300 Pennsylvania Avenue, N.W., Washington DC 20523. Phone: (202) 712-1531. Fax: (202) 216-3229 Email: mhewitt@usaid.gov.

Web Site Address:

www.usaid.gov/; www.HEDProgram.org/.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Alamo Community College District/Universidad Tecnologica de Coahuila Award Date: 2004 TIES Cycle II Award Amount: \$299,017 Cost Share: \$512,976 Other Partners: El Paso Community College; Texas State Technical College at Harlingen; Universidad Tecnologica de Matamoros; Universidad Tecnologica de Ciudad Juarez The partnership will increase the productivity of maquiladora manufacturing workers. The partners will develop an international institutional partnership between three Mexican technical colleges and three American community colleges to integrate proven workforce development solutions to help Mexico adapt to global competition and new technology, and to fill emerging gaps in workforce skills in the maquiladora manufacturing sector. Three hundred Mexican manufacturing/maquiladora workers at risk of displacement will participate in courses on short-term workforce solutions. Thirty advanced manufacturing workforce development training courses will be delivered in priority areas. In addition, seven scholarships will be provided to personnel from Mexican technical colleges to attend a Texas A&M University-Corpus Christi graduate-level certificate program in Higher Education Administration Community College Workforce Development Administration and Leadership. Cornell University/Bahir Dar University Award Date: 2003 Award Amount: \$117,618 Cost Share: \$87,523 Other Partners: Amhara Micro-Enterprise Agriculture Research Extension and Watershed Management Project; Amahara Regional Agricultural Research Institute The partners aim to enhance agricultural production to provide food security programs for current and future generations in Ethiopia. The partnership is training a cadre of young professionals in integrated watershed management in Ethiopia. The focus of the partnership is on Lake Tana and its watershed, in the vicinity of Bahir Dar University. Partnership activities include the interdisciplinary training of 100 students who, once they graduate, will better manage existing natural resources. Highline Community College/False Bay College Award Date: 2003 Award Amount: \$125,000 Cost Share: \$48,850 Other Partners: Disabled Persons of South Africa (DPSA); Students Health and Welfare Centers Organization (SHAWCO); Western Cape Education Department; Altitude Workforce Solutions The partners are developing a model to provide workforce training and job placement for the disabled. By building the capacity of False Bay College (FBC) to work with businesses, nongovernmental organizations, and the South African government agencies responsible for workforce development, the partnership is demonstrating that people with disabilities can be integrated into the workplace through a supported employment model. FBC administrators and a representative from the organization, Disabled Persons of South Africa, visited Highline Community College (HCC) to learn how the model works. Two HCC specialists on supported employment conducted a workshop for FBC instructors and staff on HCC's supported employment model and helped develop an orientation program for future participants. The partners have identified potential employers and are finishing work on a curriculum that will address the full spectrum of student needs and employer demands. The National Department of Education has recognized FBC's commitment to providing workforce training for the disabled by selecting FBC to be the pilot Further Education Training Institution for inclusive education. Indiana University/South East European University Special Initiative: 2001 Award Amount: \$2,325,000 Cost Share: \$584,499 Other Partners: Indiana Consortium for International Programs USAID/Macedonia, USAID/Europe and Eurasia Bureau; Organization for Security and Cooperation in Europe; Sabre Foundation This Special Initiative is building the institutional capacity of South East European University (SEEU) as a new multilingual, multi-ethnic institution that offers a Western-style curriculum, high-quality teaching, action-oriented research, and community outreach. Among significant accomplishments, the partnership has developed and taught an intensive ESL program for students and professionals at SEEU and redesigned the SEEU computer center and trained 22 local full-time and part-time instructors. The partners have begun a one-semester computer literacy curriculum, required of all students, and sponsored visits to SEEU by U.S. faculty in business, education, communications, law, and public administration to consult on curriculum design, teach courses, offer faculty workshops, and conduct joint research projects. The partners selected and enrolled six SEEU junior faculty for M.A. fellowships at IU and other Indiana institutions, who will return to SEEU to teach. Also, the partners have enrolled SEEU ESL teachers in an online certificate course in language education, the first at any Macedonian university. SEEU's Chief Information Technology Officer took a three-week training program in university information systems technology at IU, and 10 IT instructors from SEEU have undertaken a second distance IT course leading to an IU certificate. The partners have delivered approximately 16,000 textbooks and reference materials to the SEEU library,

donated by the Sabre Foundation. An IU library consultant visited SEEU for two weeks and an SEEU librarian traveled to Indiana to for one month of training at U.S. partner institutions. SEEU has also received much-needed educational support equipment from IU partners. Langston University/Debub University, Awassa College of Agriculture Award Date: 1998, 2000 EDDI Sustainability (completed June 2003) Award Amounts: \$100,000 (1998), \$100,000 (2000 EDDI) Final Cost Share: \$119,219 Other Funds Leveraged: \$6,000 Other Partner: Oklahoma State University Partners enhanced the teaching, research, and extension capability of the Awassa College of Agriculture at Debub University (DU), and enhanced food security of the surrounding villages by establishing women's groups for goat production. More than 150 women received two goats each and were trained in dairy production. Two DU staff members received training in surgical procedures, and three visiting scholars from DU completed research at Langston University on aspects of goat production relevant to Ethiopian conditions. A DU faculty member received training in adult education and extension at Oklahoma State University (OSU). This faculty member subsequently conducted a workshop on adult education and extension for DU staff, in conjunction with an OSU faculty member. Two staff members from agricultural high schools received training in goat production. Partners also purchased equipment and installed a computer lab at DU and improved computer facilities on the campus. They conducted three seminars at DU on multimedia applications and their role in classroom instruction and extension activities. Partners then used multimedia in extension presentations to villagers participating in the goat-raising program. Tiffin University (GCDC)/University of Bucharest Award Date: 1999 (completed January 2003) Award Amount: \$98,289 Cost Share: \$86,000 Other Funds Leveraged: \$123,947 Other Partners: Council of International Programs USA (CIPUSA); Ministry of Justice; Ministry of the Interior; Ohio Department of Development; Ohio Attorney General's Office; Office of the Governor of the State of Ohio; local police departments; Bureau of Alcohol, Tobacco and Firearms; Drug Enforcement Agency; Department of Health and Human Services; National Association of the Fraternal Order of Police; San Diego State University; Terra Community College; Ohio State University; Loyola University; Women's Organization of Moldova; the probation center in Timisoara This partnership created a School of Criminal Justice (SCJ) at the University of Bucharest (UB), the first of its kind in Eastern and Central Europe. The partners developed a master's-level criminal justice course. In September 2001, UB graduated its first class of 15 master's degree students from the SCJ. Students in the course included the general director of Romania's prison system and members of his staff, administrators from the Ministries of Justice and Interior, and members of the police force. The partners added probation, juvenile justice, parole, and restorative justice to the curriculum, areas new to Romania's legal landscape. Introduction to Criminal Justice, a book written by the Tiffin University partnership director, was translated into Romanian to be used as the seminal text for the program. The president and prime minister of Romania affirmed their support for expanding the master's-level program to five other Romanian universities, including the West Timisoara University, where partner faculty will serve as visiting professors. University of Illinois, Urbana Champaign/Institute of Technology, Bandung Award Date: 1999 (completed May 2003) Award Amount: \$100,000 Cost Share: \$168,320 Other Funds Leveraged: \$237,250 Other Partners: Indonesia Partnership for Local Government Initiatives; Emerson Park Development Corporation; Forum Jatinangor This partnership executed a university-community collaboration model to strengthen local governance. Partners conducted five workshops with 198 participants at the start of the project to identify the most pressing development issues arising from the rapid growth of Jatinangor, an emerging urban area adjacent to Bandung. The partners conducted annual training programs at the University of Illinois at Urbana-Champaign's (UIUC) Local Government Management Institute to introduce Indonesian faculty to participatory planning in the context of U.S. local government. Two members of Forum Jatinangor, a grassroots organization, participated in the two-week institute and one member remained for a monthlong internship with the East St. Louis Action Research Project and the Emerson Park Development Corporation. The partners developed five new courses in city, regional, and international planning. The partnership's workforce development activities included establishing a skills retooling program for pedicab drivers in Jatinangor and supporting retention of local workers in the woodworking industry. University of Massachusetts Amherst/Afghanistan Education University Award Date: 2003 Award Amount: \$124,993 Cost Share: \$31,113 Other Funds Leveraged: \$5,000 The goal of this partnership is to build local institutional capacity for rapid teacher training in basic education. In response to the urgent educational needs in Afghanistan, the partners are increasing the Afghanistan Education University's (AEU) capability to train accelerated learning facilitators at the community level, develop culturally appropriate training materials, and promote dialogue on the role of rapid teacher training in AEU's academic program. To support project activities, the partners are establishing a Center for Active and Accelerated Learning at AEU, which will house project materials, provide logistical support for workshops and other linkage activities, and provide translation services. Originally, the partners had planned to train only 10 master trainers, but due to overwhelming demand and

despite enormous infrastructure and security challenges they have trained 38 to date, who, in turn, have conducted workshops for 371 participants in six provinces.

CRITERIA FOR SELECTING PROPOSALS:

Criteria vary by solicitation and are specified for each solicitation. In general, proposals are reviewed for the quality of the proposed activities and the impact such activities will likely have on critical development problems and objectives. Cost-effectiveness, short and long-term impacts, capacity development, cost-share, leverage and the qualifications of the personnel and institutions are all important. See www.HEDProgram.org/ for exemplary solicitations and associated proposal review criteria.



Appendix I: Programs Requiring Executive Order 12372 Review

This appendix lists programs requiring Executive Order 12372 review. Executive Order 12372 "Intergovernmental Review of Federal Programs," structures the Federal government's system of consultation with State and local governments on its decisions involving grants, other forms of financial assistance, and direct development. Under E.O. 12372, States, in consultation with their local governments, design their own review processes and select those Federal financial assistance and direct development activities they wish to review.

Since October 1, 1983 most States have acted to establish a review and comment system in response to E.O. 12372. In such cases, applicants for grants will need to meet the requirements of the intergovernmental consultation process established by a particular State and its local governments before Federal agencies take action on an application.

For more information on the process a particular State requires to be followed, an applicant should contact the office or official designated as the single point of contact in his or her State. Consult the individual program description in the Catalog to determine whether the programs under which he/she plans to apply is subject to E.O. 12372. Consult the Federal agency's application package for instructions on State notification.

The State Single Point of Contact List is printed at the end of the listing of programs.

DEPARTMENT OF AGRICULTURE

- 10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)
- 10.028 Wildlife Services (B)
- 10.070 Colorado River Basin Salinity Control Program (C)
- 10.156 Federal-State Marketing Improvement Program (B)
- 10.164 Wholesale Farmers and Alternative Market Development (K,M)
- 10.168 Farmers' Market Promotion Program (B)
- 10.253 Food Assistance and Nutrition Research Programs (FANRP) (L)
- 10.405 Farm Labor Housing Loans and Grants (B,F)
- 10.411 Rural Housing Site Loans and Self-Help Housing Land Development Loans (E)
- 10.415 Rural Rental Housing Loans (B,E)
- 10.420 Rural Self-Help Housing Technical Assistance (B)
- 10.427 Rural Rental Assistance Payments (C)
- 10.433 Rural Housing Preservation Grants (B)
- 10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)
- 10.553 School Breakfast Program (A)
- 10.555 National School Lunch Program (A)
- 10.556 Special Milk Program for Children (A)
- 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children (A)
- 10.558 Child and Adult Care Food Program (A)
- 10.559 Summer Food Service Program for Children (A)
- 10.560 State Administrative Expenses for Child Nutrition (A)
- 10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)
- 10.565 Commodity Supplemental Food Program (A,H)
- 10.566 Nutrition Assistance For Puerto Rico (C)
- 10.567 Food Distribution Program on Indian Reservations (B,H)
- 10.568 Emergency Food Assistance Program (Administrative Costs) (A)
- 10.569 Emergency Food Assistance Program (Food Commodities) (A)
- 10.664 Cooperative Forestry Assistance (A,B)
- 10.675 Urban and Community Forestry Program (B)
- 10.680 Forest Health Protection (A,B)
- 10.760 Water and Waste Disposal Systems for Rural Communities (B,E,F)
- 10.763 Emergency Community Water Assistance Grants (B)
- 10.767 Intermediary Relending Program (E)
- 10.768 Business and Industry Loans (F)
- 10.769 Rural Business Enterprise Grants (B)
- 10.770 Water and Waste Disposal Loans and Grants (Section 306C) (B,E)
- 10.771 Rural Cooperative Development Grants (B)
- 10.773 Rural Business Opportunity Grants (B)
- 10.854 Rural Economic Development Loans and Grants (B,E)
- 10.855 Distance Learning and Telemedicine Loans and Grants (B,E)
- 10.901 Resource Conservation and Development (K)
- 10.904 Watershed Protection and Flood Prevention (B,K)
- 10.906 Watershed Surveys and Planning (J,K)

DEPARTMENT OF COMMERCE

- 11.300 Investments for Public Works and Economic Development Facilities (B)
- 11.307 Economic Adjustment Assistance (B)
- 11.400 Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)
- 11.405 Anadromous Fish Conservation Act Program (B)
- 11.407 Interjurisdictional Fisheries Act of 1986 (A)
- 11.419 Coastal Zone Management Administration Awards (A,B)
- 11.420 Coastal Zone Management Estuarine Research Reserves (B)
- 11.426 Financial Assistance for National Centers for Coastal Ocean Science (B)
- 11.427 Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)
- 11.433 Marine Fisheries Initiative (B)
- 11.434 Cooperative Fishery Statistics (B)
- 11.435 Southeast Area Monitoring and Assessment Program (B)
- 11.436 Columbia River Fisheries Development Program (B)
- 11.437 Pacific Fisheries Data Program (B)
- 11.438 Pacific Coast Salmon Recovery-Pacific Salmon Treaty Program (B)

Appendix I: Programs Requiring Executive Order 12372 Review

- 11.439 Marine Mammal Data Program (B)
- 11.443 Short Term Climate Fluctuations (B)
- 11.452 Unallied Industry Projects (B)
- 11.454 Unallied Management Projects (B)
- 11.455 Cooperative Science and Education Program (B)
- 11.457 Chesapeake Bay Studies (B)
- 11.463 Habitat Conservation (B)
- 11.467 Meteorologic and Hydrologic Modernization Development (B,D,L,M)
- 11.472 Unallied Science Program (B)
- 11.473 Coastal Services Center (B)
- 11.474 Atlantic Coastal Fisheries Cooperative Management Act (B)
- 11.550 Public Telecommunications Facilities Planning and Construction (B)
- 11.611 Manufacturing Extension Partnership (B,L)

DEPARTMENT OF DEFENSE

- 12.002 Procurement Technical Assistance For Business Firms (B)
- 12.100 Aquatic Plant Control (J,L)
- 12.101 Beach Erosion Control Projects (J)
- 12.104 Flood Plain Management Services (K,L)
- 12.105 Protection of Essential Highways, Highway Bridge Approaches, and Public Works (J)
- 12.106 Flood Control Projects (J)
- 12.107 Navigation Projects (J)
- 12.108 Snagging and Clearing for Flood Control (J)
- 12.109 Protection, Clearing and Straightening Channels (J)
- 12.110 Planning Assistance to States (J)
- 12.113 State Memorandum of Agreement Program for the Reimbursement of Technical Services (B)
- 12.360 Research on Chemical and Biological Defense (B)
- 12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation (B)
- 12.610 Community Economic Adjustment Planning Assistance for Joint Land Use Studies (B)
- 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment (B)

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

- 14.112 Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (F)
- 14.123 Mortgage Insurance-Housing in Older, Declining Areas (F)
- 14.126 Mortgage Insurance-Cooperative Projects (F)
- 14.127 Mortgage Insurance-Manufactured Home Parks (F)
- 14.128 Mortgage Insurance-Hospitals (F)
- 14.129 Mortgage Insurance-Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (F)
- 14.134 Mortgage Insurance-Rental Housing (F)
- 14.135 Mortgage Insurance-Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)
- 14.138 Mortgage Insurance-Rental Housing for the Elderly (F)
- 14.139 Mortgage Insurance-Rental Housing in Urban Renewal Areas (F)
- 14.151 Supplemental Loan Insurance-Multifamily Rental Housing (F)
- 14.157 Supportive Housing for the Elderly (C)
- 14.181 Supportive Housing for Persons with Disabilities (C)
- 14.184 Mortgages Insurance for Single Room Occupancy (SRO) Projects (F)
- 14.218 Community Development Block Grants/Entitlement Grants (A)
- 14.231 Emergency Shelter Grants Program (A)
- 14.246 Community Development Block Grants/Brownfields Economic Development Initiative (B)
- 14.248 Community Development Block Grants-Section 108 Loan Guarantees (F)
- 14.850 Public and Indian Housing (C)
- 14.866 Demolition and Revitalization of Severely Distressed Public Housing (B)
- 14.872 Public Housing Capital Fund (A)
- 14.878 Affordable Housing Development in Main Street Rejuvenation Projects (B)

DEPARTMENT OF THE INTERIOR

- 15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B,C)
- 15.252 Abandoned Mine Land Reclamation (AMLR) Program (A,B)
- 15.253 Not-for-Profit AMD Reclamation (B)
- 15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP) (B)
- 15.424 Marine Minerals Activities (B)
- 15.425 Offshore Research Technology Center (OTRC) Texas Engineering Experiment Station (TEES) (B)
- 15.427 Federal Oil and Gas Royalty Management (B)
- 15.506 Water Desalination Research and Development Program (B)
- 15.512 Central Valley Project Improvement Act, Title XXXIV (B)
- 15.524 Recreation Resources Management (B)
- 15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs (B)
- 15.605 Sport Fish Restoration Program (A)
- 15.611 Wildlife Restoration (A)
- 15.614 Coastal Wetlands Planning, Protection and Restoration Act (B)
- 15.615 Cooperative Endangered Species Conservation Fund (B)
- 15.616 Clean Vessel Act (B)
- 15.622 Sportfishing and Boating Safety Act (B)
- 15.625 Wildlife Conservation and Restoration (A)
- 15.626 Hunter Education and Safety Program (A)

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15.628	Multistate Conservation Grant Program (B)
15.632	Conservation Grants Private Stewardship for Imperiled Species (B)
15.636	Alaska Subsistence Management (B)
15.904	Historic Preservation Fund Grants-In-Aid (A,B)
15.916	Outdoor Recreation-Acquisition, Development and Planning (B)
15.923	National Center for Preservation Technology and Training (B)
15.926	American Battlefield Protection (B)
15.928	Civil War Battlefield Land Acquisition Grants (B)

DEPARTMENT OF JUSTICE

16.019	Tribal Registry (B)
16.202	Prisoner Reentry Initiative Demonstration (Offender Reentry) (B)
16.524	Legal Assistance for Victims (B)
16.525	Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (B)
16.526	OVW Technical Assistance Initiative (B)
16.527	Supervised Visitation, Safe Havens for Children (B)
16.528	Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)
16.529	Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)
16.540	Juvenile Justice and Delinquency Prevention-Allocation to States (A,B)
16.548	Title V-Delinquency Prevention Program (A)
16.550	State Justice Statistics Program for Statistical Analysis Centers (B)
16.554	National Criminal History Improvement Program (NCHIP) (B)
16.556	Grants to State Sexual Assault and Domestic Violence Coalitions (A)
16.557	Tribal Domestic Violence and Sexual Assault Coalitions Program (B)
16.578	Federal Surplus Property Transfer Program (H)
16.579	Edward Byrne Memorial Formula Grant Program (A)
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program (B)
16.586	Violent Offender Incarceration and Truth in Sentencing Incentive Grants (A)
16.587	Violence Against Women Discretionary Grants for Indian Tribal Governments (B)
16.588	Violence Against Women Formula Grants (A)
16.589	Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)
16.590	Grants to Encourage Arrest Policies and Enforcement of Protection Orders (B)
16.593	Residential Substance Abuse Treatment for State Prisoners (A)
16.597	Motor Vehicle Theft Protection Act Program (B)
16.606	State Criminal Alien Assistance Program (D)
16.609	Community Prosecution and Project Safe Neighborhoods (B)
16.610	Regional Information Sharing Systems (B)
16.611	Closed-Circuit Televising of Child Victims of Abuse (B)
16.612	National White Collar Crime Center (B)
16.614	State and Local Anti-Terrorism Training (K,L,M)
16.710	Public Safety Partnership and Community Policing Grants (B)
16.726	Juvenile Mentoring Program (B)
16.727	Enforcing Underage Drinking Laws Program (B)
16.736	Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (B)
16.738	Edward Byrne Memorial Justice Assistance Grant Program (A,B)
16.741	Forensic DNA Backlog Reduction Program (A)
16.742	Paul Coverdell Forensic Sciences Improvement Grant Program (A)
16.750	Support for Adam Walsh Act Implementation Grant Program (B)

DEPARTMENT OF LABOR

17.207	Employment Service/Wagner-Peyser Funded Activities (A,B)
17.235	Senior Community Service Employment Program (A,B)
17.260	WIA Dislocated Workers (A,B)
17.266	Work Incentive Grants (B)
17.600	Mine Health and Safety Grants (B)
17.801	Disabled Veterans' Outreach Program (DVOP) (A)
17.802	Veterans' Employment Program (B)
17.804	Local Veterans' Employment Representative Program (A)

DEPARTMENT OF TRANSPORTATION

20.106	Airport Improvement Program (B,K)
20.108	Aviation Research Grants (B,I)
20.109	Air Transportation Centers of Excellence (B,I,J)
20.205	Highway Planning and Construction (A,B)
20.219	Recreational Trails Program (A,B)
20.231	Performance and Registration Information Systems Management (A)
20.303	Grants-in-Aid for Railroad Safety-State Participation (B)
20.316	Railroad Rehabilitation and Improvement Financing Program (E)
20.500	Federal Transit-Capital Investment Grants (A,B)
20.505	Federal Transit-Metropolitan Planning Grants (A)
20.507	Federal Transit-Formula Grants (A)
20.513	Capital Assistance Program for Elderly Persons and Persons with Disabilities (A)
20.514	Public Transportation Research (B,L,M)
20.515	State Planning and Research (A)
20.516	Job Access-Reverse Commute (B)

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- 20.600 State and Community Highway Safety (A)
- 20.601 Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants (B)
- 20.602 Occupant Protection (B)
- 20.605 Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons (B)
- 20.612 Incentive Grant Program to Increase Motorcyclist Safety (B)
- 20.700 Pipeline Safety Program Base Grants (A)
- 20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)
- 20.721 PHMSA Pipeline Safety Program One Call Grant (B)

NATIONAL ENDOWMENT FOR THE ARTS

- 45.025 Promotion of the Arts-Partnership Agreements (A,B,K)

NATIONAL ENDOWMENT FOR THE HUMANITIES

- 45.168 Promotion of the Humanities-We the People (B,D)

INSTITUTE OF MUSEUM AND LIBRARY SERVICES

- 45.310 Grants to States (A)

NATIONAL SCIENCE FOUNDATION

- 47.076 Education and Human Resources (B)

SMALL BUSINESS ADMINISTRATION

- 59.037 Small Business Development Centers (B,J,K,L)

DEPARTMENT OF VETERANS AFFAIRS

- 64.005 Grants to States for Construction of State Home Facilities (B)
- 64.201 National Cemeteries (J)
- 64.203 State Cemetery Grants (B)

ENVIRONMENTAL PROTECTION AGENCY

- 66.001 Air Pollution Control Program Support (B)
- 66.032 State Indoor Radon Grants (B)
- 66.033 Ozone Transport Commission (B)
- 66.035 Community Action for a Renewed Environment (CARE) Program (B)
- 66.110 Healthy Communities Grant Program (B)
- 66.115 Surveys, Studies, Investigations, Training, Demonstrations, and Special Purpose Grants for EPA Region 10 Regional Geographic Initiative (B)
- 66.116 Regional Geographic Initiative/Environmental Priority Projects (B)
- 66.119 West Coast Estuaries Initiative (B)
- 66.202 Congressionally Mandated Projects (B)
- 66.203 Environmental Finance Center Grants (B)
- 66.305 Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)
- 66.312 State Environmental Justice Cooperative Agreement Program (B)
- 66.418 Construction Grants for Wastewater Treatment Works (B)
- 66.419 Water Pollution Control State, Interstate, and Tribal Program Support (A)
- 66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)
- 66.432 State Public Water System Supervision (A)
- 66.433 State Underground Water Source Protection (A)
- 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)
- 66.439 Targeted Watersheds Grants (B)
- 66.454 Water Quality Management Planning (A)
- 66.456 National Estuary Program (B)
- 66.458 Capitalization Grants for Clean Water State Revolving Funds (A)
- 66.460 Nonpoint Source Implementation Grants (A)
- 66.461 Regional Wetland Program Development Grants (B)
- 66.463 Water Quality Cooperative Agreements (B)
- 66.466 Chesapeake Bay Program (B)
- 66.467 Wastewater Operator Training Grant Program (Technical Assistance) (B)
- 66.468 Capitalization Grants for Drinking Water State Revolving Funds (A)
- 66.469 Great Lakes Program (B,I,L)
- 66.471 State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (A)
- 66.472 Beach Monitoring and Notification Program Implementation Grants (A)
- 66.473 Direct Implementation Tribal Cooperative Agreements (B)
- 66.474 Water Protection Grants to the States (A)
- 66.475 Gulf of Mexico Program (B)
- 66.480 Assessment and Watershed Protection Program Grants (B)
- 66.508 Senior Environmental Employment Program (B)
- 66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)
- 66.511 Office of Research and Development Consolidated Research/Training/Fellowships (B)
- 66.512 Regional Environmental Monitoring and Assessment Program (REMAP) Research Projects (B)
- 66.515 Greater Research Opportunities (GRO) Research Program (B)
- 66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support (B)
- 66.604 Environmental Justice Small Grant Program (B)
- 66.605 Performance Partnership Grants (A,B)

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66.610	Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)
66.611	Environmental Policy and Innovation Grants (B)
66.612	Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)
66.700	Consolidated Pesticide Enforcement Cooperative Agreements (B)
66.701	Toxic Substances Compliance Monitoring Cooperative Agreements (B)
66.707	TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (B)
66.708	Pollution Prevention Grants Program (B)
66.709	Multi-Media Capacity Building Grants for States and Tribes (B)
66.714	Pesticide Environmental Stewardship Regional Grants (B)
66.715	Lead Educational Outreach and Baseline Assessment of Tribal Children's Exposure and Risks Associated With Lead (B)
66.716	Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (B)
66.801	Hazardous Waste Management State Program Support (A)
66.802	Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)
66.804	State and Tribal Underground Storage Tanks Program (A)
66.805	Leaking Underground Storage Tank Trust Fund Program (A)
66.806	Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)
66.808	Solid Waste Management Assistance Grants (B)
66.809	Superfund State and Indian Tribe Core Program Cooperative Agreements (B)
66.810	Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (B)
66.813	Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)
66.814	Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)
66.816	Headquarters and Regional Underground Storage Tanks Program (B)
66.817	State and Tribal Response Program Grants (A)
66.818	Brownfields Assessment and Cleanup Cooperative Agreements (B)
66.940	Environmental Policy and State Innovation Grants (B)

DEPARTMENT OF ENERGY

81.041	State Energy Program (A)
81.042	Weatherization Assistance for Low-Income Persons (A)
81.049	Office of Science Financial Assistance Program (B)

DEPARTMENT OF EDUCATION

84.002	Adult Education - Basic Grants to States (A)
84.004	Civil Rights Training and Advisory Services (B)
84.011	Migrant Education-State Grant Program (A)
84.015	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)
84.016	Undergraduate International Studies and Foreign Language Programs (B)
84.027	Special Education-Grants to States (A)
84.031	Higher Education-Institutional Aid (B)
84.040	Impact Aid-Facilities Maintenance (B)
84.041	Impact Aid (A)
84.042	TRIO-Student Support Services (B)
84.048	Career and Technical Education -- Basic Grants to States (A)
84.060	Indian Education-Grants to Local Educational Agencies (A)
84.083	Women's Educational Equity Act Program (B)
84.116	Fund for the Improvement of Postsecondary Education (B)
84.120	Minority Science and Engineering Improvement (B)
84.126	Rehabilitation Services-Vocational Rehabilitation Grants to States (A)
84.128	Rehabilitation Services-Service Projects (B)
84.129	Rehabilitation Long-Term Training (B)
84.132	Centers for Independent Living (B)
84.141	Migrant Education-High School Equivalency Program (B)
84.144	Migrant Education-Coordination Program (B)
84.145	Federal Real Property Assistance Program (H)
84.149	Migrant Education-College Assistance Migrant Program (B)
84.160	Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)
84.161	Rehabilitation Services-Client Assistance Program (A)
84.165	Magnet Schools Assistance (B)
84.169	Independent Living-State Grants (A)
84.173	Special Education-Preschool Grants (A)
84.177	Rehabilitation Services-Independent Living Services for Older Individuals Who are Blind (A)
84.181	Special Education-Grants for Infants and Families (A)
84.184	Safe and Drug-Free Schools and Communities-National Programs (B)
84.186	Safe and Drug-Free Schools and Communities-State Grants (A)
84.187	Supported Employment Services for Individuals with Significant Disabilities (A)
84.191	Adult Education-National Leadership Activities (B)
84.196	Education for Homeless Children and Youth (A)
84.200	Graduate Assistance in Areas of National Need (B)
84.203	Star Schools (B)
84.206	Javits Gifted and Talented Students Education Grant Program (B)
84.214	Even Start-Migrant Education (B)
84.215	Fund for the Improvement of Education (B)
84.217	TRIO-McNair Post-Baccalaureate Achievement (B)
84.224	Assistive Technology (A,B,I.)

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84.234	Projects with Industry (B)
84.235	Rehabilitation Services Demonstration and Training Programs (B)
84.240	Program of Protection and Advocacy of Individual Rights (A)
84.243	Tech-Prep Education (A,B)
84.246	Rehabilitation Short-Term Training (B)
84.256	Freely Associated States-Education Grant Program (B)
84.263	Rehabilitation Training-Experimental and Innovative Training (B)
84.264	Rehabilitation Training-Continuing Education (B)
84.265	Rehabilitation Training-State Vocational Rehabilitation Unit In-Service Training (B)
84.269	Institute for International Public Policy (B)
84.274	American Overseas Research Centers (B)
84.275	Rehabilitation Training-General Training (B)
84.286	Ready to Teach (B)
84.287	Twenty-First Century Community Learning Centers (A)
84.293	Foreign Language Assistance (B)
84.295	Ready-To-Learn Television (B)
84.298	State Grants for Innovative Programs (A)
84.304	Civic Education - Cooperative Education Exchange Program (B)
84.310	Parental Information and Resource Centers (B)
84.315	Capacity Building for Traditionally Underserved Populations (B)
84.323	Special Education - State Personnel Development (B)
84.324	Research in Special Education (B)
84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)
84.326	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)
84.327	Special Education-Technology and Media Services for Individuals with Disabilities (B)
84.328	Special Education-Parent Information Centers (B)
84.329	Special Education-Studies and Evaluations (B)
84.330	Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)
84.332	Comprehensive School Reform Demonstration (A)
84.333	Demonstration Projects to Ensure Students with Disabilities Receive a Higher Education (B)
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs (B)
84.335	Child Care Access Means Parents in School (B)
84.336	Teacher Quality Enhancement Grants (B)
84.337	International Education-Technological Innovation and Cooperation for Foreign Information Access (B)
84.343	Assistive Technology-State Grants for Protection and Advocacy (A)
84.349	Early Childhood Educator Professional Development (B)
84.350	Transition to Teaching (B)
84.351	Arts in Education (B)
84.354	Credit Enhancement for Charter School Facilities (B)
84.356	Alaska Native Educational Programs (B)
84.357	Reading First State Grants (B)
84.360	School Dropout Prevention Program (B)
84.361	Voluntary Public School Choice (B)
84.363	School Leadership (B)
84.364	Literacy through School Libraries (B)

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

89.003	National Historical Publications and Records Grants (B)
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DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.003	Public Health and Social Services Emergency Fund (B)
93.006	State and Territorial and Technical Assistance Capacity Development Minority HIV/AIDS Demonstration Program (B)
93.008	Medical Reserve Corps Small Grant Program (B)
93.010	Community-Based Abstinence Education (CBAE) (B)
93.012	Improving, Enhancing, and Evaluating Outcomes of Comprehensive Heart Health Care Programs for High-Risk Women (B)
93.013	Ambassadors for Change Program (B)
93.014	Steps to Healthier Girls Program (B)
93.015	HIV Prevention Programs for Women (B)
93.018	Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)
93.019	Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B)
93.041	Special Programs for the Aging-Title VII, Chapter 3-Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)
93.042	Special Programs for the Aging-Title VII, Chapter 2-Long Term Care Ombudsman Services for Older Individuals (A)
93.043	Special Programs for the Aging-Title III, Part D-Disease Prevention and Health Promotion Services (A)
93.044	Special Programs for the Aging-Title III, Part B-Grants for Supportive Services and Senior Centers (A)
93.045	Special Programs for the Aging-Title III, Part C-Nutrition Services (A)
93.053	Nutrition Services Incentive Program (A)
93.063	Centers for Genomics and Public Health (B)
93.068	Chronic Diseases: Research, Control, and Prevention (B)
93.069	Public Health Emergency Preparedness (B)
93.086	Healthy Marriage Promotion and Responsible Fatherhood Grants (B,L)
93.087	Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse (B)
93.100	Health Disparities in Minority Health (B)
93.104	Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)
93.105	Bilingual/Bicultural Service Demonstration Grants (B)
93.116	Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)
93.118	Acquired Immunodeficiency Syndrome (AIDS) Activity (B)

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93.129	Technical and Non-Financial Assistance to Health Centers (B)
93.134	Grants to Increase Organ Donations (B)
93.137	Community Programs to Improve Minority Health Grant Program (B)
93.145	AIDS Education and Training Centers (B)
93.153	Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)
93.161	Health Program for Toxic Substances and Disease Registry (B)
93.165	Grants to States for Loan Repayment Program (B)
93.184	Disabilities Prevention (B)
93.185	Immunization Research, Demonstration, Public Information and Education-Training and Clinical Skills Improvement Projects (B)
93.186	National Research Service Award in Primary Care Medicine (M)
93.197	Childhood Lead Poisoning Prevention Projects-State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)
93.204	Surveillance of Hazardous Substance Emergency Events (B)
93.206	Human Health Studies-Applied Research and Development (B)
93.211	Telehealth Network Grants (B)
93.217	Family Planning-Services (B)
93.223	Development and Coordination of Rural Health Services (B)
93.224	Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers) (B)
93.229	Demonstration Cooperative Agreements for Development and Implementation of Criminal Justice Treatment Networks (B)
93.230	Consolidated Knowledge Development and Application (KD&A) Program (B)
93.234	Traumatic Brain Injury State Demonstration Grant Program (B)
93.238	Cooperative Agreements for State Treatment Outcomes and Performance Pilot Studies Enhancement (B)
93.240	State Capacity Building (B)
93.260	Family Planning-Personnel Training (B)
93.266	Rapid Expansion of Antiretroviral Therapy Programs for HIV-Infected Persons in Selected Countries in Africa and the Caribbean Under the President's Emergency Plan for AIDS Relief (B)
93.268	Immunization Grants (B)
93.269	Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)
93.270	Adult Viral Hepatitis Prevention and Control (B)
93.276	Drug-Free Communities Support Program Grants (B)
93.283	Centers for Disease Control and Prevention-Investigations and Technical Assistance (B)
93.290	National Community Centers of Excellence in Women's Health (B)
93.294	Mentoring Partnership Program - Protege (B)
93.295	Intergenerational Approaches to HIV/AIDS Prevention Education with Women across The Lifespan Pilot Program (B)
93.296	State Partnership Grant Program to Improve Minority Health (B)
93.389	National Center for Research Resources (B)
93.392	Cancer Construction (B)
93.448	Food Safety and Security Monitoring Project (B)
93.449	Ruminant Feed Ban Support Project (B)
93.550	Transitional Living for Homeless Youth (B)
93.557	Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)
93.563	Child Support Enforcement (A)
93.570	Community Services Block Grant-Discretionary Awards (B)
93.576	Refugee and Entrant Assistance-Discretionary Grants (B)
93.592	Family Violence Prevention and Services/Grants for Battered Women's Shelters-Discretionary Grants (B)
93.595	Welfare Reform Research, Evaluations and National Studies (B)
93.598	Services to Victims of a Severe Form of Trafficking (B)
93.600	Head Start (B)
93.602	Assets for Independence Demonstration Program (B)
93.604	Assistance for Torture Victims (B)
93.616	Mentoring Children of Prisoners (B)
93.617	Voting Access for Individuals with Disabilities-Grants to States (A)
93.623	Basic Center Grant (B)
93.631	Developmental Disabilities Projects of National Significance (B)
93.647	Social Services Research and Demonstration (B)
93.669	Child Abuse and Neglect State Grants (A)
93.670	Child Abuse and Neglect Discretionary Activities (B)
93.671	Family Violence Prevention and Services/Grants for Battered Women's Shelters-Grants to States and Indian Tribes (A)
93.768	Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities (B)
93.890	Healthy Communities Access Program (HCAP) Demonstration Authority (B)
93.910	Family and Community Violence Prevention Program (B)
93.913	Grants to States for Operation of Offices of Rural Health (B)
93.914	HIV Emergency Relief Project Grants (B)
93.918	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)
93.919	Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)
93.926	Healthy Start Initiative (B)
93.928	Special Projects of National Significance (B)
93.932	Native Hawaiian Health Systems (B)
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (B)
93.939	HIV Prevention Activities-Non-Governmental Organization Based (B)
93.940	HIV Prevention Activities-Health Department Based (B)
93.941	HIV Demonstration, Research, Public and Professional Education Projects (B)
93.944	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)
93.945	Assistance Programs for Chronic Disease Prevention and Control (B)
93.946	Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)
93.947	Tuberculosis Demonstration, Research, Public and Professional Education (B)

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93.952	Trauma Care Systems Planning and Development (B)
93.977	Preventive Health Services-Sexually Transmitted Diseases Control Grants (B)
93.988	Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems (B)
93.990	National Health Promotion (B)
93.995	Adolescent Family Life-Demonstration Projects (B)

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94.002	Retired and Senior Volunteer Program (B)
94.011	Foster Grandparent Program (B)
94.013	Volunteers in Service to America (J)
94.016	Senior Companion Program (B)

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97.004	State Domestic Preparedness Equipment Support Program (B)
97.005	State and Local Homeland Security Training Program (B)
97.006	State and Local Homeland Security Exercise Support (B)
97.008	Urban Areas Security Initiative (A)
97.009	Cuban/Haitian Entrant Program (B)
97.012	Boating Safety Financial Assistance (A)
97.013	State Access to the Oil Spill Liability Trust Fund (B)
97.014	Bridge Alteration (C)
97.015	Secret Service-Training Activities (M)
97.029	Flood Mitigation Assistance (B)
97.030	Community Disaster Loans (E)
97.034	Disaster Unemployment Assistance (C,J)
97.036	Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)
97.042	Emergency Management Performance Grants (A)
97.048	Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Areas (B)
97.049	Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households (C)
97.052	Emergency Operations Centers (B)
97.053	Citizen Corps (B)
97.055	Interoperable Communications Equipment (B)
97.067	Homeland Security Grant Program (A)
97.068	Competitive Training Grants (B)
97.069	Aviation Research Grants (B,I)
97.070	Map Modernization Management Support (B)
97.071	Metropolitan Medical Response System (B)
97.072	National Explosives Detection Canine Team Program (B)
97.073	State Homeland Security Program (SHSP) (A)
97.074	Law Enforcement Terrorism Prevention Program (LETPP) (A)
97.078	Buffer Zone Protection Program (BZPP) (B)
97.080	Information Analysis Infrastructure Protection (IAIP) Pilot Projects (B)
97.087	Alternative Housing Pilot Program (B)
97.089	Real ID Program (B)
97.092	Repetitive Flood Claims (B)
97.106	Securing the Cities (B,H,I,L)
97.108	Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (B,H,I,L)
97.110	Severe Loss Repetitive Program (B)

Appendix II: Authorization Appendix

This appendix Lists Public Laws, Acts and Executive Orders which mandate the program(s) corresponding to the program numbers shown below. In the first part of the Appendix, the Acts are catalogued in alphabetical sequence. In the second part of the Appendix, the Public Laws are listed in the order of the congress in which the program was enacted

Acts and Executive Orders

A

- Abandoned Infants Assistance Act of 1988, as amended -- 93.551
Abandoned Mine Reclamation Act of 1990-- 10.910
Accountable Pipeline Safety and Partnership Act of 1996-- 20.700
Act of August 24,1935-- 10.077, 10.081
Act of August 27,1888, as amended -- 64.014
Act of August 31,1954-- 11.002, 11.003, 11.004, 11.005, 11.006
Act of February 20,1929-- 15.875
Act of June 21,1940, as amended -- 97.014
Act of June 25,1910-- 15.035
Act of March 3,1901-- 15.040
Act of March 3,1921,1946-- 15.040
Act of November 4,1990-- 10.684
Act to Promote the Development of Indian Arts and Crafts as amended-- 15.850
Act)-- 93.010
Adam Walsh Child Protection and Safety Act of 2006 (AWA)-- 16.750
Added a new Section 184A to the Housing and Community Development Act of 1992,to authorize a new program of loan guarantees for Native Hawaiian housing-- 14.874
Administrative Dispute Resolution Act-- 34.001
Adoption and Safe Families Act of 1997-- 93.556
Adoption Promotion Act of 2003-- 93.603
Adult Education and Family Literacy Act, as amended -- 84.002, 84.191, 84.257
Adult Education and Family Literacy Act-- 84.002, 84.191, 84.257
African Elephant Conservation Act-- 15.620
Age Discrimination Act of 1975, as amended -- 14.402, 93.001
Age Discrimination Act of 1975-- 14.402, 93.001
Age Discrimination in Employment Act of 1967, as amended -- 30.005
Age Discrimination in Employment Act of 1967, as amended -- 30.002, 30.008
Agricultural Act of 1949, as amended -- 10.051, 10.567, 10.607
Agricultural Act of 1949-- 10.051, 10.567, 10.607
Agricultural Act of 1970, as amended -- 10.053, 10.452
Agricultural Act of 1980-- 10.079
Agricultural Adjustment Act of 1938, as amended -- 10.051, 10.167, 10.450
Agricultural Adjustment Act of 1938-- 10.051, 10.167, 10.450
Agricultural Credit Act of 1978, as amended -- 10.054, 10.923
Agricultural Credit Act of 1987-- 10.435
Agricultural Credit Improvement Act of 1992-- 10.435
Agricultural Fair Practices Act-- 10.163
Agricultural Market Transition Act-- 10.454, 10.456
Agricultural Marketing Act of 1946, as amended -- 10.153, 10.156, 10.162, 10.163, 10.164, 10.167, 10.350, 11.413
Agricultural Marketing Act of 1946-- 10.153, 10.156, 10.162, 10.163, 10.164, 10.167, 10.350, 11.413
Agricultural Marketing Agreement Act of 1937, as amended -- 10.155
Agricultural Research, Extension, and Education Reform Act of 1998-- 10.450, 10.500
Agricultural Risk Protection Act of 2000-- 10.051, 10.454, 10.500, 10.917
Agricultural Trade Act of 1978, as amended -- 10.600, 10.601
Agricultural Trade Act of 1978-- 10.600, 10.601
Agricultural Trade and Assistance Act of 1954-- 10.167
Agriculture Act of 1961-- 10.406
Agriculture and Consumer Protection Act of 1973, as amended -- 10.053, 10.565, 10.567
Agriculture and Food Act of 1981-- 10.155, 10.500
Agriculture Marketing Act of 1946, as amended -- 10.477
Agriculture Risk Protection Act of 2000, as amended -- 10.352, 10.450
Agriculture Risk Protection Act of 2000-- 10.352, 10.450
Agriculture, Rural Development, Food and Drug Administration and Related Agencies Appropriations Act-- 10.051
Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act,2006,Rural Development Programs,Rural Utilities Service,Distance Learning,Telemedicine,and Broadband Program-- 10.861
Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act-- 10.449
Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 2001-- 10.066, 10.073
Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act,2005-- 10.446
Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act,2006, as amended -- 10.778
Agriculture, Rural Development, Food, Drug Administration, and Related Agencies Appropriation Act of 2000-- 10.450
Agriculture, Rural Development, Food, Drug Administration, and Related Agencies Appropriation Act of 2001-- 10.450
Agriculture, Rural Development, Food, Drug Administration, and Related Agencies Appropriations Act of 2002-- 10.450
Agriculture, Rural Development, Food, Drug Administration, and Related Agencies Appropriations Act of 1998-- 10.574
Agriculture, Rural Development, Food, Drug Administration, and Related Agencies Appropriations Act of 1999-- 10.450, 10.574, 10.772
Agriculture, Rural Development, Food, Drug Administration, and Related Agencies Appropriations Act of 2000-- 10.574
Agriculture, Rural Development, Food, Drug Administration, and Related Agencies Appropriations Act of 2001-- 10.051, 10.574
Agriculture, Trade and Development Assistance Act of 1954, as amended -- 98.007
Agriculture, Trade and Development Assistance Act of 1954,P.L. 480, as amended -- 98.008
AIDS Housing Opportunity Act-- 14.241
Airport and Airway Development Act of 1970, as amended -- 20.100
Alaska National Interest Lands Conservation Act-- 15.036, 15.041, 15.052, 15.053, 15.055, 15.608
Alaska National Interest Lands Conservation Act (ANILCA) of 1980-- 15.636
Alaska Native Claims Settlement Act-- 15.036, 15.041, 15.052, 15.053, 15.055
Amending the Magnuson-Stevens Fishery Conservation and Management Act-- 36.001
Amendment of Consolidated Farm and Rural Development Act of 1987-- 10.410, 10.445
Amendment to the International Coffee Agreement Act of 1980, as amended -- 17.245
Amendments of 2003-- 93.253
America Creating Opportunities To Meaningfully Promote Excellence In Technology, Education, and Science Act,2007-- 84.381
American Battlefield Protection Act of 1996-- 15.926, 15.928
American Competitiveness and Workforce Improvement Act of 1998-- 17.268
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American Indian Agriculture Resource Management Act-- 15.034
American Indian Trust Fund Management Reform Act of 1994-- 15.147
American Jobs Creation Act of 2004-- 93.365
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Americans with Disabilities Act, as amended -- 16.108, 30.002, 30.005, 30.011
Americans with Disabilities Act-- 16.108, 30.002, 30.005, 30.011
Americans with Disabilities Act of 1990-- 14.414, 17.301, 88.001, 93.001
Anadromous Fish Conservation Act of 1965, as amended -- 11.405
Animal Damage Control Act of 1931, as amended -- 10.028
Animal Welfare Act, as amended -- 10.025
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Annual Department of Interior, Environment, and related Agencies Appropriations Act-- 66.202
Anti-Drug Abuse Act of 1988-- 16.560, 16.562, 16.566, 16.583
Anti-Terrorism and Effective Death Penalty Act of 1996-- 16.583, 16.614
Appalachian Regional Development Act Amendments of 2002-- 23.001, 23.002, 23.009, 23.011
Appalachian Regional Development Act of 1965, as amended -- 23.001, 23.002, 23.003, 23.009, 23.011
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Architectural Barriers Act of 1968, as amended -- 14.407, 88.001
As added by The Public Health Security and Bioterrorism Preparedness and Response Act of 2002-- 66.478
As amended (INA) and the Refugee Migration and Assistance Act-- 19.510
As amended (Trade Act)-- 11.313
As amended and the Consolidated Appropriations Act-- 14.314
As amended and the Higher Education Act of 1965-- 94.005
As amended. Section 203(a) of Agricultural Assistance Act of 2003-- 10.077
As amended. Bunning - Bereuter - Blumenauer Flood Insurance Reform Act of 2004-- 97.092
As amended. Higher Education Act of 1965, as amended -- 84.031
As amended. The International Academic Opportunity Act of 2000-- 19.425
Asian Elephant Conservation Act-- 15.621
Assets for Independence Act,Community Opportunities,Accountability,Training-- 93.602
Assistive Technology Act of 1998, as amended -- 84.224, 84.343

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Assistive Technology Act of 1998-- 84.224, 84.343
Atlantic Coastal Fisheries Cooperative Management Act, as amended -- 11.474
Atomic Energy Act of 1954, as amended -- 81.003, 81.022, 81.049, 81.057, 81.104, 81.108, 81.112, 81.113, 81.114, 81.121, 81.123, 81.124
Authorized Under Medicare Prescription Drug, Improvement and Modernization Act of 2003-- 93.770
Authorized Under Medicare Prescription Drug, Improvement, and Modernization Act of 2003-- 93.783
Aviation and Transportation Security Act-- 97.072
Aviation Security Improvement Act of 1990-- 20.108

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Balanced Budget Act of 1997-- 93.237, 93.241, 93.442, 93.558, 93.767, 93.773, 93.774, 93.778
Balanced Budget and Refinement Act of 1999-- 93.773
Balanced Budget Down Payment Act II of 1996-- 81.041, 81.119
Balanced Budget Refinement Act of 1999-- 93.774
Beaches Environmental Assessment and Coastal Health Act of 2000-- 66.472
Beef Promotion and Research Act of 1985-- 10.163
Black Lung Benefits Act-- 93.965
Breast and Cervical Cancer Mortality Prevention Act of 1990-- 93.919
Bridge Act of 1906-- 97.014
Bulletproof Vest Partnership Grant Act of 1998-- 16.607
Bunning-Bereuter-Blumenauer Flood Insurance Reform Act of 2004-- 97.110

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Call Home Act of 2006-- 11.555
Capper Volstead Act-- 10.163
Cardiac Arrest Survival Act of 2000-- 93.259
Carl D. Perkins Career and Technical Education Act of 2006, as amended -- 84.051, 84.101, 84.243, 84.245, 84.259
Carl D. Perkins Career and Technical Education Act of 2006-- 84.051, 84.101, 84.243, 84.245, 84.259
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Carl D. Perkins Vocational and Applied Technology Education Amendments of 1998 (Perkins Act)-- 17.267
Catastrophic Coverage Repeal Act of 1989-- 93.773
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Centennial Challenge Provision (2008), FY 2008 Appropriations Act (December 17, 2007)(see H.R. Rep. No. 110-497, Division F, to accompany H. Res. 878, at 266)-- 15.406
Central Valley Project Improvement Act-- 15.512, 15.648
Central Valley Project Improvement Act (CVPIA), 1992-- 15.532
Chafee Foster Care Independence Act of 1999-- 93.599
Chesapeake Bay Initiative Act of 1993-- 15.930
Child Abuse Amendments of 1984-- 93.591, 93.592, 93.671
Child Abuse Prevention and Treatment Act, as amended -- 93.590, 93.670
Child Abuse Prevention and Treatment Act (CAPTA), as amended -- 93.643
Child Abuse Prevention and Treatment Act (CAPTA) OF 1996-- 93.591, 93.592, 93.671
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Child Abuse Prevention and Treatment and Adoption Reform Act of 1978, as amended -- 93.652
Child Abuse Prevention and Treatment Enforcement Act of 2000-- 16.583
Child Abuse Prevention, Adoption and Family Services Act of 1988, as amended -- 93.669, 93.670
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Child Nutrition Act of 1966, as amended -- 10.553, 10.556, 10.557, 10.560, 10.572, 10.578, 10.578
Child Nutrition Act of 1966-- 10.553, 10.556, 10.557, 10.560, 10.572, 10.578, 10.578
Children's Health Act of 2000-- 93.197, 93.243, 93.254
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Children's Justice and Assistance Act of 1986, as amended -- 16.583
Civil Rights Act of 1964, as amended -- 14.405, 16.100, 16.101, 16.103, 16.105, 16.200, 30.001, 30.002, 30.005, 30.009, 84.004, 93.001
Civil Rights Act of 1968, as amended -- 16.103
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Clean Water Act, Federal Insecticide, Fungicide, as amended -- 66.717
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Coastal Zone Act Reauthorization Amendments of 1990-- 11.419, 11.420
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Colorado River Basin Project Act-- 15.510
Colorado River Basin Salinity Control Act, as amended -- 15.509
Colorado River Basin Salinity Control Act of 1974, as amended -- 10.070
Colorado Ute Indian Water Rights Settlement Act of 1988, as amended -- 15.510
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Commodity Credit Corporation (CCC) Charter Act-- 10.605
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Commodity Exchange Act, as amended -- 78.004
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Conference Report On H.R. 4577, Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, (House of Representatives - December 15, H.R. 2646-- 90.202
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Consolidated Appropriations Act,2004-- 36.001
Consolidated Appropriations Act,2004-- 84.370
Consolidated Appropriations Act,2005-- 81.123, 93.017
Consolidated Appropriations Act,2005,Rural Utilities Service,Distance Learning,Telemedicine,and Broadband Program-- 10.863
Consolidated Appropriations Act,2006-- 66.473
Consolidated Appropriations Act,2008-- 14.252, 16.740, 16.751, 16.753, 39.012, 97.111
Consolidated Appropriations Act,2008,P.L. 110-161-- 16.608
Consolidated Appropriations Act,as they relate to DoC. These grants shall be awarded to colleges,universities,and other non-profit science research organizations on a competitive basis. 153 Congressional Record H15790 (December 17,2007)-- 11.618
Consolidated Farm and Rural Development Act, as amended -- 10.404, 10.407, 10.437, 10.760, 10.761, 10.762, 10.763, 10.766, 10.768, 10.769, 10.770, 10.771, 10.860, 10.862, 10.864
Consolidated Farm and Rural Development Act-- 10.404, 10.407, 10.437, 10.760, 10.761, 10.762, 10.763, 10.766, 10.768, 10.769, 10.770, 10.771, 10.860, 10.862, 10.864
Consolidated Security, Disaster Assistance, and Continuing Appropriations Act,2009 at Div. B-- 84.383
Consolidated Appropriations Act,2005-- 59.052
Consumer Credit Protection Act, as amended -- 17.306
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Deep Seabed Minerals Act, as amended -- 36.001
Deepwater Port Act of 1974-- 36.001
Defense Against Weapons of Mass Destruction Act of 1966-- 97.025
Defense Appropriation Act, as amended -- 12.404
Defense Authorization Act-- 12.610
Defense Base Clouser and Realignment Act of 1990, as amended -- 12.607
Defense Production Act of 1950, as amended -- 36.001, 97.026, 97.027, 97.028
Defense Reauthorization Act of 1991-- 17.807
Deficit Reduction Act of 1984, as amended -- 17.245
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Deficit Reduction Act of 2005 (DRA)-- 93.558, 93.776
Demonstrations and similar activities that facilitate state and tribal participation in the Exchange Network and are funded by EPA's Environmental Programs and Management (EPM) account (not the STAG account) is provided by the following statutes Clean Water Act--
Denali Commission Act,October 21,1998-- 90.100
Department of Agriculture Reorganization Act of 1994-- 10.435
Department of Commerce and Related Agencies Appropriations Act-- 11.800, 11.801, 11.803
Department of Commerce and Related Agencies Appropriations Act of 2002-- 11.113, 11.457
Department of Commerce Appropriation Act of 1999-- 11.452, 11.454, 11.455, 11.463
Department of Defense and Emergency Supplemental Appropriations for Recovery from and Response to Terrorist Attacks on the United States Act of 2002-- 66.478
Department of Defense and Emergency Supplemental Appropriations for Recovery from and Response to Terrorist Attacks on the United States Act,2002-- 66.474
Department of Defense Appropriation Act 2003-- 12.116
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Department of Education Organization Act of 1979-- 84.145
Department of Energy (DOE) Organization Act of 1977, as amended -- 81.113, 81.123
Department of Energy Organization Act of 1977, as amended -- 81.003, 81.022, 81.036, 81.041, 81.042, 81.049, 81.079, 81.086, 81.087, 81.104, 81.105, 81.112, 81.114, 81.117, 81.121, 81.122, 81.124
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Department of Health and Human Services Appropriation Act of 1987-- 93.136
Department of Homeland Security Act, as amended -- 97.080
Department of Homeland Security Appropriation Act of 2008-- 97.068
Department of Homeland Security Appropriations Act-- 97.076, 97.078, 97.079
Department of Homeland Security Appropriations Act of 2004-- 97.006, 97.007, 97.066
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Department of Homeland Security Appropriations Act of 2007-- 97.107
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Department of Interior, Environment, and Related Agencies Appropriations Act-- 10.672
Department of Justice Appropriations Act of 2001-- 16.616
Department of Justice Appropriations Act,2005-- 16.741
Department of Transportation Act of 1966, as amended -- 20.301
Department of Transportation and Related Agencies (I.E. Commerce, Justice, State) Appropriations Act of 2001-- 15.625
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Departments of Commerce, Justice, State, Judiciary, and Related Agencies Appropriations Act of 2002-- 97.006
Departments of Justice, State, Judiciary, and Related Agencies Appropriations Act-- 11.477
Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act,2001,H.R. 2646, as amended -- 90.200, 90.201
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Developmental Disabilities Assistance and Bill of Rights Act,October 30,2000, as amended -- 93.138
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Employee Retirement Income Security Act of 1974, as amended -- 17.150
Employee Retirement Income Security Act of 1974 (ERISA), as amended -- 86.001
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Appendix III : Budget Functional Code Appendix

FINANCIAL INFORMATION

Account Identification - This 11-digit budget code identifies the account from which a program is funded as expressed in the Appendix to the Federal Budget. For identification code 75-0350-0-1-551, the first two digits represent the Federal agency code, and the third through sixth digits identify the appropriation (or fund) basic account symbol assigned by the Treasury Department. Where two or more accounts with different Treasury basic account symbols are included in a consolidated program, 99 will be the third and fourth digits, the fifth digit will be the fund type and the sixth digit will reflect the sequence of consolidated programs for that fund type within the fund. The seventh digit identifies the timing of transmittal of budget estimates:

- 0-Regular budget schedules
- 1-Supplemental appropriation
- 2-Legislative proposal, not subject to PAYGO
- 3-Appropriations language to be transmitted later
- 4-Legislative proposal, subject to PAYGO
- 5-Rescission proposal
- 6-Adjustments to 1996 continuing resolution levels

The eighth digit identifies the type of government fund involved:

1-General fund

General Fund Appropriation Accounts - Accounts established to record amounts appropriated by law to be expended for the general support of the Government.

General Fund Receipt Accounts - Accounts credited with all receipts that are not earmarked by law for a specific purpose.

2--Special fund

Special Fund Appropriation Accounts - Accounts established to record appropriated amounts of special fund receipts to be expended for special programs in accordance with specific provisions of law. Special Fund Receipt Accounts - Accounts credited with receipts from specific sources that are earmarked by law for a specific purpose.

3-Public Enterprise Fund Accounts - Funds authorized by Congress to be credited with receipts primarily from the public, that are generated by, and earmarked to finance, a continuing cycle of business-type operations.

4-Intragovernmental Revolving or Management Fund Intragovernmental Fund Accounts - Accounts established to facilitate financing transactions within and between Federal agencies. These funds may be classified as intragovernmental revolving funds or management funds.

Intragovernmental Revolving Fund Accounts - Funds authorized by law to carry out a cycle of intragovernmental business-type operations. These funds are credited with offsetting collections from other agencies and accounts.

7-Trust Fund (non-revolving) - Trust funds are established to account for the receipt and expenditure of monies by the Government for carrying out specific purposes and programs in accordance with the terms of a statute that designates the fund as a trust fund (such as the Highway Trust Fund) or for carrying out the stipulations of a trust agreement (such as any of several trust funds for gifts and donations for specific purposes). In a trust non-revolving fund, the receipts designated for the trust fund are in a separate account.

8-Trust Fund (revolving) - In a trust revolving fund, the fund is credited with collections earmarked by law to carry out a cycle of business-type operations.

9-For use when account consolidations cross fund types.

The last three digits represent the functional classification (code) used in the latest Federal Budget document, unless noted otherwise by the Office of Management and Budget. In cases where a program is split between two or more subfunctions: (a) if all subfunctions are in the same major function, the code is the same as for the major function; or (b) if two or more major functions are involved the digits '999' are used.

Example: 75-1361-0-1-550.

Listed below are major functions and subfunctions as used in the budget document to show allocations of budget authority and outlays according to end purposes without regard to agency jurisdiction. The central nature of each major function is identified and defined. Catalog programs are listed following each applicable subfunction (or major function). Note that some subfunctions are not applicable to Catalog programs.

The alphabet(s) in parentheses following the program title, shows the type(s) of assistance available through that program. The alphabet codes with accompanying types of assistance are as follows: A-Formula Grants; B-Project Grants; C-Direct Payments for Specified Use; D-Direct Payments with Unrestricted Use; E-Direct Loans; F-Guaranteed/Insured Loans; G-Insurance; H-Sale, Exchange, or Donation of Property and Goods; I-Use of Property, Facilities, and Equipment; J-Provisions of Specialized Services; K-Advisory Services and Counseling; L-Dissemination of Technical Information; M-Training; N-Investigation of Complaints;

O-Federal Employment.

(050) NATIONAL DEFENSE

This function includes those programs directly related to the common defense and security of the United States. It includes the raising, equipping, and maintaining of armed forces (including civilian supporting activities), development and utilization of weapons systems (including nuclear weapons), and related programs. National defense includes direct compensation and benefits paid to active military and civilian personnel; contributions to their retirement, health, and life insurance funds; the conduct of defense research, development, testing, and evaluation; and procurement, construction, stockpiling, and other activities undertaken with the direct object of fostering our national security. Excluded from national defense are benefits or compensation to veterans and their dependents (veterans benefits are generally available to personnel who served the country in national emergencies; benefits for career military personnel are generally charged as a cost to the national defense function) and military retired pay, which is included in the income security function. Also excluded from national defense is the peaceful conduct of foreign relations; foreign military, economic, and humanitarian assistance; subsidies to business by civilian agencies (such as maritime subsidies) that may be partially justified as promoting national security; and research and operations of agencies (such as space research) whose program mission is not directly designed to promote national defense but which could result in some significant benefits to our national security.

81.123 National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (B)

(051) Department of Defense - Military

The entire agency is included in this subfunction. Because of the method of funding and accounting in the Defense Department no further subdivisions on a program basis are available in terms of outlays.

- 10.677 Forest Land Enhancement Program (B)
- 12.002 Procurement Technical Assistance For Business Firms (B)
- 12.300 Basic and Applied Scientific Research (B)
- 12.360 Research on Chemical and Biological Defense (B)
- 12.400 Military Construction, National Guard (B)
- 12.401 National Guard Military Operations and Maintenance (O&M) Projects (B)
- 12.404 National Guard Civilian Youth Opportunities (A)
- 12.420 Military Medical Research and Development (B)
- 12.431 Basic Scientific Research (B)
- 12.550 National Flagship Language Program Grants To U.S. Institutions Of Higher Education (B)
- 12.551 National Security Education Program David L. Boren Scholarships (B)
- 12.552 National Security Education Program David L. Boren Fellowships (B)
- 12.553 National Flagship Language Program Fellowships (B)
- 12.554 English for Heritage Language Speakers Grants to U.S. Institutions of Higher Education (B)
- 12.555 English for Heritage Language Speakers Scholarships (B)
- 12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation (B)
- 12.610 Community Economic Adjustment Planning Assistance for Joint Land Use Studies (B)
- 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment (B)
- 12.614 Community Economic Adjustment Diversification Planning (B)
- 12.615 RESEARCH AND TECHNICAL ASSISTANCE (I)
- 12.630 Basic, Applied, and Advanced Research in Science and Engineering (B)
- 12.800 Air Force Defense Research Sciences Program (B)
- 12.900 Language Grant Program (B)
- 12.901 Mathematical Sciences Grants Program (B)
- 12.902 Information Security Grant Program (B)
- 12.910 Research and Technology Development (B)

(053) Atomic Energy Defense Activities

Those programs of the Department of Energy devoted to national defense activities, such as naval ship reactors and nuclear weapons.

- 17.310 Energy Employees Occupational Illness Compensation (D)
- 81.104 Office of Environmental Waste Processing (B)
- 81.104 Office of Environmental Waste Processing (B)
- 81.106 Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)
- 81.112 Stewardship Science Grant Program (B)
- 81.113 Defense Nuclear Nonproliferation Research (B)
- 81.124 Predictive Science Academic Alliance Program (B)

(054) Defense-Related Activities

Miscellaneous defense activities such as the expenses connected with selective

Appendix III : Budget Functional Code Appendix

service and civil defense activities in the Federal Emergency Management Agency.

- 16.749 Radiation Exposure Compensation Program (B)
- 20.813 Maritime Security Fleet Program (C)
- 47.078 Polar Programs (B)

(150) INTERNATIONAL AFFAIRS

This function is concerned with the maintenance of peaceful relations, commerce, and travel between the United States and the remainder of the world, and with the promotion of international security and economic development abroad. It includes the conduct of foreign affairs; cost of membership in international organizations; foreign information and exchange activities; foreign military, economic, and humanitarian assistance programs; and loan programs designed to foster U.S. exports. Excluded from international affairs are outlays from domestic programs that may tangentially affect foreign relations or citizens of other nations.

- 19.300 Program for Study of Eastern Europe and the Independent States of the Former Soviet Union (B)
- 19.500 Middle East Partnership Initiative (MEPI) (B)

(151) International Development and Humanitarian Assistance

This includes humanitarian assistance, development assistance, security supporting assistance, grants to and investments in international financial and development institutions, and the costs associated with concessionary agricultural exports.

- 10.079 Bill Emerson Humanitarian Trust (D)
- 19.510 U.S. Refugee Admissions Program (B)
- 19.511 Overseas Refugee Assistance Programs for East Asia (B)
- 19.517 Overseas Refugee Assistance Programs for Africa (B)
- 19.518 Overseas Refugee Assistance Programs for Western Hemisphere (B)
- 19.519 Overseas Refugee Assistance Program for Near East and South Asia (B)
- 19.520 Overseas Refugee Assistance Programs for Europe (B)
- 19.522 Overseas Refugee Assistance Programs for Strategic Global Priorities (B)
- 70.002 Foreign Investment Financing (F)
- 70.002 Foreign Investment Financing (F)
- 70.002 Foreign Investment Financing (F)
- 70.002 Foreign Investment Financing (F)
- 70.002 Foreign Investment Financing (F)
- 70.003 Foreign Investment Insurance (G)
- 98.001 USAID Foreign Assistance for Programs Overseas (B)
- 98.001 USAID Foreign Assistance for Programs Overseas (B)
- 98.001 USAID Foreign Assistance for Programs Overseas (B)
- 98.001 USAID Foreign Assistance for Programs Overseas (B)
- 98.001 USAID Foreign Assistance for Programs Overseas (B)
- 98.001 USAID Foreign Assistance for Programs Overseas (B)
- 98.002 Cooperative Development Program (CDP) (B)
- 98.003 Ocean Freight Reimbursement Program (OFR) (B)
- 98.004 Non-Governmental Organization Strengthening (NGO) (B)
- 98.005 Institutional Capacity Building (ICB) (B)
- 98.006 Foreign Assistance to American Schools and Hospitals Abroad (ASHA) (B)
- 98.007 Food for Peace Development Assistance Program (DAP) (B,H)
- 98.008 Food for Peace Emergency Program (EP) (B,H)
- 98.009 John Ogonowski Farmer-to-Farmer Program (B)
- 98.010 Denton Program (J)
- 98.011 Global Development Alliance (B)
- 98.011 Global Development Alliance (B)
- 98.011 Global Development Alliance (B)
- 98.011 Global Development Alliance (B)
- 98.011 Global Development Alliance (B)
- 98.012 USAID Development Partnerships for University Cooperation and Development (B)
- 98.012 USAID Development Partnerships for University Cooperation and Development (B)
- 98.012 USAID Development Partnerships for University Cooperation and Development (B)

(152) International Security Assistance

This includes all of international security assistance. It involves the transfer of defense articles and services to foreign governments through grants, credit sales, and training.

- 98.011 Global Development Alliance (B)

(153) Conduct of Foreign Affairs

This includes the diplomatic and consular operations of the Department of State and closely-related activities in other agencies (such as the Arms Control and Disarmament Agency).

- 91.001 Unsolicited Grant Program (B)
- 91.002 Solicited Grant Program (B)

(154) Foreign Information and Exchange Activities

This includes student and cultural exchange programs and foreign library, radio, or other media information activities designed to promote mutual understanding between the people of the United States and other Nations.

- 19.400 Educational Exchange-Graduate Students (B)
- 19.401 Educational Exchange-University Lecturers (Professors) and Research Scholars (B)
- 19.402 International Visitors Program (B)
- 19.403 American Council of Young Political Leaders (B)
- 19.408 Educational Exchange-Teachers from Secondary and Postsecondary Levels and School Administrators (B)
- 19.409 Arts Exchanges on International Issues (B)
- 19.410 Educational Exchange-Congress-Bundestag Youth Exchange (B)
- 19.415 Professional Exchanges-Annual Open Grant (B)
- 19.418 Educational Exchange, American Studies Institute (B)
- 19.421 Exchange-English Language Fellow Program (B)
- 19.423 Exchange-English Language Specialist/Speaker Program (B)
- 19.425 Benjamin Gilman International Scholarship (C)
- 19.430 International Education Training and Research (B)
- 19.431 Educational Exchange-Scholar-in-Residence (U.S. Institutions of Higher Education Host Lecturing Faculty From Abroad) (B)
- 19.432 Overseas Educational Advising (B)
- 90.500 International Broadcasting Independent Grantee Organizations (B)
- 90.500 International Broadcasting Independent Grantee Organizations (B)

(250) GENERAL SCIENCE, SPACE AND TECHNOLOGY

This function shows budget resources allocated to science and research activities of the Federal Government to meet the national need to advance knowledge in this area. In addition, research and technology programs that have diverse goals and cannot readily be classified into one specific function are also placed here to avoid detailed splitting of accounts. Included in this function are general scientific research and civilian space programs that are not an integral part of the programs conducted under any other function. This includes the research conducted by the National Aeronautics and Space Administration, and general science research supported by the Department of Energy. As is noted above, research programs that are an integral part of the achievement of other major functions _ e.g., health, national defense, energy _ are excluded from this function. Only if a research program cannot readily be included in other functions is it classified in this function.

- 12.113 State Memorandum of Agreement Program for the Reimbursement of Technical Services (B)
- 81.105 National Industrial Competitiveness through Energy, Environment, and Economics (B)

(251) General Science and Basic Research

This includes the expenses of conducting the National Science Foundation programs and the general science activities of the Department of Energy.

- 47.041 Engineering Grants (B)
- 47.049 Mathematical and Physical Sciences (B)
- 47.050 Geosciences (B)
- 47.070 Computer and Information Science and Engineering (B)
- 47.074 Biological Sciences (B)
- 47.075 Social, Behavioral, and Economic Sciences (B)
- 47.076 Education and Human Resources (B)
- 47.078 Polar Programs (B)
- 47.078 Polar Programs (B)
- 47.079 International Science and Engineering (OISE) (B)
- 47.080 Office of Cyberinfrastructure (B)
- 47.081 Office of Experimental Program to Stimulate Competitive Research (B)
- 81.049 Office of Science Financial Assistance Program (B)

(270) ENERGY

The energy function includes those programs designed to promote an adequate supply and appropriate use of energy to serve the needs of the economy. It includes most of those programs operated by the Department of Energy and its predecessor agencies except for atomic energy defense activities and for general science research not closely related to energy.

- 81.036 Inventions and Innovations (B,K,L)
- 81.041 State Energy Program (A)
- 81.064 Office of Scientific and Technical Information (L)
- 81.119 State Energy Program Special Projects (B)

(271) Energy Supply

This includes those programs designed to increase the supply of energy through the development of domestic resources and systems capable of using them. Included in energy supply are the costs of research and demonstration of supply systems.

- 10.078 Bioenergy Program (C)
- 10.850 Rural Electrification Loans and Loan Guarantees (E)
- 10.850 Rural Electrification Loans and Loan Guarantees (E)

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- 10.851 Rural Telephone Loans and Loan Guarantees (E,F)
- 10.851 Rural Telephone Loans and Loan Guarantees (E,F)
- 10.854 Rural Economic Development Loans and Grants (B,E)
- 81.022 Used Energy-Related Laboratory Equipment Grants (B)
- 81.049 Office of Science Financial Assistance Program (B)
- 81.057 University Coal Research (B)
- 81.065 Nuclear Waste Disposal Siting (B,C)
- 81.079 Regional Biomass Energy Programs (B)
- 81.086 Conservation Research and Development (B)
- 81.087 Renewable Energy Research and Development (B)
- 81.089 Fossil Energy Research and Development (B)
- 81.108 Epidemiology and Other Health Studies Financial Assistance Program (B)
- 81.114 University Reactor Infrastructure and Education Support (B)
- 81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)
- 81.121 Nuclear Energy Research, Development and Demonstration (B)

(272) Energy Conservation

Programs in this subfunction are designed to encourage the prudent use of energy resources.

- 81.086 Conservation Research and Development (B)
- 81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)

(276) Energy Information, Policy, and Regulation

This includes the unallocable overhead activities of the Department of Energy plus the costs of energy information and regulation activities.

- 77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)
- 77.007 U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (B,C)
- 77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (B)
- 81.003 Granting of Patent Licenses (L)

(300) NATURAL RESOURCES AND ENVIRONMENT

This function includes those programs whose primary purpose is to develop, manage, and maintain the Nation's natural resources and environment. It encompasses development and management of water resources; protection and enhancement of environmental quality; development and maintenance of recreational resources; promotion of the prudent use of forest and mineral resources; the fostering of conservation practices on both public and private lands; marine, earth, and atmospheric -related research, and the management of the public domain. Excluded from this function are the outlays for community water supply programs, basic sewer system, and waste treatment plants, which are part of a community or regional development (rather than an environmental enhancement) program or are part of the cost of operating a Federal facility (such as a military installation).

- 15.532 Central Valley Project, Trinity River Division, Trinity River Fish and Wildlife Management (B)
- 15.809 National Spatial Data Infrastructure Cooperative Agreements Program (B)
- 15.813 Cooperative Research Units Training Program (B)
- 90.300 Japan-US Friendship Commission Grants (B)

(301) Water Resources

This includes water protection, conservation, irrigation, and related activities including the total costs of multipurpose water projects where it is not feasible to separate out the transportation (navigation) or energy (power) segments of these projects.

- 10.904 Watershed Protection and Flood Prevention (B,K)
- 10.906 Watershed Surveys and Planning (J,K)
- 10.916 Watershed Rehabilitation Program (B,K)
- 10.923 Emergency Watershed Protection Program (B)
- 12.100 Aquatic Plant Control (J,L)
- 12.101 Beach Erosion Control Projects (J)
- 12.102 Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works (J)
- 12.103 Emergency Operations Flood Response and Post Flood Response (J)
- 12.104 Flood Plain Management Services (K,L)
- 12.105 Protection of Essential Highways, Highway Bridge Approaches, and Public Works (J)
- 12.106 Flood Control Projects (J)
- 12.107 Navigation Projects (J)
- 12.108 Snagging and Clearing for Flood Control (J)
- 12.109 Protection, Clearing and Straightening Channels (J)
- 12.110 Planning Assistance to States (J)
- 12.111 Emergency Advance Measures for Flood Prevention (J)
- 15.504 Water Reclamation and Reuse Program (A)

- 15.506 Water Desalination Research and Development Program (B)
- 15.507 Water 2025 (B)
- 15.508 Providing Water to At-Risk Natural Desert Terminal Lakes (B)
- 15.509 Colorado River Basin Salinity Control Program (B)
- 15.510 Colorado Ute Indian Water Rights Settlement Act (B)
- 15.511 Cultural Resources Management (B)
- 15.512 Central Valley Project Improvement Act, Title XXXIV (B)
- 15.513 Dutch John Federal Property and Disposition Assistance Act (B)
- 15.514 Reclamation States Emergency Drought Relief (B,J,K)
- 15.515 Federal Resource Protection (B)
- 15.516 Fort Peck Reservation Rural Water System (B)
- 15.517 Fish and Wildlife Coordination Act (B)
- 15.518 Garrison Diversion Unit (B)
- 15.519 Indian Tribal Water Resources Development, Management, and Protection (B)
- 15.520 Lewis and Clark Rural Water System (B)
- 15.521 Lower Rio Grande Valley Water Resources Conservation and Improvement (B)
- 15.522 Mni Wiconi Rural Water Supply Project (B)
- 15.523 Perkins County Rural Water System (B)
- 15.524 Recreation Resources Management (B)
- 15.525 Rocky Boy's/North Central Montana Regional Water System (B)
- 15.526 San Gabriel Basin Restoration Project (B)
- 15.527 San Luis Unit, Central Valley Project (B)
- 15.528 St. Mary Storage Unit Facilities Rehabilitation Project (B)
- 15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs (B)
- 15.530 Water Conservation Field Services Program (WCFSP) (B)
- 15.531 Yakima River Basin Water Enhancement Project (YRBWEP) (B)
- 15.533 California Water Security and Environmental Enhancement (B)
- 15.534 Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (B,C)

(302) Conservation and Land Management

This includes the costs of operating the public domain (including national forests), payments to encourage private land conservation activities, and surface mining reclamation efforts.

- 10.069 Conservation Reserve Program (C)
- 10.069 Conservation Reserve Program (C)
- 10.072 Wetlands Reserve Program (C)
- 10.072 Wetlands Reserve Program (C)
- 10.652 Forestry Research (B)
- 10.664 Cooperative Forestry Assistance (A,B)
- 10.670 National Forest-Dependent Rural Communities (B,I,M)
- 10.672 Rural Development, Forestry, and Communities (B)
- 10.674 Forest Products Lab: Technology Marketing Unit (TMU) (B)
- 10.675 Urban and Community Forestry Program (B)
- 10.676 Forest Legacy Program (B)
- 10.678 Forest Stewardship Program (B)
- 10.679 Collaborative Forest Restoration (B)
- 10.680 Forest Health Protection (A,B)
- 10.681 Wood Education and Resource Center (WERC) (B)
- 10.684 International Forestry Programs (B)
- 10.901 Resource Conservation and Development (K)
- 10.902 Soil and Water Conservation (K)
- 10.903 Soil Survey (L)
- 10.905 Plant Materials for Conservation (J)
- 10.907 Snow Survey and Water Supply Forecasting (L)
- 10.910 Rural Abandoned Mine Program (C)
- 10.912 Environmental Quality Incentives Program (C)
- 10.913 Farm and Ranch Lands Protection Program (C)
- 10.913 Farm and Ranch Lands Protection Program (C)
- 10.914 Wildlife Habitat Incentive Program (C)
- 10.917 Agricultural Management Assistance (C)
- 10.918 Ground and Surface Water Conservation- Environmental Quality Incentives Program (C)
- 10.919 Klamath Basin - Environmental Quality Incentives Program (C)
- 10.920 Grassland Reserve Program (C)
- 10.921 Conservation Security Program (C)
- 10.922 Healthy Forests Reserve Program (HFRP) (C)
- 15.034 Agriculture on Indian Lands (C,J,K)
- 15.035 Forestry on Indian Lands (C,J,K)
- 15.037 Water Resources on Indian Lands (C,J,K)
- 15.038 Minerals and Mining on Indian Lands (C,J,L)
- 15.051 Endangered Species on Indian Lands (C,K,L)
- 15.065 Safety of Dams on Indian Lands (C)
- 15.148 Tribal Energy Development Capacity Grants (I)
- 15.214 Non-Sale Disposals of Mineral Material (H)

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15.222	Cooperative Inspection Agreements with States and Tribes (B)	15.639	Tribal Wildlife Grants Program (B)
15.224	Cultural Resource Management (B,H,I,J,K,L,M,N)	15.640	Wildlife Without Borders- Latin America and the Caribbean (B)
15.225	Recreation Resource Management (B,I,J,K,L,M)	15.641	Wildlife Without Borders-Mexico (B)
15.228	National Fire Plan - Wildland Urban Interface Community Fire Assistance (B,I,J,K,L,M)	15.642	Challenge Cost Share (B)
15.229	Wild Horse and Burro Resource Management (B,I,J,K,L,M)	15.643	Alaska Migratory Bird Co-Management Council (B)
15.230	Invasive and Noxious Plant Management (B,K,M)	15.644	Federal Junior Duck Stamp Conservation and Design (C,H)
15.231	Fish, Wildlife and Plant Conservation Resource Management (B,I,J,K,L,M)	15.645	Marine Turtle Conservation Fund (B)
15.232	Wildland Fire Research and Studies Program (B)	15.647	Migratory Bird Conservation (B,C)
15.233	Forests and Woodlands Resource Management (B,K,M)	15.649	Service Training and Technical Assistance (Generic Training) (B)
15.234	Secure Rural Schools and Community Self-Determination (B)	15.650	Research Grants (Generic) (B)
15.234	Secure Rural Schools and Community Self-Determination (B)	15.651	Wildlife Without Borders-Africa Program (B)
15.234	Secure Rural Schools and Community Self-Determination (B)	15.652	Undesirable/Noxious Plant Species (B)
15.235	Southern Nevada Public Land Management (B)	15.653	National Outreach and Communication Program (B)
15.236	Environmental Quality and Protection Resource Management (B,K,L,M)	15.654	Visitor Facility Enhancements - Vehicle Pullouts, Interpretive Trails, and Kiosks (B)
15.237	Rangeland Resource Management (B,K,M)	15.654	Visitor Facility Enhancements - Vehicle Pullouts, Interpretive Trails, and Kiosks (B)
15.238	Challenge Cost Share (B,I,K,M)	15.655	Migratory Bird Monitoring, Assessment and Conservation (B,C)
15.239	Management Initiatives (B,I,K,M)	15.904	Historic Preservation Fund Grants-In-Aid (A,B)
15.240	Helium Resource Management (B)	15.912	National Historic Landmark (K)
15.242	National Fire Plan - Rural Fire Assistance (B,I,J,K,L,M)	15.914	National Register of Historic Places (K)
15.242	National Fire Plan - Rural Fire Assistance (B,I,J,K,L,M)	15.915	Technical Preservation Services (J,K,L)
15.242	National Fire Plan - Rural Fire Assistance (B,I,J,K,L,M)	15.916	Outdoor Recreation-Acquisition, Development and Planning (B)
15.250	Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B,C)	15.918	Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (I)
15.250	Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B,C)	15.921	Rivers, Trails and Conservation Assistance (K)
15.252	Abandoned Mine Land Reclamation (AMLR) Program (A,B)	15.922	Native American Graves Protection and Repatriation Act (B)
15.253	Not-for-Profit AMD Reclamation (B)	15.923	National Center for Preservation Technology and Training (B)
15.254	Summer Watershed Intern (C)	15.926	American Battlefield Protection (B)
15.255	Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation (B)	15.927	Hydropower Recreation Assistance (K)
15.255	Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation (B)	15.928	Civil War Battlefield Land Acquisition Grants (B)
15.421	Alaska Coastal Marine Institute (B)	15.929	Save America's Treasures (B)
15.421	Alaska Coastal Marine Institute (B)	15.930	Chesapeake Bay Gateways Network (B)
15.422	Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)	15.931	Conservation Activities by Youth Service Organizations (B)
15.422	Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)		
15.423	Minerals Management Service (MMS) Environmental Studies Program (ESP) (B)		
15.423	Minerals Management Service (MMS) Environmental Studies Program (ESP) (B)		
15.427	Federal Oil and Gas Royalty Management (B)		
15.428	Marine Gas Hydrate Research Activities (B)		
15.615	Cooperative Endangered Species Conservation Fund (B)		
15.632	Conservation Grants Private Stewardship for Imperiled Species (B)		
15.648	Central Valley Project Improvement (CVPI) Anadromous Fish Restoration Program (AFRP) (B)		

(303) Recreational Resources

These are programs involving the acquisition, improvement, and operation of recreational lands and facilities including fish and wildlife and parks. It also includes outlays for the preservation of historic areas.

15.406	National Park Service Centennial Challenge. (A)
15.602	Conservation Law Enforcement Training Assistance (M)
15.605	Sport Fish Restoration Program (A)
15.608	Fish and Wildlife Management Assistance (J)
15.611	Wildlife Restoration (A)
15.614	Coastal Wetlands Planning, Protection and Restoration Act (B)
15.616	Clean Vessel Act (B)
15.619	Rhinoceros and Tiger Conservation Fund (B)
15.620	African Elephant Conservation Fund (B)
15.621	Asian Elephant Conservation Fund (B)
15.622	Sportfishing and Boating Safety Act (B)
15.623	North American Wetlands Conservation Fund (B)
15.625	Wildlife Conservation and Restoration (A)
15.626	Hunter Education and Safety Program (A)
15.628	Multistate Conservation Grant Program (B)
15.628	Multistate Conservation Grant Program (B)
15.629	Great Apes Conservation Fund (B)
15.630	Coastal Program (B)
15.631	Partners for Fish and Wildlife (C)
15.633	Landowner Incentive Program (B)
15.634	State Wildlife Grants (A,B)
15.635	Neotropical Migratory Bird Conservation (B)
15.636	Alaska Subsistence Management (B)
15.637	Migratory Bird Joint Ventures (B)
15.638	Tribal Landowner Incentive Program (B)

(304) Pollution Control and Abatement

This includes outlays for controlling and reducing air, water, and land pollution or that are specifically funded as an environmental enhancement program. It excludes water resources programs, water treatment plants, and similar programs that are not funded as part of an environmental enhancement activity.

10.070	Colorado River Basin Salinity Control Program (C)
66.001	Air Pollution Control Program Support (B)
66.032	State Indoor Radon Grants (B)
66.033	Ozone Transport Commission (B)
66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)
66.035	Community Action for a Renewed Environment (CARE) Program (B)
66.036	Clean School Bus USA (B)
66.037	Internships, Training and Workshops for the Office of Air and Radiation (B)
66.038	Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)
66.039	National Clean Diesel Funding Assistance Program (B)
66.040	State Clean Diesel Grant Program (B)
66.110	Healthy Communities Grant Program (B)
66.111	Regional Environmental Priority Projects (B)
66.111	Regional Environmental Priority Projects (B)
66.112	Surveys, Studies, Investigations, Training, Demonstrations, and Special Purpose Grants for Regional Geographic Initiatives (B)
66.113	Region 3 Environmental Priority Projects (B)
66.115	Surveys, Studies, Investigations, Training, Demonstrations, and Special Purpose Grants for EPA Region 10 Regional Geographic Initiative (B)
66.116	Regional Geographic Initiative/Environmental Priority Projects (B)
66.117	Region 9 multi-media and geographic priority projects (B)
66.119	West Coast Estuaries Initiative (B)
66.202	Congressionally Mandated Projects (B)
66.202	Congressionally Mandated Projects (B)
66.202	Congressionally Mandated Projects (B)
66.203	Environmental Finance Center Grants (B)
66.305	Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)
66.306	Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)
66.309	Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice (B)
66.309	Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice (B)
66.309	Surveys, Studies, Investigations and Special Purpose Activities Relating to

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66.309	Environmental Justice (B)	66.609	Protection of Children and Older Adults (Elderly) from Environmental Health Risks (B)
66.310	Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice (B)	66.610	Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)
66.312	Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (B)	66.611	Environmental Policy and Innovation Grants (B)
66.418	State Environmental Justice Cooperative Agreement Program (B)	66.612	Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)
66.418	Construction Grants for Wastewater Treatment Works (B)	66.700	Consolidated Pesticide Enforcement Cooperative Agreements (B)
66.419	Water Pollution Control State, Interstate, and Tribal Program Support (A)	66.701	Toxic Substances Compliance Monitoring Cooperative Agreements (B)
66.424	Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	66.707	TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (B)
66.424	Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	66.708	Pollution Prevention Grants Program (B)
66.432	State Public Water System Supervision (A)	66.709	Multi-Media Capacity Building Grants for States and Tribes (B)
66.433	State Underground Water Source Protection (A)	66.714	Pesticide Environmental Stewardship Regional Grants (B)
66.436	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	66.715	Lead Educational Outreach and Baseline Assessment of Tribal Children's Exposure and Risks Associated With Lead (B)
66.436	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	66.716	Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (B)
66.437	Long Island Sound Program (B)	66.716	Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (B)
66.437	Long Island Sound Program (B)	66.717	Source Reduction Assistance (B)
66.439	Targeted Watersheds Grants (B)	66.717	Source Reduction Assistance (B)
66.454	Water Quality Management Planning (A)	66.718	National Community-Based Lead Outreach and Training Grant Program (B)
66.456	National Estuary Program (B)	66.801	Hazardous Waste Management State Program Support (A)
66.458	Capitalization Grants for Clean Water State Revolving Funds (A)	66.802	Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)
66.460	Nonpoint Source Implementation Grants (A)	66.804	State and Tribal Underground Storage Tanks Program (A)
66.461	Regional Wetland Program Development Grants (B)	66.804	State and Tribal Underground Storage Tanks Program (A)
66.462	National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)	66.805	Leaking Underground Storage Tank Trust Fund Program (A)
66.463	Water Quality Cooperative Agreements (B)	66.806	Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)
66.466	Chesapeake Bay Program (B)	66.809	Superfund State and Indian Tribe Core Program Cooperative Agreements (B)
66.467	Wastewater Operator Training Grant Program (Technical Assistance) (B)	66.810	Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (B)
66.468	Capitalization Grants for Drinking Water State Revolving Funds (A)	66.812	Hazardous Waste Management Grant Program for Tribes (B)
66.469	Great Lakes Program (B,I,L)	66.813	Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)
66.471	State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (A)	66.814	Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)
66.472	Beach Monitoring and Notification Program Implementation Grants (A)	66.815	Brownfield Job Training Cooperative Agreements (B)
66.473	Direct Implementation Tribal Cooperative Agreements (B)	66.816	Headquarters and Regional Underground Storage Tanks Program (B)
66.474	Water Protection Grants to the States (A)	66.816	Headquarters and Regional Underground Storage Tanks Program (B)
66.475	Gulf of Mexico Program (B)	66.817	State and Tribal Response Program Grants (A)
66.478	Water Security Training and Technical Assistance and Water Security Initiative Contamination Warning System Pilots (B)	66.818	Brownfields Assessment and Cleanup Cooperative Agreements (B)
66.478	Water Security Training and Technical Assistance and Water Security Initiative Contamination Warning System Pilots (B)	66.926	Indian Environmental General Assistance Program (GAP) (B)
66.479	Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program (B)	66.931	International Financial Assistance Projects Sponsored by the Office of International Affairs (B)
66.480	Assessment and Watershed Protection Program Grants (B)	66.940	Environmental Policy and State Innovation Grants (B)
66.481	Lake Champlain Basin Program (B)	66.950	Environmental Education and Training Program (B)
66.508	Senior Environmental Employment Program (B)	66.951	Environmental Education Grants (B)
66.509	Science To Achieve Results (STAR) Research Program (B)	66.952	National Network for Environmental Management Studies Fellowship Program (B)
66.510	Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)		
66.511	Office of Research and Development Consolidated Research/Training/Fellowships (B)		
66.512	Regional Environmental Monitoring and Assessment Program (REMAP) Research Projects (B)		
66.513	Greater Research Opportunities (GRO) Fellowships For Undergraduate/Graduate Environmental Study (B)		
66.514	Science To Achieve Results (STAR) Fellowship Program (B)		
66.515	Greater Research Opportunities (GRO) Research Program (B)		
66.516	P3 Award: National Student Design Competition for Sustainability (B)		
66.517	Regional Applied Research Efforts (RARE) (B)		
66.518	State Senior Environmental Employment Program (B)		
66.600	Environmental Protection Consolidated Grants for the Insular Areas - Program Support (B)		
66.600	Environmental Protection Consolidated Grants for the Insular Areas - Program Support (B)		
66.600	Environmental Protection Consolidated Grants for the Insular Areas - Program Support (B)		
66.600	Environmental Protection Consolidated Grants for the Insular Areas - Program Support (B)		
66.604	Environmental Justice Small Grant Program (B)		
66.605	Performance Partnership Grants (A,B)		
66.608	Environmental Information Exchange Network Grant Program and Related Assistance (B)		
66.608	Environmental Information Exchange Network Grant Program and Related Assistance (B)		

(306) Other Natural Resources

This includes miscellaneous natural resources programs not classified in other subfunctions, such as marine, earth, and atmosphere-related research, and the cost of geological surveys and mapping.

11.400	Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)
11.405	Anadromous Fish Conservation Act Program (B)
11.407	Interjurisdictional Fisheries Act of 1986 (A)
11.413	Fishery Products Inspection and Certification (J)
11.417	Sea Grant Support (B)
11.420	Coastal Zone Management Estuarine Research Reserves (B)
11.420	Coastal Zone Management Estuarine Research Reserves (B)
11.426	Financial Assistance for National Centers for Coastal Ocean Science (B)
11.429	Marine Sanctuary Program (B)
11.430	Undersea Research (B)
11.431	Climate and Atmospheric Research (B)
11.432	Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes (B)
11.433	Marine Fisheries Initiative (B)
11.434	Cooperative Fishery Statistics (B)
11.435	Southeast Area Monitoring and Assessment Program (B)

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11.436	Columbia River Fisheries Development Program (B)
11.437	Pacific Fisheries Data Program (B)
11.438	Pacific Coast Salmon Recovery-Pacific Salmon Treaty Program (B)
11.439	Marine Mammal Data Program (B)
11.440	Environmental Sciences, Applications, Data, and Education (B)
11.441	Regional Fishery Management Councils (B)
11.443	Short Term Climate Fluctuations (B)
11.444	Hawaii Sustainable Fisheries Development (Aquaculture Program) (B)
11.445	Hawaii Stock Management Program (B)
11.449	Independent Education and Science Projects and Programs (B)
11.450	Automated Flood Warning Systems (AFWS) (B)
11.452	Unallied Industry Projects (B)
11.454	Unallied Management Projects (B)
11.455	Cooperative Science and Education Program (B)
11.457	Chesapeake Bay Studies (B)
11.459	Weather and Air Quality Research (B)
11.460	Special Oceanic and Atmospheric Projects (B)
11.462	Hydrologic Research (B)
11.463	Habitat Conservation (B)
11.467	Meteorologic and Hydrologic Modernization Development (B,D,L,M)
11.468	Applied Meteorologic Research (B)
11.469	Congressionally Identified Awards and Projects (B)
11.472	Unallied Science Program (B)
11.473	Coastal Services Center (B)
11.474	Atlantic Coastal Fisheries Cooperative Management Act (B)
11.477	Fisheries Disaster Relief (B)
11.478	Center for Sponsored Coastal Ocean Research-Coastal Ocean Program (B)
11.480	National Ocean Service Intern Program (B)
11.481	Educational Partnership Program (B)
15.426	Coastal Impact Assistance Program (CIAP) (A)
15.805	Assistance to State Water Resources Research Institutes (A,B)
15.807	Earthquake Hazards Reduction Program (B)
15.808	U.S. Geological Survey- Research and Data Collection (B)
15.810	National Cooperative Geologic Mapping Program (B)
15.811	Gap Analysis Program (B)
15.812	Cooperative Research Units Program (B)
15.814	National Geological and Geophysical Data Preservation Program (B)
15.815	National Land Remote Sensing-Education Outreach and Research (B)
15.816	Minerals Resources External Research Program (B)
15.978	Upper Mississippi River System Long Term Resource Monitoring Program (B)

(350) AGRICULTURE

This function includes those programs that promote the economic stability of agriculture and the Nation's capability to maintain and increase agricultural production. These objectives are accomplished through farm income stabilization programs, research and educational activities, programs that maintain agricultural markets, regulation of agricultural markets and practices, and maintenance of an adequate stockpile of agricultural commodities. This function does not include programs which, though related to rural development, are not directly related to agriculture, such as rural environmental and conservation programs that are classified in the natural resources function. In addition, it excludes the costs connected with concessionary food export sales or food donations whether overseas or for domestic income support purposes.

10.082	Tree Assistance Program (D)
10.609	Trade Adjustment Assistance (D)

(351) Farm Income Stabilization

Loans, subsidies, and other payments for purposes of stabilizing agricultural prices at an equitable level. This includes the cost of acquisition and storage of agricultural commodity stockpiles but does not include the cost of foreign agricultural export losses (which are classified in the international affairs function) or domestic donations of food (which are part of an income support, rather than a farm price support, program).

10.051	Commodity Loans and Loan Deficiency Payments (D,E)
10.053	Dairy Indemnity Program (D)
10.055	Direct and Counter-cyclical Payments Program (C)
10.056	Farm Storage Facility Loans (E)
10.056	Farm Storage Facility Loans (E)
10.066	Livestock Assistance Program (D)
10.073	Crop Disaster Program (D)
10.077	Livestock Compensation Program (D)
10.080	Milk Income Loss Contract Program (D)
10.081	Lamb Meat Adjustment Assistance Program (D)
10.084	Dairy Market Loss Assistance Program (D)
10.085	Tobacco Transition Payment Program (D)
10.404	Emergency Loans (E)
10.404	Emergency Loans (E)

10.406	Farm Operating Loans (E,F)
10.406	Farm Operating Loans (E,F)
10.407	Farm Ownership Loans (E,F)
10.407	Farm Ownership Loans (E,F)
10.421	Indian Tribes and Tribal Corporation Loans (E)
10.421	Indian Tribes and Tribal Corporation Loans (E)
10.435	State Mediation Grants (B)
10.437	Interest Assistance Program (F)
10.437	Interest Assistance Program (F)
10.443	Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (B)
10.449	Boll Weevil Eradication Loan Program (E)
10.450	Crop Insurance (G)
10.451	Noninsured Assistance (D)
10.452	Disaster Reserve Assistance (C)
10.454	Dairy Options Pilot Program (C)
10.455	Community Outreach and Assistance Partnership Program (L,M)
10.456	Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers) (B)
10.457	Commodity Partnerships for Risk Management Education (B)
10.458	Crop Insurance Education in Targeted States (B)
10.459	Commodity Partnerships for Small Agricultural Risk Management Education Sessions (B)
10.994	Peanut Quota Buyout Program (D)
10.995	Hard White Wheat Incentive Program (D)
10.999	Long Term Standing Agreements For Storage, Transportation And Lease (C)
10.999	Long Term Standing Agreements For Storage, Transportation And Lease (C)

(352) Agricultural Research and Services

This includes all other costs of agricultural programs including expenses for programs such as agricultural research and extension services.

10.001	Agricultural Research-Basic and Applied Research (B)
10.025	Plant and Animal Disease, Pest Control, and Animal Care (B)
10.025	Plant and Animal Disease, Pest Control, and Animal Care (B)
10.028	Wildlife Services (B)
10.153	Market News (L)
10.156	Federal-State Marketing Improvement Program (B)
10.162	Inspection Grading and Standardization (J)
10.162	Inspection Grading and Standardization (J)
10.163	Market Protection and Promotion (J,K,M)
10.164	Wholesale Farmers and Alternative Market Development (K,M)
10.165	Perishable Agricultural Commodities Act (N)
10.167	Transportation Services (K,M)
10.168	Farmers' Market Promotion Program (B)
10.169	Specialty Crop Block Grant Program (B)
10.170	Specialty Crop Block Grant Program - Farm Bill (B)
10.200	Grants for Agricultural Research, Special Research Grants (B)
10.202	Cooperative Forestry Research (A)
10.203	Payments to Agricultural Experiment Stations Under the Hatch Act (A)
10.205	Payments to 1890 Land-Grant Colleges and Tuskegee University (A)
10.206	Grants for Agricultural Research-Competitive Research Grants (B)
10.207	Animal Health and Disease Research (A)
10.210	Food and Agricultural Sciences National Needs Graduate Fellowship Grants (B)
10.212	Small Business Innovation Research (B)
10.215	Sustainable Agriculture Research and Education (B)
10.216	1890 Institution Capacity Building Grants (B)
10.217	Higher Education Challenge Grants (B)
10.219	Biotechnology Risk Assessment Research (B)
10.220	Higher Education Multicultural Scholars Program (B)
10.221	Tribal Colleges Education Equity Grants (A)
10.222	Tribal Colleges Endowment Program (A)
10.223	Hispanic Serving Institutions Education Grants (B)
10.226	Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (B)
10.227	1994 Institutions Research Program (B)
10.228	Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (B)
10.250	Agricultural and Rural Economic Research (L)
10.253	Food Assistance and Nutrition Research Programs (FANRP) (L)
10.254	Program of Research on the Economic of Invasive Species Management (PREISM) (L)
10.255	Research Innovation and Development Grants in Economic (RIDGE) (L)
10.256	Consumer Data Initiative (CDI) (L)
10.290	Agricultural Market and Economic Research (B)
10.303	Integrated Programs (B)
10.304	Homeland Security-Agricultural (B)
10.305	International Science and Education Grants (B)

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- 10.306 BIODIESEL (B)
- 10.307 Organic Agriculture Research and Extension Initiative (B)
- 10.308 Resident Instruction Grants for Insular Area Activities (B)
- 10.309 Specialty Crop Research Initiative (B)
- 10.477 Meat, Poultry, and Egg Products Inspection (J)
- 10.500 Cooperative Extension Service (A,B)
- 10.700 National Agricultural Library (L)
- 10.950 Agricultural Statistics Reports (L)
- 10.960 Technical Agricultural Assistance (B)
- 10.961 Scientific Cooperation and Research (B,C)
- 10.962 Cochran Fellowship Program-International Training-Foreign Participant (C)
- 10.999 Long Term Standing Agreements For Storage, Transportation And Lease (C)

(370) COMMERCE AND HOUSING CREDIT

This function includes the promotion and regulation of commerce and the housing and thrift insurance industries. Commerce includes the collection and dissemination of social and economic data unless they are an integral part of another function (such as health). This function includes general purpose loans and subsidies to business and to the housing industry. In general, credit and insurance programs are included in this function; however, if such programs are a means of achieving the basic objectives of another function and are an integral part of the programs of that function they are classified in the other function.

(371) Mortgage Credit

Includes homeownership and related loan and insurance programs.

- 10.405 Farm Labor Housing Loans and Grants (B,F)
- 10.405 Farm Labor Housing Loans and Grants (B,F)
- 10.410 Very Low to Moderate Income Housing Loans (E,F)
- 10.410 Very Low to Moderate Income Housing Loans (E,F)
- 10.411 Rural Housing Site Loans and Self-Help Housing Land Development Loans (E)
- 10.411 Rural Housing Site Loans and Self-Help Housing Land Development Loans (E)
- 10.415 Rural Rental Housing Loans (B,E)
- 10.415 Rural Rental Housing Loans (B,E)
- 10.417 Very Low-Income Housing Repair Loans and Grants (B,E)
- 10.417 Very Low-Income Housing Repair Loans and Grants (B,E)
- 10.444 Direct Housing-Natural Disaster Loans and Grants (B,E)
- 10.444 Direct Housing-Natural Disaster Loans and Grants (B,E)
- 10.445 Direct Housing-Natural Disaster (E)
- 10.445 Direct Housing-Natural Disaster (E)
- 10.446 Rural Community Development Initiative (B)
- 10.446 Rural Community Development Initiative (B)
- 10.447 The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B)
- 14.103 Interest Reduction Payments-Rental and Cooperative Housing for Lower Income Families (C,F)
- 14.108 Rehabilitation Mortgage Insurance (F)
- 14.110 Manufactured Home Loan Insurance-Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (F)
- 14.112 Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (F)
- 14.117 Mortgage Insurance-Homes (F)
- 14.119 Mortgage Insurance-Homes for Disaster Victims (F)
- 14.122 Mortgage Insurance-Homes in Urban Renewal Areas (F)
- 14.123 Mortgage Insurance-Housing in Older, Declining Areas (F)
- 14.126 Mortgage Insurance-Cooperative Projects (F)
- 14.127 Mortgage Insurance-Manufactured Home Parks (F)
- 14.128 Mortgage Insurance-Hospitals (F)
- 14.129 Mortgage Insurance-Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (F)
- 14.133 Mortgage Insurance-Purchase of Units in Condominiums (F)
- 14.134 Mortgage Insurance-Rental Housing (F)
- 14.135 Mortgage Insurance-Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)
- 14.138 Mortgage Insurance-Rental Housing for the Elderly (F)
- 14.139 Mortgage Insurance-Rental Housing in Urban Renewal Areas (F)
- 14.142 Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (F)
- 14.151 Supplemental Loan Insurance-Multifamily Rental Housing (F)
- 14.155 Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (F)
- 14.157 Supportive Housing for the Elderly (C)
- 14.157 Supportive Housing for the Elderly (C)
- 14.157 Supportive Housing for the Elderly (C)
- 14.159 Section 245 Graduated Payment Mortgage Program (F)
- 14.162 Mortgage Insurance-Combination and Manufactured Home Lot Loans (F)
- 14.163 Mortgage Insurance-Single Family Cooperative Housing (F)

- 14.167 Mortgage Insurance-Two Year Operating Loss Loans, Section 223(d) (F)
- 14.172 Mortgage Insurance-Growing Equity Mortgages (F)
- 14.175 Adjustable Rate Mortgages (F)
- 14.181 Supportive Housing for Persons with Disabilities (C)
- 14.181 Supportive Housing for Persons with Disabilities (C)
- 14.181 Supportive Housing for Persons with Disabilities (C)
- 14.183 Home Equity Conversion Mortgages (F)
- 14.184 Mortgages Insurance for Single Room Occupancy (SRO) Projects (F)
- 14.188 Housing Finance Agencies (HFA) Risk Sharing (F)
- 14.189 Qualified Participating Entities (QPE) Risk Sharing (F)
- 14.197 Multifamily Assisted Housing Reform and Affordability Act (C)
- 14.198 Good Neighbor Next Door Sales Program (H)
- 14.198 Good Neighbor Next Door Sales Program (H)
- 14.198 Good Neighbor Next Door Sales Program (H)
- 14.198 Good Neighbor Next Door Sales Program (H)
- 14.198 Good Neighbor Next Door Sales Program (H)
- 14.199 Multifamily Property Disposition (H)
- 14.199 Multifamily Property Disposition (H)
- 14.311 Single Family Property Disposition (H)
- 14.311 Single Family Property Disposition (H)
- 14.311 Single Family Property Disposition (H)
- 14.311 Single Family Property Disposition (H)
- 14.313 Dollar Home Sales (H)
- 14.865 Public and Indian Housing-Indian Loan Guarantee Program (F)

(373) Deposit Insurance

Includes insurance programs protecting depository thrift institutions.

- 44.001 Credit Union Charter, Examination, Supervision, and Insurance (G,J,K)
- 44.001 Credit Union Charter, Examination, Supervision, and Insurance (G,J,K)
- 44.002 Community Development Revolving Loan Fund Program for Credit Unions (E)

(376) Other Advancement of Commerce

This includes loan programs designed to aid specialized forms of business (such as small business) that are not included elsewhere in the functional structure. It also includes the costs of collection and dissemination of economic and demographic statistics (such as census data) and of regulation of business

- 11.001 Census Bureau Data Products (L)
- 11.001 Census Bureau Data Products (L)
- 11.002 Census Customer Services (K,L,M)
- 11.003 Census Geography (J,L)
- 11.004 Census Intergovernmental Services (K,L,M)
- 11.004 Census Intergovernmental Services (K,L,M)
- 11.005 Census Special Tabulations and Services (J,L)
- 11.006 Personal Census Search (J)
- 11.025 Measures and Analyses of the U.S. Economy (L)
- 11.026 STAT-USA: Key Business, Economic, and International Trade Information (L)
- 11.106 Remedies for Unfair Foreign Trade Practices-Antidumping and Countervailing Duty Investigations (J,N)
- 11.108 Commercial Service (K)
- 11.110 Manufacturing and Services (K)
- 11.111 Foreign-Trade Zones in the United States (J)
- 11.112 Market Development Cooperator Program (B)
- 11.113 ITA Special Projects (B)
- 11.150 Export Licensing Service and Information (K)
- 11.408 Fishermen's Contingency Fund (D)
- 11.415 Fisheries Finance Program (E)
- 11.427 Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)
- 11.553 Special Projects (B)
- 11.553 Special Projects (B)
- 11.601 Calibration Program (J)
- 11.603 National Standard Reference Data System (H)
- 11.603 National Standard Reference Data System (H)
- 11.604 Standard Reference Materials (H)
- 11.606 Weights and Measures Service (J,K,L,M)
- 11.606 Weights and Measures Service (J,K,L,M)
- 11.609 Measurement and Engineering Research and Standards (B)
- 11.609 Measurement and Engineering Research and Standards (B)
- 11.609 Measurement and Engineering Research and Standards (B)
- 11.610 National Center for Standards and Certification Information (L)
- 11.610 National Center for Standards and Certification Information (L)
- 11.611 Manufacturing Extension Partnership (B,L)
- 11.612 Advanced Technology Program (B)
- 11.616 Technology Innovation Program (TIP) (B)
- 11.617 Congressionally-Identified Projects (B)

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11.617 Congressionally-Identified Projects (B)
 11.618 National Institute of Standards and Technology Construction Grant Program (B)
 11.650 National Technical Information Service (L)
 11.702 Internship Program for Postsecondary Students (B)
 11.800 Minority Business Enterprise Centers (B)
 11.801 Native American Business Enterprise Centers (B)
 11.803 Minority Business Opportunity Center (MBOC) (B)
 11.900 Patent and Trademark Technical Information Dissemination (L)
 14.168 Land Sales-Certain Subdivided Land (L,N)
 14.171 Manufactured Home Construction and Safety Standards (L,N)
 19.204 Fishermen's Guaranty Fund (G)
 32.001 Communications Information and Assistance and Investigation of Complaints (L,N)
 36.001 Fair Competition Counseling and Investigation of Complaints (K,N)
 39.009 Federal Citizen Information Center (L)
 42.002 Copyright Service (L)
 42.008 Semiconductor Chip Protection Service (L)
 42.009 Vessel Hull Design Protection Service (L)
 58.001 Securities-Investigation of Complaints and SEC Information (L,N)
 59.006 8(a) Business Development Program (J)
 59.007 7(j) Technical Assistance (B)
 59.009 Procurement Assistance to Small Businesses (J)
 59.011 Small Business Investment Companies (E,F,K)
 59.012 7(a) Loan Guarantees (F)
 59.016 Surety Bond Guarantees (G)
 59.026 Service Corps of Retired Executives (K,M)
 59.037 Small Business Development Centers (B,J,K,L)
 59.041 504 Certified Development Loans (F)
 59.043 Women's Business Ownership Assistance (B)
 59.044 Veterans Business Development (B)
 59.046 Microloan Program (A,E)
 59.049 Small Disadvantaged Businesses (E)
 59.050 PRIME Technical Assistance (B)
 59.051 New Markets Venture Capital Program (B,F)
 59.051 New Markets Venture Capital Program (B,F)
 59.052 Native American Outreach (B)
 59.053 Ombudsman and Regulatory Fairness Boards (N)
 59.054 7(a)Export Loan Guarantees (F)
 59.055 HUBZone Program (B)
 59.070 Drug-Free Workplace Program (B)
 78.004 Commodity Futures Reparations Claims (N)

(400) TRANSPORTATION

This function includes all programs with the primary aim of providing for the transportation of the general public and/or their property, regardless of whether local or national and regardless of the particular mode of transportation. It includes the construction of facilities; purchase of equipment; research, testing, and evaluation; provision of communications directly related to transportation (for example, air traffic control by the FAA); and operating subsidies for transportation facilities (such as mass transit) and industries (such as railroads). Transportation includes regulatory activities directed toward transportation. Excluded from this function are the costs of moving personnel or equipment as part of the operation of other government services; the provision of foreign economic assistance which may take the course of assisting transportation facilities or programs abroad; the construction of roads, trails, etc., as an integral part of the operation of public lands, parks, forests, or military reservations, unless they are specifically funded as a part of a broader transportation program; the construction of roads or other transportation facilities as an integral part of a broad community facility or regional development program where the clear intent of the program is regional development and the provisions of transportation facilities is only an incidental by-product or means to attain the objective of regional development. Also excluded from transportation are research and technology activities devoted to space research, except for aeronautical technology, even though this research may eventually redound to the advantage of general transportation.

97.072 National Explosives Detection Canine Team Program (B)

(401) Ground Transportation

Outlays to aid and/or regulate the various modes of ground transportation, such as roads and highways, railroads, and urban mass transit.

20.200 Highway Research and Development Program (B)
 20.205 Highway Planning and Construction (A,B)
 20.215 Highway Training and Education (B,M)
 20.218 National Motor Carrier Safety (A)
 20.219 Recreational Trails Program (A,B)
 20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E,F)

20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E,F)
 20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E,F)
 20.231 Performance and Registration Information Systems Management (A)
 20.232 Commercial Driver License State Programs (B)
 20.233 Border Enforcement Grants (B)
 20.234 Safety Data Improvement Program (B)
 20.235 Commercial Motor Vehicle Operator Training Grants (B)
 20.236 Thermal Imaging Inspection System Demonstration Project (B)
 20.237 Safety Data Improvement Program (B)
 20.238 Commercial Drivers License Information System (B)
 20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)
 20.301 Railroad Safety (N)
 20.303 Grants-in-Aid for Railroad Safety-State Participation (B)
 20.312 High Speed Ground Transportation-Next Generation High Speed Rail Program (B)
 20.312 High Speed Ground Transportation-Next Generation High Speed Rail Program (B)
 20.313 Railroad Research and Development (B)
 20.314 Railroad Development (B)
 20.314 Railroad Development (B)
 20.314 Railroad Development (B)
 20.315 National Railroad Passenger Corporation Grants (B)
 20.315 National Railroad Passenger Corporation Grants (B)
 20.316 Railroad Rehabilitation and Improvement Financing Program (E)
 20.317 Capital Assistance to States - Intercity Passenger Rail Service (B)
 20.318 Maglev Project Selection Program - SAFETEA-LU (B)
 20.500 Federal Transit-Capital Investment Grants (A,B)
 20.500 Federal Transit-Capital Investment Grants (A,B)
 20.505 Federal Transit-Metropolitan Planning Grants (A)
 20.505 Federal Transit-Metropolitan Planning Grants (A)
 20.507 Federal Transit-Formula Grants (A)
 20.507 Federal Transit-Formula Grants (A)
 20.509 Formula Grants for Other Than Urbanized Areas (A)
 20.509 Formula Grants for Other Than Urbanized Areas (A)
 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities (A)
 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities (A)
 20.514 Public Transportation Research (B,L,M)
 20.515 State Planning and Research (A)
 20.515 State Planning and Research (A)
 20.516 Job Access-Reverse Commute (B)
 20.516 Job Access-Reverse Commute (B)
 20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)
 20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)
 20.519 Clean Fuels (B)
 20.521 New Freedom Program (A)
 20.522 Alternatives Analysis (B)
 20.600 State and Community Highway Safety (A)
 20.600 State and Community Highway Safety (A)
 20.601 Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants (B)
 20.602 Occupant Protection (B)
 20.605 Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons (B)
 20.607 Alcohol Open Container Requirements (B)
 20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)
 20.609 Safety Belt Performance Grants (B)
 20.610 State Traffic Safety Information System Improvement Grants (B)
 20.611 Incentive Grant Program to Prohibit Racial Profiling (B)
 20.612 Incentive Grant Program to Increase Motorcyclist Safety (B)
 20.613 Child Safety and Child Booster Seats Incentive Grants (B)
 20.614 National Highway Transportation Safety Administration (NHTSA) Discretionary Safety Grants (B)
 20.701 University Transportation Centers Program (B)
 20.701 University Transportation Centers Program (B)
 20.704 RITA Hydrogen (B)
 20.760 University Transportation Centers (B)
 20.760 University Transportation Centers (B)
 20.761 Biobased Transportation Research (B)
 20.762 Research Grants (B)

(402) Air Transportation

Outlays to aid and/or regulate air transportation, including aeronautical research conducted by the National Aeronautics and Space Administration.

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20.100	Aviation Education (K)
20.106	Airport Improvement Program (B,K)
20.108	Aviation Research Grants (B,I)
20.109	Air Transportation Centers of Excellence (B,I,J)
20.901	Payments for Essential Air Services (C)
20.930	Payments for Small Community Air Service Development (B)
97.056	Port Security Grant Program (B)
97.057	Intercity Bus Security Grants (B)
97.058	Operation Safe Commerce (OSC) Cooperative Agreement Program (B)
97.060	Port Security Research and Development Grant (B)
97.090	Law Enforcement Officer Reimbursement Agreement Program (C)
97.100	Airport Checked Baggage Screening Program (C)

(403) Water Transportation

Outlays to aid and/or regulate maritime commerce. (To the extent that the data can readily be segregated, this should extend to include inland and deep draft navigation facilities of water resources projects.)

20.802	Federal Ship Financing Guarantees (F)
20.803	Maritime War Risk Insurance (G)
20.806	State Maritime Schools (C,I)
20.807	U.S. Merchant Marine Academy (M)
20.808	Capital Construction Fund (C)
20.810	Supplementary Training (M)
20.812	Construction Reserve Fund (C)
20.814	Assistance to Small Shipyards (C)
33.001	Shipping-Dispute Resolution and Investigation of Complaints (N)
97.011	Boating Safety (J,K,L,M)
97.012	Boating Safety Financial Assistance (A)
97.013	State Access to the Oil Spill Liability Trust Fund (B)
97.014	Bridge Alteration (C)

(407) Other Transportation

Outlays for general transportation programs and overhead not readily allocable into any of the preceding subfunctions.

20.700	Pipeline Safety Program Base Grants (A)
20.703	Interagency Hazardous Materials Public Sector Training and Planning Grants (B)
20.720	State Damage Prevention Program Grants (B)
20.721	PHMSA Pipeline Safety Program One Call Grant (B)
20.763	Research and Innovative Technology (RIT) Hydrogen Alternative Fuel Life Cycle (B)
20.764	Hydrogen Storage Research and Development (B)
20.900	Transportation-Consumer Affairs (N)
20.904	Bonding Assistance Program (G)
20.905	Disadvantaged Business Enterprises-Short Term Lending Program (F)
20.910	Assistance to small and disadvantaged businesses (B)
20.931	Transportation Planning, Research and Education (B)

(450) COMMUNITY AND REGIONAL DEVELOPMENT

This function consists of broad-based community, regional, urban and rural renewal and development programs. It includes grants and loans for water supply and sewer systems (except those specifically funded as environmental protection programs), urban community and economic development programs, regional economic development programs, broad-based public facility construction programs, and relief and rehabilitation of private and public facilities in the event of natural disasters. Aids to businesses such as loans, loan guarantees, and subsidies are not normally included in this function unless such aids are a means of economic development to aid restricted (generally depressed) areas and are not designed to promote particular lines of business for their own sake. Transportation facilities developed as an integral part of a community development (rather than transportation) program are also included in this function. As a normal rule, human development and services programs are excluded from this function (and included in the education, training, employment, and social services function). This function is confined to development of physical facilities or financial infrastructures designed to promote viable community economies.

(451) Community Development

This subfunction includes grants and related programs designed to aid community (largely urban) development. It includes community development block grants and predecessor activities such as the urban renewal and model cities programs. Community development programs are generally carried out by the Department of Housing and Urban Development.

10.671	Southeast Alaska Economic Disaster Fund (C)
14.191	Multifamily Housing Service Coordinators (B)
14.218	Community Development Block Grants/Entitlement Grants (A)
14.225	Community Development Block Grants/Special Purpose Grants/Insular Areas (B)

14.227	Community Development Block Grants/Technical Assistance Program (B)
14.228	Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A)
14.244	Empowerment Zones Program (B,C)
14.244	Empowerment Zones Program (B,C)
14.246	Community Development Block Grants/Brownfields Economic Development Initiative (B)
14.246	Community Development Block Grants/Brownfields Economic Development Initiative (B)
14.247	Self-Help Homeownership Opportunity Program (B)
14.248	Community Development Block Grants-Section 108 Loan Guarantees (F)
14.251	Economic Development Initiative-Special Project, Neighborhood Initiative and Miscellaneous Grants (B)
14.506	General Research and Technology Activity (B)
14.514	Hispanic-Serving Institutions Assisting Communities (B)
14.514	Hispanic-Serving Institutions Assisting Communities (B)
14.515	Alaska Native/Native Hawaiian Institutions Assisting Communities (B)
14.515	Alaska Native/Native Hawaiian Institutions Assisting Communities (B)
14.516	Doctoral Dissertation Research Grants (B)
14.517	Early Doctoral Student Research Grants (B)
14.519	Tribal Colleges and Universities Program (B)
14.519	Tribal Colleges and Universities Program (B)
14.520	Historically Black Colleges and Universities Program (B)
14.520	Historically Black Colleges and Universities Program (B)
14.862	Indian Community Development Block Grant Program (B)
14.900	Lead-Based Paint Hazard Control in Privately-Owned Housing (B)
14.901	Healthy Homes Demonstration Grants (B)
14.902	Lead Technical Studies Grants (B)
14.903	Operation Lead Elimination Action Program (B)
14.904	Lead Outreach Grants (B)
14.905	Lead Hazard Reduction Demonstration Grant Program (B)
14.906	Healthy Homes Technical Studies Grants (B)
21.020	Community Development Financial Institutions Program (B)
21.021	Bank Enterprise Award Program (B)

(452) Area and Regional Development

Grants, loans, subsidies, and related aids to economic development or depressed areas that are generally either primarily for rural areas or else are more regional in nature or purpose than the community development programs. Area and regional development programs are generally carried out by agencies other than the Department of Housing and Urban Development, such as the Departments of Agriculture, Commerce, and Interior.

10.350	Technical Assistance to Cooperatives (J,K,L,M)
10.352	Value-Added Producer Grants (B)
10.760	Water and Waste Disposal Systems for Rural Communities (B,E,F)
10.760	Water and Waste Disposal Systems for Rural Communities (B,E,F)
10.761	Technical Assistance and Training Grants (B)
10.762	Solid Waste Management Grants (B)
10.763	Emergency Community Water Assistance Grants (B)
10.766	Community Facilities Loans and Grants (B,E,F)
10.766	Community Facilities Loans and Grants (B,E,F)
10.767	Intermediary Relending Program (E)
10.767	Intermediary Relending Program (E)
10.768	Business and Industry Loans (F)
10.768	Business and Industry Loans (F)
10.769	Rural Business Enterprise Grants (B)
10.770	Water and Waste Disposal Loans and Grants (Section 306C) (B,E)
10.771	Rural Cooperative Development Grants (B)
10.772	Empowerment Zones Program (B)
10.773	Rural Business Opportunity Grants (B)
10.774	National Sheep Industry Improvement Center (B,C,E,F)
10.778	Research on the Economic Impact of Cooperatives (C)
10.854	Rural Economic Development Loans and Grants (B,E)
10.854	Rural Economic Development Loans and Grants (B,E)
10.855	Distance Learning and Telemedicine Loans and Grants (B,E)
10.856	1890 Land Grant Institutions Rural Entrepreneurial Outreach Program (C)
10.857	State Bulk Fuel Revolving Fund Grants (B)
10.858	Denali Commission Grants and Loans (B,E)
10.859	Assistance to High Energy Cost Rural Communities (B,E)
10.860	Rural Business Investment Program (B,F)
10.861	Public Television Station Digital Transition Grant Program (B)
10.862	Household Water Well System Grant Program (B)
10.863	Community Connect Grant Program (B)
10.864	Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)
10.886	Rural Broadband Access Loans and Loan Guarantees (E,F)
11.300	Investments for Public Works and Economic Development Facilities (B)
11.302	Economic Development-Support for Planning Organizations (B)

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11.303	Economic Development-Technical Assistance (B)
11.307	Economic Adjustment Assistance (B)
11.312	Research and Evaluation Program (B)
11.313	Trade Adjustment Assistance for Firms (B)
15.020	Aid To Tribal Governments (C)
15.021	Consolidated Tribal Government Program (C)
15.022	Tribal Self-Governance (C)
15.024	Indian Self-Determination Contract Support (C)
15.025	Services to Indian Children, Elderly and Families (C)
15.029	Tribal Courts (C)
15.030	Indian Law Enforcement (C)
15.032	Indian Economic Development (C)
15.033	Road Maintenance-Indian Roads (C)
15.036	Indian Rights Protection (C)
15.040	Real Estate Programs-Indian Lands (C)
15.041	Environmental Management-Indian Programs (C)
15.052	Litigation Support for Indian Rights (C)
15.053	Attorney Fees-Indian Rights (C)
15.055	Alaskan Indian Allotments and Subsistence Preference-Alaska National Interest Lands Conservation Act (C)
15.057	Navajo-Hopi Indian Settlement Program (C,J)
15.060	Indian Vocational Training-United Tribes Technical College (D,M)
15.061	Indian Job Placement-United Sioux Tribes Development Corporation (D,K)
15.062	Replacement and Repair of Indian Schools (C)
15.063	Improvement and Repair of Indian Detention Facilities (C)
15.064	Structural Fire Protection-Bureau of Indian Affairs Facilities (C)
15.108	Indian Employment Assistance (C)
15.113	Indian Social Services-Welfare Assistance (C)
15.124	Indian Loans-Economic Development (F)
15.133	Native American Business Development Institute (A)
15.141	Indian Housing Assistance (B,L)
15.144	Indian Child Welfare Act-Title II Grants (B)
15.146	Ironworker Training Program (B)
15.147	Tribal Courts-Trust Reform Initiative (B)
23.001	Appalachian Regional Development (See individual Appalachian Programs) (B)
23.002	Appalachian Area Development (B)
23.003	Appalachian Development Highway System (B)
23.009	Appalachian Local Development District Assistance (B)
23.011	Appalachian Research, Technical Assistance, and Demonstration Projects (B)
90.100	Denali Commission Program (B)
90.100	Denali Commission Program (B)
90.200	Delta Regional Development (B)
90.201	Delta Area Economic Development (B)
90.202	Delta Local Development District Assistance (B)

(453) Disaster Relief and Insurance

This subfunction includes those Federal programs uniquely devoted to assist communities to recover from natural disasters and assist families on an emergency basis when they are adversely affected by such disasters.

10.054	Emergency Conservation Program (C)
59.008	Disaster Assistance Loans (E)
97.022	Flood Insurance (G)
97.023	Community Assistance Program State Support Services Element (CAP-SSSE) (B)
97.029	Flood Mitigation Assistance (B)
97.030	Community Disaster Loans (E)
97.031	Cora Brown Fund (C)
97.032	Crisis Counseling (B)
97.033	Disaster Legal Services (C)
97.034	Disaster Unemployment Assistance (C,J)
97.036	Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)
97.041	National Dam Safety Program (B)
97.045	Cooperating Technical Partners (B)
97.046	Fire Management Assistance Grant (B,J)
97.047	Pre-Disaster Mitigation (B)
97.048	Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Areas (B)
97.049	Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households (C)
97.050	Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)
97.064	Debris Removal Insurance (G)
97.070	Map Modernization Management Support (B)
97.082	Earthquake Consortium (B)
97.084	Hurricane Katrina Case Management Initiative Program (B)
97.085	9/11 Heroes Stamp Program (D)

97.087	Alternative Housing Pilot Program (B)
97.092	Repetitive Flood Claims (B)
97.098	Disaster Donations Management Program (B)
97.109	Disaster Housing Assistance Grant (B)
97.110	Severe Loss Repetitive Program (B)

(500) EDUCATION, TRAINING, EMPLOYMENT, AND SOCIAL SERVICES

This function includes those outlays designed to promote the general extension of knowledge and skills, to provide public services to needy individuals, and/or to assist individuals to become self-supporting members of society. It includes the provision of education or training child care services, employment counselling, vocational rehabilitation, and other social services provided to individuals and families. It also includes cash payments (scholarships, loans, or stipends) to persons when such payments are provided to enable a person to participate in education or training programs; it includes programs designed to provide services to the poor (such as community services) that are not an integral part of some other function, and also includes the costs of research directly related to the programs in this function. This function excludes education or training undertaken as an integral part of the achievement of another functional end (such as training of military personnel, veterans education, training, and rehabilitation; or training of health workers in a health program) and also excludes general purpose scientific research. Nutrition or food service programs that are funded separately from social services or education shall be included as income security rather than being included in this function.

84.323	Special Education - State Personnel Development (B)
84.324	Research in Special Education (B)
84.329	Special Education-Studies and Evaluations (B)

(501) Elementary, Secondary, and Vocational Education

This includes pre-school, elementary, secondary and vocational education programs.

15.026	Indian Adult Education (C)
15.027	Assistance to Tribally Controlled Community Colleges and Universities (B)
15.028	Tribally Controlled Community College Endowments (B)
15.042	Indian School Equalization Program (C)
15.043	Indian Child and Family Education (B,M)
15.044	Indian Schools-Student Transportation (C)
15.045	Assistance for Indian Children with Severe Disabilities (C)
15.046	Administrative Cost Grants for Indian Schools (B)
15.047	Indian Education Facilities, Operations, and Maintenance (C)
15.048	Bureau of Indian Affairs Facilities-Operations and Maintenance (C)
15.058	Indian Post Secondary Schools (M)
15.059	Indian Graduate Student Scholarships (B)
15.114	Indian Education-Higher Education Grant Program (B)
15.130	Indian Education-Assistance to Schools (C)
17.267	Incentive Grants - WIA Section 503 (A,B)
84.002	Adult Education - Basic Grants to States (A)
84.004	Civil Rights Training and Advisory Services (B)
84.010	Title I Grants to Local Educational Agencies (A)
84.011	Migrant Education-State Grant Program (A)
84.013	Title I Program for Neglected and Delinquent Children (A)
84.027	Special Education-Grants to States (A)
84.040	Impact Aid-Facilities Maintenance (B)
84.041	Impact Aid (A)
84.048	Career and Technical Education -- Basic Grants to States (A)
84.051	Career and Technical Education -- National Programs (B)
84.060	Indian Education-Grants to Local Educational Agencies (A)
84.083	Women's Educational Equity Act Program (B)
84.101	Career and Technical Education - Indian Set-aside (B)
84.141	Migrant Education-High School Equivalency Program (B)
84.144	Migrant Education-Coordination Program (B)
84.149	Migrant Education-College Assistance Migrant Program (B)
84.165	Magnet Schools Assistance (B)
84.173	Special Education-Preschool Grants (A)
84.181	Special Education-Grants for Infants and Families (A)
84.184	Safe and Drug-Free Schools and Communities-National Programs (B)
84.186	Safe and Drug-Free Schools and Communities-State Grants (A)
84.191	Adult Education-National Leadership Activities (B)
84.196	Education for Homeless Children and Youth (A)
84.203	Star Schools (B)
84.213	Even Start-State Educational Agencies (A,B)
84.214	Even Start-Migrant Education (B)
84.215	Fund for the Improvement of Education (B)
84.215	Fund for the Improvement of Education (B)
84.215	Fund for the Improvement of Education (B)
84.243	Tech-Prep Education (A,B)
84.256	Freely Associated States-Education Grant Program (B)
84.257	National Institute for Literacy (B)
84.258	Even Start-Indian Tribes and Tribal Organizations (B)

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84.259 Native Hawaiian Career and Technical Education (B)
 84.282 Charter Schools (B)
 84.283 Comprehensive Centers (B)
 84.286 Ready to Teach (B)
 84.287 Twenty-First Century Community Learning Centers (A)
 84.293 Foreign Language Assistance (B)
 84.295 Ready-To-Learn Television (B)
 84.298 State Grants for Innovative Programs (A)
 84.304 Civic Education - Cooperative Education Exchange Program (B)
 84.310 Parental Information and Resource Centers (B)
 84.318 Education Technology State Grants (A)
 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)
 84.326 Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)
 84.327 Special Education-Technology and Media Services for Individuals with Disabilities (B)
 84.328 Special Education-Parent Information Centers (B)
 84.330 Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)
 84.331 Grants to States for Incarcerated Youth Offenders (A)
 84.332 Comprehensive School Reform Demonstration (A)
 84.349 Early Childhood Educator Professional Development (B)
 84.350 Transition to Teaching (B)
 84.351 Arts in Education (B)
 84.354 Credit Enhancement for Charter School Facilities (B)
 84.356 Alaska Native Educational Programs (B)
 84.357 Reading First State Grants (B)
 84.358 Rural Education (A)
 84.359 Early Reading First (B)
 84.360 School Dropout Prevention Program (B)
 84.361 Voluntary Public School Choice (B)
 84.362 Native Hawaiian Education (B)
 84.363 School Leadership (B)
 84.364 Literacy through School Libraries (B)
 84.365 English Language Acquisition Grants (A,B)
 84.366 Mathematics and Science Partnerships (B)
 84.367 Improving Teacher Quality State Grants (A)
 84.369 Grants for State Assessments and Related Activities (A)
 84.370 DC School Choice Incentive Program (B)
 84.371 Striving Readers (B)
 84.373 Special Education-Technical Assistance on State Data Collection (B)
 84.374 Teacher Incentive Fund (B)
 84.377 School Improvement Grants (B)
 84.380 Special Education -- Olympic Education Programs (B)
 84.383 Homeless Education Disaster Assistance Program (I)

(502) Higher Education

This includes postsecondary, college level and graduate school education programs.

84.007 Federal Supplemental Educational Opportunity Grants (C)
 84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)
 84.016 Undergraduate International Studies and Foreign Language Programs (B)
 84.017 International Research and Studies (B)
 84.018 Overseas Programs-Special Bilateral Projects (B)
 84.019 Overseas Programs - Faculty Research Abroad (B)
 84.021 Overseas Programs - Group Projects Abroad (B)
 84.022 Overseas Programs - Doctoral Dissertation Research Abroad (B)
 84.031 Higher Education-Institutional Aid (B)
 84.032 Federal Family Education Loans (F)
 84.033 Federal Work-Study Program (C)
 84.037 Perkins Loan Cancellations (C,D)
 84.038 Federal Perkins Loan Program-Federal Capital Contributions (C)
 84.042 TRIO-Student Support Services (B)
 84.044 TRIO-Talent Search (B)
 84.047 TRIO-Upward Bound (B)
 84.063 Federal Pell Grant Program (C)
 84.066 TRIO-Educational Opportunity Centers (B)
 84.069 Leveraging Educational Assistance Partnership (A)
 84.103 TRIO Staff Training Program (B)
 84.116 Fund for the Improvement of Postsecondary Education (B)
 84.120 Minority Science and Engineering Improvement (B)
 84.153 Business and International Education Projects (B)
 84.170 Javits Fellowships (B)
 84.185 Byrd Honors Scholarships (A)
 84.200 Graduate Assistance in Areas of National Need (B)
 84.217 TRIO-McNair Post-Baccalaureate Achievement (B)

84.220 Centers for International Business Education (B)
 84.229 Language Resource Centers (B)
 84.245 Tribally Controlled Postsecondary Career and Technical Institutions (B)
 84.268 Federal Direct Student Loans (E)
 84.269 Institute for International Public Policy (B)
 84.274 American Overseas Research Centers (B)
 84.333 Demonstration Projects to Ensure Students with Disabilities Receive a Higher Education (B)
 84.334 Gaining Early Awareness and Readiness for Undergraduate Programs (B)
 84.335 Child Care Access Means Parents in School (B)
 84.336 Teacher Quality Enhancement Grants (B)
 84.337 International Education-Technological Innovation and Cooperation for Foreign Information Access (B)
 84.345 Underground Railroad Educational and Cultural Program (B)
 84.375 Academic Competitiveness Grants (C)
 84.376 National Science and Mathematics Access to Retain Talent (SMART) Grants (C)
 84.378 College Access Challenge Grant Program (A)
 84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)
 84.381 Baccalaureate Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages and Master's Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages (B)
 84.382 Strengthening Minority-Serving Institutions (B)
 85.001 Harry S Truman Scholarship Program (C)
 85.100 Christopher Columbus Fellowship Program (B,J)
 85.200 Barry M. Goldwater Scholarship Program (C)
 85.400 Morris K. Udall Scholarship Program (C)
 85.401 Morris K. Udall Fellowship Program (B)
 85.402 Morris K. Udall Native American Congressional Internship Program (C)
 85.500 James Madison Memorial Fellowship Program (B)

(503) Research and General Education Aids

This includes assistance for the arts, the humanities, educational radio and television, public libraries, and museums.

11.550 Public Telecommunications Facilities Planning and Construction (B)
 11.554 Low-Power Television and Translator Digital-to-Analog Conversion (B)
 11.555 Public Safety Interoperable Communications Grant Program (B)
 11.556 TV Converter Box Coupon Program (C)
 42.001 Books for the Blind and Physically Handicapped (I)
 45.024 Promotion of the Arts-Grants to Organizations and Individuals (B)
 45.025 Promotion of the Arts-Partnership Agreements (A,B,K)
 45.129 Promotion of the Humanities-Federal/State Partnership (A)
 45.130 Promotion of the Humanities-Challenge Grants (B)
 45.149 Promotion of the Humanities-Division of Preservation and Access (B)
 45.160 Promotion of the Humanities-Fellowships and Stipends (B)
 45.161 Promotion of the Humanities-Research (B)
 45.162 Promotion of the Humanities-Teaching and Learning Resources and Curriculum Development (B)
 45.163 Promotion of the Humanities-Professional Development (B)
 45.164 Promotion of the Humanities-Public Programs (B)
 45.168 Promotion of the Humanities-We the People (B,D)
 45.169 Promotion of the Humanities-Office of Digital Humanities (B)
 45.201 Arts and Artifacts Indemnity (G)
 45.301 Museums for America (B,C)
 45.302 Museum Assessment Program (C)
 45.303 Conservation Project Support (B,C)
 45.304 Conservation Assessment Program (C)
 45.307 21st Century Museum Professionals (B)
 45.308 Native American/Native Hawaiian Museum Services Program (B)
 45.309 Museum Grants for African American History and Culture (B)
 45.310 Grants to States (A)
 45.311 Native American and Native Hawaiian Library Services (B)
 45.312 National Leadership Grants (B)
 45.313 Laura Bush 21st Century Librarian Program (B)
 68.001 National Gallery of Art Extension Service (I)
 84.145 Federal Real Property Assistance Program (H)
 84.206 Javits Gifted and Talented Students Education Grant Program (B)
 84.305 Education Research, Development and Dissemination (B)
 84.372 Statewide Data Systems (B)
 85.300 Woodrow Wilson Center Fellowships in the Humanities and Social Sciences (B)
 85.601 Smithsonian Institution Fellowship Program (B)

(504) Training and Employment

This includes programs of job or skill training, employment services and placement, and payments to employers to subsidize employment of marginal, unemployed, or low income workers.

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17.201 Registered Apprenticeship and Other Training (B,K)
 17.201 Registered Apprenticeship and Other Training (B,K)
 17.235 Senior Community Service Employment Program (A,B)
 17.258 WIA Adult Program (A)
 17.259 WIA Youth Activities (A)
 17.260 WIA Dislocated Workers (A,B)
 17.261 WIA Pilots, Demonstrations, and Research Projects (B)
 17.264 National Farmworker Jobs Program (A)
 17.265 Native American Employment and Training (B)
 17.268 H-1B Job Training Grants (B)
 17.269 Community Based Job Training Grants (B)
 17.270 Reintegration of Ex-Offenders (B)
 17.272 Permanent Labor Certification for Foreign Workers (J)
 17.272 Permanent Labor Certification for Foreign Workers (J)
 17.273 Temporary Labor Certification for Foreign Workers (A,J)
 17.273 Temporary Labor Certification for Foreign Workers (A,J)
 17.274 YOUTHBUILD (B)
 17.802 Veterans' Employment Program (B)

(505) Other Labor Services

This includes aids to or regulation of the labor market (including gathering labor statistics and mediation and conciliation services) other than employment and training programs, and other than occupational safety and health programs.

17.002 Labor Force Statistics (B,L)
 17.003 Prices and Cost of Living Data (L)
 17.004 Productivity and Technology Data (L)
 17.005 Compensation and Working Conditions (B,L)
 17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors (N)
 17.303 Wage and Hour Standards (K,N)
 17.306 Consumer Credit Protection (K,N)
 17.308 Farm Labor Contractor Registration (K,N)
 17.309 Labor Organization Reports (K,L,N)
 17.700 Women's Bureau (K)
 17.720 Disability Employment Policy Development (B)
 34.001 Labor Mediation and Conciliation (J,K)
 46.001 Labor-Management Relations (J,N)

(506) Social Services

This is composed of two forms of activities: (a) programs involving the provision of a broad range of services to individuals to assist them to improve their vocational capabilities (vocational rehabilitation) or family status, and (b) provision of services to the poor and elderly that are not primarily for income support and that are not an integral part of some other function (for example, community action programs).

84.126 Rehabilitation Services-Vocational Rehabilitation Grants to States (A)
 84.128 Rehabilitation Services-Service Projects (B)
 84.129 Rehabilitation Long-Term Training (B)
 84.132 Centers for Independent Living (B)
 84.133 National Institute on Disability and Rehabilitation Research (B)
 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)
 84.161 Rehabilitation Services-Client Assistance Program (A)
 84.169 Independent Living-State Grants (A)
 84.177 Rehabilitation Services-Independent Living Services for Older Individuals Who are Blind (A)
 84.187 Supported Employment Services for Individuals with Significant Disabilities (A)
 84.224 Assistive Technology (A,B,L)
 84.234 Projects with Industry (B)
 84.235 Rehabilitation Services Demonstration and Training Programs (B)
 84.240 Program of Protection and Advocacy of Individual Rights (A)
 84.246 Rehabilitation Short-Term Training (B)
 84.250 Rehabilitation Services-American Indians with Disabilities (B)
 84.263 Rehabilitation Training-Experimental and Innovative Training (B)
 84.264 Rehabilitation Training-Continuing Education (B)
 84.265 Rehabilitation Training-State Vocational Rehabilitation Unit In-Service Training (B)
 84.275 Rehabilitation Training-General Training (B)
 84.315 Capacity Building for Traditionally Underserved Populations (B)
 84.343 Assistive Technology-State Grants for Protection and Advocacy (A)
 93.009 Compassion Capital Fund (B)
 93.010 Community-Based Abstinence Education (CBAE) (B)
 93.041 Special Programs for the Aging-Title VII, Chapter 3-Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)
 93.042 Special Programs for the Aging-Title VII, Chapter 2-Long Term Care Ombudsman Services for Older Individuals (A)
 93.043 Special Programs for the Aging-Title III, Part D-Disease Prevention and Health Promotion Services (A)

93.044 Special Programs for the Aging-Title III, Part B-Grants for Supportive Services and Senior Centers (A)
 93.045 Special Programs for the Aging-Title III, Part C-Nutrition Services (A)
 93.047 Special Programs for the Aging-Title VI, Part A, Grants to Indian Tribes-Part B, Grants to Native Hawaiians (B)
 93.048 Special Programs for the Aging-Title IV-and Title II-Discretionary Projects (B)
 93.051 Alzheimer's Disease Demonstration Grants to States (B)
 93.052 National Family Caregiver Support, Title III, Part E (A)
 93.053 Nutrition Services Incentive Program (A)
 93.054 National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (B)
 93.071 Medicare Enrollment Assistance Program (A,B)
 93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (B,L)
 93.087 Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse (B)
 93.235 Abstinence Education Program (A)
 93.254 Infant Adoption Awareness Training (B)
 93.550 Transitional Living for Homeless Youth (B)
 93.551 Abandoned Infants (B)
 93.556 Promoting Safe and Stable Families (A,B)
 93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)
 93.569 Community Services Block Grant (A)
 93.570 Community Services Block Grant-Discretionary Awards (B)
 93.581 Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)
 93.586 State Court Improvement Program (A)
 93.587 Promote the Survival and Continuing Vitality of Native American Languages (B)
 93.590 Community-Based Child Abuse Prevention Grants (A)
 93.591 Family Violence Prevention and Services/Grants For Battered Women's Shelters-Grants to State Domestic Violence Coalitions (A)
 93.592 Family Violence Prevention and Services/Grants for Battered Women's Shelters-Discretionary Grants (B)
 93.593 Job Opportunities for Low-Income Individuals (B)
 93.599 Chafee Education and Training Vouchers Program (ETV) (A)
 93.600 Head Start (B)
 93.602 Assets for Independence Demonstration Program (B)
 93.603 Adoption Incentive Payments (A)
 93.612 Native American Programs (B)
 93.613 President's Committee for People with Intellectual Disabilities (PCPID) (L)
 93.616 Mentoring Children of Prisoners (B)
 93.617 Voting Access for Individuals with Disabilities-Grants to States (A)
 93.618 Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A,B)
 93.623 Basic Center Grant (B)
 93.630 Developmental Disabilities Basic Support and Advocacy Grants (A)
 93.631 Developmental Disabilities Projects of National Significance (B)
 93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)
 93.645 Child Welfare Services-State Grants (A)
 93.647 Social Services Research and Demonstration (B)
 93.648 Child Welfare Services Training Grants (B)
 93.652 Adoption Opportunities (B)
 93.667 Social Services Block Grant (A)
 93.669 Child Abuse and Neglect State Grants (A)
 93.670 Child Abuse and Neglect Discretionary Activities (B)
 93.671 Family Violence Prevention and Services/Grants for Battered Women's Shelters-Grants to States and Indian Tribes (A)
 93.676 Unaccompanied Alien Children Program (B)
 94.002 Retired and Senior Volunteer Program (B)
 94.003 State Commissions (B)
 94.004 Learn and Serve America-School and Community Based Programs (B)
 94.005 Learn and Serve America-Higher Education (B)
 94.006 AmeriCorps (B)
 94.007 Planning and Program Development Grants (B)
 94.009 Training and Technical Assistance (B)
 94.011 Foster Grandparent Program (B)
 94.013 Volunteers in Service to America (J)
 94.016 Senior Companion Program (B)

(550) HEALTH

This function includes those programs that have as their basic purpose the promotion of the physical and mental health of the nation's population, including the prevention of illness and accidents. It includes planning, financing, and provision of medical care; research into the causes and cure of disease; the financing of specialized programs for training of health workers and researchers; and promotion

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of consumer and occupational health and safety. Excluded from the health function are Federal health care costs that are an integral part of another function, such as medicare and medical care for military personnel and for veterans. General scientific research that has medical applications (such as that conducted by the National Science Foundation) and health programs financed through foreign assistance programs are also excluded from the health function.

93.061 Innovations in Applied Public Health Research (B)
 93.063 Centers for Genomics and Public Health (B)
 93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (B)
 93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)
 93.066 State Vital Statistics Improvement Program (B)
 93.067 Global AIDS (B)
 93.068 Chronic Diseases: Research, Control, and Prevention (B)
 93.069 Public Health Emergency Preparedness (B)
 93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)
 93.107 Model State-Supported Area Health Education Centers (B)
 93.110 Maternal and Child Health Federal Consolidated Programs (B)
 93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)
 93.117 Grants for Preventive Medicine (B)
 93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity (B)
 93.121 Oral Diseases and Disorders Research (B)
 93.124 Nurse Anesthetist Traineeships (A)
 93.127 Emergency Medical Services for Children (B)
 93.129 Technical and Non-Financial Assistance to Health Centers (B)
 93.130 Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (B)
 93.134 Grants to Increase Organ Donations (B)
 93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)
 93.136 Injury Prevention and Control Research and State and Community Based Programs (B)
 93.138 Protection and Advocacy for Individuals with Mental Illness (A)
 93.145 AIDS Education and Training Centers (B)
 93.150 Projects for Assistance in Transition from Homelessness (PATH) (A)
 93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)
 93.155 Rural Health Research Centers (B)
 93.156 Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (B)
 93.157 Centers of Excellence (B)
 93.162 National Health Service Corps Loan Repayment Program (C)
 93.165 Grants to States for Loan Repayment Program (B)
 93.178 Nursing Workforce Diversity (B)
 93.181 Podiatric Residency Training in Primary Care (B)
 93.184 Disabilities Prevention (B)
 93.185 Immunization Research, Demonstration, Public Information and Education-Training and Clinical Skills Improvement Projects (B)
 93.186 National Research Service Award in Primary Care Medicine (M)
 93.189 Health Education and Training Centers (B)
 93.191 Allied Health Special Projects (B)
 93.192 Quentin N. Burdick Program for Rural Interdisciplinary Training (B)
 93.197 Childhood Lead Poisoning Prevention Projects-State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)
 93.211 Telehealth Network Grants (B)
 93.212 Chiropractic Demonstration Project Grants (B)
 93.217 Family Planning-Services (B)
 93.223 Development and Coordination of Rural Health Services (B)
 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers) (B)
 93.229 Demonstration Cooperative Agreements for Development and Implementation of Criminal Justice Treatment Networks (B)
 93.230 Consolidated Knowledge Development and Application (KD&A) Program (B)
 93.234 Traumatic Brain Injury State Demonstration Grant Program (B)
 93.236 Grants for Dental Public Health Residency Training (B)
 93.238 Cooperative Agreements for State Treatment Outcomes and Performance Pilot Studies Enhancement (B)
 93.241 State Rural Hospital Flexibility Program (B)
 93.243 Substance Abuse and Mental Health Services-Projects of Regional and National Significance (B)
 93.244 Mental Health Clinical and AIDS Service-Related Training Grants (B)
 93.247 Advanced Education Nursing Grant Program (B)

93.249 Public Health Training Centers Grant Program (B)
 93.250 Geriatric Academic Career Awards (C)
 93.251 Universal Newborn Hearing Screening (B)
 93.252 Healthy Communities Access Program (B)
 93.253 Poison Control Stabilization and Enhancement Grants (B)
 93.255 Children's Hospitals Graduate Medical Education Payment (C)
 93.256 State Planning Grants Health Care Access for the Uninsured (B)
 93.257 Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)
 93.259 Rural Access to Emergency Devices Grant (B)
 93.260 Family Planning-Personnel Training (B)
 93.262 Occupational Safety and Health Program (B)
 93.264 Nurse Faculty Loan Program (NFLP) (A)
 93.265 Comprehensive Geriatric Education Program (CGEP) (B)
 93.266 Rapid Expansion of Antiretroviral Therapy Programs for HIV-Infected Persons in Selected Countries in Africa and the Caribbean Under the President's Emergency Plan for AIDS Relief (B)
 93.267 State Grants for Protection and Advocacy Services (A)
 93.268 Immunization Grants (B)
 93.269 Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)
 93.270 Adult Viral Hepatitis Prevention and Control (B)
 93.275 Substance Abuse and Mental Health Services-Access to Recovery (B)
 93.283 Centers for Disease Control and Prevention-Investigations and Technical Assistance (B)
 93.288 National Health Service Corps Scholarship Program (C)
 93.300 National Center for Health Workforce Analysis (B)
 93.301 Small Rural Hospital Improvement Grant Program (B)
 93.303 Nursing Scholarship Program (C)
 93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (E)
 93.359 Nurse Education, Practice and Retention Grants (B)
 93.364 Nursing Student Loans (E)
 93.365 Sickle Cell Treatment Demonstration Program (B)
 93.392 Cancer Construction (B)
 93.393 Cancer Cause and Prevention Research (B)
 93.394 Cancer Detection and Diagnosis Research (B)
 93.395 Cancer Treatment Research (B)
 93.396 Cancer Biology Research (B)
 93.397 Cancer Centers Support Grants (B)
 93.398 Cancer Research Manpower (B)
 93.399 Cancer Control (B)
 93.777 State Survey and Certification of Health Care Providers and Suppliers (A)
 93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (B)
 93.822 Health Careers Opportunity Program (B)
 93.824 Basic/Core Area Health Education Centers (B)
 93.884 Grants for Training in Primary Care Medicine and Dentistry (B)
 93.887 Health Care and Other Facilities (B)
 93.888 Specially Selected Health Projects (B)
 93.890 Healthy Communities Access Program (HCAP) Demonstration Authority (B)
 93.908 Nursing Education Loan Repayment Program (C)
 93.912 Rural Health Care Services Outreach and Rural Health Network Development Program (B)
 93.913 Grants to States for Operation of Offices of Rural Health (B)
 93.914 HIV Emergency Relief Project Grants (B)
 93.917 HIV Care Formula Grants (A)
 93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)
 93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)
 93.924 Ryan White HIV/AIDS Dental Reimbursements/Community Based Dental Partnership (B)
 93.925 Scholarships for Health Professions Students from Disadvantaged Backgrounds (B)
 93.926 Healthy Start Initiative (B)
 93.928 Special Projects of National Significance (B)
 93.932 Native Hawaiian Health Systems (B)
 93.938 Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (B)
 93.939 HIV Prevention Activities-Non-Governmental Organization Based (B)
 93.940 HIV Prevention Activities-Health Department Based (B)
 93.941 HIV Demonstration, Research, Public and Professional Education Projects (B)
 93.942 Research, Treatment and Education Programs on Lyme Disease in the United States (B)

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93.943 Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)
 93.944 Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)
 93.945 Assistance Programs for Chronic Disease Prevention and Control (B)
 93.946 Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)
 93.947 Tuberculosis Demonstration, Research, Public and Professional Education (B)
 93.952 Trauma Care Systems Planning and Development (B)
 93.958 Block Grants for Community Mental Health Services (A)
 93.959 Block Grants for Prevention and Treatment of Substance Abuse (A)
 93.962 Health Administration Traineeships Program (B)
 93.964 Public Health Traineeships (A)
 93.965 Coal Miners Respiratory Impairment Treatment Clinics and Services (B)
 93.969 Geriatric Education Centers (B)
 93.974 Family Planning-Service Delivery Improvement Research Grants (B)
 93.977 Preventive Health Services-Sexually Transmitted Diseases Control Grants (B)
 93.978 Preventive Health Services-Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants (B)
 93.982 Mental Health Disaster Assistance and Emergency Mental Health (B)
 93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems (B)
 93.993 Public Health Research Accreditation Project (B)
 93.994 Maternal and Child Health Services Block Grant to the States (B)
 93.995 Adolescent Family Life-Demonstration Projects (B)
 93.996 Bioterrorism Training and Curriculum Development Program (B)

(551) Health Care Services

This includes the costs of financing the provision of medical services to individuals and families whether such services are provided directly by the Federal Government or financed through grants, contracts, insurance, or reimbursements.

12.350 Department of Defense HIV/AIDS Prevention Program (B)
 12.350 Department of Defense HIV/AIDS Prevention Program (B)
 93.003 Public Health and Social Services Emergency Fund (B)
 93.004 Cooperative Agreements to Improve the Health Status of Minority Populations (B)
 93.006 State and Territorial and Technical Assistance Capacity Development Minority HIV/AIDS Demonstration Program (B)
 93.007 Public Awareness Campaigns on Embryo Adoption (B)
 93.008 Medical Reserve Corps Small Grant Program (B)
 93.012 Improving, Enhancing, and Evaluating Outcomes of Comprehensive Heart Health Care Programs for High-Risk Women (B)
 93.013 Ambassadors for Change Program (B)
 93.014 Steps to Healthier Girls Program (B)
 93.015 HIV Prevention Programs for Women (B)
 93.017 Strengthening the Management and Services of the Women's and Children's Hospitals in Kabul (B)
 93.018 Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)
 93.019 Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B)
 93.088 Advancing System Improvements to Support Targets for Healthy People 2010 (B)
 93.100 Health Disparities in Minority Health (B)
 93.105 Bilingual/Bicultural Service Demonstration Grants (B)
 93.111 Adolescent Family Life Research Grants (B)
 93.123 Health Professions Prerequisite Scholarship Program for Indians (B)
 93.137 Community Programs to Improve Minority Health Grant Program (B)
 93.161 Health Program for Toxic Substances and Disease Registry (B)
 93.164 Indian Health Service Educational Loan Repayment (B)
 93.193 Urban Indian Health Services (B)
 93.202 Capacity Building Among American Indian Tribes (B)
 93.204 Surveillance of Hazardous Substance Emergency Events (B)
 93.206 Human Health Studies-Applied Research and Development (B)
 93.208 Great Lakes Human Health Effects Research (B)
 93.210 Tribal Self-Governance Program: IHS Compacts/Funding Agreements (B)
 93.228 Indian Health Service-Health Management Development Program (B)
 93.231 Epidemiology Cooperative Agreements (B)
 93.237 Special Diabetes Program for Indians-Diabetes Prevention and Treatment Projects (B)
 93.239 Policy Research and Evaluation Grants (B)
 93.240 State Capacity Building (B)
 93.284 Injury Prevention Program for American Indians and Alaskan Natives-Cooperative Agreements (B)

93.289 President's Council on Physical Fitness and Sports (K)
 93.290 National Community Centers of Excellence in Women's Health (B)
 93.291 Surplus Property Utilization (H)
 93.294 Mentoring Partnership Program - Protege (B)
 93.295 Intergenerational Approaches to HIV/AIDS Prevention Education with Women across The Lifespan Pilot Program (B)
 93.296 State Partnership Grant Program to Improve Minority Health (B)
 93.358 Advanced Education Nursing Traineeships (B)
 93.441 Indian Self-Determination (C)
 93.441 Indian Self-Determination (C)
 93.442 Special Diabetes Program for Indians (SDPI) Competitive Grant Program (B)
 93.443 Health Promotion/Disease Prevention Program for American Indians and Alaska Natives (B)
 93.444 Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)
 93.760 Rural PACE (Program of All-Inclusive Care for the Elderly) Provider Grant Program (B)
 93.767 State Children's Insurance Program (A)
 93.768 Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities (B)
 93.769 Demonstration to Maintain Independence and Employment (B)
 93.775 State Medicaid Fraud Control Units (A)
 93.776 Hurricane Katrina Relief (B)
 93.777 State Survey and Certification of Health Care Providers and Suppliers (A)
 93.778 Medical Assistance Program (A)
 93.780 Grants to States for Operation of Qualified High-Risk Pools (A)
 93.781 Seed Grants to States for Qualified High-Risk Pools (B)
 93.784 Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (C)
 93.785 Pilot Program for National and State Background Checks--Direct Patient Access for Long-Term Care (B)
 93.786 State Pharmaceutical Assistance Programs (A)
 93.789 Alternatives to Psychiatric Residential Treatment Facilities for Children (B)
 93.790 Alternate Non-Emergency Service Providers or Networks (B)
 93.791 Money Follows the Person Rebalancing Demonstration (B)
 93.793 Medicaid Transformation Grants (B)
 93.889 National Bioterrorism Hospital Preparedness Program (B)
 93.910 Family and Community Violence Prevention Program (B)
 93.933 Demonstration Projects for Indian Health (B)
 93.954 Tribal Recruitment and Retention of Health Professionals into Indian Health Programs (B)
 93.970 Health Professions Recruitment Program for Indians (B)
 93.971 Health Professions Preparatory Scholarship Program for Indians (B)
 93.972 Health Professions Scholarship Program (B)
 93.990 National Health Promotion (B)
 93.991 Preventive Health and Health Services Block Grant (A)

(552) Health Research and Training

This includes the cost of all research programs _ whether basic or applied _ that are financed specifically as health or medical research. It excludes research that is an integral part of other functions (such as biomedical research in the space program). It also includes programs specifically funded as health training programs.

93.113 Environmental Health (B)
 93.140 Intramural Research Training Award (B)
 93.142 NIEHS Hazardous Waste Worker Health and Safety Training (B)
 93.143 NIEHS Superfund Hazardous Substances-Basic Research and Education (B)
 93.172 Human Genome Research (B)
 93.173 Research Related to Deafness and Communication Disorders (B)
 93.187 Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (B)
 93.209 Contraception and Infertility Research Loan Repayment Program (C)
 93.213 Research and Training in Complementary and Alternative Medicine (B,M)
 93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (C)
 93.225 National Research Service Awards-Health Services Research Training (B)
 93.226 Research on Healthcare Costs, Quality and Outcomes (B)
 93.232 Loan Repayment Program for General Research (C)
 93.233 National Center on Sleep Disorders Research (B)
 93.242 Mental Health Research Grants (B)
 93.271 Alcohol Research Career Development Awards for Scientists and Clinicians (B)
 93.272 Alcohol National Research Service Awards for Research Training (B)
 93.273 Alcohol Research Programs (B)
 93.279 Drug Abuse and Addiction Research Programs (B)
 93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers (C)
 93.281 Mental Health Research Career/Scientist Development Awards (B)

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93.282	Mental Health National Research Service Awards for Research Training (B)
93.285	National Institutes of Health Pediatric Research Loan Repayment Program (C)
93.307	Minority Health and Health Disparities Research (B)
93.308	Extramural Loan Repayment for Individuals from Disadvantaged Backgrounds Conducting Clinical Research (C)
93.310	Trans-NIH Research Support (B,M)
93.361	Nursing Research (B)
93.389	National Center for Research Resources (B)
93.837	Cardiovascular Diseases Research (B)
93.838	Lung Diseases Research (B)
93.839	Blood Diseases and Resources Research (B)
93.846	Arthritis, Musculoskeletal and Skin Diseases Research (B)
93.847	Diabetes, Digestive, and Kidney Diseases Extramural Research (B)
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders (B)
93.855	Allergy, Immunology and Transplantation Research (B)
93.856	Microbiology and Infectious Diseases Research (B)
93.859	Biomedical Research and Research Training (B)
93.865	Child Health and Human Development Extramural Research (B)
93.866	Aging Research (B)
93.867	Vision Research (B)
93.879	Medical Library Assistance (B)
93.891	Alcohol Research Center Grants (B)
93.936	National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (C)
93.989	International Research and Research Training (B,M)

(554) Consumer and Occupational Health and Safety

This includes such programs as meat and poultry inspection, food and drug inspection, consumer product safety, and occupational safety.

10.029	Avian Influenza Indemnity Program (D)
10.475	Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)
10.477	Meat, Poultry, and Egg Products Inspection (J)
10.479	Food Safety Cooperative Agreements (B)
17.502	Occupational Safety and Health-Susan Harwood Training Grants (B)
17.503	Occupational Safety and Health-State Program (B)
17.504	Consultation Agreements (B)
17.505	OSHA Data Initiative (B)
17.600	Mine Health and Safety Grants (B)
17.601	Mine Health and Safety Counseling and Technical Assistance (K,L)
17.602	Mine Health and Safety Education and Training (M)
17.603	Brookwood-Sago Grant (B)
17.604	Safety and Health Grants (B)
93.103	Food and Drug Administration-Research (B)
93.448	Food Safety and Security Monitoring Project (B)
93.449	Ruminant Feed Ban Support Project (B)

(570) MEDICARE

(571) Medicare

Medicare is composed of the Federal Hospital Insurance and Federal Supplementary Medical Insurance trust funds. This includes all off-setting collections to these two funds, except for employers share and employee retirement (subfunction 951) and net interest (interest received by on_budget trust funds 902). Also includes trust funds and general funds payments to those funds.

93.770	Medicare-Prescription Drug Coverage (C)
93.773	Medicare-Hospital Insurance (C)
93.774	Medicare-Supplementary Medical Insurance (C)
93.783	Medicare Transitional Drug Assistance Program for States (C)
93.794	Reimbursement of State Costs for Provision of Part D Drugs (C)

(600) INCOME SECURITY

This function includes payments for or to persons for which no current service is rendered. It includes military and civilian retirement, disability, unemployment, and welfare payments and the associated administrative costs. Also included in income security are the food stamp, special milk, and child nutrition programs (whether the benefits are in cash or kind); both Federal and trust fund unemployment compensation and workmen's compensation; public assistance cash payments; benefits to coal miners; and low and moderate-income housing aids. Excluded from this function are social security, financial assistance for educational purposes, provision or reimbursement for child care services and stipends, medical care (whether in cash or kind), and subsidies to businesses (such as farm price supports) even though they may end up as income to persons. Also excluded are income security programs restricted to veterans and their dependents.

(601) General Retirement and Disability Insurance (excluding Social Security)

This includes non-needs-tested retirement and disability programs except for those specifically restricted to Federal employees and social security. Most programs in this subfunction are financed through trust fund mechanisms but it includes some Federal funds programs such as payments to coal miners. The subfunction is composed mainly of the railroad retirement fund and special benefits for coal miners.

17.150	Employee Benefits Security Administration (L)
17.302	Longshore and Harbor Workers' Compensation (D)
17.307	Coal Mine Workers' Compensation (D)
57.001	Social Insurance for Railroad Workers (D)
57.001	Social Insurance for Railroad Workers (D)
57.001	Social Insurance for Railroad Workers (D)
57.001	Social Insurance for Railroad Workers (D)
86.001	Pension Plan Termination Insurance (G)
96.007	Social Security-Research and Demonstration (B)

(603) Unemployment Compensation

Benefits for unemployed workers that are not conditioned by needs tests. This subfunction does not include other benefits (such as food stamps) that an unemployed person might be eligible for under any other program.

57.001	Social Insurance for Railroad Workers (D)
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(604) Housing Assistance

Federal income support and related expenses that are specifically for and limited to financing or providing housing for individuals and families.

10.405	Farm Labor Housing Loans and Grants (B,F)
10.417	Very Low-Income Housing Repair Loans and Grants (B,E)
10.420	Rural Self-Help Housing Technical Assistance (B)
10.427	Rural Rental Assistance Payments (C)
10.427	Rural Rental Assistance Payments (C)
10.433	Rural Housing Preservation Grants (B)
10.438	Section 538 Rural Rental Housing Guaranteed Loans (F)
10.441	Technical and Supervisory Assistance Grants (B)
10.442	Housing Application Packaging Grants (B)
14.103	Interest Reduction Payments-Rental and Cooperative Housing for Lower Income Families (C,F)
14.149	Rent Supplements-Rental Housing for Lower Income Families (C)
14.164	Operating Assistance for Troubled Multifamily Housing Projects (C)
14.169	Housing Counseling Assistance Program (B)
14.191	Multifamily Housing Service Coordinators (B)
14.195	Section 8 Housing Assistance Payments Program-Special Allocations (C)
14.195	Section 8 Housing Assistance Payments Program-Special Allocations (C)
14.195	Section 8 Housing Assistance Payments Program-Special Allocations (C)
14.195	Section 8 Housing Assistance Payments Program-Special Allocations (C)
14.195	Section 8 Housing Assistance Payments Program-Special Allocations (C)
14.231	Emergency Shelter Grants Program (A)
14.235	Supportive Housing Program (B,C)
14.238	Shelter Plus Care (B)
14.239	Home Investment Partnerships Program (A)
14.241	Housing Opportunities for Persons with AIDS (A,B)
14.247	Self-Help Homeownership Opportunity Program (B)
14.249	Section 8 Moderate Rehabilitation Single Room Occupancy (B)
14.250	Rural Housing and Economic Development (B)
14.252	Section 4 Capacity Building for Affordable Housing and Community Development Grants (B)
14.314	Assisted Living Conversion for Eligible Multifamily Housing Projects (B)
14.315	Emergency Capital Repair Grants for Multifamily Housing Projects Designated for Occupancy (B)
14.316	Housing Counseling Training Program (B)
14.850	Public and Indian Housing (C)
14.856	Lower Income Housing Assistance Program-Section 8 Moderate Rehabilitation (C)
14.856	Lower Income Housing Assistance Program-Section 8 Moderate Rehabilitation (C)
14.866	Demolition and Revitalization of Severely Distressed Public Housing (B)
14.867	Indian Housing Block Grants (A)
14.869	Title VI Federal Guarantees for Financing Tribal Housing Activities (F)
14.870	Resident Opportunity and Supportive Services - Service Coordinators (B)
14.871	Section 8 Housing Choice Vouchers (C)
14.872	Public Housing Capital Fund (A)
14.873	Native Hawaiian Housing Block Grants (B)
14.874	Loan Guarantees for Native Hawaiian Housing (F)
14.875	Public Housing Neighborhood Networks Grants (B)
14.876	Resident Opportunity and Supportive Services - Elderly and Persons with Disabilities (B)

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- 14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services (B)
- 14.878 Affordable Housing Development in Main Street Rejuvenation Projects (B)
- 14.879 Mainstream Vouchers (C)
- 14.880 Family Unification Program (FUP) (C)
- 14.881 Moving to Work Demonstration Program (A)
- 14.881 Moving to Work Demonstration Program (A)
- 14.881 Moving to Work Demonstration Program (A)

(605) Food and Nutrition Assistance

Federal income support and related expenses that are specifically for and limited to financing or providing food or nutritional assistance to individuals and families.

- 10.155 Marketing Agreements and Orders (J,K)
- 10.225 Community Food Projects (B)
- 10.551 Supplemental Nutrition Assistance Program (C)
- 10.553 School Breakfast Program (A)
- 10.555 National School Lunch Program (A)
- 10.556 Special Milk Program for Children (A)
- 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children (A)
- 10.558 Child and Adult Care Food Program (A)
- 10.559 Summer Food Service Program for Children (A)
- 10.560 State Administrative Expenses for Child Nutrition (A)
- 10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)
- 10.565 Commodity Supplemental Food Program (A,H)
- 10.566 Nutrition Assistance For Puerto Rico (C)
- 10.567 Food Distribution Program on Indian Reservations (B,H)
- 10.568 Emergency Food Assistance Program (Administrative Costs) (A)
- 10.569 Emergency Food Assistance Program (Food Commodities) (A)
- 10.572 WIC Farmers' Market Nutrition Program (FMNP) (A)
- 10.574 Team Nutrition Grants (B)
- 10.576 Senior Farmers Market Nutrition Program (B)
- 10.578 WIC Grants To States (WGS) (B)
- 10.579 Child Nutrition Discretionary Grants Limited Availability (B)
- 10.580 Special Nutrition Assistance Program Outreach/Participation Program (B)
- 10.582 Fresh Fruit and Vegetable Program (B)
- 97.024 Emergency Food and Shelter National Board Program (A)

(609) Other income security

Income security programs that are not included in any other subfunction. This is composed primarily of either direct payments or grants-in-aid to finance direct payments that constitute cash income to low-income individuals and families, but it also includes refugee assistance and both administrative expenses and offsetting collections in the income security function that are not part of any other subfunction.

- 93.558 Temporary Assistance for Needy Families (A)
- 93.560 Family Support Payments to States-Assistance Payments (A)
- 93.563 Child Support Enforcement (A)
- 93.564 Child Support Enforcement Research (B)
- 93.566 Refugee and Entrant Assistance-State Administered Programs (A)
- 93.567 Refugee and Entrant Assistance-Voluntary Agency Programs (A)
- 93.568 Low-Income Home Energy Assistance (A)
- 93.575 Child Care and Development Block Grant (A)
- 93.576 Refugee and Entrant Assistance-Discretionary Grants (B)
- 93.579 U.S. Repatriation (B)
- 93.583 Refugee and Entrant Assistance-Wilson/Fish Program (B)
- 93.584 Refugee and Entrant Assistance-Targeted Assistance Grants (A)
- 93.594 Tribal Work Grants (A)
- 93.595 Welfare Reform Research, Evaluations and National Studies (B)
- 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A)
- 93.597 Grants to States for Access and Visitation Programs (B)
- 93.598 Services to Victims of a Severe Form of Trafficking (B)
- 93.601 Child Support Enforcement Demonstrations and Special Projects (B)
- 93.604 Assistance for Torture Victims (B)
- 93.605 Family Connection Grants (B)
- 93.658 Foster Care-Title IV-E (A)
- 93.659 Adoption Assistance (A)
- 93.674 Chafee Foster Care Independence Program (A)
- 96.006 Supplemental Security Income (C,D)

(650) SOCIAL SECURITY

Social Security is composed of the Federal Old Age and Survivors Insurance and Federal Disability Insurance trust funds and general funds payments to those funds, all offsetting receipts by those trust funds, except those classified in subfunction 903 - interest received by off-budget trust funds.

(651) Social Security

This includes the Old Age and Survivors Insurance (OASI) and Disability Insurance (DI) trust funds and related intergovernmental payments and off_setting collections.

- 96.001 Social Security-Disability Insurance (C,D)
- 96.002 Social Security-Retirement Insurance (D)
- 96.004 Social Security-Survivors Insurance (C,D)

(700) VETERANS BENEFITS AND SERVICES

This function consists of programs providing benefits and services, the eligibility for which is related to prior military service but the financing of which is not an integral part of the costs of national defense. It includes veterans service-connected compensation; veterans non-service connected pensions; and veterans readjustment benefits, housing, and medical care programs. As a normal rule, the outlays in this function are similar to those in the broader general purpose functions (such as income security or health) but are restricted to veterans, their dependents, and their survivors. Earned rights of career military personnel that are a cost of the defense budget (such as military retired pay or medical care) are excluded from this function.

The subfunctions of this function are so structured that they are readily additive to analogous major functions for the general population.

(701) Income Security for Veterans

Includes veterans compensation, pensions, burial benefits and life insurance.

- 64.101 Burial Expenses Allowance for Veterans (C)
- 64.103 Life Insurance for Veterans (E)
- 64.103 Life Insurance for Veterans (E)
- 64.103 Life Insurance for Veterans (E)
- 64.103 Life Insurance for Veterans (E)
- 64.103 Life Insurance for Veterans (E)
- 64.103 Life Insurance for Veterans (E)
- 64.104 Pension for Non-Service-Connected Disability for Veterans (D)
- 64.105 Pension to Veterans Surviving Spouses, and Children (D)
- 64.109 Veterans Compensation for Service-Connected Disability (D)
- 64.110 Veterans Dependency and Indemnity Compensation for Service-Connected Death (D)
- 64.127 Monthly Allowance for Children of Vietnam Veterans Born with Spina Bifida (D)
- 64.128 Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida or Other Covered Birth Defects (C)
- 64.202 Procurement of Headstones and Markers and/or Presidential Memorial Certificates (C,J)
- 96.020 Special Benefits for Certain World War II Veterans (D)

(702) Veterans Education, Training, and Rehabilitation

Composed primarily of the "GI bill" readjustment benefits.

- 17.801 Disabled Veterans' Outreach Program (DVOP) (A)
- 17.803 Uniformed Services Employment and Reemployment Rights (K,L,N)
- 17.804 Local Veterans' Employment Representative Program (A)
- 17.805 Homeless Veterans Reintegration Project (B)
- 17.806 Veteran's Preference in Federal Employment (O)
- 17.807 Transition Assistance Program (B)
- 64.100 Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces (C)
- 64.106 Specially Adapted Housing for Disabled Veterans (C)
- 64.116 Vocational Rehabilitation for Disabled Veterans (C,D,E,K)
- 64.116 Vocational Rehabilitation for Disabled Veterans (C,D,E,K)
- 64.116 Vocational Rehabilitation for Disabled Veterans (C,D,E,K)
- 64.117 Survivors and Dependents Educational Assistance (C)
- 64.120 Post-Vietnam Era Veterans' Educational Assistance (C)
- 64.124 All-Volunteer Force Educational Assistance (D)
- 64.125 Vocational and Educational Counseling for Servicemembers and Veterans (K)

(703) Hospital and Medical Care for Veterans

Composed of medical care and research financed by the Veterans Administration.

- 64.005 Grants to States for Construction of State Home Facilities (B)
- 64.007 Blind Rehabilitation Centers (J)
- 64.008 Veterans Domiciliary Care (J)
- 64.009 Veterans Medical Care Benefits (J)
- 64.010 Veterans Nursing Home Care (J)
- 64.011 Veterans Dental Care (J)
- 64.012 Veterans Prescription Service (H)
- 64.013 Veterans Prosthetic Appliances (J)
- 64.014 Veterans State Domiciliary Care (A)
- 64.015 Veterans State Nursing Home Care (A)
- 64.016 Veterans State Hospital Care (A)
- 64.018 Sharing Specialized Medical Resources (J)
- 64.019 Veterans Rehabilitation-Alcohol and Drug Dependence (J)
- 64.022 Veterans Home Based Primary Care (J)

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- 64.024 VA Homeless Providers Grant and Per Diem Program (B)
- 64.026 Veterans State Adult Day Health Care (B)

(704) Veterans Housing

Composed of housing loan and guarantee programs for, and restricted to, veterans and dependents.

- 64.114 Veterans Housing-Guaranteed and Insured Loans (F)
- 64.118 Veterans Housing-Direct Loans for Certain Disabled Veterans (E)
- 64.119 Veterans Housing-Manufactured Home Loans (F)
- 64.126 Native American Veteran Direct Loan Program (E)

(705) Other Veterans Benefits and Services

Mainly the administrative expenses of the Veterans Administration.

- 64.201 National Cemeteries (J)
- 64.202 Procurement of Headstones and Markers and/or Presidential Memorial Certificates (C,J)
- 64.203 State Cemetery Grants (B)

(750) ADMINISTRATION OF JUSTICE

This function includes those programs designed to provide judicial services, police protection, law enforcement (including civil rights), rehabilitation, and incarceration of criminals, and promotion of the general maintenance of domestic order. It includes the provision of court appointed counsel or other legal services to individuals. This function excludes the costs of the legislative branch, the narrow-purpose police or guard activities providing protection to Federal property, or activities which are an integral part of a broader function (such as postal inspectors, tax collection agents, and Park Service Rangers). The costs of National Guard personnel who are called upon occasionally to provide general public safety protection and military police costs are included under the national defense function rather than in this function.

(751) Federal Law Enforcement Activities

Includes Customs, the Immigration and Naturalization Service, the Drug Enforcement Administration, and police and crime prevention activities in other programs. This subfunction also includes the readily identifiable enforcement costs of civil rights activities.

- 14.401 Fair Housing Assistance Program-State and Local (B)
- 14.408 Fair Housing Initiatives Program (B)
- 16.001 Law Enforcement Assistance-Narcotics and Dangerous Drugs-Laboratory Analysis (J)
- 16.003 Law Enforcement Assistance-Narcotics and Dangerous Drugs Technical Laboratory Publications (L)
- 16.004 Law Enforcement Assistance-Narcotics and Dangerous Drugs Training (M)
- 16.012 Alcohol, Tobacco, and Firearms-Training Assistance (M)
- 16.300 Law Enforcement Assistance-FBI Advanced Police Training (M)
- 16.301 Law Enforcement Assistance-FBI Crime Laboratory Support (J,M)
- 16.302 Law Enforcement Assistance-FBI Field Police Training (M)
- 16.303 Law Enforcement Assistance-FBI Fingerprint Identification (J)
- 16.304 Law Enforcement Assistance-National Crime Information Center (J)
- 16.305 Law Enforcement Assistance-Uniform Crime Reports (L)
- 16.307 Combined DNA Index System (B)
- 16.308 Indian Country Investigations (M)
- 16.309 Law Enforcement Assistance-National Instant Criminal Background Check System (J)
- 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints (L)
- 30.001 Employment Discrimination-Title VII of the Civil Rights Act of 1964 (K,N)
- 30.002 Employment Discrimination-State and Local Fair Employment Practices Agency Contracts (C)
- 30.005 Employment Discrimination-Private Bar Program (J)
- 30.008 Employment Discrimination-Age Discrimination in Employment (K,N)
- 30.009 Employment Discrimination Project Contracts-Indian Tribes (C)
- 30.010 Employment Discrimination Equal Pay Act (K,N)
- 30.011 Employment Discrimination-Title I of The Americans with Disabilities Act (K,N)
- 88.001 Architectural and Transportation Barriers Compliance Board (L)
- 93.001 Civil Rights and Privacy Rule Compliance Activities (N)
- 97.010 Citizenship Education and Training (L)
- 97.015 Secret Service-Training Activities (M)
- 97.076 National Center for Missing and Exploited Children (NCMEC) (B)
- 97.081 Law Enforcement Training and Technical Assistance (J,M)
- 97.112 Border Infrastructure Improvement Projects (B)

(752) Federal Litigative and Judicial Activities

Includes the cost of the judiciary, the cost of prosecution and Federal expenses connected with financing legal defense activities.

- 16.100 Desegregation of Public Education (J)
- 16.101 Equal Employment Opportunity (J)

- 16.103 Fair Housing and Equal Credit Opportunity (J)
- 16.104 Protection of Voting Rights (J)
- 16.105 Civil Rights of Institutionalized Persons (J)
- 16.108 Americans With Disabilities Act Technical Assistance Program (B,L,M,N)
- 16.109 Civil Rights Prosecution (B)
- 16.110 Education and Enforcement of the Antidiscrimination Provision of the Immigration and Nationality Act (B,J,N)
- 16.200 Community Relations Service (J)

(754) Criminal Justice Assistance

Includes the cost of grants to State and local governments to assist them in operating and improving their law enforcement and justice system.

- 16.013 Violence Against Women Act Court Training and Improvement Grants (B)
- 16.014 Engaging Men and Youth in Preventing Domestic Violence, Dating Violence, Sexual Assault, and Stalking (B)
- 16.016 Culturally and Linguistically Specific Services Program (B)
- 16.017 Sexual Assault Services Program (A,B)
- 16.019 Tribal Registry (B)
- 16.202 Prisoner Reentry Initiative Demonstration (Offender Reentry) (B)
- 16.203 Comprehensive Approaches to Sex Offender Management Discretionary Grant (CASOM) (B,M)
- 16.320 Services for Trafficking Victims (B,C)
- 16.321 Antiterrorism Emergency Reserve (B,C)
- 16.523 Juvenile Accountability Block Grants (A,B)
- 16.523 Juvenile Accountability Block Grants (A,B)
- 16.524 Legal Assistance for Victims (B)
- 16.525 Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (B)
- 16.526 OVW Technical Assistance Initiative (B)
- 16.527 Supervised Visitation, Safe Havens for Children (B)
- 16.528 Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)
- 16.529 Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)
- 16.540 Juvenile Justice and Delinquency Prevention-Allocation to States (A,B)
- 16.541 Part E - Developing, Testing and Demonstrating Promising New Programs (B,J)
- 16.543 Missing Children's Assistance (B)
- 16.547 Victims of Child Abuse (B)
- 16.547 Victims of Child Abuse (B)
- 16.548 Title V-Delinquency Prevention Program (A)
- 16.550 State Justice Statistics Program for Statistical Analysis Centers (B)
- 16.554 National Criminal History Improvement Program (NCHIP) (B)
- 16.554 National Criminal History Improvement Program (NCHIP) (B)
- 16.556 Grants to State Sexual Assault and Domestic Violence Coalitions (A)
- 16.557 Tribal Domestic Violence and Sexual Assault Coalitions Program (B)
- 16.560 National Institute of Justice Research, Evaluation, and Development Project Grants (B,L)
- 16.562 Criminal Justice Research and Development-Graduate Research Fellowships (B)
- 16.566 National Institute of Justice W.E.B. DuBois Fellowship Program (B)
- 16.571 Public Safety Officers' Benefits Program (D)
- 16.575 Crime Victim Assistance (A)
- 16.576 Crime Victim Compensation (A)
- 16.578 Federal Surplus Property Transfer Program (H)
- 16.579 Edward Byrne Memorial Formula Grant Program (A)
- 16.580 Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program (B)
- 16.582 Crime Victim Assistance/Discretionary Grants (B,C)
- 16.583 Children's Justice Act Partnerships for Indian Communities (B,C)
- 16.585 Drug Court Discretionary Grant Program (B)
- 16.586 Violent Offender Incarceration and Truth in Sentencing Incentive Grants (A)
- 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments (B)
- 16.588 Violence Against Women Formula Grants (A)
- 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)
- 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders (B)
- 16.593 Residential Substance Abuse Treatment for State Prisoners (A)
- 16.596 Correctional Grant Program for Indian Tribes (B)
- 16.597 Motor Vehicle Theft Protection Act Program (B)
- 16.601 Corrections-Training and Staff Development (B,J,L,M)
- 16.602 Corrections-Research and Evaluation and Policy Formulation (B,J,L)
- 16.603 Corrections-Technical Assistance/Clearinghouse (B,J,L)
- 16.606 State Criminal Alien Assistance Program (D)
- 16.607 Bulletproof Vest Partnership Program (C)
- 16.608 Tribal Court Assistance Program (B)

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- 16.609 Community Prosecution and Project Safe Neighborhoods (B)
- 16.610 Regional Information Sharing Systems (B)
- 16.611 Closed-Circuit Televising of Child Victims of Abuse (B)
- 16.612 National White Collar Crime Center (B)
- 16.614 State and Local Anti-Terrorism Training (K,L,M)
- 16.615 Public Safety Officers' Educational Assistance (D)
- 16.616 Indian Country Alcohol and Drug Prevention (B)
- 16.710 Public Safety Partnership and Community Policing Grants (B)
- 16.726 Juvenile Mentoring Program (B)
- 16.727 Enforcing Underage Drinking Laws Program (B)
- 16.730 Reduction and Prevention of Children's Exposure to Violence (B)
- 16.730 Reduction and Prevention of Children's Exposure to Violence (B)
- 16.731 Tribal Youth Program (B)
- 16.734 Special Data Collections and Statistical Studies (B)
- 16.735 Protecting Inmates and Safeguarding Communities Discretionary Grant Program (B)
- 16.736 Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (B)
- 16.737 Gang Resistance Education and Training (B)
- 16.738 Edward Byrne Memorial Justice Assistance Grant Program (A,B)
- 16.739 National Prison Rape Statistics Program (B)
- 16.740 Statewide Automated Victim Information Notification (SAVIN) Program (B)
- 16.741 Forensic DNA Backlog Reduction Program (A)
- 16.742 Paul Coverdell Forensic Sciences Improvement Grant Program (A)
- 16.744 Anti-Gang Initiative (B)
- 16.745 Criminal and Juvenile Justice and Mental Health Collaboration Program (B)
- 16.746 Capital Case Litigation (B)
- 16.747 Crime Victims' Rights Act (B)
- 16.748 Convicted Offender and/or Arrestee DNA Backlog Reduction Program (In-House Analysis and Data Review) (A)
- 16.750 Support for Adam Walsh Act Implementation Grant Program (B)
- 16.751 Edward Byrne Memorial Competitive Grant Program (B)
- 16.752 Economic High-Tech and Cyber Crime Prevention (B)
- 16.753 Congressionally Recommended Awards (B)
- 16.754 Harold Rogers Prescription Drug Monitoring Program (B)
- 93.276 Drug-Free Communities Support Program Grants (B)
- 93.643 Children's Justice Grants to States (A)
- 97.008 Urban Areas Security Initiative (A)
- 97.096 Commercial Equipment Direct Assistance Program (CEDAP) (H)

(800) GENERAL GOVERNMENT

This function includes the general overhead costs of the Federal Government, including legislative and executive activities; provision of central fiscal, personnel, and property activities; and the provision of services that cannot reasonably be classified in any other major function. As a normal rule, all activities reasonably or closely associated with other functions shall be included in those functions rather than listed as a part of general government.

- 97.018 National Fire Academy Training Assistance (C)
- 97.019 National Fire Academy Educational Program (M)
- 97.043 State Fire Training Systems Grants (B)
- 97.093 Fire Service Hazardous Materials Preparedness and Response (B)
- 97.094 Prevention Advocacy Resources and Data Exchange Program (B)
- 97.095 Safe Kids Worldwide (B)
- 97.097 Training Resource and Data Exchange (TRADE) (B)
- 97.099 Residential Fire Safety & Fire Sprinkler Initiatives (B)
- 97.103 Degrees at a Distance Program (B)
- 97.105 Firefighter/Emergency Medical Services (EMS) Outreach, Technology Transfer, Information Dissemination, and Technical Assistance (B)

(803) Central Fiscal Operations

Includes the general tax collection and fiscal operations of the Treasury Department.

- 21.003 Taxpayer Service (K)
- 21.004 Exchange of Federal Tax Information With State Tax Agencies (J)
- 21.006 Tax Counseling for the Elderly (B)
- 21.008 Low-Income Taxpayer Clinics (B)

(804) General Property and Records Management

Includes most of the operations of the General Services Administration (net of reimbursements from other agencies for services rendered).

- 39.002 Disposal of Federal Surplus Real Property (H)
- 39.003 Donation of Federal Surplus Personal Property (B)
- 39.007 Sale of Federal Surplus Personal Property (I)
- 39.012 Public Buildings Service (J)
- 89.001 National Archives Reference Services-Historical Research (I,K,L)
- 89.003 National Historical Publications and Records Grants (B)
- 89.005 Cooperative Agreements to Support the Programs of the National Archives and Records Administration (NARA) (C)

(805) Central Personnel Management

Includes most of the operating costs of the Office of Personnel Management (formerly the Civil Service Commission) and related agencies (net of reimbursements from other agencies for services rendered).

- 27.001 Federal Civil Service Employment (O)
- 27.002 Federal Employment Assistance for Veterans (O)
- 27.005 Federal Employment for Individuals With Disabilities (O)
- 27.011 Intergovernmental Personnel Act (IPA) Mobility Program (J,K)
- 27.013 Presidential Management Intern Program (J,M,O)

(806) General Purpose Fiscal Assistance

Includes Federal aid to State, local, and territorial governments that is available for general fiscal support. It includes payments in lieu of taxes, grants from Forest Service and Interior Department rents, payments to territories and Puerto Rico, and the Federal payment to the District of Columbia.

- 10.665 Schools and Roads-Grants to States (A)
- 10.666 Schools and Roads-Grants to Counties (A)
- 15.226 Payments in Lieu of Taxes (C,D)
- 15.227 Distribution of Receipts to State and Local Governments (C,D)
- 15.875 Economic, Social, and Political Development of the Territories (B)
- 15.875 Economic, Social, and Political Development of the Territories (B)

(808) Other General Government

Includes miscellaneous other costs such as Federal costs of territorial governments.

- 40.001 Depository Libraries for Government Publications (L)
- 40.002 Government Publications Sales and Distribution (H,L)
- 90.400 Help America Vote College Program (B)
- 90.401 Help America Vote Act Requirements Payments (A)
- 90.402 Help America Vote Mock Election Program (B)

(999) Multiple Functions

This function code is used where two or more accounts with different Treasury basic account symbols are included in a consolidated program.

- 10 224 Fund for Rural America-Research, Education, and Extension Activities (B)
- 10 444 Direct Housing-Natural Disaster Loans and Grants (B,E)
- 10 600 Foreign Market Development Cooperator Program (C)
- 10 601 Market Access Program (C)
- 10 603 Emerging Markets Program (C)
- 10 604 Technical Assistance for Specialty Crops Program (C)
- 10 605 Quality Samples Program (C)
- 10 606 Food for Progress (H)
- 10 607 Section 416(b) (H)
- 10 608 Food for Education (H)
- 10 999 Long Term Standing Agreements For Storage, Transportation And Lease (C)
- 12 112 Payments to States in Lieu of Real Estate Taxes (A)
- 12 114 Collaborative Research and Development (B)
- 12 116 Department of Defense Appropriation Act of 2003 (J)
- 14 400 Equal Opportunity in Housing (N)
- 14 402 Non-Discrimination in Federally-Assisted Programs (On the Basis of Age) (N)
- 14 404 Non-Discrimination in Federally Assisted and Conducted Programs (On the Basis of Disability) (N)
- 14 405 Non-Discrimination in Federally Assisted Programs (On the Basis of Race, Color, or National Origin) (N)
- 14 406 Non-Discrimination in the Community Development Block Grant Program (On the Basis of Race, Color, National Origin, Religion, or Sex) (N)
- 14 407 Architectural Barriers Act Enforcement (N)
- 14 412 Employment Opportunities for Lower Income Persons and Businesses (N)
- 14 414 Non-Discrimination on the Basis of Disability by Public Entities (N)
- 14 415 Non-Discrimination on the Basis of Sex in Education Programs and Activities Receiving Federal Financial Assistance (N)
- 15 031 Indian Community Fire Protection (C)
- 15 424 Marine Minerals Activities (B)
- 15 850 Indian Arts and Crafts Development (I,K,N)
- 17 207 Employment Service/Wagner-Peyser Funded Activities (A,B)
- 17 225 Unemployment Insurance (A,D)
- 17 225 Unemployment Insurance (A,D)
- 17 225 Unemployment Insurance (A,D)
- 17 245 Trade Adjustment Assistance (B)
- 17 266 Work Incentive Grants (B)
- 17 271 Work Opportunity Tax Credit Program (WOTC) (A)
- 17 273 Temporary Labor Certification for Foreign Workers (A,J)
- 43 001 Aerospace Education Services Program (L)
- 43 002 Technology Transfer (L)
- 66 808 Solid Waste Management Assistance Grants (B)
- 81 042 Weatherization Assistance for Low-Income Persons (A)
- 81 086 Conservation Research and Development (B)

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81.122	Electricity Delivery and Energy Reliability, Research, Development and Analysis (B)
96.008	Social Security - Work Incentives Planning and Assistance Program (B)
96.009	Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (B)
97.004	State Domestic Preparedness Equipment Support Program (B)
97.005	State and Local Homeland Security Training Program (B)
97.006	State and Local Homeland Security Exercise Support (B)
97.007	Homeland Security Preparedness Technical Assistance Program (B)
97.009	Cuban/Haitian Entrant Program (B)
97.016	Reimbursement for Firefighting on Federal Property (C)
97.020	Hazardous Materials Training Program (B)
97.021	Hazardous Materials Assistance Program (B)
97.025	National Urban Search and Rescue (US&R) Response System (B)
97.026	Emergency Management Institute-Training Assistance (C)
97.027	Emergency Management Institute (EMI)-Independent Study Program (M)
97.028	Emergency Management Institute (EMI)-Resident Educational Program (M)
97.039	Hazard Mitigation Grant (B)
97.040	Chemical Stockpile Emergency Preparedness Program (B)
97.042	Emergency Management Performance Grants (A)
97.044	Assistance to Firefighters Grant (B)
97.052	Emergency Operations Centers (B)
97.053	Citizen Corps (B)
97.055	Interoperable Communications Equipment (B)
97.059	Truck Security Program (B)
97.061	Centers for Homeland Security (B)
97.062	Scholars and Fellows, and Educational Programs (C)
97.065	Homeland Security Advanced Research Projects Agency (B)
97.066	Homeland Security Information Technology Research, Testing, Evaluation and Demonstration Program (B)
97.067	Homeland Security Grant Program (A)
97.068	Competitive Training Grants (B)
97.069	Aviation Research Grants (B,I)
97.071	Metropolitan Medical Response System (B)
97.073	State Homeland Security Program (SHSP) (A)
97.074	Law Enforcement Terrorism Prevention Program (LETPP) (A)
97.075	Rail and Transit Security Grant Program (B)
97.077	Homeland Security Research Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Detection (B,H,I,L)
97.078	Buffer Zone Protection Program (BZPP) (B)
97.083	Staffing for Adequate Fire and Emergency Response (SAFER) (B)
97.086	Homeland Security Outreach, Education, and Technical Assistance (B,L)
97.089	Real ID Program (B)
97.091	Homeland Security Biowatch Program (B,H,I,L)
97.104	Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program (B)
97.106	Securing the Cities (B,H,I,L)
97.107	National Incident Management System (NIMS) (B)
97.108	Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (B,H,I,L)
97.111	Regional Catastrophic-Preparedness Grant Program (RCPGP) (B)



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(813) 228-2952

Western Region

(Arizona, Alaska, California, Colorado, Hawaii, Idaho, Montana, Nevada, New Mexico, Oregon, Utah, Washington, Wyoming)
W.W. Buisch, Acting Regional Director
384 Inverness Drive South, Suite 150
Englewood, CO 80112
(303) 784-6202

WILDLIFE SERVICE

Eastern Region

(Alabama, Arkansas, Connecticut, Delaware, District of Columbia, Florida, Georgia, Illinois, Indiana, Iowa, Louisiana, Kentucky, Maine, Maryland, Massachusetts, Minnesota, Michigan, Mississippi, Missouri, New Hampshire, New Jersey, New York, North Carolina, Ohio, Pennsylvania, Puerto Rico, Rhode Island, South Carolina, Tennessee, Vermont, Virginia, Virgin Islands, West Virginia, Wisconsin)
Gary E. Larson, Regional Director
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Nashville, TN 37203
(615) 736-2007

Western Region

(Alaska, Arizona, California, Colorado, Guam, Hawaii, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming)
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12345 West Alameda Parkway, Suite 204
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National Wildlife Research Center
Richard Curnow
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FARM SERVICE AGENCY

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FOOD AND NUTRITION SERVICE

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California
(Western: Alaska, American Samoa, Arizona, California, Commonwealth of the Northern Marianas, Guam, Hawaii, Idaho, Nevada, Oregon, Washington, Freely Associated States of the Pacific)
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Colorado
(Mountain Plains: Colorado, Iowa, Kansas, Missouri, Montana, Nebraska, North Dakota, South Dakota, Utah, Wyoming)
Darlene Barnes
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Georgia
(Southeast: Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
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61 Forsythe Street, S.W., Room 8T36
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(Midwest: Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
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(Northeast: Connecticut, Maine, Massachusetts, New Hampshire, New York, Rhode Island, Vermont)
Fran Zorn
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New Jersey
(Mid-Atlantic: Delaware, District of Columbia, Maryland, New Jersey, Pennsylvania, Puerto Rico, Virgin Islands, Virginia, West Virginia)
Yvette Jackson
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300 Corporate Boulevard
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(Southwest: Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
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(District 30: Kansas, Missouri)
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Springdale, AR 72764
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Dr. Neal Westgerdes
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Alameda, CA 94501
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(District 15: Arizona, Colorado, New Mexico, Nevada, Utah)
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(District 25: Iowa, Nebraska)
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Oregon
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(District 40: Texas)
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(District 45: Michigan, Wisconsin)
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FOREST SERVICE**RESEARCH HEADQUARTERS****(Forest and Range Experiment Stations)****California**

(Pacific Southwest: California, Hawaii)
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For letter mail: P.O. Box 245
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(510) 559-6317

Colorado

(Rocky Mountain: Arizona, Colorado, New Mexico, Kansas, Nebraska, North Dakota, Panhandle, South Dakota, Western Texas, Eastern two-thirds of Wyoming)
Jim Haskell
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(North Central: Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Wisconsin)
Pamela A. Day
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Asheville, NC 28802
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Portland, OR 97208-3890
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Pennsylvania

(Northeastern: Connecticut, Kentucky, Maine, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Vermont, West Virginia)
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(Region 9: Connecticut, Delaware, Illinois, Indiana, Iowa, Maine, Maryland, Massachusetts, Michigan, Minnesota, Missouri, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Vermont, West Virginia, Wisconsin)
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5 Radnor Corporate Center, Suite 200
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To locate Forest Supervisor and Ranger District Offices, consult your local telephone directory under U.S. Department of Agriculture, or the regional offices listed above.

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COMPENSATION****Pennsylvania**

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To locate County Rural Development Officers,
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P.O. Box 26567
Albuquerque, NM 87125-6567
(505) 346-7590

New York

(See Virginia, Eastern Regional Office)

North Carolina

(See Virginia, Eastern Regional Office)

North Dakota

(See South Dakota)

Oklahoma

Southern Plains Office
P.O. Box 368
Anadarko, OK 73005-0368
(405) 247-6673, Ext. 257

Eastern Oklahoma Regional Office
Bureau of Indian Affairs
101 North 5th Street
Muskogee, OK 74401-6206
(918) 687-2295

Oregon

(See also Arizona (Western))
Northwest Regional Office
911 N.E. 11th Avenue
Portland, OR 97232-4169
(503) 231-6702

South Dakota

Great Plains Regional Office

Bureau of Indian Affairs
115 4th Avenue, SE
Aberdeen, SD 57401-4382
(605) 226-7343

Utah

(see Arizona (Navajo and Western), and Oregon)

Virginia

Eastern Regional Office
3701 North Fairfax Drive
Suite 260
Arlington, VA 22203
(703) 235-3006

Washington

(See Oregon)

Wisconsin

(See Minnesota)

Wyoming

(See Montana)

Cross-references denote which area or offices provide services to eligible Indians within the State.

FIELD AGENCIES

101 12th Avenue, Room 16

Fairbanks Agency
Fairbanks, AK 99701-6270
(907) 456-0222

Metlakatla Field Office
P.O. Box 450
Metlakatla, AK 99926
(907) 886-3791

Alaska

Anchorage Field Office
1675 C Street, Suite 211
Anchorage, AK 99501-5198
(907) 271-4088

Bethel Field Office
1675 C Street, Suite 279
Anchorage, AK 99501-5198
(907) 271-4086

Arizona

Chinle Agency
P.O. Box 7H
Chinle, AZ 86503
(520) 674-5100

Colorado River Agency, Route 1, Box 9-C
Parker, AZ 85344
(520) 669-7111

Fort Apache Agency
P.O. Box 560
Whiteriver, AZ 85941
(520) 338-5353

Fort Defiance Agency, P.O. Box 619
Fort Defiance, AZ 86504
(520) 729-7217, 7218

Fort Yuma Field Office
P.O. Box 11000
Yuma, AZ 85366-1000
(760) 572-0248

Hopi Agency
P.O. Box 158
Keams Canyon, AZ 86034
(520) 738-2228

Papago Agency
P.O. Box 578
Sells, AZ 85634
(520) 383-3286

Pima Agency
P.O. Box 8
Sacaton, AZ 85247
(520) 562-3326

Salt River Field Office
10000 E. McDowell Road
Scottsdale, AZ 85256
(602) 640-2168

San Carlos Agency
P.O. Box 209
San Carlos, AZ 85550
(520) 475-2321

Truxton Canon Field Office
P.O. Box 37
Valentine, AZ 86437
(520) 769-2286

Western Navajo Agency
P.O. Box 127
Tuba City, AZ 86045
(520) 283-2254, 2252

California
Central California Agency
1824 Tribute Road, Suite J
Sacramento, CA 95815
(916) 566-7121

Northern California Field Office
1900 Churn Creek Road, Suite 300
Redding, CA 96002
(530) 246-5141

Palm Springs Field Office
P.O. Box 2245
650 E. Tahquitz Canyon Way, Suite A
Palm Springs, CA 92262
(760) 416-2133

Southern California Agency
2038 Iowa Avenue, Suite 101
Riverside, CA 92507-0001
(909) 276-6624

Colorado
Southern Ute Agency
P.O. Box 315
Ignacio, CO 81137
(970) 563-4511

Ute Mountain Ute Field Office
P.O. Box KK
Towaoc, CO 81334
(970) 565-8473

Florida
Seminole Agency
6075 Sterling Road
Hollywood, FL 33024
(954) 356-7288

Idaho
Fort Hall Agency
P.O. Box 220
Fort Hall, ID 83203
(208) 238-2301

Northern Idaho Agency
P.O. Drawer 277
Lapwai, ID 83540
(208) 843-2300

Plummer Subagency
P.O. Box 408
850 A Street
Plummer, ID 83851
(208) 686-1887

Kansas
Haskell Indian Nations University
155 Indian Avenue
Lawrence, KS 66046
(785) 749-8404

Michigan
Michigan Field Office
2901.5 I-75 Business Spur
Sault Ste Marie, MI 49783
(906) 632-6809

Horton Field Office
P.O. Box 31
Horton, KS 66439
(785) 486-2161

Minnesota
Minnesota Agency
Room 418, Federal Building
522 Minnesota Avenue, NW
Bemidji, MN 56601-3062
(218) 751-2011

Red Lake Field Office
Red Lake, MN 56671
(218) 679-3361

Mississippi
Choctaw Field Office
421 Powell Street
Philadelphia, MS 39350
(601) 656-1522

Montana
Blackfeet Agency
P.O. Box 880
Browning, MT 59417
(406) 338-7544

Crow Agency
Box 69
Crow Agency, MT 59022
(406) 638-2672

Flathead Field Office
P.O. Box 40
Pablo, MT 59855-5555
(406) 675-0242

Fort Belknap Agency
RR 1, Box 980
Harlem, MT 59526
(406) 353-2901, Ext. 23

Fort Peck Agency
P.O. Box 637
Poplar, MT 59255
(406) 768-5312

Northern Cheyenne Agency
P.O. Box 40
Lame Deer, MT 59043
(406) 477-8242

Rocky Boy's Field Office
RR 1, Box 542
Box Elder, MT 59521
(406) 395-4476

Nebraska
Winnebago Agency
P.O. Box 18
Winnebago, NE 68071
(402) 878-2502

Nevada
Western Nevada Agency
1677 Hot Springs Road
Carson City, NV 89706
(775) 887-3500

Eastern Nevada Field Office
1555 Shoshone Circle
Elko, NV 89801
(775) 738-0569

New Mexico
Eastern Navajo Agency, P.O. Box 328
Crownpoint, NM 87313
(505) 786-6100

Jicarilla Agency
P.O. Box 167
Dulce, NM 87528
(505) 759-3951

Laguna Agency
P.O. Box 1448
Laguna, NH 87026
(505) 552-6001

Mescalero Agency
P.O. Box 189
Mescalero, NM 88340
(505) 671-4423

Northern Pueblos Agency
P.O. Box 4269
Fairview Station
Española, NM 87533
(505) 753-1400

Ramah-Navajo Agency
Route 2, Box 14
Ramah, NM 87321
(505) 775-3235

Shiprock Agency
P.O. Box 966
Shiprock, NM 87420
(505) 368-3300

Southern Pueblos Agency
P.O. Box 1667
Albuquerque, NM 87103
(505) 346-2424

Zuni Agency
P.O. Box 369
Zuni, NM 87327
(505) 782-5591

New York
New York Field Office
P.O. Box 7366
Syracuse, NY 13261-7366
(315) 448-0620

North Carolina
Cherokee Agency
Cherokee, NC 28719
(704) 497-9131

North Dakota
Fort Berthold Agency
P.O. Box 370
New Town, ND 58763
(701) 627-4707

Fort Totten Agency
P.O. Box 270
Fort Totten, ND 58335
(701) 766-4545

Standing Rock Agency
P.O. Box E
Fort Yates, ND 58538
(701) 854-3433

Turtle Mountain Agency
P.O. Box 60
Belcourt, ND 58316
(701) 477-3191

Oklahoma
Anadarko Agency
P.O. Box 309
Anadarko, OK 73005
(405) 247-6677

Chickasaw Agency
1500 N. Country Club Road
P.O. Box 2240
Ada, OK 74821
(580) 436-0784

Concho Field Office
P.O. Box 68
El Reno, OK 73036-0068
(405) 262-7481

Okmulgee Field Office
P.O. Box 370
Okmulgee, OK 74447
(918) 756-3950

Osage Agency
P.O. Box 1539
Pawhuska, OK 74056
(918) 287-1032

Miami Field Office
P.O. Box 391
Miami, OK 74355
(918) 542-3396

Pawnee Agency, P.O. Box 440,
Pawnee, OK 74058-0440
(918) 762-2585

Shawnee Field Office
624 West Independence, Suite 114
Shawnee, OK 74801
(405) 273-0317

Talihina Office
Drawer H
Talihina, OK 74571
(918) 567-2207

Wewoka Agency
P.O. Box 1060
Wewoka, OK 74884
(405) 257-6259

Siletz Field Office
P.O. Box 569
Siletz, OR 97380
(541) 444-2679

Oregon
Umatilla Agency
P.O. Box 520
Pendleton, OR 97801
(541) 278-3786

Warm Springs Agency
P.O. Box 1239
Warm Springs, OR 97761
(541) 553-2411

South Dakota
Cheyenne River Agency
P.O. Box 325
Eagle Butte, SD 57625
(605) 964-6611

Crow Creek Agency
P.O. Box 139
Ft. Thompson, SD 57339
(605) 245-2311

Lower Brule Agency
P.O. Box 190
Lower Brule, SD 57548
(605) 473-5512

Pine Ridge Agency
P.O. Box 1203
Pine Ridge, SD 57770
(605) 867-5125

Rosebud Agency
P.O. Box 550
Rosebud, SD 57570
(605) 747-2224

Sisseton Agency
P.O. Box 688
Agency Village, SD 57262
(605) 698-3001

Yankton Agency
P.O. Box 577
Wagner, SD 57380
(605) 384-3651

Utah
Uintah and Ouray Agency

P.O. Box 130
Fort Duchesne, UT 84026
(435) 722-4300

Southern Paiute
Field Office
P.O. Box 720
St. George, UT 84771
(435) 674-9720

Washington
Colville Agency
P.O. Box 111
Nespelem, WA 99155-0111
(509) 634-2316

Olympic Peninsula Agency
P.O. Box 48
Aberdeen, WA 98520
(360) 533-9100

Spokane Agency
P.O. Box 389
Wellpinit, WA 99040
(509) 258-4561

Makah Field Office
P.O. Box 115
Neah Bay, WA 98357
(360) 645-3232

Puget Field Office
2707 Colby Avenue, Suite 1101
Everett, WA 98201
(425) 258-2651

Yakima Agency
P.O. Box 151 (Tribal)
P.O. Box 632 (BIA)
Toppenish, WA 98948
(509) 865-5121

Wisconsin
Great Lakes Agency
615 Main Street, West
P.O. Box 273
Ashland, WI 54806-0273
(715) 682-4527

Wyoming
Wind River Agency
P.O. Box 158
Fort Washakie, WY 82514
(307) 332-7810

Education Line Offices

Alaska
Anchorage Education Field Office
1675 C Street
Anchorage, AK 99501
(907) 271-4115

Arizona
Chinle Agency-Education
Navjo Route 7
PO Box 6003
Chinle, AZ 86503
(520) 674-5130 Ext. 201

Ft. Apache Agency-Education
Highway 73 and Elm Street
PO Box 920

White River, AZ 85941
(520) 338-5441

Fort Defiance Agency-Education
Building 38, Blue Canyon Highway 110
PO Box 110
Fort Defiance, AZ 86504-0110
(520) 729-7251

Hopi Agency-Education
Highway 264
PO Box 568
Keams Canyon, AZ 86034
(520) 738-2262

Papago Agency-Education
South Building #49
PO Box 38
Sells, AZ 85634
(520) 383-3292

Pima Agency-Education
400 North 5th Street
PO Box 10
Phoenix, AZ 85001
(602) 379-3944

Western Navajo Agency-Education
Highway 160 and Warrior Drive
Building 407
PO Box 746
Tuba City, AZ 86045
(520) 283-2218

Sacramento Area Education Office
2800 Cottage Way
Sacramento, CA 95825
(916) 979-2560, Ext. 234

Kansas
Haskell Indian Nations University
155 Indian Avenue #1305
Lawrence, KS 66046-4800
(785) 749-8404

Minnesota
Minneapolis Area Education Office
331 South Second Avenue
Minneapolis, MN 55401-2241
(612) 373-1000, Ext. 1090

Montana
Billings Area Education Office
316 North 26th Street
Billings, MT 59101-1397
(406) 247-7953

New Mexico
Eastern Navajo Agency-Education
1 Main Street, Building 222
PO Box 328
Crownpoint, NM 87313
(505) 786-6150

Northern Pueblos Agency-Education
1 Mile North of Espanola
Highway 68
PO Box 4269
Fairview Station
Espanola, NM 87533
(505) 753-1465

Shiprock Agency-Education

Highway 666N
PO Box 3239
Shiprock, NM 87420-3239
(505) 368-4427, Ext. 360
FTS 8 (700) 479-4370

Southern Pueblos Agency-Education
1000 Indian School Road NW
PO Box 1667
Albuquerque, NM 87103
(505) 346-2431

North Dakota
Standing Rock Agency-Education
Main Street off Highway 106
Agency Avenue
PO Box E
Fort Yates, ND 58538
(701) 854-3497

Southwestern Indian Polytechnic Institute
9169 Coors Road NW
P.O. Box 10146-9196
Albuquerque, NM 87184
(505) 346-2343

Turtle Mountain Agency-Education
School Street
PO Box 30
Belcourt, ND 58316
(701) 477-6471 Ext. 211

Oklahoma Education Office
4149 Highline Boulevard, Suite 380
Oklahoma City, OK 73108
(605) 945-6051 Ext. 301
(405) 605-6057

Oregon
Portland Area Education Office
911 North East 11 Avenue
Portland, OR 97232-4169
(503) 872-2743

South Dakota
Cheyenne River Agency-Education
100 North Main
PO Box 2020
Eagle Butte, SD 51625
(605) 964-8722

Crow Creek/Lower Brule Agency-Education
140 Education Avenue
PO Box 139
Fort Thompson, SD 57339
(605) 245-2398

Pine Ridge Agency-Education
101 Main Street
PO Box 333
Pine Ridge, SD 57770
(605) 867-1306

Rosebud Agency-Education
1001 Avenue D
PO Box 669
Mission, SD 57555
(605) 856-4478 Ext. 261

Virginia
South and Eastern States Agency-Education
3701 North Fairfax Drive, Suite 260
Arlington, VA 22203

(703) 235-3233

BUREAU OF LAND MANAGEMENT

RESEARCH HEADQUARTERS STATE OFFICES

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6881 Abbott Loop Road
Anchorage, AK 99507
(907) 267-1323

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222 N. Central Avenue I04P.O. Box 16563
Phoenix, AZ 95004-2203
(602) 417-9266

Branch of Procurement Management
1849 C Streets, N.W., MS: 1075-LS
(This office handles Bureau-wide inquiries)
Washington, DC 20240
(202) 452-5170

California
2800 Cottage Way, Suite W-1834
Sacramento, CA 95825-1886
(916) 978-4527

Colorado
2850 Youngfield Street
Lakewood, CO 80215-7076
(303) 239-3677

Eastern States Office
7450 Boston Boulevard
Springfield, VA 22153
(703) 440-1596

Idaho
1387 S. Vinnell Way
Boise, ID 83709-1657
(208) 373-3909

Montana
5001 Southgate Drive
P.O. Box 36800
Billings, MT 59107-6800
(406) 896-5205

Nevada
1340 Financial Boulevard
P.O. Box 12000
Reno, NV 89520-0006
(702) 861-6417

New Mexico
435 Montano NE
Albuquerque, NM 87107
(505) 761-8994

Oregon
1515 SW 5th Avenue
P.O. Box 2965
Portland, OR 97208
(503) 952-6220

Utah
324 South State Street, Suite 301
Salt Lake City, UT 84111-2303
(801) 539-4172

Wyoming

5353 Yellowstone Road
P.O. Box 1828
Cheyenne, WY 82005
(307) 775-6058

OFFICE OF SURFACE MINING RECLAMATION AND ENFORCEMENT

FIELD OFFICES

Office of Surface Mining

U.S. Department of the Interior
1951 Constitution Avenue N.W.
Washington, DC 20240
(202) 208-4006

U.S. Department of the Interior
Director, Birmingham Field Office
135 Gemini Circle, Suite 215
Homewood, AL 35209
(205) 290-7282

U.S. Department of the Interior
Director, Charleston Field Office
1027 Virginia Street, East
Charleston, WV 25301
(304) 347-7162

U.S. Department of the Interior
Director, Knoxville Field Office
530 Gay Street, S.W., Suite 500
Knoxville, TN 37902
(423) 545-4103

U.S. Department of the Interior
Director, Indianapolis Field Office
575 North Pennsylvania Street, Room 301
Indianapolis, IN 46204
(317) 226-6700

U.S. Department of the Interior
Director, Albuquerque Field Office
505 Marquette Avenue N.W., Suite 1200
Albuquerque, NM 87102
(505) 248-5070

U.S. Department of the Interior
Director, Tulsa Field Office
5100 East Skelly Drive, Suite 470
Tulsa, OK 74135
(918) 581-6431

U.S. Department of the Interior
Director, Harrisburg Field Office
415 Market Street, Suite 3C
Harrisburg Transportation Center
Harrisburg, PA 17101
(717) 782-4036

U.S. Department of the Interior
Director, Lexington Field Office
2675 Regency Road
Lexington, KY 40503-2922
(859) 233-2494

U.S. Department of the Interior
Director, Big Stone Gap Field Office
Powell Valley Square Shopping Center
1941 Neeley Road, Suite 201
Compartment 116
Big Stone Gap, VA 24219

(540) 523-4303

U.S. Department of the Interior
Director, Casper Field Office
Federal Building
100 East "B" Street, Room 2128
Casper, WY 82601-1918
(307) 261-6555

REGIONAL COORDINATING CENTERS

Office of Surface Mining

U.S. Department of the Interior
Mid-Continent Regional Coordinating Center
Alton Federal Building
501 Belle Street, Room 216
Alton, IL 62002
(618) 463-6460

U.S. Department of the Interior
Western Regional Coordinating Center
1999 Broadway, Suite 3320
Denver, CO 80202-5733
(303) 844-1401

U.S. Department of the Interior
Appalachian Regional Coordinating Center
Three Parkway Center
Pittsburgh, PA 15220
(412) 937-2828

BUREAU OF RECLAMATION

Commissioner's Office, Denver, Colorado

P.O. Box 25007
Denver Federal Center
Denver, CO 80225-0007
(303) 445-2692

Great Plains Regional Office

PO Box 36900
Billings, MT 59107-6900
(406) 247-7600

Lower Colorado Regional Office

PO Box 61470
Boulder City, NV 89006-1470
(702) 293-8411

Mid-Pacific Regional Office

Federal Office Building
2800 Cottage Way
Sacramento, CA 95825-1898
(916) 978-5000

Pacific Northwest Regional Office

1150 North Curtis Road, Suite 100
Boise, ID 83706-1234
(208) 378-5012

Upper Colorado Regional Office

125 South State Street, Room 6107
Salt Lake City, UT 84138-1102
(801) 524-3600

FISH AND WILDLIFE SERVICE

REGIONAL OFFICES

(Region VI: Colorado, Kansas, Montana, Nebraska, North Dakota, South Dakota, Utah, Wyoming)

Ralph O. Morgenweck
P.O. Box 25486
Denver Federal Center
Denver, CO 80025
Phone (303) 236-7920 Fax (303) 236-8295

(Region VII: Alaska)

Rowan Gould
1011 E. Tudor Road
Anchorage, AK 99503
Phone (907) 786-3306, fax (907) 786-3542

California/Nevada

Judy Frye
2800 Cottage Way, Suite W2606
Sacramento, CA 95825
Phone (916) 414-6486, fax (916) 414-6464

Georgia

(Region IV: Alabama, Arkansas, Florida, Georgia,
Kentucky, Louisiana, Mississippi, North Carolina,
Puerto Rico, South Carolina, Tennessee, Virgin
Islands)
Sam Hamilton
1875 Century Boulevard
Atlanta, GA 30345
Phone (404) 679-4006, fax (404) 679-4000

Massachusetts

(Region V: Connecticut, Delaware, Maine,
Maryland, Massachusetts, New Hampshire, New
Jersey, New York, Pennsylvania, Rhode Island,
Vermont, Virginia, West Virginia, Washington,
District of Columbia)
Marvin Moriarty
300 Westgate Center Drive
Hadley, MA 01035
Phone (413) 253-8308, Fax (413) 253-8300

Minnesota

(Region III: Illinois, Indiana, Iowa, Michigan,
Minnesota, Missouri, Ohio, Wisconsin)
Robyn Thorson
Federal Building, 1 Federal Drive
Fort Snelling, MN 55111
Phone (612) 713-5284, fax (612) 713-5301

New Mexico

(Region II: Arizona, New Mexico, Oklahoma,
Texas)
Dale Hall
P.O. Box 1306
500 Gold Avenue, S.W., Room 3018
Albuquerque, NM 87103
Phone (505) 248-6910, fax (505) 248-6282

Oregon

(Region I: California, Hawaii, Idaho, Nevada,
Oregon, Washington)
Dave Allen
911 N.E. 11th Avenue
Portland, OR 97232-4181
Phone (503) 872-2716, fax (503) 231-6118

GEOLOGICAL SURVEY

REGIONAL OFFICES

(National Mapping Division)

California

(Western Mapping Center)
Alan M. Mikuni
345 Middlefield Road, MS-531
Menlo Park, CA 94025-3591
(415) 329-4254

Colorado

(Rocky Mountain Mapping Center)
Randle W. Olsen
Denver Federal Center, Building 810, Mail Stop
508, Box 25046
Denver, CO 80225-0046
(303) 202-4040

Missouri

(Midcontinent Mapping Center)
Max Ethridge
1400 Independence Road, Mail Stop 300
Rolla, MO 65401
(573) 308-3800

South Dakota

Earth Resources Observation Systems Data Center
Donald T. Lauer
Mundt Federal Building
Sioux Falls, SD 57198
(605) 594-6123

Virginia

Mapping Application Center
Pat Dunham
National Center, MS-567
Reston, VA 20192
(703) 648-6002

(Water Resources Division)**California**

(Western Region: Alaska, American Samoa,
Arizona, California, Guam, Hawaii, Idaho,
Nevada, Oregon, other Pacific Islands, Utah,
Washington)
T. John Conomos, Regional Hydrologist
345 Middlefield Road, MS-470
Menlo Park, CA 94025-3591
(415) 329-4414

Colorado

(Central Region: Colorado, Iowa, Kansas,
Minnesota, Montana, Nebraska, New Mexico,
North Dakota, Oklahoma, South Dakota, Texas,
Wyoming)
Dave J. Lystrom, Regional Hydrologist
Mail Stop 406, Box 25046
Denver Federal Center, Building 25
Lakewood, CO 80225-0046
(303) 236-5950, Ext. 0

Georgia

(Southeastern Region: Alabama, Arkansas,
Florida, Georgia, Louisiana, Mississippi, Missouri,
North Carolina, Puerto Rico, South Carolina,
Tennessee, Virgin Islands)
Wanda C. Meeks, Regional Hydrologist
Spalding Woods Office Park, Suite 160
3850 Holcomb Bridge Road
Norcross, GA 30092-2202
(404) 409-7701

Virginia

(Northeastern Region: Connecticut, Delaware,
Illinois, Indiana, Kentucky, Maine, Maryland,

Massachusetts, Michigan, New Hampshire, New
Jersey, New York, Ohio, Pennsylvania, Rhode
Island, Vermont, Virginia, West Virginia,
Wisconsin)
William Carswell, Jr., Regional Hydrologist
433 National Center, Mail Stop 433
Reston, VA 22092
(703) 648-5813

(Geologic Division)**California**

(Western Region)
Administrative Officer, WR
345 Middlefield Road, MS-919
Menlo Park, CA 94025
(415) 650-5102

Colorado

(Central Region)
Administrative Officer, CR
Federal Center, MS 911
Denver, CO 80225
(303) 236-5435

Virginia

(Eastern Region)
Administrative Officer, ER
953 National Center
Reston, VA 20192
(703) 648-6662

Biological Resources Division**Colorado**

(Central Region-Arkansas, Colorado, Iowa,
Kansas, Louisiana, Minnesota, Missouri, Montana,
Nebraska, New Mexico, North Dakota, Oklahoma,
South Dakota, Texas, Wyoming)
Dr. J. Larry Ludke, Regional Chief Biologist
DFC Building, 020, Room A1419
P.O. Box 25046, MS 300
Denver, CO 80225
(303) 236-2739 x 238

Washington

(Western Region-Alaska, Arizona, California,
Hawaii, Idaho, Nevada, Oregon, Utah,
Washington)
Dr. John D. Buffington, Regional Chief Biologist
909 First Avenue, Suite 800
Seattle, WA 98104
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West Virginia

(Eastern Region-Alabama, Connecticut, Delaware,
Florida, Georgia, Illinois, Indiana, Kentucky,
Maine, Maryland, Massachusetts, Michigan,
Mississippi, New Hampshire, New Jersey, New
York, North Carolina, Ohio, Pennsylvania, Puerto
Rico, Rhode Island, South Carolina, Tennessee,
Vermont, Virgin Islands, Virginia, West Virginia,
Wisconsin)
Mr. Gregory Smith, Acting Regional Chief
Biologist
National Center, Room 4A100
12201 Sunrise Valley Drive, MS 300
Reston, VA 20192
(703) 648-4060

NATIONAL PARK SERVICE**NATIONAL HEADQUARTERS****National Park Service**

Terrel Emmons
Professional Services
1849 C Street N.W.
Washington, DC 20240
(202) 208-3264

SERVICE CENTERS**Denver Service Center**

Manager, National Park Service
P.O. Box 25287
Denver, CO 80225
(303) 969-2100

Harpers Ferry Center

National Park Service
Gary Cummins, Manager
P.O. Box 50
Harpers Ferry, WV 25425-0050
(304) 535-6211

State Historic Preservation Offices**Alabama**

Dr. Lee M. Warner, State Historical Preservation
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468 South Perry Street
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Alaska

Ms. Judith E. Bittner, Chief, History and
Archeology
Department of Natural Resources
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550 W. 7th Avenue, Suite 1310
Anchorage, AK 99501-3565
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American Samoa

Mr. John Enright, Territorial Historic Preservation
Officer
American Samoa Historic Preservation Office
American Samoa Government
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Arizona

Mr. James Garrison, State Historic Preservation
Officer
Office of Historic Preservation
Arizona State Parks
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Federal Office Building
9797 Aero Drive
San Diego, CA 92123-1800
(619) 565-1255
(619) 514-5500

450 Golden Gate Avenue, 13th Floor
San Francisco, CA 94102-9523
(415) 553-7400
(415) 553-2000

Region V

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)
FOB, 550 Main Street, Room 9000
Cincinnati, OH 45202-8501
(513) 421-4310
(513) 562-5600

Federal Office Building
1240 East 9th Street, Room 3005
Cleveland, OH 44199-9912
(216) 522-1400
(216) 622-6600

Everett McKinley Dirksen Federal Office Building

219 S. Dearborn Street, Room 905
Chicago, IL 60604-1702
(312) 431-1333
(312) 786-2500

Patrick V. McNamara Federal Office Building
477 Michigan Avenue, 26th Floor
Detroit, MI 48226-2598
(313) 965-2323
(313) 237-4355

575 North Pennsylvania Street, Room 679
Federal Office Building
Indianapolis, IN 46204-1585
(317) 639-3301
(317) 321-6100

330 East Kilbourn Avenue, Suite 600
Milwaukee, WI 53202-6627
(414) 276-4684
(414) 291-4899

111 Washington Avenue South S-1100
Minneapolis, MN 55401-2176
(612) 376-3200
Use the same number for FTS

400 West Monroe Street, Suite 400
Springfield, IL 62704-1800
(217) 522-9675
(217) 535-4400

Region VI
(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
415 Silver Avenue S.W., Suite 300
Albuquerque, NM 87102
(505) 224-2000
Use the same number for FTS

1801 North Lamar, Suite 300
Dallas, TX 75202-1795
(214) 720-2200
(214) 922-7475

600 South Mesa Hills Drive, Suite 3000
El Paso, TX 79912-5533
(915) 832-5000
Use the same number for FTS

2500 East T. C. Jester
Houston, TX 77008-1300
(713) 693-5000
(713) 693-3800

U.S. Post Office and Courthouse Building
615 East Houston Street, Suite 200
San Antonio, TX 78205-9998
(210) 225-6741
(210) 978-5400

Two Financial Centre, Suite 200
10825 Financial Centre Parkway
Little Rock, AR 72211-3552
(501) 221-9100
(501) 228-8400

2901 Leon C. Simon Boulevard
New Orleans, LA 70126-1061
(504) 816-3000
(504) 816-3000

3301 W. Memorail Road

Oklahoma City, OK 73134
(405) 290-7770
(405) 290-3875

Region VII
(Iowa, Kansas, Missouri, Nebraska)
2222 Market Street, Room 2704
St. Louis, MO 63103-2516
(314) 231-4324
(314) 589-2500

U.S. Courthouse, Room 300
1300 Summitt
Kansas City, MO 64105-1362
(816) 221-6100
(816) 512-8200

Federal Office Building
10755 Burt Street
Omaha, NE 68114-2000
(402) 493-8688
(402) 492-3700

Region VIII
(Colorado, North Dakota, South Dakota, Utah, Wyoming)
Federal Office Building
1961 Stout Street, Suite 1823, 18th Floor
Denver, CO 80294-1823
(303) 629-7171
(303) 628-3000

257 East 200 South, Suite 1200
257 Towers Building
Salt Lake City, UT 84111-2048
(801) 579-1400
(801) 579-4400

Region X
(Alaska, Idaho, Oregon, Washington)
101 East Sixth Avenue
Anchorage, AK 99501-2523
(907) 276-4441
(907) 258-5322

Crown Plaza Building
1500 S.W. 1st Avenue, Suite 400
Portland, OR 97201-5828
(503) 224-4181
(503) 552-5200

Federal Office Building
915 Second Avenue, Room 710
Seattle, WA 98101
(206) 262-2000
(700) 391-8760

IMMIGRATION AND NATURALIZATION SERVICE

NATURALIZATION INFORMATION FIELD OFFICES

Alabama
Mobile Border Patrol
P.O. Box 1526
Mobile, AL 36633
(334) 441-6139

Mobile Port of Entry
P.O. Box 1526
Mobile, AL 36601

(334) 441-5135

Alaska
Anchorage District Office
620 East 10th Avenue, Suite 102
Anchorage, AK 99501-3701
(907) 271-3524

Anchorage International Airport P.O. Box 19068
4601 Sattelite Drive
Anchorage, AK 99519-0688
(907) 243-1400

Dutch Harbor Port of Entry
2315 Airport Beach Road, Room 205
P.O. Box 750
Dutch Harbor, AK 99692
(907) 581-4114

Eagle Port of Entry
C/O Postmaster Box 1
Eagle, AK 99738
(907) 547-2211

Fairbanks Port of Entry
6450 Old Airport Way
Fairbanks, AK 99709
(907) 474-0307

Gambell Port of Entry
P.O. Box 1
Gambell, AK 99742
(907) 985-5211

Dalton's Cache Port of Entry
Mile 40 Haines Highway
P.O. Box 1509
Haines, AK 99827-1509
(907) 767-5580

Ketchikan Port of Entry
111 Main Street
Ketchikan, AK 99840
(907) 225-2380

Prince Rupert, BC Canada
Alaska Marine Highway Terminal
C/O 111 Main Street
Ketchikan, AK 99840
(604) 624-3833

Nome Port of Entry
P.O. Box 281
Nome, AK 99762
(907) 443-2065

Skagway Port of Entry
Klondike Highway Border Station
P.O. Box 475
Skagway, AK 99840-0475
(907) 983-3144

Turner Port of Entry
P.O. Box 1221
Tok, AK 99780
(907) 774-2242

Arizona
Ajo Border Patrol
850 North Tucson - Ajo Hwy. 86
P.O. Drawer "J"
Ajo/Highway, AZ 85321
(520) 387-7002

Casa Grande Border Patrol
396 Camino Mercado
Casa Grande, AZ 85222
(520) 836-7812

Douglas Border Patrol
1051 Lawrence Street
P.O. Box 1175
Douglas, AZ 85608
(520)364-3991

Douglas Port of Entry
Pan American Ave. & First Street
Douglas, AZ 85607
(520) 364-5532/6479

Eloy Processing Center
4465 E. Hanna Road, Suite 343
Eloy, AZ 85231
(520) 466-2000

Florence Processing Center
3250 North Pinal Parkway Avenue
Florence, AZ 85232
(520) 868-5862

Lukeville Port of Entry
Highway 85
P.O. Box D
Lukeville, AZ 85341
(520) 387-6047

Naco Port of Entry
106 "D" Street
P.O. Box 277
Naco, AZ 85620
(520) 432-3111/2791

Naco Border Patrol
2136 Naco Highway
Bisbee, AZ 85603
(520) 432-5121

Nogales Border Patrol
1500 W. La Quinta Road
Nogales, AZ 85621
(520) 377-6000

Phoenix Border Patrol
3006 W. Claredon Ave.
Phoenix, AZ 85017
(520) 670-6865

Phoenix Sky Harbor Airport
P.O. Box 63673
Phoenix, AZ 85082-2673
(602) 275-7745, 7763, 7785

Phoenix District Office
2035 North Central Avenue
Phoenix, AZ 85004-1548
(602) 514-7799

San Luis Port of Entry
Highway 95
P.O. Box 448
San Luis, AZ 85349
(520) 627-3591

Sasabe Port of Entry
Federal Inspection Building
P.O. Box 326
Sasabe, AZ 85633-0326

(520) 823-4230

Sonoita Border Patrol
Highway 82 and 83
P.O. Box 37
Sonoita, AZ 85637-0037
(520) 455-5051

Tucson Border Patrol
2010 Ajo Way
Tucson, AZ 85713
(520) 670-6865

Tucson Border Patrol Sector Headquarters
1970 West Ajo Way
Tucson, AZ 85713
(520) 670-6871/6880

Wellton Border Patrol
29820 Frontage Road
P.O. Box 128
Wellton, AZ 85356
(520) 785-9364

Willcox Border Patrol
200 W. Downen St.
P.O. Box 909
Willcox, AZ 85644-0909
(520) 384-2412

Yuma Border Patrol
12122 S. Avenue A
P.O. Box 2708
Yuma, AZ 85366-2708
(520) 726-8731

Yuma Border Patrol Sector Headquarters
350 West 1st Street
P.O. Box 2708
Yuma, AZ 85366-2708
(520) 782-9548

Bahamas
Freeport Bahamas Sub-Office
P.O. Box F-2664
Freeport Grand Bahama, Bahamas
(809) 352-5586

California
Bakersfield Processing Center
17635 Industrial Farm Road
P.O. Box 81143
Bakersfield, CA 93380
(805) 861-4465

Bakersfield Satellite Investigations
800 Truxton Avenue, Room 317
Bakersfield, CA 93301
(805) 861-4478

Western Form Center
5600 Rickenbacker Road
Building 701 Bay A
Bell, CA 90201
(213) 526-7404

Blythe Border Patrol
16870 W. Hobsonway
P.O. Box 836
Blythe, CA 92226
(619) 922-6715

Boulevard Border Patrol

39701 Avenue de Robles Verde
P.O. Box 1320
Boulevard, CA 92005
(619) 766-4542

Calexico Port of Entry
200 East 1st Street
P.O. Box 1780
Calexico, CA 92231
(619) 357-1189, 7968, 1143

Calexico East Port of Entry
1699 E. Carr Road
Calexico, CA 92231
(619) 768-2450

Oxnard Border Patrol
275 Skyway Drive
P.O. Box 88
Camarillo, CA 93011
(805) 482-8997

Campo Border Patrol
3 Forest Gate Road
P.O. Box 68
Campo, CA 91906
(619) 557-6144

Livermore Border Patrol Sector Headquarters
6102 Ninth Street
Dublin, CA 94568
(925) 828-3770

Livermore Border Patrol Station
6102 Ninth Street
Dublin, CA 94568
(925) 828-0371

El Cajon Border Patrol
225 Kenney Street
El Cajon, CA 92028
(619) 557-5072

El Centro Processing Center
1115 North Imperial Ave.
El Centro, CA 92243-1739
(619) 353-2170

El Centro Border Patrol Sector Headquarters
1111 North Imperial Ave.
El Centro, CA 92243-1795
(619) 352-3241

El Centro Border Patrol Station
1081 North Imperial Avenue
El Centro, CA 92243
(760) 353-0541

Fresno Border Patrol Station
4367 North Golden State Boulevard
Fresno, CA 93722
(209) 487-5506

Fresno Sub-Office
865 Fulton Mall
Fresno, CA 93721-2816
(209) 487-5126

Imperial Beach Border Patrol
1802 Saturn Way
P.O. Box 68
Imperial Beach, CA 91933-0068
(619) 662-7149

Indio Border Patrol
45-620 Commerce Street
Indio, CA 92201
(760) 347-3658

Western Operations Region
24000 Avila Road
P.O. Box 30080
Laguna Niguel, CA 92607-0080
(714) 643-4236

Long Beach Seaport Unit
501 W. Ocean Boulevard, Suite 6300
Long Beach, CA 90802
(310) 980-3400

Long Angeles District Office
300 North Los Angeles Street
Los Angeles, CA 90012
(213) 894-4627

Los Angeles International Airport
380 Worldway, P.O. Box N-20
Tom Bradley International Terminal, Lower Level
Los Angeles, CA 90045
(310) 215-2104, 2101 - Ext. #107, 103

Oakland International Airport
International Terminal
1 Airport Drive
Oakland, CA 94621
(510) 273-7390

Ontario Airport
222 E. Airport Drive
Ontario, CA 91761
(909) 395-8668, 8673

Riverside Border Patrol
2060 Chicago Avenue, Suite A2
Riverside, CA 92507
(909) 686-4100

Sacramento Border Patrol Station
7000 Franklin Blvd.
Bldg. 500, Suite 575
Sacramento, CA 95823
(916) 391-9087

Sacramento Sub-Office
650 Capital Mall
Sacramento, CA 95814
(916) 498-6460

Salinas Border Patrol
1636 East Alisal Street
P.O. Box 218
Salinas, CA 93902
(408) 754-0633

San Clemente Border Patrol
I-5 Northbound Traffic Checkpoint
P.O. Box 3188
San Clemente, CA 92674
(619) 557-5272

San Diego District Office
880 Front Street, Suite 1234
San Diego, CA 92101-8834
(619) 557-5645

Brown Field Border Patrol
7560 Britannia Street

San Diego, CA 92173
(619) 661-3154

Ota Mesa Port of Entry
2500 Paseo International
P.O. Box 439018
San Diego, CA 92143-9018
(619) 661-3249, 3231

San Diego International Airport
Lindbergh Field Airport
3665 N. Harbor Drive
San Diego, CA 92101
(619) 298-2612

San Ysidro Port of Entry
720 San Ysidro Boulevard
P.O. Box 439108
San Diego, CA 92143-9018
(619) 662-7314, 7240

San Francisco International Airport
P.O. Box 280551
Central Terminal, Lower Level
Customs Area
San Francisco, CA 94128
(415) 876-2876

San Francisco District Office
630 Sansome Street
San Francisco, CA 94111-2280
(415) 705-3102

San Jose International Airport
C/O P.O. Box 280551
Central Terminal, Lower Level
Customs Area
San Jose, CA 95110
(408) 291-7209

San Jose Sub-Office
280 S. First Street, Rm. 1150
San Jose, CA 95113
(408) 535-5174

San Luis Obispo Border Patrol Station
1170 Calle Joaquin
P.O. Box 1486
San Luis Obispo, CA 93406
(805) 543-9490

San Marcos Border Patrol
126 South Pacific
P.O. Box 5099
San Marcos, CA 92069
(619) 557-5589

San Pedro Processing Center
2001 Seaside Avenue
Terminal Island
San Pedro, CA 90731
(310) 732-0777

Stockton Border Patrol
P.O. Box 2047
Stockton, CA 95201
(209) 946-6211, 6284

Tecate Port of Entry
P.O. Box 219
Tecate, CA 92080-0219
(619) 478-5029

Temecula Border Patrol
43136 Rancho Way
P.O. Box 38
Temecula, CA 92593
(909) 694-6871

Andrade Port of Entry
235 Andrade Road
Winterhaven, CA 92283
(619) 572-5350, 5184

Colorado
Denver District Office
4730 Paris Street
Albrook Center
Denver, CO 80239-2804
(303) 371-6213

Grand Junction Port of Entry
255 Main Street
Grand Junction, CO 81501
(303) 243-5141

Pueblo Port of Entry
P.O. Box 1879
Pueblo, CO 81002
(719) 544-9369

Connecticut
Hartford Sub-Office
Ribicoff Federal Building
450 Main Street
Hartford, CT 06103-3060
(203) 240-3052

Florida
Nassau, Bahamas Sub-Office, U.S. Immigration
P.O. Box 599009
Miami, FL 33159-9009
(242) 377-7125

Jacksonville Port of Entry
Federal Building, G-18
400 W. Bay Street
Jacksonville, FL 32202
(904) 232-2164

Key West Port of Entry
Federal Building
301 Simonton Street, Room 201
Key West, FL 33040
(305) 293-9150

Key West Sub-Office
301 Simonton Street, Room 201
Mail: P.O. Box 86
Key West, FL 33041
(305) 296-2233

Miami International Airport
Concourse E, 3rd Floor
P.O. Box 997895
Miami, FL 33299-7895
(305) 526-2612, 2626, 2851, 2930

Krome North Service Processing Center
18201 S.W. 12th Street
P.O. Box 160327, Snapper Creek Station
Miami, FL 33194
(305) 552-1845

Miami District Office
7880 Biscayne Boulevard

Miami, FL 33138
(305) 762-3405

Miami Border Patrol Sector Headquarters
7201 Pembroke Road
Pembroke Pines, FL 33023
(954) 963-9807

West Palm Beach Sub-Office
301 N. Broadway
Riviera Beach, FL 33404
(561) 841-0498

West Palm Beach Port of Entry
4 East Port Road, Room 410
Riviera Beach, FL 33419
(407) 845-6898

Tampa Border Patrol
1821B East Sahlman Drive
Tampa, FL 33605
(813) 228-2156 or 2160

Orlando Border Patrol
P.O. Box 440
Winter Park, FL 32790-0440
(407) 648-6081

Georgia
Atlanta District Office
77 Forsyth Street S.W.
Atlanta, GA 30303-0253
(404) 331-0253

Hartsfield International Airport Port of Entry
P.O. Box 45527
Atlanta, GA 30320
(404) 763-7816

Savannah International Airport 33 Bull Street,
Suite 505
Savannah, GA 31401
(912) 652-4460

Guam
Guam International Airport
Sirena Plaza, Suite 100
108 Hernan Cortez Avenue
Agana, GU 96910
(671) 642-7611

Hawaii
Honolulu District Office
595 Ala Moana Boulevard
Honolulu, HI 96813
(808) 532-3748/3746

Honolulu International Airport
300 Rodgers Boulevard
Terminal Box 50
Honolulu, HI 96819
(808) 861-8401, 8406, 8400, 8409

Idaho
4620 Overland Road
Room 108
Boise, ID 83705
(208) 334-1822

Eastport Port of Entry
P.O. Box 8
Highway 95
Eastport, ID 83826

(208) 267-2183, 3745

Porthill Port of Entry
P.O. Box 40
Porthill, ID 83853
(208) 267-5309

Illinois
Chicago District Office
10 West Jackson Boulevard, Suite 600
Chicago, IL 60604
(312) 385-1900

Indiana
Indianapolis Sub-Office
Gateway Plaza, Room 400
950 North Meridan Street
Indianapolis, IN 46204
(317) 226-6181

Kansas
Garden City Port of Entry
Box 1239
1404 E. Fulton
Garden City, KS 67846
(316) 275-1054

Wichita Port of Entry
625 North Winterset
Wichita, KS 67212
(316) 945-9741

Kentucky
Louisville Sub-Office
Room 604
Gene Snyder Courthouse
601 West Broadway
Louisville, KY 40202
(502) 582-6526

Louisiana
Baton Rouge Border Patrol
P.O. Box 338
9522 Brookline Drive
Baton Rouge, LA 70821
(504) 389-0231

New Orleans International Airport
900 Airline Highway
Kenner, LA 70062
(504) 589-3949

Lake Charles Border Patrol
P.O. Box 868
Lake Charles, LA 70601
(318) 477-9245

New Orleans District Office
Postal Services Building
701 Loyola Avenue, Room T-8011
New Orleans, LA 70113
(504) 589-6521

New Orleans Border Patrol Sector Headquarters
3819 Patterson Drive
P.O. Box 6218
New Orleans, LA 70174
(504) 589-6107

Oakdale Processing Center
207 E. 5th Avenue
P.O. Box 5095
Oakdale, LA 71463

(318) 335-0713

Maine
Bangor Port of Entry
267A Godfrey Boulevard
P.O. Box 677
Bangor, ME 04402-0677
(207) 945-0334

Bar Harbor Port of Entry
Marine Atlantic Ferry Terminal
Eden Street
Bar Harbor, ME 04609
(207) 288-4675

Bridgewater Port of Entry
RFD Rt. 1, Box 42
Boundary Line Road
Bridgewater, ME 04735
(207) 425-4502

Calais Border Patrol
One Main Street
P.O. Box 245
Calais, ME 04619
(207) 454-3613

Calais Port of Entry
Border Inspection Station
1 Main Street
P.O. Drawer 421
Calais, ME 04619
(207) 454-2546

Coburn Gore Port of Entry
Star Route 73
P.O. Box 35
Eustis, ME 04936
(207) 297-2771

Fort Fairfield Border Patrol
205 Main Street
P.O. Box 410
Fort Fairfield, ME 04742
(207) 472-5041

Fort Fairfield Port of Entry
RR #1, Box 217
Fort Fairfield, ME 04742
(207) 473-7396

Fort Kent Port of Entry
98 West Main Street
Fort Kent, ME 04743-1016
(207) 834-3223

Houlton Border Patrol Sector Headquarters
Route 1, Calais Road
P.O. Box 706
24 Hours
Houlton, ME 04730
(207) 532-6521/6522

Houlton Border Patrol Station
P.O. Box 706
Houlton, ME 04730
(207) 532-9061

Houlton Port of Entry
P.O. Box 189
Interstate 95
Houlton, ME 04730
(207) 532-2906

Jackman Border Patrol
P.O. Box 608
Nickels Road
24 hours
Jackman, ME 04945
(207) 668-3151

Jackman Port of Entry
Star Route 76
Box 629
Jackman, ME 04945
(207) 668-3771

Limestone Port Of Entry
R.F.D. 1, Box 258
Limestone, ME 04750-9729
(207) 325-4760

Lubec Port of Entry
Federal Building
U.S. Post Office & Border Station
Washington Street & Campobello Bridge
Lubec, ME 04652
(207) 733-4960

Madawaska Port of Entry
2 Bridge Street
Madawaska, ME 04756-1230
(207) 728-4565

Vanceboro Port of Entry
U.S. Immigration and Naturalization Service
P.O. Box C
Water Street
Vanceboro, ME 04491
(207) 788-3813

Van Buren Port of Entry
International Bridge
Bridge Street, P.O. Box 116
Van Buren, ME 04785
(207) 868-2202/3900

Van Buren Border Patrol
P.O. Box 26
Main Street
Van Buren, ME 04785
(207) 868-3900

Hamlin Port of Entry
H.C. 62, Box 63
Van Buren, ME 04785
(207) 868-0966

Maryland

Baltimore District Office
Fallen Federal Building
31 Hopkins Plaza
Baltimore, MD 21201
(410) 962-2010

Massachusetts

Boston District Office
John F. Kennedy Federal Building
Government Center, Room 1700
Boston, MA 02203
(617) 565-4214

Boston Service Processing Center
427 Commercial Street
Boston, MA 02109
(617) 223-3090/3089/3088

Michigan

Algonac Port of Entry
202 Fruit Street
Algonac, MI 48001
(810) 794-3321

District/Canada Tunnel
150 E. Jefferson Avenue
Detroit, MI 48226
(313) 568-6019

Detroit International Bridge
3033 Porter
Detroit, MI 48216
(313) 963-4408

Detroit City Airport
11499 Conner
Room 138C
Detroit, MI 48213
(313) 521-8430

Grand Rapids Border Patrol
P.O. Box 1678
100 W. 7th St.
Holland, MI 49422-1678
(616) 392-4070

Port Huron Border Patrol
2112 River Road
Marysville, MI 48040
(810) 364-6041

Port Huron Port of Entry
2321 Pinegrove Avenue
Port Huron, MI 48060
(810) 982-0493

Detroit Metro Airport
International Terminal
Romulus, MI 48242
(313) 955-6293

Sault Ste. Marie Port of Entry
Immigration and Naturalization Service
International Bridge Plaza
Sault Ste. Marie, MI 49783
(906) 632-8822

Sault Ste. Marie Border Patrol
P.O. Box 141
International Bridge Plaza
Sault Ste. Marie, MI 49783
(906) 632-3383

Trenton Border Patrol
23100 West Road
P.O. Box 206
Trenton, MI 48183
(313) 676-2972

Minnesota

Baudette Port of Entry
International Bridge
P.O. Box 487
Baudette, MN 56623
(218) 634-2661

St. Paul District Office
2901 Metro Drive, Suite 100
Bloomington, MN 55425
(612) 335-2211

Crane Lake Port of Entry
7544 Gold Coast Road
Crane Lake, MN 55725
(218) 993-2321

Duluth Port of Entry
U.S. Courthouse & Custom House
Federal Building
515 West First Street
Duluth, MN 55802
(218) 720-5207

Duluth Border Patrol
114 Federal Building
515 West First Street
Duluth, MN 55802
(218) 720-5465

Ely Port of Entry
P.O. Box 28
Ely, MN 55731
(218) 365-3262

Grand Marais Border Patrol
P.O. Box 685, Highway 61 East
Grand Marais, MN 55604
(218) 387-1770

Grand Portage Port of Entry
Highway 61
Grand Portage, MN 55605
(218) 475-2494

International Falls Border Patrol
Immigration Naturalization Service
1412 Hwy. 11-71 West
International Falls, MN 56649
(218) 283-2461

International Falls
2 Second Avenue
International Falls, MN 56649-2328
(218) 283-8611

Lancaster Port of Entry
Route 1 Box 138 - Highway 59
Lancaster, MN 56735
(218) 762-4100

Noyes Port of Entry
Immigration and Naturalization Service
Rural Route 1, Box 110
Noyes, MN 56740
(218) 823-6291

Pembina Border Patrol
Rural Route 1
P.O. Box 106
Noyes, MN 56740
(218) 823-6528, 6594

Pine Creek Port of Entry
Highway 89
Star Route 5
Roseau, MN 56751
(218) 463-1952

Roseau Port of Entry
Route 1, Box 31 - Highway 310 N
Roseau, MN 56751
(218) 463-2054

Warroad Port Of Entry

H.C. 02 P.O. Box 245
Warroad, MN 56763
(218) 386-1676

Warroad Border Patrol
P.O. Box 24, Highway 11
Warroad, MN 56763
(218) 386-1802

Mississippi

Gulfport Border Patrol
P.O. Box 4273
Gulfport, MS 39502
(228) 863-3582

Gulfport Port of Entry
P.O. Box 1120
Gulfport, MS 39501
(601) 864-3029

Missouri

Kansas City District Office
9747 North Conant Avenue
Kansas City, MO 64153
(816) 891-0864

St. Louis Sub-Office
Robert A. Young Federal Building
1222 Spruce Street, Room 100
St. Louis, MO 63103-2815
(314) 539-2516

St. Louis International Airport
P.O. Box 10406
Lambert Field
St. Louis, MO 63145
(314) 425-7179

Montana

Montana Chief Mountain Port of Entry
P.O. Box 376
via Babb, MT 59411
(406) 732-4576

Piegan Port of Entry
Immigration and Naturalization Service
P.O. Box 109
Babb, MT 59411
(406) 732-9297

Billings Border Patrol
2601 1st Ave. N.
P.O. Box 2298
Billings, MT 59103
(406) 247-7563

Del Bonita Port of Entry
Del Bonita Star Route
Cut Bank, MT 59427
(406) 336-2130

Eureka Border Patrol
Highway 93 (JB Shopping Center)
P.O. Box 909
Eureka, MT 59917
(406) 296-2938

Rossville Port of Entry
Immigration and Naturalization Service
8395 Highway 93 North
Eureka, MT 59917-9331
(406) 889-3737

Havre Border Patrol Sector Headquarters
2605 5th Avenue S.E.
P.O. Box 112
Havre, MT 59501
(406) 265-6781

Wild Horse Port of Entry
Immigration and Naturalization Service
Havre, MT 59501
(406) 394-2371

Willow Creek Port of Entry
Simpson Route
Havre, MT 59501
(406) 398-5512

Havre Port of Entry
Immigration and Naturalization Service
Simpson Route
Willow Creek Station
Havre, MT 59501
(406) 265-6781

Loring Port of Entry
Port of Morgan
HC82, Box 9250
Loring, MT 59537-9600
(406) 674-5248

Malta Border Patrol
P.O. Box 36
Malta, MT 59538
(406) 654-2711

Opheim Port of Entry
P.O. Box 317
Opheim, MT 59250
(406) 724-3212

Plentywood Border Patrol
P.O. Box 434
Plentywood, MT 59254
(406) 765-1852

Raymond Port of Entry
P.O. Box 158
Raymond, MT 59256
(406) 895-2620

Scobey Border Patrol
P.O. Box 820
Scobey, MT 59263
(406) 487-2621

Scobey Port of Entry
P.O. Box 2300
Scobey, MT 59263
(406) 783-5372

Shelby Border Patrol
P.O. Box 653
906 Oilfield Ave.
Shelby, MT 59474
(406) 434-5588

Sweetgrass Port of Entry
Immigration and Naturalization Service
P.O. Box 165 - Interstate 15
Sweetgrass, MT 59484
(406) 335-2911

Whitetail Port of Entry
P.O. Box 38 - US Border Inspection Station

Whitetail, MT 59276
(406) 779-3531

Nebraska

Omaha District Office
3736 South 132nd Street
Omaha, NE 68144
(402) 697-9152

Nebraska Service Center
850 S. Street
P.O. Box 82521
Lincoln, NE 68501-2521
(402) 437-5464

Nevada

Boulder City Border Patrol
P.O. Box 60928
Boulder City, NV 89006-0928
(702) 293-8507

Las Vegas Sub-Office
3373 Pepper Lane
Las Vegas, NV 89120
(702) 388-6640

Las Vegas Mearren International Airport
5757 Wayne Newton Boulevard
Las Vegas, NV 89111
(702) 388-6024

Reno Sub-Office
1351 Coporate Boulevard
Reno, NV 89502
(702) 784-5186

New Hampshire

Pittsburg Port of Entry
Route #3
P.O. Box 277
Pittsburg, NH 03592
(819) 656-2261

New Jersey

Newark District Office
Federal Building
970 Broad Street
Newark, NJ 07102
(201) 645-2298

Newark International Airport
Terminal B, SAT 3
Newark, NJ 07114
(201) 645-6589

New Mexico

Alamagordo Border Patrol
1997 Hwy 54 South
Alamagordo, NM 88310-7377
(505) 437-6960

Albuquerque Sub-Office
517 Gold Avenue, S.W.
Room: 1010
P.O. Box 567
Albuquerque, NM 87103
(505) 248-7357

Columbus Port of Entry
P.O. Box 307, Pershing Road
Columbus, NM 88029
(505) 531-2694, 95, 96

Deming Border Patrol
P.O. Box 230
Deming, NM 88031
(505) 546-9036

Las Cruces Border Patrol
3120 North Main
Las Cruces, NM 88001
(505) 527-6895

Las Cruces Patrol Training Office
2320 Temple Street
Las Cruces, NM 88001
(505) 524-4730

Lordsburg Border Patrol
441 Duncan Highway, P.O. Box 459
Lordsburg, NM 88045
(505) 542-3221

Truth or Consequences Border Patrol
P.O. Box 3310
Truth or Consequences, NM 87901
(505) 744-5235

New York

Albany Sub-Office
U.S. Post Office & Customhouse
Room 220
445 Broadway
Albany, NY 12207
(518) 431-0339

Thousand Island Bridge Port of Entry
U.S. Immigration and Naturalization Service
46735 U.S. Interstate Route 81
Alexandria Bay, NY 13607-9796
(315) 482-2681/2065

Cape Vincent Port of Entry
46735 U.S. Int. Route 81
Alexandria Bay, NY 13607-9796
(315) 654-2781, Ext. 8

Buffalo District Office
130 Delaware Avenue
Buffalo, NY 14202
(716) 846-4741, Ext. 8

Burke Border Patrol
P.O. Box 125
Burke, NY 12917-0125
(518) 483-5941

Champlain Port of Entry
Border Inspection Station
234 West Service Road
Champlain, NY 12919
(518) 298-3221, 8433, or 2526

Champlain Border Patrol
P.O. Box 1228
Ridge Road
Champlain, NY 12919
(518) 298-2531

Chateaugay Port of Entry
Border Inspection Station
Star Route 374
Chateaugay, NY 12920
(518) 497-6772

Trout River Port of Entry

Trout River
State Route 30
Via Constable, NY 12926
(518) 483-5021

Fort Covington Port of Entry
Border Inspection Station
Water Street
Fort Covington, NY 12937
(518) 358-2231

Fulton Border Patrol Station
215 South First Street
Room 228
P.O. Box 70
Fulton, NY 13069-0070
(315) 598-8510

John F. Kennedy International Airport
International Arrivals Building #50
Jamaica, NY 11430
(718) 553-1688
(718) 553-1689

Office of Inspector General
JFK Airport Station
P.O. Box 999
Jamaica, NY 11430
(718) 553-7520

Lewiston Port of Entry
1 Lewiston Queenston Bridge
Lewistown, NY 14092-1980
(716) 285-1676

Mooers Port of Entry
Border Inspection Station
State Route 22
Mooers, NY 12958
(518) 236-7116

New York District Office
26 Federal Plaza
New York, NY 10278
(212) 264-3911

Service Processing Center
201 Varrick Street, 4th Floor
New York, NY 10014
(212) 620-3441

Niagara Falls Port of Entry
Rainbow Bridge
Niagara Falls, NY 14303
(716) 282-3141

Niagara Falls Border Patrol
1708 Lafayette Avenue
P.O. Box 281
Niagara Falls, NY 14305-0281
(716) 285-6444

Ogdensburg Border Patrol
127 North Water Street
Ogdensburg, NY 13669
(315) 393-1150/0100

Massena Port of Entry
P.O. Box 195
Roosevelt, NY 13683
(315) 764-0310
(315) 764-0677

Rouse Point Port of Entry
US Route 9B
Rouse Point, NY 12979
(518) 297-7521

Buffalo Border Patrol Sector Headquarters
U.S. Border Patrol
231 Grand Island Boulevard
Tonawanda, NY 14150-6502
(716) 551-4101

Watertown Border Patrol
Post Office Building
P.O. Box 280
153 Arsenal Street
Watertown, NY 13601-0280
(315) 482-7556

North Carolina

Charlotte Sub-Office
6 Woodlawn Green, Room 138
Charlotte, NC 28217
(704) 344-6313

Raleigh-Durham International Airport Port of Entry
P.O. Box 80216
Raleigh, NC 27623
(919) 840-5577

Wilmington Port of Entry
Alton Lennon Federal Building
Room 105
2 Princess Street
Wilmington, NC 28401
(910) 343-4876

North Dakota

Ambrose Port of Entry
Immigration and Naturalization Service
State Highway 42
Rt. HC-1 Box 202
Ambrose, ND 58833
(701) 982-3211

Antler Port of Entry
Immigration and Naturalization Service
RR1 - Box 7
Antler, ND 58711
(701) 267-3321

Bottineau Border Patrol
P.O. Box 6
Bottineau, ND 58318
(701) 228-3179

Carbury Port of Entry
RR #1 Souris, ND
Carbury, ND 58783
(701) 228-2540

Dunseith Port of Entry
Immigration and Naturalization Service
R.R. 1, Box 117
Dunseith, ND 58329
(701) 263-4513, 4460

Northgate Port of Entry
Flaxton, ND 58737
(701) 596-3805

Fortuna Port of Entry
Immigration and Naturalization Service

Hwy 85N, P.O. Box #37
Fortuna, ND 58844
(701) 834-2493

Grand Forks Border Patrol Sector Headquarters
P.O. Box 12669 Zip: 58208-2669
2320 S. Washington Street
Grand Forks, ND 58201
(701) 775-6259

Grand Forks International Airport
RR #2, Box 72
2787 Airport Drive
Highway 2 West
Grand Forks, ND 58203
(701) 772-3301

Hannah Port of Entry
Hannah, ND 58239
(701) 283-5271

Hansboro Port of Entry
Box 237
Hansboro, ND 58339
(701) 266-5633

Maida Port of Entry
Immigration and Naturalization Service
Hwy #1
Maida, ND 58255
(701) 256-5087

Neché Port of Entry
Hwy 18
Neché, ND 58265
(701) 886-7744

Noonan Port of Entry
Noonan, ND 58765
(701) 926-5615

Pembina Port of Entry
Rural Route 1, P.O. Box 110
Noyes Pembina, ND 58271
(701) 825-6722

Portal Border Patrol
P.O. Box 298
Portal, ND 58772
(701) 926-4111

Portal Port of Entry
P.O. Box 8
Portal, ND 58772
(701) 926-4221

Sherwood Port of Entry
Rural Route 2, Box 1D
Sherwood, ND 58782
(701) 459-2250

St. John Port of Entry
Box 15, Rt. 1
St. John, ND 58369
(701) 447-3140

Sarles Port of Entry
Immigration and Naturalization Service
P.O. Box 67, Route 1
6 miles from Village of Sarles, ND 58372
(701) 697-5177

Walhalla Port of Entry

RR2, Box 145
Walhalla, ND 58282-9459
(701) 549-3233

Ohio
Cleveland District Office
Anthony J. Celebreeze Federal Building
1240 E. 9th Street, Room 1917
Cleveland, OH 44199
(216) 522-4766

Toledo Port of Entry
Federal Office Building, Room 713
234 Summit Street
Toledo, OH 43604-1536
(419) 259-6474

Oklahoma
Oklahoma Sub-Office
4149 Highline Boulevard, Suite 300
Oklahoma City, OK 73108
(405) 231-5928

Oregon
Astoria Port of Entry
P.O. Box 236
Astoria, OR 97103
(503) 325-3054

Coos Bay Port of Entry
P.O. Box 209
Coos Bay, OR 97420
(503) 242-4785

Portland District Office
511 N.W. Broadway
Portland, OR 97209
(503) 326-3962

Portland International Airport
P.O. Box 55067
Portland, OR 97238-5067
(503) 326-3409

Roseburg Border Patrol
1036 S.E. Douglas
P.O. Box 1728
Roseburg, OR 97470
(503) 440-4518

Pennsylvania
Philadelphia District Office
1600 Callowhill Street
Philadelphia, PA 19130
(215) 656-7150

Pittsburgh Sub-Office
INS/Department of Justice
2130 Federal Building
1000 Liberty Avenue
Pittsburgh, PA 15222
(412) 395-4457

Puerto Rico
Aquadilla Service Processing Center
P.O. Box 250480
Aquadilla, PR 00604-0480
(787) 882-3565/3567/3568

Deferred Inspections
U.S. Federal Building
Room 380
Chardon Street

Hato Rey, PR 00918
(809) 766-5000

District Director's Office
Immigration and Naturalization Service
New Federal Building, 3rd Floor
Carlos Chardon Street
Hato Rey, PR 00918

San Juan International Airport
P.O. Box 37900
Isle Verde, PR 00937-0900
(809) 253-4525

Mayaguez Port of Entry
U.S. Immigration and Naturalization Service
P.O. Box 2990, Marina Station
Mayaguez, PR 00709-2990
(809) 831-3440

Ponce Port of Entry
Immigration and Naturalization Service
P.O. Box 173
Playa Station
Ponce, PR 00734-3173
(809) 843-3220

San Juan District Office
U.S. Immigration and Naturalization Service
P.O. Box 365068
San Juan, PR 00936
(787) 766-5514

Rhode Island
Providence Sub-Office
200 Dyer Street
Providence, RI 02903-3993
(401) 528-5528, 5323

South Carolina
Charleston Port of Entry
Federal Building, Room 110
334 Meeting Street
Charleston, SC 29403
(803) 727-4421

Tennessee
Memphis Sub-Office
245 Wagner Place
Suite 250
Memphis, TN 38103-3815
(901) 544-4108

Texas
Abilene Border Patrol
P.O. Box 3076
555 Walnut Street
Abilene, TX 79604-3076
(915) 673-3010

Alpine Border Patrol
P.O. Box 958
Alpine, TX 79831
(915) 837-3550

Amarillo Border Patrol
P.O. Box 2287
Amarillo, TX 79105
(806) 324-2278

Austin Sub-Office
3708 South Second Street
P.O. Box 6496

Austin, TX 78704 (512) 482-7901, 7904	(210) 775-7213	Freer Border Patrol P.O. Box "W" Freer, TX 78357 (512) 394-7613
Big Bend Park Border Patrol P.O. Box 67 Big Bend, TX 79834 (915) 477-2287	Eagle Pass Port of Entry International Bridge, P.O. Box 4280 Eagle Pass, TX 78852 (210) 773-9205, 9206	Galveston Port of Entry P.O. Box 388 Galveston, TX 77550 (409) 766-3581
Brackettville Border Patrol P.O. Box 216 802 West Spring Street Brackettville, TX 78832 (830) 563-2477	El Paso District Office 1545 Hawkins, Suite 167 El Paso, TX 79925 (915) 540-1700, 1701	Harlingen District Office 2102 Teege Road Harlingen, TX 78550 (210) 427-8691
Brownsville Port of Entry 1500 East Elizabeth Street, Room 203 Brownsville, TX 78520 (210) 546-1675	Processing Center 8915 Montana Avenue El Paso, TX 79925 (915) 225-1941	Harlingen Border Patrol 901 Rangerville Road P.O. Box 642 Harlingen, TX 78551 (956) 427-8511
Brownsville International Airport Brownsville, TX 78520 (210) 542-8296	Office of Inspector General 3 Butterfield Trail Boulevard Suite 120 El Paso, TX 79906 (915) 534-7370	Hebbronville Border Patrol 802 North Sigrid Street Hebbronville, TX 78361 (512) 527-3256
Brownsville Border Patrol P.O. Box 5076 Brownsville, TX 78520 (956) 542-3585	El Paso Border Patrol Sector Headquarters 8901 Montana Ave., P.O. Box 9578 El Paso, TX 79986 (915) 834-8350	Pharr Port of Entry 9910 S. Cage Boulevard, Suite C Pharr, TX 78557-9723 (956) 783-4739, 4758
Carrizo Springs Border Patrol P.O. Box 194 Highway 85 East Carrizo Springs, TX 78834 (830) 876-3557	Intelligence Center 11339 SSG Sims Street El Paso, TX 79908-8098 (915) 760-2031	Hidalgo Port of Entry International Bridge Hidalgo, TX 78557-3019 (210) 843-2201, 2202
Corpus Christi Border Patrol 6809 Leopard Street P.O. Box 10344 Corpus Christi, TX 78460-0344 (512) 289-0552	El Paso Port of Entry Paso Del Norte Bridge El Paso, TX 79901 (915) 534-6767	Houston Intercontinental Airport P.O. Box 60457 Houston, TX 77205 (713) 233-3700
Comstock Border Patrol P.O. Box 700, Highway 85 East Comstock, TX 78837 (915) 292-4450, 4680	Dallas Border Patrol 2800 South Pipeline Road Euless, TX 76040 (817) 540-0150	Kingsville Border Patrol Navel Air Station, Building 3731 Kingsville, TX 78363 (512) 592-3284
Cotulla Border Patrol 692 Main Street Cotulla, TX 78014 (830) 879-3051	Fabens Border Patrol 802 East Main P.O. Box 908 Fabens, TX 79838 (915) 764-2417	Laredo Border Patrol Sector Headquarter 207 W. Del Mar Boulevard Laredo, TX 78041 (956) 723-4367
Dallas District Office 8101 North Stemmons Freeway Dallas, TX 75247 (214) 655-3011	Fabens Port of Entry P.O. Box 908 Fabens, TX 79838 (915) 764-2419	Laredo South Border Patrol Station Rural Route 3, P.O. Box USBP-SLS/L Laredo, TX 78043 (210) 727-0644
Dallas Forth Worth Port of Entry P.O. Box 610365 Dallas-Fort Worth, TX 75261 (214) 574-2141	Falfurrias Border Patrol P.O. Box 479 Falfurrias, TX 78355 (512) 325-5616	Laredo Administrative Offices, Building #2 700 Zaragoza Street P.O. Box 179 Laredo, TX 78042-0179 (210) 722-3283
Del Rio Border Patrol Sector Headquarters P.O. Box 2020 Del Rio, TX 78841-2020 (210) 703-2100	Fort Hancock Border Patrol P.O. Box 218 Fort Hancock, TX 79839 (915) 769-3978	Juarez-Lincoln International Bridge #2 700 Zaragoza Street, Building #3 Laredo, TX 78042 (512) 722-5440
Del Rio Border Station P.O. Box 2020, Qualia Drive Del Rio, TX 78841-2020 (830) 703-2100	Fort Hancock Port of Entry P.O. Box 235 Fort Hancock, TX 79839 (915) 769-3810	Gateway to the American International Bridge #1 100 Convent Street Laredo, TX 78040 (210) 722-2484
Amistad Dam Port of Entry P.O. Box 4060 Del Rio, TX 78841-4060	Fort Stockton Border Patrol P.O. Box 607 Fort Stockton, TX 79735 (915) 336-2468	Llano Border Patrol (210) 775-7213

P.O. Box 134, 211 East Tarrant
Llano, TX 78643
(915) 247-4912

Los Ebanos Port of Entry
International Ferry
P.O. Box 399
Los Ebanos, TX 78565
(512) 485-2721

Port Isabel Service Processing Center
Route 3, Box 341
Los Fresnos, TX 78566
(210) 548-2530

Port Isabel Border Patrol
Route 3, Box 340
Los Fresnos, TX 78566
(956) 233-9554

Port Isabel Port of Entry
Alien Processing Center (PIC)
Route 3, Box 341
Buena Vista Road
Los Fresnos, TX 78566
(210) 233-4431

Lubbock Border Patrol
P.O. Box 1632, 1205 Texas Avenue
Lubbock, TX 79408
(806) 472-7355

Marfa Border Patrol Sector Headquarters
P.O. Box 1
300 Madrid Street
Marfa, TX 79843
(915) 729-4353

McAllen Border Patrol Sector Headquarters
Mail: P.O. Box 1179 Zip Code 78502
2301 South Main Street
McAllen, TX 78503
(210) 686-5496

McAllen Border Patrol
4201 W. Military Highway
McAllen, TX 78503
(956) 618-8163

Mercedes Border Patrol
P.O. Box 125
623 International Boulevard
Mercedes, TX 78570
(956) 968-0602

Midland Border Patrol Station
P.O. Box 60405
Midland, TX 79711
(915) 561-8911

Presidio Border Patrol
P.O. Box 929
Presidio, TX 79845
(915) 229-3330

Presidio Port of Entry
International Bridge, P.O. Box 937
Presidio, TX 79845
(915) 229-3265, 3663

Port Arthur Port of Entry
4550 Jimmy Johnson Boulevard, Suite 3
Port Arthur, TX 77642

(409) 727-3375

Port Arthur Port of Entry
2875 75th Street, Room 106
Port Arthur, TX 77640
(409) 727-3375

Rio Grand City Border Patrol
2230 East Highway
P.O. Box 481
Rio Grand City, TX 78582
(956) 487-2700

Rocksprings Border Patrol P.O. Box 576
Rocksprings, TX 78880
(830) 683-2255

Falcon Heights Port of Entry
International Dam, P.O. Box 278
Roma, TX 78584
(210) 848-5221

Rio Grande City Port of Entry
International Bridge, P.O. Box 278
Roma, TX 78584
(210) 487-2200 or
(210) 849-1676

San Angelo Border Patrol
P.O. Box 61106
San Angelo, TX 76906
(915) 949-0139

San Antonio Border Patrol
5000 North Industrial Drive
San Antonio, TX 78268
(210) 521-7926

San Antonio International Airport
9800 Airport Boulevard
Terminal No. 1
San Antonio, TX 78216
(210) 826-6261

San Antonio District Office
8940 Four Winds Drive
San Antonio, TX 78239
(210) 967-7109

Sanderson Border Patrol
P.O. Box 628
Sanderson, TX 78268
(915) 345-2972

Uvalde Border Patrol
Industrial Park #30
Uvalde, TX 78801
(830) 278-7133

Van Horn Border Patrol
700 N.W. Access Road
P.O. Box 368
Van Horn, TX 79854
(915) 283-2795

Progreso Port of Entry
International Bridge Route 2, Box 600
Westlaco, TX 78596
(210) 565-6304

Zapata Border Patrol
Lot C-2015
U.S. Hwy 83 South

P.O. Box 685
Zapata, TX 78076-0685
(210) 765-6395

Utah
5272 South College Drive, Suite 100
Salt Lake City, UT 84123
(801) 265-8807

Vermont
Alburt Springs Port of Entry
Alburt Springs, RR#2
Alburt, VT 05440
(802) 796-3704

Alburt Port of Entry
U.S. Border Station
State Route 225
Alburt, VT 05440
(802) 796-3703

Beebe Plain Port of Entry
Beebe Plain, VT 05823
(802) 873-3151

Beecher Falls Border Patrol
P.O. Box 215
24 hours
Beecher Falls, VT 05902
(802) 266-3035

Beecher Falls Port of Entry
Border Inspection
Route 102
Beecher Falls, VT 05902
(802) 266-3320

Canaan Port of Entry
Border Inspection Station
State Route 114
P.O. Box 129
Canaan, VT 05903
(802) 266-8994

Derby Line Port of Entry
Route 91
P.O. Box 367
Derby Line, VT 05830
(802) 873-3316

East Richford Port of Entry
RFD #1
P.O. Box 1690
East Richford, VT 05476
(802) 848-3001

West Berkshire Port of Entry
RFD #1 Box 1110
Enosburg Falls, VT 05450
(802) 933-2301

Morses Line Port of Entry
RFD #1
Morses Line, VT 05457
(802) 285-2214

Newport Border Patrol
P.O. Box 815
Newport, VT 05855
(802) 334-6722

North Troy Port Of Entry
State Route 105

North Troy, VT 05859
(802) 988-2633

Norton Port of Entry
Border Inspection Station
State Route 114
P.O. Box 117
Norton, VT 05907
(802) 822-5222

Richford Border Patrol
Main Street
P.O. Box 67
Richford, VT 05476
(802) 848-7713

Pinnacle Port of Entry
R.D. #1, Box 300
Richford, VT 05476
(802) 848-3319

Richford Port of Entry
Border Inspection Station
RFD #1, Box 40
Richford, VT 05476
(802) 848-7766

Administrative Center
70 Kimball Avenue
South Burlington, VT 05403-6813
(802) 660-1111

Eastern Region Operations
70 Kimball Avenue
South Burlington, VT 05403-6813
(802) 660-5000

St. Albans Sub-Office
Federal Building
P.O. Box 328
St. Albans, VT 05478
(802) 527-3191

Eastern Service Center
75 Lower Welden Street
St. Albans, VT 05479-0001
(802) 527-3100

Swanton Border Patrol Sector Headquarters
Grand Ave
P.O. Box 705
Swanton, VT 05488
(802) 868-3361

Highgate Springs Port of Entry
Border Inspection Station
U.S. Route 7
RFD Swanton, VT 05488
(802) 868-3349

Swanton Border Patrol Station
Route 78
P.O. Box 209
24 Hours
Swanton, VT 05488
(802) 868-3229/3320

Eastern Form Center
P.O. Box 567
Williston, VT 05495-0567
(802) 951-6225

Virgin Islands

Charlotte Amalie, St. Thomas, VI Sub-Office
Nisky Center, First Floor, Suite 1A
Charlotte Amalie St. Thomas, VI 00801
(809) 774-1390

Christiansted St. Croix, Port of Entry
P.O. Box 1270 Kingshill
Christiansted St. Croix, VI 00851
(809) 778-6559/6300

Cruz Bay Port of Entry
P.O. Box 450
Cruz Bay
St. John, VI 00831
(340) 776-6390

Virginia
Washington District Office
4420 North Fairfax Drive
Arlington, VA 22203
(202) 307-1504

Norfolk Sub-Office
5 Norfolk Federal Building
200 Granby Mall, Room 439
Norfolk, VA 23510-1882
(804) 441-3095

Washington
Bellingham Border Patrol
2745 McLeod Rd.
P.O. Box 31428
Bellingham, WA 98228-3428
(360) 733-8420

Bellingham Port of Entry
104 W. Magnolia and Cornall Street, Room 20
P.O. Box 2055
Bellingham, WA 98227
(360) 676-8411 (Downtown)
(360) 734-3520 (Airport)

Blaine Border Patrol Sector Headquarters
1590 H Street
P.O. Box 3529
Blaine, WA 98231
(360) 332-7707, 7919

Blaine Border Patrol
1580 H Street
P.O. Box 3529
Blaine, WA 98231
(360) 332-4205

Pacific Highway Port of Entry
9950 Pacific Highway
Blaine, WA 98230
(360) 332-6091, 7237

Blaine Port of Entry
Immigration and Naturalization Service
Peace Arch POE
100 Peace Portal Drive
Blaine, WA 98230
(360) 332-8511

Colville Border Patrol
209 East Juniper Ave.
P.O. Box 146
Colville, WA 99114
(509) 684-6272

Boundary Port of Entry

5338 North Port Juanita Road
Colville, WA 99114
(509) 732-4470

Ferry Port of Entry
3559 Toroda Customs Bridge Road
Curlwe, WA 99118-9715
(509) 779-4655 (Customs)

Danville Port of Entry
19130 North Highway 21
Danville, WA 99121-9704
(509) 779-4860

Friday Harbor Port of Entry
202 Front Street North
Staff by Customs
P.O. Box 1907
Friday Harbor, WA 98250
(206) 378-2080

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Star Route
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740 Regent Street, Suite 102
Madison, WI 53715
(608) 264-5221

DIVISION OF ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION DISTRICT OFFICES

District Office 1

U.S. Department of Labor, DEEOIC
400 West Bay Street, Room 722
Jacksonville, FL 32202
877-336-4272

District Office 2

U.S. Department of Labor, DEEOIC
1001 Lakeside Avenue, Suite 350
Cleveland, OH 44114
888-859-7211

District Office 3

U.S. Department of Labor, DEEOIC
1999 Broadway, Suite 1120
Denver, CO 80201-6550
888-805-3389

District Office 4

U.S. Department of Labor, DEEOIC
719 2nd Avenue, Suite 601
Seattle, WA 98104
888-805-3401

OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION

AREA OFFICES

Alabama

Area Director
Todd Mall, 2047 Canyon Road
Birmingham, AL 35216-1981
(205) 731-1534, Ext. 133

District Supervisor
3737 Government Boulevard, Suite 100
Mobile, AL 36693-4309
(251) 441-6131

Alaska

Area Director
301 West Northern Lights Boulevard, Room 407
Anchorage, AK 99503-7571
(907) 271-5152

Arizona

Area Director
3221 North 16th Street, Suite 100
Phoenix, AZ 85016
(602) 640-2007

Arkansas

Area Director
TCBY Building, Suite 450
425 West Capitol Avenue
Little Rock, AR 72201
(501) 324-6291

California

Resource Center
101 El Camino Boulevard, Suite 105
Sacramento, CA 95815
(916) 566-7470

Resource Center
5675 Ruffin Road, Suite 330

San Diego, CA 92123
(619) 557-5904

Resource Center
71 Stevenson Street, Suite 420
San Francisco, CA 94105
(415) 975-4316

Colorado

Area Director
1391 Speer Boulevard, Suite 210
Denver, CO 80204-2552
(303) 844-5285

Area Director
7935 E. Prentice Avenue, Suite 209
Englewood, CO 80111-2714
(303) 843-4500

Connecticut

Area Director
Federal Office Building
450 Main Street, Room 613
Hartford, CT 06103
(860) 240-3152

Area Director
Clark Building
1057 Broad Street, 4th Floor
Bridgeport, CT 06604
(203) 579-5581

Delaware

Area Director
Caleb Boggs Federal Building
844 King Street, Room 2209
Wilmington, DE 19801
(302) 573-6518

Florida

Area Director
Building H100
8040 Peters Road
Fort Lauderdale, FL 33324
(954) 424-0242

Area Director
Ribault Building, Suite 227
1851 Executive Center Drive
Jacksonville, FL 32207
(904) 232-2895

Area Director
5807 Breckenridge Parkway, Suite A
Tampa, FL 33610
(813) 626-1177

Georgia

Area Director
Building 7, Suite 110
2183 N. Lake Parkway
La Vista Perimeter Office Park
Tucker, GA 30084-4154
(770) 493-6644

Area Director
2400 Herodian Way, Suite 250
Smyrna, GA 30080-2968
(770) 984-8700

Area Director
450 Mall Boulevard, Suite J.
Savannah, GA 31406-1418

(912) 652-4393

Hawaii

Resource Center
300 Ala Moana Boulevard, Suite 5-146
Honolulu, HI 96850
(808) 541-2685

Idaho

Area Director
1150 North Curtis Road, Suite 201
Boise, ID 83703
(208) 321-2960

Illinois

Area Director
1600 167th Street, Suite 12
Calumet City, IL 60409
(708) 891-3800

District Supervisor
11 Executive Drive, Suite 11
Fairview Heights, IL 62208
(618) 632-8612

Area Director
2918 West Willow Knolls Road
Peoria, IL 61614
(309) 671-7033

Area Director
365 Smoke Tree Plaza
North Aurora, IL 60542
(630) 896-8700

Area Director
701 Lee Street
Suite 950
Des Plaines, IL 60016
(847) 803-4800

Indiana

Area Director
U.S. Post Office and Courthouse
46 East Ohio Street, Room 423
Indianapolis, IN 46204
(317) 226-7290

Iowa

Area Director
210 Walnut Street, Room 815
Des Moines, IA 50309
(515) 284-4794

Kansas

Area Director
271 W. 3rd Street, Room 400
Wichita, KS 67202
(316) 269-6644

Area Director
8600 Farley, Suite 105
Overland Park, KS 66212-4677
(913) 385-7380

Kentucky

Area Director
U.S. Department of Labor-OSHA
John C. Watts Federal Building
330 West Broadway, Room 108
Frankfort, KY 40601-1922
(502) 227-7024

Louisiana

Area Director
9100 Bluebonnet Centre Boulevard, Suite 201
Baton Rouge, LA 70809
(225) 389-0474

Area Director
202 Harlow Street, Room 211
Bangor, ME 04401-4906
(207) 941-8177

Maine

District Supervisor
Edmund S. Muskie Federal Bldg.
40 Western Ave., RM G26
Augusta, ME 04330
(207) 626-9160

Maryland

Area Director
1099 Winterson Road, Suite 140
Linthicum, MD 21090
(410) 865-2055/2056

Massachusetts

Area Director
639 Granite Street, 4th Floor
Braintree, MA 02184
(617) 565-6924

Area Director
1441 Main Street, Room 550
Springfield, MA 01103-1493
(413) 785-0123

Area Director
Valley Office Park
13 Branch Street, First Floor
Methuen, MA 01844
(617) 565-8110

Michigan

Area Director
801 South Waverly Road, Suite 306
Lansing, MI 48917-4200
(517) 327-0904

Minnesota

Area Director
300 S. 4th Street, Suite 1205
Minneapolis, MN 55415
(612) 664-5460

Mississippi

Area Director
3780 I-55 North, Suite 210
Jackson, MS 39211-6323
(601) 965-4606

Missouri

Area Director
6200 Connecticut Avenue, Suite 100
Kansas City, MO 64120
(816) 483-9531

Area Director
U.S. Department of Labor-OSHA
911 Washington Avenue, Room 420
St. Louis, MO 63101
(314) 425-4249

Montana

Area Director

2900 4th Avenue, North, Suite 303
Billings, MT 59101
(406) 247-7494

Nebraska

Area Director
Overland-Wolf Building, Room 100
6910 Pacific Street
Omaha, NE 68106
(402) 221-3182

Nevada

Resource Center
Federal Building, Room 204
705 North Plaza
Carson City, NV 89701
(775) 885-6963

New Hampshire

Area Director
55 Pleasant Street, Room 3901
Concord, NH 03301
(603) 225-1629

New Jersey

Area Director
Plaza 35, Suite 205
1030 St. Georges Avenue
Avenel, NJ 07001
(732) 750-3270

Area Director
500 Route 17 South, 2nd Floor
Hasbrouck Heights, NJ 07604
(201) 288-1700

Area Director
Marlton Executive Park
701 Route 73 South, Building 2, Suite 120
Marlton, NJ 08053
(856) 757-5181

Area Director
299 Cherry Hill Road, Suite 304
Parsippany, NJ 07054
(973) 263-1003

New Mexico

Area Director
Western Bank Building
505 Marquette Avenue, N.W., Suite 820
Albuquerque, NM 87102
(505) 248-5302

New York

Area Director
201 Varick Street, Room 905
New York, NY 10014
(212) 620-3200

Area Director
3300 Vickery Road
North Syracuse, NY 13212
(315) 451-0808

Area Director
42-40 Bell Boulevard
Bayside, NY 11361
(718) 279-9060

Area Director
John Tomich Federal Building
401 New Karner Road, Suite 300

Albany, NY 12205-3809
(518) 464-4338

Area Director
660 White Plains Road, 4th Floor
Tarrytown, NY 10591-5107
(914) 524-7510

Area Director
5360 Genesee Street
Bowmansville, NY 14026
(716) 684-3891

Area Director
1400 Old Country Road, Suite 208
Westbury, NY 11590
(516) 334-3344

North Carolina

Area Director
Century Station, Federal Building, Room 438
300 Fayetteville Mall
Raleigh, NC 27601-9998
(919) 856-4770

North Dakota

Area Director
Federal Office Building
1640 East Capitol Avenue
Bismarck, ND 58501
(701) 250-4521

Ohio

Area Director
Federal Office Building, Room 899
1240 East Ninth Street
Cleveland, OH 44199
(216) 522-3818

Area Director
Federal Office Building, Room 4028
36 Triangle Park Drive
Cincinnati, OH 45246
(513) 841-4132

Area Director
Federal Office Building
200 North High Street, Room 620
Columbus, OH 43215
(614) 469-5582

Area Director
Ohio Building
420 Madison Avenue, Suite 600
Toledo, OH 43604
(419) 259-7542

Oklahoma

Area Director
55 N. Robinson, Suite 315
Oklahoma City, OK 73102
(405) 278-9560

Oregon

Area Director
1220 Southwest Third Avenue, Room 640
Portland, OR 97204
(503) 326-2251

Pennsylvania

Area Director
U.S. Customs House, Room 242
Second and Chestnut Streets

Philadelphia, PA 19106
(215) 597-4955

Area Director
Federal Building, Room 1428
1000 Liberty Avenue
Pittsburgh, PA 15522-4101
(412) 395-4903

Area Director
850 North 5th Street
Allentown, PA 18102
(610) 776-0592

Area Director
7 North Wilkes-Barre Boulevard
Suite 410
Wilkes-Barre, PA 18702
(570) 826-6538

Area Director
49 North Progress Avenue
Progress Plaza
Harrisburg, PA 17109
(717) 782-3902

Area Director
3939 West Ridge Road, Suite B-12
Erie, PA 16506
(814) 833-5758

Puerto Rico

Area Director
BBV Plaza Building
1510 F.D. Roosevelt Avenue, Suite 5B
Guaynabo, PR 00968
(787) 277-1560

Rhode Island

Area Director
380 Westminster Mall, Room 243
Providence, RI 02903
(401) 528-4669

South Carolina

Area Director
1835 Assembly Street, Room 1468
Columbia, SC 29201
(803) 765-5904

Tennessee

Area Director
2002 Richard Jones Road, Suite C-205
Nashville, TN 37215-2809
(615) 781-5423

Texas

Area Director
Federal Building, Room 806
1205 Texas Avenue
Lubbock, TX 79401
(806) 472-7681

Area Director
507 North Sam Houston Parkway East, Suite 400
Houston, TX 77060
(281) 591-2438

Area Director
8344 East R.L. Thornton Freeway, Suite 420
Dallas, TX 75228
(214) 320-2400

Area Director
17625 El Camino Real, Suite 400
Houston, TX 77058
(281) 286-0583

Area Director
North Star II, Suite 302
8713 Airport Freeway
Ft. Worth, TX 76180-7610
(817) 428-2470

Area Director
Corpus Christi Area Office
U.S. Department of Labor, OSHA
Wilson Plaza West
606 N. Carancahua, Suite 700
Corpus Christi, TX 78476
(361) 888-3420

Area Director
903 San Jacinto Boulevard, Suite 319
Austin, TX 78701
(512) 916-5783

Area Director
700 E. San Antonio Street, Room C-408
EL Paso, TX 79901
(915) 534-6251

Utah

Area Director
1781 South 300 West
P.O. Box 65200
Salt Lake City, UT 84165-0200
(801) 487-0521

Virginia

District Supervisor
200 Granby Street, Room 614
Norfolk, VA 23510
(757) 441-3820

Washington

Area Director
505 106th Avenue N.E., Suite 302
Bellevue, WA 98004
(206) 553-7520

West Virginia

Area Director
405 Capitol Street, Suite 407
Charleston, WV 25301
(304) 347-5937

Wisconsin

Area Director
Henry S. Reuss Building
310 Wisconsin Avenue, Suite 1180
Milwaukee, WI 53203
(414) 297-3315

Area Director
4802 E. Broadway
Madison, WI 53716
(608) 441-5388

District Supervisor
1310 West Clairmont Ave.
Eau Claire, WI 54701
(715) 832-9019

Area Director
1648 Tri Park Way

Appleton, WI 54914
(920) 734-4521

REGIONAL OFFICES

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
Marthe Kent, Regional Administrator
Department of Labor, OSHA
JFK Federal Building, Low Rise Building, Room E-340
Boston, MA 02114
(617) 565-9860

Region II

(New Jersey, New York, Puerto Rico, Virgin Islands)
Patricia Clark, Regional Administrator
Department of Labor, OSHA
201 Varick Street, Room 670
New York, NY 10014
(212) 337-2378

Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
Marie Cassidy, Acting Regional Administrator
The Curtis Center - Suite 740 West
170 Independence Mall West
Philadelphia, PA 19106-3309
(215) 861-4900

Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Cindy Coe Laseter, Regional Administrator
61 Forsyth Street S.W., Room 6T50
Atlanta, GA 30303
(404) 562-2300

Region IX

(Arizona, California, Commonwealth of Northern Mariana Islands, Guam, Hawaii, and Nevada)
Frank Strasheim, Regional Administrator
Department of Labor, OSHA
San Francisco Federal Building
90 7th Street, Suite 18100
San Francisco, CA 94103
(415) 625-2547

Region V

(Illinois, Indiana, Minnesota, Michigan, Ohio, Wisconsin)
Michael Connors, Regional Administrator
Department of Labor, OSHA
230 South Dearborn Street
32nd Floor, Room 3244
Chicago, IL 60604
(312) 353-2220

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
Dean McDaniel, Regional Administrator
Department of Labor, OSHA
525 Griffin Street, Room 602
Dallas, TX 75202
(972) 850-4145

Region VII

(Iowa, Kansas, Missouri, Nebraska)

Charles Adkins, Regional Administrator
Department of Labor, OSHA
City Center Square
1100 Main Street, Suite 800
Kansas City, MO 64105
(816) 426-5861

Region VIII

(Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Greg Baxter, Regional Administrator
Department of Labor, OSHA
1999 Broadway Street, Room 1690
Denver, CO 80202-5716
(720) 264-6550

Region X

(Alaska, Idaho, Oregon, Washington)
Richard Terrill, Regional Administrator
Department of Labor, OSHA
1111 Third Avenue, Suite 715
Seattle, WA 98101-3212
(206) 553-5930

MINE SAFETY AND HEALTH ADMINISTRATION

West Virginia

Jack Spadaro
1301 Airport Rd
Beaver, WV 25813-9426
(304) 256-3200

MINE SAFETY AND HEALTH ADMINISTRATION

COAL MINE SAFETY AND HEALTH DISTRICT OFFICES

Alabama

(District 11, Coal Mine Safety and Health: Florida, Mississippi, Puerto Rico, Virgin Islands, and counties of Alabama and Georgia south and west of the District 7 boundary indicated above)
Federal Region IV
Frank C. Young, Jr.
135 Gemini Circle, Suite 213
Birmingham, AL 35209
(205) 290-7300

Colorado

(District No. 9: All States west of the Mississippi River, except for Minnesota, Iowa and all counties of Missouri south of the Missouri River; including Alaska and Hawaii) Federal Region VIII
John Kuzar
P.O. Box 25367, DFC
Denver, CO 80225-0367
(303) 231-5458

Indiana

(District No. 8: Illinois, Indiana, Michigan, Minnesota, Wisconsin, Iowa and all counties of Missouri north of the Missouri River) Federal Region V
James K. Oakes
2300 Old Decker RD, Suite 200
Vincennes, IN 47591
(812) 882-7617

Kentucky

(District No. 6: counties of Kentucky east of and including Mason, Robertson, Fleming, Rowan, Menifee, Morgan, Magoffin, Floyd, Pike, Letcher) Federal Region IV
Billy Fatch
159 North Mayo Trail
Pikeville, KY 41501-3249
(606) 432-0943, Ext. 116

(District No. 7: Coal Mine Safety and Health: North Carolina, South Carolina, Tennessee, counties of Alabama north and east of and including Jackson, Marshall, Etowah, and Cherokee, counties of Georgia north of and including Polk, Bartow, Cherokee, Forsyth, Hall, Jackson, Madison, and Elbert, and counties of Kentucky east of and including Boone, Grant, Scott, Woodford, Jessamine, Garrard, Lincoln, Pulaski, and Clinton, up to the District 6 boundary indicated above.) Federal Region IV
Joseph W. Pavlovich
HC 66, Box 1699
Barbourville, KY 40906
(606) 546-5123

(District No. 10: counties of Kentucky west of and including Gallatin, Owen, Franklin, Anderson, Mercer, Boyle, Casey, Russell And Cumberland) Federal Region IV
Carl E. Bome, II
100 YMCA Drive
Madisonville, KY 42431-9019
(270) 821-4180

Pennsylvania

(District No. 1: Maine, New Hampshire, Vermont, Massachusetts, Rhode Island, Connecticut, New York, New Jersey, Delaware, and counties of Pennsylvania east of and including Susquehanna, Sullivan, Columbia, Montour, Northumberland, Dauphin, York) Federal Region III
Frank Strunk
The Stegmaier Building, Suite 034
7 North Wilkes-Barre Boulevard
Wilkes-Barre, PA 18702
(570) 826-6321

(District No. 2: counties of Pennsylvania west of and including Bradford, Lycoming, Union, Snyder, Juniata, Perry, Cumberland, Adams) Federal Region III
Cheryl McGill
RR 1, Box 736
Hunker, PA 15639
(412) 925-5150, Ext. 111

Virginia

(District No. 5: Virginia) Federal Region III
Ray McKinney
P.O. Box 560
Norton, VA 24273
(540) 679-0230

West Virginia

(District No. 3: Ohio, Maryland and counties of West Virginia north of and including Jackson, Roane, Calhoun, Braxton, Randolph, Pendleton) Federal Region III
Timothy J. Thompson
5012 Mountaineer Mall
Morgantown, WV 26505
(304) 291-4277

(District No. 4: counties of West Virginia south of and including Mason, Putnam, Kanawha, Clay, Nicholas, Webster, Greenbrier, Pocahontas) Federal Region III
Edwin P. Brady
100 Bluestone Road
Mt. Hope, WV 25880
(304) 877-3900, Ext. 125

METAL AND NONMETAL MINE SAFETY AND HEALTH DISTRICT OFFICES

Alabama

(Southeastern: Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee, Virgin Islands)
Martin Rosta
135 Gemini Circle, Suite 212
Birmingham, AL 35209
(205) 290-7294

California

(Western: Alaska, California, Hawaii, Idaho, Nevada, Oregon, Washington)
Lee Ratliff
2060 Peabody Rd, Suite 610
Vacaville, CA 95687-6696
(707) 447-9844

Colorado

(Rocky Mountain: Arizona, Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming, Kansas, Nebraska)
Irvin Hooker
P.O. Box 25367
Denver, CO 80225-0367
(303) 231-5465

Minnesota

(North Central: Illinois, Indiana, Iowa, Michigan, Minnesota, Ohio, Wisconsin)
Felix Quintana
515 West First Street, #333
Duluth, MN 55802-1302
(218) 720-5448

Pennsylvania

(Northeastern: Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Virginia, Vermont, West Virginia)
James R. Petrie
230 Executive Drive, Suite 2
Cranberry Township, PA 16066-6415
(724) 772-2333

Texas

(South Central: Arkansas, Louisiana, Missouri, New Mexico, Oklahoma, Texas)
Doyle D. Fink
1100 Commerce Street, Room 4C50
Dallas, TX 75242-0499
(214) 767-8401

TECHNICAL SUPPORT FIELD CENTERS

Pennsylvania

Edward J. Miller
Safety and Health Technology Center, Pittsburgh
Cochrans Mill Road
P.O. Box 18233

Pittsburgh, PA 15236
(412) 386-6902

West Virginia

Steven J. Luzik
Approval and Certification Center
R.R. 1, Box 251
Industrial Park Road
Triadelphia, WV 26059
(304) 547-2029

OFFICE OF THE SECRETARY, WOMEN'S BUREAU

REGIONAL OFFICES

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
Jacqueline Cooke, Regional Administrator
JFK Federal Building, Room E-270
One Congress Street
Boston, MA 02114
(617) 565-1988 or 1-800-518-3585
Use the same number for FTS

Region II

(New Jersey, New York, Puerto Rico, Virgin Islands)
Mary C. Murphree, Regional Administrator
201 Varick Street, Room 601
New York, NY 10014
(212) 337-2389
Use the same number for FTS

Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
Cornelia Moore, Regional Administrator
The Curtis Center, Suite 880 West
170 S. Independence Mall West
Philadelphia, PA 19104
(215) 861-4860 or 1-800-379-9042
Use the same number for FTS

Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Delores Crockett, Regional Administrator
61 Forsyth Street, S.W., Suite 7T95
Atlanta, GA 30367
(404) 562-2336 or 1-800-672-8356
Use the same number for FTS

Region IX

(Arizona, California, Hawaii, Nevada)
Jenny Erwin, Regional Administrator
71 Stevenson Street, Room 927
San Francisco, CA 94105
(415) 975-4750
Use the same number for FTS

Region V

(Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
Nancy Chen, Regional Administrator
230 South Dearborn Street, Room 1022
Chicago, IL 60604
(312) 353-6985 or 1-800-648-8183
Use the same number for FTS

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)

Beverly Lyle, Regional Administrator
Suite 735, Federal Building
525 Griffin Street, Suite 735
Dallas, TX 75202

(214) 767-6985 or 1-888-887-6794
Use the same number for FTS

Region VII

(Iowa, Kansas, Missouri, Nebraska)
Rose A. Kemp, Regional Administrator
Center City Square Building
1100 Main Street, Suite 845
Kansas City, MO 64106

(816) 426-6108 or 1-800-252-4706
Use the same number for FTS

Region VIII

(Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)

Frances Jefferson, Regional Administrator
Federal Office Building
1801 California Street, Suite 905
Denver, CO 80202-2614

(303) 844-1286 or 1-800-299-0886
Use the same number for FTS

Region X

(Alaska, Idaho, Oregon, Washington)
Karen Furia, Regional Administrator
1111 Third Avenue, Room 925
Seattle, WA 98101-3211

(206) 553-1534 or 1-888-296-7011
Use the same number for FTS

**OFFICE OF THE ASSISTANT
SECRETARY FOR VETERANS'
EMPLOYMENT AND TRAINING****AGENTS FOR VETERANS'
REEMPLOYMENT RIGHTS**

Contact any Veterans' Employment and Training
Service Office concerning veterans' reemployment
rights.

**REGIONAL ADMINISTRATORS AND
STATE DIRECTORS FOR VETERANS'
EMPLOYMENT AND TRAINING****Region I**

(Connecticut, Maine, Massachusetts, New
Hampshire, Rhode Island, Vermont)
RAVET David Houle
Veterans' Employment and Training Service
Department of Labor
JFK Federal Building, Room E-315
Government Center
Boston, MA 02203
(617) 565-2080

DVET William Mason
Veterans' Employment and Training Service
Department of Labor
CT Department of Labor Building
200 Folly Brook Boulevard
Wethersfield, CT 06109
(860) 263-6490

DVET Jon Guay

Veterans' Employment and Training Service
Department of Labor
5 Mollison Way
P.O. Box 3106
Lewiston, ME 04243
(207) 753-9090

DVET Paul L. Desmond
Veterans' Employment and Training Service
Department of Labor
19 Staniford Street
C.F. Hurley Building, 2nd Floor, ES Operations
Section
Boston, MA 02114
(617) 626-6699

DVET Richard Ducey
Veterans' Employment and Training Service
Department of Labor
143 North Main Street, Room 208
Concord, NH 03301
(603) 225-1424

DVET John Dunn
Veterans' Employment and Training Service
Department of Labor
57 Spruce Street
Westerly, RI 02891
(401) 528-5134

DVET Richard Gray
Veterans' Employment and Training Service
Department of Labor
P.O. Box 603
Post Office Building
87 State Street, Room 303
Montpelier, VT 05602
(802) 828-4441

Region II

(New Jersey, New York, Puerto Rico and Virgin
Islands)
Veterans' Employment and Training Service
Department of Labor
201 Varick Street, Room 766
New York, NY 10014
(212) 337-2211

DVET Alan E. Grohs
Veterans' Employment and Training Service
Department of Labor
Labor Building, 11th Floor, CN058
Trenton, NJ 08625
(609) 292-2930

DVET James H. Hartman
Veterans' Employment and Training Service
Department of Labor
Harriman State Campus
Building 12, Room 518
Albany, NY 12240-0099
(518) 457-7465

DVET Angel Mojica
Veterans' Employment and Training Service
Department of Labor
Puerto Rico Department of Labor and Human
Services
198 Calle Guayama, 20th Floor
Hato Rey, PR 00917
(787) 754-5391

Region III

(Delaware, District of Columbia, Maryland,
Pennsylvania, Virginia and West Virginia)
RAVET Joseph Hortiz, Jr.
Veterans' Employment and Training Service
Department of Labor
The Curtis Center, Suite 770 West
170 South Independence Mall West
Philadelphia, PA 19106
(215) 861-5390

DVET David White
Veterans' Employment and Training Service
Department of Labor
4425 N. Market Street, Room 420
Wilmington, DE 19809-0828
(302) 761-8138

DVET Stanley Williams
Veterans' Employment and Training Service
Department of Labor
500 C Street, N.W., Room 108
Washington, DC 20001
(202) 724-7005

DVET Gary Lobdell
Veterans' Employment and Training Service
Department of Labor
1100 North Eutaw Street, Room 210
Baltimore, MD 21201
(410) 767-2110

DVET Lawrence Babitts
Veterans' Employment and Training Service
Department of Labor
Labor and Industry Building, Room 1108
Seventh and Forster Streets
Harrisburg, PA 17121
(717) 787-5834

DVET Roberto Pineda
Veterans' Employment and Training Service
Department of Labor
703 E. Main street, Room 118
Richmond, VA 23219
(804) 786-7269

DVET Charles Stores, Jr.
Veterans' Employment and Training Service
Department of Labor
112 California Avenue
Capitol Complex - Room 204
Charleston, WV 25305-0112
(304) 558-4001

Region IV

(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina,
Tennessee)
RAVET William Bolls, Jr.
Veterans' Employment and Training Service
Department of Labor
Sam Nunn Atlanta Federal Center
61 Forsyth Street, S.W., Room 6-T85
Atlanta, GA 30303
(404) 562-2305

DVET Thomas Karrh
Veterans' Employment and Training Service
Department of Labor
649 Monroe Street, Room 543
Montgomery, AL 36131-6300
(334) 223-7677

Derek W. Taylor
Veterans' Employment and Training Service
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Bishop Henry Whipple Federal Building, Room

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Rocky Mountain Regional Office

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Rochester Building, Room 310
8390 N.W. 53rd Street
Miami, FL 33166-4668
(305) 526-7420

Ralph M. Barlow, EIC

Federal Communications Commission
2203 North Lois Avenue, Room 1215
Tampa, FL 33607-2356
(813) 348-1502

Fred L. Broce, EIC
Federal Communications Commission
Koger Center-Gwinnett
3575 Koger Boulevard, Room 320
Duluth, GA 30136-4958
(404) 279-4621

Jack Shedletsy, EIC
Federal Communications Commission
P.O. Box 1030
Waipahu, HI 96797-1030
(808) 677-3318
Use the same number for FTS

George M. Moffitt, EIC
Federal Communications Commission
Park Ridge Office Center, Room 306
1550 Northwest Highway
Park Ridge, IL 60068-1460
(708) 298-5401/02
Use the same number for FTS

James C. Hawkins
Federal Communications Commission
800 West Commerce Road, Room 505
New Orleans, LA 70123-3333
(504) 589-2095

Vincent Kajunski, EIC
Federal Communications Commission
1 Battery March Park
Quincy, MA 02169-7495
(617) 770-4023

James A. Bridgewater
Federal Communications Commission
24897 Hathaway Street
Farmington Hills, MI 48335-1552
(810) 471-5605
Use the same number for FTS
(810) 471-0052 (for recorded information)

Albert S. Jarratt, Jr., EIC
Federal Communications Commission
2025 Sloan Place, Suite 31
Maplewood, MN 55117-2058
(612) 774-5175

James A. Dailey, EIC
Federal Communications Commission
Brywood Office Tower, Room 320
8800 East 63rd Street
Kansas City, MO 64133-4895
(816) 353-3773
Use the same number for FTS

David Viglione, EIC
Federal Communications Commission
1307 Federal Building
111 West Huron Street
Buffalo, NY 14202-2398
(716) 551-4511 (recorded information)

Alexander J. Zimny, EIC
Federal Communications Commission

201 Varick Street
New York, NY 10014-4870
(212) 620-3437/8, 3435 (recorded information)
(212) 660-3437, 3436 (recorded information)

Charles W. Craig, EIC
Federal Communications Commission
1782 Federal Building
1220 S.W. Third Avenue
Portland, OR 97204-2898
(503) 326-4114/5

Donald E. Taylor, EIC
Federal Communications Commission
P.O. Box 85
Powder Springs, GA 30073-0085
(770) 943-5420
(770) 242-0165

Jack Shedletsy, EIC
Federal Communications Commission
P.O. Box 1030
Waipahu, HI 96797-1030
(808) 677-3318

Barry A. Bohac, EIC
Federal Communications Commission
P.O. Box 470
Belfast, ME 04915-0470
(207) 338-4088

John Hudak, EIC
Federal Communications Commission
P.O. Box 250
Columbia, MD 21045-9998
(301) 725-3474

Vacant
Federal Communications Commission
P.O. Box 89
Allegan, MI 49010-9437
(616) 673-2063

REGIONAL DIRECTORS

Serge Marti-Volkoff
Federal Communications Commission
3777 Depot Road, Room 420
Hayward, CA 94545-2756
(510) 732-9046

Russell D. Monic
Federal Communications Commission
Park Ridge Office Center, Room 306
1550 Northwest Highway
Park Ridge, IL 60068-1460
(708) 298-5405
Use the same number for FTS

Dennis P. Carlton
Federal Communications Commission
Brywood Office Tower, Room 320
8800 East 63rd Street
Kansas City, MO 64133-4895
(816) 353-9035
Use the same number for FTS

EQUIPMENT CONSTRUCTION AND INSTALLATION BRANCH

William L. Kilpatrick, Chief

Equipment Construction and Installation Branch
Federal Communications Commission
3600 Hiram-Lithia Spring Road, S.W.
P.O. Box 65
Powder Springs, GA 30073
(404) 943-6425

FOB MONITORING ASSISTANCE

Watch Officer

Signal Analysis Branch
1919 M Street, N.W., Room 749
Enforcement Division
Washington, DC 20554
(202) 418-1180
Use the same number for FTS

FIELD OPERATIONS BUREAU

Beverly Baker, Chief

Field Operations Bureau
Federal Communications Commission
1919 M Street, N.W., Room 734
Washington, DC 20554-0001
(202) 418-1100
Use the same number for FTS

FEDERAL MEDIATION AND CONCILIATION SERVICE

REGIONAL OFFICES

Midwestern Region

Mr. Jack Buettner, Regional Director
6161 Oak Tree Boulevard, Suite 100
Independence, OH 44131
(216) 522-4805
(216) 522-7541 FAX

Northeastern Region

Mr. Kenneth C. Kowalski, Regional Director
One Newark Center, 16th Floor
Newark, NJ 17102
(973) 645-2200
(973) 297-4860 FAX

Southern Region

Mr. Fred Reebals, Regional Director
401 W. Peachtree Street, N.W., Suite 472
Atlanta, GA 30308
(404) 331-3995
(404) 331-4017 FAX

Upper Midwestern Region

Mr. Scot Beckenbaugh, Regional Director
Broadway Place West, Suite 3950
1300 Godward Street
Minneapolis, MN 55413
(612) 370-3300
(612) 370-3104 FAX

Western Region

Mr. Doug Hammond, Regional Director
Westin Building, Suite 11000
2001 Sixth Avenue
Seattle, WA 98121
(206) 553-5800
(206) 553-6653 FAX

GENERAL SERVICES ADMINISTRATION

OFFICES OF PROPERTY DISPOSAL-PBS

Mid Atlantic Region (National Capital)
(District of Columbia and the DC, Metropolitan area: In Maryland, Montgomery and Prince George's Counties. In Virginia: Arlington, Fairfax, Loudoun, and Prince Williams)

7th & Dst. SW
Washington, DC 20407
(202) 260-6438

Region I

(Illinois, Indiana, Michigan, Wisconsin, Ohio, Minnesota, Maine, Vermont, New Hampshire, Rhode Island, Massachusetts, Connecticut, New York, New Jersey, Puerto Rico, and the Virgin Islands)
10 Causeway Street
Boston, MA 02222
(617) 565-5700

Region IV

(Maryland, Delaware, Virginia, Pennsylvania, West Virginia, North Carolina, Mississippi, South Carolina, Tennessee, Georgia, Alabama, Florida, Kentucky and the District of Columbia)
401 W. Peachtree Street
Atlanta, GA 30365-2550
(404) 331-5133

Region IX

(California, Nevada, Arizona, Hawaii, Guam, American Samoa, the Trust Territory of the Pacific Islands, Alaska, Idaho, Oregon, and Washington)
450 Golden Gate Avenue, 4th Floor East
San Francisco, CA 94102-3429
(415) 522-3429

Region VII

(Kansas, Missouri, Iowa, Nebraska, Arkansas, Louisiana, New Mexico, Oklahoma, Texas, Colorado, North Dakota, South Dakota, Montana, and Utah)
819 Taylor Street
Fort Worth, TX 76102
(817) 978-2331

BUSINESS SERVICE CENTERS

National Capital Region (NCR)

(District of Columbia and the DC, Metropolitan area: In Maryland, Montgomery and Prince George's Counties. In Virginia: Arlington, Fairfax, Loudoun, and Prince Williams Counties and the cities of Alexandria.
Business Service Center (WCAB)
7th & D Streets, SW, Room 1050
Washington, DC 20407
(202) 708-5804

Region I

(New England Region- Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
Business Service Center (1AB)
Thomas P. O'Neill, Jr. Federal Building
10 Causeway Street, Room 901

Boston, MA 02222
(617) 565-8100

Region 10

(Northwest Arctic Region - Alaska, Idaho, Oregon, Washington)
Office of Small Business Utilization(10AB)
400 15th Street, SW
Auburn, WA 98001
(253) 931-7956

Region 2

(Northeast and Caribbean Region - New Jersey, New York, Puerto Rico, U.S. Virgin Islands)
Program Support Division(2AR)
26 Federal Plaza, Room 18-130
New York, NY 10278
(212) 264-1234

Region 3

(Mid-Atlantic Region - Delaware, Maryland (except Montgomery and Prince Georges Counties), Pennsylvania, Virginia (except Arlington, Fairfax, Loudoun, and Prince William counties and the city of Alexandria), West Virginia, New Jersey (Princeton down)
Small Business Center (3ADS)
The Strawbridge Building
20 North Eighth Street, 9th Floor
Philadelphia, PA 19107
(215) 446-4918

Region 4

(Southeast Sunbelt Region - Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Office of Small Business Utilization (4E)
77 Forsyth Street, 6th Floor
Atlanta, GA 30303
(404) 331-5103

Region 5

(Great Lakes Region - Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
Small Business Utilization Center (5ADC)
230 South Dearborn Street, Room 3718, Mail Stop: 37-5
Chicago, IL 60604
(888) 353-9893(312) 886-9893 FAX

Region 6

(Heartland Region - Iowa, Kansas, Missouri, Nebraska)
Office of Business and Support Services (6ADB)
1500 East Bannister Road, Room 1161
Kansas City, MO 64131
(816) 926-7203

Region 7

(Greater Southwest Region - Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
Office of Enterprise Development (7ADB)
819 Taylor Street, Room 1E13A
Fort Worth, TX 76102
(817) 978-0800

Region 8

(Rocky Mountain Region - Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Business Service Center (8AB)

Denver Federal Center
Building 41, Room 210
P.O. Box 25006
Denver, CO 80225
(303) 236-7409

Region 9

(Pacific Rim Region - Northern California, Hawaii, Nevada (except Clark County)
Office of Small Business Utilization(9ADB)
San Francisco, CA 94102
(415) 522-2700

PROPERTY MANAGEMENT OFFICES (FSS)

Central Office

General Services Administration
Federal Supply Service
1901 South Bell Street, Room 804
Arlington, VA 22202
(703) 605-5610

Great Lakes Region

(Illinois, Indiana, Michigan, Minnesota, Ohio, and Wisconsin)
230 South Dearborn Street
MS 34-6, Room 3430
Chicago, IL 60604-1696
(312) 886-8996

Greater Southwest Region

(Arkansas, Louisiana, New Mexico, Oklahoma, and Texas)
819 Taylor Street, Room 3A05
Fort Worth, TX 76102-6105
(817) 978-3794

Heartland Region

(Iowa, Kansas, Missouri, and Nebraska)
1500 East Bannister Road, Room 1102
Kansas City, MO 64131
(816) 823-3700

Mid Atlantic (National Capital)

District of Columbia; the counties of Montgomery and Prince Georges in Maryland; the cities of Alexandria, Fairfax, and Falls Church and the counties of Arlington, Fairfax, Loudoun, and Prince William in Virginia
470 L'Enfant Plaza East, S.W., Suite 8100
Washington, DC 20407
(202) 619-8975

Mid-Atlantic Region

(Delaware, Maryland, Pennsylvania, Virginia and West Virginia (except those areas in the National Capital Area)
Strawbridge's Building
20 North 8th St., 10th Floor
Philadelphia, PA 19107-3191
(215) 446-5065

New England Region

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, and Vermont)
Thomas P. O'Neill, Jr. Federal Building
10 Causeway Street, 3rd Floor, Room 347
Boston, MA 02222
(617) 565-7319

Northeast and Caribbean Region

(New Jersey, New York, Puerto Rico, and U.S.
Virgin Islands)
26 Federal Plaza, Room 20-112
New York, NY 10278
(212) 264-3300

Northwest/Arctic Region

(Alaska, Idaho, Oregon, and Washington)
400 15th Street, S.W.
Auburn, WA 98001-6599
(253) 931-7934

Pacific Rim Region

(American Samoa, Arizona, California,
Commonwealth of the Northern Mariana Islands,
Guam, Hawaii, and Nevada)
450 Golden Gate Avenue
San Francisco, CA 94102-3434
(415) 522-3029

Rocky Mountain Region

(Colorado, Montana, North Dakota, South Dakota,
Utah, and Wyoming)
Denver Federal Center, Building 41
P.O. Box 25506
Denver, CO 80225-0506
(303) 236-7700

Southeast Sunbelt Region

(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina, and
Tennessee)
401 West Peachtree Street, Room 2600
Atlanta, GA 30365
(404) 331-0040

NATIONAL LABOR RELATIONS BOARD

REGIONAL, SUBREGIONAL, AND RESIDENT OFFICES

Alabama

Information Officer
Massey Building
1900 3rd Avenue North, 3rd Floor
Birmingham, AL 35203-3502
(205) 731-1492
Use the same number for FTS

Alaska

Information Officer
Anchorage Federal Office Building
605 West 4th Avenue
Suite 210
Anchorage, AK 99501-2252
(907) 271-5015 Use the same number for FTS

Arizona

Information Officer
Security Building
234 North Central Avenue, Suite 440
Phoenix, AZ 85004-2212
(602) 379-3361
Use the same number for FTS

Arkansas

Information Officer
425 West Capital Avenue
Suite 1615
Little Rock, AR 72201-3489
(501) 324-6311 Use the same number for FTS

California

Information Officer
888 Figueroa Street, 9th Floor
Los Angeles, CA 90017-5455
(213) 894-5200
Use the same number for FTS

Information Officer
11150 W. Olympic Boulevard, Suite 700
Los Angeles, CA 90064-1824
(310) 575-7351
Use the same number for FTS

Information Officer

Ronald V. Dellums Federal Building and
Courthouse
1301 Day Street
Room 300N
Oakland, CA 94612-5211
(510) 637-3300 Use the same number for FTS

Information Officer

555 West Beech Street
Suite 418
San Diego, CA 92101-2939
(619) 557-6184 Use the same number for FTS

Information Officer

901 Market Street
Suite 400
San Francisco, CA 94103-1735
(415) 356-5130 Use the same number for FTS

Colorado

Information Officer
600 17th Street, 7th Floor, North Tower
Denver, CO 80202-5433
(303) 844-3551
Use the same number for FTS

Connecticut

Information Officer
One Commercial Plaza, 21st Floor
Hartford, CT 06103-3503
(203) 240-3522
Use the same number for FTS

District of Columbia

Information Officer
1099 14th Street, N.W., Suite 5530
Washington, DC 20570-0001
(202) 208-3000
Use the same number for FTS

Florida

Information Officer
550 Water Street
Room 340
Jacksonville, FL 32202-5177
(904) 232-3768 Use the same number for FTS

Information Officer
Federal Building
51 Southwest 1st Avenue, Room 1320
Miami, FL 33130-1608
(305) 536-5391
Use the same number for FTS

Information Officer

201 E. Kennedy Boulevard
Suite 530
Tampa, FL 33602-5824
(813) 228-2641 Use the same number for FTS

Georgia

Information Officer
233 Peachtree Street, N.E., Suite 1000
Atlanta, GA 30303-1504
(404) 331-2896
Use the same number for FTS

Hawaii

Information Officer
300 Ala Moana Boulevard
Room 7-245
Honolulu, HI 96850-4980
(808) 541-2814

Information Officer

300 Ala Moana Boulevard
Room 7-245
Honolulu, HI 96850-4980
(808) 541-2814 Use the same number for FTS

Illinois

Information Officer
Rookery Building
209 South LaSalle Street, Suite 900
Chicago, IL 60604
(312) 353-7570/FAX: (312) 886-1341

Information Officer

300 Hamilton Boulevard
Suite 200
Peoria, IL 61602-1246
(309) 671-7080 Use the same number for FTS

Indiana

Information Officer
575 North Pennsylvania Street, Room 238
Indianapolis, IN 46204-1577
(317) 226-7382
Use the same number for FTS

Iowa

Information Officer
210 Walnut Street, Suite 439
Des Moines, IA 50309-2103
(515) 284-4391
Use the same number for FTS

Kansas

Information Officer
8600 Farley Street, Suite 100
Overland Park, KS 66212-4677
(913) 967-3000
Use the same number for FTS

Louisiana

Information Officer
1515 Poydras Street, Room 610
New Orleans, LA 70112-3723
(504) 589-6361
Use the same number for FTS

Maryland

Information Officer
103 South Gay Street, 8th Floor
Baltimore, MD 21202-4061
(410) 962-2822
Use the same number for FTS

Massachusetts

Information Officer
10 Causeway Street, 6th Floor
Boston, MA 02222-1072
(617) 565-6700
Use the same number for FTS

Michigan

Information Officer
477 Michigan Avenue, Room 300
Detroit, MI 48226-2569
(313) 226-3200
Use the same number for FTS

Information Officer

82 Ionia N.W., Room 330
Grand Rapids, MI 49503-3022
(616) 456-2679 Use the same number for FTS

Minnesota

Information Officer
330 South Second Avenue, Suite 790
Minneapolis, MN 55401-2221
(612) 348-1757
Use the same number for FTS

Missouri

Information Officer

611 North 10th Street, Suite 400
St. Louis, MO 63101-1214
(314) 425-4167
Use the same number for FTS

Nevada

Information Officer
600 Las Vegas Boulevard South, Suite 400
Las Vegas, NV 89101-6637
(702) 388-6416
Use the same number for FTS

New Jersey

Information Officer
20 Washington Place-5th Floor
Newark, NJ 07102-3110
(973) 645-2100
Use the same number for FTS

New Mexico

Information Officer

421 Gold Avenue SW
Suite 310
Albuquerque, NM 87102
(505) 248-5125 Use the same number for FTS

New York

Information Officer
Clinton Avenue at N. Pearl Street, Room 342
Albany, NY 12207-2350
(518) 431-4156
Use the same number for FTS

Information Officer
Two MetroTech Center
100 Myrtle Avenue
5th Floor
Brooklyn, NY 11201-4201
(718) 330-7713 Use the same number for FTS

Information Officer

130 South Elmwood Avenue
6th Floor
Buffalo, NY 14202-2465
(716) 551-4931/FAX: (716) 551-4972

Information Officer

26 Federal Plaza
Room 3614
New York, NY 10278-0104
(212) 264-0300/FAX (202) 264-2450

North Carolina

Information Officer
4035 University Parkway, Suite 200
Winston-Salem, NC 27106-3325
(919) 631-5201
Use the same number for FTS

Ohio

Information Officer
550 Main Street, Room 3003
Cincinnati, OH 45202-3271
(513) 684-3686
Use the same number for FTS

Information Officer
1240 East 9th Street, Room 1695
Cleveland, OH 44199-2086

(216) 522-3715
Use the same number for FTS

Oklahoma

Information Officer
224 South Boulder Avenue, Room 318
Tulsa, OK 74103-4214
(918) 581-7951
Use the same number for FTS

Oregon

Information Officer
601 S.W. Second Avenue, Suite 1910
Portland, OR 97204
(503) 326-3085
Use the same number for FTS

Pennsylvania

Information Officer
615 Chestnut Street, 7th Floor
Philadelphia, PA 19016-4404
(215) 597-7601
Use the same number for FTS

Information Officer
Two Chatham Center
112 Washington Place, Suite 510
Pittsburgh, PA 152219
(412) 395-4400/FAX: (412) 395-5986

Puerto Rico

Information Officer
525 F.D. Roosevelt Avenue, Suite 1002
San Juan, PR 00918-1002
(809) 766-5347
Use the same number for FTS

Tennessee

Information Officer
Brinkly Plaza Building
80 Monroe Avenue, Suite 350
Memphis, TN 38103-2481
(901) 544-0018/FAX: (901) 544-0008

Information Officer

810 Broadway
Suite 320
Nashville, TN 37203-3859
(615) 736-5921 Use the same number for FTS

Texas

Information Officer
700 East San Antonio Avenue, Suite C403
El Paso, TX 79901-7020
(915) 534-6434
Use the same number for FTS

Information Officer

819 Taylor Street
Room 8A24
Fort Worth, TX 76102-6178
(817) 978-2921 Use the same number for FTS

Information Officer

Mickey Leland Federal Building
1919 Smith Street
Suite 1545
Houston, TX 77002-2649
(713) 209-4888/FAX (713) 209-4890

Information Officer
Travis Park Plaza Building
711 Navarro Street, Suite 705
San Antonio, TX 78205-1711
(210) 472-6140
Use the same number for FTS

Washington

Information Officer
915 2nd Avenue, Room 2948
Seattle, WA 98174-1078
(206) 220-6300
Use the same number for FTS

Wisconsin

Information Officer
310 West Wisconsin Avenue, Suite 700
Milwaukee, WI 53203-2211
(414) 297-3861
Use the same number for FTS

SMALL BUSINESS ADMINISTRATION

REGIONAL OFFICES

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
10 Causeway Street, Suite 812
Boston, MA 02222
(617) 565-8415 Use the same number for FTS

Region II

(New Jersey, New York, Puerto Rico, Virgin Islands)
26 Federal Plaza, Suite 3108
New York, NY 10278
(212) 264-1450
Use the same number for FTS

Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
900 Market Street, 5th Floor
Philadelphia, PA 19107
(215) 580-2SBA
Use the same number for FTS

Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
1720 Peachtree Road, N.W., Suite 496
Atlanta, GA 30309-2482
(404) 347-4999
Use the same number for FTS

Region IX

(Arizona, California, Hawaii, Nevada, Pacific Islands)
330 N Brand Blvd., Suite 1200
Glendale, CA 91203
(818) 552-3436 Use the same number for FTS

Region V

(Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
Federal Building
500 West Madison Street, Suite 1250
Chicago, IL 60661-2511
(312) 353-4493 Use the same number for FTS

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
4300 Amon Carter Boulevard, Suite 108
Ft. Worth, TX 76155
(817) 684-5581 Use the same number for FTS

Region VII

(Iowa, Kansas, Missouri, Nebraska)
323 West 8th Street, Suite 307
Kansas City, MO 64105-1500
(816) 374-6380
Use the same number for FTS

Region VIII

(Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
721 19th Street, Suite 400
Denver, CO 80202-2599
(303) 844-0500
Use the same number for FTS

Region X

(Alaska, Idaho, Oregon, Washington)
1200 6th Avenue, Suite 1805
Seattle, WA 98101-1128
(206) 553-5676
Use the same number for FTS

DISTRICT OFFICES

Region I

Massachusetts District Office
10 Causeway Street, Room 265
Boston, MA 02222-1093
(617) 565-5561 Use the same number for FTS

Springfield Branch Office

One Federal Street
Building 101-R
Springfield, MA 01105
(413) 785-0484

Maine District Office

Federal Building
68 Sewall Street, Room 512
Augusta, ME 04330
(207) 622-8274 x 386 Use the same number for FTS

New Hampshire District Office

55 Pleasant Street, Suite 3101
Concord, NH 03301-1257
(603) 225-1400 Use the same number for FTS

Connecticut District Office

Federal Building
330 Main Street, 2nd Floor
Hartford, CT 06106
(203) 240-4700
Use the same number for FTS

Vermont District Office

Federal Building
87 State Street, Room 205
Montpelier, VT 05602
(802) 828-4422
Use the same number for FTS

Rhode Island District Office

380 Westminster Mall, 5th Floor
Providence, RI 02903
(401) 528-4561
Use the same number for FTS

Region II

Puerto Rico and USVI District Office
Federico Degetau Federal Building
252 Ponce DeLeon Boulevard, Suite 201
San Juan, PR 00918
(787) 766-5002 Use the same number for FTS

Elmira Branch Office

333 E. Water Street, 4th Floor
Elmira, NY 14901
(607) 734-1571

Rochester Branch Office

100 State Street, Suite 410
Rochester, NY 14614
(716) 263-6700

Melville Branch Office

35 Pinelawn Road, Suite 207W
Melville, NY 11747
(516) 454-0750

New York District Office

26 Federal Plaza, Room 31-00
New York, NY 10278
(212) 264-1318 Use the same number for FTS

Buffalo District Office

Federal Building
111 West Huron Street, Room 1311
Buffalo, NY 14202
(716) 551-4305 Use the same number for FTS

Syracuse District Office

401 South Salina Street, 5th Floor
Syracuse, NY 13202
(315) 471-9393
Use the same number for FTS

New Jersey District Office

Two Gateway Center, 15th Floor
Newark, NJ 07102
(973) 645-3680 Use the same number for FTS

Region III

West Virginia District Office
320 West Pike Street
Clarksburg, WV 26301
(304) 623-5631 Use the same number for FTS

Harrisburgh Branch Office

100 Chestnut Street, Suite 107
Harrisburg, PA 17101
(717) 782-3840

Wilkes-Barre Branch Office

7 North Wilkes-Barre Boulevard
Wilkes-Barre, PA 18702
(570) 826-6497

Delaware District Office

1007 North Orange Street, Suite 1120
Wilmington, DE 19801
(302) 573-6294

Philadelphia District Office

900 Market Street, 5th Floor
Philadelphia, PA 19406
(215) 580-2700 Use the same number for FTS

Pittsburgh District Office

411 Seventh Avenue, Suite 1450
Pittsburgh, PA 15219
(412) 395-6560 Use the same number for FTS

Richmond District Office

400 North 8th Street, Suite 1150
Richmond, VA 23240-0126
(804) 771-2400
Use the same number for FTS

Metropolitan Area District Office

740 15th Street, 3rd Floor
Washington, DC 20005
(202) 272-0340 Use the same number for FTS

Baltimore District Office

10 South Howard Street, Suite 6220
Baltimore, MD 21201-2565
(410) 962-6195 Use the same number for FTS

Region IV

Alabama District Office
801 Tom Martin Drive, Suite 201
Birmingham, AL 35203-2398
(205) 290-7101 Use the same number for FTS

North Carolina District Office
6302 Fairview Road
Suite 300
Charlotte, NC 28210-2227
(704) 344-6563 Use the same number for FTS

South Carolina District Office
1835 Assembly Street, Room 358
Columbia, SC 29201
(803) 765-5377
Use the same number for FTS

North Florida District Office
7825 Baymeadows Way, Suite 100-B
Jacksonville, FL 32256-7504
(904) 443-1900
Use the same number for FTS

South Florida District Office
100 S. Biscayne Boulevard, 7th Floor
Miami, FL 33131
(305) 536-5521
Use the same number for FTS

Georgia District Office
233 Peachtree Street, NE
Atlanta, GA 30303
(404) 331-0100 Use the same number for FTS

Kentucky District Office
Federal Building
600 Martin Luther King, Jr. Place, Room 188
Louisville, KY 40202
(502) 582-5971
Use the same number for FTS

Mississippi District Office
210 E Capital Street, 210E
Jackson, MS 39201
(601) 965-4378 Use the same number for FTS

Gulfport Branch Office
2510 14th Street, Suite 101
Gulfport, MS 39501
(228) 863-4449

Tennessee District Office
50 Vantage Way, Suite 201
Nashville, TN 37228-1500
(615) 736-5850 Use the same number for FTS

Region IX

Hawaii District Office
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Hagatna, GU 96910-2003
(671) 472-7419 Use the same number for FTS

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330 North Brand Boulevard, Suite 1200
Glendale, CA 91203-2304
(818) 552-3210 Use the same number for FTS

Fresno District Office
2719 North Air Fresno Drive, Suite 200
Fresno, CA 93727-1547
(559) 487-5791
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San Francisco District Office
455 Market Street, 6th Floor
San Francisco, CA 94105
(415) 744-6801 Use the same number for FTS

Arizona District Office
2828 North Central Avenue, Suite 800
Phoenix, AZ 85004-1093
(602) 745-7200
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Sacramento District Office
650 Capital Mall, Suite 7-5000
Sacramento, CA 95814
(916) 930-3700 Use the same number for FTS

Santa Ana District Office
200 West Santa Ana Boulevard, Suite 700
Santa Ana, CA 92701
(714) 550-7420
Use the same number for FTS

San Diego District Office
550 West "C" Street, Suite 550
San Diego, CA 92101-3540
(619) 557-7250
Use the same number for FTS

Nevada District Office
400 South Fourth Street, Suite 250
Las Vegas, NV 89101
(702) 388-6611 Use the same number for FTS

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525 Vine Street, Suite 870
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(513) 684-2814

Cleveland District Office
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(217) 492-4416

Indiana District Office
429 North Pennsylvania Street, Suite 100

Indianapolis, IN 46204-1873
(317) 226-7272
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Michigan District Office
477 Michigan Avenue, Room 515
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(313) 226-7204 Use the same number for FTS

Marquette Branch Office
501 South Front Street
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(906) 225-1108

Minnesota District Office
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(612) 370-2306 Use the same number for FTS

Wisconsin District-Madison Office
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(608) 264-5263
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Wisconsin District-Milwaukee Office
310 W. Wisconsin Avenue, Suite 400
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(414) 297-3941

Region VI

New Mexico District Office
625 Silver Avenue, S.W., Suite 320
Albuquerque, NM 87102
(505) 346-7909
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Corpus Christi Branch Office
3649 Leopard Street
Suite 411
Corpus Christi, TX 78408
(361) 879-0017

Houston District Office
8701 S. Gessner Drive
Suite 1200
Houston, TX 77074
(713) 773-6500 Use the same number for FTS

Arkansas District Office
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Little Rock, AR 72202
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Lubbock District Office
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Lubbock, TX 79401-2693
(806) 472-7462 Use the same number for FTS

Lower Rio Grande Valley District Office
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El Paso District Office
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El Paso, TX 79935
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Region VII

Des Moines District Office

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(316) 269-6566 Use the same number for FTS

Region VIII

Wyoming District Office
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Casper, WY 82602-2839
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North Dakota District Office
Federal Building
657 2nd Avenue, North, Room 219

Fargo, ND 58108-3086
(701) 239-5131
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Montana District Office
301 South Park, Room 334
Helena, MT 59626
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Utah District Office
Federal Building
125 South State Street, Room 2231
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South Dakota District Office
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Colorado District Office
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(303) 844-2607
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Boise District Office
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Portland District Office
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Seattle District Office
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Center West (Covers Regions VI - X)
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**Disaster Assistance Customer Service Center
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(801) 524-3599 FAX

Vermont (ME and NH)
Mal Coles, Director
10 Causeway Street, Room 473
Boston, MA 02222-1038
(617) 565-7001
(617) 565-7011 FAX

Virginia (and DC)
Thomas Harmon, Director
400 North 8th Street, Suite 446
P.O. Box 10066
Richmond, VA 23240-1832
(804) 771-2197
(804) 771-2157 FAX

Washington
John Miller, Director
Jackson Federal Building, Suite 3190

915 Second Avenue
Seattle, WA 98174-1103
(206) 220-7745
(206) 553-4415 FAX

West Virginia
Judith Russell, Director
10 Hale Street, Suite 203
Charleston, WV 25301-1409
(304) 347-5246
(304) 347-5464 FAX

Wisconsin
Linda Sunde, Director
Henry Reuss Federal Plaza
310 W. Wisconsin Avenue, Room 1240
Milwaukee, WI 53203-2211
(414) 297-1118
(414) 297-1863 FAX

Wyoming
Patrick Gallizzi, Director
Federal Building, Room 1110
2120 Capitol Avenue
Cheyenne, WY 82001-3649
(307) 772-2385
(307) 772-2389 FAX

CORPORATION SERVICE CENTERS

Altantic Cluster
(CT,DE,MA,MD,ME,NH,NJ,NY,PA,PR,RI,VT)
Rocco Gaudio, Director
801 Arch Street, Suite 103
Philadelphia, PA 19107-2416
(215) 597-9972
(215) 597-4933 FAX

North Central Cluster
(IA,IL,IN,MI,MN,NE,ND,OH,SD,WI)
Mary Lubertozzi, Director
77 West Jackson Boulevard, Suite 442
Chicago, IL 60604-3511
(312) 353-7705
(312) 353-5343 FAX

Pacific Cluster
(AK,CA,HI,ID,MT,NV,OR,UT,WA,WY)
Lee Spencer, Director
P.O. Box 29996
Presidio of San Francisco, CA 94129-0996
(415) 561-5960
(415) 561-5970 FAX

Southern Cluster
(AL,DC,FL,GA,KY,MS,NC,SC,TN,VA,WV)
Harold Williams, Director
60 Forsyth Street, SW, Suite 3M40
Atlanta, GA 30323-2301
(404) 562-4055
(404) 562-4071 FAX

Southeast Cluster
(AR,AZ,CO,KS,LS,MO,NM,OK,TX)
James Parker, Director
1999 Bryan Street, Room 2050
Dallas, TX 75201
(214) 880-7050
(214) 880-7074 FAX

SOCIAL SECURITY ADMINISTRATION

SOCIAL SECURITY OFFICES

Social security offices are located throughout cities and towns in the United States. There are approximately 1,296 district and branch offices now open. To find the address of the office near you, consult the telephone directory under Social Security Administration or U.S. Government, or ask at your post office.

DEPARTMENT OF HOMELAND SECURITY

REGIONAL OFFICES

(816) 283-7061

Region I

(Boston)
Kenneth L. Horak
Acting Regional Director
99 High Street
6th Floor
Boston, MA 02110
(617) 223-9540

Region II

(New York)
Joseph Picciano, Acting Regional Director
26 Federal Plaza, Suite 1307
New York, NY 10278-0002
(212) 680-3600

Region III

(Philadelphia)
Patricia G. Arcuri,
Acting Regional Director
FEMA Region III
615 Chestnut Street
Philadelphia, PA 19106
(215) 931-5608

Region IV

(Atlanta)
Kenneth O. Burris, Jr.,
Regional Director,
FEMA Region IV
3003 Chamblee-Tucker Rd.
Atlanta, GA 30341
(770) 220-5200

Region IX

(Oakland)
Jeff Griffin
Regional Director,
FEMA Region IX
1111 Broadway, Suite 1200
Oakland, CA 94607
(510) 627-7100

Region V

(Chicago)
Edward G. Buikema,
Regional Director
FEMA Region V
536 S. Clark Street
Chicago, IL 60605
(312) 408-5500

Region VI

(Dallas)
Ron Castleman
Regional Director
Federal Regional Center
800 North Loop 288
Denton, TX 76201-3698
(940) 898-5399

Region VII

(Kansas City)
Richard Hainje,
Regional Director,
FEMA Region VII
2323 Grand Boulevard, Suite 900
Kansas City, MO 64108-2670

Region VIII

(Denver)
David Maurstad,
Regional Director,
FEMA Region VIII
Denver Federal Center, Building 710, Box 25267
Denver, CO 80225-0267
(303) 235-4800

Region X

(Seattle)
John Pennington,
Regional Director,
FEMA Region X
Federal Regional Center
130-228th Street, S.W.
Bothell, WA 98021-9796
(425) 487-4600

ELECTIONS ASSISTANCE COMMISSION

DC
1225 New York Avenue, N.W.
Suite 1100
Washington, DC 20005
202-566-3100

Appendix V: Sources of Additional Information

Additional information concerning Federal programs and services is available from the sources listed below. The information in this Appendix is in addition to that supplied in Appendix IV (Regional and Local Office Addresses) however; they are not the offices to which an applicant applies for an assistance program. If a potential applicant or information seeker is not certain which agency to contact, there are two types of organizations set up to help: The Federal Information Center Program and the Federal Executive Boards.

FEDERAL CITIZEN INFORMATION CENTER PROGRAM

The Federal Citizen Information Center Program (FCIC) is a focal point for information about the Federal government. The FCIC assists people who have questions about Federal agencies, services, and programs, but do not know where to turn for an answer. FCIC information specialists either answer an inquirer's question directly, or perform the necessary research to locate and refer the inquirer to the expert best able to help. Telephone users may call the FCIC toll-free on (800) 688-9889 workdays between 9 a.m. and 8 p.m., Eastern Time. TTY users may call toll-free to the FCIC from anywhere in the U.S. by dialing (800) 326-2996.

FEDERAL EXECUTIVE BOARDS

Federal Executive Boards (FEBs) are composed of heads of Federal field offices in the metropolitan area. The FEBs were established to improve internal Federal management practices, provide a central focus for Federal participation in civic affairs in major metropolitan centers of Federal activity, serve as a means for disseminating information within the Federal government and for promoting discussion of Federal policies and activities of importance to all Federal executives in the field. The FEBs carry out their functions under the supervision of the Office of Personnel Management (OPM). Federal Executive Associations, and Councils, have been locally organized in over 80 other metropolitan areas to perform functions similar to the Federal Executive Boards but on a lesser scale of organization and activity. For further information, contact the Assistant for Field Operations, Office of Personnel Management, Room 5524, 1900 E Street, NW., Washington, DC 20415-0001. Telephone: (202) 606-1001.

Albuquerque-Santa Fe, NM
(505) 262-6113

Atlanta, GA
(404) 331-4400

Baltimore, MD
(410) 962-4047

Boston, MA
(617) 565-6769

Buffalo, NY
(716) 551-5655

Chicago, IL
(312) 353-6790

Cincinnati, OH
(513) 684-2102

Cleveland, OH
(216) 433-9460

Dallas-Ft. Worth, TX
(214) 767-0767

Denver, CO
(303) 676-7009

Detroit, MI
(313) 226-3534

Honolulu, HI
(808) 541-2637

Houston, TX
(713) 209-4524

Kansas City, MO
(913) 551-7100

Los Angeles, CA
(562) 980-3445

Miami, FL
(305) 536-4344

New Orleans, LA
(504) 862-2066

New York, NY
(212) 264-1890

Newark, NJ
(973) 645-6217

Oklahoma City, OK
(405) 231-4167

Philadelphia, PA
(215) 597-2766

Pittsburgh, PA
(412) 395-6607

Portland, OR
(503) 326-2060

St. Louis, MO
(314) 539-6312

San Antonio, TX
(210) 229-4520

San Francisco, CA
(510) 637-1103

Seattle, WA
(206) 220-6171

Twin Cities (Minneapolis-St. Paul), MN
(612) 725-3687

U.S. GOVERNMENT BOOKSTORES

The Catalog of Federal Domestic Assistance may be purchased at any of the following 24 U.S. Government Bookstores. The price is \$75.00 per domestic subscription and \$108.75 if mailed to an address outside of the United States. An order for the Catalog must be accompanied by a check or money order made payable to the Superintendent of Documents. Payments may also be made using VISA, MasterCard, and Superintendent of Documents deposit account orders. All stores (except in Kansas City) are open Monday through Friday. The Kansas City location is open 7 days a week. (See Subscription Order Form in the back of the Catalog.) Contact the U.S. Government Bookstore nearest to you for more information.

ATLANTA, GA

First Union Plaza
999 Peachtree Street, NE
Suite 120
Atlanta, GA 30309-3964
(404) 347-1900
FAX (404) 347-1897

BIRMINGHAM, AL

O'Neill Building
2021 Third Avenue North
Birmingham, AL 35203
(205) 731-1056
FAX (205) 731-3444

BOSTON, MA

Thomas P. O'Neill Building
10 Causeway Street
Room 169
Boston, MA 02222
(617) 720-4180
FAX (617) 720-5753

CHICAGO, IL

One Congress Center
401 South State Street
Suite 124
Chicago, IL 60605
(312) 353-5133
FAX (312) 353-1590

CLEVELAND, OH

1240 E. 9th Street
Room 1653, Federal Building
Cleveland, OH 44199
(216) 522-4922
FAX (216) 522-4714

COLUMBUS, OH

200 N. High Street
Room 207, Federal Building
Columbus, OH 43215
(614) 469-6956
FAX (614) 469-5374

DALLAS, TX

1100 Commerce Street
Room 1C50, Federal Building
Dallas, TX 75242
(214) 767-0076
FAX (214) 767-3239

DENVER, CO

1660 Wynkoop Street

Suite 130

Denver, CO 80202
(303) 844-3964
FAX (303) 844-4000

DETROIT, MI

477 Michigan Avenue
Suite 160, Federal Building
Detroit, MI 48226
(313) 226-7816
FAX (313) 226-4698

HOUSTON, TX

Texas Crude Building
801 Travis Street
Suite 120
Houston, TX 77002
(713) 228-1187
FAX (713) 228-1186

JACKSONVILLE, FL

100 West Bay Street
Suite 100
Jacksonville, FL 32202
(904) 353-0569
FAX (904) 353-1280

KANSAS CITY, MO

120 Bannister Mall
5600 E. Bannister Road
Kansas City, MO 64137
(816) 765-2256
FAX (816) 767-8233

LAUREL, MD

Warehouse Sales Outlet
8660 Cherry Lane
Laurel, MD 20707
(301) 953-7974 or
(301) 792-0262
FAX (301) 498-9109

LOS ANGELES, CA

ARCO Plaza, C-Level
505 S. Flower Street
Los Angeles, CA 90071
(213) 239-9844
FAX (213) 239-9848

MILWAUKEE, WI

310 W. Wisconsin Avenue
Suite 150, Reuss Federal Building
Milwaukee, WI 53203
(414) 297-1304
FAX (414) 297-1300

NEW YORK, NY

26 Federal Plaza
Room 1-120, Federal Building
New York, NY 10278
(212) 264-3825
FAX (212) 264-9318

PHILADELPHIA, PA

Robert Morris Building
100 North 17th Street
Philadelphia, PA 19103
(215) 636-1900
FAX (215) 636-1903

PITTSBURGH, PA
1000 Liberty Avenue
Room 118, Federal Building
Pittsburgh, PA 15222
(412) 395-5021
FAX (412) 395-4547

PORTLAND, OR
1305 SW First Avenue
Portland, OR 97201-5801
(503) 221-6217
FAX (503) 225-0563

PUEBLO, CO
Norwest Bank Building
201 West 8th Street
Pueblo, CO 81003
(719) 544-3142
FAX (719) 544-6719

SAN FRANCISCO, CA
303 2nd Street
Marathon Plaza, Room 141-S
San Francisco, CA 94107
(415) 512-2270
FAX (415) 512-2276

SEATTLE, WA
915 Second Avenue
Room 194, Federal Building
Seattle, WA 98174
(206) 553-4270
FAX (206) 553-6717

WASHINGTON, DC
U.S. Government Printing Office
710 North Capitol Street, NW
Washington, DC 20401
(202) 512-0132
FAX (202) 512-1355

510 H Street, NW
Washington, DC 20005
(202) 653-5075
FAX (202) 376-5055

REFERENCE SOURCES

The Budget of the United States Government

The Budget of the United States Government sets forth the President's comprehensive financial plan and indicates the President's priorities for the Federal government.

Information on programs by function, agency, and account, and detailed budget estimates is located in the Budget. Federal programs listed in the Catalog may be identified by the 11-digit budget account identification code listed in the Budget. These codes also appear in the Financial Information section of each Catalog program. A complete explanation of the identification code is provided in Appendix III of the Catalog (the Budget Functional Code Appendix). The Budget may be purchased from the Government Printing Office in the Washington, DC area and the U.S. Government bookstores listed in this Appendix.

The Code of Federal Regulations

The Code of Federal Regulations (CFR) is a codification of the general and permanent rules published in the Federal

Register by executive departments and agencies of the Federal government. It includes all statutory regulations of Federal agencies, and is divided into 50 titles, which represent broad subject areas of Federal regulations (e.g., Housing and Urban Development, Public Health, Public Welfare, or Transportation). Each title is divided into chapters, which usually bear the name of the issuing agency. Each chapter is further subdivided into parts covering specific regulatory areas. The number preceding the CFR citation is the title or volume number. The CFR is kept up-to-date by the individual issues of the Federal Register; these two publications must be used together to determine the latest version of any given rule. Individual volumes of the CFR are revised at least once each calendar year and issued on a staggered quarterly basis. The CFR thus serves as a convenient reference for the citizen desiring a comprehensive source for general and permanent Federal regulations.

A General index to the entire Code of Federal Regulations, the CFR Index, is revised as of July 1 each year. The numerical Finding Aids, a cumulative list of CFR Sections affected is published monthly and is cumulated for 12 months, keyed to the revision dates of the various CFR volumes.

The Code of Federal Regulations is available in Federal depository libraries and most major libraries or Federal bookstores. Individual copies may be purchased from the Government Printing Office.

The Federal Register

The Federal Register, published daily, is the medium for making available to the public Federal agency regulations and other legal documents of the Executive Branch. These documents cover a wide range of government activities. Here, government requirements are published which involve environmental protection, consumer product safety, food and drug standards, occupational health and safety, and many more areas of concern to the public.

The Federal Register includes proposed changes in regulated areas. Each proposed change published carries an invitation for any citizen or group to participate in the consideration of the proposed regulation through the submission of written data, views, or arguments, and sometimes by oral presentations. Through the publication of proposed rules and notices of public meetings, citizens are given the opportunity to be informed about and participate in the workings of their government.

The Federal Register is also a vehicle for the announcement of newly authorized and/or funded programs, notifications of funding availability, (NOFAs), program application deadlines, and other important program information.

The Federal Register Index is based on a consolidation of entries appearing in the issues of the Federal Register together with broad references. It is published monthly and is cumulative for 12 months. The Federal Register and the Federal Register Index are available in Federal depository libraries and most major libraries or Federal bookstores. Additional Federal Register resources include, the Statistical Abstract of the United States; Weekly Compilation of Presidential Documents; The Federal Register: What It Is and How To Use It. All Federal Register publications may be purchased from: Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402.

Information Resources for Legislative Research and Inquiry

Senate Document Room: (202) 224-7701
House Document Room: (202) 225-3456
Government Printing Office Order Desk: (202) 512-1800

Federal Register Inquiry Desk: (202) 523-5215

The following World Wide Web Sites provide valuable additional information:

Senate: <http://www.senate.gov/>

House of Representatives: <http://www.house.gov/>

Library of Congress: <http://thomas.loc.gov/>

Government Printing Office: <http://www.gpo.gov/>

Office of Management and Budget:

<http://www.whitehouse.gov/omb/>

Additional resources available from GPO are: House and Senate Calendars (Monday edition only), How Our Laws Are Made, Digest of Public General Bills and Resolutions, United States Government Manual, Monthly Catalog of United States Government Publications. Stock numbers and prices of these publications can be obtained by calling or writing the GPO. To order or inquire about congressional documents from GPO, call: (202) 512-2465. To order or inquire about any other government publications, call the Order Desk: (202) 512-1800.

Appendix VI: Developing and Writing Grant Proposals

PART ONE: DEVELOPING A GRANT PROPOSAL

Preparation

A successful grant proposal is one that is well-prepared, thoughtfully planned, and concisely packaged. The potential applicant should become familiar with all of the pertinent program criteria related to the Catalog program from which assistance is sought. Refer to the information contact person listed in the Catalog program description before developing a proposal to obtain information such as whether funding is available, when applicable deadlines occur, and the process used by the grantor agency for accepting applications. Applicants should remember that the basic requirements, application forms, information and procedures vary with the Federal agency making the grant award.

Individuals without prior grant proposal writing experience may find it useful to attend a grantsmanship workshop. A workshop can amplify the basic information presented here. Applicants interested in additional readings on grantsmanship and proposal development should consult the references listed at the end of this section and explore other library resources.

INITIAL PROPOSAL DEVELOPMENT

Developing Ideas for the Proposal

When developing an idea for a proposal it is important to determine if the idea has been considered in the applicant's locality or State. A careful check should be made with legislators and area government agencies and related public and private agencies which may currently have grant awards or contracts to do similar work. If a similar program already exists, the applicant may need to reconsider submitting the proposed project, particularly if duplication of effort is perceived. If significant differences or improvements in the proposed project's goals can be clearly established, it may be worthwhile to pursue Federal assistance.

Community Support

Community support for most proposals is essential. Once proposal summary is developed, look for individuals or groups representing academic, political, professional, and lay organizations which may be willing to support the proposal in writing. The type and caliber of community support is critical in the initial and subsequent review phases. Numerous letters of support can be persuasive to a grantor agency. Do not overlook support from local government agencies and public officials. Letters of endorsement detailing exact areas of project sanction and commitment are often requested as part of a proposal to a Federal agency. Several months may be required to develop letters of endorsement since something of value (e.g., buildings, staff, services) is sometimes negotiated between the parties involved.

Many agencies require, in writing, affiliation agreements (a mutual agreement to share services between agencies) and building space commitments prior to either grant approval or award. A useful method of generating community support may be to hold meetings with the top decision makers in the community who would be concerned with the subject matter of the proposal. The forum for discussion may include a query into the merits of the proposal, development of a contract of support for the proposal, to generate data in support of the proposal, or development of a strategy to create proposal support from a large number of community groups.

Identification of a Funding Resource

A review of the Objectives and Uses and Use Restrictions sections of the Catalog program description can point out which programs might provide funding for an idea. Do not overlook the related programs as potential resources. Both the applicant and the grantor agency should have the same interests, intentions, and needs if a proposal is to be considered an acceptable candidate for funding.

Once a potential grantor agency is identified, call the contact telephone number identified in Information Contacts and ask for a grant application kit. Later, get to know some of the grantor agency personnel. Ask for suggestions, criticisms, and advice about the proposed project. In many cases, the more agency personnel know about the proposal, the better the chance of support and of an eventual favorable decision. Sometimes it is useful to send the proposal summary to a specific agency official in a separate cover letter, and ask for review and comment at the earliest possible convenience. Always check with the Federal agency to determine its preference if this approach is under consideration. If the review is unfavorable and differences cannot be resolved, ask the examining agency (official) to suggest another department or agency which may be interested in the proposal. A personal visit to the agency's regional office or headquarters is also important. A visit not only establishes face-to-face contact, but also may bring out some essential details about the proposal or help secure literature and references from the agency's library.

Federal agencies are required to report funding information as funds are approved, increased or decreased among projects within a given State depending on the type of required reporting. Also, consider reviewing the Federal Budget for the current and budget fiscal years to determine proposed dollar amounts for particular budget functions.

The applicant should carefully study the eligibility requirements for each Federal program under consideration (see the Applicant Eligibility section of the Catalog program description). The applicant may learn that he or she is required to provide services otherwise unintended such as a service to particular client groups, or involvement of specific institutions. It may necessitate the modification of the original concept in order for the project to be eligible for funding. Questions about eligibility should be discussed with the appropriate program officer.

Deadlines for submitting applications are often not negotiable. They are usually associated with strict timetables for agency review. Some programs have more than one application deadline during the fiscal year. Applicants should plan proposal development around the established deadlines.

Getting Organized to Write the Proposal

Throughout the proposal writing stage keep a notebook handy to write down ideas. Periodically, try to connect ideas by reviewing the notebook. Never throw away written ideas during the grant writing stage. Maintain a file labeled "Ideas" or by some other convenient title and review the ideas from time to time. The file should be easily accessible. The gathering of documents such as articles of incorporation, tax exemption certificates, and bylaws should be completed, if possible, before the writing begins.

REVIEW

Criticism

At some point, perhaps after the first or second draft is completed, seek out a neutral third party to review the proposal working draft for continuity, clarity and reasoning. Ask for constructive criticism at this point, rather than wait for the Federal grantor agency to volunteer this information during the review cycle. For example, has the writer made unsupported assumptions or used jargon or excessive language in the proposal?

Signature

Most proposals are made to institutions rather than individuals. Often signatures of chief administrative officials are required. Check to make sure they are included in the proposal where appropriate.

Neatness

Proposals should be typed, collated, copied, and packaged correctly and neatly (according to agency instructions, if any). Each package should be inspected to ensure uniformity from cover to cover. Binding may require either clamps or hard covers. Check with the Federal agency to determine its preference. A

neat, organized, and attractive proposal package can leave a positive impression with the reader about the proposal contents.

Mailing

A cover letter should always accompany a proposal. Standard U.S. Postal Service requirements apply unless otherwise indicated by the Federal agency. Make sure there is enough time for the proposals to reach their destinations. Otherwise, special arrangements may be necessary. Always coordinate such arrangements with the Federal grantor agency project office (the agency which will ultimately have the responsibility for the project), the grant office (the agency which will coordinate the grant review), and the contract office (the agency responsible for disbursement and grant award notices), if necessary.

PART TWO: WRITING THE GRANT PROPOSAL

The Basic Components of a Proposal

There are eight basic components to creating a solid proposal package: (1) the proposal summary; (2) introduction of organization; (3) the problem statement (or needs assessment); (4) project objectives; (5) project methods or design; (6) project evaluation; (7) future funding; and (8) the project budget. The following will provide an overview of these components.

The Proposal Summary: Outline of Project Goals

The proposal summary outlines the proposed project and should appear at the beginning of the proposal. It could be in the form of a cover letter or a separate page, but should definitely be brief -- no longer than two or three paragraphs. The summary would be most useful if it were prepared after the proposal has been developed in order to encompass all the key summary points necessary to communicate the objectives of the project. It is this document that becomes the cornerstone of your proposal, and the initial impression it gives will be critical to the success of your venture. In many cases, the summary will be the first part of the proposal package seen by agency officials and very possibly could be the only part of the package that is carefully reviewed before the decision is made to consider the project any further.

The applicant must select a fundable project which can be supported in view of the local need. Alternatives, in the absence of Federal support, should be pointed out. The influence of the project both during and after the project period should be explained. The consequences of the project as a result of funding should be highlighted.

Introduction: Presenting a Credible Applicant or Organization

The applicant should gather data about its organization from all available sources. Most proposals require a description of an applicant's organization to describe its past and present operations. Some features to consider are:

- A brief biography of board members and key staff members.
- The organization's goals, philosophy, track record with other grantors, and any success stories.
- The data should be relevant to the goals of the Federal grantor agency and should establish the applicant's credibility.

The Problem Statement: Stating the Purpose at Hand

The problem statement (or needs assessment) is a key element of a proposal that makes a clear, concise, and well-supported statement of the problem to be addressed. The best way to collect information about the problem is to conduct and document both a formal and informal needs assessment for a program in the target or service area. The information provided should be both factual and directly related to the problem addressed by the proposal. Areas to document are:

- The purpose for developing the proposal.

- The beneficiaries -- who are they and how will they benefit.
- The social and economic costs to be affected.
- The nature of the problem (provide as much hard evidence as possible).
- How the applicant organization came to realize the problem exists, and what is currently being done about the problem.
- The remaining alternatives available when funding has been exhausted. Explain what will happen to the project and the impending implications.
- Most importantly, the specific manner through which problems might be solved. Review the resources needed, considering how they will be used and to what end.

There is a considerable body of literature on the exact assessment techniques to be used. Any local, regional, or State government planning office, or local university offering course work in planning and evaluation techniques should be able to provide excellent background references. Types of data that may be collected include: historical, geographic, quantitative, factual, statistical, and philosophical information, as well as studies completed by colleges, and literature searches from public or university libraries. Local colleges or universities which have a department or section related to the proposal topic may help determine if there is interest in developing a student or faculty project to conduct a needs assessment. It may be helpful to include examples of the findings for highlighting in the proposal.

Project Objectives: Goals and Desired Outcome

Program objectives refer to specific activities in a proposal. It is necessary to identify all objectives related to the goals to be reached, and the methods to be employed to achieve the stated objectives. Consider quantities or things measurable and refer to a problem statement and the outcome of proposed activities when developing a well-stated objective. The figures used should be verifiable. Remember, if the proposal is funded, the stated objectives will probably be used to evaluate program progress, so be realistic. There is literature available to help identify and write program objectives.

Program Methods and Program Design: A Plan of Action

The program design refers to how the project is expected to work and solve the stated problem. Sketch out the following:

- The activities to occur along with the related resources and staff needed to operate the project (inputs).
- A flow chart of the organizational features of the project. Describe how the parts interrelate, where personnel will be needed, and what they are expected to do. Identify the kinds of facilities, transportation, and support services required (throughputs).
- Explain what will be achieved through 1 and 2 above (outputs); i.e., plan for measurable results. Project staff may be required to produce evidence of program performance through an examination of stated objectives during either a site visit by the Federal grantor agency and or grant reviews which may involve peer review committees.
- It may be useful to devise a diagram of the program design. For example, draw a three column block. Each column is headed by one of the parts (inputs, throughputs and outputs), and on the left (next to the first column) specific program features should be identified (i.e., implementation, staffing, procurement, and systems development). In the grid, specify something about the program design, for example, assume the first column is labeled inputs and the first row is labeled staff. On the grid one might specify under inputs five nurses to operate a child care unit. The

throughput might be to maintain charts, counsel the children, and set up a daily routine; outputs might be to discharge 25 healthy children per week. This type of procedure will help to conceptualize both the scope and detail of the project.

- Wherever possible, justify in the narrative the course of action taken. The most economical method should be used that does not compromise or sacrifice project quality. The financial expenses associated with performance of the project will later become points of negotiation with the Federal program staff. If everything is not carefully justified in writing in the proposal, after negotiation with the Federal grantor agencies, the approved project may resemble less of the original concept. Carefully consider the pressures of the proposed implementation, that is, the time and money needed to acquire each part of the plan. A Program Evaluation and Review Technique (PERT) chart could be useful and supportive in justifying some proposals.
- Highlight the innovative features of the proposal which could be considered distinct from other proposals under consideration.
- Whenever possible, use appendices to provide details, supplementary data, references, and information requiring in-depth analysis. These types of data, although supportive of the proposal, if included in the body of the design, could detract from its readability. Appendices provide the proposal reader with immediate access to details if and when clarification of an idea, sequence or conclusion is required. Time tables, work plans, schedules, activities, methodologies, legal papers, personal vitae, letters of support, and endorsements are examples of appendices.

Evaluation: Product and Process Analysis

The evaluation component is two-fold: (1) product evaluation; and (2) process evaluation. Product evaluation addresses results that can be attributed to the project, as well as the extent to which the project has satisfied its desired objectives. Process evaluation addresses how the project was conducted, in terms of consistency with the stated plan of action and the effectiveness of the various activities within the plan.

Most Federal agencies now require some form of program evaluation among grantees. The requirements of the proposed project should be explored carefully. Evaluations may be conducted by an internal staff member, an evaluation firm or both. The applicant should state the amount of time needed to evaluate, how the feedback will be distributed among the proposed staff, and a schedule for review and comment for this type of communication. Evaluation designs may start at the beginning, middle or end of a project, but the applicant should specify a start-up time. It is practical to submit an evaluation design at the start of a project for two reasons:

- Convincing evaluations require the collection of appropriate data before and during program operations; and,
- If the evaluation design cannot be prepared at the outset then a critical review of the program design may be advisable.

Even if the evaluation design has to be revised as the project progresses, it is much easier and cheaper to modify a good design. If the problem is not well defined and carefully analyzed for cause and effect relationships then a good evaluation design may be difficult to achieve. Sometimes a pilot study is needed to begin the identification of facts and relationships. Often a thorough literature search may be sufficient.

Evaluation requires both coordination and agreement among program decision makers (if known). Above all, the Federal grantor agency's requirements should be highlighted in the evaluation design. Also, Federal grantor agencies may require specific evaluation techniques such as designated data formats (an existing information collection system) or they may offer financial inducements for voluntary participation in a national evaluation study. The applicant should ask specifically about these points. Also, consult the

Criteria For Selecting Proposals section of the Catalog program description to determine the exact evaluation methods to be required for the program if funded.

Future Funding: Long-Term Project Planning

Describe a plan for continuation beyond the grant period, and/or the availability of other resources necessary to implement the grant. Discuss maintenance and future program funding if program is for construction activity. Account for other needed expenditures if program includes purchase of equipment.

The Proposal Budget: Planning the Budget

Funding levels in Federal assistance programs change yearly. It is useful to review the appropriations over the past several years to try to project future funding levels (see Financial Information section of the Catalog program description).

However, it is safer to never anticipate that the income from the grant will be the sole support for the project. This consideration should be given to the overall budget requirements, and in particular, to budget line items most subject to inflationary pressures. Restraint is important in determining inflationary cost projections (avoid padding budget line items), but attempt to anticipate possible future increases.

Some vulnerable budget areas are: utilities, rental of buildings and equipment, salary increases, food, telephones, insurance, and transportation. Budget adjustments are sometimes made after the grant award, but this can be a lengthy process. Be certain that implementation, continuation and phase-down costs can be met. Consider costs associated with leases, evaluation systems, hard/soft match requirements, audits, development, implementation and maintenance of information and accounting systems, and other long-term financial commitments.

A well-prepared budget justifies all expenses and is consistent with the proposal narrative. Some areas in need of an evaluation for consistency are: (1) the salaries in the proposal in relation to those of the applicant organization should be similar; (2) if new staff persons are being hired, additional space and equipment should be considered, as necessary; (3) if the budget calls for an equipment purchase, it should be the type allowed by the grantor agency; (4) if additional space is rented, the increase in insurance should be supported; (5) if an indirect cost rate applies to the proposal, the division between direct and indirect costs should not be in conflict, and the aggregate budget totals should refer directly to the approved formula; and (6) if matching costs are required, the contributions to the matching fund should be taken out of the budget unless otherwise specified in the application instructions.

It is very important to become familiar with Government-wide circular requirements. The Catalog identifies in the program description section (as information is provided from the agencies) the particular circulars applicable to a Federal program, and summarizes coordination of Executive Order 12372, "Intergovernmental Review of Programs" requirements in Appendix I. The applicant should thoroughly review the appropriate circulars since they are essential in determining items such as cost principles and conforming with Government guidelines for Federal domestic assistance.

GUIDELINES AND LITERATURE

United States Government Manual
Superintendent of Documents
U.S. Government Printing Office
Washington, DC 20402

OMB Circular Nos. A-87, A-102, A-110, and A-133, and Executive Order 12372:
Publications Office
Office of Administration
Room 2200, 725 Seventeenth Street, NW.
Washington, DC 20503

Government Printing Office (GPO) Resources

The government documents identified above as available from the GPO can be requested (supply the necessary identifying information) by writing to:

Superintendent of Documents
Government Printing Office
Washington, DC 20402

Regional and Federal Depository Libraries

Regional libraries can arrange for copies of Government documents through an interlibrary loan. All Federal Depository Libraries will receive copies of the Catalog directly. A list of depository and regional libraries is available by writing: Chief, Library Division, Superintendent of Documents, Stop SLL, Washington, DC 20402.

Appendix VII: Historical Profile of Catalog Programs

The following list shows all programs printed in the *Catalog of Federal Domestic Assistance* beginning with the 1965 edition and the subsequent action taken related to that program.

For the years 1965, 1967, and 1969 the programs did not have numbers. They were identified only by their titles. Therefore, the first part of each agency listing shows programs by their title if they were in existence before 1970. The program numbering system was instituted in 1970.

The first line shows the number and title of the program when it was added to the Catalog. The second and subsequent lines show the year the program was added and any additional transactions, e.g., title changes, transfers, deletes, consolidations, agency reorganizations, number changes, etc., that may have followed. The alphabet B or U that follows a given year, indicates the transaction was made either in the basic (B) or the update (U) publication of the Catalog. In some instances, there is also a U1 and U2 behind the year. The U1 and U2 are the first and second updates respectively, published that year.

DEPARTMENT OF AGRICULTURE

Agricultural Stabilization Programs (1965B)
 Agricultural Production Stabilization (1969B)
 Deleted (1970B)
 Cooperative Agriculture and Forestry Research Program (1965B)
 Cooperative Forestry Research (1969B)
 Deleted (1970B)
 Cooperative Extension Service (1965B)
 Split into 10.500, 10.501, 10.502, 10.503, 10.504, 10.505, 10.506, 10.507, 10.508, 10.509 (1970B)
 Economic Research Service (1965B)
 Deleted (1970B)
 Farmers Home Administration-Financial Assistance to Small Towns and Rural Groups (1965B)
 Deleted (1969B)
 Farmers Home Administration-Housing for Senior Citizens (1965B)
 Deleted (1969B)
 Farmers Home Administration-Loans for Forestry Purposes (1965B)
 Farm Forestry Loans (1969B)
 Deleted (1970B)
 Farmers Home Administration-Loans for Recreational Purposes (1965B)
 Deleted (1969B)
 Farmers Home Administration-Rural Renewal Loans to Public Agencies (1965B)
 Deleted (1969B)
 Forestry Research (1965B)
 Deleted (1969B)
 Land Stabilization, Conservation, and Erosion Control in Appalachia (1965B)
 Deleted (1969B)
 Timber Development Organizations in Appalachia (1965B)
 Deleted (1967B)
 Administration and Research in Economic Development Programs in Appalachia (1965B)
 Transferred to the Department of Commerce (1967B)
 Cropland Adjustment Program (1967B)
 Deleted (1969B)
 Payments to Counties, National Grasslands, and Land Utilization Projects (1967B)
 Deleted (1969B)
 Payments to States with National Forests (1967B)
 Deleted (1969B)

Appalachian Region Conservation (1969B)
 Deleted (1970B)
 Agricultural Market Supervision and Regulation (1969B)
 Deleted (1970B)
 Agricultural Experiment Stations (1969B)
 Deleted (1970B)
 National Forests-Shared Revenues (1969B)
 Deleted (1970B)
 Timber Management (1969B)
 Deleted (1970B)
 10.001 Agricultural Research Service (1965B)
 Agricultural Research-Basic and Applied Research (1969B)
 Number changed to 10.875 (1978B)
 Number changed from 10.875 (1981U)
 10.002 Plant and Animal Disease and Plant Control (1969B)
 Number changed to 10.025 (1972B)
 10.003 Morrill-Nelson Funds for Food and Agricultural Higher Education (1983U)
 Transferred from 84.135 (1983U)
 Transferred to 10.210 (1985U)
 10.025 Plant and Animal Disease and Pest Control (1972B)
 Number changed from 10.002 (1972B)
 Plant and Animal Disease, Pest Control, and Animal Care (1990B)
 10.026 Assistance to States for Intrastate Meat and Poultry Inspection (1972U)
 Number changed from 10.151 (1972U)
 Number changed to 10.475 (1977U)
 10.027 Meat and Poultry Inspection (1972U)
 Number changed from 10.157 (1972U)
 Number changed to 10.477 (1977U)
 10.028 Animal Damage Control (1986B)
 Transferred from 15.601 (1986B)
 Wildlife Services (1997U)
 10.029 Avian Influenza Indemnity Program (2003B)
 10.050 Agricultural Conservation Program (1965B)
 Agricultural Conservation (1970B)
 Rural Environmental Assistance (1971B)
 Deleted (1973B)
 10.051 Price-Support Programs for the Agricultural Stabilization and Conservation Service (1965B)
 Agricultural Price-Support (1969B)
 Commodity Loans and Purchases (1970B)
 Commodity Loans and Loan Deficiency Payments (1999B)
 10.052 Cotton Production Stabilization (1970B)
 Split from 10.055 (1970B)
 Incorporated into 10.055 (1997B)
 10.053 Dairy Farmer Indemnity Payments (1969B)
 Dairy Indemnity Payments (1970B)
 Dairy Indemnity Program (1984B)
 10.054 Emergency Conservation Measures (1970B)
 Emergency Conservation Program (1978U)
 10.055 Feed Grain Direct Payments (1970B)

	Direct and Counter-cyclical Payments Program (2005)	10.071	Federal-State Cooperation in Warehouse Examination Agreement (1987U)
	Direct and Counter-cyclical Payments Program (2005)		Deleted (2000B)
	Direct and Counter-cyclical Payments Program (2005)	10.072	Wetlands Reserve Program (1992B)
	Split into 10.052, 10.055, 10.058 (1970B)	10.073	Crop Disaster Program (2000U)
	Feed Grain Production Stabilization (1971B)	10.075	Special Apple Program (2001B)
	Production Flexibility Payments for Contract Commodities (1997B)	10.076	Emergency Loan for Seed Producers (2001B)
	Incorporated from 10.052, 10.058, 10.065 (1997B)	10.077	Livestock Compensation Program (2003B)
10.056	Storage Facilities and Equipment Loans (1970B)	10.078	Bioenergy Program (2003B)
	Deleted (1989B)	10.079	Bill Emerson Humanitarian Trust (2003B)
	Farm Storage Facility Loans, Reinstated (2001B)	10.080	Milk Income Loss Contract Program (2004)
10.057	Sugar Program (1969B)	10.081	Lamb Meat Adjustment Assistance Program (2004)
	Sugar Production Stabilization (1971B)	10.082	Tree Assistance Program (2004)
	Deleted (1975B)	10.083	Tobacco Loss Assistance Program (2004)
10.058	Wheat Direct Payments (1970B)	10.084	Dairy Market Loss Assistance Program (2008)
	Split from 10.055 (1970B)	10.085	Tobacco Transition Payment Program (2005)
	Wheat Production Stabilization (1971B)	10.100	Agricultural Futures Markets Supervision (1971B)
	Incorporated into 10.055 (1997B)		Transferred to 78.001 (1975U)
10.059	Wool and Mohair Payments (1970B)	10.140	Minority Research and Teaching Grants (1986B)
	National Wool Act Payments (1973B)		Minority Research and Teaching Program Grants (1990B)
	Deleted (1997B)		Minority Research and Teaching Programs Grants (1990U)
	Shorn Wool and Unshorn Lambs (Pulled Wool) and Mohair Payments (1971B)		Special Emphasis Outreach Programs Grants (1991B)
10.060	Beekeeper Indemnity Payments (1971U)		Deleted (1997B)
	Deleted (1982B)	10.150	Agricultural Product Grading (1969B)
10.061	Emergency Livestock Feed Program (1972B)		Consolidated into 10.162 (1982B)
	Deleted (1977B)	10.151	Meat and Poultry Inspection (1969B)
10.062	Water Bank Program (1972B)		Assistance to States for Intrastate Meat and Poultry Inspection (1970B)
	Deleted (1973B)		Number changed to 10.026 (1972U)
	Reinstated (1974B)	10.152	Export Payments on Agricultural Products (1970B)
	Deleted (2006)		Split from 10.157 (1970B)
10.063	Rural Environmental Conservation Program (1974B)		Deleted (1973B)
	Agricultural Conservation Program (1975B)	10.153	Market News Service for Agricultural Products (1969B)
	Deleted (1997B)		Market News (1970B)
10.064	Forestry Incentives Program (1975B)	10.154	Market Supervision (1970B)
10.065	Rice Production Stabilization (1976U)		Market Supervision and Assistance (1982B)
	Incorporated into 10.055 (1997B)		Market Development and Assistance (1983B)
10.066	Emergency Hay and Cattle Transportation Assistance and Livestock Feed (1977B)		Incorporated into 10.163 (1984B)
	Emergency Feed Program (1978B)	10.155	Agricultural Marketing Agreements and Orders (1969B)
	Deleted (1983B)		Marketing Agreements and Orders (1970B)
	Emergency Livestock Assistance (1989B)	10.156	Agricultural Marketing Studies and Services (1969B)
	Deleted (1997B)		Marketing Services Matching-Fund Grants (1970B)
	Livestock Assistance Program, Reinstated (2000U)		Federal-State Marketing Improvement Program (1973B)
	Livestock Assistance Program (2006)	10.157	Agricultural Futures Market Supervision (1969B)
10.067	Grain Reserve Program (1978B)		Meat and Poultry Inspection (1970B)
	Farmer Owned Reserve Program (1994U)		Number changed to 10.027 (1972U)
	Deleted (1997B)	10.158	Plentiful Foods Program (1969B)
10.068	Rural Clean Water Program (1980B)		Plentiful Foods (1970B)
	Deleted (1995B)		Deleted (1973U)
10.069	Conservation Reserve Program (1986B)	10.159	Livestock and Poultry Market Supervision (1978B)
10.070	Colorado River Salinity Control (1987B)		Number changed from 10.800 (1978B)
	Colorado River Basin Salinity Control Program (1991B)		Number changed to 10.800 (1981U)

10.160	Voluntary Commodity Inspection and Grading Programs (1981U) Number changed from 10.478 (1981U) Deleted (1982B)	Deleted (1985B)
10.161	Egg Products Inspection (1981U) Number changed from 10.476 (1981U) Deleted (1982B)	10.209 1890 Research Facilities (1983B) Deleted (1989B)
10.162	Inspection Grading and Standardization (1982B)	10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants Transferred from 10.003 (1985U)
10.163	Market Protection and Promotion (1982B)	10.211 Higher Education Strengthening Grants (1985U) Deleted (1993B)
10.164	Wholesale Market Development (1982B) Wholesale Farmers and Alternative Market Development (2005) Wholesale Farmers and Alternative Market Development (2005) Wholesale Farmers and Alternative Market Development (2005)	10.212 Small Business Innovation Research (1986B) 10.213 Competitive Research Grants for Forest and Rangeland Renewable Resources Transferred from 15.601 (1986B) Deleted (1990B)
10.165	Perishable Agricultural Commodities Act (1982B)	10.214 Morrill-Nelson Funds for Food and Agricultural Higher Education Split from 10.210 (1986B) Deleted (1996B)
10.166	Commodity Purchase Services (1985B) Deleted (1987B)	10.215 Low Input Farming Systems-Research and Education (1988B) Sustainable Agriculture Research and Education (1991B)
10.167	Transportation Services (1991U)	10.216 1890 Institution Capacity Building Grants (1990B)
10.168	Farmers' Market Promotion Program (2006)	10.217 Higher Education Challenge Grants (1990B)
10.169	Specialty Crop Block Grant Program (2006)	10.218 Buildings and Facilities Program (1992B)
10.170	Specialty Crop Block Grant Program - Farm Bill (2008)	10.219 Biotechnology Risk Assessment Research (1993B)
10.200	Scientific Research in Support of Agriculture (1969B) Contracts and Grants for Scientific Research (1970B) Grants for Scientific Research (1973B) Split into 10.200, 10.880 (1978B) Number changed to 10.876 (1978B) Number changed from 10.876 (1981U) Grants for Agricultural Research, Special Research Grants (1981U)	10.220 Food and Agricultural Sciences-All Americans Undergraduate Scholars Program (1994B) Higher Education Multicultural Scholars Program (1995B)
10.201	Agricultural Research Facilities (1969B) Research Facilities (1970B) Deleted (1971B)	10.221 Tribal Colleges Education Equity Grants (1997B)
10.202	Grants for Cooperative Forestry Research (1970U) Cooperative Forestry Research (1971B) Number changed to 10.877 (1978B) Number changed from 10.877 (1981U)	10.222 Tribal Colleges Endowment Program (1997B)
10.203	Payments to Agricultural Experiment Stations Under Hatch Act (1970U) Number changed to 10.878 (1978B) Number changed from 10.878 (1981U) Payments to Agricultural Experiment Stations Under the Hatch Act (1997U)	10.223 Hispanic Serving Institutions Education Grants (1997B)
10.204	Rural Development Research (1974B) Number changed to 10.879 (1978B) Number changed from 10.879 (1981U) Deleted (1982B)	10.224 Fund for Rural America-Research, Education, and Extension Activities (1997U)
10.205	Payments to 1890 Land-Grant Colleges and Tuskegee Institute (1981U) Number changed from 10.880 (1981U) Payments to 1890 Land-Grant Colleges and Tuskegee University (1986B)	10.225 Community Food Projects (1997B)
10.206	Grants for Agricultural Research-Competitive Research-Grants (1981U) Number changed from 10.884 (1981U)	10.226 Secondary Agriculture Education Grants (1999B) Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (2003B)
10.207	Animal Health and Disease Research (1981U) Number changed from 10.885 (1981U)	10.227 1994 Institutions Research Program (2000U)
10.208	Alcohol Fuels Research (1982B)	10.228 Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (2001B)
		10.240 Alternative Agricultural Research and Commercialization Program (1993B) Deleted (2000B)
		10.250 Agricultural Economic Research (1969B) Agricultural and Rural Economic Research (1970B)
		10.251 Technical Assistance to Cooperatives (1978B) Number changed from 10.350 (1978B) Number changed to 10.350 (1981U)
		10.252 Agricultural Statistical Reports (1978B) Number changed from 10.950 (1978B) Agriculture Statistical Reports (1980B) Number changed to 10.950 (1981U)
		10.253 Food Assistance and Nutrition Research Programs (FANRP) (2008)
		10.254 Program of Research on the Economic of Invasive Species Management (PREISM) (2008)
		10.255 Research Innovation and Development Grants in Economic (RIDGE) (2008)
		10.256 Consumer Data Initiative (CDI) (2007)
		10.290 AGRICULTURAL MARKET AND ECONOMIC RESEARCH (2008)

10.300	CCC Export Credit Sales (1970B) Deleted (1973B)		Irrigation, Drainage and Other Soil and Water Conservation Loans (1970B) Deleted (1983B)
10.301	Export Payments (1970B) Deleted (1973U)	10.410	Farmers Home Administration-Rural Housing Loans (1965B) Rural Housing Loans (1967B) Rural Renewal Loans (1967B) Low-to Moderate-Income Housing Loans (1970B) Low Income Housing Loans (1983B) Very Low and Low Income Housing Loans (1984B) Very Low to Moderate Income Housing Loans (1991U)
10.302	Initiative for Future Agriculture and Food Systems (2000U)		
10.303	Integrated Programs (2000U)		
10.304	Homeland Security-Agricultural (2004)		
10.305	International Science and Education Grants (2005)		
10.306	BIODIESEL (2005)		
10.307	Organic Agriculture Research and Extension Initiative (2005)	10.411	Rural Self-Help Housing Loans (1969B) Mutual and Self-Help Housing Loans (1970B) Rural Housing Site Loans (1970U) Rural Housing Site Loans and Self-Help Housing Land Development Loans (1996B)
10.308	Resident Instruction Grants for Insular Area Activities (2005)		
10.309	Specialty Crop Research Initiative (2008)		
10.350	Farmers Cooperative Service (1965B) Technical Assistance to Cooperatives (1970B) Number changed to 10.251 (1978B) Number changed from 10.251 (1981U)	10.412	Rural Recreation Enterprises (1969B) Recreation Association Loans (1970B) Split into 10.412, 10.413 (1970B) Deleted (1971B)
10.352	Value-Added Producer Grants (2005)		
10.353	National Rural Development Partnership (2003B)		
10.375	Human Nutrition Information Service (1982B) Deleted (1997B)	10.413	Recreation Facility Loans (1970B) Split from 10.412 (1970B) Deleted (1983B)
10.400	Comprehensive Areawide Water and Sewer Planning Grants (1970B) Deleted (1973B)	10.414	Soil Conservation Service-Resource Conservation Development Projects (1965B) Resource Conservation Development Projects (1967B) Resource Conservation and Development Loans (1970B) Split into 10.414, 10.901 (1970B) Number changed to 10.764 (1993B)
10.401	Farmers Home Administration-Loans to Cooperatives (1965B) Economic Opportunity Loans to Cooperatives (1969B) Economic Opportunity Farm Operating Loans to Cooperatives (1970B) Deleted (1971B)	10.415	Rental Housing (1967B) Rental and Cooperative Housing for Rural People (1969B) Rural Rental Housing Loans (1970B)
10.402	Farmers Home Administration-Loans to Rural Families with Small Incomes (1965B) Economic Opportunity Loans to Rural Families with Low Incomes (1969B) Economic Opportunity Farm Resource Loans (1970B) Deleted (1971B)	10.416	Soil and Water Conservation (1969B) Soil and Water Loans (1970B) Split into 10.416, 10.902 (1970B) Deleted (1997B)
10.403	Economic Opportunity Nonfarm Enterprise Loans (1970B) Deleted (1971B)	10.417	Very Low-Income Housing Repair Loans (1970B) Split from 10.410 (1970B) Very Low-Income Housing Repair Loans and Grants (1977B)
10.404	Farmers Home Administration-Emergency Loans (1965B) Farm Emergency Loans (1969B) Emergency Loans (1970B)	10.418	Water and Waste Disposal Systems for Rural Communities (1967B) Number changed to 10.760 (1993B)
10.405	Farmers Home Administration-Loans for Farm Labor Housing (1965B) Loans and Grants for Farm Labor Housing (1967B) Farm Labor Housing (1969B) Farm Labor Housing Loans and Grants (1970B) Deleted (1973B) Reinstated (1975B)	10.419	Multiple-Purpose Watershed Projects (1965B) Watershed Development and Flood Prevention (1969B) Watershed Protection and Flood Prevention Loans (1970B) Split into 10.419, 10.904 (1970B) Number changed to 10.765 (1993B)
10.406	Farmers Home Administration-Operating Loans (1965B) Farm Operating Loans (1969B)	10.420	Rural Self-Help Housing Technical Assistance (1970U)
10.407	Farmers Home Administration-Ownership Loans (1965B) Farm Ownership Loans (1969B)	10.421	Indian Tribes and Tribal Corporation Loans (1971B)
10.408	Grazing Association Loans (1969B) Deleted (1983B)	10.422	Business and Industrial Development Loans (1974B) Business and Industrial Loans (1976B) Number changed to 10.768 (1993B)
10.409	Irrigation and Drainage System Loans (1969B)	10.423	Community Facilities Loans (1974B) Number changed to 10.766 (1993B)

- 10.424 Industrial Development Grants (1974B)
Deleted (1982B)
Reinstated (1988B)
Rural Development Grants (1992B)
Number changed to 10.769 (1993B)
- 10.425 Emergency Livestock Loans (1974U)
Deleted (1979U2)
- 10.426 Area Development Assistance Planning Grants (1978B)
Deleted (1982B)
- 10.427 Rural Rental Assistance Payments (1978B)
- 10.428 Economic Emergency Loans (1978U)
Deleted (1983B)
Reinstated (1984B)
Deleted (1984U)
- 10.429 Above Moderate Income Housing Loans (1979B)
Deleted (1980U2)
Guaranteed Rural Housing Loans-Demonstration Program (1989B)
Deleted (1990B)
- 10.430 Energy Impacted Area Development Assistance Program (1979U2)
Deleted (1983B)
- 10.431 Technical and Supervisory Assistance Grants (1979U2)
Deleted (1983B)
- 10.432 Biomass Energy and Alcohol Fuels Loan and Loan Guarantees (1981B)
Deleted (1982B)
- 10.433 Rural Housing Preservation Grants
Transferred from 13.664 (1986B)
- 10.434 Nonprofit National Corporations Loan and Grant Program (1987B)
Deleted (1993U)
- 10.435 Agricultural Loan Mediation Program (1988B)
Certified Mediation Program (1995B)
State Mediation Grants (1997U)
- 10.436 Technical Assistance and Training Grants (1988U)
Number changed to 10.761 (1993B)
- 10.437 Interest Rate Reduction Program (1989B)
Interest Assistance Program (1991U)
- 10.438 Farm Credit System Farm Land Acquisition Program (1989B)
Deleted (1992B)
Section 538 Rural Rental Housing Guaranteed Loans (1997B)
- 10.439 Intermediary Relending Program (1989B)
Number changed to 10.767 (1993B)
- 10.440 Emergency Community Water Assistance Grants (1989U)
Number changed to 10.763 (1993B)
- 10.441 Technical and Supervisory Assistance Grants (1993U)
- 10.442 Housing Application Packaging Grants (1994B)
- 10.443 Outreach and Assistance Grants for Socially Disadvantaged Farmers and Ranchers (1994B)
Small Farmer Outreach Training or Technical Assistance Program-"Outreach and Assistance Grants for Socially Disadvantaged Farmers and Ranchers" (1995B)
Small Farmer Outreach Training and Technical Assistance Program (1995U)
Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (2001U)
- 10.444 Direct Housing-Natural Disaster Loans and Grants (1998B)
- 10.445 Direct Housing-Natural Disaster (1998B)
- 10.446 Rural Community Development Initiative (2001U)
- 10.447 The Rural Development (RD) Multi-Family Housing Preservation and Revitalization Restructuring Program (MPR) (2007)
- 10.449 Boll Weevil Eradication Loan Program (2000U)
- 10.450 Crop Insurance (1969B)
- 10.451 Noninsured Crop Disaster Assistance (1997U)
Noninsured Assistance (2001B)
- 10.452 Disaster Reserve Assistance (1997U)
- 10.453 Fund for Rural America-Farm Ownership Loans (1997U)
Deleted (2000B)
- 10.454 Dairy Options Pilot Program (1998U)
- 10.455 Community Outreach and Assistance Partnership Program (2004)
- 10.456 Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers) (2004)
- 10.457 Commodity Partnerships for Risk Management Education (2004)
- 10.458 Crop Insurance Education in Targeted States (2004)
- 10.459 Commodity Partnerships for Small Agricultural Risk Management Education Sessions (2005)
- 10.475 Assistance to States for Intrastate Meat and Poultry Inspection (1977U)
Number changed from 10.026 (1977U)
Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (1980U2)
- 10.476 Egg Products Inspection (1977U)
Number changed to 10.161 (1981U)
- 10.477 Meat and Poultry Inspection (1977U)
Number changed from 10.027 (1977U)
Meat, Poultry, and Egg Inspection (1995B)
Meat, Poultry, and Egg Products Inspection (1995U)
- 10.478 Voluntary Commodity Inspection and Grading Programs (1977U)
Number changed to 10.160 (1981U)
- 10.479 Food Safety Cooperative Agreements (2006)
- 10.500 Extension Programs for Assisting in Community Development (1970B)
Extension Programs for Community Development (1971B)
Cooperative Extension Service (1972B)
Number changed to 10.881 (1978B)
Number changed from 10.881 (1981U)
- 10.501 Extension Programs for Forestry Production and Marketing (1970B)
Incorporated into 10.500 (1972B)
Agricultural Telecommunications Program (1994U)
Deleted (2000B)
- 10.502 Extension Programs for Improved Family Living (1970B)
Incorporated into 10.500 (1972B)
- 10.503 Extension Programs for Improved Nutrition (1970B)
Incorporated into 10.500 (1972B)
- 10.504 Extension Programs for Improving Farm Income (1970B)
Incorporated into 10.500 (1972B)
- 10.505 Extension Programs for Marketing and Distribution (1970B)
Incorporated into 10.500 (1972B)
- 10.506 Extension Programs for Pesticides Safety and Rural Civil Defense (1970B)
Incorporated into 10.500 (1972B)

10.507	Extension Programs for Recreation, Wildlife, and Natural Beauty (1970B) Incorporated into 10.500 (1972B)	10.563	Nutrition Education Experimental or Demonstration Projects (1977U) Deleted (1980B)
10.508	Extension Programs for Soil and Water Conservation (1970B) Incorporated into 10.500 (1972B)	10.564	Nutrition Education and Training Program (1978U) Deleted (2001U)
10.509	4-H Youth Development Programs (1970B) Incorporated into 10.500 (1972B)	10.565	Commodity Supplemental Food Program (1979U1) Split from 10.550 (1979U1)
10.510	Extension Programs for Environmental Improvement (1971B) Incorporated into 10.500 (1972B)	10.566	Nutrition Assistance for Puerto Rico (1983B)
10.550	Commodity Distribution Program (1965B) Deleted (2008) Commodity Distribution (1970B) Food Distribution (1971U) Split into 10.550, 10.565 (1979U1)	10.567	Food Distribution Program Commodities in Lieu of Food Stamps (Indian Reservations) (1983B) Food Distribution Program Commodities on Indian Reservations (1983U) Needy Family Program (1985B) Food Distribution Program on Indian Reservations (1988B)
10.551	Food Stamp Program (1965B) Supplemental Nutrition Assistance Program (2008) Food Stamps (1970B)	10.568	Temporary Emergency Food Assistance (Administrative Costs) (1984B) Emergency Food Assistance Program (Administrative Costs) (1993U)
10.552	Special Food Service Program for Children (1969B) Nonschool Food Program (1970B) Special Food Service Program for Children (1971B) Split into 10.558, 10.559 (1976B)	10.569	Temporary Emergency Food Assistance (Food Commodities) (1989B) Emergency Food Assistance Program (Food Commodities) (1993U)
10.553	Pilot School Breakfast Program (1967B) School Breakfasts (1969B) School Breakfast Program (1972B)	10.570	Nutrition Program for the Elderly (Commodities) (1989B) Deleted (2005) Nutrition Program for the Elderly (2001U) Nutrition Services Incentive (2002B)
10.554	Equipment Program to Initiate or Expand School Food Service (1967B) School Lunch Program-Nonfood Assistance (1970B) Nonfood Assistance for School Food Service Programs (1972B) Equipment Assistance for School Food Service Programs (1978B) Deleted (1982B)	10.571	Food Commodities for Soup Kitchens (1989B) Deleted (1996U)
10.555	National School Lunch Program (1965B) School Lunches (1969B) National School Lunch Program (1971B)	10.572	WIC Farmers' Market Nutrition Program (FMNP) (1993U)
10.556	Special Milk Program for Children (1965B) School Milk (1969B) Special Milk Program (1970B) Special Milk Program for Children (1971B)	10.573	Homeless Children Nutrition Program (1995U) Deleted Program no longer funded. (2000) Incorporated into 10.558 (2000B)
10.557	Special Supplemental Food Program for Women, Infants, and Children (1974B) Special Supplemental Nutrition Program for Women, Infants, and Children (1995U)	10.574	Team Nutrition Grants (1997U)
10.558	Child Care Food Program (1976B) Split from 10.552 (1976B) Child and Adult Care Food Program (1990B) Incorporated from 10.573 (2000B)	10.576	Senior Farmers Market Nutrition Program (2004)
10.559	Summer Food Service Program for Children (1976B) Split from 10.552 (1976B)	10.578	WIC Grants To States(WGS) (2004)
10.560	State Administrative Expenses for Child Nutrition (1977U)	10.579	Child Nutrition Discretionary Grants Limited Availability (2004)
10.561	State Administrative Matching Grants for Food Stamp Program (1977U) State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (2008)	10.580	Food Stamp Program Research Grants to Improve Access Through New Technology and Partnerships (2004) Food Stamp Program , Outreach Grants (2005) Food Stamp Program Outreach Grants (2005) Food Stamp Program Outreach/Participation Program (2008) Special Nutrition Assistance Program Outreach/Participation Program (2008)
10.562	Nutritional Training and Education (1977U) Deleted (1979B)	10.581	WIC Discretionary Grant Funds (2004) Deleted (2004)
		10.582	Fresh Fruit and Vegetable Program (2005)
		10.600	Export Market Development (1967B) Agricultural Export Services (1969B) Foreign Market Development and Promotion (1970B) Foreign Agricultural Market Development and Promotion (1972B) Deleted (1975B) Reinstated (1985U) Foreign Market Development Cooperation Program (1997B) Foreign Market Development Cooperator Program (1999B)
		10.601	Targeted Export Assistance (1987B) Market Promotion Program (1991B)

	Market Access Program (1997B)		Consolidated from 10.655, 10.656, 10.657, 10.658, 10.659, 10.660, 10.662 (1978U)
10.603	Emerging Markets Program (2002B)	10.665	Schools and Roads-Grants to States (1980U2)
10.604	Technical Assistance for Specialty Crops Program (2004)	10.666	Schools and Roads-Grants to Counties (1980U2)
10.605	Quality Samples Program (2004)	10.667	School Funds-Grants to Arizona and New Mexico (1980U2)
10.606	Food for Progress (2003B)		School Funds-Grants to Arizona (1981B)
10.607	Section 416(b) (2003B)		Deleted (1984B)
10.608	Food for Education (2003B)	10.668	Additional Lands-Grants to Minnesota (1980U2)
10.609	Trade Adjustment Assistance (2004)		Deleted (1995B)
10.611	Regional Centers for the Transfer of Manufacturing Technology (1989B)	10.669	Accelerated Cooperative Assistance for Forest Programs on Certain Lands Adjacent to the Boundary Waters Canoe Area
10.650	Forest Service Cooperative State and Private Programs (1965B)		Deleted (1992B)
	Forestry Cooperative Programs (1969B)	10.670	National Forest-Dependent Rural Communities (1993B)
	State and Private Forestry Cooperation (1970B)	10.671	Southeast Alaska Economic Disaster Fund (1997U)
	Split into 10.655, 10.656, 10.657, 10.658, 10.659, 10.660 (1974B)	10.672	Rural Development, Forestry and Communities (2000B)
10.651	Forestry Cooperative Research (1970U)		Rural Development, Forestry, and Communities (2000U)
	Deleted (1978U)	10.673	Wood In Transportation Program (2003B)
10.652	Forestry Research (1970U)	10.674	Technology Marketing Unit (2003B)
10.653	Land Adjustments (1970U)		Forest Products Lab: Technology Marketing Unit (TMU) (2005)
	Land Exchange in the National Forests (1971B)		Forest Products Lab: Technology Marketing Unit (TMU) (2005)
	Deleted (1973B)	10.675	Urban and Community Forestry Program (2003B)
10.654	Forestry Cooperative Law Enforcement (1973U)	10.676	Forest Legacy Program (2003B)
	Cooperative Law Enforcement (1975B)	10.677	Forest Land Enhancement Program (2003B)
	Deleted (1977B)	10.678	Forest Stewardship Program (2003B)
10.655	Assistance to States for Tree Planting and Reforestation (1974B)	10.679	Collaborative Forest Restoration (2005)
	Split from 10.650 (1974B)	10.680	Forest Health Protection (2005)
	Assistance to States for Tree Improvement (1977B)	10.681	WOOD EDUCATION AND RESOURCE CENTER (WERC) (2008)
	Consolidated into 10.664 (1978U)	10.684	International Forestry Programs (2008)
10.656	Cooperative Forest Fire Control (1974B)	10.700	National Agricultural Library Services (1969B)
	Split from 10.650 (1974B)		Number changed to 10.883 (1978B)
	Consolidated into 10.664 (1978U)		Number changed from 10.883 (1981U)
10.657	Cooperation in Forest Management and Processing (1974B)		National Agricultural Library (1982B)
	Split from 10.650 (1974B)	10.750	Information on Agricultural Activities (1969B)
	Consolidated into 10.664 (1978U)		Deleted (1978B)
10.658	Cooperative Forest Insect and Disease Control (1974B)	10.760	Water and Waste Disposal Systems for Rural Communities
	Split from 10.650 (1974B)		Number changed from 10.418 (1993B)
	Cooperative Forest Insect and Disease Management (1976B)	10.761	Technical Assistance and Training Grants
	Consolidated into 10.664 (1978U)		Number changed from 10.436 (1993B)
10.659	Cooperative Production and Distribution of Forest Tree Planting Stock (1974B)	10.762	Solid Waste Management Grants (1993B)
	Split from 10.650 (1974B)	10.763	Emergency Community Water Assistance Grants
	Consolidated into 10.664 (1978U)		Number changed from 10.440 (1993B)
10.660	General Forestry Assistance (1974B)	10.764	Resource Conservation and Development Loans
	Split from 10.650 (1974B)		Number changed from 10.414 (1993B)
	Consolidated into 10.664 (1978U)		Deleted (1998U)
10.661	Youth Conservation Corps-Grants to States (1974B)	10.765	Watershed Protection and Flood Prevention Loans
	Deleted (1982B)		Number changed from 10.419 (1993B)
10.662	Rural Community Fire Protection (1975U)		Deleted (1998U)
	Consolidated into 10.664 (1978U)	10.766	Community Facilities Loans
10.663	Young Adult Conservation Corps (1978B)		Number changed from 10.423 (1993B)
	Young Adult Conservation Corps-Grants to States (1978U)		Community Facilities Loans (1997U)
	Deleted (1983B)		Community Facilities Loans and Grants (1998B)
10.664	Cooperative Forestry Assistance (1978U)		

- 10.767 Intermediary Relending Program
Number changed from 10.439 (1993B)
- 10.768 Business and Industrial Loans
Number changed from 10.422 (1993B)
Business and Industry Loans (1998B)
- 10.769 Rural Development Grants
Number changed from 10.424 (1993B)
Rural Business Enterprise Grants (2001B)
- 10.770 Water and Waste Disposal Loans and Grants (Section 306C) (1993B)
- 10.771 Rural Technology Development Grants (1994U)
Rural Cooperative Development Grants (1997B)
- 10.772 Empowerment Zones Program (1994U)
- 10.773 Rural Business Opportunity Grants (1999B)
- 10.774 National Sheep Industry Improvement Center (1998U)
- 10.775 Renewable Energy Systems and Energy Efficiency Improvements Program (2003B)
- 10.778 Research on the Economic Impact of Cooperatives (2006)
- 10.800 Livestock and Poultry Market Supervision (1969B)
Deleted (2004)
Number changed to 10.159 (1978B)
Number changed from 10.159 (1981U)
Livestock, Meat and Poultry Market Supervision (1984B)
- 10.825 Technical Assistance to Rural Areas (1975B)
Deleted (1977U)
- 10.850 Rural Electrification Program (1965B)
Rural Electrification Loans (1970B)
Rural Electrification Loans and Loan Guarantees (1977B)
- 10.851 Rural Telephone Service (1969B)
Rural Telephone Loans (1970B)
Rural Telephone Loans and Loan Guarantees (1977B)
- 10.852 Rural Telephone Bank Loans (1972B)
- 10.853 Community Antenna Television Loans and Loan Guarantees (1980B)
Deleted (2007)
- 10.854 Rural Economic Development Loans and Grants (1988U)
Number changed from 10.853 (1989B)
- 10.855 Distant Learning and Medical Link Grants (1992B)
Distance Learning and Medical Link Grants (1993B)
Distance Learning and Telemedicine Loans and Grants (1997B)
- 10.856 1890 Land Grant Institutions Rural Entrepreneurial Outreach Program (2004)
- 10.857 State Bulk Fuel Revolving Fund Grants (2001U)
- 10.858 RUS Denali Commission Grants and Loans (2002B)
Denali Commission Grants and Loans (2007)
- 10.859 Assistance to High Energy Cost Rural-Communities (2001U)
Assistance to High Energy Cost Rural Communities (2007)
Assistance to High Energy Cost-Rural Communities (2002B)
- 10.860 Rural Business Investment Program (2004)
- 10.861 Public Television Station Digital Transition Grant Program (2005)
- 10.862 Household Water Well System Grant Program (2004)
- 10.863 Community Connect Grant Program (2004)
- 10.864 Grant Program to Establish a Fund for Financing Water and Wastewater Projects (2005)
- 10.875 Agricultural Research-Basic and Applied Research (1978B)
Number changed from 10.001 (1978B)
Number changed to 10.001 (1981U)
- 10.876 Grants for Agricultural Research, Special Research Grants (1978B)
Number changed from 10.200 (1978B)
Number changed to 10.200 (1981U)
- 10.877 Cooperative Forestry Research (1978B)
Number changed from 10.202 (1978B)
Number changed to 10.202 (1981U)
- 10.878 Payments to Agricultural Experiment Stations Under Hatch Act (1978B)
Number changed from 10.203 (1978B)
Number changed to 10.203 (1981U)
- 10.879 Rural Development Research (1978B)
Number changed from 10.204 (1978B)
Number changed to 10.204 (1981U)
- 10.880 Payments to 1890 Land-Grant Colleges and Tuskegee Institute (1978B)
Split from 10.200 (1978B)
Number changed to 10.205 (1981U)
- 10.881 Cooperative Extension Service (1978B)
Number changed from 10.500 (1978B)
Number changed to 10.500 (1981U)
- 10.882 Higher Education-Land-Grant Colleges and Universities (1978B)
Transferred from 13.453 (1978B)
Number changed to 10.501 (1981U)
- 10.883 Technical Information Systems (1978B)
Number changed from 10.700 (1978B)
Number changed to 10.700 (1981U)
- 10.884 Grants for Agricultural Research-Competitive Research-Grants (1978B)
Number changed to 10.206 (1981U)
- 10.885 Animal Health and Disease Research (1980B)
Number changed to 10.207 (1981U)
- 10.886 Rural Broadband Access Loans and Loan Guarantees (2005)
- 10.900 Great Plains Conservation (1969B)
- 10.901 Resource Conservation and Development (1970B)
- 10.902 Soil and Water Conservation (1970B)
Split into 10.902, 10.905, 10.906, 10.907 (1971B)
Consolidated from 10.908 (1990B)
- 10.903 National Cooperative Soil Survey (1969B)
Soil Survey (1970B)
- 10.904 Watershed Protection and Flood Prevention (1970B)
Consolidated into 10.911 (1996B)
- 10.905 Plant Materials for Conservation (1971B)
Split from 10.902 (1971B)
- 10.906 River Basin Surveys and Investigations (1971B)
Split from 10.902 (1971B)
Consolidated into 10.911 (1996B)
Watershed Surveys and Planning (1997B)
- 10.907 Snow Survey and Water Supply Forecasting (1971B)
Split from 10.902 (1971B)

- 10.908 Land Inventory and Monitoring (1977B)
Inventory and Monitoring (1978B)
Resources Inventory (1983U)
Consolidated into 10.902 (1990B)
- 10.909 Resource Appraisal and Program Development (1979B)
Deleted (1983U)
- 10.910 Rural Abandoned Mine Program (1979U1)
- 10.911 Watershed Surveys and Planning
Consolidated from 10.904, 10.906 (1996B)
Incorporated into 10.906 (1997B)
- 10.912 Environmental Quality Incentives Program (1997B)
- 10.913 Farm Land Protection Program (1997B)
Farmland Protection Program (1997U)
- 10.914 Wildlife Habitat Incentive Program (1997B)
- 10.915 Fund for Rural America-Small Farmer Outreach Training and Technical Assistance (1998B)
Deleted (1999B)
- 10.916 Watershed Rehabilitation Program (2003B)
- 10.917 Agricultural Management Assistance (2004)
- 10.918 Ground and Surface Water Conservation- Environmental Quality Incentives Program (2004)
- 10.919 Klamath Basin - Environmental Quality Incentives Program (2004)
- 10.920 Grassland Reserve Program (2004)
- 10.921 Conservation Security Program (2004)
- 10.922 Healthy Forests Reserve Program (HFRP) (2007)
- 10.923 Emergency Watershed Protection Program (2007)
- 10.950 Agricultural Statistical Reports (1969B)
Number changed to 10.252 (1978B)
Agriculture Statistical Reports (1981U)
Agricultural Statistics Reports (1986U)
- 10.960 Technical Agricultural Assistance (1986B)
- 10.961 International Agricultural Research-Collaborative Program (1986B)
International Agricultural Research Program (1991U)
Scientific Cooperation Program, Incorporated from 10.963 (1999B)
Scientific Cooperation and Research (2001B)
- 10.962 International Training-Foreign Participant (1986B)
Cochran Fellowship Program-International Training-Foreign Participant (2006)
- 10.963 Scientific and Technical Cooperation (1990B)
Incorporated into 10.961 (1999B)
- 10.994 Peanut Quota Buyout Program (2005)
- 10.995 Hard White Wheat Incentive Program (2005)
- 10.999 LONG TERM STANDING AGREEMENTS FOR STORAGE, TRANSPORTATION AND LEASE (2008)
- Deleted (1969B)
Economic Development Districts (1967B)
Consolidated into 11.300 (1969B)
Grants and Loans for Public Works and Development Facilities (1967B)
Consolidated into 11.300 (1969B)
Gross National Product Estimates (1967B)
Deleted (1969B)
High Speed Ground Transportation (1965B)
Highway Beautification (1965B)
Transferred/Split into 20.202, 20.203, 20.204 (1970B)
Highway Planning and Construction (1965B)
Transferred to 20.205 (1970B)
Industry and Commodity Information (1965B)
Deleted (1967B)
Regional Action Planning Commissions (1967B)
Consolidated into 11.300 (1969B)
State Technical Services Program (1967B)
Deleted (1970B)
Supplements to Federal Grant-in-Aid Programs in Appalachia (1965B)
Supplemental Grants-in-Aid for Appalachia (1967B)
Deleted (1969B)
- 11.001 Statistical Data for Small Areas (1965B)
Census Data (1967B)
Census and Statistical Reports (1969B)
Census Bureau Data Products (1980U1)
- 11.002 Census Data Access and Use Laboratory (1970B)
Census Data User Services (1972B)
Census Customer Services (1995U)
- 11.003 Census Mapping and Statistical Areas (1969B)
Census Geography (1970B)
- 11.004 Census Intergovernmental Services (1970B)
- 11.005 Census Special Tabulations and Services (1969B)
- 11.006 Personal Census Age Search (1967B)
Personal Census Search (1969B)
- 11.007 Measures and Analyses of U.S. Economy (1972B)
Number changed from 11.700 (1972B)
Number changed to 11.025 (1976U)
- 11.025 Measures and Analyses of the U.S. Economy (1976U)
Number changed from 11.007 (1976U)
- 11.026 National Trade Data Bank (NTDB) (1994B)
STAT-USA: Key Business, Economic, and International Trade Information (2006)
- 11.027 Business Assistance, Services, and Information (1980B)
Deleted (2006)
Number changed from 11.104 (1980B)
Number changed to 11.050 (1980U2)
Productivity and Economic Growth (1982B)
Number changed to 11.980 (1989B)
Economic Bulletin Board (EBB) (1994B)
State of the Nation (2002B)

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Development Highways and Access Roads for the Appalachian Region (1965B)
Administration and Research in Economic Development Programs in Appalachia (1967B)
Deleted (1969B)
Community Relations Service (1965B)
Deleted (1967B)
Development of Ports (1967B)

11.050	Business Assistance, Services, and Information (1980U2) Number changed from 11.027 (1980U2) Number changed to 11.110 (1984B)		Export Promotion Market Development Cooperation (2002B)
11.100	Export Licensing Service and Information (1969B) Number changed to 11.150 (1988B)	11.113	ITA Special Projects (1995B)
11.101	International Trade Promotion (1967B) Export Trade Promotion (1969B) Consolidated into 11.108 (1980B)	11.114	Special American Business Internship Training Program (1997B)
11.102	Foreign Investment in the United States (1967B) Foreign Investment Development in the United States (1969B) Deleted (1977U)	11.115	American Business Center (1998U) Deleted (2001B)
11.103	Commercial Intelligence Service (1967B) International Commercial Information (1969B) Consolidated into 11.108 (1980B)	11.150	Export Licensing Service and Information (1988B) Number changed from 11.100 (1988B)
11.104	Business Assistance, Services, and Information (1973B) Number changed from 11.200 (1973B) Number changed to 11.027 (1980B)	11.200	Information and Services to Industrial Community (1967B) Business Assistance, Services and Information (1969B) Number changed to 11.104 (1973B)
11.105	Importation of Duty-Free Educational and Scientific Materials (1973B) Number changed from 11.201 (1973B) Deleted (1986B)	11.201	Importation of Duty-Free Educational and Scientific Materials (1969B) Number changed to 11.105 (1973B)
11.106	Remedies for Unfair Foreign Trade Practices-Antidumping and Countervailing Duty Investigations (2004)	11.202	Trade Adjustment Assistance (1971U) Number changed to 11.106 (1973B)
11.106A	Trade Adjustment Assistance (1973B) Number changed from 11.202 (1973B) Number changed to 11.309 (1975U)	11.300	Public Works and Economic Development (1965B) Investments for Public Works and Economic Development Facilities (2007) Economic Development-Grants and Loans for Public Works and Development Facilities (1969B) Split into 11.300, 11.301, 11.302, 11.303 (1969B) Deleted (1973B) Reinstated (1973U) Economic Development-Grants and Loans for Public Works and Development Facilities (1973U) Economic Development-Grants for Public Works and Development Facilities (1981U) Economic Development-Grants for Public Works and Infrastructure Development (1995B) Grants for Public Works and Economic Development (1999B) Grants for Public Works and Economic Development Facilities (2002B)
11.106B	Antidumping Duties (1980B) Transferred from 21.200 (1980B) Remedies for Unfair Foreign Trade Practices-Antidumping Duty Investigations (1991B) Remedies for Unfair Foreign Trade Practices-Antidumping and Countervailing Duty Investigations (1996U)	11.301	Economic Development-Loans for Businesses and Development Companies (1969B) Split from 11.300 (1969B) Deleted (1973B) Reinstated (1973U) Economic Development-Business Development Assistance (1975B) Deleted (1993U)
11.107	Countervailing Duty on Imports (1980B) Transferred from 21.201 (1980B) Remedies for Unfair Foreign Trade Practices-Countervailing Duty Investigations (1991B) Deleted (1997B)	11.302	Economic Development-Assistance for Distressed Areas (1969B) Split from 11.300 (1969B) Economic Development-Planning Assistance (1970B) Deleted (1973B) Reinstated (1973U) Economic Development-Support for Planning Organizations (1975B) Deleted (1999B) Reinstated/Incorporated from 11.305 (2000B)
11.108	International Trade Promotion (1980B) Consolidated from 11.101, 11.103 (1980B) International Trade (1984B) Export Promotion Services (1985B) Commercial Service (1995B)	11.303	Economic Development-Technical Assistance Research, and Information (1969B) Split from 11.300 (1969B) Economic Development-Technical Assistance (1970B) Deleted (1973B) Reinstated (1973U)
11.109	Trade Adjustment Assistance (1980B) Deleted (1986B) Reinstated (1988U) Number changed to 11.313 (1991B)	11.304	Economic Development-Public Works Impact Projects (1972B) Deleted (1973B) Reinstated (1973U)
11.110	Business Assistance, Services, and Information (1984B) Manufacturing and Services (2005) Manufacturing and Services (2005) Number changed from 11.050 (1984B) Trade Development (1985B)		
11.111	Foreign-Trade Zones in the United States (1986U)		
11.112	Export Promotion-Market Development Cooperator (1994B) Market Development Cooperator Program (2007)		

	Economic Development-Public Works Impact Program (1990U) Deleted (1999B)		Deleted (1977U)
11.305	Economic Development-State and Local Economic Development Planning (1975B) Incorporated into 11.302 (2000B)	11.402B	6Marine Boundary Program (1981B) Deleted (1986B)
11.306	Economic Development-District Operational Assistance (1975B) Deleted (1986B)	11.403	Seismological Investigations (1970B) Deleted (1973B)
11.307	Economic Development-Special Economic Development and Adjustment Assistance Program (1975B) Special Economic Development and Adjustment Assistance Program-Long-Term Economic Deterioration (1979B) Split into 11.307, 11.311 (1979B) Special Economic Development and Adjustment Assistance Program-Sudden and Severe or Long-Term Economic Deterioration (1983B) Special Economic Development and Adjustment Assistance Program-Sudden and Severe Economic Dislocation or Long-Term Economic Deterioration (1984B) Special Economic Development and Adjustment Assistance Program-Sudden and Severe Economic Dislocation and Long-Term Economic Deterioration (1986U) Special Economic Development and Adjustment Assistance Program-Sudden and Severe Economic Dislocation (SSED) and Long-Term Economic Deterioration (LTED) (1997B) Special Economic Development and Adjustment Assistance Program-Sudden and Severe Economic Dislocation and Long-Term Economic Deterioration (1997U) Economic Adjustment Assistance (1999B)	11.404	Weather and Climate Guidance (1965B) Weather and Marine Forecasts and Climate Guidance (1969B) Weather Forecasts and Warnings (1970B) Weather and River Forecasts and Warnings (1977U) Deleted (1979B)
11.308	Grants to States for Supplemental and Basic Funding of Titles I, II, and IV Activities (1975U) Grants to States for Supplemental and Basic Funding of Titles I, II, III, IV and IX Activities (1977B) Deleted (1981U)	11.405	Anadromous and Great Lakes Fisheries Conservation (1971B) Transferred from 15.001 (1971B) Anadromous Fish Conservation Act Program (1991U)
11.309	Trade Adjustment Assistance (1975U) Number changed from 11.106 (1975U) Deleted (1981U)	11.406	Commercial Fisheries Disaster Assistance (1971B) Transferred from 15.002 (1971B) Deleted (1981B)
11.310	Redevelopment Area Loan Program (Section 204) (1977U) Incorporated into 11.307 (1978B)	11.407	Commercial Fisheries Research and Development (1971B) Transferred from 15.003 (1971B) Interjurisdictional Fisheries Act of 1986 (1987U)
11.311	Special Economic Development and Adjustment Assistance Program-Sudden and Severe Economic Dislocation (1979B) Split from 11.307 (1979B) Deleted (1981U)	11.408	Current Economic Analysis of Food Fish, Shellfish, and Industrial Fishery Projects (1971B) Deleted (1973B) Reinstated (1980B) Fishermen's Contingency Fund (1980B)
11.312	Research and Evaluation Program (1984B)	11.409	Fisheries Loan Fund (1971B) Transferred from 15.005 (1971B) Incorporated into 11.415 (1974B) Reinstated/Split from 11.415 (1980B) Fishing Vessel and Gear Damage Compensation Fund (1980B) Deleted (1998B)
11.313	Trade Adjustment Assistance Trade Adjustment Assistance for Firms (2007) Number changed from 11.109 (1991B)	11.410	Fishermen-Reimbursement of Losses (1971B) Transferred from 15.004 (1971B) Fishermen's Guaranty Fund (1981B) Number changed to 19.204 (1987B)
11.400	Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (2004)	11.411	Fishery Cooperative Services (1971B) Deleted (1986B)
11.400A	6Geodetic Control Surveys and Tideland Studies (1965B) Geodesy (1970B) Geodetic Control Surveys (1971B)	11.412	Fishery Marketing Assistance (1971B) Transferred from 15.006 (1971B) Deleted (1973B)
11.400B	6Geodetic Surveys and Services (1974U) Deleted (1989B) Reinstated (1991B)	11.413	Fishery Products Inspection and Certification (1971B) Transferred from 15.007 (1971B)
11.401	Nautical Charts and Related Data (1965B) Deleted (1986B)	11.414	Fishing Statistics and Market News (1971B) Transferred from 15.008 (1971B) Deleted (1973B)
11.402A	6Weather Bureau River and Flood Forecasting Service (1965B) River and Flood Forecasting (1967B) River and Flood Forecasts (1969B) River and Flood Forecast and Warning Services (1974U)	11.415	Fishing Vessel Mortgage and Loan Insurance (1971B) Transferred from 15.009 (1971B) Fishing Vessel Obligation Guarantees (1973B) Federal Ship Financing Fund Liquidating Account (1992B) Fisheries Obligation Guarantee Program (1994U) Fisheries Finance Program (1998B)
		11.416	Jellyfish Control (1971B)

	Transferred from 15.010 (1971B)		Climate and Atmospheric Research (1992U)
	Deleted (1973B)	11.432	Environmental Research Laboratories Joint Institutes (1990B)
11.417	Sea Grant Institutional Support (1971B)		Environmental Research Laboratories Cooperative Institutes (1992B)
	Transferred from 47.022 (1971B)		Office of Oceanic and Atmospheric Research (OAR) Cooperative Institutes (1999U)
	Sea Grant Support (1972B)		Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes (2001B)
11.418	Sea Grant Project Support (1971B)	11.433	Marine Fisheries Initiative (1990U)
	Transferred from 47.023 (1971B)	11.434	Cooperative Fishery Statistics (1991B)
	Incorporated into 11.417 (1972B)	11.435	Southeast Area Monitoring and Assessment Program (1991B)
	Coastal Zone Management Program Development (1974B)	11.436	Columbia River Fisheries Development Program (1991B)
	Reinstated/Split from 11.417 (1974B)	11.437	West Coast Fisheries Data Program (1991B)
	Deleted (1980B)		Pacific Fisheries Data Program (1995B)
11.419	Coastal Zone Management Program Administration (1974U)	11.438	Pacific Salmon Treaty Program (1991B)
	Coastal Zone Management Program Administration Grants (1985B)		Pacific Coast Salmon Recovery-Pacific Salmon Treaty Program (2000B)
	Coastal Zone Management Program Implementation and Enhancement Awards (1991B)	11.439	Marine Mammal Data Program (1991B)
	Coastal Zone Management Administration Awards (1992B)	11.440	Research in Remote Sensing of the Earth and Environment (1991B)
11.420	Coastal Zone Management Estuarine Sanctuaries (1975B)		Environmental Sciences, Applications, Data, and Education (2002B)
	Coastal Zone Management Estuarine Research Reserves (1986U)	11.441	Regional Fishery Management Councils (1991U)
11.421	Coastal Energy Impact Program-Formula Grants (1977U)	11.442	Research in Oceanographic Data Base Management (1991U)
	Energy Impact-Formula Grants (1984B)		Deleted (1999B)
	Deleted (1986B)	11.443	Short-Term Climate Fluctuations (1992U)
11.422	Coastal Energy Impact Program-Planning Grants (1977U)		Short-Term Climate Fluctuations (1995B)
	Coastal Energy Impact Grants (1985B)		Short Term Climate Fluctuations (1995U)
	Deleted (1986B)	11.444	Mahimahi Aquaculture (1991U)
11.423	Coastal Energy Impact Program-Loans and Guarantees (1977U)		Aquaculture Program (1994U)
	Deleted (1985U)		Direct Housing-Natural Disaster Loans and Grants (1999B)
11.424	Coastal Energy Impact Program-Environmental Grants (1977U)		Aquaculture Program (1999U)
	Deleted (1983B)		Hawaii Sustainable Fisheries Development (Aquaculture Program) (2004)
11.425	Coastal Energy Impact Program-Outer Continental Shelf State Participation Grants (1980B)	11.445	Stock Enhancement of Marine Fish in the State of Hawaii (1991U)
	Deleted (1985B)		Hawaii Stock Management (2001B)
11.426	Ocean Dumping and Monitoring Program (1980B)		Hawaii Stock Management Program (2002B)
	Financial Assistance for Marine Pollution Research (1981B)	11.446	Antarctic Marine Living Resources (1991U)
	Deleted (1990B)		Deleted (1999B)
	Reinstated (1991B)	11.448	Federal/State Cooperative Program in Atmospheric Modification Research (1992B)
	Financial Assistance for National Status and Trends Programs (1991B)		Federal/State Cooperative Program in Atmospheric Research (1995B)
	Financial Assistance for Ocean Resources Conservation and Assessment Program (1991U)		Deleted (1997B)
	Financial Assistance for National Centers for Central Coastal Ocean Science (1999B)		Reinstated (1998B)
	Financial Assistance for National Centers for Coastal Ocean Science (1999U)		Deleted (1998U)
11.427	Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (1980B)	11.449	Independent Education and Science Projects and Programs (1992B)
11.428	Intergovernmental Climate-Demonstration Project (1980U1)	11.450	Integrated Flood Observing and Warning System (IFLOWS) (1993B)
	Intergovernmental Climate-Programs (1983U)	11.452	Unallied Industry Projects (1993U)
	Intergovernmental Climate-Program (1995U)	11.454	Unallied Management Projects (1993B)
	Intergovernmental Climate-Program (NESDIS) (1999B)	11.455	Cooperative Science and Education Program (1993U)
	Deleted (2007)	11.457	Chesapeake Bay Studies (1992B)
11.429	Marine Sanctuary Program (1985B)	11.458	Alaska Salmon Enhancement (1993B)
11.430	Undersea Research (1987B)		Deleted (2004)
11.431	Climate and Atmospheric Research (1987B)		Consolidated into 11.438 (2004)
	Climate and Research (1992B)	11.459	Climate and Air Quality Research (1992B)
	Split into 11.459 (1992B)		

	Split from 11.431 (1992B)		Number changed to 20.805 (1981U)
	Weather and Air Quality Research (2002B)	11.506	State Marine Schools (1967B)
11.460	Congressionally Identified Program Needs (1992B)		Number changed to 20.806 (1981U)
	Special Oceanic and Atmospheric Projects (1992U)	11.507	Maritime Manpower Training (1969B)
11.461	Cooperative Institute (1992B)		U.S. Merchant Marine Academy (1970B)
	Deleted (1994U)		Number changed to 20.807 (1981U)
11.462	Hydrologic Research (1994B)	11.508	Capital Construction Fund (1973U)
11.463	Habitat Conservation (1993B)		Number changed to 20.808 (1981U)
11.464	Marine Research-Regional Programs (1993B)	11.509	Development and Promotion of Domestic Waterborne Transport Systems (1974U)
	Deleted (1997B)		Number changed to 20.809 (1981U)
11.466	Marine Instrumentation Special Projects (1993B)	11.510	Supplementary Training (1980U2)
	Deleted (1994B)		Number changed to 20.810 (1981U)
11.467	Meteorologic and Hydrologic Modernization Development (1993U)	11.511	Research and Development Assistance (1981B)
11.468	Applied Meteorology Research (1993B)		Number changed to 20.811 (1981U)
	Collaborative Applied Hydrometeorological Research Activities (1993U)	11.512	Construction Reserve Fund (1981B)
	Cooperative Institute for Applied Meteorological Studies (CIAMS) and Cooperative Institute for Tropical Meteorology (CITM) (1994B)		Number changed to 20.812 (1981U)
	Applied Meteorological Research (2002B)	11.550	Public Telecommunications Facilities (1979B)
11.469	Congressionally Identified Construction Projects (1993B)		Transferred from 13.413 (1979B)
	Congressionally Identified Awards and Projects (2004)		Public Telecommunications Facilities-Construction and Planning (1986B)
11.470	Office of Administration Special Programs (1993U)		Public Telecommunications Facilities-Planning and Construction (1994B)
	Deleted (2004)		Public Telecommunications Facilities Planning and Construction (2004)
	Consolidated into 11.481 (2004)	11.551	Public Telecommunications Services Program (1980U1)
11.472	Unallied Science Program (1994U)		Deleted (1983B)
11.473	Coastal Ecosystem Health (1995U)		National Endowment for Children's Educational Television (1993U)
	Coastal Services Center (1997B)		Deleted (1997B)
11.474	Atlantic Coastal Fisheries Cooperative Management Act (1995B)	11.552	Telecommunications and Information Infrastructure Assistance Program (1994B)
11.477	Fisheries Disaster Relief (1999B)		Technology Opportunities (2000B)
11.478	Coastal Ocean Program (1998B)		Technology Opportunities Program (2004)
	Center for Sponsored Coastal Ocean Research-Costal Ocean Program (2000B)		Deleted (2006)
11.480	National Ocean Service Intern Program (1999B)	11.553	NYC 9/11 Digital Television Program (2008)
11.481	Educational Partnership Program (2001U)	11.554	Low-Power Television and Translator Digital-to-Analog Conversion (2007)
11.500	Construction-Differential Subsidies (1970B)	11.555	Public Safety Interoperable Communications Grant Program (2008)
	Number changed to 20.800 (1981U)	11.556	TV Converter Box Coupon Program (2008)
11.501	Marine Development and Promotion Activities (1969B)	11.600	Building Code (Regulations) Assistance to States (1970B)
	Development and Promotion of Ports and Intermodal Transport Systems (1970B)		Deleted (1978U)
	Development and Promotion of Ports and Intermodal Transportation (1975B)	11.601	Calibration and Testing Services (1970B)
	Number changed to 20.801 (1981U)		Physical Measurement Services Program (1991U)
11.502	Aids to Shipping and Shipbuilding (1967B)		Calibration Program (1992B)
	Shipping and Shipbuilding Assistance (1969B)	11.602	Clearinghouse for Scientific and Technical Information (1967B)
	Federal Ship Mortgage Insurance (1970B)		Clearinghouse for Federal Scientific and Technical Information (1970B)
	Federal Ship Financing Guarantees (1973B)		Number changed to 11.650 (1971B)
	Number changed to 20.802 (1981U)	11.603	Standard Reference Data (1969B)
11.503	Maritime War Risk Insurance (1970B)		National Standard Reference Data System (1970B)
	Number changed to 20.803 (1981U)	11.604	Standard Reference Materials (1969B)
11.504	Operating-Differential Subsidies (1970B)	11.605	State Invention Program (1970B)
	Number changed to 20.804 (1981U)		Deleted (1975U)
11.505	Ship Exchange Program (1967B)	11.606	Weights, Measures, and Other Standards (1967B)
	Ships Exchange and Sales (1969B)		Weights and Measures Service (1969B)
	Ship Sales (1972U)		

11.607 Experimental Technology Incentives Program (1973U)
Deleted (1975B)

11.608 Fire Research and Safety Program (1974U)
Deleted (1978B)

11.609 Measurement and Engineering Research and Standards (1983B)

11.610 National Center for Standards and Certification Information (1986U)

11.611 Manufacturing Technology Centers Program (1992U)
Manufacturing Extension Program (1993B)
Manufacturing Technology Centers (1993U)
Manufacturing Extension Partnership (1994B)
Consolidated from 11.613 (1995B)

11.612 Advanced Technology Program (1990B)

11.613 State Technology Extension Program (1990B)
Consolidated into 11.611 (1995B)

11.614 Experimental Program to Stimulate Competitive Technology (1999B)
Deleted (2002B)

11.616 Technology Innovation Program (TIP) (2008)

11.617 Congressionally-Identified Projects (2002U)

11.650 National Technical Information Service (1971B)
Number changed from 11.602 (1971B)

11.651 Commerce Summary Statistical Data (1972B)
Incorporated into 11.650 (1973B)
Reinstated/Split from 11.650 (1980U1)
Science Information Exchange, Inc. (1980U1)
Deleted (1983B)

11.700A 6Measures and Analyses of the U.S. Economy (1969B)
Measures and Analyses of the United States Economy (1971B)
Number changed to 11.007 (1972B)

11.700B 6Academy Planning Assistance (1977B)
Transferred to 83.001 (1979B)

11.701 Importation of Duty-Free Education and Scientific Materials (1969B)
State Fire Incident Reporting Assistance (1977B)
Transferred to 83.002 (1979B)

11.702 Internship Program for Postsecondary Students (1999B)

11.750 Cooperative Generic Technology Program (1980U2)
Deleted (1982B)

11.800 Minority Business Enterprise (1970B)
Minority Business Enterprise-Coordination and Technical Assistance (1971B)
Minority Business Development-Coordination, Management, and Technical Assistance (1973B)
Minority Business Development-Management and Technical Assistance (1978U)
Minority Business Development Centers (1986U)
Split into 11.801, 11.802, 11.803 (1986U)
Basic Minority Business Development Centers (2000B)
Minority Business Development Centers (2001B)
Minority Business Enterprise Centers (2006)

11.801 American Indian Program (1986U)
Native American Program (1993U)
Native American Business Development Centers (2004)
Naataive American Business Enterprise Centers (2006)

11.802 Minority Business and Industry Association-Minority Chambers of Commerce (1986U)
Minority Business Resource Development (1991U)
Minority Business Development (1998B)
Deleted (2004)

11.803 Minority Business Development-State and Local Government Program (1986U)

11.803 Minority Business Opportunity Committee (2002B)
Deleted (1988B)
Reinstated (2002B)

11.806 Minority Business Opportunity Committee Development (2000B)
Minority Business Opportunity Committee (2002B)
Deleted (2003B)

11.900 Patent and Trademark Assistance (1969B)
Patent and Trademark Technical Information Dissemination (1970B)

11.950 Visitor Services (1969B)
Community Visitor Services (1972B)
Deleted (1972U)

11.951 Promotion of Travel from Abroad (1972B)
Matching Funds (1974B)
Deleted (1973U)
Reinstated (1974B)
Matching Funds (1974B)
Deleted (1980U1)

11.975 Telecommunications Management and Analysis (1971U)
Deleted (1973B)

11.980 Productivity and Economic Growth
Changed from 11.027 (1989B)
Deleted (1993B)

DEPARTMENT OF DEFENSE

Drainage Improvement (1965B)
Deleted (1969B)

Flood, Hurricane or Abnormal Tide Protection (1967B)
Deleted (1969B)

Health Benefits for Uniformed Military Servicemen and Dependents (1967B)
Deleted (1969B)

Hydroelectric Power Development (1965B)
Deleted (1969B)

Information and Guidance on Flood Hazards (1967B)
Deleted (1969B)

Military Support of Community Projects (1967B)
Deleted (1969B)

Navigation in Rivers and Harbors (1967B)
Deleted (1969B)

Nuclear Disaster Planning and Survival (1967B)
Deleted (1969B)

Recreation Facilities at Federal Water Resources Projects (1967B)
Deleted (1969B)

Water Quality Control (1967B)
Deleted (1969B)

Water Resources Development Program (1965B)

- Deleted (1967B)
- Water Resources Investigation (1967B)
- Deleted (1969B)
- Water Supply (1967B)
- Deleted (1969B)
- 12.000 Issue of Department Of Defense excess equipment (2005)
- 12.001 Machine Tool Loans to Vocational Schools. (1965B)
- Machine Tool Loan to Educational Institutions (1970B)
- Deleted (1973B)
- Reinstated (1974B)
- Industrial Equipment Loans to Educational Institutions (1974B)
- Deleted (1994B)
- 12.002 Procurement Technical Assistance for Business Firms (1988B)
- 12.100 Aquatic Plant Control (1967B)
- 12.101 Beach Erosion Control (1967B)
- Beach Erosion Control Projects (1971B)
- 12.102 Flood Control Works and Federally Authorized Coastal Protection Works, Rehabilitation (1984U)
- Emergency Rehabilitation of Flood Control Works and Federally Authorized Coastal Protection Works (1985U)
- Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works (1986U)
- 12.103 Emergency Operations (1965B)
- Emergency Flood and Hurricane Operations (1969B)
- Flood Fighting and Rescue Operations, and Emergency Protection of Coastal Protective Works Federally Authorized (1970B)
- Flood Fighting and Rescue Operations (1986U)
- Emergency Operations Flood Response and Post Flood Response (1987U)
- 12.104 Flood Control (1965B)
- Flood Plain Management Services (1969B)
- 12.105 Protection of Essential Highways, Highway Bridge Approaches, and Public Works (1970B)
- 12.106 Small Flood Control Projects (1970B)
- Flood Control Projects (1971B)
- 12.107 Limited Water Resources Development Projects (1967B)
- Small Navigation Projects (1969B)
- Navigation Projects (1971B)
- 12.108 Snagging and Clearing for Flood Control (1970B)
- 12.109 Snagging and Clearing for Navigation (1970U)
- Protection, Clearing and Straightening Channels (1978B)
- 12.110 Planning Assistance to States (1975U)
- 12.111 Flood Prevention (1983U)
- Emergency Advance Measures for Flood Prevention (1985U)
- 12.112 Payments to States (1986B)
- Payments to States in Lieu of Real Estate Taxes (1987B)
- 12.113 State Memorandum of Agreement Program for the Reimbursement of Technical Services (1991B)
- 12.114 Collaborative Research and Development (1994U)
- 12.116 DEPARTMENT OF DEFENSE APPROPRIATION ACT 2003 (2003B)
- 12.200 Memorial Plots in National Cemeteries (1970B)
- Transferred to 64.200 (1973U)
- 12.201 National Cemeteries (1969B)
- Transferred to 64.201 (1973U)
- 12.202 Procurement of Headstones and Markers (1970B)
- Transferred to 64.202 (1973U)
- 12.234 Civil Defense-Architect/Engineer Student Development (1972U)
- 12.300 Natural Disasters-Warning, Survival and Rehabilitation (1967B)
- Civil Defense (1969B)
- Civil Defense-Architect/Engineer Faculty Development (1970B)
- Deleted (1975B)
- 12.301 Civil Defense-Architect/Engineer Professional Development (1970B)
- Deleted (1977B)
- Basic and Applied Scientific Research, Reinstated (1999B)
- Deleted (2002B)
- 12.302 Civil Defense-Community Action (1970B)
- Civil Defense-Community Preparedness (1974B)
- Deleted (1977B)
- 12.303 Civil Defense-Community Involvement (1970B)
- Incorporated into 12.302 (1971B)
- 12.304 Civil Defense-Community Shelter Planning (1970B)
- Deleted (1973B)
- 12.305 Civil Defense-Emergency Operating Centers (1970B)
- Civil Defense-State and Local Emergency Operating Centers (1977B)
- Deleted (1979B)
- 12.306 Civil Defense-Emergency Water Supply Equipment (1970B)
- Deleted (1976U)
- 12.307 Civil Defense-Exhibits (1970B)
- Deleted (1975B)
- 12.308 Civil Defense-Federal Surplus Personal Property Donations (1970B)
- Deleted (1977U)
- 12.309 Civil Defense-Industrial Participation (1970B)
- Transferred to 83.205 (1980B)
- 12.310 Inspection, Maintenance, and Calibration of Civil Defense Radiological Equipment (1970B)
- Civil Defense-Radiological Systems Maintenance and Calibration of Civil Defense Radiological Equipment (1974B)
- Civil Defense-Radiological Systems Maintenance and Training of Radiological Personnel (1976U)
- Transferred to 83.206 (1980B)
- 12.311 Civil Defense-Marking and Stocking of Public Shelters (1970B)
- Deleted (1971U)
- 12.312 Civil Defense-National Fallout Shelter Survey (1970B)
- Civil Defense-National Shelter Survey (1975B)
- Transferred to 83.207 (1980B)
- 12.313 Civil Defense-National Organizations Liaison (1970B)
- Deleted (1973B)
- 12.314 Civil Defense-Staff College (1970B)
- Transferred to 83.400 (1980B)
- 12.315 Civil Defense-Personnel and Administrative Expenses (1970B)
- Civil Defense-State and Local Management (1977B)
- Transferred to 83.200 (1980B)
- 12.316 Civil Defense-Radiation Shielding Design and Advisory Services (1970B)
- Civil Defense-Radiation Shielding Design (1978B)
- Deleted, Transferred to 83.208 (1980B)

12.317	Civil Defense-Radiological Equipment Donation (1970B) Split from 12.300 (1970B) Deleted (1974U)		National Security Education Program David L. Boren Fellowships (2006)
12.318	Civil Defense-Shelter Information (1970B) Deleted (1973B)	12.553	National Flagship Language Program (NFLP) Fellowships (2006) National Flagship Language Program Fellowships (2006)
12.319	Civil Defense-Survival Supplies, Equipment and Training (1970B) Civil Defense-System Maintenance Services (1972B) Civil Defense-State and Local Maintenance and Services (1977B) Transferred to 83.201 (1980B)	12.554	English for Heritage Language Speakers Grants to U.S. Institutions of Higher Education (2006)
12.320	Civil Defense-University Extension (1970B) Consolidated into 12.325 (1974B)	12.555	English for Heritage Language Speakers Scholarships (2006)
12.321	Civil Defense-State and Local Supporting Systems Equipment (1971B) Civil Defense-State and local Supporting Materials (1977B) Deleted (1980B)	12.600	Community Economic Adjustment Program (1965B) Deleted (2007) Community Economic Adjustment (1969B)
12.322	Civil Defense-Loans of Excess Federal Personal Property (1971B) Civil Defense-Contributions Project Loan Program (1973B) Deleted (1980B)	12.601	Procurement in Labor Surplus Areas (1965B) Military Procurement from Labor Surplus Areas (1967B) Contracting With Labor Surplus Area Concerns Program (1970B) Contracting with Labor Surplus Area Concerns (1971B) Deleted (1973U)
12.323	Civil Defense-Education (1971) Consolidated into 12.325 (1974B)	12.602	Small Business Prime Contracting (1970B) Deleted (1973U)
12.324	Civil Defense-Architect/Engineer Student Development (1972U) Transferred to 83.401 (1980B)	12.603	Small Business Subcontracting Program (1970B) Small Business Subcontracting (1971B) Deleted (1973U)
12.325	Civil Defense-State and Local Civil Preparedness Instruction Program (1974B) Consolidated from 12.320, 12.323 (1974B) Deleted (1977B)	12.605	Small Business Subcontracting (1973B) Deleted (1973U)
12.350	Department of Defense HIV/AIDS Prevention Program (2006)	12.606	Selected Reserve Educational Assistance Program (1980U1) Deleted (1985U)
12.351	Basic Scientific Research - Combating Weapons of Mass Destruction (2007)	12.607	Military Base Reuse Studies and Community Planning Assistance (1985B) COMMUNITY ECONOMIC ADJUSTMENT ASSISTANCE FOR ESTABLISHMENT, EXPANSION, REALIGNMENT, OR CLOSURE OF A MILITARY INSTALLATION (2007) Community Economic Adjustment Planning Assistance (1994B)
12.352	Scientific Research - Combating Weapons of Mass Destruction (2007)	12.608	Impact Assistance for Areas Affected by the East Coast Trident Program (1985B) Deleted (1994B)
12.360	Research on Chemical and Biological Defense (2007)	12.609	Selected Reserve Educational Assistance Program (1985U) Deleted (1994B)
12.400	National Guard Armories (1967B) Construction Program, Army National Guard (1970B) Construction, Army National Guard (1971B) Military Construction, Army National Guard (1974B) Military Construction, National Guard (1994B)	12.610	Joint Military/Community Comprehensive Land Use Plans (1989B) Community Economic Adjustment Planning Assistance for Joint Land Use Studies (2004) Joint Land Use Studies (1991U)
12.401	National Guard Military Operations and Maintenance (O&M) Projects (1996B)	12.611	Community Planning Assistance for Defense Industry Adjustments (1992B) Community Economic Adjustment Planning Assistance Reduction in Defense Industry Employment (1994B)
12.404	National Guard Civilian Youth Opportunities (2001B)	12.612	Community Base Reuse Plans (1994B)
12.420	Military Medical Research and Development (1991B)	12.613	Growth Management Planning Assistance (1994B)
12.431	Basic Scientific Research (1992U)	12.614	Community Economic Adjustment Assistance for Advance Planning (2005) COMMUNITY ECONOMIC ADJUSTMENT DIVERSIFICATION PLANNING (2007)
12.500	U.S. Soldiers Home (1970B) U.S. Soldiers' and Airmen's Home (1972U) U.S. Soldiers' and Airmen's Home (USSAH) and U.S. Naval Home (USNH) (1992B) Deleted (1994B)	12.630	Basic, Applied, and Advanced Research in Science and Engineering (1994U)
12.550	International Education-U.S. Colleges and Universities (1996U) National Flagship Language Program Grants To U.S. Institutions Of Higher Education (2006)	12.640	Integrated Helicopter Design Tools (1998B)
12.551	National Security Education-Scholarships (1998B) National Security Education Program David L. Boren Scholarships (2006)	12.700	Donations/Loans of DOD Surplus Property (1971B) Donations/Loans of Obsolete DOD Property (1981U)
12.552	National Security Education-Fellowships (1998B) National Security Education Program David L. Boren Fellowships (2006)	12.800	Air Force Defense Research Sciences Program (1994B)
		12.900	Language Grant Program (1992U)
		12.901	Mathematical Sciences Grants Program (1992U)

- 12.902 Information Security Grant Program (1995U)
- 12.910 Research and Technology Development (1992B)
- 12.911 Defense Technology Conversion, Reinvestment, and Transition Assistance (1994B)
Deleted (2002B)

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Arts and Humanities Institutes (1967B)
Deleted (1969B)

Assistance for Public Schools Affected by Major Disasters (1965B)
Disaster Assistance for Public Schools (1967B)
Deleted (1969B)

Chronic Diseases and Health Problems of the Aged (1965B)
Deleted (1969B)

Community Health Services for Chronically Ill and Aged (1965B)
Deleted (1969B)

Community Immunization Program (1967B)
Deleted (1969B)

Comprehensive Planning for Vocational Rehabilitation Services (1967B)
Deleted (1969B)

Construction of Sewage Treatment Works in Appalachia (1965B)
Deleted (1967B)

Consumer Education (1967B)
Deleted (1969B)

Comprehensive State Health Planning (1967B)
Comprehensive Health Planning-Grants to States (1969B)
Deleted (1970B)

Consumer Health Protection (1967B)
Deleted (1969B)

Cooperative Research Program (1965B)
Deleted (1969B)

Correctional Rehabilitation Study Program (1965B)
Deleted (1969B)

Counseling and Guidance Institutes (1967B)
Deleted (1969B)

Dental Care for the Chronically Ill, Aged, and Mentally Retarded (1965B)
Dental Health Programs in Communities (1967B)
Deleted (1969B)

Comprehensive Health Planning (1967B)
Deleted (1969B)

Development and Expansion of Community Mental Health Programs (1965B)
Deleted (1969B)

Disaster Relief for Public Institutions of Higher Education (1967B)
Deleted (1969B)

Drug Abuse Control (1967B)
Deleted (1969B)

Educational Advisory Services and Data (1965B)
Deleted (1969B)

Education and Training Facilities to Obtain Employment in Appalachian Region (1965B)
Deleted (1969B)

Education of Children of Low-Income Families (1965B)
Improving Education of Educationally Deprived Children (1967B)
Educationally Deprived Children (1969B)
Split into 13.427, 13.428, 13.429, 13.430, 13.431 (1970B)
Educational Assistance for Federally Affected Areas (1965B)
Deleted (1967B)

Environmental Engineering and Food Protection (1965B)
Deleted (1967B)

Equipment to Improve Undergraduate Instruction (1965B)
Deleted (1967B)

Environmental Sanitation (1967B)
Split into 13.328, 13.329 (1970B)

Faculty Development Programs (1965B)
Faculty Development Programs in Educational Media (1967B)
Deleted (1969B)

Federal Credit Unions-Loans and Thrift and Financial Counseling (1965B)
Federal Credit Union Charter, Examination and Supervision (1969B)
Transferred to 44.001 (1970B)

Federal, State, and Private Programs of Low Interest Loans to Students in Institutions of Higher Education (1965B)
Deleted (1967B)

Fellowships for Teachers (1965B)
Deleted (1967B)

Full Utilization of Educational Talent (1967B)
Deleted (1969B)

Grants for Improving State Hospitals for the Mentally Retarded (1967B)
Deleted (1969B)

Grants for Community Health Practice and Research (1965B)
Grants for Public Health Practice and Research (1967B)
Deleted (1969B)

Grants to State Educational Agencies to Improve Statistical Services (1967B)
Deleted (1969B)

Handicapped Children-Assistance to State Schools (1969B)
Incorporated into Handicapped Preschool, School Programs (1970B)

Handicapped Children and Youth Research and Demonstration (1967B)
Deleted (1969B)

Health Facilities For Appalachian Regional Development (1965B)
Appalachia Regional Development-Demonstration Health Facilities (1967B)
Deleted (1969B)

Health Professions Educational Assistance (1965B)
Health Manpower Education and Utilization-Institutional Support (1969B)
Split into 13.339, 13.340, 13.341, 13.342 (1970B)

Health Referral Services for Armed Forces Medical Rejectees (1965B)
Deleted (1969B)

Health Research Facilities Construction (1967B)
Health Research Facilities and Resources-Construction (1969B)
Deleted (1969B)

Injury Control (1967B)

Deleted (1969B)

Institutes for Advanced Study (1967B)

Deleted (1969B)

Institutes for Teachers of Disadvantaged Youth-National Program (1965B)

Deleted (1969B)

Institutional Assistance Grant Program (1967B)

Deleted (1969B)

Instructional Equipment Program (1967B)

Deleted (1969B)

Intensive Community Immunization Program (1965B)

Deleted (1967B)

Juvenile Delinquency and Youth Offences Prevention and Control (1965B)

Juvenile Delinquency Prevention and Control (1969B)

Split into 13.711, 13.712, 13.713 (1970B)

Low Interest Loans to Students in Institutions of Higher Education (1967B)

Deleted (1969B)

Medical Assistance to the Aged-Kerr-Mills Program (1965B)

Deleted (1970B)

Medical Library Assistance (1965B)

Split into 13.348, 13.349, 13.350, 13.351, 13.352, 13.353 (1970B)

Mental Health Centers in Communities (1965B)

Deleted (1969B)

Mental Health-Research and Training (1965B)

Split into 13.242, 13.244 (1970B)

Mental Retardation (1967B)

Deleted (1969B)

Mental Retardation-Research Centers (1965B)

Deleted (1967B)

Mental Retardation-University Affiliated Clinical Facilities (1965B)

Deleted (1969B)

Narcotic Drug Problems (1965B)

Deleted (1969B)

National Technical Institute for the Deaf (1965B)

Deleted (1970B)

National Vocational Student Loan Insurance (1965B)

Deleted (1969B)

New Media Research (1967B)

Deleted (1969B)

Project Support for Handicapped Individuals (1967B)

Deleted (1970B)

Projects for Health Care and Services for School and Preschool Children (1967B)

Health of School and Preschool Children Projects (1969B)

Deleted (1970B)

Prospective Teacher Fellowship Program (1967B)

Deleted (1969B)

Public Health Training for American Indians (1965B)

Public Health Training-Traineeships (1965B)

Deleted (1969B)

Real Property for Educational and Public Health Use (1965B)

Surplus Property for Educational and Public Health Uses (1967B)

Surplus Property Utilization (1969B)

Transferred to 39.002 (1970B)

Refugee Assistance (1965B)

Cuban Refugee Program (1967B)

Split into 13.725, 13.726, 13.727 (1970B)

Research and Demonstration Projects-Social Welfare and Social Security (1965B)

Deleted (1967B)

Research Training (1967B)

Deleted (1969B)

Science Youth Activities (1967B)

Deleted (1969B)

Social Security-Old Age, Survivors, and Disability Insurance (1965B)

Social Security-Retirement and Survivors Insurance (1967B)

Incorporated into 13.802, 13.803, 13.805 (1969B)

Strengthening Instruction in the Humanities and the Arts (1967B)

Deleted (1970B)

Strengthening State Departments of Education (1967B)

Split into 13.485, 13.486 (1970B)

Teaching Materials for the Blind (1965B)

Educational Materials for the Blind (1969B)

Deleted (1970B)

Training of Professional Personnel for Care of Crippled Children (1967B)

Deleted (1969B)

Treatment of Narcotic Addicts (1965B)

Narcotic Addict-Clinical Centers (1969B)

Deleted (1970B)

Tuberculosis Special Project Grants (1967B)

Deleted (1968)

Veneral Disease Project Grants (1967B)

Deleted (1968)

Vocational Education in Specified Occupational Areas (1965B)

Deleted (1967B)

Vocational Rehabilitation Research and Demonstration Grant Program (1967B)

Deleted (1969B)

Vocational Rehabilitation Services to Blind Persons (1967B)

Deleted (1970B)

Vocational Student Loan Insurance (1967B)

Deleted (1969B)

Water Pollution Control Programs (1965B)

Deleted (1967B)

Work Experience Program (1965B)

Deleted (1969B)

Work Study Grants (1967B)

Deleted (1969B)

Education of Handicapped and Deaf-Blind Children (1969B)

Consolidated into Educationally Deprived Children-Handicapped (1970B)

Educational Research, Surveys, Demonstrations, and Dissemination (1969B)

Deleted (1970B)	Deleted (1970B)
Educational Research Facilities (1969B)	Health Research Facilities and Resources-Special Research Resources (1969B)
Deleted (1970B)	Deleted (1970B)
Higher Education Equipment (1969B)	Health Research-Fellowships (1969B)
Deleted (1970B)	Deleted (1970B)
Regional Educational Research (1969B)	Health Research-Project Grants (1969B)
Deleted (1970B)	Deleted (1970B)
International Organizations Recruitment (1969B)	Health Research-Training Grants (1969B)
Deleted (1970B)	Deleted (1970B)
Comparative Education Services (1969B)	Services for Older Americans (1965B)
Deleted (1970B)	Aging-Community Planning, Services and Training (1969B)
Mental Retardation-Information and Coordination (1969B)	Aging-Training (1969B)
Deleted (1970B)	Incorporated into 13.700, 13.701, 13.702 (1970B)
Gallaudet College (1969B)	Medical Assistance to Public Assistance Recipients (1969B)
Deleted (1970B)	Deleted (1970B)
Howard University (1969B)	Rehabilitation Research and Demonstrations (1969B)
Deleted (1970B)	Deleted (1970B)
Model Secondary School for the Deaf (1969B)	Rehabilitation Research and Training Centers (1969B)
Deleted (1970B)	Deleted (1970B)
Public Broadcasting Corporation (1969B)	Vocational Rehabilitation Facilities and Workshops (1969B)
Deleted (1970B)	Deleted (1970B)
Food and Drug-Advisory Opinions Survey (1969B)	Vocational Rehabilitation Services to Blind Persons (1969B)
Consolidated into 13.100 (1970B)	Deleted (1970B)
Food and Drug-Consumer Education (1965B)	Vocational Rehabilitation Training (1969B)
Deleted (1970B)	Deleted (1970B)
Food and Drug-Regulatory Activities (1969B)	Deaf-Blind Youth and Adults (1969B)
Deleted (1970B)	Deleted (1970B)
Food and Drug-Training for State and Local Officials (1969B)	Mental Retardation-Initial Staffing of Community Facilities (1969B)
Deleted (1970B)	Deleted (1970B)
Food and Drug-Voluntary Compliance (1969B)	Rehabilitation Services Expansion (1969B)
Consolidated into 13.100 (1970B)	Deleted (1970B)
Milk and Food-Consumer Protection (1969B)	Social Statistics (1969B)
Deleted (1970B)	Deleted (1970B)
Health Facilities Consultation and Technical Assistance (1969B)	13.001 Air Pollution Program (1965B)
Deleted (1970B)	Air Pollution Control (1967B)
Narcotic and Drug Abuse-Research and Training (1969B)	The program was split into the following programs: (1969B)
Deleted (1970B)	Air Pollution-Control, Technical Assistance, and Information (1969B)
Patient Care and Special Health Services (1969B)	Air Pollution-Interstate Abatement and Motor Vehicle Emission Standards (1969B)
Deleted (1970B)	Air Pollution-Research, Development, and Survey (1969B)
Alcoholism Prevention and Control (1969B)	Air Pollution-Training and Fellowships (1969B)
Deleted (1970B)	Air Pollution Control Program Grants (1970B)
Applied Research and Training-Clinical Center (1969B)	Transferred to 66.001 (1971B)
Deleted (1970B)	13.002 Air Pollution Fellowships (1970B)
Health Education Facilities Construction (1969B)	Transferred to 66.002 (1971B)
Deleted (1970B)	13.003 Air Pollution Manpower Training Grants (1970B)
Health Research-Cerebrovascular Traineeships (1969B)	Transferred to 66.003 (1971B)
Deleted (1970B)	13.004 Air Pollution Research Grants (1970B)
Health Research Facilities and Resources-General Clinical Research Centers (1969B)	Transferred to 66.004 (1971B)
Deleted (1970B)	13.005 Air Pollution Survey and Demonstration Grants (1970B)
Health Research Facilities and Resources-General Research Support (1969B)	

	Transferred to 66.005 (1971B)		Number changed to 93.103 (1990U)
13.006	Housing and Urban Environmental Health (1969B) Community Environmental Management Fellowships (1970B) Deleted (1971B)	13.104	Food Research Training Grants (1971B) Deleted (1973B) Reinstated (1973U) Deleted (1975U)
13.007	Community Environmental Management Research Grants (1970B) Deleted (1971B)	13.105	Radiological Health Research Grants (1971U) Incorporated into 13.103 (1974B)
13.008	Community Environmental Management Training Grants (1970B) Deleted (1971B)	13.106	Radiological Health Training Grants (1971U) Deleted (1973B) Reinstated (1973U) Deleted (1975U)
13.009	Environmental Control Training Institute-Direct Training (1970B) Deleted (1971B)	13.108	Health Education Assistance Loans (1981B) Transferred from 84.090 (1981B) Number changed to 93.108 (1990U)
13.010	Occupational Health (1967B) Occupational Health Research Grants (1970B) Number changed to 13.262 (1972B)	13.110	Maternal and Child Health Federal Consolidated Programs (1982B) Number changed to 93.110 (1990U)
13.011	Occupational Health Training Grants (1970B) Number changed to 13.263 (1972B)	13.111	Adolescent Family Life Research Grants (1982B) Number changed to 93.111 (1990U)
13.012	Radiological Health (1967B) Radiological Health Research Grants (1970B) Split into 13.012, 13.013 (1970B) Transferred to 66.200 (1971B)	13.112	Characterization of Environmental Health Hazards (1983B) Split from 13.892, 13.893 (1983B) Number changed to 93.112 (1990U)
13.013	Radiological Health Training Grants (1970B) Transferred to 66.201 (1971B)	13.113	Biological Response to Environmental Health Hazards (1983B) Split from 13.892, 13.893 (1983B) Number changed to 93.113 (1990U)
13.014	Solid Wastes Demonstration Grants (1970B) Transferred to 66.300 (1971B)	13.114	Applied Toxicological Research and Testing (1983B) Split from 13.892, 13.893 (1983B) Number changed to 93.114 (1990U)
13.015	Solid Wastes Planning Grants (1970B) Transferred to 66.301 (1971B)	13.115	Biometry and Risk Estimation (1983B) Split from 13.892, 13.893 (1983B) Biometry and Risk Estimation-Health Risks from Environmental Exposures (1985B) Number changed to 93.115 (1990U)
13.016	Solid Waste Program (1967B) Solid Wastes-Financial Assistance (1969B) Solid Wastes Research Grants (1970B) Transferred to 66.302 (1971B)	13.116	Project Grants and Cooperative Agreements for Tuberculosis Control Programs (1983B) Number changed to 93.116 (1990U)
13.017	Solid Wastes-Technical Assistance and Training (1969B) Solid Wastes Training Grants (1970B) Transferred to 66.303 (1971B)	13.117	Grants for Preventive Medicine Residency Training (1983B) Number changed to 93.117 (1990U)
13.018	Water Hygiene (1969B) Water Hygiene Research Grants (1970B) Split into 13.018, 13.019 (1970B) Transferred to 66.414 (1971B)	13.118	Acquired Immunodeficiency Syndrome (AIDS) Activity (1983U) Number changed to 93.118 (1990U)
13.019	Water Hygiene Training Grants (1970B) Deleted (1971B)	13.119	Grants for Podiatric Medicine Training (1984B) Incorporated into 13.199 (1990B)
13.100	Food and Drug-Consumer Protection Research (1969B) Food and Drug Research Projects Grants (1970B) Incorporated/Split into 13.101, 13.103 (1971B)	13.120	Mental Health Services for Cuban Entrants (1984B) Number changed to 93.120 (1990U)
13.101	Food and Drug Research-Product Safety Research Grants (1970U) Product Safety Research Grants (1971B) Deleted (1973B)	13.121	Diseases of the Teeth and Supporting Tissues (1984B) Consolidated from 13.840, 13.841, 13.843, 13.878 (1984B) Disorders of Craniofacial Structure and Function, and Behavioral Aspects of Dentistry (1985B) Number changed to 93.121 (1990U)
13.102	Food and Drug Research-Pesticides Research Grants (1970U) Transferred to 66.100 (1971B)	13.122	Disorders of Structure, Function, and Behavior (1984B) Consolidated from 13.842, 13.844 (1984B) Disorders of Craniofacial Structure Function, and Behavioral Aspects of Dentistry (1985B) Consolidated into 93.121 (1990U)
13.103	Food Research Grants (1971B) Split from 13.100 (1971B) Food and Drug Administration-Research Grants (1974B) Food and Drug Administration-Research (1977U)		

- 13.123 Health Professions Pregraduate Scholarship Program for Indians (1984U)
Number changed to 93.123 (1990U)
- 13.124 Nurse Anesthetist Traineeships (1985B)
Number changed to 93.124 (1990U)
- 13.125 Mental Health Planning and Demonstration Projects (1985B)
Number changed to 93.125 (1990U)
- 13.126 Small Business Innovation Research (1985B)
Number changed to 93.126 (1990U)
- 13.127 Emergency Medical Services for Children (1985U)
Deleted (1988B)
Reinstated (1989B)
Number changed to 93.127 (1990U)
- 13.128 Refugee Assistance-Mental Health (1985U)
Deleted (1989B)
- 13.129 Technical and Non-Financial Assistance to Community Health Centers (1985U)
Number changed to 93.129 (1990U)
- 13.130 Primary Care Services Planning and Development Cooperative Agreements (1985U)
Number changed to 93.130 (1990U)
- 13.131 Shared Research Facilities for Heart, Lung, and Blood Diseases (1985U)
Deleted (1988B)
- 13.132 Acquired Immune Deficiency Syndrome (AIDS) Research (1986B)
Acquired Immunodeficiency Syndrome (AIDS) Research (1988B)
Incorporated into 13.821 (1989B)
- 13.133 Health Services Delivery to AIDS Victims-Demonstration Grants (1986B)
Health Services Delivery to Persons with AIDS-Demonstration Grants (1988B)
Number changed to 93.133 (1990U)
- 13.134 Assistance for Organ Procurement Organizations (1986B)
Number changed to 93.134 (1990U)
- 13.135 Centers for Research and Demonstration of Health Promotion and Disease Prevention (1986U)
Centers for Research and Demonstration for Health Promotion and Disease Prevention (1987B)
Number changed to 93.135 (1990U)
- 13.136 Research and Demonstration Projects, Injury Prevention Research Centers, and Incentive Grants for Intervention Projects (1986U)
Injury Prevention and Control Research Projects, Injury Prevention Research Centers, Applied Methods in Surveillance Projects, and State and Community-Based Injury Control Projects (1989B)
Number changed to 93.136 (1990U)
- 13.137 Minority Community Health Coalition Demonstration (1986U)
Number changed to 93.137 (1990U)
- 13.138 Protection and Advocacy for Mentally Ill Individuals (1986U)
Number changed to 93.138 (1990U)
- 13.139 Financial Assistance for Disadvantaged Health Professions Students (FADHPS) (1986U)
Number changed to 93.139 (1990U)
- 13.140 Intramural Research Training Award (1987B)
Number changed to 93.140 (1990U)
- 13.141 Alcohol, Drug Abuse Treatment and Rehabilitation Block Grant (1987B)
Deleted (1989B)
- 13.142 NIEHS Hazardous Waste Worker Health and Safety Training (1987B)
Number changed to 93.142 (1990U)
- 13.143 NIEHS Superfund Hazardous Substances-Basic Research and Education (1987B)
Number changed to 93.143 (1990U)
- 13.144 Drug and Alcohol Abuse-High-Risk Youth Demonstration Grants (1987B)
Drug and Alcohol Abuse Prevention-High-Risk Youth Demonstration Grants (1989B)
Number changed to 93.144 (1990U)
- 13.145 AIDS Education and Training Centers (1987U)
Number changed to 93.145 (1990U)
- 13.146 AIDS Drug Reimbursements (1987U)
Temporary AIDS Drug Reimbursements (1990B)
Number changed to 93.146 (1990U)
- 13.147 Post-Baccalaureate Faculty Fellowships (1987U)
Number changed to 93.147 (1990U)
- 13.148 Leadership and Excellence in Alzheimer's Disease and Related Dementias (1987U)
Incorporated into 13.866 (1989B)
- 13.149 Grants for Two-Year Programs of Schools of Medicine or Osteopathy (1987U)
Grants for Two-Year Programs of Schools of Medicine or Osteopathic Medicine (1990B)
Number changed to 93.149 (1990U)
- 13.150 Community Health Services Block Grant for the Homeless (1987U)
Mental Health Services for the Homeless Block Grant (1988B)
Number changed to 93.150 (1990U)
- 13.151 Project Grants for Health Services to the Homeless (1987U)
Number changed to 93.151 (1990U)
- 13.152 Community Demonstration Grant Projects for Alcohol and Drug Abuse Treatment of Homeless Individuals (1987U)
Number changed to 93.152 (1990U)
- 13.153 Pediatric AIDS Health Care Demonstration Program (1988B)
Number changed to 93.153 (1990U)
- 13.154 Special International Postdoctoral Research Program in Acquired Immunodeficiency Syndrome (1988B)
Number changed to 93.154 (1990U)
- 13.155 Rural Health Policy/Research Centers (1988B)
Rural Health Research Centers (1988U)
Number changed to 93.155 (1990U)
- 13.156 Grants for Faculty Training Projects in Geriatric Medicine and Dentistry (1988B)
Number changed to 93.156 (1990U)
- 13.157 Excellence in Minority Health Education and Care (1988B)
Number changed to 93.157 (1990U)
- 13.158 State Comprehensive Mental Health Service Planning Development Grants (1988B)
Deleted (1990B)
- 13.159 Health Care Services in the Home (1988U)
Number changed to 93.159 (1990U)
- 13.160 Minority AIDS Education/Prevention Grants (1988U)
Number changed to 93.160 (1990U)
- 13.161 Health Program for Toxic Substances and Disease Registry (1988U)
Number changed to 93.161 (1990U)
- 13.162 National Health Service Corps Loan Repayment (1988U)
Number changed to 93.162 (1990U)
- 13.163 Health Services in the Pacific Basin (1988U)

- Number changed to 93.163 (1990U)
- 13.164 Indian Health Service Educational Loan Repayment (1988U)
Number changed to 93.164 (1990U)
- 13.165 Grants for State Loan Repayment (1988U)
Number changed to 93.165 (1990U)
- 13.166 Indian Health Service Health Promotion and Disease Prevention Demonstration Projects (1988U)
Number changed to 93.166 (1990U)
- 13.167 Research Facilities Improvement (1988U)
Number changed to 93.167 (1990U)
- 13.168 Human Immunodeficiency Virus (HIV) Services Planning Program Grants (1989B)
Deleted (1990B)
- 13.169 Model Projects for Pregnant and Postpartum Women and Their Infants (Substance Abuse) (1989B)
Number changed to 93.169 (1990U)
- 13.170 Community Youth Activity Demonstration Grants (1989B)
Number changed to 93.170 (1990U)
- 13.171 Community Youth Activity Block Grants (1989B)
Number changed to 93.171 (1990U)
- 13.172 Human Genome Research (1989B)
Split from 13.862 (1989B)
Number changed to 93.172 (1990U)
- 13.173 Biological Research Related to Deafness and Communicative Disorders
Consolidated from 13.853, 13.854 (1989B)
Number changed to 93.173 (1990U)
- 13.174 Conference Grant (Substance Abuse) (1989B)
Number changed to 93.174 (1990U)
- 13.175 Drug Abuse Treatment Waiting List Reduction Grants (1989B)
Number changed to 93.175 (1990U)
- 13.176 ADAMHA Small Instrumentation Program Grants (1989B)
Number changed to 93.176 (1990U)
- 13.177 Integrated Community-Based Primary Care and Drug Abuse Treatment Services (1989U)
Number changed to 93.177 (1990U)
- 13.178 Nursing Education Opportunities for Individuals from Disadvantaged Backgrounds (1989U)
Number changed to 93.178 (1990U)
- 13.179 State Data Collection-Uniform Alcohol and Drug Abuse Data (1989U)
Number changed to 93.179 (1990U)
- 13.180 Medical Treatment Effectiveness Research (1989U)
Number changed to 93.180 (1990U)
- 13.181 Podiatric Primary Care Residency Training (1989U)
Number changed to 93.181 (1990U)
- 13.182 Scholarships for the Undergraduate Education of Professional Nurses (1989U)
Number changed to 93.182 (1990U)
- 13.183 Federal Assistance for Rural Hospitals for the Advancement and Improvement of Health Care Services and the Enhancement of Quality Care (1989U)
Number changed to 93.183 (1990U)
- 13.184 Disabilities Prevention (1989U)
Number changed to 93.184 (1990U)
- 13.185 Immunization Research, Demonstration, Public Information and Education-Education, Training, and Clinical Skills Improvement Projects (1989U)
Number changed to 93.185 (1990U)
- 13.186 National Research Services Awards (1989U)
Number changed to 93.186 (1990U)
- 13.187 Research Program on Generic Issues Related to Health Assessments (1990B)
Deleted (1990U)
- 13.188 Special Project Grants to Schools of Public Health (1990B)
Number changed to 93.188 (1990U)
- 13.189 Health Education and Training Centers (1990B)
Number changed to 93.189 (1990U)
- 13.190 Model Education Projects for Health Professions (1990B)
Number changed to 93.190 (1990U)
- 13.191 Allied Health Project Grants (1990B)
Number changed to 93.191 (1990U)
- 13.192 Interdisciplinary Training for Health Care for Rural Areas (1990B)
Number changed to 93.192 (1990U)
- 13.193 Urban Indian Alcohol and Substance Abuse Prevention, Treatment, Rehabilitation and Education (1990B)
Number changed to 93.193 (1990U)
- 13.194 Community Partnership Demonstration Grant (1990B)
Number changed to 93.194 (1990U)
- 13.195 Disaster Relief Assistance Grants for Drug Abuse Treatment (1990B)
Number changed to 93.195 (1990U)
- 13.196 Cooperative Agreements for Drug Abuse Treatment Improvement Projects in Target Cities (1990B)
Number changed to 93.196 (1990U)
- 13.197 Childhood Lead Poisoning Prevention Projects-State and Community-Based Childhood Lead Poisoning Prevention (1990B)
Number changed to 93.197 (1990U)
- 13.198 Biological Models and Materials Resources (1990B)
Number changed to 93.198 (1990U)
- 13.199 HIV Home and Community-Based Health Services (1990B)
Number changed to 93.199 (1990U)
- 13.200 Communicable Diseases Protection (1969B)
Communicable Disease Prevention and Control-Consultation and Technical Assistance (1970B)
Split into 13.200, 13.201, 13.202, 13.203 (1970B)
Disease Control-Consultation and Technical Assistance (1972B)
Consolidated into 13.283 (1974B)
- 13.201 Communicable Diseases-Laboratory Improvement (1970B)
Disease Control-Laboratory Improvement (1972B)
Deleted (1973B)
- 13.202 Communicable Diseases-Research Grants (1970B)
Disease Control-Research Grants (1971B)
Deleted (1973B)
- 13.203 Communicable Diseases-Training Public Health Workers (1970B)
Disease Control-Training Public Health Workers (1971B)
Deleted (1973B)
- 13.204 Communicable Diseases Services and Control (1969B)
Communicable Diseases-Tuberculosis Control (1970B)
Split into 13.204, 13.205 (1970B)

- Disease Control-Tuberculosis (1972B)
Consolidated into 13.283 (1974B)
- 13.205 Communicable Diseases-Venereal Disease Control (1970B)
Disease Control-Venereal Disease (1972B)
Consolidated into 13.283 (1974B)
- 13.206 Areawide Comprehensive Health Planning Projects (1967B)
Comprehensive Health Planning-Areawide Grants (1970B)
Deleted (1975B)
- 13.207 Areawide Planning of Health Facilities (1967B)
Comprehensive Health Planning-Grants to States (1970B)
Deleted (1975B)
- 13.208 Training, Studies, and Demonstrations in Comprehensive Health Planning (1967B)
Comprehensive Health Planning-Training, Studies and Demonstrations (1970B)
Deleted (1973B)
- 13.209 Comprehensive Health Care (1969B)
Comprehensive Health Services-Standard Setting and Resource Development (1970B)
Incorporated into 13.206, 13.207, 13.208, 13.210, 13.224 (1971B)
- 13.210 Comprehensive Public Health Services (1967B)
Public Health Comprehensive Services-Grants (1969B)
Comprehensive Public Health Services-Formula Grants (1970B)
Health Incentive Grants for Comprehensive Public Health Services (1979U2)
Consolidated into 13.991 (1982B)
- 13.211 Crippled Children's Services (1965B)
Services for Crippled Children (1967B)
Consolidated into 13.994 (1982B)
- 13.212 Dental Health of Children (1970B)
Deleted (1973B)
Reinstated (1973U)
Incorporated into 13.232 (1974U)
- 13.213 Emergency Health-Civil Defense Medical Self-Help (1970B)
Deleted (1973B)
- 13.214 Emergency Health Activities (1969B)
Emergency Health-Community Preparedness (1970B)
Deleted (1973B)
- 13.215 Manpower Development and Training-Classroom Instruction (1969B)
Emergency Health-Hospital and Ambulance Services (1970B)
Incorporated into 13.214 (1972B)
- 13.216 Emergency Health-Medical Stockpile (1970B)
Deleted (1973B)
- 13.217 Family Planning Projects (1970B)
Incorporated into 13.232 (1974U)
Reinstated/Split from 13.232 (1975B)
Family Planning-Services (1983U)
Number changed to 93.217 (1990U)
- 13.218 Health of School and Preschool Children Projects (1969B)
Health Care of Children and Youth-Special Projects (1970B)
Health Care of Children and Youth (1971B)
Deleted (1973B)
Reinstated (1973U)
- Incorporated into 13.232 (1974U)
- 13.219 Hospital and Medical Facilities Construction (1965B)
Health Facilities Construction (1969B)
Specialized Clinical Equipment in Hospitals (1969B)
Health Facilities Construction-Diagnostic and Treatment Centers (1970B)
Split into 13.219, 13.220, 13.221, 13.222 (1970B)
Incorporated into 13.220 (1971B)
- 13.220 Health Facilities Construction-Hospitals and Public Health Centers (1970B)
Health Facilities Construction-Grants (1971B)
Deleted (1975B)
Reinstated (1977U)
Medical Facilities Construction-Formula Grants (1977U)
Incorporated into 13.887 (1979U1)
- 13.221 Health Facilities Construction-Long-Term Care Facilities (1970B)
Incorporated into 13.220 (1971B)
- 13.222 Health Facilities Construction-Rehabilitation Facilities (1970B)
Incorporated into 13.220 (1971B)
- 13.223 Community Health Planning (1965B)
Health Facilities Consultation and Technical Assistance (1969B)
Health Facilities Construction-Technical Assistance (1970B)
Deleted (1975B)
- 13.224 Health Services Development Projects (1967B)
Health Services Development (1969B)
Health Services Development-Project Grants (1970B)
Split into 13.224, 13.267 (1972B)
Community Health Centers (1976U)
Number changed to 93.224 (1990U)
- 13.225 Health Services Research and Development (1969B)
Health Services Research and Development-Fellowships and Training (1970B)
Deleted (1973B)
Reinstated (1973U)
Deleted (1975U)
National Research Service Awards-Health Services Research Training, Reinstated (1986B)
Number changed to 93.225 (1990U)
- 13.226 Health Services Research and Development-Grants and Contracts (1970B)
Health Services Research and Development-Grants to State Agencies (1980B)
Health Services Research and Development Grants (1980U1)
Number changed to 93.226 (1990U)
- 13.227 Health Statistics (1965B)
Health Statistics Analysis and Technical Assistance (1970B)
Health Statistics Training and Technical Assistance (1971B)
Deleted (1981B)
- 13.228 Indian Health Activities (1969B)
Indian Health Services (1970B)
Indian Health Services-Health Management Development Program (1977B)
Number changed to 93.228 (1990U)
- 13.229 Health Services and Facilities for American Indians (1965B)
Sanitation Facilities for American Indians (1967B)

	Indian Health Facilities Construction (1969B)		Incorporated into Comprehensive Public Health Services-Formula Grants (the Formula part of the program) (1967B)
	Indian Sanitation Facilities (1970B)		Mental Health-Staffing of Community Mental Health Centers (1970B)
	Indian Health Services-Sanitation Management Development Program (1977B)		Incorporated into 13.240 (the Grants portion) (1970B)
	Deleted (1981U)		Mental Health-Community Mental Health Centers (1972B)
13.230	Intensive Infant Care Projects (1970B)		Community Mental Health Centers-Staffing and Construction (1976B)
	Deleted (1973B)		Incorporated into 13.252 (Split, construction grants no longer funded; staffing grants) (1978B)
	Reinstated (1973U)	13.241	Mental Health Fellowships (1970B)
	Incorporated into 13.232 (1974U)		Split into 13.241, 13.271, 13.277, 13.281 (1974B)
13.231	Research Relating to Maternal and Children Health and Crippled Children's Services (1965B)		Deleted (1976B)
	Maternal and Child Health and Crippled Children's Research Projects (1969B)	13.242	Mental Health Research Grants (1970B)
	Maternal and Child Health Research (1970B)		Split into 13.242, 13.273, 13.279 (1974B)
	Consolidated into 13.994 (1982B)		Number changed to 93.242 (1990U)
13.232	Maternal and Child Health Services (1965B)	13.243	Mental Health Scientific Communications and Public Education (1970B)
	Maternal and Child Health Services Improvement (1969B)		Alcohol, Drug Abuse, and Mental Health Administration Scientific Communications and Public Education (1974B)
	Maternal and Child Health Services (1970B)		Deleted (1975U)
	Consolidated into 13.994 (1982B)		Reinstated (1979B)
13.233	Health Care and Related Services for Mothers and Children-Training (1969B)		Deleted (1987B)
	Maternal and Child Health Training (1970B)	13.244	Mental Health Training Grants (1970B)
	Consolidated into 13.994 (1982B)		Split into 13.244, 13.274, 13.280 (1974B)
13.234	Maternity and Infant Care Projects (1967B)		Mental Health Clinical or Service Related Training Grants (1977B)
	Maternity and Infant Care Support (1969B)		Number changed to 93.244 (1990U)
	Maternity and Infant Care Projects (1970B)	13.245	Migrant Health-Technical Assistance (1970B)
	Deleted (1973B)		Incorporated into 13.246 (1971B)
	Reinstated (1973U)	13.246	Health Service for Agricultural Migratory Workers (1965B)
	Incorporated into 13.232 (1974U)		Health Services for Migratory Workers (1969B)
13.235	Narcotic Addiction Rehabilitation Facilities (1969B)		Migrant Health Grants (1970B)
	Mental Health-Community Assistance Grants for Narcotic Addiction (1970B)		Migrant Health Centers Grants (1980B)
	Mental Health-Community Assistance Grants for Narcotic Addiction and Drug Abuse (1971B)		Number changed to 93.246 (1990U)
	Drug Abuse Community Service Programs (1974B)	13.247	Regional Medical Programs-Kidney Disease Control (1970B)
	Split into 13.235, 13.275 (1974B)		Incorporated into 13.249 (1972B)
	Deleted (1982B)	13.248	Regional Medical Programs-Nutrition (1970B)
13.236	Mental Retardation-Community Facilities Construction (1965B)		Center for Disease Control-Nutrition (1971B)
	Community Mental Health Support-Construction and Staffing Mental Health Centers (1969B)		Disease Control-Nutrition (1972B)
	Mental Health-Construction of Community Mental Health Centers (1970B)		Deleted (1973B)
	Incorporated into 13.220 (1971B)	13.249	Regional Medical Programs (1965B)
13.237	Mental Health-State Hospital Improvement (1969B)		Heart, Cancer, and Stroke-Regional Medical Programs (1969B)
	Mental Health-Hospital Improvement Grants (1970B)		Regional Medical Programs-Operational and Planning Grants (1970B)
	Deleted (1979U2)		Deleted (1973B)
13.238	Mental Health-Hospital Staff Development Grants (1970B)	13.250	Regional Medical Programs-Smoking and Health (1970B)
	Deleted (1979U2)		Disease Control-Smoking and Health (1972B)
13.239	Narcotic Addict-Clinical Centers (1969B)		Consolidated into 13.283 (1974B)
	Mental Health-Narcotic Addict Treatment (1970B)	13.251	Mental Health-Staffing of Comprehensive Alcoholism Services (1971B)
	Incorporated into Mental Health-Narcotic Addict Treatment Program (1970B)		Mental Health-Community Assistance Grants for Comprehensive Alcoholism Services (1972B)
	Narcotic Addict Rehabilitation Act Contracts (1974B)		Alcohol Community Service Programs (1974B)
	Deleted (1979B)		Split into 13.252 (1978B)
13.240	Development and Expansion of Community Mental Health Programs (1967B)	13.252	Mental Health-Direct Grants for Special Projects (1971B)
			Mental Health-Direct Grants for Special Projects (Alcoholism) (1971U)

	Alcohol Demonstration Programs (1974B)		Split from 13.224 (1972B)
	Split into 13.252, 13.274 (1974B)		Consolidated into 13.994 (1982B)
	Alcohol Treatment and Rehabilitation/Occupational Alcoholism Services Programs (1978B)	13.268	Communicable Disease Control (Section 317, Public Health Service Act) (1972U)
	Split into 13.252, 13.898, 13.899 (1978B)		Disease Control-Project Grants (1973B)
	Deleted (1982B)		Childhood Immunization Grants (1979U1)
13.253	Health Facilities Construction-Loans and Loan Guarantees (1971B)		Number changed to 93.268 (1990U)
	Deleted (1975B)	13.269	Mental Health-Drug Abuse Formula Grants (1973B)
	Reinstated (1977U)		Drug Abuse Formula Grants (1974B)
	Medical Facilities Construction-Loans and Loan Guarantees (1977U)		Drug Abuse Prevention Formula Grants (1975B)
	Deleted (1980U1)		Deleted (1982B)
13.254	Mental Health-Direct Grants for Special Projects (Narcotic Addition and Drug Abuse) (1971U)	13.270	Alcohol Fellowships (1974U)
	Mental Health-Direct Grants for Special Projects (1973U)		Deleted (1976B)
	Drug Abuse Demonstration Programs (1974B)	13.271	Aid for Public School Desegregation (1967B)
	Split into 13.254, 13.280 (1974B)		Alcohol Research Development Awards (1974B)
	Deleted (1982B)		Split from 13.241 (1974B)
13.256	Health Maintenance Organization Service (1972B)		Alcohol Research Scientist Development and Research Scientist Awards (1978B)
	Office for Health Maintenance Organizations (1975B)		Alcohol Scientist Development Award and Research Scientist Development Award for Clinicians (1989B)
	Health Maintenance Organization Development (1976B)		Number changed to 93.271 (1990U)
	Health Maintenance Organizations (1979B)	13.272	Alcohol Research Manpower Fellowship Programs (1974U)
	Deleted (1985B)		Alcohol National Research Service Awards (1975B)
13.257	Mental Health-Alcohol Formula Grants (1972B)		Alcohol National Research Service Awards for Research Training (1977B)
	Alcohol Formula Grants (1974B)		Number changed to 93.272 (1990U)
	Deleted (1982B)	13.273	Alcohol Research Programs (1974B)
13.258	National Health Service Corps (1972B)		Split from 13.242 (1974B)
	Number changed to 93.258 (1990U)		Number changed to 93.273 (1990U)
13.259	Mental Health-Children's Services (1972B)	13.274	Alcohol Training Programs (1974B)
	Incorporated into 13.295 (1979U2)		Split from 13.244, 13.252 (1974B)
13.260	Family Planning Services-Training Grants (1972B)		Alcohol Clinical or Service Related Training Programs (1977B)
	Family Planning Services-Training Grants and Contracts (1976U)		Deleted (1983B)
	Family Planning Services (1979U1)		Alcohol and Drug Abuse Clinical or Service-Related Training (1989B)
	Family Planning-Personnel Training (1983U)		Number changed to 93.274 (1990U)
	Number changed to 93.260 (1990U)	13.275	Drug Abuse Education Programs (1974B)
13.261	Family Health Centers (1972B)		Split from 13.235 (1974B)
	Incorporated into 13.224 (1976U)		Drug Abuse Prevention/Education Programs (1980B)
13.262	Occupational Health-Research Grants (1972B)		Drug Abuse Prevention Programs (1980U2)
	Number changed from 13.010 (1972B)		Deleted (1982B)
	Occupational Safety and Health Research Grants (1973B)	13.276	Drug Abuse Fellowships (1974U)
	Number changed to 93.262 (1990U)		Deleted (1976B)
13.263	Occupational Health-Training Grants (1972B)	13.277	Drug Abuse Research Development Awards (1974B)
	Number changed from 13.011 (1972B)		Split from 13.241 (1974B)
	Occupational Safety and Health-Training Grants (1973B)		Drug Abuse Research Scientist Development and Research Scientist Awards (1978B)
	Number changed to 93.263 (1990U)		Drug Abuse Scientist Development Award for Clinicians-Scientist Development Awards and Research Scientist Awards (1989B)
13.264	Occupational Health-Special Fellowships (1972B)		Number changed to 93.277 (1990U)
	Deleted (1973B)	13.278	Drug Abuse Research Manpower Fellowship Programs (1974B)
13.265	Occupational Health-Demonstration Grants (1972B)		Drug Abuse National Research Service Awards (1975B)
	Deleted (1973B)		Drug Abuse National Research Service Awards for Research Training (1977B)
13.266	Childhood Lead-Based Paint Poisoning Control (1972B)		Number changed to 93.278 (1990U)
	Childhood Lead-Based Paint Poisoning Prevention (1979B)		
	Consolidated into 13.994 (1982B)		
13.267	Urban Rat Control (1972B)		

- 13.279 Drug Abuse Research Programs (1974B)
Split from 13.242 (1974B)
Number changed to 93.279 (1990U)
- 13.280 Drug Abuse Training Programs (1974B)
Split from 13.244, 13.254 (1974B)
Drug Abuse Clinical or Service Related Training Programs (1977B)
Deleted (1983B)
- 13.281 Mental Health Research Development Awards (1974B)
Split from 13.241 (1974B)
Mental Health Research Scientist Development and Research Scientist Awards (1978B)
Mental Research Scientist Development Award and Research Scientist Development Award for Clinicians (1989B)
Number changed to 93.281 (1990U)
- 13.282 Mental Health Research Manpower Fellowship Programs (1974B)
Mental Health National Research Service Awards (1975B)
Mental Health National Research Service Awards for Research Training (1977B)
Number changed to 93.282 (1990U)
- 13.283 Centers for Disease Control-Investigations, Surveillance and Technical Assistance (1974B)
Consolidated from 13.200, 13.204, 13.205, 13.250 (1974B)
Centers for Disease Control-Investigations and Technical Assistance (1978B)
Number changed to 93.283 (1990U)
- 13.284 Emergency Medical Services (1974B)
Consolidated into 13.991 (1982B)
- 13.285 Emergency Medical Services Systems Research (1974B)
Incorporated into 13.226 (1975B)
- 13.286 Limitation on Federal Participation for Capital Expenditures (1974B)
Deleted (1977B)
- 13.287 Grants for Training in Emergency Medical Services (1974U)
Deleted (1976B)
Reinstated (1977U)
Consolidated into 13.994 (1982B)
- 13.288 National Health Service Corps Scholarship Program (1975B)
Public Health/National Health Service Corps Scholarship Program (1975U)
National Health Service Corps Scholarship Program (1978B)
Number changed to 93.288 (1990U)
- 13.289 President's Council on Physical Fitness and Sports (1975B)
Number changed from 13.616 (1975B)
Consolidated from 13.615, 13.617, 13.618, 13.619, 13.620, 13.621, 13.622 (1975B)
Number changed to 93.289 (1990U)
- 13.290 Special Alcoholism Projects to Implement the Uniform Act (1975U)
Deleted (1982B)
- 13.291 Surplus Property Utilization (1986U)
Number changed from 13.676 (1986U)
Number changed to 93.291 (1990U)
- 13.292 Sudden Infant Death Syndrome Information and Counseling Program (1975U)
Deleted (1982B)
- 13.293 State Health Planning and Development Agencies (1976B)
Deleted (1987B)
- 13.294 Health Planning-Health Systems Agencies (1976B)
Deleted (1987B)
- 13.295 Community Mental Health Centers-Comprehensive Services Support (1976B)
Deleted (1982B)
- 13.296 Comprehensive Hemophilia Diagnostic and Treatment Centers (1976U)
Consolidated into 13.994 (1982B)
- 13.297 Nursing Research Service Awards (1977B)
National Research Service Awards (1978B)
Deleted (1986U)
- 13.298 Nurse Practitioner Training Programs (1976U)
Nurse Practitioner Training Program and Nurse Practitioner Traineeships (1979B)
Nurse Practitioner and Nurse Midwife Education and Traineeships (1985U)
Number changed to 93.298 (1990U)
- 13.299 Advanced Nurse Training Programs (1976U)
Advanced Nurse Education (1986B)
Number changed to 93.299 (1990U)
- 13.300 Allergy and Infectious Diseases-Fellowships and Research Career Development Awards (1970B)
Deleted (1973B)
- 13.301 Allergy and Infectious Diseases-Research Grants (1970B)
Split into 13.855, 13.856, 13.857, 13.858 (1974B)
- 13.302 Allergy and Infectious Diseases-Training Grants (1970B)
Deleted (1973B)
- 13.303 Training in the Allied Health Professions (1967B)
Allied Health Professions Advanced Traineeship Grants (1970B)
Allied Health Professions Advanced Traineeship Grants for Advanced Training (1971B)
Allied Health Professions-Traineeship Grants for Advanced Training (1971U)
Deleted (1973B)
- 13.304 Allied Health Professions Basic Improvement Grants (1970B)
Deleted (1972B)
- 13.305 Allied Health Professions Developmental Grants (1970B)
Allied Health Professions-Special Project Grants (1971B)
Deleted (1973B)
Reinstated (1973U)
Deleted (1978B)
- 13.306 Health Research Facilities and Resources-Animal Resources (1969B)
Animal Resources (1970B)
Laboratory Animal Sciences and Primate Research (1974B)
Number changed to 93.306 (1990U)
- 13.307 Arthritis and Metabolic Diseases-Fellowships (1970B)
Deleted (1973B)
- 13.308 Arthritis and Metabolic Diseases-Graduate Training Grants (1970B)
Deleted (1973B)
- 13.309 Arthritis and Metabolic Diseases-Research Grants (1970B)
Arthritis, Metabolism, and Digestive Diseases-Research Grants (1973U)
Split into 13.846, 13.847, 13.848, 13.849, 13.850 (1974B)
- 13.310 Biomedical Sciences Support Grants (1970B)
Incorporated into 13.337 (1973B)

- 13.311 Cancer-Clinical Training (1970B)
Deleted (1973B)
- 13.312 Cancer-Research Centers (1970B)
Cancer-Specialized Research Centers (1971B)
Split into 13.393, 13.394, 13.395, 13.396, 13.397, 13.399 (1974B)
- 13.313 Cancer Research-Fellowships (1970B)
Deleted (1973B)
- 13.314 Cancer Research-Grants (1970B)
Split into 13.393, 13.394, 13.395, 13.396, 13.399 (1974B)
- 13.315 Cancer Research-Training Grants (1970B)
Cancer-Research-Career Development (1971B)
Deleted (1973B)
- 13.316 Child Health and Human Development-Fellowships (1970B)
Deleted (1973B)
- 13.317 Child Health and Human Development-Research Grants (1970B)
Split into 13.864, 13.865, 13.866 (1974B)
- 13.318 Child Health and Human Development-Training Grants (1970B)
Deleted (1973B)
- 13.319 Dental Auxiliary Utilization Training Grants (1970B)
Training in Expanded Auxiliary Management (1973B)
Dental Team Practice (1978B)
Deleted (1982B)
- 13.320 Dental Health Continuing Education Training Grants (1970B)
Continuing Dental Education Grant Program (1975B)
Deleted (1978B)
- 13.321 Dental Health Fellowships (1970B)
Deleted (1972B)
- 13.322 Dental Health Research Grants (1970B)
Deleted (1972B)
- 13.323 Dental Health Research Training Grants (1970B)
Deleted (1972B)
- 13.324 Dental Research-Fellowships (1970B)
Deleted (1973B)
- 13.325 Dental Research-Grants (1970B)
Deleted (1973B)
Reinstated (1973U)
Split into 13.840, 13.841, 13.842, 13.843, 13.844, 13.845 (1974B)
- 13.326 Dental Research-Training Grants (1970B)
Deleted (1973B)
- 13.327 Environmental Health Sciences-Fellowships (1970B)
Deleted (1973U)
- 13.328 Environmental Health Sciences-Research Grants (1970B)
Split into 13.872, 13.873, 13.874, 13.875, 13.876 (1974B)
- 13.329 Environmental Health Sciences-Training Grants (1970B)
Deleted (1973U)
- 13.330 Eye Research-Fellowship (1970B)
Deleted (1973B)
- 13.331 Eye Research-Grants (1970B)
Eye Research-Research Grants (1971B)
Split into 13.867, 13.868, 13.869, 13.870, 13.871 (1974B)
- 13.332 Eye Research-Training Grants (1970B)
Deleted (1973B)
- 13.333 General Clinical Research Centers (1970B)
Clinical Research (1974B)
General Clinical Research Centers (1985B)
Number changed to 93.333 (1990U)
- 13.334 General Medical Sciences-Fellowships (1970B)
Deleted (1973B)
- 13.335 General Medical Sciences-Research Grants (1970B)
Split into 13.859, 13.860, 13.861, 13.862, 13.863 (1974B)
- 13.336 General Medical Sciences-Training Grants (1970B)
Deleted (1973B)
- 13.337 General Research Support Grants (1970B)
General Research Support (1974B)
Biomedical Research Support (1975U)
Number changed to 93.337 (1990U)
- 13.338 Graduate Training in Public Health-Project Grants (1970B)
Deleted (1973B)
Reinstated (1977B)
Graduate Training in Public Health (1977B)
Deleted (1978B)
- 13.339 Health Professions Educational Improvement Grants (1970B)
Health Professions Capitation Grants (1972B)
Capitation Grants for Schools of Public Health (1987B)
Deleted (1990U)
- 13.340 Health Professions Facilities Construction (1970B)
Health Professions Teaching Facilities-Construction Grants (1972B)
Deleted (1973B)
- 13.341 Health Professions Scholarships (1970B)
Deleted (1973B)
- 13.342 Health Manpower Education and Utilization-Student Assistance (1969B)
Health Professions Student Loans (1970B)
Number changed to 93.342 (1990U)
- 13.343 Research Fellowships and Traineeships in Health Sciences (1965B)
Health Sciences Advancement Awards (1970B)
Deleted (1971B)
- 13.344 Heart and Lung Research-Fellowships (1970B)
Deleted (1973B)
- 13.345 Heart and Lung Research-Graduate Training Grants (1970B)
Deleted (1973B)
- 13.346 Heart and Lung Research-Grants (1970B)
Heart and Lung Research-Research Grants (1971B)
Split into 13.837, 13.838, 13.839 (1974B)
- 13.347 Heart and Lung Research-Undergraduate Training Grants (1970B)
Deleted (1971U)
- 13.348 Medical Library Assistance-Library Resources Grants (1970B)
Medical Library Assistance-Library Resources (1974B)
Consolidated into 13.879 (1977B)
- 13.349 Medical Library Assistance-Publications Support Grants (1970B)
Biomedical Scientific Publications Grants (1971B)

- Biomedical Scientific Publications (1974B)
Consolidated into 13.879 (1977B)
- 13.350 Medical Library Assistance-Regional Medical Libraries (1970B)
Consolidated into 13.879 (1977B)
- 13.351 Medical Library Assistance-Research Grants (1970B)
Medical Library Assistance-Research (1974B)
Consolidated into 13.879 (1977B)
- 13.352 Medical Library Assistance-Special Scientific Project Grants (1970B)
Deleted (1973B)
Reinstated (1973U)
Medical Library Assistance-Special Scientific Projects (1974B)
Consolidated into 13.879 (1977B)
- 13.353 Medical Library Assistance-Training Grants (1970B)
Deleted (1973B)
- 13.354 Neurological Diseases and Stroke-Fellowships (1970B)
Incorporated into 13.357 (1972B)
- 13.355 Neurological Diseases and Stroke-Graduate Training Grants (1970B)
Deleted (1973B)
- 13.356 Neurological Diseases and Stroke-Research Grants (1970B)
Split into 13.851, 13.852, 13.853, 13.854 (1974B)
- 13.357 Neurological Diseases and Stroke-Training Grants (1970B)
Incorporated into 13.354 (1972B)
- 13.358 Nurse Traineeships (1970B)
Professional Nurse Traineeships (1971B)
Deleted (1973B)
Reinstated (1975U)
Number changed to 93.358 (1990U)
- 13.359 Nurse Training-Project Improvement Grants (1970B)
Special Project Grants for Improvement in Nurse Training (1971B)
Nurse Training Improvement-Special Projects (1972B)
Number changed to 93.359 (1990U)
- 13.360 Nursing Fellowships (1970B)
Special Predoctoral and Postdoctoral Fellowships in Nursing Research (1971B)
Deleted (1973B)
- 13.361 Nursing Research Grants (1970B)
Nursing Research Project Grants (1971B)
Deleted (1973B)
Reinstated (1975U)
Nursing Research (1986U)
Number changed to 93.361 (1990U)
- 13.362 Nursing Research Training Grants (1970B)
Nurse Scientist Graduate Training Grants (1971B)
Deleted (1973B)
- 13.363 Nursing Scholarships (1970B)
Deleted (1973B)
Reinstated (1976U)
Deleted (1982B)
- 13.364 Nurse Training (1965B)
Health Manpower Education and Utilization-Nursing Research (1966B)
- Nursing Student Loans (1970B)
Number changed to 93.364 (1990U)
- 13.365 Physician and Allied Health Manpower Research Grants (1970B)
Deleted (1971B)
- 13.366 Professional Public Health Personnel-Traineeships (1970B)
Deleted (1973B)
- 13.367 Research Resources-Fellowships (1970B)
Animal Resources-Fellowships (1971B)
Deleted (1973B)
- 13.368 Research Resources-Training Grants (1970B)
Animal Resources-Training Grants (1971B)
Deleted (1973B)
- 13.369 Schools of Nursing-Facilities Construction (1970B)
Construction Grants for Schools of Nursing (1971B)
Nursing School Construction (1972B)
Nursing School Construction-Loan Guarantees and Interest Subsidies (1973B)
Nursing School Construction-Grants, Loan Guarantees and Interest Subsidies (1975U)
Nursing School Construction-Assistance-Direct Grants, Loan Guarantees and Interest Subsidies (1976B)
Deleted (1978B)
- 13.370 Public Health Training-Traineeships (1967B)
Schools of Public Health-Formula Grants (1970B)
Schools of Public Health-Grants (1971B)
Deleted (1973B)
Reinstated (1973U)
Deleted (1978B)
- 13.371 Special Research Resources (1970B)
Biotechnology Resources (1971B)
Biotechnology Research (1974B)
Biomedical Research Technology (1984U)
Number changed to 93.371 (1990U)
- 13.372 Cancer-Leukemia Research Support Centers (1971B)
Cancer-Leukemia Research Support (1972B)
Incorporated into 13.314 (1973B)
- 13.373 Cancer-Graduate Training (1971B)
Deleted (1973B)
- 13.374 Heart and Lung Research-Specialized Research Centers (1971U)
Split into 13.837, 13.838, 13.839 (1974B)
- 13.375 Minority Schools Biomedical Support (1972B)
Minority Biomedical Support (1974B)
Minority Biomedical Research Support (1982B)
Number changed to 93.375 (1990U)
- 13.377 Allied Health Professions-Special Improvement Grants (1972B)
Deleted (1973B)
- 13.378 Health Professions Teaching Facilities-Loan Guarantees and Interest Subsidies (1972B)
Deleted (1976B)
- 13.379 Family Medicine-Training Grants (1972B)
Grants for Graduate Training in Family Medicine (1978B)
Number changed to 93.379 (1990U)
- 13.380 Health Manpower Education Initiative Awards (1972B)

- Deleted (1978B)
- 13.381 Health Professions-Financial Distress Grants (1972B)
Health Professions-Advanced Financial Distress (1986B)
Deleted (1988B)
- 13.382 Heart and Lung Research-Pulmonary Academic Awards (1972B)
Deleted (1973B)
- 13.383 Health Professions-Special Projects (1972B)
Deleted (1978B)
- 13.384 Health Professions-Start-Up Assistance (1972B)
Health Professions-Start-Up Assistance and Conversion (1973U)
Health Professions-Start-Up Assistance (1975B)
Deleted (1983B)
- 13.385 Health Professions Teaching Personnel-Training, Traineeships, and Fellowships (1972B)
Deleted (1973B)
- 13.386 Nursing Capitation Grants (1972B)
Deleted (1973B)
Reinstated (1975U)
Deleted (1982B)
- 13.387 Nursing Professions-Talent Utilization (1972B)
Deleted (1973B)
- 13.388 Nursing Schools-Financial Distress Grants (1972B)
Deleted (1973B)
Reinstated (1975U)
Financial Distress Grants for Schools of Nursing (1975U)
Deleted (1977B)
- 13.389 Research Centers in Minority Institutions (1985B)
Number changed to 93.389 (1990U)
- 13.390 Academic Research Enhancement Award (1985B)
Number changed to 93.390 (1990U)
- 13.391 Cancer-Task Forces (1972B)
Split into 13.393, 13.394, 13.395, 13.396, 13.399 (1974B)
- 13.392 Cancer-Construction (1972B)
Deleted (1988B)
- 13.393 Cancer Cause and Prevention Research (1974B)
Split from 13.312, 13.314, 13.391, 13.825 (1974B)
Number changed to 93.393 (1990U)
- 13.394 Cancer Detection and Diagnosis Research (1974B)
Split from 13.312, 13.314, 13.391, 13.825 (1974B)
Number changed to 93.394 (1990U)
- 13.395 Cancer Treatment Research (1974B)
Split from 13.312, 13.314, 13.391, 13.825 (1974B)
Number changed to 93.395 (1990U)
- 13.396 Cancer Biology Research (1974B)
Split from 13.312, 13.314, 13.391, 13.825 (1974B)
Number changed to 93.396 (1990U)
- 13.397 Cancer Centers Support (1974B)
Split from 13.312 (1974B)
Number changed to 93.397 (1990U)
- 13.398 Cancer Research Manpower (1974B)
Number changed to 93.398 (1990U)
- 13.399 Cancer Control Research (1974B)
Split from 13.312, 13.314, 13.391, 13.825 (1974B)
Cancer Control (1974U)
Number changed to 93.399 (1990U)
- 13.400 Adult Basic Education (1965B)
Adult Basic Education-Grants to States (1970B)
Adult Education-Grants to States (1971B)
Adult Education-State-Administered Program (1979U2)
Transferred to 84.002 (1980U1)
- 13.401 Adult Basic Education-Special Projects (1970B)
Adult Education-Special Projects (1971B)
Incorporated into 13.400 (1975B)
- 13.402 Adult Basic Education-Teacher Education (1970B)
Adult Education-Teacher Education (1971B)
Incorporated into 13.400 (1975B)
- 13.403 Bilingual Education (1969B)
Transferred to 84.003 (1980U1)
- 13.404 Civil Defense Adult Education (1965B)
Civil Defense Education (1969B)
Deleted (1971U)
- 13.405 School Assistance in Matters Pertaining to School Desegregation (1965B)
School Desegregation-Technical Assistance and Training (1969B)
Civil Rights Technical Assistance and Training (1970B)
Number changed to 13.524 (1972B)
Reinstated/Number change from 13.524 (1973B)
Transferred to 84.004 (1980U1)
- 13.406 College Library Resources (1965B)
Deleted (1973B)
Reinstated (1973U)
Transferred to 84.005 (1980U1)
- 13.407 College Teacher Graduate Fellowships (1970B)
Deleted (1973U)
Reinstated (1974B)
Deleted (1977U)
- 13.408 Library Services and Construction, Rural and Urban (1965B)
Library Services and Construction (1967B)
Construction of Public Libraries (1970B)
Deleted (1973B)
Reinstated (1973U)
Deleted (1979B)
- 13.409 Cuban Student Loan Program (1967B)
Training for Cuban Refugee Professional Personnel (1967B)
Cuban Education-Student Loans (1970B)
Deleted (1977U)
- 13.410 Dropout Prevention (1969B)
Incorporated into 13.571 (1977U)
- 13.411 Education Research and Development Centers (1970B)
Educational Research and Development Centers (1971B)
Consolidated into 13.575 (1973B)
- 13.412 Education Research and Development-Regional Educational Laboratories (1970B)

- Educational Research and Development-Regional Educational Laboratories (1971B)
Consolidated into 13.575 (1973B)
- 13.413 Educational Television Broadcasting Facilities (1965B)
Educational Television Facilities Program (1967B)
Educational Television Construction (1969B)
Educational Broadcasting Facilities (1970B)
Educational Broadcasting Facilities (Public Broadcasting) (1976U)
Transferred to 11.550 (1979B)
- 13.414 Teacher Fellowships and Training (1969B)
Educational Classroom Personnel Training-Basic Studies (1970B)
Deleted (1970U)
- 13.415 Educational Classroom Personnel Training-Black Colleges (1970B)
Number changed to 13.507 (1970U)
- 13.416 Educational Classroom Personnel Training-Early Childhood (1970B)
Deleted (1972B)
Reinstated (1977U)
Teacher Centers (1977U)
Transferred to 84.006 (1980U1)
- 13.417 Educational Classroom Personnel Training-Special Education (1970B)
Deleted (1973B)
- 13.418 Educational Opportunity Grants (1965B)
Deleted (1973B)
Reinstated (1973U)
Supplemental Educational Opportunity Grants (1973U)
Transferred to 84.007 (1980U1)
- 13.419 Educational Personnel Development-Support Personnel (1970B)
Split into 13.508, 13.509 (1970U)
- 13.420 Educational Personnel Training-Drug Abuse Education (1970B)
Alcohol and Drug Abuse Education (1971B)
Drug Abuse Prevention (1972B)
Deleted (1973B)
Reinstated (1973U)
Drug Abuse Education (1974B)
Deleted (1975B)
Reinstated (1975U)
Alcohol and Drug Abuse Prevention (1975U)
Alcohol and Drug Abuse Education Program (1979B)
Transferred to 84.008 (1980U1)
- 13.421 Attracting and Qualifying Teachers in Areas Where Critical Teachers Shortages Exist (1969B)
Encouraging Qualified Person to Enter Education Careers (1969B)
Educational Personnel Training Grants-Career Opportunities (1970B)
Deleted (1976B)
- 13.422 Educational Research and Development Centers (1969B)
Educational Research and Development-General Education (Project) Research (1970B)
Consolidated into 13.575 (1973B)
- 13.423 Educational Research Information Center (1967B)
Educational Research Dissemination-ERIC System (1970B)
Number changed to 13.513 (1971B)
- 13.424 Educational Research Training (1969B)
- Consolidated into 13.575 (1973B)
- 13.425 Educational Staff Training-School Personnel Utilization (1970B)
Deleted (1973B)
- 13.426 Educational Staff Training-Teacher Leadership Development (1970B)
Deleted (1970U)
- 13.427 Assistance to State for Education for Handicapped Children (1967B)
Educationally Deprived Children-Handicapped (1970B)
Program for Education of Handicapped Children in State Operated or Supported Schools (1979B)
Transferred to 84.009 (1980U1)
- 13.428 Educationally Deprived Children-Local Educational Agencies (1970B)
Transferred to 84.010 (1980U1)
- 13.429 Educationally Deprived Children-Migrants (1970B)
Transferred to 84.011 (1980U1)
- 13.430 Educationally Deprived Children-State Administration (1970B)
Transferred to 84.012 (1980U1)
- 13.431 Educationally Deprived Children in Institutions for Neglected or Delinquent Children (1970B)
Educationally Deprived Children in State Administered Institutions Serving Neglected or Delinquent Children (1971B)
Transferred to 84.013 (1980U1)
- 13.432 Elementary and Secondary Education-State Planning and Evaluation (1970B)
Deleted (1971U)
- 13.433 Follow Through (1970B)
Transferred to 84.014 (1980U1)
- 13.434 Language and Area Studies (1967B)
Foreign Language and World Affairs-Fellowships (1970B)
Foreign Language and Area Studies-Fellowships (1971B)
Deleted (1973B)
Reinstated (1974U)
International Studies Centers and Foreign Language Area Studies-Fellowships (1979U2)
Transferred to 84.015 (1980U1)
- 13.435 Language and Area Centers (1967B)
Foreign Language and World Affairs-Language and Area Centers (1970B)
Foreign Language and Area Studies-Centers (1971B)
Deleted (1973B)
Reinstated (1974U)
Foreign Language and Area Studies-Centers and International Studies Program (1974U)
International Studies Programs (1979U2)
Transferred to 84.016 (1980U1)
- 13.436 Language Research and Studies (1967B)
Foreign Languages and World Affairs-Language and Area Research (1970B)
Foreign Language and Area Studies-Research (1971B)
Deleted (1973B)
Reinstated (1974B)
Transferred to 84.017 (1980U1)
- 13.437 Teacher Exchange (1969B)
Fulbright-Hays Teacher Exchange (1970B)
Teacher Exchange (1971B)
Transferred to 84.018 (1980U1)

- 13.438 Fulbright-Hays Training Grants-Faculty Research Study (1970B)
Fulbright-Hays Training Grants-Faculty Research Abroad (1971B)
Transferred to 84.019 (1980U1)
- 13.439 Fulbright-Hays Training Grants-Foreign Curriculum Consultants (1970B)
Transferred to 84.020 (1980U1)
- 13.440 Overseas Education, Training, Research and Study (1969B)
Fulbright-Hays Training Grants-Foreign Studies Extension (1970B)
Fulbright-Hays Training Grants-Group Projects Abroad (1971B)
Transferred to 84.021 (1980U1)
- 13.441 Foreign Language Training and Area Studies (1969B)
Fulbright-Hays Training Grants-Graduate Fellowships (1970B)
Fulbright-Hays Training Grants-Doctoral Dissertation Research Abroad (1971B)
Transferred to 84.022 (1980U1)
- 13.442 Guidance, Counseling, and Testing: Identification and Encouragement of Able Students-State Programs (1965B)
State Programs in Guidance, Counseling, and Testing (1967B)
Guidance, Counseling, and Testing (1969B)
Consolidated into 13.519 (1971B)
- 13.443 Education of Handicapped Children-Research and Demonstration (1969B)
Handicapped-Research and Demonstration (1970B)
Handicapped-Research and Development (1978B)
Handicapped-Research and Demonstration (1978U)
Transferred to 84.023 (1980U1)
- 13.444 Handicapped Children-Early Educational Assistance (1969B)
Handicapped Early Childhood Assistance (1970B)
Transferred to 84.024 (1980U1)
- 13.445 Handicapped Innovative Programs-Deaf-Blind Centers (1970B)
Transferred to 84.025 (1980U1)
- 13.446 Captioned Films for the Deaf (1965B)
Captioned Films and Related Services for the Handicapped (1969B)
Handicapped Media Services and Captioned Films (1970B)
Transferred to 84.026 (1980U1)
- 13.447 Handicapped Physical Education and Recreation Research (1970B)
Incorporated into 13.443 (1975U)
- 13.448 Handicapped Physical Education and Recreation Training (1970B)
Incorporated into 13.443 (1975U)
- 13.449 Preschool and School Programs for the Handicapped (1969B)
Handicapped Preschool and School Programs (1970B)
Transferred to 84.027 (1980U1)
- 13.450 Education of Handicapped Children-Regional Resources Centers (1969B)
Handicapped Regional Resource Centers (1970B)
Transferred to 84.028 (1980U1)
- 13.451 Preparation of Professional Personnel for the Education of Handicapped Children (1965B)
Training of Professional Personnel in the Education of the Handicapped (1967B)
Education of Handicapped Children-Personnel Training (1969B)
Handicapped Teacher Education (1970B)
Handicapped Personnel Preparation (1976B)
Transferred to 84.029 (1980U1)
- 13.452 Handicapped Teacher Recruitment and Information (1970B)
- Transferred to 84.030 (1980U1)
- 13.453 Instructional Funds for Land-Grant Colleges and Universities (1967B)
Endowment of Land-Grant Colleges and Universities (1969B)
Higher Education-Land-Grant Colleges and Universities (1970B)
Transferred to 10.882 (1978B)
- 13.454 Strengthening Developing Institutions (1965B)
Higher Education-Strengthening Developing Institutions (1970B)
Transferred to 84.031 (1980U1)
- 13.455 Higher Education Academic Facilities-State Administration (1970B)
Deleted (1972U)
Reinstated (1973U)
Deleted (1980B)
- 13.456 Higher Education Academic Facilities-State Comprehensive Planning (1970B)
Deleted (1971U)
- 13.457 Graduate Academic Facilities Construction Grants (1967B)
Higher Education Academic Facilities Construction-Interest Subsidization (1970B)
Deleted (1973B)
- 13.458 Construction of Higher Education Facilities Through Grants and Loans (1967B)
Higher Education Facilities Construction (1969B)
Higher Education Academic Facilities Construction-Public and Private Colleges and Universities (1970B)
Deleted (1971B)
Reinstated (1972B)
Deleted (1973B)
- 13.459 Higher Education Facilities Construction (1969B)
Higher Education Academic Facilities Construction-Public Community Colleges and Technical Institutes (1970B)
Deleted (1973B)
- 13.460 Low-Interest Insured Loans to College and Vocational Students (1969B)
Higher Education Act Insured Loans-Guaranteed Student Loan Program (1970B)
Higher Education Act Insured Loans (1971B)
Transferred to 84.032 (1980U1)
- 13.461 Higher Education Personnel Development-Institutes, Short-Term Training and Special Projects (1970B)
Higher Education Personnel Development-Institutes and Short-Term Training (1972B)
Deleted (1973B)
Reinstated (1974U)
Deleted (1976B)
- 13.462 Experienced Teacher Fellowship Program (1967B)
Higher Education Personnel Training (1969B)
Higher Education Personnel Fellowships (1970B)
Deleted (1976B)
- 13.463 Grants for College Work-Study Program (1965B)
College Work-Study Program (1967B)
College Student Work-Study (1969B)
Higher Education Work-Study (1970B)
College Work-Study Program (1979U2)
Transferred to 84.033 (1980U1)
- 13.464 Library Services-Grants for Public Libraries (1970B)
Incorporated into 13.466 (1972B)

- Reinstated (1973U)
Library Services and Construction Act (1979B)
Public Library Services (1980B)
Transferred to 84.034 (1980U1)
- 13.465 Library Services-Interlibrary Cooperation (1970B)
Incorporated into 13.467 (1972B)
Reinstated (1973U)
Interlibrary Cooperation (1980B)
Transferred to 84.035 (1980U1)
- 13.466 Library Services-State Institutional Library Services (1970B)
Incorporated into 13.464 (1972B)
- 13.467 Library Services to the Physically Handicapped (1970B)
Incorporated into 13.465 (1972B)
- 13.468 Library Training and Research (1965B)
Library Personnel Training (1969B)
Library Research and Development (1969B)
Library Training Grants (1970B)
Deleted (1973B)
Reinstated (1973U)
Library Training (1980B)
Transferred to 84.036 (1980U1)
- 13.469 National Defense Education Act Loans to Institutions (1970B)
Deleted (1973B)
Reinstated (1973U)
Deleted (1979B)
- 13.470 National Defense Graduate Fellowship Program (1967B)
National Defense Student Loans Cancellations for Teachers (1970B)
National Defense Student Loan Cancellations (1970U)
National Defense Direct Student Loan Cancellations (1973U)
National Direct Student Loan Cancellations (1974B)
National Defense/Direct Student Loan Cancellations (1980B)
Transferred to 84.037 (1980U1)
- 13.471 Loans to Students in Institutions of Higher Education (1965B)
National Defense Student Loans-Direct Loan Contributions (1970B)
National Direct Student Loans (1973B)
National Defense/Direct Student Loans (1980)
Transferred to 84.038 (1980U1)
- 13.472 Nonpublic School Testing (1970B)
Consolidated into 13.519 (1971B)
- 13.473 Preschool, Elementary, and Secondary Personnel Development-Grants to States (1970B)
Deleted (1973B)
- 13.474 Research and Development Centers (1965B)
Arts and Humanities Research (1967B)
Research and Development-Arts and Humanities (1970B)
Deleted (1972B)
- 13.475 Research and Development-Library Research (1970B)
Research and Development-Library Research and Demonstration (1972B)
Deleted (1973B)
Reinstated (1973U)
Research and Development-Library Demonstrations (1974B)
- Library Research and Demonstrations (1976U)
Transferred to 84.039 (1980U1)
- 13.476 Regional Educational Laboratories (1967B)
Research and Development-Regional Research (1970B)
Consolidated into 13.575 (1973B)
- 13.477 Financial Assistance for School Construction in Areas Affected by Federal Activity (Impacted Areas Program) (1967B)
Impacted Areas-School Construction, Maintenance, and Operation (1969B)
School Assistance in Federally Affected Areas-Construction (1970B)
Transferred to 84.040 (1980U1)
- 13.478 Financial Assistance for School Maintenance and Operation in Areas Affected by Federal Activity (Impacted Areas (1967B)
Impacted Areas-School Construction, Maintenance, and Operations (1969B)
School Assistance in Federally Affected Areas-Maintenance and Operation (1970B)
Transferred to 84.041 (1980U1)
- 13.479 School Equipment Loans to Nonprofit Private Schools (1970B)
Deleted (1973B)
Reinstated (1974U)
School Equipment Loans to Non-Profit Schools (1974U)
Deleted (1976B)
- 13.480 School Library Resources, Textbooks, and Other Instructional Materials (1965B)
Deleted (1973B)
Reinstated (1973U)
Incorporated into 13.570 (1977U)
- 13.481 Special Foreign Currency Program-Training, Research, and Study (1970B)
Educational Activities Overseas-Inter-Institutional Cooperative Research (1971B)
Deleted (1976B)
- 13.482 Special Services for Disadvantaged Students in Institutions of Higher Education (1970B)
Special Services for Disadvantaged Students (1975U)
Transferred to 84.042 (1980U1)
- 13.483 Strengthening Instruction in Critical Subjects (1967B)
School Equipment and Remodeling (1969B)
Strengthening Instruction Through Equipment and Minor Remodeling (1970B)
Deleted (1973B)
Reinstated (1973U)
Incorporated into 13.570 (1977U)
- 13.484 Strengthening School Administration-Training Grants (1970B)
Number changed to 13.514 (1971B)
- 13.485 Strengthening State Departments of Education-Grants for Special Projects (1970B)
Deleted (1973B)
Reinstated (1973U)
Deleted (1977B)
- 13.486 Strengthening State Departments of Education-Grants to States (1970B)
Grants to Strengthen State Departments of Education (1971B)
Strengthening State Departments of Education-Grants to States (1971U)
Deleted (1973B)
Reinstated (1973U)

	Incorporated into 13.571 (1977U)		Vocational Education-Special Programs for the Disadvantaged (1979U1)
	Reinstated/Split from 13.571 (1979B)		Transferred to 84.052 (1980U1)
	Strengthening State Educational Agency Management (1979B)	13.500	Vocational Education-State Advisory Councils (1970B)
	Transferred to 84.043 (1980U1)		Transferred to 84.053 (1980U1)
13.487	Supplementary Education Centers and Services (1965B)	13.501	Vocational Education-Residential Schools and Work-Study Programs (1965B)
	Consolidated into 13.519 (1971B)		Vocational Education-Work-Study (1970B)
13.488	Educational Talent Search (1969B)		Incorporated into 13.493 (1977B)
	Talent Search (1970B)	13.502	Vocational Education-Innovation (1970B)
	Transferred to 84.044 (1980U1)		Incorporated into 13.495, 13.498 (1977B)
13.489	National Teacher Corps (1965B)	13.503	Vocational Education Personnel Training-Fellowships (1970B)
	Teacher Corps (1969B)		Vocational Education Personnel Development Awards (1971B)
	Teacher Corps-Operations and Training (1970B)		Deleted (1973B)
	Transferred to 84.045 (1980U1)		Reinstated (1975U)
13.490	Trainers of Teacher Trainers (1970B)		Vocational Education Personnel Development Awards-Leadership Development (1975U)
	Training of Teacher Trainers (1971B)		Training and Development Awards for Vocational Education Personnel-Leadership Development Awards (1977B)
	Deleted (1973B)		Vocational Education-Graduate Leadership Development Program (1978B)
13.491	Community Service and Continuing Education Programs (1965B)		Transferred to 84.054 (1980U1)
	University Community Service-Grants to States (1970B)	13.504	Vocational Education Personnel Training-State Professional Development (1970B)
	Deleted (1973B)		Vocational Education Personnel Development-Professional Personnel Development for States (1971B)
	Reinstated (1974U)		Deleted (1973B)
	Transferred to 84.046 (1980U1)		Reinstated (1975U)
13.492	Upward Bound (1970B)		Vocational Education Personnel Development-State Systems Program (1975U)
	Transferred to 84.047 (1980U1)		Deleted (1977U)
13.493	Vocational Education Grants (1965B)	13.505	Education Personnel Development-Urban/Rural School Development (1970U)
	Vocational Education-Basic Grants to States (1970B)		Deleted (1977B)
	Transferred to 84.048 (1980U1)	13.506	Educational Classroom Personnel Training-Bilingual Education (1970U)
13.494	Vocational Education-Consumer and Homemaking (1970B)		Deleted (1972B)
	Vocational Education-Consumer and Homemaking Education (1979U1)	13.507	Educational Classroom Personnel Training-Teacher Development for Desegregating Schools (1970U)
	Transferred to 84.049 (1980U1)		Number changed from 13.415 (1970U)
13.495	Vocational Education-Cooperative Education (1970B)		Teacher Training in Developing Institutions (1972B)
	Vocational Education-Program Improvement and Supportive Services (1977B)		Deleted (1973B)
	Transferred to 84.050 (1980U1)	13.508	Educational Personnel Development-Media Specialists (1970U)
13.496	Vocational Education-Curriculum Development (1970B)		Split from 13.419 (1970U)
	Deleted (1973U)		Deleted (1973B)
	Reinstated (1974U)	13.509	Educational Personnel Development-Pupil Personnel (1970U)
	Vocational Education-Curriculum (1974U)		Split from 13.419 (1970U)
	Incorporated into 13.495 (1977B)		Educational Personnel Development-Pupil Personnel Specialists (1971B)
13.497	Vocational Education-Planning and Evaluation (1970B)		Deleted (1973B)
	Deleted (1971B)	13.510	Higher Education-Cooperative Education (1970U)
13.498	Vocational Education Research Grants (1967B)		Transferred to 84.055 (1980U1)
	Vocational Education Research and Training (1969B)	13.511	Educationally Deprived Children-Special Grants for Urban and Rural Schools (1971B)
	Vocational Education-Research Grants (1970B)		Deleted (1975U)
	Split into 13.498, 13.517 (1971B)	13.512	Educationally Deprived Children-Special Incentive Grants (1971B)
	Consolidated into 13.575 (1973B)		Deleted (1979U1)
	Reinstated/Split from 13.575 (1973U)	13.513	Educational Dissemination (1971B)
	Vocational Education-Program Improvement Projects (1977B)		
	Deleted (1979U1)		
	Reinstated (1979U2)		
	Transferred to 84.051 (1980U1)		
13.499	Vocational Education-Special Needs (1970B)		

- Number changed from 13.423 (1971B)
Incorporated into 13.575 (1973B)
- 13.514 Educational Personnel Development-Educational Leadership (1971B)
Number changed from 13.484 (1971B)
Deleted (1973B)
- 13.515 Educational Staff Training-Volunteers in Education (1971B)
Deleted (1973B)
- 13.516 Preschool, Elementary, and Secondary Education-Special Programs and Projects (1971B)
Supplementary Educational Centers and Services-Special Programs and Projects (1974U)
Deleted (1976B)
- 13.517 Vocational Education-Project Research Grants (1971B)
Split from 13.498 (1971B)
Incorporated into 13.498 (1972B)
- 13.518 Higher Education Instructional Equipment (1971U)
Deleted (1973B)
Reinstated (1975B)
Deleted (1979U1)
- 13.519 Supplementary Educational Centers and Services, Guidance, Counseling, and Testing (1971B)
Consolidated from 13.442, 13.472, 13.487 (1971B)
Incorporated into 13.571 (1977U)
- 13.520 Special Programs for Children with Specific Learning Disabilities (1971B)
Deleted (1978B)
- 13.521 Experimental Schools (1971B)
Consolidated into 13.575 (1973B)
- 13.522 Environmental Education (1971U)
Deleted (1973B)
- 13.523 School Health and Nutrition Services for Children from Low-Income Families (1971U)
Deleted (1973B)
Reinstated (1974U)
Incorporated into 13.571 (1977U)
- 13.524 Emergency School Assistance (1972B)
Number changed from 13.405 (1972B)
Number changed to 13.405 (1973B)
- 13.525 Emergency School Aid Act-Basic Grants to Local Educational Agencies (1973B)
Transferred to 84.056 (1980U1)
- 13.526 Emergency School Aid Act-Pilot Programs (1973B)
Emergency School Aid Act-Pilot Programs (Special Programs and Projects) (1974U)
Deleted (1979U1)
- 13.527 Emergency School Aid Act-Metropolitan Area Projects (1973B)
Deleted (1975B)
- 13.528 Emergency School Aid Act-Bilingual Education Projects (1973B)
Incorporated into 13.403 (1979U1)
- 13.529 Emergency School Aid Act-Special Programs and Projects (1973B)
Emergency School Aid Act-Special Programs and Projects (Non-Profit Organizations) (1975U)
Emergency School Aid Act-Grants to Nonprofit Organizations (1980B)
Transferred to 84.057 (1980U1)
- 13.530 Emergency School Aid Act-Educational Television (1973B)
- Emergency School Aid Act-Television and Radio Contracts (1979U1)
Emergency School Aid Act-Educational Television and Radio (1980B)
Transferred to 84.058 (1980U1)
- 13.532 Emergency School Aid Act-Special Programs (1973B)
Emergency School Aid Act-Special Programs and Projects (1980B)
Transferred to 84.059 (1980U1)
- 13.533 Right to Read-Elimination of Illiteracy (1973B)
Number changed to 13.599 (1979U1)
- 13.534 Indian Education-Grants to Local Educational Agencies (1974U)
Transferred to 84.060 (1980U1)
- 13.535 Indian Education-Special Programs and Projects (1974B)
Transferred to 84.061 (1980U1)
- 13.536 Indian Education-Adult Indian Education (1974B)
Transferred to 84.062 (1980U1)
- 13.538 Post Secondary Education Improvement Fund (1973U)
Fund for the Improvement of Post Secondary Education (1974B)
Number changed to 13.925 (1976B)
- 13.539 Basic Educational Opportunity Grant Program (1973U)
Transferred to 84.063 (1980U1)
- 13.540 Higher Education-Cost for Veterans' Instruction (1973U)
Higher Education-Veterans' Cost of Instruction Program (1974U)
Transferred to 84.064 (1980U1)
- 13.541 Education Television and Radio (Programming) (1977U)
Educational Television and Radio (Programming) (1979U1)
Transferred to 84.065 (1980U1)
- 13.542 Strengthening State and Local Educational Agencies-Comprehensive Planning and Evaluation (1974U)
Incorporated into 13.571 (1976B)
- 13.543 Educational Opportunity Centers (1974B)
Transferred to 84.066 (1980U1)
- 13.544 Use of Technology in Basic Skills Instruction (1980B)
Transferred to 84.067 (1980U1)
- 13.545 Indochina Refugee Children Assistance (1980B)
Transferred to 84.068 (1980U1)
- 13.546 Educational Personnel Development-Indian Teacher Training (1976B)
Deleted (1977B)
- 13.548 Grants to States for State Student Incentives (1974U)
Transferred to 84.069 (1980U1)
- 13.549 Ethnic Heritage Studies Program (1975B)
Transferred to 84.070 (1980U1)
- 13.550 Postsecondary Education Statewide Comprehensive Planning Grants Program (1974B)
State Planning Commissions Program-Intrastate Planning (1977B)
State Postsecondary Education Commissions Program-Intrastate Planning (1979B)
Transferred to 84.071 (1980U1)
Inactive program number reissued for new program (1990B)
Administration for Children, Youth and Families-Transitional Living for Runaway and Homeless Youth (1990B)
Number changed to 93.550 (1990U)
- 13.551 Indian Education-Grants to Non-Federal Educational Agencies (1974U)

- Indian Education-Grants to Non-Local Educational Agencies (1976B)
Transferred to 84.072 (1980U1)
Inactive program number reissued for new program (1990B)
Administration for Children, Youth and Families-Abandoned Infants (1990B)
Number changed to 93.551 (1990U)
- 13.553 Educational Packaging and Dissemination (1977U)
National Diffusion Program (National Diffusion Network) (1979B)
Transferred to 84.073 (1980U1)
- 13.554 Career Education (1976B)
Transferred to 84.074 (1980U1)
- 13.555 Public Service Professional Education-Institutional Grants and Fellowships (1974U)
Public Service Education-Institutional Grants and Fellowships (1977B)
Transferred to 84.075 (1980U1)
- 13.556 Law School Fellowships for the Disadvantaged (1974U)
Deleted (1976B)
- 13.557 University Community Service-Special Projects (1974U)
Transferred to 84.076 (1980U1)
- 13.558 Bilingual Vocational Training (1975U)
Transferred to 84.077 (1980U1)
- 13.560 Regional Education Programs for Deaf and Other Handicapped Persons (1975B)
Transferred to 84.078 (1980U1)
- 13.561 Education for the Use of the Metric System of Measurement (1975U)
Transferred to 84.079 (1980U1)
- 13.562 Education for Gifted and Talented Children and Youth (1975U)
Transferred to 84.080 (1980U1)
- 13.563 Community Education (1976B)
Transferred to 84.081 (1980U1)
- 13.564 Consumers' Education (1975U)
Transferred to 84.082 (1980U1)
- 13.565 Women's Educational Equity (1976B)
Transferred to 84.083 (1980U1)
- 13.566 Elementary and Secondary School Education in the Arts (1976B)
Transferred to 84.084 (1980U1)
- 13.567 Mining and Mineral Fuel Conservation Fellowship Program (1975B)
Domestic Mining and Mineral Fuel Conservation Fellowship Program (1977B)
Domestic Mining and Mineral and Mineral Fuel Conservation Fellowship Program (1979U1)
Transferred to 84.085 (1980U1)
- 13.568 Handicapped Innovative Programs (1975U)
Handicapped Innovative Programs-Programs for Severely Handicapped Children (1976B)
Innovative Programs for Severely Handicapped Children (1979U1)
Transferred to 84.086 (1980U1)
- 13.569 Indian Education-Fellowships for Indian Students (1976U)
Transferred to 84.087 (1980U1)
- 13.570 Libraries and Learning Resources (1975U)
Instructional Materials and School Library Resources (1979U1)
Transferred to 84.088 (1980U1)
- 13.571 Educational Innovation and Support (1975U)
- Improvement in Local Educational Practice (1979B)
Split into 13.486, 13.571 (1979B)
Transferred to 84.089 (1980U1)
- 13.572 Assistance to States for State Equalization Plans (1976U)
Deleted (1979U1)
- 13.574 Health Professions Educational Assistance Act Insured Loans (1977U)
Health Education Assistance Loans (1978B)
Transferred to 84.090 (1980U1)
- 13.575 Educational Research and Development (1973B)
Consolidated from 13.411, 13.412, 13.422, 13.424, 13.476, 13.498, 13.513, 13.521 (1973B)
Split into 13.498, 13.575 (1973U)
Number changed to 13.950 (1976B)
- 13.576 Strengthening Research Library Resources (1977U)
Transferred to 84.091 (1980U1)
- 13.577 Guidance, Counseling and Testing in Elementary/Secondary Schools (1979B)
Transferred to 84.092 (1980U1)
- 13.578 Training and Development Awards for Vocational Education Personnel-Vocational Education Certification Fellowships (1977U)
Vocational Education-Teacher Certification Fellowship Program (1978B)
Vocational Education-Teacher Education Certification (1979U1)
Deleted (1979U2)
- 13.579 Emergency Adult Education Program for Indochina Refugees (1977U)
Deleted (1978B)
Reinstated (1979U2)
Transferred to 84.093 (1980U1)
- 13.580 Graduate and Professional Opportunities (1978B)
Transferred to 84.094 (1980U1)
- 13.581 Citizen Education for Cultural Understanding Program (1979B)
Transferred to 84.095 (1980U1)
- 13.582 Incentive Grants for State Student Financial Assistance Training (1977U)
Transferred to 84.096 (1980U1)
- 13.584 Law School Clinical Experience Program (1979B)
Transferred to 84.097 (1980U1)
- 13.585 Educational Information Centers (1978B)
Transferred to 84.098 (1980U1)
- 13.586 Bilingual Vocational Instructor Training (1977B)
Transferred to 84.099 (1980U1)
- 13.587 Bilingual Vocational Instructional Materials, Methods, and Techniques (1977B)
Transferred to 84.100 (1980U1)
- 13.588 Vocational Education-Contract Program for Indian Tribes and Indian Organizations (1977U)
Vocational Education-Grant Program for Indian Tribes and Indian Organizations (1979U2)
Transferred to 84.101 (1980U1)
- 13.589 Emergency School Aid-Magnet Schools, University/Business Cooperation (1977U)
Emergency School Aid Act-Magnet Schools, University/Business Cooperation and Neutral Site Planning (1979U1)
Transferred to 84.102 (1980U1)
- 13.590 Emergency School Aid-Neutral Site Planning (1977U)
Incorporated into 13.589 (1979U1)

- 13.592 Training for Special Programs Staff and Leadership Personnel (1978B)
Transferred to 84.103 (1980U1)
- 13.596 Career Education State Allotment Program (1979U1)
Transferred to 84.104 (1980U1)
- 13.599 Basic Skills Improvements (1979U1)
Number changed from 13.533 (1979U1)
Transferred to 84.105 (1980U1)
- 13.600 Child Development-Head Start (1970B)
Administration for Children, Youth and Families-Head Start (1977U)
Number changed to 93.600 (1990U)
- 13.601 Child Development-Technical Assistance (1970B)
Incorporated into 13.600 (1976B)
- 13.602 Civil Rights Activities (1969B)
Civil Rights Compliance Activities (1970B)
Number changed to 13.675 (1976B)
- 13.603 Mental Retardation Coordination and Information (1970B)
Office for the Handicapped (1974B)
Office for Handicapped Individuals (1975B)
Transferred to 84.125 (1980U1)
- 13.604 Urban Planning for Human Resources (1969B)
Development-Information and Technical Assistance (1969B)
Planning for Human Resources Development (1970B)
Deleted (1972U)
- 13.605 State Personnel Merit Systems-Technical Assistance (1969B)
Transferred to 27.010 (1971B)
- 13.606 Public Property for Educational and Public Health Uses (1965B)
Surplus Property for Educational and Public Health Uses (1967B)
Surplus Property Utilization (1969B)
Number changed to 13.676 (1976B)
- 13.607 Facilities Engineering and Construction Activities-Technical Assistance (1970U)
Facilities Engineering and Property Management-Technical Assistance (1973U)
Number changed to 13.677 (1976B)
- 13.608 Child Development-Child Welfare Research and Demonstration Grants (1971B)
Split from 13.706 (1971B)
Administration for Children, Youth and Families-Child Welfare Research and Demonstration Grants (1977U)
Administration for Children, Youth and Families-Child Welfare Research and Demonstration (1978B)
Number changed to 93.608 (1990U)
- 13.609 Special Programs for the Aging (1973U)
Number changed from 13.756 (1973U)
Split into 13.633, 13.634, 13.635, 13.636, 13.637 (1975U)
- 13.610 Youth-Development and Delinquency Prevention (1973U)
Number changed from 13.764 (1973U)
Deleted (1975B)
- 13.611 Consumer Affairs (1973U)
Transferred from 69.001 (1973U)
Number changed to 13.678 (1976B)
- 13.612 Native American Programs (1974B)
Transferred from OEO (1974B)
- Native American Programs-Financial Assistance Grants (1981B)
Native American Programs (1990B)
Incorporated from 13.661, 13.662 (1990B)
Number changed to 93.612 (1990U)
- 13.613 Mental Retardation Evaluation (1974B)
Transferred from 54.001 (1974B)
Mental Retardation Evaluation-President's Committee on Mental Retardation (1976B)
Mental Retardation-President's Committee on Mental Retardation (1976U)
Number changed to 93.613 (1990U)
- 13.614 Child Development Associate Scholarships (1987B)
Number changed to 93.614 (1990U)
- 13.615 Governors' Councils On Physical Fitness (1974B)
Transferred from 55.001 (1974B)
Consolidated into 13.289 (1975B)
- 13.616 Physical Fitness and Sports Information (1974B)
Transferred from 55.003 (1974B)
Number changed to 13.289 (1975B)
- 13.617 Physical Fitness Clinics (1974B)
Transferred from 55.004 (1974B)
Consolidated into 13.289 (1975B)
- 13.618 Physical Fitness Demonstration Center Schools (1974B)
Transferred from 55.005 (1974B)
Consolidated into 13.289 (1975B)
- 13.619 Physical Fitness Program Development (1974B)
Transferred from 55.006 (1974B)
Consolidated into 13.289 (1975B)
- 13.620 Presidential Physical Fitness Award (1974B)
Transferred from 55.007 (1974B)
Consolidated into 13.289 (1975B)
- 13.621 Health-Exercise Symposia (1974B)
Transferred from 55.008 (1974B)
Consolidated into 13.289 (1975B)
Deleted (1975B)
- 13.622 Presidential Sports Award (1974B)
Transferred from 55.009 (1974B)
Consolidated into 13.289 (1975B)
- 13.623 Runaway Youth (1975U)
Replaces deleted program, 13.610 (1975U)
Administration for Children, Youth and Families-Runaway Youth (1978B)
Administration for Children, Youth and Families-Runaway and Homeless Youth (1986B)
Number changed to 93.623 (1990U)
- 13.624 Rehabilitation Services and Facilities-Basic Support (1975B)
Number changed from 13.746 (1975B)
Transferred to 84.126 (1980U1)
- 13.625 Vocational Rehabilitation Services for Social Security Disability Beneficiaries (1975B)
Number changed from 13.747 (1975B)
Transferred to 84.127 (1980U1)
- 13.626 Rehabilitation Services and Facilities-Special Projects (1975B)
Number changed from 13.763 (1975B)

- Split into 13.626, 13.649 (1978B)
Transferred to 84.128 (1980U1)
- 13.627 Rehabilitation Research and Demonstrations (1975B)
Number changed from 13.765 (1975B)
Number changed to 13.654 (1979U1)
- 13.628 Child Development-Child Abuse and Neglect Prevention and Treatment (1975B)
Administration for Children, Youth and Families-Child Abuse and Neglect Prevention and Treatment (1977U)
Child Abuse and Neglect Prevention and Treatment (1978B)
Deleted (1985B)
- 13.629 Rehabilitation Training (1975B)
Number changed from 13.767 (1975B)
Transferred to 84.129 (1980U1)
- 13.630 Developmental Disabilities-Basic Support (1975B)
Number changed from 13.753 (1975B)
Developmental Disabilities-Basic Support and Advocacy Grants (1978U)
Administration for Developmental Disabilities-Basic Support and Advocacy Grants (1980U1)
Administration on Developmental Disabilities-Basic Support and Advocacy Grants (1980U2)
Number changed to 93.630 (1990U)
- 13.631 Developmental Disabilities-Special Projects (1975B)
Number changed from 13.759 (1975B)
Administration for Developmental Disabilities-Special Projects (1980U1)
Administration on Developmental Disabilities-Special Projects (1980U2)
Administration on Developmental Disabilities-Projects of National Significance (1988B)
Number changed to 93.631 (1990U)
- 13.632 Developmental Disabilities-Demonstration Facilities and Training (1975B)
Number changed from 13.760 (1975B)
Developmental Disabilities-University Affiliated Facilities (1977U)
Developmental Disabilities-University Affiliated Programs (1978B)
Administration on Developmental Disabilities-University Affiliated Facilities (1980U2)
Administration on Developmental Disabilities-University Affiliated Programs (1988B)
Number changed to 93.632 (1990U)
- 13.633 Special Programs for the Aging-State Agency Activities and Area Planning and Social Service Programs (1975U)
Split from 13.609 (1975U)
Special Programs for the Aging-Title III Parts A and B-Grants for States and Community Programs on Aging (1979B)
Special Programs for the Aging-Title III Parts A and B-Grants for Social Services and Centers (1981U)
Special Programs for the Aging-Title III, Parts A and B-Grants for Supportive Services and Senior Centers (1981U)
Special Programs for the Aging-Title III, Part B-Grants for Supportive Services and Senior Centers (1987B)
Number changed to 93.633 (1990U)
- 13.634 Aging Programs Title III Section 308 Model Projects (1975U)
Split from 13.609 (1975U)
Special Programs for the Aging Title III Section 308 Model Projects on Aging (1976B)
Special Programs for the Aging-Title IV C-Discretionary Projects and Programs (1979B)
Special Programs for the Aging-Title IV Part C-Discretionary Projects and Programs (1981B)
- Consolidated into 13.668 (1982B)
- 13.635 Special Programs for the Aging-Nutrition Program for the Elderly (1975U)
Split from 13.609 (1975U)
Special Programs for the Aging-Title III, Part C-Nutrition Services (1979B)
Number changed to 93.635 (1990U)
- 13.636 Programs for the Aging-Research and Demonstration (1975U)
Split from 13.609 (1975U)
Special Programs for the Aging-Research and Demonstration (1976B)
Special Programs for the Aging-Research and Development (1976U)
Special Programs for the Aging-Title IV Part B-Research and Development (1979B)
Consolidated into 13.668 (1982B)
- 13.637 Programs for the Aging-Training (1975U)
Split from 13.609 (1975U)
Special Programs for the Aging-Training (1976B)
Special Programs for the Aging-Title IV A-Training (1979B)
Consolidated into 13.668 (1982B)
- 13.638 Special Programs for the Aging-Multidisciplinary Centers of Gerontology (1976U)
Special Programs for the Aging-Title IV G-Multidisciplinary Centers of Gerontology (1979B)
Special Programs for the Aging-Title IV E-Multidisciplinary Centers of Gerontology (1980B)
Special Programs for the Aging-Title IV, Part E-Multidisciplinary Centers of Gerontology (1981B)
Deleted (1982B)
- 13.639 Special Programs for the Aging-Title V Multipurpose Senior Centers (1976U)
Incorporated into 13.633 (1979B)
Consolidated into 13.668 (1982B)
- 13.640 Youth Research and Development (1977B)
Administration for Children, Youth and Families-Youth Research and Development (1978B)
Incorporated into 13.608 (1981B)
- 13.641 Special Programs for the Aging-Title III, Part D-In-Home Services for Frail Older Individuals (1988B)
Number changed to 93.641 (1990U)
- 13.642 Social Services for Low Income and Public Assistance Recipients (1977U)
Number changed from 13.771 (1977U)
Consolidated into 13.667 (1982B)
- 13.643 Children's Justice Grants to States (1987B)
Number changed to 93.643 (1990U)
- 13.644 Public Assistance Training Grants-Title XX (1977U)
Number changed from 13.772 (1977U)
Social Services Training Grants-Title XX (1979U1)
Consolidated into 13.667 (1982B)
- 13.645 Child Welfare Services (1977U)
Child Welfare Services-State Grants (1978B)
Number changed from 13.707 (1977U)
Number changed to 93.645 (1990U)
- 13.646 Work Incentives Program-Child Care-Employment Related Supportive Services (1977U)
Number changed from 13.748 (1977U)
Work Incentive Program (1979B)

	Number changed to 13.790 (1986U)		Transferred to 10.433 (1986B)
13.647	Social Services Research (1977U) Split from 13.766 (1977U) Social Services Research and Demonstration (1978B) Number changed to 93.647 (1990U)	13.665	Community Services Block Grant (1982B) Split into 13.792, 13.793 (1986U)
13.648	Training Grants in the Field of Child Welfare (1977U) Number changed from 13.768 (1977U) Child Welfare Services Training Grants (1978B) Number changed to 93.648 (1990U)	13.666	Comprehensive Child Development Centers (1989B) Number changed to 93.666 (1990U)
13.649	Rehabilitation Services and Facilities-Innovation and Expansion (1978B) Split from 13.626 (1978B) Transferred to 84.130 (1980U1)	13.667	Social Services Block Grant (1982B) Number changed to 93.667 (1990U)
13.650	Special Programs for the Aging-Title II, Section 204, National Clearinghouse on Aging (1978B) Deleted (1982B)	13.668	Special Programs for the Aging-Title IV-Training, Research and Discretionary Projects and Programs (1982B) Number changed to 93.668 (1990U)
13.651	Vocational Rehabilitation Services for Supplemental Security Income Beneficiaries (1978U) Transferred to 84.131 (1980U1)	13.669	Administration for Children, Youth and Families-Child Abuse and Neglect State Grants (1985B) Number changed to 93.669 (1990U)
13.652	Administration for Children, Youth and Families-Adoption Opportunities (1979B) Number changed to 93.652 (1990U)	13.670	Administration for Children, Youth and Families-Child Abuse and Neglect Discretionary Activities (1985B) Number changed to 93.670 (1990U)
13.653	Centers for Independent Living (1979U1) Transferred to 84.132 (1980U1)	13.671	Family Violence and Prevention Services (1986B) Number changed to 93.671 (1990U)
13.654	National Institute of Handicapped Research (1979U1) Number changed from 13.627 (1979U1) Transferred to 84.133 (1980U1)	13.672	Child Abuse Challenge Grants (1986U) Number changed to 93.672 (1990U)
13.655	Special Programs for the Aging-Title VI-Grants to Indian Tribes (1980B) Special Programs for the Aging-Title VI, Part A-Indian Programs (1988B) Special Programs for the Aging-Title VI, Part A-Indian Programs-Grants to Indian Tribes (1988U) Special Programs for the Aging-Title VI, Part A, Indian Programs-Grants to Indian Tribes-Part B, Grants to Native Hawaiians (1990B) Number changed to 93.655 (1990U)	13.673	Grants to States for Planning and Development of Dependent Care Programs (1986U) Number changed to 93.673 (1990U)
13.656	Temporary Child Care and Crisis Nurseries (1988B) Number changed to 93.656 (1990U)	13.674	Independent Living (1987U) Number changed to 93.674 (1990U)
13.657	Drug Abuse Prevention and Education for Runaway and Homeless Youth (1989B) Number changed to 93.657 (1990U)	13.675	Civil Rights Compliance Activities (1976B) Number changed from 13.602 (1976B) Number changed to 93.675 (1990U)
13.658	Foster Care-Title IV-E (1982B) Number changed to 93.658 (1990U)	13.676	Surplus Property Utilization (1976B) Number changed from 13.606 (1976B) Number changed to 13.291 (1986U)
13.659	Adoption Assistance (1981U) Number changed to 93.659 (1990U)	13.677	Facilities Engineering and Property Management-Technical Assistance (1976B) Number changed from 13.607 (1976B) Facilities Engineering and Property Management-Technical Support (1978B) Deleted (1978B)
13.660	Drug Abuse Prevention and Education Relating to Youth Gangs (1989B) Number changed to 93.660 (1990U)	13.678	Consumer Affairs (1976B) Number changed from 13.611 (1976B) Number changed to 93.678 (1990U)
13.661	Native American Programs-Research, Demonstration, and Evaluation (1981B) Incorporated into 13.612 (1990B)	13.679	Child Support Enforcement (1976U) Number changed to 13.783 (1986U)
13.662	Native American Programs-Training and Technical Assistance (1981B) Incorporated into 13.612 (1990B)	13.680	Telecommunications Demonstrations (1978B) Telecommunications Demonstrations for Social Services (1978U) Telecommunications Demonstrations for Health, Education and Other Social Services (1979U2) Transferred to 84.134 (1980U1)
13.663	Community Development Credit Union Revolving Loan Fund (1984B) Number changed to 13.794 (1986U)	13.685	Emergency School Aid Act-Planning Grants (1979U1) Transferred to 84.106 (1980U1)
13.664	Rural Development Loan Fund (1984B)	13.686	Emergency School Aid Act-Pre-Implementation Assistance Grants (1979U1) Transferred to 84.107 (1980U1)
		13.687	Emergency School Aid Act-Out-of-Cycle Grants (1979U1) Transferred to 84.108 (1980U1)
		13.688	Emergency School Aid Act-Special Discretionary Assistance Grants (1979U1)

- Transferred to 84.109 (1980U1)
- 13.689 Emergency School Aid Act-State Agency Grants (1979U1)
Transferred to 84.110 (1980U1)
- 13.690 Emergency School Aid Act-Grants for the Arts (1979U1)
Transferred to 84.111 (1980U1)
- 13.691 Biomedical Sciences for Talented, Disadvantaged Secondary Students (1980B)
Transferred to 84.112 (1980U1)
- 13.692 Adult Education Program for Adult Immigrants (1979U2)
Transferred to 84.113 (1980U1)
- 13.700 Health Insurance for the Aged-Supplementary Medical Insurance (1969B)
Aging-Grants to States for Community Planning and Services (1970B)
Aging-Grants to States for Community Programs (1971B)
Split into 13.700, 13.751 (1971B)
Consolidated into 13.756 (1972B)
- 13.701 Aging-Research and Demonstration (1969B)
Aging-Research and Development Grants (1970B)
Consolidated into 13.757 (1972B)
- 13.702 Aging-Training Grants (1970B)
Consolidated into 13.758 (1972B)
- 13.703 Aid to Families with Dependent Children (1965B)
Aid to Families with Dependent Children-Maintenance Payments (1969B)
Aid to Families with Dependent Children (1970B)
Consolidated into 13.761 (1972B)
- 13.704 Aid to the Blind (1965B)
Aid to the Blind-Maintenance Payments (1969B)
Aid to the Blind (1970B)
Consolidated into 13.761 (1972B)
- 13.705 Aid to the Permanently and Totally Disabled (1965B)
Aid to the Permanently and Totally Disabled-Maintenance Payments (1969B)
Aid to the Permanently and Totally Disabled (1970B)
Consolidated into 13.761 (1972B)
- 13.706 Child Welfare Research, Training, and Demonstration Projects (1965B)
Child Welfare Research and Demonstration Grants (1970B)
Split into 13.608, 13.706 (1971B)
Consolidated into 13.757 (1972B)
- 13.707 Child Welfare Services (1965B)
Child Welfare Training (1969B)
Child Welfare Services (1970B)
Number changed to 13.645 (1977U)
- 13.708 Child Welfare Training (1970B)
Consolidated into 13.758 (1972B)
- 13.709 Emergency Welfare Assistance-Maintenance Payments (1969B)
Emergency Welfare Assistance (1970B)
Consolidated into 13.761 (1972B)
- 13.710 Foster Grandparents (1969B)
Foster Grandparent Program (1970B)
Aging-Foster Grandparents (1971B)
Deleted (1971U)
- 13.711 Juvenile Delinquency Planning, Prevention, and Rehabilitation (1970B)
Consolidated into 13.764 (1972B)
- 13.712 Juvenile Delinquency Prevention and Control-Model Programs and Technical Assistance (1970B)
Consolidated into 13.764 (1972B)
- 13.713 Juvenile Delinquency Prevention and Control-Training (1970B)
Juvenile Delinquency Prevention and Control-Training of Youth Service Personnel (1971B)
Consolidated into 13.764 (1972B)
- 13.714 Medical Assistance Program (1967B)
Number changed to 93.778 (1990U)
- 13.715 Mental Retardation-Initial Staffing of Community Facilities (1970B)
Developmentally Disabled-Community Service Facility Initial Staffing (1971B)
Consolidated into 13.759 (1972B)
- 13.716 Mental Retardation Community Facilities Construction (1970B)
Number changed to 13.753 (1971B)
- 13.717 Hospital Improvement Projects for the Mentally Disabled (1965B)
Mental Retardation-Hospital Improvement (1969B)
Mental Retardation Hospital Improvement (HIP) and Inservice Training (HIST) (1970B)
Developmentally Disabled-Hospital Improvement (1971B)
Consolidated into 13.759 (1972B)
- 13.718 Mental Retardation Rehabilitation Service Projects (1970B)
Developmentally Disabled-Rehabilitation Service Projects (1971B)
Consolidated into 13.759 (1972B)
- 13.719 Mental Retardation Research (1970B)
Deleted (1971B)
- 13.720 New Career Opportunities for the Handicapped (1970B)
Consolidated into 13.749 (1970U)
- 13.721 New Career Opportunities in Vocational Rehabilitation (1970B)
Consolidated into 13.749 (1970U)
- 13.722 Old-Age Assistance (1970B)
Consolidated into 13.761 (1972B)
- 13.723 Demonstration Projects in Public Assistance (1967B)
Public Assistance Demonstration Projects (1969B)
Public Assistance Demonstration Grants (1971B)
Consolidated into 13.757 (1972B)
- 13.724 Public Assistance Staff Development-Formula Grants to States (1970B)
Public Assistance-State and Local Training (1971B)
Number changed to 13.810 (1977U)
- 13.725 Refugees in the United States-Health Services (1970B)
Refugee Assistance-Health Services (1971B)
Consolidated into 13.762 (1972B)
- 13.726 Refugees in the United States-Resettlement (1970B)
Refugee Assistance-Resettlement (1971B)
Consolidated into 13.762 (1972B)
- 13.727 Refugees in the United States-Welfare Assistance and Services (1970B)
Refugee Assistance-Welfare Assistance and Services (1971B)
Consolidated into 13.762 (1972B)
- 13.728 Vocational Rehabilitation-Research and Demonstration (1965B)
Rehabilitation Research and Demonstration Grants (1969B)

	Consolidated into 13.757 (1972B)		Vocational Rehabilitation-Technical Assistance (1970B)
13.729	Vocational Rehabilitation Research and Training Centers (1965B)		Rehabilitation Facilities Improvement Grants-Technical Assistance (1971B)
	Rehabilitation Research and Training Centers (1969B)		Consolidated into 13.763 (1972B)
	Consolidated into 13.757 (1972B)	13.745	Vocational Rehabilitation-Training Services Grants (1970B)
13.730	Vocational Rehabilitation Services (1967B)		Rehabilitation Services Training Grants (1971B)
	Rehabilitation Services Expansion-Contracts With Industry (1970B)		Consolidated into 13.763 (1972B)
	Rehabilitation Services Projects-Projects with Industry (1971B)	13.746	Vocational Rehabilitation-Rehabilitation Services (1965B)
	Consolidated into 13.763 (1972B)		Social Services for Rehabilitation Patients (1969B)
13.731	Rehabilitation Services Expansion Grants (1970B)		Vocational Rehabilitation Services-Basic Support (1970B)
	Rehabilitation Services Projects-Expansion Grants (1971B)		Rehabilitation Services and Facilities-Basic Support (1971B)
	Consolidated into 13.763 (1972B)		Number changed to 13.624 (1975B)
13.732	Rehabilitation Services Innovation (1969B)	13.747	Social Services for the Elderly Through Public Assistance (1969B)
	Rehabilitation Services Innovation Grants (1970B)		Vocational Rehabilitation Services for Social Security Beneficiaries (1970B)
	Rehabilitation Services Projects-Innovation Grants (1971B)		Vocational Rehabilitation Services for Social Security Disability Beneficiaries (1971B)
	Deleted (1972B)		Number changed to 13.625 (1975B)
13.733	Vocational Rehabilitation Training Grants (1965B)	13.748	Work Incentive Program-Child Care (1970B)
	Rehabilitation Training (1970B)		Work Incentives Program-Child Care-Employment Related Supportive Services (1973B)
	Consolidated into 13.758 (1972B)		Number changed to 13.646 (1977U)
13.734	Citizens Returned or Brought from a Foreign Country (1965B)	13.749	Vocational Rehabilitation-New Careers (1970U)
	Repatriated United States National Assistance (1969B)		Consolidated from 13.720, 13.721 (1970U)
	Consolidated into 13.761 (1972B)		Rehabilitation Services Projects-New Career Opportunities (1971B)
13.735	Social Services-Aid to the Blind (1970B)		Consolidated into 13.763 (1972B)
	Consolidated into 13.754 (1971B)	13.750	Aging-Grants to States for Areawide Model Projects (1971B)
13.736	Social Services-Aid to the Permanently and Totally Disabled (1970B)		Consolidated into 13.756 (1972B)
	Consolidated into 13.754 (1971B)	13.751	Aging-Grants to States for Statewide Planning, Coordination, Evaluation, and Administration (1971B)
13.737	Social Services to Families and Children Receiving Aid to Families with Dependent Children (1969B)		Split from 13.700 (1971B)
	Social Services-Families with Dependent Children (1970B)		Consolidated into 13.756 (1972B)
	Consolidated into 13.754 (1971B)	13.752	Aging-Retired Senior Volunteers (1971B)
13.738	Old-Age Assistance (1965B)		Deleted (1971U)
	Old-Age Assistance-Maintenance Payments (1969B)	13.753	Developmentally Disabled-Basic Support (1971B)
	Social Services-Old-Age Assistance (1970B)		Number changed from 13.716 (1971B)
	Consolidated into 13.754 (1971B)		Number changed to 13.630 (1975B)
13.739	Research and Demonstration Projects in Social Welfare (1967B)	13.754	Public Assistance-Social Services (1971B)
	Social Welfare Cooperative Research and Demonstration Grants (1970B)		Consolidated from 13.735, 13.736, 13.737, 13.738 (1971B)
	Consolidated into 13.757 (1972B)		Incorporated into 13.771 (1977U)
13.740	Social Services Training (1969B)	13.755	Vocational Rehabilitation-Construction Grants (1971B)
	Social Work Manpower-Training Grants (1970B)		Deleted (1973B)
	Consolidated into 13.758 (1972B)	13.756	Aging-Special Support Projects (1972B)
13.741	State and Local Administration of Public Assistance Programs (1970B)		Consolidated from 13.700, 13.750, 13.751 (1972B)
	State and Local Administration of Public Assistance (1971B)		Special Programs for the Aging (1973B)
	Consolidated into 13.761 (1972B)		Number changed to 13.609 (1973U)
13.742	Vocational Rehabilitation-Facilities and Workshops (1965B)	13.757	Comprehensive Social Rehabilitation Research (1972B)
	Vocational Rehabilitation-Facility Improvement Grants (1970B)		Consolidated from 13.701, 13.706, 13.723, 13.728, 13.729, 13.739 (1972B)
	Rehabilitation Facilities Projects-Improvement Grants (1971B)		Split into 13.765, 13.766 (1974B)
	Consolidated into 13.763 (1972B)	13.758	Comprehensive Social and Rehabilitation Training (1972B)
13.743	Vocational Rehabilitation-Initial Staffing (1970B)		Consolidated from 13.702, 13.708, 13.733, 13.740 (1972B)
	Rehabilitation Services Projects-Initial Staffing (1971B)		Deleted (1973B)
	Consolidated into 13.763 (1972B)	13.759	Developmental Disabilities-Special Projects (1972B)
13.744	Social and Rehabilitation Services-Technical Assistance (1969B)		

	Consolidated from 13.715, 13.717, 13.718 (1972B)		Number changed to 93.775 (1990U)
	Number changed to 13.631 (1975B)	13.776	Professional Standards Review Organizations (1979B) Deleted (1985B)
13.760	Developmental Disabilities-Demonstration Facilities and Training (1972B) Number changed to 13.632 (1975B)	13.777	State Health Care Providers Survey Certification (1979B) State Survey and Certification of Health Care Providers and Suppliers (1986B) Number changed to 93.777 (1990U)
13.761	Public Assistance-Maintenance Assistance (State Aid) (1972B) Consolidated from 13.703, 13.704, 13.705, 13.709, 13.722, 13.734, 13.741 (1972B) Number changed to 13.808 (1977U)	13.780	Assistance Payments-Maintenance Assistance (1986U) Number changed from 13.808 (1986U) Family Support Payments to States-Assistance Payments (1988B) Number changed to 93.020 (1990U)
13.762	Refugee Assistance-Cuban Refugees (1972B) Consolidated from 13.725, 13.726, 13.727 (1972B) Number changed to 13.813 (1977U)	13.781	Job Opportunities and Basic Skills Training (1989B) Number changed to 93.021 (1990U)
13.763	Rehabilitation Services and Facilities-Special Projects (1972B) Consolidated from 13.730, 13.731, 13.742, 13.743, 13.744, 13.745, 13.749 (1972B) Number changed to 13.626 (1975B)	13.782	Assistance Payments-Research (1986U) Number changed from 13.812 (1986U) Number changed to 93.022 (1990U)
13.764	Youth-Development and Delinquency Prevention (1972B) Consolidated from 13.711, 13.712, 13.713 (1972B) Number changed to 13.610 (1973U)	13.783	Child Support Enforcement (1986U) Number changed from 13.679 (1986U) Number changed to 93.023 (1990U)
13.765	Rehabilitation Research and Demonstrations (1974B) Split from 13.757 (1974B) Number changed to 13.627 (1975B)	13.784	Child Support Enforcement Research (1986U) Number changed from 13.809 (1986U) Number changed to 93.024 (1990U)
13.766	Public Assistance Research (1974B) Split from 13.757 (1974B) Health Financing Research, Demonstrations and Experiments (1977U) Split into 13.647, 13.766, 13.812 (1977U) Health Financing Research, Demonstrations and Evaluations (1987B) Health Care Financing Research, Demonstrations and Evaluations (1987U) Number changed to 93.779 (1990U)	13.785	Child Support Enforcement Interstate Grants (1986U) Number changed from 13.811 (1986U) Deleted (1989B)
13.767	Rehabilitation Training (1974B) Number changed to 13.629 (1975B)	13.786	State Legalization Impact Assistance Grants (1987U) Number changed to 93.025 (1990U)
13.768	Community Services Training Grants (1975B) Training Grants in the Field of Child Welfare (1976B) Number changed to 13.648 (1977U)	13.787	Refugee and Entrant Assistance-State Administered Programs (1986U) Number changed from 13.814 (1986U) Number changed to 93.026 (1990U)
13.769	Special Assistance to Refugees from Cambodia and Vietnam in the United States (1976B) Special Assistance to Refugees from Cambodia, Vietnam and Laos in the United States (1976U) Number changed to 13.814 (1977U)	13.788	Refugee Assistance-Voluntary Agency Programs (1986U) Number changed from 13.815 (1986U) Number changed to 93.027 (1990U)
13.771	Social Services for Low Income and Public Assistance Recipients (1976B) Number changed to 13.642 (1977U)	13.789	Low-Income Home Energy Assistance (1986U) Number changed from 13.818 (1986U) Number changed to 93.028 (1990U)
13.772	Public Assistance Training Grants-Title XX (1976B) Number changed to 13.644 (1977U)	13.790	Work Incentive Program (1986U) Number changed from 13.646 (1986U) Work Incentive Program/WIN Demonstration Program (1988U) Number changed to 93.029 (1990U)
13.773	Medicare-Hospital Insurance (1977U) Number changed from 13.800 (1977U) Health Insurance for the Aged-Hospital Insurance (1977U) Medicare-Supplementary Medical Insurance (1977U) Number changed to 93.773 (1990U)	13.791	Emergency Services and Shelter AFDC Transitional Housing Demonstration Program (1990B) Number changed to 93.030 (1990U)
13.774	Health Insurance for the Aged-Supplementary Medical Insurance (1977U) Number changed from 13.801 (1977U) Number changed to 93.774 (1990U)	13.792	Community Services Block Grant (1986U) Number changed from 13.665 (1986U) Split from 13.665 (1986U) Number changed to 93.031 (1990U)
13.775	State Medicaid Fraud Control Units (1978B)	13.793	Community Services Block Grant-Discretionary Awards (1986U) Split from 13.665 (1986U) Number changed to 93.032 (1990U)
		13.794	Community Development Credit Union Revolving Loan Fund

- Number changed from 13.663 (1986U)
Transferred to 44.002 (1987B)
- 13.795 Community Block Grant Discretionary Awards-Community Food and Nutrition (1987B)
Number changed to 93.033 (1990U)
- 13.796 Emergency Community Services for the Homeless (1988B)
Number changed to 93.034 (1990U)
- 13.797 Community Services Block Grant Discretionary Awards-Demonstration Partnerships (1988B)
Number changed to 93.035 (1990U)
- 13.800 Health Insurance for the Aged (1965B)
Health Insurance for People 65 or Over (Medicare) (1967B)
Health Insurance of the Aged-Hospital Insurance (1969B)
Medicare-Hospital Insurance (1973B)
Number changed to 13.773 (1977U)
- 13.801 Health Insurance for the Aged-Supplementary Medical Insurance (1970B)
Medicare-Supplementary Medical Insurance (1973B)
Number changed to 13.774 (1977U)
- 13.802 Social Security Benefits (1967B)
Social Security-Disability Insurance (1970B)
Number changed to 93.802 (1990U)
- 13.803 Social Security-Retirement Insurance (1970B)
Number changed to 93.803 (1990U)
- 13.804 Social Security-Special Benefits for Persons Aged 72 and Over (1970B)
Number changed to 93.804 (1990U)
- 13.805 Social Security-Survivors Insurance (1970B)
Number changed to 93.805 (1990U)
- 13.806 Special Benefits for Disabled Coal Miners (1970B)
Number changed to 93.806 (1990U)
- 13.807 Supplemental Security Income (1973U)
Number changed to 93.807 (1990U)
- 13.808 Public Assistance-Maintenance Assistance (State Aid) (1977U)
Number changed from 13.761 (1977U)
Assistance Payments-Maintenance Assistance (State Aid) (1978B)
Assistance Payments-Maintenance Assistance (1981B)
Number changed to 13.780 (1986U)
- 13.809 Child Support Enforcement Research (1984B)
Split from 13.812 (1984B)
Number changed to 13.784 (1986U)
- 13.810 Public Assistance-State and Local Training (1977U)
Number changed from 13.724 (1977U)
Assistance Payments-State and Local Training (1978B)
Incorporated into 13.808 (1983U)
- 13.811 Child Support Enforcement Interstate Grants (1985B)
Number changed to 13.785 (1986U)
- 13.812 Public Assistance Research (1977U)
Split from 13.766 (1977U)
Assistance Payments-Research (1978B)
Split into 13.809, 13.812 (1984B)
Reinstated (1987B)
Number changed to 93.812 (1990U)
- 13.813 Refugee Assistance-Cuban Refugees (1977U)
Number changed from 13.762 (1977U)
Refugee Assistance-Cuban Program Phasedown (1981B)
Deleted (1982B)
- 13.814 Special Assistance to Refugees from Cambodia, Vietnam and Laos in the United States (1977U)
Number changed from 13.769 (1977U)
Refugee Assistance-Indochinese Refugees (1978B)
Refugee Assistance-State Administered Programs (1980B)
Refugee and Entrant Assistance-State Administered Programs (1984B)
Number changed to 13.787 (1986U)
- 13.815 Refugee Assistance: Soviet and Other Refugees (Excluding Cuban and Indochinese Refugees) (1979B)
Refugee Assistance-Voluntary Agency Programs (1980B)
Number changed to 13.788 (1986U)
- 13.816 Low Income Energy Assistance Program (1980U1)
Low Income Home Energy Assistance Program (1981U)
Number changed to 13.818 (1982B)
- 13.817 Refugee Assistance-Cuban and Haitian Entrants (1981B)
Entrant Assistance-Cuban and Haitian Entrants (1982B)
Incorporated into 13.814 (1984B)
- 13.818 Low Income Home Energy Assistance Program (1982B)
Number changed from 13.816 (1982B)
Number changed to 13.789 (1986U)
- 13.820 Scholarships for First-Year Students of Exceptional Financial Need (1978B)
Scholarships for Students of Exceptional Financial Need (1989B)
Number changed to 93.820 (1990U)
- 13.821 Physiology and Biomedical Engineering (1978U)
Consolidated from 13.860, 13.861 (1978U)
Biophysics and Physiological Sciences (1985B)
Number changed to 93.821 (1990U)
- 13.822 Health Careers Opportunity Program (1979B)
Number changed to 93.822 (1990U)
- 13.823 Health Underserved Research and Demonstration Projects (1979B)
Primary Care Research and Demonstration Projects (1979U2)
Split into 13.112, 13.113, 13.114, 13.115 (1983B)
- 13.824 Area Health Education Centers (1979B)
Deleted (1980B)
Reinstated (1981B)
Number changed to 93.824 (1990U)
- 13.825 Cancer-Research and Development Contracts (1973U)
Split into 13.393, 13.394, 13.395, 13.396, 13.399 (1974B)
- 13.826 Heart and Lung-Research and Development Contracts (1973U)
Split into 13.837, 13.838, 13.839 (1974B)
- 13.827 Dental Research-Contracts (1973U)
Split into 13.840, 13.841, 13.842, 13.843, 13.844, 13.845 (1974B)
- 13.828 Arthritis, Metabolism, and Digestive Diseases-Research Contracts (1973U)
Split into 13.846, 13.847, 13.848, 13.849, 13.850 (1974B)
- 13.829 Neurological Diseases and Stroke-Research and Development Contracts (1973U)
Split into 13.851, 13.852, 13.853, 13.854 (1974B)

- 13.830 Allergy and Infectious Diseases-Research and Development Contracts (1973U)
Split into 13.855, 13.856, 13.857, 13.858 (1974B)
- 13.831 General Medical Sciences-Research and Development Contracts (1973U)
Split into 13.859, 13.860, 13.861, 13.862, 13.863 (1974B)
- 13.832 Child Health and Human Development-Research and Development Contracts (1973U)
Split into 13.864, 13.865, 13.866 (1974B)
- 13.833 Eye-Research and Development Contracts (1973U)
Split into 13.867, 13.868, 13.869, 13.870, 13.871 (1974B)
- 13.834 Environmental Health Sciences-Research and Development Contracts (1973U)
Split into 13.872, 13.873, 13.874, 13.875, 13.876 (1974B)
- 13.835 Research Resources-Research and Development Contracts (1973U)
Split/Incorporated into 13.306, 13.371, 13.877 (1974B)
- 13.836 Biomedical Communications-Research Contracts (1973U)
Biomedical Communications Research (1974B)
Deleted (1980B)
- 13.837 Heart and Vascular Diseases Research (1974B)
Split from 13.346, 13.374, 13.826 (1974B)
Number changed to 93.837 (1990U)
- 13.838 Lung Diseases Research (1974B)
Split from 13.346, 13.374, 13.826 (1974B)
Number changed to 93.838 (1990U)
- 13.839 Blood Diseases and Resources Research (1974B)
Split from 13.346, 13.374, 13.826 (1974B)
Number changed to 93.839 (1990U)
- 13.840 Caries Research (1974B)
Split from 13.325, 13.827 (1974B)
Consolidated into 13.121 (1984B)
- 13.841 Periodontal and Soft Tissue Diseases Research (1974B)
Split from 13.325, 13.827 (1974B)
Periodontal Diseases Research (1976B)
Split into 13.841, 13.878 (1976B)
Consolidated into 13.121 (1984B)
- 13.842 Craniofacial Anomalies Research (1974B)
Split from 13.325, 13.827 (1974B)
Consolidated into 13.122 (1984B)
- 13.843 Restorative Materials Research (1974B)
Split from 13.325, 13.827 (1974B)
Consolidated into 13.121 (1984B)
- 13.844 Pain Control and Behavioral Studies (1974B)
Split from 13.325, 13.827 (1974B)
Consolidated into 13.122 (1984B)
- 13.845 Dental Research Institutes (1974B)
Split from 13.325, 13.827 (1974B)
Dental Research Institutes-Research Centers in Oral Biology (1987B)
Consolidated into 93.121 (1990U)
- 13.846 Arthritis, Bone and Skin Diseases Research (1974B)
Split from 13.309, 13.828 (1974B)
Arthritis, Musculoskeletal and Skin Diseases Research (1981B)
Number changed to 93.846 (1990U)
- 13.847 Diabetes, Endocrinology and Metabolism Research (1974B)
Split from 13.309, 13.828 (1974B)
Number changed to 93.847 (1990U)
- 13.848 Digestive Diseases and Nutrition Research (1974B)
Split from 13.309, 13.828 (1974B)
Number changed to 93.848 (1990U)
- 13.849 Kidney Diseases Research (1974B)
Split from 13.309, 13.828 (1974B)
Kidney Diseases, Urology and Hematology Research (1976U)
Number changed to 93.849 (1990U)
- 13.850 Hematology Research (1974B)
Split from 13.309, 13.828 (1974B)
Incorporated into 13.849 (1976U)
- 13.851 Communicative Disorders Research (1974B)
Split from 13.356, 13.829 (1974B)
Incorporated into 13.853, 13.854 (1982B)
- 13.852 Neurological Disorders Research (1974B)
Split from 13.356, 13.829 (1974B)
Incorporated into 13.853, 13.854 (1982B)
- 13.853 Stroke, Nervous System Trauma Research (1974B)
Split from 13.356, 13.829 (1974B)
Clinical Research (1982B)
Clinical Research Related to Neurological and Communicative Disorders (1985B)
Clinical Research Related to Neurological Disorders (1989B)
Number changed to 93.853 (1990U)
- 13.854 Fundamental Neurosciences Research (1974B)
Split from 13.356, 13.829 (1974B)
Biological Basic Research (1982B)
Biological Basis Research in the Neurosciences and Communicative Sciences (1985B)
Biological Basis Research in the Neurosciences (1989B)
Number changed to 93.854 (1990U)
- 13.855 Allergic and Immunologic Diseases Research (1974B)
Split from 13.301, 13.830 (1974B)
Immunology, Allergic and Immunologic Diseases Research (1977B)
Pharmacological Sciences (1980B)
Immunology, Allergic and Immunologic Diseases Research (1980U1)
Allergy, Immunology and Transplantation Research (1989B)
Number changed to 93.855 (1990U)
- 13.856 Bacterial and Fungal Diseases Research (1974B)
Split from 13.301, 13.830 (1974B)
Infectious Diseases Research (1975U)
Microbiology and Infectious Diseases Research (1977B)
Number changed to 93.856 (1990U)
- 13.857 Viral Diseases Research (1974B)
Split from 13.301, 13.830 (1974B)
Incorporated into 13.856 (1975U)
- 13.858 Parasitic Diseases Research (1974B)
Split from 13.301, 13.830 (1974B)
Incorporated into 13.856 (1975U)

- 13.859 Pharmacology-Toxicology Research (1974B)
Split from 13.335, 13.831 (1974B)
Pharmacological Sciences (1980U1)
Number changed to 93.859 (1990U)
- 13.860 Biomedical Engineering Research (1974B)
Split from 13.335, 13.831 (1974B)
Consolidated into 13.821 (1978U)
- 13.861 Clinical and Physiological Sciences Research (1974B)
Split from 13.335, 13.831 (1974B)
Consolidated into 13.821 (1978U)
- 13.862 Genetics Research (1974B)
Split from 13.335, 13.831 (1974B)
Number changed to 93.862 (1990U)
- 13.863 Cellular and Molecular Basis of Disease Research (1974B)
Split from 13.335, 13.831 (1974B)
Number changed to 93.863 (1990U)
- 13.864 Population Research (1974B)
Split from 13.317, 13.832 (1974B)
Number changed to 93.864 (1990U)
- 13.865 Child Health Research (1974B)
Split from 13.317, 13.832 (1974B)
Research for Mothers and Children (1975U)
Number changed to 93.865 (1990U)
- 13.866 Aging Research (1974B)
Split from 13.317, 13.832 (1974B)
Number changed to 93.866 (1990U)
- 13.867 Retinal and Choroidal Diseases Research (1974B)
Split from 13.331, 13.833 (1974B)
Number changed to 93.867 (1990U)
- 13.868 Corneal Diseases Research (1974B)
Split from 13.331, 13.833 (1974B)
Anterior Segment Diseases Research (1988B)
Number changed to 93.868 (1990U)
- 13.869 Cataract Research (1974B)
Split from 13.331, 13.833 (1974B)
Deleted (1988B)
- 13.870 Glaucoma Research (1974B)
Split from 13.331, 13.833 (1974B)
Deleted (1988B)
- 13.871 Sensory-Motor Disorders and Rehabilitation Research (1974B)
Split from 13.331, 13.833 (1974B)
Sensory and Motor Disorders of Vision Research (1977U)
Strabismus, Amblyopia and Visual Processing (1982B)
Number changed to 93.871 (1990U)
- 13.872 Environmental Health Sciences Centers (1974B)
Split from 13.328, 13.834 (1974B)
Consolidated into 13.892, 13.893, 13.894 (1978B)
- 13.873 Environmental Mutagenesis and Reproductive Toxicology Research (1974B)
Split from 13.328, 13.834 (1974B)
Consolidated into 13.892, 13.893, 13.894 (1978B)
- 13.874 Etiology of Environmental Diseases and Disorders Research (1974B)
Split from 13.328, 13.834 (1974B)
Consolidated into 13.892, 13.893, 13.894 (1978B)
- 13.875 Environmental Pharmacology and Toxicology Research (1974B)
Split from 13.328, 13.834 (1974B)
Consolidated into 13.892, 13.893, 13.894 (1978B)
- 13.876 Environmental Pathogenesis Research (1974B)
Split from 13.328, 13.834 (1974B)
Consolidated into 13.892, 13.893, 13.894 (1978B)
- 13.877 Chemical/Biological Information-Handling Research (1974B)
Split from 13.835 (1974B)
Deleted (1980B)
- 13.878 Soft Tissue Stomatology and Nutrition Research (1976B)
Split from 13.841 (1976B)
Consolidated into 13.121 (1984B)
- 13.879 Medical Library Assistance (1977B)
Consolidated from 13.348, 13.349, 13.350, 13.351, 13.352, 13.881 (1977B)
Number changed to 93.879 (1990U)
- 13.880 Minority Access to Research Careers (1976B)
Number changed to 93.880 (1990U)
- 13.881 Health Science and Computer Technology Training (1976B)
Consolidated into 13.879 (1977B)
- 13.882 Hypertension Program (1977B)
High Blood Pressure Control Program (1981B)
Consolidated into 13.991 (1982B)
- 13.883 National Health Planning Information Center (1977B)
Deleted (1980B)
- 13.884 Grants for Residency Training in General Internal Medicine and/or General Pediatrics (1977B)
Number changed to 93.884 (1990U)
- 13.885 Transfer of U.S. Students in Foreign Medical Schools to U.S. Schools (1977B)
Grants for Training United States Citizen Foreign Medical Students (1978B)
Grants for Training United States Citizen FMS (1979U1)
Deleted (1980B)
- 13.886 Educational Programs for the Physician's Assistant (1977B)
Grants for Programs for the Training of Physician's Assistants (1978B)
Grants for Physician Assistant Training Program (1979U1)
Number changed to 93.886 (1990U)
- 13.887 Medical Facilities Construction-Project Grants (1977B)
Deleted (1980U1)
Outpatient Medical Facility Improvement, Reinstated (1987B)
Project Grants for Non-Acute Care Intermediate and Long-Term Care Facilities (1988B)
Project Grants for Non-Acute Care Intermediate and Long-Term Care Facilities for Patients with AIDS (1989U)
Number changed to 93.887 (1990U)
- 13.888 Home Health Services Grant Program (1977B)
Home Health Services and Training Grant Program (1979B)
Consolidated into 13.991 (1982B)
Home Health Services and Training Grants and Loans (1983U)

	Split from 13.991 (1983U)		Post Secondary Education Improvement Fund, Transferred to 84.116 (1980U1)
	Home Health Services and Training (1986B)		
	Deleted (1988B)	13.950	Educational Research and Development (1976B)
13.889	Expanded Function Dental Auxiliary Training Program (1977U)		Number changed from 13.575 (1976B)
	Deleted (1982B)		Transferred to 84.117 (1980U1)
13.890	Genetic Diseases Testing and Counseling Services (1978B)	13.961	Public Health Special Project Grants (1979B)
	Consolidated into 13.994 (1982B)		Deleted (1981U)
13.891	Alcohol Research Center Grants (1978B)	13.962	Traineeships for Students in Other Graduate Programs (1979B)
	Number changed to 93.891 (1990U)		Health Administration Graduate Traineeships (1979U1)
13.892	Prediction, Detection and Assessment of Environmentally Caused Diseases and Disorders (1978B)		Number changed to 93.962 (1990U)
	Consolidated from 13.872, 13.873, 13.874, 13.875, 13.876 (1978B)	13.963	Graduate Programs in Health Administration (1979B)
	Split into 13.112, 13.113, 13.114, 13.115 (1983B)		Number changed to 93.963 (1990U)
13.893	Mechanisms of Environmental Diseases and Disorders (1978B)	13.964	Traineeships for Students in Schools of Public Health and Other Graduate Public Health Programs (1979B)
	Consolidated from 13.872, 13.873, 13.874, 13.875, 13.876 (1978B)		Number changed to 93.964 (1990U)
	Deleted (1983B)	13.965	Coal Miners Respiratory Impairment Treatment Clinics and Services (1979B)
13.894	Environmental Health Research and Manpower Development Resources (1978B)		Number changed to 93.965 (1990U)
	Consolidated from 13.872, 13.873, 13.874, 13.875, 13.876 (1978B)	13.966	Allied Health Traineeship Grants for Advanced Training Institutes (Short Term) (1979B)
	Resource and Manpower Development (1983B)		Allied Health Traineeship Grants for Training Institutes (Short Term) (1979U1)
	Resource and Manpower Development in the Environmental Health Services (1985B)		Allied Health Traineeship Grants for Advanced Training Institutes (Short Term) (1980B)
	Number changed to 93.894 (1990U)		Deleted (1980U1)
13.895	Grants for Faculty Development in Family Medicine (1978B)	13.967	Allied Health Traineeship Grants for Advanced Training (Long Term) (1979B)
	Number changed to 93.895 (1990U)		Allied Health Traineeship Grants for Training Institutes (Long Term) (1979U1)
13.896	Grants for Predoctoral Training in Family Medicine (1978B)		Allied Health Traineeship Grants for Advanced Training Institutes (Long Term) (1980B)
	Number changed to 93.896 (1990U)		Allied Health Traineeship Grants for Advanced Training (Long Term) (1980U1)
13.897	Residency Training in the General Practice of Dentistry (1978B)		Deleted (1981U)
	Residency Training and Advanced Education in the General Practice of Dentistry (1986B)	13.968	Allied Health Professions Project Grants (1979B)
	Number changed to 93.897 (1990U)		Deleted (1981U)
13.898	Alcoholism Demonstration (1978B)	13.969	Curriculum Development Grants (1979B)
	Split from 13.252 (1978B)		Health Professions Special Educational Initiatives (1983B)
	Alcoholism Demonstration/Evaluation (1979B)		Grants for the Training of Health Professions in Geriatrics (1988U)
	Deleted (1982B)		Number changed to 93.969 (1990U)
13.899	Alcohol Abuse and Alcoholism Prevention Demonstration (1978B)	13.970	Health Professions Recruitment Program for Indians (1979B)
	Split from 13.252 (1978B)		Number changed to 93.970 (1990U)
	Alcohol Abuse Prevention Research Demonstration (1980B)	13.971	Health Professions Preparatory Scholarship Program for Indians (1979B)
	Alcohol Abuse Prevention Demonstration/Evaluation (1980U1)		Number changed to 93.971 (1990U)
	Deleted (1982B)	13.972	Health Professions Scholarship Program for Indians (1979B)
13.900	Grants for Faculty Development in General Internal Medicine and/or General Pediatrics (1985B)		Health Professions Scholarship Program (1988U)
	Number changed to 93.900 (1990U)		Number changed to 93.972 (1990U)
13.901	Communications Programs Aimed Toward the Prevention of Alcohol and Other Drug Problems (1990B)	13.973	Special Grants for Former National Health Service Corps Members to Enter Private Practice (1979U1)
	Number changed to 93.901 (1990U)		Special Loans for National Health Service Corps Members to Enter Private Practice (1983B)
13.922	Capacity Building for Statistical Activities in State Educational Agencies (1979B)		Number changed to 93.973 (1990U)
	Capacity Building for Statistical Activities (1980B)	13.974	Family Planning Services Delivery Improvement Research Grants and Contracts (1979U1)
	Transferred to 84.114 (1980U1)		Family Planning Services Delivery Improvement Research Grants (1980B)
13.923	Institute of Museum Services (1978B)		Family Planning-Services Delivery Improvement Research Grants (1983U)
	Transferred to 84.115 (1980U1)		Number changed to 93.974 (1990U)
13.925	Fund for the Improvement of Postsecondary Education (1976B)		
	Number changed from 13.538 (1976B)		

13.975 Adolescent Pregnancy Prevention and Services (1979U1)
Consolidated into 13.994 (1982B)

13.976 Hospital-Affiliated Primary Care Centers (1979U2)
Deleted (1981U)

13.977 Preventive Health Service-Venereal Disease Control Grants (1979U1)
Preventive Health Services-Venereal Disease Control Grants (1981U)
Preventive Health Services-Sexually Transmitted Diseases Control Grants (1985B)
Number changed to 93.977 (1990U)

13.978 Preventive Health Service-Venereal Disease Research, Demonstration, and Public Information and Education Grants 9 (1979U1)
Preventive Health Services-Venereal Disease Research, Demonstration, and Public Information and Education Grants (1981U)
Preventive Health Services-Sexually Transmitted Diseases Research, Demonstrations and Public Education Grants (1985B)
Number changed to 93.978 (1990U)

13.979 Preventive Health Services-Influenza Immunization Grants (1979U1)
Deleted (1980B)

13.980 Preventive Health Services-Fluoridation Grants (1979U1)
Consolidated into 13.991 (1982B)

13.981 Grants for Health Education-Risk Reduction (1979U2)
Consolidated into 13.991 (1982B)

13.982 Mental Health Disaster Assistance and Emergency Mental Health (1979U2)
Number changed to 93.982 (1990U)

13.983 Health Facility Energy Conservation-Technical Assistance (1980B)
Health Facility Energy Cost Management (1981B)
Health Facility Energy Management (1983U)
Deleted (1985B)

13.984 Grants for the Establishment of Departments of Family Medicine (1980B)
Number changed to 93.984 (1990U)

13.985 Eye Research-Facility Construction (1980U1)
Deleted (1983B)
Reinstated (1985B)
Deleted (1988B)

13.986 Health Care Technology Research (1980U1)
Deleted (1982B)

13.987 Health Programs for Refugees (1980U2)
Number changed to 93.987 (1990U)

13.988 Cooperative Agreements for State-Based Diabetes Control Programs (1981B)
Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems (1990B)
Number changed to 93.988 (1990U)

13.989 Senior International Awards Program (1981B)
Senior International Fellowships (1989B)
Number changed to 93.989 (1990U)

13.990 National Health Promotion Activities (1981U)
National Health Promotion Training Network (1982B)
National Health Promotion (1982B)
Number changed to 93.990 (1990U)

13.991 Preventive Health and Health Services Block Grant (1982B)

Split into 13.888, 13.991 (1983U)

Number changed to 93.991 (1990U)

13.992 Alcohol and Drug Abuse and Mental Health Services Block Grant (1982B)

Number changed to 93.992 (1990U)

13.993 Primary Care Block Grant (1983B)

Deleted (1986U)

13.994 Maternal and Child Health Services Block Grant (1982B)

Number changed to 93.994 (1990U)

13.995 Adolescent Family Life-Demonstration Projects (1982B)

Number changed to 93.995 (1990U)

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

Advance Acquisition of Land (1965B)

Deleted (1969B)

Advances for Public Works Planning (1965B)

Public Works Planning Advances (1969B)

Deleted (1970B)

American Indians-Public Housing Program (1965B)

Public Housing Program for American Indians (1967B)

Deleted (1969B)

Homes Association Residential Subdivision (1967B)

Deleted (1969B)

Low-Rent Public Housing (1965B)

Incorporated into 14.146 (1970B)

Metropolitan Expeditors to Assist Local Officials (1965B)

Deleted (1969B)

Supplementary Grants for Planned Metropolitan Development (1967B)

Deleted (1969B)

Urban Information and Technical Assistance Services (1967B)

Deleted (1969B)

Urban Mass Transportation (1965B)

Transferred to the Department of Transportation (1969B)

Urban Mass Transportation Demonstration Grants (1967B)

Transferred to the Department of Transportation (1969B)

Urban Renewal Rehabilitation and Code Enforcement (1965B)

Deleted (1969B)

Mortgage Insurance for Armed Services Housing-Civilian Employees (1969B)

Deleted (1970B)

Mortgage Insurance for Armed Services Housing-Impacted Areas (1969B)

Deleted (1970B)

Mortgage Insurance for Servicemen's Housing (1969B)

Deleted (1970B)

Alaska Housing (1970B)

Deleted (1970B)

14.001 Flood Insurance (1969B)

Transferred to 83.100 (1980B)

14.002 Urban Property Protection and Reinsurance Program (1969B)

Urban Property Insurance (1975B)

- Transferred to 83.101 (1980B)
- 14.003 Crime Insurance (1971U)
Transferred to 83.102 (1980B)
- 14.004 Land Sales-Undeveloped Plots in Interstate Commerce (1972U)
Land Sales-Parcels of Subdivided Land (1976B)
Number changed to 14.801 (1980B)
- 14.100 College Housing Program (1965B)
College Housing Debt Service (1970B)
Split into 14.100, 14.101 (1970B)
Deleted (1973B)
- 14.101 College Housing Direct Loans (1970B)
Split from 14.100 (1970B)
Incorporated into 14.100 (1971B)
- 14.102 Housing for Elderly or Handicapped (1964B)
Housing Loans-Rental Housing for the Elderly and the Handicapped (1970B)
Incorporated into 14.103 (1970U)
- 14.103 Interest Supplements on Mortgages for Rental Housing for Lower Income Families (1969B)
Interest Reduction Payments-Rental and Cooperative Housing for Lower Income Families (2005)
Interest Reduction Payments-Rental and Cooperative Housing for Lower Income Families (1970B)
Deleted (1994B)
Reinstated (1999B)
- 14.104 Interest Reduction-Acquisition and Rehabilitation of Homes for Resale to Lower Income Families (1970U)
Deleted (1978U)
- 14.105 Interest Supplements on Mortgages for Home Ownership for Lower Income Families (1969B)
Interest Subsidy-Homes for Lower Income Families (1970B)
Deleted (1983U)
- 14.106 Interest Reduction-Purchase of Rehabilitated Homes by Lower Income Families (1970B)
Deleted (1978U)
- 14.107 Major Home Improvement Loan Insurance-Homes in Urban Renewal Areas (1970B)
Deleted (1972B)
- 14.108 Major Home Improvement Loan Insurance-Housing Outside Urban Renewal Areas (1970B)
Rehabilitation Mortgage Insurance (2005)
Rehabilitation Mortgage Insurance (2005)
Rehabilitation Mortgage Insurance (2006)
Rehabilitation Mortgage Insurance (1980B)
- 14.109 Major Home Improvement Loan Insurance-Rental Housing in Urban Renewal Areas (1970B)
Deleted (1972B)
- 14.110 Mobile Home Loan Insurance-Financing Purchase of Mobile Homes as Principal Residence (1970B)
Manufactured Home Loan Insurance-Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (2005)
Manufactured Home Loan Insurance-Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (2006)
Mobile Home Loan Insurance-Financing Purchase of Mobile Homes as Principal Residences of Borrowers (1976B)
Manufactured (Mobile) Home Insurance-Financing Purchase of Mobile Homes as Principal Residences of Borrowers (1981B)
Manufactured (Mobile) Home Insurance-Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (1984B)
Manufactured Home Insurance-Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (1985B)
- 14.111 Mortgage Insurance for Purchase and Rehabilitation of Homes for Resale to Low-Income Purchasers (1967B)
Mortgage Insurance-Acquisition and Rehabilitation of Homes for Resale to Low Income Families (1970B)
Incorporated into 14.103 (1971B)
- 14.112 Mortgage Insurance-Construction or Rehabilitation of Condominium Projects (1970B)
Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (2005)
Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (2006)
Mortgage Insurance-Construction or Substantial Rehabilitation of Condominium Projects (1980B)
Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (1989U)
- 14.113 Mortgage Insurance-Conversion of Below Market Rate Rental Housing to Condominium Ownership (1970B)
Deleted (1973B)
- 14.114 Mortgage Insurance-Conversion of Below Market Rate Rental Housing to Cooperative Housing (1970B)
Deleted (1971U)
- 14.115 Mortgage Insurance-Development of Sales Type Cooperative Projects (1970B)
Incorporated into 14.126 (1989B)
- 14.116 Mortgage Insurance for Group Practice Facilities (1969B)
Mortgage Insurance-Group Practice Facilities (1970B)
Consolidated into 14.128 (2002B)
- 14.117 Mortgage and Credit Insurance for Residential Rehabilitation and Home Purchase and Improvement (1967B)
Mortgage Insurance-Homes (2005)
Mortgage Insurance-Homes (2006)
Major Home Improvement (1969B)
Mortgage Insurance-Homes (1970B)
Split into 14.118, 14.119, 14.121 (1970B)
- 14.118 Mortgage Insurance-Homes for Certified Veterans (1970B)
Split from 14.117 (1970B)
Incorporated into 14.117 (1983U)
- 14.119 Mortgage Insurance for Replacement Housing for Victims of Natural Disasters (1967B)
Mortgage Insurance-Homes for Disaster Victims (2005)
Mortgage Insurance-Homes for Disaster Victims (2006)
Mortgage Insurance-Homes for Disaster Victims (1970B)
Split from 14.117 (1970B)
- 14.120 Mortgage Insurance for Housing for Families of Low and Moderate Income (1967B)
Mortgage Insurance for Moderate Income Homes (1969B)
Mortgage Insurance-Homes for Low and Moderate Income Families (1970B)
Deleted (2001U)
- 14.121 Mortgage Insurance-Homes in Outlying Areas (1970B)
Split from 14.117 (1970B)
- 14.122 Mortgage Insurance-Homes in Urban Renewal Areas (1970B)
Mortgage Insurance-Homes in Urban Renewal Areas (2005)
Mortgage Insurance-Homes in Urban Renewal Areas (2006)
- 14.123 Mortgage Insurance in Older, Declining Neighborhoods (1969B)
Mortgage Insurance-Housing in Older, Declining Areas (2005)
Mortgage Insurance-Housing in Older, Declining Areas (2006)
Mortgage Insurance-Housing in Older, Declining Areas (1970B)
- 14.124 Mortgage Insurance-Investor Sponsored Cooperative Housing (1970B)

- Incorporated into 14.126 (1989B)
- 14.125 Mortgage Insurance for Land Development and New Communities (1967B)
Mortgage Insurance-Land Development and New Communities (1970B)
Mortgage Insurance-Land Development (1983U)
Deleted (1990B)
- 14.126 Mortgage Insurance-Management Type Cooperative Projects (1970B)
Mortgage Insurance-Cooperative Projects (1989B)
- 14.127 Mortgage Insurance-Mobile Home Courts (1970B)
Mortgage Insurance-Manufactured (Mobile) Home Parks (1981B)
- 14.128 Mortgage Insurance-Nonprofit Hospitals (1965B)
Mortgage Insurance-Hospitals (1971B)
- 14.129 FHA Mortgage Insurance for Nursing Homes (1965B)
Mortgage Insurance-Nursing Home and Intermediate Care Facilities (1970B)
Mortgage Insurance Nursing Homes, Intermediate Care Facilities and Board and Care Homes (1985U)
Mortgage Insurance-Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (1995B)
- 14.130 Mortgage Insurance for Purchase of Fee Simple Title (1969B)
Mortgage Insurance Purchased by Homeowners of Fee Simple Title From Lessors (1970B)
Consolidated into 14.11 (2002B)
- 14.131 Mortgage Insurance-Purchase of Rehabilitated Homes by Low Income Families (1970B)
Deleted (1971B)
- 14.132 FHA Mortgage Insurance for Cooperative Housing (1965B)
Deleted (2008)
Mortgage Insurance-Purchase of Sales Type Cooperative Housing Units (1970B)
Mortgage Insurance-Purchase of Sales-Type Cooperative Housing Units (1989U)
- 14.133 FHA Mortgage Insurance for Condominiums (1965B)
Mortgage Insurance for Condominiums (1967B)
Mortgage Insurance for Condominium Housing (1969B)
Mortgage Insurance-Purchase of Units in Condominiums (1970B)
- 14.134 Mortgage Insurance-Rental Housing (1970B)
- 14.135 Mortgage Insurance-Rental Housing for Low and Moderate Income Families (1970B)
Mortgage Insurance-Rental Housing for Moderate Income Families (1971B)
Mortgage Insurance-Rental Housing for Moderate Income Families and Elderly, Market Interest Rate (1989B)
Mortgage Insurance-Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (1989U)
- 14.136 Mortgage Insurance for Rental Housing for Families of Low or Moderate Income (1967B)
Mortgage Insurance-Rental Housing for Low and Moderate Income Families, Below-Market Interest Rate (1970B)
Deleted (1971B)
- 14.137 Mortgage Insurance for Low and Moderate Income Housing-Market Rate (1969B)
Mortgage Insurance-Rental Housing for Low and Moderate Income Families, Market Interest Rate (1970B)
Mortgage Insurance-Rental and Cooperative Housing for Low and Moderate Income Families, Market Interest Rate (1979U1)
Mortgage Insurance-Rental and Cooperative Housing for Moderate Income Families, Market Interest Rate (1984U)
Incorporated into 14.126 (1989B)
- 14.138 FHA Mortgage Insurance Rental Housing for the Elderly (1965B)
Mortgage Insurance for Senior Citizens Housing (1969B)
- Mortgage Insurance-Rental Housing for the Elderly (1970B)
- 14.139 Mortgage Insurance for Urban Renewal (1965B)
Mortgage Insurance for Urban Renewal Housing (1969B)
Mortgage Insurance-Rental Housing in Urban Renewal Areas (1970B)
- 14.140 Mortgage Insurance for Assistance to Special Credit Risk Families (1969B)
Mortgage Insurance-Special Credit Risks (1970B)
Consolidated into 14.11 (2002B)
- 14.141 Low and Moderate Income Housing-Financial Assistance for Non-Profit Sponsors (1969B)
Nonprofit Housing Sponsor Loans-Planning Projects for Low and Moderate Income Families (1970B)
Nonprofit Sponsor Assistance Program (1980B)
Section 106(b) Nonprofit Sponsor Assistance Program (1987B)
Deleted (1994B)
- 14.142 Property Improvement Loan Insurance-All Existing Structures (1970B)
Property Improvement Loan Insurance for Improving all Existing Structures and Building of New Nonresidential Structures (1976B)
- 14.143 Property Improvement Loan Insurance-Construction of Nonresidential Farm Structures (1970B)
Deleted (1976B)
- 14.144 Property Improvement Loan Insurance-Construction of Nonresidential or Nonfarm Structures (1970B)
Deleted (1976B)
- 14.145 Property Improvement Loan Insurance-Existing Multifamily Dwellings (1970B)
Deleted (1976B)
- 14.146 Public Housing-Acquisition, Construction, Rehabilitation (1970B)
Low Income Housing-Assistance Program (Public Housing) (1977B)
Public Housing-Acquisition (Turnkey and Conventional Production Methods (1980U)
Public Housing (1983B)
Number changed to 14.850 (1983U)
- 14.147 Public Housing-Home Ownership (1970B)
Low Income Housing-Homeownership Opportunities for Low Income Families (1980U)
Number changed to 14.851 (1983U)
- 14.148 Low-Rent Public Housing-Leasing (1969B)
Public Housing-Leased (1970B)
Deleted (1976B)
- 14.149 Rent Supplements for Disadvantaged Persons (1965B)
Rent Supplements (1969B)
Rent Supplements-Rental Housing for Lower Income Families (1970B)
Deleted (1994B)
Reinstated (1999B)
- 14.150 Supplemental Loan Insurance-Management Type Cooperative Projects (1970B)
Deleted (1971B)
- 14.151 FHA Mortgage Insurance for Multifamily Rental Housing (1969B)
Multifamily Housing Projects Supplemental Loans (1969B)
Supplemental Loan Insurance-Multifamily Rental Housing (1970B)
- 14.152 Mortgage Insurance-Experimental Homes (1972B)
Number changed to 14.507 (1984B)
- 14.153 Mortgage Insurance-Experimental Projects Other Than Housing (1972B)
Number changed to 14.508 (1984B)

- 14.154 Mortgage Insurance-Experimental Rental Housing (1972B)
Number changed to 14.509 (1985B)
- 14.155 Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (1975B)
- 14.156 Lower-Income Housing Assistance Program (1975B)
Lower Income Housing Assistance Program-Section 8 Moderate Rehabilitation (1990U)
Number changed to 14.856 (1992B)
- 14.157 Housing for the Elderly or Handicapped (1977B)
Housing for the Elderly or Disabled (1991B)
Supportive Housing for the Elderly (1992U)
- 14.158 Public Housing-Modernization of Projects (1977B)
Number changed from 14.607 (1977B)
Public Housing-Comprehensive Improvement Assistance Program (1977B)
Number changed to 14.852 (1983U)
- 14.159 Section 245 Graduated Payment Mortgage Program (1978U)
- 14.161 Single-Family Home Mortgage Coinsurance (1978U)
Deleted (1990B)
- 14.162 Mortgage Insurance-Combination and Mobile Home Lot Loans (1979U1)
Mortgage Insurance-Combination and Manufactured (Mobile) Home Lot Loans (1984B)
Mortgage Insurance-Combination and Manufactured Home Lot Loans (1985B)
- 14.163 Mortgage Insurance-Cooperative Financing (1979U2)
Mortgage Insurance-Single Family Cooperative Housing (1990U)
- 14.164 Operating Assistance for Troubled Multifamily Housing Projects (1980B)
- 14.165 Mortgage Insurance-Homes-Military Impacted Areas (1980B)
- 14.166 Mortgage Insurance-Homes for Members of the Armed Services (1981B)
Deleted (2000B)
- 14.167 Mortgage Insurance-Two Year Operating Loss Loans, Section 223(d)(f) (1981U)
- 14.168 Land Sales-Parcels of Subdivided Land (1982B)
Number changed from 14.801 (1982B)
Land Sales-Certain Subdivided Land (1989B)
- 14.169 Housing Counseling Program (1982B)
Number changed from 14.802 (1982B)
Housing Counseling Assistance Program (1983B)
- 14.170 Congregate Housing Services Program (1982B)
Number changed from 14.803 (1982B)
Deleted (1998B)
- 14.171 Manufactured Housing-Mobile Home Construction (1982B)
Number changed from 14.804 (1982B)
Manufactured Housing Construction and Safety Standards (1983B)
Manufactured Home Construction and Safety Standards (1986U)
- 14.172 Mortgage Insurance-Growing Equity Mortgages (1983B)
- 14.173 Section 223(f)-Coinsurance for Private Lenders (1983B)
Section 223(f)-Coinsurance for the Purchase or Refinancing of Existing Multifamily Projects (1983U)
Multifamily Coinsurance (1987U)
Deleted (1993B)
- 14.174 Housing Development Grants (1984B)
Deleted (1994B)
- 14.175 Adjustable Rate Mortgages (1984U)
- 14.176 Section 221(d) Coinsurance for the Construction or Substantial Rehabilitation of Multifamily Housing Projects (1984U)
Consolidated into 14.173 (1987U)
- 14.177 Housing Voucher Program (1986U)
Section 8 Housing Voucher Program (1989U)
Section 8 Rental Voucher Program (1991B)
Number changed to 14.855 (1992B)
- 14.178 Transitional Housing Demonstration Program (1987B)
Supportive Housing Demonstration Program (1988B)
Number changed to 14.235 (1990B)
- 14.179 Nehemiah Housing Opportunity Grant Program (1988U)
Deleted (1997B)
- 14.180 Lower Income Housing Assistance-Section 8 Existing Housing Certificate Program (1990U)
Section 8 Rental Certificate Program (1991B)
Number changed to 14.857 (1992B)
- 14.181 Supportive Housing for Persons with Disabilities (1991B)
- 14.182 Lower Income Housing Assistance Program-Section 8 New Construction/Substantial Rehabilitation (1991B)
Deleted (1997B)
- 14.183 Home Equity Conversion Mortgages (1991U)
- 14.184 Mortgages Insurance for Single Room Occupancy (SRO) Projects (1991U)
- 14.185 Homeownership and Opportunity for People Everywhere (1992B)
Deleted (1997B)
- 14.186 Mortgage Insurance-Equity Loans (1992U)
Deleted (1997B)
- 14.187 Preservation of Affordable Housing (1993B)
Consolidated into 14.194 (1998B)
- 14.188 HFA Risk Sharing Pilot Program (1994B)
Housing Finance Agencies (HFA) Risk Sharing Pilot Program (1994U)
Housing Finance Agencies (HFA) Risk Sharing (2002B)
- 14.189 Qualified Participating Entities (QPE) Risk Sharing Pilot Program (1994U)
Qualified Participating Entities (QPE) Risk Sharing (2002B)
- 14.190 Section 8 Community Investment (Pension Fund) Demonstration (1995B)
Deleted (1997U)
- 14.191 Multifamily Housing Service Coordinators (1997U)
- 14.192 Safe Neighborhood Grants (1997U)
Consolidated into 14.868 (1998B)
- 14.193 Federally Assisted Low-Income Housing Drug Elimination (1997U)
- 14.194 Portfolio Reengineering Demonstration
Consolidated from 14.187 (1998B)
Deleted (1999B)
- 14.195 Section 8 Housing Assistance Payments Program-Special Allocations (1998B)
- 14.196 Secondary Market for Non-Conforming Loans to Low-Wealth Borrowers Demonstration Program (1999B)
Deleted (2000B)
- 14.197 Multifamily Assisted Housing Reform and Affordability Act (1999B)
Multifamily Assisted Housing Reform and Affordability Act (2005)
Multifamily Assisted Housing Reform and Affordability Act (2006)
- 14.198 Officer Next Door Sales Program (1999U)
Good Neighbor Next Door Sales Program (2007)

- 14.199 Multifamily Property Disposition (2000B)
Multifamily Property Disposition (2005)
Multifamily Property Disposition (2006)
- 14.200 Grants for Basic Water and Sewer Facilities (1965B)
Water and Sewer Facilities Grants (1967B)
Basic Water and Sewer Facilities (1969B)
Basic Water and Sewer Facilities-Grants (1970B)
Deleted (1971B)
- 14.201 Fellowships for City Planning and Urban Studies (1967B)
City Planning and Urban Studies (1969B)
Deleted (1971B)
- 14.202 Community Development Training and Research Grants (1967B)
Community Development Training (1969B)
Deleted (1973B)
- 14.203 Urban Planning Assistance Program (1965B)
Comprehensive Planning Assistance (1969B)
Deleted (1981U)
- 14.204 Historic Preservation Grants (1967B)
Transferred to the Department of Interior (1970U)
Deleted (1971B)
- 14.205 HUD Clearinghouse Service (1970B)
- 14.206 Neighborhood Facilities Program (1965B)
Neighborhood Facilities Grants (1970B)
Deleted (1971B)
- 14.207 New Communities-Loan Guarantees (1970B)
Deleted (1983B)
- 14.208 New Communities (1969B)
New Communities-Supplementary Grants (1970B)
Deleted (1973B)
- 14.209 Open Space Land Program (1965B)
Open Space Land Acquisition and Development Grants (1970B)
Deleted (1971B)
- 14.210 Public Facility Loans (1965B)
Deleted (1971B)
- 14.211 Surplus Land for Community Development (1969B)
Surplus Land for Low and Moderate Income Housing (1980B)
Deleted (1983U)
- 14.212 Urban Beautification Program (1965B)
Urban Beautification and Improvement Grants (1969B)
Deleted (1971B)
- 14.213 Urban Parks and Open Space Land in Build-Up Urban Areas (1970B)
Deleted (1970U)
- 14.214 Urban Systems Engineering Demonstration Grants (1970B)
Deleted (1973U)
- 14.215 Workable Program for Community Improvement (1969B)
Deleted (1971B)
- 14.216 Governmental Management-Technical Assistance and Information Services (1971B)
Deleted (1976B)
- 14.217 Urban Studies Fellowships (1972B)
Deleted (1973B)
- 14.218 Community Development Block Grants/Entitlement Grants (1975B)
- 14.219 Community Development Block Grants/Discretionary Grants (1975B)
Deleted (2008)
Deleted (2008)
Deleted (2008)
Community Development Block Grants/Small Cities Program (1979B)
- 14.220 Section 312 Rehabilitation Loans (1975B)
Number changed from 14.308 (1975B)
Deleted (1992B)
- 14.221 Urban Development Action Grants (1978B)
Deleted (1989U)
- 14.222 Urban Homesteading (1978U)
Deleted (1992B)
- 14.223 Indian Community Development Block Grant Program (1979U1)
Consolidated into 14.229 (1982B)
Reinstated/Split from 14.229 (1983U)
Number changed to 14.862 (1994B)
- 14.224 Secretary's Discretionary Fund/Community Development Disaster Assistance (1980U)
Deleted (1981U)
- 14.225 Secretary's Discretionary Fund/Territories Program (1980U)
Consolidated into 14.229 (1982B)
Reinstated/Split from 14.229 (1983U)
Community Development Block Grants/Secretary's Discretionary Fund/Insular Areas (1983U)
Community Development Block Grants/Special Purpose Grants/Insular Area (1990B)
- 14.226 Secretary's Discretionary Fund/Innovative Grants Program (1980U)
Deleted (1981U)
- 14.227 Secretary's Discretionary Fund/Community Development Technical (1981U)
Consolidated into 14.229 (1982B)
Reinstated/Split from 14.229 (1983U)
Community Development Block Grants/Secretary's Discretionary Fund/Technical Assistance Program (1983U)
Community Development Block Grants/Special Purpose Grants/Insular Area (1990B)
- 14.228 Community Development Block Grants/State's Program (1981U)
Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (2007)
- 14.229 Community Development Block Grants/Secretary's Discretionary Fund (1982B)
Split into 14.223, 14.225, 14.227 (1983U)
- 14.230 Rental Housing Rehabilitation (1984B)
Deleted (1992B)
- 14.231 Emergency Shelter Grants Program (1987B)
- 14.232 Community Development Block Grant/Secretary's Discretionary Fund/Special Projects (1987U)
Deleted (1990B)
- 14.234 Community Development Work-Study Program (1988U)
Number changed to 14.512 (1995B)
- 14.235 Supportive Housing Demonstration Program (1990B)
Number changed from 14.178 (1990B)
Supportive Housing Program (1993B)
Consolidated from 14.236 (1993U)

- 14.236 Supplemental Assistance for Facilities to Assist the Homeless (1990B)
Number changed from 14.510 (1990B)
Consolidated into 14.235 (1993U)
- 14.237 Historically Black Colleges and Universities Program (1991B)
Number changed to 14.520 (2002B)
- 14.238 Shelter Plus Care (1992B)
- 14.239 HOME Investment in Affordable Housing (1992B)
HOME Investment Partnerships Program (1993U)
- 14.240 HOPE for Homeownership of Single Family Homes (1992B)
Deleted (1997B)
- 14.241 Housing Opportunities for Persons with AIDS (1992U)
- 14.242 John Heinz Neighborhood Development Program (1993B)
Number changed to 14.510 (1995B)
- 14.243 Opportunities for Youth-Youthbuild Program (1993U)
Number changed to 17.274 (2007)
- 14.244 Empowerment Zones Program (1994B)
- 14.245 Innovative Homeless Initiative Demonstration Program (1995U)
Deleted (1997B)
- 14.246 Community Development Block Grants/Economic Development Initiative (1996B)
Community Development Block Grants/Brownfields Economic Development Initiative (2003B)
- 14.247 Self-Help Homeownership Opportunity Program (1997B)
- 14.248 Community Development Block Grants-Section 108 Loan Guarantees (1997U)
- 14.249 Section 8 Moderate Rehabilitation Single Room Occupancy (1998B)
- 14.250 Rural Housing and Economic Development (1999B)
- 14.251 Economic Development Initiative-Special Project, Neighborhood Initiative and Miscellaneous Grants (2008)
- 14.252 Section 4 Capacity Building for Affordable Housing and Community Development Grants (2008)
- 14.300 Model Neighborhoods in Demonstration Cities (1967B)
Model Cities Supplementary Grants (1970B)
Deleted (1973B)
- 14.301 Basic Water and Sewer Facilities-Grants (1971B)
Deleted (1973B)
- 14.302 Neighborhood Facilities Grants (1971B)
Deleted (1973B)
- 14.303 Open Space Land Programs (1971B)
Deleted (1973B)
- 14.304 Public Facility Loans (1971B)
Deleted (1973B)
- 14.305 Housing Rehabilitation Loans and Grants (1971B)
Deleted (1973B)
- 14.306 Neighborhood Development (1971B)
Deleted (1973B)
- 14.307 Urban Renewal Projects (1971B)
Deleted (1973U)
- 14.308 Housing Rehabilitation Grants (1971U)
Number changed to 14.220 (1975B)
- 14.309 Code Enforcement Grants (1971B)
Deleted (1973B)
- 14.310 Teacher Next Door Initiative (2000B)
- 14.311 Single Family Property Disposition (2000B)
- 14.312 New Approach Anti-Drug Grants
Consolidated from 14.868 (2000B)
- 14.313 Dollar Home Sales (2000U)
- 14.314 Section 202 Assisted Living Conversion Program (2000U)
Assisted Living Conversion for Eligible Multifamily Housing Projects (2002B)
- 14.315 Emergency Capital Repair Grants for Multifamily Housing Projects Designated for Occupancy (2006)
- 14.316 Housing Counseling Training Program (2006)
- 14.400 Equal Opportunity in Housing (1969B)
- 14.401 Fair Housing Assistance Program (1980B)
Fair Housing Assistance Program-State and Local (1983B)
- 14.402 Non-Discrimination in Federally-Assisted Programs (On the Basis of Age) (1982)
- 14.403 Community Housing Resource Board Program (1982)
Deleted (1992B)
- 14.404 Non-Discrimination in Federally Assisted Programs (On the Basis of Handicap) (1983U)
Non-Discrimination in Federally Assisted and Conducted Programs (On the Basis of Handicap) (1988B)
Non-Discrimination in Federally Assisted and Conducted Programs (On the Basis of Disability) (1993U)
- 14.405 Non-Discrimination in Federally Assisted Programs (On the Basis of Race, Color or National Origin) (1983U)
- 14.406 Non-Discrimination in the Community Development Block Grant Program (On the Basis of Race, Color, National Origin, Sex, Handicap or Age) (1983U)
Non-Discrimination in the Community Development Block Grant Program (On the Basis of Race, Color, National Origin, Religion, Sex, Disability or Age) (1993U)
Non-Discrimination in the Community Development Block Grant Program (On the Basis of Race, Color, National Origin, Religion, or Sex) (1996B)
- 14.407 Architectural Barriers Act Enforcement (1988B)
- 14.408 Fair Housing Initiative Program (FHIP) Administrative Enforcement Initiative (1988U)
Fair Housing Initiatives and Administrative Enforcement Initiative Program (1996B)
Fair Housing Initiatives Program (2003B)
- 14.409 Fair Housing Initiative Program (FHIP) Education and Outreach Initiative (1988U)
- 14.410 Fair Housing Initiative Program (FHIP) Private Enforcement Initiative (1988U)
- 14.411 Public Housing Affirmative Compliance Actions Program (1990B)
Deleted (1997B)
- 14.412 Employment Opportunities for Lower Income Persons and Businesses (1992U)
- 14.413 Fair Housing Initiatives Program (FHIP) Fair Housing Organization Initiatives (1993B)
Fair Housing Initiatives Program (FHIP) Fair Housing Organizations Initiative (1994U)
Fair Housing Initiatives and Fair Housing Organizations Initiative Program (1996B)
- 14.414 Non-Discrimination on the Basis of Disability by Public Entities (1993U)
- 14.415 Non-Discrimination on the Basis of Sex in Education Programs and Activities Receiving Federal Financial Assistance (2001B)
- 14.500 Low Income Housing Demonstration Program (1965B)
Deleted (1970U)
- 14.501 Mortgage Insurance-Experimental Homes (1970B)
Deleted (1971B)
- 14.502 Mortgage Insurance-Experimental Projects Other Than Housing (1970B)

	Deleted (1971B)		Demolition Grants (1969B)
14.503	Mortgage Insurance for Experimental Housing (1969B)		Deleted (1971B)
	Mortgage Insurance-Experimental Rental Housing (1970B)	14.604	Housing Rehabilitation Grants (1969B)
	Deleted (1971B)		Housing Rehabilitation Loans and Grants (1970B)
14.504	Urban Planning Research and Demonstration (1970B)		Deleted (1971B)
	Deleted (1973B)	14.605	Interim Assistance for Blighted Areas (1969B)
14.505	Urban Renewal Demonstration Grant Program (1965B)		Interim Assistance for Blighted Areas Grants (1970B)
	Deleted (1971B)		Deleted (1971B)
14.506	General Research and Technology Activity (1972B)	14.606	Neighborhood Development Program (1969B)
14.507	Mortgage Insurance-Experimental Homes (1984B)		Deleted (1971B)
	Number changed from 14.152 (1984B)	14.607	Low-Rent Public Housing-Modernization of Projects (1969B)
	Deleted (1997B)		Public Housing-Modernization of Projects (1970B)
14.508	Mortgage Insurance-Experimental Projects Other Than Housing (1984B)		Number changed to 14.158 (1977B)
	Number changed from 14.153 (1984B)	14.608	Relocation Assistance and Payments (1969B)
	Deleted (1997B)		Deleted (1971B)
14.509	Mortgage Insurance-Experimental Rental Housing (1985B)	14.609	Urban Renewal Projects (1965B)
	Number changed from 14.154 (1985B)		Deleted (1971B)
	Deleted (1997B)	14.701	Disaster Assistance (1973U)
14.510	Supplemental Assistance for Facilities to Assist the Homeless (1988B)		Transferred to 83.300 (1980B)
	Number changed to 14.236 (1990B)	14.702	State Disaster Preparedness Grants (1973U)
	Joint Community Development Program, Number changed from 14.242 (1995B)		Transferred to 83.203 (1980B)
	Deleted (1997B)	14.800	Neighborhood Self-Help Development (1980B)
14.511	Community Outreach Partnership Center Program (1995B)		Deleted (1981U)
14.512	Community Development Work-Study Program	14.801	Land Sales-Parcels of Subdivided Land (1980B)
	Deleted (2007)		Number changed from 14.004 (1980B)
	Number changed from 14.234 (1995B)		Number changed to 14.168 (1982B)
14.513	Hispanic-Serving Institutions Work-Study Program (1996U)	14.802	Housing Counseling Service (1969B)
	Deleted (2000U)		Deleted (1970B)
14.514	Hispanic-Serving Institutions Assisting Communities (1999B)		Reinstated (1980U)
	Deleted (2000B)		Housing Counseling Program (1980U)
	Reinstated (2000U)		Number changed to 14.169 (1982B)
14.515	Alaska Native/Native Hawaiian Institutions Assisting Communities (2000B)	14.803	Congregate Housing Services Program (1980U)
14.516	Doctoral Dissertation Research Grants (2001B)		Number changed to 14.170 (1982B)
14.517	Early Doctoral Student Research Grants (2001B)	14.804	Manufactured Housing-Mobile Home Construction (1981U)
14.518	HUD Urban Scholars Fellowship Grants (2001B)		Number changed to 14.171 (1982B)
14.519	Tribal Colleges and Universities Program (2001B)	14.850	Public Housing (1983U)
14.520	Historically Black Colleges and Universities Program (2002B)		Number changed from 14.146 (1983U)
14.521	Universities Rebuilding America Program - Community Design (2005)		Public and Indian Housing (1984B)
14.550	Solar Energy and Energy Conservation Bank (1983U)	14.851	Low Income Housing-Homeownership Opportunities for Low Income Families (1983U)
	Incorporated into 14.126 (1989B)		Number changed from 14.147 (1983U)
14.600	Certified Areas Grants (1970B)		Consolidated into 14.867 (1998B)
	Deleted (1971B)	14.852	Public Housing-Comprehensive Improvement Assistance Program (1983U)
14.601	Code Enforcement (1965B)		Number changed from 14.158 (1983U)
	Code Enforcement Grants (1970B)		Public and Indian Housing-Comprehensive Improvement Assistance Program (1984B)
	Deleted (1971B)		Public Housing-Comprehensive Improvement Assistance Program (1998B)
14.602	Community Renewal Program (1965B)		Consolidated into 14.872 (2000B)
	Community Renewal Planning Grants (1970B)	14.853	Public Housing Resident Management Program (1989B)
	Deleted (1971B)		Public and Indian Housing Tenant Opportunity Program (1995B)
14.603	Demolition of Unsound Structures (1967B)		Public Housing-Tenant Opportunities Program (1998B)

- Consolidated into 14.870 (2001B)
- 14.854 Public Housing Drug Elimination Program (1989U)
Public and Indian Housing Drug Elimination Program (1992B)
Portion incorporated from 14.863 (1997B)
- 14.855 Section 8 Rental Voucher Program
Number changed from 14.177 (1992B)
Consolidated into 14.871 (2000B)
- 14.856 Lower Income Housing Assistance Program-Section 8 Moderate Rehabilitation
Number changed from 14.156 (1992B)
Deleted (1998B)
Reinstated (1999B)
- 14.857 Section 8 Rental Certificate Program
Number changed from 14.180 (1992B)
Consolidated into 14.871 (2000B)
- 14.858 HOPE for Public and Indian Housing Homeownership (1992B)
Deleted (1997B)
- 14.859 Public and Indian Housing-Comprehensive Grant Program (1993U)
Public Housing-Comprehensive Grant Program (1998B)
Consolidated into 14.872 (2000B)
- 14.860 Public and Indian Housing Early Childhood Development (1994U)
Head Start Public Housing Early Childhood/Development Demonstration (1995B)
Deleted (1996U)
- 14.861 Public and Indian Housing Family Investment Centers Program (1994B)
Deleted (1996U)
- 14.862 Indian Community Development Block Grant Program
Number changed from 14.223 (1994B)
- 14.863 Public and Indian Housing Youth Sports Program (1995B)
Portion incorporated into 14.854 (1997B)
- 14.864 Economic Development and Supportive Services Program (1997B)
Consolidated into 14.870 (2001B)
- 14.865 Public and Indian Housing-Indian Loan Guarantee Program (1997B)
- 14.866 Revitalization of Severely Distressed Public Housing (1997U)
Demolition and Revitalization of Severely Distressed Public Housing (1999U)
- 14.867 Indian Housing Block Grants
Consolidated from 14.851 (1998B)
- 14.868 New Approach Anti-Drug Grants
Consolidated from 14.192 (1998B)
Consolidated into 14.312 (2000B)
- 14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities (1999B)
- 14.870 Resident Opportunity and Supportive Services (1999B)
Resident Opportunity and Supportive Services (2006)
Resident Opportunity and Supportive Services - Homeownership and Family (2006)
Resident Opportunity and Supportive Services - Service Coordinators (2008)
Consolidated from 14.853, 14.864 (2001B)
- 14.871 Section 8 Housing Choice Vouchers
Consolidated from 14.855, 14.857 (2000B)
- 14.872 Public Housing Capital Fund
Consolidated from 14.852, 14.859 (2000B)
- 14.873 Native Hawaiian Housing Block Grants (2003B)
- 14.874 Loan Guarantees for Native Hawaiian Housing (2004)
- 14.875 Public Housing Neighborhood Networks Grants (2005)
- 14.876 Resident Opportunity and Supportive Services - Elderly and Persons with Disabilities (2006)
- 14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services (2006)
- 14.878 Affordable Housing Development in Main Street Rejuvenation Projects (2006)
- 14.879 Mainstream Vouchers (2006)
- 14.880 Family Unification Program (FUP) (2008)
- 14.881 Moving to Work Demonstration Program (2008)
- 14.900 Lead-Based Paint Hazard Reduction Grant Program (1993U)
Lead-Based Paint Hazard Control Program (1994B)
Lead-Based Paint Hazard Control in Priority Housing (1996B)
Lead-Based Paint Hazard Control in Privately-Owned Housing (1997B)
- 14.901 Healthy Homes Initiative Grants (2000B)
Healthy Homes Demonstration Grants (2003B)
- 14.902 Lead Technical Studies Grants (2003B)
- 14.903 Operation Lead Elimination Action Program (2003B)
- 14.904 Lead Outreach Grants (2003B)
- 14.905 Lead Hazard Reduction Demonstration Grant Program (2003B)
- 14.906 Healthy Homes Technical Studies Grants (2004)

DEPARTMENT OF THE INTERIOR

- Appalachian Region-Sewage Treatment Works Grants (1967B)
Deleted (1969B)
Solid Waste Disposal (1967B)
Deleted (1969B)
Identification of Mentally Retarded American Indian Children (1965B)
Deleted (1970B)
Mining Area Restoration in the Appalachian Region (1965B)
Appalachian Region-Mining Area Restoration (1967B)
Deleted (1970B)
American Indian Credit and Financing Program (1965B)
Deleted (1967B)
American Indian Education Financial Assistance (1965B)
Deleted (1967B)
On-Reservation Adult Education Program for American Indians (1965B)
Deleted (1967B)
Post High School Training for American Indian Youth (1965B)
Deleted (1967B)
Summer Programs for American Indian Students (1965B)
Deleted (1967B)
Programs for American Indians (1969B)
Split into "Indian Programs" (1970B)
- 15.001 Anadromous and Great Lakes Fisheries Conservation (1970B)
Transferred to 11.405 (1971B)
- 15.002 Commercial Fisheries Disaster Assistance (1970B)
Transferred to 11.406 (1971B)
- 15.003 Commercial Fisheries Research and Development (1969B)

	Transferred to 11.407 (1971B)	15.049	Irrigation Operations and Maintenance on Indian Lands (1997B)
15.004	Fishermen-Reimbursement of Losses (1970B)	15.050	Unresolved Indian Hunting and Fishing Rights (1997B)
	Transferred to 11.410 (1971B)	15.051	Endangered Species on Indian Lands (1997B)
15.005	Fisheries Assistance (1965B)	15.052	Litigation Support for Indian Rights (1997B)
	Fisheries Loan Fund (1970B)	15.053	Attorney Fees-Indian Rights (1997B)
	Transferred to 11.409 (1971B)	15.054	Unresolved Indian Rights Issues (1997B)
15.006	Fishery Marketing Assistance (1970B)		Deleted (1999B)
	Transferred to 11.412 (1971B)	15.055	Alaskan Indian Allotments and Subsistence Preference-Alaska National Interest Lands Conservation Act (1997B)
15.007	Fishery Products Inspection and Certification (1970B)	15.056	Waste Management-Indian Lands (1997B)
	Transferred to 11.413 (1971B)		Consolidated into 15.041 (1999B)
15.008	Fishing Statistics and Market News (1970B)	15.057	Navajo-Hopi Indian Settlement Program (1997B)
	Transferred to 11.414 (1971B)	15.058	Indian Post Secondary Schools (1997B)
15.009	Fishing Vessel Mortgage and Loan Insurance (1970B)	15.059	Indian Graduate Student Scholarships (1997B)
	Transferred to 11.415 (1971B)	15.060	Indian Vocational Training-United Tribes Technical College (1997B)
15.010	Jellyfish Control (1969B)	15.061	Indian Job Placement-United Sioux Tribes Development Corporation (1997B)
	Transferred to 11.416 (1971B)		Deleted (2001B)
15.020	Aid to Tribal Governments (1997B)		Reinstated (2002B)
15.021	Consolidated Tribal Government Program (1997B)	15.062	Replacement and Repair of Indian Schools (1997B)
	Deleted (1999B)	15.063	Construction and Repair of Indian Detention Facilities (1997B)
	Reinstated (2002B)		Improvement and Repair of Indian Detention Facilities (1999B)
15.022	Tribal Self-Governance (1997B)	15.064	Structural Fire Protection-Bureau of Indian Affairs Facilities (1997B)
15.023	Tribal Self-Governance Grants (1997B)	15.065	Safety of Dams on Indian Lands (1997B)
	Deleted (2001B)	15.100	Adult Vocational Training-American Indians (1965B)
15.024	Indian Self-Determination Contract Support (1997B)		Indian-Adult Education (1970B)
15.025	Services to Indian Children, Elderly and Families (1997B)		Indian Education-Adults (1972B)
15.026	Indian Adult Education (1997B)		Deleted (1978B)
15.027	Assistance to Tribally Controlled Community Colleges and Universities (1997B)	15.101	Indian-Agricultural Extension (1970B)
15.028	Tribally Controlled Community College Endowments (1997B)		Indian Agricultural Extension (1972B)
15.029	Tribal Courts (1997B)		Deleted (1978B)
15.030	Indian Law Enforcement (1997B)	15.102	Indian-Aid to Tribal Governments (1970B)
15.031	Indian Community Fire Protection (1997B)		Indian Tribal Government Operations (1972B)
15.032	Indian Economic Development (1997B)		Deleted (1978B)
15.033	Road Maintenance-Indian Roads (1997B)	15.103	Social Services to American Indian Children (1965B)
15.034	Agriculture on Indian Lands (1997B)		Indian-Child Welfare Assistance (1970B)
15.035	Forestry on Indian Lands (1997B)		Indian Social Services-Child Welfare Assistance (1972B)
15.036	Indian Rights Protection (1997B)		Consolidated into 15.113 (1999B)
15.037	Water Resources on Indian Lands (1997B)	15.104	Indian-Community Development (1970B)
15.038	Minerals and Mining on Indian Lands (1997B)		Indian Community Development (1972B)
15.039	Fish, Wildlife, and Parks Programs on Indian Lands (1997B)		Deleted (1974B)
15.040	Real Estate Programs-Indian Lands (1997B)	15.105	Indian-Contracts with Indian School Boards (1970B)
15.041	Environmental Quality Services-Indian Programs (1997B)		Indian Education-Contracts with Indian School Boards (1972B)
	Environmental Management-Indian Programs, Consolidated from 15.056 (1999B)		Indian Education-Contracts with Indian Tribal Organizations (1976B)
15.042	Indian School Equalization Program (1997B)		Deleted (1978B)
15.043	Indian Child and Family Education (1997B)	15.106	Indian-Construction, Maintenance, and Operation of Irrigation Projects and Related Power Systems (1970B)
15.044	Indian Schools-Student Transportation (1997B)		Indian Lands-Irrigation Construction, Maintenance, Operation, and Related Power Systems (1972B)
15.045	Assistance for Indian Children with Severe Disabilities (1997B)		Deleted (1978B)
15.046	Administrative Cost Grants for Indian Schools (1997B)	15.107	Indian-Engineering Technical Assistance (1970B)
15.047	Indian Education Facilities, Operations, and Maintenance (1997B)		Indian Engineering Technical Assistance (1972B)
15.048	Bureau of Indian Affairs Facilities-Operations and Maintenance (1997B)		

- Indian Technical Assistance (1973U)
Indian Action Team Program (1976B)
Deleted (1981U)
- 15.108 Direct Employment Assistance-American Indians (1965B)
American Indians-Direct Employment Assistance (1967B)
Indian-Employment Assistance (1970B)
Indian Employment Assistance (1972B)
- 15.109 Indian-Federal School Facilities-Dormitory Operations (1970B)
Indian Education-Dormitory Operations (1972B)
Deleted (1978B)
- 15.110 Federal Schools for American Indians (1965B)
Indian-Federal Schools (1970B)
Indian Education-Federal Schools (1972B)
Deleted (1978B)
- 15.111 Indian-Forest Fire Suppression and Emergency Rehabilitation (1970B)
Indian Forests-Fire Suppression and Emergency Rehabilitation (1972B)
Deleted (1978B)
- 15.112 Indian-Forestry Development (1970B)
Indian-Forest Management, Protection and Development (1971B)
Indian Forests-Management, Protection, and Development (1972B)
Deleted (1978B)
- 15.113 General Assistance and Social Services to American Indians (1965B)
Indian-General Assistance (1970B)
Indian Social Services-General Assistance (1972B)
Consolidated from 15.103 (1999B)
Indian Social Services-Welfare Assistance (2001B)
- 15.114 Scholarship Aid for American Indian Students in Higher Education (1965B)
Indian-Higher Education (1970B)
Indian Education-Colleges and Universities (1972B)
Indian Education-Higher Education Grant Program (1982B)
- 15.115 Indian-Housing Development (1970B)
Indian Housing-Development (1972B)
Consolidated into 15.141 (1978B)
- 15.116 Indian-Housing Improvement (1970B)
Indian Housing-Improvement (1972B)
Consolidated into 15.141 (1978B)
- 15.117 American Indian Industrial Development Program (1965B)
Indian-Industrial and Tourism Development (1970B)
Indian-Industrial and Tourism Development and On-the-Job Training (1971B)
Indian Industrial and Tourism Development and On-the-Job Training (1972B)
Indian Business Enterprise Development (1975B)
Deleted (1979U1)
- 15.118 Indian-Investment of Tribal Trust Funds and Individual Indian Monies (1970B)
Indian Investments-Tribal Trust Funds and Individual Indian Monies (1972B)
Deleted (1978B)
- 15.119 Indian-Range Management (1970B)
Indian Lands-Range Management (1972B)
Deleted (1978B)
- 15.120 Indian-Real Estate Appraisal (1970B)
Indian Lands-Real Estate Appraisal (1972B)
Deleted (1978B)
- 15.121 Indian-Real Property Management (1970B)
Indian Lands-Real Estate Services (1972B)
Deleted (1978B)
- 15.122 American Indians-Construction of Reservation Roads and Bridges (1967B)
Indian-Reservation Roads and Bridges (1970B)
Indian Roads-Reservation Roads and Bridges (1972B)
Deleted (1978B)
- 15.123 Indian-Revolving Fund for Expert Assistance Loans (1970B)
Indian Loans-Claims Assistance (1972B)
Deleted (1993B)
- 15.124 Indian-Revolving Fund for Loans (1970B)
Indian Loans-Economic and Social Development (1972B)
Indian Loans-Economic Development (1975B)
- 15.125 Indian-Roads Maintenance (1970B)
Indian Roads-Maintenance (1972B)
Deleted (1978B)
- 15.126 Indian-Soil and Moisture Conservation (1970B)
Indian Lands-Soil and Moisture Conservation (1972B)
Deleted (1978B)
- 15.127 Indian-Transfer of Federally-Owned Buildings, Improvements, and or Facilities (1970B)
Indian Property Acquisition-Transfer of Federally Owned Buildings, Improvements, and or Facilities (1972B)
Deleted (1978B)
- 15.128 Indian-Transfer of Indian School Properties (1970B)
Indian Property Acquisition-Transfer of Indian School Properties (1972B)
Deleted (1978B)
- 15.129 Indian-Tribal Accounting Services (1970B)
Indian Accounting Services for Tribes (1972B)
Deleted (1978B)
- 15.130 Financial Assistance to School Districts for American Indian Education (1965B)
American Indians-Financial Assistance to School Districts (1967B)
Indians-Assistance to Non-Federal Schools (1970B)
Indian Education-Assistance to Non-Federal Schools (1972B)
Indian Education-Assistance to Schools (1976B)
- 15.131 Indians-Law and Order (1970B)
Indian-Judicial, Crime Prevention, and Enforcement Services (1971B)
Indian Judicial, Prevention, and Enforcement Services (1972B)
Indian Law Enforcement Services (1974B)
Split into 15.131, 15.139 (1977B)
Deleted (1978B)
- 15.132 Indian Social Services-Counseling (1972B)
Deleted (1978B)
- 15.133 Native American Business Development Institute (2008)
- 15.134 Indian Tribal Affairs Management (1972B)
Incorporated into 15.102 (1974B)
- 15.135 Indian Water Rights (1973U)

Indian Rights Protection (1974B)	15.208	Non-Sale Disposals From Public Lands (1971B)
Deleted (1978B)		Non-Sale Disposals of Material on Public Lands (1973B)
15.136 Indian Lands-Environmental Quality Services (1975B)		Deleted (1979B)
Deleted (1978B)	15.209	Sale of Contiguous Public Lands (1971B)
15.137 Facility School Construction Planning and Equipping (1976B)		Split from 15.204 (1971B)
Deleted (1978B)		Deleted (1972B)
15.138 Indian Lands-Minerals and Mining (1976B)	15.210	Sale of Forest Products (1971B)
Deleted (1978B)		Deleted (1973B)
15.139 Indian Tribal Judicial Services-Contracts with Indian Tribal Organizations (1977B)	15.211	Sale of Isolated Public Lands (1971B)
Split from 15.131 (1977B)		Split from 15.204 (1971B)
Deleted (1978B)		Deleted (1977B)
15.140 Indian Lands-Wildlife and Parks (1977B)	15.212	Sale of Public Lands Thought to be Private (1971B)
Deleted (1978B)		Deleted (1979B)
15.141 Indian Housing Assistance (1978B)	15.213	Special Land Use Permits (1971B)
Consolidated from 15.115, 15.116 (1978B)		Deleted (1977B)
15.142 Self Determination Grants-Indian Tribal Governments (1978B)	15.214	Non-Sale Disposals of Mineral Material (1972B)
Deleted (1996U)		Deleted (1979B)
15.143 Training and Technical Assistance-Indian Tribal Governments (1978B)		Reinstated (1981U)
Deleted (1996U)	15.215	Sale of Mineral Material (1972B)
15.144 Indian Child Welfare Act-Title II Grants (1980B)		Deleted (1979B)
15.145 Indian Grants-Economic Development (Indian Grant Program) (1986B)		Reinstated (1981U)
Deleted (1997B)		Deleted (1983B)
15.146 Ironworker Training Program (1993U)	15.217	Cooperative Agreements with Interpretive Associations (1981U)
15.147 Tribal Courts-Trust Reform Initiative (2003B)		Cooperative Agreements with Cooperating Associations (1983B)
15.148 Tribal Energy Development Capacity Grants (2008)		Deleted (1985B)
15.200 Land Lease for Airports (1970B)	15.218	Special Recreation Permits (1981U)
Deleted (1979B)		Deleted (1985B)
15.201 Leases, Permits, and Easements for Public Works (1970B)	15.219	Wildlife Habitat Management Technical Assistance (1981U)
Deleted (1977U)		Deleted (1995B)
15.202 Real Property for Public Parks, Public Recreational Areas, and Public Purposes (1965B)	15.220	Law Enforcement (1981U)
Public Land for Recreation, Public Purposes, and Historic Monuments (1970B)		Deleted (1983B)
Deleted (1979B)	15.221	Cooperative Agreements for Research to Public Lands Management (1983U)
15.203 Public Land for Rights-of-Way (1970B)		Cooperative Agreements for Research in Public Lands Management (1985U)
Deleted (1979B)		Deleted (1994B)
15.204 Real Property Sales for Residential, Commercial, Agricultural, Industrial, or Public Uses or Development (1965B)	15.222	Cooperative Inspection Agreements with States and Tribes (1990B)
Split into 15.209, 15.211 (1971B)	15.223	Recreation and Cultural Resource Management (1992B)
15.205 Exchange of Public Lands (1971B)		Split into 15.224, 15.225 (1994B)
Deleted (1977B)	15.224	Cultural Resource Management
15.206 Grazing Privileges (1971B)		Split from 15.223 (1994B)
Grazing Preference (1977U)	15.225	Recreation Resource Management
Deleted (1979B)		Split from 15.223 (1994B)
Reinstated (1981U)	15.226	Payments in Lieu of Taxes (1999B)
Mineral Privileges (1982B)	15.227	Distribution of Receipts to State and Local Governments (2001B)
Deleted (1983B)	15.228	Wildland Urban Interface Community and Rural Fire Assistance (2001B)
15.207 Mineral Leasing (1971B)		National Fire Plan - Wildland Urban Interface Community Fire Assistance (2005)
Deleted (1979B)		National Fire Plan - Wildland Urban Interface Community Fire Assistance (2006)
Reinstated (1981U)	15.229	Wild Horse and Burro Resource Management (2005)
Deleted (1983B)	15.230	Invasive and Noxious Plant Management (2008)
	15.231	Fish, Wildlife and Plant Conservation Resource Management (2005)

15.232	Wildland Fire Research and Studies Program (2008)	Number changed from 15.302 (1973U)
15.233	Forests and Woodlands Resource Management (2008)	Transferred to 17.601 (1978B)
15.234	Secure Rural Schools and Community Self-Determination (2008)	15.352 Mine Health and Safety Education and Training (1973U)
15.235	Southern Nevada Public Land Management (2008)	Number changed from 15.305 (1973U)
15.236	Environmental Quality and Protection Resource Management (2008)	Transferred to 17.602 (1978B)
15.237	Rangeland Resource Management (2008)	15.400 Outdoor Recreation Program (1965B)
15.238	Challenge Cost Share (2008)	Outdoor Recreation-Financial Assistance (1969B)
15.239	Management Initiatives (2008)	Outdoor Recreation-Acquisition and Development (1971B)
15.240	Helium Resource Management (2008)	Outdoor Recreation-Acquisition, Development and Planning (1974B)
15.242	National Fire Plan - Rural Fire Assistance (2005)	Number changed to 15.916 (1981B)
15.250	Regulation of Surface Mining and Surface Effects of Underground Coal Mining (1978U)	15.401 Outdoor Recreation Assistance (1967B)
	Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (1980U1)	Outdoor Recreation Financial Assistance (1969B)
15.251	Grants for Mining and Mineral Resources, Research Institutes, Mineral Research Projects, Scholarships and Fellowships (1978U)	Outdoor Recreation Planning-Financial Assistance (1970B)
	Grants for Mining and Mineral Resources and Research Institutes, Mineral Research Projects, Scholarships and Fellowships (1980B)	Outdoor Recreation State Planning-Financial Assistance (1971B)
	Deleted (1981U)	Incorporated into 15.400 (1974B)
15.252	Abandoned Mine Land Reclamation Program (1979U2)	15.402 Outdoor Recreation Technical Assistance (1967B)
	Abandoned Mine Land Reclamation (AMLR) Program (1982B)	Recreation-Technical Assistance (1980U1)
		Number changed to 15.917 (1981B)
15.253	Not-for-Profit AMD Reclamation (1999B)	15.403 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (1977B)
15.254	Summer Watershed Intern (2002B)	Number changed to 15.918 (1981B)
15.255	Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation (2007)	15.405 National Registry of Natural Landmarks (1978B)
15.300	Coal Mine Health and Safety (1970B)	Number changed from 15.910 (1978B)
	Coal Mine Health and Safety Grants (1971B)	National Natural Landmarks Program (1980B)
	Number changed to 15.350 (1973U)	Number changed to 15.910 (1981B)
15.301	Control of Fires in Inactive Coal Deposits (1970B)	15.406 National Park Service Centennial Challenge. (2008)
	Deleted (1979B)	15.407 Keweenaw National Historical Park (NHP) and Keweenaw NHP Advisory Commission Partner Enhancement Grants (2008)
15.302	Mine Health and Safety Counseling (1970B)	15.410 Historic American Buildings Survey (1978B)
	Mine Health and Safety Counseling and Technical Assistance (1971B)	Number changed from 15.903 (1978B)
	Number changed to 15.351 (1973U)	National Architectural and Engineering Record (1980U1)
15.303	Mineral Research-Grants and Contracts (1970B)	Number changed to 15.909 (1981B)
	Mineral Resources and Environmental Development (1972B)	Historic American Buildings Survey/Historic American Engineering Record (1982B)
	Mineral and Materials Research and Development (1974U)	15.411 Historic Preservation Grants-In-Aid (1978B)
	Deleted (1975U)	Number changed from 15.904 (1978B)
15.304	Mineral Research and Resource Information (1970B)	Number changed to 15.904 (1981B)
	Mineral Research and Resource Information and Technical Assistance (1971B)	15.412 Archeological Investigations and Salvage (1978B)
	Deleted (1979B)	Number changed from 15.908 (1978B)
15.305	Mine Health and Safety Education and Training (1971B)	Deleted (1980B)
	Number changed to 15.352 (1973U)	15.413 Historic American Engineering Record (1978B)
15.306	Mine Health and Safety-Grants and Contracts (1971B)	Number changed from 15.909 (1978B)
	Deleted (1975U)	Incorporated into 15.410 (1980U1)
15.307	Energy Research-Grants and Contracts (1974U)	15.414 National Historic Landmark (1978B)
	Transferred to 24.034 (1975B)	Number changed from 15.912 (1978B)
15.308	Grants for Mining and Mineral Resources and Research Institutes (1983B)	Number changed to 15.912 (1981B)
	Deleted (1995B)	15.415 Technical Preservation Services (1978B)
15.350	Coal Mine Health and Safety Grants (1973U)	Number changed from 15.913 (1978B)
	Number changed from 15.300 (1973U)	Number changed to 15.915 (1981B)
	Transferred to 17.600 (1978B)	15.416 National Register of Historic Places (1978B)
15.351	Mine Health and Safety Counseling and Technical Assistance (1973U)	Number changed from 15.914 (1978B)
		Number changed to 15.914 (1981B)

15.417	Urban Park and Recreation Recovery Program (1979U2) Number changed to 15.919 (1981B)	15.514	Reclamation States Emergency Drought Relief (2007)
15.418	Park and Recreation Technical Assistance (1980B) Number changed from 15.906 (1980B) Incorporated into 15.402 (1980U1)	15.515	Federal Resource Protection (2008)
15.419	HCRS/NPRA Park Practice Program (1980B) Number changed from 15.907 (1980B) Incorporated into 15.402 (1980U1)	15.516	Fort Peck Reservation Rural Water System (2007)
15.420	Training Institute for Park and Recreation Management (1980B) Number changed from 15.911 (1980B) Incorporated into 15.402 (1980U1)	15.517	Fish and Wildlife Coordination Act (2007)
15.421	Alaska Coastal Marine Institute (2006)	15.518	Garrison Diversion Unit (2007)
15.422	Louisiana State University (LSU) Coastal Marine Institute (CMI) (2006)	15.519	Indian Tribal Water Resources Development, Management, and Protection (2007)
15.423	Minerals Management Service (MMS) Environmental Studies Program (ESP) (2006)	15.520	Lewis and Clark Rural Water System (2007)
15.424	Marine Minerals Activities (2006)	15.521	Lower Rio Grande Valley Water Resources Conservation and Improvement (2007)
15.425	Offshore Research Technology Center (OTRC) Texas Engineering Experiment Station (TEES) (2006)	15.522	Mni Wiconi Rural Water Supply Project (2007)
15.426	Coastal Impact Assistance Program (CIAP) (2007)	15.523	Perkins County Rural Water System (2007)
15.427	Federal Oil and Gas Royalty Management (2008)	15.524	Recreation Resources Management (2008)
15.428	Marine Gas Hydrate Research Activities (2008)	15.525	Rocky Boy's/North Central Montana Regional Water System (2008)
15.500	Atmospheric Water Resources Research (1970B) Deleted (1971B)	15.526	San Gabriel Basin Restoration Project (2007)
15.501	Irrigation and Drainage Systems Loans (1970B) Irrigation Systems Loans (1970U) Irrigation Distribution System Loans (1971B) Distribution System Loans (1984B) Deleted (1994U)	15.527	San Luis Unit, Central Valley Project (2007)
15.502	Irrigation Systems Rehabilitation and Betterment (1970B) Deleted (1994U)	15.528	St. Mary Storage Unit Facilities Rehabilitation Project (2007)
15.503	Reclamation Projects (1965B) Water Resources Investigation and Small Reclamation Projects Loans (1967B) Small Reclamation Project Loans for Water Resource Projects (1969B) Small Reclamation Projects (1970B) Deleted (1994U)	15.529	Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs (2007)
15.504	Federal Reclamation Projects (1971B) Deleted (1979B) Reclamation and Water Reuse Program, Reinstated (1998B) Water Reclamation and Reuse Program (2001B)	15.530	Water Conservation Field Services Program (WCFSP) (2007)
15.505	National Water Research and Development Program (1983B) Number changed from 15.950 (1983B) National Water Research Program (1984B) Deleted (1984U)	15.531	Yakima River Basin Water Enhancement Project (YRBWEP) (2007)
15.506	Water Desalination Research and Development Program (1999B)	15.532	Central Valley Project, Trinity River Division, Trinity River Fish and Wildlife Management (2007)
15.507	Water 2025 (2004)	15.533	California Water Security and Environmental Enhancement (2007)
15.508	Providing Water to At-Risk Natural Desert Terminal Lakes (2004)	15.534	Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (2008)
15.509	Colorado River Basin Salinity Control Program (2007)	15.600	Anadromous Fish Management (1967B) Anadromous Fish Conservation (1970B) Deleted (1996B)
15.510	Colorado Ute Indian Water Rights Settlement Act (2007)	15.601	Animal Control (1965B) Animal Damage Control (1967B) Transferred to 10.213 (1986B)
15.511	Cultural Resources Management (2007)	15.602	Conservation Law Enforcement Training Assistance (1970B)
15.512	Central Valley Project Improvement Act, Title XXXIV (2007)	15.603	Farm Fish Pond Management (1965B) Deleted (1986U)
15.513	Dutch John Federal Property and Disposition Assistance Act (2007)	15.604	Fish Research (1970B) Fishery Research-Information (1971B) Consolidated into 15.975, 15.976 (1994B)
		15.605	Sport Fish Restoration (1970B) Sport Fish Restoration Program (2007)
		15.606	Migratory Bird Banding and Data Analysis (1970B) Consolidated into 15.975, 15.976 (1994B)
		15.607	Pesticide Appraisal and Monitoring (1970B) Environmental Contaminant Evaluation (1978B) Resource Contaminant Assessment (1983U) Environmental Contaminant (1989B) Environmental Contaminants (1993U) Deleted (1995B)
		15.608	Sport Fish Management (1965B) Sport Fish Technical Assistance (1974U) Fishery Resources Technical Assistance (1984U) Fish and Wildlife Management Assistance (1986U)
		15.609	Wildlife Management (1967B) Wildlife Enhancement (1970B)

	Wildlife Technical Assistance (1974U)	15.641	Wildlife Without Borders-Mexico (2004)
	Deleted (1983U)	15.642	Challenge Cost Share (2004)
15.610	Wildlife Research (1970B)	15.643	Alaska Migratory Bird Co-Management Council (2004)
	Wildlife Research Information (1971B)	15.644	Federal Junior Duck Stamp Conservation and Design (2004)
	Wildlife Ecology Research Information (1979B)	15.645	Marine Turtle Conservation Fund (2005)
	Wildlife Research Information (1983B)	15.647	Migratory Bird Conservation (2005)
	Consolidated into 15.975, 15.976 (1994B)	15.648	Central Valley Project Improvement (CVPI) Anadromous Fish Restoration Program (AFRP) (2006)
15.611	Wildlife Restoration (1970B)	15.649	Service Training and Technical Assistance (Generic Training) (2007)
15.612	Rare and Endangered Species Conservation (1976B)	15.650	Research Grants (Generic) (2007)
	Endangered Species Conservation (1977B)		Research Grants (Generic) (2008)
	Deleted (1995B)	15.651	Wildlife Without Borders-Africa Program (2007)
15.613	Marine Mammal Grant Program (1979B)	15.652	Undesirable/Noxious Plant Species (2008)
	Deleted (1983U)	15.653	National Outreach and Communication Program (2007)
15.614	Coastal Wetlands Planning, Protection and Restoration Act (1992B)	15.654	Visitor Facility Enhancements - Vehicle Pullouts, Interpretive Trails, and Kiosks (2007)
15.615	Cooperative Endangered Species Conservation Fund (1992B)	15.655	Migratory Bird Monitoring, Assessment and Conservation (2008)
15.616	Clean Vessel Act (1993U)	15.700	Water Pollution Control-Waste Treatment Works Construction Grants (1967B)
15.617	Wildlife Conservation and Appreciation (1994B)		Construction Grants for Wastewater Treatment Works (1970B)
15.618	Administrative Grants for Federal Aid in Sport Fish and Wildlife Restoration (1995B)		Transferred to 66.400 (1971B)
	Deleted (2001B)	15.701	Water Pollution Control-Grants for Comprehensive Basin Planning (1967B)
15.619	Rhinoceros and Tiger Conservation (1996U)		Water Pollution Control-Comprehensive Basin Planning Grants (1970B)
	Rhinoceros and Tiger Conservation Fund (2005)		Transferred to 66.401 (1971B)
	Rhinoceros and Tiger Conservation Fund (2006)	15.702	Water Pollution Control-Data Publications Services (1970B)
15.620	African Elephant Conservation (1996U)		Transferred to 66.402 (1971B)
	African Elephant Conservation Fund (2005)	15.703	Water Pollution Control-Direct Training (1970B)
	African Elephant Conservation Fund (2006)		Transferred to 66.403 (1971B)
15.621	Asian Elephant Conservation (1999B)	15.704	Water Pollution Control-Interstate Cooperation and Uniform Laws (1970B)
	Asian Elephant Conservation Fund (2005)		Transferred to 66.404 (1971B)
	Asian Elephant Conservation Fund (2006)	15.705	Water Pollution Control-Research, Development, and Demonstration Grants and Contracts (1965B)
15.622	Sportfishing and Boating Safety Act (1999B)		Water Pollution Control-Research, Development and Demonstration Program (1970)
15.623	North American Wetlands Conservation Fund (1999B)		Transferred to 66.405 (1971B)
15.625	Wildlife Conservation and Restoration (2001B)	15.706	Water Pollution Control-Training Grants, Contracts, and Research Fellowships (1967B)
15.626	Hunter Education and Safety Program (2001B)		Water Pollution Control-Training Grants and Research Training Fellowships (1970B)
15.628	Multi-State Conservation Grants (2001B)		Split into 15.706, 15.710 (1970)
	Multi-State Conservation Grant Program (2007)		Transferred to 66.406 (1971B)
	Multistate Conservation Grant Program (2008)	15.707	Water Pollution Control-State and Interstate Agency Support (1969B)
15.629	Great Apes Conservation (2001B)		Water Pollution Control-State and Interstate Program Grants (1970B)
	Great Apes Conservation Fund (2005)		Transferred to 66.407 (1971B)
	Great Apes Conservation Fund (2006)	15.708	Water Pollution Control-State and Local Manpower Development (1970B)
15.630	Coastal Program (2002B)		Transferred to 66.408 (1971B)
15.631	Partners for Fish and Wildlife (2002B)	15.709	Water Pollution Control-Technical Assistance and Pollution Surveillance (1970B)
15.632	Conservation Grants Private Stewardship for Imperiled Species (2002B)		Transferred to 66.409 (1971B)
15.633	Landowner Incentive (2002B)	15.710	Water Pollution Control-Training Grants (1970B)
	Landowner Incentive Program (2007)		Split from 15.706 (1970B)
15.634	State Wildlife Grants (2002B)		Transferred to 66.410 (1971B)
15.635	Neotropical Migratory Bird Conservation (2003B)	15.711	Water Quality and Pollution Control Data (1970B)
15.636	Alaska Subsistence Management (2004)		
15.637	Migratory Bird Joint Ventures (2004)		
15.638	Tribal Landowner Incentive Program (2004)		
15.639	Tribal Wildlife Grants Program (2004)		
15.640	Wildlife Without Borders- Latin America and the Caribbean (2004)		

	Transferred to 66.411 (1971B)		Economic, Social, and Political Development of the Territories (2006)
15.712	Water Quality and Pollution Control-Orientations and Training Seminars (1970B)		Economic, Social, and Political Development of the Territories and the Freely Associated States (1995U)
	Transferred to 66.413 (1971B)	15.900	Disposal of Surplus Wildlife (1970B)
15.713	Water Quality Management Technical Planning Assistance (1970B)		Deleted (1986B)
	Transferred to 66.413 (1971B)	15.901	Domestic Travel Promotion (1970B)
15.800	Resources Surveys, Water, Mineral, Fuels, Topographic Maps (1965B)		Transferred Incorporated into 11.950 (1971B)
	Geologic, Mineral, Water Resource, and Topographic Surveys, Investigations, and Research (1967B)	15.902	Environmental Study Areas (1970B)
	Split into 15.800, 15.801, 15.802, 15.803, 15.804 (1970B)		National Environmental Study Areas (1970U)
	Geologic and Mineral Resource Surveys and Mapping (1970B)		National Environmental Study Areas and National Environmental Education Development Programs (1975U)
	Consolidated into 15.808 (1986B)		National Environmental Study Areas (1980B)
15.801	Map Information (1970B)		Deleted (1981B)
	Split from 15.800 (1970B)	15.903	Historic American Buildings Survey (1969B)
	Cartographic Information (1974U)		Historic American Building Survey-Historic American Engineering Record (1970U)
	Cartographic Information, Geologic Information, Hydrologic Information (1989B)		Historic American Buildings Survey (1971B)
	Deleted (1990B)		Split into 15.903, 15.909 (1971B)
15.802	Minerals Discovery Loan Program (1970B)		Number changed to 15.410 (1978B)
	Split from 15.800 (1970B)	15.904	Historic Preservation (1969B)
	Deleted (1982B)		Number changed to 15.411 (1978B)
15.803	Topographic Surveys and Mapping (1970B)		Historic Preservation Grants-in-Aid (1978B)
	Split from 15.800 (1970B)		Number changed from 15.411 (1981B)
	National Mapping, Geography and Surveys (1980U1)		Historic Preservation Fund Grants-In-Aid (1985U)
	Consolidated into 15.808 (1986B)	15.905	National Environmental Education Development (1970B)
15.804	Water Resources Investigations (1970B)		Deleted (1971B)
	Split from 15.800 (1970B)	15.906	Park Planning-Technical Assistance (1969B)
	Consolidated into 15.808 (1986B)		Park and Recreation Technical Assistance (1970B)
15.805	Assistance to State Water Resources Research Institutes (1984B)		Park Technical Assistance (1979U1)
	Number changed from 15.951 (1984B)		Number changed to 15.418 (1980B)
15.806	National Water Resources Research Program (1985B)	15.907	Park Practice Program (1970B)
	Deleted (1996B)		Number changed to 15.419 (1980B)
15.807	Earthquake Hazards Reduction Program (1986B)	15.908	Archeological Investigations and Salvage (1971B)
	Consolidated from 15.800 (1986B)		Number changed to 15.412 (1978B)
15.808	Geological Survey-Research and Data Acquisition (1986B)	15.909	Historic American Engineering Record (1971B)
	Consolidated from 15.800, 15.803, 15.804 (1986B)		Split from 15.903 (1971B)
	U.S. Geological Survey-Research and Data Acquisition, Consolidated from 15.977 (1998B)		Number changed to 15.413 (1978B)
15.809	National Spatial Data Infrastructure Competitive Cooperative Agreements Program (1997B)		Number changed from 15.410 (1981B)
	National Spatial Data Infrastructure Cooperative Agreements Program (1998U)		Historic American Buildings Survey Historic American Engineering Record (1982B)
15.810	National Cooperative Geologic Mapping Program (1998B)		Deleted (1996B)
15.811	Gap Analysis Program (2003B)	15.910	National Registry of Natural Landmarks (1971B)
15.812	Cooperative Research Units Program (2003B)		Deleted (2008)
15.813	COOPERATIVE RESEARCH UNITS TRAINING PROGRAM (2007)		Number changed to 15.405 (1978B)
15.814	National Geological and Geophysical Data Preservation Program (2008)		Number changed from 15.405 (1981B)
15.815	National Land Remote Sensing-Education Outreach and Research (2008)		National Natural Landmarks Program (1981B)
15.816	Minerals Resources External Research Program (2008)	15.911	Training Institute for Park and Recreation Management (1974U)
15.850	Indian Arts and Crafts Development (1970B)		Number changed to 15.420 (1980B)
15.875	Economic and Political Development of the Territories and the Trust Territory of the Pacific Islands (1982)	15.912	National Historic Landmark (1972U)
	Economic, Social, and Political Development of the Territories (2005)		Number changed to 15.414 (1978B)
			Number changed from 15.414 (1981B)
		15.913	Interagency Historic Architectural Services (1976U)
			Technical Preservation Services (1977U)

Number changed to 15.415 (1978B)

15.914 National Register of Historic Places (1976U)
Number changed to 15.416 (1978B)
Number changed from 15.416 (1981B)

15.915 Technical Preservation Services (1981B)
Number changed from 15.415 (1981B)

15.916 Outdoor Recreation-Acquisition, Development and Planning (1981B)
Number changed from 15.400 (1981B)

15.917 Recreation-Technical Assistance (1981B)
Number changed from 15.402 (1981B)
Deleted (1984U)

15.918 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (1981B)
Number changed from 15.403 (1981B)

15.919 Urban Park and Recreation Recovery Program (1981B)
Deleted (2005)
Number changed from 15.417 (1981B)
Deleted (1989B)
Reinstated (1991B)

15.920 Land Acquisition and Development of Comprehensive Management Plan (CMP)-State of New Jersey-Pinelands National Reserve (1984B)
Deleted (1987B)

15.921 Rivers, Trails and Conservation Assistance (1994B)

15.922 Native American Graves Protection and Repatriation Act (1997U)

15.923 National Center for Preservation Technology and Training (1997B)

15.924 Historically Black Colleges and Universities Preservation Initiative (1997U)

15.925 National Maritime Heritage Grants (1997U)

15.926 American Battlefield Protection (1997U)

15.927 Hydropower Recreation Assistance (2002B)

15.928 Civil War Battlefield Land Acquisition Grants (2003B)

15.929 Save America's Treasures (2004)

15.930 Chesapeake Bay Gateways Network (2007)

15.931 Conservation Activities by Youth Service Organizations (2007)

15.950 Additional Water Resources Research (1970B)
National Water Research and Development Program (1979B)
Number changed to 15.505 (1983B)

15.951 Water Resource Research-Assistance to States for Institutes (1970B)
Water Resources Research-Assistance to State Institutes (1975U)
Water Resources Research and Technology-Assistance to State Institutes (1979B)
Water Research Assistance to State Institutes (1983B)
Number changed to 15.805 (1984B)

15.952 Water Resource Research-Matching Grants to State Institutes (1970B)
Water Research and Technology-Matching Funds to State Institutes (1979B)
Deleted (1983B)

15.953 Water Resources Scientific Information Center (1972B)
Deleted (1983B)

15.975 Research Information
Consolidated from 15.604, 15.610 (1994B)
Incorporated into 15.977 (1996B)

15.976 Migratory Bird Banding and Data Analysis (1994B)

15.977 State Partnerships (1995B)
Incorporated from 15.975 (1996B)
Consolidated into 15.808 (1998B)

15.978 Upper Mississippi River System Long Term Resource Monitoring Program (1998B)

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Criminal Justice Act of 1964 (1965B)
Deleted (1969B)
Federal Prisoners Rehabilitation Program (1965B)
Deleted (1969B)
Law Enforcement Assistance (1965B)
Split into all of the Law Enforcement Assistance Programs (1969B)
National Conference on Bail and Criminal Justice and Follow-Up Programs (1965B)
Deleted (1969B)

16.001 Law Enforcement Assistance-Narcotics and Dangerous Drugs-Laboratory Support (1969B)
Law Enforcement Assistance-Narcotics and Dangerous Drugs-Laboratory Analysis (1970B)

16.002 Law Enforcement Assistance-Narcotics and Dangerous Drugs- State Legislation (1970B)
Deleted (1991U)

16.003 Law Enforcement Assistance-Narcotics and Dangerous Drugs Technical Laboratory Publications (1970B)

16.004 Law Enforcement Assistance-Narcotics and Dangerous Drugs- Training (1969B)

16.005 Narcotics and Dangerous Drugs-Public Education (1969B)
Deleted (2008)
Public Education on Drug Abuse-Technical Assistance (1970B)
Public Education on Drug Abuse-Information (1979B)

16.006 Municipal Fire and Emergency Services Domestic Preparedness Equipment Support Program (1999B)
County and Municipal Agency Domestic Preparedness Equipment Support Program (1999U)
Deleted (2001B)

16.007 State and Local Domestic Preparedness Equipment Support Program (1999B)
State Domestic Preparedness Equipment Support Program (1999U)
Transferred to 97.004 (2003B)

16.008 State and Local Domestic Preparedness Training Program (2001B)
Transferred to 97.005 (2003B)

16.009 State and Local Domestic Preparedness Exercise Support (2001B)
Transferred to 97.006 (2003B)

16.010 State and Local Domestic Preparedness Technical Assistance (2001B)
Transferred to 97.007 (2003B)

16.011 Urban Areas Security Initiative (2003B)

16.012 Alcohol, Tobacco, and Firearms-Training Assistance (2004)

16.013 Violence Against Women Act Court Training and Improvement Grants (2008)

16.014 Engaging Men and Youth in Preventing Domestic Violence, Dating Violence, Sexual Assault, and Stalking (2008)

16.016 Culturally and Linguistically Specific Services Program (2008)

16.017 Sexual Assault Services Program (2008)

16.019 Tribal Registry (2008)

16.100 Desegregation of Public Education-Civil Rights Act of 1964 (1965B)

- Desegregation of Public Education (1970B)
- 16.101 Equal Employment Opportunity-Civil Rights Act of 1964 (1965B)
Equal Employment Opportunity (1970B)
- 16.102 Equal Enjoyment of Public Accommodations-Civil Rights Acts of 1964 (1965B)
Equal Employment of Public Accommodations (1970B)
Incorporated into 16.105 (1979B)
- 16.103 Fair Housing (1970B)
Fair Housing and Equal Credit Opportunity (1977U)
- 16.104 Protection of Voting Rights-Civil Rights Acts of 1957, 1960, 1964, and 1965 (1965B)
Protection of Voting Rights (1970B)
- 16.105 Desegregation of Public Facilities (1972B)
Civil Rights of Institutionalized Persons (1981B)
- 16.106 Indian Civil Rights (1974B)
Deleted (1981B)
- 16.107 Special Civil Rights Litigation (1975U)
Incorporated into 16.105 (1979B)
- 16.108 Americans With Disabilities Act Technical Assistance Program (1991U)
- 16.109 Civil Rights Prosecution (1992U)
- 16.110 Education and Enforcement of the Antidiscrimination Provision of the Immigration and Nationality Act (1995U)
- 16.200 Community Relations Service (1967B)
- 16.201 Cuban and Haitian Entrant Resettlement Program (1983U)
Transferred to 97.009 (2003B)
- 16.202 Offender Reentry Program (2001U)
Prisoner Reentry Initiative Demonstration (Offender Reentry) (2006)
- 16.203 Sex Offender Management Discretionary Grant (2002B)
Comprehensive Approaches to Sex Offender Management Discretionary Grant (CASOM) (2006)
- 16.220 Law Enforcement Assistance-Narcotics and Dangerous Drugs-Registration of Manufacturers, Distributors, and Dispensers of Controlled Substances (2004)
- 16.300 Police Training Programs (1967B)
Law Enforcement Assistance-Advanced Police Training (1969B)
Law Enforcement Assistance-FBI Advanced Police Training (1970B)
- 16.301 Services of Federal Bureau of Investigation (1965B)
Law Enforcement Assistance-Crime Laboratory Support (1969B)
Law Enforcement Assistance-FBI Crime Laboratory Support (1970B)
- 16.302 Law Enforcement Assistance-Field Police Training (1969B)
Law Enforcement Assistance-FBI Field Police Training (1970B)
- 16.303 Law Enforcement Assistance-Fingerprint Identification (1969B)
Law Enforcement Assistance-FBI Fingerprint Identification (1970B)
- 16.304 Law Enforcement Assistance-National Crime Information Center (1969B)
- 16.305 Law Enforcement Assistance-Uniform Crime Reports (1969B)
- 16.306 DRUGFIRE (1996U)
Deleted (1998B)
- 16.307 Combined DNA Index System (1996U)
- 16.308 Indian County Investigations (1996U)
Indian Country Investigations (1997B)
- 16.309 Law Enforcement Assistance-National Instant Criminal Background Check System (2000B)
- 16.320 Services for Trafficking Victims (2004)
- 16.321 Antiterrorism and Emergency Assistance Program (2002U)
Antiterrorism Emergency Reserve (2005)
Antiterrorism Emergency Reserve (2006)
- 16.400 Citizenship Training (1965B)
Citizenship Training and Information (1967B)
Naturalization (1969B)
Citizenship Education and Training (1970B)
Transferred to 97.010 (2003B)
- 16.471 FORENSIC CASEWORK DNA BACKLOG REDUCTION PROGRAM (2005)
- 16.500 Law Enforcement Assistance-State Planning (1969B)
Law Enforcement Assistance-Comprehensive Planning (1970B)
Law Enforcement Assistance-Comprehensive Planning Grants (1971B)
Consolidated into 16.530 (1980B)
- 16.501 Law Enforcement Assistance-Discretionary Grants (1970B)
Consolidated into 16.531 (1980B)
- 16.502 Law Enforcement Assistance-Improving and Strengthening Law Enforcement (1969B)
Law Enforcement Assistance-Improving and Strengthening Law Enforcement and Criminal Justice (1974B)
Split into 16.530, 16.532 (1980B)
- 16.503 Law Enforcement Assistance-Technical Assistance (1970B)
Consolidated into 16.531 (1980B)
- 16.504 Law Enforcement Assistance-Students Loans and Tuition Aids (1969B)
Law Enforcement Education Program-Student Financial Aid (1970B)
Transferred to the Department of Education (1980B)
- 16.505 Law Enforcement Assistance-Research Demonstrations and Special Projects (1969B)
Law Enforcement Research and Development-Graduate Research Fellowships (1970B)
Number changed to 16.562 (1980B)
- 16.506 Law Enforcement Research and Development-Pilot Grants (1970B)
Incorporated into 16.507 (1972B)
- 16.507 Law Enforcement Research and Development-Project Grants (1970B)
Number changed to 16.560 (1980B)
- 16.508 Law Enforcement Research and Development-Visiting Fellowships (1970B)
Incorporated into 16.507 (1973B)
Reinstated/Split from 16.507 (1975U)
Number changed to 16.561 (1980B)
- 16.509 Law Enforcement Assistance-Statistics and Information Development-Project Grants (1971B)
Law Enforcement Assistance-Statistics Development (1972B)
Criminal Justice-Statistics Development (1973B)
Number changed to 16.550 (1980B)
- 16.510 Statistics on Crime and Criminal Justice (1971B)
Number changed to 16.551 (1980B)
- 16.511 Law Enforcement Assistance-Educational Development (1971U)
Number changed to 16.533 (1980B)
- 16.512 Law Enforcement Assistance-Internships (1972B)
Deleted (1980B)
- 16.513 Law Enforcement Assistance-Training (1972B)
Number changed to 16.534 (1980B)

16.514	Organized Crime Prosecutorial Training (1973U) Consolidated into 16.531 (1980B)	Consolidated from 16.500, 16.502 (1980B) Deleted (1981B)
16.515	Criminal Justice Systems Development (1973U) Criminal Justice Systems and Telecommunications Development (1974B) Criminal Justice Systems Development (1975B) Number changed to 16.552 (1980B)	16.531 Part F-Discretionary Grants (1980B) Consolidated from 16.501, 16.503, 16.514 (1980B) Deleted (1981B)
16.516	Law Enforcement Assistance-Juvenile Justice and Delinquency Prevention-Allocation to States (1975U) Law Enforcement Assistance-Juvenile Justice and Delinquency Prevention Act Allocation to States (1978U) Juvenile Justice and Delinquency Prevention-Allocation to States (1979B) Number changed to 16.540 (1980B)	16.532 Part E-National Priority Program Grants (1980B) Split from 16.502 (1980B) Deleted (1981B)
16.517	Law Enforcement Assistance Administration-JJDP Special Emphasis Prevention and Treatment (1975U) Law Enforcement Assistance Administration-OJJDP Special Emphasis Prevention and Treatment Programs (1977U) Juvenile Justice and Delinquency Prevention-Special Emphasis Prevention and Treatment Programs (1979B) Number changed to 16.541 (1980B)	16.533 Law Enforcement Assistance-Educational Development (1980B) Number changed from 16.511 (1980B) Deleted (1981B)
16.518	Law Enforcement Assistance Administration-JJDP National Institute (1975U) National Institute for Juvenile Justice and Delinquency Prevention (1979B) Number changed to 16.542 (1980B)	16.534 Law Enforcement Assistance-Training (1980B) Number changed from 16.513 (1980B) Deleted (1981B)
16.519	Law Enforcement Assistance-Office of Community Anti-Crime Programs (1978B) Number changed to 16.535 (1980B)	16.535 Law Enforcement Assistance Administration-Office of Community Anti-Crime Programs (1980B) Number changed from 16.519 (1980B) Deleted (1981B)
16.520	Public Safety Officers' Benefits Program (1978B) Number changed to 16.538 (1980B)	16.536 Crime Prevention-Mobilization of Public and Non-Public Resources (1980B) Number changed from 16.521 (1980B) Deleted (1981B)
16.521	Crime Prevention-Mobilization of Public and Non-Public Resources (1978U) Number changed to 16.536 (1980B)	16.537 Urban Crime Prevention (1980B) Deleted (1981B)
16.522	Privacy and Security of Criminal Justice Systems (1979B) Number changed to 16.553 (1980B)	16.538 Public Safety Officers' Benefits Program (1980B) Number changed from 16.520 (1980B) Number changed to 26.571 (1982B)
16.523	Juvenile Accountability Incentive Block Grants (1998B) Juvenile Accountability Block Grants (2007)	16.540 Juvenile Justice and Delinquency Prevention-Allocation to States (1980B) Number changed from 16.516 (1980B)
16.524	Domestic Violence Victims' Civil Legal Assistance Program (1999B) Legal Assistance for Victims (2001B)	16.541 Juvenile Justice and Delinquency Prevention-Special Emphasis and Technical Assistance (1980B) Developing, Testing and Demonstrating Promising New Programs (2004) Part E - Developing, Testing and Demonstrating Promising New Programs (2006) Number changed from 16.517 (1980B)
16.525	Grants to Combat Violent Crimes Against Women on Campuses (1999B) Grants to Combat Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (2007) Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (2008) Grants to Reduce Violent Crimes Against Women on Campus (2001B)	Juvenile Justice and Delinquency Prevention-Special Emphasis (1989B)
16.526	Technical Assistance and Training Initiative (2002B) OVW Technical Assistance Initiative (2006)	16.542 National Institute for Juvenile Justice and Delinquency Prevention (1980B) Part D - Research, Evaluation, Technical Assistance and Training (2004) Deleted (2007) Number changed from 16.518 (1980B)
16.527	Supervised Visitation, Safe Havens for Children (2002B)	16.543 Missing Children's Assistance (1986B)
16.528	Training Grants to Stop Abuse and Sexual Assault of Older Individuals or Individuals with Disabilities (2002B) Enhanced Training and Services to End Violence and Abuse of Women Later in Life (2006)	16.544 Part D-Juvenile Gangs and Drug Abuse and Drug Trafficking (1990B) Deleted (2007) Gang-Free Schools and Communities-Community-Based Gang Intervention (1996U)
16.529	Education and Training to End Violence Against and Abuse of Women with Disabilities (2002B) Education, Training, and Enhanced Services to End Violence Against Women with Disabilities (2007) Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (2008)	16.545 Judicial Child Abuse Training (1992B) Combined into 16.547 (1994B)
16.530	Criminal Justice-Part D Formula Grants (1980B)	16.546 Investigation and Prosecution of Child Abuse Through the Criminal Justice System Number changed from 16.584 (1993U) Combined into 16.547 (1994B)
		16.547 Victims of Child Abuse (1994B)

- 16.548 Title V-Delinquency Prevention Program (1994B)
- 16.549 Part E-State Challenge Activities (1995B)
- 16.550 Criminal Justice Statistics Development (1980B)
Number changed from 16.509 (1980B)
State Justice Statistics Program for Statistical Analysis Centers (1997B)
- 16.551 Statistics on Crime and Criminal Justice (1980B)
Number changed from 16.510 (1980B)
Deleted (1983U)
- 16.552 Criminal Justice Systems Development (1980B)
Number changed from 16.515 (1980B)
Deleted (1981U)
- 16.553 Privacy and Security of Criminal Justice Systems (1980B)
Number changed from 16.522 (1980B)
Federal Statistics and Information Policy (1981U)
Deleted (1983U)
- 16.554 National Criminal History Improvement Program (NCHIP) (1995B)
- 16.555 National Sex Offender Registry Assistance (1998B)
Deleted (1999B)
- 16.556 Grants to State Sexual Assault and Domestic Violence Coalitions (2008)
- 16.557 Tribal Domestic Violence and Sexual Assault Coalitions Program (2008)
- 16.560 Justice Research and Development Project Grants (1980B)
Number changed from 16.507 (1980B)
Justice Research, Development, and Evaluation Project Grants (1991U)
National Institute of Justice Research, Evaluation, and Development Project Grants (2000B)
- 16.561 National Institute of Justice Visiting Fellowships (1980B)
Deleted (2006)
Number changed from 16.508 (1980B)
- 16.562 Law Enforcement Research and Development-Graduate Research Fellowships (1980B)
Number changed from 16.505 (1980B)
Criminal Justice Research and Development-Graduate Research Fellowships (1980U2)
- 16.563 Law Enforcement Family Support (1997B)
Deleted (2007)
Corrections and Law Enforcement Family Support (1999B)
- 16.564 National Institute of Justice Forensic DNA Laboratory Improvement Program (1999U)
Deleted (2006)
National Institute of Justice Crime Laboratory Improvement Program (2000B)
Crime Laboratory Improvement-Combined Offender DNA Index System Backlog Reduction (2001B)
- 16.565 National Institute of Justice Domestic Anti-Terrorism Technology Development Program (1999U)
National Institute of Justice Domestic Anti-Terrorism Technology Development Program (Counterterrorism Research and Development) (2004)
Deleted (2006)
- 16.566 National Institute of Justice W.E.B. DuBois Post-Doctoral Fellowship Program (1999U)
National Institute of Justice W.E.B. DuBois Fellowship Program (2000B)
- 16.570 Civil Rights Compliance Activities (1980B)
Deleted (1985U)
- 16.571 Public Safety Officers' Benefits Program (1982B)
Number changed from 16.538 (1982B)
Public Safety Officers' Death Benefits Program (1985U)
Public Safety Officers' Benefit Program (1991U)
Public Safety Officers' Benefits Program (1995U)
- 16.572 Mariel-Cubans (1984U)
Deleted (1996B)
State Criminal Alien Assistance Program, Reinstated (1996U)
Deleted (1998B)
- 16.573 Criminal Justice Block Grants (1985B)
Incorporated into 16.579 (1990B)
- 16.574 Criminal Justice Discretionary Grants (1985B)
Criminal Justice Discretionary Grant Program (1989B)
Deleted (1996B)
- 16.575 Crime Victim Assistance (1985B)
- 16.576 Crime Victim Compensation (1985B)
- 16.577 Emergency Federal Law Enforcement Assistance (1986B)
- 16.578 Federal Surplus Property Transfer Program (1986B)
- 16.579 State and Local Narcotics Control Assistance Anti-Drug Abuse Act of 1986 (1987B)
Edward Byrne Memorial Formula Grant Program (2006)
Drug Control and System Improvement-Formula Grant (1989U)
Byrne Formula Grant Program (1996U)
- 16.580 Narcotics Control Discretionary Grant Program (1987U)
Drug Control and System Improvement-Discretionary Grant (1989U)
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program (1995U)
- 16.581 Drug Law Enforcement Program-Prison Capacity (1988B)
Deleted (1995U)
- 16.582 Crime Victim Assistance/Discretionary Grants (1988B)
- 16.583 Children's Justice Act Discretionary Grants for Native American Indian Tribes (1989B)
Children's Justice Act Discretionary Grants for Native Americans (1995U)
Children's Justice Act Partnerships for Indian Communities (1998B)
- 16.584 Investigation and Prosecution of Child Abuse Through the Federal Criminal Justice System (1992B)
Investigation and Prosecution of Child Abuse Through the Criminal Justice System (1993B)
Number changed to 16.546 (1993U)
- 16.585 Drug Court Discretionary Grant Program (1995B)
- 16.586 Violent Offender Incarceration and Truth in Sentencing Incentive Grants (1995B)
- 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments (1995B)
- 16.588 Violence Against Women Formula Grants (1995B)
- 16.589 Rural Domestic Violence and Child Victimization Enforcement Grant Program (1996B)
Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Grant Program (2007)
Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (2008)
- 16.590 Grants to Encourage Arrest Policies (1996B)
Grants to Encourage Arrest Policies and Enforcement of Protection Orders (2001B)
- 16.591 Managing Released Sex Offenders (1996B)
Deleted (1998B)
- 16.592 Local Law Enforcement Block Grants Program (1996U)

Local Law Enforcement Block Grant Program (2006)	16.726	Juvenile Mentoring Program (1996B)
Deleted (2007)	16.727	Combating Underage Drinking (1998B)
Deleted (2007)		Enforcing Underage Drinking Laws Program (1999U)
16.593 Residential Substance Abuse Treatment for State Prisoners (1996U)	16.728	Drug Prevention Program (1998B)
16.594 Prevention, Diagnosis, and Treatment of Tuberculosis in Correctional Institutions (1996U)	16.729	Drug-Free Communities Support Program Grants (1998B)
Deleted (1999B)		Number changed to 93.276 (2004)
16.595 Executive Office for Weed and Seed	16.730	Reduction and Prevention of Children's Exposure to Violence (1999B)
Community Capacity Development Office (2005)	16.731	Tribal Youth Program (1999B)
Community Capacity Development Office (2006)	16.732	National Evaluation of the Safe Schools/Healthy Students Initiative (1999U)
Number changed from 16.725 (1995B)		Deleted (2007)
16.596 Correctional Grant Program for Indian Tribes (1996U)		National Evaluation of the Safe Schools-Healthy Students Initiative (2001B)
16.597 Motor Vehicle Theft Protection Act Program (1997B)	16.733	National Incident Based Reporting System (2000B)
16.598 State Identification Systems Grant Program (1997B)	16.734	Special Data Collections and Statistical Studies (2003B)
16.599 Local Firefighting and Emergency Services Training (1997U)	16.735	PROTECTING INMATES AND SAFEGUARDING COMMUNITIES (2004)
Deleted (1999B)	16.736	Transitional Housing Assistance for Victims of Domestic Violence, Stalking, or Sexual Assault (2004)
16.600 Correctional Services-Technical Assistance (1970U)		Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (2008)
Number changed to 16.603 (1976U)	16.737	Gang Resistance Education and Training (2004)
16.601 Corrections-Training and Staff Development (1976U)		Number changed from 21.053 (2004)
16.602 Corrections-Research and Evaluation (1976U)	16.738	Edward Byrne Memorial Justice Assistance Grant Program (2005)
Corrections-Research and Evaluation and Policy Formulation (1981U)	16.739	National Prison Rape Statistics Program (2005)
16.603 Corrections-Technical Assistance (1976U)	16.740	Statewide Automated Victim Information Notification (SAVIN) Program (2005)
Number changed from 16.600 (1976U)	16.741	FORENSIC DNA CAPACITY ENHANCEMENT PROGRAM (2005)
Corrections-Technical Assistance/Clearinghouse (1988B)		Forensic DNA Backlog Reduction Program (2008)
16.604 Corrections-Policy Formulation (1976U)	16.742	PAUL COVERDELL FORENSIC SCIENCES IMPROVEMENT GRANT PROGRAM (2005)
Deleted (1981U)	16.743	FORENSIC CASEWORK DNA BACKLOG REDUCTION PROGRAM (2005)
16.605 Corrections-Clearinghouse (1976U)	16.744	Anti-Gang Initiative (2006)
Deleted (1982B)	16.745	Criminal and Juvenile Justice and Mental Health Collaboration Program (2006)
16.606 State Criminal Alien Assistance Program (1998B)	16.746	Capital Case Litigation (2006)
16.607 Bulletproof Vest Partnership Program (1999B)	16.747	Crime Victims' Rights Act (2006)
16.608 Tribal Court Assistance Program (1999B)	16.748	Convicted Offender and/or Arrestee DNA Backlog Reduction Program (In-House Analysis and Data Review) (2007)
16.609 Planning, Implementing, and Enhancing Strategies in Community Prosecution (1999U)	16.749	Radiation Exposure Compensation Program (2007)
Planning, Implementing, and Enhancing Strategies in Community Prosecution-Gun Violence Prosecution (2001U)	16.750	SUPPORT FOR ADAM WALSH ACT IMPLEMENTATION GRANT PROGRAM (2008)
Community Prosecution and Project Safe Neighborhoods (2002B)	16.751	Edward Byrne Memorial Competitive Grant Program (2008)
16.610 Regional Information Sharing Systems (1999U)	16.752	Economic High-Tech and Cyber Crime Prevention (2008)
16.611 Closed-Circuit Televising of Child Victims of Abuse (1999U)	16.753	Congressionally Recommended Awards (2008)
16.612 National White Collar Crime Center (1999U)		Number changed from 16.729 (2004)
16.613 Scams Targeting the Elderly (1999U)	DEPARTMENT OF LABOR	
16.614 State and Local Anti-Terrorism Training (1999U)	Community Employment Development (1965B)	
16.615 Public Safety Officers' Educational Assistance (1999U)	Smaller Communities Program-Rural Areas (1969B)	
16.616 Indian Country Alcohol and Drug Prevention (2001U)	Deleted (1970B)	
16.700 Antitrust State Enforcement (1978U)	Experimental and Demonstration Projects for the Mentally Retarded (1965B)	
Deleted (1981B)	Deleted (1967B)	
16.710 Public Safety and Community Policing Grants (1995B)	Experimental and Demonstration Projects for Rural Persons (1965B)	
Public Safety Partnership and Community Policing Grants (1996B)	Deleted (1967B)	
16.711 Troops to COPS (1995B)		
Deleted (2004)		
16.712 Police Corps (1996U)		
16.725 Weed and Seed Program Fund (1993U)		
Number changed to 16.595 (1995B)		

Experimental and Demonstration Projects under MDTA (1965B)	17.005	Wages and Industrial Relations Data (1969B)
Deleted (1967B)		Compensation and Working Conditions Data (1988B)
Experimental and Demonstration Projects for Youth and Adults with Limited Ability to Read and Write (1965B)		Compensation and Working Conditions (2000B)
Deleted (1967B)	17.006	Economic Growth Data (1971B)
Farm Labor Services (1965B)		Deleted (2004)
Employment Services to Rural Areas (1969B)		Incorporated into 17.002 (2004)
Deleted (1970B)		Incorporated into 17.002 (2004)
Job Market Information (1965B)		Split from 17.004 (1971B)
Deleted (1970B)		Economic Research Data (1972B)
Job Training for Disadvantaged Persons (1965B)		Economic Growth Data (1977B)
Deleted (1969B)		Economic Growth and Employment Projections Data (1983B)
New Careers Program (1967B)		Employment Projections Data (1989U)
Deleted (1969B)	17.100	Labor-Management Relations Services (1970B)
Occupational Training in Development Areas (1965B)		Number changed to 17.130 (1984U)
Deleted (1969B)	17.101	Labor Organization Reports (1969B)
Physically and Mentally Handicapped-Employment Service (1965B)		Number changed to 17.140 (1984U)
Deleted (1969B)	17.102	Reemployment Rights for Veterans (1969B)
Special Impact Program (1967B)		Veterans Reemployment Rights (1970B)
Special Impact (1969B)		Number changed to 17.803 (1984B)
Deleted (1970B)	17.103	Welfare and Pension Plan Reports (1969B)
Trade Adjustment Allowances for Workers (1965B)		Employee Benefits Security (1975B)
Deleted (1969B)		Pension and Welfare Benefit Programs (1977B)
General Employment Services (1965B)		Number changed to 17.150 (1984U)
U.S. Employment Services (1967B)	17.104	Office of Construction Industry Services (1979U1)
Employment Service (1969B)		Deleted (1982B)
Deleted (1970B)	17.130	Labor-Management Relations and Cooperative Programs (1984U)
Veterans Employment Priority Service (1967B)		Number changed from 17.100 (1984U)
Deleted (1969B)		Deleted (1992U)
Youth Opportunity Centers (1965B)	17.140	Labor Organization Reports (1984U)
Deleted (1970B)		Number changed from 17.101 (1984U)
American Indian Vocational Job Training (1965B)		Incorporated into 17.309 (2001U)
Deleted (1967B)	17.150	Welfare and Pension Plan Reports (1984U)
Employment Assistance and Training for Inmates of Correctional Institutions (1969B)		Number changed from 17.103 (1984U)
Deleted (1970B)		Employee Benefits Security Administration (2003B)
Apprenticeship Information Centers (1969B)	17.200	Apprenticeship Outreach (1970B)
Deleted (1970B)		Consolidated into 17.243 (1979B)
Manpower Recruitment Services (1969B)	17.201	Apprenticeship and Training (1965B)
Deleted (1970B)		Apprenticeship Training (1970B)
Older Worker Employment Assistance (1969B)		Registered Apprenticeship Training (1997U)
Deleted (1970B)		Registered Apprenticeship and Other Training (2000B)
Selective Service Rejectee Rehabilitation (1969B)	17.202	Certification for Foreign Workers for Seasonal Agricultural Employment (1970B)
Deleted (1970B)		Deleted (2006)
17.001 Foreign Labor Data (1969B)		Certification of Foreign Workers for Agricultural and Logging Employment (1971B)
Deleted (1972B)		Certification of Foreign Workers for Temporary Agricultural and Logging Employment (1980U2)
17.002 Manpower and Employment Data (1969B)		Certification of Foreign Workers for Temporary Agricultural Employment (1991B)
Labor Force Statistics (1977B)	17.203	Certification for Immigrant Nonagricultural Workers (1970B)
17.003 Price and Cost of Living Data (1969B)		Deleted (2006)
17.004 Productivity, Technology, and Growth Data (1969B)		Certification for Immigrant Workers (1979B)
Productivity and Technology Data (1971B)		Labor Certification for Alien Workers (1983B)
Split into 17.004, 17.006 (1971B)		

17.204	Concentrated Employment Program (1969B) Consolidated into 17.232 (1973B)		Institutional Grant Program (1978B) Deleted (1982B)
17.205	Cooperative Area Manpower Planning System (1969B) Consolidated into 17.232 (1973B)	17.220	Manpower Research and Evaluation (1970B) Manpower Research-Contracts (1971B) Manpower Research-Projects (1973B) Consolidated into 17.233 (1973U)
17.206	Bonding Assistance (1969B) Employment Bonding Assistance (1970B) Deleted (1970U) Reinstated (1971B) Federal Bonding Program (1971B) Deleted (1972U)	17.221	Manpower Research-Project Grants (1970B) Manpower Research-Small Grant Projects (1973B) Manpower Research-Small Grant Research Projects (1975B) Employment and Training Research-Small Grant Research Projects (1976B) Incorporated into 17.218 (1977B)
17.207	Employment Service-Industrial Services (1965B) Employment Service/Wagner-Peyser Funded Activities (2006) Employment Services-Grants to States (1970B) Employment Service (1972B)	17.222	Neighborhood Youth Corps (1965B) Consolidated into 17.232 (1973B)
17.208	Exemplary Rehabilitation Certificates (1967B) Number changed to 17.800 (1982B)	17.223	Operation Mainstream (1967B) National Older Workers Program-Operation Mainstream (1975B) Incorporated into 17.235 (1975U)
17.209	Farm Labor Contractor Registration (1965B) Number changed to 17.308 (1972U)	17.224	Public Service Careers (1970B) Deleted (1973U)
17.210	Job Bank (1970B) Computerized Job Placement (1972B) Incorporated into 17.207 (1973B)	17.225	Unemployment Insurance-Grants to States (1965B) Unemployment Insurance (1979B)
17.211	Job Corps (1970B) Transferred from Office of Economic Opportunity (1970B) Incorporated into 17.232 (1974B) Reinstated/Split from 17.232 (1975B) Deleted (1981U)	17.226	Work Incentives Program (1969B) Work Incentive Program-Training and Allowances (1970B) Work Incentives Program-Training and Allowances (1973B) Work Incentives Program and Incentives (1974B) Work Incentives Program (1975B) Transferred to 13.646 (1978B)
17.212	Job Opportunities in the Business Sector (1969B) Incorporated into 17.232 (1975B)	17.227	Job Opportunities in the Business Sector-Low Support (1970U) Number changed from 17.216 (1970U) Job Opportunities in the Business Sector-Optional Program (1971B) Consolidated into 17.232 (1973B)
17.213	Journeyman Training (1970B) Deleted (1972U)	17.228	National On-The-Job Training (1971B) Consolidated into 17.243 (1979B)
17.214	Labor Mobility-Relocation Assistance Allowances (1965B) Labor Mobility Demonstration Projects (1970B) Deleted (1970U)	17.229	Emergency Employment Assistance (1971U) Public Employment Program (1972B) Deleted (1973B)
17.215	Manpower Development and Training-Institutional Training (1970B) Incorporated into 17.232 (1973B)	17.230	Migrant Workers (1972B) Transferred from (1972B) Farm Workers (1975B) Migrant and Seasonal Farm Workers (1977B) Migrant and Seasonal Farmworkers (1981U) Number changed to 17.247 (1983U)
17.216	Manpower Development and Training (1965B) Manpower Development and Training Act-On-the-Job Training Program (1970B) Number changed to 17.227 (1970U)	17.231	Technology Mobilization and Reemployment (1972B) Deleted (1973B)
17.217	Manpower Experimental and Demonstration Projects (1969B) Consolidated into 17.233 (1973U)	17.232	Manpower Revenue Sharing (1973B) Consolidated from 17.204, 17.205, 17.222, 17.227 (1973B) Comprehensive Manpower Programs (1973U) Comprehensive Employment and Training Programs (1974B) Deleted (1983U)
17.218	Manpower Research Programs (1965B) Manpower Research-Doctoral Dissertation Grants (1970B) Employment and Training Research-Doctoral Dissertation Grants (1976B) Doctoral Dissertation and Small Grant Research Projects Programs (1977B) Doctoral Dissertation Program (1979U1) Deleted (1980U1)	17.233	Manpower Research and Development Projects (1973U) Consolidated from 17.217, 17.220 (1973U)
17.219	Manpower Research-Institutional Grants (1970B) Manpower Institutional Grants (1974B) Employment and Training Institutional Grants (1976B)		

	Employment and Training Research and Development Projects (1976B) Number changed to 17.248 (1983U)	17.255	Workforce Investment Act (1999B) Consolidated into 17.258, 17.259 (2001B)
17.234	Indian Manpower Programs (1975B) Indian Employment and Training Programs (1976B) Indian and Native American Employment and Training Programs (1977B) Employment and Training-Indians and Native Americans (1978B) Deleted (1983U)	17.257	One-Stop Career Initiative (1999B) Deleted (2004) Reinstated (2004) Deleted (2005) Reinstated (2005) Deleted (2006)
17.235	Senior Community Service Employment Program (1975B)	17.258	WIA Adult Program Consolidated from 17.250, 17.255 (2001B)
17.236	New Initiatives in Apprenticeship (1978B) Deleted (1979B)	17.259	WIA Youth Activities Consolidated from 17.250, 17.255 (2001B)
17.239	Youth Community Conservation Improvement Program (1978B) Incorporated into 17.232 (1979U1)	17.260	WIA Dislocated Workers Consolidated from 17.246 (2001B)
17.240	Youth Employment and Training (1978B) Consolidated into 17.232 (1979U1)	17.261	Employment and Training Administration Pilots, Demonstrations, and Research Projects WIA Pilots, Demonstrations, and Research Projects (2006) Consolidated from 17.248, 17.249 (2001B)
17.241	Youth Incentive Entitlement Pilot Projects (1978B) Deleted (1981B)	17.262	Employment and Training Administration Evaluations (2001B)
17.242	Summer Programs for Economically Disadvantaged Youth (1978B) Consolidated into 17.232 (1979U1)	17.263	Youth Opportunity Grants Deleted (2006) Consolidated from 17.249 (2001B)
17.243	Special Programs and Activities for the Disadvantaged (1979B) Consolidated from 17.200, 17.228 (1979B) Special National Programs and Activities for the Disadvantaged (1980U2) Special National Lever Programs (1983B) Number changed to 17.249 (1983U)	17.264	Migrant and Seasonal Farmworkers (2002B) National Farmworker Jobs Program (2006) Consolidated from 17.247 (2002B)
17.244	Disabled Veterans Outreach Program (1981B) Number changed to 17.801 (1982B)	17.265	Native American Employment and Training (2002B) Consolidated from 17.251 (2002B)
17.245	Trade Adjustment Assistance-Workers (1981U) Trade Adjustment Assistance (2006) Number changed from 17.400 (1981U)	17.266	Work Incentives Grant (2002B) Work Incentive Grants (2006)
17.246	Employment and Training Assistance-Dislocated Workers (1983B) Consolidated into 17.260 (2001B)	17.267	WIA Incentive Grants-Section 503 Grants to States (2004) Incentive Grants - WIA Section 503 (2006)
17.247	Migrant and Seasonal Farmworkers (1983U) Number changed from 17.230 (1983U)	17.268	H-1B High Growth Job Training Grants (2006) H-1B Job Training Grants (2007)
17.248	Employment and Training Research and Development Projects (1983U) Number changed from 17.233 (1983U) Employment and Training Evaluation Projects (2000B) Consolidated into 17.261 (2001U)	17.269	Community Based Job Training Grants (2006)
17.249	Employment Services and Job Training-Pilot and Demonstration Programs (1983U) Number changed from 17.243 (1983U) Employment Services and Job Training Pilots-Demonstrations and Research (2000B) Consolidated into 17.261, 17.263 (2001U)	17.270	Prisoner Reentry (2006) Reintegration of Ex-Offenders (2008)
17.250	Job Training Partnership Act (1983U) Consolidated into 17.258, 17.259 (2001B)	17.271	Work Opportunity Tax Credit Program (WOTC) and Welfare-to-Work Tax Credit (WtWTC) (2006) Work Opportunity Tax Credit Program (WOTC) (2007)
17.251	Native American Employment and Training Programs (1984U) Consolidated into 17.26 (2002B)	17.272	Permanent Labor Certification for Foreign Workers (2006)
17.252	Attestations by Employers Using Alien Crewmembers for Longshore Activities in U.S. Ports (1993B)	17.273	Temporary Labor Certification for Foreign Workers (2006)
17.253	Welfare-to-Work Grants to States and Localities (1998B)	17.274	YOUTHBUILD (2007) Number changed from 14.243 (2007)
17.254	Welfare-to-Work Grants to Federally Recognized Tribes and Alaska Natives (1998U)	17.300	Age Discrimination in Employment (1969B) Transferred to 30.008 (1979U1)
		17.301	Equal Employment Opportunity by Federal Contractors (1969B) Equal Employment Opportunity by Federal Contractors and Federally Assisted Construction Contractors (1980B) Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors (1983U)
		17.302	Workmen's Compensation for Federal Employees and Others (1969B) Longshoremen's and Harbor Workers' Compensation (1970B) Longshore and Harbor Workers' Compensation (1984U)

17.303 Federal Minimum Wage and Hour Standards (1967B)
Minimum Wage and Hour Standards (1969B)
Split into 17.303, 30.010 (1979U2)
Wage and Hour Standards (1996B)

17.304 Labor Standards (1965B)
Safety and Labor Standards (1969B)
Workers Safety and Labor Standards (1970B)
Number changed to 17.307A (1971B)

17.305 Women-Special Programs and Assistance (1967B)
Women's Special Employment Assistance (1970B)
Number changed to 17.700 (1978B)

17.306 Consumer Credit Protection (1970U)

17.307 Coal Mine Workers' Compensation (2004)

17.307A 6Occupational Safety and Health (1971B)
Number changed from 17.304 (1971B)
Number changed to 17.500 (1971U)

17.307B 6Coal Mine Workers' Compensation (1973B)

17.308 Farm Labor Contractor Registration (1972U)
Number changed from 17.209 (1972U)

17.309 Labor Organization Reports (1999B)
Incorporated from 17.140 (2001U)

17.310 Energy Employees Occupational Illness Compensation (2006)

17.400 Trade Adjustment Assistance-Workers (1971B)
Transferred from 61.003 (1971B)
Number changed to 17.245 (1981U)

17.500 Occupational Safety and Health (1971U)
Number changed from 17.307A (1971U)
Number changed to 17.504 (1995B)

17.501 Employee Assistance Programs-Drug, Alcohol Abuse (1990B)
Deleted (1991U)

17.502 Occupational Safety and Health-Training and Education (1991U)
Occupational Safety and Health-Susan Harwood Training Grants (1999B)

17.503 Occupational Safety and Health-State Program (1994B)

17.504 Consultation Agreements
Number changed from 17.500 (1995B)

17.505 OSHA Data Initiative (2003B)

17.600 Mine Health and Safety Grants (1978B)
Transferred from 15.350 (1978B)

17.601 Mine Health and Safety Counseling and Technical Assistance (1978B)
Transferred from 15.351 (1978B)

17.602 Mine Health and Safety Education and Training (1978B)
Transferred from 15.352 (1978B)

17.603 Brookwood-Sago Grant (2007)

17.604 Safety and Health Grants (2008)

17.700 Women's Special Employment Assistance (1978B)
Number changed from 17.305 (1978B)
Women's Bureau (2003B)

17.720 Employment Programs for People with Disabilities (2002B)
Disability Employment Policy Development (2005)
Disability Employment Policy Development (2005)

Transferred from 53.001 (2002B)

17.800 Exemplary Rehabilitation Certificates (1982B)
Number changed from 17.208 (1982B)
Deleted (1983B)

17.801 Disabled Veterans Outreach Program (1982B)
Number changed from 17.244 (1982B)
Disabled Veterans Outreach Program (DVOP) (1992B)
Disabled Veterans' Outreach Program (DVOP) (1995B)

17.802 Veterans Employment Program (1984B)
Veterans' Employment Program (1995B)

17.803 Reemployment Rights for Veterans (1984B)
Number changed from 17.102 (1984B)
Veterans' Employment and Reemployment Rights (1995B)
Uniformed Services Employment and Reemployment Rights (1996B)

17.804 Local Veterans Employment Representative Program (1984B)
Local Veterans' Employment Representative Program (1995B)

17.805 Homeless Veterans Reintegration Project (1991U)
Deleted (1996B)
Reinstated (1998B)

17.806 Veteran's Preference in Federal Employment (2000B)

U.S. POSTAL SERVICE

Lease Construction Program (1967B)
Post Office Lease Construction (1969B)
Deleted (1970B)

18.001 Postal Academy Program (1970B)
Deleted (1972U)

DEPARTMENT OF STATE

19.001 Foreign Currency Loans (1970B)
Transferred to 70.001 (1971B)

19.002 Foreign Housing Investment Guaranties (1970B)
Consolidated into 19.008 (1970U)

19.003 Foreign Housing Investment Guaranty Program-Latin America (1970B)
Consolidated into 19.008 (1970U)

19.004 Foreign Investment Guaranties (1970B)
Transferred to 70.002 (1971B)

19.005 Foreign Investment Insurance (1970B)
Transferred to 70.003 (1971B)

19.006 Institutional Centers to Aid Foreign Development (1969B)
Deleted (1977U)

19.007 Technology Applications for Less-Developed Countries (1969B)
Deleted (1978B)

19.008 Foreign Housing Investment Guaranty-Worldwide (1970U)
Consolidated from 19.002, 19.003 (1970U)
Housing Investment Guaranty-Worldwide (1971B)
Housing Guaranty-Worldwide (1973U)
Deleted (1978B)

19.100 Cultural Presentations-Foreign Tours (1969B)
Split into 19.100, 19.102 (1970B)

	Deleted (1971B)		Number changed from 82.016 (2000B)
19.101	Exchange of Persons-Academic Grants (1969B) Educational Exchange-Graduate Students (1970B) Transferred to 82.001 (1978B)	19.411	Educational Exchange-NIS Secondary School Initiative Deleted (2004) Number changed from 82.018 (2000B)
19.102	Educational Exchange-University Lecturers (Professors) and Research Scholars (1970B) Split from 19.100 (1970B) Transferred to 82.002 (1978B)	19.412	Educational Exchange-Postgraduates and Faculty Number changed from 82.026 (2000B) Deleted (2002B)
19.200	Claims Against Foreign Governments (1970B)	19.413	Cultural Exchange (Performing Arts) Deleted (2006) Number changed from 82.030 (2000B)
19.201	Protection of Ships from Foreign Seizure (1970B)	19.414	Cultural Exchange (Visual Arts) Deleted (2005) Number changed from 82.032 (2000B)
19.202	Special Domestic Assignments (1981B)	19.415	Professional Exchange-Annual Open Grant Number changed from 82.033 (2000B) Professional Exchanges-Annual Open Grant (2001B)
19.203	Claims Against Foreign Missions (1984B) Deleted (1990B)	19.416	Exchange-English Teaching Fellows Number changed from 82.034 (2000B) Consolidated into 19.421 (2001B)
19.204	Fishermen's Guranty Fund Number changed from 11.410 (1987B)	19.417	Exchange-English as a Foreign Language (EFL) Fellows Number changed from 82.035 (2000B) Consolidated into 19.421 (2001B)
19.300	Russian, Eurasian, and East European Research and Training (1992B) Program for Study of Eastern Europe and the Independent States of the Former Soviet Union (1995U)	19.418	Educational Exchange-Fulbright American Studies Institutes Educational Exchange, American Studies Institute (2006) Number changed from 82.038 (2000B)
19.400	Educational Exchange-Graduate Students Number changed from 82.001 (2000B)	19.419	Professional Development-Teacher Training (2000B) Deleted (2004)
19.401	Educational Exchange-University Lecturers (Professors) and Research Scholars Number changed from 82.002 (2000B)	19.420	Cooperative Grants (2000B) Deleted (2004)
19.402	International Visitors Program Number changed from 82.004 (2000B)	19.421	Exchange-English Language Fellow Program Consolidated from 19.416, 19.417 (2001B)
19.403	American Council of Young Political Leaders Number changed from 82.006 (2000B)	19.422	Educational Exchange-Teaching Excellence Awards Program (2001B) Deleted (2004)
19.404	Professional Development-International Educators/Administrators Deleted (2004) Consolidated into 19.424 (2004) Number changed from 82.009 (2000B)	19.423	Exchange-English Language Specialist/Speaker Program (2001B)
19.405	College and University Partnerships Program Deleted (2004) Consolidated into 19.424 (2004) Number changed from 82.010 (2000B) Educational Partnerships Program (2003B)	19.424	Educational Partnerships Program (2002B) Deleted (2005) Consolidated from 19.406 (2002B)
19.406	College and University Affiliations Program Number changed from 82.011 (2000B) Educational Partnerships Program (2001U) Consolidated into 19.42 (2002B)	19.425	Benjamin Gilman International Scholarship (2002B)
19.407	Teacher Exchange-New Independent States (NIS) Deleted (2005) Number changed from 82.012 (2000B)	19.430	International Education Training and Research (2008)
19.408	Educational Exchange-Teachers from Secondary and Postsecondary Levels Number changed from 82.013 (2000B) Educational Exchange-Teachers from Secondary and Postsecondary Levels and School Administrators (2001B)	19.431	Educational Exchange-Scholar-in-Residence (U.S. Institutions of Higher Education Host Lecturing Faculty From Abroad) (2005)
19.409	Creative Arts Grants Arts Exchanges on International Issues (2005) Arts Exchanges on International Issues (2005) Number changed from 82.015 (2000B)	19.432	Overseas Educational Advising (2005)
19.410	Educational Exchange-Congress-Bundestag Youth Exchange	19.500	Middle East Partnership Initiative (MEPI) (2004)
		19.510	U.S. Refugee Admissions Program (2004)
		19.511	Overseas Refugee Assistance Programs for East Asia (2005)
		19.517	Overseas Refugee Assistance Programs for Africa (2005)
		19.518	Overseas Refugee Assistance Programs for Western Hemisphere (2005)
		19.519	Overseas Refugee Assistance Program for Near East and South Asia (2005)
		19.520	Overseas Refugee Assistance Programs for Europe (2005)
		19.522	Overseas Refugee Assistance Programs for Strategic Global Priorities (2005)

DEPARTMENT OF TRANSPORTATION

- Coast Guard Marine, Harbor, and Waterfront Services (1967B)
 Marine, Harbor, and Waterfront Services (1969B)
 Deleted (1970B)
 Development Highways and Access Roads for the Appalachian Region (1967B)
 Deleted (1969B)
 Urban Mass Transportation Capital Assistance (1969B)
 Incorporated into 20.500, 20.501 (1970B)
- 20.001 Boating Safety (1970B)
 Transferred to 97.011 (2003B)
- 20.002 Coast Guard Cooperative Marine Sciences Program (1970B)
 Deleted (1986B)
- 20.003 Student Shipboard Opportunities Program (1970B)
 Incorporated into 20.002 (1971B)
- 20.004 Boating Safety-Financial Assistance (1972U)
 Deleted (1980B)
- 20.005 Boating Safety Financial Assistance (1983U)
 Transferred to 97.012 (2003B)
- 20.006 State Access to the Oil Spill Liability Trust Fund (1993B)
 Transferred to 97.013 (2003B)
- 20.007 Bridge Alteration (1993U)
 Transferred to 97.014 (2003B)
- 20.100 Aviation Education (1969B)
- 20.101 Airport Development Program (1965B)
 Airport Development (1969B)
 Federal-Aid Airport Program (1970B)
 Number changed to 20.102 (1970U)
- 20.102 Airport Development Aid Program (1970U)
 Number changed from 20.101 (1970U)
 Deleted (1983B)
- 20.103 Airport Planning Grant Program (1971B)
 Deleted (1983B)
- 20.104 Aviation War Risk Insurance (1971B)
 Deleted (1977U)
- 20.105 Loan Guarantees for Purchase of Aircraft and Spare Parts (1980B)
 Deleted (1983U)
- 20.106 Airport Improvement Program (1983B)
- 20.107 Airway Science (1987B)
- 20.108 Aviation Research Grants (1993B)
- 20.109 Air Transportation Centers of Excellence (1993B)
- 20.200 Federal-Aid Highways-Emergency Relief (1970B)
 Incorporated into 20.205 (1974B)
- 20.201 Forest Highways (1970B)
 Incorporated into 20.205 (1974B)
- 20.202 Highway Beautification (1967B)
 Highway Beautification-Control of Junkyards (1970B)
 Transferred from the Department of Commerce (1970B)
 Split into 20.202, 20.203, 20.204 (1970B)
 Consolidated into 20.214 (1974B)
- 20.203 Highway Beautification-Control of Outdoor Advertising (1970B)
 Split from 20.202 (1970B)
 Consolidated into 20.214 (1974B)
- 20.204 Highway Beautification-Landscaping and Scenic Enhancement (1970B)
 Split from 20.202 (1970B)
 Consolidated into 20.214 (1974B)
- 20.205 Highway Planning and Construction (1967B)
 Transferred from the Department of Commerce (1970B)
 Highway Research, Planning, and Construction (1972B)
 Highway Planning and Construction (1981U)
 Revised (1998U)
- 20.206 Highway Studies (1967B)
 Highway Planning and Research Studies (1970B)
 Highway Planning and Research (1971B)
 Incorporated into 20.205 (1972B)
- 20.207 National Highway Safety Program (1965B)
 Split into 20.207, 20.208, 20.210 (1970B)
 Highway Safety Demonstration Projects (1970B)
 Consolidated into 20.600 (1971B)
- 20.208 Highway Safety Research Fellowships (1970B)
 Split from 20.207 (1970B)
 Consolidated into 20.600 (1971B)
- 20.209 Public Lands Highways (1970B)
 Incorporated into 20.205 (1974B)
- 20.210 State and Community Highway Safety (1970B)
 Split from 20.207 (1970B)
 Consolidated into 20.600 (1971B)
- 20.211 Traffic Operations Program to Increase Capacity and Safety (1970B)
 Incorporated into 20.205 (1972B)
- 20.212 Economic Growth Centers and Development Highway Demonstration Projects (1971U)
 Economic Growth Centers Development-Highways (1972B)
 Deleted (1972U)
- 20.213 Special Bridge Replacement (1971U)
 Federal-Aid Highways-Special Bridge Replacement (1973B)
 Incorporated into 20.205 (1974B)
- 20.214 Highway Beautification-Control of Outdoor Advertising, Control of Junkyards, Landscaping and Scenic Enhancement (1974B)
 Consolidated from 20.202, 20.203, 20.204 (1974B)
 Highway Beautification-Control of Outdoor Advertising, and Control of Junkyards (1977B)
 Deleted (1989B)
- 20.215 Highway Training and Education (1980B)
- 20.216 Highway Educational Grants (1980B)
 Deleted (1985U)
- 20.217 Motor Carrier Safety (1980B)
- 20.218 Motor Carrier Safety Assistance Program (1983U)
 National Motor Carrier Safety (1999B)
- 20.219 National Recreational Trails Program (1993U)
 National Recreational Trails Funding Program (1995B)
 Recreational Trails Program, Revised (1998U)
- 20.222 Highways for LIFE (2006)
- 20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (2007)

20.230	Crash Data Improvement Program (2005)	20.317	Capital Assistance to States - Intercity Passenger Rail Service (2008)
20.231	Performance and Registration Information Systems Management (2006)	20.400	Transportation Planning Information-Environment and Urban Systems (1970B) Deleted (1971B) Reinstated (1971U) Deleted (1972B)
20.232	Commercial Driver License State Programs (2005)	20.500	Urban Mass Transportation Capital Improvement Grants (1970B) Federal Transit Capital Improvement Grants (1992B) Federal Transit-Capital Investment Grants (1999B)
20.233	Border Enforcement Grants (2005)	20.501	Urban Mass Transportation Capital Improvement Loans (1970B) Deleted (1981B)
20.234	Safety Data Improvement Program (2006)	20.502	Urban Mass Transportation Grants for University Research and Training (1970B) Deleted (2005) Incorporated into 20.512 (1984B) Federal Transit Grants for University Research and Training (1992B) Deleted (2000B) Reinstated (2000U)
20.235	Commercial Motor Vehicle Operator Training Grants (2006)	20.503	Urban Mass Transportation Managerial Training Grants (1969B) Deleted (2005) Urban Mass Transportation Managerial Training (1970B) Urban Mass Transportation Managerial Training Grants (1971B) Federal Transit Managerial Training Grants (1992B) Deleted (2000B) Reinstated (2000U)
20.236	Thermal Imaging Inspection System Demonstration Project (2006)	20.504	Urban Mass Transportation Research, Development, and Demonstrations (1969B) Urban Mass Transportation Research, Development, and Demonstration Grants (1971B) Mass Transportation Technology (1974U) Split into 20.504, 20.506 (1974U) Consolidated into 20.512 (1984B)
20.237	Commercial Vehicle Information Systems and Networks (2006) Safety Data Improvement Program (2008)	20.505	Urban Mass Transportation Technical Studies Grants (1969B) Federal Transit Technical Studies Grants (1992B) Federal Transit-Metropolitan Planning Grants (1999B)
20.238	Commercial Drivers License Information System (2007)	20.506	Urban Mass Transportation Demonstration Grants (1974U) Split from 20.504 (1974U) Consolidated into 20.512 (1984B)
20.240	Fuel Tax Evasion-Intergovernmental Enforcement Effort (2006)	20.507	Urban Mass Transportation Capital and Operating Assistance Formula Grants (1975B) Federal Transit Capital and Operating Assistance Formula Grants (1992B) Federal Transit-Formula Grants (1999B)
20.300	High-Speed Ground Transportation (1967B) Transferred from the Department of Commerce (1967B) High-Speed Ground Transportation Research and Development (1970B) Consolidated into 20.304 (1974U)	20.508	Urban Mass Transportation Rail Passenger Service Assistance (1977B) Deleted (1979B)
20.301	Railroad Safety (1971B)	20.509	Public Transportation for Rural and Small Urban Areas (1979U2) Public Transportation for Nonurbanized Areas (1980U1) Formula Grants for Other Than Urbanized Areas (1999B)
20.302	Railroad Research (1973B) Consolidated into 20.304 (1974U)	20.510	Urban Mass Transportation Planning Methods Research and Development (1980B) Consolidated into 20.512 (1984B)
20.303	Grants-in-Aid for Railroad Safety (1974U) Grants-in-Aid for Railroad Safety-State Participation (1975B)	20.511	Human Resource Program (1984B)
20.304	Railroad Research and Development (1974U) Consolidated from 20.300, 20.302 (1974U) Deleted (1977U)	20.512	Urban Mass Transportation Technical Assistance (1984B) Deleted (2005)
20.305	Local Rail Service Continuation Payments (1976B) Local Rail Service Continuation Payments-Regional Program (1977B) Incorporated into 20.308 (1978B)		
20.306	Rail Property Acquisition and Modernization Grant Assistance (1976B) Incorporated into 20.305 (1977B)		
20.307	Transportation Test Center (1975U) Deleted (1983B)		
20.308	Local Rail Service Assistance-National Program (1977B) Local Rail Service Assistance (1978U) Local Rail Freight Assistance (1991U) Deleted (2000B)		
20.309	Railroad Rehabilitation and Improvement-Guarantee of Obligations (1977B) Deleted (1987B)		
20.310	Railroad Rehabilitation and Improvement-Redeemable Preference Shares (1977B) Railroad Rehabilitation and Improvement-Redeemable Preference Shares or Notes (1983U) Deleted (1986B)		
20.311	Railroad Labor/Management Cooperation Program (1987U) Deleted (1988B)		
20.312	High Speed Ground Transportation (1995B) High Speed Ground Transportation-Next Generation High Speed Rail Program (1998B)		
20.313	Railroad Research and Development (2000U)		
20.314	Railroad Development (2006)		
20.315	National Railroad Passenger Corporation Grants (2006)		
20.316	Railroad Rehabilitation and Improvement Financing Program (2007)		

	Consolidated from 20.504, 20.506, 20.510 (1984B)	20.763	Research and Innovative Technology (RIT) Hydrogen Alternative Fuel Life Cycle (2008)
	Federal Transit Technical Assistance (1992B)	20.764	Hydrogen Storage Research and Development (2007)
20.513	Capital Assistance Program for Elderly and Handicapped Persons (1987U)	20.800	Construction-Differential Subsidies (1981U)
	Capital Assistance Program for Elderly Persons and Persons with Disabilities (1992B)		Transferred from 11.500 (1981U)
20.514	Transit Planning and Research (1993B)		Deleted (1990B)
	Public Transportation Research (2006)	20.801	Development and Promotion of Ports and Intermodal Transportation (1981U)
20.515	State Planning and Research (1994B)		Deleted (2008)
	Split from 20.514 (1994B)		Transferred from 11.501 (1981U)
20.516	Job Access-Reverse Commute (2000U)	20.802	Federal Ship Financing Guarantees (1981U)
20.518	Capital and Training Assistance Program for Over-the-Road Bus Accessibility (2000U)		Transferred from 11.502 (1981U)
20.519	Clean Fuels (2006)	20.803	Maritime War Risk Insurance (1981U)
20.521	New Freedom Program (2006)		Transferred from 11.503 (1981U)
20.522	Alternatives Analysis (2006)		Deleted (1985B)
20.600	State and Community Highway Safety (1971B)	20.804	Operating-Differential Subsidies (1981U)
	Consolidated from 20.207, 20.208, 20.210 (1971B)		Deleted (2004)
20.601	Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants (1991U)		Transferred from 11.504 (1981U)
20.602	Motorcycle Helmets and Safety Belt Incentive Grants (1992B)	20.805	Ship Sales (1981U)
	Deleted (1995B)		Deleted (2005)
	Occupant Protection, Reinstated (2000U)		Transferred from 11.505 (1981U)
20.603	Federal Highway Safety Data Improvements Incentive Grants (2000U)	20.806	State Marine Schools (1981U)
20.604	Safety Incentive Grants for Use of Seatbelts (2000U)		State Maritime Schools (2006)
20.605	Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons (2000U)		Transferred from 11.506 (1981U)
20.607	Alcohol Open Container Requirements (2004)	20.807	U.S. Merchant Marine Academy (1981U)
20.608	Minimum Penalties for Repeat Offenders for Driving While Intoxicated (2004)		Transferred from 11.507 (1981U)
20.609	Safety Belt Performance Grants (2006)	20.808	Capital Construction Fund (1981U)
20.610	State Traffic Safety Information System Improvement Grants (2008)		Transferred from 11.508 (1981U)
20.611	Incentive Grant Program to Prohibit Racial Profiling (2006)	20.809	Development and Promotion of Domestic Waterborne Transport Systems (1981U)
20.612	Incentive Grant Program to Increase Motorcyclist Safety (2006)		Transferred from 11.509 (1981U)
20.613	Child Safety and Child Booster Seats Incentive Grants (2006)		Deleted (1985B)
20.614	National Highway Transportation Safety Administration (NHTSA) Discretionary Safety Grants (2006)	20.810	Supplementary Training (1981U)
20.700	Gas Pipeline Safety (1971U)		Transferred from 11.510 (1981U)
	Pipeline Safety Program Base Grants (2008)	20.811	Research and Development Assistance (1981U)
	Pipeline Safety (1987B)		Transferred from 11.511 (1981U)
20.701	University Transportation Centers Program (1992B)		Deleted (1988B)
	Number changed from 20.902 (1992B)	20.812	Construction Reserve Fund (1981U)
20.702	University Research Institutes Program (1993B)		Transferred from 11.512 (1981U)
	Deleted (1999B)	20.813	Maritime Security Fleet Program (1997B)
20.703	Interagency Hazardous Materials Public Sector Training and Planning Grants (1993B)	20.814	Assistance to Small Shipyards (2008)
20.704	RITA Hydrogen (2006)	20.900	Air Transportation-Consumer Affairs (1985B)
20.714	National Pipeline Mapping System (2000U)		Transferred from 26.002 (1985B)
20.720	State Damage Prevention Program Grants (2007)		Transportation-Consumer Affairs (1993U)
20.721	PHMSA Pipeline Safety Program One Call Grant (2008)	20.901	Payments for Essential Air Services (1985B)
20.750	Program of University Research (1973U)		Transferred from 26.003 (1985B)
	Deleted (1975U)	20.902	University Transportation Centers Program (1987U)
20.760	University Transportation Centers (2006)		Number changed to 20.701 (1992B)
20.761	Biobased Transportation Research (2008)		Student Training and Education Program (1993B)
20.762	Research Grants (2006)		Consolidated into 20.907 (1995B)
		20.903	Support Mechanisms for Disadvantaged Businesses (1993B)
		20.904	Disadvantaged Business Enterprises-Bonding Assistance Program (1993U)

Deleted (2005)
 Bonding Assistance Program (1998U)
 20.905 Disadvantaged Business Enterprises-Short Term Lending Program (1993U)
 20.906 Hispanic Serving Institutions-Student Training and Education (1994B)
 Deleted (2004)
 Consolidated into 20.907 (2006)
 Hispanic Serving Institutions-Entrepreneurial Training and Technical Assistance (1998U)
 Split into 20.908 (2000U)
 20.907 Entrepreneurial Training and Technical Assistance Program
 Deleted (2006)
 Consolidated from 20.902 (1995B)
 Historically Black Colleges and Universities-Entrepreneurial Training and Technical Assistance (1999B)
 Split into 20.908 (2000U)
 20.908 Tribal Colleges-Entrepreneurial Training and Technical Assistance
 Deleted (2004)
 Split from 20.906, 20.907 (2000U)
 20.910 Assistance to small and disadvantaged businesses (2007)
 20.920 Transportation Statistics Research Grants (2000U)
 20.930 Payments for Small community Air Service Development (2004)
 20.931 Transportation Planning, Research and Education (2006)
 64.129 Monthly Allowance for Certain Children of Vietnam Veterans (2004)

DEPARTMENT OF THE TREASURY

Narcotics Law Enforcement Training (1967B)
 Deleted (1969B)
 Number changed from 21.052 (2004)
 Number changed from 21.052 (2004)
 21.001 Alcohol, Tobacco, and Firearms-Laboratory Analysis (1970B)
 Number changed to 21.051 (1972U)
 21.002 Assistance in Law Enforcement (1967B)
 Law Enforcement Training Assistance (1969B)
 Alcohol, Tobacco, and Firearms-Training Assistance (1970B)
 Number changed to 21.052 (1972U)
 21.003 Taxpayer Assistance (1969B)
 Tax Information and Training (1970B)
 Taxpayer Service (1981B)
 Tax Information and Education (1972B)
 21.004 Federal Tax Information for State Tax Officials (1972B)
 Incorporated from 21.005 (1987B)
 Exchange of Federal Tax Information With State Tax Agencies (1987B)
 21.005 State Tax Model (1973U)
 Individual Tax Model (1978U)
 Incorporated into 21.004 (1987B)
 21.006 Tax Counseling for the Elderly (1980U1)
 21.007 Availability of Tax Information to Certain Welfare Agencies (1987B)
 Availability of Tax Information to Certain Agencies Administering Needs-Based Programs (1991B)
 Deleted (1999B)
 21.008 Low-Income Taxpayer Clinics (1999B)

21.020 Community Development Financial Institutions Program (1998B)
 21.021 Bank Enterprise Award Program (1998B)
 21.051 Alcohol, Tobacco, and Firearms-Laboratory Analysis (1972U)
 Number changed from 21.001 (1972U)
 Deleted (1990B)
 21.052 Alcohol, Tobacco, and Firearms-Training Assistance (1972U)
 Number changed to 16.012 (2004)
 Number changed from 21.002 (1972U)
 21.053 Gang Resistance Education and Training (2000B)
 Number changed to 16.737 (2004)
 21.100 Secret Service-Training Activities (1970B)
 Transferred to 97.015 (2003B)
 21.200 Antidumping-Countervailing Duties (1971B)
 Antidumping Duties (1975B)
 Split into 21.200, 21.201 (1975B)
 Transferred to 11.106 (1980B)
 21.201 Countervailing Duty on Imports (1975B)
 Split from 21.200 (1975B)
 Transferred to 11.107 (1980B)
 21.300 State and Local Government Fiscal Assistance-General Revenue Sharing (1980B)
 State and Local Government Fiscal Assistance-Revenue Sharing (1985B)
 Deleted (1987B)

ADVISORY COMMISSION ON INTERGOVERNMENTAL RELATIONS

22.001 Intergovernmental Relations Advisory Service (1967B)
 Deleted (1974B)

APPALACHIAN REGIONAL COMMISSION

Regional Economic Development Commissions (1969B)
 Split into individual Commissions (1970B)
 17.807 Transition Assistance Program (2004)
 23.001 Appalachian Regional Development (1969B)
 Split into 23.001, 23.002, 23.003, 23.004, 23.005, 23.006, 23.007, 23.008, 23.009, 23.010, 23.011, 23.012 (1970B)
 23.002 Appalachia-Supplements to Federal Grant-in-Aid (1970B)
 Split from 23.001 (1970B)
 Appalachian Supplements to Federal Grant-in-Aid (Community Development) (1978B)
 Appalachian Supplements to Federal Grant-in-Aid (Area Development), Consolidated from 23.004, 23.005, 23.012, 23.013 (1996B)
 Appalachian Area Development (1999B)
 23.003 Appalachian Development Highway System (1970B)
 Split from 23.001 (1970B)
 23.004 Appalachian Health Demonstrations (1970B)
 Split from 23.001 (1970B)
 Appalachian Health Programs (1976B)
 Consolidated into 23.002 (1996B)
 23.005 Appalachian Housing Fund (1970B)
 Split from 23.001 (1970B)
 Appalachian Housing Planning Loan (1975B)
 Appalachian Housing Planning Loan Fund (1975U)

- Appalachian Housing Project Planning Loan and Site Development and Off-Site Improvement Grant: State Appalachian Housing Programs (1977B)
Appalachian Housing Project Planning Loan, Technical Assistance Grant and Site Development and Off-Site Improvement Grant: State Appalachian Housing Programs (1979B)
Consolidated into 23.002 (1996B)
- 23.006 Appalachian Housing Technical Assistance (1970B)
Split from 23.001 (1970B)
Appalachian State Housing Technical Assistance (1975B)
Appalachian State Housing Technical Assistance Grants (1976U)
Incorporated into 23.005 (1979B)
- 23.007 Appalachian Land Stabilization, Conservation, and Erosion Control (1970B)
Split from 23.001 (1970B)
Deleted (1970U)
- 23.008 Appalachian Local Access Roads (1970B)
Incorporated into 23.002 (2006)
Split from 23.001 (1970B)
- 23.009 Appalachian Local Development District Assistance (1970B)
Split from 23.001 (1970B)
- 23.010 Appalachian Mine Area Restoration (1970B)
Split from 23.001 (1970B)
Deleted (1996B)
- 23.011 Appalachian State Research, Technical Assistance and Demonstration Projects (1970B)
Appalachian Research, Technical Assistance, and Demonstration Projects (2005)
Appalachian Research, Technical Assistance, and Demonstration Projects (2006)
Split from 23.001 (1970B)
Appalachian State Research, Technical Assistance, and Demonstration Projects (1971B)
- 23.012 Appalachian Vocational Education Facilities (1970B)
Split from 23.001 (1970B)
Appalachian Vocational Education Facilities and Operations (1972B)
Appalachian Vocational and Other Education Facilities and Operations (1976B)
Consolidated into 23.002 (1996B)
- 23.013 Appalachian Child Development (1970U)
Consolidated into 23.002 (1996B)
- 23.014 Appalachian Housing Site Development (1972B)
Appalachian Housing Site Development and Off Site Improvement Grants (1975B)
Incorporated into 23.005 (1977B)
- 23.016 Appalachian Vocational and Technical Education Demonstration Grants (1972B)
Incorporated into 23.012 (1976B)
- 23.017 Appalachian Special Transportation Related Planning, Research and Demonstration Program (1975B)
Deleted (1989B)

ATOMIC ENERGY COMMISSION

Construction of Nuclear Power Stations (1967B)
Deleted (1970B)
Licensing of Nuclear Power Stations (1967B)
Deleted (1970B)
Nuclear Education and Training (1967B)

- Split into "Nuclear Education, Training" Programs (1970B)
Research Support in the Biological, Medical, and Health Sciences (1967B)
Deleted (1970B)
Research Support in the Physical Sciences (1967B)
Deleted (1970B)
Surveys and Evaluation of Environmental Radiation Hazards (1967B)
Deleted (1970B)
Training of State Personnel in AEC Regulatory Functions (1967B)
Deleted (1970B)
Transferred to Industry of AEC Generated Technology (1967B)
Deleted (1970B)
- 24.001 Access Permits (1970B)
Transferred to 81.001 (1978B)
- 24.002 Atomic Energy Motion Picture Film Libraries (1970B)
Nuclear Science and Technology-Motion Picture Film Libraries (1974B)
Motion Picture Film Libraries (1975B)
Transferred to 81.002 (1978B)
- 24.003 Granting of Patent Licenses (1970B)
Transferred to 81.003 (1978B)
- 24.004 Nuclear Education and Training-Faculty Research Participation (1970B)
Nuclear Education and Training-Faculty Research Participation-Laboratory Cooperative Program (1973U)
Nuclear Science and Technology-Faculty and Student Participation-Laboratory Cooperative Program (1974B)
Faculty and Student Participation-Laboratory Cooperative Program (1975B)
Transferred to 81.004 (1978B)
- 24.005 Nuclear Education and Training-Faculty-Student Conferences (1970B)
Deleted (1973U)
- 24.006 Nuclear Education and Training-Faculty-Student Experiments (1970B)
Consolidated into 24.032 (1973B)
- 24.007 Nuclear Education and Training-Faculty Training Institutes (1970B)
Nuclear Science and Technology-Faculty Training Institutes and Workshops (1974B)
Faculty Training Institutes, Short Courses, and Workshops on Energy and Environmental Subjects (1975B)
Transferred to 81.007 (1978B)
- 24.008 Nuclear Education and Training-Faculty Workshops (1970B)
Consolidated into 24.032 (1973B)
- 24.009 Nuclear Education and Training-Honors Program for Senior Science Majors (1970B)
Incorporated into 24.019 (1973B)
- 24.010 Nuclear Education and Training-Laboratory Graduate Fellowships (1970B)
Nuclear Education and Training-Laboratory Graduate Participants (1971B)
Nuclear Education and Training-Laboratory Graduate Participants-Laboratory Cooperative Program (1973U)
Incorporated into 24.004 (1974B)
- 24.011 Nuclear Education and Training-Loan of Material to Nonprofit Institutions (1970B)
Nuclear Education and Training-Material for Nonprofit Institutions (1972B)
Nuclear Science and Technology-Research Reactor Materials Assistance (1974B)

	Research Reactor and Materials Assistance (1975B) Transferred to 81.011 (1978B)		Information Services-Exhibits, Public Speakers, Publications, Reference, and Analysis (1975U) Transferred to 81.023 (1978B)
24.012	Nuclear Education and Training-Medical Radioisotope Course (1970B) Deleted (1973B)	24.024	Nuclear Science and Technology-Research and Development (1969B) Research and Development in Nuclear, Solar, Geothermal, and Advanced Energy Systems, and Physical, Biomedical, and Environmental Sciences (1975B) Split into 24.024, 24.035 (1975B) Transferred/Incorporated into 81.037, 81.046, 81.049 (1978B)
24.013	Nuclear Education and Training-Mobile Radioisotope Laboratory (1970B) Deleted (1974U)	24.025	Nuclear Science Lecture Demonstration for Secondary Schools (1970B) Deleted (1973B)
24.014	Nuclear Education and Training-Nuclear Medical Technology Course (1970B) Deleted (1973B)	24.026	Payments in Lieu of Property Taxes (1970B) Transferred to 81.026 (1978B)
24.015	Nuclear Education and Training-Radioisotope Techniques Training Courses (1970B) Deleted (1973B)	24.027	Radiation Control Training Assistance (1969B) Radiation Control-Training Assistance and Advisory Counseling (1970B) Transferred to 77.001 (1975B)
24.016	Nuclear Education and Training-Reactor Fuel Cycle Assistance (1970B) Incorporated into 24.011 (1974B)	24.028	Radiological Safety Assistance (1967B) Radiological Emergency Assistance (1971B) Transferred to 81.028 (1978B)
24.017	Nuclear Education and Training-Special Fellowships in Nuclear Science and Engineering (1970B) Deleted (1973B)	24.029	Uranium Industry Workshops (1970B) Nuclear Industry Seminars (1972U) Transferred to 81.029 (1978B)
24.018	Nuclear Education and Training-Special Fellowships in Radiation Science and Protection (1970B) Nuclear Education and Training-Special Fellowships in Radiation Protection (1971B) Deleted (1973B)	24.030	Work Experience (1970B) Transferred to 81.030 (1978B)
24.019	Nuclear Education and Training-Summer Student Training (1970B) Nuclear Education and Training-Student Research Participation (1972B) Nuclear Education and Training-Student Research Participation-Laboratory Cooperative Program (1973U) Incorporated into 24.004 (1974B)	24.031	Nuclear Materials Safeguards Training (1970U) Incorporated into 24.007 (1973B)
24.020	Nuclear Education and Training-Traineeships for Graduate Students in Nuclear Engineering (1970B) Deleted (1973B) Reinstated (1974B) Nuclear Science and Technology-Traineeships for Graduate Students in Nuclear Engineering, Radiation Protection, and Environmental Engineering (1974B) Nuclear Science and Technology-Traineeships for Graduate Students in Nuclear Engineering, Radiation Protection, and Environmental Sciences and Engineering (1974U) Traineeships for Graduate Students in Nuclear Engineering, Radiation Protection, and Environmental Sciences and Engineering (1975B) Traineeships for Graduate Students in Engineering Studies Related to Energy Conversion, Health and Safety, and Environmental Sciences and Engineering (1976B) Transferred to 81.020 (1978B)	24.032	Nuclear Education and Training-Instructional Activities (1973B) Consolidated from 24.006, 24.008 (1973B) Deleted (1973U)
24.021	Nuclear Education and Training-University Reactor Sharing (1970B) Incorporated into 24.011 (1974B)	24.033	Nuclear Science and Technology-Citizens' Workshops (1974B) Citizens' Workshops (1975B) Transferred to 81.033 (1978B)
24.022	Nuclear Education and Training-Used Nuclear-Type Equipment Grants (1970B) Nuclear Science and Technology-Used Nuclear-Type Equipment Grants (1974B) Used Nuclear-Type Equipment Grants (1975B) Transferred to 81.022 (1978B)	24.034	Research and Development in Fossil Energy (1975B) Transferred from 15.307 (1975B) Transferred/Split into 81.037, 81.046 (1978B)
24.023	Nuclear Science Information (1967B) Nuclear Science and Technology-Literature and Information Services (1970B) Nuclear Science and Technology-Literature, Exhibits, and Other Information Services (1974B) Information Services-Exhibits, Publications, Reference, and Analysis (1975B)	24.035	Research and Development in Energy Conservation (1975B) Split from 24.024 (1975B) Transferred to 81.035 (1978B)
		24.036	Energy-Related Inventions (1976B) Transferred to 81.036 (1978B)
CABINET COMMITTEE ON OPPORTUNITIES FOR SPANISH-SPEAKING PEOPLE			
		25.001	Spanish Surnamed Communities Assistance (1969B) Spanish Surnamed Americans Assistance (1970B) Spanish-Speaking Americans Assistance (1970U) Deleted (1975B)
CIVIL AERONAUTICS BOARD			
			Air Transport-Community and Consumer Services (1969B) Deleted (1970B)
		26.001	Air Carrier Payments (1970B)

Deleted (1981B)

- 26.002 Air Transportation-Consumer Complaints (1970U)
Air Transportation-Consumer Affairs (1972B)
Transferred to 20.900 (1985B)
- 26.003 Payments for Essential Air Services (1979B)
Transferred to 20.901 (1985B)
- 26.004 Public Participation (1979B)
Deleted (1980B)

OFFICE OF PERSONNEL MANAGEMENT

- 27.001 Opportunities for Federal Civil Service Employment (1967B)
Federal Civil Service Employment (1970B)
- 27.002 Employment Assistance for Veterans (1969B)
Federal Employment Assistance for Veterans (1970B)
- 27.003 Stay in School Campaign-Youth Opportunity (1967B)
Youth Employment (1969B)
Federal Employment for Disadvantaged Youth-Part-Time (1970B)
Consolidated from 27.004 (1995B)
Federal Student Temporary Employment Program (1996B)
- 27.004 Youth Summer Employment (1969B)
Federal Employment for Disadvantaged Youth-Summer (1970B)
Consolidated into 27.003 (1995B)
- 27.005 Federal Employment for the Handicapped (1970B)
- 27.006 Federal Summer Employment (1970B)
- 27.007 Personnel Management Assistance to State and Local Governments (1970B)
Deleted (1971U)
- 27.008 Recruiting and Staffing Assistance to State and Local Governments (1970B)
Intergovernmental Cooperation in Recruiting and Examining (1971U)
Deleted (1984U)
- 27.009 Training Assistance to State and Local Governments (1970B)
Deleted (1996U)
- 27.010 State Personnel Merit Systems-Technical Assistance (1971B)
Transferred from 13.605 (1971B)
State and Local Personnel Merit Systems and Other Technical Assistance (1978U)
State and Local Merit Personnel Systems and Other Technical Assistance (1979U2)
Deleted (1981U)
- 27.011 Intergovernmental Mobility of Federal, State, and Local Employees (1971U)
- 27.012 Intergovernmental Personnel Grants (1971U)
Deleted (1981U)
- 27.013 Presidential Management Intern Program (1978B)

COASTAL PLAINS REGIONAL COMMISSION

- 28.001 Coastal Plains Regional Economic Development (1970B)
Deleted (1973B)
Reinstated (1973U)
Deleted (1981U)
- 28.002 Coastal Plains Technical and Planning Assistance (1975B)
Deleted (1981U)
- 28.003 Coastal Plains Supplements to Federal Grant-in-Aid (1975B)

Deleted (1981U)

- 28.004 Coastal Plains Regional Transportation (1977U)
Deleted (1981U)
- 28.005 Coastal Plains Energy Demonstration Projects and Programs (1977U)
Deleted (1981U)
- 28.007 Coastal Plains Health and Nutrition Demonstration Projects (1977U)
Deleted (1981U)
- 28.008 Coastal Plains Education Demonstration Projects (1977U)
Deleted (1981U)

COMMISSION ON CIVIL RIGHTS

- Job Discrimination-Reports (1969B)
Deleted (1970B)
- 29.001 Civil Rights (1967B)
Civil Rights Compliance and Information (1969B)
Clearinghouse Services and Civil Rights Complaints (1970B)
Clearinghouse Services, Civil Rights and Sex Discrimination Complaints (1973B)
Clearinghouse Services, Civil Rights Discrimination Complaints (1981B)

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

- Affirmative Action Program-Equal Employment Opportunity (1967B)
Deleted (1970B)
- Equal Employment Opportunity Grants (1967B)
Deleted (1970B)
- Job Discrimination-Reports (1969B)
Deleted (1970B)
- 30.001 Job Discrimination-Investigation and Conciliation of Complaints (1969B)
Employment Discrimination-Investigation, Conciliation and Litigation of Unlawful Employment Practices (1975B)
Employment Discrimination-Settlement, Investigation, Conciliation and Litigation of Unlawful Employment Practices (1978B)
Employment Discrimination-Title VII of the Civil Rights Act of 1964 (1986B)
- 30.002 Job Discrimination-Special Project Grants (1969B)
Employment Discrimination-Special Project Grants and Contracts (1975B)
Employment Discrimination-Special Project Contracts (1976B)
Employment Discrimination-State and Local Anti-Discrimination Agency Contracts (1976U)
Employment Discrimination-State and Local Fair Employment Practices Agency Contracts (1992B)
- 30.003 Job Discrimination-Technical Assistance to Employers, Unions, and Employment Agencies (1969B)
Job Discrimination-Technical Assistance (1973B)
Employment Discrimination-Technical Assistance (1975B)
Deleted (1978B)
- 30.004 Employment Discrimination-Program for the Development of the Private Bar (1975U)
Deleted (1977B)
- 30.005 Employment Discrimination-Project Grants and Demonstration Grants (1978B)
Employment Discrimination-Private Bar Project and Demonstration Contracts (1979U2)
Employment Discrimination-Private Bar Program (1981U)

- 30.006 Employment Discrimination-Voluntary Compliance by Public Employers (1978U)
Employment Discrimination-Voluntary Compliance by Non-Federal Public Employers (1979U2)
Employment Discrimination-Compliance Program for Non-Federal Public Employers (1980U1)
Deleted (1982B)
- 30.007 Employment Discrimination Project Grants-Tribal Native Americans (1978U)
Deleted (1979U2)
- 30.008 Employment Discrimination-Age Discrimination in Employment (1979U1)
Transferred from 17.300 (1979U1)
Consolidated from 30.012 (1995U)
- 30.009 Employment Discrimination Project Contracts-Indian Tribes (1979U2)
- 30.010 Employment Discrimination-Equal Pay Act (1979U2)
Women's Bureau (2004)
Employment Discrimination Equal Pay Act (2005)
Employment Discrimination Equal Pay Act (2005)
Transferred/Split from 17.303 (1979U2)
Consolidated from 30.012 (1995U)
- 30.011 Employment Discrimination-Title I of The Americans with Disabilities Act, Investigations (1992B)
Employment Discrimination-Title I of The Americans with Disabilities Act (1995B)
Consolidated from 30.012 (1995U)
- 30.012 Employment Discrimination-Title I of The Americans with Disabilities Act, Technical Assistance (1992B)
Consolidated into 30.008, 30.010, 30.011 (1995U)

EXPORT-IMPORT BANK OF THE UNITED STATES

- 31.001 Medium-Term Guarantees to Commercial Banks (1970B)
Split into 31.002, 31.004, 31.005 (1971B)
- 31.002 Commerical Bank Export Credit Loans (1971B)
Split from 31.001 (1971B)
Deleted (1973B)
- 31.003 Export Credit Information Service for U.S. Commercial Banks (1971B)
Deleted (1973B)
- 31.004 Export Financing-Direct Loans and Participation Financing (1971B)
Split from 31.001 (1971B)
Deleted (1973B)
- 31.005 Export Financing-Guarantees and Insurance (1971B)
Split from 31.001 (1971B)
Deleted (1973B)
- 31.006 Export Financing-Preliminary Commitments (1971B)
Deleted (1973B)

FARM CREDIT ADMINISTRATION

- Banks for Agricultural Cooperatives (1967B)
Deleted (1969B)
- Federal Land Banks-Farm Real Estate Loans (1967B)
Deleted (1969B)
- Production Credit Associations-Agricultural Loans (1967B)
Deleted (1969B)

FEDERAL COMMUNICATIONS COMMISSION

- 32.001 Communications-Information, Regulation, and Investigation of Complaints (1969B)
Communications-Information and Investigation of Complaints (1972B)
Communications Information and Assistance and Investigation of Complaints (1983U)

FEDERAL MARITIME COMMISSION

- 33.001 Shipping-Investigation of Complaints (1969B)
Shipping-Dispute Resolution and Investigation of Complaints (2006)
Shipping Investigation of Complaints (1997B)

FEDERAL MEDIATION AND CONCILIATION SERVICE

- 34.001 Labor Mediation and Conciliation (1969B)
- 34.002 Labor-Management Cooperation (1982B)
Deleted Program no longer funded (2008)
Deleted (1992B)
Labor Management Cooperation (1993B)

FEDERAL POWER COMMISSION

- Fish Protection (1967B)
Deleted (1969B)
- Flood Control (1967B)
Deleted (1969B)
- Forestry, Tree Planting (1967B)
Deleted (1969B)
- Recreation Resource Development (1967B)
Deleted (1969B)
- Water Conservation Assistance (1967B)
Deleted (1969B)
- Water Pollution Assistance (1967B)
Deleted (1969B)
- 35.001 Water Resources Development (1967B)
Deleted (1973B)
- 35.002 Beautification of Hydroelectric Projects (1967B)
Wholesale Electric Power Service (1969B)
Deleted (1973B)
- 35.003 Wholesale Natural Gas Service (1967B)
Deleted (1973B)

FEDERAL TRADE COMMISSION

- 36.001 Consumer and Small Business Protection (1967B)
Consumer and Business Protection (1970B)
Fair Competition Counseling and Investigation of Complaints (1972B)
- 36.002 Public Rulemaking Participation (1976B)
Deleted (1981U)

FOREIGN CLAIMS SETTLEMENT COMMISSION OF THE UNITED STATES

- 37.001 Claims Against the Government of Bulgaria (1970B)
Deleted (1971B)
- 37.002 Claims Against the Government of Italy (1970B)
Deleted (1971B)

- 37.003 Claims Against the Government of Rumania (1970B)
Deleted (1971B)
- 37.004 Claims of Civilian American Citizens Interned in Southeast Asia (1970U)
Deleted (1972B)
- 37.005 Claims of Prisoners of War in Vietnam (1970U)
Deleted (1982B)
- 37.006 Claims of Civilian Internees in Vietnam (1973U)
Deleted (1978B)
- 37.007 Second Hungarian Claims Program (1975B)
Deleted (1977U)
- 37.008 German Democratic Republic Claims Program (1977U)
Deleted (1981B)
- 37.009 Second China Claims Program (1979U1)
Deleted (1981U)
- 37.010 Vietnam Claims Program (1981B)
Deleted (1986B)
- 37.011 Second Czechoslovakian Claims Program (1982B)
Deleted (1985B)
- 37.012 Ethiopian Claims Program (1986U)
Deleted (1987U)

FOUR CORNERS REGIONAL COMMISSION

- 38.001 Four Corners Regional Economic Development (1970B)
Deleted (1973B)
Reinstated (1973U)
Deleted (1981U)
- 38.002 Four Corners Technical and Planning Assistance (1975B)
Deleted (1981U)
- 38.003 Four Corners Supplements to Federal Grant-in-Aid (1975B)
Deleted (1981U)
- 38.004 Four Corners Regional Transportation (1977U)
Deleted (1981U)
- 38.005 Four Corners Energy Demonstration Projects and Programs (1977U)
Deleted (1981U)
- 38.007 Four Corners Health and Nutrition Demonstration Projects (1977U)
Deleted (1981U)

GENERAL SERVICES ADMINISTRATION

- Payments to Small Lead and Zinc Mines (1967B)
Deleted (1969B)
- 39.001 Non-Military Procurement from Labor Surplus and Redevelopment Areas (1967B)
Counseling on Doing Business with the Government (1969B)
Business Services (1970B)
- 39.002 Disposal of Federal Surplus Real Property (1965B)
- 39.003 Donation of Federal Surplus Personal Property (1965B)
- 39.004 National Archives Reference Services (1969B)
Transferred to 89.001 (1985B)
- 39.005 Audiovisual Support Services (1969B)
National Audiovisual Center (1970B)
Transferred to 89.002 (1985B)

- 39.006 National Historical Sources Grants (1969B)
National Historical Publications Grants (1971B)
National Historical Publications and Records Grants (1976B)
Transferred to 89.003 (1985B)
- 39.007 Sale of Federal Surplus Personal Property (1965B)
- 39.008 Federal Information Center (1971B)
Consolidated into 39.009 (2000B)
- 39.009 Consumer Product Information Index (1972B)
Consumer Product Information Center (1973B)
Consumer Information Center (1974B)
Federal Consumer Information Center, Consolidated from 39.008 (2000B)
Federal Citizen Information Center (2003B)
- 39.010 Continuity of Government and Management of Resources in Emergencies (1973U)
Transferred from 50.001 (1973U)
Deleted (1976B)
Reinstated (1980B)
State Participation in Federal Telpaks (1980B)
Deleted (1980U2)
- 39.011 Election Reform Payments (2003B)
- 39.012 Public Buildings Service (2008)

GOVERNMENT PRINTING OFFICE

- 40.001 Government Publications-Depository Library Distribution (1969B)
Depository Libraries for Government Publications (2004)
Depository Libraries for Government Publications (1970B)
- 40.002 Government Publications-Sales to the Public (1969B)
Government Publications Sales and Distribution (2004)
Government Publications-Sales and Distribution (1970B)
Government Publications Sales and Distribution (1992B)

INTERSTATE COMMERCE COMMISSION

- 41.001 Federal-State Highway Transportation Cooperative Economic Law Enforcement Agreements (1967B)
Interstate Commerce-Cooperative Enforcement Agreements with States (1969B)
Deleted (1995B)
- 41.002 Interstate Commerce-Investigation of Complaints (1969B)
Deleted (1996B)

LIBRARY OF CONGRESS

- 42.001 Talking Book Services Program (1965B)
Books for the Blind and Physically Handicapped (2005)
Books and Talking Books for the Blind and the Physically Handicapped (1967B)
Books for the Blind and Physically Handicapped (1969B)
- 42.002 Copyright Service (1969B)
- 42.003 Distribution of Library of Congress Catalog Cards (1969B)
Deleted (2005)
Distribution of Library of Congress Cataloging (1976B)
- 42.004 Library of Congress Photoduplication Service (1969B)
Deleted (1978U)
- 42.005 Library of Congress Publications (1967B)
- 42.006 Library of Congress Reference and Bibliographic Services (1969B)

- Deleted (2005)
- Library of Congress Research and Reader Services (1977B)
- Library of Congress Research Services (1979U2)
- Library of Congress Constituent and Collection Services (1990B)
- Library of Congress-Library Services (1996B)
- 42.007 Research and Referral Services in Science and Technology (1969B)
- Deleted (2005)
- Reference and Referral Services in Science and Technology (1977B)
- Reference Services in Science and Technology (1981B)
- Reference Services in Science, Technology and Business (2000B)
- 42.008 Reference and Consultation Services in Preservation, Restoration, and Protection of Library Materials (1971B)
- Deleted (1978U)
- Semiconductor Chip Protection Service (1987B)
- 42.009 Vessel Hull Design Protection Service (2004)

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

- Assistance for University Research and Training Activities (1969B)
- Deleted (1970B)
- 43.001 Space and Aeronautics Education and Information Services (1969B)
- Space Science Education Project (1970B)
- Aerospace Education Services Project (1977B)
- Aerospace Education Services Program (1991U)
- 43.002 Technology Utilization (1967B)
- Transferred to Private Sector of Aerospace Technology (1969B)
- Technology Utilization (1970B)
- Technology Transfer (1978U)
- Technology Utilization (1984B)
- Technology Transfer (1993U)

NATIONAL CREDIT UNION ADMINISTRATION

- 44.001 Federal Credit Union Charter, Examination, and Supervision (1970B)
- Credit Union Charter, Examination, Supervision, and Insurance (1971B)
- 44.002 Community Development Credit Union Revolving Loan Fund
- Community Development Revolving Loan Fund Program for Credit Unions (2005)
- Community Development Revolving Loan Fund Program for Credit Unions (2005)
- Number changed from 13.794 (1987B)
- Community Development Revolving Loan Program for Credit Unions (1988B)

NATIONAL ENDOWMENT FOR THE ARTS

- Grants for Progress in the Arts (1967B)
- Split into 45.001 - 45.009 (1970B)
- Progress in the Humanities (1969B)
- Split into 45.100 - 45.106 (1970B)
- 45.001 Promotion of the Arts-Architecture and Design (1970B)
- Promotion of the Arts-Architecture and Environmental Arts (1971U)
- Promotion of the Arts-Architecture, Planning, and Design (1978B)
- Promotion of the Arts-Design Arts (1979U2)
- Consolidated into 45.024 (1996B)

- 45.002 Promotion of the Arts-Dance (1970B)
- Consolidated into 45.024 (1996B)
- 45.003 Promotion of the Arts-Education (1970B)
- Promotion of the Arts-Artists-in-Schools (1979U2)
- Promotion of the Arts-Artists-in-Education (1981B)
- Promotion of the Arts-Arts in Education (1986U)
- Consolidated into 45.024, 45.025 (1996B)
- 45.004 Promotion of the Arts-Literature (1970B)
- Consolidated into 45.024 (1996B)
- 45.005 Promotion of the Arts-Music (1970B)
- Split into 45.005, 45.014 (1978U)
- Consolidated into 45.024 (1996B)
- 45.006 Promotion of the Arts-Public Media (1970B)
- Promotion of the Arts-Media Arts: Film/Radio/Television (1977B)
- Consolidated into 45.024 (1996B)
- 45.007 Promotion of the Arts-State and Community Operations (1970B)
- Promotion of the Arts-Federal-State Partnership (1973B)
- Promotion of the Arts-State Program/Partnership Coordination (1980B)
- Promotion of the Arts-State Programs/Partnership Coordination (1982B)
- Promotion of the Arts-Public Partnership (1983B)
- Promotion of the Arts-State Programs (1984B)
- Promotion of the Arts-States Program (1989U)
- Promotion of the Arts-State and Regional Program (1992B)
- Consolidated into 45.025 (1996B)
- 45.008 Promotion of the Arts-Theatre (1970B)
- Promotion of the Arts-Theater (1984B)
- Consolidated into 45.024 (1996B)
- 45.009 Promotion of the Arts-Visual Arts (1970B)
- Consolidated into 45.024 (1996B)
- 45.010 Promotion of the Arts-Expansion Arts (1971U)
- Consolidated into 45.024, 45.025 (1996B)
- 45.011 Promotion of the Arts-Special Projects (1972B)
- Split into 45.011, 45.015 (1978U)
- Promotion of the Arts-Internship (Special Projects) (1978U)
- Promotion of the Arts-Special Projects (1979B)
- Split into 45.011, 45.020 (1980B)
- Promotion of the Arts-Inter-Arts (1980U1)
- Promotion of the Arts-Presenting and Commissioning (1992B)
- Promotion of the Arts-Presenting (1995B)
- Consolidated into 45.024, 45.025 (1996B)
- 45.012 Promotion of the Arts-Museums (1972B)
- Consolidated into 45.024 (1996B)
- 45.013 Promotion of the Arts-Challenge Grants (1977U)
- Split into 45.013, 45.022 (1983B)
- Consolidated into 45.024 (1996B)
- 45.014 Promotion of the Arts-Opera and Musical Theatre (1978U)
- Split from 45.005 (1978U)
- Number changed to 45.015 (1979U2)
- Promotion of the Arts-Folk Arts (1980B)
- Promotion of the Arts-Opera and Musical Theatre (1980U1)

- Promotion of the Arts-Opera and Musical Theater (1984B)
- Promotion of the Arts-Opera-Musical Theater (1987B)
- Consolidated into 45.024 (1996B)
- 45.015 Promotion of the Arts-Folk Arts (1978U)
- Split from 45.011 (1978U)
- Number changed to 45.014 (1979U2)
- Promotion of the Arts-Opera and Musical Theater (1980B)
- Promotion of the Arts-Folk Arts (1980U1)
- Promotion of the Arts-Folk and Traditional Arts (1995B)
- Consolidated into 45.024, 45.025 (1996B)
- 45.020 Promotion of the Arts-National Endowment Fellowship Program (1980B)
- Split from 45.011 (1980B)
- Number changed to 45.021 (1980B)
- 45.021 Promotion of the Arts-Policy, Planning and Research (1983B)
- Number changed from 45.020 (1983B)
- Promotion of the Arts-Fellowship Program for Arts Management (1984B)
- Promotion of the Arts-Arts Management Fellowship Program (1985)
- Promotion of the Arts-Arts Administration Fellows Program (1987U)
- Deleted (1996B)
- 45.022 Promotion of the Arts-Advancement Grants
- Split from 45.013 (1983B)
- Consolidated into 45.024 (1996B)
- 45.023 Promotion of the Arts-Test Program of Support for Local Arts Agencies (1983U)
- Promotion of the Arts-Locals Program (1989U)
- Promotion of the Arts-Local Arts Agencies Program (1992U)
- Consolidated into 45.024, 45.025 (1996B)
- 45.024 Promotion of the Arts-Grants to Organizations and Individuals
- Consolidated from 45.001 - 45.006, 45.008 - 45.015, 45.022, 45.023 (1996B)
- 45.025 Promotion of the Arts-Partnership Agreements
- Consolidated from 45.003, 45.007, 45.010, 45.011, 45.015, 45.023 (1996B)
- 45.026 Promotion of the Arts-Leadership Initiatives (1996B)
- Deleted (2004)
- 45.027 Promotion of the Arts-Challenge America Grants (2001B)
- Deleted (2003)

NATIONAL ENDOWMENT FOR THE HUMANITIES

- Consolidated into program 45.024 (2003B)
- 45.100 Promotion of the Humanities-Afro-American Fellowships (1970B)
- Number changed to 45.107 (1970U)
- 45.101 Promotion of the Humanities-Education (1970B)
- Deleted (1971U)
- 45.102 Promotion of the Humanities-Fellowships and Summer Stipends for Younger Humanists (1970B)
- Consolidated into 45.118, 45.121 (1974U)
- 45.103 Promotion of the Humanities-Fellowships-Faculty Development Grants (1970B)
- Incorporated into 45.101 (1971B)
- 45.104 Promotion of the Humanities-Public Programs (1970B)
- Promotion of the Humanities-Film/TV Grants (1973B)
- Promotion of the Humanities-Media Grants (1974B)

- Promotion of the Humanities-Media Humanities Projects (1980B)
- Promotion of the Humanities-Humanities Projects in Media (1983U)
- Consolidated into 45.164 (1996B)
- 45.105 Promotion of the Humanities-Research and Publication (1970B)
- Promotion of the Humanities-Research Grants (1971U)
- Promotion of the Humanities-Research Grants for Junior College Teachers (1974U)
- Promotion of the Humanities-General Research Program (1976B)
- Split into 45.140, 45.141 (1979U1)
- 45.106 Promotion of the Humanities-Senior Fellowships (1970B)
- Consolidated into 45.118 (1974U)
- 45.107 Promotion of the Humanities-Fellowships for Guided Study in Selected Fields (1970U)
- Number changed from 45.100 (1970U)
- Promotion of the Humanities-Fellowships in Selected Fields (1973B)
- Consolidated into 45.118, 45.119 (1974U)
- 45.108 Promotion of the Humanities-Fellowships for Junior College Teachers (1970U)
- Promotion of the Humanities-Fellowships and Summer Stipends for Junior College Teachers (1973B)
- Consolidated into 45.118, 45.119, 45.121 (1974U)
- 45.109 Promotion of the Humanities-Fellowships for the Professions (1971U)
- Promotion of the Humanities-Fellowships and Stipends for the Professions (1976B)
- Split into 45.109, 45.134 (1978B)
- Promotion of the Humanities-Fellowships for Journalists (1982B)
- Deleted (1983B)
- 45.110 Promotion of the Humanities-Education Planning and Development (1971U)
- Promotion of the Humanities-Education Planning, Program, and Development (1973B)
- Promotion of the Humanities-Education Pilot, Program, and Development (1976B)
- Promotion of the Humanities-Education Pilot and Development Grants (1976U)
- Split into 45.138, 45.139 (1979B)
- 45.111 Promotion of the Humanities-Education Projects (1971U)
- Promotion of the Humanities-Higher Education Projects (1976U)
- Split into 45.111, 45.127 (1976U)
- Promotion of the Humanities-Higher Education/Regional and National Grants (1979U2)
- Promotion of the Humanities-Exemplary Projects, Nontraditional Programs, and Teaching Materials (1983B)
- Promotion of the Humanities-Exemplary Projects in Undergraduate and Graduate Education and Nontraditional Programs and Teaching Materials (1983U)
- Promotion of the Humanities-Exemplary Projects and Humanities Programs for Nontraditional Learners (1984B)
- Consolidated into 45.150 (1987U)
- 45.112 Promotion of the Humanities-Museum Personnel Development (1971U)
- Consolidated into 45.125 (1976B)
- 45.113 Promotion of the Humanities-Regional Projects (1971U)
- Promotion of the Humanities-Special Projects (1973B)
- Promotion of the Humanities-Program Development (1974U)
- Promotion of the Humanities-Public Program Development (1975U)
- Promotion of the Humanities-Program Development (1979B)
- Promotion of the Humanities-Special Projects: Program Development (1983U)

- Promotion of the Humanities-Special Projects: Humanities Programs for Adults (1984B)
Promotion of the Humanities-Humanities Projects for Adults (1985B)
Promotion of the Humanities-Public Humanities Projects (1986B)
Promotion of the Humanities-Special Projects (1994U)
Consolidated into 45.164 (1996B)
- 45.114 Promotion of the Humanities-Interpretive Museum Exhibitions (1972U)
Promotion of the Humanities-Interpretive Museum Exhibitions and Community Education Programs (1974B)
Promotion of the Humanities-Interpretive Exhibitions and Community Education Programs (1974U)
Consolidated into 45.125 (1976B)
- 45.115 Promotion of the Humanities-Youth Grants in the Humanities (1972U)
Promotion of the Humanities-Youth Programs (1976U)
Promotion of the Humanities-Youthgrants (1978B)
Split into 45.115, 45.135 (1978B)
Promotion of the Humanities-Youth Grants (1983B)
Promotion of the Humanities-Special Projects: Younger Scholars (1983U)
Promotion of the Humanities-Special Projects: Humanities Programs for Youth/Younger Scholars (1984B)
Promotion of the Humanities-Humanities Projects for Youth/Younger Scholars (1985B)
Promotion of the Humanities-Younger Scholars (1985U)
Deleted (1995B)
- 45.116 Promotion of the Humanities-Summer Seminars for College Teachers (1973U)
Consolidated into 45.163 (1996B)
- 45.117 Promotion of the Humanities-Cultural Institutions (1974U)
Incorporated/Split into 45.125, 45.137 (1979B)
Reinstated/Split from 45.125, 45.137 (1979U1)
Incorporated/Split into 45.125, 45.137 (1980B)
- 45.118 Promotion of the Humanities-Fellowships for Independent Study and Research (1974U)
Consolidated from 45.102, 45.106, 45.107, 45.108 (1974U)
Consolidated into 45.136 (1978U)
- 45.119 Promotion of the Humanities-Fellowships in Residence for College Teachers (1974U)
Consolidated from 45.107, 45.108 (1974U)
Consolidated into 45.136 (1978U)
- 45.120 Promotion of the Humanities-National Humanities Institutes (1974U)
Promotion of the Humanities-Humanities Institutes (1976U)
Incorporated into 45.111 (1979B)
- 45.121 Promotion of the Humanities-Summer Stipends (1974U)
Consolidated from 45.102, 45.108 (1974U)
Consolidated from 45.152 (1992U)
Consolidated into 45.160 (1996B)
- 45.122 Promotion of the Humanities-Fellowships at Centers for Advanced Study (1975B)
Promotion of the Humanities-Regrants/Centers for Advanced Study (1985U)
Promotion of the Humanities-Centers for Advanced Study (1990B)
Promotion of the Humanities-Institutional Programs and Resources (1994U)
Promotion of the Humanities-Institutional Programs (1995U)
Consolidated into 45.161 (1996B)
- 45.123 Promotion of the Humanities-National Board of Consultants (1975B)
Promotion of the Humanities-Consultant Grant Program (1978U)
Consolidated into 45.150 (1983B)
- 45.124 Promotion of the Humanities-Centers of Research (1976B)
Promotion of the Humanities-Research Collections: Development, Access and Use (1977B)
Promotion of the Humanities-Research Collections (1978U)
Promotion of the Humanities-Research Resources (1979U2)
Promotion of the Humanities-Research Resource, Organization and Improvement Grants (1980U2)
Promotion of the Humanities-Research Resources (1981B)
Promotion of the Humanities-Research Resources-Organization and Improvement Grants (1982B)
Promotion of the Humanities-Research Resources/Access Grants (1983U)
Promotion of the Humanities-Reference Works/Access Grants (1985B)
Promotion of the Humanities-Reference Materials/Access Grants (1985U)
Promotion of the Humanities-Reference Materials/Guides (1991U)
Consolidated into 45.145 (1992B)
- 45.125 Promotion of the Humanities-Interpretive Museum Exhibitions and Interpretive Programs (1976B)
Consolidated from 45.112, 45.114 (1976B)
Promotion of the Humanities-Museums and Historical Organizations Program (1977B)
Promotion of the Humanities-Museums and Historical Organizations Humanities Projects (1980B)
Promotion of the Humanities-Humanities Projects in Museums and Historical Organizations (1981B)
Consolidated into 45.164 (1996B)
- 45.126 Promotion of the Humanities-Research Materials (1976B)
Split into 45.145, 45.146, 45.147 (1980B)
- 45.127 Promotion of the Humanities-Elementary and Secondary Education Program (1976U)
Split from 45.111 (1976U)
Promotion of the Humanities-Instruction in Elementary and Secondary Schools (1983B)
Promotion of the Humanities-Humanities Instruction in Elementary and Secondary Schools (1984B)
Consolidated from 45.155 (1994U)
Consolidated into 45.162, 45.163 (1996B)
- 45.128 Promotion of the Humanities-Planning and Analysis (1977B)
Promotion of the Humanities-Planning and Assessment Studies (1977U)
Split into 45.128, 45.131, 45.133 (1977U)
Promotion of the Humanities-Planning and Assessment Studies Program (1980B)
Promotion of the Humanities-Humanities Studies Program (1984U)
Deleted (1985U)
- 45.129 Promotion of the Humanities-State-Based Program (1977B)
Promotion of the Humanities-State Programs (1977U)
Promotion of the Humanities-Federal-State Partnership (1995B)
Promotion of the Humanities-Federal/State Partnership (1997B)
- 45.130 Promotion of the Humanities-Challenge Grant Program (1977U)
Promotion of the Humanities-Challenge Grants Program (1980U2)
Promotion of the Humanities-Challenge Grants (1987B)
- 45.131 Promotion of the Humanities-Special Projects (1977U)
Split from 45.128 (1977U)
Deleted (1981U)

- 45.132 Promotion of the Humanities-Research Publications (1977U)
 Promotion of the Humanities-Research Materials: Publications (1980B)
 Promotion of the Humanities-Research Resources/Publications (1983U)
 Promotion of the Humanities-Basic Research/Publications (1985B)
 Promotion of the Humanities-Texts/Publication Subvention (1985U)
 Promotion of the Humanities-Publication Subvention (1992B)
 Promotion of the Humanities-Subventions (1992U)
 Promotion of the Humanities-Scholarly Publications (1993U)
 Consolidated from 45.146, 45.147 (1993U)
 Consolidated into 45.161 (1996B)
- 45.133 Promotion of the Humanities-Science, Technology and Human Values (1977U)
 Split from 45.128 (1977U)
 Promotion of the Humanities-Humanities, Science, and Technology (1983B)
 Promotion of the Humanities-Basic Research/Humanities, Science and Technology (1984B)
 Promotion of the Humanities-Interpretive Research/Humanities, Science and Technology (1985U)
 Promotion of the Humanities-Interpretive Research/Humanities, Science, and Technology (1992B)
 Consolidated into 45.140 (1993U)
- 45.134 Promotion of the Humanities-Research Development (1978B)
 Split from 45.109 (1978B)
 Promotion of the Humanities-Research Conferences (1979B)
 Promotion of the Humanities-Basic Research/Research Conferences (1984B)
 Promotion of the Humanities-Regrants/Research Conferences (1985U)
 Promotion of the Humanities-Regrants Conferences (1986U)
 Promotion of the Humanities-Regrants/Conferences (1987B)
 Promotion of the Humanities-Conferences (1990B)
 Consolidated into 45.140 (1993U)
- 45.135 Promotion of the Humanities-NEH Youth Projects (1978B)
 Split from 45.115 (1978B)
 Promotion of the Humanities-Youth Projects (1979U1)
 Promotion of the Humanities-Special Projects: Humanities Programs for Youth/Youth Projects (1984B)
 Promotion of the Humanities-Humanities Projects for Youth/Youth Projects (1985B)
 Promotion of the Humanities-Humanities Projects for Youth (1985U)
 Promotion of the Humanities-Projects for Youth, Incorporated into 45.104, 45.125, 45.133, 45.137 (1986B)
- 45.136 Promotion of the Humanities-NEH Fellowships, Categories A, B, and C (1978U)
 Consolidated from 45.118, 45.119 (1978U)
 Promotion of the Humanities-Category A, B, and C (1979U1)
 Split into 45.142, 45.143, 45.144 (1980B)
- 45.137 Promotion of the Humanities-Public Library Program (1979B)
 Split from 45.117 (1979B)
 Promotion of the Humanities-Division of Public Programs, Libraries Humanities Projects (1979U2)
 Promotion of the Humanities-Division of Public Programs, Humanities Projects in Libraries (1982B)
 Promotion of the Humanities-Humanities Projects in Libraries (1983B)
 Promotion of the Humanities-Special Projects: Humanities Projects in Libraries (1984B)
- Promotion of the Humanities-Humanities Projects in Libraries (1985B)
 Promotion of the Humanities-Humanities Projects in Libraries and Archives (1988B)
 Consolidated into 45.164 (1996B)
- 45.138 Promotion of the Humanities-Higher Education Pilot Grants (1979B)
 Split from 45.110 (1979B)
 Promotion of the Humanities-Education Pilot Grants (1979U1)
 Consolidated into 45.150 (1983B)
- 45.139 Promotion of the Humanities-Higher Education Development Grants (1979B)
 Split from 45.110 (1979B)
 Promotion of the Humanities-Education Development Grants (1979U1)
 Promotion of the Humanities-Education Implementation Grants (1979U2)
 Consolidated into 45.150 (1983B)
- 45.140 Promotion of the Humanities-Basic Research (1979U1)
 Split from 45.105 (1979U1)
 Split into 45.140, 45.148 (1980U2)
 Promotion of the Humanities-Project Research (1983U)
 Promotion of the Humanities-Basic Research/Project Research (1984B)
 Promotion of the Humanities-Interpretive Research/Projects (1985U)
 Promotion of the Humanities-Interpretive Research/Collaborative Projects (1990U)
 Promotion of the Humanities-Interpretive Research Program (1993B)
 Consolidated from 45.133, 45.134 (1993U)
 Consolidated into 45.161 (1996B)
- 45.141 Promotion of the Humanities-Regional and Local History (1979U1)
 Split from 45.105 (1979U1)
 Promotion of the Humanities-State, Local, and Regional Studies (1979U2)
 Promotion of the Humanities-Regional Studies (1983B)
 Incorporated into 45.140 (1983U)
- 45.142 Promotion of the Humanities-Fellowships for Independent Study and Research (1980B)
 Split from 45.136 (1980B)
 Promotion of the Humanities-Fellowships for University Teachers (1986B)
 Consolidated into 45.160 (1996B)
- 45.143 Promotion of the Humanities-Fellowships for College Teachers (1980B)
 Split from 45.136 (1980B)
 Promotion of the Humanities-Fellowships for College Teachers (1985U)
 Promotion of the Humanities-Fellowships for College Teachers and Independent Scholars (1986B)
 Consolidated into 45.160 (1996B)
- 45.144 Promotion of the Humanities-Residential Fellowships for College Teachers (1980B)
 Split from 45.136 (1980B)
 Deleted (1982B)
- 45.145 Promotion of the Humanities-Research Materials: Tools and Reference Works (1980B)
 Split from 45.126 (1980B)
 Promotion of the Humanities-Research Materials/Tools and Reference Works (1980U2)
 Promotion of the Humanities-Reference Works/Tools (1983U)
 Promotion of the Humanities-Reference Materials/Tools (1985U)

- Promotion of the Humanities-Reference Materials (1992B)
Consolidated from 45.124 (1992B)
Consolidated into 45.149 (1996B)
- 45.146 Promotion of the Humanities-Research Materials: Editions (1980B)
Split from 45.126 (1980B)
Promotion of the Humanities-Research Materials/Editions (1980U1)
Promotion of the Humanities-Reference Works/Editions (1983U)
Promotion of the Humanities-Texts/Editions (1985U)
Promotion of the Humanities-Editions (1992B)
Consolidated into 45.132 (1993U)
- 45.147 Promotion of the Humanities-Research Materials: Translations (1980B)
Split from 45.126 (1980B)
Promotion of the Humanities-Research Materials/Translations (1980U2)
Promotion of the Humanities-Reference Works/Translations (1983U)
Promotion of the Humanities-Texts/Translations (1985U)
Promotion of the Humanities-Translations (1992B)
Consolidated into 45.132 (1993U)
- 45.148 Promotion of the Humanities-Intercultural Research (1980U2)
Split from 45.140 (1980U2)
Promotion of the Humanities-Intercultural Research Program (1981U)
Promotion of the Humanities-Basic Research/Intercultural Research Program (1984B)
Promotion of the Humanities-Research in Selected Areas/Intercultural Research Program (1985B)
Promotion of the Humanities-Regrants Program/International Research (1985U)
Promotion of the Humanities-International Research (1990B)
Deleted (1994B)
- 45.149 Promotion of the Humanities-Research Resources Conservation and Preservation Grants (1980U2)
Promotion of the Humanities-Research Resources/Preservation Grants (1983U)
Promotion of the Humanities-Office of Preservation (1985B)
Promotion of the Humanities-Division of Preservation and Access (1992B)
- 45.150 Promotion of the Humanities-Central Disciplines in Undergraduate Education (1983B)
Promotion of the Humanities-Higher Education in the Humanities (1987U)
Consolidated from 45.155 (1994U)
Consolidated into 45.162, 45.163 (1996B)
- 45.151 Promotion of the Humanities-Summer Seminars for Secondary School Teachers (1983B)
Consolidated into 45.163 (1996B)
- 45.152 Promotion of the Humanities-Travel to Collections (1983U)
Promotion of the Humanities-Basic Research/Travel to Collections (1984B)
Promotion of the Humanities-Travel to Collections (1985U)
Consolidated into 45.121 (1992U)
- 45.153 Promotion of the Humanities-Regrants Program/Selected Areas (1986B)
Promotion of the Humanities-Selected Areas (1990B)
Deleted (1992B)
- 45.154 NEH/Reader's Digest Teacher-Scholar Program (1988B)
NEH Teacher-Scholar Program (1991B)
Promotion of the Humanities-NEH Teacher-Scholar Program (1991U)
- Promotion of the Humanities-NEH/Reader's Digest Teacher-Scholar Program (1992B)
Promotion of the Humanities-NEH/Teacher-Scholar Program (1993U)
Deleted (1994U)
- 45.155 Foreign Language Education (1991B)
Promotion of the Humanities-Foreign Language Education (1991U)
Consolidated into 45.127, 45.150 (1994U)
- 45.156 Promotion of the Humanities-Study Grants for College and University Teachers (1992B)
Promotion of the Humanities-Study Grants for College Teachers (1993U)
Deleted (1995B)
- 45.157 Promotion of the Humanities-Dissertation Grants (1992U)
Deleted (1996B)
- 45.158 Leadership Opportunity in Science and Humanities Education (1993B)
Promotion of the Humanities-Leadership Opportunity in Science and Humanities Education (1994U)
Promotion of the Humanities-Integrating Undergraduate Education (1995B)
Promotion of the Humanities-Integrating Undergraduate Education (1995U)
Consolidated into 45.162 (1996B)
- 45.160 Promotion of the Humanities-Fellowships and Stipends
Consolidated from 45.121, 45.142, 45.143 (1996B)
- 45.161 Promotion of the Humanities-Collaborative Research
Consolidated from 45.122, 45.132, 45.140 (1996B)
Promotion of the Humanities-Research (1997B)
- 45.162 Promotion of the Humanities-Education Development and Demonstration
Promotion of the Humanities-Teaching and Learning Resources and Curriculum Development (2004)
Consolidated from 45.127, 45.150, 45.158 (1996B)
- 45.163 Promotion of the Humanities-Seminars and Institutes
Promotion of the Humanities-Professional Development (2004)
Consolidated from 45.116, 45.127, 45.150, 45.151 (1996B)
- 45.164 Promotion of the Humanities-Public Programs
Consolidated from 45.104, 45.113, 45.125, 45.137 (1996B)
- 45.166 Promotion of the Humanities-Extending the Reach Grants to Underserved Areas (2000B)
Deleted (2003)
Consolidated into programs 45.164 and 45.149 (2003B)
- 45.167 Promotion of the Humanities-Extending the Reach Grants to Presidentially-Designated Minority Institutions (2000B)
Deleted (2004)
- 45.168 Promotion of the Humanities-We the People (2004)
- 45.169 Promotion of the Humanities-Digital Humanities Initiative (2006)
Promotion of the Humanities-Office of Digital Humanities (2008)

FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES

45.201 Arts and Artifacts Idemnity (1976U)

INSTITUTE OF MUSEUM AND LIBRARY SERVICES

45.301 Institute of Museum Services (1982B)
Museum for America Grants (2004)
Museums for America (2006)
Transferred from 84.115 (1982B)

- Institute of Museum and Library Services (1997B)
Split into 45.302, 45.303, 45.304, 45.305, 45.306 (1998B)
Institute of Museum and Library Services-General Operating Support (1998B)
Institute of Museum and Library Services (2000B)
- 45.302 Museum Assessment Program
Split from 45.301 (1998B)
- 45.303 Conservation Project Support
Split from 45.301 (1998B)
- 45.304 Conservation Assessment Program
Split from 45.301 (1998B)
- 45.305 Professional Services Program
Split from 45.301 (1998B)
Consolidated into 45.312 (2001B)
- 45.306 Museum Leadership Initiatives
Split from 45.301 (1998B)
Consolidated into 45.312 (2001B)
- 45.307 21st Century Museum Professionals (2005)
- 45.308 Native American/Native Hawaiian Museum Services Program (2005)
- 45.309 Museum Grants for African American History and Culture (2006)
- 45.310 State Library Program (1998B)
Grants to States (2006)
- 45.311 Native American Library Services (1998B)
Native American and Native Hawaiian Library Services (2004)
- 45.312 Institute of Museum and Library Services-National Leadership Grants (1998B)
National Leadership Grants (2004)
- 45.313 Institute of Museum and Library Services-Recruiting and Educating Librarians for the 21st Century (2003B)
Librarians for the 21st Century (2004)
Librarians for the 21st Century (2004)
Laura Bush 21st Century Librarian Program (2006)
- NATIONAL LABOR RELATIONS BOARD**
- 46.001 Labor-Management Relations (1969B)
- NATIONAL SCIENCE FOUNDATION**
- Science Research Support Activities (1969B)
Deleted (1970B)
- 47.001 Antarctic Research (1970B)
Polar Research (1970U)
Polar Research Programs (1971B)
Consolidated into 47.050 (1976B)
- 47.002 Biological Sciences Research Project Support (1970B)
Consolidated into 47.047 (1975B)
- 47.003 Computers Activities in Education and Research (1969B)
Computers-Research and Educational Activities (1970B)
Computing Activities in Education and Research (1971B)
Computing Activities (1973B)
Computer Research (1974B)
Consolidated into 47.047 (1975B)
- 47.004 Departmental Science Development (1970B)
Deleted (1971B)
- 47.005 Graduate Education in Science (1967B)
Doctoral Dissertation Research in the Social Sciences (1970B)
Doctoral Dissertation Research (1971B)
Consolidated into 47.047 (1975B)
- 47.006 Engineering Sciences Research Initiation Grants (1970B)
Engineering Research Initiation Grants (1973B)
Consolidated into 47.047 (1975B)
- 47.007 Engineering Sciences Research Project Support (1970B)
Engineering Research Project Support (1973B)
Consolidated into 47.047 (1975B)
- 47.008 Environmental Sciences Research Project Support (1970B)
Consolidated into 47.047 (1975B)
- 47.009 Fellowships and Traineeship Program (1970B)
Graduate Fellowship Programs (1973B)
Graduate Student Support (1974B)
Science Manpower Improvement (1976B)
Scientific Personnel Improvement (1978B)
Graduate Research Fellowships (1983B)
Consolidated into 47.076 (1992B)
- 47.010 Graduate Instructional Program Development (1970B)
Incorporated into 47.032, 47.033 (1973B)
- 47.011 Graduate Science Facilities (1970B)
Deleted (1971B)
- 47.012 Institutional Support in Science (1967B)
Institutional Grants for Science (1970B)
Deleted (1975U)
- 47.013 Interdisciplinary Research Relevant to Problems of Our Society (1970B)
Deleted (1972B)
- 47.014 International Cooperative Science Activities (1969B)
Consolidated into 47.053 (1977B)
- 47.015 International Travel (1970B)
Incorporated into 47.014 (1975B)
- 47.016 Scientific Research Grants (1967B)
Science Research Support Activities (1969B)
Mathematical and Physical Sciences Research Project Support (1970B)
Split into 47.016, 47.017 (1970B)
Consolidated into 47.047 (1975B)
- 47.017 National and Special Research Programs (1970B)
Split from 47.016 (1970B)
Global Atmospheric Research Program (1974B)
Consolidated into 47.050 (1976B)
- 47.018 Oceanography-Basic Research Grants (1970B)
Oceanography-Scientific Research Project Support (1971B)
Incorporated into 47.008 (1972B)
- 47.019 Pre-College Education in Science (1967B)
Pre-College Instructional Personnel Development (1970B)
Instructional Improvement Implementation-Pre-College (1973B)
Consolidated into 47.048 (1975B)
- 47.020 Pre-College Instructional Program Development (1970B)

	Science Education Materials and Methods Development Pre-College (1973B)		Consolidated into 47.048 (1975B)
	Science Education Materials and Instruction Development (Pre-College) (1973U)	47.034	Undergraduate Student Development (1970B)
	Science Education Materials and Instruction Development-Pre-College (1974B)		Split from 47.032 (1970B)
	Consolidated into 47.048 (1975B)		Student Oriented Programs (1973B)
47.021	Pre-College Student Development (1970B)		Consolidated into 47.048 (1975B)
	Deleted (1971B)	47.035	University Science Development (1970B)
47.022	Sea Grant Program (1969B)		Deleted (1971B)
	Sea Grant Institutional Support (1970B)	47.036	Intergovernmental Science Programs (1970U)
	Split into 47.022, 47.023 (1970B)		Intergovernmental Science and Research Utilization (1973B)
	Transferred to 11.417 (1971B)		Intergovernmental Science (1975B)
47.023	Sea Grant Project Support (1970B)		Intergovernmental Program (1976B)
	Split from 47.022 (1970B)		Intergovernmental Programs (1978B)
	Transferred to 11.418 (1971B)		Intergovernmental Program (1979B)
47.024	Science Information Activities-System Development and Improvement (1970B)		Intergovernmental Science and Technology Grants (1981B)
	Science Information Activities-Information Systems; Data Systems (1973B)		Intergovernmental Science and Technology Program (1981U)
	Science Information Activities-National Information Systems; User Support (1974B)		Intergovernmental and Public Service Science and Technology Program (1982B)
	Science Information Activities (1975B)		Intergovernmental Science and Technology Programs (1983B)
	Consolidated into 47.053 (1977B)		Deleted (1985U)
47.025	Science Information Research (1970B)	47.037	International Decade of Ocean Exploration (1970U)
	Incorporated into 47.024 (1975B)		Consolidated into 47.050 (1976B)
47.026	Science Information Activities (1969B)	47.038	Public Understanding of Science Program (1971B)
	Science Information Services and Publications (1970B)		Science and Society Program (1976B)
	Science Information Activities-Publications (1973B)		Science Education Communication Grants (1981B)
	Incorporated into 47.024 (1974B)		Consolidated/Split into 47.038, 47.058 (1981B)
47.027	Science Planning and Policy Studies (1969B)		Deleted (1982B)
	University Science Planning and Policy Program (1971B)	47.039	Scientific Activities (Special Foreign Currency Program) (1971B)
	Science Policy Research (1972B)		Deleted (1975B)
	Incorporated into 47.029 (1973B)	47.040	Materials Research Project Support (1972B)
47.028	Scientific Conference Grants (1970B)		Consolidated into 47.047 (1975B)
	Consolidated into 47.047 (1975B)	47.041	Research Applied to National Needs (1973U)
47.029	Social Sciences Research Project Support (1970B)		Applied Science and Research Applications (1978B)
	Consolidated into 47.047 (1975B)		Engineering and Applied Science (1979U2)
47.030	Special Computer Services for Research and Science Education (1970B)		Engineering Grants (1981B)
	Deleted (1971B)		Consolidated/Split into 47.041, 47.058 (1981B)
47.031	Specialized Research Facilities and Equipment (1970B)	47.042	Experimental Research and Development Incentives Program (1973U)
	Deleted (1972B)		Incorporated into 47.041 (1976B)
47.032	Undergraduate Education in Science (1967B)	47.043	National Research and Development Assessment Program (1973U)
	Undergraduate Instructional Personnel Development (1970B)		Number changed to 47.052 (1976B)
	Split into 47.032, 47.033, 47.034 (1970B)	47.044	Institutional Grants for Research Management Improvement (1973U)
	Instructional Improvement Implementation-Higher Education (1973B)		Deleted (1975B)
	Consolidated into 47.048 (1975B)	47.045	Technological Innovation in Education (1973U)
47.033	Undergraduate Instructional Program Development (1970B)		Consolidated into 47.048 (1975B)
	Split from 47.032 (1970B)	47.046	Science Education-Problem Assessment and Experimental Projects (1974B)
	Science Education Materials and Methods Development-Higher Education (1973B)		Consolidated into 47.048 (1975B)
	Science Education Materials and Instruction Development (Higher Education) (1973U)	47.047	Scientific Research Project Support (1975B)
	Science Education Materials and Instruction Development-Higher Education (1974B)		Consolidated from 47.002, 47.003, 47.005, 47.006, 47.007, 47.008, 47.016, 47.028, 47.029, 47.040 (1975B)
			Split into 47.049, 47.050, 47.051 (1976B)
		47.048	Science Education Improvement (1975B)
			Consolidated from 47.019, 47.020, 47.032, 47.033, 47.034, 47.045, 47.046 (1975B)

- Science Education Research and Development and Resources Improvement (1977B)
Science Education Development and Research and Resources Improvement (1980B)
Deleted (1982B)
- 47.049 Mathematical and Physical Sciences and Engineering (1976B)
Split from 47.047 (1976B)
Mathematical and Physical Sciences (1979U2)
- 47.050 Astronomical, Atmospheric, Earth and Ocean Sciences (1976B)
Consolidated/Split from 47.001, 47.017, 47.037, 47.047 (1976B)
- 47.051 Biological, Behavioral, and Social Sciences (1976B)
Split from 47.047 (1976B)
Split into 47.074, 47.075 (1992B)
- 47.052 Science and Technology Policy Research and Analysis (1976B)
Number changed from 47.043 (1976B)
Consolidated into 47.053 (1977B)
- 47.053 Scientific, Technological, and International Affairs (1977B)
Consolidated from 47.014, 47.024, 47.052 (1977B)
Consolidated into 47.075 (1992B)
- 47.054 Industry/University Cooperative Research (1980B)
Incorporated into 47.053 (1981B)
- 47.055 Two-Year and Four-Year College Research Instrumentation (1980B)
Deleted (1984B)
- 47.056 Research Initiation in Minority Institutions (1980B)
Research Improvement in Minority Institutions (1981B)
Number changed to 47.060 (1982B)
- 47.057 Minority Research Initiation (1981B)
Deleted (1985B)
- 47.058 Public Service Science and Technology (1981B)
Consolidated from 47.038, 47.041 (1981B)
Deleted (1982B)
- 47.059 Visiting Professorships for Women in Science and Engineering (1982B)
Visiting Professorships for Women (1983B)
Deleted (1985B)
- 47.060 Research Improvement in Minority Institutions (1982B)
Number changed from 47.056 (1982B)
Deleted (1985B)
- 47.061 Precollege Science and Mathematics Teacher Development and Incentives (1983U)
Consolidated into 47.063 (1984B)
- 47.062 Precollege Science and Mathematics Materials Development (1983U)
Consolidated into 47.063 (1984B)
- 47.063 Precollege Science and Mathematics Education (1984B)
Consolidated from 47.061, 47.062 (1984B)
Deleted (1985B)
- 47.064 College Science Instrumentation Program (1984U)
Incorporated into 47.071 (1987U)
- 47.065 Advanced Scientific Computing Resources (1984U)
Deleted (1986U)
- 47.066 Teacher Enhancement and Informal Science Education (1985B)
Teacher Preparation and Enhancement (1986B)
Consolidated into 47.076 (1992B)
- 47.067 Materials Development and Research (1985B)
Materials Development, Research, and Informal Science Education (1986B)
Materials Development and Informal Science Education (1987B)
Materials Development, Research, and Informal Science Education (1988B)
Consolidated into 47.076 (1992B)
- 47.068 Studies and Program Assessment (1985B)
Research, Studies, and Program Assessment (1987B)
Studies and Program Assessment (1988B)
Studies, Evaluation and Dissemination (1991B)
Consolidated into 47.076 (1992B)
- 47.069 Research Initiation and Improvement (1985B)
Human Resource Development (1991B)
Consolidated into 47.076 (1992B)
- 47.070 Computer and Information Science and Engineering (2004)
- 47.071 Undergraduate Science, Mathematics, and Engineering Education (1987U)
Undergraduate Science, Engineering, and Mathematics Education (1988B)
Consolidated into 47.076 (1992B)
- 47.072 Young Scholars (1987U)
Consolidated into 47.076 (1992B)
- 47.073 Science and Technology Centers (1989B)
Deleted (1996B)
- 47.074 Biological Sciences (1992B)
Split from 47.051 (1992B)
- 47.075 Social, Behavioral, and Economic Sciences (1992B)
Consolidated from 47.051, 47.053 (1992B)
- 47.076 Education and Human Resources (1992B)
Consolidated from 47.009, 47.066, 47.067, 47.068, 47.069, 47.071, 47.072 (1992B)
- 47.077 Academic Research Facilities and Instrumentation (1993B)
Academic Research Infrastructure (1997B)
Deleted (1999B)
- 47.078 Polar Programs (1997B)
- 47.079 International Science and Engineering (OISE) (2005)
- 47.080 Office of Cyberinfrastructure (2008)
- 47.081 Office of Experimental Program to Stimulate Competitive Research (2008)
- NEW ENGLAND REGIONAL COMMISSION**
- 48.001 New England Regional Economic Development (1970B)
Deleted (1973B)
Reinstated (1973U)
Deleted (1981U)
- 48.002 New England Technical and Planning Assistance (1975B)
Deleted (1981U)
- 48.003 New England Supplements to Federal Grant-in-Aid (1975B)
Deleted (1981U)
- 48.004 New England Regional Transportation (1977U)
Deleted (1981U)
- 48.005 New England Energy Demonstration Projects and Programs (1977U)
Deleted (1981U)

COMMUNITY SERVICES ADMINISTRATION

- Head Start (1967B)
- Child Development-Head Start (1970B)
- Transferred to the Department of Health Education, Welfare (1970B)
- Establishment of Job Corps Centers (1965B)
- Job Corps (1967B)
- Transferred to the Department of Labor (1970B)
- Upward Bound (1967B)
- Transferred to the Department of Health Education, Welfare (1970B)
- 49.001 Alcoholic Counseling and Recovery (1970B)
Deleted (1971U)
- 49.002 Community Action Program (1965B)
Community Action Operations (1970B)
Community Action (1971B)
Deleted (1973B)
Reinstated (1975B)
Split into 49.002, 49.016, 49.018 (1980U1)
Deleted (1981U)
- 49.003 Neighborhood Health Centers (1967B)
Comprehensive Health Centers (1969B)
Comprehensive Health Services (1970B)
Deleted (1973B)
- 49.004 Drug Rehabilitation (1970B)
Deleted (1973B)
- 49.005 Emergency Food and Medical Services (1969B)
Deleted (1971U)
Reinstated (1972B)
Deleted (1973B)
Reinstated (1975U)
Community Food and Nutrition (1975U)
Deleted (1981U)
- 49.006 Family Planning (1969B)
Deleted (1973B)
- 49.007 Federal Information Exchange System (1969B)
Deleted (1972B)
- 49.008 Legal Services (1967B)
Deleted (1973B)
Reinstated (1975B)
Deleted (1976B)
- 49.009 Community Action Program-Assistance for Migrant and Seasonal Farm Workers (1965B)
Migrant and Seasonal Farmworkers Assistance (1969B)
Transferred to (1972B)
- 49.010 Senior Opportunities and Services (1969B)
Older Persons Opportunities and Services (1970B)
Deleted (1973B)
Reinstated (1975B)
Deleted (1981U)
- 49.011 Special Impact (1970B)
Community Economic Development (1972U)
Deleted (1973B)

Reinstated (1975B)

Deleted (1981U)

- 49.012 Vista-Volunteers in Service to America-Opportunities for Individuals to Assist in the War on Poverty (1965B)
Volunteers in Service to America (1970B)
Deleted (1971U)
- 49.013 Economic Opportunity-Technical Assistance and Demonstrations (1972U)
Deleted (1973B)
Reinstated (1975B)
State Economic Opportunity Offices (1975B)
Deleted (1981U)
- 49.014 Emergency Energy Conservation Services (1975U)
Deleted (1981B)
- 49.015 Summer Youth Recreation Program (1976U)
Summer Youth Recreation (1977B)
Deleted (1981B)
- 49.016 National Youth Sports Program (1980U1)
Split from 49.002 (1980U1)
Deleted (1981U)
- 49.017 Rural Development Loan Fund (1980U1)
Reinstated , previously under the Department of Agriculture (1980U1)
Deleted (1981U)
- 49.018 Housing and Community Development (1980U1)
Split from 49.002 (1980U1)
Deleted (1981U)

OFFICE OF EMERGENCY PREPAREDNESS

- Emergency Management of Resources (1967B)
Incorporated into 50.001 (1970B)
- 50.001 Continuity of Government (1969B)
Continuity of Government and Management of Resources in Emergencies (1970B)
Continuity of Government in Emergencies (1970U)
Continuity of Government and Management of Resources in Emergencies (1971B)
Transferred to 39.010 (1973U)
- 50.002 Federal Disaster Relief (1967B)
Disaster Relief to States (1970B)
Disaster Assistance (1971B)
Transferred to 14.701 (1973U)
- 50.003 State Plans for Disaster Assistance to Individuals (1970B)
State Disaster Plans and Programs (1971B)
Transferred to 14.702 (1973U)
- 50.004 Federal-State Relations (1969B)
Telecommunications Management (1970B)
Deleted (1970U)

OFFICE OF INTERGOVERNMENTAL RELATIONS

- 51.001 Intergovernmental Relations and Liaison (1970B)
Deleted (1973B)

OZARKS REGIONAL ACTION PLANNING COMMISSION

- 52.001 Ozarks Regional Economic Development (1970B)
Deleted (1973B)
Reinstated (1973U)
Deleted (1981U)
- 52.002 Ozarks Technical and Planning Assistance (1975B)
Deleted (1981U)
- 52.003 Ozarks Supplements to Federal Grant-in-Aid (1975B)
Deleted (1981U)
- 52.004 Ozarks Regional Transportation (1977U)
Deleted (1981U)
- 52.005 Ozarks Energy Demonstration Projects and Programs (1977U)
Deleted (1981U)
- 52.007 Ozarks Health and Nutrition Demonstration Projects (1977U)
Deleted (1981U)

PRESIDENT'S COMMITTEE ON CONSUMER INTERESTS

- 52.500 Consumer Affairs (1969B)
Transferred to 69.001 (1971B)

PRESIDENT'S COMMITTEE ON EQUAL OPPORTUNITY IN HOUSING

- Equal Opportunity in Housing (1967B)
Transferred to the Department of Housing, Urban Development (1969B)

PRESIDENT'S COMMITTEE ON EMPLOYMENT OF PEOPLE WITH DISABILITIES

- 53.001 Handicapped Employment Promotion (1969B)
Transferred to 17.72 (2002B)

PRESIDENT'S COMMITTEE ON MENTAL RETARDATION

- 54.001 Mental Retardation Evaluation (1970B)
Transferred to 13.613 (1974B)

PRESIDENT'S COUNCIL ON PHYSICAL FITNESS AND SPORTS

- 55.001 Governors' Councils on Physical Fitness (1970B)
Transferred to 13.615 (1974B)
- 55.002 National Summer Youth Sports Program (1970B)
Deleted (1972B)
- 55.003 Physical Fitness Programs (1967B)
Physical Fitness and Sports (1969B)
Physical Fitness and Sports Information (1970B)
Transferred to 13.616 (1974B)
- 55.004 Physical Fitness Clinics (1970B)
Transferred to 13.617 (1974B)
- 55.005 Physical Fitness Demonstration Center Schools (1970B)
Transferred to 13.618 (1974B)
- 55.006 Physical Fitness Program Development (1970B)
Transferred to 13.619 (1974B)
- 55.007 Presidential Physical Fitness Award (1970B)
Transferred to 13.620 (1974B)

- 55.008 Health-Exercise Symposia (1971B)
Transferred to 13.621 (1974B)
- 55.009 Presidential Sports Award (1973U)
Transferred to 13.622 (1974B)

PRESIDENT'S COUNCIL ON YOUTH OPPORTUNITY

- 56.001 Youth Opportunity (1969B)
Transferred/Split into HHS, Labor programs (1971B)

RAILROAD RETIREMENT BOARD

- 57.001 Social Insurance Program for Railroad Workers (1965B)
Social Insurance for Railroad Workers (1970B)
- 57.002 Benefits for Milwaukee Railroad Workers (1980U2)
Deleted (1985U)
- 57.003 Benefits for Conrail Employees (1983B)
Deleted (1988B)
- 57.004 Benefits for Rock Island Railroad Employees (1983U)
Deleted (1984U)

SECURITIES AND EXCHANGE COMMISSION

- 58.001 Investor Protection (1969B)
Securities-Investigation of Complaints and SEC Information (1972B)

SMALL BUSINESS ADMINISTRATION

- 59.001 Displaced Small Business Loans (1969B)
Displaced Business Loans (1970B)
Deleted (1981U)
- 59.002 Economic Injury Disaster Loans (1970B)
Deleted (2008)
Reinstated (1984B)
Consolidated into 59.008 (1989B)
Reinstated (1989U)
- 59.003 Economic Opportunity Loans for Small Businesses (1965B)
Loans for Small Businesses (1983B)
Deleted (1997U)
- 59.004 Lease Guarantees for Small Businesses (1969B)
Deleted (1977B)
- 59.005 Management Assistance to Small Businesses (1970B)
Internet-Based Technical Assistance (2005)
Internet-Based Technical Assistance (2005)
Deleted (2008)
Business Development Assistance to Small Business (1970B)
- 59.006 Minority Business Development (1970B)
8(a) Business Development Program (2006)
Minority Business Development-Procurement Assistance (1971B)
Minority Business Development (1991B)
8(a) Business Development (1999B)
- 59.007 Minority Enterprise-Technical Assistance (1970B)
7(i) Technical Assistance (2004)
7(j) Technical Assistance (2005)
7(j) Technical Assistance (2005)

7(j) Technical Assistance (2005)	59.024	Water Pollution Control Loans (1975B)	
Management and Technical Assistance for Disadvantaged Businessmen-Research and Demonstration Grants (1971B)		Deleted (1981U)	
Management and Technical Assistance for Disadvantaged Businessmen (1973B)	59.025	Air Pollution Control Loans (1975B)	
Management and Technical Assistance for Disadvantaged Businesses (1983B)		Deleted (1981U)	
Management and Technical Assistance for Socially and Economically Disadvantaged Businesses (1988B)	59.026	Service Corps of Retired Executives and Active Corps of Executives (1976B)	
Management and Technical Assistance (2001B)		Service Corps of Retired Executives (2008)	
		Transferred from 72.006 (1976B)	
59.008		Service Corps of Retired Executives Association (1988B)	
Disaster Loans (1967B)	59.027	Economic Dislocation Loans (1978B)	
Disaster Assistance Loans (2007)		Deleted (1981U)	
Physical Disaster Loans (1970B)	59.028	Regulatory Loans (1979B)	
Consolidated from 59.002 (1989B)		Deleted (1981U)	
59.009		Disaster Assistance to Nonagricultural Businesses (Major Source of Employment) (1979U1)	
Management and Technical Assistance (1965B)		Incorporated into 59.008 (1982B)	
Procurement and Management Assistance to Small Businesses (1967B)	59.030	Small Business Energy Loans (1979B)	
Procurement Assistance to Small Businesses (1970B)		Consolidated from 59.031 (1989B)	
Procurement and Technical Assistance to Small Businesses (1976U)		Incorporated into 59.012 (1990B)	
Procurement Assistance to Small Businesses (1985B)	59.031	Small Business Pollution Control Financing Guarantee (1979B)	
59.010		Consolidated into 59.030 (1989B)	
Product Disaster Loans (1970B)	59.032	Office of Women's Business Enterprise (1980U1)	
Deleted (1982B)		Office of Women's Business Ownership (1983B)	
59.011		Deleted (1968B)	
Small Business Investment Company Program (1965B)	59.033	Small Business and Capital Ownership Development (1980U1)	
Small Business Investment Companies (1970B)		Minority Small Business and Capital Ownership Development (1981B)	
59.012		Incorporated into 59.007 (1987B)	
Small Business Financial Assistance Program (1965B)	59.034	Small Business Economic Research (1980U1)	
7(a) Loan Guarantee (2007)		Deleted (1983B)	
7(a) Loan Guarantees (2008)	59.035	Procurement Automated Source System (1980U1)	
Small Business Loans (1970B)		Incorporated from 59.019 (1980U1)	
59.013		Deleted (1998B)	
Loans to State and Local Development Companies (1965B)	59.036	Certified Development Company Loans (1981U)	
State and Local Development Company Loans (1969B)		Certified Development Company Loans (503 Loans) (1986U)	
Local Development Company Loans (1991B)		Deleted (1989B)	
Deleted (1998U)	59.037	Small Business Development Center (1981U)	
59.014		Small Business Development Centers (2008)	
Coal Mine Health and Safety Loans (1971B)	59.038	Veterans Loan Program (1984B)	
Mine Safety and Health Loans (1978B)		Deleted (1997U)	
Deleted (1981U)	59.039	Currency Devaluation Disaster Loans (1984U)	
59.015		Currency Fluctuation Economic Injury Loans (1985B)	
Trade Adjustment Loans (1971B)		Deleted (1986U)	
Deleted (1971U)	59.040	Federal Action Disaster Loans (1984U)	
59.016		Federal Action Economic Injury Loans (1985B)	
Bond Guarantees for Surety Companies (1971U)		Deleted (1986U)	
Surety Bond Guarantees (2008)	59.041	Certified Development Company Loans (504 Loans) (1986U)	
59.017		504 Certified Development Loans (2008)	
Meat and Poultry Inspection Loans (1972B)	59.042	Business Loans for 8(a) Program Participants (1990B)	
Consumer Protection Loans (1978B)		Deleted (1997U)	
Deleted (1981U)	59.043	Women's Business Ownership Assistance (1990B)	
59.018		59.044	Veterans Entrepreneurial Training Assistance (1990B)
Occupational Safety and Health Loans (1972B)			
Deleted (1981U)			
59.019			
Minority Vendors Program (1974B)			
Incorporated into 59.035 (1980U1)			
59.020			
Base Closing Economic Injury Loans (1975B)			
Deleted (1981U)			
59.021			
Handicapped Assistance Loans (1975B)			
Deleted (1997U)			
59.022			
Emergency Energy Shortage Economic Injury Loans (1975B)			
Deleted (1981U)			
59.023			
Strategic Arms Economic Injury Loans (1975B)			
Deleted (1981U)			

Veterans Business Development (2008)
 Veterans Entrepreneurial Training and Counseling (1991U)
 59.045 Natural Resource Development (1991B)
 Deleted (1995U)
 59.046 Microloan Demonstration Program (1992U)
 Microloan Program (2004)
 59.047 Pre Disaster Mitigation Loan Program (2004)
 59.048 Military Reserve Economic Injury Loan (2004)
 59.049 Office of Small Disadvantaged Business Certification and Eligibility (2000B)
 Small Disadvantaged Businesses (2007)
 59.050 Microenterprise Developments Grant (2001U)
 PRIME Technical Assistance (2007)
 59.051 New Markets Venture Capital (2001U)
 NEW MARKETS VENTURE CAPITAL PROGRAM, OPERATIONAL ASSISTANCE (OA) GRANTS (2005)
 New Markets Venture Capital Program, Operational Assistance (OA) Grants (2005)
 New Markets Venture Capital Program, Operational Assistance (OA) Grants (2005)
 New Markets Venture Capital Program (2007)
 59.052 Native American Economic Development Assistance (2005)
 Native American Outreach (2008)
 59.053 Small Business and Agriculture Regulatory Enforcement Ombudsman and Small Business Regulatory Fairness Boards (2005)
 Ombudsman and Regulatory Fairness Boards (2007)
 59.054 7(a) Loans (Export Loans) (2005)
 7(a)Export Loan Guarantees (2008)
 59.055 HUBZone Program (2008)
 59.070 Drug-Free Workplace Program (2008)

SMITHSONIAN INSTITUTION

Smithsonian Institution-Visiting Research Appointments (1969B)
 Deleted (1970B)
 60.001 Smithsonian Institution Programs in Basic Research and Public Education (1970B)
 Smithsonian Institution Programs in Basic Research in Collaboration with Smithsonian Institution Staff (1978B)
 Deleted (1987B)
 60.002 Academic Appointments (1970)
 Incorporated into 60.001 (1978B)
 60.003 Authentication and/or Repair of Oriental Objects and Translation of Related Inscriptions (1970B)
 Incorporated into 60.001 (1972B)
 60.004 Chesapeake Bay Center for Environmental Studies (1970B)
 Incorporated into 60.001 (1978B)
 60.005 Smithsonian Institution-Elementary and Secondary Educational Services (1969B)
 Educational Services, Elementary and Secondary Education (1970B)
 Deleted (1978B)
 60.006 International Exchange of Scientific and Literary Publications and Governmental Documents (1970B)
 Deleted (1978B)
 60.007 Museum Assistance (1969B)
 Museums-Assistance and Advice (1970B)
 Deleted (1986U)
 60.008 Registral Programs (1970B)

Incorporated into 60.001 (1972B)
 60.009 Science Information Exchange (1969B)
 Smithsonian Science Information Exchange, Inc. (1973B)
 Transferred to 11.651 (1980U1)
 60.010 Smithsonian Associates (1970B)
 Incorporated into 60.001 (1972B)
 60.011 Smithsonian Center for Short-Lived Phenomena (1970B)
 Center for Short-Lived Phenomena (1973B)
 Deleted (1976B)
 60.012 Smithsonian Institution Libraries (1970B)
 Incorporated into 60.001 (1972B)
 60.013 Smithsonian Institution Traveling Exhibition Service (1970B)
 Deleted (1987B)
 60.014 Smithsonian Oceanographic Sorting Centers (1970B)
 Deleted (1978B)
 60.015 Smithsonian Publications (1970B)
 Incorporated into 60.001 (1972B)
 60.016 Museum and Related Research-Special Foreign Currency Program (1969B)
 Smithsonian Special Foreign Currency Grants for Museum Programs and Related Research (1970B)
 Smithsonian Special Foreign Currency Grants for Museum Programs, Scientific and Cultural Research and Related Educational Activities (1976B)
 Deleted (1986U)
 60.017 Smithsonian Special Public Affairs (1970B)
 Incorporated into 60.001 (1972B)
 60.018 Smithsonian Tropical Research Institute (1970B)
 Incorporated into 60.001 (1978B)
 60.019 Visiting Research Appointments in Astrophysics, Geodesy, Meteoritics, and Space Sciences (1970B)
 Incorporated into 60.001 (1978B)
 60.020 Woodrow Wilson International Center for Scholars Fellowship and Guest Scholar Programs (1970U)
 Woodrow Wilson International Center for Scholars-Fellowships and Guest Scholar Programs (1971B)
 Deleted (1987B)

INTERNATIONAL TRADE COMMISSION

61.001 Tariff Adjustment (Industry) (1970B)
 Import Relief (Industry) (1979B)
 Deleted (2000B)
 61.002 Trade Adjustment Assistance-Firms (1970B)
 Deleted (1971U)
 61.003 Trade Adjustment Assistance-Workers (1970B)
 Transferred/Incorporated into 17.400 (1971U)

TENNESSEE VALLEY AUTHORITY

Adjustment to Local Flood Hazard-Tennessee River Basin (1967B)
 Deleted (1969B)
 Electricity Supply and Utilization-Tennessee Valley Region (1967B)
 Deleted (1969B)
 Forestry, Fish and Wildlife-Tennessee Valley Region (1967B)
 Deleted (1969B)
 Industrial Development-Tennessee Valley Region (1967B)

Deleted (1969B)
 Local Planning Assistance-Tennessee Valley Region (1967B)
 Deleted (1969B)
 Mineral Resources Development-Tennessee Valley Region (1967B)
 Deleted (1969B)
 Navigation Development and Waterway Transportation-Tennessee River Basin (1967B)
 Deleted (1969B)
 Recreation Development-Tennessee River Basin (1967B)
 Deleted (1969B)
 Topographic and Navigation Maps-Tennessee Valley Region (1967B)
 Deleted (1969B)
 Tributary Area Development-Tennessee River Basin (1967B)
 Deleted (1969B)
 Unified Resource Development-Tennessee Valley Authority (1967B)
 Deleted (1969B)
 Water Quality Control-Tennessee River Basin (1967B)
 Deleted (1969B)

62.001 Agricultural and Chemical Development-TVA (1967B)
 Fertilizer and Munitions Development (1969B)
 Fertilizer Development (1970B)
 National Fertilizer Development (1977U)
 National Fertilizer and Environmental Research Center (1992B)
 TVA Environmental Research Center (1994B)
 TVA Environmental Research and Services (1998B)
 TVA Energy Research and Technology Applications (1999U)
 Deleted (2001B)

62.002 Tennessee Valley Region-General Resources Development (1969B)
 Consolidated into 62.004, 62.005, 62.006 (1980U1)

62.003 Multiple-Purpose Water Resource Development-Tennessee River Basin (1967B)
 Tennessee Valley Region-Water Resources Development (1969B)
 Consolidated into 62.005 (1980U1)

62.004 Tennessee Valley Region-Community Development (1980U1)
 Consolidated from 62.002 (1980U1)
 Tennessee Valley Region-Economic and Community Development (1982B)
 Tennessee Valley Region Economic Development (1985U)
 Tennessee Valley Region-Rural Development (1992B)
 Tennessee Valley Region-Economic Development (1994U)
 Deleted (1998U)

62.005 Tennessee Valley Region-Natural Resources Development (1980U1)
 Consolidated from 62.002, 62.003 (1980U1)
 Tennessee Valley Region-Water and Land Resources (1992B)
 Deleted (1994U)

62.006 Tennessee Valley Region-Valley Agricultural Resources Development (1980U1)
 Consolidated from 62.002 (1980U1)
 Tennessee Valley Region-Valley Agricultural Institute (1988B)
 Deleted (1992B)

UPPER GREAT LAKES REGIONAL COMMISSION

63.001 Upper Great Lakes Regional Economic Development (1970B)
 Deleted (1973B)
 Reinstated (1973U)
 Deleted (1981U)

63.002 Upper Great Lakes Technical and Planning Assistance (1975B)
 Deleted (1981U)

63.003 Upper Great Lakes Supplements to Federal Grant-in-Aid (1975B)
 Deleted (1981U)

63.004 Upper Great Lakes Regional Transportation (1977U)
 Deleted (1981U)

63.005 Upper Great Lakes Energy Demonstration Projects and Programs (1977U)
 Deleted (1981U)

63.006 Upper Great Lakes Indigenous Arts and Crafts Demonstration Projects (1977U)
 Deleted (1981U)

63.007 Upper Great Lakes Health and Nutrition Demonstration Projects (1977U)
 Deleted (1981U)

63.008 Upper Great Lakes Education Demonstration Projects (1977U)
 Deleted (1981U)

U.S.-MEXICO COMMISSION FOR BORDER DEVELOPMENT AND FRIENDSHIP

Mexican Border Development (1969B)
 Deleted (1970B)

DEPARTMENT OF VETERANS AFFAIRS

Educational Assistance for Veterans' Children (1967B)
 Deleted (1969B)

Guardianship Services for Veterans' Beneficiaries (1967B)
 Deleted (1969B)

Relocation Housing for Aged or Families Displaced by Urban Renewal (1967B)
 Deleted (1969B)

Veterans Restoration Centers (1967B)
 Deleted (1969B)

64.001 Biomedical Research (1967B)
 Medical Research Support (1986B)
 Deleted (1991U)

64.002 Veterans' Community Nursing Home Care Program (1967B)
 Community Contract Nursing Home Care (1970B)
 Community Nursing Home Care (1971B)
 Veterans Community Nursing Home Care (1979B)
 Deleted (1981U)

64.003 Education and Training of Health Service Personnel (1969B)
 Deleted (1979B)

64.004 Exchange of Medical Information (1970B)
 Deleted (1982B)

64.005 Grant to States for Construction of State Nursing Home Care Facilities (1970B)
 Grants to States for Construction of State Home Facilities (1978B)

64.006 Prosthetics Research (1969B)
 Rehabilitative Engineering Research (Prosthetics) (1977B)

	Rehabilitative Research (Prosthetics) (1977U)		Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces (1971B)
	Rehabilitative Research (1981B)	64.101	Burial Benefits for Veterans (1967B)
	Deleted (1981U)		Burial Allowance for Veterans (1969B)
64.007	Rehabilitation of Blind Veterans (1967B)		Burial Expenses Allowance for Veterans (1981U)
	Blind Veterans Rehabilitation Centers (1971B)	64.102	Compensation for Service-Connected Deaths for Veterans' Dependents (1969B)
	Blind Veterans Rehabilitation Centers and Clinics (1987U)	64.103	Life Insurance for Veterans and Servicemen (1967B)
	Blind Rehabilitation Centers and Clinics (1995U)		Life Insurance for Veterans (1970B)
	Blind Rehabilitation Centers (1998U)	64.104	Veterans Disability Pension (1965B)
64.008	Veterans' Domiciliary Program (1965B)		Pension for Nonservice-Connected Disability for Veterans (1969B)
	Veterans Domiciliary Care and Restoration (1970B)	64.105	Veterans' Death Pension (1965B)
	Veterans Domiciliary Care (1973B)		Pension for Veterans Widows and Children (1969B)
64.009	Veterans Hospitalization (1965B)		Pension for Veterans Widows or Widowers and Children (1976B)
	Revised (0000)		Pension to Veterans Surviving Spouses, and Children (1980U1)
	Veterans Medical Care Benefits (1997U)	64.106	Specially Adapted Veterans Housing (1967B)
64.010	Veterans Nursing Home Care Program (1967B)		Specially Adapted Housing for Disabled Veterans (1970B)
	Veterans Nursing Home Care (1970B)	64.107	Veterans Assistance Centers (1969B)
64.011	Veterans' Outpatient Services (1967B)		Deleted (1977U)
	Veterans Outpatient Care (1970B)	64.108	Veterans' Business Loans (1967B)
	Veterans Dental Care (1999B)		Veterans Business Loans-Guaranteed and Insured Loans (1970B)
64.012	Veterans Prescription Service (1967B)		Deleted (1975B)
64.013	Veterans Prosthetic Appliances (1967B)	64.109	Veterans' Disability Compensation (1965B)
64.014	Veterans State Home Program (1970B)		Veterans Compensation for Service-Connected Disability (1970B)
	Veterans State Domiciliary Care (1971B)	64.110	Veterans' Dependency and Indemnity Compensation (1965B)
64.015	Veterans State Nursing Home Care (1970B)		Dependency, Indemnity, and Death Compensation to Veterans' Dependents (1967B)
64.016	Veterans State Home Hospital Care (1970U)		Veterans Dependency and Indemnity Compensation for Service-Connected Death (1970B)
	Veterans State Hospital Care (1971B)	64.111	Educational Assistance for Veterans (1969B)
64.017	Grants to States for Remodeling of State Home Hospital/Domiciliary Facilities (1971B)		Veterans Educational Assistance (1970B)
	Incorporated into 64.005 (1978B)		Deleted (1990B)
64.018	Sharing Specialized Medical Resources (1971B)	64.112	Veterans Farm Loans (1969B)
	Sharing Medical Facilities, Equipment, and Information (1975B)		Deleted (1975B)
	Sharing Specialized Medical Resources (1978B)	64.113	Veterans Housing-Direct Loans and Advances (1970B)
64.019	Veterans Rehabilitation-Alcohol and Drug Dependency (1971U)		Deleted (1981B)
	Veterans Rehabilitation-Alcohol and Drug Dependence (1976B)	64.114	Veterans' Home Loans (1965B)
64.020	Assistance in the Establishment of New State Medical Schools (1974U)		Veterans Housing-Guaranteed and Insured Loans (1970B)
	Deleted (1979B)	64.115	Veterans Information and Assistance (1967B)
64.021	Grants to Affiliated Medical Schools-Assistance to Health Manpower Training Institutions (1974U)	64.116	Vocational Rehabilitation Training for Disabled Veterans (1965B)
	Deleted (1979B)		Vocational Rehabilitation for Disabled Veterans (1970B)
64.022	Hospital Based Home Care (1974U)	64.117	War Orphans Education Program (1965B)
	Veterans Hospital Based Home Care (1979B)		War Orphans and Widows Educational Assistance (1970B)
	Veterans Home Based Primary Care (1997B)		Dependents Educational Assistance (1974B)
64.023	Health Professional Scholarships (1982B)	64.118	Veterans Housing-Direct Loans for Disabled Veterans (1971B)
	Health Professional Scholarships-Nursing (1984B)		Veterans Housing-Direct Loans for Certain Disabled Veterans (1998B)
	Deleted (1986B)	64.119	Veterans Housing-Mobile Home Loans (1971B)
64.024	VA Homeless Providers Grant and Per Diem Program (1995B)		Veterans Housing-Manufactured Home Loans (1983B)
64.025	Transitional Housing Loan Program (1995B)	64.120	Post-Vietnam Era Veterans' Educational Assistance (1977B)
	Deleted (2001B)	64.121	Emergency Veterans Job Training (1984B)
64.026	Veterans State Adult Day Health Care (2008)		Veterans' Job Training Act (1986B)
64.100	Veterans' Automobile Grants (1967B)		Deleted (1990U)
	Automobile Grants for Disabled Veterans (1970B)		

- 64.122 Vocational Rehabilitation for Service-Disabled Veterans Receiving Unemployability VA Compensation (1985B)
Deleted (1991U)
- 64.123 Vocational Training for Certain Veterans Receiving VA Pension (1985B)
- 64.124 All-Volunteer Force Educational Assistance (1986B)
- 64.125 Vocational and Educational Counseling for Servicemembers and Veterans (1992B)
- 64.126 Native American Veteran Direct Loan Program (1993B)
- 64.127 Monthly Allowance Under 38 U.S.C. 1805 for a Child Born with Spina Bifida Who Is a Child of a Vietnam Veteran (1997B)
Monthly Allowance for Children of Vietnam Veterans Born with Spina Bifida (1997U)
- 64.128 Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida (1997U)
Vocational Training and Rehabilitation for Vietnam Veteran's Children with Spina Bifida or Other Covered Birth Defects (2002B)
- 64.200 Memorial Plots in National Cemeteries (1973U)
Transferred from 12.200 (1973U)
Memorial Plots and Markers in National Cemeteries (1974B)
Memorial Plots and Memorial Markers (1979B)
Consolidated into 64.201, 64.202 (1990B)
- 64.201 National Cemeteries (1973U)
Transferred from 12.201 (1973U)
- 64.202 Procurement of Headstones and Markers (1973U)
Transferred from 12.202 (1973U)
Procurement of Headstones and Markers and/or Presidential Memorial Certificates (1991U)
- 64.203 State Cemetery Grants (1979U2)

WATER RESOURCES COUNCIL

- 65.001 Water Resources Planning (1967B)
Deleted (1983B)

ENVIRONMENTAL PROTECTION AGENCY

- 66.001 Air Pollution Control Program Grants (1971B)
Transferred from 13.001 (1971B)
Air Pollution Control Program Support (1985U)
- 66.002 Air Pollution Fellowships (1971B)
Transferred from 13.002 (1971B)
Air Pollution Control Fellowships (1976B)
Deleted (1979B)
- 66.003 Air Pollution Manpower Training Grants (1971B)
Transferred from 13.003 (1971B)
Air Pollution Control Manpower Training Grants (1976B)
Air Pollution Control Manpower Training (1985U)
Deleted (1999U)
- 66.004 Air Pollution Research Grants (1971B)
Transferred from 13.004 (1971B)
Air Pollution Control Research Grants (1972B)
Number changed to 66.501 (1973B)
- 66.005 Air Pollution Survey and Demonstration Grants (1971B)
Transferred from 13.005 (1971B)
Air Pollution Control Survey and Demonstration Grants (1976B)
Deleted (1979B)
- 66.006 Air Pollution Control Technical Training (1971U)
Air Pollution Control-Technical Training (1973B)
Deleted (1998B)
- 66.007 Air Pollution Control National Aerometric Data Information (1971U)
Air Pollution Control-National Ambient Air and Source Emission Data (1972U)
Deleted (1996U)
- 66.008 Air Pollution Control Technical Assistance (1971U)
Air Pollution Control-Technical Assistance (1973B)
Deleted (1985B)
- 66.009 Air Pollution Control Technical Information (1971U)
Protection of Children and the Aging as a Fundamental Goal of Public Health and Environmental Protection (2003)
Protection of Children and Older Adults (Elderly) from Environmental Health Risks (2004)
Deleted (2005)
Air Pollution Control-Technical Information Services (1973B)
Air Pollution-Technical Information Services (1986B)
Air Information Center (1987U)
- 66.010 Air Pollution Control-Interstate Cooperation and Uniform Laws (1972B)
Deleted (1973U)
- 66.011 Solid Waste Technical Assistance, Training, and Information Services (1974B)
Number changed from 66.304 (1974B)
Solid Waste Technical Assistance, and Information Services (1975B)
Solid Waste Disposal Technical Assistance, and Information Services (1976B)
Solid Waste Management Technical Assistance, and Information Services (1976U)
Number changed to 66.450 (1977U)
- 66.012 Water Pollution Control Data Publications Services (1973B)
Number changed from 66.402 (1973B)
Number changed to 66.416 (1974B)
- 66.013 Water Pollution Control-Direct Training (1973B)
Number changed from 66.403 (1973B)
Number changed to 66.417 (1974B)
- 66.014 Water Pollution Control-Interstate Cooperation and Uniform Laws (1973B)
Number changed from 66.404 (1973B)
Incorporated into 66.650 (1973U)
- 66.015 Construction Grants for Wastewater Treatment Works (1973B)
Number changed from 66.400 (1973B)
Number changed to 66.418 (1974B)
- 66.017 Water Pollution Control-State and Interstate Program Grants (1973B)
Number changed from 66.407 (1973B)
Number changed to 66.419 (1974B)
- 66.018 Water Pollution Control-State and Local Manpower Development (1973B)
Number changed from 66.408 (1973B)
Number changed to 66.420 (1974B)
- 66.019 Water Pollution Control-Technical Assistance and Criteria Development (1973B)
Number changed from 66.409 (1973B)
Deleted (1974B)
- 66.020 Water Pollution Control-Training Grants (1973B)
Number changed from 66.410 (1973B)

	Number changed to 66.421 (1974B)		Number changed to 66.427 (1974B)
66.021	Water Quality Control-Monitoring Assistance (1973B)	66.110	Healthy Communities Grant Program (2005)
	Number changed from 66.411 (1973B)	66.111	Regional Environmental Priority Projects (2005)
	Number changed to 66.422 (1974B)	66.112	Surveys, Studies, Investigations, Training, Demonstrations, and Special Purpose Grants for Regional Geographic Initiatives (2008)
66.022	Water Quality Control Information System-Orientation and Training Seminars (1973B)	66.113	Region 3 Environmental Priority Projects (2006)
	Number changed from 66.412 (1973B)	66.114	Environmental Protection Consolidated Grants-Program Support (2006)
	Number changed to 66.423 (1974B)	66.115	Surveys, Studies, Investigations, Training, Demonstrations, and Special Purpose Grants for EPA Region 10 Regional Geographic Initiative (2006)
66.023	Water Quality Management Technical Planning Assistance (1973B)	66.116	Regional Geographic Initiative/Environmental Priority Projects (2006)
	Number changed from 66.413 (1973B)	66.117	Region 9 multi-media and geographic priority projects (2007)
	Deleted (1974B)	66.200	Radiation Research Grants (1971B)
66.025	Drinking Water Supply-Technical Assistance (1973B)		Split/Transferred from 13.012 (1971B)
	Number changed from 66.415 (1973B)		Number changed to 66.503 (1973B)
	Number changed to 66.425 (1974B)	66.201	Radiation Training Grants (1971B)
66.026	Solid Waste Training Grants (1975B)		Split/Transferred from 13.013 (1971B)
	Solid Waste Disposal Training Grants (1976B)		Deleted (1973U)
	Number changed to 66.453 (1977U)	66.202	Congressionally Mandated Projects (2006)
66.027	Solid Waste Demonstration and Planning Grants (1975B)	66.300	Solid Waste Demonstration and Resource Recovery System Grants (1971B)
	Solid Waste Planning Grants (1975U)		Transferred from 13.014 (1971B)
	Split into 66.027, 66.028 (1975U)		Deleted (1973B)
	Solid Waste Disposal Planning Grants (1976B)	66.301	Solid Waste Planning Grants (1971B)
	Number changed to 66.451 (1977U)		Transferred from 13.015 (1971B)
66.028	Solid Waste Demonstration Grants (1975U)		Deleted (1973B)
	Split from 66.027 (1975U)	66.302	Solid Waste Research Grants (1971B)
	Solid Waste Disposal Demonstration Grants (1976B)		Transferred from 13.016 (1971B)
	Number changed to 66.452 (1977U)		Number changed to 66.504 (1973B)
66.030	Noise Pollution Control-Technical Assistance (1976B)	66.303	Solid Waste Training Grants (1971B)
	Deleted (1981U)		Transferred from 13.017 (1971B)
66.031	Quiet Communities-State and Local Capacity Building Assistance (1979B)		Deleted (1973B)
	Deleted (1981U)	66.304	Solid Waste Technical Assistance, Training, and Information Services (1971U)
66.032	State Indoor Radon Grants (1989U)		Number changed to 66.011 (1974B)
66.033	Ozone Transport (1997U)	66.305	Compliance Assistance-Support for Services to the Regulated Community and Other Assistance Providers (2002U)
	Ozone Transport Commission (2006)		Reinstated - Compliance Assistance-Support for Services to the Regulated Community and Other Assistance Providers (2003)
66.034	Surveys, Studies Investigations, Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2002B)		Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (2005)
	Surveys, Studies, Investigations, Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2005)		Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (2005)
	Surveys, Studies, Investigations, Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2005)		66.476 Security Planning Grants for Large Drinking Water Utilities (2002U)
	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (2008)	66.306	Environmental Justice Collaborative Problem-Solving Grants Program (2004)
	Surveys Studies, Investigations Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2003B)		Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (2005)
66.035	Community Action for a Renewed Environment (CARE) Program (2005)		Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (2005)
66.036	Clean School Bus USA (2005)	66.307	Environmental Justice Training and Fellowship Assistance (2005)
66.037	Internships, Training, Workshops and Fellowships for the Office of Air and Radiation (2006)	66.308	Environmental Justice Research Assistance (2005)
	Internships, Training and Workshops for the Office of Air and Radiation (2008)	66.309	Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice (2005)
66.039	National Clean Diesel Funding Assistance Program (2008)	66.310	Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (2005)
66.040	State Clean Diesel Grant Program (2008)		
66.100	Pesticides Research Grants (1971B)		
	Transferred from 13.102 (1971B)		
	Number changed to 66.502 (1973B)		
66.101	Pesticides Science and Technology-Technical Information (1971U)		

66.311	Assistance to Non-Profit Organizations with Activities Relating to Environmental Justice (2006)	Incorporated into 66.405 (1972B)
66.400	Construction Grants for Wastewater Treatment Works (1971B) Transferred from 15.700 (1971B) Number changed to 66.015 (1973B)	66.415 Drinking Water Supply-Technical Assistance (1972B) Number changed to 66.025 (1973B)
66.401	Water Pollution Control Comprehensive Basin Planning Grants (1971B) Transferred from 15.701 (1971B) Water Pollution Control-Comprehensive Basin Planning Grants (1972B) Deleted (1973B)	66.416 Water Pollution Control-Data Publications Services (1974B) Number changed from 66.012 (1974B) Incorporated into 66.423 (1977B)
66.402	Water Pollution Control Data Publications Services (1971B) Transferred from 15.702 (1971B) Number changed to 66.012 (1973B)	66.417 Water Pollution Control-Direct Training (1974B) Number changed from 66.013 (1974B) Deleted (1982B)
66.403	Water Pollution Control-Direct Training (1971B) Transferred from 15.703 (1971B) Number changed to 66.013 (1973B)	66.418 Construction Grants for Wastewater Treatment Works (1974B) Number changed from 66.015 (1974B) Deleted (1992B) Reinstated (2000U)
66.404	Water Pollution Control-Interstate Cooperation and Uniform Laws (1971B) Transferred from 15.704 (1971B) Number changed to 66.014 (1973B)	66.419 Water Pollution Control-State and Interstate Program Grants (1974B) Water Pollution Control State and Interstate Program Support (2004) Water Pollution Control State and Interstate Program Support (2004) Water Pollution Control State, Interstate, and Tribal Program Support (2006) Number changed from 66.017 (1974B)
66.405	Water Pollution Control-Research, Development, and Demonstration Grants (1971B) Transferred from 15.705 (1971B) Water Pollution Control-Research, Development, and Demonstration (1972B) Number changed to 66.505 (1973B)	Water Pollution Control State and Interstate Program Support (1985U)
66.406	Water Pollution Control Research Fellowships (1971B) Transferred from 15.706 (1971B) Deleted (1973B)	66.420 Water Pollution Control-State and Local Manpower Development (1974B) Number changed from 66.018 (1974B) Water Pollution Control-State and Local Manpower Program Development (1976B) Deleted (1981U)
66.407	Water Pollution Control-State and Interstate Program Grants (1971B) Transferred from 15.707 (1971B) Number changed to 66.017 (1973B)	66.421 Water Pollution Control-Training Grants (1974B) Number changed from 66.020 (1974B) Split into 66.428, 66.429 (1974U)
66.408	Water Pollution Control-State and Local Manpower Development (1971B) Transferred from 15.708 (1971B) Number changed to 66.018 (1973B)	66.422 Water Quality Control-Monitoring Assistance (1974B) Number changed from 66.021 (1974B) Incorporated into 66.423 (1977B)
66.409	Water Pollution Control-Technical Assistance and Pollution Surveillance (1971B) Transferred from 15.709 (1971B) Number changed to 66.019 (1973B)	66.423 Water Quality Control Information System-Orientation and Training Seminars (1974B) Number changed from 66.022 (1974B) Water Quality Control Information System-Orientation/Training Seminars, Data and Monitoring Publications (1977B) Deleted (1997B)
66.410	Water Pollution Control Training Grants (1971B) Transferred from 15.710 (1971B) Number changed to 66.020 (1973B)	66.424 Surveys, Studies, Demonstrations and Special Purpose-Section 1442 of the Safe Drinking Water Act (2003B) Surveys, Studies, Demonstrations and Special Purpose Grants - Section 1442 of the Safe Drinking Water Act (2004) Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (2008)
66.411	Water Quality Control Information System (1971B) Transferred from 15.711 (1971B) Number changed to 66.021 (1973B)	66.425 Drinking Water Supply-Technical Assistance (1974B) Number changed from 66.025 (1974B) Deleted (1983U)
66.412	Water Quality and Pollution Control-Orientation and Training Seminars (1971B) Transferred from 15.712 (1971B) Number changed to 66.022 (1973B)	66.426 Water Pollution Control-Area Waste Treatment Management Planning Grants (1974B) Water Pollution Control-State and Areawide Waste Treatment Management Planning Grants (1976U) Water Pollution Control-State and Areawide Water Quality Management Planning Agency (1977B) Deleted (1983B)
66.413	Water Quality Management Technical Planning Assistance (1971B) Transferred from 15.713 (1971B) Number changed to 66.023 (1973B)	66.427 Pesticides Science and Technology-Technical Information (1974B) Number changed from 66.101 (1974B)
66.414	Water Hygiene Research Grants (1971B) Transferred from 13.018 (1971B)	

	Deleted (1979B)		Number changed from 66.028 (1977U)
66.428	Water Pollution Control-Professional Training Grants (1974U) Split from 66.421 (1974U) Deleted (1982B)		Deleted (1981B)
66.429	Water Pollution Control-Technical Training Grants (1974U) Split from 66.421 (1974U) Deleted (1981B)	66.453	Solid Waste Management Training Grants (1977U) Number changed from 66.026 (1977U) Deleted (1981U)
66.430	Water Pollution Control Fellowships (1974U) Deleted (1981U)	66.454	Water Quality Management Planning (1983B)
66.431	Water Pollution Control Loans (1974U) Transferred/Incorporated into 59.024 (1979B)	66.455	Marine Combined Sewer Overflow Special Fund (1984B) Construction Grants for Wastewater Treatment Works for Combined Sewer Overflows (1985U) Deleted (1986B) Construction Grants for Abatement of Combined Sewer Overflow Pollution in Marine Bays and Estuaries, Reinstated (1988U) Deleted (1989U)
66.432	Grants for State Public Water System Supervision Programs (1975U) Public Water System Supervision-State Program Grants (1976B) State Public Water System Supervision Program Grants (1976U) State Public Water System Supervision (1985U)	66.456	Comprehensive Estuarine Management (1985U) National Estuary Program (1987U)
66.433	Grants for Underground Injection Control Programs (1975U) Underground Water Source Protection-State Program Grants (1976B) State Underground Water Source Protection Program Grants (1976U) State Underground Water Source Protection-Program Grants (1981B) State Underground Water Source Protection (1985U)	66.458	Capitalization Grants for State Revolving Funds (1988B) Capitalization Grants for Clean Water State Revolving Funds (2003B)
66.434	Safe Drinking Water-State and Local Program Development Grants (1976U) Deleted (1979B)	66.459	Nonpoint Source Reservation (1988B) Deleted (1991B)
66.435	Water Pollution Control-Lake Restoration Demonstration Grants (1976U) Water Pollution Control-Lake Restoration Cooperative Agreements (1980U1) Deleted (1981U) Reinstated (1987U) Deleted (1998B)	66.460	Nonpoint Source Implementation (1990B) Deleted (1991B) Nonpoint Source Implementation Grants (1993B)
66.436	Surveys, Studies, Investigations, Demonstrations and Training Grants and Cooperative Agreements-Section 1442 of the Clean Water Act (2003B) Surveys, Studies, Demonstrations and Special Purpose Grants - Section 1442 of the Safe Drinking Water Act (2004)	66.461	Wetlands Protection-State Development Grants (1989U) Regional Wetland Program Development Grants (2005) Regional Wetland Program Development Grants (2005) Wetlands Protection-State and Tribal Development Grants (1995U) Wetlands Protection-Development Grants (1997B) Wetlands Grants (2000U) Wetland Program Development Grants (2003B)
66.437	Long Island Sound Program (2004)	66.462	National Wetland Program Development Grants (2005) National Wetland Program Development Grants and Five-Star Restoration Training Grant (2008)
66.438	Construction Management Assistance Grants (1978U) Construction Management Assistance (1985U) Deleted (1998B)	66.463	National Pollutant Discharge Elimination System Related State Program Grants (1991U) Water Quality Cooperative Agreements (2000U)
66.439	Targeted Watershed Initiative (2003B) Targeted Watershed Grants (2004) Targeted Watersheds Grants (2006)	66.464	Near Coastal Waters (1991U) Deleted (1994B)
66.450	Solid Waste Management Technical Assistance and Information Services (1977U) Number changed from 66.011 (1977U) Deleted (1981U)	66.465	Wellhead Protection Demonstration Projects (1994B) Deleted (1995B)
66.451	Solid and Hazardous Waste Management Program Support Grants (1977U) Number changed from 66.027 (1977U) Hazardous Waste Management Program Support Grants (1981U) Hazardous Waste Management Financial Assistance to States (1982B) Number changed to 66.801 (1983B)	66.466	Chesapeake Bay Program (1994B) Chesapeake Bay Program (2005) Chesapeake Bay Program (2005)
66.452	Solid Waste Management Demonstration Grants (1977U)	66.467	Wastewater Operator Training Grant Program (Technical Assistance) (1994U)
		66.468	Capitalization Grants for Drinking Water State Revolving Fund (1997B)
		66.469	Great Lakes Program (1997B)
		66.470	Hardship Grants Program for Rural Communities (1997B) Deleted (1998B)
		66.471	State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (2001B)
		66.472	Beach Monitoring and Notification Program Development Grants (2001B) Beach Monitoring and Notification Program Implementation Grants (2003B)
		66.473	Direct Implementation Tribal Cooperative Agreements (2001U)

66.474	Water Protection Coordination Grants to States (2002B) Water Protection Grants to the States (2003B)	66.507	Toxic Substances Research Grants (1978B) Toxic Substances Research (1985U) Consolidated into 66.500 (1997B)
66.475	Gulf of Mexico Program (2002B)	66.508	Senior Environmental Employment Program (1986B)
66.476	Vulnerability Assessments and Related Security Improvements at Large Drinking Water Utilities (2003B) Security Planning Grants for Large Drinking Water Utilities (2004) Deleted (2005)	66.509	Science To Achieve Results (STAR) Program (2002U) Science To Achieve Results (STAR) Research Program (2005) Science To Achieve Results (STAR) Research Program (2005)
66.477	Security Grants for Privately-Owned Water Utilities (2002U)	66.510	Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (2003B)
66.478	Water Security Training and Technical Assistance Grant Program (2003B) Water Security Training and Technical Assistance (2004) Water Security Training and Technical Assistance and Water Security Initiative Contamination Warning System Pilots (2007)	66.511	Consolidated Research (2002U) Office of Research and Development Consolidated Research (2003) Office of Research and Development Consolidated Research/Training (2005) Office of Research and Development Consolidated Research/Training (2005) Office of Research and Development Consolidated Research/Training/Fellowships (2008)
66.479	Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program (2005)	66.511, 66.610	Surveys, Studies, Investigations and Special Purpose Grants (2002U)
66.480	Assessment and Watershed Protection Program Grants (2005)	66.512	Regional Environmental Monitoring and Assessment Program (REMAP) Research Projects (2005)
66.481	Lake Champlain Basin Program (2005)	66.513	Greater Research Opportunities Fellowship Program (2004) Greater Research Opportunities (GRO) Fellowships For Undergraduate/Graduate Environmental Study (2006)
66.500	Environmental Protection-Comprehensive Research Grants (1972U) Deleted (2005) Deleted (2005) Environmental Protection-Consolidated Research Grants (1974U) Environmental Protection-Consolidated Research (1985U) Consolidated from 66.501, 66.502, 66.504, 66.505, 66.506, 66.507 (1997B) Environmental Protection Consolidated Research (2002B) Environmental Protection-Consolidated Research (2003B)	66.514	Science To Achieve Results (STAR) Fellowship Program (2004)
66.501	Air Pollution Control Research Grants (1973B) Number changed from 66.004 (1973B) Air Pollution Control Research (1985U) Consolidated into 66.500 (1997B)	66.515	Greater Opportunities Research Program (2004) Greater Opportunities: Research Program (2005) Greater Research Opportunities (GRO) Research Program (2008)
66.502	Pesticides Research Grants (1973B) Number changed from 66.100 (1973B) Pesticides Control Research Grants (1976B) Pesticides Control Research (1985U) Consolidated into 66.500 (1997B)	66.516	National Student Design Competition for Sustainability (2004) P3 Award: National Student Design Competition for Sustainability (2004)
66.503	Radiation Research Grants (1973B) Number changed from 66.200 (1973B) Deleted (1977B)	66.517	Regional Applied Research Efforts (RARE) (2007)
66.504	Solid Waste Research Grants (1973B) Number changed from 66.302 (1973B) Solid Waste Disposal Research Grants (1976B) Solid Waste Disposal Research (1985U) Consolidated into 66.500 (1997B)	66.518	State Senior Environmental Employment Program (2005)
66.505	Water Pollution Control-Research, Development, and Demonstration (1973B) Number changed from 66.405 (1973B) Water Pollution Control-Research, Development, and Demonstration Grants (1975B) Wastewater Pollution Control-Research, Development, and Demonstration (1985U) Consolidated into 66.500 (1997B)	66.600	Environmental Protection-Consolidated Program Grants (1973B) Environmental Protection Consolidated Grants-Program Support (2004) Environmental Protection Consolidated Grants for the Insular Areas - Program Support (2008) Environmental Protection-Consolidated Program Support Grants (1975U) Environmental Protection Consolidated Grants-Program Support (1976B)
66.506	Water Supply Research Grants (1973B) Water Research and Demonstration Grants (1975U) Safe Drinking Water Research and Demonstration (1985U) Consolidated into 66.500 (1997B)	66.601	Environmental Financing Authority (1974U) Deleted (1975U)
		66.602	Environmental Protection-Consolidated Special Purpose Grants (1975U) Environmental Protection Consolidated Grants-Special Purpose (1976B) Incorporated into 66.600 (1982B)
		66.603	Loan Guarantees for Construction of Treatment Works (1978U)
		66.604	Environmental Justice Grants to Small Community Groups (1994B) Environmental Justice Small Grant Program (2005) Environmental Justice Small Grant Program (2005)
		66.605	Performance Partnership Grants (1997B)
		66.606	Surveys, Studies, Investigations and Special Purpose Grants (1997B) Incorporated into 66.202 (2006)
		66.607	Training and Fellowships for the Environmental Protection Agency (1997B)
		66.608	One Stop Reporting (1997U)

	Environmental Information Exchange Network Grant Program and Related Assistance (2005)	66.710	Environmental Justice Community/University Partnership Grants Program (1997B)
	State Information Grants (2002B)		Deleted (2001B)
66.609	Protection of Children And The Aging (Or Edlerly Population) (2003B)	66.711	Environmental Justice through Pollution Prevention Grants (1999B)
66.609	Children's Health Protection (1998U)		Deleted (2002B)
	Protection of Children and the Aging as a Fundamental Goal of Public Health and Environmental Protection (2003B)	66.713	State and Tribal Environmental Justice (1998B)
66.610	Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (2003B)		Deleted (2001B)
66.611	Environmental Policy and Innovation Grants (2002U)	66.714	Pesticide Environmental Stewardship-Regional Grants (1999U)
66.612	Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (2007)		Pesticide Environmental Stewardship -Regional Grants (2004)
66.650	Environmental Protection-Interstate Cooperation and Uniform Laws (1973U)		Pesticide Environmental Stewardship Regional Grants (2005)
	Number changed from 66.014 (1973U)		Pesticide Environmental Stewardship Regional Grants (2005)
	Deleted (1978U)		Pesticide Environmental Stewardship Regional Grants (2003B)
66.651	Sustainable Development Challenge Grants (1997B)	66.715	Childhood Blood-Lead Screening and Lead Awareness (Educational) Outreach for Indian Tribes (2001B)
	Innovative Community Partnership (2000B)		Tribal Educational Outreach on Lead Poisoning and Baseline Assessment of Tribal Children's Existing and Potential Exposure and Risks Associated With Lead (2006)
	Deleted (2000U)		Lead Educational Outreach and Baseline Assessment of Tribal Children's Exposure and Risks Associated With Lead (2008)
66.700	Pesticides Enforcement Program Grants (1977U)	66.716	Surveys, Studies, Investigations, Training and Demonstrations and Educational Outreach (2003B)
	Pesticides Enforcement Program (1985U)		Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (2008)
	Consolidated Pesticide Compliance Monitoring and Program Cooperative Agreements (1990B)	66.717	Source Reduction Assistance (2002U)
	Incorporated from 66.704 (1990B)	66.718	National Community-Based Lead Outreach and Training Grant Program (2007)
	Consolidated Pesticide Enforcement Cooperative Agreements (1997B)	66.800	State Toxic Substances Control Projects (1979B)
66.701	Toxic Substances Compliance Monitoring Cooperative Agreements (1984U)		Deleted (1981B)
	Toxic Substances Compliance Monitoring Program (1989U)	66.801	Hazardous Waste Management Financial Assistance to States (1983B)
	Toxic Substances Compliance Monitoring Cooperative Agreements (1995B)		Number changed from 66.451 (1983B)
66.702	Asbestos Hazard Abatement School Assistance Program (1984U)		Hazardous Waste Management State Program Support (1985U)
	Asbestos Hazards Abatement (Schools) Assistance (1985U)	66.802	Hazardous Substance Response Trust Fund (1983B)
	Deleted (1994B)		Superfund State Site-Specific Cooperative Agreements (1994U)
66.703	Asbestos Inspection and Management Plan Assistance (1987U)		Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (2002B)
	Deleted (1989B)	66.803	Hazardous Waste Management Financial Assistance (1984U)
66.704	Pesticides Certification Program (1988U)		Deleted (1985B)
	Incorporated into 66.700 (1990B)	66.804	State Underground Storage Tanks Program (1985U)
66.705	Toxic Release Inventory Data Quality Assurance Program (1990B)		State and Tribal Underground Storage Tanks Program (2000B)
	Toxic Release Inventory Data Quality Capabilities Program (1991B)	66.805	Underground Storage Tank Trust Fund Program (1987B)
	Deleted (1992B)		Leaking Underground Storage Tank Trust Fund Program (1995B)
66.706	Enhancement Grants for State Asbestos Programs (1991B)		Leaking Underground Storage Tank-Trust Fund (2002B)
	Deleted (1994B)		Leaking Underground Storage Tank Trust Fund Program (2003B)
66.707	TSCA Title IV State Lead Grants-Certification of Lead- Based Paint Professionals (1994B)	66.806	Superfund Technical Assistance Grants for Citizen Groups at Priority Sites (1988B)
	TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (2005)		Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (2004)
	TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (2005)		Superfund Technical Assistance Grants (Tag) for Citizen Groups at Priority Sites (2002B)
	TSCA Title IV State Lead Grants Cerfitication of Lead-Based Paint Professionals (2003B)		Superfund Technical Assistance Grants for Citizen Groups at Priority Sites (2003B)
66.708	Pollution Prevention Grants Program	66.807	Superfund Innovative Technology Evaluation Program (1988B)
	Number changed from 66.900 (1994B)		Deleted (2004)
	Revised (1997U)	66.808	Solid Waste Management Assistance (1989U)
66.709	Capacity Building Grants and Cooperative Agreements for States and Tribes (2001B)		Solid Waste Management Assistance Grants (2005)
	Multi-Media Capacity Building Grants for States and Tribes (2005)		Solid Waste Management Assistance Grants (2005)
	Multi-Media Capacity Building Grants for States and Tribes (2005)	66.809	Core Program Cooperative Agreements (1991B)
			Superfund State Core Program Cooperative Agreements (1994U)

- Sunperfund State and Indian Tribe Core Program-Cooperative Agreements (2002B)
 Sunperfund State and Indian Tribe Core Program Cooperative Agreements (2003B)
 66.810 Emergency Planning and Community Right-to-Know Technical Assistance Grants (1993U)
 Technical Assistance Grants Program (1994U)
 CEPP Technical Assistance Grants Program (1995U)
 Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (2003B)
 66.811 Brownfield Pilots Cooperative Agreements (1997B)
 Revised (1998U)
 66.812 Hazardous Waste Management Grants for Tribes (2002B)
 Hazardous Waste Management Grant Program for Tribes (2005)
 Hazardous Waste Management Grant Program for Tribes (2005)
 Tribal Hazardous Waste Grants (2003B)
 66.813 Alternative/Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (2002U)
 66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (2003B)
 66.815 Brownfield Job Training Cooperative Agreements (2003B)
 66.816 Headquarter and Regional Underground Storage Tanks (2002U)
 Headquarters and Regional Underground Storage Tanks Program (2005)
 Headquarters and Regional Underground Storage Tanks Program (2005)
 Headquarters and Regional Underground Storage Tanks Program (2005)
 66.817 State and Tribal Response Program Grants (2002U)
 66.818 Brownfield Assessment and Cleanup Cooperative Agreements (2002U)
 66.900 Pollution Prevention Incentives for States (1989U)
 Pollution Prevention Grants Program (1991U)
 Number changed to 66.708 (1994B)
 66.925 State/EPA Data Management Financial Assistance Program (1991B)
 Deleted (1993U)
 66.926 Indian Environmental General Assistance Program (1993U)
 Indian Environmental General Assistance Program (GAP) (2003B)
 66.930 U.S.-Mexico Border Grants Program (1997B)
 Deleted (2002B)
 66.931 International Financial Assistance Projects (2002U)
 66.940 Environmental Policy and State Innovation Grants (2005)
 66.950 Environmental Education and Training Program (1992B)
 66.951 Environmental Education Grants (1992B)
 66.952 National Network for Environmental Management Studies Fellowship Program (2005)

NATIONAL COUNCIL ON INDIAN OPPORTUNITY

- 67.001 Indian Information and Counseling (1971B)
 Indian Advice and Special Services (1973B)
 Deleted (1974B)

NATIONAL GALLERY OF ART

- 68.001 National Gallery of Art Extension Service (1971B)

OFFICE OF CONSUMER AFFAIRS

- 69.001 Consumer Affairs (1971B)

Transferred from 52.500 (1971B)
 Deleted (1973B)

OVERSEAS PRIVATE INVESTMENT CORPORATION

- 70.001 Foreign Currency Loans (1971B)
 Transferred from 19.001 (1971B)
 Deleted (1979B)
 70.002 Foreign Investment Guaranties (1971B)
 Transferred from 19.004 (1971B)
 Foreign Investment Financing (1994B)
 70.003 Foreign Investment Insurance (1971B)
 Transferred from 19.005 (1971B)
 70.004 Pre-Investment Assistance (1971B)
 Deleted (1986U)
 70.005 Direct Investment Loans (1971B)
 Consolidated into 70.002 (1994B)

FEDERAL HOME LOAN BANK BOARD

- 71.001 Housing Opportunity Allowance Program (1971B)
 Deleted (1972B)

ACTION

- 72.001 Foster Grandparents (1971U)
 The Foster Grandparents Program (1973B)
 The Foster Grandparent Program (1977B)
 Foster Grandparent Program (1984B)
 Number changed to 94.011 (1995B)
 72.002 Retired Senior Volunteers (1971U)
 Retired Senior Volunteer Program (1973B)
 Number changed to 94.002 (1995B)
 72.003 Volunteers in Service to America (1971U)
 Number changed to 94.013 (1995B)
 72.004 University Year for ACTION (1973B)
 Deleted (1981U)
 72.005 National Student Volunteer Program (1973B)
 National Center for Service-Learning (1979U1)
 Service-Learning Programs (1983B)
 Student Community Service Program (1989U)
 Deleted (1994B)
 72.006 Service Corps of Retired Executives/Active Corps of Executives (1972U)
 Service Corps of Retired Executives and Active Corps of Executives (1973B)
 Transferred to 59.026 (1976B)
 72.007 ACTION Cooperative Volunteer Program (1974B)
 Incorporated into 72.003 (1976U)
 72.008 The Senior Companion Program (1974B)
 Senior Companion Program (1984B)
 Number changed to 94.016 (1995B)
 72.009 The Youth Challenge Program (1975B)
 Deleted (1979B)
 72.010 Mini-Grant Program (1976B)
 Deleted (1992B)

- 72.011 State Volunteer Services Coordinator Program (1977B)
State Office of Voluntary Citizen Participation (1979U1)
State Office of Voluntarism (1986B)
Deleted (1989U)
- 72.012 Special Volunteer Programs (1979U1)
Volunteer Demonstration Program (1981U)
Deleted (1991B)
- 72.013 Support Services Assistance (1980U1)
Technical Assistance Program (1981U)
Deleted (1991B)
- 72.014 Drug Alliance (1989B)
Deleted (1994B)
- 72.015 Literacy Corps (1989B)
Number changed to 94.010 (1995B)

SPECIAL ACTION OFFICE FOR DRUG ABUSE PREVENTION

- 73.001 Drug Abuse Prevention-Pharmacological Research (1973U)
Deleted (1975B)
- 73.002 Drug Abuse Prevention-Planning and Coordinating Functions (1973U)
Deleted (1975B)
- 73.003 Drug Abuse Prevention-Incentives Program (1973U)
Deleted (1975B)

AMERICAN REVOLUTION BICENTENNIAL ADMINISTRATION

- 74.001 Bicentennial Administration-Matching Project Grants (Non-Appropriated) (1974B)
Deleted (1976B)
- 74.002 Bicentennial Administration-Matching Project Grants (Appropriated) (1974B)
Deleted (1976B)
- 74.003 Bicentennial Administration-Direct Grants (1974B)
Deleted (1976B)

OLD WEST REGIONAL COMMISSION

- 75.001 Old West Regional Economic Development (1975B)
Deleted (1981U)
- 75.002 Old West Technical and Planning Assistance (1975B)
Deleted (1981U)
- 75.003 Old West Supplements to Federal Grant-in-Aid (1977B)
Deleted (1981U)
- 75.007 Old West Health and Nutrition Demonstration Projects (1977U)
Deleted (1981U)

PACIFIC NORTHWEST REGIONAL COMMISSION

- 76.001 Pacific Northwest Economic Development (1975B)
Pacific Northwest Regional Economic Development (1975U)
Deleted (1981U)
- 76.002 Pacific Northwest Technical and Planning Assistance (1975B)
Deleted (1981U)
- 76.004 Pacific Northwest Regional Transportation (1977U)
Deleted (1981U)

- 76.005 Pacific Northwest Energy Demonstration Projects and Programs (1977U)
Deleted (1981U)
- 76.008 Pacific Northwest Education Demonstration Projects (1977U)
Deleted (1981U)

NUCLEAR REGULATORY COMMISSION

- 77.001 Radiation Control-Training Assistance and Advisory Counseling (1975B)
Deleted (2004)
Transferred from 24.027 (1975B)
- 77.002 Radiological Emergency Response-Training Assistance (1979B)
Transferred to 83.402 (1980B)
- 77.003 Enhance Technology Transfer and Dissemination of Nuclear Energy Process and Safety Information (1980U2)
Deleted (1995U)
Reinstated (1997B)
Deleted (1998B)
- 77.004 Enhance Technology Advancement of Nuclear Energy Safety (1980U2)
Deleted (1982B)
- 77.005 Financial Assistance for NRC Local Public Document Rooms (LPDRs) (1990B)
Deleted (2004)
- 77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (2006)
- 77.007 U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (2008)
- 77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (2007)

COMMODITY FUTURES TRADING COMMISSION

- 78.001 Agricultural Futures Markets Supervision (1975U)
Transferred from 10.100 (1975U)
Split into 78.002, 78.004 (1977B)
- 78.002 Commodity Futures Market Reports (1977B)
Split from 78.001 (1977B)
Deleted (1985U)
- 78.003 Commodity Futures Consumer Information (1977B)
Deleted (1981B)
- 78.004 Commodity Futures Customer Inquiries, Complaints, and Reparation Claims (1977B)
Split from 78.001 (1977B)
Commodity Futures Reparations Claims (1979B)

SOUTHWEST BORDER REGIONAL COMMISSION

- 79.001 Southwest Border Regional Economic Development (1977U)
Deleted (1981U)
- 79.002 Southwest Border Technical and Planning Assistance (1977U)
Deleted (1981U)

FEDERAL ENERGY ADMINISTRATION

- 80.001 State Energy Conservation Program (1976U)
Transferred to 81.041 (1978B)
- 80.002 Weatherization Assistance Program for Low-Income Persons (1977U)
Transferred to 81.042 (1978B)
- 80.003 Supplemental State Energy Conservation Program (1977U)

Transferred to 81.043 (1978B)

DEPARTMENT OF ENERGY

- 81.001 Access Permits (1978B)
Transferred from 24.001 (1978B)
Deleted (1979U1)
- 81.002 Motion Picture Film Libraries (1978B)
Transferred from 24.002 (1978B)
Deleted (1983B)
- 81.003 Granting of Patent Licenses (1978B)
Transferred from 24.003 (1978B)
- 81.004 University-Laboratory Cooperative Program (1978B)
Transferred from 24.004 (1978B)
Consolidated from 81.097 (1997B)
Deleted (1998B)
- 81.007 Faculty Training Institutes, Short Courses, and Workshops on Energy and Environmental Subjects (1978B)
Transferred from 24.007 (1978B)
Faculty Development Projects in Energy (1978U)
Teacher Development Projects in Energy (1979B)
Deleted (1983U)
- 81.011 Reactor Sharing and Fuel Assistance (1978B)
Transferred from 24.011 (1978B)
University Reactor Fuel Assistance (1980B)
University Reactor Sharing and Fuel Assistance (1980U1)
Consolidated into 81.049 (1992B)
- 81.020 Traineeships for Graduate Students in Energy Related Fields (1978B)
Transferred from 24.020 (1978B)
Deleted (1979B)
- 81.022 Energy-Related Laboratory Equipment Grants (1978B)
Transferred from 24.022 (1978B)
Used Energy-Related Laboratory Equipment Grants (1981U)
- 81.023 Information Services-Exhibits, Public Speakers, Publications, Reference, and Analysis (1978B)
Transferred from 24.023 (1978B)
Information Services-Exhibits, Public Speakers, and Publications (1979B)
Deleted (1983B)
- 81.026 Payments in Lieu of Property Taxes (1978B)
Transferred from 24.026 (1978B)
Deleted (1979U1)
- 81.028 Radiological Emergency Assistance (1978B)
Transferred from 24.028 (1978B)
Deleted (1991B)
- 81.029 Nuclear Industry Seminars (1978B)
Transferred from 24.029 (1978B)
Uranium Industry Seminars (1980B)
Deleted (1983U)
- 81.030 Work Experience (1978B)
Transferred from 24.030 (1978B)
Deleted (1980B)
- 81.033 Citizens' Workshops (1978B)

Transferred from 24.033 (1978B)

Deleted (1980U1)

- 81.035 Research and Development in Energy Conservation (1978B)
Transferred from 24.035 (1978B)
Deleted (1980U1)
- 81.036 Energy-Related Inventions (1978B)
Transferred from 24.036 (1978B)
Inventions and Innovations (2001U)
- 81.037 Research and Development-Fission, Fossil, Solar, Geothermal, Electric and Storage Systems, Magnetic Fusion (1978B)
Transferred/Consolidated from 24.024, 24.034 (1978B)
Research and Development-Fission, Fossil, Solar, Geothermal, Electric and Storage Systems (1980B)
Deleted (1980U1)
- 81.038 Energy Information Administration-Clearinghouse (EIAC) (1978B)
Energy Information Administration-Clearinghouse (1980B)
Deleted (1982B)
- 81.039 National Energy Information Center (NEIC) (1978B)
Deleted (2007)
National Energy Information Center (1980B)
- 81.040 Grants for Offices of Consumer Services (1978B)
Deleted (1982B)
- 81.041 State Energy Conservation Program (1978B)
Transferred from 80.001 (1978B)
State Energy Conservation (1980B)
Consolidated from 81.052 (1995U)
State Energy Program (1997B)
- 81.042 Weatherization Assistance Program for Low-Income Persons (1978B)
Transferred from 80.002 (1978B)
Weatherization Assistance for Low-Income Persons (1980B)
- 81.043 Supplemental State Energy Conservation Program (1978B)
Transferred from 80.003 (1978B)
Supplemental State Energy Conservation (1980B)
Deleted (1983B)
- 81.044 Public Education in Energy (1978B)
Deleted (1980B)
- 81.045 Special Studies and Projects in Energy Education and Training (1978B)
Deleted (1980B)
- 81.046 Research and Development in Biomedical and Environmental Sciences (1978B)
Transferred/Split from 24.034, 24.034 (1978B)
Environmental Research and Impact Assessments (1978U)
Deleted (1980B)
- 81.047 PREFACE (Pre-Freshman and Cooperative Education for Minorities in Engineering) (1978B)
PREFACE (Pre-Freshman and Cooperative Education for Minorities and Women in Engineering) (1978U)
Pre-Freshman and Cooperative Education for Minorities and Women in Engineering (1980B)
Pre-Freshman Education for Minorities and Women in Engineering (1980U1)
Pre-Freshman Engineering for Minorities and Women (1980U2)
Deleted (1981B)
Reinstated (1983U)

	Pre-Freshman Engineering (1983U)		Deleted (1993U)
	Pre-Freshman Enrichment (1990B)	81.064	DOE Technical Information Center (1980U2)
	Consolidated into 81.049 (1992B)		Office of Scientific and Technical Information (1984B)
81.048	Materials Allocation (1978B)	81.065	Research and Development Nuclear Energy Programs (1980U2)
	Priorities and Allocations for Energy Programs and Projects (1980B)		Nuclear Waste Disposal Siting (1983B)
	Deleted (1996B)	81.066	American Indian Energy Production and Efficiency (1980U2)
81.049	Basic Energy Sciences, High Energy and Nuclear Physics, and Advanced Technology and Assessment Projects (1978B)		Deleted (1982B)
	Transferred/Split from 24.024 (1978B)	81.067	Technical Assistance for Local and Tribal Governments (1980U2)
	Basic Energy Sciences, High Energy/Nuclear Physics, Fusion Energy, and Program Analysis (1980B)		Deleted (1982B)
	Basic Energy Sciences, High Energy/Nuclear Physics, Fusion Energy, Program Analysis and Field Operations Management (1980U2)	81.068	Biomass Loan Guarantees (1980U2)
	Basic Energy Sciences, High Energy/Nuclear Physics, Fusion Energy, Health and Environmental Research, Program Analysis and Field Operations Management (1981B)		Deleted (1982B)
	Incorporated into 81.049, 81.073, 81.074 (1981U)	81.069	Gasoline Rationing-State Preimplementation Activities (1980U2)
	Basic Energy Sciences, High Energy/Nuclear Physics, Magnetic Fusion Energy, Health and Environmental Research, Program Analysis and Field Operations Management (1983U)		Deleted (1982B)
	Basic Energy Services, High Energy and Nuclear Physics, Magnetic Fusion Energy, Health and Environmental Research, Program Analysis and Field Operations Management (1985B)	81.070	Energy Auditor Training and Certification Grants (1980U2)
	Basic Energy Sciences-University and Science Education (1991B)		Deleted (1982B)
	Consolidated from 81.011, 81.047 (1992B)	81.071	Emergency Energy Conservation Act Plans (1980U2)
	Office of Energy Research Financial Assistance Program (1997B)		Deleted (1982B)
	Office of Science Financial Assistance Program (1999B)	81.072	Conservation Retrofit Delivery-Research and Development (1981U)
81.050	Energy Extension Service (1978B)		Deleted (1982B)
	Deleted (1994B)	81.073	Geothermal Technologies-Research and Development (1981U)
81.051	Appropriate Energy Technology (1979U1)		Split from 81.049 (1981U)
	Deleted (1985U)		Deleted (1982B)
81.052	Energy Conservation for Institutional Buildings (1979U1)	81.074	Alcohol Fuels Loan Guarantees (1981U)
	Consolidated into 81.041 (1995U)		Split from 81.049 (1981U)
81.053	Public Utility Regulatory Innovative Rates Support (1979U1)		Deleted (1983B)
	Deleted (1982B)	81.075	Energy Graduate Traineeship Program (1981U)
81.054	Public Utility Regulatory Support (1979U1)		Deleted (1983U)
	Deleted (1981U)	81.076	Indian Energy Resources (1983B)
81.055	Small Hydroelectric Power Project Feasibility Studies (1980B)		Deleted (1986U)
	Deleted (1981U)	81.077	University Research Instrumentation (1983U)
81.056	Coal Loan Guarantees (1980B)		Deleted (1996B)
	Deleted (1987U)	81.078	Industrial Energy Conservation (1983U)
81.057	University Coal Research (1980B)		Consolidated into 81.086 (1992U)
81.058	Geothermal Loan Guarantees (1980B)	81.079	Biomass Energy Technology (1983U)
	Deleted (1983B)		Biofuels and Municipal Waste Technology (1985U)
81.059	Clearinghouse for Community Energy Efficiency (1980B)		Regional Biomass Programs (1990U)
	Deleted (1982B)		Regional Biomass Energy Programs (1992U)
81.060	Electric and Hybrid Vehicle Loan Guaranties (1980B)	81.080	Energy Policy, Planning and Development (1983U)
	Deleted (1983B)		Domestic and International Energy Policy Development (1992B)
81.061	Oil Shale State Grants (1980U1)		Consolidated from 81.088 (1992B)
	Deleted (1981U)		Policy, Planning and Program Development (1993U)
81.062	National Clubs, Organizations and Associations Energy Conservation Projects (1980U1)		Office of Policy and Financial Assistance (1995B)
	National Clubs, Organizations and Associations Energy Awareness Projects (1981B)		Office of Policy Financial Assistance (1996B)
	Deleted (1983B)		Deleted (1998B)
81.063	Office of Minority Economic Impact Loans (1980U2)	81.081	Energy Task Force for the Urban Consortium (1983U)
			Deleted (2001B)
		81.082	Management and Technical Assistance for Minority Business Enterprises (1984B)
			Deleted (2004)
		81.083	Minority Educational Institution Research Travel Fund (1984B)
			Deleted (1995B)

81.084	Minority Honors Vocational Training (1984B) Minority Honors Training and Industrial Assistance Program (1988B) Deleted (1994B)	81.105	National Industrial Competitiveness through Energy, Environment, and Economics (1993B)
81.085	National Energy Information Clearinghouse (1984B) National Minority Energy Information Clearinghouse (1985B) Minority Energy Information Clearinghouse (1992B) Deleted (1997B)	81.106	Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns and Proposed Solutions (1993B) Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions, and Environmental Monitoring (1994U) Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (1995B) States and Tribal Concerns, Proposed Solutions (2003B)
81.086	Conservation Research and Development (1984U) Consolidated from 81.078 (1992U)	81.107	Oil Recovery Demonstration (1993U) Deleted (1996B)
81.087	Renewable Energy Research and Development (1984U)	81.108	Epidemiology and Other Health Studies Financial Assistance (1994B) Deleted (1996B) Epidemiology and Other Health Studies Financial Assistance Program, Reinstated (1999B)
81.088	International Affairs and Energy Emergencies (1984U) Consolidated into 81.080 (1992B)	81.109	Financial Assistance Program-Science Education and Technical Information (1994U) Deleted (1997B)
81.089	Fossil Energy Research and Development (1984U)	81.110	National Resource Center for Plutonium (1995B) Deleted (2003B)
81.090	State Heating Oil Grants (1985B) Deleted (1988B)	81.111	Alternative Fuel Transportation Program (1996B) Deleted (1997B)
81.091	Socioeconomic and Demographic Research, Data and Other Information (1985U) Socioeconomic and Demographic Research, Data Analytic Model Development and Information (1993U) Deleted (1997B)	81.112	Inertial Fusion Science-Support of Stockpile Stewardship (1997U) Stewardship Science Grant Program (1999B)
81.092	Remedial Action and Nuclear Waste Technology (1986B) Remedial Action and Waste Technology (1987U) Environmental Restoration (1990B) Deleted (1995B)	81.113	Nonproliferation and National Security Research (1998B) Defense Nuclear Nonproliferation Research (2000B)
81.093	Nuclear Energy Policy, Planning and Development (1986U) Deleted (1991B)	81.114	University Nuclear Science and Reactor Support (1998B)
81.094	Minority Educational Institution Assistance (1986U) Deleted (1991U)	81.116	Science and Engineering Training to Support Diversity- Related Programs (1998U) Deleted (2004)
81.095	Nuclear Energy, Reactor Systems, Development, and Technology (1987B) Deleted (1995B)	81.117	Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/ Assistance (1999B)
81.096	Innovative Clean Coal Technology (1988B) Clean Coal Technology Program (1990B) Deleted (1994B)	81.118	Solar Energy Partnership Support and Barrier Elimination (1999B) Deleted (2001B)
81.097	Science and Engineering Research Semester (1989B) Consolidated into 81.004 (1997B)	81.119	State Energy Program Special Projects (2000B)
81.098	Minority Undergraduate Training for Energy Related Careers (1991B) Deleted (1995B)	81.120	Arms Control and Nonproliferations Policy Analysis (2001B) Deleted (2003) Rescinded (2003B)
81.099	Minority Math Science Leadership Development Recognition (1991B) Deleted (1993B)	81.121	Nuclear Energy Research Initiative (2001U) Nuclear Energy Research, Development and Demonstration (2003B)
81.102	Academic Partnerships (1991B) Deleted (1998B)	81.122	Electricity Delivery and Energy Reliability, Research, Development and Analysis (2005)
81.103	Technology Integration (1991B) Consolidated into 81.104 (1993U)	81.123	National Nuclear Security Administration (NNSA) Historically Black Colleges and Universities (HBCU) Program (2005) National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (2006)
81.104	Technology Development for Environmental Restoration and Waste Management (1992U) 81.104 OFFICE OF ENVIRONMENTAL WASTE PROCESSING (2008) Consolidated from 81.103 (1993U) Technology Development for Environmental Management (1994B) Office of Science and Technology for Environmental Management (2000B) Office of Technology Development and Deployment for Environmental Management (2003B)	81.124	PREDICTIVE SCIENCE ACADEMIC ALLIANCE PROGRAM (PSAAP) (2007)
		81.126	Federal Loan Guarantees for Innovative Energy Technologies (2006)
UNITED STATES INFORMATION AGENCY			
		82.001	Educational Exchange-Graduate Students Transferred from 19.101 (1978B) Number changed to 19.400 (2000B)
		82.002	Educational Exchange-University Lecturers (Professors) and Research Scholars Transferred from 19.102 (1978B)

- Number changed to 19.401 (2000B)
- 82.003 Private Sector Programs (1980B)
Deleted (1981B)
- 82.004 International Visitors Program (1998B)
Number changed to 19.402 (2000B)
- 82.005 Near and Middle East Research and Training Act (NMERTA) (1997U)
Foreign Language and Area Studies-U.S. Students and Scholars (1998B)
Deleted (1999B)
- 82.006 American Council of Young Political Leaders (1999B)
Number changed to 19.403 (2000B)
- 82.009 Professional Development-International Educators/Administrators (1997U)
Number changed to 19.404 (2000B)
- 82.010 College and University Partnerships Program (1998U)
Number changed to 19.405 (2000B)
- 82.011 College and University Affiliations Program (1998B)
Number changed to 19.406 (2000B)
- 82.012 Teacher Exchange-New Independent States (NIS) (1998B)
Number changed to 19.407 (2000B)
- 82.013 Educational Exchange-Teachers from Secondary and Postsecondary Levels (1997U)
Number changed to 19.408 (2000B)
- 82.015 Creative Arts Grants (1998B)
Number changed to 19.409 (2000B)
- 82.016 Educational Exchange-Congress-Bundestag Youth Exchange (1998B)
Number changed to 19.410 (2000B)
- 82.018 Educational Exchange-NIS Secondary School Initiative (1998B)
Number changed to 19.411 (2000B)
- 82.021 Educational Exchange-Faculty (1997U)
Deleted (1998B)
- 82.026 Educational Exchange-Postgraduates and Faculty (1997U)
Number changed to 19.412 (2000B)
- 82.030 Cultural Exchange (Performing Arts) (1998U)
Number changed to 19.413 (2000B)
- 82.032 Cultural Exchange (1997U)
Cultural Exchange (Visual Arts) (1998U)
Number changed to 19.414 (2000B)
- 82.033 Professional Exchange-Annual Open Grant (1997U)
Number changed to 19.415 (2000B)
- 82.034 Exchange-English Teaching Fellows (1997U)
Number changed to 19.416 (2000B)
- 82.035 Exchange-English as a Foreign Language (EFL) Fellows (1997U)
Number changed to 19.417 (2000B)
- 82.038 Educational Exchange-American Studies Institutes (1997U)
Number changed to 19.418 (2000B)
- FEDERAL EMERGENCY MANAGEMENT
ADMINISTRATION**
- 83.001 Academy Planning Assistance (1975B)
Transferred from 11.700 (1979B)
Number changed to 83.404 (1982B)
- 83.002 State Fire Incident Reporting Assistance (1977B)
Transferred from 11.701 (1979B)
Number changed to 83.407 (1983B)
- 83.003 Public Education Assistance Program (1979B)
Deleted (1983B)
- 83.004 Technical Support Services (1980B)
Number changed to 83.408 (1983B)
- 83.005 Arson Task Force Assistance Program (1980U1)
Deleted (1983B)
- 83.006 Student Stipend Program (1980U2)
Number changed to 83.405 (1982B)
- 83.007 Reimbursement for Firefighting on Federal Property (1980U2)
Number changed to 83.409 (1983B)
Number changed from 83.409 (1989B)
Transferred to 97.016 (2003B)
- 83.008 Community-Based Anti-Arson Program (1984U)
Number changed from 83.410 (1989B)
Deleted (1996B)
- 83.009 National Fire Academy-Training Assistance
Number changed from 83.405 (1991B)
National Fire Academy Training Assistance (1993B)
Deleted (1996B)
Reinstated (1996U)
Transferred to 97.018 (2003B)
- 83.010 National Fire Academy Educational Program
Number changed from 83.411 (1991B)
Transferred to 97.019 (2003B)
- 83.011 Hazardous Materials Training Program for Implementation of the Superfund Amendment and Reauthorization Act (SARA) of 1986 (1993B)
Transferred/Split into 83.552 (2000B)
Transferred to 97.020 (2003B)
- 83.012 Hazardous Materials Exercise Assistance Program (1993B)
Hazardous Materials Assistance Program (1994B)
Consolidated into 83.534 (1996B)
Reinstated (2000B)
Transferred to 97.021 (2003B)
- 83.013 National Fire Academy Fire Executive Fellowship Program (1994B)
Deleted (1995B)
- 83.100 Flood Insurance (1980B)
Transferred from 14.001 (1980B)
Transferred to 97.022 (2003B)
- 83.101 Urban Property Insurance (1980B)
Transferred from 14.002 (1980B)
Deleted (1984U)
- 83.102 Crime Insurance (1980B)
Transferred from 14.003 (1980B)
Deleted (1984U)
- 83.103 State Assistance Program-National Flood Insurance Program (1980U1)
Number changed to 83.501 (1982B)
- 83.104 Acquisition of Flood-Damaged Structures (1981B)

	Number changed to 83.502 (1982B)		Number changed to 83.527 (1991B)
	Number changed from 83.502 (1990U)	83.401	Emergency Management-Architect/Engineer Student Development (1980B)
	Deleted (1995B)		Transferred from 12.324 (1980B)
83.105	Community Assistance Program-State Support Services Element (CAP-SSSE) (1993B)		Emergency Management Institute-Architect/Engineer Student Program (1983U)
	Transferred to 97.023 (2003B)		Incorporated into 83.525 (1990B)
83.200	Civil Defense-State and Local Management (1980B)	83.402	Radiological Emergency Response-Training Assistance (1980B)
	Transferred from 12.315 (1980B)		Transferred from 77.002 (1980B)
	Emergency Management Assistance (1980U2)		Emergency Management Institute Radiological Emergency Response-Training (1983U)
	Number changed to 83.503 (1982B)		Incorporated into 83.400 (1989U)
83.201	Civil Defense-State and Local Maintenance and Services (1980B)	83.403	Training and Education Field Deployment (1981B)
	Transferred from 12.319 (1980B)		National Emergency Training Center-Field Training Program (1983U)
	State and Local Maintenance and Services (1980U2)		Emergency Management Institute-Field Studies Program (1984U)
	Number changed to 83.504 (1982B)		Number changed to 83.528 (1991B)
83.203	State Disaster Preparedness Grants (1980B)	83.404	Academy Planning Assistance (1982B)
	Transferred from 14.702 (1980B)		Number changed from 83.001 (1982B)
	Number changed to 83.505 (1982B)		Deleted (1983B)
83.204	Earthquake and Hurricane Loss Study and Contingency Planning Grants (1980B)	83.405	Student Stipend Program (1982B)
	Number changed to 83.506 (1982B)		Number changed from 83.006 (1982B)
83.205	Civil Defense-Industrial Preparedness Planning (1980B)		National Fire Academy Student Stipend Program (1983U)
	Transferred from 12.309 (1980B)		Number changed to 83.009 (1991B)
	Industrial Preparedness Planning (1980U2)	83.406	Training and Fire Programs Educational Program (1983B)
	Number changed to 83.507 (1982B)		National Emergency Training Center-Training Program (1983U)
83.206	Civil Defense-Radiological Instrument Maintenance and Calibration (1980B)		Deleted (1986B)
	Transferred from 12.310 (1980B)	83.407	State Fire Incident Reporting Assistance (1977B)
	Radiological Instruments Maintenance and Calibration (1980U2)		Number changed from 83.002 (1983B)
	Radiological Systems Maintenance (1981U)		Deleted (1984U)
	Number changed to 83.508 (1982B)	83.408	Technical Support Services (1980B)
83.207	Civil Defense-National Shelter Survey (1980B)		Number changed from 83.004 (1983B)
	Transferred from 12.312 (1980B)		Deleted (1984B)
	National Shelter Survey (1980U2)	83.409	Reimbursement for Firefighting on Federal Property (1980U2)
	Number changed to 83.509 (1982B)		Number changed from 83.007 (1983B)
83.208	Civil Defense-Radiation Shielding Design (1980B)		Number changed to 83.007 (1989B)
	Transferred from 12.316 (1980B)	83.410	Community-Based Anti-Arson Program (1984U)
	Radiation Shielding Design (1980U2)		Number changed to 83.008 (1989B)
	Number changed to 83.510 (1982B)	83.411	National Fire Academy Educational Program (1989U)
83.209	State Radiological Defense Officers (1981B)		Number changed to 83.010 (1991B)
	Number changed to 83.511 (1982B)	83.412	Emergency Management Institute (EMI)-Home Study Program (1989U)
83.210	State and Local Emergency Operating Centers (1981B)		Number changed to 83.529 (1991B)
	Number changed to 83.512 (1982B)	83.413	Emergency Management Institute (EMI)-Resident Educational Program (1989U)
83.211	Civil Defense-State and Local Supporting Materials (1981B)		Number changed to 83.530 (1991B)
	Number changed to 83.513 (1982B)	83.500	General Research, Development, and Demonstration Activity (1981U)
83.212	Nuclear Civil Protection Planning (1981U)		Deleted (1984U)
	Number changed to 83.514 (1982B)	83.501	State Assistance Program (1982B)
83.300	Disaster Assistance (1980B)		Number changed from 83.103 (1982B)
	Transferred from 14.701 (1980B)		Deleted (1985U)
	Number changed to 83.516 (1982B)	83.502	Acquisition of Flood-Damaged Structures (1982B)
83.400	Emergency Management Institute-Student Expense Program (1980B)		Number changed to 83.104 (1990U)
	Transferred from 12.314 (1980B)	83.503	Emergency Management Assistance (1982B)
	Emergency Management Institute-Training Assistance (1983U)		

	Number changed from 83.200 (1982B)		Consolidated into 83.532 (1993B)
	Civil Defense-State and Local Emergency Mangement Assistance (1987B)	83.516	Disaster Assistance (1982B)
	Consolidated into 83.534 (1996B)		Number changed from 83.300 (1982B)
83.504	State and Local Maintenance and Services (1982B)		Split into 83.537, 83.538, 83.539, 83.540, 83.541, 83.542, 83.543, 83.544, 83.545, 83.548 (1997U)
	Number changed from 83.201 (1982B)	83.517	Electromagnetic Pulse (EMP) Technical Support (1983B)
	Other State and Local Direction, Control and Warning (1984U)		Consolidated into 83.532 (1993B)
	Consolidated into 83.532 (1993B)	83.518	Emergency Public Information Competitive Challenge Grants (1984U)
83.505	State Disaster Preparedness Grants (1982B)		Deleted (1988B)
	Number changed from 83.203 (1982B)	83.519	Hazard Mitigation Assistance (1985U)
	Consolidated into 83.552 (2000B)		Deleted (1995B)
83.506	Earthquake and Hurricane Loss Study and Contingency Planning Grants (1982B)	83.520	Hurricane Preparedness Grants (1988B)
	Number changed from 83.204 (1982B)		Hurricane Program (1994B)
	Earthquake and Hurricane Preparedness Planning Grants (1983B)		Consolidated into 83.535 (1997U)
	Earthquake and Hurricane Preparedness Grants (1984U)	83.521	Earthquake Hazards Reduction Grants (1988B)
	Deleted (1988B)		Consolidated into 83.535 (1997U)
83.507	Industrial Preparedness Planning (1982B)	83.522	Radiological Defense (1988B)
	Number changed from 83.205 (1982B)		Consolidated into 83.531 (1993B)
	Deleted (1983B)	83.523	Federal Emergency Management Food and Shelter Program (1989B)
83.508	Radiological Systems Maintenance (1982B)		Emergency Food and Shelter National Board Program (1996U)
	Number changed from 83.206 (1982B)		Transferred to 97.024 (2003B)
	Radiological Instrumentation (1984U)	83.524	Superfund Permanent Relocation Assistance Program (1989U)
	Deleted (1988B)		Deleted (1992U)
83.509	National Shelter Survey (1982B)	83.525	Shelter Survey Technician Program-Job Qualification Training (1990B)
	Number changed from 83.207 (1982B)		Deleted (1993B)
	Facility Survey, Engineering and Development (1984B)	83.526	National Urban Search and Rescue (US&R) Program (1991B)
	Deleted (1993B)		National Urban Search and Rescue (US&R) Response System (1995B)
83.510	Radiation Shielding Design (1982B)		Transferred to 97.025 (2003B)
	Number changed from 83.208 (1982B)	83.527	Emergency Management Institute-Training Assistance
	Shelter Development (1983B)		Number changed from 83.400 (1991B)
	Facility Survey Development (1986B)		Transferred to 97.026 (2003B)
	Deleted (1993B)	83.528	Emergency Management Institute-Field Training Program
83.511	State Radiological Defense Officers (1982B)		Number changed from 83.403 (1991B)
	Number changed from 83.209 (1982B)		Consolidated into 83.534 (1996B)
	Radiological Protection Program (1985B)	83.529	Emergency Management Institute (EMI)-Home Study Program
	Radiological Protection Planning and Development (1986B)		Number changed from 83.412 (1991B)
	Radiological Defense Planning and Development (1987U)		Emergency Management Institute (EMI)-Independent Study Program (1993U)
	Deleted (1988B)		Transferred to 97.027 (2003B)
83.512	State and local Emergency Operating Centers (1982B)	83.530	Emergency Management Institute (EMI)-Resident Educational Program
	Number changed from 83.210 (1982B)		Number changed from 83.413 (1991B)
	Consolidated into 83.532 (1993B)		Transferred to 97.028 (2003B)
83.513	State and Local Supporting Materials (1982B)	83.531	State and Local Emergency Management Assistance-Other Assistance
	Number changed from 83.211 (1982B)		Consolidated from 83.514, 83.522 (1993B)
	State and Local Warning and Communication Systems (1983B)		Consolidated into 83.534 (1996B)
	Consolidated into 83.532 (1993B)	83.532	Facilities and Equipment
83.514	Nuclear Civil Protection Planning (1982B)		Consolidated from 83.504, 83.512, 83.513, 83.515, 83.517 (1993B)
	Number changed from 83.212 (1982B)		Consolidated into 83.534 (1996B)
	Population Protection Planning (1983B)	83.533	COOPs for Digitization of Flood Maps (1995B)
	Consolidated into 83.531 (1993B)		Deleted (1996B)
83.515	Broadcast Station Protection Program (1982B)		
	Emergency Broadcast System Guidance and Assistance (1983B)		

83.534 Emergency Management-State and Local Assistance
Consolidated from 83.012, 83.503, 83.528, 83.531, 83.532 (1996B)
Consolidated into 83.552 (2000B)

83.535 Mitigation Assistance
Consolidated from 83.520, 83.521 (1997U)
Consolidated into 83.552 (2000B)

83.536 Flood Mitigation Assistance (1997U)
Transferred to 97.029 (2003B)

83.537 Community Disaster Loans
Incorporated from 83.516 (1997U)
Transferred to 97.030 (2003B)

83.538 Cora Brown Fund
Incorporated from 83.516 (1997U)
Transferred to 97.031 (2003B)

83.539 Crisis Counseling
Incorporated from 83.516 (1997U)
Transferred to 97.032 (2003B)

83.540 Disaster Legal Services
Incorporated from 83.516 (1997U)
Transferred to 97.033 (2003B)

83.541 Disaster Unemployment Assistance
Incorporated from 83.516 (1997U)
Transferred to 97.034 (2003B)

83.542 Fire Suppression Assistance
Incorporated from 83.516 (1997U)
Transferred to 83.55 (2002B)

83.543 Individual and Family Grants
Incorporated from 83.516 (1997U)
Transferred to 97.035 (2003B)

83.544 Public Assistance Grants
Incorporated from 83.516 (1997U)
Transferred to 97.036 (2003B)

83.545 Disaster Housing Program
Incorporated from 83.516 (1997U)
Transferred to 97.037 (2003B)

83.546 National Arson Prevention Initiative (1997U)
Deleted (1998U)

83.547 First Responder Anti-Terrorism Training Assistance (1997U)
First Responder Counter-Terrorism Training Assistance (1999B)
Transferred to 97.038 (2003B)

83.548 Hazard Mitigation Grant
Incorporated from 83.516 (1997U)
Transferred to 97.039 (2003B)

83.549 Chemical Stockpile Emergency Preparedness Program (1998U)
Transferred to 97.040 (2003B)

83.550 National Dam Safety Program (1998U)
Transferred to 97.041 (2003B)

83.551 Project Impact-Building Disaster Resistant Communities (1998U)
Deleted (2002B)

83.552 Emergency Management Performance Grants (1999U)

Consolidated from 83.011, 83.505, 83.534, 83.535 (2000B)
Transferred to 97.042 (2003B)

83.553 State Fire Training Systems Grants (2001B)
Transferred to 97.043 (2003B)

83.554 Assistance to Firefighters Grant (2002B)
Transferred to 97.044 (2003B)

83.555 Cooperating Technical Partners (2002B)
Transferred to 97.045 (2003B)

83.556 Fire Management Assistance Grant (2002B)
Transferred to 97.046 (2003B)

83.557 Pre-Disaster Mitigation (2002B)
Transferred to 97.047 (2003B)

83.558 Federal Assistance to Individuals and Households-Housing (2002B)
Transferred to 97.048 (2003B)

83.559 Federal Assistance to Individuals and Households- Disaster Housing Operations (2002B)
Transferred to 97.049 (2003B)

83.560 Federal Assistance to Individuals and Households-Other Needs (2002B)
Transferred to 97.050 (2003B)

83.562 State and Local All Hazards Emergency Operations Planning (2000B)
Transferred to 97.051 (2003B)

83.563 Emergency Operations Centers (2000B)
Transferred to 97.052 (2003B)

83.564 Citizen Corps (2000B)
Transferred to 97.053 (2003B)

83.565 Community Emergency Response Teams (2000B)
Transferred to 97.054 (2003B)

83.566 Interoperable Communications Equipment (2000B)
Transferred to 97.055 (2003B)

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84.001 Academic Facilities Reconstruction and Renovation for Removal of Architectural Barriers (1980U1)
Deleted (1981U)

84.002 Adult Education-State-Administered Program (1980U1)
Adult Education - Basic Grants to States (2008)
Transferred from 13.400 (1980U1)
Adult Education-State Administered Basic Grant Program (1988U)
Adult Education-State Grant Program (1995U)

84.003 Bilingual Education (1980U1)
Transferred from 13.403 (1980U1)
Deleted (1998B)

84.004 Civil Rights Technical Assistance and Training (1980U1)
Transferred from 13.405 (1980U1)
Desegregation Assistance, Civil Rights Training, and Advisory Services (1989U)
Civil Rights Training and Advisory Services (1997B)

84.005 College Library Resources (1980U1)
Transferred from 13.406 (1980U1)
Deleted (1985B)

84.006 Teacher Centers (1980U1)
Transferred from 13.416 (1980U1)

- Deleted (1982B)
- 84.007 Supplemental Educational Opportunity Grants (1980U1)
Transferred from 13.418 (1980U1)
Federal Supplemental Education Opportunity Grants (1992U)
- 84.008 Alcohol and Drug Abuse Education Program (1980U1)
Transferred from 13.420 (1980U1)
Deleted (1982B)
Reinstated (1983U)
Alcohol and Drug Abuse Education (1983U)
Deleted (1987B)
- 84.009 Program for Education of Handicapped Children in State Operated or Supported Schools (1980U1)
Transferred from 13.427 (1980U1)
Education of Handicapped Children in State Operated or Supported Schools (1990U)
Education of Children with Disabilities in State Operated or Supported Schools (1994B)
Deleted (1995U)
- 84.010 Educationally Deprived Children-Local Educational Agencies (1980U1)
Transferred from 13.428 (1980U1)
Chapter I Programs-Local Educational Agencies (1990U)
Chapter I Grants to Local Educational Agencies (1994U)
Title I Grants to Local Educational Agencies (1995B)
- 84.011 Educationally Deprived Children-Migrants (1980U1)
Transferred from 13.429 (1980U1)
Migrant Education Program-State Formula Grant Program (1980U2)
Migrant Education-Basic State Formula Grant Program (1981U)
Migrant Education-Basic State Grant Program (1994U)
Migrant Education-State Grant Program (2002B)
- 84.012 Educationally Deprived Children-State Administration (1980U1)
Transferred from 13.430 (1980U1)
Deleted (1995U)
- 84.013 Educationally Deprived Children in State Administered Institutions Serving Neglected or Delinquent Children (1980U1)
Transferred from 13.431 (1980U1)
Neglected and Delinquent Children (1984B)
Chapter I Program for Neglected and Delinquent Children (1990U)
Title I Program for Neglected and Delinquent Children (1995B)
- 84.014 Follow Through (1980U1)
Transferred from 13.433 (1980U1)
Deleted (1995U)
- 84.015 International Studies Centers and Foreign Language Area (1980U1)
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (2008)
Transferred from 13.434 (1980U1)
National Resource Centers and Fellowships in International Studies (1981B)
National Resource Centers and Fellowships Program for Language and Area or Language and International Studies (1983B)
- 84.016 International Studies Programs (1980U1)
Transferred from 13.435 (1980U1)
International Studies Program (1981B)
Undergraduate International Studies and Foreign Language Programs (1981U)
- 84.017 Foreign Language and Area Studies-Research (1980U1)
Transferred from 13.436 (1980U1)
International Research and Studies (1981B)
- 84.018 Teacher Exchange (1980U1)
Overseas Programs-Special Bilateral Projects (2004)
Transferred from 13.437 (1980U1)
Summer Seminars Aboard (1984U)
Fulbright-Hays Training Grants-Seminars Abroad (1986B)
Fulbright-Hays Seminar Abroad-Special Bilateral Projects (1986U)
Fulbright-Hays Seminars Abroad-Bilateral Projects (1989U)
International: Overseas Seminars Abroad-Bilateral Projects (1994U)
Overseas Seminars Abroad-Bilateral Projects (2003B)
- 84.019 Fulbright-Hays Training Grants-Faculty Research Abroad (1980U1)
Overseas Programs - Faculty Research Abroad (2008)
Transferred from 13.438 (1980U1)
International: Overseas-Faculty Research Abroad (1994U)
Overseas-Faculty Research Abroad (2003B)
- 84.020 Fulbright-Hays Training Grants-Foreign Curriculum Consultants (1980U1)
Transferred from 13.439 (1980U1)
Deleted (1988U)
- 84.021 Fulbright-Hays Training Grants-Group Projects Abroad (1980U1)
Overseas Programs - Group Projects Abroad (2008)
Transferred from 13.440 (1980U1)
International: Overseas-Group Projects Abroad (1994U)
Overseas-Group Projects Abroad (2003B)
- 84.022 Fulbright-Hays Training Grants-Doctoral Dissertation Research Abroad (1980U1)
Overseas Programs - Doctoral Dissertation Research Abroad (2008)
Transferred from 13.441 (1980U1)
International: Overseas-Doctoral Dissertation (1994U)
Overseas-Doctoral Dissertation (2003B)
- 84.023 Handicapped-Research and Demonstration (1980U1)
Transferred from 13.443 (1980U1)
Research in the Education of the Handicapped (1981B)
Handicapped-Research and Demonstration (1981U)
Handicapped-Innovation and Development (1985B)
Disabled-Innovation and Development (1991B)
Special Education-Innovation and Development (1993B)
Deleted (1998B)
- 84.024 Handicapped Early Childhood Assistance (1980U1)
Transferred from 13.444 (1980U1)
Handicapped Early Childhood Education (1985B)
Early Education for Children with Disabilities (1991B)
Deleted (1998B)
- 84.025 Handicapped Innovative Programs-Deaf-Blind Centers (1980U1)
Transferred from 13.445 (1980U1)
Handicapped Innovative Programs-Deaf-Blind (1984B)
Deaf-Blind Centers (1985B)
Handicapped Education-Deaf-Blind Centers (1987U)
Services for Deaf-Blind Children and Youth (1991B)
Services for Children with Deaf-Blindness (1992B)

	Deleted (1998B)		Transferred from 13.464 (1980U1)
84.026	Handicapped Media Services and Captioned Films (1980U1)		Library Services (1985U)
	Transferred from 13.446 (1980U1)		Public Library Services (1990U)
	Media and Captioning for Individuals with Disabilities (1991B)		Deleted (1997B)
	Media and Captioning Services for Individuals with Disabilities (1994B)	84.035	Interlibrary Cooperation (1980U1)
	Deleted (1998B)		Transferred from 13.465 (1980U1)
84.027	Handicapped Preschool and School Programs (1980U1)		Interlibrary Cooperation and Resource Sharing (1986U)
	Transferred from 13.449 (1980U1)		Interlibrary Cooperation (1990U)
	Handicapped-State Grants (1985U)		Interlibrary Cooperation and Resource Sharing (1991B)
	Special Education-State Grants (1991B)		Deleted (1997B)
	Special Education-Grants to States (1994U)	84.036	Library Training (1980U1)
84.028	Handicapped Regional Resource Centers (1980U1)		Transferred from 13.468 (1980U1)
	Transferred from 13.450 (1980U1)		Library Career Training (1981U)
	Handicapped Regional Resource and Federal Centers (1987U)		Library Education and Human Resource Development (1992U)
	Disabled-Regional Resource and Federal Centers (1991B)		Library Education and Training (1993U)
	Special Education-Regional Resource and Federal Centers (1993B)		Deleted (1997B)
	Special Education Resource Centers (1994B)	84.037	National Defense/Direct Student Loan Cancellations (1980U1)
	Special Education-Regional Resource Centers (1994B)		Transferred from 13.470 (1980U1)
	Deleted (1998B)		National Defense/National Direct/Perkins Loan Cancellations (1988B)
84.029	Handicapped Personnel Preparation (1980U1)		National Defense/National Direct/Federal Perkins Loan Cancellations (1992U)
	Transferred from 13.451 (1980U1)		Federal Perkins Loan Cancellations (1994B)
	Training Personnel for the Education of the Handicapped (1983B)		Loan Cancellations (2001B)
	Special Education Personnel Development (1985B)		Perkins Loan Cancellations (2003B)
	Handicapped Education-Special Education Personnel Development (1987U)	84.038	National Defense/Direct Student Loans (1980U1)
	Special Education-Special Education Personnel Development (1991B)		Transferred from 13.471 (1980U1)
	Special Education-Special Education Personnel Development and Parent Training (1992B)		Perkins Loans (1987B)
	Special Education Personnel Development and Parent Training (1994B)		Perkins Loan Program (1990B)
	Special Education-Personnel Development and Parent Training (1994B)		Perkins Loan Program-Federal Capital Contributions (1990U)
	Deleted (1998B)		Federal Perkins Loan Program-Federal Capital Contributions (1992U)
84.030	Handicapped Teacher Recruitment and Information (1980U1)	84.039	Library Research and Demonstration (1980U1)
	Transferred from 13.452 (1980U1)		Transferred from 13.475 (1980U1)
	Handicapped Teacher Recruitment and Information Dissemination (1984B)		Deleted (1997B)
	Handicapped Teacher Recruitment and Information (1985B)	84.040	School Assistance in Federally Affected Areas-Construction (1980U1)
	Clearinghouses for the Handicapped Program (1987U)		Transferred from 13.477 (1980U1)
	Clearinghouses for the Disabled (1991B)		Impact Aid-Construction (1987U)
	Clearinghouses for Individuals with Disabilities (1992B)		Impact Aid-Facilities Maintenance (1995U)
	Deleted (1998B)	84.041	School Assistance in Federally Affected Areas-Maintenance and Operation (1980U1)
84.031	Higher Education-Strengthening Developing Institutions (1980U1)		Transferred from 13.478 (1980U1)
	Transferred from 13.454 (1980U1)		Impact Aid-Maintenance and Operation (1987U)
	Higher Education-Institution Aid (1985B)		Impact Aid-Maintenance and Operations (1990U)
84.032	Higher Education Act Insured Loans (1980U1)		Impact Aid (1995U)
	Transferred from 13.460 (1980U1)	84.042	Special Services for Disadvantaged Students (1980U1)
	Guaranteed Student Loans (1989B)		Transferred from 13.482 (1980U1)
	Federal Family Education Loans (1993U)		Student Support Services (1987B)
84.033	College Work-Study Program (1980U1)		TRIO-Student Support Services (1995U)
	Transferred from 13.463 (1980U1)	84.043	Strengthening State Educational Agency Management (1980U1)
	Federal Work-Study Program (1992U)		Transferred from 13.486 (1980U1)
84.034	Public Library Services (1980U1)		Deleted (1982B)
		84.044	Talent Search (1980U1)

- Transferred from 13.488 (1980U1)
TRIO-Talent Search (1995U)
- 84.045 Teacher Corps-Operations and Training (1980U1)
Transferred from 13.489 (1980U1)
Deleted (1982B)
- 84.046 Community Service and Continuing Education-State Grants (1980U1)
Transferred from 13.491 (1980U1)
Continuing Education Outreach-State Administered Program (1981B)
Deleted (1983B)
- 84.047 Upward Bound (1980U1)
Transferred from 13.492 (1980U1)
TRIO-Upward Bound (1995U)
- 84.048 Vocational Education-Basic Grants to States (1980U1)
Career and Technical Education -- Basic Grants to States (2008)
Transferred from 13.493 (1980U1)
- 84.049 Vocational Education-Consumer and Homemaking Education (1980U1)
Transferred from 13.494 (1980U1)
Vocational Education-Consumer and Homemaker Education (1985B)
Deleted (1996B)
- 84.050 Vocational Education-Program Improvement and Supportive Service (1980U1)
Transferred from 13.495 (1980U1)
Deleted (1985B)
- 84.051 Vocational Education-Program Improvement Projects (1980U1)
Vocational Education-National Programs (2004)
Career and Technical Education -- National Programs (2008)
Transferred from 13.498 (1980U1)
National Vocational Education Research (1985B)
Vocational Education-National Centers for career and Technical Education (2002B)
- 84.052 Vocational Education-Special Programs for the Disadvantaged (1980U1)
Transferred from 13.499 (1980U1)
Deleted (1985B)
- 84.053 Vocational Education-State Advisory Councils (1980U1)
Transferred from 13.500 (1980U1)
Vocational Education-State Councils (1985B)
Deleted (1997B)
- 84.054 Vocational Education-Leadership Development Awards Program (1980U1)
Transferred from 13.503 (1980U1)
Deleted (1981B)
- 84.055 Higher Education-Cooperative Education (1980U1)
Transferred from 13.510 (1980U1)
Deleted (1997B)
- 84.056 Emergency School Aid Act-Basic Grants to Local Educational Agencies (1980U1)
Transferred from 13.525 (1980U1)
Deleted (1982B)
- 84.057 Emergency School Aid Act-Grants to Nonprofit Organizations (1980U1)
Transferred from 13.529 (1980U1)
Deleted (1982B)
- 84.058 Emergency School Aid Act-Educational Television and Radio (1980U1)
Transferred from 13.530 (1980U1)
Deleted (1982B)
- 84.059 Emergency School Aid Act-Special Programs and Projects (1980U1)
Transferred from 13.532 (1980U1)
Split/Incorporated into 84.106, 84.107, 84.108, 84.109, 84.110 (1981B)
- 84.060 Development Awards Program-Indian Education-Local Educational Agencies and Tribal Schools (1980U1)
Transferred from 13.534 (1980U1)
Indian Education-Entitlement Grants to Local Educational Agencies and Tribal Schools (1981U)
Indian Education-Formula Grants to Local Educational Agencies and Tribal Schools (1984U)
Indian Education-Formula Grants to Local Educational Agencies (1988U)
Indian Education-Grants to Local Educational Agencies (1994U)
- 84.061 Indian Education-Special Programs and Projects (1980U1)
Transferred from 13.535 (1980U1)
Deleted (1995U)
- 84.062 Indian Education-Adult Indian Education (1980U1)
Transferred from 13.536 (1980U1)
Indian Education-Adult Education (1990U)
Deleted (1997B)
- 84.063 Basic Educational Opportunity Grant Program (1980U1)
Transferred from 13.539 (1980U1)
Pell Grant Program (1981B)
Federal Pell Grant Program (1992U)
- 84.064 Higher Education-Veterans' Cost of Instruction Program (1980U1)
Transferred from 13.540 (1980U1)
Higher Education-Veterans Education Outreach Program (1987B)
Deleted (1994B)
- 84.065 Educational Television and Radio (Programming) (1980U1)
Transferred from 13.541 (1980U1)
Educational Television and Radio Programming (1981B)
Deleted (1983B)
- 84.066 Educational Opportunity Centers (1980U1)
Transferred from 13.543 (1980U1)
TRIO-Educational Opportunity Centers (1995U)
- 84.067 Use of Technology in Basic Skills Instruction (1980U1)
Transferred from 13.544 (1980U1)
Deleted (1983B)
- 84.068 Indochina Refugee Children Assistance (1980U1)
Transferred from 13.545 (1980U1)
Deleted (1982B)
- 84.069 Grants to States for State Student Incentives (1980U1)
Transferred from 13.548 (1980U1)
State Student Incentives Grants (1994U)
Leveraging Educational Assistance Partnership (1999B)
- 84.070 Ethnic Heritage Studies Program (1980U1)
Transferred from 13.549 (1980U1)
Deleted (1982B)
- 84.071 State Postsecondary Education Commissions Program-Intrastate Planning (1980U1)

	Transferred from 13.550 (1980U1)		Transferred from 13.567 (1980U1)
	Incorporated into 84.046 (1981B)		Incorporated into 84.094 (1981B)
84.072	Indian Education-Grants to Indian-Controlled Schools (1980U1)	84.086	Innovative Programs for Severely Handicapped Children (1980U1)
	Transferred from 13.551 (1980U1)		Transferred from 13.568 (1980U1)
	Deleted (1996B)		Handicapped Education-Severely Handicapped Program (1987U)
84.073	National Diffusion Program (National Diffusion Network) (1980U1)		Special Education-Severely Disabled Program (1991B)
	Transferred from 13.553 (1980U1)		Special Education-Program for Severely Disabled Children (1994U)
	National Diffusion Program (1981B)		Deleted (1998B)
	National Diffusion Network (1981U)	84.087	Indian Education-Fellowships for Indian Students (1980U1)
	Deleted (1997B)		Transferred from 13.569 (1980U1)
84.074	Career Education (1980U1)		Deleted (1997B)
	Transferred from 13.554 (1980U1)	84.088	Instructional Materials and School Library Resources (1980U1)
	Deleted (1982B)		Transferred from 13.570 (1980U1)
84.075	Public Service Education-Institutional Grants and Fellowships (1980U1)		Deleted (1982B)
	Transferred from 13.555 (1980U1)	84.089	Improvement in Local Educational Practice (1980U1)
	Incorporated into 84.094 (1981B)		Transferred from 13.571 (1980U1)
84.076	Community Service and Continuing Education-Special Projects (1980U1)		Deleted (1982B)
	Transferred from 13.557 (1980U1)	84.090	Health Education Assistance Loans (1980U1)
	Deleted (1980U2)		Transferred from 13.574 (1980U1)
84.077	Bilingual Vocational Training (1980U1)		Transferred to 13.108 (1981B)
	Transferred from 13.558 (1980U1)	84.091	Strengthening Research Library Resources (1980U1)
	Deleted (1996B)		Transferred from 13.576 (1980U1)
84.078	Regional Education Programs for Deaf and Other Handicapped Persons (1980U1)		Improving Access to Research Library Resources (1992U)
	Transferred from 13.560 (1980U1)		Deleted (1996B)
	Postsecondary Education Programs for Handicapped Persons (1984B)	84.092	Guidance, Counseling, and Testing in Elementary/Secondary Schools (1980U1)
	Postsecondary Education Programs for Persons with Disabilities (1991B)		Transferred from 13.577 (1980U1)
	Special Education-Postsecondary Education Programs for Persons with Disabilities (1994U)		Deleted (1981B)
	Deleted (1998B)	84.093	Emergency Adult Education Program for Indochina Refugees (1980U1)
84.079	Education for the Use of the Metric System of Measurement (1980U1)		Transferred from 13.579 (1980U1)
	Transferred from 13.561 (1980U1)		Deleted (1982B)
	Deleted (1982B)	84.094	Graduate and Professional Opportunities (1980U1)
84.080	Education for Gifted and Talented Children and Youth (1980U1)		Transferred from 13.580 (1980U1)
	Transferred from 13.562 (1980U1)		Graduate and Professional Study (1981B)
	Deleted (1982B)		Patricia Roberts Harris Fellowships (1987B)
84.081	Community Education (1980U1)		Harris Fellowships (1993U)
	Transferred from 13.563 (1980U1)		Deleted (1997B)
	Deleted (1982B)	84.095	Citizen Educational for Cultural Understanding Program (1980U1)
84.082	Consumers' Education (1980U1)		Transferred from 13.581 (1980U1)
	Transferred from 13.564 (1980U1)		International Understanding Program (1981B)
	Deleted (1982B)		Deleted (1982B)
84.083	Women's Educational Equity (1980U1)	84.096	Incentive Grants for State Student Financial Assistance Training (1980U1)
	Transferred from 13.565 (1980U1)		Transferred from 13.582 (1980U1)
	Women's Educational Equity Act Program (1994U)		Deleted (1981U)
84.084	Elementary and Secondary School Education in the Arts (1980U1)	84.097	Law School Clinical Experience Program (1980U1)
	Transferred from 13.566 (1980U1)		Transferred from 13.584 (1980U1)
	Deleted (1982B)		Deleted (1997B)
84.085	Domestic Mining and Mineral and Mineral Fuel Conservation Fellow (1980U1)	84.098	Educational Information Centers (1980U1)
			Transferred from 13.585 (1980U1)
			Incorporated into 84.046 (1981B)

84.099	Bilingual Vocational Instructor Training (1980U1) Transferred from 13.586 (1980U1) Deleted (1996B)	Transferred from 13.691 (1980U1) Deleted (1982B)
84.100	Bilingual Vocational Instructional Materials, Methods, and Techniques (1980U1) Transferred from 13.587 (1980U1) Bilingual Vocational Materials, Methods, and Techniques (1985B) Deleted (1996B)	84.113 Adult Education Program for Adult Immigrants (1980U1) Transferred from 13.692 (1980U1) Deleted (1982B)
84.101	Vocational Education-Program for Indian Tribes and Indian Organizations (1980U1) Career and Technical Education - Indian Set-aside (2008) Transferred from 13.588 (1980U1) Vocational Education-Indian and Hawaiian Natives (1985B) Vocational Education-Indians (1992U) Vocational Education-Indians Set-aside (1994U)	84.114 Capacity Building for Statistical Activities (1980U1) Transferred from 13.922 (1980U1) Capacity-Building Grants for Data Systems in State Agencies (1981U) Deleted (1982B)
84.102	Emergency School Aid Act-Magnet Schools, University/Business Cooperation and Neutral Site Planning (1980U1) Transferred from 13.589 (1980U1) Deleted (1982B)	84.115 Institute of Museum Services (1980U1) Transferred from 13.923 (1980U1) Transferred to 45.301 (1982B)
84.103	Training for Special Programs Staff and Leadership Personnel (1980U1) TRIO Staff Training Program (2005) TRIO Staff Training Program (2005) Transferred from 13.592 (1980U1) Training Program for Federal TRIO Programs (1993B) Higher Education-TRIO Staff Training Program (1994U)	84.116 Fund for the Improvement of Postsecondary Education (1980U1) Transferred from 13.925 (1980U1)
84.104	Career Education State Allotment Program (1980U1) Transferred from 13.596 (1980U1) Deleted (1982B)	84.117 Educational Research and Development (1980U1) Transferred from 13.950 (1980U1) Deleted (1996B)
84.105	Basic Skills Improvements (1980U1) Transferred from 13.599 (1980U1) Basic Skills Improvement (1981B) Deleted (1982B)	84.118 National Adult Education Development and Dissemination Program and Planning Grants (1980U1) Deleted (1981B)
84.106	Emergency School Aid Act-Planning Grants (1980U1) Transferred from 13.685 (1980U1) Deleted (1982B)	84.119 Pre-College Teacher Development In Science Program (1980U1) Deleted (1982B)
84.107	Emergency School Aid Act-Pre-Implementation Assistance Grants (1980U1) Transferred from 13.686 (1980U1) Deleted (1982B)	84.120 Minority Institutions Science Improvement Program (1980U1) Minority Science Improvement (1987B) Minority Science and Engineering Improvement (1999B)
84.108	Emergency School Aid Act-Out-of-Cycle Grants (1980U1) Transferred from 13.687 (1980U1) Deleted (1982B)	84.121 Vocational Education-State Planning and Evaluation (1980U1) Deleted (1985B)
84.109	Emergency School Aid Act-Special Discretionary Assistance Grants (1980U1) Transferred from 13.688 (1980U1) Deleted (1982B)	84.122 Secretary's Discretionary Program (1980U1) Deleted (1982B) Reinstated (1983U) Secretary's Discretionary (1983U) Deleted (1990B)
84.110	Emergency School Aid Act-State Agency Grants (1980U1) Transferred from 13.689 (1980U1) Deleted (1982B)	84.123 Law-Related Education (1980U1) Deleted (1982B) Reinstated (1983U) Instruction in Civics, Government, and Law (1995B) Deleted (1997B)
84.111	Emergency School Aid Act-Grants for the Arts (1980U1) Transferred from 13.690 (1980U1) Deleted (1982B)	84.124 Territorial Teacher Training Assistance Program (1980U1) Deleted (1995U)
84.112	Biomedical Sciences for Talented Disadvantaged Secondary Students (1980U1)	84.125 Office for Handicapped Individuals (1980U1) Transferred from 13.603 (1980U1) Office of Information and Resources for the Handicapped (1980U2) Clearinghouse on the Handicapped (1981U) Clearinghouse on Disability Information (1989B) Deleted (1996B)
		84.126 Rehabilitation Services-Basic Support (1980U1) Transferred from 13.624 (1980U1) Rehabilitation Services-Vocational Rehabilitation of State Grants (1994B) Rehabilitation Services-Vocational Rehabilitation Grants to States (1994U)
		84.127 Vocational Rehabilitation Services for Social Security Disability Beneficiaries (1980U1)

	Transferred from 13.625 (1980U1)		Migrant Education-Coordination Program (1990B)
	Deleted (1981B)	84.145	Federal Real Property Assistance Program (1980U2)
84.128	Rehabilitation Services-Special Projects (1980U1)	84.146	Transition Program for Refugee Children (1981B)
	Transferred from 13.626 (1980U1)		Deleted (1991B)
	Rehabilitation Services-Service Projects (1984B)	84.148	Allen J. Ellender Fellowship Program (1981B)
84.129	Rehabilitation Training (1980U1)		Deleted (1988B)
	Transferred from 13.629 (1980U1)	84.149	Migrant Education Program-Part B-College Assistance Program (1981B)
	Rehabilitation Long-Term Training (1993U)		Split from 84.141 (1981B)
84.130	Rehabilitation Services-Innovation and Expansion (1980U1)		Migrant Education-College Assistance Migrant Program (1981U)
	Transferred from 13.649 (1980U1)	84.151	Education Consolidation and Improvement Programs-Chapter 2 (1982B)
	Deleted (1981U)		Improving Schools Programs-State Block Grants (1983B)
84.131	Vocational Rehabilitation Services for Supplemental Security Income Beneficiaries (1980U1)		Federal, State, and Local Partnerships for Educational Improvement (1988U)
	Transferred from 13.651 (1980U1)		Chapter 2-State Block Grants (1994U)
	Deleted (1981B)		Deleted (1995U)
84.132	Centers for Independent Living (1980U1)	84.152	Neglected or Delinquent Transition Services (1982B)
	Transferred from 13.653 (1980U1)		Deleted (1984B)
84.133	National Institute of Handicapped Research (1980U1)	84.153	Business and International Education (1983B)
	Transferred from 13.654 (1980U1)		International Business Education (2000B)
	National Institute on Disability and Rehabilitation Research (1987B)		Business and International Education Projects (2001B)
84.134	Telecommunications Demonstrations for Health, Education and Other Social Services (1980U1)	84.154	Library Services and Construction Act-Construction (1983B)
	Transferred from 13.680 (1980U1)		Public Library Construction (1985U)
	Deleted (1981U)		Public Library Construction and Technology Enhancement (1991B)
84.135	Aid to Land-Grant Colleges (1980U2)		Deleted (1997B)
	Transferred to 10.003 (1983U)	84.155	Removal of Architectural Barriers to the Handicapped (1983U)
84.136	Legal Training for the Disadvantaged (1980U2)		Deleted (1990B)
	Deleted (1997B)	84.157	Secretary's Initiative to Improve the Quality of Chapter 1, ECIA Projects (1983U)
84.138	Educational Services to Cuban and Haitian Entrant Children (1980U2)		Deleted (1984B)
	Deleted (1981U)	84.158	Secondary Education and Transitional Services for Handicapped Youth (1984B)
84.139	Cities in Schools (1980U2)		Deleted (1998B)
	Deleted (1982B)		Secondary Education and Transitional Services for Youth with Disabilities (1991B)
84.140	Push for Excellence (1980U2)	84.159	Handicapped-Special Studies (1984B)
	Deleted (1982B)		Disabled-Special Studies and Evaluation (1991B)
84.141	High School Equivalency and College Assistance Migrant Program (1980U2)		Special Studies for Programs with Disabilities (1994B)
	Split into 84.141, 84.149 (1981B)		Special Studies for Persons with Disabilities Program (1994B)
	Migrant Education Program-Part A-High School Equivalency Program (1981B)		Special Education-Special Studies for Persons with Disabilities (1994U)
	Migrant Education-High School Equivalency Program (1981U)		Deleted (1998B)
84.142	Housing for Educational Institutions (1980U2)	84.160	Training Interpreters for Deaf Individuals (1984B)
	Housing and Other Educational Facilities Loans (1987B)		Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (1993B)
	College Housing and other Educational Facilities Loans (1989B)	84.161	Client Assistance for Handicapped Individuals (1984B)
	College Facilities Loans (1990B)		Client Assistance for Individuals with Handicaps (1990U)
	College Housing and Academic Facilities Loans (1991B)		Client Assistance for Individuals with Disabilities (1991B)
	Deleted (1998B)		Rehabilitation Services-Client Assistance Program (1991U)
84.143	Emergency School Aid Act-Evaluation Contracts (1980U2)	84.162	Emergency Immigrant Education Assistance (1984U)
	Deleted (1983B)		Emergency Immigrant Education (1987U)
84.144	Migrant Education-Discretionary Interstate/Intrastate Coordination Program (1980U2)		Immigrant Education (1995B)
	Migrant Education Program-Discretionary Interstate and Intrastate Coordination (1981B)		Deleted (2002B)
	Migrant Education-Interstate and Intrastate Coordination Program (1981U)	84.163	Library Services for Indian Tribes and Hawaiian Natives (1985B)

- Deleted (1997B)
- 84.164 State Grants for Strengthening the Skills of Teachers and Instruction in Mathematics, Science, Foreign Languages, and Computer Learning (1985B)
State Grants for Strengthening the Skills of Teachers and Instruction in Mathematics and Science (1989B)
Mathematics and Science Education (1990B)
Eisenhower Mathematics and Science Education-State Grants (1990U)
Deleted (1995U)
- 84.165 Magnet Schools Assistance (1985B)
Magnet Schools Assistance in Desegregating Districts (1991B)
Magnet Schools Assistance (1994U)
- 84.167 Library Literacy (1986B)
Deleted (1996U)
- 84.168 Secretary's Discretionary Program for Mathematics, Science Computer Learning, and Critical Foreign Languages (1985B)
National Programs for Strengthening, Teaching, and Administration in Mathematics and Science Programs (1989B)
National Program for Mathematics and Science Education (1989U)
Eisenhower National Program for Mathematics and Science Education (1991U)
Dwight D. Eisenhower National Program for Mathematics and Science Education (1992B)
Eisenhower Mathematics and Science Education-National Program (1994U)
Eisenhower Professional Development-Federal Activities (1995B)
Eisenhower Professional Development-National Activities (1995U)
Eisenhower Professional Development-Federal Activities (1997U)
Deleted (2004)
- 84.169 Comprehensive Services for Independent Living (1985B)
Independent Living Services (1993B)
Independent Living-State Grants (1993U)
- 84.170 National Graduate Fellows (1985B)
Jacob K. Javits Fellowships (1987B)
Javits Fellowships (1993U)
- 84.171 Excellence in Education (1985B)
Deleted (1988B)
- 84.172 Construction, Reconstruction, and Renovation of Academic Facilities (1985B)
Deleted (1990B)
- 84.173 Handicapped-Preschool Incentive Grants (1985U)
Handicapped-Preschool Grants (1987U)
Special Education-Preschool Grants (1991B)
- 84.174 Vocational Education-Community Based Organizations (1986B)
Deleted (1996B)
- 84.175 Rehabilitation Services-Innovation and Expansion (1986B)
Deleted (1986U)
- 84.176 Carl D. Perkins Scholarships (1986U)
Paul Douglas Teacher Scholarships (1987U)
Douglas Teacher Scholarships (1992B)
Deleted (1997B)
- 84.177 Rehabilitation Services-Independent Living for Older Blind Individuals (1986B)
Rehabilitation Services-Independent Living Services for Older Blind Individuals (1987U)
Rehabilitation Services-Independent Living Services for Older Individuals Who are Blind (1993B)
- 84.178 Leadership in Educational Administration Development (1986U)
Deleted (1995B)
- 84.180 Technology, Educational Media and Materials for the Handicapped (1987B)
Technology, Educational Media and Materials for Individuals with Disabilities (1991B)
Technology Applications for Individuals with Disabilities (1993B)
Technology, Educational Media and Materials for Individuals with Disabilities (1994B)
Technology Applications for Individuals with Disabilities (1995U)
Deleted (1998B)
- 84.181 Handicapped Infants and Toddlers (1987B)
Infants and Toddlers with Disabilities (1991B)
Grants for Infants and Toddlers with Disabilities (1992U)
Grants for Infants and Families with Disabilities (1993U)
Special Education-Grants for Infants and Families with Disabilities (1994U)
Special Education-Grants for Infants and Families (2008)
- 84.184 National Program for Drug-Free Schools and Communities (1987B)
Drug-Free Schools and Communities-National Programs (1990U)
Safe and Drug-Free Schools and Communities-National Programs (1996U)
- 84.185 Robert C. Byrd Honors Scholarships (1987B)
Byrd Honors Scholarships (1993U)
- 84.186 Drug-Free Schools and Communities-State Grants (1987B)
Safe and Drug-Free Schools-State Grants (1995B)
Safe and Drug-Free Schools and Communities-State Grants (1996U)
- 84.187 Supported Employment Services for Individuals with Severe Handicaps (1987B)
Supported Employment Services for Individuals with Severe Disabilities (1993B)
Supported Employment Services for Individuals with Significant Disabilities (2008)
- 84.188 Drug-Free Schools and Communities-Regional Centers (1987B)
Deleted (1995U)
- 84.190 Christa McAuliffe Fellowships (1987B)
Deleted (1997B)
- 84.191 National Adult Education Discretionary Program (1987U)
National Adult Education Research (1989B)
National Adult Education Discretionary Program (1991B)
Adult Education-National Programs (1994U)
Adult Education-Evaluation and Technical Assistance (1997B)
Adult Education-National Leadership Activities (1998U)
- 84.192 Adult Education for the Homeless (1987U)
Adult Education-Literacy Training for Homeless Adults (1994U)
Deleted (1997B)
- 84.193 Demonstration Centers for the Retraining of Dislocated Workers (1987U)
Demonstration Centers for the Training of Dislocated Workers (1991B)
Deleted (1994B)
- 84.194 Bilingual Education Support Services (1987U)
Deleted (2002B)
- 84.195 Bilingual Education Training Grants (1987U)
Bilingual Education-Professional Development (1996B)
Deleted (2004)
- 84.196 State Activities-Education of Homeless Children and Youth (1987U)

	State Activities-Education for Homeless Children and Youth (1990B)		Deleted (1995U)
	Education for Homeless Children and Youth-Grants for State and Local Activities (1992B)	84.212	FIRST Family School Partnerships (1988U)
	Education for Homeless Children and Youth (1994U)		FIRST Family School Partnership (1989U)
84.197	College Library Technology and Cooperation Grants (1988B)		Deleted (1995U)
	College Library Technology (1990U)	84.213	Even Start-Local Education (1988U)
	College Library Technology and Cooperation Grants (1992U)		Even Start-Local Education Agencies (1989B)
	Deleted (1996B)		Even Start-Local Educational Agencies (1990U)
84.198	Workplace Literacy Partnership (1988B)		Even Start-State Educational Agencies (1991U)
	Workplace Literacy (1988U)	84.214	Even Start-Migrant Education (1988U)
	Workplace Literacy Partnerships (1990U)	84.215	Innovation in Education (1988U)
	National Workplace Literacy Partnerships (1991B)		The Secretary's Fund for Innovation in Education (1989B)
	National Workplace Literacy Program (1991U)		Fund for the Improvement of Education (1994U)
	National Workplace Literacy Partnerships (1993U)	84.216	Private School-Capital Expenses (1988U)
	Workplace Literacy Partnerships (1994U)		Deleted (2003B)
	Deleted (1998B)	84.217	Ronald E. McNair Post-Baccalaureate Achievement (1989B)
84.199	Vocational Education-Cooperative Demonstration (1988B)		McNair Post-Baccalaureate Achievement (1993U)
	Deleted (1996B)		TRIO-McNair Post-Baccalaureate Achievement (2002B)
84.200	Graduate Assistance in Areas of National Need (1988B)	84.218	State Improvement (1989B)
	Graduate Assistance in Areas of National Need (2005)		State Program Improvement Grants (1990B)
	Graduate Assistance in Areas of National Need (2005)		State School Improvement Grants (1996B)
84.201	School Dropout Demonstration Assistance (1988B)		Deleted (1998B)
	Dropout Demonstration Assistance (1994U)	84.219	Student Literacy Corps (1989B)
	Dropout Prevention Demonstration Assistance (1995U)		Student Literacy Corps and Student Mentoring Corps Programs (1992U)
	Deleted (1997B)		Student Literacy Corps and Student Mentoring Corps (1994B)
84.202	Grants to Institutions to Encourage Minority Participation in Graduate Education (1988B)		Deleted (1995B)
	Grants to Institutions to Encourage Women and Minority Participation in Graduate Education (1992U)	84.220	Center for International Business Education (1989B)
	Women and Minority Participation in Graduate Education (1994B)	84.221	Native Hawaiian Special Education (1989B)
	Deleted (1996B)		Deleted (2004)
84.203	Star Schools Program (1988B)	84.222	National School Volunteer Program (1989B)
	Star Schools (1994U)		Deleted (1991B)
84.204	School, College, and University Partnership (1988U)	84.223	State-Administered English Literacy (1989B)
	School, College, and University Partnerships (1991B)		English Literacy Program (1989U)
	Deleted (1997B)		Deleted (1995B)
84.206	Jacob K. Javits Gifted and Talented Students (1988U)	84.224	State Grants for Technology-Related Assistance to Individuals with Disabilities (1989B)
	Jacob K. Javits Gifted and Talented Students Education Grant Program (1991U)		State Grants for Assistive Technology (1994U)
	Javits Gifted and Talented Students Education Grant Program (1994U)		Assistive Technology (1999B)
84.207	Educational Personnel Training (1989B)	84.225	Education for Homeless Children and Youth-Exemplary Grants (1989U)
	School Personnel Training (1990B)		Deleted (1992B)
	Drug-Free Schools and Communities-School Personnel Training (1990U)	84.226	Income Contingent Loan Program (1990B)
	Deleted (1995U)		Deleted (1994B)
84.208	Native Hawaiian Model Curriculum Implementation Project (1988U)	84.227	Secondary Schools-Basic Skills Demonstration Assistance (1990B)
	Native Hawaiian Model Curriculum Development (1989U)		Deleted (1992B)
	Deleted (1995U)	84.228	Educational Partnerships (1990B)
84.209	Native Hawaiian Family Based Education Centers (1988U)		Education Partnerships (1994U)
	Deleted (2004)		Deleted (1995B)
84.210	Native Hawaiian Gifted and Talented (1988U)	84.229	Language Resource Centers (1990B)
	Deleted (2004)	84.230	Technology Education Demonstration (1990B)
84.211	FIRST Schools and Teachers (1988U)		Deleted (1994B)

- 84.231 Demonstration and Innovation Projects of National Significance in Technology-Related Assistance for Individuals with Disabilities (1990B)
Demonstration and Innovation Projects of National Significance in Assistive Technology for Individuals with Disabilities (1994U)
Deleted (1995U)
- 84.232 Mid-Career Teacher Training (1990B)
Deleted (1993B)
- 84.233 Emergency Grants (1991B)
Drug-Free Schools and Communities-Emergency Grants (1992B)
Deleted (1995U)
- 84.234 Projects with Industry (1991B)
- 84.235 Special Projects and Demonstrations for Providing Vocational Rehabilitation Services to Individuals with Severe Handicaps (1991B)
Special Projects and Demonstrations for Providing Vocational Rehabilitation Services to Individuals with Severe Disabilities (1992B)
Rehabilitation Services Demonstration and Training-Special Demonstration Programs (1999B)
Rehabilitation Services Demonstration and Training Programs (2003B)
- 84.236 Training and Public Awareness Projects in Technology Related Assistance for Individuals with Disabilities (1991B)
Training and Public Awareness Projects in Assistive Technology for Individuals with Disabilities (1994U)
Deleted (1995U)
- 84.237 Children and Youth with Serious Emotional Disturbance (1991B)
Special Education-Program for Children with Serious Emotional Disturbance (1994U)
Deleted (1998B)
- 84.238 Training Programs for Educators-Alcohol Abuse (1991B)
Deleted (1995B)
- 84.239 Foreign Language Materials Acquisition (1991B)
Deleted (1995B)
- 84.240 Program of Protection and Advocacy (1991B)
Program of Protection and Advocacy of Individual Rights (1992B)
- 84.241 Counselor Training (1991B)
Drug-Free Schools-Counselor Training (1994U)
Deleted (1995U)
- 84.242 National Science Scholars (1991B)
Deleted (1997B)
- 84.243 Tech-Prep Education (1991B)
- 84.244 Business and Education Standards (1991B)
Deleted (1997B)
- 84.245 Tribally Controlled Postsecondary Vocational Institutions (1991B)
Tribally Controlled Postsecondary Vocational and Technical Institutions (1999B)
Tribally Controlled Postsecondary Career and Technical Institutions (2007)
- 84.246 Rehabilitation Short-Term Training (1991B)
- 84.247 Commercial Drivers Education (1991U)
Deleted (1994B)
- 84.248 Demonstration Projects for the Integration of Vocational and Academic Learning (1991U)
Deleted (1997B)
- 84.249 Foreign Languages Assistance (1991U)
Deleted (1995U)
- 84.250 Rehabilitation Services-American Indians with Disabilities (1991U)
- 84.251 Periodicals Published Outside the United States (1992B)
Foreign Periodicals (1993U)
Deleted (1996B)
- 84.252 Urban Community Services (1992B)
Urban Community Service (1994B)
Deleted (2001B)
- 84.253 Supplementary State Grants for Facilities, Equipment, and Other Program Improvement Activities (1992B)
Deleted (1995B)
- 84.254 State Literacy Resource Centers (1992B)
Deleted (1997B)
- 84.255 Literacy for Incarcerated Adults (1992B)
Literacy Programs for Prisoners (1994U)
Deleted (2008)
- 84.256 Territories-Freely Associated States Education Grant Program (1992B)
Education Grant Program for the Freely Associated States (1994U)
Freely Associated States-Education Grant Program (1997U)
- 84.257 National Institute for Literacy (1995B)
- 84.258 Even Start-Indian Tribes and Tribal Organizations (1992U)
- 84.259 Native Hawaiian Vocational Education (1992U)
Native Hawaiian Career and Technical Education (2007)
- 84.260 National Academy of Science, Space, and Technology (1993B)
Deleted (1995U)
- 84.261 Dwight D. Eisenhower Leadership Development (1993B)
Eisenhower Leadership (1994B)
Eisenhower Leadership Program (1994U)
Deleted (1996B)
- 84.262 Minority Teacher Recruitment (1993U)
Deleted (1999B)
- 84.263 Rehabilitation Training-Experimental and Innovative Training (1993B)
- 84.264 Rehabilitation Training-Continuing Education (1993B)
- 84.265 Rehabilitation Training-State Vocational Rehabilitation Unit In-Service Training (1993B)
- 84.266 Training in Early Childhood Education and Violence Counseling (1993B)
Deleted (1996B)
- 84.267 State Postsecondary Review (1993U)
Deleted (1996B)
- 84.268 Federal Direct Student Loan (1994B)
Federal Direct Loan (1997U)
Federal Direct Student Loans (2002B)
- 84.269 Institute for International Public Policy (1994B)
- 84.270 Teacher Corps (1994B)
Deleted (1995U)
- 84.271 Faculty Development Fellowship (1994B)
Deleted (1996B)
- 84.272 National Early Intervention Scholarship and Partnership (1994B)
National Early Intervention Scholarship and Partnership (NEISP) (1994U)
National Early Intervention Scholarship and Partnership (1995U)
Deleted (1999B)
- 84.273 Demonstration Grants for Critical Language and Area Studies (1994B)

	Deleted (1996U)	84.296	Native Hawaiian Community-Based Education Learning Centers (1995B) Deleted (2004)
84.274	American Overseas Research Centers (1994B)	84.297	Native Hawaiian Curriculum Development, Teacher Training and Recruitment (1995B) Deleted (2004)
84.275	Rehabilitation Training-General Training (1995U)	84.298	Innovative Education Program Strategies (1995B) State Grants for Innovative Programs (2004)
84.276	Goals 2000-State and Local Education Systemic Improvement Grants (1995B) Deleted (2002B)	84.299	Indian Education-Special Programs (1995B) Deleted (1997B)
84.277	Safe Schools Discretionary Grants to Local Education Agencies (1995B) Deleted (1995U)	84.300	Alaska and Native Hawaiian Cultural and Arts Development (1995B) Native Hawaiian and Alaska Native Culture and Arts Development (1996U) Deleted (1997B)
84.278	School to Work Opportunities (2001U) Deleted (2002B)	84.301	Olympic Scholarships (1995B) Deleted (1995U)
84.279	Goals 2000-Assessment Development and Evaluation Grants (1995B) Deleted (1996U)	84.302	Technical Support and Professional Development Consortia for Technology (1995B) Eisenhower Regional Mathematics and Science Education Consortia (1996B) Regional Technical Support and Professional Development Consortia (1996U) Regional Technology in Education Consortia (2002B) Deleted (2005)
84.280	Goals 2000-Opportunity-To-Learn Development Grants (1995B) Deleted (1996U)	84.303	Challenge Grants for Technology in Education (1995B) Local Innovation-Challenge Grants for Technology in Education (1996B) Technology Challenge Grants (1997B) Technology Innovation Challenge Grants (1997U) Deleted (2002B)
84.281	Eisenhower Professional Development State Grants (1995B) Deleted (2004)	84.304	International Education Exchange (1995B) Cooperative Education Exchange Program (2002B) Civic Education-Cooperative Education Exchange Program (2003B) Civic Education - Cooperative Education Exchange Program (2004)
84.282	Public Charter Schools (1995B) Charter Schools (1997B)	84.305	National Institute on Student Achievement, Curriculum, and Assessment (1995U) Education Research, Development and Dissemination (2003B)
84.283	Comprehensive Regional Assistance Centers (1995B) Comprehensive Centers (2005) Comprehensive Centers (2005)	84.306	National Institute on the Education of At-Risk Students (1995U) Deleted (2004)
84.284	School Facilities Infrastructure Improvement (1995B) Deleted (1995U)	84.307	National Institute on Early Childhood Development and Education (1995U) Deleted (2004)
84.285	Family and Community Endeavor Schools Grant Program (1995B) Deleted (1995U)	84.308	National Institute on Educational Governance, Finance, Policymaking, and Management (1995U) Deleted (2004)
84.286	Telecommunications Demonstration Project for Mathematics (1995B) Ready to Teach (2003B) Ready to Teach (2005) Ready to Teach (2005)	84.309	National Institute on Postsecondary Education, Libraries, and Lifelong Learning (1995U) Deleted (2004)
84.287	21st Century Community Learning Centers Program (1995B) After School Learning Centers (1997B) Twenty-First Century Community Learning Centers (1998B)	84.310	Goals 2000: Parental Assistance Program (1995U) Goals 2000: Parental Information Resource Centers (2000B) Goals 2000: Parental Assistance (2001B) Parental Assistance Centers (2002B) Parental Information and Resource Centers (2008)
84.288	Bilingual Education-Program Development and Implementation Grants (1995B) Deleted (2002B)	84.311	Goals 2000: Tribal Divisions of Education School Reform Program (1995U) Deleted (1996U)
84.289	Bilingual Education-Program Enhancement Grants (1995B) Deleted (2002B)	84.312	Goals 2000: Urban/Rural Local Reform Initiative (1995U)
84.290	Bilingual Education-Comprehensive School Grants (1996U) Deleted (2002B)		
84.291	Bilingual Education-Systemwide Improvement Grants (1995B) Deleted (2002B)		
84.292	Bilingual Education-Research Programs (1995B) Deleted (2002B)		
84.293	Foreign Languages Assistance (1995B) Foreign Language Assistance (1997U)		
84.294	Foreign Languages Assistance-Incentive Grants (1995B) Deleted (1998B) Foreign Language Incentive Program (2002B) Reinstated (2002B) Deleted (2004)		
84.295	Ready-To-Learn Television (1995B)		

- Deleted (1996U)
- 84.313 Even Start-Family Literacy in Women's Prisons Program (1995U)
Deleted (1998B)
- 84.314 Even Start-Statewide Family Literacy Program (1995U)
Deleted (2004)
- 84.315 Capacity Building for Traditionally Underserved Populations (1995U)
- 84.316 Native Hawaiian Higher Education Program (1995U)
Deleted (2004)
- 84.318 Technology Literacy Challenge Fund Grants (1998B)
Education Technology State Grants (2003B)
Education Technology State Grants (2005)
Education Technology State Grants (2005)
- 84.319 Eisenhower Regional Mathematics and Science Education Consortia (1997B)
Educational Technology State Grants (2004)
Eisenhower Regional Math and Science Consortia (2005)
Eisenhower Regional Math and Science Consortia (2005)
Eisenhower Regional Math and Science Consortia (2005)
Deleted (2006)
- 84.320 Alaska Native Educational Planning, Curriculum Development, Teacher Training, and Recruitment Program (1997B)
Deleted (2004)
- 84.321 Alaska Native Home Based Education for Preschool Children (1997B)
Deleted (2004)
- 84.322 Alaska Native Student Enrichment Program (1997B)
Deleted (2004)
- 84.323 Special Education-State Program Improvement Grants for Children with Disabilities (1998B)
Special Education - State Personnel Development (2005)
Special Education - State Personnel Development (2005)
Special Education - State Personnel Development (2005)
Special Education - State Personnel Development (2005)
- 84.324 Special Education-Research and Innovation to Improve Services and Results for Children with Disabilities (1998B)
Research in Special Education (2005)
Research in Special Education (2005)
- 84.325 Special Education-Personnel Preparation to Improve Services and Results for Children with Disabilities (1998B)
Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (2006)
- 84.326 Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (1998B)
- 84.327 Special Education-Technology and Media Services for Individuals with Disabilities (1998B)
- 84.328 Special Education-Training and Information for Parents of Children with Disabilities (1998B)
Special Education-Parent Information Centers (2000B)
- 84.329 Special Education-Studies and Evaluations (1998B)
- 84.330 Advanced Placement Test Fee Payment Program (1998B)
Advanced Placement Incentive Program (1999B)
Advanced Placement Program (2002B)
Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (2008)
- 84.331 Grants to States for Incarcerated Youth Offenders (1998B)
- 84.332 Comprehensive School Reform Demonstration (1999B)
- 84.333 Demonstration Projects to Ensure Students with Disabilities Receive a Higher Education (1999B)
- 84.334 Gaining Early Awareness and Readiness for Undergraduate Programs (1999B)
- 84.335 Child Care Access Means Parents in School (1999B)
- 84.336 Teacher Quality Enhancement Grants for States and Partnerships (1999B)
Teacher Quality Enhancement Grants (2000B)
- 84.337 Technological Innovation and Cooperation for Foreign Information Access (1999B)
International Education-Technological Innovation and Cooperation for Foreign Information Access (2003B)
- 84.338 Reading Excellence (1999B)
Deleted (2003B)
- 84.339 Learning Anytime Anywhere Partnerships (1999B)
Deleted (2004)
- 84.340 Class Size Reduction (1999B)
Deleted (2003B)
- 84.341 Community-Based Technology Centers (1999B)
Community Technology Centers (2000B)
Deleted (2008)
- 84.342 Preparing Tomorrow's Teachers to Use Technology (1999B)
- 84.343 Assistive Technology-State Grants for Protection and Advocacy (1999B)
- 84.344 TRIO-Dissemination (2000U)
TRIO-Dissemination Partnership Grants (2001B)
Deleted (2008)
- 84.345 Underground Railroad Educational and Cultural Program (2000U)
- 84.346 Occupational and Employment Information State Grants (2000U)
Vocational Education-Occupational and Employment Information State Grants (2003B)
Deleted (2008)
- 84.347 Community Scholarship Mobilization (2000U)
Deleted (2002B)
- 84.348 Title I Accountability Grants (2000U)
Deleted (2004)
- 84.349 Early Childhood Educator Professional Development (2001U)
- 84.350 Transition to Teaching (2001U)
- 84.351 Arts in Education (2001U)
- 84.352 Grants for School Repair and Renovation (2001U)
School Renovation Grants (2002B)
Deleted (2003B)
- 84.353 Tech-Prep Demonstration Grants (2001U)
- 84.354 Charter Schools Facilities Financing Demonstration (2002B)
Credit Enhancement for Charter School Facilities (2005)
Credit Enhancement for Charter School Facilities (2005)
- 84.355 Child Care Provider Loan Forgiveness Demonstration (2002B)
- 84.356 Alaska Native Educational Programs (2001U)
- 84.357 Reading First State Grants (2002B)
- 84.358 Rural Education Achievement Program (2002B)
Rural Education (2003B)
- 84.359 Early Reading First (2002B)
- 84.360 Dropout Prevention Programs (2002B)
School Dropout Prevention Program (2008)
- 84.361 Voluntary Public School Choice (2002B)

- 84.362 Native Hawaiian Education (2002B)
- 84.363 School Leadership (2002B)
- 84.364 Literacy through School Libraries (2002B)
- 84.365 English Language Acquisition Grants (2004)
- 84.366 Mathematics and Science Partnerships (2004)
- 84.367 Improving Teacher Quality State Grants (2004)
- 84.368 Grants for Enhanced Assessment Instruments (2003B)
Deleted (2004)
- 84.369 Grants for State Assessments and Related Activities (2004)
- 84.370 DC School Choice Incentive Program (2005)
- 84.371 Striving Readers (2006)
- 84.372 Statewide Data Systems (2006)
- 84.373 Special Education-Technical Assistance on State Data Collection (2006)
- 84.374 Teacher Incentive Fund (2006)
- 84.375 Academic Competitiveness Grants (2006)
- 84.376 National Science and Mathematics Access to Retain Talent (SMART) Grants (2006)
- 84.377 School Improvement Grants (2008)
- 84.378 College Access Challenge Grant Program (2008)
- 84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (2008)
- 84.380 Special Education -- Olympic Education Programs (2008)
- 84.382 Strengthening Minority-Serving Institutions (2008)
- 84.938 Hurricane Education Recovery (2008)

HARRY S TRUMAN SCHOLARSHIP FOUNDATION

- 85.001 Harry S Truman Scholarship Program (1980U1)

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

- 85.100 Christopher Columbus Fellowship Program (1997B)

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

- 85.200 Barry M. Goldwater Scholarship Program (1997B)

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS

- 85.300 Woodrow Wilson Center Fellowships in the Humanities and Social Sciences (1997B)

MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

- 85.400 Morris K. Udall Scholarship Program (1997B)
- 85.401 Morris K. Udall Fellowship Program (1997B)
- 85.402 Morris K. Udall Native American Congressional Internship Program (1997B)

JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION

- 85.500 James Madison Memorial Fellowship Program (1997B)

PENSION BENEFIT GUARANTY CORPORATION

- 86.001 Pension Plan Termination Insurance (1980U2)

CONSUMER PRODUCT SAFETY COMMISSION

- 87.001 Voluntary Standards Assistance (1980U2)
Deleted (1986U)

ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD

- 88.001 Architectural and Transportation Barriers Compliance (1984U)

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

- 89.001 National Archives Reference Services (1985B)
Transferred from 39.004 (1985B)
National Archives Reference Services -- Historical Research (1988B)
- 89.002 National Audiovisual Center (1985B)
Transferred from 39.005 (1985B)
Multimedia and Publications Distribution (1993B)
Deleted (1995B)
- 89.003 National Historical Publications and Records Grants (1985B)
Transferred from 39.006 (1985B)
- 89.005 Cooperative Agreements to Support the Programs of the National Archives and Records Administration (NARA) (2006)

COMMISSION ON THE BICENTENNIAL OF THE U.S. CONSTITUTION

- 90.001 Bicentennial Educational Grant Program (1987U)
Deleted (1991B)
- 90.002 The U.S. Constitution-College-Community Forums (1990B)
Deleted (1991B)

DENALI COMMISSION

- 90.100 Denali Commission Program (2000U)

DELTA REGIONAL AUTHORITY

- 90.200 Delta Regional Development (2005)
- 90.201 Enter new title here!Delta Area Economic Development (2005)
- 90.202 Enter new title here!Delta Local Development District Assistance (2005)

JAPAN US FRIENDSHIP COMMISSION

- 90.300 Japan-US Friendship Commission Grants (2004)

ELECTIONS ASSISTANCE COMMISSION

- 90.400 Help American Vote College Pollworker Program (2004)
Help America Vote College Pollworker Program (2006)
Help America Vote College Program (2008)
- 90.401 Help America Vote Act Requirements Payments (2005)
- 90.402 Help America Vote Mock Election Program (2008)

UNITED STATES INSTITUTE OF PEACE

- 91.001 International Peace and Conflict Management-Research and Education (1990B)
Unsolicited Grant Program (2000B)
- 91.002 International Peace and Conflict Management-Articles and Manuscripts (1990B)
Solicited Grant Program (2000B)

NATIONAL COUNCIL ON DISABILITY

92.001 National Council on Disability (1992B)

Number changed from 13.788 (1990U)

Number changed to 93.567 (1992U)

DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.001 Civil Rights Compliance Activities (1976B)

Number changed from 13.675 (1990U)

Civil Rights and Privacy Rule Compliance Activities (2004)

93.002 Consumer Affairs (1976B)

Number changed from 13.678 (1990U)

Deleted (1999B)

93.003 Public Health and Social Services Emergency Fund (1992U)

Deleted (1994B)

Public Health and Social Services Emergency Fund -- Reinstated (1995U)

93.004 Cooperative Agreements to Improve the Health Status of Minority Populations (1997U)

93.005 Project Grants for Facilities to Improve the Health Status of Minority Populations (1997U)

93.006 State and Territorial and Technical Assistance Capacity Development Minority HIV/AIDS Demonstration Program (2000B)

93.007 Public Awareness Campaigns on Embryo Adoption (2002B)

93.008 Medical Reserve Corps Small Grant Program (2004)

93.009 Compassion Capital Fund (2004)

93.010 Community-Based Abstinence Education (CBAE) (2005)

93.012 Improving, Enhancing, and Evaluating Outcomes of Comprehensive Heart Health Care Programs for High-Risk Women (2005)

93.013 Ambassadors for Change Program (2006)

93.014 Steps to Healthier Girls Program (2006)

93.015 HIV Prevention Programs for Women (2006)

93.017 Strengthening the Management and Services of the Women's and Children's Hospitals in Kabul (2006)

93.018 Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (2006)

93.019 Technical Assistance and Provision for Foreign Hospitals and Health Organizations (2008)

93.020 Family Support Payments to States-Assistance Payments

Number changed from 13.780 (1990U)

Number changed to 93.560 (1992U)

93.021 Job Opportunities and Basic Skills Training

Number changed from 13.781 (1990U)

Number changed to 93.561 (1992U)

93.022 Assistance Payments-Research

Number changed from 13.782 (1990U)

Number changed to 93.562 (1992U)

93.023 Child Support Enforcement

Number changed from 13.783 (1990U)

Number changed to 93.563 (1992U)

93.024 Child Support Enforcement Research

Number changed from 13.784 (1990U)

Number changed to 93.564 (1992U)

93.025 State Legalization Impact Assistance Grants

Number changed from 13.786 (1990U)

Number changed to 93.565 (1992U)

93.026 Refugee and Entrant Assistance-State Administered Programs

Number changed from 13.787 (1990U)

Number changed to 93.566 (1992U)

93.027 Refugee Assistance-Voluntary Agency Programs

93.028 Low-Income Home Energy Assistance

Number changed from 13.789 (1990U)

Number changed to 93.568 (1992U)

93.029 Work Incentive Program/WIN Demonstration Program

Number changed from 13.790 (1990U)

Deleted (1991B)

93.030 Emergency Services and Shelter AFDC Transitional Housing Demonstration Program

Number changed from 13.791 (1990U)

Deleted (1991B)

93.031 Community Services Block Grant

Number changed from 13.792 (1990U)

Number changed to 93.569 (1992U)

93.032 Community Services Block Grant-Discretionary Awards

Number changed from 13.793 (1990U)

Number changed to 93.570 (1992U)

93.033 Community Block Grant Discretionary Awards-Community Food and Nutrition

Number changed from 13.795 (1990U)

Number changed to 93.571 (1992U)

93.034 Emergency Community Services for the Homeless

Number changed from 13.796 (1990U)

Number changed to 93.572 (1992U)

93.035 Community Services Block Grant Discretionary Awards-Demonstration Partnerships

Number changed from 13.797 (1990U)

Number changed to 93.573 (1992U)

93.036 Child Care for Families At-Risk of Welfare Dependency (1991B)

Number changed to 93.574 (1992U)

93.037 Payments to States for Day Care Assistance (1991B)

Payments to States for Child Care Assistance (1992B)

Number changed to 93.575 (1992U)

93.038 Refugee and Entrant Assistance-Discretionary Grants (1991U)

Number changed to 93.576 (1992U)

93.039 Child Care Improvement Grants (1991B)

Deleted (1992B)

93.040 Family Support Centers Demonstration Program (1992B)

Number changed to 93.578 (1992U)

93.041 Special Programs for the Aging-Title III, Part G-Prevention of Abuse, Neglect, and Exploitation of Older Individuals

Number changed from 93.552 (1992U)

Special Programs for the Aging-Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (1993U)

93.042 Special Programs for the Aging-Title III, Part A-Long-Term Care Ombudsman Services for Older Individuals

Number changed from 93.553 (1992U)

Special Programs for the Aging-Title VII, Chapter 2-Long Term Care Ombudsman Services for Older Individuals (1993U)

93.043 Special Programs for the Aging-Title III, Part F-Disease Prevention and Health Promotion Services

Number changed from 93.555 (1992U)

Special Programs for the Aging-Title III, Part F-Disease Prevention and Health Promotion Services (1994B)

Special Programs for the Aging-Title III, Part D-Disease Prevention and Health Promotion Services (2002B)

- 93.044 Special Programs for the Aging-Title III, Part B-Grants for Supportive Services and Senior Centers
Number changed from 93.633 (1992U)
Consolidated from 93.046 (2001B)
- 93.045 Special Programs for the Aging-Title III, Part C-Nutrition Services
Number changed from 93.635 (1992U)
- 93.046 Special Programs for the Aging-Title III, Part D-In-Home Services for Frail Older Individuals
Number changed from 93.641 (1992U)
Consolidated into 93.044 (2001B)
- 93.047 Special Programs for the Aging-Title VI, Part A, Indian Programs-Grants to Indian Tribes and Part B, Grants to Native Hawaiians
Number changed from 93.655 (1992U)
Special Programs for the Aging-Title VI, Part A, Grants to Indian Tribes-Part B, Grants to Native Hawaiians (2002B)
- 93.048 Special Programs for the Aging-Title IV-Training, Research and Discretionary Projects and Programs
Number changed from 93.668 (1992U)
Special Programs for the Aging-Title IV-and Title II-Discretionary Projects (2002B)
- 93.049 Special Programs for the Aging-Title VII, Chapter 6-Allotments for Vulnerable Elder Rights Protection Programs (1994B)
Deleted (2000B)
- 93.050 Special Programs for the Aging-Title II-Preconference Programs for the White House Conference on Aging (1994U)
Deleted (1995B)
Geosciences (2003)
- 93.051 New Demonstration Grants to States with Respect to Alzheimer's Disease (1999B)
Alzheimer's Disease Demonstration Grants to States (2002B)
- 93.052 Nation Family Caregiver Support Program (2001B)
National Family Caregiver Support (2002B)
National Family Caregiver Support, Title III, Part E (2007)
- 93.053 Nutrition Services Incentive Program (2003B)
- 93.054 National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (2007)
- 93.061 Innovations in Applied Public Health Research (2004)
- 93.063 Centers for Genomics and Public Health (2004)
- 93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (2004)
- 93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (2005)
- 93.066 Vital Statistics Re-engineering Program (2004)
State Vital Statistics Improvement Program (2007)
- 93.067 Global AIDS (2005)
- 93.068 Chronic Diseases: Research, Control, and Prevention (2005)
Chronic Diseases: Research, Control, and Prevention (2008)
- 93.069 Public Health Emergency Preparedness (2008)
- 93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (2006)
- 93.087 Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse (2007)
- 93.088 Advancing System Improvements to Support Targets for Healthy People 2010 (2008)
- 93.100 Field-Initiated Small Grants in Minority Health (1993B)
Deleted (1995B)
Health Disparities Grants in Minority Health, Reinstated (2001B)
Health Disparities in Minority Health (2002B)
- 93.101 Grants for Residential Treatment Programs for Pregnant and Postpartum Women (1993U)
Deleted (2002B)
- 93.102 Demonstration Grants for Residential Treatment for Women and Their Children (1993U)
Deleted (2001B)
- 93.103 Food and Drug Administration-Research
Number changed from 13.103 (1990U)
- 93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (1993U)
- 93.105 Bilingual/Bicultural Service Demonstration Projects in Minority Health (1993U)
Bilingual/Biculture Service Demonstration Grants (2002B)
- 93.106 Minority International Research Training Grant in the Biomedical and Behavioral Sciences (1993U)
Consolidated into 93.989 (2006)
- 93.107 Area Health Education Centers-Model Programs (1993U)
Model State-Supported Area Health Education Centers (1999U)
- 93.108 Health Education Assistance Loans
Number changed from 13.108 (1990U)
Deleted (2005)
- 93.109 Linking Community-Based Primary Care, Substance Abuse, HIV/AIDS, and Mental Health Treatment Services (1993U)
Deleted (1997B)
- 93.110 Maternal and Child Health Federal Consolidated Programs
Number changed from 13.110 (1990U)
- 93.111 Adolescent Family Life Research Grants
Number changed from 13.111 (1990U)
- 93.112 Characterization of Environmental Health Hazards
Number changed from 13.112 (1990U)
Deleted (1991B)
- 93.113 Biological Response to Environmental Health Hazards
Number changed from 13.113 (1990U)
Environmental Health (2006)
- 93.114 Applied Toxicological Research and Testing
Number changed from 13.114 (1990U)
Deleted (2006)
- 93.115 Biometry and Risk Estimation-Health Risks from Environmental Exposures
Number changed from 13.115 (1990U)
Deleted (2006)
- 93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs
Number changed from 13.116 (1990U)
- 93.117 Grants for Preventive Medicine Residency Training
Number changed from 13.117 (1990U)
Grants for Preventive Medicine and Dental Public Health (1993B)
Grants for Preventive Medicine (1998B)
- 93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity
Number changed from 13.118 (1990U)
Incorporated from 93.160 (1993B)
- 93.119 Grants for Technical Assistance Activities Related to the Block Grant for Community Mental Health Services- Technical Assistance Centers for Evaluation (1993U)
Deleted (1997B)
- 93.120 Mental Health Services for Cuban Entrants

Number changed from 13.120 (1990U)		Grants to Increase Organ Donations (1991B)	
Deleted (1996B)		93.135	Centers for Research and Demonstration for Health Promotion and Disease Prevention Number changed from 13.135 (1990U)
93.121	Disorders of Craniofacial Structure and Function, and Behavioral Aspects of Dentistry Number changed from 13.121 (1990U) Oral Diseases and Disorders Research (1990U)	93.136	Injury Prevention and Control Research Projects, Injury Prevention Research Centers, Applied Methods in Surveillance Projects, and State and Community-Based Injury Control Projects Number changed from 13.136 (1990U) Injury Prevention and Control Research Projects (1990U) Injury Prevention and Control Research and State Grants Projects (1992U) Injury Prevention and Control Research and State and Community Based Programs (1996B)
93.122	Cooperative Agreements for Substance Abuse Treatment and Recovery Systems for Rural, Remote and Culturally Distinct Populations (1993U) Deleted (2000U)	93.137	Minority Community Health Coalition Demonstration Number changed from 13.137 (1990U) Community Programs to Improve Minority Health Grant Program (2001B)
93.123	Health Professions Pregraduate Scholarship Program for Indians Number changed from 13.123 (1990U)	93.138	Protection and Advocacy for Mentally Ill Individuals Number changed from 13.138 (1990U) Protection and Advocacy for Individuals with Mental Illness (1993B)
93.124	Nurse Anesthetist Traineeships Number changed from 13.124 (1990U)	93.139	Financial Assistance for Disadvantaged Health Professions Students (FADHPS) Number changed from 13.139 (1990U) Financial Assistance for Disadvantaged Health Professions Students (1997B) Consolidated into 93.925 (2001B)
93.125	Mental Health Planning and Demonstration Projects Number changed from 13.125 (1990U) Deleted (2002B)	93.140	Intramural Research Training Award Number changed from 13.140 (1990U)
93.126	Small Business Innovation Research Number changed from 13.126 (1990U) Small Business Innovation Research (NIAAA, NIDA, NIMH) (1992U) Incorporated into 93.242, 93.273, 93.279 (1993B)	93.141	Special State Grant Program for Increasing the Availability of the 9-1-1 Emergency Telephone Number (1993U) Special Initiatives Trauma Grant Program (1994U) Deleted (1997B)
93.127	Emergency Medical Services for Children Number changed from 13.127 (1990U)	93.142	NIEHS Hazardous Waste Worker Health and Safety Training Number changed from 13.142 (1990U)
93.128	Grants for Technical Assistance Activities Related to the Block Grant for Community Mental Health Services-Mental Health Statistics Improvement Program (1993U) Deleted (1999B)	93.143	NIEHS Superfund Hazardous Substances-Basic Research and Education Number changed from 13.143 (1990U)
93.129	Technical and Non-Financial Assistance to Community Health Centers Number changed from 13.129 (1990U) Technical and Non-Financial Assistance to Health Centers (1997B) Technical and Non-Financial Assistance to Health Centers and National Health Service Corps (NHSC) Delivery Sites (1999U) Technical and Non-Financial Assistance to Health Centers (2005)	93.144	Drug and Alcohol Abuse Prevention-High-Risk Youth Demonstration Grants Number changed from 13.144 (1990U) Demonstration Grants for the Prevention of Alcohol and Other Drug Abuse Among High-Risk Youth (1991U) Demonstration Grants for the Prevention of Alcohol and Other Drug Abuse Among High-Risk Youth (1992U) Demonstration Grants for the Prevention of Alcohol and Other Drug Abuse Among High-Risk Populations (1995B) Demonstration Grants for the Prevention of Alcohol and Drug Abuse Among High-Risk Populations (1996B) Deleted (2002B)
93.130	Primary Care Services Planning and Development Cooperative Agreements Number changed from 13.130 (1990U) Primary Care Services-Resource Coordination and Development Primary Care Offices (1995B) Primary Care Services-Resource Coordination and Development-Primary Care Offices (1999U) Primary Care Services-Resource Coordination and Development (2002B) Primary Care Services Resource Coordination and Development (2005) Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (2007)	93.145	AIDS Education and Training Centers Number changed from 13.145 (1990U) National AIDS Education and Training Centers (1994U) AIDS Education and Training Centers (1998B)
93.131	Cooperative Agreements for Addiction Treatment Training Centers (1993U) Consolidated into 93.230 (1999B)	93.146	Temporary AIDS Drug Reimbursements Number changed from 13.146 (1990U) Deleted (1992B)
93.132	Managed Care Demonstration Models for SSI Beneficiaries Disabled Due to Addiction to Alcohol and Other Drugs (1993U) Deleted (1997B)	93.147	Post-Baccalaureate Faculty Fellowships Number changed from 13.147 (1990U) Deleted (1991B)
93.133	Health Services Delivery to Persons with AIDS-Demonstration Grants Number changed from 13.133 (1990U) Deleted (1992B)		
93.134	Assistance for Organ Procurement Organizations Number changed from 13.134 (1990U)		

93.148	Cooperative Agreements for Collaborative Demonstration Program for Homeless Individuals (1993U) Deleted (1997B)		Minority AIDS and Related Risk Factors Education/Prevention Grants (1991B) Incorporated into 93.118 (1993B)
93.149	Grants for Two-Year Programs of Schools of Medicine or Osteopathic Medicine Number changed from 13.149 (1990U) Deleted (1993U)	93.161	Health Program for Toxic Substances and Disease Registry Number changed from 13.161 (1990U) Split into 93.200, 93.201, 93.203, 93.204, 93.205, 93.206, 93.207, 93.208 (1994B)
93.150	Mental Health Services for the Homeless Block Grant Number changed from 13.150 (1990U) Projects for Assistance in Transition from Homelessness (PATH) (1991B)	93.162	National Health Service Corps Loan Repayment Number changed from 13.162 (1990U) National Health Service Corps Loan Repayment Program (1993U)
93.151	Project Grants for Health Services to the Homeless Number changed from 13.151 (1990U) Health Center Grants for Homeless Populations (1997B) Consolidated into 93.224 (2006)	93.163	Health Services in the Pacific Basin Number changed from 13.163 (1990U) Deleted (1997B)
93.152	Community Demonstration Grant Projects for Alcohol and Drug Abuse Treatment of Homeless Individuals Number changed from 13.152 (1990U) Deleted (1994)	93.164	Indian Health Service Educational Loan Repayment Number changed from 13.164 (1990U)
93.153	Pediatric AIDS Health Care Demonstration Program Number changed from 13.153 (1990U) Pediatric Family AIDS Health Care Demonstration Program (1993U) HIV Demonstration Program for Children, Adolescents, and Women (1994B) Coordinated HIV Services and Access to Research for Children, Youth, Women, and Families (2000B) Coordinated Services and Access to Research for Women, Infants, Children, and You (2002B)	93.165	Grants for State Loan Repayment Number changed from 13.165 (1990U) Grants To States for Loan Repayment Program (2005)
93.154	Special International Postdoctoral Research Program in Acquired Immunodeficiency Syndrome Number changed from 13.154 (1990U) Deleted (2001B)	93.166	Indian Health Service Health Promotion and Disease Prevention Demonstration Projects Number changed from 13.166 (1990U) Deleted (1991B)
93.155	Rural Health Research Centers Number changed from 13.155 (1990U)	93.167	Research Facilities Improvement Number changed from 13.167 (1990U) Deleted (1991U)
93.156	Grants for Faculty Training Projects in Geriatric Medicine and Dentistry Number changed from 13.156 (1990U) Geriatric Training Regarding Physicians and Dentists (1999U) Geriatric Training for Physicians, Dentists, and Behavioral/Mental Health Professionals (2002B) Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (2005) Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (2006)	93.168	International Cooperative Biodiversity Groups Program (1995U) Consolidated into 93.989 (2006)
93.157	Excellence in Minority Health Education and Care Number changed from 13.157 (1990U) Programs for Excellence in Health Professions Education for Minorities (1991B) Programs of Excellence in Health Professions Education for Minorities (1991U) Centers of Excellence (1999U)	93.169	Model Projects for Pregnant and Postpartum Women and Their Infants (Substance Abuse) Number changed from 13.169 (1990U) Demonstration Grants on Model Projects for Pregnant and Postpartum Women and Their Infants (Substance Abuse) (1991U) Deleted (2000U)
93.158	Adolescent Health Centers for American Indians/Alaska Natives (1993U) Deleted (1999B)	93.170	Community Youth Activity Demonstration Grants Number changed from 13.170 (1990U) Deleted (1993B)
93.159	Health Care Services in the Home Number changed from 13.159 (1990U) Deleted (1994B)	93.171	Community Youth Activity Block Grants Number changed from 13.171 (1990U) Deleted (1993B)
93.160	Minority AIDS Education/Prevention Grants Number changed from 13.160 (1990U)	93.172	Human Genome Research Number changed from 13.172 (1990U)
		93.173	Biological Research Related to Deafness and Communicative Disorders Number changed from 13.173 (1990U) Research Related to Deafness and Communication Disorders (1990U)
		93.174	Conference Grant (Substance Abuse) Number changed from 13.174 (1990U) Knowledge Dissemination Grants (Substance Abuse) (1996B) Deleted (1999B)
		93.175	Drug Abuse Treatment Waiting List Reduction Grants Number changed from 13.175 (1990U) Deleted (1992B)
		93.176	ADAMHA Small Instrumentation Program Grants Number changed from 13.176 (1990U)

- NIAAA, NIDA, and NIMH Small Instrumentation Program Grants (1992U)
Incorporated into 93.242, 93.273, 93.279 (1993B)
- 93.177 Integrated Community-Based Primary Care and Drug Abuse Treatment Services
Number changed from 13.177 (1990U)
Deleted (1997B)
- 93.178 Nursing Education Opportunities for Individuals from Disadvantaged Backgrounds
Number changed from 13.178 (1990U)
Nursing Workforce Diversity (2000B)
- 93.179 State Data Collection-Uniform Alcohol and Drug Abuse Data
Number changed from 13.179 (1990U)
Deleted (1993U)
- 93.180 Medical Treatment Effectiveness Research
Number changed from 13.180 (1990U)
Research on Health Care Outcomes and Quality (1997U)
Consolidated into 93.226 (1999B)
- 93.181 Podiatric Primary Care Residency Training
Number changed from 13.181 (1990U)
Grants for Podiatric Primary Care Residency Training (1993B)
Podiatric Residency in Primary Care (1999U)
Podiatric Residency Training in Primary Care (2002B)
- 93.182 Scholarships for the Undergraduate Education of Professional Nurses
Number changed from 13.182 (1990U)
Deleted (1993B)
- 93.183 Federal Assistance for Rural Hospitals for the Advancement and Improvement of Health Care Services and the Enhancement of Quality Care
Number changed from 13.183 (1990U)
Deleted (1991B)
- 93.184 Disabilities Prevention
Number changed from 13.184 (1990U)
- 93.185 Immunization Research, Demonstration, Public Information and Education-Education, Training, and Clinical Skills Improvement Projects
Number changed from 13.185 (1990U)
Immunization Research, Demonstration, Public Information and Education-Training and Clinical Skills Improvement Projects (1994U)
- 93.186 National Research Services Awards
Number changed from 13.186 (1990U)
National Research Service Award in Primary Care Medicine (2005)
National Research Service Award in Primary Care Medicine (2006)
- 93.187 Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (1995U)
- 93.188 Special Project Grants to Schools of Public Health
Number changed from 13.188 (1990U)
Public Health Training Centers (1999U)
Deleted (2002B)
- 93.189 Health Education and Training Centers
Number changed from 13.189 (1990U)
- 93.190 Model Education Projects for Health Professions
Number changed from 13.190 (1990U)
Deleted (1993B)
- 93.191 Allied Health Project Grants
Number changed from 13.191 (1990U)
Allied Health Projects (1999U)
Allied Health Special Projects (2002B)
- 93.192 Interdisciplinary Training for Health Care for Rural Areas
Number changed from 13.192 (1990U)
Quentin N. Burdick Programs for Rural Interdisciplinary Training (1999U)
Quentin N. Burdick Program for Rural Interdisciplinary Training (2005)
- 93.193 Urban Indian Alcohol and Substance Abuse Prevention, Treatment, Rehabilitation and Education
Number changed from 13.193 (1990U)
Urban Indian Health Services (1991U)
- 93.194 Community Partnership Demonstration Grant
Number changed from 13.194 (1990U)
Community Partnership Study Demonstration Grant (1991U)
Community Partnership Demonstration Grant (1993U)
Community Prevention Coalitions (Partnership) Demonstration Grant (1996B)
Deleted (2002B)
- 93.195 Disaster Relief Assistance Grants for Drug Abuse Treatment
Number changed from 13.195 (1990U)
Deleted (1991B)
- 93.196 Cooperative Agreements for Drug Abuse Treatment Improvement Projects in Target Cities
Number changed from 13.196 (1990U)
Deleted (2000U)
- 93.197 Childhood Lead Poisoning Prevention Projects-State and Community-Based Childhood Lead Poisoning Prevention
Number changed from 13.197 (1990U)
Childhood Lead Poisoning Prevention Projects-State and Community-Based Childhood Lead Poisoning Prevention Program (1991B)
Childhood Lead Poisoning Prevention Projects-State and Community-Based Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (1995B)
Childhood Lead Poisoning Prevention Projects-State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (2000B)
- 93.198 Biological Models and Materials Resources
Number changed from 13.198 (1990U)
Biological Models and Materials Research (1991B)
Consolidated into 93.306 (1996B)
- 93.199 HIV Home and Community-Based Health Services
Number changed from 13.199 (1990U)
Deleted (1992B)
- 93.200 Educating Health Professionals Regarding Environmentally Hazardous Substances
Split from 93.161 (1994B)
Environmental Health Education Activities for Health Professionals and Communities (1996B)
Deleted (1997B)
- 93.201 Public Health Assessments and Related Site-Specific Biological Testing
Split from 93.161 (1994B)
Deleted (1997B)
- 93.202 Capacity Building Among American Indian Tribes (2002B)
- 93.203 Health Activities Recommendation Panel Health Activities
Split from 93.161 (1994B)

	Deleted (1997B)		Number changed from 13.224 (1990U)
93.204	Surveillance of Hazardous Substance Emergency Events Split from 93.161 (1994B)		Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers) (2005)
93.205	Health Outcome Studies to Hazardous Substances and Adverse Health Effects Split from 93.161 (1994B) Deleted (1996B)	93.225	National Research Service Awards-Health Services Research Training Number changed from 13.225 (1990U)
93.206	Health Studies Initiative of Priority Health Conditions Split from 93.161 (1994B) Human Health Studies-Applied Research and Development (1997B)	93.226	Health Services Research and Development Grants Number changed from 13.226 (1990U) Health Care Systems Cost and Access Research and Development Grants (1998B) Consolidated from 93.180 (1999B) Research on Healthcare Costs, Quality and Outcomes (2000B)
93.207	Surveillance of the Relationship Between Hazardous Substances Exposure and Adverse Health Outcomes Split from 93.161 (1994B) Deleted (1996B)	93.227	Surveillance of the Complications of Hemophilia (1995U) Deleted (2001B)
93.208	Great Lakes Human Health Effects Research Split from 93.161 (1994B)	93.228	Indian Health Services-Health Management Development Program Number changed from 13.228 (1990U)
93.209	Contraception and Infertility Loan Repayment Program (1995U) Contraception and Infertility Research Loan Repayment Program (2000B)	93.229	Demonstration Cooperative Agreements for Development and Implementation of Criminal Justice Treatment Networks (1995B)
93.210	Tribal Self-Governance Demonstration Program: Planning and Negotiation Cooperative Agreements and IHS Compacts (1994B) Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreements and IHS Compacts/Funding Agreements (2004) Tribal Self-Governance Program: IHS Compacts/Funding Agreements (2008)	93.230	Consolidated Knowledge Development and Application Program (1996B) Consolidated from 93.131 (1999B) Consolidated Knowledge Development and Application (KD&A) Program (1999U)
93.211	Rural Telemedicine Grants (1994B) Telehealth Network Grants (2004)	93.231	Epidemiology Cooperative Agreements (1996U)
93.212	Chiropractic Demonstration Projects (1994B) Chiropractic Demonstration Project Grants (2002B)	93.232	Educational Loan Repayment Program for Research Professionals (1996U) Loan Repayment Program for Research (1997B) Loan Repayment Program for General Research (1997U)
93.213	Research and Training in Alternative Medicine (1994B) Research and Training in Complementary and Alternative Medicine (2001B)	93.233	National Center on Sleep Disorders Research (1997B)
93.214	Extramural Research Facilities Construction Projects (1994B) Consolidated into 93.389 (1996B)	93.234	Traumatic Brain Injury (1997U) Traumatic Brain Injury-State Demonstration Grant Program (2000B) Traumatic Brain Injury State Demonstration Grant Program (2005)
93.215	Hansen's Disease National Ambulatory Care Program (1995U)	93.235	Abstinence Education (1997U) Abstinence Education Program (2005) Abstinence Education Program (2006)
93.216	HIV/AIDS Mental Health Services Demonstration Program (1994U) Deleted (2000U)	93.236	Grants for Dental Public Health (1998B) Grants for Dental Public Health Residency Training (2000B)
93.217	Family Planning-Services Number changed from 13.217 (1990U)	93.237	Special Diabetes Program for Indians-Prevention and Treatment Projects (1998B) Special Diabetes Program for Indians-Diabetes Prevention and Treatment Projects (2001B)
93.218	Substance Abuse Treatment Conference Grants (1994U) Deleted (1999B)	93.238	Cooperative Agreements for State Treatment Outcomes and Performance Pilot Studies Enhancement (1998B)
93.219	Matching Grants for Health Professions Scholarships to Indian Tribes (1994U)	93.239	Policy Research and Evaluation Grants (1998B)
93.220	Loan Repayment Program for Individuals from Disadvantaged Backgrounds (1994U) Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (1995B)	93.240	State Capacity Building (1999B)
93.221	Junior National Health Service Corps/Junior Health Careers Opportunity Program (1994U) Split from 93.258, 93.822 (1994U) Deleted (1997B)	93.241	State Rural Hospital Flexibility Program (1999B)
93.222	Centers for Medical Education Research (1994U) Deleted (2000B)	93.242	Mental Health Research Grants Number changed from 13.242 (1990U) Incorporated from 93.126, 93.176 (1993B)
93.223	Development and Coordination of Rural Health Services (1994U) Split from 93.913 (1994U)	93.243	Substance Abuse and Mental Health Services-Projects of Regional and National Significance (2002B)
93.224	Community Health Centers	93.244	Mental Health Clinical or Service Related Training Grants Number changed from 13.244 (1990U) Mental Health Clinical and AIDS Service-Related Training Grants (1995U)
		93.245	New Pilot Innovative Food Safety Projects (1999B) Innovative Food Safety Projects (2000B)

- Deleted (2005)
- 93.246 Migrant Health Centers Grants
Number changed from 13.246 (1990U)
Health Centers Grants for Migratory and Seasonal Farmworkers (1997B)
Health Centers Grants for Migrant and Seasonal Farmworkers (1999U)
Consolidated into 93.224 (2006)
- 93.247 Advanced Education Nursing Grant Program (2000B)
Consolidated from 93.298, 93.299, 93.916 (2001B)
- 93.248 Residencies in the Practice of Pediatric Dentistry (2000B)
Consolidated into 93.884 (2003B)
Deleted (2003)
- 93.249 Public Health Training Centers Grant Program (2000B)
- 93.250 Geriatric Academic Career Award (2000B)
Geriatric Academic Career Awards (2002B)
- 93.251 Universal Newborn Hearing Screening (2000B)
- 93.252 Community Access Program (2000B)
Healthy Community Access Program (2004)
Healthy Communities Access Program (2005)
- 93.253 National Poison Control Systems Stabilization and Support (2000U)
Poison Control Stabilization and Enhancement Grants (2002B)
- 93.254 Infant Adoption Awareness Training (2001B)
- 93.255 Children's Hospitals Graduate Medical Education Payment (2001U)
- 93.256 State Planning Grant-Health Care Access for the Uninsured (2001U)
State Planning Grants Health Care Access for the Uninsured (2005)
State Planning Grants Health Care Access for the Uninsured (2006)
- 93.257 Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (2002B)
- 93.258 National Health Service Corps
Number changed from 13.258 (1990U)
Split into 93.221 (1990U)
Deleted (1997B)
- 93.259 Rural Access to Emergency Devices Grant (2002B)
- 93.260 Family Planning-Personnel Training
Number changed from 13.260 (1990U)
- 93.262 Occupational Safety and Health Research Grants
Number changed from 13.262 (1990U)
Occupational Safety and Health Research Projects (2004)
Occupational Safety and Health Program (2005)
- 93.263 Occupational Safety and Health-Training Grants
Number changed from 13.263 (1990U)
Deleted (2005)
- 93.264 Nurse Faculty Loan Program (NFLP) (2003B)
- 93.265 Comprehensive Geriatric Education Program (CGEP) (2003B)
- 93.266 Rapid Expansion of Antiretroviral Therapy Programs for HIV-Infected Persons in Selected Countries in Africa and the Caribbean Under the President's Emergency Plan for AIDS Relief (2004)
- 93.267 State Grants for Protection and Advocacy Services (2004)
- 93.268 Childhood Immunization Grants
Number changed from 13.268 (1990U)
Immunization Grants (1997U)
- 93.269 Complex Humanitarian Emergency and War-Related Injury Public Health Activities (2008)
- 93.270 Adult Viral Hepatitis Prevention and Control (2008)
- 93.271 Alcohol Scientist Development Award and Research Scientist Development Award for Clinicians
Number changed from 13.271 (1990U)
Alcohol Research and Development Awards for Scientists and Clinicians (1993B)
Alcohol Research Career Development Awards for Scientists and Clinicians (1993U)
- 93.272 Alcohol National Research Service Awards for Research Training
Number changed from 13.272 (1990U)
- 93.273 Alcohol Research Programs
Number changed from 13.273 (1990U)
Incorporated from 93.126, 93.176 (1993B)
- 93.274 Alcohol and Drug Abuse Clinical or Service-Related Training
Number changed from 13.274 (1990U)
Clinical Training Grant for Faculty Development in Alcohol and Other Drug Abuses (1994B)
Clinical Training Grant for Faculty Development in Alcohol and Drug Abuses (1996B)
Deleted (2002B)
- 93.275 Substance Abuse and Mental Health Services-Access to Recovery (2004)
- 93.276 Drug-Free Communities Support Program Grants (2004)
- 93.277 Drug Abuse Scientist Development Award for Clinicians-Scientist Development Awards and Research Scientist Awards
Number changed from 13.277 (1990U)
Drug Abuse Scientist Development Awards, Research Scientist Development Awards, and Research Scientist Awards (1994B)
Career Development Awards (2002B)
Consolidated into 93.279 (2003B)
Deleted (2003)
- 93.278 Drug Abuse National Research Service Awards for Research Training
Number changed from 13.278 (1990U)
Consolidated into 93.279 (2003B)
Deleted (2003)
- 93.279 Drug Abuse Research Programs
Number changed from 13.279 (1990U)
Split into 93.949 (1992B)
Incorporated from 93.126, 93.176 (1993B)
Drug Abuse and Addiction Research Programs (2005)
- 93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers (2003B)
- 93.281 Mental Research Scientist Development Award and Research Scientist Development Award for Clinicians
Number changed from 13.281 (1990U)
Scientist Development Award (SDA), Research Scientist Development Award (RSDA), Scientist Development Award for Clinicians (SDAC), and Research Scientist Award (RSA) (1992U)
Mental Health Research Career/Scientist Development Awards (1995U)
- 93.282 Mental Health National Research Service Awards for Research Training
Number changed from 13.282 (1990U)
- 93.283 Centers for Disease Control-Investigations and Technical Assistance
Number changed from 13.283 (1990U)
Centers for Disease Control and Prevention-Investigations and Technical Assistance (1993B)
- 93.284 Injury Prevention Program for American Indians and Alaskan Natives-Cooperative Agreements (2000B)

93.285	National Institutes of Health Pediatric Research Loan Repayment Program (2004)	Consolidated into 93.371, 93.389 (1996B)
93.286	Biomedical Imaging Research (2002B) Discovery and Applied Research for Technological Innovations to Improve Human Health (2005) Discovery and Applied Research for Technological Innovations to Improve Human Health (2006)	93.342 Health Professions Student Loans Number changed from 13.342 (1990U) Health Professions Student Loans/Loans for Disadvantaged Students (1991B) Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (1993B)
93.287	Bioengineering Research (2002B) Deleted (2004)	93.358 Professional Nurse Traineeships Number changed from 13.358 (1990U) Advanced Education Nursing Traineeships (2000B)
93.288	National Health Service Corps Scholarship Program Number changed from 13.288 (1990U)	93.359 Nurse Training Improvement-Special Projects Number changed from 13.359 (1990U) Nursing-Special Projects (1998B) Basic Nurse Education and Practice Grants (2000B) Nurse Education, Practice and Retention Grants (2003B)
93.289	President's Council on Physical Fitness and Sports Number changed from 13.289 (1990U)	93.361 Nursing Research Number changed from 13.361 (1990U)
93.290	National Community Centers of Excellence in Women's Health (2000U)	93.364 Nursing Student Loans Number changed from 13.364 (1990U)
93.291	Surplus Property Utilization Number changed from 13.291 (1990U)	93.365 Sickle Cell Treatment Demonstration Program (2006)
93.294	Mentoring Partnership Program - Protege (2006)	93.371 Biomedical Research Technology Number changed from 13.371 (1990U) Biomedical Technology, Consolidated from 93.337 (1996B) Consolidated into 93.389 (2003B) Deleted (2003)
93.295	Intergenerational Approaches to HIV/AIDS Prevention Education with Women across The Lifespan Pilot Program (2006)	93.375 Minority Biomedical Research Support Number changed from 13.375 (1990U) Consolidated into 93.859 (2003B) Deleted (2003)
93.296	State Partnership Grant Program to Improve Minority Health (2006)	93.379 Grants for Graduate Training in Family Medicine Number changed from 13.379 (1990U) Consolidated into 93.884 (2001B)
93.298	Nurse Practitioner and Nurse Midwife Education and Traineeships Number changed from 13.298 (1990U) Nurse Practitioner and Nurse-Midwifery Education Programs (1993U) Consolidated into 93.247 (2001B)	93.385 National Institutes of Health Pediatric Research Loan Repayment Program (2003B)
93.299	Advanced Nurse Education Number changed from 13.299 (1990U) Consolidated into 93.247 (2001B)	93.389 Research Centers in Minority Institutions Number changed from 13.389 (1990U) Research Infrastructure (1996B) Consolidated from 93.337, 93.922 (2003)
93.300	National Center for Health Workforce Analysis (2002B)	93.390 Academic Research Enhancement Award Number changed from 13.390 (1990U) Deleted (2006)
93.301	Small Rural Hospital Improvement Grants (2002B) Small Rural Hospital Improvement Grant Program (2005)	93.392 Cancer Construction (1990U)
93.302	Healthy Schools, Healthy Communities (2004) Incorporated into 93.224 (2006)	93.393 Cancer Cause and Prevention Research Number changed from 13.393 (1990U)
93.303	Nursing Scholarship Program (2007)	93.394 Cancer Detection and Diagnosis Research Number changed from 13.394 (1990U)
93.306	Laboratory Animal Sciences and Primate Research Number changed from 13.306 (1990U) Comparative Medicine Program (1991U) Comparative Medicine, Consolidated from 93.198 (1996B) Consolidated into 93.389 (2003B) Deleted (2003)	93.395 Cancer Treatment Research Number changed from 13.395 (1990U)
93.307	Loan Repayment for Health Disparities Research (2002B)	93.396 Cancer Biology Research Number changed from 13.396 (1990U)
93.308	Extramural Loan Repayment for individuals from Disadvantaged Backgrounds Conducting Clinical Research (2002B)	93.397 Cancer Centers Support Number changed from 13.397 (1990U)
93.309	Bioinformatics and Computational Biology Research (2002B) Consolidated into 93.859 (2003B) Deleted (2003)	
93.310	Trans-NIH Research Support (2006)	
93.333	General Clinical Research Centers Number changed from 13.333 (1990U) Clinical Research (1996B)	
93.337	Biomedical Research Support Number changed from 13.337 (1990U)	

93.398	Cancer Research Manpower Number changed from 13.398 (1990U)		Reinstated (1997U)
93.399	Cancer Control Number changed from 13.399 (1990U)	93.565	State Legalization Impact Assistance Grants Number changed from 93.025 (1992U) Deleted (1997B)
93.441	Indian Self-Determination (2000U)	93.566	Refugee and Entrant Assistance-State Administered Programs Number changed from 93.026 (1992U) Split into 93.584 (1994U)
93.442	Special Diabetes Program for Indians (SDPI) Competitive Grant Program (2004)	93.567	Refugee Assistance-Voluntary Agency Programs Number changed from 93.027 (1992U)
93.443	Health Promotion/Disease Prevention Program for American Indians and Alaska Natives (2008)		Refugee and Entrant Assistance-Voluntary Agency Programs (2000B)
93.444	Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (2008)	93.568	Low-Income Home Energy Assistance Number changed from 93.028 (1992U)
93.447	State Health Fraud Task Force Grants (2004)	93.569	Community Services Block Grant Number changed from 93.031 (1992U)
93.448	Food Safety and Security Monitoring Project (2005)	93.570	Community Services Block Grant-Discretionary Awards Number changed from 93.032 (1992U)
93.449	Ruminant Feed Ban Support Project (2005)	93.571	Community Services Block Grant Discretionary Awards-Community Food and Nutrition Number changed from 93.033 (1992U) Community Services Block Grant Formula and Discretionary Awards Community Food and Nutrition Programs (2005) Community Services Block Grant Formula and Discretionary Awards Community Food and Nutrition Programs (2006) Deleted (2008)
93.550	Administration for Children, Youth and Families-Transitional Living for Runaway and Homeless Youth Number changed from 13.550 (1990U) Transitional Living for Runaway and Homeless Youth (1991U) Transitional Living for Homeless Youth (1992U)	93.572	Emergency Community Services for the Homeless Number changed from 93.034 (1992U) Deleted (1997B)
93.551	Administration for Children, Youth and Families-Abandoned Infants Number changed from 13.551 (1990U) Abandoned Infants (1991U)	93.573	Community Services Block Grant Discretionary Awards-Demonstration Partnerships Number changed from 93.035 (1992U) Deleted (1997U)
93.552	Special Programs for the Aging-Title III, Part G-Prevention of Abuse, Neglect, and Exploitation of Older Individuals (1991B) Number changed to 93.041 (1992U)	93.574	Child Care for Families At-Risk of Welfare Dependency Number changed from 93.036 (1992U) Deleted (1998B)
93.553	Special Programs for the Aging-Title III, Part A-Long-Term Care Ombudsman Services for Older Individuals (1991B) Number changed to 93.042 (1992U)	93.575	Payments to States for Child Care Assistance Number changed from 93.037 (1992U) Child Care and Development Block Grant (1995B)
93.554	Emergency Protection Grants-Substance Abuse (1991B) Deleted (1995B)	93.576	Refugee and Entrant Assistance-Discretionary Grants Number changed from 93.038 (1992U) Consolidated from 93.987 (1997B)
93.555	Special Programs for the Aging-Title III, Part F-Preventive Health Services (1992B) Number changed to 93.043 (1992U)	93.577	Early Learning Fund (2001U)
93.556	Family Preservation and Support Services (1993U) Promoting Safe and Stable Families (2000B)	93.578	Family Support Centers Demonstration Program Number changed from 93.040 (1992U) Homeless Families Support Services Demonstration Program (1992U) Family Support Center and Gateway Demonstration Program (1993U) Deleted (1997B)
93.557	Education and Prevention to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (1999B)	93.579	U.S. Repatriate Program (1992U) U.S. Repatriation Program (1999U)
93.558	Temporary Assistance for Needy Families (1997B)	93.580	Family Resource and Support Program (1993B) Family Resource Centers (1994B) Deleted (1995B)
93.559	Federal Loans for State Welfare Programs (1997B) Deleted (2000B)		
93.560	Family Support Payments to States-Assistance Payments Number changed from 93.020 (1992U)		
93.561	Job Opportunities and Basic Skills Training Number changed from 93.021 (1992U) Deleted (1998B)		
93.562	Assistance Payments-Research Number changed from 93.022 (1992U) Deleted (1997B) Reinstated (1997U) Consolidated into 93.647 (1998B)		
93.563	Child Support Enforcement Number changed from 93.023 (1992U)		
93.564	Child Support Enforcement Research Number changed from 93.024 (1992U) Deleted (1997B)		

93.581	Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (1994U)	Child Welfare Research and Demonstration (1991U)
93.582	Mitigation of Environmental Impacts to Indian Lands Due to Department of Defense Activities (1994U)	Deleted (1998B)
93.583	Refugee and Entrant Assistance-Wilson/Fish Program (1994U)	93.612 Native American Programs
93.584	Refugee and Entrant Assistance-Targeted Assistance (1994U)	Number changed from 13.612 (1990U)
	Split from 93.566 (1994U)	93.613 Mental Retardation-President's Committee on Mental Retardation
	Refugee and Entrant Assistance-Targeted Assistance Grants (2004)	Number changed from 13.613 (1990U)
93.585	Empowerment Zones Program (1994U)	93.614 Child Development Associate Scholarships
	Deleted (1998B)	Number changed from 13.614 (1990U)
93.586	State Court Improvement Program (1994U)	Deleted (1997B)
93.587	Promote the Survival and Vitality of Native American Languages (1994U)	93.616 Mentoring Children of Prisoners (2003B)
	Promote the Survival and Continuing Vitality of Native American Languages (1995B)	93.617 Voting Access for Individuals with Disabilities-Grants to States and Local Government (2003B)
93.588	Community Schools Program (1995U)	Voting Access for Individuals with Disabilities-Grants to States (2004)
	Community Schools Youth Services and Supervision Program (1996U)	93.618 Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (2003B)
	Deleted (1999B)	Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (2004)
93.589	Refugee Assistance-Naturalization and Citizenship Activities (1995U)	93.623 Administration for Children, Youth and Families-Runaway and Homeless Youth
	Deleted (1999B)	Number changed from 13.623 (1990U)
93.590	Community-Based Resource Centers (1995U)	Runaway and Homeless Youth (1991U)
	Community-Based Family Resource and Support Grants (1998B)	Comprehensive Runaway and Homeless Youth (1995U)
	COMMUNITY-BASED CHILD ABUSE PREVENTION GRANTS (2005)	Runaway and Homeless Youth (1996B)
	Community-Based Child Abuse Prevention Grants (2006)	93.630 Administration on Developmental Disabilities-Basic Support and Advocacy Grants
93.591	Family Violence Prevention and Services: Grants to State Domestic Violence Coalitions (1995U)	Number changed from 13.630 (1990U)
	Family Violence Prevention and Services/Grants for Battered Women's Shelters-Grants to State Domestic Violence Coalitions (1997U)	Developmental Disabilities Basic Support and Advocacy Grants (1991U)
93.592	Family Violence Prevention and Services: Discretionary Grants (1995U)	93.631 Administration on Developmental Disabilities-Projects of National Significance
	Family Violence Prevention and Services/Grants for Battered Women's Shelters-Discretionary Grants (1997U)	Number changed from 13.631 (1990U)
93.593	Job Opportunities for Low-Income Individuals (1995U)	Developmental Disabilities Projects of National Significance (1991U)
93.594	Tribal Work Grants (1997B)	93.632 Administration on Developmental Disabilities-University Affiliated Programs
93.595	Welfare Reform Research, Evaluations and National Studies (1997B)	Number changed from 13.632 (1990U)
93.596	Child Care Mandatory and Matching Funds of the Child Care and Development Fund (1997B)	Developmental Disabilities University Affiliated Programs (1991U)
93.597	Grants to States for Access and Visitation Programs (1997B)	University Centers for Excellence in Developmental Disabilities Education, Research, and Service (2002B)
93.598	Assistance for Victims of Trafficking (2001U)	93.633 Special Programs for the Aging-Title III, Part B-Grants for Supportive Services and Senior Centers
	Services to Victims of a Severe Form of Trafficking (2002B)	Number changed from 13.633 (1990U)
93.599	Chafee Education and Training Vouchers Program (ETV) (2003B)	Number changed to 93.044 (1992U)
93.600	Administration for Children, Youth and Families-Head Start	93.635 Special Programs for the Aging-Title III, Part C-Nutrition Services
	Number changed from 13.600 (1990U)	Number changed from 13.635 (1990U)
	Head Start (1991U)	Number changed to 93.045 (1992U)
93.601	Child Support Enforcement Demonstration and Special Projects (1999B)	93.641 Special Programs for the Aging-Title III, Part D-In-Home Services for Frail Older Individuals
93.602	New Assets for Independence Demonstration Program (1999B)	Number changed from 13.641 (1990U)
	Assets for Independence Demonstration Program (2005)	Number changed to 93.046 (1992U)
	Assets for Independence Demonstration Program (2006)	93.643 Children's Justice Grants to States
93.603	Adoption Incentive Payments (1999B)	Number changed from 13.643 (1990U)
93.604	Assistance to Torture Victims (2000B)	93.645 Child Welfare Services-State Grants
	Assistance for Torture Victims (2005)	Number changed from 13.645 (1990U)
93.608	Administration for Children, Youth and Families-Child Welfare Research and Demonstration	93.647 Social Services Research and Demonstration
	Number changed from 13.608 (1990U)	Number changed from 13.647 (1990U)
		Consolidated from 93.562 (1998B)

93.648	Child Welfare Services Training Grants Number changed from 13.648 (1990U)	Child Abuse and Neglect State Prevention Grants (1992U) Community-Based Prevention Program (1993U) Deleted (1995B)
93.652	Administration for Children, Youth and Families-Adoption Opportunities Number changed from 13.652 (1990U) Adoption Opportunities (1991U)	93.673 Grants to States for Planning and Development of Dependent Care Programs Number changed from 13.673 (1990U) Deleted (1997B)
93.655	Special Programs for the Aging, Title VI-Part A, Indian Programs-Grants to Indian Tribes-Part B, Grants to Native Hawaiians Number changed from 13.655 (1990U) Special Programs for the Aging, Title VI-Part A, Indian Programs-Grants to Indian Tribes and Part B, Grants to Native Hawaiians (1991B) Number changed to 93.047 (1992U)	93.674 Independent Living Number changed from 13.674 (1990U) Chafee Foster Care Independent Living (2002B) Chafee Foster Care Independence Program (2005) Chafee Foster Care Independence Program (2006)
93.656	Temporary Child Care and Crisis Nurseries Number changed from 13.656 (1990U) Deleted (1998B)	93.676 Unaccompanied Alien Children Program (2003B)
93.657	Drug Abuse Prevention and Education for Runaway and Homeless Youth Number changed from 13.657 (1990U) Drug Abuse Prevention Program for Runaway and Homeless Youth (1991B) Drug Education and Prevention for Homeless Youth (1993U) Deleted (1997B)	93.760 Rural PACE (Program of All-Inclusive Care for the Elderly) Provider Grant Program (2006) 93.766 Health Care Financing Research, Demonstrations and Evaluations Number changed from 13.779 (1990U) 93.767 State Children's Insurance Program (1998B) 93.768 Medicaid Infrastructure Grants to Support the Competitive Employment of People with Disabilities (2000U) 93.769 Demonstration to Maintain Independence and Employment (2000U)
93.658	Foster Care-Title IV-E Number changed from 13.658 (1990U)	93.770 Medicare-Prescription Drug Coverage (2005) 93.773 Medicare-Supplementary Medical Insurance Number changed from 13.773 (1990U)
93.659	Adoption Assistance Number changed from 13.659 (1990U)	93.774 Health Insurance for the Aged-Supplementary Medical Insurance Number changed from 13.774 (1990U)
93.660	Drug Abuse Prevention and Education Relating to Youth Gangs Number changed from 13.660 (1990U) Drug Education and Prevention Programs for Youth Gangs (1993U) Youth Initiative/Youth Gangs (1994B) Deleted (1997B)	93.775 State Medicaid Fraud Control Units Number changed from 13.775 (1990U) 93.776 Hurricane Katrina Relief (2006) 93.777 State Survey and Certification of Health Care Providers and Suppliers Number changed from 13.777 (1990U)
93.666	Comprehensive Child Development Centers Number changed from 13.666 (1990U) Deleted (1996B)	93.778 Medical Assistance Program Number changed from 13.714 (1990U)
93.667	Social Services Block Grant Number changed from 13.667 (1990U)	93.779 Health Care Financing Research, Demonstrations and Evaluations Number changed from 13.766 (1990U) Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (2002B)
93.668	Special Programs for the Aging-Title IV-Training, Research and Discretionary Projects and Programs Number changed from 13.668 (1990U) Number changed to 93.048 (1992U)	93.780 Grants to States for Operation of Qualified High-Risk Pools (2003B) 93.781 Seed Grants to States for Qualified High-Risk Pools (2003B) 93.782 Medicare Transitional Drug Assistance Program for Territories (2004) 93.783 Medicare Transitional Drug Assistance Program for States (2004) 93.784 Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (2004) 93.785 Pilot Program for National and State Background Checks--Direct Patient Access for Long-Term Care (2004) 93.786 State Pharmaceutical Assistance Programs (2004) 93.789 Alternatives to Psychiatric Residential Treatment Facilities for Children (2006) 93.790 Alternate Non-Emergency Service Providers or Networks (2006) 93.791 Money Follows the Person Rebalancing Demonstration (2006) 93.793 Medicaid Transformation Grants (2006) 93.794 Reimbursement of State Costs for Provision of Part D Drugs (2006)
93.669	Administration for Children, Youth and Families-Child Abuse and Neglect State Grants Number changed from 13.669 (1990U) Child Abuse and Neglect State Grants (1991U)	93.802 Social Security-Disability Insurance Number changed from 13.802 (1990U)
93.670	Administration for Children, Youth and Families-Child Abuse and Neglect Discretionary Activities Number changed from 13.670 (1990U) Child Abuse and Neglect Discretionary Activities (1991U)	
93.671	Family Violence and Prevention Services Number changed from 13.671 (1990U) Family Violence Prevention and Services-Grants to States and Indian Tribes (1995B) Family Violence Prevention and Services/Grants for Battered Women's Shelters-Grants to States and Indian Tribes (1997U)	
93.672	Child Abuse Challenge Grants Number changed from 13.672 (1990U)	

	Number changed to 96.001 (1995B)		Number changed from 13.849 (1990U)
93.803	Social Security-Retirement Insurance		Deleted (2008)
	Number changed from 13.803 (1990U)	93.853	Clinical Research Related to Neurological Disorders
	Number changed to 96.002 (1995B)		Number changed from 13.853 (1990U)
93.804	Social Security-Special Benefits for Persons Aged 72 and Over		Consolidated from 93.854 (1999B)
	Number changed from 13.804 (1990U)		Extramural Research Programs in the Neurosciences and Neurological Disorders (2000B)
	Number changed to 96.003 (1995B)	93.854	Biological Basis Research in the Neurosciences
93.805	Social Security-Survivors Insurance		Number changed from 13.854 (1990U)
	Number changed from 13.805 (1990U)		Consolidated into 93.853 (1999B)
	Number changed to 96.004 (1995B)	93.855	Allergy, Immunology and Transplantation Research
93.806	Special Benefits for Disabled Coal Miners		Number changed from 13.855 (1990U)
	Number changed from 13.806 (1990U)	93.856	Microbiology and Infectious Diseases Research
	Number changed to 96.005 (1995B)		Number changed from 13.856 (1990U)
93.807	Supplemental Security Income	93.859	Pharmacological Sciences
	Number changed from 13.807 (1990U)		Number changed from 13.859 (1990U)
	Number changed to 96.006 (1995B)		Pharmacology and Biorelated Chemistry (1993U)
93.812	Public Assistance Research		Incorporated from 93.863 (1995B)
	Number changed from 13.812 (1990U)		Pharmacology, Physiology, and Biorelated Chemistry (1995B)
	Number changed to 96.007 (1995B)		Pharmacology, Physiology, and Biological Chemistry Research (1995U)
93.820	Scholarships for Students of Exceptional Financial Need	93.862	Genetics Research
	Number changed from 13.820 (1990U)		Number changed from 13.862 (1990U)
	Deleted (2002B)		Genetics and Developmental Biology Research (1995B)
93.821	Biophysics and Physiological Sciences		Genetics and Developmental Biology Research and Research Training (2000B)
	Number changed from 13.821 (1990U)		Consolidated into 93.859 (2003B)
	Incorporated from 93.863 (1995B)		Deleted (2003)
	Cell Biology and Biophysics Research (1995B)	93.863	Cellular and Molecular Basis of Disease Research
	Consolidated into 93.859 (2003B)		Number changed from 13.863 (1990U)
	Deleted (2003)		Incorporated into 93.821, 93.859 (1995B)
93.822	Health Careers Opportunity Program	93.864	Population Research
	Number changed from 13.822 (1990U)		Number changed from 13.864 (1990U)
	Split into 93.221 (1994U)		Consolidated into 93.865 (2003B)
93.824	Area Health Education Centers		Deleted (2003)
	Number changed from 13.824 (1990U)	93.865	Research for Mothers and Children
	Basic/Core Area Health Education Centers (1999U)		Number changed from 13.865 (1990U)
93.837	Heart and Vascular Diseases Research		Center for Research for Mothers and Children (1998B)
	Number changed from 13.837 (1990U)		Child Health and Human Development Extramural Research (2004)
	Cardiovascular Diseases Research (2008)	93.866	Aging Research
93.838	Lung Diseases Research		Number changed from 13.866 (1990U)
	Number changed from 13.838 (1990U)	93.867	Retinal and Choroidal Diseases Research
93.839	Blood Diseases and Resources Research		Number changed from 13.867 (1990U)
	Number changed from 13.839 (1990U)		Incorporated from 93.868, 93.871 (1993B)
93.846	Arthritis, Musculoskeletal and Skin Diseases Research		Vision Research (1993B)
	Number changed from 13.846 (1990U)	93.868	Anterior Segment Diseases Research
93.847	Diabetes, Endocrinology and Metabolism Research		Number changed from 13.868 (1990U)
	Number changed from 13.847 (1990U)		Incorporated into 93.867 (1993B)
	Diabetes, Digestive, and Kidney Diseases Extramural Research (2008)	93.871	Strabismus, Amblyopia and Visual Processing
93.848	Digestive Diseases and Nutrition Research		Number changed from 13.871 (1990U)
	Number changed from 13.848 (1990U)		Incorporated into 93.867 (1993B)
	Deleted (2008)	93.879	Medical Library Assistance
93.849	Kidney Diseases, Urology and Hematology Research		

	Number changed from 13.879 (1990U)		Consolidated into 93.895 (2001B)
93.880	Minority Access to Research Careers Number changed from 13.880 (1990U) Consolidated into 93.859 (2003B) Deleted (2003)	93.901	Communications Programs Aimed Toward the Prevention of Alcohol and Other Drug Problems Number changed from 13.901 (1990U) Communications Programs Aimed Toward the Prevention of Alcohol, Tobacco, and Other Drug Problems (1992U) Communications Programs for Demonstrating the Prevention of Alcohol, Tobacco, and Other Drug Problems (1995B) Communications Programs for Demonstrating the Prevention of Alcohol, and Drug Problems (1996B) Deleted (1999B)
93.884	Grants for Residency Training in General Internal Medicine and/or General Pediatrics Number changed from 13.884 (1990U) Consolidated from 93.379 (2001B) Grants for Residency Training in Primary Care Medicine and Dentistry (2004) Grants for Training in Primary Care Medicine and Dentistry (2005) Grants for Training in Primary Care Medicine and Dentistry (2006)	93.902	Model Comprehensive Treatment Programs for Critical Populations (1990U) Model Comprehensive Drug Abuse Treatment Programs for Critical Populations (1991B) Deleted (1999B)
93.886	Grants for Physician Assistant Training Program Number changed from 13.886 (1990U) Physician Assistant Training in Primary Care (1999U) Consolidated into 93.884 (2003B) Deleted (2003)	93.903	Model Criminal Justice Drug Abuse Treatment-Incarcerated Populations-Non-Incarcerated Populations-Treatment Prison (1990U) Model Criminal Justice Drug Abuse Treatment-Incarcerated Populations-Non-Incarcerated Populations-Juvenile Justice Populations (1991B) Model Criminal Justice Drug Abuse Treatment for Incarcerated Populations, Non-Incarcerated Populations and Juvenile Justice Populations (1992U) Deleted (1997B)
93.887	Project Grants for Non-Acute Care Intermediate and Long-Term Care Facilities for Patients with AIDS Number changed from 13.887 (1990U) Project Grants for Renovation or Construction of Non-Acute Health Care Facilities (1995U) Project Grants for Renovation or Construction of Non-Acute Health Care Facilities and Other Facilities (1999U) Health Care and Other Facilities (2002B)	93.904	Prevention and Primary Health Care Services to Persons with HIV Infection and/or AIDS in Community Health Facilities (1990U) Deleted (1991U)
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93.889	National Bioterrorism Hospital Preparedness Program (2004)	93.906	Rural Health Medical Education Demonstration Projects (1990U) Deleted (2000B)
93.890	Healthy Communities Access Program (HCAP) Demonstration Authority (2004)	93.907	Grants for Nurse Anesthetist Faculty Fellowships (1990U) Deleted (2000B)
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93.895	Grants for Faculty Development in Family Medicine Number changed from 13.895 (1990U) Consolidated from 93.900 (2001B) Consolidated into 93.884 (2003B) Deleted (2003)	93.910	Community Coalition Projects to Support Health and Human Services Needs for Minority Males (1990U) Community Coalition Intervention Demonstration Projects to Support Health and Human Services Needs for Minority Males (1991B) Community Coalition Demonstration Projects to Support Health and Human Services Needs for Minority Males (1992B) Family and Community Violence Prevention Program (1995B)
93.896	Grants for Predoctoral Training in Family Medicine Number changed from 13.896 (1990U) Predoctoral Training in Primary Care (Family Medicine, General Internal Medicine/General Pediatrics) (2000B) Consolidated into 93.884 (2003B) Deleted (2003)	93.911	Cooperative Agreements for Drug Abuse Campus Treatment Demonstration Projects (1991B) Deleted (1995B)
93.897	Residency Training and Advanced Education in the General Practice of Dentistry Number changed from 13.897 (1990U) Residencies and Advanced Education in the Practice of General Dentistry (1999U) Consolidated into 93.884 (2003B) Deleted (2003)	93.912	Rural Health Services Outreach (1991B) Rural Outreach-Rural Network Development Program (1997B) Rural Health Outreach and Rural Network Development Program (2000B) Rural Health Care Services Outreach and Rural Health Network Development Program (2005)
93.900	Grants for Faculty Development in General Internal Medicine and/or General Pediatrics Number changed from 13.900 (1990U)	93.913	Grants to States for Operation of Offices of Rural Health (1991B) Split into 93.223 (1994U)
		93.914	HIV Emergency Relief Project Grants (1991B) Consolidated into 93.915 (1999B)

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93.917	HIV Care Formula Grants (1991B)	93.937	Comprehensive Residential Drug Prevention and Treatment Projects for Substance-Using Women and Their Children (1992B) Deleted (1999B)
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